Agenda & Call needed

MINUTES

BOARD OF REGENTS MEETING

NORTHERN KENTUCKY STATE COLLEGE

The first official meeting of the Board of Regents of the Northern Kentucky State College was called to order at 7:30 p.m., September 26, 1968, at the TraveLodge, Newport, Kentucky, by Hon. Wendell P. Butler, Superintendent of Public Instruction of Kentucky.

In attendance were: Mr. John P. DeMarcus, Assistant to the Governor; Mr. John R. S. Brooking; Mr. Elmer Haas, Jr.; Mr. Joseph Kohler; Mr. Charles Wiley; and Mr. Kenneth Lucas. Mr. Charles O. Landrum was absent.

The invocation was given by Mr. Lucas.

Mr. Butler read the law applicable to this organization. (KRS 164.330) He stated that by virtue of his office he is Chairman of the Board; a Vice-Chairman and Secretary are to be elected; and a Treasurer, who may not, however, be a member of the Board.

The oath of office was administered to the Board members present by Mr. Gordon O'Banion.

Thereafter, the Agenda was acted upon as follows:

Item 2 - the legal memorandum (Duties and responsibilities of Boards of Regents), which had been supplied to each member, was discussed briefly.

Item 3 - Election of Vice-Chairman, Secretary, and other Board officers was postponed, awaiting the arrival of Mr. Landrum.

Item 4 - Mr. DeMarcus reported that negotiation with the Northern Community College and the University of Kentucky, concerning the status of the Community College, still is pending. A report and recommendation will be made at a later date.

Item 5 - Consultant Study. Mr. DeMarcus said that the Council on Public Higher Education has agreed to provide funds for a study pertaining to the actual establishment of the college, and that at least 25 to 30 consulting firms throughout the country have been contacted. Mr. Haas suggested the appointment of a committee to evaluate these firms.

Mr. Brooking and Mr. Lucas volunteered for this committee.

Motion to nominate and appoint Mr. Brooking and Mr. Lucas to the Consultant Committee was made by Mr. Haas; seconded by Mr. Kohler; unanimously carried.

Motion was made by Mr. Haas that Mr. DeMarcus be a consultant on all committees, and consultant to the Board generally; seconded by Mr. Kohler; unanimously carried.

Item 6 - Accreditation Study. Mr. DeMarcus said the people locally are concerned about accreditation, and suggested appointment of one or two members of the Board to look into this problem.

Motion was made by Mr. Brooking to appoint Mr. Wiley and Mr. Landrum to the Committee on Accreditation Study; seconded by Mr. Haas; unanimously carried.

Mr. Butler offered the facilities of his office for gathering information.

Item 7 - Mr. DeMarcus reported that the Council on Public Higher Education seemed to feel that one of the first things should be some sort of student population survey. He said he has been accumulating such data and it should be available within the next few weeks, and will be sent to each member.

Item 8 - General discussion of new business. It was pointed out by Mr. DeMarcus that the Board would have to go back to Item 3 of the Agenda, and appoint a Vice-Chairman and Secretary. Mr. Landrum had not arrived, and election of officiers was held by the members who were present, who constituted a majority.

Mr. Kohler nominated Mr. Haas for Vice-Chairman.

Mr. Brooking nominated Mr. Wiley for Vice-Chairman.

By a show of hands, Mr. Haas received 2 votes; Mr. Wiley received 3 votes. As the elected Vice-Chairman, Mr. Wiley said, "I think we all agree we have to get a 4-year college and we have to move quickly. I am sure all will stand in agreement on that. I feel we should get on now with the rest of the business of the evening."

Mr. Brooking nominated Mr. DeMarcus for Secretary. Mr. DeMarcus felt there might be some conflict of interest, and suggested someone else be elected Secretary of the Board.

Mr. Lucas nominated Mr. Haas for Secretary; seconded by Mr. Brooking; Mr. Haas was elected by acclamation.

Motion was made by Mr. Brooking to defer nomination of a Treasurer until the next meeting; seconded by Mr. Haas; unanimously carried.

Mr. DeMarcus informed Mr. Butler of a public meeting of the Board of Regents and the Site Selection Committee, which is to be held tomorrow evening at 8:30 p.m., at the TraveLodge, Newport, Kentucky. He said all school superintendents in the area, legislators, members of the press, and Chambers of Commerce representatives have been invited. Mr. DeMarcus said he would make a report on the progress of the Site Selection Committee and on what the Board is doing, and then suggest that questions may be addressed to the Board and the Committee.

Mr. Wiley requested that following these first two meetings, the next meeting should be held in Boone County, at the Boone Aire Country Club.

It was unanimously moved to adjourn at 8:27 p.m.

Certification is listed as signed.

Call + Agenda mailed

MINUTES

BOARD OF REGENTS MEETING

NORTHERN KENTUCKY STATE COLLEGE

The second official meeting of the Board of Regents of the Northern Kentucky State College was called to order at 7:55 p.m., November 14, 1968, at Boone-Aire Country Club, Burlington, Kentucky, by Hon. Wendell P. Butler, Superintendent of Public Instruction of Kentucky.

In attendance were: Mr. John P. DeMarcus, Assistant to the Governor; Mr. Charles Wiley, Vice-Chairman; Mr. Elmer Haas, Jr.; Mr. John R. S. Brooking; Mr. Joseph Kohler; and Mr. Kenneth Lucas. Mr. Charles O. Landrum was absent when the Chairman called the meeting to order.

The invocation was given by Mr. Lucas.

Chairman Butler announced that a quorum was present.

Guests present and recognized were: Mr. Carroll Ewing, Mayor of Florence; Mr. Roy Vaughn, Member of Florence Council and the Planning and Zoning Commission of Boone County; Mr. Rector Jones, Superintendent of Boone County Schools; Mr. LeRoy Thompson, Real Estate Salesman from Hebron; Representatives from the Kentucky Post & Times Star and the Kentucky Enquireer.

Mr. Haas moved to waive reading of the minutes of the previous meeting, since all members of the Board had copies of same, and moved adoption as transcribed. Mr. Kohler seconded the motion, unanimously carried.

Thereafter, the Agenda was acted upon as follows:

Item 3 - Report of the Committee on the Status of the Northern Community College. Mr. Haas, Chairman, read the Summaries and Conclusions of the lengthy report to the Board, copy of which was available to all members. Mr. Haas commented that the Committee determined that the two-year college would receive the advantage of using facilities of the four-year college. The report was submitted by members of the Committee: Mr. Kohler, Mr. Haas, and Mr. Wiley.

Mr. Brooking moved that the following motion be accepted: That the Board of Regents of the Northern Kentucky State College, after thorough study, recommend to the 1970 Kentucky General Assembly that the University of Kentucky Community College in Covington, be brought under the control of Northern Kentucky State College. Motion seconded by Mr. Wiley, unanimously carried.

Mr. Butler stated that he felt the Regents were moving in the right direction. Mr. Brooking moved that Mr. Butler's favorable comments in regard to the above motion be incorporated into the minutes of the meeting. Mr. Wiley seconded the motion, unanimously carried.

Mr. Brooking moved that the Report of the Regent's Committee on Status of the Northern Kentucky Community College be incorporated in the minutes of the meeting and made a part thereof in its entirety. Motion seconded by Mr. Wiley, unanimously carried.

Mr. Wiley moved that the open letter to residents of Northern Kentucky written by Mr. Kohler be adopted and be made a part of the record. Motion seconded by Mr. Haas, Unanimously carried.

Item 4 - Site Selection Committee progress report. Mr. DeMarcus reported that he had met with the Site Selection Committee and that the committee proposed that the professional firm of Management Consultants, Robert Heller Associates, Inc., of Cleveland, Ohio be employed to evaluate all sites. The proposal was adopted unanimously by the Site Selection Committee and was approved by the Council on Public Higher Education. The Site Selection Committee received applications from at least fifteen to seventeen different firms in making an evaluation of same. Included in the proposal are a number of recommendations of this firm. Mr. DeMarcus stated that the Site Selection Committee is making adequate progress -- as good as could be expected under the circumstances.

Mr. Haas moved that the proposal submitted for the examination of sites for the Northern Kentucky State College be given a vote of confidence along with the approval of the Board of Regents. Mr. Lucas seconded the motion, unanimously carried.

Item 5 - Appointment of Screening Committee for College Personnel and Staff. Mr. Haas, Mr. Lucas, and Mr. Kohler were nominated. Mr. Haas moved that the nominations be adopted. Motion seconded by Mr. Brooking, unanimously carried. Mr. Butler commented that the Committee can call on the Department of Education and the Governor's Office for information or help, if needed.

Item 6 - Mr. Haas, moved that Mr. DeMarcus be given official status to act for the Board of Regents of Northern Kentucky State College at meetings of the Council on Public Higher Education and that a letter be given to that effect. Mr. Wiley seconded the motion, unanimously carried.

Mr. DeMarcus suggested that the next meeting be held after the Committee reports on Student Population, Accreditation Study, and Screening Committee take place and can be made a part of that meeting. The next meeting date was left open to be set at a later date.

Mr. Lucas moved the Board recess at 8:15 p.m. to give Mr. Landrum an opportunity to arrive at the meeting. Motion seconded by Mr. Brooking, unanimously carried. Mr. Lucas suggested that the recess be used to hear statements of people from Boone County who had attended the meeting.

Following are the comments of visitors in attendance. Mr. Carroll Ewing: "Florence City Council is on record as supporting a four-year college in the Northern Kentucky area. We would be glad to render any service that we might be able to give. We offer the use of our City Building for meetings at no expense for meeting any night of the week." Mr. Rector Jones stated that the facilities of Boone County Schools were available for meetings and that he would be glad to help in any way he could. Mr. Thompson of Hebron stated that he felt that the Board of Regents should buy twice as much land that they figure they might need. Mr. Jones asked the amount of acreage proposed for the college. Mr. DeMarcus replied that a minimum of 350 acres had been recommended by the College Presidents in the State. Mr. Butler stated that the attitude of the people of the Northern Kentucky section of the State is a big asset.

Mr. Landrum arrived at 8:30 p.m. Mr. Butler recalled the meeting to order, and authorized Mr. Brooking, an attorney and notary public, to administer the oath of office as Regent of the Northern Kentucky State

College to Mr. Charles O. Landrum. After Mr. Brooking administered the oath, Mr. Landrum replied, "I so swear", and was congratulated.

Mr. Haas moved that the meeting adjourn, seconded by Mr. Kohler, unanimously carried at 8:55 p.m.

Attached to Minutes:

1. Committee on Status of U. K. Community College
2. Letter from NKEA written by Mr. Kohler
3. Agenda

Certification is listed as signed

Need call + Agenda

MINUTES

BOARD OF REGENTS MEETING

NORTHERN KENTUCKY STATE COLLEGE

The third official meeting of the Board of Regents of the Northern Kentucky State College was called to order at 8:30 p.m., February 18, 1969 at the Northern Community College, Covington, Kentucky by Charles Wiley, Vice-Chairman of the Board of Regents in the absence of Hon. Wendell P. Butler, Superintendent of Public Instruction.

In attendance at the meeting were: Mr. John P. DeMarcus, Assistant to the Governor; Mr. Charles Wiley, Vice-Chairman of the Board; Mr. Elmer Haas, Jr.; Mr. Joseph Kohler; Mr. Kenneth Lucas; Mr. Charles O. Landrum. Mr. John R. S. Brooking was absent. The Board expressed concern about Mr. Brooking's illness. He was confined to the hospital due to recent surgery.

The invocation was given by Mr. Lucas.

Vice-Chairman Wiley announced that a quorum was present.

Mr. Haas moved to waive reading of the minutes of the previous meeting, since all members of the Board had copies of same, and moved adoption as transcribed. Mr. Kohler seconded the motion, unanimously carried.

Thereafter, the Board engaged in general discussions concerning the activities and progress of the various committees:

Consultant Committee

Mr. Brooking
Mr. Lucas

Accreditation Committee

Mr. Wiley
Mr. Landrum

Committee on the Status of the Northern Community College

Mr. Haas - Chairman
Mr. Kohler
Mr. Wiley

Screening Committee for College Personnel and Staff

Mr. Haas
Mr. Lucas
Mr. Kohler

The various progress and problems of the committees named on the preceding page were noted and discussed at length by the various Board members. Official reports will be expected at the next official meeting for record of the Board.

There being no further business, Mr. Haas moved that the meeting be adjourned; seconded by Mr. Landrum; unanimously carried at 10:05 p. m.

Call. Agenda needed

MINUTES OF REGULAR MEETING
OF
BOARD OF REGENTS OF NORTHERN
KENTUCKY STATE COLLEGE, HELD
MAY 20, 1969

The Board of Regents of Northern Kentucky State College met in a regular meeting on May 20, 1969, at 7:00 P.M., EDT, at the University of Kentucky Community College in Covington, Kentucky, in the Seminar Room. Mr. Wendell Butler, Superintendent of Public Instruction, called the meeting to order. The invocation was given by Mr. Kenneth Lucas. The Chairman, Mr. Butler, then recognized Mr. James McKennoy, the non-voting advisory faculty member. The non-voting advisory student, Mr. Dan Kraemer, was absent at this time. The following members of the Board of Regents were present: Mr. Wendell Butler, Chairman; Mr. Charles Wiley, Vice-Chairman; Mr. Elmer Haas, Secretary; Mr. Joseph Kohler; Mr. Kenneth Lucas; and Mr. John Brooking. Mr. John DeMarcus, Consultant to the Board, was also present. Mr. Charles Landrum was absent.

Mr. Butler recognized visitors present at this time. Mr. Art Schmidt, State Representative; Mrs. Wendell Butler; Mr. Ralph Nall, State Department of Finance; Mr. Clyde Middleton, State Senator; Mrs. Meredith Armstrong; Mr. Ben Baker, Member of the Executive Committee of the Site Selection Committee for the College, and others.

Mr. Haas moved that the minutes of the previous meeting be waived and approved since each member had a copy thereof. Mr. Wiley seconded the motion. Motion approved unanimously. Mr. Haas moved that reading of the Escrow Agreement be waived and approval thereof given. After some discussion, Mr. Haas withdrew the motion.

Thereupon, the Chairman stated that one of the purposes of the meeting was to consider and possibly act upon the execution by the Board of Regents of Northern Kentucky State College of an Escrow Agreement between the Board of Trustees of the University of Kentucky, Lexington, Kentucky, First Security National Bank & Trust Company of Lexington, Kentucky, the Board of Regents of Northern Kentucky State College and the State Property and Buildings Commission of the Commonwealth of Kentucky, a form of which Escrow Agreement was presented to the meeting and which had already been executed by one or more of such parties. After the matter of the advisability of the execution of such Escrow Agreement had been fully considered, Mr. Haas made a motion, which was seconded by Mr. Wiley, that the following resolution be adopted:

BE IT RESOLVED that the Chairman and the Secretary of the Board of Regents of Northern Kentucky State College be and are hereby authorized and directed to execute an Escrow Agreement between such Board, the Board of Trustees of the University of Kentucky, First Security National Bank & Trust Company and the State Property and Buildings Commission of the Commonwealth of Kentucky substantially in the form presented at the meeting at which this resolution was proposed, and such Escrow Agreement providing, among other things, for the execution and delivery of a deed to Northern Kentucky State College by the Board of Trustees of the University of Kentucky, conveying the real estate which is now being used for the Community College in Covington, Kentucky, with certain provisions being contained therein as set forth in such Escrow Agreement, for the payment during the fiscal year 1969-1970 by the Board of Trustees of the University of Kentucky to the College of certain rental for such facilities and for the transfer to the College by such Board of Trustees of certain amounts received by such Board of certain earnings and savings in connection with the

escrowing of certain monies to retire the outstanding Series A and Series B bonds of the University of Kentucky pertaining to its Community College System.

BE IT FURTHER RESOLVED that there be accepted such deed from the Board of Trustees of the University of Kentucky upon same being executed and delivered to a representative of the College in the form as provided by such Escrow Agreement.

BE IT FURTHER RESOLVED that the officers of the Board of Regents are hereby authorized and directed to take any and all other steps which may be necessary or desirable to carry out the intent of such Escrow Agreement and such deed.

Upon a vote being taken upon such a motion, the result was as follows: AYES: Charles Wiley, Joseph Kohler, John R. S. Brocking, Elmer Haas, Kenneth Lucas. NAYS: None.

Thereupon, it was declared that such motion had carried and that such resolution had been adopted and was in full force and effect.

Mr. Haas asked Mr. Ralph Hall, State Department of Finance, to give further explanation of the Escrow Agreement.

Mr. Hall gave some of the following comments: The primary objective proposed by the Governor's office was to establish a base upon which Northern Kentucky State College could grow quickly--a base upon which bonds could be provided for growth. A bond issue is coming up for community colleges which complicated to some degree the situation for the Department of Finance. Since revenues have been pledged to pay bonds, the decision was forced by this fact. With the approval and encouragement of Governor Nunn, the Department searched for funds to retire bonds in the amount of $2,250,000. They were able to find funds from bonds issued by the State of Kentucky for various purposes around 1965

in the amount of $37,000,000. These bonds were used not only for higher educational purposes, but for many state agencies, including parks, lakes, etc. This money could not be spent in one year, so there remained a portion of that which could be invested, committed to provisions, but unspent. Earnings from these investments are a source for funds which Governor Nunn encouraged the State Property and Buildings Commission to make available to the University of Kentucky to retire bonds of Community Colleges which would free the University of Kentucky from its commitment in regard to revenue. In turn, the University of Kentucky would pledge Northern Kentucky Community College facilities to the Northern Kentucky State College. The University of Kentucky, according to the agreement, then declared that the Northern Kentucky Community College is no longer needed in operations; therefore, it deeded its properties to the Northern Kentucky State College. $140,000, included for debt service at the University of Kentucky for the Community College, was suggested and made available as lease rental for the University of Kentucky to the Northern Kentucky State College, assuming that the State College would not be in operation before September of 1970, because of the time involved in funding such an operation. This $140,000, therefore, will be available for development costs, according to the provision in the Escrow Agreement.

Another source of funds for the Escrow Agreement's $2,250,000 is that the Series A and B bonds come due in the future and at the first call dates. Bonds cannot be paid for immediately with the escrow money. This means there will be investment earnings on the escrow money--and provisions have been made for these earnings to come to Northern Kentucky State College. This money is to be used only for capital construction purposes, trusting that the Legislature would provide operating funds.

The deed will be executed shortly so that the title is in the name of the Northern Kentucky State College, Commonwealth of Kentucky. Lease rental payment will come from the University of Kentucky.

Proviso: If for some reason, the Legislature failed to act to remove from the statutes present requirements that the University of Kentucky operate in Covington a Community College facility, there would need to be some proviso for the University of Kentucky to have use of this facility until new land could be purchased. The University of Kentucky would have the option of leasing back and repurchase of property. This gives a basis in 1971 where school fees from students in this institution can be used as a basis for debt service on bonds which can be then issued to begin construction of a new facility. The bonding potential is $3½ to $4 million, and will increase. In answer to a question posed by Mr. James McKenney, Faculty Representative, the University of Kentucky would be responsible for maintenance of the present buildings during the period of the lease agreement.

Mr. Haas, Chairman of the Committee for the Northern Kentucky Community College Faculty and Staff Considerations reported that their report in regard to retirement and tenure, Document #2, has been received by all members of the Board. Mr. Haas read the summary of said report. Mr. Haas read a communication from Mr. James McKenney in regard to the report. The Board agreed to answer Mr. McKenney's questions in regard to retirement and tenure of the faculty of the Community College after adequate research. Mr. Haas read a report from Dean Hauselman of the Northern Community College in connection with the Nursing Program. Mr. Wiley moved that the resolution be adopted and the two proposals be referred to committee. Mr. Kohler seconded the motion. Motion carried unanimously.

Chairman of the Consultant Committee, Mr. John Brooking, referred the Board to Document #3 under Committee Reports. Mr. Brooking stated that from the thirty consulting firms that sent plans, the Committee selected Taylor, Lieberfeld and Heldman, Inc., 235 Park Avenue, South, New York, N. Y. 10003. The Committee reviewed all statements, resumes, and felt that Taylor, Leiberfeld & Heldman, Inc.: 1. Had more expertise in planning new colleges than any of the other firms; 2. Had no experience with the State of Kentucky, and thus wouldn't have any preconceived ideas from university politics in Kentucky on how things should be done; 3. Felt that their presentation of how the company would go about planning was the best presented for the least expense, the sum of approximately $37,000. The firm proposed to give us a forecast of student enrollment over a fifteen-year period, study financial obligations of the College, and how all of these and other factors would be used in their program. The Consultant Committee, including Mr. Charles Landrum, selected this firm for recommendation for the above reasons.

Mr. Brooking moved that the Board of Regents of Northern Kentucky State College retain and request the Council on Higher Education to pay the firm of Taylor, Lieberfeld & Heldman, Inc., 235 Park Avenue, South, New York, N.Y. 10003, as consultant to the Board from the proposal outlined above. Mr. Lucas seconded the motion. Mr. Haas asked if arrangements on payment of the consultant had been made. Mr. Brooking answered in the affirmative. Members of the Board of Regents approved the motion unanimously.

Mr. Dan Kraemer, Student Non-Voting Representative, was recognized by the Board. Mr. Brooking proposed a resolution welcoming the Student and Faculty members and making them Advisory members of the Consultant Committee and the Committee on Northern Community College Faculty and Staff Considerations. Mr. Wiley seconded the motion. Motion carried unanimously.

Mr. Ben Baker, Chairman of the Site Selection Committee, reported that the report by Robert Heller Associates, Inc., is about ready for distribution. Mr. Baker added that members of the Site Committee wanted to wait until the soil consultant report is available before final action. The Council on Public Higher Education will receive the complete reports, review them and give their opinion in regard to the site selection, in accordance with prior agreements.

Mr. Wiley moved that a resolution be approved accepting and approving of the recommendation of the Site Selection Committee and recommended same to the Council on Public Higher Education. Motion seconded by Mr. Elmer Haas. The motion was amended to read the same as above, plus: subject to a soil report being submitted. The motion was approved unanimously as amended.

Mr. Wiley moved that the Board of Regents compliment the Site Committee for their excellent work. Motion seconded by Mr. Brooking. Motion carried unanimously.

Mr. Wiley moved that a Committee to Coordinate and Finalize Site Selection Activities with the Site Selection Committee be appointed consisting of Mr. Haas and Mr. Landrum. Mr. Kohler seconded the motion. Motion carried unanimously. The Chairman, Mr. Butler, made the appointment.

The meeting was interrupted at this point by Mrs. Vernon (Dixie) Lee to ask why the college was placed in Campbell County. Mr. Wiley answered that the educational authorities given the job to evaluate the sites agreed that this site would best serve all of the Northern community. Mr. Baker, Chairman of the Site Committee, explained that Robert Heller Associates went into each factor to be included in the final report in detail. He explained that the three sites were judged as such and were not judged according to the county in which the site was located. The terrain, access, utilities and population center, etc., were taken into consideration. Mrs. Lee felt that the college site was chosen on a political basis and so stated. Mr. Lucas stated that such was not the case. Mrs. Lee

said she would give this information in regard to the College to the voters as she goes door-to-door in her political campaign.

Mr. Haas moved that the question of how the College was selected be referred to the Site Committee. Later Mr. Haas withdrew the motion.

Mr. Brooking stated that since Mrs. Lee had put Heller Associates' integrity in question, he thought that they should be informed. Mrs. Lee then apologized and stated she wanted to do nothing to harm the college. Mr. Wiley stated that the damage had been done and asked the Chairman to proceed with the meeting.

Mr. Kohler offered a resolution that the Community College Facilities in Kenton County be continued indefinitely as an educational facility and that it be resolved to look into plans to make the Kenton County facility an extention or evening center of Northern Kentucky State College after the actual administrative transfer in 1970. Mr. Kohler moved adoption of the resolution. Mr. Haas seconded the motion.

Mr. Brooking stated that the problem with the evening college is: first, it is not known and will not for some time be known the extent of the community college or two-year college until our consultants advise us; and secondly, until a master plan is adopted on how the college will be set up, where buildings will be, etc., it should not be said if there will be an evening college.

After this discussion Mr. Kohler amended the resolution to read that the Community College facilities in Kenton County be continued indefinitely as an educational facility. The amended resolution was seconded by Mr. Haas and carried unanimously.

Mr. Brooking introduced the mayor of Highland Heights, Mr. Robert Slater, and the City Attorney for Highland Heights, Mr. William Bertelsman. Mr. Brooking stated that there is an annexation suit in Campbell Circuit Court. The City of Highland Heights seeks to annex all of the area where the College will be constructed. But the City is being opposed by the freeholders of the annexed

territory. Because of police and fire protection he recommended that all of the College be in Highland Heights. It was proposed that the Board of Regents go on record favoring annexation of the entire area of the College.

Mr. Bertelsman, attorney for the City of Highland Heights, spoke. He said one-tenth of the proposed site is in Highland Heights. Consultants would recommend unified zoning. Annexation plans have been going on for about two years before the land for the College was optioned. The suit is not set for trial for thirty to sixty days. Therefore, Mr. Haas moved that the matter be referred to committee, investigating the advantages and disadvantages of annexation. Mr. Kohler seconded the motion. The matter was assigned to Mr. Haas' committee and will report at the next meeting. Mr. Haas asked that Mr. Brooking be assigned as consultant to the Committee. Mr. Brooking was so appointed by the Chairman, Mr. Butler. Motion was unanimously carried.

Mr. Brooking moved that the Board of Regents thank Governor Louie B. Nunn, the Department of Finance, Skaggs & Hays, bonding attorneys, for their assistance in the development of the college. Mr. Haas seconded the motion. The motion carried unanimously.

Mr. John DeMarcus, consultant to the Board, thanked Chairman Wendell Butler for attending the meeting.

There being no further business to come before the meeting, a motion was made, seconded and unanimously carried that the meeting adjourn at 8:10 P.M.

Elmer Haas, Secretary

I, Elmer Haas, Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting held on May 20, 1969, and that such minutes are still in full force and effect.

Dated this June 12, 1969.

Elmer Haas, Secretary

Call Agenda needed

MINUTES

BOARD OF REGENTS MEETING

NORTHERN KENTUCKY STATE COLLEGE

FRIDAY, JULY 25, 1969

7:30 P.M.

All Regents were present at the meeting. Superintendent Butler was absent, and Vice Chairman Wiley acted as Chairman of the meeting.

Reading of the prior minutes was waived, they were received and unanimously passed.

Visitors recognized were a representative of the Kentucky Post, the Cincinnati Enquirer, and the Courier-Journal.

Vice Chairman Wiley reported that the Council on Public Higher Education had approved the consultant contract of Taylor, Lieberfeld, & Heldman, Inc. Vice Chairman Wiley reported that the Board should request an additional $31,000.00 for consultant fecs from the Council on Public Higher Education to enable further proceedings in the analysis of the site and other preliminary studies, and for a comprehensive plan for the college site in Campbell County.

John DeMarcus, Consultant to the Board of Regents, reported that Mr. Lieberfeld would make his first report to the Board of Regents as to preliminary studies at the September meeting of the Board of Regents.

Report by Mr. Haas of the Site Selection Committee was then made. He reported that the college site is under option until July 1, 1970. Mr. Haas reported that the Council on Higher Education had approved the site of the college in Campbell County and Mr. Haas tendered the letter of the Council to this effect, and same was filed with the minutes of the meetings.

Mr. Haas, Chairman of the Community College Committee, asked that the Board vote on Document # 2 which was submitted to the Board at its regular May 20 meeting concerning tenure, academic leave and rank, with regard to Community College personnel. Mr. Haas made motion that this report, which dealt with the acceptance and continuation of such policies as outlined in Document # 2 be accepted. Motion seconded by Mr. Kohler. Approved unanimously. (Copy of Document # 2 is attached.)

Mr. Wiley reported that letters have been sent to various foundations throughout the country in an attempt to obtain funds for purchasing the new college site, however, no firm commitment at this time had been received.

Vice Chairman Wiley reported that he had written to the Kentucky State Attorney General to request a legal opinion on the Board of Regent's right to obtain local financing for the college site, but he reported that he had not received an answer from the Attorney General as yet.

Vice Chairman Wiley then announced he was appointing the Site Selection Committee to proceed to investigate local financing for the new college site.

Motion was made by Mr. Haas, seconded by Mr. Brooking that resolutions and other official matters to be presented to the Board of Regents by non-Board members, should be registered in advance with the Secretary of the Board of Regents before same could be presented at any regular meeting. Discussion followed. The motion was passed 3 to 2 (voting no were Mr. Kohler and Mr. Lucas.)

Mr. Kohler reported on the Committee on Personnel Selection. Mr. Kohler reported that the committee interviewed Dr. A. D. Albright for the presidency of the new college. Dr. Albright decided not to accept the offer of President of the college. Mr. Kohler reported that by the next meeting he hoped to have recommendations for Budget Director of the college and the presidency.

Mr. DeMarcus made a report that the escrow agreement funds had been deposited with the First Security National Bank in Lexington and further reported on the actions of the Council on Public Higher Education and Department of Finance with regard to the new college.

Motion made by Mr. Haas and seconded by Mr. Lucas that the bill of Skaggs and Hays, Attorneys at Law for $1,530.00 for legal services in connection with transfer of Northern Kentucky Community College property from the University of Kentucky to Northern Kentucky State College be accepted. Passed unanimously.

Mr. Brooking moved that the Board of Regents, by and through its Vice Chairman and Consultant, formally request of the Council on Public Higher Education, the sum of $31,000.00 for future consultant fees. Mr. Haas seconded the motion, same passed unanimously.

Motion was made by Mr. Haas for adjournment, seconded by Mr. Brooking and passed unanimously.

_____
Elmer Haas, Secretary

I, Elmer Haas, Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting held on July 25, 1969, and that such minutes are still in full force and effect.

Dated this July _____, 1969

_____
Elmer Haas, Secretary

agenda needed

MINUTES OF SPECIAL MEETING
BOARD OF REGENTS
NORTHERN KENTUCKY STATE COLLEGE
SEMINAR ROOM
NORTHERN COMMUNITY COLLEGE
MONDAY SEPTEMBER 8, 1969
7:30 P. M.

The Board of Regents of Northern Kentucky State College met in a special meeting on Monday, September 8, 1969, at 7:40 P. M. EDT., at the University of Kentucky Community College in Covington, Kentucky, in the Seminar Room.

Vice-Chairman, Mr. Charles Wiley, declared a quorum present, and presided in the absence of the Chairman, Mr. Wendell Butler. The following members of the Board of Regents were present: Mr. Wiley, Vice-Chairman; Mr. Elmer Haas, Secretary; Mr. Joseph Kohler, Mr. Kenneth Lucas, Professor James McKenney, Faculty Representative, and Mr. John DeMarcus, Consultant to the Board. Absent were: Mr. John Brooking and Mr. Charles Landrum.

minutes approved 9 ~~8~~

The Chairman stated that the principal reason for calling the special meeting was to discuss the parking problem at the Northern Center. Mr. A. J. Hauselman, Acting ~~Dean~~ Director of the ~~Center~~ Northern Community College, and Mr. Paul Schott, Business Manager of the Center, were introduced to the Board.

(change of 2 pp)

Mr. Hauselman stated that he appreciated the Board coming and hoped that they can come up with a solution. He presented two papers to the board. The Parking Statistics stated: "There are only 357 student parking spaces for over 1500 students...There are only 43 parking spaces for faculty and staff. Of the 86 members on the faculty and staff 54 are full-time and 32 are employed on a part-

He stated that 70% of the students are full time. The second paper gave the hourly student enrollment for the Fall Semester. There is one car for every 2.2 students. Parking figures do not include students who may be in the library, seeing counselors, etc. The admisistration cannot encourage students to stay at the Center because of the pressure for space. Mr. Hauselman said he made a physical count; and, on the average, during more heavily used periods, he found between 75 and 85 cars that are improperly parked on yellow lines, etc. The Center stopped issueing citations for violations because the students have to park some place. Mr. Hauselman felt the college had been quite lenient. The college must have relief before bad weather comes. He said he had not made a survey as to how many of the students are walking or how many are coming by public transportation.

The Vice-Chairman asked if any encouragement had been made regarding car pools. Mr. Schott said they could be encouraged, but the students do pretty much what they want to. Mr. Hauselman pointed out that some students work, and that probably is the reason not many come in a car pool. Mr. Haas asked if they had any idea as to the requirements for parking. Mr. Hauselman stated that they had not had the full impact from bad weather which brings more cars, as does the rate when student employment seems to go up. There will be more students gainfully employed by the end of the semester than now and may not be sharing rides later. His recommendation is to go ahead now with the maximum number of spaces which can be provided on the present hard--top with as little earth movement as possible -- a minimum of 100 additional spaces and a minimum of 150 spaces.

UK Northern Center is increasing enrollment from seven to ten percent a year.

Mr. Hauselman introduced Messrs. Guest and Forstrum and stated they had checked the topography of the region, made a recommendation and survey of the hilltop. Mr. Guest's first thought was to reorganize what was already in use. He felt that the College was not getting the maximum number of cars in the space available. He made a sketch lining cars to take advantage of every place without going into the greenery. He said that he could gain eighty cars by just doing this. Some curbs can be removed and bring parking into some grassy areas. Mr. Guest's recommendation was to remove the big islands and make everything parking from the building over. That would leave two landscaped areas. He said he could get 260 cars parked by rearranging and taking out the islands. He estimated the cost for a paved lot would be approximately $25,000 for 100 spaces. The work could be done in sections to deep construction interference at a minimum. This would involve a minimum amount of grading, blacktop, and blacktop curbs.

UK thought the State Highway Department might be induced to help. The cost is $250 to $275 per car on most excavation done for UK. Mr. Guest felt that improved lighting was needed also, and felt that it should be included as part of the plans.

Mr. Hauselman stated he hoped the Highway Department can help because of the time element. We need to be working against the weather to have the work done and parking spaces completed.

The last parking improvement was made about four or five years ago. The present plan was disapproved by the University of Kentucky last year.

Mr. Haas recommended that a Committee be appointed to investigate the proposal, to investigate aid available from the State Highway Department, and make recommendations. Mr. Hauselman stated that the State Highway Department was asked to re-mark the parking lot, but didn't get to it, but he hopes they don't now in view of the possibility of more improvement.

Mr. Haas recommended to the Chairman that a Committee resolve the matter within a week to ten days. Mr. Kohler moved that the Committee be given emergency power to approve or disapprove expenditures and do what is necessary to add 100 to 150 parking places. Mr. Lucas seconded the motion. Mr. Haas stated that he didn't like to give the Committee a blank check. Mr. Haas amended the motion to give the Committee possible expenditures up to a maximum of $25,000. Mr. Lucas seconded the motion as amended. The motion was carried unanimously. The Chairman appointed the Committee that has been working with the Community College to handle the matter of Parking. The Chairman is Mr. Haas. Members are Mr. Kohler and Mr. Wiley. Mr. Wiley appointed Mr. Landrum to act as consultant to the Committee.

Mr. Hauselman stated that UK will provide technical services. Mr. Hauselman asked Mr. Guest to bring his plans so that the Board can study the different setups of the parking lot he has designed. He stated that he could do so in two or three days. The Chairman thanked the gentlemen for coming to present their recommendations.

Mr. Hauselman presented another problem that had arisen at the Community College. It seems the Community College librarian resigned. He tried to replace her, but had the problem of hiring a qualified person for one year. Since the Board of Regents of NKSC had expressed itself that members of the Community College faculty would be offered comparable positions and benefits in the new college, a new librarian was hired in this manner. Since the kind of person NKSC would want on its staff would cost more money than the Community College could pay, Mr. Hauselman felt that the Board might augment the salary of the newly employed librarian. The annual salary was $800 in excess of the budget for a librarian at the UK Northern Center. Mr. Hauselman asked the Board for same. He said the librarian would continue consulting services to the Board during this period of time. Mr. Haas moved that the $800 be paid to augment the salary of the librarian of the Community College for one year. Mr. Lucas seconded the motion. Motion passed unamiously.

The second item on the agenda for this special meeting was Travel Expenses for the Presidential Selection Committee. Mr. Kohler stated that the Kentucky law provides for payment of expenses. He referred the Board to a copy of the revised Standard Travel Regulations which became effective April 3, 1969. Mr. Kohler moved that the Board allow payment of the expenses of the Presidential Selection Committee and that a copy of the State Standard Travel Regulations be attached to the minutes. The motion was seconded by Mr. Haas and carried unanimously.

The third item on the agenda was in regard to the adoption of the Official College Seal. The Chairman stated that several designs for the Seal were made several months ago for the Ky. Post and Times Star to sponsor a contest for the public to choose one design for the College. Miss Donna McKeown reporter for the newspaper, was introduced. She said the public response was not good, and that the Post would return the sketches. She presented the Board with the response received by the newspaper through the mail. The Chairman referred the information to Committee and ask that a report be prepared for the next regular meeting of the Board.

The fourth item on the agenda was the appointment of a fiscal agent to act for the Board. Mr. Haas moved that Mr. Wiley be appointed to act in this capacity. Mr. Kohler seconded the motion. Motion was carried unanimously.

Mr. James McKenney, the Faculty Representative on the Board, pointed out that Mr. Dan Kraemer, the Student Representative on the Board was now attending school at UK campus. He felt that the Center should appoint another member to the Board. The Chairman will inform Mr. Hauselman to this effect and ask the Student Representative be present at the next Board meeting. The Chairman suggested that Mr. Kraemer should have a letter of appreciation from the Board. Mr. Kohler moved, resolved that Mr. Dan Kraemer be sent a letter of appreciation for his contribution and the Board's desire to wish him the best in the future.

Mr. Lucas seconded the motion. Motion unanimously passed.

Mr. Kohler moved that the meeting be adjourned at 8:45 P.M.

Mr. Haas seconded the motion.

Elmer Haas, Secretary

I, Elmer Haas, Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting held on September 8, 1969 and that such minutes are still in full force and effect.

Dated this September ____, 1969

Elmer Haas, Secretary

AGENDA

Regular Meeting of the Board of Regents
Northern Kentucky State College
Thursday, November 20, 1969
7:30 p.m.

Invocation —

Recognition of Student and Faculty Members

Roll Call

Recognition of Visitors . Mr. Kenneth Howard Maman - Student Rep.

Approval of Minutes of September 8, 1969 Board Meeting

1. Taylor, Lieberfeld, & Heldman, Inc. Preliminary Report on College Enrollment - Mr. Lawrence Lieberfeld

2. Budget Committee Report - Mr. Charles Wiley

3. Report of the Community College Committee - Mr. Elmer Haas

   A. Teachers Insurance and Annuity Association of America (TIAA)
   B. Nursing Program
   C. General Remarks - (Informational Report)

4. Progress Report of Committee on Presidential Selection - Mr. Joe Kohler

5. Report on Adoption of Official College Seal, Colors, etc. - Mr. Charles Wiley

6. Appointment of a Joint Board-Faculty Committee to develop an Academic Program for 1970-71. (The Committee will also develop a College Bulletin, Enrollment & Application Procedures.)

7. New Business

Complete
9 copies

MINUTES OF REGULAR MEETING OF THE BOARD OF REGENTS
OF NORTHERN KENTUCKY STATE COLLEGE, ROOM B-1,
NORTHERN COMMUNITY COLLEGE, THURSDAY, NOVEMBER 20, 1969,
7:30 P.M.

The Board of Regents of Northern Kentucky State College met on Thursday, November 20, 1969, at 7:30 P. M., EST, at the University of Kentucky Community College in Covington, Kentucky, in Room B-1.

Vice-Chairman, Mr. Charles Wiley, declared a quorum present, and presided in the absence of the Chairman, Mr. Wendell Butler. The following members of the Board of Regents were present: Mr. Charles Wiley, Vice-Chairman; Mr. Elmer Haas, Secretary; Mr. Joseph Kohler, Mr. John Brooking, Mr. Kenneth Lucas, Professor James McKinney, Faculty Representative, Mr. Kenneth Howard Mauser, Student Representative, and Mr. John DeMarcus, Consultant to the Board. Absent was: Mr. Charles Landrum.

The invocation was given by Mr. Lucas. The Chairman recognized the newly elected student representative, Mr. Kenneth Howard Mauser, and the Faculty Representative, Mr. James McKinney.

Mr. Elmer Haas moved that the minutes of the September 8, 1969, Board Meeting be waived and approved as written with the following exceptions: (1) the Center should be referred to as Northern Community College; (2) the addition of "the minutes of the previous meeting were waived and approved as written." Motion seconded by Mr. Joseph Kohler and unanimously approved.

Mr. Lawrence Lieberfeld of Taylor, Lieberfeld and Heldman, Inc. was introduced. He gave a summary of the work his firm has been performing in regard to student enrollment projection. He noted first in his report that the number of high school graduates from the three-county area pertains to school enrollment in each county, both public and private schools. The tables in his report show the progression of students from grade to grade for the past ten years. His statistics show that the birth rate rose up to 1961. Then it began to decline in the early 1960's, corresponding with the national trend. This will have a bearing on the enrollment of the college eighteen years later.

With the participation of high school students in college increasing rapidly, the tables show that the total of high school graduates in 1968-69 in the three-county area of 3,500 will increase to about 4,000 in 1974-75. In 1975, 54% of the high school graduates are projected to go to college, compared with 45% in 1968. This is the principal factor in the enrollment potential of the college. The study shows why many of the college freshmen are expected to attend this institution.

The firm has made a study of where high school graduates from these counties have been going to college. The firm was dependent in a large part upon data from agencies in Kentucky. Mr. Lieberfeld commended the Council on Public Higher Education for its exceptional research and records in this area and particularly praised the abilities of Dr. D. E. Elswick, Director, Division of Educational Research, Department of Education, Frankfort and Dr. William Webb, Associate Director, Council on Public Higher Education, Frankfort. Statistics reveal that in 1968-69, of students who went to Kentucky colleges, 37% attended public institutions, such as Eastern Kentucky and University of Kentucky. The same number went to private senior institutions. Obviously, when you add to this picture a new public senior institution in this area for the first time, this distribution is going to change. The firm did its best to make some judgment about the distribution from the area in 1973 to 1985. In their best judgment in 1973, 57% of the high school graduates who go to Kentucky colleges will elect this new Northern Kentucky State College. It is projected to reach 62%.

Of high school graduates in this area who attend college, 82% go to Kentucky colleges. In the future, it is projected to reach 90%. The firm looked at the picture from other Kentucky counties and estimated that they would probably report 7% of the projected enrollment. Out-of-state enrollment would rise to 15%, the stipulated enrollment which is the policy of the State of Kentucky.

If you add all components, the Freshmen Class is projected in 1973 to be about 1300 students, will rise to 1600 plus in 1975 and to almost 2,000 in 1980. There could be a slight decline after this. To convert the freshmen enrollment to total enrollment, the firm looked at the pattern in other Kentucky institutions, both senior and junior. The Freshmen Class would report about 40% of the total enrollment, the Senior Class, 18%. The total enrollment picture in 1973 is projected to be a little over 3,000 students, in 1975, 4,000 students, in 1980, 5000 students and the total enrollment is projected to level off then.

The College should plan for 5,000 under-graduate day students in 1980. The firm has not yet projected night students or graduate students. This will be done soon. In terms of what the firm is working on now, the firm is making a study estimate of physical plant requirements for day students. The day student enrollment is the determining factor. Night students can utilize the same space as day students. (If graduate programs were oriented toward school teachers, they would fall in the category of night students.)

Mr. Lieberfeld pointed out that the picture can be affected by the question of in- and out-migration. In comparing the first-grade enrollment figures over the past ten years to chart figures six years prior, it is clear that public schools are beneficiaries of in-migration--in-migration of people with young children. In-migration will continue according to local sources. Everyone expects the stepping up of in-migration. The firm's representative talked to a research scientist at Spindletop Research. The in-migration will affect Boone County much more so than the other two counties. Because Boone County is so much smaller than the other counties, the net effect on college enrollment will not have that much of an impact.

Mr. Kohler asked if draft and changing draft laws would affect the population study. Mr. Lieberfeld assumed there will be a continuing flow according to past trend from 1956 on. Mr. Kohler asked if their type of program would affect the enrollment projection. Mr. Lieberfeld answered that in regard to two-year programs and four-year programs, the firm looked at national statistics and Kentucky statistics at student preferences. There isn't a great deal of difference. There is more emphasis on education courses in Kentucky four-year colleges than in the nation as a whole. The program will have no marked effect on enrollment. Mr. Kohler asked about the comparison of enrollment figures of this consultant's firm compared with those of a previous consultant. Mr. Lieberfeld said the totals of enrollment projection of this report and previous consultant's report are not too different. Mr. McKinney asked how they arrived at the figure of 57% of students coming here. Mr. Lieberfeld answered that some people who go to private colleges will be attracted to this college and quite a few from the University of Kentucky and Eastern Kentucky will be attracted. Quite frankly, you don't have much competition for students in this area.

The firm is preparing a rough estimation of plant requirements and is trying to move forward with a kind of structuring of program operations in sufficient detail so that building can progress as soon as possible. The firm of Taylor, Lieberfeld and Heldman, Inc. has a contract which began July 16, 1969, but because of the vacation period, actual work did not begin until mid-August. The next step is an estimate at five-year intervals of plant requirements and to show what the income and expenditure pattern would be for five-year intervals, working on parallel fronts. The contract calls for six months of work, ending on January 15, 1970.

The next item of business was No. 2 on the Agenda, Budget Committee Report. The Chairman, Mr. Wiley, read the speech to be presented to the Council on Public

Higher Education at Frankfort, Kentucky, on Friday, November 21, 1969. Several comments were made in regard to the proposed budget. Mr. Haas: The Junior year will begin in 1971, but appears as 1972 in some places in the report. This should be corrected. Mr. Kohler: Why aren't we buying more than three hundred acres? Do you expect this question from the Council? Mr. Wiley replied that the answer given to the Council previously was that six hundred more acres are available if needed. Mr. Kohler: What about playing down the evening student program? Mr. Wiley: The same facilities will be used during the day and night program.

Mr. Haas moved that the proposed budget be approved by the Board. Motion seconded by Mr. Lucas.

At this point the Student Representative, Mr. Kenneth Howard Mauser, referred the Board to page 3 of the Proposed Budget in regard to Student Activities and Publications. Mr. Mauser presented a budget for this item to compare with the amount in the Budget Committee's proposed budget. He said there was a difference of $7,000, the student budget showing $18,500 and the Board's budget $11,000. Mr. Wiley explained that a provision for Educational and Cultural Programs is included in Extension and Public Service rather than Student Activities and that the College would in effect have more for this purpose than in the Students' proposed budget. Mr. Mauser stated that he was not aware of this and appreciated the Board's interest of the Students and Public Service.

Mr. Haas stated that he thought it was commendable that the students have sufficient interest to work up support for this and that Mr. Mauser can go back to the Student Government and tell them that sufficient money will be appropriated.

The Chairman stated that he realized that cultural activities are lacking in the Northern Kentucky Area, since we have always depended on those in Cincinnati, and that this College intends to provide a base for cultural activities in the Northern Kentucky area. Mr. Kohler said that there has been a big concern of the Presidential candidates interviewed in regard to cultural opportunities.

Mr. Brooking felt that $500,000 would not be enought to pick up the options on the property for the College. Mr. Haas indicated that the options on the outlying area of land can be renewed so that they can be bought later on, if there are not sufficient initial funds.

At this point the vote was taken on the proposed bi-annual budget request and it was passed unanimously.

The next order of business was No. 3 A, B, C, on the Agenda, Report of the Community College Committee. Mr. Haas, Chairman, presented the Committee's

report in three parts: A. Teachers Insurance and Annuity Association of America (TIAA) B. Nursing Program Continuation C. General Remarks - (Informational Report). Mr. Haas amended 3B, Nursing Program Continuation resolution, to read "continued in the same form." Under Part 3C, General Remarks, Mr. Haas added that the Board go on record accepting all faculty and staff attaining tenure. The Committee approved construction of the parking lot. The Committee filed forms for application for financial aid to students.

Mr. Haas moved that all reports of the Community College Committee be accepted. Motion seconded by Mr. Kohler. Motion passed unanimously.

Mr. Wiley informed the Faculty Representative and the Student Representative that the University of Kentucky was slow in transferring the funds to the Northern Kentucky State College account was the reason why the funds were not available sooner for renovation of the parking lot.

Mr. McKinney said that the Faculty would like to know the conditions under which they would be hired and the courses they would be teaching. Mr. Haas replied that until the budget is approved, please be patient. Mr. Wiley stated that the program would probably not vary much from what they have now for the next year.

The next item of business was No. 4 on the Agenda, Progress Report of Committee on Presidential Selection. Mr. Kohler, Chairman, referred the Board to page 6, item 2, a letter to the Council on Public Higher Education, dated November 18, 1969, entitled, Selection of a President. Mr. Kohler felt that within the next few weeks the Committee should have someone definitely committed. He expressed the hope that at the next meeting the Committee will be able to present a man for concurrence and approval. Mr. Wiley added that, if necessary, a special meeting can be called.

The Chairman referred the Board to Item 5 on the Agenda, Adoption of Official College Seal, Colors, etc. Mr. Wiley, Chairman of the Committee recommended one of six designs, the educational lamp of learning encircled by the inscription, Northern Kentucky State College, 1968, and the school colors of white and gold. There was some discussion in regard to the choice of school colors. Mr. Kohler moved acceptance of the recommendation. Mr. Haas seconded the motion. The vote was: Yes, Lucas, Kohler; No, Haas, Brooking. The Chairman voted aye and the motion passed.

The next item of business was No. 6 on the Agenda, Appointment of a Joint Board-Faculty Committee to develop an Academic Program for 1970-71, a College Bulletin, Enrollment & Application Procedures. Mr. Kohler was appointed

Chairman of the Committee with Mr. Lucas, Mr. McKinney and Mr. Wiley serving on the Committee. Mr. McKinney may appoint as many as necessary from the faculty and students to assist on this--about three to five suggested. The faculty will have one vote on the Committee in development of the Program through Mr. McKinney.

The last item of business was No. 7,on the Agenda, new business. Mr. Haas stated he received a letter dated October 3 from Senator Marlow Cook and one dated October 7 from Congressman of the Fourth District, Gene Snyder, indicating that there will be no construction cut-back in Interstate 275 and Interstate 471.

Mr. Brooking stated that he is concerned that the Advisory Board of the Northern Community College has not had meetings as it should have. He stated the following: to implement a relationship between this Board of Regents and the Advisory Board to do what we can to get both moving during the terms of its existence, I recommend and move that this Board have one member on a rotating basis meet with the Advisory Board of the Northern Community College at regular meetings to advise them of what we are doing and see what they are doing and report back to the Board; and, likewise, that a member of the Advisory Board of the Northern Community College be made an advisory member of this Board, so that they can advise us. Mr. Lucas seconded the motion.

In the discussion following, Mr. Brooking said that the Advisory Board recommends to the Northern Community College but has no power. It is supposed to be advised of what is going on at the College. It is supposed to give suggestions, etc. We should have a report from them. Mr. Brooking stated that he had lunch with the Chairman of the Advisory Board and that he felt this was a good idea. The Advisory Board of the Northern Community College meets with the Administration of the College to assist in any way they can in the activities of the College and in this way assist in getting students community help, assisting in community relations and up-grading the College. Mr. Haas asked how often do they meet. Mr. Brooking replied not very often. The Advisory Board wants to meet and wants to do something. He feels that the impetus can come from this Board.

The Chairman called for the question and the motion was unanimously passed. The Chairman appointed Mr. John Brooking Chairman of the Committee. Mr. Brooking stated that he will send Mr. Robert Berkshire a letter and a representative will be invited to the next Board meeting.

Mr. Kohler referred the Board to a reprint in the Kentucky Post Times-Star of an editorial from the Falmouth Outlook. In the editorial, the writer wondered why outlying counties were not being considered as part of the Board of Regents and only three counties were considered. Mr. Lucas felt that an area of potential is East in Bracken County and that Pendleton and Gallatin Counties would be on the fringe area also.

Miss Donna McKeown of the Kentucky Post Times-Star said an open letter to these counties asking them to attend meetings could be printed. Mr. Wiley stated that legislators in this area had been contacted.

The Chairman recognized Miss Donna McKeown and Mr. A. J. Hauselman, Acting Director of the Northern Community College, as visitors to the Board meeting.

Mr. McKinney, speaking for the Faculty, said that many decisions should have been made a long time ago. He asked the Board to consider establishing a date, that if they do not have a President, policies for hiring and dismissal of faculty and courses they would be teaching, be outlined.

Mr. Hauselman, Acting Director of the Northern Community College, stated that there is a state of uncertainty that exists at the College that was set by this Board. At the initiative of this Board, a proposal was brought before the Council on Higher Education recommending that this institution be terminated and that the facilities be made available to the Northern Kentucky College. This raised a great many questions. Very serious ones involved the faculty and the staff. In January of this year this Board and representatives of the University of Kentucky met with the faculty. Verbal assurances were given; official action was taken by the Board, spreading upon the minutes of the Board proposed policies; nothing has happened since then. Under the policy of the University of Kentucky, we are obligated to inform a faculty member by December 1 if it is the intention of the College not to offer him a contract the following Spring. He met with the Dean of the Community College system. He has made a proposal that this year the University of Kentucky modify that policy somewhat;and in addition to forwarding a letter to the faculty member, that we do not intend to renew his contract (and there are none), that we forward a letter to members who have not obtained tenure, stating that the University of Kentucky will offer a contract to the other community colleges if they are interested in having one offered to them.

The quality of faculty and loyalty to students is impressive. We have come to this impass that this Board, President or no President, needs to make an expression to the Faculty on an individual basis on what the intentions

of the Board are. Mr. Haas mentioned the date of March 1 after the Legislature meets. Mr. Hauselman stated that the first of March is totally out of the question. By this time, the uncertainties will become so great that no faculty will be here. It is grossly unfair to the members of this Faculty. I would urge and plead with the Board to proceed at this time by December 1 to indicate to the faculty members intentions of the Board to follow through on the statements of resolutions adopted back in the summer of intentions to offer these faculty members comparative positions and benefits, assuring them within limitations of the Budget, to offer them positions under the conditions that were stated in the Resolution.

Mr. Kohler stated that he felt everything possible had been done except an individual letter sent to members of the Faculty--that that is the only difference from what has already been done. Mr. Haas felt it may be necessary to empower the Committee to act to give assurances, if necessary, to do so.

Mr. Brooking stated whether or not the Board writes this letter, the University of Kentucky Community system is going to invite the Faculty to be a part of the University of Kentucky Community College system. Mr. McKinney stated that the Faculty wants to have individual contracts for teachers in effect. In the University of Kentucky contracts, specific curriculum and what they are teaching is given; salary is not stated.

Mr. DeMarcus added that, assuming the College will offer the same program, write the letter to each individual faculty member that they would be employed for a length of time and specify field; and to do this, obtain information from Mr. Hauselman.

Mr. Brooking moved that the Board authorize the Committee composed of both the Board and Faculty to proceed with the above recommendation. The motion was seconded by Mr. Haas. Motion unanimously accepted.

Mr. Haas moved that the Board adjourn. The motion was seconded by Mr. Brooking.

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Elmer Haas, Secretary

I, Elmer Haas, Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting held on November 20, 1969, and that such minutes are still in full force and effect.

Dated this November ____, 1969.

_____

Elmer Haas, Secretary

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AGENDA

Special Meeting of the Board of Regents
Northern Kentucky State College
Wednesday, December 17, 1969
5:00 p. m.

Invocation

Recognition of Student and Faculty Members

Roll Call

Recognition of Visitors

1. Report of Presidential Selection Committee - Joe Kohler
2. Presidential Appointment Contract
3. New Business

7 copies Comp

MINUTES OF A SPECIAL MEETING OF THE
BOARD OF REGENTS OF NORTHERN KENTUCKY
STATE COLLEGE ON DECEMBER 17, 1969

The special meeting of the Board of Regents of Northern Kentucky State College was called to order by the Vice-Chairman, Mr. Charles Wiley, at 5:00 on December 17, 1969, in Room B-1 of the Northern Community College of the University of Kentucky.

The invocation was given by Mr. Kenneth Lucas. Members of the Board of Regents present were: Mr. Joseph Kohler, Mr. John R. S. Brooking, Mr. Charles Landrum, Mr. Kenneth Lucas and Mr. Charles Wiley. Absent was Mr. Elmer Haas.

The Vice-Chairman recognized the presence of Mr. James McKenney, Faculty Representative, and Mr. Kenneth Howard Mauser, Student Representative. Guests recognized were Mr. Lee Becker of the Kentucky Enquirer and Mr. Randy Cochran, photographer.

The Vice-Chairman asked that the reading of the minutes of the previous meeting be waived until the next regular meeting. Mr. Kohler moved same. The motion was seconded by Mr. Landrum and passed unanimously.

The Vice-Chairman asked Mr. Kohler, Chairman of the Presidential Selection Committee, to report. Serving with Mr. Kohler on the Committee were Mr. Elmer Haas and Mr. Kenneth Lucas. Mr. Kohler stated that the Committee had been working to deal with the best people that they could contact, getting in touch with them and meeting with them to make an intelligent selection--to get a person with leadership ability, hard-working, knowledgeable of the situation, and someone who has been successful in working in higher education in a similar situation developing a two-year institution into a four-year institution. Mr. Kohler stated that he was very happy after all this work to present the Com-

mittee's recommendation for selection by the Board of Regents, Dr. W. Frank Steely, who presently is Administrative Dean at Clinch Valley College of the University of Virginia.

Each member of the Board of Regents was given a Biographical Sketch of Dr. Steely's background. Mr. Kohler moved the adoption of the Committee's recommendation that : Dr. Steely be appointed as President of Northern Kentucky State College and pass upon his Contract of Appointment, dated December 17, 1969, and that it be adopted in its entirety. The motion was seconded by Mr. Brooking and was passed unanimously.

The Vice-Chairman asked the Chairman of the Presidential Selection Committee, Mr. Kohler, to see that the Contract is properly signed.

Dr. Steely asked that the Contract be made as a dual appointment as President and Teacher of History. He also asked that he have an open hand in administrative appointments. The Board agreed to these requests.

Mr. Brooking amended the previous motion as follows: subject to the Superintendent of Public Instruction's preparation and submission to Dr. Steely of a formal contract with the whereas, etc., in order to make this a contract, it is to be approved and signed by the Superintendent of Public Instruction and the Chairman of the Screening Committee. "Have Seen and Agreed" should be added to the Contract of Appointment and signed by Dr. Steely and the contract will be binding. The amendment to the motion was seconded by Mr. Kohler and passed unanimously.

The Vice-Chairman asked Dr. Steely to give some of his remarks to the members of the Board not present at the meeting with the legislators on December 12 and 13, 1969, at Butler State Park Lodge. Dr. Steely felt that the College would be a monument to the area

it serves. He said the meeting was held outside the three-county area, signifying that the College will serve more than the three-county area.

Dr. Steely noted that everyone who spoke on the subject indicated he was in support of Northern Kentucky State College. He stated the issue did arise in regard to the Community College. He indicated to the legislators every single program at the Community College will be continued.

Dr. Steely said he was approaching the faculty with the idea that not only do we covet the faculty continuing as a part of the College, but we need them very badly.

The Vice-Chairman asked that the Master of Ceremonies for the Legislative Conference to comment. Mr. Lucas stated that he was pleased with the reception from the legislators that were there. He felt the Board had informed some people on the fringe area of what had gone on. He said informing people is very important and felt the Board had made progress in the legislative meeting.

Mr. Landrum felt that Dr. Steely expressed himself very well on the autonomy of the College. Dr. Steely said a unit such as we have now has an opportunity to be a lot stronger than a satellite, because we don't have to go through all the manipulations with the mother organization; and naturally, they are not going to be as interested in a satellite as the people on the scene.

Dr. Steely commented how grateful he was for the enormous work the Board is doing without pay. He told the Board of Regents the work must continue, but they have done a great administrative job. He said he felt that the Northern Kentucky State College Board of Regents is the most knowledgeable Board of Regents he has ever met.

Mr. Mauser, the Student Representative, asked if there would be any chance of organizing some sort of regular meeting date. Mr. Wiley stated that the Board had had more than four meetings a year as required by State Law; however, the Board will set up a regular time. He stated that Dr. Steely has assured everyone much work must be done and many extra meetings will be held.

Mr. Brooking moved that the following resolution be adopted. BE IT RESOLVED, that at any meeting, regular or special, that the Vice-Chairman, or in his absence, the Secretary, give the Regents and Advisory Members no less than twenty-four hours of notice of same, unless the Regents waive the twenty-four hour notice of the meeting. The motion was seconded by Mr. Lucas and was passed unanimously. Mr. Brooking moved adjournment at 6:40 P. M. Mr. Kohler seconded the motion and the meeting was adjourned.

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Elmer Haas, Secretary

I, Elmer Haas, Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting held on December 17, 1969, and that such minutes are still in full force and effect.

Dated this _____, 1970.

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Elmer Haas, Secretary

5 copies

NORTHERN KENTUCKY STATE COLLEGE

NKSC BOARD OF REGENTS

January 25, 1970

A G E N D A

INVOCATION

A. Introduction of Dr. James C. Claypool by President Steely and nomination of Dr. Claypool for Director of Admissions to be on scene February 1, 1970.

B. Report by President Steely on legislation affecting NKSC.

C. Consideration of appointment of advisory committee (by area legislators from outlying counties) to meet with Regents.

D. Reference to Advisory Board of Community College to meet with Regents.

E. Mr. Landrum's committee on choosing architect.

F. Discussion of other matters relating to NKSC, especially re-legislation this General Assembly.

G. A word from President Steely on plans for next few weeks and months.

1401 Dixie Highway Covington, Kentucky 41011 • Telephone: 291-5060

Complete

Northern Kentucky State College
Board of Regents Special Meeting
January 25, 1970

The special meeting of the Board of Regents of Northern Kentucky State College was called to order by the Vice-Chairman, Mr. Charles Wiley, at 10:15 A.M. on January 25, 1970, in the Seminar Room of the Northern Community College of the University of Kentucky.

The invocation was given by Mr. Kenneth Lucas. Members present of the Board of Regents were: Mr. Joseph Kohler, Mr. John R. S. Brooking, Mr. Kenneth Lucas, Mr. Elmer Haas, and Mr. Charles Wiley. Absent was Mr. Charles Landrum. Also present was the President of the College, Dr. W. Frank Steely.

The Vice-Chairman recognized the presence of Mr. James McKenney, Faculty Representative, and Mr. Kenneth Mauser, Student Representative. Members of the Advisory Board of Northern Community College present were: Mr. Charles Palmer, Mr. Robert Berkshire--Chairman, and Mr. Art Heckerman. A member of the Faculty attending was Mr. Martin Brown. Paul Leffler, Student Reporter for the College, Miss Donna McKeown, Reporter for the Post and Times Star, Dr. James C. Claypool, proposed Director of Admissions for the College, and Mrs. Glenda Pyle, Secretary to Dr. Steely.

ORDER OF BUSINESS

The first item on the Agenda was the introduction of Dr. James Claypool by the President of the College, Dr. Steely. Dr. Steely told the Board that he felt that Admissions would need attention before he comes to the College on March 1. He stated he would like to appoint Dr. Claypool as Director of Admissions as well as Professor of History. Dr. Claypool is a native of Northern Kentucky. He is a graduate of Beechwood High School and from Centre College in under-graduate work. He also holds a Ph. D. in History at the University of Kentucky.

Dr. Steely nominated and moved the appointment of Dr. James Claypool as Director of Admissions and Professor of History of Northern Kentucky State College. The motion was seconded by Mr. Brooking and passed unanimously.

Dr. Claypool then stated that he would discuss any items in question after the meeting. He said also that it was a labor of love to come back to this school, as he had watched it grow from the beginning. He thanked everyone for having confidence in him, and he hoped he would be able to do the job that is necessary.

The second item on the Agenda was a report and discussion of the legislation affecting the College by Dr. Steely. He mentioned that he had talked with some of the legislators from the Northern Kentucky area and found that the bill separating Northern Community College from the University of Kentucky passed the Senate unanimously, 31 to 0, January 24, 1970. The bill was introduced in

the Senate and House. Dr. Steely stated it would be wise for the House to approve the Senate bill to expedite matters. He felt it is important from the standpoint of formality. Dr. Steely said the Budget, as introduced and drawn by the Board of Regents of the College and their advisors, was reduced somewhat by the Finance Department. Where the Board asked for $1,100,000 for operating expenses, the amount was cut to $850,000. The Capitol Expansion request was reduced from $600,000 to $300,000 a year.

Dr. Steely stated that the operating budget is very, very tight. On Saturday, January 31, at 1:30 P. M. the Regents have an opportunity to appear before a joint meeting of the Joint Senate and House Appropriations Committee to have a hearing on the Budget. Dr. Steely says that we must have every cent. There is no plan to cut the Budget as Dr. Steely understands it. Many people have assured him that there is none. He stated that he needs the support of the Board of Regents and a great number of other people. Mr. John P. DeMarcus, Consultant to the Board, feels that we should try to get as many people as possible to come to the hearing. The meeting will be at the Capitol Room 327, 1:30 P. M., January 31, 1970.

Dr. Steely said that the bills in both the House and Senate are identical, but a Representative must introduce the House Bill and a Senator the Senate Bill. He felt that the legislators have shown great enthusiasm and are a single entity politically in regard to the College.

Mr. Brooking suggested that Art Schmidt or someone else in the House push the bill in the House and hand carry it to the Senate, and Clyde Middleton or someone in the Senate push the bill in the Senate and hand carry it to the House. Then both can be passed and given to the Governor as a joint bill. It could be bi-partisan. Mr. Kohler moved that this be done. Mr. Brooking seconded the motion and it was passed unanimously.

Dr. Steely passed out copies of the proposed legislation regarding the College. He said that he felt the Board should arrange at this time a delegation to go to Frankfort on Saturday Afternoon, January 31, 1970. Miss McKeown of the Kentucky Post and Times Star said she would include in her newspaper article a plea from the Board of Regents to form a caravan to the Capitol. If enough people are interested, a bus could be provided. It would be well to show the people of Frankfort how the people of Northern Kentucky feel about the College. Mr. Brooking said that he will ask the Covington Trust Bank to donate their bus. Dr. Steely stated that those who wish to go can call the school. The bus will leave from the College and people can park there free.

Telegrams, letters, and petitions can be sent if people feel that they cannot attend the meeting. They should be addressed to Mr. William Curlin, Chairman, House Appropriations Committee, or Mr. Gibson Downing, Chairman, Senate Appropriations Committee, Joint Appropriations Committee Hearing Room 327, Capitol Building, Frankfort, KY. Dr. Steely felt the people should point out

the tremendous human need in this area. There are 1,500 students who desire to go on to college and get their education. We should ask, "Will these people be served?"

Mr. Brooking was contacted by Mr. Tommy Reed, State Representative from Owen, Pendleton, and Grant Counties, who asked him to place before the Board the following names as advisory members of the Board:

Mr. J. Preston Fields, Falmouth, KY.
Former Judge Lester Mullins, Williamstown, KY.

Representative Reed was most anxious that this be done and that he know about it. Mr. Brooking stated that he would officially acknowledge to Mr. Reed that these names should be submitted to the Board prior to the next meeting, and that the Regents get in touch with the proper party to ask for the letters to be submitted.

Mr. Kohler moved that the Board create an Advisory Committee made up of members from each of the counties as suggested by the General Assembly. They should be representatives from the counties of Carroll, Gallatin, Owen, Grant, Pendleton and Bracken. Mr. Brooking seconded the motion and the motion was passed unanimously.

Mr. Brooking moved the Board accept the appointment of Mr. Fields and Mr. Mullins as Advisory Members of the Board of Regents. Mr. Kohler seconded the motion.

Mr. Haas moved that Mr. Kohler's motion be amended to require that a letter be submitted to the Board of Regents at least ten days prior to the next scheduled meeting. Mr. Brooking seconded the motion. It was passed unanimously.

Mr. Brooking withdrew his previous motion. Mr. Brooking moved that the names be put before the Committee for approval at the next meeting upon receipt of a formal letter of nomination from Tommy Reed to this Board, and at that time they will be formally accepted and elected as Advisory Members. Mr. Haas seconded the motion and the motion was passed unanimously.

Mr. Brooking moved to establish a committee of no more than two people, preferably one, to study the matter, meet with the Advisory Board and set it up so that the resolution that we pass is effective after KRS Section 164.591 is amended--at which time it would be operative. The Vice-Chairman appointed a committee to meet with the Advisory Board; Mr. Brooking as Chairman, and Mr. Haas. Mr. Haas seconded the motion and the motion was passed unanimously. Dr. Steely stated that he supported this motion wholeheartedly by saying, "We do covet the counsel and help of the Advisory Board in all of our activities."

Dr. Steely has contacted Taylor, Lieberfeld & Heldman to ask them to submit suggestions of nationally-known architects. Mr. Lieberfeld will go to Virginia to see Dr. Steely tomorrow, January 26, 1970. The Council of Public Higher Education voted to give $31,000 additional money for the contract with Taylor, Lieberfeld & Heldman.

Mr. Martin Brown from the faculty of the University of Kentucky Northern Community College stated that the teachers wanted a contract stating their salary,

class load, conversion from senior instructor rank to professor rank, and what classes they would be teaching. The Regents assured him this would be done after legislation affecting the College has been passed. Mr. Brown acknowledged that most questions in the minds of the faculty had been answered by Dr. Steely at a meeting with the faculty yesterday.

Mr. Wiley reported that the Safari Restaurant was owned soley by the First Federal Bank, but they would not lease or rent. It is being handled by Brophy Real Estate and Insurance. Mr. Wiley asked Mr. Haas to get information in regard to administrative space and to work with Dr. Claypool and Dr. Steely. A 3,000 square feet minimum is needed by April 1.

Mr. Kenneth Mauser, Student Representative, said the student body would like to know what the tuition is going to cost, and what progress is being made on the bulletin. Mr. Wiley stated that the bulletin is moving ahead and that the faculty probably will have an opportunity to check it this week. The tuition at present is $120 per semester for in-state residents and $370 for out-of-state residents. There is a chance that the Council on Public Higher Education will increase that in the next two months. The Council controls tuition in all State Institutions.

Mr. Mauser asked who will be chartering clubs and organizations on campus. Mr. Wiley answered that the administrators will decided. Dr. Steely said that traditionally this is worked out with the Dean of Students.

With no other business coming before the Board, Mr. Brooking moved adjournment. Mr. Haas seconded the motion. The meeting was adjourned at 12:00 P. M.

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Elmer Haas, Jr., Secretary

I, Elmer Haas, Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting held on January 25, 1970 and that such matters are still in full force and effect.

Dated this March _____, 1970.

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Elmer Haas, Jr., Secretary

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Agenda

March 17, 1970

Enclosed herewith is a vita on Dr. Ralph Tesseneer, whom I shall recommend Thursday night for Academic Vice-President of Northern Kentucky State College. Note that the letter enclosed in the front of the vita is from President Harry Sparks from Murray, where Dr. Tesseneer is presently Dean of the Graduate School. Dr. Sparks observes that they had tapped Dr. Tesseneer for the number two spot at Murray "at a salary above that which you have considered for him". I would concur with Dr. Sparks that I know of no better recommendation than what he as an employer would have done for Dr. Tesseneer had the latter stayed at Murray.

Indeed, we are most fortunate in getting Dr. Tesseneer to be ranking Academic Officer at Northern. Since a considerable portion of my time will be spent in building the new campus and dealing with architects, contractors and such people, it is imperative that I have someone in the academic field upon who's diligence, intelligence, administrative ability, and loyalty to me personally I can rely absolutely.

In addition to the consideration of Dr. Tesseneer, I would also like for us to consider filling three of the positions at our meeting Thursday night: that of Director of the Budget, Business Manager, and Director of Public Relations. In addition also, we ought to consider approving faculty contracts which I have received signed from most of the faculty.

We need also to pass a resolution authorizing the University of Kentucky to run the Summer Session this summer. With such resolution, they will be glad to do this, and we will save a great deal of trouble and expense.

Since we will be adding a Budget Director on April 1, some additional office space is absolutely necessary. I have some suggestions that regard possibilities there.

I would also like to recommend that we plan an appreciation get-together for the area legislators who have supported Northern so faithfully during this past session of the General Assembly.

Other items to discuss are:

1. Developments regarding President's home and insurance thereon.
2. The acquisition of property for the new campus.
3. The choice of architects for the new College.

As you can see, the last two items mentioned here are the most important we shall be considering in the days and weeks immediately ahead. I hope everybody has plenty of time to give to Thursday might's meeting. There's a great deal that demands our attention.

I appreciate so much the hard work (and I might add, free professional labor) which you have contributed to Northern. Unfortunately there will be a good bit more to be done in the immediate future.

Sincerely,

Frank Steely, President

FS/gjp

Complete

Minutes of the Regular
Meeting of the
Board of Regents
March 19, 1970

The regular meeting of the Board of Regents of Northern Kentucky State College was called to order by the Vice-Chairman, Mr. Charles Wiley at 7:15 P. M., on March 19, 1970, in the Seminar Room of the Northern Community College of the University of Kentucky.

The invocation was given by Mr. Kenneth Lucas. Members present of the Board of Regents were: Mr. Joe Kohler, Mr. John R. S. Brooking, Mr. Kenneth Lucas, Mr. Charles Wiley, Mr. James McKenney, Faculty Representative, and Mr. Kenneth Mauser, Student Representative. Absent were Mr. Charles Landrum and Mr. Elmer Haas. Also present was the President of the College, Dr. Steely.

The Vice-Chairman recognized the presence of Mr. Art R. Heokerman and Mr. Norman Herbstreit, members of the Advisory Board of the Northern Community College. Mr. Jack Hicks, reporter for the Enquirer; Miss Donna McKeown, reporter for the Post and Times Star; Dr. James C. Claypool, Director of Admissions for NKSC; Mr. Paul Leffler, Student reporter for the Northern Community College; and Mrs. Glenda Pyle, Secretary to Dr. Steely were also present.

ORDER OF BUSINESS

The first order of business was the recommendation for the filling of four positions. A vita on Dr. Ralph Tesseneer was mailed out to the Regents but was not received by them prior to the meeting. Dr. Steely stated that the position that he considered most vital as far as he personally is concerned is the position of Ranking Academic officer of the institution. He said that it is important that we have an

individual who is capable in every way of carrying the load of academic matters while his attention is turned toward building the new College. In his opinion, Dr. Tesseneer would have been his first choice above anyone else. Dr. Tesseneer is from Murray where he is presently Dean of the Graduate School. In a letter Dr. Steely received from President Sparks of Murray, there is a paragraph in which he states that Dr. Tesseneer had been tapped for the number two spot, that of Executive Vice-President. Dr. Steely said, "Ralph is respected in every way. He has a Ph. D. from Louisiana State University. His specialization is counseling; he is listed in Who's Who in America." "He also will be right costly", Dr. Steely said. "His salary will be $28,500, and he could come on the job as of July 1, 1970. He has indicated that he is interested in doing this," said Dr. Steely. Dr. Steely recommended him for Academic Vice-President as well as Professor of Psychology.

The next position was that of Director of the Budget to be filled by Mr. John Kilkenny. He is presently a Senior Budget Analyst in Frankfort. His salary will be $15,000 per year.

The third position was that of Business Manager. Dr. Steely recommended that Mr. Paul Schott, currently Business Manager for Northern Community College, continue his position as Business Manager for Northern Kentucky State College at a salary of $15,000 per year. He would take over July 1, 1970, and this would not conflict in any way with his present position with the Community College.

He also recommended Mrs. Meredith Armstrong for the fourth position, Director of Public Relations at a salary of $10,000. Her present salary is $9,500. She could be available June 1, 1970.

In referring back to his recommendation to appoint Dr. Tesseneer, Dr. Steely said, "I think we would be well advised to go for fewer positions to get people like Dr. Tesseneer."

Mr. Brooking moved that we hire Dr. Tesseneer to begin his tenure on July 1, 1970 at a salary of $28,500 as Vice-President for Academic Affairs and Professor of Psychology. The motion was seconded by Mr. Ken Lucas.

At this point, Dr. Claypool added that Dr. Tesseneer is a very competent person. He is President of the First District Educational Association (Western KY). He is a speaker who is called upon as such up to fifty times a year, and he basically built Murray's Graduate School. The motion to hire Dr. Tesseneer was unanimcusly passed.

Joe Kohler moved that Mr. Kilkenny begin serving April 1, 1970, as Budget Director at a salary of $15,000. The motion was seconded by Ken Lucas. Mr. Kohler also stated that a committee that had gone to Frankfort, had spoken with Mr. Kilkenny, and they were greatly impressed with him. He is a man who wants to get involved with this type of institution. Dr. Steely said that he had a great deal to do with drawing up our current biennial budget. He will really be an asset as far as defending our budget in years to come.

Mr. McKenny asked how this position would fit into the College. Dr. Steely explained that Mr. Kilkenny will fill the ranking business position. Mr. McKenney also asked how involved would Mr. Kilkenny be with the budget for academic matters? Dr. Steely said that he will be ultimately working with him. The motion for appointment of Mr. Kilkenny was unanimously passed.

Mr. John Brooking moved that we retain Paul Schott as Business Manager effective July 1, 1970 at a salary of $15,000 per year. Joe Kohler seconded the motion. It was passed unanimously.

Mr. Brooking wanted to know about Mrs. Meredith Armstrong. He asked to table the passing of her appointment until the next meeting because he knows nothing about her. Joe Kohler stated that she comes highly recommended. She is a former employee of Mr. Wiley. But Mr. Brooking felt that it would be better for all concerned if they could see her resume and all of her qualifications before they appoint her as Director of Public Relations. Mr. Kohler seconded the motion to table her appointment until the next meeting. Dr. Steely said that every institution that he has ever seen needs this type of a person. Her responsibilities would entail going out to schools for student recruitment, promoting the college, writing articles for publication pertaining to the college, etc. Dr. Steely also said that she has been making contacts in connection with television and radio right now. The motion was passed unanimously to table her appointment to the next meeting.

Dr. Steely brought up the subject of the Board approving the Northern Community College running the Summer Session. We will not officially take over until July 1. It will be a great convenience for us if they could run it. He also said that if the Regents would simply indicate that they would approve their running the Summer Session, they could authorize it and NCC would be glad to do it. Mr. Brooking moved that NCC run the Summer Session. The motion was seconded by Ken Lucas and passed unanimously.

The next subject was that of our need for emergency office space. It will be mostly needed as soon as Mr. Kilkenny gets on the scene. It is possible that a few of the offices in the Community College could be used after July 1, but we will need six or seven additional offices. Dr. Steely checked a number of possibilities; even such things as the purchase of prefabs. The one building that really looks good is the office directly across the Dixie Highway from the entrance to the Community College owned by Dr. Temple. "We can rent the first floor for $500 per month. We probably can't get it for any less. It seems that we may be able to use it for more than a year," Dr. Steely noted.

Another item that Dr. Steely brought up was that of having an appreciation dinner for the area legislators and also the Governor. He wanted to have the Board's permission to work on arranging this and also to check out with our consultant, John DeMarcus, if we have means for financing such a dinner.

Next was a discussion of the President's home. The appraisors from the Highway Department indicated that the bid for the house was not out of line. John Brooking is taking care of insurance. Mr. Brooking made a motion that the Board pay for the insurance on Dr. Steely's home. Joe Kohler seconded the motion and it was passed unanimously.

Much has been said about a Master Plan for the campus. If this is done, we are going to have to go with getting specifications for building designs. We would like to get moving on the acquisition of property and the choice of architects. Mr. Brooking made a motion that the architects for the College be local Northern Kentucky residents, and that we select three architects from them to be interviewed by our architectural committee consisting of Lieberfeld, Landrum, and Steely.

Ken Lucas seconded the motion. Mr. Landrum is knowledgeable in the architectural field, and he would select the best firm that he feels is the most qualified. Secondly, Mr. Lieberfeld, an architect himself, would probably know as much as possibly could be known about qualifications of architects. The motion was passed unanimously.

Also, Charles Landrum's term is up April 1 as a Board member. All the Regents asked that we approve to have him back with us again.

If any member of the Board is or has been approached by an architect, Dr. Steely would appreciate it if he would make a list of all their names and submit it to him.

Dr. Steely would like approval of the Board to make certain adjustments of the salaries of the faculty. Some should get more than they are now.

James McKenney requested on the behalf of the faculty the Board's approval of a faculty assembly for the new College. They would like to start working on a constitution so that they can help in the development of the College. Dr. Steely recommended this as a good idea. Joe Kohler moved that we approve the forming of a faculty assembly for Northern Kentucky State College. The motion was seconded by John Brooking and passed unanimously.

John Brooking moved that the College establish a bank as a depository for the local funds of the College. He also moved that that bank be Covington Trust and Banking Company of Covington, KY. Ken Lucas seconded the motion. Joe Kohler asked Mr. Brooking why he recommended this particular bank. Mr. Brocking explained that this bank is the one which let us use their bus for our caravan to Frankfort and they have been a great help to us. The motion was passed unanimously.

Mr. Brooking made another motion that we file with the bank a Bank Depository Resolution with signatures on it as follows: Dr. Steely, Mr. Kilkenny, and Mr. Schott. Joe Kohler seconded the motion and it was passed unanimously.

Dr. Steely asked what the Board felt about the frequency of tasks that need to be done which are of a legal nature. He said that he is reluctant to continue to impose on the Regents to do what he feels is important because it entails working through the Regents and consuming so much of their personal time. He said he would like for arrangements to be made for legal counsel to put an end to all of this free work we are doing. Much must be done reacquiring land, starting the building, etc.

Mr. Brooking said that in this President's home matter, John Bankemper, Jr., an attorney in Newport, assisted him for free. It would be nice for Dr. Steely to write a letter to Mr. Bankemper thanking him for his time in preparing the title and other legal documents in regard to this.

Mr. Wiley stated that he had written letters to the legislators thanking them for the help they gave us in putting through these bills, and he also had written letters to the people in Frankfort in the Finance Department and various other departments for the help they had given us.

Mr. Brooking recommended that Dr. Steely and himself meet together on this matter of obtaining legal counsel and make recommendations to the Board on what should be done. He said that he had not minded the work, but just hoped that he had done it correctly. Joe Kohler moved that Dr. Steely and Mr. Brooking make recommendations for the selections of legal counsel. Mr. Brooking seconded the motion and it was passed unanimously.

Ken Mauser said that several of the students wanted to know what sort of associate degree program would be at Northern Kentucky State? Mr. Wiley said that we would take on the same program of the Community College with some expansion. He said that he thought that the Nursing program was the only associate program which can be completed in two years. Ken Mauser also asked about a Student Placement Service. The Community College Student Placement Service now consists of a bulletin board which has job opportunities placed upon it at their availability. Dr. Steely said that this problem would be placed with the Coordinator of Student Affairs. Dr. Claypool said that he would be working with them on this matter. Ken asked what type of medical aid would be in effect in the near future on the four-year campus. Dr. Steely stated that it would probably be an arrangement with a doctor to be on call.

Mr. Kohler brought up the subject of the Board adopting bylaws to go by. Mr. Brooking explained that this would be a function of the legal counsel. They could draft bylaws for submission, review, and revision.

Mr. Kohler asked that Dr. Steely comment on any legislation pertaining to the College. Dr. Steely said that the last part of legislation was passed yesterday afternoon, March 18. The two major bills were Senate Bill 21, turning the Community College over to Northern Kentucky State College, which also passed unanimously; and that law becomes law as of June 18. The other item was the budget, which went through. At this moment, Northern Kentucky State College stands in the same legal situation as does other institutions in the State. Mr. Kohler also asked about the provision of turning over facilities--does that include the inside materials? Dr. Steely said the law reads,"...all property of Northern Community College and all property and

educational equipment of the University of Kentucky designated for use by the Northern Community College..." He said the only question that has come up has to do with certain records, and it is really a minor item to be worked out.

Following an inspection of available offices at 1510 Dixie Highway and a discussion on the space with Wm. Deters, Mr. Lucas offered the motion that the Regents rent the first floor at 1510 Dixie Highway for one year, with the option to renew, at $500 per month, plus utilities with the landlord to renovate to such an extent as necessary to make the space usable as college offices: All subject to the approval of the State Department of Finance. The motion was seconded by Mr. John Brooking and passed unanimously.

There being no further business, the meeting was adjourned at 9:45 upon a motion by Mr. Brooking and a second by Mr. Kohler.

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Elmer Haas, Jr., Secretary

I, Elmer Haas, Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting held on March 19, 1970, and that such matters are still in full force and effect.

Dated this April_____, 1970.

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Elmer Haas, Jr., Secretary

Minutes of the
Board of Regents Meeting
Northern Kentucky State College
May 22,1970

The meeting was called to order by Charles Wiley, Chairman, at 8:40 p.m. Present from the Board of Regents were Messrs. Elmer Haas, John Brooking, Joseph Kohler, Kenneth Lucas, and Mr. Wiley. Guests were Charles B. Palmer, representing the Advisory Board of the Community College, Jack Hicks of the Cincinnati Enquirer, Paul Matesich,and Paul Leffler. Also present were Dr. W. Frank Steely, President; Dr. James Claypool, Dean of Admissions; John Kilkenny, Budget Director; James McKenney, Faculty Representative; Ken Mauser, Student Representative; and Meredith Armstrong.

The invocation was given by Mr. Lucas.

The chairman called for a reading of the minutes. Mr. Haas moved that since each member had received a copy of the minutes previously it was not necessary to read them. Mr. Brooking seconded the motion with the exception that the minutes of the March 6 meeting be changed to read "the approval of a constitutional faculty assembly" and "a submission of a constitution." The motion was carried unanimously.

Mr. Wiley then called for a motion for the position of Public Relations Director for the college. Mr. Brooking moved to untable the motion on the floor at the last meeting to appoint Mrs. Meredith Armstrong to the position. Mr. Kohler seconded the motion. It passed unanimously.

The next order of business was the appointment of faculty and administrative staff. Dr. Steely moved for the continued appointment of the Northern Community College faculty and full-time administrative staff, five new faculty members, and the granting of leaves of absence to James McKenney and Larry Dickson. Elmer Haas seconded the motion. It carried unanimously.

Mr. Wiley called for a report from the Budget Director, John Kilkenny. Mr. Kilkenny reported that for the 1969-70 fiscal year, current operating funds available were $161,900; that total expenses for the period were $50,979; leaving a balance in the operating fund of $110,920. He also reported that income generating from the escrow deposit, accrued as of May 1, was $51,153; that expenditures to date were $6,530; leaving a balance of $44,623. It was noted that these funds were restricted to use for construction purposes.

Mr. Haas moved to accept the Budget Director's report. Mr. Kohler seconded the motion, and it was carried unanimously.

Mr. Kilkenny then reported on the estimated budget funds available for the 1970-71 year. He stated that the needs were twofold; first for an administrative organization for the planning and development of the four-year campus, second for funds to continue to operate the Community College two-year program. He said that the funds available will enable the college to employ four additional persons to take up the additional work load related to the operation of the college, and to hire seven to eight additional faculty members, and provide a 6% increment for the present faculty. The staff benefits will mean a cost to the college of $110,550 for the coming fiscal year. This figure includes benefits for the administrative staff. Mr. Wiley called for discussion.

Mr. Lucas asked if the special drama association appropriation listed in the costs was new. Mr. Kilkenny replied that it was a continuation of a figure already in the Community College budget. Mr. Haas moved for acceptance of the report, Mr. Lucas seconded, and the motion was carried.

Ken Mauser asked if the student insurance carried by the Community College will be continued. Mr. Haas recommended that an investigation be made by the administrative staff to that effect. Mr. Matesich so moved. Mr. Haas seconded the motion. Mr. Mauser stated that this insurance is carried by the Community College, but it is not carried by any of the other state colleges or universities. The motion was carried unanimously.

Dr. Steely brought up the subject of the Governor's appreciation dinner. He suggested that it be called the Northern Kentucky State College Progress Dinner. He noted that Mr. Wiley and Mrs. Armstrong have been working on plans for the dinner. It will be held June 18 at the President Motor Inn.

Dr. Steely then gave a report on the land acquisition for the college. He noted that the order has gone out from the Finance Commissioner's office to the Division of Properties to acquire the site and for it to proceed with an appraisal. The appraiser will be M. L. Garrison of Lexington. He will appraise all of the parcels of land on which the college has an option, plus other adjacent properties. Dr. Steely also noted that funds will be available to pay for this appraisal on July 1. Mr. Haas pointed out that options expire on July 1. Dr. Steely said that even if the options do expire the State has the power of eminent domain, and could force the sale of the properties, although he would rather not do it that way. It is hoped that the land will be acquired by the first week in July.

Dr. Steely then gave a report on the architects' activities. The Architects Committee considered all Northern Kentucky architects, contacted each of them, and got response from six of them. All six interviewed, and a report was sent to the Finance Department. The firm selected by the department was Fisk Reinhart & Hall McAllister. A contract has not yet been signed, but will be in the near future. Representatives of the Engineering Division of the Department of Finance in Frankfort have been out to look over the site, and are now doing detailed surveys for the first building location. Mr. Lieberfeld will be meeting June 2 with the engineering division from Frankfort. Hopes are for plans to be ready for bids in four months after the contract with the architects is signed.

Mr. Wiley asked if there were any questions. Mr. Lucas asked if an engineering contract would be necessary. Dr. Steely replied that it would. Mr. Brooking asked how the engineers were to be selected. Dr. Steely said it would be done in Frankfort. Mr. Kohler asked if Mr. Lieberfeld was doing a thorough job. Dr. Steely said that on the whole, he was.

Mr. Brooking moved that on July 1 the administrative staff put up signs at the college. Mr. Haas seconded. Mr. Wiley called for a vote, and the motion was passed.

Mr. Brooking moved that the President of the college request the District Office of Highways, in the interest of the school, to pave and shoulder the street leading into the college from Dixie Hwy., Mt. Allen Rd.; as well as the drive up the hill. Mr. Haas seconded. Mr. Wiley called for a vote, and the motion was passed, unanimously.

Mr. Haas asked about the committee report on annexation by Highland Heights of the property for the college. He said the committee report should be approved on condition that the college be given a say in what will surround it, and coordination between Highland Heights' police and fire departments. He suggested a contractual agreement on the number of police and fire department personnel on the staff. Dr. Steely said he had talked with the Highland Heights Council, and they have given verbal approval of those conditions. They are adjusting their master plan for the city and their master zoning plan to make this area residential. They also have the highest rating for their class in fire protection, and will work on police protection.

Mr. Brooking moved to adopt the resolution subject to those conditions. Mr. Kohler seconded the motion, and the vote was unanimous.

Dr. Steely noted that one individual coming into the administrative staff has a tax-sheltered annuity,and would like action to the effect that this money be taken out of his salary. He moved that this be done. Mr. Brooking seconded the motion, and it carried.

Dr. Steely reported that the Division of Public Information wants to do a series of articles on the college. They would like to have biographical sketches of each of the Regents. He asked that each member send in a brief sketch as soon as possible.

Mr. McKenney called attention to his letter of resignation as faculty representative, due to his leave of absence. He nominated Martin Brown as the new representative. Mr. Brooking took note of Mr. McKenney's efforts in his position, and contributions to the meetings.

Mr. Kohler moved adjournment at 9:30p.m. Mr. Haas seconded.

_____

Elmer Haas, Secretary

I, Elmer Haas, Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting held on May 22, 1970, and that such minutes are still in full force and effect.

Dated this June _____, 1970.

_____

Elmer Haas, Secretary

AGENDA

BOARD OF REGENTS
of
NORTHERN KENTUCKY STATE COLLEGE

REGULAR MEETING - August 20, 1970

8 p.m. - Seminar Room, Main Building, NKSC

1. INVOCATION

2. ROLL CALL

3. MINUTES OF LAST MEETING

4. OLD BUSINESS

   a. Report from President on

      (1) Physical Plant Construction
      ~~(2)~~ (a) Land acquisition
      (b) Building
      (c) Access Road

      (2) Academic Developments

         (a) Correspondent Status with SACS - visit from SACS representatives

         (b) New faculty and administrators not yet approved
            Margaret Cantrell
            Robert Collier
            Terrence McNally
            Kent Curtis
            George Manning
            Nicholas Melnick
            Martha Malloy
            James Niewahner

         (c) Faculty - Student Code

      (3) Registration - advertising therefor, etc.

      (4) Insurance for students and faculty

      (5) Lieberfeld Report

5. NEW BUSINESS

   a. Resolution to permit purchase surplus property

6. ADJOURNMENT

Dr. Steely

NORTHERN KENTUCKY STATE COLLEGE
BOARD OF REGENTS MEETING
August 20, 1970

The meeting was called to order at 8:05 p. m. by Vice-chairman Charles Wiley. Present for the Board of Regents were Messrs. Kenneth Lucas, Charles Landrum, Elmer Haas, Martin Brown, Joseph Kohler, John Brooking, Paul Leffler and Dr. W. Frank Steely. Guests were Miss Donna McKeown, Messrs Jack Hicks, Bob Berkshire, John De Marcus, Charles Palmer, John Kilkenny, Paul Schott, Dr. Ralph Tesseneer and Dr. James Claypool.

The invocation was given by Mr. Lucas. The Vice-chairman called for a reading of the minutes of the last meeting. Mr. Haas moved that the minutes be approved as submitted as each member had had a chance to read them before the meeting. Mr. Kohler pointed out an error in one of the motions. This was corrected to read that the motion was made by Mr. Ken Mauser and seconded by Mr. Brooking rather than by Mr. Haas. Mr. Kohler then moved that the minutes be accepted with these two corrections. Mr. Landrum seconded the motion and the motion carried unanimously.

The first order of business was for comment on the appraisals of the land for the new site of the college. Dr. Steely reported that Mr. Tom Townes of the Division of Properties would be in the area Friday with land contracts and land appraisals for all but two parcels which are still under review. Mr. Townes will probably take them to the district Highway office so they can contact the individual owners. If they are not able to do this, he hopes to begin to make the contacts on Tuesday, the 25th of August. Mr. Albert Hawes has been contracted to do title searches. A surveyor has not yet been appointed. There will be no hold-up, however, on the signing of the land contracts.

Mr. Kohler asked how much land was to be bought at this time. Dr. Steely said it would be 150+ acres. This omits certain property to the far north on which options had been acquired, but which are not vital to the development. Mr. Kohler asked about the finality of the master plan which had been published in the newspapers. Dr. Steely said that it is fairly final, except that there will not be as many academic buildings because of the increased size of the first building. The plan incorporates all buildings planned through 1985. All of these will be north of John's Hill Road.

Mr. Wiley then called for an architectural report. Dr. Steely said that on August 17 the state engineers, the college architects, and the Highway Department engineers met to give the go-ahead for building the access road from U. S. 27 to John's Hill Road, with a spur to the first construction site. The Highway Department is proceeding with this. Preliminary plans for the first building were also approved, with certain minor changes -- to the extent that Fisk, Rinehart was given the go-ahead to proceed with final drawings, which are to be ready by December 18. The building will be of masonry. After December 18, a few weeks will be required for printing copies of specifications, and six weeks or so for getting bids. The contracts should be awarded in March. Mr. Kohler asked if the contracts were to be awarded by the college or the State. Dr. Steely said the state would do it. He said the building will be named Louie B. Nunn Hall. The drive leading up to it will also be named after the Governor. Mr. Kohler asked if funding had been arranged for the drawing up of final plans. Dr. Steely said it had been taken care of. Mr. Lucas asked if the building would be one or four stories high. Dr. Steely said the plans now are for a four-story building, for greater usage of the land and for

aesthetic purposes. Mr. Kohler asked about the mortgage interest assistance proposal. Dr. Steely said the proposal has been submitted to the Department of Health, Education and Welfare, and is being strongly supported by the Governor and many others. Charles Moore, of the Health, Education and Welfare Department, has said he anticipates no more than sixty to ninety days before an answer is received. Dr. Steely noted that since the education bill has been passed by both congressional houses the funds will be available, and we should know before the end of the year. Miss McKeown asked about the cost of the building. Dr. Steely said that no specific figures were possible at this point.

Mr. Wiley then asked for a report on academic development. Dr. Steely asked Dr. Tesseneer to report. Dr. Tesseneer said that representatives from the Southern Association of Colleges and Schools - Dr. Barker from their Atlanta office, and Dr. Jones from the College of William and Mary - had been on the campus the previous week, and will be working on the college accreditation. They feel that Northern Kentucky State is in a very good position, particularly in the light of the new Ph. D. s on the faculty. The college has been put on Correspondent status, and in the next year a team will be sent in to study further. There is no reason at this time why final accreditation will not be given in the spring of 1973, prior to the first graduating class. Dr. Steely noted that he had heard from Dr. Barker, who has sent our status out to all federal agencies, which assures that the college will be eligible for any federal funds that may be available in any programs.

The next order of business was the approval of new faculty and staff. They were: Dr. Margaret Cantrell, Sociology and Psychology; Dr. Robert Collier, English; Dr. Terrence McNally, English; Mr. Kent Curtis, Registrar; Dr. George Manning, Business; Dr. Nicholas Melnick, Education; Mrs. Martha Malloy and Dr. James Niewahner, Counselors.

Dr. Tesseneer said it was his intention to give the new counselors the responsibility for placement of their students after graduation, thereby insuring the counselors concern for the students welfare. Mr. Kohler suggested that perhaps students would not be as candid with counselors if they knew the counselor was to find them jobs. Mr. Kohler moved that the new faculty and staff be approved, Mr. Haas seconded, and the vote carried unanimously.

The Vice-chairman called for approval of the new student code. Mr. Leffler so moved, and Mr. Haas seconded. Mr. Kohler asked if it was vital to adopt the code at the present meeting. Dr. Steely said that it was only fair to indicate at the outset what behavior is expected from the academic community. He did note, however, that an addition should be made -- that anyone who is punished has the right to appeal, and the students' rights are to be put into the student charter. Miss McKeown asked who wrote the code. Dr. Steely said that several people had written it after looking at the codes of other institutions. Mr. Brown asked about the meaning of "authorized officials." Mr. Brooking explained that the Board had given the President authorization to expel, plus the right to delegate that authority to individuals who were concerned with this kind of situation. Dr. Steely noted that absolutely no trouble was expected this year, and none was anticipated in the foreseeable future; that the code was fairly standard, and was simply stating rules in advance to be fair to everyone. Mr. Wiley called for a vote, and the motion passed unanimously.

The next report was on registration. Dr. Steely called upon Dr. Claypool to report. He said that the situation is very healthy, although no definite number could be given yet; that the caliber of student applying is very high, and that high school principals, supervisors and counselors had been very cooperative. Actual processed applications to date number 1600, 1000 of which are freshmen. A number of students are expected to register at the last minute. Freshmen orientation was very successful. This year every faculty member was involved in advising, which resulted in individual attention being given to the students.

The Vice-chairman called for a report on student and faculty insurance. Mr. DeMarcus reported that Governor Nunn had made available $10,000 for student insurance. He also noted that the budget cut will not affect the college program at all. Mr. Leffler noted that the student government and he personally had replied to the Governor expressing their thanks. Mr. Schott reported that the college was in the process of negotiating a life insurance and accident insurance program for the faculty, which will be in effect by September 1.

The final order of old business was the Lieberfeld Report. Dr. Steely noted that it had been received, and copies would be distributed at a later date. The report lists building and equipment needs and costs through 1985. Lieberfeld's contract is now fulfilled and no further study is expected.

Mr. Wiley then called for a resolution on a permit to purchase surplus property. Dr. Steely said such a resolution was desirable since such equipment can be purchased at very reasonable prices by non-profit organizations. Mr. Kohler moved to adopt such a resolution, Mr. Leffler seconded. Mr. Brooking said that the board should further authorize the secretary to sign for such purchases. The addition was made to the motion, a vote was called and the motion passed unanimously.

Mr. Haas suggested the appointment of an assistant secretary to facilitate the authorization of resolutions. Mr. Brooking suggested the election of such a person and so moved. He nominated Dr. Claypool. Mr. Landrum seconded the motion, and the vote carried.

Mr. Brooking put the resolution before the board that the Vice-chairman or the President together with the secretary or the assistant secretary be authorized to take such action and sign such documents as to legally change the title to the President's home, as is required by the Kentucky Department of Finance. Mr. Landrum seconded the motion, and the vote carried unanimously.

Mr. Kohler asked who was responsible for the articles in the newspapers about the millions of dollars to be kept in the area as a result of the new college. Dr. Steely recognized and applauded Paul Leffler as part of the team who made the study.

The Vice-chairman asked for discussion from the advisory board.

Mr. Brown moved that the faculty assembly charter be given high priority at the next board meeting. Mr. Haas seconded, and the vote carried.

Mr. Kohler moved to adjourn at 9:10 p.m. Mr. Brooking seconded. The meeting was thus adjourned.

AGENDA

BOARD OF REGENTS MEETING

NORTHERN KENTUCKY STATE COLLEGE

Monday, November 30, 1970

8 p. m.

Seminar Room - Main Building - Campus

1. INVOCATION

2. ROLL CALL

3. MINUTES OF LAST MEETING

4. INTRODUCTION OF ADVISORS FROM COUNTIES TO THE SOUTH
   - Mr. E. G. Traylor - Owen
   - Mr. Charles Adams, Sr. - Gallatin
   - Mr. Fred Oney - Carroll
   - Mr. Gene Tolson - Pendleton
   - Mr. Harris Combs - Grant
   - Mr. Donald Colvin - Bracken

   Charley Palmer
   Norman Berkstresser
   also there.
   & Bob Rankin

5. PHYSICAL PLANT
   a. Report on Development
   b. Emergency Classrooms for Fall '71

6. FACULTY
   a. New Faculty
      Dr. Richard Ward, Assoc. Professor of Political Science
      (Presently Chairman, Dept. of Political Science at Mt. St. Joseph, Ohio)
      Dr. Michael Endres, Assoc. Professor of Sociology and Chairman, Department of Sociology
      (Presently Chairman, Dept. of Sociology at Thomas More College)
      Dr. Joseph Price, Professor of English and Chairman, Dept. of Humanities and Assoc. Dean of Arts and Sciences
      (Presently Chairman, Department of Languages and Literature at Morehead)
      Dr. Ray Waggoner, Professor of Education and Chairman, Dept. of Education and Psychology
      (Presently, Chairman, Dept. of Education at Kentucky Wesleyan)

   b. Faculty Assembly Constitution

   c. Faculty Handbook

7. STUDENTS
   a. Student Government Constitution
   b. Publications Board

8. OTHER BUSINESS

MINUTES OF THE BOARD OF REGENTS MEETING
NORTHERN KENTUCKY STATE COLLEGE
Monday, November 30, 1970

The meeting was called to order by Chairman Charles Wiley at 8:05 p.m. Present for the Board were Mr. Wiley, Mr. Elmer Haas, Mr. Joe Kohler, Mr. Ken Lucas, Mr. Charles Landrum, Mr. Terence Robbins, and Mr. Paul Leffler.

Mr. Lucas gave the invocation.

The Chairman called for a reading of the minutes. The secretary asked that a motion be made to bypass the reading the minutes as each member had received a copy before the meeting. Mr. Leffler so moved, Mr. Kohler seconded the motion, and it passed unanimously.

The Chairman announced the selection of new advisors from the six southern counties of Northern Kentucky, in addition to those already on the Advisory Board from the Community College. They are Mr. E. G. Traylor from Owen County; Mr. Gene Tolson of Pendelton County; Mr. Harris Combs from Grant County; Mr. Charles Adams from Gallatin County; Mr. Fred Oney from Carroll County; and Mr. Donald Colvin of Bracken County. All the new advisors were present with the exception of Mr. Colvin. Also present were Mr. Charles Palmer, Mr. Norman Herbstreit, and Mr. Bob Berkshire from the Advisory Board.

The Chairman recognized visitors to the meeting as being: Miss Kay Brookshire, from the Kentucky Enquirer; Mr. Rai Hopkins, and Mr. Randall Allen, representing the Northerner.

The Chairman recognized Mr. Terence Robbins as the new faculty representative to the Board of Regents, replacing Mr. Martin Brown.

The first order of business was a report on physical plant development. Dr. Steely reported that development is going well, and the architects will finalize everything by December 17, on schedule, at which time plans will be turned over to the Department of Finance for printing. Several weeks have been allowed for contractors to study the plans, and ground should be broken the first of March. Target date for completion is still fall of 1972, with no delays so far. Property acquisition is going smoothly, with every plot either negotiated for or condemned if no agreement can be reached. Mr. Tolson asked about the Lindner property. Dr. Steely replied that since the land was commercially zoned, prices on it were too high for the college to buy all of it. However, it is not necessary to have all of it, as the Pompilio property allows the room needed for an access road. Therefore, only the back portion of the Lindner land will be used.

The next order of business was the temporary classrooms for fall of 1971. Dr. Steely reported that since the college will be in its present facilities next year, about eight additional classrooms will be needed. The surplus stores in Frankfort have been checked, and no such facilities are available there. The Department of Finance is

investigating lease-purchase possibilities with Design Facilities, manufacturers of prefabricated units. There are attractive units with masonry exteriors, which will make them compatible with the exterior of our new buildings on campus, without detracting from the looks of the master plan. They will be placed behind the administrative buildings on the new campus. Dr. Steely asked for authorization to purchase these buildings. Mr. Lucas so moved, Paul Leffler seconded, and the vote was unanimously passed. Mr. Haas asked approximately how many square feet were involved. Dr. Steely said each classroom was 870 square feet, 7,000 square feet in all.

Dr. Steely announced that our official growth percentage this year was 24% F.T.E.

The Chairman called for a report on new faculty. Dr. Steely asked for approval of five new department heads -- Dr. Joseph Price, Chairman of the Department of Humanities; Dr. Bill Parsons, Chairman of the Department of Fine Arts; Dr. Michael Endres from Thomas More College, Chairman of the Department of Sociology; Dr. Richard Ward, Associate Professor of Political Science; and Dr. Ray Waggoner, Chairman of the Department of Education and Psychology. Mr. Kohler asked if these were all of the department heads being considered. Dr. Steely replied that it was about all. One or 2 more except for the Business Department. Mr. Haas moved for approval of these appointments. Mr. Landrum seconded the motion, and it passed unanimously.

Next was the matter of the faculty assembly constitution. Dr. Steely reported that a faculty committee is working on this. At the March meeting of the Regents, the organization had been approved with an interim chairman until the new constitution was approved. Mr. Robbins reported that progress is good. He also reported that the faculty handbook is being worked on by a special committee of faculty members. Mr. Kohler asked what the function of the faculty assembly is. Mr. Robbins replied that it is an organized faculty voice to advise on curricula, faculty welfare, equipment for special courses, etc. Mr. Kohler asked if the Board was to approve a faculty handbook. Dr. Steely said that when one is finished it should be approved by the Board, as it contains a number of statements on tenure and promotions. Mr. Haas suggested that a committee of class officers be organized to make suggestions on the new student handbook. The suggestion was approved. Mr. Haas suggested that the assistant secretary write an appropriate letter to this effect. Mr. Lucas seconded the motion, and it passed unanimously.

The next order of business was the student constitution. Dr. Steely reported that a committee is working on this and it is much in the same stage as the faculty assembly constitution. Dr. Steely has submitted suggestions for the new constitution, and they are being considered.

On the publications board, the board has been set up at the request of student government. A copy of the policy statement was issued to each member of the board. Dr. Steely asked that the Board approve both the publications board and the policy statement developed by the committee, with any suggested changes. Mr. Haas moved

to accept the committee, with a final draft to be submitted at the next meeting. Mr. Kohler seconded the motion. Mr. Leffler suggested that the publications board limit its review to "official" college publications. Mr. Haas suggested that this be a resolution of the board itself. A vote was called for, and the motion passed unanimously.

The Chairman called for new business from the floor. Mr. Oney said that he had noted with interest the creation of the college, and he and his constituents were vitally interested in its progress. He suggested that copies of the previous minutes be sent to each member of the Advisory Board to keep them current on developments up to this point.

Mr. Tolson said that it is apparent that all of the Northern Kentucky State Regents and Advisory Board members are on trial, and that all students should be the first ones considered; and that after the initial building procedures are finished, student welfare should be the uppermost consideration. Dr. Steely said that Northern has the finest student body in the area; that over 2/3 of them work, with 1/3 working full time. He also said that the Cincinnati area has a great job market, and the area could be served well by the new college.

Mr. Combs said that Dr. Steely's remarks reflected the attitude of the entire area, and that the benefit of the student is the main purpose of the college existence.

Mr. Haas moved for adjournment, Mr. Kohler seconded, and the meeting was adjourned at 9:00 p.m.

_____
Elmer Haas, Jr., Secretary

I, Elmer Haas, Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting held on November 30, 1970, and that such matters are still in full force and effect.

Dated this December ____, 1970.

_____
Elmer Haas, Jr., Secretary

AGENDA

NORTHERN KENTUCKY STATE COLLEGE

BOARD OF REGENTS MEETING

Friday, February 26, 1971

8 p.m. - Seminar Room - Campus

1. INVOCATION
2. ROLL CALL — Students there were Randy Allen, Steve Megerle, Rai Hopkins, Jim Noplis
3. MINUTES OF LAST MEETING
4. PHYSICAL PLANT
   a. Resolution for Interim Financing and Bond Sale
   b. New Campus
      (1) Access Road
      (2) Ground Breaking Ceremony - April 12
   c. Temporary Units for Fall of '71
5. FACULTY
   a. New Faculty Appointments
      (1) Dr. Lew Wallace, Chairman of the Department of Social Sciences and Professor of History. (Presently at Murray)
      (2) Dr. Bart Braden, Chairman of the Department of Mathematics and Physical Sciences and Associate Professor of Mathematics (For the past four years, a Professor at the University of Virginia at Charlottesville.)
      (3) Dr. Robert Henry, Chairman of the Department of Business and Economics and Professor of Business (Presently, Public Relations officer for the Savings Bank Association of New York State.)
      (4) Dr. Pran Nath Bali, Assistant Professor of Biology (Ph. D. at Un. of Washington)
      (5) Dr. Joseph Smith, Associate Professor of Mathematics (Specialist in teaching
      (6) Dr. Zelda Smith, Associate Professor of Education of Mathematics) Specialist in teaching of Reading.
      (Both Smiths (man and wife) are presently at Western.
      (7) Mr. Clifford Stone, Instructor in Accounting. (Presently part-time instructor for us. Mr. Stone has his Master's Degree in Business Administration and is a public accountant. As far as I can tell, there is no doctorate in Accounting on the faculty of any Kentucky institution. He is doing, and I am sure will continue to do, a good job for us.
      (8) Returning from leave:
      Mr. James McKenney, Assistant Professor of Mathematics
      Mr. Larry Dickson, Assistant Professor of English
      (9) We lack filling 3 positions - Business, Economics and Art. Dr. Tesseneer is in contact with people in the three areas.
   b. Report on Budget
   c. Application for Federal money for special programs. Mr. Kilkenny will report.
   d. Faculty Assembly Constitution
   e. Faculty Handbook
6. STUDENTS
   a. Student Government Constitution
   b. Publications Boards
7. OTHER BUSINESS

MINUTES OF THE BOARD OF REGENTS MEETING
NORTHERN KENTUCKY STATE COLLEGE
Friday, February 26, 1971

Vice Chairman Charles Wiley called the meeting to order at 8:15 p.m., on Friday, February 26, 1971. All members of the Board of Regents were present with the exception of Mr. Landrum Mr. Wiley recognized the attendance at the meeting of the following: Advisors - Bob Berkshire, Gene Tolson, Charles Adams, Harris Combs, Donald Colvin, and E. G Traylor. Also present were Dr. Ralph Tesseneer, John Kilkenny, John DeMarcus, Kay Brookshire of the Enquirer, David Bauer of the Kentucky Post, Randall Allen of the Northerner. Students present were Jim Noplis, Rai Hopkins, and Steve Megerle.

Mr. Lucas gave the invocation.

The Chairman called for the reading of the minutes of the last meeting. The secretary asked that the minutes be approved as submitted and corrected to each of the Board members and that a reading be waived. Paul Leffler moved to accept the minutes. Mr. Kohler seconded the motion. The motion passed unanimously.

Mr. Wiley asked Mr. Brooking to administer the oath of office to Terence Robbins as faculty representative. Mr. Robbins was duly sworn in according to Kentucky statute.

The first order of business was regarding the physical plant. President Steely reported that a resolution had been written by Franklin Hayes and each of the Regents had received a copy. The resolution was for interim financing authorization and authorization for the sale of bonds. The Department of Finance has also received a copy of the fiscal arrangement. A special meeting will be called after the bids are opened on March 4th in order to vote approval of the interim financing and bond sale. Mr. Wiley asked if the Advisors had received copies. Dr. Steely replied he had only a limited number of copies, but any of the Advisors were welcome to see them, although they were in extremely technical language. Dr. Steely reported that bonds will not be sold immediately. It is traditional to set up interim financing until the mid-construction period. He recommended that the banks in Northern Kentucky have an opportunity to participate in the arrangement. Mr. Wiley asked for discussion. Mr. Haas asked if the resolution was to be reviewed. Dr. Steely said yes and the vote will be taken at the next meeting. Mr. Haas asked if the bonds were callable. Dr. Steely said they were not. Mr. Tolson asked if they had a guaranteed return. Dr. Steely said that the institution will be paying 3% of the interest and that H.E.W. will pay the balance. Mr. Haas asked what the amount of the bond issue was. Dr. Steely replied $5-6 million. Mr. Tolson asked what the total anticipated bond indebtedness would be. The estimated total cost is $40+million.

Dr. Steely reported on the new campus. The contract was let for construction of the access road at $308,000. One of Mr. Tolson's directors is the awardee. Construction will begin shortly, including the spur to the main campus. However, the Highway Department omitted a private sewer, and it will be necessary for the college to move it. This will be done on an emergency basis.

The ground-breaking ceremony will be March 31st, instead of April 12th, as listed on the agenda. The ceremony will be very significant. Governor Nunn will attend. Everyone will be invited and encouraged to attend. The Northern Kentucky Chamber of Commerce will sponsor the event.

Dr. Steely then reported on the temporary units. Mr. Kilkenny has contacted several builders and worked through the Division of Engineering. He will have final estimates on them in the next few days.

Mr. Wiley called for a report on the new faculty. Dr. Steely called on Dr. Tesseneer. The six new faculty members, Dr. Lew Wallace, Dr. Bart Braden, Dr. Robert Henry, Dr. Pran Nath Bali, Dr. Joseph Smith and Dr. Zelda Smith together with the faculty approved at the last meeting, constitute all the new faculty needed except for three unfilled positions. The college now has nine departments and one sub-department. Dr. Steely moved for the approval of the new faculty. Mr. Haas seconded. Mr. Kohler questioned the lack of a Ph.D. on the part of the new accounting instructor. Dr. Steely pointed out that Ph.D.'s in accounting are rare; no other school in Kentucky has such a person on the staff. The Chairman called for a vote, and the motioned passed unanimously.

Mr. Wiley then called for a report on the budget and on the application for federal monies. Mr. Kilkenny commented the college is in the process of receiving from various departments requests for the budget. The next move is to project a budget for next year. No firm figures could be given at this time. On the federal monies, Mr. Kilkenny said that we have compiled six proposals under six different titles of federal programs for a special purpose; total is $405,000

Mr. Wiley called for a report of faculty assembly. Mr. Robbins asked for acceptance of the faculty assembly constitution as submitted. He said that faculty hand-books are in committee for preparation. It was decided to defer voting on the constitution until the Board of Regents had had time to review it.

The Chairman called for a report on the Student Government constitution. Paul Leffler asked if every Board member had received copies. Mr. Leffler asked that the Board review the proposal and make comments at a later time. Dr. Steely announced that some members of Student Government had a minority statement concerning this constitution stating that they would like to have a 3.0 grade average for president, 2.7 for other offices and 2.5 average for representatives. Mr. Kohler asked what was meant by a silent majority in the statement. Rai Hopkins said he felt this meant the majority of students, as opposed to the minority representation of Student Government. Mr. Leffler mentioned that prior to the establishment of Northern Kentucky State College there was no academic requirement at all for Student Government, and that he felt there should be one for the best representation on Student Government. Mr. Megerle, Mr. Hopkins, and Mr. Noplis pointed out that most of the present Student Government representatives do not have a high grade-point average and, therefore, pushed through the constitution without a grade requirement for their own benefit. Mr. Kohler asked if there was a direct relationship between grades and school involvement. Mr. Megerle said that the present representatives were not interested in the student body as a whole. Mr. Haas commented that better students make better leaders and asked how urgent the acceptance of the constitution was.

After much discussion, Dr. Steely was asked for his recommendation. He said that there is no guarantee any constitution will be the popular one. Since the presidents of all three classes were on the list of names dissenting with constitution submitted, he suggested that the Board of Regents go over it in committee. Mr. Haas moved that the constitution be put into committee for the next meeting and asked that a copy of the constitution be given to the dissenting students for their comments. Mr. Kohler seconded the move. Mr. Wiley appointed Mr. Brooking and Dr. Steely to work on the committee and asked that the secretary give the Advisors copies of the constitution. Mr. Wiley requested that at the next meeting both sides be present. It was pointed out that Student Government had been invited to present the constitution, but had declined.

Next order of business was adoption of the guidelines of the publication board. Dr. Steely said that one addition had been made to the original proposal referring to an ethical standard. Dr. Steely moved for approval. Paul Leffler seconded. Motion passed unanimously.

Other new business was called for; none was forthcoming.

Mr. Brooking moved for adjournment. Mr. Haas seconded and meeting was adjourned at 9:30 p.m.

_____
Elmer Haas, Jr., Secretary

I, Elmer Haas, Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting held on February 26, 1971, and that such matters are still in full force and effect.

Dated this March _____, 1970.

_____
Elmer Haas, Jr., Secretary

There was no agenda

EXCERPTS FROM THE MINUTES OF A MEETING OF THE BOARD OF REGENTS OF NORTHERN KENTUCKY STATE COLLEGE, HELD ON MARCH 15, 1971.

The Board of Regents of Northern Kentucky State College convened in a special called session in the Seminar Room, Main Building, 1401 Dixie Highway, Covington, Kentucky 41011, at 8:00 p.m. Upon call of the roll it was ascertained that the following members of the Board were present: John Brooking, Kenneth Lucas, Charles Landrum, Elmer Haas, Joseph Kohler, Charles Wiley. Paul Leffler, Student Representative and Enid Henry, Faculty Representative. President Frank Steely. There were absent: Wendell Butler. There were also present: John Kilkenny; James Noplis, Rai Hopkins, students; Delores Thelen.

It having been determined that a quorum was present, Charles Wiley called the meeting to order and presided as vice-chairman.

Mr. Wiley called for a reading of the minutes of the last meeting. The secretary moved that a reading of the minutes be waived and that they be approved as submitted, as every member had received a copy prior to the meeting. Paul Leffler seconded the motion. It carried unanimously.

Thereupon, the President explained that it was advisable at this time for a Basic Resolution to be adopted by the Board of Regents to estbalish a Consolidated Educational Buildings Project of the College in order to serve as the foundation for the issuance of Series A Bonds which would be secured by a pledge of the revenues of such Project and a statutory mortgage lein on the buildings and appurtenances constituting such Project. He further stated that instead of the College at this time issuing such Series A Bonds it has been determined to be advisable that a commitment or commitments be obtained from a person or persons to guarantee a bid for revenue bond anticipation notes which would constitue an account receivable and which would permit construction bids to be accepted with reference to the new Classroom-Administration Building project. Thereupon, Elmer Haas made a motion that a resolution be adopted the title of which being as follows:

> A RESOLUTION creating and establishing a Consolidated Educational Buildings Project for the Northern Kentucky State College; creating and establishing an issue of Consolidated Educational Buildings Revenue Bonds of the Board of Regents of the Northern Kentucky State College; providing for the issuance from time to time of said bonds; providing for the payment of the principal of and interest on said bonds and repealing all resolutions or parts of resolutions in conflict with this resolution.

Such motion was seconded by John Brooking, and upon a vote being taken upon the adoption of same, the result was as follows:

Voting "AYE": John Brooking, Elmer Haas, Joseph Kohler, Charles Landrum, Kenneth Lucas and Charles Wiley.

Voting "NAY": None

Thereupon, it was announced that such motion had carried and that such resolution had been duly adopted by the Board. Whereupon, such resolution was signed by the Chairman and the Secretary and the seal of the Board affixed thereto. Such resolution was declared to be in full force and effect. A copy of same is attached to the minutes of this meeting.

Thereupon, Elmer Haas made a motion that a resolution be adopted the title of which is as follows:

RESOLUTION CONFIRMING REQUEST FOR APPROVAL OF CLASSROOM-ADMINISTRATION BUILDING PROJECT AND AUTHORIZING THE EXECUTION OF AN AGREEMENT AS AUTHORIZED BY KRS 56.513 TO PROVIDE FOR INTERIM FINANCING OR THE ESTABLISHMENT OF A RECEIVABLE OR RECEIVABLES IN CONNECTION WITH FINANCING THE PROJECT.

Such motion was seconded by John Brooking, and upon a vote being taken upon the adoption of same, the result was as follows:

Voting "AYE": John Brooking, Elmer Haas, Joseph Kohler, Charles Landrum, Kenneth Lucas and Charles Wiley.

Voting "NAY": None

Thereupon, it was announced that such motion had carried and that such resolution had been duly adopted by the Board. Whereupon, it was signed by the Chairman and the Secretary and the seal of the Board affixed. Such resolution was declared to be in full force and effect. A copy of same is attached to the minutes of this meeting.

The second order of business was ratification of the Constitution of the Faculty Assembly of NKSC. Dr. Steely commented that the only significant change the committee of Regents had made was Section D., Article VI, which now reads that the chairman of the Faculty Assembly must be of the rank of assistant professor or higher and will serve as faculty representative on the Board of Regents. Mr. Haas asked if it was intended that the Constitution be accepted as submitted. Mr. Kohler asked if it would ever happen that the Board of Regents could waive the requirements of 10 days notice to the Board of Regents before requiring action. It was decided that this would be at the discretion of the Regents. Mr. Kohler moved for the adoption of the Constitution with the provision added in Article VI, Item D. "unless such time limit be waived by the Board". Paul Leffler seconded the motion. Mr. Haas commented that more

than a majority of such a vote should be required. Mr. Wiley called for a vote and the motion carried unanimously.

The third item of business called for ratification of the Student Constitution of NKSC. Dr. Steely said that the Constitution had been significantly modified by the Regent's committee. The most substantive modification was the inclusion of the requirement of a 3.0 standing on a 4.0 basis for all class officers and representatives. The priviso was also included that the Student Government president, after the coming year, be either a junior or a senior. Representatives, as well, shall be elected from each class rather than the student body as a whole. Two representatives from each of four classes will be named, plus one from the part-time and evening students. Mr. Leffler moved that the Student Government Constitution be approved as submitted, with the exception of three minor errors: Article IX, Section 1. should be changed to read interim instead of interior; Section V, Page 3-A1 should read on instead of or; Page 8 should read case for instead of against the student. Elmer Haas seconded the motion. The Chairman called for a vote and the motion carried unanimously.

There being no further business to come before the Board at thie time, a motion was duly made, seconded and unanimously carried that the meeting adjourn.

_____

Chairman, Board of Regents of Northern Kentucky State College

(Seal)

Attest:

_____

Secretary

Certification

The undersigned Secretary of the Board of Regents of Northern Kentucky State College hereby certifies that the foregoing is a true, accurate and complete copy of those portions of the minutes of a meeting of said Board, held on March 15, 1971, in so far as they related to the matters hereinbefore set forth, and that such meeting was duly called. The undersigned further certifies that there are attached to these minutes true copies of the two resolutions referred to in such minutes as having been adopted and as being attached to these minutes.

Witness my hand and the seal of said Board this 15th of March, 1971

(Seal)

Secretary, Board of Regents of Northern
Kentucky State College

SPECIAL MEETING OF THE BOARD OF REGENTS, April 17, 1971 - 8 p.m.

Present: Charles Wiley, John Brooking, Joseph Kohler, Kenneth Lucas. - Regents
Dr. Frank Steely, Dr. James Claypool, Mrs. Carol Hiller. - Staff
James Kiger, Mrs. Carol Thompson, Frank Cornelius,
Jerry Helm, Jerry Robinson, Steve Megerle - Students
Also, Randy Allen, Rick Sparks - Students

Opening prayer by Mr. Lucas, after meeting was called to order by Mr. Wiley.

Minutes dispensed with.

Discussion of Student Government Constitution - requested student changes.

The students then withdrew and Regents, Dr. Steely and Dr. Claypool discussed requested amendments. Amended document hereto appended was approved.

It was moved by Mr. Brooking and seconded by Mr. Lucas that amended constitution be adopted and student government be instructed to carry out elections under this constitution. Motion carried unanimously.

Frank Steely

Frank Steely, Secretary Pro-tem

BOARD OF REGENTS EXECUTIVE MEETING at 7 p.m. preceding regular meeting in Dr. Ralph Tesseneer's office.

AGENDA
BOARD OF REGENTS MEETING
Northern Kentucky State College
Thursday, May 27, 1971 - 8 p.m.
Seminar Room - Main Building - Campus

1. INVOCATION

2. ROLL CALL

3. SWEAR IN - Mr. Frank Cornelius, new Student Regent

4. MINUTES OF LAST MEETING

5. PHYSICAL PLANT

6. STUDENTS

7. FACULTY

   a. New appointments
      (1) Dr. George Temple as Assistant Professor of Business
          Formerly of Louisiana State University
      (2) Mr. Robert L. Losey as Assistant Professor of Economics
          Formerly of Centre College in Kentucky
      (3) Mr. Neil Jowaisas as Instructor in Art
          Formerly of the Rhode Island School of Design
      (4) Miss Mary Ellen Rutledge as Librarian I and Instructor in Library Science
          Formerly of George Peabody College in Tennessee
      (5) Miss Carolyn Sue Holman as Librarian I
          Formerly of the University of Kentucky
   b. Federal grant for Title I money
   c. Faculty Constitution - Mr. Terence Robbins has report
   d. Faculty Handbook

8. LEGISLATIVE RESOLUTION

9. Impending Interim Legislative Study Commission visit (sequel to C. H. E. Self-Study)

10. BUDGETS FOR 1971-72

11. CHASE LAW SCHOOL

12. OTHER BUSINESS

13. ADJOURNMENT

MINUTES OF THE BOARD OF REGENTS MEETING
NORTHERN KENTUCKY STATE COLLEGE
Thursday, May 27, 1971

The meeting was called to order at 8:10 p. m. Board members were Messrs. John Brooking, Elmer Haas, Joseph Kohler, Frank Steely, Charles Wiley, and Terence Robbins. Chairman Charles Wiley introduced guests Norman Herbstreit, Bob Berkshire, Ralph Tesseneer, Donald Colvin, E. G. Traylor, James Claypool, John Kilkenny, Paul Schott, Randall Allen, Karen Free, Paul Leffler, David Oliver, Steve Megerle, Jack Hicks, and Dave Bauer.

The first order of business was the swearing in to office of Frank Cornelius, new student regent. Mr. Brooking administered the oath of loyalty to the Commonwealth of Kentucky to Mr. Cornelius, and Mr. Cornelius was formally welcomed to the Board.

Mr. Wiley called for a report on the physical plant. Dr. Steely reported that construction is proceeding on schedule. A Phase B meeting has been held on the second building, and the contract will be let about September 20. This building is scheduled to be finished by about the same time as the first one - June 21, 1972 is the anticipated date. The contract has been let for the purchase of eight new classroom units. They will be so erected as not to occupy any parking spaces. The cost will be $58,000. The lease on the offices rented on the Dixie Highway has been allowed to expire and the administrative offices are now on campus.

The Chairman called for a report on Student Affairs. Dr. Steely announced that Mr. Frank Cornelius has been elected as president of the student body; ~~Mike Harp~~ Ricie Sparks as vice president. Representatives to Student Government will be elected next fall. Dr. Steely moved for the appreciation of the Regents to Paul Leffler. Elmer Haas seconded the motion, and it passed unanimously. Mr. Wiley instructed that a letter of appreciation be sent to Mr. Leffler from the Board of Regents. Mr. Haas suggested a note of appreciation to the students for a beautiful Spring Cotillion. The secretary was instructed to send such a letter.

A report on faculty was called for. Dr. Tessencer asked for approval by the Regents of the new faculty listed on the agenda. He reported that there is still one position to be filled. Mr. Kohler moved acceptance of the new appointments. Mr. Brooking seconded. A vote was called for, and the motion carried unanimously.

Mr. Wiley called for a report on the Title I funds. Mr. Kilkenny said that applications are being made for funds for a research proposal in the field of fine arts. The proposal calls for work with art groups in the community. Their representatives will take courses at Northern Kentucky State College and take techniques and information back to their own organizations. Dr. William Parsons will be Director of this program. The total funds applied for are $16,000.

The next item on the agenda was the Faculty Handbook. Dr. Tesseneer reported that the Handbook Committee had submitted a draft which was distributed to the Regents. Voting on the adoption of the handbook was deferred until the next meeting. Mr. Brooking moved to send the draft of the handbook with the status report to the Southern Association of Colleges and Schools. Mr. Lucas seconded the motion, and the motion passed unanimously.

Dr. Steely reported that Dr. Tesseneer and Dr. Price had visited with the Southern Association, and that a status report will be submitted on the 16th of June with a site visit early in the fall.

Dr. Steely noted that correspondence had been received in March concerning House Resolution #28 requesting the attention of the Board of Regents to that resolution. Mr. Haas moved to defer adopting the resolution to counsel for his opinion, with a report for the next meeting. Mr. Kohler seconded the motion and it passed unanimously.

The Chairman called for a report on the Interim Legislative Study Commission. Dr. Steely reported that a commission made up of the chairmen of the Finance Committees, from the House and Senate, as well as others, would visit all the colleges in the State during the summer. A date to visit Northern Kentucky State College has not yet been set.

A report on the budget for 1971-72 was called for. Mr. Kilkenny presented the operating budget for the coming year. Mr. Kohler commented that the operating expenses were down from last year. Mr. Leffler asked about student insurance. Mr. Kilkenny reported that funds carried over from last year will take care of the increase in the student body numbers this coming year. Mr. Brooking moved for the adoption of the budget. Mr. Kohler seconded the motion, and it carried unanimously. Mr. Kohler noted that the budget had been in the hands of the Regents prior to the meeting, and that action was not being taken hastily without forethought.

Mr. Wiley asked for a report on the Chase Law School merger. Dr. Steely reported that there is a proposal of merger of the Salmon P. Chase School of Law with Northern Kentucky State College. He presented a resolution signed by the Chairman of the Board of Chase College and adopted by their Board of Trustees approving the merger, and authorizing meetings between the two schools and the drafting of final documents. Dr. Steely commented that this represents a magnificent opportunity for NKSC, as some of the outstanding law men in the area are graduates of Chase. The school has no debts, a full library, and a competent staff. It is an evening law school, fully accredited by the American Bar Association. As a possibility it is envisioned that in the fall of 1972, when Northern Kentucky State College moved to its new campus, the present facility will provide the space needed by Chase. The Budget Director has prepared a projected budget, and the merger will mean no added cost to NKSC. Dr. Steely requested the approval of the resolution and the appointment of a committee to draft final details. Mr. Brooking moved for the approval of the merger with Chase School of Law subject to concluding articles of merger satisfactory to Northern Kentucky State College's appointed committee; they being Dr. Steely and Mr. Brooking, and that the suggestion be submitted to the Kentucky Council on Public Higher Education. Mr. Haas seconded the motion. A vote was called for, and the motion was passed unanimously.

Mr. Haas moved for adjournment and Mr. Kohler seconded. Meeting adjourned at 9:10 p. m.

Elmer Haas, Jr., Secretary

I, Elmer Haas, Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting held on May 27, 1971, and that such matters are still in full force and effect.

Dated this June 14th, 1971.

Elmer Haas, Jr., Secretary

MINUTES OF THE BOARD OF REGENTS MEETING
NORTHERN KENTUCKY STATE COLLEGE
Friday, July 8? 1971

Present for the Board were Messrs. Elmer Haas, Charles Landrum, Joseph Kohler, Charles Wiley, Terence Robbins, John Brooking, Frank Cornelius, and Dr. Frank Steely. Absent was Mr. Kenneth Lucas.

Chairman Charles Wiley called the meeting to order at 8:15 p.m.

He called for a reading of the minutes. The secretary asked that a reading be waived as all members had received copies prior to the meeting.

Mr. Robbins asked for a correction in the minutes, that paragraph 4 D-1 of the Faculty Constitution be changed to read "assistant professor or above". Mr. Cornelius asked that the minutes be changed to read that the student vice president for the 71-72 academic year is Rick Sparks rather than Mike Harp. Mr. Haas moved that the minutes be accepted as corrected. Mr. Landrum seconded the motion and it carried unanimously.

The meeting was then turned over to Dr. Steely for an explanation of the Chase Law School merger agreement. Dr. Steely mentioned that all members had received copies of the agreement which has been signed by Chase Law School officials. He pointed out two changes which had been made over the original draft. The agreement now reads in paragraph 3-F "the main building on the present NKSC campus shall be occupied by the Salmon P. Chase Law School". Mr. Haas moved that upon assurance of the legality of the move and advice of counsel that it was within the law and appropriate to do so, the Board of Regents of NKSC approve the merger agreement with Chase School of Law. Mr. Brooking seconded the motion. The Chairman called for a vote and the motion passed unanimously, including the vote of Mr. Lucas for whom Mr. Brooking carried a proxy.

The second order of business was the approval of Mr. John DeMarcus as Administrative Vice President of NKSC. The chief dutyof this position would be supervising the overall planning for building. Dr. Steely pointed out that Mr. DeMarcus served as Administrative Assistant to Governor Nunn. His chief responsibility being the development of NKSC. He has served long, thoroughly, and well in his capacity. Dr. Steely asked for authorization to negotiate an open-ended contract as to duty, starting date, salary, etc. Mr. Brooking moved that the Board of Regents hereby authorize, execute and enter into a contract with Mr. John DeMarcus under such terms as deemed reasonable as to all details affiliated with the duties of Administrative Vice President and Professor of History. Mr. Kohler seconded the motion and it carried unanimously.

Mr. Kohler moved for adjournment. Mr. Brooking seconded the motion. The meeting was adjourned at 9:15 p.m.

Elmer Haas, Jr., Secretary

I, Elmer Haas, Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting held on July 8, 1971, and that such matters are still in full force and effect.

Dates this July 15, 1971.

Elmer Haas, Jr., Secretary

57 = 2:100

3,000 = 2,350 FTE

AGENDA

BOARD OF REGENTS MEETING
Northern Kentucky State College
Friday, September 3, 1971 - 8 p.m.
Seminar Room - Main Building - Campus

75-4175

6% Dr.

1. INVOCATION
2. ROLL CALL
3. MINUTES OF LAST MEETING
4. STUDENTS
   a. Enrollment this fall - report 2:45 — 1662
5. FACULTY
   a. New appointments
      (1) Dr. Robert Mullen as Assistant Professor of Speech
      Formerly Teaching Associate at Ohio State University
      (replaces Mr. Norris Hellwig who resigned)
      (2) Mr. Lowell Osborne as Assistant Professor of English
      Formerly of the University of North Carolina
      (replaces Mr. Bill Byron - deceased)
      (3) Dr. James Sehnert as Assistant Professor of Mathematics
      Formerly doing Ph. D. work at Ohio State University
      (replaces Mr. Martin Brown who resigned)
      (4) Mr. Gerald Smolen, Assistant Professor of Economics
      Formerly doing Ph. D. work at University of Tennessee
      (replaces Mr. George Temple who resigned)
      (5) Mrs. Jeannette Cochran as Instructor in Nursing
      (replaces Miss Mary Ann Clark who resigned)
      (6) Miss Lyla Haggard as Counselor
   b. Faculty Handbook
6. PHYSICAL PLANT DEVELOPMENT
7. SOUTHERN ASSOCIATION OF COLLEGES AND SCHOOLS
   Visitation Committee - Here - September 12, 13, 14, 15
8. CHASE LAW SCHOOL
9. OTHER BUSINESS
10. ADJOURNMENT

Fire Protection new campus.

Forward to Future Committee

Critical Path | 3. Items. Downing?

MINUTES OF THE BOARD OF REGENTS MEETING
NORTHERN KENTUCKY STATE COLLEGE
Friday, Sept. 3, 1971

Chairman Charles Wiley called the meeting to order at 8:00 p.m. Present for the Board of Regents were Elmer Haas, Joseph Kohler, Charles Landrum, Ken Lucas, Frank Steely, Charles Wiley, and Terence Robbins. A proxy for Mr. John Brooking was held by Mr. Haas. Present for the Advisory Council were E. G. Traylor, Charles Palmer, Gene Tolson, Robert Berkshire, and Harris Combs. Guests were Dr. James Claypool and Miss Ruth Ochs.

Ken Lucas gave the invocation.

The secretary called the roll. It was determined that a quorum was present.

The Chairman called for a reading of the minutes. Mr. Haas moved that the reading be waived and the minutes approved as each board member had received a copy prior to the meeting. Mr. Kohler seconded the motion. The vote was unanimously in favor.

The first order of business was a report on students and fall enrollment. Dr. Steely asked Dr. Claypool to report on enrollment. There are currently 3,004 students enrolled for the fall semester. Dr. Claypool commented that at this point one of every 100 persons in Northern Kentucky attends NKSC. He thanked the Board of Regents for their fine planning and pointed out that the consultant's report was now antedated as far as growth rate is concerned. The 3,000 figure is 1,400 over last fall's enrollment. Every class is filled to capacity.

Mr. Kohler asked about parking space. Dr. Steely reported that everything that could be done has been done. The Highway Department has graveled the parking lot on the Dixie Highway and is working on another. Security people have been hired to supervise parking.

Dr. Steely pointed out that additional faculty will be needed in the very near future.

The second order of business was approval of new faculty. New faculty recruits are Dr. Robert Mullen to replace Mr. Norris Hellwig in speech, Mr. Lowell Osborne to replace Mr. Bill Byron in english, Dr. James Sehnert to replace Mr. Martin Brown in mathematics, Mr. Gerald Smolen to replace Mr. George Temple, Mrs. Jeannette Cochran to replace Mary Ann Clark in nursing, and Miss Lyla Haggard as counselor. Dr. Steely moved for the approval of these appointments. Mr. Haas seconded. A vote was called for. Motion passed unanimously.

The next order of business was the faculty handbook. Mr. Robbins reported that the progress of the book has been retarded by fall enrollment but it is proceeding. Mr. Kohler asked that a copy of the proposed handbook be sent to him.

Dr. Steely mentioned that the Council on Public Higher Education meet Monday, August 30 for a budget planning session. He noted that NKSC and the U of L will receive special attention in the next biennium. The two gubernatorial candidates have indicated full support for NKSC.

Mr. Wiley called for a report on the physical plant and development. Dr. Steely noted that the first construction is running on schedule. It should be under roof by winter. On the 8th of September bids for the multi-purpose building will be accepted. Contracts will be awarded on the 20th. It is scheduled to be finished by fall of 1972.

Dr. Steely reported that a visitation committee from the Southern Association for the Accreditation of Colleges and Schools will be at NKSC on September 12. A dinner will be given for them that evening at the Vocational School. Dr. Steely noted that the committee is a distinguished one composed of the Chairman of the Biology Department at the University of Tennessee, the President of Georgia Southern University, the Librarian of William and Mary College and several others.

Chase Law School. Dr. Steely moved that NKSC's counsel be authorized to go ahead with a friendly suit on the Chase-Northern merger. Mr. Lucas seconded the motion and the motion passed unanimously.

There being no other business, Mr. Haas moved for adjournment, and the meeting was adjourned at 9:00 p.m.

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Elmer Haas, Jr., Secretary

I, Elmer Haas, Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting held on September 3, 1971, and that such matters are still in full force and effect.

Dated this September _____, 1971

_____

Elmer Haas, Jr., Secretary

MINUTES OF THE BOARD OF REGENTS MEETING
NORTHERN KENTUCKY STATE COLLEGE
Thursday, Nov. 11, 1971

Chairman Wendell P. Butler called the meeting to order at 8:05 p.m. Present were: Wendell P. Butler, Chairman, Charles Wiley, John Brooking, Elmer Haas, Joseph Kohler, Ken Lucas, Charles Landrum, Dr. Frank Steely, Nick Melnick, Harris Combs, Robert Berkshire, Donald Colvin, Dr. Ralph Tesseneer, Dr. James Claypool, John Kilkenny, and Gary Wagoner, for Frank Cornelius. Present from the press were: Dick Wilson, Dave Bauer, Kay Brookshire, and Ruth Ann Ochs.

For the first order of business, Joe Kohler moved and Elmer Haas seconded to accept the minutes of the meeting of Sept. 3, as each member had received copies prior to the current meeting. Motion passed unanimously.

Chairman Butler called for a report on construction. Dr. Steely reported that construction is proceeding on schedule for the first building considering a 30-day extension. Target date is now July 24, 1972. The second building is also slated for completion in July of 1972. The third building is now in phase 3 of its planning stage. It is anticipated that plans will be finished in March of 1972. Contracts should be let in early May.

Dr. Steely suggested that the assembly hall be named "Regents Hall". Mr. Brooking so moved, Mr. Haas seconded, and the motion passed unanimously.

The Chairman called for a report on faculty. Dr. Tesseneer asked for approval of two new appointments, Mr. Adalberto Pinelo as assistant professor of political science beginning January 1972 and Dr. Helen A. Thomas, assistant professor of education also to begin January 1972. Mr. Kohler moved for acceptance of both, Mr. Lucas seconded and motion passed unanimously.

Dr. Tesseneer presented a preliminary layout for diplomas. Mr. Haas recommended that Mr. Wiley have a committee to design our final diploma. Dr. Tesseneer suggested that Dr. Melnick serve on that committee. Dr. Steely suggested Mr. Cornelius as another member. Mr. Kohler moved the creation by the President of a committee to submit formats for diplomas to the Regents. Mr. Landrum seconded and the motion passed unanimously. Dr. Steely then designated Mr. Wiley, Dr. Melnick, and Mr. Cornelius to compose such a committee.

Chairman Butler called for a report on students. Dr. Claypool presented a statistical breakdown on fall 1971 enrollment. He reported that over 50% of the student body is pre-registered. Mr. Haas moved that Dr. Claypool's statistics report be put into the form of a resolution and sent to the Council on Public Higher Education and to Dr. Thompson of Ohio State University who is advising the Council. The resolution is to request that our enrollment figures be used for projections instead of the figures projected by our consultants, Taylor, Lieberfeld and Heldman. Mr. Landrum seconded the motion and it carried unanimously.

Mr. Brooking was called upon to report on the Chase suit. He reported that on October 6th, the Attorney General filed his brief. NKSC's and the Council on Public Higher Education's briefs were filed on November 5th. The Attorney General now has until Nov. 21st to file rebuttals. After that date, the court will make a decision. Mr. Brooking is extremely

optimistic, he expects a decision on or about Dec. 15th.

Chairman Butler called for a report on the Southern Association of Colleges and Schools (SACS) visitation. Dr. Steely reported that a written report has not yet been received. Our budget requests are mainly based on the oral recommendations given at the time of their visit.

Under other business, Mr. Kohler asked about the status of the faculty handbook. Dr. Melnick noted that the book will be ready in the very near future.

Mr. Kohler commented that the Board of Regents is extremely proud of NKS's students who are carrying out various charity projects.

Chairman Butler called for a report on the budget. Dr. Steely commented that the budget must be submitted to the Department of Finance and the Council on Public Higher Education by Nov. 15th. Copies were distributed. After some discussion, Mr. Brooking moved to approve the budget request. Mr. Haas seconded and the motion passed unanimously.

Chairman Butler recognized Donald Colvin who commented that the compliments extended to the faculty and students by Mr. Kohler were very much warranted. Chairman Butler extended his congratulations to the Board of Regents, the Advisory Board and staff members of NKSC on the fine work this far. Dr. Steely remarked that the Board appreciated Mr. Butler's support over the first years of NKSC.

Mr. Kohler moved for adjournment, Mr. Brooking seconded the motion. Meeting adjourned at 9:10 p.m.

_____

Elmer Haas, Jr., Secretary

I, Elmer Haas, Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy ofthe minutes of its regular meeting held on Nov. 11, 1971, and that such matters are still in full force and effect.

Dates this November_____, 1971

_____

Elmer Haas, Jr., Secretary

AGENDA
BOARD OF REGENTS
NORTHERN KENTUCKY STATE COLLEGE
MEETING - February 11, 1972 - 8:00 p.m.
Seminar Room - Main Building - Campus

1. CALL TO ORDER

2. ROLL CALL

3. MINUTES of Previous Meeting - November 11, 1971

4. REPORT ON CONSTRUCTION - Mr. DeMarcus

5. AUDIT - Mr. DeMarcus

6. FACULTY - Dr. Tesseneer

   a. New Appointments - See Attached Sheet

   b. Report on contracts for present faculty for next year (Budget delays this)

   c. Format of Diploma (Committee: Mr. Wiley, Dr. Melnick, Mr. Cornelius)

   d. Faculty Handbook

7. STUDENTS - Dr. Claypool

   a. Spring enrollment

   b. Academic Code - Copies mail in December (need formal approval)

8. STATUS OF CHASE MERGER (Legislation) - Dr. Steely

9. Southern Association of Colleges and Schools - Written Report received, Candidate status voted by SACS

10. BUDGET - Mr. Kilkenny and Dr. Steely

11. OTHER BUSINESS

12. ADJOURNMENT

Steely

MEETING OF THE BOARD OF REGENTS MEETING
NORTHERN KENTUCKY STATE COLLEGE
Friday, February 11, 1972 - 8 p.m.

The Board of Regents of Northern Kentucky State College met in regular session on Friday, February 11, 1972 in the Seminar Room, Main Building of the Northern campus. Dr. Lyman Ginger called the meeting to order at 8 p.m. Present for the Board of Regents were: Dr. Ginger, Mr. Charles Wiley, Mr. John Brooking, Mr. Elmer Haas, Mr. Joseph Kohler, Mr. Kenneth Lucas, Dr. Frank Steely, Dr. Nick Melnick, faculty representative, and Mr. Frank Cornelius, student representative. Mr. Charles Landrum was out of the country, and consequently unable to attend. Present for the Advisory Board were Mr. Robert Berkshire, Mr. Norman Herbstreit, and Mr. E. G. Traylor. (Mr. Harris Combs and Mr. Charles Palmer had reported they would be out of town and could not be present.) Guests present were Mr. David Garnett, Miss Diane Devoto, Mr Gary Wagoner, Mr. John Kilkenny, Mr. John Gallagher, Miss Kay Brookshire (Cincinnati Enquirer), Mr. James Ramage, Miss Peggy Marr, Miss Ruth Ann Ochs, Dr James Claypool, Dean Jack Grosse, Dr. Ralph Tesseneer, Dr. Joseph Price, and Mr. John DeMarcus.

Dr. Ginger called for a reading of the minutes of the previous meeting, November 11, 1971. Mr. Haas moved that the minutes be approved without reading as they had been distributed to the Regents prior to the meeting. Mr. Kohler seconded and the motion carried unanimously.

Dr. Ginger called for a report on construction. Mr. John DeMarcus distributed copies of a report on the progress of the various phases of construction. The first building is generally on schedule and the contractor reports that July 24 is the target date for moving from the Covington campus to the Highland Heights campus. The second building is approximately 2 months behind schedule due to weather conditions. It is anticipated some of this time will be made up and the building will be ready in late August or September. Mr. DeMarcus announced that the Regents' pictures will be etched in metal and placed on the building The third building is past Phase III and is now in design. Bids are scheduled to be let May 1. The master plan is in work.

Mr. Kohler asked what was being done about highway access. Mr. DeMarcus replied that, as of now, the Highway Department is predicting a date of 1975 for completion of the federal highway near the campus. Mr. Haas moved that the Board of Regents go on record as recognizing the danger of moving onto the new campus without highway access and that this record be publicized. Mr. Kohler seconded the motion and it passed unanimously.

Dr. Ginger requested that a letter stating the facts about highway access be submitted to the Highway Commissioner listing the names of highways and what needs to be done and that the letter be released to the press for the purpose of informing the public that the college Board of Regents is working on the problem.

Dr. Ginger called for an audit report. Copies of the auditor's report were distributed. Mr. DeMarcus reported that all accounts were acceptable to the auditors. Mr. Haas moved to accept the audit, Mr. Wiley seconded the motion, and the motion passed unanimously.

Dr. Ginger then called for a report on the faculty. Dr. Tesseneer submitted the names of 21 new faculty members for approval. He noted that all of the candidates either had their doctorates or would have them by the time they came to NKSC. He also stated that this will bring NKS's percentage of doctoral faculty to 60%. There are approximately 40 more faculty members to be hired before fall of 1972. Mr. Brooking moved to approve all 21 new members. Mr. Kohler seconded and the motion carried unanimously.

A report by the diploma committee was called for next. Dr. Price distributed copies of the proposed design and text for the college's first diploma. Mr. Kohler moved to accept the recommended design. Mr. Lucas seconded the motion and it carried unanimously.

The Chairman then called for a report on the faculty handbook. Dr. Melnick presented copies of the proposed handbook. He noted that it had been distributed to the faculty and will be voted on February 17. No problems are anticipated. Voting was delayed until a definite approval is given by the faculty and the Regents have had a chance to read and discuss it. Mr. Brooking moved that the matter be tabled until an executive meeting to be called by President Steely. Mr. Haas seconded the motion and it passed unanimously.

Chairman Ginger called for a report on enrollment. Dr. Claypool reported the Spring, 1972 student body totals 3,000. Nearly 400 transfer students were taken in. The freshman class consists of 1800 students. He predicted an enrollment of 4,500 this fall. Mr. Kohler moved to accept the enrollment report. Mr. Haas seconded and the motion carried unanimously.

Dr. Ginger called for a report on academic code and court. Copies of the code and the previously approved court were presented to the Board of Regents. Several changes were suggested. Mr. Brooking moved acceptance of the code, with the changes suggested. Mr. Haas seconded the motion and it carried unanimously.

The Chairman then called for a report on the status of the Chase merger. Dr. Steely reported that the merger is now virtually completed as a result of a decision received from the Circuit Court of Campbell County. The 30 days allowable for appeal of this decision have expired. He also reported that Senate Bill 99 prohibiting the funding of the Chase Law School will not be called up before the full Senate, and that Senate Bill 54 proposing re-organization of the Council on Public Higher Education had been amended and approved to provide that nothing be done to jeopardize the merger of Chase Law School and NKSC. Dr. Steely also reported that the American Bar Association has acquiesced to the merger of Chase and NKSC. Mr. Haas moved to receive Dr. Steely's report as information. Mr. Brooking seconded, and the motion carried unanimously.

Dr. Ginger called for the report received from the Southern Association of Colleges and Schools. It was reported that the Southern Association has voted candidate status for NKSC. Final accreditation will be voted after the graduation of the first class. Mr. Kohler moved for acceptance of the report. Mr. Brooking seconded, and it carried unanimously.

Dr. Ginger called for a report on the budget. Dr. Steely reported that the 17 million dollar budget approved by the Board of Regents for the 1972-74 Biennium had been cut to a recommendation by the Finance Department of 2.3 million for the coming year, plus Northern's portion of the fund set aside by the Finance Department for higher education - some $450,000 plus 3.5 million for the 1973-74 fiscal year. In addition, the college will receive one half million dollars each year for debt service and $300,000 for the purchase of additional land. Total of 7.9 million dollars is appropriated for the biennium. Mr. Haas moved approval of the budget report. Mr. Brooking seconded, and the vote was unanimous in favor of the motion.

Under other business, Dr. Steely brought to the attention of the Board of Regents the matter of Kentucky School Board Association dues. Dr. Steely asked that the Board of Regents consider whether or not Northern should join this association. Mr. Haas moved to explore joining the KSA. Mr. Brooking seconded it and the motion carried unanimously.

Mr. Kohler moved to adjourn at 10:05 p.m. Mr. Haas seconded, and the meeting was adjourned.

_____
Elmer Haas, Jr., Secretary

I, Elmer Haas, Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting held on February 11, 1972, and that such matters are still in full force and effect.

Dated this February _____, 1972.

_____
Elmer Haas, Jr., Secretary

AGENDA
BOARD OF REGENTS
NORTHERN KENTUCKY STATE COLLEGE
SPECIAL MEETING - March 28, 1972 - 8 p. m.
Seminar Room - Main Building - Campus

1. CALL TO ORDER

2. MEETING MINUTES of February 11, 1972

3. ACADEMIC COURT

4. FACULTY HANDBOOK
   Minor changes listed on attached sheet

5. CONTRACTS
   a. New Faculty - on attached list
   b. Present Faculty - on attached list

6. RESOLUTION for Chase College of Law Registration Fees
   Schedule of Fees attached

7. BOND RESOLUTIONS
   a. Revised Resolution creating and establishing
      (1) a Consolidated Educational Buildings Project, and
      (2) an issue of Consolidated Educational Buildings Revenue Bonds
   b. Resolution authorizing the issuance of Consolidated Educational Buildings Revenue Bonds, Series A

8. OTHER BUSINESS

9. ADJOURNMENT

MINUTES OF THE SPECIAL BOARD OF REGENTS MEETING
Tuesday, March 28, 1972 - 8 p. m.

The Board of Regents of Northern Kentucky State College convened in a special session in the Seminar Room, Main Building, 1401 Dixie Highway, Covington, Kentucky 41011, on March 28, 1972, at 8:00 p. m. Mr. Kenneth Lucas gave the invocation. Upon call of the roll it was ascertained that the following members of the Board were present: Voting members: John Brooking, Joseph Kohler, Charles Landrum, Kenneth Lucas, Charles Wiley. Non-Voting Members: President Frank Steely, Faculty Representative Nicholas Melnick, and Student Representative, Frank Cornelius. There was absent: Elmer Haas. There were also present: Franklin Hays of Louisville, Ralph Nall of R. L. Deupree Company, Lexington, Meredith Armstrong, Ralph Tesseneer, Paul Schott, Thad Lindsay, John Kilkenny, James Ramage, John DeMarcus, Peppy Martin, Gary Wagner, Jack Hicks of the Cincinnati Enquirer and Board Advisors Charles Palmer and Harris Combs.

It having been determined that a quorum was present, Charles Wiley called the meeting to order and presided as chairman. It having been noted that Elmer Haas, the Secretary, was absent, a motion was made by John Brooking, seconded by Kenneth Lucas and unanimously carried that Mrs. Dolores Thelen be elected Acting Secretary of the Board.

It was pointed out by Dr. Steely that the Academic Court had not been approved as stated in previous minutes even though the Academic Community Code had been approved; therefore, all the Court needed was formal approval by the Board as it was previously discussed. John Brooking moved that the Academic Court be approved as presented at previous meeting. The motion, seconded by Joseph Kohler, was carried unanimously.

The Faculty Handbook, previously presented, was discussed along with a few additional revisions made since the previous presentation. Mr. Thad Lindsey of the faculty was present to answer questions as he chaired the faculty committee which worked on the handbook. John Brooking questioned the area of "Tenure" and the term "extreme cause" used as the basis for termination of a faculty member's service and asked if "extreme cause" included incompetence. Mr. Lindsey explained that the term was used because this is usually the term used in handbooks of other colleges in this area and around the country. Dr. Steely pointed out that "extreme cause" could include, along with incompetence, immorality and insubordination, and that the administration was satisfied with this term, as it does give some leeway. The Faculty Handbook with the revisions (copy attached) was approved on motion by John Brooking. The motion was seconded by Charles Landrum and was unanimously carried.

The list of new and present faculty was presented with the following additions: To the present faculty list - under Humanities, the addition of Dan Purcell as Instructor and under Math and Physical Sciences, the addition of Raymond Crippen as Director of Laboratories. Additions to the new faculty list were: Mr. Jerry Outlaw, Assistant Professor of Sociology from Syracuse University and Louis Noyd, Assistant Professor of Economics from the University of Oregon. Dr. Tesseneer presented a list of Institutions attended by NKSC faculty, pointing the many different areas our faculty are from, and stating that some 106 faculty members hold degrees from 112 institutions in 34 of the 50 states and six foreign countries. Of the last degree received, 61 of the faculty members have received them from colleges in the north and east, and 40 from colleges in the south and west. Joseph Kohler moved that the recommendation for appointing the present and new faculty be approved with the additions. The motion, seconded by Kenneth Lucas, was unanimously carried.

Each Regent had received a Schedule of Fees for Chase Law School. President Steely pointed out that it was necessary to get approval of the Board of Regents since these fees form an integral part of our Bond Resolution. These fees have been submitted to a sub-committee of the Council on Public Higher Education and have been tentatively approved by this committee, subject to the approval of the members of the Council on Public Higher Education. This formal approval will presumably be made by the Council before the time of the sale of bonds. John Brooking moved the adoption of the fee schedule for Salmon P. Chase College of Law. The motion was seconded by Charles Landrum and was unanimously carried.

Thereupon, the President explained that due to the passage of time it had become advisable for the Basic Resolution which was adopted by the Board of Regents on March 15, 1971, establishing a Consolidated Educational Buildings Project of the College to be amended in certain particulars and that since such Basic Resolution may be in existence for many years and will likely serve as the basis for the issuance of several Series of Bonds it was advisable that a new Basic Resolution be adopted, one provision of which would repeal the prior Basic Resolution in its entirety, with the exception of reserving any rights which any person may have obtained thereunder in connection with making commitments as to interim financing for the Project therein authorized. The President pointed out, however, that prior to the adoption of a new Basic Resolution it would be advisable to adopt a resolution requesting approval by the State Property and Buildings Commission of Kentucky of a project consisting of the construction of the Academic-Administration Building and of the Multi-Purpose Building. He stated that at the request of the College such Commission had, on March 18, 1971, approved the Academic-Administration Building Project which at that time had been referred to as the Classroom-Administration Building but had not formally approved the enlarged project which includes the Multi-Purpose Building. After the matter had been fully discussed, John Brooking made a motion that the resolution be adopted the title of which being as follows:

RESOLUTION CONFIRMING REQUEST FOR APPROVAL OF ACADEMIC-ADMINISTRATION BUILDING AND MULTI-PURPOSE BUILDING PROJECT BY STATE PROPERTY AND BUILDINGS COMMISSION OF KENTUCKY.

Such motion was seconded by Kenneth Lucas, and upon a vote being taken upon the adoption of same, the result was as follows:

Voting "Aye": John Brooking, Joseph Kohler, Charles Landrum, Kenneth Lucas, Charles Wiley.
Voting "Nay": None

Thereupon, it was announced that such motion had been carried and that such resolution had been duly adopted by the Board. Whereupon, such resolution was declared to be in full force and effect. A copy of same is attached to the minutes of this meeting.

Thereupon, John Brooking made a motion that the resolution be adopted the title of which is as follows:

A RESOLUTION creating and establishing a Consolidated Educational Buildings Project for the Northern Kentucky State College; creating and establishing an issue of Consolidated Educational Buildings Revenue Bonds of the Board of Regents of Northern Kentucky State College; providing for the issuance from time to time of said bonds; providing for the payment of the principal of and interest on said bonds and repealing all resolutions or parts of resolutions in conflict with this resolution.

Such motion was seconded by Joseph Kohler, and upon a vote being taken upon the adoption of same the result was as follows:

Voting "Aye": John Brooking, Joseph Kohler, Charles Landrum, Kenneth Lucas, Charles Wiley.
Voting "Nay": None

Thereupon, it was announced that such motion had carried and that such resolution had been duly adoptedby the Board. Such resolution was declared to be in full force and effect. A copy of same is attached to the minutes of this meeting.

The President then pointed out that it was necessary for a resolution to be adopted to authorize Series A Bonds in the face amount of $6,950,000, the proceeds of which will be used with other funds to finance the construction of such two buildings, with necessary utility and other apurtenances. After this matter was discussed fully, John Brooking made a motion that a resolution be adopted the title of which is as follows:

A RESOLUTION AUTHORIZING THE ISSUANCE OF $6,950,000 CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES A, OF THE BOARD OF REGENTS OF NORTHERN KENTUCKY STATE COLLEGE.

Such motion was seconded by Charles Landrum, and upon a vote being taken upon the adoption of same the result was as follows:

Voting "Aye": John Brooking, Joseph Kohler, Charles Landrum, Kenneth Lucas, Charles Wiley.
Voting "Nay": None

Thereupon, it was announced that such motion had carried and that such resolution had been duly adopted by the Board. Such resolution was declared to be in full force and effect. A copy of same is attached to the minutes of this meeting.

Thereupon, the President pointed out that no specific resolution would be proposed at this meeting for the approval of fees to be charged to students of the College which will be effective beginning with the 1972 Summer School, because such fees have not been as yet approved by the Council on Public Higher Education in Kentucky. He stated that it was contemplated that prior to the receipt of bids for the sale of the Series A Bonds the Council would authorize the fees which are set forth in the Series A Resolution as being those which will be placed into effect and that such a resolution will be presented to the Board prior to the opening of bids with reference to such Series A. Bonds.

Other Business: Frank Cornelius said that he had wanted to bring up a discussion on emergency medical facilities for the new campus, but had not had an opportunity to do research on the matter, so would bring this up at a later meeting.

Kenneth Lucas inquired if work is still being done on the foreseeable traffic problems on the roads leading to the new campus. He felt we need to keep pounding on this issue until everyone is made aware of the problem. Mr. DeMarcus had made contact with the Highway Department on several occasions, and would do so again. It was felt that Highland Heights, Newport and Southgate officials should be made aware of the situation they may have to face next fall. It was felt that everyone who could do so should get the work across wherever it could be helpful.

Dr. Tesseneer brought up the possibility of having a light installed at Dixie Highway and our access road to the present campus. Mr. DeMarcus will check into this which would probably involve having a survey made by either the State or City of Covington.

It was agreed that April 26, 1972 at 11:00 a. m. would be the date and hour set for sale of bonds. Mr. Nall pointed out the importance of having a quorum present at that time.

There being no further business to come before the Board at this time, a motion was duly made, by John Brooking, seconded by Joseph Kohler, and unanimously carried that the meeting adjourn to April 26, 1972, at 11:00 a. m., for the consideration of any business which may come before the Board at that time.

_____
Vice Chairman
Board of Regents of Northern
Kentucky State College

Attest:

_____
Secretary

Certification

The undersigned, Mrs. Dolores Thelen, Acting Secretary of the Board of Regents of Northern Kentucky State College, hereby certifies that the foregoing is a true, accurate and complete copy of the minutes of a meeting of said Board held on March 28, 1972, in so far as they related to the matters hereinbefore set forth, and that such meeting was duly called upon written request of the President of the College by the Chairman of the Board of Regents, who gave written notice to each member of the Board that a special meeting would be held at 8:00 P. M., on March 28, 1972, in the Seminar Room of the Main Building, 1401 Dixie Highway, Covington, Kentucky 41011. The undersigned further certifies that there are attached to these minutes true copies of the three resolutions referred to therein as having been adopted and as being attached to these minutes.

Witness my hand and the seal of said College, this 28th day of March, 1972.

Dolores Thelen
Acting Secretary of the Board of Regents
of Northern Kentucky State College

Certification

I, Elmer Haas, the Secretary of the Board of Regents of Northern Kentucky State College, certify that based upon the foregoing Certification of the Acting Secretary and other information I have obtained concerning such meeting of the Board held on March 28, 1972, the foregoing Certification of Mrs. Dolores Thelen, Acting Secretary of the Board, is true and confirm same as my Certification as Secretary of the Board. Witness my hand and the seal of said College, this 29th day of March, 1972.

_____
Secretary of the Board of Regents of NKSC

MINUTES OF A SPECIAL MEETING OF THE BOARD OF REGENTS
OF NORTHERN KENTUCKY STATE COLLEGE
Wednesday, April 26, 1972 - 11 a. m.
Seminar Room - Main Building - Campus

The Board of Regents of Northern Kentucky State College met in a special session on April 26, 1972, at 11:00 a. m., E. S. T., in the Seminar Room, Main Building, 1401 Dixie Highway, Covington, Kentucky.

Mr. Charles Wiley, vice chairman of the Board of Regents, had not arrived to conduct the meeting. John Brooking made a motion that until Mr. Wiley arrives, President Steely serve as temporary chairman. The motion was seconded by Elmer Haas and was carried unanimously.

The minutes of the previous special meeting on March 28, 1972, had been mailed to each Board member. Mr. Haas moved that the minutes be approved as presented. Mr. Brooking seconded the motion and it was carried unanimously.

Upon call of the roll, the following answered present: John Brooking, Elmer Haas, Joseph Kohler, Charles Landrum, Charles Wiley (arrived late). Non-Voting Members: President Frank Steely, Faculty Representative Nicholas Melnick, Student Representative Frank Cornelius.

Also present were: From NKSC - Ralph Tesseneer, John Kilkenny, William Smith, James Claypool, Irene Brownfield. Mr. Ralph Nall of Dupree & Co. Mr. James Brickey, Fiscal Officer for State Finance Department. Mr. Clint Newman of State Treasurer's Office. Also representatives of various brokerage firms making bids.

It having been determined that a quorum was present for the transaction of business, President Frank Steely called the meeting to order and presided as Temporary Chairman.

Thereupon, it was pointed out that the hour of 11:00 a. m., E. S. T., had arrived, which was the hour previously advertised for the opening of bids with reference to the $6,950,000 of Consolidated Educational Buildings Revenue Bonds, Series A. Then the bids received were opened, considered and discussed. Mr. Wiley arrived and presided as chairman for the balance of the meeting. Mr. Nall asked permission to calculate and verify the correctness of the two lowest bids to see that everything was in order. He determined that both bids were correct and recommended that, since the bid of Blyth and Co. Inc. was the lowest bid, that it be considered by the Board. Thereupon, Board Member Joseph Kohler introduced, caused to be read and moved the immediate adoption of a resolution reading as follows:

"RESOLUTION ACCEPTING THE BEST BID FOR THE PURCHASE OF THE $6,950,000 OF NORTHERN KENTUCKY STATE COLLEGE, CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES A, DATED MAY 1, 1972, AND ESTABLISHING THE INTEREST RATES TO BE MADE APPLICABLE THERETO.

"The Board of Regents of Northern Kentucky State College does hereby resolve as follows:

"1. The public advertising in the Daily Bond Buyer, New York, New York, and in The Courier-Journal, Louisville, Kentucky, on April 14, 1972, of the sale of $6,950,000 Northern Kentucky State College Consolidated Educational Buildings Revenue Bonds, Series A, and the use of the Official Statement and Official Bid Form, which have been used in connection with the sale of such bonds, are hereby authorized, ratified and approved.

"2. The only bids which have been received for said Series A Bonds are as follows:

Bidder	Interest Rates		Amount of Bid for $6,950,000 of Bonds, plus Accrued Interest from May 1, 1972
John Nuveen & Co. & Associates	1974-80	6-1/4	
	1981-2001	6-1/8	
	2002-11	6.10	
	2012	4-1/2	
	equivalent to	6.0276	6,812,390.00
Blyth & Co. Inc.	1974-93	6-1/2	
Merrill Lynch, Pierce, Fenner	1994	6.0	
& Smith, Inc.	1995-97	5.90	
By: Blyth & Co. Inc.	1998-2005	6.0	
	2006-11	6.10	
	2012	4.0	
	equivalent to	5.973599	6,811,069.50
Smith, Barney & Co. Inc.	1974-92	7-3/4	
Halsey, Stuart & Co. Inc.	1993	6.60	
White, Weld & Co.	1994-98	6.0	
Kidder, Peabody & Co. Inc.	1999-2008	6.10	
and Associates	2009-12	5-1/4	
By: Smith, Barney & Co. Inc.	equivalent to	6.046798	6,811,030.85
UMIC, Inc.	1974-82	6.0	
	1983-85	5-1/2	
	1986	5-1/8	
	1987	5-1/4	
	1988	5-3/8	
	1989	5-1/2	
	1990	5.60	
	1991	5.70	
	1992	5.80	
	1993-96	5.90	
	1997-2005	6.0	
	2006-10	6.10	
	2011-12	5-7/8	
	equivalent to	6.0376	6,811,000.00

Salomon Brothers & Associates	1974-85	7.50	
	1986	6.50	
	1987	5.80	
	1988-90	5.90	
	1991-95	6.0	
	1996-98	6.10	
	1999-2010	6.20	
	2011-12	5.0	
	equivalent to	6.06215	6,811,238.45
Tecott, Jackson, Wilson White & Co.	1974-84	7-1/2	
	1985	7.0	
	1986-99	6.0	
	2000-05	6.10	
	2006-10	6.20	
	2011	5-1/2	
	2012	5.0	
	equivalent to	6.06536	6,811,347.50

"3. That the bid of Blyth & Co. Inc. for the sale of such $6,950,000 of Series A Bonds is hereby determined to be the highest and best bid at the lowest average net interest cost, and said bid is hereby adopted, such bid being at the price of $6,811,069.50, plus accrued interest from May 1, 1972, with interest rates as listed in their bid.

"4. That it is hereby determined that such Bonds shall bear interest from May 1, 1972, at the respective rates set forth and stipulated in said successful bid as set forth above.

"5. That the certified or bank cashier's check in the sum of $69,500 submitted with the bid of the above-identified purchaser, payable to the Treasurer of the Commonwealth of Kentucky, shall be held uncashed until the bonds have been received from the printer, have been executed and tendered for delivery to the purchaser. When the purchaser accepts such bonds and makes payment therefor, together with accrued interest, in conformity with the accepted bid, the Treasurer of the Commonwealth is authorized and directed to deposit said check and credit same upon the accepted bid, unless, at the election of the purchaser, such check is returned to such purchaser upon payment in full of the purchase price with accrued interest to delivery.

"6. That the checks received with unsuccessful bids will be immediately returned to the unsuccessful bidders.

"7. That this resolution shall be in full force and effect immediately upon its adoption."

Thereupon, Board Member John Brooking seconded the motion. Upon a vote being taken upon same, the result was as follows:

AYES: Elmer Haas, John Brooking, Joseph Kohler, Charles Landrum, Charles Wiley.
NAYS: None.

Thereupon, it was declared that such motion had carried and that such resolution was in full force and effect.

The fee schedule (copy attached) was presented to the Board Members. Dr. Steely explained that this schedule had been approved by the Council on Public Higher Education. He further explained that the Board had approved the fee schedule for Chase Law School at the previous meeting, therefore the fee schedule for the undergraduate students was the only part needing approval. Mr. Brooking moved the adoption of the fee schedule (marked Exhibit I-1) for Northern Kentucky State College. The motion was seconded by Charles Landrum and was carried unanimously.

Mr. John DeMarcus explained that the 1972 Legislature passed a statute authorizing state universities and colleges to maintain a retirement fund for employees. He asked for a resolution authorizing Northern Kentucky State College to bring the non-instructional employees of this institution under the Kentucky State Employees Retirement plan. Joseph Kohler moved the adoption of the resolution. The motion, seconded by John Brooking, was carried unanimously.

Mr. Nall congratulated the Board and Administration for the excellent bids received today. He commented that these were the most numerous bids he had ever seen for a college bond issue. Dr. Steely expressed congratulations and gratitude to Dupree and Company for the very fine presentation they made to the bond buyers and the fine marketing job they have done on our behalf.

There being no further business to come before the meeting, a motion was made, seconded and unanimously carried that the meeting adjourn.

Secretary

Certification

I, Elmer Haas, Jr., the duly qualified and acting Secretary of the Board of Regents of Northern Kentucky State College, hereby certify that the foregoing is a true copy of the minutes of a meeting of such Board held on April 26, 1972, in so far as such minutes pertained to the matters hereinbefore set forth.

Dated this 26th day of April, 1972.

Secretary

no agenda

MINUTES OF AN EXECUTIVE MEETING OF THE BOARD OF REGENTS
of NORTHERN KENTUCKY STATE COLLEGE
Monday, June 12, 1972 - 8 p.m.
Seminar Room - Main Building - Covington Campus

The Board of Regents of Northern Kentucky State College convened in Executive session in the college Seminar Room, Main Building, 1401 Dixie Highway, Covington, Kentucky on June 12, 1972, at 8 p.m. The following voting members of the Board were present: Joseph Kohler, Charles Landrum, Kenneth Lucas, Warren Shonert, Edgar Wipperman, and Elmer Haas. Non-voting members present: Faculty Representative Dr. James Niewahner and Student Representative Dave Garnett. Also present: Vice President Ralph Tesseneer, Vice President John DeMarcus, and John Brooking, College Counsel.

In the absence of Elmer Haas, Board Secretary, (who arrived late) the meeting was called to order by John DeMarcus. Joe Kohler moved that Kenneth Lucas be appointed Chairman of the Board of Regents for one year to be effective as of June 12, 1972. The motion, seconded by Edgar Wipperman, was carried unanimously.

The Chairman asked for the next order of business on the Agenda being the Administrative Report by John DeMarcus. Mr. DeMarcus presented a report on Income and Expenditures and the physical status as of May 31 showing a surplus of $475,000 on operating accounts. Mr. DeMarcus further explained that all Public Relations' orders for equipment had been halted temporarily and he asked that he be given time to study these orders.

The following Administrative Personnel were presented to the Board by Mr. DeMarcus for approval:

William Smith, Business Manager
Billie Say, Assistant to Administrative Vice President
Melvin Stricker, Bookstore Manager
Joe Munson, Printing and Photography
Maxine Buck, Public Relations Secretary
Mike Gimpel, Carpenter

Mr. DeMarcus further listed the following positions yet to be filled: Budget Director, Superintendent of Buildings and Grounds, Electrician, Automotive Mechanic, Gardener, and Director of Public Relations.

A progress report on building construction on the Highland Heights campus was given to the Board in the form of letters from the architect and the board was apprised further by Mr. DeMarcus concerning deadline dates. Mr. DeMarcus further advised that in his opinion, the construction deadlines on the first two buildings would be met respectively - Louie B. Nunn Hall, late July, and Regents Hall, late September or early October.

The status of the Chase Law School transferral was discussed and Mr. DeMarcus advised that all assets will be transferred on June 20 to Northern Kentucky State College.

The Internal Budget for 1972-73 fiscal year was given by Mr. DeMarcus to each member present. Mr. DeMarcus asked that the Budget be reviewed by Board members and questions concerning the Budget be presented at the next regular meeting or before in writing.

Mr. DeMarcus announced that Fisk, Rinehart and Hall, McAllister, Stockwell had been appointed architects for the Library Building to be constructed on the Highland Heights campus. It was recommended that preliminary architectural sketches of the Library be presented to the Board for review. Mr. DeMarcus agreed to this procedure and further stated that if agreeable with the

Board, arrangements would be made for the Board to review in depth all architectural considerations. This procedure was agreed to.

Mr. DeMarcus presented samples of an Administrative Employment Form and an employment Clearance Form to be used by the Administrative Department only for employment. Mr. DeMarcus pointed out that these forms would allow for clearance of each prospective employee before the regular Board meeting.

Charles Landrum moved that the Board approve the individuals employed; the positions requested by Mr. DeMarcus for approval and the employment forms and procedure for clearance requested by Mr. DeMarcus. Edgar Wipperman seconded the motion. It carried unanimously.

Motion was made by Elmer Haas that all items to be acted upon by the Board be presented one week prior to the meeting. The motion, seconded by Joe Kohler, was carried unanimously.

Warren Shonert recommended that the Business Manager of the College be bonded and perhaps a blanket bond be considered for all monies and departments of the college. This procedure was agreed to and Mr. DeMarcus pointed out that a blanket bond was currently held by the college.

The Chairman recognized Dr. Ralph Tesseneer who presented the following faculty appointments for approval. Dr. Tesseneer presented the standard faculty contract and the appointments:

Dr. Neal Schmitt - Assistant Professor of Psychology
Mrs. Sandra Easton - Instructor in Accounting
Dr. Gerda Ritschel - Assistant Professor in Microbiology
Mr. Jack A. Hale - Assistant Professor in Accounting
Miss Christine Tetzlaff - Librarian I and Instructor in Library Sciences
Dr. James Satterfield - Assistant Professor of Education
Mr. DeLoss H. McGraw - Instructor in Art (Printmaking)
Miss Patricia Ann Dolan - Instructor in Social Work
Dr. Larry Giesman - Assistant Professor in Biology
Dr. Robert Vitz - Assistant Professor in History
Dr. Cheryl Pruitt = Assistant Professor in Psychology
Dr. Robert Williams - Assistant Professor of Scene Design-Technical Theatre
Mr. Howard Storm - Instructor in Art
Miss Jean Koehler - Librarian I and Instructor in Library Science
Miss Geraldine Williams - Librarian I and Instructor in Library Sciences
Dr. Thomas J. Tierney - Assistant Professor of Psychology
Dr. Edwin Weiss - Assistant Professor of Geography
Dr. Jerald Richard - Associate Professor of Philosophy
Dr. Michael Adams - Assistant Professor of History
Dr. John C. Broadhurst - Assistant Professor of History
Mr. Larry Catlett - Director of Computer Services and Instructor in Computer Science
Mr. Robert Schneider - Librarian II and Instructor in Library Sciences
Dr. Robert K. Wallace - Assistant Professor of English
Mr. Tom Elam - Assistant Professor of Economics
(One-year contract to replace Robert Losey who is one-year leave-of-absence)

A motion was made by Joe Kohler that the Board approve the appointments. The motion, seconded by Warren Shonert, was unanimously carried.

John Brooking stated there were several housekeeping resolutions to be acted upon by the Board.

Thereupon, Charles Landrum made a motion that a resolution be adopted the title of which being as follows:

> Resolved that the Board of Regents of Northern Kentucky State College shall meet regularly on the second Monday in July, October, January, and April of each year at the hour of 8 p. m., or at such other time as may be agreed upon at the Northern Kentucky State College campus.
>
> Further, special or executive meetings may be called at any time by the President, Chairman, or any two Regents after having given all Regents two days written notice of such meetings.
>
> Informal meetings of the Regents by telephone conference or without notice may be held at any time, but no action shall be approved at such meeting unless same is unanimously passed and so indicated by a signed resolution of all Regents.

Such motion was seconded by Warren Shonert, and carried unanimously. A copy of same is attached.

Thereupon, Elmer Haas made a motion that a resolution be adopted the title of which being as follows:

> Resolved that the Northern Kentucky State College Administration shall cause to be submitted to the Board of Regents at its July, October, January, and April meetings a comprehensive financial statement of the College for the prior three (3) month period and for the entire year to date of the report, and comparison of same to the budget adopted and approved by the Board of Regents and Department of Finance.
>
> Further, the President shall submit to the Regents in writing his annual report of the condition of the College, indicating a yearly financial report at the Regents' July meeting.

Such motion was seconded by Warren Shonert, and it carried unanimously and was duly adopted by the Board. A copy of same is attached.

Thereupon, Warren Shonert made a motion that a resolution be adopted the title of which is as follows:

> Resolved that the Northern Kentucky State College Administration shall receive prior approval of the Board of Regents before hiring any employee for the College (except Secretarial, maintenance and other similar type personnel).
>
> Further, the resignations or contemplated discharge of any department head of executive officer shall be immediately reported to the Board of Regents for action thereon as the Regents deem necessary.

Further, the Administration shall take no action without the Board of Regents' approval regarding any major action affecting the College including but not limited to, construction of buildings and facilities, major purchases of equipment, fixtures, retention of any professional services, etc.

Mr. DeMarcus asked if the employment clearance forms (previously approved by resolution) would suffice for clearance under the above resolution. This procedure was agreed to.

Such a motion was seconded by Joe Kohler, and upon a vote being taken on the adoption of same, the result was as follows:

Voting "AYE": Charles Landrum, Joe Kohler, Edgar Wipperman, Warren Shonert, Kenneth Lucas
Voting "NAY": Elmer Haas

Thereupon it was announced that such a motion had carried and that such resolution had been duly adopted by the Board. A copy of same is attached.

Thereupon, Charles Landrum made a motion that a resolution be adopted the title of which is as follows:

Resolved that the Northern Kentucky State College general counsel shall be given notice of and attend all meetings of Regents.

Further, counsel for the faculty and students shall be given notice of and attend official meetings of the faculty and student government when same meet for College purposes.

Such motion was seconded by Edgar Wipperman, and it carried unanimously and was duly adopted by the Board. A copy of same is attached.

Thereupon, Elmer Haas made a motion that a resolution be adopted the title of which being as follows:

Resolved that the resolution of the Board of Regents of Northern Kentucky State College of March 28, 1972, regarding the retention of general counsel and counsel for faculty and students of the College is amended as follows:

The contracts of employment for Adams, Brooking & Stepner and Philip Taliaferro approved effective June 1, 1972, and the chairman of the Board of Regents is authorized to execute same on behalf of the Regents.

Such a motion was seconded by Joe Kohler, and it carried unanimously and was duly adopted by the Board. A copy of same is attached.

Elmer Haas asked that a contract be submitted approving the Brooking firm as College Counsel, and Taliaferro for faculty and students. Such a contract was submitted to the firm of Adams, Brooking & Stepner by the Chairman and duly accepted by John Brooking effective June 1, 1972. A copy of this contract is attached.

Thereupon, Warren Shonert made a motion that a resolution be adopted the title of which being as follows:

> Resolved, that from June 10, 1972 to July 10, 1972, the Vice President in Charge of Administration and the Vice President in Charge of Academics shall serve as Joint Chief Executive Officers of the Northern Kentucky State College, each having full authority in his own field.

Such motion was seconded by Charles Landrum, and it carried unanimously and was duly adopted by the Board. A copy of same is attached.

The Chairman recommended that receipt and approval of correspondence received from the President of the College be duly noted.

Elmer Haas, Secretary of the Board of Regents, submitted the written OATH for the two gentlemen (Warren Shonert and Edgar Wipperman)newly appointed board members and copies of the OATH (signed) are attached to the minutes of this meeting.

The minutes of the special meeting on April 26, 1972 have been mailed to each Board member. Mr. Haas moved that the minutes be approved as presented. Joe Kohler seconded the motion and it was carried unanimously.

There being no further business to come before the meeting, a motion was made, seconded and unanimously carried that the meeting adjourn.

_____
Secretary

Certification

I, Elmer Haas, Jr., the duly qualified and acting Secretary of the Board of Regents of Northern Kentucky State College, hereby certify that the foregoing is a true copy of the minutes of a meeting of such Board held on June 12, 1972 in so far as such minutes pertained to the matters hereinbefore set forth.

Dated this 12th day of June, 1972.

_____
Secretary

MINUTES OF THE BOARD OF REGENTS MEETING
NORTHERN KENTUCKY STATE COLLEGE
Monday, July 10, 1972

The regular meeting of the Board of Regents of Northern Kentucky State College was held on July 10, 1972, in the Seminar Room of the Main Building of the Covington Campus. Chairman Kenneth Lucas called the meeting to order at 8:15 p.m. The invocation was given by Dr. James Ramage.

Dr. James Niewahner and Mr. David Garnett (faculty and student representatives, respectively) signed the "Oath" (copies attached) and thus became the first faculty and student representatives to vote on a Board of Regents in Kentucky.

The following voting members of the Board were present: Mr. Elmer Haas, Mr. Joseph Kohler, Mr. Kenneth Lucas, Mr. Warren Shonert, Dr. Edgar Wipperman, Mr. David Garnett, Dr. James Niewahner. (Mr. Charles Landrum was out of town). Present from the Advisory Board were: Mr. Harris Combs, Mr. E. G. Traylor, Mr. Charles Palmer, Mr. Robert Berkshire, Mr. Donald Colvin, and Mr. Ben O. Stoner (advisory board of Chase Law School). Also present were: Dr. Frank Steely, Mr. John Brooking - counsel for the Regents, Dr. Ralph Tesseneer, Mr. John DeMarcus, Dr. James Claypool, Dr. James Ramage, Dr. Joseph Price, Mr. Martin Huelsmann - Associate Dean of the Law School, Mr. John Clark - Lexington, Miss Jerry Monson - Kentucky Post, and Mrs. Dolores Thelen.

Chairman Lucas welcomed and introduced the guests to the meeting. He officially welcomed Chase Law School representatives to this first Regents meeting since the merger has been concluded.

Since the minutes of the June 12, 1972, executive meeting had been previously mailed to the Board members, Mr. Kohler moved that the reading of these minutes be dispensed with and accepted as printed. The motion, seconded by Mr. Haas, was carried unanimously.

Chairman Lucas called on Mr. John DeMarcus, Vice President for Administrative Affairs, for reports on Business Matters.

Mr. DeMarcus reported that the projected completion date of July 24 for the academic-administration building may be somewhat off and that he expects completion in August. The architects say the building is on schedule as is Regents Hall, which is to be completed in late September or early October. It was stated that the elevator strike is over and that the contractor has assured us that once settled, the elevators can be installed in a few weeks.

One of the biggest problems facing the college is parking. The parking lots, presently constructed, have 590 spaces and over 1,000 will be needed in the fall. The administration is looking into the possibility of using shuttle buses from the old campus to the new, and/or additional temporary lots. There is also to be considered the congestion on roads leading to the campus - Johns Hill Road is virtually impassable because of heavy construction equipment, both from the college and the interstate - the intersection of U. S. 27 and Johns Hill Road is a serious problem. The highway department is aware of the problems and Mr. DeMarcus hopes that other state officials and also the Regents are aware of it also, and will give consideration to working with the college administration on the problem.

Elmer Haas proposed the following Resolution and moved its acceptance:

> BE IT RESOLVED, to appoint a Committee for the investigation of off-campus, but college oriented, activities, for the purpose of emphasizing the best interests of the college in the adjoining community; and especially relating to efforts toward seeking completion of the interstate expressway system supplying access to the college area.

The acceptance of the resolution was seconded by Dr. Wipperman and was carried unanimously. Mr. Elmer Haas was appointed a Committee of one by the Chairman to work with the college.

With regard to moving, Mr. DeMarcus reported the following plans: The faculty and administration will be responsible for moving their own personal property, and the faculty members may begin to move around August 1. A commercial mover has been contracted to move the library, the admissions office, the business office and heavy equipment (such as typewriters, calculators, duplicating machines, etc.) as well as moving Chase Law School to the Covington Campus. The state has acquired for the college several temporary houses and lots on Johns Hill Road which will be used for faculty office space, bookstore, and student services. The Keene property will become the Fine Arts Department center and three temporary buildings will be moved from the Covington Campus for Fine Arts classes. Arrangements to move the temporary buildings have been made with the company that installed them. Mr. Kohler asked about long range plans for the houses acquired and Mr. DeMarcus said that none had been made. Over 200 acres have been acquired so far for campus facilities.

Interim financing on the third classroom building has been taken care of with all papers, documents, and work orders signed last Friday, July 7. Beginning construction date is July 17. Mr. Kohler had not seen the drawings for this building and he will be supplied with same. Mr. DeMarcus pointed out that it will not be necessary for the Regents to have a resolution on the bid for the third building since, evidently, some of the red tape has been cut out from the time of our first construction. Messer and Sons received the contract for the third building at 5-1/3 million, almost 1 million less than estimated.

Mr. Garnett asked about the possibility of making changes on the third building and it was pointed out that some changes could be made if it didn't cause delay in the construction schedule. Mr. Garnett referred particularly to putting the windows at a lower level in order for students to see the beautiful rural view. He stated that the students do not like the high windows in the first building and also the fact that the windows are not operable. Mr. DeMarcus invited Mr. Garnett to discuss this with him.

Mr. Haas noted that the Board has sought the opinions of the students and he moved that the Board of Regents take under advisement this recommendation and assure the students that the administration plans to discuss this with an eye toward solutions and to determine if it is economically feasible as well as in keeping with the time element. The motion, seconded by Mr. Garnett, was passed unanimously. It was pointed out by Dr. Steely that the faculty was involved in the planning of the third building, but the administration had been delinquent in not seeking the students' input.

Mr. DeMarcus had sent the Regents detailed reports on June 23 and July 3 relative to the Library construction. The design period will be seven months, to be completed by March 15. This will allow for a projected construction completion date of July, 1974. A professional library consultant will work through the programming phase. Dr. Tesseneer reported that

Dr. Joseph Price, Associate Dean, and Mr. Bob Holloway, Librarian, (along with representatives of the architects) are visiting libraries on various campuses. They have been to Purdue and Indiana University and will go to several other campuses. Dr. Claypool suggested visiting the library at Centre College, as it is a very fine library.

Mr. DeMarcus stated that we needed a safety deposit box for important documents, etc. He recommended the following resolution:

> BE IT RESOLVED, that Covington Trust & Banking Co. be, and it is hereby, designated a depository of this Corporation.
>
> BE IT FURTHER RESOLVED, that entry to the Safety Deposit Box be signed by any TWO of the following: Dr. Frank Steely, Mr. John DeMarcus, Dr. Ralph Tesseneer, Mr. William F. Smith.
>
> SIGNATURES shall be duly certified to said Bank, and that no entry by other person or persons shall be valid unless so signed.
>
> SECRETARY OR ASSISTANT SECRETARY, accompanying a copy of this resolution when delivered to said Bank or in any similar subsequent certificate, until written notice to the contrary is duly served on said Bank.

The acceptance of the resolution was moved by Mr. Haas, was seconded by Mr. Kohler, and was passed unanimously.

Mr. Shonert stated that he felt the Regents needed to be assured of adequate coverage under the blanket bond which the college now has in effect. Mr. DeMarcus said it was a standard fidelity bond which covers all college employees. Mr. Brooking had looked it over and was satisified that we were adequately covered. Mr. DeMarcus said that he would furnish the Regents with the detailed coverage.

Inasmuch as the college will need a depository for funds in Campbell County when it moves to that area, Mr. Haas presented the following resolution and moved its acceptance:

> RESOLVED, that Northern Kentucky State College shall establish an additional depository for funds at the American National Bank, Newport, Kentucky, and said American National Bank shall pledge to Northern Kentucky State College the required collateral in U. S. Government securities to maintain said account as required by law.

The acceptance of the resolution was seconded by Mr. Garnett and was carried unanimously.

Clearance forms and information had been previously mailed to the Regents on the following new appointments to the administrative staff:

Mr. Samuel Blesi as Superintendent of Building and Grounds
Mr. Joseph Stone as Automotive Mechanic

Mr. Shonert moved that the two men be employed by the college. The motion, seconded by Dr. Wipperman, was passed unanimously.

Mr. DeMarcus reported that the internal budget had been distributed at the June 12 meeting. He recommended its approval if there were no comments. Mr. Kohler moved approval of the internal budget. The motion, seconded by Mr. Garnett, was passed unanimously.

Chairman Lucas called on Dr. Ralph Tesseneer, Vice President for Academic Affairs, for a report on Faculty and other academic matters.

Dr. Tesseneer reported that nearly all faculty had been hired with the exception of maybe one or two more. Mr. Kohler moved the appointment of Mr. Michael Turney, Ms. Ruth Klippstein and Mr. Noel Sullivan. The motion, seconded by Mr. Shonert, was passed unanimously.

Dr. Tesseneer further reported that by the fall of 1972, we will have 120 full-time faculty with 75 to 80% of them having the doctoral degree. About 60% are from the North and East and 40% from the South and West. He displayed a map showing that we have educators from 38 states, and he commented that he hoped to have educators from all 50 states by 1973. A list of the faculty and their degrees was distributed and Dr. Tesseneer pointed out that he had received notices of several more receiving their doctorates since the list was prepared.

Mr. Shonert complimented the administration on the selection of such high caliber faculty and suggested that the Press present take not of this. He also noted that the Regents were highly honored to have a staff member of the Kentucky Post present.

Chairman Lucas called for a report on Student Activities from Dr. James Claypool, Dean of Student Affairs.

Dr. Claypool reported that, on the instigation of the counselors, a second series of pre-registration days was being conducted for incoming freshmen, thereby hoping to cut down the traffic at registration time. During both of the pre-registration periods, a total of 2,000 students have pre-registered for the fall. Dr. Claypool projects a minimum of 4,000 (a maximum of 4,300) students for the fall, not including Chase students. With Chase students our registration should be about 4,500 to 4,800.

Two of the houses acquired on Johns Hill Road will be used for student purposes - one as a service center for students and the other to house Financial Aid, Placement, Counseling, and Student Activities. (Faculty office space will occupy most of the houses). There has been some conversation to install a grill in one of the houses but this has not been worked out as yet.

A private entrepreneur has expressed interest in developing some student housing. This company has housing at U. C., Ohio State, and project some housing for the Clermont branch of U. C. Because property around the campus is so costly, they have not been able to secure a land contract. Once they secure the land, they can furnish housing within 8 months. There has been an inquiry about this company leasing land from the college, but this will not be done if it can be worked out another way. While we are primarily a commuter college, it will not be too long before we need to have housing for students who are from other areas.

Dr. Claypool further reported that the college's admission office will do Chase registration and a smooth transition is taking place in this area. He noted that the students voted (2-1) in favor of assessing themselves a $10 activity fee in order to offer students some additional activities which were not available in the past because of shortage of funds. Orientation day will be late in August on the new campus. It will be done in one section as this is the first time we have the space to get a group this size together at one time.

Northern is offering five times as many scholarships as did the community college with nearly 175 students on academic scholarships this fall. Dr. Claypool explained that last fall Northern offered academic scholarships to valedictorians and salutatorians of high school graduating classes in the area with 34 young people accepting some 42 offered. Nearly all 34 have maintained the B average that is necessary to have the scholarship renewed. For this fall 39 young people have accepted academic scholarships which were offered to them when they graduated in the spring.

The athletic department is looking forward to playing their home baseketball games in Regents Hall and baseball games on their own home diamond on campus. This should help add to the enthusiasm and attendance at the games.

Dr. Claypool again mentioned the seriousness of the parking problem. Further discussion on this subject brought forth a suggestion that the Kentucky Post editorialize on the problems we will be facing as we move and asking the community to unite with help and understanding of our problems.

Chairman Lucas called for the Annual Report from the President. Dr. Steely called attention to the Report which was mailed each Regent a week ago. He pointed out that on page 5, the figures on the percentage of increase of high school students going on to college in five Northern Kentucky counties show the impact of Northern Kentucky State College in our area. The report was received with favorable comment by the Regents.

Dr. Steely thanked the Falmouth Outlook and Mr. Shonert for the excellent coverage of the "Northern Kentucky Welcomes Chase" celebration on July 1.

Dr. Steely recommended that the Board of Regents consider joining the American Association of State Colleges and Universities. This is "the" organization which represents state colleges over the nation. President Robert Martin of Eastern Kentucky University is this year's president. Dr. Wipperman moved that Northern Kentucky State College join the American Association of State Colleges and Universities. The motion, seconded by Mr. Haas, was carried unanimously.

A Donee Resolution, authorizing certain staff from Northern Kentucky State College to participate in transactions with the Division of Surplus Property of the State Department of Education, was presented and Dr. Steely recommended its acceptance:

> WHEREAS, the above named Institution has submitted an Application for Eligibility; evidence of tax exemption under 501 (c) (3) of the Internal Revenue Code; evidence of a tax supported or non-profit status; and other required documents; and has been approved as an eligible school system, school, college, university, medical institution, hospital, clinic or health center, public library, educational radio or television station, or a civil defense organization, by the Kentucky Division of Surplus Property, and
>
> WHEREAS, all property requested under authority of this Resolution is usable and necessary within the State of Kentucky, and will be used for the purpose for which it is acquired and for no other purpose, and will be used in accordance with terms, conditions and instructions imposed by the Federal Government and the Kentucky Division of Surplus Property, and

(Resolution - continued next page)

Donee Resolution - continued

WHEREAS, funds are available to pay the transfer or service charges established and imposed by the Kentucky Division of Surplus Property, and that the Governing Board assumes the responsibility and liability of the payment thereof, and

WHEREAS, it is understood that all property acquired regardless of acquisition cost shall be on an "as is, where is" basis, without warranty of any kind.

NOW THEREFORE, IT IS HEREBY RESOLVED

(1) That Frank Steely, President; Ralph Tesseneer, Vice President for Academic Affairs; John P. DeMarcus, Vice President for Administrative Affairs; William F. Smith, Business Manager; John Kilkenny, Director of Purchases; are authorized as a representative of this Institution and Governing Board, to sign any documents required by the Kentucky Division of Surplus Property for the selection and receipt of donable surplus property, and is authorized to re-delegate this authority in writing to the Kentucky Division of Surplus Property, to any employee of the institution for the purpose of a single transaction, and

(2) That this Resolution shall remain in full force and effect until revoked by written notice of the Governing Board to the Kentucky Division of Surplus Property, and,

(3) That this Resolution, complete and unchanged, has been adopted by the Governing Board at a regular meeting, thereof, held at its regular place of meeting, and at the time stated, and has been approved and placed in the minutes of said meeting.

Mr. Shonert moved the adoption of the resolution. It was seconded by Mr. Kohler and was passed unanimously.

Mr. Haas presented the following resolution and moved its adoption:

BE IT RESOLVED, to establish as Regents Emeriti, the past members of the Board of Regents of Northern Kentucky State College, thereby utilizing their experience and leadership in the continued advancement and improvement of this institution of higher learning.

The adoption of the resolution was seconded by Mr. Kohler and was passed unanimously.

Mr. John Brooking, counsel for the Regents, stated that on June 19, 1972, the actual closing of the Salmon P. Chase College of Law and Northern Kentucky State College was concluded by a closing memorandum which included bill of sale for all assets of the Chase College to Northern Kentucky State College and including an audit report by Rankin and Rankin of those assets and liabilities that Chase had as of June 19, 1972. This memorandum will be made a part of these minutes tonight as an official action of this college.

Chairman Lucas stated the next regularly scheduled Board meeting was Monday, October 9, 1972, however with the new construction, moving, etc. it may be necessary to schedule a special meeting before that time.

There being no further business to come before the meeting, a motion was made, seconded and unanimously carried that the meeting adjourn.

Elmer Haas, Jr. ,Secretary

Certification

I, Elmer Haas, Jr., the duly qualified and acting Secretary of the Board of Regents of Northern Kentucky State College, hereby certify that the foregoing is a true copy of the minutes of a meeting of such Board held on July 10, 1972, in so far as such minutes pertained to the matters hereinbefore set forth.

Dated this 10th day of July, 1972.

Elmer Haas, Jr., Secretary

Dr Steely

AGENDA
SPECIAL BOARD OF REGENTS MEETING
Wednesday, September 13, 1972 8:00 p.m.
Northern Kentucky State College
Board Room - 5th Floor, North - Nunn Hall
Highland Heights Campus

1. CALL TO ORDER & INVOCATION
   APPOINTMENT OF SECRETARY PRO-TEM (Make permanent)
2. ADMINISTRATION OF OATHS TO NEW REGENTS:
   Mrs. William Mills
   Mr. Gordon Martin
   Presen. of pictures - Jim Ramage
3. ROLL CALL
4. MINUTES of July 10 Meeting
5. RESOLUTION FROM BOND COUNSEL - Mr. Franklin Hays
6. REPORT ON REGISTRATION - Mr. Kent Curtis & Dr. James Claypool
7. REPORT FROM COMMITTEE ON TRAFFIC TO NEW CAMPUS - Mr. Elmer Haas
8. ACADEMIC MATTERS - New Appointments - Dr. Ralph Tessmeer
   Lynn Ebersole - Asst. Prof. of Biology
   Rhea Jacobs - Asst. Professor of French
9. BUSINESS MATTERS - Mr. John DeMarcus
   Budget, Building, & (especially) Move to new Campus
10. OTHER BUSINESS
    Recognition of Golden Anniversary of Morehead and Murray Universities
11. ADJOURNMENT. Next Regular Meeting Monday, October 9, 1972.

+1
Resignation of Robert Berkshire
from Advisory Board

Steely

MINUTES OF A SPECIAL MEETING OF THE BOARD OF REGENTS
OF NORTHERN KENTUCKY STATE COLLEGE
Wednesday, September 13, 1972 - 8 p. m.
Board Room - Nunn Building - Highland Heights Campus

A special meeting of the Board of Regents of Northern Kentucky State College was held on September 13, 1972, in the Board Room of Nunn Hall on the Highland Heights campus. Chairman Kenneth Lucas called the meeting to order at 8:15 p. m. The invocation was given by Dr. James Ramage. Mr. Lucas greeted all present and introduced all board members and guests.

Mr. Gordon Martin and Mrs. Lee Mills, newly appointed board members, were sworn in by Philip Taliaferro III and their signed "Oaths" are attached to these minutes.

Mrs. Dolores Thelen was elected Permanent Secretary Pro-tem on motion by Mr. Kohler. Mr. Garnett seconded the motion and it was passed unanimously.

The following voting members of the Board were present: Mr. David Garnett, Mr. Joseph Kohler, Mr. Charles Landrum, Mr. Kenneth Lucas, Mr. Gordon Martin, Mrs. Lee Mills, Dr. James Niewahner, Mr. Warren Shonert, Dr. Edgar Wipperman. (Mr. Elmer Haas was excused early because of illness.) Present from the Advisory Board were: Mr. Harris Combs, Mr. Charles Palmer and Mr. Edwin VonderAhe. Also present were: President Frank Steely, Mr. John Brooking - counsel for the Regents, Mr. Philip Taliaferro III - counsel for the Students, Mr. Charles Wiley - Regent Emeritus, Dr. Ralph Tesseneer, Mr. John DeMarcus, Dr. James Claypool, Dr. James Ramage, Dean Jack Grosse, Mr. Martin Huelsmann, Mr. Kent Curtis, Mr. William Mills, Miss Carol Stewart - Kentucky Post, Miss Kay Brookshire - Kentucky Enquirer, Mr. Drew Vogel - The Northerner and WCLU, Mr. Adedoyin Sonaike - The Northerner.

Dr. James Ramage presented a rendering of the Science Building to the newest Regents who had not received them.

The minutes of the July 10, 1972, regular meeting were approved as printed and mailed to members prior to the meeting. The motion for approval by Mr. Kohler, seconded by Mr. Shonert, was passed unanimously.

A resolution with reference to the payment for legal services in connection with the issuance of Northern Kentucky State College Consolidated Educational Buildings Revenue Bonds, Series A dated May 1, 1972, (copy attached) was presented. The motion to accept the resolution was made by Mr. Landrum and was seconded by Dr. Wipperman. Dr. Steely pointed out that this represents an additional payment of $3,475 for extra work because of the fact that this was our initial issue of bonds. Dr. Steely indicated that the Finance Department has recommended that this be approved. The motion was passed unanimously.

Dr. Claypool, Dean of Student Affairs, reported that we had a very successful registration this fall. The figures are not complete as there are two special classes still to be enrolled. Dr. Claypool estimated that with the two classes mentioned our total enrollment should be approximately 4,200 students (this includes Chase students). Compared to 3,065 last year, this represents a 40% increase. Using the Full Time Equivalent figures, it represents a 35% increase. 1,900 Freshmen enrolled as compared to over 1,700 last year. He pointed out also how important Northern is to this area by example that of one high school with 130 graduates,

112 enrolled at Northern. The enrollment at Chase was 507 students. Dean Grosse reported that 1,200 applications were received on March 1, 1972, which was their cut-off date, and that 280 were accepted with 200 students finally enrolling. Dr. Steely commented that he understands there are three state universities with smaller Freshmen classes than Northern. Also he pointed out that before Northern came into being, only 30 some odd percent of high school graduates of Boone, Campbell and Kenton Counties went on to college, and now the figure stands at over 50 percent. Somewhat the same increases show in Grant, Pendleton and other southern counties.

In the absence of Mr. Haas, Mr. Brooking presented the report of the Committee for College-Community Coordination on Projected Traffic Outline. Printed copies from the committee were distributed to all present. Mr. Brooking said the committee has run into an enormous amount of unusual situations and it would be to our advantage to anticipate some of these problems and try to work out solutions before they become weights around our collective necks, specifically:

1. Coordination of community, state and federal government offices as related to college activities
2. Coordination of publications
3. Fund raising foundations
4. Alumni associations
5. General public relations to assure community/college understanding -- work out problems before they develop rather than after.

Mr. Haas also indicated by letter and through Mr. Brooking that this whole area was too big for someone to handle on a part-time, volunteer basis and recommended that the Board consider employing an individual or a firm to act in the capacity of coordinating these college/community affairs. Mr. Shonert asked if the administration has considered this. Dr. Steely said that the administration would appreciate very much to have the opportunity to study the report more thoroughly and to make a recommendation at a later date. Mr. Landrum asked if everything that could be done had been done in coordinating in depth with the Highway Department. Dr. Steely and Mr. DeMarcus both indicated that significant contacts had been made with the Highway Department as had Dr. Ginger before he left the Board. The administration had met with representatives of the Highway Department and Mr. Haas contacted a great number of the political entities in the area. The Highway Department did install a traffic light on Alexandria Pike and Nunn Drive, they did take care of the signing, and are certainly aware of the critical situation. They are reluctant to do much with Johns Hill Road, except for rebuilding two of the curves, because once I-275 is completed, there will be a considerable slow down of traffic on Johns Hill. Johns Hill Road is a secondary state route, therefore not a priority item.

After much discussion and with the feeling this whole area needed to be pursued further, Mr. Shonert moved and Mr. Garnett seconded as follows:

> Resolved that the Board of Regents invite Judge A. J. Jolly and the Campbell Fiscal Court to a meeting to see if there is anything that can be done to give us some immediate relief on the traffic situation as well as discuss the snow removal situation for this winter. The meeting is to be held at the convenience of the Fiscal Court members.

The motion was passed unanimously.

Dr. Tesseneer brought copies of the new catalogs for those who wished them. He presented the following names of new faculty:

Dr. Lynn Ebersole from the University of Iowa as Assistant Professor of Biology
Miss Rhea Jacobs from Yale as Assistant Professor of French.
(Miss Jacobs is working toward completing her Ph. D. requirements)

Mr. Kohler moved, seconded by Mr. Shonert, that the appointments be approved. The motion was passed unanimously.

Dr. Tesseneer reported we have 123 full-time faculty, exclusive of the part-time people in the administration who are teaching. There are 61 faculty teaching part-time. This figures out to an equivalent of 133. 75 full-time faculty.

Mr. Garnett asked if any effort had been made to recruit black faculty. Dr. Tesseneer reported that several concerted efforts had been made, and because of supply and demand, we are out-bid salary wise inasmuch as salaries are at least 25% more than anyone else with the same credentials.

Mr. DeMarcus reported on several business matters as follows:

At least one elevator should be operating next week, the second one the following week, with all three being ready by October 16.

He pointed out that while some things are not completed, we are in the building, we are having classes, and this is more than we really expected in the spring.

Regents Hall was inspected last week for acceptance. The only thing missing there are the bleachers, and it looks as if they will not be received until January 1.

The Science Building is proceeding on schedule, in fact concrete pouring is several days ahead of schedule.

The Library design is progressing and several meetings have been held on this.

The Master Plan will be ready for publication very soon. It will be presented to the Regents before any public showing. It is hoped that an Open House for Nunn Hall and Regents Hall can be held in conjunction with the Master Plan viewing.

Mechanical crews are being upgraded. The security program is getting under way. The security men are being sent to school to be trained and uniforms for them are being secured.

The move was smooth under the circumstances. Furniture has been the biggest problem, and there is quite a number of furniture items to be received. The law school move seemed to go smoothly. There is considerable revamping and remodeling going on at the Covington campus and should be completed presently.

The three temporary buildings moved to Johns Hill Road are being used. They were furnished with surplus furniture as were the houses being used by the various departments. We now have possession of 8 of the houses on Johns Hill, one more to be settled shortly. Extensive remodeling was done on the Keene complex for the Fine Arts department.

Construction of the temporary parking lot is nearly completed - lights still need to be installed. Total cost will exceed $80,000 and should park 500 plus cars.

The temporary building is at Boone Center and will be completely operable soon. Boone County is providing us space at cost, which is sanitation service and insurance.

Dr. Niewahner asked if construction of a Student Center could be considered the next building after the Library and proposed a motion to this effect. Dr. Steely said he felt that our bonding potential was much more sorely needed for academic facilities. It was pointed out that several student areas are being provided in the science building and library building.

Mr. Brooking asked the Board to ratify several actions that have been taken:

Mr. Shonert moved, Mr. Garnett seconded as follows:
Resolved that construction of the temporary parking lot be ratified as an official act of the Board of Regents.
The motion passed unanimously.

Mr. Landrum moved, Dr. Niewahner seconded, as follows:
Resolved that the Board of Regents ratify lease agreement with Boone County Fiscal Court and Boone County Building Commission for leasing of land upon which the college will place a building which will not become part of the land, the lease cost to be limited to the cost of improvement of the land and cost of insurance, and subject to the approval of the Commissioner of Finance of the Commonwealth of Kentucky.
The motion passed unanimously.

Mr. Kohler moved, Dr. Wipperman seconded, as follows:
Resolved that the Board of Regents ratify that applications for Interest Subsidy, Title III Funds, and any other Health, Education and Welfare funds are authorized to be made by the administration, both past and in the future.
The motion passed unanimously.

Mr. Martin inquired if contact has been made with private foundations for funds. Dr. Steely said that several private foundations have been contacted, but so far nothing has come of it.

Mr. Shonert moved, Mr. Garnett seconded, as follows:
Resolved that the administration be authorized to negotiate and execute a lease for short-order food service on the best terms obtainable subject to the approval of the Department of Finance and that, further, the short-order food lease shall not preclude the administration from negotiating and entering into a full service food lease on the campus with a commercial developer, all of which shall be subject to the approval of the Department of Finance of the Commonwealth of Kentucky.
Mr. Shonert asked if all areas of food service had been explored as he was interested in seeing that our students receive the best food they can. Mr. DeMarcus explained that several areas had been explored and due to space, found that the best situation at this time was to have the vending area in Nunn Hall and a short-order service in the Student Center, when this house is available the end of September. As we continue building and growing, all food areas will be explored. The motion was passed unanimously.

Several of the Regents asked that word be conveyed to the Northerner staff that the quality of writing and the over-all looks of the Northerner was very greatly improved and they were pleased to receive copies of it.

Dr. Niewahner moved, Mr. Martin seconded, as follows:
Resolved that the Board of Regents authorize the administration to send congratulatory messages to Morehead State University and Murray State University on their Golden Anniversaries this fall.
Dr. Steely presented a plaque which Northern received from Thomas More on our first ground breaking and it was agreed that some such plaque could be prepared for the above colleges as well as for use in the future. The motion was passed unanimously.

The Chairman read a letter from Mr. Robert Berkshire offering his resignation from the Advisory Board due to retirement and a move to Florida during the winter months. Dr. Steely said that Mr. Berkshire had served the Northern Community College as well as NKSC well. Mr. Kohler moved that the administration be authorized to express the appreciation of the Board of Regents to Mr. Berkshire. The motion, seconded by Dr. Wipperman, was passed unanimously.

Mr. Lucas presented a plaque received from the Northern Kentucky Chamber of Commerce on the occasion of the ground breaking of the Science Building. Dr. Steely said it would be placed on the wall in the Board Room.

Mr. Shonert moved, Dr. Niewahner seconded, as follows:

> Resolved that Professor Lew Wallace be commended on his article entitled "Inferno in Farmington No. 9" which appeared in the Cincinnati Magazine and in condensed version in the September issue of the Reader's Digest.

The motion was passed unanimously. It was pointed out that Dr. Wallace has given a portion of the money received from Reader's Digest to Farmington to be used as scholarships for sons and/or daughters of the deceased of this disaster.

The chairman commented that he felt an American flag and a flag of the Commonwealth of Kentucky should be a permanent fixture of the Board Room and perhaps use the Pledge of Allegiance following the Invocation. Mr. Taliaferro will secure a flag which has flown over the Capitol as well as a flag of the Commonwealth.

The chairman suggested, that inasmuch as we will be calling a special meeting to meet with the members of the Campbell Fiscal Court, would it be acceptable to have that meeting take the place of the regular meeting scheduled for October 9. This was agreed upon.

There being no further business, the meeting was adjourned at 10:15 p. m.

Elmer Haas, Jr., Secretary

Dolores Thelen, Secretary Pro-tem

CERTIFICATION

I, Elmer Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its special meeting on September 13, 1972, and that such matters are still in full force and effect.

Dated this 9/27 day of September, 1972.

Elmer Haas, Jr., Secretary

AGENDA
BOARD OF REGENTS MEETING
Wednesday, October 11, 1972 - 8 p.m.
Northern Kentucky State College
Board Room - Fifth Floor North - Nunn Hall
Highland Heights Campus

1. CALL TO ORDER

2. INVOCATION

3. ROLL CALL

4. MINUTES of September 13, 1972, Special Meeting
   (Attached letter from Committee for College-Community Relations to become a part of the September 13 minutes)

5. PUBLIC RELATIONS APPOINTMENT - Dr. Frank Steely

6. STUDENT AFFAIRS - Dr. James Claypool

7. ACADEMIC AFFAIRS - Dr. Ralph Tesseneer

8. BUSINESS MATTERS - Mr. John DeMarcus

9. RESOLUTION to Division of Properties for acquiring approximately 80 additional acres - Dr. Frank Steely

10. LEGAL OPINIONS - Dr. Frank Steely and Mr. John Brooking
    a. Student groups on and off campus - chaperones?
    b. Tuition paid for Student Government President and Vice President
    c. Charitable groups using college facilities for fund raising activities

11. OTHER BUSINESS

12. ADJOURNMENT

MINUTES OF THE BOARD OF REGENTS MEETING
NORTHERN KENTUCKY STATE COLLEGE
Wednesday, October 11, 1972

The regular meeting of the Board of Regents of Northern Kentucky State College was called to order at 8:05 p. m., Wednesday, October 11, 1972, in the Board Room, Nunn Hall, Main Campus, by Chairman Kenneth Lucas. The invocation was given by Dr. James Ramage. Chairman Lucas welcomed all present.

The following voting members were present: Mr. David Garnett, Mr. Elmer Haas, Mr. Joseph Kohler, Mr. Kenneth Lucas, Mr. Gordon Martin, Mrs. Lee Mills, Dr. James Niewahner, Mr. Warren Shonert and Dr. Edgar Wipperman. (Mr. Landrum was excused because of a previous commitment.) Present from the Advisory Board were: Mr. Donald Colvin and Mr. Charles Palmer. Others present were: Dr. Frank Steely, Mr. John DeMarcus, Dr. James Claypool, Dr. James Ramage, Dean Jack Grosse, Mr. Martin Huelsmann, Mr. Charles Wiley - Regent Emeritus, and Mr. Drew Vogel of The Northerner.

The minutes of the September 13, 1972, special meeting were approved as printed and mailed to members prior to the meeting on motion by Elmer Haas. The motion, seconded by Mr. Kohler, was carried unanimously.

President Frank Steely recommended the appointment of Mrs. Sherrianne Standley as Director of Public Relations. Her resume had been distributed to all Regents and all Regents had had an opportunity to meet Mrs. Standley. Dr. Steely moved that Mrs. Standley be appointed as Director of Public Relations of Northern Kentucky State College at the rate of $10,000 per fiscal year - the rate was agreed upon at the June 12, 1972, meeting. The motion, seconded by Mr. Haas, was carried unanimously.

Dr. James Claypool reporting on Student Affairs, stated that statistics would be available at the next meeting with the complete registration report.

Mr. Kohler said that he had received a complaint that students did not have access to the Bookstore in the evening and that no one was in the Student Activities office in the evening. Dr. Claypool will look into the matter of the Bookstore and see if it could be open some evening hours. As for the Student Activities office, Dr. Claypool said it was a matter of not enough money in the budget to keep the office open any longer hours. Mr. Shonert commented that we should cater to the evening students as we do the day students.

Dr. Steely reported for Dr. Ralph Tesseneer on Academic Affairs stating that there were no appointments to be brought up in this area.

The report on Business Affairs was presented by Mr. John DeMarcus with comments. (The comprehensive report is attached to these minutes.) He pointed out that Open House will be held on Sunday, October 29, and urged all Regents to invite people to attend. The activity is under the direction of seven business students in Dr. George Manning's management class and this group will work with the Public Relations office. Over 1,000 invitation will be sent out within the next week and publicity will stress that this is open to the public, according to Dr. James Ramage. There will be no formal tours but students will be stationed throughout the buildings to explain the areas to those going through the buildings.

It was brought to the attention of the Board that funds for the landscaping is being taken from the bonding funds for this building.

Of the eight houses purchased this summer, we have access to seven. The Studer house, which will serve as Faculty Center, has not been vacated but will be within about 60 days.

Mr. DeMarcus also reported that Judge Jolly will not be available for a few weeks. Mr. Haas and Mr. DeMarcus will keep checking to see when a meeting can be arranged with the Fiscal Court of Campbell County.

A copy of the proposed letter to prospective applicants for food service in the Wallace House was presented. Mr. Haas moved that a copy be a part of these minutes. Dr. Niewahner seconded the motion, and it was carried unanimously. Mr. DeMarcus pointed out the problems with the Wallace house regarding construction, sanitation and electrical service when a food service is put in. Mr. DeMarcus estimated that it would cost nearly $8,000 to remodel the house adequately so it would pass safety and sanitation regulations. Mr. Gordon Martin, Mr. David Garnett and Dr. James Niewahner were appointed to a committee to work with Mr. DeMarcus on this situation.

Mr. Shonert moved, Dr. Wipperman seconded, that this committee be empowered to work out the situation, submit a satisfactory proposal to the President, and approve the final contract for the food service after it is approved by the President and reviewed by the State Department of Finance. The motion carried unanimously.

Mr. Haas moved, Mr. Martin seconded, that:

Be it resolved that the Board of Regents of Northern Kentucky State College request the Division of Properties of the Department of Finance, Commonwealth of Kentucky, to acquire the following additional plats for the purpose of rounding out the main campus of Northern Kentucky State College to the approximately 300 acres recommended by the Council on Public Higher Education and provided for in the Governor's Executive Budget.

Owner	Parcel No.
Andrea	48
Bushaber	93
Stewart	71
Schoulties	119
A & T Builders Inc.	29
Fassler	33 & 53
Levinthall	35
Appel	34
Kenter	33
Eten	31
Kottmeyer	32

Note: The above comprises approximately 80 acres.

Dr. Steely explained that some $360,000 is left from a $600,000 budget item for purchase of land after the eight houses were acquired this past summer. It is hoped that there will be some money left after the above property is acquired. Any money left can be used for capital construction. The motion carried unanimously.

A letter from Mr. John Brooking outlining legal opinions on chaperones, outside groups using campus facilities, and tuition remuneration scholarships for Student Government president and vice president was presented. (Copy attached to these minutes.) Action was deferred until Student Council makes recommendations to Dr. Steely on the scholarship issue.

Dr. Claypool was questioned as to the policy of issuing scholarships to upper level students holding a high academic standing. Dr. Claypool said that some 20 students do have these scholarships, but again there is a budgetary problem. We now offer some 157 scholarships as compared to 7 that Northern Community College offered. Northern subsidizes less than 7% of its students as compared to 75% for Kentucky State and as high as 60% at some other state colleges. Dr. Claypool added that the valedictorians and salutatorians who take advantage of a scholarship and keep their standing up are automatically given scholarships the succeeding years.

Dr. Niewahner moved that a letter of commendation be sent to Dr. Frank Stallings on an article, "The West: The Perpetual Mirage in Literature", appearing in the Mississippi Valley Collection bulletin. The motion, seconded by Mr. Haas, was carried unanimously.

Dr. Steely speaking in behalf of the Board thanked Mr. Colvin and Mr. Palmer of the Advisory Board for their attendance and their interest in the college.

The meeting was adjourned at 9:30 p. m. on motion by Elmer Haas, and was seconded by Mr. Garnett. The next regularly scheduled meeting is January 8, 1973.

Elmer Haas, Jr., Secretary

Dolores Thelen, Secretary Pro-tem

CERTIFICATION

I, Elmer Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its special meeting on October 11, 1972, and that such matters are still in force and effect.

Dated this 13 day of October, 1972.

Elmer Haas, Jr., Secretary

SPECIAL MEETING OF THE BOARD OF REGENTS
OF NORTHERN KENTUCKY STATE COLLEGE
Tuesday, December 5, 1972

A special meeting of the Board of Regents of Northern Kentucky State College was held December 5, 1972, in the Board Room, Fifth Floor of Nunn Hall, Highland Heights Campus. The meeting was called to order at 8:10 p. m. by Chairman Kenneth Lucas. Invocation was given by Dr. James Ramage. The chairman greeted all present.

Members present were Elmer Haas, Kenneth Lucas, Gordon Martin, Lee Mills, Warren Shonert, Edgar Wipperman, David Garnett, James Niewahner, and Frank Steely. Others present were John Brooking, Charles Wiley, John DeMarcus, James Claypool, James Ramage, Joseph Price, Jack Grosse, Sherrianne Standley, Barry Standley, Roger Scales, Alan Tucker of the Northerner, Jack Hicks of the Enquirer, Jack Brown representing Phil Taliaferro, and students Paul Lefler and Jerry Helms. (Charles Landrum was out of town, Joseph Kohler had a previous commitment.)

The first item to be discussed was the security program on the campus. Dr. Steely explained that the legislature in its 1972 session authorized the governing boards to establish security forces which had the powers of arrest and other powers comparable to other police forces. Other institutions in the state have followed through on this. Dr. Steely asked the Board to seriously consider this area, not only from the standpoint of securing the property, but for the safety of the students themselves.

Roger Scales, head of security, described to the Board the incident which happened the previous week, and it necessitated calling outside police who took over 25 minutes to arrive. Mr. Scales explained his men are not armed and during registration, as in the past week, large sums of money are on campus making it a dangerous situation if the criminal element discovers the guards are not armed.

Mr. DeMarcus said that he had serious reservations about putting fire arms in hands of people who are not trained to use them. He feels the whole situation needs study and some strict guidelines set down.

When questioned on the qualifications of the security men, Mr. Scales pointed out that all of his men are over 21 years of age and experienced. One has been on a police force, one an auxiliary policeman, and most have gone through law enforcement classes. The men have been offered a 15% incentive to attend the courses in law enforcement at Eastern University. Mr. DeMarcus said he felt Mr. Scales was qualified for his position as this is why he was hired.

Dean Grosse indicated his opposition to armed guards. Mr. Haas suggested the possibility of having guard dogs in the building after it is closed at night. Mr. Shonert felt the guards need some limited police powers, perhaps empower them to be armed only the late night hours. Dr. Niewahner indicated that he did not wish to see the guards with firearms and that we should depend upon the county police and state police. Dr. Claypool said that he had done some checking and found that U. C. has a force with full police power, that Thomas More guards are unarmed except late at night when they go into danger areas. It was recognized that our situation was a little different as we are commuter college and do not have students living on campus.

Dr. Steely remarked that he felt experience was not the only criteria for guards, but that they should have a tone and posture that would not alienate every student.

Mr. Garnett indicated that he was very opposed to armed guards on campus and felt the Regents should explore all areas before giving guards the power to carry weapons, perhaps explore the possibility of other kinds of protective weapons. He indicated that the Student Government had passed a resolution at their December 4, 1972, meeting as follows: Resolved, that student government be opposed to the carrying of firearms by the present security guards without strictest controls, accounting for each firing of the weapon and each drawing of the weapon on campus. And that they not carry firearms except between 12 midnight and 6 a. m.

Jack Brown spoke against having armed guards, but he felt it was necessary to do some thorough study on the whole security program.

Mr. Haas moved the appointment of a committee of representatives from the Regents, Administration, and Students to recognize the responsibility of the Board under KRS 164. 950 and to make recommendation to the Board for an appropriate security system established thereunder. The motion was seconded by Mr. Garnett.

After discussion, an amendment to the above motion was made by Mr. Haas to the effect that a 30-day time limit be set for the committee to act, and that they have a written report for each Regent before their next meeting, which was set for Thursday, January 4, 1973. The amendment was seconded by Dr. Niewahner and was carried unanimously.

The motion, as amended, was passed unanimously.

Chairman Lucas appointed the following committee: Dr. Steely, Mr. Haas, and Mr. Garnett. Dr. Steely indicated he would include Mr. DeMarcus and Dr. Claypool in their deliberations. Mr. Martin moved that the committee be approved. The motion, seconded by Mr. Shonert, was passed unanimously.

Dr. Steely reported on the progress of the Commencement program. He and several administrators have been meeting with representatives of the Senior Class, and several decisions have been made which hopefully will not be announced until after next semester begins. Dr. Dexter Perkins, in many ways the dean of American diplomatic historians, has accepted the invitation to bring the commencement address. He is an excellent speaker and Dr. Steely feels that his address will be appreciated by the students as well as others attending. The date decided upon is Sunday, May 13, 1973, in Regents Hall. Dr. Steely invited suggestions from the Board. He further announced that there will be a dance the night preceding graduation, and a reception after the commencement program for the Seniors and their families. He expects 500 to 600 graduates. Also, there will probably be an honorary degree given to Dr. Germain Bazin, director of the Lourve in Paris, France. Dignitaries from the state and local area will be invited to attend graduation.

Passes for the basketball games were given to each Regent. Dr. Steely indicated that he thought these had been sent out earlier, but a slip was made. Mr. Martin questioned the cost of high school students attending the game. They are now being charged $2 and Mr. Martin feels this is too high as these are the people we are enticing to come to Northern, and this could be a good public relations pitch. Dr. Claypool said he would look into lowering the cost. Mr. Martin suggested the possibility of sending tickets to the high schools for distribution.

Dr. Steely reported that Governor Ford had received invitations from the college to speak here, to attend a Symphony program when our choir sings with the symphony orchestra, and will be invited to commencement. Attempts have been made to follow through to see when he can come, but no definite word has been received.

Beeause of the importanee of the Interstate System to our college, Mr. Brooking moved the following resolution:

> Resolved, that the Board of Regents of Northern Kentucky State College desires to be of public record that it is in favor of our senator and senator-elect and representatives in the Congress voting for immediate release of trust funds for continued highway construction under the Interstate Highway Construction Program, same to be authorized and appropriated for a period of no less than two years to continue our program in Kentucky and the nation.

The motion passed unanimously.

Dr. Steely reported that a letter was received from Frankfort saying that Phase A of the Library work was received and has been approved. They will forward necessary documents to us so that work can be continued. Hopefully, ground breaking for the Library will be held in mid-June. Dr. Steely expressed his personal thanks to the Board for naming the Library in his honor.

Dr. Steely congratulated Dr. Wipperman on his daughter being elected to Phi Beta Kappa.

Each regent who had not read Dr. Steely's editorial in the Courier Journal on November 28 was given a copy of it.

Mr. Garnett and Dr. Claypool reported on the Rock Concert held in Regents Hall in late November. Primarily, there was no tarp to cover the floor, therefore some slight damage was done, but Covington Catholic has offered to lend us their tarp to use until such time as we can purchase one. The reports which were circulating of more extensive damage were completely blown out of proportion, according to Dr. Claypool.

The meeting was adjourned at 9:50 p.m. on motion by Mr. Haas, seconded by Mr. Garnett. The next meeting is scheduled for Thursday, January 4, 1973.

Elmer Haas, Jr., Secretary

Dolores Thelen

Dolores Thelen, Secretary Pro-Tem

CERTIFICATION

I, Elmer Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its special meeting on October 11, 1972, and that such matters are still in force and effect.

Dates this 8th day of December, 1972.

AGENDA
REGULAR BOARD OF REGENTS MEETING
Thursday, January 4, 1973 - 8 p. m.
Board Room - Fifth Floor North - Nunn Hall
Northern Kentucky State College
Highland Heights Campus

1. CALL TO ORDER - Chairman Ken Lucas
2. INVOCATION
3. ROLL CALL
4. MINUTES of December 5, 1972, Special Meeting
5. STUDENT AFFAIRS - Dr. James Claypool
6. ACADEMIC AFFAIRS - Dr. Ralph Tesseneer
7. ADMINISTRATIVE AFFAIRS - Mr. John DeMarcus
    a. Action on Security Force
8. OTHER BUSINESS
9. ADJOURNMENT

R ULAR BOARD OF REGENTS ME! NG
NORTHERN KENTUCKY STATE COLLEGE
Thursday, January 4, 1973 - 8 p.m.

The regular meeting of the Board of Regents of Northern Kentucky State College was called to order at 8:10 p.m. on Thursday, January 4, 1973, by Chairman Kenneth Lucas. The invocation was given by Dr. James Ramage.

The members present were: Mr. Elmer Haas, Mr. Joseph Kohler, Mr. Charles Landrum, Mr. Kenneth Lucas, Mr. Gordon Martin, Mrs. Lee Mills, Mr. Warren Shonert, Dr. Edgar Wipperman, Mr. David Garnett, Dr. James Niewahner, and Dr. Frank Steely. Advisory Board Member - Mr. Donald Colvin. Others present were: Mr. Charles Wiley - Regent Emeritus, Dr. Ralph Tesseneer, Mr. John DeMarcus, Dr. James Claypool, Dr. Joseph Price, Dr. James Ramage, Mrs. Sherrianne Standley, Mr. Jack Brown (representing Philip Taliaferro), Mr. William Mills, Carol Stewart - Kentucky Post, Kay Brookshire - Kentucky Enquirer, Gary Wagoner - Student Government, and Mrs. Dolores Thelen.

Chairman Lucas welcomed and introduced all persons present. He also thanked Dr. Ramage for his willingness to bring the invocation each meeting.

Mr. Haas moved that the minutes of the regular meeting of October 11, 1972, and of the special meeting of December 5, 1972, be approved as submitted without further reading. The motion was seconded by Dr. Wipperman and was carried unanimously.

Dr. Claypool, reporting on Student Affairs, noted that registration for the spring semester would not be held until next week, but that 2,100 students have pre-registered. He feels that there will be no significant changes in the numbers registering for spring as opposed to last fall.

Dr. Claypool advised the Board that this coming semester, we would like to have an intern with us to work on student personnel affairs. Louis Farber is a doctoral candidate from Indiana University with his major in the area in which he will be working. The stipend for this internship is $1,200.00. Dr. Steely said that we are indeed pleased to have someone like this coming in to help in the area of student affairs. Dr. Steely moved that the Board of Regents approve the internship for Louis Farber at the rate of $1,200.00 for the semester. The motion, seconded by Mr. Kohler, was carried unanimously.

In the area of Academic Affairs, Dr. Tesseneer recommended the appointment of Dr. John W. Thieret as professor of biology and chairman of the biology department beginning September 1, 1973. Dr. Thieret had accepted position of lecturer at two universities this summer before he became interested in Northern, therefore he cannot come until September 1. Mrs. Clara Richards will continue as chairman until that time. Credentials of Dr. Thieret were presented to each Regent. Dr. Wipperman moved, Mr. Martin seconded, the appointment of Dr. Thieret as professor of biology and chairman of the department of biology. The motion was carried unanimously.

The chairman called upon Mr. DeMarcus for the Administrative Affairs report, which follows:

Progress on the library has now reached Phase B. Final plans will be available for the Board's approval sometime in the next few weeks. Construction is scheduled to begin in mid-June.

Construction of the science building is basically on schedule. The parking lots are behind schedule because of weather conditions.

The landscaping is approximately two-thirds finished. Again, some of the hold-up is due to the weather.

Progress is being made on the grill in house #1. Reinforced steel beams are being put in the building. Plumbing and electrical work will be finished soon. Cost of this will be around $1,200. If the Department of Finance will allow us to put bids out for the grill, everything can be completed in two or three weeks. If they will not allow this, it can be held up for several weeks or months.

Nunn Hall is primarily alright - there have been a few problems, but nothing of great importance. The building, of course, is too small even before we moved into it.

The Boone County Center is nearly ready for operation. The parking lot is behind schedule because of the weather, but the Fiscal Court has been most cooperative on this venture.

Mr. DeMarcus was asked if the large entrance signs could be lighted at night. He said that it would be done as soon as possible.

The financial statement for the period of July 1, 1972, to December 31, 1972, was given to each Regent and was explained by Mr. DeMarcus. He feels that the college is in good financial shape and there should be no problems for the last six months.

The report from the Committee on Campus Security was presented. Elmer Haas moved, Mr. Martin seconded, that the report be approved as submitted.

The minority report was discussed in detail with Mr. Garnett. Mr. Garnett said he was opposed to anyone on campus having guns as he does not believe they are an integral part of a college community. He realizes the Board will grant full police powers to our security force, but he would like to see the strictest controls possible, particularly in the area of guns.

Mr. Lucas said that he felt it was important that the students understand that the intent of the Board of Regents is for the safety of the students, the faculty, and the staff, and also to safeguard physical properties. He feels it is vitally important that they realize that action taken tonight is a positive action and not a negative one to impair anyone's freedom in an academic community.

After much further discussion, the following amendments were made:

1. Mr. Kohler moved that the word "police" be inserted in item 1 (B) between "military" and "experience," and that the phrase "when personally in danger" be struck from item 1 (D), line 3. The motion, seconded by Mr. Haas, was carried unanimously.

2. Mr. Haas moved that the first sentence of item 1 (F) read "Firearms shall be carried only during appropriate hours." The motion, seconded by Mr. Landrum, was carried unanimously.

3. Dr. Steely moved that in line 2 of item 4, "Business Manager" be changed to "Vice President for Administrative Affairs". The motion, seconded by Mr. Haas, was carried with Mr. Shonert voting "nay". Mr. Shonert felt we were doing our Vice President for Administrative Affairs a grave injustice by adding this extra responsibility.

4. Mr. Garnett moved that in item 1 (F), second line, that the word "may" be changed to "shall". The motion, seconded by Mr. Martin, was carried unanimously.

The original motion, with amendments, was called for a vote and was carried with Mr. Garnett abstaining. The revised report on Campus Security is attached to these minutes.

Mr. Shonert recommended that the administration of the college give consideration to establishing an alumni office. (A written report is attached to these minutes.) He further felt that the group could probably be self-sustaining. Since we have become members of the University Press of Kentucky and some of our professors are having books published for the Kentucky Bicentennial, we could pass these publications along to an alumni group for a source of revenue as these types of books make excellent gifts. After discussion, in which the Board felt this was an important matter that needed attention, Mr. Shonert moved that the administration implement an alumni office under the auspices of the National Alumni Association. The motion, seconded by Mr. Landrum, was passed unanimously.

Mr. Shonert further recommended that the administration seriously consider the addition of a marching band to enhance the college as well as offering more activity to our Northern Kentucky students, many of whom participate in this activity in their high schools. Several of our Northern Kentucky high school bands have won awards and this could be an attraction for students attending our college. (A written recommendation is attached to these minutes.) Dr. Wipperman felt this could be an important addition as would a complete program in music education. Dr. Steely pointed out that many things would be added as our budget increases, but that we can only do what the budget allows us to do.

The athletic program was discussed. Dr. Claypool reported that we have a track team (which may participate this year in the NCAA small college tournament), a tennis team, a baseball team (which plays major colleges in the area), and a golf team. The next sport which will probably be added is a swim team as we have access to the YMCA. It was pointed out that it was too costly to go into football at this time, but again, as the budget increases, so can the athletic program.

Dr. Steely reported that Dr. Tessneer is chairing a Committee on Commencement, and further information would be forthcoming for this area of activity.

The meeting was adjourned at 10:15 p.m. on motion by Mr. Haas, and seconded by Mr. Kohler.

Elmer Haas, Jr., Secretary

Dolores Thelen, Secretary Pro-tem

CERTIFICATION

I, Elmer Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting on January 4, 1973, and that such matters are still in force and effect.

Dated this _____ day of January, 1974.

Elmer Haas, Jr., Secretary

AGENDA
SPECIAL BOARD OF REGENTS MEETING
Wednesday, March 29, 1973 - 8:00 p. m.
College Reception Center

6:30 p. m. - Social Hour - A Regents group picture will be taken for the Yearbook

7:30 p. m. - Dinner

Agenda for meeting following dinner

1. CALL TO ORDER AND INVOCATION
2. ROLL CALL
3. MINUTES of January 4, 1973, meeting
4. BUDGET
5. NEW FACULTY APPOINTMENTS - Dr. Tesseneer
   a. Dr. Leslie J. Tomley - Assistant Professor of Astronomy
   b. Mr. Ed Wheeler - Assistant Professor of Mathematics
   c. Mr. Maurice B. Wolf - Associate Professor of Law
   d. Miss Mary Ann Rehnke - Assistant Professor of English
   e. Dr. Frank Butler - Associate Professor of Physics
6. COMMENCEMENT REPORT
   a. General Report by Dr. Tesseneer
   b. Honorary Degrees
7. BUSINESS AFFAIRS - Mr. DeMarcus
8. FINANCIAL DISCLOSURE LAW
9. ELIGIBILITY of Student Government President (Regent) to be remunerated by College
10. FACULTY CONSTITUTION
11. STUDENT AFFAIRS - Dr. Claypool
    a. Student Government Constitution
12. Can Regents meet with Chase Advisory Board on or about May 3 Dinner Meeting at Reception Center?
13. Congratulations to University of Louisville on 175th Anniversary
14. Other Business
15. Adjournment

SPECIAL BOARD OF REGENTS MEETING
Wednesday, March 29, 1973

A special meeting of the Board of Regents of Northern Kentucky State College was called to order at 8:35 p.m. on Wednesday, March 28, 1973 at the Reception Center following a delicious dinner.

The members present were: Mr. Elmer Haas, Mr. Joseph Kohler, Mr. Kenneth Lucas, Mr. Gordon Martin, Mrs. Lee Mills, Mr. Warren Shonert, Dr. Edgar Wipperman, Mr. David Garnett, Mr. Thad Lindsey (who represented Dr. James Niewahner) and Dr. Frank Steely. Mr. Charles Landrum was out of town. (Mr. Lucas held proxy vote for Mr. Landrum and Mr. Kohler held proxy vote for Dr. Niewahner). Others present were: Mr. Charles Wiley, Mr. John Brooking, Dr. Ralph Tesseneer, Mr. John DeMarcus, Dr. James Claypool, Dr. James Ramage, Dr. Joe Price, Dean Jack Grosse, Mrs. Sherrianne Standley, Mr. William Smith, and Mrs. Dolores Thelen.

Mr. Haas moved that the minutes of the regular meeting of January 4, 1973, be approved as submitted without further reading. The motion was seconded by Mr. Garnett and was passed.

The budget, which had been sent to each Regent prior to the meeting, was discussed at length. Dr. Steely pointed out that the budget is the best projection that the administration could come up with as to anticipated income and anticipated expenses. At any time during the fiscal year that the Regents make some amendments to the budget, if necessary, it would be so modified. Mr. Smith presented Expenditure Projections for the current fiscal year and an Analysis of Budget Revenues for the next fiscal year with explanation. He said it would appear that at the end of this fiscal year, we will have a surplus going into next year of approximately $330,000; $300,000 of which has been incorporated into the budget. If projections are correct, it could be anticipated that we could have a surplus at the end of next year of around $400,000. The only thing that could vary is student fees. The increase in fees this fall is taken into consideration, and should enrollment projection be fairly accurate, the student fees figure is pretty sound. It could be that with the changes made by HEW gifts and grants will not be as high as anticipated.

Mr. Smith stated that every cent collected from tuition is deposited into a clearing account, and from this account disbursed monthly to the Bond Revenue Fund for Debt Service, with the balance sent on to Frankfort. The $10 activity fee from students is also deposited to the clearing account and disbursed monthly to the various student activity accounts which are held in a local bank. With a new program that has been set up on the NCR machine, it will be possible to have monthly or quarterly reports (whichever is preferred) of the financial status of the college for the Regents. Dr. Steely complimented Mr. Smith and his staff for the strides which have been made in this area and also pointed out that the Southern Association gave the Business Office a good rating.

Mr. Haas moved the adoption of the budget as submitted. The motion was seconded by Mr. Garnett.

After further discussion, Dr. Steely moved an amendment that item 01-09 (concerning legal services) be increased from $9,000 to $11,000. The motion, seconded by Mr. Haas, was passed.

Mr. Lindsey commented that because faculty was brought into the discussions during the preparation of the budget, the persons involved have much greater respect for this area after having spent hours working on it. Mr. Garnett commented that since students have had the opportunity to work on the budget, it has created a healthier attitude for all concerned. Mr. Wiley stated that the Regents of NKSC have a better chance of knowing what is going on than any other institution in the state. He concludes this from his experience of being on the Council on Public Higher Education.

The Regents, in Executive Session, made the following amendments to the Budget.

Salary increases approved for the following administrators: Dr. Tesseneer, Dean Grosse, Dr. Claypool, Dr. Price and Mr. Smith.

The President's salary to be raised to $35,000.00 (an increase of $3,000), and all other terms of his present contract are to be carried out. Motion to accept this amendment was made by Gordon Martin, was seconded by David Garnett, and was passed.

Mr. Shonert moved that all raises granted by the budget amendments, before set forth, are given contingent upon the entire payroll of the college not being increased any more than 5.5%, exclusive of promotions and merit increases to existing personnel. The motion was seconded by Mr. Haas, and was passed.

The vote on the budget, with the amendments, was passed unanimously.

Dr. Tesseneer recommended that the following new faculty appointments be approved:
Dr. Leslie J. Tomley as Assistant Professor of Astronomy
Mr. Ed Wheeler as Assistant Professor of Mathematics
Mr. Maurice B. Wolf as Associate Professor of Law
Miss Mary Ann Rehnke as Assistant Professor of English
Dr. Frank Butler as Associate Professor of Physics
Dr. Kenneth J. Beirne as Assistant Professor of Political Science
Dr. Yudhishter Datta as Associate Professor of Accounting
Mr. James F. Hopgood as Assistant Professor of Anthropology
Mr. Peter Moore as Assistant Professor of Mathematics
Mr. Stanley E. Harper, Jr. as Visiting Professor of Law
Motion to accept these recommendations was made by Gordon Martin, was seconded by Dr. Wipperman, and was passed.

Dr. Tesseneer announced the following promotions on the faculty:
To Professor - Ronald Singer and Frederick Schneider
To Associate Professor - Clara Richards, Gerald Smolen, Stephen Newman, James Niewahner, Raman Singh, Michael Hur, Dennis O'Keefe, Jane Dotson, Vincent Schulte, LeRoy Gruner, Lawrence Borne, Martin Huelsmann, and Edward Goggin
To Assistant Professor - Neal Jowaisas, Connie Thatcher, and Mary Carol Moses.
With Tenure - Margaret Cantrell, Nicholas Melnick, Robert Collier, Vinay Kumar, James Niewahner, Ronald Singer, Jane Dotson, Sherry Barnes, Connie Thatcher, Mary Carol Moses, Lawrence Borne, Martin Huelsmann, James Gaynor, Kent Curtis, Frederick Schneider, Martha Malloy, James Ramage, George Manning.
Mr. Kohler moved that the promotions and tenure be granted. The motion was seconded by Mrs. Mills and was passed. Mr. Haas moved that the motion be amended as follows: Promotions and tenure as recommended by Dr. Tesseneer with their salary increases be approved as submitted. The amendment was seconded by Mrs. Mills.

Voting aye on the motion and amendment were Mr. Haas, Mr. Lucas, Mr. Martin, Mrs. Mills, Mr. Shonert, Dr. Wipperman, Mr. Garnett. Voting nay: Mr. Kohler and Dr. Niewahner.

Dr. Tesseneer reported that Commencement plans for Sunday, May 13, are progressing. Caps and gowns have been ordered for all Regents. The regents should be in Dr. Steely's office by 2:15 to dress and be ready for the processional.

The following recommendations for Honorary Degrees to be conferred at Commencement were made by Dr. Steely:

Mr. Bernard Gilday, Jr. - Doctor of Laws (LLD)
Local lawyer who is a good friend of Chase

Dr. Geramine Bazin - Doctor of Letters (LittD)
Director of The Louvre in France and visited here last winter

Mr. Erich Kunzel - Doctor of Letters (LittD)
Resident Conductor of Cincinnati Symphony

Mr. Joseph Leary - Doctor of Laws (LLD)
Frankfort lawyer who was so helpful during the merger

Judge Fred Warren - Doctor of Laws (LLD)
Circuit Judge of Campbell County

Mr. Shonert moved that the Regents support Dr. Steely in his five recommendations. The motion, seconded by Mr. Haas, was passed. Resumes of the ones who accept will be sent to the Regents.

Mr. DeMarcus reported on Business Affairs. Good progress is being made on the Science Building with completion date in January, 1974. Projected date for completion is March, 1974. Final phase review is being made tomorrow on the Library, with ground breaking about Mid-June or early July. The student grill is about ready, and contracts will be let sometime in April.

Security - Mr. DeMarcus reported that the college is insisting that the security people receive as much instruction as possible in this area. Mr. DeMarcus recommended that the Board consider employing a Professional Police Consultant to review security procedures and bring recommendations to the Board. Mr. Garnett moved that the recommendation be approved at a cost not to exceed $1200. The motion, seconded by Dr. Wipperman, was passed.

Mr. DeMarcus has been interviewing men for a Chief Engineer's position, and suggested the Board consider employing such a person. After discussion, Mr. Garnett moved that a Chief Engineer be employed on recommendation of Mr. DeMarcus, salary not to exceed $15,000. The motion was seconded by Mr. Haas.

Voting aye: Mr. Haas, Mr. Kohler, Mr. Lucas, Mr. Martin, Mrs. Mills, Dr. Wipperman, Mr. Garnett.

Voting nay: Mr. Shonert.

Mr. DeMarcus recommended that the Board employ the auditing firm of Rankin and Rankin for approximately $6500 on an annual basis ($8000 in the budget for this). Mr. Martin moved that Rankin and Rankin be retained as auditors as recommended by Mr. DeMarcus. The motion was seconded by Mr. Kohler and was passed.

Mr. Brooking reported that he is working with counsel from University of Kentucky along with counsels of any other state universities who might be interested and will meet with the Attorney General to try to work out the differences of opinion in the law regarding Financial Disclosure. It is reported that the counsel for the Council on Public Higher Education is of the same opinion as Mr. Brooking. Mr. Brooking advised the Regents, who have not filed, to not do so until the matter is resolved. Those who have not filed are not violating the law.

Mr. Brooking, after working with Mr. Taliaferro on an opinion, reported that the Student Government President (Regent) can be remunerated by way of "scholarship" under the law, but he cannot work for the college for pay, cannot work for an honorarium, cannot work for any kind of fee. Mr. Brooking and Mr. Taliaferro will send a written opinion to the Regents.

The Faculty Constitution was presented and Dr. Steely recommended approval. The recommendation was approved on motion by Mr. Kohler. The motion, seconded by Mr. Haas, was carried.

Dr. Claypool recommended the approval of the Student Government Constitution. Mr. Garnett explained several sections in question and said he felt the Constitution was adequate at this point in time.

Mr. Haas moved that the Student Government Constitution be approved with one change in Article III, Section 4, Sub-section a (changes underlined):

> Both the Student Forum and the Representative Assembly may designate such committees as they deem necessary to perform their duties. The President of the Student Government shall appoint the membership of such committees and their chairmen. His appointments are subject to the consent of the body for which the committee is being appointed by a simple majority.

The motion was seconded by Dr. Wipperman and was carried.

It was agreed by the Regents to invite the Chase Advisory Board to meet with them on May 3 for dinner at the Reception Center.

Mr. Kohler moved, Mr. Haas seconded, a motion to send a congratulatory certificate to the University of Louisville on the occasion of its 175th Anniversary. The motion was carried.

Mr. Shonert inquired on the progress of the Alumni Office. Dr. Steely and Mrs. Standley reported that they are working on this area and there is provision in the next budget to hire an extra person for this office.

Mr. Garnett asked that the Board of Regents make some decision on Student Government Officers receiving remuneration. Mr. Martin moved, Mr. Haas seconded, a motion that the President, Vice President, Secretary, and Treasurer of Student Government be given a tuition scholarship on an annual basis. The motion was passed.

The liability of faculty and staff taking students on trips, seminars, etc. for the college was questioned by Mr. Lindsey.

Mr. Martin moved, Mr. Shonert seconded, the following resolution:

> Resolved, that Northern Kentucky State College, as a corporate body, should hold harmless and idemnify any Faculty, Administrator, or Regent in the performance of his duties wherein a student or another person is damaged by reason of alleged negligence of the said Faculty, Administrator, or Regent.

The motion was carried.

The meeting was adjourned on motion by Mr. Haas, and seconded by Mrs. Mills. The meeting adjourned at midnight.

Dolores Thelen

Dolores Thelen, Secretary Pro-tem

Elmer Haas, Jr., Secretary

CERTIFICATION

I, Elmer Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting on March 29, 1973, and that such matters are still in force and effect.

Dated this 16th day of April, 1973.

Elmer Haas, Jr., Secretary

May 3, 1973

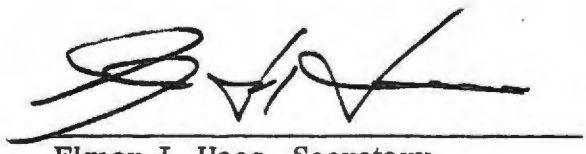
The Board of Regents of Northern Kentucky State College, in a special dinner meeting, hosted the advisors to the Chase College of Law on May 3, 1973, in the Reception Center on the Highland Heights campus. The preliminary activities began at 6:30 p. m. and after dinner, the following business was transacted.

Chairman Kenneth Lucas called the meeting to order and called upon Dr. James Ramage to open with prayer. Since all Regents were present, the roll call was omitted and likewise the reading of the minutes from the preceding meeting.

Three brief items of business were initially transacted. First, the Oath was administered to Dr. Michael E. Endres, chairman of the Department of Sociology, who was just recently elected Faculty Regent to serve for three years. Dr. Ramage, as a Notary Public, administered the Oath. The President reported the appointment of Mr. E. John Deedrick as Chief Engineer and Miss Linda Darlene Martin as Alumni Director. Mr. Gordon Martin moved and Mr. Elmer Haas seconded the appointments. The motion was carried with no dissenting votes.

After a lengthy discussion on the plans for developing physical facilities for Chase on the new campus, Mr. Martin moved and Mr. Kohler seconded a motion that the law building be placed no later than No. 6 (after the Fine Arts building) in the Master Plan. The motion passed. Mr. Shonert moved that another dinner meeting be held at 6 p. m. on September 5, 1973, with the Chase advisors. Mike Endres seconded the motion which was passed unanimously.

On motion by Mr. Kohler and second by Dr. Wipperman, the meeting was adjourned.



Elmer J. Haas, Secretary

AGENDA
BOARD OF REGENTS REGULAR MEETING
Monday, July 9, 1973

6 p. m. - Dinner at El Greco's private dining room
followed by meeting

1. CALL TO ORDER AND INVOCATION

2. ROLL CALL

3. MINUTES of March 29, 1973, Meeting

4. ANNUAL REPORT OF THE PRESIDENT

5. ACADEMIC AFFAIRS - Dr. Ralph Tesseneer
   a. New Faculty and Promotions
   b. Graduate Program
   c. KET Consortium
   d.

6. ADMINISTRATIVE AFFAIRS - Mr. John DeMarcus
   a. Fine Arts Building
   b. Status of Science Building and Library
   c. Emergency Buildings for fall
   d. Comments on Budget Format for Council on Public Higher Education
   e.

7. STUDENT AFFAIRS - Dr. James Claypool
   a. Summer School Enrollment
   b. Student Recruiting Drive for Fall
   c.

8. PUBLIC RELATIONS - Mrs. Sherrianne Standley
   a. Foundation development
   b.

9. OTHER BUSINESS
   a. May need special meeting for issuance of new series of bonds
   b. Chamber on July 18th on I-275
   c. Commencement Speakers - Dr. Ramage
   d. Marty Anderson & Dave Rev,

10. ADJOURNMENT

MINUTES OF THE REGULAR BOARD OF REGENTS MEETING
Monday, July 9, 1973

The regular meeting of the Board of Regents of Northern Kentucky State College was called to order at 8:15 p.m., July 8, 1973, by Chairman Kenneth Lucas. The meeting followed a dinner held in a private dining room at the El Greco Restaurant with an invocation by Dr. James Ramage.

Roll Call

The members present were: Mr. Charles Landrum, Mr. Kenneth Lucas, Mr. Gordon Martin, Mrs. Lee Mills, Mr. Warren Shonert, Dr. Edgar Wipperman, Mr. David Garnett, Dr. Linda Dolive (who represented Dr. Endres), and Dr. Frank Steely. Mr. Elmer Haas and Mr. Joseph Kohler had previous commitments. Mr. Lucas announced he held proxy votes for Mr. Haas, Mr. Kohler and Dr. Endres. Others present were: Mr. John Brooking, Mr. Charles Wiley, Dr. Ralph Tesseneer, Mr. John DeMarcus, Dr. James Claypool, Dr. James Ramage, Mr. Martin Huelsmann, Mrs. Sherrianne Standley, Mr. William Smith, Mrs. Dolores Thelen, Mr. Charles Lee (of Rankin & Rankin Accountants), Mr. Gary Wagoner (representing the Senior Class of 1974), Miss Karen Ware (Northerner), Mr. Jack Hicks (Kentucky Enquirer), and Miss Carol Stewart (Kentucky Post).

Approval minutes 3/29/73

The minutes of the March 29, 1973, meeting were approved as printed on motion by Mr. Martin and second by Dr. Wipperman. The motion was carried. Attached is a letter from Mr. John Brooking making one small change in the minutes.

Annual President's Report

Dr. Steely presented the Annual President's Report to the Regents with a comment that they would find page 11 of the report quite interesting insofar as it contained the budgetary comparison for the past five years. Chairman Lucas thanked Dr. Steely and complimented him on the fine report.

New Faculty Appointments

Dr. Tesseneer presented the new appointments made since the last meeting. Since no salaries were given with the appointments, Mr. Landrum moved and Dr. Wipperman seconded that the appointments be approved by silence after list is submitted with the salaries. (Such a list is attached to these minutes) If within five days after the list is received and if there is no disapproval, the appointments are approved.

Proposed Graduate Program in Education

Dr. Tesseneer presented a proposed graduate program in education which a committee has been working on a short time. Phase I was during the retreat last September when the group present felt this program should be considered. The report, which each regent received, is Phase II, and the committee will continue working provided it is approved by the Board of Regents. Dr. Tesseneer he had talked with Mr. Gordon Hood, chairman of the Council on Public Higher Education, who referred him to Dr. Webb of the council staff, and Dr. Webb asked that the council be kept informed of the progress. Dr. Lyman Ginger, State Superintendent of Instruction, has appointed Dr. Sidney Simandle to represent his office on this committee. A specific proposal should be in the hands of the Council on Public Higher Education by September 1 in order for it to be considered by the council members at their fall meeting. If the Council approves, it will then have to go before the 1974 Legislature for approval. Dr. Tesseneer pointed out the overwhelming need for such a program as some 700 teachers, out of over 3,000 polled, indicated a great interest. Several of the board members commented they felt we would be rendering a great service to the Northern Kentucky area if we had such a program.

Mrs. Mills commented that many teachers had to go into the Greater Cincinnati area to receive credits toward graduate work and this is very costly to them. In order to take advantage of in-state fees, they have to travel great distances to U. K., Eastern, etc. which is quite a hardship on them.

Mr. Martin moved, Mrs. Mills seconded, that we proceed with plans for a proposed Graduate Program in Education and submit same to the Council on Public Higher Education. The motion was passed unanimously.

The Kentucky Educational Television Consortium proposal was presented by Dr. Steely. Copies of the proposal had been sent to each Regent. If such a program is instituted, KET would have to add a staff member to coordinate the program and each college would appoint a faculty member to supervise programs as they were televised for each college. In order to pay the additional costs incurred by KET, each state institution would be asked to contribute a fee based on their FTE enrollment - Northern's fee would be $1502.72. Private colleges in the state wishing to participate would be invited to do so and would contribute a small amount for this participation. All state institutions, except the University of Louisville, have endorsed this consortium.

KET Consortium Proposal

A motion was made by Dr. Wipperman and was seconded by Mr. Landrum that Northern participate in this Consortium. The motion was carried unanimously.

Dr. Claypool reported on Summer School enrollment. During intersession, 275 enrolled in 1972 as compared to 430 in 1973 for an increase of 56%. During regular summer school session, 768 enrolled in 1972 as compared to 922 in 1973 or an increase of 20%. Chase had 301 enrolled in 1972 as compared to 450 in 1973 or an increase of 49.5%. The totals for all areas is 1,344 in 1972 and 1,802 in 1973 for a significant over-all increase of 31.7%.

Summer School Enrollment

Dr. Claypool forsees no significant increase in fall enrollment, partly because we just graduated a large class and also the road situation. Some of the problems in reaching the campus will be alleviated, it is anticipated, when work is completed on Johns Hill Road and also when I-275 is open. Dr. Steely commented that the projection nation wide is for college enrollments to decrease in the next 10 years, but feels that Northern can hold its own because of the area it is in and because of the need in this area.

Fall Enrollment

Dr. Claypool reported special efforts are being made in the recruiting field - some 2,000 high school graduates have just been sent materials and we are reaching some special groups that we have never reached; there is a special orientation for veterans; continuing education classes for senior citizens; special emphasis is being put on reaching students in outlying areas as well as Southern Ohio students, outside the Cincinnati city limits; are planning to take classes to the industrial park area; a program is now in progress for TANK bus drivers. Mr. Shonert felt it would be quite worthwhile to have booths at the local fairs throughout Northern Kentucky, and Dr. Tesseneer said he would work on this idea and sincerely hoped that it could be done this summer. Special invitations were given by Mr. Shonert to attend the Pendleton County fair and by Mrs. Mills to attend the Grant and Owen County fairs.

Recruiting Efforts

Mrs. Standley reported that the college had two experts in the area of foundations visit the campus recognizing the need to form a foundation which would suit the needs of NKSC, particularly in the area of fund-raising. Mrs. Standley reported she would have some proposals in the future. Dr. Steely emphasized the fact that when public institutions go in for fund raising, they do not hurt private institutions and statistics show that when public institutions get people in the habit of giving, the overall impact in the country is to increase the amount of philanthropy for all institutions, public or private. He stressed the fact that there are many untapped sources of money from individuals and corporations as facts indicate that only a small percentage of allowable deductions for gifts to charitable institutions are used by a large portion of individuals and corporations.

Public Relations and Development

Mr. DeMarcus spoke on the proposed presentation of a new Fine Arts Building to go before the Council on Public Higher Education on Wednesday. A comprehensive written report was given each Regent and Mr. DeMarcus elaborated on the items in the report.

Business Affairs

Mr. DeMarcus reported that the security consultant, Dr. Dan Keller, Director of the University of Louisville security, was at work. He reported also that our security men are now undergoing a 40-hour training course. Mr. Keller will have a complete review of our security department for the Board of Regents later this summer. When Associate Dean Huelsmann again mentioned the serious question he and Dean Grosse have over arming our security men with guns, Mr. Shonert suggested that Mr. DeMarcus invite Mr. Keller to meet with Deans Grosse and Huelsmann. Mr. DeMarcus said he felt this could be arranged.

Security

Dr. Steely reported that he would be attending a meeting arranged by the Northern Kentucky Chamber of Commerce with Highway Commissioner Gray and other highway officials on July 18 at 12 noon at the Town and Country and welcomed any of the Board members who would like to attend.

Meeting with Highway Officials

Mr. Gary Wagoner, representing the Senior Class of 1974, said a committee of students had been working toward formulating a list of possible commencement speakers. Dr. James Ramage was advisor to the committee. The committee submitted the following names to the Regents: Mr. Hal Holbrook, Mr. Bill Cosby, and Dean Rusk. Mr. Wagoner explained the committee sought to rule out politicians. On motion by Mr. Martin, the committee may proceed to contact the three persons in the order in which they were presented. The motion, seconded by Mr. Garnett, was passed unanimously.

Possible 1974 Commencement Speaker

Mr. Huelsmann gave each person present a copy of Volume 1, Number 1, of the new Northern Kentucky State Law Forum. Publication will initially be made semi-annually, eventually developing into a quarterly.

New Law Forum

Mr. Huelsmann further reported that recently the College of Law has received approval to start a para-professional training program. This training program will start in September of 1973 and the first program of 33 weeks duration will be for certified legal assistant in probate law. Assuming that this program is a success for the Tri-state attorneys and legally related areas, the College of Law anticipates training para-professional students in many other areas so as to reduce the cost of legal fees and increase the efficiency of the supervising attorneys. The 33-week program will cost approximately $500 and is commended by the Cincinnati and No. Ky. Bar Associations.

Chase to begin Para-Professional Training

Dr. Steely reported that Dr. Tesseneer, Mr. DeMarcus and he will go to Western Kentucky University tomorrow (July 10) to attend a Budget Format meeting. A budget format was handed down to each institution with possible revisions at this meeting. He commented that this was a very important meeting for Northern. The Council on Public Higher Education regular meeting will then begin on Wednesday morning, July 11, at which time the permission to begin programming a new fine arts building will be presented.

Administrators to attend C. P. H. E. Meeting

Mrs. Standley reported that the Norseman Club will have a golf outing on July 26 at River Hills Country Club and invited the Regents to participate. Call her office for further details.

Golf Outing July 26

Dr. Tesseneer invited the Regents to attend the first Faculty Meeting of the fall on August 20 at 10 a. m. followed by luncheon. This will be the regents' opportunity to become reacquainted with the old faculty as well as meeting new faculty.

Faculty Meeting August 20

Mr. Kenneth Lucas was unanimously reappointed Chairman of the Board of Regents on motion by Mr. Landrum and second by Mr. Garnett.

Lucas reappointed Chairman

Mr. Lucas indicated that we soon will have to be selling our Series B bonds for the Science Building and the Steely Library, and it may be necessary to call a special meeting of the Regents for this purpose. Each regent will be notified as soon as a date can be set after permission is granted by the Finance Department for this sale of bonds.

Sale of Series B Bonds

The meeting was adjourned at 10:30 p. m. on motion by Mr. Garnett and second by Dr. Wipperman.

_____

Elmer Haas, Jr., Secretary

_____

Dolores Thelen, Secretary Pro-Tem

CERTIFICATION

I, Elmer Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting on July 9, 1973, and that such matters are still in force and effect.

Dated this ____ day of July, 1973.

_____

Elmer Haas, Jr., Secretary

AGENDA

BOARD OF REGENTS

NORTHERN KENTUCKY STATE COLLEGE

October 1, 1973

1. We will bring to the meeting an original and 6 copies of each of the following:

(a) Minutes of meeting.

(b) Resolution Confirming Request for Approval of State Property and Buildings Commission.

(c) Series B Bond Resolution.

(d) Notice of Sale of Bonds.

(e) Official Bid Form.

2. Mr. Ralph G. Nall will bring the Official Statement.

* * * * *

3. Since this is a special meeting, we will need signed copies of the Notice and Certificate of Call, unless all members are present.

4. The Resolution Confirming Request for Approval of State Property and Buildings Commission must be adopted first and after such adoption, the Chairman and Secretary should sign such Resolution, with the Seal of the College affixed.

5. The Series B Bond Resolution must then be adopted. After adoption, the Resolution should be signed on page 20 by the Chairman and Secretary and the Secretary should date and sign the Certificate on page 21. The Seal of the College should be affixed on page 20 and page 21.

6. The Board will then approve publication of the Notice of Sale of Bonds, after which, the meeting will be adjourned to October 11, at which time the award of the Bonds will be made to the successful bidder.

7. The information on page 1 of the Minutes as to all persons present at the meeting, and the persons making and seconding motions on pages 2, 3 and 4, should be filled in. The Minutes should be signed on page 4 by the Chairman and the Secretary. The Secretary should then date and sign the Certificate on page 5 and should affix the Seal of the College at the bottom of that page. A copy of each of the Resolutions adopted at the meeting, together with a copy of the Notice of Sale of Bonds, the Official Bid Form and the Official Statement should be attached to the Minutes before they are placed in the Minute Book of the Board..

EXCERPTS FROM THE MINUTES OF A MEETING OF
THE BOARD OF REGENTS OF NORTHERN KENTUCKY
STATE COLLEGE HELD ON OCTOBER 1, 1973.

The Board of Regents of Northern Kentucky State College convened in a special session in the Board Room, at the Highland Heights Campus of the College, on October 1, 1973, at 7:00 P.M., E.D.T.

Upon call of the roll, it was ascertained that the following members of the Board were present:

Kenneth R. Lucas, Chairman
Elmer J. Haas, Jr., Secretary
Charles Landrum
Gordon Martin
Mrs. Lee Mills
Warren Shonert
Dr. Edgar Wipperman
David Garnett
Dr. Michael Endres
Joseph Kohler

The following members were absent: None.

There were also present Dr. Frank Steely, the President of the College, Joseph R. Rubin of Rubin & Trautwein, Louisville, Kentucky, Bond Counsel, Mr. John W. Fischer, III, of Peck, Shaffer & Williams, Cincinnati, Ohio, Bond Counsel, Mr. Ralph G. Nall of Dupree & Company, Inc., Lexington, Kentucky, Fiscal Agent, Mr. John DeMarcus, Mrs. Sherrianne Standley, Dr. James Ramage, Mr. John Brooking, Mr. Charles Wiley, Mrs. Dolores Thelen and Mr. Jack Hicks.

It having been determined that a quorum was present for the transaction of business and it appearing that the meeting was duly and properly held, the Chairman called the meeting to order. Elmer J. Haas, Jr., Secretary, duly recorded the minutes of the meeting.

* * *

Thereupon, the President of the College stated that pursuant to a request made by the College, the State Property and Buildings Commission of Kentucky had, on May 24, 1972, approved a Northern Kentucky State College Buildings Project consisting of a new Science Building and a new Library Building and appurtenances

and that it was deemed advisable that the Board now adopt a Resolution ratifying and confirming such request for approval made on behalf of the College.

After the matter had been fully discussed, Elmer J. Haas, Jr., made a motion that the Resolution be adopted, the title of which Resolution was as follows:

RESOLUTION CONFIRMING REQUEST FOR APPROVAL OF LIBRARY AND SCIENCE BUILDINGS PROJECT CONSISTING OF A NEW SCIENCE BUILDING AND A NEW LIBRARY BUILDING AND APPURTENANCES, BY THE STATE PROPERTY AND BUILDINGS COMMISSION OF KENTUCKY.

Said motion was seconded by Charles Landrum, and upon a vote being taken upon the adoption of same, the result was as follows:

Yeas for the Motion	Nays against the Motion
Kenneth R. Lucas, Chairman Elmer J. Haas, Jr., Secretary Charles Landrum Gordon Martin Mrs. Lee Mills Warren Shonert Dr. Edgar Wipperman David Garnett Dr. Michael Endres Joseph Kohler	None

Thereupon, it was announced that such motion had carried and that such Resolution had been duly adopted by the Board. Whereupon, such Resolution was declared to be in full force and effect. A copy of same is attached to the minutes of this meeting.

Thereupon, the President stated that the College has employed Dupree & Company, Inc., Lexington, Kentucky, and Johnston, Brown, Burnett & Knight, Inc., Louisville, Kentucky, as Fiscal Agents of the College in said proposed financing, and that certain documents have been prepared in advance of this meeting by Bond Counsel employed by said Fiscal Agents, for appropriate action by this Board at this meeting.

He stated that said documents include a Resolution authorizing $12,580,000 of Consolidated Educational Buildings Revenue Bonds, Series B, of the Board of Regents of the College, a Notice of Sale of Bonds, a Bid Form, and an Official Statement with reference to the public offering of said Series B Bonds.

President Steely then pointed out that copies of all of said documents and a copy of the Minutes of this meeting (with names and signatures not filled in) had been furnished in advance of this meeting to each of the members of the Board. Thereupon, record was made of the fact that each member present acknowledged receipt of copies of each of said items and that he had read and studied each of said items.

After said presentation and explanation by President Steely, the various instruments and documents so tendered to the Board were in succession identified and made the subject of full discussion.

Thereupon, Board Member Gordon Martin moved immediate adoption of the proposed "Series B Bond Resolution" attached hereto, which motion was seconded by Board Member David Garnett, said proposed Resolution being entitled as follows:

A RESOLUTION AUTHORIZING THE ISSUANCE OF $12,580,000 CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES B, OF THE BOARD OF REGENTS OF NORTHERN KENTUCKY STATE COLLEGE.

There was also attached to said Series B Bond Resolution a proposed form of "Notice of Sale of Bonds," a proposed separate "Official Bid Form" and an "Official Statement", referred to and approved in Section 2.11 of said Series B Bond Resolution.

Full discussion followed concerning said Resolution and said items attached thereto, and the Chairman thereupon put the question, and upon call of the roll, the vote was recorded as follows:

Yeas for the Motion	Nays against the Motion
Kenneth R. Lucas, Chairman	None
Elmer J. Haas, Jr., Secretary	
Charles Landrum	
Gordon Martin	
Mrs. Lee Mills	
Warren Shonert	
Dr. Edgar Wipperman	
David Garnett	
Dr. Michael Endres	
Joseph Kohler	

Thereupon, the Chairman declared that said motion had carried and that said Resolution had been duly adopted and was in full force and effect.

b

Thereupon, Board Member Joseph Kohler moved that the Chairman of the Board of Regents, its Secretary and/or the Fiscal Agents of the College in connection with said Consolidated Educational Buildings Revenue Bonds, be authorized and instructed to cause publication to be made in such periodicals and at such times as necessary to comply with the law and with the recommendations of the Fiscal Agents, of the aforesaid Notice of Sale of Bonds, calling for the receipt of bids for the purchase of said Series B Bonds, such bids to be received until October 11, 1973, at 11:00 A.M, E.D.T., or at such other time at which this Board of Regents will meet, as may be determined by the President of the College. The motion was seconded by Board Member Edgar Wipperman.

Full discussion followed on said motion, and the Chairman thereupon put the question, and upon the call of the roll, the vote was recorded as follows:

Yeas for the Motion	Nays against the Motion
Kenneth R. Lucas, Chairman	None
Elmer J. Haas, Jr., Secretary	
Charles Landrum	
Gordon Martin	
Mrs. Lee Mills	
Warren Shonert	
Dr. Edgar Wipperman	
David Garnett	
Dr. Michael Endres	
Joseph Kohler	

Thereupon, Board Member Charles Landrum moved that this meeting be adjourned to the 11th day of October, 1973, at 11:00 A.M., E.D.T., for the purpose of considering the adoption of a Resolution accepting the successful bid for the purchase of $12,580,000 of Kentucky State College Consolidated Educational Buildings Revenue Bonds, Series B. Said motion was seconded by Board Member Gordon Martin and unanimously carried.

Whereupon, the meeting was duly adjourned to said date and hour for said purpose.

Kenneth R. Lucas
Chairman

Secretary

b

CERTIFICATE OF SECRETARY

I, ELMER J. HAAS, JR., hereby certify that I am the duly qualified and acting Secretary of the Board of Regents of Northern Kentucky State College, that the foregoing is a true and accurate transcript of the Minutes of a meeting of said Board held on October 1, 1973, insofar as such Minutes pertain to the matters set out in such transcript; and that there are attached hereto full, true and correct copies of:

(1) the Resolution entitled:

RESOLUTION CONFIRMING REQUEST FOR APPROVAL OF LIBRARY AND SCIENCE BUILDINGS PROJECT CONSISTING OF A NEW SCIENCE BUILDING AND A NEW LIBRARY BUILDING AND APPURTENANCES, BY THE STATE PROPERTY AND BUILDINGS COMMISSION OF KENTUCKY.

(2) the Resolution entitled:

A RESOLUTION AUTHORIZING THE ISSUANCE OF $12,580,000 CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES B, OF THE BOARD OF REGENTS OF NORTHERN KENTUCKY STATE COLLEGE.

(3) the Notice of Sale of Bonds with reference to said $12,580,000 of Series B Bonds;

(4) the Official Bid Form with reference to said Series B Bonds; and

(4) the Official Statement with reference to the public offering of said Series B Bonds;

that all of said foregoing documents are the identical items bearing such titles referred to in the foregoing Minutes; and that the foregoing Minutes of the Board meeting have been duly recorded in the official records of the proceedings of said Board.

IN TESTIMONY WHEREOF, witness my signature and the Seal of said Board this 1 day of October, 1973.

Secretary, Board of Regents

(Seal of College)

Roll for lunch

AGENDA
BOARD OF REGENTS
NORTHERN KENTUCKY STATE COLLEGE
FOLLOWING SPECIAL MEETING
October 11, 1973

CALL TO ORDER

ROLL CALL

MINUTES of July 9, 1973 Regular Meeting - Approval

ACADEMIC AFFAIRS - Dr. Tesseneer
Faculty appointments made since July meeting
Graduation ? ce - St Rouge

ADMINISTRATIVE AFFAIRS - Mr. DeMarcus
Budget

STUDENT AFFAIRS - Dr. Claypool

OTHER BUSINESS
Resolution - Yearbooks presented to Regents
Announce Ground Breaking - Oct 22
Oct

NEXT MEETING

ADJOURNMENT

REGULAR BU[illegible]ES CONDUCTED BY THE BOA[illegible] OF REGENTS
DURING THE SPECIAL MEETING HELD OCTOBER 11, 1973, 11 a.m.

After the bids were opened, Mr. Ralph Nall of Dupree and Company retired to check the computations at which time the Regents conducted other business.

July 9 Minutes Approved

The minutes of the regular meeting of July 9, 1973, were approved as presented to each Regent by mail on motion by Mr. Martin and second by Mr. Landrum. The motion was passed unanimously.

Appointment of new Faculty Members

Dr. Joseph Price, representing Dr. Ralph Tesseneer, recommended that the following faculty members be appointed:

Mr. James E. Keck as Assistant Professor of Psychology
Dr. Ray O. Nulsen, as Associate Professor of Marketing
Miss Mary Ann Beranek as Instructor of Library Sciences
Mrs. Margaret Miller as Instructor in Nursing
Mrs. Kathleen Brinker as Instructor in Nursing
Mr. Ralph O'Brien as Assistant Professor of Vocational Education

A motion was made by Dr. Endres, seconded by Mr. Garnett, that the recommendation for appointment of these faculty members be approved. The motion carried unanimously.

Graduation Fee

Dr. Ramage presented to the Regents a proposal to charge a graduation fee of $12.00 for all graduates. The fee would cover diploma and case, senior test, and cap and gown. Dr. Ramage and Dr. Steely explained that every other state institution charges such fees. A motion was made by Mr. Kohler, seconded by Dr. Wipperman, that the Regents adopt this request. Mr. Garnett said he felt it would not be looked upon favorably by the students. Dr. Endres felt that attendance at graduation should not necessarily be a requirement. Dr. Steely explained that last year any graduate who had a valid reason not to attend graduation contacted Dr. Tesseneer and was excused. Mr. Landrum expressed his feelings that the students should remember when they are asked to pay some additional costs that better than 40% of the costs of higher education is paid by the taxpayer. Mr. Shonert suggested that this year's Commencement Committee be consulted and that Mr. Garnett discuss the matter with the students. Mr. Haas made a motion that this be tabled until the next meeting and that Dr. Ramage send each Regent a breakdown of costs charged at other institution. The motion, seconded by Mr. Shonert, was passed with Mr. Kohler voting "nay" and Mr. Landrum abstaining.

Biennium Budget

Mr. DeMarcus explained that the biennium budget was being prepared to present to the Council on Public Higher Education, but it was impossible to present any figures to the Regents at this time because of the fact that the budget format has been changed several times by the Council with the last change made just two weeks ago. Thus, the pressure of time to complete the budget. All departments of the college have been asked to present to Mr. DeMarcus their part of the budget, but time is needed to pull all of these together along with lengthy narration of justification for each new item and for any item which has been increased. Dr. Steely said he felt it was in order, as other institutions have done, to authorize the administration to proceed to send an appropriate budget to the Council, particularly the part for capital construction requests so that we can proceed with the implementation of the Master Plan. Mr. Haas moved that the administration have the necessary authority to request all funding necessary to proceed under the Master Plan. The motion was seconded by Mr. Garnett. The motion was carried with Mr. Shonert abstaining.

Mr. Haas moved, and Mr. Martin seconded, the following resolution:

"Resolved that appreciation be expressed to the Yearbook Staff for the very fine yearbook that was printed and for the gift of yearbooks to the Regents on behalf of Student Activities."

Yearbook Thank You

The motion passed unanimously.

Dr. Steely announced that the Groundbreaking for the Library would be on Monday, October 22, at 11:30 a.m. with Congressman John Breckinridge as principal speaker. The Congressman was instrumental in having Northern designated as a Federal Depository.

Groundbreaking for Library Oct. 22

Mr. Garnett, referring to the Audit from July 1, 1971, to July 1, 1972, asked about the difference between income and expenditures in the Bookstore of some $30,000. If the Bookstore is making a profit, he then felt prices of books to students should be reduced. Mr. DeMarcus assured the Regents that no profits are being made - there are inventories to consider, overhead, etc. - but that he would have a detailed report on the Bookstore for the Regents at their next meeting.

Audit on Bookstore

Mr. Brooking asked about the possibility of having copies of all minutes of the Board of Regents from its inception in 1968 made for each Regent. Mr. Haas explained that there are nearly four notebooks filled already and it would be a mountain of reading material for the Regents. Mr. Haas moved, Mr. Kohler seconded, a motion that the legal counsel prepare from the minutes any by-laws that appear, with a page noting that complete copies of the minutes are lodged with the Secretary of the Regents, the President's Office, and in the Northern Library. The motion passed unanimously.

File of Regents minutes

Dr. Endres asked if the Regents could be furnished with an agenda before a meeting. It was explained that most generally this was done and would be done in the future.

Elmer Haas, Jr., Secretary

Dolores Thelen, Secretary Pro-Tem

BOARD OF REGENTS MEETING
Minutes of November 26, 1973, Meeting

A special meeting of the Board of Regents of Northern Kentucky State College was called to order by Chairman Lucas at 8:10 p. m. on Monday, November 26, 1973. The invocation was given by Dr. Ramage.

Roll Call indicated Regents present were: Mr. Elmer Haas, Mr. Joseph Kohler, Mr. Charles Landrum, Mr Kenneth Lucas, Mr. Gordon Martin, Mrs. Lee Mills, Mr. Warren Shonert, Dr. Edgar Wipperman, Mr. David Garnett, Dr. Michael Endres, and Dr. Frank Steely. Others present were: Mr. John Brooking, Mr. Charles Wiley, Dr. Ralph Tesseneer, Mr. John DeMarcus, Dr James Claypool, Dr. James Ramage, Dr. Joseph Price, Mrs. Sherrianne Standley, Mrs. Dolores Thelen, Mr. Jack Hicks of the Enquirer, and Mr. William Mills, Superintendent of the Grant County Schools.

The minutes of the special meetings of October 1 and 11, 1973, were approved as printed and submitted in advance on motion by Mr. Haas. The motion was seconded by Mr. Martin and was passed unanimously.

President Steely was asked to report on the Institutional Budget Meeting of the Council on Public Higher Education. Dr. Steely commented that Northern had been treated quite well by the Council in the relative sense, and that Dr. Albright, along with the Council, made every effort to be fair. All of the institutions' original requests were cut; but the two institutions (U. of L. and Northern) with extraordinary needs were recognized by the Council. The figures listed will not include growth money of which Northern will get a good percentage as a result of our increased enrollment. The figures are approximate and it is understood that they will still go to the Department of Finance and to the Legislature in January.

General Fund Money (last fiscal year)	$4,500,000	
Continuation of Fixed Costs		Budgetary
Debt Service	806,400	Requests
Legal and Regulatory	37,500	Recommended
Cost of Living - 5.5% (Personnel)	205,600	by C. P. H. E.
4.5% (other items)	85,800	
Staff Benefits	43,500	
Maintenance and Operation - new facilities	166,500	
Exceptional Educational needs	137,600	

This would indicate that we should receive $6 million from the State for fiscal year 1974-75. Added to this will be the growth money and some money to develop the graduate consortium program now under discussion as this program will be funded through Northern. The 1975-76 biennium funding will not be as high inasmuch as the debt service amount will be greatly reduced.
The capital construction recommendations were as follows:

General Classroom Building	$5,000,000	
Fine Arts Building	5,500,000	
Central Heating Plant	1,000,000	
Intramural Areas	175,000	
Parking Lots	250,000	
Maintenance Building	400,000	$12,325,000

This money will come from revenue sharing monies and this will mean that we would not have to go into bonding to build the above projects. The Student Union was the only building asked for that was deleted from capital construction requests. It was the Council's feeling that this was an auxiliary enterprise and such had never been built exclusively with state funds.

Mr. Lucas complimented the administration for their many hours of work on our requests as well as presenting Northern's case so well before the proper persons.

Mr. DeMarcus was asked what the emergency fuel crisis would mean to the college. He stated that it could be a serious problem, but at present could not forsee that it would be. Among some of the measures being taken at the college to help curtail fuel consumption are state automobiles are being driven at 50 miles per hour and thermostats have been set at 68°. We are still working to get fuel for the new Science Building which will be ready for occupancy early in the calendar year. Mr. DeMarcus feels it can be worked out. Our heating equipment can be shifted from gas to oil and vice versa at any time. The administration is exploring the possibility of using atomic energy in the new heating plant.

Fuel Crisis

Dr. Tesseneer was asked to review what had transpired regarding the vocational school site on our campus. Dr. Tesseneer has served on the 20-member Northern Kentucky Vocational Education Advisory Council since 1970. Part of their work was trying to help locate various vocational schools in the Northern Kentucky area. Northern Kentucky State College did not initiate the idea of having a vocational school on its campus. He stated it would cost the state some $500,000 to $600,000 to purchase a site on the basis of negotiations which have been made during the past two year. Approximately $1,250,000 has been allocated for such a school; however, they could not afford to buy the site and also build the school for this amount. The Northern Kentucky Vocational Education Advisory Board voted unanimously to recommend to the Kentucky State Board of Education that this facility be located on the Highland Heights campus of NKSC. Dr. Tesseneer pointed out that we have cooperative efforts with the Vocational School,Park Hills, on most of our Associate Degree programs, and we are very dependent on their facilities to carry out such programs. By having such a school located on our campus we would have excellent access to its facilities. The facility would be used for high school programs during the day and would be available to the college in late afternoons and evenings. The school would in no way be run by NKSC but would be under the direct control of the Kentucky State Board of Education. Northern Kentucky State College is simply being asked to make available 10 acres of ground on which to locate the facility. Mr. DeMarcus explained that these 10 acres could be on the north side of the campus and this would be at a place where we would have an additional access road to the campus.

Vocational School Site

After discussion, Mr. Haas moved, Mr. Shonert seconded, a motion that the vocational school issue be tabled until the next meeting. The motion carried unanimously. At this point, it was decided to have a special meeting on Monday, December 3, at 7 p. m. for the purpose of discussing the issue further. The administration was asked to assemble materials on the project and send such materials to the Regents by Friday, November 30. Mr. DeMarcus was also asked to mark off the ten acres on a drawing of the Master Plan to show the Regents exactly the location the administration has in mind.

Chairman Lucas brought up the next item of business - the renewal of the President's contract. The highlights of the chairman's comments were as follows:

"In addressing ourselves to the Renewal of President Steely's contract, I would like to share some thoughts on this matter that I hurriedly jotted down tonight before the meeting. You may or may not know that Dr. Steely's contract comes to its conclusion on December 12th. Four years ago in December, I introduced Dr. Steely at the Northern Kentucky Chamber of Commerce Pre-Legislative Conference Dinner Meeting. It was his first official appearance as President of Northern Kentucky State

President's Contract Renewal

College. There were many trips to Frankfort. In particular, I remember one very cold night when Charlie Wiley and I met Frank and we could barely keep warm with the heater in our car. Dr. Steely had driven over the mountains from Virginia in a jeep. This was an indication of the drive and determination of the man we had hired.

"In the fall of 1970 we opened the doors for our first full year of operation woefully lacking in facilities with students and cars everywhere. In the fall of 1973, we opened the doors for our fourth full year of operation woefully lacking in facilities with students and cars everywhere.

"However, some drastic changes took place during that time -- Enrollment rose from approximately 1250 to 4750 - Teaching staff increased from approximately 30 to 170 full-time and part-time faculty with the highest percentage of faculty with terminal degrees of any institution in Kentucky or the Greater Cincinnati area - A merger of an outside professional school, Chase College of Law, was consummated - a whole campus was moved to this beautiful new campus with a lot of sweat, work, and worry on the part of a lot of people - an extensive building program is underway with outstanding prospects for the future.

"And finally, to cap just a few of the highlights, we had our first graduating class this last spring. I don't mind admitting that marching into Regents Hall to witness that commencement was the high point for me as a Regent. That ceremony symbolized everything we were trying to achieve, namely, that of providing a quality higher education, particularly for those who might not otherwise have achieved that goal had we, as an institution, not been here.

"It is my humble opinion that we as a Board of Regents need to look at every major decision we face at this institution with this final question. 'What is best for NKSC?' And I submit to you that "What is best for NKSC' as far as leadership is concerned is the continuation of Dr. Frank Steely as President of this school. He and his capable administration have led us with distinction to unprecedented heights for higher education in Northern Kentucky.

"And the future even looks brighter - I heartily endorse his reappointment of another four year term as our President. He richly deserves our unqualified support. I would be happy to receive a motion at this time so requesting his reappointment as President and Professor of History, with tenure."

The following motion was moved by Mr. Haas and was seconded by Mr. Landrum:

> Effective December 12, 1973, Dr. W. Frank Steely's contract shall be renewed as President and Professor of History, with tenure, at a combined compensation of $35,000.00 per year, for four years, including the use of an automobile, house, maid service when needed; increases in compensation on merit and cost of living, as determined by the Board of Regents with other contract details to be drafted by Counsel for the College.

Voting Aye: Mr. Haas, Mr. Kohler, Mr. Landrum, Mr. Martin, Mrs. Mills, Dr. Wipperman, Dr. Endres, Mr. Lucas.
Voting Nay: Mr. Shonert, Mr. Garnett.

Graduation Fee

Dr. Ramage, reporting on the graduation fee issue, said that on October 18, 1973, the present Commencement Committee approved charging a $12 graduation fee. He called attention to the fact that he had sent the Regents a list of what other colleges charge and that, inasmuch as they receive state monies such as Northern, we would certainly not be out of line in making such a charge. It is to be understood this would be exclusive of Chase as they charge a $40 fee. Mr. Garnett reported that he attended a senior class meeting and the consensus was that no fee should be charged. He did point out that only 25 seniors attended the meeting which is a small percentage of the senior class.

Mr. Kohler moved, Mr. Martin seconded, a motion that the Regents adopt the recommendation of the Commencement Committee that a fee of $12 for graduates, excluding Chase graduates, be charged. The motion carried with Mr. Haas, Mr. Garnett, and Mr. Shonert voting "Nay".

Under discussion next was the possibility of naming the main building on the Covington campus for Mr. Thomas Hankins, who was the former director of the Community College. Dr. Steely said that Mr. Hankins was much revered in Northern Kentucky as he had done much for higher education in this area through his leadership at the Community College. Mr. Garnett moved that the main building on the Covington campus be named for Mr. Thomas Lee Hankins and that an appropriate ceremony be planned. The motion, seconded by Mr. Kohler, was passed unanimously.

Naming main bldg. on Cov. Campus for Hankins.

Mrs. Standley was asked to report on foundation development, and she presented some recommendations for such development (copy attached to these minutes) for their consideration. Mr. Kohler moved that the Regents concur in the request for appointing a study committee named in the recommendation III. The motion was seconded by Mr. Landrum and he specifically asked that in working on the foundation to set it up so that it could become a form of revenue development council. Mr. Landrum also commented that it is quite important to get such a foundation underway as it is expected from predictions of experts that a large percentage of state funds will be reduced in years to come and collegeswill have to work out ways of raising private money. The motion passed unanimously.

Foundation Development

Mr. Brooking, College Counsel, brought the following items to the attention of the Regents:

The Pi Kappa Alpha Fraternity wants to buy a fraternity house and needs the approval of the Board of Regents before they can proceed further as this is required by the national organization. The house is located on Robinson Road (across US-27 from the Reception Center). Dr. Steely said he had recommended to the Highland Heights officials that they proceed with the proper zoning and it is under discussion now by these officials. Dr. Steely recommended that the Regents support PKA in this endeavor. Mr. Shonert moved that the Regents approve PKA's efforts in the purchase of a house, contingent on getting proper approval by the City of Highland Heights. The motion, seconded by Mr. Martin, was passed unanimously.

Pi Kappa Alpha House

Mr. Brooking also requested that the Board of Regents approve the administration studying the possibility of having a fraternity and sorority row on campus or close to it with dormitory space available in such houses. Dr. Steely reported that Dr. Claypool's office did a good survey on students desiring housing on or near the campus. Some 1800 to 1900 students were polled with some 30% indicating they were interested in such housing. Dr. Steely further reported that it seemed highly unlikely that we could build dormitories on campus in the conventional way as has been done on other campuses as our monies are so sorely needed for academic space. HUD funds are frozen and this is the agency where such funds would have to come. HEW interest subsidy money is not available for housing. Dr. Steely has been talking to some private developers but so far none have come up with any concrete evidence that they are interested. Dr. Steely has worked through the Northern Kentucky Chamber of Commerce and even the Greater Cincinnati Chamber as well as the Northern Kentucky Home Builders Association. If such housing were built on the campus, approval would have to be secured from the state for alienation of state property and it would not be wise to go into this until after the legislative session is over. He further stated that we cannot become a cosmopolitan campus unless we can bring in students from distant areas which cannot be done without some kind of adequate housing.

Mr. Kohler moved that the administration come up with some sort of a recommendation on fraternity and sorority houses at the next regular meeting. The motion, seconded by Mr. Shonert, was passed unanimously.

Mr. Brooking reported that the Northern Kentucky Transit Authority (TANK) had approached the City of Park Hills for an area on which to have a bus turn-in and parking area for people who wished to catch a bus to other areas. Mr. Brooking felt we could render a great service to the community by entering into some kind of negotiation with TANK to use the lower parking lot on the Covington campus for such a project. At the present time he has noticed this parking lot is not being used and is getting run down.

TANK using parking lot at Cov.

A motion was made by Mr. Garnett that this be pursued further subject to Mr. Brooking bringing back a proposal to the Regents to be considered further. The motion was seconded by Mr. Haas. Some considerations in the proposal would need to be a reasonable notice to vacate when it is needed by the college, terms for maintenance and upkeep, and other proper terms. The motion carried unanimously.

When asked about the Commencement speaker, Dr. Ramage reported that Dean Rusk had accepted the committee's invitation to speak. Honorary degrees will be considered later. Dr. Ramage did point out that the committee hoped that honorary degrees would be kept to a minimum and more concentration be given the graduates. Mrs. Standley asked the Regents not to discuss the name of the Commencement speaker in order that she could release it at an appropriate time.

Mr. Brooking reported that progress was being made on the By-Laws. He felt they could be ready by the next regular meeting.

The meeting was adjourned at 10:00 p. m.

Elmer Haas, Jr. Secretary

Dolores Thelen, Secretary Pro-Tem

CERTIFICATION

I, Elmer Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting on November 26, 1973, and that such matters are still in force and effect.

Elmer Haas, Jr. Secretary

Dated this 27th day of November, 1973.

NORTHERN KENTUCKY STATE COLLEGE

Board of Regents

AGENDA
SPECIAL BOARD OF REGENTS MEETING
Monday, December 3, 1973
7 p. m.
Board Room - Administration Building
Highland Heights Campus

Call to order

Invocation

Roll Call

Item for which the meeting was called:
Consideration of site on NKSC Campus
for vocational school

Adjournment

SPECIAL MEETING
BOARD OF REGENTS
NORTHERN KENTUCKY STATE COLLEGE
Monday, December 3, 1973

A special meeting of the Board of Regents was called to order by Chairman Kenneth Lucas at 7:10 p.m. on Monday, December 3, 1973, in the Board Room on the Highland Heights campus. The invocation was given by Dr. James Ramage.

Roll Call: Regents present were Mr. Elmer Haas, Mr. Joseph Kohler, Mr. Charles Landrum, Mr. Kenneth Lucas, Mrs. Lee Mills, Mr. Warren Shonert, Dr. Edgar Wipperman, Mr. David Garnett and Dr. Frank Steely. Others present were Mr. John Brooking, Dr. Ralph Tesseneer, Mr. John DeMarcus, Dr. James Ramage, Dr. Joseph Price, Mrs. Sherrianne Standley, Mrs. Dolores Thelen; Mr. James Patton and Mr. Bob Koo from Northern Kentucky Vocational School; Mr. Robert Allen from Newport School Board and President of Kentucky School Boards Assoc.; Mr. George Houston, Dayton School Superintendent; Mr. Tom Holloway, Dayton Principal; Mr. Jack Keller, Dayton Counseler; Mr. William Mills, Grant Co. Superintendent; Mr. John Stephenson and Mr. Bob Stevie representing Congressman Breckinridge; Mr. Bob Fogarty of the Enquirer; representing the Northerner - Jerry Helms, Bonnie Vahlsing, Dennis Limbach; Students - Marty Edwards, Dave Hardin, Joe Schulte.

Chairman Lucas explained the purpose of the special meeting was to discuss the vocational school issue. Mr. Haas stated that all materials he had received indicated the vocational school on the Highland Heights campus would be a great advantage to the college. He made a motion that the Board of Regents adopt a resolution to place the vocational educational facility on the Highland Heights campus according to the various documents endorsing putting the facility here. The motion was seconded by Mrs. Mills.

Mr. DeMarcus outlined the area where the vocational school could be located and cited the wisdom of placing it in the particular spot. Mr. Landrum stated he had done some research and found it to be to our advantage to have the school here; and if it were not placed here, it would probably be located somewhere other than Northern Kentucky. Mr. Kohler asked if this would keep us from asking for a technical school later on from the Council on Public Higher Education. Dr. Tesseneer, stating that it would not, said that this was a new approach in the area. It is hoped that this will be a pattern in not duplicating facilities. Dr. Steely said that by doing some of these things, we dramatize the need for such types of facilities in this area, and hoped we would generate more demands for this type of work. John Stephenson, speaking for Congressman Breckinridge, said they were interested to have a new facility like this in their district and complimented the Board of Regents on their approach. Mr. Houston of the Dayton Schools said that this school has been long sought and long delayed and that the people of this area deserve the benefit of this type of facility. Chairman Lucas noted that when Mr. Gordon Martin called to say he could not attend the meeting, he indicated his strong support of the location.

The question was called and the motion was passed unanimously.

Chairman Lucas called attention to a letter from the Northern Kentucky Chamber of Commerce to Dr. Lyman Ginger supporting the site. Mr. James Patton expressed his delight at the Board's action and complimented the Northern administration for their cooperative efforts with the

vocational school for the past three years. Dr. Steely said that had it not been for the cooperation of Mr. Patton and his staff, we could not have progressed as we have on so many programs. Mr. Robert Allen of the Newport School Board said that his board will support the school if it is located here and feels personally that it is a good site.

The meeting was adjourned at 8 p.m. on motion by Mr. Kohler and second by Mr. Haas.

Elmer J. Haas, Secretary

Dolores Thelen, Secretary Pro-Tem

CERTIFICATION

I, Elmer Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its special meeting on December 3, 1973, and that such matters are still in force and effect.

Elmer J. Haas, Jr. Secretary

Dated this 6th day of December, 1973.

BOARD OF REGENTS
NORTHERN KENTUCKY STATE COLLEGE
Regular Quarterly Meeting
Tuesday, January 15, 1974 - 8 p. m.
Conference Room - Nunn Hall
Highland Heights Campus

CALL TO ORDER

INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES OF November 26, 1973 and December 3, 1973 Meetings

BUSINESS

1. BY-LAWS OF THE BOARD OF REGENTS

2. ACADEMIC MATTERS - Dr. Tesseneer
   Southern Association Accreditation
   Graduate Program in Education
   Graduate Consortium
   New Faculty Appointments
   Grants to Northern Kentucky State College - Dr. Meade

3. ADMINISTRATIVE MATTERS - MR DEMARCUS
   Quarterly Financial Report
   Report on Construction and Fuel

4. COMMITTEE ON FOUNDATION - Mrs. Standley

5. STUDENT AFFAIRS - Dr. Claypool

6. Dedication of Thomas Lee Hankins Hall on January 20

7. Other Business

ADJOURNMENT

REGULAR QUARTERLY MEETING
OF THE BOARD OF REGENTS
OF NORTHERN KENTUCKY STATE COLLEGE
Tuesday, January 15, 1974 - 8 p. m.

The regular quarterly meeting of the Board of Regents of Northern Kentucky State College was held on Tuesday, January 15, 1974, in the Board Room of Nunn Hall on the Highland Heights campus. The meeting was called to order at 8 p. m. by Chairman Lucas. The invocation was given by Dr. Ramage.

Regents present were: Mr. Elmer Haas, Mr. Charles Landrum, Mr. Kenneth Lucas, Mr. Gordon Martin, Mrs. Lee Mills, Mr. Warren Shonert, Dr. Edgar Wipperman, Mr. David Garnett, Dr. Michael Endres, Dr. Frank Steely. (Mr. Joseph Kohler called to be excused.) Others present were: Mr. John Brooking, Regent Emeritus and Counsel; Mr. John DeMarcus; Dr. James Claypool, Dr. James Ramage, Dr. Joseph Price, Mrs. Sherrianne Standley, Mrs. Dolores Thelen, Mr. William Mills, Dr. Nicholas Melnick, Dr. Roger Meade, Mr. Bob Fogarty of the Cincinnati Enquirer, and Mr. Dennis Limback of the Northerner.

The minutes of the November 26, 1973, and December 3, 1973, meetings were approved as submitted by mail to each Regent on motion by Mr. Haas. The motion was seconded by Dr. Wipperman and was passed unanimously.

By-Laws Adopted

The By-Laws for the Board of Regents were presented by Counsel John Brooking and he recommended their adoption. Mr. Landrum moved, seconded by Mrs. Mills, that the By-Laws be adopted as presented. The motion carried unanimously.

Accreditation Letter

Under academic matters, Dr. Steely called attention to copy of a letter sent each Regent stating that we have gained full accreditation from the Southern Association of Colleges and Schools. He reiterated two points: (1) that this accreditation is retroactive for all graduates of Northern, and (2) that this was the earliest point at which we were eligible for such accreditation (only after graduating their first class are institutions eligible for accreditation).

Graduate Program

Dr. Steely further reported on the progress of Northern's Graduate Program. The institution itself is not acting, but our area legislators (and particularly at the urging of many area teachers) have introduced a bill to delete the prohibition for Northern Kentucky State College to conduct graduate programs under KRS Statutes. If such a bill is passed, our program will be contingent on approval by the Council on Public Higher Education, which is undergoing a study on graduate education. If the study recommends the authorization of this program, Northern will then be in a position to offer such programs.

Graduate Consortium Program

Dr. Steely introduced Dr. Nicholas Melnick, who was appointed by the Council on Public Higher Education as director of the Graduate Consortium program. The consortium represents University of Louisville, University of Kentucky, Eastern, Morehead, and Northern and the presidents of these participating institutions approved Dr. Melnick's appointment. Dr. Melnick reported that there was not a great deal of time to get the program underway, but it is off the ground and as of tonight, 95 are enrolled in the program. Five graduate courses in education are being offered this semester, and it is hoped to have a larger number of courses for the summer semester.

Dr. Joseph Price, Associate Dean, (and representing Dr. Tesseneer), recommended the following appointments of new faculty: Appointment of New Faculty

Mr. David Jones as Instructor in Education
Mr. Russell Hereth as Assistant Professor of Accounting
Dr. Donald Welti as Assistant Professor of Psychology

Mr. Martin moved that the above appointments be approved as recommended by Dr. Price. The motion, seconded by Mr. Haas, was carried unanimously.

Dr. Steely then introduced Dr. Roger Meade, Director of Research and Institutional Studies at Northern. Dr. Meade reported on the status of grants received, applied for, or not received by Northern. Dr. Meade said he has spent a good deal of time traveling to Washington and Atlanta. Federal monies are available (not as much as in the past), but competition is quite keen. We need to be very competitive to receive our share. He praised college officials and faculty for their spirit of cooperation in working out grant proposals. He pointed out that once we are able to offer graduate work, we will be in a much better position to receive grant monies. Grants

Mr. DeMarcus referred to his written report and to the financial report submitted to the Regents. He further reported that the new science building should be completed by February 1, but to date the fuel situation has not been worked out. He has tried all areas of approach and will go to Atlanta next week and will visit the regional fuel emergency office to request a mandatory allotment. He hopes this will meet with success. The library construction and fine arts building design work are both on schedule. The financial report shows that we are in a very stable position. Building Progress; Finances

Dr. Claypool reported to the Regents that registration for the spring semester to date is up 100 students over last spring semester's totals; however, enrollment is not completed in some areas. Spring Registration

He reported further that the athletic programs are coming along very well. The basketball team is having a winning season and also has won their first tournament. The baseball team had a 9-3 record and played some top notch teams. One of our baseball players has signed with the Houston Astros. The women's tennis team had a 5-2 season and two of the girls qualified for the state championships. Since we have now received our accreditation, we hold full membership in NCAA and NAIA. All of the athletic programs, which have been highly successful, were achieved on a budget which is less than Nebraska pays its head football coach. Athletics

The advent of concerts, with major groups being brought on campus, has stirred a great deal of interest on the part of the students, according to Dr. Claypool. All of the concerts have been profitable and have brought many young people on the campus who ordinarily would not have come otherwise. He said that several students enrolling this spring had been to the concerts, liked what they saw at Northern, and enrolled. Financial Aid and Veteran Affairs have shown tremendous growth. Some $40,000 in funds was disbursed in 1970 and this coming year over $1 million will be disbursed in areas of student loans, scholarships, work study, veterans' aid, etc. This year has seen the jelling of the Inter-Organizational Council, which is composed of a representative of every student organization on campus. We now have a pep band, and a film series was started. Intra-murals have been very successful with over 1,000 students participating which indicates the interest of the students even though Student Affairs

we are a commuter college. One of our students has gained recognition in national trap shooting competition. We will be participating in the Mountain Laurel Festival this year. Bus service onto the campus has been a big boon, and more and more students are using this means of transportation. Dr. Steely commented that he felt the intra-mural program was a phenomenal success in view of the fact that all our students commute.

Off-campus housing for students was discussed. Dr. Steely reported that the local zoning commission has things pretty well tied up, but hopes we can make a break-through to get some zoning changed so fraternities, etc. can buy houses. The Highland Heights city officials have been very cooperative. Off-Campus Housing

Mrs. Standley announced the Dedication of Thomas Lee Hankins Hall will be held Sunday, January 20 at 2 p. m. and urged all to be present. Mr. Landrum will be representing the Board of Regents as he personally knew Mr. Hankins and also was on the Board of Regents of the University of Kentucky during some of Mr. Hankins' tenure. Dedication of Hankins Hall

Mr. Shonert reported that he had not been receiving copies of the Northerner regularly. Dr. Steely said he was not aware that the Regents were not receiving this publication regularly, but that he would see to it that all Regents received copies on a regular basis.

The meeting was adjourned on motion by Mr. Garnett and second by Mr. Haas.

Elmer J. Haas, Jr. Secretary

Dolores Thelen, Secretary Pro-Tem

CERTIFICATION

I, Elmer Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its special meeting on January 15, 1974, and that such matters are still in force and effect.

Elmer J. Haas, Jr. Secretary

Dated this 23 day of January, 1974.

AGENDA
BOARD OF REGENTS
NORTHERN KENTUCKY STATE COLLEGE
Friday, April 19, 1974 - 8 p.m.
Conference Room - Nunn Hall
Highland Heights Campus

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

WELCOME AND OATH to Mr. Henry Mann, newly appointed Regent

Regent Emeritus - Mr. Charles Landrum

Minutes of the January 15th meeting mailed and presented for approval

BUSINESS

Academic Matters - Dr. Tesseneer

1. Faculty Senate
2. Faculty Handbook
3. New Department Chairmen - Dr. Frank Butler - Department of Physical Sciences
   Dr. Lyle Gray - Department of Sociology
   Mr. Robert Knauf - Department of Music
   Dr. Frank Stallings - Department of Humanities
4. New Faculty Appointments -
   Miss Marilyn Scroggins as Instructor in Physical Education
   From Indiana University
   Ms. Kamilla M. Mazanec as Professor of Law from Yale University
5. Request for Sabbatical Leave for Dr. James Claypool for the summer
6. Promotions recommended in Rank and Tenure - see attached list
7. Consortium - Graduate Work in Education
8. Commencement Report - Dr. Ramage

Honorary Degrees

Committee on Housing Construction

Student Affairs - Dr. Claypool

Public Relations and Development - Mrs. Standley

Administrative Matters - Mr. DeMarcus

1. Report on Construction
2. Other Reports
3. 1974-75 Budget

Other Business

ADJOURNMENT

BOARD OF REGENTS MEETING
NORTHERN KENTUCKY STATE COLLEGE
Friday, April 19, 1974

The regular meeting of the Board of Regents of Northern Kentucky State College was called to order at 8:10 p. m. by Chairman Lucas on April 19, 1974, in the Board Room of Nunn Hall. The invocation was given by Dr. James Ramage.

Roll Call: Present - Joseph Kohler, Kenneth Lucas, Henry Mann, Gordon Martin, Warren Shonert, David Garnett, Dr. Jeffrey Williams representing the Faculty Regent, Michael Endres; Counsel John Brooking, and Frank Steely.
Excused - Elmer Haas, Lee Mills, Edgar Wippermann
Others present: Dr. James Claypool, Dr. Joseph Price, Dr. James Ramage, Dr. Terry Paul of the Faculty Senate, Mr. John DeMarcus, Dennis Limbach of the Northerner, Frank Ashley of the Courier Journal, Carol Ellison of the Kentucky Post, Bob Fogarty of the Kentucky Enquirer, and Dolores Thelen.

Mr. Henry Mann was welcomed as a new Regent (replacing Charles Landrum) and was sworn in by Chairman Lucas.

Mr. Charles Landrum was elected to Regent Emeritus on motion by Mr. Kohler, second by Mr. Garnett, and passed unanimously.

The minutes of the January 15, 1974, meeting were accepted as printed and mailed to each Regent on motion by Mr. Kohler. The motion, seconded by Mr. Garnett, passed unanimously.

Academic Matters:

Faculty Senate approved

Dr. Steely announced that the faculty had voted to organize a Faculty Senate in place of the Faculty Assembly as they felt this would give better representation to the faculty since it has grown so large in size. Each department elects a representative to the Senate, and nine representatives-at-large are elected by the entire faculty. Dr. Jeffrey Williams was elected the first president of the Faculty Senate. Dr. Williams submitted a new constitution to the Regents. This constitution will be reviewed by counsel and will be voted upon at the next Regents meeting. Mr. Shonert moved that the Regents adopt the recommendation of the faculty to form a Faculty Senate subject to the review by counsel after which time it will come into effect. The motion, seconded by Mr. Martin, passed unanimously.

Faculty Handbook

The Faculty Handbook which had been prepared primarily for the Southern Association evaluation team was mailed to the Regents; however, the faculty asked, if it were agreeable to the Regents, to let them have a chance to again review it, and submit it later for approval at the next Regents meeting. This met with the Regents' approval.

Appointment of new Department Chairmen

Dr. Price, substituting for Dr. Tesseneer, recommended four new department chairmen: Dr. Lyle Gray as chairman of the Sociology Department replacing Dr. Endres who had asked to return to full time teaching; Dr. Frank Stallings as chairman of the Humanities Department replacing Dr. Price who will assume full time duties as Associate Dean, Dr. Frank Butler as chairman of the Physical Sciences Department (Mathematics and Physical Sciences Department was split), and Mr. Robert Knauf as chairman of the Music Department (Music was split from the Fine Arts Department). Mr. Kohler moved that the recommendation of the four new appointments be accepted. The motion, seconded by Mr. Martin, was passed unanimously.

Dr. Price recommended the appointment of two new faculty members: Ms. Marilyn Scroggin as Instructor in Physical Education and Ms. Kamilla M. Mazanec as Professor of Law. Mr. Shonert moved, Mr. Martin seconded, and the motion was passed unanimously. — New Faculty Appointments

Dr. Price presented a request from Dr. James Claypool for sabbatical leave for the summer and recommended such leave to the Regents. Dr. Steely commented that, while we have not adopted a policy for such leaves, he would recommend this leave as the Office of Student Affairs would be adequately covered in Dr. Claypool's absence, and he does not feel this request is out of line. Mr. Garnett moved that the recommendation be accepted. The motion was seconded by Mr. Kohler and was passed unanimously. — Sabbatical Leave approved

Dr. Price recommended that the following faculty be given promotions as follows:

Rank:
- Dr. Jerry Carpenter to Associate Professor of Biology
- Dr. Robert Collier to Associate Professor of English
- Mr. R. Kent Curtis to Assistant Professor of Education
- Dr. Lyle Gray to Professor of Sociology
- Mr. Martin Huelsmann to Professor of Law
- Mr. Robert Knauf to Professor of Music
- Ms. Charlotte Levy to Assistant Professor of Library Sciences
- Dr. James McKenney to Associate Professor of Mathematics
- Ms. Dixianna Smith to Associate Professor of Nursing
- Dr. Frank Stallings to Professor of English
- Mr. Noel Sullivan to Associate Professor of Law
- Mr. Maurice Wolf to Professor of Law

Tenure:

Dr. Frank Butler	Ms. Charlotte Levy
Dr. Jerry Carpenter	Dr. Raman Singh
Ms. Jeannette Cochran	Dr. Zelda Smith
Dr. Lyle Gray	Dr. Frank Stallings
Mr. Robert Knauf	Ms. Rita Tobler

Promotion in Rank — Promotion in Tenure

Mr. Kohler moved that the recommendations for promotion be approved. The motion, seconded by Mr. Garnett, passed unanimously.

Dr. Price reported that Dr. Melnick was out of town, but that the graduate consortium program was moving along at a satisfactory rate. About 100 students are enrolled this semester. We have received 108 applications for summer school. Dr. Melnick has added 14 courses to offerings for summer school to take care of the students. The Consortium is beginning to run a little more smoothly as problems are beginning to be understood and worked out with other institutions involved in the Consortium. All of the summer offerings will be taught by Northern faculty and all NKSC resources will be used. We will, of course, proceed with the Consortium until such time as the Council on Public Higher Education will grant us privilege of having our own graduate program. Dr. George Starcher, consultant on graduate work in Kentucky, has visited our campus, and it is hoped that we will receive permission to teach graduate studies unilaterally after his recommendation has been received by the Council. — Graduate Consortium

Dr. Ramage reported on the progress of the Commencement with 574 people graduating - 103 Juris Doctors, 354 Bachelor degrees and 117 Associate degrees. There will be a luncheon at the Reception Center at 12 noon before the ceremony with the Dean Rusk and all platform guests are to be at the Board Room at 1:30 sharp for robing. All Regents will be seated on the platform. The Commencement Committee recommends that an Honorary Doctor of Laws be granted to Dr. Raymond P. Hutchens, who was former dean of Chase Law School. Mr. Mann moved that Doctor of Laws be granted to Dr. Hutchens. Motion was seconded by Mr. Shonert and was passed unanimously.

Commencemer
May 12

Honorary
Degree

Dr. Steely asked that the Regents form a Committee on Housing to investigate housing either on or off the campus for students. He has talked to a number of persons and has received suggestions from these people on the matter of housing. There is a need to investigate further so that we can be prepared to offer housing to students coming from greater distances and that we can become a more cosmopolitan institution. Mr. Shonert moved that Chairman Lucas appoint a committee of Regents, to be chaired by Gordon Martin, to work on the housing Problem. The motion was seconded by Mr. Kohler and was passed unanimously.

Committee
on
Housing

Student Affairs:

Dr. Claypool thanked the Board of Regents for granting his Sabbatical Leave. He reported that Student Government elections would be held Monday and Tuesday, April 22 and 23. Much work has been done to try to get a large turnout for the elections. He further reported that Dr. Steely has been meeting with student groups on campus to discuss the direction and future plans of Student Affairs for the college. A mass convocation, with Dr. Steely addressing the student body and the faculty and staff, was held last month and proved to be worthwhile. The establishment of a concert series has proved very successful, not only with our students, but also in bringing large number of possible students onto the campus. The athletic programs for men as well as women is quite popular. It is hoped we can have some limited scholarship help for some of the athletic teams other than basketball. There will be an advisory board formed to work on the distribution of the student affairs fee. Mr. Kohler commented that he was pleased to hear of the cooperation and discussions between administration and students.

Student
Affairs

Mrs. Standley was absent as she was being inducted into a professional organization this evening, and Dr. Steely reported that the Regents would be hearing from Mrs. Standley regarding the Community Music Festival on May 1st at which the Cincinnati Symphony will appear along with choruses from NKSC, Thomas More, and several area high schools. Lt. Gov. Julian Carroll will be present for the festival.

Mr. DeMarcus had several reports prepared which were given each Regent at the conclusion of the meeting. In general reporting, he said that the library was five weeks behind schedule. Reinforcing steel has been the problem here. The design for the fine arts building is to be completed in September, to be bid in November, with projected completion in Nov. 1976. The central power plant is under design, with plans to be finished in June, ground breaking in August, and completion in August, 1975. Also an electrical substation is being developed with plans to be complete in June, and completion of construction in August, 1975.

Building
Progress

The next classroom building programming will begin by the Division of Engineering within the next few weeks. The activities courts will, hopefully, be finished by fall. Track and Field items should be available in September. We are awaiting announcement of an architect on the vocational school. A list of renovations at Nunn Hall and some houses (when the move is made to the science building) is included in the written report.

Security

Regarding security, Mr. DeMarcus reported that all security men have received adequate training and they will be given full police powers this coming Monday. They will be equipped with firearms as per the previous arrangement that firearms will not be carried during school hours.

Resolution on Deadly Weapons

Counsel prepared and Mr. DeMarcus presented a resolution on deadly weapons. After discussion, it was decided to insert the word "unauthorized" in the second paragraph as the second word. A motion to approve the resolution with the added word was made by Mr. Garnett, was seconded by Mr. Martin, and was passed unanimously. The resolution now reads:

"Deadly weapons are hereby prohibited on the campus of Northern Kentucky State College.

Any unauthorized person displaying deadly weapons shall be appropriately disciplines by the college.

Discipline by the college shall be reasonable and may include application to the appropriate court as well as explusion from the college."

1974-75 Internal Budget

The 1974-75 Internal Budget was presented to the Regents with comments from Dr. Steely and Mr. DeMarcus. The format was that of the American Council on Education which the Finance Department asked to be followed. Of the nearly six million from state appropriations, one and one-half million has to be used for debt service, that is, interest on bonds sold. This leaves about one-half million more than we had to work with this present fiscal year. In the 1974-75 budget, the largest increase is in the realm of the business office as it needs to be upgraded to handle the multitude of work expected by the finance department in their new accounting setup. There is a 19% increase in cost of utilities. Salaries generally were raised 5.5% across the board. Library expenditures were cut as there cannot be too many more resources ordered until we get into the new library as we do not have storage space. Dr. Steely pointed out that the budget was thoroughly discussed in Administrative Council in a lengthy session last Friday, and the budget presented to the Regents was the budget approved by the Administrative Council.

Budget Approved

Mr. Martin moved, seconded by Mr. Garnett, that monies be reinstated in account no. 01-09 which includes student legal counsel (which had been cut out by the Administrative Council). The motion was passed unanimously. After further discussion, the 1974-75 budget was approved as presented with the addition in account no. 01-09 voted previously, on motion by Mr. Martin. The motion was seconded by Mr. Mann and was passed unanimously.

Mr. Shonert suggested that the President's office make up an organization chart with people's names as well as titles on it.

Mr. Garnett announced that the students were holding the Annual Rites of Spring next week at which there would be student, faculty, administration participation throughout the week. The Regents were invited to visit the campus during these festivities.

Mr. Garnett desired to bring up some amendments to the Constitution of Student Government and if approved, they would be voted on during student elections. Mr. Brooking, counsel, said the proper procedure would be for Student Government first voting on such amendments, and then submit them to the Regents for their approval.

There being no further business, the meeting was adjourned at 11:30 p. m. on motion by Mr. Kohler, and seconded by Mr. Martin.

Elmer J. Haas, Jr. Secretary

Dolores Thelen, Secretary Pro-Tem

CERTIFICATION

I, Elmer Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting on April 19, 1974, and that such matters are still in force and effect.

Elmer J. Haas, Jr. Secretary

Dated this 6th day of May, 1974.

AGENDA
BOARD OF REGENTS
Northern Kentucky State College
Highland Heights Campus - Nunn Hall - Board Room
Wednesday, July 10, 1974 - 8 p.m.

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME

OATH - To Student Regent, Gary Eith

MINUTES of April 19, 1974, meeting - Minutes were mailed 5/1/74

RE-SELECTION of Officers of Board of Regents

PRESIDENT'S ANNUAL REPORT - Dr. Steely (copy enclosed)

1. Additional comments on Greater Cincinnati Consortium
2. Dr. George Starcher's visit

ACADEMIC AFFAIRS - Dr. Tesseneer

1. Faculty Senate and Handbook - Handbook mailed prior to April meeting
2. New appointments - See attached list
3. Graduate Consortium
4. Summer School Enrollment
5. R. O. T. C. Report

ADMINISTRATIVE AFFAIRS - Mr. DeMarcus

1. Audit Report - Enclosed
2. Business Report
3. General Comments

STUDENT AFFAIRS - Dr. Claypool

PUBLIC RELATIONS & DEVELOPMENT - Mrs. Standley

1. Alumni Association Constitution - previously mailed
2. Report on NKSC Foundation

OTHER BUSINESS

1. Committee on Housing - Mr. Martin
2. Proposal from Highland Heights - Dr. Ramage - Enclosed

ADJOURNMENT

BOARD OF REGENTS MEETING
NORTHERN KENTUCKY STATE COLLEGE
Wednesday, July 10, 1974

The regular meeting of the Board of Regents of Northern Kentucky State College was called to order at 8:10 p. m. by Chairman Lucas on July 10, 1974, in the Board Room of Nunn Hall. The invocation was given by Dr. James Ramage.

Roll Call: Present - Elmer Haas, Joseph Kohler, Kenneth Lucas, Henry Mann, Gordon Martin, Lee Mills, Warren Shonert, Michael Endres, Gary Eith, Edgar Wippermann, Counsel John Brooking, Frank Steely.

Others present - Regent Emeritus Charles Wiley, Charles Lee of Rankin & Rankin, William Johnson of Frankfort, Richard Wilson of Courier Journal, Jack Hicks of Enquirer, Mel Strick of our bookstore, Ralph Tesseneer, John DeMarcus, James Claypool, Sherrianne Standley, James Ramage, Joseph Price, William Smith, and Dolores Thelen.

Chairman Lucas welcomed Gary Eith as new student regent and administered the oath to him.

The minutes of the April 19, 1974 meetingwere accepted as printed and mailed to each Regent on motion by Mr. Haas. The motion, seconded by Mr. Eith, passed unanimously.

Reelection of officers

Dr. Wipperman moved, Mr. Kohler seconded, that the present officers be reelected for another year. The motion passed unanimously. They are: Kenneth Lucas, Chairman; Elmer Haas, Secretary-Treasurer; Dolores Thelen, Assistant Secretary.

President's Annual Report

Dr. Steely highlighted the Annual Report commenting that this had been a very fine year for Northern. The college had been treated most fairly so far as the legislative session was concerned. Dr. Steely applauded the Council on Public Higher Education and the Governor and his administration for the large amount of capital construction funds that Northern received. On July 1 the Council on Public Higher Education recommended to the Governor and the Finance Department that $5. 6 million be allocated to Northern for an Educational and Student Services Building, and also the Council voted an additional $40,000 to help finance the Graduate Consortium. By the unanimous vote of the Senate and the House in the last legislative session, the restriction on Northern offering graduate work was removed and it is hoped the Council will move quickly to allow Northern to begin their own graduate program. The Governor has also made available an additional $1. 3 million growth money of which Northern will receive approximately $160,000 beyond that which was originally designated to us.

Greater Cincinnati Consortium

Dr. Steely explained that a Greater Cincinnati Consortium (consisting of 11 colleges and universities in the Greater Cincinnati area) is being formed and through this consortium activity a great deal of money can be saved. For instance, should one institution have a professor in a narrow field of study, other institutions can take advantage of this; or should a student need a particular course which may not be offered at one institution, he may take it at another institution for which he would receive credit by the institution in which he is enrolled. Hard to obtain and expensive lecture speakers can be secured for use by participating institutions. Through this type of cooperation, dividends for both faculty and students can be invaluable and the very fact of institutions getting

together can be wholesome. There have been meetings among the presidents and a meeting of business officers of the institutions. Participating institutions will be asked to contribute about $1 per FTE student, which is practically the same as belonging to many academic organizations. The Ohio Board of Regents has put $50,000 at the disposal of the Consortium. Dr. Steely asked the Regents for their opinion on such a Consortium.

Mr. Martin moved, Mr. Haas seconded, that the administration be empowered to proceed with an agreement which is to be brought back to the Regents for further consideration. The motion passed unanimously.

Dr. Starcher visit

Dr. Steely announced that Dr. George Starcher, a consultant to the Council on Public Higher Education, will be on our campus July 11 and 12 to take a look at all phases of operation of Northern.

Grants

Dr. Steely distributed a report from Dr. Roger Meade of the Office of Research and Institutional Studies indicating grant funds approved for fiscal year '74 of $178,361.25. Grants received so far in July, 1974 total $64,391.

Academic Matters:

Faculty Handbook approved

Dr. Tesseneer distributed copies of a few minor changes in the Faculty Handbook which had been sent each Regent prior to the April meeting, and recommended that the Faculty Handbook be approved with the changes. Dr. Endres also distributed copy of a change for the Handbook from the Faculty Senate. Mr. Kohler moved that the Faculty Handbook be approved as recommended by Dr. Tesseneer including the changes submitted by both Dr. Tesseneer and Dr. Endres. The motion was seconded by Mr. Shonert and was passed unanimously.

On page 6, add at end of paragraph entitled "Board of Regents":

The free exchange of ideas, being essential to the process of education, the Board of Regents shall take as a prime responsibility the protection of the academic freedom of the college community. Further, the Board of Regents shall foster and protect an atmosphere conducive to the free exchange of ideas without encroachment or intimidation from any source.

On page 15 - the following additions or changes have been made:

2. (a) -(Add at the end of this section:) An instructor may invite to his class as observers of his teaching the Associate Dean, his chairman, college colleagues, and/or the representatives from professional organizations in his discipline.

2. (b) iii. Change "professional" to "scholarly".

2. (b) iv. Should read: Recognized study or training beyond the terminal degree.

2. (c) i. Add at end of line: and student organizations.

2. (c) ii. Change "church" to "community".

On page 16 - first paragraph: put "Faculty Senate" in the two places where "Faculty Assembly" appears.

Dr. Tesseneer recommended the approval of the new Faculty and Staff as mailed to each Regent with the following addition: New Faculty Approved

Michael Lampman as Instructor in Theatre in the Department of Fine Arts.
Mr. Lampman has his M. F. A. from the University of Wisconsin

Mr. Kohler moved that the Board approve the recommendations of Dr. Tesseneer. The motion was seconded by Mrs. Mills and was passed unanimously.

Summer school enrollment is 1,778 and could we have added the graduate consortium enrollment of 200 to this, we would have shown a large increase over last year. As it is, we are still slightly over last year's summerschool enrollment. Enrollment

Dr. Tesseneer announced that we have agreements for those of our students who wish to take ROTC to attend Army ROTC at Xavier and Air ROTC at U. C. We have about 25 enrolled in the Xavier program, and this fall any interested students in Air ROTC may go to U. C. for this program. A student may receive a scholarship from Air ROTC which could be used at Northern. If there ever is large enough interest, an ROTC program can be started at Northern. ROTC

Administrative Affairs:

Mr. DeMarcus referred to the Audit Reports which were sent to the Regents, and said that Mr. Lee, Rankin and Rankin, and Mr. Smith, our business manager, were present to answer any questions. Mr. Smith announced that the audit for the fiscal year just past will be available much sooner. There is a summary of this past fiscal year (July 1, 1973 thru May 31, 1974) in the President's Annual Report. Audit for 1972-73

Mr. DeMarcus made general comments on the progress of the building program. The Library construction is suffering from labor problems but hopefully they will be resolved shortly. Resurfacing of the gravel lot should be completed by fall. The tennis courts should be ready by early fall. Track and field areas and additional parking lots are under design. Programming on the maintenance building is complete. Design on the Fine Arts building will be completed in September with ground breaking shortly thereafter. The baseball field is getting in shape. New classroom building is in the design stage and should be completed by early spring. Moving into the science building is almost complete and it seems to be satisfactory with all. Dr. Tesseneer commented that he has not heard one critical remark by faculty members on this building. Gary Eith commented that all the students he has talked with have liked the building. Bids on the electrical substation have been received and we will soon receive bids on the central power plant. In conjunction with the Vocational School, Northern will have another access drive which will be very helpful. Building Progress

In discussing the Interstate Highway system, it was the feeling of the Regents that pressure be continued to be put on officials for the completion of the system.

Mr. Martin spoke of his concern for the Fifth Street Bridge between Covington and Newport and of the holdup because of a house which some feel has historical value. Mr. Martin made a motion that the Regents go on record as endorsing the Fifth St. Bridge project only as it relates to the advantages of having the bridge for additional access to the college. The motion was seconded by Mr. Haas and was passed. Dr. Steely was asked to send letters to the Highway Department and the National Registry on behalf of the Regents. Covington-Newport Bridge

Dr. Claypool, in reporting on Student Affairs, felt that the most significant thing that has happened is the possibility of the approval of a Student Services building and he felt that a lot of hard work and time was put in by Dr. Steely and Mr. DeMarcus on this project for which they deserve much credit. Student Affairs

Mr. Kohler praised the Student Affairs office and Student Government for the large turnout in the last student elections and said he felt this was very significant for the overall welfare of the college.

Mr. Eith distributed copies of one change in the Student Constitution which the students desired and which they passed by unanimous vote: Change in Student Govt. Constitution

Article VII, Section 5, paragraph b, shall read:

If a vacancy should occur in any office other than the President, a qualified person shall be elected to fill the vacancy by special general election, to be conducted in the same manner as general elections.

Mr. Martin moved that the Regents approve the change. The motion, seconded by Dr. Wippermann,was passed unanimously.

Public Relations and Development:

Mrs. Standley recommended the approval of the Alumni Association Constitution which was mailed to each Regent. Mr. Kohler moved that the Alumni Constitution be approved as recommended by Mrs. Standley. The motion was seconded by Mr. Haas and was passed unanimously. Alumni Constitution

Mrs. Standley announced the names of the new members to the Northern Kentucky State College Foundation Board of Directors: Mr. R. C. Durr, Mr. Daniel Graves, Mr. Gordon Hood, Mr. Henry Hosea, Mr. H. Charles Jones, Mrs. William McCann, Mrs. O. Worth May, and Judge Fred Warren. Mrs. Standley will send each Regent a complete list of the Board of Directors of the Foundation as well as a copy of the revised By-Laws. After discussion of having representation from all Northern Kentucky counties, Mrs. Standley invited all regents to make nominations to the Board of Directors for their consideration. NKSC Foundation

Open House plans for the Science Building were announced by Mrs. Standley. On Saturday, September 14, a science exhibit and demonstrations for high school students and teachers will take place all day. It will coincide with a regional meeting of high school science teachers on our campus. On Sunday, September 29, from 2 to 5 p.m., a general Open House will be held for the public. Open House - Science Building

The Committee on Housing had one meeting according to Mr. Martin. After doing some additional research in this area, the committee will have a report for the Regents at their next meeting. Committee on Housing

Dr. Ramage presented a proposal from the Recreation Sub-Committee of the Citizens Task Force which is studying and making recommendations on the development of the city of Highland Heights. This proposal outlines the possibility of the college loaning some 11 acres of wooded ground to the City of Highland Heights for recreation

purposes. The area is located behind the new Lindner Shopping Mall. Mr. Haas made a motion that the proposal be approved subject to five conditions, four of which Dr. Steely had outlined earlier to the Sub-Committee and the fifth which the Regents felt needed to be added:

Highland Heights Proposal for Recreation Area

(1) that no trees be cut, (2) that the City of Highland Heights obligated itself to keep the place as neat as the college proposes to keep the rest of the campus, (3) that the college could, with reasonable notice, reclaim the area if college development in the best interest of the institution warranted it, (4) that the Board of Regents approve, and (5) that the actual development of the area be subject to the approval of the college and the Board of Regents be kept advised on what is going on in this development.

The motion was seconded by Mr. Eith and was passed unanimously.

There being no further business, a motion was made by Mr. Haas, seconded by Mr. Mann, that the meeting be adjourned. The meeting adjourned at 10:20 p. m.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting on July 10, 1974, and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 17 day of July, 1974.

AGENDA
BOARD OF REGENTS MEETING
Tuesday, October 15, 1974 - 8 p.m.
Northern Kentucky State College
Board Room - Nunn Hall

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME

OATH - To Newly Elected Faculty Regent, Dr. Frank Stallings

MINUTES of July 10, 1974, Meeting - Minutes mailed in July

ACADEMIC AFFAIRS - Dr. Ralph Tesseneer

1. New Faculty Appointments
    a. Mr. Gary Casson - Director of Computer Services and Instructor in Computer Sciences - Masters from U.C. 15,000 12 mos
    b. Ms. Carol Boal - Instructor in Nursing - Was a nurse in the Wayne County School System in Ohio 9,000
    c. Ms. Suzanne Selig - Instructor in Sociology Is a candidate for Ph.D. at U.C. 10,500
    d. Dr. William Stoll - Assistant Professor in Psychology From Kentucky Wesleyan College 17,000
    e. Dr. Arthur Miller - Associate Professor in Psychology From University of Illinois 15,750
    f. Mr. Bertram Berman - Lecturer in Radio/Television Was Director of Daytime Programming at CBS and also Director of Night time Programming at CBS 17,000
    g. Ms. Sharlotte Williams - Instructor In Anthropology Is a candidate for Ph.D. at University of North Carolina 11,000
2. Fall Enrollment
    a. Undergraduate b. Graduate c. Law School
3. General Comments

ADMINISTRATIVE AFFAIRS - Mr. John DeMarcus

1. Financial Report
2. Building Progress
3. Department of Public Safety
    a. New Appointment - Director of Public Safety
    b. Resolution on Department of Public Safety
4. General Comments

STUDENT AFFAIRS - Dr. James Claypool

PUBLIC RELATIONS AND DEVELOPMENT - Ms. Sherrianne Standley

OTHER BUSINESS

1. Amendments for Faculty Senate Constitution - Dr.Frank Stallings
2. Highland Heights Park - Dr. James Ramage
3.

ADJOURNMENT

BOARD OF REGENTS MINUTES
NORTHERN KENTUCKY STATE COLLEGE
Tuesday, October 15, 1974

The regular quarterly meeting of the Board of Regents of Northern Kentucky State College was called to order by Chairman Kenneth Lucas at 8:10 p. m. on Tuesday, October 15, 1974, in the Board Room of Nunn Hall. Chairman Lucas welcomed those present. The invocation was given by Dr. James Ramage.

Roll Call: Present - Mr. Elmer Haas, Mr. Joseph Kohler, Mr. Kenneth Lucas, Mr. Henry Mann, Mrs. Lee Mills, Mr. Warren Shonert, Dr. Frank Stallings, Mr. Gary Eith, Dr. Frank Steely, and Counsel John Brooking.
Absent - Mr. Gordon Martin, Dr. Edgar Wippermann
Others Present - Dr. Ralph Tesseneer, Mr. John DeMarcus, Dr. James Claypool, Mrs. Sherrianne Standley, Dr. James Ramage, Dr. Joseph Price, Dean Jack Grosse, Mr. William Smith, Mr. Jack Hicks of the Kentucky Enquirer, Mrs. Frank Stallings, and Mrs. Dolores Thelen.

The Oath was administered to the new Faculty Regent, Dr. Frank Stallings, by Chairman Lucas.

ACADEMIC AFFAIRS

Faculty Appointments

Dr. Tesseneer recommended the appointment of the following new faculty members:
Mr. Gary Casson as Director of Computer Services and Instructor in Computer Sciences at $15,000 for 12 months
Ms. Carol Boal as Instructor in Nursing at $9,000 for academic year
Ms. Suzanne Selig as Instructor in Sociology at $10,500 for academic year
Dr. William Stoll as Assistant Professor of Psychology at $12,000 for academic year
Dr. Arthur Miller as Associate Professor of Psychology at $15,750 for academic year
Mr. Bertram Berman as Lecturer in Radio/Television at $12,000 for academic year
Ms. Sharlotte Williams as Instructor in Anthropology at $11,000 for academic year

Mr. Haas moved that these appointments be approved upon the recommendation of Dr. Tesseneer. The motion was seconded by Mr. Kohler and was passed unanimously.

Fall Enrollment

Dr. Tesseneer reported that our fall enrollment for undergraduate and law students was 4,883 (125 over last year). The FTE enrollment shows an increase of 4% over last year. 538 are enrolled in associate degree programs, 3,754 in baccalaureate programs, and 591 at the law school (law school last year was 549). There are 212 enrolled in graduate consortium classes and 250 are enrolled in non-credit, continuing education courses - neither of these figures can be counted in our official enrollment figures reported to the Council on Public Higher Education. When asked about the educational value of non-credit courses, Dr. Steely said that he felt this was an extension of our credit courses. Some 90% of the persons taking these courses have no other connections with our campus and in this respect we are performing a service to the community. Next semester, the non-credit courses are going to be published with our regular credit courses and it is hoped that some people may be enticed to take credit courses. The instructional costs of these programs are covered by the enrollment fee, and the college furnishes the facilities.

Dr. Tesseneer further reported that three new majors have been added to the curriculum - metal technology, public administration, and physics. The Tenure Committee, of which Dean Grosse is chairman, is at work and will have something concrete to bring to the Regents possibly at their next meeting. Dean Grosse outlined some of the items which the committee is considering. He further stressed that the committee is not working in secret, but have asked the Faculty Senate for input and opinions on what they have worked on up to this time.

General Academic Comments

ADMINISTRATIVE AFFAIRS

A financial report for the past quarter (July 1-September 1, 1974) was distributed to each Regent for their information.

Financial Report

Mr. DeMarcus reported on building progress: He stressed that the projections he would gave them were based on the best estimate that could be made at this time. The tennis courts are now under construction and should be completed November 1. The resurfacing of the large gravel parking lot for students will begin on October 20 and should be completed by November 20. The electrical substation is under construction - to be completed in about six months. The central power plant is under construction - should be completed by November, 1975. The academic classroom building is in final design stage and ground breaking is scheduled for January, 1975. The fine arts building final design should be completed in about a month with ground breaking in December, 1974. The maintenance building design to be completed in December, with ground breaking in January or February and completion in the summer or fall of 1975. The projected completion date for the library is November 1, 1975. Programming meetings are being held for the student center. The architects will hopefully be able to begin design in January, completing it around April, 1975, and ground breaking in June, 1975.

Building Progress

Mr. DeMarcus submitted the following names of persons for administrative positions and recommended their approval:

Mr. V. Ronald Simpson as Director of Personnel at $15,000 for fiscal year.
Mr. William L. Woods as Director of Accounts at $15,000 for fiscal year.
Mr. Stephen C. Brauch as Director of Financial Aid at $14,700 for fiscal year - Mr. Brauch has been Acting Director for several months.
Mr. John Gallagher as Bursar at $14,700 for fiscal year - Mr. Gallagher has been with the college several years as Director of Accounts.

Administrative Appointments

Motion to approve these appointments as recommended by Mr. DeMarcus was made by Mr. Kohler, was seconded by Mr. Mann, and was passed unanimously.

Mr. Brooking presented the following resolutions for the Regents to consider:

Resolution No. 1-S, 1974 - A Resolution Creating and Establishing a Safety and Security Department at Northern Kentucky State College. After discussion, the resolution was adopted on motion by Mr. Kohler. The motion was seconded by Dr. Stallings and was passed unanimously. A copy of Resolution 1-S is attached to these minutes in its final form as adopted.

Public Safety Department Resolutions

Resolution No. 2-S, 1974 - A Resolution Appointing a Safety and Security Department, Its Members and Their Compensation. The resolution was adopted on motion made by Mr. Mann, was seconded by Mrs. Mills and was passed unanimously. A copy of Resolution 2-S is attached to these minutes in its final form as adopted.

At this point, Mr. Kohler asked if the Regents could have more time to look over papers when some of these important issues are brought up for discussion. It was explained that some of the administrative appointments were consummated in the past few days, but that consideration will be given to this request. Mr. Mann questioned whether the dates of the Regents meetings could be set further ahead than just a week before the meeting. After discussion, it was the consensus that the day of the quarterly meeting would remain flexible, but that they would be set far enough ahead to give Regents time to work out their schedules. Dates of Quarterly Meetings

STUDENT AFFAIRS

Dr. Claypool reported that Student Government elections for the fall were over. There was substantial student participation. 24% of the student body voted which is good participation for off-year elections (that is, when officers of Student Government are not elected). He reported that the Student Affairs offices are servicing some 5,400 students which is a substantial increase over what has been done previously. There is a vigorous intramural program. Regents Hall is being used to capacity. Dr. Claypool estimates about 1/3 of the student body is involved in intramural activity. He further reported that there is a great spurt in women's athletics - we now have a basketball team and volleyball team. Both teams have vigorous schedules and some of the games will be played before our men's basketball games. The Student Affairs office has carried on an extensive concert program with large participation. Students also are getting an opportunity for a great deal of input into the planning of the student center. General Student Affairs Comments

Dr. Claypool and Dr. Tesseneer are in the process of securing a director for psychological services. This person will handle the testing program as well as counseling the students. A name will be brought to the Regents when the search has been completed. Director of Psychological Services

PUBLIC RELATIONS

Mrs. Standley thanked everyone for support of the science building open house. She reported that her office did a survey of special events which her office books. It was found that some 56,550 persons used our facilities, not including the recent open house, since January, 1974. Use of facilities

OTHER BUSINESS

Dr. Stallings presented the following amendments to the Faculty Constitution and recommended their approval:

In Article V, A, 1, add the following after the first sentence: "The Faculty Regent shall also be an ex officio member; the Regent shall not have a vote unless the Regent happens to be an elected member of the Senate in which case he or she will have the right to vote." Mr. Kohler moved approval of this amendment as recommended by Dr. Stallings. The motion, seconded by Mr. Eith, was passed unanimously. Faculty Constitution Amendments

In Article XII, add the following after the first sentence: "The approved amendment becomes operative upon ratification by the Board of Regents." Mr. Kohler moved, Mr. Eith seconded, a motion for approval of the amendment as recommended by Dr. Stallings. The motion was passed unanimously.

A report was given by Dr. Ramage on the progress of the Highland Heights Park to be on our property. He read the following from their newsletter: "At a recent Board of Regents meeting at Northern Kentucky State, Dr. Jim Ramage requested on behalf of the City of Highland Heights, the use of about 11 acres of College property for recreational purposes. The Board of Regents voted unanimously in favor of this action, pending approval from down state. We want to say a great, big THANK YOU to the College Board of Regents." Mr. Brooking informed the City Council that a survey was needed and this will be accomplished shortly so that necessary approval can be received from the State Division of Properties. Dr. Thieret and his ~~Biology Department~~ Natural Biological Resources will take on the responsibility of marking the trees that are to stay and when all necessary tasks are completed, it is hoped that the area can be cleared in the spring.

Highland Heights Park

Mr. Brooking pointed out that the Regents, in adopting their By-Laws, have agreed to the indemnification of the regents, administration and faculty for any acts or actions taken while on official business of the college. He reported that he has checked with a number of insurance companies on the possibility of getting liability insurance to cover this. It would cover the group mentioned above in all areas except when they are driving a state-owned automobile. The only company that would bid on such coverage was the Fireman's Fund, who quoted $1750 per year.

Liability Insurance

based on Mr. Brooking's recommendation
Mr. Haas moved that/the Board of Regents insure, after competitive bidding, themselves, administrators, and faculty on the business of the college in order to fund our indemnification by-law, subject to the approval of the Kentucky Department of Insurance. The motion was seconded by Mr. Mann and was passed unanimously.

Mr. Gordon Martin is chairing a Northern Kentucky Chamber committee, on which Dr. Steely is a member, to look into the Interstate Highway system in Northern Kentucky. The hope is that maybe this committee can have some affect on speeding up the program.

Interstate Highways

Mr. Eith presented the following amendment to the Student Government Constitution, which he recommended the Regents approve:

> Change dates of Student Government elections in Article VII, Section 3, a & b, as follows:
>
> "Student Government elections shall be held on the second Wednesday and the immediate following Thursday in April for the Spring elections; and the first Wednesday and the immediate following Thursday in October for the Fall elections."

Student Government Constitution Amendment

Mr. Eith reported that this was passed unanimously by the representative assembly, and by a vote of 689 yes and 67 no in the Fall Student Government elections. Dr. Claypool also recommends this amendment. Mr. Kohler moved that, upon recommendation of Dr. Claypool and Mr. Eith, the amendment be approved. The motion, seconded by Mr. Haas, was passed unanimously.

Dr. Steely reported that Dr. Tesseneer, Mr. DeMarcus, Dr. Waggoner, Dr. Melnick, Mrs. Standley and he attended a meeting of the Council on Public Higher Education today in Frankfort, at which our graduate program was discussed. The response of the Council to our request to do the graduate program unilaterally was very well received, and we were assured that there will be a special meeting held before next semester when it will be brought up for approval. We will then have time to go with our own program in January.

Graduate Program

A report from the Office of Research and Institutional Studies was presented to the Regents for their information.

It was reported that Mr. Martin had been talking to a number of builders but the committee has not been able to come up with anything concrete. Builders have been reluctant to make a proposal, but the committee is trying to get something substantial to present to the Regents.

The meeting was adjourned at 10 p.m. on motion by Mr. Haas and second by Mr. Eith.

Elmer J. Haas, Jr., Secretary

Dolores Thelen

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting on October 15, 1974, and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 21 day of October, 1974.

AGENDA
BOARD OF REGENTS MEETING
Tuesday, January 7, 1975 - 8 p.m.
Northern Kentucky State College
Board Room - Nunn Hall

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME

ADMINISTRATION OF OATH to new Regent - Mr. James Poston

REGENT EMERITUS - Mr. Joseph Kohler

MINUTES of October 15, 1974 Meeting - Mailed in October

ADMINISTRATIVE AFFAIRS - Mr. John DeMarcus
1. Financial Report
2. Building Progress
3. General Comments

STUDENT AFFAIRS - Dr. James Claypool

PUBLIC RELATIONS AND DEVELOPMENT - Mrs. Sherrianne Standley

ACADEMIC AFFAIRS - Dr. Ralph Tesseneer
1. New Faculty Appointments
   a. Dr. George Rogers, Assistant Professor of Psychology and Director of Psychological Services From Cave Run Comprehensive Care Center, Morehead
   b. Dr. Janet A. Miller - Assistant Professor of Education From University of Cincinnati
   c. Dr. Constance Carroll - Assistant Professor of Education and Psychology - From Edgecliff College
2. Position Paper
3. Tenure Policy
4. Spring Enrollment

OTHER BUSINESS
1.
2.

ADJOURNMENT

MEETING OF BOARD OF REGENTS MEETING
January 7, 1975

The regular quarterly meeting of the Board of Regents of Northern Kentucky State College was called to order by Chairman Lucas at 8 p.m., January 7, 1975, in the Board Room of Nunn Hall. The invocation was given by Dr. Ramage. Chairman Lucas welcomed all present.

Roll Call - Regents present: Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Mrs. Lee Mills, Mr. James Poston, Mr. Warren Shonert, Dr. Edgar Wippermann, Dr. Frank Stallings, Mr. Gary Eith. Also present - Dr. Frank Steely.

Others present: Counsel John Brooking, Emeritus Regent Charles Wiley, Dr. Ralph Tesseneer, Mr. John DeMarcus, Dr. James Claypool, Mrs. Sherrianne Standley, Dr. James Ramage, Dr. Joseph Price, Dean Jack Grosse, Mr. Martin Huelsmann, Mrs. Dolores Thelen; Faculty Counsel - Mr. Philip Taliaferro; Mr. Tom Chaney - Kentucky Post; Mr. Jack Hicks - Kentucky Enquirer; Mr. Richard Wilson - Courier-Journal; Mr. Dave Jones - The Northerner; Faculty members - Dr. Warren Corbin, Dr. Richard Couto, Miss Jean Koehler, Dr. Kosmo Tatalias, Miss Chris Tetzlaff, Dr. Jeffrey Williams; Mr. Greg Kilburn;and Mr. Brent Neisel of George Washington University.

The Oath was administered to Mr. James R. Poston by the chairman.

Mr. Joseph Kohler was appointed Regent Emeritus on motion made by Mr. Haas and seconded by Dr. Stallings. It was observed by the chairman that Mr. Kohler made an outstanding contribution to this college and will be remembered for years to come by his sincerity and eagerness to serve this institution, its faculty, and its students. The motion was passed unanimously.

Kohler - Regent Emeritus

The minutes of the October 15, 1974 meeting were presented for approval. Two corrections were made: on page 2, line 2, first word should "Medical", and on page 2, second paragraph, should read: "A financial report for the past quarter (July 1-September 30) was distributed". The minutes were approved as corrected by motion made by Mr. Haas. The motion, seconded by Dr. Wippermann, was passed unanimously.

Minutes and Corrections

ADMINISTRATIVE AFFAIRS:

Each Regent received a financial report for the period of July 1 - December 31, 1974. Mr. DeMarcus stated that the revenue is about what was expected, if not better.

Building Progress - Library is a little ahead of schedule and should be finished in July. Fine Arts Building has been bid - ground breaking will be held in 30 to 45 days. Maintenance building design is being reworked because it was to be of metal construction. After experience with metal buildings during the recent tornado, the State wants this building to be constructed of concrete. The concrete building design is to be finished within the next week. The academic building will be re-bid as the first bidding was too high and, hopefully, ground breaking can be held in 30 to 60 days. Central power plant is on schedule. Vocational school planning is on schedule with an access road being planned. Tennis courts are complete except for a top coat to be applied in the spring. Intramural field will be completed by next summer as will the parking lots.

Building Progress

STUDENT AFFAIRS:

Dr. Claypool pointed out that the Collage each Regent received is a joint effort by the Humanities Department and Student Activity Fee. Each Regent was presented with a personalized copy of the Polaris (yearbook) which also was financed by the Student Activity Fee, which each full-time student is assessed. Dr. Claypool complimented the student staff of the Polaris for an outstanding job and a yearbook of which the college can be proud. Student Affairs

ACADEMIC AFFAIRS:

Spring enrollment is still in process. Dr. Tesseneer report that, as of January 7, 1975, undergraduate enrollment is 3,831 as compared to 3,557 this time last year which is an increase of approximately 10%. Chase enrollment will be 500 which will also be counted in the official report. Other enrollments which cannot be counted are 140 graduate students and 151 non-credit course enrollments. The total number of persons this institution will be serving this spring will be approximately 4,631. Dr. Tesseneer feels the 10% increase is rather significant. Spring Enrollment

Dr. Tesseneer recommended the appointment of the following new faculty members:

Dr. George Rogers as Assistant Professor of Psychology and Director of Psychological Service at an annual salary of $16,000.

Dr. Janet A. Miller as Assistant Professor of Education at a salary of $13,000 for the academic year .

Dr. Constance Carroll as Assistant Professor of Education and Psychology at a salary of $13,000 for the academic year.

Faculty Appointments

Mr. Martin moved that the above be appointed to the faculty upon the recommendation of Dr. Tesseneer. The motion, seconded by Mrs. Mills, was passed unanimously.

The Position Paper, which all Regents received, was explained by Dr. Tesseneer as being largely from the Faculty Handbook. The two introductory paragraphs and the paragraph on Teaching Faculty is not in the handbook. Mr. Shonert moved that, inasmuch as the faculty had not had the opportunity to see the paragraph on Teaching Faculty, it be given to the faculty for in-put and brought back to the Regents at a later date. The motion was withdrawn. Position Paper

Chairman Lucas announced that he wished to take the following action: Inasmuch as there seems to be a difference in positions on the Tenure Policy and inasmuch as the Regents have to make the final decision, he will appoint a committee of Regents to hear any and all positions from concerned parties about the tenure policy and he asked Counsel Brooking to set up the guidelines governing these hearings. He also wants the committee to consider, along with the tenure policy, the paragraph in the position paper on Teaching Faculty. After the committee has heard from all concerned, he would ask them to study fully all areas and return a recommendation for the Regents to consider, hopefully no later than the end of February. Tenure Committee appointed

Chairman Lucas appointed the following to the Tenure Committee: Mr. Henry Mann, Mrs. Lee Mills, Mr. Elmer Haas, and Mr. Lucas.

Counsel Brooking said he would expect orderly hearings with the Faculty Counsel presenting all areas of concern of the faculty, the Tenure Committee, chaired by Dean Grosse, to present their position, and Dr. Tesseneer and others presenting the position of the administration. He would expect that all persons being interviewed to present their positions in an orderly and prepared manner.

A special meeting with only the purpose of hearing the Regents' Tenure Committee's recommendation will be called when the committee has completed its work.

There being no further business, the meeting was adjourned on motion by Mr. Haas and seconded by Mr. Poston. The meeting was adjourned at 8:40 p.m.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting on January 7, 1975, and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 13th day of January, 1975.

AGENDA
BOARD OF REGENTS MEETING
Monday, April 21, 1975
8:00 p.m.
Northern Kentucky State College
Board Room - Nunn Hall

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME

MINUTES of January 7, 1975 Meeting - Mailed in January

ADMINISTRATIVE AFFAIRS - Mr. DeMarcus
1975-76 Budget

STUDENT AFFAIRS - Dr. Claypool

COMMENCEMENT - Dr. Ramage
First Master's Degrees Awarded

PUBLIC RELATIONS - Mrs. Standley
Placement Position

ACADEMIC AFFAIRS
Tenure and Promotion - Regents Tenure Committee
New Faculty Appointments - Dr. Price
Dr. Thomas J. Kearns as Assistant Professor of Math
From the University of Delaware
Ms. Caryl A. Yzenbaard as Assistant Professor of Law
From private practice in Cincinnati
Mr. Edward H. Ziegler, Jr. as Assistant Professor of Law
From George Washington University, Washington, D.C.
Recommendations for Tenure and Promotion - Dr. Price

OTHER BUSINESS

ADJOURNMENT

MINUTES OF THE BOARD OF REGENTS MEETING
Monday, April 21, 1975

The regular quarterly meeting of the Board of Regents of Northern Kentucky State College was called to order by Chairman Lucas at 8:05 p.m., April 21, 1975, in the Board Room of Nunn Hall. The invocation was given by Dr. Ramage. Chairman Lucas welcomed all present.

Roll Call :

Regents present: Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Mrs. Lee Mills, Mr. James Poston, Mr. Warren Shonert, Dr. Edgar Wippermann, Dr. Frank Stallings, Mr. Gary Eith. Also present- President Frank Steely.

Others present: Counsel John Brooking, Emeritus Regent Charles Wiley, Student Counsel Philip Taliaferro, Mr. John DeMarcus, Dr. James Claypool, Mrs. Sherri Standley, Dr. James Ramage, Dr. Joseph Price, Dean Jack Grosse, Mr. Martin Huelsmann, Mr. Frederick Schneider, Dr. Bill Parsons, Dr. Roger Meade, Dr. Richard Couto, Dr. Al Pinelo, Dr. Leon Sarakatsannis,Dr. Robert Wallace, Dr. Jeffrey Williams, Mr. Jack Hicks of the Kentucky Enquirer, Mr. Tom Chaney of the Kentucky Post, Mr. Richard Wilson of the Courier-Journal, Mr. Tim Funk of the Northerner, Student David Hardin and Mrs. Dolores Thelen.

Application for FCC License

Dr. Parsons was recognized by the chairman before any other business as he had a class in session. Dr. Parsons explained that all paper work was completed with regards to applying for an FCC License to operate a 1.4 kilowatt FM station in the new Fine Arts Building. All that was left to be done was a resolution approving such action by the Board of Regents. Assuming that approval is given, the application needs to be sent in immediately to meet a deadline. Dr. Parsons estimated that it will cost approximately $54,300 to construct such a station and $60,000 to operate the first year. An application for construction grant has been sent to HEW in which $43,783 is sought. Operating costs will come from general fund - a number of such items are in the budget, such as Dr. Parsons' salary, who also will be station manager. Dr. Parsons estimated it will take at least a year to get such approval from the FCC. The operating costs are not in the 75-76 budget, but it is felt none will be needed until the next fiscal year. It is anticipated that the station will be in operation from 12 noon to 12 midnight and it will be a teaching tool for the communications department as well as an NKSC voice in the community. Morehead University has a 50,000 watt station and University of Cincinnati and Xavier have such stations.

Mr. Haas moved that the Regents approve an application for an FCC License for a 1.4 kilowatt FM station for the college. The motion, seconded by Mr. Shonert, was passed unanimously. Mr. John DeMarcus, Vice President for Administrative Affairs, will sign the application for the college.

Minutes of 1/7/75 approved

The minutes of the January 7, 1975 meeting were approved unanimously upon motion by Mr. Haas and seconded by Mr. Martin with the following correction - under "others present" the correct name is Brent Neiser from George Washington University.

The 1975-76 Recommended Budget was discussed by Mr. DeMarcus. He pointed out that budget figures are the best estimate that could be given at this time. Because the college is still in an expanding stage, it is difficult to project certain line items. This present year's figures are

(continued)

more nearly the actual costs and any real difference is because we had no history to back us up in year's past. Most of our estimated revenues have been met this year and in some cases, exceeded estimates. Most expenditures have been close, except for utilities. Because of revisions by the Department of Finance, some things are included in next year's budget that were not in last year's. There are a number of changes in the accounting procedure which will take effect July 1, 1975, as requested by the Finance Department. Mr. DeMarcus further stated that he had sent a lengthy memorandum to the faculty about the budget and invited any faculty member to meet with him if they had questions on the budget. He did not meet with the faculty as a whole as he felt this was too large a group to adequately discuss such an involved issue. To date, no faculty member has approached him with questions on the budget. Southern Association of Colleges and Schools, on their visitation, commented that we had opened the budget in the past to such large groups that we would find this could lead to a great deal of misunderstanding.

The additional revenue for parking was questioned. Mr. DeMarcus said that the Public Safety Committee had discussed this thoroughly and felt that $15 for students and $25 for faculty parking was not an exhorbitant fee. The present fee of $1 does not even cover the cost of printing stickers. Mr. Huelsmann, who serves on the Public Safety Committee, stated that the committee looked into costs charged by other local colleges and felt the proposed fee was still lower than any of the other colleges. Mr. Eith asked if the budget is approved as presented, are the Regents therefore approving the raise in parking fees as well as other items that have been raised. Mr. Lucas and Mr. DeMarcus both agreed that this was true.

Mr. Shonert asked about the legal staff and it was explained that the staff remained the same as last year. Last year's budget figure was incorrect as the Regents had left the figure at $11,000 last year, but was not reflected in the figures. It was also questioned why the library books' budget remained the same as last year in view of the fact that we are building a new library. Mr. DeMarcus explained that we have a large amount of books in storage that we have purchased over the last two years. Dr. Steely also pointed out that the percentage of our budget which was allotted for library books was higher than any other institution in Kentucky. Dr. Steely further explained that the balance of allocated monies left after the usual 5.5 increase in personnel and services in addition to the computer and a raise in utilities, went to academic areas.

1975-76 Budget Adopted

Mrs. Mills moved that the recommended budget be adopted as present. The motion was seconded by Mr. Haas and was passed unanimously.

Mr. DeMarcus reported that there would be a ground breaking for the new academic building very soon. Completion of the building is projected for Aug. 1976. The Student Center is under design to be completed by September. Projection date for construction completion for the Student Center is Spring, 1977.

STUDENT AFFAIRS:

Dr. Claypool reported that we will have ten women on full athletic scholarship in the fall - this is more than any other institution in the state. He commented that adding the new director of psychological services and testing, which the Regents approved at the last meeting, added another dimension and new service to the students. The tennis courts will be ready this month. He further reported that the tennis courts, which will be opened this month, will be open to the community people after the scheduling for faculty, staff and students is completed.

COMMENCEMENT:

Dr. Ramage, a member of the Commencement Committee consisting of students, faculty and staff, reported that Commencement will be held at 3 p.m. on May 11 with Eric Sevareid as main speaker. Northern will award its first master's degrees with 7 persons receiving a degree of Master's in Education. This will be the largest graduating class in Northern's history with 645 persons - 7 Master's, 119 Juris Doctors, 386 Baccalaureate, and 133 Associate Degrees. The regents and spouses are invited to lunch at the Reception Center preceding graduation and if schedule permits, Mr. Severaid will join them. Dr. A. D. Albright, Executive Director of the Council on Public Higher Education, will be present to hood the master's graduates. If any of the regents cannot be at the luncheon, they are asked to be at the board room before 2 p.m. for robing.

PUBLIC RELATIONS:

Mrs. Standley reported that Mrs. Martha Malloy, director of career services center, had resigned. She said that several persons had been interviewed and that she was presenting and recommending Mr. John Osmanski as the new director at a salary of $15,000. Dr. Steely commented that Mr. Osmanski was a very knowledgable person and had a number of connections in the business community and felt he would be an asset to the college. Mr. Eith moved that, upon the recommendation of Mrs. Standley, Mr. Osmanski be employed as Director of Career Services Center at a salary of $15,000 per year. The motion, seconded by Mr. Martin, was passed unanimously.

Approval of Director of Career Service Center

ACADEMIC MATTERS:

Chairman Lucas reported that the Regents' Tenure Committee met for many, many hours to come up with a suitable recommendation for the Regents. He thanked the sub-committee which consisted of Mrs. Mills, Mr. Mann and Mr. Haas as well as himself. He explained that a lot of time and effort went into the proposal and they gave due course to hearing all sides and considered what they felt was meaningful. Mr. Lucas read the Tenure Policy as well as a Policy Statement in its entirety. After a lengthy discussion and several amendments, Mr. Haas moved to adopt the sub-committee's report as amended and the administration is hereby authorized and directed to incorporate same into the college operation. The motion was seconded by Mr. Mann. Attached to these minutes are the amended Tenure Policy and Policy Statement.

Tenure Policy and Policy Statement

In the terminology of the tenure proposals the Regents made plain that department chairmen are to be considered administrators. After further discussion, it was pointed out by Mr. Brooking that this tenure policy does not affect Chase faculty because in the Merger Agreement with Chase, the Regents did accept their tenure policy for that group and it could not be changed.

The vote to accept the recommendations of the sub-committee was as follows:

Aye - Mr. Haas, Mr. Mann, Mr. Martin, Mrs. Mills, Mr. Poston, Dr. Wippermann, Dr. Stallings, mr + eth

Nay - Mr. Shonert

Vote to accept Sub-Committee Report

Mr. Shonert said he would have voted "aye" for the committee's Statement on Tenure because it appears that most of the faculty present indicate they can live with it; however, he further stated he votes "nay" on the Policy Statement as he does not agree with and believes the faculty does not agree with it and he further feels that it may conflict with the statutes.

Mr. Lucas said that the committee had learned some valuable things. Although there were groups who had genuine concern and were very sincere, there were other people who are less than sincere and take advantage of the time to create turmoil. The administration is encouraged to ferret out those few individuals, whether they be faculty or administrative personnel, who are troublemakers, with no legitimate purpose in mind but to cause trouble and terminate their employment with the college, for cause. He said the committee had utmost confidence in the administration and while some do not feel they have been completely democratic, there are times that actions need to be autocratic for the good of the institution. Now was the time to get back to business as usual, and advised and faculty to go through proper channels. He further asked that all get on with the business of educating students, which is the primary purpose of the college.

Dr. Price presented new faculty appointments as recommended to the Regents from the office of Vice President for Academic Affairs:

New Faculty Appointments

Dr. Thomas J. Kearns as Assistant Professor of Mathematics at a salary of $14,000 for the academic year

Ms. Caryl A. Yzenbaard as Assistant Professor of Law at a salary of $18,000 for the academic year

Mr. Edward H. Ziegler, Jr. as Assistant Professor of Law at a salary of $18,000 for the academic year

Motion was made by Mr. Haas, seconded by Mr. Mann, that the recommendation of the academic affairs office made by Dr. Price be adopted. The motion passed unanimously.

Dr. Price further recommended that the following be approved for tenure and promotion:

For Tenure:

Kenneth Carter	Arthur Miller	Mary Ellen Ryan
Annette Chavez	Robert Mullen	James Satterfield
Edward Goggin	Stephen Newman	Vincent Schulte
Martin Hils	Thomas Nieman	Joe Smith
Michael Hur	Dennis O'Keefe	Howard Storm
William McKim	William Oliver	Noel Sullivan
Mike McPherson	Lowell Osborne	Rosella Zeiser
Roger Meade	Darryl Poole	

For Promotion:

Roger Billings	to Assistant Professor	Lowell Osborne	to Associate Professor
Stephen Boyd	Associate Professor	Earl Pfeifer	Assistant Professor
Frank Butler	Professor	Al Pinelo	Associate Professor
Kenneth Carter	Professor	James Ramage	Associate Professor
Annette Chavez	Professor	Thomas Rambo	Associate Professor
Warren Corbin	Associate Professor	Mary Ellen Ryan	Assistant Professor
Patricia Dolan	Assistant Professor	James Satterfield	Associate Professor
Edward Goggin	Professor	Dixiana Smith	Professor
David Jones	Assistant Professor	Howard Storm	Assistant Professor
Vinay Kumar	Associate Professor	Lois Sutherland	Assistant Professor
William McKim	Associate Professor	Noel Sullivan	Professor
Arthur Miller	Professor	Rita Tobler	Assistant Professor
Robert Mullen	Associate Professor	Sharlotte Williams	Assistant Professor
William Oliver	Associate Professor		

A motion was made by Mrs. Mills and seconded by Mr. Poston that the recommendations of the academic affairs be approved as presented.

It was reported that, with the preceding list, there would be about 42% of our faculty tenured. During discussion, it was pointed out that the preceding promotions and tenure came about by recommendation from the department and chairman, to the academic vice president, to the president.

Mr. Eith asked if he could present another name for consideration for tenure. When told that he could not if it had not been put through the proper channels as outlined in the faculty handbook, Mr. Shonert moved that the motion regarding tenure and promotion be tabled. The second to table the motion was made by Mr. Eith. The vote was as follows:

Aye - Mr. Shonert, Mr. Eith
Nay - Mr. Haas, Mr. Mann, Mr. Martin, Mrs. Mills, Mr. Poston, Dr. Wippermann

Dr. Williams asked if there are people who are eligible, will the Board consider recommendations prior to April, 1976. He was informed that the Board would do so if they proceed through proper channels.

The final vote on Promotions and Tenures as listed on page 4 was as follows:

Aye - Mr. Haas, Mr. Mann, Mr. Martin, Mrs. Mills, Mr. Poston, Dr. Wippermann, Dr. Stallings, Mr. Shonert. Mr. Eith abstained.

Correspondence was received by the Regents from two faculty members regarding their own tenure. Mr. Haas moved that the assistant secretary, Mrs. Thelen, communicate to these persons that their correspondence was received, and that they be advised to follow the channels as outlined in the faculty handbook, and if such recommendation is made to the Board of Regents, the board will consider this at their next meeting. The motion, seconded by Mr. Shonert, was passed unanimously.

Mr. Shonert moved that all regents be notified of any meeting along with the agenda and exhibits relating to the agenda by certified mail. The motion, seconded by Mr. Eith, was passed unanimously.

Mr. Shonert reported that he is on a sub-committee of the Northern Kentucky Area Development District which now has facilities in Florence. He proposed that it would be good public relations to offer this group one of our houses on the campus that will not be needed as future buildings are completed. He felt it would build good community relationships.

Chairman Lucas appointed Mr. Shonert and Mr. Mann, who is also a member of a committee of the Area Development District, to a sub-committee of the regents to explore the possibilities outlined by Mr. Shonert. He asked them first to meet with the administration to determine if such housing is available.

Mr. Brooking presented three bids for indemnification insurance to cover regents, faculty and staff as outlined in the statutes and incorporated with the Regents' By-Laws. The bids were for $300,000 bodily liability and $25,000 property damage, as well as supplemental coverage for independent contractors and blanket contractural. The bids were as follows:

Fireman's Fund	$1,606
Ohio Farmers	1,608
Continental Casualty	1,285
Supplemental Coverage	855

(continued)

Mr. Haas moved, Mr. Martin seconded a motion that upon the advise of counsel, the bids of Continental Casualty plus supplemental coverage at a cost of $2,140 per year for coverage of regents, administration and faculty under our indemnification by-law be accepted, subject to State approval, if necessary. The motion passed unanimously.

The motion to adjourn was made by Mr. Eith and seconded by Mr. Haas.

The meeting adjourned at 11:30 p. m.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Secretary

CERTIFICATION

I, Elmer Jaas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting on April 21, 1975 and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 28th day of April, 1975.

AGENDA
BOARD OF REGENTS MEETING
Tuesday, July 29, 1975 - 8:00 p.m.
Northern Kentucky State College
Board Room - Nunn Hall

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME

MINUTES of April 21, 1975 Meeting - Minutes mailed in April

RE-SELECTION OF Officers of Board of Regents

PRESIDENT'S ANNUAL REPORT - Dr. Steely (previously mailed)

STUDENT AFFAIRS - Dr. Claypool

ADMINISTRATIVE AFFAIRS - Mr. DeMarcus
Report on Indemnification Insurance

PUBLIC RELATIONS - Mrs. Standley

ACADEMIC AFFAIRS - Dr. Tesseneer

1. New Faculty Appointments - see attached list
2. Summer Enrollment
3. Chase Law School Tuition
4. Legal Counsel's Report

OTHER BUSINESS

1. Report on loan of property to Highland Heights for the development of a park

ADJOURNMENT

MINUTES OF THE BOARD OF REGENTS MEETING
Tuesday, July 29, 1975

The regular quarterly meeting of the Board of Regents of Northern Kentucky State College was called to order at 8:05 p.m. by Chairman Lucas in the Board Room of the Highland Heights Campus. The invocation was given by Dr. Ramage.

Roll Call:

Regents present: Mr. Elmer Haas, Mr. Kenneth Lucas, Mrs. William Mills, Mr. James Poston, Mr. Warren Shonert, Dr. Edgar Wippermann, Dr. Frank Stallings, and President Frank Steely.

Regents absent: Mr. Henry Mann, Mr. Gordon Martin and Mr. Gary Eith (all had prior commitments).

Others present: Counsel John Brooking, Dr. Ralph Tesseneer, Mr. John DeMarcus, Dr. James Claypool, Mrs. Sherrianne Standley, Dr. James Ramage, Dr. Joseph Price, Mr. Martin Huelsmann, Mr. Frederick Schneider, Mr. Ed Goggin, Student Greg Kilburn, Mr. Frank Ashley of the Courier-Journal, Mr. Jack Hicks of the Kentucky Enquirer, Mr. Louis DeFalaise of Adams Brooking Stepner & Mitchell law firm, and Mrs. Dolores Thelen.

The minutes of the April 21, 1975 meeting were approved unanimously upon motion by Mr. Haas and seconded by Dr. Stallings with the following correction: Gary Eith notified the assistant secretary that he had voted "aye" on the tenure proposal (last paragraph on page 3). This vote was so noted.

A motion was made by Dr. Wippermann and seconded by Mr. Poston that the present officers of the Board of Regents be elected for another year (July 1, 1975 - June 30, 1976). The officers are Kenneth Lucas, Chairman; Elmer Haas, Secretary-Treasurer; and Dolores Thelen, Assistant Secretary. Officers Re-elected

The President's Annual Report was favorably received by the Regents. Dr. Steely thanked Mrs. Standley and the public relations department for the fine work they did on it and he also credited the academic, student, and administrative areas for their in-put. He further commented that he was very proud of the work of our printing department. President's Annual Report

Student Affairs - Dr. Claypool

Dr. Claypool reported that the tennis courts are now open to students, faculty and staff as well as the community at large. The athletic department received the bid to host the regional girls' basketball tournament. The tournament for Region II will be held in late winter and will include girls' teams from Kentucky, Tennessee, South Carolina, North Carolina and Virginia. The winner of this tournament will represent the region at the national tournament to be held at Ashland, Ohio. The Northern girls' basketball team did represent Region II at last year's national tournament. Dr. Claypool further commented that Northern will be represented at the NCAA national convention to be held in Chicago in August and at the AIAW meeting in Arizona in January. Much discussion at these meetings will center around girls' athletics - Northern can probably be on an equal footing with any school in the nation with regards to girls athletics. Student Affairs

Administrative Affairs - Mr. DeMarcus

Mr. DeMarcus reported on construction as follows: The library is on schedule and should be completed by September 25th. Plans are now being made for the move. Fine Arts building is on schedule - with completion date in August, 1976. The academic building is on schedule and completion should be August or September, 1976. The maintenance building is ahead of schedule and will be completed in December, 1975. The vocational access road construction will begin this week. The intra-mural fields have been delayed, partly due to lack of money and also waiting for adequate fill. It will be some months before the fields are completed. The two parking lots are delayed, awaiting construction of the access road. Second phase of design for the Student Center is completed with ground breaking expected to be around October 1. The latest word is that some of I-275 will open in the fall, but will not be totally completed until 1978. There is no estimated completion date on I-471. The first design phase is completed on the vocational school and the second phase should soon begin.

Construction Progress Report

Dr. Steely reported that all of the institutions in the state have been asked to issue requests for future building. Our request to the Council on Public Higher Education asked for the remaining buildings of our master plan which includes three major buildings - Business and Education building, a Health and Physical Education building, and an administration building. The Council has indicated favorable reception of these requests as well as request for an addition to the Fine Arts building.

Mr. DeMarcus further reported that a new accounting system is being instituted in the state and we now have the new budget format which must be completed by October 1. The state appropriations will be based upon this format.

Counsel Brooking reported that the state insurance department will not approve the college purchasing liability insurance as voted upon at the last Regents' meeting. The Attorney General has agreed with the insurance department that insurance cannot be purchased with state funds unless it is a part of an employee benefit program. The personnel director was able to secure an Educators Professional Liability Policy which covers members of the instructional, supervisory or administrative staff (which includes Regents) at a cost of $1.95 per person with a total cost to the college of $436.80 per year. This policy has been reviewed by the Department of Insurance as well as by the Department of Finance and we have their approval to process this coverage. The limits of liability is for $100,000 each occurrence.

Indemnification Insurance

Mr. Haas moved that we negate the action taken at the April 21, 1975 board meeting regarding indemnification insurance and upon the advice of Counsel, we approve the Educators Professional Liability Policy as it has been set up to be paid for by the college as an employee benefit. The motion, seconded by Mr. Shonert, was passed unanimously.

Mr. DeMarcus distributed a Summary of Revenue and Expenses through June 30, 1975. It was pointed out that the revenues were revised to adjust to the state's new accounting system. The adjustment of the appropriations figure was due to the fact that the state made available during the course of the year certain inflationary money. Some of the differences in anticipated and realized expenses were due to the fact that in some areas there was no history of expenditures and also as some services increased,

Financial Report

additional faculty and staff had to be added. In general, the picture looks good. Dr. Steely commented on employee benefits to the effect that we are one of three state schools (UK and UL included) on TIAA. We inherited this program of the community college in which the college pays 10% of base salary to TIAA and 5% is deducted from the person's salary to be included with the college's share. The other five area colleges are on Kentucky Teachers' Retirement - which calls for the colleges to pay 7-1/2% and 7-1/2% being paid by the individual. A study of insurance and retirement plans in the state is now in progress and there will probably be something coming out of the legislative sessions in the spring.

When questioned about the audit for fiscal year 1974, Mr. DeMarcus reported that the auditors are now working on the books and he anticipated an audit report for the next Regents' meeting.

Public Relations - Mrs. Standley

Mrs. Standley mentioned all the strides being made by the Career Services Department under the direction of John Osmanski, whom the Regents approved at their last meeting. He is contacting by mail over 3,000 businesses in the Northern Kentucky and Greater Cincinnati area as well as personally talking with over 40 such businesses. He has found that some companies are cutting back their policy of on-campus interviews because of the present job market. Public Relations

Mrs. Standley pointed out that the college was represented this past month in the Home Buyer's Guide for Northern Kentucky and the Cincinnati Purchaser's Association, a trade magazine, was doing an article in their next publication. She also said that the Alumni Association held their first general membership meeting and had quite a large attendance.

Academic - Dr. Tesseneer

In addition to the list of proposed new faculty sent to the Regents, Dr. Tesseneer added the following names: New Faculty

Ms. Christine Carton as Instructor in Education (from University of Cincinnati)
Mr. Tony Mazzaro as Instructor in Social Work (from No. Ky. Comprehensive Care Center)
Ms. Margaret Miller as Instructor in Nursing (from private practice)

Dr. Tesseneer recommended the approval of the new faculty presented. The salary range is from $9,000 to $25,000. Mrs. Mills moved that the appointment of the new faculty as recommended by Dr. Tesseneer be approved. The motion, seconded by Dr. Stallings, was passed unanimously.

Dr. Tesseneer reported on summer enrollment as follows: (Intersession and Summer School) Enrollment

	1975	1974	Increase/(Decrease)
Undergraduate	1096	926	18%
Graduate	393	0	
Intersession	422	444	(5%)
Chase	373	332	12.3%
	2284	1702	34.2%

Fall registration looks good, but it is too soon to tell.

The Regents were given fee schedules for undergraduate, graduate and law school; however, none have changed except the law school tuition. Resident fees of $370 and non-resident fees of $700 are for a full load (at least 12 hours) for the day law school and are the maximum that can be charged. Those students taking less than 12 hours (the night students) will have their tuition pro-rated at $32 per hour for residents and $59 for non-residents. Dr. Tesseneer

recommended that the Regents approve this change in tuition which has been approved by the Council on Public Higher Education. Dr. Wippermann moved that the tuition rates for law school be approved upon the recommendation by Dr. Tesseneer. Dr. Stallings seconded the motion and it was passed unanimously. Law School Tuition Raised

Counsel Brooking called upon Mr. Louis DeFalaise of his law firm who is handling the Tihany case. Mr. DeFalaise reported that he expects the judge to hand down a ruling on the restraining order within the next day or two and he anticipates that the ruling will dissolve the restraining order. There can be no subpoena powers as there is no statutory provision for such powers for Boards of Regents. Legal Counsel Report

In anticipation of a hearing that could be held within the next two weeks, there needs to be certain procedural arrangements made. Counsel Brooking read the following resolution which he recommended the Board accept:

WHEREAS, a special meeting of the Board of Regents will be held to consider charges preferred against Professor Leslie Tihany, an employee of Northern Kentucky State College, by Dr. W. Frank Steely, President of Northern Kentucky State College, and

WHEREAS, Mr. James Poston, Attorney at Law, and a member of this Board of Regents, has served as a judicial officer, and

WHEREAS, it is the desire of this Board to give the said Dr. Leslie Tihany full protection of his rights and due process of law,

BE IT RESOLVED BY THE BOARD OF REGENTS OF NORTHERN KENTUCKY STATE COLLEGE that the Honorable James Poston, be and hereby is appointed Hearing Officer to conduct the special meeting and related proceedings of the Board of Regents to consider the charges against Dr. Leslie Tihany and that he is empowered to rule upon all motions and questions of law subject to an appeal to the vote of the entire Board by counsel for the College and Dr. Tihany, at said hearing, and to rule upon preliminary matters or motions or in any proceedings before the Board.

Mr. Brooking further explained that since there will be certain matters of a legal nature brought up, it would be most appropriate to have a third party presiding who would be qualified to rule on legal motions, etc.

Dr. Stallings moved that upon the advice of counsel, the above resolution be adopted. The motion, seconded by Mrs. Mills, was passed unanimously.

Mr. DeFalaise also noted that such a hearing must be open except at the time the Board retires to make its deliberations and reach a verdict.

Subject to the restraining order being dissolved, the Board was asked by counsel to set tentative dates for the hearing. Mr. Haas moved that Counsel be empowered to set up tentative hearing dates of August 11 and 12 beginning at 7 p. m. according to counsel's recommendation. The motion was seconded by Mr. Poston and was passed unanimously.

It was felt that Moot Court Room at Chase would be the best spot to hold the hearings. If there is an overflow, the hearings can be video taped into other rooms, or the hearing can be moved to a larger classroom. Mr. Haas moved that the Chase Moot Court Room be the site of the hearings. The motion, seconded by Dr. Wippermann, was passed unanimously.

Mr. DeFalaise again cautioned all Regents not to discuss this case with anyone until the matter is officially heard. The Regents had been so cautioned in writing at the time the case was filed. In this way the Regents cannot be prejudiced, thus giving both sides a fair hearing.

Counsel brought to the attention of the Regents two other legal matters pending against the college. A law suit "Eddie Sinkfield vs. Frank Steely, Jack Grosse and Martin Huelsmann" has been filed in which the plaintiff asked for approximate $944,000 in punitive damages and loss of future income as well as to be reinstated in law schoool and/or money or both. The plaintiff was dismissed from law school because of low grades.

Another pending law suit was brought about by a student being injured in an elevator in the science building. The student sued the elevator company and the elevator company in turn is suing the college.

The tenure appeals which the Board would have considered at this meeting could not be discussed as the appeals are in the hands of the vice president for academic affairs. Mr. Haas moved that these appeals be considered at the next meeting if the president will have them ready prior to the meeting. Dr. Steely indicated that he would. The motion, seconded by Mr. Poston, was passed unanimously.

The following report on Loan of Property to Highland Heights for the development of a park was given by Dr. Ramage:

> 'On July 10, 1974, when the Board of Regents approved the lease of approximately 11 acres behind the Reception Center to the City of Highland Heights for use as a picnic area, the Regents directed that we keep you informed concerning this development.
>
> The college counsel advised me that before we could draft a lease arrangement to send to the Executive Department for Finance and Administration in Frankfort for approval, we needed a survey description of the area.
>
> Using voluntary labor and the donated services of Mr. Jim Rasche, a surveyor who resides in Ohio, the Citizens Task Force on Recreation for the city now has the survey description. The area is 5.9015 acres. I am turning the survey over to college counsel.
>
> I expect that it will take three months to secure approval from Frankfort and therefore we hope to begin development of the area before next summer."

There being no further business, the meeting was adjourned at 10 p.m.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting on July 29, 1975 and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 4th day of August, 1975.

SPECIAL BOARD OF REGENTS MEETING
Monday, August 11, 1975 - 7 p.m.

A special meeting of the Board of Regents of Northern Kentucky State College was called to order at 7:10 p.m. on Monday, August 11, 1975 by Chairman Lucas for the purpose of a dismissal hearing of Dr. Leslie Tihany. The meeting was held in the Moot Court Room, Chase College of Law on the Covington campus. The invocation was given by Dr. Ramage.

Roll Call of Regents: Present were Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Mrs. Lee Mills, Mr. James Poston, Mr. Warren Shonert, Dr. Edgar Wippermann, Dr. Frank Stallings, Mr. Gary Eith.

The meeting was turned over to Mr. James Poston who was appointed Hearing Officer by the Regents at their July 29, 1975 meeting. The record of the hearing was recorded by a court stenographer.

The hearing was recessed at 10:30 p.m. and will be continued Tuesday, August 12 at 7 p.m. in the Moot Court Room.

Chairman Lucas called the Regents into special session to consider the report of the Faculty Affairs Committee regarding tenure recommendations for several faculty members as the Regents agreed to do at their July 29, 1975 meeting.

Dr. Stallings moved and Mr. Shonert seconded a motion that the Regents accept the report of the Faculty Affairs Committee as recommended by Dr. Steely in his cover letter with the report dated August 5, 1975.

Dr. Steely complimented the Faculty Affairs Committee on their fine work. Dr. Corbin, chairman of the Faculty Affairs Committee, urged the Board to adopt the recommendations of the committee, Dr. Tesseneer and Dr. Steely. He said the committee spent many hours in their deliberations before making the recommendations and felt they had chosen two very well qualified people to be considered for tenure. Dr. Corbin further stated that the committee had asked the department chairmen of those being recommended if they had any feeling of discomfort if the person under them was granted tenure and the chairmen indicated they would not. Dr. Tesseneer said tenure traditionally is granted only when contracts are given; however, he will follow through with the Board's wishes.

After discussion and some confusion on the intent of Dr. Stallings' motion, it was decided to consider recommendations of the committee in separate motions.

Dr. Stallings withdrew his previous motion and moved that the Board approve immediate tenure for Ms. Christine Tetzlaff and Dr. Adalberto Pinelo as recommended by the Faculty Affairs Committee. The motion was seconded again by Mr. Shonert.

Following further discussion, it was the consensus of the Board that even though tenure is usually granted at contract time, these persons had followed the procedure outlined in the Faculty Handbook and should be considered at this time.

The vote for immediate tenure for Ms. Tetzlaff and Dr. Pinelo was passed unanimously.

Mr. Mann moved that the Board accept the recommendation of the Faculty Affairs Committee, Dr. Tesseneer and Dr. Steely and disapprove tenure for Dr. Alfonz Lengyel and Dr. Leon Saratsannis. The motion was seconded by Dr. Wippermann. Mr. Shonert spoke on behalf of Dr. Sarakatsannis and even though he felt Dr. Sarakatsannis was qualified for tenure, he would abide by the committee's report. The motion for disapproval of tenure for Dr. Lengyel and Dr. Sarakatsannis was passed with 9 ayes and one abstention - Mr. Haas.

The Faculty Affairs Committee indicated it was not able to consider the petition of Dr. Leslie Tihany in view of the fact that he had not been offered a contract. The Board tabled consideration of Dr. Tihany at this time.

Dr. Steely stated that the social sciences department had not completed their intra-departmental meetings on tenure when contracts were awarded; therefore, Dr. Vitz and Dr. Payne were not recommended for tenure at that time. Dr. Steely feels that both are well qualified for tenure and would recommend same. A motion was made by Dr. Stallings, seconded by Mr. Mann, that Dr. Robert Vitz and Dr. David Payne be granted immediate tenure as recommended by Dr. Steely. The motion was passed unanimously.

The session was adjourned at 11 p.m.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its special meeting on August 11, 1975 and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 12th day of August, 1975.

CONTINUATION OF SPECIAL MEETING
Tuesday, August 12, 1975

The meeting began at 7:10 with Chairman Lucas asking for a motion that the Board of Regents go into Executive Session for the purpose of discussing a personnel problem relating to Dr. Leslie Tihany. The motion was made by Mr. Mann, was seconded by Dr. Wippermann, and was passed unanimously.

Regents present: Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Mrs. Lee Mills, Mr. James Poston, Mr. Warren Shonert, Dr. Edgar Wippermann, Dr. Frank Stallings, Mr. Gary Eith.

The meeting resumed at 9:30 p.m. At 11 p.m. a motion was made by Mr. Eith, and was seconded by Mrs. Mills, that the Board of Regents go into Executive Session for the purpose of discussing a personnel matter concerning Dr. Leslie Tihany. The motion was passed unanimously.

At 11:30 p.m. the Regents returned to the Moot Court Room and adjourned the special meeting until 7 p.m. on Wednesday, August 13, 1975.

Wednesday, August 13, 1975

The meeting opened at 7:30 p.m. with all Regents present.

A motion was made by Mr. Mann that the Board of Regents go into Executive Session for the purpose of discussing a personnel problem relating to Dr. Leslie Tihany. The motion was seconded by Dr. Stallings and was passed unanimously.

At 8:30 p.m. the meeting was adjourned until 3 p.m. on Thursday, August 14, 1975.

Thursday, August 14, 1975

The meeting opened at 3:30 p.m. with all Regents present. A motion was made by Mr. Martin that this hearing proceed with normal recesses without adjournment until concluded. The motion was seconded by Dr. Stallings. The vote was as follows:

Aye - Mr. Martin, Dr. Wippermann, Dr. Stallings, Mr. Mann, Mr. Lucas.
Nay - Mrs. Mills, Mr. Eith, Mr. Shonert, Mr. Haas.
Abstained - Mr. Poston

At 9:11 p.m., the counsel for the plaintiff rested his case. A motion was made by Mr. Martin, was seconded by Dr. Wippermann, that the hearing continue with the witnesses for the defense.

After discussion, Mr. Eith moved an amendment that before the defense calls their witnesses that each counsel have 15 minutes to argue their reasons for dismissal or keeping the charges. The amendment was seconded by Mr. Haas. After further discussion, Mr. Eith withdrew his amendment. The vote on the original motion was as follows:

Aye - Mr. Martin, Dr. Wippermann, Mr. Mann.
Nay - Dr. Stallings, Mr. Haas, Mrs. Mills, Mr. Eith, Mr. Shonert
Abstained - Mr. Poston.

Following further discussion, Mr. Eith moved that each counsel be given 10 minutes to present their respective sides after which the Board will retire into Executive Session for 10 minutes for deliberations following which the defense will present their witnesses. The motion was seconded by Dr. Stallings. The vote was as follows:

Aye - Mr. Shonert, Mr. Eith, Dr. Stallings, Mr. Haas, Mrs. Mills, Mr. Mann.
Nay - Dr. Wippermann, Mr. Martin
Abstained - Mr. Poston

The Regents returned at 10:30 p.m. Chairman Lucas said he would entertain a motion to delete charges 2 (b), (c), (d), (e), and (h) from the Statement of Charges. Mr. Mann moved the motion. The motion, seconded by Mr. Eith, was passed unanimously.

The defense continued at 10:33 p.m. to 3 a.m. with normal recesses. At 3 a.m., Friday, August 15, 1975 Mr. Haas moved that the meeting be adjourned until 5 p.m., Monday evening, August 18, 1975. The vote was as follows: The motion was seconded by Mr. Mann.

Aye - Mr. Martin, Dr. Wippermann, Dr. Stallings, Mr. Mann, Mr. Haas, Mrs. Mills, Mr. Eith.
Nay - Mr. Shonert

Monday, August 18, 1975

The meeting opened at 5 p.m. with all Regents present. The hearings continued until 10 p.m. when the testimony was completed.

Mr. Lucas asked the Regents to discuss and decide the direction they wanted to proceed in view of the fact that Dr. Tihany had presented a letter saying he wished to take a lie detection test.

A motion was made by Mr. Eith that the Regents allow the lie detector tests (for whoever wished to take them) to go forward if the two counsels agree on how it would be administered, and if they cannot agree, the hearing officer will be the third party to decide. The motion was seconded by Mr. Shonert.

Mr. Haas offered an amendment to the motion that the Regents recess until 5 p.m. on Thursday, August 21, and if the tests are available at that time, the Regents will consider them; whether or not they are available the Regents will hear closing arguments and render their final decision on Thursday, August 21. The amendment was seconded by Dr. Stallings.

The vote on the motion and amendment was taken collectively and was passed unanimously.

The meeting recessed at 10:45 p.m.

Elmer J. Haas, Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its special meeting on August 12, 13 14, and 18, 1975 and thst such matters are still in force and effect.

Dated this 21st day of August, 1975.

CONTINUATION OF SPECIAL MEETING
Thursday, August 21, 1975

The meeting was called to order at 5:25 p.m. with all Regents present.

At 6:45 p.m. after hearing closing arguments by both sides,Mr. Martin made a motion that the Board of Regents go into Executive Session for the purpose of discussing a personnel matter relating to Dr. Leslie Tihany. The motion was seconded by Mr. Eith and was passed unanimously.

At 8:30 p.m. the Regents returned to the hearing room and announced the following: "After deliberation the Board of Regents, by vote of 9 to 1, vote that Dr. Leslie Tihany is hereby dismissed for cause based on KRS 164.360. " Mr. Shonert, who was the dissenting vote, explained his vote.

Mr. Martin made a motion that the hearing be closed ; and that the Board of Regents go into Executive Session to consider personnel and other matters. The motion was seconded by Dr. Wippermann and was passed unanimously.

MOTION MADE IN EXECUTIVE SESSION:

After discussion, it was moved and seconded to establish a committee to investigate the charges against Dr. Frank Steely presented during the hearing. The following were appointed to the investigation committee - Mr. James Poston, Chairman; Dr. Edgar Wipperman, Mr. Warren Shonert, Mr. Gordon Martin. The committee was charged to report to the Board of Regents at their October meeting. The motion was passed unanimously.

The motion to adjourn was made by Mr. Martin, and was seconded by Mr. Mann.

Elmer J. Haas, Jr. Secretary

Dolores Thelen

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its special meeting on August 21, 1975 and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this ____ day of _____, 1975.

SPECIAL MEETING OF THE BOARD OF REGENTS
Tuesday, September 16, 1975

A special meeting of the Board of Regents of Northern Kentucky State College was called to order at 12:40 p. m. on Tuesday, September 16, 1975 by Chairman Kenneth Lucas. The meeting was held in the Board Room, Fifth floor of Nunn Hall on the Highland Heights campus. The invocation was given by Dr. Ramage.

Regents present: Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Mr. James Poston, Mr. Warren Shonert, Dr. Edgar Wippermann, Dr. Frank Stallings, and Mr. Gary Eith. (Mrs. Mills could not be present.)

Chairman Lucas called on Dr. Steely for a statement. (The statement made by Dr. Steely is attached to these minutes.)

Chairman Lucas read a statement (also attached to these minutes).

Mr. Martin moved that the resignation of Dr. W. Frank Steely be accepted by the Board of Regents. The motion, seconded by Mr. Mann, was passed unanimously.

Dr. Stallings moved that Dr. Ralph Tesseneer be appointed Acting President until such time as a chief executive officer can be sought out and hired. The motion was seconded by Mr. Martin and was passed unanimously.

The meeting was adjourned at 1 p. m. on motion by Mr. Mann and a second by Mr. Eith.

Elmer J. Haas, Jr. Secretary

Dolores Thelen

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its special meeting on September 16, 1975 and that such matters are still in force and effect.

Elmer J. Haas, Jr. Secretary

Dated this ____ day of September, 1975.

AGENDA
BOARD OF REGENTS MEETING
Wednesday, October 15, 1975 - 7:30 p.m.
Northern Kentucky State College
Board Room - Nunn Hall - Highland Heights Campus

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES OF PREVIOUS MEETINGS
- Regular Meeting - July 29, 1975
- Special Meetings - August 11, 12, 13, 14, 18, 1975 8/21
- Special Meeting - September 16, 1975

STUDENT AFFAIRS - Dr. Claypool
- Student Housing

ADMINISTRATIVE AFFAIRS - Mr. DeMarcus
- Construction Projects - Reports attached
- Audit for 1973-74 - Enclosed
- Financial Report for 1974-75
- Quarterly Report for July-September, 1975

PUBLIC RELATIONS - Mrs. Standley
- Appointment of Alumni Director - Resume for Stephen Toner attached

REPORT OF LEGAL COUNSEL

ACADEMIC AFFAIRS - Dr. Price
- New Faculty - Curtis High as Assistant Professor in Business 13,000
  Carol Futhey as Assistant Professor in History, Geography and Philosophy 11,200
  Carol Sturzenberger as Instructor in Library Sciences 9,800
  (Vitae attached for the above)
- Fall Enrollment
- Action on Reassignment of Dr. Steely

ACTING PRESIDENT'S RECOMMENDATIONS - Dr. Tesseneer

OTHER BUSINESS
- Highland Heights Park - Dr. Ramage
- Report of Chairman regarding Presidential Search Committee

ADJOURNMENT

MINUTES OF BOARD OF REGENTS MEETING
October 15, 1975

The regular quarterly meeting of the Board of Regents of Northern Kentucky State College was called to order by Chairman Kenneth Lucas at 4:35 p.m. on Wednesday, October 15, 1975 in the Board Room of Nunn Hall on the Highland Heights campus. The invocation was given by Dr. James Ramage.

Chairman Lucas welcomed all guests present.

Roll Call -

Regents present - Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Mrs. Lee Mills, Mr. James Poston, Mr. Warren Shonert, Dr. Edgar Wippermann, Dr. Frank Stallings, Mr. Gary Eith, and Acting President Ralph Tesseneer.

Others present - Counsel John Brooking, Emeritus Regent Charles Wiley, Dr. Joseph Price, Mr. John DeMarcus, Dr. James Claypool, Mrs. Sherrianne Standley, Dr. James Ramage, Dean Jack Grosse, Mr. Willaim Smith, Mrs. Irene Brownfield, Mrs. Dolores Thelen, Mr. Martin Huelsmann, Mr. Frederick Schneider, Dr. Lew Wallace; Dave Rowe, John Nienaber, Michael Hemphill, James Parsons, and Pat Meeker representing Student Government; Richard Lloyd and Greg Kilburn representing I.O.C.; Jill Morgan, David Jones and Tim Funk representing the Northerner; Frank Ashley of the Courier-Journal; Tom Chaney of the Kentucky Post; Mary Jane Conners of the Enquirer; and Nancy Clark of WCKY News. Barry Standley -

Mr. Haas moved that the minutes of meetings of July 29, August 11, 12, 13, 14, 18, 21, and September 16, 1975 (previous mailed to Regents) be approved with the following addition to the July 29th minutes: Mr. Shonert called attention to the letter sent to Chairman Lucas from the AAUP concerning the handling of the Tihany case. Mr. Shonert commented that the suggestion of the AAUP could be another possible solution in handling the Tihany matter. The motion to approve the minutes with the addition was seconded by Mr. Poston and was carried unanimously. Minutes Approved

Student Affairs - Dr. Claypool

Dr. Claypool announced that the NKSC Yearbook, The Polaris, is being distributed to those students who had paid activity fees and that he has copies to present to the Regents as they leave the meeting.

Dr. Claypool reported on a trip he made to Minnesota this summer to check on different possibilities for student housing and had found that a good many institutions were using pre-fab units and/or trailers. Upon returning, he was approached by a private entrepreneur who desired to convert some apartment buildings into student housing. The first units (to house some 40 to 50 students) will be ready around November 15 and the contractor hopes to have 178 units available by the next academic year. The units will be designed to house 3 to 4 students with a cost of $70 per month per student including utilities. The units are approximately 1-1/2 miles from the main campus. Dr. Claypool assured the Regents that the college is under no obligation and he would keep in close contact to see that the college does not get involved in undesirable publicity if such should arise. While these units cannot be advertised in any college publication without the Regents' approval, Dr. Claypool has allowed a representative to come on campus and talk with students as well as take applications. Student Housing

Dr. Claypool also reported the cooperation of T.A.N.K. in serving the campus. T.A.N.K. is now running a couple flyer buses to the college because of the large number of students and faculty using the facilities.

Administrative Affairs - Mr. DeMarcus

Mr. DeMarcus called attention to the construction reports which were mailed to the Regents. He further reported that the move to the new library is going on this week and dedication of the library will be held on November 23. The Fine Arts and the academic buildings are on schedule and the new parking lot will be available November 1. The University Center design is completed with groundbreaking scheduled for January, 1976. He circulated a list of items that the center will contain.

Constuction Report

Mr. DeMarcus called attention to the four booklets presented to the Regents which contain our request to the Council on Public Higher Education for capital construction funds to complete the campus including an academic building, an administration building, an academic health and physical education facility, expansion of the fine arts building, equipment for the fine arts building, general campus sidewalks and safety lighting, intramural recreation field, development of a media and closed circuit television system, maintenance and security supervisory control system, a law building as well as some matching funds for campus artistic development. The request, if approved by the Board of Regents, is sent to the Council on Public Higher Education. The Council makes a decision which is passed on to the Finance Department and then to the Governor for the Executive Budget, which, of course, is acted upon by the Legislature. Our request is for approximately $26.5 million.

Capital Construction Funds Request

Mr. Poston moved that the Board of Regents approve the capital construction request to be made to the Council on Public Higher Education. The motion, seconded by Mrs. Mills, was passed unanimously.

Mr. DeMarcus presented the Audit Report for 1973-74, the Financial Report for 1974-75, and a quarterly financial report for July 1-September 30, 1975.

Financial Reports Presented

A draft of the Biennial Budget Request had been given to the Regents previously and Mr. DeMarcus explained this is the request sent to the Council on Public Higher Education and is patterned after a format set up by the Council. It was pointed out that this request is different from our internal budget which will be drawn up after the Legislature meets. Again, the Council submits to the Finance Department their decisions which are passed on to the Governor and presented to the Legislature in the Governor's Executive Budget. Mr. William Smith, Business Manager, indicated he would be glad to answer any questions on the budget or financial statements at the meeting or at a later time, if anyone desired to call him.

Biennial Budget Request

Dr. Tesseneer said that Dr. Albright, Executive Director of the Council on Public Higher Education, indicated that it is his desire that Northern be funded on the basis of the other regional institutions. Mr. Martin felt that everyone should make a strong thrust toward being funded on a par with other institutions.

Mr. Martin moved that the Regents approve the draft copy of the Biennial Budget Request now in the hands of the Council on Public Higher Education. The motion was seconded by Dr. Wippermann and was passed unanimously. (The budget request called for approximately $38 million, of which $30 million would be state appropriation.)

Public Relations - Mrs. Standley

Mrs. Standley recommended that Mr. Stephen Toner be employed as Director of Alumni Affairs. The Regents had received a resume of Mr. Toner's background. Mr. Shonert moved, Dr. Stallings seconded, a mot ion that the recommendation be approved. The motion was passed unanimously. Alumni Affairs Director approved

Legal Counsel, John Brooking, presented a written report (copy attached) to the Regents with the comment that under the state statutes the Board of Regents must assume the responsibility for all discharges and cannot delegate the authority outside the Board. Dr. Stallings indicated that the procedures used at U.K. for discharge hearings was some different and that he would check with other regional schools on their procedures, but he felt the outline of Counsel Brooking was a satisfactory compromise. Mr. Brooking and Dr. Stallings will refine the outline and come up with recommendations at the January Board meeting. Mr. Brooking also said that the Legislative Research Commission was working on a change of statute to be presented to the Legislature granting Boards permission to delegate authority for this as well as giving them subpeona powers. Report of Legal Counsel

Counsel Brooking presented the following resolution which he recommended the Board approve: Recommendation regarding Dr. Steely

> "Resolved that Northern Kentucky State College, in accepting the resignation of Dr. W. Frank Steely as President of the College, effective September 16, 1975, does hereby agree to continue the present compensation of the said Dr. W. Frank Steely under his March 1974 contract, including salary and living accommodations until the end of the present academic year (being June 30, 1976).
>
> "Further, based on accumulated vacation and leave time from 1970 to date, Dr. W. Frank Steely may absent himself from the campus of Northern Kentucky State College until January 1, 1976.
>
> "Further, as Professor of History, with Tenure, pursuant to his present contract as such professor, Dr. W. Frank Steely shall, if he determines to remain at Northern Kentucky State College, on or after July 1, 1976, receive his designated salary as such professor, together with all accumulated pay increase increments granted to full professors, since June 30, 1974.
>
> "Dr. Steely shall perform such duties for Northern Kentucky State College on or after January 1, 1976, as shall be directed by the Acting President or President of Northern Kentucky State College."

Mr. Haas moved that the resolution be approved as presented and recommended by Counsel and it was seconded by Mr. Martin.

Mr. Shonert spoke against the resolution indicating that while Dr. Steely should be given every human consideration as possible for several months, we would be handicapping a new president by having a former president on campus. Mr. Eith asked why we should be continuing Dr. Steely's salary for so long a period. It was pointed out by the chairman that Dr. Steely's contract runs until April 1, 1978, and legally the Regents are bound by the contract which calls for a $39,000 salary as President (under the above resolution would continue until June 30, 1976) and this included a salary of $25,000 with increments as approved by the Board since June 30, 1974, for a tenured history professor. Mr. Poston said, while he shared the concern of Mr. Shonert's that the future president be able to operate in a clear atmosphere, that Dr. Steely has the legal right to continue as a tenured professor. As to the remarks regarding the hearings for Dr. Steely being terminated when he resigned, Mr. Poston pointed out that the hearings were not for Dr. Steely's dismissal

but the committee was appointed to hear the allegations made by Dr. Tihany and to make recommendations to the chairman; therefore, it became a moot issue when Dr. Steely resigned. Mr. Lucas indicated that he felt the Board was doing what it was legally and morally bound to do. Mr. Mann said he felt Dr. Steely was due the consideration outlined in the resolution and that there were precedents for former presidents remaining on the campus as professors when they stepped down as presidents - one instance cited was Dr. Langsam at U.C. Mr. Haas again spoke for the resolution and called for the question. The vote was 7 ayes and nay votes by Mr. Shonert and Mr. Eith. The motion carried.

The resolution was approved by the following vote:
Aye - Mr. Haas, Mr. Mann, Mr. Martin, Mr. Poston, Dr. Wippermann, Dr. Stallings,
Nay - Mr. Shonert. Mr. Eith abstained. and Mrs. Mills.

Academic Affairs - Dr. Price, Acting Academic Vice President

Dr. Price recommended that the following faculty members be approved: Faculty Members Approved

Curtis High as Assistant Professor in Business
Carol Futhey as Assistant Professor in History, Geography, and Philosophy
Carol Sturzenberger as Instructor in Library Sciences

A motion was made by Dr. Wippermann that the faculty members be approved as recommended by Dr. Price. The motion, seconded by Mr. Mann, was passed unanimously.

Dr. Price reported on fall enrollment as follows:

6,567 students (526 non-credit and 6041 credit) represents an overall 22% increase as well as a 23.5% increase in students taking courses for credit.

The full-time equivalent count is 4,419 or an increase of 18%.

Mr. Haas asked if it were possible to find out how many students transferred and Dr. Price said he would attempt to obtain such a figure from the Registrar's office.

Dr. Price stated that Dr. Steely would be housed temporarily in a vacant office in the area of the Public Service Librarian in the new library until such time a small seminar room could be used as office space.

Dr. Tesseneer stated that while we seem to have the support of the Governor on the change to university status, this needs to be presented to the Council on Public Higher Education for their approval before it can be presented to the Governor and/or the Legislature. Dr. Tesseneer said that he had a lengthy discussion with Dr. Albright who suggested that we get documentation and justification to the Council as soon as possible in order that the Council could take this under advisement. Change to University Status

Mr. Mann moved that Dr. Tesseneer be directed to file whatever is necessary with the Council on Public Higher Education to get action started on the change to university status and that we request the name to be "Northern Kentucky University." The motion was seconded by Dr. Stallings and was passed unanimously.

Dr. Tesseneer reported that he has been having discussions with faculty, staff and students regarding the parking fee and that he recommends that parking fees be decreased $5 per year to become effective in the fall semester, 1976. Mr. Poston questioned whether this should be done inasmuch as a lot of thought as well as proper procedures followed when the original fee was set. Parking Fees Lowered

Mr. Haas moved that the Board accept the recommendation of Dr. Tesseneer that parking fees be lowered $5 per year effective with the fall semester, 1976. The motion was seconded by Mr. Shonert and was passed unanimously.

Dr. Tesseneer read a memorandum from Dr. Price as follows:

Letter to Dr. Alfonz Lengyel

"On the basis of conversations with Counsel for the Board of Regents, Dr. Bill Parsons has recommended that the college write a letter to Dr. Alfonz Lengyel advising him that his position at Northern Kentucky State College be terminated on June 30, 1977. I agree with this suggestion and recommend that such a letter be written."

Counsel Brooking stated that the first step in terminating a faculty member's employment was notifying the Board which the above does.

Public Safety under Presidei

Dr. Tesseneer stated that Mr. DeMarcus had suggested that the Department of Public Safety be put under the direction of the office of Acting President and asked the Board to make some recommendation as to where Public Safety would report. The Director of Public Safety had indicated according to the statutes it could properly fall directly under the Board of Regents. Mr. Mann moved that the Department of Public Safety be placed under the direction of the Acting President. The motion, seconded by Mr. Eith, was passed unanimously.

$291,900 in Contingency Fund

Dr. Tesseneer said that there was an estimated $291,900 in increased revenues ($260,000 from enrollment increase estimate and $31,900 from growth pool) which had not been previously budgeted in the current fiscal year and asked approval to place this amount in the contingency fund. Motion to grant this approval was made by Mr. Martin, seconded by Mr. Poston, and passed unanimously.

Allotment to Presidential Selection Committee

Mr. Lucas asked the Board to consider an appropriate amount to be used by the Presidential Selection Committee for necessary expenses. A motion was made by Mr. Poston that $10,000 be taken from the contingency fund and placed in the Regent's budget for the Presidential Selection Committee. The motion was seconded by Mrs. Mills and was passed unanimously.

Dr. Tesseneer announced November 17 as Norseman's Day to meet the athletes and urged the Regents' attendance.

Dr. Ramage reported on an Institutional Plan as follows:

"In January, 1975 the Council on Public Higher Education adopted the policy that new graduate and professional programs submitted for approval after January, 1976 must be related to an institutional plan. One purpose of this policy is to encourage planning by the institution. (The date of January, 1976 has been changed to July, 1976.)

"The plan involved our projection for the next two years in academics and the next four years in physical facilities. Dr. Tesseneer has appointed an advisory committee to study the goals and objectives of Northern for inclusion in the plan. The committee is composed of representatives of the students, alumni, faculty, Board of Regents, the community, and representatives of key areas in the administration.

"The committee met on October 9 and discussed an institution goals inventory which the faculty and student government completed last year. The committee discussed ideas on the future of Northern. We welcome the ideas of the Board and when we have a draft of a plan, we will submit it to you."

Regarding the picnic area, Dr. Ramage reported as follows:

"As I informed you by mail, the Executive Department for Finance and Administration has approved the lease of 6 acres of land to Highland Heights for a recreation area.

"Dr. John Thieret, Chairman of the Department of Biological Sciences, and I walked over the area a few days ago and he proposed that Phase I should involve clearing all weeds and undergrowth vines of less than 1" in diameter. With volunteer labor the city will proceed with this very soon. In Phase II the biology faculty will select which undergrowth over 1" should be removed. In the spring the city hopes to install picnic tables."

Chairman Lucas announced that the Presidential Selection Committee, consisting of five Regents (Mr. Lucas, Dr. Stallings, Mr. Mann, Mr. Martin and Dr. Wippermann) would meet later with the Presidential Search Advisory Committee. The advisory committee will be chaired by Dr. Stallings and would include Mr. Eith, president of the Student Government, the president of the Faculty Senate, a faculty member-at-large, a student-at-large, Dr. Ramage and Dean Grosse. He invited any Regent to meet with them at any time or feel free to offer suggestions to the committee.

The meeting was adjourned at 6:40 p.m. on motion by Mr. Haas.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting on October 15, 1975 and that such matters are still in force and effect.

Elmer J. Haas, Jr. Secretary

Dated this 27th day of October, 1975.

MINUTES OF SPECIAL BOARD MEETING
Monday, November 10, 1975

A special meeting of the Board of Regents was called to order at 5:10 p. m. on November 10 by Chairman Lucas. The purpose of the meeting was to discuss and resolve certain questions regarding Leslie Tihany vs. Board of Regents litigation in the Campbell Circuit Court and U. S. District Court.

Regents present: Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Mrs. Lee Mills, Mr. James Poston, Mr. Warren Shonert, Dr. Edgar Wippermann, Dr. Frank Stallings, Mr. Gary Eith.

Others present: Counsel John Brooking, Acting President Ralph Tesseneer, Mrs. Sherrianne Standley, Mrs. Dolores Thelen; Attorneys Donald Stepner, Louis DeFalaise, William Johnson, Robert Lotz and James Cobb; Mr. Tom Chaney of Kentucky Post; Ms. Mary Jane Conners of Kentucky Enquirer, Mr. Frank Ashley of Courier-Journal; Mr. Tim Funk of the Northerner; and Student David Hardin.

Dr. Tesseneer reported that he had attended a meeting of the Council on Public Higher Education on Friday and while we have jumped one hurdle, we have two more to go. The Council approved for Northern an operating budget of $21 million (we asked for $37 million). The Council also approved $16.5 million in construction funds (we asked for $27 million). Dr. Tesseneer further reported we had been treated very fairly and did as well as the other institutions. Northern has moved ahead in per student ratio and has passed some of the institutions in this area. The construction money approved was for an academic classroom building and a physical education building. The budget now goes to the Finance Department for review and inclusion in the Governor's Executive Budget. The next hurdle will be the Legislature and indications are that the Legislature will give the Executive Budget high priority and hopefully we will know sometime in January exactly what we will receive.

Dr. Tesseneer stated: "If there is any time or any place that we can sing praises of Dr. Albright and his Council staff and Governor Carroll, I would suggest we do so. The Council on Public Higher Education and the Governor have done what they promised us they would do. I am personally very appreciative and I think everyone connected with our institution is appreciative. It is hoped we will not continue to refer to our institution as a step-child."

A motion was made by Mr. Poston that the Regents go into Executive Session to discuss pending litigation. The motion, seconded by Mr. Martin, was passed unanimously.

The meeting was reopened with all of the above persons listed as being present.

Mr. Poston moved that the Board of Regents approve the expenditure of $1500.00 for a transcript of the Tihany hearings as ordered by the Circuit Court Judge. The motion was seconded by Mr. Eith and was carried unanimously.

Mr. Martin moved, seconded by Dr. Wippermann, that if the court so orders, we direct our attorneys to prepare a draft for the submission of finding of fact. The motion passed unanimously.

A motion was made by Mr. Mann that the Board employ Adams, Brooking & Stepner law firm to represent them under the idemnification statute in both courts and in conjunction with Mr. Arnold Taylor of O'Hara, Ruberg, Cetrulo & Osborne, employed by Educator and Executive Insurers (the company who holds an Educators Professional Liability policy on the Regents and others). Mr. Taylor's interest will be in the U.S. District Court. The motion passed unanimously.

Chairman Lucas announced that advertising will begin tomorrow for the position of Public Relations Director. Mrs. Standley said it will appear in the Cincinnati Enquirer, Cincinnati Post, Cincinnati Herald, Lexington Herald and The Call and Post (a weekly paper recommended by the Urban League). Since Mrs. Standley did not mention the Courier-Journal, it was suggested she also send advertisement to the Courier.

The advertising for position of President appeared in the Chronicle of Higher Education today.

Chairman Lucas also announced an Appreciation Dinner on December 4 at the Reception Center for area legislators and county judges from the Northern Kentucky area. The time is 6:30 p.m.

Mr. Martin moved, Dr. Wippermann seconded, adjournment. The meeting adjourned at 6:20 p.m.

Elmer J. Haas, Jr. Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its special meeting on November 12, 1975 and that such matters are still in force and effect.

Elmer J. Haas, Jr. Secretary

Dated this 13th day of November, 1975.

AGENDA
BOARD OF REGENTS MEETING
Friday, January 30, 1976 - 7:30 p.m.
Northern Kentucky State College
Board Room - Nunn Hall - Highland Heights Campus

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES OF PREVIOUS MEETINGS

1. Regular Meeting - October 15, 1975 - Minutes previously mailed
2. Special Meeting - November 10, 1975 - Minutes enclosed

INTERIM PRESIDENT'S REPORT - Dr. Tesseneer

1. Recommendation for Director of Public Relations

ACADEMIC AFFAIRS - Dr. Price

1. New Faculty Recommendations (Resumes enclosed)
   a. Dr. Billy David Epps as Assistant Professor of Psychology From the University of Cincinnati
   b. Dr. Robert J. Kempton as Assistant Professor in Physical Sciences From the University of Illinois at Urbana-Champaign
   c. Dr. Michael A. Klembara as Assistant Professor in Mathematical Sciences - From the University of Cincinnati
   d. Dr. Debra K. Pearce as Assistant Professor in Biological Sciences From the University of California
2. Report on Spring Semester Enrollment
3. Security Personnel - See attached sheet

ADMINISTRATIVE AFFAIRS - Mr. DeMarcus

1. 1974-75 Audit Report
2. Quarterly Financial Report - Enclosed
3. Construction Progress Report

PUBLIC RELATIONS - Mr. Knauf

STUDENT AFFAIRS - Dr. Claypool

OTHER BUSINESS

1. Commencement Report - Dr. Ramage
2. Role and Scope Study - Dr. Ramage
3. Presidential Search Committee Report - Mr. Lucas
4. Legal Counsel Report - Mr. Brooking

REMARKS - Dr. Tesseneer

ADJOURNMENT

+ + + + + + +

REMINDER - Tour and inspection of new maintenance facility - 5 p.m.
Dinner at the Reception Center - 6 p.m.

MINUTES OF BOARD OF REGENTS MEETING
Friday, January 30, 1976 - 7:30 p.m.

The regular quarterly meeting of the Board of Regents of Northern Kentucky State College was called to order at 7:40 p.m. on Friday, January 30, 1976, by Chairman Lucas. The invocation was given by Dr. Ramage.

Roll Call:

Regents present: Mr. Kenneth Lucas, Mr. Gordon Martin, Mrs. Lee Mills, Mr. James Poston, Mr. Warren Shonert, Dr. Edgar Wippermann, Dr. Frank Stallings.

Regents excused: Mr. Elmer Haas, Mr. Henry Mann, Mr. Gary Eith.

Others present: Interim President Ralph Tesseneer, Counsel John Brooking, Emeritus Regent Charles Wiley, Mr. John DeMarcus, Dr. Joseph Price, Dr. James Claypool, Dr. James Ramage, Mr. Robert Knauf, Mrs. Dolores Thelen, Dean Jack Grosse, Mr. William Smith, Dr. Lou Wallace, Mr. Frederick Schneider, Dr. Mary Ann Rehnke, Attorney Don Stepner, Court Reporter Kathy Hebler, Mr. Jack Hicks of the Enquirer, Mr. Frank Ashley of the Courier-Journal, Mr. David Jones of The Northerner; Students David Hardin, Wynn Webster and Jennifer Williams.

The minutes of the October 15, 1975 regular quarterly meeting were approved by motion of Mr. Poston. The motion was seconded by Mrs. Mills and passed unanimously. The minutes of the November 11, 1975 special meeting were approved by motion of Mr. Martin, seconded by Mr. Poston and passed unanimously.

Dr. Tesseneer reported that he had appointed Mr. Robert Knauf as acting director of Public Relations and Community Affairs to replace Mrs. Sherrianne Standley. Dr. Tesseneer commented that he has never worked with one he thought was more suitable for the position and Mr. Knauf has been performing in a most commendable manner and recommended Mr. Knauf's permanent appointment. A motion was made by Mr. Martin that Mr. Robert Knauf be appointed Director of Public Relations and Community Affairs at a salary of $19,000 per fiscal year. The motion was seconded by Mr. Shonert, who voiced his support of Mr. Knauf and complimented him on the fine job he has been doing such as the dedication ceremony for the Student Union. Mrs. Mills voiced her concern that she did not wish to see the Music Department suffer as the department has done much for public relations in the community. Dr. Tesseneer said that the Music Department will be under the Fine Arts Department (which it was originally) and he feels the department will function as it has in the past. The vote was unanimous.

Robert Knauf appointed to Public Relations post

Counsel Brooking recommended that the Regents recess the meeting to discuss six motions filed by Attorney Cobb for Dr. Leslie Tihany and consider the Finding of Fact. A motion was made by Mr. Poston that the Regents go into Executive Session for the purpose of discussing a personnel matter regarding Dr. Leslie Tihany. The motion was seconded by Mrs. Mills and passed unanimously. The meeting recessed at 7:55 p.m. All persons retired from the Board Room except Regents Lucas, Martin, Mills, Poston, Shonert, Stallings and Wippermann.

The meeting reconvened at 9:40 p.m.

Mr. Poston, who acted as secretary for the closed session, announced that the six motions filed by Attorney Cobb for Dr. Tihany were discussed as well as the Finding of Fact. The six motions were given to the court reporter as exhibits. The following actions were taken:

Executive Session

Mr. Poston moved that the Regents overrule the six motions. The motion was seconded by Dr. Wippermann and was passed.

The Finding of Fact was discussed. Mr. Shonert moved that a vote on the Finding of Fact be delayed until February 4 to give other Regents the chance to read and comment. The motion was seconded by Dr. Stallings and was defeated. Executive Session

Mr. Martin moved to adopt the Finding of Fact. The motion was seconded by Mr. Poston and was passed.

Chairman Lucas read the Finding of Fact which was recorded by the court reporter. The three absent Regents (Haas, Mann, and Eith) will go before the court reporter to review the Finding of Fact and either accept, amend or reject. The court reporter was asked to hold the record open for the absent Regents' ratification or rejection. If the absent Regents choose to amend or reject, the entire Board will reconvene to consider the action.

ACADEMIC AFFAIRS - Dr. Price

Dr. Price recommended the appointment of the following faculty: (The salaries listed are for only one semester as new contracts will be written for the year beginning July 1, 1976).

Dr. Billy David Epps as Assistant Professor of Psychology at a salary of $5,270.

Dr. Robert J. Kempton as Assistant Professor in Physical Sciences at a salary of $6,400. Faculty Appointments

Dr. Michael A. Klembara as Assistant Professor in Mathematical Sciences at a salary of $6,000.

Dr. Debra K. Pearce as Assistant Professor in Biological Sciences at a salary of $5,750.

Dr. Stallings moved that the above faculty appointments be approved upon recommendation of acting Academic Vice President Price. The motion was seconded by Mr. Poston and was passed unanimously.

Dr. Price reported that spring enrollment increased 15.2% over last spring's enrollment for a total of 5,558. There are some 400 persons enrolled in non-credit courses so the college is serving some 6,000 persons this present semester.

Dr. Price recommended the promotion of two public safety persons pointing out that their duties as outlined by Director Ward had been submitted to the Regents with the agenda, as follows:

Don Fuehner as Assistant Director of Public Safety at a salary of $10,000 per fiscal year, and Margo Miller as Lieutenant, Public Liaison, Crime Prevention Education Officer, at a salary of $9,000 per fiscal year. Public Safety Personnel

Mr. Shonert moved that the above personnel promotions be approved upon the recommendation of Dr. Price. The motion, seconded by Dr. Wippermann, was approved unanimously. Dr. Price also read a list of safety officers who have been hired recently.

ADMINISTRATIVE AFFAIRS - Mr. DeMarcus

The 1974-75 Audit Report was presented to the Regents. Mr. DeMarcus asked the Regents to contact either he or Mr. William Smith, Business Manager, if they have any questions about the audit. 1974-75 Audit

The quarterly financial report for period ending December 31, 1975 was sent to the Regents with the agenda, and again, if there are questions, Mr. DeMarcus or Mr. Smith will be glad to discuss it with any Regent. Quarterly Financial Report

Mr. DeMarcus had on display and called attention to the artist's renderings of the three new buildings under construction and design. All were approved in the last biennium and they are either under construction or design, except for intramural fields with which there have been some problems.

Mr. DeMarcus presented a design of the drives on campus with suggestions for names for each drive and asked for approval or suggestions from the Regents. Mr. Shonert moved that the Regents approve the names as submitted. The motion, seconded by Dr. Stallings, was passed unanimously. Attached to these minutes are the drives and names accepted.

Naming campus drives

PUBLIC RELATIONS - Mr. Knauf

Mr. Knauf thanked the Regents for their unanimous approval of his appointment. He called attention to the Homecoming activities on January 31 as well as some new brochures that have been printed recently. Included with the brochures were tickets for the Neighborhood Family Concert on March 2 featuring the Cincinnati Symphony Orchestra. He invited the Regents to call him if they desired additional tickets.

Mr. Knauf reported he is working on a plan to bring community leaders from the nine county areas to the campus for luncheons and mini-tours and will be asking their suggestions to help develop public relation programs in the future for their areas or the Northern Kentucky area as a whole. So far he has set up such luncheon meetings on February 6 for Pendleton County, February 19 for Gallatin County, and March 11 for Grant County.

STUDENT AFFAIRS - Dr. Claypool

Dr. Claypool referred to two legislative bills affecting students - Title 9 (equal monies to be expended on men and women athletic programs) and the Buckley amendment (confidentiality of student records) and reported that there was a good deal of study going on within the college trying to implement these two pieces of legislation. Northern has made good stride in the past year trying to equalize men and women's athletics and he believes Northern is far ahead of many other colleges.

A consortium of various colleges are working together to come up with a health insurance plan to benefit students, allowing the students to get much better coverage at a much lower rate than any of the colleges can receive individually.

This is the best year Northern has had in sports with 21 consecutive wins - 11 in women's basketball and 10 in men's basketball games. He expressed hopes that the winning streaks will continue.

Dr. Ramage presented a list of possible commencement speakers and while all are equally desirable, the commencement committee did list them in order of preference. Mr. Shonert pointed out that this is election year and because several speakers listed are possible presidential candidates he suggested the list as presented be tabled. Mr. Poston spoke in the same manner, urging that possible candidates be dropped from the list. A motion was made by Mr. Shonert that we support the list other than any presidential candidates but not in any preferred order. The motion was seconded by Mrs. Mills and passed unanimously.

Commencement Speakers

Dr. Ramage pointed out that the Regents had all received copies of the Role and Scope Study to consider for possible action. Dr. Stallings moved that the Regents approve the Role and Scope Study as presented. The motion, seconded by Dr. Wippermann, was passed unanimously.

Role and Scope Study accepted

Chairman Lucas announced that the Presidential Search Committee was making progress. After receiving and screening nearly 200 applications, the committee is hopeful that the application list can be refined to a few the committee would want to investigate. The chairman invited any regent, if he desired, to look over and have access to the application file. Mr. Lucas reported that the KEA Unit of Northern Kentucky sent a resolution requesting the Board of Regents to accept a representative of their group on the Presidential Search Committee. The Regents will take this under advisement.

Presidential Search Committee Report

Counsel Brooking passed to each Regent a copy of a proposed statute - "An Act relating to the removal of college and university personnel" - and said it would be introduced for possible legislation. If such a statute passes, it would allow Regents subpeona powers as well as compel the production of books and papers pertinent to any investigation or hearing.

Dr. Tesseneer stated that on the basis of the understanding we have of the budget at this time and on the basis of the progress of the bill to give Northern university status, we owe a great deal of appreciation to Governor Carroll for his efforts and to our Northern Kentucky legislators who have worked with us so diligently effectively. Mr. Shonert moved that Counsel prepare a resolution commending Governor Carroll and our legislators for their support of the institution. The motion was seconded by Mr. Poston and was passed unanimously.

Resolution for Gov. Carroll and legislators

Dr. Tesseneer read the following statement regarding evaluations of faculty and administrators and recommended the adoption of such a policy:

Policy on Evaluations

> "I have long believed in the student evaluation of faculty and faculty evaluation of administrators. The results of such evaluations are highly valuable to the individual being evaluated and to his or her immediate supervisor. Also I believe that an evaluation will be more honest and forthright, and therefore of greater value if the results remain confidential. I am opposed to making public the results of the student evaluation of individual faculty members.
>
> "In accordance with this policy, I have decided to present the results of my evaluation by the faculty to my supervisor, the Board of Regents, and I do not plan to distribute the results publicly."

A motion was made by Mr. Poston, and a second by Mr. Shonert, that the statement of policy be adopted as read. The motion passed unanimously.

Wynn Webster of the Student Music Association was recognized by the chairman. Mr. Webster asked the Regents to give Dr. Leon Sarakatsannis' contract their urgent consideration. Chairman Lucas pointed out that he had told the group earlier that Dr. Sarakatsannis needed to follow the steps as outlined in the Faculty Handbook.

The motion to adjourn was made by Mr. Poston and seconded by Mr. Martin. The meeting adjourned at 10:55 p. m.

Elmer J. Haas, Jr. Secretary

Dolores Thelen, Assistant Secretary,

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting on January 30, 1976 and that such matters are still in force and effect.

Elmer J. Haas, Jr. Secretary

Dated this 9th day of February, 1976.

SPECIAL MEETING OF THE BOARD OF REGENTS
February 12, 1976

A special meeting of the Board of Regents of Northern Kentucky State College was called to order by Chairman Kenneth Lucas at 5:10 p. m. in the Board Room of Nunn Hall on the main campus.

Regents present: Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Gordon Martin, Mr. James Poston, Mr. Warren Shonert, Dr. Edgar Wippermann, Mr. Gary Eith, and Dr. Frank Stallings. Regents Henry Mann and Lee Mills were not present, but a conference call with each was held during the closed sessions. Counsel John Brooking and Don Stepner were present.

Others present: Dr. Ralph Tesseneer, Mrs. Dolores Thelen, Mr. Frank Ashley of the Courier-Journal, Student Greg Kilburn and Dr. Lew Wallace.

Dr. Tesseneer presented a Proposal for a Faculty Grievance Committee which each Regent had been mailed and recommended the adoption of same.

Mr. Martin moved that the Proposal for a Faculty Grievance Committee be adopted subject to Counsel's approval. The motion, seconded by Dr. Wippermann, was passed unanimously.

Motion was made by Mr. Poston and was seconded by Mr. Martin that the Regents go into closed session to discuss a personnel matter. Meeting was recessed until 6:10 p. m. for closed session.

The meeting reconvened and motion was made by Mr. Martin, seconded by Mr. Poston, that the Regents go into closed session for another personnel matter.

At 6:40 p. m. the meeting was reconvened at which time Chairman Lucas read a revision to the Finding of Fact which was recorded by court reporter.

Mr. Shonert asked to be recorded as voting not guilty on all charges considered this evening against Dr. Tihany.

At 6:50 p. m. a motion was made by Mr. Poston and seconded by Dr. Wippermann that the Regents go into closed session to finish the second personnel matter. This session closed at 7:45 p. m. No open session was held.

Elmer J. Haas, Jr. Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its special meeting on February 12, 1976 and that such matters are still in force and effect.

Elmer J. Haas, Jr. Secretary

Dated this 19th day of April, 1976

Proposal for a Faculty Grievance Committee

Purpose:

The Faculty Grievance Committee is constituted to provide faculty with an ordinary redress for grievances not already within the scope of other established bodies. (The Faculty Handbook outlines procedures of grievance for non-reappointment and refers matters of tenure, salary, and rank promotion to the by-laws of the Faculty Affairs Committee. These by-laws establish a sub-committee, the Faculty Board of Review, to handle the questions of salary, tenure and rank promotion only.) Such grievances must be concerned with the institutional relations of the parties. They may include questions of academic freedom and the professional rights and responsibilities of faculty members. The Faculty Grievance Committee is authorized to hear, mediate, and advise with respect to the adjustment of such grievances of faculty members.

Membership:

The Committee shall have five members. The chairperson of the committee shall be the chairperson of the Faculty Affairs Committee of the Faculty Senate. The term of the chairperson shall begin in September following his/her election. Two of the remaining four members shall be appointed by the President of the College in September. At least one of these appointees shall be tenured. The remaining two members shall be elected by the Faculty Senate at their September meeting. Of the three members not appointed by the College President, at least one is to be tenured and at least one is to be untenured. The terms of all members shall run from September to September and all members are eligible for successive terms. Vacancies are to be filled by another appointment by the College President in the case of his appointees. The Faculty Senate president shall make interim appointments during an elected committee member's absence. A special election by the senate shall be arranged to fill unexpired terms of elected members.

Procedure:

Initially the faculty member must exhaust all intradepartmental procedures and administrative procedures, where applicable, for resolving grievances before bringing such grievances to the committee. Then if no satisfactory solution is reached the faculty member must submit a written request for review to the chairperson of the grievance committee within thirty days following the exhaustion of other remedies. This request must state the nature of the grievance and all attempts to seek satisfaction previously undertaken. It must indicate what solution the faculty member bringing the grievance desires to the difficulty. The chairperson shall review the previous attempts at settlement and take statements from other parties if they wish to submit them. Within seven days of receiving the request for review she/he shall convene the committee to inform them of the request submitted and the results of the initial inquiry. The committee may decide to attempt an informal solution before formal procedures

are begun. If within a time of two weeks such an attempt does not prove satisfactory to the person asking for review, the committee shall proceed with formal review. The committee must review every grievance unless by unanimous decision they decide that normal procedures have not been exhausted or other exceptional reasons pertain. In either case they must specify in writing the reasons for their decision not to review to the faculty member who had requested the review.

Upon the decision to grant review, the chairperson shall notify all parties to the dispute and establish a time for a hearing which is to be within two weeks of the committee's decision to grant review. At the hearing the committee shall determine the order of the events. It shall not be bound by strict rules of legal evidence, and may admit any evidence which is of probative value in determining the issues involved. Every possible effort shall be made to obtain the most reliable evidence available. The committee shall make every reasonable effort to assist the faculty member in securing the attendance of witnesses whom he or she believes are essential to the case. Such assistance shall not include financial assistance. Failure of the committee to secure the attendence of such witnesses shall not be considered a defect in these proceedings. Where the witness does not appear the identity of the witness and his/her statements shall be fully disclosed and opportunity given to answer such statements. The faculty member's request for review shall be accompanied by a statement that he or she aggrees to the presentation, for the consideration of the committee, of such reasons and evidence as other parties to the grievance may allege in their support.

These hearings shall ordinarily be closed but may be opened if all parties to the dispute agree to do so. A written or tape record of the hearing shall be made at college expense. At the conclusion of the hearing the committee shall deliberate alone in closed session and shall by majority decision arrive at a recommendation.

The chairperson, within two days of the hearing, shall notify all parties to the dispute of the committee's recommendations. Also, the chairperson shall forward all relevant materials to the Academic Vice-President along with a copy of the committee's recommendation. The Academic Vice-President shall make written reply to the chairperson within ten days of receipt of the recommendation indicating what actions he has taken or will take in the resolution of the dispute or what recommendations he has made or will make to the college President concerning the dispute.

Appeal:

If the faculty member is dissatisified with the Vice-President's action in light of the grievance committee's recommendation, she/he may require the grievance committee to forward its recommendation to the college President. The President shall make written reply to the chairperson within ten days of the receipt of the committee's recommendation indicating what actions he has taken or will take in the resolution of the dispute.

If the faculty member is dissatisfied with the President's action in light of the grievance committee's recommendation, he/she may appeal to the Board of Regents. If the faculty member is dissatisfied with the recommendation of the grievance committee, he/she may appeal to the President and, if not satisfied there, may then appeal to the Board of Regents. All appeals to the Board of Regents shall be brought before the Board through the President's Office or through the Chairman of the Board of Regents, in accordance with the by-laws of that body.

This policy shall take effect when approved by the Board of Regents and shall apply to grievances occurring after such time.

AGENDA
BOARD OF REGENTS MEETING
Friday, April 30, 1976 - 7:30 p.m.
Board Room - Nunn Hall - Highland Heights Campus

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES OF PREVIOUS MEETINGS - Regular Meeting - January 30, 1976 - previously mailed
Special Meeting - February 12, 1976 - enclosed

INTERIM PRESIDENT'S REPORT - Dr. Tesseneer

1. Request from NKSC Foundation (letter enclosed)
2. Other comments

ACADEMIC AFFAIRS - Dr. Price

1. Proposal for a Graduate Program in Business Administration (enclosed)
2. Counsel's report on Faculty Grievance Committee Proposal (enclosed)
3. Tenure and Promotion of Faculty
4. New Faculty (see enclosed list)

ADMINISTRATIVE AFFAIRS - Mr. DeMarcus

1. Quarterly Financial Report (will be mailed under separate cover)
2. Construction Progress Report
3. Changes on plaques and signs from NKSC to NKU
4. Other remarks

STUDENT AFFAIRS - Dr. Claypool

PUBLIC RELATIONS - Mr. Knauf

1. Approval of new seal and logo (samples enclosed)
2. Other remarks

OTHER BUSINESS

1. Report on Commencement, May 9 - Dr. Ramage
2. Approval to have Summer Commencement, August 15 - Dr. Ramage
3. Resignation of Business Manager - Dr. Tesseneer

OTHER REMARKS - Dr. Tesseneer

ADJOURNMENT

+ + + + + + + +

Gather at the Reception Center at 5:30 p.m.

Dinner will be served at 6 p.m. to be followed
by meeting at 7:30 p.m.

MINUTES OF THE BOARD OF REGENTS MEETING
Friday, April 30, 1976

The regular meeting of the Board of Regents of Northern Kentucky State College was called to order at 8:15 p.m. on Friday, April 30, 1976 by Chairman Kenneth Lucas in the Board Room of Nunn Hall. The invocation was given by Dr. Ramage. Chairman Lucas announced that due to the absence of Mrs. Dolores Thelen, Mrs. Irene Brownfield would be acting as Assistant Secretary.

Regents present: Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Mrs. Lee Mills, Mr. James Poston, Mr. Warren Shonert, Dr. Frank Stallings, and Mr. Gary Eith. Excused: Dr. Edgar Wippermann.

Others present: Dr. Ralph Tesseneer, Counsel John Brooking, Regent Emeritus Charles Wiley, Mr. John DeMarcus, Dr. Joseph Price, Dr. James Claypool, Mr. Robert Knauf, Dr. James Ramage, Mrs. Irene Brownfield, Mr. Martin Huelsmann, Mr. Frederick Schneider, Attorney Lou DeFalaise, Attorney James Cobb, Dr. Warren Corbin, Dr. Mary Ann Rehnke, Dr. Roger Meade, Mr. Gil Hammond of KEA, Mrs. Gil Hammond, Mr. Jim Reis of the Kentucky Post, Mr. Richard Wilson of the Courier-Journal, Mr. Jack Hicks of the Kentucky Enquirer, Student Tim Funk of the Northerner, Student Dave Rowe of Student Government and Students Wynn Webster, James D. Williams, Bob Elliott, S.J. Williams, S. Cummings, Louise B. Lyons, Mindy Thomas, Kim Webb, John Jones, John Snowden, Brad Klein, Paulette Harris and Peggy Nickum.

The minutes of the regular meeting of January 30, 1976 were approved by motion of Mr. Haas and second by Mr. Eith. Motion passed. The minutes of the special meeting of February 12, 1976 were approved on motion by Mr. Haas and second by Mr. Martin. Motion passed.

Chairman Lucas recognized the students present from the Music Department and suggested that even though it was not on the agenda that he would allow a spokesman for the group to make a statement. Wynn Webster was recognized as spokesman for the group. The chairman further explained that Wynn had requested to be put on the agenda but he had written Wynn and explained it would not be on this agenda because a special meeting will be held at a later date on the Sarakatsannis situation. Mr. Shonert asked if a date had been set for such a hearing. Counsel Cobb asked for the hearing to be before June 30 when Dr. Sarakatsannis' contract was up. Mr. Mann moved that it be left up to the chairman to set a date convenient for the board and before June 30. The motion was seconded by Mr. Martin. As an explanation, Counsel Brooking explained that Dr. Sarakatsannis had appealed his termination contract by proper letters and petitions filed and it is now up to the Board of Regents to set a time for a hearing. With 7 ayes and 1 nay vote, the motion was passed.

Wynn Webster made a statement on behalf of the Music Students Association supporting Dr. Sarakatsannis.

Under Interim President's report, Dr. Tesseneer asked Dr. Roger Meade to present a request from the Northern Kentucky State College Foundation. Dr. Meade presented a list of officers and directors of the Foundation (copy attached) to the Regents and explained that the Foundation was preceded by NKSC Research and Development Foundation which was incporated on November 18, 1970. On February 26, 1974 this Foundation was reorganized to what is now known as the NKSC Foundation, Inc. for the purpose of providing general and specific services and material things

necessary or desired for the growth and development of NKSC or successor institution. Since the reorganization and prior to it, a number of contributions of cash, stocks and bonds, etc. have been received, but about 95% of the gifts were earmarked for restricted purposes. Therefore, there is a small number of dollars for discretionary use, such as scholarships, instructional equipment, faculty development and other areas that need development on the campus. It is with this in mind that the Board of Directors of the Foundation approved the letter dated February 19 written by Dr. Tesseneer, who at that time was Executive Vice President, requesting the Regents' approval to transfer the profits from the vending machines to the Foundation to be used for the purposes outlined in that letter (copy attached). On behalf of the Foundation, Dr. Meade respectfully requested approval. Counsel Brooking explained that in order to make everything perfectly legal and fit the letter of the law, it is also recommended that the college lease the space on which these vending machines set for $100 per year (copy attached). Mr. Haas moved, seconded by Mr. Martin, that the requests be approved.

Mr. Shonert asked why every Regent's county was represented on the Foundation except one county. Dr. Meade explained that at the last meeting of the Foundation on February 19, there was a motion made and seconded that all directors present submit nominees for the counties not represented. So far, only about 4 or 5 names have been submitted and when there are a sufficient number of nominees, there will be a meeting of the Foundation to elect a representative for every county in Northern Kentucky. Mr. Shonert presented the name of J. Preston Field for Pendleton County.

The motion that the area on which the vending machines stand be leased to the Northern Kentucky State College Foundation for $100 per year and that all profits from such vending machines be turned over to the Foundation was passed.

Accept requests of NKSC Foundation

ACADEMIC AFFAIRS - Dr. Price

Dr. Price called attention to the Proposal for a Graduate Program in Business Administration explaining that over 500 business administration students were surveyed showing significant interest in such a program. It is also felt that such a program will raise the business competence in the community. Mr. Mann moved that the program be approved. The motion was seconded by Dr. Stallings. Dr. Stallings asked if the college has faculty at this time capable of handling this program. Dr. Price stated there is some faculty competent and if the program is approved, additional faculty needed would be employed. The motion passed.

Proposal for Graduate Program in Bus. Admin. accepted

Dr. Price explained that the Faculty Grievance Committee Proposal was reviewed by counsel and a few changes were made and the finished document is ready for the Regents' approval. A motion by Dr. Stallings to accept the document as revised by Counsel was seconded by Mr. Eith. When asked if closed meetings are legal as mentioned in the document, Counsel Brooking explained that closed meetings of this type are legal if both parties agree. The motion passed.

Faculty Grievance Committee Proposal passed

Dr. Price presented recommendations for promotion and tenure of faculty. Since this is a personnel matter, the chairman suggested that it be taken care of in executive session. He further explained he wished to defer the executive session to the end of the meeting in order not to inconvenience people who are present.

Dr. Price presented five new faculty to be employed. Since salaries for these new faculty will be discussed, the chairman again deferred action on this until executive session.

ADMINISTRATIVE AFFAIRS - Mr. DeMarcus

Mr. DeMarcus called attention to the quarterly financial report which had been mailed to all Regents and asked for questions.

A progress report on construction was given by Mr. DeMarcus and the report is attached.

In discussing changes on signs and plaques throughout the campus, Mr. DeMarcus recommended that there be no changes on plaques as they have historical significance, it would be expensive and very difficult to do. As far as the signs are concerned, Mr. DeMarcus recommended that changes on signs be made a part of the next architectural contract. If the Regents are interested in seeing designs, the architects can be instructed to make up several designs for the Regents' approval. Signs and Plaques

Mr. Shonert commented on the condition of the Covington campus drive and wondered if something could be done to make the appearance of it neater. Mr. DeMarcus indicated he would work on the problem.

STUDENT AFFAIRS - Dr. Claypool

Dr. Claypool announced that student government elections had been held recently and John Nienabor was elected president and will take office May 9. Because the president of student government is automatically student regent, it will mean that John Nienabor will be the student regent after that date. Dr. Claypool complimented Gary Eith during his tenure as president of the student body and for the cooperation Gary has given during that time.

Dr. Claypool reported that a new draft of a Student Handbook is being prepared and will be presented to the Board of Regents for their approval at a later date.

A new committee, composed of 3 students and representatives from Student Activities, has been formed to look into the poster situation throughout the campus. The committee will present a report listing some clear cut regulations for the Regents' approval.

Dr. Claypool reported he was contacting other institutions to see how they handled lecturers and other groups on campus and said he hoped a strict policy could be set so that unauthorized groups coming onto campus could be controlled.

Because of controversy on student activity fee, Dr. Claypool said he felt our student activity fee was legal because it was voted in by the student body.

Chairman Lucas commended Gary Eith as student regent: "It has been a real pleasure for me to work with Gary Eith - he is a real credit to the Board of Regents and I am sure he will continue to be a credit to himself, to the school and to the community. I would like to extend to Gary congratulations for filling a man-sized job. He probably had more pressure on him than any student regent but he operated very independently and in good conscience." A motion was made by Mr. Shonert that this statement be reflected in the minutes. The motion, seconded by Mr. Mann, was passed. Gary Eith commended

PUBLIC RELATIONS - Mr. Knauf

In presenting the new seal for approval, Mr. Knauf said that it is really not new but a derivative of the old, substituting University for State College. When asked about color by Dr. Stallings, Mr. Knauf said it is usually gold and white accented with black. He hasn't had any made up in color yet but wanted only to ascertain if this particular form was acceptable. Mr. Wiley was asked about the significance of the seal inasmuch as he designed it. Mr. Wiley explained that the center is the lamp of knowledge. Mr. Knauf said the logo would remain the same as it is very recognizable in the community.

A motion was made by Mr. Haas, was seconded by Mrs. Mills, to accept the designs as presented. Dr. Stallings moved that the design be brought back in color. Chairman Lucas overruled Dr. Stallings motion and vote was taken to accept the designs as presented. The motion passed. Mr. Knauf will submit colored designs to the Regents.

Logo and Seal approved

Mr. Knauf further reported that the community group receptions have gone very well and he is beginning to form lists of people from these particular communities who wish to be contacted for future activities. The Family Neighborhood Concert attracted 3000 persons and Mr. Knauf indicated he hoped that it will continue to be a yearly event. He further reported that 286 attended the awards luncheon and 343 attended the Spring Cotillion.

Mr. Knauf further stated that he wanted publicly to thank the news media for their fine support.

Dr. Ramage reported that the definite number of graduates will not be known until grades are out next week, but as of now, 774 have applied for graduation. This is the largest in the history of the institution - 645 graduated last year. Of the 774, 106 will receive the juris doctor degree, 82 the Masters in Education, 426 Bachelor degrees and 160 Associate Degrees. He invited the Regents and their spouses to a luncheon at the reception center with Jesse Stuart preceding commencement. Those not attending the luncheon should come to the Board Room at 2 p. m.

Commencement Report

Some students had suggested a summer commencement as those students finishing in August have to wait 9 months for their degrees and the diploma is dated the next calendar year. The commencement committee recommended that there be a summer commencement on August 15. Because it takes some time to prepare for a commencement some preliminary work has been done. 93 students have applied for graduation and a speaker was selected from the faculty as suggested by the committee. The faculty person selected was Thomas Rambo, professor in biology. A motion was made by Dr. Stallings and seconded by Mr. Eith, that a summer commencement be held on August 15. The motion passed.

Summer Commencement on August 15

Dr. Tesseneer offered a letter of resignation from William Smith (attached) which he indicated had been accepted with regret and with the college's appreciation for what Mr. Smith has done for the institution in the past. Mr. Smith worked under rather trying conditions and Dr. Tesseneer indicated he hoped there will not be as trying times in the business office as in the past.

Business Manager resigned

Mr. Martin moved that the resignation of William F. Smith as Business Manager be accepted with regrets. The motion, seconded by Mr. Poston, was passed.

A motion was made by Mr. Eith and seconded by Mr. Poston that the Regents go into closed session to discuss personnel matters of tenure, promotion and faculty salaries. The motion passed.

The meeting was recessed at 5.30 p. m.

Following executive session, the meeting was reconvened at 11:30 p. m.

Mr. Poston moved that the following new faculty as recommended by Dr. Price be approved. The motion was seconded by Dr. Stallings and was passed. The new faculty are:

Ms. Brenda Jackson as Assistant Professor of Education 11,000 w/o 12,000 w
Mr. Gary D. Scott as Assistant Professor of Business and Distributive Education 14,500 w/o 15,500 w
Dr. Martin Marger as Assistant Professor of Sociology - 14,000
Dr. Ovid C. Lewis as Professor of Law with tenure 31,000
Dr. Frederick Rhynhart as Assistant Professor of Political Science 14,000

New Faculty approved

A motion was made by Mrs. Mills and seconded by Mr. Poston that the tenure, promotion and salaries of faculty as recommended by Dr. Tesseneer and discussed in executive session be approved. The motion passed.

Tenure, Promotion and Salaries approved.

Because of meetings with the American Bar Association Visitation Committee, Dr. Tesseneer asked permission of the Board to make a formal commitment (copy attached). Mr. Haas moved that the Regents approve the commitment as stated. The motion, seconded by Mr. Mann, was passed.

Commitment for law school passed

Dr. Tesseneer asked permission to investigate the bonding potential of the college in the event we will need the information for future building. A motion was made by Mr. Shonert and seconded by Mrs. Mills to authorize the investigation. After discussion, the motion was passed.

Bonding potential to be investigated

Chairman Lucas reported that the Presidential Search Committee would end the campus visitations next week and the committee would make a decision shortly thereafter in order for the process of selecting a new president be speeded up.

A motion to adjourn was made by Mr. Eith. The meeting adjourned at 11:45 p. m.

Elmer J. Haas, Jr. Secretary

Irene J. Brownfield

Irene Brownfield, Acting Assistant Secretary

Dolores Thelen

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its regular meeting on April 30, 1976 and that such matters are still in force and effect.

Elmer J. Haas, Jr. Secretary

Dated this 17th day of May 1976.

SPECIAL MEETING OF THE BOARD OF REGENTS
Monday, May 24, 1976

A special meeting of the Board of Regents of Northern Kentucky State College was called to order at 5:20 p.m. on Monday, May 24, 1976 in the Board Room of Nunn Hall by Chairman Kenneth Lucas. The board members had been notified of the meeting by Mailgram sent on May 22 (copy attached). Chairman Lucas welcomed the newly appointed Regent, S. Alex Parker, Jr. as well as the new Student Regent John Nienaber.

The oath was administered by Chairman Lucas to Mr. Parker and Mr. Nienabor.

Roll Call:

Regents present: Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Mrs. Lee Mills, Mr. S. Alex Parker, Mr. James Poston, Dr. Edgar Wippermann, Dr. Frank Stallings, Mr. John Nienaber.

Others present: Mr. Robert Kuauf, Mrs. Dolores Thelen, Mr. Joe Munson, Students Suzanne Niswander and David Hardin, Mr. Jack Hicks of the Enquirer, Mr. Jim Reece of the Kentucky Post and Mr. Greg Hahn of WCPO.

A motion was made by Mr. Mann, seconded by Mr. Poston, that the Regents go into Executive Session for the purpose of discussing a personnel matter. The motion passed unanimously.

The meeting was recessed for executive session at 5:30 p.m. and reconvened in open session at 6 p.m.

Chairman Lucas read a resolution (signed copy attached) regarding the employment of Dr. A.D. Albright as President. Mr. Martin moved the resolution be adopted as read. The motion, seconded by Dr. Stallings, was passed unanimously.

Mr. Mann moved that Counsel Brooking be directed to prepare a resolution in memory of Charles Landrum to be sent to the family on behalf of the Regents and the College. The motion was seconded by Dr. Wippermann and was passed unanimously.

Mr. Haas moved, Mr. Poston seconded, motion that the meeting be adjourned. The meeting was adjourned at 6:20 p.m.

Elmer J. Haas, Jr. Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its special meeting on May 24, 1976 and that such matters are still in force and effect.

Elmer J. Haas, Jr. Secretary

Dated this 26th day of May, 1976.

NORTHERN KENTUCKY STATE COLLEGE

Board of Regents

May 25, 1976

To: All members of the Board of Regents

There will be a special meeting of the Board of Regents of Northern Kentucky State College on Wednesday, June 16, 1976 at 7:30 p.m. in the Board Room of Nunn Hall for the purpose of dealing with a personnel matter.

Kenneth R. Lucas
Chairman

SPECIAL MEETING OF BOARD OF REGENTS
Thursday, June 16, 1976

A special meeting of the Board of Regents of Northern Kentucky State College was called to order at 7:40 p.m. on June 16, 1976 by Chairman Kenneth Lucas. The meeting was held in the Board Room of Nunn Hall and was called for the purpose of discussing a personnel matter.

Regents present: Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Dr. Edgar Wippermann, Dr. Frank Stallings and Mr. John Nienaber.

Others present: Dr. Joseph Price, Mr. Robert Knauf, Mrs. Dolores Thelen, Counsels Lou DeFalaise, James Cobb and William Lotts and student Greg Kilburn.

A motion was made by Mr. Martin and seconded by Dr. Stallings that the Regents go into executive sessions to discuss a personnel matter. The motion passed unanimously.

The meeting recessed until 8:35 p.m. when it was reconvened.

Mr. Mann moved that the Regents authorize the administration to enter into a one year contract with Dr. Leon Sarakatsannis, the terms and conditions to be agreed upon with the chairman of the Board of Regents approving the contract on behalf of the Board of Regents. Mr. Nienaber seconded the motion and it was passed unanimously.

Counsel DeFalaise stated that a number of new laws will go into effect on June 19 as passed by the legislature. One of the new laws will give the public access to all documents of all state agencies. There will be some governing rules set down by the Finance Department and some can be set by the agency, but this will happen after the fact. Mr. DeFalaise stated he felt it was necessary for the Board to appoint a temporary custodian to receive requests to see the college's records.

Dr. Wippermann made a motion that Mr. John DeMarcus, Administrative Vice President, be appointed temporary custodian to receive any requests for documents until such time as the Board can work out rules to cover. The motion was seconded by Mr. Haas and was passed unanimously.

Mr. Lucas explained that the Regents had received a copy of the Interim Budget and that it must be approved prior to July 1. A meeting was set for June 28, 1976 at 7:30 p.m. to review and approve an Interim Budget.

On motion by Mr. Haas, the meeting was adjourned to go into executive session to discuss some pending litigation. The motion was seconded by Dr. Stallings and passed unanimously.

Elmer J. Haas, Jr. Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky State College, certify that the foregoing is a true copy of the minutes of its special meeting on June 16, 1976 and that such matters are still in force and effect.

Secretary

NORTHERN KENTUCKY STATE COLLEGE

Board of Regents

June 18, 1976

To: Members of the Board of Regents

From: Kenneth Lucas, Chairman

June 28, 1976 at 7:30 p.m. is the date and time set for the next special Board of Regents meeting. The purpose of the meeting will be to discuss and adopt an Interim Budget.

MINUTES OF SPECIAL BOARD OF REGENTS MEETING
Monday, June 28, 1976

A special meeting of the Board of Regents of Northern Kentucky University was called to order at 7:45 p.m. by Chairman Kenneth Lucas in the Board Room of Nunn Hall.

Regents present: Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Mrs. Lee Mills, Dr. Edgar Wippermann, Dr. Frank Stallings, Mr. John Nienaber.
Excused: Mr. Alex Parker, Mr. James Poston.
Others present: Counsel John Brooking, Dr. Ralph Tesseneer, Mr. John DeMarcus, Dr. James Claypool, Mr. Robert Knauf, Dr. James Ramage, Dr. Joseph Price, Dean Jack Grosse, Faculty Senate President Warren Corbin, Director of Accounting and Budgetary Control - Mr. Bill Woods, Dr. James McKenney working on special financial projects, Student David Hardin, and Mrs. Dolores Thelen. Also present was Frank Ashley of the Courier-Journal.

Chairman Lucas explained that the purpose of the special meeting was to consider an interim budget for 60 days until such time as Dr. Albright arrives and has an opportunity to evaluate and present another budget. An interim budget must be approved in order for the university to legally pay bills, salaries, etc. as of July 1.

Mr. Martin moved to adopt the Interim Budget for a 60-day period. The motion was seconded by Mr. Nienaber.

Dr. Tesseneer called on Dr. Ramage, who headed the budget committee, to explain the budget process. Dr. Ramage said that each department had an opportunity to present their needs through individual conferences with Bill Woods and himself. After every department had this opportunity, several meetings were held with Dr. Tesseneer, Dr. Price, Mr. DeMarcus, Mr. Woods, Dr. McKenney at which time revisions were made. Dr. Albright also spent some time with the group when revisions were made. Dr. Tesseneer stated that requests from departments had exceeded the estimated revenue by some $2 million so it was necessary to make quite a number of revisions.

Mr. Martin asked if there was a chart of accounts. It was explained that there was a chart, but it had not been put on the computer as yet. There will be an effort to computerize the accounting system this fiscal year.

Mr. Mann asked if we were locked in on revenues. Dr. Tesseneer stated that our major revenue is the state appropriation. This added to other estimated revenues (tuition, fees, etc.) becomes the amount on which the expenditure budget is based.

Dr. Stallings expressed appreciation for the conference to present the needs of his department; however, he felt it would be more helpful to also know what other departments had requested.

Mr. Nienaber spoke of his concerns in the budget. While he realized this was an interim budget, he felt it would be very difficult to make too many changes in another budget therefore he wished to speak of such concerns. First, student affairs department had asked for a director for the university center. While the building is not yet complete, it is necessary for such a person to be appointed in order for the director to begin planning as well as observing other university centers. This item was deleted from the budget and he requested that it be reinstated. Another area was the ID card supplies which had been dropped from $4,000 to $1,000. With the increase of students and requirements on the campus (from library, bookstore, etc.) for ID's, he felt this figure was much too low. The other concern was the Department of Public Safety in which salaries were

increased nearly 50%. He questioned why public safety was given so much additional when so many other vital items were cut. Mr. Woods explained the increase on public safety salaries came about by the 10% across the board increase as well as over-time and holiday pay which legally had to be given. Mr. Woods stated that only one new position was added to public safety - a part-time officer was added.

Mr. Mann expressed a desire to be able to see what was actually spent last fiscal year in each line item rather than the budgeted figure. Mr. DeMarcus said a fair picture could not be presented as only about 3/4 of the year's expenditures are available. Mr. Mann felt there could be some listing even if it meant estimating the last 3 months' expenditures.

The motion to accept the 60-day interim budget was passed unanimously.

Mr. Lucas recognized Dr. Warren Corbin, new president of the Faculty Senate.

A short discussion was held regarding the July meeting and a temporary meeting date of Monday, July 26, 1976 at 7:30 p.m. was set at which time the acting president will present an Annual Report to the Board.

The motion to adjourn was made by Mr. Haas and seconded by Mr. Nienaber. The meeting adjourned at 8:30 p.m.

Elmer J. Haas, Jr. Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting on June 28, 1976 and that such matters are still in force and effect.

Dated this 30th of June, 1976.

Elmer J. Haas, Jr. Secretary

AGENDA
BOARD OF REGENTS MEETING
Friday, August 27, 1976 - 5 P. M.

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

OATH - Mr. John Hamburg

ELECTION OF OFFICERS FOR 1976-77

RECOGNITION OF SERVICE - Mrs. Lee Mills and Mr. Warren Shonert

MINUTES OF PREVIOUS MEETINGS - Regular Meeting - April 30, 1976
Special Meetings - May 24, 1976;
June 16, 1976; June 28, 1976
(all minutes previously mailed)

A. PRESIDENT'S REPORTS

1. Summer School Enrollment
2. Preliminary Fall Enrollment
3. Financial Reports for 1975-76 (copy will follow)
4. Construction Progress
5. Report on Public Safety

B. PRESIDENT'S RECOMMENDATIONS

1. Personnel - Academic and Administrative
2. Authority to hire and train personnel
3. Personnel in Maintenance and Public Safety
4. Revision of By-Laws
5. Revised Budget for 1976-77
6. Budget Revisions during fiscal year
7. Management Audit
8. Donee Resolution for Kentucky Division of Surplus Properties
9. Application for tax-free alcohol for labs
10. Uniform changes from College to University
11. Reorganization of Academic and Administrative areas

B. President's Recommendations (Cont'd.)

13 - Faculty Senate
12. Dissemination of Personal Data
~~13.~~ 15 Ward resignation
~~14.~~ 15 Landrum memorial
16 - legal counsel
17 - Stipend

C. OTHER BUSINESS

1. Annual Report to Regents ✓
2. Letter of Appreciation from Student Government ✓
3. Projects, houses ✓
4. Plaque for Regents
5. R. Meade -

D. Set date for October Meeting

ADJOURNMENT

Corbin $22,100.00

MINUTES OF THE BOARD OF REGENTS MEETING
Friday, August 27, 1976

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 5:15 p.m. on Friday, August 27, 1976 by Chairman Kenneth Lucas in the Board Room of Nunn Hall. The invocation was given by Dr. Tesseneer.

Roll Call:

Regents present: Mr. Elmer Haas, Mr. John Hamburg, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Judge James Poston, Dr. Edgar Wippermann, Dr. Frank Stallings, Mr. John Nienaber.

Regents excused: Mr. Alex Parker

Others present: President A.D. Albright, Counsel John Brooking, Regent Emeritus Charles Wiley, Dr. Gene Scholes, Dr. Ralph Tesseneer, Mr. John DeMarcus, Dr. James Claypool, Mr. Robert Knauf, Dr. Joseph Price, Dr. Mary Ann Rehnke, Dr. Robert Vitz, Ms. Laura Powers, Mr. Bob Fogarty of the Post, Mr. Tim Funk and Ms. Marilyn Burch of the Northerner, and Ms. Dolores Thelen.

Chairman Lucas welcomed newly appointed Regent John Hamburg, and greeted all guests. The chairman administered the oath to Mr. John Hamburg.

Dr. Wippermann nominated Mr. Lucas as Chairman, Mr. Haas as Secretary-Treasurer and Mrs. Thelen as Assistant Secretary. The nominations were seconded by Mr. Martin. Mr. Mann moved that the nominations be closed and was seconded by Judge Poston. Those nominated were elected by unanimous vote and the officers for the 1976-77 fiscal year are:

Election of Officers

Chairman - Mr. Kenneth Lucas
Secretary-Treasurer - Mr. Elmer Haas
Assistant Secretary - Mrs. Dolores Thelen

Counsel Brooking presented the following resolution in recognition of service of Mrs. Mills and Mr. Shonert:

Resolution for Mills and Shonert

"Resolved that the Board of Regents extend its thanks and sincere appreciation to Mrs. Lee Mills and Mr. Warren Shonert, who served as Regents of Northern Kentucky State College (now Northern Kentucky University) from 1972 to 1976, each giving to the then College of his/her time and talent without compensation to further the ultimate goals of the Institution to provide the best higher education for the students therein.

"Each of you shall be remembered for your individual contributions to the success of our now University during its formative years as a College.

"This resolution shall be publicly presented and be spread upon the minutes of the Board of Regents of Northern Kentucky University on August 27, 1976."

Mr. Mann moved that the resolution be adopted as presented. The motion was seconded by Judge Poston and was passed unanimously.

Mr. Haas moved that minutes of the April 30, 1976 meeting be approved with the following addition: page 2, paragraph 1, line 8 - "approval to transfer the indirect costs of state and federal grants and the profits from the vending machines." The motion, seconded by Mr. Martin, was passed. The minutes of special meetings of May 24, June 16 and June 28, 1976 were unanimously approved on motion by Mr. Haas and second by Mr. Nienaber.

PRESIDENTIAL REPORTS - (All printed reports attached to original minutes)

A-1 - Dr. Albright noted that summer school enrollment of 2,446 as compared to 2,258 in 1975 shows at least a 10% increase.

A-2 - While enrollment for fall semester (3,700 as of 8/16/76) is not complete, Dr. Albright stated it appears as if Northern will register over 7,000 students or about a 6% increase.

A-3 - The financial report for 1975-76 was presented by Dr. Albright who pointed out that in addition to the budget approved by the Regents, the revised budget figures appear showing any changes that occurred during the year. Dr. Albright complimented Bill Woods and his staff on the good job done in a relatively short time.

A-4 - Mr. DeMarcus reported that the academic building will be completed in September, that the fine arts building will be occupied in December (except the theatre which will not be completed), that the University Center is ahead of schedule and should be completed by next summer, and that design has started on the administrative building and new academic building. The audio visual center, which will connect to all classroom by closed circuit, should be ready next September. When asked about the radio station, Mr. DeMarcus said that the license had not been fully approved. The intra-mural field probably will not be available until spring. Construction Progress

A-5 - Because of questions asked by the Regents at the June 28th meeting, a brief report on the Department of Public Safety is included for informational purposes.

PRESIDENTIAL RECOMMENDATIONS - (All printed reports attached to original minutes)

B-1 - President Albright recommended that approval be given for the employment of the following personnel: (Resumes and salary schedules are attached to minutes.)

A. Academic

1. Chairmen

Dr. Russell Yerkes in Department of Business (Acting)
Dr. Warren Corbin in Department of Education (Acting)
Dr. Thomas Kearns in Department of Mathematics
Dr. Vincent Schulte in Department of Psychology (Acting)

2. New Faculty

Dr. A. D. Albright	University Professor with tenure
Mr. Gary E. Armstrong	Instructor in Fine Arts
Dr. Doris Brett	Assistant Professor of German
Mr. L. Eugene Cantrall	Instructor of Physical Education and Coordinator of Intramurals
Ms. Betty Durham Carroll	Instructor of Nursing
Mr. Kenneth C. Carstens	Assistant Professor of Anthropology (with Ph. D.) or Instructor of Anthropology (without Ph. D.)
Mr. Michael E. Daley	Instructor in Health and Physical Education
Dr. Nancy Ann Dibble	Assistant Professor of English
Mr. George W. Doyle	Assistant Professor of Physical Education
Ms. Joyce Driscoll	Assistant Professor of Physical Education
Mr. Thomas M. Edwards	Instructor of Aviation Administration
Mr. Kenneth Gilliam	Assistant Professor & Coordinator of Public Safety Programs

(continued)

B-1 - Dr. Wippermann moved that the recommendations for new personell be adopted as presented. The motion, seconded by Mr. Mann, was passed unanimous

B-1 - (continued)

Ms. Mary L. E. Hagen	Instructor of Nursing
Ms. Janet Hammond	Instructor of Business Education
Ms. Linda Hawkins	Instructor of Education
Ms. Eileen S. Henwood	Instructor of Nursing
Mr. William L. Holloway	Assistant Professor of Management
Mr. Philip Koplow	Assistant Professor of Music
Ms. Betty Lukashuk	Instructor of Music
Ms. Nancy Martin	Instructor of Music
Mr. Paul D. Milewski	Assistant Professor of Accounting
Mr. Richard Murgatroyd	Instructor of Radio and Communication
Mr. Ervin J. Napier	Instructor of Industrial Technology
Dr. Jeffrey E. Paul	Assistant Professor of Philosophy
Mr. Kim Rebenstorf	Instructor of Accounting
Dr. William Russ	Assistant Professor in Psychology
Dr. Gene W. Scholes	Professor of Education (with tenure)
Dr. Andrew W. Seacord II	Assistant Professor of Physical Sciences
Dr. David L. Siegel	Instructor in Business Marketing
Mr. Michael Skop	Associate Professor of Art
Dr. Dennis B. Swanger	Assistant Professor of Biology
Mr. John S. Thompson	Assistant Professor of English
Dr. Frank J. Traina	Assistant Professor in Sociology
Dr. Jack Kendall Wann	Assistant Professor of Speech/Theatre
Dr. Jerry W. Warner	Assistant Professor of Biology
Ms. Tina Leigh Weitkamp	Instructor of Nursing
Dr. John Westlund	Associate Professor in Fine Arts
Dr. Jeffrey Wiener	Assistant Professor of Mathematics
Ms. Jacqueline E. Wyatt	Assistant Professor of Data Processing
Mr. Carl A. Yirka	Assistant Professor of Library Sciences

B. Administrative

Dr. Gene W. Scholes as Executive Assistant to the President
Mr. Roger Buchanan as Director of Budget and Financial Affairs
Mr. Donald L. Ransdell as Director of Purchasing and Institutional Services

B-2 - Upon presentation of the following resolution, Counsel Brooking explained that restrictions put on by the Board in 1972 are still in effect and this resolution will take care of removing these restrictions:

"Resolved that the President of Northern Kentucky University be and he is hereby authorized to hire and retain all personnel for N.K.U., whether administrative or faculty, below officer rank as designated in the by-laws (or by the Kentucky Revised Statutes) and he shall report to the Board of Regents at its regular meeting following such action, any such personnel so hired and retained and the terms of employment. All prior resolutions or actions of the Board of Regents in conflict herewith shall be of no force and effect.

Authority to hire and train personnel

Mr. Martin moved the adoption of the resolution as presented, seconded by Dr. Stallings and passed unanimously.

B-3 - The following recommendations were presented by Dr. Albright explaining that we need to take a good look at maintenance and public safety personnel to determine the best deployment and use of the people we have, in addition to other personnel throughout the university. A committee has been appointed to work on policy for the Department of Public Safety and there should be a report from this committee at the October meeting.

B-3 - (continued)

Recommendations:

1. That any position vacancies occurring in the Department of Physical Plant and the Department of Public Safety during the current fiscal year (1976-77) are to remain unfilled for the remainder of the year, except for emergency and critical activities and jobs (for example, power plant) as determined by the President; — Personnel Restrictions
2. That any position authorized by the current fiscal year budget which is unfilled or vacated during the year shall be transferred from the unit budget to a University reserve account.

Mr. Mann moved that the recommendations be adopted as presented, seconded by Dr. Stallings, and passed unanimously.

B-4 - Dr. Albright presented revisions to the By-Laws of the Board of Regents explaining that the main thrust of these suggested revisions are to streamline things a bit and to more clearly fix accountability. Counsel Brooking reported that he had gone over the revised by-laws and has approved the legality of the document. Dr. Stallings asked that a change be made in Article IV-a, second line, to read "Faculty Senate" instead of Faculty Assembly. Mr. Haas questioned Article VII and Counsel explained this was put in so that authorization is given by the Regents to sign documents in day-to-day business of the University. A motion was made by Dr. Stallings and was seconded by Mr. Hamburg to approve the revisions as submitted with the change of the one word "Senate" as pointed out above. The motion passed unanimously. — Revised Regents' By-Laws

B-5 - Dr. Albright recommended the adoption of the Interim Budget as the permanent Budget with the amended pages submitted. The changes occurred primarily in taking some $100,000 out of contingency and allocating it to the law school, fine arts department, and other areas where several positions were added. Mr. Haas moved that the Budget be adopted with the amended pages. The motion, seconded by Mr. Nienaber, was passed unanimously. — Budget for 1976-77 Adopted

B-6 - The following recommendation is presented to legalize what is being done when it becomes necessary to revise areas of the budget. Budget units will be able to revise their budget only within expenditure areas.

"That authorization be given to the President to revise the budget as necessary. Such revisions are to be ratified by the Board quarterly."

The recommendation was adopted as presented on motion by Mr. Martin, second by Dr. Wippermann and passed unanimously. — Budget Revisions

B-7 - Dr. Albright expressed the need for a management audit (not a financial audit) in order to determine if we are set up to manage our fiscal affairs. He said he visualized a "highlight" audit that should not take over three weeks. Upon Mr. Haas' question if the Board could be given some idea as to cost as well as the company, Dr. Albright replied that he did not have a particular company in mind, but felt the cost should be approximately $3,000. Mr. Mann stated that some auditing firms have more expertise in this type of audit than others. Mr. Martin questioned whether we should have the same auditing firm that does our financial audit inasmuch as this firm might have suggested certain procedures; therefore, an outside firm could give a more objective management audit. Mr. Haas made a motion that the Board approve a management audit costing no more than $5,000. The motion, seconded by Mr. Martin, was passed unanimously. — Management Audit approved

B-8 - A Donee Resolution for the Division of Surplus Properties listing present administrators was passed as presented on motion by Dr. Wippermann, second by Judge Poston and passed unanimously. (Resolution attached to original minutes.) — Resolution for Surplus Properties

B-9 - Mr. DeMarcus reported that the Departments of Biological Sciences and Physical Sciences have requested that the university submit an application to the Internal Revenue Service for the purchase of tax-free alcohol for use in their lab activities. The regulation governing the submission of the application requires that the Board of Regents pass a resolution appointing an official of the university to act as their agent in dealing with IRS on this matter. The agent will be required to execute the application and to be responsible for the laws and regulations issued by IRS governing the tax-free certification. Mr. DeMarcus suggested that Dr. John Thieret, chairman of the Department of Biological Sciences, be appointed the agent for Northern. A motion was made by Mr. Mann, seconded by Mr. Nienaber, that Dr. John Thieret be appointed agent for Northern Kentucky University to submit such application for tax-free alcohol. The motion was passed unanimously. — Application for tax-free alcohol for labs

B-10 - The following resolution was prepared by Counsel Brooking and submitted to the Regents for their approval:

"Resolved that all Constitutions, Codes, By-Laws, Regulations, Resolutions, and any other official action taken by the Board of Regents, Administrators, Faculty or Students of Northern Kentucky University prior to June 19, 1976 in the name of Northern Kentucky State College, be and the same are now changed to read Northern Kentucky University for all purposes on or after June 19, 1976." — Uniform changes from College to University

Mr. Haas moved that the resolution be adopted as presented. The motion was seconded by Dr. Stallings and was passed by unanimous vote.

B-11 - With the passage of the following resolution as recommended, Dr. Albright will submit to the Regents at a later date a reorganizational structure for NKU: — Reorganizational Structure

"Resolved, the President is hereby authorized to reorganize the University organizational structure, both Academic and Administrative, and that he shall submit the plan or reorganization to the Board of Regents for final approval."

Motion to accept the resolution as presented was made by Mr. Martin, was seconded by Mr. Mann, and was passed unanimously.

B-12 - The following recommendation presented by President Albright will authorize the administration to work out a policy, with the advice of Counsel, on the dissemination of personal data to comply with both the Buckley Amendment and Kentucky's new Open Records Law. — Policy for dissemination of personal data

"That authorization be given to establish a policy regarding the dissemination of personal data or release of records concerning all University personnel."

Motion to adopt the recommendation was made by Mr. Martin, was seconded by Mr. Hamburg, and was passed unanimously.

B-13 - Revisions in the Constitution of the Faculty Senate (copy attached to minutes) reflect no great changes - one being the reduction of teaching load for President of the Senate, subject to arrangement with the department chairman. Dr. Stallings moved that the revised constitution of the Faculty Senate be approved. The motion was seconded by Judge Poston and was passed unanimously. — Faculty Senate Constitution revised

B-14 - Director of Public Safety, Mr. Bill Ward, has resigned and Dr. Albright recommended that the Regents accept the resignation. The resignation of Mr. Ward was accepted on motion by Mr. Haas, second by Mr. Nienaber, and passed unanimously. — Resignation of Public Safety Director

B-15 - President Albright stated that it would be appropriate for the Board to authorize some memorial for Charles Landrum in the way of designating some part of our physical plant. Mr. Mann moved that the President be authorized to suggest some appropriate memorial for Charles Landrum and report to the Board for their approval. The motion, seconded by Dr. Wippermann, was passed unanimously. — Memorial for C. Landrum

B-16 - Dr. Albright recommended that the Board extend the present provision for legal services for another year with John Brooking as legal counsel for the University and Board of Regents and Philip Taliaferro as special counsel. Mr. Haas moved that the present legal arrangements be continued for another year. The motion was seconded by Judge Poston. Dr. Albright injected the idea that, as is customary for most institutions, the time is coming when Northern will need to employ a full time legal person on the staff, particularly with all the federal regulations the university is required to follow. — Legal Counsel Arrangments Continued

Mr. Lucas opened a discussion concerning extra compensation for Dr. Tesseneer and Dr. Price for their additional duties during the 9-1/2 months they served in acting capacities. A motion was made by Mr. Martin, was seconded by Mr. Haas, that in accordance with the Board's recommendation at a prior meeting, Dr. Tesseneer receive $4,000 extra compensation for his duties as Acting President and Dr. Price receive $2,500 extra compensation for his duties as Acting Vice President of Academic Affairs - both for the period of September 16, 1975 to June 30, 1976; and that the Board extend their deepest appreciation to both Dr. Tesseneer and Dr. Price for their excellent efforts during the same period. The motion was passed unanimously with several board members expressing appreciation to both men. — Appreciation to Dr. Tesseneer and Dr. Price

OTHER BUSINESS

C-1 - The 1975-76 Annual Report, prepared by Dr. Tesseneer, was submitted to the Regents. Dr. Albright stated this was the best report he has seen of this kind and that Dr. Tesseneer be commended for getting out such a fine report. Motion by Mr. Haas that the 1975-76 Annual Report to the Board of Regents be accepted with commendation to Dr. Tesseneer was seconded by Dr. Wippermann and was passed unanimously. — 1975-76 Annual Report to Regents

C-2 - Student Regent Nienaber presented a letter of appreciation from the Student Government to the Regents and expressed his personal appreciation for the opportunity to be a working part of the Board, commenting that this is not true at many universities. Chairman Lucas acknowledged the letter with commendation to Student Government for this fine attitude and Mr. Lucas further commended both Mr. Nienaber and Mr. Gary Eith for their good work on the Board and especially the hard work during the presidential selection process. — Appreciation from Student Govt.

C-3 - Dr. Albright stated that the University has several projects for which funds need to be requested. These projects include extension of things already started but not completed, such as landscaping, renovation of the Reception Center, and others which will cost approximately $2-1/2 million. He further reported that Mr. DeMarcus and he met with the Commissioner of Finance and Chief Engineer for the State making such a request for funds and he does not know yet if or how much we will receive. Request for funds for special projects

President Albright stated also that he felt it was the proper time to sell the property on Carrington Point and designate a president's home on the campus. Counsel Brooking explained that because of state regulations, the University could not buy the house on Carrington Point, therefore the Northern Kentucky Volunteer Association was set up for the express purpose to purchase the house. The University leased the house from the Association. The Northern Kentucky Volunteer Association (not to be confused with Northern Kentucky University Foundation) was set up for this one purpose and according to the Articles of Incorporation, the Association will turn over to the university or its designee any proceeds from the sale of the house to further educational goals of the University. Motion was made by Mr. Haas that the Board of Regents suggest to the Northern Kentucky Volunteer Association that they dispose of the Carrington Point house. The motion was seconded by Mr. Martin and was passed unanimously. Carrington Point House

The Board was asked by President Albright to consider naming the Pompillio house as the University's official Reception Center and that the house at 1 Campus Drive become the official President's Home. The request was approved on motion by Mr. Martin, second by Mr. Nienaber and passed.

C-4 - Dr. Albright announced that a permanent plaque would be placed in Regents Hall on which all Regents' names and their terms of office will appear, stating there is no other place on campus that such names appear and felt Regents Hall would be the appropriate place. He visualized a plaque large enough for names to be added for many years to come. Regents' Plaque in Regents Hall

Chairman Lucas officially welcomed Dr. Robert Vitz as new President of the Faculty Senate. Dr. Vitz has replaced Dr. Warren Corbin, who was appointed Acting Chairman of the Education Department. The term of office will expire May 1, 1977.

Dr. Stallings spoke on the subject of naming buildings, expressing the idea that not only should a building carry the name of a person who deserves the recognition, but also should include the function of the building; i.e. Jones Science Building or Jones Fine Arts Building, etc. Mr. Mann stated that he felt there was no hurry to name buildings and that he was concerned with naming buildings for living persons. Dr. Albright suggest that, in addition to setting a policy for naming buildings, there needs to be more attention given to signage throughout the campus. Naming of Buildings

Mr. Martin expressed the desire to have a refresher on the Master Plan. Mr. DeMarcus said he would take care of this at a later date.

The next quarterly meeting of the Board of Regents was set for Thursday, October 28, 1976 at 7:30 p. m. The Regents will meet at the Reception Center for dinner at 6 p. m.

On motion by Mr. Mann and second by Judge Poston, the meeting was adjourned for an Executive Session to discuss a personnel matter. The meeting adjourned at 7:05 p. m.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting on August 27, 1976 and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 10th day of September, 1976.

AGENDA
BOARD OF REGENTS MEETING
Monday, October 18, 1976
Northern Kentucky University
Reception Center

5:30 p.m. - EXECUTIVE SESSION to discuss personnel matters

6:15 p.m. - DINNER served to the Board of Regents

7:15 p.m. - REGULAR AGENDA

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES OF PREVIOUS MEETING - Regular Meeting, 8/27/76 - previously mailed

A. PRESIDENT'S REPORTS
   1. Fall Enrollment Report
   2. Quarterly Financial Report (will be mailed at a later date)
   3. Construction Progress Report
   4. Report on Grant Programs
   5. Progress Report on Provost
   6. Management Audit
   7. Plaque in Regents Hall

B. PRESIDENT'S RECOMMENDATIONS
   1. Personnel
      a. Department Chairmen
      b. Sabbatical Leaves
      c. New Personnel
         (1) Faculty
         (2) Administration
   2. Budget Revisions for first quarter
   3. Respiratory Therapy Program
   4. Professional Liability Insurance Coverage

C. OTHER BUSINESS
   1. Set dates for meetings for 1977

ADJOURNMENT

MINUTES OF THE BOARD OF REGENTS MEETING
Monday, October 18, 1976

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 5:40 p.m. on Monday, October 18, 1976 at the Reception Center of the University by Chairman Kenneth Lucas. All Regents were present.

A motion was made by Mr. Martin, seconded by Dr. Stallings, that the Regents go into Executive Session to discuss personnel matters. The motion was passed unanimously.

The Executive Session was recessed at 6:20 p.m. on motion by Mr. Haas and second by Mr. Martin.

The regular business meeting convened at 7:40 p.m.

Roll Call: Regents present - Mr. Elmer Haas, Mr. John Hamburg, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Mr. S. Alex Parker, Judge James Poston, Dr. Edgar Wippermann, Dr. Frank Stallings and Mr. John Nienaber.
Others present - President A. D. Albright, Counsel John Brooking, Dr. Gene Scholes, Mr. John DeMarcus, Dr. James Claypool, Mr. Robert Knauf, Mr. Bill Woods, Dr. Barbara Smith, Dr. Roger Meade, Mrs. Dolores Thelen, Mr. Jack Hicks of the Kentucky Enquirer, and Mr. Tim Funk of the Northerner.

The minutes of the previous regular meeting, August 27, 1976, were approved as printed on motion by Mr. Haas. The motion was seconded by Judge Poston and was passed unanimously.

PRESIDENTIAL REPORTS

A-1 - The enrollment figure of 7,005 students at this time may be increased as continuing education courses begin.

A-2 - The quarterly financial report is not complete due to the early meeting of the Regents this month and will be mailed to each Regent when it is completed. Mr. Woods, Acting Comptroller, indicated he had some revenue figures available if the Board were interested.

A-3 - Mr. DeMarcus updated the Construction Projects report by stating that the Dedication of the new Academic Building would be held November 7, 1976. Construction Programming for the Administration Building is now completed and will go Progress to the architects for preliminary drawings. Upon questioning by Mr. Mann, Mr. DeMarcus explained the procedure of determining which buildings will be given priority. Any buildings in the Master Plan which have not previously been approved by the Commonwealth are requested in the biennium budget request listing building priority. This biennium budget request, which is approved by the Board of Regents before being submitted, includes both the building requests (Capital Construction) as well as operating monies. The request is presented to the Council on Public Higher Education and the Council makes recommendations to the Executive Department for Finance & Administration after having reviewed requests from all institutions. The Finance Department then reviews the requests received from the Council and makes its recommendation to the Governor for the Executive Budget which is sent to the Legislature for action. During the reviews, the Council as well as the Finance Department go over the requests very carefully with each institution. The final outcome is not always the same as the original request in monies

or priority of buildings. For instance $70 million was the amount allocated for higher education capital construction and nearly $200 million was requested by institutions of higher education. Therefore, Northern's $27 million request was cut as well as requests from other institutions.

Mr. Martin asked why we have not had to sign revenue bonds since the Science Building bond signing. It was explained by Mr. DeMarcus that Northern has been fortunate to have received funds for the fine arts building, the new academic building, the student center and the administration from operating surpluses of the state or federal revenue sharing monies. It is estimated that our bonding potential at this time is approximately $10 million.

The original Master Plan was approved by the Board of Regents and from time to time the state reviews and updates the Master Plan. The state is considering appointing new architects (Johnson, Johnson and Roy) to work on the Master Plan. The final update of the Master Plan will come back to the Board of Regents for their approval. Master Plan

Judge Poston asked when we would be providing adequate housing for the law school as requested by the American Bar Association during accreditation meetings. Mr. DeMarcus said it was in the planning to renovate Nunn Hall for the law school when the Administration Building is completed, but that it would be some 2-1/2 to 3 years before everything could be completed.

Mr. DeMarcus announced that Northern had received a grant from the National Endowment of the Humanities (a division of the Department of Health, Education and Welfare). This was the largest grant ever from this agency according to Mr. DeMarcus. The grant calls for sculpture for our campus. The National Endowment of the Humanities appointed a committee consisting of persons of renoun in the art world to select artists to do the sculpture. One artist was commissioned to do a piece of sculpture for $60,000 and one artist for $40,000. "A smaller portion of this will come from state funds," Mr. DeMarcus said. As yet, there is no idea of what type of sculpture will be created. Mr. DeMarcus presented a contract to be signed. Judge Poston indicated he would not be willing to vote for anything without first reviewing it. Mr. Parker and Mr. Mann indicated they could not vote "aye" on something they knew nothing about. Dr. Stallings suggested that the artists could submit drawings or proposals so the Board could get some idea of the sculptures that would be done. After further discussion, Mr. Mann suggested that a committee of Regents and Administration be appointed to investigate further and make a recommendation to the Board. Chairman Lucas appointed Mr. Parker and Judge Poston to represent the Board and asked Dr. Albright to appoint representatives for the Administration. Mr. Haas made a motion that after the committee has met and finds that a decision has to be made prior to the next board meeting that the President's office be authorized to poll the Board members to ratify any position taken by the committee. Mr. Nienaber said he felt we ought to leave it up to the committee. The vote was as follows: Grant for Sculpture

Aye: Haas, Hamburg, Mann, Martin, Parker, Poston, Wippermann, Stallings
Nay: Nienaber The motion was passed.

A-4 - Dr. Albright stated that while we are receiving some outside funding for programs, the effort to acquire outside funding would be stepped up. Dr. Albright explained that the Reading Academy was for the purpose of increasing reading comprehension and skills of low-income, underprivileged individuals who have difficulty in this area.

A-5 - Dr. Scholes reported that the screening committee to choose a Provost has worked long and hard and now have the applicants narrowed to 7. The committee will meet with the President tomorrow to determine the next step in the selection process. Dr. Scholes explained that the Provost would act as the principal academic officer of the University. Committee on Provost

A-6 - Dr. Albright reported that the process of securing a Management Letter was underway and it should be completed in about 5 weeks.

A-7 - As authorized by the Board at the last meeting, contact has been made with Michaels Art Bronze about a plaque for Regents Hall listing all Regents, present and future, who have served. A preliminary estimate is $2500. Further information will be available at a later date.

PRESIDENTIAL RECOMMENDATIONS

B-1(a)-President Albright stated that the departmental faculties of Education, Psychology and Business have recommended that their acting chairmen be made permanent chairmen. Mr. Mann moved that Dr. Warren Corbin be appointed chairman of the Department of Education, that Dr. Vincent Schulte be appointed chairman of the Department of Psychology and that Dr. Russell Yerkes be appointed chairman of the Department of Business Administration as recommended by the President. The motion, seconded by Dr. Stallings, was passed unanimously. Dr. Albright stated that salaries would be adjusted accordingly. Permanent Chairmen approved

B-1(b)-Dr. Albright recommended that Mrs. Margery Rouse and Dr. Jerry Carpenter be given sabbatical leaves for the 1977 Spring Semester as they both have qualified under the present policy of the University. Mr. Martin made a motion that the sabbatical leaves be approved as recommended by the President. The motion was seconded by Mr. Nienaber. Dr. Albright said that Mrs. Rouse will spend her time investigating English teaching in the secondary schools in the area and will bring recommendations to her department in order to help upgrade the program of teaching students to teach English. Dr. Carpenter will work on developing a coop program. The motion passed unanimously. Sabbatical leaves approved

B-1(c)-Dr. Albright recommended that the following new faculty be employed:

Dr. Barbara Smith as Coordinator of Student Activities and Assistant Professor of English
Ms. Karen Chapman as Assistant Professor Economics
Ms. Martha B. Keller as Director of NKU Reading Academy

New Faculty approved

Dr. Barbara Smith was introduced. Mr. Hamburg moved that the recommendation of President Albright be approved. The motion was seconded by Dr. Wippermann and was passed unanimously.

B-2 - Mr. Woods reported one change in the Budget Revisions submitted - that BJ 3-90, Student Activity Fee Revenue shows a 1,500 increase instead of decrease making the revised figure $10,800. A motion was made by Mr. Haas and seconded by Judge Poston that the budget revisions as presented be approved with the correction. Mr. Parker asked about the Contingency Fund and it was explained that this was an unallocated amount that can be used for emergencies or oversights in the basic budget. The vote to accept the revisions was unanimous. Budget Revisions approved

B-3 - President Albright recommended that the proposed Associate Degree Respiratory Therapy Program be approved so it may be considered by the Council on Public Higher Education. Dr. Wippermann made the motion that the program be approved stating that there is a great need in this area for such a program and that clinical facilities will be available in Northern Kentucky hospitals with such hospitals indicating a desire to support the program. The motion, seconded by Mr. Parker, was passed unanimously.

Respiratory Therapy Program approved

B-4 - The President's recommendation that professional liability insurance coverage be approved to cover regents, faculty, administrators and staff (supervisory, technical, scientific, office and clerical) was highly supported by University Counsel. A motion was made by Dr. Wippermann that $100,000 personal liability coverage be purchased. The motion was seconded by Mr. Hamburg and was passed unanimously.

Professional Liability Coverage approved

Mr. Martin moved that the excess coverage to a $5 million limit also be secured. The motion, seconded by Mr. Hamburg, was passed unanimously.

OTHER BUSINESS

Dr. Albright reported that the new Academic Center is now being occupied and suggested that the Center be named Charles O. Landrum Academic Center during the dedication ceremonies on November 7. Mr. Mann moved and Dr. Wippermann seconded a motion that the Center be called the Charles O. Landrum Academic Center. The motion passed unanimously. Chairman Lucas asked Mr. Mann and Dr. Wippermann to make contact with Mrs. Landrum to notify her of the plans. Dr. Stallings commented that he was glad to hear that it is being called "Center" instead of building.

Charles O. Landrum Academic Center named

President Albright reported that a committee has been working on recommending a Financial Aid Director for approval. The applicants have been narrowed to 4 and the committee is considering offering a contract to one of the four. A motion was made by Mr. Nienaber and seconded by Mr. Mann that Dr. Albright be given authority to employ a Financial Aid Director with a salary between $18,500 and $19,500. Dr. Gray of Southern Illinois is being seriously viewed as one prospect for employment. The motion was passed unanimously.

Financial Aid Director being sought

Dr. Albright reported that the University was served with a damage suit resulting from an auto accident in one of the state cars by one of our former employees and asked authorization to employ special counsel to handle this case. Counsel Brooking stated he had contacted the Attorney General's office and was advised that they would not handle such a case and suggested a special counsel. The University Counsel recommended that the firm of Ware, Bryson, Nolan, West & Hiltz be retained. Mr. Mann moved that the firm be retained to handle this court action. The motion, seconded by Mr. Martin, was passed unanimously.

Damage Suit

The following resolution was presented: Tihany Case

"Resolved, that the Board of Regents does hereby authorize its Chairman, President and Counsel to execute a settlement agreement and any related documents thereto in the legal actions entitled Leslie Tihany vs. Board of Regents, Northern Kentucky State College (now Northern Kentucky University) et al., the terms of said settlement agreement being made a part of this Resolution, all of which is subject to the approval of the Attorney General, Department of Finance, Commonwealth of Kentucky and of the Campbell Circuit Court and/or Court of Appeals of Kentucky; Counsel for Northern Kentucky University is directed to obtain final orders of dismissal/judgment in the Campbell Circuit Court and/or Court of Appeals, together with the U.S. District Court in a separate, but related legal action upon the final conclusion of the settlement agreement."

Judge Poston moved that the resolution be accepted as presented. The motion was seconded by Mr. Nienaber. The vote was as follows:

Aye - Hamburg, Mann, Martin, Parker, Poston, Wippermann, Stallings, Nienaber
Nay - None Abstained - Haas The motion passed.

Dates for the 1977 Board of Regents meetings were discussed and it was generally agreed that the meetings would be held the Third Mondays in January, April, July and October of 1977.

The meeting was adjourned at 9:25 p.m. on motion by Mr. Haas and second by Mr. Nienaber.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting on October 18, 1976 and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 17th day of November, 1976.

AGENDA
BOARD OF REGENTS QUARTERLY MEETING
Monday, January 17, 1977 - 4:30 p.m.
Board Room - Nunn Hall

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES of previous quarterly meeting, October 18, 1976

A. PRESIDENT'S REPORTS

1. Sculpture Projects
2. Bronze Plaque for Regents Hall
3. Report on Financial Position of Special Services Program
4. Report on Grant programs
5. Construction Report
6. Status Report on I-275 and I-471
7. Financial Audit for 1975-76 (will be mailed under separate cover)
8. Report on Gifts and Grants
7. QUARTERLY FINANCIAL REPORT

B. PRESIDENT'S RECOMMENDATIONS

1. Personnel Matters
2. G.E.D. and A.C.T. Testing Fees
3. Letter of Agreement with Boone Co. Schools
4. Self-Study
5. Policies - 3 pages of corrections
6. Budget Revisions for second quarter (Summary addendum)
7. Management Letter
8. Open Records Law
9. PUBLIC SAFETY
10.

C. OTHER BUSINESS

1.
2.

ADJOURNMENT - Next quarterly meeting scheduled for April 18, 1977

MINUTES OF THE BOARD OF REGENTS MEETING
Monday, January 17, 1977

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:45 p.m. on Monday, January 17, 1977 in the Board Room, Nunn Hall, Highland Heights Campus by Chairman Kenneth Lucas. Invocation was given by Dr. Joseph Price.

Roll Call: Regents present: Mr. Elmer Haas, Mr. John Hamburg, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Alex Parker, Judge James Poston, Dr. Edgar Wippermann, Dr. Frank Stallings, Mr. John Nienaber.
excused: Mr. Gordon Martin, who was on vacation.
Others present: President A. D. Albright, Dr. Gene Scholes, Dr. Janet Travis, Mr. Roger Buchanan, Mr. John DeMarcus, Dr. James Claypool, Mr. Robert Knauf, Dr. Joseph Price, Mr. Bill Woods, Dr. Roger Meade, Mr. Gary Eith, Dr. Barbara Smith, Ms. Laura Powers, President Bob Vitz of Faculty Senate, Jack Hicks of the Kentucky Enquirer, Jim Reis of the Kentucky Post, Tim Funk and Maryevelyn Wilson of the Northerner, Student Dave Harden and Ms. Dolores Thelen.

The chairman welcomed all present.

The minutes of the regular quarterly meeting of October 18, 1976 were approved as submitted on motion by Mr. Haas. The motion, seconded by Mr. Hamburg, was passed with 6 aye votes, 0 nay votes. — Minutes of 10/18/76 approved

PRESIDENTIAL REPORTS:

A-1 - President Albright reported that the committee to investigate the Sculpture Projects, as authorized at the last Regents meeting, met and recommended to approve and proceed with the projects. Mr. Haas moved that the recommendation of the committee be adopted. The motion was seconded by Mr. Nienaber and was passed with 7 aye votes, no nay votes. — Sculpture Projects approved

A-2 - Mr. Knauf displayed a rubbing of the plaque that will be mounted on the outside front of Regents Hall, listing all past, present and future Regents, and stated that it would be completed very soon. — Regents' Plaque

A-3 - An information report for the Regents was presented by Dr. Albright on the "Special Services for Disadvantaged Students Program." When questioned as to why such an audit was performed, Dr. Meade stated that HEW required that there be such an audit of that program. The internal auditor performed the audit and found the records to be generally in order. — Special Services Audit

A-4 - The report on special programs submitted gives a picture of projects that have been approved for funding, giving a total funding since July 1, 1976 of $223,524, according to Dr. Albright. — Special Programs Report

A-5 - In addition to the written construction progress report submitted, Mr. DeMarcus reported that the Library received a lot of publication in magazines in that companies supplying materials have used pictures of the library in their advertisements as shown on the display prepared by Mr. DeMarcus' office. — Construction Progress

A-5 (continued)

Mr. DeMarcus further reported that while some funds were allocated in our capital construction fund for campus sidewalks, safety lighting, etc. there was not enough to complete the projects and additional funds have been requested but as yet have not been received. The vocational school, for which the Regents leased land to the Department of Education with the stipulation that the building blend in with our campus' concrete buildings, is in the planning stage. The plans were inspected by Mr. DeMarcus and it was found that the building is to be brick-faced. Mr. DeMarcus stated that he is working with the Division of Engineering to try to straighten out the situation and hopefully the building will be faced with concrete. Mr. DeMarcus and Mr. Eith showed slides with details of the Administrative Center and the new Academic Center. When asked about alternative heating, Mr. DeMarcus said nothing could be done unless there was additional funding. This applies to other areas such as single pane windows in Landrum Center inasmuch as the University has to stay within the limits allotted for a building. Dr. Albright mentioned that a system is available to control energy automatically which would save on energy costs and such a system would be investigated. Dr. Albright further complimented the Board of Regents for what has transpired on this campus noting that a lot of good work has been done and a lot of progress has been made over a short period of time.

Construction Progress Report

A-6 - Attention was called to the letter from the Highway Department on the status of I 275 and I 471. Mr. Haas reported that he has been in contact with area congressmen and senators to keep them aware of how important these roads are to the University. Representative Gene Snyder informed him last week that the problem of noise control near I 471 would be solved in the next two or three weeks and progress could begin in that area. Mr. Haas stressed the importance of students and others writing their state and national representatives showing their concern over the construction snags and urging a speed-up on both roads. He also urged members of the Board of Regents to do the same as he felt the more pressure used would be helpful in getting the job done.

Status of I 275 and I 471

Urged letters

A-7 - The Financial Audit for 1975-76 was presented to the Regents. Counsel Brooking noted that this was the first time the audit had been received so quickly and congratulated those responsible. Mr. Mann made a motion, which was seconded by Judge Poston, that the audit report for 1975-76 be received. The motion passed with 8 aye votes, no nay votes.

Financial Audit 1975-76

A-8 - President Albright outlined gifts received recently. A motion was made by Mr. Haas and seconded by Dr. Stallings that the President express the Board's pleasure and appreciation for these gifts to the proper persons. Motion was passed with 8 aye, 0 nay votes. Counsel Brooking brought to the attention of the Regents and the administration that when a gift is received it be clearly specified whether it should go to the University or the Foundation.

Gifts received

In discussing the supplementary construction allocations, particularly the update of the Master Plan, President Albright noted that the Division of Engineering will employ a firm to update the whole campus plan and make projections over a ten year period, and stating that we are at the stage where we need to project the physical part of the development of the campus.

Allocations

A-9 - The quarterly financial report of revenues and expenditures was presented. Mr. Buchanan noted that the report was submitted in summary form rather than the detailed form they had previously been submitted. He further stated that a detailed report is available if any of the Regents have a question about a particular item. Mr. Woods called attention to the narrative section which had been added hoping it would be helpful to the Regents in looking at the financial picture of the University. — Quarterly Financial Report

PRESIDENTIAL RECOMMENDATIONS:

B-1 - President Albright introduced Mr. Roger Buchanan as Director of Budget and Financial Affairs and Dr. Janet Travis as the new Provost. Dr. Albright recommended that the following new staff appointments be approved: — New Staff approved

Dr. Janet L. Travis as Provost at a salary of $35,000 per fiscal year
Dr. Charles E. Gray as Director of Financial Aid at a salary of $19,000 per fiscal year
Mr. Dennis L. Taulbee as Fiscal Management/Policy Analyst at a salary of $19,500 per fiscal year
Mr. John Conway as Director of Public Safety at a salary of $18,000 per fiscal year.

Motion was made by Dr. Stallings that the above persons be approved upon the recommendation of the President. The motion, seconded by Mr. Hamburg, was passed with 8 aye, 0 nay votes.

Upon the recommendation of Department Chairman Butler and Associate Dean Price, Dr. Albright recommended to the Board that the contract of Dr. Andrew Seacord not be renewed for the 1977-78 academic year. Mr. Haas moved that the recommendation be adopted. The motion was seconded by Judge Poston and was passed with 8 aye, 0 nay votes.

B-2 - The recommendation of Dr. Albright that the GED testing fee be lowered from $7 to $5 for the full test or $1.50 per section and the current fees of $7 for the Miller Analogy Test and $8 for the ACT fee was adopted on motion by Dr. Wippermann. The motion was seconded by Mr. Nienaber and was passed with 8 aye, 0 nay votes. — Testing Fees Established

B-3 - President Albright explained that the Letter of Agreement with Boone County Schools is for the loan of a temporary classroom building-trailer which has been used for our classes on the Boone County campus, but since Boone County has provided us space in one of their buildings, we will loan the trailer subject to recall. Mr. Hamburg moved that the agreement be approved subject to the modification by Counsel Brooking as to insurance provisions, a holding harmless agreement to the University, and same being subject to the approval of the Executive Department for Finance and Administration, if necessary. (Addendum to original agreement prepared by Counsel attached to minutes.) The motion was seconded by Mr. Mann and was passed with 8 aye, 0 nay votes. — Agreement with Boone County Schools

B-4 - In recommending the self-study of the University, Dr. Albright stated that it will be under the direction of Dr. Tesseneer. A motion was made by Mr. Haas to approve the recommendation for a self-study, was seconded by Dr. Stallings, and was passed with 8 aye, 0 nay votes. — Self-Study approved

B-5 - After explaining policies A through I, Dr. Albright recommended that they become effective upon the adoption by the Board of Regents. The policies are:

A - Equal Opportunity
B - Campus Sales
C - Use of University Facilities
D - Motor Vehicle, Traffic and Parking Regulations
E - Order of Communication and Reports
F - The Faculty Member as a Scholar and a Citizen
G - Student Affairs - NKU Student Government
H - Reviews of Educational Units
I - Equal Opportunity Panel

Dr. Stallings questioned the word "normal" in section F and it was interpreted by the President to be anything that does not let a situation follow in a regular manner and that ultimately the Board of Regents determines what is normal. Dr. Wippermann moved that the policies be adopted upon the recommendation of the President. The motion, seconded by Dr. Stallings, was passed with 8 aye, 0 nay votes.

Policies A-I adopted

B-6 - The Regents were presented with budget revisions for the last quarter in summary as well as in detail (as required for audit purposes). A motion was made by Mr. Mann to approve the revisions as presented. The motion was seconded by Mr. Nienaber and was passed with 8 aye, 0 nay votes.

Budget Revisions approved

B-7 - President Albright presented the "Report on Study of Business and Financial Functions". The Regents had approved such a study being made at a previous meeting. Motion was made by Judge Poston, was seconded by Dr. Wippermann, that the report be received and the University administration consider the recommendations made and implement those appropriate policies and procedures growing out of this report. Dr. Albright stated that he had no estimated cost for implementing the recommendations, but did feel that most could be instituted without too great a cost. Mr. Mann said that he would be interested to see how many recommendations or procedures were used in order to have a check to see if such a report is valid. The Board indicated their desire to have a report six months hence listing the parts of the report which were implemented. The motion was passed with 8 aye, 0 nay votes.

Report on Study of Business and Financial Functions

B-8 - The recommendation to implement the Open Records Act (KRS 61.780 et seq) and appoint the President as Official Custodian was approved on motion by Mr. Haas, The motion, seconded by Mr. Parker, was passed with 8 aye, 0 nay votes.

Open Records Act Custodian appointed

B-9 - The administration was authorized to develop appropriate policies and procedures for the Department of Public Safety on motion made by Mr. Mann and seconded by Judge Poston. Motion passed with 8 aye, 0 nay votes.

Policy for Dept. of Public Safety

B-10 - President Albright reported that we had received sometime ago from Mrs. Paul Sipes 10 shares of Sears stocks and 4 shares of R.J. Reynolds stock. Since some of these are decreasing in value, Mrs. Sipes was contacted and she suggested the stocks be sold and proceeds put into circulation for the education of students. Mr. Parker moved that the President be authorized to sell the

Sale of Stocks

B-10 continued -

stocks and that the proceeds be put into the Foundation to be used for the education of students. (Resolution of authorization attached to minutes.) The motion was seconded by Mr. Hamburg and was passed with 8 aye, 0 nay votes. Sales of Stocks

OTHER BUSINESS

President Albright reported that he was leaving Friday, January 21st for 2-1/2 weeks to do some consulting work for the Ministry of Higher Education in Algeria. These arrangements had been made prior to his appointment on July 1, 1976 and he felt it was necessary to keep the commitment. While absent, the President designated Dr. Gene Scholes, his chief administrative officer, to operate as Acting President.

Chairman Lucas noted that since Dr. Albright had come to the University there had been a number of receptions, brunches, etc. honoring him; however, there had not been a formal sort of inauguration. He said that he felt it would be appropriate to honor Dr. Albright in some sort of a short inaugural ceremony, perhaps at graduation on May 15, and this could perhaps set a precedent for future presidents. The chairman appointed the following persons to a committee to consider this idea: Dr. Gene Scholes, Dr. Janet Travis, Dr. Frank Stallings, Dean Jack Grosse, Dr. Robert Vitz, Mr. John Nienaber, and Mr. Robert Knauf.

The meeting was adjourned at 6:50 p.m. on motion by Mr. Haas and second by Mr. Parker.

E.J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting on January 17, 1977 and that such matters are still in force and effect.

E.J. Haas, Jr., Secretary

Dated this 27th day of January, 1977.

Board

AGENDA
BOARD OF REGENTS QUARTERLY MEETING
Monday, April 18, 1977 - 4:30 p.m.
NORTHERN KENTUCKY UNIVERSITY
Board Room - Nunn Hall - Highland Heights Campus

Introductions
Connor
Faulkner
Craig

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES of previous quarterly meeting - January 17, 1977 (previously mailed)
Correction - John B Connor

A. PRESIDENTIAL REPORTS

1. Campus Construction Projects
2. "Letter of Agreement" with Boone County Schools
3. Schedule of Tuition and Fees
4. Nursing Program Acceptance Deposit
5. Department of Public Safety
6. Gifts, Grants and Supplementary Allocations Confirmation of In-Use Audit
7. Programs Report from Office of Research and Development
8. Sale of Stocks
9. Appointment of Un. Editor -

B. PRESIDENTIAL RECOMMENDATIONS

1. Reorganization
2. Faculty Personnel Policies and Procedures (Revisions) - Connor
3. Staff Personnel Policies and Procedures
4. Personnel Action
5. Academic Employment Contract
6. Authority for Director of Purchasing
7. Authorized Signatures for Custodian of funds and bank accounts
8. Budget Revisions for third quarter
9. Collection of Accounts + Bad Check -
10. Policy for Student Payment of Tuition and Fees - Should read Fall Semester 1977
11. Sale of Ft. Thomas property
12. Lease for NKSC Foundation
13. Site for Health Training Facility - .
14.

C. OTHER BUSINESS

1. Insurance Comm. Recom.
2.

Budget Meeting - May 23 or May 16, if necessary.
Next quarterly meeting scheduled for July 18, 1977

ADJOURNMENT

MINUTES OF BOARD OF REGENTS QUARTERLY MEETING
Monday, April 18, 1977

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order by Chairman Lucas at 4:45 p.m. on Monday, April 18, 1977 in the Board Room of Nunn Hall on Highland Heights Campus. The invocation was given by Dr. Price.

Roll Call:

Regents present: Mr. Elmer Haas, Mr. John Hamburg, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Alex Parker, Judge James Poston, Dr. Frank Stallings, Mr. John Nienaber, Mr. Gordon Martin. Excused: Dr. Edgar Wippermann

Others present: President A. D. Albright, Counsel John Brooking, Emeritus Regent Charles Wiley, Dr. Gene Scholes, Dr. Janet Travis, Mr. Roger Buchanan, Mr. John DeMarcus, Dr. James Claypool, Mr. Robert Knauf, Dr. Joseph Price, Dean Jack Grosse, Faculty Senate President Robert Vitz, Dr. Barbara Smith, Mr. John Connor, Mr. Ron Simpson, Mr. Dennis Taulbee, Ms. Debra Wash, Ms. Barbara Herald, Dr. Charles Gray, Dr. Lew Wallace, Dr. Vincent Schulte, Dr. Roger Meade, Ms. Peg Moerth and Mr. Tim Funk of the Northerner, Mr. Jim Reis of the Kentucky Post, Ms. Perk Rudd and Ms. Dolores Thelen.

After the chairman greeted all present, Dr. Albright introduced new staff members: Mr. John Connor, Director of Public Safety; Dr. Charles Gray, Director of Financial Aid; and Mr. Dennis Taulbee, Fiscal Management/Policy Analyst.

The minutes of the previous quarterly meeting, January 17, 1977 were approved on motion by Mr. Haas. The motion, seconded by Mr. Hamburg, was passed with 8 aye votes, 0 nay votes.

PRESIDENTIAL REPORTS:

A-1 - Mr. DeMarcus highlighted the Campus Construction Progress Report and referred to a scale model design of the new section of the campus containing the administrative building, university center and a new academic building under design.

A-2 - Dr. Albright called attention to the "Letter of Agreement" with the Boone County Board of Education to which an addendum was attached.

A-3 - It was pointed out by President Albright that the fee schedule approved by the Council on Public Higher Education went up about 14% with the exception of the law school which decreased as our previous rates were higher than the other state law schools, and the fee was brought in line. There has been no clear determination at this time whether student activity fees are to be included in the increase. If they are to be included, it will decrease the revenue by $10 per semester for each full-time student. Tuition Fee Schedule

A-4 - President Albright explained that the University, beginning with the 1978 Fall Semester, will require a $50 Acceptance Deposit within 10 days after notification of acceptance in the Nursing program. The deposit will be applied toward tuition. A motion was made by Dr. Stallings, seconded by Mr. Parker, that the Regents approve the $50 Nursing Program Acceptance Deposit, beginning with the Fall Semester 1978. The motion passed with 8 aye votes, 0 nay votes. Nursing Program Acceptance Deposit

A-5 - Dr. Scholes, in presenting parts of the Public Safety Operations and Procedure Manual now being prepared, explained that it is for informational purposes as many other parts are yet to be completed for presentation in the near future. Upon Counsel's question as to whether these procedures are in effect now, Mr. Connor said that they were. Counsel Brooking, therefore, felt that the parts of the manual prepared thus far need to be voted upon by the Regents. Mr. Nienaber remarked that the policies had been thoroughly discussed in Public Safety Advisory Committee meetings and in student meetings. It was noted that public safety officers would not carry firearms between 8 a.m. and 4 p.m. except during money escorts, student registration (payment of fees), and any other "high risk" situations. Counsel Brooking stated that the Public Safety Department operates under a separate state statute and this manual will bring our department within the guidelines of the statute, and he also complimented the fine preparation of the manual presented. Dr. Albright noted that our Public Safety Department is doing a fine service in helping students and staff, as this has been primarily their largest function. Judge Poston moved that the following sections of the Public Safety Operations and Procedure Manual be approved as presented: 4.1, 4.11, 4.13, 6.2, 9.1, 10.0, and 12.3. The motion was seconded by Mr. Haas and was passed with 8 aye votes, 0 nay votes. Public Safety Manual

A-6 - President Albright explained that Northern Kentucky University has been designated an In-Use Arboretum and was then put on the list of eligible institutions to receive plants (seedlings and rooted cuttings) from the U.S. National Arboretum. A motion was made by Mr. Haas to confirm the designation of In-Use Arboretum. The motion, seconded by Mr. Mann, passed with 8 aye votes, 0 nay votes. NKU In-Use Arboretum

It was further reported by Dr. Albright that Northern had been given a supplementary allocation by the state to cover a condemnation award for the Fassler property purchase which had been in the courts since 1973.

A-7 - A Program Report of the Office of Research and Development shows a total of $254,270 received in grants since July 1, 1976 and Dr. Albright noted that through Dr. Meade's guidance, the programs have picked up considerably. However, the President stated that there is a need to increase our efforts in order to augment extra mural programs and it will be done in the future.

A-8 - The progress report on sale of stocks was to bring the Regents up-to-date on what has been sold so far, explained Dr. Albright.

A-9 - President Albright announced that he had appointed Dr. John Thieret as University Editor. Most of the publications put out by the University will be edited by Dr. Thieret. University Editor

Chairman Lucas announced that Judge James Poston had been reappointed to the Board of Regents for another four-year term and that Dr. Robert Vitz was relected as President of the Faculty Senate for another year.

PRESIDENTIAL RECOMMENDATIONS:

B-1 - In presenting the Reorganization plans, President Albright explained that at the time of the last Biennum Budget, Northern had requested $700,000 for reorganization and that $240,000 was approved for this purpose. Since the Board of Regents had approved the reorganization, such an undertaking was started and it culminated into what is being presented. The President outlined the manner in which the reorganization planning was carried on, involving most of the faculty and administrators, and that generally it was acceptable to the larger portion of the faculty. He first began outlining the Mission of the University and then explained the structure in great detail using charts and explaining all the changes that have been made. Dr. Albright further stressed that there may be changes made as the plan is being implemented where it is found that something does not work. He also said that in a year to 18 months, the structure will be re-evaluated and he will keep the Regents informed. He explained that American higher education is getting criticized as it is becoming ossified and that in the next few years we will not see the same portion of support that was seen in the 60's. We want to be geared up before we are caught in that kind of a situation, even to the point of selective admissions if we do not receive the support we need in the future. The President said he would give the Regents names to fit the positions on the charts at a later date.

Mr. Haas asked about cost and personnel when implementing the plans and about whether we were in the realm of reality as far as budget is concerned. Dr. Albright said he felt this could be effected with the $240,000 that was budgeted for reorganization. Mr. Martin asked if this could affect our funding in the future and the President said he felt that there was a chance that it would affect us favorably rather than the reverse. Dr. Stallings told the Regents that the plans have been "through the thrasher" as far as faculty is concerned and that there are those who like it and those who do not; however, because generally we have a young faculty who are interested in trying to do things in a new and different manner, this sort of structure will provide them that kind of latitude. Counsel Brooking asked if this structure would be more beneficial to the Provost inasmuch as she would be dealing with four deans rather than a number of department heads. Dr. Travis said that such a structure would benefit her and allow her more time for other duties since she would not have to deal with so many people. Mr. Mann commended Dr. Albright and his staff for producing such a unique kind of structure in such a short period of time.

The President recommended that the Board of Regents adopt (1) the statement of missions for the University, and (2) approve the organizational structure as outlined with the authorization for the president to implement the organizational pattern recommended and in so doing to make any modest adjustments found to be necessary; any such adjustments are to be reported subsequently to the Board.

Mr. Haas moved that the recommendation of the President be approved inasmuch as it is determined that it meets the approval of the administration, the faculty, and will not strain the budget. The motion, seconded by Mr. Martin, was passed with 8 aye votes, 0 nay votes. New Organization Approved

B-2 - President Albright, in presenting the Faculty Policies and Procedures, told the Regents that this is one of the most important documents the Board will have to consider for some time. He explained that most of the materials had gone to the Faculty Senate for their input and suggestions as well as through several committees and individuals for their suggestions. The administrators tried to incorporate the comments of merit that were received. The document was sent to Counsel Brooking and he found it necessary

to make some revisions to protect the University and that is why a revision of the previous materials is presented tonight.

Section I - the President explained this part is essentially the same as the document received 10 days ago.

Section II - Mr. Brooking went through this section explaining changes made and why they were made. Our previous tenure policy called for non-appointment if tenure were not granted after 6 years - the new policy calls for 7 years. The main changes and the main thrust have to do with non-tenured nonreappointment procedures. In the Dismissal for Cause, Counsel made some changes since it must follow KRS 164.360 wherein a faculty member can elect to go directly to the Board of Regents or he can elect to go through a peer review process. Dr. Travis questioned the Grievance Procedure on page 29 wondering if a "catch all" were needed. After discussion, it was agreed to begin the section with "After having exhausted any and all administrative procedures". Mr. Brooking pointed out that most of the section follows the procedures set forth in the AAUP Handbook.

Section III - The President explained the "Promotion in the Ranks" rather clearly explains the tenure track promotions and how such will be handled.

At this point, the meeting was recessed for dinner (7:05) and reconvened at 7:45 p. m.

Section IV - Counsel Brooking outlined the procedure for Appeals.

Mr. Mann asked if the sections could be rearranged; and Counsel noted that it probably would be. It was decided to make No. 15 on page 29 into a new Section V.

Mr. Martin moved that the "Faculty Policies and Procedures" be approved with the following changes: Section II, No. 15 to become Section V and will begin with the phrase, "After having exhausted any and all administrative procedures,"; that it become effective immediately, will not be retroactive, and will be a part of every faculty member's contract. The motion was seconded by Dr. Stallings and was passed with 8 aye votes, 0 nay votes.

Faculty Policies and Procedures approved

B-3 - Mr. Ron Simpson, Director of Personnel, informed the Board that a manual is being prepared for staff personnel in order that there be uniformity in handling staff personnel, taking into consideration the Affirmative Action program. Mr. Simpson outlined Sections A, B, C, and F and informed the Board that other sections would be submitted in the future. Mr. Mann moved, seconded by Mr. Nienaber, that Sections A, B, C, and F. of the Staff Personnel Policies and Procedures be approved as presented. The motion passed with 8 aye votes, 0 nay votes.

Staff Personnel Policies and Procedures approved

B-4 - President Albright recommended that Dr. Ralph Tesseneer be granted a sabbatical leave, effective July 1, 1977 for one year with salary to be paid at 50% for the period; and that James K. Gaynor be given the title of Professor of Law Emeritus when he retires at the end of the Summer Session, 1977. Mr. Haas moved, Judge Poston seconded, that the above recommendation of the President be approved. The motion passed with 8 aye votes, 0 nay votes.

Personnel - (Tesseneer and Gaynor)

B-5 - A Basic Contract Form for faculty was presented for approval. President Albright said some minor changes needed to be made in the wording, but the basic form would be as presented. A motion to approve the Basic Contract Form for faculty was made by Mr. Mann, was seconded by Judge Poston, and was passed with 8 aye votes, 0 nay votes.

Basic Contract Form for Faculty

B-6 - The Purchasing Director has had the power to do all purchasing for the University, but it has never been formally approved by the Regents and such approval is requested at this time, according to Dr. Albright, and he recommended the following:

"The Director of Purchasing, under the general administrative supervision of the President, is vested with University authority to order and/or purchase all materials, supplies, equipment, and services, subject to applicable state law and procedures. Purchases not authorized and/or approved by the Director of Purchasing shall not constitute official obligations of the University. The Director of Purchasing, under this grant of authority may, with approval of the President and in writing, delegate certain authority for the purchase and/or acquisition of library books, and items purchased for resale, namely by the University Bookstore."

Director of Purchasing Authority

Mr. Martin moved that the recommendation be approved. The motion was seconded by Mr. Nienaber, and was passed with 8 aye votes, 0 nay votes.

B-7 - President Albright recommended that signatures authorized for checks written on all Northern Kentucky University bank accounts be Bill L. Woods, Acting Comptroller and Roger Buchanan, Director of Budget and Financial Affairs, and that the Custodian of funds received from the state be designated to Bill L. Woods, Acting Comptroller with Roger Buchanan, Director of Budget and Financial Affairs as alternate. A motion to approve the recommendation was made by Judge Poston, was seconded by Mr. Parker and was passed with 8 aye votes, 0 nay votes.

Signatures for bank accounts and imprest funds

B-8 - The budget revisions made from January 1 through March 31, 1977 were presented in condensed form with the details being filed with the Secretary for use by the auditors. A motion to approve the budget revisions made the past quarter was made by Mr. Mann, was seconded by Mr. Nienaber, and was passed with 8 aye, 0 nay votes.

Budget Revisions

B-9 - Because of numerous delinquent accounts on the books. Dr. Albright recommended that action be taken to collect such debts, either by an individual or an outside firm. Mr. Haas moved that the President be granted standby authority to engage qualified individuals or organizations for the enforced collection of delinquent accounts receivable. The motion, seconded by Mr. Martin, was passed with 8 aye, 0 nay votes.

Collection of delinquent accounts

B-9a - The President stated that while there has been a system of handling bad checks, it is imperative that we have rules which will be an incentive for students to clear up any bad checks and recommended a policy for cashing checks (policy attached to these minutes). A motion was made by Mr. Martin that the rules as outlined in B-9a be adopted as presented. The motion was seconded by Mr. Parker and was passed with 8 aye, 0 nay votes.

Bad Checks

B-10 - President Albright presented a policy of Payment of Tuition and Fees, which will eliminate the deferred payments. Such deferred payments have caused many of the bad debts. A motion was made by Mr. Hamburg that the Board of Regents adopt the "Policy for Student Payment of Tuition and Fees" (copy attached to the minutes) effective with the registration for the 1977 Fall Semester. The motion, seconded by Mr. Parker, passed with 8 aye, 0 nay votes. Tuition and Fees

B-11 - Counsel Brooking presented the following resolution and recommended its adoption:

WHEREAS, the Board of Regents passed a motion in the August 27, 1976 meeting for the Northern Kentucky State Volunteer Association, Inc. to sell the property at 16 Carrington Point, Fort Thomas, Kentucky;

WHEREAS, the property was sold by West Shell, Inc. for $55,000; Sale of Property

WHEREAS, the above Association realized a net profit of $14,153 from the sale; Profit to Foundation

WHEREAS, Mr. Robert M. Daniels, Vice President of West Shell, Inc., contributed his listing fee of $563.40 to the Northern Kentucky State College Foundation;

WHEREAS, a total of $14,716.40 was the profit from the sale of the above property;

THEREFORE, it is recommended that all amounts held by the Northern Kentucky State Volunteer Association, Inc. after dissolution be paid to the Northern Kentucky State College Foundation.

A motion was made by Mr. Martin that the resolution be adopted as presented by Counsel. The motion, seconded by Mr. Haas, passed with 8 aye, 0 nay votes.

B-12 - Counsel Brooking recommended that the President be authorized to enter into a lease arrangement with the Northern Kentucky State College Foundation, under such terms and conditions as are deemed reasonable under the circumstances, for the contracted vending machine spaces on the Highland Heights campus and the Covington campus. Mr. Mann moved, Judge Poston seconded, that the recommendation be approved as presented by Counsel. The motion passed with 8 aye, 0 nay votes. Lease to Foundation

B-13 - President Albright, in recommending that a site be offered for the proposed Northern Kentucky Health Training Facility, mentioned that discussions in the state have been held with regard to dove-tailing post-secondary vocational programs, allied health programs, and post secondary technical programs. Therefore, he felt that such an offer might be worthy of the board's consideration. Offer of site

Mr. Mann spoke on the subject mentioning that he had been President of the Trustees of St. Elizabeth Hospital for four years and actually instigated St. Elizabeth offering a site on their new medical center property. While he has divided loyalties when such an issue as this comes up, he questioned why it was necessary for Northern to get involved with vocational education and whether Northern should give up 20 acres that it may need in the future. A lengthy discussion followed during which the President reminded the Board that Northern would be merely offering a site, that it was up to the site selection committee to choose what they felt was the most appropriate site.

Judge Poston moved that the University offer a site for the proposed Northern Kentucky Health Training Facility to the site selection committee. The motion, seconded by Mr. Hamburg was passed with the following vote: 6 aye votes, 0 nay votes, 2 abstentions by Mr. Mann and Mr. Nienaber.

OTHER BUSINESS:

C-1 - Dr. Scholes presented the following recommendation of the Inaugural Committee:

(1) That the University formally inaugurate Dr. A. D. Albright as the second president of Northern Kentucky University during the Commencement program on May 15, 1977; and Inaugural

(2) That, as part of the ceremonies, the University bestow the honorary Doctor of Laws degree upon three of the principal participants: the Honorable Julian M. Carroll, Governor of the Commonwealth of Kentucky; the Honorable Scott Reed, Chief Justice of the Kentucky Supreme Court; Dr. A. D. Albright, President of Northern Kentucky University.

A motion was made by Mr. Haas to adopt the recommendation as presented. The motion was seconded by Mr. Parker and was passed with 8 aye, 0 nay votes.

Mr. Knauf announced that Commencement would be May 15 and the Regents would be receiving information shortly. He further reminded the Regents that an Inaugural Dinner Show would be held the same evening at Beverly Hills.

Mr. Martin made a motion that the Board of Regents do hereby go on record as being in full and total support of Chase Law School and ask all Kentuckians to come to the support of our law school. The motion, seconded by Judge Poston, passed with 8 aye, 0 nay votes.

Chairman Lucas noted that Mr. Nienaber was attending his last Regents meeting as a new Student Government president would take office on May 1. Mr. Lucas commended Mr. Nienaber as a fine representative for the students and for the University and as a credit to his graduating class. President Albright also complimented Mr. Nienaber and said one of the pleasures he has enjoyed since he has been at Northern is working with this young man. Applause from the Regents affirmed what had been spoken.

A special meeting to approve a proposed budget for 1977-78 will be held on Monday, May 23, 1977 at 4:30 p. m. The next quarterly meeting is scheduled for Monday, July 18, 1977.

Dr. Albright thanked the Regents for their patience and commented that this has been an exceptional good and helpful meeting.

The meeting adjourned at 8:50 p. m.

E. J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting on April 18, 1977 and that such matters are still in force and effect.

E.J. Haas, Jr., Secretary

Dated this 27th day of April, 1977.

NORTHERN KENTUCKY UNIVERSITY

Board of Regents

REVISED AGENDA

SPECIAL BOARD OF REGENTS MEETING
NORTHERN KENTUCKY UNIVERSITY
Monday, May 23, 1977 - 4:30 p.m.
Board Room - Nunn Hall

4:00 p.m. - 1977-78 Proposed Budget

6:15 p.m. - Break for Dinner
(Regents and administrators to Reception Center)

7:45 p.m. - Reconvene for Personnell Hearing

Highland Heights, Kentucky 41076 Telephone: 606/292-5123

SPECIAL BOARD OF REGENTS MEETING
Monday, May 23, 1977

A special meeting of the Board of Regents of Northern Kentucky University was called to order at 4:35 p.m. on Monday, May 23, 1977 in the Board Room, Nunn Hall, Highland Heights Campus, by Chairman Kenneth Lucas.

Roll Call:

Regents present - Mr. John Hamburg, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Mr. Alex Parker, Judge James Poston, Dr. Edgar Wippermann, Dr. Frank Stallings, Mr. Sam Makris.

Others present - President A. D. Albright, Counsel John Brooking, Dr. Gene Scholes, Dr. Janet Travis, Mr. Roger Buchanan, Mr. John DeMarcus, Dr. James Claypool, Mr. Robert Knauf, Dr. Joseph Price, Dean Jack Grosse, Mr. Martin Huelsmann, Dr. Barbara Smith, Ms. Pamm Taylor, Mr. Garry Casson, Mr. Dennis Taulbee, Mrs. Dennis Taulbee, Mr. Dave Kepple of the Kentucky Post, and Ms. Dolores Thelen.

The oath was administered to Sam Makris by the chairman.

Dr. Albright introduced the proposed budget for 1977-78 saying that it was later than usual and more difficult than usual because of the re-organization and all persons concerned with the budget are new at the University.

He explained that the budget base was $13.6 million for the current 1976-77 fiscal year. Increased funds for the 1977-78 fiscal year were estimated at $1.3 million, thus the total of the budget for the next fiscal year would reach $14.9 million. He outlined the expenditure allocations of the estimated $1.3 million increase. Each Regent received this outline and also a breakdown and summary by organizational unit of the University.

In response to a question about the estimated revenue from student fees as a function of increased enrollment, Dr. Scholes projected a 6.7 percent increase in enrollment for the fall of 1977, the largest increase estimated to be in the upper division level.

President Albright further stated that the $10 per semester mandatory student activity fee, which has been collected in previous years in addition to the tuition fee, by action of the Council on Public Higher Education would be included in the total tuition charge and thus would reduce by that amount the earlier estimated revenue from that source. This decision by the Council on Public Higher Education meant that the student activity fee will now become a charge against the general fund and that the consequent allocation for student activities would be lessened in the 77-78 fiscal year.

The proposed allocations of increased funds provides for salary improvement as a first priority; the President recommended a $500 increase for all faculty and staff up to those with salaries of $25,000 or more. This increase (which amounts to an overall increase of four percent) would require about $300,000. Another $50,000 would be used for merit and salary equalization. The President explained that the salary improvement allocation, greatly inadequate, should be viewed in the context of the biennium and not alone in the single year. The amount of funds appropriated by the Legislature for salary increases in the current biennium was five percent for each of the two years. Since ten percent increases were given in the first of the two years, any salary raises

in the 1977-78 year would have to come out of increased revenue or funds designated for other purposes. By providing the proposed $500 increase, the salary improvement over the current biennium would average between 14 and 15 percent. A principal reason for proposing a dollar amount per person ($500) instead of a percentage increase was that the ten percent increase favored those individuals earning higher salaries while the costs of utilities, food, and other living expenses hit the lower salary brackets harder.

An expenditure allocation was made for new Academic Deans, and the President said he proposed to fill two of the deanships by September 1, 1977 and perhaps the other two by January 1, 1978. This would be in keeping with the structure approved by the Board of Regents on April 18, 1977.

It was explained also that there would be some discrepancies from what departments received last year and what was budgeted this coming year because certain items were pulled out of departmental budgets and put into a pool, such as educational equipment, work study monies, summer school salaries, and part-time faculty salaries. Out of 334 faculty members, 130 are part-time and it is hoped that some of these could be made full-time positions.

Dr. Albright commended Roger Buchanan and Dennis Taulbee for the extremely conscientious job in preparing the budget and Garry Casson and his staff for the fine job of putting the budget on the computer.

Mr. Hamburg questioned whether we would be criticized for giving more percentage salary increases than was provided in the biennium budget. Dr. Albright felt we would not be criticized at this time, but if we continue the practice, it might not be to our benefit. Usually in building a new institution, monies had to go into equipping a library and program building at the expense of salaries but the time must come when there is a need to take care of salaries. The President mentioned that Northern has the lowest funding for staff of any of the eight state institutions - the seventh has one-half the enrollment and twice the staff.

Mr. Parker noted that the Office of the Provost was the highest funded department and it was explained that the part-time faculty figure as well as the budget for the new academic deans were located in this area. At some later date, these figures will be pulled out and placed in the proper places when the re-organization begins. It was further noted that all institutional and federal work study salaries for students is in the financial aid departmental budget instead of being allocated to individual departments.

Mr. Makris asked if the Polaris and the Northerner would still be free to the students as it has in the past. Dr. Albright explained that the same amount of funds will not be available as before, but that there is no disposition on the administration's part to charge students for them.

Mr. Mann commended Dr. Albright on his presentation of the budget and Mr. Martin expressed appreciation to Dr. Albright and his staff for the fine preparation of the budget.

Dr. Albright presented a recommendation for acceptance of the proposed budget for 1977-78 to the Regents for their consideration stating that he felt this budget will assure us that for every dollar spent,we should get at least 98¢ worth. (Copy of the resolution attached to these minutes and each Regent was given a copy.)

Mr. Mann moved that the resolution be accepted as presented. The motion was seconded by Judge Poston. After some further discussion, the motion was passed unanimously to accept the budget recommendation of the President. 1977-78 Proposed Budget Accepted

Chairman Lucas commended Mr. Knauf and his staff for the outstanding job on the graduation ceremonies and the inaugural dinner on May 15th.

Counsel Brooking announced that the annual lease agreement between Northern Kentucky University and the NKSC Foundation for vending machine spaces has been in effect and it needs ratification at the regular July meeting. Lease Agreement

The meeting recessed at 5:45 p.m. for dinner.

The meeting reconvened at 7:45 p.m. with the following Regents present: Mr. Elmer Haas, Mr. John Hamburg, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Mr. Alex Parker, Judge James Poston, Dr. Edgar Wippermann, Dr. Frank Stallings, Mr. Sam Makris.

Chairman Lucas explained that the purpose of reconvening was to hear the appeal of Dr. Alfonz Lengyel. Dr. Lengyel voiced his desire for the meeting to be open. Mr. Martin moved that the meeting be open. The motion, seconded by Dr. Wippermann, was passed unanimously.

Chairman Lucas asked permission to have Judge Poston preside at this portion of the meeting. Mr. Mann made a motion that Judge Poston be the presiding officer. The motion was seconded by Mr. Makris and was passed unanimously.

Mr. Brooking announced that he would serve as counsel for the Board of Regents and Mr. Philip Taliaferro will serve as counsel for the University.

A court reporter was sworn in to take the hearing appeal minutes.

Mr. Eugene Hancock, counsel for Dr. Lengyel, made several opening motions which were ruled on by Judge Poston. A motion was made by Mr. Hamburg and was seconded by Dr. Wippermann that the ruling of the presiding officer be sustained. The motion passed unanimously.

At 11:15 p.m. when all parties had been heard, a motion was made by Mr. Martin that the Regents go into executive session to deliberate in the matter of the appeal of Alfonz Lengyel. The motion, seconded by Mr. Haas, was passed unanimously.

At 11:40 p.m. the meeting was reconvened on motion by Mr. Martin and second by Mr. Mann. The motion passed unanimously.

Chairman Lucas called for a vote indicating that an "aye" vote constitutes sustaining the non-reappointment action taken by the Board and a "nay" vote constitutes reversing the position taken by the Board.

Roll call was held with the results of nine (9) aye votes and one absention by Dr. Stallings.

Mr. Haas moved adjournment and the motion was seconded by Judge Poston. The meeting closed at 11:45 p.m.

Elmer J. Haas, Jr. Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting on May 23, 1977 and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 1st day of June, 1977.

AGENDA
BOARD OF REGENTS QUARTERLY MEETING
Monday, July 18, 1977 - 5 p.m.
Northern Kentucky University
Board Room - Nunn Hall

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES of previous quarterly meeting, April 18 and special meeting, May 23, 1977
Election of Officers -
PRESIDENTIAL REPORTS

A-1 - Summer Commencement
A-2 - Sculpture Projects
A-3 - Special Scholarship Funds
A-4 - Summer Programs
A-5 - Other Reports (a) Acknowledgment of Special Services
(b) Programs Report of Research Grants and Contracts
(c) Personal Service Contract for legal services

PRESIDENTIAL RECOMMENDATIONS

B-1 - Reorganization
B-2 - Personnel Action
a. Faculty Promotion and Tenure
b. New Appointments
(1) Dr. Michael E. Gray as Assistant Professor of Education
(2) Dr. Paul W. Bechtel as Assistant Professor of Philosophy
(3) Dr. Joseph C. Sheehan as Chairman of Social Work Program
(4) Ms. Jennifer J. Trap as Assistant Professor of Education
(5) Mr. Robert Simpson as Assistant Professor of Education
(6) Dr. David M. Bishop as Assistant Professor of Reading Education
c. Non-academic Personnel Action (additional names will be presented)
d. Postponement of Sabbatical Leave - Oral Report by Dr. Albright
B-3 - Policy for Refund of Tuition and Fees
B-4 - Fee Schedule
B-5 - Abolition of Graduation Fee (effective date to be August 20, 1977)
B-6 - Presidential Scholarships
B-7 - Agreement of Lease (Brooking)
B-8 - Food Contract in University Center (Brooking)
B-9 - Proposal for Audit
B-10 - Budget Revisions
B-11 - Other Recommendations (a) Graduate Center

NEXT QUARTERLY MEETING - Monday, October 17, 1977
SPECIAL MEETING for Biennium Budget
EXECUTIVE SESSION (Special Hearing Request)
ADJOURNMENT

MINUTES OF THE BOARD OF REGENTS MEETING
Monday, July 18, 1977

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was held on Monday, July 18, 1977 in the Board Room of Nunn Hall, Highland Heights Campus. The meeting was called to order at 5:40 p.m. by President Albright in the absence of Chairman Lucas who would be late arriving. The invocation was given by Dr. Tesseneer.

Roll Call: Regents present - John Hamburg, Henry Mann, Gordon Martin, Alex Parker, James Poston, Edgar Wippermann, Frank Stallings, Sam Makris
Regents excused - Elmer Haas, Kenneth Lucas
Others present - President Albright, Counsel John Brooking, Gene Scholes, Janet Travis, Roger Buchanan, Ralph Tesseneer, John DeMarcus, James Claypool, Robert Knauf, Martin Huelsmann, Vincent Schulte, Roger Meade, Charles Gray, Don Ransdell, Tim Funk of the Enquirer, Jim Reis of Ky. Post, Maryevelyn Wilson, Debbie Cafazzo, and Peg Moertl of the Northerner, Dolores Thelen.

All guests were welcomed to the meeting by President Albright.

The minutes of the regular quarterly meeting of April 18, 1977 and the special meeting of May 23, 1977 were approved as presented on motion by Mr. Hamburg and seconded by Mr. Parker. Motion passed with 6 aye votes.

President Albright asked for nominations of officers for 1977-78. Mr. Mann made the motion that Kenneth Lucas be nominated as Chairman and Elmer Haas as Secretary/Treasurer. The motion was seconded by Mr. Hamburg. Judge Poston moved that the nominations be closed and that Mr. Lucas and Mr. Haas be elected to the respective offices. The motion passed with 8 aye votes. Judge Poston moved that Dolores Thelen be appointed Assistant Secretary. The motion, seconded by Dr. Wippermann, was passed with 8 aye votes. — Election of Officers

PRESIDENTIAL REPORTS:

A-1 - Dr. Albright reminded the Regents that Summer Commencement would be held Sunday, August 14 at 7:30 p.m. He suggested that the Regents notify Mrs. Thelen if they were attending. — Summer Commencement

A-2 - The President presented a report on campus sculptures outlining the sequence of steps by which the sculptures are and will be on the Northern campus. — Campus Sculptures

A-3 - Dr. Albright, in presenting the list of contributors to the Roden-Neill Scholarship Fund, reported that additional contributions had been received since the listing was prepared. — Roden-Neill Scholarship Fund

A-4 - President Albright commented that the enrollment in the Summer Programs was slightly higher than last year. — Summer Programs

A-5(a)- The President reported that many persons in the University had taken on additional duties during the transitional period; however, he wished to point out four persons who served in various "acting" positions and express appreciation to them. They are Bill L. Woods, Mark R. Erion, Daniel Drake and Don Fuehner. — Special Recognition

A-5(b) - President Albright called attention to the list of contract and research grant proposals which were funded in the last quarter making a total for the fiscal year of nearly $500,000. Roger Meade reported that other institutions usually list their student financial grants and if we did this, it would add another $1 million to funds received throughout the year. The President said that "concerted efforts would be made in this fiscal year to increase our amount of outside funding." Grants

A-5(c) - Dr. Albright reported that the personal service contract for University Counsel had not been approved by Frankfort for the past fiscal year, and with a $3.1 million suit pending this should be cleared up. One of the questions raised is the appointing authority of the Board with that kind of legal exposure but without Frankfort allowing them legal defense. The contract has been handled according to state regulations and the University has outstanding invoices of nearly $5,000 that need to be accommodated. Judge Poston asked if there is anything that could be done as a Board and further stated he did not care to serve on a Board when we do not have a counsel to represent us. Mr. Martin commented that if this sort of thing becomes commonplace around the state, we would see many persons leaving boards such as this. Dr. Albright said he would make suggestions at a later point. Personal Service Contract for Legal Counsel

Because the Chairman had still not arrived, President Albright was appointed as temporary chairman on motion by Mr. Mann. The motion was seconded by Dr. Stallings and was carried with 8 aye votes.

PRESIDENTIAL RECOMMENDATIONS:

B-1 - Mr. Martin moved that the table of organization for the University (attached to these minutes) be approved; that the President be authorized to make modifications within this framework as suitable operation and effectiveness may be demanded; and that the President be authorized to make assignments of responsibilities in the organization and to designate the appropriate titles for the positions carrying those assignments. The motion was seconded by Mr. Makris. Dr. Albright stated he would have a list of persons who would be filling the slots in the organization chart at the next Board meeting. Deans for the Basic Disciplinary Programs and Human Development & Service Programs are being interviewed during this week and next. The other two deans will not be appointed until January 1st if funds are available. The President was asked the difference between Public Relations and News Bureau. It was pointed out that Public Relations would take care of community relations and the News Bureau would interpret through the news media what the University is doing, what it is trying to do, and what is happening as well as to create a greater awareness of Northern Kentucky University not only in Northern Kentucky but throughout the state and neighboring states. The motion passed with 8 aye votes. Organization Plans

B-2 - President presented a list of faculty members to be granted promotions in rank and tenure and explained that the lists were the result of rather careful review by the chairmen and by the departments and represents about one-third of the names submitted. Mr. Martin asked if these names were arrived at by following the procedures in the handbook and he was assured that they had been. Dr. Albright

(continued)

further explained that there was no time frame to go on in determining tenure, but the new procedures do set out such a time frame. He also said that this University has a very high percentage of its professors and associate professors who are tenured, and with our young faculty this does not leave much room for the tenure of others. Dr. Stallings pointed out that most universities usually grant tenure to associate professors and that our situation is unusual in that all of our associate professors are not granted tenure. The percentage of tenured faculty is about 50 to 60% in other institutions while our professors and associate professors are tenured in much higher percentage.

Dr. Stallings moved and seconded by Mr. Mann that the following members be promoted in rank and tenure as listed.

Promotions in Rank and Tenure

To Associate Professor	To Assistant Professor
James F. Hopgood	Barry Anderson
Michael Adams	Gary Armstrong
Edwin T. Weiss	Kevin Booher
Jeffrey Williams	Michael Lampman
Ed R. Wheeler	Betty Soave
Michael R. McPherson	Mildred Clark
Leslie J. Tomley	
Beth Gwynn	

Granted Tenure

James R. Lilly	Ed Wheeler
Thomas Rambo	Wesley Vernon Hicks, Jr.
Yudhishter Datta	Leslie J. Tomley
William M. Lindsey	Jack Sherman
	Beth Gwynn

The motion was passed with 8 aye votes.

B-2(b)- President Albright recommended that the following faculty appointments be approved:

Dr. Michael E. Gray as Assistant Professor of Education - $13,000 fiscal year
Dr. Paul W. Bechtel as Assistant Professor of Philosophy - $12,5000 academic year
Dr. Joseph C. Sheehan as Chairman of Social Work Program - $24,000 fiscal year
Ms. Jennifer J. Trap as Assistant Professor of Education - $13,000 academic year
Mr. Robert Simpson as Assistant Professor of Education - $13,000 academic year
Dr. David M. Bishop as Assistant Professor of Reading Education - $13,500 academic year
Mr. Bernard J. Gilday, Jr. as Professor of Law - $28,000 academic year
Mr. Elton C. Lasseigne as Assistant Professor of Law - $29,000 academic year
Mr. Barton John Craig as Assistant Professor of Law - $19,000 academic year

New Faculty Appointments

It was further pointed out that since Mr. Gilday has been part time for a number of years the University will ask for a waiver of the waiting period (one year) in order to put Mr. Gilday on TIAA. When asked why the great salary range for the law professors, Dean Huelsmann explained that Mr. Gilday is a very distinguished criminal lawyer and the University is fortunate to secure him. Mr. Lasseigne is a tax lawyer and the market for tax lawyers is very scarce; consequently, the salary needed to be high to secure one. Dr. Stallings moved that the recommendation of the President be approved. The motion was seconded by Judge Poston and was passed with 8 aye votes.

B-2(c) - President Albright presented a report summarizing selected personnel activity since the last Board meeting. The activity includes non-academic new employees, terminations, and promotions. Mr. Mann moved that the personnel actions set forth in Agenda B-2 (c) be ratified. (Copy attached.) The motion was seconded by Mr. Parker and was passed with 8 aye votes.

Non-academic Personnel Activity

B-2(d) - Dr. Albright stated he was happy "that the Board previously approved a recommendation to grant sabbatical leave to Dr. Tesseneer. But I was even happier when there was something the University needed to do and I asked Dr. Tesseneer if he would forego his sabbatical to take on the job of heading the NKU Foundation. He very willingly agreed to do it. I am very appreciative that he has agreed. Dr. Tesseneer is still entitled to a sabbatical leave when he wants to use it. The Foundation has organized itself and Dr. Tesseneer's leadership will make the Foundation a vital part of this institution."

Sabbatical Leave

NKU Foundation Head

B-3 - A proposed Tuition Refund Policy was presented for consideration and the President recommended that it be adopted to become effective with the 1977 Fall semester. Mr. Martin moved that the recommendation be adopted as outlined (copy attached) and it was seconded by Judge Poston. Dr. Albright explained that this policy is about the same as other institutions use and while the $15 administrative cost does not meet the cost of registering a student, it was agreed on as a fair figure. Mr. Hamburg asked "why charge $15 if the cost is $20" and he said he felt it ought to be raised to $20. Mr. Martin and Judge Poston agreed to an amendment to their motion that the administrative charge be $20. The motion with the amendment was passed with 8 aye votes.

Tuition Refund Policy Approved

B-4 - A schedule of tuition and supplemental fees was introduced with Dr. Albright explaining that the Board had approved the tuition previously as set forth by the Council on Public Higher Education. Mr. Mann asked if these fees have to be approved by the Council and it was explained that probably some would, therefore the schedule will be sent to them after the Board's consideration. Dr. Wippermann questioned the $45 music fee when UK charges only $25. Dr. Albright said that we would be able to charge lower fees when the General Fund can support this kind of individualized program and said further he hoped that in the future all fees can be incorporated in a flat fee schedule because of the time incurred in processing so many different fees. Judge Poston moved that the Schedule of Fees (attached) be approved to become effective with the Fall semester, 1977. The motion, seconded by Mr. Parker, was passed with 8 aye votes.

Tuition and Fee Schedule Approved

B-5 - The President recommended that the graduation fee for associate, bachelors, and masters degree candidates be discontinued effective August 20, 1977. Mr. Makris moved that the recommendation be adopted, and it was seconded by Dr. Wippermann. Mr. Buchanan explained that the University will underwrite about $4,000 in revenue by doing this, but will gain in time and personnel costs to process graduation fees. The motion passed with 8 aye votes.

Graduation Fee Abolished

B-6 - In presenting recommendation B-6, the President explained that our waiver of tuition has an effect on how bond holders view the University's credit. If there are a lot of waivers of tuition, bond holders become a little edgy. It appears that the capital construction money for three buildings will have to be realized through bond issues and this recommendation is meant to put our house in order showing that the Board has approved such tuition waivers. The University's bonding potential is nearly $20 million with the costs of bonding about $100,000 for each $1 million bond. The President also mentioned that HUD has available money for buildings at new institutions and it will be pursued to see if Northern qualifies. Mr. Hamburg made a motion that the Board approve the Presidential Scholarship program and that it be continued in conformance with the current guidelines (attached) upon the recommendation of the President. The motion, seconded by Mr. Martin, was passed with 8 aye votes.

Presidential Scholarship Program approved

B-7 - Counsel Brooking explained that the lease with NKU Foundation is different from last year's lease only in that 200 square feet are being leased rather than 100 feet leased last year. Dr. Wippermann moved that the Agreement of Lease between Northern Kentucky University and the NKU Foundation, Inc. for vending machine spaces be approved as per lease document (attached). The motion was seconded by Mr. Mann and was passed with 8 aye votes.

Agreement of Lease with NKU Foundation approved

B-8 - Counsel Brooking presented a revised contract for food service and explained he made a few changes from the original contract received from the vendor such as termination periods, student labor (wage and hour laws) and idemnification of the University. Mr. Ransdell, Director of Purchasing, said he had contacted the ARA Corporation and it is very unlikely that they will oppose the changes, but he could not talk directly to anyone who could give a final answer. Mr. Martin moved that the Board of Regents accept and approve the revised contract (copy attached) between the ARA Corporation and Northern Kentucky University to provide Professional Food Management Service for its new cafeteria and grill. The motion, seconded by Mr. Mann, was carried with 8 aye votes.

Food Service Contract approved

B-9 - President Albright recommended that the firm of Rankin, Rankin & Company, Certified Public Accountants, be employed to conduct and perform an examination of the financial statements of Northern Kentucky University and its related organizations for the fiscal year ending June 30, 1977 (copy of letter of agreement attached); and that the expenditure for this service not exceed $17,500. Mr. Buchanan, upon questioning, said that the service had been satisfactory, that the state budget office felt they were doing a satisfactory audit, and that he recommended that the firm be hired. Mr. Martin moved, seconded by Mr. Parker, that the recommendation of the President be approved. The motion passed with 8 aye votes.

Audit Firm Retained

B-10 - The President explained that the budget revisions made for the last quarter were compiled in an abbreviated form with Part I showing internal transfers in departments and Part II showing transfer from one area to another. These transfers need to be approved by the Regents for use by the auditors. Mr. Mann made a motion that the Regents approve the budget revisions made during the quarter from April 1 through June 30, 1977. The motion, seconded by Mr. Hamburg, was carried with 8 aye votes.

Budget Revisions approved

B-11 - President Albright recommended that the Board of Regents sanction a Graduate Center with courses to be offered by Northern Kentucky University and University of Kentucky and any other graduate institution in the state that may wish to participate; and that the Board authorize the University to seek funding for this program. Dr. Albright said "for this time Northern Kentucky University would concentrate on building a distinctive under-graduate program and the graduate programs would be very limited in number and scope. The reason for this is that the University is not funded sufficiently to handle an expanded graduate program. And there is a need for the University to offer graduate courses to the people of the Northern Kentucky area. Therefore, last fall the idea of a graduate center with participating institutions was presented to Dr. Singletary at UK and a very favorable response was received. The University of Louisville was also contacted and showed interest in certain fields. A task group was appointed consisting of Dr. Scholes, Dr. Kearns, Dr. Melnick, Dr. Travis and four persons from the University of Kentucky.

Graduate Center approved

"The plan is now at the point where we can begin offering certain graduate courses this Fall - Library Sciences, Guidance & Counselling, Educational Administration, Reading, Special Education, Business Administration, Social Work, and Public Administration. The Council on Public Higher Education likes the idea and we will need to seek funding over and above what we receive for our general funding." Dr. Travis stated she was happy to have such a center and spoke on many possibilities of expanding the center. Mr. Martin moved that the recommendation of the President for the Graduate Center be approved and that authorization be given to seek additional funding for the program. The motion was seconded by Mr. Makris and was passed with 8 aye votes.

Mr. Mann asked why the highway signs with Northern's name had not been changed to read University instead of State College. Dr. Albright said he had inquired and received the answer that it would be completed as soon as there was time, but said that he would keep pursuing it with the Highway Department. Mr. Mann suggested the possibility of doing it ourselves using the overlay as was used on signs on campus.

The meeting was recessed for Executive Session on motion by Mr. Martin and second by Judge Poston to discuss pending litigation and other personnel matters. The motion passed with 8 aye votes.

The meeting was re-opened.

Judge Poston moved the following recommendation: Resolved that the University defend the Board of Regents and all individuals sued by Alfonz Lengyel whether via our own insurance carrier(s), and/or the Attorney General of Kentucky, and/or University Counsel as determined in the best interests of the named Defendants in the law suit; that each individual defendant be advised that he may obtain private counsel or the Attorney General to defend him. The motion, seconded by Mr. Martin, was passed with 8 aye votes.

Mr. Mann moved that the firm of Adams, Brooking and Stepner be retained as University Counsel (Mr. Brooking of the firm) for the 1977-78 year at a retainer to be negotiated by the President with Mr. Brooking and that Mr. Philip Taliaferro be continued as counsel-on-call for the 1977-78 year with the rate of stipend for 1976-77 to continue only through the first quarter of the 1977-78 year. The motion, seconded by Mr. Parker, was passed with 8 aye votes.

A tentative date for the Sarakatsannis hearing was set for August 22.

The next quarterly meeting will be on Monday, October 17, 1977.

Elmer J. Haas, Jr. Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on July 18, 1977 and that such matters are still in force and effect.

Elmer J. Haas, Jr. Secretary

Dated this 8/19 day of August, 1977.

BOARD OF REGENTS SPECIAL MEETING
August 22, 1977

A special meeting of the Board of Regents of Northern Kentucky University was called to order by Chairman Kenneth Lucas at 4:45 p.m. on Monday, August 22, 1977 in the Board Room of Nunn Hall.

Roll Call: Regents present: Mr. Elmer Haas, Mr. John Hamburg, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Judge James Poston, Dr. Edgar Wippermann, Dr. Frank Stallings, Mr. Sam Makris.
excused: Mr. Alex Parker
Others present: President A. D. Albright, Dr. Janet Travis, Counsel John Brooking, Counsel Philip Taliaferro, Dr. James Claypool, Mr. Robert Knauf, Mr. Martin Huelsmann, Dr. William Rost, Dr. Bill Parsons, Mrs. Dolores Thelen, Margaret Moertl, Leonidas Sarakatsannis, Counsel James Cobb, Mr. & Mrs. John Haynes, James D. Williams, Mike Wilz, Sonia Williams, James Brue, Linda Morris, Mike Turner, Louise Lyons, Gil & Jan Hammond, Wynn Webster, Melinda Thomas, Sarah Johnson, Jennifer Scott, and Jim Reis of Kentucky Post.

The chairman declared a quorum present for the non-reappointment appeal hearing of Dr. Leonidas Sarakatsannis.

President Albright asked that the Board authorize the executive officer to submit a tentative draft of the biennium budget to the Council on Public Higher Education and the document will be presented to the Board for subsequent approval. Dr. Stallings made a motion that the executive officer be so authorized. The motion, seconded by Mr. Makris, was passed unanimously.

Dr. Wipperman moved that Judge James Poston be appointed hearing officer. The motion was seconded by Mr. Hamburg and passed unanimously.

Counsel John Brooking swore in the court reporter who recorded the minutes of the hearing.

Judge Poston inquired of counsel for Dr. Sarakatsannis if an open or closed meeting was desired, Counsel Cobb informed the Regents that Dr. Sarakatsannis elected that the meeting be open. A motion was made by Mr. Hamburg and was seconded by Mr. Makris that the meeting be open. The motion passed unanimously.

After all parties had been heard, Mr. Martin moved that the Regents go into Executive Session to consider a personnel matter. The motion, seconded by Mr. Makris, was passed unanimously.

The meeting recessed at 6:15 p.m. for executive session .

The meeting reconvened at 6:45 p.m. on motion by Judge Poston, second by Dr. Wippermann, and passed unanimously.

Chairman Lucas announced that the Regents had met in closed session about the personnel matter concerning Dr. Sarakatsannis and it was now time for public vote on the appeal of the non-reappointment. The chairman reminded each Regent that an "aye" was in favor of the non-reappointment of Dr. Sarakatsannis and a "nay" vote would rescind such a non-reappointment. Dr. Stallings would be abstaining from voting as the faculty regent is not a legal voting member of the Board of Regents. A voice vote was taken and the Chairman declared a unanimous vote in favor of the non-reappointment of Dr. Sarataksannis.

The meeting adjourned at 6:50 p.m. on motion by Mr. Haas and second by Judge Poston.

Elmer J. Haas, Jr. Secretary

Dolores Thelen

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting on August 22, 1977 and that such matters are still in force and effect.

Elmer J. Haas, Jr. Secretary

Dated this 29th day of Sept., 1977

AGENDA
BOARD OF REGENTS QUARTERLY MEETING
Monday, October 17, 1977 - 5 p.m.
Northern Kentucky University
Board Room - Nunn Hall - Highland Heights Campus

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES - Quarterly Meeting of July 18, 1977- Mailed in July
Special Meeting of August 22, 1977 - Enclosed

A. PRESIDENTIAL REPORTS
1. Organizational Chart with Personnel
2. Audit of Financial Aid Funds - HEW
3. Programs Report of Grants and Contracts
4. University Center
5. Staff and Faculty Benefits
6. Self-Study for Southern Association of Colleges & Universities
7. Professional Liability Insurance
8. Status of Capital Development
9. General Comments ooncerning the campus
10. Other Reports

B. PRESIDENTIAL RECOMMENDATIONS
1. President's Annual Report
2. Biennium Budget Requests
3. Personnel Action
   a. Academic and Administrative
   b. Non-academic
   c. Equalization Pay
   d. Office Hours
4. Center for Economic Education
5. Chemical Technology Program
6. University Bursar
7. Parking Rules & Regulations Revision
8. Special Parking Fee
9. Financial Report for 1976-77 - mailed previously
10. Budget Revisions for 1976-77
11. Quarterly Financial Report - July through September, 1977
12. Budget Revisions for current year
13. Forum Area
14. NKU Alumni Association Constitution
15. Other Recommendations

C. OTHER BUSINESS

NEXT QUARTERLY MEETING - Meetings dates for 1978 to be set

ADJOURNMENT

MINUTES OF THE BOARD OF REGENTS MEETING
Monday, October 17, 1977

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 5:15 p.m., Monday, October 17, 1977, by Chairman Kenneth Lucas in the Board Room of Nunn Hall, Highland Heights campus. The invocation was given by Dr. Scholes. The chairman welcomed all present.

Roll Call: Regents present: Mr. Elmer Haas, Mr. John Hamburg, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Judge James Poston, Dr. Frank Stallings, Mr. Sam Makris.
Regents excused: Mr. Alex Parker and Dr. Edgar Wippermann.
Others present: President A.D. Albright, Counsel John Brooking, Dr. Gene Scholes, Dr. Janet Travis, Mr. Roger Buchanan, Mr. John DeMarcus, Dr. James Claypool, Mr. Robert Knauf, Mr. Martin Huelsmann, Mr. Clarence Tabor, Mrs. Dolores Thelen, Dr. Robert Vitz, Mr. Gary Eith, Mr. Dennis Taulbee, Dr. Charles Gray, Mr. Steve Toner, Dr. Warren Corbin, Mr. Kevin Baker, Ms. Peg Moertl of the Northerner, and Mr. Jim Reis of the Kentucky Post.

Mr. Haas moved that the minutes of the regular meeting of July 18, 1977 be approved as presented. The motion was seconded by Mr. Mann and was passed unanimously. Mr. Haas also made a motion that the minutes of the special meeting of August 22, 1977 be approved as presented. The motion, seconded by Mr. Mann, passed unanimously.

PRESIDENTIAL REPORTS:

A-1 In keeping with the Regents' instructions at a previous meeting, Dr. Albright presented an organizational chart with personnel added. He noted that there were still several open slots. Mr. Clarence Tabor, the new Business Manager, was introduced. Dr. Albright further stated that the Equal Opportunity/Affirmative Action slot will not be filled until a committee has developed an EO/AA program as well as some guidelines which will have to be presented to HEW. — Organizational Chart with Personnel

A-2 Dr. Charles Gray spoke on the audit of financial aid funds received from HEW for fiscal year, 1975-76, and pointed out Northern's answer to their findings. — HEW Audit

A-3 The Program Report of Grants and Contracts is to keep the Board aware of what is happening in the area of extra-mural funding. Dr. Stallings inquired as to how much better the grants received so far this year are than last year for the first 3 months. Dr. Albright reported that, according to Dr. Roger Meade, the funds so far are almost doubled and the goal for the current year is $750,000. — Grants and Contracts

A-4 Dr. Claypool reported that the University Center opened at the beginning of the semester this fall. There is a committee to oversee the food service and this area is working out quite well. There will be a Director of the University Center as of November 1st. Mr. Makris reported that the student body in general is pleased with the facility and that it has tied together the student body. — University Center

A-5 The Staff and Faculty Benefits report is for informational purposes for the Regents and Dr. Albright indicated there may be some changes to be made later. — Benefits Report

A-6 The President reported that an accreditation team from the Southern Association of Colleges and Universities will be on campus November 6 through 9 and will look at all phases of the University's operation in order to report whether Northern should receive full accreditation. The University will receive a report on the team's findings a month after they have visited,with the University having the opportunity to respond to the report. Dr. Albright stressed that this three-day visit is very important to the future of this institution. Accreditation Team

A-7 Dr. Scholes reported that our professional liability insurance coverage will lapse November 1 and negotiations are being carried on with an insurance brokerage firm in order that a policy can be purchased and the insurance does not lapse. Dr. Scholes recommended that the administration be empowered to negotiate for this policy in order that adequate coverage can be obtained. Counsel Brooking stated that the Board members should be assured (1) As of November 1, there will be coverage of a true professional liability insurance plus general liability in the amount of $100,000 for basic coverage with an umbrella coverage of $5,000,000, and there be no exceptions to the policy with regard to employees suing fellow employees, and (2) All Regents, Administrators and Faculty be covered on any matter on which they are acting in behalf of the University in their official capacity. Mr. Brooking further pointed out the importance of continuing coverage in years to come as insurance companies are now moving from occurrence coverage dates to when-made claim dates. Dr. Albright called attention to a letter that had been submitted to the Governor pointing out the tenuous positions in which public officials are cast as well as the Governor's reply. The President further reported on law suits in which other institutions are involved and said it was increasingly difficult to get administrators when they might as well forget about trying to operate as a control function, the process for which an educational institution was established. Professional Liability Insurance

The following resolution was moved by Judge Poston and was seconded by Mr. Martin:

> Resolved by the Board of Regents that Northern Kentucky University obtain professional liability insurance covering its Regents, Administrators, and Faculty when acting in their official capacity on behalf of the University; said coverage to be a basic $100,000 with an umbrella coverage of $5,000,000 in the aggregate and that payment for same be provided according to law. Resolution for Liability Coverage

The resolution passed unanimously.

Mr. Mann made a motion that the Northern Kentucky Legislators be urged to prepare for submission to the 1978 General Assembly a statute providing for professional liability insurance and other general liability insurance coverage for all officials of the Commonwealth of Kentucky including Regents, Administrators and Faculty of this University and any other University. The motion was seconded by Mr. Hamburg and passed unanimously. Legislators prepare Liability Statute

A motion was made by Mr. Martin and was seconded by Mr. Makris that, through the Administration, professional liability insurance coverage be provided annually to the Regents, Administrators and Faculty. The motion passed unanimously. Continuous Liability Coverage

A-8 & A-9 Mr. DeMarcus prepared a slide presentation of the capital construction requests for this biennium as well as bringing the Regents up to date on present construction. Mr. DeMarcus further reported that the bonding potential at this date is approximately $18,600,000 which hopefully the state will allow us to use for further development of the campus. President Albright remarked that he felt we had a unique campus and one which shows some foresight, especially since a recent Federal law was passed in which an institution of higher learning has to have facilities available for the handicapped. Campus Construction

A-10 Dr. Warren Corbin spoke on the Education Day (in-service training for teachers of elementary and secondary public schools as well as parochial schools from some 23 school districts) to be held on campus November 4. The hope was that 2,000 will attend but at this time it seems as if 3,000 may attend. Dr. Corbin complimented Northern's faculty and administrators in assisting him in setting up this program and invited all Regents to attend.

PRESIDENTIAL RECOMMENDATIONS

B-1 The President's Annual Report for 1976-77 was presented. In complimenting the administration on the fine report, Mr. Martin moved that it be received. The motion, seconded by Mr. Hamburg, was passed unanimously with many comments on the fine publication. President's Annual Report 76-77

B-2 Biennium Budget Requests. Since Mr. DeMarcus had presented the capital construction requests earlier, it was moved by Mr. Mann and seconded by Mr. Makris that the capital construction budget requests be approved as presented. The motion passed unanimously. Capital Construction Biennium Request

President Albright outlined the Biennium Operating Budget Request pointing out highlights of the request. He further stated: "In my judgment this next biennium, and namely this Legislative Session, is the most critical this institution has faced or is going to face for a number of years. This University is not adequately funded now and it needs to be adequately funded. This institution has been underfunded since its inception. The time has come that if sufficient funding is not realized, this Board will have to face some facts that may not be very palatable. If the level of education is to be maintained we must have this funding. If not, we cannot continue our policy of unlimited enroilment, some services will have to be curtailed, the athletic program will have to be cut, and practically every area of the University will be affected." Operating Biennium Budget Request

The Regents inquired as to which way to proceed to accomplish adequate funding and the President indicated that as soon as he knew where our University stood in funding (which should be this week) he would be in contact with each Regent. Dr. Stallings indicated that the faculty is concerned inasmuch as the salaries paid have not kept up with the cost of living and there is not opportunity for research because of inadequate money as well as inability to offer a faculty member release for such research.

Mr. Haas moved that the biennium operating budget request be approved as presented. The motion, seconded by Mr. Makris, was passed unanimously.

B-3 (a)	Mr. Hamburg made a motion that the new appointments, promotions and leaves of absence for academic and administrative personnel as listed in B-3(a) be approved as presented. The motion was seconded by Judge Poston and was passed unanimously.	Academic & Administrative Personnel
B-3 (b)	Mr. Martin made the motion that the new appointments, promotions and transfers of non-academic personnel as listed in B-3(b) be approved as presented. The motion, seconded by Judge Poston, was passed unanimously.	Non-academi personnel
B-3 (c)	President Albright explained that previously the Regents were told of the review made by the Department of Labor and the University was given 30 days to comply with their findings. A list of the personnel affected and their adjusted amounts which totalled $38,475 was presented and the President recommended that it be approved. Dr. Stallings moved that the Regents approve the adjusted salaries as presented. Mr. Mann seconded the motion which passed unanimously.	Adjusted Salaries
B-3 (d)	The President recommended that normal office hours for Northern be established as 8:15 a.m. to 4:30 p.m. with 45 minutes for lunch, to become effective October 30, 1977. Mr. Mann moved that the recommendation be approved. Mr. Hamburg seconded the motion which passed unanimously.	Office Hours approved
B-4	In recommending that a Center for Economic Education be established, Dr. Scholes explained that this program brings contact with the business community and while it will bring in a rather modest sum of $3,000, the end results will have an impact. A motion by Judge Poston to accept the recommendation as presented was seconded by Mr. Martin and was passed unanimously.	Center for Economic Education
B-5	Dr. Travis explained that the Associate Degree Program in Chemical Technology is being set up by the Physical Science Programs as there is a great need for this type of graduate in the industry of this area. Mr. Makris made a motion that such a program be approved as presented. The motion was seconded by Dr. Stallings and was passed unanimously.	Chemical Technology Program
B-6	A policy statement for handling all University monies was recommended by the President. Mr. Martin made the motion that the statement as outlined in B-6 be approved as presented. Mr. Haas seconded the motion which passed unanimously.	Policy for University monies
B-7	A change on the charges for parking violations as outlined in B-7 was approved unanimously on a motion made by Mr. Hamburg and a second by Judge Poston. When asked if a student had a right to appeal, it was stated that a Public Safety Advisory Committee heard such appeals.	Charges for Parking Violations
B-8	A recommendation that a special parking fee of $2 be set for those persons required to park their vehicles at the maintenance facility near the main campus was approved unanimously on motion by Judge Poston and second by Mr. Haas.	Special Parking Fee
B-9	The financial statement for 1976-77 was received on motion by Mr. Mann and second by Judge Poston. The motion passed unanimously.	76-77 Financial Statement

B-10 Some budget revisions that were necessary during the closing of the books for fiscal year 1976-77 were approved on motion by Mr. Hamburg and second by Mr. Makris. The motion passed unanimously. — Budget Revisions 76-77

B-11 The quarterly financial report for July-September, 1977 was received on motion by Mr. Mann and second by Mr. Makris and passed unanimously. — Quarterly Financial Statement

B-12 The budget revisions for June-September, 1977 were approved as presented by motion of Judge Poston and second by Dr. Stallings and unanimously passed. — Budget Revisions 77

B-13 The President recommended that the plaza area at the front of Nunn Hall be designated as a forum area and stated that it does not interfere with access to the buildings. Mr. Martin made the motion that the recommendation be accepted as presented. The motion, seconded by Judge Poston, was passed unanimously. — Open Forum Area approved

B-14 Mr. Steve Toner, Alumni Director, explained the changes in the Alumni Constitution and recommended that the Constitution be approved. Counsel Brooking stated that he had looked it over as to form and legality. Mr. Toner introduced the president of the Alumni Association, Mr. Kevin Baker, who stated that the Alumni Association had approved the new constitution. Mr. Haas moved that the Alumni Constitution be approved as presented. The motion, seconded by Mr. Makris, was passed unanimously. — Alumni Constitution

B-15 President Albright asked the Regents for authorization to proceed with the development of a Student Code outlining the Rights and Responsibilities of Students and will present such Code to the Regents at a later date. Mr. Makris moved that the administration be authorized to proceed with the development of the Student Code. The motion, seconded by Dr. Stallings, was passed unanimously. — Student Code

Mr. Martin made a motion that Dr. Surinder Ram be appointed Fire Safety Officer as outlined by Mr. DeMarcus. Mr. Haas seconded the motion. Mr. DeMarcus explained that Dr. Ram is the electrical engineer for the University and will also continue in that capacity as well as Fire Safety Engineer. The motion was passed unanimously. — Fire Safety Officer appointed

President Albright explained that while the Financial Aid audit for 1975-76 was in fairly good shape, a report had just been received from HEW which follows an audit from 1970 to 1974 in which the University was found to have violated some of the regulations set down by HEW. It may cost the University a large sum of money; however, it will have to be negotiated to see what indemnity the institution will suffer. The President said he would keep the Regents informed and wanted this to be a part of the record. — Financial Aid Audit for 1970-74

Mr. DeMarcus reported that when the University Center was built it was necessary to move the temporary grill. The contractor agreed to do so if the University paid half the bill which was approximately $3,000. Mr. DeMarcus recommended that the Board authorize the administration to negotiate with the contractor up to $3,000 for the moving of the food service building. A motion was made by Mr. Haas that the administration be so authorized. The motion, seconded by Mr. Makris, was passed unanimously. — Moving of Temporary Grill

Mr. Mann brought up the fact that the Regents were still receiving their Board agendas by certified mail and while he realized that the Board had directed this means of mailing, he felt it was becoming too costly and was not necessary. Mr. Mann moved that the Board of Regents rescind their directive for certified mailings. The motion was seconded by Mr. Hamburg and was passed unanimously.

On motion by Mr. Hamburg, meeting dates for 1978 were set for the Third Monday of January, April, July, and October. The motion, seconded by Judge Poston, was passed unanimously.

The meeting was adjourned at 7:45 p.m. on motion by Mr. Haas and second by Mr. Hamburg.

Elmer J. Haas, Jr. Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on October 17, 1977 and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 26th day of October, 1977.

AGENDA
BOARD OF REGENTS QUARTERLY MEETING
Monday, January 16, 1978 - 4:30 p.m.
Board Room - Nunn Hall

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES of previous quarterly meeting - October 17, 1977

PRESIDENTIAL REPORTS

1. Institutional Planning Report
2. H.E.W. Audit Report
3. Management Audit
4. Construction Report
5. Report on Liability Insurance
6. 1976-77 Financial Audit - will be available at meeting
7. Report of Research, Grants, and Contracts programs
8.
9.

PRESIDENTIAL RECOMMENDATIONS

1. Personnel
   a. Academic and Administrative
   b. Staff
2. Faculty Personnel Policy and Procedures
3. Faculty Handbook for Chairpersons
4. New Program Proposals
5. 1977-79 Catalog
6. Equal Opportunity/Affirmative Action Committee
7. Student Code
8. Quarterly Financial Report for Sept.-Dec. 1977 - will be available at meeting
9. Custodian of Funds
10. Rental of Post Office Boxes
11. Budget Transfers
12.
13.
14.
15.

OTHER BUSINESS

NEXT QUARTERLY MEETING - Monday, April 17, 1978

ADJOURNMENT

AGENDA - Monday, January 16, - 4:30 p. m.

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES of previous quarterly meeting - October 17, 1977

PRESIDENTIAL REPORTS

1. Institutional Planning Report
2. H. E. W. Audit Report
3. Management Audit Report
4. Construction Report - Oral Report by DeMarcus
5. Report on Liability Insurance
6. 1976-77 Financial Audit - Should be available from auditors by meeting time
7. Programs Report of Research, Grants, and Contracts
8. Contingency Fund

PRESIDENTIAL RECOMMENDATIONS

1. Personnel
    a. Academic and Administrative
    b. Staff
2. Faculty Personnel Policy and Procedures - NOT READY FOR THIS MEETING
3. Policy and Procedures for Chairpersons
4. New Program Proposals
5. 1977-79 Catalog
6. Equal Opportunity/Affirmative Action Committee
7. Student Code
8. Quarterly Financial Report for Sept. -Dec. 1977 - will be available by meeting time

AGENDA - continued

9. Custodian of Funds
10. Rental of Post Office Boxes
11. Budget Transfers
12. Enrollment Growth Pool
13. Northern's affiliation with St. Luke Hospital
14. Northern's affiliation with St. Elizabeth Hospital
15. Financial obligation of Students - (This was not ready for mailing and is being handed out.)

16 -

OTHER BUSINESS - Enter Budget

NEXT QUARTERLY MEETING - Monday, April 17, 1978

ANNOUNCEMENT - Pictures will be taken of Regents as they leave the meeting

ADJOURNMENT

MINUTES OF BOARD OF REGENTS MEETING
Monday, January 23, 1978

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was held on Monday, January 23, 1978. Chairman Lucas called the meeting to order at 4:55 p.m. and invocation was given by Dr. Scholes. The Chairman welcomed all present.

Roll Call:

Regents present - Mr. Elmer Haas, Mr. John Hamburg, Mr. Kenneth Lucas, Mr. Alex Parker, Dr. Edgar Wippermann, Dr. Frank Stallings, Mr. Sam Makris.

Regents excused - Mr. Henry Mann, Mr. Gordon Martin, Judge James Poston.

Chairman Lucas declared a quorum.

Others present - President A. D. Albright, Counsel John Brooking, Dr. Gene Scholes, Dr. Janet Travis, Dr. Ralph Tesseneer, Mr. John DeMarcus, Dr. James Claypool, Mr. Clarence Tabor, Mr. Robert Knauf, Dr. Arthur Kaplan, Dr. Lyle Gray, Dr. Mary Ann Rehnke, Mr. Dennis Taulbee, Mr. William Lamb, Mr. James Kerr, Mr. Dave Phillips, Dr. Charles Gray, Dr. James Ramage, Dr. Robert Vitz, Dr. Dennis O'Keefe, Mr. Richard Rankin and Mr. Charles Lee of Rankin and Rankin, Mr. Jim Reis of the Kentucky Post, Maryevelyn Wilson and Peg Moertl of the Northerner, Mrs. Dolores Thelen.

The minutes of the previous quarterly meeting, October 18, 1977, were approved on motion by Mr. Haas, a second by Dr. Wipperman, and passed unanimously.

PRESIDENTIAL REPORTS:

A-1 - Dr. Albright stated that this report stems from the fact that a few months ago the Academy for Educational Development selected Northern Kentucky University as one of thirty institutions in the country to engage in a serious planning effort. He called on Dr. Scholes to report. Dr. Scholes reported that the thirty institutions selected are very different in size and scope. The document submitted to the Board reflects an outline that Northern plans to follow and it is hoped that this will be a future guide for the University. Not only will this reflect the second ten years at Northern, but some short range planning will be done at the same time. Dr. Scholes further stated that there will be some sort of reports for the Academy due in June and July. A planning agenda is included on page five and the pre-requisites will be as follows:

Planning for Second Ten Years

a. Reaffirm or modify NKU's mission statement
b. Define broad program goals
c. Establish program priorities in terms of Northern's mission
d. Develop other policies that relate to institutional commitments

A-2 - President Albright called attention to the audit letter from HEW and complimented Dr. Charles Gray on the progress made in the student financial aid area. Dr. Gray noted that we have a favorable audit of BEOG funds for 1973-74. He further reported that BEOG grants have grown from $35,000 to $50,000 this past year.

HEW Audit

A-3 - Dr. Albright said that this report is an update for the Regents as a result of the Management Audit. He noted that many of the items have been implimented. In April a further report will be made outlining the progress being made.

A-4 - John DeMarcus gave a comprehensive report on construction plans for the future. He further spoke on the possible bottleneck which will be created when I-275 is tied into U.S. 27 and that there needs to be some thought on how to alleviate this program. Construction Report

A-5 - The President called attention to the liability insurance that has been secured to cover regents, faculty and staff. Dr. Scholes reported that we now have more coverage for less premium. Counsel Brooking stated that he felt further insurance needed to be secured covering personnel of the University who are driving their own automobiles on University business. He felt that personnel needed to be aware that there is no such coverage and suggested they have at least $300,000 general liability coverage for themselves. When Counsel Brooking asked about malpractice insurance for our nurse and rad tech people, he was informed that such coverage is in effect. Liability Insurance

A-6 - Dr. Albright referred to the 1976-77 Financial Audit and introduced Richard Rankin and Charles Lee of Rankin and Rankin, our auditing firm, stated that the University is pleased with their work. Mr. Rankin thanked the Regents and the Administration for their confidence and said that he had received very good cooperation from the Administration and Staff during the audit. 1976-77 Financial Audit

A-7 - President Albright, in presenting the report on programs of research, grants and contracts, stated that a goal of $750,000 for the entire fiscal year had been reached in half the time. He further stated that a large part of this accomplishment is due to Roger Meade and the faculty for their increasing interest in proposing projects. Grants Report

A- 8- Dr. Albright stated that this report was presented to the Regents in order for them to be aware of the areas in which the Contingency Fund was allocated in the budget. Contingency Fund

PRESIDENTIAL RECOMMENDATIONS:

B-1 - A recommendation was made by the President that new appointments, tenure and changes in positions be approved as outlined(listings attached to these minutes). A motion to accept the recommendation was made by Dr. Stallings. The motion, seconded by Dr. Wippermann, was passed unanimously. Personnel

B-2 - Dr. Albright stated that the Faculty Personnel Policy and Procedures was not complete and that it will be presented at the April meeting.

B-3 - The Handbook for Program Chairpersons with alteration page was presented for approval upon the recommendation of the President to become effective immediately. Dr. Stallings reported that this document was the most widely discussed of any document before it reached the Regents. President Albright reported that Dr. Stallings was very helpful in the formulation of this handbook. Dr. Stallings made a motion that the recommendation be approved. The motion was seconded by Mr. Makris and was passed unanimously. Chair-persons Handbook

B-4 - President Albright called upon Provost Travis to speak on the new program proposals being presented to the Regents. Dr. Travis introduced Dean Gray of the Basic Discipline Programs and Dean Kaplan of the Human Development and Service Programs. The Provost stated that all of the program proposals being presented are not going to be implimented immediately, but was seeking approval so as not to inhibit the development of them. Dr. Albright stated that these programs would also have to be acted upon by the Council on Public Higher Education and he recommended the acceptance New Program Proposals

of these proposals by the Regents. Dr. Wippermann made the motion, Mr. Hamburg seconded the motion that the recommendation be approved. The motion passed unanimously.

B-5- Dr. Albright referred to the copy of the Catalog given each Regent and made a recommendation that the Regents receive and accept the 1977-79 Catalog. Much credit for the catalog was given to Dr. Thieret who is University Editor. Dr. Travis reported that a new catalog will be prepared by January, 1979, reflecting the new organization of the University. The Provost stated that by accepting the catalog the Regents would be approving anything in it that had not been approved previously, but assured them that in the future everything that is included in a catalog will have their prior approval. The recommendation was passed unanimously on motion by Mr. Haas and second by Dr. Stallings.

1977-79 Catalog

B-6- President Albright recommended that the policy statement and plan for equal opportunity and affirmative action be adopted by the Board of Regents effective this date. (Policy statement and plan attached to these minutes.) Dr. Albright called upon Dr. James Ramage who chaired the committee after complimenting him and his committee for the fine job done in a short time. Dr. Ramage explained that such a policy and plan was necessary in order to comply with government regulations in order to keep grant monies coming in such as the $750,000 mentioned previously. He further stated that acceptance of this document commits the University to hiring a full time equal opportunity director. A motion to accept the recommendation was made by Mr. Makris and was seconded by Dr. Stallings. The motion passed unanimously.

Equal Opportunity/ Affirmative Action

B-7- President Albright made the following recommendation: That the code of student's rights and responsibilities be accepted by the Board of Regents and that this document be incorporated into the student handbook with the following exceptions: on page 5 insert after first line "Class attendance is not compulsory except when required by the faculty for educational purposes" and on pages 7 through 9 "Hearing Procedures" be deleted for the time being. He further recommended that the President be authorized to incorporate supplementary material that may be considered feasible to this document.

Students Rights and Responsibilities

Mr. Makris spoke against the insertion on page 5, and indicated there is no appeal procedure at this time and felt there should be some sort of hearing procedure inserted. Dr. Travis indicated there was such a procedure in which the student goes through the faculty member, to chairman, to Academic Dean, to President. Since there is now no Academic Dean, an appeal would proceed to the Dean of the program area and to the Provost, then to the President. Counsel Brooking said taking such steps as now outlined is an abuse of process when the student can go to the Provost and the President. Counsel Brooking also pointed out some inconsistencies in the document which he will write out for the committee. It was the consensus that the appeal procedure for students, until this section of the document is completed, be to faculty, to chairperson, to Dean of the program area.

A motion was made by Mr. Haas that the recommendation of the President be accepted including the exceptions outlined above. The motion was seconded by Mr. Makris.

Vote: Aye - Haas, Hamburg, Stallings, Wippermann
Nay - Parker Student Regent abstained Motion carried.

B-8- The President noted the Quarterly Financial Report given each Regent and recommended it be received and accepted. Motion to accept was made by Mr. Makris, was seconded by Mr. Parker, and was passed unanimously. — Quarterly Financial Report

Dr. Stallings retired from the meeting to teach a scheduled class.

B-9- Dr. Albright recommended that signatures authorized for checks written on all Northern Kentucky University bank accounts be Bill L. Woods, Director of Accounting; C.M. Tabor, Business Manager; and that the Custodian of funds received from the state be designated as Bill L. Woods, Director of Accounting with C. M. Tabor, Business Manager, as alternate. Mr. Parker made the motion to accept the recommendation. The motion, seconded by Dr. Wippermann was passed unanimously. — Custodian of Funds

B-10- The recommendation that the University postal services be permitted to rent post office boxes located in the postal services area in the University Center to faculty, staff or students for a fee of $2 per box February 1 through June 30, 1978, and $5 per box per year effective July 1, 1978 was accepted on motion made by Mr. Parker. The motion, seconded by Dr. Wippermann, passed unanimously. — Postal Boxes

B-11- The budget revisions made for the period of October 1, 1977 through November 30, 1977 were approved as presented on motion by Mr. Hamburg. The motion was seconded by Mr. Makris and was passed unanimously. — Budget Revisions

B-12- President Albright recommended that the state grant for enrollment growth totaling $267,193 be accepted and maintained as a budget reserve with the president authorized to make temporary transfers for emergencies; any such transfer to be subject to the subsequent confirmation and approval by the Board of Regents. Mr. Parker moved that the recommendation be approved as presented. The motion, seconded by Dr. Wippermann, was passed unanimously. — Enrollment Growth Grant

B-13- and B-14- Dr. Albright submitted the following recommendations for approval:

That the Board of Regents ratify the agreement for affiliation of Northern Kentucky University and St. Luke Hospital on the radiologic technology program as submitted.

That the Board of Regents ratify the agreement for affiliation of Northern Kentucky University and St. Elizabeth Medical Center on the radiologic technology program as submitted.

(Agreements are attached to these minutes.)

Dr. Wippermann moved that the two recommendations be approved as presented. Mr. Hamburg seconded the motion and it was passed unanimously. — Agreements with St. Luke and St. Elizabeth

B-15- Dr. Albright, in submitting the following recommendation, explained that every effort is being made to collect delinquent accounts and that progress is being made: That the following statement be placed in all information presented to and mailed to NKU students: "Students who have any outstanding financial obligation to Northern Kentucky University will not be placed on the official class roll until the obligation is corrected." Motion to accept the recommendation was made by Mr. Makris and seconded by Mr. Parker. The motion passed unanimously. — Delinquent Student Accounts

OTHER BUSINESS:

President Albright presented a written report on the 1978-80 Executive Budget provisions for Northern Kentucky University, further pointing out the difficulties to be encountered in the second year of the budget. The written report is attached to these minutes. The President explained that one hope will be the discretionary fund of $2.5 million given to the Council on Higher Education for enrollment growth and program development. Northern should receive a good portion of this. To further underscore our situation as compared to other institutions in Kentucky, Dr. Albright presented a document (copy attached) which points out sharply our lack of funding.

Chairman Lucas commended Dr. Albright for the comprehensive report and the manner in which he has handled this situation. It was the hope of all Regents that the growth pool will be large enough to help Northern. Mr. Parker asked about the personnel of the Council on Higher Education. Dr. Albright named the members and stated that the Council is well aware of Northern's situation and this is the reason we should receive a good portion of the growth pool. Mr. Makris said that the students do wish to help and would appreciate hearing if there is anything the student body can do as a group. Dr. Albright assured Mr. Makris he would be calling upon the students.

Motion to adjourn the meeting was made by Mr. Parker and seconded by Mr. Haas.

The meeting was adjourned at 7:15 p.m.

E. J. Haas, Jr. Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on January 23, 1978 and that such matters are still in force and effect.

E.J. Haas, Jr., Secretary

Dated this 28th day of Feb., 1978.

AGENDA
BOARD OF REGENTS MEETING
Monday, April 17, 1978

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES of previous quarterly meeting, January 23, 1978

A. PRESIDENTIAL REPORTS

1. Commencement Report
2. Programs Report from Office of Research, Grants and Contracts
3. Report on Supplemental Funds for Federal Work-Study
4. Quarterly Financial Report - January-March, 1978
5. Audit Comments
6. Report on Food Service operation
7. Update Report on Management Audit
8. Construction Report - Oral
9. Progress on I-275
10. Construction Allocation
11. New Tuition for Out of State Students
12. A Student - Virginia Newberry

B. PRESIDENTIAL RECOMMENDATIONS

1. Personnel
   a. Academic - 1 correction, A. Mazarro should be raised to Assoc. Asst. Professor instead of Asst.
   b. Non-academic
2. Faculty Policies and Procedures Manual
3. Faculty Senate Constitution

4. Commencement Recommendations

5. Requests for Class Fees

6. Proposals concerning NKU Library

7. Code of Undergraduate Student Rights and Responsibilities

8. Student Government Constitution

9. Student Activity Fee - Dr Albright has one change

10. Poster Policy - Replacement Page to be voted on

11. Policy on acquisition of Office Machines

12. Traffic and Parking Regulations

13. Budgetary Pay Policy

14. Budget Revisions

15. Indirect Costs Proposal

16. ~~Requirements~~ Requirements [illegible]

17. Dr Marcus Title -

C. OTHER BUSINESS

1. Meeting Dates

2. Special meeting for May 31? for Budget

3 - This is the last meeting for Student Sam Makris

ADJOURNMENT - Next quarterly meeting scheduled for Monday, July 17, 1978

MINUTES OF THE BOARD OF REGENTS MEETING
Monday, April 17, 1978

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was held on Monday, April 17, 1978 in the Board Room of Nunn Hall. Chairman Lucas called the meeting to order at 5:10 p.m. Invocation was given by Dr. Tesseneer.

Regents present: Mr. John Hamburg, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Dr. Edgar Wippermann, Dr. Frank Stallings, Mr. Sam Makris.
excused: Mr. Elmer Haas, Judge James Poston
Others present: President A. D. Albright, Counsel John Brooking, Regent Emeritus Charles Wiley, Dr. Gene Scholes, Dr. Janet Travis, Mr. Roger Buchanan, Dr. Ralph Tesseneer, Mr. John DeMarcus, Dr. James Claypool, Mr. Clarence Tabor, Mr. Robert Knauf, Mrs. Dolores Thelen, Mr. William Lamb, Mr. Dennis Taulbee, Mr. James Kerr, Mr. John Connor, Mr. Dave Phillips, Dr. Robert Vitz, Dr. Barbara Smith, Mrs. Perk Rudd, Mr. Jim Reis of the Kentucky Post, Mr. Ron Stamm of PKA Fraternity, Ms. Peg Moertl and Mr. Harry Donnermeyer of the Northerner.

Minutes of the previous quarterly meeting, January 23, 1978 were approved, as printed, on motion by Mr. Martin, second by Mr. Makris, and passed with 7 aye votes.

A-1 - This report covered the times of commencement and in what manner the Regents are involved. Dr. Albright reported that new robes have been secured for the Regents. — Commencement

A-2 - Dr. Albright noted additional funding through Grants and Contracts of over $750,000 has exceeded the target amount for the total year by $250,000 and hopefully, a total of $1 million can be achieved before the end of fiscal year. — Grants and Contracts

A-3 - President Albright called attention to the additional funding for our Federal College Work-Study Program.

A-4 - The quarterly financial report for January 1 through March 31, 1978 was presented.

A-5 - The President called on Mr. Tabor, Business Manager, to speak on the "Comments on Accounting Procedures" prepared by the auditor. An addition to A-5 was distributed outlining responses to the "comments". Mr. Tabor said he felt the Accounting Department was following the guidelines for good financial accounting procedures. — Auditors' Comments

A-6 - Mr. Tabor highlighted the written report on Grill and Cafeteria Operations stating that while it operated in the red the first few months as expected, the operation is now beginning to show a small margin of profit. The Business Services Manager and the Purchasing Director have worked extensively with the ARA people, who are very cooperative. — Grill and Cafeteria Operations

A-7 - President Albright asked Mr. Tabor to highlight some developments as a result of the Management Audit which the Regents approved over a year ago. Mr. Tabor reported that the inventory is completed and computerized in order that we know the exact location of equipment and its original cost. This inventory is kept up to date as items are purchased. Mr. Tabor further reported that a loan collection officer has been hired and is working full time on collection of bad debts. Other areas are being pursued and a further report will be made in July. — Management Audit

A-8 - The President called on Mr. DeMarcus for a Construction Report. Mr. DeMarcus announced that Groundbreaking Ceremonies for the new Business-Education-Psychology building will be at noon, May 3. Bid date is April 27. Construction

Reprogramming funding of $500,000 for the Administrative Center has been received and programming for this $6 million building will proceed, hopefully with the completion date in the fall of 1979.

Dr. Albright has contacted the Commissioner of Finance to review the contract issued for the Master Plan since little progress had been made. The state has now authorized the University to contract with a local firm to complete this master planning.

The University is in the process of complying with the self-evaluation requirement of Section 504 of the Rehabilitation Act of 1973. A questionnaire will be sent to all program chairpersons to complete the self-evaluation.

Renovations are currently in progress to convert space in the Educational Media Center in Landrum into a color television production area with a total construction cost of $54,000. An additional $194,000 worth of equipment is to be installed with the completion date in mid-July.

The second sculpture is due to be erected in August.

Dr. Stallings asked about an earlier allotment the University was given to complete the sidewalk and lighting through the campus. Mr. DeMarcus said there was not enough money to complete the plan, but it is under design and will be completed at a later date provided funds are available.

Dr. Albright stated that a space utilization report is under way and should be completed in a month or so.

President Albright also remarked that if money is not available to complete the campus to accommodate about 10,000 students, we may have to think about a selective admission policy.

A-9 - Mr. DeMarcus reported that the state offices had completed a traffic review of the I-275 and U.S. 27 traffic flow and that they did not see any problems. As the letter from the Secretary of Transportation stated, I-275 to the campus is due to be completed this summer. I-275 Completion

A-10 - Dr. Albright reported an additional construction allocation of $60,000 for equipment purchases in the Radiologic Technician Program. Additional Allocation

A-11 - President Albright reported that the Council on Higher Education adopted tuition increases for non-residents enrolling in all public universities in Kentucky, effective for Fall, 1978. Non-resident Tuition Raise

Undergraduate - From $1200 to $1250 ($1300 in 1979)
Graduate - No change
Law - From $1750 to $1980 (Northern's tuition will be same as UK)

The President further reported that he will be meeting with the Chancellor of the Ohio Regents and Dr. Winkler of U.C. to see if some reciprocal agreement can be worked out for tuition. Dr. Travis reported that the Graduate Center is working out well with 75 students enrolled in the Fall.

A-12 - The President reported thatNKU student, Virginia Newberry, received a Harry S. Truman Foundation Award (Alternate). She is one of only 109 in the United States receiving such an award. Student Honored

PRESIDENTIAL RECOMMENDATIONS:

B-1 (a) - The President called upon Dr. Travis to explain the process of tenure, continued probations and terminations to the Regents. She report the promotion of Anthony Mazzaro should be Assistant Professor, not Associate Professor, as printed. Mr. Parker asked how many persons sat on the tenure committees. Dr. Travis explained that at least 5 persons from the program were on such committees. If a program did not have that many faculty, they were urged to secure additional ones from other departments so there would be at least five persons. Dr. Stallings questioned the number of faculty from the law school receiving tenure. Dr. Travis reported that they received the same scrutiny as any of the other faculty. Academic Personnel Actions

Mr. Mann made the motion that the recommendation for promotion, tenure, termination, continued probation, sabbatical leave and new faculty appointment as outlined in B-1(a) be approved as submitted with the revision of A. Mazzaro. The motion, seconded by Dr. Stallings, passed with 7 aye votes.

B-1(b) - The recommendation for Non-academic Personnel Actions was approved as outlined in B-1(b) on motion by Dr. Stallings. The motion was seconded by Mr. Martin and was passed with 7 aye votes. Non-academic Personnel Actions

B-2- President Albright asked Dr. Travis to speak on the Faculty Personnel Policies and Procedures. Dr. Travis pointed out that Sections I through IV were previously voted on with certain revisions made and these parts are being presented for the Regents' information. In presenting Sections V through VII, Dr. Travis pointed out that Section VI - Faculty Leaves -- deals with 10-month appointments only. It does not include 12-month apointments such as Deans and Chairpersons. She further stated that another section would be added next year dealing with Faculty work loads. Dr. Stallings commented that the procedures in this handbook will eliminate some of the problems that the Board has had to deal with in past years. Faculty Personnel Policies and Procedures

Mr. Makris moved that Sections V through VII of the Faculty Policy and Procedure Manual be approved as presented. The motion was seconded by Dr. Stallings and was passed with 7 aye votes.

B-3 - President Albright, in recommending that the Faculty Senate Constitution be approved, commented that the primary changes are made to conform to the present organizational set-up. Dr. Vitz, President of Faculty Senate, stated that one of the changes was to have the officers begin their terms in August instead of April because April is such a busy month, and asked the Regents to consider authorizing the present officers of the Senate to remain in office until August. Faculty Senate Constitution

A motion to accept the Faculty Senate Constitution as presented with the further recommendation that the current officers remain in office until August, 1978 was made by Mr. Martin, seconded by Mr. Mann, passed with 7 aye votes.

B-4 - Dr. Albright presen the following persons to be consi ·ed for honorary degrees at commencement:

Kenneth R. Lucas - Doctor of Humane Letters
Dr. Henry Winkler - Doctor of Humane Letters
Justice Robert O. Lukowsky - Doctor of Laws

Honorary Degrees

Dr. Wippermann moved that the recommendation be accepted. The motion, seconded by Mr. Mann, was passed with 7 aye votes. Mr. Lucas expressed his appreciation for the honor.

B-5 - Mr. Parker moved that the class fees outlined in B-5 be approved as submitted. The motion was seconded by Mr. Martin and was passed with 7 aye votes.

Class Fees approved

B-6 - Because of the huge financial loss occurring in the Library because of unreturned books, President Albright recommended:

1. That the main Library be permitted to limit the circulation of materials to patrons sixteen years old and over unless accompanied by a parent.
2. That the Main Library require a deposit of $10 for any library card that is issued.

Library Policy

Dr. Wippermann moved that the recommendations be approved as presented, seconded by Mr. Mann, and passed with 7 aye votes.

B-7 - The President stated that the Board had passed a Student Code in January with certain deletions and now that all material had passed through the proper channels, the completed Code of Undergraduate Student Rights and Responsibilities is ready for Board approval. Mr. Makris, in making the motion to approve the Code, stated he felt comfortable with it and felt it was a good code. The motion was seconded by Mr. Martin and was passed with 7 aye votes.

Student Code approved

B-8 - The Student Government Constitution was submitted for Board approval. Mr. Makris moved that the Constitution be approved as submitted and Dr. Stallings seconded the motion. Mr. Makris explained that the Constitution was restructured to follow the new academic program areas as appeared in the Organizational Chart. Mr. Makris further stated that he had consulted administrators, chairpersons and some of the Faculty Senate and all seemed to go along with it. 10% of the student body (the requirement for passage by the student body) voted on the Constitution affirmatively. The motion that the Student Government Constitution be approved by the Board of Regents was passed with 7 aye votes.

Student Government Constitution approved

B-9 - President Albright recommended that the Student Activity Fee be reinstated according to the outline submitted in B-9 with one change: No. 6 should read: Optional payment is open to any student at Northern not pursuing an undergraduate course load, the fee for which shall be assessed on the same basis as No. 2 above. Mr. Makris moved that the recommendation be accepted with the change in No. 6. The motion, seconded by Dr. Wippermann, was passed with 7 aye votes.

Student Activity Fee Reinstated

B-10 - The Regents were furnished with a replacement Poster Policy (attached to these minutes) which was approved on motion by Mr. Hamburg, second by Mr. Parker and passed with 7 aye votes.

Poster Policy approved

B-11 - Dr. Albright explain[illegible] that this recommendation was m[illegible]t to normalize the acquisition of office machines and the depreciation of same. Mr. Mann moved that the recommendation for acquisition of office machines be approved as outlined in B-11. The motion, seconded by Mr. Makris, was passed with 7 aye votes. — Office Machine Acquisition

B-12 - Dr. Scholes, in presenting the Traffic and Parking Regulations, noted some changes that needed to be made, particularly Section II-4 Registration Fees. Assessment is $12 for full-time employees, $6 for part-time employees, $10 for full-time students and $5 for part-time students. Dr. Scholes asked for conditional approval on the tentative policy. The policy will be presented in final form at a later meeting. Mr. Hamburg moved that conditional approval be given the Parking Regulations with changes noted. The motion was seconded by Mr. Parker and was passed with 7 aye votes. — Traffic and Parking Regulations

B-13 - Dr. Albright submitted a proposal for pay increases for fiscal 1979 pointing out that it was necessary to have these approved prior to the approval of the budget so that faculty contracts, etc. can be prepared on time. After discussion, Mr. Martin moved that the pay increase policy be approved as presented. Mr. Makris seconded the motion which passed with 6 aye votes. Dr. Stallings, Faculty Regent, abstained. — Proposal for Pay Increases

B-14 - The Budget Revisions made for the period of December 1, 1977 through February 28, 1978 were presented for approval. Mr. Parker moved that the Budget Revisions be approved as presented, seconded by Mr. Makris, and passed with 7 aye votes. — Budget Revisions

B-15 - President Albright recommended that University policy, adopted April, 1976 regarding the handling of indirect costs associated with state and federal grants, be discontinued as of July 1, 1977. Dr. Wippermann moved that the recommendation be approved, seconded by Mr. Mann, and passed with 7 aye votes. — Indirect Costs

B-16 - The President recommended that the minimum requirement for a baccalaureate degree at Northern Kentucky University be changed from 120 semester hours to 128 semester hours. Also, that the minimum requirement for an associate degree be changed from 60 semester hours to 64 semester hours. This policy will be effective only for those students who enter the University as of or after the Fall Semester, 1979. Dr. Albright explained that this type requirement will bring our standards in line with the other state regional universities. Mr. Martin moved that the recommendation be approved as presented. The motion, seconded by Mr. Parker, was passed with 7 aye votes. — Raise Standards for Degree

B-17 - Dr. Albright noted that under the new organizational chart, Mr. DeMarcus' duties as Executive Assistant for Campus Development included capital construction, parking facilities, physical facilities, renovation, and maintenance and operations. In light of what Northern has planned in the area of campus development for the next three or four years, it would be appropriate that Mr. DeMarcus devote as much time as possible in this area. The President asked the Board to consider moving Physical Plant and Maintenance and Operations under the direction of the Business Manager. This also would be in accordance with the recommendation of the Southern Association in its accreditation study. Mr. Makris made the motion that Physical Plant and Maintenance and Operations be under the direction of the Business Manager. The motion, seconded by Mr. Martin, was passed with 7 aye votes. — Maintenance and Operations under Bus. Mgr.

OTHER BUSINESS:

President Albright stated that the Budget for fiscal 1979 would be completed near the end of May and asked the Board to set a Special Meeting for that time. Mr. Hamburg moved that a Special Meeting to consider the Budget and other necessary items be set for Wednesday, May 31 at 5 p.m. The motion, seconded by Dr. Wippermann, was passed with 7 aye votes.

Special Meeting May 31

Inasmuch as the next quarterly meeting, slated for July 17, is close to the end of the present fiscal year, Dr. Albright asked the Board to consider the possibility of setting the July meeting a little later giving the staff more time to close out the year's business. Mr. Martin moved that the July meeting be set for Wednesday, the 26th, at 4:30 p.m. The motion was seconded by Mr. Parker and was passed with 7 aye votes.

Quarterly Meeting July 26

President Albright remarked that performance reviews are being conducted in all administrative areas, and said he did not think it fair for everyone to be evaluated and not the President's office. Therefore, he requested that the Board perform a review of his office to see if the expectations of the Board have been met by the chief executive officer.

Performance Review - President's office

Mr. Lucas appointed the following Regents to a Performance Review Committee of the President's Office and suggested a report be ready by the May 31st meeting:

Dr. Wippermann, Mr. Mann, and Mr. Martin

Mr. Lucas asked the committee to receive in-put from the Faculty and Student Regent.

Chairman Lucas stated that this is the last meeting that Student Regent Sam Makris would be attending. Mr. Lucas remarked: "On behalf of the Board of Regents I would like to commend you as a person and as a Regent. You have done an outstanding job." Dr. Albright commended Mr. Makris and the fine caliber of work performed by him. Dr. Stallings moved that a resolution be prepared in recognition of Mr. Makris. The motion was seconded by Mr. Parker and was passed unanimously with applause.

The meeting adjourned at 7:45 p.m. on motion by Mr. Martin and second by Dr. Wippermann.

E. J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on April 17, 1978 and that such matters are still in force and effect.

Dated this 25th day of April, 1978.

E. J. Haas, Jr., Secretary

AGENDA

Special Board of Regents Meeting

Wednesday, ~~July~~ May 31, 1978 - 5 p.m.

Northern Kentucky University

Board Room - Nunn Hall

PRESIDENTIAL RECOMMENDATIONS:

1. Present Year Budget Revisions
2. ~~Fee Schedule~~
3. Faculty Contracts
4. External Auditor
5. Custodian of Funds
6. Finance Committee
7. 1978-79 Internal Budget Recommendation

MINUTES OF SPECIAL BOARD OF REGENTS MEETING
Wednesday, May 31, 1978

On Wednesday, May 31, 1978 at 5:15 p.m. a special Board of Regents meeting was called to order by Chairman Kenneth Lucas. The meeting was held in the Board Room of Nunn Hall.

Roll Call:

Regents present: Mr. Elmer Haas, Mr. John Hamburg, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Mr. Alex Parker, Judge James Poston, Dr. Edgar Wippermann, Faculty Regent - Dr. Frank Stallings and Student Regent - Mr. Dan Dressman.

Others present: President A. D. Albright, Dr. Gene Scholes, Dr. Janet Travis, Dr. Ralph Tesseneer, Dr. James Claypool, Mr. Roger Buchanan, Mr. Robert Knauf, Mr. C. M. Tabor, Dr. Charles Gray, Dr. Barbara Smith, Mr. Steve Brauch, Mr. Dennis Taulbee, Mr. Dave Phillips, Ms. Perk Rudd, Ms. Dolores Thelen, and Ms. Christine Decker of the Kentucky Post.

PRESIDENTIAL RECOMMENDATIONS:

B-1 - Dr. Albright recommended that budget revisions be approved for a net increase in the unrestricted University budget of $8,966 for the period of March 1 through May 15, 1978 explaining that these revisions would update present year departmental budgets to correspond to the presentation contained in the 78-79 budget proposal. Mr. Hamburg moved that the recommended be approved as presented. The motion, seconded by Judge Poston, was passed with 8 aye, no nay votes. Budget Revisions

B-2 - This recommendation was deleted from the agenda for later consideration.

B-3 - In recommending that the faculty contracts for 1978-79 be approved, the President explained that contracts were awarded according to the Board's authorization at the April meeting. The contracts have been submitted to the faculty with the notation that the contracts are contingent on Board approval. A motion was made by Dr. Wippermann, seconded by Mr. Haas, that the contracts for academic year, 1978-79 be approved for the full-time faculty as listed for the Board and attached to these minutes. The motion passed with 7 aye votes, 1 abstention by Faculty Regent Stallings. Faculty Contracts approved

B-4 - President Albright recommended that the firm of Rankin, Rankin & Company, Certified Public Accountants, be employed to conduct and perform an examination of the financial statement of Northern Kentucky University for the fiscal year ending June 30, 1978; and that the expenditure for this service not exceed $18,750. Dr. Albright explained that each Regent had a copy of the proposal from Rankin and Rankin and that this approval is being requested at this meeting because the Council on Higher Education is asking for an earlier audit submission inasmuch as it is needed for the cost study in progress. Mr. Haas made the motion that the recommendation be approved as presented. The motion, seconded by Mr. Parker, was passed with 8 aye, no nay votes. Audit Firm Approved

B-5 - Because of the change in personnel in the accounting department, the President recommended that signatures authorized for checks written on all Northern Kentucky University bank accounts be W. Michael Baker, Director of Accounting; C.M. Tabor, Business Manager; and that the Custodian of funds received from the state be designated to W. Michael Baker, Director of Accounting with C.M. Tabor, Business Manager as alternate. The recommendation was approved on motion by Dr. Stallings and second by Judge Poston with 8 aye, no nay votes.

Custodian of Funds changed

B-6 - President Albright recommended that the Board of Regents appoint a Board Committee on Finance at the July regular quarterly meeting to be composed of three members of the Board. The President stated that the University is at the point when this committee and maybe two or three other committees can be useful to the administration by becoming acquainted more deeply in operations. Chairman Lucas stated that the entire Board cannot be involved in depth whereas a committee of two or three can become so involved. Mr. Martin made the motion to approve the recommendation of the President. The motion, seconded by Dr. Stallings, was passed with 8 aye, no nay votes.

Board Committee on Finance

B-7(a)(1) - President Albright stated that when the original reorganizational changes were made, some units were put under the President's Office; however, the time has come for some of these units to be placed under the appropriate area. The President recommended that the administrative responsibilities for the Department of Personnel Services be transferred from the Office of the President to the Business Manager effective June 12, 1978. Motion to accept the recommendation was made by Mr. Martin and seconded by Dr. Stallings. The motion was passed with 8 aye, no nay votes.

Personnel Services under Business Manager

B-7(a)(2) - Dr. Albright explained that the administrative responsibility for the Department of Intercollegiate Athletics be transferred from the Office of the President to the Dean of Student Affairs, effective July 1, 1978 explaining that this is a similar situation as in (1) and since an athletic program is related somewhat to student recreation and intramural sports, the Dean of Student Affairs office would be an appropriate placement. A motion was made by Mr. Parker and seconded by Judge Poston that the recommendation be approved as presented. Mr. Martin asked how many student members were represented on the Athletic Committee. It was explained that two students are nominated by the Executive Committee of Student Government and sent to the President for approval. Dr. Claypool explained that the Athletic Committee consists of 17 members with majority being faculty. He further said that some reorganization is being done so that the Athletic Committee will more adequately reflect all areas of the University. Dr. Vincent Schulte is the present Chairman. The motion passed with 8 aye and no nay votes.

Intercollegiate Athletics under Dean of Student Affairs

B-7(c) - Dr. Albright wanted the Board of Regents to be aware of some of the significant things that had happened in the Business Affairs areas since Roger Buchanan, Dennis Taulbee and Clarence Tabor became part of the University staff. The President commended Mr. Tabor on some significant achievements - waiver of sales tax payment for four years in the amount of $21,000; because of setting up a central copy center a reduction of copying charges of nearly $8,000; and nearly $40,000 in interest picked up on some investments made with money that was not being used until near the end of the fiscal year. The President stated further that he felt this type of stewardship

should be known to the Board. Chairman Lucas indicated the Board is very encouraged to hear about such things.

Upon Mr. Martin's question, the Board discussed conflict of interest when board members were on the Board of Directors of a bank where the University had desposited funds. Dr. Albright assured the members that he would get clarification on this matter.

Mr. Dan Dressman, Student Regent, arrived after experiencing car trouble and was given the Oath of Office by Chairman Lucas. The Chairman congratulated Mr. Dressman on his election as President of Student Government, commenting that he was sure that Mr. Dressman would make a fine contribution to the Board as past students have.

Student Regent Dressman given oath of office

B-8 - Dr. Albright gave a complete and thorough explanation of the proposed 1978-79 internal budget using charts, computerized listings, as well as referring to materials received by the Regents prior to the meeting. The money available on which the budget is based is $3.3 million more than the prior fiscal year and does not reflect any tuition increase. Salaries and fringe benefits for faculty and staff plus 20 new faculty members account for the largest amount of increase. The total budget is $18,591,485.00 including an equipment reserve of $350,000 and a contingency fund of $300,000. The President further stated that a detailed computerized budget was available if any of the Regents desired to see it. Dr. Albright noted that the resolution contained two paragraphs which state that a detailed budget will be printed embodying the authorization and asked that the President be authorized to approve adjustments between budget units, such adjustments being subject to approval of the Board of Regents.

1978-79 Internal Budget

The resolution accepting the proposed budget, totaling $18,591,485.00 was approved on motion by Mr. Martin and second by Dr. Wippermann. (The complete resolution is attached to these minutes.)

After a number of questions by the Regents and answers by the President and/or his administrative staff, Mr. Martin commended the staff that developed the document as well as the presentation of same by the President. The motion passed with 9 aye, no nay votes.

Budget approved

The meeting was recessed at 6:50 p.m. for Executive Session to consider a personnel matter (Report from the Presidential Evaluation Committee) on motion by Mr. Hamburg. The motion, seconded by Dr. Stallings, was passed with 9 aye, no nay votes.

The meeting was reconvened at 7:45 p.m. The Chairman announced that the Presidential Evaluation Committee reported in Executive Session that Dr. Albright was given an over-all rating of excellent.

Mr. Hamburg moved, seconded by Judge Poston, to accept the report of the Presidential Evaluation Committee. The motion was passed with 9 aye, no nay votes.

A motion was made by Mr. Mann, seconded by Mr. Haas, that President Albright be offered an extended contract, the terms of which will be presented at the July meeting for approval. The motion was passed with 9 aye, no nay votes.

Mr. Haas moved that the meeting be adjourned. The motion was seconded by Mr. Hamburg. The meeting was adjourned at 7:50 p.m.

E. J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting on May 31, 1978, and that such matters are still in force and effect.

E. J. Haas, Jr., Secretary

Dated this 14th day of June, 1978.

AGENDA
BOARD OF REGENTS QUARTERLY MEETING
Wednesday, July 26, 1978 - 4:30 p.m.
Board Room - Nunn Hall

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES OF PREVIOUS MEETINGS - Regular meeting - April 13, 1978
and Special meeting - May 31, 1978

ELECTION OF OFFICERS FOR 1978-79

A. PRESIDENTIAL REPORTS

1. Summer Commencement
2. Research, Grants, and Contracts
3. Other Federal Funds
4. Report on Bond Issue
5. Contingency Fund
6. Interim Financial Report for 1977-78 - available at meeting
7. Progress Report on Management Letter
8. Audits of Financial Aid Funds
9. Campus Development
    a. Construction
    b. Renovation Projects
    c. Programming
    d. Other Projects
10. Attorney General's Opinion on Conflict of Interest

B. PRESIDENTIAL RECOMMENDATIONS

1. Personnel Action
    a. Academic
    b. Non-academic Staff
2. Policy for On- and Off-Campus Seminars
3. Proposal to combine Graduate Center and Graduate Studies Offices
4. Proposal on Public Administration and Political Science Programs
5. Proposal for Health Education Minor
6. Schedule of Tuition, Fees and Other Charges
7. Traffic and Parking Regulations
8. Occupational License Taxes for Kenton and Campbell County
9. Acquisition of Bus
10. Recommended Limit for Fund Balance
11. Proposal for program in Computing Science

C. OTHER BUSINESS
B-12 - Budget Revisions?

1. Appointment of Committee on Financial Affairs
2. Set up dates for meetings - October, January, April, July

ADJOURNMENT

BOARD OF REGENTS MEETING
Minutes of July 26, 1978

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order by Chairman Kenneth Lucas at 4:45 p.m. on Wednesday, July 26, 1978. Invocation was given by Dr. Tesseneer.

The Chairman welcomed all guests and asked for roll call.

Roll Call: Regents present – Mr. Elmer Haas, Mr. John Hamburg, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Judge James Poston, Dr. Edgar Wippermann, Faculty Regent Frank Stallings, Student Regent Dan Dressman.
excused – Mr. Alex Parker
Others present – President A. D. Albright, Counsel John Brooking, Dr. Janet Travis, Mr. Roger Buchanan, Dr. Ralph Tesseneer, Mr. John DeMarcus, Mr. Clarence Tabor, Mr. Robert Knauf, Ms. Dolores Thelen, Mr. Dennis Taulbee, Mr. James Kerr, Ms. Perk Rudd, Mr. Gary Eith, Mr. Michael Baker, Dr. Larry Geismann, Mr. William Lamb, Mr. David Phillips, Ms. Shelia South, Mr. Bernard Deaton, Mr. Richard Wilson of the Courier Journal, Mr. Peter King of the Kentucky Enquirer, Mr. Jim Reis of the Kentucky Post, Ms. Karen Ware of the Campbell County News.

The minutes of the regular meeting on April 17, 1978 and May 31, 1978 (special meeting) were approved as mailed on motion by Mr. Haas, second by Mr. Martin and was passed unanimously.

Election of officers as follows:

Mr. Hamburg nominated Kenneth Lucas for Chairman and Mr. Elmer Haas for Secretary-Treasurer. The nomination was seconded by Dr. Wippermann. Mr. Martin moved that the nominations be closed. The motion, seconded by Dr. Wipperman, was passed unanimously. Mr. Hamburg made the motion that Mrs. Dolores Thelen be appointed Assistant Secretary for one year. The motion, seconded by Mr. Haas, was passed unanimously.

PRESIDENTIAL REPORTS:

A-1 - President Albright called attention to the summer commencement on August 13 at 7:30 p.m. President Robert Giroux of Thomas More College will deliver the address to approximately 190 candidates. — Summer Commencement - Aug. 13

A-2 - In presenting the Programs Report of the Office of Research, Grants, and Contracts, the President called attention to the fact that over $1 million was secured in outside funds which is over 100% increase in the last 18 months. — Programs Report of Grants, Contracts, and Research

A-3- Dr. Albright called attention to the interest subsidy grant payment and the approved authorization for the Basic Educational Opportunity Grant Program. — Other Federal funds

A-4 - President Albright went over the background of what is and will be involved in a bond issue. The suggestion came from Mr. McClure then Secretary of Finance, that the Board of Regents authorize a bond issue to finance construction of the University Administrative Services Building (attached to these minutes). The President finally asked the question, "When is a commitment a commitment?" Chairman Lucas commented that since funding for this building was in the Executive Budget, it might be interesting to get an Attorney General's opinion on the subject. Judge Poston felt the Board needed to pursue with Mr. McClure the void between positions as this latter position is departing from the Legislative intent, as well as feeling this matter should also be pursued with the Northern Kentucky Caucus. After much discussion, Mr. Martin moved as follows:

Bond Issue for Administrative Services Building

1. That the Board obtain some opinion (informal) from the Attorney General's office,
2. That the matter be pursued with the Northern Kentucky Caucus,
3. That clarification be asked of the appropriate state agencies, and
4. That the Board be completely advised of the full impact of a bond issue.

The motion, seconded by Mr. Hamburg, was passed unanimously.

A-5 - Dr. Albright pointed out that the purpose of this report was to bring the Board of Regents up-to-date on the way in which the contingency fund was used during the past fiscal year.

Contingency Fund

A-6 - President Albright summarized the interim financial report and asked for comments of Mr. Tabor and Mr. Baker, Director of Accounting. Mr. Baker indicated that this is only a preliminary report and that the Regents will receive an audited Annual Financial Report in October.

Interim Financial Report

A-7 - In presenting the progress report on the Management Audit, the President indicated it was a follow-up on a management analysis the Board authorized a year ago. As a result of the audit, a number of recommendations were made and many of them have been completed. This progress report, however, covers only the Business Management area and there will be other reports at a later meeting. Mr. Tabor commented on several areas of the report. Dr. Albright further reported that in the few months Mr. Tabor has been at the University, he has either save or earned the University nearly $100,000.

Management Audit

A-8 - The audits of financial aid funds were presented. Mr. Haas questioned the fact that the audits indicated that they did not include a determination of the eligibility of students for Nursing Loans and Scholarships. Mr. Baker indicated that this was a financial audit and Mr. Tabor later in the meeting pointed out that the audit letter did contain the statement that the examination was made primarily for the purpose of formulating an opinion on the basic financial statements taken as a whole.

Financial Aid Audit

A-9 - The President called upon Mr. DeMarcus for an oral report on campus development.

Campus Development Construction - Excavation on the Business-Education-Psychology Center will be completed by August 15 and construction will begin at that time. The building should be ready for occupancy on February 1, 1980; however, April 1 is a more logical date. Excavation on the tunnel extension will be completed by August 1

(continued)

with construction to begin at that time and completion is estimated to be October 16.

Renovation Projects - Bid openings on the Fine Arts print shop is August 2. This project will cost approximately $65,000. Gas shutoff valves in the science building -- 50% complete. Construction is taking place for the TV studio and A/V center in Landrum Center and equipment should arrive within 30 days. Cost of this project is $173,482. Bids will be opened on the sealing and striping of parking lots and it is hoped the project will be completed before Fall Semester begins. Bids for signage will be taken shortly. Partitions on the second floor of Nunn Hall to accommodate expanding administrative departments will be completed by August 25 at a cost of $60,000.

Northern's Self-Evaluation on handicapped accessibility is approximately 75% completed. The University Committee on Facilities for the Handicapped will meet within two weeks to approve transition plan, grievance procedures, existing employment practices and policies. Surveys have been undertaken on existing facilities and existing programs (academic as well as service oriented) and it was found that the University is very accessible to the handicapped with few exceptions. The personnel, faculty, and staff are aware of and accommodating the needs of the handicapped. Some recommended projects are being considered such as, curb cuts for parking, widening of restroom stalls,hydraulic elevators (Hankin Hall), automatic door per building, signage for accessibility, a ramp for access for law library.

The Administrative Services Building is in Phase C (working drawings) to be submitted for approval by mid-September. However, many delays have been encountered and the planning is behind schedule.

Mr. DeMarcus thanked Mr. Gary Eith for the fine job he has done during the time Mr. DeMarcus was confined because of his injuries.

A-10 - Dr. Albright presented the Attorney General's opinion on whether Regents serving as bank directors at banks in which the University deposited funds was a possible conflict of interest vis-a-vis Counsel Brooking (attached to these minutes). Mr. Haas complimented counsel on the fact that he condensed seven pages into one word! Several Regents asked about the University policy in choosing banks for depository. Dr. Albright indicated that a definite policy will be presented at a later date. The opinion stated that this was not a conflict of interest.

Conflict of Interest

A-11 - President Albright called attention to the Council on Higher Education's Policy for admission of out-of-state students at state-supported institutions which was mailed to the Regents and is attached to these minutes.

Policy for out-of-state students

PRESIDENTIAL RECOMMENDATIONS:

B-1 - The President recommended that academic personnel changes as outlined in B-1(a) be approved with the two following additions:

Dr. Linda Dolive as Coordinator of the Women's Studies Program, reporting to the Dean of Experimental Programs.

Dr. Adalberto Pinelo as Director of International Studies, reporting to the Dean of Experimental Programs.

Academic Personnel

Dr. Stallings moved that the recommendations be approved as presented. The motion, seconded by Mr. Haas, was passed unanimously.

Non-academic personnel

Dr. Albright recommended that the non-academic personnel changes as listed in B-1 (b) be approved. The changes were approved on motion of Mr. Martin, second of Dr. Wippermann, passed unanimously.

Contract for Dr. Albright

Chairman Lucas reported that negotiations for a new contract with Dr. Albright had taken place with the terms nearly the same as the contract now in effect except for a $1500 increase overal l. The Chairman pointed out that the old contract had provided for cost-of-living increases which Dr. Albright had declined, so the increase in salary in the new contract is very nominal. Mr. Lucas presented the following resolution:

> "Resolved by the Board of Regents of Northern Kentucky University, that the University does hereby employ and retain Dr. A. D. Albright as President of the University for a term of four (4) years, commencing on July 1, 1978 at an initial base salary of $46,500 per annum, together with such other contractual arrangements as are set forth in the 'Agreement of Employment', and the Board of Regents does further resolve that the Chairman of the Board, Kenneth Lucas, be and he is hereby authorized on behalf of the Board of Regents to execute the attached Agreement of Employment as the official action of the Board of Regents pursuant to this Resolution. The prior employment agreement with Dr. A. D. Albright is terminated."

The resolution was passed on motion made by Judge Poston, The motion was seconded by Mr. Dressman and was passed unanimously.

Mr. Mann expressed the thought that he did not want anyone to interpret the size of the increase as a reflection of the Board's respect for Dr. Albright's ability. Mr. Lucas reminded the board members that the Evaluation Committee on the President gave President Albright an excellent performance rating. The Chairman further advised the Board that even though the President did not ask or desire an increase, it was felt there should be some kind of increase. Chairman Lucas said he hoped Dr. Albright's tenure at the University will be a long, happy and healthful one. Dr. Albright thanked the Board for all of the considerations shown him and said that "the past two years have been the best I have ever experienced in the education field and I am grateful for it. I hope your hopes are realized."

On and Off-Campus Seminars

B-2 - President Albright presented a policy to govern on and off-campus seminars as attached to these minutes. The policy was approved on motion of Mr. Haas. The motion, seconded by Mr. Mann, was passed unanimously.

Graduate Center and Graduate Studies office to be combined

B-3 - Dr. Albright, after going over the background of graduate course work at Northern, recommended that the Graduate Center and the Graduate Studies office be combined into one operation responsible for all graduate education affiliated with Northern Kentucky University. The Office of Graduate Studies will be headed by the Associate Provost for Graduate Studies who will report to the Provost. Mr. Mann moved that the recommendation be approved as presented. Dr. Stallings seconded the motion which was passed unanimously.

B-4 - The President recommended that a separate Public Administration Program and a separate Political Science Program be formed out of the Government and Public Affairs Program. Mr. Dressman moved acceptance of the recommendation and Mr. Martin seconded. Dr. Stallings questioned splitting departments and perhaps this would bring on further such requests. Dr. Albright reminded the Regents when he presented the new organizational plan some 18 months ago, he stated that the new plan would be tried for a while and then be evaluated. Now is the time for evaluation and perhaps there will be other changes in the future. The motion was passed unanimously.

Public Administratio and Political Science Programs in separate areas

B-5 - President Albright recommended that a minor in Health Education be approved as outlined in the proposal attached to these minutes. A motion was made by Dr. Wippermann and seconded by Judge Poston that the recommendation be approved as presented. The motion passed unanimously.

Minor in Health Education

B-6 - In presenting a Schedule of Tuition, Fees and Other Charges, the President commented that this schedule consists of all fees at the University and no other fees will be charged. He further stated that he felt the list was much too long and that the administration was looking at the situation. Mr. Lucas commented on the tuition cost as being a bargain and said he hoped that the students realize the amount of state support they are receiving for their education. A motion to accept the Schedule as presented was made by Mr. Haas to become effective July 1, 1978. The motion, seconded by Mr. Mann, was passed unanimously.

Schedule of Tuition, Fees and Other Charges

B-7 - Dr. Albright asked for final approval to the Traffic and Parking Regulations inasmuch as a few changes were made since the Board gave tentative approval at an earlier meeting. Judge Poston made the motion to approve the Traffic and Parking Regulations. The motion was seconded by Mr. Hamburg and was passed unanimously.

Parking and Traffic Regulations

B-8 - President Albright, in recommending that the University withhold from University employees' salaries the newly enacted Campbell and Kenton County Occupational License Taxes, urged the board members to consider the case of the University's work-study students whom he feels should not be taxed. Counsel Brooking reported that a meeting with the judges of the two counties concerned is being set up, hopefully very soon. Dr. Wippermann made the motion that the recommendation be approved and that the two bodies be contacted to work out a suitable arrangement for work-study students. The motion, seconded by Mr. Martin, was passed unanimously.

Campbell and Kenton County Occupational License Tax

B-9 - The President asked for authorization of the acquisition of a bus (conditions outlined in recommendation attached) and that the cost be charged against fund balance. Motion to approve the recommendation was made by Mr. Haas,was seconded by Judge Poston, and was passed unanimously.

Acquisition of Bus

B-10 - Dr. Albright recommended that the Board of Regents authorize charges, not to exceed $46,000, be funded from fund balance for purchase orders issued in the old fiscal year for which delivery will occur in the new fiscal year (after June 30, 1978). Mr. Hamburg moved to approve the authorization, Mr. Martin seconded, and the motion was passed unanimously.

Purchases from fund balance

B-11 - President Albright recommended that the proposal for a baccalaureate program in computing science be approved. The proposal is attached to these minutes. Dr. Wippermann made the motion to approve the recommendation. The motion was seconded by Dr. Stallings and was passed unanimously. — Computer Science Program

B-12 - Final budget revisions for 1977-78 (attached) were approved as presented on motion by Judge Poston, second by Mr. Mann, and passed unanimously. — Final Budget Revisions 77-78

Chairman Lucas announced that an appointment of a Committee on Financial Affairs would be made at a later date.

Mr. Martin moved that the regular quarterly meetings for next year be held on the third Wednesday of October, January, April and July. The motion, seconded by Judge Poston, was passed unanimously.

Internal budget for 1978-79, approved at the last special meeting, was distributed in printed form. The President's Annual Report will be ready for the Board in October.

The meeting was adjourned at 7:30 p.m. on motion by Mr. Haas and second by Mr. Mann.

E. J. Haas, Jr., Secretary

Dolores M. Thelen

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on July 26, 1978, and that such matters are still in force and effect.

E. J. Haas, Jr., Secretary

Dated this 7th day of August, 1978.

AGENDA
BOARD OF REGENTS MEETING
Wednesday, October 18, 1978 - 4:30 p.m.
Board Room - Nunn Hall

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES OF PREVIOUS MEETING - Quarterly meeting held July 26, 1978.

A. PRESIDENTIAL REPORTS

1. Report on Summer School Sessions
2. Programs Report from Office of Research, Grants, and Contracts
3. Reports from Office of Campus Development (3. to follow after A-6)
4. Audit and Financial Report for 1977-78
   Audit on "Helping the Elderly with Legal and Physical Services" program
5. Financial Report - July 1 through September 30, 1978 - available at meeting
6. Report on Occupational Tax

(3.) Reports - Campus Dev
7. Bus

B. PRESIDENTIAL RECOMMENDATIONS

1. Personnel Actions
   a. Academic
   b. Non-academic Staff
2. Center for Exceptional Children
3. Alumni Association
4. Yearbook Proposal
5. Budget Revisions, July through September, 1978
6. Dual Degree Science and Engineering Program
7. Promotion and Tenure for Librarians

OTHER BUSINESS

EXECUTIVE SESSION

ADJOURNMENT - Next regular quarterly meeting - Wednesday, January 17, 1979

BOARD OF REGENTS MEETING
Minutes of October 18, 1978

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order by Chairman Kenneth Lucas at 4:45 p.m. on Wednesday, October 18, 1978. Invocation was given by Dr. Tesseneer.

The Chairman asked for roll call, then welcomed guests.

Roll Call: Regents present - Mr. Elmer Haas, Mr. John Hamburg, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Mr. Alex Parker, Judge James Poston, Dr. Edgar Wippermann, Faculty Regent Frank Stallings, Student Regent Dan Dressman.

Others present - President A. D. Albright, Counsel John Brooking, Dr. Gene Scholes, Dr. Janet Travis, Mr. Dennis Taulbee, Dr. Ralph Tesseneer, Mr. John DeMarcus, Dr. James Claypool, Mr. Robert Knauf, Mr. Clarence Tabor, Dr. Jack Grosse, Dr. Arthur Miller, Mr. James Kerr, Mr. Gary Eith, Ms. Perk Rudd, Mr. David Phillips, Mr. Keith Stewart, Mr. Harry Donnermeyer of the Northerner, Ms. Bev Yates of the Northerner, Ms. Karen M. Ware of the Campbell County News, Mr. Jeff Gutsell of the Kentucky Enquirer, Ms. Christine Decker of the Kentucky Post, Mr. Richard Rankin of Rankin, Rankin & Co., Mr. Charles Lee of Rankin, Rankin & Co

The minutes of the regular meeting of July 26, 1978 were approved as mailed on motion by Mr. Haas, second by Dr. Wippermann and were passed unanimously.

President Albright introduced Dr. W. Jack Grosse, former Dean of the Chase College of Law who has joined us as University Counsel and Professor of Law; Dr. Arthur Miller, President of the Faculty Senate. Mr. Tabor introduced Mr. Keith Stewart, Director of Purchasing.

PRESIDENTIAL REPORTS:

A-1 - President Albright presented the report on Summer School Sessions, highlighting points: much more innovative courses and teaching methods; expanded evening program in the summer; selected high school senior program. Teachers and students pleased. Enrollment was up as were revenues. — Summer Sessions

President Albright reported on Fall enrollment: headcount up about 4%, from 6,570 to 6,803, excluding Continuing Education. FTE is up slightly. This means a larger number of persons who are taking part-time courses have enrolled. We have had more transfers from other institutions and a few more first-time Freshmen. Enrollment should increase until it reaches near 10,000. Student body is getting older--a little over 30% are past 25 years of age. Expect this to be 50% in the next 4-5 years; large part are not in evening courses but are returning students (mothers after children are raised) in day courses.

A-2 - The Programs Report of the Office of Research, Grants, and Contracts covered July 1 through September 30, 1978. Summary of the report, approximately $350,000 has been approved. Dr. Albright expressed the hope that grants and contracts will keep pace with last year. — Programs Report on Research, Grants, & Contracts

A-3 - Held in abeyance; report presented following President's report on Construction and Financing immediately after A-6.

A-4 - Upon presentation of the Audit and Financial Report for 1977-78 along with the Audit on "Helping the Elderly with Legal and Physical Services" program, Mr. Tabor asked if the Regents had questions while the auditors were present. President Albright instructed the Regents to look carefully at these audit reports. — Audit and Financial Report for 1977-78

Mr. Richard Rankin advised that this is the first year the audit reports have been prepared as a joint effort with our Accounting office, which is significant in that it tells a new maturity in the development of the accounting function. Mr. Rankin expressed pride that, although the October 1 deadline gave them only 45 days to work, the reports were delivered 4-5 days early. Chairman Lucas complimented the Print Shop, this being the first year the audit has been printed on campus. Mr. Mann commented that the Board was comfortable with the University and the Auditors.

Mr. Rankin announced preparation of a management letter in which items will be pointed out that come to the auditors' attention bearing on other matters--accounting procedures, collection procedures, etc.

Counsel Brooking asked who runs the HELPS program and what it does. Mr. Taulbee explained that Dr. Jane Dotson directs the program which concerns meals for the elderly and some additional services including legal services having to do with Social Security, contacts about Medicare, Medicaid, etc. It is federally funded and we function as an area delegate. — Audit on HELPS Program

A-5 - Financial Report covering July 1 through September 30, 1978 was presented. Mr. Parker questioned pages 12-13 listing a total of $19 million with only $3 million spent. Mr. Taulbee explained that the report covered 3 summer months while our heavy expenditure quarters are September-December and January-March when the academic people are on board. Mr. Parker asked the approximate interest rate on loans. Page 19 reflects a rate of 6%. President Albright explained computer procurement, two options: buying or leasing. Leasing arrangement gave us favorable rate of 6.75%. If computer becomes obsolete we do not continue to pay, nor is a large sum paid out at one time. — Financial Report July-Sept. 1978

Mr. Haas asked about our unencumbered balance as of September 30, 1978. Mr. Rankin named the amount of $15,184,000 and explained that the report shows annual projection but only 3-month expenditures and receipts.

A-6 - The report on Occupational Tax for TANK was presented, and Mr. Brooking stated that Judge Dressman and Judge Hehl did the University a tremendous favor in entering an agreement that workstudy students would have no tax deducted from their grants and employees would be taxed in the county where based. — Occupational Tax

President Albright read his letter of 12 October addressed to the Governor expressing gratitude for the funding from state general funds of the administrative services building, $5.7 million. President Albright explained that the 5.7 million actually means 11.4 million to this institution. Had the 5.7 million been subtracted from our bonding capacity, it would have to be made up. The President then presented a news release outlining the completion of the campus and the release of bonding potential, and crediting the Board of Regents, Northern Kentucky Chamber of Commerce, the Legislative Caucus, the County Judges and Fiscal Courts, as well as the Council on Higher Education and the Governor for their shares in realizing this funding.

A-7 - President Albright presented the report on Completion of Construction and Financing of the Highland Heights Campus representing a total cost of $35.5 million. The second page listed projects presented to the Department of Finance, minus the 5.7 million. The President stated that this is what will complete the basic requirements of the institution and to which the Governor has committed himself and has met the commitment.

Completion of Construction and Financing of the Highland Heights Campus

President Albright said we can begin programming other projects shortly which will have to come from the sale of bonds, Series "C" bonds. Our bonding potential is now about 18.9 million which would get us through the first five projects. That would leave additional classroom facilities in the planning stage until our bonding capacity increases to whatever the requirement is. The educational series bonding capacity is based on student enrollment and tuition which, in effect, serve as collateral.

Dr. Stallings asked if the projects were listed in order of priority. President Albright explained how projects were inter-dependent. Parking structures were discussed and it was felt these were necessary because available land is unsuitable for conversion to parking lots, but also we should consider structures which convert easily into classroom facilities and office space should we come to tight times fuelwise which will change the parking picture.

Mr. Taulbee mentioned the question raised on bonding potential this year and next year. We were told bonds would not be issued until market conditions are favorable but the Board needs to establish its intent to issue bonds.

Mr. Parker asked how many students we would have in 4-5 years. President Albright projected 10,000 saying that possibly we could accommodate 11,000.

President Albright stated that Mr. DeMarcus has studied our current space facilities and that with some exceptions we are close to saturation. The President expressed a need for a way to put a premium on carpooling. Mr. Martin mentioned the Mobility organization in Cincinnati and suggested that Mr. Dressman contact them toward generating a program for carpooling.

B-8 - Recommendation: President Albright recommended that the list of projects be approved and that the President be authorized to develop a proposal for the sale of bonds to be submitted to the Board of Regents. Motion by Mr. Martin, second by Mr. Haas, with a 9-0 vote for both resolutions. Chairman Lucas added that the bond sale should specify what for and the conditions.

Projects, Priorities, and Sale of Bonds

A-3 - Mr. DeMarcus presented the Construction Report, stating that funding approved in the last ten days totalled $10,971,000. Dormitories were discussed and Mr. DeMarcus pointed out proposed location of the dorms on a campus chart. Reports fror Office of Campus Development

President Albright said a few words about the role of the Foundation in the matter of housing facilities and the work Dr. Tesseneer has also done on this. He stated that if we would go the private route, borrowing money on open market, the interest would run rentals up beyond students' reach; other option was the HUD grant. A Building Committee of the Foundation was formed under chairmanship of Chuck Jones. We will be exploring whether or not the HUD supported housing facilities can be moved to, leased, transferred, to the Foundation. Then at the expiration of the revenue bonds they would be held in the name of the Foundation. Also, the problems of the institution will increase ten-fold if we go very far in the development of a residential institution.

Dr. Tesseneer mentioned that Mr. Mann and Mr. Lucas represented the Board of Regents on this Foundation Building Committee.

Mr. DeMarcus projected arrival of the second sculpture next spring.

PRESIDENTIAL RECOMMENDATIONS:

B-1(a) The President recommended that the academic personnel changes as outlined be approved. Mr. Haas moved that the recommendations be approved as presented, second by Dr. Stallings, and passed by a 9-0 vote. Academic Personnel Actions

President called attention to the appointment of Dr. Michael Adams as Associate Provost for Graduate Studies; recognized resignation of Dr. W. Jack Grosse from deanship of Chase College of Law; appointment of Mr. Ovid Lewis as Interim Dean of Chase College of Law; tenure granted Dr. Mary Ann Rehnke; the appointment of Ms. Alice Forgy, resignation of Dr. Nina Schiller; and the reinstatement of Dr. Richard Ward.

Dr. Travis praised the Advising Center, staffed by 20 volunteer faculty members, stating that action in "drop-add" period diminished considerably since the Center came into being.

The President referenced a recommendation not listed: Dr. Ralph Pearson, Dean of Community Research and Services. Dr. Travis stated we were extremely fortunate to have him. His particular interests in urban studies are very applicable to the service we have in mind, matching faculty interests and community problems.

Dr. Albright recommended that the non-academic personnel changes as listed in B-1(b) be approved. The changes were approved on motion of Mr. Martin, second by Mr. Mann. Unanimous vote. Non-academic Personnel Actions

The President explained the circumstances of leave of absence granted Mr. Roger Buchanan. Counsel Brooking suggested that a formal resolution be made, resolving to honor him for exemplary service, and that it be presented to Mr. Buchanan when he returns. Mr. Brooking recommended that a motion be made authorizing preparation of such a resolution on behalf of the Board. Motion was made by Judge Poston and seconded by Dr. Wippermann. Passed unanmously. Counsel Brooking will prepare the resolution.

B-2 - The recommendation that the Center for Exceptional Children be placed under the administrative jurisdiction of the Dean of Experimental Programs was presented. Motion was made by Mr. Mann to approve this transfer; second by Mr. Parker; 9-0 vote. — Center for Exceptional Children

B-3 - President Albright presented for approval the recommendation that members of the Alumni Association pay a $5.00 fee ($10.00 for non-dues-paying alumni) for participation in the intramural sports program. Motion for approval was made by Mr. Mann; seconded by Mr. Dressman; passed 9-0 vote. — Alumni Intramural Fee

B-4 - Presentation was made recommending authorization of a Senior yearbook published by an outside vendor. Mr. Dressman explained that we have been unable to properly staff a yearbook to put out quality material. The only problem in the students' thinking was that the publishing company will dictate without any student input. The company interviewed said it would welcome student input. Dr. Wippermann made a motion for approval, with a second by Mr. Dressman. Passed by unanimous vote. — Senior Yearbook

B-5 - President Albright presented the budget revisions in the period July 1 through September 30, 1978, pointing out the $250,000 received from the Council on Higher Education for one-shot purchases of equipment. Motion for approval was made by Mr. Hamburg, and Mr. Parker seconded the motion; passed 9-0 vote. — Budget Revisions

B-6 - Dr. Albright recommended approval of a Dual Degree Science and Engineering program between Northern Kentucky University and the University of Kentucky whereby students would study three years here and earn a B.S. degree; then two years at U.K. in Engineering, with an engineering degree. The student would earn two degrees in five years whereas an Engineering degree alone generally requires four and one-half to five years. Dr. Stallings made the motion for approval, seconded by Dr. Wippermann. Passed unanimously. — Dual Degree Science & Engineering Program

Discussion followed revealing that the Agreement will be signed after Board approval by both institutions. The program becomes effective next Fall Semester.

B-7 - The recommendation was presented for Proposed Policy on Promotion and Tenure for Librarians. Dr. Travis explained the proposed alteration to promotion and tenure requirements which would be in keeping with librarians and evaluation in their own functions. The proposed policy had not passed the Faculty Senate or Professional Concerns Committee. She mentioned that slight revisions may be needed and the policy would then be brought to the Board again. Dr. Stallings moved that the recommendations be approved; Judge Poston seconded; voted passage 9-0. — Policy on Promotion & Tenure for Librarians

Dr. Albright presented a quotation printed in the Intercollegiate Press Bulletin taken directly from the "Missions" which the Board adopted 18 months ago, commending the Board for adopting a statement that has that kind of recognition.

Special Session

The President stated that very likely a special session will be needed sometime within the next month on the bond issue. It was suggested that the meeting place be changed from the Board Room to the University Center because the size of the group has outgrown the facility.

Public Transit Referendum

Mr. Henry Mann recommended that the Board of Regents go on record in support of the TANK referendum. Discussion was held relative to the political implications; however, the members agreed that the best interests of the institution outweighed those implications. Mr. Mann moved that the Board go on record with a resolution which follows. Seconded by Mr. Dressman, the vote was 7-2 with Mr. Hamburg and Mr. Parker opposed.

> WHEREAS, public transportation is essential to the realization of the educational and employment interests of the students, faculty, and staff of Northern Kentucky University; therefore let it be known that the Board of Regents favors the passage of the public transit referendum in the fall of 1978.

Chairman Lucas announced the date of the next regular quarterly meeting Wednesday, January 17, 1979.

The Chairman asked for a motion to go into Executive Session. Mr. Martin made the motion, seconded by Mr. Parker. Unanimous vote.

The Board members retired for Executive Session at 6:45 p.m.

At 7:05 the meeting reconvened by motion of Mr. Haas, seconded by Mr. Hamburg. Voted passage 9-0.

Chairman Lucas asked if there were any other business.

Mr. Mann made a motion that the Board of Regents direct University Counsel to take such legal action as is necessary to defend the University in its cases with the EEOC including, if necessary, litigation in the U. S. District Court. Motion was seconded by Mr. Parker. Vote 9-0.

There being no furthur business, the meeting was adjourned at 7:10 p.m. on motion by Mr. Parker and second by Mr. Haas.

E. J. Haas, Jr., Secretary

Elvera R. Rudd, Acting Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on October 18, 1978, and that such matters are still in force and effect.

E. J. Haas, Jr., Secretary

Dated this 25-78 day of October, 1978.

AGENDA
Board of Regents - Northern Kentucky University
Wednesday, January 24, 1979 - 4:30 p.m.
Ballroom - University Center

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES of previous meeting - October 18, 1978

A. PRESIDENTIAL REPORTS

1. Accreditation Report
2. Programs Report from Office, Research, Grants, and Contracts
3. Financial Report - through December 31, 1978 (available at meeting)
4. Reports from Office of Campus Development
5. Report on Athletics
   a. Constitutional By-Laws for Athletic Committee (Written report available - constitution and
   b. Housing possible approval of the by-laws of the Athletic Council)
6. Legislative Review
7. University Bank Accounts
8.

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel Actions
2. Revisions for the Staff Personnel and Policy Procedure Manual
3. Name change for Experimental Programs
4. Contract Major Program
6. Respiratory Therapy and Radiologic Technology Program
6. Summer Commencement
7. TIAA-CREF Resolution
8. Continuing Education Parking Fee
9. Student ID Card Replacement Cost
10. Donee Authorization - Division of Surplus Property
11. Budget Revisions
12. Enrollment in the College of Law
13. Librarians
14. Employee Compensation

C. OTHER BUSINESS
1. Approval for the minutes of the Resolution approved by mail

ADJOURNMENT

NOTE: Please note that the place of the meeting has been changed to the University Center Ballroom. The telephone number in the Ballroom is 292-5567.

BOARD OF REGENTS MEETING
Wednesday, January 24, 1979

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:40 p. m. on Wednesday, January 24, 1979 by Chairman Lucas. Invocation was given by Dr. Tesseneer.

The Chairman welcomed all those present and roll call was taken as follows:

Regents: Mr. Elmer Haas, Mr. John Hamburg, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Mr. Alex Parker, Judge James Poston, Dr. Edgar Wippermann, Dr. Frank Stallings (Faculty Regent) and Mr. Dan Dressman (Student Regent).

Others: President A. D. Albright, Counsel John Brooking, Counsel Jack Grosse, Dr. Gene Scholes, Dr. Janet Travis, Dr. Ralph Tesseneer, Mr. John DeMarcus, Dr. James Claypool, Mr. Robert Knauf, Mr. Clarence Tabor, Mrs. Dolores Thelen, Mr. James Kerr, Mr. Gregg Schulte, Mr. David Phillips, Mr. William Lamb, Ms. Perk Rudd, Ms. Connie Vickery of the Northerner, Ms. Karen Ware of the Campbell County News, and Ms. Cindy Schroeder of the Kentucky Enquirer.

Chairman Lucas commended all those responsible for setting up the Board meeting in the University Center Ballroom. He commented that it was very comfortable and attractive.

The minutes of the October 18, 1978 meeting were approved as printed by unanimous vote on motion by Mr. Haas and second by Mr. Dressman.

PRESIDENTIAL REPORTS:

A-1 - President Albright called attention to the accreditation report and states that this was the result of the self-study under the direction of Dr. Tesseneer. The President personally thanked Dr. Tesseneer for the first rate job. Dr. Albright commented that apparently Southern Association of Colleges and Universities has referred other universities to Northern's self-study as the University is being asked for copies of the study. — Accreditatior

A-2 - In presenting the Programs Report of Research, Grants, and Contracts, Dr. Albright stated that most of the programs came about through faculty participation/ Forty two faculty members participated this past semester as compared to twelve a year ago which indicates growing interest. — Research, Grants, Contracts

A-3 - President Albright called on Mr. Tabor to present the financial report through December 31, 1978. Mr. Tabor explained our investments, and several of the Regents commented on some of the fine interest rates. Upon questioning, Mr. Tabor explained the revenue section - that the original budget and revised budget figures represent a 12-month period and the actual revenue figures represent the six-month period. Dr. Albright complimented Mr. Tabor on the manner in which our money is being invested. Upon being asked if these reports could be mailed ahead of the meeting, Mr. Tabor explained that they probably could provided an earlier closing of the month can be worked out with the Computer Center. — Financial Report

A-4 - Dr. Albright called on Mr. DeMarcus to go over the campus development report. Mr. DeMarcus highlighted the written report received by the Regents earlier. — Campus Development

A-5 - President Albright explained that the Constitution and By-Laws for the Athletic Council are for the purpose of giving guidance to an athletic council which most universities have. He further commented that this council will be asked to do a study of the role of intercollegiate athletics in the University and will make a report and recommendation to the executive officer which will be brought to the Board of Regents. There are many areas of athletics to be explored such as football and other sports in which we do not now participate. Another aspect is our intramural program in which nearly 50% of the student body participates. Dr. Albright stated that he felt the student affairs area is doing an excellent job with intramural athletics. — Athletic Council

Mr. Dressman voiced concern that more students should be represented on the Athletic Council. Dr. Scholes reported that the Athletic Council will have more faculty representation than the present committee as required by NCAA rules and regulations for Division II universities. Traditionally, the Registrar has always served as Secretary of an Athletic Council because it is necessary for that person to certify athletes as well as being aware of all areas of intercollegiate athletics in the institution. Counsel Brooking suggested that Section 2-A of the By-Laws be amended to read: "The officers, other than the Secretary, shall be elected by the members of the Athletic Council at the regular April meeting of the Council."

President Albright recommended that the Constitution and By-Laws for the Athletic Council be approved with the above change in the By-Laws and subject to possible further amendment at the April meeting when it will again appear on the agenda. The recommendation was passed on motion by Mr. Martin, second by Mr. Hamburg. Vote - 8 aye and 1 abstention by Mr. Dressman. — Constitution and By-Laws for Athletic Council approved

A-6 - President Albright commented that there is not a great deal to report on the Legislative session as no firm cuts have been made so it is impossible to tell at this point if or how much the capital construction area will be affected. It seems probable that there will be some shaving but the University cannot ask for bonding authorization at this time. We have to wait to see what transpires. — Legislative Report

Earlier this month the Regents approved by mail a Resolution (copy attached to these minutes) in order that there could be an expression from this University to the Interim Joint Committee on Appropriations and Revenue, a sub-committee on higher education being chaired by Dr. Martin, former president of Eastern Kentucky University. Dr. Albright felt that the resolution had some impact and asked that the Regents ratify the mail vote taken on the Resolution so it will appear in the official minutes. The Resolution was ratified unanimously on motion by Mr. Mann and second by Mr. Haas. — Legislative Resolution approved

A-7 - Dr. Albright explained that this report was to give the Regents a slight picture of the University's bank accounts and that in April the Regents will be given a report on where the bank accounts are located and the approximate balances. He pointed out that the NKU Foundation account is a separate entity. — University Bank Accounts

PRESIDENTIAL RECOMMENDATIONS:

B-1 - Upon recommendation of the President, the following new faculty were unanimously approved on motion by Dr. Stallings and second by Mr. Dressman:

New Faculty Approved

William F. Lewis as Assistant Professor of Marketing
Jack K. Wann as Assistant Professor of Theatre
K. Anthony Edwards as Assistant Professor of Human Services

Dr. Albright presented each Regent a copy of the guidelines for the Search Committee for law school dean (copy attached). These guidelines were followed as prescribed with time schedule variance and the Search Committee under the chairmanship of Dr. Travis presented the unanimous choice as Ovid C. Lewis for Dean of Chase College of Law. President Albright recommended this choice to the Regents. Mr. Martin made the motion that Ovid C. Lewis be appointed Dean of the Chase College of Law as recommended by the President and the Search Committee. The motion, seconded by Dr. Wippermann, was passed with 8 aye votes and 1 nay vote by Judge Poston.

Dean of Law School approved

Mr. Dressman moved that the following changes in the law school staff be approved upon the recommendation of President Albright:

Kamilla Mazanec as Acting Associate Dean of the College of Law
Glenn Wells as Assistant Dean of the College of Law.

New Law School Staff Approved

The motion was seconded by Dr. Stallings and was passed unanimously.

B-2 - The President presented revisions for the Personnel Policy and Procedure Manual for non-academic staff and recommended they be approved. Upon Mr. Mann's question of whether these revisions were increasing benefits, Dr. Albright said it was not increasing costs or benefits but was merely bringing the manual up-to-date. Mr. Mann made the motion to approve the revisions as presented. The motion, seconded by Dr. Wippermann, was passed unanimously.

Personnel Policy and Procedure Manual revisions

B-3 - President Albright recommended that the academic area titled Experimental Programs be retitled Experimental and Interdisciplinary Programs. The motion to accept the recommendation was made by Mr. Haas and was seconded by Mr. Parker. The motion passed unanimously.

Experimental Programs Re-named

B-4 - The President called upon Dr. Travis to present the recommendation on Contract Major. Dr. Travis explained that Contract Majors will fall in the area of Experimental Programs. The program will give flexibility to students who have a particular interest and will be able to design a program to fit their needs. She stressed no student can undertake a contract major until it has been approved by the curriculum committee and Faculty Senate. Upon questioning, she further stated that new subjects will not be added, there will be no effect on the budget, and the number interested would not be too great.

Contract Major Approved

Mr. Haas moved that the recommendation be approved as stated (copy attached). The motion, seconded by Dr. Stallings, was passed unanimously.

B-5 - Dr. Albright recommended that proposals for academic programs in Respiratory Therapy and Radiologic Technology be approved. Dr. Wippermann questioned whether the Respiratory Therapy program was to be carried on in Northern Kentucky hospitals. Dr. Travis said that it was also planned for a Cincinnati hospital. After discussion, it was decided that the first part of the sentence in paragraph three of Section C of the Respiratory Therapy proposal be omitted and that Dr. Wippermann work with Dr. Travis in the development of the program with every effort being made to work with Northern Kentucky facilities.

Dr. Wippermann moved that the programs be approved as presented with the deletion mentioned above and that Northern Kentucky facilities be given preference, if feasible. Mr. Mann seconded the motion with the provision that Dr. Wippermann be included in discussions of the Respiratory Therapy program. The motion passed by unanimous vote.

Respiratory Therapy and Radiologic Technology programs approved

B-6 - President Albright recommended that summer commencement be discontinued until further notice because of poor attendance. Mr. Dressman asked for a possible study of holding a ceremony in December including the summer graduates as well as some who complete their requirements in December. Motion was made by Mr. Martin, and seconded by Mr. Parker, that summer commencement be discontinued until further notice. The motion was passed unanimously.

Summer Commencement cancelled

B-7 - In presenting the TIAA Resolution, the President stated some changes such as retirement age of 70 needed to be made in order to comply with ARISA laws. Mr. Haas moved that the Regents approve the revised TIAA-CREF Retirement Resolution subject to counsel's approval. The motion, seconded by Mr. Martin, was passed unanimously.

TIAA Resolution Approved

B-8 - The President recommended that the Board of Regents adopt a special vehicle registration parking fee of $2,50 per vehicle per semester for Continuing Education students explaining that these students are only on campus once a week for perhaps six to ten weeks. Mr. Martin mentioned Van Pooling and/or Ridership being worked out and the University would be hearing more about participation whereby preferential parking and/or reduced rates could be given to those participating in the program. Motion to accept the recommendation for the $2.50 parking fee for Continuing Education students was made by Mr. Parker, was seconded by Mr. Haas, and was passed unanimously.

Parking Fee for Continuing Education students approved

B-9 - Mr. Mann moved that the recommendation for a replacement cost of $3.00 be charged to replace any student identification card be approved as presented by the President. The motion, seconded by Mr. Parker, was unanimously passed.

Replacement Cost for ID's

B-10 - A motion was made by Dr. Wippermann that the recommendation for Donee Authorization for Division of Surplus Property (copy attached) be approved as presented by the President. The motion, seconded by Mr. Hamburg, was passed unanimously.

Surplus Property Authorization

Budget Revisions

B-11 - President Albright presented a recommendation that revisions to the revenue and expenditure accounts as outlined (copy attached) be approved. Motion for approval was made by Mr. Hamburg, was seconded by Mr. Haas, and was passed unanimously.

Mr. Mann and Mr. Haas retired from the meeting because of other commitments.

B-12 - Dr. Albright recommended that the enrollment in the Chase College of Law be limited to a total within 450-475 students by the 1982-83 year; in order to attain this objective, admissions to the entering class in 1979-80 will be set at 150.

Limited Enrollment at Chase

Dr. Albright explained the reason for the limitation is that we need to bring our enrollment more in line with the other two law schools in the state, and in order to have a quality education in the law school we need to bring the law school to a smaller teacher/student ratio to comply with standards set forth by the American Bar Association. This year our ratio is 25-26/1 and it should be lower. Northern law school enrollment has always been greater than the other two state law schools. In the past some limited enrollment was set by either raising or lowering standards without the Regents' approval. This recommendation is asking the Regents to set a policy on limitations rather doing it in-house without the Regents' approval.

Judge Poston and Mr. Martin stated opposition to limiting enrollment in one area of the University when limitations are not set in other areas. Much discussion was held questioning the proposal's effect on the University. Judge Poston felt that the University was in the business of educating people and he disliked any kind of limitations in any kind of professional school.

Mr. Hamburg moved that the recommendation as presented by the President be approved and Mr. Parker seconded the motion.

Before voting, Judge Poston indicated he would vote against the proposal as he felt that he could not feel justified in voting for limitations in one particular area, that he would hate to see someone eliminated just because that person was number 151. Mr. Martin said he would oppose the proposal because the limitation could be handled through standards - that if a student is qualified, the student should be admitted. Mr. Dressman said he would abstain because he was not familiar with all the implications of such a cutback.

Vote: 4 aye, 2 nay, 1 abstention. Motion passed.

Promotion and Tenure for Librarians

B-13 - Dr. Albright stated that the Promotion and Tenure for Librarians policy was tentatively approved at the April meeting as it had not, at that time, been approved by the Faculty Senate. The proposal did pass the Faculty Senate and needs final approval by the Regents. Dr. Stallings moved that the recommendation be approved in its final draft and was seconded by Mr. Dressman. In voting on the recommendation, Mr. Parker stated that he is basically opposed to tenure. Vote - 6 aye, 1 nay.

B-14 - President Albright presented a recommendation for employee compensation policy for FY 1980 (copy attached to minutes). He explained that the recommended increases fall within the guidelines of the Council on Wage and Price Stability which is 7%. The President further said that if Northern's operating budget is cut in any way by this legislative session, it will seriously effect salaries which constitute 70 to 75% of our expenditures. He assured the Regents that should this happen, he will come to them again with another proposal. The reason for this proposal now is in order for the administration to begin the internal budget process.

Employee Compensation FY 80

Judge Poston made the motion to accept the recommendation as presented. The motion, seconded by Mr. Dressman, was passed with 6 aye votes and one required abstention by the Faculty Regent, Dr. Stallings.

OTHER BUSINESS:

C-1 - Taken care of in A-6 above.

C-2 - Dr. Albright asked Counsel Brooking to present a Resolution as follows:

"The Board of Regents of Northern Kentucky University do hereby unanimously honor our friend and departed associate, Roger Buchanan, for his extraordinary achievements on behalf of our University for 1976-1978 while acting as our chief financial officer. To you, Roger, we say 'thank you' for a job well done and we all wish you the best of success in the years to come."

Resolution for R. Buchanan

The Resolution was passed unanimously on motion by Mr. Parker and second by Mr. Martin.

C-3 - Dr. Albright asked the Regents to ratify an amendment to the TANK Ordinance as worked out by Counsel Grosse and the fiscal courts of Campbell and Kenton County (copy attached to these minutes). Motion to ratify the admendment as presented was made by Judge Poston. The motion, seconded by Mr. Parker, was passed unanimously.

Admendment to TANK Ordinance.

The meeting was adjourned at 7:35 p.m. on motion by Mr. Martin and second by Judge Poston.

E. J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on January 24, 1979 and that such matters are still in force and effect.

Dated this 2nd day of February, 1979

E.J. Haas, Jr., Secretary

AGENDA
BOARD OF REGENTS
NORTHERN KENTUCKY UNIVERSITY
Regular Quarterly Meeting
Wednesday, July 18, 1979 - 5 p.m.
Ballroom - University Center

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

INSTALLATION OF NEWLY ELECTED FACULTY REGENT

ELECTION OF OFFICERS for 1979-80

PRESIDENTIAL REPORTS

- A-1 Programs Report of Research, Grants, and Contracts
- A-2 Audit of Financial Aid Funds for 1977-78
- A-3 Bonding Capacity
- A-4

PRESIDENTIAL RECOMMENDATIONS

- B-1 Consolidated Educational Buildings Revenue Bonds, Series C
- B-2 Academic Personnel Changes
  - a. New Faculty
  - b. Other appointments, leaves and resignations
  - c. Visiting Professors
- B-3 Non-Academic Personnel Changes
- B-4 New Academic Programs
- B-5 New Academic Administrative Unit
- B-6 Policies and Procedures Manual for Department of Public Safety
- B-7 Scholarship Policy
- B-8 New Title for Director of Public Relations
- B-9 Amendment to Foundation Lease
- B-10 Visual Identity Study
- B-11
- B-12

OTHER BUSINESS

- C-1
- C-2

Next regularly scheduled quarterly meeting - October 17, 1979

ADJOURNMENT

MINUTES OF REGENTS' MEETING - July 18, 1979

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order by Chairman Kenneth Lucas at 5:15 p.m. on Wednesday, July 18, 1979. The invocation was given by Dr. Tesseneer. After welcoming guests, roll call was taken.

Regents present: Mr. Elmer Haas, Mr. John Hamburg, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Judge James Poston, Dr. Edgar Wippermann, Dr. Jeffrey Williams, Faculty Regent, and Student Regent Stuart Suggs.

excused: Mr. Alex Parker

Others present: President A. D. Albright, Counsel John Brooking, Counsel Jack Grosse, Dr. Gene Scholes, Dr. Ralph Tesseneer, Mr. John DeMarcus, Mr. Robert Knauf, Dr. James Claypool, Mr. Clarence Tabor, Dr. Charles Gray, Mr. Michael Baker, Mr. Gregg Schulte, Mr. James Kerr, Mr. Gary Eith, Mr. John Connor, Mr. Dave Phillips, Ms. Dolores Thelen, Mr. Don Bradshaw and Mr. Ralph Nall of First Kentucky Securities Corporation (bond agents), Karen Ware of Campbell County News, Ms. Cindy Schroeder of the Kentucky Enquirer, Ms. Bev Cates and Mr. C. Johnson of the Northerner.

Chairman Lucas installed the newly elected Faculty Regent, Dr. Jeffrey Williams.

The chairman called for nominations for Chairman and Secretary-Treasurer of the Board of Regents for 1979-80. Mr. Martin moved that Mr. Kenneth Lucas be nominated as Chairman and Mr. Elmer Haas be nominated as Secretary-Treasurer. After nominations were closed on motion by Mr. Martin and second by Judge Poston, vote was taken. Mr. Lucas was elected Chairman and Mr. Haas was elected Secretary-Treasurer by 7 aye votes with Mr. Lucas and Mr. Haas abstaining.

Mr. Haas moved that Dolores Thelen be appointed Assistant Secretary for the 1979-80 fiscal year. The motion, seconded by Judge Poston, was passed unanimously.

Minutes of the regular quarterly meeting, April 18, 1979 and the special meeting, May 12, 1979 were unanimously approved on motion by Mr. Haas and second by Dr. Wippermann.

PRESIDENTIAL REPORTS:

A-1 The report from the office of Research, Grants, and Contracts was presented by Dr. Albright with the comment that we had done a little better than expected. The President stated that a Summary Report for the year will be presented at the next meeting.

A-2 The President called upon Mr. Tabor to comment on the audit of financial aid funds and the letter just received from the Department of Health, Education and Welfare. Mr. Tabor reported that the audits were discussed at great length with the external auditors, Mr. Baker and himself. Many of the items listed in the HEW letter have already been corrected. An answer to HEW will be forthcoming very shortly. Upon Dr. Albright's request for authorization to respond to HEW, Judge Poston moved that the administration be authorized to respond to the letter from the Department of Health, Education, and Welfare. The motion, seconded by Mr. Martin, was passed unanimously.

Audit of Financial Aid Funds

Response to HEW

The President reported that the audit of the 1978-79 University funds will be ready prior to the October meeting.

A-3 President Albright stated that at the May 12, 1979 special meeting, a resolution was passed authorizing the sale of Series C revenue bonds. This resolution was sent to the Department of Finance so that bonding agents could be appointed. As a result, First Kentucky Securities Corporation was appointed bonding agent. Representatives of the corporation were asked to prepare a document outlining the bonding capacity of the University. President Albright complimented Mr. Bradshaw and Mr. Nall of First Kentucky Securities Corporation for their rapid and thorough job in order to bring us to this point. He called upon Mr. Nall for further discussion of the bonding capacity report. Mr. Nall gave some background on the Series A and B issues of bonds and how the amount of $19,460,000 bonding capacity was computed. Mr. Mann inquired if inflation factors were built into the schedule and Mr. Nall reported that it had been taken into consideration. Bonding Capacity

PRESIDENTIAL RECOMMENDATIONS:

B-1 Mr. Nall was called upon to explain the resolution. He explained that the resolution is very similar to the resolutions of Series A and B. Since projections had been made that some projects included in the resolution are two or three years away, it would be more feasible to issue only part of the bonds at this time, the reason being that the U.S. Treasury Department requires that the proceeds from the sale of bonds be spent within three years of issuance of such bonds. Since some of the projects are not scheduled to be completed within that time frame, it would be wiser to issue part of the bonds now and the balance at some future date when the projects are better established. The monies from the bonds will be invested by the trustee of the bond issue until such time as needed for payment of the projects.

Counsel Brooking noted that the parking structure approved in the original resolution was deleted from the bond resolution because the bonding capacity was not great enough to cover all projects. Mr. Brooking asked if our capacity could be stretched so the parking structure could be included. Mr. Bradshaw noted that the only way would be if the Council on Higher Education approved increased tuition in the future, and if two issues of the bonds are made, the capacity might be increased for the second issue.

Mr. Haas inquired if by passing the resolution the Regents were giving authority for the completion of the projects listed and if the projects are listed in the order they are to be completed. Mr. Mann expressed much the same views. Dr. Albright assured the Board members that they will have final "say" on projects as they have in the past. There are some projects more important time-wise than others; however, the Board will be kept fully informed and will have final approval. The President explained that the resolution being presented permits the University to proceed to obtain approval of the State Properties Commission before bonds can be issued. Dr. Williams asked as to the percentage of our budget going to debt service. It was answered that under $2 million or about 10% of our budgeted revenues would be used for debt service. Dr. Albright commented that the Council on Higher Education is going to take a look at all building construction projects at state higher education institutions and perhaps the Council will put a ceiling on such projects.

B-1 (continued)

Thereupon, the President. Dr. A. D. Albright, stated that there was before the Board for consideration the financing of a Project consisting of a Power Plant Expansion estimated to cost $2,672,500, a Health and Physical Education Facilities estimated to cost $8,500,000, the Fine Arts Building Completion estimated to cost $3,350,000, the Materials Storage Facility estimated to cost $900,000, the Classroom Building Reconstruction estimated to cost $1,500,000, the Science Building Reconstruction estimated to cost $1,500,000, and a Miscellaneous Systems Development estimated to cost $467,500, totaling $18,890,000 and that if Bonds are to be issued to finance such Project and to pay the architectural, engineering, financing, and other necessary costs in connection therewith, including a reasonable amount for unforeseen contingencies, it would be necessary to issue $19,460,000 of Northern Kentucky University Consolidated Educational Buildings Revenue Bonds, Series C. After such matter had been fully discussed and considered, Board Member Elmer Haas moved the immediate adoption of the proposed "Series C Bond Resolution" attached hereto, which motion was seconded by Board Member James Poston, said proposed Resolution being entitled as follows:

A RESOLUTION AUTHORIZING THE ISSUANCE OF $19,460,000 CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES C. OF THE BOARD OF REGENTS OF NORTHERN KENTUCKY UNIVERSITY.

Full discussion followed concerning said Resolution and the Chairman thereupon put the question and upon the call of the roll, the vote was recorded as follows:

Ayes for the Motion - Mr. Lucas, Mr. Haas, Judge Poston, Mr. Hamburg, Mr. Mann, Mr. Martin, Dr. Wippermann, Dr. Williams, Mr. Suggs.

Nayes against the Motion - None

Thereupon, the Chairman declared that said motion had carried and that such Resolution had been duly adopted and was in full force and effect.

B-2 a,b,c. The President presented academic changes which consisted of new faculty, leaves, terminations and visiting professors and recommended acceptance. Before the vote, Dr. Scholes asked that the following be added to leaves: "That Dr. Christine Stiegler, Business Administration Programs, be granted an unpaid leave of absence for the 1979-80 academic year for the purpose of serving as Director of a Bureau of Vocational Education Grant entitled "Strategies for Sex Equity.'" The President called attention to the Interim Arrangement for Academic Affairs which would be handled by an Academic Coordinating Council with Dr. Scholes as chairman. The search for a new Provost will begin in the near future. Academic Personnel

Mr. Mann moved, seconded by Dr. Wippermann, that the Academic Personnel Changes be approved as presented. The motion received unanimous approval.

B-3 The Non-Academic Personnel Changes were presented for approval. Dr. Albright pointed out that there are approximately 275 academic personnel and 450 support personnel which is a small percentage ratio compared to other institutions. The changes for non-academic personnel were approved unanimously on motion by Judge Poston and second by Mr. Mann. Non-Academic Personnel

B-4 Three new programs were presented by the President who recommended their acceptance. The motion, seconded by Dr. Williams, was made by Mr. Martin and was passed unanimously. — New Academic Programs

B-5 The President recommended that a new academic administrative unit, to be entitled the Industrial and Technical Education program, be established and explained the justification for such a new unit. Dr. Wippermann made the motion that the recommendation be accepted. The motion, seconded by Mr. Suggs, was passed unanimously. — New Academic Administrative Unit

B-6 The Policies and Procedures Manual for security personnel of the Department of Public Safety was presented for final approval as a result of the Board action authorizing the administration to develop such a manual during the January 7, 1977 meeting. Dr. Williams commented that the manual had gone through a lot of reviews in a number of areas and felt there were many informed persons as a result of the reviews. Dr. Williams moved that the manual be accepted as presented. The motion was seconded by Mr. Hamburg and was passed unanimously. — Policies and Procedures Manual for Public Safety

B-7 After presenting the Scholarship Policy, the President noted that one of the Regents, Elmer Haas, had served on the committee preparing the policies and asked for his comments. Mr. Haas reported that during the process he became fully aware of the mechanics involved in getting a report of this nature together. Basically, it is the pulling together of all scholarship projects offered by the University. Mr. Haas moved for the adoption and approval of the Scholarship Policy and the motion was seconded by Mr. Suggs. The motion was passed unanimously. — Scholarship Policy

President Albright stated that he would like to see more of the Regents involved in such undertakings in the future.

B-8 The President recommended that a new title be adopted for the director of public relations, to be designated as Executive Assistant for University Relations. Mr. Martin moved that the recommendation be adopted as presented. The motion, seconded by Mr. Haas, was passed by unanimous vote. — Executive Assistant for University Relations

B-9 The President recommended that the executive officer be authorized to amend the existing lease with the Northern Kentucky University Foundation to include the coin-operated amusement space at the University Center. The recommendation was approved unanimously on motion by Mr. Martin and second by Mr. Haas. — Foundation Lease Amended

B-10 Dr. Albright, in recommending the implementation of a visual identity study, explained that there are a number of designs, publications, and other communications being published throughout areas of the University.

B-10 (continued)

Therefore, there is a definite need to have some design whereby any persons looking at a piece of literature can immediately determine that it is a communication from Northern Kentucky University. Mr. Hamburg made the motion that the Board authorize the implementation of a visual identity study. The motion was seconded by Dr. Wippermann and was passed unanimously. — Visual Identity Study

Mr. Mann inquired again about highway signs still having Northern Kentucky State College on them. The President said that the State Department of Transportation has assured us that they will replace all such signs in the near future. — Highway Signs

B-11 President Albright recommended that authority be given to return uncollectable National Defense/Direct Student Loans to the Department of Health, Education, and Welfare explaining that the loans the University wishes to return are those loans that date back a number of year after concerted effort and every method was used to collect the loans. Approval was given unanimously on motion by Mr. Hamburg and second by Judge Poston. — Uncollectable NDSL Loans to be returned

The President made a brief report on the Council on Higher Education meeting held this date highlighting the fact that Northern was given approval for a baccalaureate degree in Nursing.

Since the Council on Higher Education meetings are usually the same day as Regents' meeting, the President asked that the next quarterly meeting be held on October 24, 1979. This date was acceptable to all.

The meeting was adjourned at 7:20 p.m. on motion by Mr. Martin and second by Mr. Haas.

E.J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on July 18, 1979 and that such matters are still in force and effect.

E.J. Haas, Jr., Secretary

Dated this 26th day of July, 1979.

AGENDA
BOARD OF REGENTS MEETING
October 10, 1979 - 4 p. m.

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES OF PREVIOUS MEETING - July 18, 1979

PRESIDENTIAL REPORTS

1. Office of Research, Grants, and Contracts
2. Summer School Report
3. Fall Enrollment Report
4. Uses of the Reception Center
5. Business Management Report
6. Campus Development
7. Report on 1978-79 Budget
8. Audit of 1978-79 Financial Records
9.
10.

PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel Changes
    a. General Academic Changes
    b. New Faculty - Basic Disciplinary Programs
    c. New Faculty - Human Development and Service Programs
    d. New Faculty - Chase College of Law
2. New Titles for Academic Programs
3. Agreement of Articulation of Educational Programs
4. Authority and Responsibility for Principal Administrative Officers
5. Policy for Faculty Members on Committees
6. Student Government Constitutional Changes
7. Cancellation of certain Class Fees
8. Student Receivables
9. Change of Title for Business Management
10. Revision of 1979-80 Budget
11. Master Plan
12. Biennial Budget
    a. Operating Fund
    b. Capital Construction Fund
13.
14.

OTHER BUSINESS

1.
2.

NEXT MEETING - Scheduled for January 16, 1980

ADJOURNMENT

MINUTES OF REGENTS' MEETING - October 10, 1979

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order by Chairman Kenneth Lucas at 4:10 p.m. on Wednesday, October 10, 1979. The invocation was given by Dr. Charles Gray. After welcoming guests, roll call was taken.

Regents present: Mr. Elmer Haas, Mr. John Hamburg, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Judge James Poston, Faculty Regent Jeffrey Williams, and Student Regent Stuart Suggs.

excused: Mr. Alex Parker and Dr. Edgar Wippermann.

Others present: President A. D. Albright, Counsel John Brooking, Counsel Jack Grosse, Dr. Gene Scholes, Mr. John DeMarcus, Mr. Robert Knauf, Mr. Clarence Tabor, Mr. Dennis Taulbee, Mr. Michael Baker, Mr. Dan Alfred, Ms. Linda Alford, Mr. Ron Ellis, Ms. Mary Schuh, Dr. Johnathan Bushee, Ms. Ann Caudill, Mr. James Kerr, Mr. David Phillips, Mr. Gregg Schulte, Ms. Perk Rudd, Ms. Dolores Thelen, Mr. Richard Rankin and Mr. Charles Lee of Rankin and Rankin, Mr. Greg Steffen, Representative-at-large of Student Government; Ms. Lisa Graybell of Cincinnati Enquirer, Ms. Terah Glover of Campbell County News, and Ms. Cindy Schroeder of Kentucky Enquirer.

Minutes of the regular quarterly meeting, July 18, 1979 were approved with 5 aye votes on motion by Mr. Haas and second by Judge Poston.

PRESIDENTIAL REPORTS:

A-1 President Albright reported that over a million dollars in Grants and Contracts were received the last fiscal year. An annual report as well as a report for the first quarter of this fiscal year were presented. Dr. Albright expressed appreciation for a good job stating that more of the faculty participated in the development of grants this year than ever. — Grants and Contracts

A-2 The President pointed out that the summer school report indicated a substantial increase in course offerings and also a substantial increase in revenue. The greatest increase was in the eight-week sessions and considerable increase was shown in the intersession. Plans for further expansion of next summer's program are now being made. Dr. Mary Ann Rehnke was complimented by the President for an excellent job in the development of the summer school program. — Summer School

A-3 A comprehensive report was presented on fall enrollment by Dr. Albright. The reports shows about a 10-1/2% increase on head count and about 7-1/2% in full-time-equivalent students. Some increase is expected until 1985. Chairman Lucas noted that the report shows that the full-time/part-time student ratio is 50/50. — Fall Enrollment

A-4 Dr. Albright explained that the report on the uses of the Pompilio house was simply explaining the intention of future use. The house will require extensive renovation and at some future date, it will be used as an alumni house as well as a reception center. — Reception Center

A-5 The President called upon Mr. Tabor for further comments on the Business Management area after complimenting the progress made in this area. Mr. Tabor highlighted some of the areas and praised the directors for their continued hard work to bring about these changes. — Business Management

A-5A This report attempts to bring the Regents up-to-date on our capital construction program, according to Dr. Albright. The sub-committee on capital construction of the Council on Higher Education deleted all projects which do not have a known source of funding. The Series C bond issue was approved after the deletion of the Fine Arts expansion which will make the total of the bond issue at $16,000,000. The full Council will consider this request on October 17 and the indication is that they will approve the sub-committee's report. Capital Construction

A-6 Mr. DeMarcus went over the progress reports on programming, design and construction. He further expressed appreciation to the Board of Regents for their participation in the ground breaking ceremony of the Administrative Center. Campus Development

Mr. Martin arrived and votes will now reflect six.

A-7 The President explained that this report was designed to bring the Regents up-to-date on the past fiscal year budget and the final disposition of special allocations. 1978-79 Budget

A-8 In presenting the Financial Audit for 1978-79, Dr. Albright complimented the firm of Rankin and Rankin for a fine job and expressed the gratitude of the institution to this firm. Mr. Richard Rankin spoke briefly on the audit stating the progress made in the business areas, especially the accounting area. He further reported that an in-depth test was made of the Bursar's office and that this was the first time in his career that such a test proved to find no errors whatsoever. Mr. Rankin thanked everyone for their cooperation and stated that credit for the good audit goes to Mr. Tabor, Mr. Baker, Dr. Gray and especially Mr. Graziani of the Bursar's office. 1978-79 Financial Audit

The quarterly financial for July through September, 1979 will be mailed at a later date.

A-9 Dr. Albright reported that he had material from the Association of Governing Boards and invited the Regents to look at the materials available. The possibility of joining this group will be brought up at a later meeting. Assoc. of Governing Boards

PRESIDENTIAL RECOMMENDATIONS:

B-1 (a) (b) (c) The academic personnel changes were presented by the President who pointed out the fact that Faculty Regent Williams was selected by the Kentucky Humanities Council to serve as travelling professor of humanities. Dr. Williams responded by saying that it was an honor to be selected in this capacity and hoped it would help the University as a result of being a part of the program. Dr. Scholes outlined some of the changes and explained that there would be further changes made in the Educational Services area. Mr. Martin made the motion that the academic personnel changes as outlined be approved. The motion, seconded by Judge Poston, was passed with 6 aye votes. Academic Personnel

B-2 President Albright, in presenting this recommendation, noted that when the new academic reorganization was presented he had mentioned that changes would probably have to be made from time to time. This recommendation is really relabelling the units in the academic areas of the institution and is not a change in basic reorganization. Mr. Hamburg moved that the recommendation for retitling program cluster be approved as presented. (The listing is attached to the minutes.) Mr. Haas seconded the motion, and the motion was passed with 6 aye votes.

New Titles for Academic Units

B-3 Dr. Albright recommended that the "Memorandum of Agreement" attached to the recommendation page articulating selected instructional programs of the Northern Kentucky Vocational Region with selected Northern Kentucky University academic programs be approved. Dr. Scholes explained the reasoning behind such a program noting that this will be a model program and that the University should receive great visibility throughout the state. The motion to adopt the recommendation was made by Mr. Haas and seconded by Mr. Suggs. The motion was passed with 6 aye votes.

Agreement with N.K. Vocational Region

B-4 The President presented a recommendation outlining authority and responsibilities in common for principal administrative officers explaining that there are policies and procedures for faculty as well as a code for students; however there has been none for administrators. He further stated that the recommendation ends at point seven (7). Following the recommendation is an illustration. Motion to adopt the recommendation as outlined was made by Mr. Hamburg, was seconded by Judge Poston, and was passed with 6 aye votes.

Policy in common for principal administrative officers

B-4A President Albright explained that this recommendation relates to B-4 but goes a step further. Because of several administrative changes and reassignments, the need exists to define responsibilities. Resolved that:

a. the President be directed to develop a written set of administrative policies, procedures, and regulations for the University including position descriptions for the principal administrative officers.
b. the Board of Regents hereby directs the President to negotiate and conclude new employment agreements with all central administrative officers of the University, covering each one's status as an administrative officer, effective November 1, 1979 and all prior written employment agreements for administrative officers shall be terminated as of November 1, 1979.

Policies and Contracts for Administrative Officers

B-5 The President recommended that the University adhere to a policy of faculty representation and participation in official bodies of the University concerned with the selection and evaluation of administrative officers. He explained that it is a policy essentially being followed at present and approval of the Board of Regents would make it a part of the policies and procedures for faculty. Faculty Regent Williams asked for clarification of "administrative personnel" and "appropriate representation." Administrative personnel would include principally academic officers, chairpersons, deans, provost, and presidential search committees. The number of representatives would depend upon

Faculty Representation on various committees

(continued)

B-5 (cont.) the type of search; i.e. academic area search would surely contain more faculty than a search for a business manager. Dr. Williams, after commenting that the faculty would be pleased to be included in such committees, moved that the recommendation be approved. The motion, seconded by Judge Poston, was passed with 6 aye votes.

B-5A A recommendation was presented by the President regarding withholding of final paycheck of a faculty member leaving the employ of the University if certain conditions are not met explaining that the recommendation is meant to cover a few and occasional instances. Dr. Albright stated that he felt the students are entitled to grades and to receive the grades as quickly as possible. Counsel Grosse said this recommendation recognizes a performance condition in the contract and would serve to put faculty members on notice of what to expect. The recommendation was approved on motion by Mr. Martin, second by Judge Poston, with 6 aye votes.

Faculty Final Paycheck

B-5B Dr. Albright explained that the Student Code outlines the presidential powers to reprimand, suspend, or expel students for disobedience to University rules and regulations but that guidelines and regulations need to be set up for hearings, as outlined in the recommendation. Upon question by Mr. Haas, it was determined that Student Government had reviewed the recommendation. Mr. Haas moved for the adoption of the recommendation. The motion was seconded by Mr. Hamburg and was passed with 6 aye votes.

Student Hearings

B-5C The President asked that this be deleted until a future meeting.

B-6 The amendments to the Student Government Constitution were explained by Student Regent Suggs who consequently moved for the adoption. The motion, seconded by Mr. Martin, was passed with 6 aye votes.

Amendments Student Govt. Constitution

B-7 Dr. Albright presented a recommendation that several course fees be deleted. He explained that the fees are no longer necessary and that when he presented the long list of fees to the Regents last year, he promised that any unnecessary ones would be eliminated. Mr. Haas moved for the adoption of the resolution. The motion, seconded by Mr. Suggs, was passed with 6 aye votes.

Deletion of Course Fees

B-8 Dr. Albright recommended that the board give authorization to the Business Manager to write off student receivables as bad debts in the amount of $22,336.17. Mr. Tabor stated that all attempts possible were made to collect these debts and the conclusion was reached that they are in fact uncollectable. Charles Lee of Rankin and Rankin explained that the auditors had looked at the situation and felt it was appropriate that these very old debts be written off as facts indicated the students involved dropped out of school at some point and most had never graduated. Mr. Haas moved for the adoption of the resolution. Mr. Hamburg seconded the motion and it was passed with 6 aye votes.

Write-off of Student Receivables

B-9 President Albright recommended that the Board of Regents approve the name change for the Business Management Office to Business Affairs explaining that it is often confused with the academic Business Department. The motion approving the recommendation was made by Mr. Martin, the second by Mr. Suggs, and adopted with 6 aye votes. Business Affairs

Mr. Mann was counted present at this point and 7 votes will be reflected.

B-10 After explanation, the President presented the following recommendation: That the FY 1980 operating budget authorization be increased to reflect an additional $200,000 in state general funds and $547,765 for restricted grants received after the original budget was approved. Further, that the University fund balance of $2,063,090 be allocated and included in the University budget. Provided, however, that the President shall report all expenditures from fund balances at the following Board meeting. Mr. Hamburg moved for the adoption of the resolution. Judge Poston seconded the motion and it was passed with 7 aye votes. 1979-80 Budget

B-10A Dr. Albright called upon Mr. Ron Ellis of the University Relations area to explain the University Identity Program. Mr. Ellis explained the necessity of such a program after displaying the various designs of pamphlets in the past. The identity program is designed to be consistent in all University publications with the consequential benefits being economy, efficiency and recognition. Dr. Williams asked if such a program would create a need for additional staff and it was stated that this was not the case. Mr. Haas moved that the University Identity Program be accepted and approved for immediate implementation as outlined. The motion, seconded by Mr. Suggs, was passed with 7 aye votes. Identity Program

B-11 Mr. DeMarcus presented the up-dated Master Plan . The original master plan was developed 9years ago and has been followed pretty closely. The purposes of the up-dated plan are to describe the developmental goals, policies and decisions adopted by the University, to guide its architectural course over the next 10 years, and to establish a phased timetable for acting on decisions. Upon Mr. Hamburg's question of amount of acreage to be utilized, the President estimated that well over a hundred acres will not be utilized by construction but used as a green belt. Upon being asked about solar energy, Mr. DeMarcus noted that while solar energy has not been excluded in the planning, this part of the nation is not as conducive to the use of solar energy as other parts of the country. Dr. Albright complimented Mr. DeMarcus and his staff, Gary Eith and Mary Schuh, on their fine work in presenting the plan in an unusual and informative manner. Master Plan

Mr. Mann moved the adoption of the Master Plan. The motion, seconded by Mr. Haas, was passed with 7 aye votes.

B-12 (a) The Biennial Operating Budget for 1980-82 was presented for approval prior to being acted upon by the Council on Higher Education on October 17. Dr. Albright explained that an increase of $5 million was requested in the first year of the biennium and $7.5 million in the second. Mr. Taulbee noted that the guidelines of the Council were followed in the preparation of the budget with regards to inflationary increases and other mandated areas. Dr. Williams noted that the salary increase is 9.5% and wondered if the faculty could look forward to such an increase. It was noted that staff benefits increase yearly and all increases are not necessarily in salaries. Dr. Williams stated that the faculty will be circulating comparisons of salaries at Northern with other institutions. Biennial Budget

Mr. Hamburg moved that the 1980-82 Biennial Budget Request be approved as presented and forwarded to the Council on Higher Education for review. The motion, seconded by Judge Poston, was passed with 7 aye votes.

B-12 (b) The Capital Construction Budget Request for the biennium was presented. Mr. DeMarcus noted that even though the sub-committee on capital construction of the Council had stated they would delete items that had no apparent fund, a request was being made which did not include bond issue projects. This would preclude Northern being considered for additional funds should they become available.

Mr. Martin moved that the 1980-82 Biennial Capital Construction Request be approved and forwarded to the Council on Higher Education and the Finance Department and that the administration of the University be authorized to submit an addendum to the Capital Construction Budget Request if deemed necessary. The motion, seconded by Judge Poston, was passed with 7 aye votes.

B-13 After speaking on the subject of law suits, the President submitted the following resolution:

1. Authorize the President at the appropriate time as he determines to take such legal action for damages as he deems necessary, upon advice of Counsel, against person or persons to be determined for abuse of process, unwarranted harrassment and champertous practices against Northern Kentucky University, its Regents, administrators and faculty.

2. Authorize the President to retain special Counsel for Northern Kentucky University to represent Northern's insurance carrier or carriers in the defense of the Leonidas N. Sarakatsannis law suit filed in the U.S. District Court at Covington, Kentucky.

Mr. Haas moved the adoption of Part 1 of the resolution and was seconded by Judge Poston. The motion passed with 7 aye votes.

Part 2 of the resolution was adopted on motion by Mr. Mann, second by Mr. Hamburg, with 7 aye votes.

OTHER REPORTS:

Dr. Albright announced that the President's Annual Report will be mailed in November.

Following discussion, the next quarterly meeting will be held on Wednesday, January 30 at 4 p. m.

The meeting was adjourned at 7:15 p. m. on motion by Mr. Martin and second by Judge Poston.

E. J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on October 10, 1979 and that such matters are still in force and effect.

E. J. Haas, Jr., Secretary

Dated this 19th day of October, 1979.

AGENDA
BOARD OF REGENTS MEETING
Wednesday, January 30, 1980 - 4 p.m.
University Center Ballroom

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES of Previous Meeting - October 10, 1979

A. PRESIDENTIAL REPORTS

1. President's Annual Report - 1978-1979 (previously mailed)
2. The Nature and Purposes of a University
3. Spring Enrollment (final figures will be available at meeting)
4. Summer Fellowships
5. Programs Report - Research, Grants, and Contracts
6. Campus Development
7. Financial Report for October-December, 1979
8. Intercollegiate Athletics
9. Installation of "Jeanie"
10.

B. PRESIDENTIAL RECOMMENDATIONS

1. Personnel
   a. Employment Agreements for Principal Administrative Officers
   b. Academic
      (1) New Personnel and Other Changes
      (2) Sabbaticals
      (3) Promotions and Tenure for 1980-81
   c. Non-Academic Changes
2. Group Travel Policy
3. Financial Audit for 1979-80
4. Lease Agreements
5. Fee Changes
6. Bond Resolution for Housing and Dining System
   (The fiscal agents will have resolution completed before the meeting)
7. Budget Revision
8.
9.
10.

C. OTHER BUSINESS

1. American Association of Governing Boards
2.

NEXT MEETING DATE - Suggest April 30, 1980 which is the fifth Wednesday

ADJOURNMENT

MINUTES OF REGENTS' MEETING - January 30, 1980

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order by Chairman Kenneth Lucas at 4:25 p. m. on Wednesday, January 30, 1980 in the University Center Ballroom. The invocation was given by Father Schmidt, director of the Catholic Center. After welcoming guests, roll call was taken.

Regents present: Mr. Elmer Haas, Mr. John Hamburg, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Judge James Poston, Dr. Edgar Wippermann, Dr. Jeffrey Williams - Faculty Regent and Mr. Stuart Suggs - Student Regent.

absent: Mr. S. Alex Parker

Others present: President A. D. Albright, Counsel John Brooking, Dr. Gene Scholes, Mr. John DeMarcus, Mr. Robert Knauf, Dr. James Claypool, Mr. Dennis Taulbee, Mr. Mike Baker, Mr. Gregg Schulte, Ms. Ann Caudill, Mr. Gary Eith, Father Louis Schmidt, Dr. Jonathan Bushee - president of Faculty Senate, Mr. Bill Lamb, Ms. Denise Hough, Ms. Perk Rudd, Mr. Dave Phillips, Mr. Mark Malick, Ms. Dolores Thelen, Student Martin McKamey, Mr. Jim Reis of Kentucky Post, Ms. Cindy Schroeder of Kentucky Enquirer, and Mr. Rick Boehne of the Northerner.

Minutes of the regular quarterly meeting, October 10, 1979 were approved with 7 aye votes on motion by Mr. Haas and second by Judge Poston.

PRESIDENTIAL REPORTS:

A-1 President Albright called attention to the 1978-79 President's Annual Report which had been mailed previously and stated it was designed to show the movement of the University in the past year. — President's Annual Report

A-2 "The Nature and Purposes of a University" reflects the views of the administrative officers and is presented to the Regents to be a part of the official minutes, according to Dr. Albright. Dr. Williams indicated that the faculty members who had read the statement were in complete concurrence with the report. — "The Nature and Purposes of a University"

A-3 The President highlighted the spring enrollment report by expressing concern that while Northern is constantly increasing enrollment (this semester by 12%, last semester 11%) the University has not received any increased funding for enrollment growth. Increasing enrollment and stationary funding can cause financial problems; therefore, what happens in Frankfort in the next two months is crucial to this University and to the students who plan to attend. — Spring Enrollment Report

A-4 President Albright reported that twelve awards were made in the faculty Summer Fellowship Program. These fellowships were selected from many proposals by a committee of fellow faculty members. — Summer Fellowship

A-5 The President indicated a slight decrease in research and grant funds is expected since outside funding is tighter than in the past. Judge Poston asked if any reporting was required when a grant is complete and he was assured that a report is submitted to the grants office at the end of the grant period. — Research, Grants, and Contracts

A-6 Mr. DeMarcus highlighted the campus development written report speaking briefly on the computerized conservation system which is designed to conserve energy and increase security by a monitoring system in each and every room on the campus. Discussion is in process with the Highway Department on redesigning Nunn Drive. — Campus Development

A-7 The financial report for July-December, 1979 was presented. Mr. Baker stated that we were within budget limits. — Financial Report

A-8 The report on Intercollegiate Athletics from the Athletic Committee indicates that Northern should remain at the current level of participation. This will mean adding one more men's sport which will more than likely be soccer as there is now a club soccer team. — Intercollegiate Athletics

A-9 The "Jeanie" banking system was installed as an aid to students, faculty and staff. — "Jeanie"

A motion was made by Mr. Mann, seconded by Mr. Martin, that the Board of Regents go into Executive Session concerning a personnel matter and court litigation. The motion was carried with 8 aye votes. — Executive Session

Executive session began at 5 p. m. The meeting reconvened at 6:30 p. m.

PRESIDENTIAL RECOMMENDATIONS:

B-1(a) The President asked the Board for consideration of the employment agreements for the principal administrative officers. The officers are: — Employment Agreements for Principal Administrative Officers

Dr. A. D. Albright, President
Dr. James C. Claypool, Dean of Student Affairs
Mr. John DeMarcus, Executive Assistant for Campus Development
Dr. Lyle Gray, Dean, Arts and Sciences
Dr. Arthur Kaplan, Dean, Professional Studies
Mr. Robert Knauf, Executive Assistant for University Relations
Dr. Aaron Miller, Dean, Experimental and Interdisciplinary Programs
Dr. Ralph Pearson, Dean, Community Research and Services
Dr. Gene W. Scholes, Executive Assistant to the President
Mr. Clarence M. Tabor, Business Manager
Mr. Dennis Taulbee, Director of the Budget

Mr. Haas moved that the agreements be adopted as presented. The motion, seconded by Mr. Mann, was passed with 8 aye votes.

B-1 (b) Dr. Scholes presented the following academic changes, new appointments,
(1) terminations, and sabbatical leaves and recommended their adoption:
(2) New Appointments - — Academic Personnel

William R. Jones as Dean, Salmon P. Chase College of Law
Alice G. Rini as Director of Nursing
Robert Schneider as Acting Director of Steely Library
Leonore Ganschow as Acting Director of Special Programs

B-1(b) - continued

(1) Eugene Youngs be granted an extension of employment through 1980-81
(2) academic year

Ray Waggoner be granted leave without pay for Spring, 1980

Academic Personnel (continued)

Resignations -
Mary Kapitan, Robert Zwicker, Linda Harpster, Bob Holloway.
Pamela Cupp as Acting Director of Admissions - returning to Admissions Officer

Sabbaticals -

Leroy Gruner - Spring, 1981	James Hopgood - Fall, 1980
J. Robert Lilly - Fall, 1980	George Manning - Fall, 1980
Nicholas Melnick - Spring, 1981	Thomas Rambo - Spring, 1981

Darryl Poole - Fall, 1980 and Spring, 1981
Martin Huelsmann - Fall, 1980 and Spring, 1981

Mr. Haas moved that the academic personnel recommendations be adopted as presented. The motion was seconded by Dr. Wippermann and was passed with 8 aye votes.

B-1(b) (3) The faculty members listed in Section B-1 for continued conditional probation and continued probation were recommended by Dr. Scholes who explained that procedures outlined in the Faculty Handbook were followed. A motion by Mr. Martin that the recommendation be approved was seconded by Mr. Mann and was passed with 8 aye votes.

Continued Probation and Conditional Probation

Dr. Scholes recommended the following faculty members for promotion:

Y. Datta	William Dickens	Patricia Dolan
Jane Dotson	James Fouche'	Elton Lasseigne
Robert Kempton	Mary Ellen Ryan	Margery Rouse
Donald Welti	Jeffrey Wiener	

Promotion

Dr. Wippermann made a motion to adopt the recommendations for promotion. The motion, seconded by Mr. Hamburg, was passed with 8 aye votes.

The following faculty members were recommended for tenure by Dr. Scholes:

William Dickens	James Fouche'	Elton Lasseigne
Robert Kempton	Martin Marger	William Stoll
Donald Welti	Jeffrey Wiener	Russell Yerkes

Tenure

The recommendations were adopted with 8 aye votes on motion by Mr. Mann and second by Mr. Suggs.

Dr. Scholes reported that there was no recommendation on non-renewals as some appeals are still in process. Counsel Brooking asked that the names be listed in the minutes as being reported. They are Deborah Kenshur and Michael Skop.

Non-Renewals

B-1(c) The list of terminations, new employment, promotions, transfers, and reassignments of non-academic personnel was ratified with 8 aye votes on motion by Mr. Hamburg and second by Mr. Suggs. — Non-Academic Personnel

B-2 The President requested authorization to purchase a group travel policy for all University employees while on official business with a cost of approximately $1350.00 per year. Mr. Haas made the motion to approve the requested authorization. The motion, seconded by Dr. Wippermann, was passed with 8 aye votes. — Group Travel Policy

B-3 Dr. Albright reported that after recommendation for the financial audit was mailed, the state auditor informed the University that the office did not choose to make a financial audit for 1979-80. Therefore, the President requested authorization to negotiate with Rankin and Rankin to perform an audit examination. The request was approved on motion by Mr. Martin, second by Mr. Hamburg, and passed with 8 aye votes. — Audit of Financial Records

B-4 In an attempt to bring all leases of University property before the Regents, the following were presented for consideration: — Crime Lab, Transportation Dept, Foundation Leases

Kentucky State Police Crime Lab at 537 Johns Hill Road - $300 per month
Department of Transportation at 411 Johns Hill Road - $350 per month
Northern Kentucky University Foundation at 415 Johns Hill Road for housing of scholarship students - $100 per month

Mr. Hamburg moved that the leases be approved as presented. The motion, seconded by Judge Poston, was passed with 8 aye votes.

B-5 President Albright recommended the following fee changes: — Fee Changes

Leisure Time Learning Program fees be $2.00 per contact hour and costs incurred in the facilitation of the offering will be included in addition to the basic charge of $2.00 per contact hour.

Fee of $5.00 for Biology 550 be eliminated as the lab has been dropped from the course.

A motion by Mr. Haas to approve the fee changes as recommended was seconded by Dr. Wippermann and was passed with 8 aye votes.

B-6 Dr. Albright introduced bond agent Ralph Nall, who explained that the bond resolution coming before the Board is very similiar to the bond resolution for the educational buildings. The resolution provides for grouping the housing facilities now being designed and all future housing and dining facilities that are constructed. Thereupon, the President, Dr. A. D. Albright, stated that there was before the Board for consideration the matter of financing housing facilities for the University and that it was in order for a basic resolution to be adopted establishing a Housing System and authorizing Northern Kentucky University Housing System Revenue Bonds, with the authority, also, if desired, for the University to issue notes in anticipation of the issuance of such Bonds. — Housing and Dining Facilities; Bond Resolution

After the matter had been fully considered, Mr. Haas made a motion that a Resolution be adopted, the title of which Resolution was as follows:

> A Resolution of the Board of Regents of Northern Kentucky University, a public body corporate, an educational institution and agency and a political subdivision of the Commonwealth of Kentucky, creating and establishing a Housing System of said Northern Kentucky University; creating and establishing an issue of Housing System Revenue Bonds of the Board of Regents of said University; providing for the issuance of such bonds from time to time; providing for the payment of the principal of and interest on said bonds from revenues of the Housing System, and otherwise, in such manner as not to constitute indebtedness of the Board, the University, or the Commonwealth; providing for interim financing through issuance of Revenue Bond Anticipation Notes.

Said motion was seconded by Judge Poston, and upon a vote being taken upon the adoption of same, the result was as follows:

Yeas for the Motion - Kenneth Lucas, Elmer Haas, James Poston, John Hamburg, Henry Mann, Gordon Martin, Edgar Wippermann, Jeffrey Williams, Stuart Suggs

Nays against the Motion - None

Thereupon, it was announced that such motion had carried and that such Resolution had been duly adopted by the Board. Whereupon, such Resolution was declared to be in full force and effect. A copy of same is attached to the minutes of this meeting.

B-7 The President recommended that the FY 1980 operating budget authorization be increased by $332,910 to reflect an additional $12,000 in unrestricted revenues from seminars conducted by the Community Research and Services program and $320,910 in additional restricted grants activity through December 31, 1979. A motion was made by Mr. Martin to adopt the recommendation. The motion, seconded by Dr. Williams, was passed with 8 aye votes. — Budget Revision

OTHER BUSINESS

C-1 The President explained the validity of the Regents becoming associated with the Association of Governing Boards of Colleges and Universities at a cost of approximately $600.00. A motion that the Board of Regents become affiliated with the Association was made by Mr. Hamburg, was seconded by Judge Poston, and was passed with 8 aye votes. — Association of Governing Boards

C-2 President Albright recommended that the administrative officer title of Executive Assistant to the President be changed to Vice President with no change in salary or duties as previously voted on by the Regents. Mr. Martin moved that the President's recommendation be approved. The motion was seconded by Mr. Mann and was passed with 8 aye votes.

The next quarterly meeting of the Board of Regents will be Wednesday, April 30, 1980.

The meeting was adjourned at 7 p.m. on motion by Mr. Martin and second by Mr. Suggs.

E.J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer Jr Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on January 30, 1980 and that such matters are still in force and effect.

E.J. Haas, Jr., Secretary

Dated this 29th day of February, 1980

AGENDA
BOARD OF REGENTS
Wednesday, April 30, 1980 - 4p. m.

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES OF PREVIOUS MEETING - January 30, 1980

A. PRESIDENTIAL REPORTS

1. 1980 Commencement - Each Regent has copy of up-dated material
2. Management Information Report - a report has been given to each Regent
3. Tuition Increases set by Council on Higher Education
4. Reciprocity Agreement
5. Faculty Project Grants
6. Research Grants & Contracts Quarterly Report
7. Financial Aid Report
8. Campus Development Report
9. Financial Report - July, 1979 - March, 1980
10. Report on Auditors
11. Basketball coach search
12.

B. PRESIDENTIAL RECOMMENDATIONS

1. Personnel
   a. Administrative Employment Agreement - Tesseneer
   b. Academic (Dr. Scholes will add Yerkes request for special leave for Fall)
   c. Non-Academic
   d. Other (1) John P. DeMarcus partial leave for 3 months
      (2) James Claypool reassignment of administrative time
      (3) Dean Gray to Provost
      (4) Dr. Edd Miller and search panel

AGENDA - continued

B. PRESIDENTIAL RECOMMENDATIONS

2. Examine administrative staff organization and positions and to affect any changes conducive to efficiency and effectiveness. (Regents will have replacement copy)

3. Area of Concentration in Social Studies

4. Code of Professional Conduct for Chase College of Law

5. Graduate Center Agreement with UK

6. Personnel Policy and Procedure Manual

7. Refund Policy for Student Tuition and Fees

8. Fee Changes (includes additional hand-out on parking fees)

9. Student Receivables

10. Student Publications Board Handbook

11. Soccer as Intercollegiate Sport

12. College Housing Loan Application

13. Utility Line Easement

14. Budget Revisions for 1979-80

15. 1980-81 Budget Recommendation

16. Reinvestment of Reserve Requirement Funds (handout at meeting)

17. Presidential -

C. OTHER BUSINESS

1. Oath for new Student Regent - Ms. Elizabeth Sponsler (May 1, 1980 to May 1, 1981)

2.

ADJOURNMENT (Set meeting date for July - the Fifth Wednesday is July 30)

BOARD OF REGENTS MINUTES
April 30, 1980

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order by Chairman Lucas at 4:15 p.m. on Wednesday, April 30, 1980 in the University Center Ballroom. Invocation was given by Father Schmidt.

Roll Call:

Regents present - Mr. Elmer Haas, Mr. John Hamburg, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Judge James Poston, Dr. Edgar Wippermann, Dr. Jeffrey Williams, Mr. Stuart Suggs.

excused - Mr. Alex Parker

Others present - President A. D. Albright, Counsel John Brooking, Elizabeth Sponsler, Dr. Gene Scholes, Dr. Lyle Gray, Dean Jack Grosse, Dr. Ralph Tesseneer, Mr. John DeMarcus, Mr. Robert Knauf, Dr. James Claypool, Mr. Clarence Tabor, Mr. Dennis Taulbee, Dr. Jonathan Bushee, Dr. Charles Gray, Dr. Richard Ward, Mr. Dave Phillips, Mr. Byron Renz, Ms. Ann Caudill, Mr. Richard Schneider, Ms. Denise Hough, Mr. Michael Baker, Mr. Gregg Schulte, Father Schmidt, Mr. Sam Bucalo, Mr. Bill Weathers of the Kentucky Post, and Ms. Cheryl McHenry of WCKY News.

Chairman Lucas welcomed Ms. Sponsler, who will be the new Student Regent, and Mr. Sam Bucalo, newly elected President of Student Government. Because Mr. Bucalo is a resident of Ohio, he was not eligible to serve as Student Regent; an election was held for Regent in which Ms. Sponsler was elected to the position.

Minutes of the previous quarterly meeting held January 30, 1980 were approved as printed on motion by Mr. Haas, second by Mr. Martin, and passed unanimously.

PRESIDENTIAL REPORTS:

A-1	Dr. Albright highlighted the program for commencement noting an outstanding person in higher education was the speaker - Dr. Phillip Shriver, President of Miami University.	Commencement May 11
A-2	The president noted that the Management Information Report furnished to each Regent contains data from which management and other decisions are made and felt the Regents would be interested in the data compiled.	Management Information Report
A-3	President Albright reported that tuition rates were raised by the Council on Higher Education for Fall 1980, the first increase in three years. Upon Dr. Williams' question of how this would effect our enrollment, the president said he felt that it would not have a great impact here; in fact a 3-1/2% increase for tuition is being projected in the budget. Mr. Lucas commented that in spite of the increase he felt tuition at Northern is a great value and a real bargain as opposed to other increases we have felt in other areas.	Tuition Increased
A-4	Dr. Scholes spoke on the Tuition Reciprocity Agreement between Northern and the University of Cincinnati and stressed it was still in the talking stages with some items still to be worked out between the two institutions. The Board will be kept informed of the progress.	Tuition Reciprocity Agreement with UC

A-5 Dr. Albright explained that this report gives the Regents a list of faculty members to whom grants have been made along with the titles. — Faculty Grants

A-6 In presenting the report on Research, Grants, and Contracts, the president explained that the amount of money received from outside grants may decline from the last couple of years but, as everyone is aware, federal money is becoming more difficult to secure. — Research, Grants, and Contracts

A-7 The report on student grants, loans, and scholarships is to give the Board a picture of our overall financial aid picture. The president pointed out that Mr. Haas served on a University committee wherein policies and procedures for granting aid to students were formulated. — Financial Aid Report

A-8 Mr. DeMarcus highlighted the Campus Development report that was submitted with the agenda. The dedication ceremony for the Business-Education-Psychology building will be scheduled for August or September. Groundbreaking is tentatively scheduled in August for both the Physical Education building and the residences. The administrative center construction is moving well and will continue to do so unless a major strike by one of the trade unions takes place. Bonds will not be sold until the bond market is stabilized - hopefully this summer. — Campus Development

A-9 The quarterly financial report was received by the Regents.

A-10 President Albright reported on the progress of negotiations for the financial audit for 1979-80 as a result of the resolution passed at the Board meeting in January wherein the executive officer was empowered to negotiate for such audit. The president stated that the firm of Rankin and Rankin was selected to complete the audit for about a 10% increase over the previous year. The firm will audit the University financial operations as well as conduct audits of the federal programs: National Direct Student Loan, Federal Work Study, Supplemental Educational Opportunity Grant, Basic Educational Opportunity Grant, Nursing Loan, and Nursing Scholarship programs. The president's action was ratified by motion of Mr. Hamburg. The motion, seconded by Dr. Wipperman, was passed with 8 aye votes. — Financial Audit

A-11 Dr. Albright reported that progress was being made on the search for a head basketball coach, the position vacated by Coach Hils. Very good candidates have been interviewed and when the interviews are completed this week, some decision will be made. — Basketball Coach

PRESIDENTIAL RECOMMENDATIONS:

B-1a In accordance with the procedure for other principal administrative officers, the president recommended the employment agreement with Dr. Ralph Tesseneer as Special Assistant to the President. The Board concurred with the recommendation by motion of Mr. Martin and second of Judge Poston. The motion was passed with 8 aye votes. — Special Assistant to President

B-1b The following recommendations of the President were presented by Dr. Scholes: Academic Personnel

Appointments - Ronald Abrams as Associate Professor and Chairperson of Industrial and Technical Education
Joseph Griffin as Acting Director of Admissions and Student Educational Planning

Resignations - Ken Gilliam as Assistant Professor of Law Enforcement
Les Tomley as Associate Professor of Physics and Astronomy
Edward Ziegler as Associate Professor of Law

Leaves - Billie Brotman, Associate Professor of Economics, leave for one year beginning August, 1980 without pay
Bernard Gilday, Professor of Law, leave for one year beginning August, 1980 without pay
John Lindsey, Professor of Law, leave for one year beginning July, 1980 without pay
Russell Yerkes, Associate Professor of Accounting, special leave for Fall Semester, 1980 with pay - effective 6/30/80

The recommendation were approved as presented on motion by Mr. Hamburg. The motion, seconded by Dr. Wippermann, was passed with 8 aye votes.

Recommendation that Dr. Geraldine Grube, Assistant Professor of Physical Science, Department of Physical Sciences, not be reappointed after the 1979-80 academic year. Judge Poston moved that the recommendation be approved. The motion was seconded by Mr. Martin and was passed with 8 aye votes.

Recommendation that Deborah Kenshur, Assistant Professor of Philosophy, Department of Social Sciences, not be reappointed after the 1979-80 academic year. Approval was given to the recommendation on motion by Mr. Martin. The motion, seconded by Judge Poston, was passed with 8 aye votes.

Recommendation that Michael Skop, Associate Professor of Art, Department of Fine Arts, be granted tenure as a member of the faculty effective with the 1979-80 academic year. Dr. Scholes reported that after the appeal, it was found that by longevity Mr. Skop has earned defacto tenure. Dr. Wippermann moved that the recommendation be accepted, Mr. Suggs seconded the motion, and passed with 8 aye votes.

B-1c The listing of non-academic employees (new employees, terminations, promotions, transfers, and reassignments) was ratified on motion by Mr. Suggs. Mr. Hamburg seconded the motion and it was passed with 8 aye votes. Non-Academic Personnel

B-1d President recommended that John P. DeMarcus be granted a 3-month partial leave of absence to work on his Ph. D. and that Dr. James Claypool be granted a reassignment of administrative duties for the summer to work on the Chase papers. Motion was made by Mr. Haas that the recommendation be adopted. The motion, seconded by Judge Poston, was passed with 8 aye votes. Administrative Personnel

Dr. Albright recommended the appointment of Dr. Lyle Gray as Provost effective May 1, 1980 and asked Dr. Gray to outline his ideas on the position of Provost. Dr. Gray spoke very effectively on the functions of the Provost office and several areas which he hoped to pursue. Chairman Lucas and Mr. Mann spoke on Dr. Provost

B-1d cont. Gray's effectiveness in representing the University by serving very well on various boards and committees in the community. Mr. Martin moved that Dr. Lyle Gray be named Provost on the recommendation of the president. The motion was seconded by Mr. Suggs and was passed with 8 aye votes. — Provost

The president reported to the Board that the Provost Search Committee under the chairmanship of Dr. N. Edd Miller did one of the best jobs he has seen a search committee perform and would like to write Dr. Miller and the committee thanking them for the outstanding work they did on this project. Motion by Mr. Martin and second by Judge Poston that the committee be thanked by the executive officer for the fine job. The motion passed with 8 aye votes. — Provost Search Committee

B-2 President Albright recommended that the executive officer be authorized and directed to conduct an examination of administrative staff organization and positions and to effect any changes conducive to efficiency and effectiveness. The president felt such a recommendation was necessary in view of the freeze the University placed upon all unfilled positions prior to the charge to each agency by Governor Brown that a thorough scrutiny be made of its personnel expenditures with an objective of reducing costs wherever possible. Mr. Mann made the motion that the recommendation be adopted as presented. The motion was seconded by Dr. Wippermann and was passed with 8 aye votes. — Examination of staff positions

B-3 The recommendation was made that the Board of Regents approve the area of concentration in social studies as an appropriate major for graduation from Northern Kentucky University, effective for those students entering the University as of Fall Semester 1980. Dr. Scholes stated this was primarily for teacher education majors. Dr. Williams moved that the recommendation be adopted, Mr. Hamburg seconded the motion, and passed with 8 aye votes. — Social Studies

B-4 The president recommended that the "Code of Professional Conduct of the Salmon P. Chase College of Law, Northern Kentucky University" be approved explaining that the Regents had approved a corresponding code for the undergraduate students. (The Code is attached to these minutes.) Motion to adopt the recommendation was made by Mr. Haas, seconded by Dr. Wippermann, and passed with 8 aye votes. — Code for Chase

B-5 Dr. Scholes presented the president's recommendation that the Graduate Center agreement between the University of Kentucky and Northern Kentucky University for the delivery of the Master of Science Degree in Nursing be approved. (The Memorandum of Agreement is attached to these minutes.) Motion was made by Mr. Mann that approval be given to the recommendation. The motion, seconded by Dr. Wippermann, was passed with 8 aye votes. — B.S. in Nursing - Agreement with UK

B-6 Dr. Albright recommended that Sections E and H of the Personnel Policy and Procedure Manual for non-academic staff be approved as presented and explained that these are the final sections of the manual to be approved. Mr. Haas moved that the sections of the Handbook be approved. The motion was seconded by Mr. Suggs, and was passed with 8 aye votes. — Personnel Handbook

B-7 The president recommended that a policy concerning the refund of student tuition and fees be approved effective with the 1980 Fall term and explained the differences between the previous policy and the one being presented. (Policy attached to these minutes.) Motion to adopt the new refund policy was made by Mr. Suggs, seconded by Judge Poston, and passed with 8 aye votes. — Refund of Tuition and Fees

B-8 A list of fees (attached to these minutes) was presented by the President for adoption. The fee schedule was adopted on motion by Dr. Williams. The motion was seconded by Dr. Wippermann and was passed with 8 aye votes. — New Fees

B-8a The president recommended that the executive officer be authorized to determine and set parking fees for the 1980-81 year and report same to the Board at its regular meeting in July. The fees listed on the recommendation were the ones suggested by a committee of faculty, students, and staff; but because all the work was not completed the fees will be set at a later date and reported to the Board in July. A motion to accept the president's recommendation was made by Mr. Martin, seconded by Mr. Haas, and passed with 8 aye votes. — Parking Fees

B-9 President Albright recommended that Board authorization be given to the Business Manager to write off student receivables as bad debts in the amount of $9,878.00 after it had been determined that these were uncollectable. The recommendation was approved on motion by Mr. Haas, second by Dr. Wippermann, and 8 aye votes. — Bad Debts

B-10 Dr. Albright explained that he had asked Dr. Claypool and the Student Publication Board to come up with some policies and procedures for student publications. He further recommended the adoption of the Student Publications Board Handbook (attached to these minutes). After it had been determined that the handbook had been read by legal counsel, Dr. Wippermann moved that it be approved as presented. The motion, seconded by Mr. Suggs, was passed with 8 aye votes. — Student Publication Board Handbook

Since student publications are an instrument of the University, it therefore becomes the liability of the University and its Board of Regents for any action taken against any publication. After discussion and legal counsel's assurance that the Regents have sufficient liability coverage, Mr. Martin moved that legal counsel investigate and report in full to the Board on the coverage the board members can count on in cases of lawsuits. The motion, seconded by Mr. Hamburg, was passed with 8 aye votes.

B-11 Pursuant to a NCAA mandate, the athletic committee voted to recommend soccer as the sixth intercollegiate sport and the president recommended soccer be instituted beginning Fall Semester 1980. The motion to accept the recommendation was made by Mr. Haas, seconded by Judge Poston, and passed with 8 aye votes. — Soccer

B-12 Presidential recommendation that the Board approve a Resolution authorizing submission of College Housing Loan Application (copy attached to these minutes) was presented by Mr. DeMarcus who explained that this is a routine resolution required by HUD to complete the legal section of the application for 3% guaranteed college housing loan interest. The recommendation was approved on motion by Judge Poston, seconded by Mr. Hamburg, and passed with 8 aye votes. — Housing Loan Application

B-13 The presidential recommendation that the Board approve a Resolution authorizing utility line easement of Union Heat, Light, and Power Company (copy attached to these minutes) was explained by Mr. DeMarcus. Approval was made on motion by Mr. Haas and second by Mr. Martin. The vote was 7 aye, 1 abstention by Judge Poston. Utility Line Easement

B-14 President Albright recommended that the 1979-80 operating budget authorization be increased by $187,872 to reflect an additional $172,272 in bookstore receipts than originally anticipated in the budget, an additional $15,000 in Seminar receipts, an $600 from the Council on Higher Education for individual faculty grants. The recommendation was adopted on motion by Mr. Mann, second by Mr. Suggs, and passed with 8 aye votes. 1979-80 Budget Increase

B-15 Dr. Albright explained the 1980-81 fiscal budget with charts and explanations of increases. The net increases amount to 12.4% over last year and are reflected as follows: 1980-81 Budget

	Amount of Increase	Percentage of Budget Total
Salaries	1,100,000	58.6
Fringe Benefits	500,000	10.2
Operating	500,000	19.8
Capital Outlay	(300,000)	3.0
Transfers	300,000	7.5
Contingency	Same	.9

The increase of salaries is within guidelines dictated by the Council on Higher Education. The fringe benefits will increase in the retirement areas where a percentage of base salary is used and also because of the increase in rates on life insurance and health insurance. The president complimented Dennis Taulbee and Ann Caudill for an unusually fine job in preparing the budget. After discussion and questions, the Board approved the budget as presented on motion by Mr. Martin, second by Dr. Wippermann, and passed with 8 aye votes.

B-16 It was recommended that the president be authorized to negotiate through the bond trustee the reinvestment of reserve requirement funds maturing May 1, 1980. Such an approval by the Board is necessary in order to comply with the trust indenture. Mr. Mann moved that this action be approved. The motion, seconded by Mr. Suggs, was passed with 8 aye votes. Reinvestment of Funds

B-17 The president stated that the Secretary of the Department of Finance has approved the lease of an automobile for use by the President and recommended that the Business Manager be authorized to lease such an automobile. The recommendation was approved on motion by Judge Poston, second by Mr. Martin, and passed with 8 aye votes. Lease of Auto for President

OTHER BUSINESS

Faculty Regent Williams reported that the leave policy for twelve-month faculty will be ready soon for legal counsel and will be ready for presentation at the July meeting.

The faculty regent further reported that the Faculty Senate budget committee had completed a study of comparative salary figures with other institution and by vote of that committee, he was asked to distribute same to the Regents and requested it be put on the agenda in July.

Dr. Albright stated that he felt the policy that the Regents wished him to follow was to have reports such as this presented to the executive officer who would make the decision if such items were to be put on the agenda at an appropriate time. If the executive officer did not do so, the group could appeal to the Board at that time. The president said that while the faculty senate passed a motion that the faculty regent should bring it directly to the board, he would like to know if the policy under which he has been operating is still in effect.

Mr. Martin, Mr. Mann, Mr. Haas, and Judge Poston spoke to the issue stating that they felt all items should be entered on the agenda by the executive officer. Counsel Brooking noted that if the Regents accepted this report, they would then be straying from their policy. The reports were returned to the Assistant Secretary, who later returned them to the Faculty Senate President.

Elizabeth K. Sponsler was given the oath of office as Student Regent by Chairman Lucas. Chairman Lucas complimented Stu Suggs for the fine job he did this past year and that it was a pleasure to work with him.

The next quarterly meeting will be scheduled for Wednesday, July 30, 1980.

The meeting was adjourned on motion by Mr. Suggs and second by Mr. Martin. The meeting adjourned at 7 p. m.

E.J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on April 30, 1980 and that such matters are still in force and effect.

E.J. Haas, Jr., Secretary

Dated this 15th day of May, 1980.

AGENDA - Wednesday, July 30, 1980

CALL TO ORDER AND INVOCATION

INTRODUCTION AND INSTALLATION OF NEW REGENTS - Richard Spears, Pat Sommerkamp, and John Yarmuth (Gordon Martin reappointed)

ROLL CALL AND WELCOME OF GUESTS

MINUTES OF PREVIOUS MEETING - April 30, 1980

ELECTION OF OFFICERS - Chairman, Secretary-Treasurer, Assistant Secretary

A. PRESIDENTIAL REPORTS

1. 1980-81 Operating Budget
2. Faculty Senate Salary Study
3. Grant Activity
4. Campus Development
5. Financial Audits of Federal Programs
6. Parking Fees

B. PRESIDENTIAL RECOMMENDATIONS

1. Personnel
   a. Academic
      (1) Arts and Sciences
      (2) Chase - There is an additional page handed out at the meeting
      (3) Community Research and Services
      (4) Library
      (5) Professional Studies
      (6) Administrative - This is an addition with a page handed out at the meeting
   b. Non-academic
      (1) New Personnel, Terminations, Transfers, Reassignments
      An Addition is being handed out at the meeting
2. Administrative Reorganization

3. Review of University Policies and Procedures

3A - Faculty Handbook Revisions (This is a new section and all material is being handed out at meeting)

a. Twelve-Month Faculty

b. Statement on Professional Ethics and Responsibility

4. Academic Policy for approval of overloads

5. New Programs

a. General Studies Requirements

b. Prior Learning Accreditation

c. Legal Studies Minor

d. Minor In Industrial Technology (A new section added and handed out at meeting)

6. Student Housing Agreement with Thomas More College

7. Amendment to University Scholarship Policy

8. Refund Policy as required by Federal regulations

9. Amendment to Student Government Constitution (A completely new replacement page is being handed out at meeting)

10. Donee Authorization

11. 1979=80 Operating Budget Revisions

12. Revision Authorization for 1980-81 Operating Budget

13.

C. OTHER BUSINESS

1. Ratification of Doctor of Humane Letters for Phillip R. Shriver

D. EXECUTIVE SESSION

E. FINAL BUSINESS

Suggested Date for future meetings: October 29, 1980, January 28, 1981, April 29, 1981

ADJOURNMENT

BOARD OF REGENTS MINUTES
July 30, 1980

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order by Chairman Lucas at 4:30 p. m. on Wednesday, July 30, 1980 in the University Center Ballroom. Invocation was given by Dr. Tesseneer.

Roll Call:

Regents present - Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Judge James Poston, Ms. Pat Sommerkamp, Mr. Richard Spears, Mr. John Yarmuth, Dr. Jeffrey Williams. (Ms. Sponsler on vacation.)

Others present - President A. D. Albright, Counsel John Brooking, Dr. Gene Scholes, Dr. Lyle Gray, Counsel Jack Grosse, Dr. Ralph Tesseneer, Mr. John DeMarcus, Mr. Robert Knauf, Mr. Clarence Tabor, Mr. Dennis Taulbee, Dr. Jonathan Bushee, Dean William Jones, Dr. Darryl Poole, Ms. Ann Caudill, Ms. Denise Hough, Dr. Richard Ward, Mr. Dave Phillips, Mr. Gary Eith, Ms. Peggy Miller, Ms. Carla Chance, Mr. James Kerr, President of Student Government - Sam Bucalo, Ms. Perk Rudd, Ms. Cindy Dickens, Dr. William Dickens, Mr. Gregg Schulte, Dr. Byron Renz, Mr. Ron Ellis, Mr. William Lamb, Dr. Roger Meade, NKU Photographer Joe Ruh, Ms. Dolores Thelen, Cheryl McHenry of WCKY, Bill Weathers of Kentucky Post, Bob Fogarty of Kentucky Enquirer, Post Photographer Jim Osborn, and Dr. Carlos Soto.

Chairman Lucas welcomed and introduced the newly appointed Regents - Ms. Pat Sommerkamp, Mr. Richard Spears, and Mr. John Yarmuth. After administering the Oath to the new Regents, the Chairman extended a challenge that the knowledge and experience of each one be used in helping Northern Kentucky University move forward. The Chairman called attention to the fact that Mr. Gordon Martin was reappointed for another four-year term and expressed appreciation for Mr. Martin's past and future contributions to the University.

President Albright expressed his appreciation to the Board for the unique way in which it operates. The Board gives most of its attention to the development and establishment of policies by which the University will be operating. Once those policies are approved, the Board expects the administration to carry out such policies.

Minutes of the previous quarterly meeting held April 30, 1980 were approved on motion by Mr. Mann. The motion, seconded by Judge Poston, was passed with 8 aye votes.

Election of Officers -- Mr. Martin moved the nomination of Kenneth Lucas as Chairman, Elmer Haas as Secretary-Treasurer, and Dolores Thelen as Assistant Secretary, and further moved that the nominations be closed. The motion was seconded by Mr. Mann and was passed with 8 aye votes.

PRESIDENTIAL REPORTS

A 1 The preparation of the 1980 81 budget was completed in accordance with the guidelines and policies set forth by the Board at their April meeting and the document is now being presented to the Board.

A-2 Dr. Albright stated that the faculty salary study, which the Board asked to be held in abeyance until this meeting, is now being presented with accompanying reports. He reported that there is a response from the Faculty Senate which will be mailed to the Board at a later date when it is complete. Dr. Williams felt that there has been significant improvement of communication between the Faculty Senate and the University administration and expressed his appreciation that the study is being presented at this time. He further stated that when the response is completed, it will be forwarded to the Regents via the President's Office. Faculty Salary Study

A-3 President Albright reported that because of a great deal of activity in the grants area in recent weeks the picture of outside funding is looking much better. There have been some rather significant grants received this month and will be reported at the next meeting. Grants

A-4 Mr. DeMarcus highlighted the Campus Development report and stated that with the sale of Series C bonds suspended by the State several projects are being held up. When the Administrative Center is completed in April, Nunn will be vacated for Chase. If bonding is not secured, complete renovation on this and other projects cannot be done. Mr. DeMarcus showed slides of architectural drawings of the dorms as well as the Allied Health and Physical Education building. The dorm project will continue as it is primarily federal funding. Campus Development

A-5 Financial Audits for the University Federal programs have been completed for the fiscal year ending June, 1979. The President noted that our delinquent rate is down to 7.7% which is about half of what a number of institutions are experiencing and is a result of the University's priority on collections. Financial Aid Audits

A-6 President Albright reported that as a result of the Board's authorization to the executive officer to determine parking fees, the following fees have been established:

Reserved Parking	$60	Full-time Faculty & Staff	$20
Maintenance Parking	$ 5	Part-time Faculty & Staff	$ 5

Parking Fees

PRESIDENTIAL RECOMMENDATIONS

B-1 (a) The following new faculty, leaves, adjustments and terminations were presented for approval:

Glennellyn Barty, Instructor
Alan F. Bentley, Asst. Professor
Carroll Berringer, Lecturer
Billie Brandon, Asst. Professor
James E. Brown, Assoc. Professor
Charles R. Bush, Instructor (temp)
Gary E. Clayton, Chair and Assoc. Professor of Business Admin.
Teresa Domzal, Asst. Professor
Jane Eilerman, Instructor (temp)
Julie Gerdsen, Asst. Professor
Ellen Gershow, Asst. Professor (temp)
Beth Goldstein, Asst. Professor
Charles Hawkins, Assoc. Professor
Sally Jacobsen, Asst. Professor
Kathleen King, Acting Director of Clinical (law) Program (temp)
Serena Kafker, Lecturer (temp)
Sister Margaret Kraemer, Lecturer
James Love, Asst. Professor (temp)

New Faculty

(List continues next page)

B-1 (a) Continued List of New Faculty:

New Faculty

Roy Matthews, Lecturer & Director of Math Learning Center
Glen A. Mazis, Assistant Prof.
Raymond McNeil, Asst. Professor
Edwina Miller, Director of Early Childhood Center
Harold Moore, Lecturer
Linda Olasov, Asst. Professor (temp)
Terry Pence, Asst. Professor
Barbara Rees, Lecturer
Terrence Russell, Asst. Professor
Timothy Serey, Asst. Professor
Janet Simon, Instructor (temp)
Carl Slater, Chairman of Physical Sciences Dept. & Professor
Mark Stavsky, Asst. Professor
Rebecca Sturm, Asst. Professor
Stuart Ware, Asst. Professor
Stanolyn Wells, Instructor
Michael Wiesner, Lecturer
Russell Yerkes, Associate Professor
Cathy Young, Instructor

Other Changes:

Other Changes

E. Kay Cooper from Instructor to Assistant Professor
Cynthia S. Dickens, Director of Educational Services
James L. Alford, Director of Admissions
Darryl Poole, Interim Dean of Arts & Sciences
Phyllis Weeland (Harper), Registrar
Daniel H. Alford, Acting Director of Educational Media

Leaves:

Leaves

Martin Huelsmann - change leave from one year at half salary to one semester, Spring, 1981 at full salary
Jack Sherman - unpaid leave for one year without pay or fringe benefits
Mary Carol Moses - half-time leave for one year beginning August 1980
Ed Wheeler - leave for one year without pay or fringe benefits
Mary Ann Rehnke - leave for one year without pay or fringe benefits

Terminations: Paul Bechtel, Steven Hayes, Jeffrey Paul, Edward Ziegler

Terminations

Judge Poston moved that the recommendations of the President be approved as presented. The motion was seconded by Mr. Yarmuth and was passed with 8 aye votes.

B-1 (b) Dr. Scholes presented the non-academic new employees, transfers, reassignments, promotions, and terminations for the Board's ratification (list attached to these minutes). Included in the list are the new basketball coach, Mike Beitzel; the soccer coach, Paul Rockwood; Bill Lamb from Director of University Center to Assistant Dean of Student Affairs; and Ron Ellis from Assistant Director of University Relations to Director of University Publications. Mr. Haas moved that the Board ratify the action taken on non-academic employees. The motion, seconded by Dr. Williams, passed with 8 aye votes.

Non-Academic Employees

B-2 The Board's mandate to the administration that the administrative organization be examined as well as positions within that structure resulted in the preparation of the recommendation attached to these minutes. Phase I is to be instituted immediately with Phase II to be effective at a later date. The budget reduction will have more pertinence to Phase II according to the President. Mr. Martin moved and Judge Poston seconded the motion that the recommendation be approved as submitted by the President. The motion passed with 8 aye votes. Administrative Organization

B-3 Dr. Albright recommended that the Board of Regents authorize the President's office to conduct a complete review of all University policies and procedures (including an update of the Faculty Policies and Procedures Handbook), develop new policies where appropriate, and present new policy manuals to the Board for its consideration. Review of University policies

Dr. Ward, chairman of the committee working on policies and procedures for faculty, stated that the first draft of the Handbook should be ready by September. The committee is attempting to word the policies in a more definitive and concise manner, and would welcome input from all sources. Mr. Mann moved the recommendation be adopted, seconded by Dr. Williams, and the motion was passed with 8 aye votes.

B-3A (a) The President recommended that the leave policies for faculty on twelve-month contracts (attached to the minutes) be approved and made part of the Faculty Handbook, subject to possible revision as part of the administration's complete review of all University policies and procedures. Dr. Williams made the motion that the recommendation be adopted as presented. The motion, seconded by Mr. Hicks, was passed with 8 aye votes. Leave Policy for Twelve-Month Faculty

B-3A (b) Dr. Albright presented a recommendation that the Board of Regents adopt provisionally the following statements of policy and procedure (attached to the minutes) until the update of the Faculty Policies and Procedures Handbook is completed: Professional Ethics and Responsibilities

I. Statement of Professional Ethics and Responsibilities
II. Policy Statements: Publication and Promotion of Course Offerings/Cancellation or Postponement of Classes

The President stated that Part I is the statement and Part II sets in written form the policy the administration has sought to follow. Mr. Yarmuth moved the adoption of the recommendation with Ms. Sommerkamp seconding the motion. Motion passed with 8 aye votes.

B-4 President Albright made a recommendation that approval for students to take more than 18 credit hours must be secured in writing from the appropriate Dean of the area. He explained that since this is an academic decision, it seems more appropriately dealt with by the Deans. Judge Poston made the motion to adopt the President's recommendation. The motion, seconded by Mr. Spears, was passed with 8 aye votes. Approval for student overload

B-5 The President presented four new programs areas for approval.

(a) Passed with 8 aye votes was a motion made by Judge Poston and seconded by Dr. Williams that General Studies Rationale and changes in General Studies Requirements be adopted by the University and instituted with the Fall semester 1981. (outline attached to the minutes) — General Studies Rationale

(b) Mr. Martin moved, Mr. Haas seconded, a recommendation that the Board adopt the Prior Learning Accreditation Program (outline attached) which has received approval of the faculty through the usual curriculum approval process. The motion passed with 8 aye votes. — Prior Learning Accreditation

(c) A recommendation that the Board adopt the Legal Studies Minor (outline attached), which has received approval of the faculty through the usual curriculum approval process, was passed with 8 aye votes on motion by Mr. Yarmuth and second by Mr. Spears. — Legal Studies Minor

(d) Mr. Spears moved, seconded by Mr. Yarmuth, that the Board approve the recommendation to adopt the Minor in Industrial Technology (outline attached), which has received approval of the faculty through the usual curriculum approval process. The motion passed with 8 aye votes. — Minor in Industrial Technology

B-6 President Albright recommended that the Regents approve the "Agreement of Understanding" with Thomas More College which will make available dormitory rooms for students of Chase College of Law. The President noted that the agreement came about by inquiries made by students and the follow-up was made with Thomas More as a result. The President further stated that the relationship with President Giroux and Thomas More administration has been the finest he has experienced. Mr. Martin moved the approval of the agreement. The motion, seconded by Mr. Mann, was passed with 8 aye votes. — "Agreement of Understanding" with Thomas More

B-7 The President recommended that four amendments be made to the University Scholarship Policy (amendments attached) and explained that after a year of working with the original policy, it was necessary to make several revisions, parts of which are to accommodate our affirmative action policy. Mr. Haas, who serves on the scholarship committee, stated that the scholarship policies will be reviewed from time to time to see if additional revisions are necessary. The motion to adopt the revisions recommended by the President was made by Judge Poston. The motion, seconded by Dr. Williams, was passed with 8 aye votes. — Amendments to Scholarship Policy

B-8 Mr. Tabor explained that while we have certain latitude with the Federal government returning funds not used it was necessary to come up with a sequence of grants which need to be designated first. The reason National Direct Student Loan was chosen as number one is the fact that any money returned to this grant can be loaned to other students. Mr. Yarmuth made a motion that refunds to Federal financial aid recipients and repayment from Federal financial aid recipients who have withdrawn from the University be returned to the federal accounts from which aid was received in the following order: (continued next page) — Refund Policy

1. National Direct Student Loan Fund
2. Supplemental Educational Opportunity Grant
3. Basic Educational Opportunity Grant
4. Guaranteed Student Loan Program

The amount of refund and repayment returned to an account cannot exceed the amount of the original award. The motion was seconded by Mr. Mann and passed with 8 aye votes.

B-9 A motion to amend the Student Government Constitution to read "department representative" rather than "program representative" was made by Mr. Martin, seconded by Judge Poston, and passed with 8 aye votes. — Student Govt. Constitution Amendment

B-10 The Donee Authorization for Division of Surplus Property was approved with name changes on motion by Judge Poston. The motion was seconded by Mr. Spears and was passed with 8 aye votes. — Donee Authorization changed

B-11 President Albright explained that it was necessary to make revisions to the 1979-80 Operating Budget because of some additional revenue received and recommended that the 1979-80 operating budget authorization be increased by $214,469 to reflect an additional $9,400 in unrestricted revenues from student activity fee receipts, and $205,069 in additional restricted grants activity through June 30, 1980. The recommendation was adopted on motion by Judge Poston, seconded by Mr. Yarmuth, and passed with 8 aye votes. — Revision to 1979-80 Budget

B-12 The President recommended that the Board authorize the President to revise the 1980-81 budget in keeping with the "Cut-back Policy" announced by the Governor and the State Department of Finance; and that the revisions be presented to the Board at the next regular quarterly meeting. The recommendation was adopted on motion by Mr. Haas and second by Judge Poston. — Revision to 1980-81 Budget

In explaining the situation the President made the following comments: As published in the press, Northern's reduction appeared to be $1.2 million; however, it is $1.9 million. A sum of $705,000 in debt service was taken off the top, and then the $1.2 million was applied. With the debt service money not available, the only way to sell Series C would be to capitalize the interest for one or two years. In working out the reduction in the University's operating budget, salaries and benefits will not be invaded. We also want to maintain the quality level of education in the area. If the money crisis continues, Northern may be faced with a change of policy that will curtail enrollment or invoke selective admission in order to maintain a quality education. A great deal of credit is to be given to the Budget office, Business Affairs and Academic Affairs in reducing our budget as required. Their remarkable performance resulted in our being able to reduce the budget with about 60% of the $1.2 million coming from operating funds and the other 40% coming out of reserves which we were fortunate enough to have. The reserve was retained to purchase instructional equipment and when the reserve is diminished, it will mean incapability of purchasing such equipment. The bottom line is that we are working with $180,000 less than our 1979-80 budget.

Chairman Lucas stated that inasmuch as we are a developing institution this reduction will cause Northern to suffer more from a statewide budget cut than established universities.

Mr. Mann asked about enrollment increase and how this could affect us with the budget cut. The President stated that a 3.5% increase in enrollment had been built into our budget and should we increase 8 to 10% the strain is going to become apparent to everyone. Northern is one of the few institutions that holds classes from 8:00 in the morning until 10:00 at night and should enrollment keep increasing without adding more classrooms, there will soon be a limit to how many more students we can put in our classrooms.

In further discussion, several board members felt that we needed to explore the possibility of capitalizing the interest on capital projects since this cost might be less than the anticipated rate of inflation.

The President referred to copy of a letter to George Atkins, State Finance Commissioner, indicating the University would reduce its budget by $1.2 million, and the interim budget will be submitted in October.

The Chairman asked for a vote. The recommendation to authorize the President to revise the 1980-81 budget was adopted with 8 aye votes.

OTHER BUSINESS

C-1 On motion by Mr. Martin and second by Mr. Haas, the Doctor of Humane Letters for Phillip Shriver, President of Miami University, was ratified. The motion passed with 8 aye votes.

EXECUTIVE SESSION - 6 p.m.

On motion by Mr. Haas and second by Mr. Yarmuth the Board voted unanimously to go into executive session to discuss personnel matters regarding litigation.

The meeting reconvened at 7:15 p.m. on motion by Mr. Haas and second by Mr. Martin.

The following future meeting dates were decided upon by the members: Wednesday, October 29, 1980; Wednesday, February 4, 1981 and Wednesday, April 29, 1981. The dates were ratified on motion by Mr. Haas, second by Judge Poston, and passed unanimously.

The meeting adjourned at 7:25 p.m. on motion by Mr. Martin and second by Mr. Haas.

E.J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on July 30, 1980 and that such matters are still in force and effect.

Dated this 11th day of August, 1980

E.J. Haas, Jr. Secretary

EXCERPTS FROM THE MINUTES OF A MEETING OF
THE BOARD OF REGENTS OF
NORTHERN KENTUCKY STATE UNIVERSITY
HELD ON SEPTEMBER 23, 1980

The Board of Regents of Northern Kentucky University convened in a duly held special session at the regular meeting place in the Board Room at the Highland Heights Campus of the University, on September 23, 1980, at 2:30 P.M., E.D.T. Because of Chairman Lucas' absence, H. Gordon Martin was elected Acting Chairman for this meeting by unanimous vote on motion by Judge Poston and second by Mr. Mann.

Upon call of the roll, it was ascertained that the following members of the Board were present:

~~Kenneth Lucas, Chairman~~
Elmer J. Haas, Jr., Secretary/Treasurer
Judge James R. Poston
Henry M. Mann
H. Gordon Martin
Dr. Jeffrey C. Williams
Mrs. Pat Sommerkamp
~~Richard Spears~~
~~Mrs. Elizabeth Sponsler~~
~~John Yarmuth~~

The following members were absent: ~~None.~~ Kenneth Lucas, Richard Spears, Elizabeth Sponsler and John Yarmuth.

There were also present Dr. A. D. Albright, the President of the University, Provost Lyle Gray, John DeMarcus, Dolores Thelen, James O. King of the Governor's office, Fiscal Agent Ralph Nall and Cindy Schroeder of the Kentucky Enquirer.

It having been determined that a quorum was present for the transaction of business and it appearing that the meeting was duly and properly held, the Chairman called the meeting to order. Elmer J. Haas, Jr., Secretary, duly recorded the minutes of the meeting.

* * *

Thereupon, the President, Dr. A. D. Albright, stated that there was before the Board for consideration the matter of financing housing facilities for the University and that it was in order for Resolutions authorizing $1,225,000 of Northern Kentucky University Housing System Revenue Bonds, Series A, dated November 1, 1980, and $4,768,000 of Northern Kentucky University Housing System Revenue Bonds, Series B, dated November 1, 1980, to be adopted.

After the matter had been fully considered, Mr. Henry Mann made a motion that a Resolution be adopted, the title of which Resolution was as follows:

> "A RESOLUTON OF THE BOARD OF REGENTS OF NORTHERN KENTUCKY UNIVERSITY, A PUBLIC BODY CORPORATE, AN EDUCATIONAL INSTITUTION AND AGENCY AND A POLITICAL SUBDIVISION OF THE COMMONWEALTH OF KENTUCKY, CREATING AND ESTABLISHING A HOUSING SYSTEM OF SAID NORTHERN KENTUCKY UNIVERSITY; CREATING AND ESTABLISHING AN ISSUE OF HOUSING SYSTEM REVENUE BONDS OF THE BOARD OF REGENTS OF SAID UNIVERSITY: PROVIDING FOR THE ISSUANCE OF SUCH BONDS FROM TIME TO TIME; PROVIDING FOR THE PAYMENT OF THE PRINCIPAL OF AND INTEREST ON SAID BONDS FROM REVENUES OF THE HOUSING SYSTEM, AND OTHERWISE, IN SUCH MANNER AS NOT TO CONSTITUTE INDEBTEDNESS OF THE BOARD, THE UNIVERSITY, OR THE COMMONWEALTH; PROVIDING FOR INTERIM FINANCING THROUGH ISSUANCE OF REVENUE BOND ANTICIPATION NOTES.";

Said motion was seconded by Judge James Poston, and upon a vote being taken upon the adoption of same, the result was as follows:

Yeas for the Motion	Nays Against the Motion
~~Kenneth R. Lucas, Chairman~~	None
Elmer J. Haas, Jr., Secretary/Treasurer	
Judge James R. Poston	
Henry M. Mann	
H. Gordon Martin	
Dr. Jeffrey C. Williams	
Mrs. Pat Sommerkamp	
~~Richard Spears~~	
~~Mrs. Elizabeth K. Spensler~~	
~~John A. Yarmuth~~	

Thereupon, it was announced that such motion had carried and that such Resolution had been duly adopted by the Board. Whereupon, such Resolution was declared to be in full force and effect. A copy of same is attached to the minutes of this meeting.

Thereupon, a motion was made by Judge James Poston that a Resolution be adopted, the title of which Resolution was as follows:

> "A RESOLUTON OF THE BOARD OF REGENTS OF NORTHERN KENTUCKY UNIVERSITY, A PUBLIC BODY CORPORATE, AN EDUCATIONAL INSTITUTION AND AGENCY AND A POLITICAL SUBDIVISION OF THE COMMONWEALTH OF KENTUCKY, CREATING AND ESTABLISHING A HOUSING SYSTEM OF SAID NORTHERN KENTUCKY UNIVERSITY; CREATING AND ESTABLISHING AN ISSUE OF HOUSING SYSTEM REVENUE BONDS OF THE BOARD OF REGENTS OF SAID UNIVERSITY: PROVIDING FOR THE ISSUANCE OF SUCH BONDS FROM TIME TO TIME; PROVIDING FOR THE PAYMENT OF THE PRINCIPAL OF AND INTEREST ON SAID BONDS FROM REVENUES OF THE HOUSING SYSTEM, AND OTHERWISE, IN SUCH MANNER AS NOT TO CONSTITUTE INDEBTEDNESS OF THE BOARD, THE UNIVERSITY, OR THE COMMONWEALTH; PROVIDING FOR INTERIM FINANCING THROUGH ISSUANCE OF REVENUE BOND ANTICIPATION NOTES.";

Said motion was seconded by Mr. Henry Mann, and upon a vote being taken upon the adoption of same, the result was as follows:

Yeas for the Motion	Nays Against the Motion
~~Kennedy Rx Kxxxxxx Chairman~~	None
Elmer J. Haas, Jr., Secretary/Treasurer	
Judge James R. Poston	
Henry M. Mann	
H. Gordon Martin	
Dr. Jeffrey C. Williams	
Mrs. Pat Sommerkamp	
~~Richard Spann~~	
~~Mrs. Elizabeth Kx Sponsler~~	
~~John A. Yarmuth~~	

Thereupon, it was announced that such motion had carried and that such Resolution had been duly adopted by the Board. Whereupon, such Resolution was declared to be in full force and effect. A copy of same is attached to the minutes of this meeting.

* * *

Whereupon, there being no further business to come before the meeting a motion was made, seconded, and unanimously carried that the meeting adjourn.

H. Gordon Martin
Chairman

Attest:

Secretary

(Seal of University)

CERTIFICATE OF SECRETARY

I, ELMER J. HAAS, JR., hereby certify that I am the duly qualified and acting Secretary of the Board of Regents of Northern Kentucky University, that the foregoing is a true and accurate transcript of the minutes of a meeting of such Board held on September 23, 1980, insofar as such minutes pertain to the matters set out in such transcript, and that there is attached hereto a full, true, and correct copy of each of the Resolutions referred to in such minutes as having been adopted.

I further certify that the foregoing minutes of the Board meeting have been duly recorded in the official records of the proceedings of said Board.

I further certify that prior to the time of the holding of such meeting, pursuant to the provisions of KRS 164.340, upon written request of the President of Northern Kentucky University, the Chairman of the Board of Regents called a special meeting of such Board pursuant to KRS 61.805-991 by notifying each member of the Board, each local newspaper of general circulation, each news service and each radio or television station, if any, which had on file with the Board of Regents a written request to be notified of special meetings of such Board, that such Board would hold such meeting at the time and place at which such meeting was held, and that such notice was sent by mail or delivered in order that each member of such Board and each of the news media hereinbefore referred to received a copy of such Notice in ample time (at least 24 hours in advance) to attend such meeting.

WITNESS my signature and the Seal of the University this _____ day of September, 1980.

Secretary, Board of Regents
Northern Kentucky University

(Seal of University)

AGENDA - Wednesday, October 29, 1980

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES OF PREVIOUS MEETINGS - Wednesday, July 30, 1980 - Regular
Tuesday, September 24, 1980 - Special

RESOLUTION - Best bid on Series A and B, Housing System Bond Issue
(Bids will be opened earlier, calculated and presented for approval)

A. PRESIDENTIAL REPORTS

1. Grant and Contract Activity
2. Fall Enrollment Report
3. Summer Sessions Report
4. Campus Development
5. Audit of 1979-80 Financial Records
6. Quarterly Financial Report (July-Oct. 80) - will be handed out at meeting
7. Delgation of Responsibilities to Deans

EXECUTIVE SESSION

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel
   a. New Faculty
   b. Other Personnel Changes
2. Non-academic Personnel
3. Administrative Adjustments in International Studies
4. Joint Faculty Appointments
5. Associate Degree Honors
6. Transfer of Partial Credit Hours
7. Policy on Transferability

8. Pass/Fail Grading System

9. Fee Changes

10. Custodian of Imprest Cash Accounts

11. Equipment and Supply Purchase and Use

12. Easement for Cincinnati Bell

13. Easement for Union Light, Heat, and Power Co.

14. Revision of the 1980-81 Budget

15. Program in Industrial & Labor Relations

16. Telephone proposal

17. Liability insurance

C. OTHER BUSINESS

1. Legal services

2. NKU Foundation agreement

NEXT MEETING - Wednesday, February 4, 1981

ADJOURNMENT

BOARD OF REGENTS MINUTES
October 29, 1980

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order by Chairman Lucas at 4:30 p.m. on Wednesday, October 29, 1980 in the University Center Ballroom. Invocation was given by Dr. Scholes.

Chairman Lucas welcomed all present including L. Bradley Stanford of Ashland Oil who had accompanied Regent Spears to the meeting.

Regents present: Mr. Kenneth Lucas, Mr. Elmer Haas, Mr. Henry Mann, Mr. Gordon Martin, Judge James Poston, Ms. Pat Sommerkamp, Mr. Richard Spears, Ms. Kathy Sponsler, Dr. Jeffrey Williams.
excused: Mr. John Yarmuth
Others present: President A.D. Albright, Mr. John Brooking, Dr. Gene Scholes, Dr. Lyle Gray, Mr. John DeMarcus, Mr. Robert Knauf, Mr. Clarence Tabor, Mr. Dennis Taulbee, Ms. Dolores Thelen, Mr. Ralph Nall, Mr. Gary Eith, Ms. Perk Rudd, Ms. Ann Caudill, Ms. Denise Hough, Mr. Michael Baker, Mr. Gregg Schulte, Dean William Jones, Dr. Charles Gray, Dr. Roger Meade, Dr. Byron Renz, Ms. Carla Chance, Ms. Mary McGhee, Mr. Phillip Grone, Mr. L. Bradley Stanford, Mr.Richard Tapke and Mr. Robert Munninghoff of Covington Trust, Ms. Cheryl McHenry of WCKY, Mr. Kevin Staab of the Northerner, and Ms. Cindy Schroeder of the Kentucky Enquirer.

Minutes of the regular quarterly meeting of July 30, 1980 were unanimously approved as submitted on motion by Mr. Haas and second by Mr. Martin. A correction was made by the assistant secretary to the minutes of the special meeting of September 23, 1980 as follows: Kathy Sponsler is to be marked present and voting "aye" for the bond resolution. The minutes were approved as corrected on motion by Mr. Haas , second by Dr. Williams, and unanimously passed.

RESOLUTIONS concerning bids on the Housing System Revenue Bonds, Series A and B, are attached to these minutes in form prepared by the bond counsel, Franklin Hayes.

PRESIDENTIAL REPORTS:

A-1 Dr. Albright pointed out that grants and contracts approved through the first three months of the fiscal year amounted to well over $500,000 as compared to $184,000 for the same period a year ago. The President complimented the Office of Grants and Contracts (Roger Meade and Denise Hough) for the effective work resulting in the increase. — Grants and Contracts

A-2 The President called attention to the increase in the fall enrollment figures. He commented that if this increase continues we may have 3600 more students in this institution by 1985 with no additional space to accommodate them. — Fall Enrollment

A-3 The President highlighted the Summer Sessions report and noted that while revenues exceeded expenditure figures, the expenditures showed only direct instructional costs without overhead and administrative expenses included. — Summer Sessions

A-4 Mr. DeMarcus highlighted his written report and noted that the programming is complete for the three projects released from the construction freeze by the Governor. It is anticipated that architects will be appointed very shortly. — Campus Development

A-5 Mr. Tabor stated that the audit of financial records for 1979-80 was completed on time by the audit firm, Rankin and Rankin, and also noted that the firm did not need to make any adjusting entries. Mr. Tabor complimented Mike Baker and his staff for the efficient work in the Accounting office. — 1979-80 Financial Audit

A-6 Mr. Tabor in presenting the financial report for the first three months of this fiscal year explained that the University is holding its own so far as revenues are concerned. — Quarterly Financial Report

A-7 The President explained that responsibilities in the academic area were centralized earlier until such time as procedures and policies were worked out and now the area is in the process of decentralization which resulted in the "Delegations of Responsibilities to Deans". This delegation will allow the Provost to do the academic planning for the University. — Deans' Responsibilities

A-8 Counsel Brooking was asked to bring the Regents up-to-date on pending litigation. Mr. Brooking informed the Regents that the Lengyel and Sarakatsannis suits are still pending before Judge Seiler. Judge Seiler is not going to be able to study the pending motions to dismiss the cases until after the first of the year. If either of the cases go to trial, it will probably be late Spring. — Pending Litigation

PRESIDENTIAL RECOMMENDATIONS:

B-1(a) The new faculty appointments that are being presented for approval were either underway before the freeze or are replacements for terminating faculty, according to President Albright. Ms. Sponsler moved that the recommendation of the President be approved as presented. The motion was seconded by Judge Poston and was passed unanimously. — New Faculty

B-1(b) The President presented a list of academic terminations, leaves and changes for approval. The motion was made by Judge Poston and seconded by Dr. Williams that the action be approved as recommended. The motion passed unanimously. — Other Academic Changes

B-2 Dr. Albright presented a list of non-academic new employees, terminations, and changes explaining that there seems to be a large turnover of employees in this area, partly due to the fact that the University is not able to compete in the market place. He further explained that through benefit programs which Gregg Schulte, Director of Personnel, has instituted, it is hoped this will help curb some of the turnover. The President complimented Mr. Schulte on the progress being made in this area. Judge Poston moved the acceptance of the non-academic changes. The motion, seconded by Ms. Sponsler, was passed unanimously. — Non-academic Changes

B-3 The following recommendation was presented by the President:

Office of International Studies

That the following administrative adjustments in the operation of International Studies be approved -

1. That the Office of International Studies be discontinued.
2. That the Director of International Studies continue on faculty status with one-quarter reassigned time per semester to direct the program.
3. That the counselor of international students be assigned to continue the present duties under the supervision of the Director of Admissions.
4. That the above changes be completed on or before December 31, 1980.

Mr. Martin moved, seconded by Mr. Spears, that the recommendation be approved. Ms. Sponsler stated that she had been directed by vote on a resolution of the student government body to cast a nay vote for this and passed a copy of the resolution to the Regents so they would know how the student government felt about this move.

Dr. Williams also spoke against the resolution stating that the Faculty International Studies Committee was opposed to this action and asked how much would be saved by the University. Phillip Grone, an international studies majorsstudent, spoke very effectively opposing this action. Mr. Spears complimented Mr. Grone on his presentation.

The President asked Provost Gray to speak to the issue. Dr. Gray outlined the program. He further stated that other interdisciplinary programs do not have a full time director as faculty are given released time to direct the programs just as is being proposed for international studies. A quick calculation in conjunction with the budget director shows a savings of nearly $35,000 a part of which can be used for reallocation to needful units. Dr. Gray assured the Regents that the program should not suffer because of the changes.

Mr. Mann called for the question with Judge Poston seconding the motion.
Aye votes - Mr. Mann, Mr. Martin, Judge Poston, Ms. Sommerkamp, Mr. Spears.
Nay votes - Mr. Haas, Ms. Sponsler, Dr. Williams. Motion carried.

The following vote was taken on the recommendation:
Aye votes - Mr. Haas, Mr. Mann, Mr. Martin, Judge Poston, Ms. Sommerkamp, Mr. Spears.
Nay votes - Ms. Sponsler, Dr. Williams. Motion carried.

President Albright stated that the Regents would receive more recommendations for administrative changes in order to live within our budget. Dr. Williams suggested that when such items are presented there be a more detailed report on actual savings as a result of a suggested administrative change.

B-4 A policy on Joint Faculty Appointments was presented for approval by the President who explained that the policy spells out responsibilities when a faculty member is teaching in more than one department. The recommendation was ratified on motion by Mr. Mann, second by Judge Poston, and unanimously passed. — Joint Faculty Appointments

B-5 Dr. Albright stated that the policy on Associate Degree Graduation with Honors will bring recognition to deserving Associate Degree Candidates. The recommendation was approved on motion by Mr. Haas, second by Ms. Sommerkamp, and was passed unanimously. — Associate Degree Graduation with Honors

B-6 The policy on Transfer Student Partial Credit Hours presented for approval was directed primarily at those students transferring from an institution with a quarter system to our semester system, according to President Albright. Ms. Sponsler moved that the recommendation be approved as presented. The motion, seconded by Mr. Spears, was passed unanimously. — Transfer Student Partial Credit Hours

B-7 President Albright, in presenting the policy on Transferability, noted that the policy is merely stating the cut-off level for transfer of grades, and is to be effective in the Fall of 1981. Mr. Martin made the motion that the recommendation be approved. Ms. Sponsler seconded the motion which was unanimously passed. — Transferability of Grades

B-8 Provost Gray was asked to explain the purpose of the Pass/Fail Grading System. He stated that a number of students in clinical work for which credit is received are graded with a pass/fail and consequently this grade was not considered for the Dean's or Honor's list. If at least 8 credit hours of a student is graded on the 4.0 grading system, it would allow the student to be eligible for honors. The recommendation was adopted on motion by Mr. Mann, second by Dr. Williams, and unanimously passed. — Pass/Fail Grading

B-9 In presenting the fees below, the President stated that usually fees are brought to the Regents at one time; however, he felt these were important enough to be taken care of separately. — Fees approved

ACT Residual Test Fee - $ 9.00
Early Childhood Center Registration Fee - $10.00

Mr. Haas moved that the fees be adopted as presented. The motion, seconded by Ms. Sommerkamp, was unanimously passed.

B-10 The President recommended that Dolores Thelen be appointed Custodian of Imprest Cash Accounts with W. Michael Baker and C.M. Tabor appointed as alternates. The recommendation was unanimously passed on motion by Mr. Martin and second by Mr. Spears. — Custodian of Imprest Cash

B-11 Dr. Albright explained that the policies and procedures in University purchasing and physical plant operations are policies which we have been following and the Board's approval makes it official. Motion was made by Mr. Martin that the policies and procedures be adopted as presented. The motion was seconded by Mr. Haas and was passed unanimously.

Policy for Purchasing and Physical Plant Operations

B-12 President Albright recommended that the Board of Regents approve a grant to Cincinnati Bell, Inc. of a right of way and easement to construct and maintain buried cables for telecommunication purposes. Mr. Martin moved and Judge Poston seconded the adoption of the recommendation. The motion passed unanimously.

Easement to Cincinnati Bell

B-13 A recommendation was presented by the President that the Board of Regents approve a grant to Union Light, Heat, and Power Company of a right of way and easement. Mr. Haas moved the adoption of the recommendation, and Dr. Williams seconded the motion. Vote - 7 aye votes, abstention by Judge Poston.

Easement for U. L. H & P.

B-14 In presenting the revised budget, the President circulated a report showing the areas which the cuts affected as well as a comparison of state support with other universities (attached to minutes). Mr. Haas moved that the revised budget be adopted as presented. The motion was seconded by Ms. Sponsler. After discussion, the vote was unanimous.

Revised Budget approved

B-15 President Albright recommended that the Baccalaureate Degree for Industrial and Labor Relations receive approval noting that our University is situated in and near industrial areas and this type of program should be beneficial. A motion was made by Mr. Mann, was seconded by Judge Poston, and was passed unanimously.

Industrial and Labor Relations Degree

B-16 It was recommended that the President be empowered to negotiate a contract for the acquisition of a new telecommunications system based upon competitive bids solicited from various suppliers and upon the recommendation of the State Division of Telecommunications, Kentucky Department of Finance. The President stated that the State Division of Telecommunications will be in charge of all equipment acquired. Mr. Martin moved and Judge Poston seconded a motion to adopt the recommendation. The motion was passed unanimously.

Telecommunications System to be bid

B-17 Presented was a recommendation that the President be charged with the responsibility of examining the University's professional liability insurance coverage to ensure adequate protection for all individuals engaged in official University business, and that he be empowered to contract with individual carriers for such coverage as deemed necessary. Dr. Albright noted that our present liability insurance does not cover faculty being sued as a result of action taken by peer review committees and with the present policy up for renewal on November 1, this would be the ideal time to examine carefully the coverage before executing a new contract. The recommendation was unanimously approved on motion by Dr. Williams and second by Ms. Sponsler.

Liability Coverage extended

Dr. Williams retired from the meeting because of a class commitment.

OTHER BUSINESS

C-1 The President presented the following recommendation: Legal Services

1. That the provision of legal services for the University be made through:
   a. the continuance of a staff position designated as University (in-house) counsel, reporting to the Office of President, as at present;
   b. written contract(s) with attorneys, or firms, for legal services in matters involving litigation and other special external requirements.
2. That the President be authorized to implement, whenever necessary, "a" according to the general prescribed University staff employment policies; and to implement "b" upon consultation with the Board.

Mr. Martin moved, Judge Poston seconded the adoption of the resolution. The motion passed unanimously.

C-2 Dr. Albright presented a proposed agreement for services betwen the University and the Foundation and asked that it be ratified. A motion was made by Mr. Martin that the agreement be approved as proposed. The motion, seconded by Mr. Mann, was unanimously passed. Agreement with NKU Foundation

C-3 Mr. Lucas announced that it was approaching the anniversary of Dr. Albright's contract and asked the Board's approval to negotiate a compensation package with the President. Judge Poston made a motion that the Chairman be empowered to negotiate such a compensation package with the President. The motion was seconded by Mr. Mann and was passed unanimously. President's Contract

The next quarterly meeting will be Wednesday, February 4, 1981.

The meeting was adjourned at 7:15 p.m. on motion by Mr. Haas and second by Judge Poston.

E.J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on October 29, 1980 and that such matters are still in force and effect.

E.J. Haas, Jr., Secretary

Dated this 7th day of November, 1980.

AGENDA
BOARD OF REGENTS MEETING
Wednesday, February 4, 1981 - 4 p.m.
University Center Ballroom

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES OF PREVIOUS MEETING - Wednesday, October 29, 1980

A. PRESIDENTIAL REPORTS

1. Grant and Contract Activity
2. Spring 1981 Enrollment
3. Academic Calendar
4. Campus Development
5. Budget Reductions
6. Quarterly Financial Report
7. Management Gains
8.

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel
   a. New Faculty
   b. Other Personnel Changes
2. Non-Academic Personnel
3. Personnel Policies Manual
4. New Program Proposal - Mental Health/Human Services
5. Controlled Admission Policy
6. Repeating a Course
7. Issuance of Diplomas
8. "I" Grade Policy
9. Conflict of Interest
10. Use of Alcoholic Beverages
11. Budget Revisions
12. 1981-82 Budget Proposal
13.
14.

C. OTHER BUSINESS

1. Association of Governing Boards of Universities & Colleges National Conference in April
2.
3.

NEXT MEETING - Wednesday, April 29, 1981

ADJOURNMENT

BOARD OF REGENTS MEETING
February 4, 1981

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order by Chairman Lucas at 4:15 p.m. on Wednesday, February 4, 1981 in the University Center Ballroom. Invocation was given by Dr. Tesseneer.

Roll Call:

Regents present: Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Judge James Poston, Ms. Pat Sommerkamp, Ms. E. Kathy Sponsler, Dr. Jeffrey Williams, Mr. John Yarmuth. Excused: Mr. Richard Spears

Others present: President A. W. Albright, Mr. John Brooking, Dr. Gene Scholes, Dr. James Claypool, Dr. Lyle Gray, Mr. John DeMarcus, Dr. Jack Grosse, Mr. Robert Knauf, Mr. Clarence Tabor, Dr. Ralph Tesseneer, Mr. Don Gammon, Ms. Perk Rudd, Dr. Roger Meade, Ms. Denise Hough, Ms. Kim Hennessy, Ms. Mary McGhee, Ms. Carla Chance, Mr. Gregg Schulte, Dr. Mike McPherson, Mr. William Lamb, Ms. Dolores Thelen. Student Government - President Sam Bucalo, Constance Vickery, Bryant Bauer, Philip Grone, Carl Creech. Media representatives - Rick Dammert and Kevin Staab of the Northerner, Al Salvato of Kentucky Post, Cindy Schroeder of Kentucky Enquirer, Laurel Harper of Campbell County News, and Debbie Ryan of WCKY Radio.

The chairman recognized all present and welcomed Mr. Bradley Stanford of Ashland Oil. The president introduced Ms. Kim Hennessy, the new Affirmative Action Coordinator and Assistant to the President.

The minutes of the October 29, 1980 meeting were approved as submitted on motion by Mr. Haas and second by Mr. Mann.

PRESIDENTIAL REPORTS:

A-1 The Grant and Contract activity through December 31, 1980 indicated an amount of nearly $310,000 as compared to $35,700 last year at the same time. Two large grants were received in the last quarter which is a significant improvement. — Grants and Contracts

A-2 The president reported that spring enrollment figures showed a rather unusual increase of nearly 600 students of 8-1/2 percent over last spring. — Spring Enrollment

A-3 The academic calendar for 1981-82 is for informational purposes and reflects the same number of days instruction as last year. President Albright pointed out that some years ago 18 weeks was usually the instruction period, and while this calendar reflects 15 weeks, it is considered the minimum length of time. — 1981-82 Academic Calendar

A-4 Mr. Demarcus reported as follows: The Business-Education-Psychology Center is complete with a few minor problems to overcome. The Administrative Center, although behind the original schedule, is slated for occupancy the first week of May. The summer board meeting will no doubt be held in new quarters. The Ceramics and Radio-Television relocation and minor renovation of the Science Center are complete - our physical plant personnel handled most of the work. Construction of student housing is making excellent progress. The design and programming of the — Campus Development

A-4 (continued)

Health and Physical Education Center, Nunn Hall conversion, major renovation of the Science Center and maintenance storage facility are progressing, and all awaiting state funding and/or approval. A slide presentation will be made at the April meeting on these projects. The pedestrian bridge and four-laning of Nunn Drive are on hold because of funding problems.

A-5 As mandated by the Board at their October meeting, the budget reductions totalling $1.2 million were accomplished and are being reported at this time. The reductions are composed of recurring ($553,200) and non-recurring ($691,500) expenditures. President Albright reported that while the University survived the current round of reductions, there is no doubt that services have suffered as a result. The additional revenue from increased enrollment will be used as a cushion for further cuts expected in the 1981-82 fiscal year. The president complimented the administration, faculty, and staff for their input and help in accomplishing this reduction although distasteful as it was. Mr. Mann asked if all universities faced such reduction. The president noted that higher education took a disproportionate share of the state cut with 26% taken from higher education while only 18% was appropriated by the Legislature.

1980-81 Budget Reductions

A-6 Mr. Tabor pointed out that the financial report for period ending December 31, 1980 reflected increase in tuition revenue. Mr. Mann and Mr. Haas questioned whether our present faculty could handle the larger enrollment. The president explained that the typical teaching load is 12 hours at Northern; however, that is only a part of the faculty's load - others are advising nearly 100 students in some cases, serving on committees making reports to the president and/or board as well as keeping abreast of new materials being published. Some universities require as much as 15 hours while others have only a 9 hour requirement. Chairman Lucas commented that perhaps the faculty could pick up additional teaching hours, with compensation, for a short period to help the University in these trying times. The president said that several faculty and administrators have expressed their willingness on this viewpoint.

Financial Report

A-7 President Albright reported many management gains. While the cost of energy has increased, the energy usage was decreased by diligent efforts on the part of the staff to realize a savings of $20,000. A $16,000 savings was realized in the postage area because of the increased use of bulk rate mailing. The number of xerox copies decreased over 62,000 at four cents a copy. The operation of physical plant was carried out with seven fewer people for a savings of $85,000. The academic areas have been asked to consider a two-day cycle for classes which not only would utilize the buildings more effectively but would also save gas for students. A plan is being considered at this time.

Management Gains

Mr. Martin asked if the University has a generating system that could be used at peak time to help in saving energy. Mr. DeMarcus replied that the University did not have the generating capacity for this and Dr. McPherson reported that because buildings are utilized fully all day, there probably is not a definite peak period. Mr. Tabor reported that some experimenting is being carried on with time switches but he will not be able to estimate savings until a later date. Mr. Tabor explained that the night physical plant staff have been instructed to turn off lights in unused room and use lights only during the cleaning period. Mr. DeMarcus stated that the new computerized maintenance control system will be very beneficial as it will automatically adjust temperatures in individual rooms.

A-7 (continued)

Upon questioning, Dr. Albright reported that the efforts being put forth in areas mentioned above have been made known in the Governer's office as well as the fact that our administrative cost is about 2-1/2% of our budget which is unheard of as 5% is considered minimum and 10% is not exorbitant. The president further stated that our people can become discouraged as there is no premium for this kind of management.

A-8 President Albright reported that he received a copy of a report to the Governor's office from the Office for Civil Rights concerning the progress Kentucky has made in eliminating the vestiges of segregation in higher education. The state has been given 60 days in which to answer plus 30 days for revisions. The Council on Higher Education has been charged by the Governor to develop a state plan for the removal of the vestiges outlined. Four Kentucky institutions were named as particularly vulnerable. Northern was not mentioned except to say that being a much younger institution Northern has more programs than Kentucky State; however, Northern has four times the enrollment of Kentucky State. The minority population is 2% in Northern Kentucky but the University's enrollment of minority students is about 1% or a little less. It is to be noted therefore that Northern's enrollment of minority students has to be increased. The faculty-staff ratio is even less and while Northern has attempted to employ minorities, it is very difficult as the statistics for minority graduates are very low. The University plans to continue to do special recruiting and the Black Student Union is beginning to take a more active part in getting students on campus. The University also has a special services department to help minority students.

Progress Report on Civil Rights

PRESIDENTIAL RECOMMENDATIONS

B-1 The president recommended the following:

1. That Monica R. Bohlen be appointed temporarily (1/1-12/31/81) as supervising attorney for Family Law Clinic Program at Chase,
2. That Norleen Pomertang be appointed temporarily (1/1-6/30/81) as Director of Special Services/Educational Talent Search,
3. That Dr. Michael Klembara be appointed Associate Provost,
4. That Dr. Jerry Carpenter be appointed Chairperson of Biological Science Department, and
5. That Dr. Jack Sherman be granted an unpaid leave - 1/1-6/30/81.

The recommendations were passed unanimously on motion by Mr. Mann and second by Mr. Martin.

Academic Personnel

B-2 The list of non-academic personnel which includes new full-time employees, terminations, transfers, reassignments and promotions were recommended by the president. The president also recommended that Ms. Kim H. Hennessy be appointed Affirmative Action Coordinator. The recommendations were unanimously passed upon motion by Mr. Yarmuth and second by Ms. Sommerkamp. (The list attached to these minutes.)

Non-Academic Personnel

B-3 It was recommended by the president that policies (attached to these minutes) on Overtime and Compensatory Time, Holidays, Severe Weather, Working Hours, Vacation Leave, Terminal Vacation, Grant/Contract Employment, Temporary Employment, and Part Time Employment be approved for inclusion in the staff Personnel Policy and Procedure Manual. Dr. Scholes explained that the policies are basically in effect at this time with the following exceptions: The severe weather policy is an addition. Vacation for non-exempt staff will be raised from 10 to 12 days and administrative staff lowered from 22 to 20 days per year. Dr. Scholes further stated that the policies coincide with other institutions in the area and the state. Mr. Martin moved that the recommendation be approved as presented. The motion, seconded by Mr. Haas, was unanimously passed.

Personnel Policies and Procedures

B-4 President Albright recommended that the proposal for initiation of a new academic program in Mental Health/Human Services be approved. Dr. Gray explained that the program had been in the development stage for over a year and is being funded through 1984 by a grant from the National Institute for Mental Health. Mr. Haas made the motion that the recommended proposal be accepted as presented. The motion, seconded by Mr. Martin, was passed unanimously.

Mental Health Program

B-5 The president made a recommendation that the president's office be authorized to develop for consideration by the Board of Regents a controlled admissions plan for the University which will contribute to the maintenance of quality academic programs and services despite state mandated budget cuts and increased inflation. Dr. Scholes did an excellent oral and graphic presentation explaining the need to consider controlled admissions in the future. Enrollment projections call for nearly 12,000 headcount in 1986, a significant increase over the present 7,600. With state appropriations decreasing and inflation increasing, there will come a point at which quality education will decrease. This necessitates the institution to have a controlled admission plan available, if needed. At this time there is some control of admissions in certain programs such as law school and nursing, and perhaps it can be added in other areas. Before any plan is developed for the Regents' consideration, input will be secured from the faculty and students. Dr. Albright noted that any plan of this nature would be brought to the Council on Higher Education. It would not be a state-wide policy but one proposed for Northern. After further discussion, a motion was made by Mr. Haas and second by Ms. Sponsler to approve the recommendation to consider a plan for controlled admissions. The motion was unanimously passed.

Controlled Admissions

Plan to be developed

B-6, 7, 8 -

The following three recommendations were presented by the president for consideration:

That a policy (attached to these minutes) for repeating a course be approved as revised.

Repeating a Course

That diplomas be issued to graduates who complete requirements for terms ending in May, August, and December, following certification by the Registrar of satisfactory completion of degree requirements.

Issuance of Diplomas

That the policy for an incomplete grade (attached to these minutes) be approved as revised.

Incompletes

The recommendations were unanimously passed on motion by Ms. Sponsler and second by Ms. Sommerkamp.

B-9 It was recommended by the president that the University administration be directed to advise University employees that a "conflict of interest" exists when employees of the University provide legal representation for persons seeking legal redress against the University. The recommendation was unanimously approved on motion by Mr. Martin and second by Mr. Yarmuth. Conflict of Interest

B-10 President Albright recommended that the University adhere to Commonwealth law with respect to possession and use of alcoholic beverages and controlled substances in public places on the campus of Northern Kentucky University. The motion to accept the recommendation was made by Mr. Haas. Mr. Martin seconded the motion and it was passed unanimously. Alcoholic Beverages on campus

B-11 The president presented a recommendation that the 1980-81 current fund budget authorization be increased to reflect additional student tuition revenues of $400,000, $33,964 in Continuing Legal Education-Legal Fees and the allocation of $805,714 of existing University reserves. Mr. Mann moved that the recommendation be approved as presented. The motion, seconded by Judge Poston, was passed by unanimous vote. Revision of Budget for 1980-81

B-12 A recommendation was presented that the president develop a proposal for the 1981-82 budget which anticipates further reductions in state appropriations and would provide for implementation of salary adjustments and faculty contracts. President Albright explained that it will probably be April before Northern learns how much its appropriation for 1981-82 will be cut and since faculty contract are due to the faculty by May 1st, it is expedient to develop a procedure. Four alternatives are being considered as follows: (1) issue contracts or letters at the current rate for next year; (2) current rate plus increment if available; (3) current rate plus what is felt is a safe percentage increase; or (4) issue a contract and take a chance. The administration desires some latitude in which to work in such a short period of time. Ms. Sommerkamp moved that the recommendation be accepted. Judge Poston seconded the motion which was unanimously passed. 1981-82 Budget Proposal

B-13 President Albright reported that he had met with the Executive Committee of the Faculty Senate at which time he noted that a budget reduction for 81-82 is likely. Since the administration seeks input from the faculty during the budget process, the committee was asked to study the situation and present a report. About two weeks ago a report was received and Dr. Albright stated that it is the best piece of work that he has ever seen a faculty at any institution prepare in such a short period of time.

B-14 The president recognized Phil Grone, a student who had made an able presentation to the Board previously. Dr. Albright noted that Mr. Grone will meet with the Administrative Council tomorrow presenting a program he has developed to aid the most able students of the University.

Chairman Lucas complimented the administration on its open door policy and University employees on their concern for the welfare of this institution.

OTHER BUSINESS

C-1 President Albright called attention to a national meeting in April of the Association of Governing Boards of Universities and Colleges if any of the Regents desired to attend.

C-2 The president reported that the next board meeting will be held Wednesday, April 29 and that the agenda will include such items as budget and administrative regulations.

The meeting adjourned at 6:15 p.m. on motion by Mr. Haas and second by Judge Poston.

E.J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on February 4, 1981 and that such matters are still in force and effect.

E.J. Haas, Jr., Secretary

Dated this 24 day of February, 1981.

BOARD OF REGENTS MEETING
Wednesday, April 29, 1981

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order by Chairman Lucas at 4:15 p.m. on Wednesday, April 29, 1981 in the University Center Ballroom. Invocation was given by Dr. Tesseneer.

ROLL CALL -

Regents present: Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Judge James Poston, Ms. Pat Sommerkamp, Mr. Richard Spears, Ms. Kathy Sponsler, Dr. Jeffrey Williams. Excused - Mr. John Yarmuth

Others present: President A. D. Albright, Counsel John Brooking, Dr. Gene Scholes, Dr. Lyle Gray, Dr. Ralph Tesseneer, Mr. John DeMarcus, Mr. Robert Knauf, Dr. James Claypool, Dr. James Fouché, Mr. Mike Baker, Mr. Gregg Schulte, Mr. L. Bradley Stanford, Dr. Michael Klembara, Dr. Roger Meade, Dean William Jones, Mr. William Lamb, Dr. Gary Armstrong, Ms. Kim Hennessy, Ms. Carla Chance, Ms. Pam Juengling, Ms. Perk Rudd, Mr. Dave Phillips, Ms. Dolores Thelen, Student Government President Sam Bucalo, Student Government President-elect Phil Grone, Mr. Brent Meyer of the Northerner, Mr. Al Salvato of Kentucky Post, Mr. Jeff Gutsell of Kentucky Enquirer, Ms. Debbie Ryan ofWCKY Radio, and Student Government Representatives - Ms. Connie Vickery, Mr. Bryant Bauer, Mr. Brian Humphress, Mr. James Hartje, Ms. Pamela Maloney, Ms. Allison Brewer, Mr. Stephen Humphress, Mr. Tom Movogroski.

Minutes of the previous quarterly meeting held February 4, 1981 were approved as submitted on a motion by Mr. Haas, second by Judge Poston, and unanimously passed.

PRESIDENTIAL REPORTS -

A-1	Grants and contract activity is running considerably ahead of last year. This reflects an additional effort on the part of the faculty and grants and contracts office.	Grants and Contracts
A-2	The student organization report is submitted to give the Regents a picture of the involvement of students on campus through organizations.	Student Organizations
A-3	The commencement report is a brief outline of the activities on Saturday, May 9th. The platform party including the Regents will assemble in the Presidential Dining Room at 10:15 a.m.	Commencement Report
A-4	Ten summer fellowships have been awarded for this summer (list attached to these minutes). The summer fellowship program is designed to encourage faculty to engage in research, writing, and/or creative and artistic projects and to share the results with the broader University community.	Summer Fellowships
A-5	Fourteen faculty project grants have been awarded for academic year 81-82 (list attached to these minutes). Faculty project grants are awarded to encourage professional growth through financial support for research equipment and activities that are not available through program budgets.	Faculty Project Grants

A-6 The President explained that four options for controlled admissions have been studied and are presented for the board's information. Dr. Scholes indicated that if several factors - such as decreasing state funding, increasing enrollment, and a high rate of inflation - continue, the administration will make a recommendation to the board regarding controlled admissions. Controlled Admissions

A-7 Dr. Albright reported that a Chase College of Law Development Office will be established for the purpose of seeking financial support for the College of Law from private sources, and to establish and foster better relations with alumni, members of Bench and Bar, and other persons and organizations external to the College of Law. A director for the office will report to the Dean of the law school, and activities will be closely coordinated with the NKU Foundation. Law School Development Office

A-8 Mr. DeMarcus reported that there had been very little change in the report that was mailed out ten days ago. The design of Nunn Hall conversion is complete and is being reviewed. The completion of the conversion is projected for December, 1981. The administrative center is 95% complete and moving will begin in May. The July Regents' meeting will be held in the new board room in that building. The expansion of the power plant is nearly complete. The computerized Supervisory Maintenance Control System is being installed and scheduled for completion in the Fall, 1981. The system will automatically monitor and adjust air circulation, heating and cooling. The system also provides security control by monitoring the buildings after hours with TV surveillance in certain sensitive areas such as bursar. The system will not restrict normal hours of operation. The buckling of the floor in Landrum is being studied and hopefully a solution will come soon from the engineers working on it. Campus Development

A-9 Dr. Albright noted that the administration had come up with some ideas for utilizing the "West Campus" (now Chase College of Law) when vacated by the law school. Because that campus had been transferred to Northern by state statute, it would be fairly complicated to transfer to another state agency. Escalating enrollment in Northern will cause greater classroom shortage and it is estimated that the West Campus could service nearly 1,000 students. Basic general studies, leisure-time learning, and some grant-operated programs such as Talent Search could be considered for that campus. After further discussions involving faculty, students, and community groups have been concluded, a refined, final draft of the proposal will be presented to the Board for approval. West Campus Utilization

A-10 The President asked the Athletic Council to study Intercollegiate Athletics, and the report attached to these minutes was a result of the study, along with facts on cutting the budget yet still having an adequate program. The main emphasis will be on men's and women's basketball with other sports being decreased for an over-all savings of $45,000. Dr. Albright noted that many other universities are taking a look at their athletic programs and making similar cuts so it is not unique for Northern to be studying this area. Intercollegiate Athletics

A-11 The Council on Higher Education approved three new programs for Northern under an emergency measure as no other baccalaureate programs were approved in the State. The programs are: B.S. in Industrial Education, B.S. in Industrial Technology, and B.S. in Mental Health/Human Services. — Programs approved by Council

A-12 The quarterly financial report for January-March, 1981 was presented for the Regents' information. — Quarterly Report

A-13 The Management Information Report contains a great deal of statistics in which the Regents may be interested. It is prepared as a useful planning and management tool. — Management Information Report

PRESIDENTIAL RECOMMENDATIONS -

B-1 The academic personnel changes were presented to the Regents upon the recommendation of the President and Provost. Provost Gray explained that such actions come about after a study by peer review groups, program chairpersons, and deans. — Academic Personnel

(a) The following faculty members are recommended for continued conditional probation under the conditions of the Faculty Policy Manual:

Patricia Beck	George Harper	MaryAnn Schneider	Continued Conditional Probation
David Bishop	Pam Juengling	Gary Scott	
Victoria Channell	Linda McKee	Frank Traina	
Teresa Domzal	Raymond McNeil	Ronald Williams	
Julie Gerdson	Linda Olasov	Judy Ribak	
Beth Goldstein	Alice Rini		

The recommendation was accepted on motion by Mr. Mann, second by Ms. Sponsler, and passed unanimously.

(b) The following faculty members are recommended for continued probation under the conditions of the Faculty Policy Manual:

David Adams	Daniel Curtin	Sally Ann Jacobson	Continued Probation
Thomas Barone	Frank Dietrich	Margo K. Jang	
Judith Bechtel	Susan Doerr	Robert Jeng	
Alan Bentley	Sudesh Duggal	Gary Johnston	
Billie Brandon	Thomas Edwards	Paul Joseph	
Kathleen Brinker	Charles Frank	Donald Kelm	
Billie Brotman	Stephen Goacher	James Kinne	
James Brown	George Goedel	Susan Kissel	
Rachelle Bruno	James Gray	Philip Koplow	
William Burns	Michael Gray	William Leigh	
Janice Cantrall	Carolyn Hagner	Glen Mazis	
Joy Churchill	Janet Hammond	Philip McCartney	
Gary Clayton	Charles Hawkins	Cynthia McDaniel	
Donald Cobb	David Hogan	Linda Moneyham	
E. Kay Cooper	Jane Jackson	Constance Mulligan	

(continued next page)

(b) Continued Probation list - continued:

Ronald Naverson	Tim Serey	Rebecca Sturm	
Richard O'Brien	Mitch Shapiro	Barbara Thiel	
Terry Pence	Richard Snyder	Larry Titlow	
Kim Rebenstorf	Robert Snyder	Jean Wainscott	Continued
Byron Renz	Betty Soave	Jack Wann	Probation
Mary Ann Renz	Carlos Soto	Stuart Ware	
Terrence Russell	James Stacy	Michael Washington	
W. Michael Ryan	Mark Stavsky	Elinor Welt	
Janet Scarlata	Larry Steele	John Westlund	
Peter Schiff	Henry Stephens	Macel Wheeler	
Lois Schultz	Chris Stiegler	William Worley	

Mr. Haas moved adoption of the recommendation. The motion, seconded by Ms. Sommerkamp, was passed unanimously.

(c) The following faculty members are recommended for promotion:

From the rank of Assistant Professor to Associate Professor:

Barry Anderson	Debra Pearce	
Donna Bennett	Frederick Rhynhart	
Paul Bishop	Raymond Richmond	
Kevin Booher	Robert Schneider	
Thomas Cate	Gayle Sheard	Promotions
Carol Futhey	Henry Stephens	
Ronald Gardella	Edward Theodoru	
Michael Klembara	James Thomas	
Rosetta Mauldin	Thomas Vergamini	
Anthony Mazzaro	Jerry Warner	

From the rank of Associate Professor to Professor:

Stephen Boyd	David Elder
Robert Bratton	James Niewahner

Mr. Martin moved the adoption of the recommendation. The motion was seconded by Judge Poston and was passed unanimously.

(d) The following faculty members are recommended for tenure:

Ronald Abrams	Carol Futhey	Raymond Richmond	
Barry Anderson	Ronald Gardella	Frederick Rhynhart	Tenure
Donna Bennett	Curtis High	Gayle Sheard	
Paul Bishop	Michael Klembara	Edward Theodoru	
Kevin Booher	Rosetta Mauldin	James Thomas	
Doris Brett	Anthony Mazzaro	Jerry Warner	
Thomas Cate	Robert Miller	Philip Yanarella	
David Elder	Debra Pearce		

Ms. Sponsler moved that the recommendation be adopted and the motion was seconded by Dr. Williams. Mr. Mann asked if a professor decreases effectiveness when tenured. Provost Gray said that a performance review is held for all faculty whether they are tenured or non-tenured. The list submitted for tenure is much less than those seeking tenure as many are turned down. The motion passed unanimously.

(e) Mr. Austin Mann and Mr. Eugene Youngs have been recommended for retirement - both having reached mandatory retirement age. The motion for acceptance of the recommendation was made by Judge Poston, seconded by Mr. Martin, and was passed unanimously. Retirements

(f) Sabbatical leaves for 1981-82 are recommended for the following: Sabbaticals

Compton Allyn - Fall and Spring	Peter Moore - Spring
Yudhishter Datta -Fall and Spring	MacKenzie Osborne - Spring
Sandra Easton - Spring	Sharlotte Neely - Fall
Arthur Miller - Fall and Spring	Connie Widmer - Fall and Spring

The Provost explained that sabbatical leaves are granted to faculty to do further study in pursuit of academic objectives. The faculty members on sabbatical are paid full salary for one semester or half salary for two semesters. There are certain constraints, such as not receiving remuneration from other sources while on sabbatical and furnishing a report of the work accomplished while on sabbatical. Ms. Sommerkamp moved that the recommendation be approved. The motion was seconded by Dr. Williams and was unanimously passed.

It was further recommended that unpaid leaves of absence be granted to Mary Ann Rehnke and Jack Sherman, Jr. The motion to accept the recommendation was made by Mr. Martin, was seconded by Mr. Mann, and was unanimously passed. Leaves of Absence

(g) The following persons have submitted resignations: Mercedes Ballou, Donald Phillips, John Lindsey, Al Salvato. Resignations

It was recommended that Jeanne Johnston receive a non-renewal of contract due to the termination of the position she occupies. Termination of the position is based on low enrollment and lack of program demand. The recommendation was unanimously passed on motion by Ms. Sponsler and second by Mr. Mann.

(h) It was recommended that Emeritus status for Professors Eugene Youngs and Austin Mann be approved by the Board. The recommendation was accepted on motion by Mr. Martin and second by Judge Poston. Dr. Albright complimented both gentlemen as having served Northern well. The motion passed unanimously. Emeritus Status

(i) The following academic personnel changes were recommended: Academic Personnel Changes

Daniel Alford, from Acting Director of Media Services to Director of Media Services;

Robert Schneider, from interim Director of the Main Library to Director of the Main Library;

James Fouché, from Associate Professor of Education to Chairperson of the Department of Education.

The President introduced Dr. Fouché, who in addition to becoming Chairperson, serves as President of the Faculty Senate in an excellent manner. The recommendation received unanimous approval on motion by Mr. Spears and second by Ms. Sponsler.

(j) Upon recommendation of the President, Mr. Mann moved that Mr. Edward F. Prichard, Jr. be awarded the honorary degree Doctor of Humane Letters at the 1981 Commencement. Judge Poston seconded the motion. The President reported that Mr. Prichard, within the last three months, has done more than any one individual in the State to help the institutions of higher education retain some of the funding that possibly would have been removed. This action took courage on his part and it is certainly a privilege for Northern to have him as commencement speaker. — Doctor of Humane Letters

B-2 Upon speaking of the list of non-academic personnel to be approved (list attached to these minutes), Mr. Gregg Schulte noted that the lists of changes and terminations are much shorter possibly due to the economic situation. Dr. Scholes reported that two persons, Norleen Pomerantz and Kim Hennessy came from neighboring institutions; we did not seek them, they came to Northern to seek positions. Dr. Albright introduced Kim Hennessy, Affirmative Action Coordinator, who will assist in the President's office with legal counseling. The non-academic changes were approved unanimously on motion by Judge Poston and second of Mr. Haas. — Non-Academic Personnel

B-3 President Albright recommended that the University administration be authorized to develop an appropriate academic program option for accelerated or gifted students, noting that we may lose some gifted students unless we develop a challenging program for these students. Mr. Haas moved that the recommendation be adopted. The motion, seconded by Ms. Sommerkamp, was passed unanimously. — Program for Gifted Students

B-4 A recommendation that all research conducted on human subjects must have prior approval by the University's Institutional Review Board for the Protection of Human Subjects was explained by Provost Gray that this action would be in compliance with federal guidelines. The motion was given unanimous approval on motion by Ms. Sommerkmap and second by Ms. Sponsler. — Protection of Human Subjects

B-5 The recommendation that the title of the Department of Industrial and Technical Education be changed to Department of Technology and Occupational Education was passed unanimously on motion by Mr. Martin and second by Ms. Sommerkamp. — Name change for I. T. E.

B-6 It was recommended by the President that the Policy of Admission for Salmon P. Chase College of Law (copy attached to minutes) be approved. Dean Jones explained that the previous policy contained a specific numerical indicator whereas a broader policy for controlled admissions was needed because of changes in interpretations of the Freedom of Information Act. The recommendation was adopted on motion by Ms. Sommerkamp. The motion was seconded by Dr. Williams and was passed unanimously. — Admissions Policy for law school

B-7 The President recommended that the mission of the Early Childhood Center be expanded to include Childcare services for students and employees of Northern Kentucky University on a cost-effective basis. Ms. Sponsler, who chaired a committee for this program area, explained that the committee did extensive research and study on the problem and believes it has come up with a childcare program that could be effective and pay its own way. Chairman Lucas commended Ms. Sponsler for her work in this area. Ms. Sponsler moved that the recommendation be adopted. The motion was seconded by Dr. Williams and was passed unanimously.

Childcare for children of students and employees

B-8 President Albright recommended that the administration be authorized to facilitate changes in the existing governance structure of the University to include a Staff Council and a University Council as a result of recommendations made by external consultants, the Faculty Senate, and Student Government with the intent of evolving a university-wide governance organization in the near future. Dr. Scholes explained that one component would be a Staff Council. Representatives of Staff Council, Faculty Senate, and Student Government would at a later date form a University Council. The President indicated that this type of set-up is one that may later give way to something like a university senate, but felt it was worth experimenting to see if the structure could be effective. Dr. Williams indicated he was in agreement with the recommendation if it served as an experimental step before we move on to another council. The motion was unanimously passed on motion by Judge Poston and second by Mr. Martin.

Governance Structure of NKU

B-9 The President recommended that the administration be authorized to negotiate with Rankin, Rankin and Company, Certified Public Accounts, to conduct and perform an audit examination of financial status of Northern Kentucky University for the period ending June 30, 1981. Dr. Albright explained that the state auditor has given approval to negotiate with a private audit firm. Motion for approval of the motion was made by Mr. Spears. The motion, seconded by Dr. Williams, was unanimously passed.

Negotiations with Audit Firm

B-10 A recommendation that the expenditure authorization for the 1980-81 fiscal year be increased to $23,625,591 to reflect additional revenues of $129,452 in the Bookstore and $33,000 in Seminar activity was unanimously approved on motion by Ms. Sommerkamp and second by Judge Poston.

Increased Revenues for 80-81

B-11 President Albright recommended that the new schedules of tuition, fees and other charges be approved and become effective with the Fall semester, 1981. (Schedules attached to these minutes.) Mr. Haas moved that the recommendation be approved and the motion was seconded by Mr. Spears.

New Schedules of Tuition and Fees

Dr. Scholes noted that the tuition will increase 8.5% as mandated by the Council on Higher Education. Also, changes in the student incidental fee in amount, collection, and use were explained. From 1972 to 1976, a student activity fee of $10 for full-time students and $5 for part-time students was charged, until

(continued)

B-11 (continued)
the Council voted that universities could not charge this fee. In 1977, the Council reinstituted the fee and authorized universities to charge up to $20. But Northern kept the fee at the same amount. At that time the $10 and $5 fees were adopted by the Board and the funds were under control of the University Center Board. In this new schedule the student incidental fee is tied directly to the credit hour charge--$1 per credit hour up to 12 hours, and $20 for any student taking over 12 hours. The logic for this approach is that a student taking 12 hours or more is likely to take greater advantage of activities on campus.

The Vice President further explained that the incidental fee will replace many class fees which have been assessed in the past but would now be discontinued. Also, the student activity fee currently is non-refundable, but under the new arrangement of attaching the fee to the credit hour, these fees will be refundable under the refund policy of the University.

The new fee arrangement will require the University Center Board, Student Government and other student groups to submit budget proposals for funds which will come from this fee. The increased revenues will enable the University to meet the increasing cost of student activities more effectively.

Upon questioning of Mr. Haas, the Vice President explained that the fee would bring in an additional $25,000. Currently, $135,000 is collected through activity fees. The new fee would generate $180,000 in revenue, and the deletion of designated class fees would decrease revenue by $20,000.

Dr. Scholes pointed out that the other fee change is the increase of faculty and staff parking from $20 to $30 per year. This increase will help offset the cost of resurfacing parking lots and facilitate other improvements in the parking situation.

Student Regent Sponsler spoke against the recommendation indicating she felt it should be tabled and circulated a resolution passed by Student Government as follows:

> "Whereas; the Regents will be considering increasing the student activity fee; and
> Whereas; there has been excess amounts in the activity fee fund in the past years;
> And whereas; this increase is double of the present fee, and that this could result in even higher excesses;
> Be it therefore resolved: that the Student Government take a firm stand against this increase, and request that our Student Regent express this stand to her fellow Regents."

Ms. Sponsler said that this indicated how opposed the students were to the increase.

Sam Bucalo, Student Government President, asked to be recognized and spoke against the recommendation and asked permission to circulate a statement. The statement (copy attached) along with the reasons for not increasing student activity fee asked that the Regents wait until the special meeting in June to pass the recommendation in order for the students to have some input.

B-11 (continued)
Dr. Williams made a motion to table that part of the recommendation for increase of student incidental fee. The motion was seconded by Ms. Sponsler.

The President asked Dr. Claypool, Dean of Student Affairs, to speak on the issue. Dr. Claypool stated that he wished to correct some statements that were made. First, Dr. Albright does not present ill-prepared or unprepared proposals. Second, Student Government did not pass the resolution distributed to the Regents by unanimous vote. The vote was 10 ayes, 1 nay, and 1 abstention, with 6 voting members absent. Third, the Dean stated he supported the new plan because it addresses and corrects several areas of complaint, foremost of which is the complaint of students taking 3 hours and being charged $5 student activity fee. The new plan reduces the charge to $1 per hour. Also the new plan provides for refunding of student activity fee which has not been allowed in the past. Lastly, the reason there was excess money in the activity fee account was because there was a carryover graciously allowed by Dr. Albright of nearly $45,000. This has nearly been depleted and does not exceed $3,000 at this time. It is being carried as a small contingency as it is obvious that in budgeting several new projects such as childcare and legal aid, the cost at this time is unknown. In closing the Dean noted that Northern is the only regional institution that has not charged the full $20, having decided to postpone it for some two years.

Dr. Williams wondered what complication would arise if the adoption of the fee was postponed. Dr. Scholes said that mail-in registrations are now being received and statements are to be mailed out by May 8. Dr. Gray stated that a mail-in registration process is now in operation and in order to keep down the cost of additional mailings, the University must begin processing and assessing students who have enrolled so far.

In response to comments that this increase was instituted so quickly, Dr. Albright explained that the Council set the rates and revenue on April 6 (about three weeks ago). It took the administration about a week in which to determine what effect this would have on the budget and what top priorities we would set. The Student Government President was made aware of the increases intended about ten days ago. While it is understood that there was so little time to make decisions, the administration is in the same predicament with the University budget.

Vote was called for on tabling the incidental fee only. Aye-Ms. Sponsler, 7 nay votes.

Mr. Mann stated he was appreciative of the students' feelings and problems; however, the University also faces inflationary problems and budget cuts and voting for the new fee expresses his confidence in the administration. Mr. Martin said that while he was sympathetic with the students, he has two children at other universities and he is convinced that tuition at Northern is a bargain. Dr. Williams expressed his desire to vote for the fee if there could be a committee set up to study the ramifications of the increase and report back an opinion as to its effect. Dr. Albright felt this was an excellent idea and also mentioned to the Regents that he fully expected another budget cut from the state in the future.

B-11 (continued)
Before the vote was taken, it was understood that a committee would report back to the President and then to the Regents on the effects of the increase. Vote on the recommendation as presented by the President: Aye - 7 votes; Nay - Ms. Sponsler. The recommendation passed.

B-12 A recommendation was submitted that the President be authorized to prepare the 1981-82 operating budget incorporating salary increases at least equal to those provided by the legislature in the original appropriation and to release individual salary figures once administrative review has been completed. The President explained that approval of this recommendation would give the administration some guidelines in which to work so that preparation of faculty contracts could be completed in time to be sent to the faculty by May 8. The background information to the recommendation lists the increases proposed as well as an explanation of them. President Albright said that the administration has salary increases as its top priority. The President further explained that while he expects a further budget cut, he feels commitment to the employees.

1981-82 Budget and Salary Increases

A motion was made by Mr. Haas that the recommendation be adopted as presented. The motion was seconded by Judge Poston.

Dr. Williams noted that the faculty is extremely appreciative of the fact that salary increases are preserved. Mr. Haas said that he feels the University needs to maintain the caliber of the faculty and staff as many might leave for more compensation at other universities. Mr. Martin noted that our funding is still the lowest of any state institution but that we still have to maintain excellence in our academic programs and in order to do this, we need to compensate our employees who are giving excellent performance. It was explained by the President that he proposes up to a 7.5% increase based on satisfactory performance with provisions for additional increases for exceptional performance and salary adjustment where needed. The complete budget proposal will be ready for Board review in June.

Mr. Haas called for the question and the motion was passed with 7 aye votes and abstention by Dr. Williams because salary increases for faculty the recommendation.

B-13 The President recommended that the "Manual of Operations, Policies, and Procedures" for Student Housing be approved and noted that there will have to be changes made from time to time. Dr. Scholes mentioned that the committee charged with preparing this manual visited many student housing facilities, reviewed manuals of other institutions, and discussed problems with persons working in the student housing area. The manual reflects this advice. Upon question of Mr. Mann, the Vice President explained that there would be a director of student housing with a resident director in each building as well as resident assistants on each floor. (continued)

Student Housing Manual of Operations, Policies, and Procedures

B-13 (continued)
Ms. Sponsler commended Bill Lamb who sought input from Student Government on the inclusion of the Student Government Constitution in the manual. It was suggested to him by the students that wording be kept to a minimum and the student governance policy was reduced to a single page. The President noted that the dormitories are due to open by January 1, 1982 and that the Regents would possibly be hearing new problems which usually arise once housing is added to the campus community. Ms. Sponsler moved that the Policy Manual for Student Housing be accepted as presented. The motion, seconded by Mr. Mann, was passed unanimously.

OTHER BUSINESS

C-1 Dr. Albright asked the Board to set a date for a special meeting to discuss the operating budget for 1981-82. As stated earlier, the proposed budget will be completed by the first of June. It was agreed that the date of the special meeting will be Tuesday, June 23, at 4 p.m. — Special Meeting June 23, 1981

C-2 The date for the next quarterly meeting will be Wednesday, July 29, 1981.

C-3 Mr. Philip Grone was installed as new Student Regent to be effective May 9, 1981.

Chairman Lucas complimented Student Regent Sponsler as being selected as a legislative intern and said that the Regents have been pleased with her performance as a Regent. President Albright expressed appreciation and gratefulness to Ms. Sponsler. Mr. Martin moved that a resolution be prepared. The motion, seconded by Ms. Sommerkamp, was passed unanimously.

The President thanked Sam Bucalo, present Student Government President, for moving the students along into new fronts this year and for serving his position well.

Dr. Albright alerted the Regents to the fact that NKU Foundation has been working for some time on the development of a capital campaign. A feasibility study is now being conducted on whether to have such a campaign and if so, when.

The President noted that Vice President Gene Scholes has turned down the presidency of a Michigan institution and congratulated him for the honor of being selected, further noting that we are pleased that he is still with us.

The meeting adjourned at 7:05 p.m. on motion by Mr. Haas and second by Judge Poston.

E.J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on April 29, 1981 and that such matters are still in force and effect.

E. J. Haas, Jr., Secretary

Dated this 14th day of May, 1981.

AGENDA
BOARD OF REGENTS SPECIAL MEETING
Tuesday, June 23, 1981 - 4 p.m.

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

A. PRESIDENTIAL REPORTS

1. Administrative Center Status

2.

B. PRESIDENTIAL RECOMMENDATIONS

1. Faculty Appointments

~~2A~~

2. Faculty Resignations and Leaves

2A Administrative Appointment.

3. Reciprocity Agreement

3A Program Proposal

4. Code of Undergraduate Student Rights and Responsibilities

5. Athletic Council Constitution

6. Governing and Administrative Regulations Format

7. Policy Statements

8. University Organization and Administrative Structure

9. Administrative Title Changes

10. Student Receivables

11. NDSL Assignment

12. 1981-82 Operating Budget

13.

14.

C. OTHER BUSINESS

1. July Board Meeting - Set for July 29 at last meeting

2.

ADJOURNMENT

BOARD OF REGENTS MEETING
Tuesday, June 23, 1981

A special meeting of the Board of Regents of Northern Kentucky University was called to order by Chairman Lucas at 4:20 p.m. on Tuesday, June 23, 1981 in the University Center Ballroom. The invocation was given by Mr. Tabor.

ROLL CALL:

Regents present: Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Judge James Poston, Ms. Pat Sommerkamp, Mr. Richard Spears.
Excused: Mr. Elmer Haas, Mr. Phil Grone, Dr. Jeffrey Williams, Mr. John Yarmuth.

Others present: President A. D. Albright, Dr. Gene Scholes, Dr. James Claypool, Mr. John DeMarcus, Mr. Clarence Tabor, Mr. Dennis Taulbee, Mr. Mike Baker, Ms. Kim Hennessy, Dr. Darryl Poole, Dr. William Jones, Mr. Dave Phillips, Ms. Perk Rudd, Ms. Sue Hodges, Ms. Carla Chance, Mr. Dan Alford, Student Government Vice-President Dave MacKnight, Ms. Cindy Schroeder of the Kentucky Enquirer, Mr. Al Salvato of the Kentucky Post, and Ms. Regina Ferrante of the Northerner.

The chairman declared a quorum of Regents present.

PRESIDENTIAL REPORTS:

A-1 Mr. DeMarcus reported that the Administrative Center is complete and largely occupied. There are still a number of items to be taken care of by the contractor. It is hoped that the next quarterly board meeting can be held in the new board room.

PRESIDENTIAL RECOMMENDATIONS:

B-1 President Albright recommended that the following new faculty be appointed:

Rosemary Ingham	Melinda Langmeyer	Janet Simon	New
Nan Littleton	Barbara O'Brien	Cathy Young	Faculty

The recommendation was unanimously approved on motion by Mr. Martin and second by Judge Poston.

B-2 The President recommended the following personnel changes:

The resignation of Verne Shelton as Chairperson of the Fine Arts Department to faculty status, effective July 1, 1981.

Leaves as follows: Academic Personnel Changes

Christopher Boehm for two months with full pay and benefits.
Martin Marger - unpaid leave for Spring semester, 1982.
Verne Shelton - leave for academic year 1981-82 at half salary and full benefits.
Thomas Niemann - unpaid leave for 1981-82 academic year.

The changes were unanimously approved as presented on motion by Judge Poston and second by Mr. Spears.

B-2A The following administrative appointments were presented by the President:

Gary Eith as Director of Residential Life, effective July 1, 1981
Sue Hodges as Fiscal Policy Advisor, effective July 15, 1981
Jane Scheper as Women's Head Basketball and Volleyball Coach, effective July 1, 1981
Nancy Winstel as Women's Head Softball Coach, Women's Assistant Volleyball and Basketball Coach, effective July 1, 1981
Dan Henry as Director of Women's Intramural Sports, effective July 1
James Claypool as University Archivist and Curator, effective at a date to be selected later.

Administrative Appointments

President Albright complimented Jim Claypool for the numerous accomplishments at this University indicating he was the first person on the University's payroll. The President further reported that Dr. Claypool has some superb suggestions for his new position. The administrative personnel changes were unanimously approved as presented on motion by Ms. Sommerkamp and second by Mr. Martin.

B-3 Dr. Albright recommended that the Board of Regents approve the "University of Cincinnati--Northern Kentucky University Graduate Tuition Reciprocity Agreement" (copy attached to the minutes).

Reciprocity Agreement with U.C.

Dr. Scholes reported that the agreement is the culmination of discussions which began some two years ago regarding possible reciprocal arrangements between the major public institutions of higher learning in the Greater Cincinnati area. Basically, the agreement means that Northern graduate students may attend the University of Cincinnati at resident tuition rates and Southwest Ohio graduate students may attend Northern at resident tuition rates provided space is available and regular graduate admission requirements are met. The agreement is for limited tuition reciprocity at the graduate level in both universities excluding the following programs: Medicine, Nursing, and Business Administration at U.C. and Business Administration and Nursing at Northern. Northern will submit the document for consideration to the Kentucky Council on Higher Education and U.C. will submit the agreement for Ohio Board of Regents' consideration.

Mr. Martin moved that the agreement be approved as presented and the motion was seconded by Mr. Spears.

Upon Mr. Martin's question, Dr. Scholes explained that the program will be monitored at both institutions for a two-year trial period to see if the program is successful. Mr. Spears complimented the administration for the innovation and asked if it has happened at other institutions. Dr. Albright explained that while there have been tuition considerations at other institutions for certain out-of-state students, there is no agreement such as this. The President said he feels that the infusion of people from different settings and environment will be healthy for both institutions.

The motion was accepted by unanimous vote.

B-3A Dr. Albright recommended that the administration be authorized to develop with appropriate University faculty and staff a proposal for a joint MBA/JD program. The President explained that the University has been approached by several individuals within the Northern Kentucky community stating the need and desirability of such an approach to the preparation of business personnel. In addition to this need, there appears to be sufficient support at this time for exploring such a unique program. Proposal for joint MBA/JD program

Mr. Mann made the motion that the recommendation be accepted as presented. The motion, seconded by Mr. Spears, was unanimously approved.

The President complimented Pat Sommerkamp for a presentation made recently on what is transpiring in business and industry as well as the transition that is occurring at the decision-making level of corporate boards and top management administrators.

B-4 President Albright recommended that the revision and updating of the "Code of Undergraduate Student Rights and Responsibilities" be adopted. Dr. Claypool explained that the new code reflects changes in the structure of the University and the nature of the student body as well as changes in federal regulations. A copy is attached to the minutes. Code of Student Rights

Mr. Martin made the motion, seconded by Judge Poston, that the recommendation be adopted.

Mr. Spears noted that the appeals system for students issomething that would have been unheard of years ago and commended the administration on the courage displayed by presenting the document. Mr. MacKnight reported that Student Government had been involved in the updating of the code and is pleased with the final document as presented.

The motion was passed by unanimous vote.

B-5 The President presented a recommendation that the proposed changes and amendments to the Constitution and By-laws of the Athletic Council be accepted by the Board of Regents (copy attached to the minutes). Dr. Claypool reported that the document reflects several changes in the policies of the NCAA and the AIAW. The changes also define more clearly the naming of student members to the Athletic Committee as well as defining more precisely the eligibility requirements for athletes. Revised Constitution for Athletic Council

The motion was made by Mr. Martin and seconded by Mr. Mann that the Constitution and By-laws of the Athletic Council be accepted as presented. The motion passed unanimously.

B-6 Dr. Albright recommended that all University policies and procedures proposed to the Board of Regents be compiled in accordance with the attached format. Dr. Albright explained that this recommendation is in accordance with Board authorization given the administration to conduct a complete review of all University policies and procedures and to present new policy manuals for the Board's consideration. Most of the review has been completed and the document will be presented at the July meeting in line with the format being recommended. (University policies and procedures format)

The motion to accept the recommendation as presented was made by Ms. Sommerkamp. The motion, seconded by Mr. Mann, was passed by unanimous vote.

B-7 President Albright presented a recommendation that the Board of Regents adopt the two policy statements entitled "Governance of Northern Kentucky University" and "The President of the University" for inclusion in the Regulations Manual being prepared (copies attached to the minutes). The President noted that the Board does not have to follow all items in the document but that it does allow flexibility for the Board if it so chooses. The recommendation was unanimously adopted on motion by Ms. Sommerkamp and second by Judge Poston. (Governance Policy)

B-8 A recommendation that the President present to the Board at its next regularly scheduled meeting a reorganization plan that will effect organizational units in Student Affairs, Educational Services, and Legal Education Program was offered for consideration. (Reorganization Plan for Student Affairs Educ. Services Legal Educ.)

Dr. Albright noted that as the result of the authorization of the Board on July 30, 1980 the administration has conducted a two-phase study of the University organization. Phase I has been completed and adopted and Phase II is reaching conclusion soon and will be presented to the Board at its next meeting if the above recommendation is approved.

The motion to accept the recommendation was made by Mr. Mann and was seconded by Judge Poston. The motion passed unanimously.

B-9 Dr. Albright recommended that the title of Business Manager be changed to Executive Assistant to the President for Business Affairs; and the title of Director of Accounting and Budgetary Control be changed to Controller to better define the duties and responsibilities of those individuals presently occupying the positions. The President compliment both individuals (C.M. Tabor and Mike Baker) for the accomplishments made in the Business Affairs area. Mr. Martin made the motion that the recommendation be approved. The motion, seconded by Ms. Sommerkamp, was unanimously approved. (Exec. Asst. to President for Business Affairs and Controller - Title Change)

B-10 It was recommended that the Board authorize the Business Manager to write-off student receivables as bad debts in the amount of $2,968.64. The President noted that the Business Affairs area has done a superb job of collecting bad debts but there are some that are deemed uncollectible by our collection officer and the collection agency. Mr. Tabor said that Northern's bad debt collection is about 9%, one of the lowest in the country. The recommendation was unanimously approved on motion by Judge Poston and second by Mr. Martin. (Write-off of Student Receivables)

B-11 A recommendation that the Board authorize the administration to assign uncollectable National Defense/Direct Student Loans to the U. S. Department of Education was presented. The assignment will be in the amount of $35,577.11. Mr. Tabor explained that the assignment needs to be made prior to June 30, 1981 because after that date the Federal government will be limiting this type of activity. If the assignment is made, it has the potential of reducing our default rate by an estimated 2%. The recommendation was unanimously approved on motion by Mr. Spears and second by Judge Poston. Assignment of NDSL Loans

B-12 Dr. Albright recommended that a resolution pertaining to the 1981-82 annual operating budget be approved (resolution attached to the minutes). The President explained that the budget had been prepared according to the policy approved by the Board at an earlier meeting pertaining to staff and faculty salaries. President Albright complimented Mr. Taulbee and his staff for the fine job done in view of the fact that many cuts had to be made. 1981-82 Operating Budget approved

Mr. Taulbee presented the budget along with an historical summary of revenues and other charts showing state appropriations for this biennium (copies attached). He explained that Northern's 5.6% reduction in 1981-82 state appropriations will not be as severe as expected because of an increase in tuition fees approved by the Council on Higher Education; however, the reduction will restrict development and expansion of programs. Despite the budget reduction, faculty and staff will be given salary increases ranging from 7.5% to 9.8% depending on merit and longevity. Very few new positions have been funded and only in critical areas.

If the economic situation continues and revenues now estimated should not be sufficient to equal the proposed budget of $23,695,000, the President shall take appropriate measures to reduce budget authorizations by amounts sufficient to insure that recurring expenditures do not exceed available revenues.

After further discussion of the budget, Mr. Martin moved that the budget be approved as submitted. The motion, seconded by Mr. Spears, was passed unanimously.

OTHER BUSINESS:

C-1 The President stated that preparations have begun for the budget request for the 1982-84 biennium.

A summary of the capital construction request (copy attached) was explained by Mr. DeMarcus. Most of the projects were requested in this fiscal year, but many are on hold. He explained that after a building is approved, it takes nearly four years until the building is ready foroccupancy and often the amount approved does not have an inflation rate figured in the totals. 1982-84 Capital Construction Request

On motion by Judge Poston and second by Mr. Martin, the capital construction budget request for the 1982-84 biennium was unanimously approved.

C-2 Chairman Lucas reported on a meeting with Governor Brown last week. He commented that there have been seven Governors since he has been on the Board of Regents and this was the first time for a meeting of this kind with the Governor and Chairmen of Boards of Regents of all State institutions. The Governor challenged the Chairmen to be creative and innovative, to use cost containment measures whenever possible, and to scrutinize all expenditures. The Governor suggested a self-study of programs and operations be accomplished by each institution.

Mr. Spears offered to make available, upon a request by the University, the services of Ashland corporate specialists who could assist in analyzing and reviewing management needs of the University.

C-3 Dr. Albright reported that the Council on Higher Education will appoint a consulting firm to look at the management of the eight institutions plus the community college system. The Council staff agreed that the Chairman of the Committee of Presidents (President Albright) would appoint a committee of three of the presidents to review three proposals and make appropriate comments. The President noted that the audit and any recommendation would be helpful to Northern.

The regularly scheduled quarterly meeting will be July 29, 1981 at 4 p.m.

The meeting was adjourned at 6:15 p.m. on motion by Mr. Mann and second by Judge Poston.

E.J. Haas, Jr., Secretary

Dolores Thelen

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its special meeting on June 23, 1981 and that such matters are still in force and effect.

E.J. Haas, Jr., Secretary

Dated this 6th day of July, 1981

AGENDA - July 29, 1981

CALL TO ORDER AND INVOCATION

INSTALLATION OF NEW REGENT - Mr. Dave Duncan

ROLL CALL AND WELCOME OF GUESTS

MINUTES OF PREVIOUS MEETINGS - Quarterly - April 29, 1981
Regular - June 23, 1981

ELECTION OF OFFICERS - Chairman, Secretary, and Assistant Secretary

A. PRESIDENTIAL REPORTS

1. Grants and Contract Activity
2. Campus Development Reports
3. University Curator and Archivist
4. Summer School Enrollment
5. Quarterly Financial Report - a summary report has been given out to each Regent
6. Report on Re-organization = handed out
7. Fm. And audit Report:

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel
   a. New Faculty
   b. Other Academic Changes - another change was handed out (L. Wallace)
2. Non-Academic Personnel
3. Fire Protection Service
4. Affirmative Action Plan
5. Reorganization Proposal
6. Controlled Admissions Proposal
7. Governing and Administrative Regulations
   7a - Organization of University Faculty Senates
8. Personnel Policies Manual (G 4)

PRESIDENTIAL RECOMMENDATIONS (continued)

9. Faculty Handbook - some correction pages have been handed out

10. Financial Exigency Policy

11. Scholarship Policy Amendment

12. Revisions to 1980-81 Budget

13. Fund Balance Recommendation

14.

15.

C. OTHER BUSINESS

1. Meeting dates for current fiscal year
   Suggested dates: Wednesday, October 28, 1981
   Wednesday, January 27, 1981
   Wednesday, April 28, 1982

2.

3.

ADJOURNMENT

BOARD OF REGENTS MINUTES
Wednesday, July 29, 1981

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order by Chairman Lucas at 4:30 p.m. on Wednesday, July 29, 1981 in the University Center Ballroom. The invocation was given by Father Schmidt.

The chairman welcomed all present and greeted the newly appointed regent, Mr. David R. Duncan, Jr. as an outstanding citizen. Mr. Duncan was given the Oath by Chairman Lucas.

ROLL CALL:

Regents present - Mr. David Duncan, Mr. Philip Greene, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Ms. Pat Sommerkamp, Mr. Richard Spears, Dr. Jeffrey Williams. Excused - Mr. John Yarmuth.

Others present - President A. D. Albright, Counsel John Brooking, Dr. Gene Scholes, Dr. Lyle Gray, Dr. Ralph Tesseneer, Mr. John DeMarcus, Mr. Robert Knauf, Dr. James Claypool, Mr. Dennis Taulbee, Mr. Clarence Tabor, Ms. Dolores Thelen, Ms. Kim Hennessy, Dr. Roger Meade, Ms. Denise Hough, Mr. Martin Huelsmann, Mr. Gregg Schulte, Mr. Mike Baker, Associate Provost Mike Klembara, Dean Darryl Poole, Dean William Jones, Father Louis Schmidt, Dr. James Fouche, Mr. William Lamb, Dr. George Rogers, Mr. Gary Johnston, Ms. Perk Rudd, Ms. Debbie Ryan of WCKY Radio, Ms. Cindy Schroeder of the Kentucky Enquirer, Mr. Brent Meyer of The Northerner, and Mr. Al Salvato of the Kentucky Post.

The minutes of the quarterly meeting held April 29, 1981 and special meeting of June 23, 1981 were unanimously approved as submitted on motion by Mr. Haas and second by Mr. Martin.

ELECTION OF OFFICERS:

It was moved by Mr. Martin that Kenneth Lucas be nominated Chairman of the Board of Regents for the next fiscal year, that Elmer Haas be nominated Secretary of the Board, and that Dolores Thelen be nominated Assistant Secretary. Ms. Sommerkamp seconded the motion. The motion was passed unanimously and those nominated were elected to office.

PRESIDENTIAL REPORTS:

A-1 President Albright presented the Grants and Contract Activity report pointing out the fact that at the end of this fiscal year the University had received $1.2 million from grants and contracts. Compared to $603,000 last fiscal year the figures indicate the good work accomplished by Dr. Meade and Ms. Hough. This also reflects the interest of the faculty in writing requests for the additional money. — Grants and Contracts

A-2 Mr. DeMarcus reported there had been little change in the mailed report on campus development. It is hoped that furniture will arrive soon in order for the Regents to be able to use their new board room in the Administrative Center. — Campus Development

A-3 The President stated that the report on University Curator and Archivist gave the Board information on the position which was approved at last Board Meeting. — Curator and Archivist

A-4 The Summer School enrollment report indicated a 14% increase over last year. It is also hoped that the fall enrollment will continue increasing as it has in the past. — Summer School Enrollment

A-5 Mr. Tabor noted that the Regents were given an abbreviated report in lieu of the fact that the audit for the fiscal year has not been completed. A complete audit report will be available at the October meeting. — Financial Report

A-6 As a result of Board action at the special meeting, the President asked the Dean of Chase College of Law to consider compacture of the two current programs and any other alternative plans or proposals. Dean Jones stated that the report was presented to give an idea of the options being explored and a more concrete proposal will come before the Board in October. — Law School

A-7 The President stated that the audit of student financial aid funds has been completed for compliance with federal regulations and was being passed on to the Regents for their information. Upon Dr. Williams' question on the availability of federal funds this fiscal year, President Albright stated that the full impact will not be felt until the fall due to the fact that the Federal government's fiscal year is October 1 through September 30. — Financial Aid Audit

PRESIDENTIAL RECOMMENDATIONS:

B-1a Dr. Williams moved that the following new faculty members be appointed: — New Faculty

Charles Apple	Paul Ellis	Linda Newman
Mohamed Bayou	Sue Gunkel	Barbara Rees
Carroll Berringer	Margaret Kraemer	Dennis Tischlias
J.C. Brandenburg	Karen McGahan	Gail Wells
Nancy Campbell	Harold Moore	Michael Wiesner

The motion was seconded by Mr. Grone and was passed unanimously.

B-1b A motion was made by Mr. Martin and seconded by Mr. Haas that the following academic appointments, leaves, and resignations be accepted as recommended: — Faculty Appointments, Terminations, and Leaves

Appointments -
- Rose Stauss as Interim Chairperson of Fine Arts
- H. Lew Wallace from Chairperson of History to Professor of History and Director of Oral History

Resignations -
- Leonore Ganschow, Director of Learning Assistance Program
- Michael Ritchie, Assistant Professor of Library Services

Leaves -
- Kenneth Beirne, unpaid leave of absence for 1981-82 academic year
- Charles Frank, unpaid leave of absence for 1981-82 academic year
- Ronald V. Singer, unpaid leave of absence for 1981-82 academic year

The motion was passed unanimously.

B-2 In presenting the non-academic personnel actions, the President noted that our high turn-over of personnel in this area is partially due to our lower pay scale and the job opportunities available in the community. To the list of actions attached to these minutes, Dr. Scholes added one as follows: That Surinder Ram be granted a one-year's leave of absence without pay. The recommendations were unanimously approved on motion by Ms. Sommerkamp and second by Mr. Grone. Non-Academi Personnel Actions

B-3 President Albright recommended that the administration be authorized to contract with the Cold Spring Volunteer Fire Department for fire protection services to the University covering the 1981-82 fiscal year, or any appropriate part of that year depending upon the availability of funds, not to exceed the sum of $15,000 on an annual basis of twelve months. Dr. Albright stated that after discussions with the local agencies as well as state officials this seems to be the solution at this time. The motion, seconded by Ms. Sommerkamp, was made by Mr. Mann and was passed unanimously. Contract for Fire Services

B-4 Ms. Kim Hennessy spoke on the revised Affirmative Action Plan being presented to the Regents for approval. She noted that major additions to the plan include the development of hiring goals and timetables for minorities and women in the faculty/staff area, the plan to conduct an annual salary equity study, and the development of an affirmative action monitoring system for all new hires, promotions, transfers, and reassignments. Mr. Grone inquired if Ms. Hennessy sat on search committees. She indicated she assisted committees by outlining the University goals and monitored the committees' actions as hiring progressed. The revised Affirmative Action Plan was unanimously approved on motion by Mr. Mann and second by Mr. Spears. Affirmative Action Plan approved

B-5 Noting Board approval authorizing the President to propose reorganization plans for various sections, Dr. Albright recommended (1) that the University's organizational structure be modified to consolidate Educational Services and Student Affairs to form a new organizational unit entitled Student Services with sub-headings Student Life, Student Matriculation, and Student Development. (An expanded chart is attached to these minutes.) and (2) that a four-person committee be appointed by the President to study and make recommendations to the President's Office on the administrative structure and personnel changes deemed necessary to best accomplish this reorganization. Dr. Scholes explained that the consolidation of Educational Services and Student Affairs into a comprehensive Student Services unit represents a change in philosophy as well as organizational structure and, hopefully, gains a better interfacing with the students. Dr. Albright gave an affirmative reply to Ms. Sommerkamp's question if some members of Student Government had been involved. Student Services Reorganization

The President's recommendation was unanimously accepted on motion by Ms. Sommerkamp and second by Mr. Grone.

B-6 President Albright recommended a Policy on the Control of Undergraduate Admissions be approved noting that the Board had authorized the development of a contingency plan for controlling the admission of undergraduate students at the January meeting. (The complete policy attached to these minutes.) Provost Gray explained that the policy will allow for selective admission procedures similar to the ones now being used in Nursing, Radiologic Technology, Social Work, Human Services, and Education programs. The current procedures will be reviewed, modified as necessary, and extended to other programs. When Chase has moved to the Highland Heights campus, this will allow the University to use the West campus as an educational opportunity center and continue the practice of open admissions.

Controlled Undergraduate Admissions

The President further explained that with increasing enrollments and declining revenues and with the unlikely prospect of new construction, the University will have to come up with innovative ways to accommodate the residents of this community.

Mr. Martin moved acceptance of the President's recommendation. The motion, seconded by Mr. Haas, was passed unanimously.

B-7a It was recommended by the President that the University administration be authorized to develop further a plan for the organization of a University Senate; in the development of the plan, appropriate consideration will be given to recommendations made by the Faculty, Senate, Student Government, staff, and administrative officers; the plan should be submitted to the Board no later than the regular quarterly meeting in January, 1982. The President explained that an overall University body, representing the four major segments of this institution, has been under varying degrees of study and consideration for some three years, as well as receiving advice from consultants.

University Senate

Dr. Williams stated that he felt any proposal for a University Senate should receive the approval of the faculty, students, and staff before coming before the Board. Dr. Albright noted that even though something comes to the Board which has been approved by groups it is still the Board's responsibility to set policy for the University, and that policies do not become effective until approved by the Board.

Dr. Albright stated that he felt the future of higher education in the next few years is going to depend on how cohesive all segments of an institution become and how well they can function together. The "meism" that developed in the 60's and early 70's is no longer appropriate in social institutions and our survival will depend on being amenable to changes as they occur.

B-7 The President presented the manual of Governing Regulations-Administrative Regulations of Northern Kentucky University for consideration, explaining that it represents the initial step in organization of all University policies and procedures in one document. Most of the policies and procedures have previously been approved by the Board. This sort of organization of policies, according to the President, has been needed for some time and became more evident during the recent court proceedings, as it is more impressive to present

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B-7 (continued)

an organized manual to the court than bits and pieces of manuals and unbound policies. Governing Regulations - Administrative Regulations approved

After ascertaining that there were no substantive changes, Mr. Mann moved that the manual be approved as presented. The motion, seconded by Mr. Duncan, was passed unanimously.

B-8 President Albright recommended that the proposed policy on Sexual Harrassment (attached to these minutes) be approved by the Board of Regents as recommended by the University's Personnel Policies Committee to be effective this date, explaining that this is a needed policy in our personnel manual. Mr. Haas moved and Mr. Duncan seconded a motion to accept the policy as presented. The motion passed unanimously. Sexual Harrassment Policy

B-9 A Faculty Handbook was presented to the Board for approval by the President, who said the proposed manual has been under study and revision for nearly a year. The handbook had two legal reviews and was approved by the faculty. Dr. Albright complimented Ms. Hennessy for the tremendous amount of work on the document. Several correction pages are being handed out today inasmuch as there were some editorial corrections that needed to be made. Faculty Handbook approved

Dr. Williams noted the financial exigency policy section recognizing the fact that the University needs such a policy and is under acute time pressure to devise one. He said he felt consultation with the faculty on this policy has been inadequate. He expressed the desire to give the faculty an opportunity for emergency review since many are away for the summer. He further felt that there would be an "explosion" this fall when it goes before the Faculty Senate.

Dr. Albright said that it was hoped that this institution would not be faced by a financial emergency; however, no institution can afford to be without some kind of policy that prevents capricious judgment on the part of the administration. The University is facing a budget cut that has to be handled next week, not at the October's board meeting, and the institution should not be faced with an absence of policy.

A motion was made by Mr. Haas and seconded by Mr. Mann that the Faculty Handbook be approved as presented.

Dr. Williams moved an amendment to delete Section V of Article VI - Financial Exigency and Program Change. He expressed the idea that this delay will give an opportunity to call the faculty together to discuss and bring back some possible recommendations to be considered by the administration and the Board. The amendment was seconded by Mr. Haas.

Mr. Spears said that while he had great sympathy for the feelings of the faculty, it is the Board's responsibility to prudently authorize expenditures of the University and to protect the institution by setting policies to provide for such protection in a fair and equitable manner. Counsel Brooking stated that the only policy the institution

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B-9 (continued)

has now is a vague one provided by the American Association of University Professors. The vague policy was even more evident as the University faced some recent court cases. Mr. Haas, Mr. Mann, and Mr. Duncan expressed their concerns that the University be protected and consideration for any changes in the policy could be discussed at future meetings.

Mr. Haas moved for the question. The motion was seconded by Mr. Martin.

Vote on the amendment: Aye - Dr. Williams. Nay - Mr. Duncan, Mr. Grone, Mr. Haas, Mr. Mann, Mr. Martin, Ms. Sommerkamp, Mr. Spears. The motion lost.

Vote on accepting the handbook in its entirety: Aye - Mr. Duncan, Mr. Grone, Mr. Haas, Mr. Mann, Mr. Martin, Ms. Sommerkamp, Mr. Spears. Nay - Dr. Williams. The motion carried. Dr. Williams said his nay vote was not against the handbook, but just that section on financial exigency.

Mr. Haas recommended a statement that the Regents would be pleased to have any amendments which the Faculty Senate entertain, presented to the President for consideration, who in turn would present to the Board. The motion, seconded by Mr. Duncan, was passed unanimously.

B-10 No action as policy was discussed in previous recommendation.

B-11 Dr. Scholes proposed amendments to the scholarship policy (copy attached to these minutes). Mr. Haas moved that the amendments be accepted as recommended and the motion was seconded by Mr. Martin. Ms. Sommerkamp questioned the reduction of out-of-state scholarships because students from other areas would bring diversity to the campus. While the President acknowledged diversification is needed on a campus, he felt many out-of-state students will still register,expressing concern that the University should feel an obligation to Northern Kentucky students. The scholarship programs come from operating monies that could be used to benefit Commonwealth students. Revised Scholarship Policy

Mr. Grone felt that foreign students are advantageous to a campus and with phasing out those scholarships he wondered if this would cut down that segment of enrollment. The President felt that if the University offered quality programs, foreign students would continue to enroll as many receive stipends from their governments. Mr. Tabor said that he had surveyed other regional institutions and found that Northern does more in the way of scholarships than the seven surveyed.

The motion to accept the recommendation was passed with seven aye votes and one abstention by Mr. Grone.

B-12 The President recommended that the expenditure authorization for 1980-81 be adjusted to $23,428,101 to reflect an additional $26,900 in Continuing Education and Seminar income, $140,000 in investment income, $33,58[illegible] in student generated income, an increase of $89,525 in restricted grants and decreases of $476,660 in allocated fund balance, and $10,839 in fees from Legal Continuing Education. The recommendation was unanimously accepted on motion by Mr. Martin and second by Mr. Spears.

Adjustment of 1980-81 Budget

B-13 It was recommended that the President be authorized to allocate for non-recurring activities all University fund balances as they become available and report to the Board quarterly all allocations made. A complete picture of the total fund balance will not be ready until the final audit of 1980-81 is finished. At that time, a list of projects will be presented to the Board equaling that amount and reporting on the status of projects underway. Mr. Haas moved that the recommendation be accepted as presented. The motion, seconded by Mr. Martin, was passed unanimously.

Fund Balance 1980-81

B-14 President Albright presented a report of possible options for reducing the University's 1981-82 budget by $735,100 as mandated by the Governor's office this past week. The President stated that he was reasonably sure the University would not be infringing on any faculty contracts or increasing tuition with the latest cut but if there is another budget cut, we'll go beyond the muscle. The next cut will take us into the bone marrow. The President again mentioned the fact that the impact of federal cuts will not be felt until after October 1. The faculty and staff will need a high degree of understanding as the options are being explored and implemented. The President stated the report was to set a pattern in which the administration will move, and will present to the Board for its ratification the changes and reductions made.

1981-82 Budget Cut

Mr. Haas moved that the administration proceed in accordance with the outline to cut some $735,100 from the current revised budget. The motion, seconded by Ms. Sommerkamp, was passed unanimously.

B-15 President Albright recommended that the Board authorize the acceptance of the Norseman Club assets and transfer same to the Foundation. Mr. Martin moved that the recommendation be approved. The motion was seconded by Mr. Mann and was passed unanimously.

Norseman Club assets to Foundation

OTHER BUSINESS:

C-1 Future quarterly meeting dates were set as follows: Wednesday, October 28, 1981
Wednesday, February 3, 1982
Wednesday, April 28, 1982

C-2 Mr. Haas asked that correspondence received from the Board of Governors of the American Bar Association be recognized. Letters were received from Donald E. Waggoner and Richard S. Nelson along with a Resolution passed by the Board of Governors of Kentucky Bar Association concerning Chase College of Law. (Correspondence and resolution attached to these minutes.) Chairman Lucas will acknowledge the correspondence with appreciation for the concern for Chase College of Law.

The meeting adjourned at 7:15 p.m. on motion by Mr. Haas and second by Mr. Martin.

E.J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on July 29, 1981 and that such matters are still in force and effect.

E. J. Haas, Jr., Secretary

Dated this 14th day of August, 1981.

AGENDA - ~~Wednesday~~ Oct, ~~September~~ Oct 28, 1981

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES of Previous Meeting - July 29, 1981

A. PRESIDENTIAL REPORTS

1. Grants and Contract Activity
2. Fall Enrollment Report
3. Summer Sessions Report
4. Campus Development
5. NKU Alumni Association
6. Student Housing Report
7. Legal Programs Compacture Study
8. SA/ES Reorganization
9. Audit of 1980-81 Financial Records
10. Quarterly Financial Report (July-October 1981)
11. Financial Exigency Report (still to be mailed?)
12. Biennial Budget Report

B. PRESIDENTIAL RECOMMENDATIONS

C Gray

1. Academic Personnel
2. Non-Academic Personnel
3. Personnel Policy and Procedure Manual
4. Student Housing Building Designations
5. Financial Aid Program
6. Fund Balance Allocation
7. 1981-82 Operating Budget Revisions

PRESIDENTIAL RECOMMENDATIONS (continued)

8. 1982-84 Biennial Budget Request

9. Supplemental Capital Construction Budget Request

10. Amendment to Student Government Constitution

11.

C. OTHER BUSINESS

1. Distinguished Service to Higher Education Award
   from Council for Advancement and Support of Education
   (Dr. A. - I'll bring the award along to display)

2.

NEXT MEETING - Wednesday, February 3, 1982

ADJOURNMENT

BOARD OF REGENTS MINUTES
Wednesday, October 28, 1981

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:15 p.m. on Wednesday, October 28, 1981 by Chairman Kenneth Lucas. Invocation was given by Dr. Tesseneer.

Regents present: Mr. Philip Grone, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Ms. Pat Sommerkamp, Dr. Jeffrey Williams. Excused: Mr. Dave Duncan, Mr. Richard Spears, Mr. John Yarmuth.

Others present: President A. D. Albright, Counsel John Brooking, Dr. Gene Scholes, Dr. Lyle Gray, Dr. Ralph Tesseneer, Mr. John DeMarcus, Mr. Robert Knauf, Mr. Clarence Tabor, Mr. Dennis Taulbee, Alumni Representative Jack Burleson, Ms. Dolores Thelen. Other faculty, staff, and students are listed and attached to these minutes. Media representatives - Regina Ferrante of The Northerner, Bonna Jackson of WCKY Radio, Cindy Schroeder of the Kentucky Enquirer, and Al Salvato of the Kentucky Post.

The chairman declared a quorum with seven voting Regents present. Chairman Lucas welcomed the new Alumni representative, Mr. Jack Burleson, and stated that the Board is pleased to have an alumni representative present.

The minutes of the previous meeting, July 29, 1981 were accepted on motion by Mr. Mann and second by Mr. Haas.

A-1 Upon presenting the Grants and Contract Activity report, the President noted that the amount of money received this quarter is down from last year which is understandable due to the economic situation at this time. He noted also that the faculty members who are drafting proposals have increased in number and, hopefully, if there is any money available, Northern will get is share. — Grants and Contracts

A-2 The President reported that the fall enrollment figures show a 7% increase in head-count and about the same percentage increase in full time equivalency, which is a meaningful figure so far as future funding is concerned. Chase enrollment is down as a result of Board policy. Nearby universities have been showing decreased enrollment and they expect to have considerably more decreases next year. — Fall Enrollment

A-3 President Albright presented the Summer Sessions Report noting that the summer programs are self-supporting. — Summer Sessions

A-4 In highlighting the Campus Development report, Mr. DeMarcus noted that the Administrative Center is complete except for some of the furnishings; that the computerized supervisory maintenance control system, which cost $800,000, is in operation and there should be a report in the near future on the savings to this institution. Mr. DeMarcus further noted that renovation of Nunn Hall is scheduled for completion in mid-December and Chase College of Law will begin their move prior to the Spring semester; that renovation of the Natural Science Center is nearly complete; and that construction work on the Storage Facility began in mid-October. The Health Center, Pedestrian Bridge and Four-laning of Nunn Drive are still awaiting funding release. — Campus Development

A-4 Moving to A-6 Mr. DeMarcus reported that the dormitories are now 90% complete and will be ready for occupancy by the Spring semester. Mr. Gary Eith, Director of Residential Life, showed slides of the two buildings with Mr. DeMarcus giving the explanations. It was explained that one-third of the available spaces are accessible to handicapped with a van available to transport these students on the campus.

A-5 Dr. Albright reported that the Board gave authority for the designation of the Alumni-Reception Center and that some interior work as well as new parking has been completed. To carry on further ties with the Alumni Association, an Alumni representative has been invited to attend Board of Regents meetings - their present representative is Jack Burleson, who was introduced earlier and who will serve as a resource in areas of special interest to alumni.

Alumni Association

A-6 Reported in A-4.

A-7 The legal programs report (attached to these minutes) shows progress being made on the earlier Board resolution for program development at the Law School. The most significant change would be reorganization into a single program to accommodate both full-time and part-time students with the goal to improve the quality of legal education offered. The total number of students in the program (both full- and part-time) will be maintained at approximately 360 FTE, with more selective admissions. It was noted by the President that a committee appointed by the Council on Higher Education had recommended the elimination of one law school in the state; however, since a part of the committee filed a minority report stressing that if all law schools in the state began more selective admissions, the number of entering students could be reduced. Also the report indicated that the Council should not only consider law schools but all other professional schools in the state. The President complimented Henry Mann and Betty Daniels for the part they played on the committee and in filing the minority report mentioned. As a result, the Council on Higher Education passed a resolution at their last meeting that the staff of the Council set up criteria for all professional schools and report back by December 31. Mr. Mann said that he was most pleased with the Council action and that he was also impressed with the manner in which the Courier-Journal, Kentucky Enquirer, and Kentucky Post reported on this matter. Dr. Albright reported that discussions will continue with some members of the Northern Kentucky Bar Association, some members of the Kentucky Bar Association, legislators, and individuals in State government to try to alleviate the problems.

Legal Programs Compacture Study

The President further pointed out that any program change for the law school will require approval by the American Bar Association, our accrediting agency.

A-8 A report on the progress of the reorganization of Educational Services and Student Affairs (copy attached to these minutes) was highlighted by Dr. Scholes. As a result of the study, applications for Dean of Student Affairs will be completed by November 6. The Dean of Student Affairs will begin the implementation of the report.

SA/ES Reorganization

A-9 President Albright presented the Annual Financial Report for 1980-81 and read a letter from the audit firm, Rankin, Rankin, & Co. complimenting the University on one of the most attractive, professionally put-together reports that their firm had ever worked on. The President noted that letters of this kind are not customarily received and thanked Mr. Tabor, Mr. Baker, and others who were responsible for the fine report. 1980-81 Financial Audit

A-10 The quarterly financial report for July-September, 1981 was presented, copy attached to these minutes. Quarterly Financial Report

A-11 The President introduced the report on Financial Exigency by noting that in July the Board, in expectancy that there might be a budget reduction, adopted a policy of financial emergency. Five days after the board meeting in July it was announced that additional budget cuts could be expected. Fortunately, that did not prove to be true. In the course of the discussion on the policy statement in July, the Board asked its executive officer to work with the faculty and others in exploring improvements of the statement, and the Board would receive any amendments which the Faculty Senate proposes and which have been presented to the President. In keeping with the board's directive, the policy statement recommended by the Faculty Senate (copy attached to these minutes) is being presented. The President further reported that he had asked the Affirmative Action officer to work with the faculty in the development of the policy. Financial Exigency

Faculty Regent Williams spoke to the report noting that his statement was an attempt to represent a variety of faculty reaction to the July 29th policy. He reported that many faculty members were dissatisfied with the July policy because of apparent abrogation of tenure, inclusion of program change unrelated to financial exigency, method of selection of committee to advise President, and the way in which the July 29th policy was approved without adequate faculty review because of summer absences. Some faculty feel that the old policy dating from the 1978 handbook was better even though it lacked details of implementation. Some faculty feel that legally the Board cannot unilaterally modify an existing contract or that it may be illegal to alter the policy which applied when they gained tenure or were first hired. There has been a great deal of resentment over the confusing rumors and counter-rumors on campus in September as to whether the policy was to be implemented immediately or not. Some faculty secured unofficial legal opinions which indicated that the policy increases risks of suits against the University and potentially places the University in a vulnerable legal position.

Dr. Williams further reported on the manner in which the Faculty Senate developed the replacement for the July 29th policy: professional concerns committee of the Senate drafted policy with wide input from all faculty; executive committee of the Senate discussed points at issue with cooperative administration; Faculty Senate approved the policy by a vote of 29 ayes and 3 nays; and finally, further discussion between Senate leaders and administration on remaining points at issue. To offset poor morale of the faculty and benefitting long-term welfare of the institution, Dr. Williams moved that the policy statement on Financial Exigency prepared by the Faculty Senate be adopted to replace the July 29th policy. The motion was seconded by Mr. Martin to put the proposal up for discussion.

A-11 (continued)

Dr. Albright noted that in the discussion and presentation of the July 29th policy, it was indicated that improvements and amendments could be made at any time. Mr. Lucas indicated that the Regents desire to come up with the best workable policy for protecting the Regents, the University, and the Faculty.

Student Regent Grone presented a statement which he desired entered into the minutes as follows:

"As Student Regent, I should like, at this time, to read a statement into the record as as a preface to, and explanation of, the vote I intend to cast on the question before the Board.

"The July 29 vote of the Board of Regents which approved a financial exigency policy for Northern Kentucky University was clearly unacceptable to the great majority of the faculty. This has been definitely reflected in the 29-3 vote of the Faculty Senate on October 12 which ratified the document the Board has before itself now and condemned the policy as enunciated in the July Document as an abrogation of faculty rights.

"Negotiation between the Administration and the faculty, specifically the Executive Committee of the Faculty Senate, has occurred since July 29 and the serious and diligent manner in which these negotiations have taken place are to be commended. While consensus on some items has been reached, disagreement on others has prevented a mutually acceptable policy from being developed. Quite understandably, faculty are concerned with the procedures outlined in the July Document as well as the threat they perceive to the concept of tenure. Recognizing the legal and moral responsibilities of the University, I would like to state that I am in support of the concept of tenure and the property rights incumbent with the granting of tenure, and conceivably, even of tenure-track. Additionally, I am in agreement with other points as stated by the Faculty Senate. However, I am not in total agreement with all aspects of the Senate Document and, indeed, find some Administration arguments on certain points more compelling.

"It is my concern, as a member of the Board of Regents, for the institutional welfare of Northern Kentucky University that <u>any</u> financial exigency policy, because of its extremely serious nature, must command the support of Administration and faculty. Therefore, I have come to the decision that I will offer the good offices of the Student Regency as mediator between the positions of the Faculty Senate and of the Administration and pledge myself to a successful resolution of this problem if this negotiating avenue is acceptable to both parties. In light of this offer of mediation, and so as not to prejudice any specific position, I will abstain from voting on the question before the Board."

Mr. Mann said that he would need to hear more from both the administration and the faculty before he could vote. He suggested that the Regents be given a report narrowing the differences down item by item. Mr. Martin also indicated that the Regents are receptive to differences of opinions and specific differences in the policies that could not be resolved between the administration and the faculty need to be outlined. Mr. Grone again said he felt the students are suffering from this issue and view it as a threat to their education. Mr. Lucas clearly pointed out that not one faculty member had lost

A-11 (continued)
a job because of the policy and did not feel that a mediator was necessary but that Regents would work in good faith with the administration and faculty to come up with an acceptable policy. Mr. Mann stated that he had confidence that the administration and faculty could work out the differences and come up with a workable plan. Dr. Williams said he felt the faculty would work in good faith toward an acceptable policy. Ms. Sommerkamp stated that she felt the Board lacked clarity and needed such clarification in order to understand the points of differences.

Mr. Haas moved that the previous motion be tabled for further consideration. The motion, seconded by Mr. Mann, was passed with 5 aye votes. A nay vote was cast by Dr. Williams.

Ms. Sommerkamp moved that the administration be directed to proceed about resolving a financial exigency policy that is acceptable to all parties with a point-by-point clarification of those differences that cannot be resolved. The motion, seconded by Mr. Mann, was passed with six aye votes.

The President said that the policy was approved in July after the University experienced three state budget cuts in 15 months and was unsure of what the immediate future might bring because of talk of additional cuts. He further noted that out of the 15% or $3.4 million in funds cut, not one faculty member was touched, but that such a policy was necessary to protect the University and the faculty with the idea that it could be amended as necessary. He said that in the redrafting of a new document as many persons as possible would be involved in the process.

A-12 The President called upon Mr. Taulbee, Director of the Budget, for a report on the 1982-84 biennial budget. Mr. Taulbee explained that because of statutory deadlines, a tentative copy of the request (which the Board will consider in later business) was submitted by the Council on Higher Education for review. Mr. Taulbee gave an in-depth report on the methodology employed by the Council staff in determining funding which differed substantially from previous years. A formula approach was used to determine levels of funding for each institution and also to establish equitable funding relationships between institutions. The formula approach will help Northern's position as it is based on FTE and headcount enrollments weighted for the unique program mix as well as other factors such as assignable square footage and debt service. President Albright thanked Mr. Taulbee for the fine report and felt this type of report would help the Regents understand the budget request to be considered later in the meeting.

Biennial Budget Report

A-13 Vice President Scholes reported that the Reciprocity Agreement between Northern and the University of Cincinnati has been approved by the Ohio Board of Regents, and has been recommended to the Council on Higher of Education in Kentucky. The implementation will begin by the Spring semester. The President complimented Dr. Scholes for his work on the project which took nearly three years to complete.

Reciprocity Agreement with U.C.

PRESIDENTIAL RECOMMENDATIONS:

B-1 Provost Gray made the following academic personnel recommendations for consideration: (Academic Personnel)

Appointment - Michael Adams as Chairperson of History & Geography Department

Resignations - Mercedes Ballou, Teresa Domzal, Clyde Lindsay, Donald Phillips, and Russell Yerkes.

Leave - Carol Futhey, maternity leave for Spring Semester of 1982

Promotion - Lawrence Borne, from rank of Associate Professor of History to Full Professor of History

Adjunct Clinical Professors in Nursing -

James Allen, M. D.	Robert Longshore, M. D.	David Slaughter, M. D.
Thomas Bunnell, M. D.	Harold Marksberry, M. D.	Robert Smith, M. D.
Harold Frickman, M. D.	Harry Mills, M. D.	Nancy Swikert, M. D.
Agnes Hoehn, N. P.	John Naber, M. D.	Raymond Timmerman, M. D.
Ralph Huller, M. D.	Donald Saelinger, M. D.	William Waller, M. D.
R. D. Kruer, M. D.	James Schrand, M. D.	William Yates, M. D.

Adjunct Professors in Med Tech - Victor Cabanas, M. D., Henry Russell, M. D.

Adjunct Clinical Instructors in Med Tech - Marianne Otte, Helen Pater

The Provost noted that Michael Adams is a distinguished Professor of History, well recognized in the United States and Great Britain and has published a book through Harvard University Press.

Dr. Williams moved that the recommendations be approved as recommended. The motion, seconded by Ms. Sommerkamp, was unanimously accepted.

B-2 A list of non-academic personnel actions (attached to these minutes) was recommended by the Vice President. The recommendation was accepted on motion by Mr. Haas and second by Mr. Martin. (Non-Academic Personnel)

B-3 The policy on Position Classification System (attached to these minutes) was presented by the President who stated that this policy is basically in effect now. The policy on Position Classification System (Section C. 8 of the Personnel Manual) was accepted unanimously on motion by Mr. Mann and second by Mr. Martin. (Position Classification Policy)

B-4 President Albright recommended that the complexes contained within the Student Housing project be named "East Commons" and "West Commons". The recommendation was approved on motion by Mr. Haas and second by Mr. Martin. (Names for Dorms)

B-5 A Financial Aid policy (attached) was recommended by the President who stated that Director of Financial Aid, Charles Gray, was present to answer questions. There being no questions, the recommendation was approved on motion of Mr. Haas and second by Mr. Grone. (Financial Aid Policy)

B-6 President Albright recommended that the University's reserves of $2,854,100 be allocated according to the scheduled outline furnished the Regents (attached to these minutes) and in the 1980-81 annual Financial Report for expenditure in the current fiscal year. The motion to approve was made by Mr. Martin, seconded by Dr. Williams, and was passed unanimously. (Allocation of Reserves)

B-7 The President recommended that the expenditure authorization for 1981-82 be adjusted downward by $735,100 to $22,959,900 to reflect the decrease in General Fund appropriation mandated by the Commonwealth in July, 1981. The chart demonstrating the impact which the reduction will have on specific programs of the institution is attached to these minutes. The recommendation was approved on motion by Mr. Haas and second by Ms. Sommerkamp. 1981-82 Budget Revision

B-8 Dr. Albright presented the 1982-84 Biennial Budget Request and recommended its approval. The request is for an increase in state appropriation to $21,865,308 in FY 1981 and $24,113,766 in FY 1984. The request was prepared in accordance with guidelines established by the Council on Higher Education as reported by Mr. Taulbee earlier in the meeting. Mr. Martin moved that the recommended 1982-84 Biennial Budget Request be approved as recommended by the President. The motion, seconded by Mr. Mann, was passed unanimously. 1982-84 Biennial Budget Request

B-9 It was recommended by the President there be a supplemental request to the 1982-84 Biennial Budget Request for Capital Construction. This request includes $50,000 for an engineering study to determine the capacity, routing, and cost of projects for critical sewer line development. It also includes $400,000 for the renovation and equipping of a portion of the third floor of Landrum Hall for a public radio station. Mr. Mann moved that the recommendation be approved. Dr. Williams seconded the motion which was unanimously passed. Supplemental Capital Construction Request

B-10 The President presented a recommendation that amendments to Student Government Constitution pertaining to the establishment of a Residence Hall Council (attached to these minutes) be approved. Mr. Grone reported that the amendments had been voted on and passed by the student body, and made a motion to approve the amendments. The motion, seconded by Mr. Haas, was passed unanimously. Stu. Govt. Constitution Amendments

B-11 The Fire Protection Contract between the University and Cold Spring Volunteer Fire Department has been drawn up as a result of the Regents' vote at last meeting. The contract has been signed and needs to be ratified by the Regents. Mr. Haas moved approval, seconded by Ms. Sommerkamp, and passed unanimously. Fire Protection Contract Ratified

OTHER BUSINESS:

C-1 The President reported that Northern Kentucky University received a Distinguished Service to Higher Education Award from the Council for Advancement and Support of Education, commending governing boards for their role in our nation's educational development. President Albright commented that the Board of Regents of Northern should be proud of this award

C-2 President Albright introduced Dr. Debra Pearce, President of the Faculty Senate, stating that it has been a pleasure working with her on faculty issues. Also introduced was Dr. Ted Weiss, chairman of the Professional Concerns Committee of Faculty Senate.

The next regularly scheduled quarterly meeting is Wednesday, February 3, 1982.

The meeting adjourned at 7:15 p.m. on motion by Mr. Haas and second by Mr. Grone.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on October 28, 1981 and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 17th day of November, 1981

Other faculty, staff, and students attending:

Perk Rudd	Kim Hennessy	Mary McGhee	Carla Chance
Denise Hough	Cindy Dickens	Gregg Schulte	Mike Baker
George Rogers	Bill Jones	Gary Eigh	Bill Lamb
Roger Meade	Gary Armstrong	Dave Phillips	Dan Alford
Billie Brandon	Debra Pearce	A.J. Pinelo	M. McPherson
Jonathan Bushee	Bill Oliver	Jim Ramage	Ted Weiss
Sam Bucalo	Allison Brewer	David Payne	Michael Adams
Pam Juengling	Becky Sturm	Henry Stephens	Charles Gray
Mary Paula Schuh			

AGENDA - Tuesday, March 9, 1982

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES of Previous Meeting - October 28, 1981

A. PRESIDENTIAL REPORTS

1. Grants and Contracts Activity
2. 1982 Spring Enrollment
3. Academic Calendar
4. Summer Fellowship Program
5. Professional Staff Exchange Program
6. Computer Utilization Project
7. Campus Development
8. Quarterly Financial Report - September--December, 1981
9. 1982-84 Executive Budget
10. Annual Report of the President

B. PRESIDENTIAL RECOMMENDATIONS

1. University Personnel

   a. Academic Personnel
      (1) New Faculty
      (2) Adjunct Professorships, Unpaid Leaves and Resignations.
      (3) Promotion and Tenure - each of the categories need to be voted upon individually
         (a) continued conditional probation
         (b) continued probation
         (c) promotion
         (d) tenure
         (e) non-renewal of contract
      (4) Sabbatical Leaves

   b. Non-Academic Personnel
      (1) Quarterly Report of new employees, terminations, transfers, promotions.
      (2) Administrative Appointments - Dickins, Lamb, and Phillips revised list

   c. Personnel Policy
      (1) TIAA Resolution
      (2) 1982-83 Employee Pay Proposal

2. Academic Affairs

   a. Academic Standards and Guidelines
      (1) Policy on Academic Bankruptcy for Undergraduate Students
      (2) Policy on Academic Standing
      (3) Revised Admissions Requirements for Graduate Studies in Education
      (4) Speech Competency Requirements

   b. Academic Programs
      (1) Program Title Change
      (2) New Program Proposal - Minor in Applied Philosophy

   c. Academic Policy
      (1) Financial Exigency
      (2) West Campus Utilization

3. University Management

   a. Budget Office/Institutional Systems and Analysis Consolidation

   b. Governing - Administrative Regulations
      (1) Staff Congress Constitution
      (2) University Standing Committees
      (3) Position Descriptions

   c. University Council

   d. Tuition and Fee Schedule (Only tuition schedule has been included)

   e. Easement Resolution

   f. Budget Revisions, 1981-82 Operating Budget

   g. External Audit Firm

   h. Crestview Mall Indemnification Agreement

C. OTHER BUSINESS

1. William Studer Recognition

2.

NEXT MEETING - Scheduled for April 28, 1982

ADJOURNMENT

BOARD OF REGENTS MINUTES
Tuesday, March 9, 1982

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:20 p. m. on Tuesday, March 9, 1982 by Chairman Kenneth Lucas. The invocation was given by the Rev. Louis R. Schmidt.

In welcoming those present, the Chairman called attention to the beautiful new quarters in which the Board was meeting and mentioned that this was the Board's fourth "permanent" meeting place since 1968.

Roll Call: Regents present: Mr. Dave Duncan, Mr. Philip Grone, Mr. Elmer Haas, Mr. Ken Lucas, Mr. Henry Mann, Mr. Gordon Martin, Dr. Pat Sommerkamp, Mr. Richard Spears, Dr. Jeffrey Williams, and Mr. John Yarmuth.

Others present: President A. D. Albright, Dr. Gene Scholes, Dr. Lyle Gray, Mr. John DeMarcus, Mr. Robert Knauf, Mr. Dennis Taulbee, Mr. Clarence Tabor, Ms. Cindy Dickens, Alumni Representative Jack Burleson, Faculty Senate President Debra Pearce, Dr. Roger Meade, Mr. Bill Lamb, Mr. Mike Baker, Ms. Perk Rudd, Ms. Kim Hennessy, Mr. Gregg Schulte, Ms. Denise Hough, Mr. Tom Barlow, Ms. Sue Hodges, Ms. Carla Chance, Dr. Jim Alford, Dr. Mike Klembara, the Rev. Louis Schmidt, Dr. Bill Dickens, Ms. Dorinda Giles, Ms. Carol Guthier, Dr. Mike Ryan, Ms. Mary McGhee, Ms. Mary Huening, Mr. John Deedrick, Mr. Dave Phillips, and Media Representatives - Mr. Al Salvato of the Kentucky Post, Ms. Karen Merk of The Northerner, and Mr. Paul Bailey of WCKY Radio.

The chairman declared a quorum with nine voting members present.

The minutes of the previous quarterly meeting, October 28, 1981 were accepted on motion by Mr. Haas and second by Mr. Mann.

PRESIDENTIAL REPORTS:

A-1 President Albright noted that he was pleased with the Grants and Contracts Activity Report as it shows an increase over the same period last year. The increase is happening at a time when this type of money is decreasing. He feels the growth is due to the continued, good efforts of Dr. Meade and Miss Hough as well as the quality of faculty proposals for funding. — Grants and Contracts

A-2 The President reported that enrollment is continuing to grow at Northern - 8.9% increase in headcount. If enrollment continues to increase at the same pace, the institution will soon be running out of space to accommodate students between 8 a.m. and 10 p.m. — Fall Enrollment

A-3 The Academic Calendar for 1982-83 is presented for informational purposes. — Academic Calendar

A-4 The list of summer fellowship recipients for 1982 is a result of faculty review with the Provost making the final decisions. The summer fellowship program is designed to encourage faculty to engage in individual research, scholarly writing, and creative and artistic projects and to share the results of their efforts with the broader University community. — 1982 Summer Fellowships

A-5 The President reported on the professional staff exchange program between the University and Kenton County Board of Education which keeps the University in touch with other components of the community. — Exchange Program

A-6 Dr. Albright and Dr. Scholes spoke on the computer utilization project outlined in the written report. Dr. Scholes noted that through the efforts of Mr. Spears, by the loan of a computer expert from Ashland Oil, the University has been able to make great strides in the program. — Computer Utilization

A-7 Mr. DeMarcus highlighted the Campus Development report. The administrative center and Nunn Hall renovation are virtually complete and structural steel on the maintenance storage facility is now nearly finished. Mr. DeMarcus noted that the Governor is now supporting the health and physical education center through the good efforts of President Albright, and it is still hoped that the money for this building will be included in the final state budget. — Campus Development

A-8 Mr. Tabor reported that the financial report through December does not show the University realizing the projection on the tuition revenue; however, the next report should show that the revenue had been received in January. — Financial Report

A-9 President Albright reported that the printed report is bringing the Regents up-to-date on the executive budget process. This is the first time that the formula approach has been used. The formula model was met by mixed acceptance by the universities. There has been considerable activity between the Governor, Legislature, Council on Higher Education, and the institutions concerning the funding over the next two years. The Northern Kentucky caucus has been very helpful to Northern in the process. Significant aspects of the compromise proposal between the Executive branch and elements of the Legislature's leadership retains the formula as the basic approach to funding with each institution receiving a minimum increase of 3% each year of the biennium. — Executive Budget

A-10 The President presented the "Annual Report of the President for 1980-81" and complimented Mr. Knauf on his helpfulness in preparing the document. — 1980-81 President's Report

PRESIDENTIAL RECOMMENDATIONS:

B-1 University Personnel

a-1 Mr. Martin moved that the following new faculty be approved upon recommendation of the President: Charles R. Bush, H. Ray Souder, Grace H. Walker, Patricia M. Ruthemeyer, and J. Michael Hunter. The motion was seconded by Mr. Duncan and was passed by unanimous vote. — New Faculty

B-1 University Personnel (continued)

Unpaid leaves and Resignations

a-2 The following adjunct Professorships, unpaid leaves, and resignations received unanimous approval of the Board on motion by Mr. Yarmuth and second by Ms. Sommerkamp.

Adjunct Clinical Professors of Nursing:

Carol Perry	Carrie McCoy
Francie Walgin	Beverly Malone
Claire Caruso	Patty Weiskittel
Carolyn Brinkerhoff	Valery Ball
Jean Miller	Ardell Loomer
Rose Hood	

Unpaid Leaves:

Frederick Rhynhart - January 1 through December 31, 1982
Jack Sherman, Jr. - July 1, 1982 through June 30, 1983
Caryl Yzenbaard - August 1982 through December, 1982

Resignations:

Beth Goldstein	Larry Steele

a-3 Continued Conditional Probation

On motion by Mr. Spears and second by Mr. Yarmuth, the following faculty received unanimous approval for continued conditional probation under the conditions of the Faculty Policy Manual:

Continued Conditional Probation

Mohamed Bayou	Frances Mosser	Alice Rini	
David Bishop	Philip McCartney	Gary Scott	
George Harper	Raymond McNeil	Janet Simon	
Nan Littleton	Judy Ribak	Dennis Tishlias	

a-3 Continued Probation

The following faculty were recommended for continued probation:

David Adams	Rosemary Ingham	Mary Ann Renz
Thomas Barone	Sally Ann Jacobsen	Terrence Russell
Judith Bechtel	Margo Jang	W. Michael Ryan
Patricia Beck	Gary Johnston	Janet Scarlata
Alan Bentley	June Lynn Jones	Peter Schiff
Billie Brandon	Paul R. Joseph	Lois Schultz
Rachelle Bruno	Pamela Juengling	Tim Serey
William Burns	Miriam Kannan	Richard Snyder
Nancy Campbell	James Kinne	Robert Snyder
Janice Cantrall	Susan Kissel	Carlos Soto
Victoria Channel	Melinda Langmeyer	James Stacy
Joy Churchill	William Leigh	Mark M. Stavsky
Donald Cobb	David Leong	Christine Stiegler
Edith Kay Cooper	Glen Mazis	Henry L. Stephens
Daniel Curtin	Cynthia McDaniel	Rebecca Sturm

(continued - next page)

B-1 University Personnel (continued)

a-3 Continued Probation (continued)

Frank Dietrich	Linda Moneyham	Barbara Thiel
Susan Doerr	Connie Mulligan	Velva Jean Wainscott
Diana Duffin	Ronald Naverson	Ellen Walker
Charles Frank	Linda Newman	Stuart Ware
Julie Gerdsen	Barbara O'Brien	Michael Washington
Stephen Goacher	Richard O'Brien	Elinor Welt
James Gray	Linda Olasov	Gail Wells
Carolyn Hagner	Naomi Paz	Theresa Wesley
Charles Hawkins	Terry Pence	Macel Wheeler
David Hogan	Byron Renz	William Worley

Mr. Spears moved approval of the recommendation. The motion, seconded by Mr. Yarmuth, was passed unanimously.

a-3 Promotion

Upon motion by Dr. Williams and second by Mr. Grone, the following faculty were granted promotion by unanimous vote: Promotion

Doris Brett	to	Associate Professor
Frank Dietrich		Associate Professor
George Goedel		Associate Professor
Paul Joseph		Associate Professor
Philip Koplow		Associate Professor
Raymond Singh		Full Professor
Joe K. Smith		Full Professor
Betty Soave		Associate Professor
Jack Wann		Associate Professor
John Westlund		Associate Professor
Rosella Zieser		Associate Professor

a-3 Tenure

Upon motion by Mr. Duncan and second by Mr. Haas, the following faculty received tenure by unanimous vote: Tenure

Robert Bratton	Janet Hammond	Kathleen Brinker
Gary Clayton	Donald Kelm	Betty Soave
Sudesh Duggal	Philip Koplow	Jack Wann
Thomas Edwards	Mitchell Shapiro	John Westlund
George Goedel		

a-3 Non-Renewal of Contract

Upon recommendation of the President and Provost, Mr. Yarmuth moved, seconded by Mr. Duncan, that the following faculty be granted non-renewal of contract:

Gary Armstrong	Kim Rebenstorf	Maryann Schneider
Frank Traina		

The motion was passed by unanimous vote. After the meeting, it was determined that four other names on the recommendation should not have been listed as action had been taken earlier by the Board.

B-1 University Personnel (continued)

a-4 Sabbatical Leaves

Sabbatical Leaves

Upon motion by Mr. Grone and second by Ms. Sommerkamp, sabbatical leaves were approved for the following faculty:

Barry Anderson	Spring, 1983
Kevin Booher	Fall and Spring, 1982-83
Janet Johnson	Fall, 1982
James McKenney	Spring, 1983
Janet Miller	Fall, 1982
Debra Pearce	Fall, 1982
James Ramage	Spring, 1983
Mary Ellen Rutledge	Fall and Spring, 1982-83
Frederick Schneider	Fall and Spring, 1982-83
Frank Stallings	Fall, 1982
Richard Ward	Fall, 1982
Jeffery Williams	Fall, 1982

The motion passed with 8 aye votes and abstention by Dr. Williams.

b-1 A list of non-academic personnel actions are attached to these minutes. A motion by Mr. Mann to approve the actions was seconded by Mr. Duncan and was passed unanimously.

Non-academic Personnel

b-2 The following administrative appointments were unanimously approved on motion by Ms. Sommerkamp and second by Mr. Duncan:

Administrative Personnel

Michael Beitzel - Head Men's Basketball Coach and Coordinator of Men's Athletics

Cynthia Dickens - Executive Assistant to the President for Student Affairs

Denise Hough - Assistant Director of Research, Grants and Contracts and Staff Associate for Special Projects in President's Office

William Lamb - Dean of Students

David Phillips - Director of the News Bureau

Jane Scheper - Head Women's Basketball Coach and Coordinator of Women's Athletics

President Albright introduced Cynthia Dickens, Denise Hough, and William Lamb and complimented them on their fine work for the University.

c-1 The President recommended that a modification be made to the TIAA Retirement Resolution as follows:

TIAA Resolution

"Normal retirement age is herein defined as the last day of the academic year in which age 65 is attained. A participant may retire at normal retirement age, but must retire no later than the last day of the academic year in which age 70 is attained."

The modification came as a result of the Age Discrimination in Employment Act which provides that colleges and universities may no longer mandatorily retire tenured faculty at age 65. The recommendation was unanimously approved on motion by Mr. Mann and second by Dr. Williams.

B-1 University Personnel (continued)

c-2 In recommending guidelines for fiscal year 1983 salary increases for faculty, staff, and administrative employees (attached to these minutes) the President stated that approval would help the administration in preparing for next year's raises for the University. Mr. Martin made the motion that the recommendation be approved as presented. The motion was seconded by Mr. Yarmuth. Upon question of Mr. Haas, the President said that the executive budget allows for about 7% increase; however, merit, longevity and/or discretionary monies would be budgeted based on revenue projections of increases in tuition and enrollment. The motion passed with 8 aye votes and abstention by Faculty Regent Williams.

1982-83 Salary Increases

B-2 Academic Affairs

a-1 Academic Standards and Guidelines

The Policy on Academic Bankruptcy for Undergraduate Students (attached to these minutes) was introduced by Provost Gray who stated that the policy is a means of offering students the opportunity to overcome severe grade point average deficiencies caused by various circumstances. Mr. Grone moved that the policy be adopted as presented and expressed appreciation that representatives of Student Government were able to review certain policies such as this one and were able to give input on them. The motion, seconded by Dr. Williams, was passed unanimously.

Academic Bankruptcy for Undergraduate Students

a-2 Dr. Gray submitted a revision to the Policy on Academic Standing for Undergraduate Students (attached to these minutes). The formula in the policy is designed to promote the early identification of underachieving students in order to improve their academic performance and to warn matriculated students as early as possible of potential ineligibility for graduation, according to Dr. Gray. The policy was unanimously adopted on motion by Mr. Grone and second by Mr. Duncan.

Academic Standing for Undergraduate Students

a-3 The revised admission requirements for Graduate Studies in Education (attached to these minutes) was presented by the Provost who explained the changes to the Regents as outlined on the recommendation. The policy was unanimously adopted on motion by Ms. Sommerkamp and second by Mr. Yarmuth.

Revised Admission Requirements for Graduate Studies in Education

a-4 Provost Gray introduced the recommendation that the administration be authorized to develop and implement a speech competency requirement for graduation starting with the Fall 1982 freshman class. Mr. Haas moved that the recommendation be adopted. The motion was seconded by Mr. Duncan and was passed unanimously.

Speech Competency Requirement

B-2 Academic Affairs (continued)

b-1 The President recommended that the title "Mass Communications" within the Department of Communications be changed to "Journalism" in order that confusion can be eliminated. Mr. Mann moved, seconded by Mr. Yarmuth, that the recommendation be adopted. The motion passed unanimously. — New Title: "Journalism"

b-2 The Provost introduced the recommendation to approve a Minor in Applied Philosophy. Background, objectives, and requirements are attached to these minutes. Ms. Sommerkamp moved the adoption of the recommendation. The motion was seconded by Mr. Grone and was passed unanimously. — Minor in Applied Philosophy

c-1 Dr. Albright reported that as a result of the mandate to the administration at the last Board of Regents meeting, considerations have been carried on by the administration and Faculty Senate for the purpose of revising the financial exigency policy that was adopted at that meeting. The President noted that he explained at the time the policy was one that could be improved. It was further noted that the recommendation outlines the differences in the faculty document and the policy as it is being presented at this meeting. The President explained that it is presumed that discussions will continue and the policy is certainly subject to change with justification. — Financial Exigency

President Albright recommended that the financial exigency policy be approved with the following conditions:

(1) that discussions continue between the administration and Faculty Senate Executive Committee on points of difference excluding those points which are based on legal limitations or requirements on the institution, and
(2) that results of the continued discussions be reported to the Board at its April meeting.

Mr. Spears moved that the recommendation be adopted. The motion was seconded by Mr. Mann.

Dr. Williams noted that the institution is not faced with an immediate emergency and the policy probably will not have to be implemented in the next few months. He reported that the Faculty Senate voted 24-8 not to endorse the administration's policy. Rather than vote approval, Dr. Williams felt that adoption should be postponed until the next meeting. He felt it would be assuring to the faculty to follow such action.

Dr. Williams moved that the recommendation as presented be tabled for consideration until the next Board meeting. Mr. Haas seconded the motion in order to get the motion on the floor. Results of vote taken - 1 aye vote by Dr. Williams, 8 nay votes. The motion lost.

Mr. Haas noted that the Board passed a policy last meeting with the condition that discussions between administration and faculty would be held to work out differences. If everything has been done to work out differences, Mr. Haas explained that he would vote affirmatively for the policy.

B-2 Academic Affairs (continued)

c-1 Financial Exigency (continued)

Dr. Williams felt there was an extremely short period of discussion and that the appeals process left the Board liable. For instance, is it legal for a tenured professor to be affected by this policy? He said he felt that many of the faculty will note on their contract that they do not accept the policy. Mr. Haas said that he felt that the administration had studied the legal aspects.

Mr. Duncan said he had not received the document prior to the meeting and wondered which items were legal and non-negotiable. Dr. Albright explained that No. 5 was non-negotiable.

Mr. Martin said he felt this is a policy that has to be approved to protect the institution and noted that there was the opportunity for future discussions for change. Mr. Martin moved the question.

Result of the vote: 7 aye votes, 1 nay vote by Dr. Williams, and 1 abstention by Mr. Duncan as he did not receive the material early enough to study.

c-2 The President recommended that the Board authorize the administration to develop and implement a branch campus on the site formerly occupied by the Salmon P. Chase College of Law in Covington, Kentucky. He explained the recommendation legalizes the fact that the West campus is being utilized for this purpose. The motion was unanimously adopted on motion by Mr. Grone and second by Mr. Yarmuth. West Campus Development

B-3 University Management

a It was recommended by the President that selected functions and activities currently assigned the Office of Institutional Systems and Analysis and the Budget Office be consolidated into a new unit entitled the Office for Budget and Operations Research. The motion was unanimously adopted on motion by Mr. Haas and second by Mr. Spears. Office for Budget and Operations Research

b-1 The President noted that the Regents had given the administration approval for the formation of a Staff Congress. This has been accomplished and the Staff Congress Constitution is being presented for approval. Dr. Williams moved, seconded by Mr. Mann, that the Staff Congress Constitution be adopted as presented. The motion was passed unanimously. Dr. Albright introduced the officers of Staff Congress as follows: Dorinda Giles - President, Tom Barlow - Vice President, and Carol Guthier - Secretary/Treasurer. Staff Congress Constitution approved

b-2 Descriptions of the University Standing Committees to be included in the University's manual of Governing-Administrative Regulations were presented by the President. Mr. Grone made the motion that the committee be approved with the following change: Student Publications Board, item 6 under membership, read, "A representative of the student body selected by Student Government." The motion was seconded by Mr. Mann and was passed unanimously. Standing Committees

B-3 University Management (continued)

b-2 Position descriptions for the Executive Assistant to the President for Student Affairs and Dean of Students were presented by the President who stated that the descriptions would be a part of the Governing-Administrative Regulations. Unanimous approval was given on motion by Ms. Sommerkamp and second by Mr. Duncan. — Position Descriptions

c President Albright recommended that the description of a University Council (attached to these minutes) be approved and become a part of the Governing-Administrative Regulations. The President stressed that the University Council is an advisory board, not a policy-making board. The motion to adopt was made by Mr. Haas and seconded by Mr. Spears. The motion was unanimously passed. — University Counsel

d The President recommended that the Board of Regents approve the following Schedule of Tuition Rates to become effective for the 1982 Fall semester as set by the Council on Higher Education: — Schedule of Tuition Rates

Semester Rates

In-State		Out-of-State	
Undergraduate	357.00	Undergraduate	1,031.00
Graduate	371.00	Graduate	1,112.00
Law	618.00	Law	1,555.00

Credit Hour Rates

In-State		Out-of-State	
Undergraduate	30.00	Undergraduate	86.00
Graduate	42.00	Graduate	124.00
Law	52.00	Law	130.00

The rates were unanimously adopted on motion by Mr. Haas and second by Mr. Duncan.

e It was recommended by the President that the Board of Regents approve a grant to the Union Light, Heat, and Power Company of a right-of-way and easement on the Highland Heights campus. The request is a result of a power line installed to provide power for the lights in Parking Lot J. The recommendation was unanimously approved on motion by Mr. Yarmuth and seconded by Mr. Mann. — Easement for UHLP

f It was the recommendation of the President that the 1981-82 current fund operating budget be revised upward to $23,558,960 to reflect additional tuition receipts of $460,081, estimated receipts from Student Housing of $122,700, and increased activity in the Bookstore of $14,000. The recommendation was unanimously passed on motion by Mr. Mann and second by Mr. Haas. — Revised 1981-82 Budget

g A recommendation that the President negotiate with an external auditing firm for a reduced auditing contract not to exceed $3,000 was presented. Since the State Auditor's office will be performing the annual university audit, it will not be necessary to establish a full external auditing contract. However, it is desirable — External Auditor

(to be continued)

B-3 University Management (continued)

g (continued)
to have the regular external auditor present from time to time for the purpose of providing continuity in the university audit process. The State Auditor's office is in agreement with this recommendation. The recommendation was passed unanimously on motion by Mr. Martin and second by Mr. Duncan.

h The President recommended that the Board of Regents authorize the administration to negotiate and sign an acceptable agreement of indemnification with the Crestview Hills Mall for the purpose of a Fine Arts display. Ms. Hennessy explained that the agreement the Mall officials presented was not acceptable. Since the art show is to be held on March 27th and time would not allow an acceptable agreement to be presented to the Board, this type of authorization is being recommended. The recommendation received unanimous approval on motion by Mr. Haas and second by Dr. Williams.

Agreement with Crestview Mall

OTHER BUSINESS

C-1 Dr. Albright referred to a State Senate resolution from 1972 in which recognition was given to William Studer as the bulk of the property acquired by the University was owned by Mr. Studer. The President recommended to the Board that the administration be authorized to make appropriate recognition of Mr. Studer for his contribution to the establishment of the University.

Studer Recognition

The next quarterly meeting is scheduled for Wednesday, April 28, 1982.

The meeting adjourned at 7:20 p.m. on motion by Mr. Duncan and second by Mr. Haas.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on March 9, 1982 and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 8th day of April, 1982.

AGENDA FOR BOARD OF REGENTS - April 28, 1982

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELC OME OF GUESTS

MINUTES OF PREVIOUS MEETING - March 9, 1982

A. PRESIDENTIAL REPORTS

1. Grants and Contract Activity

2. Campus Development
   a. Design and Programming
   b. Construction

3. Reciprocity Agreement Report

4. Quarterly Financial Report

5. Staff Development Report

6. Faculty Project Grants

7. Selective Admissions Report

8.

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel
   a. Unpaid Leaves, Resignations, New Faculty
   b. Continued Probation
   c. Tenure
   d. Emeritus Status

2. Non-academic Personnel
   a. New employees, terminations, transfers, and promotions
   b. Administrative Actions

3. University Fee Schedule

4. 1982-83 Operating Budget

5. Faculty Recruitment Guidelines

6. Non-Faculty Hiring Procedures

7. Chase College of Law Program Reorganization

8. Prior Learning - Radiologic Technology

9. Continuing Education Policy

10. Academic Program Deletion - Accounting Technology

PRESIDENTIAL RECOMMENDATIONS (continued)

11. Learning Assistance Center - Reorganization

12. Financial Exigency Policy

13. Vehicle Utilization Policy

14. HB 622 Authorization

15. Student Receivables

16. Governing--Administrative Regulations

    a. Free Speech Area

    b. Review Board for Human Subjects removed -

17.

C. OTHER BUSINESS Oath of Office
Mary Conrod - New Student Regent - effective 5/15/83

NEXT MEETING - Wednesday, July 28, 1982 ????

ADJOURNMENT

BOARD OF REGENTS MINUTES
Wednesday, April 28, 1982

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:20 p. m. on Wednesday, April 28, 1982 by Chairman Kenneth Lucas. The invocation was given by Dr. Ralph Tesseneer.

Roll Call:

Regents present - Mr. David Duncan, Mr. Philip Grone, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Henry Mann, Mr. Gordon Martin, Dr. Pat Sommerkamp, Dr. Jeffrey Williams. Excused: Mr. Richard Spears and Mr. John Yarmuth.

Others present - President A. D. Albright, Counsel John Brooking, Dr. Gene Scholes, Dr. Lyle Gray, Dr. Ralph Tesseneer, Mr. John DeMarcus, Mr. Robert Knauf, Mr. Clarence Tabor, Ms. Cynthia Dickens, Mr. Dennis Taulbee, Alumni representative Jack Burleson, Ms. Kim Hennessy, Dr. Mike Klembara, Dr. Roger Meade, Ms. Peg Griffin, Mr. Mike Baker, Ms. Denise Hough, Mr. John Deedrick, Ms. Carla Chance, Ms. Rebecca Myers, Mr. Tom Barlow, Ms. Sue Hodges, Ms. Mary Huening, Ms. Mary McGhee, Ms. Paula Schuh; Student Government representatives - Mary Penrod, Terri Parrish and Andrea Grone; Media representatives - Gabriel Jacobs of Kentucky Post, Bonna King of WCKY, Sam Bucalo of Northern Time Star, and Cindy Schroeder of Kentucky Enquirer.

The minutes of the previous meeting, March 9, 1982 were approved on motion by Mr. Haas and second by Mr. Grone with the following insertion to B-1-b-2:

"Faculty Regent Williams requested that the administration provide for the Board a study of the costs of the new student services unit as compared to those of the previous administrative offices handling student services. He also requested that the faculty have an opportunity in the near future to respond to a questionnaire (similar to one recently distributed by the Registrar's Office) assessing the effectiveness of the Office of University Relations."

PRESIDENTIAL REPORTS:

A-1 The President noted that the Grants and Contracts Activity shows an increase over last year - $139,675 compared to $41,146 last year at this time. — Grants and Contracts

A-2 Mr. DeMarcus reported that the Health Center is approved, that bidding will likely begin in June, and that ground breaking could occur in mid-July. The engineering study for sewer line development was approved by the Legislature and represents the first step in the process to provide adequate sewage capacity allowing continued growth of the campus. Minor problems are being worked out in the construction projects that are completed. The maintenance storage facility should be completed by mid-June. Dr. Albright noted that the Health Center will be a great improvement for the University as well as the community. Mr. Lucas noted that a recent newspaper article commended Dr. Albright, Mr. DeMarcus, and others for their efforts in bringing about the approval for construction of this facility. — Campus Development

A-3 Dr. Scholes reported that the implementation of the Graduate Program Reciprocity Agreement with the University of Cincinnati began in January and that the written report is self-explanatory insofar as numbers and costs are concerned. He further highlighted the Agreement with the following points:

Augments current graduate offerings of Northern Kentucky University and the Northern Kentucky Graduate Center;
Improves access of Northern Kentuckians to graduate studies;
Enables us to maintain our under-graduate admissions priorities;
Will be reviewed annually (after each Fall term) to evaluate cost benefits to each institution;
Will be modified where necessary to achieve parity in relation to cost benefits.

Reciprocity Agreement with UC

A-4 Mr. Tabor reported that the quarterly financial report indicates that revenues are being received as budgeted, that the current budget is not being overspent, and that investment and interest earnings are doing well.

Quarterly Financial Report

A-5 The Staff Development Project report indicates what the University is trying to accomplish for staff enrichment, according to the President. He commended Dr. Sommerkamp and Mr. Duncan for their suggestions in this area.

Staff Development Project

A-6 The Faculty Project Grants for 1982-83 are outlined for the Board's information. President Albright reported that the grants are awarded to faculty to encourage professional growth through financial support for independent research.

1982-83 Faculty Project Grant

A-7 The President noted that the Selective Admissions report was one of the best reports developed at the University and came about as a result of the Pritchard Report. Ms. Dickens reported that this plan includes preliminary recommendations by a joint committee of faculty and administrators and is for the Regents' information.

Selective Admissions

A-8 Student Regent Grone reported that some revisions to the Student Government Constitution were approved by vote of the student body and would be presented at the July meeting for ratification.

Student Government Constitution

PRESIDENTIAL RECOMMENDATIONS:

B-1 The President recommended the following actions for Academic Personnel:

a. Unpaid leave for Elton C. Lasseigne for one year
   Special leave for Verne Shelton for one year
   Resignation of Joseph Sheehan effective July 1, 1982
   Resignation of Verne Shelton effective May 7, 1983
   New Faculty - Jerome T. Barrett in Public Administration
b. Continued conditional probation for Jane Jackson
   Continued probation for Carol Allred, Gene Krauss, and Robert Rhode
c. Tenure for Nancy Martin
d. Emeritus status for Dr. William C. Stoll

(B-1 continued next page)

B-1 (continued)
The above actions were unanimously approved on motion of Mr. Martin and second by Mr. Haas.

B-2 a. The report of non-academic personnel actions (list attached to these minutes) was approved on motion of Mr. Duncan and second by Mr. Grone. The motion was passed unanimously.

The following administrative personnel actions were recommended by the President:

b. Appointments - Charles Gray as Director of Student Services
George Rogers as Acting Director of Student Development
Leave - James Kerr - half-time leave at half salary August, 1982 through July, 1983

c. Appointment of Kim Hennessy as University Counsel. The President noted that Ms. Hennessy passed the Kentucky Bar this past week. The President reported that the principal responsibility of this position is to advise University personnel on in-house legal questions and matters, and that University matters involving external proceedings such as court cases will be carried out with legal counsel from among practicing attorneys licensed in the appropriate jurisdiction. President Albright also reported that any matters needing legal opinions for in-house matters will be channeled through the President's office. Administrativ Personnel

d. Appointment of Dr. James Alford as Director of Alumni Affairs effective July 1, 1982.

The above actions were unanimously approved on motion by Mr. Grone and second by Mr. Duncan.

B-3 President Albright recommended that the schedule of class fees and other charges (list attached to these minutes) be approved as presented. He noted that the list includes all charges to be collected on campus with the exception of tuition and bookstore charges. Mr. Haas moved that the schedule of fees be approved as presented. The motion, seconded by Dr. Sommerkamp, was passed unanimously. Schedule of Fees

B-4 Dr. Albright presented the resolution establishing a current operating budget for 1982-83 fiscal year and recommended its approval. The President stated that, in his opinion, the document mailed to the Regents was the most carefully prepared budget ever presented to the Board and commended the Budget Office - Dennis Taulbee and Sue Hodges - for the fine job. 1982-83 Budget

A document of budget highlights was presented to each Regent (copy attached to these minutes) and was carefully explained by the President. Mr. Haas asked if the University had the resources to cover the debt service obligation. The President explained that debt service money supplied by the State in addition to our operating money was one of the budget cuts last year, but it has been restored for 1982-83 and 1983-84 fiscal years in order that our debt service requirements would be met.

B-4 (continued)
The President completed his presentation by stating that the budget had been prepared by carefully following the guidelines established by the Board at its last meeting. Mr. Mann stated that he was grateful for the analysis of the budget. Mr. Lucas commented that he was appreciative of the fact that staff salaries were a major consideration in this budget. Dr. Williams questioned several line items of the budget which were answered by Mr. Taulbee. Dr. Albright noted that the Budget Office would have been glad to answer such questions on specific line items and would be glad to explain subsequently the budget provisions if requested. Mr. Lucas asked that if any Regent has a specific question that it be addressed to the Budget Office.

The motion to adopt the budget as presented was made by Mr. Martin and was seconded by Mr. Mann. Vote - 5 ayes and 1 abstention by Dr. Williams because he is a faculty member and cannot vote on salaries. Motion carried.

B-5 Kim Hennessy spoke on the policies representing guidelines for recruiting faculty and hiring procedures for non-faculty positions (B-6). The documents were prepared in accordance with the desegregation plan in Kentucky as well as intregrating the employment practices and affirmative action goals of the University. The "Guidelines for Recruiting Faculty" was adopted on motion by Dr. Sommerkamp and second by Mr. Grone. Vote - 5 ayes and abstention by Mr. Haas as he was out of the meeting room during the discussion.

Guidelines for Recruiting Faculty

B-6 The recommendation on "Non-Faculty Hiring Procedures" was approved by the Board on motion by Mr. Martin and second by Mr. Mann. Vote - 5 ayes and abstention by Mr. Haas for same reason as above.

Non-Faculty Hiring Procedures

B-7 The President recommended that the Salmon P. Chase College of Law of Northern Kentucky University be reorganized into a single division that will accommodate both full-time and part-time students. The thrust of this program is to improve the quality of legal education by the College of Law. This reorganization is to become effective with the entering 1983 class. Dr. Gray explained that this recommendation comes to the Board after a year's active discussion with the law faculty and administration and following reports to the Board. The plan has been exposed to the American Bar Association accreditation team and seemed to meet their approval.

Reorganization of Law School

Mr. Mann asked what it took for a person working full time during the day to receive a law degree, and Dr. Gray indicated it could be accomplished in four years of night school including two summers during the four years.

Mr. Mann moved that the recommendation be adopted as presented. The motion was seconded by Dr. Sommerkamp and was passed unanimously.

B-8 It was recommended by the President that 30 semester credit hours be awarded for professional course work in radiologic technology to students: (1) who have earned a high school diploma or a G.E.D.; (2) who have graduated from a postsecondary vocational or hospital-based program in radiologic technology accredited by the Committee on Allied Health Education and Accreditation; and (3) who have passed the American Registry of Radiologic Technologists national exams. Dr. Gray reported that this recommendation brings Northern's radiologic technology program into compliance with national standards and with current practices at most institutions. The motion was was made by Mr. Martin and was seconded by Mr. Duncan that the recommendation be approved. The motion passed unanimously.

Radiologic Technology

B-9 The President recommended that the policy for Certification of Continuing Education Units (attached to these minutes) be approved as presented. Dr. Gray explained that the Office of Community Research and Services/Continuing Education has received an increasing number of requests from both external agencies and University departments to certify and award Continuing Education Units. The motion was made by Mr. Haas to adopt the recommendation. The motion, seconded by Mr. Martin, was passed unanimously.

Continuing Education Policy

B-10 President Albright recommended that the associate degree program in Accounting Technology be deleted, effective with the 1983-84 catalog. Upon question of Mr. Martin, Dr. Gray explained programs are deleted after a program review process which is required by the Council on Higher Education. Dr. Sommerkamp moved, seconded by Mr. Grone, that the recommendation be adopted. The motion was passed unanimously.

Program Deletion

B-11 Dr. Albright recommended that the current Learning Assistance Center be reorganized as follows:

1. The Writing Center and its support will move to the Department of Literature and Language.
2. The Reading and Tutorial component of the program will move to the Student Development unit in Student Services.

The President noted that this division fits in more properly with our organizational plan. Mr. Haas moved that the recommendation be adopted. The motion, seconded by Mr. Duncan, was passed unanimously.

Reorganization of Learning Assistance Center

B-12 A revised Financial Exigency Policy was recommended by the President (copy attached to these minutes). The information sent to the Regents contains the revisions made since the last Board meeting. The President reported that the revisions were passed by the Faculty Senate Executive Committee as a result of further discussions on the policy. Dr. Williams noted that the Faculty Senate Executive Committee is grateful that a meeting of minds could be accomplished. The policy was unanimously passed on motion by Mr. Haas and second by Dr. Sommerkamp.

Financial Exigency Policy approved

B-13 Dr. Scholes reported that the Vehicle Utilization Policy (attached to these minutes) was a result of a committee appointed consisting of faculty, students and administration and will be added to the Manual of Administrative--Governing Regulations. Mr. Mann moved that the policy be adopted. The motion, seconded by Dr. Williams, was passed unanimously. Vehicle Utilization Policy

B-14 The President recommended that the executive officer be authorized to formulate a plan for implementation of House Bill 622. The President explained that the bill provides increased management autonomy for the institutions of the State in the areas of payroll, accounting, cash management, procurement, and capital construction. For instance, the bill provides that payroll checks can be initiated by the institution rather than through Frankfort, that institutions will receive credit for all investment earnings on cash balances (this point still under discussion), and that the responsibility of procurement will shift from the state to the institutions, as will responsibility for capital construction. However, the President reported that Northern would probably ask that capital construction remain in Frankfort to be handled as in the past due to the fact that Northern does not have the staff to accommodate this area. The new legislation does require that each of the governing boards of the institutions specifically decide whether to accept or not accept the delegation of authority under each of the major components of the bill. House Bill 622

Dr. Albright further reported that a steering committee, consisting of persons from the appropriate state agencies and the institutions, has been set up to identify issues and report to the Presidents. Included on the committee are representatives from Department of Finance, State Treasurer, and State Auditor. The committee and its five task forces will define the new roles of each of the participants and establish new regulations governing the implementation for approval by each institution. Further reports will be made to the Board of Regents.

The recommendation was passed unanimously on motion by Mr. Martin and second by Dr. Sommerkamp.

B-15 President Albright recommended that the President be authorized to approve the write-off of student receivables as bad debts in the amount of $9,113.26. Mr. Tabor stated that this amount represented about one-tenth of one percent of all revenues collected which is a good record. Mr. Duncan moved that the recommendation be adopted. The motion was seconded by Dr. Sommerkamp and was passed unanimously. Student Receivables

B-16-a Mr. Grone reported that the amendment to Administrative Regulation specifying the location of the Forum Area (attached to these minutes) was a result of a student committee's recommendation after it was determined that the former forum area was probably an inappropriate area. Mr. Grone moved that the recommendation be adopted. The motion, seconded by Dr. Sommerkamp, was passed unanimously. Forum Area

B-16-b Deleted from the agenda.

C. OTHER BUSINESS

Mr. Lucas noted that this was Student Regent Grone's last meeting as his term of office is up. He stated that the Board is most appreciative of his abilities and commended him on an excellent year as Regent. Mr. Grone said that it was his pleasure to serve.

Dr. Albright said that Student Government has made strides this year that have been unequalled and are in large part due to Phil's leadership. The President further stated that it had been a pleasure to work with him this past year.

Mr. Lucas introduced the new Student Regent Mary Penrod. She was sworn in by the Chairman. Ms. Penrod introduced other officers of Student Government who were seated in the audience.

The Chairman reported that Dr. Williams had been elected to another three year term as Faculty Regent.

The next quarterly board meeting is scheduled to be held on Wednesday, July 28, 1982.

The meeting was adjourned at 6:30 p.m. on motion by Mr. Grone and seconded by Mr. Duncan.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on April 28, 1982 and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 10th day of May, 1982.

AGENDA
BOARD OF REGENTS MEETING
Tuesday, August 10, 1982
Board Room - Administrative Center

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

OATH OF OFFICE - Mr. Merwin Grayson, Jr.

ELECTION OF OFFICERS - Chairman, Secretary-Treasurer, Assistant Secretary

MINUTES of Previous Meeting - ~~March 9, 1982~~ Apr 28, 1982

A. PRESIDENTIAL REPORTS

1. Grant and Contract Activity
2. Campus Development
   a. Design and Programming
   b. Construction
   c. Bond Issue Activity
3. 1982 Summer School Report
4. Department of Public Safety Annual Report
5. Financial Report
6. House Bill 622
7. Management Information Reports (to be distributed at meeting)
8. Governing and Administrative Regulations (to be distributed at meeting)
9. Leading Issue and Emerging Policies
10. Financial Audit
11.

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel Actions
   a. New Faculty Appointments
   b. Joint Appointments
   c. Cooperating Professorships
   d. Leaves
   e. Resignations
   f. Administrative Appointments
2. Non-academic Personnel Actions
   a. New employees, terminations, transfers, and promotions
   b. Administrative Appointments — S. Rem 2MA
3. Section H 26, Personnel Policy and Procedure Manual
4. Academic Chairperson Handbook
5. Faculty Handbook for Chase College of Law
6. Academic Reorganization
7. College Designations for Academic United
8. Selective Admissions Retention Program
9. Graduate Council Recommendations
   a. Administrative Certificate and Reading Specialist Requirements
   b. TOEFL Examination for Foreign Students

(continued)

BOARD OF REGENTS MINUTES
August 10, 1982

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:10 p.m. on Tuesday, August 10, 1982 by Chairman Kenneth Lucas. Invocation was given by the Reverend Louis R. Schmidt.

ROLL CALL:

Regents present: Mr. David Duncan, Mr. Merwin Grayson, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Gordon Martin, Ms. Mary Penrod, Dr. Pat Sommerkamp, Mr. Richard Spears, Dr. Jeffrey Williams. Excused was Mr. John Yarmuth. A quorum was declared by the Chairman.

Others present: President A. D. Albright, Mr. John Brooking,Dr. Gene Scholes, Mr. John DeMarcus, Ms. Cindy Dickens, Dr. Lyle Gray, Mr. Robert Knauf, Mr. Clarence Tabor, Mr. Dennis Taulbee, Ms. Kim Hennessy, Faculty Senate President Tom Cate, Alumni Representative Jack Burleson, Staff Congress President Dorinda Giles, Ms. Carmine Bell, Ms. Denise Hough, Dr. James Alford, Ms. Perk Rudd, Ms. Sue Hodges, Ms. Becky Myers, Ms. Carla Chance, Ms. Joan Richter, Ms. Carol Guthier, Mr. Mike Baker, Mr. Ken Ramey, Mr. Henry Stephens, Dr. Mike Klembara, Mr. Dave Phillips, Mr. Mike Ryan, Ms. Mary McGhee, Mr. Mary Huening, Mr. John Connor, the Reverend Louis Schmidt, Ms. Dolores Thelen and media representatives - Molly Ross of Kentucky Post, George Eislie of WCKY Radio, Cindy Schroeder of Kentucky Enquirer, and Sam Bucalo of Northern Kentucky Time Star.

The chairman recognized Ms. Dorinda Giles, President of Staff Congress, and Dr. Tom Cate, President of Faculty Senate.

ELECTION OF OFFICERS:

Mr. Duncan nominated Mr. Kenneth Lucas as Chairman, seconded by Mr. Grayson. Mr. Haas moved that the nominations be closed. The motion, seconded by Mr. Duncan, was unanimous. Mr. Lucas was elected Chairman by unanimous vote.

Mr. Haas was nominated for Secretary/Treasurer by Mr. Martin, seconded by Mr. Duncan. A motion by Mr. Duncan, seconded by Mr. Grayson, received unanimous vote to close nominations. Mr. Haas was elected Secretary/Treasurer by unanimous vote.

Mr. Haas nominated Ms. Dolores Thelen as Assistant Secretary, seconded by Dr. Sommerkamp. Mr. Grayson moved that the nominations be closed. The motion, seconded by Mr. Haas, was unanimous. Ms. Thelen was elected Assistant Secretary by unanimous vote.

Mr. Lucas noted that he had been Chairman of the Board for ten years and in the interest of the University, he felt that a committee should be appointed to investigate and recommend tenure provisions for officers of the Board. A committee to recommend a policy for terms of office for officers of the Board was appointed by the Chairman as follows: Dr. Sommerkamp, Chairman, Mr. Duncan, and Mr. Spears.

The minutes of the April 28, 1982 quarterly meeting were unanimously approved on motion by Mr. Haas and second by Mr. Spears.

PRESIDENTIAL REPORTS:

A-1 In presenting the Grants and Contracts Report, the President noted that the University has received nearly $140,000 above last year at this time. The report represents a considerable increase in view of what is transpiring with the particular sources of revenue in these inflationary times. The increase is due to the good work of the Grants and Contract office as well as increased faculty participation in submitting proposals. Grants and Contracts

A-2 Mr. DeMarcus noted that, in addition to the Design and Programming Report submitted, programming and design will begin on a new classroom building, expansion of the library and fine arts building as well as roadways. He further noted that sale of Housing System Revenue Bonds is now complete for a total of $5,993,000. Slides were shown depicting the Health Center in relation to roads and other buildings. — Campus Development

A-3 The President stated that the Summer School report shows a slight increase this year with the largest increased enrollment during intercession. — Summer School Report

A-4 President Albright pointed out various activities in the Public Safety report and noted that the department is a service arm of the University rather than a policy agency. — Report on Public Safety

A-5 The President reported that the complete audit of financial funds of the University will be presented at the October meeting; however, the report submitted gives a fairly accurate financial picture of the University. — Financial Report

A-6 President Albright reported that plans are progressing for the implementation of House Bill 622 giving greater responsibility and a greater accountability for the operation of the University to the Board. Full implementation will not begin until January 1, 1983 at the request of the Department of Finance but preparations are under way in a number of areas. Capital construction option and responsibility will not be exercised as it would necessitate additional staff and expenditures. — House Bill 622

A-7 The Management Information Report will provide the Board members with facts and figures about the University and is a source from which reliable and sound decisions can be made in future planning, according to the President. — Management Information Report

A-8 The President reported that the Governing and Administrative Regulations Handbook reflects the recent reorganization as well as incorporating policy changes which have been approved by the Board. — Regulations Handbook

A-9 The President stated that the report on Leading Issues and Emerging Policies of the University is a document to be used by the Regents, faculty, and the administration in planning for the 1980's and was compiled by a committee under the fine leadership of Dr. Scholes. — Leading Issues and Emerging Policies for the 1980's

Dr. Scholes highlighted the report with an excellent presentation of issues that the institution is facing or will face and some of the policies that will have to emerge within the Board. (The comprehensive report is attached to these minutes.) The following leading issues were discussed in detail by the Vice President: Access, Quality, Curriculum, Funding, Governance, and Community Outreach.

Achievements which the University in all likelihood will approach by the end of the 1980's were outlined by Dr. Scholes as follows:

-an enrollment level of some 12,000 students;
-a faculty of approximately 310 full-time Instructional personnel;
-a support staff of around 500 professional and non-professional personnel;
-a budget approaching $50 million annually;
-expanded and refined academic programs in both the Arts and Sciences and Professional Studies;

(to be continued)

A-9 Anticipated Achievements (continued)
-increased community services through the establishment of institutes and centers designed to respond appropriately to community needs and problems;
-an expanded physical plant, including a new Health Center and two additional classroom buildings; and
-further development of the University Foundation property in a research/high technology center to complement the Kroger facility.

Dr. Sommerkamp commended Dr. Scholes and the committee for the very excellent report. Dr. Williams noted the absence of a statement wherein existing groups give approval to the University Senate. Dr. Albright pointed out that the formation of a University Senate does not preclude existing components but one University Senate will provide a broader representation and communication between present groups.

A-10 Dr. Albright noted that the two financial statements are for the financial aid funds of the University and represents the audit of those funds for 1980-81.

PRESIDENTIAL RECOMMENDATIONS:

B-1 Dr. Gray presented the following Academic personnel recommendations: Academic Personnel Changes

New Faculty Appointments -

Glenellyn J. Barty	Nancy Jentsch	Gary Schneider
J.C. Brandenburg	Louis G. Lamit	H. Ray Souder
Marilyn Cunningham	Miren A.Letermendia	Patricia R. Steiner
Andrea J. Gauthier	Verale W. Phillips	John T. Valauri
Sue Gunkel	Douglas D. Prince	Kathleen S.Verderber
J. Michael Hunter	Bradford W.Scharlott	Grace Walker
		Karen M. Yang

Joint Appointment -
R. Kent Curtis, Assistant Professor of Education and Technology and Occupational Education Departments

Cooperating Professorship -
Carla Chance, Cooperating Assistant Professor of Office Administration

Leaves -
Christopher Boehm, unpaid leave from July 1 through December 15, 1982
Martin Marger, unpaid leave for 1982-83 academic year
Thomas Nieman, unpaid leave for Fall semester 1982
Ronald V. Singer, unpaid leave for the 1982-83 academic year
Verne Shelton, special leave with benefits for the 1982-83 academic year
Michael Skop, unpaid leave for the 1982-83 academic year
Vicki May, unpaid leave for the 1982-83 academic year
Denise Hough, for one-quarter time June-August, 1982 and one-half time September 1982-July, 1983 with benefits

Resignations -

Kenneth Beirne	Constance Mulligan	Larry Titlow
Alan Bentley	Ralph Pearson	Ellen Walker
Pamela Juengling	Mary Ann Rehnke	Jeffrey Wiener

Robert Schneider as Director of Library to Collection Management Librarian

B-1 (continued)
Administrative Appointments -
Larry Giesmann - Associate Dean for Arts and Sciences
James Gray - Assistant Dean for Professional Studies
James Hopgood - Acting Chairperson of Social Sciences
Mary Ellen Rutledge - Acting Director of W. Frank Steely Library

Dr. Gray reported that the title of Cooperating Professorship was created and approved several months ago wherein the responsibilities of the individual are not primarily academic and that he was pleased to announce Carla Chance as the first person to fill this type of position.

Mr. Haas moved that the recommendations be approved as presented. The motion, seconded by Mr. Grayson, was passed unanimously.

B-2 Various non-academic personnel actions (attached to these minutes) were unanimously approved on motion by Mr. Grayson and second by Mr. Martin.

B-3 The President recommended that the Board of Regents approve the "Exit Interview" policy to be included in the Personnel Policy and Procedure Manual. (Policy is attached to these minutes.) A motion by Mr. Spears, second by Mr. Duncan, and a unanimous vote approved the policy as recommended.

"Exit Interview" Policy

B-4 President Albright recommended that the revised Handbook for Department Chairpersons receive approval. Provost Gray explained that the role of the chairperson has changed since the previous handbook was prepared several years ago. The handbook has been approved by the chairpersons and has been reviewed by the faculty and legal counsel. One of the significant changes in the handbook is the addition of Council of Chairpersons and includes all permanent and acting chairpersons. An ad hoc committee has been formed to communicate the concerns of the chairpersons as a group to the administration. A motion was made by Mr. Haas to approve the handbook as recommended. The motion was seconded by Dr. Sommerkamp and was approved by unanimous vote.

Handbook for Department Chairpersons

B-5 Dr. Albright recommended approval of the sections of the Faculty Handbook regarding Chase faculty. The Provost stated that the sections have been developed and approved by the faculty of the Chase College of Law and its administration. They are necessary due to the organizational structure of the College of Law differing from that of the rest of the University. Dr. Williams moved that the sections of the Faculty Handbook pertaining to Chase faculty be approved as submitted. The motion, seconded by Mr. Martin, was unanimously passed.

Chase faculty portion of Faculty Handbook

B-6 It was recommended by the President that the following academic reorganization take place in Academic Affairs:
Cooperative Education - Move from Academic Affairs to Student Affairs
Child Development/Day Care - Move from Academic Affairs to Student Affairs
Electronics Technology Program - Move from Physical Sciences to Technology and Occupational Education
Dr. Sommerkamp asked if students in the cooperative education program will continue to receive credit as they have in the past. Provost Gray said that the move to Student Affairs will not jeopardize their credits. Mr. Martin moved, seconded by Mr. Spears, that the recommendation be adopted as presented. The motion was passed by unanimous vote.

Academic Reorganization

B-7 President Albright recommended that two major program components of the University be designated colleges; namely, the College of Arts and Sciences and the College of Professional Studies. The President explained that Northern has been moving in the direction of full development as a University by curriculum development, instructional strength, faculty peer review and evaluation and program review on a continuing basis. If the Board approves, Northern will have three colleges - Chase College of Law in addition to the above. Two Colleges Named

The President further noted that at the time the West Campus is renamed, a recommendation can be expected to designate that component as a college. (The West Campus was later in this Board meeting renamed "University College" as reported in these minutes under B-16.)

It was moved by Mr. Haas and seconded by Dr. Sommerkamp that the recommendation be approved as presented. The motion was accepted by unanimous vote.

B-8 President Albright presented a recommendation that a Selective Admissions Program in Education receive Board approval. (Complete program attached to these minutes.) The program for students entering teacher education has been mandated by the State Department of Education. The program as presented has been reviewed by legal counsel of the University, according to Provost Gray. Dr. Gray further stated that the program is designed to raise the quality of students entering elementary and secondary teacher education. Selective Admissions Program in Education

Dr. Williams, in moving that the recommendation be adopted, noted that Northern's Education Department has been one of the pioneers in upgrading admissions standards for teacher education. The motion, seconded by Mr. Duncan, was passed unanimously.

B-9 Changes were recommended in graduate education requirements by the President for the following: Graduate Education Requirements for three areas

Reading Specialist Certificate
Administrative Certificate
Test of English as a Foreign Language

Specific requirements for the above are attached to these minutes. Dr. Gray explained that these requirements are an upgrade of existing programs. Dr. Sommerkamp moved, seconded by Mr. Grayson, that the recommendation be approved as presented. The motion passed unanimously.

B-10 A recommendation was made that the University, if deemed appropriate by the President, grant an honorary Doctor of Laws degree to the speaker for the dedication of the Law College. Dr. Albright stated that the dedication of the new law building will be held on September 19, 1982 and that the University may wish to honor the guest in this manner. Mr. Haas moved the adoption of the recommendation. Mr. Martin seconded the motion. Recommendation was adopted with seven aye votes and an abstention by Dr. Williams, who stated he felt it inappropriate to vote on granting this degree. Guest Speaker at Law College Dedication

B-11 A Policy on Undergraduate Admissions (attached to these minutes) was presented by the President. If approved, the policy will be be implemented with the class seeking admission for the Fall of 1982. Ms. Dickens noted that the policy distinguishes between non-matriculating students and those students seeking a degree and that a provisional admissions program is included for students below standards set forth in the policy. Undergraduate Admissions Policy

When asked if such a policy may lower our number of admissions, Ms. Dickens answered that the university similar to ours noted a decrease in enrollment for a year or so when it began to increase. On an additional question, she stated that help and counselling would be given those students entering under the provisional admissions program.

When asked about the legality of admission policies and the legal rights of an entering student in a state-supported institution, Dr. Albright explained that it has been quite well established that an institution can set its own admission requirements.

Unanimous approval of the policy was given on motion by Mr. Haas and second by Mr. Spears.

B-12 The President presented a Policy for Readmission of a Suspended Student (attached to these minutes). Ms. Dickens explained that the policy provides the basis and procedure for readmission of students who have been suspended from the University for academic reasons. A motion by Mr. Martin and a second by Dr. Sommerkamp was approved by unanimous vote. Readmission Policy for Suspended Students

B-13 President Albright recommended that an easement be approved for the West Campus to allow the Santitation District No. 1 of Campbell and Kenton Counties to install a new sewage line along the northwest edge of the University property. Mr. DeMarcus explained this is needed in order to replace an old storm drain. Mr. Martin moved that the recommendation be adopted. The motion, seconded by Mr. Spears, was passed unanimously. Easement to Sanitation District

B-14 A Fire and Life Safety Policy (attached to these minutes) was presented for approval. Mr. DeMarcus explained that occasionally staff members will alter safety equipment and such actions could prove very hazardous; therefore, a policy was needed to be established to prevent this from reoccurring. Mr. Duncan moved that the policy be approved as presented. The motion was seconded by Mr. Haas and was passed by unanimous vote. Fire and Life Safety Policy

B-15 (a) The President recommended that the Regents approve Recommendation Five to be included in the University Committee Policies. (Recommendation attached to these minutes.) He explained that this recommendation would insure student representation on all committees with the exception of those committees whose primary charge is to review academic records of students. Motion was unanimously approved on motion by Ms. Penrod and second by Dr. Sommerkamp. University Committee Policies

B-15 (b) President Albright recommended the adoption of the Athletic Council Constitution as revised to reflect the recent reorganization in the University. He explained that the Athletic Council approved the constitution at its meeting on May 5, 1982. A motion by Mr. Grayson and a second by Mr. Martin was adopted by unanimous vote.

Athletic Council Constitution

B-15 (c) Ms. Penrod recommended that the amendments to the Student Government Constitution be approved by the Board as required in Article IX of the Constitution. She pointed out the major changes as follows:

1. That Student Forums be held twice a semester rather than once.
2. Cluster Representatives to be changed to Academic Senators.
3. That all Executive Council members attend Representative Assembly meetings - in the past only the President was required to attend.
4. Senators, Justices, and Department Representatives must have completed at least 30 hours, rather than one year.
5. That two-thirds rather than three-fourths of Representative Assembly membership be required for impeachment petition.

Ms. Penrod moved the adoption of the amendments. The motion, seconded by Dr. Sommerkamp, was passed unanimously.

Student Government Constitution

B-16 The President recommended that the West Campus be renamed University College. For the purposes of future planning and university relations, it was appropriate to designate the West Campus as a collegial unit. This would prevent negative assumptions being attached to the programs on that campus, as well as enabling the University to include it in the mainstream planning processes. He further stated that it was quite possible that a more appropriate title would be chosen at a later date. Dr. Gray explained a search committee is underway to select a Dean for that area and be responsible for the programming.

A motion was made by Mr. Haas and a second by Mr. Grayson to adopt the recommendation.

Dr. Williams spoke against the motion stating he felt there was a contradiction in the way in which this was being approached. He expressed the fact that he felt the West Campus should not be named until it was known exactly how the campus would be utilized.

Dr. Albright explained that the idea of renaming the campus was to keep the operation there from appearing as a second class operation. He further explained that there has been planning taking place for this campus over a period of time and has been reported to the Regents.

After further discussion, the Chairman called for a vote. The motion carried with seven aye votes and a nay vote by Dr. Williams.

West Campus renamed University College

B-17 President Albright recommended that the University continue to pursue its negotiations with the Federal Communications Commission leading to the establishment of a radio station at Northern Kentucky University. The President explained that the University has been attempting to establish a station since 1972; however, financing has precluded further planning. While funding is still not clear, it is necessary to keep the option open and available. Motion by Mr. Grayson and second by Mr. Duncan was approved by unanimous vote.

Negotiations with FCC

OTHER BUSINESS:

C-1 Dr. Albright called attention to a national meeting of the Association of Governing Boards of Universities and Colleges to be held in Louisville in October. He stated that if any Regents desire to attend, arrangements can be completed for them.

A motion was made by Mr. Martin that the Regents go into Executive Session to discuss a personnel matter. The motion, seconded by Mr. Duncan, was passed unanimously.

The meeting recessed at 6 p.m. and reconvened at 7:15 p.m.

Motion to adjourn was made by Mr. Grayson, second by Mr. Haas.

The next quarterly meeting of the Board of Regents is scheduled for Wednesday, October 27, 1982.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its regular quarterly meeting on August 10, 1982 and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 30th day of August, 1982.

SPECIAL MEETING

BOARD OF REGENTS

Northern Kentucky University

Thursday, October 7, 1982 - 4 p.m.

CALL TO ORDER AND INVOCATION

ROLL CALL

A. PRESIDENTIAL REPORTS

1. Fall Enrollment Report

2.

B. PRESIDENTIAL RECOMMENDATIONS

1. Audit of Financial Records for 1981-82

2. Bond Resolution

3. Organization Chart

4. Academic Proposals

a. Information Systems Program Proposal
b. Food Market/Management Program Proposal

5. Administrative Regulation for Chairpersons' Council

6. Allocation of Prior Year Fund Balance

OTHER BUSINESS

1. Special Counsel for Alfonz Lengyel Complaint

2. Discussion of Next Meeting Date

EXECUTIVE SESSION

ADJOURNMENT

BOARD OF REGENTS MINUTES
Thursday, October 7,1982

A special meeting of the Board of Regents of Northern Kentucky University was called to order by Chairman Kenneth Lucas at 4:10 p.m. on Thursday, October 7, 1982. The invocation was given by the Reverend Louis R. Schmidt.

ROLL CALL:

Regents present: Mr. David Duncan, Mr. Merwin Grayson, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Gordon Martin, Ms. Mary Penrod, Mr. Richard Spears, Dr. Jeffrey Williams. Mr. John Yarmuth arrived during Executive Session. Excused: Dr. Pat Sommerkamp.

Others present: President A. D. Albright, Dr. Gene Scholes, Mr. John DeMarcus, Ms. Cynthia Dickens, Dr. Lyle Gray, Mr. Clarence Tabor, Mr. Dennis Taulbee, Dr. Ralph Tesseneer, Ms. Kim Hennessy, Dr. James Claypool, Dean William Jones, Alumni Representative - Ken Ramey, Staff Congress Representative - Ms. Dorinda Giles, Ms. Denise Hough, Ms. Andrea Grone, Ms. Mary Paula Schuh, Ms. Sue Hodges, Ms. Becky Myers, Ms. Carla Chance, Mr. Gregg Schulte, Mr. Donald Gammon, Ms. Mary McGhee, Ms. Mary Huening, Mr. Ted Wanstrath, Ms. Carol Guthier, Mr. Dan Alford, the Reverend Louis R. Schmidt, Mr. Ralph Nall, Mr. Isaac Watson. Media Representatives - Bill Ackley, Chip Garlough and Barb Barker of the Northerner, Carole Valentine of the Kentucky Enquirer, Art Jester of the Lexington Herald, Tom Williams of the Kentucky Post, Bonita Jackson of WCKY Radio, John Ester of WCPO TV, and Jeannine Gallenstein of the Northerner.

Mr. Lucas recognized and introduced Mr. Ken Ramey, President of the Alumni Association and representative for the board meetings.

PRESIDENTIAL REPORTS:

A-1 The President presented the enrollment summary for Fall, 1982 and noted that Undergraduate headcount increased 7.3% and Undergraduate FTE increased 9.3%. A slight decrease in Graduate enrollment is due to more selective admissions in the Education and MBA programs. The overall headcount increase was 6.2%. With the number of continuing education students, which are not included in the report, our total headcount is over 10,000 students. — Fall Enrollment

A-2 Dr. Albright reported that an investment program which began in 1978 has shown considerable increase over the past four years and he complimented Mr. Tabor and his staff in developing the program. The President also recognized Mr. Isaac Watson of the Department of Finance mentioning that he and others from the department had also helped in the development of the investment program. In 1978, nearly $40,000 was realized from investments; 1979 - $125,000; 1980 - $237,000, 1981 - $257,000; and 1982 - $547,000. — Investment Program

A-3 A report from Research, Grants, and Contracts office was presented to the Board. The President stated that he felt the Board members would be pleased with what has and is happening in this important area. — Grants and Contracts

PRESIDENTIAL RECOMMENDATIONS:

B-1 Dr. Albright called upon Mr. Tabor to speak on the audit of the University's financial records for 1981-82. Mr. Tabor stated that the audit was performed by the State Auditor's office and according to the comments of the head auditor, — Audit

(continued - next page)

B-1 (continued)
the University came out quite well. The revenue reached the projected figure and expenditures were in line with budgeted figures. The audit report was presented to the Board for acceptance. Mr. Grayson moved that the report be accepted. The motion, seconded by Mr. Duncan, was unanimously passed.

B-2 Mr. DeMarcus reviewed the $14,900,000 bond resolution and listed the buildings to be covered. The bids will be opened and voted upon October 19, 1982 at 10 a.m. in the Board Room. Mr. Nall of First Securities, bond counsel, was introduced and asked for any questions. Mr. Duncan asked if there would be a conflict of interest if a Regent desired to become involved in the purchase of bonds and Mr. Nall answered that there would not be a conflict. Series C Bond Resolution

President Albright stated that since the adoption of a Resolution by the Board of Regents on July 18, 1979 authorizing the issuance of $19,360,000 Consolidated Educational Buildings Revenue Bonds, Series C, of the Board of Regents of Northern Kentucky University, the scope of the project to be financed by the issuance of such bonds has been reduced with the result that now it is in order to finance a project consisting of a Power Plant expansion estimated to cost $1,732,604, a Health and Physical Education Facility estimated to cost $9,332,000, a Materials Storage Facility estimated to cost $900,000, the Classroom Building Reconstruction (Nunn Hall) estimated to cost $1,500,000, and the Science Building Reconstruction estimated to cost $1,069,591, totalling $14,543,195, and that if Bonds are to be issued to finance such projects to pay the architectural, engineering, financing and other necessary costs in connection therewith, including a reasonable amount for unforeseen contingencies, it would be necessary to issue $14,900,000 of Northern Kentucky University Consolidated Educational Buildings Revenue Bonds, Series C.

After such matter had been fully discussed and considered, Board Member Gordon Martin moved the immediate adoption of the proposed "Series C Bond Resolution" attached hereto, which motion was seconded by Board Member David Duncan, said proposed Resolution being entitled as follows:

A RESOLUTION AUTHORIZING THE ISSUANCE OF $14,900,000 CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES C, OF THE BOARD OF REGENTS OF NORTHERN KENTUCKY UNIVERSITY, AND REPEALING ALL PRIOR PROVISIONS INCONSISTENT THEREWITH.

Full discussion followed concerning said Resolution and the Chairman thereupon put the question and upon the call of the roll, the vote was recorded as follows:

Yea Votes - Mr. Elmer Haas, Mr. Gordon Martin, Dr. Jeffrey Williams, Mr. Richard Spears, Mr. David Duncan and Ms. Mary Penrod.
Nay Votes - None
Abstention - Mr. Merwin Grayson, Jr.

Thereupon the Chairman declared that said motion had carried that such Resolution had been duly adopted and was in full force and effect.

Thereupon, Board Member David Duncan moved, which motion was seconded by Board Member Gordon Martin, that the Chairman of the Board of Regents, its Secretary, and other appropriate representatives, be authorized at the appropriate time to cause publication to be made in such newspapers and at such times as necessary

(to be continued)

B-2 (continued)
to comply with all legal requirements relating to a Notice of Sale of Bonds as provided by such Resolution, and that an Executive Committee be and is hereby appointed consisting of Elmer Haas, Gordon Martin, and Kenneth Lucas with full power to accept the best bid with reference to the sale of such Bonds, the best bid being calculated on the lowest net interest cost, provided that such average net interest cost in any event does not exceed 12.5%.

Full discussion followed on said motion, and the Chairman thereupon put the question, and upon the call of the roll, the vote was recorded as follows:

Yea Votes - Mr. Elmer Haas, Mr. Gordon Martin, Dr. Jeffrey Williams, Mr. Richard Spears, Mr. David Duncan, Mr. Merwin Grayson, and Ms. Mary Penrod.

Nay Votes - None

(See excerpts of special meeting provided each Regent prior to the meeting for further areas of the Bond Resolution.)

B-3 President Albright introduced an organizational chart explaining that the primary rationale for determining the organizational structure was to conform more closely to the relationship between structure and institutional missions, goals, and objectives and was based on several key premises: Organizatior Chart

1. The nearer that structure corresponds to basic missions, the more likely these missions are to be accomplished.
2. The closer that structure reflects directly the institutional missions, the easier performance can be evaluated and missions and operations revised.
3. The fewer "layers" in a structure, the more likely many decisions can be made at the basic structural unit.
4. The fewer major discrete units, the easier it is to effect coordination among these units.
5. In periods of change and transition, structure should be flexible, adaptive, and accommodating of increasing variables in missions and programs.

Dr. Albright explained that these premises have guided the development of the University's organization over the past several years. Following earlier reorganization of the academic area, Business Affairs was remodelled next, then the Board approved a subsequent reorganization plan which was to be completed in two phases: the first dealt primarily with Student Affairs and that has been implemented; the second phase which is reflected in the attached chart, deals primarily with administrative affairs and is based in part upon recommendations by the Price Waterhouse management study and the Southern Association of Colleges and Schools study.

Mr. Duncan stated that he would also like to see names on the chart and the President said that they would be supplied at a later date.

Mr. Haas moved that the organizational chart be approved as presented upon the recommendation of the President. The motion was seconded by Mr. Spears and was passed unanimously.

B-4 Two program proposals were presented by the President as follows:
(1) Information Systems Program
(2) Food Marketing Management Program

Program Proposals

Dr. Gray stated that both programs created great interest and this would raise the number of baccalaureate programs being offered by the University. Mr. Grayson moved that the programs be approved as presented. The motion, seconded by Mr. Spears, was unanimously passed.

B-5 President Albright presented an Administrative Regulation for the Chairpersons' Council and recommended its approval (regulation attached to these minutes). The Regents approved the establishment of a Chairpersons's Council at the last meeting and this regulation will facilitate the body, according to the President. A motion was made by Mr. Martin, seconded by Mr. Haas, that the regulation be approved as presented. The motion passed by unanimous vote.

Chairpersons' Council

B-6 The President presented a list of projects in priority order allocating the prior-year fund balance and recommended approval (list attached to these minutes). Dr. Albright noted that the financial statement reflects a discretionary prior-year fund balance of $2,366,000. Of the $2.3 million, it is recognized that the University needs to maintain a permanent reserve of $700,000 and that the institution will realize additional revenues in 1982-83 of $300,000. The $700,000 amount is equal to 2% of the current fund budget which is a low reserve but not dangerously low.

Prior-year Fund Balance Allocation

Mr. Grayson made a motion that the prior-year fund balance allocations be approved as presented. The motion, seconded by Mr. Spears, was unanimously passed.

EXECUTIVE SESSION

Mr. Martin moved that the Board go into Executive Session to discuss a personnel matter. The motion, seconded by Mr. Grayson, was passed unanimously.

The meeting reconvened at 6:45 p.m.

SPECIAL COUNSEL

The President stated that when the Board of Regents approved the University Counsel position, the Executive Officer was empowered to select an outside counsel for litigations which would be ratified by the Board. Dr. Albright reported that he has selected Lanny Holbrook for the Lengyel complaint, has proposed and sent to State Government a personal service contract, and recommended the Board's approval of the action. Mr. Grayson moved, seconded by Mr. Duncan, that Lanny Holbrook as special counsel be approved as presented. The motion passed unanimously.

PERSONNEL MATTER

Chairman Lucas stated that the Executive Session personnel matter concerned the President. On September 15, 1982 the Chairman received from Dr. Albright a letter informing the Board of his intention to retire as of February 28, 1983. At the last regular quarterly meeting during Executive Session, the Board asked the President to prepare consulting guidelines for the selection of a new President.

The Chairman recommended that the suggested guidelines developed and circulated at the request of the Board be adopted for use in conducting the search for a President of Northern Kentucky University to replace A. D. Albright; and, that the following proposed resolutions one through six inclusive be adopted as the initial steps to be taken in activating the process:

1. Acknowledgement of incumbent's intention to relinquish the Presidency
2. Establishment of a Consultation Committee to the Board of Regents in the Selection of a President
3. Adoption of Procedures for the Consultation Committee
4. Adoption of General Criteria and Qualifications for Screening Candidates by the Consultation Committee
5. Establishment of a Position of Secretary to the Consultation Committee and the Appointment of Person to Fill the Position

(Complete resolutions are attached to these minutes)

Mr. Duncan moved that the resolution be adopted. Mr. Haas seconded the resolution and added the following: This resolution is intended to provide an outline of a general nature, establishing within reasonable limits the committee's procedures in the selection of a new President. The motion including the addendum was passed unanimously.

Chairman Lucas commented that in the six and a half years that Dr. Albright has been with the University, he has served with as much distinction as anyone could expect from a human being. It will be a most difficult position to fill. The person who follows Dr. Albright will not have an easy task. The Chairman stated that he was glad to have been a part of the selection process for Dr. Albright and values the association with him. He further stated that Dr. Albright cannot be lauded enough for the contributions to this institution and community.

Mr. Haas moved that the comments be prepared in an appropriate commendation for Dr. Albright's service to our institution and that due consideration be rendered to the preparation of same. The motion was seconded by Mr. Martin and was passed unanimously.

President Albright stated that his remarks would be brief but that he would have more to say at a later date. He said that he felt this has been and is an unusual and distinctive Board for two reasons: First, the Board, unlike many Boards, has set policy for the administration to carry out and has not interfered in the operational aspects. The Board has kept an open mind realizing that if something is not working, it can be changed. Second, the Board has been completely unified in moving this institution to a quality, first-class university.

Dr. Albright's further comments noted that this institution has a very highly credentialed, first-class faculty. The students have just finished a survey and the one thing that has come up with the greatest frequency was the accessibility of faculty to the students of the University as well as the quality of instruction. In addition to the faculty, this institution has a highly qualified staff giving unlimited support to the institution.

In addition to the faculty, staff, and students, the people of Northern Kentucky have been unusual due to the fact that there has been more public support and encouragement for the institution in this area than happens in other areas. According to the President, the institution has become a unifying force in Northern Kentucky.

In conclusion, Dr. Albright said that rather than discuss what has happened in the past six and a half years, he would prefer to address some of the challenges of the future, things that will be happening in 1987, 1992, and the year 2000.

A date for the regular quarterly meeting will be set later.

The meeting was adjourned at 6:50 p.m. on motion by Mr. Grayson and second by Mr. Haas.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its special meeting on Thursday, October 7, 1982 and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 3rd day of November, 1982.

AGENDA - BOARD OF REGENTS MEETING
Tuesday, December 14, 1982

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES OF PREVIOUS MEETINGS - Quarterly Meeting, August 10, 1982
Special Meeting, October 7, 1982
Executive Committee Meeting, October 19, 1982

A. PRESIDENTIAL REPORTS

1. Grants and Contracts Activity
2. Summer School Report - 1982-83
3. Campus Development Report
4. Quarterly Financial Report
5. State Audit Report
6. Banking Services Award (Handout)
7. Funding Formula Report
8. Annual Report of the President, 1981-82
9.

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel
   a. New Faculty
   b. Promotion and Tenure
   c. Administrative Actions
2. Non-academic Personnel
   a. Promotions, transfers, terminations
   b. Administrative Changes
3. Employee Grievance Procedure
4. House Bill 622 Implementation
5. Authorization to Study Appointment Terms
6. Standards for Off-Campus and Special Graduate Instruction Policy
7. Chase College of Law Program Change
8. Patent Release Recommendation

(continued)

PRESIDENTIAL RECOMMENDATIONS (continued)

9. Administrative--Governing Regulations

   a. Committee on Admissions

   b. Participation in Professional Activities

   c. Faculty Senate Constitution

10. Student Government Compensation

11. Operating Budget Revisions

12. Financial Contingency Plan

13.

14.

C. OTHER BUSINESS

Will there be reports from the Presidential Search Committee as well as the Committee appointed to study Regents' Officer Tenure??

NEXT MEETING DATE - for Quarterly Meeting in January
Normal meeting date would be Wednesday, January 26, 1983

ADJOURNMENT

BOARD OF REGENTS MINUTES
Tuesday, December 14, 1982

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order by Chairman Kenneth Lucas at 4:45 p.m. on Tuesday, December 14,1982. The invocation was given by the Reverend Louis R. Schmidt.

ROLL CALL:

Regents present: Mr. David Duncan, Mr. Merwin Grayson, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Gordon Martin, Ms. Mary Penrod, Dr. Pat Sommerkamp, Mr. Richard Spears, Dr. Jeffrey Williams, Mr. John Yarmuth.

Others present: Dr. A. D. Albright, Dr. Lyle Gray, Mr. John DeMarcus, Mr. Robert Knauf, Mr. C.M. Tabor, Mr. Dennis Taulbee, Ms. Cindy Dickens, Dr. Roger Meade, Ms. Sue Hodges, Ms. Perk Rudd, Ms. Kim Hennessey, Ms. Carla Chance, Mr. Gregg Schulte, Mr. Glenn Wells, Mr. William Jones, Mr. Henry Stephens, Ms. Mary McGhee, Ms. Linda Sanders, Mr. Ken Ramey, Mr. Mike Baker, Dr. Tom Cate, Louis Schmidt, and from the media - Gary Webb of Kentucky Post, Howard Mintz of Kentucky Enquirer, and Sam Bucalo of Kentucky Time Star.

The Chairman welcomed all present and recognized Ms. Linda Sanders, newly elected President of Staff Congress.

MINUTES of previous meetings were approved as follows:

August 10, 1982 minutes were accepted as presented on motion by Mr. Duncan and second by Mr. Haas. Minutes of October 7, 1982 were approved on motion by Mr. Yarmuth and second by Mr. Duncan. October 29, 1982 executive session minutes were accepted on motion by Mr. Haas and second by Mr. Yarmuth.

PRESIDENTIAL REPORTS:

A-1 The President presented Grants and Contracts report noting that the amounts are lower than last year at this time. It is not unexpected in view of the economic situation. — Grants and Contracts

A-2 The Summer Sessions report showed a small decrease from the previous year. Comparison figures attached to these minutes. — Summer Sessions

A-3 Mr. DeMarcus, reporting on Campus Development, stated that even though drawings and specifications have been completed for the Pedestrian Bridge, there is insufficient funding at this time to complete the section to the University Center. — Campus Development

The Administrative Center has some minor problems, but all are under warranty and the contractor is attempting to correct. Construction of the Health Center is progressing somewhat ahead of schedule at this time, and is scheduled for completion in the Spring of 1984. The computerized security system has experienced minor problems. Dr. Albright stated that the University is indebted to Mr. Spears and Ashland Oil for consulting help on the planning for improved computer facility and capability.

The final closing for the Series C Bond issue was held November 24 in Chicago. Low bid was received from John Nuveen Company of Chicago. The effective interest rate for the $14,900,000 issue is 9.7721%.

A-4 Mr. Tabor presented the quarterly financial report and noted that budgeted revenue figures were on target with amounts received. — Quarterly Report

A-5 The audit report from the State Auditor of Public Accounts was presented. Mr. Tabor noted that the report contains the comments and recommendations of the audit team as well as the University's response. Mr. Tabor further reported that the team was basically complimentary to the accounting staff for their cooperation during the audit. — Financial Audit

A-6 President Albright stated that the Board had authorized the administration to exercise Senate Bill 243 requiring state universities to select banks by competitive proposal. Northern solicited written proposals from all banks in Northern Kentucky area. Mr. Tabor and others drew up written criteria covering such matters as interest rate and services to be provided to the University. Six banks responded and proposals were judged on the written criteria. The best proposal was received from Covington Trust and Banking Company. — Implementatic of House Bill 243 (Banking)

Dr. Albright stated that the unsuccessful proposers had the opportunity to review and discuss their unsuccessful proposal. Three banks took advantage of this and were complimentary of the procedures used by the University.

The Memorandum of Agreement between Covington Trust and the University outlines the specifics and is effective January 1, 1983 through December 31, 1983, with an option to renew. (Agreement attached to these minutes.)

A-7 President Albright reported that the Council on Higher Education staff has done a tremendous amount of research, analyses, and other work to produce a comprehensive proposal for funding higher education. — Formula Funding

Mr. Taulbee reported that the original formula for funding was discussed at a meeting this week and the Council proposed some new changes. The changes met with objection by the budget directors at this late date. There will be additional meetings to resolve many issues brought up by the various universities. The Board will be kept informed.

A-8 The President presented his annual report for 1981-82 as mandated by Kentucky Statute. Dr. Albright complimented Mr. Knauf, Mr. Ellis and staff for an excellent report. — President's Annual Report

PRESIDENTIAL RECOMMENDATIONS:

B-1 The following new faculty appointments were presented for approval:

Biological Sciences:
- Holland Harris
- Michael Sheldon

Fine Arts
- Linda Krumme

Mathematical Sciences
- Thomas Eynden
- Gail Loeser

Social Sciences
- Ruth Pickard

Physical Sciences:
- Donna Glaser
- Joe McNutt
- Arthur Ambrose

Political Science:
- Jerry Gaines
- Dennis Sies

Psychology:
- Randal Horn
- Angela Lipsitz

New Faculty Appointments

Mr. Grayson moved that the appointments be approved. The motion was seconded by Ms. Penrod and was passed by unanimous vote.

The following appointments of continued probation, promotion, and tenure were presented for approval: (Comprehensive list attached to these minutes)

Continued Probation, Promotion, and Tenure

For continued probation:

Miriam Kannan	Stuart Ware	Susan Doerr
Diana Duffin	Stephen Goacher	Carolyn Hagner
Gary Johnston	David Leong	Ronald Naversen
James Stacy	William Worley	Janet Scarlata
Macel Wheeler	Margo Jang	Susan Kissel
Robert Rhode	Peter Schiff	Elinor Welt
Sally Jacobsen	Daniel Curtin	Charles Frank
Philip McCartney	Gail Wells	David Hogan
Cynthia McDaniels	Raymond McNeil	Glen Mazis
Terry Pence	Terrence Russell	Barbara Thiel
Billie Brandon	Julie Gerdsen	Melinda Langmeyer
Timothy Serey	Richard Snyder	Charles Apple
Thomas Barone	Rachelle Bruno	Donald Cobb
Rosemary Ingham	Linda Olasov	Janet Simon
Janice Cantrell	Joy Churchill	Lynn Cones
Linda Moneyham	Barbara O'Brien	Alice Rini
Victoria Channell	J. Michael Hunter	E. Kay Cooper
James Alford	James Kinne	Paul Joseph
Gene Krauss	Carol Allred	Carol Bredemeyer
Linda Newman	Tom French	Nancy Campbell
Lois Schultz	Rebecca Sturm	Threasa Wesley

For promotion:

W. Michael RYan	Richard O'Brien	Christopher Boehm
Mary Ann Renz	David Bishop	Michael Gray
Christine Stiegler	James Gray	Philip Yannarella
Mark Stavsky	Henry L. Stephens	Thomas French

For tenure:

W. Michael Ryan	Judith Bechtel	Frank Dietrich
Richard O'Brien	Charles Hawkins	David Adams
Robert Snyder	David Bishop	Mary Ann Renz
Michael Gray	Christine Steigler	James Gray
Henry L. Stephens		

Mr. Yarmuth moved that the appointments be approved as presented. The motion, seconded by Dr. Sommerkamp, received unanimous approval.

Terminal Contracts

It was recommended that V. Jean Wainscott of Biological Sciences and Byron Renz of Communications receive one year terminal contracts. Mr. Grayson moved that the recommendation be approved as presented. The motion, seconded by Mr. Haas, received unanimous approval.

Administrative Actions

The following administrative actions were presented for approval:

Dr. John White be appointed Dean of University College
Dr. Robert Miller be appointed Dean of Community Research and Services
Dr. Joseph Ohren, Acting Chairperson of Public Administration
Dr. Michael Klembara from Associate Provost to Associate Professor of Math
Ms. Betsy Jennings, Cooperating Instructor of Education
Dr. Fred Rhynhart, unpaid leave for Spring Semester 1983
Mr. Jack Sherman, unpaid leave for Fall Semester 1983.

B-1 (continued)
Dr. Gray noted that the Board previously approved the Cooperating Professorship appointment stating it was for those persons who choose to work outside the academic area but credentials and expertise qualify them for this appointment.

Dr. Sommerkamp moved that the actions be approved as presented. The motion was seconded by Dr. Williams and was passed by unanimous vote.

B-2 Non-academic personnel actions (list attached to these minutes) were approved by unanimous vote on motion by Mr. Grayson and second by Dr. Sommerkamp. Mr. Schulte noted that the information presented showed a lessening amount of terminations which probably reflects the economic situation at this time. The President complimented Mr. Gregg Schulte and his staff for the development of a personnel system that is working well for the University.

Non-academic Personnel Actions

The following administrative personnel actions were presented by the President for approval:

John P. DeMarcus - from Executive Assistant for Campus Development to Associate Vice President for Facilities Planning
Gene W. Scholes from Vice President to Vice President for Administration
Clarence M. Tabor from Executive Assistant for Business Affairs to Associate Vice President for Business Affairs
Dennis L. Taulbee from Director of Budget and Operations Research to Director of Budget
James C. Claypool - special leave for Summer of 1983.

Mr. Martin moved the approval of the actions, seconded by Mr. Grayson. The motion was unanimously approved.

B-3 The President asked Ms. Hennessey to speak to the recommendation being presented on Grievance Procedures to be included in the Personnel Policy and Procedures Manual (copy attached to these minutes). Ms. Hennessey reviewed the procedure for the Regents stating that the policy will protect the University as well as the individual. Mr. Grayson moved the adoption of the policy. The motion, seconded by Mr. Yarmuth, received unanimous approval.

Grievance Procedure

B-4 President Albright recommended that the Regents implement the provisions of House Bill 622, in regard to purchasing and financial management, effective January 1, 1983, and that the Board authorize the President to adopt regulations, policies, and procedures for implementation. This bill gives the University more latitude in the financial and purchasing operations. The President thanked Mr. Grayson for his effective help in the passage of this bill. Dr. Sommerkamp moved that the recommendation be approved. The motion was seconded by Mr. Martin and was passed by unanimous vote.

House Bill 622

B-5 It was recommended that the Board of Regents authorize the administration in consultation with appropriate campus constituencies to study and recommend to the Board additional types of appointments for faculty and administrative staff personnel. Dr. Gray pointed out that this does not replace the single appointment structure for both faculty and administrative personnel, but will give other alternatives to the administration in making appointments. Mr. Grayson moved that the recommendation be approved. The motion, seconded by Mr. Duncan, received unanimous approval.

Appointment Terms

B-6 The President recommended that the Standards for Off-Campus and Special Graduate Instruction Policy (attached to these minutes) receive Board approval for implementation immediately. Dr. Albright stated that the policy is a result of a year-long discussion with the graduate deans and deans who handle other off-campus instruction at institutions within Kentucky. The policy has received the approval of the NKU Graduate Council. Dr. Williams made a motion to approve the recommendation. The motion, seconded by Mr. Yarmuth, received unanimous approval.

Off-Campus Instruction Policy

B-7 It was recommended by the President that Chase Program Revisions (attached to these minutes) be approved as presented. Dean Jones stated that the revisions are a culmination of revisions which were begun two years ago to strengthen the quality of the instructional program of the law school. The revisions have the support of the law faculty. The focus of the revisions is more on basic courses so law students will be better prepared for graduation and the state bar examination. Dean Jones further noted that the program was not devised as a response to publicity about Chase's recent poor showing on the state bar exam, but was instead a result of a lack of emphasis on the basics in recent years.

Chase Program Revisions

Dr. Albright stated that despite the exam scores, the law school is still considered on the upswing. The scores did give some of the few critics in the state an opportunity to expand upon their biases, but the school has improved in the last two years by reducing enrollment with a more selective admission policy. The accreditation committee of the American Bar Association that evaluated the law school through thorough examination of the program approved accreditation, and also noted that the law school has improved immeasurably during the last two years.

Mr. Haas moved that the Chase Program Revisions be approved as presented. The motion, seconded by Dr. Sommerkamp, was unanimously approved.

B-8 The President recommended that the release (attached to these minutes) of Northern's interest in patented compounds, synthesis methods and usage of the patent application directed to "Substituted Pteridines, Methods of their Synthesis and Biological Utility" be approved. According to Ms. Hennessey, University of Cincinnati and Northern have combined efforts to invent the above-named compounds,methods and usage. The University of Cincinnati has borne all cost of the patent search and application. Mr. Yarmuth moved the approval of the recommendation. The motion was seconded by Mr. Haas and was passed unanimously.

Patent Release

B-9 President Albright recommended that the Committee on Admissions be established as a standing administrative committee of the University and that Part III of the Administrative Regulations be amended to include the description (attached to these minutes). Mr. Haas moved that the recommendation be accepted. The motion, seconded by Dr. Williams, was unanimously approved.

Committee on Admissions

B-9 (continued)
The President recommended that the Administrative Regulations entitled "Participation in Employee Governance and Professional Associations" (attached to these minutes) be approved. Ms. Hennessey stated that the purpose of this regulation is to encourage employees to participate in governance groups and professional organizations and also to guarantee that the University's services to its constituents are not interrupted. The regulation serves as a guide for the employee and supervisor and to protect both from misunderstanding any involvement in outside activities.

Participation in Professional Activities

The Constitution of the Faculty Senate was submitted for approval. Faculty Senate President Tom Cate stated that there were no substantive changes, but reflects the growing role of the Senate within a growing institution. Mr. Grayson moved that the Constitution of the Faculty Senate be approved. The motion, seconded by Dr. Williams, was passed unanimously.

Faculty Senate Constitution

B-10 It was recommended that the Student Government Executive Council Officers, the Chief Justice of the Judicial Council, and Representative Assembly Committee Chairpersons be compensated according to the schedule (attached to these minutes) beginning in the Spring of 1983.

Student Government Compensation

Ms. Penrod stated that the additional compensation is being recommended in order to attract and compensate able students for their participation in Student Government activities. It was further noted that the compensation be in the form of salary and eliminate tuition scholarships giving the student the ability to use the money where needed.

The motion to approve the recommendation was made by Ms. Penrod. Mr. Yarmuth seconded the motion and the vote was unanimous.

B-11 The President recommended that the Board approve the contingency plan developed to reduce recurring expenditures should the state impose on higher education a 3% reduction in general fund appropriations. (The schedule of expenditure reduction by major program is attached to these minutes.)

Dr. Albright stated that according to word circulating throughout the Commonwealth the Governor may impose a budget cut amounting from 2% to 5%. The latest word seems that the cut will be about 3%. The plan being presented will effect a 3% cut or $386,000. The President further noted that the impact of the cutback would not seriously impair Northern during the current fiscal year, but it would greatly affect us in the next fiscal year. The President assured the Board that there would not be a reduction of faculty because of increased enrollment that we have experienced. There are certain areas of fixed costs that cannot be decreased such as debt service and utilities. Therefore, for the 1983-84 fiscal year, other areas need to be explored for cutbacks.

Operating Budget Revisions

Mr. Duncan moved that the contingency plan be approved as outlined. The motion was seconded by Mr. Martin. The vote was unanimous.

B-13 President Albright recommended that the Board authorize the University to negotiate an agreement with the City of Wilder regarding sanitary sewage. The President noted that with a few changes the University would be ready to sign the agreement (copy attached to these minutes). The board so authorized the administration to negotiate on motion by Mr. Martin and second by Mr. Duncan. The motion passed unanimously. Sewage Agreement

OTHER BUSINESS

Mr. Martin, Chairman of the Presidential Search Committee, reported that the committee is meeting target dates and will be prepared to submit candidates to the Board sometime in March. Mr. Martin was very complimentary of the support given the committee by Dr. Edd Miller and Ms. Imogene Addison.

Dr. Sommerkamp stated that the committee on Tenure for Board Officers would report at a later date.

The next quarterly meeting will be held Wednesday, February 2, 1983.

There will be a special meeting held in late February or early March to select the President.

The meeting adjourned at 6:05 p.m. on motion by Mr. Martin and second by Mr. Haas.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky Univeristy certify that the foregoing is a true copy of the minutes of its quarterly meeting on Tuesday, December 14, 1982 and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 12th day of January, 1983.

Northern Kentucky University
Highland Heights, Kentucky 41076

December 17, 1982

To whom it may concern:

This is to certify that Covington Trust and Banking Company notified the Northern Kentucky University Board of Regents in writing of intent to offer a proposal on NKU Banking Services. This notice was received prior to October 26, 1982.

Kenneth Lucas,
Chairman
Northern Kentucky University
Board of Regents

The foregoing is our purchase bid. We submit our own computations thereof only for your information and convenience:

(a)	Total interest cost at coupon rates from November 1, 1982 to final maturity	$ 25,340,187.50
(b)	Plus discount or less premium	+ $ 297,603.50
(c)	Net interest cost	$ 25,687,791.00
(d)	Equivalent to	9.995055%

Accepted by the Board of Regents by its Executive Committee and receipt of bid deposit acknowledged, this _____ day of October, 1982.

BOARD OF REGENTS BY ITS EXECUTIVE COMMITTEE
NORTHERN KENTUCKY UNIVERSITY
Highland Heights, Kentucky

By_____

_____

_____
Members of Executive Committee

Attest: (Seal)

_____
Secretary

Return of bid deposit hereby acknowledged:

COVINGTON TRUST & BANKING CO, AGENT

BY: Richard N Taylor V.P.
(Authorized Representative)

The foregoing is our purchase bid. We submit our own computations thereof only for your information and convenience:

(a)	Total interest cost at coupon rates from November 1, 1982 to final maturity	$ 24,816,869.34
(b)	Plus discount or less premium	$ 298,000.00
(c)	Net interest cost	$ 25,114,869.34
(d)	Equivalent to	9.7721 %

Accepted by the Board of Regents by its Executive Committee and receipt of bid deposit acknowledged, this 19th day of October, 1982.

BOARD OF REGENTS BY ITS EXECUTIVE COMMITTEE
NORTHERN KENTUCKY UNIVERSITY
Highland Heights, Kentucky

By Kenneth R. Lucas

H. Gordon Martin

Members of Executive Committee

Attest: (Seal)

Secretary

Return of bid deposit hereby acknowledged:

(Authorized Representative)

AGENDA - BOARD OF REGENTS MEETING - Friday, February 25, 1983

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES OF PREVIOUS MEETING - December 14, 1982

A. PRESIDENTIAL REPORTS

1. Report of the Consultation Committee to the Board of Regents
2. Grants and Contracts Activity
3. 1983 Summer Fellowship Recipients
4. 1983-4 Faculty Project Grants
5. Performance Evaluation of Staff
6. Quarterly Financial Report
7. Spring Enrollment Report (to be handed out at meeting)

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel
    a. New Faculty
    b. Administrative Appointments
    c. Sabbatical Leaves
2. Non-academic Personnel
    a. Promotions, Transfers, Terminations
    b. Section H 27 Personnel Policy and Procedure Manual
3. Proposed Salary Guidelines for 1984
4. Disposal of Surplus Property
5. Administrative Regulations--Governing Regulations
    a. Sales and Solicitation Policy
    b. Contract Procedures
    c. Foreign Student Committee
6. Policy on Undergraduate Admissions
7. Authorization to Negotiate Auditor Contract
8. 1982-83 Budget Revisions
9.

C. OTHER BUSINESS

1. Set next meeting date

ADJOURNMENT

BOARD OF REGENTS MINUTES
February 25, 1983

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order by Chairman Kenneth Lucas at 3:30 p.m. on Friday, February 25, 1983. The invocation was given by Dr. Ralph Tesseneer.

ROLL CALL:

Regents present: Mr. David Duncan, Mr. Kenneth Lucas, Mr. Gordon Martin, Ms. Mary Penrod, Mr. Richard Spears, Dr. Jeffrey Williams, Mr. John Yarmuth.
Excused - Mr. Elmer Haas, Mr. Merwin Grayson, Dr. Pat Sommerkamp.
Quorum was declared with 7 members present.

Others present: President A.D. Albright, Dr. Gene Scholes, Dr. Lyle Gray, Dr. Ralph Tesseneer, Mr. John DeMarcus, Mr. Robert Knauf, Mr. Dennis Taulbee, Mr. C.M. Tabor, Ms. Kim Hennessey, Dr. Tom Cate, Ms. Linda Sanders, Dr. Larry Giesmann, Ms. Mary McGhee, Mr. Mike Baker, Mr. Dave Phillips, Ms. Carla Chance, Mr. Jim Alford, Dr. Darryl Poole, Joan Bennett of WCKY Radio, Cindy Schroeder of Kentucky Enquirer, and Al Salvato of Kentucky Post.

MINUTES of the previous December 14, 1982 were approved on motion by Mr. Duncan and second by Mr. John Yarmuth.

PRESIDENTIAL REPORTS:

A-1 Mr. Gordon Martin, Chairman of the Consultation Committee, reported to the Board of Regents by outlining the procedure followed by the committee and announced that six candidates had been on campus for interviews. The committee will meet within the next week and, hopefully, by the end of March some recommended candidates will be given to the Regents. (Complete report attached to these minutes.) Mr. Martin complimented the members of the committee for their diligence and conscientiousness. — Consultation Committee Report

A-2 Dr. Albright reported that the Grants and Contracts activity shows a decrease over last year, which is not unexpected during these times. There has been an increased amount of activity on the part of the faculty in sending out proposals. — Grants and Contracts

A-3 Dr. Albright reported that Summer Fellowships have been awarded to the following faculty for Summer 1983: — Summer Fellowships

Bart Braden	Daniel Curtin	Philip McCartney	William Worley
Connie Widmer	Judy Bechtel	Carolyn Hagner	Raman Singh
John Westlund	Billie Brandon	Elly Welt	Nancy Martin

The Summer Fellowship Program is designed to encourage faculty in individual research, scholarly writing, and creative and artistic projects to share the results of their efforts with the broader University community.

A-4 As reported by the President, Faculty Project Grants for 1983-84 have been awarded to the following: — Faculty Project Grants

Janice Cantrall	Kay Cooper	Carlos Soto	Diana Duffin
Annette Chavez	Vinay Kumar	Lou Thomas	Alice Rini
Jerald Richards	David Payne	Terry Pence	Roger Billings

Faculty Project Grants are awarded to encourage professional growth through financial support for independent research.

A-5 President Albright explained that the report on Staff Evaluations will give the Regents an idea of the process. The evaluation program began five years ago for staff and has matured quite well, and is quite unique to have all personnel in an institution evaluated. — Staff Evaluation

A-6 Mr. Tabor reported that the Quarterly Financial Report shows that revenue and expenditures are on target with the projected budget. Financial Report

A-7 The President noted that the 1983 Spring Semester Enrollment Report indicates an increase of over 7%. Projections indicate that enrollment could level off in 1985-86. A later report will address the retention of students after enrollment in the University programs, according to the President. Spring Enrollment

PRESIDENTIAL RECOMMENDATIONS:

B-1 (a) The President recommended that the following new faculty receive Board approval: New Faculty

Donna H. Glaser in Physical Sciences
Jeremiah A. Gaines in Political Science
David S. Thomson in Communications

Mr. Duncan moved that the recommendation receive approval. The motion was seconded by Dr. Williams and received unanimous approval.

(b) President Albright recommended that the following administrative appointments receive approval: Administrative Appointments

Joseph Ohren as Chairperson and Associate Professor of Public Admin.
Rosetta Mauldin as Chairperson and Associate Professor of Social Work

The approval was unanimous, on motion by Mr. Yarmuth and second by Ms. Penrod.

(c) Sabbatical leaves are commended for approval by the President for the following: Sabbatical Leaves

John Thieret - Fall 1983	Raymond Richmond - Fall & Spring 1983-84
W. Jack Grosse - Spring 1984	William Lindsay - Fall & Spring 1983-84
Martin Marger - Spring 1984	Stephen Boyd - Spring 1984
Annette Chavez - Fall 1983	Doris Brett - Fall & Spring 1983-84
Philip Koplow - Spring 1984	Paul Bishop - Fall 1983
Jerald Richards - Fall 1983	Lou Thomas - Fall 1983
Roger Billings - Spring 1984	Joe Smith - Spring 1984
Terry McNally - Fall 1983	

The leaves were unanimously approved on motion by Mr. Martin and second by Mr. Spears.

B-2 (a) The President recommended that the non-academic personnel actions (attached to these minutes) be approved. Mr. Martin moved that the actions be approved as presented. The motion, seconded by Mr. Yarmuth, received unanimous approval. Non-academic Personnel

(b) President Albright explained that the dental plan as presented includes the principal provisions of the University's current dental program for faculty and staff. The plan was unanimously approved on motion by Dr. Williams and second by Mr. Yarmuth. Personnel Policy

B-3 (a) President Albright recommended that faculty salary increases be based on performance reviews conducted by the Chairpersons and reviewed by the Deans and Provost. The President explained that five years ago performance review process was started and that the evaluations have matured to a point where they are quite reliable; therefore, a large amount of increase will depend on evaluations. Faculty Salary Increases

B-3 (a) continued
Mr. Spears asked if it would be possible to receive an analysis aggregate of range of high and low performance and equate same to a salary range for informational purposes only; he applauded the idea of performance reviews. Mr. Duncan said that he felt such reviews are good management tools and an excellent way to reward good employees.

Dr. Williams indicated he wished to abstain from the voting inasmuch as the Faculty Senate has not been able to act on this policy. Ms. Penrod indicated that she hoped the Regents were not setting a precedent in voting for something that has not been discussed by bodies within the University.

Mr. Duncan moved that the policy be approved as presented. The motion was seconded by Mr. Martin. Vote - 5 aye votes and 1 abstention by Dr. Williams.

(b) It was recommended by the President that the following guidelines for salary increases for administrative and staff employees be approved: — Salary Increases for Staff

(1) A general increase for all employees.
(2) A longevity supplement for employees with ten (10) or more months of continuous, fully satisfactory service.
(3) A provision for performance-based discretionary increases.
(4) A provision for salary adjustments based on position reclassfications or demonstrated inequities in salary.
(5) - Directors, Chairpersons, Deans, Major Department Heads: Increases for employees in these categories will be based upon an evaluation of performance and comparative data at other institutions.

The recommendation was unanimously approved on motion by Mr. Duncan and second by Mr. Spears.

B-4 It was recommended that the Board of Regents authorize the President to dispose of a quantity of surplus property, using a method or methods prescribed by House Bill 622. Mr. Tabor reported that the property be consists of old or broken chairs, desks that were originally obtained from surplus property. It is proposed to offer to sell to other universities and local public agencies, then offer the remainder to the public by silent auction or individual pricing according to the law as provided in House Bill 622. Mr. Martin moved that the recommendation be approved. The motion, seconded by Mr. Duncan, was unanimously approved. — Surplus Property

B-5 (a) It was recommended by the President that the revised Administrative Regulation governing Sales and Solicitation (attached to these minutes) be approved. The revision covers a process which University employees can utilize. The motion for approval was made by Mr. Yarmuth, seconded by Mr. Spears, and approved unanimously. — Sales and Solicitation

(b) President Albright explained that the Administrative Regulations outlining contract procedures (attached to these minutes) reflect current unwritten practice for non-employment contracts and asked for its approval. Mr. Duncan moved that the regulation be approved. The motion, seconded by Dr. Williams, received unanimous approval. — Non-employment Contracts

(c) The President recommended that the International Students Committee as described in Section III -2.0-3 of the Administrative Regulations be retitled Foreign Students Committee and that the purpose, membership and reporting requirements be amended (complete committee structure attached to these minutes). Unanimous approval was given on motion by Mr. Martin and second by Mr. Yarmuth. — International Students Committee

B-6 It was recommended that the Policy on Undergraduate Admissions be revised to eliminate the "Provisional Admission" classification for students. Dr. Giesmann, Associate Dean, explained that the revised policy is an attempt to provide standards until the state's pre-college admissions standards take effect in 1987. Dr. Giesmann stated that since last October a committee began meeting regularly to plan implementation of the policy presented and approved in August, 1982. After studying statistics and impact of the new policy, a revised policy is being submitted. Instead of being admitted on a provisional basis, incoming freshmen whose admissions credentials are weak may be required to take tests and possibly enroll in basic math, English, or reading courses during the Summer previous to Fall semester entry. Undergraduate Admissions Policy

Dr. Giesmann further stated over 56% of last Fall's incoming Freshmen would have been admitted provisionally and nearly 18% of those freshmen might lose some financial aid as a result of being provisionally admitted. Besides losing financial aid, the students will have better advising; high risk students could be identified and remedial work can be given at the beginning of course work rather than later when the student may be failing.

After discussion, Mr. Duncan moved that the policy be approved as submitted. Mr. Spears seconded the motion. The vote was unanimous. (The complete policy is attached to these minutes.)

B-7 President Albright recommended that the University administration be authorized to negotiate with Rankin, Rankin and Company, Certified Public Accountants, to conduct and perform audit examination of financial statements of the University for the period ending June 30, 1983. The President explained that last year the audit was performed by the State Auditor's office, but that this year we can have it performed by a private auditor and negotiations must begin as the audit is to be completed by October, 1983. Mr. Spears moved that the recommendation be approved. The motion, seconded by Mr. Duncan, was passed by unanimous vote. Negotiations for Auditor

B-8 It was recommended by the President that the 1982-83 operating budget be revised upward to $30,248,800 from $29,803,800 to reflect additional tuition receipts of $300,000 and additional Bookstore receipts of $145,000. Mr. Spears moved that the recommendation be approved. The motion was seconded by Mr. Yarmuth. The vote was unanimous. Budget Revision

OTHER BUSINESS

C-1 Future quarterly meeting was set for Wednesday, April 27, 1983 at 4 p.m.

EXECUTIVE SESSION

On motion by Mr. Spears and second by Ms. Penrod, the meeting was recessed for Executive Session to discuss personnel matters.

Upon reconvening, the meeting was adjourned at 5:45 p.m.

E.J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its quarterly meeting on Friday, February 25, 1983 and that such matters are still in force and effect.

E.J. Haas, Jr., Secretary

Dated this 24th day of March, 1983.

BOARD OF REGENTS MINUTES
Special Meeting, Tuesday, April 5, 1983

A special meeting of the Board of Regents of Northern Kentucky University was called to order at 4 p.m. on Tuesday, April 5, 1983 by Chairman Lucas.

Regents present: Mr. David Duncan, Mr. Kenneth Lucas, Mr. Gordon Martin, Ms. Mary Penrod, Dr. Pat Sommerkamp, Mr. Richard Spears, Dr. Jeffrey Williams, and Mr. John Yarmuth.
excused: Mr. Elmer Haas, Mr. Merwin Grayson.

A motion was made by Mr. Duncan and seconded by Mr. Yarmuth that the Regents go into Executive Session to discuss a personnel matter. The vote was unanimous.

EXECUTIVE SESSION

The meeting reconvened at 5:20 p.m.

A motion was made by Mr. Martin that Dr. Leon E. Boothe be elected President of Northern Kentucky University effective July 1, 1983. The motion was seconded by Dr. Williams. The vote was unanimous. Absent members Elmer Haas and Merwin Grayson provided handwritten expressions of approval of Dr. Boothe as the new President.

Mr. Spears made a motion that Chairman Lucas and Chairman of the Consultation Committee, Mr. Martin, be charged with the responsibility to negotiate the details of a contract with Dr. Boothe. Mr. Duncan seconded the motion which received unanimous approval.

Chairman Lucas asked Dr. Albright for any observations or comments he might have. The President said that the Consultation Committee had conducted a first-class search, probably in the face of disagreement at times had worked hard, had seemingly followed the Board's adopted plan with reasonable fidelity and had gained the respect of countless people on and off campus.

Moreover, and the most important point, is that the result has been the selection by the Board of Regents of a well-qualified, able individual to serve as the next President of Northern Kentucky University, according to Dr. Albright.

The meeting closed at 5:35 p.m. on motion by Mr. Yarmuth and second by Ms. Penrod.

E.J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

AGENDA - BOARD OF REGENTS MEETING
Wednesday, April 27, 1983

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME OF GUESTS

MINUTES OF PREVIOUS MEETINGS - February 25, 1983
Special Meeting, April 5, 1983 (to be handed out at meeting)

A. PRESIDENTIAL REPORTS

1. Grant and Contract Activity
2. Discretionary Salary Distribution Reports
3. Management Information Report Update Pages - to be distributed at meeting
4. Audit of Financial Aid Program
5. Quarterly Financial Statement

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel Recommendations
   a. New Faculty
   b. Leaves, Resignations, Terminations – Replacement sheet has been handed out.
2. Non-academic Personnel Recommendations
   a. New Appointees, Transfers, Reassignments, Promotions, Terminations
   b. Administrative Changes addition page
   c. Conversion of Administrative Personnel to Faculty Status
3. Policies and Procedures for Payroll Direct Deposit
4. Faculty Policies and Procedures Manual
   a. Modifications
   b. Financial Exigency Policy
   c. Program Reallocation
5. Faculty Senate Constitution Revision
6. Intercollegiate Athletics - Addition of Sports
7. Schedule of Tuition Rates
8. Schedule of University Fees and Charges

AGENDA - continued

9. Housing Fee Payment Policy

10. Authorization to Write-Off Uncollectible Receivables

    a. Loan Defaults

    b. Student Receivables

11. Authorization for Proposal: Applied Science and Technology Center

12. Governing Regulations--Administrative Regulations

    a. Position Descriptions

    b. University Poster Policy

13. 1983-84 Operating Budget

14. Authorization to Prepare Budget Reduction Plan
    (The agenda to Regents indicated it would be submitted later but it was completed in time to mail with their material.)

15. Foundation Property

16.

C. OTHER BUSINESS

1. Regential Committee Report - Election and Tenure of Board Officers

2. Date for next quarterly meeting. Normal date would be July 27.

3.

ADJOURNMENT

BOARD OF REGENTS
MINUTES
Wednesday, April 27, 1983

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:25 p.m. on Wednesday, April 27, 1983 by Chairman Kenneth Lucas. The invocation was given by the Reverend Louis R. Schmidt.

ROLL CALL:

Regents present: Mr. Merwin Grayson, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Gordon Martin, Ms. Mary Penrod - Student Regent, Dr. Pat Sommerkamp, Dr. Jeffrey Williams, Mr. John Yarmuth. Excused - Mr. David Duncan and Mr. Richard Spears.

Others present: President A.D. Albright, Dr. Gene Scholes, Dr. Lyle Gray, Mr. John DeMarcus, Mr. Robert Knauf, Ms. Cindy Dickens, Mr. Dennis Taulbee, Mr. Clarence Tabor, Ms. Kim Hennessy, Alumni representative Ken Ramey, Faculty Senate representative Tom Cate, Staff Congress representative Linda Sanders, Ms. Pat Hoffman, Ms. Carla Chance, Ms. Becky Myers, Ms. Sue Hodges, Mr. Gregg Schulte, Mr. Mike Baker, Ms. Mary McGhee, Ms. Mary Huening, Father Schmidt, Scott Wurster, Ken Gross of Carlisle Construction, Al Salvato of Kentucky Post, and Cindy Schroeder of Kentucky Enquirer.

The Chairman pronounced a quorum of Regents present. All guests were welcomed by the Chairman, who recognized Scott Wurster as new Student Government President. Dr. Albright recognized Mr. Ken Gross of Carlisle Construction noting that his firm and others have been active in support of the Cooperative Program of the University. It is very valuable to the University to have the support and interest of business and industry in the community for the program.

The minutes of the regular quarterly meeting on February 25, 1983 and special meeting on April 5, 1983 were unanimously approved on motion by Mr. Haas and second by Ms. Penrod.

PRESIDENTIAL REPORTS:

A-1 The Grants and Contract activity is ahead of last year, according to Dr. Albright. The report is attached to these minutes.

A-2 Dr. Albright noted that the report on discretionary pay increases indicates how it was handled this year.

A-3 Management Information update pages were distributed to the Regents to bring their Management Information Manual to a current status.

A-4 The financial aid audit completed by the State Auditor's Office was presented to the Regents by the President.

A-5 The quarterly financial statement was presented and Mr. Tabor noted that revenues and expenditures were on target with the revised budget.

PRESIDENTIAL RECOMMENDATIONS:

B-1 (a) Mr. Haas moved, seconded by Mr. Yarmuth, that the following new faculty appointments be approved:

Howard V. Carson	Chris Christensen	Donald H. Galli	New
Joe F. McNutt	Dennis E. Sies	Nancy Lang	Faculty

The motion passed by unanimous approval.

B-1 (b) The following leaves and resignations were unanimously accepted by the Regents on motion by Mr. Grayson and second by Dr. Williams:

Unpaid leave for Neal Jowaisas from August, 1983 to August, 1984
Half-time unpaid leave for Caryl Yzenbaard from August, 1983 to August, 1984

Resignations: Marilyn Cunningham effective, December, 1982
Denise Hough effective June 20, 1983
Ronald Naversen, effective May, 1983
Ray O. Nulsen effective May, 1983
William Rost effective July 1, 1983
Carol N. Wann Effective May, 1983

Deceased: Thad P. Lindsey, March 16, 1983

Leaves and Resignations

(b) Mr. Martin moved, seconded by Mr. Yarmuth, that Byron Renz receive a terminal contract for the 1983-84 academic year.

Terminal Contract

Dr. Williams questioned the fact that the same terminal contract was voted on at a previous meeting and asked why it was being revoted. Dr. Gray said that according to procedures an employee must be notified of the intent to terminate in December in order for the faculty member to have time to make future arrangements. In December all the peer reviews had not been completed and now that completion of reviews are finished, the recommendation was coming to the Regents again indicating there was no change from the intent in December.

The motion passed with six aye votes and one abstention by Dr. Williams.

B-2 (a) Non-academic personnel actions were unanimously approved on motion by Mr. Yarmuth and second by Ms. Penrod. The list of actions are attached to these minutes.

Non-academic Personnel

(b) The following administrative personnel actions were recommended as follows:

Appointment - Lawrence G. Kinney as Financial and Operations Auditor effective June 1, 1983.
Leave - John DeMarcus from May 15, 1983 through December 31, 1983
Special Assignment - David C. Banks as Staff Assistant in Facilities Planning, effective May 1, 1983 (temporary).
Reassignments - John DeMarcus to Professor of History - January, 1984
Jane Meier to Women's Athletic Coordinator and Head Volleyball/Softball Coach, effective May 1, 1983
Nancy Winstel to Head Basketball Coach and Assistant Volleyball Coach, effective May 1, 1983

Administrative Changes

Dr. Albright called attention to the Board of the long service of John DeMarcus to the University. The fine facilities that we are now utilizing are attributed to John's work and dedication to this University. Dr. Albright expressed thanks to John for all the work he has completed and the results are a tribute to his invaluable contributions to this institution.

Mr. Martin moved that the personnel actions as recommended be approved and a special commendation be prepared for John DeMarcus. The motion, seconded by Mr. Haas, was unanimously passed.

(c) It was moved by Mr. Grayson and seconded by Mr. Haas that the policy governing "Administrators Returning to Faculty Status" be approved as presented. (Policy attached to these minutes.) The motion was passed by unanimous vote.

Policy approved

B-3 The statement of policies and procedures (attached to these minutes) relative to direct depositing of paychecks was unanimously approved on motion by Mr. Yarmuth and second by Mr. Martin. — Direct Deposit Policy

B-4 (a) Modifications to the Faculty Policies and Procedures Manual was recommended. (The changes are attached to these minutes.) Dr. Gray indicated that on-going discussion with Faculty Senate attempts to bring procedures up-to-date as needed. The motion was made by Mr. Martin and seconded by Mr. Grayson that the modifications be approved as presented. The vote was unanimous. — Faculty Policy Manual Changes

(b) The following revision to the "NOTICE" section of the Financial Exigency Policy was recommended to the Board: — Addition to Financial Exigency Policy

"In addition, reasonable effort shall be made to insure that no faculty appointment is terminated before the end of the academic year in which the financial exigency occurs."

Mr. Grayson moved that the recommended addition be approved as presented. The motion was seconded by Mr. Martin and was unanimously passed.

(c) A policy on "Program Reallocation" was presented for approval. Dr. Gray reported that during the past year, Faculty Senate has worked with the Administration to develop the policy to be recommended. Dr. Sommerkamp moved that the policy (attached to these minutes) be approved as presented. The motion, seconded by Mr. Martin,was passed by unanimous vote. — Program Reallocation

B-5 The President presented a revision to Article IV of the Faculty Senate Constitution, and explained that the office of the Vice President is being replaced by the Office of President-Elect in order to allow a learning period and give the President-Elect one year's notice in which to make appropriate arrangements with his/her department chairperson regarding reassigned time. The revision was unanimously accepted on motion by Mr. Grayson and second by Mr. Yarmuth. — Faculty Senate Constitution Change

B-6 The President presented the University's Athletic Council recommendation that the President be authorized to add two additional men's sports in compliance with NCAA rules and regulations. He explained that in order for Northern to become an NCAA Division II school it must sponsor a minimum of six men's sports. There is no minimum sport sponsorship requirement for women's sports. We now have four women's sports programs, according to Dr. Albright. — Addition of Men's Sports

B-7 Tuition rate increases were presented to the Board with the explanation by the President that the rates are set by the Council on Higher Education. The rate schedule as presented and attached to these minutes were unanimously approved on motion by Mr. Grayson and second by Mr. Haas. — Tuition Increases

B-8 A schedule of class fees and other charges (attached to these minutes) to become effective with the Fall semester was presented for approval. The schedule is inclusive of all charges collected on campus with the exception of tuition and bookstore sales. Ms. Penrod stated that generally the students are pleased with the schedule with the exception of auto registration fees - full time and part time pay the same fee. Mr. Haas moved that the schedule be approved as presented. The motion, seconded by Mr. Martin, was passed by unanimous vote. — Fee Schedule Approved

B-9 It was recommended by the President that a revision in the Residence Hall Fee and Payment Terms section of the Student Housing Manual be approved. The revision is designed as a cost-effective measure to eliminate unnecessary collection costs and to insure accurate residence hall revenue figures. The recommendation was unanimously approved on motion by Mr. Martin and second by Mr. Yarmuth. Residence Hall Fees Schedule

B-10 (a) On recommendation of the President, authorization is given the Associate Vice President for Business Affairs to write off defaulted student loans in the amount of $23,484.50. Mr. Tabor reported that the University repurchased $145,000 old loans as required by the Federal government and of that amount, $91,250 was collected; $8,000 was cancelled for various reasons, leaving the $23,000 to be written off. This has greatly reduced the University's default rate. The recommendation was unanimously passed on motion by Mr. Grayson and second by Dr. Sommerkamp. Write-off of Loans

(b) President Albright recommended that authorization be given to the Associate Vice President for Business Affairs to write-off receivables as bad debts in the amount of $3,149.19. Mr. Tabor reported that the receivables are over two years of age and/or have been determined uncollectible by a collection agency. The recommendation was unanimously approved on motion by Mr. Grayson and second by Mr. Martin. Write-off of Receivables

B-11 The President recommended that the Board of Regents authorize the administration to begin the preparation of a facility space program and budget proposal for a new facility to be located on the campus to house programs in applied science and technology. He noted that Northern has the opportunity to greatly enhance its contributions to the economic development of Northern Kentucky and the Greater Cincinnati area through the extension of research and technology to business and industry. The program could compliment the program being carried out on the Foundation property. Proposal for new Building

Upon questioning of Dr. Williams, Dr. Albright noted that a building cannot be proposed without saying what the building will house, but certainly does not set a precast curriculum. Dr. Gray further noted that programs proposed to be housed in the new building are already in existence or in the feasibility stage. It was further noted that the next biennium budget request including capital construction will go to the Council in June and if Northern desires to make a construction request, preliminary planning must begin at this time.

Mr. Yarmuth moved that the recommendation of the President be approved as presented. The motion, seconded by Dr. Sommerkamp, was passed by unanimous vote.

B-12 (a) It was recommended by the President that the Regents approve the position descriptions for Vice President for Administration and Associate Vice President for Business Affairs. (Both descriptions are attached to these minutes.) Mr. Haas made the motion that the recommendation receive approval. Mr. Martin seconded the motion which was passed by unanimous vote. Position Descriptions Approved

(b) It was recommended that a revision of the Poster Policy be approved (copy attached). Mr. Martin moved, seconded by Ms. Penrod, that the recommendation be adopted. The vote was unanimous. Poster Policy Approved

B-13 President Albright recommended that the 1983-84 Annual Budget totalling $31,425,000 be approved as proposed in the budget document (attached). Annual Budget Approved

Dr. Albright highlighted the budget focusing on the fact that the University was able to increase faculty and staff salaries about 7%. This University is one of a few in the state that has been able to accomplish this. Seven new faculty and nine staff positions were added. Also partial restoration of the library book reduction made last year was accomplished. A 33% increase is reflected in utilities.

Mr. Taulbee noted that $200,000(which is less than 2% of the budget) has been set as a contingency fund to give the new President some leeway. The President complimented Mr. Taulbee and his staff for the fine job completed. According to Dr. Albright, it is not only the best budget ever prepared for this institution but it is one that has involved many faculty and staff in the process.

Mr. Grayson moved that the 1983-84 Annual Budget be adopted as presented. The motion, seconded by Dr. Sommerkamp, was passed by unanimous vote.

B-14 It was recommended that the President be authorized to develop a contingency plan to meet a possible reduction of $416,000 in the 1983-84 state appropriation. The President shared letters received from the Secretary of Finance and Council on Higher Education requesting that institutions prepare plans in case of a cutback of funds. If the recommendation is approved, the President noted that a plan would be prepared and ready for Board consideration at the July meeting. Authority to prepare Contingency Plan

The recommendation was unanimously approved on motion by Mr. Haas and second by Mr. Martin.

B-15 A recommendation was made that the President be authorized to investigate and, if necessary, acquire two parcels of land on Johns Hill Road currently owned by the NKU Foundation. The recommendation was passed by unanimous vote on motion by Ms. Penrod and second by Mr. Haas.

OTHER BUSINESS:

C-1 Dr. Sommerkamp presented the regential committee report (attached) as prepared by the ad hoc committee consisting of Dr. Sommerkamp, Mr. Spears, and Mr. Duncan. Dr. Sommerkamp stated that she had contacted 12 benchmark institutions for information on how their Boards were comprised.

Ms. Penrod spoke against part two of the report stating that she felt it would centralize the Board rather than decentralize it. The idea of having a Vice Chairman was also mentioned by Dr. Sommerkamp to take care of any absenteeism of the Chairman. Board Tenure

Mr. Haas moved that part one of the report be adopted. The motion was seconded by Mr. Martin. Following is the part adopted:

"The chairman of the Board of Regents of Northern Kentucky University shall be elected annually at the summer meeting of the Board. Eligible candidates are those Regents who have already served two years on the Board. The chairman of the Board may succeed him/herself for one term only. However, a past chairman may be a candidate if one full year has lapsed since last holding the position of chairman."

The motion was unanimously passed.

C-2 The President spoke briefly about the budget cuts that higher education institutions have suffered, particularly Northern. He stated he would share with the Regents facts that were presented to the Appropriations and Revenue Committee this past week. In summary, he noted that the State is not willing to authorize expenditures to maintain facilities which it was willing to build. He further said that all persons who are interested in education and in the economic development of the State should make their feelings known. Without adequate educational facilities and personnel, the State's economic development will suffer.

C-3 President Albright thanked the present Board members and the Board members who preceded them for the privilege of being President of a fine institution for seven years. The President said that it had been an outstanding experience for him. He felt that this was an exceptional Board, one that encouraged administration to implement policies established by the Board without interfering in the operation of the institution. He reminded the Board that at other institutions boards have become splintered making it very difficult for a president and administration to function. This is not true with Northern's Board; however, as time get tough, it is more likely to happen. Division in a Board is a very serious matter. The President thanked the Board for his privileged seven years.

Chairman Lucas replied by saying that he did not know how any one mortal could have served this institution better than Dr. Albright.

C-4 Ms. Penrod, having served on the ad hoc Presidential Search Committee, stated that she felt it would be helpful for future committees if a group looked at the procedures followed and make suggestions for refinement. Mr. Lucas appointed an ad hoc committee for this purpose composed of the Student Regent, Mr. Duncan and Dr. Sommerkamp.

Dr. Albright lauded Ms. Penrod in her role as Student Regent as well as being a marvelous person. Chairman Lucas thanked Ms. Penrod for her very fine service to the Board and to the institution.

At 6:30 p.m. the Regents recessed to Executive Session on motion by Mr. Haas and second by Dr. Sommerkamp.

At 7 p.m. the meeting reopened.

Mr. Haas moved that the new allied health building be named "A.D. ALBRIGHT HEALTH CENTER" and that it be announced at the Appreciation Dinner for the President. The motion was seconded by Mr. Grayson and was passed by unanimous vote.

Mr. Martin moved that the degree of Doctor of Humane Letters be conferred on Dr. Albright at the graduation ceremonies and that he be named "President Emeritus" at the same time. After the second by Dr. Sommerkamp, the motion passed by unanimous vote.

The meeting adjourned at 7:10 p.m. on motion by Mr. Haas and second by Mr. Grayson.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its quarterly meeting on April 27, 1983 and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 31st day of May, 1983.

Northern Kentucky University
Highland Heights, Kentucky 41076

MEMORANDUM

July 15, 1983

To: Members, Board of Regents

Re: Regular Quarterly Meeting
Wednesday, July 27, 1983 - 4:00 p.m.

The Northern Kentucky University Board of Regents will meet on July 27 in the Board Room of the Administrative Center, eighth floor. Again, parking for Regents will be reserved in Lot A across from the University Center.

Dinner follows adjournment, and will be served at the Alumni Reception Center.

If for any reason you cannot attend, please telephone Mrs. Addison at (606) 572-5123.

Leon E. Boothe
President

ia

BOARD OF REGENTS MINUTES
Wednesday, July 27, 1983

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order by Chairman Kenneth Lucas at 4:15 p.m. on Wednesday, July 27, 1983. The invocation was given by Dr. Ralph Tesseneer.

Installation was held for new Regent Bart A. Brown, Jr. and Student Regent Scott Wurster.

ROLL CALL:

Regents present: Mr. Bart A. Brown, Mr. Merwin Grayson, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Gordon Martin, Dr. Pat Sommerkamp, Mr. Richard Spears, Mr. Scott Wurster.
Excused - Mr. David Duncan and Dr. Jeffrey Williams.
Quorum was declared by the Chairman.

Others present: President Leon Boothe, Dr. Gene Scholes, Dr. Lyle Gray, Dr. Ralph Tesseneer, Ms. Cindy Dickens, Mr. Dennis Taulbee, Mr. Robert Knauf, Mr. C.M. Tabor, Ms. Kim Hennessey, Alumni Representative Robert Boswell, Faculty Senate Representative Mike Ryan, Staff Congress Representative Linda Sanders, Dean Darryl Poole, Dean John White, Ms. Mary Paula Schuh, Ms. Carla Chance, Mr. Larry Kinney, Mr. Gregg Schulte, Ms. Sue Hodges, Dr. Tom Cate, Dr. Linda Dolive, Ms. Mary McGhee, Ms. Imogene Addison, Mr. Robert McClelland, Ms. Mary Ellen Rutledge, Mr. Jay Stevens, Ms. Elizabeth Blackburn, Mr. Jim Liechte, Mr. Donald Gammon, Ms. Mary Huening, Dr. D. Adams, Dr. William Leigh, and Mr. Al Salvato of the Kentucky Post.

The chairman welcomed all present and presented the new Alumni President and representative to the Board, Robert Boswell, Jr. The chairman extended an official welcome to President Boothe and commented that in the three and a half weeks Dr. Boothe has been on campus, he has been very active and highly visible in the community.

Chairman Lucas read a letter from President Emeritus A.D. Albright as follows:

"To the Chair and Board of Regents of Northern Kentucky University -

For the privilege of serving the presidency of Northern Kentucky University over a period of seven years, my genuine gratitude.

For the many kind and generous expressions during and at the conclusion of this period, my sincerest thanks.

For the future of the University and its well-being first and foremost, the success of the new President Leon Boothe, the continued dedication of the faculty and staff, my deepest wishes.

For the support of the Northern Kentucky community and the members of the General Assembly, my great appreciation.

Gratefully, /s/ A.D.

President Boothe acknowledged the welcome expressing appreciation for the warm hospitality extended the Boothe family and noted that the support of the University by the community and other areas of the Commonwealth has been heartwarming.

Dr. Boothe introduced the new President of Faculty Senate and representative to the Board, Dr. Michael Ryan, and Staff Congress President Linda Sanders. Both welcomed the new President and pledged the support of the groups they represent.

The President reported that on a recent trip to Frankfort he was very pleased to learn from various state officials of their support of Northern. He further noted that there was every indication of a budget reduction.

President Boothe also stated that he attended the monthly meeting of the Council on Higher Education at which the MGT report was presented. From the written report and from oral comments made, it is the President's impression that the report was mild with regard to legal education. He expressed the hope that the issue of the future of the law school was now considered resolved.

PRESIDENTIAL REPORTS:

A-1 In presenting Grant and Contract Activity, the President noted that while funding for proposals was lower than last year, increased number of proposals was excellent. He congratulated the faculty's participation in preparing proposals. The decline in number of proposals funded is a national trend and reflects the current economic situation. — Grant and Contract Activity

A-2 The facts and figures outlined in the Tuition Reciprocity Report shows an imbalance between amounts of tuition waivers in the number of Ohioians doing graduate work in Kentucky compared to Northern Kentuckians enrolled at the University of Cincinnati. The President stated that he and others will be working with the other institutions with regards to correcting the imbalance through a better marketing approach. — Reciprocity Report

A-3 The Campus Development report brought the Regents up-to-date on various projects throughout the campus. — Campus Development

A-4 President Boothe reported that the Department of Public Safety report shows a good record in comparison with other institutions throughout the country. — Public Safety Report

A-5 Dr. Boothe noted that the Staff Development Project report is commendable for the enrichment opportunities offered to the staff of this institution. — Staff Development

A-6 The Governing Regulations--Administrative Regulations updates are for the purpose of the Regents having their files current with items they have previous approved. — Manual Updates

A-7 Dr. Gene Scholes, Vice President for Administration, gave an oral and graphic report summarizing the 1984-86 Capital Construction Request of this institution. (The comprehensive report is attached to these minutes.) Dr. Scholes noted that some of the projects were requested in the previous biennium but were not funded, and that all new construction requests were in accordance with the Master Plan. Mary Paul Schuh was commended by the Vice President for her help in preparing the request. — Capital Construction Biennum Request

President Boothe stated that it was time to review the Master Plan and upgrade where necessary. The President also mentioned that many institutions have deferred maintenance plans because of lack of resources and that it was his hope that we could avoid having to do this. Adequate funding of our request would help to eliminate this problem at Northern.

A-8 A summarized financial report for 1982-83 was presented to the Board. The audit and financial report will be ready for the October Board meeting. — Financial Report

PRESIDENTIAL RECOMMENDATIONS:

B-1 a & b The following new faculty appointments as well as termination were recommended to the Board by the President:

New Faculty:

Chris Alexander	Diana H. Kruse	John Rankin
Herb Caldwell	David Lavery	Linda J. Sheffield
Cynthia Cukla	Emily Lehrman	Jennifer Smith
Patrick Dougherty	Angela Lipsitz	Daniel Stein
Sue Gunkel	Gail Loeser	Margaret Stein
Melanie C. Howell	Phillip Obermiller	Judith White
Nancy Jentsch		Diann Zajac

New Faculty

Terminations:

Victoria Channel	Verale Phillips	Janet Scarlata
George Harper	Byron Renz	Patricia Steiner
Tom Niemann	Mary Ann Renz	Karen Yang
Naomi Paz	Judy Ribak	

Terminations

The recommendation was unanimously approved on motion by Mr. Grayson and second by Dr. Sommerkamp.

B-1 c Dr. Boothe stated that he would be introducing at each meeting some personnel who are a part of the team effort of this University.

Introduced by the President along with the individual's credentials were:
Darryl Poole, Dean of Arts and Sciences
John White, Dean of the University College
Mary Ellen Rutledge, new Director of Steely Library
Linda Dolive, new Associate Provost
Larry Kinney, new Financial and Operations Auditor

The following academic administrative personnel actions were recommended by the President:
Linda Dolive as Associate Provost
Al Pinelo as Acting Chairperson of Political Science Department
James Gray as Associate Dean of Professional Studies
Mary Ellen Rutledge as Director of Steely Library
Phyllis Jonas as Director of Institute Administration
Jonathan Pierce as Director of Governmental Services Institute
A paid leave for Alice Rini from May 26 to August 11, 1983.

Academic Administrative Personnel

Mr. Haas moved that the recommendation of the President be approved as presented. The motion, seconded by Mr. Brown, was unanimously accepted.

B-2 a President Boothe recommended that the non-academic personnel actions be approved (list attached to these minutes). The motion by Mr. Martin and second by Dr. Sommerkamp was unanimously passed.

B-2 b It was recommended by the President that the following administrative changes be approved:
Appointment of Al Ginn as Cross-Country Coach on a part-time basis
Appointment of Jack Merz as Golf Coach on a part-time basis
Termination of James L. Kerr as Director of Institutional Research

Administrative Personnel

The actions were unanimously approved on motion by Mr. Wurster and second by Mr. Spears.

B-3 In presenting a revision to the Personnel Policy and Procedure Manual regarding vacation days, the President noted that such vacation has been earned by the individuals and should be utilized:

"The maximum number of vacation days which a staff member may accumulate and have available for use at any point in time is the number of days which can be accrued in 18 months. After the maximum is reached, additional vacation will accrue only after the employee has reduced the accumulated leave balance."

Vacation Policy

Mr. Haas moved that the recommendation be approved. The motion was seconded by Mr. Martin and was passed by unanimous vote.

B-4 President Boothe presented a table of terminal degrees recognized as the appropriate level of educational attainment for faculty in various programs. (List attached to these minutes.) The President explained that the University is required to identify terminal degree attainment when advertising positions.

Terminal Degree Table

The list was unanimously approved on motion by Dr. Sommerkamp and second by Mr. Wurster.

B-5 It was recommended by the President that the Commonwealth of Kentucky Higher Education Desegregation Plan, as accepted by the Office of Civil Rights on June 22, 1983 be approved by the Board. The action by the Office of Civil Rights is contingent upon the plan being approved by the governing boards of each institution of public higher education in the Commonwealth .

Desegregation Plan Approved

The motion for acceptance was made by Mr. Grayson. The motion, seconded by Mr. Martin, was passed by unanimous vote.

B-6 A recommendation was presented to approve an authorization signed by the Chairman of the Board to establish a Force Account Project for the purpose of making modifications to the Presidential residence. (The authorization is attached to these minutes.) The recommendation was unanimously approved on motion by Mr. Haas and second by Dr. Sommerkamp.

Force Account Project

B-7 The President recommended that the Board authorize the following official representatives of Northern Kentucky University to accept surplus property from Education Surplus Property in Frankfort - Gene W. Scholes, Clarence Tabor, Keith Stewart, Karen Schmidt, and Chester Arthur. Mr. Martin move that the recommendation be approved. The motion was seconded by Dr. Sommerkamp and was unanimously passed.

Surplus Property Authorizatior

B-8 It was recommended by President Boothe that the University be authorized to enter into contractual arrangements with vendors who wish to service the campus. Because of House Bill 622, previous agreements were voided and requires the institution to set up contractual agreements with vendors. The recommendation was unanimously accepted on motion by Mr. Spears and second by Mr. Grayson.

Vendor Contractual Agreements

B-9 a The President recommended that the board approve a position description for the Associate Provost (attached to these minutes). Mr. Wurster moved the adoption of the recommendation. The motion, seconded by Mr. Haas, was approved by unanimous vote.

Position Description

B-9 b — A concessions policy (attached to these minutes) was presented. Dr.Boothe explained that since more student groups are interested in operating concessions, it was necessary to formulate a policy which he recommended. Mr. Brown moved that the policy be approved. The motion, seconded by Mr. Wurster, was passed unanimously. — Concessions Policy

B-10 — Revisions to the Library Policy governing borrowing provisions for residents of Kentucky was recommended by the President. (The revised policy is attached to these minutes.) The recommendation was unanimously approved on motion by Dr. Sommerkamp and second by Mr. Brown. — Revised Library Policy

B-11 — A recommendation was presented that approval be given for the programming of the Applied Science and Technology Center as submitted to the Council on Higher Education, subject to changes which may occur during Council and institutional review processes. Dr. Boothe noted that the Regents had authorized the administration to proceed with the programming at the April meeting. (The complete document is attached to these minutes.) Mr. Wurster moved the adoption of the recommendation. The motion, seconded by Mr. Grayson, received unanimous approval. — Technology Center Programming Approved

B-12 — President Boothe recommended that the 1984-86 Capital Construction Budget Request as outlined earlier in the meeting be approved. Dr. Sommerkamp moved for the approval. The motion was seconded by Mr. Grayson and was passed by unanimous vote. — Capital Construction Request

B-13 — President Boothe recommended that the budget reduction contingency plan for 1983-84 be approved, to be implemented in the event the state imposes a 3% reduction in state appropriation. The total potential reductions amount to $414,000 and are outlined in the recommendation attached to these minutes. The President explained that the plan will be held in abeyance until the state revenue picture is clearer. Mr. Martin moved that the plan be approved as presented. Mr. Haas seconded the motion. — Budget Reduction Contingency Plan

Mr. Martin asked if the University would have to return monies that has already been received. It was explained that our state allotment is received monthly pro-rated by an allotment schedule. If a reduction should occur, the monthly allotments would be decreased to accomplish the 3% reduction.

Mr. Haas asked how it was possible to accommodate such a reduction if the University continues to have increased enrollment. President Boothe noted that this is a very serious issue inasmuch as the state expects Northern to meet the demands of this area for higher education but still expects the institution to meet the reduction. It is an issue that needs to concern every individual in the area as well as in the Commonwealth.

The contingency plan for budget reduction was unanimously approved.

B-14 The President recommended formula calculations for 1984-86 be approved for submission to the Council on Higher Education. The formula and the letter to the Council are attached to these minutes. Dr. Boothe noted that he had requested that the University be allowed additional discussion and negotiation. The formula submission includes an enrollment projection of 2% to 3% for the next three years. Inasmuch as this is the first year for some selective admission policies, it is difficult to predict enrollment. Traditionally, no institution has increased enrollment in the year in which a selective admission policy is instituted. The Regents will be kept aprised of developments in this area.

Formula Calculations

Mr. Haas moved for the adoption of the recommendation. The motion, seconded by Mr. Grayson, was passed by unanimous vote.

OTHER BUSINESS:

Chairman Lucas asked for comments and suggestions on an inauguration for President Boothe. After discussion, Mr. Grayson moved that the University plan a formal inauguration of the new President. The motion, seconded by Mr. Martin, was unanimously approved. The chairman indicated that he would defer to the new Chairman for appointment of a committee to plan the event.

C-1 Election of Officers -

The Chairman entertained nominations for Chairman. Mr. Haas nominated Mr. Gordon Martin for Chairman. The nomination was seconded by Mr. Brown. Upon motion by Mr. Haas and second by Mr. Grayson, the nominations were closed by unanimous vote. The vote for Gordon Martin as Chairman was unanimous.

The chair entertained nominations for Secretary-Treasurer. Mr. Grayson nominated Mr. Haas and the motion was seconded by Mr. Martin. Mr. Brown moved that the nominations be closed. The motion, seconded by Mr. Wurster, was passed by unanimous vote. The vote for Elmer Haas as Secretary-Treasurer was unanimous.

The chair entertained nominations for Assistant Secretary. Mr. Haas moved that Dolores Thelen be nominated. The motion was seconded by Dr.Sommerkamp. Mr. Martin moved that the nominations be closed. The motion, seconded by Mr. Grayson, was unanimously passed. Dolores Thelen was elected Assistant Secretary by unanimous vote.

C-2 Discussion was held to select dates for the quarterly meetings for the next year. After discussion, it was generally acceptable to meet the third Wednesday of January, April, and July, 1984. The October, 1983 meeting was set for Tuesday, October 25.

EXECUTIVE SESSION -

Mr. Haas moved that the Regents recess to Executive Session to discuss a personnel matter. The motion, seconded by Mr. Martin, was unanimously passed.

The meeting reconvened at 6:30 p.m. and was immediately adjourned on motion by Mr. Haas.

Elmer J. Haas, Jr. Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its quarterly meeting held on Wednesday, July 27, 1983 and that such matters are still in force and effect.

Elmer J. Haas, Jr. Secretary

Dated this 9th day of August, 1983.

AGENDA
BOARD OF REGENTS
NORTHERN KENTUCKY UNIVERSITY
Wednesday, November 2, 1983 - 4 p.m.
Regents Board Room - Administrative Center

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME

MINUTES OF PREVIOUS MEETING - June 27, 1983

A. PRESIDENTIAL REPORTS
   1. Grants and Contracts Activity
   2. Campus Development
   3. Enrollment Summaries
      a. Summer 1983
      b. Fall 1983
   4. 1982-83 Annual Financial Audit
   5. Quarterly Financial Report - July-September 1983
   6. 1984-86 State Appropriation Report
   7. 1984-86 Tuition Proposals
   8.

B. PRESIDENTIAL RECOMMENDATIONS
   1. Academic Personnel Actions
      a. New Faculty
      b. Cooperating Professorships, Leaves, Resignations, Title Changes, Promotions
   2. Non-Academic Personnel Actions
      a. New Employees, Transfers, Terminations
      b. Administrative Appointments
   3. Personnel Policy and Procedure Manual, C1, C2, C5, E6, H(various)
   4. Terminal Degree Recommendations
   5. Governing Regulations--Administrative Regulations Handbook
      a. Administrative Standing Committees
      b. Human Research Subjects Committee
   6. Declaration of Surplus Property (to be distributed at meeting)
   7. Inauguration Expenses
   8. 1983-84 Budget Revision
   9.
   10.

C. OTHER BUSINESS
   1.

ADJOURNMENT

BOARD OF REGENTS MINUTES
Wednesday, November 2, 1983

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:10 p.m. on Wednesday, November 2, 1983 by Chairman Gordon Martin. The invocation was given by Dr. Lyle Gray.

After welcome of guests, roll call was taken.

Regents present - Mr. Bart Brown, Mr. David Duncan, Mr. Merwin Grayson, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Gordon Martin, Dr. Pat Sommerkamp, Dr. Jeffrey Williams, Mr. Scott Wurster. Excused - Mr. Richard Spears. Quorum was present.

Others present - President Leon Boothe, Dr. Gene Scholes, Dr. Lyle Gray, Ms. Cindy Dickens, Mr. Dennis Taulbee, Mr. Robert Knauf, Ms. Kim Hennessy, Mr. Clarence Tabor, Ms. Phyllis Sholtys, Dean William Jones, Faculty Senate President - Mike Ryan, Staff Congress President - Linda Sanders, Ms. Dolores Thelen, Mr. Roger Meade, Mr. Jim Alford, Mr. Robert McClelland, Dr. Linda Dolive, Mr. Mike Baker, Ms. Kathleen Webber, Ms. Becky Myers, Ms. Carla Chance, Mr. Gregg Schulte, Ms. Mary Huening, Ms. Imogene Addison, Ms. Nancy Cahill, Ms. Mary Ryan, Ms. Mary Ellen Rutledge, Mr. Jay Stevens, Mr. Jim Liechte, Ms. Julie Fronk, Mr. Richard Rankin and Mr. Charles Lee of Rankin and Rankin, Ms. Vicki Bozuchi of the Northerner, and Mr. David Beasley of the Kentucky Enquirer.

Minutes of the previous meeting, June 27, 1983 were approved with the following correction: "The minutes of the last quarterly meeting of April 27, 1983 were unanimously approved on motion by Mr. Haas and second by Mr. Martin." The motion was made by Mr. Haas and was seconded by Mr. Duncan to accept the minutes with the addition.

PRESIDENTIAL REPORTS:

A-1 President Boothe reported that the amount of income is up considerably, however the number of grants submitted is down perhaps due to timing. This institution is very heavily dependent on Federal funds in terms of research and awarding of grants has tightened considerably. — Grants and Contracts

A-2 The President highlighted the Campus Development report by stating that the maintenance storage facility and Science Building electrical service are complete. The A.D. Albright Health Center is scheduled to be complete by March and dedication should occur in late Spring. — Campus Development

A-3 President Boothe explained that the decrease in Intersession enrollment is due to the smaller number of courses plus plans to phase out the Intersession program. Summer school enrollment was somewhat lower because of number of courses offered. Chase is considerably lower because of the planned decrease. The Fall enrollment figures look good at the undergraduate level. The graduate level decrease is due to a larger than normal of graduates this past Spring. — Enrollment Report

A-4 The President welcomed Dick Rankin and Charles Lee of Rankin and Rankin. Mr. Rankin stated that their firm had audited the financial records of the University and rendered their opinion the statements fairly reflect the financial position of the University. Dr. Scholes highlighted the charts and schedules passed to the Regents (copy attached) supported the financial report for this past year as well as for the past five fiscal years, FY79 through FY83. Dr. Scholes pointed out that the percentage spent on instruction has increased each year, that state support has decreased causing greater reliance on tuition and fees than any other state institution. — Audit Report for FY 83

A-5 The quarterly financial report for July through September, 1983 was presented. Quarterly Financial Report

A-6 The 1984-86 State Appropriation Recommendations of the Council on Higher Education were shared by President Boothe. He noted that the Council's highest priority is the full funding of the formula. The President pointed out that the formula calculations continue to show that higher education is underfunded, and significantly shows that three institutions are underfunded, one being Northern. While capital construction is the lowest priority for funding, Northern's classroom building is number two on the priority list so we can assume that Northern will get their classroom if any construction is funded. More importantly is the fact that we need to be vigilant to see that our present physical plan does not deterioriate as has happened at other State institutions. State Appropriation Recommendation by CHE

A-7 The Council on Higher Education has recommended tuition increases for 84-86, per the schedule attached to these minutes. The tuition raise for the law school students was not as severe as anticipated, according to Dr. Boothe. 84-86 Tuition Increase

PRESIDENTIAL RECOMMENDATIONS:

The President introduced Dean Williams Jones of the law school and outlined his credentials.

B-1 (a) The following new faculty appointments were unanimously approved on motion by Mr. Haas and second by Mr. Duncan:
Jonathan Gresham - Fine Arts Carrie McCoy - Nursing New Faculty

B-1 (b) The following academic personnel actions were recommended to the Board:
Carmine Bell as Cooperating Assistant Professor of English
Betty Soave as Director of the Baccalaureate Degree Program in Nursing
Rosella Zeiser as Director of the Associate Degree Program in Nursing
Linda Dolve to Full Professor
Grace Walker - unpaid leave for Spring semester, 1984
William McKim - leave with pay for Spring semester, 1984
William McKim - resigned as Chairperson of Literature of Language Department, effective December 31, 1983. Will return to full time teaching after leave.
Resignations - Louis Lamit and Joseph Petrick
Academic Personnel Changes
The academic personnel changes were unanimously approved on motion by Mr. Wurster and second by Dr. Sommerkamp.

B-2 (a) The non-academic personnel actions (attached to these minutes) were unanimously approved on motion by Mr. Duncan and seconded by Mr. Grayson. Upon Mr. Duncan's question, Gregg Schulte informed the Board there was an exit interview process which proved to be helpful in working with departments. Non-academic Personnel Actions

B-2 (b) The President introduced Dr. Phyllis A. Sholtys and recommended her appointment as Assistant Vice President for Information Management. The recommendation was unanimously approved on motion by Mr. Duncan and second by Mr. Lucas. Administrative Personnel

B-3 President Boothe presented revisions to personnel policies (attached to these minutes) stating that these policies are now in effect but are being updated to conform to Federal and State regulations. Mr. Duncan moved that the policies be approved as presented. The motion, seconded by Mr. Lucas, was passed by unanimous vote. Personnel Policy

B-4 Dr. Boothe presented a recommendation that the following terminal degrees be approved: Terminal Degrees

W.Frank Steely Library - M.L.S. College of Law Library - M.L.S.

Mr. Wurster moved the acceptance of the recommendation. The motion, seconded by Mr. Haas, was passed by unanimous vote.

B-5 (a) The President recommended that the membership of the Student Financial Aid Committee include the Assistant Dean of the law college as a permanent member and that the membership of the Student Financial Aid Appeals Committee include on an alternating basis the Associate Dean of the College of Arts and Sciences or Professional Studies as a permanent member. Mr. Grayson moved the acceptance of the recommendation. The motion, seconded by Dr. Sommerkamp, was passed by unanimous vote. Standing Committee Change

B-5 (b) Some Federal regulations have changed making it necessary to revise the Policy and Procedures Assurance for the Protection of Human Research Subjects at the University, according to the President. The revised policy and procedures are attached to these minutes. Mr. Grayson moved that the revised policy and procedures be approved as presented. Mr. Wurster seconded the motion and the vote was unanimous. Human Research Subjects Policy

B-6 It was recommended to the Board that the President be authorized to dispose of University personal surplus property by a method or methods deemed to be in the best interest of Northern Kentucky University and in accordance with House Bill 622. It was pointed out by the President that any surplus real property would be presented to the Board on a case by case basis. Mr. Grayson moved, seconded by Dr. Sommerkamp, that the recommendation be approved. The vote was unanimous. Surplus Property

B-7 Mike Ryan, Co-chair of the Inaugural Committee, outlined the festivities being planned for the Inaugural and asked the Board to authorize a one-time non-recurring expenditure of $15,000. Dr. Sommerkamp, Co-chair, noted that because of a large private contribution the cost of the Inaugural Ball has been kept to a minimum in order for alumni and students to be able to participate. She further noted that three outstanding leaders of the business community in Cincinnati and the three Presidents of local higher education institutions in the community are participating in the Inaugural. It was also announced that Secretary of Education Bell would be speaking at the Seminar. Mr. Martin complimented the chairs and the committee for a fine job. Inaugural Authorization

Mr. Haas moved that the Board authorize funding not to exceed $15,000 for expenses related to the Inaugural. The motion, seconded by Mr. Duncan, was passed by unanimous vote.

B-8 Dr. Sommerkamp moved that the 1983-84 operating budget be amended to reflect lower summer school tuition receipts in the amount of $66,510. The motion, seconded by Mr. Duncan, was passed by unanimous vote. Budget Revision

OTHER BUSINESS:

Faculty Senate President Mike Ryan presented on behalf of the Faculty a plaque to Mr. Ken Lucas which read: "The Faculty and Faculty Senate of Northern Kentucky University commend Ken Lucas for his years of distinguished service as Chairman of the University's Board of Regents."

Several announcements were made regarding the athletic teams and music presentations. Dr. Boothe stated that he has received favorable comments many of these activities.

The meeting adjourned at 5:10 p.m. on motion by Mr. Haas and second by Mr. Duncan.

Elmer J. Haas, Jr. Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its quarterly meeting held on Wednesday, November 2, 1983 and that such matters are still in force and effect.

Elmer J. Haas, Jr. Secretary

Dated this 14th day of November, 1983.

AGENDA
BOARD OF REGENTS
NORTHERN KENTUCKY UNIVERSITY
Wednesday, January 25, 1984 - 4:30 p. m.
Regents Board Room - Administrative Center

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME

MINUTES OF PREVIOUS MEETING - November 2, 1983 (mailed in November)

A. PRESIDENTIAL REPORTS

1. Grant and Contract Activity
2. Spring Enrollment Statistics (to be distributed at meeting)
3. Campus Development Report
4. 1984 Summer Fellowship Awards
5. 1984-85 Faculty Project Grants
6. Quarterly Financial Report
7.
8.

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel Actions
   a. Personnel Changes
   b. Probation, Promotion, and Tenure
   c. 1984-85 Sabbatical Leaves
2. Non-Academic Personnel Actions
   a. Personnel Changes
   b. Administrative Change
3. Handbook for Chase College of Law Adjunct Faculty
4. Authorization to Negotiate Auditor's Contract
5. Health Center Statement of Philosophy and Priorities
6. Scholarship and Awards Policies
7. Tuition Rates
8. University Fees Schedule
9. 1983-84 Operating Budget Revisions
10. Surplus Property
11.
12.

C. OTHER BUSINESS

1.
2.

NEXT QUARTERLY MEETING - Wednesday, May 9, 1984
(Note: Because the General Assembly is currently in session, it may be necessary to call a special meeting of the Board prior to May 9.)

ADJOURNMENT

BOARD OF REGENTS MINUTES
Wednesday, January 25, 1984

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:35 p.m. on Wednesday, January 25, 1984 by Chairman Gordon Martin. The invocation was given by Dr. Tesseneer.

After welcome of guests, roll call was taken.

Regents present - Mr. David Duncan, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Gordon Martin, Dr. Jeffrey Williams - Faculty Regents, and Scott Wurster - Student Regent. Excused - Mr. Bart Brown, Mr. Merv Grayson, Dr. Pat Sommerkamp, Mr. Richard Spears.

Others present: President Leon Boothe, Dr. Gene Scholes, Dr. Lyle Gray, Dr. Ralph Tesseneer, Ms. Cynthia Dickens, Mr. Dennis Taulbee, Mr. Robert Knauf, Ms. Kim Hennessy, Mr. C.M. Tabor, Dr. Phyllis Sholtys, Dr. Mike Ryan - Faculty Senate Representative, Mr. Don Gammon - Staff Congress Representative, Dr. James Alford, Dr. Darryl Poole, Dr. John White, Mr. Mike Baker, Mr. Gregg Schulte, Mr. John Connor, Ms. Carla Chance, Ms. Mary Ryan, Ms. Jeri Sheldon, Ms. Imogene Addison, Ms. Mary Paula Schuh, Dr. Roger Meade, Dr. Bob Wallace, Mr. Jay Stevens, Mr. Jim Liechte, Ms. Dorothy Gammon, Mr. Bill Lamb, Mr. Steve Meier, Ms. Kathleen A. Webber, Ms. Marjori Scheller, Dr. Jack Williams, Dr. A. J. Pinelo, Students - Andrea Grone, David Munday, Marcus Hon, Tony Escamilla; Mr. Al Salvato of Kentucky Post, and Ms. Karen Merk of the Northerner.

The minutes of the November 2, 1983 meeting were unanimously approved on motion by Mr. Haas and second by Mr. Duncan.

The President called attention to two handouts. The 1984-86 Biennial Budget booklet contains basic facts and charts concerning the budget. The Management Information Reports contains facts about the University.

President Boothe informed the Regents that Northern Kentucky University, along with the University of Cincinnati and Ohio State University, is sponsoring a Sports Medicine and Conditioning Clinic to help athletes prepare for the Olympics. The President complimented the basketball teams for their good records and mentioned several future athletic events - Homecoming on January 28th, the men's game with University of Cincinnati on January 31st, the women's game with University of Cincinnati on February 2, and the traditional rivalry with Thomas More on February 11.

President Boothe introduced two directors, Mr. Steven Meier of Campus Recreation and manager of the new Health Center and Mr. John Connor of Public Safety.

PRESIDENTIAL REPORTS:

A-1 Grants and contracts dollars fluctuate from quarter to quarter,according to the President. Since the report was written, several sizeable grants have been received. Dr. Boothe reminded the Regents that money will continue to be tight in the area of research. — Grants and Contracts

A-2 While reporting on spring enrollment, the President noted that a decline as shown was expected because of the selective admission policy but was happy to note that upper level undergraduate enrollment increased considerably indicating that the quality of education is beginning to take effect. — Spring Enrollment

A-3 The Campus Development reports bring the Regents current with projects at the University. The President reports that the Health Center should be ready for occupany in the Spring. The Pedestrian Bridge is open and traffic is quite heavy. Campus Development

A-4 President Boothe explained that Summer Fellowship are awarded to faculty to encourage them to engage in research and/or gain expertise and to share the results with the broader University community. The following faculty have been awarded fellowships: Summer Fellowship

Christopher Boehm	Robert Snyder
Patricia Dolan	Rebecca Sturm
Carol Futhey	William Wagner
Cynthia McDaniel	Robert Wallace
Janet Miller	Stuart Ware
James Niewahner	Thomas Zaniello
Gary Scott	

Upon Mr. Duncan's question as to what percentage of applications for fellowships, grants, and sabbaticals are approved, Provost Gray responded that approximately 50% of summer fellowships, 40% of project grants, and 75% of sabbatical applications are awarded.

A-5 Faculty Project Grants for 1984-85 were awarded to the following faculty: Faculty Project Grants

Thomas Barone	Cynthia McDaniel
Christopher Boehm	Raymond McNeil
Kenneth Carter	Debra Pearce
Patricia Dolan	Thomas Rambo
Michael Hur	Stuart Ware
Donald Kelm	Ronald Williams
Robert Kempton	Thomas Zaniello

A-6 The financial report through December 31, 1983 was presented. The President noted that revenues are meeting projections and that expenditures are in line with no major overruns in any of the current projects. He further reported that our investment return has improved. Mr. Haas requested that a report on our bonding with principal and interest listed be available. Financial Report

PRESIDENTIAL RECOMMENDATIONS:

B-1 The following academic personnel changes were presented by the President: Academic Personnel

(a) Appointment of Thomas Vest as Director of Inservice Teacher Education.

Resignations:
Christopher Boehm, from Chairperson of Social Sciences to Professor.
Joy Churchill as Assistant Professor of the Associate Degree Nursing Dept.
William Leigh, as Assistant Professor of Information Systems in Business Administration Dept.
Terrence Russell as Assistant Professor of Sociology
Leaves: Neal Jowaisas, unpaid leave from August, 1984 to May, 1985
W.Frank Steely, special leave with pay for Fall 1984 Semester
Appointments:
James Hopgood, Acting Chairperson of Social Sciences Dept.
Frank Stallings, Acting Chairperson of Literature and Language Dept.

Mr. Duncan moved, seconded by Mr. Wurster, that the recommendations be approved as presented. The vote was unanimous.

B-1 (b) The President recommended that the following list of faculty receiving continued probation, continued probation with difficulties, promotion, and tenure be approved: Tenure and Probation

Continued Probation -

Miriam Kannan	Daniel Curtin	Carol Bredemeyer	Janice Cantrell
Stuart Ware	Gail Wells	Thomas French	Linda Moneyham
Diana Duffin	Raymond McNeil	Billie Brandon	Frances Mosser
Stephen Goacher	David Hogan	Melinda Langmeyer	Barbara O'Brien
Carolyn Hagner	Terry Pence	Kathleen Verderber	Jerome Barrett
Susan Doerr-Hollis	Ruth Pickard	Ronald Williams	Michael Hunter
David Leong	Therasa Wesley	Charles Apple	Nan Littleton
James Stacy	Barbara Thiel	Brad Scharlott	Andrea Gauthier
Michael Washington	Gene Krauss	David Thomson	Carlos Soto
Robert Rhode	Mark Stavsky	Rachelle Bruno	Emily Lehrman
Peter Schiff	John Valauri	Linda Olasov	Rebecca Sturm
Sally Jacobsen	Carol Allred	Janet Simon	Jennifer Smith

Continued Probation with difficulties -

Cynthia McDaniel	William Leigh	Donald Cobb	Lars Heller
Terrence Russell	Gary Schneider	Rosemary Ingham	James Kinne
Glennellyn Barty	Timothy Serey	June Jones	Sandra Lloyd
Mohamed Bayou	H.Ray Souder	Grace Walker	William Recker
Julie Gerdsen	Dennis Tishlias	James Alford	Nancy Campbell

Promotion:

Gary Johnston, Associate Professor	Philip McCartney, Associate Professor
Nancy Martin, Associate Professor	Adalberto J.Pinelo, Professor
William Worley, Associate Professor	LeRoy Gruner, Professor
Michael Adams, Professor	Glen Mazis, Associate Professor
Macel Wheeler, Associate Professor	Carol Allred, Associate Professor
Margaret Jang, Associate Professor	Thomas Barone, Associate Professor
Susan Kissel, Associate Professor	Constance Widmer, Professor
Robert Wallace, Professor	Kay Cooper-Rosenthal,Assoc.Professor
Charles Frank, Associate Professor	Lois Schultz, Associate Professor

Tenure:

Gary Johnston	Susan Kissel	Glen Mazis	Alice Rini
William Worley	Elinor Welt	Lowell Schechter	Kay Cooper
Macel Wheeler	Charles Frank	Richard Snyder	Lois Schultz
Margaret Jang	Philip McCartney	Thomas Barone	

Dr. Boothe noted that promotion to full professor is the capstone for a faculty member and that four such promotions were given this year. He introduced and congratulated two professors present- Dr. Bob Wallace and Dr. Al Pinelo.

Mr. Lucas moved that the recommendation be approved as presented. The motion, seconded by Mr. Duncan, received unanimous approval.

B-1 (c) President Boothe recommended that the following faculty receive sabbaticals during 1984-85 for the purpose of professional growth: Sabbaticals

Fall Semester, 1984		Spring Semester, 1985
Michael Adams	Lawrence Borne	Margaret Cantrell
Robert Bratton	Ron Gardella	Michael Klembara
Edward Goggin	Michael Hur	
Donald Kelm	Sharlotte Neely	
Christine Stiegler	Edward Theodoru	

Dr. Williams moved that the recommendation be approved as presented. The motion, seconded by Mr. Wurster, was unanimously approved.

B-2 (a) A number of non-academic personnel actions were presented by the President, list attached to these minutes. Mr. Wurster moved, seconded by Mr. Lucas, that the recommendation be approved. The motion received unanimous approval. Non-academ Personne

B-2 (b) President Boothe recommended that Dennis Taulbee be named Director of Budget and Planning. He stated that he felt the University needed to refine the planning effort in terms of making it realistic and somewhat tied within the budget. Mr. Duncan moved approval of the recommendation. Mr. Haas seconded the motion and it received unanimous approval.

B-3 The Handbook for Adjunct Faculty for the law school was presented. The President noted that while other faculty groups have handbooks, this group also needed such a handbook. The handbook was refined by a committee of law school faculty and was reviewed by the entire Chase faculty, the Faculty Senate, the University legal counsel, and the Provost. Mr. Haas moved that the handbook be accepted as presented. The motion, seconded by Mr. Wurster, was unanimously approved. Adjunct Faculty Handbook

B-4 It was recommended that the University Administration be authorized to negotiate with Rankin, Rankin and Company, Certified Public Accountants, to perform an audit examination of financial statements of Northern Kentucky University for the period ending June 30, 1984. The President reported that the University has worked with Rankin and Rankin in a most satisfactory manner and wishes to continue with them. Approval for Auditor Negotiati

Mr. Duncan moved approval of the recommendation. It was seconded by Mr. Haas. Mr. Duncan asked if negotiations such as this is subject to competitive bidding. Mr. Tabor stated that it was not; however, the Legislative Research Commission reviews the contract based on standards they have set before the contract becomes final. Mr. Haas stated that he felt it might be advisable in future years to have competitive bidding. Upon call of the question, vote was unanimous.

B-5 It was recommended that the Board of Regents of Northern Kentucky University adopt a statement of philosophy and priorities for use of the A.D. Albright Health Center (attached to these minutes). President Boothe reported that a committee of students, faculty, administrators, and staff was appointed last November and was charged with the responsibility for developing a set of policy statements and recommendations pertaining to the use of the Health Center. After further review and refinement by an advisory group working with the Director of Campus Recreation and Health Center Manager, the policy is ready for the Board's approval. Health Center Philosoph

Mr. Duncan asked about safety and security for the Center and what is the responsibility of the University should an accident occur. Legal Counsel Hennessy stated that because this is State property, an individual pursues a claim through the State Board of Claims. Should the Board of Claims find the University negligent, the University is responsible for payment of claim.

Mr. Haas moved approval of the recommendation. The motion, seconded by Mr. Duncan, was unanimously approved.

B-6 The President presented a recommendation that the policies governing scholarships and awards be amended as outlined. (Complete amendments attached to these minutes.) Dr. Boothe explained that there is a standing committee reviewing these programs on a regular basis. Mr. Wurster moved approval of the recommendation as presented. The motion, seconded by Dr. Williams, was unanimously approved. Scholarship Policies

B-8 President Boothe presented a recommendation that the schedule of class fees and other charges to become effective the Fall, 1984 semester be approved. He explained that very few fees have changed. The assessment for parking violations was raised from $5 to $10, and the student incidental fee is raised from $20 to $30. The $20 fee has been in effect for the last 3 years. Fee Schedule

The President reported that before the incidental fee was raised, a check was made with the regional universities and $30 was the median. With the Health Center opening, students will have free use of the Center and the $10 raise reflects partly the operation of the Center plus cost of all student activites have raised. The staff and faculty will pay for memberships if they desire to use the Center. Any student that is registered in a credit course will have free use of the Center. The President explained that he very reluctantly came to the decision of raising the fee and recommended to the Board the fees as outlined.

Mr. Lucas moved, seconded by Mr. Haas, that the fees be approved as recommended.

Student Regent Wurster spoke in opposition to the raise of the student incidental fee with a prepared statement which is attached to these minutes.

Dr. Boothe complimented Mr. Wurster on his presentation and stated that he felt that Scott was one of the most responsible student he has ever had the privilege of working with in student situations. The President further explained that he and the administration have been working to come up with solutions; however, the fact remains that increased services offered to the students needs to be financed. The State is not providing adequate financing for operations when a new building and new services are added. He said he felt the $10 raise was defensible and credible. If the fee was not raised at this time, funds would have to be reallocated from other sources to meet the financial obligations.

Mr. Lucas commended Mr. Wurster on his presentation but spoke in defense of the increase as he said he felt a new benefit for students was being added and the $10 increase was reasonable.

Mr. Wurster again mentioned the fact that he was opposed to adding the fee to tuition and mentioned he was working with the Council on Higher Education trying to change the procedure. Dr. Williams spoke in defense of the raise stating that the State is not supporting a quality education and everyone needed to work together to try to get such support in all areas of education. Mr. Duncan also spoke in support of the raise because of the necessity to supply quality education to students.

The question was called. The vote was four ayes and a nay vote by Mr. Wurster. Motion carried.

B-7 The President presented the tuition schedule to become effective with the Fall, 1984 semester (attached to these minutes). He noted that the largest increase was for out-of-state law students. Mr. Duncan moved, seconded by Dr. Williams, that the schedule be approved. Tuition

Student Regent Wurster spoke in opposition to the increase (prepared statement attached to these minutes).

The vote was four ayes and a nay vote by Mr. Wurster. Motion carried.

B-9 President Boothe recommended that the 1983-84 operating budget be amended to reflect additional anticipated revenues from vending ($59,500), game room receipts ($25,000), and from a special security contract with the Kroger Corporation. Mr. Haas moved the approval of the recommendation. The motion, seconded by Mr. Lucas, received unanimous approval. Budget Revision

OTHER BUSINESS

Dr. Boothe announced that the high quality of our faculty was reflected in the fact that two faculty members received National Endowment for the Fellowships; no other school had more than one such achievement.

The President commended Dr. Sommerkamp and Dr. Ryan, co-chairs of the Inaugural Committee for the superb job of coordination and that many fine reports have been received from the community leaders regarding the occasion.

Mr. Lucas asked that the following statement be made a part of the minutes:
"I wish to formally acknowledge all those individuals and groups who were so gracious in recognizing my years as Chairman, specifically: the students and student government for their handsome plaque, the President and the President's staff for the beautiful bowl, the Faculty Senate for their thoughtful plaque, and the Regents for the elegant silver julep cups and tray.
"I am humbled by these generous expressions of kindness by all of you. These momentos occupy a special place in my home and will be remembered for years to come.
"To each of you, I give my most sincere thanks."

President Boothe announced that he would like to set the next Regents' meeting for Wednesday, May 9, 1984. He also stated that it might be necessary to hold a special meeting during the legislative session. Regents and guests were invited to tour the Health Center following adjournment.

Mr. Duncan moved that the meeting be adjourned, seconded by Mr. Haas. The meeting adjourned at 6:05 p.m.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its quarterly meeting held on Wednesday, January 25, 1984 and that such matters are still in force and effect.

Dated this _____day of March, 1984.

Elmer J. Haas, Jr., Secretary

Northern Kentucky University, University Center 206, Highland Hts., KY 41076

Before I state my case to the Board concerning this increase, I feel compelled to thank both Dr. Boothe and especially Mrs. Cynthia Dickens for both their patience and willingness to engage in frank, honest, and open dialogue these past several weeks. It is gratifying to know that the administration seeks student input on such issues, and I hope that the close rapport Dr. Boothe and I have established will continue long after my tenure as Student Government President has lapsed, both in our personal relationship and in his relationship with future student leaders.

As is inevitable, however, there will be times when students and administrators simply cannot agree on a particular issue. The present situation is a case in point. I oppose the proposed ten dollar increase in the Student Incidental Fee. At the outset, let me assure my fellow Board members that what I am about to say is intended to provide you with a clear, concise picture of student opinion on the increase. I am well aware that historically Board members are reluctant to vote against Presidential Recommendations unless there are truly compelling reasons to do so. If my reasoning seems compelling enough, I would encourage you to vote with me, but I must confess that this is not the primary reason I come before you today. Rather, I feel that as Student Regent, I am the voice of the students, and I am here today to be heard.

I understand, at least as well as any student on campus, the current and future fiscal picture of the University. The primary reason I oppose the increase is not fiscal, but philosophic. There is little doubt that

even if there are no shortfalls in revenue, there is an even greater chance that there will be no new anticipated revenue other than the recent tuition increase approved by the Council. Dr. Boothe has at some length described the fiscal picture to me, and I concur completely that more revenue is needed, and that it must come from somewhere. His only real option is to raise the Student Incidental Fee. Faced with the assumption of the A. D. Albright Health Center and the cost of operation included there, the need to provide an increase in faculty salaries, and other important fiscal concerns, Dr. Boothe has had to make some hard decisions concerning funding. I cannot in all honesty come before you today and say that if I were in his place, I wouldn't propose the increase in the fee, if indeed fiscal concerns were all that figured into the decision. Unfortunately, the situation is not purely monetary.

By increasing this fee, we establish a dangerous precedent for the future. It is not exactly the case, but very nearly the case that these increases are going to cover anticipated shortfalls in general fund revenue. The Council staff have related to me that it is <u>not</u> the intent of the Council that student activity fee money cover anticipated general fund revenue shortfalls, but rather go for those purposes deemed "Student Activities." This is where the argument gets sticky. No clear definition of "Student Activities" has emerged. It is my intention, through the Student Advisory Council to the CHE (composed of student Regents from across the Commonwealth) to push for this clarification. It is the philosophy of the Administration that the Incidental Fee is essentially considered tuition for budgetary

purposes. If this is true, then the Council reserves the right to set the fee, not the University. I believe that it is in the best interest of all involved to consider this fee an Incidental Fee for the support of student activities, class fees, etc. rather than tuition, for the latter gives the Council control over it.

Let us assume then that the fee is indeed for the support of Student Activities. It has been argued that the entire amount of the increase will not even cover the cost of utilities for the new Health Center. This asusmes that the primary purpose of the building is student activity related. Yet the building is academic, and rightly so. Offices and classes will be using utilities, and it is my guess that if it were possible to figure just student activity related costs, the amount would be far less than full cost of building operation.

But even this argument is not the strongest for not increasing the fee. By putting the extra revenue generated into the Health Center, which we have seen is not an exclusively Student Activity related enterprise, we free up funds in the University budget that would ordinarily have to be committed to the Health Center, and put them back into the General Fund for other purposes. So essentially that money is free to go for non-student activity purposes. Please remember that it has been clearly stated that it is not the intent of the Council that increases in the fee supplement the General Fund, yet that is what is happening here. Phrased differently we might ask the question like this: If there were neither anticipated shortfalls nor no prospects for additional revenue on the horizon, would this increase

be proposed? If the answer is "no" (and I believe it is) then we must accept the notion that the fee is being increased to enhance shortfalls in general fund revenue. This is precisely the dangerous precedent that I previously alluded to. As students, we simply cannot stand by and watch this occur without raising the voice of concern.

Granted, the issue is an extremely complex one, and there are no simple solutions. This brief statement doesn't begin to cover the variety of issues that a proposed increase in the fee raises. I would remind the Board that they are voting today on an increase in tuition recently approved by the Council. In a Commonwealth where education overall ranks among the poorest in the nation, and in one that would reap innumerable benefits from encouraging students to seek a higher education, it seems positively ludicrous to me that these discouraging increases come at a time when just the opposite should be happening.

For the record, during the process of negotiation I offered two compromise solutions, neither of which was accepted. First, through my given understanding of the fiscal picture and the necessity of generating new revenue, the Representative Assembly suggested that the proposed increase be halved. This was rejected as fiscally unsound, and while I may not agree, I at least understand why. My second alternative was also rejected. I proposed that we alter the wording of the proposal slightly to read "an increase <u>not to exceed</u> ten dollars." This would allow the Board to essentially postpone fixing the amount of the increase until a clearer fiscal picture has emerged.

We don't know what the legislature is going to do, what priority will be placed on higher as opposed to (and isn't it a shame that education must be turned on itself) primary and secondary education, what tax increases may occur, and so on. My point is that there are many variables in process that could change between now and May. It makes sound fiscal sense to adjust such a controversial revenue generator to the situation at that time rather than now, when we literally have no idea what might happen. Dr. Boothe feels that an increase will be needed regardless and rather than mislead students with false hopes to the contrary, he wants to be up front with us, a position that I both respect and appreciate.

I am worried, as you must be, about the future of higher education in Kentucky. Unfortunately, fiscal concerns often dictate constraints and create situations that are often not in the best interest of students, faculty, or administrators. Certainly this is the case now. We do the very best we can in the hope that we are slowly doing some good for the students. For after all, the function of every university is, and ought to be, to serve the students first. Students are not well served in times of budgetary constraints. Let us not forget either, that what is most important, at least from a personal perspective, is not accomplishing individual goals on behalf of what we might call special interests, but rather the process of dialogue, mutual understanding, and a shared commitment to work out our problems together. It is indeed a pity that we must turn on ourselves over money, yet often that is exactly what happens.

So now I ask you fellow members of the Board to vote against this increase for the reasons I have described. If you do not, for whatever reasons you may have, I will surely understand. If you do, I will be most grateful for your support. But regardless of the outcome, one thing remains clear. We are joined together in this room because we all care deeply for Northern Kentukcy University, and all who are involved here. to care, must become involved; one must commit. With commitment often comes hard choices.

STUDENT GOVERNMENT

Northern Kentucky University, University Center 206, Highland Hts., KY 41076

As some of you know, I was a vocal and vigorous opponent to these tuition increases right up until the last second before they were adopted by the C.H.E. It is my belief that lower tuition encourages more students to attend college. Many students earn a substantial part of their college tuition, or like myself, all of it, and are very dependent on low tuition. It has been shown that lowering tuition increases the number of students going on to college. A Stanford Research Institute study shows that students from low income families would be more likely to go to college if tuition were reduced. Kentucky has a low per capita personal income. The Council switched the way tuition is figured to a percentage of that number, supposedly to base tuition on the student's ability to pay, but with the net effect of increasing tuition. The logic of this evades me completely. Bureau of Labor Statistics indicate that very few families have adequate funds to meet college costs, and I suspect that this is even truer in Kentucky. Finally, current federal and state student aid programs are not adequate to meet the needs of students from either lower income or middle income families. Inflation has eroded the family ability to pay for college. Each of these assertions is documented by a study in the Low Tuition Factbook put out by the American Association of State Colleges and Universities. I recommend it highly.

Unfortunately, once again we are confronted with that ugly spectre, budgeting constraints. While I realize that it is difficult to match philosophic and fiscal concerns, I cannot vote for this increase for the reasons I have just described.

AGENDA
BOARD OF REGENTS
NORTHERN KENTUCKY UNIVERSITY
Wednesday, June 6, 1984 - 4:30 p. m.
Regents Board Room - Administrative Center

CALL TO ORDER AND INVOCATION

INTRODUCTION AND INSTALLATION OF NEW MEMBERS
Regent Susan Morrison ~~and Student Regent Keith McMain~~

ROLL CALL AND WELCOME

MINUTES OF PREVIOUS MEETING - January 25, 1984 (mailed in February)

A. PRESIDENTIAL REPORTS

1. Grants and Contracts Quarterly Report
2. Campus Development Report
3. Report on Intercollegiate Athletics
4. Quarterly Financial Report
5. Audit Report - NDSL, CWS, SEOG, and Pell Grant Programs
6. Management Information Report (to be distributed at meeting)
7. National League of Nursing Accreditation
8. Academic Affairs Reorganization
9.

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel Actions
2. Non-Academic Personnel Actions
3. Administrative Appointments, Resignations
4. Interim Reorganization of Business Program
5. Faculty Handbook Amendment Recommendation
6. Faculty Handbook - Accommodation Procedures for Handicapped Employees
7. Minor/Area of Concentration - Graduation Requirement
8. Policy on Interim Grades
9. Revision of Student Code
10. Governing Regulations--Administrative Regulations Handbook
    a. Student Government Constitution Revisions
11. Surplus Right-of-Way Property Purchase
12. Write-off of Receivable Accounts
13. Revision of 1983-84 Operating Budget
14. 1984-85 Operating Budget
15. Advisory Comm on Honorary Recognition

C. OTHER BUSINESS
16- HUD Discount

1. Recognition for Pat Sommerkamp
2.

NEXT QUARTERLY MEETING - Regularly scheduled for July 25, 1984 ??

ADJOURNMENT

BOARD OF REGENTS MINUTES
June 6, 1984

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:35 p.m. on June 6, 1984 by Chairman Gordon Martin. The invocation was given by Dr. Ralph Tesseneer.

The Chairman introduced the new Regent, Mrs. Susan Morrison, and administered the oath of office.

Roll Call:

Regents present: Mr. Bart Brown, Mr. David Duncan, Mr. Merv Grayson, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Gordon Martin, Mrs. Susan Morrison, Dr. Jeffrey Williams, Mr. Scott Wurster. Excused - Mr. Richard Spears

Others present: President Leon Boothe, Dr. Gene Scholes, Dr. Lyle Gray, Dr. Ralph Tesseneer, Ms. Cynthia Dickens, Mr. Dennis Taulbee, Mr. Robert Knauf, Mr. C.M. Tabor, Dr. Phyllis Scholtys, Mr. Keith McMain, Mr. Bill Russell, Dr. Tom Kearns, Ms. Jane Meier, Mr. Mike Baker, Ms. Becky Myers, Ms. Lori Dean, Ms. Carla Chance, Mr. Gregg Schulte, Mr. Dan Alford, Ms. Mary Paula Schuh, Ms. Jeri Sheldon, Ms. Mary Huening, Mr. Robert Sprague, Dr. Darryl Poole, Mr. Bill Lamb, Mr. Mike Beitzel, Mr. Jay Stevens, Mr. Jim Liechte, Dr. George Goedel, Dr. Mike Ryan, Ms. Mary Ryan, Mr. Tony Pugh of the Kentucky Enquirer, Mr. William Weathers of the Kentucky Post, and Ms. Bonita Jackson of WCKY Radio.

The Chairman recognized Mr. Grayson who read the following resolution:

"RESOLVED: That the Board of Regents extend its thanks and sincere appreciation to Patricia Sommerkamp, who served as a Regent of Northern Kentucky University from 1980 to 1984. She gave to the University her time and talent without compensation to further the ultimate goals of the Institution to provide the best higher education for the students therein.

"Dr. Sommerkamp shall be remembered for her individual contributions to the success of our University during her years of exemplary service.

"This resolution shall be publicly presented and be spread upon the minutes of the Board of Regents of Northern Kentucky University on June 6, 1984."

Mr. Grayson moved the adoption of the resolution. The motion, seconded by Mr. Brown, was passed by unanimous vote.

President Boothe presented a distinguished service award to Mr. Kenneth Lucas which read as follows:

Distinguished Service in Trusteeship
The Association of Governing Boards of University and Colleges
acknowledges the nomination of KENNETH LUCAS for the
Association of Governing Boards Distinguished Service Award
honoring significant contributions to higher education
with the uniquely American tradition of voluntary trusteeship.

Mr. Lucas thanked the Board for the honor and award.

The minutes of the previous meeting, January 25, 1984 were approved on motion by Mr. Haas and second by Mr. Grayson. The motion was unanimously passed.

PRESIDENTIAL REPORTS:

A-1 The Grants and Contract activity report was presented by the President who reported that while dollars are down, the number of grant applications are up and that there is a variation of dollars received from quarter to quarter. Grants and Contracts

A-2 President Boothe highlighted the Campus Development Report. He reported that the Health Center has been accepted by the State and that a formal dedication will be planned for early Fall. He stated that the State had approved construction of road improvements and an access road for our campus. This is a very significant event for the University to have this road system available. He also reported that the gas leakage problem was presented to the State, and the State agreed to fund the repairs as an emergency project. — Campus Development

A-3 Dr. Boothe reported that he had asked the Athletic Committee to study and submit a report on the long term objectives of the athletic program of this University. He highlighted the report with comments on the commitment to NCAA Division II, the possible membership in the Great Lakes Valley Conference and improvement of all outdoor athletic fields. The President recognized Dr. Tom Kearns, chairman of the Athletic Committee; Jane Meier, Athletic Coordinator of Women's Sports; and Mike Beitzel, Athletic Coordinator of Men's Sports. — Athletic Committee Report

A-4 In presenting the quarterly financial report, the President called attention to the new format and stated that the reports shows the University within the budgeted expenditures. — Quarterly Financial Report

A-5 The Financial Aid Audit as required by Federal statutes, shows no significant problems, according to the President. — Financial Aid Audit

A-6 President Boothe reported that the management report packet distributed to the Regents is now complete along with the reports distributed in January, 1984. — Management Reports

A-8 The President presented the Academic Affairs Reorganization plan. Dr. Gray stated that the reorganization attempts to strengthen areas that could bring new students into the University. Most of the changes was accomplished by reassignment and reallocation of faculty and facilities. He further mentioned that the largest clientel is in Business and the reorganization is trying to strengthen that area. — Academic Affairs Reorganization

Dr. Williams commented that he would like a statistical account of where we are saving and where the reallocation takes place. Mr. Wurster asked about the Community Research and Service department. Dr. Gray stated that a major portion of that area will fall under the Dean of the University College, as that College is already involved in the particular area.

A-7 The President announced that the Nursing Program of the University has received formal accreditation by the National League for Nursing. Significantly the accreditation is retroactive to the 12 month period prior to the date of the site visit. — Nursing Program receives Accreditation

EXECUTIVE SESSION:

Mr. Haas moved that the Regents go into Executive Session to discuss a matter. The meeting recessed at 5:10 and reconvened at 5:30 p.m.

The Chairman recognized Mr. Grayson who stated that the personnel matter was to study the Presidential Evaluation Committee Report. Mr. Grayson reported that the committee had met several times, talking with students, faculty, and staff. Everyone was very complimentary to Dr. Boothe during his first year as President.

Mr. Grayson reported that the committee was pleased to recommend the continuance of Dr. Boothe as President of Northern Kentucky University and offer a three year contract with annual evaluations and with a 2% raise during the first year of the contract. Mr. Grayson commented that while we are lagging behind in the compensation of our President as compared to other state institutions, we are certainly not lagging in talent.

Mr. Grayson moved that the recommendation be accepted. The motion seconded by Mr. Duncan, was passed by unanimous vote.

Dr. Boothe thanked the Regents and stated that he is very enthusiastic about the University and feels that the institution has a very fine faculty, staff, and student body.

PRESIDENTIAL RECOMMENDATIONS:

B-1 President Boothe recommended the following academic personnel actions to the Board: (Details attached to these minutes.)

Leaves - Jerry Barrett, August 15, 1984 to May 15, 1985
James Fouche, March 16, 1984 to June 30, 1985
Nan Littleton, August 5, 1984 to May 15, 1985
Mitch Shapiro, August 15, 1984 to May 15, 1985
James Stacy, August 14, 1984 to August 14, 1985

Resignations: Arthur Ambrose, Mohamad Bayou, Jeannette Cochran, Rosemary Ingham, all effective May 15, 1984

Appointments:
Ken Carter, Interim Chairperson of Education from March 17, 1984 to June 30, 1985
Adalberto Pinelo, Acting Chairperson of Political Science
Paul Reichardt, Chairperson of Literature and Language
Angela Lipsitz, Assistant Professor of Psychology
James T. Snodgrass, Assistant Professor of Mathematical Sciences
Joan C. Whitman, Assistant Professor of Social Sciences

Academic Personnel Actions

The President called attention to the leave of James Fouche, who is serving the State Education Department as Associate Superintendent of Instruction for elementary and secondary education. This is another indication of the outstanding faculty and administration that we have at this institution.

Mr. Haas moved that the recommendation be approved as presented. The motion was seconded by Mr. Brown and was passed by unanimous vote.

B-2 A list of non-academic personnel actions (attached to these minutes) was recommended to the Board by the President. He introduced Chester Arthur who transferred from Purchasing to Budget and Planning Officer. Mr. Brown moved, seconded by Mr. Duncan, that the recommendation be approved. The motion received an unanimous vote.

Non-Academic Personnel Actions

B-3 Dr. Boothe presented the following administrative personnel changes for approval:

Appointments: Dr. Gary Graff, Director of Institutional Research
Mr. Bill Russell, Director of Admissions

Resignations: Ms. Kim Hennessey, University Counsel
Mr. Larry Kinney, Director of Auditing

Administrative Personnel Actions

Mr. Russell was introduced by the President. Mr. Grayson moved that the recommendation be approved as presented. The motion, seconded by Mr. Wurster, received unanimous vote.

B-4 President Boothe recommended that Northern Kentucky create an intermin College of Business with the existing Business Department, effective July 1, 1984. The recommendation further includes the development of four separate departments (Accounting; Business, Economics and Finance; Information Systems; and Management/Marketing). Interim College of Business approved

The President stated that a possible College of Business has been under consideration and the Board was formally aware of that possibility since the NKU Leading Issues and Emerging Policies document was presented in August, 1982. The President spoke on the four points listed in the background of the recommendation and added that he feels the stronger the program, the better students can be recruited. Also, the business community feels very strongly that a College of Business is needed. Because there were more majors in Business than the other two colleges, the figures were influential in the decision. He said he feels the plan is workable and the time is right, especially in terms of the community.

Mr. Haas moved the adoption of the recommendation and it was seconded by Mr. Grayson.

Mr. Brown asked why it was to be an interim college and Dr. Gray answered that he felt it will take an interim year to work out any problems. Dr. Gray further stated that this was the leanest version being brought to the Regents because of budgetary concerns.

Dr. Williams spoke against the recommendation stating that he felt it would be an irresponsible action at this time because of the lack of the money coming from the State. He said he did not find any illusion to the College in the Leading Issues and Emerging Policies. He was concerned that money would be taken from other areas that may also need it. Further discussion continued with Dr. Gray answering Dr. Williams' concerns.

Mr. Lucas spoke for the recommendation stating that he understood Dr. Williams' concern in lieu of the budget picture looking gloomy. However, he said that he felt we also had to be concerned about the external perception of the University. Since we have to go to the community for money, we should serve the community needs, particularly in a College of Business.

Dr. Boothe said that he sympathized with Dr. Williams' viewpoint. He also said that he had agonized over the decision and felt very strongly that the time had come to serve the larger number of students in a better manner.

Dr. Gray explained interim did not mean that if the present version was not workable, the plan would be abolished. This merely gives time to make refinements where needed.

Mr. Haas called for the question. The vote was as follows:
Aye - Mr. Brown, Mr. Duncan, Mr. Grayson, Mr. Haas, Mr. Lucas, Ms. Morrison.
Nay - Dr. Williams who stated that his nay vote was not against the concept, but rather the timing.
Abstention - Mr. Wurster.
The motion passed.

B-5 The President presented a recommendation adding a policy regarding amendments to the Faculty Policies and Procedures Handbook (attached to these minutes). The recommendation passed by unanimous vote on motion by Mr. Grayson and second by Mr. Lucas. Faculty Handbook Policy

B-6 President Boothe presented a recommendation to the Faculty Policies and Procedures Handbook regarding Accommodation Procedures for Handicapped Employees (attached to these minutes). The motion was made by Mr. Haas to approve the recommendation. The motion, seconded by Mr. Duncan, was unanimously approved.

Faculty Handbook Policy on Handicapped

B-7 It was recommended by the President that an amendment (attached to the minutes) to the General Requirements for baccalaureate students be approved. Mr. Wurster spoke of his appreciation to Dr. Poole for the stand he took while the subject was under discussion. Student Government had been spilt on the amendment; however, he personally approved the amendment. Mr. Brown moved approval of the recommendation. The motion was seconded by Mr. Duncan and it received unanimous approval.

General Requirements for Degree

B-8 The President presented a policy regarding interim grades (attached to these minutes). Mr. Wurster stated that this was the most significant accomplishment of Student Government in the past year as it provides feedback to the students as to how they are progressing in a class. Mr. Lucas moved approval of the recommendation. The motion, seconded by Mr. Grayson, was unanimously passed.

Interim Grade Policy

B-9 A revision of the Code of Student Rights and Responsibilities (attached to these minutes) was presented by the President. Mr. Wurster moved approval of the revision. The motion was seconded by Mr. Brown and the vote was unanimous.

Student Rights and Responsibilities revised

B-10 President Boothe presented an amendment to Section 6, Article IV of the Student Government Constitution with one correction - Paragraph C. should read "representative to both the Faculty Senate and the Staff Congress," rather than "on". Mr. Haas made the motion to approve the amendment as corrected. The motion, seconded by Mr. Wurster, was unanimously passed. The amendment is attached to these minutes.

Student Government Revisions

An amendment to Article V of the Student Government Constitution was presented by the President. The motion to accept the recommendation was made by Mr. Grayson. The motion, seconded by Mr. Wurster, was passed by unanimous vote.

Dr. Boothe complimented Mr. Wurster for his service this past year while serving on the Board of Regents. Chairman Martin thanked Mr. Wurster on behalf of the Board for his service and commitment to the University. Dr. Boothe introduced the new Student Government President, Mr. Keith McMain, who takes office on July 1. Also introducted was Dr. George Goedel, the new Faculty Senate President.

B-11 President Boothe recommended that approval be given to the purchase of 10.46 acres of surplus right-of-way property adjacent to I-275. The property, known as parcel 431X, is bordered on one side by the Interstate and on all others by University property. The President explained that the property was not transferred when lengthy court proceedings were completed by the State Department of Transportation. Mr. Lucas moved the adopted of the resolution. The motion was seconded by Mr. Grayson and was passed by unanimous vote.

Surplus Right-of-Way Property

B-12 The President recommended that authorization be given to the Associate Vice President for Business Affairs to write-off receivables as bad debts in the amount of $6,729.98. Mr. Haas moved approval, Mr. Brown seconded the motion, and it was passed by unanimous vote.

Write-Off Receivables

B-13 The President recommended that the 1983-84 operating budget be revised to $31,749,412 in order to reflect a decrease in revenues from fall and spring tuition receipts of $265,000, an increase in seminar fees of $24,000, an increase in investment earnings of $175,000, and fund balance allocations of $361,766. Dr. Boothe explained that the fund balance allocation was transferred to cover purchase of some micro-computers through the University of Louisville contract at a very reduced price. Mr. Brown moved that the recommendation be approved. The motion, seconded by Mr. Grayson, was passed by unanimous vote. Revision of 83-84 Budget

B-14 The President presented the 1984-85 unrestricted current fund operating budget of $32,507,000 (details attached to these minutes) and recommended its approval. He stated that the budget contains about 1.8% in new monies. About .5% additional new money was earmarked for activities in support of the Federal desegregation order. 1984-85 Operating Budget Approved

Mr. Haas moved that the recommended budget be approved. The motion was seconded by Mr. Grayson. Dr. Williams pointed out that the College of Business was not listed but the Business Department was listed under the Dean of Professional Studies. Dr. Gray said that the budget had been completed prior to the final decision to recommend the College of Business.

The cost of the radio station was questioned by Dr. Williams. Dr. Boothe said that the radio station, hopefully, will become self supporting. Once the station is on the air, it is the feeling that additional support will come from private sources. Six months after the station is on the air, it will be possible to apply for Federal funds.

The vote was called and the motion passed by unanimous vote.

B-15 President Boothe recommended that a University Advisory Committee on Honorary Recognition be approved. He said that the Board has never really had a policy in this regard and he was proposing using a committee to review suggested honorees. Mr. Duncan moved, seconded by Mr. Grayson, the adoption of the recommendation. The vote was unanimous. Committee on Honorary Recognition

B-16 It was recommended that the President be authorized to pursue the advisibility and the possibility of paying off the HUD indebtedness and refinance the required amount to cover payoff. Dr. Boothe explained that HUD has offered a substantial discount on the loans if they are paid off now. Some of the universities are discussing pooling the debt, using one bond counsel. The recommendation only asks for permission of pursuing the possibility. The Board will have the opportunity to vote if the plan becomes feasible. Mr. Duncan made the motion that the recommendation be adopted. The motion, seconded by Ms. Morrison, was unanimously passed. Refinancing HUD Indebtedness

The next regular quarterly meeting was set for Wednesday, July 25, 1984 at 4:30 p.m.

The meeting was adjourned at 6:50 p.m. on motion by Mr. Haas and second by Mr. Lucas.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its quarterly meeting held on June 6, 1984 and that such matters are still in force and effect.

Dated this 28 day of June, 1984.

Helen

AGENDA
BOARD OF REGENTS
NORTHERN KENTUCKY UNIVERSITY
Wednesday, July 25, 1984, 4:30 p. m.
Regents Board Room, Administrative Center

CALL TO ORDER AND INVOCATION

INTRODUCTION AND INSTALLATION OF NEW REGENTS
Regents Herbert Booth and William Verst
Student Regent Keith McMain

ROLL CALL

MINUTES OF PREVIOUS MEETING - June 6, 1984 - included with agenda

ELECTION OF OFFICERS - Chairman
Secretary-Treasurer
Assistant Secretary

PRESIDENTIAL REPORTS

1. Grants and Contracts Quarterly Report
2. Campus Planning Report
3. Quarterly Financial Report
4. Summer Enrollment Statistics (to be distributed at meeting)
5.

PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel Actions
2. Non-Academic Personnel Actions
3.

OTHER BUSINESS

1.
2.

NEXT QUARTERLY MEETING - ~~October 24, 1984~~ or October 31, 1984 4:30

Jan. 30
April 24

ADJOURNMENT

BOARD OF REGENTS MINUTES
July 25, 1984

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:30 p.m. on July 25, 1984 by acting chairman Elmer Haas. Invocation was given by Dr. Ralph Tesseneer.

Mr. Haas introduced the new Regents and administered the oath of office for the following: Dr. Herbert Booth, Mr. William Verst,Jr., and Mr. Keith McMain, student regent.

Roll Call:

Regents present: Dr. Herbert Booth, Mr. David Duncan, Mr. Merv Grayson, Mr. Elmer Haas, Mr. Kenneth Lucas, Mrs. Susan Morrison, Mr. Richard Spears, Mr. William Verst, Mr. Keith McMain. Excused - Dr. Jeffrey Williams.

Others present: President Boothe, Dr. Gene Scholes, Dr. Lyle Gray, Dr. Ralph Tesseneer, Mr. Dennis Taulbee, Mr. C.M. Tabor, Ms. Sheila Bell, Alumni Representative Rebecca Sturm, Faculty Representative Mike Ryan, Mr. Warren Spencer, Mr. Bill Russell, Dr. James Alford, Ms. Imogene Addison, Ms. Kathleen Webber, Mr. Mike Baker, Mr. Gregg Schulte, Mr. Chester Arthur, Ms. Mary Ryan, Ms. Mary Huening, Mr. Bill Lamb, Ms. Mary Paula Schuh, Mr. Don Gammon, Mr. Dave Phillips, Mr. Tim Stein - Kentucky Post, and Mr. Dave Beasley - Kentucky Enquirer.

Minutes of the June 6, 1984 meeting were approved with the following correction: Page 2 under Executive Session should read "Mr. Haas moved that the Regents go into Executive Session to discuss a personnel matter." The motion was made by Mr. Duncan and seconded by Mr. Spears.

Mr. Haas called for nomination of Chairman of the Board for the next year. Mr. Verst moved that Mr. Kenneth Lucas be nominated. The motion was seconded by Mr. Duncan. Mr. Duncan moved that the nomination cease and second was made by Mr. Verst. The motion carried. Mr. Lucas was elected Chairman by unanimous vote.

Upon call for nomination for Secretary/Treasurer, Mr. Duncan nominated Mr. Elmer Haas. The motion was seconded by Mr. Lucas. There being no further nominations, Mr. Haas was elected Secretary/Treasurer by unanimous vote.

Nominations for Assistant Secretary were called. Mr. Lucas moved that Mrs. Dolores Thelen be nominated for the next year. The motion was seconded by Dr. Booth. Mrs. Thelen was elected Assistant Secretary by unanimous vote.

Mr. Lucas assumed chairmanship of the Board and made the following comments:

(1) That upon leaving the office of Chairman a year ago, he never anticipated to return to that position. He noted that things have an unusual way of happening.

(2) That he was very pleased to serve again in this capacity until such time that it can be better served, but made it clear that he did not aspire nor seek the position again.

Mr. Haas presented and recommended the following resolution for approval:

"RESOLVED: That the Board of Regents break with tradition and make an exception to the by-laws by honoring Gordon Martin with the title, Regent Emeritus of Northern Kentucky University, because of the length of his service as a Regent, the outstanding contribution he made as chairman of the Board, and his concerted efforts to progress this University over a protracted period of time.

"This resolution shall be publicly presented and be spread upon the minutes of the Board of Regents of Northern Kentucky University on July 25, 1984."

Mr. Duncan seconded the motion which was passed by unanimous vote.

Mr. Duncan presented and recommended the following resolution for approval:

"RESOLVED: That the Board of Regents extend its thanks and sincere appreciation to Gordon Martin who served as a Regent of Northern Kentucky University from 1972 to 1984. Mr. Martin will be remembered for his distinct contributions to the success of the University dating from his participation in the founding of the Institution through his years of exemplary service as a productive Regent.

"This resolution shall be publicly presented and be spread upon the minutes of the Board of Regents of Northern Kentucky University on July 25, 1984."

The motion was seconded by Mr. Spears and received unanimous approval.

Mr. Spears presented and recommended the following resolution for approval:

"RESOLVED: That the Board of Regents extend its thanks and sincere appreciation to Bart Brown who served as a Regent of Northern Kentucky University from 1983 to 1984. He gave to the University his time and talent without compensation to further the ultimate goals of the Institution to provide the best higher education for the students therein.

"This resolution shall be publicly presented and be spread upon the minutes of the Board of Regents of Northern Kentucky University on July 25, 1984."

The motion was seconded by Dr. Booth and received unanimous approval.

PRESIDENTIAL REPORTS:

Two items were brought to the attention of the Board by the President prior to beginning reports. (1) Rebecca Sturm, newly elected president of NKU Alumni, was introduced. (2) Attention was called to the new paintings in the Board Room. Dr. Tesseneer reported that the art work was donated as a result of efforts of John Brooking, former Regent and legal counsel. The paintings were donated by Mrs. Betty Westerfield from her late husband's collection of art from Canada. These pictures depict various stages of an uranium mine development in an area at which Mr. Westerfield used to camp and fish some years ago. Dr. Tesseneer mentioned that the Foundation is pleased to receive such donations and would be glad to receive information about any individual who might be searching for a worthy Foundation to make this type of contribution.

A-1	The Grant and Activity report is for the past fiscal year and shows a slight increase over the previous year, according to the President. This is a gratifying report inasmuch as the competition is keen due to dimishing funds at the Federal level.	Grant and Activity Report
A-2	President Boothe highlighted the campus planning report and announced that Dedication ceremonies for the A.D. Albright Health Center will be held on Friday, September 7th at 1:30 p.m.	Campus Planning
A-3	The financial report for the past fiscal year was presented by the President who explained that the audit report for the fiscal year will be available to the Board by the next meeting.	Financial Report
A-4	The President reported that summer school enrollment is down somewhat, but reflects trends nationwide.	Summer School Enrollment

PRESIDENTIAL RECOMMENDATIONS:

B-1 Academic affairs personnel recommendations (attached to these minutes) were presented by the President. Dr. Boothe stated that the 2% increase in salaries (as mandated by the State) has had some effect inasmuch as five of the nine terminations in the academic and administrative areas came about because the individuals resigned due to financial reasons as well as being able to pursue better opportunities in other areas. The State certainly needs to be aware that the small funding is having an impact and institutions are losing able individuals. Academic Personnel

Mr. Haas moved acceptance of the recommendation. The motion, seconded by Dr. Booth, received unanimous approval.

B-2 Dr. Boothe presented the non-academic personnel actions for approval (attached to these minutes). The President introduced Sheila Bell, interim legal counsel for the University, giving her credentials. Ms. Bell will also act in the capacity of Affirmation Action officer of the University. Non-academic Personnel

The recommendations were approved on motion by Mr. Verst and second by Mr. McMain. The vote was unanimous.

OTHER BUSINESS:

After discussion on future meeting dates, following are the dates selected for the balance of this fiscal year: October 31, January 30, and April 24. The meetings will commence at 4 p.m.

The meeting was adjourned at 5:05 p.m. on motion by Mr. Haas and second by Mr. Spears.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its quarterly meeting held on July 25, 1984 and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 8th day of August, 1984.

AGENDA
BOARD OF REGENTS
NORTHERN KENTUCKY UNIVERSITY
Wednesday, October 31, 1984 - 4 p.m.
Regents Board Room, Administrative Center

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME

MINUTES OF PREVIOUS MEETING - July 25, 1984

A. PRESIDENTIAL REPORTS

1. Grants and Contracts Quarterly Report
2. Campus Planning Report
3. Fall Enrollment Statistics (to be distributed at meeting)
4. GLVC Membership Report
5. Quarterly Financial Report
6. Annual Financial Audit Report
7. President's Annual Report (to be distributed at meeting)
8.
9.

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel Actions
2. Non-Academic Personnel Actions
3. Employment Reclassification Regulation
4. Financial Aid Eligibility
5. Commonwealth Scholarship for Legal Studies
6. Administrative Standing Committees
   a. Academic Standing Committee
   b. Student Financial Aid Committee
   c. Committee on Undergraduate Admissions
7. Revision to 1984-85 Operating Budget
8.
9.

C. OTHER BUSINESS

1.
2.

NEXT QUARTERLY MEETING - Wednesday, January 30, 1984

ADJOURNMENT

BOARD OF REGENTS MINUTES
October 31, 1984

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:15 p.m. on Wednesday, October 31, 1984 by Chairman Lucas. Invocation was given by Dr. Ralph Tessenner.

Roll Call:

Regents present: Dr. Herbert Booth, Mr. David Duncan, Mr. Merw Grayson, Mr. Kenneth Lucas, Mrs. Susan Morrison, Mr. William Verst, Dr. Jeffrey Williams, Mr. Keith McMain. Excused - Mr. Elmer Haas, Mr. Richard Spears.

Others present: President Leon E. Boothe, Dr. Gene Scholes, Dr. Lyle Gray, Ms.Cynthia Dickens, Mr. Dennis Taulbee, Mr. Robert Knauf, Ms. Sheila Bell, Dr. Ralph Tesseneer, Mr. C.M. Tabor, Dr. Phyllis Sholtys, Ms. Nancy Perry, Ms. Nancy Pierce, Ms. Becky Sturn, Mr. George Goedel, Mr. Richard Rankin and Mr. Charles Lee of Rankin & Rankin, Ms. Cindy Dunaway, Ms. Peggy Kreimer, Ms. Mary Paula Schuh, Ms. Carla Chance, Mr. Gregg Schulte, Dr. Gary Graff, Mr. Robert Sprague, Mr. Bill Lamb, Ms. Imogene Addison, Mr. Mike Baker, Dr. Carol Futhey, Mr. C.A. Arthur, Dr. Elly Welt, Mr. Bill Russell.

Minutes of the July 25, 2984 meeting were approved on motion by Mr. Duncan and second by Dr. Booth.

Several announcements were made by the President:

1. Dr. Carol Futhey was introduced as chairman of an impact study to be released during the next week. Regents will receive a copy of the study, which clearly shows the impact of Northern in this region and community.
2. The distinguished speaker program this past year has been outstanding. Dr. Elinor Welt, who has headed the program, was introduced. Dr. Welt also secured for the Regents personally inscribed copies of John Ciardi's newest book, the release of which coincided with his recent visit on campus as one of the distinguished speakers.
3. The Office of Civil Rights recently audited activities on our campus. Mrs. Cynthia Dickens was responsible for much of the preparation. Northern received good results from the audit.
4. Copies of "NKU-Where the Experts Are"were distributed. The booklet lists professors and their fields of expertise and will be given to communications media.
5. Copies of the newest Student Handbook were distributed.
6. Dr. Gary Graff, new Director of Institutional Research, was introduced.

PRESIDENTIAL REPORTS:

A-1 The President noted that a total of $414,520 in grants and contracts was secured this year, as compared to $235,000 last year. This represents the good response of the University community in the number of grants that have been approved. Grants and Contracts

A-2 President Boothe highlighted the Campus Development report, noting that we are anxious to see work begin on the connector road between Three Mile Road and Nunn Drive as well as the widening of Nunn Drive. State assistance has been and is being received in trying to determine how to handle the water problem (spring) under Landrum Hall. The leakage problem, which has become quite serious, is being studied to find a solution. Dr. Scholes and appropriate members of his staff did an excellent job in seeing that the new gas line was installed prior to the onset of cold weather. The A.D. Albright Health Center is in full operation with the exception of minor items to be taken care of by the contractor. Campus Development

Mr. Lucas announced that he had received a thank-you to the Board from Dr. Albright for the recognition he received at the dedication of the Health Center.

A-3 Enrollment summary shows a slight decline this year - 5.2% decrease was entirely at the Freshman and Sophmore level, according to the President. The Junior level increased 5.5% and the Senior level 14%. The MBA program had an 8% increase. Upon Mr. Duncan's question, Dr. Boothe stated that official enrollment figures for state schools had not been released, but that unofficially, there were similar declines throughout the state. — Fall Enrollment

A-4 The President reported the successful completion of our efforts to join the Great Lakes Valley Conference. Such membership formalizes some inter-collegiate competition we have had in the past with some schools in this conference. The President stated that this membership will greatly enhance the entire athletic program. Dr. Boothe thanked Dr. Scholes, Dr. Tom Kearns and Coaches Beitzel and Meier for the time and effort in achieving this goal. — Athletics

A-5 The quarterly financial report for July-September, 1984 indicates that the University is in good shape. Larger than anticipated revenues occurred in auxiliary enterprises because of highly successful membership participation in the Health Center. — Quarterly Financial Report

A-6 The President highlighted the annual financial audit. Mr. Richard Rankin of Rankin and Rankin certified to the Regents that the audit was concluded for the fiscal year ending June 30, 1984 and records were found to be in order and statements properly prepared. Mr. Rankin expressed the appreciation of his staff for the cooperation received from the President's Office, Dr. Scholes, Mr. Tabor, Mike Baker, and the accounting office. — Financial Audit

President Boothe pointed out that state appropriations are decreasing and the University is having to depend on tuition and other revenue. He noted that we simply cannot continue to do this and have a quality educational program. Mr. Verst noted that the state had received $900 million less from the Federal government than had been received a year ago. Mr. Grayson spoke on the subject and said he felt that it was important to get the masses of people behind education before the next legislative session. Dr. Boothe said that the Council on Higher Education is going to form a task force in support of higher education. Dr. Williams expressed the concern of faculty that the ratio of full time faculty to part time faculty is becoming increasingly high.

A-7 The President's Annual Report was presented to the Regents by the President, who pointed out many areas of interest as well as the strides that have been made in the past year. — President's Annual Report

PRESIDENTIAL RECOMMENDATIONS:

B-1 A list of new faculty, terminations, appointments, and leaves (attached to these minutes) were recommended by the President. Mr. Duncan moved the adoption of the recommendation. The motion, seconded by Mr. Grayson, received unanimous approval. — Academic Personnel

B-2 A list of non-academic personnel changes were recommended to the Regents. The President introduced Ms. Nancy Pierce, new Financial and Operations Auditor. Non-academic Personnel

The President reported that he had asked for a summary on the reasons for terminations. Following are results:
55% - Promotional opportunities
15% - Leaving work force
15% - Did not enjoy job
10% - Better pay
5% - Reduction of work force

The recommendation was unanimously adopted on motion by Mrs. Morrison and second by Dr. Booth.

B-3 President Boothe presented a recommendation that the policy (attached to the minutes) regarding staff position reclassifications and reclassification reviews be approved and made part of the administrative regulations of the University. The President said that the policy has not been as clear as it should be and this new policy will provide more guidance in this respect. Reclassification Policy

The motion was unanimously adopted on motion by Mr. Grayson and second by Mr. Duncan.

B-4 A recommendation that the University establish a limit of 144 credit hours attempted as the point at which an undergraduate degree-seeking student's eligibility to receive Federal, State, and Institutional financial aid terminates was presented by the President. Dr. Boothe stated that the Federal government has mandated each institution to include a statement specifying the point at which financial aid eligibility ceases along with satisfactory progress policies. Credit Hour Limit for Financial Aid

Mrs. Morrison moved that the recommendation be adopted. The motion, seconded by Mr. Verst, received unanimous approval.

B-5 The President presented a revised policy for Commonwealth Scholarship for Legal Studies (attached to the minutes). He explained that Mr. Duncan had questioned why only undergraduates of regional state universities were eligible, thus eliminating the private schools. The scholarship policy was rewritten after studies were completed and it is believed the new policy will be much improved. Commonwealth Scholarship for Legal Studies

Mr. Duncan moved for the adoption of the recommendation. The motion was seconded by Mr. McMain and was passed by unanimous vote.

B-6 A recommendation was presented by the President that the expansion of the membership of the following Administrative Standing Committees be approved: Academic Standing Committee to include a representative from the Financial Aid office and Student Financial Aid Committee to include a representative from the Admissions office. The motion was unanimously adopted on motion by Mrs. Morrison and second by Mr. Verst. Expansion of membership on two Standing Committees

The President presented a recommendation that the amended policy establishing and governing the Committee on Undergraduate Admission be approved. The policy is attached to the minutes. Mr. Verst moved for adoption of the policy. The motion, seconded by Dr. Booth, was passed by unanimous vote. Committee on Undergraduate Admissions

B-7 It was recommended by President Boothe that the University's 1984-45 unrestricted current fund operating budget be revised to $33,471,065 in order to reflect adjustments in Community Research and Services receipts of $21,774, summer sports camps of $51,586, expansion of child care program of $23,025, and fund balance allocations of $868,220. The recommendation was unanimously approved on motion by Dr. Booth and second by Mrs. Morrison. Revision to 1984-85 Budget

Mr. Duncan complimented President Boothe for giving public recognition to faculty and staff who have benefited the University with excellent work and programs.

Mrs. Morrison complimented the persons who were responsible for the attractive reports and booklets that consistently are presented to the Board.

The meeting was adjourned at 5:10 p.m. on motion by Mr. Duncan and second by Dr. Booth.

E. J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its quarterly meeting held on October 31, 1984 and that such matters are still in force and effect.

Elmer J. Haas, Jr. Secretary

Dated this 27th day of November, 1984.

AGENDA
BOARD OF REGENTS
NORTHERN KENTUCKY UNIVERSITY
Wednesday, January 30, 1985 - 4:00 p.m.
Regents Board Room,Administrative Center

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME

MINUTES OF PREVIOUS MEETING - October 31, 1984

A. PRESIDENTIAL REPORTS

1. Grants and Contracts Quarterly Report
2. Summer Fellowship Awards
3. Faculty Project Grants
4. Spring Enrollment Statistics (to be distributed at meeting)
5. Report on Non-Traditional Credit
6. Campus Planning Report
7. Quarterly Financial Report
8. Gifts to the University (oral report)
9.

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel
   a. Continued Probation, Promotion and Tenure
   b. Administrative Appointment, Resignation, and Leave
   c. Sabbatical Leaves
2. Non-academic Personnel
3. Faculty Handbook Revisions
4. Faculty Senate Constitution
5. University Organization Chart
6. Affirmative Action Policy Revision (to be distributed at meeting)
7. Road Construction Property Conveyance (to be distributed at meeting)
8. Major Maintenance and Equipment Authorizations
9. Military/DOD Credit
10. External Auditing Contract (to be distributed at meeting)
11. University Tuition Schedule
12. University Fees Schedule
13. Operating Budget Revision
14.

C. OTHER BUSINESS

1.
2.

NEXT QUARTERLY MEETING - April 24, 1985

ADJOURNMENT

BOARD OF REGENTS QUARTERLY MEETING
January 30, 1985

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:10 p.m. by Chairman Kenneth Lucas. Invocation was given by Dr.Lyle Gray.

Chairman Lucas welcomed all present and asked for roll call.

Regents present: Dr. Herbert Booth, Mr. David Duncan, Mr. Merwin Grayson, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Keith McMain, Mrs. Susan Morrison, Mr. William Verst, Dr. Jeffrey Williams. Excused - Mr. Richard Spears.

Others present: President Leon Boothe, Dr. Gene Scholes, Dr. Lyle Gray, Dr. Ralph Tesseneer, Ms. Cynthia Dickens, Mr. Dennis Taulbee, Mr. Robert Knauf, Mr. C.M. Tabor, Ms. Phyllis Sholtys, Alumni President - Ms. Rebecca Sturm, Faculty Senate President - Dr. George Goedel, Staff Congress Officers - Ms. Kathy Dawn, Ms. Nancy Utz, and Ms. Cindy Cook, Mr. Clifford Shisler, Ms. Susan Kemper, Ms. Mary Paula Schuh, Mr. Mike Baker, Ms. Linda Reynolds, Ms. Kathleen Webber, Ms. Becky Myers, Ms. Carla Chance, Mr. Gregg Schulte, Mr. Dan Alford, Ms. Jeri Sheldon, Ms. Nancy Pierce, Dr. James Claypool, Dr. Kenneth Carter, Mr. Warren Spencer, Mr. William Russell, Ms. Mary Huening, Dr. Michael Ryan, Ms. Mary Ryan, The Northerner - Mr. Steve Rohs, Mr. William Lamb, Mr. Chester Arthur, and Kentucky Post - Ms. Peggy Kreimer.

The minutes of the previous quarterly meeting, held October 31, 1984 were approved as submitted on motion by Mr. Haas and second by Dr. Booth.

The President introduced the new officers of Staff Congregg - Kathy Dawn, President; Nancy Utz, Vice President; and Cindy Cook, Secretary-Treasurer.

PRESIDENTIAL REPORTS:

A-1 President Boothe noted that the number of proposal for Grants and Contracts were down dramatically, partially due to a vacancy of the director. He also reported that total dollars are up and that Northern received two of eleven proposals from the Kentucky Humanities Council which is an excellent percentage. Dr. Jeff Williams received one of the Humanities' grants. — Grants and Contracts

A-2 1985 Summer Fellowship Awards have been presented to 15 faculty members, according to the President. The Summer Fellowship program is designed to encourage faculty to engage in individual research, scholarly writing, and creative and artistic projects and to share the results of their efforts with the broader University community. — Summer Fellowship Awards

A-3 The President reported that 12 faculty members received 1985-85 Faculty Project Grants. Such grants are awarded to encourage professional growth through financial support for independent research. — Faculty Project Grants

A-4 In reporting Spring enrollment statistics, the President noted that head-count is down 2% while FTE is down 4%. This is partially due to the economy when more and more people are working and taking less classes. Some further statistics supplied by the President - more new students were admitted than the previous Spring; many students not enrolling were in good standing and a survey of those students is planned trying to ascertain why they are not returning; Senior enrollment is up; graduate enrollment is up; and there is an increase in the law school. Because of the very bad weather during walk-in registration, that particular phase of registration was down. — 1985 Enrollment for Spring

A-5 A report was given by the President on non-traditional credits. Ms. Susan Kemper addressed the Regents on the portfolio development concept which created a great deal of interest. The President said he felt that Northern would have a very strong program as it develops. — Non-traditional Credit Programs

A-6 President Boothe highlighted the Campus Development Report for the Regents. Recognition was given to Dr. Scholes and the physical plant crew who have kept the University roads in good shape during the bad weather and to Mr. Dan Alford, who lead the way in getting the connection with Storer Cable hooked into our campus facilities. — Campus Development

A-7 The quarterly financial report was presented to the Regents and it was reported by the President that expenditures are in line with revenues. — Financial Report

A-8 The President reported that a number of gifts had been received by the University in the past quarter and would highlight a few of them:

Mrs. Helen Woodyard donated the library of her brother, Edward Carberry, to the University. Some 1500 volumes, many classics, were contained in the collection. No dollar value was put on the collection.

Mr. Warren Shonert, a former Regent, donated a library collection valued at $200,000. Many of the volumes are "one of a kind." Much of the collection is about Kentucky history and politics as well as Civil War history. There is also 86 years of the Falmouth Outlook. Dr. James Claypool, Archivist, was instrumental in bringing this collection to the University.

Several financial gifts were received, one of which was a $100,000 deferred gift to the Foundation from Kenneth Lucas. Another $40,000 was received from a Campbell County resident, who prefers to remain anonymous, for science scholarships primarily. The residue of this estate will eventually be given to the University.

Other informative items reported by the President:

The Board of Regents held a retreat at which the Board decided that it preferred only policy matters be brought to the meetings and not necessarily administrative procedures. The President announced his concurrence and support.

The athletic program is becoming nationally known - women's basketball team is rated seventh nationally in Division II. The men's team is about 8 points away from a potential national rating in Division II.

Regarding athletic symbols of the University - after a survey was taken on campus it was felt that Norse would remain the symbol rather than change at this time. The white/gold colors will be changed to white/gold/black.

The Foundation has negotiated with NCR Corporation to offer purchase of home computers to our faculty at an attractive cost. Details are being worked out.

The impact study shared at a previous meeting has gain national attention and made the Associated Press. The study also received complimentary remarks across the State.

EXECUTIVE SESSION:

Mr. Duncan moved that the Regents go into Executive Session to discuss a personnel matter. The motion was seconded by Mr. Haas. The meeting recessed at 4:35 p.m. and reconvened at 4:55 p.m.

Mr. Haas was excused because of illness.

PRESIDENTIAL RECOMMENDATIONS:

B-1(a) Upon recommendation by the Provost, the President presented the following academic personnel actions: — Academic Personnel

Faculty recommended for continued probation: — Continued Probation

Miriam Kannan	Sally Jacobsen	John Valauri	Linda Sheffield
Herb Caldwell	David Lavery	Carol Becker	Linda Olasov
Cynthia Cukla	Robert Rhode	Carol Bredemeyer	Janet Simon
Diana Duffin	Joe McNutt	Perry Bratcher	Janice Cantrall
Jonathan Gresham	Ruth Pickard	Nancy Campbell	Diana Kruse
Carolyn Hagner	Barbara Thiel	Jennifer Smith	Carrie McCoy
David Leong	Billie Brandon	Rebecca Sturm	Frances Mosser
Howard Carson	Nancy Lang	Emily Werrell	Barbara O'Brien
Chris Christensen	Lynn Langmeyer	Theresa Wesley	Jerry Barrett
Donald Galli	H. Ray Souder	Judy White	Andrea Gauthier
John Rankin	Kathleen Verderber	Charles Apple	
Gail Wells	Gene Krauss	David Thomson	

Faculty recommended for continued probation with difficulties: — Continued Probation with difficulties

Stuart Ware	Glenellyn Barty	Melanie Howell	James Alford	
Raymond McNeil	Carol Allred	June Jones	William Recker	
Dennis Sies	Thomas French	Michael Hunter		
Chris Alexander	Brad Scharlott	Scott Quimby		

Faculty recommended for promotion: — Promotion

Stephen Goacher to Assoc. Professor	Susan Hollis to Assoc.Professor
Howard Storm to Full Professor	Michael Washington to Assoc.Prof.
David Payne to Full Professor	Daniel Curtin to Assoc.Professor
Thomas Kearns to Full Professor	James McKenney to Full Professor
Peter Schiff to Assoc.Professor	David Hogan to Assoc.Professor
Cynthia McDaniel to Assoc.Professor	Terry Pence to Assoc. Professor
William Lindsay to Full Professor	Tim Serey to Assoc. Professor
Gene Krauss to Assoc. Professor	Mark Stavsky to Full Professor
John Valauri to Assoc. Professor	Rachelle Bruno to Assoc.Professor
Warren Corbin to Full Professor	Linda Sheffield to Full Professor

Faculty recommended for tenure: — Tenure

Stephen Goacher	Peter Schiff	Tim Serey	Donald Cobb
Susan Hollis	David Hogan	Ron Williams	Carlos Soto
Michael Washington	Cynthia McDaniel	Mark Stavsky	James Kinne
Daniel Curtin	Terry Pence	Rachelle Bruno	Gary Scott

Mr. Grayson moved that the actions receive approval. The motion, seconded by Dr. Booth, was passed by unanimous vote.

B-1(b) The following academic personnel actions were presented and recommended by the President:

Administrative Appointments:

Kenneth Carter as Chairperson/Professor of Education Department. — Academic Administrative Appointments

Thomas Harden as Chairperson/Assoc. Professor, Department of Technology and Occupational Education

Clifford Shisler, Director of Reserach,Grants and Contracts

Resignations:

Jerry Barrett, Assoc. Professor of Public Administration — Resignations

William Jones from Dean of Chase Law School to Professor

Leaves:

Billie Brandon, effective January 1, 1985 thru December 31,1985 — Leave

Dr. Carter and Dr. Shisler were introduced to the Regents. The President also paid tribute to Dean Jones for the accomplishments he attained while Dean of the law school.

Mrs. Morrison moved that the actions as presented be approved. The motion was seconded by Dr. Booth and was passed by unanimous vote.

B-1(c) The President recommended that the following faculty members receive sabbatical leaves: — Sabbatical Leaves

David Bishop, Fall Semester 1985	Richard O'Brien, one semester 1985-86
Christopher Boehm, 1985-86	W. Michael Ryan, Fall Semester 1985
Robert Collier, Spring Semester1986	Ann Visnic, Spring Semester 1986
Tripta Desai, one semester 1985-86	William Wagner, Spring Semester 1986
David Elder, Fall Semester 1985	H.Lew Wallace, Fall Semester 1985
Larry Giesmann, Fall Semester 1985	Macel Wheeler, 1985-86

President Boothe reported that sabbatical leaves enable recipients to devote full time to scholarship activity and research, advanced study, or artistic performance in pursuit of academic objectives.

The recommendation received unanimous approval on motion by Mr. Duncan and second by Mr. Grayson.

B-2 A list of non-academic personnel actions (attached to these minutes) was recommended by the President. The actions received unanimous approval on motion by Mrs. Morrison and second by Mr. Verst. — Non-academic Personnel

B-3 President Boothe recommended that amendments to the Faculty Policies and Procedures Handbook (attached to these minutes) be approved. The amendments concern handicapped employees and has been approved by Faculty Senate. Mr. Verst moved that the amendments be approved as presented. The motion, seconded by Mr. McMain, received unanimous approval. — Faculty Procedures Amendments

B-4 A revised Faculty Senate Constitution (attached to these minutes) was presented by the President for approval The constitution was approved by the General Faculty at a recent meeting. The recommendation received unanimous approval on motion by Dr. Williams and second by Mr. Grayson. — Faculty Senate Constitution

B-5 An organization chart was presented to the Regents for approval. The President explained the chart reflects the changes which took place during recent reorganization. The President also reported that an updated chart would be presented to the Regents at each January meeting. The chart received unanimous approval on motion by Mr. Duncan and second by Mr. McMain. — Organization Chart

B-6 The President recommended that the Northern Kentucky University Employment Plan of January 30, 1985 (attached to these minutes) be approved by the Board of Regents for submission to the Office of Civil Rights of the United States Department of Education by February 1, 1985. President Boothe said that he regretted that he was having to submit the document to the Regents at such a late date but that the request from the Department of Education was received late in December and the document could not be completed until the end of January. He further reported that he did receive an extension of the deadline because of the date of the Regents' meeting. The President summarized the plan that was being presented. Affirmative Action Admendments

The recommendation received unanimous approval on motion by Mrs. Morrison and seconded by Mr. Grayson.

B-7 President Boothe recommended that the right-of-way transfers (list attached to these minutes) be approved for conveyance to the Kentucky Transportation Cabinet. The President reported that the University will lose 20 acres permanently and nearly 20 acres temporarily during road construction. The new roadways will immeasurably benefit the University in the future. Dr. Scholes reported that during negotiations external boundaries were maintained under University control. Upon Mr. McMain's question about lighting, Dr. Scholes said that the State does not have funds to furnish lights and it would be up to the University to furnish any lighting pertaining to the new roadways. Road Construction Property Conveyance

The recommendation was approved on motion by Mr. Verst. The motion, seconded by Dr. Booth, was passed by unanimous vote.

B-8 The President recommended that a list of major maintenance and equipment projects (attached to these minutes) be authorized by the Board of Regents for expenditure from the Consoldated Educational Revenue Bond Clearing Accounts ($1,060,468) and the Housing and Dining Bond Clearing Accounts ($60,000). Some of the projects were explained by Ms. Schuh who called particular attention to the roofing problems being faced. Mr. Verst reported that he has had recent experience with the same type of problem and offered to help in any way that he could. Upon Mr. Duncan's question about the cause of the problem, it was explained that most probably inadequate materials were used. Major Maintenance and Equipment Projects

Mr. Duncan moved that the recommendation receive approval. The motion, seconded by Mr. Grayson, was passed by unanimous vote.

B-9 It was recommended by the President that Northern Kentucky University award a maximum of fifteen semester hours of undergraduate credit for military and Department of Defense courses. Credit will be awarded only for courses successfully completed in-residence at approved military/DOD schools. Courses must have been completed by the student no more than ten years preceding the date of application for credit by newly enrolled students at Northern Kentucky University. Military/ DOD Credit

President Boothe explained that the recommendation is based upon a report by a university committee appointed by the Provost and endorsed by the Council of Deans, the University Curriculum Committee, and the Student Government.

The recommendation received unanimous approval on motion by Mrs. Morrison and second by Dr. Booth.

B-10 President Boothe recommended that the Board of Regents approve Rankin and Rankin as the external audit firm for a minimum of three years and to be reviewed in 5 years. The President reported that a committee was appointed to secure and evaluate proposals from five auditing firms and his recommendation was based on the evaluation of this committee. External Auditing Contract

Mr. Grayson moved that the recommendation be approved. The motion, seconded by Mr. Verst, was passed by unanimous vote.

B-11 It was recommended by the President that a tuition schedule as mandated by the Council on Higher Education be approved to become effective with the Fall, 1985 semester (schedule attached to these minutes). The President explained that the tuition rate includes the student incidental fee which did not increase. Tuition Schedule

Mr. McMain, on behalf of the students, presented the following statement:

"First of all, as a fellow Board member, I must say that I can understand the reasoning behind such an increase. In a state where education is emphasized such as ours, to truly improve the system, financial support is a necessity.

"However, as an elected student representative, I am somewhat alarmed by the Commonwealth's position on where this financial support is derived. To promote education to Kentuckians and then to continually increase its burden upon them seems somewhat counterproductive. Although the increases in this year's tuition are small, I would urge you to consider how continually small increases will in time force Kentuckians to choose against continuing their education. It is this end that I see when making my decision. I would ask that you consider this consequence throughout your tenure on the Board, and if you ever feel as I do today, then I urge you to fight and vote against further tuition increases."

Chairman Lucas said that Mr. McMain's statement was well received and that his views were respected.

Mr. Verst moved that the tuition schedule be approved. The motion was seconded by Mr. Grayson. Vote: 6 ayes, 1 nay vote by Mr. McMain.

B-12 President Boothe recommended that the schedule of class fees and other charges to become effective with the Fall, 1985 semester be approved. (The schedule is attached to these minutes.) The President noted that there was a minimum amount of changes. Dr. Williams questioned the increase in towing fees, and Dr. Scholes explained that the carrier who does the towing had increased the fee to the University. Fee Schedule

Mr. Grayson made the motion to accept the recommendation. The motion, seconded by Dr. Booth, received unanimous approval.

B-13 It was recommended by the President that the University's unrestricted current fund operating budget be revised to $32,387,652 in order to reflect adjustments in tuition for Summer and Fall semesters. The recommendation received unanimous approval on motion by Dr. Booth and second by Mr. Duncan. Budget Revision

OTHER BUSINESS:

Chairman Lucas reported that Dr. Williams will not seek reelection to the Board as Faculty Regent. The Chairman expressed the appreciation of the Board for Dr. Williams' faithful service and outstanding contributions. Dr. Williams commented that it had been a rewarding experience for him to have the honor. He thanked the Regents and the University staff for being helpful to him during his six years of service.

Because of the need to have the Regents review the internal budget, the President suggested that the next quarterly meeting be held on Wednesday, May 8, 1985. The date was acceptable to the Board.

The meeting adjourned at 5:35 p.m. on motion by Dr. Williams and second by Mr. Duncan.

E.J. Haas, Jr. Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its quarterly meeting held on January 30, 1985 and that such matters are still in force and effect.

E.J. Haas, Jr., Secretary

Dated this 4th day of February, 1985.

AGENDA
BOARD OF REGENTS
NORTHERN KENTUCKY UNIVERSITY
Wednesday, May 8, 1985 - 4:00 p.m.
Regents Board Room - Administrative Center

CALL TO ORDER AND INVOCATION
Recognition - Jeff Williams
INTRODUCTION AND INSTALLATION OF NEW FACULTY REGENT - H. Lew Wallace

ROLL CALL AND WELCOME

MINUTES OF PREVIOUS MEETING - January 30, 1985

A. PRESIDENTIAL REPORTS

1. Grants and Contracts Quarterly Report
2. Campus Planning
3. Quarterly Financial Report
4. Computer Resource Development Grants
5. Management Information Report (to be distributed at meeting)
6. Student Information System Progress Report
7. Report on Joint Ventures with the Banking Industry

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel Recommendations
2. Emeritus Status Recommendation
3. Non-Academic Personnel Recommendations
4. 1985 Salary Increase Procedure
5. Residence Hall Refund Policy
6. Student Government Constitution Revisions
7. Write-Off of Bad Debts
8. 1985-86 University Budget

C. OTHER BUSINESS

1. Next Regular Quarterly Meeting - July 24 or 31?
   a. Election of Officers

ADJOURNMENT

BOARD OF REGENTS QUARTERLY MEETING
May 8, 1985

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:10 p.m. on Wednesday, May 8, 1985 by Chairman Lucas. Invocation was given by Dr. Tesseneer.

Regents present: Dr. Herbert Booth, Mr. David Duncan, Mr. Merwin Grayson, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Keith McMain, Mrs. Susan Morrison, Mr. Richard Spears, Mr. William Verst, Dr. Lew Wallace.

Others present: President Leon Boothe, Dr. Gene Scholes, Dr. Lyle Gray, Dr. Ralph Tesseneer, Ms. Cynthia Dickens, Mr. Dennis Taulbee, Mr. Robert Knauf, Ms. Shelia Bell, Mr. Clarence Tabor, Dr. Phyllis Scholtys, Alumni representative - Ms. Rebecca Sturm, Faculty Senate representative - Dr. George Goedel, Staff Congress representatives - Ms. Kathy Dawn, Ms. Nancy Utz, Ms. Cindy Cook; Ms. Shelley Stephenson - Student Government President-elect, Mr. Chester Arthur, Dr. Gary Graff, Dr. Garry Casson, Mr. Russ Kerdolff, Mr. Mike Baker, Ms. Becky Myers, Ms. Kathleen Webber, Ms. Marjorie Scheller, Mr. Gregg Schulte, Ms. Mary Paula Schuh, Ms. Mary Huening, Ms. Imogene Addison, Ms. Mary Ellen Rutledge, Ms. Phyllis Weeland, Mr. Dan Alford, Mr. Bill Lamb, Mr. Bill Russell, Ms. Mary Ryan, Dr. Michael Ryan, Ms. Brenda Hart of WCKY Radio, and Ms. Peggy Kreimer of the Kentucky Post.

The Chairman recognized Mr. Duncan, who read the following resolution and recommended approval:
"Resolved: That the Board of Regents extend its thanks and sincere appreciation to Dr. Jeffrey C. Williams who served as Faculty Regent of Northern Kentucky University from 1979 to 1985. Dr. Williams will be remembered for his concerted effort to see that Northern Kentucky University would reach its ultimate goal of providing the best higher educational opportunity for every student therein. His tenure represents years of exemplary service, and will be looked upon with distinction.

"This resolution shall be publicly presented and be spread upon the minutes of the Board of Regents of Northern Kentucky University on May 8, 1985."

The recommendation received unanimous approval after second by Mr. Grayson.

The Chairman welcomed the new Faculty Regent, Dr. H. Lew Wallace, who took the oath of office.

The minutes of the previous quarterly meeting held January 30, 1985 were approved on motion by Mr. Duncan and second by Mr. Haas.

The President welcomed and congratulated Dr. Wallace, recognized Dr. George Goedel and noted this was his last meeting as Faculty Senate president, recognized Ms. Shelley Stephenson as the newly elected president of Student Government, and Dr. Garry Casson, Director of Computer Services, for his years of outstanding service to the University.

PRESIDENTIAL REPORTS:

A-1	President Boothe presented the Grants and Contracts report noting that while the dollars are down for this quarter, the total for the year exceeds the dollars for the comparable period last year.	Grants and Contracts
A-2	The President highlighted the campus development report noting that construction for the campus roadway should begin about July 1st.	Campus Development
A-3	The quarterly financial report was presented by the President, who stated that expenditures are in line with revenues collected.	Financial Report

A-4 The President reported that for the first time computer resource development grants were awarded this year. The grants, similar to summer fellowships, will allow faculty members to devote two full summer months to a computer project. Computer Development Grants

A-5 Updates for the Management Information manual were distributed in order for the Regents to keep their manuals current with the latest information. Management Info.

A-6 President Boothe reported on the progress of the Student Information Systems development. The full report is attached to these minutes. The President stated that it was estimated the development and implementation of the new system would take 36 months. Stu.Info. Development

A-7 A report was presented by the President on the cooperative agreement between the University and the local chapter of the American Institute of Banking. President Boothe also stated that the Homemaker ReEntry Center received a grant of approximately $30,000 to train entry level employees for the banking industry. Cooperative Agreement AIB

A-8 President Boothe made several announcements:

1. WNKU (89.7) is on the air.
2. Dr. & Mrs. Boothe are one of six higher education couples who were invited to Taiwain as guests of that country to view their educational system and discuss student exchanges.
3. Northern's baseball team won the district tournament last weekend and are travelling this weekend for a regional tournament. Coach Bill Aker was named "District Coach of the Year."

EXECUTIVE SESSION

Mr. Grayson moved that the Regents go into Executive Session to discuss a personnel matter. The meeting recessed at 4:30 p.m. The motion, seconded by Mr. Duncan, received unanimous approval.

The meeting reconvened at 5:20 p.m. The chairman announced that he had appointed a President's Evaluation Committee consisting of Dr. Herbert Booth, Chairman, Mr. David Duncan, and Mrs. Susan Morrison. This evaluation had been discussed in Executive Session.

Dr. Booth explained that the committee received feedback from the administrative staff, executive councils of Student Government, Staff Congress, and Faculty Senate. He reported that he was delighted with the response and received some very positive feedback.

As a result, Dr. Booth moved that the President's contract be extended through July 1, 1988, with a 3% increase in salary for the 85/86 fiscal year. The motion, seconded by Mr. Grayson, received unanimous approval.

President Boothe thanked the Board for their warm support and said that he felt the same as when he arrived - that this University was one of the most exciting and dynamic institutions in the country.

PRESIDENTIAL RECOMMENDATIONS

B-1 Academic personnel actions (attached to these minutes) were recommended by the President. The recommendation was unanimously approved on motion by Mr. Grayson and second by Mrs. Morrison. — Academic Personnel

B-2 The President recommended that Emeritus status for Margery Rouse, Associate Professor of Literature and Language, receive Board approval. The motion was made by Mr. Haas and seconded by Mr. Duncan. The vote was unanimous. — Emeritus Status for M.Rouse

B-3 Non-academic personnel actions (attached to these minutes) were recommended by the President. The actions received unanimous approval on motion by Mr. Verst and second by Dr. Booth. — Non-academic Personnel

The President reported on the reasons for terminations as follows:

40% for better pay	11% for promotional opportunities
30% for change of careers	15% left the work force
	4% for involuntary terminations

B-4 The President recommended that fiscal year 85-86 salary increases for all University personnel be allocated as follows: — Salary Increases

For administrative, managerial/supervisory, professional, and faculty, increases are to be based upon performance, with a range of 0 percent to 5 percent from a pool of funds equaling 3 percent of the total base salaries for these employee groups.

Increases for all other staff personnel whose performance has been satisfactory or better will be 3 percent of base pay.

In the event additional funds become available for salaries from State government they will be distributed on a fixed-dollar basis across-the-board to all employees whose performance has been satisfactory.

President Boothe stated that he had solicited advice and had discussions with Faculty Senate and Staff Congress. He underscored the part of the recommendation that personnel receive additional monies should funds become available from the State. He said that the across-the-board fixed amounts would help elevate the lower paid personnel in the University.

The motion received unanimous approval on motion by Mrs. Morrison and second by Mr. Duncan.

B-5 President Boothe presented a revised Residence Hall Refund Policy (attached to these minutes) stating that he felt the new policy was fairer and more equitable for residents. It was moved by Mr. Verst and seconded by Mr. Grayson to approve the recommendation. The vote was unanimous. — Residence Hall Refund Policy

B-6 A revised Student Government Constitution was presented to the Board. Mr. McMain said the significant changes and clarifications would hopefully prevent having to make changes in the future. 85% of the students voting in the Spring elections endorsed the revisions. — Student Govt. Constitution Revisions

Mr. McMain moved the adoption of the recommendation. The motion, seconded by Mr. Grayson, was passed by unanimous vote.

B-7 The President recommended that the Board authorize the Associate Vice President for Business Affairs to write off: (1) student receivables of $5,000; (2) loan fund receivables of $6,607.75; and (3) other receivables of $280. President Boothe noted that with a budget of nearly $30 million, this is a small amount to write off in a year's time. Debt Write-off

The recommendation received unanimous approval on motion by Mr. Haas and second by Mrs. Morrison.

B-8 It was recommended by the President that the attached resolution representing the University's unrestricted current fund operating budget of $33,620,000 be approved as submitted. 85-86 Operating Budget

The President stat this it is a standstill budget, if not a reduction, in terms of the current needs of the University. The state appropriation represents only about 60% of the budget which indicates we are moving from a state-funded institution to a state-assisted one. President Boothe highlighted several areas of the budget and asked for questions.

Mr. Haas moved that the operating budget be approved as submitted. The motion was seconded by Mr. Duncan. The vote was unanimous.

OTHER BUSINESS

The board members were in agreement for the next quarterly meeting to be held on July 31 at 4 p.m.

President Boothe announced that this was Mr. McMain's last meeting as a Student Regent. The President said that Keith had exhibited the highest quality of fairness and wished him well as he embarked on new careers and opportunities.

Chairman Lucas thanked Mr. McMain for his contribution to the Board. Mr. McMain thanked the Board for their helpfulness and confidence.

The meeting adjourned at 5:45 p.m. on motion by Mr. McMain and second by Mr. Haas.

E.J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its quarterly meeting held on May 8, 1985 and that such matters are still in force and effect.

E.J. Haas, Jr., Secretary

Dated this 31 day of May, 1985.

AGENDA
BOARD OF REGENTS
NORTHERN KENTUCKY UNIVERSITY
Wednesday, July 31, 1985 - 4:00 p.m.
Regents Board Room - Administrative Center

CALL TO ORDER AND INVOCATION

INTRODUCTION AND INSTALLATION OF NEW ~~FACULTY~~ Student REGENT - Shelley Stephenson

ROLL CALL AND WELCOME

MINUTES OF PREVIOUS MEETING - May 8, 1985

ELECTION OF OFFICERS - Chairman
Secretary/Treasurer
Assistant Secretary

A. PRESIDENTIAL REPORTS

1. Grants and Contracts Quarterly Report
2. Campus Planning Report
3. Summer Enrollment Statistics (to be distributed at meeting)
4. Career Development Center Report
5. Quarterly Financial Report
6. Student Financial Aids Audit
7. Briefing on Statewide Issues
8.

B. PRESIDENTIAL RECOMMENDATIONS

1. Non-Academic Personnel Actions
2. Administrative Changes
3. Animal Care Committee
4. Revisions to the 1984-85 Operating Budget
5. 1986-88 Biennial Budget Formula Calculations
6. 1986-88 Biennial Capital Construction Budget Request
7. ~~Road Construction-Utility Easements (to be distributed at meeting)~~
8.

C. OTHER BUSINESS

1. Set quarterly meeting dates for the year
October 23 or 31
January 22 or 29
April 23 or 30
2.

ADJOURNMENT

BOARD OF REGENTS QUARTERLY MEETING
July 31, 1985

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, July 31, 1985 by Chairman Lucas. Invocation was given by Dr. Herbert Booth.

Chairman Lucas welcomed Ms. Shelley Stephenson, newly elected Student Regent, and commented that she was following a long line of distinguished Student Regents. The Chairman administered the Oath to Ms. Stephenson.

Roll Call:

Regents present: Dr. Herbert Booth, Mr. David Duncan, Mr. Merv Grayson, Mr. Elmer Haas, Mr. Kenneth Lucas, Mrs. Susan Morrison, Mr. Richard Spears, Ms. Shelley Stephenson, Mr. William Verst, and Dr. H. Lew Wallace.

Others present: President Leon Boothe, Dr. Gene Scholes, Ms. Cynthia Dickens, Dr. Linda Dolive, Ms. Sheila Trice Bell, Mr. Dennis Taulbee, Mr. C.M. Tabor, Dr. James Alford, Dr. Clifford Shisler, Mr. Henry Stephens, Ms. Kamilla Mazanec, Ms. Rosetta Mauldin, Mr. Ches Arthur, Mr. Mike Baker, Ms. Mary Paula Schuh, Ms. Norleen Pomerantz, Ms. Mary Volmer, Ms. Martha Malloy, Mr. Mary Huening, Ms. Imogene Addison, Ms. Nancy Pierce, Dr. Lynn Langmeyer - President of Faculty Senate, Ms. Nancy Utz and Ms. Cindy Cook - Staff Congress Officers, Ms. Peggy Kreimer of the Kentucky Post, and David Mendell & Steve Rohs of the Northerner.

Minutes of the previous meeting, May 8, 1985 were approved on motion by Mr. Haas and second by Mr. Duncan with the following addition: "It was noted by the President that the title for Sheila Trice Bell should be 'University Legal Counsel' instead of the title listed in non-academic personnel actions."

ELECTION OF OFFICERS:

Mr. Haas nominated Mr. Lucas for Chairman, seconded by Mr. Duncan. Mr. Verst moved that the nominations be closed, seconded by Dr. Booth. Vote to close nominations was unanimous. Mr. Lucas was unanimously elected Chairman for the next year.

Mr. Verst nominated Mr. Haas as Secretary/Treasurer, seconded by Mr. Duncan. Mr. Duncan moved that nominations be closed, seconded by Dr. Booth. Vote to close the nominations was unanimous. Mr. Haas was elected Secretary/Treasurer by unanimous vote.

Mr. Haas nominated Ms. Thelen for Assistant Secretary, seconded by Mrs.Morrison. Ms. Thelen was elected Assistant Secretary by unanimous vote.

President Boothe welcomed Shelley Stephenson and congratulated the officers. The following administrators were introduced by the President:

Rosetta J. Mauldin, Interim Dean of the College of Professional Studies
Henry L. Stephens, Interim Dean of the College of Law
Martha Malloy, Director of the Career Development Center
Mary Volmer, Coordinator of the Early Childhood Center
Lynn Langmeyer, new President of the Faculty Senate

PRESIDENTIAL REPORTS:

A-1 President Boothe presented the Grants and Contracts activity report noting that grants are up about $100,000 for the quarter and over $200,000 for the year. He also commented that he was excited about what is happening in the area. Grants and Contract

A-2 The Campus Planning Report was highlighted by the President to bring the Regents the most current information on developments throughout the campus. Campus Planning

A-3 In presenting the Summer Enrollment summary, the President said that figures are a little discouraging inasmuch as enrollment was up 3% at the beginning of the summer, but there is encouragement in the fact that the number of undergraduate students is up. Summer Enrollment

A-4 President Boothe stated that the Career Development Center report is an informational item for the Regents in terms of persons being served and the number of firms recruiting on this campus. The office is also moving in the direction of helping alumni in this area. Career Development

A-5 The quarterly financial report reflects the transactions processed through June 30, 1985 but the audit report will reflect the final figures for the fiscal year. The audit will be presented at the next meeting. The President called attention to the investment earnings for the year and complimented the management of these earnings inasmuch as there has been declining interest rates for the past year. Quarterly Financial Report

A-6 The audit of the financial aid funds for fiscal year 1983-84 was presented. Such an audit is dictated by the Federal government and is in compliance. The President noted that as a result of the audit, the University does not owe the government any money. Financial Aid Audit

A-7 Dr. Boothe reported that he and Mrs. Boothe had a very rewarding trip to the Orient and were able to make a number of people aware of Northern Kentucky University. Both will soon begin lectures on the trip to members of the University community.

President Boothe said that he felt the education bill passed in the special session of the Legislature will help enhance the higher education chances of receiving attention in the regular session. The Legislature also passed legislation which removed the cap on state employees' salaries; however, there is no extra money at this time, but this will help should additional money become available.

The Strategic Plan of the Council on Higher Education was released today to the press; however, it caused consternation in many quarters as some things were leaked before release to the public. The President reported that the report looks much milder than it was thought to be; however there are options of what might happen in the legal and medical areas. The Regents will be given a copy of the plan. The Council on Higher Education will hold public hearings - the Northern Kentucky hearing will be on August 16, 9 a.m. in the Moot Court Room at the law school. All Regents are urged to attend.

The President announced that there will be a faculty/staff picnic instead of the usual faculty luncheon for the beginning of the academic year, to be held Wednesday, August 21 on the plaza. The luncheon will be followed by the 'State of the University' address at 1:30.

A note was received from Governor Breahitt and his family thanking the Regents and the University for the condolences sent on the death of his wife. President Boothe apprised the Regents of the activities on campus during the summer, especially the 3-week Blue Chip Basketball Camp which brought over 1100 young people from 35 states to our campus.

PRESIDENTIAL RECOMMENDATIONS:

B-1 The President recommended that the non-academic personnel actions (attached to these minutes) be approved. Dr. Booth moved approval of the actions. Mr. Duncan seconded the motion which was passed by unanimous vote. Non-academi Personnel

Following is the percentage breakdown of terminations:

3% promotion
13% left work force
27% did not enjoy job
47% left for better pay at a job with similar duties
10% involuntary terminations

B-2 It was recommended that approval be given for the following administrative appointment and changes:

Kamilla Mazanec as Affirmative Action Coordinator
Robert Barnes as Director of Special Projects for Business Affairs
Daniel Drake as Director of Physical Plant
Ken Ramey as Interim Director of Business Services
Mary Paula Schuh as Director of Campus Planning

Administrative Changes

Ms. Mazanec and Ms. Schuh were introduced to the Regents. Mrs. Morrison moved, seconded by Mr. Verst, that the above changes be approved. The vote was unanimous.

B-3 President Boothe recommended that the University's Committee on Animal Care be restructured to report to the University Provost. The President explained that, while this is a minor change, the Regents had approved the first proposal. He further said that he hoped in the future the Regents would not desire such minor changes to come before them. Mr. Grayson moved approval of the recommendation. The motion, seconded by Mr. Haas, was passed by unanimous vote. Committee on Animal Care

B-4 It was recommended by the President that the University's unrestricted current fund budget be revised in order to reflect increases in revenue from $32,287,652 to $32,415,039. The motion, made by Mr. Haas and seconded by Mrs. Morrison, received unanimous approval. Budget Changes

B-5 The 1986-88 Biennial Budget Formula Calculations (attached to these minutes) were presented to the Regents. The President explained that the Council on Higher Education is required to use the formula system. Northern was funded about 83% of the formula for 1985-86. Even if we had received 100%, it would only take the University up to the median of benchmark institutions, according to the President. Over the next few months, a specific set of recommendations and priorities based on the formula generated amount will be developed to be presented at the next quarterly board meeting. The formula calculations, as presented, were unanimously approved on motion by Mr. Verst and second by Ms. Stephenson. Budget Formula Calculation

B-6 The President presented the 1986-88 Capital Budget Request and explained that the request was mostly a reflection of what the Board approved for the last bienium and was not funded by the State. The top priority is the Applied Science and Technology Center. The list in priority order is attached to these minutes. After discussion, Mrs. Morrison moved approval of the Capital Construction Budget Request. The motion, seconded by Dr. Booth, received unanimous approval. 1986-88 Capital Construction Request

OTHER BUSINESS:

President Boothe reported that, as of January 1, 1985, students in teacher education must pass an exit exam as well as a national testing. 35 Northern students were involved this Spring. All passed all components of the exit exam. The results of the national exam placed 67% of these students in the upper quartile and only 5% in the lower quartile.

Mr. Duncan questioned when the lake could be used for fishing. Dr. Boothe said dates would be checked with the State Wildlife Department to determine when fishing could begin and at that time, the lake would be open to faculty and staff for fishing.

Quarterly meeting dates for the next year were set as follows:

October 31, 1985 January 22, 1986 April 30, 1986

The meeting adjourned at 5:15 p.m. on motion by Mr. Grayson and second by Mr. Haas.

E. J. Haas, Jr. Secretary

Dolores Thelen

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its quarterly meeting held on July 31, 1985 and that such matters are still in force and effect.

E. J. Haas, Jr. Secretary

Dated this 16th day of August, 1985.

AGENDA
NORTHERN KENTUCKY UNIVERSITY
Thursday, October 31, 1985 - 4:00 p.m.
Regents Board Room - Administrative Center

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME

MINUTES OF PREVIOUS MEETING - July 31, 1985

A. PRESIDENTIAL REPORTS

1. Grants and Contracts Quarterly Report
2. Campus Planning Report
3. Program Reciprocity Report
4. Fall Enrollment Statistics (to be distributed at meeting)
5. International Student Enrollment Summary
6. International Education Statement
7. A-110 Federal Programs Audit
8. Quarterly Financial Report
9. President's Annual Report and Annual Financial Report
10.

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel Actions
2. Non-academic Personnel Actions
3. College of Business Recommendation
4. Academic Programs Recommendation
   a. Minor in Accounting
   b. Master of Public Affairs (Kentucky State University)
5. General Studies Revision
6. International Student Admissions
7. McDowell Cancer Network Lease
8. Revisions to Faculty Policies and Procedures Handbook
9. Staff Congress Constitutional Revisions
10. Highway Construction Easements
11. 1986-88 Capital Construction Budget Revisions
12. 1986-88 Biennial Budget Recommendation
13.

C. OTHER BUSINESS

1. Next Quarterly Meeting - January 22, 1986
2.

ADJOURNMENT

BOARD OF REGENTS QUARTERLY MEETING
October 31, 1985

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:10 p.m. on Thursday, October 31,1985 by Chairman Lucas. Invocation was given by Dr. Ralph Tesseneer.

Roll Call:

Regents present: Dr. Herbert Booth, Mr. David Duncan, Mr. Merv Grayson, Mr. Kenneth Lucas, Ms. Shelley Stephenson, Mr. William Verst, Dr. Lew Wallace.
Excused - Mr. Elmer Haas, Ms. Susan Morrison, Mr. Richard Spears

Others present: President Leon Boothe, Dr. Gene Scholes, Dr. Lyle Gray, Ms. Sheila Bell, Mr. Dennis Taulbee, Dr. Ralph Tesseneer, Mr. Robert Kanuf, Mr. C.M. Tabor, Dr. Phyllis Scholtys, Ms. Elinor Rambo, Dr. Thomas Rambo, Ms. Rosetta Mauldin, Mr. Ches Arthur, Dr. Jim Alford, Mr. Mike Baker, Ms. Carla Chance, Ms. Mary Paula Schuh, Mr. Gregg Schulte, Dr. Gary Graff, Ms. Mary Huening, Ms. Nancy Pierce, Ms. Joyce Maegly, Dr. Linda Dolive, Ms. Terri Malone, Ms. Imogene Addison, Mr. Bill Lamb, Mr. Warren Spencer, Mr. Steve Rohs, Mr. Bassam Khoury, Mr. Bill Russell, Mr. Bob Sprague, Dr. Lynn Langmeyer - Faculty Senate, Mr. Rom Lux - Alumni, Ms. Cindy Cook - Staff Congress, Mr. John Sebree of the Northerner, Mr. David Beasley of the Kentucky Enquirer, Mr. Fred Anderson of WCKY, and Mr. William Weathers of the Kentucky Post.

Minutes of the previous quarterly meeting July 31, 1985 were approved as submitted on motion by Mr. Duncan and second by Dr. Booth.

The President introduced the new president of the Alumni Association - Mr. Thomas Lux. An appreciation certificate from the U.S. Olympic Committee was circulated. The appreciation was for the training sessions that were held on campus for some of the olympic teams.

PRESIDENTIAL REPORTS:

A-1 President Boothe, in presenting the Grants and Contracts report, stated that funds received was up $150,000 over last year at this time, funds requested was up about $160,000, and submissions were nearly doubled over last year. The President complimented the Director, Dr. Cliff Shisler, for a fine job. — Grants and Contracts

A-2 Dr. Boothe reported that in trying to begin planning for the 21st Century and upgrade our present Master Plan, two firms have been selected to develop a new and updated Master Plan for the University. The funds for this study will come out of reserve funds. The President highlighted the design, programming, and construction projects. — Campus Planning

A-3 President Boothe reported that an extnesion of the tuition reciprocity agreement between Northern and University of Cincinnati has received approval from the Kentucky Council on Higher Education and Ohio Board of Regents. This opens approximately 140 degree programs for students of this area at in-state tuition rates. — Reciprocity Agreement

A-4 The Fall enrollment summary was presented by the President with the following comments: — Fall Enrollment

Approximately 2% down in undergraduate headcout and FTE
Freshman enrollment stayed at the same level as last year
Senior population is up almost 8%

(continued - next page)

A-4 (continued)

Graduate population has stabilized with MBA enrollment up 19%
International student enrollment is up 43%
An increase in the average ACT scores for our students - from 16.8 to 17.9 which is the overall average for Kentucky
Probationary students continue to decline
Transfer students increased by 9%
Sophomore and Junior population is down
Post-Baccalaurete students are down 22.6% - a reflection of the economy
Law student enrollment down 6% - probably a reflection of the uncertain position of the law school at registration time
Average age of Freshman students is 22 years - average overall age of students is 25.4 years
More students coming from more Kentucky counties
Out-of-state students are from 22 states, international students from 24 countries

A-5 The President highlighted the International Student Enrollment Summary and also stated that more inquiries are coming from foreign countries than ever before. Mr. Bassam Khoury, Counsellor for International Students, was introduced. — International Student Summary

A-6 A statement of philosophy for "International Education" was presented by the President, who introduced Dr. Thomas Rambo. Dr. Rambo headed the committee which developed the statement. — Philosophy of International Education

A-7 President Boothe noted that the A-110 audit of Federal programs for 1983-84 has been completed and shows that the findings are not of significance requiring repayment of program funding. Mr. Richard Rankin of Rankin & Rankin was introduced. The firm completed both the Federal audit for 1983-84 as well as the 1984-85 audit for University finances. — Audit of Federal Programs

A-8 The quarterly financial statement shows that expenditures are on target with revenues, according to the President. — Qtly. Financ. Statement

A-9 President Boothe stated that the President's Annual Report this year has been combined with the annual Financial Report which saved considerable money in printing. The President highlighted the report for the Regents. He complimented Mr. Mike Baker and Mr. Ron Ellis on the fine project. — President's Annual Report

Several announcements were made by the President:

Ashland Oil spearheaded setting up a committee of firms and leading business men through the state. The committee's goal is bringing the needs of higher education to the Legislature and Governor.
The Council on Higher Education's strategic plan will be voted on November 8. Fortunately the plan will not include the elimination of a law school.
The women's tennis team was second in the Great Lakes Valley Conference. Dr. Lonnie Davis was named "Coach of the Year."
WNKU is holding their fund raiser and hoped that much interest would be a result.

EXECUTIVE SESSION

A motion was made by Mr. Grayson, seconded by Dr. Wallace, that the Regents go into executive session to discuss a personnel matter. The motion carried by unanimous vote.

The meeting recessed at 4:45 p.m. and reconvened at 5:20 p.m.

Chairman Lucas announced that a personnel matter was under review, and would remain under review until the next board meeting.

PRESIDENTIAL RECOMMENDATIONS

B-1 The following academic personnel actions were presented by the President, who recommended their approval:

Leaves: James Fouche, unpaid leave through June, 1986 (without benefits)
Linda Krumme, unpaid leave for Fall Semester, 1985 (with benefits)
Elizabeth McMillan-McCartney, unpaid leave for 1985-86 academic year (with benefits)
Michael McPherson, unpaid leave for 1985-86 academic year (without benefits)
Ronald V. Singer, unpaid leave for Spring Semester 1986 (without benefits)

Academic Personnel

Resignations:
Michael Adams from Chairperson of History to Professor of History
Carol Becker, Law Library
Howard Carson, Mathematics
Michael Hur, Political Science
Arthur Kaplan, Dean, Prof.Studies
Joseph Ohren, Chairperson, Public Admin.
Lindsy Pack, Communications

Administrative Appointments:
Robert Bussom, Dean of College of Business
Gary Johnston, Director of Academic Computing
Nancy Lang, Assistant Dean of College of Business
Rosetta Mauldin, Interim Dean of Professional Studies
Anthony Mazzaro, Interim Chairperson of Social Work
Henry L. Stephens,Jr. - Interim Dean of Chase
Lowell Schechter, Interim Associate Dean of Chase
Jerry Warner, Associate Dean of Arts & Sciences

New Faculty Appointments:
Paul D. Cooper
Allen Ellis
Janice Harju
Denise Grosscup
Nancy Hinzman
Michael King
Vladimir Woznkuk

Adjunct Professorships:
Joseph McNutt, Physical Sciences
JoAnn Kramer, Radiologic Technology
Jim Woods, Radiologic Technology
Jeanne McCabe, Radiologic Technology
Victor Cabanas, Medical Technology
Harry Woodson Carter, Medical Technology
Marianne Otte, Medical Technology
Helen Pater, Medical Technology

Promotion: Gary Scott from Assistant Professor to Associate Professor

Dr. Booth moved the adoption of the recommendation. The motion, seconded by Ms. Stephenson, received unanimous approval.

B-2 The non-academic personnel actions report (attached to these minutes) was presented by the President who reported the following reasons for terminations: 16% for promotions, 22% left for better pay., 24% left work force, 11% involuntary resignations, 27% didn't enjoy their job

Non-academic Personnel

Mr. Duncan moved that the recommendation be approved as presented. The motion, seconded by Dr. Booth, was passed by unanimous vote.

B-3 President Boothe recommended that the Interim College of Business receive approval as a permanent College of Business, effective July 1, 1985. The recommendation includes the four separate departments of Accounting, Economics and Finance, Information Systems, and Marketing/Management.

College of Business

The recommendation received unanimous approval on motion by Mr. Grayson and second by Mr. Duncan.

B-4(a) It was recommended by the President that the Proposed Minor in Accounting be approved to be utilized by students majoring in other disciplines at Northern.

Minor in Accounting

Mr. Verst moved, seconded by Ms. Stephenson, that the recommendation receive approval. The vote was unanimous.

B-4(b) President Boothe recommended that the proposed agreement for a Master of Public Affairs offered by Kentucky State University at Northern be approved. (Attached to these minutes.) This will be a cooperative venture with KSU and brings a sixth graduate program to the region of Northern Kentucky.

Master of Public Affairs

The recommendation received unanimous approval on motion by Mr. Duncan and second by Mr. Verst.

B-5 It was recommended by the President that a general studies revision receive approval for implementation and that the effective date of the change in general studies will be the students entering in the Fall semester of 1986 and following. (Revisions attached to these minutes.) The President explained that there are some requirement changes. Dr. Wallace said that he had serious reservations about one of the requirements but Dr. Gray stated that further work would continue to take place on the requirements.

General Studies Requirements

The motion to approve the recommendation was made by Mr. Grayson, was seconded by Mr. Duncan, and was passed by unanimous vote.

B-6 President Boothe presented a recommendation to revise the undergraduate policy in International Student Admissions. The revisions are attached to these minutes. Mr. Grayson moved, seconded by Ms. Stephenson, that the recommendation be approved. The motion passed by unanimous vote.

International Student Admissions

B-7 It was recommended that the Regents approve an interagency lease with the University of Kentucky for the use and benefit of the McDowell Cancer Network's Northern Kentucky regional office. The President stated that this will be a beneficial addition to the campus. Dr. Booth moved for approval, seconded by Mr. Verst. The motion was passed by unanimous vote.

McDowell Cancer Network

B-8 Some revisions to the Faculty Policies and Procedures Handbook was presented for approval. (Revisions attached to these minutes.) The President stated that most changes refer to applicants applying for leaves and fellowship and that such applicants can personally appear before appropriate committees studying the applications. — Faculty Policies and Procedures Handbook

Mr. Grayson moved that the recommendation receive approval. The motion, seconded by Mr. Verst, was passed by unanimous vote.

B-9 Some revisions (attached to these minutes) to the Staff Congress Constitution and By-Laws was presented by the President, who stated that he had suggested the elimination of the Administrative category. The Staff Congress had agreed to the revisions. — Staff Congress Constitution Revisions

Dr. Wallace moved the adoption of the recommendation. The motion, seconded by Ms. Stephenson, was passed by unanimous vote.

B-10 President Boothe presented proposed easements for the Union,Heat, Light and Power Company and Cincinnati Gas & Electric Company and recommended approval. The easements are necessary as a result of utility relocations due to reconstruction of the roadways. — Easements for Roadways

The recommendation received unanimous approval on motion by Mr. Grayson and second by Dr. Booth.

B-11 It was recommended that the Regents approve a supplemental Capital Construction Budget request for $1,063,000. The President explained that these were necessary projects to maintain the buildings and the Council had agreed to the addition to the budget approved at the last Regent's meeting. — Supplemental Capital Construction Budget

Ms. Stephenson moved for the adoption of the recommendation. The motion, seconded by Dr. Booth, was passed by unanimous vote.

B-12 President Boothe recommended that the request for state appropriations in the amount of $25,444,500 for 1986-87 and $26,741,200 for 1987-88 be transmitted to the Council on Higher Education as the University's 1986-88 biennial budget recommendation. — 1986-88 Biennial Budget Request

It was pointed out by the President that the budget as presented reflects 100% formula funding with emphasis put on salaries - 10% the first year and 5% the second year. Such salary increases can only happen if Northern received full formula funding. The current year budget reflects only 85% of the funding. Details of the Budget Request are attached to these minutes.

Mr. Grayson made the motion to approve the recommendation. The motion, seconded by Dr. Booth, was passed by unanimous vote.

B-13 President Boothe recommended that the Regents, in recognition of the serkous financial condition of higher education in the Commonwealth, formally request that the Northern Kentucky legislative caucus endorse full formula funding during the 1986-88 biennium. Regents endorse Full Formula Funding

The President explained that he proposed the recommendation because he felt that the Board needs to be on record for support of the full funding. Because Northern received enough funding for stand-still budgets during the last three bienniums, it was time that individuals, collectively and personally, let the Legislature know the circumstances in which we are today because of the lack of adequate funding in the past years.

The recommendation received unanimous approval on motion by Mr. Verst and second by Ms. Stephenson.

The next meeting of the Board of Regents is scheduled for Wednesday, January 22, 1986 at 4 p.m.

The meeting adjourned at 6:15 p.m. on motion by Mr. Grayson and second by Ms. Stephenson.

E.J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its quarterly meeting held on October 31, 1985 and that such matters are still in force and effect.

E.J. Haas, Jr., Secretary

Dated this 7 day of Dec., 1985.

AGENDA
NORTHERN KENTUCKY UNIVERSITY
Wednesday, January 22, 1986 - 4:00 p. m.
Regents Board Room - Administrative Center

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME

MINUTES OF PREVIOUS MEETING - October 31, 1985

A. PRESIDENTIAL REPORTS
   1. Grants and Contracts Activity
   2. Campus Planning Report
   3. Summer Fellowship Awards 1986
   4. Faculty Project Grants 1986-87
   5. Management Letter from External Auditors
   6. Quarterly Financial Report
   7. A-110 Federal Programs Audit

B. PRESIDENTIAL RECOMMENDATIONS
   1. Academic Personnel
      a. Personnel Actions
      b. Sabbatical Leaves
      c. Promotion, Tenure, Termination, Non-Renewal
   2. Non-Academic Personnel
      a. Personnel Actions
      b. Administrative Appointments
   3. Reclassification Policy
   4. Joint JD/MBA Degree Recommendations
   5. New Proposals of Academic Minors
      a. Archaeology
      b. Journalism
      c. Marketing
   6. Conferrence of Pre-Merger Juris Doctorate Degrees
   7. Revision to the Faculty Policies and Procedures Manual
   8. Revision to the Chairpersons Handbook
   9. Revision to the Staff Congress Constitution
   10. Firearms Policy
   11. Utility Easement
   12. Emergency Student Loan Policy
   13. Revised Tuition Schedule
   14. Revised University Fee Schedule
   15.
   16.

C. OTHER BUSINESS
   1. Next Board Meeting - April 30, 1986
   2.
   3.

ADJOURNMENT

BOARD OF REGENTS QUARTERLY MEETING
January 22, 1986

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, January 22, 1986 by Chairman Lucas. Invocation was given by Dr. Lyle Gray.

Roll Call:

Regents present: Dr. Herbert Booth, Mr. David Duncan, Mr. Merv Grayson, Mr. Elmer Haas, Mr. Kenneth Lucas, Mrs. Susan Morrison, Mr. Richard Spears, Ms. Shelley Stephenson, Dr. Lew Wallace. Excused - Mr. William Verst.

Others present: President Leon Boothe, Dr. Gene Scholes, Dr. Lyle Gray, Ms. Cynthia Dickens, Mr. Dennis Taulbee, Ms. Sheila Bell, Mr. Clarence Tabor, Dr. Phyllis Sholtys, Faculty Senate President - Lynn Langmeyer, Staff Congress Officers - Janet Krebs, Donna Gosney, Darlene Miller; Dr. Cliff Shisler, Dr. Linda Dolive, Ms. Nancy Pierce, Ms. Joyce Maegley, Dr. James Alford, Ms. Imogene Addison, Mr. Mike Baker, Mr. Dan Drake, Ms. Mary Paula Schuh, Dr. Jerry Legere, Ms. Marjorie Scheller, Mr. Dan Alford, Ms. Rosetta Mauldin, Mr. Henry Stephens, Dr. Darryl Poole, Mr. William Russell, Mr. Bill Lamb, Mr. Ken Ramey, Mr. John Sebree of Student Government, Mr. David Mendell of the Northerner, and Mr. Kevin Ricke of WCKY Radio.

Minutes of the previous quarterly meeting of October 31, 1985 were approved on motion by Mr. Haas and second by Mr. Duncan.

The President made the following introductions:
Staff Congress Officers - Janet Krebs, Donna Gosney, and Darlene Miller
Director of Physical Plant - Dan Drake

PRESIDENTIAL REPORTS:

A-1 President Boothe presented the Grant and Contract Activity pointing out that total funds approved was nearly $900,000 in 1985 in contrast with $463,000 approved in 1984. The President complimented Dr. Shisler for the fine job being accomplished in this area. — Grant and Contract Report

A-2 The President highlighted the Campus Planning Report noting that the Facilities Master Plan was progressing according to schedule. — Campus Planning

A-3 Summer Fellowships for 1986 have been awarded and list (attached to these minutes) was included for the Regents. — Summer Fellowships

A-4 Faculty Project Grants for 1986 have been awarded and list attached to these minutes. The President noted that applications for both the project grants and fellowships receive considerable scrutiny by committees composed of peers of the applicants. — Faculty Project Grants

A-5 President Boothe presented the 1985 Management Letter from the external auditors, Rankin & Rankin. He stated that no findings are in this year's report and complimented the management team at the University for their fine work. — Management Letter

A-6 The quarterly financial report for September through December, 1985 was presented and the President noted that expenditures seem to be on target. — Financial Report

A-7 The A-110 Audit of Federal Programs was presented and it was pointed out by the President that there were no findings of a significant nature. — A-110 Audit

A-8 Announcements by the President:

a. In approving the Rankin & Rankin contract last year, the Board gave to the administration the privilege of renewing another year. The contract with Rankin & Rankin will be renewed.

b. The Higher Education Rally on February 5 in Frankfort was brought to the attention of the Regents, who were given a hand-out of the events for that day.

d. Northern scored high in the exams given for prospective teachers in the program; in fact, the students scored higher than other Universities well-known for their teacher education program.

e. Thanked Dr. Herbert Booth for the $100,000 deferred insurance policy for the Foundation.

f. Northern is one of six finalists for obtaining the national headquarters of the Law Enforcement Academy.

g. The President presented the Regents with a resume of the budget presented to the Legislature by the Governor and commented that Northern will achieve 90% of the formula funding if the budget is approved. Included in the Governor's budget is an allocation for an Applied Science and Technology Center. The budget has exceeded expectations, but the next step is to see how well the Legislature does on behalf of higher education.

EXECUTIVE SESSION:

The meeting recessed at 4:30 p.m. on motion by Mr. Spears, and second by Mrs. Morrison to have an executive session to discuss several personnel matters.

The meeting reconvened at 6:15 p.m.

The Chairman presented the following motions:

That the Board approve the Provost's and Council of Dean's recommendation concerning Dr. Lawrence Borne's salary appeal. Mr. Spears moved approval, seconded by Dr. Booth. Vote: 7 aye votes, 1 abstention by the Faculty Regent as required by Kentucky Statutes.

It is the consensus of the Board that the appeal of Dr. Laurie Renz does not warrant a Board hearing. Mr. Duncan moved approval, seconded by Mrs. Morrison. Vote - 7 aye votes and 1 abstention by the Faculty Regent.

PRESIDENTIAL RECOMMENDATIONS:

A-1 (a) President Boothe recommended that the following Academic Affairs personnel actions receive Board approval:

Resignations	-	Jessica Frazier
		Elton Lasseigne
Leaves	-	Caryl Yzenbaard - half-time, unpaid leave, Aug. 1986 to May,1987.
		Vince Schulte - paid administrative leave, Jan. 1986 to June,1986.
Administrative Appointment	-	W. Michael Ryan as Interim Chairperson of History and Geography
New Faculty Appointments	-	Thomas Heard, Assistant Professor, Law Library
		Kathleen Richardson, Assistant Professor, Steely Library
Other	-	Darryl Poole, Dean, delayed sabbatical leave, with benefits, from May 15, 1986 through November 15, 1986

Motion was made by Mr. Grayson to approve the recommendation. The motion was seconded by Dr. Booth and passed by unanimous vote.

B-1 (b) It was recommended by the President that the following sabbatical leaves for 1986-1987 be approved: Sabbaticals

Thomas Barone	- Fall Semester 1986	Mary Carol Moses	- 1986-87 Aca. Year
Jane Dotson	- Spring Semester 1987	James Niewahner	- 1986-87 Aca. Year
Michael Gray	- Fall Semester 1986	Louis Noyd	- Fall Semester 1986
James Kinne	- Spring Semester 1987	David Payne	- Fall Semester 1986
Susan Kissel	- Spring Semester 1987	Terry Pence	- Fall Semester 1986
Glen Mazis	- Fall Semester 1986	Robert Seaver	- Fall Semester 1986
Philip McCartney	- Spring Semester 1987	Mark Stavsky	- 1986-87 Aca. Year
Robert Wallace	- Fall Semester 1986	Elinor Welt	- 1986-87 Aca. Year

Mr. Haas moved, seconded by Mr. Duncan, that the sabbaticals be approved. The motion was accepted by unanimous vote.

B-1 (c) President Boothe presented recommendations on promotion, tenure, continued probation, continued probation with difficulties, termination, and non-renewal as follows: Promotion, Tenure, etc

Promotion:

Jerry Carpenter - Full Professor	Robert Kempton - Full Professor
Miriam Kannan - Assoc. Professor	Martin Marger - Full Professor
Diana Belland - Assoc. Professor	Barbara Thiel - Assoc. Professor
Carolyn Hagner - Assoc. Professor	David Adams - Full Professor
John Westlund - Full Professor	Melinda Langmeyer - Assoc. Professor
David Leong - Assoc. Professor	Robert Snyder - Full Professor
Sally Jacobsen - Assoc. Professor	Carol Allred - Full Professor
Terrence McNally - Full Professor	Gene Krauss - Full Professor
Elinor Welt - Full Professor	Charles Apple - Assoc. Professor
Thomas Zaniello - Full Professor	Lois Sutherland - Assoc. Professor
Chris Christensen - Assoc.Professor	Linda Olasov - Assoc. Professor
Gail Wells - Assoc. Professor	Janice Cantrall - Assoc. Professor
Charles Hawkins - Full Professor	Rebecca Sturm - Assoc. Professor

Tenure:

Miriam Kannan	Sally Jacobsen	Diana Belland	Carolyn Hagner
David Leong	Chris Christensen	Gail Wells	Barbara Thiel
Melinda Langmeyer	Carol Allred	Gene Kraus	Charles Apple
Linda Olasov	Linda Sheffield	Janice Cantrall	Rebecca Sturm

Continued Probation with Difficulties:

Chris Alexander	Glenellyn Barty	H. Ray Souder	June Jones
J.Michael Hunter	Andrea Gauthier	Nan Littleton	Scott Quimby
William Recker			

Continued Probation:

James Luken	Dennis Lye	Cynthia Cukla	Jonathan Gresham
Rebecca Britton	Herb Caldwell	Joseph Conger	David Lavery
Robert Rhode	Danielle Roemer	Donald Galli	John Rankin
Dennis Sies	Angela Lipsitz	Phillip Obermiller	Ruth Pickard
Joan Whitman	Nancy Lang	Jan Prickett	Margaret Myers
Kathleen Verderber	Nancy Firak	Carol Bredemeyer	Steven Brooks
Penelope Summers	David Thomson	Janet Simon	Diana Kruse
Carrie McCoy	Frances Mosser	Charlotte Ebersole	Barbara Fowler
Marilyn Glick	Barbara O'Brien	Perry Bratcher	Nancy Campbell
Laura Sandmann	Jennifer Smith	Emily Werrell	Threasa Wesley

B-1 (c) Continued:

Terminal Appointment - Stuart Ware
Non-renewal Appointment - Rosemary Robertson

Mr. Grayson moved, seconded by Mrs. Morrison, that the recommendation receive approval. The vote was unanimous.

B-2 (a) The President presented non-academic personnel actions for approval as attached to these minutes. Dr. Boothe gave a breakdown for terminations as follows: 30% left work force 40% for better pay 15% did not enjoy jobs 15% involuntary
The motion for approval was made by Mr. Grayson. The motion, seconded by Mr. Haas, received unanimous approval.

Non-academic personnel

B-2 (b) It was recommended by the President that Jerry Legere be appointed Registrar effective November 1, 1985. The President introduced Mr. Legere. Ms. Stephenson moved that the recommendation be approved. It was seconded by Mr. Haas and the motion was passed unanimously.

Administrat Appt.

B-3 President Boothe recommended that policies and procedures regarding position classification system be approved for inclusion in the staff Personnel Policy and Procedure Manual, effective July 1, 1986. (Policy attached to these minutes.) The recommendation received unanimous approval on motion by Mr. Grayson and second by Dr. Booth.

Position Reclassification Approved

B-4 It was recommended by the President that the proposed joint JD/MBA Degree receive approval to become effective with the Fall semester 1987. The President stated that Northern is one of few institutions offering such a degree. (Outline attached to these minutes.)

JD/MBA Degree Approved

Mrs. Morrison moved approval, seconded by Mr. Duncan. The vote was unanimous.

B-5 The President made the following recommendations for Minor Degrees (outlines attached to these minutes).

Minors Approved

Minor in Archaeology to be utilized by students majoring in various disciplines, effective Fall semester 1986. Unanimous approval was given on motion by Mr. Haas and second by Mrs. Morrison.

Archaeology

Minor in Journalism effective immediately. Motion was made by Dr. Booth to approve the recommendation. The motion, seconded by Mr. Grayson, received unanimous approval.

Journalism

Minor in Marketing to be utilized by students majoring in various disciplines effective Fall semester 1986. Unanimous approval was given on motion by Mr. Duncan and second by Mr. Haas.

Marketing

B-6 President Boothe recommended that Northern Kentucky University be authorized to confer Juris Doctor degrees upon all graduates of the pre-merger Salmon P. Chase College of Law who are holders of baccalaureate or higher degrees.

JD for per-merger Chase graduates

The motion for approval was made by Mrs. Morrison and seconded by Dr. Booth. Ms. Stephenson asked about costs to accomplish this action and the President stated expenses would be nominal, mostly just cost of diplomas. The vote was unanimous.

B-7 It was recommended by the President that a change regarding election of Peer Review Committees in the Faculty Policies and Procedures Manual be approved. (Change attached to these minutes.) — Peer Revie Committe Change

Dr. Booth moved approval, seconded by Mr. Duncan. The motion was passed by unanimous vote.

B-8 The President recommended that Chairpersons Handbook be changed regarding compensation of Chairpersons (attached to these minutes). Motion was made by Mr. Haas that the change be approved. The motion, seconded by Mrs.Morrison, was unanimously passed. — Chairperson Compensatio

B-9 A change regarding terms of office to the Staff Congress ByLaws (attached to these minutes) was recommended by the President. Dr. Booth moved approval, seconded by Mr. Duncan. The motion was passed unanimously.

B-10 President Boothe recommended a policy concerning firearms, explosives, deadly weapons and dangerous instrumentalities (attached to these minutes) for inclusion in the University's Governing Regulations--Administrative Regulations Handbook. — Policy for Firearms, etc.

Mr. Duncan moved, seconded by Mr. Grayson, that the policy be approved as presented. The motion was passed by unanimous vote.

B-11 The President presented a request by Cincinnati Bell for an easement at the southeast corner of University property along Johns Hill Road and asked for approval. Mr. Grayson moved, seconded by Mr. Haas, that the easement be approved. The motion received unanimous approval. — Easement for Cinti. Bell

B-12 President Boothe asked for approval of a change in the Emergency Student Loan Policy (attached to these minutes). The change was approved unanimously on motion by Mr. Duncan and second by Dr. Booth. — ESL Policy Change

B-13 The President presented the tuition schedule as mandated by the Council on Higher Education to become effective Fall, 1986. (The schedule is attached to these minutes). Dr. Boothe noted that the increases are about 6% for undergraduates, 6½% for graduates, and 10½% for law students. — Tuition Increases

Mrs. Morrison moved adoption of the increased rates, seconded by Mr. Grayson. Ms. Stephenson, student regent, stated that while she disliked the increase she would vote for it because of the need for funds for higher education. The vote was unanimous.

B-14 President Boothe presented the schedule of class fees and other charges to become effective with the Fall semester, 1986. (Schedule attached to these minutes.) The President noted that there was very little change in this schedule from the past year. — Class Fee and Other Charge

Mr. Grayson moved approval of the schedule, seconded by Dr. Booth. The motion was passed by unanimous vote.

Board of Regents Minutes
January 22, 1986

OTHER BUSINESS:

A tentative date of March 21 was set for a retreat for the Board of Regents and administrative staff.

The next quarterly meeting is scheduled for Wednesday, April 30, 1986.

Meeting was adjourned at 6:35 p.m. on motion by Mr. Grayson and second by Mr. Haas.

E.J. Haas, Jr. Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University certify that the foregoing is a true copy of the minutes of its quarterly meeting held on January 22, 1986 and that such matters are still in force and effect.

E.J. Haas, Jr., Secretary

Dated this 25th day of Feb., 1986.

Special Called Meeting
of the
Board of Regents
of
Northern Kentucky University
Wednesday, May 14, 1986 - 4:30 p.m.
Regents Board Room - Administrative Center

AGENDA

Call to Order and Invocation

Roll Call and Welcome

Item: Collective Bargaining

ADJOURNMENT

SPECIAL BOARD OF REGENTS MEETING
May 14, 1986

A special Board of Regents meeting was called to order at 4:40 p.m. on Wednesday, May 14, 1986 by Chairman Lucas. Invocation was given by Dr. Tesseneer.

The chairman welcomed all present and stated that the purpose of the meeting was to discuss the matter of collective bargaining.

Roll Call:

Regents present: Dr. Herbert Booth, Mr. David Duncan, Mr. Merwin Grayson, Mr. Elmer Haas, Mr. Kenneth Lucas, Mrs. Susan Morrison, Ms. Shelley Stephenson, Mr. William Verst, Dr. Lew Wallace. Excused - Mr. Richard Spears.

Others present: President Leon Boothe, Dr. Gene Scholes, Dr. Lyle Gray, Dr. Ralph Tesseneer, Ms. Cynthia Dickens, Mr. Dennis Taulbee, Mr. Robert Knauf, Ms. Sheila Bell, Mr. C.M. Tabor, Dr. William Dickens, Dr. Lynn Langmeyer, Ms. Mary Clark, Dr. John White, Dr. Carl Slater, Ms. Terri Malone, Ms. Imogene Addison, Ms. Marjorie Scheller, Dr. Linda Dolive, Ms. Rosetta Mauldin, Mr. John Sebree, Dr. James Alford, Mr. Dan Alford, Ms. Darlene Miller, Ms. Janet Krebs, Mr. Gary Scott, Ms. Nancy Utz, Ms. Cindy Cook, Dr. Robert Kempton, Dr. Robert Bussom, Ms. Mary Paula Schuh, Dr. David Hogan, Dr. George Goedel, Dr. Daryl Poole, Mr. Ron Ellis, Ms. Joyce Maegly, Mr. Henry Stephens, Mr. Chet Arthur, Mr. Wes Wright of WLW Radio, Ms. Ginny Edwards of Courier Journal, Mr. Mike Pulforth of the Enquirer, Ms. Maryanne Zeleznik of WKNU Radio, Mr. Duane Froehlicher, Mr. John DeMarcus, and Ms. Nancy Martin.

Mr. Verst asked for the floor and made the following statement:

"I am completely sympathic to the financial needs of teachers in general and our faculty in particular. I have strongly supported the Governor, Council on Higher Education, and our University administration in the battle to produce wages and increases consistent with those of business factor.

We expect, in fact demand that our educators produce graduates who will be the future leaders of our country, yet we do not value their efforts enough to pay them a wage consistent with our expectation.

I believe however that the action taken by some of the faculty will not solve the problem. I believe it will be detrimental to our University, to the student body, and most of all to those it is purported to serve the most - the faculty.

I further believe it would have serious implications when dealing with the governmental agencies whose support is critical to the development of this University.

For these reasons I have requested the administration's legal department to prepare the following resolution."

"WHEREAS, Northern Kentucky University's principal purpose and responsibility is the pursuit of excellence in the provision of education at the college level;

WHEREAS, the University's ability to fulfill this purpose and responsibility to its students and the community is dependent upon maintaining a quality faculty and staff working in a collegial atmosphere conducive to the pursuit of excellence;

WHEREAS, Northern Kentucky University has the legal and moral responsibility through its Board of Regents to ensure the quality of its education product and the delivery thereof;

WHEREAS, the faculty have the right and responsibility to shape academic policies which influence the direction and character of the institution;

WHEREAS, the University strives to ensure, within the resources available:

1. that the faculty enjoy an atmosphere of academic freedom within which they, and in turn the students, can enjoy full intellectual stimulation and challenge;

2. that the faculty be compensated fairly on a basis comparable with other institutions of higher learning in this state and region and be otherwise appropriately secure in their employment; and

3. that all staff and other employees enjoy fair wages and benefits in an environment in which all are treated with dignity;

WHEREAS, it is the belief of the Board that the intervention of other groups in employment related matters would dilute, rather than enhance the process by which faculty, staff, and other employees make recommendations concerning employment matters;

WHEREAS, the Faculty Senate provides the traditional vehicle for the discussion and development of academic policies, programs, and concerns;

WHEREAS, Staff Congress is an appropriate vehicle for the expression of staff concerns and consideration of matters related to the employment condition of staff members; and

WHEREAS, any interruption in the services of the University through an actual or threatened work stoppage would be detrimental to the institution;

NOW THEREFORE, BE IT RESOLVED that the Board of Regents of Northern Kentucky University hereby declares its policy that:

1. Collective bargaining with any group of faculty, staff, and other employees concerning wages, hours, and working conditions is not in the best interest of the University or, in the opinion of this Board, of its faculty and staff, students, or the University community as a whole.

2. It is the policy of the Board of Regents at Northern Kentucky University not to recognize any collective bargaining organization or representative for any segment of its faculty, staff, or employees.

BE IT FURTHER RESOLVED THAT THE BOARD OF REGENTS respectivefully declines the request of the Faculty Senate to engage in collective bargaining."

Mr. Verst moved the adoption of the resolution. Mr. Grayson seconded the motion.

Dr. Wallace commented that the outside group referred to in the resolution happens to be the faculty. 85% of the faculty have spoken. AAUP is supported by the Faculty Senate.

President Boothe said that this University has an outstanding faculty and staff who have served this University, this community, and this State in an exception manner. This University has great promise and we must keep this goal in mind. The President read the following prepared statement:

"As all of you know, there has been considerable news coverage of AAUP activities connected with collective bargaining on our campus. While there is a strong temptation to want to correct a number of misstatements, I do not think this would be productive. Further, I do not wish to repeat the general tenets outlined in my May 2 letter to the faculty. I will say, however, that I have consistently held and still hold the firm belief that collective bargaining is not in the best interest of colleges and universities, and more specifically, Northern Kentucky University.

I believe collegiality places special requirements on all parties in an institution. I will not pretend that I am not disappointed that my office was not approached with a set of grievances and concerns: that is still the case as I speak to you today. The President has a requirement of consultation with the faculty governance body before critical decisions affecting the policies and character of the institution are made. Commensurately, the faculty, through their established representative group have an obligation to address their concerns and viewpoints directly and honestly to the appointed leadership of the institution. Both groups accept these obligations in return for a shared responsibility in the governance of the institution. This is the essence of the collegial model which has made higher education in this country so special. When grievances arise, we stand ready to address them. This University provides ample opportunities for the faculty, and correspondingly, the staff to participate in its governance. That has not and will not be altered by recent events.

It has been my pleasure to work with three different Presidents of the Faculty Senate, and concomitantly, with three different Presidents of the Staff Congress, and I have benefited greatly from their advice and counsel over the years. The advice/recommendations of the Faculty Senate, the Council of Deans, and the Council of Chairs weighs heavily on any final decisions I make. Discussions with the faculty, individually and collectively, clearly indicate the need to strengthen the governance organizations of the University if we are to realize the full potential of the collegial model.

The burden of responsibility and final decision still rests with the Board of Regents and the President as the designated agent. In recognition of that responsibility, I pledge that I will continue to work in and improve the collegial model and will earnestly try to resolve problems and issues in decisions that will, hopefully, result in mutual satisfaction. Collegiality provides an opportunity for concerned parties to comment in an advisory capacity. At the same time, it is clear that I must recommend a specific course of action after carefully weighing the information available. I cannot and will not abrogate my responsibility to the University, the Board and the Commonwealth.

The members of the Board are aware of the finite resources provided to the University through appropriations from the legislature and the establishment of tuition rates by the Council on Higher Education. Collective bargaining will not change that funding, but it will burden the University with increased administrative costs. Furthermore, collective bargaining may serve well the interests of some faculty, but I believe the current system is more responsive in serving the needs of all the faculty.

Therefore, Mr. Chairman, my recommendation is that the Board endorse the motion before them that Northern Kentucky University will not engage in collective bargaining. In arriving at this conclusion, I would reiterate my believe that the strength of the University is diversity of opinion, and I thank God for that. I rededicate myself immediately to working even harder with University constituencies to identify problems and find resolutions. Dialogue will occur more frequently and at all levels with the faculty as we collectively address issues of concern."

Mr. Duncan said that he had served on the Board for four years and had been on the campus quite often. He further stated that he was concerned that no faculty member has ever called him to complain of the problems faculty members have cited as reasons for desiring collective bargaining.

Dr. Wallace said that the faculty is awed by the Regents and there does not seem to be accessibility to the Board. He further commented that this is another example of things happening after the fact. AAUP has not yet contact the University for discussion and the Regents have already met and turned down collective bargaining. Dr. Wallace stated that there are many problems besides money (although that too is a problem) and reminded the Regents that collegiality is a two-way street.

Ms. Stephenson said that she wondered if the Regents ought to alienate 85% of the faculty with such a vote. She also wondered if the Faculty Senate is listen to and referred to the fact that Student Government often was not listened to on some recommendations.

Dr. Boothe said he does listen to the Faculty Senate, Staff Congress, and Student Government. Sometimes he has to make unpopular decisions, but his decisions must be made to best serve the University.

Mr. Haas commented that all need to mutually rededicate themselves to work within the framework we now have rather than going into untested waters.

Dr. Wallace said that the perception is that the Board comes here for two or three hours for a meeting and perhaps exists for its own purpose. If there is a genuine concern on the part of the Board, it is hoped that there will be a follow up.

Dr. Boothe pledged to strengthen and improve where possible and to keep the Board apprised of the progress.

Chairman Lucas said that there is a genuine concern by this Board for the University and its faculty and staff, and that the rights of all human beings are respected. Many of the members spent a great deal of time lobbying for additional monies for salary increases as well as voicing concerns to the local legislators. The President has one large challenge to serve all the constituencies he has to serve, and it is difficult to serve all areas according to their desires. He stated his hope is that everyone will be better served by this meeting.

Mr. Grayson said that none of us really understand the implications of collective bargaining. He feels there is need for more opportunity to communicate and continue to broaden communications. Only when communications have been exhausted again and again is it necessary to turn to collective bargaining.

Dr. Wallace said that the Regents can vote down collective bargaining and go home. But these conditions are not going to go away and neither are the concerns of the faculty. Concerns are not only salary and the way funds are distributed, but there are other concerns that are not being listened to. The faculty is being treated as a phase of business without the benefit of a union. A university is not a business, and therefore a business model for and a business approach to Unviersity concerns will not properly address the underlying causes that led faculty to call for collective bargaining.

Mr. Grayson called for the vote.
Aye Vote - Dr. Booth, Mr. Duncan, Mr. Grayson, Mr. Haas, Ms. Morrison, Mr. Verst.
Nay Vote - Dr. Wallace Abstention - Ms. Stephenson.

Chairman Lucas said that while his vote does not count, Mr. Spears sent a letter saying that he would cast an aye vote. The letter is attached to these minutes.

The meeting adjourned at 5:30 p.m. on motion by Mr. Verst and second by Mr. Duncan.

E.J. Haas, Jr. Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on May 14, 1986 and that such matters are still in force and effect.

E.J. Haas, Jr. Secretary

Dated this 27th day of May, 1986.

AGENDA
NORTHERN KENTUCKY UNIVERSITY
Monday, June 23, 1986 - 4:00 p. m.
Regents Board Room - Administrative Center

CALL TO ORDER AND INVOCATION

ROLL CALL AND WELCOME

MINUTES OF PREVIOUS MEETINGS - Quarterly Meeting of January 22, 1986
Special Meeting of May 14, 1986

A. PRESIDENTIAL REPORTS
1. Grants and Contracts Quarterly Report
2. Campus Planning Report
3. Quarterly Financial Report
4. Spring Enrollment Statistics
5. Management Information Report (to be distributed at meeting)
6. Professional Liability Insurance Update
7. Renewal of External Auditors Contract
8.
9.
10.

B. PRESIDENTIAL RECOMMENDATIONS
1. Academic Personnel Recommendations
2. Emeritus Status Recommendation
3. Non-Academic Personnel Recommendations
4. University Organizational Structure
5. Administrative Changes
6. Conferrence of Juris Doctor to Pre-merger Graduates
7. Faculty Senate Bylaw Revision
8. Cash Collection Policy
9. Ticket Sales Policy
10. Renaming of University Roadways
11. Policy Laboratory Lease Agreement
12. Write-Off of Bad Debts
13. Student Government Constitution Revision
14. Student Code Revision
15. Revenue Bond Resolutions
16. 1986-87 University Operating Budget
17.
18.

C. ELECTION OF OFFICERS FOR 1986-87
1. Chairman
2. Secretary/Treasurer
3. Assistant Secretary

D. OTHER BUSINESS
1. Next Quarterly Meeting - September 10, 1986 - 4 p.m.
2.
3.

ADJOURNMENT

BOARD OF REGENTS MEETING
Monday, June 23, 1986

The quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Monday, June 23, 1986 by Chairman Lucas. All present were welcomed by the Chairman.

ROLL CALL: Regents present - Dr. Herbert Booth, Mr. David Duncan, Mr. Merv Grayson, Mr. Elmer Haas, Mr. Kenneth Lucas, Mrs. Susan Morrison, Mr. Richard Spears, Ms. Shelley Stephenson, Mr. William Verst, and Dr. Lew Wallace.

Others present - President Leon Boothe, Dr. Gene Scholes, Dr. Lyle Gray, Mr. Robert Knauf, Ms. Cynthia Dickens, Mr. Dennis Taulbee, Ms. Sheila Bell, Mr. C.M.Tabor, Dr. Phyllis Sholtys, Dr. Clifford Shisler, Mr. Henry Stephens, Dr. James Alford, Ms. Nancy Pierce, Mr. Ches Arthur, Mr. Russell Kerdolff, Mr. Keith Stewart, Ms. Mary Paula Schuh, Ms. Imogene Addison, Ms. Becky Myers, Mr. Bill Russell, Dr. Ted Weiss, Dr. George Goedel, Mr. Ron Ellis, Mr. Rick Meyers, and Mr. John Sebree of Student Government.

The minutes of previous meetings of January 22, 1986 and May 14, 1986 were approved on motion by Mr. Haas and second by Dr. Booth.

President Boothe recognized the following staff- Nancy Pierce, Acting Director of Personnel, Mr. Ron Ellis, Director of University Relations, and Rick Meyers, News Director. The President presented Ms. Stephenson with a picture and name plate and thanked her for her services as Student Regent.

PRESIDENTIAL REPORTS:

A-1	The Grant and Contract activity for the University has been very excellent in the proposals, development, and funding of grants.	Grants
A-2	The President highlighted the campus development report and stated that the Facilities Master Plan will be ready for final review in the Fall.	Campus Development
A-3	The quarterly financial report was presented by the President, who stated we are on target with revenues and expenditures.	Financial Report
A-4	The Spring enrollment report noted a 2% decrease in enrollment. Dr. Boothe further announced that the black enrollment was up 8% and students are enrolled from 88 Kentucky counties as opposed to 77 counties last year.	Spring Enrollment
A-5	Updates on the Management Information Reports were distributed to the Regents to add to their manual.	MIR
A-6	The President outlined the problems with securing Professional Liability Insurance as other universities have experienced. The Regents will be kept well informed on the progress of securing such insurance.	Professional Liability Insurance
A-7	President Boothe announced that the external auditing contract with Rankin and Rankin has been extended to June 30, 1987 in keeping with the provisions of the bid award.	External Auditor Contract

PRESIDENTIAL REPORTS:

B-1 The following academic personnel actions were recommended by the President:

Resignations from the University - Charles Apple, Communications; Carlos Soto, Radiologic Technology; Vladimir Wozniuk, Political Science; and Mary Zaleta, Physical Sciences.

Other resignations -

Julie Gerdsen from MBA Program Director to Assistant Professor of Economics and Finance

Academic Personnel

Thomas Kearns from Chairperson to Professor of Mathematical Sciences

William Lindsay from Acting Chair to Professor of Market & Management

Leaves:

James Fouche, Education, unpaid leave without benefits thru June 30, 1987

Martin Marger, Social Sciences, unpaid leave with benefits for academic year

Glen Mazis, Social Sciences, unpaid leave without benefits for academic year

Paula Raines, Law, unpaid leave with benefits for academic year

Ronald Singer, Psychology, unpaid leave with benefits for academic year

Administrative Appointments:

David A. Adams, Chair of the Department of Information Systems

Thomas Cate to Chair of Department of Economics and Finance

George Goedell to Acting Chair of Psychology Department

Linda Marquis, Chair of the Department of Accounting

James McKenney, Acting Chair of Mathematical Sciences

William Oliver, Acting Chair of Physical Sciences

Katherine Rosenthal, Interim Director of Radiologic Technology

W. Michael Ryan, Acting Chair of History and Geography

Carl Slater, Acting Dean of College of Arts and Sciences

Robert Snyder, Chair of Management and Marketing

Adjunct Appointment -

Margie Schmitz, Adjunct Clinical Instructor for Radiologic Technology

Other -

Compton Allyn to Full Professor of Management and Marketing

Tom Harden, tenure and Chair of Technology and Occupational Education

J. Robert Lilly to Full Professor of Social Sciences

Raymond McNeil, tenure and Associate Professor of Physical Sciences

Jeffrey Williams to Full Professor of History and Geography

The recommendations were unanimously approved on motion by Mr. Grayson and second by Mrs. Morrison.

B-2 President Boothe recommended that Emeritus status for George Buttafoco of the Salmon P. Chase College of Law, and Lois Sutherland of the Department of Communications, receive board approval. The board unanimously voted to approve the recommendation on motion by Mr. Verst and second by Mr. Duncan.

Emeritus Status

B-3 Non-academic personnel actions (attached to these minutes) were recommended by the President. Reasons for terminations were as follows - 35% for better pay, 18% by retirement, death or disability, 10% involuntary, and the balance for miscellaneous reasons. Dr. Booth moved that the recommendations be approved. The motion, seconded by Ms. Stephenson, received unanimous approval.

Non-academic Personnel

B-4 The President presented a reorganization chart (attached to the minutes) explaining the changes and recommended its approval. It was moved by Mr. Haas, seconded by Mrs. Morrison, that the recommendation receive approval. The vote was unanimous.

Reorganizatic Chart

B-5 President Boothe recommended the following administrative personnel changes: Administrative Appointments

Appointment -
Cynthia Dickens to Vice President for Student Affairs
Ron Ellis to Director of University Relations
Thomas Gamble, Acting Sports Information Director
Robert Knauf to Vice President for University Relations and Development
Rick Meyers to Acting Director of the News Bureau
Nancy Pierce to Interim Director of Personnel Services and Director of Financial and Operations Audit
Ken Ramey to Director of Business Services

Resignations -
John Pierce, Director of Governmental Services Institute
R. Gregg Schulte, Director of Personnel Services

The recommendations were unanimously approved on motion by Mr. Duncan and second by Ms. Stephenson.

B-6 It was recommended by the President that Northern Kentucky University be authorized to confer Juris Doctor degrees on all graduates of the pre-merger Salmon P. Chase College of Law. The recommendation was unanimously approved on motion by Mr. Grayson and second by Dr. Wallace. Juris Dr. to pre-merger graduates

B-7 The President recommended that the bylaw revisions proposed by the Faculty Senate (attached to the minutes) receive approval. The motion, made by Mr. Grayson, was seconded by Mr. Haas, and was passed unanimously. Revision Fac.Senate Bylaws

B-8 President Boothe recommended a revised policy governing the collection and control of University funds (attached to the minutes) stating that the original policy was approved in 1977 and needed the revisions. It was moved by Mr. Verst and seconded by Mr. Haas that the policy revision be approved. The vote was unanimous. Cash Collection Policy

B-9 A revised policy governing ticket sales on campus (attached) was presented by the President who asked for approval. The recommendation was unanimously passed on motion by Mr. Duncan and second by Mrs. Morrison. Ticket Sale Policy

B-10 President Boothe recommended an adjustment to the names of Nunn Drive and University Drive because of new road construction. Map showing the changes is attached to the minutes. Mr. Verst moved approval. The motion, seconded by Mr. Duncan, was unanimously passed. Renaming Roadways

B-11 It was recommended by the President that the Board approve a lease with the Northern Kentucky Police Chiefs Cable Assocation. The space will be used for production of programs and public information announcements for use on local cable television access channels. The University will be permitted to utilize the studio also. Lease NK Police Chiefs Cable Assoc

Dr. Booth moved that the lease arrangement be approved. The motion, seconded by Mrs. Morrison, was passed by unanimous vote.

B-12 The President recommended Board authorization to write off student receivables in the amount of $4,159.37 and Loan fund receivables of $4,891.73. Mr.Duncan asked if the University would still pursue collecting. Mr. Tabor said that they are uncollectible but all records for the particular persons are on hold. Mr. Grayson moved approval. The motion, seconded by Mr. Duncan, was passed by unanimous vote. Bad Debts

B-13 Revision of the Student Government Constitution was presented by the President for approval. Revisions are attached to the minutes. The recommendation received unanimous approval on motion by Ms. Stephenson and second by Mr. Duncan. — Student Government Constitutic Revision

B-14 The President presented a revision of the Code of Student Rights and Responsibility for approval noting that changes include a clarification of illegal discrimination and sexual harassment procedures among others. The revised code received unanimous approval on motion by Mr. Duncan and second by Ms. Stephenson. — Student Code Revision

B-15 President Boothe recommended the adoption of three resolutions relating to the issuance of Revenue Bonds. Complete resolutions attached to the minutes. — Bond Resolutions

Resolution #1 - Requesting approval of the State Property and Buildings Commission for construction of the Applied Science and Technology Center - Series D. Motion by Mr. Duncan and second by Mr. Verst. — AST Building

Resolution #2 - Requesting approval for the issuance of refunding bonds for the purpose of refinancing Series C. Motion by Dr. Booth and second by Mrs. Morrison. — Refinancing

Resolution #3 - Requesting approval for the issuance of refunding bonds for the purpose of refinancing Series A. Motion by Mr. Grayson and second by Mr. Haas.

All motions were passed by unanimous vote.

B-16 The President submitted the 1986-87 unrestricted current funds operating budget of $35,333,000 and asked for approval. The President presented an oral and visual report on salaries, new positions, and liability insurance (see background explanation with the resolution attached to the minutes). — 1986-87 Operating Budget

The budget for 1986-87 was unanimously approved on motion by Mr. Grayson and second by Dr. Booth.

A motion was made by Mr. Grayson and seconded by Mrs. Morrison that the Regents go into Executive Session to discuss a personnel matter. The meeting recessed at 4:55 p.m. — EXECUTIVE SESSION

The meeting reconvened at 5:45 p.m. Mr. Grayson reported that the Presidential Review Committee consisting of Dr. Booth, Mr. Verst, and himself had conducted an evaluation of the President. The reports indicated that President Boothe's performance was very satisfactory. Mr. Grayson moved that the President's contract be extended an additional year plus a 5% increase. The committee had recommended a greater increase but the President wanted it kept at 5% in line with other salary increases, according to Mr. Grayson. The motion was seconded by Dr. Booth and was passed by unanimous vote. President Boothe thanked the Board for their confidence. — President's Contract

OTHER BUSINESS:

C-1 Election of Officers: Dr. Booth moved the following nominations - Mr. Grayson as Chairman, Mr. Verst as Chairman-elect, Mr. Haas as Secretary/Treasurer and Mrs. Thelen as Assistant Secretary. The motion was seconded by Mr. Spears. There was no further nominations and the Chairman called for a vote, which was unanimous. — Election of Officers

C-2 The next quarterly meeting date suggested was Wednesday, September 10, 1986 at 4 p.m. and was acceptable to all.

Chairman Lucas thanked Ms. Stephenson for her services as an outstanding Student Regent and wished her well in her future endeavors.

The meeting adjourned at 5:50 p.m. on motion by Mr. Verst and second by Mr. Spears.

E.J. Haas, Jr. Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on June 23, 1986 and that such matters are still in force and effect.

E.J. Haas, Jr., Secretary

Dated this 7th day of July, 1986.

AGENDA
NORTHERN KENTUCKY UNIVERSITY BOARD OF REGENTS
Wednesday, September 10, 1986 - 4:00 p.m.
Regents Board Room -Administrative Center

CALL TO ORDER AND INVOCATION

INSTALLATION OF NEW REGENTS - Mr. John R.S. Brooking (replacing Mrs.Morrison)
Mr. Duane Froelicher - Student Regent

ROLL CALL AND WELCOME

MINUTES OF PREVIOUS - Regular quarterly meeting, June 23, 1986

A. PRESIDENTIAL REPORTS

1. Grants and Contracts Quarterly
2. Campus Planning Report
3. Quarterly Financial Report
4. Spring 1986 Management Information Report (to be distributed at meeting)
5. IBM Corporate Gift
6. Summer Enrollment Report
7. Campus Master Plan (to be distributed at meeting)
8.
9.

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel Actions
2. Early Retirement Program
3. Non-Academic Personnel Actions
4. Undergraduate Admissions Policy
5. General Studies Program Rationale, Pass/Fail Option
6. Department of Human Services and Social Work
7. Anti-Hazing Recommendation
8. Interfaith Center
9. Campbell County Water District Easement
10. United Methodist Wesley Foundation Lease
11. Concept of University Inn
12.

C. OTHER BUSINESS

1. Next scheduled meeting - October 29, November 5, November 12 ??
2.
3.

ADJOURNMENT

BOARD OF REGENTS MEETING
September 10, 1986

The quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, September 10, 1986 by Chairman-elect Verst. Invocation was given by Dr. Tesseneer.

Mr. John R.S. Brooking, newly appointed regents, and Mr. Duane Froelicher, student regent, received the Oath.

ROLL CALL:

Regents present: Dr. Herbert Booth, Mr. John R.S. Brooking, Mr. David Duncan, Mr. Duane Froelicher, Mr. Kenneth Lucas, Mr. William Verst, Dr. Lew Wallace.
Excused: Mr. Merwin Grayson, Mr. Elmer Haas, Mr. Richard Spears

Others present: President Leon Boothe, Dr. Gene Scholes, Dr. Lyle Gray, Dr. Ralph Tesseneer, Ms. Sheila Bell, Ms. Cynthia Dickens, Mr. Dennis Taulbee, Mr. Ron Ellis, Mr. Rick Meyers, Mr. Robert Knauf, the Reverend Anne Eason, Dr. Mary Ann Weiss, Dr. Linda Olasov, Mr. William Weathers, Mr. Mick McLean, Dr. Ray Waggoner, Dr. Robert Busson, Mr. Jerry Legere, Mr. Bill Russell, Ms. Mary Paula Schuh, Dr. Linda Dolive, Mr. John Sebree, Mr. Mike Baker, Ms. Imogene Addison, Ms. Joyce Maegley, Dr. Clifford Shisler, Ms. Nancy Pierce, Ms. Janet Krebs, Ms. Norleen Pomerantz, Mr. Ches Arthur, Mr. Henry Stephens, Mr. Frank Wakefield, Mr. Ed Kennedy, Dr. James Alford, Mr. D. Beasley of the Kentucky Enquirer and Mr. David Mendenhall of the Northerner.

Minutes of the previous quarterly meeting held June 23, 1986 were approved on motion by Dr. Booth and second by Mr. Duncan.

President Boothe introduced the new Director of Development, Mr. Ed Kennedy.

PRESIDENTIAL REPORTS:

A-1 The President presented the Grant and Contract activity report for the quarter noting a dramatic increase in funds received as well as funds requested and number of proposals presented. The report indicates an optimistic trend, according to the President. Grants and Contracts

A-2 President Boothe highlighted the Campus Planning Report and particularly commended the Carlisle Construction Company in their generosity in revamping the baseball field to NCAA standards. Campus Planning

A-3 The quarterly financial report was presented by the President, who noted that the University is in sound financial health.

A-4 The handout on the Management Information Report is an update to add to the handbooks and is for informational purposes.

A-5 The President reported that IBM had donated 2,500 reels of magnetic tape, valued at $12,500, to the University. This allows the University to cut down on operational expenses. The President commended Regent Duncan who was largely responsible for the gift. IBM Gift of Tapes

A-6 The Summer enrollment report, presented by President Boothe, indicated that head-count is up but FTE enrollment is down. There also was a large drop in graduate studies, but the President felt it was largely due to the fact that many of the teachers in this area have now received their Summer Enrollment

Masters' degrees. Other statistics of interest were that 33% of all the Summer enrollment consisted of seniors, and that 40% were transfer students.

A-7 President Boothe called attention to the Master Plan. He stated that there would be additional meetings the end of September to receive reaction to the plan and that the University would work with Highland Heights Council and Campbell County Fiscal Court for reactions. — Master Plan

PRESIDENTIAL RECOMMENDATIONS:

B-1 The President recommended a number of academic personnel actions (attached to these Minutes, and asked for approval. He also introduced Mr. Michael McLean, General Manager of WNKU Radio Station. Mr. Duncan moved the adoption of the recommendation. The motion, seconded by Dr. Booth, was passed by unanimous vote. — Academic Personnel Actions

B-2 President Boothe recommended that the Board authorize the implementation of an Early Retirement Policy for University faculty. The Program is attached to these minutes. The President introduced Dr. Ray Waggoner, who spearheaded the study and formulated the program which has been reviewed by Legal Services, the Faculty Senate, the Provost, and the President. The recommendation received unanimous approval on motion by Mr. Brooking and second by Dr. Wallace. — Early Retirement Policy

B-3 Non-academic personnel actions were presented by the President, who noted that nearly 50% of the terminations were due to persons who could make more money in a similar job. The balance of the terminations were due to personal reasons, disability, retirement and other similar reasons. The personnel actions were unanimous adopted on motion by Mr. Duncan and second by Mr. Froelicher. — Non-academic Personnel Actions

B-4 President Boothe presented an interim policy for undergraduate admissions. The policy (attached to these minutes) conforms to the Council on Higher Education requirement for admission to public universities in 1987. The policy needs further review and therefore, a one year adoption is recommended. Mr. Duncan moved that the recommendation be approved as presented. Mr. Froelicher seconded the motion which was passed by unanimous vote. — Interim Admission Policy

B-5 It was recommended by the President that the Faculty Senate proposals for General Studies Program Rationale and a Pass/Fail Option receive approval. The President noted that this would give students an opportunity to widen their horizens on a subject, not in their major, without having to worry about their grade point average; however, a grade of at least a C must be achieved in order to pass. — General Studies Rationale, Pass/Fail Option

Mr. Lucas moved the adoption, seconded by Dr. Booth.

Mr. Brooking questioned the policy. As an employer and a representative for other employers, he stated his concern in seeing such a grade on a transcript and wondered if this might be a "cop-out". The Provost said that pass/fail was limited to 12 hours not in the student's major and a a grade of C or higher must be attained. Dr. Gray noted that number of other universities do not start a grade point average until the sophomore year.
The motion was passed by unanimous vote.

B-6 President Boothe recommended that the title of the Department of Social Work be changed to Department of Human Services and Social Work effective immediately. This is a result of streamlining the organizational structure of Academic Affairs. The recommendation received unanimous approval on motion by Mr. Lucas and second by Mr. Brooking. — Social Work - Dept. of Human Services and Social Work

B-7 In presenting the anti-hazing policy, the President stated that while there has been a similar policy in effect, the policy (attached to these minutes) bring the University into compliance of KRS 164-375c. Mr. Froelicher moved the adoption, seconded by Mr. Duncan. The motion passed by unanimous vote. — Anti-hazing Policy

B-8 President Boothe recommended a proposal for the establishment of an Interfaith Center on the campus and the administration be directed to enter into negotiations for further development of the project. Acceptance of this statement by the Board allows a site to be determined and appropriate legal documents to be negotiated so that review and approval can begin. Completion of appropriate legal documents will enable fund raising to begin. (Proposal attached to these minutes.) — Interfaith Center

The President introduced the Reverend Anne Eason and Ms. Mary Ann Weiss of our faculty, who have been working on the project.

The recommendation received unanimous approval on motion by Mr. Duncan and second by Mr. Brooking.

B-9 President Boothe recommended that the Board approve a grant of easement to the Campbell County Water District due to the fact that the construction of University Drive Extension necessitated relocation of a water line. The motion to adopt the recommendation was made by Dr. Booth. The motion, seconded by Mr. Brooking, received unanimous approval. — Easement for Water District

B-10 It was recommended by the President that approval be given for a lease with the United Methodist Wesley Foundation. The lease will be for a room in the Natural Science Center for a nominal amount. The recommendation received unanimous approval on motion by Mr. Duncan and second by Dr. Booth. — United Meth. Wesley Foundation Lease

B-11 The following recommendation was presented by the President:

"That the Board endorse the concept of a University Inn and encourage the NKU Foundation to exercise its best efforts to construct such a facility containing transient rooms and appropriate amenities, on the Foundation's property located on Campbell Drive adjacent to the Kroger Technical Center; and that the Board endorse the development of a Hospitality Management Program as part of the University curriculum." — University Inn Concept Encouraged

The motion by Mr. Brooking and second by Mr. Lucas received unanimous approval.

OTHER BUSINESS:

The next quarterly meeting date was set for Wednesday, November 19, 1986.

President Boothe introduced Dr. Linda Olasov, new President of the Faculty Senate.

The President reported that the University is exploring every avenue to get suitable liability insurance. The present policy expires October 31, and it is hoped to have a policy in place by that time. A further report will be given at the November 19th meeting.

The meeting adjourned at 4:55 p.m. on motion by Mr. Duncan and second by Mr. Lucas.

E.J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on September 19, 1986 and that such matters are still in force and effect.

E.J. Haas, Jr., Secretary

Dated this 2nd day of Oct., 1986.

SPECIAL BOARD OF REGENTS MEETING
Monday, October 27, 1986

A special Board of Regents meeting was called to order at 10:05 a.m. on Monday, October 27, 1986 by Chairman Grayson. Invocation was given by Dr. Lyle Gray.

Regents present: Dr. Herbert Booth, Mr. John Brooking, Mr. Duane Froelicher, Mr. Merv Grayson, Mr. Elmer Haas, Mr. Kenneth Lucas, Dr. Lew Wallace.
Excused: Mr. David Duncan, Mr. Richard Spears, Mr. William Verst

Others present: President Leon Boothe, Dr. Gene Scholes, Dr. Lyle Gray, Ms. Cynthia Dickens, Mr. Robert Knauf, Ms. Sheila Bell, Mr. Clarence Tabor, Mr. Mike Baker, Mr. Keith Stewart, Ms. Carla Chance, Mr. Jerry Legere, Mr. Bill Russell, Dr. James Alford, Ms. Terri Malone, Ms. Imogene Addison, Ms. Nancy Pierce, Mr. Rick Meyers, Ms. Dolores Thelen, Mr. David Mendenhall of the Northerner, Mr. Jeff Hildebrand of WCKY Radio, and Dave Beasley of the Kentucky Enquirer.

The President recommended that a revision of Article IV B of the Regents Bylaws be approved. (Revision attached to these minutes.)

In addition to the background material attached with the revisions, the following points were made by President Boothe, Ms. Bell, Dr. Scholes, and Mr. Brooking:

Our present liability insurance expires November 1, 1986 with the understanding that any law suit filed one year after expiration of policy will be taken care of by the present carrier for actions prior to expiration.

The revision is made to include staff which had not been included in the original bylaw, and if the University is without coverage, all employees potentially involved in actions should be covered.

Seven carriers now have applications for the University's coverage. One of the things in the University's favor is that the institution is completely litigation free at this time.

Concern at this time is whether indemnification costs can be paid from State tax dollars. This is subject to final interpretation by the Kentucky Courts. Northern has taken the position that since the institution receives tuition and other fees, tax dollars will not be used.

The State institutions need to band together to try to get positive legislation passed on the above issue.

Mr. Brooking moved that the recommendation be approved as presented. The motion, seconded by Dr. Booth, passed by unanimous vote.

The meeting adjourned at 10:30 a.m. on motion by Mr. Haas and second by Mr. Lucas.

E.J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on Monday, October 27, 1986 and that such matters are still in force and effect.

Dated this 30th day of Oct., 1986

E.J. Haas, Jr., Secretary

AGENDA
NORTHERN KENTUCKY UNIVERSITY BOARD OF REGENTS
Wednesday, November 19, 1986 - 4 p.m.
Regents Board Room - Administrative Center

CALL TO ORDER AND INVOCATION

WELCOME AND ROLL CALL

MINUTES OF PREVIOUS MEETINGS - Quarterly Meeting - September 10, 1986
Special Meeting - October 27, 1986

A. PRESIDENTIAL REPORTS

1. Grants and Contracts Quarterly Report
2. Campus Planning Report
3. Proposal for Shor Environmental Education and Research Center
4. Fall Enrollment Report
5. Quarterly Financial Report
6. President's Annual Report and Annual Financial Report
7. Update of Projected State Revenue Shortfall (to be distributed at the meeting)
8. A-110 Audit
9.

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel Actions
2. Non-Academic Personnel Actions
3. Administrative Appointment
4. Unit Title Change
5. Staff Congress Bylaws Revision
6. Northern Cooperative for Educational Services, Inc. (to be distributed at the meeting)
7.
8.

C. OTHER BUSINESS

1. Upcoming quarterly meeting schedule - January 28, 1987
- April 29, 1987
2.
3.

ADJOURNMENT

BOARD OF REGENTS MEETING
Wednesday, November 19, 1986

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, November 19, 1986 by Chairman Grayson. Invocation was given by Dr. Lyle Gray.

Regents present: Dr. Herbert Booth, Mr. John Brooking, Mr. David Duncan, Mr. Duane Froelicher, Mr. Merwin Grayson, Mr. Kenneth Lucas, Mr. William Verst, Dr. H. Lew Wallace. Excused: Mr. Elmer Haas and Mr. Richard Spears.

Others Present: President Leon Boothe, Dr. Gene Scholes, Dr. Lyle Gray, Mr. Robert Knauf, Dr. Ralph Tesseneer, Mr. Dennis Taulbee, Ms. Sheila Bell, Ms. Cynthia Dickens, Mr. C.M. Tabor, Dr. Phyllis Sholtys, Dr. Linda Olasov of Faculty Senate, Ms. Jan Kreutzer of NKU Alumni, Ms. Janet Krebs of Staff Congress, Dr. Richard Shisler, Dr. James Alford, Dr. Ron Gardella, Mr. Ed Thompson, Mr. Richard Rankin and Mr. Charles Lee of Rankin and Rankin, Mr. Mike Baker, Ms. Mary Paula Schuh, Ms. Pat DeMarsh, Ms. Imogene Addison, Ms. Marjorie Scheller, Mr. William Russell, Mr. John Sebree of Student Government, Dr. Linda Dolive, Mr. Ches Arthur, Ms. Nancy Pierce, Mr. Bill Lamb, Mr. Dave Beasley of Kentucky Enquirer, Mr. William Weathers of Kentucky Post, and Mr. David Mendenhall of The Northerner.

Minutes of the following meetings were approved on motion by Mr. Duncan and second by Dr. Booth: Quarterly Meeting - September 10, 1986 and Special Meeting - October 27, 1986.

President Boothe introduced Ms. Jan Kipp Kreutzer, newly elected President of the Alumni Association.

PRESIDENTIAL REPORTS:

A-1 The President presented the Grants and Contracts report and pointed out some very impressive figures. During the same period one year ago, the following increases were made: — Grants and Contracts

Funds approved	82.3%
Proposals approved	100%
Funds requested	75%
Proposals submitted	93%

A-2 In highlighting the Campus Planning report, the President stated that all departments to be housed in the new Applied Science and Technology Center had been thoroughly involved in the programming requirements. Design work should be completed by June, 1987. The State has recommended selling the bonds early next fall. The final printing for the Facilities Master Plan should be completed and presented at the January meeting. — Campus Planning

A-3 President Boothe presented a Proposal for the Shor Environmental Education and Research Center. Mr. S. David Shor of Duro Paper Bag has generously offered to donate some 1000 acres in Kenton County for such a project. Dr. Ron Gardella, who was introduced by the President, led a team effort to develop the proposal. If the proposal becomes reality, it will be a tremendous opportunity for the University. — Proposal for Shor Environmenta Center

A-4 After presentation of the Fall enrollment report, the President noted that the figures show a stable enrollment. Headcount is down about 25 students, but FTE is up a small amount. — Fall Enrollment

A-5 The President presented the quarterly financial report (July through September) noting that expenditures and revenues are in line with projections. Finan. Report

A-6 President Boothe extensively highlighted the Annual Report of the President in all areas of the University. During the financial report, Mr. Richard Rankin of Rankin and Rankin was introduced. Mr. Rankin certified to the Regents that the financial statements of the University had been audited and he was pleased to report that the reporting was in conformity with generally accepted accounting principles. The President noted that 70% of the University resources is used for salaries, 20% for operating expenses, leaving very little to deal with major problems. Annual Report of President

Chairman Grayson complimented the President on the excellent report.

A-7 President Boothe outlined for the Regents the Kentucky revenue shortfall stating that he would notify the Regents if Northern will suffer any budget implications. Kentucky Revenue Shortfall

A-8 The A-110 audit was presented. The President Stated that this was a 100% compliance audit and there are no findings of significant nature. President Boothe complimented Mr. Tabor, Ms. Pierce, Mr. Baker and staff for their excellent work. A-110 Audit

PRESIDENTIAL RECOMMENDATIONS:

B-1 The following academic personnel recommendations were made by the President:

That David Leong, Fine Arts, be granted an unpaid leave, without benefits for the 1987-1988 academic year.

That Gary Scott be appointed interim Associate Dean of the College of Professional Studies from August 26, 1986 through June 30, 1986. Academic Personnel

The recommendations were unanimously approved on motion by Mr. Verst and second by Mr. Duncan,

B-2 Non-academic personnel actions were recommended by the President. Such actions are attached to these minutes. The President noted that 35% of the terminations were for better paying positions. Dr. Booth moved approval. The motion, seconded by Mr. Verst, was approved by unanimous vote. Non-academic Personnel

B-3 The following administrative personnel changes occurred during the third quarter, and are submitted for Board approval by the President:

Edward Kennedy, Director of University Relations/Development, effective 9/1/86

Gary Eith to Director in Governmental Services Institute, effective 8/4/86

Edward Thompson to Director of Intercollegiate Athletics, effective 11/3/86. Administrative Personnel

The recommendations received unanimous approval on motion by Mr. Duncan and second by Dr. Wallace.

B-4 It was recommended by the President that the current unit name of Governmental Services Institute/Professional Development and Community Education be changed to Community Education and Service. The motion, made by Dr. Booth and seconded by Mr. Duncan, received unanimous approval.

Community Education and Service

B-5 Staff Congress By-Laws revision (attached to these minutes) was unanimously approved on motion by Mr. Verst and second by Mr. Froelicher.

Staff Congress By-Laws

The President asked the Board's opinion of bring such items for approval. As Agent of the Board, he could bring such items as informational reports. The Board agreed to this arrangement.

B-6 President Boothe recommended that the Board of Regents authorize: participation in the Northern Cooperative for Educational Services, Inc,; participation in the Northern Kentucky Cooperative for Education Services, a separate administrative board created by Interlocal Cooperative Agreement; and development of a lease agreement with the Northern Cooperative for Educational Services, Inc.

Northern Kentucky Cooperative

The President explained that this is a new exciting partnership with the public schools and that the superintendents of the districts are pleased with the arrangement. Mr. Duncan made a motion to approve the recommendation. The motion, seconded by Dr. Wallace, received unanimous approval.

OTHER BUSINESS

C-1 Meeting dates for 1987 were suggested: January 28
April 29
July 29
October 28

The board members were advised to contact the President's office if there is a conflict.

Mr. Duncan stated that President Boothe had spoken to the Rotary Club in Lexington and had done a tremendous job on a difficult subject. He received a warm reception and represented the University well.

Meeting adjourned at 4:50 p.m. on motion by Mr. Duncan and second by Mr. Verst.

E.J. Haas, Jr. Secretary

Dolores Thelen

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on November 19, 1986 and that such matters are still in force and effect.

E.J. Haas, Jr., Secretary

Dated this _____day of December, 1986

REVISED

AGENDA - Wednesday, January 28, 1987

CALL TO ORDER AND INVOCATION

INSTALLATION OF NEWLY ELECTED REGENT

ROLL CALL

ELECTION OF NEW CHAIRMAN

MINUTES OF PREVIOUS MEETING - November 19, 1986

A. PRESIDENTIAL REPORTS

1. Grants and Contracts Quarterly Report
2. Campus Planning Report
3. Faculty Project Grants
4. Summer Fellowship Awards
5. Quarterly Financial Report
6. 1986 Revenue Shortfall
7. Professional Liability Insurance
8.

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel Actions
   a. New appointments, Resignations
   b. Sabbatical Leaves
2. Non-academic Personnel Actions
   a. New appointments, Resignations
   b. Administrative Appointments
3. University Master Plan
4. University Tuition Schedule
5. University Fee Schedule
6. Highway Development Resolution
7. Resolution relating to Housing System Revenue Bonds
8.

C. OTHER BUSINESS

1. Meeting Schedule - April 29, 1987
   July 29, 1987
   October 28, 1987
2.
3.

ADJOURNMENT

BOARD OF REGENTS MEETING
Wednesday, January 28, 1987

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:10 p.m. on Wednesday, January 28, 1987 by Chairman-elect Verst. The invocation was given by Dr. Tesseneer.

Oath of office was taken by Mr. Philip Taliaferro, Jr. whose term expires April 1, 1990.

It was announced that Mr. David Duncan was reappointed with term expiring April 1, 1989.

ROLL CALL: Regents present - Dr. Herbert Booth, Mr. David Duncan, Mr. Duane Froelicher, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Philip Taliaferro, Mr. William Verst, Dr. H.Lew Wallace. Excused were Mr. John Brooking and Mr. Richard Spears.

Others present - President Leon Boothe, Dr. Gene Scholes, Dr. Ralph Tesseneer, Mr. Dennis Taulbee, Mr. Robert Knauf, Ms. Cynthia Dickens, Ms. Sheila Bell, Mr. C.M. Tabor, Dr. Phyllis Sholtys, Dr. Jim Fouche, Ms. Mary Ryan, Ms. Helen Wilhelm Olson, Dr. Gary Graff, Ms. Mary Paula Schuh, Ms. Pat DeMarsh, Ms. Terri Malone, Ms. Imogene Addison, Mr. Jerry Legere, Ms. Joyce Maegly, Mr. John Sebree, Dr. Clifford Shisler, Mr. Robert Bolce, Mr. Bill Russell, Mr. Ches Arthur, Dr. James Alford, Mr. Mike Baker, Mr. Rick Meyers, Mr. Ron Ellis, Staff Congress officers - Mr. Don McKenzie, Ms. Diane Hunley and Ms. Kathy Lyons; Faculty Senate President Linda Olasov, Mr. David Mendell of The Northerner, Mr. Mike Pulfer of the Kentucky Enquirer, Mr. Wm. Weathers of the Kentucky Post, and Mr. Fred Anderson of WCKY Radio.

Mr. Verst called for the election of a new Chairman. Mr. Duncan nominated Mr. Verst for Chairman and it was seconded by Mr. Lucas. Motion by Mr. Haas called for the nominations to cease, seconded by Mr. Duncan. Mr. William Verst was elected Chairman by acclamation.

New Officers

Chairman Verst called for the election of a Vice Chairman. Mr. Lucas nominated Dr. Herbert Booth and the motion was seconded by Mr. Duncan. There being no further nominations, Dr. Herbert Booth was elected Vice Chairman by unanimous vote.

Mr. Haas presented the following Resolution and moved its approval:

RESOLVED: That the Board of Regents extends its thanks and sincere appreciation to Mr. C. Merwin Grayson, Jr., who served as Regent of Northern Kentucky University from 1982 to 1987, including one year as Chairman of the Northern Kentucky University Board of Regents from 1986 to 1987. Mr. Grayson's tenure as a member of the Board of Regents represents five years of exemplary service to the University and is regarded with high distinction.

This resolution shall be publicly presented and be spread upon the minutes of the Board of Regents of Northern Kentucky University on January 28, 1987.

Motion was seconded by Mr. Duncan and was passed by unanimous vote.

President Boothe read a letter from Mr. Grayson pledging his continuing support to the University. (Letter attached to these minutes.)

Condolences were extended to Mr. Duncan in the recent death of his Mother by Chairman Verst. President Boothe welcomed Mr. Taliaferro to the Board and also congratulated Mr. Duncan on his reappointment.

The following introductions were made by the President:
Mr. Robert Bolce as Superintendent of Mechanical Systems.
New officers of Staff Congress - Don McKenzie, President; Diane Hunley, Vice President; and Kathy Lyons, Secretary/Treasurer.

PRESIDENTIAL REPORTS:

A-1 Grants and Contracts quarterly was presented by the President, who pointed out that while there was a slight decrease in funds requested the last quarter, there is a 21% increase in funds requested over the past six months. Proposals submitted has increased nearly 46% over the same period a year ago indicating the faculty's interest in securing grants. — Grants and Contracts

A-2 President Boothe highlighted the written Campus Planning Report for the Regents. He pointed out that some of our major leakage problems are well on the way to being solved. — Campus Planning

A-3 In order to encourage professional growth through financial support for independent research, the President reported that fourteen faculty members would receive Faculty Project Grants for next year. (List attached.) — Faculty Project Grants

A-4 Fourteen faculty members have received 1987 Summer Fellowship Awards to enhance their professional development, according to the President. (List is attached to these minutes.) — Summer Scholarships

A-5 The quarterly financial report was presented by the President, who noted that revenues and expenditures are on target. He also noted for the Regents the fact that investments made locally are producing more income than those funds lodged in Frankfort. — Financial Report

A-6 President Boothe reported on how the University would face the state reduction of the budget through non-recurring dollars and not reducing personnel. The complete report is attached to these minutes. The President further stated that he was disappointed that higher education had to suffer cuts since education was such a high priority during the last legislative session. — Budget Reductio

A-7 The President reported that an apportunity became available to secure some professional liability insurance. This is being explored with cost unknown. Continued efforts are being made through NACUBO to secure a broader, more inclusive form of insurance. Mr. Froelicher asked if the $80,000 being used for revenue shortfalls would affect getting insurance coverage, and the President said it would not affect insurance we get. — Liability Insurance

PRESIDENTIAL RECOMMENDATIONS:

B-1 The following academic personnel actions were recommended to the Board:

(a)
- Resignations: David S. Leong, effective December 27, 1986.
  Paula Raines, effective December 1, 1986.
  Vincent Schulte from Chairperson to Associate Professor effective 1/1/87.
- Retirement: N. Edd Miller, Chairperson of Communication, effective July 31, 1987.
- Unpaid Leave: James Hunter, unpaid leave, without benefits, from January 1, 1987 to December 31, 1987.

Academic Personnel Actions

B-1 (continued)

Administrative Appointments - William Oliver, Acting Chairperson of the Physical Sciences Department, 1/1/87 through 5/15/87.

Valerie Zummo, Assistant Dean for Admissions and Placement, 1/1/87
Paul Ellis, Lecturer
Jeanne Henry, Lecturer
Judith Taylor, Lecturer
Mary Ann Weiss, Lecturer
Frances Zaniello, Lecturer

(b) The following faculty have been recommended to receive sabbatical leaves:

Judith Bechtel	Patricia Dolan	James Niewahner	Sabbatical Leaves
Rachelle Bruno	Charles Frank	Adalberto Pinelo	
Tom Cate	Carolyn Hagner	Peter Schiff	
Warren Corbin	William Holloway	Howard Storm	
Daniel Curtin	Sally Jacobsen	Rebecca Sturm	
R. Kent Curtis	Gene Krauss	Barbara Thiel	
William Dickens	Robert Lilly	John Westlund	
Frank Fietrich	William McKim	Tom Zaniello	

Mr. Duncan moved adoption of the recommendations. The motion, seconded by Mr. Lucas, received unanimous approval.

B-2 Non-academic personnel actions were presented to the Board by the President, who stated that over 25% of the terminations were due to better paying positions.

Non-academic Personnel

The President recognized Mr. C.M. Tabor, who is retiring, for his enthusiasm and loyalty to the University during his tenure. Introduced was the new Director of Personnel, Mr. Garrison Hickman.

Dr. Booth moved the adoption of the recommendation. The motion, seconded by Mr. Haas, received unanimous approval.

B-3 President Boothe visually highlighted the proposed Facilities Master Plan and asked for its approval. Each Regent also received a comprehensive report of the plan. Mr. Haas noted that it was a very ambitious and a realistic one. Mr. Froelicher moved for approval. The motion was seconded by Mr. Haas and was passed by unanimous vote.

Facilities Master Plan approved

The President introduced Mr. Raymond B. Hayes, Associate Director of the Department for Facilities Management, Commonwealth of Kentucky. Mr. Hayes commended all those who worked so diligently on the plan. He felt that Northern regents, faculty, staff, and students have a very positive and upbeat attitude and is delighted to see the mast plan updated on a reasonably good schedule.

Also introduced was Mr. Harley Fisk, architect, who said he was proud to be associated with the University over the past year and asked that the updated Master Plan not be put on a shelf.

B-4 President Boothe submitted tuition rates for 1987-88 as mandated by the Council on Higher Education and which must be approved by the Regents. (Rates and comparisons attached to these minutes.) Mr. Lucas moved that the tuition rates be accepted as presented by the Council. The motion, seconded by Mr. Duncan, was passed by unanimous vote.

1987-88 Tuition Rates

B-5 The President recommended that the Board of Regents approve the schedule of class fees and other charges to become effective with the Fall, 1987 semester. (Schedule attached to these minutes.) The President pointed out a few areas where fees have changed. Dr. Booth moved the adoption of the fee schedule. The motion was seconded by Dr. Wallace and was passed unanimously.

B-6 President Boothe presented the following resolution for approval:

Resolution on Roadways

RESOLVED: That the Northern Kentucky University Board of Regents on behalf of the Northern Kentucky University community, on this date, January 28, 1987, hereby wishes to formally express its appreciation to Governor Martha Layne Collins, whose gracious assistance and support resulted in the reali-ation of new campus roadways providing much needed accessibility to Northern Ketnucky University's Highland Heights campus and the University Foundation's Research and Technology Park.

A motion by Mr. Duncan and second by Mr. Haas received unanimous approval.

B-7 The President recommended that the Board of Regents adopt a resolution relating to the issuance of Housing System Revenue Bonds to prepay the Series B outstanding issue.

Resolution on Housing Bonds

President Boothe explained that while the Federal government is currently offering a 54% discount as an incentive to reduce the size of such loans, all information is not available to see if the offer is attractive. The President asked for the resolution to be approved in case it was found this was a sound financial move as a decision needs to be made by February 28. Such a resolution now would eliminate a special meeting.

Dr. Booth made a motion to adopt the resolution. The motion, seconded by Dr. Wallace, received unanimous approval.

The next meeting date is Wednesday, April 29, 1987 at 4 p.m. Future meeting dates are July 29, 1987 and October 28, 1987.

The meeting was adjourned at 5 p.m. on motion by Mr. Haas and second by Mr. Duncan.

E.J. Haas, Jr. Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on January 28, 1987 and that such matters are still in force and effect.

Dated this 19th day of Feb, 1987.

E.J. Haas, Jr. Secretary

REVISED AGENDA - April 29, 1987

CALL TO ORDER AND INVOCATION

WELCOME AND ROLL CALL

MINUTES OF PREVIOUS MEETING - January 28, 1987

A. PRESIDENTIAL REPORTS

1. Grants and Contract Quarterly Report
2. Campus Planning Report
3. BS/BA/MBA Program Report
4. Spring Enrollment Report
5. Pending Bond Activity
6. External Auditor's Contract
7. Quarterly Financial Report
8. Information Item - AAUP Letter
9. Report on Budget Reduction Reserve

B. PRESIDENTIAL RECOMMENDATIONS

1. Academic Personnel Actions
   a. Promotion, Tenure, Continued Probation
   b. Personnel Changes
   c. Emeritus Status
2. Non-academic Personnel Actions
3. Faculty Handbook - Cancellation or Postponement of Courses
4. Departmental Title - Department of Technology
5. Academic Program - Bachelor of Science in Finance
6. New Academic Minors
   a. Afro-American Studies
   b. Military Science
7. Certificate Program - Radiologic Technology
8. Academy of Criminal Justice Sciences Lease Agreement
9. Interfaith Center Lease Agreement
10. Role of the Campus Ministers
11. Undergraduate Admissions Policy Changes
12. Resolution Relating to Housing System Revenue Bonds (to be distributed at meeting)
13. Unrelated Business Income Policy
14. Bad Debt Write-offs
15. 1986-87 Budget Revision
16. 1987-88 Operating Budget
17. Academic Program - Bachelor of Science in Engineering Technology and Minor in Applied Technical Sciences
18. Faculty Senate Constitution Amendment
19. University Mission Statement Clarification
20.

C. OTHER BUSINESS

1. Meeting Schedule - July 29, 1987
   October 28, 1987
2.

ADJOURNMENT

BOARD OF REGENTS MEETING
Wednesday, April 29, 1987

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, April 29, 1987 by Chairman Verst. The invocation was given by Dr. Tesseneer.

ROLL CALL: Regents present - Dr. Herbert Booth, Mr. John Brooking, Mr. David Duncan, Mr.Duane Froelicher, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. Richard Spears, Mr. Philip Taliaferro, Mr. William Verst, Dr. Lew Wallace.

Others present: President Leon Boothe, Dr. Gene Scholes, Dr. Lyle Gray, Dr. Ralph Tesseneer, Ms. Cynthia Dickens, Mr. Dennis Taulbee, Mr. Robert Knauf, Ms. Sheila Bell, Dr. Phyllis Scholtys, Mr. Mike Baker, Ms. Joyce Maegley, Mr. Dave Beasley of the Kentucky Enquirer, Mr. Dan Alford, Dr. Vinay Kumar, Dr. Tom Barone, Dr. Rachell Bruno, Ms. Angela Schaffer, Ms. Donna Carnohan, Ms. Mary Ellen Elsbrend, Ms. Rebecca Sturm, Mr. Richard Nielson, Mr. John Sebree, Dr. Gary Scott, Dr. Tom Harden, Ms. Becky Myers, Ms. Terri Malone, Ms. Imogene Addison, Dr. Linda Dolive, Mr. Bill Russell, Mr. Jerry Legere, Mr. Bob Sprague Dr. Linda Olasov- Faculty Senate, Dr. Robert Bussom, Ms. Carol Futhey, Mr. John Cahill, Ms. Nancy Martin, Mr. John DeMarcus, Ms. Elizabeth Soto, Dr. Dennis O'Keefe, Ms. Maryanne Zeleznik of WNKU, Ms. Sally Jacobsen, Mr. Ches Arthur, Mr. Ron Ellis, Mr. Rick Meyers, Mr. Don McKenzie and Ms. Diane Hunley of Staff Congress, Mr. Dan Drake, Mr. Henry Stephens, Dr. George Goedel, Mr. Mike Hickman, Mr. Ken Ramey, and Ms. Connie Widmer.

The minutes of the previous quarterly meeting, January 28, 1987 were approved on motion by Mr. Haas and seconded by Dr. Booth.

PRESIDENTIAL REPORTS:

A-1	The President reported that the Grants and Contract report looks good as he noted that total funds approved has increase 45.6% over the same period a year ago.	Grants and Contracts
A-2	The Campus Planning report was highlighted by the President.	Campus Planning
A-3	The report on the BS/BA/MBA program was presented by the President who said he felt the new packaging of current degree programs should prove attractive to students and should help increase enrollment plus benefiting the student.	BS/BA/MBA Program
A-4	The Spring enrollment report indicates an increase of 1% for headcount as well as FTE students. The President further reported that statistics show 53% of the students are women and 47% are men which is a normal median.	Spring Enrollment
A-5	The President reported on pending bond activity stating that the issuance of Series D Bonds for the A S & T Building was held up by the State until ground was broken. Now this issuance is being accelerated as a result of market conditions. It was reported that Series A and Series C Bonds might be refinanced, but the State recommended against immediate action because of issuance cost. The financing of Series B was discussed later in the meeting.	Pending Bond Activity
A-6	According to the President, the external auditor's contract with Rankin and Rankin is being renewed for another year in compliance with the bid award. Contract will have to rebid next year.	External Auditor
A-7	The quarterly financial report is very consistent in terms of revenues and expenditures, according to the President.	Financial Report

A-8 The President, in presenting the report on AAUP, commented that this is the first formal request from the AAUP for recognition as the collective bargaining agent for the faculty. Dr. Boothe further stated that because of concerns raised in the letter to Dr. Gray from the AAUP, he is in the process of forming a committee within the University to deal with the issues being raised by the AAUP. AAUP

Mr. Brooking moved that the Board reaffirm its action taken on May 14, 1986 to decline the request to engage in collective bargaining. The motion was seconded by Mr. Lucas.

Dr. Wallace reminded the Board that issues raised will not cease and that he felt the Board ought to hear what the AAUP had to say. The Board members gave approval for a member of the AAUP to address the Board.

Dr. Dennis O'Keefe spoke for the group stating that he had been a member of AAUP for 15 years and now chairs the committee for academic freedom. He spoke of major concerns of the faculty but most frequently about the salary limitations and more pointedly, how increases are handled. He said that faculty morale would improve if the university were governed more democratically, with the faculty having some say in goverance of the University. He felt that faculty morale could definitely harm faculty/student relationships. Further, he said that faculty ideas and suggestions had been ignored by the administration. He closed by stating he was asking the Regents to reconsider its position and take another look at collective bargaining.

President Boothe commented that he does not see collective bargaining getting us any more money from the State. He realizes salary increases are low, but the University has only so much money provided by the State with which to work. He says he believes the administration has been open and has been willing to talk and discuss. He reaffirmed that he would have a faculty committee formed to look into the issues raised.

Mr. Duncan said he feels that a committee is a must to deal with these issues.

Mr. Lucas said that he felt collective bargaining would have people choosing sides and he hoped that the Regents are on the same aside as the faculty, staff, and administration. He said the largest complaint seems to be money and that the group should vent this energy and effort toward the Legislature to get more money for the University. The Legislature are the only people that can get us additional money.

Other discussion followed. Mr. Haas moved the question, seconded by Mr. Lucas.
Result - Aye - Dr. Booth, Mr. Brooking, Mr. Duncan, Mr. Haas, Mr. Lucas, Mr. Spears, Mr. Taliaferro.
Nay - Dr. Wallace Abstention by Mr. Froelicher.

Mr. Taliaferro spoke of a team effort to work with the Legislature. He moved that an NKU lobby team to aid NKU in all ways to seek money from the Legislature be appointed as follows:

2 - Board of Regents	2 - Faculty	2 - Staff
2 - Students	2 - Administrators	Ex-officio - President and Chairman

The motion was seconded by Mr. Froelicher and was passed by unanimous vote.

A-9 The President stated that the report on budget reductions was designed to keep the Regents up-to-date on developments in reductions which seem imminent. Budget Reduction

PRESIDENTIAL RECOMMENDATIONS:

B-1 (a) It was recommended by the President that the following faculty receive promotion, tenure, continued probation, and continued probation with difficulties as listed:

Promotion
Tenure
etc.

Promotion:

Robert Rhode - Associate Professor	Robert Vitz - Full Professor
Vinay Kumar - Full Professor	J.Michael Hunter - Associate Professor
Kathleen Verderber - Assoc. Professor	Donna Bennett - Full Professor
Nancy Firak - Associate Professor	John Valauri - Full Professor
Robert Mullen - Full Professor	Janet Simon - Associate Professor
Frances Mosser - Associate Professor	Barbara O'Brien - Associate Professor
Nancy Campbell - Associate Professor	Threasa Wesley - Associate Professor

Tenure:

Robert Rhode	Donald Galli	J. Michael Hunter
Glenellyn Barty	Linda Marquis	Kathleen Verderber
John Valauri	Janet Simon	Frances Mosser
June Jones	Barbara O'Brien	Nancy Campbell
		Threasa Wesley

Continued Probation:

James Luken	Ana England	David Dunevant	Jonathan Gresham
Joe Conger	Michael King	Luanne Buchanan	Katherine Kurk
David Lavery	Danielle Roemer	Donald Krug	Roger Blanchard
Dennis Sies	Michael Thomson	Angela Lipsitz	Phillip Obermiller
Margaret Myers	Carol Bredemeyer	Thomas Heard	Nancy Firak
Steven Brooks	Jim Friedman	Penelope Summers	David Thomson
Nancy Hinzman	Carrie McCoy	Rosemary Robertson	Barbara Fowler
Marilyn Glick	Denise Grosscup	Andrea Gauthier	Perry Bratcher
Allen Ellis	Jennifer Smith	Kathleen Richardson	Laura Sullivan
			Emily Werrell

Continued Probation with Difficulties:

Cynthia Cukla	Rebecca Britton	Herb Caldwell	Chris Alexander
Jan Prickett	John Crowe	Nan Littleton	Scott Quimby
Charlotte Ebersole		Paul Cooper	William Recker

Motion was made by Mr. Spears to accept the recommendation, seconded by Dr. Booth, and passed by unanimous vote.

B-1 (b) The President recommended the following personnel actions:

Early Retirements: Louis Thomas, Ray Waggoner, and Doris Brett

Resignations: Linda Dolive from Associate Provost to Professor of Political Science
Alice Rini from Chairperson to Associate Professor of Nursing
Carl Slater from Chairperson to Professor of Physical Sciences
Connie Widmer from Assistant Chairperson to Professor of Education
William Gibson from Lecturer, Department of Technology

Leaves: Rosetta Mauldin - Fall Semester, full salary and benefits
Martin Marger - 1987-88 Academic year, Unpaid leave without benefits
Glen Mazis - Unpaid leave, without benefits - 8/15/87 - 8/15/88
Elizabeth McMillian-McCartney - Unpaid leave with benefits - 8/15/87-8/15/88
Alice Rini - Fall Semester, 1987 - Full Salary with benefits
Ronald Singer - Unpaid leave with benefits - 8/15/87-8/15/88
Carl Slater - Full Salary with benefits - 1/5/87 - 6/30/87

B-1 (con.) Administrative Appointments/New Faculty:

Ljubomir Nacev - Associate Professor of Law - 8/15/87
Linda Olasov - Assistant Chairperson/Director of Graduate Studies, 7/1/87
J. Gaut Ragsdale - Assistant Professor of Speech - 8/15/87
Katherine Rosenthal - Director of Radiologic Technology - 3/1/87
Addison Walker Reed - Professor of Music and Chairperson of the Music Department - 7/1/87
W. Michael Ryan - Chairperson of History and Geography - 1/1/87
Patricia Murray - Adjunct Faculty in Education 7/1/87 - 6/30/88

Motion by Mr. Haas and second by Dr. Wallace received unanimous approval

B-1 (c) President Boothe recommended that the following individuals receive Emeritus status:

N. Edd Miller, Ray Waggoner, Louis Thomas, and Doris Brett

Mr. Lucas moved adoption of the recommendation. The motion, seconded by Mr. Froelicher, was passed by unanimous vote.

B-2 The President recommended that the non-academic personnel actions be approved as attached to these minutes. Included is the action for Rick Meyers to Director of the New Bureau. Mr. Duncan made motion to approve actions. The motion was seconded by Dr. Booth and was passed by unanimous vote.

Non-Academic Personnel

EXECUTIVE SESSION:

Mr. Haas moved that the Board of Regents go into executive session to discuss a personnel matter. The meeting recessed at 5:20 p.m. and reconvened at 6:40.

Dr. Booth chaired a committee for presidential review and as a result, recommended to the Board that the four-year contract of President Boothe's be extended another year with a 4% salary increase for 1987-88. The motion was seconded by Mr. Duncan and received unanimous approval.

President's Contract Renewed

B-3 The President recommended a change in the Faculty Policies and Procedures Handbook regarding cancellation or postponement of courses and attached to these minutes. Mr. Haas moved approval, seconded by Dr. Booth, and passed by unanimous vote.

Revision Faculty Handbook

B-4 It was recommended by the President that the current Department of Technology and Occupational Education be renamed the Department of Technology. The recommendation received unanimous approval on motion by Mr. Duncan and second by Dr. Wallace.

Department of Technology

B-5 President Boothe recommended that a proposed new degree of Bachelor of Science in Finance as outlined and attached to these minutes be approved. Mr. Brooking moved approval, seconded by Mr. Spears, and passed by unanimous vote. (Effective Fall Semester, 1988).

B.S. in Finance

B-6 (a) The President recommended a proposed new minor in Afro-American Studies to become effective the Fall Semester, 1987 as outlined and attached to these minutes. Dr. Wallace moved approval, seconded by Mr. Froelicher, and passed by unanimous vote.

Minor in Afro-American Studies

(b) It was recommended by the President that a proposed new minor in Military Science to become effective with the Fall Semester, 1987 be approved as outlined and attached to these minutes. Mr. Duncan moved approval. The motion, seconded by Dr. Booth, was passed by unanimous vote.

Minor in Military Science

B-7 In presenting the recommendation proposing a new program for a Limited Radiation Operator Certificate (oulined and attached), the President said that this should be an effective program to meet the needs of the State and the community. Dr. Booth made motion to accept the proposal. The motion, seconded by Mr. Brooking, was passed by unanimous vote. Certificate Program for Radiologic Technology

B-8 The President recommended that the Board of Regents approve a lease agreement with the Academy of Criminal Justice Sciences for the purpose of locating their national association headquarters on the Highland Heights campus of Northern Kentucky University. President Boothe stated that this was a very prestigious association to have on our campus. The recommendation received unanimous approval on motion by Mr. Haas and second by Mr. Taliaferro. Academy of Criminal Justice Lease

B-9 It was recommended by the President that the Board of Regents approve a lease agreement with the Northern Kentucky University Interfaith Association for the purpose of construction of an Interfaith Center facility on the campus of NKU. Finalization of the lease will be contingent upon the approval of the Council on Higher Education and the Finance and Administration Cabinet. President Boothe stated that the center would be similar to the one at the University of Louisville and that approval was needed by the Regents in order for fund raising to begin through the various denominations. The recommendation received unanimous approval on motion by Mr. Duncan and second by Dr.Booth. Interfaith Center Lease

B-10 In presenting the recommendation on the policy of the Role of Campus Ministers, the President stated that this policy is to clarify the role and in order to have procedures in place when a minister is recommended. Father John Cahill of the Newman Center was introduced. Mr. Duncan moved approval, seconded by Dr. Booth, and passed by unanimous vote. Role of Campus Minister

B-11 President Booth stated that a one year interim policy on undergraduate admissions was adopted last year. There has been campus-wide review and a permanent policy is now being presented for adoption. Policy is attached to these minutes. Dr. Wallace moved approval, seconded by Mr. Duncan, and passed by unanimous vote. Policy on Undergraduate Admissions

B-12 The President presented a resolution regarding the issuance of refunding bonds to prepay the University's Series B Housing Bonds. Complete resolution is attached to these minutes. President Boothe further noted that a savings of nearly $80,000 will be realized by repaying the government under its discounted prepayment program. All of the state Universities are participating in the program. Housing Bond Resolution (prepayment)

Mr. Brooking moved that the resolution receive approval. The motion, seconded by Mr. Haas, was passed by unanimous vote.

B-13 A policy statement relating to income generated from business activities was presented by the President and is attached to these minutes. Mr. Brooking moved approval, seconded by Dr. Booth, and passed by unanimous vote. Unrelated Business Income

B-14 A recommendation authorizing the controller to write off bad debts was presented by the President, who stated that such debts have been deemed uncollectable by the collection agencies. Bad Debt Write-Off

Student Receivables - $1,465.54 Loan Fund Receivables - $464.28

The recommendation received unanimous approval on motion by Mr. Brooking and second by Mr. Spears.

B-15 It was recommended by the President that the 1986-87 operating budget be amended to reflect the State appropriation budget reduction of $375,000, investment earning reductions of $80,000, auxiliary enterprise revenue increases of $52,414, program fee increases of $5,230, Unbudgeted health insurance and other reimbursement of $109,970 and other revenue increases of $7,178. 1986-87 Budget Revision

The recommendation received unanimous approval on motion by Mr. Froelicher and second by Dr. Booth.

B-16 The President recommended that the 1978-88 unrestricted current fund operating budget of $38,750,000, including a special budget reduction reserve of $575,000 be approved. Budget documents attached to these documents.

The budget had been shared university-wide, according to the President. The budget was drawn up in such a way that University personnel would not have to be laid off in case of a budget reduction, based on current projections. There are no expansion dollars whatsoever and the University is strapped continually for things that ought to be done. Until the State comes up with more funds, we will remain in the same situation. 1987-88 Operating Budget

Mr. Haas moved that the budget be approved as presented. The motion was seconded by Mr. Duncan and was passed by unanimous vote.

B-17 It was recommended that a proposed new degree of Bachelor of Science in Engineering Technology and a new minor in Applied Technical Sciences (as outlined and attached to these minutes) be approved. President Boothe said the program would provide students new opportunities. The business community has wanted this type of program and have pledged their support to help with the program. The program would become effective with the Fall semester, 1988. The President commended Dr. Thomas Harden and Dr. Gary Scott for their fine work in outlining the program. B.S. Engineering Technology; Minor Applied Technical Sciences

The motion received unanimous approval on motion by Mr. Brooking and second by Mr. Duncan.

B-18 A revision to the Faculty Senate Constitution (attached to these minutes) was presented by the President for approval. Mr. Duncan moved that the recommendation be approved. The motion, seconded by Dr. Wallace, received unanimous approval. Faculty Senate Constitution Revision

B-19 It was recommended by the President that the University Mission Statement Clarification be approved in support of the Southern Association Accreditation. (Statement attached to these minutes). Mr. Duncan moved approval, seconded by Dr. Booth, and passed unanimously. University Mission Statement Clarification

Mr. Haas presented the following resolution for Mr. Froelicher and moved its approval.

> RESOLVED: That the Board of Regents extend its thanks and sincere appreciation to Mr. Duane Froelicher who served as Student Regent of Northern Kentucky University during the 1986-87 school year. Mr. Froelicher will be remembered for his concerted effort to see that Northern Kentucky University provides the best higher educational opportunity for every student therein.
>
> This resolution shall be publicly presented and be spread upon the minutes of the Board of Regents of Northern Kentucky University on April 29, 1987.

The motion was seconded by Mr. Taliaferro. Mr. Verst thanked Duane for his help to the Board while Student Regent and wished him well for the future. The motion passed by unanimous vote.

The President announced that Mrs. Thelen had submitted her resignation as Assistant Secretary to the Board. She has served for 17 years. Mrs. Thelen appreciated the kind words as it has been a pleasure and a privilege to serve the University in this manner.

The next quarterly meeting is scheduled for July 29, 1987.

The meeting adjourned on motion by Mr. Taliaferro and second by Mr. Haas at 7:05 p.m.

E.J. Haas, Jr. Secretary

Dolores Thelen, Assistant Secretary

CERTIFICATION

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on April 29, 1987 and that such matters are still in force and effect.

E.J. Haas, Jr. Secretary

Dated this 12th day of May, 1987.

Agenda
Northern Kentucky University
Wednesday, July 29, 1987 - 4 p.m.
Regents Board Room - Administrative Center

Call To Order and Invocation

Installation of New Regent - Mr. John Sebree, Student Regent

Roll Call and Welcome

Minutes of Previous Meeting - Quarterly Meeting of April 29, 1987

Election of Officers - Chair
Vice Chair
Secretary/Treasurer
Assistant Secretary

A. Presidential Reports
1. Grants and Contracts Quarterly
2. Campus Planning Report
3. Management Information Report (to be distributed at the meeting)
4. Prepayment of Series B Housing Bonds
5. Quarterly Financial Report
6. External Audit of Intercollegiate Athletics
7. Professional Liability Insurance
8.
9.

B. Presidential Recommendations
1. Academic Personnel Actions
2. a. Non-Academic Personnel Actions
b. Administrative Appointments
3. Administrative Position Descriptions (to be distributed at the meeting)
4. University Organization Chart (to be distributed at the meeting)
5. Proposed Minor in Physical Education
6. Bond Resolutions (to be mailed separately)
7. Acquisition of 307 Johns Hill Road Property
8. 1988-90 Capital Budget
9. Revision to 1986-87 Operating Budget
10. Budget Reduction Reserve
11.
12.

C. Other Business

1. Quarterly Meeting Dates
October 28, 1987
January 27, 1988
April 27, 1988
July 27, 1988

2.

Adjournment

Board of Regents Meeting
Wednesday, July 29, 1987

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4 p.m. on Wednesday, July 29, 1987, by Chairman Verst. The invocation was given by Vice President Cynthia Dickens.

The oath of office was taken by John Sebree whose term as Student Regent expires July, 1988.

Roll Call: Regents present - Dr. Herbert Booth, Mr. John Brooking, Mr. David Duncan, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. John Sebree, Mr. Richard Spears, Mr. Philip Taliaferro, Mr. William Verst, and Dr. Lew Wallace.

Others present - President Leon E. Boothe, Darryl G. Poole, Gene W. Scholes, Sheila Trice Bell, Cynthia Dickens, Dennis L. Taulbee, Imogene Addison, James L. Alford, C. A. Arthur, Mike Baker, Dave Beasley of the Kentucky Enquirer, Vicki Bradshaw, Chris Christensen, Bill Dickens, Parker W. Eads, Gary Graff, John P. Johnson, Mary E. Lassiter, Jerry Legere, Joyce B. Maegley, Terri Malone, Don McKenzie, Becky Myers, R. C. Nall, Sandra L. Nall, Linda Olasov, Addison W. Reed, Bill Russell, Mary Paula Schuh, Tim Serey, Phyllis Sholtys, Carl Slater, Rosemary Stauss, Dolores Thelen, Linda P. Wright.

The minutes of the previous quarterly meeting, April 29, 1987, were approved on motion by Mr. Haas and seconded by Regent Duncan.

Election of Officers:

Regent Lucas moved the following slate of officers for the 1987-88 Board of Regents: Chairman, William Verst; Vice Chair, Herbert Booth; Secretary/ Treasurer, Elmer Haas, Jr.; Assistant Secretary Carla Chance. Seconded by Regent Duncan. Regent Haas moved that nominations cease, seconded by Regent Taliaferro. Motion to elect passed unanimously.

Resolution: Regent Haas read the following resolution into the minutes of the meeting:

> Resolved: That the Board of Regents extend its thanks and sincere appreciation to Mrs. Dolores Thelen for her loyal and efficient performance as assistant secretary to the Board. Mrs. Thelen will be remembered for her concerted effort over the past seventeen years to record the activities of the Board and to assist all of its members in their determination to provide the best higher educational opportunity for every student.

Following a second from Regent Booth, the motion passed unanimously.

Presidential Reports:

A-1	President Boothe indicated that the Report on Grant and Contract Activity was positive showing an increase in funds approved for the 1986-1987 fiscal year as well as an increase in the total number of proposals approved for fiscal year 1986-1987.	Grant/Contract Repor
A-2	The Campus Planning Report was highlighted by the President. Ongoing design and programming projects include the Applied Science and Technology Center which has an anticipated groundbreaking date of early November.	Campus Planning
A-3	The President distributed the 1987 Management Information Report which contains a statistical profile of the University.	1987 MIR
A-4	President Boothe reported that the prepayment of Series B Housing Bonds resulted in a one-time savings to the University of approximately $26,000.	Series B Housing Bo
A-5	The Quarterly Financial Report demonstrated the consistent receipt and expenditure of University funds.	Quarterly Financial
A-6	President Boothe reported that a NCAA mandated financial audit had been conducted for the University's athletic programs and that suggested recommendations would become a part of the internal accounting controls.	NCAA Audit
A-7	Plans to purchase professional liability insurance were presented. President Boothe reported that the coverage would be a school board type directors and officers liability policy.	Liability Insurance
A-8	President Boothe reported a 4.3 percent enrollment increase for summer sessions.	Summer Enrollment
A-9	It was reported that Regent Ken Lucas and Regent Philip Taliaferro were appointed to the University Lobby Committee.	Lobby Committee

Presidential Recommendations:

B-1	President Boothe recommended that the following academic personnel actions be approved:	Academic Personnel

Resignations:

Luanne Buchanan, Assistant Professor of the Literature and Language Department
James F. Fouche', Associate Professor of the Education Department
Lyle A. Gray, Provost and Professor of Sociology
Gail A. Loeser, Lecturer of the Mathematical Sciences Department
Janet Simon, Associate Professor of the Education Department
Edith E. Tan, Assistant Professor of the Mathematical Sciences Department

Leaves:

Phillip J. Obermiller, 1987-88 academic year, unpaid leave, with benefits

Richard O'Brien, August 1987-July 1988, unpaid leave, without benefits

Elinor Welt, August 15, 1987-May 15, 1988, unpaid leave, with benefits

Administrative Appointments/New Faculty:

Chris Christensen, Chairperson of the Mathematical Sciences Department, July 1, 1987

Joseph H. Conger, III, Acting Chairperson of the Theatre Department for the 1987-88 fiscal year

Ulrike Dieterle, Assistant Professor of Library Services of the Chase College of Law Library

William D. File, Associate Professor of the Theatre Department, August 15, 1987

Martin G. Giesbrecht, Professor and Chair of the Economics and Finance Department, July 15, 1987

George D. Goedel, Chairperson of the Psychology Department, July 1, 1987

Jon M. Hastings, Assistant Professor of the Biological Science Department, August 15, 1987

John P. Johnson, Dean of the College of Professional Studies, July 1, 1987

Kenneth Jones, Assistant Professor of the Social Sciences Department, effective August 15, 1987

Donald Kelm, Acting Chairperson of the Art Department for the 1987-88 fiscal year

Peter Minder, Assistant Professor of Journalism in the Communications Department, effective August 15, 1987

Banwari L. Mittal, Assistant Professor of Marketing of the Marketing and Management Department, effective August 15, 1987

J. Michael Mueller, Legal Writing Instructor of the Chase College of Law, effective August 15, 1987

William R. Oliver, Acting Chairperson of the Physical Sciences Department for the 1987-88 fiscal year

Darryl G. Poole, Acting Provost, effective for the 1987-88 fiscal year

Vijay V. Raghavan, Assistant Professor in the Associate Degree Program of the Nursing Department, effective August 15, 1987

Beverly Reno, Assistant Professor in the Associate Degree Program of the Nursing Department, effective August 15, 1987

Timothy T. Serey, Associate Dean for the College of Business, effective July 1, 1987

Carl D. Slater, Acting Dean of the College of Arts and Sciences for the 1987-88 fiscal year

Glenda Slater, Lecturer and Director of Speech Lab of the Communications Department, effective August 15, 1987

Rosemary Stauss, Associate Provost in the Office of the Provost, effective July 1, 1987

Iris Tiedt, Professor and Chairperson of the Education Department, effective August 1, 1987

Robert C. Trundle, Jr., Assistant Professor of the Social Sciences Department, effective August 15, 1987

Michael Turney, Professor and Chairperson of the Communications Department, effective July 1, 1987

Other Appointments:
Donald Hunter, Adjunct Professor in the Department of Education from July 1, 1987 through December 31, 1987
Linda Wildey, Adjunct Instructor of the Department of Nursing, effective for the 1987-88 academic year.

Regent Herbert Booth moved that the recommendation be accepted, seconded by Mr. Haas, and motion passed unanimously.

B-2-a President Boothe recommended that the non-academic personnel actions for the period April 1, 1987 through June 30, 1987, be approved. Upon a motion by Regent Lucas, second by Regent Booth, the motion passed unanimously. Non-academ Personnel

B-2-b President Boothe recommended that the following administrative actions be approved: Admin. Appts.

Appointments

Albert Burton, Minority Student Coordinator, July 20, 1987
Carla S. Chance, from Executive Secretary to Assistant to the Vice President, July 1, 1987
William Cox, Assistant Director of Financial Aid, May 11, 1987
Mary Paula Schuh, Director of Campus Planning, classified as administrative, July 1, 1987

Regent Haas moved that the recommendation be accepted, seconded by Regent Spears,the motion passed unanimously.

B-3 Updated and revised position descriptions for the University's central administrators were recommended to the Board. Upon a motion by Regent Haas, seconded by Regent Spears, the motion to accept passed unanimously. Central S Position Descripti

B-4 President Boothe recommended that a more detailed organizational chart be approved for the University. Regent Booth moved the chart be approved, seconded by Regent Duncan, the motion passed unanimously. Organizati Chart

B-5 President Boothe recommended that effective with the 1987 Fall Semester, a new non-teaching major in Recreation/Fitness be added to the curriculum along with a Physical Education minor. Upon a motion by Regent Duncan, seconded by Regent Booth, the motion passed unanimously. Fitness Major

B-6-a President Boothe presented a resolution authorizing the issuance of Housing System Revenue Refunding Bonds, Series A, in an amount not to exceed $1.5 million. The issuance of refunding bonds would result in a current net present value savings of $88,000. Upon a motion by Regent Lucas that the resolution be approved, seconded by Regent Sebree, the motion passed unanimously. Refunding Bonds

B-6-b President Boothe presented two resolutions authorizing the issuance of Northern Kentucky University Consolidated Educational Buildings Revenue Bonds, Series D and E. A total issuance of $11,050,000 is authorized to fund the construction of the Applied Science and Technology Center and pay the costs of issuance. The proposed resolutions authorized the Board Chairman to appoint an ad hoc Bond Sale Committee. Regent Taliaferro moved that the resolutions be approved, seconded by Regent Spears, the motion passed unanimously. The ad hoc Bond Sale Committee appointed by Chairman Verst consisted of Regent Elmer Haas, Regent Ken Lucas, Regent John R. S. Brooking, and Chairman William Verst.

Con Ed Series D & Bonds

B-7 The proposed purchase of the house located at 307 Johns Hill Road was discussed with the Board at the April 29, 1987, meeting. President Boothe recommended authorization to purchase the house and property which joins the Highland Heights campus of the University. Motion made by Regent Duncan to purchase the property, second by Regent Booth, motion passed unanimously.

307 JHR Property

B-8 President Boothe presented the proposed 1988-90 Capital Budget Request which consisted of 25 projects totalling $30,334,498. This request primarily seeks the funding and implementation of Phase One of the Master Plan. Regent Haas moved the approval of the Request, second by Regent Brooking, motion passed unanimously.

1988-90 Capital Budget

B-9 It was recommended by President Boothe that the 1986-87 operating budget be amended upward by $84,279 to $35,137,977 to reflect budgeted revenue activity since April 29, 1987. Regent Duncan moved that the budget amendment be approved, seconded by Regent Booth, the motion passed unanimously.

1986-87 Operating Budget

B-10 It was recommended by President Boothe that the unrestricted current fund budget be adjusted by $897,400 to reflect a state-mandated reduction of 2 percent in the state appropriation for general operations ($380,500) and a return of unused debt service ($516,900) associated with the proposed new bond issue. Further, that a 1 percent reserve of $169,100 be maintained as a contingency against a future reduction as requested by Governor Collins. The 1987-88 annual operating budget created a reserve of $575,000 in anticipation of reductions in the state appropriation for general operations. The current reduction of $380,500 coupled with the 1 percent reserve at $169,100 are within the established budget reserve of $575,000. Regent Brooking moved that this recommendation be approved, seconded by Regent Spears,the motion passed unanimously.

Budget Reduction

B-11 President Boothe presented a resolution that the University Board of Regents declare 5.56 acres of land at the Highland Heights campus as surplus to the needs of the University and authorize the sale of the property for the purpose of creating a Small Business Incubator Program. This program would be operated by a subsidiary of the Northern Kentucky University Foundation, Inc., the Northern Kentucky Small Business Incubator, Inc. Regent Brooking moved the approval of the resolution, seconded by Regent Taliaferro,the motion passed unanimously.

Surplus Property 5.56 Acres

The next quarterly meeting is scheduled for Wednesday, October 21, 1987, at 4 p.m.

The meeting adjourned on a motion by Regent Booth, seconded by Regent Haas, at 4:50 p.m.

Elmer J. Haas, Jr., Secretary

Carla S. Chance

Carla S. Chance, Assistant Secretary

Certification

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on July 29, 1987, and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 8th day of October, 1987.

Agenda
Northern Kentucky University
Wednesday, October 21, 1987 - 4 p.m.
Regents Board Room - Administrative Center

Call To Order and Invocation

Roll Call and Welcome

Minutes of Previous Meeting - Quarterly Meeting of July 29, 1987

A. Presidential Reports
1. Grants and Contracts Quarterly Report
2. Campus Planning Report
3. Fall Enrollment Report (to be distributed at the meeting)
4. Quarterly Financial Report
5. President's Annual Report and Annual Financial Report
6. Professional Liability Insurance
7. University Banking Bid
8. Series D Bond Sale
9. Board of Regents Bylaws Revision (to be distributed at the meeting)
10.
11.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Non-academic Personnel Actions
3. Administrative Appointments
4. Academic Minor - K-4 Science Minor in Education
5. Revision of Pre-medical and Pre-dental Review Board
6. 1988-90 Biennial Operating Budget Recommendations
7.
8.

C. Other
1. Quarterly Meeting Dates
January 27, 1988
April 27, 1988
July 27, 1988

2.

Adjournment

Bond Sale Committee Meeting
Wednesday, October 21, 1987

The Bond Sale Committee of the Board of Regents of Northern Kentucky University on July 29, 1987, was called to order at 3:15 p.m. by Chairman Verst. Members of the Committee: John R. S. Brooking, Elmer J. Haas, Jr., Kenneth Lucas, and William G. Verst.

Chairman Verst reviewed the authority and purpose of the Ad Hoc Bond Sale Committee. The Committee was authorized at the July 29, 1987 meeting of the University Board of Regents and was empowered to review bids for the Series D Bond Issue and make a recommendation to the Board.

President Boothe introduced Fiscal Agent Ralph Nall, President of First Kentucky Securities Corporation. Mr. Nall addressed the financial conditions and constraints of the declining stock market and reported on the four bids received. Merrill Lynch Capital Markets was the low bidder at 8.89 percent. Mr. Nall recommended the acceptance of the bid.

Regent Haas inquired about the possible stabilization of the stock market within the next thirty days.

Regent Brooking moved for acceptance of the Merrill Lynch bid, seconded by Regent Lucas, the motion passed unanimously.

Regent Brooking moved for adjournment, seconded by Regent Haas, meeting adjourned at 3:20 p.m.

Elmer J. Haas, Jr., Secretary

Carla S. Chance, Assistant Secretary

Dated this 16th day of January, 1988.

Board of Regents Meeting
Wednesday, October 21, 1987

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, October 21, 1987, by Chairman Verst. The invocation was given by Bro. Harold Orndorff, Christian Youth Fellowship.

Roll Call: Regents Present - Mr. John Brooking, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. John Sebree, Mr. Philip Taliaferro, Mr. William Verst, and Dr. Lew Wallace.

Others Present - President Leon E. Boothe, Elizabeth M. Blincoe, Cynthia S. Dickens, Darryl G. Poole, Gene W. Scholes, Dennis L. Taulbee, Chester Arthur, Michael Baker, Sonda Cummins, John Dietz, Paul Gibson, Martin Giesbrecht, John Hart, John Johnson, Kathy Johnson, Kris Kinkade, Bill Lamb, Charles Lee, Jerry Legere, Joyce Maegley, Terri Malone, Nancy Martin, Sandra Nall, Ralph Nall, Nancy Pierce, Richard Rankin, Connie Remlinger, Julie Rumpke, Mary Ryan, Laralyn Sasaiin, Clifford Shisler, Phyllis Sholtys, Rosemary Stauss, Henry Stephens, Richard Tapke, Helen Willhem-Olsen.

The minutes of the previous quarterly meeting, July 29, 1987, were approved on motion by Mr. Haas and seconded by Regent Sebree.

Presidential Reports:

A-1	President Boothe summarized the Grants and Contracts quarterly report drawing special attention to the 15 percent in approved funds.	Grants/ Contracts
A-2	The Campus Planning Report contained information regarding the bid opening for the Applied Science and Technology Center, the completion of work on the Landrum Drainage Corrections, and the ongoing roof repair and replacement.	Campus Planning
A-3	The President highlighted the fall enrollment report. There is in increase in first-time freshmen as well as increased enrollment at the sophomore through senior levels reflecting a higher retention rate.	Fall Enrollment
A-4	The Quarterly Financial Report continues to demonstrate orderly progress with the institution's financial schedules.	Quarterly Financial
A-5	President Boothe presented the President's Annual Report and the Annual Financial Report of the Institution. The President's Report contained examples of the progress made by the institution during the past year. The Financial Report is evidence of the successful and satisfactory audit of the institution's financial records.	Annual Report

A-6 The University has purchased a $5 million professional liability insurance policy offered by United Educators Insurance Risk Retention Group, Inc. This policy includes coverage for discrimination, breach of contract, and tenure review. Liability Insurance

A-7 President Boothe reported that the University's Banking Services contract would be rebid within the next sixty days. Attachments to Report A-7 included the appropriate forms for members of the Board to provide notice of their relationship to financial institutions who would enter into the bid process. Banking Services

A-8 Report A-8 revised summarized the October 21, 1987, 3 p.m. meeting of the Bond Sale Committee and the low bid acceptance of Merrill Lynch at 8.894 for sale of Series D Bonds. Series D. Bond Sale

A-9 Proposed revisions to the Regents Bylaws were distributed. Revision of the Bylaws in anticipated at the next quarterly meeting of the Board. Regents Bylaws

Presidential Recommendations:

B-1 President Boothe recommended that the following academic personnel actions be approved: Acadmic Personnel Actions

Retirements:
Carolyn L. Rudorf, Assistant Professor of the Literature and Language Department

Resignations:
J. Michael Hunter, Assistant Professor of the Political Science Department

Promotions:
Dennis Sies, from Assistant Professor of the Political Science Department to Associate Professor

Appointments:
Andrea M. Trigg, Assistant Professor in the Radiologic Technology Department
Victor Y. Cabanas, Adjunct Professor in Medical Technology
Harry Woodson Carter, Adjunct Professor in Medical Technology
Karen M. Elgers, Adjunct Instructor in Medical Technology
Charles G. Massion, Adjunct Professor in Medical Technology
Mary Ellen O'Rorke-Rush, Adjunct Assistant Professor in Medical Technology
Helen D. Pater, Adjunct Instructor in Medical Technology

All of the above appointments are effective August 15, 1987.

Regent Ken Lucas moved that the recommendation be accepted, seconded by Mr. Haas, and motion passed unanimously.

B-2 President Boothe recommended that the non-academic personnel actions for the period July 1, 1987 through September 30, 1987, be approved. Upon a motion by Regent Sebree, seconded by Regent Haas, the motion passed unanimously. Non-Acad. Personnel

B-3 President Boothe recommended that the following administrative actions be approved: Admin. Actions

Disability Leave:
Sheila Trice Bell, University Legal Counsel, effective October 1, 1987

Temporary Appointment:
Elizabeth M. Blincoe, Attorney at Law, as a replacement for Ms. Bell, for the period October 1, 1987 through December 31, 1987

Regent Lucas moved that the administrative actions be approved, seconded by Regent Taliaferro, the motion passed unanimously.

B-4 A proposed K-4 Science Minor in Education which was mandated in part by changes in the state certification requirements was presented by President Boothe. Regent Lucas moved for approval, seconded by Regent Sebree, the motion passed unanimously. K-4 Science Minor

B-5 President Boothe recommended the restructuring of the Pre-Medical and Pre-Dental Review Board to increase the number of members on the committee. Upon a motion by Regent Haas, seconded by Regent Lucas, the motion passed unanimously. Pre-Med Pre-Dental

B-6 President Boothe recommended approval of the 1988-90 Biennial Operating Budget Request. The request for 1988-89 totalled $47,109,200 and the request for 1989-90 totalled $48,551,100. A significant aspect of the budget was the request for additional faculty positions for replacement of part-time faculty, new faculty for existing programs, and new faculty for new programs. Projected state shortfalls leave little room for an optimistic budget future. Upon a motion by Regent Haas, seconded by Regent Taliaferro, the motion passed unanimously. 1988-90 Biennial

B-7 It was recommended by President Boothe that room 535 of Nunn Hall be named the Nicholas Bauer Board Room in honor of Mr. Nicholas Bauer, a 1929 graduate of Salmon P. Chase College of Law. Upon a motion by Regent Brooking, seconded by Regent Lucas, the motion passed unanimously. Bauer Room

B-8 President Boothe reported that the Governor's Commission on Literacy is seeking to establish a northern Kentucky office and desires to use and office on the University College Campus. The President recommended that spaced be leased to the Commission. Upon a motion by Regent Haas, seconded by Regent Taliaferro, the motion passed unanimously. Literacy Lease

B-9 President Boothe recommended that the Board authorize the razing of the house located at 411 Johns Hills Road. The property has deteriorated and is no longer of value. Upon a motion by Regent Taliaferro, seconded by Regent Brooking, the motion passed unanimously. 411 JHR

President Boothe announced that the Kentucky Advocates for Higher Education were meeting on October 28.

The next quarterly meeting was scheduled for Wednesday, January 27, 1988, at 4 p.m.

The meeting adjourned on a motion by Regent Haas, seconded by Regent Sebree, at 4:45 p.m.

Elmer J. Haas, Jr., Secretary

Carla S. Chance, Assistant Secretary

Certification

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on October 21, 1987, and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 15th day of January, 1988.

Agenda
Northern Kentucky University
Wednesday, January 27, 1988 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Minutes of Previous Meeting - Bond Sale Committee Meeting of October 21, 1987
Quarterly Meeting of October 21, 1987

A. Presidential Reports

1. Grants and Contracts
2. Campus Planning
3. Quarterly Financial Report
4. External Audit Management Letter
5. Summary Report on the Tax Reform Act of 1986
6. Federal Programs Audit, 1986-87 (to be distributed at meeting)
7. 1988 Summer Fellowship Awards
8. 1988-89 Faculty Project Grants
9. Board of Regents Bylaws (to be discussed at meeting)
10.
11.

B. Presidential Recommendations

1. Academic Personnel Actions
2. Academic Promotion, Tenure, Terminal Reappointments
3. Sabbatical Leaves
4. Non-academic Personnel Actions
5. Administrative Appointments
6. Academic Department Names
   a. Department of Accountancy
   b. Department of Mathematics and Computer Science
7. Undergraduate Transfer Policy
8. Release of Student Information
9. Student Disciplinary Regulations
10. Banking Services Agreement
11. Disposition of Surplus Property
12. 1988-89 Tuition Schedule
13. 1988-89 Fee Schedule
14. Revision to 1987-88 Operating Budget
15.
16

C. Other

1. Quarterly Meeting Dates
   April 27, 1988
   July 27, 1988

2.

Adjournment

Board of Regents Meeting
Wednesday, January 27, 1988

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4 p.m. on Wednesday, January 27, 1988, by Chairman Verst. The invocation was given by Father John Cahill, Newman Center.

Roll Call: Regents Present - Dr. Herbert Booth, Mr. David Duncan, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. John Sebree, Mr. Philip Taliaferro, Mr. William Verst, and Dr. Lew Wallace.

Others present - Ms. Sheila Trice Bell, Ms. Cynthia S. Dickens, Mr. Robert Knauf, Dr. Darryl G. Poole, Dr. Gene W. Scholes, Ches Arthur, W. Michael Baker, Father John N. Cahill, Chris Christensen, Sonda Cummins, Gary Graff, Diane Hunley, John Johnson, Lisa Kramer, Bill Lamb, Jerry Legere, Joyce Maegly, Terri Malone, Linda M. Marquis, Nancy Martin, Don McKenzie, Nancy Pierce, Bill Russell, Mary Ryan, Mary Paula Schuh, Clifford Shisler, Phyllis Sholtys, Carl Slater, Rosemary Stauss, Henry Stephens, Helen Wilhelm-Olsen.

The minutes of the bond sale meeting and the previous quarterly meeting, October 21, 1987, were approved on motion by Mr. Haas and seconded by Regent Booth.

Presidential Reports:

A-1 President Boothe summarized the Grants and Contracts Quarterly Report highlighting the 29 percent increase in funds approved over same period of one year ago as well as the 13 percent increase in the number of proposals approved for the same period. — Grants & Contracts

A-2 President Boothe noted in the Campus Planning Report that several projects were reaching a stage of completion. Also, the ground-breaking ceremony for the Applied Science and Technology Center was held on Tuesday, January 26. The Center's completion date is scheduled for May 1989. — Campus Planning

A-3 The Quarterly Financial Report continued to demonstrate orderly progress on the institution's financial schedules. — Quarterly Financial

A-4 At the completion of the 1986-87 financial records audit, the firm of Rankin, Rankin & Company issued a management letter addressing improvements in accounting procedures and internal control measures. President Boothe reported that the suggestions and comments would serve as constructive guides to improve business practices. Mgmt. Letter

A-5 President Boothe reported on the summarization of the Tax Reform Act of 1986 Report which was prepared by Rankin, Rankin & Company. Areas highlighted in the summary included financial aid to students, Kentucky deferred compensation program, early retirement plans, fringe benefits, visiting professors, and individual retirement accounts. 1986 Tax Reform

A-6 The annual A-110 audit of federally funded programs including student financial aid programs was presented. The audit contained no adverse findings or recommendations. A-110 Audi

A-7 President Boothe reported on the 1988 summer fellowship awards which are designed to encourage faculty to engage in individual research, scholarly writing, and creative and artistic projects. Thirteen summer fellowships were awarded. Summer Fellows

A-8 President Boothe reported on the 1988-89 Faculty Project Grants which are designed to provide financial support for research equipment and activities beyond program operating budgets. Seventeen project grants were awarded. Project Grants

A-9 President Boothe reported on the continuing work related to the revision of the Board of Regent's Bylaws which were adopted in 1976. Chairman Verst appointed an ad hoc committee of the Board to finalized revisions to the Bylaws. The Ad Hoc Bylaws Review Committee consists of Regent John R. S. Brooking as Chair, Regent Herbert Booth, and Regent William Verst. The Committee is to report at the next quarterly meeting of the Board. Bylaws Revision

A-10 The 1986-88 Southern Association Self-Study Report and Factbook were distributed to the Board. President Boothe reported that Northern Kentucky University is scheduled for a reaccreditation site visit by the Southern Association of Colleges and Schools on March 22-25, 1988. SACS Visit

Presidential Recommendations

B-1 President Boothe recommended that the following academic affairs personnel actions be approved: Academic Personne Actions

Retirements

Jane Dotson, Professor of Human Services and Social Work Department, effective at the end of the 1987-88 academic year

Resignations
Peter Minder, Assistant Professor of the Communications Department, effective December 14, 1987

Paid/Unpaid Leaves
Compton Allyn, Professor of the Management and Marketing Department
Ronald Williams, Assistant Professor of the Management and Marketing Department

Administrative Leave
Carol Allred, Director of Library, Salmon P. Chase College of Law

Upon a motion by Regent Lucas, seconded by Regent Booth, the motion passed unanimously.

B-2 President Boothe recommended that actions on promotion, tenure, continued probation, continued probation with difficulties, and terminal reappointment be approved:

Promotion

Barry Andersen	Kent Curtis	David Lavery
Carol Bredemeyer	Carol Futhey	John S. Rankin
Kathleen Brinker	Andrea Gauthier	Danielle Roemer
Joseph Conger, III	Janet Johnson	Emily Werrell

Tenure

Carol Bredemeyer	David Lavery	Danielle Roemer
Joseph Conger, III	John Rankin	Emily Werrell
Andrea Gauthier	William Recker	

Continued Probation

Roger Blanchard	Jonathan Gresham	Carrie McCoy
Penny Bratcher	Thomas Heard	Phillip Obermiller
Steven Brooks	Nancy Hinzman	Denise Robinson
Chaweng Changchit	Michael King	Dennis Sies
David Dunevant	Donald Krug	Jennifer Smith
Allen Ellis	Cynthia Kukla	Laura Sullivan
Ana England	Katherine Kurk	Penelope Summers
Nancy Firak	Angela Lipsitz	J. Michael Thomason
Barbara Fowler	James Luken	David Thomson
Jim Friedman	Dennis Lye	

Continued Probation with Difficulties

Herb Caldwell	John Crowe	Margaret Myers
Paul Cooper	Nancy Lang	Kathleen Richardson

Terminal Reappointment

Christopher Alexander Jan Prickett
Rebecca Britton Scott Quimby

Upon a motion by Regent Duncan, seconded by Regent Haas, the motion passed unanimously.

B-3 President Boothe recommended the approval of twenty-one sabbaticals for the 1988-89 year. Recipients were: Sabbatical

Diana Belland	Vernon Hicks	Richard Snyder
Kevin Booher	Lynn Langmeyer	John Valauri
Mildred Bork	Cyndi McDaniel	Kathleen Verderber
Y Datta	Nicholas Melnick	Robert Vitz
Larry Dickson	Susan Nakao	Gail Wells
Sudesh Duggal	Ralph Peterson	Connie Widmer
James Gray	Gary Scott	Caryl Yzenbaard

Upon a motion by Regent Duncan, seconded by Regent Sebree, the motion passed unaniomously.

B-4 President Boothe recommended that the non-academic personnel actions for the period October 1, 1987 through December 31, 1987 be approved. Upon a motion by Regent Booth, seconded by Regent Sebree, the motion passed unanimously. Non-Academ Personne Actions

B-5 The following administrative appointments were recommended by President Boothe: W. Michael Baker, Assistant Vice President for Business Affairs and University Treasurer, effective December 1, 1987, and Michael Berry, Director of Educational Talent Search, effective October 26, 1987. Upon a motion by Regent Sebree, seconded by Regent Duncan, the motion passed unanimously. Admin. Appts.

B-6 President Boothe recommended the retitling of the Department of Accounting to the Department of Accountancy. Upon a motion by Regent Haas, seconded by Regent Lucas, the motion passed unanimously. It was also recommended that the Department of Mathematical Sciences be retitled the Department of Mathematics and Computer Science. Upon a motion by Regent Duncan, seconded by Regent Wallace, the motion passed unanimously. Department Titles

B-7 President Boothe recommended a change to the undergraduate transfer policy which permits the transfer of courses as "credit" only. The cumulative grade-point average at Northern would be based only on those courses attempted at Northern. Upon a motion by Regent Haas, seconded by Regent Taliaferro, the motion passed unanimously. Transfer Credit

Board of Regents Jan 27, 1988 Minutes

B-8 It was recommended that a "Statement of Policy Concerning the Release of Student Information" be approved. This policy defines information which is considered a matter of public record and defines the conditions for disclosure of information. Upon a motion by Regent Lucas, seconded by Regent Sebree, the motion passed unanimously. — Information Release

B-9 President Boothe recommended the approval of a policy statement addition to the Code of Student Rights and Responsibilities. The addition, "Disciplinary Regulations and Violation of Law," addresses violations of student conduct. Upon a motion by Regent Sebree, seconded by Regent Booth, the motion passed unanimously. — Student Code

B-10 President Boothe recommended the the corporate authorization resolution required by the University's depository, Huntington Bank of Kenton County, be approved with W. Michael Baker, Assistant Vice President for Business Affairs, and Dolores Thelen, Accounts Payable/Payroll Manager, authorized to handle specified banking matters. Upon a motion by Regent Haas, seconded by Regent Duncan, the motion passed unanimously. — Bank Authorization

B-11 President Boothe recommended the approval of a policy statement detailing the disposition of surplus property in accordance with the provisions of HB 622. Upon a motion by Regent Booth, seconded by Regent Sebree, the motion passed unanimously. — Surplus Property

B-12 President Boothe recommended the approval of the revised tuition schedule effective Fall 1988. This tuition rate, mandated by the Council on Higher Education, is an average 3.5 percent increase. Upon a motion by Regent Haas, seconded by Regent Duncan, the motion passed unanimously. — Tuition Schedule

B-13 President Boothe recommended the approval of the 1988-89 Academic Year Fee Schedule. This schedule includes increases in the nursing application fee, the orientation fee, and theater production fees. Also included in the fee schedule is a non-refundable admission application fee which is effective Spring 1989. Upon a motion by Regent Booth, seconded by Regent Haas, the motion passed unanimously. — Fee Schedule

B-14 The recommendation was made that the 1987-88 operating budget be amended to reflect increased revenues totalling $375,197. Upon a motion by Regent Duncan, seconded by Regent Booth, the motion passed unanimously. — Operating Revision

Other Business

President Boothe and Regent Taliaferro gave an update on the involvement of members of Northern Kentucky University and the northern Kentucky community in the upcoming Cincinnati Bicentennial Celebration.

President Boothe announced that the Kentucky Advocates for Higher Education were sponsoring a Rally for Higher Education in Frankfort on Tuesday, February 16.

The next quarterly meeting was scheduled for Wednesday, April 27, 1988, at 4 p.m.

The meeting adjourned on a motion by Regent Booth, seconded by Regent Haas, at 5 p.m.

Elmer J. Haas, Jr., Secretary

Carla S. Chance, Assistant Secretary

Certification

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on January 27, 1988, and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 15th day of March, 1988.

Bd

Agenda
Northern Kentucky University
Wednesday, May 4, 1988 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Minutes of Previous Meeting - Quarterly Meeting of January 27, 1988

A. Presidential Reports
1. Grants and Contracts
2. Campus Planning
3. Spring Enrollment
4. NCAA External Audit
5. Quarterly Financial Report
6. External Auditor's Contract
7. Management Information Report (to be distributed at the meeting)
8. Health Insurance Information
9. SACS Update
10. Alma Mater
11.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Faculty Emeritus Status
3. Non-Academic Personnel Actions
4. Administrative Personnel Actions
5. Academic Departmental Titles
6. Write-off of Bad Debts
7. University Investment Policy
8. 1987-88 Operating Budget Revision
9. 1988-89 Operating Budget
10. A. C. Howe Sciences Lab
11.

C. Other
1. Quarterly Meeting Dates
July 27, 1988

2. Election Results: Student Government and Faculty Senate

3. Board of Regents Retreat

4.

Adjournment

MAY 0 4 1988

Board of Regents Meeting
Wednesday, May 4, 1988

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:08 p.m., on Wednesday, May 4, 1988, by Chairman Verst. The invocation was given by Rick Howerton, Baptist Student Union.

Roll Call: Regents Present - Dr. Herbert Booth, Mr. John R. S. Brooking, Mr. David Duncan, Mr. Elmer Haas, Mr. Kenneth Lucas, Mr. John Sebree, Mr. Phil Taliaferro, Mr. William Verst, Dr. Lew Wallace.

Others Present - Ms. Sheila Trice Bell, Ms. Cynthia S. Dickens, Mr. Robert Knauf, Dr. Darryl G. Poole, Dr. Gene W. Scholes, Mr. Dennis L. Taulbee, Dr. Ralph Tesseneer, Ches Arthur, Michael Baker, Dave Beasley, Paula Brickler, Robert Bussom, Sonda Cummins, Ron Ellis, Carol Futhey, Gary Graff, John Hart, Margaret Herbol, Garrison M. Hickman, Rick Howerton, Mary Huening, Diane Hunley, John Johnson, Russ Kerdolff, Jerry Legere, Joyce Maegly, Nancy Martin, Don McKenzie, Becky Myers, Richard Nielson, Nancy Perry, Thomas Rambo, Bill Russell, Mary Ryan, Angela Schaffer, Mary Paula Schuh, Debbie Schweirjohann, Carl Slater, Rose Stauss, Steve Stephens, Dolores Thelen, Helen Wilhelm-Olsen

The minutes of the previous quarterly meeting, January 27, 1988, were approved on motion by Mr. Haas and seconded by Regent Booth.

Presidential Reports:

A-1 President Boothe summarized the third quarter report of Grant and Contract Activity. Highlights included a 42.5 percent increase in funds approved year-to-date, and a 25 percent increase in the number of proposals approved year-to-date. Grants & Contracts

A-2 President Boothe noted in the Campus Planning report that considerable work is underway at the site of the Applied Science and Technology Center, that progress is being made on completion of the roofing projects, and that the safety lighting project is scheduled for completion in mid-September. President Boothe also reported on the successful efforts to obtain construction funding through the General Assembly for the Fine Arts Completion. One-half of the first year's debt service must be raised from private funds. Campus Planning

A-3	The 1988 Spring Enrollment Report included a 3.7 percent increase in enrollment.	Spring Enrollment
A-4	President Boothe reported that Rankin, Rankin and Company had completed the second NCAA mandated audit of intercollegiate athletics. The audit contained statements of revenues and expenditures, and a review of internal accounting controls with recommendations.	NCAA Audit
A-5	The Quarterly Financial Report continued to demonstrate orderly progress on the institution's financial schedules.	Quarterly Financial
A-6	President Boothe reported on the renewal of the University's external auditing contract with Rankin, Rankin and Company. The renewal complies with the terms of the bid award. The external auditors are responsible for audit of the University's records and financial statements and for audit of the University's federal programs through the A-110 compliance audit. This contract will be re-bid next year.	Auditor's Contract
A-7	The President distributed the 1988 Management Information Reports which contain a statistical profile of the University.	MIR
A-8	President Boothe reported on the recent bid process and award of the University's Health Insurance Coverage to Prudential Comprehensive Health Care Plan. In anticipation of increasing health care costs, the University had budgeted $1.2 million for premium payments during 1988-89. As a result of the bid process, anticipated premium should not exceed $1.1 million. Had the University not participated in the bid process, premiums may well have increased by 30 to 4o percent.	Health Insurance
A-9	The Reaffirmation Committee of the Southern Association of Colleges and Schools visited Northern Kentucky University on March 22-25, 1988. Their visit included 175 interviews with members of the University community and yielded a series of 21 recommendations and 53 suggestions for improvement of the operation of the University. President Boothe recognized the outstanding achievements of the the Self-Study Chair, Dr. Thomas Rambo, and the Self-Study Director, Dr. Carol Futhey.	SACS Visit
A-10	President Boothe reported on the update of the University's Alma Mater which was originally composed by Regent Emeritus Charles Wiley. The revised arrangement was accomplished by Carolyn Zepf Hagner and Philip Koplow. A pre-recorded taped presentation of the alma mater was played for the Board.	Alma Mater

<u>Presidential Recommendations:</u>

B-1	President Boothe recommended that the following academic affairs personnel actions be approved:	Academic Personnel Actions

Administrative/Faculty Appointments
David L. Jorns, Vice President for Academic Affairs and Provost and Professor with tenure in the Department of Theatre, effective July 1, 1988

Resignations
Franklin Akins, Assistant Professor of the Department of Technology, effective May 15, 1988
Charlotte Ebersole, Assistant Professor of the Department of Nursing, effective May 15, 1988
Mary Ellen Elsbernd, from the position of Director of Libraries to the position of Collection Development Librarian, effective July 1, 1988
Julie B. Gerdsen, Assistant Professor of the Department of Economics and Finance, effective May 15, 1988
Marilyn Glick, Assistant Professor of the Department of Nursing, effective May 15, 1988
Richard O'Brien, Associate Professor of the Department of Mathematics and Computer Science, effective May 15, 1988
Elinor Welt, Professor of the Department of Literature and Language, effective June 1, 1988

Paid/Unpaid Leaves
Janice Cantrall, Associate Professor of the Department of Nursing, one-half time unpaid leave with benefits effective for the 1988-89 academic year.

Adjunct Appointments
Patricia Murray, Adjunct Professor of the Education Department, beginning July 1, 1988.

Upon a motion by Regent Booth, seconded by Regent Lucas, the motion passed unanimously.

B-2 President Boothe recommended that Emeritus status be granted to Professor Jane Dotson, and Assistant Professor Carolyn Rudorf, upon their retirement. Upon a motion by Regent Lucas, seconded by Regent Wallace, the motion passed unanimously. Emeritus Status

B-3 President Boothe recommended that the non-academic personnel actions for the period January 1, 1988 through March 31, 1988, be approved. Upon a motion by Regent Sebree, seconded by Regent Duncan, the motion passed unanimously. Non-Acad. Personnel Actions

B-4 The following administrative actions were recommended by President Boothe: Admin. Appts.

Appointments
Nancy Pierce, from Internal Auditor to Director of Information Systems Projects, effective March 1, 1988
Russell Kerdolff, from Financial Investment Analyst and Acting Chief Accountant to Director of Accounting and Budgetary Control, effective March 14, 1988
Nancy Perry, Director of Alumni Affairs, effective May 1, 1988

Resignations
William Russell, Director of Admissions, effective May 31, 1988

Leaves
Sheila Trice Bell, University Legal Counsel, two-fifths leave for six months, effective April 18, 1988

Upon a motion by Regent Booth, seconded by Regent Duncan, the motion passed unanimously. President Boothe introduced Ms. Nancy Perry and Mr. Russell Kerdolff.

Regent Haas moved that the Board recess and convene in executive session and that the session include Legal Counsel Sheila Trice Bell and Vice President Cynthia Dickens.

Chairman William Verst reconvened the Board at 5:30 p.m., and called upon Regent Brooking to present the report of the Presidential Review Committee. Regent Brooking reported that the committee had solicited input from members of the Board of Regents, community leaders, administrators, students, faculty, staff, and state officials and had reached the following evaluative conclusion: "Well done Mr. President, you are doing what you were asked to do by the Board of Regents under very difficult circumstances. Listen to your faculty leaders, direct your staff closely, and keep the show on the road." Regent Brooking moved to extend President Boothe's contract for one year with a 3 percent salary increase. Upon a second by Regent Haas, the motion passed unanimously. Presidential Review

B-5 President Boothe recommended that the following academic department name changes be approved: Department of Social Sciences to Department of Sociology, Anthropology, and Philosophy; and Department of Human Services and Social Work to Department of Allied Health, Human Services and Social Work. Upon a motion by Regent Haas, seconded by Regent Wallace, the motion passed unanimously. Academic Dept Name

B-6 President Boothe recommended that student receivables of $4,733.25 and loan fund receivables of $936.50 be written off as bad debts. Upon a motion by Regent Wallace, seconded by Regent Duncan, the motion passed unanimously. Once a debt is written off, it is not collectible unless the student re-enrolls at the University. Bad Debts

B-7 As a result of the recommendations made through the Southern Association Self-Study Process, the need for a formal investment policy was brought forward. President Boothe recommended that the investment policy, which complies with state statutes, regulations and procedures be approved. Upon a motion by Regent Duncan, seconded by Regent Lucas, the motion passed unanimously. Investment Policy

B-8 President Boothe recommended that the 1987-88 operating budget be amended to reflect a tuition revenue increase for the spring semester ($196,500) a reduction in state appropriation ($209,200), increase in investment earnings ($95,000) and expansion in auxiliaries 87-88 Budget

($201,557). Upon a motion by Regent Lucas, seconded by Regent Booth, the motion passed unanimously.

B-9 President Boothe recommended that the 1988-89 current unrestricted fund budget of $39,750,000 be approved. A formal budget document will be forthcoming. The legislative Conference Committee recommended a final budget which received approval by the Governor in the last days of the 1988 General Assembly Session. A 3 percent salary increase is possible in the 1988-89 budget only because of enrollment growth in 1987-88 and a tuition rate increase for 1988-89. Testimony before the House, the Senate, and the Governor indicated that the University could not give a 2 percent salary increase without significant savings in other operational areas. Reductions to fixed cost expenditures coupled with enrollment growth have resulted in the 3 percent increase. Upon a motion by Regent Lucas, seconded by Regent Booth, the motion passed unanimously. 88-89 Budge

B-10 President Boothe recommended that Room 361 of the Albright Health Center be named "The A.E. Howe Clinical Sciences Laboratory" in honor of Dr. Howe, a Campbell County. Dr. Howe's widow has made a financial donation to the University which will be used for the purchase of instructional equipment. Upon a motion by Regent Booth, seconded by Regent Brooking, the motion passed unanimously. Howe Room

Other Business

President Boothe recognized Student Regent John Sebree who was serving at his last meeting of the Board and commended Regent Sebree for his performance during the past year.

President Boothe reported that Dr. Lew Wallace had been re-elected to the Board of Regents to serve as Faculty Regent for the term 1988-1991.

Regent Taliaferro commended President Boothe and Chairman Verst for their efforts in working with the Governor's Office and the General Assembly to secure funding for Northern Kentucky University.

President Boothe reminded the members of the Board of Regents of the Commencement Ceremonies scheduled for Saturday, May 14.

Agenda items for the Regents Retreat will be solicited from the Regents and a time for the summer retreat will be scheduled.

The next quarterly meeting of the Board of Regents was scheduled for Wednesday, July 27, at 4 p.m.

The meeting adjourned on a motion by Regent Haas, seconded by Regent Taliaferro, at 5:45 p.m.

Elmer J. Haas, Jr., Secretary

Carla S. Chance

Carla S. Chance, Assistant Secretary

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on May 4, 1988, and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 31st day of May, 1988.

Revised

Agenda
Northern Kentucky University
Wednesday, July 27, 1988 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Installation of New Regent - J. Scott Kappas, Student Regent

Roll Call and Welcome

Minutes of Previous Meeting - Quarterly Meeting of May 4, 1988

Election of Officers - Chairman
Vice Chairman
Secretary/Treasurer
Assistant Secretary

A. Presidential Reports
1. Grants and Contracts Quarterly
2. Campus Planning
3. Quarterly Financial Report
4. University Organizational Structure
5.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Non-academic Personnel Actions
3. Administrative Personnel Actions
4. Academic Department Title
5. Proposed Minor - Social Studies with Academic Emphasis in Early Elementary Education (K-4)
6. Faculty Policies and Procedures Handbook Revision
7. Alcohol Policy
8. Revision to Student Government Constitution
9. Lease Agreement with Northern Kentucky Educators' Federal Credit Union
10. 1987-88 Budget Revisions
11. Personnel Polices and Procedures Manual--Shift Differential
12.
13.

C. Other
1. Quarterly Meeting Dates
October 26, 1988
January 25, 1989
April 26, 1989
July 26, 1989

2. Board of Regents Bylaws

3. Board of Regents Retreat

4. Other

Adjournment

JUL 27 1988

Board of Regents Meeting
Wednesday, July 27, 1988

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4 p.m., on Wednesday, July 27, 1988, by Chairman Verst. The invocation was given by Harold Orndorff, Christian Student Fellowship.

The oath of office was taken by J. Scott Kappas whose term as Student Regent expires July 1989.

Roll Call: Regents Present - Dr. Herbert Booth, Mr. John R. S. Brooking, Mr. David Duncan, Mr. Elmer Haas, Mr. Scott Kappas, Mr. Phil Taliaferro, Mr. William Verst, Dr. Lew Wallace

Others Present: Ms. Sheila Trice Bell, Ms. Cynthia S. Dickens, Dr. David L. Jorns, Dr. Gene W. Scholes, Dr. Ralph A. Tesseneer, Roger C. Adams Ches A. Arthur, Michael Baker, Paula Brickler, Robert Bussom, Sonda K. Cummins, Margaret J. Herbol, G. Michael Hickman, Mary Huening, Diane Hunley, John P. Johnson, Janet Krebs, Bill Lamb, Joyce B. Maegly, Terri Malone, Nancy Martin, Laverne Mulligan, Harold Orndorff, Norleen Pomerantz, Ray Schaefer-Cincinnati Enquirer, Mary Paula Schuh, Debbie Schweirjohann, Tom Sherwood, Rose Stauss, Debra A. Vance, Helen Wilhelm-Olsen, Susan Wright

The minutes of the previous quarterly meeting, May 4, 1988, were approved on motion by Regent Duncan and seconded by Regent Wallace.

Election of Officers: Regent Booth moved that William Verst be elected as Chair, seconded by Regent Haas. Regent Brooking moved that nominations cease, seconded by Regent Haas, the motion passed unanimously. Regent Brooking moved that Herbert Booth be elected as Vice Chair, seconded by Regent Haas. Regent Duncan moved that nominations cease, seconded by Regent Haas, the motion passed unanimously. Regent Duncan moved that Elmer Haas be elected as Secretary/Treasurer, seconded by Regent Brooking. Regent Booth moved that nominations cease, seconded by Regent Duncan, the motion passed unanimously. Regent Haas moved that Carla Chance be elected as Assistant Secretary, seconded by Regent Duncan, the motion passed unanimously.

The newly elected officers are: William Verst, Chair; Herbert Booth, Uice Chair; Elmer Haas, Secretary/Treasurer; and Carla Chance, Assistant Secretary.

Presidential Reports:

A-1 President Boothe summarized the fourth quarter report of Grant and Contract Activity by reporting on a FY 87 to FY 88 comparison as follows: 38.25 percent increase in funds approved, 14.5 percent increase in number of requests for funding. — Grants and Contracts

A-2 President Boothe commented on the continuing progress with construction of the Applied Science and Technology Center. The metal roof replacement project had reached the final inspection point and the library roof replacement work had neared completion. — Campus Planning

A-3 The Quarterly Financial Report continued to demonstrate orderly progress on the institution's financial schedules. — Quarterly Financial

A-4 A draft organizational chart was distributed for review and consideration for submission to the Board at the October meeting. — Organization Chart

Upon a motion by Regent Haas, seconded by Regent Duncan, the Board adjourned into executive session for the discussion of personnel matters. The Board reconvened in general session at 5:15 p.m.

Presidential Recommendations:

B-1 President Boothe recommended that the following academic personnel changes be approved: — Academic Personnel Actions

Administrative Appointments

Robert Collier, Assistant Chairperson of the Literature and Language Department, effective July 1, 1988
Joseph H. Conger, III, Acting Chairperson of the Theater Department, effective July 1, 1988 through June 30, 1989
Thomas K. Harden, one-half time Associate Dean of the College of Professional Studies, effective July 1, 1988 through June 20, 1989
J. Thomas Isherwood, Chairperson of the Allied Health, Human Services and Social Work Department, effective July 1, 1988
Melinda Langmeyer, Associate Dean of the College of Business, effective July 1, 1988
Michael McPherson, Acting Chairperson of the Physics and Geology Department, effective July 1, 1988 through June 30, 1989
Michael K. Mimms, Acting Director of Media Services, effective June 1, 1988
Ralph D. O'Brien, Assistant Chairperson of the Department of Technology, effective July 1, 1988 through June 30, 1989
William Oliver, Chairperson of the Chemistry Department, effective July 1, 1988
Howard Storm, Acting Chairperson of the Chemistry Department, effective July 1, 1988
Rebecca G. Sturm, Acting Director of Libraries, effective July 1, 1988 through June 30, 1989

Faculty Appointments, effective August 15, 1988
Brent W. Byerly, Instructor of the Allied Health, Human Services and Social Work Department
Linda Duncan, Assistant Professor of the Literature and Language Department
Nancy Eimers, Assistant Professor of the Literature and Language Department
Joan Ferrante, Assistant Professor of the Sociology, Anthropology, and Philosophy Department
Hilary Landwehr, Assistant Professor of the Literature and Language Department
Lisa Long, Assistant Professor of the Nursing Department
Cheryl McKenzie, Assistant Professor of the Nursing Department
Jeffrey Smith, Assistant Professor of the psychology Department
Lynne Ann Smith, Assistant Professor of the Education Department
Leslie D. Turner, Assistant Professor of the Department of Accountancy
Stephen Walker, Assistant Professor of the Education Department
Wendy D. Wood, Assistant Professor of Library Science
Terry Zeimer, Assistant Professor of the Mathematics and Computer Science Department

Resignations
Chris Alexander, Assistant Professor of the Department of Accountancy, effective July 31, 1988
Barbara Fowler, Assistant Professor of the Department of Nursing, effective May 15, 1988
Gary Johnston, from the Director of Academic Computing, to the position of Associate Professor of the Music Department, effective July 31, 1988
David Lavery, Associate Professor of the Literature and Language Department, effective June 30, 1988
Martin Marger, Professor of the Sociology, Anthropology, and Philosophy Department, effective June 20, 1988
Glen Mazis, Associate Professor of the Sociology, Anthropology, and Philosophy Department, effective June 21, 1988
Timothy T. Serey, from Associate Dean of the College of Business to Associate Professor of the Management and Marketing Department, effective July 1, 1988

Paid/Unpaid Leaves
Glenellen J. Barty, Assistant Professor of the Department of Accountancy, two-thirds unpaid leave, with benefits, for the 1988-89 academic year
Mary Ellen R. Elsbernd, Associate Professor of Library Science, paid administrative leave, with benefits, effective July 1, 1988 through August 31, 1989

Upon a motion by Regent Duncan, seconded by Regent Booth, the motion passed unanimously. President Boothe introduced Dr. Thomas Isherwood.

B-2 President Boothe recommended that the non-academic personnel actions for the period April 1, 1988 through June 30, 1988, be approved. Upon a motion by Regent Booth, seconded by Regent Haas, the motion passed unanimously. Non-Acad. Personnel Actions

B-3 The following administrative actions were recommended by President Boothe: Admin. Actions

Appointments

Deborah Ladwig, from assistant Director of Admissions to Acting Director of Admissions, effective June 1, 1988 and until the search is completed for a Director of Admissions

Jana Maier, from Volleyball and Softball Coach to Acting Director of Athletics, effective July 1, 1988 and until the search is completed for a Director of Athletics

Katherine Meyer, from Coordinator of Adult Student Services to Women's Center Coordinator, effective July 1, 1988

Norleen Pomerantz, from Director of Student Development to Assistant Vice President for Student Development, effective July 1, 1988

Mary Rauen, to the position of Director of Financial and Operations Audit, effective August 1, 1988

Resignations

Michael Beitzel, Men's Basketball Coach, resigned, effective July 15, 1988

John Evans, Jr., Director of Residential Life, deceased, July 7, 1988

Edward L. Thompson, Director of Athletics, resigned, effective June 30, 1988

Upon a motion by Regent Taliaferro, seconded by Regent Booth, the motion passed unanimously. Regent Taliaferro moved that the administration notify the appropriate boards, organizations, and individuals with an interest in higher education of the Board's concern regarding the loss of qualified University faculty and staff as a result of the depressed salary situation. Upon a second by Regent Brooking, the motion passed unanimously.

B-4 President Boothe recommended that the Department of Physical Sciences be reorganized into two departments, the Department of Chemistry and the Department of Physics and Geology. Upon a motion by Regent Haas, seconded by Regent Duncan, the motion passed unanimously. Reorg. Academic Depts.

B-5 President Boothe recommended approval of a new Social Studies Minor/Academic Emphasis in Early Elementary Education (K-4). The new minor is proposed as an additional teaching field option for students enrolled in the Early Elementary (K-4) certificate program. Upon a motion by Regent Duncan, seconded by Regent Haas, the motion passed unanimously. Social Studies Minor K-4

B-6 President Boothe recommended revisions to the timetables for sabbatical leaves, faculty summer fellowships, and faculty projects grants outlined in the Faculty Policies and Procedures Handbook. Upon a motion by Regent Haas, seconded by Regent Brooking, the motion passed unanimously. Faculty Handbook Revision

B-7 President Boothe recommended the approval of the Northern Kentucky University Alcoholic Beverage Policy and Regulations effective January 1, 1989. The policy is the result of the combined efforts of Student Government, Faculty Senate, Staff Congress, and the Student Health Issues Committee. Upon a motion by Regent Brooking, seconded by Regent Booth, the motion passed unanimously. Alcoholic Beverage

B-8 Changes and clarifications to the current Student Government Constitutions, originally approved by the Board of Regents in April 1978 were recommended by President Boothe. Upon a motion by Regent Duncan, seconded by Regent Brooking, the motion passed unanimously. SG Const.

B-9 President Boothe recommended the approval of a lease agreement with the Northern Kentucky Educator's Federal Credit Union for the use of 421 Johns Hill Road. Upon a motion by Regent Duncan, seconded by Regent Booth, the motion passed unanimously. Credit Union Lease

B-10 President Boothe recommended that the 1987-88 operating budget be amended upward by $86,009 to reflect increased revenue for a total budget of $38,429,629. Upon a motion by Regent Haas, seconded by Regent Booth, the motion passed unanimously. 87-88 Budget Revision

B-11 President Boothe recommended that the shift differential policy be included in the Personnel Policies and Procedures Manual, codified as Section E 14. Upon a motion by Regent Duncan, seconded by Regent Haas, the motion passed unanimously. Shift Differential

B-12 President Boothe recommended that the Board authorize the University administration to pursue the appraisal and purchase of the property located at 221 Johns Hill Road. Upon a motion by Regent Booth, seconded by Regent Wallace, the motion passed unanimously. JHR 221 Property

B-13 President Boothe recommended that the University respond to the financial gift from Mrs. Dorothy Herrmann by exploring the establishment of a multipurpose center to advance health care in northern Kentucky. The center would be designed to: (1) provide outpatient diagnostic, counseling and treatment services for patients in the northern Kentucky service region who suffer from chronic diseases and illness; (2) serve as a clinical training facility for Northern Kentucky University students in nursing, allied health, human services and social work; and (3) serve as an applied research center for the study of chronic diseases and comparative research on the efficacy of clinical methods. Upon a motion by Regent Haas, seconded by Regent Booth, the motion passed unanimously. Herrmann Gift

Other Business:

C-1 President Boothe outlined the quarterly meeting dates for the upcoming year and requested that the Board notify him of any potential conflicts. Quarterly Dates

C-2 The Board of Regents was governed by the Bylaws adopted in August 1976 and amended on October 27, 1986. At the October 21, 1987, quarterly meeting of the Board, revisions were solicited and at the January 27, 1988 quarterly meeting of the Board an Ad Hoc Bylaws Review Committee consisting of Regent John R.S. Brooking as Chair, Regent Herbert Booth, and Regent William Verst, was appointed to conduct a thorough review of the Bylaws. Upon a motion by Regent Brooking, seconded by Regent Booth, the revised bylaws were adopted unanimously. Regent Brooking expressed his appreciation to University Legal Counsel Sheila Trice Bell for her assistance in accomplishing the revision. Concurrent with the adoption of the revised Bylaws, Regent Haas moved to renew the appointment of W. Michael Baker as University Treasurer as provided for in the revised Bylaws. Upon a second by Regent Duncan, the motion passed unanimously.

Board Bylaws

C-3 President Boothe requested that the members of the Board check their calendars for a possible retreat date. October 25 and 26 were selected as acceptable retreat dates.

Board Retreat

The meeting adjourned upon a motion by Regent Haas, seconded by Regent Wallace, at 5:40 p.m.

Elmer J. Haas, Jr., Secretary

Carla S. Chance

Carla S. Chance, Assistant Secretary

I, Elmer J. Haas, Jr., Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on July 27, 1988, and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 13th day of October, 1988.

Agenda
Northern Kentucky University
Wednesday, October 26, 1988 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Installation of New Regent - John N. Fields

Roll Call and Welcome

Minutes of Previous Meeting - Quarterly Meeting of July 27, 1988

A. Presidential Reports
1. Grants and Contracts Quarterly
2. Campus Planning
3. Quarterly Financial Report
4. President's Annual Report and Annual Financial Report
5. Renewal of External Auditing Contract
6. Comprehensive Campus Safety Manual
7. University Life Insurance Rebid
8. Report on UNV 101-Orientation to College
9.
10.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Non-academic Personnel Actions
3. Administrative Personnel Actions
4. University Planning Function
5. University Organizational Structure
6. Academic Holds Policy
7. HB 622 Implementation Regulations
8. Nunn Drive/University Drive Easement
9. 1987-1988 Budget Revision
10. 1988-1989 Operating Budget Recommendation
11. 1988-1989 Budget Revision
12. Resolution Regarding the Use of University Name
13.
14.
15.

C. Other

Annual Report → to Pres Staff

1. Quarterly Meeting Dates
January 25, 1989
May 10, 1989
July 26, 1989

2. Other

Adjournment

Board of Regents Meeting
Wednesday, October 26, 1988

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:10 p.m., on Wednesday, October 26, 1988, by Chairman Verst. The invocation was given by Anne Eason, United Methodist Campus Minister.

The oath of office was taken by John N. Fields, newly appointed Regent.

Roll Call: Regents Present - Dr. Herbert Booth, Mr. John Brooking, Mr. David Duncan, Mr. John Fields, Mr. Elmer Haas, Mr. Scott Kappas, Mr. Ken Lucas, Mr. Phil Taliaferro, Mr. William Verst, and Mr. Lew Wallace

Others Present: Ms. Sheila Trice Bell, Ms. Cynthia S. Dickens, Dr. David L. Jorns, Mr. Robert Knauf, Dr. Gene W. Scholes, Roger C. Adams, Mike Baker, Paula A. Brickler, Robert Bussom, Sonda K. Cummins, Anne Eason, Carol Futhey, Crystal Hardin, Diane Hunley, John Johnson, Russ Kerdolff, Janet Krebs, Jerry Legere, Deborah Ladwig, Joyce Maegly, Terri Malone, Nancy Martin, Jane Meier, Susan Nuxoll, Darryl Poole, Richard Rankin, Kelly Rouse, Mary Ryan, Ray Schaefer-Cincinnati Enquirer, Angela Schaffer, Mary Paula Schuh, Ken Shields, Rosemary Stauss, Henry Stephens, Jerry Warner, Helen Wihelm-Olsen

The minutes of the previous quarterly meeting, July 27, 1988, were approved as corrected, "p. 2, Howard Storm, Acting Chairperson of the Art Department, effective July 1, 1988" on a motion by Regent Haas, seconded by Regent Brooking.

Presidential Reports

A-1 President Boothe summarized the first quarter fiscal year 1989 report of Grant and Contract Activity by emphasizing the 25 percent increase in funds approved totaling $1.5 million. Grant/ Contrac

A-2 President Boothe highlighted the Campus Planning Report by drawing attention to the continued progress with the construction of the Applied Science and Technology Center, the completion of the library roof project, and the installation of an automated teller machine in the University Center. Campus Plannin

A-3	The Quarterly Financial Report for the first quarter of the 1988-89 fiscal year depicted the institution's revenue and expenditure schedules as well as active capital construction projects, bonds payable, and current investments.	Quarterly Financial
A-4	President Boothe presented the President's Annual Report and Annual Financial Report. The President's Report highlights significant achievements of the institution during the 1987-88 fiscal year. President Boothe noted that this was Northern's 20th Anniversary. The Annual Financial Report, prepared by Rankin, Rankin and Company, details the financial condition of the institution.	Pres. Annua Report
A-5	President Boothe reported on the renewal of the external auditing contract with Rankin, Rankin and Company for the audit of the University's records and financial statements and for conduct of the A-110 compliance audit on all Federal programs. A rebid of the contract is anticipated for the 1989-90 fiscal year.	Audit Contract
A-6	President Boothe reported that a draft Campus Safety Manual is currently under development. This manual is being prepared in compliance with reaccreditation recommendations which call for the development of a comprehensive safety manual.	Safety Manual
A-7	President Boothe shared information regarding the rebid of the institution's life insurance which resulted in a slightly reduced premium of $.238 per thousand in coverage. Investor's Heritage was the successful bidder.	Life Insurance
A-8	President Boothe presented a report of the UNV 101-Orientation to College course which serves as a freshman elective. The course was instituted in Fall 1986 and during Fall 1988, twenty-two sections were filled. Positive results from the introduction of UNV 101 include the increased retention of freshman students, a heavier course taken by students, a higher grade point average among UNV 101 students, and an increased tuition revenue to the institution. President Boothe commended the faculty and staff who have taught in the Orientation Program and commended Dr. Jerry Warner who has coordinated the development of the course.	UNV-101
A-9	President Boothe reported on enrollment statistics for the 1988 Fall Semester. First-time freshman enrollment increased 9.7 percent, with the retention of degree-declared undergraduates showing an approximate 10 percent improvement at the freshman level and an average 4 percent improvement at the sophomore through senior level. Approximately 40 percent of the student population are age 25 and above and 54 percent are female.	Fall Enrollment

Upon a motion by Regent Haas, seconded by Regent Booth, the Board adjourned into executive session for the discussion of personnel matters. It was requested that Ms. Bell, Ms. Dickens, and Dr. Jorns remain for the executive session. The Board reconvened in general session at 5:35 p.m.

Presidential Recommendations:

Academic Personnel

B-1 President Boothe recommended that the following academic personnel changes be approved:

Administrative Appointments

Elizabeth A. Bernard, Director of the ReEmployment Center, effective October 10, 1988

Carol W. Futhey, Acting Assistant Provost for Planning and Assessment, effective August 24, 1988

Charles E. Hawkins, Acting Director of Academic Computing, effective August 1, 1988

Faculty Appointments

H. Randolph Holt, Assistant Professor of Technology, effective August 15, 1988

Other Appointments

John Alexander, Adjunct Instructor in the Beginning Teacher Internship Program of the Education Department, effective August 15, 1988

Paul Champion, Adjunct Instructor in the Beginning Teacher Internship program of the Education Department, effective August 15, 1988

John Deering, Adjunct Instructor in the Beginning Teacher Internship program of the Education Department, effective August 15, 1988

Phyllis Jean Hafer, Adjunct Instructor in the Beginning Teacher Internship Program of the Education Department, effective August 15, 1988

Dale Jones, Adjunct Professor of the Education Department, effective August 15, 1988

Joseph J. Klingenberg, Adjunct Professor of the Education Department, effective August 15, 1988

Mary E. Lewis, Adjunct Instructor in the Beginning Teacher Internship Program of the Education Department, effective August 15, 1988

Lou Manning, Adjunct Instructor in the Beginning Teacher Internship Program of the Education Department, effective August 15, 1988

Roberta Mattimiro, Adjunct Instructor in the Beginning Teacher Internship Program of the Education Department, effective August 15, 1988

Phoebe Osborne, Adjunct Instructor in the Beginning Teacher Internship Program of the Education Department, effective August 15, 1988

John Michael Ward, Adjunct Instructor in the Beginning Teacher Internship Program of the Education Department, effective August 15, 1988

Resignations
Rebecca Britton, Assistant Professor of the Theatre Department, effective August 14, 1988
Herbert W. Caldwell, Assistant Professor of the Theatre Department, effective July 18, 1988
William I. Dickens, Associate Professor of Education, effective August 12, 1988
Ronald Williams, Assistant Professor of the Department of Management and Marketing, effective August 15, 1988

Paid/Unpaid Leaves
Susan Hollis-Nakao, Associate Professor of the Art Department, unpaid leave, without benefits, effective August 1, 1988 through July 31, 1989
Ronald Singer, Professor of the Psychology Department, unpaid leave, without benefits, effective August 15, 1988 through December 31, 1988

Upon a motion by Regent Duncan, seconded by Regent Booth, the motion passed unanimously. President Boothe introduced Dr. Carol Futhey, Acting Assistant Provost for Planning and Assessment.

B-2 President Boothe recommended that the non-academic personnel actions for the period July 1, 1988 and September 30, 1988, be approved. Upon a motion by Regent Haas, seconded by Regent Lucas, the motion passed unanimously. — Non-Acad. Personnel Actions

B-3 The following administrative actions were recommended by President Boothe: — Admin. Appts.

Appointments
Jane Meier, from Acting Director of Athletics to Director of Athletics, effective August 15, 1988
Ken Shields, Head Men's Basketball Coach, effective August 15, 1988

Upon a motion by Regent Duncan, seconded by Regent Haas, the motion passed unanimously. President Boothe introduced Ms. Jane Meier and Coach Ken Shields.

B-4 President Boothe recommended a change to the organizational structure moving University Planning from the Office of Budget and Planning to the Office of the Vice President for Academic Affairs and Provost. Upon a motion by Regent Booth, seconded by Regent Lucas, the motion passed unanimously. — Planning Function

B-5 President Boothe recommended the approval of the revised organization chart which reflects changes in organizational structure occurring since July 1987. This chart is functional in nature. Upon a motion by Regent Brooking, seconded by Regent Duncan, the motion passed unanimously. — Org. Char

B-6	President Boothe recommended approval of the Academic Holds Policy which establishes the formal process of holding a student record for financial or administrative conditions. Upon a motion by Regent Duncan, seconded by Regent Fields, the motion passed unanimously.	Holds Policy
B-7	President Boothe recommended the approval of administrative regulations in accordance with the University's 1982 acceptance of House Bill 622. These regulations delegate to the President the responsibility for administering the state laws and regulations required for acceptance of HB 622. Upon a motion by Regent Lucas, seconded by Regent Haas, the motion passed unanimously.	HB 622 Regs.
B-8	President Boothe recommended that the Board authorize the acquisition of .40 acres of property from the Transportation Cabinet, property located at the northwest corner of University and Nunn Drives. Property is to be procured at no cost through an intergovernmental deed of conveyance. Upon a motion by Regent Brooking, seconded by Regent Lucas, the motion passed unanimously.	DOT Easement
B-9	President Boothe recommended the revision of the 1987-88 operating budget in include increased revenue of $8,894. Upon a motion by Regent Duncan, seconded by Regent Haas, the motion passed unanimously.	87-88 Revision
B-10	President Boothe recommended that the Board approve the 1988-89 operating budget of $39,750,000. The accompanying budget document and budget resolution detailed the budget. Upon a motion by Regent Lucas, seconded by Regent Haas, the motion passed unanimously.	88-89 Approval
B-11	President Boothe recommended that the 1988-89 operating budget be amended upward by $650,500 to reflect increased revenue. Upon a motion by Regent Duncan, seconded by Regent Booth, the motion passed unanimously.	88-89 Revision
B-12	President Boothe presented and recommended adoption of a resolution regarding the use of the University's name. This resolution establishes the University's legally protected right to the exclusive use of its name. Upon a motion by Regent Brooking, seconded by Regent Kappas, the motion passed unanimously.	Univ. Name

Other Business

Regent Lucas raised the issue of the University's awards system with special concern regarding recognition of the Outstanding Professor. President Boothe agreed to provide the Board with a summary of University awards and the awards process along with recommendations regarding an awards and honors process.

President Boothe announced that the next quarterly meeting of the Board of Regents would be Wednesday, January 25, 1989.

The meeting adjourned upon a motion by Regent Booth, seconded by Regent Brooking, at 6 p.m.

Elmer J. Haas, Jr., Secretary

Carla S. Chance

Carla S. Chance, Assistant Secretary

I, Elmer J. Haas, Jr., Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on October 26, 1988, and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 6th day of January, 1989.

Agenda
Northern Kentucky University
Wednesday, January 25, 1989 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Minutes of Previous Meeting - Quarterly Meeting of October 26, 1988

A. Presidential Reports
1. Grants and Contracts Quarterly
2. Campus Planning
3. 1989 Summer Fellowship Awards
4. 1989-90 Faculty Project Grants
5. Quarterly Financial Report
6. External Auditor's Management Letter
7. A-110 Student Financial Aid and Federal Programs Audit (to be mailed under separate cover)
8. MPA Program
9.
10.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Promotion, Tenure, Continued Probation, Continued Probation with Difficulties, and Terminal Reappointment
3. Sabbatical Leaves
4. Non-academic Personnel Actions
5. Administrative Personnel Actions
6. IRC 89 Compliance
7. Interdisciplinary Minor in Japanese Studies
8. Deferred Tuition Payment Plan
9. NKU Foundation Lease Agreement
10. 1989-90 University Tuition Schedule
11. 1989-90 University Fee Schedule
12. 1988-89 Operating Budget Revisions
13.
14.

C. Other

1. Quarterly Meeting Dates
   May 10, 1989
   July 26, 1989

2. Other

JAN 25 1989

Board of Regents Meeting
Wednesday, January 25, 1989

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, January 25, 1989, by Chairman Verst. The invocation was given by Sr. Janice Carr, Newman Center.

Roll call: Regents Present - Dr. Herbert Booth, Mr. John Booking, Mr. David Duncan, Mr. John Fields, Mr. Elmer Haas, Mr. Scott Kappas, Mr. Ken Lucas, Mr. Phil Taliaferro, Mr. William Verst, and Dr. Lew Wallace.

Others present: Ms. Sheila Bell, Ms. Cynthia Dickens, Dr. David Jorns, Dr. Gene W. Scholes, Mr. Dennis Taulbee, Michael Baker, Robert Bussom, Paula Brickler, Sonda Cummins, Carol Futhey, Paul Gibson, Diane Hunley, Susan Jefferies, John Johnson, Janet Krebs, Deborah Ladwig, Jerry Legere, Susan Nuxoll, Darryl Poole, Connie Remlinger, Mary Paula Schuh, Rose Stauss.

The minutes of the previous quarterly meeting, October 26, 1988, were approved upon a motion by Regent Haas, seconded by Regent Duncan.

Presidential Reports

A-1 President Boothe summarized the second quarter fiscal year 1989 report of Grant and Contract Activity by emphasizing the number of programs approved and the number of programs submitted. Grants/ Contracts

A-2 President Boothe highlighted the Campus Planning Report with an emphasis on the Applied Science and Technology Center construction, the Campus Safety Lighting Project, and the installation of an Automatic Teller Machine on campus. Dr. Boothe reported on the status of the Fine Arts Completion Project and indicated that the University is obligated to provide funds for half of the fist year of debt service. Specific attention was drawn to the energy efficiency efforts being made through University chiller replacements. Campus Planning

A-3 President Boothe reported on the 1989 Summer Fellowship Awards for twelve faculty members who will engage in individual research, scholarly writing, and creative and artistic projects through this special funding grant. Summer Fellowship

Yudhister Datta	Janet M. Johnson	James H. Niewahner
Ana England	Donald K. Krug	James A. Ramage
James R. Gray	James O. Luken	Macel M. Wheeler
Jon Hastings	Banwari Mittal	Caryl A. Yzenbaard

A-4 President Boothe reported on the 1989-90 Faculty Project Grant to eighteen members of the faculty. These project grants are intended to provide funds for research equipment and activities that are not funded through program budgets. Project Grants

Christopher Boehm	James R. Gray	Raymond E. Richmond
K. Kenneth Carter	Thomas Heard	W. Michael Ryan
Donald K. Cobb	Janet M. Johnson	Rpbert K. Wallace
Yudhister Datta	Robert J. Kempton	Edwin T. Weiss, Jr.
Ulrike Dieterle	Banwari Mittal	Macel Marteva Wheeler
Andrea J. Gauthier	James A. Ramage	Connie C. Widmer

A-5 The Quarterly Financial Report for the second quarter of the 1988-89 fiscal year depicted the institution's revenue and expenditure schedules as well as active capital construction projects, bonds payable, and current investments. Quarterly Report

A-6 President Boothe shared the external auditor's comments regarding management practices at Northern Kentucky University. This letter favorable commented on the management practices and recommended only minor adjustments. Management Letter

A-7 President Boothe reported that the A-110 Student Financial Aid and Federal Programs Audits would be available at the May 10, 1989 Board meeting. A-110

A-8 President Boothe reported that the Master's in Public Administration, (MPA), program proposal submitted to the Council on Higher Education for approval. The Board of Regents indicated approval throughout the program by mail ballot. This program proposal was approved by the Council on Higher Education on January 13, 1989. MPA Program

A-9 President Boothe reported on the reaffirmation of Northern Kentucky University's accreditation resulting from the recent Southern Association of Colleges and Schools review. Regent Lucas commented on the thoroughness and magnitude of the reaccreditation project and expressed his positive observations regarding faculty involvement in this important aspect of the University. SACS

President Boothe made preliminary comments regarding Spring 1989 enrollment and projected an increase in headcount enrollment of 7 percent and an increase in full-time equivalency of 8.7 percent. A full report on Spring 1989 enrollment will be made to the Board at the May 10, 1989 meeting. Upon a motion by Regent Haas, seconded by Regent Lucas the Board adjourned into Executive Session for the discussion of personnel matters. The Board reconvened in general session at 4:30 p.m.

Presidential Recommendations:

B-1 President Boothe recommended that the following Academic Personnel changes be approved.

Academic Changes

Faculty Appointments: Anju Ramjee, Assistant Professor of the Department of Economics and Finance, effective August 15, 1988; Balasubramani Ramjee, Assistant Professor of the Department of Economics and Finance, effective August 15, 1988.

Other Appointments: Kate M. Fenner, Adjunct Professor of the Department of Nursing, effective January 1, 1989.

Resignations: William Daniel File, Associate Professor of the Theatre Department, effective January 1, 1989; Joan Lichtman, Assistant Professor of the Nursing Department, effective January 1, 1989.

Paid/Unpaid Leaves: Katherine C. Kurk, Assistant Professor of the Literature and Language Department, unpaid leave, with benefits, beginning January 6, 1989 and ending May 15, 1989; Dennis Sies, Associate Professor of the Political Science Department, unpaid leave, without benefits, beginning January 1, 1989 and ending December 31, 1989.

Upon a motion by Regent Lucas, seconded by Regent Duncan, the recommendation passed unanimously.

B-2 President Boothe recommended approval of the promotion, tenure, continued probation, continued probation with difficulties and terminal reappointment schedule as follows:

Promotion/ Tenure/etc.

Promotion

Kevin Booher	Angela Lipsitz	Paul Reichardt
Mildred Bork	Dennis J. Lye	Jennifer Smith
Perry Bratcher	Carrie McCoy	David S. Thomson
Larry L. Dickson	Janet A. Miller	Jack K. Wann
J. Ronald Gardella	Banwari Mittal	
W. Jonathan Gresham	Margaret Myers	
James R. Gray	Cynthia Kukla	
Thomas K. Harden	Phillip J. Obermiller	

Tenure

Perry Bratcher	Banwari Mittal	Dennis Sies
W. Jonathan Gresham	Carrie McCoy	Jennifer Smith
Cynthia Kukla	Margaret Myers	Michael L. Turney
Dennis J. Lye	Phillip J. Obermiller	David S. Thomson

Continued Probation

Roger D. Blanchard	Jim Friedman	Angela Lipsitz
W. Steven Brooks	Ellen Gerken	Vijay V. Raghaven
Chawengsak Changchit	Jon M. Hastings	James G. Ragsdale
Sarah H. Dessner	Thomas Heard	Beverly A. Reno
David L. Dunevant	Nancy Hinzman	Denise Robinson
Allen Ellis	Ken Jones	Laura Sullivan
Ana England	Katherine C. Kurk	Penelope Summers
John E. Filaseta	Michael E. King	J. Michael Thomson
Nancy L. Firak	Donald K. Krug	Robert Trundle, Jr.

Continued Probation with Difficulties

Paul D. Cooper	Nancy A. Lang	James O. Luken

Terminal Reappointment

John Crowe	Iris Tiedt

Upon a motion by Regent Haas, seconded by Regent Fields, the recommendation passed unanimously.

B-3 President Boothe recommended the approval of 18 sabbaticals for the 1989-90 year. The recipients were: Sabbaticals

Barry Andersen	Janet M. Johnson	Katherine C. Rosenthal
Bart Braden	Gary L. Johnston	Lowell F. Schechter
K. Kenneth Carter	Miriam Steinitz Kannan	Linda Jensen Sheffield
Donald K. Cobb	Nancy Dysart Martin	Raman J. Singh
Edward P. Goggin	Janet A. Miller	Edwin T. Weiss, Jr.
W. Jack Grosse	Stephen E. Newman	Donald R. Welti

Upon a motion by Regent Duncan, seconded by Regent Lucas, the recommendation passed unanimously.

B-4 President Boothe recommended that the non-academic personnel actions for the period of October 1, 1988 through December 31, 1988 be approved. Upon a motion by Regent Haas, seconded by Regent Fields, the recommendation passed unanimously. President Boothe reported that approximately 30 percent of the terminations in the non-academic area were the result of employment opportunities in similar positions at increased salaries outside the University community. Personnel Actions

B-5 The following administrative personnel actions were recommended: Admin Personnel

Ms. Cynthia S. Dickens, Vice President for Student Affairs, leave of absence at half salary with benefits, effective August 15, 1989 through August 30, 1990;
Dr. Phyllis A. Sholtys, Assistant Vice President for Information Management, transfer to faculty within the Department of Technology, effective June 30, 1989;
Dr. Ralph A. Tessenneer, Special Assistant to the President, retirement effective May 1, 1989.

Upon a motion by Regent Duncan, seconded Regent Kappas, the recommendation passed unanimously.

B-6 President Boothe recommended that the Board of Regents authorize the Director of Personnel Services to make technical adjustments to executive compensation contracts to insure compliance with IRC Section 89, newly inacted legislation regulating welfare benefits. Upon a motion by Regent Lucas, seconded by Regent Duncan, the recommendation passed unanimously. IRC 89

B-7 President Boothe recommended approval of a new interdisciplinary minor in Japanese Studies. Upon a motion by Regent Haas, seconded by Regent Fields, the recommendation passed unanimously. Japanese Minor

B-8 President Boothe recommended authorization to develop policies and procedures to implement a deferred tuition payment plan effective Fall, 1989. This program provides for initial payment of 1/3 tuition, with 1/3 due in 30 days, and the final installment due 60 days after the first day of classes. Upon a motion by Regent Duncan, seconded by Regent Kappas, the recommendation passed unanimously. Deferred Tuition

B-9 President Boothe recommended the approval of a lease agreement between Northern Kentucky University and Northern Kentucky University Foundation, Inc. for the use of house 415 Johns Hill Road. This house is to be used as office space for the NKU Foundation. Upon a motion by Regent Lucas, seconded by Regent Fields, the recommendation passed unanimously. JHR 415

B-10 President Boothe recommended approval of the 1989-90 tuition schedule. The recent schedule includes an average tuition increase of 5.3 percent. This increased tuition also includes the student incidental fee, which is being increased from $3 per credit hour to $5 per credit hour. Upon a motion by Regent Haas, seconded by Regent Fields, the recommendation passed unanimously. Tuition Schedule

B-11 President Boothe recommended approval of the 1989-90 fee schedule which included parking registration fees effective through the 1990-92 biennium. Upon a motion by Regent Wallace, seconded by Regent Haas, the recommendation passed unanimously. Fee Schedule

B-12 President Boothe recommended amendment of the 1988-89 operating budget to reflect an increase in revenue of $612,936. This revenue increase results from increased tuition receipts. Upon a motion by Regent Haas, seconded by Regent Duncan, the recommendation passed unanimously. 88-89 Budget Revisions

B-13 President Boothe recommended that an endowed professorship in the Department of Allied Health, Human Service and Social Work be established and named the Mark A. Herrmann Professorship. This endowment is available as a result of a future gift to Northern Kentucky University. Upon a motion by Regent Duncan, seconded by Regent Fields, the recommendation passed unanimously. Herrmann Endowment

Other Business:

President Boothe reported on remaining Board dates of May 10, 1989, and July 26, 1989. Additionally, the President distributed documents detailing recent faculty achievements and publicity received by Northern Kentucky University as a result of these achievements.

The meeting adjourned upon a motion by Regent Haas, seconded by Regent Boothe at 4:55 p.m.

Elmer J. Haas, Jr., Secretary

Carla S. Chance

Carla S. Chance, Assistant Secretary

I Elmer J. Haas, Jr., Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on January 25, 1989, and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 28th day of April, 1989.

2421I

Agenda
Special Meeting
Northern Kentucky University
Monday, February 27, 1989 - 8 a.m.
Regents Board Room - Administrative Center

EXTRA COPY

Call To Order

Roll Call

Presidential Recommendations

1. Series A Housing System Bond Refunding Resolution
2. Series C Housing System Bond Sale
3. Series C Consolidated Educational Bond Refunding Resolution
4. Series E Consolidated Educational Bond Sale

Other

1. Quarterly Meeting Dates

   May 10, 1989

   July 26, 1989

Board of Regents Special Meeting
Monday, February 27, 1989

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 8:05 a.m. on Monday, February 27, 1989, by Chairman Verst.

Roll Call: Regents Present - Dr. Herbert Booth, Mr. John Brooking, Mr. John Fields, Mr. Elmer Haas, Mr. Scott Kappas, Mr. Ken Lucas, Mr. Phil Taliaferro, Mr. William Verst, Dr. Lew Wallace.

Presidential Recommendations:

President Leon Boothe introduced Mr. Michael Baker, Assistant Vice President for Business Affairs, who summarized the Commonwealth's efforts to refinance Housing and Consolidated Educational Bonds. Rubin & Hayes have been appointed as Bond Counsel. Merritt Financial Corporation of Lexington, Kentucky, and Russell, Rea and Zeppalla, Inc., of Pittsburgh, Pennsylvania have been appointed as Fiscal Agent for the refinance efforts. In response to questions by Regent Haas, Mr. Baker indicated that the bond market is in a changing phase and the Commonwealth has identified a "window of opportunity" which will permit refinancing with a savings to the Commonwealth and to the institutions.

1. President Boothe recommended the adoption of the resolution permitting the refunding of Housing System, Series A Bonds. Upon the motion of Regent Haas, seconded by Regent Brooking, the recommendation passed unanimously.

2. President Boothe recommended the sale of Housing System, Series C Bonds in accordance with the sale guidelines established by Bond Counsel and the Fiscal Agent. These guidelines provide for the establishment of an Executive Committee of the Board for the limited purpose of executing the sale on behalf of the Board. Chairman Verst was empowered to name such an Executive Committee. Upon the suggestion of Regent Haas, it was determined that the Committee would be comprised of the officers of the Board, if available, or other Regents as necessary in order to meet at the appointed time of the sale. Upon a motion by Regent Kappas, seconded by Regent Fields, the recommendation passed unanimously.

3. President Boothe recommended the adoption of the resolution permitting the refunding of Consolidated Educational, Series C Bonds. Upon the motion of Regent Taliaferro, seconded by Regent Lucas, the recommendation passed unanimously.

4. President Boothe recommended the sale of Consolidate Educational, Series E Bonds in accordance with the sale guidelines established by Bond Counsel and the Fiscal Agent. These guidelines provide for the establishment of an Executive Committee of the Board for the limited purpose of executing the sale on behalf of the Board. Chairman Verst was empowered to name such an Executive Committee in accordance with the provisions of recommendation two above. Upon a motion by Regent Brooking, seconded by Regent Haas, the recommendation passed unanimously.

President Boothe reported that the anticipated Bond Sale is to occur on Wednesday, March 15, between 10 a.m. and noon.

The meeting adjourned on a motion by Regent Haas, seconded by Regent Lucas, at 8:20 a.m.

Elmer J. Haas, Jr., Secretary

Carla S. Chance, Assistant Secretary

Certification

I, Elmer J. Haas, Jr., Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on February 27, 1989, and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 3rd day of April, 1989.

Executive Committee Meeting
Wednesday, March 15, 1989

The Executive Committee of the Board of Regents of Northern Kentucky University, empowered by the Board to execute the sale of Housing System, Series C Bonds and Consolidated Educational, Series E Bonds, met on Wednesday, March 15, 1989 in the Board of Regents Meeting Room. Members of the Committee were Chairman William Verst, Vice Chair Herbert Booth, and Regent Lew Wallace. Chairman William Verst called the meeting to order at 12:05 p.m.

Dr. Gene W. Scholes, Vice President for Administration, introduced the guests who were attending the special meeting as follows: Mr. Paul Ruby, Office of Investment and Debt Management; Mr. Terrell Ross, Merit Financial Corporation; Mr. Carl Fust, Rubin & Hayes; Ms. Helen Billak, Russell, Rea & Zappala, Inc.; and Mr. Richard Tapke, Jr., Huntington Bank of Kenton County, Inc.

Bond Counsel Carl Fust reported that five bids had been received on the Consolidated Educational Series E Bond. Mr. Terrell Ross and Ms. Helen Billak, Fiscal Agents, outlined the true interest costs of the various bids and reported that Merrill Lynch at 7.43 was the apparent low bidder for Series E.

On the advice of the Bond Counsel and Fiscal Agents, Regent Herbert Booth moved to accept Merrill Lynch as the low bidder on Series E. Regent Wallace seconded the motion which passed unanimously.

Bond Counsel Carl Fust reported that three bids had been received on the Housing System Series C Bond. Mr. Terrell Ross and Ms. Helen Billak, Fiscal Agents, outined the true interest costs of the various bids and reported that Bradford at 7.69 was the apparent low bidder for Series C.

On the advice of the Bond Counsel and Fiscal Agents, Regent Lew Wallace moved to accept Bradford as the low bidder on Series C. Regent Booth seconded the motion which passed unanimously.

Bond Counsel Carl Fust reported that the permanent bond file would contain all bids and that the anticipated bond closing date was set for April 4. Further, the actions of the Executive Committee would be ratified by the full Board on May 10, 1989.

Regent Wallace moved for adjournment, seconded by Regent Booth, meeting adjourned at 12:15 p.m.

William G. Verst, Chairman

Carla S. Chance, Assistant Secretary

Dated this 3rd day of April, 1989.

Boothe

Agenda
Northern Kentucky University
Wednesday, May 10, 1989 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation (Rick Howerton - Bapt Student Union)

Roll Call and Welcome

Minutes of Previous Meeting - Quarterly Meeting of January 25, 1989
Special Meeting of February 27, 1989
Executive Committee Meeting of March 15, 1989

A. Presidential Reports
1. Grants and Contracts Quarterly
2. Campus Planning
3. Spring 1989 Enrollment Report
4. Quarterly Financial Report
5. A-110 Student Financial Aid and Federal Programs Audit
6. Health Insurance Renewal
7. Strategic Plan
8.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Emeritus Professor Status
3. Non-academic Personnel Actions
4. Administrative Personnel Actions
5. Supplemental Compensation Policy
6. HIV Infection Policy
7. Social Studies Minor/Academic Emphasis in Middle Grades Education
8. Athletic Training Minor
9. Residence Hall Construction Project
10. Ratification of Bond Refinancing
    a. Housing Series C
    b. Consolidated Educational Series E
11. Bad-Debt Write-Off
12. 1988-89 Operating Budget Revisions
13. 1989-90 Operating Budget
14.
15.
16.

C. Other Business

1. Next Meeting: July 26, 1989 - Election of Officers

2. Other Next meeting will be election of Board Officers

Dinner at Alumni Lecpt. Center

MAY 10 1989

BOARD OF REGENTS MEETING
Wednesday, May 10, 1989

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, May 10, 1989 by Chairman Verst. The invocation was given by the Reverend Rick Howerton.

Roll Call:

Regents present: Dr. Herbert Booth, Mr. John R.S. Brooking, Mr. David Duncan, Mr. John Fields, Mr. Elmer Haas, Mr. J. Scott Kappas, Mr. Kenneth Lucas, Mr. Philip Taliaferro, Mr. William Verst, Dr. Lew Wallace.

Others present: Dr. David Jorns, Dr. Gene Scholes, Ms. Sheila Bell, Ms. Rose Stauss, Ms. Cynthia Dickens, Mr. Dennis Taulbee, Mr. Robert Knauf, Mr. Michael Baker, Mr. Rick Howerton, Mr. Greg Stewart, Ms. Patty Hayden, Ms. Sonda Cummins, Ms. Paula Bricker, Mr. Russell Kerdolff, Ms. Mary Paula Schuh, Mr. Jerry Legere, Ms. Deborah Ladwig, Ms. Terri Malone, Ms. Becky Myers, Ms. Helen Wilhelm-Olsen, Ms. Mary Ryan, Ms. Diane Hunley, Ms. Mary Rauen, Ms. Janet Krebs, Ms. Susan Nuxoll, Dr. Robert Bussom, Ms. Nancy Martin, Mr. Mike Hickman, Ms. Mary Huening, Ms. Tamara Curry, Dr. Darryl Poole, Mr. Rick Meyers, Ms. Debra Vancy of the Kentucky Post, and Mr. Ray Schaefer of the Kentucky Enquirer.

The minutes of the previous quarterly meeting, January 25, 1989 were approved upon a motion by Regent Haas, seconded by Regent Kappas. The minutes of the special meeting, February 27, 1989 were approved upon a motion by Regent Haas, seconded by Regent Brooking. The minutes of the executive committee meeting March 15, 1989 were approved upon a motion by Regent Lucas, seconded by Regent Fields.

Presidential Reports

A-1	Dr. Jorns summarized the Grant and Contract Activity Report for period of January through March, 1989 and emphasized the 13.5% increase in funding.	Grants/ Contract
A-2	The Campus Planning Report was highlighted by Dr. Jorns, who stated that the Science and Technology Building is scheduled for completion in August, 1989 with full occupancy by January, 1990.Also mentioned was the selection by the State of the architectural firm of KZF, Inc. for the Fine Arts Completion Project. The University has raised approximately $450,000 from private sources to fund one half of the first year's debt service on this project.	Campus Planning
A-3	Dr. Jorns reported a record spring enrollment increase of 7.29% head count and 8.38% FTE.	Spring Enrollment
A-4	The quarterly financial report for the third quarter of the 1988-89 fiscal year depicted Northern's revenue and expenditures on target with budget (revised) figures.	Financial Report
A-5	Dr. Jorns called attention to the A-110 Audit of Federal Programs. The results of the audit are very favorable with no material weaknesses in the internal accounting controls noted.	A-110 Audit

A-6 A report on Health Insurance Renewal was presented by Dr. Jorns explaining the changes made in Pru Care Plus which was necessitated by high utilization of the plan and the frequency of major claims. The renewal is for 8 months through December 31, 1989 at which time there will be discussions of renewal. Health Insurance

Regent Wallace stated that he has serious reservations about the insurance company because of the large increase as well as the way in which they have handled cases, and also questioned whether such an increase was necessary. Regent Lucas stated that an insurance company is required to show the amount of claims as increased costs are discussed. High utilization is the key, and by increasing copayments, it may discourage individuals from using insurance except for necessary health care and tests. Dr. Scholes reported that originally the company wanted a 64% increase but after several meetings of negotiations the University agreed to a 30% increase. Both Regent Booth and Regent Verst mentioned the fact that industry wide increases of 35% are seen around the nation. Regent Booth also stated that good stewardship practices result as individuals share health care costs.

A-7 Dr. Jorns presented the University Strategic Plan explaining the procedures used in preparation of the document. The finished document will be forwarded to the Regents after final review by the University community. The administration will operate in principle until the plan is accepted by the Regents, perhaps at the next quarterly meeting. Strategi Plan

Dr. Jorns recommended an Executive Session to discuss a personnel matter. The meeting recessed at 4:25 p.m. on motion by Regent Haas, seconded by Regent Duncan.

The meeting resumed at 5:15 p.m.

Presidential Recommendations

B-1 Dr. Jorns recommended the following academic affairs actions be approved.

Faculty Appointments - Rudy L. Garns, Department of Anthropology, Sociology, and Philosophy; Donald L. Kazee, Chase College of Law; Danny L. Miller, Department of Literature and Language; Chenliang Sheng, Department of Literature and Language; Steven V. Wilkinson, Department of Mathematics and Computer Science.

Retirement - Compton Allyn, Department of Management and Marketing.

Resignation - Iris Tiedt, Education Department. Academic Affairs

Paid/Unpaid Leaves - Nancy C. Eimers, unpaid leave with benefits, Spring Semester, 1990; Susan Hollis-Nakao, unpaid leave without benefits for the 1989-90 academic year; Lisa Long, unpaid leave without benefits,Nov. 1 through May 15, 1990; Ronald Von Singer, unpaid leave without benefits, January 1, 1989 through May 15, 1989.

Upon a motion by Regent Lucas, seconded by Regent Booth, the motion passed unanimously.

B-2 It was recommended by Dr. Jorns that Emeritus status for Compton Allyn, Professor of Management, received board approval. The motion by Regent Brooking, seconded by Regent Duncan, received unanimous approval. Professor Emeritus

B-3 Non-academic personnel actions (list attached to these minutes) were presented by Dr. Jorns for approval. A motion by Regent Duncan, seconded by Regent Kappas, passed unanimously. Non-acad. Actions

B-4 It was recommended by Dr. Jorns that the following administrative appointments within Student Affairs be approved:

Patty Ferguson Hayden, Director of Residential Life
Gregory Stewart, Director of Admissions

Admin. Appts.

Ms. Cynthia Dickens introduced the two individuals to the Regents and stated the credentials for both. Upon a motion by Regent Kappas, seconded by Regent Duncan, the recommendation passed unanimously.

Chairman Verst called upon Regent Lucas, chairman of the Evaluation Team for President Boothe, for a report. Regent Lucas stated that Regent Haas and Regent Booth were members of the committee. The evaluation was based on written communications sought by the committee from various University constituencies. Except for a few minor criticisms the letters were quite positive and felt President Boothe was doing an excellent job as executive officer of the University. Regent Taliaferro stated that he had talked with students and faculty and overall, most felt that the President was performing quite well. Evaluation Report on Pres. Boothe

Regent Lucas recommended that a 7% increase be given to the President with a one-year extension of the four-year contract. Regent Lucas commented that while Northern is not competitive in the market as far as salaries are concerned, the President requested that he receive the same as the average salary increase for faculty and staff. Regent Duncan seconded the motion. Regent Wallace stated that he would be casting no vote, not because he disapproved the motion, but that as faculty regent, the Kentucky Statutes do not permit him to vote on matters of remuneration for members of the faculty community. The vote was 9 ayes and 1 abstention.

B-5 Dr. Jorns presented a recommendation that the Supplemental Pay Policy (attached to these minutes) be approved for inclusion in the Personnel Policies and procedures Handbook. This policy will set a stardarization of salaries for staff working outside their normal activities. The motion by Regent Duncan, seconded by Regent Booth, was passed unanimously. Supp. Pay Policy

B-6 It was recommended by Dr. Jorns that the Policies on Human Immunodeficiency Virus (HIV) Infection - Students and Employees be approved. The policy is attached to these minutes. Dr. Jorns noted that the policy provides a framework for decision-making, helps establish safety precautions for the University community, condemns harassment and discrimination of those with HIV infection, and demonstrates the commitment of the University to education about HIV infection. The recommendation passed unanimously on motion by Regent Booth and seconded by Regent Kappas. HIV Policy

B-7 Dr. Jorns recommended that a new minor/academic emphasis in middle grades education in Social Studies be approved. The motion by Regent Kappas, seconded by Regent Duncan, was passed unanimously.

Minor in Middle Grade Education

B-8 It was recommended by Dr. Jorns that a new minor in Athletic Training, Health and Physical Education, be approved. This program will give students an option in the education area. Acceptance of the recommendation was passed unanimously on motion by Regent Duncan, seconded by Regent Kappas.

Minor Phys.Ed.

B-9 Dr. Jorns recommended that the Regents authorize the University to proceed with bidding of new residence halls in accordance with the stipulations of KRS 56,816 to 56.820. Dr. Jorns explained that because of the unavailability of state funding for construction of additional residence halls, the privatization approach will be utilized. This allows for built-to-suit lease arrangements between the Commonwealth and a private developer. The building will be in three phases with the first phase accommodating 200 students. Construction is planned for Fall 1989 with full occupancy scheduled for Fall 1990.

Residence Halls

Upon a motion by Regent Lucas, seconded by Regent Fields, the recommendation passed unanimously.

B-10 A - Dr. Jorns recommended that the resolution, prepared by Bond Counsel, Rubin & Hays, be adopted, ratifying the action taken by the Executive Committee on March 15, 1989 in executing the sale of Housing System Refunding Revenue Bonds, Series C dated March 1, 1989. Dr. Jorns stated that the refinancing means a significant savings to the University. Upon a motion by Regent Brooking, seconded by Regent Fields, the recommendation passed unanimously.

Refinancing Bond Resolutions

B - It was recommended by Dr. Jorns that the resolution, as prepared by Bond Counsel, Rubin & Hays, be adopted by the Board of Regents, ratifying the action taken by the Executive Committee on March 15, 1989 in executing the sale of Consolidated Educational Buildings Refunding Revenue Bonds, Series E dated March 1, 1989. This refinancing (like B10A) means significant savings. Upon a motion by Regent Brooking, seconded by Regent Fields, the recommended passed unanimously.

Both resolutions are attached to these minutes.

B-11 Dr. Jorns recommended Board authorization be given to the Assistant Vice President for Business Affairs to write off:

Student Receivables - $4,277.07
Loan Fund Receivables - $2,472.46

Bad Debt Write-Off

The accounts are over one year in age and have been returned by our collection agency as uncollectible.

Upon a motion by Regent Kappas, seconded by Regent Fields, the recommendation passed unanimously.

B-12 It was recommended that the 1988-89 operating budget be amended upward by $275,743 to $41,289,279 to reflect budgeted revenue activity since the prior Board meeting of January 25, 1989. Dr. Jorns explained that this increase was due to record spring enrollment which resulted in additional tuition revenue as well as bookstore revenue. Upon a motion by Regent Booth, seconded by Regent Brooking, the recommendation passed unanimously.

Revised 1988-89 Revenue Budget

B-13 It was recommended that the 1989-90 Annual Operating Budget of $43,350,000 be approved as submitted in the resolution (attached to these minutes). Dr. Jorns stated that the 1989-90 budget is an increase of $3,600,000 over the budget for 1988-89.

Upon a motion by Regent Brooking, seconded by Regent Kappas, the recommendation passed unanimously.

1989-90 Operating Budget

Other Business

The next quarterly meeting to scheduled for July 26, 1989 at which meeting election of officers will be held.

The meeting adjourned at 5:40 p.m. on motion by Regent Lucas, seconded by Regent Fields.

Elmer J. Haas, Jr., Secretary

Dolores Thelen, Assistant Secretary Pro-tem

I, Elmer J. Haas, Jr., Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on May 10, 1989 and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 17th day of June, 1989.

Agenda
Northern Kentucky University
Wednesday, July 26, 1989 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation Reappoint. of Duncan

Roll Call and Welcome

Minutes of Previous Meeting - Quarterly Meeting of May 10, 1989

Election of Officers - Chairman
Vice Chairman
Secretary/Treasurer
Assistant Secretary

Congrat to ~~ass~~ ~~ociates~~ Thank you to Regents + Jonns for ~~making~~ working last meeting

Staff Congress Officers

A. Presidential Reports
1. C G & E Lighting Award Presentation Keith Black
2. Grants and Contracts Quarterly
3. Campus Planning
4. Quarterly Financial Report
5. Indiana Tuition Contract
6. International Education - Andhra University
7. Thanks to IBM for donation of computer paper - David Duncan
8. Prelim Summer School Enroll

Executive Session audit long
immediate prop. acquisition
master land use
File for Convocation
17 acres on Cov = block view

B. Presidential Recommendations
1. Academic Personnel Actions
2. Non-Academic Personnel Actions
3. Administrative Personnel Actions
4. Personnel Policies Manual Revision - Temporary and Contract Employment
5. Dance Minor
6. Institutional Strategic Plan 1989-1994
7. 1990-92 Capital Budget Recommendation
8. 1988-89 Operating Budget Revisions
9.
10.

C. Other

1. Quarterly Meeting Schedule
November 1, 1989
January 31, 1990
May 9, 1990
July 25, 1990

Joint Meeting with CHE - Sept 17+18
Cynthia Dickens Lowe
Dinner at Reception Center

0883R

JUL 26 1989

Board of Regents Meeting
Wednesday, July 26, 1989

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4 p.m. on Wednesday, July 26, 1989, by Chairman Verst. The invocation was given by the Reverend Anne Eason, United Methodist Wesley Foundation, NKU.

Roll Call: Regents Present - Dr. Herbert Booth, Mr. John Brooking, Mr. David Duncan, Mr. John Fields, Mr. Elmer Haas, Mr. Scott Kappas, Mr. Ken Lucas, and Mr. William Verst.

Others present: Ms. Sheila Bell, Ms. Cynthia Dickens, Mr. Peter Hollister, Dr. David Jorns, Dr. Gene Scholes, Mr. Dennis Taulbee, James Alford, Robert Appleson, Ches Arthur, Michael Baker, Keith Black from CG&E, Carol Bredemeyer, Lillian Conrad, Sonda Cummins, Donna Gosney, Tom Handorf from The Northerner, Crystal Harden from Kentucky Post, John Johnson, Jerry Legere, Joyce Maegly, Karen Ogburn, Norleen Pomerantz, Ginny Poynter, Susan Roth, Mary Ryan, Rose Stauss, Helen Wilhem-Olsen

The minutes of the previous quarterly meeting, May 10, 1989, were approved with the insertion of corrected page three on a motion by Regent Haas, seconded by Regent Duncan.

Election of Officers: Regent Ken Lucas moved that the following slate of officers be submitted for nomination to office: Herbert Booth, Chair; William Verst, Vice-Chair; Elmer Haas, Secretary/Treasurer; Carla Chance, Assistant Secretary. Regent Duncan seconded the motion. Regent Haas moved that nominations close, seconded by Regent Duncan, the slate of officers was approved.

Presidential Reports

A-1 President Boothe introduced Mr. Keith Black, Cincinnati Gas & Electric, who presented an "Excellence in Lighting" Award to Northern Kentucky University for exterior lighting at the Highland Heights campus. Mr. Black emphasized the importance of business, utilities and government working together. This is the first award to an educational institution. This presentation included a slide overview of several evening photographs of the University. — Lighting Award

A-2 President Boothe summarized the fourth quarter fiscal year 1989 report of Grant and Contract Activity and noted that funds approved in 1989 had increased 28 percent over funds approved in 1988. — Grant & Contract

A-3 President Boothe reviewed the Campus Planning Report with particular attention to the progress being made with completion of — Campus Planning

the Applied Science and Technology Center. The Fine Arts Completion Project continues in the design phase with some need for redesign. The University Foundation has provided funding for a phase one of a campus-wide landscape master plan. The Department of Finance is currently reviewing a draft RFP for the new residence hall development

A-4 The quarterly Financial Report for the fourth quarter of the 1988-89 fiscal year depicted the institution's revenue and expenditure schedules. President Boothe noted a 60 percent increase in investment earnings. Quarterly Financial

A-5 President Boothe reported on recent legislation enacted by the State of Indiana which provides tuition assistance to Indiana residents attending Northern Kentucky University. This program will provide tuition-assisted access to 141 students in the 1989-90 academic year. Additionally, President Boothe reported that Regent Duncan had been asked to serve on a special Council on Higher Education committee that would investigate tuition reciprocity with Indiana. Indiana Tuition

A-6 President Boothe reported on progress which is being made at Northern Kentucky University in international education through an agreement with Andhra University. This agreement, when finalized, will provide for faculty exchange between the two institutions with the prospect of student exchange. Dr. Lew Wallace, Professor of History and Faculty Regent, will serve as Coordinator. Andhra Agreement

In concluding the presentation of Presidential Reports, President Boothe expressed congratulations to the newly-elected officers and thanked the Board and Provost Jorns for their efforts in conducting the May 10 Board meeting in the absence of the President.

President Boothe introduced the 1989-90 officers of Staff Congress: Donna Gosney, President; Sue Roth, Vice President; Lillian Conrad, Secretary.

A special thanks was given to Regent Duncan for his assistance in procuring a $4,500 gift-in-kind from IBM.

Regent Duncan moved that the Board adjourn into executive session for the purpose of discussion personnel and real estate issues. Motion seconded by Regent Kappas and passed. Reconvened at 5:15 p.m.

Presidential Recommendations

B-1 President Boothe recommended that the following academic personnel changes be approved: Academic Personnel

Administrative Appointments: Robert R. Appleson, Assistant Provost for Planning and Assessment, effective July 1, 1989; Sandra W. Easton, Interim Dean of the College of Business, effective for the 1989-90 fiscal year; Thomas K. Harden,

Acting Dean of University College, effective for the 1989-90 fiscal year; Ronald J. Hoffman, Director of Media Services, effective August 1, 1989; Kelly Beers Rouse, Assistant Dean for Admissions and Recruitment, Chase College of Law, effective July 1, 1989; Robert Vitz, Acting Chairperson of the History and Geography Department for the 1989 fall semester.

Renewed Administrative Appointments: Robert E. Collier, Assistant Chairperson of the Literature and Language Department for the 1989-90 fiscal year; Joseph H. Conger, II, Acting Chairperson of the Theatre Department for the 1989-90 fiscal year; Michael McPherson, Acting Chairperson of the Physics and Geology Department for the 1989-90 fiscal year; Betty J. Soave, Acting Chairperson of the Nursing Department, effective August 1, 1989; Howard Storm, Acting Chairperson of the Art Department for the 1989-90 fiscal year.

Faculty Appointments: Joyce Adams, Assistant Professor of the Communications Department, effective August 15, 1989; Charles R. Allison, Assistant Professor of the Technology Department, effective August 15, 1989; William Barksdale, Assistant Professor of the Theatre Department, effective August 15, 1989; Willie L. Elliott, Assistant Professor of the Allied Health, Human Services and Social Work Department, effective August 15, 1989; David Feuerman, Assistant Professor of the Communications Department, effective August 15, 1989; Nicole Grant, Assistant Professor of the Sociology, Anthropology and Philosophy Department, effective August 15, 1989; G. Ronald Hickey, Assistant Professor of the Education Department, effective August 15, 1989; Yasue Kuwahara, Assistant Professor of the Communications Department, effective August 15, 1989; Alar Lipping, Assistant Professor of the Education Department, effective August 15, 1989; Steven McCarthy, Assistant Professor of the Art Department, effective August 15, 1989; Blas Puente-Caldoceda, Assistant Professor of the Literature and Language Department, effective August 15, 1989; Gretchen Sears, Assistant Professor of the Theatre Department, effective August 15, 1989; Ronald A. Shaw, Assistant Professor of the Theatre Department, effective August 15, 1989.

Retirements: Edward Theodoru, Associate Professor of the Literature and Language Department, effective with the end of the 1989 fall semester.

Resignations: Kathleen Brinker, Associate Professor of the Nursing Department, effective May 15, 1989; W. Steven Brooks, Assistant Professor of the Communications Department, effective May 15, 1989; Robert S. Bussom, Dean of the College of Business, effective June 30, 1989; Janice Cantrall, Associate Professor of the Nursing Department, effective May 15, 1989; Warren Corbin, Professor of Education, effective May 15, 1989; Jim Friedman, Assistant Professor of the Communications Department, effective May 15, 1989; Carol W. Futhey, Professor of History and Geography Department, effective June 30, 1989; Cheryl McKenzie, Assistant Professor of the Nursing Department, effective May 15, 1989;

Iris M. Tiedt, Professor and Chairperson of the Education Department, effective July 31, 1989; John F. White, Dean of the University College, effective July 1, 1989, Dr. White continues with the University as Associate Professor of Communications.

Paid/Unpaid Leaves: Kenneth Jones, Assistant Professor of the Theatre Department, unpaid leave, without benefits, beginning August 15, 1989 and ending August 15, 1990; Lowell F. Schechter, Professor of Law, paid leave, with benefits, for the 1990 spring semester.

Upon a motion by Regent Booth, seconded by Regent Lucas, the recommendation passed unanimously.

B-2 President Boothe recommended that the non-academic personnel actions for the period April 1, 1989 through June 30, 1989, be approved: Upon a motion by Regent Kappas, seconded by Regent Brooking, the recommendation passed unanimously.

Non-academic Personnel

B-3 The following administrative actions were recommended:

Administrative Personnel

Appointments: Peter H. Hollister, Vice President for University Relations and Development, effective July 1, 1989; Robert A. Neumann, Director of Bursar Operations, effective August 28, 1989; Karen K. Ogburn, Acting Director of Personnel Services, effective July 1, 1989; Norleen K. Pomerantz, Acting Vice President for Student Affairs, effective August 14, 1989.

Leaves: Sheila Trice Bell, University Legal Counsel, continuation of leave arrangement which commenced April 18, 1988. Current arrangement includes one-fifth leave through August 31, 1989.

Resignations: G. Michael Hickman, Director of Personnel Services, effective July 28, 1989.

Upon a motion by Regent Lucas, seconded by Regent Haas, the recommendation passed unanimously.

B-4 President Boothe recommended that the Personnel Policy and Procedure Manual be revised to include an amended temporary employment policy which would permit the employment of contract personnel for longer-term arrangements. This revised policy addresses eligibility for benefits based on length of employment term. Upon a motion by Regent Haas, seconded by Regent Duncan, the recommendation passed unanimously.

Temporary & Contract Employment

B-5 President Boothe recommended approval of a new minor in dance in the Theatre Department. This minor has been created in response to student demand and is an important expansion of the existing curriculum. Upon a motion by Regent Duncan, seconded by Regent Fields, the recommendation passed unanimously.

Dance Minor

B-6 President Boothe recommended approval of the Institutional Strategic Plan 1989-1994. This document is essential to the future of the University. President Booth pointed out pages 5 and 6 which discuss the University's external and internal environment. New emphases for the University are grouped according to the concepts of quality, access and contribution. Upon a motion by Regent Kappas, seconded by Regent Booth, the recommendation passes unanimously. — Strategic Plan

B-7 President Boothe presented the 1990-1992 Capital Budget Request which includes the Convocation Center as a community project. Additionally, the University has put forward 37 projects totalling $86 million for funding review. This budget request provides for the completion of Phase I of the master plan and provides for a $15.5 million residence hall development. Upon a motion by Regent Duncan, seconded by Regent Booth, the recommendation passed unanimously. — 1990-92 Capital Budget

B-8 President Boothe recommended amendment of the 1988-89 operating budget to reflect an increase in revenue of $216,221. Upon a motion by Regent Haas, seconded by Regent Lucas, the recommendation passed unanimously. — 1988-89 Operating Revisions

B-9 President Boothe recommended that the Board establish an Audit Committee whose functions shall include: an annual recommendation concerning the issuance of a contract for performance of the institutional audit including an audit of the federal programs, receipt of the detailed annual audit report from the University administration and representatives of the external auditing firm, and presentation of the annual audit to the full Board of Regents. This recommendation included the timetable for the period November 1989 through December 1990, which commences with an RFP seeking an external audit contract for the 1989-90 fiscal year records. Upon a motion by Regent Haas, seconded by Regent Booth, the motion passed unanimously. Chairman Verst deferred selection of the Audit Committee membership to Chairman-elect Herbert Booth. — Audit Committee

B-10 President Boothe requested authorization to negotiate the transfer of approximately 17 acres located at the University College campus to the NKU Foundation. This property would be used by the Foundation as a development site with revenue accruing to the University. Upon a motion by Regent Duncan, seconded by Regent Fields, the motion passed unanimously. — Property Transfer

Other Business

President Boothe noted the upcoming quarterly meeting dates for the Board of Regents.

Additionally, he reminded the Board of the Council on Higher Education sponsored Decision Makers Retreat scheduled in Louisville, Kentucky on September 17 and 18.

Chairman William Verst congratulated the newly-elected Board. Regent Duncan extended a special thanks to outgoing Chairman Verst for his leadership with the Board.

The meeting adjourned upon a motion by Regent Brooking, seconded by Regent Fields, at 5:35 p.m.

Elmer J. Haas, Jr., Secretary

Carla S. Chance

Carla S. Chance, Assistant Secretary

I, Elmer J. Haas, Jr., Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on July 26, 1989, and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 18th day of Oct, 1989.

914R

Boothe

Agenda
Northern Kentucky University
Wednesday, November 1, 1989 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Minutes of Previous Meeting - Quarterly Meeting of July 26, 1989

A. Presidential Reports
1. Grants and Contracts Quarterly
2. Campus Planning
3. Quarterly Financial Report
4. President's Report and Annual Financial Report
5. Intercollegiate Athletics
6. Biennial Budget Overview
7. A-110 Audit (to be distributed at meeting)
8. 1989 Fall Enrollment Statistics
9. Basketball Season Special Sessions

B. Presidential Recomendations
1. Academic Personnel Actions
2. Non-academic Personnel Actions
3. 1989-90 Organization Chart
4. Central Administration Job Descriptions
5. 1990-92 Capital Budget Revisions
6. Residence Hall RFP
7. Series F Bond Sale Authorization (to be distributed at meeting)
8. 1989-90 Operating Budget Revisions
9. 1990-92 Biennial Budget
10.
11.

C. Other

1. Quarterly Meeting Schedule
January 31, 1990
May 9, 1990
July 25, 1990

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NOV 01 1989

Board of Regents Meeting
Wednesday, November 1, 1989

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4 p.m. on Wednesday, November 1, 1989, by Chairman Herbert Booth. The invocation was given by the Reverend Harold Orndorff, Christian Student Fellowship.

Roll Call: Regents Present - Dr. Herbert Booth, Mr. John Brooking, Mr. David Duncan, Mr. John Fields, Mr. J. Scott Kappas, Mr. Ken Lucas, Mr. Phil Taliaferro, Mr. William Verst, Dr. Lew Wallace.

Others Present: Ms. Sheila Bell, Mr. Peter Hollister, Dr. David Jorns, Ms. Norleen Pomerantz, Dr. Gene Scholes, Mr. Dennis Taulbee, Michael Baker, Jared Barlage, Denise Bisic, Barb Bolender, Sonda Cummins, Donna Gosney, Russell Kerdolff, Karen Ogburn, Harold Orndorff, Jane Pendergast - Cincinnati Enquirer, Connie Remlinger - Kentucky Post, Susan Roth, Stephen Ruck, Mary Paula Schuh, Rose Stauss, Constance Widmer

The minutes of the previous quarterly meeting, July 26, 1989, were approved on a motion by Regent Duncan, seconded by Regent Fields.

Presidential Reports

A-1 President Boothe presented the first quarter report of Grants and Contracts for the 1990 fiscal year. Dr. Boothe emphasized the important efforts being accomplished through grant programs and also discussed the far-reaching nature of the grant proposals. Funds approved for the first quarter, 1990, had increased 18.76 percent over the first quarter, 1989. Grants & Contracts

A-2 President Boothe announced that the Applied Science and Technology Center was near completion and that Spring 1990 classes would be held in the Center. The Fine Arts Completion project continues in the design phase. The Residence Hall RFP had been finalized and submitted to the state officials for completion, printing, and advertising. Bids should be received in early February. Campus Planning

A-3 The quarterly Financial Report for the first quarter of the 1989-90 fiscal year depicted the institution's revenue and expenditure schedules. President Boothe noted that in many categories, the financial picture was parallel to the 1988-89 first quarter. Quarterly Report

A-4 President Boothe presented the 1988-89 President's Annual Report and Annual Financial Report and emphasized the revised reporting format. The examination of the University's financial records was conducted by the firm of Rankin, Rankin and Company. Pres. Annual Report

A-5 President Boothe shared information generated for the Kentucky Council on Higher Education to satisfy reporting requirements on intercollegiate athletics. Dr. Boothe emphasized that Northern has limited dedicated fees to support athletics and that Northern's intercollegiate program has the lowest level of expenditures in the state. Intercoll. Athletics

A-6 Dr. Boothe presented a summary of the biennial budget process which included a description of the formula funding process. The biennial budget request for 1990-92 is based on 100 percent funding of the formula. The Council on Higher Education will discuss the institution's biennial budget requests on November 6. President Boothe indicated that the 1990-92 biennial budget process was complicated by the "Corns" ruling regarding educational reform. Biennial Budget

A-7 The annual audit report of the University's federally funded programs, including financial aid programs for the fiscal year ending June 30, 1989, contained favorable comments with no material weaknesses in the internal accounting controls noted. A-110 Audit

A-8 President Boothe reported on the Fall 1989 enrollment with a headcount increase of 8.8 percent over Fall 1988. President Boothe noted that the University experienced an 8.4 percent increase in first-time freshmen. The current student population is 54.8 percent female and 19.7 percent of the enrollment from out of state. Fall Enrollment

Presidential Recommendations

B-1 President Boothe recommended that the following academic personnel changes be approved: Academic Personnel Actions

Administrative Appointments: Karin R. Fetterman, Acting Director of the ReEmployment Center, effective August 1, 1989; Dale L. Lawver, Professor and Chairperson of the Education Department, effective August 1, 1989; Sue Ward Theissen, Acting Director of the Community Education and Service Department, effective August 21, 1989.

Faculty Appointments: Marion B. Lawson, Assistant Professor of the Management and Marketing Department, effective August 15, 1989; Balakrishnan Muthuswamy, Assistant Professor of the Information Systems Department, effective August 15, 1989; Jack L. Rasmussen, Assistant Professor of the Education Department, effective August 15, 1989; Phyllis A. Sholtys, Assistant Professor of the Technology Department, effective August 15, 1989; Mary Mazzei Walker, Assistant Professor of the Management and Marketing Department, effective August 15, 1989.

Resignations: Gary L. Eith, Director of the Community Education and Service Department, effective September 30, 1989; G. Douglas Roberts, Instructor of the Technology Department, effective August 1, 1989; Terry Zeimer, Assistant Professor of the Mathematics and Computer Science Department, effective August 1, 1989.

Paid/Unpaid Leaves: Elizabeth A. Bernard, Director of the ReEmployment Center, unpaid leave, without benefits, beginning August 1, 1989 and ending October 31, 1989; Donald R. Welti, Associate Professor of Psychology, unpaid leave, with benefits, for the 1990 spring semester.

Upon a motion by Regent Kappas, seconded by Regent Verst, the recommendation passed unanimously.

B-2 President Boothe recommended that the non-academic personnel actions for the period July 1, 1989 through September 30, 1989 be approved. President Boothe reported that of the 14 percent of the terminations resulted from employees accepting similar positions outside the University for an increased salary. Upon a motion by Regent Lucas, seconded by Regent Fields, the recommendation passed unanimously. Non-Acad. Personnel Actions

Regent Duncan moved that the Board adjourn into executive session for the purpose of discussing personnel matters and property issues. Upon a second by Regent Kappas, the motion passed unanimously. The Board adjourned into executive session at 4:25 p.m. and reconvened in regular session at 5:15 p.m.

B-3 President Boothe recommended that the revised University organization chart be approved. This chart reflects all changes recommended to the Board since adoption of the current chart. Upon a motion by Regent Verst, seconded by Regent Kappas, the motion passed unanimously. Org. Chart

B-4 President Boothe recommended that the revised position descriptions for central administration be approved. Upon a motion by Regent Kappas, seconded by Regent Taliaferro, the motion passed. Position Descrip.

B-5 President Boothe recommended approval of revisions to the 1990-92 Capital Budget Request. The revisions included the addition of the Hankins Hall Renovation project recommended for $660,000, and the acquisition of a New Bus at $225,000. Additionally, the scope was increased on the Residence Hall project from $15.5 m to $17 m and on the Ceramics/Sculpture Building from $1.3 m to $1.5 m. Upon a motion by Regent Duncan, seconded by Regent Fields, the motion passed unanimously. Capital Budget Revisions

B-6 President Boothe recommended that the Board approved the bidding of the Residence Hall RFP to accommodate up to 600 students. The original plans provided for a phased implementation but the housing demand makes it necessary to make the total 600 units available. Upon a motion by Regent Verst, seconded by Regent Brooking, the motion passed unanimously. Housing RFP

B-7 President Boothe recommended that the Board authorize the Executive Committee to issue $8,335,000 of Northern Kentucky University Consolidated Educational Buildings Revenue Bonds, Series F. Series F bonds would finance the completion of the Fine Arts Center. Upon a motion by Regent Brooking, seconded by Regent Kappas, the motion passed unanimously. Series F Bond

B-8 President Boothe recommended that the 1989-90 operating budget be amended upward by $1,490,504 to reflect revenue activity since the beginning of the fiscal year. Upon a motion by Regent Fields, seconded by Regent Verst, the motion passed unanimously. 89-90 Operating Revision

B-9 President Boothe recommended that the 1990-92 Biennial Budget Operating Request be approved including a state appropriation request of $33,139,000 in 1990-92 and $34,184,900 in 1991-92 and tuition of $14,499,500 in 1990-91 and $15,805,900 in 1991-92. Northern's first priority in the Biennial Budget Request after fixed costs and the initial 5 percent salary increase is "salary catch-up" funds. These funds are designed to provide the much needed relief in the academic and non-academic sectors. Upon a motion by Regents Lucas, seconded by Regent Brooking, the motion passed unanimously. 90-92 Biennial Budget

Other Business:

President Boothe reported that a Board of Regents Retreat was currently in the planning stages.

The meeting adjourned upon a motion by Regent Duncan, seconded by Regent Fields, at 5:35 p.m.

Carla S. Chance
Carla S. Chance, Assistant Secretary

I Carla S. Chance, Assistant Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on November 1, 1989, and that such matters are still in force and effect.

Carla S. Chance
Carla S. Chance, Assistant Secretary

Dated this 20th day of December, 1989.

2950I

Dr. Boothe's Copy of Bd Materials.

Agenda
Northern Kentucky Univesity
Wednesday, January 31, 1990 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation -- Ann Eason United Methodist Wesley Foundation

Roll Call and Welcome

Minutes of Previous Meeting - Quarterly Meeting of November 1, 1990 Thanks to Staff

A. Presidential Reports
1. Grants and Contracts Quarterly
2. Campus Planning
3. Quarterly Financial Report
4. Bank Contract
5. Professional Liability Insurance
6. 1990 Summer Fellowship Awards
7. 1990 Faculty Project Grants
8. 1990-92 Executive Budget Recommendations
9. Spring Enrollment
10.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Academic Promotion, Tenure, Continued Probation, and Continued Probation with Difficulties
3. Sabbatical Leaves
4. Non-academic Personnel Actions
5. Administrative Personnel Actions
6. Personnel Policy and Procedure Manual - Grievance Policy
7. Retirement Annuity Resolution
8. Freedom of the Press Statement
9. Indiana Tuition Assistance Program
10. Audit Committee Authority Recommendation
11. 1990-91 Tuition Recommendation
12. 1990-91 Fees Recommendation
13. 1989-90 Operating Budget Revision
14. 1990-92 Biennial Budget Priorities
15.
16.
17.

Exe Session: Transfer of Prop Highway Dept.

C. Other Business
1. Quarterly Meeting Schedule
May 9, 1990
July 25, 1990

* Regents Retreat - Hollister ideas & suggestion
* Feb 24 Regents night at B-B game
* May 12 commencement
Alumni Coll

3039I

JAN 31 1990

Board of Regents Meeting
Wednesday, January 31, 1990

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4 p.m. on Wednesday, January 31, 1990, by Chairman Herbert Booth. The invocation was given by the Reverend Anne Eason, United Wesley Foundation.

Roll Call: Regents Present - Dr. Herbert Booth, Mr. John Brooking, Mr. David Duncan, Mr. John Fields, Mr. J. Scott Kappas, Mr. Ken Lucas, Mr. Phil Taliaferro, Mr. William Verst, and Dr. Lew Wallace.

Others Present: Ms. Sheila Bell, Mr. Peter Hollister, Dr. David Jorns, Ms. Norleen Pomerantz, Dr. Gene Scholes, Mr. Dennis Taulbee, James Alford, Marilyn Anderson - Northerner, Ches Arthur, Michael Baker, David Beasley, Cincinnati Enquirer, Sonda Cummins, Molly Michelle Deeley, Anne Eason, Sandra Easton, Henry Fedders, Jr. - KZF, Donna Gosney, George Hoffman - Kentucky Transportation Cabinet, Joe Kearns - Kentucky Transportation Cabinet, Bill Lamb, Jerry Legere, Terri Malone, Karen Ogburn, Connie Remlinger - Kentucky Post, Susan Roth, Stephen Ruck, Mary Paula Schuh, Rosemary Stauss, Gregory Stewart, Dolores Thelen.

The minutes of the previous quarterly meeting, November 1, 1989, were approved on a motion by Regent Duncan, Seconded by Regent Kappas.

Presidential Reports

A-1 President Boothe summarized the second quarter report of Grant and Contract Activity, noting some decline in the the amount of funds requested compared to fiscal year 1989. However, an emphasis has been placed on the vast diversity of projects funded through grant sources. — Grants/ Contracts

A-2 President Boothe reported that the move into the new AS&T Center began on December 15, 1989. The data network installation contract related to this facility should be complete by August 1, 1990. Budget overruns continue to cause delays in the Fine Arts Completion project. The design phase for the building should be complete by April 9, 1990. Mr. Ken Cunningham has been retained to develop a landscape design plan for the area of campus near the Presidential Residence. Mr. Cunningham will also be reviewing landscape plans for the central plaza area. — Campus Planning

A-3 The Quarterly Financial Report for the second quarter of the 1989-90 fiscal year depicted the institution's revenue and expenditure schedules. President Boothe noted the excellent reporting in the financial area. — Quarterly Report

A-4 President Boothe reported that the University's banking services contract would be rebid during the next two months. Regents were — Bank Contract

advised of the necessity of providing notice of any personal officer or stockholder relationship to banks that would enter into the bid process. The proposed bid schedule provides for contract award in mid-March.

A-5 The University's professional liability insurance contract has been renewed as a single source purchase. President Boothe reported on the positive relationship with the current carrier and the importance of remaining with United Educators since the market does not offer viable alternatives and changes in coverage negatively affect the ability to relate claims to coverage periods. Liability Insurance

A-6 President Boothe reported that seventeen faculty had been selected to participate in the 1990 Summer Fellowship Program. Participants are: Summer Fellowship

Roger Blanchard	Cynthia Kukla	Blas Puente-Baldoceda
Nancy Firak	Vinay Kumar	Robert Seaver
Carolyn Hagner	Angela Lipsitz	Carl Slater
Randolph Holt	Janet Miller	Jeffrey Smith
Gene Krauss	Ljubomir Nacev	Christine Stiegler
	Linda Olasov	John Valauri

A-7 President Boothe reported that ten faculty had been selected to participate in the 1990-91 Faculty Project Grant Program. Participants are: Project Grants

Judith Bechtel	Randolph Holt	James Ramage
Roger Billings, Jr.	Cynthia Kukla	Christine Stiegler
Charles Frank	James Niewahner	Lew Wallace
Nancy Hinzman		

A-8 President Boothe briefly summarized Governor Wilkinson's 1990-92 Executive Budget proposal for higher education. The proposal provides for a $2.0 million increase for 1990-91 and an additional $1.6 million for 1991-92. The Governor has expressed support for both the Library Expansion Project and the Convocation Center. Executive Budget

A-9 President Boothe reported that for preliminary enrollment figures indicated that spring enrollment at NKU had exceeded 10,000 for the first time in the school's history. Two highlights included an 18.9 percent increase in degree-seeking students and a 91 percent retention rate for UNV 101 students. Spring Enrollment

Presidential Recommendations

B-1 President Boothe recommended approval of the following academic personnel actions: Academic Personnel

Administrative Appointments: Daniel Curtin, Associate Dean of the College of Arts and Sciences, effective January 1990; Jerry Warner, Chairperson of the Biological Sciences Department, effective January 1, 1990.

Renewed Administrative Appointment: Robert Vitz, Acting Chairperson of the History and Geography Department for the 1990 spring semester.

Faculty Appointments: Charles C. Jackson, Assistant Professor of Education, effective January 2, 1990; Herbert A. Weinberg, Assistant Professor of Communication, effective August 15, 1989.

Early Retirement: Leroy Gruner, Professor of Sociology, Anthropology, and Philosophy Department, effective May 15, 1990.

Resignations: Elizabeth Bernard, Director of the ReEmployment Center, effective October 31, 1989; Jerry Carpenter, from Chairperson of the Biological Sciences Department to the position of Professor of the Department of Biological Sciences, effective January 1, 1990; Ulrike Dieterle, Assistant Professor of the Chase Law Library, effective January 1, 1990; W. Michael Ryan, from Chairperson of the History and Geography Department to the position of Associate Professor of the History and Geography Department, effective January 1, 1990; Glenda R. Slater, Lecturer and Co-director of the Speech Lab of the Communications Department, effective December 22, 1989; Sue Ward Theissen, Acting Director and Coordinator of the Community Education and Service Department, effective February 2, 1990.

Paid/Unpaid Leaves: Jerry Carpenter, Professor of the Department of Biological Sciences, paid administrative leave, with benefits, beginning January 1, 1990, and ending May 15, 1990; Marjorie Muntz, Associate Professor of the Theatre Department, special leave for the 1989-90 academic year; Dennis Sies, Associate Professor of the Political Science Department, unpaid leave, without benefits, beginning January 1, 1990, and ending December 31, 1990; Ronald Von Singer, Professor of the Psychology Department, special leave for the 1989-90 academic year; Donald Welti, Associate Professor of the Psychology Department, unpaid leave, with benefits, beginning January 8, 1990, and ending May 15, 1990.

Upon a motion by Regent Lucas, seconded by Regent Duncan, the motion passed unanimously.

B-2 President Boothe recommended approval of the promotion, tenure, continued probation, and continued probation with difficulties schedule as follows:

Promotion
Tenure
Etc.

Promotion

Miriam S. Kannan	James O. Luken	Raymond E. Richmond
Katherine C. Kurk	Philip J. Koplow	William S. Wagner
J. Michael Thomson	James H. Thomas	James F. Hopgood
Gary E. Clayton	Nancy A. Lang	Nancy L. Firak
Ljubomir Nacev	Penelope B. Summers	Thomas E. Barone
Laura Sullivan	Geraldine Williams	

Tenure

James O. Luken	Katherine C. Kurk	M. Michael Thomson
Angela Lipsitz	Nancy A. Lang	Nancy L. Firak
Ljubomir Nacev	J. Thomas Insherwood	Penelope B. Summers
Laura Sullivan		

Continued Probation

Deborah Ana England	J. Ellen Gerken	Jon M. Hastings
Roger D. Blanchard	Linda F. Duncan	Nancy Eimers
Hilary W. Landwehr	David L. Dunevant	John E. Filaseta
Jeffrey M. Smith	Joan Ferrante	Robert Trundle, Jr.
Michael E. King	Leslie D. Turner	Anju Ramjee
Balasubramani Ramjee	Chawengsak Changchit	Thomas Heard
Brent W. Byerley	J. Gaut Ragsdale	Lynne A. Smith
Stephen C. Walker	Sarah H. Dessner	Nancy Hinzman
Lisa E. Long	Cheryl L. McKenzie	Beverly A. Reno
Denise Robinson	Paul D. Cooper	H. Randolph Holt
Allen Ellis		

Continued Probation with Difficulties

Donald K. Krug	Vijay V. Raghaven	Wendy D. Wood

Upon a motion by Regent Verst, seconded by Regent Fields, the recommendation passed unanimously.

B-3 President Boothe recommended the approval of 17 sabbaticals for the 1990-91 academic year. The recipients are: Sabbaticals

Roger Billings	Stephen Goacher	Linda Olasov
Stephen Boyd	Thomas Kearns	William Recker
Annette Chavez	Donald Kelm	Timothy Serey
Andrea Cornuelle	Philip Koplow	Michael Washington
Linda Dolive	Cynthia Kukla	Jeffrey Williams
Donald Galli	Lynn Langmeyer	

Upon a motion by Regent Kappas, seconded by Regent Duncan, the recommendation passed unanimously.

B-4 President Boothe recommended that the non-academic personnel actions for the period October 1, 1989 through December 31, 1989, be approved. Upon a motion by Regent Verst, seconded by Regent Kappas, the recommendation passed unanimously. President Boothe reported that approximately one-third of the staff turnover is related to persons finding better compensation for the same position outside the University. Non-Acad. Personnel

B-5 The following administrative personnel actions were recommended: Admin Personnel

John B. Connor, Director of Public Safety, resignation effective February 1, 1990. Mr. Dan Drake, Director of Physical Plant, will assume additional responsibilities for the administration of Public Safety on an interim basis.

Upon a motion by Regent Lucas, seconded by Regent Brooking, the recommendation passed unanimously.

B-6 President Boothe recommended approval of the revised grievance policy which incorporated into the Personnel Policies and Procedures Handbook (Redbook). This revision provides for an informal Grievance Policy

resolution process within the department before moving into a formal grievance process. Issue of grievability and timeframes for responses to grievances are more specifically addressed. Upon a motion by Regent Duncan, seconded by Regent Kappas, the recommendation passed unanimously.

B-7 President Boothe recommended that the Board authorize the administration to amend the TIAA/CREF Retirement Resolution to provide for the cashability option for CREF contribution upon severance for the University. The transferability option will receive further investigation with a recommendation forthcoming. Upon a motion by Regent Duncan, seconded by Regent Fields, the recommendation passed unanimously. TIAA/CREF

B-8 President Boothe recommended approval of the Freedom of Press Statement declaring that student media at Northern Kentucky University are free of censorship and advance approval of copy. The primary purpose of student media at NKU are to provide educational experiences. This statement is to be incorporated into the Student Media Handbook. Upon a motion by Regent Kappas, seconded by Regent Verst, the recommendation passed unanimously. Freedom Of Press

B-9 President Boothe recommended approval of the "Contract for Spaces for Certain Indiana Residents to Attend Northern Kentucky University." This program provides access to NKU for Indiana residents of six southeastern Indiana counties with the out-of-state tuition rate offset by the State of Indiana. All spaces in the program are filled with a waiting list of applicants. Upon a motion by Regent Fields, seconded by Regent Duncan, the recommendation passed unanimously. Indiana Tuition Contract

B-10 President Boothe recommended that the Board's Audit Committee be authorized to select and contract with an external audit firm to conduct the University's annual audit. This Committee was established by the Board at the July 1989 meeting. Upon a motion by Regent Brooking, seconded by Regent Verst, the recommendation passed unanimously. Audit Committee

B-11 President Boothe recommended approval of the 1990-91 tuition rates as mandated by the Council on Higher Education. Dr. Boothe indicated that the Council's recommendation represented a significant increase in tuition rates. Upon a motion by Regent Lucas, seconded by Regent Brooking, the recommendation passed unanimously. Tuition Rates

B-12 President Boothe recommended approval of the revised schedule of class fees effective for Fall 1990. Upon a motion by Regent Kappas, seconded by Regent Duncan, the recommendation passed unanimously. Fees Schedule

B-13 President Boothe recommended amendment of the 1989-90 operating budget upward by $277,592. Upon a motion by Regent Verst, seconded by Regent Fields, the motion passed unanimously. 89-90 Budget Revision

B-14 President Boothe recommended transmittal of Northern Kentucky University's biennial budget priorities to the Governor and the Biennial Budget

General Assembly. The priorities include funding for fixed cost increases, compensation increases, benchmark salary adjustments, advising/student retention, and new academic programs. Upon a motion by Regent Kappas, seconded by Regent Verst, the recommendation passed unanimously.

B-15 President Boothe recommended a revision to the Administrative Regulations relocating the free speech area to the northwest end of the University Center facing Parking Lot A. This relocation eliminates interference with classrooms adjacent to the current free speech area and provides a safer location away from the overhead pedestrian walkway. Upon a motion by Regent Kappas, seconded by Regent Fields, the recommendation passed unanimously.

Free Speech Area

Upon a motion by Regent Brooking, seconded by Regent Kappas, the Board recessed into executive session at 4:40 p.m. to discuss real estate matters. The Board reconvened in open session at 5:20 p.m.

Regent Brooking moved to establish a subcommittee of the Board to study the use and possible disposition of University property as it relates to highway changes and modifications on Highway 27 in Highland Heights. Regent Duncan seconded and the motion carried unanimously. Chairman Booth appointed Regent Brooking as subcommittee chair with members Verst and Taliaferro.

US 27 Subcommittee

Other Business

President Boothe indicated that a Regents Retreat would be scheduled in the spring and that agenda items would be solicited.

President Boothe also reminded the Board of upcoming important dates: February 24, Regents Night at NKU Basketball Game; May 12, Commencement; May 9, and July 25, Upcoming Quarterly Meetings of the Board.

Adjournment

Upon a motion by Regent Brooking, seconded by Regent Verst, the meeting adjourned at 5:40 p.m.

Carla S Chance
Carla S. Chance, Assistant Secretary

I, Carla S. Chance, Assistant Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on January 31, 1990, and that such matters are still in force and effect.

Carla S Chance
Carla S. Chance, Assistant Secretary

Dated this 30th day of March, 1990.

Boothe

Agenda
Northern Kentucky University
Wednesday, May 9, 1990 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation – Sr. Janet Carr – Catholic Newman Center

Roll Call and Welcome

Minutes of Previous Meeting - Quarterly Meeting of January 31, 1990

A. Presidential Reports
1. Grants and Contracts Quarterly
2. Campus Planning
3. Quarterly Financial Report
4. Spring Semester 1990 - Final Enrollment
5. Tuition Installment Payment Plan
6.
7.
8.
9.

Peter

B. Presidential Recommendations

Eye Session (?)

1. Academic Personnel Actions
2. Sabbatical Leaves
3. Emeritus Faculty Status
4. Posthumous Honorary Degrees
5. Non-academic Personnel Actions
6. Administrative Personnel Actions
7. Research Misconduct Policy Statement
8. Regental Awards
9. Early Retirement Plan
10. Retirement Annuity Resolution (to be distributed at the meeting)
11. External Audit Recommendation
12. Bank Depository Contract
13. Interfaith Association Lease Extension
14. Kentucky State Police Lease
15. Bad-Debt Write-Off
16. 1989-90 Operating Budget Revision
17. 1990-91 Operating Budget Resolution
18.
19.
20.

C. Other Business
1. Quarterly Meeting Schedule
July 25, 1990
Dedication of A S+T Bldg. 11 a.m. Gov. Wilkinson
Dinner at Alumni Center
Scott Kappas last meeting

MAY 0 5 1990

Board of Regents Meeting
Wednesday, May 9, 1990

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, May 9, 1990, by Chairman Herbert Booth. Chairman Booth requested that a moment of silence be observed for the death of Vice Chair Verst's mother. The invocation was given by Sr. Janet Carr, Catholic Newman Center.

Roll Call: Regents Present - Dr. Herbert Booth, Mr. John Brooking, Mr. John Fields, Mr. Elmer Haas, Mr. J. Scott Kappas, Mr. Ken Lucas, Mr. Phil Taliaferro

Others Present: Sheila Trice Bell, Peter Hollister, David Jorns, Norleen Pomerantz, Dennis Taulbee, James Alford, Ches Arthur, W. Michael Baker, Sr. Janet Carr, Sonda Cummins, Donna Gosney, John Johnson, Russ Kerdolff, Joyce Maegly, Becky Myers, John Nienaber - Fifth/Third Bank, Karen Ogburn, Jane Pendergast - Enquirer, Mary Rauen, Mary Paula Schuh, Rose Stauss, Dolores Thelen, Alan Thomas, Bill Williams - Fifth/Third Bank

The minutes of the previous quarterly meeting, January 31, 1990, were approved on a motion by Regent Haas, seconded by Regent Brooking.

Presidential Reports

A-1	President Boothe reported on the Research, Grants and Contracts quarterly activity noting a 19.12 percent increase in funds approved for the third quarter of fiscal year 1990.	Grants/ Contracts
A-2	President Boothe reported that completion of the Applied Science and Technology Center provided much needed relief for the continuing enrollment crunch. Dedication of the facility is scheduled for Tuesday, May 15, with Governor Wilkinson in attendance. The Fine Arts Completion project continues to experience delays with the restart-up of design work anticipated in mid-May. The mandatory pre-bid meeting for the Residential Village RFP was held on May 8, with a good number of potential bidders in attendance.	Campus Planning
A-3	The Quarterly Financial Report the third quarter of the 1989-90 fiscal year depicted the institution's revenue and expenditure schedules. President Boothe noted the excellent reporting with increased revenues and good expenditure patterns.	Quarterly Report
A-4	President Boothe reported on the Spring Semester 1990 enrollment increase of 13.1 percent in FTE Students. University retention rates have improved by 3 percent with UNV 101 retention rates equalling 90 percent. Enrollment from Boone, Campbell and Kenton counties had increased by 8 percent with a 10 percent increase from rural Kentucky counties.	Spring 1990 Enrollment
A-5	President Boothe presented a report on the deferred tuition program approved by the Board in January 1989. During two semesters, Fall 1989 and Spring 1990, 3,327 students participated in the Tuition Installment Payment Plan. Initial start-up costs have placed the program in a break-even mode. The current default rate does not exceed 3 percent.	TIPP

President Boothe requested that Vice President Hollister give an overview of the Annual Fund Drive. Mr. Hollister emphasized the University's dependence on private gifts. The newly titled "Fund for Northern" is chaired by

Mr. George Berry. Mr. Jim Huff is serving as Vice Chair. Mr. Hollister introduced Mr. Alan Thomas, Director of Annual Giving at Northern.

Upon a motion by Regent Brooking, the Board recessed into executive session at 4:14 p.m. to discuss personnel matters.

The Board reconvened in public session at 4:35 p.m.

The following report was given by Regent Brooking:

Pres. Contract

> The Presidential Review Committee, consisting of John Fields, Ken Lucas, Herb Booth and myself, has corresponded with all elements/groups of the University and also met with faculty, students and staff regarding the evaluation of our President in the performance of his office this past year. Every response we received was positive, running from "outstanding" to "good job". We were particularly impressed with the reports we received from the faculty. This is my second time as Chairman of this Committee, so Mr. President, once again, let me say to you, "Well done; carry on." It is the recommendation of the Committee that your contract be extended for one year with a 9 percent pay increase.

The motion of the Presidential Review Committee was seconded by Regent Fields and passed unanimously.

Regent Taliaferro moved that the Board of Regents formally recognize Mrs. Nancy Boothe for her work for the University for the past seven years with a resolution to be framed and presented. The motion was seconded by Regent Haas and passed unanimously.

Presidential Recommendations

B-1 President Boothe recommended approval of the following academic personnel actions: Academic Actions

Administrative Appointments: Charles Hawkins, Professor of Physics and Director of Academic Computing, effective July 1, 1990.

Faculty Appointments: Raymond Enzweiler, Assistant Professor of the Physics and Geology Department, effective May 1, 1990; Carol Furnish, Assistant Professor of Library Services, Chase College of Law Library, effective May 1, 1990; Perilou Goddard, Assistant Professor of the Psychology Department, effective August 18, 1990; Stephanie J. Jones, Assistant Professor of Law, Chase College of Law, effective August 18, 1990; Barbara Klaw, Assistant Professor of the Literature and Language Department, effective August 18, 1990; Paul Knepper, Assistant Professor of the Political Science Department, effective August 18, 1990; Gizele Loriot-Raymer, Assistant Professor of the Literature and Language Department, effective August 18, 1990.

Resignations: Balakrishnan Muthuswamy, Assistant Professor of the Information Systems Department, effective May 15, 1990; Mary Walker, Assistant Professor of the Management and Marketing Department, effective May 15, 1990; Herbert A. Weinberg, Assistant Professor of the Communications Department, effective May 15, 1990.

Paid/Unpaid Leaves: Chaweng Changchit, Assistant Professor of the Management and Marketing Department, unpaid leave without benefits, beginning August 18, 1990 and ending May 18, 1991.

Upon a motion by Regent Kappas, seconded by Regent Brooking, the motion passed unanimously.

B-2 President Boothe recommended that Professor Martin Huelsman receive a one-semester sabbatical leave. Upon a motion by Regent Lucas, seconded by Regent Brooking, the motion passed unanimously. Sabbatical

B-3 President Boothe recommended that Professor LeRoy Gruner be accorded Professor Emeritus status following his seventeen years of service to Northern Kentucky University. Upon a motion by Regent Fields, seconded by Regent Haas, the motion passed unanimously. Professor Emeritus

B-4 President Boothe recommended that two posthumous honorary degrees be awarded. The first degree was to Jeffrey Allen Smith who at the time of his death lacked 16 hours toward a completed bachelor's degree. The second degree was to Clarence Woods, Jr. who at the time of his death had earned 92 toward a bachelor's degree in Radio/Television/Film. Upon a motion by Regent Lucas, seconded by Regent Kappas, the motion passed unanimously. Honorary Degrees

B-5 President Boothe recommended that the non-academic personnel actions for the period January 1, 1990 to March 31, 1990 be approved. Two actions of note are the resignations of Mr. John B. Connor, Director of Public Safety, who served NKU for 13 year; and Dr. Gene W. Scholes, Vice President for Administration, who served NKU for 14 years. Upon a motion by Regent Kappas, seconded by Regent Haas, the motion passed unanimously. President Boothe reported that approximately one-third of the employees who leave NKU find similar jobs at a higher salary. Non-Academic Personnel

B-6 President Boothe recommended approval of two administrative appointments: Chester A. Arthur, Acting Director of the Budget, and Dennis L. Taulbee, Acting Vice President for Administration. Both appointments are effective April 1, 1990 for a period not to exceed June 30, 1991. Upon a motion by Regent Brooking, seconded by Regent Fields, the motion passed unanimously. Admin. Appts.

B-7 President Boothe recommended approval of the newly formulated Policy and Procedures for Dealing with Research Misconduct. President Boothe indicated that the policy was designed to protect the rights of all involved. Upon a motion by Regent Brooking, seconded by Regent Lucas, the motion passed unanimously. Research Misconduct

B-8 President Boothe recommended approval of the designations of Regents Professorship and Regents Distinguished Service Award. This special recognition process was first suggested by the Board in October 1988. Both Faculty Senate and Staff Congress have actively participated in the development of these designations. Upon a motion by Regent Haas, seconded by Regent Kappas, the motion passed unanimously. Regents Awards

B-9 President Boothe recommended approval of the Early Retirement Program. This revised program replaces the original plan approved by the Board in September 1986. The new plan was precipitated by changes in the tax laws and has been reviewed by the appropriate governing bodies, Legal Services and an external tax consultant. Early Retirement

B-10 President Boothe recommended approval of the revised TIAA-CREF Retirement Resolution language which provides for the addition of two new CREF accounts, the Social Choice and the Bond Account; and provides for the option of cash withdrawal from the CREF Accounts upon termination of employment. Upon a motion by Regent Lucas, seconded by Regent Fields, the motion passed unanimously. CREF Changes

B-11 President Boothe recommended that the Board ratify the action of the Board of Regents Audit Committee retaining the firm of Rankin, Rankin and Company to conduct the external audit of the 1989-90 fiscal year records. The contract provides for three one-year renewal options. Upon a motion by Regent Taliaferro, seconded by Regent Haas, the motion passed unanimously. External Audit

B-12 President Boothe recommended approval of the University's depository agreement with Fifth/Third Bank. This approval empowers Mr. Michael Baker and Ms. Dolores Thelen to handle banking matters specified in the Corporate Authorization Resolution. Upon a motion by Regent Brooking, seconded by Regent Kappas, the motion passed unanimously. President Boothe introduced Mr. Bill Williams, President, and Mr. John Nienaber, Jr., Vice President for Public Funds, representatives of Fifth/Third Bank. Bank Agreement

B-13 President Boothe recommended extension of the lease agreement with the Northern Kentucky University Interfaith Association to provide additional time for fund-raising efforts. The extended expiration date is January 31, 1996. Upon a motion by Regent Brooking, seconded by Regent Kappas, the motion passed unanimously. Interfaith Lease

B-14 President Boothe recommended approval of the lease of 500 square feet of space in the basement of 421 JHR for use as a breathalyzer lab by the Kentucky State Police. Upon a motion by Regent Kappas, seconded by Regent Lucas, the motion passed unanimously. 421 JHR Lease

B-15 President Boothe recommended authorization for the Assistant Vice President for Business Affairs/Treasurer to write off student receivables of $4,502.44 and loan receivables of $990.89. All accounts are over two years old and have been returned from the collection agency as uncollectible. Upon a motion by Regent Lucas, seconded by Regent Fields, the motion passed unanimously. Bad-Debt

B-16 President Boothe recommended revision of the 1989-90 operating budget in include an additional $493,916 in revenue activity since December 31, 1989. Upon a motion by Regent Brooking, seconded by Regent Kappas, the motion passed unanimously. 89-90 Revisions

B-17 President Boothe recommended approval of the University's 1990-91 Operating Budget totalling $49,250,000. Revenues for 1990-91 were budgeted at an increase of $5,900,000, of which $3,000,000 resulted from the tuition increase. The budget provides for a 9 percent salary increase for faculty and staff. Upon a motion by Regent Kappas, seconded by Regent Haas, the motion passed unanimously. 90-91 Budget

Other

President Boothe noted that this was Regent Kappas last meeting with the completion of two years of service to the Board. Mr. Kappas will be attending Chase College of Law in Fall 1990.

Adjournment:

Upon a motion by Regent Haas, seconded by Regent Lucas, the meeting adjourned at 5:05 p.m.

Elmer J. Haas, Jr., Secretary

Carla S. Chance, Assistant Secretary

I, Elmer J. Haas, Jr., Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on May 9, 1990, and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 19th day of July, 1990.

Revised Agenda
Northern Kentucky University
Monday, August 13, 1990 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation Rev. John Cahill, Director Catholic Newman Center

Installation of Student Regent - Michelle Deeley

Roll Call and Welcome

Minutes of Previous Meeting - Quarterly Meeting of May 9, 1990

Election of Officers - Chairman
Vice Chairman
Secretary/Treasurer
Assistant Secretary

A. Presidential Reports

1. Grants and Contracts Quarterly Report
2. Campus Planning Report
3. Quarterly Financial Report
4. Food Service Report
5.

B. Presidential Recommendations

1. Academic Personnel Actions
2. Non-academic Personnel Actions
3. Administrative Actions
4. Chairs' Compensation/Contract Policy
5. Classification of Admissions Policy
6. Home School Diploma Admission
7. Early Admissions Policy Revisions
8. Non-Resident Fees Committee
9. United Methodist Wesley Foundation Lease
10. Reauthorization of Series F Bond Sale
11. 1989-90 Operating Budget Revision
12. 1990-91 Operating Budget Recommendation
13.
14.

C. Other

1. Quarterly Meetings
   October 31, 1990
   January 30, 1991
   May 8, 1991
   July 31, 1991

2. Decision Makers '90
   September 9 & 10, 1990
   Louisville, Kentucky

AUG 13 1990

Board of Regents Meeting
Monday, August 13, 1990

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Monday, August 13, 1990, by Chairman Herbert Booth. The invocation was given by Father John Cahill, Catholic Newman Center.

Chairman Herbert Booth administered the Oath of Office to Student Regent, Michelle Deeley.

Roll Call: Regents Present - Dr. Herbert Booth, Mr. John Brooking, Ms. Michelle Deeley, Mr. David Duncan, Mr. John Fields, Mr. Elmer Haas, Mr. Ken Lucas, Dr. Lew Wallace

Others Present: Peter H. Hollister, David L. Jorns, Norleen Pomerantz, Dennis L. Taulbee, Michael Baker, Roy Berwick, Mary Jeremy Buckman, John Cahill, Sonda Cummins, Sandy Cunningham, Sandy Easton, Margo Ferrante, Sandy Forman, Russ Kerdolff, Jerry Legere, Joyce Maegly, Darryl Poole, Paul Reichardt, Mary Ryan, Mary Paula Schuh, Rose Stauss, Marian Winner, Linda Wright

The minutes of the previous quarterly meeting, May 9, 1990, were approved on a motion by Regent Haas, seconded by Regent Wallace.

Election of Officers: Regent Ken Lucas presented the slate of proposed officers recommended by the Nomination Committee. Upon a motion by Regent Lucas, seconded by Regent Fields, the following officers were elected:

Chairman - Dr. Herbert Booth
Vice Chairman - John R. S. Brooking
Secretary/Treasurer - Elmer J. Haas, Jr.
Assistant Secretary - Carla S. Chance

Chairman Herbert Booth abstained from voting.

President Boothe introduced the newly elected officers of Staff Congress for 1990-91: Sue Roth, President (absent from the meeting); Sandi Cunningham, Vice President; Linda Wright, Secretary.

Presidential Reports:

A-1 — President Boothe reported on the Research, Grants and Contracts quarterly activity noting that approved grant funds exceeded $3 million annually for the first time in the University's history. — Grants Contracts

A-2 — President Boothe commented that the construction and occupation of the Applied Science and Technology Center had followed an excellent time schedule. There continue to be some schedule concerns with the Fine Arts Completion Project. The bid opening for the Residential Village RFP has been moved to August 31. It is anticipated that there will be several interested bidders. University signage will be installed in late August. — Campus Planning

President Boothe commented on the importance of the expansion of the campus. With the current enrollment increase of 18 percent before the purge for non-payment, in two years NKU could be the third largest state University.

A-3 The Quarterly Financial Report for the fourth quarter of the 1989-90 fiscal year continued to show positive expenditure and revenue patterns. Quarterly Financial

A-4 Effective July 1, 1990, the University's food service contract was awarded to Professional Food Service Management, Inc. President Boothe reported that this vendor was selected through a competitive negotiation process coordinated by Business Affairs. The selection committee was comprised of members of the University community. Food Service

Presidential Recommendations:

B-1 President Boothe recommended approval of the following academic personnel actions: Academic Personnel Actions

Administrative Appointments: Sandra Forman, Professor and Chairperson of the Theatre Department, Effective July 1, 1990; Louis E. Noyd, Acting Chairperson of the Economics and Finance Department, effective July 1, 1990 and ending June 30, 1991; Marian C. Winner, Associate Professor of Library Science and Director of the W. Frank Steely Library, Effective August 1, 1990.

Renewed Administrative Appointments: Robert E. Collier, Assistant Chairperson of the Literature and Language Department for the 1990-91 fiscal year; Sandra W. Easton, Interim Dean of the College of Business, effective for the 1990-91 fiscal year; Michael McPherson, Acting Chairperson of the Physics and Geology Department for the 1990-91 fiscal year; Howard Storm, Acting Chairperson of the Art Department for the 1990-91 fiscal year.

Faculty Appointments: David Agard, Assistant Professor of the Mathematics and Computer Science Department, effective August 18, 1990; Valeria Amburgey, Assistant Professor of the Education Department, effective August 18, 1990; Michael Baker, Cooperating Assistant Professor of the Accountancy Department, effective August 18, 1990; Carol B. Gaumnitz, Assistant Professor of the Accountancy Department, effective August 18, 1990; Durell D. Hamm, Jr., Assistant Professor of Speech in the Communications Department, effective August 18, 1990; Clinton Hewan, Assistant Professor of the Political Science Department, effective August 18, 1990; Lorrie Hooper, Assistant Professor of the Art Department, effective August 18, 1990; Niaz Latif, Assistant Professor of the Technology Department, effective August 18, 1990; Elizabeth Lorenzi, Assistant Professor in the Nursing Department, effective August 18, 1990; Janis L. Taylor, Assistant Professor of Radio/Television/Film in the Communications Department, effective August 18, 1990; Samuel Zachary, Assistant Professor of the Theatre Department, Effective August 18, 1990.

University Tenure: John P. Johnson, Professor and Dean of the College of Professional Studies, initial appointment on July 1, 1987, University tenure, effective July 1, 1990.

Paid/Unpaid Leaves: Betty J. Carroll, Associate Professor of the Nursing Department, paid administrative leave with benefits, beginning October 15, 1990 and ending May 18, 1991; Kenneth Jones, Assistant Professor of the Theatre Department,

unpaid leave without benefits, beginning August 18, 1990 and ending May 18, 1991; Cheryl McKenzie, Assistant Professor of the Nursing Department, unpaid leave without benefits for the academic year 1990-91; Ralph Peterson, Assistant Professor of the Sociology, Anthropology, and Philosophy Department, unpaid leave without benefits, beginning August 18, 1990 and ending May 18, 1991.

Resignations: Nancy Eimers, Assistant Professor of the Literature and Language Department, effective May 15, 1990; Charles Emenaker, Lecturer of the Mathematics and Computer Science Department, effective May 15, 1990; Martin Giesbrecht, from the position of Chairperson of the Economics and Finance Department to the position of Professor of the Economics and Finance Department, effective June 30, 1990; Susan Hollis-Nakao, Associate Professor of the Art Department, effective May 15, 1990; Jack L. Rasmussen, Assistant Professor of the Education Department, effective June 30, 1990; Carl Slater, Professor of the Chemistry Department, effective May 15, 1990; Jack Wann, Professor of the Theatre Department, effective May 15, 1990.

Upon a motion by Regent Duncan, seconded by Regent Fields, the motion passed unanimously. President Boothe introduced Sandra Forman, Marian Winner, Sandra Easton, Roy Berwick and Mary Jeremy Buckman.

B-2 President Boothe recommended that the non-academic personnel actions for the period April 1, 1990 to June 30, 1990 be approved. Upon a motion by Regent Haas, seconded by Regent Lucas, the motion passed unanimously. — Non-Acad. Personnel Actions

B-3 President Boothe recommended approval of the following administrative actions: — Admin Actions

Appointments: Margo L. Ferrante, Director of Personnel Services, effective August 1, 1990; D. Searcy, Director of Community Education and Services, effective August 15, 1990.

Resignations: Dorinda S. Giles, Director of the Information Systems, effective June 30, 1990

Upon a motion by Regent Duncan, seconded by Regent Deeley, the motion passed unanimously.

B-4 President Boothe recommended approval of the Chairs' Compensation/Contract Policy which is designed to place chairperson contracts on a uniform basis, increase the incentive for serving as chairperson, and institute a teaching requirement for chairpersons. Upon a motion by Regent Fields, seconded by Regent Brooking, the motion passed unanimously. — Chairs Contract Policy

B-5 President Boothe recommended the adoption of a three-tiered admissions policy to be utilized by the proposed Office of Advising and Curriculum for Entering Students (ACES). The categories for admission are: (1) regular, (2) admission with stipulation, and (3) restricted. Upon a motion by Regent Lucas, seconded by Regent Duncan, the motion passed unanimously. — Admissions Policy

B-6 President Boothe recommended that the Board approve a policy for admission of a student with a home school diploma. Upon a — Home Diploma

motion by Regent Wallace, seconded by Regent Haas, the motion passed unanimously.

B-7 — President Boothe recommended that the Board approved revisions to the University's Early Admission Policy for high school students. Upon a motion by Regent Duncan, seconded by Regent Lucas, the motion passed unanimously. — Early Admissions

B-8 — President Boothe recommended revisions to the Non-Resident Fees Committee. These changes result from changes with the University and from changes in the Council on Higher Education's appeal process. Upon a motion by Regent Haas, seconded by Regent Fields, the motion passed unanimously. — Non-Res Fees

B-9 — President Boothe recommended approval of the lease of 305 Johns Hill Road to the United Methodist Wesley Foundation. The Wesley Foundation is currently housed in the Natural Science Center. Upon a motion by Regent Duncan, seconded by Regent Deeley, the motion passed unanimously. — 305 JHR Lease

B-10 — President Boothe recommended reauthorization of a Resolution for the issuance of $8,335,000 of Series F, Consolidated Educational Bonds for financing of the Fine Arts Completion Project. This reauthorization is necessitated by design delays. A motion was made by Regent Lucas, seconded by Regent Duncan. — Bond Auth.

Regent Brooking moved to amend the main motion to provide for the investigation of the use of an acceptable financial institution to serve as Trustee for Series F and all previous bond issues based upon competitive bid. Such investigation and recommendation will be subject to the recommendation of Bond Counsel and the approval of the Executive Committee. Seconded by Regent Haas. The amendment passed unanimously. The amended main motion passed unanimously.

B-11 — President Boothe recommended that the 1989-90 Operating Budget be amended upward by $286,141 to reflect budged revenue activity since April 30, 1990. Upon a motion by Regent Brooking, seconded by Regent Duncan, the motion passed unanimously. — 89-90 Operating

B-12 — President Boothe recommended that the University's 1990-91 Operating Budget, as detailed in documents distributed to the Board, be accepted in the total amount of $49,250,000. Upon a motion by Regent Haas, seconded by Regent Wallace, the motion passed unanimously. — 90-91 Operating

Regent Haas moved that the Board recess into Executive Session to discuss real estate matters. Regent Brooking seconded the motion which passed unanimously and the Board recessed at 4:55 p.m. and reconvened at 5:15 p.m.

B-13 — Regent Haas moved that the Board authorized the University administration to request approval from state officials for the transfer of approximately seven acres of University land located on the south side of Nunn Drive between the Alumni Reception Center and University Drive to the Northern Kentucky University Foundation, Inc. for development purposes. Upon a second by Regent Brooking, the motion passed unanimously. — Surplus Property

Other: President Boothe noted that the next quarterly meeting of the Board was scheduled for Wednesday, October 31, 1990 and would include an all-day retreat in northern Kentucky.

Decision Makers '90 will meet in Louisville, Kentucky on September 9 and 10 and members of the Board are encouraged to attend.

Adjournment: Upon a motion by Regent Haas, seconded by Regent Fields, the meeting adjourned at 5:35 p.m.

Elmer J. Haas, Jr., Secretary

Carla S. Chance

Carla S. Chance, Assistant Secretary

I, Elmer J. Haas, Jr., Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on August 13, 1990, and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 15th day of Oct., 1990.

Northern Kentucky University
Highland Heights, Kentucky 41076-1448
(606) 572-5100

NORTHERN KENTUCKY UNIVERSITY
Board of Regents' Retreat
October 30 & 31, 1990

Agenda

Tuesday, October 30

6:00 p.m.	Dinner at President's Home

Wednesday, October 31

8:30 a.m.	Continental breakfast at Fort Mitchell Country Club
9 - 11:00 a.m.	Presentation by, and discussion with Allan Ostar, President, American Association of State Colleges and Universities - "Challenges and Opportunities Facing the Metropolitan University in This Decade and Beyond"
11 - 12 noon	Relationships Between the Council on Higher Education and the Board of Regents Statutory Governing Board
12 noon	Luncheon
1 - 2:30 p.m.	General discussion on the following topics: Formula Budgeting Growth in Northern Kentucky - Implications on NKU Residential Village Update Enrollment Management/Reciprocity Curriculum Issues Sasaki/Master Plan Property Development Capital Budget Update Annexation
2:30 - 3:30 p.m.	Meeting of the Board and the President
4:00 p.m.	Quarterly Board Meeting NKU Campus, Board Room (There will be no Board dinner this evening)

Board of Regents Meeting
Wednesday, October 31, 1990

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4 p.m. on Wednesday, October 31, 1990, by Chairman, Herbert Booth. The invocation was given by Bro. Rick Howerton, Baptist Student Union.

Chairman Herbert Booth administered the Oath of Office to Regent Mark G. Arnzen.

Roll Call: Mr. Mark Arnzen, Dr. Herbert Booth, Mr. John Brooking, Ms. Michelle Deeley, Mr. David Duncan, Mr. Elmer Haas, Mr. Ken Lucas, Dr. Lew Wallace

Others Present: Ches Arthur, Sheila Trice Bell, Cynthia S. Dickens, David L. Jorns, Peter H. Hollister, Dennis L. Taulbee, James Alford, Robert Appleson, Michael Baker, Frederick Bermudez - Kentucky Enquirer, Elizabeth Blincoe, Sonda Cummins, Sandy Cunningham, Sacha DeVroomen - Kentucky Post, Diane Goetz - Northerner, John Johnson, Russ Kerdolff, Holly Jo Koons - Northerner, Jerry Legere, Norleen Pomerantz, Sue Roth, Mary Paula Schuh, Rosemary Stauss, Dolores Thelen, Marian Winner

The minutes of the previous quarterly meeting, August 13, 1990, were approved on a motion by Regent Haas, seconded by Regent Duncan.

President Boothe introduced Ms. Elizabeth Blincoe, Assistant Legal Counsel.

Presidential Reports:

A-1 President Boothe reported on the Research, Grants and Contracts quarterly activity noting that first quarter approved grant funds had increased by 11.6 percent over the previous year. Requested funds had increased by approximately 58 percent. Grants Contracts

A-2 President Boothe reported that design work on the Campus Data Network was nearing completion with project completion anticipated June 1991. The design phase of the Fine Arts Completion project should be completed by late 1990 with construction anticipated in February 1991. The landscape architect's report on the Presidential Residence and Campus Entrance has been submitted and will be utilized in conjunction with the master plan and other development documents. President Boothe reported that notice had been received from the Commonwealth that Godsey Associates Architects, Louisville, Kentucky, had been appointed for the Library Expansion Project. Godsey served as architect for the Applied Science and Technology Center. Campus Planning

A-3 The Quarterly Financial Report for the first quarter of the 1990-91 fiscal year indicated that the expenditure and revenue plan and financial activity to date were acceptable. Quarterly Financial

A-4 President Boothe reported on the highlights of the President's Annual Report. Some items of note were the opening of the Applied Science and Technology Center, construction of the Mid-West Communications Headquarters at the NKU Research Park, cooperative efforts with the University of Kentucky Community College system to bring the Dental Hygiene Program to Northern, and continued enrollment increases. Harry Caudill, noted Kentucky author, donated his library collection to the University. Dr. Boothe also re-emphasized his commitment to Pres. Annual Report

international education and discussed the University's efforts in this area.

A-5 The summer enrollment report detailed a 12.4 percent headcount enrollment increase bringing the 1990 summer enrollment to 3,840 students. Summer Enrollment

A-6 President Boothe indicated that the Articulated Strategic Plan has been as a framework for achieving the ongoing and new priorities described in the Institutional Strategic Plan. Strategic Plan

A-7 The preliminary enrollment summary for Fall 1990 indicated that the student population had grown to 11,224, an 8.6 percent headcount increase. President Boothe reported on the significance of an 11.5 percent FTE increase. The preliminary report notes indicated a 24.6 percent increase in transfer students. Fall Enrollment Prelim.

A-8 President Boothe reported on the initial phases of negotiation of an undergraduate reciprocity arrangement with the University of Cincinnati and Cincinnati Technical College which would provide access to associate degree programs at CTC and the five two-year college of UC. A finalized agreement is anticipated for the January Board meeting. Reciprocity

Presidential Recommendations

B-1 President Boothe recommended approval of the following academic personnel actions: Academic Personnel Actions

Faculty Appointments: Ali Farazmand, Assistant Professor of Public Administration in the Political Science Department, effective August 18, 1990; Michael A. Flannery, Catalog Librarian/Assistant Professor of Library Science in the W. Frank Steely Library, effective November 19, 1990; Ann Schmidt Luggen, Assistant Professor of the Nursing Department, effective September 24, 1990.

Paid/Unpaid Leaves: Marjorie Muntz, Associate Professor of the Theatre Department, special leave with benefits, beginning August 18 , 1990 and ending May 18, 1991; Phillip J. Obermiller, Associate Professor of the Sociology, Anthropology, and Philosophy Department, unpaid leave with benefits, beginning August 16, 1991 and ending May 16, 1992; Dennis E. Sies, Associate Professor of the Political Science Department, unpaid leave without benefits, beginning August 18, 1990 and ending May 18, 1991.

Resignations: Thomas E. Barone, Associate Professor of the Education Department, effective May 31, 1990; Ronald V. Singer, Professor of the Psychology Department, effective June 30, 1990.

Upon a motion by Regent Duncan, seconded by Regent Deeley, the recommendation passed unanimously.

B-2 President Boothe recommended that the non-academic personnel actions for the period July 1, 1990 through September 30, 1990 be approved. Upon a motion by Regent Haas, seconded by Regent Lucas, the recommendation passed unanimously. Non-Acad. Personnel

B-3 President Boothe recommended approval of the University organization chart which reflects all organizational changes instituted since Org. Chart

November 1, 1989. Upon a motion by Regent Duncan, seconded by Regent Deeley, the recommendation passed unanimously.

B-4 President Boothe recommended that the Sexual Harassment Policy and Procedures become effective immediately and be incorporated into the "Code of Student Rights and Responsibilities." Upon a motion by Regent Duncan, seconded by Regent Wallace, the recommendation passed unanimously. Concern was express that the University develop policy and procedures to deal with verbal abuse that is not of a sexual nature. President Boothe indicated the University's awareness and attention to this issue. Harass. Policy

B-5 President Boothe recommended approval of a new degree program, the Master of Science in Nursing, which is designed to serve northern Kentucky's place-bound students and the demands of the areas medical community. Upon a motion by Regent Lucas, seconded by Regent Brooking, the recommendation passed unanimously. President Boothe indicated that the proposal would be considered by the Council on Higher Education who has final approval for the establishment of new degree programs. MSN

B-6 President Boothe recommended approval of the naming of the University Baseball Field as "Friendship Field." This name was recommended by the community businesspeople who made financial contributions for the renovation and upgrade of the facility. Upon a motion by Regent Haas, seconded by Regent Brooking, the recommendation passed unanimously. Friendship Field

B-7 Withdrawn from the agenda.

B-8 President Boothe recommended that the Board authorize the University administration, subject to Board confirmation, to enter into a lease agreement with the developer that is determined to have submitted the lowest and best proposal in the Residential Village RFP process. Upon a motion by Regent Haas, seconded by Regent Duncan, the recommendation passed unanimously. Res. Village

B-9 President Boothe recommended the upward revision of the 1990-91 operating budget by $1,080,574. This revision reflects increased revenue activity. Upon a motion by Regent Duncan, seconded by Regent Brooking, the recommendation passed unanimously. 90-91 Operating

B-10 President Boothe recommended that the Board authorize the University administration to request approval from state officials for the transfer of approximately four acres of property and improvements located at Nunn Drive and U.S. 27. This transfer would be made to the NKU Foundation, Inc., for development purposes. President Boothe further indicated that no action to transfer the property would occur until the University was in position to replace the existing Alumni Center. Upon a motion by Regent Haas, seconded by Regent Duncan, the recommendation passed unanimously. Property Transfer

Other

President Boothe reminded the Board of the upcoming quarterly meetings: January 30, 1991; May 8, 1991; July 31, 1991.

Adjournment

Upon a motion by Regent Lucas, seconded by Regent Brooking, the meeting adjourned at 4:35 p.m.

Elmer J. Haas, Jr., Secretary

Carla S. Chance, Assistant Secretary

I, Elmer J. Haas, Jr., Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on October 31, 1990, and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Dated this 15th day of January, 1991.

Board of Regents Meeting
January 5, 1991

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 10:30 a.m. on Saturday, January 5, 1991, by Chairman Herbert Booth.

Roll Call: Dr. Herbert Booth, Mr. David Duncan, Mr. John Fields, Mr. Ken Lucas, Mr. William Verst, Dr. Lew Wallace

President Boothe introduced the special meeting by referencing the October 31 Board action authorizing entrance into a Residential Village lease agreement with the concurrence of the Board. In earlier action, the Board had gone on record authorizing the use of requests for proposal for a residential village. The original decision deadline was December 31 and a special meeting of the Board had been scheduled prior to the holidays but was subsequently postponed. Both Northern Kentucky University and state officials felt that of the six responses, two were superior. These two vendors were requested to submit final and best proposals by December 28 with a proposal extension through January 11. This is done. This resubmission has saved considerable money on the project. We are now meeting so quickly and on short notice because of the January 11 deadline. The Secretary of Finance is anxious to sign a lease and we need to meet quickly. While three Regents were out of town on this date, the timing of the final decision precluded waiting. President Boothe asked that the Board go into Executive Session in accordance with KRS 61.840, to consider the two proposals because of the confidential nature of the competitive bid process.

Regent Duncan moved that the Board meet in executive session for the purpose of discussing the Residential Village RFP. Upon a second by Regent Verst, the motion passed. President Boothe requested that executive staff remain in the session and the session began at 10:35 a.m.

The Board reconvened in public session at 11:50 a.m. and the following resolution was read into the record.

> Pursuant to recommendations adopted by the Board of Regents on May 10, 1989 and October 31, 1990 authorizing the University to secure the "best and lowest" proposals for residence halls, two proposals emerged as acceptable in terms of meeting the requirements of the RFP. One proposal, referred to as Vendor A, is clearly preferred in terms of design, function and cost. Vendor B is also acceptable. The Board directs the University Administration to convey its preference and the reasons supporting its preference to the Secretary of Finance and Administration.

The Chairman called for a vote on the resolution. The vote supporting the resolution was unanimous.

Upon a motion by Regent Lucas, seconded by Regent Duncan, the meeting adjourned at 11:55 a.m.

Carla S. Chance
Carla S. Chance, Assistant Secretary

I, Carla S. Chance, Assistant Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on January 5, 1991, and that such matters are still in force and effect.

Carla S. Chance
Carla S. Chance, Assistant Secretary

Dated this 11th day of January, 1991.

Boothe

Agenda
Northern Kentucky University
Wednesday, January 30, 1991 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Minutes of Previous Meeting - Quarterly Meeting of October 31, 1990
Special Meeting of January 5, 1991

A. Presidential Reports

1. Grants and Contracts Quarterly Report
2. Campus Planning Report
3. Quarterly Financial Report
4. 1991 Summer Fellowship Awards
5. 1991-92 Faculty Project Grants
6. 1990 Fall Enrollment Final Report
7. University Health Insurance Rerate
8. Emergency Response Manual and Media Crisis Plan
9. Academic Service Recipients
10.
11.

B. Presidential Recommendations

1. Academic Personnel Actions
2. Promotion, Tenure, Continued Probation, and Continued Probation with Difficulties Recommendation
3. 1991-92 Sabbaticals
4. Chairs' Teaching Responsibility Policy
5. TIAA-CREF Retirement Resolution
6. Non-academic Personnel Actions
7. Vacation/Annual Leave and Terminal Vacation Policies
8. Revised Affirmative Action Plan (to be distributed at the meeting)
9. Tuition Reciprocity Agreements
   a. Undergraduate Reciprocity
   b. Graduate Reciprocity (to be mailed under separate cover)
10. Bachelor of Arts in Justice Studies
11. Undergraduate Catalog - Academic Requirements
12. 1991-92 Tuition Schedule
13. 1991-92 Fees Schedule
14. 1990-91 Operating Budget Revisions
15.
16.

C. Other

1. Quarterly Meetings
   May 8, 1991
   July 31, 1991

Board of Regents Meeting
Wednesday, January 30, 1991

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4 p.m. on Wednesday, January 30, 1991, by Chairman, Herbert Booth. The invocation was given by Ms. Joyce Maegly.

Roll Call: Mr. Mark Arnzen, Dr Herbert Booth, Mr. John Brooking, Ms. Michelle Deeley, Mr. David Duncan, Mr. John Fields, Mr. Elmer Haas, Mr. Ken Lucas, Mr. William Verst, Dr. Lew Wallace

Others Present: Sheila Trice Bell, Cynthia Dickens, Peter Hollister, David Jorns, Dennis Taulbee, James Alford, Robert Appleson, Ches Arthur, Michael Baker, Ralph Beatty, Jr., Frederick Bermudez - Cincinnati Enquirer, Elizabeth Blincoe, Sonda Cummins, David Downard, Dan Drake, Steve Graves, John Johnson, Russ Kerdolff, Holly Koons, Bill Lamb, Jerry Legere, W. Terry McBrayer, Jack Menninger, Darryl Poole, Norman Pulliam, Shirley Raleigh, Susan Roth, Robert Ruberg, Mary Ryan, Mary Paula Schuh, Rosemary Stauss, Bill Straub - Kentucky Post, Dolores Thelen, Wm. Craig Turner, Helen Wilhelm-Olsen, Richard Wilson - Louisville Courier, Maryanne Zeleznik

The minutes of the previous quarterly meeting, October 31, 1990, were approved on a motion by Regent Haas, seconded by Regent Fields.

The minutes of the special meeting, January 5, 1991, were approved on a motion by Regent Duncan, seconded by Regent Verst.

President Boothe introduced the 1990 Regents Distinguished Service Award recipients: Jack Menninger, Shirley Raleigh, and Dolores Thelen.

Presidential Reports

A-1 President Boothe reported on the second quarter Grants and Contracts Activity which reflects a 7 percent increase in the number of grant and contract requests. — Grants Contracts

A-2 President Boothe reported that the January 29 bid opening for the Campus Data Network produced a low bid of $168,000. The Fine Arts Completion project bid opening is anticipated for late February. The Library Expansion project is currently in the design phase. — Campus Planning

A-3 President Boothe indicated that the quarterly report depicted a situation where revenues had increase, expenditures were down, and debt service obligations were being met. — Quarterly Report

A-4 President Boothe expressed his pleasure with the wide array of projects funding through the Summer Fellowship Program. Award recipients were: — Summer Fellowship

Raymond Enzweiler	MaryCarol Hopkins	Steven McCarthy
Rudy Garns	Robert Kempton	Thomas Rambo
Larry Giesmann	Mary Fortman Kirk	Denise Robinson
Perilou Goddard	Barbara Klaw	Chenliang Sheng
Jon Hastings	Paul Knepper	Steven Wilkinson

A-5 President Boothe reported on the award of the 1991-92 Faculty Project Grants which are designed to encourage professional growth through independent research. Recipients were: — Project Grants

David Bishop	Jon Hastings	Michael Washington
Robert Bratton	Miriam Kannan	James Luken
Jerry Carpenter	Robert Kempton	Sharlotte Neely
Raymond Enzweiler	Mary Fortman Kirk	Debra Pearce
Larry Giesmann	Yasue Kuwahara	Denise Robinson
		Gail Wells

A-6 President Boothe indicated that the 1990 fall semester enrollment report showed a 9 percent increase in headcount and an 11.3 percent increase in Full-time Equivalency (FTE). The projection for spring enrollment is equally strong. Fall Enrollment

A-7 The rerate of the University's health insurance resulted in a 10 percent increase in premiums. President Boothe reported that the rerate would extend for a twelve-month period through December 31, 1991 and that the plans remained stable with no benefit decrements. The University's annual premium payment is approximately $2 million. Health Insurance

A-8 President Boothe shared copies of the Emergency Evacuation Manual and the Media Crisis Plan, two documents which have recently been distributed on the campus as a codification of existing policies and practices. The Emergency Evacuation Manual is responsive to the SACS recommendation that the University develop a comprehensive safety manual. Evac. Manual Media Crisis

A-9 President Boothe reported on a listing of businesses and agencies in the Northern Kentucky area who are recipients of services provided by the University. Service Area

Presidential Recommendations:

B-1 President Boothe recommended that the following academic personnel actions be approved: Academic Personnel

Faculty Appointments: Donald E. Hooley, Assistant Professor of Mathematics and Computer Science, effective August 16, 1991.

Paid/Unpaid Leaves: Paul Bishop, Associate Professor of Psychology, unpaid leave with benefits, beginning August 16, 1991 and ending May 16, 1992.

Resignations: David Feuerman, Assistant Professor of Radio/Television/Film in the Communications Department, effective December 21, 1990.

Upon a motion by Regent Duncan, seconded by Regent Lucas, the recommendation passed unanimously.

B-2 President Boothe recommended approval of the following candidates for promotion, tenure, continued probation, and continued probation with difficulties: Promotion Etc.

Promotion:

Tripta Desai	Balasubramani Ramjee	Nancy Hinzman
Judith Bechtel	Lynn Langmeyer	Denise Robinson
David Dunevant	J. Gaut Ragsdale	Ralph O'Brien
Michael King	David Bishop	Allen Ellis
Anju Ramjee	Rachelle Bruno	

Tenure:

David Dunevant	Michael King	Anju Ramjee
Balasubramani Ramjee	Nan Littleton	J. Gaut Ragsdale
Nancy Hinzman	Denise Robinson	Paul Cooper
Allen Ellis		

Continued Probation:

Anna England	Ellen Gerken	Steven McCarthy
Jon Hastings	Roger Blanchard	Linda Duncan
Hilary Landwehr	Danny Miller	Blas Puente-Baldoceda
Chenliang Sheng	Donald Krug	Steven Wilkinson
John Filaseta	Jeffrey Smith	Joan Ferrante
Rudy Garns	Nicole Grant	Robert Trundle
Gretchen Sears	Ronald Shaw	Leslie Turner
Vijay Raghavan	Marian Lawson	Willie Elliot
Yasue Kuwahara	G. Ron Hickey	Mary Kirk
Alar Lipping	Lynne Smith	Stephen Walker
Sarah Dessner	Lisa Long	Cheryl McKenzie
Beverly Reno	Charles Allison	H. Randolph Holt
Phyllis Sholtys	Wendy Wood	

Continued Probation with Difficulties:

William Barksdale	Thomas Heard

Upon a motion by Regent Verst, seconded by Regent Haas, the recommendation passed unanimously.

B-3 President Boothe recommended that the following schedule of sabbatical leaves for the 1991-92 academic year be approved: Sabbatical

One Semester:

Michael Adams	David Bishop	Lawrence Borne
Robert Bratton	Margaret Cantrell	Jerry Carpenter
David Elder	Jonathan Gresham	David Hogan
William Jones	Nancy Lang	James McKenny
Peter Moore	Sharlotte Neely	John Rankin
Gayle Sheard	Christine Stiegler	John Thieret
David Thomson	William Wagner	Threasa Wesley

Academic Year:

Christopher Boehm	Anthony Mazzaro

Upon a motion by Regent Duncan, seconded by Regent Deeley, the recommendation passed unanimously.

B-4 President Boothe recommended that the Chairs Teaching Responsibilities policy be incorporated as part of the Handbook for Department Chairpersons. This policy has received the approval of the Council of Chairs, the Deans' Council, and the Vice President for Academic Affairs. Upon a motion by Regent Verst, seconded by Regent Fields, the recommendation passed unanimously. Regent Wallace requested that a report on teaching responsibilities assigned through this policy be presented at a future Board meeting. Chairs Policy

B-5 President Boothe recommended that the amended and clarified TIAA-CREF Retirement Resolution be adopted by the Board. At the May 9, 1990 meeting of the Board, amendments providing for cashability of CREF accounts upon termination and for access to two new CREF accounts were adopted. Upon a motion by Regent Haas, seconded by Regent Brooking, the recommendation passed unanimously. TIAA-CREF

B-6 President Boothe recommended that the non-academic personnel actions for the period October 1, 1990 through December 31, 1990 be approved. Upon a motion by Regent Verst, seconded by Regent Deeley, the recommendation passed unanimously. — Non-Academic Personnel

B-7 President Boothe recommended that the revised Vacation/Annual Leave and Terminal Vacation Policy be approved for inclusion in the Personnel Policies and Procedures Handbook. This revision reduces the vacation accrual ceiling to 12 months and provides for the payment of terminal vacation except in circumstances which are precluded by policy. Upon a motion by Regent Lucas, seconded by Regent Haas, the motion passed unanimously. — Vacation Policy

B-8 President Boothe recommended that the Board of Regents adopt the newly revised Affirmative Action Plan. This Plan is a substantial modification of the Plan which was last updated by the Board on April 29, 1981. President Boothe emphasized the University's commitment to affirmative action and equal opportunity. Upon a motion by Regent Fields, seconded by Regent Verst, the recommendation passed unanimously. — Affirm. Action

B-9-a President Boothe recommended that the Board authorize the establishment of a new tuition reciprocity agreement at the undergraduate level among Northern Kentucky University, Cincinnati Technical College, and the University of Cincinnati (through its five access colleges). This agreement will open educational opportunities to northern Kentucky residents. Upon a motion by Regent Brooking, seconded by Regent Verst, the recommendation passed unanimously. — Undergrad Reciprocity

B-9-b President Boothe recommended that the Board authorize the renewal of the graduate-level tuition reciprocity agreement between Northern Kentucky University and the University of Cincinnati. This agreement is for the period of July 1, 1991 through June 30, 1996. Upon a motion by Regent Brooking, seconded by Regent Lucas, the recommendation passed unanimously. President Boothe recognized Associate Provost Robert Appleson for his work with the reciprocity agreements. — Grad. Reciprocity

B-10 President Boothe recommended the approval of a new degree program, Bachelor of Arts in Justice Studies. Upon a motion by Regent Duncan, seconded by Regent Deeley, the recommendation passed unanimously. — Bachelor Justice Studies

B-11 President Boothe recommended approval of revisions to the University's academic requirements that would eliminate the transfer of academic credits from institutions that are not regionally accredited. Upon a motion by Regent Verst, seconded by Regent Duncan, the motion passed unanimously. — Transfer Policy

B-12 President Boothe recommended approval of the revised tuition schedule effective for the 1991 fall semester. This tuition adjustment is based upon a study of cost of living increases and tuition is surrounding states. Upon a motion by Regent Haas, seconded by Regent Fields, the recommendation passed unanimously. — Tuition Schedule

B-13 President Boothe recommended approval of the revised fee schedule effective for the 1991 fall semester. Regent Deeley expressed her concern regarding the increased fee for late payment in the Tuition Installment Payment Plan. Upon a motion by Regent Duncan, seconded by Regent Wallace, the recommendation passed unanimously. — Fees Schedule

B-14 President Boothe recommended the upward amendment of the 1990-91 Operating Budget by $129,774. This amendment results from enrollment — 90-91 Budget

growth and auxiliary increases. Upon a motion by Regent Lucas, seconded by Regent Duncan, the recommendation passed unanimously.

The following resolution was read into the Board minutes:

> In recognition of four years of dedicated service as a member of the Northern Kentucky University Board of Regents, this Board expresses sincere appreciation and gratitude to Regent Phil Taliaferro.

Upon a motion by Regent Haas, seconded by Regent Brooking, the resolution was adopted unanimously.

Chairman Herbert Booth indicated that the Governor had asked that the Board review the award of the Residential Village Project. The Board had received nothing in writing from the Governor's Office and it was the intent of the Chair simply to bring this request to the attention of the Board.

Chairman Herbert Booth further stated that there had been a request from a representative of the Pulliam Company to address the Board. The Board had received a written position statement from that organization. The Board does not have a usual practice of receiving oral presentations during its meeting and given the receipt of the written statement, the Chair saw no reason for the Board to deviate from its usual practice of not hearing oral presentations from the floor.

Upon a motion by Regent Haas, seconded by Regent Verst, the Board convened in executive session to discuss the Residential Village Project. This motion for executive session was based upon recent events which raise the possibility of litigation in this matter. The motion passed unanimously.

Mr. Bill Straub, Kentucky Post, requested that his objection of the decision to meet in executive session be noted in the minutes. Sheila Trice Bell, University Legal Counsel, responded to Mr. Straub's objection by pointing out that since the various parties to the Project had obtained legal counsel that this was the basis of her opinion that the matter could be defined as pending litigation, suitable for executive session discussion.

The Board convened in executive session at 4:55 p.m. and reconvened in open session at 5:50 p.m.

The following statement was read into the record:

> Northern Kentucky University Board of Regents has re-reviewed the project as requested, including relevant information made available to the Board since the January 5, 1991, special Board meeting. Based on a review of cost and design information, the Board reaffirms its January 5, 1991 resolution.
>
> The Board, however, is aware of the controversy surrounding the selection process and of the protest filed by Pulliam Investment Company, Inc. The Board must emphasize the University's need for the Residential Village Project and urges that the Secretary make a prompt decision to resolve this matter.
>
> Upon a motion by Regent Duncan, seconded by Regent Brooking, the statement passed unanimously.

Res.
Village

Adjournment

Upon a motion by Regent Lucas, seconded by Regent Haas, the meeting adjourned at 6 p.m.

Elmer J. Haas, Jr., Secretary

Carla S. Chance

Carla S. Chance, Assistant Secretary

I, Elmer J. Haas, Jr. Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on January 30, 1991, and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Bond Sale Committee
Tuesday, March 19, 1991

The Executive Committee of the Northern Kentucky University Board of Regents, empowered by the Board at its regular quarterly meeting of August 13, 1990, met as the Bond Sale Committee on Tuesday, March 19, 1991 at 12 noon in the Presidential Dining Room to execute the sale of Consolidated Educational Series F Bonds. Committee members present were Dr. Herbert Booth, Mr. John R.S. Brooking, and Mr. Elmer Haas, Jr.

Also present were Dr. Leon E. Boothe, University President; Mr. Lee Mairose, Fiscal Agent with Seasongood and Mayer; Ms. Susan Dubrul, Seasongood and Mayer; Ms. Tanya Gritz, Office of Financial Management and Economic Analysis; Mr. Todd Manuel, Office of Financial Management and Economic Analysis; Mr. Dennis Taulbee, Acting Vice President for Administration; Mr. Michael Baker, Assistant Vice President for Business Affairs, and Ms. Carla S. Chance, Assistant Secretary to the Board of Regents.

Chairman Herbert Booth called the meeting to order at 12:10 p.m.

Mr. Lee Mairose, Seasongood and Mayer, reported that the Consolidated Educational Bonds, Series F, had receive an A rating by Moody's and an A- rating by Standard and Poor's. This A- rating did not negatively affect the sale of the bonds.

Mr. Mairose report that four bids have been received on the Consolidated Educational Series F Bond and recommended that the University accept the low bid of John Nuveen & Company, Inc., at a rate of 6.9602 percent.

Based upon the advice of the Fiscal Agent, Regent Brooking moved to accept Nuveen as the low bidder on Series F. Regent Haas seconded the motion which passed unanimously.

Regent Haas moved for adjournment, seconded by Regent Brooking, meeting adjourned at 12:30 p.m.

Elmer J. Haas, Jr., Secretary/Treasurer

Carla S. Chance

Carla S. Chance, Assistant Secretary

Dated this 3rd day of May, 1991.

Agenda
Board of Regents Special Meeting
Tuesday, April 9, 1991 - 9:30 a.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

A. Agenda Items

1. Residential Village Update
2. Series F Bond Sale Ratification
3. Settlement of Legal Matter (recommendation to be distributed at the meeting)
4.
5.

B. Other

1. Upcoming Quarterly Meetings
   May 8, 1991
   July 31, 1991

2. Commencement, May 18, 1991

Board of Regents Meeting
Tuesday, April 9, 1991

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 9:30 a.m. on Tuesday, April 9, 1991, by Chairman Herbert Booth.

Regents Present: Mr. Mark Arnzen, Dr. Herbert Booth, Mr. John Brooking, Ms. Michelle Deeley, Mr. John Fields, Mr. Elmer Haas, Mr. Ken Lucas, Mr. William Verst, Dr. Lew Wallace

Agenda Items:

A-1 President Boothe reported on the award of the Residential Village contract to Pulliam Investment Company of Spartanburg, South Carolina. This award was made by Secretary L. Rogers Wells on April 4, 1991. President Boothe recommended that the Board authorize the University to sign a lease with Pulliam Investment Company, Inc., within the limitations of the request for proposal for the purpose of construction a Residential Village. Upon a motion by Regent Haas, seconded by Regent Brooking, the recommendation passed unanimously. Res. Village

President Boothe commended Mr. Taulbee and Ms. Schuh for their work with this project.

A-2 President Boothe reported that the sale of Consolidated Educational Series F Bonds had been transacted On March 19, 1991 with the successful low bidder of John Nuveen & Company, Inc. at a rate of 6.9602 percent. Upon a motion by Regent Fields, seconded by Regent Deeley, the Board ratified the action of the Bond Sale Committee. Series F Bonds

Upon a motion by Regent Haas, seconded by Regent Deeley, the Board convened in executive session at 9:50 a.m. to discuss real estate and personnel matters.

The board reconvened in open session at 10:50 a.m.

A-3 Regent Mark Arnzen moved to delay action on the pending legal matter for thirty (30) days and requested that Mr. Phil Taliaferro obtain an extension of the statue of limitations so that this matter might be discussed at the next meeting of the Board of Regents. Regent Haas seconded the motion which passed by an eight/one vote. Legal Matter

Adjournment

Upon a motion by Regent Deeley, seconded by Regent Fields, the meeting adjourned at 10:55 a.m.

Elmer J. Haas, Jr., Secretary

Carla S. Chance, Assistant Secretary

I, Elmer J. Haas, Jr. Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on April 9, 1991, and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Carla

Revised Agenda
Northern Kentucky Univesity
Wednesday, May 8, 1991 - 4 p.m.
Regents Board Room - Administrative Center

Call To Order and Invocation

Installation of Faculty Regent - Rosetta Mauldin

Roll Call and Welcome

Minutes of Previous Meeting - Quarterly Meeting of January 30, 1991
Bond Committee Meeting of March 19, 1991
Special Meeting of April 9, 1991

A. Presidential Reports

1. Grants and Contracts Quarterly Report
2. Campus Planning Report
3. Quarterly Financial Report
4. A-110 Audit of Federal Programs
5. 1991 Spring Semester Enrollment Report
6. 1991 Fund for Northern
7.
8.

B. Presidential Recommendations

1. Academic Personnel Actions
2. Emeritus Status Recommendation
3. Salmon P. Chase College of Law Faculty Handbook Revision
4. Non-academic Personnel Actions
5. Administrative Appointments
6. Minor in Electronics Technology
7. Staff Congress Constitution and Bylaws Revisions
8. Administrative-Governing Regulations Handbook Revision
9. 227 Johns Hill Road Lease Agreement
10. 419 Johns Hill Road Lease Agreement
11. Audit Committee Recommendation (to be distributed at the meeting)
12. Bad Debt Write-Off Authorization
13. 1990-91 Operating Budget Revision
14. 1991-92 Operating Budget Approval
15. Promotion-from-Within Policy
16. Student Regent Resolution
17. Residential Village Bond Resolution
18.
19.
20.

C. Other

1. Commencement
   May 18, 1991

2. Quarterly Meetings
   July 31, 1991

MAY 08 1991

Board of Regents Meeting
May 8, 1991

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4 p.m. on Wednesday, May 8, 1991, by Chairman Herbert Booth. The invocation was given by Sister Janet Carr, Newman Center.

Chairman Booth administered the oath of office to newly-elected faculty regent, Rosetta Mauldin.

Roll Call: Mark Arnzen, Dr. Herbert Booth, John Brooking, Michelle Deeley, David Duncan, John Fields, Elmer Haas, Ken Lucas, Dr. Rosetta Mauldin, and William Verst

Others Present: Sheila Trice Bell, Cynthia Dickens, Peter Hollister, James Alford, Michael Baker, Elizabeth Blincoe, Charles Brock - McDonald & Company, Sandra Easton, Jerry Legere, John Johnson, Russ Kerdolff, Joyce Maegly, Becky Myers, Mary Ryan, Mary Paula Schuh, Rose Stauss, David Tukey, Debra Vance - Kentucky Post, Helen Wilhelm-Olsen

The minutes of the previous quarterly meeting, January 30, 1991, were approved on a motion by Regent Duncan, seconded by Regent Verst.

The minutes of the Bond Committee meeting, March 19, 1991, were approved on a motion by Regent Haas, seconded by Regent Fields.

The minutes of the special meeting, April 9, 1991, were approved on a motion by Regent Lucas, seconded by Regent Verst.

Chairman Booth announced that the Nominating Committee had been formed and would present a slate of officers at the July 31, 1991 board meeting. The Committee is comprised of William Verst, Chair; John Fields; and David Duncan.

Chairman Booth asked that the group observe a moment of silence in memory of Dr. Addison Reed, recently deceased Chair of the Music Department.

Presidential Reports

A-1 President Boothe reported on the diversity of the grants and programs funded through the efforts of faculty working with the Office of Research, Grants and Contracts. Grants & Contracts

A-2 President Boothe reported that work had begun on the installation of the Data Network. The Fine Arts Expansion Groundbreaking was held on April 9, 1991 and there is an anticipated July 1992 completion date. The groundbreaking for the Library Expansion Project is anticipated in late fall. The tentative bond sale deadline for the Residential Village Project is May 13. Campus Planning

President Boothe expressed his appreciation to Mr. Clifford Borland and the NS Group for their financial commitment to the recently installed sculpture, "Education, Science & Technology" which has been sited on the west lawn of the Applied Science & Technology Center.

A-3 President Boothe presented the quarterly financial report for the period ending March 31, 1991 and commended the Business Affairs operation for their consistent and continued efforts in monitoring financial activity. Quarterly Report

A-4 President Boothe reported that the A-110 Audit conducted by external auditors Rankin, Rankin & Company, indicated no material weaknesses in internal accounting controls. A-110

A-5 President Boothe reviewed the final enrollment report for Spring Semester 1991, and reported that the University experienced a 21 percent increase in first-time transfer students. The overall percentage of full-time students had increased and the African-American enrollment had increased by 13.4 percent. Spring Enrollment

A-6 President Boothe presented the Fund for Northern Report from the Northern Kentucky University Foundation and expressed appreciation to Chairman George Berry, Square D Company, for his efforts in leading the way to the $1.3 total. Fund for Northern

Presidential Recommendations

B-1 President Boothe introduced Ms. Sandra Easton, newly appointed Associate Provost, and recognized Dean John Johnson who had recently resigned to accept a position at the University of South Carolina. Academic Personnel Actions

President Boothe recommended approval of the following academic personnel actions:

Administrative Appointments: Thomas E. Comte, Professor of Management in the Management and Marketing Department and Dean of the College of Business, effective July 1, 1991; John O. Westlund, Professor and Acting Chairperson of the Department of Music, effective February 11, 1991.

Faculty Appointments: Leon Arriola, Assistant Professor of the Mathematics and Computer Science Department, effective August 16, 1991; Richard J. Cook, Assistant Professor of the Physics and Geology Department, effective August 16, 1991; Barbara Merz, Assistant Professor of the Sociology, Anthropology, and Philosophy Department, effective August 16, 1991; Paul G. Schloemer, Assistant Professor of the Accountancy Department, effective January 1, 1992; Karlyn A. Schnapp, Assistant Professor of the Chemistry Department, effective August 16, 1991; Matthew De. Shank, Assistant Professor of Marketing in the Management and Marketing Department, effective August 16, 1991.

Leaves, Paid/Unpaid: Glenellen J. Barty, Assistant Professor of the Accountancy Department, unpaid leave, without benefits, beginning August 16, 1991 and ending May 16, 1992; Howard Storm, Professor and Acting Chairperson of the Art Department, unpaid leave, without benefits, beginning August 16, 1991 and ending May 16, 1992.

Resignations: Joyce Adams, Assistant Professor of Journalism in the Communications Department, effective May 18, 1991; Brent W. Byerly, Instructor in the Allied Health, Human Services, and Social Work Department, effective May 18, 1991; Chaweng Changchit, Assistant Professor of Management in the Management and Marketing Department, effective May 18, 1991; John P. Johnson, Professor and Dean of the College of Professional Studies, effective June 30, 1991; Marjorie Muntz, Associate Professor of the Theatre Department, effective May 18, 1991.

Retirements: W. Jack Grosse, Professor of Law, Salmon P. Chase College of Law, effective June 30, 1991; J. Merle Nickell, Associate Professor of History in the History and Geography Department, effective May 18, 1991; Frank L. Stallings, Jr., Professor of English in the Literature and Language Department, effective July 31, 1991; Rosemary I. Stauss, Associate Professor of the Theatre Department and Associate Provost, effective June 30, 1991; Ann B. Visnic, Assistant Professor of Business Education

in the Technology Department, effective June 30, 1991; Richard E. Ward, Professor of the Political Science Department, effective June 30, 1991.

Upon a motion by Regent Verst, seconded by Regent Fields, the recommendation passed unanimously.

B-2 President Boothe recommended that the Board of Regents grant Emeritus status to the following individuals: W. Jack Grosse, J. Merle Nickell, Frank L. Stallings, Jr., Rosemary I Stauss, Ann B. Visnic, and Richard E. Ward. Upon a motion by Regent Brooking, seconded by Regent Duncan, the recommendation passed unanimously. — Emeritus Status

B-3 President Boothe recommended revision to the tenure status of the full-time Legal Research and Writing positions as addressed by the Salmon P. Chase College of Law Faculty Handbook. Upon a motion by Regent Verst, seconded by Regent Brooking, the recommendation passed unanimously. — Chase Handbook

B-4 President Boothe recommended approval of the non-academic personnel actions for the period of January 1, 1991, through March 31, 1991. Upon a motion by Regent Fields, seconded by Regent Deeley, the recommendation passed unanimously. — Non-Acad. Actions

B-5 President Boothe recommended approval of the administrative appointment of Mr. Fred Otto, III, Director of Public Safety, and recognized the retirement of Mr. Chester Arthur, Acting Director of the Budget. Upon amotion by Regent Duncan, seconded by Regent Haas, the recommendation passed unanimously. President Boothe introduced Mr. Otto to the assembly. — Admin. Actions

B-6 President Boothe recommended approval of the new minor in Electronics Technology. This program was designed in consideration of the University requirements for a minor and has been approved through the University curriculum process. Upon a motion by Regent Lucas, seconded by Regent Duncan, the recommendation passed unanimously. — Minor Electronics

B-7 President Boothe recommended approval of revisions to the Staff Congress Constitution and Bylaws which provided for a president-elect system. This arrangement of officers is desirable to provide continuity in administration. Upon a motion by Regent Verst, seconded by Regent Fields, the recommendation passed unanimously. — Staff Con. Bylaws

B-8 President Boothe recommended approval of the revised edition of the Administrative-Governing Regulations Handbook. This document is a compendium of Board-approved policies and statements and has been revised in accordance with the recommendations of the Southern Association of Colleges and Schools. Upon a motion by Regent Haas, seconded by Regent Fields, the recommendation passed unanimously. — Admin Regs.

B-9 President Boothe recommended that the Board authorize the University to enter into a lease agreement to rent the house and property located at 227 Johns Hill Road. This is a continuation of the lease arrangement between the Foundation and the lessee. Upon a motion by Regent Deeley, seconded by Regent Verst, the recommendation passed unanimously. — 227 JHR Lease

B-10 President Boothe recommended that the University enter into a lease agreement with the Kentucky Cancer Program for the use of 419 Johns Hill Road. Upon a motion by Regent Fields, seconded by Regent Duncan, the recommendation passed unanimously. — 419 JHR Lease

B-11 President Boothe recommended that the Administration be authorized to enter into a renewal of the external audit contract for the fiscal year 1991-92. Upon a motion by Regent Brooking, seconded by Regent Lucas, the recommendation passed unanimous. Regent Haas, Chair of the Audit Committee, requested that information regarding percentage of debt service to budget for similar Kentucky institutions be made available. Audit Contract

B-12 President Boothe recommended that the Board authorize the write-off of bad debts totalling $9,127.92. Upon a motion by Regent Verst, seconded by Regent Lucas, the recommendation passed unanimously. Bad Debt

B-13 President Boothe recommended that the 1990-91 operating budget be amended downward in accordance with revenue activity since December 31, 1990. Upon a motion by Regent Brooking, seconded by Regent Deeley, the recommendation passed unanimously. 90-91 Budget

B-14 President Boothe recommended that the 1991-92 operating budget be approved at a total of $56.1 million. A detailed budget will be presented at the next quarterly meeting of the Board. Upon a motion by Regent Duncan, seconded by Regent Deeley, the recommendation passed unanimously. 91-92 Budget

B-15 President Boothe recommended approval of the Promotion-From-Within Policy for inclusion in the Personnel Policies and Procedures Handbook. This policy will be utilized for a three-year trial period in an effort to provide an opportunity for the promotion of existing staff with consideration toward Affirmative Action goals. Upon a motion by Regent Duncan, seconded by Regent Verst, the recommendation passed unanimously. Promotion From Within

B-16 President Boothe recommended that the Board declare Mr. David Stringer, president-elect of NKU Student Government, a resident of Kentucky pursuant to KRS 164.320 (8) and that Mr. Stringer serve as Student Regent. Upon a motion by Regent Haas, seconded by Regent Fields, the recommendation passed unanimously. Dr. Boothe commended Ms. Deeley for her service as Student Regent and as a member of the Board. Student Regent

B-17 President Boothe recommended adoption of the resolution pledging assets for the lease payment schedule related to the Residential Village Project. The hierarchy of the pledge includes room rentals, the override on the meal plan, and profits of other auxiliary enterprises. Upon a motion by Regent Haas, seconded by Regent Duncan, the recommendation passed unanimously. It was noted that Huntington Bank was the successful bid for Trustee. Res. Villag Lease

B-18 The following resolution was read into the record:

> That in recognition of six years of dedicated service to Northern Kentucky University as the elected faculty member of the University's Board of Regents, this Board expresses its gratitude and appreciation to Professor and Regent Harold Lew Wallace. Wallace Resolution

Upon a motion by Regent Haas, seconded by Regent Lucas, the resolution passed unanimously.

Upon a motion by Regent Duncan, seconded by Regent Lucas, the Board convened in executive session at 5 p.m. to discuss personnel, litigation, and real estate matters.

The Board of Regents reconvened in public session at 6:15 p.m.

Regent Brooking, chairman of the Presidential Evaluation Committee gave the following report:

Boothe Contract

> Dr. Boothe's rating by his colleagues and peers in the University and in our community, being the students, faculty, staff, Board of Regents and community leaders has been very positive regarding his service to the University and community for 1990-91. The Committee received no adverse comments from anyone and, therefore, the President is rated "superior" by the Evaluation Committee.

Regent Brooking moved that the President's contract be extended for one year and that the annual salary increase be 7.5 percent. Regent Haas seconded the motion which passed unanimously.

DeMarcus Litigation

Regent Verst moved that the Board of Regents of Northern Kentucky University approve the settlement of a dispute between Dr. John P. DeMarcus and Northern Kentucky University in accordance with the terms for full and complete mutual release discussed in executive session. Regent Duncan seconded the motion which passed with one abstention by Regent Arnzen.

Adjournment:

Upon a motion by Regent Haas, seconded by Regent Fields, the meeting adjourned at 6:35 p.m.

Elmer J. Haas, Jr., Secretary

Carla S. Chance, Assistant Secretary

I, Elmer J. Haas, Jr., Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on May 8, 1991, and that such matters are still in force and effect.

Elmer J. Haas, Jr., Secretary

Agenda
Special Board Meeting
Monday, May 13, 1991 - 10 a.m.
VIA Conference Call

Presidential Recommendation

1. Technical Revision to Residential Village Bond Resolution

Other

1. Commencement
   May 18, 1991

2. Quarterly Meeting Schedule
   July 31, 1991

Board of Regents Special Meeting
Monday, May 13, 1991

The special meeting of the Board of Regents, conducted via conference call, was called to order at 10:09 a.m., Monday, May 13, 1991, by Chairman Herbert Booth.

Roll Call: Dr. Herbert Booth, Mr. John Brooking, Ms. Michelle Deeley, Mr. David Duncan, Mr. John Fields, Mr. Ken Lucas, Dr. Rosetta Mauldin, Mr. William Verst

Others Present: President Leon E. Boothe, Mr. Michael Baker, Mr. Dennis Taulbee

Presidential Recommendation:

A-1 Regent David Duncan moved that the Board adopt Version Two of the revised Residential Village Bond Resolution with the technical revisions contained therein. Regent Brooking seconded the motion.

Acting Vice President Dennis L. Taulbee explained that Version Two significantly expanded Section Three of the Resolution to provide a more secure pledge of gross rentals and auxiliary revenues. Additionally, Version Two was revised by adding Section Five empowering the Vice President for Administration to negotiate documents consistent with the terms of the resolution.

A roll call vote was taken and the revised resolution was approved. This revised resolution is attached.

Adjournment:

Upon a motion by Regent Verst, seconded by Regent Lucas, the meeting was adjourned.

Carla S. Chance
Carla S. Chance, Assistant Secretary

I, Carla S. Chance, Assistant Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on May 13, 1991, and that such matters are still in force and effect.

Carla S. Chance
Carla S. Chance, Assistant Secretary

Dated this 17th day of May, 1991.

Board of Regents Special Meeting
Tuesday, June 11, 1991

The special meeting of the Board of Regents was called to order at 4:32 p.m., Tuesday, June 11, 1991, by Chairman Herbert Booth.

Roll Call: Dr. Herbert Booth, Mr. John Brooking, Ms Michelle Deeley, Mr. David Duncan, Mr. Elmer Haas, Mr. John Fields, Mr. Ken Lucas, Dr. Rosetta Mauldin

Others Present: Sheila Trice Bell, Cynthia S. Dickens, Peter H. Hollister, David Jorns, Dennis L. Taulbee, W. Michael Baker, Charles E. Brock - McDonald & Company, Sonda Cummins, Sandi Cunningham, Russell Kerdolff, Mary Paula Schuh, Jeff Whiting - McDonald & Company,

Agenda Items:

A-1 President Boothe gave a brief overview of the changes in the the cost of bond issuance, bond insurance and market rates. Much of the delay surrounding the issuance of bonds has been the result of the uninsurability of the bonds coupled with the numerous complex legal documents which have required review. Discussion among the Regents focused on the possibility that a further delay might result in better bond market rates. Additionally, concerns were expressed regarding the ability to delay and still complete the complex by August 1992. Mr. Jeff Whiting and Mr. Charles Brock, McDonald & Company Securities, Inc., reviewed handouts (attached) which detailed the increased costs relating to this project. Upon a motion by Regent Brooking, seconded by Regent Fields, the Northern Kentucky University Board of Regents authorized the President to complete the agreement for the construction of the Residential Village Project subject to the conditions and limitations as outlined in the Board recommendation.

A-2 President Boothe presented the revised Residential Village Bond Resolution. This document, originally adopted by the Board at its regular quarterly meeting on May 8, 1991 and subsequently revised in special session on May 13, 1991, required further revision based upon the requirements of Capital Guaranty in providing insurance for the issue. Revised sections include 4 and 6 which provide for the obligation of all legally available revenues and the negotiation of necessary documents which conform with the RFP and its addenda, respectively. Upon a motion by Regent Duncan, seconded by Regent Deeley, the recommendation passed unanimously.

Adjournment:

Upon a motion by Regent Haas, seconded by Regent Brooking, the meeting adjourned at 5:03 p.m.

Carla S. Chance
Carla S. Chance, Assistant Secretary

I, Carla S. Chance, Assistant Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on June 11, 1991, and that such matters are still in force and effect.

Carla S. Chance
Carla S. Chance, Assistant Secretary

Dated this 17th day of June, 1991.

NORTHERN KENTUCKY UNIVERSITY
RESIDENTIAL VILLAGE $10,800,000 CONSTRUCTION COST

BUDGET COMPARISON OF BEST AND FINAL AAA INSURED CERTIFICATES OF PARTICIPATION TO
AAA INSURED CERTIFICATES OF PARTICIPATION AS OF JUNE 11,1991

	SERIES 1991 CERTIFICATES OF PARTICIPATION PRICING AS OF JUNE 11, 1991		SERIES 1991 CERTIFICATES OF PARTICIPATION BEST AND FINAL DEC. 27, 1991		DIFFERENCE	FOOTNOTE
PAR AMOUNT OF BONDS	13,710,000.00		13,145,000.00		565,000.00	
ACCRUED INTEREST	51,511.24		0.00		51,511.24	A
INTEREST EARNINGS						
CONSTRUCTION FUND	336,623.05		397,766.03		(61,142.98)	B
CAPITALIZED INTEREST FUND	65,446.28		67,040.80		(1,594.52)	B
DEBT SERVICE RESERVE	107,362.18		105,160.00		2,202.18	B
		14,270,942.75		13,714,966.83	555,975.92	
ACCRUED INTEREST	51,511.24		0.00		51,511.24	A
COST OF ISSUANCE	140,000.00		100,000.00		40,000.00	
UNDERWRITERS DISCOUNT	191,940.00		195,860.50		(3,920.50)	
BOND INSURANCE	335,896.89		139,362.28		196,534.61	
CAPITALIZED INTEREST	1,247,114.31		1,163,203.12		83,911.19	C
DEBT SERVICE RESERVE	1,371,000.00		1,314,500.00		56,500.00	D
CONSTRUCTION FUND	10,800,000.00		10,800,000.00		0.00	
ROUNDING	4,823.16		2,040.93		2,782.23	
ORIGINAL ISSUE DISCOUNT	128,657.15		0.00			
		14,270,942.75		13,714,966.83	555,975.92	

FOOTNOTE

A - INTEREST FROM DATED DATE OF JUNE 1, 1991 TO ANTICIPATED DELIVERY OF JUNE 12, 1991. BEST AND FINAL HAD DATED AND DELVERY DATES OF JANUARY 1, 1991.

B - ACTUAL REINVESTMENT RATES ARE AS FOLLOWS COMPARED TO BEST AND FINAL:

	ACTUAL	BEST AND FINAL
CONSTRUCTION	6.185%	8.00%
CAP. INTEREST	6.185%	7.17%
DEBT SERVICE RES	7.600%	7.17%

C - CHANGE IN NUMBER OF DAYS OF CAPITALIZED INTEREST DUE TO EXTENDED DELAYS AND BOND INSURANCE REQUIREMENTS

D - BOND INSURANCE REQUIREMENT

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NORTHERN KENTUCKY UNIVERSITY
RESIDENTIAL VILLAGE $10,800,000 CONSTRUCTION COST

COMPARISON OF BEST AND FINAL AAA INSURED CERTIFICATES OF PARTICIPATION TO
AAA INSURED CERTIFICATES OF PARTICIPATION AS OF JUNE 11,1991

	SERIES 1991 CERTIFICATES OF PARTICIPATION AS OF JUNE 11, 1991					SERIES 1991 CERTIFICATES OF PARTICIPATION BEST AND FINAL: DEC. 27, 1990							
YEAR	PRINCIPAL	GROSS DEBT SERVICE REQUIREMENT	DSRF EARNINGS	DSF EARNINGS	NET DEBT SERVICE REQUIREMENT	PRINCIPAL	GROSS DEBT SERVICE REQUIREMENT	DSRF EARNINGS	DSF EARNINGS	NET DEBT SERVICE REQUIREMENT	ANNUAL DIFFERENCE	pV 8.0000% FACTOR	pV DIFFERENCE
1992	0.00	569,335	1,298,626	0.00	0.00	0.00	925,163	1,163,203	0.00	0.00	N/A	91.60813818%	0.00
1993	65,000	1,041,002	788,913	3,866	248,223	0.00	925,162	351,842	13,699	559,621	(311,397.54)	84.82235018%	(264,135)
1994	70,000	1,042,493	104,196	23,601	914,696	0.00	925,163	94,319	19,372	811,471	103,224.39	78.53921308%	81,072
1995	75,000	1,043,573	104,196	23,768	915,609	0.00	925,162	94,289	19,372	811,501	104,108.66	72.72149358%	75,709
1996	80,000	1,044,223	104,196	24,441	915,586	0.00	925,163	94,289	19,371	811,503	104,083.50	67.33471628%	70,084
1997	90,000	1,049,422	104,196	24,687	920,539	0.00	925,162	94,289	19,371	811,502	109,037.46	62.34695958%	67,982
1998	295,000	1,248,888	104,196	34,501	1,110,191	350,000	1,275,163	94,289	34,903	1,145,971	(35,779.55)	57.72866628%	(20,655)
1999	315,000	1,250,450	104,196	35,065	1,111,189	375,000	1,277,412	94,289	35,469	1,147,654	(36,465.42)	53.45246878%	(19,492)
2000	335,000	1,250,290	104,196	35,579	1,110,515	400,000	1,277,663	94,289	35,987	1,147,387	(36,872.41)	49.49302668%	(18,249)
2001	355,000	1,248,515	104,196	36,065	1,108,254	425,000	1,275,862	94,289	36,456	1,145,117	(36,862.92)	45.82687658%	(16,893)
2002	380,000	1,250,085	104,196	36,762	1,109,127	455,000	1,276,113	94,289	37,076	1,144,748	(35,620.36)	42.43229308%	(15,115)
2003	735,000	1,579,625	104,196	53,271	1,422,158	805,000	1,594,262	94,289	51,847	1,448,126	(25,968.41)	39.28916028%	(10,203)
2004	790,000	1,581,337	104,196	54,649	1,422,492	860,000	1,592,913	94,289	52,941	1,445,683	(23,191.33)	36.37885208%	(8,437)
2005	845,000	1,579,063	104,196	55,927	1,418,940	920,000	1,592,712	94,289	54,165	1,444,258	(25,317.32)	33.68412238%	(8,528)
2006	905,000	1,577,800	104,196	57,352	1,416,252	985,000	1,593,313	94,289	55,511	1,443,513	(27,261.07)	31.18900218%	(8,502)
2007	970,000	1,577,187	104,196	58,917	1,414,074	1,055,000	1,594,362	94,289	56,970	1,443,103	(29,029.66)	28.87870568%	(8,383)
2008	1,045,000	1,581,862	104,196	60,837	1,416,829	1,130,000	1,594,194	94,289	58,502	1,441,403	(24,573.92)	26.73954238%	(6,571)
2009	1,120,000	1,581,100	104,196	62,621	1,414,283	1,210,000	1,593,681	94,289	60,128	1,439,264	(24,981.41)	24.75883548%	(6,185)
2010	1,200,000	1,579,900	104,196	64,512	1,411,192	1,295,000	1,592,469	94,289	61,840	1,436,340	(25,147.99)	22.92484768%	(5,765)
2011	1,290,000	1,582,900	104,196	66,740	1,411,964	1,390,000	1,595,200	94,289	63,851	1,437,060	(25,096.43)	21.22671088%	(5,327)
2012	2,750,000	2,949,375	1,475,196	68,752	1,405,427	1,490,000	1,596,162	1,408,789	7,589	179,784	1,225,643.39	19.65436188%	240,892
	13,710,000	29,208,425	5,438,262	881,913	23,617,540	13,145,000	27,872,456	4,621,066	794,422	22,695,008	922,532		113,299

PREPARED BY McDONALD & COMPANY SECURITIES, INC.

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Agenda
Northern Kentucky University
Wednesday July 31, 1991 - 4 p.m.
Regents Board Room - Administrative Center

Call To Order and Invocation

Installation of Regents Charles Bruce Lester and Robert W. Zapp

Installation of Student Regent David Stringer

Roll Call and Welcome

Report of the Nominating Committee

Minutes of Previous Meeting - Quarterly Meeting of May 8, 1991
Special Meeting of May 13, 1991
Special Meeting of June 11, 1991

A. Presidential Reports
1. Grants and Contracts Quarterly Report
2. Campus Planning Report
3. Quarterly Financial Report
4. Debt Service Expenditures
5. Residential Village Project
6. Tuition Installment Payment Plan
7.
8.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Continued Probation Recommendation
3. Non-academic Personnel Actions
4. Administrative Personnel Actions
5. Bachelor of Organizational Studies
6. Minor in Industrial and Labor Relations
7. Minor in Office Systems Technology
8. Revised Admissions Policy
9. Surplus Property Authorization
10. Building 287 and 251 Demolition
11. 1990-96 Information Resources Plan
12. 1990-96 Six-Year Capital Plan
13. 1992-96 Capital Budget Request
14. 1990-91 Operating Budget Adjustment
15. 1991-92 Operating Budget Recommendation
16.
17.

C. Other

1. Quarterly Meeting Schedule
October 30, 1991
January 29, 1992
May, 6, 1992
July 29, 1992

JUL 31 1991

Board of Regents Meeting
July 31, 1991

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, July 31, 1991, by Chairman Herbert Booth. The invocation was given by Bro. Harold Orndorff, Christian Student Fellowship.

Chairman Booth administered the oath of office to newly-elected student regent, David Stringer; and to newly-appointed regents Charles B. Lester and Robert Zapp.

Roll Call: Herbert Booth, John Brooking, David Duncan, John Fields, Charles Lester, Rosetta Mauldin, David Stringer, William Verst, Robert Zapp

Others Present: Sheila Bell, Cynthia Dickens, David Jorns, Dennis Taulbee, Michael Baker, Elizabeth Blincoe, Ann Buechel, Linda Bunker, Garry Casson, Thomas Comte, Sonda Cummins, Margo Ferrante, Diane Goetz, Patty Hayden, Thomas Isherwood, Don Kelm, Bill Lamb, Jerry Legere, Madge Lester, Joyce Maegly, Milbry Noffsinger, Harold Orndorff, Norleen Pomerantz, Shirley Raleigh, Mary Paula Schuh, Virginia Stallings, Linda Wright

The 1991-92 Nominating Committee was comprised of Regents Verst, Duncan, and Fields. Regent William Verst, Chair of the Nominating Committee, presented the slate of officers: Chairman, John Brooking; Vice Chairman, Herbert Booth; Secretary/Treasurer, John Fields. Regent Duncan seconded the report of the committee which passed unanimously.

The minutes of the previous quarterly meeting, May 8, 1991, were approved on a motion by Regent Duncan, seconded by Regent Verst.

The minutes of the special meeting, May 13, 1991, were approved on a motion by Regent Brooking, seconded by Regent Fields.

The minutes of the special meeting, June 11, 1991, were approved on a motion by Regent Duncan, seconded by Regent Mauldin.

Regent Brooking read the following Resolution into the minutes:

Lucas Resolution

> That the Board of Regents and the entire University community extend its sincere appreciation to Kenneth R. Lucas who served as a Regent of Northern Kentucky University from its founding in 1968 to 1991. Mr. Lucas has given generously of his time, talent, and leadership to further the ultimate goals of the University and has served as an advocate of the institution, its faculty, staff, students, and alumni.
>
> Be it further resolved that Kenneth R. Lucas be designated a Regent Emeritus of Northern Kentucky University in honor of his status as a founding Regent and in recognition of the many years he served as Chairman of the Board of Regents.

Upon a second by Regent Duncan, the resolution was adopted.

Regent Brooking read the following Resolution into the minutes:

> That the Board of Regents of Northern Kentucky University and the entire University community extend its sincere appreciation to

Elmer J. Haas, Jr., who served as a Regent of the University from its founding in 1968 to 1991. Mr. Haas fulfilled his duties in an exemplary manner and has dedicated much time and energy to further the direction and goals of the University. Haas Resolution

Be it further resolved that Elmer J. Haas, Jr. be designated a Regent Emeritus of Northern Kentucky University in honor of his status as a founding Regent and in honor of the many years he served as Secretary of the Board of Regents.

Upon a second by Regent Duncan, the resolution was adopted.

President Boothe introduced the 1991-92 Staff Congress Officers: Virginia Stallings, President; Linda Wright, President-elect; Shirley Raleigh, Secretary.

Presidential Reports

A-1 President Boothe reported that fiscal year 1991 funds approved exceeded $3.2 million. President Boothe also commented on the wide array of projects funding through the grants program. Grants Contracts

A-2 President Boothe reported that progress on the design phase of the Library Expansion Project was going well. The Residential Village Project was underway with continual progress critical to reaching the August 1992 completion date. Campus Planning

A-3 President Boothe reported that, again, the Quarterly Financial Report documented the financial stability of the institution. Of special note was page nine of the report. Dr. Boothe commended the Business Office for the favorable investment rates obtained. Quarterly Financial

A-4 As requested at the May 8, 1991 quarterly meeting, President Boothe presented information on debt service expenditures at Northern Kentucky University and other Kentucky state institutions and shared recent information on estimated debt capacity as prepared by the Council on Higher Education. Debt Service

A-5 President Boothe reported that the financing for the Residential Village Project had been finalized in accordance with the resolution of the Board. A copy of the official statement was distributed to the Board. Res. Vill. Official Statement

A-6 President Boothe reported on the utilization of the Tuition Installment Payment Plan. During 1990-91, 5,292 took advantage of this deferment plan to pay tuition. TIPP

In additional comments, President Boothe indicated that the preliminary summer enrollment statistics projected at FTE increase of more than 4 percent. The CHE Decision Makers Conference will be held in Lexington on September 15 and 16. The Founders' Day BBQ is scheduled for October 4.

Presidential Recommendations

B-1 President Boothe introduced Dean Tom Comte, College of Business; and Dr. Tom Isherwood, Interim Dean, College of Professional Studies. Academic Personnel

President Boothe recommended approval of the following academic personnel actions.

Administrative Appointments: Barry Andersen, Acting Chairperson of the Art Department, effective July 1, 1991; J. Thomas Isherwood, Interim Dean, College of Professional Studies, effective July 1, 1991.

Faculty Appointments: John Alberti, Assistant Professor of the Literature and Language Department, effective August 16, 1991; Marjorie E. Artzer, Assistant Professor of the Education Department, effective August 16, 1991; Keith Barton, Assistant Professor of the Education Department, effective August 16, 1991; Prince Brown, Associate Professor of Sociology and Afro-American Studies in the Sociology, Anthropology, Philosophy Department, effective August 16, 1991; Steven Chicurel, Assistant Professor of the Theatre Department, effective August 16, 1991; Suzanne Elliott Cortez, Assistant Professor of the Education Department, effective August 16, 1991; Jean Gade, Lecturer (renewable) in the Chemistry Department, effective August 16, 1991; Steven Gores, Assistant Professor of the Literature and Language Department, effective August 16, 1991; Diane Gronefeld, Instructor in the Allied Health, Human Services and Social Work Department, effective August 16, 1991; Donald K, Kazee, Assistant Professor of Legal Research/Writing, Chase College of Law, effective August 17, 1991; Sharlene W. Lassiter, Assistant Professor of Law, Chase College of Law, effective August 17, 1991; Russell F. Proctor, II, Assistant Professor of the Communications Department, effective August 16, 1991; Jennifer J. Ryan, Assistant Professor of Legal Research/Writing, Chase College of Law, effective August 17, 1991; Bradford W. Scharlott, Assistant Professor of the Communications Department, effective August 16, 1991; Sarah Steinman, Assistant Professor of the Allied Health, Human Services and Social Work Department, effective August 16, 1991.

Leaves, Paid/Unpaid: Christopher Boehm, Professor of the Sociology, Anthropology and Philosophy Department, unpaid leave with benefits, beginning August 16, 1991 and ending May 16, 1992; Rudy Garns, Assistant Professor of the Sociology, Anthropology and Philosophy Department, unpaid leave without benefits beginning August 16, 1991 and ending December 31, 1992; John Metz, Assistant Professor of the History and Geography, unpaid leave without benefits for Spring 1992 semester; Dixiana Smith, Professor of the Nursing Department, paid leave with benefits, beginning August 1, 1991 and ending July 31, 1992.

Resignations: Charles R. Allison, Assistant Professor of the Technology Department, effective May 18, 1991; Linda Duncan, Assistant Professor of the Literature and Language Department, effective May 18, 1991; Howard Storm, from Acting Chairperson of the Art Department to Professor of the Art Department; Ralph Peterson, Assistant Professor of the Sociology, Anthropology and Philosophy Department, effective May 18, 1991; Nicole Grant, Assistant Professor of the Sociology, Anthropology and Philosophy Department, effective May 18, 1991.

Upon a motion by Regent Duncan, seconded by Regent Fields the recommendation passed unanimously.

B-2	President Boothe recommended the continued probation of Michael Flannery, W. Frank Steely Library, for the 1991-92 academic year under the conditions of the Faculty Policies and Procedures Handbook. Upon a motion by Regent Verst, seconded by Regent Brooking, the recommendation passed unanimously.	Probation
B-3	President Boothe recommended approval of the non-academic personnel actions for the period April 1, 1991 through June 30, 1991. Upon a motion by Regent Duncan, seconded by Regent Mauldin, the recommendation passed unanimously.	Non-Acad. Personnel
B-4	President Boothe recommended the appointment of Mr. Dennis L. Taulbee as Vice President for Administration effective July 1, 1991. Upon a motion by Regent Duncan, seconded by Regent Fields, the recommendation passed unanimously.	Admin Personnel
B-5	President Boothe recommended the establishment of a new bachelors degree program in Organizational Studies in the College of Professional Studies. This degree program would be initiated in fall 1991. Upon a motion by Regent Verst, seconded by Regent Lester, the recommendation passed unanimously.	Organizational Studies
B-6	President Boothe recommended the establishment of a new minor in Industrial and Labor Relations. The minor has been approved through the University Curriculum Committee process. Upon a motion by Regent Duncan, seconded by Regent Zapp, the recommendation passed unanimously.	Industrial Labor Rela
B-7	President Boothe recommended the establishment of a new minor in Office Systems Technology. The minor has been approved through the University Curriculum Committee process. Upon a motion by Regent Fields, seconded by Regent Mauldin, the recommendation passed unanimously.	OST Minor
B-8	President Boothe recommended revision to the Admissions Policy as it relates to the maximum number of credit hours that a non-degree student can earn at Northern. This policy revision also relates to the reapplication of degree earning students as non-degree students. Upon a motion by Regent Verst, seconded by Regent Duncan, the recommendation passed unanimously.	Admissions Policy
B-9	President Boothe recommended recertification of University officials authorized to accept surplus property from Finance Surplus Property. This reauthorization complies with Public Law 94-519. Upon a motion by Regent Duncan, seconded by Regent Lester, the recommendation passed unanimously.	Surplus Property
B-10	President Boothe recommended the demolition of the office trailer used the Ceramics (building 281) and the garage located at 529 Johns Hill Road (Building 251). Both facilities are in poor condition and no longer useful. Upon a motion by Regent Brooking, seconded by Regent Verst, the recommendation passed unanimously.	Demolition
B-11	President Boothe recommended approval of the University's 1990-96 Information Resources Plan. This is the first plan of its kind and will subsequently be presented to the Kentucky Information Systems Commission. Development of this plan complies with the mandates of 1990 HB 683. President Boothe recognized Dr. Garry Casson for his significant efforts in the development of this	IRP

document. Upon a motion by Regent Verst, seconded by Regent Zapp, the recommendation passed unanimously.

B-12 — President Boothe recommended the approval of the University's 1990-96 Capital Plan. This document complies with 1990 legislation establishing the Capital Planning Advisory Board. This body is charged with the development of a statewide capital plan. President Boothe indicated that he had expressed his concern to state officials relating to the relative low priority of new construction projects in the Plan. Upon a motion by Regent Brooking, seconded by Regent Verst, the recommendation passed unanimously. — 90-96 Capital Plan

B-13 — President Boothe recommended approval of the 1992-94 Capital Budget Request which includes the Convocation Center as a community project. Three primary issues provide the foundation for the development of this request: access, growth, and saturation. The Ceramics/Sculpture Building, as a life/safety project, is the University's top priority. Upon a motion by Regent Fields, seconded by Regent Verst, the recommendation passed unanimously. — 92-94 Capital Budget

B-14 — President Boothe recommended the upward revision of the 1990-91 Operating Budget to $50,8290,978. This adjustment results in part from increased tuition and fees. Upon a motion by Regent Brooking, seconded by Regent Duncan, the recommendation passed unanimously. — 90-91 Budget

B-15 — President Boothe recommended approval of the 1991-92 Operating Budget totalling $56.1 million. This budget provides an average salary increase for faculty and staff of 7.5 percent; it also provides $1.1 million for new faculty--full-time and part-time--and for a general operating increase of $334,650. Upon a motion by Regent Verst, seconded by Regent Duncan, the recommendation passed unanimously. — 91-92 Budget

Upon a motion by Regent Brooking, seconded by Regent Duncan, the Board convened in executive session at 4:45 p.m. to discuss property acquisition issues. The Board reconvened in public session at 5:25 p.m.

Adjournment:

Upon a motion by Regent Brooking, seconded by Regent Verst, the meeting adjourned at 5:27 p.m.

John N. Fields, Jr., Secretary

Carla S. Chance, Assistant Secretary

I, John N. Fields, Jr., Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on July 31, 1991, and that such matters are still in force and effect.

John N. Fields, Jr., Secretary

Boothe

Agenda
Northern Kentucky University
Wednesday, October 30, 1991
Regents Board Room - Administrative Center

Call To Order and Invocation

Roll Call and Welcome

Minutes of Previous Meeting - Quarterly Meeting of July 31, 1991

A. Presidential Reports Regents Awards - Booth

1. Grants and Contracts Quarterly Report
2. Campus Planning Report
3. Quarterly Financial Report
4. Annual Financial Report
5. Classification of Admissions
6. Final Summer Enrollment Report
7. Fall Preliminary Enrollment Report
8. Description of The Biennial Budget Process
9.
10. Lineahr Award

Presidential Recommendations

1. Academic Personnel Actions
2. Administrative Personnel Actions
3. Non-academic Personnel Actions
4. Revised Organizational Chart
5. Residence Hall Fee Recommendation
6. Residence Hall Name Proposal
7. Certificate Program - Piano Pedagogy
8. Foreign Language Degrees - French and Spanish
9. Demolition Recommendation - 529 Johns Hill Road
10. 1991-92 Operating Budget
11. 1992-94 Biennial Budget Operating Request
12.
13.
14. Executive Session - Real Estate

Other

1. Concensus Committee Resolution

2. Quarterly Meeting Dates
   January 29, 1992
   May 6, 1992
   July 29, 1992

3.

4.

OCT 30 1991

Board of Regents Meeting
October 30, 1991

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4 p.m. on Wednesday, October 30, 1991, by Chairman John R.S. Brooking, Sr. The invocation was given by President Leon E. Boothe.

Roll Call: Mark Arnzen, Herbert Booth, John Brooking, David Duncan, John Fields, Rosetta Mauldin, David Stringer, William Verst. Regents absent: Charles Lester, Robert Zapp

Others Present: President Leon E. Boothe, Sheila Bell, David Jorns, Dennis Taulbee, Michael Baker, Elizabeth Blincoe, Thomas Comte, Sonda Cummins, Sandra Easton, Margo Ferrante, Diane Goetz, Patty Hayden, Barbara Herald, Jeannine Holtz, Mary Huening, Russell Kerdolff, Bill Lamb, Jerry Legere, Mike McPherson, Eileen Miller, Bill Oliver, Darryl Poole, Ken Ramey, Mary Ryan, Paul Reichardt, Rosemary Schlachter, Mary Paula Schuh, Tina Short, Dolores Thelen, Michael Thomson, Marian Winner, John Westlund, Helen Wilhelm-Olsen

Vice Chair, Herbert Booth, recognized the 1991 Regents Distinguished Service Award recipients: Barbara Herald and Jeannine Holtz.

Chairman Brooking recommended the correction of the minutes of the July 31, 1991 regular quarterly meeting to include President Leon E. Boothe among those present at the meeting. Upon a motion by Regent Booth, seconded by Regent Verst, the minutes were approved as corrected.

President Boothe introduced two individuals present in the assembly. Ms. Rosemary Schlachter, Assistant Vice President for Development, has recently joined the institution and will be coordinating the Capital Campaign. Mr. Ken Ramey, Director of Business and Auxiliary Services, was recognized for his leadership as Chair of the United Way Campaign at Northern. Northern Kentucky University exceeded its contribution goal for 1991.

Presidential Reports

A-1 President Boothe reported that the first quarter funds approved had increased by 2.74 percent. The number of projects seeking funding has also increased. Grants & Contracts

A-2 President Boothe reported that the Fine Arts Expansion Project was progressing well and completion is anticipated for July 1992. The Campus Data Network project is completed; this project includes the installation of a fiber optic backbone to serve the campus. Ms. Schuh, Campus Planning, presented the Library Expansion model prepared by Godsey Architects Associates and described the various renovations and expansion proposed for the facility. Campus Planning

A-3 President Boothe reported that, as in previous quarters, the quarterly financial report demonstrated that the University's expenditure and revenue plan was on schedule. President Boothe recognized Mr. Kerdolff and Mr. Baker for their efforts in this area. Quarterly Financial

A-4 President Boothe presented the Annual Financial Report prepared by Rankin, Rankin & Company subject to review by the Board's Audit Committee. President Boothe noted the table on page 3 of the Report and indicated further evidence of Northern's position as a state "assisted" institution. With recent notification of a Annual Report

budgetary reduction, President Boothe noted that Northern Kentucky University's budget contained only 14 percent discretionary dollars with all other financial commitments made to personnel costs, fixed costs, and debt service.

A-5 President Boothe indicated that the Classification of Admissions report was a codification of existing policy requested by the Council on Higher Education. Admissions

A-6 President Boothe presented the final 1991 Summer enrollment summary. Full-time equivalency enrollment for the summer was increased by 3.4 percent for a total of 2,480 full-time equivalent enrollees. Summer Enrollment

A-7 Preliminary 1991 Fall semester enrollment indicates a full-time equivalency increase of 5.5 percent for a total of 7,769 full-time equivalent enrollees. Headcount enrollment has reached a new record high of 11,725. Fall Enrollment

A-8 President Boothe presented a description of the biennial budget request process which contained summary statement related to each step in the process. The University's biennial budget request will be recommended to the Board of Regents as item B-11. Biennial Budget

Presidential Recommendation

B-1 President Boothe introduced Ms. Sandra W. Easton, Associate Provost. Ms. Easton is newly appointed to this position and has served Northern Kentucky University since 1972. Academic Appts.

President Boothe recommended approval of the following academic personnel actions.

Administrative Appointments: Sandra W. Easton, Associate Provost and Assistant Professor of the Accountancy Department, effective July 1, 1991; Thomas F. Hale, Professor and Chairperson of History and Geography Department, effective January 1, 1992.

Faculty Appointments: Deepak Khazanchi, Assistant Professor of the Information Systems Department, effective August 16, 1991; Karen Petty, Lecturer and Director of Developmental Mathematics, beginning August 16, 1991, for a ten-month renewable appointment.

Leaves, Paid/Unpaid: John Metz, Assistant Professor of the History and Geography Department, unpaid leave with benefits for Spring 1992 semester.

Resignations: G. Ronald Hickey, Assistant Professor of the Education Department, effective July 31, 1991; Henry L. Stephens, Jr., from the position of Dean of the Salmon P. Chase College of Law to the position of Professor of Law, effective June 30, 1992.

Upon a motion by Regent Duncan, seconded by Regent Verst, the recommendation passed unanimously.

B-2 President Boothe recommended approval of the following administrative appointments: Delores J. Anderson, Assistant to the President for Affirmative Action and Multicultural Affairs, effective November 15, 1991; Stephanie Baker, Director of Health, Counseling, Testing Services, effective August 1, 1991; Elzie L. Admin. Appts.

Barker, Director of the Budget, effective December 1, 1991; Ophelia Jones, Director of Student Support Services, effective November 4, 1991.

Upon a motion by Regent Verst, seconded by Regent Mauldin, the recommendation passed unanimously.

B-3 President Boothe recommended approval of the non-academic personnel actions occurring during the period of July 1, 1991 and September 30, 1991. President Boothe further reported that in the area of terminations, approximately one-third of those leaving the institution did so for an increase in salary at a similar position. Upon a motion by Regent Fields, seconded by Regent Booth, the recommendation passed unanimously. Non-Acad. Actions

B-4 President Boothe recommended approval of the University's organization chart which had been revised to reflect personnel and organizational changes during the past twelve-month period. Upon a motion by Regent Verst, seconded by Regent Booth, the recommendation passed unanimously. Org. Chart

B-5 President Boothe recommended approval of the residence hall/residential village fee schedule for the 1992-93 academic year. This fee schedule is a 6 percent increase over current residence hall fees. Contact with other institutions indicates that these fees are within an acceptable range as compared to other institutions. Upon a motion by Regent Duncan, seconded by Regent Fields, the recommendation passed unanimously. Residence Fees

B-6 President Boothe recommended the schedule of names for the residence hall and residential village to be composed of names easily associated with the University and the Commonwealth. Upon a motion by Regent Duncan, seconded by Regent Fields, the recommendation passed unanimously. Residence Names

B-7 President Boothe recommended the establishment of a certificate program in piano pedagogy to be initiated for the 1992 Spring semester. This program will be comprised of classes already offered at the institution. Upon a motion by Regent Verst, seconded by Regent Mauldin, the recommendation passed unanimously. Piano Pedagogy

B-8 President Boothe recommended the establishment of two new degree programs: Bachelor of Arts in French, and Bachelor of Arts in Spanish. The University currently offers minors in foreign languages but no full degree programs. Upon a motion by Regent Booth, seconded by Regent Fields, the recommendation passed unanimously. French & Spanish

B-9 President Boothe recommended that the Board declare the house located at 529 Johns Hill Road as surplus to the institution's needs and authorize the demolition of the house. The land is needed for expansion of the adjacent parking lot. Upon a motion by Regent Fields, seconded by Regent Booth, the recommendation passed unanimously. 529 JHR

B-10 President Boothe recommended the downward revision of the 1991-92 operating budget by $69,244. This downward adjustment results from the Commonwealth's decision to hold back debt service associated with new bond issues and is offset by increased tuition 91-92 Budget

and fee revenue. Upon a motion by Regent Duncan, seconded by Regent Booth, the recommendation passed unanimously.

B-11 President Boothe recommended approval of the 1992-94 Biennial Budget Operating Request including a state appropriation request of $36,876,100 in 1992-93 and $48,462,200 in 1993-94. The first priority, after fixed costs are addressed, is a benchmark salary adjustment. This priority is in keeping with the University's Strategic Plan. As part of the biennial budget proposal, the University proposes to develop of implement six new academic programs. Upon a motion by Regent Arnzen, seconded by Regent Duncan, the recommendation passed unanimously. 92-94 Biennial

Regent Booth read the following resolution into the record:

Resolved: On this 30th day of October, 1991, that the Board of Regents of Northern Kentucky University supports and endorses the capital improvement priorities for Northern Kentucky as identified by the Capital Improvement Consensus Committee; further, the Board of Regents urges the adoption and funding of these priority projects by the General Assembly of the Commonwealth of Kentucky during its 1992 legislative session. Concensus Committee

Upon a second by Regent Verst, the resolution was adopted unanimously.

Regent Duncan moved that the Board convene in executive session to discuss real property issues. Upon a second by Regent Arnzen, the motion passed unanimously and the board convened in executive session at 4:45 p.m.

The Board reconvened in open session at 5:20 p.m.

Regent Booth moved that the University administration be authorized to pursue the appraisal and acquisition of parcels identified during executive session. Upon a second by Regent Arnzen, the motion passed unanimously.

Adjournment: Upon a motion by Regent Verst, seconded by Regent Mauldin, the meeting adjourned at 5:25 p.m.

John R.S. Brooking, Chairman

John N. Fields, Jr., Secretary

Carla S. Chance, Assistant Secretary

I, John N. Fields, Jr., Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on October 30, 1991, and that such matters are still in force and effect.

John N. Fields, Jr., Secretary

Chance

Board of Regents Agenda
Northern Kentucky University
Wednesday, January 29, 1992
Regents Board Room - 4 p.m.

Call To Order and Invocation

Roll Call and Welcome

Minutes of Previous Meeting - Quarterly Meeting of October 30, 1991

A. Presidential Reports
1. Grants and Contracts Quarterly Report
2. Campus Planning Report
3. Quarterly Financial Report
4. Audit Committee Report
5. 1991 Fall Semester Enrollment Report
6. 1992 Spring Semester Preliminary Enrollment Report (to be distributed at the meeting)
7. 1992-93 Faculty Project Grants
8. 1992 Summer Fellowship Awards
9. 1992-94 Biennial Operating Budget Request, CHE Recommendation
10. Emergency Response Manual (to be distributed at the meeting)
11.
12.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Promotion, Tenure, Continued Probation, Continued Probation with Difficulties, and Terminal Reappointment
3. Sabbatical Leave Recommendation
4. Non-academic Personnel Actions
5. Administrative Personnel Actions
6. Educational Assistance Plan
7. 1992-93 Fee Schedule
8. 1992-93 Tuition Schedule (to be distributed at the meeting)
9. 1991-92 Operating Budget Adjustment
10.
11.

C. Other

1.

2. Quarterly Meeting Dates
May 6, 1992
July 29, 1992

Board of Regents Meeting
January 29, 1992

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, January 29, 1992, by Chairman John R. S. Brooking, Sr. The invocation was given by Bro. Robert Cain, Wesley Foundation.

Roll Call: Mark Arnzen, Herbert Booth, John Brooking, David Duncan, John Fields, Charles Lester, Rosetta Mauldin, David Stringer, William Verst, Robert Zapp

Others Present: President Leon E. Boothe, Elzie Barker, Sheila Bell, Cynthia Dickens, David Jorns, Dennis Taulbee, Michael Baker, Elizabeth Blincoe, Sonda Cummins, Stacey Durbin - Northerner, Sandra Easton, Jack Geiger, Diane Goetz - Northerner, Russell Kerdolff, Rachel Kline, Bill Lamb, Becky Myers, Robert Neumann, Darryl Poole, Norleen Pomerantz, Angela Schaffer, Rosemary Schlachter, Mary Paula Schuh, Debra Vance - Kentucky Post, Linda Wright

Chairman John Brooking recognized Regent David Stringer who had recently been inducted into the Order of Omega.

The minutes of the previous quarterly meeting, January 29, 1992, were approved on a motion by Regent Booth, seconded by Regent Duncan.

President Boothe recognized Mr. Elzie Barker, newly appointed Director of the Budget.

Presidential Reports

A-1	President Boothe reported that grant activity was up slightly and emphasized the diversity of projects being pursued through grant application.	Grants & Contracts
A-2	President Boothe reported that the Fine Arts Expansion projected is proceeding on schedule with an anticipated move-in date of July 1992. Library design plans are schedule for completion in May 1992. Significant progress continues to be made on the Residential Village Project.	Campus Planning
A-3	President Boothe commended the outstanding work of the financial staff for the progress made in the financial reporting of the last quarter. President Boothe indicated that expenditure and revenue schedules were developed as forecasted,	Quarterly Report
A-4	President Boothe reported on the December meeting of the Audit Committee of the Board of Regents. Regent Zapp was elected chair. Topics discussed included the 1990-91 external audit and other audit efforts.	Audit Committee
A-5	President Boothe presented the final Fall Enrollment Report for 1991. Headcount enrollment increased to 11,540 or 2.5 percent. Full-time equivalent enrollment increased to 7,636 or 3.7 percent.	Fall Enrollment
A-6	President Boothe reported that the preliminary Spring Enrollment Report for 1992 indicated a headcount enrollment increase to 11,168 or 4.2 percent. Additional information will be available at the next board meeting.	Spring Enrollment

A-7 President Boothe reported on the approved 1992-93 faculty project grants and indicated that these grants provide a "cutting edge" opportunity for faculty research. Recipients include: Project Grants

Roger Billings, Jr.	Yasue Kuwahara
Lawrence Borne	Robert Lilly
Richard Cook	James Niewahner
Carol Gaumnitz	Debra Pearce
Diane Gronefeld	Paul Reichardt
Robert Kempton	Michael Washington

A-8 President Boothe reported on the approved 1992 summer fellowship awards for research, scholarly writing, and creative and artistic projects. Recipients include: Summer Fellowship

John Alberti	Lynn Ebersole
Leon Arriola	Carol Gaumnitz
Roger Billings, Jr.	Steven Gores
Lawrence Borne	Sally Jacobsen
Prince Brown, Jr.	Yasue Kuwahara
Richard Cook	Jerald Richards
	Michael Washington

A-9 President Boothe reported on the 1992-94 biennial operating budget request. In response to the Council on Higher Education, the higher education institutions have prepared four different funding scenarios. The University presidents advocate the full funding scenario. Biennial Budget

A-10 The newly revised Emergency Response Manual was distributed to the Board of Regents. This document is responsive to the SACS recommendation calling for a comprehensive safety manual and is designed to address University plans for emergency situations. Emergency Manual

A-11 President Boothe and Chairman Brooking provided for a brief opportunity for Regents attending the recent meeting with Governor Jones to share information regarding the statutes proposed for restructure the membership of the Boards of Regents and Trustees. Meeting w/ Governor

Presidential Recommendations

B-1 President Boothe recommended the approval of the following academic personnel actions: Academic Actions

Retirement: James W. Kinne, Assistant Professor of the Technology Department, effective December 31, 1991.

Upon a motion by Regent Duncan, seconded by Regent Fields, the motion passed unanimously.

B-2 President Boothe recommended approval of the following recommendations for promotion, tenure, continued probation, continued probation with difficulties, and terminal reappointment: P & T

Promotion: Robert Blanchard, Lynn Ebersole, Willie Elliott, Ana England, Lynn Ebersole, Ali Farazmand, John Filaseta, Jon Hastings, Thomas Heard, Vernon Hicks, Jr., MaryCarol Hopkins, Donald Krug, Nancy Martin, Rosetta Mauldin, Stephen Newman,

William Oliver, Thomas Rambo, Timothy Serey, Rita Tobler, Robert Trundle, Jr., Edwin Weiss, Steven Wilkinson

Tenure: Roger Blanchard, Mary Jeremy Buckman, Willie Elliott, Ana England, John Filaseta, Jon Hastings, Thomas Heard, Donald Krug, Dale Lawver, Robert Trundle, Jr., Steven Wilkinson

Continued Probation: David Agard, Valerie Amburgey, Laurie Blair, Sarah Dessner, Robert England, Ray Enzweiler, Joan Ferrante, Michael Flannery, Carol Furnish, Rudy Garns, Carol Gaumnitz, Perilou Goddard, Durrell Hamm, H. Randolph Holt, Kenneth Jones, Stephanie Jones, Barbara Klaw, Paul Knepper, Yasue Kuwahara, Hilary Landwehr, Niaz Latif, Marian Lawson, Alar Lipping, Lisa Long, Elizabeth Lorenzi, Gisele Loriot-Raymer, Ann Luggen, Ellen Lytle, Steven McCarthy, Cheryl McKenzie, John Metz, Danny Miller, Blas Puente-Baldoceda, Beverly Reno, Ronald Shaw, Chenliang Sheng, Phyllis Sholtys, Jeffrey Smith, Lynne Smith, Janis Taylor, Leslie Turner, Stephen Walker, Samuel Zachary

Continued Probation with Difficulties: Ali Farazmand, Clinton Hewan, Charles Jackson, Mary Kirk, Vijay Raghavan, Gretchen Sears, Wendy Wood

Terminal Reappointment: William Barksdale

Upon a motion by Regent Lester, seconded by Regent Verst, the recommendation passed with one abstention by Regent Mauldin.

B-3 President Boothe recommended the approval of the 1992-93 sabbatical leaves: Sabbatical

Lynn Ebersole	Debra Pearce
Kamilla Mazanec	James Ramage
Michael McPherson	Jerald Richards
Margaret Myers	Lois Schultz
Louis Noyd	Penelope Summers
Ralph O'Brien	Geraldine Williams

Upon a motion by Regent Duncan, seconded by Regent Verst, the recommendation passed unanimously.

B-4 President Boothe recommended approval of the non-academic personnel actions for the period October 1, 1991 through December 31, 1991. Upon a motion by Regent Fields, seconded by Regent Booth, the recommendation passed unanimously. President Boothe reported that 25 percent of University employees terminating their positions did so for lateral transfers at an increased salary. Non-acad. Actions

B-5 President Boothe recommended approval of the administrative appointment of Ms. Rosemary Schlachter, Assistant Vice President for Development, effective October 1, 1991. Upon a motion by Regent Verst, seconded by Regent Booth, the recommendation passed unanimously. Admin. Appt.

B-6 President Boothe recommended revision of the Personnel Policies and Procedures Manual (Redbook) related to tuition waiver. This revision eliminates waived graduate level tuition from treatment as taxable income. Upon a motion by Regent Zapp, seconded by Regent Duncan, the recommendation passed unanimously. Tuition Waiver

B-7-a — President Boothe recommended that the student incidental fee be increased to $110 per semester for full-time students and $10 per credit hour for part-time students. It was anticipated that the increased student fee could be earmarked for special student facility and support programs; however, recent discussions with the Governor's Office indicates the potential for further budget reductions so no commitment of funds can be made at this time in order to maintain maximum flexibility. Upon a motion by Regent Duncan, seconded by Regent Stringer, the recommendation passed unanimously. — Student Fee

B-7-b — President Boothe recommended approval of the 1992-93 comprehensive fee schedules which includes academic fees, administrative fees, auxiliary service fees, sales and services of educational activities, student affairs fees, and University Center facilities fees. Upon a motion by Regent Duncan, seconded by Regent Fields, the recommendation passed unanimously — Fee Schedule

B-8 — President Boothe recommended approval of the 1992-93 tuition schedule as mandated by the Council on Higher Education. Upon a motion by Regent Fields, seconded by Regent Verst, the recommendation passed unanimously. — Tuition Schedule

B-9 — President Boothe recommended approval of adjustments to the 1991-92 Operating Budget. This downward adjustment reflects both increases in tuition and fees, and sales & services of educational activities. The downward adjustment also reflects the $1.1 million reduction in state appropriation. Upon a motion by Regent Lester, seconded by Regent Booth, the recommendation passed unanimously. — Operating Budget

Regent Duncan moved that the Board convened in executive session to discuss real estate matters. Upon a second by Regent Booth, the motion passed unanimously and the Board convened in executive session at 4:45 p.m. — Exec. Session

The Board reconvened in open session at 5 p.m.

Regent Arnzen moved that the University administration be authorized to pursue the appraisal and acquisition of three parcels identified during executive session. Upon a second by Regent Mauldin, the motion passed unanimously.

Adjournment: Upon a motion by Regent Verst, seconded by Regent Duncan, the meeting adjourned at 5:05 p.m.

John R.S. Brooking, Sr., Chairman

Carla S. Chance, Assistant Secretary

John N. Fields, Jr., Secretary

I, John N. Fields, Jr., Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on January 29, 1992. and that such matters are still in force and effect.

John N. Fields, Jr., Secretary

Chair

Revised Agenda
Northern Kentucky University
Wednesday, May 6, 1992
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Minutes of Previous Meeting - Quarterly Meeting of January 29, 1992

A. Presidential Reports

1. Grants and Contracts Quarterly Report
2. Campus Planning Report
3. Quarterly Financial Report
4. Final Spring Enrollment
5. A133 Audit Report
6.

B. Presidential Recommendations

1. Academic Personnel Actions
2. Continued Probation/Probation with Difficulties
3. a. Emeritus Status Recommendation
   b. 1992 Regents Professorship
4. Non-academic Personnel Actions
5. Temporary Disability Leave Policy
6. College of Business Reorganization
7. General Studies Assessment
8. Regional Service Center Lease Agreement
9. Series G Bond Resolution
10. Bad Debt Write Off
11. Housing and Dining Installment Plan
12. Land Acquisition Plan
13. 1991-92 Operating Budget Adjustment
14. 1992-93 Operating Budget (to be distributed at the meeting)
15. Board of Regents Bylaws Revisions
16. Greaves
17. Pres Evaluation

C. Regent Committee Recommendations

1. Policy on Naming

D. Other

1. Quarterly Meeting Dates
   July 29, 1992
2.

Stringer Resolution

MAY 06 1992

Board of Regents Meeting
May 6, 1992

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, May 6, 1992, by Chairman John R. S. Brooking, Sr. The invocation was given by Bro. Bill Ellis, Baptist Student Union.

Roll Call: Mark Arnzen, Herbert Booth, John Brooking, David Duncan, John Fields, Rosetta Mauldin, David Stringer, William Verst, Robert Zapp

Others Present: President Leon E. Boothe, Elzie Barker, Sheila Bell, Cynthia Dickens, Peter Hollister, David Jorns, Dennis Taulbee, James Alford, Robert Appleson, Mike Baker, Deanna Calvert, Vic Camm - Burgess & Niple, Sonda Cummins, Bill Ellis, Ron Ellis, Margo Ferrante, James Frank - Burgess & Niple, Michael Franke, Jack Geiger, Tom Isherwood, Robert Kempton, Russell Kerdolff, Jerry Legere, Joyce Maegly, Becky Myers, Bob Neumann, Mary Ryan, Ray Schaefer - The Kentucky Enquirer, Angela Schaffer, Rosemary Schlachter, Connie Schwierjohann, Debra Vance - The Kentucky Post, Helen Wilhelm-Olsen, Marian Winner, Linda Wright

The minutes of the previous quarterly meeting, January 29, 1992, were approved on a motion by Regent Duncan, seconded by Regent Arnzen.

Presidential Reports

A-1 President Boothe reported on the diversity of the grant programs and while a slight decrease in grant activity was noted, the report received a very positive response. Grants Contracts

A-2 President Boothe reported that progress on the Fine Arts Expansion project is ahead of schedule. The groundbreaking for the Library Expansion Project is anticipated for late summer. Progress on the Residential Village continues to be acceptable. Campus Planning

A-3 President Boothe presented the quarterly financial report for the period ending March 31, 1992 and commended the business office staff for their efforts in managing the University's cash flow. Quarterly Financial

A-4 President Boothe reported on the final spring enrollment statistics emphasizing the increase in the full-time equivalency enrollment figure which results from an increased course load. During the 1990-91 academic year, a total of 14,160 students were served through the three academic sessions, fall, spring and summer. Spring Enrollment

A-5 The A-133 audit of federal programs revealed on material weaknesses in the University's management of federally funded programs. President Boothe reported that this audit was completed by Rankin, Rankin & Company. A-133 Audit

Presidential Recommendations:

B-1 President Boothe recommended that the following academic personnel actions receive Board of Regents approval: Academic Personnel

Administrative Appointments: Nancy L. Firak, Interim Associate Dean and Professor of the Salmon P. Chase College of Law, effective July 1, 1992; W. Jack Grosse, Interim Dean and Emeritus

Professor of the Salmon P. Chase College of Law, effective July 1, 1992; J. Thomas Isherwood, Dean of the College of Professional Studies and Associate Professor of the Allied Health, Human Services, and Social Work Department, effective July 1, 1992.

Faculty Appointments: Robert F.C. Naczi, Assistant Professor of the Biology Department, effective August 15, 1992; David M. Potter, Assistant Professor of the Political Science Department, effective August 15, 1992

Leaves, Paid/Unpaid: Mary Ellen Elsbernd, Associate Professor of the W. Frank Steely Library, unpaid leave with benefits, beginning June 1, 1992 and ending August 21, 1992

Resignations: Daniel J. Curtin, from Associate Dean of the College of Arts and Sciences to Associate Professor of Mathematics and Computer Science Department, effective June 30, 1992; J. Thomas Isherwood, from Chair of the allied Health, Human Services, and Social Work Department, effective June 30, 1992; Lowell F. Schechter, from Associate Dean of the Salmon P. Chase College of Law to Professor of the Salmon P. Chase College of Law, effective June 30, 1992; Henry L. Stephens, Jr., from Dean of the Salmon P. Chase College of Law to Professor of the Salmon P. Chase College of Law, effective June 30, 1992; Joseph E. Price, Professor of the Literature and Language Department, effective May 16, 1992; John W. Thieret, from Professor of the Biological Sciences Department and University Editor to University Editor, effective June 30, 1992.

Upon a motion by Regent Verst, seconded by Regent Fields, the recommendation passed unanimously. President Boothe introduced Dean Thomas Isherwood, and Interim Dean Jack Grosse.

B-2 President Boothe recommended continued probation and continued probation with difficulties for the following faculty members: Promotion/ Tenure

Continued Probation: John Alberti, Leon Arriola, Marjorie Artzer, Prince Brown, Jr., Stephen R. Chicurel, Richard Cook, Susan Cortez, Steven Gores, Diane Gronefield, David Hooley, Donald Kazee, Deepak Khazanchi, Sharlene Lassiter, Barbara Merz, Pat Moynahan, Russell Proctor, Carol Richter, Jennifer Ryan, Karlyn A. Schnapp, Matthew D. Shank, Sarah Steinman

Continued Probation with Difficulties: Keith Barton, Brad Sharlott

Upon a motion by Regent Duncan, seconded by Regent Booth, the recommendation passed unanimously.

B-3-a President Boothe recommended that Professor Emeritus status be conferred on three senior retiring faculty members: James W. Kinne, Assistant Professor of the Technology Department, 13 years of service; Joseph E. Price, Professor of the Literature and Language Department, 21 years of service; John W. Thieret, Professor of the Biological Science Department, 19 years of service. Upon a motion by Regent Duncan, seconded by Regent Zapp, the recommendation passed unanimously. Emeritus Status

B-3-b President Boothe recommended that Professor Robert J. Kempton be award the Regents Professorship. Upon a motion by Regent Verst, seconded by Regent Zapp, the recommendation passed unanimously. Regent Professorship

President Boothe introduced Professor Robert J. Kempton to the assembly and commented on his significant accomplishments and research which included a high-level of student interest and undergraduate research.

B-4 President Boothe recommended approval of the non-academic personnel actions. Upon a motion by Regent Fields, seconded by Regent Duncan, the recommendation passed unanimously. Chairman Brooking noted the resignation of "Perk Rudd" former secretary to President Albright, retiring with 18 years of service. Non-Acad. Actions

B-5 President Boothe recommended approval of the revised Temporary Disability Leave Policy for inclusion in the Personnel Policies and Procedures Manual (Redbook). President Boothe noted that this revised policy was not endorsed by Staff Congress and that their specific concerns focused on items 1.6, 1.8 and 1.10. Upon a motion by Regent Duncan, seconded by Regent Verst, the recommendation passed unanimously. Temp. Disability

Regent Duncan commented that the newly revised policy was consistent with industry standards and that he was pleased to see the additional 90-day extension in eligibility for benefits.

B-6 President Boothe recommended reorganization of the College of Business by consolidating the Economics and Finance Department and the Information Systems Department. Upon a motion by Regent Booth, seconded by Regent Verst, the recommendation passed unanimously. College of Business

B-7 President Boothe recommended implementation of the policy on general studies assessment which required student participation in the assessment process. This policy was established in response to the Southern Association of Colleges and Schools' criteria and in response to the Council on Higher Education's assessment program. This policy has received the approval of the Faculty Senate. Regent Stringer spoke on behalf the student body. The policy had been reviewed by the students who are opposed to its adoption. In the face of increased tuition and fees, the students view assessment as a "slap in the face". It was suggested by the student body that the academic catalog reflect the assessment requirement. There was strong student disagreement with the penalties portion of the policy. Upon a motion by Regent Arnzen, seconded by Regent Verst, the recommendation passed seven to one. General Studies

B-8 President Boothe recommended that space in the West Building of the Covington Campus be leased for use by the Northern Kentucky Regional Service Center. This lease was for approximately 2,000 square feet. Upon a motion by Regent Fields, seconded by Regent Arnzen, the recommendation passed unanimously. KERA Lease

B-9 President Boothe recommended that the Board authorize the issuance of $10,875,000 of Northern Kentucky University Consolidated Educational Buildings Revenue Bonds, Series G, to fund (1) the acquisition of various tracts of land as a part of the University's main campus, and (2) the construction of an addition Series G Bonds

to the Library and necessary appurtenances. The resolution further authorized the Executive Committee to execute the sale of bonds. Upon a motion by Regent Booth, seconded by Regent Zapp, the recommendation passed unanimously.

B-10 President Boothe recommended the write-off of bad debts related to student receivables, $45,438.78, and loan receivables $3,040.00. This is a slightly higher bad debt level than in previous years. Upon a motion by Regent Duncan, seconded by Regent Mauldin, the recommendation passed unanimously. Bad Debts

B-11 President Boothe recommended the approval of a housing and dining installment plan designed to defer the payment of on-campus living expenses over the course of the semester. The plan included both an application fee and a late payment fee designed to offset bad debt and administrative costs. Upon a motion by Regent Mauldin, seconded by Regent Zapp, the recommendation passed unanimously. Housing Dining Installment

B-12 President Boothe presented the Land Acquisition Study and requested authorization to proceed with the appraisal and acquisition of Priority 1 parcels. The Board retains authority for final approval on all acquisitions. President Boothe commented that this Study was conducted in response to the saturation of the current University land resources and the need to increase from a 250-acre campus to a 500-acre campus in response to the growing student population. The 1986 Campus Master Plan projected a year 2000 enrollment of 11,000. The Land Acquisition Study anticipates 18,000 students by the year 2010. The Study addresses the acquisition of Towne Properties, the reroute of Johns Hill Road, the siting of the Convocation Center, and possible access to the AA Highway. Upon a motion by Regent Duncan, seconded by Regent Stringer, the recommendation passed unanimously. Land Acquisition Study

B-13 President Boothe recommended the upward adjustment of the 1991-92 operating budget by $138,291 to reflect revenue activity since January 29, 1992. Upon a motion by Regent Verst, seconded by Regent Arnzen, the recommendation passed unanimously. 91-92 Budget

B-14 President Boothe requested approval of the 1992-93 operating budget totaling $58,080,000. This budget was achieved through the reduction of travel and supply costs, an increase in student fees, a reduction in temporary positions, and the foregoing of a 1992-93 pay increase. President Boothe indicated that this budgetary process, including the 10 percent reduction in state appropriations, had been difficult. Upon a motion by Regent Verst, seconded by Regent Fields, the recommendation passed unanimously. 92-93 Budget

B-15 Regent Duncan moved to amend proposed Bylaw amendment III. C. by striking the sentence "and one at-large member who shall be elected by the Board at the same time that the officers are elected." Regent Verst seconded, and the amendment passed. Regent Duncan moved to further amend III. C. by striking the phrase "such minutes" and inserting "the actions of the Executive Committee" and striking "approved" and adding "for said action to have any force and effect, except for prior delegated bond sale authority." Regent Arnzen seconded the motion which passed unanimously. Bylaws Revisions

Regent Duncan moved that the proposed Bylaw amendments, as amended by adopted, Regent Boothe seconded, and the motion passed unanimously.

Regent Committee Recommendations

C-1 Regent Duncan presented the Policy on Naming for facilities, programs and endowments. Regent Duncan reported that this policy serves as a codification of past practice. Procedures have been developed to accompany the policy. Upon a motion by Regent Mauldin, seconded by Regent Duncan, the recommendation passed unanimously. Policy on Naming

Presidential Recommendations

B-16 President Boothe recommended approval of the naming of the Fine Arts Expansion Concert Hall in memory of William H. Greaves and Ruth C. Reif-Greaves. This recommendation is consistent with the recently adopted Policy on Naming. Upon a motion by Regent Fields, seconded by Regent Verst, the recommendation passed unanimously. Greaves Concert Hall

B-17 The Presidential Evaluation Committee consisting of Chair Herbert Booth, Regent David Duncan, and Regent William Verst reported that the evaluation of Dr. Leon Boothe was very positive. President Boothe received excellent comments and his performance was rated as excellent. It was the recommendation of the Evaluation Committee that President Boothe's contract be extended one year. Upon a motion by Regent Stringer, seconded by Regent Duncan, the recommendation passed unanimously. Pres. Evaluation

Other

Chairman John R. S. Brooking indicated that he was not seeking reappointment to the Board and expressed his pleasure with his experience as member and chair of the Board. Regent Booth read the following resolution into the minutes: Brooking Resolution

That the Board of Regents and the entire University community extend its sincere appreciation to John R.S. Brooking, Sr., who has served as a Founding Regent of Northern Kentucky University. Mr. Brooking has given generously of his time, talent, and leadership to further the ultimate goals of the University and has served as an advocate of the institution, its faculty, staff, students, and alumni.

Upon a motion by Regent Booth, seconded by Regent Mauldin, the resolution was adopted unanimously.

Adjournment

Regent Verst moved that the meeting adjourn, upon a second by Regent Duncan the meeting adjourned at 5:20 p.m.

John R. S. Brooking, Sr., Chairman

John N. Fields, Jr., Secretary

Carla S. Chance, Assistant Secretary

I, John N. Fields, Jr., Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on May 6, 1992, and that such matters are still in force and effect.

John N. Fields, Jr., Secretary

Executive Committee Meeting
Tuesday, June 2, 1992

The Executive Committee of the Board of Regents of Northern kentucky University, empowered by the Board to execute the sale of Consolidated Educational Buildings Revenue Bonds, Series G, met on Tuesday, June 2, 1992 in the Business Affairs Conference Room. Members of the Committee were Chairman Herbert Booth and Regent John N. Fields, Jr. Chairman Booth called the meeting to order at 12 noon.

Vice President for Administration, Dennis L. Taulbee, introduced the guests who were attending the committee meeting: Michael Baker, Assistant Vice President for Business Affairs and University Treasurer; Carla Chance, Assistant Secretary to the Board; Russell Kerdolff, Director of Accounting; Lee Mairose, Seasongood & Mayer; and Cindy Mossman, Seasongood & Mayer.

Mr. Lee Mairose, Financial Advisor to the University, reported that the bond sale had been conducted at 11 a.m. in accordance with the resolution authorized by the Board on May 6, 1992. The Preliminary Official Statement had been submitted to both Standard & Poor's and Moody's and the University received a reaffirmation of its credit rating. The Preliminary Statement and bid statements were distributed to 150 organizations.

Four bids were received as follows:

J.J.B. Hilliard, W.L. Lyons, Inc.	6.28066%
Merrill Lynch & Company	6.3640%
Clayton Brown & Associates, Inc.	6.3713%
Prudential Securities, Inc.	6.4297%

The advice of the Fiscal Agent was to accept the low bid of J.J.B. Hilliard, W.L. Lyons, Inc., of 6.28066 percent. The Bond closing will occur on June 23. A transcript of the closing will be prepared and it is anticipated that the Executive Committee action will be ratified by the Board at its August 1992 meeting.

Regent Booth confirmed that the interest rate was less than anticipated and that the University had maintained its high credit rating. Upon a motion by Regent Booth, seconded by Regent Fields, the low bid was accepted.

Regent Fields moved for adjournment, seconded by Regent Booth, meeting adjourned at 12:10 p.m.

Herbert R. Booth, Chairman

Carla S. Chance

Carla S. Chance, Assistant Secretary

Board of Regents Meeting
July 14, 1992

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 1:30 p.m. on Tuesday, July 14, 1992, by Assistant Secretary Carla S. Chance. An orientation session preceded the meeting.

The Oath of Office was administered to the newly appointed Regents:

Robin J. Crigler
Frank K. Downing
James R. Poston, Jr.
Philip Taliaferro

Oath of Office

and to the continuing Regents:

Karen W. Bearden
Rosetta J. Mauldin
Alice S. Sparks
William G. Verst
Robert W. Zapp

The Election of Officers was conducted. Regent Downing moved the nomination of William G. Verst as Chairman, Regent Zapp seconded. Regent Crigler moved that nominations close, Regent Zapp seconded, and the motion passed unanimously. Upon the vote of the Board, William G. Verst was elected Chairman.

Regent Crigler moved the nomination of Philip Taliaferro as Vice Chairman, Regent Poston seconded. Regent Mauldin moved that nominations close, Regent Bearden seconded, and the motion passed unanimously. Upon the vote of the Board, Philip Taliaferro was elected Vice Chair.

Officer Election

Regent Verst moved the nomination of Robert Zapp as Secretary/Treasurer, Regent Poston seconded. Regent Sparks moved that nominations close, Regent Bearden seconded, and the motion passed unanimously. Upon the vote of the Board, Robert Zapp was elected Secretary/Treasurer.

Chairman William G. Verst assumed the Chair.

Regent Downing moved the nomination of Carla Chance as Assistant Secretary, Regent Mauldin seconded. Upon the vote of the Board, Carla Chance was elected Assistant Secretary.

Chairman Verst indicated that W. Michael Baker, Assistant Vice President for Business Affairs, would continue in his role as University Treasurer.

Meeting Schedule

Upon the motion of Regent Taliaferro, seconded by Regent Zapp, the August quarterly meeting was rescheduled for Thursday, August 13. The remaining meeting dates are: October 28, 1992; February 3, 1993; May 5, 1993; and August 4, 1993.

Meeting Schedule

Regent Bearden expressed a preference that Board Review Breakfast meetings continue to be conducted in compliance with open meeting requirements. Regent Downing requested that luncheon gatherings be considered in place of breakfast to accommodate out-of-town regents. President Boothe indicated that a survey would be taken for Board preference.

Adjournment

Upon a motion by Regent Bearden, seconded by Regent Zapp, the meeting adjourned at 1:45 p.m.

I, Carla S. Chance, Assistant Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on July 14, 1992, and that such matters are still in force and effect.

Carla S. Chance

Carla S. Chance, Assistant Secretary

Revised Agenda
Northern Kentucky University
Thursday, August 13, 1992
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Minutes of Previous Meetings - Quarterly Meeting of May 6, 1992
Executive Committee, June 2, 1992
Special Meeting of July 14, 1992

A. Presidential Reports

1. Grants and Contracts Quarterly
2. Campus Planning Report
3. Quarterly Financial Report
4. Preliminary Summer 1992 Enrollment Report
5.

B. Presidential Recommendations

1. Academic Personnel Actions
2. Non-academic Personnel Actions
3. Poster Distribution Policy
4. Revised Code of Student Rights and Responsibilities
5. Housing Systems Repair and Replacement Fund
6. Series G Bond Sale Resolution
7. 1991-92 Operating Budget Adjustment
8. 1992-93 Operating Budget Adoption
9. Regent Bylaws Revisions
10.
11.
12.

C: Other

1. Meeting Schedule
   October 28, 1992
   February 3, 1993
   May 5, 1993
   August 4, 1993

2.

Board of Regents Meeting
August 13, 1992

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Thursday, August 13, 1992, by Chairman William G. Verst. The invocation was given by Joe Pennington, Trinity Episcopal Church.

Roll Call: Karen Bearden, Robin Crigler, Frank Downing, Rosetta Mauldin, James Poston, Alice Sparks, Philip Taliaferro, William Verst. Absent: Michael Franke, Robert Zapp

Others Present: President Leon E. Boothe, Elzie Barker, Cynthia Dickens, Peter Hollister, Michael Baker, Paula Brickler, Thomas Comte, Joe Conger, Sandra Easton, Sandy Flora, Patrick Hamilton, Barbara Houghton, Russ Kerdolff, Bill Lamb, Jerry Legere, Gina Ruffin Moore - WKRC, Becky Myers, Mitchell Payne, Chuck Pettit, Paul Reichardt, Mary Ryan, Rocke Saccone II, Angela Schaffer, Rosemary Schlachter, Cliff Shisler, Deborah Vance - The Kentucky Post, Gayle Vaughn, Betty Verst, Linda Wright,

The minutes of the previous quarterly meeting, May 6, 1992, the executive committee meeting, June 2, 1992, and the special meeting, July 14, 1992, were approved on a motion by Regent Mauldin, seconded by Regent Sparks.

Regent Taliaferro read the following resolution into the minutes recognizing the service of outgoing Regents Mark Arnzen, Herbert Booth, David Duncan, John Fields, Charles Lester, and David Stringer:

> That the Board of Regents and the entire University community extend its sincere appreciation to (the named regent), who has served as a Regent of Northern Kentucky University. (The named regent) has given generously of his time, talent, and leadership to further the ultimate goals of the University and has served as an advocate of the institution, its faculty staff, students and alumni.

Regent Resolutions

Upon a second by Regent Downing the resolutions passed unanimously.

President Boothe recognized the governance organization leadership present at the meeting: Linda Wright, Staff Congress President; Chuck Pettit, Staff Congress President-elect; Rocke Saccone, Student Government Vice President.

Presidential Reports

A-1 President Boothe highlighted both the increase in funds requested and the increase in funds approved in the fourth quarter report of Grants and Contracts activity.

Grants Contracts

A-2 Three projects were highlighted in the Campus Planning Report. President Boothe noted that the Fine Arts Expansion was very near completion with dedicatory ceremonies scheduled for September 30. The Residential Village Project will open with the beginning of the Fall Semester and dedication ceremonies are planned for October 13. Bids for the Library Expansion Project are scheduled to open on August 20.

Campus Planning

A-3 President Boothe reviewed the quarterly financial report for the four quarter of the 1992 fiscal year and commended the business affairs staff for their diligent efforts.

Quarterly Financial

A-4 Preliminary summer enrollment statistics indicate an approximate 6 percent increase in FTE enrollment. For the first time, summer enrollment has exceeded 4,000 headcount students. Summer Enrollmen

Presidential Recommendations

B-1 President Boothe recommended approval of the following academic personnel actions:

Administrative Appointments: David R. Adams, Professor and Chair of the Department of Economics, Finance and Information Systems, effective July 1, 1992; Sheila Trice Bell, Cooperating Assistant Professor of the Salmon P. Chase College of Law, effective August 1, 1992; Robert E. Collier, Associate Professor and Acting Chairperson of the Department of Literature and Language, effective July 1, 1992, through June 30, 1993; David E. Emery, Director, Academic Advising Resource Center, effective July 15, 1992; Janis Fancher, Lecturer in the Biological Sciences Department and Assistant to the Dean of the College of Arts and Sciences, effective July 1, 1992, through June 30, 1993; Barbara Houghton, Professor and Chairperson of the Department of Art, effective July 1, 1992; Paul F. Reichardt, Professor of the Literature and Language Department and Interim Dean of the College of Arts and Sciences, effective July 15, 1992, through June 30, 1993; Mary M. Ryan, Assistant to the Vice President for Academic Affairs, effective July 1, 1992; David S. Thomson, acting Chairperson of the Communications Department, effective August 15, 1992, through May 15, 1993. Academic Personnel

Faculty Appointments: Fred M. Beasley, Assistant Professor of Marketing in the Department of Management and Marketing, effective January 1, 1993; Douglas Havelka, Assistant Professor of Information Systems in the Department of Economics, Finance and Information Systems, effective August 15, 1992; Russell A. Jenisch, Assistant Professor of Radio/Television in the Communications Department, effective August 15, 1992; Leslie A. Leverone, Lecturer in the Department of Chemistry, effective August 15, 1992; Dennis D. Miller, Lecturer of Philosophy and Religious Studies in the Department of Sociology, Anthropology, and Philosophy, effective August 15, 1992; Philip M. Paradis, Assistant Professor of English in the Department of Literature and Language, effective August 15, 1992; Phyllis H. Wells, Assistant Professor of the Education Department, effective August 15, 1992; Kristen R. Yount, Assistant Professor of Sociology in the Department of Sociology, Anthropology and Philosophy, effective August 15, 1992.

Leaves, Paid/Unpaid: Thomas F. Hale, Professor and Chairperson of the History and Geography Department, unpaid leave with benefits paid by employee, beginning August 1, 1992 and ending December 31, 1992; Howard Storm, Professor of the Department of Art, one year, unpaid leave of absence, without benefits, for the 1992-93 academic year; H. Lew Wallace, Professor of History in the History and Geography Department, special paid leave with benefits to carry out provisions of Fulbright grant for the 1992 fall semester; William L. Holloway, Associate Professor of Management in the Department of Management and Marketing, special paid leave with benefits for the 1992 fall semester.

Resignations: Barry Andersen, from acting Chairperson of the Department of Art to Professor of the Department of Art, effective July 1, 1992; Sandra Forman, from the position of Chairperson of the Department of Theatre to Professor of the Department of Theatre, effective July 1, 1992; Lisa Long, Assistant Professor of the Department of Nursing, effective June 8, 1992; Phil Obermiller, from the position of Associate Professor of Sociology in the Department of Sociology, Anthropology, and Philosophy, effective May 16, 1002; Darryl Poole, from the position of Associate Professor of Sociology in the Department of Sociology, Anthropology and Philosophy and Dean of the College of Arts and Sciences, effective July 15, 1992; Michael L. Turney, Chairperson of the Communications Department, to Professor of Journalism in the Communications Department, effective August 15, 1992.

Upon a motion by Regent Crigler, seconded by Regent Mauldin, the recommendation passed unanimously.

President Boothe introduced Interim Dean Paul Reichardt, Chair Joe Conger, and Chair Barbara Houghton who were present in the audience.

B-2 President Boothe recommended the approval of the non academic personnel actions for the period April 1, 1992 through June 30, 1992. Upon a motion by Regent Taliaferro, seconded by Regent Sparks the recommendation passed unanimously. Non-Acad Personnel

B-3 President Boothe recommended approval of revisions to the University's Poster Distribution Policy. This policy, originally approved in 1978, addressed the poster approval process and the poster location process. Upon a motion by Regent Sparks, seconded by Regent Crigler, the recommendation passed unanimously. Poster Policy

B-4 President Boothe recommended approval of the revised version of the Code of Rights and Responsibilities which has been reviewed by faculty, staff, and student leadership. This revised code incorporates the University's statement asserting respect for human diversity, contains an item relating to unauthorized access to and use of information contained in University records, and clarifies all student appeal procedures. Upon a motion by Regent Crigler, seconded by Regent Downing, the recommendation passed unanimously. Rights & Responsi.

B-5 President Boothe requested that the board authorize the administration to access up to $198,000 in funds from the Housing Systems Repair and Replacement Fund for the purpose of repairing the Housing System. These repairs are necessary in order to protect the bondholders' investment in the system. Upon a motion by Regent Mauldin, seconded by Regent Crigler, the recommendation passed unanimously. Housing Repair

B-6 President Boothe recommended that the Board adopt The Series G Bond Sale Resolution and confirm actions taken by the Executive Committee on June 2, 1992. Series G bonds were sold to fund the Library Expansion Project and the Land Acquisition Project. The bonds total $10,875,000. Upon a motion by Regent Taliaferro, seconded by Regent Downing, the recommendation passed unanimously. Series G

B-7 President Boothe recommended the upward adjustment of the 1991-92 Operating Budget by $306,706 to reflect increased revenue activity 1991-92 Budget

since the last quarterly meeting of the Board. Upon a motion by Regent Bearden, seconded by Regent Mauldin, the recommendation passed unanimously.

B-8 President Boothe presented the 1992-93 Operating Budget and referred the Regents to the 1992-93 Budget Highlights on pages 5 through 11 of the budget document with special attention of page 7. "Enrollment has increased 35 percent over the last five years. State support has decreased 24 percent as a share of the University operating budget over the last ten years; tuition has increased 44 percent as a share of the operating budget during the same period." President Boothe expressed his concern regarding declining state support. Upon a motion by Regent Crigler, seconded by Regent Taliaferro, the recommendation passed unanimously. 92-93 Budget

B-9 President Boothe recommended minor technical revisions to the Board Bylaws to reflect changes enacted by HB 149 and other statutory revisions. University Legal Counsel has conducted a thorough review of the bylaws. Upon a motion by Regent Sparks, seconded by Regent Taliaferro, the recommendation passed unanimously. Board Bylaws

Chairman Verst expressed the interest of the Board in presenting and outstanding student award. A University-wide committee is reviewing awards and Chairman Verst requested that Regent Crigler served on this Committee as the Board's representative. President Boothe pointed out that Regent Crigler is the first NKU alumnae to serve on the Board.

Upon a motion by Regent Sparks, seconded by Regent Bearden, the Board convened in Executive Session from 4:45 p.m. to 5:15 p.m. in a discussion of real estate issues. No action was taken subsequent to the executive session.

Other:

President Boothe reminded the Board of the following important dates: September 13/14, 1992--Decision Makers Conference; September 18, 1992--Founders' Day; September 30, 1992--Fine Arts Dedication; October 13, 1992--Residential Village Dedication

Adjournment:

Upon a motion by Regent Bearden, seconded by Regent Mauldin, the meeting adjourned at 5:17 p.m.

Carla S. Chance, Assistant Secretary

Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on August 13, 1992, and that such matters are still in force and effect.

Robert W. Zapp, Secretary

The regular quarterly meeting of the Northern Kentucky University Board of Regents will be preceded by an Agenda Preview Breakfast at 8 a.m. on Tuesday, October 27, 1992, in the Presidential Dining Room.

Revised Agenda
Northern Kentucky University
Wednesday, October 28, 1992
Regents Board Room - Administrative Center

Call to Order and Invocation

Installation of Student Regent - Michael Franke

Roll Call and Welcome

Minutes of Previous Meetings - Quarterly Meeting of August 13, 1992

A. Presidential Reports

1. Grants and Contracts Quarterly
2. Campus Planning Report
3. Quarterly Financial Report
4. Annual Financial Report and President's Report
5. Final Summer Enrollment
6. Preliminary Fall Enrollment
7. Administrative Standing Committee Revisions
   a. Admissions and Academic Standards
   b. Non-resident Fees Committee
8. Procedure Statement--Reproduction of Copyrighted Material
9. Board of Regents Audit Committee Report (to be distributed)
10. Residential Village Report
11.

B. Presidential Recommendations

1. Academic Personnel Actions
2. a. Non-academic Personnel Actions
   b. Administrative Personnel Actions
3. Administrators Returning to Faculty Status
4. School of Education
5. Bloodborne Pathogens--Exposure Control Policy
6. Series H Bond Refund Resolution (to be distributed)
7. US 27 Right of Way/Easement
8. 1992-93 Operating Budget Adjustment
9. Organization Chart
10.
11.

C: Other

1. Meeting Schedule
   February 3, 1993
   May 5, 1993
   August 4, 1993

2. Decision Makers Handout

3.

OCT 28 1992

Board of Regents Meeting
October 28, 1992

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4 p.m. on Wednesday, October 28, 1992, by Chairman William G. Verst. The invocation was given by Sr. Janet Carr, NKU Newman Center.

Chairman William G. Verst administered the Oath of Office to Student Regent Michael Franke. — Franke Oath

Roll Call: Karen Bearden, Robin Crigler, Frank Downing, Michael Franke, Rosetta Mauldin, James Poston, Alice Sparks, Philip Taliaferro, William Verst, Robert Zapp

Others Present: President Leon E. Boothe, Elzie Barker, Sheila Bell, Peter Hollister, David Jorns, Bill Lamb, Dennis Taulbee, Dale Adams, Mike Baker, Sr. Janet Carr, Scott Cook, Sonda Cummins, Stacey Durbin, Sandra Easton, Carleton Godsey, Russell Kerdolff, Jerry Legere, Michael Mazeika, Richard Rankin, Mary Rauen, Donna Redmond, Paul Reichardt, Mary Ryan, Rocke Saccone II, Bill Schack, Rosemary Schlachter, Mary Paula Schuh, Debra Vance - Kentucky Post, Marian Winner, Charlene Wray, Linda Wright

The minutes of the previous quarterly meeting of August 13, 1992, were approved on a motion by Regent Mauldin, seconded by Regent Downing.

President Boothe introduced the 1992 Distinguished Service Award recipients: Dale Adams, Donna Redmond, William Schack. These three individuals were recognized for their outstanding service to Northern Kentucky University. — Disting. Service Award

Presidential Reports

A-1 President Boothe highlighted the increase in funds requested in the first quarter report of Grants and Contracts activity. — Grants & Contracts

A-2 President Boothe reviewed three projects. The Fine Arts Expansion was dedicated on September 30 and it is anticipated that renovation will now occur in the original structure. The Residential Village was dedicated on October 13 and final punch list items are continuing to be addressed. The Library Expansion Project groundbreaking occurred on this date and construction will continue over a twenty-four month period. — Campus Quarterly

A-3 President Boothe presented the first quarterly report for 1992 and referenced page 9 of the report which presents the schedule of current investments for the institution. — Quarterly Financial

A-4 President Boothe presented the Annual Report which summarizes growth, achievements and awards in 1991-92. President Boothe emphasized the addition of new academic programs and the distance learning program. Mr. Richard Rankin, Rankin, Rankin and Company, the University's external auditor was introduced. Mr. Rankin commented on the results of the audit and indicated that the general fund had increased at NKU during a time when several other kentucky institutions had experienced decreases. He attributed this increase to management practices. — Annual Report

A-5 Final summer enrollment figures were presented. Dr. Boothe emphasized the increase in the full-time equivalency enrollment. — Summer Enrollment

A-6 Preliminary fall enrollment figures were presented. President Boothe reported on the growth in African-Americans students to a total of 216. Headcount enrollment increased by 3.5 percent. Prelim. Fall Enrollment

A-7-a President Boothe reported on changes in the Admissions and Academic Policies Committee. This committee is one of the Administrative Standing Committees. Changes included a restructuring of the membership. Admissions Academic Policies

A-7-b President Boothe reported on changes in the Non-Resident Fees Committee. This committee is one of the Administrative Standing Committees. Changes included reference to the appropriate Kentucky Administrative Regulations. Non-Res Fees Com.

A-8 Procedures to respond to the legal requirements for the reproduction of copyrighted materials have been developed, reviewed and distributed for the campus. This procedural statement was shared with the Board. Copyright

A-9 The Regents Audit Committee report was presented. Regent Zapp was reappointed as committee chair at the October 21 meeting of the Committee. It was the recommendation of the committee that the administration be authorized to continue negotiations with Rankin, Rankin and Company for extension of the external auditing contract. Audit Com.

A-10 President Boothe shared information regarding the occupancy rates and the financial condition of the Residential Village and Kentucky and Commonwealth Halls. The $219,000 deficit for the Halls appears to be linked to the deteriorating siding. A facade replacement project will be completed prior to next fall's enrollment. Res. Village

Presidential Recommendations

B-1 President Boothe recommended approval of the following academic personnel actions: Academic Personnel Actions

Administrative Appointments: Nan E. Littleton, Acing Chairperson of the Allied Health, Human Services and Social Work Department and Assistant Professor of Human Services, effective July 1, 1992.

Resignations: William Barksdale, Assistant Professor of Theatre, effective July 31, 1992.

Upon a motion by Regent Zapp, seconded by Regent Crigler, the recommendation passed unanimously.

B-2 President Boothe recommended approval of the non-academic personnel actions occurring during the period of July 1, 1992 through September 30, 1992. Upon a motion by Regent Franke, seconded by Regent Sparks, the recommendation passed unanimously. Non-Academic Actions

B-3 President Boothe recommended that the following administrative changes by approved: Admin Actions

Appointments: Sandra W. Easton, Acting Vice President for Academic Affairs and Provost, effective November 15, 1992; Charles Hawkins, Acting Associate Provost, effective December 1, 1992;

Bill Lamb, Acting Vice President for Student Affairs, effective September 18 1992.

Resignations: Cynthia S. Dickens, Vice President for Student Affairs, effective September 1, 1992; David L. Jorns, Vice President for Academic Affairs and Provost, effective November 15, 1992.

Upon a motion by Regent Downing, seconded by Regent Zapp, the recommendation passed unanimously. President Boothe introduced Sandra Easton and Bill Lamb. Ms. Easton has twenty years of service with the University; Mr. Lamb has fifteen years of service with the University.

B-3 President Boothe recommended approval of the policy governing the return of administrative personnel to faculty status. This policy has been reviewed and approved by Deans' Council, Faculty Senate, the Provost, and the President. Upon a motion by Regent Taliaferro, seconded by Regent Crigler, the recommendation passed unanimously. — Admin Conversion to Faculty

B-4 President Boothe recommended the change in the designation of the Department of Education to the School of Education. This change is responsive to the demands of KERA and improved the stature of the program. Upon the motion of Regent Crigler, seconded by Regent Sparks, the recommendation passed unanimously. — School of Education

B-5 President Boothe recommended adoption of the Exposure Control Policy. The policy was formulated in response to OSHA regulations regarding exposure to bloodborne pathogens. The policy identifies potential exposures, establishes guidelines for precautions, and recommends training and inoculations for individuals with an occupational exposure. Upon a motion by Regent Downing, seconded by Regent Franke, the recommendation passed unanimously. — Exposure Control

B-6 President Boothe recommended adoption of a resolution authorizing the issuance of Consolidated Educational Building Refunding Revenue Bonds - Series H. This action will refund Series D Consolidated Education Building Revenue Bonds and result in an approximate $350,000 savings. Upon a motion by Regent Zapp, seconded by Regent Mauldin, the recommendation was passed unanimously. The Executive Committee has been authorized to take all other actions needed to bring about the issuance of the bonds. — Series H

B-7 President Boothe recommended the conveyance of 1,774 square feet of land for right-of-way purposes and 2,803 square feet of land for a construction easement to the Department of Transportation in conjunction with the widening of U.S. 27. Upon a motion by Regent Crigler, seconded by Regent Sparks, the recommendation passed unanimously. — US 27 Right of Way

B-8 President Boothe recommended a downward adjustment to the 1992-93 operating budget. This adjustment results from a realignment of Continuing Legal Education's budget to reflect current revenue projections. Upon a motion by Regent Franke, seconded by Regent Zapp, the recommendation passes unanimously. — 92-93 Budget Adjustment

B-9 President Boothe recommended approval of the University organization chart which reflects changes occurring during the — Org. Chart

past year. This chart reflects the upcoming transition within Academic Affairs. Upon a motion by Regent Downing, seconded by Regent Sparks, the recommendation passed unanimously.

Executive Session

Exec. Session

Regent Downing moved that the Board convene in Executive Session at 4:50 p.m. to discuss both personnel and real estate matters. Upon the second by Regent Bearden, the motion passed unanimously.

The Board reconvened in open session at 6:05 p.m. and the following resolutions were read into the minutes.

Resolution:

Hale Contract

WHEREAS: Dr. Thomas Hale came to NKU in January 1992, to be Chair of the Department of History and Geography and tenured faculty member in that Department, and Whereas based upon certain representations he requested and was granted an unpaid personal leave from NKU from the Fall 1992 Semester AND

WHEREAS: This Fall NKU discovered that Dr. Hale never relinquished his tenure at Idaho State University and that he is currently teaching at ISU under a contract to teach there for the 1992-93 academic year.

THEREFORE IT IS RESOLVED: Through his actions of retaining his tenure at ISU and returning under contract to ISU to teach for the 1992-93 academic year, Dr. Thomas Hale's contract for employment at NKU is void ab initio.

The Board of Regents authorizes the President to send a Letter of Notice to Dr. Hale that, through his actions, he has relinquished any claim to employment at NKU.

Upon a motion by Regent Bearden, seconded by Regent Sparks, the resolution was adopted.

Resolution:

Prop. Acquisitio

WHEREAS, the University has limited property available for expansion; AND,

WHEREAS, the University has developed a land acquisition study for the acquisition of property adjacent to the existing Highland Heights campus, AND,

WHEREAS, the Commonwealth of Kentucky has authorized funds for the acquisition of property, AND,

WHEREAS, the University has entered into negotiations for the acquisition of property currently owned by Donald and Arlene Koehler located at 526 Johns Hill Road in Campbell County, Kentucky;

NOW HERE IT BE RESOLVED that the Northern Kentucky University Board of Regents authorizes the President and his agents to acquire the aforementioned property through the current appraisal

and negotiation process, or failing to reach agreement to acquire the property in accordance with the provisions of KRS 164.410.

Upon a motion by Regent Downing, seconded by Regent Bearden, the resolution was adopted.

Other:

Chairman Verst reported on the appointment of Regents to various committees:

Com. Appts.

Audit: William Verst, Philip Taliaferro, Robert Zapp
Awards: Robin Crigler
Naming: Alice Sparks

Regent Taliaferro shared a letter regarding the upcoming 25th Anniversary Celebration. Chairman Verst requested that Regent Taliaferro and Regent Poston meet with Peter Hollister to discuss plans for the Celebration.

Adjournment:

The Meeting adjourned at 6:15 p.m.

Carla S. Chance, Assistant Secretary

Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on October 28, 1992, and that such matters are still in force and effect.

Robert W. Zapp, Secretary

Chance

Agenda
Board of Regents Special Meeting
Monday, January 11, 1993 - 10 a.m.
Regents Board Room - Administrative Center

Call to Order

Roll Call and Welcome

A. Presidential Updates

1. University Awards Update
   a. Student Awards Committee
   b. Regents Professorship Committee
   c. Alumni Awards/Banquet

2. 25th and 100th Anniversary Celebration Update

3. Embrace Opportunity Capital Fund Update

4. Search Process Update

5. Smoking Policy Update

6. Contract Issues Update

B. Other

1. Quarterly Meeting Schedule
   February 3, 1993
   May 5, 1993
   August 4, 1993

2. Council on Higher Education Meeting Schedule
   February 8, 1993 - KSU
   May 17, 1993
   July 12, 1993
   September 12-13, 1993 (Governor's Conference on Higher Education Trusteeship)
   November 8, 1993

Board of Regents Meeting
January 11, 1993

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 10 a.m. on Monday, January 11, 1993, by Chairman William G. Verst.

Roll Call: Karen Bearden, Robin Crigler, Frank Downing, Michael Franke, Rosetta Mauldin, James Poston, Alice Sparks, Philip Taliaferro, William Verst, Robert Zapp

Others Present: President Leon E. Boothe, Elzie Barker, Sheila Bell, Sandra Easton, Peter Hollister, Bill Lamb, Dennis Taulbee, Elizabeth Blincoe, Mike Bunzel, Mary Huening, Jerry Legere, Joyce Maegly, Claire Newman, Frank Stallings, Rosemary Schlachter, Pamm Taylor, Mary Paula Schuh

Chairman Verst congratulated the Board on the 100 percent response to the special meeting call. Chairman Verst indicated that he had corresponded with President Boothe on December 28 calling for a special Board meeting on either January 11 or 12 and listing those items to be discussed. Subse-quently, on January 7, President Boothe issued that agenda with supporting documentation.

Regent Verst and President Boothe expressed condolences to Regent Mauldin on the recent death of her father.

Presidential Updates

A-1

Regent Crigler presented the report of the Committee on Student Awards and indicated that the committee had solicited information from sister campuses and input from the university community in developing their report. A final report is anticipated during the month of January. Discussion focused on the need to identify and/or establish criteria for each award to insure accountability in the awards process. Regent Crigler indicated that the Committee on Student Awards would have information on criteria available for presentation at the February 3 quarterly meeting. Chairman Verst expressed concern that the Board might need to meet more frequently in order to deal with information in a timely manner. Regent Mauldin expressed concern that it be clear that the Board's interest in the awards process was in insuring that adequate criteria was in place and that the process be understandable, not in influencing the process. Regents were asked to provide any input on the initial committee report directly to Regent Crigler with a copy to Chairman Verst and President Boothe.

Awards Update

Regent Taliaferro moved to authorize the Committee on Student Awards to consider the establishment of a civic gumption award. Suggested criteria would include civic service that reflected devotion to Northern Kentucky University and northern Kentucky and tenacious activity over the years in achieving high goals. Qualities would include honesty, courage and "horse sense". The recipient must be widely recognized and the award would be presented at the 1993 Spring Commencement. The precise name of the award is subject to the determination of the Committee on Student Awards. Regent Poston seconded the motion. Following a lengthy debate, the motion passed.

Regent Poston was asked to serve on the 1993 Regents Professorship Committee.

President Boothe reminded the Board of the upcoming Alumni Awards Banquet on Friday, February 12.

A-2 President Boothe introduced Professor Emeritus Frank Stallings, Executive Director of the Anniversary Planning Committee, who recently published the anniversary celebration document, Groundbreakings. A copy of the book was distributed to the Board. Vice President Peter Hollister presented the timeline of events surrounding the Celebration. The events will include the Governor's Proclamation Signing on March 12 in the Governor's Office. Anniv. Celebration

Regent Taliaferro commented that while the current practice was to streamline the celebration, he would like to expand the celebration. Regent Taliaferro moved to discuss the celebration at the February 3 meeting of the Board and asked that Mr. Rick Griewe be invited to present ideas to enhance and expand the community's involvement in the celebration. Upon a second by Regents Sparks the motion passed.

Regent Downing moved that the current budget for the celebration be presented as part of the February 3 discussion. Upon a second by Regent Zapp the motion passed.

Chairman Verst indicated that at the end of the Board meeting he would request the appointment of a member of the Board to the Celebration Committee.

A-3 Chairman Verst made the following statement:

> Mr. Rick Griewe has generously volunteered his time to make a presentation to the Board of Regents of his ideas for activities which may increase the success of the Embrace Opportunity project. Regent Taliaferro has asked that we allow Mr. Griewe to discuss some of his ideas at this time and we welcome Mr. Griewe. If there is a decision to proceed with some of the ideas which Mr. Griewe discusses today, we ask that the administration determine whether the services necessary to implement such ideas may be performed in-house or whether the University will need to engage the services of a consultant. If the services of a consultant are required, we know that the administration will follow the required statutory procedure. I am also certain that you know that such a decision cannot be made today because of the statutory requirements. Thank you, Mr. Griewe for agreeing to speak with us today. Please proceed.

Capital Campaign

Regent Taliaferro introduced Rick Griewe, Kelly Kolar, and Ken Cunningham of the I. D. Group to provide an illustrative presentation on site identification and design plans for the Embrace Opportunity Campaign.

Regent Taliaferro moved to authorize the administration to develop specifications for a Request For Proposal for assistance to enhance the Embrace Opportunity Campaign for the following purposes: (1) to identify naming opportunities and (2) to develop

marketing materials. Upon a second by Regent Sparks the motion passed with Regent Mauldin voting in the negative.

Regent Mauldin requested that estimated costs be included as part of the development of an RFP.

President Boothe indicated that he would request that the Executive Committees of the Board of Regent and the Foundation meet to discuss funding for outside consultants.

A-4 Referred to executive session.

A-5 President Boothe reported on the University's activities in responding to the Governor's Smoking Policy Implementation Plan. The Governor's Office has delayed the implementation date which was originally set for December 15, 1992. The University committee is anticipated to complete its work in mid-spring. Smoking Policy

Regent Downing moved that the Board convene in executive session to discuss personnel and contract issues. Upon a second by Regent Bearden, the Board recessed into executive session at 12 noon. Exec. Session

The Board reconvened in open session at 2:10 p.m.

Regent Downing moved that for the purpose of strengthening the University and further defining the relationship between the Board and the University administration, the administration is requested to develop a set of policies that outline the responsibilities of the board and the authority delegated to the president. It is anticipated that this series of policies will identify the existing committees and their representation and develop a system of committees and activities to be ongoing which will report back to the board on items of concern to the board. Upon a second by Regent Zapp, the motion passed. Board Policies

Chairman Verst requested that Regent Sparks serve on the Anniversary Celebration Committee.

Adjournment

The meeting adjourned at 2:13 p.m.

Carla S. Chance, Assistant Secretary

Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on January 11, 1993, and that such matters are still in force and effect.

Robert W. Zapp, Secretary

Executive Committee Meeting
Thursday, January 14, 1993

The Executive Committee of the Board of Regents of Northern Kentucky University, empowered by the Board to execute the sale of Consolidated Educational Buildings Revenue Bonds, Series H, met on Thursday, January 14, 1993. Members of the Committee are Chair William Verst, Vice Chair Philip Taliaferro, and Secretary/Treasurer Robert Zapp. Chairman Verst called the meeting to order at 2:05 p.m.

Dennis L. Taulbee, Vice President for Administration, introduced the guests who were attending the committee meeting: Michael Baker, Assistant Vice President for Business Affairs and University Treasurer; Carla Chance, Assistant Secretary to the Board; and Greg Phillips, J.J.B. Hilliard, W.L. Lyons, Inc.

Mr. Phillips, Financial Advisor to the University, reported that the bond sale had been conducted at 11 a.m. in accordance with the resolution authorized by the Board on October 28, 1992. The Preliminary Official Statement and bid statements were distributed on the market and the following bids were received:

Ross, Sinclaire & Associates	5.4371133%
Clayton Brown & Associates	5.5113%
Prudential Securities, Inc.	5.5398%
Hilliard-Lyons, Inc.	5.59966%

The advice of the Financial Advisor was to accept the low bid of Ross, Sinclaire & Associates of 5.4371133 percent. This bid would result in a savings of $753,000 and a debt service savings of $37,500 for 15 years.

Upon a motion by Regent Zapp, seconded by Regent Taliaferro, the low bid was accepted unanimously.

Upon a motion by Regent Zapp, seconded by Regent Taliaferro, the meeting was adjourned at 2:15 p.m.

Robert W. Zapp, Secretary/Treasurer

Carla S. Chance, Assistant Secretary

Chance

The regular quarterly meeting of the Northern Kentucky University Board of Regents will be preceded by an Agenda Preview Breakfast at 8 a.m. on Monday, February 1, 1993, in the Presidential Dining Room.

Revised Agenda
Northern Kentucky University
Wednesday, February 3, 1993 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Minutes of Previous Meetings - Quarterly Meeting, October 28, 1992
Special Meeting, January 11, 1993
Exec Committee Meeting, January 14, 1993

A. Presidential Reports

1. 25th/100th Anniversary Celebration (to be distributed at the meeting) (oral report) & Capital Gifts Campaign
2. Grants and Contracts Quarterly
3. Campus Planning Report
4. Quarterly Financial Report
5. Final Fall Enrollment Report
6. Preliminary Spring Enrollment Report
7. 1993 Summer Fellowships
8. 1993-94 Faculty Project Grants
9. Report of the Committee on Student Awards
10. 1993 Homecoming Activities
11. Residential Village Complex/Residence Halls
12. ~~Capital Campaign Recognition Program~~ deleted
13. Board Governance and Administration Statement
14. 1992-93 State Budget Update

B. Presidential Recommendations

1. Academic Personnel Actions
2. Temporary Faculty Appointments
3. Promotions, Tenure, Continued Probations, and Continued Probation with Difficulties
4. Emeritus Faculty Status
5. 1993-94 Sabbatical Leaves
6. Honorary Degrees (to be distributed at the meeting)
7. Non-academic Personnel Actions
8. Administrative Personnel Actions
9. Personnel Policies and Procedures Manual - ADA Policy
10. ~~Regent Award Recommendation~~ deleted
11. NKU Strategic Planning Goals
12. Real Property Memorandum of Agreement
13. Garage Demolition - 304 Marshall Lane
14. Japanese School Lease
15. Housing Systems Repair and Replacement Fund
16. Series H Bond Resolution
17. 1993-94 Tuition Schedule
18. 1993-94 Comprehensive Fee Schedule
19. 1992-98 Six-Year Capital Plan
20. 1992-93 Operating Budget Adjustment

C. Other

1. Quarterly Meeting Schedule
   May 5, 1993
   August 4, 1993

2. Council on Higher Education Meeting Schedule
   February 8, 1993 - Kentucky State University
   May 17, 1993 - Morehead State University
   July 12, 1993 - Murray State University
   September 12-13, 1993 (Governor's Conference on Higher Education Trusteeship) - Holiday Inn, Louisville
   November 8, 1993 - To be announced

3. Upcoming Activities
   Alumni Awards Banquet - February 12
   Homecoming Basketball Games - February 13
   Siebenthaler Lecture and Reception - March 8
   Anniversary Proclamation - March 12
   Lincoln Award Dinner - April 13
   Commencement - May 15

Board of Regents Meeting
February 3, 1993

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:10 p.m. on Wednesday, February 3, 1993, by Chairman William G. Verst. The invocation was given by Rev. Harold Orndorff, Christian Student Fellowship.

Roll Call: Karen Bearden, Robin Crigler, Frank Downing, Michael Franke, Rosetta Mauldin, Alice Sparks, Philip Taliaferro, William Verst, Robert Zapp

Others present: President Leon E. Boothe, Elzie Barker, Sheila Trice Bell, Peter Hollister, Bill Lamb, Dennis Taulbee, James Alford, Michael Baker, Eric Caldwell, Tom Comte, Scott Cook, Sonda Cummins, Stacey Durbin, Nancy Firak, Jack Geiger, Tom Isherwood, Russell Kerdolff, Mike Klembara, Jerry Legere, Terri Malone, Kevin Markurt, Becky Myers, Harold Orndorff, Chuck Pettit, Paul Reichardt, Mary Ryan, Rocke Saccone II, Angela Schaffer, Rosemary Schlachter, Mary Paula Schuh, Jonathan Shnolt, Nathan Smith, Debra Vance - Kentucky Post, Marian Winner

The minutes of the previous quarterly meeting of October 28, 1992, were approved on a motion by Regent Mauldin, seconded by Regent Franke.

The minutes of the special meeting of January 11, 1993, were approved on a motion by Karen Bearden, seconded by Robin Crigler.

The minutes of the executive committee meeting of January 14, 1993, were approved on a motion by Regent Franke, seconded by Regent Downing.

Presidential Reports

A-1 President Boothe reported on the anniversary celebration and capital campaign. While discussions at previous board meetings indicated some interest in the use of external consultants for both projects, a review of the University's financial situation proved that this might not be the best course of action. President Boothe reported that the Northern Kentucky University Foundation, Inc., was considering the funding of some external enhancement of the capital campaign. Anniv. Cele. Capital Cam.

Regent Taliaferro indicated that the concept of the I.D. Group had been approved by the Foundation and that there was a commitment to fund a site analysis and one preliminary design plan for one particular naming opportunity. Regent Taliaferro proposed the investigation of a legacy project for the 25th Anniversary Celebration. This project should raise money for the University and add to the feeling of community at the University. Regent Taliaferro expressed his interest in a brick plaza and indicated that this concept had been used by both the Bicentennial Commission and the Boy Scouts. Regent Franke expressed his interest in members of the student body being able to leave their names behind in a setting where students could gather.

President Boothe suggest that he meet with a Legacy Committee and report back at the March meeting. Regent Verst requested that Regent Taliaferro and Regent Franke serve on the committee.

A-2 President Boothe presented the grants and contracts quarterly report and noted that while the number of requests were declining, the dollar value of the approved grants was increasing. Grants/Con.

A-3 President Boothe presented the campus planning quarterly report and indicated that visitors to the campus would see increased construction activity at the Library Expansion Project which was well underway. Dr. Boothe reported that the University would be undertaking a utility master plan study to address future utility needs. Northern Kentucky University's request for a $1.5 million Ceramics/Sculpture Facility was approved by the Secretary of Finance. This project should be under construction in March 1994. Campus Planning

A-4 President Boothe presented the quarterly financial report and commended the administrative team for the budgetary control practices which keep expenditures on schedule. Quarterly Financial

A-5 The final fall 1992 enrollment report included a 5.6 percent increase in full-time equivalent student enrollment. Fall Enroll.

A-6 Preliminary spring 1993 enrollment figures project a 5.5 percent increase in full-time equivalent student enrollment. President Boothe characterized this as very positive news for spring enrollment. Spring Enroll.

A-7 President Boothe reported on the 1993 summer fellowship recipients. The following faculty have been approved for awards: Summer Fellowship

James R. Gray
Cynthia M. Kukla
J. Robert Lilly
Robert Naczi
Sharlotte Neely
Philip Paradis
David M. Potter
Danielle Roemer
W. Michael Ryan
Karlyn Schnapp
Janis L. Taylor
John T. Valauri

A-8 President Boothe reported on the 1993-94 faculty project grant recipients. The following faculty have been approved for grants: Project Grants

Leon M. Arriola
Lorrie Blair
Rachelle M. Bruno
Jerry H. Carpenter
Jon Hastings
Barbara Houghton
Dennis J. Lye
Janet A. Miller
Robert Naczi
W. Michael Ryan
Janet Johnson

A-9 President Boothe requested that Regent Crigler, member of the Committee on Student Awards, share the Committee's final report. Regent Crigler indicated that the committee had fulfilled their charge to develop a comprehensive list of university awards with their criteria and to recommend a number of new awards to enhance the diversity of the university-wide senior awards. Regent Crigler reported that the final report contained the recommendation for a standing committee on University Awards to be comprised of two regents, two NKU personnel, and one Alumni Council representative. Regent Crigler indicated that this standing committee would review the Regents Award and report back at the next meeting of the Board. Regent Crigler also indicated that the special Board award proposed in January would be reviewed by the standing committee. Chairman Verst asked that the Regents review the award criteria and process and give input to the standing committee. Student Awards

A-10 President Boothe reported on the 25th Anniversary Homecoming Celebration which began on January 26 and would conclude with the Homecoming

Alumni Awards Banquet and Homecoming Coronation on February 12 and 13 respectively.

A-11 President Boothe presented a report on the financial analysis of the Residential Village Complex and Residence Halls. The deteriorating condition of the Residence Halls contributed to a lower than anticipated occupancy rate. This condition will be addressed through schedule renovation projects. The University is conducting a marketing campaign to increase the occupancy of the residential units. This campaign will be more aggressive than the 1992 campaign which was hampered by the delays in construction. Res. Village

A-12 deleted

A-13 President Boothe presented the preliminary draft of the Board Governance and Administration document. This document emphasized the well-being of the University community as the primary responsibility of the Board and speaks to the high value placed on the collegial environment. Board members were requested to forward committee assignment requests to Chairman Verst. The Board Governance and Administration document will be presented in final form a the next board meeting or at the board retreat. Board Governance

A-14 President Boothe reported on recent notification to the University regarding an "allotment deferral" of state appropriations. The two percent deferral would amount to $474,700 for Northern Kentucky University. The Board will be apprised of future developments. Allotment Deferral

Presidential Recommendations

B-1 President Boothe recommended the following academic personnel actions for Board approval: Academic Personnel

Administrative Appointments: Michael C.C. Adams, Professor of History, to Chair and Professor of the History and Geography Department, effective January 1, 1993; David C. Short, Professor of Legal Programs and Dean of the Salmon P. Chase College of Law, effective July 1, 1993; Gail W. Wells, Associate Professor of the Mathematics and Computer Science Department to Chair and Associate Professor of the Mathematics and Computer Science Department, effective July 1, 1993.

Leaves, Paid/Unpaid: Mary Ellen Elsbernd, Associate Professor of Library Science of the W. Frank Steely Library, unpaid leave with benefits, beginning June 1, 1993 and ending June 30, 1993; Laura A. Sullivan, Associate Professor of Library Science of the W. Frank Steely Library, partial unpaid leave (one day per week) with benefits, beginning January 11, 1993, and ending May 11, 1993.

Resignations: Christopher Boehm, Professor of Anthropology of the Sociology, Anthropology, and Philosophy Department, effective December 10, 1992; Chris Christensen, from Chair of the Mathematics and Computer Science Department to Associate Professor of Mathematics, effective July 1, 1993; Ellen Lytle-Eichler, Assistant Professor of the Art Department, effective December 21, 1992; Howard Storm, Professor of the Art Department, effective May 15, 1993.

Retirements: William R. Jones, Professor of Law of the Salmon P. Chase College of Law, effective May 15, 1993.

Upon a motion by Regent Crigler, seconded by Regent Downing, the recommendation passed unanimously.

B-2 President Boothe recommended that the following individuals be employed as temporary faculty on a one semester, self-terminating contract basis: Ruth E. Joffe, Adrienne Noble Nacev, Theresa J. Paulin, Minda J. Peare, Deborah Pressly, Claire Reutter, James Westheider, Carole Winters-Gibeau. Upon a motion by Regent Bearden, seconded by Regent Crigler, the recommendation passed unanimously. Temp Faculty

B-3 President Boothe recommended that the following academic personnel be approved for promotion, tenure, continued probation and continued probation with difficulty in accordance with the Faculty Policies and Procedures Manual. Tenure & Promotion

Promotion: Frank H. Dietrich II, Sara H. Dessner, Sudesh M. Duggal, Joan Ferrante, Charles E. Frank, Larry A. Giesmann, Michael Gray, Diane H. Gronefeld, Kenneth Jones, Susan S. Kissel, Ann S. Luggen, Michael R. McPherson, Debra K. Pearce, Vijay V. Raghavan, Beverly A. Reno, Leslie D. Turner.

Tenure: Sara H. Dessner, Joan Ferrante, H. Randolph Holt, Kenneth Jones, Ann S. Luggen, Beverly A. Reno, Leslie D. Turner, Vijay V. Raghavan, Marian C. Winner.

Continued Probation: David Agard, John F. Alberti, Valeria Amburgey, Leon Arriola, Marjorie E. Artzer, Laurie Blair, Prince Brown Jr., Stephen R. Chicurel, Suzanne E. Cortez, Robert England, Ray Enzweiler, Ali Farazmand, Michael Flannery, Carol Furnish, Ruby Garns, Carol B. Gaumnitz, Perilou Goddard, Steven J. Gores, Diane H. Gronefeld, Durrell D. Hamm, Clinton G. Hewan, Donald E. Hooley, Deepak Khazanchi, Barbara Klaw, Charles C. Jackson, Stephanie Jones, Donald Kazee, Paul E. Knepper, Yasue Kuwahara, Sharlene Lassiter, M. Niaz Latif, Marian B. Lawson, Alar Lipping, Elizabeth Lorenzi, Gisele Loriot-Raymer, Steven McCarthy, Cheryl L. McKenzie, Barbara Merz, John J. Metz, Danny L. Miller, John Patrick Moynahan, Russell F. Proctor II, Carole J. Richter, Jennifer Ryan, Paul G. Schloemer, Gretchen H. Sears, Matthew D. Shank, Ronald A. Shaw, Phyllis A. Sholtys, Jeffrey Smith, Lynne A. Smith, Karlyn A. Schnapp, Chenliang Sheng, Sarah O. Steinman, Janis L. Taylor, Stephen C. Walker, Wendy D. Wood, Samuel J. Zachary.

Continued Probation with Difficulties: Joseph Akbary, Keith Barton, Richard J. Cook, Mary F. Kirk, Hilary W. Landwehr, Blas Puente-Baldoceda, Bradford Scharlott.

Upon a motion by Regent Downing, seconded by Regent Bearden, the recommendation passed unanimously.

B-4 President Boothe recommended retiring faculty member William R. Jones for the status of emeritus professor. Upon a motion by Regent Taliaferro, seconded by Regent Mauldin the recommendation passed unanimously. Emeritus Professor

Regent Taliaferro moved the Board go on the record to commend William R. Jones for his work as Dean of the Chase College of Law in years that were very difficulty. Dean Jones lead the fight to keep Chase College of Law at Northern Kentucky University and but for the diligent efforts of Dean Jones, Northern Kentucky University could have lost the Law School. Upon a second by Regent Bearden, the motion passed unanimously.

B-5 President Boothe recommended the following recipients of sabbaticals during the 1993-94 academic year: Rachelle M. Bruno, Deborah Ana England, John Filaseta, George D. Goedel, Carolyn Zepf Hagner, Jon Hastings, MaryCarol Hopkins, Robert J. Kempton, Susan S. Kissel, Katherine Kurk, Angela Lipsitz, Dennis Lye, Raymond C. McNeil, Banwari Mittal, Ljubomir Nacev, Robert T. Rhode, Frederick Rhynhart, Danielle Roemer, Henry L. Stephens, Robert K. Wallace, Macel M. Wheeler. Upon a motion by Regent Crigler, seconded by Regent Mauldin, the recommendation passed unanimously. Sabbatical

Regent Taliaferro moved that the board go on record to recognize Henry L. Stephens who was Dean of the Chase College of Law and lead the law school into an era of real excellence. Chase College of Law is respected because of the leadership that Dean Stephens has shown. Upon a second by Regent Crigler the motion passed unanimously.

Regent Bearden moved that the Board convene in executive session to discuss personnel matters, upon a second by Regent Downing, the Board moved into executive session at 5:10 p.m. The board reconvened in public session at 5:20 p.m. Exec. Session

B-6 President Boothe recommended that honorary doctoral degrees be awarded to Owen Bradley Butler and Donald Stewart, featured commencement speakers in the anniversary celebration. Upon a motion by Regent Taliaferro, seconded by Regent Mauldin, the recommendation passed unanimously. Honorary Degree

B-7 President Boothe recommended approval of the non-academic personnel actions for the period October 1 through December 31, 1992. Upon a motion by Regent Bearden, seconded by Regent Crigler, the motion passed unanimously. Non-Acad. Actions

B-8 President Boothe recommended the appointment of Dr. Jessica Bailey, Vice President for Student Affairs, effective February 15, 1993. Upon a motion by Regent Mauldin, seconded by Regent Franke, the recommendation passed unanimously. Admin Appt.

B-9 President Boothe recommended revision to the Personnel Policies and Procedures Manual to incorporate the newly enacted Americans with Disabilities Act. This revision provides for a reasonable accommodation of the disabilities of qualified applicants and employees. Upon a motion by Regent Downing, seconded by Regent Bearden, the recommendation passed unanimously. ADA

B-10 deleted

B-11 President Boothe recommended adoption of the University's Strategic Planning Goals which were revised in September 1992 as the result of a university-wide process to reconsider the Institutional Strategic Plan, 1989-1994. Following campus-wide input, a Reconciliation Committee met to finalize the document Strategic Plan

Upon a motion by Regent Bearden, seconded by Regent Crigler, the recommendation passed unanimously.

B-12 — Real Property

President Boothe recommended the approval of a memorandum of agreement between the Finance and Administration Cabinet and Northern Kentucky University which would permit Northern Kentucky University to manage real property issues locally. This agreement addresses, acquisition, disposal, easements and leases. Upon a motion by Regent Taliaferro, seconded by Regent Downing, the recommendation passed unanimously.

B-13 — Garage Demolition

President Boothe recommended that the garage structure located at 304 Marshall Lane be demolished. This building is currently in a state of disrepair. Upon a motion by Regent Bearden, seconded by Regent Mauldin, the recommendation passed unanimously.

B-14 — Japanese School Lease

President Boothe recommended that the Board authorize the University administration to proceed to enter into a lease agreement with the Japanese Language School of Greater Cincinnati. This lease is for office space, classroom space and associated support space on the Highland Heights campus. Upon a motion by Regent Crigler, seconded by Regent Downing, the recommendation passed unanimously.

B-15 — Housing System Repairs

President Boothe recommended an additional access of the Housing Systems Repair and Replacement Fund up to $248,000 for the purpose of making repairs to the housing system restrooms and facade. Upon a motion by Regent Crigler, seconded by Regent Franke, the recommendation passed unanimously.

B-16 — Series H Bonds

President Boothe recommended that the Board of Regents ratify the action of the Executive Committee in executing the sale of Northern Kentucky University Consolidated Educational Buildings Refund Revenue Bonds, Series H. The low bid on the bond sale was Ross, Sinclaire and Associates at 5.4371133 percent. Upon a motion by Regent Franke, seconded by Regent Downing, the recommendation passed unanimously.

B-17 — Tuition Schedule

President Boothe presented the 1993-94 proposed tuition schedule in three options which are awaiting action by the Council on Higher Education. President Boothe requested that the Board authorize the Board to proceed with the Council established option which would subsequently be ratified by the Board. Regent Franke moved that the Board send a letter to the Council opposing Option Three which is not adjusted for Kentucky income levels. Upon a second by Regent Taliaferro, the motion passed unanimously.

Regent Bearden moved that the Board authorize the administration to adopt either Option One or Two subsequent to the Council's decision, upon a second by Regent Mauldin, the motion passed unanimously.

B-18 — Fee Schedule

President Boothe recommended the adoption of the 1993-94 Fee Schedule. Regent Franke presented a series of three proposals addressing the proposed increase in housing fees. Proposal One which did not increase housing fees in 1993-94 was preferred by the Student Government. President Boothe shared information regarding housing rates at northern Kentucky/Cincinnati higher education institutions and Kentucky institutions. Regent Franke and Regent Mauldin spoke to concerns that increased tuition and

fees would eventually result in an elitist institution serving only upper income families.

Regent Bearden moved to approved the 1993-94 fees schedule and Regent Taliaferro seconded the motion.

Regent Franke moved to amend the fees proposal to provide for no increase in housing rates in 1994-95 if the housing system can break even in 1993-94. Upon a second by Regent Taliaferro, the amendment passed.

Regent Franke moved to drop the forfeiture fee for intramural teams. Upon a second by Regent Mauldin the amendment failed.

The 1993-94 fees schedule as amended passed by a vote of six to one.

B-19 President Boothe recommended that the Board approve the 1992-98 Six-Year Capital Plan. The top priority of the plan is the construction of a new Natural Science Building at $32.5 million. Upon a motion by Regent Bearden, seconded by Regent Taliaferro, the recommendation passed unanimously.

92-98 Capital Plan

B-20 President Boothe recommended the upward amendment of the 1992-93 operating budget to provide for increased tuition revenue and increased activities revenue. Upon a motion by Regent Downing, seconded by Regent Franke, the recommendation passed unanimously.

92-93 Operating Budget

Upon a motion by Regent Franke, seconded by Regent Taliaferro, the Board convened in executive session at 6:55 p.m. to discuss property and personnel matters. The Board reconvened in public session at 7:35 p.m.

Exec. Session

Regent Downing read the following resolution into the record:

WHEREAS, the University has determined that currently owned property is insufficient to address future expansion; AND,

Bersch Property

WHEREAS, the University has prepared a land acquisition study for the acquisition of property adjacent to the existing Highland Heights campus, AND,

WHEREAS, the Board of Regents has requested and the Commonwealth of Kentucky has authorized funds for the acquisition of property, AND,

WHEREAS, the University has determined that property currently owned by Carl, Sr. and Louise Bersch located at 408 Johns Hill Road in Campbell County, Kentucky, is necessary for the expansion of the campus consistent with the land acquisition study;

NOW HERE IT BE RESOLVED that the Northern Kentucky University Board of Regents authorizes the President and his agents to acquire the aforementioned property through the current appraisal and negotiation process, or failing to reach agreement within a reasonable period to acquire the property through eminent domain proceedings in accordance with the provisions of KRS 164.410 and KRS 164A.575(6).

Upon a second by Regent Bearden, the resolution passed unanimously.

Other

Chairman Verst reminded the Board members of the upcoming March 11 special meeting and the April 14 Board retreat.

Regent Franke expressed his appreciation to the administration for access to information used in preparing his alternative housing fee proposal.

Regent Taliaferro expressed an interest in meeting privately with the candidates for Vice President for Academic Affairs. Regent Mauldin, who also chairs the search committee, indicated that an interview process was not yet in place but that the schedule would provide for an opportunity for the Regents to meet the candidates. Regent Bearden expressed her confidence in the work of the search committee and the process that would be in place.

Adjournment

Upon a motion by Regent Bearden, seconded by Regent Downing, the meeting adjourned at 7:50 p.m.

Carla S. Chance
Carla S. Chance, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on February 3, 1993, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

Agenda
Northern Kentucky University
Board of Regents Executive Committee

Monday, February 15, 1993 - 10 a.m.
Norse Commons, Room 115

Presidential Update

1. 1993-94 Budget Process

Other

1. Meeting Schedule
   - March 11, 1993 - Special Meeting
   - April 14, 1993 - Retreat
   - May 5, 1993 - Quarterly Meeting
   - August 4, 1993 - Quarterly Meeting

2. Council on Higher Education Meeting Schedule
   - May 17, 1993 - Morehead State University
   - July 12, 1993 - Murray State University
   - September 12-13, 1993 (Governor's Conference on Higher Education Trusteeship) - Holiday Inn, Louisville
   - November 8, 1993 - To be announced

3. Upcoming Activities
   - Siebenthaler Lecture and Reception - March 8
   - Anniversary Proclamation - March 12
   - Lincoln Award Dinner - April 13
   - Commencement - May 15

Executive Committee Meeting
Monday, February 15, 1993

The Executive Committee of the Board of Regents of Northern Kentucky University met on Monday, February 15, 1993, to discuss the 1993-94 operating budget process. Regents Verst and Zapp were present. President Boothe, Budget Director Elzie Barker, and Vice President Dennis Taulbee were also present. The meeting was called to order at 10:10 a.m. by Chairman Verst.

President Boothe reviewed the projected revenue increases and the anticipated expenditures incorporated into the preliminary budget planning.

The 1993-94 budget proposal provides for a 5 percent salary increase pool and for a $1.1 million expansion pool. (summary attached)

93-94 Budget Process

Chairman Verst asked for a comparative analysis of tuition and fees at northern Kentucky/Cincinnati higher education institutions.

The executive committee convened in executive session to discuss potential litigation.

Exec. Session

Upon a motion by Regent Zapp, seconded by Regent Verst, the executive committee adjourned at 11 a.m.

Robert W. Zapp, Secretary

Carla S. Chance, Assistant Secretary

1993–94 Annual Budget Process
Proposed 1993–94 Budget
Revenue/ExpenditureSummary

Revenue

1992–93 Original Budget	$ 58,080,000
1993–94 Revenue Adjustments	
A. Tuition and Fees	3,875,400
B. Reserve for University Center Expansion (SIF)	(400,000)
C. State Appropriation – Operating	755,500
D. State Appropriation – Debt Service	(1,600)
E. Other Central Revenue	113,300
Subtotal	4,342,600
Total Projected 1993–94 Revenue Budget	$ 62,422,600

Expenditures

1992–93 Original Budget	$ 58,080,000
1992–93 Base Adjustments	
A. Unfunded 1991–92 Enrollment Growth	100,000
B. Unfunded 1991 Summer School Enrollment Growth	75,000
C. Other Base Adjustments/Corrections	20,400
Subtotal	195,400
1993–94 Preliminary Budget Adjustments	
A. General Fund Subsidy	22,100
B. Fixed Costs	776,000
C. Debt Service	(1,600)
D. Accountability	22,700
E. Regents Professorship Award	7,500
F. 1992 Summer School Enrollment Growth	125,300
G. Budget Reserve (Increase to $500,000)	250,000
H. Housing Subsidy	200,000
I. Instructional Units – 3% Operating Increase	17,200
Subtotal	1,419,200
1993–94 Compensation Increase Pool for Faculty and Staff (5%)	1,600,000
Total Projected 1993–94 Expenditure Budget	$ 61,294,600
Projected Available Expansion	$ 1,128,000

12–Feb–93

The special meeting of the Northern Kentucky University Board of Regents will be preceded by an Agenda Preview Breakfast at 8 a.m. on Monday, March 8, 1993, in the Presidential Dining Room.

Agenda
Northern Kentucky University
Thursday, March 11, 1993 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Minutes of Previous Meetings - Quarterly Meeting, February 3, 1993
Executive Committee, February 15, 1993

A. Presidential Reports

1. Report of Legacy Committee (to be distributed at the meeting)
2. Vice President for Academic Affairs and Provost Search Update
3. University Smoking Policy

B. Presidential Recommendations

1. Regents Award Recommendation
2. Perseverance and Accomplishment Award Recommendation
3. Tuition Policy Ratification
4. 1992-98 Six-Year Information Resources Plan
5. Board Governance and Administration

C. Other

1. Quarterly Meeting Schedule
   May 5, 1993
   August 4, 1993

2. Council on Higher Education Meeting Schedule
   May 17, 1993 - Morehead State University
   July 12, 1993 - Murray State University
   September 12-13, 1993 (Governor's Conference on Higher Education Trusteeship) - Holiday Inn, Louisville
   November 8, 1993 - To be announced

3. Upcoming Activities
   Anniversary Proclamation - March 12
   Lincoln Award Dinner - April 13
   Board Retreat - April 14
   Y.E.S. Festival - April 15-25
   Outstanding Women of Northern Kentucky Luncheon - April 28
   Commencement - May 15

MAR 11 1993

Board of Regents Meeting
March 11, 1993

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Thursday, March 11, 1993, by Chairman William G. Verst. The invocation was given by Father John Cahill, Catholic Newman Center.

Roll Call: Karen Bearden, Robin Crigler, Michael Franke, Rosetta Mauldin, James Poston, Alice Sparks, Philip Taliaferro, William Verst, Robert Zapp

Others present: President Leon E. Boothe, Jessica Bailey, Elzie Barker, Sheila Trice Bell, Sandra Easton, Peter Hollister, Dennis Taulbee, Sonda Cummins, Ron Ellis, Rick Meyers, Chuck Pettit, Mary Paula Schuh, Rosemary Schlachter, Nathan Smith, Linda Wright

The minutes of the previous quarterly meeting of February 3, 1993, were approved on a motion by Regent Taliaferro, seconded by Regent Mauldin.

The minutes of the executive committee meeting of February 15, 1993, were approved on a motion by Regent Crigler, seconded by Regent Sparks.

Presidential Reports

A-1 President Boothe shared the initial report of the Legacy Committee which was appointed as a result of the February 3 quarterly meeting discussion. The committee met on March 8 and has an anticipated completion date for their work of May. The Committee has identified three possible sites for the legacy project. Legacy Committee

A-2 President Boothe reported on the progress of the Vice President for Academic Affairs and Provost Search Committee. Three interviews have been completed with two more interviews scheduled on campus. A time for the Board of Regents to meet with the candidates has been identified. Regent Mauldin was congratulated for her role as chair of the committee. Provost Search

A-3 President Boothe updated the Board on the implementation of the University Smoking Policy. Phase one of the policy provides for initial implementation and the designation of acceptable smoking areas. Phase two would be implemented on May 22, 1993. Campus-wide reaction to the policy is being sought and once the policy is ready for full implementation, it will be recommended to the Board. Smoking Policy

President Boothe recognized Vice President for Student Affairs, Jessica L. Bailey, who was attending her first Board meeting following arrival on the campus on February 15, 1993.

Presidential Recommendations

B-1 President Boothe requested that Regent Crigler, member of the Committee on Student Awards, present information on the Regents Award. Regent Crigler emphasized the committee's goals of enhancing the existing awards and improving the diversity of awards. The Regents Award was designed to reward leadership, professionalism and continuing education. Regent Franke expressed difficulty with the 3.5 required grade-point average. Additionally, Regent Franke expressed difficulty with the proof of continued commitment to education; for some degree programs, entering Regents Award

graduate school immediately is not always the recommended path and for some students graduate school is not financially possible. Regent Franke moved that the Regents Award recommendation be amended by establishing a 3.3 grade-point average and indicating that nominees may show evidence of intent to pursue further educational opportunities. Upon a second by Regent Zapp, the amended recommendation passed.

Chairman Verst asked that Regent Crigler and Regent Franke join him in serving on the Regents Award Committee.

B-2 President Boothe presented the criteria and process for the selection of the Perseverance and Accomplishment Award which was requested by the Board at the January 11 special meeting. The award is to be given to recognize extraordinary service to the northern Kentucky community and to Northern Kentucky University. Upon a motion by Regent Taliaferro, seconded by Regent Franke, the recommendation passed unanimously. Persevere Accomplish Award

B-3 President Boothe recommended ratification of the Option Two tuition schedule authorized at the February 3, 1993 quarterly Board meeting. Upon a motion by Regent Zapp, seconded by Regent Franke, the recommendation passed unanimously. Tuition Schedule

B-4 President Boothe recommended approval of the 1992-98 Information Resources Plan. This document encompasses all information resource projects and acquisitions including office automation, data processing, and telecommunications. Upon a motion by Regent Sparks, seconded by Regent Zapp, the recommendation passed unanimously. 92-98 IRP

B-5 President Boothe recommended approval of the Board Governance and Administration document requested by the Board at the January 11 special meeting. Upon a motion by Regent Crigler, seconded by Regent Sparks, the issue was opened to debate. Regent Crigler expressed concern that the committee structure might result in an extra layer of bureaucracy and lead to micro-management. President Boothe suggested that the action item be delayed until the Board Retreat. Regent Crigler withdrew her motion. Upon a motion by Regent Sparks, seconded by Regent Bearden, the recommendation to delay consideration of the Board Governance and Administration document until April 14 passed unanimously. Governance Admin Statement

The Board convened in Executive Session at 5:05 p.m. upon a motion by Regent Franke, seconded by Regent Bearden, to discuss legal matters. The Board reconvened in public session at 5:50 p.m. Exec. Session

Other

Chairman Verst reminded the Board members of the upcoming April 14 Board Retreat.

Adjournment

Upon a motion by Regent Bearden, seconded by Regent Franke, the meeting adjourned at 5:55 p.m.

Carla S. Chance, Assistant Secretary

Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on March 11, 1993, and that such matters are still in force and effect.

Robert W. Zapp, Secretary

Agenda
NKU Board of Regents Retreat
General Butler State Resort Park - Carrollton
Wednesday, April 14, 1993 - 8 a.m. to 5 p.m.

A. Retreat Schedule

8 a.m. - 9 a.m.	Informal Gathering and Continental Breakfast in the Main Dining Area
9 a.m. - 12 noon	Governance and Related Issues Session lead by Bill Franklin in the Conference Center
	Topics to be covered: governance structuring, roles played by lay boards, board committees and their functions, format for board meetings
12 noon - 1 p.m.	Luncheon in the Conference Center
1 p.m. - 2 p.m.	Wrap-up with Bill Franklin
2 p.m. - 2:30 p.m.	Open Meetings/Open Records discussion with University Legal Counsel Sheila Trice Bell
2:30 p.m. - 4 p.m.	General Topics discussion with University President Leon E. Boothe
	Topics to be included: growth issues, enrollment issues, strategic planning and initiatives, faculty/staff salaries, state funding, supplemental funding, other

B. Meeting Schedule

Call to Order - approximately 4 p.m.

Roll Call

Presidential Updates
1. Board Governance and Administration
2. Presidential Residence Renovation (to be distributed at meeting)
3. Surplus Property and Easement Resolutions (to be distributed at meeting)

C. Other

1. Quarterly Meeting Schedule
   May 5, 1993 (preview breakfast on Friday, April 30, 8 a.m.)
   August 4, 1993

2. Council on Higher Education Meeting Schedule
   May 17, 1993 - Morehead State University
   July 12, 1993 - Murray State University
   September 12-13, 1993 (Governor's Conference on Higher Education Trusteeship) - Holiday Inn, Louisville
   November 8, 1993 - To be announced

3. Upcoming Activities
   Y.E.S. Festival - April 15-25
   Outstanding Women of Northern Kentucky Luncheon - April 28
   Commencement - May 15
   President's Golf Outing - June 16

APR 14 1993

Board of Regents Meeting
April 14, 1993

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 4:20 p.m. on Wednesday, April 14, 1993, by Chairman William G. Verst. The special meeting was convened at General Butler State Resort Park, Carrollton, Kentucky, following the Board of Regents Retreat.

Roll Call: Robin Crigler, Frank Downing, Michael Franke, Rosetta Mauldin, James Poston, Philip Taliaferro, William Verst, Robert Zapp

Others Present: President Leon E. Boothe, Jessica Bailey, Elzie Barker, Sheila Trice Bell, Sandra Easton, Peter Hollister, Dennis Taulbee

Presidential Updates

A-1 President Boothe requested the Board's reaction to the Board Governance and Administration Document. Regent Crigler expressed her concerns regarding the need to act as a committee of the whole. Regent Downing expressed a desire to reconsider the issue in the Spring of 1994. Upon a motion by Regent Crigler, seconded by Regent Downing, the recommendation to act as a committee of the whole and defer the Board Governance and Administration document until Spring 1994 passed unanimously. Governance Admin Statement

A-2 Vice President Taulbee presented information regarding the necessary renovation of the Presidential Residence to improve handicap access and to provide for other needed repairs and upkeep. At the end of the fiscal year an itemized expenditure list will be shared with the Board. Upon a motion by Regent Mauldin, seconded by Regent Crigler, the recommendation passed unanimously. Pres Residence

A-3 President Boothe presented the ratification of the surplus of the Pompilio property at U.S. 27 and Nunn Drive. Concerns were expressed regarding traffic flow on Nunn Drive and a series of questions were developed for further response. Regent Taliaferro moved that action on the recommendation be delayed until the May 5 quarterly meeting, upon a second by Regent Poston, the recommendation passed unanimously. Surplus Property

Upon a motion by Regent Mauldin, seconded by Regent Downing, the Board convened in executive session at 5:15 p.m. to discuss a personnel matter. The Board reconvened in public session at 6:10 p.m.

Adjournment:

Upon a motion by Regent Crigler, seconded by Regent Zapp, the meeting adjourned at 6:30 p.m.

Carla S. Chance
Carla S. Chance, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on April 14, 1993, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

Chance

The special meeting of the Northern Kentucky University Board of Regents will be preceded by an Agenda Preview Breakfast at 8 a.m. on Friday, April 30, 1993, in the Presidential Dining Room.

Revised Agenda
Northern Kentucky University
Wednesday, May 5, 1993 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Minutes of Previous Meetings - Special Meeting, March 11, 1993
Special Meeting, April 14, 1993

A. Presidential Reports
1. Grants and Contracts Report
2. Campus Planning Report
3. Quarterly Financial Report
4. A-133 Audit Report
5. Special Events Protocol Statement
6. Kentucky Plan Update
7. University-wide Student Awards (to be distributed at the meeting)
8. Final 1993 Spring Enrollment
9.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Academic Review, Promotion, Tenure
   a. Continued Probation and Probation with Difficulties
   b. Tenure
3. Professor Emeritus
4. Regents Professorship (to be distributed at the meeting)
5. Honorary Degrees
6. Non-academic Personnel Actions
7. Administrative Regulations - Governing Regulations
   Graduate Council Description
8. Property Transactions
   a. Demolition of Building E
   b. Marshall Lane Right of Way
   c. Ratification of Surplus Property Transfer (to be distributed at the meeting)
9. Bad Debt Write-Off
10. 1992-93 Operating Budget Adjustment
11. 1993-94 Operating Budget Recommendation
12.

C. Other
1. Quarterly Meeting Schedule
   August 4, 1993

2. Council on Higher Education Meeting Schedule
   May 17, 1993 - Morehead State University
   July 12, 1993 - Murray State University
   September 12-13, 1993 (Governor's Conference on Higher Education Trusteeship) - Holiday Inn, Louisville
   November 8, 1993 - To be announced

3. Upcoming Activities
   Commencement - May 15
   President's Golf Outing - June 16
   Summer Dinner Theater - July 7 and July 8

MAY 05 1993

Board of Regents Meeting
May 5, 1993

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:07 p.m. on Wednesday, May 5, 1993, by Chairman William G. Verst. The invocation was given by Sister Janet Carr, Catholic Newman Center.

Roll Call: Robin Crigler, Frank Downing, Michael Franke, Rosetta Mauldin, James Poston, Alice Sparks, Philip Taliaferro, William Verst, Robert Zapp

Others present: President Leon E. Boothe, Elzie Barker, Sheila Trice Bell, Sandra Easton, Peter Hollister, Dennis L. Taulbee, W. Michael Baker, Gerald Bain, Elizabeth Blincoe, Thomas Comte, Joe Conger, Sonda Cummins, Thomas Isherwood, Russell Kerdolff, William R. Jones, Jerry Legere, Joyce B. Maegly, Becky Myers, Mary Ryan, Cliff Shisler, Angela Schaffer, Rosemary Schlachter, Mary Paula Schuh, Nathan Smith, Henry L. Stephens, Jr., Michael Thomson, Debra Vance - The Kentucky Post,

The minutes of the special meeting of March 11, 1993, were approved on a motion by Regent Crigler, seconded by Regent Franke.

The minutes of the special meeting of April 14, 1993, were approved on a motion by Regent Downing, seconded by Regent Franke.

Former Chase College of Law Deans William R. Jones, and Henry L. Stephens, Jr., were presented with resolutions recognizing their exemplary contributions to the Chase College of Law. These resolutions were authorized by the Board at the February 3, 1993, quarterly meeting. Vice Chair Philip Taliaferro assisted in the presentation.

Student Regent Michael Franke was presented with a resolution of the Board recognizing his year of service to the University Board. The resolution read as follows:

> That the Board of Regents and the entire University community extend its sincere appreciation to Michael Franke, who has served as Student Regent of Northern Kentucky University. Mr. Franke has given generously of his time, talent, and leadership to further the ultimate goals of the University and has served as an advocate of the institution, its faculty, staff, students, and alumni.

Franke Resolution

President Boothe added his appreciation and commented on the successful future awaiting Regent Franke.

Presidential Reports:

A-1 President Boothe highlighted the grants and contracts quarterly report and commented on the number of grant requests which had been submitted and the amount of funds approved. Grants Contracts

A-2 President Boothe reported on the campus planning progress report with emphasis on the Library Expansion Project, the renovation of the Residence Hall Facade, the Residential Village Backup Boiler Project, and the Ceramics/Sculpture Facility. Campus Planning

A-3 President Boothe commended the business office staff for their excellent work with the quarterly financial report. Quarterly Financial

A-4 Regent Robert Zapp, Chair of the Audit Committee, reported on the A-133 Audit and indicated that it was a clean report reflecting an outstanding job. A-133

A-5 President Boothe presented the special events protocol statement which is designed to provide a consistent set of guidelines to University units as their plan events which include the external community. A central data base for invitations will be maintained in the Office of University Relations and Development. Protocol

A-6 President Boothe presented the Kentucky Plan Update and discussed the May 4 visit of the Committee on Equal Opportunities. The committee members encouraged NKU to strengthen its outreach program and be strong by (1) increasing African-American faculty, (2) increasing recruitment and retention of African-American students, and (3) monitoring the campus climate for equality and hospitality. KY Plan

Regent Mauldin indicated that the committee was not please with the University's efforts and that there were areas where the University was not doing a good job.

It was requested that further information regarding the response to the Kentucky Plan be shared with the Board.

A-7 President Boothe reported that the University-wide Student Award recipients had been identified as follows: Regents Award, Mr. Steve Giles; President's Award, Mr. Raul Robles Fraile; Paul J. Sipes Award, Ms. Michelle Chalk; University Service Award, Ms. Glenda Dianne Bell; Excelsior Award, Ms. Darlene Mullins; Faculty Senate Award, Mr. Fadi Nahhas. A special thanks was given to Regent Crigler who served on the Student Awards Committee and to Ms. Pamm Taylor who chaired the Committee. Student Awards

A-8 President Boothe presented final spring enrollment statistics which show a headcount increase of 2.8 percent and an FTE increase of 5.3 percent. Spring Enrollment

Theatre Chair Joseph Conger and theatre student, Jerry Bain, gave a presentation to the Board on the YES Festival and the many accomplishments of the NKU Theatre Program. Of particular note was NKU's successful competition for the Irene Ryan Award. Theatre Prod.

Presidential Recommendations:

B-1 President Boothe recommended approval of the academic personnel actions as follows: Acad. Personnel

Administrative Appointments: Paul L. Gaston, Vice President for Academic Affairs and Provost, and Professor of the Literature and Language Department with tenure, effective July 1, 1993; Donald R. Welti, Acting Chair of the Psychology Department and Associate Professor of Psychology, effective August 14, 1993 through December 31, 1993.

Faculty Appointments: Diana Lynn McGill, Assistant Professor of the Chemistry Department, effective August 14, 1993; Stephen C. Richards, Assistant Professor of Sociology in the Sociology

Anthropology, and Philosophy Department, effective August 14, 1993.

Leaves, Paid/Unpaid: Betty J. Carroll, Associate Professor of the Nursing Department, paid special leave, with benefits, for the 1993-94 academic year; James C. Claypool, Archivist and Professor of History in the History and Geography Department, paid administrative leave with benefits, beginning July 1, 1339 and ending December 31, 1993.

Retirements: William L. Holloway, Associate Professor of Management of the Management and Marketing Department, effective January 4, 1993. Professor Holloway is recommended for emeritus status.

Resignations: Nancy L. Firak, from Interim associate Dean of the Salmon P. Chase College of Law of Professor of Law, effective June 30, 1993.

President Boothe confirmed that the guidelines for emeritus status consider both quality of service and length of service.

Upon a motion by Regent Downing, seconded by Regent Mauldin, the recommendation passed unanimously.

President Boothe commended Regent Mauldin for her work as chair of the Provost Screening Committee.

B-2-a President Boothe recommended the following faculty for continued probation and continued probation with difficulty: Promotion Tenure

Continued Probation: Joy Churchill, Douglas Havelka, Russell Jenisch, Robert Naczi, Philip Paradis, David Potter, Lewis Workman, Kristen Yount

Continued Probation with Difficulty: Phyllis Wells

Upon a motion by Regent Sparks, seconded by Regent Franke, the recommendation passed unanimously.

B-2-b President Boothe recommended Barbara Houghton for tenure. Upon a motion by Regent Crigler, seconded by Regent Sparks, the recommendation passed unanimously.

B-3 considered as part of B-1

B-4 Regent Poston, representative to the Regents Professorship Nomination Committee recommended Professor Miriam Kannan as the 1993 Regents Professor. Regent Poston expressed his reaction to the quality of nominations and the extraordinary commitment of the nominees. Provost Easton commented on the diligent efforts of the selection committee. Upon a motion by Regent Crigler, seconded by Regent Franke, the recommendation passed unanimously. Regents Prof.

B-5 President Boothe recommended that honorary degrees be awarded to Judge John Peck and to Mr. Dwight Hibbard who are serving as featured commencement speakers in the 1993 exercises. Honorary degrees have previously been approved for Owen Bradley Butler and Donald Steward. Upon a motion by Regent Downing, seconded by Regent Poston, the recommendation passed unanimously. Honorary Degree

B-6	President Boothe recommended approval of the non-academic personnel actions. Upon a motion by Regent Zapp, seconded by Regent Franke, the recommendation passed unanimously.	Non-Acad. Personnel
B-7	President Boothe recommended revision to the Graduate Council description as contained in the Administrative Regulations--Governing Regulations Handbook. Upon a motion by Regent Mauldin, seconded by Regent Crigler, the recommendation passed unanimously.	Grad Council
B-8-a	President Boothe recommended that the Board authorize the demolition of Building E on the Covington Campus. This structure was purchased as surplus in 1969. Upon a motion by Regent Crigler, seconded by Regent Zapp, the recommendation passed unanimously.	Bldg. E.
B-8-b	President Boothe recommended that the Board authorize the administration to convey to the Transportation Cabinet both a permanent easement and a construction easement on the property located on Marshall Lane. Upon a motion by Regent Zapp, seconded by Regent Sparks, the recommendation passed unanimously.	Marshall Lane Easement
B-9	President Boothe recommended that the Board authorize the write-off of $81,844.42 in bad debts. These bad debts include uncollectible tuition and fees, emergency student loans, housing fees, and parking citations. Upon a motion by Regent Crigler, seconded by Regent Franke, the recommendation passed unanimously.	Bad Debt
B-10	President Boothe recommended that the 1992-93 operating budget be amended upward by $448,514 to reflect increase in tuition and fees and a decrease in state appropriation. Upon a motion by Regent Mauldin, seconded by Regent Crigler, the recommendation passed unanimously.	92-93 Operating
B-11	President Boothe recommended adoption of the 1993-94 operating budget of $63.1 million. This budget includes an increase in tuition and fees and a $200,000 subsidy of the residential village. President Boothe indicated that funding decisions had been driven by the University's Strategic Plan and that the goal had included the maintenance of the integrity of the academic program and the expansion of essential support. Upon a motion by Regent Sparks, seconded by Regent Zapp, the recommendation passed unanimously.	93-94 Operating

Other: Chairman Verst reminded the Board of the June 21 special board meeting which would include a tour of the Natural Sciences Center. Special upcoming dates include May 15, Commencement; June 16, Golf Outing; and July 7 and 8, Summer Dinner Theatre.

Chairman Verst requested that Regents Zapp, Sparks and Mauldin serve on the Nominating Committee and plan to report at the August 4 Board Meeting.

Regent Franke expressed his thanks to the Board and the Administration for the time spent in assisting him in his role as Student Regent. Regent Franke expressed special thanks to the President and Vice Presidents, to Student Government Vice President Rocke Saccone, and to the fellow members of the Board.

Chairman Verst expressed his thanks to the Board for this opportunity to serve as Chair of the Board. With his term expiring on June 30, 1993, Regent Verst thanked the Board for their cooperation and effort and indicated that he was completing nine years on the Board, three of which he had served as chair.

Executive Session:

Upon a motion by Regent Franke, seconded by Regent Downing, the Board convened in executive session at 5:30 p.m. to discuss property. The Board reconvened in public session at 7:30 p.m.

Regent Downing moved that the Chair appoint a committee to explore the commercial potential of the U.S. 27 area property. Upon a second by Regent Franke, the motion passed unanimously. Chairman Verst requested that Regent Zapp join him in working with Sheila Trice Bell and Dennis Taulbee. U.S. 27 Develop.

Adjournment:

Upon a motion by Regent Franke, seconded by Regent Downing, the meeting adjourned at 7:35 p.m.

Carla S. Chance
Carla S. Chance, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on May 5, 1993, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

The special meeting of the Northern Kentucky University Board of Regents will commence with an executive session to discuss personnel, property, and litigation matters. The open session is anticipated to convene at 11:30 a.m.

Agenda
Northern Kentucky University
Monday, June 21, 1993 - 10 a.m.
Regents Board Room - Administrative Center

Call to Order

Roll Call and Welcome

Introduction of 1993 Regents Professor - Miriam Steinitz Kannan

Executive Session

Open Session

A. Presidential Recommendations
1. Academic Personnel Actions
2. Administrative Personnel Actions
3. Administrative Regulations - Governing Regulations
Staff Congress Bylaws
4. Series I Bond Refinance Resolution
5. 1992-93 Operating Budget Adjustment
6. 1994-96 Capital Budget Request
7. Legacy Committee

Luncheon and Presentation of the Campus Recognition Task Force/I.D. Group

Tour of Natural Sciences Center

B. Other
1. Quarterly Meeting Schedule
August 4, 1993

2. Council on Higher Education Meeting Schedule
July 12, 1993 - Murray State University
September 12-13, 1993 (Governor's Conference on Higher Education Trusteeship) - Holiday Inn, Louisville
November 8, 1993 - To be announced

3. Upcoming Activities
Summer Dinner Theater - July 7 and July 8
New Faculty Reception - August 24
State of the University Address - August 25
25th/100th Anniversary Cincinnati Pops Concert - September 8
25th/100th Anniversary Symposium with Cokie Roberts - September 16
Staff Awards Luncheon - September 28
25th/100th Anniversary Masquerade Ball - October 2

REVISED
JUN 21 1993

Board of Regents Meeting
June 21, 1993

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 10:10 a.m. on Monday, June 21, 1993, by Chairman William G. Verst.

Roll Call: Robin Crigler, Frank Downing, Michael Franke, Rosetta Mauldin, James Poston, Philip Taliaferro, William Verst, Robert Zapp

Others Present: President Leon E. Boothe, Jessica Bailey, Elzie Barker, Sheila Trice Bell, Sandra Easton, Peter Hollister, Dennis Taulbee, Sonda Cummins, Ron Ellis, Miriam Kannan, Mary Ryan, Rosemary Schlachter, Mary Paula Schuh, Nathan Smith, Marian Winner

The 1993 Regents Professor, Miriam Steinetz Kannan, was introduced. Dr. Kannan's research is funded through National Geographic and her students are noted for their success in research careers. The University is proud of Dr. Kannan and appreciates her service.

Executive Session:

Upon a motion by Regent Zapp, seconded by Regent Crigler, the Board convened in executive session to discuss personnel, property, and litigation issues. The Board reconvened in public session at 1 p.m.

Presidential Recommendations:

A-1 President Boothe recommended approval of the following academic personnel actions: Academic Actions

Administrative Appointments: Willie L. Elliott, Associate Professor of Human Services and Chair of the Allied Health, Human Services, and Social Work Department, effective July 1, 1993; Ralph D. O'Brien, Associate Professor and Acting Chair of the Technology Department, effective July 1, 1993, through June 30, 1994.

Renewed Administrative Appointments: David S. Thomson, Associate Professor of Communications and Acting Chairperson of the Communications Department, effective July 1, 1993, through June 30, 1994.

Leaves, Paid/Unpaid: Richard Cook, Assistant Professor of the Physics and Geology Department, paid leave with benefits beginning August 15, 1993 and ending May 15, 1994; Mary Ellen Elsbernd, Associate Professor of Library Science of the W. Frank Steely Library, half-time leave at half salary, with benefits beginning July 1, 1993 and ending August 6, 1993; Nancy Hinzman, Assistant Professor of the Nursing Department, unpaid leave, without benefits, beginning August 14, 1993 and ending May 14, 1994; Cynthia M. Kukla, Associate Professor of the Art Department, unpaid leave, without benefits, beginning August 14, 1993 and ending May 14, 1994; Nicholas Melnick, Professor of the Education Department, unpaid leave with benefits, for the Spring 1994 semester, renewable for the Spring 1995 semester; Laura A. Sullivan, Associate Professor of Library Science of the W. Frank Steely Library, continued partial unpaid leave (one day or its equivalent per week) with benefits, ending August 25, 1993.

Resignations: Thomas K. Harden, Professor and Chair of the Technology Department, effective June 30, 1993; Katherine C. Rosenthal, Associate Professor of Radiologic Technology in the Allied Health, Human Services, and Social Work Department, effective May 15, 1993.

Upon a motion by Regent Crigler, seconded by Regent Zapp, the recommendation passed unanimously.

A-2 President Boothe recommended approval of the administrative appointment of Ernest O. Britton, Executive Assistant to the President, effective July 1, 1993 through July 31, 1994. Upon a motion by Regent Crigler, seconded by Regent Franke, the recommendation passed unanimously. Admin Actions

Regent Taliaferro moved that the Board extend President Boothe's contract to four years and increase his salary by 5 percent effective July 1, 1993. Upon a second by Regent Crigler the recommendation passed unanimously. Pres. Contract

A-3 President Boothe recommended approval of revisions to the Staff Congress Constitution which permits the President to serve a second term upon the vote of the Congress. Upon a motion by Regent Mauldin, seconded by Regent Franke, the recommendation passed unanimously. Staff Con. Const.

A-4 President Boothe recommended that the Board authorize the issuance of Consolidated Educational Buildings Refunding Revenue Bonds, Series I Series I to refinance Series E. bonds dated March 1, 1989. Upon a motion by Regent Downing, seconded by Regent Zapp, the recommendation passed unanimously. Series I Bonds

A-5 President Boothe recommended the downward amendment of the 1992-93 Operating Budget to reflect the reduced state appropriation and other changes. Upon a motion by Regent Franke, seconded by Regent Mauldin, the recommendation passed unanimously. 92-93 Operating

A-6 President Boothe recommended adoption of the 1994-96 Capital Budget projects list and requested that the administration be authorized to submit a detailed 1994-96 Capital Budget Request to the Council on Higher Education in compliance with the July 1 submission date. Upon a motion by Regent Crigler, seconded by Regent Downing, the recommendation passed unanimously. 94-96 Capital

A-7 President Boothe recommended the adoption of the Legacy Project to provide for approximately 4,000 pavers located at the west entrance to the Main Library. Upon a motion by Regent Franke, seconded by Regent Mauldin the recommendation passed unanimously. President Boothe thanked Regents Taliaferro and Franke for their service on the Legacy Committee. Legacy Project

Regent Phil Taliaferro moved that the parcel of property discussed in executive session be reclassified from priority two to priority one for acquisition. Upon a second by Regent Zapp, the motion passed unanimously. Prop. Acq.

Chairman William Verst thanked the Board and the University for support in his reappointment to the Board and indicated that he had accepted the appointment. In completing this first year of a new board he indicated that it had been both interesting and

difficult and that this was a most talented Board. The University has many challenges but they can be solved collectively. The message to the community is that the Board is united in working with the President and that it is anticipated that 1993-94 will be a year of great strides for the institution. Chairman Verst indicated that he remains very hopeful that the Embrace Campaign will be successful and that the Board is behind the President. President Boothe should know that he has the confidence of the Board.

Adjournment:

Upon a motion by Regent Franke, seconded by Regent Downing, the meeting adjourned at 1:10 p.m.

Carla S. Chance
Carla S. Chance, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on June 21, 1993, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

The regular quarterly meeting of the Northern Kentucky University Board of Regents will be preceded by a preview breakfast on Monday, August 2, in the Presidential Dining Room.

Agenda
Northern Kentucky University
Wednesday, August 4, 1993 - 4 a.m.
Regents Board Room - Administrative Center

Call to Order

Oath of Office - Student Regent Nathaniel G. Smith

Roll Call and Welcome

Approval of Minutes — Quarterly Meeting, May 5, 1993
Special Meeting, June 21, 1993

Report of the Nominating Committee and Election of Officers

Special Assignments

A. Presidential Reports
1. Grants and Contracts Report
2. Campus Planning Report
3. Quarterly Financial Report
4. 1993 Summer Enrollment Report
5. Embrace Opportunity (Oral report)
6.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Temporary Faculty Appointments
3. Non-academic Personnel Actions
4. Property Acquisition Guidelines
5. University Smoking Policy
6. 1992-93 Operating Budget Adjustment
7. 1993-94 Operating Budget Resolution
8. 1994-96 Capital Budget Revisions
9.

C. Other
1. Quarterly and Special Meeting Schedule
September 29, 1993
November 10, 1993
January 26, 1994 (special)
March 23, 1994
May 4, 1994 (special)
July 27, 1994

2. Council on Higher Education Meeting Schedule
September 12-13, 1993 (Governor's Conference on Higher Education Trusteeship) - Holiday Inn, Louisville
November 8, 1993 - To be announced

3. Upcoming Activities
NKU Foundation Breakfast Meeting - August 17
New Faculty Reception - August 24
State of the University Address - August 25
25th/100th Anniversary Cincinnati Pops Concert - September 8
25th/100th Anniversary Symposium with Cokie Roberts - September 16
Staff Awards Luncheon - September 28
Chase Law Symposium - October 1
25th/100th Anniversary Masquerade Ball - October 2

AUG 04 1993

Board of Regents Meeting
August 4, 1993

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:10 p.m. on Wednesday, August 4, 1993, by Chairman William G. Verst. The invocation was given by the Rev. Joe Pennington, Trinity Presbyterian Church.

Board Chairman, William G. Verst, administered the oath of office to Student Regent Nathaniel G. Smith and welcomed him to service on the Board. Smith Oath

Roll Call: Karen Bearden, Robin Crigler, Frank Downing, Rosetta Mauldin, James Poston, Nathaniel Smith, Alice Sparks, Philip Taliaferro, William Verst

Others present: President Leon E. Boothe, Sheila Trice Bell, Paul Gaston, Peter Hollister, Dennis Taulbee, Tanya Albert, David Arnold, Michael Baker, Becky Cox, Sonda Cummins, Paul Dierig, Sandra Easton, William Elliott, Michael Franke, Katie Heywood, Mary Huening, Russell Kerdolff, Bill Lamb, Jerry Legere, Joyce Maegly, Chuck Pettit, Jamie Ramsey, Angela Schaffer, Rosemary Schlachter, Mary Paula Schuh, Cliff Shisler, Kenneth Smith, Debra Vance - Kentucky Post, Gayle Vaughn, Paul Wingate, Marian Winner,

The minutes of the regular quarterly meeting of May 5, 1993, were approved on a motion by Regent Sparks, seconded by Regent Crigler.

The minutes of the special meeting of June 21, 1993, were approved on a motion by Regent Sparks, seconded by Regent Downing.

Faculty Regent Rosetta Mauldin, member of the nominating committee, gave the report of the nominating committee. The 1993 Nominating Committee was comprised of Rosetta J. Mauldin, Alice S. Sparks, and Robert W. Zapp. The slate of officers was presented as follows: Nominating Committee

William G. Verst	Chair
Alice S. Sparks	Vice Chair
Robert W. Zapp	Secretary/Treasurer
Carla S. Chance	Assistant Secretary
W. Michael Baker	Treasurer

Upon a motion by Regent Mauldin, seconded by Regent Taliaferro, the above slate was submitted for consideration. Regent Downing moved that nominations cease and that the slate be elected by acclamation. The motion passed unanimously.

Regent Verst requested that the following Regents serve on special committees during 1993-94: Regent Frank Downing, Policies Committee; Regent Robert Zapp, Embrace Opportunity Committee; Regent James Poston, Staff Awards Committee. Comm. Appts.

President Boothe announced that the Governor's Scholars Program would be returning to NKU in 1994.

Presidential Reports:

A-1 President Boothe highlighted the grants and contracts quarterly report and commented on the number of grant requests which had been submitted and the amount of funds approved which had increased by almost 15 percent. Grants Contracts

A-2 President Boothe reported on the campus planning progress report with emphasis on the Library Expansion Project, the renovation of the Residence Hall Facade, and the Utility Master Plan. Campus Planning

A-3 President Boothe commended the business office staff for their excellent work with the quarterly financial report. Quarterly Financial

A-4 President Boothe commented on the preliminary summer enrollment report which indicated a relatively flat summer enrollment. Many Kentucky institutions have reported a decrease in summer enrollment. Summer Enroll.

A-5 Assistant Vice President for Development, Rosemary Schlachter, gave a brief overview of the Embrace Opportunity Campaign. The faculty/staff giving has reached approximately $830,000 in cash and deferred gifts. Embrace Opportunit

Presidential Recommendations:

B-1 President Boothe recommended approval of the academic personnel actions as follows: Academic Personnel

Renewed Administrative Appointments: Robert E. Collier, Associate Professor and Acting Chairperson of the Department of Literature and Language, effective July 1, 1993 through June 30, 1994; Paul F. Reichardt, Professor of the Literature and Language Department and Interim Dean of the College of Arts and Sciences, effective July 1, 1993 through June 30, 1994.

Faculty Appointments: George R. Aldhizer, II, Assistant Professor of the Accountancy Department, effective August 14, 1993; Rebecca Ball, Instructor (non-tenure track, renewable) in the Management and Marketing Department, effective August 14, 1993; Bradley Bielski, Lecturer (non-tenure track, renewable) in the Chemistry Department, effective August 14, 1993; Paige Byam, Assistant Professor of the Literature and Language Department, effective August 14, 1993; Christina Melibeau de Almeida, Assistant Professor of the Art Department, effective August 14, 1993; Steven Finke, Assistant Professor of the Art Department, effective August 14, 1993; Daniel Kent, Instructor (non-tenure track, renewable) in the Management and Marketing Department, effective August 14, 1993; Andrew Kipnis, Assistant Professor of the Sociology, Anthropology, and Philosophy Department, effective August 14, 1993; Andrea Knarr, Lecturer, (non-tenure track, renewable) in the Art Department, effective August 14, 1993; Thomas Leech, Assistant Professor of the Literature and Language Department, effective August 14, 1993; David J. Manning, Lecturer (non-tenure track, renewable) in the Economics, Finance, and Information Systems Department, effective August 14, 1993; Terry Powell, Assistant Professor of the Theatre Department, effective August 14, 1993; Robert Wilcox, Assistant Professor of the History and Geography Department, effective August 14, 1993; James Wilmer, Instructor (non-tenure track, renewable) in the Management and Marketing Department, effective August 14, 1993.

Leaves, Paid/Unpaid: Durell Hamm, Assistant Professor of the Communications Department, unpaid leave without benefits, effective August 14, 1993 and ending May 14, 1994; Banwari Mittal,

Associate Professor of the Management and Marketing Department, unpaid leave without benefits, beginning August 14, 1993 and ending May 14, 1994.

Resignations: Leslie Leverone, Lecturer in the Chemistry Department, effective May 15, 1993; Barbara O'Brien, Associate Professor of the Nursing Department, effective May 15, 1993.

Upon a motion by Regent Bearden, seconded by Regent Smith, the recommendation passed unanimously.

B-2 President Boothe recommended the appointment of the following temporary faculty: Michael Avey, Julia Yang Bedell, Ellen Benton, Mary Jo Beresford, Jerry Call, Roberta Campbell, Greg Dahlem, Laura Elizabeth Duwel-Eby, Irene Encarnacion, Maria Falbo-Kenkel, Mary Lee Fangman, Richard Hird, Eric Reginald Jackson, Nancy Kersell, Jerry Lancaster, Ken Lowery, Millard Mann, Jr., Kathleen O'Connor, Theresa Paulin, Minda J. Peare, Jane Petrillo, Deborah Pressly, Elinor Rambo, David Schneider, Dianne M. Sod, Gary Walton, James Westheider, Dianne Williams. Upon a motion by Regent Smith, seconded by Regent Sparks, the recommendation passed unanimously. — Temporary Faculty

B-3 President Boothe recommended approval of the non-academic personnel actions. Approximately 15 percent of the people leaving Northern did so for increased salaries. Upon a motion by Regent Downing, seconded by Regent Smith, the recommendation passed unanimously. — Non-Acad. Personnel

B-4 President Boothe recommended approval of the property acquisition guidelines. Following adoption of the memorandum of agreement regarding real property management on February 4, 1993, the guidelines were developed to facilitate the acquisition of property. Upon a motion by Regent Taliaferro, seconded by Regent Mauldin, the recommendation passed unanimously. — Prop. Acq. Guides

B-5 President Boothe recommended approval of the University Smoking Policy. This policy does not provide for indoor smoking facilities. The only indoor smoking area on campus are the designated residential unit apartments. Dr. Boothe indicated that the decision to become a smokefree campus was guided by the EPA study and the low priority for funds to purchase smoke removal equipment. Regent Downing spoke against the recommendation citing the challenges to the EPA study. Regent Downing suggested that indoor areas should be limited but should be available. Upon a motion by Regent Sparks, seconded by Regent Poston, the recommendation passed eight to one. — Smoking Policy

B-6 President Boothe recommended the upward revision of the 1992-93 operating budget to reflect an increase in tuition and fees and an increase in auxiliary enterprises. Upon a motion by Regent Bearden, seconded by Regent Mauldin, the recommendation passed unanimously. — Operating Budget

B-7 President Boothe recommended adoption of the 1993-94 Operating Budget of $63.1 million. The budget includes $488,500 for the 2 percent budget deferral. The University has been advised to anticipate another 2, 4 or 6 percent budget reduction. Tuition dollars continue to carry the institution. The budget also includes a $1.6 million pool for faculty/staff salaries increases. — 93-94 Operating Budget

The University has sustained a $3 million state appropriation reduction since fall 1991. Upon a motion by Regent Taliaferro, seconded by Regent Sparks, the recommendation passed unanimously.

B-8 President Boothe recommended that the Board approve changes to the 1994-96 Capital Budget Request. Since the Board approved the Budget at the June 21, 1993 special meeting, a number of changes were made to the original list. These changes in scope result in a Capital Budget Request of $71.1 million. Upon a motion by Regent Crigler, seconded by Regent Smith, the recommendation passed unanimously. 94-96 Capital Budget

Other:

Chairman Verst announced the dates for the six scheduled meetings for the 1993-94 fiscal year. All regents are encouraged to attend the Governor's Conference on Higher Education Trusteeship on September 12 and 13 in Louisville, Kentucky. The nest meeting of the Council on Higher Education is November 8.

Executive Session:

Upon a motion by Regent Sparks, seconded by Regent Crigler, the Board convened in executive session at 4:45 p.m. to discuss both property and litigation. The Board reconvened in public session at 5:55 p.m. Exec. Session

Adjournment:

Upon a motion by Regent Smith, seconded by Regent Downing, the meeting adjourned at 5:56 p.m.

Carla S. Chance
Carla S. Chance, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on August 4, 1993, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

Agenda
Northern Kentucky University
Tuesday, August 31, 1993 - 2 p.m.
Regents Board Room - Administrative Center

Call to Order

Roll Call and Welcome

Executive Session

A. Other

1. Quarterly and Special Meeting Schedule
   September 29, 1993
   November 10, 1993
   January 26, 1994 (special)
   March 23, 1994
   May 4, 1994 (special)
   July 27, 1994

2. Council on Higher Education Meeting Schedule
   September 12-13, 1993 (Governor's Conference on Higher Education Trusteeship) - Holiday Inn, Louisville
   November 8, 1993 - Frankfort, Lexington, or Louisville

3. Upcoming Activities
   New Faculty Reception - August 24
   State of the University Address - August 25
   25th/100th Anniversary Cincinnati Pops Concert - September 8
   25th/100th Anniversary Symposium with Roger Wilkins - September 16
   Staff Awards Luncheon - September 28
   Chase Law Symposium - October 1
   25th/100th Anniversary Masquerade Ball - October 2

Board of Regents Meeting
August 31, 1993

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 2:08 p.m. on Tuesday, August 31, 1993, by Chairman William G. Verst.

Roll Call: Frank Downing, Rosetta Mauldin, James Poston, Nathaniel Smith, Alice Sparks, Philip Taliaferro, William Verst, Robert Zapp

Others Present: President Leon E. Boothe, Sheila Trice Bell, Dennis Taulbee, Henry Keene, Mary Paula Schuh, James Woltermann

President Boothe reported that preliminary fall enrollment reports were indicating a headcount enrollment of 12,115. FTE appears to be up 3.61 percent.

Executive Session:

Upon a motion by Regent Downing, seconded by Regent Zapp, the Board convened in executive session to discuss property and litigation issues. The Board reconvened in public session at 3:35 p.m. Exec. Session

Regent Poston moved that the NKU Board of Regents accept the report and recommendation of counsel concerning litigation filed against NKU by RPR Associates, Inc., and R. Phil Roof, and that counsel has been instructed to proceed with implementation of that recommendation. Upon a second by Regent Sparks, the motion passed unanimously. Res.Villag Litigation

Adjournment:

Upon a motion by Regent Zapp, seconded by Regent Downing, the meeting adjourned at 3:40 p.m.

Carla S. Chance, Assistant Secretary

Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on August 31, 1993, and that such matters are still in force and effect.

Robert W. Zapp, Secretary

Tentative

The regular quarterly meeting of the Board of Regents will be preceded by an Agenda Review Breakfast on Monday, September 27, at 8 a.m. in the Board of Regents meeting room.

Agenda
Northern Kentucky University
Wednesday, September 29, 1993 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Approval of Minutes — August 4, 1993 Quarterly Meeting
August 31, 1993 Special Meeting

A. Presidential Reports

1. Kentucky Plan Update
2. Campus Planning Report
3. 1993 Final Summer Enrollment
4. 1993 Preliminary Fall Enrollment
5. 1994-96 Biennial Budget Process
6. Governor's 1993-94 Budget Cutback Plan
7. Governor's Conference on Higher Education Trusteeship
8. Embrace Opportunity (oral report)
9.

B. Presidential Recommendations

1. Academic Personnel Actions
2. Temporary Faculty Appointments
3. Administrative Regulations-Governing Regulations
   a. Commercial Solicitation Policy
   b. University Transportation Committee
4. Lease Agreements
   a. 307 Johns Hill Road
   b. 510 Johns Hill Road
5. Utility Easement
6.
7.

C. Other

1. Quarterly and Special Meeting Schedule
   November 10, 1993
   January 26, 1994 (special)
   March 23, 1994
   May 4, 1994 (special)
   July 27, 1994

2. Council on Higher Education Meeting Schedule
   November 8, 1993 - Frankfort, Lexington, or Louisville

3. Upcoming Activities
   Chase Law Symposium - October 1
   25th/100th Anniversary Masquerade Ball - October 2

SEP 29 1993

Board of Regents Meeting
September 29, 1993

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:07 p.m. on Wednesday, September 29, 1993, by Chairman William G. Verst. The invocation was given by the Rev. Bob Cain, NKU Wesley Foundation.

Roll Call: Robin Crigler, Rosetta Mauldin, James Poston, Nathaniel Smith, Alice Sparks, Philip Taliaferro, William Verst, Robert Zapp

Others present: President Leon E. Boothe, Jessica Bailey, Elzie Barker, Sheila Trice Bell, Paul Gaston, Peter Hollister, Dennis Taulbee, Delores Anderson, Jennifer Boyd, Ernest Britton, Bob Cain, Thomas Comte, Sonda Cummins, Nancy Firak, Thomas Isherwood, Mark Kaufman, Joyce Maegly, Charles Pettit, Mary Rauen, Mary Ryan, Rosemary Schlachter, Christopher Schnelle, Mary Paula Schuh, J, Kenneth Smith, Amy Stephens, Debra Vance - The Kentucky Post,

President Boothe corrected the August 4 minutes to list Rev. Joe Pennington, Trinity Episcopal Church. The minutes of the regular quarterly meeting of August 4, 1993, were approved as corrected on a motion by Regent Crigler, seconded by Regent Smith.

The minutes of the special meeting of August 31, 1993, were approved on a motion by Regent Crigler, seconded by Regent Mauldin.

President Boothe recognized the President of the Faculty Senate, Nancy Lee Firak who was elected to the 1993-94 term.

Presidential Reports:

A-1 President Boothe introduced Ms. Delores Anderson, Director of Affirmative Action and Multi-Cultural Affairs to present an update on the Kentucky Plan. Ms. Anderson reported that the various organizational units had filed action plans which would be supplemented by quarterly progress reports. These documents are available to the members of the Board upon request. Ms. Anderson reported that the Council on Higher Education is currently preparing the 1992-93 report. On a positive note, the University has met the 1 percent objective for Kentucky African-American students. Headcount enrollment has increased to 131 Kentucky resident African-American students. The University did not reach its retention objective, the degrees awarded objective, and the graduate school enrollment objective. The 1993 first-year class at Chase includes 23 minority students of whom 10 are Kentucky residents. The 1992-93 report will be shared with the Board as soon as it is received from the Council. KY Plan

A-2 President Boothe reported on the campus planning progress report indicating that progress on the Regents Hall Roof Replacement project was slowed. The Ceramics/Sculpture Facility is entering the programming phase. Campus Planning

A-3 President Boothe presented the final summer enrollment statistics which showed a flat summer enrollment. NKU looked good compared to other state institutions. Final Summer

A-4 President Boothe presented the preliminary fall enrollment statistics and indicated that NKU was the only public Kentucky institution with an increase in enrollment. Enrollment had Prelim Fall

increased 1.9 percent (headcount) and 3.2 percent (FTE). Preliminary fall data indicated a 26 percent increase in African-American students. Fall enrollment students represent 88 Kentucky counties and 33 different states.

A-5 President Boothe presented an overview of the 1994-96 biennial budget process. The higher education funding formula is a complex process which takes into consideration enrollment, course numbering, graduate/undergraduate mix, and numerous other factors. NKU is currently funded at 71.7 percent of the formula. 94-96 Biennial Process

A-6 President Boothe reported on higher education's participation in the most recent budget cutback. Governor Jones has implemented the anticipated two percent reduction and has established a commission to review higher education. This Commission is anticipated to complete its work by December 21. Budget Cutback

A-7 President Boothe and Chairman Verst reported on the recent Higher Education Trusteeship Workshop. Chairman Verst noted that in some of the group session, only two people in the audience had more than five years experience as members of a governing board. The NKU Board was well represented at the Workshop. Trustee Workshop

A-8 Regent Zapp reported that the Embrace Opportunity Campaign was entering the foundations and business phase which was anticipated to generate over $1 million in cash contributions. The faculty/staff campaign has generated over $900,000 in cash and deferred gifts. The Regents campaign is still under way. Pavers are beginning to be sold. The three-year campaign is progressing well. Embrace Opportunity

Presidential Recommendations:

B-1 President Boothe recommended approval of the following academic personnel action: Academic Personnel

Administrative Appointments: Darrell Payne, Lecturer in Allied Health, Human Services, and Social Work and Assistant Dean of the College of Professional Studies, effective August 14, 1993

Faculty Appointments: Kevin Harris, Lecturer in the Art Department, effective August 14, 1993; Nancy Jentsch, Instructor in the Literature and Language Department, effective August 14, 1993; Trina L. Koscielicki, Instructor in the Allied Health, Human Services and Social Work Department, effective August 14, 1993; Lorena M. O'Donnell, Assistant Professor in the School of Education, effective August 14, 1993; Harriette Williams Richard, Assistant Professor in the Psychology Department, effective August 14, 1993.

Upon a motion by Regent Sparks, seconded by Regent Smith, the recommendation passed unanimously.

B-2 President Boothe recommended approval of the temporary faculty appointments for the 1993-94 academic year. Upon a motion by Regent Smith, seconded by Regent Crigler, the recommendation passed unanimously. Temp. Faculty

B-3-a President Boothe recommended the adoption of the Commercial Solicitation Policy and Procedures for inclusion in the Administrative - Governing Regulations. This policy governs those individuals or entities who wish to engage in commercial solicitation on the NKU Campus. Upon a motion by Regent Smith, seconded by Regent Mauldin, the recommendation passed unanimously.

B-3-b President Boothe recommended the revision of the administrative standing committee on Parking and Traffic Control. The revised committee, the University Transportation Committee, has both an expanded membership and an expanded purpose. Upon a motion by Regent Sparks, seconded by Regent Poston, the recommendation passed unanimously.

B-4-a & b President Boothe recommended authorization to enter into a lease agreement for the rental of 307 Johns Hill Road. This facility will be used as a residence for a visiting faculty member. Additionally, President Boothe recommended authorization to enter into a lease agreement for the rental of 510 Johns Hill Road. This facility will be used as a faculty residence. Upon a motion by Regent Smith, seconded by Regent Poston, the recommendations passed unanimously.

B-5 President Boothe recommended approval of a 10-foot easement for Cincinnati Gas and Electric. This easement is located along the property line of the Covington Campus. Regent Taliaferro recommended that the University investigate compensation for such easements. Upon a motion by Regent Taliaferro, seconded by Regent Zapp, the recommendation passed unanimously.

Upon a motion by Regent Smith, seconded by Regent Mauldin, the Board convened in executive session at 5 p.m. to discuss litigation and property. The Board reconvened in public session at 5:55 p.m.

Adjournment:

Upon a motion by Regent Sparks, seconded by Regent Crigler, the meeting adjourned at 5:56 p.m.

Carla S. Chance
Carla S. Chance, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on September 29, 1993, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

Agenda
Bond Sale Committee
Northern Kentucky University Board of Regents

Thursday, October 14, 1993
11:30 a.m. - AC 822

Call To Order

Agenda Items

1. Consolidated Educational Building Refunding Revenue Bonds, Series I

Adjournment

Bond Sale Committee Meeting
October 14, 1993

The Executive Committee, acting as the Bond Sale Committee of the Board of Regents of Northern Kentucky University, empowered by the Board to execute the sale of Consolidated Educational Building Refunding Revenue Bonds, Series I, met on Thursday, October 14, 1993. Members of the Committee are Chair William Verst, Vice Chair Alice Sparks, Secretary/Treasurer Robert Zapp. Chairman Verst called the meeting to order at 11:40 a.m.

Assistant Vice President for Business Affairs and University Treasurer, W. Michael Baker, introduced the guests who were attending the committee meeting: Greg Phillips, J.J.B. Hilliard, W.L. Lyons, Inc., who serves as the University's Fiscal Agent; and Russell Kerdolff, Director of Accounting and Budgetary Control.

Mr. Baker reported that the Series I Bonds were a refunding of Series E bonds which had refunded Series C. Series C Bonds were sold for the construction of the Albright Health Center.. Hilliard/Lyons was selected by the Commonwealth to serve as Financial Advisor. Mr. Baker served on the selection committee.

Mr. Baker reported that Northern Kentucky University's Con Ed Series has received a Standard & Poore's rating of A- and a Moody's rating of A. The Housing and Dining Series is currently under review and may be downgraded to BAA. This is still an investment grade rating.

The Commonwealth sold bonds to finance the Ceramics/Sculpture Project on October 6.

Mr. Greg Phillips reported on the sale of the Series I Bonds. There were six bidders in the sale. The Commonwealth will benefit from an approximate $500,000 savings from the refunding. The bidders were as follows:

Hilliard-Lyons, Inc.	4.7030%
Griffin, Kubik, Stephens & Thompson, Inc.	4.7190%
Morgan Keegan & Company	4.7194%
Prudential Securities, Inc.	4.7433%
Ross Sinclaire & Associates	4.7800%
Rodmen & Renshaw, Inc.	4.8279%

Mr. Baker received the bid of Hilliard-Lyons, Inc.

Upon a motion by Regent Sparks, seconded by Regent Zapp, the low bid of Hilliard-Lyons, Inc. was accepted.

The meeting adjourned at 11:55 a.m.

Carla S. Chance
Carla S. Chance, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the Bond Sale Committee meeting held on October 14, 1993, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

Agenda
Northern Kentucky University
Wednesday, November 10, 1993 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Approval of Minutes — September 29, 1993 Quarterly Meeting
October 14, 1993 Bond Sale Committee

Special Presentation: University Development

A. Presidential Reports
1. Grants and Contracts Quarterly
2. Campus Planning Report
3. Quarterly Financial Report
4. Higher Education Review Commission Update (to be distributed)
5. Embrace Opportunity (oral report)
6.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Temporary Faculty Appointments
3. Non-Academic Personnel Actions
4. University Organizational Chart
5. Section 127 Educational Assistance Plan
6. Series I Bond Sale Ratification
7. 1993-94 Operating Budget Adjustment
8. Foundation Property Lease/Purchase Agreement
9.

C. Regent Recommendations:
1. Annual Financial Report and Audit Committee Recommendations
2. 1994 Perseverance and Accomplishment Award
3.

D. Other
1. Quarterly and Special Meeting Schedule
January 26, 1994 (special)
March 23, 1994
May 4, 1994 (special)
July 27, 1994

2. Council on Higher Education Proposed Meeting Schedule
January 24, 1994
March 21, 1994
May 16, 1994
July 11, 1994
September 18-19, 1994 - Conference on Trusteeship
November 7, 1994

3. Upcoming Activities
November 16, 1993 - Higher Education Review Commission - Frankfort
November 19 and 20, 1993 - 5th/3rd Bank/Lions Tip-Off Classic
November 20, 1993 - Chase Centennial Banquet
December 3 and 4, 1993 - Perkins/NKU Classic
December 6, 1993 - 25th Anniversary Holiday Concert
February 11, 1994 - Alumni Awards Banquet
February 12, 1994 - Homecoming Games - NKU v Indianapolis
February 17, 1994 - Friends of Fine Arts Fundraiser

NOV 10 1993

Board of Regents Meeting
November 10, 1993

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:10 p.m. on Wednesday, November 10, 1993, by Chairman William G. Verst. The invocation was given by the Rev. Bill Ellis - Baptist Campus Minister.

Roll Call: Karen Bearden, Frank Downing, Rosetta Mauldin, James Poston, Nathaniel Smith, Philip Taliaferro, William Verst, Robert Zapp

Others present: President Leon E. Boothe, Jessica Bailey, Elzie Barker, Sheila Trice Bell, Paul Gaston, Peter Hollister, Dennis Taulbee, Jim Alford, David Arnold, Mike Baker, Ernest Britton, Thomas Comte, Sonda Cummins, Paul Dierig, Nancy Firak, Jack Geiger, Thomas Isherwood, Russell Kerdolff, Bill Lamb, Jerry Legere, Becky Myers, Charles Pettit, Norleen Pomerantz, Richard Rankin, Mary Rauen, Janis Reynolds, Mary Ryan, Angela Schaffer, Rosemary Schlachter, David Short, Amy Stephens - The Northerner, Alan Thomas, Paul Wingate, Marian Winner

The minutes of the regular quarterly meeting of September 29, 1993 were approved upon a motion by Regent Downing, seconded by Regent Mauldin.

The minutes of the Bond Sale Committee meeting of October 14, 1993 were approved upon a motion by Regent Smith, seconded by Regent Bearden.

Chairman William G. Verst read a recent letter from Nancy Boothe thanking the Board of Regents for the presentation of the 1993 Perseverance and Accomplishment Award. Regent Taliaferro, who had conceived the idea for the award, and all members of the Board were presented with a photo memento.

Vice President for University Relations and Development, Peter H. Hollister, presented an overview of University Development with an emphasis on the Fund for Northern and the Capital Gifts Campaign. Mr. Hollister reviewed the growth of the Fund for Northern since 1982 and discussed the operating philosophy and process in generating private support for Northern Kentucky University.

Presidential Reports:

Before commencing the report process, President Boothe recognized the 1993 Regents Distinguished Service Recipients Becky Myers and Janis Reynolds. Ms. Myers is a twenty-one year employee of Northern Kentucky University employed in the Office of the Budget. Ms. Reynolds is a service representative in the Office of the Registrar. Both employees have demonstrated excellent attitudes and have made significant contributions to the benefit of the University. — 1993 Regents Award Recipients

A-1 President Boothe presented the Grants and Contracts quarterly report. This recently revised report contains no reporting procedures for the 1994 fiscal year. Subsequently, some of the activities reported show a slight decline. — Grants Contracts

A-2 President Boothe reported on the campus planning progress report indicating that work in the Library Expansion project continues with an anticipated completion of June 1994. The initial design meeting on the Ceramics/Sculpture Facility is scheduled for mid-November. — Campus Planning

A-3 The Financial Quarterly Report indicated that all financial information is in order. Financial Quarterly

A-4 President Boothe reported that the Governor's Higher Education Review Commission had met for the first time on September 21. The focus of the Commission appears to be narrowing and much emphasis is to be place on efforts to prove that Kentucky tax dollars are well spent in higher education. The Commission anticipates completing its work on December 21. The final report will address an update of university mission statements and a review of duplication of programs. Additional information will be available as the Commission continues meeting. HERC

A-5 Regent Zapp reported that the Embrace Opportunity Campaign has achieved at total of $6.4 million in commitments and pledges with seven major gifts still pending. Additionally, the paver project is now underway with sale of the pavers anticipated in the very near future. Embrace Opportunit

Upon a motion by Regent Bearden, seconded by Regent Zapp, the Board convened in Executive Session at 4:45 p.m to discuss pending litigation. The Board reconvened in public session at 6 p.m. Exec Session

Regent Downing moved that the Board authorized the administration to proceed with the acquisition of three priority one parcels discussed in executive session and that the authorization should include eminent domain authority if necessary in accordance with KRS 164.410 and 164A.575(6). Upon a second by Regent Zapp the motion carried. Prop Acq.

Regent Downing moved that parcels 37, 38, 39, and 40 identified in the Land Acquisition Study, May 1992, be reprioritized as Priority One parcels. Upon a second by Regent Bearden the motion carried. Prop Acq.

Presidential Recommendations:

B-1 President Boothe recommended approval of the following academic personnel actions: Academic Actions

Faculty Appointments: Claude Henschel, Assistant Professor in the Department of Technoloyg, effective August 14, 1993.
Upon a motion by Regent Sparks, seconded by Regent Smith, the recommendation passed unanimously.

Leaves, Paid/Unpaid: Phyllis Sholtys, Assistant Professor in the Technology Department, unpaid leave with benefits effective January 1, 1994 through May 14, 1994.

Upon a motion by Regent Smith, seconded by Regent Mauldin, the recommendation passed unanimously.

B-2 President Boothe recommended approval of the following temporary faculty appointments for the 1993-94 academic year: Tim Stepp, Lecturer in Physics and Geology; Charles Ginn, Lecturer in Management and Marketing, David A. Reisman, Lecturer in Legal Programs. Upon a motion by Regent Mauldin, seconded by Regent Zapp, the recommendation passed unanimously. Temp Faculty

B-3 President Boothe recommended approval of the non-academic personnel actions for the period July 1, 1993 through September 30, 1993. Upon a motion by Regent Bearden, seconded by Regent Mauldin, the recommendation passed unanimously. Non-acad Actions

B-4 President Boothe recommended that the revised organizational chart reflecting organizational changes occurring during the past fiscal year be approved. Upon a motion by Regent Bearden, seconded by Regent Poston, the recommendation passed unanimously. Org. Chart

B-5 President Boothe recommended that the Board amend the language to the University's Section 127 Educational Assistance Plan to permit University employees to take graduate-level courses as a tax exempt benefit. Upon a motion by Regent Taliaferro, seconded by Regent Mauldin, the recommendation passed unanimously. Sec. 127 Ed. Plan

B-6 President Boothe recommended the adoption of the resolution ratifying the actions of the Bond Sale Committee and confirming the sale of Consolidated Educational Buildings Refunding Revenue Bonds, Series I. Upon a motion by Regent Zapp, seconded by Regent Poston, the recommendation passed unanimously. Series I

B-7 President Boothe recommended that the 1993-94 operating budget be amended downward to reflect the reduction in state appropriation. This reduction amounts to approximately two percent of the state appropriation. Upon a motion by Regent Zapp, seconded by Regent Downing, the recommendation passed unanimously. 93-94 Operating

B-8 President Boothe recommended that the Board of Regents authorize the University administration to enter into a lease agreement with option to purchase with the Northern Kentucky University Foundation for the acquisition of 5 Clearview Drive. The property would subsequently be subleased to Mr. and Mrs. Greg Uthe. Upon a motion by Regent Bearden, seconded by Regent Taliaferro, the recommendation passed unanimously. 5 Clearview

Regent Recommendations:

C-1 President Boothe addressed the highlights of the President's Annual Report with an emphasis placed on the dedication of the Residential Village. The year's activities included the appointment of Vice Presidents Jessica Bailey and Paul Gaston and the appoint of Dean David Short. In the spring of 1992 the University implemented the On-line Interactive Education System (Ole') which permits more flexible and convenient educational opportunities. International exchange programs and study abroad programs have enhanced the international prospective of the institution. A highlight of the Chase Centennial was the Siebenthaler Lecture delivered by Associate Justice Antonin Scalia. This year of celebration included the kick-off of the Embrace Opportunity Capital Campaign which was anchored by the Greaves gift. Pres Report Annual Rep.

External auditor, Richard Rankin, reported on the October 27 meeting with the Board's Audit Committee and indicated that the external auditors had conducted a full audit and had rendered a clean opinion. The University's financial statements fairly present the University's financial position. The management letter which will be shared with the Board in the future is a

means for the external auditors to provide constructive criticism. Mr. Rankin noted that his experience includes twenty-five years of working with the Board of Regents of Northern Kentucky University and that during that time he had always found the Board and University personnel to be receptive to any suggested improvements. Upon a motion by Regent Zapp, seconded by Regent Smith, the President's Annual Report and the Annual Financial Report were approved unanimously.

C-2 Upon a motion by Regent Taliaferro, seconded by Regent Zapp, the Board voted to rename the Perseverance and Accomplishment the Nancy J. Boothe Award. The motion passed unanimously. The award process was revised to provide that the "award may be presented once . . . ." Board Chairman William Verst asked for volunteers to serve on the 1994 Nancy J. Boothe Award Selection Committee. Regent Phil Taliaferro will chair the committee comprised of Regents Bearden, Poston, and Smith.

Nancy J. Boothe Award

Adjournment:

Upon a motion by Regent Mauldin, seconded by Regent Bearden, the meeting adjourned at 6:15 p.m.

Carla S. Chance
Carla S. Chance, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on November 10, 1993, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

Revised Agenda
Northern Kentucky University
Wednesday, January 26, 1994 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Approval of Minutes November 10, 1993 Quarterly Meeting

Special Presentation: Higher Education Review Commission

A. Presidential Reports
1. Grants and Contracts Quarterly
2. Campus Planning Report
3. Quarterly Financial Report
4. Final Fall Enrollment Report (to be distributed)
5. Preliminary Spring Enrollment Report (to be distributed)
6. 1994 Summer Fellowships
7. 1994-95 Faculty Project Grants
8. 1993 Alumni Award Recipients
9. A-133 Audit Report
10. Embrace Opportunity (oral report)
11.

B. Presidential Recommendations
1. Academic Personnel Actions
2. 1994-95 Sabbatical Leaves
3. Non-Academic Personnel Actions
4. Administrative Personnel Actions
5. Lease with Option to Purchase Agreement - 412 JHR
6. 1994-95 Tuition Schedule
7. 1994-95 Fees Schedule
8. 1993-94 Operating Budget Adjustment
9. Changes to Student Government Constitution
10.

C. Other
1. Quarterly and Special Meeting Schedule
March 23, 1994
May 4, 1994 (special)
July 27, 1994

2. Council on Higher Education Proposed Meeting Schedule
January 24, 1994 - Lexington, Kentucky
March 21, 1994 - Highland Heights, Kentucky
May 16, 1994 - Lexington, Kentucky
July 11, 1994
September 18-19, 1994 - Conference on Trusteeship
November 7, 1994 - Louisville, Kentucky

3. Upcoming Activities
February 11, 1994 - Alumni Awards Banquet
February 12, 1994 - Homecoming Games - NKU v Indianapolis
February 17, 1994 - Friends of Fine Arts Fundraiser
February 23, 1994 - Black History Month Dinner
April 19, 1994 - Lincoln Dinner
May 14, 1994 - Commencement

JAN 26 1994

Board of Regents Meeting
January 26, 1994

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:10 p.m. on Wednesday, January 26, 1994 by Chairman William G. Verst. The invocation was given by the Rev. Harold Orndorf, Christian Student Fellowship.

Roll Call: Robin Crigler, Frank Downing, Rosetta Mauldin, James Poston, Nathaniel Smith, Alice Sparks, Philip Taliaferro, William Verst, and Robert Zapp.

Others present: President Leon Boothe, Jessica Bailey, Elzie Barker, Sheila Trice Bell, Carla Chance, Paul Gaston, Peter Hollister, Jim Alford, Dave Arnold, Mike Baker, Ernest Britton, Sonda Cummins, Stacey Durbin, Nancy Firak, Diane Goetz, Michael Hawkins, Katie Heywood, Tom Isherwood, Bill Lamb, Jerry Legere, Chuck Pettit, Mary Ryan, Rosemary Schlachter, Kenneth Smith, Amy Stephens of The Northerner, Donald Straub, Deborah Vance of the Kentucky Post, Paul Wingate, and Linda Wright.

Upon a motion by Regent Crigler, seconded by Regent Zapp, the Board convened in Executive Session at 4:20 p.m. to discuss a personnel matter. The Board reconvened in public session at 4:45 p.m.

The minutes of the November 10, 1994 meeting were presented. Regent Sparks requested a correction: B-1, page 2 - Motion was made by Regent Taliaferro instead of Regent Sparks. The corrected minutes were then approved upon a motion by Regent Sparks and second by Regent Smith.

President Boothe reported on the meetings of the Higher Education Review Commission. Chairman Verst and Regent Sparks were part of the Commission membership, Paul Gaston and Elzie Barker served as liasons. Three important areas covered were: Mission Statement, Performance Funding, and Duplication of Programs. A copy of the Refined Mission Statement for Northern Kentucky University was distributed to each Regent. There will be some programs eliminated or consolidated effective Fall 1994. It appears that the status of Kentucky's three law schools remain unchanged. Chairman Verst noted that a lot of work, dedication, and time was expended in these meetings, but felt it was a worthwhile exercise. Regent Sparks said she felt this was a beginning of opening door to much dialog.

Presidential Reports:

A-1 Grants and Contracts quarterly report presented by President Boothe showed a decrease which he attributed to tighter funding. He further noted that applications were very diversified.

A-2 President Boothe reported on campus planning projects noting that bad weather has caused delays on the library expansion. Regent Downing asked about the number of change orders causing an over run of about 1-1/2%. Mary Paula Schuch explained what caused much of the over run problems.

The President also spoke on the closure of the University this past week. In addition to the bad road conditions, the gas company had requested a cut back on power. This was accomplished by switching to oil (alternative back-up system). President Boothe praised the staff who worked throughout the week - grounds crew, public safety, and staff in residential village. Carla Chance also stated that several administrative affairs staff were present to see that payroll was available for the staff and students upon return and that financial aid checks were available for the students on the dates promised.

A-3 The quarterly financial reported indicated that revenue and expenditures are in line with budget projections.

A-4 The final enrollment figures reported to the Council on Higher Education for Fall semester, 1993 were presented. The President noted that full time equivalent enrollment increased 2.6% over the previous year.

A-5 The President reported on the preliminary enrollment figures for Spring, 1994 stated that enrollment increases were somewhat lower than anticipated. He said he felt it was due to economy and bad weather on registration days. One statistic of interest was a 26.8% increase in Afro-American students over last Spring.

A-6 A list of 1994 Faculty Summer Fellowship Awards were presented by the President. These awards are given to encourage faculty to engage scholarly writing, creative and artistic projects, and individual research which are all opportunities for improvement in the faculty member's particular field. The awards are given after being selected by a review committee of peers and presented to the Provost.

A-7 Also presented were a list of Faculty Project Grants for independent research and provides funds for equipment and activities that are not available through program budgets. The selection is made in the same manner as fellowship awards.

A-8 President Boothe called attention to the Alumni Council selection of Alumni Award recipients to be recognized at the Alumni Banquet on February 11. Besides alumni, the awards also include professers who have had strong influence with alumni when they were students. The President invited the Regents to attend.

A-9 The A-133 Audit of the University's federally funded programs was presented. President Boothe noted that were favorable with no major weaknesses noted. The President said he was proud of the work of the University business staff.

A-10 Regent Zapp gave an oral report on Embrace Opportunity stating that the solicitation of the Northern Kentucky business community just kicked off, the Cincinnati business division will kick off in April, and the Faculty/Staff portion is nearly the $1 million mark. The total stands at approximately $7 million.

Presidential Recommendations:

B-1 President Boothe recommended that Stephanie J. Jones be given an unpaid leave, without benefits in order for her to serve as the Principal Representative of the Secretary of Education for a six-state region. It is a great honor for the University to have one of its faculty selected by President Clinton. The recommendation received unanimous approval on motion by Regent Taliaferro and second by Regent Smith. Special Leave

B-2 The President recommended that 22 faculty (list attached to these minutes) receive sabbatical leave for the 1994-95 academic year. He noted that our Faculty Regent was on the list. Sabbaticals are granted by the University to promote the professional growth and effectiveness of the faculty. A review committee of peers did the selection and made recommendations to the Provost. Upon a question, it was stated the individuals on sabbaticals receive full pay for one semester or one-half pay for a full academic year. Upon motion by Regent Crigler, and second by Regent Smith, the recommendation passed unanimously. Sabbatical Leaves

B-3 A list of staff new hires as well as terminations were presented to the Board by the President. (List attached to these minutes.) The recommendation received unanimous approval on motion by Regent Sparks and second by Regent Zapp. Staff Personnel

B-4 President Boothe recommended the following actions to the Board: That Dennis Taulbee be granted paid medical leave effective November 22, 1993, and that Carla Chance be appointed Acting Vice President effective same date. The President presented a further Resolution as follows: D. Taulbee

> It is hereby resolved that the Board of Regents of Northern Kentucky University accept Dennis L. Taulbee's resignation and accept the President's recommendation that Mr. Taulbee become the Special Assistant to the President. Mr. Taulbee's appointment shall be effective February 1, 1994 through August 31, 1994.

Upon a motion by Regent Downing, and second by Regent Sparks, the recommendation was passed with 8 aye votes and 1 abstention by Regent Talaiferro.

B-5 It was recommended by the President that the Board of Regents authorize the University to enter into a lease agreement with option to purchase with the Northern Kentucky University Foundation for the property at 412 Johns Hill Road. Regent Zapp made the motion to accept the recommendation as this acquisition concides with the Master Plan. The motion, seconded by Regent Sparks, was passed by unanimous vote. Lease with Fdn.

B-6 The tuition schedule effective with Fall, 1994 was presented to the Board by the President. It was stated that the rates had been mandated by the Council on Higher Education, but that the Regents did need to ratify. The motion to ratify was made by Regent Downing and seconded by Regent Zapp. The result of the vote was 8 aye votes and 1 nay vote. Regent Smith stated that he could not vote for the increase and said he was happy to learn that the Governor had asked that there not be another increase in tuition during the biennium. Tuition Schedule

B-7 President Boothe, in presenting a comprehensive fee schedule for ratification, stated that increases were kept to a minimum. Before the schedule was presented, the administration had worked very closely with the students to find common ground and reasoning for any of the increases. The fee schedule recommendation received unanimous approval on motion by Regent Smith and a second by Regent Sparks. Fee Schedule

B-8 It was recommended by the President that the 1993-94 Operating Budget be amended upward to reflect revenues from coin-operated machines and other miscellaneous revenue for a total of $77,531. The motion to approve the recommendation was made by Regent Zapp, seconded by Regent Crigler, and passed by unanimous vote. 93-94 Budget

B-9 President Boothe submitted modest changes to the Student Government Constitution and asked them to be approved. The motion for approval was made by Regent Smith and second by Regent Zapp. The motion received unanimous approval. Student Govt. Constitution

President Boothe called attention to several upcoming activites urging the Regents attendance if possible. The listing appears on the agenda. Regent Smith extended an invitation to the Kentucky Board of Student Body Presidents at the Holiday Inn, Capital Plaza, on February 15. Regent Taliaferro announced that a mailing would soon go out to seek nominations for the 1994 Nancy J. Boothe Award. Regent Sparks said that she had attended the Cincinnati Women's Club Meeting today at which Professor Westland and his student performed. There were many compliments on the performance.

On motion by Regent Smith and second by Regent Zapp, the meeting was recessed at 5:30 p.m. to discuss pending litigation and property acquisition.

The meeting reconvened at 6: 30 p.m.

Adjournment:

On motion by Regent Downing and second by Regent Smith, the meeting adjourned at 6:40 p.m.

Dolores Thelen,
Acting Assistant Secretary

Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on January 26, 1994, and that such matters are still in force and effect.

Robert W. Zapp, Secretary

The March meeting of the Northern Kentucky University Board of Regents will be preceded by a preview breakfast on Monday, March 21, 8 a.m., in the Presidential Dining Room.

Agenda
Northern Kentucky University
Wednesday, March 23, 1994, - 4 p.m.
Regents Board Room - Administrative Center

Call to Order

Roll Call and Welcome

Approval of Minutes — January 26, 1994

Special Presentation: — KERA Participation
Dean Tom Isherwood

Executive Session 4 - 5 p.m.

A. Presidential Reports

1. SACS Accreditation Update
2. Embrace Opportunity (oral report)
3. Staff Regent Legislation

B. Presidential Recommendations

1. Academic Personnel Actions
2. Temporary Faculty Appointments
3. Promotion, Tenure, Continued Probation, Continued Probation with Difficulties, and Terminal Reappointment
4. Administrative Appointments
5. Undergraduate Tuition Reciprocity Agreement
6. Audit Committee Recommendation (to be distributed)
7. Naming of Facilities & Endowments Recommendations (to be distributed)
8. 1993-94 Operating Budget Adjustment
9.

C. Other

1. Quarterly and Special Meeting Schedule
   May 4, 1994 (special)
   July 27, 1994

2. Council on Higher Education Proposed Meeting Schedule
   May 16, 1994 - Highland Heights, Kentucky
   July 11, 1994
   September 18-19, 1994 - Conference on Trusteeship
   November 7, 1994 - Louisville, Kentucky

3. Upcoming Activities
   March 25, 1994 - Siebenthaler Lecture
   April 2, 1994 - Black Faculty/Staff Awards Banquet
   April 19, 1994 - Lincoln Dinner
   April 26, 1994 - Northern Kentucky Outstanding Women Luncheon
   May 1 and 2, 1994 - AASCU Meeting at NKU
   May 14, 1994 - Commencement
   September 1, 1994 - Cincinnati Pops Concert
   October 6, 1994 - Staff Appreciation Luncheon

MAR 23 1994

BOARD OF REGENTS MEETING
March 23, 1994

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, March 23, 1994 by Vice Chair Alice Sparks. The invocation was given by Father John Cahill, Director of Catholic Newman Center. Chair William Verst was absent because of illness and confinement in a hospital in Florida. Prayers and wishes for a speedy recovery were extended to him.

Roll Call: Karen Bearden, Robin Crigler, Frank Downing, Rosella Mauldin, James Poston, Nathaniel Smith, Alice Sparks, Philip Taliferro, and Robert Zapp.

Others present: President Leon Boothe, James Alford, Marjorie Artzer, Mike Baker, Elzie Barker, Sheila Trice Bell, Ernest Britton, John Cahill, Carla Chance, Tom Comte, Sonda Cummins, Sandra Easton, Ron Ellis, Paul Gaston, Peter Hollister, Tom Isherwood, Jerry Legere, Jane Meier, Rick Meyers, Mary Rauen, Paul Reichardt, Rosemary Schlachter, Don Stepner, Dolores Thelen, Stephen Walker, Marian Winner, Linda Wright, Debra Vance of the Kentucky Post, Amy Stephens and Eric Caldwell of The Northerner.

The minutes of the January 26, 1994 minutes were presented and approved on motion by Regent Smith and second by Regent Crigler.

Associate Provost Sandra Easton, representing the Northern Kentucky Convention and Visitors Bureau, was introduced and presented a beautiful crystal obelisk to the President and Vice Chair Sparks in recognition of the University's 25th Anniversary.

Upon a motion by Regent Taliferro, seconded by Regent Mauldin, the Board convened in Executive Session at 4:10 p.m. to discuss legal and personnel matters. The Board reconvened in public session at 6 p.m. Exec. Session

Tom Isherwood, Dean of Professional Studies, was introduced to the Board. After giving an overview on KERA participation by the University, he introduced Professors Marjorie Artzer and Stephen Walker both of whom gave an informative presentation about the program and the involvement of NKU faculty and students. Regent Sparks complimented the group and said she felt the new teachers graduating from NKU's education program will help maintain the intregrity of the KERA program. President Boothe thanked the group and said that he has received very much praise throughout the area for the teachers from the University's education program.

Presidential Reports:

A-1 President Boothe highlighted the Accreditation Report that is attached to these minutes. Accred-itation Report

A-2 Reporting on the Capital Fund campaign, Regent Zapp indicated that it is half way to the challenge goal. The campaign in the Northern Kentucky area has done well and the campaign in the Cincinnati area is getting underway. Capital Fund

It was announced by the President that Rosemary Schlachter is terminating from the University and will be replaced by William Nester who has recently retired from University of Cincinnati and is well experienced in this area. Ms. Schlachter was complimented for the excellent job at Northern on the campaign.

A-3 The President announced that legislation was passed providing for a staff regent at the regional universities in Kentucky. Procedures will be established for the election of a staff regent to be effective in 1994. Staff Regent

Presidential Recommendations:

B-1 Several academic personnel leaves and resignations were presented to the Board (attached to these minutes). The recommendtion was approved on motion by Regent Zapp and second by Regent Bearden. Academic Personnel

B-2 It was recommended that Steve Kottsy and Ruth Champion receive temporary faculty appointments for the Spring semester. Approval was given on motion by Regent Downing and second by Regent Bearden. Temp. Faculty

B-3 The following recommendations were made as follows: Tenure & Promotion

Promotion: To Professor:

Michael Ryan — Carolyn Hagner
Sharlotte Neely

To Associate Professor:

Hilary W. Landwehr	Danny Miller
Richard J. Cook	Ray Enzweiler
Paul Knepper	Jeffrey M. Smith
Yasue Kuwahara	Bradford Scharlott
Janis Taylor	Mary F. Kirk
Lynne A. Smith	Stephen Walker
Wendy D. Wood	

Tenure:

Hilary W. Landwehr	Danny Miller
Richard J. Cook	Ray Enzweiler
Paul Knepper	Jeffrey M. Smith
Yasue Kuwahara	Bradford Scharlott
Janis L. Taylor	Mary F. Kirk
Lynne A. Smith	Stephen Walker
Wendy D. Wood	

Continued Probation:

Christina de Almeida	Laurie Blair	Steven Gores
Steven McCarthy	Steven Finke	Barbara Klaw
Diana McGill	Robert Naczi	Thomas Leech
John J. Metz	Karlyn Schnapp	David Agard
John Alberti	Robert Wilcox	Robert England
Gisele Loriot-Raymar	Paige Byam	David Potter
	Chenliang Sheng	Perilou Goddard

Continued Probation (continued)

Blas Puente-Baldoceda	Harriette Richard	Barbara Arrighi
Prince Brown	Rudy Garns	Andrew Kipnis
Stephen Richards	Kristen Yount	Steven Chicurel
Gretchen Sears	Ronald Shaw	Paul Schloemer
Deepak Khazanchi	Fred Beasley	Marian Lawson
Matthew Shank	Diane Gronefeld	Sarah Steinman
Robert Langenderfer	Trina Koscielicki	Durrell Hamm
Russell Jenisch	John Moynahan	Russell Proctor
Valerie Amburgey	Marjorie Artzer	Susan Cortez
Lorena O'Donnell	Charles Jackson	Alar Lipping
Elizabeth Lorenzi	Cheryl McKenzie	Joy Churchill
Niaz Latif	Phyllis Sholtys	Lewis Workman
Michael Flannery	Stephanie Jones	Carol Furnish
Sharlene Lassiter	Jennifer Ryan	

Continued Probation with difficulties:

Philip Paradis	Leon Arriola	Clinton Hewan
Terry Powell	Carol Gaumnitz	Samuel Zachary
Keith Barton	Phyllis Wells	Claude Henschel
Donald Kazee		

For Terminal Reappointment:

Ali Farazmand	Joseph Akbary

The above recommendations were approved on motion by Regent Poston and second by Regent Taliferro.

B-4 Recommendation for the following administrative appointments: Admin. Appointments

Resignation: Jerry Legere effective 3/25/94

Reassignment: Norleen Pomerantz to Acting Registrar and Assistant Vice President for Student Development

Appointment: Joseph Wind, Special Assistant to President from 2/15/94 to 4/15/94

Approval was given on motion by Regent Smith and second by Regent Mauldin.

B-5 President Boothe presented a modification to the exiting tuition reciprocity agreement for undergraduates (copy attached). Regent Smith made a motion that the modification be approved. The motion, seconded by Regent Bearden, was unmanimously approved. Tuition Reciprocity

B-6 The Board of Regents Audit Committee recommends that the contract for auditing the University's financial records for the fiscal year ending June 30, 1994 be awarded to Deloitte and Touche. The annual contracted cost will be $32,800. The contract will allow for three one-year renewable options. The Audit Committee also recommends a four-year rotational requirement for future audit contracts. Regent Zapp, Chair of the audit committee, stated that the Regents Committee met on March 16 to review the work completed by the administrative audit review committee. The review made recommendation of three firms for future review by the Regents committee. After the review by the Regents Committee, the above recommendation was made. Regent Zapp said that the Regents appreciate all of the help of the previous auditors, Rankin & Rankin, has given the University over the past 26 years. Because of budget cuts and lack of additional state Audit Contract

appropriations he said he felt it was their duty to accept the proposal of the lowest bidder of the three acceptable firms. The chair called for a vote on the recommendation to employ Deloitte and Touche. Result: 8 aye votes and one nay vote registered by Regent Taliferro. The recommendation was passed by majority vote.

The chair called for a vote on the recommendation to establish a four-year rotation for the selection of an external audit firm. The recommendation was passed unanimously.

B-7a It was recommended that individual seats in both the University's Greaves Concert Hall and the Main Stage Theatre be available for naming in honor of donors on receipt of a minimum gift of $1,000 designated to a program or programs within the fine arts area. The recommendation received approval on motion by Regent Mauldin and second by Regent Zapp. **Naming of Facilities**

B-7b A recommendation was made that certain rooms in Nunn Hall which serves students of the Chase College of Law be assigned a name, to be approved by the Dean of the College and the President of the University, and recognize certain attorneys who make a joint gift to the College of $50,000. The recommendation received approval on motion by Regent Crigler and second by Regent Poston.

B-7c It was recommended that the Northern Kentucky University building now known as the Administrative Center, be named the "_____ Administrative Center" in honor of (candidate A). Candidate A will be named at a later date in consistent with the policy. The recommendation received approval on motion by Regent Smith and second by Regent Zapp.

B-8 President Boothe recommended that the 1993-94 Operating Budget be amended upward from $62,657,531 to $63,351,003 to reflect proposed budgeted revenue activity since December 31, 1993. Most of the additional revenue was reflected in tuition and fees, according to the President. The recommendation was approved on motion by Regent Bearden and second by Regent Downing. **Operating Budget Adjust-ment**

The President called attention to special dates listed on the agenda and invited the board members to attend whenever possible.

Vice Chair Sparks announced that while many capital construction projects were cut by the legislature, the $700,000 for land acquisition by NKU still remained in the budget. She thanked President Boothe and Carla Chance for the many hours they have spent in Frankfort on behalf of the Board, students, faculty, and staff of this University. The President thanked Regent Sparks for all of her efforts in Frankfort and throughout the community.

Regent Taliferro thanked Rosemary Schlachter for all of the excellent work on Embrace Opportunity and recommended that a certified copy of the minutes be presented to her. The motion, seconded by all Regents, was unanimously passed.

On motion by Regent Bearden and second by Regent Smith, the meeting was adjourned at 6:50 p.m.

Dolores Thelen,
Acting Assistant Secretary

Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on March 23, 1994, and that such matters are still in force and effect.

Robert W. Zapp, Secretary

Revised Agenda
Northern Kentucky University
Wednesday, May 4, 1994 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Oath of Office - Faculty Regent - J. Michael Thomson

Roll Call and Welcome

Approval of Minutes March 23, 1994 Quarterly Meeting

Special Presentation: Fran Zaniello - Learning Assistance

A. Presidential Reports
1. Grants and Contracts Quarterly
2. Campus Planning Report
3. Quarterly Financial Report
4. Final 1994 Spring Enrollment
5. University-wide Student Awards
6. Administrative Regulations - Governing Regulations
   a. Vehicle Utilization Policy
7. Embrace Opportunity (oral)
8.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Regents Professorship
3. Non-Academic Personnel Actions
4. Personnel Policies & Procedures Manual (Redbook)
   a. Staff Regent Selection Process (distribute at meeting)
   b. Temporary Disability/Medical Leave
5. Land Acquisition Ratification
6. 303 Johns Hill Road Lease Agreement
7. Bad Debt Write-off
8. 1994-95 Operating Budget Recommendation
9. Nancy J. Boothe Award Selection Committee (distribute at meeting)
10.

C. Other
1. Quarterly and Special Meeting Schedule
   July 27, 1994

2. Council on Higher Education Proposed Meeting Schedule
   May 15, 1994 - CHE Reception and Dinner - NKU
   May 16, 1994 - CHE Meeting - NKU
   July 11, 1994 - To be scheduled
   September 18-19, 1994 - Conference on Trusteeship
   November 7, 1994 - Louisville, Kentucky

3. Upcoming Activities
   May 1, 1994 - Greater Cincinnati & Northern Kentucky Women's Sports Banquet - Bonnie Blair
   May 1, 1994 - AASCU Reception
   May 11, 1994 - Foundation Annual Meeting
   May 14, 1994 - Commencement
   June 22, 1994 - President's Annual Golf Outing
   June 23 & 29, 1994 - Foundation Summer Dinner Theatre
   August 24, 1994 - State of University Address
   September 1, 1994 - Cincinnati Pops Concert
   - Founders' Day Barbecue
   - Lucas Dedication
   October 6, 1994 - Staff Awards Luncheon

MAY 04 1994

BOARD OF REGENTS MEETING
May 4, 1994

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, May 4, 1994 by Vice Chair Alice Sparks. The invocation was given by Sister Janet Carr from the Newman Center. Regent Sparks announced that the Chair, Bill Verst, was recuperating at home.

Outgoing Faculty Regent Rosetta Mauldin and Student Regent Nathan Smith were honored for their services on the Board of Regents. Each received a plaque commemorating their participation.

New Faculty Regent, Michael Thomson, was welcomed to the Board and was given the Oath of Office.

Roll Call: Robin Crigler, Frank Downing, James Poston, Nathan Smith, Alice Sparks, Philip Taliferro, and Michael Thomson. Excused - Karen Bearden, William Verst, and Robert Zapp.

Others present: President Leon Boothe, Jessica Bailey, Elzie Barker, Sheila Trice Bell, Ernest Britton, Carla Chance, Sandra Easton, Paul Gaston, Peter Hollister, James Alford, Mike Baker, Lisa Becker, Bernadette Billing, Janet Carr, Sonda Cummins, Stacey Durbin, Nancy Firak, Larry Giesmann, Mary Jennings, Miriam Kannan, Vicki Kier, Bill Lamb, Rosetta Mauldin, Bob Neumann, William Nester, Mary Ryan, Mary Paula Schuh, J.Douglas Smith, Jay Stevens, Debra Vance, Marian Winner, and Fran Zaniello.

The minutes of the March 23, 1994 meeting were presented. The recommendation B-7b was corrected as follows: Fourth sentence - and recognize a joint gift by 5 attorneys at $10,000 each for a total of $50,000. The minutes were approved with the correction.

The President recognized Associate Provost Sandy Easton. She spoke briefly about the University 101 courses and introduced Fran Zaniello from the Learning Assistance Center who directs the program for UNV 101 courses. Ms. Zaniello gave a fine presentation describing the program, who teaches, how it operates, impact of the course on incoming Freshmen, and how it has affected the retention rate for these Freshmen. President Boothe applauded Fran and all who teach the courses and spoke on this positive Freshman experience as being critical in their education.

President Boothe welcomed Michael Thomson to his first meeting as Faculty Regent. He also introduced William Nester who is now heading up the Embrace Opportunity Capital Campaign.

PRESIDENTIAL REPORTS

A-1 In reporting on Grants and Contracts, the President noted that while the dollars of awards decreased, the number of awards had increased. The number of requests being made have increased. Grants and Contract

A-2 The President highlighted the written Campus Planning Report, noting that the library expansion project is coming to a close and is six months behind schedule. The athletic field planning has begun. Campus Planning

A-3 The quarterly financial statement was presented. President Boothe stated that expenses and revenues are on target. He complimented the business office staff for outstanding work. Quarterly Financial Report

A-4 The final enrollment figures for Spring 1994 as reported to the Council on Higher Education were announced. While enrollment statistics are flat, Northern maintained a more positive enrollment level than other Kentucky institutions. Final Spring Enrollment

A-5 President Boothe announced the University-wide Student Awards and introduced the students who were present as follows: Student Awards

Regents Award	Bernadette Billing
President's Award	Mary Jennings
Paul J. Sipes Award	Lisa Becker
Univeristy Service Award	Stacey Durbin
Excelsior Award	Jeffrey Wilson
Faculty Senate Award	Vicki Martin Kier

A-6 The President presented the Vehicle Utilization Policy and Procedure stating that a few revisions were made to incorporate regulatory and statutory changes. Changes were minimal. Vehicle Utilization

A-7 An oral report was given on the Embrace Opportunity Campaign. The President noted that the Cincinnati phase is shaping up because of securing some very strong individual leadership from the Cincinnati area. Embrace Opportunity

Regent Taliaferro questioned the status of the "bricks" that are to be sold. Since there has not been a lot of response, he suggested several areas of marketing in order to make a more active campaign.

Before continuing with recommendations, President Boothe stated that he wanted the Regents to be aware of graduation activities on May 14. The College of Professional Studies has such a large graduating class that tickets were exhausted in a short time. The ballroom and the theatre will be used for the overflow with televisions available to watch. He indicated that this reveals the shortage of space we have for larger activities.

PRESIDENTIAL RECOMMENDATIONS:

B-1 President Boothe announced the following appointments and asked for approval: Academic Personnel

Administrative:

Larry A. Giesmann, Professor of Botany and Acting Chair of the Department of Biological Sciences 5/15/93-8/15/94

Rogers W. Redding, Professor of Physics with tenure in the Department of Physics and Geology and Dean of the College of Arts and Sciences, eff. 7/1/94

Faculty:

Kevin Kirby, Assistant Professor in Department of Mathematics and Computer Science, eff 8/15/94

Yushi Li, Assistant Professor in the Department of Sociology, Anthropology, and Philosphy, 8/15/94

Leaves:

Sandra W. Easton, Assistant Professor of the Accountancy Department and Associate Provost, paid administrative leave, 7/1/94-12/31/94

Mary Ellen Elsbrend, Associate Professor of Steely Library, partial unpaid leave with benefits, 6/1/94-8/5/94

Resignations:

Sandra W. Easton, from Associate Provost to Assistant Professor of the Accountancy Department 6/30/94

Michael Flannery, Assistant Professor of Steely Library 4/20/94

The recommendations were approved on motion by Regent Smith and second by Regent Crigler.

B-2 President Boothe made a recommendation that James A. Ramage be awarded a Regent Professorship. The President praised Dr. Ramage as a fine academician and pointed out the extensive vita. Regent Poston, chair of the selection committee, said he was impressed with the scholarly commitment that our faculty has put into academic endeavors. The recommendation was approved on motion by Regent Poston and second by Regent Taliferro. Regents Professorship

B-3 The President recommended approval of non-academic personnel actions presented with report attached to these minutes. The recommendation was approved on motion by Regent Smith and second by Regent Downing. Non-academic Personnel

B-4 (a) President Boothe recommended the attached policy, Staff Regent Election Rules and Regulations, be appended to the Policy and Procedure Manual, in compliance with House Bill 154 as signed into law by Governor Jones on February 24, 1994. It was noted that a committee developed the policy based on House Bill 154. The recommendation was approved on motion by Regent Crigler and second by Regent Smith. Staff Regent Election

B-4 (b) It was recommended by the President that the Regents approve the attached revised Temporary Disability/Family and Medical Leave Policy for inclusion in the Personnel and Procedures Manual. It was noted that much time and work was put into this policy and it has been reviewed by legal counsel. The recommendation was approved on motion by Regent Thomson and second by Regent Taliferro.

Temporary Disability Family & Medical Leave

B-5 It was recommended that the Board of Regents, in accordance with the Board's approval of the Land Acquisition Study on May 6, 1992, ratify the acquisition of the following parcels:

245 Johns Hill Road - acquired 10/1/93 for $90,000
506 Johns Hill Road - acquired 10/28/93 for $115,800

The motion received approval on motion by Regent Downing and second by Regent Smith.

Land Acquisition

B-6 It was recommended that the Board of Regents authorize the President to enter into a lease agreement with option to purchase with the Northern Kentucky University Foundation for the property at 303 Johns Hill Road. On motion by Regent Smith and second by Regent Downing, the recommendation received approval.

Lease of property from Foundatio

B-7 The President recommended that the Board authorize the Assistant Vice President for Business Affairs/Treasurer to write off bad debts totalling $40,617.84. The President complimented the Business Affairs area, especially the Bursar Operations under the directorship of Bob Neumann, for the fine job of reducing bad debts over 100% from last year - $81,000 in 1993 to $40,000 in 1994. It was explained that when bad debits are written off, any individual involved will have a hold on their records. The motion by Regent Thomson and second by Regent Poston received approval.

Bad debt write-off

B-8 President Boothe recommended that the 1994-95 Operating Budget of the University be approved for a total of $65,500,000 and that the President be authorized to prepare a detailed budget within the general limit established by this recommendation. A detailed budget will be prepared and presented for ratification in July.

94-95 Operating Budget

The President noted that a state budget has not been passed, but an assumption was made that it will be passed with a 2% increase over last year. He stated that this was the tightest budget yet and even though the demands on the University increase. there is a lack of resources available. Only 1% of the budget has been put into reserves in order to stretch resources for other areas.

The motion to approve the budget was made by Regent Smith. It was seconded by Regent Taliferro and was passed.

In further discussion, it was noted that there will be a new formula funding program by the Council on Higher Education based on performance and there does not seem to be a clear picture at this time. It was further noted that Northern received the least per capita funding of any other state institutions with the exception of Community Colleges. A comparison was given that indicated Northern was funded at $2,689 for each full-time student as compared to $7,421 for Kentucky State. The next university was Eastern at $3,381. Formula Funding by CHE

There was discussion held whether there could be any legal recourse for more fund, such as the elementary schools have done. Regent Taliferro moved that the Board authorize University Legal Counsel to conduct a study of the legal avenues that could be pursued to address the issue of more equitable funding in higher education. A preliminary study will be presented at the July 27 meeting of the Board. The motion was seconded by Regent Downing and was passed by the Board.

The meeting recessed at 5:35 p.m. for Executive Session to discuss personnel, legal, and property matters. The motion was made by Regent Downing and seconded by Regent Thomson. Executive Session

The meeting reconvened at 7:35 p.m.

Regent Downing reported that the Board had gone over appraisals of the President's performance which indicated a positive evaluation point increase of 11.4% based on an unweighted format of 37 different traits of the President. Regent Downing moved that because of the positive evaluation the President receive a 3% salary increase for the following year. The motion, seconded by Regent Taliferro, was unanimously passed. Pres. Performance Evaluatio

B-9 It was recommended that Candidate A be named as the 1994 Nancy J. Boothe Award recipient. The motion passed with motion by Regent Thomson and second by Regent Downing. Nancy J. Boothe Award

Before adjourning, President Boothe called attention to various dates:

Council on Higher Education Meeting at Northern, May 15 & 16
Commencement - May 14
Annual Golf Outing - June 22
Foundation Summer Dinner Theatre - June 23 & 29
Next Board of Regents Meeting - July 27, 1994.

The meeting adjourned at 7:40 p.m. on motion by Regent Smith and second by Regent Downing.

Dolores Thelen,
Acting Assistant Secretary

Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its meeting held on May 4, 1994, and that such matters are still in force and effect.

Robert W. Zapp, Secretary

Board

Agenda
Northern Kentucky University
Wednesday, July 27, 1994 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Oath of Office - Student Regent - Paul M. Wingate
Staff Regent -

Roll Call and Welcome

Approval of Minutes May 4, 1994 Quarterly Meeting

Special Presentation - Overview of Administrative Affairs

Report of the Nominating Committee

A. Presidential Reports
1. Grants and Contracts Quarterly
2. Campus Planning Report
3. Quarterly Financial Report
4. Preliminary Summer Enrollment
5.
6.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Temporary Faculty Appointments
3. Emeritus Professor
4. Faculty Policies and Procedures Handbook
5. Program Terminations and Consolidations
6. Phased Resignation and Retirement Incentive Program
7. Non-Academic Personnel Actions
8. Administrative Personnel Actions
9. Athletic Field Master Plan
10. Demolition of House at 2626 Alexandria Pike
11. Lease of House at 506 Johns Hill Road
12. Master Lease Financing Resolution
13. 1993-94 Operating Budget Revisions
14. 1994-95 Operating Budget Recommendation
15.
16.

C. Other
1. Quarterly and Special Meeting Schedule
September 28, 1994
November 9, 1994
January 25, 1995
March 22, 1995
May 3, 1995
July 26, 1995

2. Council on Higher Education Meeting Schedule
October 2-3, 1994 - Conference on Trusteeship - Lexington
November 7, 1994 - Louisville, Kentucky

3. Upcoming Activities
August 15, 1994 - Chase Alumni Welcome for New Students
August 24, 1994 - State of University Address
September 1, 1994 - Cincinnati Pops Concert
- Founders' Day Barbecue
- Lucas Dedication
October 6, 1994 - Staff Awards Luncheon
November 19, 1994 - Rosemary Clooney Concert

JUL 27 1994

BOARD OF REGENTS MEETING
July 27, 1994

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 4 p.m. on Wednesday, July 27, 1994 by Chair William Verst. The invocation was given by the Reverend Bill Ellis.

The following new Regents were welcomed to the Board and received the oath of office from the Chair - Barbara Herald, Staff Regent, and Paul Wingate, Student Regent.

Roll Call: Robin Crigler, Frank Downing, Barbara Herald, James Poston, Alice Sparks, Philip Taliaferro, Michael Thomson, William Verst, Paul Wingate, and Robert Zapp. Karen Bearden was excused.

Others: President Leon Boothe, Sheila Trice Bell, Ernest Britton, Carla Chance, Sandra Easton, Peter Hollister, Michael Baker, Sondra Cummins, Daniel Drake, David Dunevant, Carol Eckstein, Nancy Firak, Regina Ford-Fowler, Jack Geiger, Thomas Isherwood, Henry Keene, Russell Kerdolff, Joyce Maegly, Don McKenzie, Ray McNeil, Jane Meier, William Nester, Charles Pettit, Charles Pinder, Norleen Pomerantz, James Ramage, Rogers Redding, Mary Ryan, Angela Schaffer, David Short, Julia Taylor, Allen Thomas, Steven Weiss, Ray Wingate, Clara Wingate, Diane Goetz of Campbell County Recorder, John Buck, Eric Caldwell, and Thomas Embrey of the Northerner.

Carla Chance, Vice President for Administration, presented an overview as well as a slide presentation showing various areas which constitute the administrative affairs section. It was enlightening to see many improvements being made as well as calling attention to various areas that needs maintenance in spite of dwindling budget dollars.

Chair Verst called upon Regent Crigler to give the report of the Nominating Committee. Regent Crigler reported that the committee recommended the following slate of officers:

Alice Sparks - Chair
James Poston - Vice Chair
Robert Zapp - Secretary/Treasurer

The chair asked for further nominations. No further nominations were made. Regent Downing made a motion that the slate presented be elected by acclamation. The motion, seconded by Regent Crigler, received unanimous approval.

Regent Sparks accepted the responsibility and thanked the Regents for their confidence in her. Ms. Sparks recognized Regent Verst's leadership and loyalty to the Board and the University.

Regent Verst thanked Ms. Sparks for filling in for him during his recent illness and also thanked the Board for their confidence and cooperation while he was chair.

President Boothe recognized several individuals and areas: Congratulated Barbara Herald and Paul Wingate on becoming Regents. Introduced the Regents Professor, James Ramage, a distinguished faculty member. Introduced the Outstanding Professor of the Year, Sharlotte Neely. Recognized the Governor's Scholars program which is here this summer and indicates that the program will return next year. Congratulated Alice Sparks as new Chair of the Board citing her commitment and dedication to this University. Thanked William Verst for his past years of service and knew his commitment to this University would continue.

PRESIDENT REPORTS:

A-1 The President called attention to the Grant and Contract activity noting that while the number of approvals were up, the amount of funds received was down which is indicative of the economy. — Grants & Contracts

A-2 President Boothe highlighted the Campus Planning Report attached to these minutes. — Campus Planning

A-3 An interim financial report was presented. The audited financial report for FY 93-94 will be available later. The President noted that many departments did a fine job of holding down expenses in a very trying year. — Financial Report

A-4 The summer semester enrollment figures showed a decline of students in this semester. President Boothe said that he expected a flat enrollment in the fall. — Enrollment

PRESIDENTIAL RECOMMENDATIONS:

B-1 The President recommended that the Academic Affairs personnel actions listed in B-1 of the attachments be approved. The motion by Regent Sparks and second by Regent Zapp to approve the recommendation was passed. — Academic Personnel Actions

B-2 President Boothe presented a list of temporary appointments (list attached to the minutes) asking for approval. The list was approved on motion by Regent Thomson and second by Regent Downing. — Temporary Faculty

B-3 It was recommended by the President that Helen Annette Chavez, Professor in the School of Education, receive Emeritus status. The recommendation was approved on motion by Regent Taliaferro and second by Regent Poston. — Professor Emeritus

B-4 President Boothe presented a revised Faculty Policies and Procedures Handbook for approval. He stated that the handbook received the endorsement of the Faculty Senate and the Provost. The President outlined a few of the changes that were being made. Regent Poston asked if the law school faculty was addressed in the handbook. It was pointed out that there was a separate handbook for law school faculty which that faculty is in the process of reviewing. Regent Sparks moved the approval of the handbook. The motion, seconded by Regent Thomson, was passed.

Faculty Policies & Procedures Manual

B-5 The President recommended that program terminations and consolidations outlined in B-5 attached to these minutes be approved. This is a result of the work of the Higher Education Review Commission and their request that each university review their programs in order to minimize duplication. The recommendation received approval on motion by Regent Sparks and second by Regent Zapp.

Program Terminations and Consolidations

B-6 It was recommended by the President that authorization be given for the implementation of a Phased Resignation Program and a Retirement Incentive Program for faculty. Outlines of both programs are attached to the minutes. The authorization was given on motion by Regent Crigler and second by Regent Thomson.

Phased Resignation and Retirment Incentive

B-7 The non-academic personnel actions (list attached to these minutes) were presented by the President for the Board's approval. The motion by Regent Poston and second by Regent Downing received approval.

Non-academic Personnel

B-8 Deferred until after Executive Session.

Administrative

B-9 An Athletic Field Master Plan was presented by the President, who explained the plan in detail. Regent Wingate asked if provision could be made for outdoor basketball courts. Regent Thomson said he was concerned about parking areas being taken. Regent Taliaferro asked if there could be some provision made for basketball courts. Vice President Chance said she would look into the situation. The Master Plan received the approval on motion by Regent Sparks and second by Regent Wingate.

Athletic Field Master Plan

B-10 President Boothe recommended that the Administration be authorized to proceed with demolition of the house at 2626 Alexandria Pike as this was part of the agreement with the owner when purchased. The recommendation was approved on motion by Regent Downing and second by Regent Sparks.

Demolition of Property

B-11 The President requested authorization to lease, on an interim basis, the house located at 506 Johns Hill Rd. The motion by Regent Taliaferro, seconded by Regent Crigler, was passed.

Lease Authorizatio

B-12 President Boothe recommended that authority be granted to the Treasurer to execute the necessary documents to enter into a Master Lease Agreement with Fifth Third Bank for the financing of certain computer equipment and software systems and other equipment and furnishings as approved in the internal budget process. The President complimented the business offices involved in designing this lease which will save the University nearly $32,000 on existing contracts and a projected savings on future financing of $192,000. The authority to proceed was given on motion by Regent Poston and second by Regent Zapp.

Master Lease Agreement

B-13 The President presented a recommendation that the 93-94 budget be revised upward by $8,599 because of some growth in revenues. The motion was made by Regent Downing, seconded by Regent Poston, and was passed.

93-94 Revised Budget

B-14 It is recommended that the 1994-95 Operating Budget be approved for a total of $65,500,000 as detailed in the documentation attached to these minutes. The President explained that this is a no growth budget and leaves only 1% for reserve. The budget was approved on motion by Regent Thomson and second by Regent Wingate.

94-95 Operating Budget

At 5:15 p.m. the Board was recessed for Executive Session on motion by Regent Poston and second by Regent Wingate.

The Board reconvened at 7:25 p.m.

President Boothe recommended that the Board of Regents approve the administrative actions listed in B-8 and which is attached to these minutes. The recommendation received approval on motion by Regent Sparks and second by Regent Downing.

Regent Poston made a motion that the Settlement and Release between Ms. Vicki Frazier and the University be approved. The motion, seconded by Regent Taliaferro, was passed.

Regent Downing made a motion that the Board authorize the administration to renew the service contract discussed during executive session. The motion, seconded by Regent Sparks, was passed.

Regent Sparks made a motion that the Board recommend to the Foundation that President Boothe should receive an additional Foundation stipend amounting to 10 percent of his current annual salary plus $1,500. This stipend may be taken in the form of an annuity or as cash, as elected by the President, and may be distributed between the beginning of this fiscal year and the end of this calendar year. The motion was seconded by Regent Herald and was passed.

The President called attention to the dates listed on the agenda, especially September 1 for the Pops Concert, the Lucas Dedication, and Founder's Day Barbeque. Vice

President Hollister announced that the NKU Foundation Awards Dinner would be held on November 10 at Highland Country Club.

The meeting adjourned at 7:35 p.m. on motion by Regent Sparks and second by Regent Poston.

Dolores Thelen,
Acting Assistant Secretary

Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its meeting held on July 27, 1994, and that such matters are still in force and effect.

Robert W. Zapp, Secretary

Thelen

The September meeting of the Northern Kentucky University Board of Regents will be preceded by a preview breakfast on Monday, September 26, 8 a.m., in the Presidential Dining Room.

Agenda
Northern Kentucky University
Wednesday, September 28, 1994 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Introduction of New Staff Congress Officers

Approval of Minutes July 27, 1994 Quarterly Meeting

A. Presidential Reports
1. Kentucky Plan Update
2. 1994 Final Summer Enrollment
3. 1994 Preliminary Fall Enrollment
4.
5.

B. Presidential Recommendations
1. Amendment of Retirement Incentive Program
2. Academic Personnel Actions
3. Temporary Faculty Appointments
4. Emeritus Professor
5. Administrative Actions
6.
7.
8.
9.
10.

C. Other
1. Quarterly and Special Meeting Schedule
October 14, 1994 - Board Retreat
November 30, 1994
January 25, 1995
March 22, 1995
May 3, 1995
July 26, 1995

2. Council on Higher Education Meeting Schedule
Oct 20 ~~September 28, 1994~~ - CHE Public Forum
October 2-3, 1994 - Conference on Trusteeship - Lexington
November 7, 1994 - Louisville, Kentucky

3. Upcoming Activities
October 6, 1994 - Staff Awards Luncheon
November 10, 1994 - Foundation Appreciation Dinner
November 18 & 19, 1994 - Fifth Third/Lions Club Tip-Off Classic
November 19, 1994 - Rosemary Clooney Concert
December 2 & 3, 1994 - Perkins/NKU Classic
February 11, 1995 - Homecoming
April 11, 1995 - Lincoln Award Dinner

SEP 28 1994

BOARD OF REGENTS MEETING
September 28, 1994

The September meeting of the Board of Regents of Northern Kentucky University was called to order at 4 p.m. on Wednesday, September 28, 1994 by Chair Alice Sparks. Invocation was given by the Reverend Bob Cain, Methodist campus minister.

Regent Sparks welcomed all to the meeting.

Roll Call: Karen Bearden, Barbara Herald, James Poston, Alice Sparks, Philip Taliaferro, Michael Thomson, William Verst, Paul Wingate, and Robert Zapp. Excused were: Robin Crigler and Frank Downing.

Others present: President Leon Boothe, Elzie Barker, Sheila Trice Bell, Carla Chance, Paul Gaston, Peter Hollister, Rosetta Mauldin, Norleen Pomerantz, James Alford, Delores Anderson, Mike Baker, Bob Cain, Leo Calderon, Sonda Cummins, Carol Eckstein, Ron Ellis, Nancy Firak, Bill Lamb, Rick Meyers, Becky Myers, William Nester, Mary Ryan, Mary Paul Schuch, Marian Winner, Officers of Staff Congress - Chuck Pettit, Claire Newman, Barb Culp, and Steve Olding of the Campbell County Recorder.

President Boothe introduced special guests: Officers of Staff Congress - Chuck Pettit, President; Claire Newman, President Elect, and Barb Culp, Secretary. Steve Olding, an alumni and a representative of the Campbell County Recorder, was also introduced by the President.

The minutes of the July 27, 1994 meeting were approved on motion by Regent Verst and second by Paul Wingate.

PRESIDENTIAL REPORTS:

A-1 The President called upon Delores Anderson, Director of Affirmative Action, to give a Kentucky Plan Update. It was reported that according to data based on 1992-93 academic year, Northern made progress in five areas and lost ground in three. The overall progress was measured at +102 percent which was the best achievement in the Commonwealth. Data from the 1993-94 academic year showed significant progress in five areas, including an increase in the number of African-American Kentucky resident graduate students. Ms. Anderson further stated that while this indicates progress, Northern still has a distance to go. Ky. Plan Update

A-2 President Boothe reported that Summer enrollment showed the first decline in many years - 7.5% in headcount and 6.3% in full time equivalent. Provost Gaston is studying areas to make our summer program more attractive to students. This decrease is about average of what happened throughout the Final Summer Enrollment

state, according to the President.

A-3 Preliminary statistics for Fall show a flat enrollment figure. This figure is good compared to some universities who are down 4%. Community colleges had a dramatic decrease, according to the President. Preliminary Fall Enrollment

PRESIDENTIAL RECOMMENDATIONS:

B-1 It was recommended by the President that an amendment (attached to these minutes) to the Retirement Incentive Program be approved. Approval was received on motion by Regent Thomson and second by Regent Zapp. Retirement Incentive Program Amendment

B-2 President Boothe recommended the following Academic Affairs personnel actions: Academic Personnel Actions

Appointment of Donna B. Smith as Assistant Professor of Library Sciences effective August 22, 1994.

Unpaid family and medical leave with benefits for Laura A. Sullivan from October 27 to November 30, 1994

Resignation of Donald R. Welti, Associate Professor of Psychology, through the Retirement Incentive Program, effective September 28, 1994.

The recommended was given approval on motion by Regent Wingate and second of Regent Verst.

B-3 The President recommended a list of temporary faculty appointments which is attached to these minutes. The recommendation was approved on motion by Regent Beardon and second by Regent Zapp. Temporary Faculty Appointments

B-4 It was recommended by the President that Donald R. Welti receive Emeritus status which has endorsement of the faculty, the chair, the dean, the provost and the president. The recommendation received approval on motion by Regent Verst and second of Regent Zapp. Emeritus Professor

B-5 President Boothe recommended the following administrative personnel actions: Administrative Actions

Ernest Britton as Executive Director for Student Programs, effective August 8, 1994 through September 30, 1995.

Leo Calderon, Executive Assistant to the President, effective August 15, 1994 through August 31, 1995.

The actions were approved as recommended on motion by Regent Wingate and second by Regent Thomson.

President Boothe introduced Marian Winner, Director of Steely Library. He noted that through all of the library construction a positive attitude of the library staff prevailed in spite of difficult conditions, thanks to the leadership of Ms. Winner. Ms. Winner announced that Friends of the Library had met today and are planning for the dedication of the library addition.

The Board recessed for Executive Session at 4:20 to discuss some legal and property issues. Motion to recess was made by Regent Thomson and second by Regent Taliferro.

The Board reconvened at 5:10 p..m.

It was moved by Regent Thomson that the Board formally endorse the restriction of a commercial curb cut on Nunn Drive. The motion, seconded by Regent Taliferro, was passed by unanimous vote.

Regent Taliferro moved that the University administration be authorized to explore the feasibility of an exchange of land with the Kentucky Baptist Convention. The motion, seconded by Regent Herald, was passed by unanimous vote.

The President called attention to several dates:

Council on Higher Education scheduled for tonight was changed to October 20. All were urged to attend as this is a very important meeting.

Board Retreat on October 14 at Ft.Mitchell Country Club.

Foundation Appreciation Dinner on November 10.

President Boothe recognized Ron Ellis and his work on the Founder's Day activities as it was a very successful evening. The President said he was pleased with the turnout and felt that everyone who attended had enjoyed the activities.

The meeting adjourned at 5:15 p.m.. on motion by Regent Wingate and second by Regent Thomson.

Dolores Thelen, Acting
Assistant Secretary

Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its meeting held on September 18, 1994, and that such matters are still in force.

Robert W. Zapp, Secretary

Revised Agenda
Northern Kentucky University
Wednesday, November 30, 1994 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Election of Assistant Secretary

Roll Call and Welcome

Introduction of Regents' Distinguished Service Award Recipients

Introduction of Faculty Senate Officers

Approval of Minutes September 28, 1994 Quarterly Meeting

Special Presentation - Overview of Student Affairs

A. Presidential Reports
1. President's Annual Report
2. Grants and Contracts Quarterly Report
3. Quarterly Financial Report
4. Quarterly Campus Planning Report
5. 1994 Final Fall Enrollment
6. Professional Liability Report (to be distributed at the meeting)
7.
8.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Temporary Faculty Appointments
3. Non-Academic Personnel Actions
   a. Activations, Terminations, Transfers, Promotions & Reassignments
   b. Administrative Action
4. University Organizational Chart
5. Agreement between NKU and Foundation
6. Master Lease for Real Property
7. Real Property Lease Agreements
   a. 241 Johns Hill Road and the 7.23 acre parcel on Hilltop Drive
   b. 245 Johns Hill Road and 5 Clearview Drive
8.

C. Regent Recommendations
1. Audit Committee - Annual Financial Report

D. Other
1. Quarterly and Special Meeting Schedule
   November 30, 1994
   January 25, 1995
   March 22, 1995
   May 3, 1995
   July 26, 1995

2. Council on Higher Education Meeting Schedule
   January 23, 1995 - Louisville, Kentucky
   March 13, 1995 (tentative)
   May 22, 1995 (tentative)
   July 10, 1995 (tentative)
   September 18-19, 1995 - Conference on Trusteeship (tentative)
   November 6, 1995 (tentative)

3. Upcoming Activities
   December 2 & 3, 1994 - Perkins/NKU Classic
   February 11, 1995 - Homecoming
   April 11, 1995 - Lincoln Award Dinner
   April 28, 1995 - Steely Library Dedication
   May 13, 1995 - Commencement

NOV 30 1994

Board of Regents Meeting
November 30, 1994

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, November 30, 1994, by Chair Alice S. Sparks. The invocation was given by Father Frank Schott - Catholic Newman Center.

Chair Sparks reported that applications had been accepted from the University Community and interviews were conducted for the Assistant Secretary vacancy and that she and President Boothe recommend Carol Eckstein to fill the vacancy. Upon a motion by Regent Verst, seconded by Regent Crigler, the recommendation was passed unanimously.

Roll Call: Robin Crigler, Frank Downing, Barbara Herald, James Poston, Alice Sparks, Philip Taliaferro, Michael Thomson, William Verst, Paul Wingate

Others present: President Leon E. Boothe, Elzie Barker, Sheila Trice Bell, Carla Chance, Paul Gaston, Peter Hollister, Norleen Pomerantz, Tom Cate, Vanessa Johnson, Danny Miller, Alice Rini, Linda Olasov, Charles Frank, Nancy Firak, Mark Stavsky, Marty Huelsmann, William Nester, Rosetta Mauldin, Delores Anderson, Leo Calderon, Dan Seibert and Mike Sewell (Deloitte & Touche), Mike Baker, Russ Kerdolff, Steve Olding - Recorder Newspapers, Tom Isherwood, Tom Comte, Rogers Redding, Stephanie Baker, Michael Berry, Chuck Pettit, Meg Winchell, Arden Geers, Roger Black, Carol Crowley, Helen Wilhelm, Dorothy Gammon, Ernest Britton, Ron Ellis, Deanna Calvert, Joyce Maegly, Sonda Cummins, Debra Vance - The Kentucky Post, Marilyn Gosney, Connie Hurst, Marian Winner, Allen Singer, Rhonda Saccone, Bob Sprague, Bill Lamb, and John Bach - The Northerner.

Chair Sparks welcomed everyone to the meeting and thanked Dolores Thelen for her service as Interim Assistant Secretary.

Chair Sparks recognized and extended congratulations to the following: Past Chair William Verst, Northern Kentucky University Foundation Public Service Award Recipient; Regent Frank Downing recently named Owen County RECC Chairman and CEO; and Carla Chance appointed to Kentucky Health Purchasing Alliance Board.

President Boothe recognized the 1994 Regents' Distinguished Service Recipients Dan Spence, Connie Hurst, Vanessa Johnson and Roger Black. Dan Spence, who began his career at Northern Kentucky University in 1986, is Lab Coordinator in the Department of Physics and Geology. Connie Hurst, a fifteen-year employee, is an Administrative Assistant in the Office of the Associate Provost. Vanessa Johnson, who is also a fifteen-year employee, is an Administrative Secretary in the Department of Literature and Language. Roger Black, who began his career at Northern in 1975, is a Motor Coach Driver/Mechanic in the Physical Plant Automotive Shop. All four employees have excellent attitudes and have made exemplary contributions to the growth, image, and efficient operation of the University.

The Executive Committee of Faculty Senate was recognized by President Boothe: President Nancy Firak, Vice President Tom Cate, Secretary Don Kelm, Parliamentarian Danny Miller, Chair of University Curriculum Committee Linda Olasov, Chair of Faculty Benefits Committee Chuck Frank, Chair of Budget & Commonwealth Affairs Committee Mark Stavsky, Chair of Professional Concerns Committee Alice Rini.

Chair Sparks thanked all of them for attending.

The minutes of the regular quarterly meeting of September 28, 1994 were approved upon a motion by Regent Herald, seconded by Regent Wingate.

Interim Vice President for Student Affairs, Norleen Pomerantz, presented an overview of Student Affairs, including a video presentation showing the various areas that make up the student affairs division. Ms. Pomerantz commented that the Northerner and Student/Faculty/Staff Telephone Directory are both published by students. She also introduced students Rhonda Saccone and Allen Singer and complimented them on their accomplishments in putting together the video. Various members of Student Affairs were recognized and thanked for their role in Student Affairs.

President Boothe thanked Ms. Pomerantz for the fine presentation.

Presidential Reports:

A-1 President Boothe presented the Annual Report, emphasizing that the University is striving to make service better for students with improved systems and programs and commenting on success over the past year with affirmative action with goal to increase minority enrollment. Comments were made regarding space issues, the library expansion, and the Japanese Language School. For the first time in the summer of 1993, NKU hosted the Governor's Scholars Program which brought 350 participants to campus for five weeks. The Embrace Opportunity Campaign completed its first year with $7 million in cash and deferred gifts raised. Dr. Diana McGill, a 1984 alumna and faculty member in the Department of Chemistry, was the recipient of a very significant award of a $10,000 grant from the Camille and Henry Dreyfus Foundation. President Boothe stated that although substantial funding has been lost since 1991, the University has been able to maintain financial stability and effective operations through the strategic allocation of its resources. Pres. Annual Report

At this time President Boothe asked to move to the Audit Committee Report before continuing with the remainder of the Presidential Reports.

Regent Recommendation:

C-1 President Boothe commented on the Audit Committee's Annual Financial Report stating there were no internal control weaknesses. President Boothe introduced Mike Sewell and Dan Seibert from Deloitte and Touche. Annual Financial Report

Mike Sewell, Audit Manager, reported that it had been a smooth transition from the previous auditor. He commented that Deloitte and Touche had received good cooperation from management, there had been no disagreements regarding accounting issues or disclosures, and they had found no weaknesses or areas of concern. He reported that final reports are being wrapped up now; they are substantially complete with only a few small audits to complete. Mr. Sewell commented that he had good cooperation from all of the University's internal audit staff.

At this time Dr. Boothe introduced Mary Rauen, Internal Auditor, and thanked her for her service.

Chair Sparks complimented Deloitte and Touche and University personnel on the smooth transition. Upon a motion by Regent Crigler, seconded by Regent Verst, the President's Annual Report and the Annual Financial Report were approved unanimously.

Presidential Reports:

A-2 President Boothe presented the Grants and Contracts quarterly report and commented that the number of awards received and the totals for dollars approved represent an increase compared to totals for the same period last year. — Grants and Contracts

A-3 The Financial Quarterly Report indicated that all financial information is in order. President Boothe commented that we are exactly where we should be due to good staff that keeps good control on expenditures. — Quarterly Financial Report.

A-4 President Boothe reported on the campus planning progress report indicating that work is still in progress on the Residential Village and the Library Expansion. It is hoped the Library project will be completed by January. Final schematic planning is underway for the Ceramics/Sculpture Facility. A project has been established to evaluate the condition of the lighting control panels in the Theatre and Black Box. The underground steam line replacement should be complete in early December. — Campus Planning

A-5 President Boothe reported that Final Fall enrollment showed a slight decline but he feels NKU came out very well. He stated that most schools had suffered a decline. — Fall Enrollment

A-6 President Boothe reported on the Professional Liability Report stating that our professional liability insurance coverage expires very soon and that he recommends contract be awarded to John J. and Thomas R. Schiff and Company (Cincinnati Insurance). — Prof. Liability Report

Presidential Recommendations:

B-1 President Boothe recommended approval of the following academic personnel actions: — Academic Personnel Actions

Faculty Appointments: Jack O'Gorman, Assistant Professor of Library Science in the W. Frank Steely Library, effective December 5, 1994; and Georgena P. Thomas, Lecturer and Assistant Dean of the College of Business, effective November 21, 1994.

Leaves, Paid/Unpaid: Stephanie J. Jones, Assistant Professor of Legal Programs, unpaid leave without benefits effective January 1, 1995 through December 31, 1995.

Upon a motion by Regent Herald, seconded by Regent Verst, the recommendation passed unanimously.

B-2 President Boothe recommended approval of the following temporary faculty appointment for the 1994-95 fiscal year: Norma Holt Davis, lecturer in Children's Law Clinic. Upon a motion by Regent Verst, seconded by Regent Crigler, the recommendation passed unanimously. Temp. Faculty Appointments.

B-3-a President Boothe recommended approval of the non-academic personnel actions for the period July 1, 1994 through November 30, 1994. Non-Academic Personnel

B-3-b President Boothe recommended approval of the following administrative appointment: Margaret Winchell, Director of Admissions, effective October 17, 1994. Upon a motion by Regent Thomson, seconded by Regent Herald, the recommendation passed unanimously. Admin. Action

At this time Chair Sparks commented on the good job Ms. Winchell does and asked that she stand and be recognized.

B-4 President Boothe recommended that the revised organizational chart reflecting organizational changes occurring during the past fiscal year be approved. Upon a motion by Regent Wingate, seconded by Regent Downing, the recommendation passed unanimously. Organ. Chart

B-5 President Boothe recommended that Agreement between NKU and the NKU Foundation be approved. Motion was made by Regent Verst and seconded by Regent Downing to approve this recommendation. However, after much discussion regarding fully executed contracts coming to the Board for approval, President Boothe agreed to postpone this recommendation until a later date. NKU/ Foundation Agreement

B-6 President Boothe recommended expanding Master Lease Agreement with Fifth Third Bank to include the financing of land acquisitions once procurement is authorized by the Board. Upon a motion by Regent Downing, seconded by Regent Wingate, the recommendation passed unanimously. Master Lease

B-7-a President Boothe recommended authorization for the University to enter into a lease agreement with option to purchase agreement with NKU Foundation for property located at 241 Johns Hill Road and the 7.23 acre parcel on Hilltop Drive. Upon a motion by Regent Herald, seconded by Regent Downing, the recommendation passed unanimously. Lease Agreement

B-7-b President Boothe recommended authorization for the University to enter into a lease agreement for the rental of the property at 245 Johns Hill Road and 5 Clearview Drive. Upon a motion by Regent Verst, seconded by Regent Thomson, the recommendation passed unanimously. Lease Agreement

Upon a motion by Regent Wingate, seconded by Regent Thomson, the Board convened in Executive Session at 5:10 p.m.to discuss property issues. The Board reconvened in public session at 7:10 p.m. Exec. Session

Regent Thomson moved that the Board authorize the administration to commence eminent domain action in accordance with the provisions of KRS 164.410 and KRS 164A.575(b) to acquire the following parcels: 524-A Johns Hill Road--Steffen Parcel (34 acres); 414-428 Johns Hill Road--Kentucky Baptist Convention (4.05 acres); and Hilltop Drive--Steve Curley Construction (7.33 acres). Upon a second by Regent Downing, the motion carried. Eminent Domain Action

Adjournment:

The meeting adjourned at 7:15 p.m.

Carol J. Eckstein, Assistant Secretary

Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on November 30, 1994, and that such matters are still in force and effect.

Robert W. Zapp, Secretary

*** The Student Affairs/Advancement Committee will meet on Friday, January 20, 10 a.m., in AC 820.**

The Administration and Finance Committee will meet on Friday, January 20, 12:30 p.m., in the President's Conference Room.

The Board review breakfast will be conducted on Tuesday, January 24, 8 a.m., in the Presidential Dining Room.

The Academic Affairs Committee will meet on Wednesday, January 25, 12 Noon, in AC 820.

Revised Agenda
Northern Kentucky University
Wednesday, January 25, 1995 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Approval of Minutes November 30, 1994 Quarterly Meeting

A. Presidential Reports
1. Grants and Contracts Quarterly Report (to be distributed)
2. Campus Planning Report
3. Quarterly Financial Report
4. Preliminary Spring Enrollment Report (to be distributed)
5. 1995 Summer Fellowships
6. 1995-96 Faculty Project Grants
7. 1994 Alumni Award Recipients
8. Embrace Opportunity (oral report)

B. Presidential Recommendations
1. Academic Personnel Actions
2. 1995-96 Sabbatical Leaves
3. Promotion, Tenure, Continued Probation, Continued Probation with Conditions to be Removed, and Terminal Reappointment
4. Non-Academic Personnel Actions
5. Administrative Personnel Actions
6. 1995-96 Tuition Schedule
7. 1995-96 Fees Schedule
8. 1994-95 Operating Budget Adjustment
9. Six-Year Capital Plan

C. Other
1. Quarterly and Special Meeting Schedule
January 25, 1995
March 22, 1995
May 3, 1995
July 26, 1995

2. Council on Higher Education Meeting Schedule
January 23, 1995 - Louisville, Kentucky
March 13, 1995 (tentative)
May 22, 1995 (tentative)
July 10, 1995 (tentative)
September 18-19, 1995 - Conference on Trusteeship (tentative)
November 6, 1995 (tentative)

3. Upcoming Activities
February 10, 1995 - Alumni Awards Banquet
February 11, 1995 - Homecoming
February 27, 1995 - Maya Angelou Performance
April 11, 1995 - Lincoln Award Dinner
April 27 and 28, 1995 - Steely Library Dedication
May 13, 1995 - Commencement
May 25, 1995 - NCCJ Dinner
August 31 - Founders' Day
\- Cincinnati Pops Orchestra
September 23, 1995 - Friends of Fine Arts Event

*Revision

JAN 25 1995

Board of Regents Meeting
January 25, 1995

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, January 25, 1995, by Chair Alice S. Sparks. The invocation was given by the Reverend Harold Orndorff, Christian Student Fellowship.

Roll Call: Robin Crigler, Frank Downing, Barbara Herald, James Poston, Alice Sparks, Philip Taliaferro, Michael Thomson, Paul Wingate and Robert Zapp.

Others present: President Leon E. Boothe, Elzie Barker, Steve Olding - Recorder Newspapers, Marian Winner, Carla Chance, William Nester, Norleen Pomerantz, Mary Paula Schuh, Karla Scoon - Enquirer, Mary Ryan, Rosetta Mauldin, Peter Hollister, Sheila Trice Bell, Paul Gaston, Leo Calderon, Bob Neumann, Mike Baker, Angela Schaffer, Tom Isherwood, Rogers Redding, Linda Wright, Jermane Enoch, Chuck Pettit, Harold Orndorff, Nancy Firak, Delores Anderson, Debra Vance - The Kentucky Post, Bill Lamb, Sonda Cummins, Eric Caldwell and Jim Bach - The Northerner.

Chair Sparks asked if there were any corrections to the minutes of the regular quarterly meeting of November 30, 1994. There being no changes or corrections, the minutes will stand as presented.

Presidential Reports:

A-1 President Boothe presented the Grants and Contracts quarterly report noting that the number of requests and dollar value of the approved grants had increased and that he feels this is quite impressive. Grants and Contracts

A-2 President Boothe presented the Campus Planning Report noting that the bulk of the work on the library expansion project will be complete by January 30 and that work is continuing on the new and existing elevators. The steam line replacement project is complete with the exception of repair of disturbed lawn areas. President Boothe noted that we are trying to improve air quality and quantity with the Fine Arts HVAC upgrade project. The design of the Ceramics/Sculpture Facility is over budget and evaluation of the project and its cost is underway. The Theatre/Black Box lighting control replacement is another area where age is a factor, we are starting to see some maintenance issues catch up. The Network Cabling installation project will bring the campus into the age of technology. Campus Planning

A-3 President Boothe commented that the quarterly financial report had been presented to the Administration and Finance Committee and that the figures are very much where they should be, reflecting good team effort at this University. Quarterly Financial Report

A-4 President Boothe reported on the preliminary enrollment figures for spring stating that the figures were slightly lower than anticipated. He feels the decline reflects the state of the economy but that we will be within the budgetary figures we planned for. Prelim. Spring Enrollment

A-5 President Boothe reported on the 1995 summer fellowship awards, applauding the faculty who received the awards and the very interesting projects on which they are working. The following faculty have been approved for awards: Summer Fellowship

Paige Byam	Rudy L. Garns	Cynthia McDaniel
Jerry H. Carpenter	Jon Hastings	John J. Metz
Steven R. Chicurel	Sally A. Jacobsen	James Niewahner
Sandra Forman	Yushi Li	Andrew Taylor

A-6 President Boothe reported on the 1995-96 faculty project grant recipients commenting that it is a very impressive list. The awards are given after selection by a review committee of peers and presentation to the Provost. Faculty approved for grants follow: Faculty Project Grants

Barry Anderson	Larry A. Giesmann	Rudy L. Garns
Marjorie E. Artzer	Andrew Kipnis	J. Michael Thomson
Jerry H. Carpenter	Yushi Li	Stephen C. Walker
Donald K. Cobb	Terry G. Pence	Connie Carroll Widmer

A-7 President Boothe reported on the 1994 alumni award recipients chosen by the Alumni Council and commented on their very impressive credentials. The awards will be presented at the February 10 annual Alumni Awards Banquet. Alumni Award Recipients

President Boothe reported on the 1994 Accountability Report which was issued in December 1994 by the Council on Higher Education. This was the second annual report based on the institutional indicators specified in Kentucky statute KRS 164.095. Overall, NKU ranked best in alumni satisfaction, and in licensure pass rates, NKU performed best in teacher education. Overall, NKU comes out very well. Account-ability Report

A-8 Regent Zapp gave an oral report on Embrace Opportunity stating we are 80 percent on way to base goal. Northern Kentucky corporate donations, which were lagging, have picked up and have exceeded base goal. Regent Zapp is impressed with the job Bill Nester is doing. $158,000 has been committed, over three years, for Chase by an anonymous donor and $15,000 has been committed by J. C. Penney. Things are looking up and we should exceed what goals were, which is quite an accomplishment. Regent Zapp is very encouraged and feels everyone involved should be applauded. Embrace Oppor.

Presidential Recommendations:

B-1 President Boothe recommended the following academic personnel actions for Board approval and commented that the retirements are the first under the Retirement Incentive Program: Academic Personnel Actions

Personnel Action: Adalberto J. Pinelo from Professor and Chairperson of Political Science Department to Professor in Political Science Department, effective November 8, 1994.

Administrative Appointment: Thomas J. Kearns, from Professor in the Mathematics and Computer Science Department to Acting Administrator of the Political Science Department and Professor in the Mathematics and Computer Science Department, effective from November 8, 1994 to December 31, 1994; Dennis E. Sies, from Associate Professor in the

Political Science Department to Chairperson and Associate Professor of the Political Science Department, effective from January 3, 1995 to June 30, 1996.

Leaves, Paid/Unpaid: Sandra Forman, Professor in the Theatre Department, partial (one-half time) paid special leave for the 1995 spring semester; Adalberto J. Pinelo, Professor in the Political Science Department, paid administrative leave for the 1995 spring semester.

Retirements: All these retirement are through the Retirement Incentive Program, effective with the 1995-96 academic year. Mildred Bork, Associate Professor in the Nursing Department; Patricia A. Dolan, Associate Professor in the Allied Health, Human Services, and Social Work Department; Arthur L. Miller, Professor in the Psychology Department; Ralph D. O'Brien, Professor in the Technology Department; Raman J. Singh, Professor in the Physics and Geology Department; and William S. Wagner, Professor in the Physics and Geology Department. Upon a motion by Regent Herald, seconded by Regent Zapp, the recommendation passed with eight aye votes and one abstention by Regent Thomson.

B-2 President Boothe recommended the following recipients of sabbaticals during the 1995-96 academic year noting there are a great deal of challenging opportunities: Barry Anderson, Donna S. Bennett, Chris Christensen, Donald K. Cobb, Yudhister Datta, Larry L. Dickson, Charles E. Frank, J. Ronald Gardella, Larry A. Giesmann, Kenneth W. Jones, Philip McCartney, Cynthia McDaniel, William M. McKim, Danny L. Miller, Vijay V. Raghavan, Raymond E. Richmond, W. Michael Ryan, Peter Schiff, James Thomas, J. Michael Thomson, Harold Lew Wallace, Steven Wilkinson, Caryl A. Yzenbaard, and Thomas Zaniello. Upon a motion by Regent Zapp, seconded by Regent Crigler, the recommendation passed with eight aye votes and one abstention by Regent Thomson.

Sabbatical Leaves

B-3 President Boothe recommended that the following academic personnel be approved for promotion, tenure, continued probation, continued probation with conditions to be removed, and terminal reappointment noting that this personnel goes through great scrutiny and review:

Promotion: Steven McCarthy, John Metz, Michael Washington, Sally Jacobsen, Blas Puente-Baldoceda, Chenliang Sheng, Perilou Goddard, Rudy Garns, Gretchen Sears, Marian Lawson, Matthew Shank, Russell Proctor, Alar Lipping, Cheryl McKenzie, Randolph Holt, Carol Furnish, Sharlene Lassiter, and Jennifer Ryan.

Tenure: Steven McCarthy, John Metz, Blas Puente-Baldoceda, Chenliang Sheng, Perilou Goddard, Rudy Garns, Gretchen Sears, Marian Lawson, Matthew Shank, Russell Proctor, Alar Lipping, Cheryl McKenzie, and Carol Furnish.

Continued Probation: Christina Melibeu de Almeida, Robert F. C. Naczi, Diana McGill, Karlyn Schnapp, Robert Wilcox, John Alberti, Paige Byam, Steven Gores, Barbara Klaw, Thomas Leech, Gisele Loriot-Raymer, David B. Agard, Robert England, Clinton Hewan, David Potter, Harriet Richard, Barbara A. Arrighi, Prince Brown, Jr., Andrew Kipnis, Stephen Richards, Kristen Yount, Samuel Zachary. Paul Schloemer, Deepak Khazanchi, Fred Beasley, Diane Gronefeld, Trina Koscielicki, Robert Langenderfer, Durell Hamm, Russell Jenisch, J. Patrick Moynahan, Valeria Amburgey, Marjorie, Artzer, Keith Barton,

Promotion, Tenure, Contd. Probation, Contd.Prob with Cond. Removed & Terminal Reappointments.

Suzanne Cortez, Phyllis Hall, Charles Jackson, Lorena O'Donnell, Joy Churchill, Elizabeth Lorenzi, Claude Henschel, Niaz Latif, Lewis Workman, Sharlene Lassiter, Donald Kazee, and Jennifer Ryan.

Probation with conditions to be removed: Philip Paradis, Steven Chicurel, Terry Powell, and Sarah Steinman.

Terminal Reappointment: Leon Arriola.

Upon a motion by Regent Wingate, seconded by Regent Downing, the recommendation passed with eight aye votes and one abstention by Regent Thomson.

B-4 President Boothe recommended approval of the non-academic personnel actions for the period October 1 through December 31, 1994, and commented on the length of service of two of the retirees, namely Roberta Brinkley, Chase Law, 20 years service; and Ralph Martz, Campus Planning, 22 years service. Upon a motion by Regent Thomson, seconded by Regent Zapp, the recommendation passed with eight aye votes and one abstention by Regent Herald. Non-Academic Personnel Actions

B-5 President Boothe recommended the appointment of Linda Kirk Price, Assistant Director - Embrace Opportunity Campaign, effective October 10, 1994 through November 10, 1995. President Boothe commented that Ms. Price has a very strong background and he feels fortunate to have her in the short-term. Upon a motion by Regent Downing, seconded by Regent Wingate, the recommendation passed with eight aye votes and one abstention by Regent Herald. Admin. Personnel Actions

B-6 President Boothe presented the 1995-96 tuition schedule stating that the Council on Higher Education mandates the rates and that individual Boards are required to adopt these. The CHE does a survey as to what other states are doing compared to income level for Kentuckians based upon ability to pay according to Kentucky standards. Regent Poston questioned why increase percentage for the law school is almost twice that of undergraduate. President Boothe replied that law schools pay full cost and that professional schools are always higher. Upon a motion by Regent Zapp, seconded by Regent Herald, the recommendation passed with eight aye votes and one no vote by Regent Wingate. Tuition Schedule

B-7 President Boothe presented the 1995-96 fee schedule stating that it is customary to do so at the January quarterly meeting to provide students with ample planning time. The proposed fees have been shared with constituent groups on campus. There is no change in most fees. The major change or new fees include a technology fee, a support of learning surcharge, an all-card ID system fee, and increases in parking fees. Upon a motion by Regent Taliaferro, seconded by Regent Wingate, the recommendation passed unanimously. Fees Schedule

B-8 President Boothe recommended the upward amendment of the 1994-95 operating budget to provide for the distribution of surplus revenue by the state. Upon a motion by Regent Thomson, seconded by Regent Zapp, the recommendation passed unanimously. Operating Budget Adjustment

B-9 President Boothe recommended that the Board approve the 1994-2000 Capital Plan. Although the Natural Science Building is still the first priority, land acquisition remains a high priority. Upon a motion by Regent Herald, seconded by Regent Wingate, the recommendation passed unanimously. Six-Year Capital Plan

Chair Sparks asked for reports from the three committees:

Regent Thomson reported on the Academic Affairs Committee meeting stating several items had been discussed. The Association of Governing Boards has proposed a Higher Education Accrediting Board with national accrediting standards. Regent Thomson moved that Northern Kentucky University support this proposal. Upon a second by Regent Taliaferro, the motion passed unanimously.

Regent Thomson reported that the Academic Affairs Committee requests the following tuition resolution be taken to the Council on Higher Education:

Whereas:

1) Tuition increases combined with state support decreases has resulted in the shifting of more costs to students in the past decade.
2) Northern Kentucky University is the most tuition reliant public university in the state.
3) The impact of repeated tuition increases has resulted in:
..a dramatic increase in student use of loans to pay for education.
..more students working full-time and going to NKU full-time
..students delaying their graduation time-table until they can afford their education.

Be it resolved by the Regents of Northern Kentucky University on this day, January 25, 1995 that:

1) We support a long-term baseline funding level that will not be eroded through tuition increases.
2) We support continued tuition increases only when they are matched by increases in state support dollars.
3) We urge the Council on Higher Education to advocate both permanent baseline funding and tuition increases matched state support dollars.

Upon a motion by Regent Thomson, seconded by Regent Wingate, the motion passed unanimously.

Regent Taliaferro stated that at the next Board meeting he would like for there to be a notebook-style presentation or overview of recruitment in the interest of diversification. President Boothe agreed we will have a presentation.

Regent Taliaferro also commented on the Paver Program and moved that at the next Board meeting the administration present a written plan that would involve a campaign base/challenge goals timetable. Upon a second by Regent Thomson, the motion passed unanimously.

Regent Poston reported on the Student Affairs/Advancement Committee meeting, stating the Committee tried to focus on issues of student access at NKU. At the next monthly meeting, enduring goals will be discussed with the Committee.

Regent Zapp reported on the Administration and Finance Committee meeting. Items on the agenda included quarterly financial report, the fee schedule and projects in the six-year plan. Future agenda items will include the investment policy of the University, cash management policy, and debt capacity. Regent Zapp stated he will be reporting back as the Committee moves forward.

President Boothe, on behalf of the staff, commented that he is very enthused and pleased about the committee approach and that the Board reports to him it has gone very well. Chair Sparks expressed her pleasure also and thanked everyone for their reports.

President Boothe called attention to dates of upcoming events and urged everyone to attend as many events as possible.

President Boothe announced that Norleen Pomerantz should be congratulated as she has completed work on her Ph.D. and is now Dr. Pomerantz.

Regent Thomson moved that the Board convene in executive session to discuss property matters. On a second by Regent Herald, Board moved into executive session at 5:40 p.m. The Board reconvened in public session at 6:50 p.m. Exec. Session

Regent Poston moved that the Board authorize the administration to work with the Commonwealth to remove certain deed restrictions of a potential real estate transaction discussed in executive session. Upon a second by Regent Taliaferro, the motion carried with eight aye votes and one abstention by Regent Taliaferro.

Adjournment:

Upon a motion by Regent Thomson, seconded by Regent Zapp, the meeting adjourned at 6:55 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on January 25, 1995, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

Agenda
Northern Kentucky University
Wednesday, March 22, 1995 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Approval of Minutes — January 25, 1995 Quarterly Meeting

A. Presidential Reports
1. A-133 Audit Report
2. The Steely Library Paver Project
3. Embrace Opportunity (oral report)

B. Presidential Recommendations
1. Academic Personnel Actions
2. Temporary Faculty Appointments
3. Continued Probation and Continued Probation with Conditions to be Removed.
4. Administrative Personnel Action
5. Real Property Lease Agreement; lower level of 412 JHR

C. Other
1. Committee Reports

2. Quarterly and Special Meeting Schedule
March 22, 1995
May 3, 1995
July 26, 1995

3. Council on Higher Education Meeting Schedule
May 1, 1995 - Georgetown
May 22, 1995
July 10, 1995
September 17-18, 1995 - Conference on Trusteeship
November 6, 1995

4. Upcoming Activities
April 11, 1995 - Lincoln Award Dinner
April 27 and 28, 1995 - Steely Library Dedication
May 13, 1995 - Commencement
May 25, 1995 - NCCJ Dinner
August 31 - Founders' Day
- Cincinnati Pops Orchestra
September 23, 1995 - Friends of Fine Arts Event

MAR 22 1995

Board of Regents Meeting
March 22, 1995

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, March 22, 1995, by Chair Alice S. Sparks. The invocation was given by the Reverend Bill Ellis, Baptist Campus Minister.

Roll Call: Karen Bearden, Robin Crigler, Frank Downing, Barbara Herald, James Poston, Alice Sparks, Philip Taliaferro, Michael Thomson and Paul Wingate.

Others present: President Leon E. Boothe, Steve Olding - Recorder Newspapers, Linda Wright, Dolores Thelen, Delores Anderson, Joyce Maegly, Becky Meyers, Nancy Firak, Carla Chance, Mary Ryan, Paul Gaston, Norleen Pomerantz, Sheila Trice Bell, Peter Hollister, Leo Calderon, Mike Baker, Tom Isherwood, Tom Comte, Rogers Redding, Chris Comte, Deanna Calvert, Marian Winner, Mary Paula Schuh, Rosetta Mauldin, and Jim W. Bach - The Northerner.

Chair Sparks introduced Athletic Director Jane Meier and thanked her for all her efforts involved with hosting the NCAA tournament. Ken Shields was not present as he was attending the Elite Eight but congratulations have been extended to him. Some of the team's stats Chair Sparks shared included: the team's overall record of 25-4, Ken Shields named Coach of the Year in the GLVC, LaRon Moore named to GLVC All-Conference First Team, Reggie Talbert named to GLVC All-Conference Second Team, Ryan Schrand Honorable Mention on the GLVC All-Conference Team, and Shannon Minor All-Tournament Team recognition during the NCAA Great Lakes Regional Championship.

Chair Sparks recognized Walter Corbean, Assistant Coach, and asked him to introduce and comment on the accomplishments of three of the players who were present: Andre McClendon, Shannon Minor, and Reggie Talbert.

President Boothe commented that Coach Corbean, who is also an Academic Advisor, helps keep priorities in line by emphasizing the importance that players be good students as well as good athletes. He added that of all the teams he has seen, this will be the team he remembers most, a team that played well and at all times represented the University well.

Chair Sparks asked if there were any corrections to the minutes of the regular quarterly meeting of January 25, 1995. There being no changes or corrections, the minutes will stand as presented.

President Boothe announced that Sheila Trice Bell was the recipient of the Thomas S. Biggs, Jr. Award which is presented for dedicated legal service to the University community by the National Conference on Law and Higher Education.

President Boothe also announced that Chair Alice Sparks had just recently been named as one of the Enquirer Women of the Year which is quite an accomplishment.

Chair Sparks congratulated President Boothe on becoming a grandfather for the first time, Katherine was born Saturday, March 18.

Presidential Reports:

A-1 President Boothe presented the A-133 Audit Report noting that the results of the audit were very favorable with no material weaknesses noted. Only one recommendation was made concerning the University maintaining a master list of required reports which need to be filed and respective due dates for all grants. This recommendation has been accepted by the University. At this time President Boothe recognized Mike Baker and thanked him and his team for their hard work. — A-133 Audit Report

A-2 President Boothe commented on the Steely Library Paver Project report prepared by the Vice President for Development to bring the Board up to date on what has happened. Extensive effort has been made to make this project known to everyone. Regent Taliaferro stated that there would be an active campaign from April 7 through May 7. A number of people have already agreed to serve on this month-long campaign, they are: the four Deans, Regents Thomson, Herald and Wingate, Nathan Smith, and Ernest Britton. Peter Hollister will chair this committee. Nancy Firak, Paul Gaston and Leon Boothe have volunteered to do some speaking and we will try to have a base goal of 500 pavers and a challenge goal of 1,000 pavers. — Steely Library Paver Project

A-3 Peter Hollister gave an oral report on Embrace Opportunity stating he was pleased to say we had a $340,000 month since the last report and are almost at the $8.3 million mark now. He feels very good about some things that are happening. There was a major anonymous gift of $200,000. — Embrace Opportunity

Presidential Recommendations:

B-1 President Boothe recommended the following academic personnel actions for Board approval. — Academic Personnel Actions

Administrative Appointment: Chris Comte, Director of Credit Continuing Education and Distance Learning, effective January 23, 1995.

Leaves, Paid/Unpaid: Mary Ellen Elsbernd, Associate Professor of the W. Frank Steely Library, partial unpaid leave with benefits paid by employee, beginning June 1, 1995, and ending July 31, 1995.

At this time, President Boothe introduced Chris Comte and commented on her impressive background. Upon a motion by Regent Wingate, seconded by Regent Bearden, the recommendation passed with eight aye votes and one abstention by Regent Thomson.

B-2 President Boothe recommended approval of the following temporary faculty appointments for the spring semester of the 1994-95 fiscal year: Douglas Glenn Knerr and Frederic J. Krome, History and Geography; and Michael L. Principe, Legal Programs. Upon a motion by Regent Bearden, seconded by Regent Downing, the recommendation passed with eight aye votes and one abstention by Regent Thomson. — Temp. Faculty Appointments

B-3 President Boothe recommended approval of the following: — Continued Probation
Continued Probation: Andrew Taylor, Gina Foletta, Kevin Kirby, Randy Pennington, Charles Chukwudolue, Yushi Li, George Aldhizer, Rebecca Ball, Patricia Knox, Theresa Paulin, Deborah Pressly,

Margaret Anderson, Ellen Benton, Robert Brautigan, Jack O'Gorman, and Donna Smith.

Continued Probation with conditions to be removed: Lon Richardson. Upon a motion by Regent Herald, seconded by Regent Wingate, the recommendation passed with eight aye votes and one abstention by Regent Thomson.

B-4 President Boothe recommended the approval of the resignation of Linda Kirk Price, Assistant Director-Embrace Opportunity Campaign, effective March 17, 1995. Upon a motion by Regent Herald, seconded by Regent Thomson, the recommendation passed unanimously. Admin. Personnel Action

B-5 President Boothe recommended approval for the University to enter into a lease agreement for rental of the lower level of the property located at 412 Johns Hill Road. Upon a motion by Regent Thomson, seconded by Regent Downing, the recommendation passed unanimously. Real Property Lease Agreement

President Boothe called attention to dates of upcoming events, noting that Bud Pogue and John Pepper are the recipients of the Lincoln Award which will be presented on April 11. The Steely Library Dedication Social is April 27 and Commencement is May 13.

Chair Sparks asked for reports from the three committees:

Student Affairs/Advancement Committee:

Regent Poston stated there was nothing further to report as everything was covered in the Steely Library Paver Project Report, A-2. Committee Reports

Academic Affairs Committee:

Regent Taliaferro reported that the committee discussed accreditation and requested a notebook be provided. Also discussed re-inventing the University. President Boothe stated re-inventing the University is one of the items that will be discussed at the upcoming President's Staff retreat. A retreat with the Board will be planned later to talk about these issues.

Administration and Finance Committee:

Regent Herald reported that budget and student enrollment were discussed. Ms. Chance stated that information from the Funding Model Work Group concerning performance funding had been distributed and discussed as well as the financial situation of the campus.

Chair Sparks announced the following committee appointments: the 1995 Nancy J. Boothe Award, Regent Taliaferro to chair; Presidential Evaluation, Regent Downing to chair; and the 1995 Regents Student Award, Regent Crigler to chair.

Regent Bearden moved that the Board convene in executive session to discuss property and legal matters. On a second by Regent Crigler, Board moved into executive session at 4:55 p.m. The Board reconvened in public session at 6:08 p.m. Exec. Session

Adjournment:

There being no further business, upon a motion by Regent Bearden, seconded by Regent Thomson, the meeting adjourned at 6:10 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on January 25, 1995, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

Revised Agenda
Northern Kentucky University
Wednesday, May 3, 1995 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Approval of Minutes March 22, 1995 Special Meeting

A. Presidential Reports
1. Grants and Contracts Quarterly Report
2. Campus Planning Report
3. Quarterly Financial Report
4. Final 1995 Spring Enrollment
5. University-wide Student Awards
6. Embrace Opportunity (oral)

B. Presidential Recommendations
1. Academic Personnel Actions
2. Regents Professorship Award (to be distributed)
3. Emeritus Faculty
4. Terminal Reappointment
5. Non-Academic Personnel Actions
6. Bad Debt Write-off
7. 1994-95 Operating Budget Adjustment
8. 1995-96 Operating Budget Recommendation
9. Nancy J. Boothe Award Selection Committee (to be distributed)
10. Naming of Facilities and Endowments

C. Regents Recommendations
1. Audit Contract Renewal

D. Other
1. Committee Reports

2. Quarterly and Special Meeting Schedule
July 26, 1995

3. Council on Higher Education Meeting Schedule
May 22, 1995 - (location to be announced)
July 10, 1995 - Bowling Green
September 17-18, 1995 - Conference on Trusteeship - Lexington
November 6, 1995 - (location to be announced)

4. Upcoming Activities
May 13, 1995 - Commencement
May 25, 1995 - NCCJ Dinner
August 31, 1995 - Founders' Day
- Cincinnati Pops Orchestra
September 23, 1995 - Friends of Fine Arts Event

MAY 03 1995

Board of Regents Meeting
May 3, 1995

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, May 3, 1995, by Chair Alice S. Sparks. The invocation was given by Dr. Paul Gaston, Provost and also Deacon of Trinity Episcopal Church.

Roll Call: Frank Downing, Barbara Herald, James Poston, Alice Sparks, Philip Taliaferro, Michael Thomson, William Verst and Paul Wingate.

Others Present: President Leon E. Boothe, Elzie Barker, John Bach, Shannon Jones, Thomas Roose, Abdeljalil Elayyadi, Julia Taylor, Bruce Hill, Gwendolyn Steffen, Russ Proctor, Mary Lee Chance, Carla Chance, Delores Anderson, Peter Hollister, William Nester, Sheila Trice Bell, Paul Gaston, Leo Calderon, Mike Baker, Tom Comte, Rogers Redding, Tom Isherwood, Linda Sheffield, Nancy Firak, Mary Paula Schuh, Rosetta Mauldin, Dolores Thelen, Chuck Pettit, Debra Ann Vance - The Kentucky Post, Marian Winner, Bill Lamb, Sonda Cummins, Connie Hurst, Lenore Chambers, Ljubomir Nacev, Helen Wilhelm-Olsen, Ron Ellis, Joyce Maegly, Elizabeth Blincoe.

Chair Sparks welcomed everyone to the meeting and commented that it is nice to see so many present. She also thanked Marian Winner for all her efforts in the successful opening of the library addition and congratulated her on a nice event.

President Boothe presented Student Regent Paul Wingate with a resolution of the Board recognizing his year of service to the University Board. The resolution read as follows:

> That the Board of Regents and the entire University community extend sincere appreciation to Paul Wingate, who has served as Student Regent of Northern Kentucky University. Mr. Wingate has given generously of his time, talent, and leadership to further the ultimate goals of the University and has served as an advocate of the institution, its faculty, staff, and alumni.

President Boothe expressed his appreciation and commented on the successful future awaiting Regent Wingate.

President Boothe extended congratulations to NKU's computer team Jason Rust, Robert Chambers and Jeff Pyle, coached by Professor Robert England, and commented that they did so well in the national competition that they were invited to the international competition. All NKU team members were undergraduates while most of the other schools were represented by students in masters and doctoral programs. Our team came in nineteenth in the world.

President Boothe asked Dolores Thelen to come forward and announced that Dolores will be retiring as of June 30. He commented on her exemplary service to the University and stated that she will be enormously missed.

President Boothe announced that Student Government, under the leadership of Paul Wingate, had brought forth earlier in the year a resolution about having a commencement ceremony for the winter, this has also been of interest to some members of the Board. Beginning with winter commencement 1996, NKU will start having a mid-year commencement ceremony. Congratulations to Paul Wingate on his initiative in getting this accomplished.

At this time Chair Sparks requested President Boothe to introduce the 1995 Student Award recipients.

Presidential Reports:

A-5 President Boothe introduced the 1995 University-wide student award recipients and commented on their outstanding accomplishments. They are: Student Awards

Regents Award	Shannon M. Jones
President's Award	Abdeljalil Elayyadi
Paul J. Sipes Award	Thomas A. Roose
University Service Award	Julia A. Taylor
Excelsior Award	Bruce Hill
Faculty Senate Award	Gwendolyn S. Steffen

Chair Sparks congratulated all the recipients and wished them well on behalf of the Board.

The minutes of the March 22, 1995, special meeting were approved on a motion by Regent Verst, seconded by Regent Wingate.

Presidential Reports:

A-1 President Boothe presented the grants and contracts quarterly report stating it was a positive report as funds approved were up over one percent and number of approvals was up 52 percent. Progress is very impressive which reflects positively on the faculty. Grants and Contracts

A-2 President Boothe reported on the campus planning report stating the library expansion project is complete and dedication ceremonies had been held. Contract has been awarded and work will begin shortly on Fine Arts HVAC Upgrade. The Ceramics/Sculpture Facility is under design and an early Fall groundbreaking is anticipated. The initial design on the Network Cabling Installation, which is very critical in terms of technology, is well underway. Campus Planning

A-3 The Quarterly Financial Report was presented by President Boothe who commented that the University is very much in control of expenditures and all financial information is in order. Quarterly Financial

A-4 President Boothe presented final spring enrollment statistics which show a headcount decrease of 0.8 percent and a FTE decrease of 1.3 percent and commented that many other schools had a decline in enrollment this spring. Final Spring Enrollment

A-6 Bill Nester reported that he had met with Regent Zapp and reviewed the success of the Embrace Opportunity Campaign which currently stands at $8.4 million. There are a number of proposals out which should spike this amount in the weeks ahead. By the next Board meeting in late July, hope to have reached base goal of $10 million. Vice President Hollister added that the alumni piece of the campaign is presently being organized, that Emerson Brumback, Chief Executive Officer of Bank One, Cincinnati, has agreed to chair that campaign, and an organizational meeting will be held next week. They are very optimistic about this campaign. Embrace Opportunity

Presidential Recommendations:

B-1 — Academic Personnel Actions

President Boothe recommended the following academic personnel actions for Board approval:

Faculty Appointments: Edward E. Fenlon, Dreyfus Postdoctoral Fellow in the Chemistry Department, effective August 1, 1995; and Kristen Pfabe, Assistant Professor in the Mathematics and Computer Science Department, effective August 16, 1995.

Resignations: Stephen Goacher, Associate Professor in the Music Department, effective May 13, 1995; Cynthia Kukla, Associate Professor in the Art Department, effective May 13, 1995; and Nicholas Melnick, Professor in the Education Department (1970) through the Phased Resignation Program, effective with the 1995-96 academic year.

Upon a motion by Regent Herald, seconded by Regent Wingate, the recommendation passed unanimously.

B-2 — Regents Professorship Award

President Boothe recommended that Linda J. Sheffield be awarded a Regents Professorship and stated this had been a unanimous decision. He also commented on her very impressive resume and asked that she stand and be recognized. Regent Poston stated the Committee was extremely impressed with Dr. Sheffield's accomplishments and feel she is truly an educator. He added that he is amazed at all the things she is involved with. Regent Poston added that it is a joy to serve on this committee, as it is always enlightening to see the kind of work our instructors put into being good instructors at NKU. Upon a motion by Regent Poston, seconded by Regent Thomson, the recommendation passed unanimously.

B-3 — Emeritus Faculty

President Boothe recommended that the following individuals receive Emeritus status: Mildred Bork, Associate Professor of the Associate Degree Program in the Department of Nursing; Patricia A. Dolan, Associate Professor of Social Work in the Department of Allied Health, Human Services, and Social Work; Arthur L. Miller, Professor of Psychology in the Department of Psychology; Ralph D. O'Brien, Professor of Industrial Education and Technology in the Department of Technology; Raman J. Singh, Professor of Geology in the Department of Physics and Geology; and William S. Wagner, Professor of Physics in the Department of Physics and Geology. Upon a motion by Regent Thomson, seconded by Regent Verst, the recommendation passed unanimously.

B-4 — Terminal Reappointment

President Boothe recommended terminal reappointment for 1995-96 for Carol Gaumnitz, Accountancy, College of Business. Upon a motion by Regent Verst, seconded by Regent Poston, the recommendation passed unanimously.

B-5 — Non-Academic Personnel Actions

President Boothe recommended approval of the non-academic personnel actions for the period January 1, 1995 through March 31, 1995, and commented on the 22 years of service of Ruth Brennan, who recently retired as Academic Secretary from the Nursing Department. Upon a motion by Regent Herald, seconded by Regent Verst, the recommendation passed unanimously.

B-6	President Boothe recommended that authorization be given to the Assistant Vice President for Business Affairs/Treasurer to write off bad debts totaling $37,161.44. The bad debt write-off represents less than 1.5 tenths of a percent (.0013) of the total monies collected in tuition and fees. Upon a motion by Regent Taliaferro, seconded by Regent Poston, the recommendation passed unanimously.	Bad Debt Write-off
B-7	President Boothe recommended that the 1994-95 operating budget be amended upward $60,664 to reflect proposed budget increase due to small increases in tuition, auxiliary education services, and basketball gate receipts. Upon a motion by Regent Taliaferro, seconded by Regent Thomson, the recommendation passed unanimously.	1994-95 Oper. Budget Adjustment
B-8	President Boothe recommended approval of the 1995-96 Operating Budget for a total of $68,750,000. The budget continues to reflect the decline in state funding assistance. Student tuition and fees are really the primary source of the revenue growth for this University. Approximately $1.1 million for faculty and staff compensation increases will provide a three percent salary pool. Also, $70,000 is added to support a five percent increase for part-time faculty. Upon a motion by Regent Verst, seconded by Regent Taliaferro, the recommendation passed unanimously.	1995-96 Oper. Budget Recommen-dation
B-9	President Boothe deferred this item to Regent Taliaferro who chaired the 1995 Nancy J. Boothe Award Committee. Regent Taliaferro reported the committee had selected two people for the award this year and offered their names for approval. They are: Judith Gibbons, a member of the NKU Foundation Board and co-chair of the Friends of the Fine Arts; and Ken Shields, NKU men's basketball coach who led his team to its first ever conference title with a 25-4 record and who has given a great deal to the University. Regent Taliaferro moved that Judith Gibbons and Ken Shields be co-recipients of the award this year. Upon a second by Regent Poston, the recommendation passed unanimously.	Nancy J. Boothe Award

Regents Recommendations:

C-1	President Boothe commented on the good job done this past year by Deloitte & Touche and recommended that their contact be renewed for one year. Upon a motion by Regent Verst, seconded by Regent Wingate, the recommendation passed unanimously.	Audit Contract Renewal

Other:

Academic Affairs Committee:

Regent Taliaferro reported that the committee discussed the planning process stating we are a month away from having formal NKU objectives that will be approved by the University Planning Commission. The statewide CHE, General Education Transfer Policy, was also discussed. Regent Thomson reported on the success of the recent Academic Affairs Town Meeting, a gathering of faculty and academic staff. Provost Gaston reported that the Council of Partners in Education will sponsor a K-12 Symposium next fall, September 14-16, on effective instruction with reference to KERA.

Administration and Finance Committee:

Vice President Chance reported that this was the third meeting of this committee, and that the 1995-96 budget development and financial arrangement for land acquisition were discussed. Also discussed the CHE Task Force progress on Capital Construction, Funding Model, and technology issues. Pending items for discussion at future meetings will be investment policy and bank depository contract. Regent Herald added that the FRS System will go live June 20.

Student Affairs/Advancement Committee:

Regent Poston advised there was nothing to report as the last meeting was cancelled due to a conflict in scheduling.

President Boothe announced that the May 22 CHE meeting had been cancelled and reminded everyone about Commencement on May 13. Chair Sparks announced that the President's Golf Outing is June 21.

Peter Hollister reported the Paver Project Committee met shortly after the last Board meeting and virtually everything they wanted to do had been accomplished. Nathan Smith and Michael Franke have each authored a very nice appeal letter which, along with a brochure on the pavers, has been sent to every member of the Class of 1993 and Class of 1994. All parents, as well as all graduates of the 1995 class, have also been sent a letter and a brochure. A total of 5,884 letters and brochures have been mailed. Some returns are starting to come in, though not overwhelming. Bricks we presently have orders for are being engraved now and will be ready within the next week. At present 102-103 bricks have been sold.

Chair Sparks requested that Regents Verst, Herald and Zapp serve on the Nominating Committee and plan to report at the July 26 meeting.

Regent Herald announced that October 5 has been scheduled for Staff Awards Day.

Regent Taliaferro commented on the favorable report he had read about the Kentucky Plan in the Kentucky Post. Delores Anderson added that Northern did lead the State by exceeding goals in four areas and making significant progress in two. However, along with most of the other institutions across the state NKU did not meet goal in terms of retention.

Executive Session:

Upon a motion by Regent Thomson, seconded by Regent Downing, the Board convened in executive session at 5:15 p.m. to discuss legal matters. The Board reconvened in public session at 7:00 p.m. Executive Session

B-10 Regent Thomson moved that the Northern Kentucky University facility now known as Main Stage Theatre be permanently renamed the "NKU ____ Theatre" in honor of Candidate A. Upon a second by Regent Taliaferro, the recommendation passed unanimously.

Adjournment:

Upon a motion by Regent Thomson, seconded by Regent Taliaferro, the meeting adjourned at 7:05 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on May 3, 1995, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

Board of Regents Meeting
May 11, 1995

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 3:10 p.m. on Thursday, May 11, 1995, by Chair Alice S. Sparks.

Roll Call: Robin Crigler, Frank Downing, Barbara Herald, James Poston, Alice Sparks, Philip Taliaferro, Michael Thomson, William Verst, Paul Wingate and Robert Zapp.

Others Present: None

Executive Session:

Upon a motion by Regent Thomson, seconded by Regent Downing, the Board convened in executive session at 3:12 p.m. to discuss a personnel issue. The Board reconvened in public session at 5:50 p.m.

Regent Downing moved that Dr. Leon E. Boothe, President of Northern Kentucky University, receive a cost-of-living adjustment effective July 1, 1995, of three percent. Upon a second by Regent Taliaferro, the motion passed unanimously.

Adjournment:

Upon a motion by Regent Thomson, seconded by Regent Taliaferro, the meeting adjourned at 5:55 p.m.

Carol J. Eckstein, Assistant Secretary

Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on May 11, 1995, and that such matters are still in force and effect.

Robert W. Zapp, Secretary

Board of Regents Meeting
May 11, 1995

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 3:10 p.m. on Thursday, May 11, 1995, by Chair Alice S. Sparks.

Roll Call: Robin Crigler, Frank Downing, Barbara Herald, James Poston, Alice Sparks, Philip Taliaferro, Michael Thomson, William Verst, Paul Wingate and Robert Zapp.

Others Present: None

Executive Session:

Upon a motion by Regent Thomson, seconded by Regent Downing, the Board convened in executive session at 3:12 p.m. to discuss a personnel issue. The Board reconvened in public session at 5:50 p.m.

Regent Downing moved that Dr. Leon E. Boothe, President of Northern Kentucky University, receive a cost-of-living adjustment effective July 1, 1995, of three percent. Upon a second by Regent Taliaferro, the motion passed unanimously.

Adjournment:

Upon a motion by Regent Thomson, seconded by Regent Taliaferro, the meeting adjourned at 5:55 p.m.

Carol J. Eckstein, Assistant Secretary

Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on May 11, 1995, and that such matters are still in force and effect.

Robert W. Zapp, Secretary

Revised Agenda
Northern Kentucky University
Wednesday, July 26, 1995 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Oath of Office - Student Regent - Jamie M. Ramsey

Roll Call and Welcome

Approval of Minutes — May 3, 1995 Regular Meeting; May 11, 1995 Special Meeting

Report of the Nominating Committee

A. Presidential Reports
1. Grants and Contracts Quarterly Report
2. Campus Planning Report
3. Quarterly Financial Report
4. Transfer Module Report
5. Preliminary Summer Enrollment (to be distributed)
6. Bank Depository Contract
7. Food Service Contract
8. Kentucky Plan Update
9. Embrace Opportunity (oral)

B. Presidential Recommendations
1. Academic Personnel Actions
2. Temporary Faculty Appointments
3. Non-Academic Personnel Actions
4. Administrative Personnel Actions
5. Signature Authority Resolution
6. Amendments to Faculty Policies & Procedures Handbook 1994
7. Amendments to Faculty Senate Constitution
8. Real Property Lease Agreement
9. Foundation Affiliation Agreement
10. Legislative Advocacy
11. 1996-98 Capital Budget and 1994-2000 Information Resources Plan (mailed under separate cover on July 13 & June 16, respectively)
12. 1994-95 Operating Budget Amendment
13. 1995-96 Operating Budget

C. Other
1. Naming Committee
2. Standing Committee Reports
3. Quarterly and Special Meeting Schedule
   September 20, 1995
   November 15, 1995
   January 31, 1996
   March 27, 1996
   May 1, 1996
   July 31, 1996

4. Council on Higher Education Meeting Schedule
   September 17-18, 1995 - Conference on Trusteeship - Lexington
   November 12-13, 1995 - CHE Conference Room, Frankfort

5. Upcoming Activities
   August 16, 1995 - Chase Welcome for New Students
   August 22, 1995 - State of University Address
   August 31, 1995 - Founders' Day
   - Cincinnati Pops Orchestra
   September 11-16, 1995 - Education Awareness Week
   September 20, 1995 - Library Paver Dedication
   September 23, 1995 - Friends of Fine Arts Event
   October 5, 1995 - Staff Awards Luncheon
   November 17-18, 1995 - Fifth/Third Lions' Tip-Off Tourney
   December 1-2, 1995 - Perkins' Tourney

JUL 26 1995

Board of Regents Meeting
July 26, 1995

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, July 26, 1995, by Chair Alice S. Sparks. The invocation was given by Dr. Paul Gaston, Provost and also Deacon of Trinity Episcopal Church.

Chair Sparks administered the oath of office to Student Regent Jamie M. Ramsey and welcomed him to service on the Board.

Roll Call: Robin Crigler, Frank Downing, Barbara Herald, James Poston, Jamie Ramsey, Alice Sparks, Philip Taliaferro, Michael Thomson, and Robert Zapp.
(Excused - Karen Bearden and William Verst)

Others Present: President Leon Boothe, Phil Tworek, Vivian Llambi, Mary Paula Schuh, Carla Chance, Tom Comte, Rogers Redding, Elzie Barker, Gerald Hunter, Norleen Pomerantz, Mary Ryan, Sheila Trice Bell, Paul Gaston, Peter Hollister, William Nester, Leo Calderon, Delores Anderson, Steve Olding - Recorder Newspaper, Ken Ramey, Russ Kerdolff, Theresa Westlund, Rosetta Mauldin, Larry Terrell, Rosalie Terrell, Garry Casson, Chuck Pettit, Tom Isherwood, Susan Grover, Nancy Firak, Tim Yacks, Jennifer Boyd, Jennifer Kennedy, Brian Ellerman, Bill Lamb, Chris Mayhew and Eric Caldwell - The Northerner, Sonda Cummins, Nancy Perry, Ron Ellis and Rick Meyers.

Chair Sparks asked if there were any corrections to the minutes of the regular quarter meeting of May 3, 1995, and special meeting of May 11, 1995. There being no changes or corrections, the minutes were accepted as presented.

Staff Regent Barbara Herald, member of the nominating committee, gave the report of the nominating committee. The 1995 Nominating Committee was comprised of Barbara Herald, Bill Verst and Robert Zapp. The committee recommended the following slate of officers:

Alice Sparks	Chair
James Poston	Vice Chair
Robert Zapp	Secretary/Treasurer

Chair Sparks asked for further nominations. As no further nominations were made, Regent Downing moved that the slate presented be elected by acclamation. The motion, seconded by Regent Thomson, received unanimous approval.

President Boothe congratulated and welcomed Jamie Ramsey to the Board and commented on his service on the Council on Higher Education Board last year. President Boothe also extended congratulations to Regent Downing on the birth of his grandson this week.

Presidential Reports:

A-1 President Boothe presented the grants and contracts quarterly report stating funds approved and number of approvals were up 2.46 percent and 38 percent respectively. Total funds approved were up about $80,000 over last fiscal year. Grants & Contracts

A-2 President Boothe reported on the campus planning report calling specific attention to the upgrading of the air handling systems in the Fine Arts Center and Print Shop and the lighting control panel replacement in the Main Theatre and Black Box. The importance of Campus Planning

the Network Cabling Installation was stressed, as this project is critical to the evolution of the campus. Vice President Chance reported that the firm of Llambi and Associates had been retained to perform a schematic design for the campus entrance at U.S. 27 and Nunn Drive. This project is possible in part due to the acquisition of the Hoffman property, the anticipated removal of the University's entrance sign as part of the ongoing reconstruction of U.S. 27, and the Norse Club's desire for new electronic signage. Vivian Llambi and Phil Tworek of Vivian Llambi & Associates were introduced by Ms. Schuh, and Ms. Llambi gave a presentation on the design her firm has developed for the campus entrance.

A-3 The Quarterly Financial Report was presented by President Boothe who commented that in terms of revenue and expenditures, the University is finishing the fiscal year out in line with where it has been previously. Quarterly Financial

A-4 President Boothe presented the Transfer Module Report stating the Council on Higher Education had been directed by the General Assembly to develop a general statewide policy. The implementation of this report will bring NKU into compliance with CHE policy making it easier for students to transfer credits. This policy will become effective January 1996. Transfer Module

A-5 President Boothe presented the preliminary summer enrollment report stating enrollment had been down for the past couple of years and it is very encouraging to see an increase. Preliminary Summer Enrollment

A-6 President Boothe reported it is time for the University to rebid the banking contract as the current contract expires on February 28, 1996, and as a standard operating procedure consistent with state prescribed administrative procedure, this contract is rebid every five years. The proposed Bank Bid Committee includes Regent Zapp who is very knowledgeable in this area. Bank Contract

A-7 President Boothe reported the University has entered into a contract with Gardner Merchant (formerly Morrison's) to provide food service to the campus community. Gardner Merchant offered more national branded concepts and a better financial return. Renovation costs are being shared by the University and Gardner Merchant. The University funds are being generated through the student incidental fees earmarked for the University Center, use of these funds was approved by Student Government. Everyone seems very positive about the changes. Food Service Contract

A-8 The Kentucky Plan Update was presented by President Boothe who noted Northern had made progress in six areas and lost ground in two. In the category "Percent Progress Toward Objectives" NKU has the highest rating of any institution in the state, and it is the second year in a row that we have led the state in progress. NKU is in good position as far as this plan is concerned. Ms. Anderson agreed with President Boothe that this was a very good report. She believes that if this institution continues with the activities it is engaged in that it will maintain the goals that have been achieved. KY Plan Update

A-9 Regent Zapp reported that the Embrace Opportunity Campaign is rapidly approaching the base goal of $10 million, with a total of $9,465,528 at end of last month. Everyone who worked on this has done a great job. He added that the Corbett gift went a long way in Embrace Opportunity

helping. Since the last report, over $600,000 has been received. The Regents' and Foundation Campaign is in the final stages with the majority of the money having already been donated. The Northern Kentucky Campaign is ahead of base but below challenge goal. The Alumni Campaign is just kicking off but they look for big things there. The Cincinnati Campaign has done great with a lot of cash coming in, it certainly should exceed challenge goal. Overall, the campaign is in great shape. May not make the challenge, but should be very close.

Presidential Recommendations:

B-1 President Boothe recommended the following academic personnel actions for Board approval: Academic Personnel Actions

Administrative Appointments: Janis Fancher, Lecturer and Assistant Dean in the College of Arts and Sciences effective July 1, 1995; Thomas A. Zaniello, Professor of English in the Literature and Language Department and Director of the Honors Program, effective June 19, 1995.

Faculty Appointments: Shamima Ahmed, Assistant Professor of Public Administration in the Political Science Department, effective August 16, 1995; Edward C. Chang, Assistant Professor in the Psychology Department, effective August 16, 1995; Maria Falbo-Kenkel, Assistant Professor of Physics in the Physics and Geology Department, effective August 16, 1995; Julia Kellman, Assistant Professor of Art Education in the Art Department, effective August 16, 1995, Melinda Ann Miller, Assistant Professor of Mathematics in the Mathematics and Computer Science Department, effective August 16, 1995; Louise Niemer, Assistant Professor in the Nursing Department, effective August 14, 1995; James Roeder, Assistant Professor in the Allied Health, Human Services, and Social Work Department, effective August 16, 1995; Laura Rosenfeld, Assistant Professor of Painting in the Art Department, effective August 16, 1995; Shailendra Verma, Assistant Professor of Finance in the Economics, Finance, and Information Systems Department, effective August 26, 1995; Harold Wiebe, Assistant Professor in the Technology Department, effective August 16, 1995.

Leaves, Paid/Unpaid: James C. Claypool, Professor in the History and Geography Department, paid administrative leave with benefits, for the 1995 fall semester; Gisele Loriot-Raymer, Assistant Professor in the Literature and Language Department, unpaid leave with benefits, beginning August 16, 1995 and ending May 11, 1996; Adalberto J. Pinelo, paid administrative leave with benefits, for the 1995 fall semester

Resignations: Richard J. Cook, Associate Professor of Physics in the Physics and Geology Department, effective July 12, 1995; Kevin Harris, Lecturer in the Art Department, effective July 10, 1995; Claude Henschel, Assistant Professor in the Technology Department, effective May 13, 1995; Lon Richardson, Assistant Professor in the School of Education, effective May 9, 1995.

Upon a motion by Regent Crigler, seconded by Regent Zapp, the recommendation passed with eight ayes and one abstention by Regent Thomson.

B-2 President Boothe recommended the appointment of the following temporary faculty: Greg Dahlem, Elinor Rambo, Julia Yang Bedell, Laura L. Padolik, Eric Reginald Jackson, Frederic J. Krome, Patricia Baxter, Elmer Lange, Gary Walton, Diane Williams, Steven R. Dent, Carol A. Keim, Angela M. Birkhead-Flight, Kathleen O'Connor, Timothy Murphy, Jane Green, Bonnie Morris, Fadi V. Nahhas, Kelly Pitocco, Robert J. Ashe, James Chowning, Miriam Davies-Gibson, Deatra Sullivan, Paul Champion, Sharon Gay, Jerry Lancaster, Majeb Dabdoub, Charles Emery, Fred Petersen, Adam G. Todd, Ann Harding Whittle, Joyce Bauer, Jerry Call, Karen Keeton, Margaret Anne Kramer and Michael Raney. Upon a motion by Regent Herald, seconded by Regent Poston, the recommendation passed with eight ayes and one abstention by Regent Thomson. — Temporary Faculty Appointments

B-3 President Boothe recommended approval of the non-academic personnel actions for the period April 1, 1995 through June 30, 1995, and called attention to the length of service of four of our recent retirees: Dorothy Gammon, 17 years; John Kuntz, 18 years; Thelma Rigg, 15 years; and Dolores Thelen, 25 years. Upon a motion by Regent Thomson, seconded by Regent Downing, the recommendation passed unanimously. — Non-Academic Personnel Actions

B-4 President Boothe recommended the appointment of the following administrative personnel and asked that each stand and be recognized: Susan B. Grover, Assistant Vice President for Development, effective July 1, 1995; Gerald E. Hunter, Assistant Vice President for Administration, effective July 1, 1995; and Larry L. Terrell, Registrar, effective August 1, 1995. Upon a motion by Regent Downing, seconded by Regent Crigler, the recommendation passed unanimously. — Admin. Personnel Actions

B-5 President Boothe recommended that corporate resolution be adopted authorizing Theresa Westlund, Assistant Treasurer/Payroll Manager, as an authorized signature on the University's checking accounts. Upon a motion by Regent Thomson, seconded by Regent Zapp, the recommendation passed unanimously. — Signature Authority Resolution

B-6 President Boothe recommended approval of amendments to the Faculty Policies and Procedures Handbook 1994. Upon a motion by Regent Thomson, seconded by Regent Zapp, the recommendation passed unanimously. — Faculty Handbook Amendments

B-7 President Boothe recommended approval of amendments to the Faculty Senate Constitution to change time of election of faculty Senators from spring semester to the preceding fall semester and the election of Senate officers from late in the spring semester to the first two weeks of the spring semester to allow better timing in terms of scheduling and reorientation. Upon a motion by Regent Thomson, seconded by Regent Poston, the recommendation passed unanimously. — Faculty Senate Constitution Amendments

B-8 President Boothe recommended authorization for the University to enter into lease agreements for rental of the following houses: 241, 303, 524 and 550 Johns Hill Road. Upon a motion by Regent Crigler, seconded by Regent Herald, the recommendation passed unanimously. — Real Property Lease Agreement

B-9 President Boothe recommended authorization for the University administration to enter into a formal agreement specifying the relationship of the University and the Northern Kentucky University Foundation, Inc., an unaffiliated corporation. Regent Herald moved — Foundation Agreement

that recommendation be approved, Regent Downing seconded. Following discussion concerning length of the agreement and use of an external auditor, the recommendation passed unanimously.

B-10 — Legislative Advocacy

President Boothe recommended the endorsement of the 1995 position paper of the Kentucky Advocates for Higher Education, Inc. The advocates are a group of business people who unselfishly give of their time to try to help universities achieve better funding. They have issued a position paper which calls for a seven percent increase in each year of the biennium, or approximately $52 million in the first year and $54 million in the second year, and seeks to generate considerable grassroots support for higher education during the gubernatorial campaign and in anticipation of the 1996 General Assembly. The Consensus Committee has designated the Natural Science Building as the region's No. 1 priority. On a motion by Regent Herald, seconded by Regent Crigler, the recommendation passed unanimously.

B-11 — 1996-98 Capital Budget & 1994-2000 IRP

President Boothe recommended adoption of the 1994-2000 Information Resources Plan, dated May 10, 1995, and the 1996-98 Biennial Capital Budget Request, dated July 5, 1995. The IRP is a six-year planning document which encompasses all information resource projects and acquisitions including office automation, data processing, telecommunications. Statutes require that all capital projects of $400,000 or more and all equipment projects of $100,000 or more to be initiated in the biennium be approved by the Board of Regents, the CHE (with the exception of equipment) and listed in the Executive Budget. A new Natural Science Building remains the top priority. Land acquisition also remains a high priority. An additional priority is the expansion of the University Center. Motion was made by Regent Taliaferro and seconded by Regent Downing to approve recommendation. There was a lengthy discussion concerning the Campus Network System and where it fits into overall priorities. Dr. Casson gave an explanation of the IRP document process. Following a lengthy discussion, the recommendation was voted on and passed. President Boothe thanked Dr. Garry Casson for his participation.

B-12 — 1994-95 Operating Budget Amendment

President Boothe recommended that the 1994-95 Operating Budget be amended upward from $65,822,114 to $65,900,956 to reflect proposed budget increase since March 30, 1995, due in part to an increase in tuition and fees, increase in athletic and theatre ticket sales, and increased sales in the Bookstore. Upon a motion by Regent Thomson, seconded by Regent Zapp, the recommendation passed unanimously.

B-13 — 1995-96 Operating Budget

President Boothe recommended that the 1995-96 Operating Budget be approved for a total of $68,750,000. Tuition and fees provide the bulk of the additional revenue. Revenue reflects a three percent increase in State general fund base operating appropriation. The State general fund operating appropriation is approximately $400,000 more than the final 1992 appropriation. The total growth in State general fund operating support over the past four years is approximately 1.6 percent. Fixed costs will increase by approximately $540,000. The Support of Learning Surcharge (approved with a 3-Year Sunset provision) provides $161,000 and will directly benefit the library and provide some relief to departments obligated to provide costly instructional materials to students. Upon a motion by Regent Zapp, seconded by Regent Thomson, the recommendation passed unanimously.

At this time President Boothe recognized and thanked Nancy Firak for her service as Chair of Faculty Senate.

Executive Session:

Executive Session

Pursuant to the provisions of the Open Meetings Law, Regent Zapp moved that the Board go into executive session to discuss real property issues pursuant to KRS 61.810(1)(b) and personnel matters pursuant to KRS 61.810(1)(f). Motion was seconded by Regent Thomson. The Board convened in executive session at 5:35 p.m. following a unanimous vote. The Board reconvened in public session at 7:05 p.m.

Regent Thomson made a motion that the Board request that the NKU Foundation continue providing special financial support for the President. Upon a second by Regent Downing, the motion passed unanimously.

Other:

C-1 Regent Poston made a motion that the Northern Kentucky University facility located at the intersection of Rt. 27 and Nunn Drive and now known as the Alumni Reception Center be renamed the "_____ Alumni Reception Center" in honor of (candidate A). Further, on the future receipt of a considerable gift from a relative of Candidate A, the new alumni/faculty/staff center which will result from that gift be named in a way appropriate to honor the family name. Upon a second by Regent Zapp, the motion passed unanimously.

Academic Affairs Committee:

No report. Dr. Gaston advised that a standard date has been established for this committee to meet, 2 p.m. on day of Board meetings.

Student Affairs/Advancement Committee:

Regent Poston asked that Vice President Pomerantz give the report for this committee. Dr. Pomerantz reported that in terms of the annual fund for Northern, the goal was $740,000 and it looks as if that will be exceeded by $10,000 to $20,000. Reported on (1) University Legislative Action Task Force (action plan has been outlined), (2) new series of print media which features faculty and students, and (3) gave extensive report on Fall enrollment. Compared to same time last year undergraduate and graduate applications and transfers are up, there are more women than men enrolled, and there are more Kentucky students this year.

Administration and Finance Committee:

Regent Downing reported the following items were discussed at the June meeting of this committee (1) bank contract, (2) U.S. 27 Entranceway Project, (3) budget proposal, and (4) Information Resources Plan.

President Boothe called attention to dates of upcoming activities, the State of the University Address on August 22, Founders' Day on August 31 (Mrs. Corbett has been invited to be a guest on Founders' Day), Education Awareness Week September 11-16, Conference on Trusteeship on September 17-18, and the September 20 Board Meeting and Library Paver Dedication.

President Boothe also commented that we have just finished a very successful Governor's Scholars Program on campus.

Adjournment:

Upon a motion by Regent Downing, seconded by Regent Poston, the meeting adjourned at 7:20 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on July 26, 1995, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

Agenda
Northern Kentucky University
Wednesday, September 20, 1995 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Introduction of Faculty Senate Officers

Introduction of Staff Congress Officers

Approval of Minutes July 26, 1995 Regular Meeting

A. Presidential Reports
1. 1995 Final Summer Enrollment
2. 1995 Preliminary Fall Enrollment
3. Embrace Opportunity (oral)

B. Presidential Recommendations
1. Academic Personnel Actions
2. Temporary Faculty Appointments
3. Faculty Tenure
4. Chase College of Law Faculty Policies and Procedures Handbook
5. Bachelor of Interdisciplinary Early Childhood Education Degree
6. Fire Service Contract

C. Other
1. Standing Committee Reports

2. Quarterly and Special Meeting Schedule
November 8, 1995
January 31, 1996
March 27, 1996
May 1, 1996
July 31, 1996

3. Council on Higher Education Meeting Schedule
September 17-18, 1995 - Conference on Trusteeship - Lexington
October 9, 1995 - Special Meeting - Holiday Inn, Frankfort
November 12-13, 1995 - CHE Conference Room, Frankfort

4. Upcoming Activities
September 23, 1995 - Friends of Fine Arts Event
October 6, 1995 - Staff Awards Luncheon
November 17-18, 1995 - Fifth/Third Lions' Tip-Off Tourney
December 1-2, 1995 - Perkins' Tourney
February 3, 1996 - Homecoming

SEP 20 1995

Board of Regents Meeting
September 20, 1995

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 4 p.m. on Wednesday, September 20, 1995, by Chair Alice S. Sparks. The invocation was given by Dr. Paul Gaston, Provost and also Deacon of Trinity Episcopal Church.

Roll Call: Robin Crigler, Barbara Herald, Jamie Ramsey, Alice Sparks, Michael Thomson, and William Verst.
(Excused - Karen Bearden, Frank Downing, James Poston, Philip Taliaferro and Robert Zapp)

Others Present: President Leon Boothe, David Niinemets - Recorder Newspapers, Janet M. Johnson, Esther S. Green-Merritt, Sarah O. Steinman, Ken Carter, Edward Goggin, David C. Short, Sheila Trice Bell, Paul Gaston, Elzie Barker, Peter Hollister, Carla S. Chance, Leo Calderon, Tom Comte, Tom Isherwood, Rogers Redding, Mary Paula Schuh, Robert R. Appleson, Norleen Pomerantz, Diana Schneider, Cheryl Torline, Chuck Pettit, Marian C. Winner, Gerald E. Hunter, Chris Mayhew - The Northerner, Lynn Ebersole, Fred Schneider, Kathie Verderber, Carrie McCoy.

Chair Sparks welcomed everyone to the meeting and commented on the recent Council on Higher Education Meeting in Lexington which was attended by the gubernatorial candidates.

Chair Sparks commented on the accomplishments of the NKU cheerleaders and introduced those in attendance. They have qualified for the UCA National Cheerleading Competition for Division II squads for the past three years with finishes of 6th, 7th and 5th, respectively. Their Partner Stunt Couple, Jamie Brewer and Lauri LaFrange, the only Division II couple selected, finished 7th in the nation. In August, while attending the UCA Cheer Camp, they placed third in cheer competition, second in fight song competition, first in side by side competition, and received the Leadership Award. Those in attendance today are: Kresta McKay, Jamie Yelton, Patrick Brady, Michelle Lucas and Coach Sandy Hasson.

President Boothe introduced the new Faculty Senate Officers: Kathie Verderber, President; Carrie McCoy, Vice President; Danny Miller, Parliamentarian; Don Kelm, Secretary; Lynn Ebersole, Chair of Budget Committee; Linda Olasov, Chair of Curriculum Committee; Gary Scott, Chair of Benefits Committee; and Fred Schneider, Chair of Professional Concerns Committee.

Officers of Staff Congress were also introduced by President Boothe: Chuck Pettit, President; Cheryl Torline, President Elect; and Diana Schneider, Secretary.

Chair Sparks asked if there were any corrections to the minutes of the regular quarterly meeting of July 26, 1995. There being no changes or corrections, the minutes were accepted as presented.

Presidential Reports:

A-1 President Boothe reported on 1995 Final Summer Enrollment stating that after two or three summers of a decline in enrollments, there was a 1.3 percent increase in headcount and 0.7 percent increase in FTE. This is good news. Final Summer Enrollment

A-2 President Boothe reported on 1995 Preliminary Fall Enrollment. Report shows headcount is down as well as FTE. Nationally, higher education enrollment is down this year. The community colleges are down the second year in a row and they have been the fastest growing segment in higher education in Kentucky. Prelim. Fall Enrollment

A-3 Vice President Hollister reported the Embrace Opportunity Campaign is extremely close to the base goal of $10 million with $9,780,000 at this time, a little less than one-half of this amount is in deferred gifts and about 52 percent is in cash gifts. This report is about $350,000 higher than reported at last meeting. One gift is a $200,000 cash donation from an anonymous donor, there are two $50,000 and one $25,000 deferred gifts from three alumni, several $5,000 gifts, and some smaller gifts. The Campaign continues to go extremely well and Vice President Hollister is confident we will make the $10 million goal. Embrace Opportunity

Executive Session:

President Boothe requested the Board convene in executive session to discuss a personnel matter. Upon a motion by Regent Thomson, seconded by Regent Crigler, the Board convened in executive session at 4:12 p.m. The Board reconvened in public session at 4:25 p.m. Executive Session

Presidential Recommendations:

B-1 President Boothe recommended the following academic personnel actions for Board approval: Academic Personnel Actions

Administrative Appointments: K. Kenneth Carter, from Professor in the School of Education to Interim Chair and Professor in the School of Education, effective from August 16, 1995 through June 30, 1996. President Boothe acknowledged and thanked Dr. Carter for his willingness to serve NKU again as Interim Chair and stated NKU is very fortunate to have him assume these responsibilities.

Faculty Appointments: Janis Broering, Lecturer in the Developmental Mathematics Program, effective August 16, 1995; Ruth Champion, Assistant Professor in the Technology Department, effective August 16, 1995; Patricia R. Connelly, Lecturer in the Developmental Mathematics Program, effective August 16, 1995; Wanda J. Crawford, Lecturer in the Learning Assistance Center, effective August 16, 1995; and Esther Green-Merritt, Assistant Professor in the School of Education, effective August 16, 1995.

Leaves, Paid/Unpaid: James C. Claypool, Professor in the History and Geography Department, change in previously approved paid administrative leave with benefits, from the 1995 fall semester to the 1996 spring semester; Angela King, Associate Professor in the Psychology Department, unpaid leave without benefits, beginning August 16, 1995 and ending May 11, 1996.

Resignations: Sharon Gay, Instructor (temporary) in the School of Education, effective July 18, 1995.

Upon a motion by Regent Herald, seconded by Regent Verst, the recommendation passed unanimously.

B-2 President Boothe recommended the appointment of the following temporary faculty: Kimberly Dunn, Leanne Schmidt, Conard Carroll, Lydia Coyle, Irene Encarnacion, Andrew Miller, Carol Pennington, James Guinn, Alan D. Kerrick, Rose Maxwell, Minda J. Michels, Mary Jo Beresford, Michele Roszmann-Millican, Allen Hugh Seed, Rosemary Winkeljohann, Jihad Albayyri and Janalynn S. Anderson. Upon a motion by Regent Verst, seconded by Regent Thomson, the recommendation passed unanimously. Temp. Faculty Appointments

B-3 President Boothe recommended the following faculty member for tenure beginning with the 1995-96 academic year: Ronald A. Shaw, Assistant Professor in the Theatre Department. Upon a motion by Regent Verst, seconded by Regent Crigler, the recommendation passed unanimously. Faculty Tenure

B-4 President Boothe recommended that the revised Chase College of Law Faculty Policies and Procedures Handbook receive Board approval. Upon a motion by Regent Verst, seconded by Regent Thomson, the recommendation passed unanimously. Chase Faculty Pol.&Proc. Handbook

B-5 President Boothe recommended that a new degree, Bachelor of Interdisciplinary Early Childhood Education in the College of Professional Studies, be approved for initiation at the beginning of the 1996 fall semester. This new degree is in response to KERA in terms of some of the childhood programming that is required. Upon a motion by Regent Thomson, seconded by Regent Herald, the recommendation passed unanimously. New Bachelor Degree

B-6 President Boothe recommended that the Board authorize the administration to renew the University fire service contract with the Cold Spring-Crestview Volunteer Fire Department. Upon a motion by Regent Verst, seconded by Regent Ramsey, the recommendation passed unanimously. Fire Service Contract

Other:

Academic Affairs Committee:

Regent Thomson reported the committee spent the bulk of the time looking at the fall enrollment situation and commented on steps that are being taken to increase enrollment. The committee also discussed accreditation issues and Education Awareness Week.

Dr. Gaston commented on the upcoming Library Appreciation Week which will be an opportunity for the University community to express appreciation to the faculty and staff of Steely Library. A large banner that will be displayed during Library Appreciation Week was shown.

Student Affairs/Advancement Committee:

Regent Ramsey reported this committee had also discussed the enrollment issue. He also stated that Regent Crigler had joined the committee replacing Regent Verst.

Administration and Finance Committee:

Regent Herald reported the following items were discussed at the August meeting of this committee: enrollment, bank depository contract, and the new health insurance which is going into effect January 1, 1996. Regent Herald stated Ms. Chance has been very helpful with leading meetings across campus to let people know what is happening in the area of health care. At the conclusion of the meeting, the Committee toured the new food court.

Regent Thomson commented on the new identification card system which was recently implemented and thanked Ken Ramey for all his efforts on an excellent job.

President Boothe reminded everyone about the upcoming Friends of Fine Arts Event on September 23 and expressed appreciation to Bill Verst and his business as one of the major sponsors of this important event. Regent Sparks reminded everyone

of the Staff Awards Luncheon on October 6 and the Dolores Thelen Annual Golf Outing Friday, September 22.

Chair Sparks requested that Regents Bearden, Crigler and Downing serve on a By-Laws Review Committee with Regent Crigler chairing the committee.

Executive Session:

Upon a motion by Regent Thomson, seconded by Regent Herald, the Board convened in executive session at 4:45 p.m. to discuss issues which are exempt from discussion in open session. Executive Session

Adjournment:

Upon a motion by Regent Thomson, seconded by Regent Herald, the meeting adjourned at 5:10 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on September 20, 1995, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

Revised Agenda
Northern Kentucky University
Wednesday, November 15, 1995 - 4 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Introduction of Regents' Distinguished Service Award Recipients

Approval of Minutes — September 20, 1995 Special Meeting

A. Presidential Reports
1. President's Annual Report
2. Grants & Contracts Quarterly Report
3. Quarterly Financial Report
4. Quarterly Campus Planning Report
5. 1995 Final Fall Enrollment (to be distributed)
6. Health Alliance Participation
7. Roadway Access Improvement
8. Hardware/Software Platform Conversion

B. Presidential Recommendations
1. Faculty Promotion
2. Academic Personnel Actions
3. Non-Academic Personnel Actions
4. Administrative Personnel Actions
5. University Organizational Chart
6. Handbook for Department Chairs
7. Conflict of Interest/Financial Disclosure Policy & Procedures
8. Land Acquisition Ratification
9. Real Property Lease Agreement
10. Task Force for Future Development of Covington Campus

C. Regent Recommendations
1. Audit Committee - Annual Financial Report

D. Other
1. Standing Committee Reports

2. Quarterly and Special Meeting Schedule
January 31, 1996
March 27, 1996
May 1, 1996
July 31, 1996

3. Proposed Council on Higher Education Meeting Schedule
January 22, 1996
March 4, 1996
May 20, 1996
July 15, 1996
September 15-16, 1996 Trusteeship Conference
November 11, 1996

4. Upcoming Activities
November 16, 1995 - Foundation Appreciation Dinner
~~November 17-18, 1995 - Fifth/Third Lions' Tip-Off Tourney~~
~~December 1-2, 1995 - Perkins' Tourney~~
~~February 3, 1996 - Homecoming~~
April 18, 1996 - Lincoln Award Dinner

NOV 15 1995

Board of Regents Meeting
November 15, 1995

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:05 p.m. on Wednesday, November 15, 1995, by Chair Alice S. Sparks. The invocation was given by Dr. Paul Gaston, Provost and also Deacon of Trinity Episcopal Church.

Roll Call: Karen Bearden, Frank Downing, Barbara Herald, James Poston, Jamie Ramsey, Alice Sparks, Michael Thomson, and William Verst.
(Excused - Robin Crigler, Philip Taliaferro and Robert Zapp)

Others Present: President Leon Boothe, Paul Gaston, William Nester, Clifford Shisler, Bill Oliver, Karla Scoon - The Enquirer, Sheila Trice Bell, Norleen Pomerantz, Leo Calderon, Carla Chance, Mike Sewell, Dan Seibert, Mike Baker, Linda Davis, Russ Kerdolff, Garry Casson, Rosetta Mauldin, Tom Isherwood, Mary Paula Schuh, Susan Grover, Kathleen Verderber, Chuck Pettit, Kathy Stewart, Donald Grothaus, Leva Kidd, Chris Mayhew - The Northerner, Debra Vance - The Kentucky Post.

Chair Sparks welcomed everyone to the meeting.

President Boothe introduced the Regents Distinguished Service Award recipients and stated that they are three outstanding individuals who reflect the quality of the staff at Northern. They are: Donald Grothaus, Construction Supervisor, Physical Plant, employed February 1980; Leva Kidd, Administrative Secretary, WNKU Radio Station, employed March 1988; and Kathy Stewart, Manager of Publications & Periodicals, University Relations, employed October 1982.

Chair Sparks asked if there were any corrections to the minutes of the meeting of September 20, 1995. There being no changes or corrections, the minutes were accepted as presented.

President Boothe, on behalf of the University community, extended congratulations to Regent Poston on his recent marriage. He also commented we are off to a great start as far as intercollegiate athletics. The soccer team and volleyball team won their GLVC conferences, and Mary Biermann was elected Coach of the Year for the second year in a row. Kerry Lewin broke every NCAA record, Division I, II III in service aces, the first person in history at Northern who has achieved this record. Kerry was also selected as Player of the Year for the GLVC Conference.

Presidential Reports:

A-1 President Boothe presented the Annual Report outlining some of the achievements and educational advances over the past year. Steely Library expansion was completed and access to electronic resources was expanded with support from a capital gift from Fidelity Investments. A couple of significant grants in the College of Arts & Sciences included the NSF grant for computers and dissecting microscopes for biology laboratories, and a $65,000 grant from the National Oceanic & Atmospheric Administration for Regents Professor Dr. Miriam Kannan. The College of Professional Studies continued outstanding results in licensure examinations. NKU hosted the Governor's Scholars Program for the second summer and will continue to host this program for the next two to three years. The year's activities included the appointment of Carla Chance as Vice President for Administration and Norleen Pomerantz as Acting Vice President for Student Affairs. Barbara Herald was elected to the new position of Staff Regent. The College of Business has been the

Annual Report

recipient of numerous Capital Campaign gifts, and the Chase College of Law was the beneficiary of a large gift from an anonymous donor to refurbish and upgrade the library, including a new computer lab. Two of the area's most respected citizens were co-recipients of the Lincoln Award - Henry (Bud) Pogue and John Pepper. Dr. Linda Sheffield was awarded the Regents Professor Award and Dr. James Luken was named Outstanding Professor for 1995. Other outstanding awards: President and Nancy Boothe were recipients of the Northern Kentucky Chamber of Commerce Frontiersman Award, Alice Sparks was named one of the Cincinnati Enquirer's Women of the Year, and Coach Ken Shields was named Division II Coach of the Year. Numerous activities in the diversity area included Martin Luther King, Jr. Day, concerts, lectures and discussions. Northern's Information Systems Enhancement (NISE) project began in July 1994 with the designation of Financial Records System Teams. This major system enhancement project positions NKU to effectively and efficiently meet the needs of students, faculty and staff into the coming decade. College of Business celebrated its Tenth Anniversary by sponsoring a forum "How Health Care Reform Will Affect Your Business" which was very well received. NKU worked with KET on the Rosemary Clooney Concert which was outstanding. Visitors to campus last year included Anatoliy Kapoustine of the Russian University of People's Friendship, noted jurist Nathaniel R. Jones, United States Court of Appeals, and W. Michael Reisman, professor at Yale Law School, who presented this year's Siebenthaler Lecture.

A-2 — Grants and Contracts

President Boothe presented the Grants and Contracts quarterly report. This is the first time in a long time that we have seen the decreases we have. "Dollars Approved" represents a decrease of 5.01 percent compared to one year ago. The categories "Funds Requested" and "Number of Requests" represent decreases of 13.08 percent and 16.22 percent, respectively. Provost Gaston stated part of the problem is how money is accounted for, total dollars are down as the result of one grant coming in after October 1. Results will look better next quarter, things are not as bad as they seem.

A-3 — Quarterly Financial Report

The Quarterly Financial Report indicates that all financial information is in order. This is due to tight management and a good management team. The University effectively manages its resources.

A-4 — Campus Planning Report

President Boothe reported on the campus planning progress report indicating the Fine Arts HVAC upgrade is substantially complete and the library project is complete except for the punch list. The Ceramics/Sculpture facility is under design, and the Corbett gift is replacing an 18-year old very outdated lighting system in the Main Stage Theater. Progress continues to be made on the Network Cabling Installation. The Print Shop HVAC Upgrade is about 75 percent complete. Efforts are underway to finalize funding for a new campus entrance sign at US 27. President Boothe emphasized this project will be accomplished with private funding and right-of-way funds received from the state for the US 27 project. No money will be taken from operating funds for this project. The Elevator Upgrade for Nunn Hall and Natural Science Center is currently in the design phase. A project has been initiated to replace the roof on the Maintenance Building. Using funds provided by a private donor, the Law Library has been recarpeted and a micro-computer lab has been constructed and equipped.

A-5 — Final Fall Enrollment

President Boothe reported on Final Fall Enrollment commenting it is down as earlier reported in preliminary figures. Comments were also

made on a report just received from Vice President Pomerantz on a pilot study of people who did not come back to the University.

A-6 — President Boothe presented a report on the Kentucky Health Alliance Participation and stated that NKU's Health Care Utilization Committee had worked very hard to provide information to the University community to assist in their choice of health care, a much wider choice is now available to the employees. Discussion followed concerning cost to employees being more now than before. Regent Thomson stated he feels employees, particularly the family plans, are funding a large part of uninsured people in the State. — Health Alliance Participation

A-7 — The Roadway Access Improvement report was presented by President Boothe who commented that Representative Jim Callahan and Senator Joe Meyer had both been very helpful in securing design improvements and funding for three very important projects, the three-way stop at Johns Hill Road/University Drive; University Drive resurfacing, and I-275/Three Mile interchange redesign. President Boothe added that a lot of credit should be given to Vice President Carla Chance and her team for recommending these improvements and following through to their conclusion. — Roadway Access Improvement

A-8 — President Boothe presented the Hardware/Software Platform Conversion Report commenting that the conversion will yield substantial savings in hardware maintenance and software licensing. This is a graphic example of effective re-engineering that will almost immediately start saving money for the University. — Hardware/Software Platform

President Boothe requested the Board convene in executive session to discuss an issue exempt from discussion in open session. Upon a motion by Regent Verst, seconded by Regent Downing, the Board convened in executive session at 4:45 p.m. The Board reconvened in public session at 5:12 p.m. — Executive Session

Presidential Recommendations:

B-1 — President Boothe recommended promotion for Stephanie J. Jones from Assistant Professor to Associate Professor, Chase College of Law, effective December 31, 1995. Upon a motion by Regent Bearden, seconded by Regent Downing, the motion carried with seven ayes and one abstention by Regent Thomson. — Faculty Promotion

B-2 — President Boothe recommended approval of the following academic personnel actions: — Academic Personnel Actions

Resignation: Stephanie J. Jones, Associate Professor of Legal Programs in the Salmon P. Chase College of Law, effective December 31, 1995.

Appointment: Stephanie J. Jones, Special Consultant to the Office of Legal Services beginning January 1, 1996 and terminating on December 31, 1996. Upon a motion by Regent Verst, seconded by Regent Bearden, the motion carried with seven ayes and one abstention by Regent Thomson.

B-3 — President Boothe recommended approval of the non-academic personnel actions for the period July 1, 1995 through September 30, 1995, and called attention to length of service of Dennis Hartig, Electrician, 22 years, and Brandi Smith, Custodian, 16 years. Upon a motion by Regent Verst, seconded by Regent Thomson, the recommendation passed unanimously. — Non-Academic Personnel

B-4 President Boothe recommended approval of the following administrative personnel actions: Administrative Personnel Actions

Appointment: Cheryl L. Nunez, Special Assistant to the President for Affirmative Action, effective October 16, 1995 through August 16, 1996.

Reassignment: Delores J. Anderson, Director of Multicultural Affairs, effective October 16, 1995 through June 30, 1996.

Upon a motion by Regent Bearden, seconded by Regent Downing, the recommendation passed unanimously.

B-5 President Boothe asked that the revised organizational chart reflecting organizational changes occurring during the past fiscal year be approved. Upon a motion by Regent Herald, seconded by Regent Ramsey, the recommendation passed unanimously. Organizational Chart

B-6 President Boothe recommended that the Handbook for Department Chairs dated October, 1995 receive Board approval. Upon a motion by Regent Herald, seconded by Regent Verst, the recommendation passed unanimously. Handbook for Dept. Chairs

B-7 President Boothe recommended approval of a Conflict of Interest/Financial Disclosure Policy effective October 1, 1995 to assure compliance with federal guidelines for the administration of designated grant programs. Upon a motion by Regent Downing, seconded by Regent Bearden, the recommendation passed unanimously. Conflict of Interest

B-8 President Boothe requested that the Board, in accordance with Board approval of the Land Acquisition Study on May 6, 1992, ratify acquisition of the following parcels: 5 Clearview, 412 JHR, 2626 Alexandria Pike, 241 JHR, 303 JHR, 550 JHR, 524 JHR, 221 JHR, 402 JHR, and 406 JHR. The Land Acquisition Study authorized University administration to proceed with appraisal and acquisition of Priority One parcels as they became available for sale and as funding was available. Upon a motion by Regent Verst, seconded by Regent Poston, the recommendation passed with 7 ayes and one nay by Regent Thomson. Land Acquisition Ratification

B-9 President Boothe requested that the Board authorize the University to enter into a short-term lease agreement for the rental of the following houses: 221, 402 and 406 Johns Hill Road. Upon a motion by Regent Thomson, seconded by Regent Verst, the recommendation passed unanimously. Real Property Lease Agreement

B-10 President Boothe requested the Board to authorize the Administration to establish a task force to consider the future development of the Covington Campus property and present a recommendation to the Board at its July 1996 meeting. Chair Sparks asked that Senator Joe Meyer be added to the task force as a liaison, since the property is in his area and he has a lot of contacts with the City of Covington, and that she serve as an ex officio member. President Boothe accepted this suggestion as a friendly amendment. Regent Thomson stated he would like to see a faculty member on this committee, President Boothe stated the Associate Provost would represent faculty. Upon a motion by Regent Downing, seconded by Regent Poston, the recommendation passed unanimously. Covington Campus Task Force

Regent Recommendations:

C-1 President Boothe requested that the Board accept the report of the Regents Audit Committee as presented and that the Board authorize the Vice President for Administration to renew the contract of Deloitte and Touche in accordance with the original contract. There were no material weaknesses found in the audit and no significant internal control weaknesses were detected. As part of the re-engineering effort, a position was eliminated and the internal audit function was restructured. Annual Financial Report

Dan Seibert, Deloitte and Touche, reported on the October 31 meeting with the Board's Audit Committee and stated they had gone over a lot of details related to the audit. He reported they received good cooperation from management with no disagreements as to any reporting or disclosure-type issues. All reports issued were clean reports.

Regent Poston commented on the nice, concise report and complimented NKU's staff, Mike Baker and his team. He also commented on the great relationship with the professional accountants and stated he is pleased that we have a team with such tremendous resources available to us. Upon a motion by Regent Verst, seconded by Regent Herald, after a short discussion concerning the management letter, the recommendation passed unanimously. Regent Downing asked if the management letter had been submitted with the audit. Mr. Sewell replied it is in draft form to management who is working on a response now. He reported there is nothing in the letter of any major significance. Regent Downing requested a copy of the letter.

Other:

By-Laws Committee:

Regent Downing gave the report for the By-Laws Committee due to the absence of Committee Chair Crigler. Regent Downing reported the committee had reviewed by-laws from several other similar metropolitan universities and had made several proposed revisions to the current by-laws. Regent Downing requested review of these proposed revisions with any changes submitted to him before the January 31, 1996 Board Meeting.

Academic Affairs Committee:

Regent Thomson reported the Academic Affairs Committee had discussed the registration process, the enrollment process and re-engineering.

Student Affairs/Advancement Committee:

Regent Poston reported that the Student Affairs Committee meetings are always interesting and that Vice President Pomerantz always has lots of data. He asked that she report to the Board the results of a recent phone survey to students not returning to NKU. Vice President Pomerantz reported extensively on this survey and shared a copy of the results. She reported 32 volunteers had assisted with this survey and many of these were students thanks to Regent Jamie Ramsey. Of all students surveyed, 47 percent indicate they anticipate returning to NKU in the future. Regent Poston feels this survey is very helpful to us. He looks at NKU as a business and the students our customers and a lot can be learned from what they say. Lengthy discussion followed concerning need to do more phone surveys, student retention, improved student service, etc.

Administration and Finance Committee:

Regent Herald reported the Administration and Finance Committee had met on October 27 and had discussed the following items: bank depository contract, annual reports, organizational structure, health care reform, hardware/software platform, and a report on the Enrollment Task Force was shared by Elzie Barker.

Chair Sparks commented that she appreciates the time the staff devotes to these committees as it is very helpful to the Board.

President Boothe called attention to dates of upcoming events: the Foundation Appreciation Dinner on November 16, the Fifth/Third Lions' Tourney on November 17 and 18, and the Perkins' Tourney on December 1 and 2.

Executive Session:

Upon a motion by Regent Downing, seconded by Regent Poston, the Board convened in executive session at 6:05 p.m. to discuss issues which are exempt from discussion in open session. The Board reconvened in open session at 6:50 p.m. Executive Session

Motion was made by Regent Thomson and seconded by Regent Herald that the Board of Regents authorize the President of the University to negotiate for an analysis of the asset structure of the University in the context of the University's mission. Under the terms of this contract, such analysis will provide an objective basis for the continued re-engineering of the University in the interest of greater institutional effectiveness and accountability. The analysis will also support the self-study process undertaken by the University in preparation for regional accreditation review. The contract should provide for the initiation of the analysis as soon as possible. Upon a vote, motion passed unanimously.

Adjournment:

Upon a motion by Regent Poston, seconded by Regent Thomson, the meeting adjourned at 6:55 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on November 15, 1995, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

Boothe

Agenda
Northern Kentucky University
Wednesday, January 31, 1996 - 1:30 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation — Father Fred Schott Catholic Newman Center

Roll Call and Welcome

Approval of Minutes — November 15, 1995 Quarterly Meeting

A. Presidential Reports
1. Grants & Contracts Quarterly Report
2. Faculty Development Awards: 1996-97 Project Grants
3. Faculty Development Awards: 1996 Summer Fellowships
4. Strategic Self-Study for SACS Reaccreditation Jim McHenry
5. Quarterly Financial Report
6. Quarterly Campus Planning Report
7. Preliminary Spring Enrollment (to be distributed)
8. Bank Depository Contract

Embroad Report Chemistry Bldg.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Promotion, Tenure, Continued Probation and Continued Probation with Conditions to be Removed Recommendations
3. Sabbatical Leave Recommendations
4. Non-Academic Personnel Actions
5. Administrative Regulations - Affirmative Action Committee Changes
6. Alcohol and Controlled Substance Abuse Policy
7. Demolition of Property
8. Kentucky Baptist Convention Land Lease Agreement
9. 1996-97 Tuition Schedule
10. 1996-97 Fees Schedule

C. Other
1. Standing Committee Reports

2. Quarterly and Special Meeting Schedule
March 27, 1996
May 1, 1996
July 31, 1996

3. Council on Higher Education Meeting Schedule
March 4, 1996 - CHE Conference Room, Frankfort
May 20, 1996
July 15, 1996
September 15-16, 1996 Trusteeship Conference
November 11, 1996

4. Upcoming Activities
February 2, 1996 - Alumni Award Banquet
February 3, 1996 - Homecoming
April 18, 1996 - Lincoln Award Dinner
May 11, 1996 - Commencement

KY Workforce Inv

JAN 31 1996

Board of Regents Meeting
January 31, 1996

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 1:35 p.m. on Wednesday, January 31, 1996, by Chair Alice S. Sparks. The invocation was given by President Leon Boothe.

Roll Call: Karen Bearden, Robin Crigler, Frank Downing, Barbara Herald, James Poston, Jamie Ramsey, Alice Sparks, Philip Taliaferro, and Michael Thomson.
(Excused - William Verst and Robert Zapp)

Others Present: President Leon Boothe, Chuck Pettit, Meg Winchell, Elzie Barker, Karla Scoon - The Enquirer, Norleen Pomerantz, Sheila Trice Bell, Mary Ryan, Paul Gaston, Susan Grover, Peter Hollister, Leo Calderon, Mike Baker, Carla Chance, Kathleen Verderber, Rosetta J. Mauldin, Jermane Enoch, Thomas E. Comte, Rogers Redding, Tom Isherwood, Lowell Schechter, James Alford, James McKenney, Becky Bergman, Bill Lamb, Gina Holt, Eric Caldwell, Mary Paula Schuh, David Niinemets - Recorder.

Chair Sparks welcomed everyone to the meeting.

Chair Sparks asked if there were any corrections to the minutes of the meeting of November 15, 1995. There being no changes or corrections, the minutes were accepted as presented.

Presidential Reports:

A-1 President Boothe presented the Grants and Contracts quarterly report. There are some negative figures in terms of percentage change which seems to be the timing in which some of these grants come in for funding. "Dollars Approved" represents a decrease of 6.60 percent compared to one year ago. The categories "Funds Requested" and "Number of Requests" represent decreases of 23.26 percent and 17.81 percent, respectively. Grants and Contracts

A-2 President Boothe announced the 1996-97 faculty project grants and commented how divergent the activities are. The grants are designed to encourage professional growth through financial support for independent research. Faculty approved for grants are: Faculty Project Grants

Lawrence R. Borne	Steven McCarthy	Linda Sheffield
Perilou Goddard	Debra Pearce	Leslie D. Turner
Barbara Klaw	Thomas C. Rambo	Robert C. Vitz
Yushi Li	Jerald H. Richards	Connie C. Widmer
Ann Luggen	Alice G. Rini	Thomas Zaniello

A-3 President Boothe announced the 1996 summer fellowships. This program is designed to encourage faculty to engage in individual research, scholarly writing, and creative and artistic projects and to share the results of their efforts with the University community. The following faculty have been approved for awards: Faculty Summer Fellowships

Christina de Almeida	Robert J. Kempton	Stephen C. Richards
Maria Falbo-Kenkel	Yasue Kuwahara	Alice G. Rini
Steven Finke	Sharlotte Neely	Leslie D. Turner
Gina M. Foletta	Jerald H. Richards	Michael Washington

A-4 President Boothe reported that NKU will be going through SACS reaccreditation as the last one occurred in 1988. The documents we are in the process of submitting are evolving documents and there SACS Self-study

will be some changes as we get into the self-study. President Boothe introduced Dr. James McKenney who has agreed to chair this very important task.

A-5 — The Quarterly Financial Report was presented by President Boothe who stated this report is reflective of the outstanding staff who keeps us very much on track. The results of the financial operations were within the expectations established by the budget. There was a short discussion concerning the savings to be realized from joining the Health Alliance and whether or not that savings would show up in this report or in the Annual Report. — Quarterly Financial Report

A-6 — President Boothe reported on the campus planning progress report indicating we are down to the punch lists on Residential Village and Library Expansion. The Fine Arts HVAC upgrade is substantially complete, and the Ceramics/Sculpture facility is under design with an anticipated early 1996 bid date. The Network Cabling Installation Project will be bid in February. Efforts are underway to finalize funding for a new campus entrance sign at US 27. It was emphasized that this will be funded primarily by private funds, right-of-way funds received for the US 27 project, and Message Center revenue. Work will begin on the Maintenance Building Roof Replacement as weather permits. A lot of work has been done in Landrum Hall, and the building now presents a much improved image. A project is being initiated to replace the plaza deck between the University Center and the Lucas Administrative Center to resolve problems with water leakage. — Quarterly Campus Planning

There was a discussion concerning the Corbett Theatre dedication. Vice President Hollister reported it is anticipated the dedication will be in December 1996, and they will be working with the Friends of Fine Arts to plan a dedication ceremony. A meeting to begin the planning process is scheduled for next week.

A-7 — The preliminary enrollment figures for Spring 1996 were announced. There was a headcount decrease of 2.6 percent and a FTE decrease of 2.1 percent. Formula funding is based on FTE enrollment. There was a great deal of cooperative effort by the Provost Office, Student Affairs and Administrative Affairs to make enrollment go as smoothly as possible for the students at high demand times. — Preliminary Spring Enrollment

A-8 — President Boothe requested Vice President Chance to report on the Bank Contract in Regent Zapp's absence. Vice President Chance reported that Regent Zapp, as Treasurer, had served on the contract evaluation team. After thorough evaluation by the administrative committee and review by the Board of Regents Finance committee, it was determined the best bid was submitted by Fifth Third and a two-year contract will be awarded effective March 1, 1996. Ms. Chance reported that feedback was received from the unsuccessful bidders, that they were impressed with the process used. Contract was awarded to Fifth Third based on both the investment earnings and cost of their bank services. There was a short discussion concerning disparity in total cost of bank services. Mr. Baker stated this is a better contract than we currently have, that Fifth Third has had our business now for five years and knows what our needs are. He further stated we are very pleased with the level of service Fifth Third has provided, and that they keep up with changes in technology. — Bank Depository Contract

At this time President Boothe stated there were two items he wanted to discuss briefly. First, concerning the Science Building, he commented on all the time he, Chair Sparks and Vice President Chance have spent in Frankfort. He stated we probably will not be successful in securing the $35 million for the building and probably will have to go to a phase-in plan. Chair Sparks stressed that we are not giving up by any means, that we would have gone in with a different presentation had we known there was only going to be $100 million spread around the state, that we will continue to make our thoughts and needs known. Phase-in was discussed, this scenario might be a little easier for legislators to vote for. Trying to meet with Joe Barrows and Harry Moberly to ask for planning money for a $35 million facility. In a meeting with the Governor, tried to make the point that if we have some support from the state, then private funding might be easier to secure. Would need $1.5 million for design money. Original scheme would cost the state considerably less money, phasing-in costs more money.

The second item is the Embrace Opportunity Campaign, and President Boothe asked Vice President Hollister to give a brief report. Vice President Hollister reported the base goal of $10 million was exceeded a couple of months ago and today we are at $10,650,000. Of this total, $7,300,000 is cash and pledges and $3,300,000 is deferred, and of the $7,300,000, $5,550,000 is already in hand. The campaign will continue to yield gifts over the next several years. Sometime in February or March, the campaign will come to a close and a somewhat final report will be issued at that time. A social event acknowledging the leadership of the campaign, major donors, etc. will also be planned. Of the five stages of the campaign, the Alumni Campaign is still very active and the $700,000 base goal has been exceeded with just the leadership part of it. Vice President Hollister announced that today is Bill Nester's last day. Bill has worked on this Campaign for the past couple of years and has made a major contribution to the University and the Campaign.

There was a short discussion concerning value of pledges and deferred gifts. Vice President Hollister reported there is some attrition on deferred gifts, that somewhere around 10 percent of all deferred gifts don't happen. He also stated that toward the end of February, a comprehensive analysis will be done and made available in a final report.

Motion was made by Regent Taliaferro that final report on fund raising be given three days before the next Board Meeting on March 27, 1996. Motion was seconded by Regent Poston. After a brief discussion concerning how long report will take to complete due to complexity of report plus all the other things going on right now, motion was amended to delete word final. Motion was voted on and passed.

Presidential Recommendations:

B-1 President Boothe recommended approval of the following academic personnel actions: Academic Personnel Actions

Administrative Appointment: Mary M. Ryan, from Director of Faculty Administration to Assistant Vice President for Academic Affairs, effective January 1, 1996.

Leaves, Unpaid: Martin J. Huelsmann, unpaid leave with benefits, January 1 through June 30, 1996. President Boothe commented that Mr. Huelsmann had been appointed to a very prestigious position in the Justice Cabinet, which is a great compliment to him as well as the University.

Resignation: Sarah O. Steinman, Assistant Professor, Department of Allied Health, Human Services, and Social Work, effective January 1, 1996.

Retirements: All these retirements are through the Retirement Incentive Program and are effective with the 1996-97 academic year: Jonathan M. Bushee, Associate Professor in the Physics and Geology Department; Larry L. Dickson, Professor in the Literature and Language Department; and Rita Tobler, Associate Professor in the Nursing Department.

Upon a motion by Regent Crigler, seconded by Regent Herald, the motion carried with eight ayes and one abstention by Regent Thomson. At this time President Boothe asked that Mary Ryan stand and be recognized for her past accomplishments and congratulated her on her new title.

B-2 — Promotion, Tenure, Contd. Probation & Contd. Probation with Conditions to be Removed

President Boothe recommended that the following academic personnel be approved for promotion, tenure, continued probation and continued probation with conditions to be removed:

Promotion: Karlyn Schnapp, Barbara Klaw, Gisele Loriot-Raymer, David Agard, Robert England, Clinton Hewan, Kristen Yount, Samuel Zachary, Nan Littleton, Valeria Amburgey, Charles Jackson, Elizabeth Lorenzi, Niaz Latif and Donald Kazee.
Tenure: Karlyn Schnapp, Barbara Klaw, Gisele Loriot-Raymer, David Agard, Robert England, Clinton Hewan, Kristen Yount, Samuel Zachary, Steven Weiss, Valeria Amburgey, Charles Jackson, Elizabeth Lorenzi, Niaz Latif and Charles Pinder.
Continued Probation: Robert F. C. Naczi, Diana McGill, Robert Wilcox, John Alberti, Paige Byam, Steven Gores, Thomas Leech, Philip Paradis, Andrew Taylor, Kevin Kirby, Randy Pennington, Charles Chukwudolue, David Potter, Barbara Arrighi, Prince Brown, Jr., Andrew Kipnis, Yushi Li, Stephen Richards, Steven Chicurel, George Aldhizer, Paul Schloemer, Deepak Khazanchi, Rebecca Ball, Fred Beasley, Diane Gronefeld, Patricia Knox, Trina Koscielicki, Robert Langenderfer, Theresa Paulin, Deborah Pressly, Durell Hamm, Russell Jenisch, J. Patrick Moynahan, Marjorie Artzer, Keith Barton, Suzanne Cortez, Margaret Anderson, Ellen Benton, Robert Brautigan, Joy Churchill, Sharlene Lassiter, Donald Kazee, Jennifer Ryan, Jack O'Gorman and Donna Smith.
Continued Probation with Conditions to be Removed: Christina de Almeida, Steven Finke, Gina Foletta, Harriette Richard, Terry Powell, Phyllis Hall, Lorena O'Donnell and Ed Workman.
Upon a motion by Regent Crigler, seconded by Regent Bearden, the motion passed with eight ayes and one abstention by Regent Thomson.

B-3 — Sabbatical Leaves

President Boothe recommended approval of the following sabbatical leaves and stated that one of the most important things the Board and a University can do for its faculty is to provide sabbatical opportunities, and that he is proud of the strong sabbatical program we have at NKU. The recipients are: Valerie Amburgey, Gary E. Clayton, Raymond N. Enzweiler, Rudy L. Garns, Perilou Goddard, Edward P. Goggin, James R. Gray, Gary Johnston, Ann S. Luggen, Steven McCarthy, John J. Metz, David Payne, Thomas C. Rambo, Jeffrey M. Smith, Janis L. Taylor, Kathleen S. Verderber, Robert C. Vitz, Stephen C. Walker and Connie C. Widmer. Upon a motion by Regent Crigler, seconded by Regent Bearden, the motion passed with eight ayes and one abstention by Regent Thomson.

B-4 — Non-Academic Personnel Actions

President Boothe recommended approval of the non-academic personnel actions for the period October 1, 1995 through December 31, 1995. Upon a motion by Regent Bearden, seconded by Regent Thomson, the recommendation passed unanimously.

B-5 President Boothe recommended approval of revisions to the Affirmative Action Committee for inclusion in the Administrative Regulations-Governing Regulations. The changes basically refine and expand the role of this administrative standing committee. Upon a motion by Regent Thomson, seconded by Regent Downing, the recommendation passed unanimously. Affirmative Action Committee Changes

B-6 President Boothe recommended approval of the Alcohol and Controlled Substance Abuse Policy for commercial motor vehicle drivers which is in response to Department of Federal Transportation requirements. Upon a motion by Regent Downing, seconded by Regent Herald, the recommendation passed unanimously. Alcohol Abuse Policy

B-7 President Boothe recommended authorization for demolition of the garage which is located behind the Alumni Reception Center at 2636 Alexandria Pike. The garage is in very poor condition and not suitable for continued use. Upon a motion by Regent Herald, seconded by Regent Poston, the recommendation passed unanimously. Demolition of Property

B-8 President Boothe recommended approval for the Administration to enter into a lease agreement/land acquisition arrangement with the Kentucky Baptist Convention. This transaction permits the University to take title to two Priority One land parcels without a cash commitment. President Boothe commented that Vice President Chance has been a very tough negotiator in this transaction. Upon a motion by Regent Downing, seconded by Regent Bearden, the recommendation passed unanimously. KY Baptist Convention Land Lease Agreement

B-9 President Boothe requested approval of the tuition schedule for the 1996-97 academic year. Tuition rates are mandated by the Council on Higher Education and the increases reflected are those adopted by the Council in Fall, 1995. Motion by Regent Bearden, seconded by Regent Crigler, to approve the recommendation. Regent Ramsey stated that when he was on the CHE he had the opportunity to plead the case of the students, and one of the things he asked was that if there had to be an increase that it be kept between 3 and 4 percent. President Boothe stated he was there when Regent Ramsey stated his case and that he was a great representative for the students. The motion was voted on and passed unanimously. 1996-97 Tuition Schedule

B-10 President Boothe recommended approval of a comprehensive schedule of fees and charges for academic year 1996-97. The majority of fees and service charges remain the same as in the current year. The most significant proposals include the Chase College of Law Library and Learning Fee which will help them with some of their needs, and an inflationary increase of approximately 3 percent in housing fees. Upon a motion by Regent Thomson, seconded by Regent Downing, the recommendation passed unanimously. 1996-97 Fee Schedule

Regent Thomson commented that the NKU cheerleaders have just won second in the country in the National Cheerleading Contest and he wanted to thank them as he knows they put in a lot of hours, did their own fundraising to go down there, and did very well in this extremely competitive event.

Other:

By-Laws Committee:

Regent Crigler reported that at the last meeting Regent Downing articulated the changes the Committee was recommending based on the growth and development of NKU

and asked that anyone with concerns or questions to contact him. As no comments were received from anyone, Regent Crigler met with Ms. Bell, Legal Services, to make sure that what the Committee was recommending was pertinent and congruent. Following that meeting, some minor changes were made to the document. Regent Crigler requested review of this revised document and stated that if there are no comments or changes, the Committee will ask for approval at the next Board Meeting. There was a discussion concerning Item 6 on page 2 regarding items being placed on agenda for board meetings.

Student Affairs/Advancement Committee:

Regent Poston asked that Vice President Hollister give this report. Vice President Hollister reported the following items were discussed at the last meeting of this Committee: (1) Capital Campaign, (2) Annual Fund for Northern (goal this year is $800,000, at 44 percent of goal at half-way point of the year, 41 percent same time last year), (3) Legislative Action (what is going on in the legislature, strategies we are using, and the Legislative Action Task Force.) Vice President Hollister closed the report by reminding everyone that tomorrow evening is Northern Kentucky Night in Frankfort and that the University will be well represented. Also, Regent Ramsey has organized a group of students who will be there with some signage in support of the Science Center. They will also be handing out tags that say "Science Needs Space at NKU." Regent Poston added that enrollment issues were also discussed at this meeting.

Administration and Finance Committee:

Vice President Chance reported the Administration and Finance Committee had met on December 15 and discussed the following items: (1) the contract for External Consulting, (2) student fees, (3) performance indicators (considerable time was spent discussing this subject), and (4) Bank Depository Contract.

Academic Affairs Committee:

Regent Taliaferro reported this Committee had met prior to the Board Meeting today and that the following items were discussed: (1) Technology Panel recommendations, (2) registration, enrollment and admission issues (Regent Taliaferro requests that the Enrollment Review Team Report by Mike Baker and Matt Shank be shared with all Board members), (3) Pre-College Curriculum, and (4) NKU and the community college commitment. There was extensive discussion concerning pros and cons of a community college and reference to a report that had been prepared for this meeting. Regent Taliaferro stated there will be another meeting on the community college issue. Vice President Chance requested that a copy of the community college document be furnished for review by the Administration and Finance Committee so that issues of financial impact might be considered.

President Boothe called attention to dates of upcoming events: for those interested in the basketball team, this is a big week. They play Kentucky Wesleyan on Thursday (Parents' Association night) and University of Southern Indiana for Homecoming on Saturday, February 3.

Executive Session:

Upon a motion by Regent Thomson, seconded by Regent Crigler, the Board convened in executive session at 3:17 p.m. to discuss issues which are exempt from discussion in open session. The Board reconvened in open session at 4:49 p.m.

Executive Session

Adjournment:

Upon a motion by Regent Downing, seconded by Regent Bearden, the meeting adjourned at 4:50 p.m.

Carol J. Eckstein, Assistant Secretary

Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on January 31, 1996, and that such matters are still in force and effect.

Robert W. Zapp, Secretary

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Agenda
Northern Kentucky University
Monday, March 18, 1996 - 12 Noon
Regents Board Room - Administrative Center

Call to Order

Roll Call and Welcome

A. Standing Committee Reports

1. Academic Affairs: Community College Information

B. Executive Session

C. Other

1. Quarterly and Special Meeting Schedule

March 27, 1996
May 1, 1996
July 31, 1996

2. Council on Higher Education Meeting Schedule

May 20, 1996
July 15, 1996
September 15-16, 1996 Trusteeship Conference in Northern Kentucky
November 11, 1996

3. Upcoming Activities

March 25, 1996 - Embrace Opportunity Campaign Celebration
March 27, 1996 - Paver Dedication
March 31, 1996 - NKU Faculty/Staff Follies
April 18, 1996 - Lincoln Award Dinner
May 11, 1996 - Commencement

Board of Regents Meeting
March 18, 1996

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 12:30 p.m. on Monday, March 18, 1996, by Chair Alice S. Sparks.

Roll Call: Karen Bearden, Robin Crigler, Frank Downing, Barbara Herald, James Poston, Jamie Ramsey, Alice Sparks, Philip Taliaferro, Michael Thomson, and Robert Zapp.

(Excused - William Verst)

Chair Sparks announced that President Boothe would not be here today as he is in the hospital. He was taken to St. Elizabeth South on Saturday morning complaining of headaches.

A. Standing Committee Reports

1. Academic Affairs

Copies of Regent Taliaferro's letter of February 22, 1996, concerning the study of a community college, were distributed to all Board members. Chair Sparks asked that everyone read this report very carefully and stated that report and discussion would be delayed until the March 27, 1996 meeting.

B. Executive Session:

Upon a motion by Regent Taliaferro, seconded by Regent Crigler, the Board convened in executive session at 12:35 p.m. to discuss a personnel issue.

Adjournment:

Upon a motion by Regent Herald, seconded by Regent Thomson, the meeting adjourned at 4 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on March 18, 1996, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

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Revised Agenda
Northern Kentucky University
Wednesday, March 27, 1996 - 1:30 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Approval of Minutes — January 31, 1996 Quarterly Meeting

A. Presidential Reports
1. Embrace Opportunity (to be mailed under separate cover)
2. A-133 Audit Report
3. 1996 Final Spring Enrollment

B. Presidential Recommendations
1. Academic Personnel Actions
2. Continued Probation
3. Emeritus Status
4. Tuition Reciprocity Agreements
5. 1995-96 Operating Budget Adjustment

C. Other
1. Standing Committee Reports

2. Quarterly and Special Meeting Schedule
May 1, 1996
July 31, 1996

3. Council on Higher Education Meeting Schedule
May 20, 1996
July 15, 1996
September 15-16, 1996 Trusteeship Conference in Northern Kentucky
November 11, 1996

4. Upcoming Activities
March 25, 1996 - Embrace Opportunity Campaign Celebration
March 27, 1996 - Paver Dedication
March 31, 1996 - NKU Faculty/Staff Follies
April 18, 1996 - Lincoln Award Dinner
May 11, 1996 - Commencement

MAR 27 1996

Board of Regents Meeting
March 27, 1996

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 1:30 p.m. on Wednesday, March 27, 1996, by Chair Alice S. Sparks. The invocation was given by Reverend Patricia Barker of the Unity Church, Cincinnati.

Chair Sparks welcomed everyone to the meeting and extended birthday greetings to Regent Herald. Chair Sparks announced that she became a grandmother for the first time at 6:04 a.m. this morning. Her daughter gave birth to a son, Joseph Alexander.

At this time Chair Sparks stated she wished to honor and thank some extremely special young men for four very exciting basketball games and that she wished to publicly thank Athletic Director Jane Meier for all her efforts. Ms. Meier, on behalf of the Athletic program, extended thanks to President Boothe, Alice Sparks and all the Vice Presidents and their groups for their support all year. Coaches and players who were present were introduced: Assistant Coach Dave Bezold, Ryan Schrand, an NKU graduate and member of the coaching staff, and players Reggie Talbert, Chuck Perry, Mike Vieth, John Gibson, and Kevin Listerman. Chair Sparks complimented and thanked the entire coaching staff and players for a wonderful season (runner-up NCAA Division II National Champs). On behalf of Coach Shields, the entire coaching staff and players, Coach Bezold thanked everyone for all their support all season.

Roll Call: Karen Bearden, Robin Crigler, Barbara Herald, James Poston, Jamie Ramsey, Alice Sparks, Philip Taliaferro, Michael Thomson and Robert Zapp.
(Excused - Frank Downing and William Verst)

Others Present: President Leon Boothe, Ernest Britton, Rev. Pat Barker, Chris Marksberry and Shana Barsel - WNTV, Joyce Maegly, Elizabeth Blincoe, Elzie Barker, Marian Winner, Norleen Pomerantz, Gerald Hunter, Jay Hanselman - WNKU, Darrell Pressley - The Enquirer, Sheila Trice Bell, Paul Gaston, Peter Hollister, Leo Calderon, Susan Grover, James Alford, Robert Appleson, Mary Ryan, Kathie Verderber, Rosetta Mauldin, Mike Baker, Chuck Pettit, Carla Chance, Debra Vance - Kentucky Post, Mary Paula Schuh, Bill Lamb, Tom Comte, Tom Isherwood, Rogers Redding, and David Niinemets - Recorder.

Chair Sparks asked if there were any corrections to the minutes of the meeting of January 31, 1996. There being no changes or corrections, the minutes were accepted as presented.

Presidential Reports:

A-1 President Boothe presented the Embrace Opportunity report, which had been requested by the Board, and commented on the wonderful victory celebration Monday night. He also reported that he just received news Monday afternoon before the victory celebration that a $100,000 grant had been received from the Kresge Foundation. This report accurately illustrates that NKU is a player in the Greater Cincinnati/Northern Kentucky community as far as financial support is concerned. This has been a very successful campaign, and Vice President Hollister and his staff are to be commended for a job well done in helping bring success. NKU is the first regional university in the Commonwealth to pull off a capital campaign. Chair Sparks added thanks to Vice President Hollister, the Foundation, and all those who chaired the various committees for all their hard work. Embrace Opportunity

A-2 President Boothe presented the A-133 Audit Report, an audit of the federally funded programs, and stated the results of the audit were favorable with no material weaknesses in the internal accounting controls noted. This is a reflection of the outstanding job done by the staff. A-133 Audit Report

A-3 President Boothe reported that the final figures for Spring Enrollment showed headcount and FTE down compared to a year ago. This is reflective of what is happening nationally. Final Spring Enrollment

Presidential Recommendations:

B-1 President Boothe recommended approval of the following academic personnel actions: Academic Personnel Actions

Faculty Appointment: Edward C. Brewer, III, Assistant Professor of Legal Programs in the Salmon P. Chase College of Law, effective August 14, 1996.

Leaves, Unpaid: Susan Kissel, unpaid leave, with benefits, effective August 21, 1996, and ending December 16, 1996.

Resignation: Michael C. C. Adams, from the position of Chairperson of the History and Geography Department to the position of Professor in the History and Geography Department, effective July 1, 1996.

Upon a motion by Regent Herald, seconded by Regent Bearden, the motion carried with eight ayes and one abstention by Regent Thomson.

B-2 President Boothe recommended that the following academic personnel be approved for continued probation for the 1996-97 academic year. Continued Probation

Julia Kellman, Laura Rosenfeld, Kristin A. Pfabe, Melinda Miller, Maria Falbo-Kenkel, Shamima Ahmed, Edward Chang, Shailendra Verma, James Roeder, Esther Green-Merritt, Louise Niemer, Ruth Champion, and Harold Wiebe.

Upon a motion by Regent Zapp, seconded by Regent Crigler, the motion passed with eight ayes and one abstention by Regent Thomson.

B-3 President Boothe recommended that the following individuals receive Emeritus status. He commented that they are outstanding faculty members who more than merit the title of Emeritus Professor and that this is a nice way for the University to thank them. Emeritus Status

Larry L. Dickson, Professor in the Literature and Language Department; Nicholas Melnick, Professor in the Education Department; and Rita Tobler, Associate Professor in the Nursing Department.

Upon a motion by Regent Crigler, seconded by Regent Poston, the motion passed with eight ayes and one abstention by Regent Thomson.

B-4 President Boothe recommended Board approval for renewal of the two existing tuition reciprocity agreements for northern Kentucky and southwestern Ohio for a five-year period beginning July 1, 1996. Upon a motion by Regent Bearden, seconded by Regent Thomson, the recommendation passed unanimously. Tuition Reciprocity

B-5 President Boothe recommended that the 1995-96 Operating Budget be amended upward from $68,750,000 to $68,868,197 to reflect proposed budgeted revenue activity since July 1, 1995, due to a $912 increase in tuition and fees, a $79,745 increase in sales and services of educational activities, and a $37,540 increase in other miscellaneous items. Upon a motion by Regent Zapp, seconded by Regent Poston, the recommendation passed unanimously. Budget Adjustment

Other:

Student Affairs/Advancement Committee:

Regent Poston stated this Committee had met on March 18 and had discussed the following items: (1) the Embrace Opportunity Report which was covered today in Presidential Report A-1, and (2) the Strategic Enrollment Management Committee Report. This is an on-going committee to try to get a handle on enrollment and it will probably take a year for the reports to come in. In eight or nine months, the committee should be able to report in more detail. Vice President Hollister announced that the Paver Project Dedication would take place following today's Board meeting at 4 p.m.

Administration and Finance Committee:

Regent Zapp reported the Administration and Finance Committee met on March 18 and discussed the following items: (1) 1996-97 budget projections concerning impact of declining enrollment, (2) distribution of technology and support of learning fees, and (3) historical budget information. The Committee plans to meet again in April.

Academic Affairs Committee:

Regent Taliaferro reported that at the last meeting of this Committee, the following items were discussed: (1) Dean Short reported on the self-study for the accreditation process, (2) update on accreditation issues, (3) lengthy discussion on the community college prospect and discussion concerning Bob Appleson's well documented report on this subject, and (4) technology and the need for more computers for faculty and students. Regent Taliaferro stated the appointment of the Committee to study the community college issue had not been done yet but would be soon.

Chair Sparks reported briefly on legislative affairs and stated at the present time NKU is not in the budget. However, she stated we are not giving up, and if we do not get back in the budget before this session ends, in January we will try again. Senate voted to have the Budget Conference Committee Hearings public. Because the legislators are so far behind, they will probably be in session all Friday night and will possibly come back Monday and use one of the two veto days. Chair Sparks thanked everyone involved in the efforts in Frankfort and stated they had done a super job in letting Frankfort know who we are and where we are. She extended special thanks to Nancy Perry in Alumni Affairs, Peter Hollister and Carla Chance. We do have some strong friends and allies in Frankfort and they have not given up the fight.

President Boothe stated he had an announcement that he would like to make at this time and added that despite the fact this is something he very much wants, this is a very emotional moment for him. President Boothe (read from a prepared attached statement) announced that he does not wish to have his contract extended and that he is retiring as President as of the end of June 30, 1996.

Regent Poston moved to accept the wishes as set forth in President Boothe's statement, to continue all benefits as set forth in the original contract through its duration, and to bestow on him the title of President Emeritus. Motion was seconded by Regent Crigler and passed by unanimous vote.

Executive Session:

Upon a motion by Regent Bearden, seconded by Regent Poston, the Board convened in executive session at 2:15 p.m. to discuss a personnel issue. Executive Session

Adjournment:

Upon a motion by Regent Bearden, seconded by Regent Taliaferro, the meeting adjourned at 3:40 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on March 27, 1996, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

March 27, 1996

While I wish this statement could have been delivered in an orderly and timely manner, unfortunately, much of what I am about to say will be redundant due to the unfortunate unofficial release of some of this information several weeks ago. The end result is that there has been too much idle and unnecessary speculation in certain quarters. It was my hope to have made public my decision concerning the presidency with the least amount of disruption to the life of this University and to my personal life. One redeeming factor personally has been the overwhelming affirmation from faculty, staff, students, alumni, and the total Northern Kentucky/Greater Cincinnati community through the hundreds of letters, notes, telephone calls, and personal visits. Nancy and I are so appreciative of this nurturing response.

As background, I became quite disillusioned approximately three years ago when it seemed to me that Kentucky was losing the perception of public higher education as a wise economic investment. It was a crucial and agonizing time for me since the preparatory work for the Capital Campaign had been completed. In knowing how critical a President is in any capital campaign, I knew the University would be enormously hurt were I to make any transition in my career at that time. I, therefore, promised those key people who would be involved in the campaign that I would try to stay until the campaign was finished.

As you know, the Embrace Opportunity Campaign has been successfully completed as officially marked by a celebration dinner Monday evening. The current reality is that my assessment concerning Kentucky has continued to unfortunately be verified. Senator David Karem of Louisville said correctly during a meeting of the Task Force on Higher Education that Kentuckians should be embarrassed about the paucity of funds provided for higher education as compared with the rest of the nation. Since I foresee no major changes within the near future in terms of lifting Kentucky from its current ranking of 50 among the 50 states in terms of new dollars coming into higher education over the past 15 years, and since I am at the age that I would like to work in an environment where I can be more productive, I am formally informing the Board today that I do not wish to have my contract extended as President. I want to make it clear that this decision was made preliminarily three years ago and confirmed by my family and myself last fall. Based on the commitment by the Board for assignment of new responsibilities, I wish to retire as President as of the end of June 30, 1996.

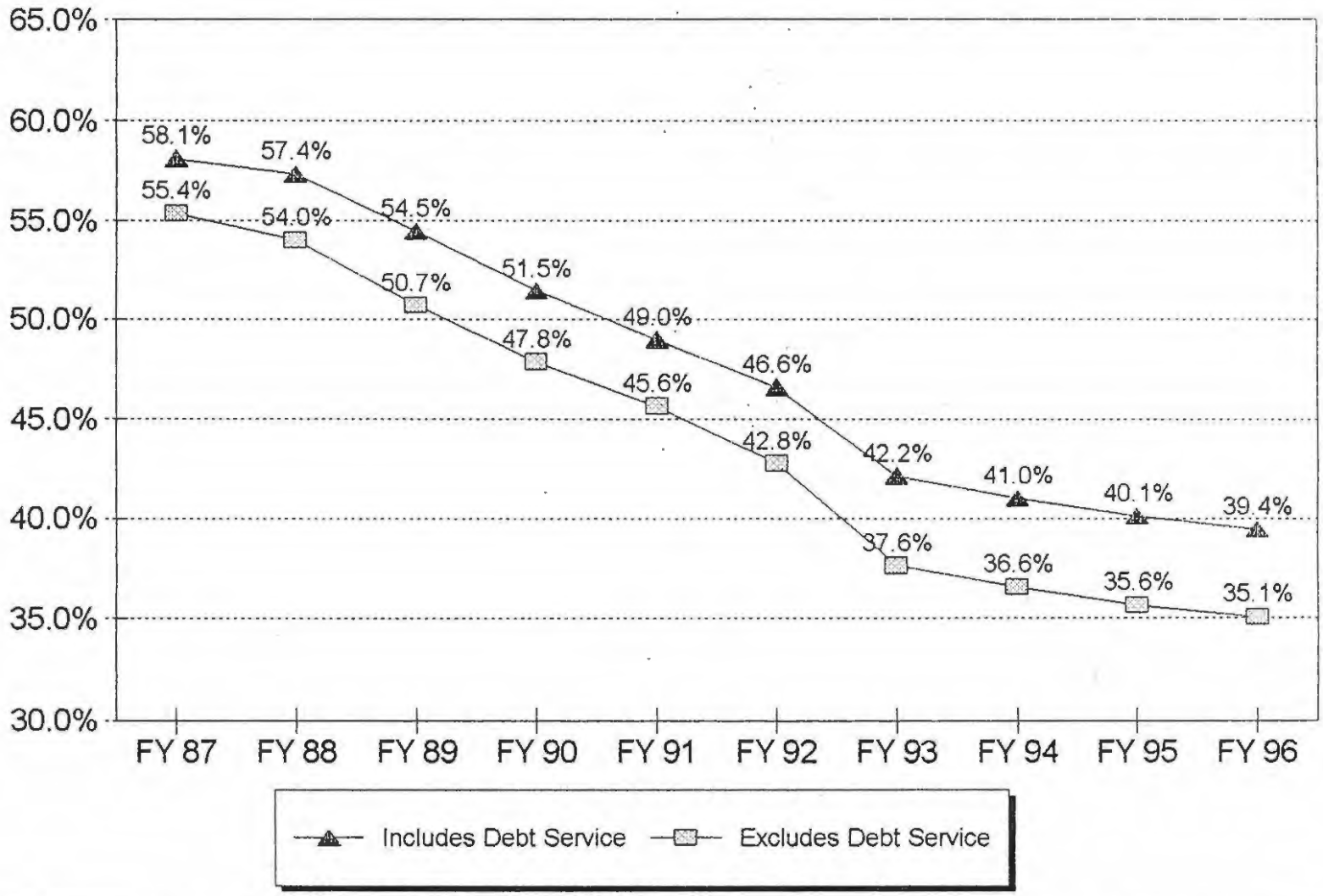
I take great pride in what has been accomplished as I enter my 13th year of affiliation with NKU. When one looks at the constant budget cuts and the fact that we have less state money in actual dollars than we did four years ago, my pride is high in realizing how creative the University has been in taking enormous challenges and turning them into opportunities to continue to make progress. With the 21st Century on the horizon, it is now time for another person to assume the mantle of leadership in helping the University to reinvent itself within the funding constraints it will face.

In conclusion, I will always look upon my years as President with great fondness because of the associations and the friendships I have been able to make. I feel privileged in having served approximately half of the operational history of this institution as well as having witnessed the graduation of 15,206 alumni.

Leon E. Boothe
March 27, 1996

Northern Kentucky University

State Appropriation as % of Revenue



Northern Kentucky University

Comparison of Actual State Appropriation to Actual Operating Revenue -- SUMMARY

(Unrestricted and Restricted Funds)

Fiscal Yr.	Actual UCF Revenue	Actual Restricted Revenue	Total Actual Rev. All Funds Incl. Debt Serv.	Total Actual Revenue All Funds Excl. Debt Serv.	Actual State Appropriation			State Appropriation as % of All Funds		Actual UCF Tuition & Fee Revenue	Tuition and Fees as % of All Funds	
					Operating	Debt Service	Total	Operating	Total Incl. D.S.		Operating	Total Incl. D.S
FY 83	27,959,413	2,062,457	30,021,870	28,073,170	16,130,800	1,948,700	18,079,500	57.46%	60.22%	7,165,057	25.52%	23.87%
FY 84	31,329,494	2,232,030	33,561,524	30,629,724	17,289,400	2,931,800	20,221,200	56.45%	60.25%	7,922,118	25.86%	23.60%
FY 85	32,459,648	2,288,343	34,747,991	31,887,891	17,823,300	2,860,100	20,683,400	55.89%	59.52%	8,227,618	25.80%	23.68%
FY 86	33,813,810	2,573,176	36,386,986	33,563,086	18,795,600	2,823,900	21,619,500	56.00%	59.42%	8,647,395	25.76%	23.77%
FY 87	35,275,769	2,661,902	37,937,671	35,583,371	19,704,200	2,354,300	22,058,500	55.37%	58.14%	9,431,879	26.51%	24.86%
FY 88	38,718,270	3,038,564	41,756,834	38,730,234	20,930,600	3,026,600	23,957,200	54.04%	57.37%	10,598,228	27.36%	25.38%
FY 89	42,182,399	3,734,602	45,917,001	42,403,401	21,505,100	3,513,600	25,018,700	50.72%	54.49%	12,287,312	28.98%	26.76%
FY 90	46,194,439	4,496,823	50,691,262	47,178,762	22,571,800	3,512,500	26,084,300	47.84%	51.46%	14,764,869	31.30%	29.13%
FY 91	51,460,515	5,220,845	56,681,360	53,203,563	24,282,000	3,477,797	27,759,797	45.64%	48.98%	18,098,388	34.02%	31.93%
FY 92	55,777,318	6,185,502	61,962,820	57,832,915	24,744,095	4,129,905	28,874,000	42.79%	46.60%	21,032,889	36.37%	33.94%
FY 93	59,366,201	7,175,628	66,541,829	61,686,093	23,194,600	4,855,736	28,050,336	37.60%	42.15%	24,278,911	39.36%	36.49%
FY 94	63,441,583	6,984,938	70,426,521	65,480,375	23,935,000	4,946,146	28,881,146	36.55%	41.01%	26,892,648	41.07%	38.19%
FY 95	66,556,577	7,795,739	74,352,316	69,185,316	24,657,600	5,167,000	29,824,600	35.64%	40.11%	28,351,981	40.98%	38.13%
FY 96	68,750,000	8,145,900 *	76,895,900	71,731,900	25,146,100	5,164,000	30,310,100	35.06%	39.42%	30,343,520 *	42.30%	39.46%

* Used budgeted figure - actual not availabe at this time.

NOTE: These figures include Auxiliary Enterprises

NOTE: These figures represent actual appropriation inclusive of budget reductions.

06-Oct-95

Agenda
Northern Kentucky University
Thursday, April 4, 1996 - 1 p.m.
Regents Board Room - Administrative Center

Call to Order

Roll Call and Welcome

A. Executive Session

B. Other

1. Quarterly and Special Meeting Schedule

   May 1, 1996
   July 31, 1996

2. Council on Higher Education Meeting Schedule

   May 20, 1996
   July 15, 1996
   September 15-16, 1996 Trusteeship Conference in Northern Kentucky
   November 11, 1996

3. Upcoming Activities

   April 18, 1996 - Lincoln Award Dinner
   May 11, 1996 - Commencement

Board of Regents Meeting
April 4, 1996

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 1:10 p.m. on Thursday, April 4, 1996, by Chair Alice S. Sparks.

Roll Call: Robin Crigler, Frank Downing, Barbara Herald, James Poston, Jamie Ramsey, Alice Sparks, Philip Taliaferro, Michael Thomson, William Verst and Robert Zapp.

(Excused - Karen Bearden)

Chair Sparks announced she had received correspondence from the Campbell County Fiscal Court inviting the Regents to attend a Town Meeting on April 22 from 7 to 9 p.m. at Newport High School regarding the proposal to route traffic from Fort Washington Way in Cincinnati onto Interstates 471 and 275 in Campbell County.

Chair Sparks also announced that construction on I-275 would be finished soon.

A. Executive Session:

Upon a motion by Regent Crigler, seconded by Regent Thomson, the Board convened in executive session at 1:15 p.m. to discuss personnel.

The Board reconvened in open session at 4:45 p.m.

Regent Taliaferro moved to authorize the Executive Committee of the Board of Regents to negotiate personnel contracts. Motion was seconded by Regent Downing, voted on and passed unanimously.

Adjournment:

Upon a motion by Regent Thomson, seconded by Regent Poston, the meeting adjourned at 4:48 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on April 4, 1996, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

Agenda
Northern Kentucky University
Thursday, April 18, 1996 - 9 a.m.
Regents Board Room - Administrative Center

Call to Order

Roll Call and Welcome

A. Executive Session

B. Administrative Action Item

C. Other

1. Quarterly and Special Meeting Schedule
   May 1, 1996
   July 31, 1996

2. Council on Higher Education Meeting Schedule
   May 20, 1996 - Holiday Inn-North, Lexington
   July 15, 1996
   September 15-16, 1996 Trusteeship Conference in Northern Kentucky
   November 11, 1996

3. Upcoming Activities
   May 1, 1996 - Foundation Annual Meeting
   May 11, 1996 - Commencement
   June 25 and 26, 1966 - Foundation Dinner Theatre??

Board of Regents Meeting
April 18, 1996

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 9:05 a.m. on Thursday, April 18, 1996, by Chair Alice S. Sparks who welcomed everyone to the meeting.

Roll Call: Robin Crigler, Frank Downing, Barbara Herald, James Poston, Jamie Ramsey, Alice Sparks, Philip Taliaferro, Michael Thomson, William Verst and Robert Zapp.

(Excused - Karen Bearden)

A. Executive Session:

Upon a motion by Regent Downing, seconded by Regent Zapp, the Board convened in executive session at 9:07 a.m. to discuss personnel matters.

The Board reconvened in open session at 10:05 a.m.

B. Administrative Action Item

Regent Verst moved that the Board of Regents enter into an employment contract with Mr. Jack Moreland to serve Northern Kentucky University as Interim President for a period beginning July 1, 1996 through the successful completion of a presidential search. The conditions of President Moreland's employment are to be specified by his contract. Upon a second by Regent Poston, the motion was voted on and passed with nine ayes and one nay by Regent Thomson.

Chair Sparks introduced Interim President Jack Moreland and commented that Northern is fortunate to attract such an able and proven educational administrator to this position, that he is a valuable addition to the University community, and that he is well suited to this leadership opportunity. Mr. Moreland, who provided critical leadership in Kentucky's Education Reform Act, will be on leave from his position as Superintendent of Dayton Independent Schools where he has served for 18 years.

Mr. Moreland thanked the Board and stated it is an honor and privilege to be selected to serve as Interim President. He also thanked President Boothe for all that he has done for the University and stated the University will always be indebted to him for his accomplishments over the past twelve years. Mr. Moreland stated that his goal over the next two and one-half months would be to talk to faculty members, staff, and especially students to see what they have to say. Mr. Moreland also recommended that the Board elevate Dr. Paul Gaston to Executive Vice President, a new position within the University, to assist with the transition of the interim presidency. Mr. Moreland stated that he has an awesome task ahead of him but he is looking forward to the challenge.

Chair Sparks announced that a Transition Team would be appointed by the next Board Meeting on May 1. This Transition Team will be comprised of NKU faculty and staff who will assist with the administrative changes. Also by the May 1 Board Meeting, plans are to have a Committee in place to begin planning celebrations to honor Dr. Boothe. Chair Sparks called attention to dates of other upcoming events: Lincoln Awards Dinner tonight, Foundation Annual Meeting on May 1, Commencement on May 11, and Council on Higher Education Meeting on May 20.

President Boothe offered congratulations to Jack Moreland.

Adjournment:

Upon a motion by Regent Crigler, seconded by Regent Poston, the meeting adjourned at 10:20 a.m.

Carol J. Eckstein, Assistant Secretary

Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on April 18, 1996, and that such matters are still in force and effect.

Robert W. Zapp, Secretary

Revised Agenda
Northern Kentucky University
Wednesday, May 1, 1996 - 1:30 p.m.
Regents Board Room - Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Approval of Minutes

March 18, 1996 Special Meeting
March 27, 1996 Special Meeting
April 4, 1996 Special Meeting

A. Presidential Reports

1. University-wide Student Awards
2. Grants and Contracts Quarterly Report
3. Campus Planning Report
4. Quarterly Financial Report
5. I-71 Corridor Study Report
6.
7.

B. Presidential Recommendations

1. Academic Personnel Actions
2. Regents Professorship Award (to be distributed)
3. Amendments to Faculty Policies & Procedures Handbook
4. Non-Academic Personnel Actions
5. Administrative Personnel Actions
6. Emergency Personal Leave Policy
7. Bad Debt Write-Off
8. Demolition of Property
   a. 402 Johns Hill Road
   b. 524 Johns Hill Road
9. 1996-97 Operating Budget Recommendation (to be mailed)
10.
11.
12.

C. Other

1. Committee Reports

2. Quarterly and Special Meeting Schedule
   July 31, 1996

3. Council on Higher Education Meeting Schedule
   May 20, 1996 - Holiday Inn-North, Lexington
   July 15, 1996
   September 15-16, 1996 Trusteeship Conference in Northern Kentucky
   November 11, 1996

4. Upcoming Activities
   May 1, 1996 - Foundation Annual Meeting
   May 11, 1996 - Commencement
   June 25 and 26, 1966 - Foundation Dinner Theatre

MAY 0 1 1996

Board of Regents Meeting
May 1, 1996

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 1:30 p.m. on Wednesday, May 1, 1996, by Chair Alice S. Sparks. The invocation was given by Father George Jacquemin of St. Anthony Church.

Chair Sparks welcomed everyone to the meeting.

Roll Call:	Karen Bearden, Robin Crigler, Frank Downing, Barbara Herald, James Poston, Jamie Ramsey, Alice Sparks, Philip Taliaferro, Michael Thomson and William Verst. (Excused - Robert Zapp)
Others Present:	President Leon Boothe, Elzie Barker, Rosetta Mauldin, Norleen Pomerantz, Peter Hollister, Susan Grover, Sheila Trice Bell, Tom Comte, Paul Gaston, Mary Ryan, Darrell Pressley - The Enquirer, Leo Calderon, Robert Appleson, Kathleen Verderber, Elizabeth Blincoe, Russell Kerdolff, Mike Baker, Becky Myers, Angela Schaffer, Chris Saunders, Marian Winner, Chuck Pettit, Carla Chance, Denise Wells, Tracy Purser, Russ Proctor, Nancy Perry, William Lamb, Debra Vance - Kentucky Post, Joyce Maegly, Sonda Cummins, Connie Hurst, Helen Wilhelm-Olsen, Mary Paula Schuh, Michelle Oss-Payne, Cheryl Torline, Rogers Redding.

Chair Sparks asked if there were any corrections to the minutes of the meetings of March 18, March 27, and April 4, 1996. There being no changes or corrections, the minutes were accepted as presented.

Dean Comte displayed the certificate of the Award of Accreditation to the College of Business from the American Assembly of Collegiate Schools of Business received at the national meeting last week in Los Angeles. The accreditation acknowledges that NKU is a quality institution with quality programs, faculty, students and staff. There are approximately 1,200 colleges and universities in the U.S. that offer degree programs in business and only 325 are accredited by AACSB. President Boothe and Chair Sparks thanked Dean Comte, his faculty and others involved in this effort.

President Boothe presented Student Regent Jamie Ramsey with a resolution of the Board recognizing his year of service to the University Board. The resolution read as follows:

> That the Board of Regents and the entire University community extend sincere appreciation to Jamie M. Ramsey who has served as Student Regent of Northern Kentucky University. Mr. Ramsey has given generously of his time, talent, and leadership to further the ultimate goals of the University and has served as an advocate of the institution, its faculty, staff, and alumni.

Chair Sparks stated that Regent Ramsey has been a real credit to the University, that he served on the Council on Higher Education, that he is President of Student Government, and that he has now been accepted by Chase Law School.

Presidential Reports:

A-1 President Boothe introduced the 1996 University-wide student award recipients, commented on their outstanding accomplishments and wished them the best in the future. They are: Student Awards

Regents Award	Denise A. Wells
Paul J. Sipes Award	Denise A. Wells
President's Award	Victor D. Dickerson
University Service Award	Jamie M. Ramsey
Excelsior Award	Michelle Oss-Payne

Chair Sparks offered congratulations to the award recipients and thanked them for coming.

A-2 President Boothe presented the Grants and Contracts Quarterly Report. For the period January 1 through March 31, 1996, 13 awards were received for a total of $598,543. Year-to-date totals for "Dollars Approved" and "Number of Awards" are $2,595,794 and 68, respectively. Grants & Contracts

A-3 President Boothe presented the Campus Planning Report and stated that the Fine Arts HVAC Upgrade is now complete. Project bids will open in June with a contract awarded shortly thereafter for the Ceramics/Sculpture Facility. Work in the Black Box is underway and a May completion is expected, while work in the Corbett Theatre will begin in May. Drawings and specifications are complete for the Network Cabling Installation and project will be bid in May. Design work will begin soon for the Campus Entrance Sign at US 27. Elevator Upgrade for Nunn Hall and Natural Science Center is in bid phase and construction will begin this summer. A project is being initiated to replace the plaza deck between the University Center and Lucas Administrative Center to resolve longstanding problems with water leakage. Campus Planning

A-4 President Boothe presented the Quarterly Financial Report and reported the results of the financial operations are within the expectations established by the budget. Quarterly Financial

A-5 President Boothe shared a report on the proposed redesign of I-71, I-471, and I-275. Option B of this study would modify Fort Washington Way with the potential of diverting I-71 traffic to I-471 and I-275. The University is very concerned about the potential for increased traffic in close proximity to the campus. I-71 Corridor Study

Presidential Recommendations:

B-1 President Boothe recommended approval of the following academic personnel actions: Academic Personnel Actions

Faculty Appointments: Lisa K. Elderbrock, Assistant Professor of Mathematics in the Mathematics and Computer Science Department, effective August 14, 1996; David J. Franklyn, Assistant Professor of Legal Programs in the Salmon P. Chase College of Law, effective August 14, 1996; Timothy S. Hall, Assistant Professor of Legal Programs in the Salmon P. Chase College of Law, effective August 14, 1996; and Carol D. Pennington, Assistant Professor in the Music Department, effective August 14, 1996.

Leaves, Paid/Unpaid: Michael C. C. Adams, paid administrative leave with benefits, beginning July 1, 1996 and ending December 31, 1996; and Esther Green-Merritt, emergency paid leave with benefits, beginning February 16, 1996 and ending April 30, 1996.

Resignation: Jeanne Henry, from Director of Women's Studies to Lecturer in the Learning Assistance Program, effective June 30, 1996.

Upon a motion by Regent Herald, seconded by Regent Crigler, the motion carried with nine ayes and one abstention by Regent Thomson.

B-2 President Boothe recommended that Michael C. C. Adams be awarded a Regents Professorship and commented on Dr. Adams' splendid resume. President Boothe added that Dr. Adams' various activities and accomplishments more than merit his appointment as a Regents Professor. Regent Poston stated he enjoys being a part of this committee. He also commented on Professor Adams' commitment to the University community, and stated he is amazed at all his accomplishments. Upon a motion by Regent Poston, seconded by Regent Crigler, the motion passed with nine ayes and one abstention by Regent Thomson. Regents Professorship Award

President Boothe introduced Dr. Adams who stated it is a great honor to receive this award.

Regent Poston recommended doing something in the future to include all the nominees. A member of the Committee suggested that the Regents have a reception for all the nominees so the Committee would have a chance to meet and talk with them, as they all deserve some level of recognition.

B-3 President Boothe recommended that several amendments to the Faculty Policies and Procedures Handbook 1994 receive Board approval. These changes are minor and have previous Faculty Senate approval. Amendments to Fac.Policy &Proc. Handbook

Upon a motion by Regent Thomson, seconded by Regent Verst, the recommendation passed unanimously.

B-4 President Boothe recommended approval of the non-academic personnel actions which occurred between January 1, 1996 and March 31, 1996. Upon a motion by Regent Downing, seconded by Regent Verst, the recommendation passed unanimously. Non-Academic Personnel Actions

B-5 President Boothe recommended approval of the following administrative personnel actions: Admin. Personnel Actions

Resignation: Delores Anderson, Director of Affirmative Action, effective June 30, 1996; and Sheila Trice Bell, University Legal Counsel, effective May 24, 1996.

Title Change: Cheryl Nunez, Director of Affirmative Action and Multi-Cultural Affairs, effective July 1, 1996 through August 16, 1996.

Appointment: Elizabeth M. Blincoe, Interim University Legal Counsel, effective May 8, 1996.

Upon a motion by Regent Verst, seconded by Regent Poston, the recommendation passed unanimously.

B-6 — Emergency Personal Leave

President Boothe recommended approval of the revision of the Personnel Policies and Procedures Manual to include a new section on Personal Day Usage. This revision allows staff personnel to access accrued vacation on an emergency basis in order to address unanticipated emergencies. This policy addition was given a one-year trial period, which worked well. Upon a motion by Regent Herald, seconded by Regent Thomson, the recommendation passed unanimously.

B-7 — Bad Debt Write-Off

President Boothe recommended that authorization be given to the Assistant Vice President for Business Affairs/Treasurer to write off bad debts totalling $58,698.57. The bad debt write-off represents less than 2.0 tenths of a percent (.002) of the monies collected in tuition and fees. Upon a motion by Regent Verst, seconded by Regent Downing, the recommendation passed unanimously.

B-8-a — Demolition of 402 & 524 JHR

President Boothe recommended that the Board authorize the University to proceed with demolition of the house at 402 Johns Hill Road which is in very poor condition. Upon a motion by Regent Thomson, seconded by Regent Herald, the recommendation passed unanimously.

B-8-b

President Boothe recommended that the Board authorize the University to proceed with demolition of the barn and shed at 524 Johns Hill Road, both of which are in very poor condition. Upon a motion by Regent Verst, seconded by Regent Downing, the recommendation passed unanimously.

B-9 — 1996-97 Operating Budget

President Boothe recommended approval of the 1996-97 Operating Budget for a total of $70,706,100, an increase of $1,956,100 compared to original 1995-96 budget. The budget recommendation is a reflection of the direct impact of enrollment issues and the decline in state funding assistance. President Boothe recommended that a final decision on faculty/staff compensation be deferred until it is known what Fall enrollment will be. However, a two percent across-the-board salary increase retroactive to July 1 is recommended if current enrollment is maintained and revised new tuition and mandatory fee revenue approximates or exceeds the revenue forecasted. Also, if no salary increase is given this year, President Boothe feels the Board should go on record that the University undertake a major re-engineering effort to give a five percent salary increase for the next year. Upon a motion by Regent Downing, seconded by Regent Crigler, the recommendation passed unanimously.

Chair Sparks requested that Regents Zapp, Crigler, Herald and Thomson serve on the Nominating Committee and plan to report at the July 31 Board Meeting.

Chair Sparks announced the membership of the Transition Team which will assist Interim President Moreland during the upcoming year and then serve as a resource to the newly-elected president during the first six months of his/her administration. Members of the Team are: Michael Baker, Administrative Affairs; Stephanie Baker, Student Affairs; Prince Brown, Academic Affairs; Ron Ellis, University Relations/Development; Chuck Pettit, Staff Congress; Mark Stavsky, Academic Affairs, and Fran Zaniello, Academic Affairs.

Chris Saunders, newly elected Student Government President was introduced.

Chair Sparks announced that Senate Resolution No. 148 had been passed on March 21, 1996 honoring the men's basketball team, and that the Clerk of the Senate was directed to send a copy of the Resolution to Coach Shields and all members of the team.

Other:

Administration and Finance Committee:

Regent Downing reported this committee had met on April 18 and talked at length concerning technological advances and progress, land issues, and the 1996-97 Budget.

Student Affairs/Advancement Committee:

Regent Poston stated there was nothing to report as the Committee had not met.

Academic Affairs Committee:

Regent Taliaferro reported this committee had met on April 26 and discussed the Community College commitment. Committee went from studying the prospect to looking at some action phases. Requested Dr. Verderber and the Provost to discuss with Faculty Senate at the May meeting the possible formation of an ad hoc planning committee to develop an implementation plan by September 1996 leading to some kind of program in the summer 1997.

By-Laws Committee:

Regent Crigler referred to the draft of the by-laws that was reported on and discussed at the January 31, 1996 meeting (a copy of which was furnished to each Regent today.) The Committee recommended only one additional change to this draft, the addition of "(d) Other" to Item 5 on page 2.

Regent Crigler moved that this draft be approved. Motion was seconded by Regent Downing. There was a lengthy discussion concerning the language in Article IV. Following this discussion, Regent Thomson moved to amend motion to approve everything in the draft except Article IV and current wording would be used. Motion was voted on and passed unanimously.

Regent Crigler reported that information had been requested from the Association of Governing Boards concerning evaluation of Board Performance, page 2, Item 8, and that as soon as the information is received, the Committee will meet and make a recommendation.

President Boothe thanked the University for the privilege of serving as President since 1983, and said that although the challenges, especially financial, had been enormous, the rewards had been equally great. President Boothe added that he and Nancy look forward to the next phase of their journey.

Chair Sparks announced that a committee comprised of community and university people had been appointed to plan a dinner to celebrate the Presidency of Dr. and Mrs. Boothe on June 12 at the Drawbridge. Committee members are: Sandy Easton, Ron Ellis, Michael and Judy Gibbons, Mer Grayson, Ken Lucas, Clyde Middleton, Ken Paul, Nancy Perry, Bill Robinson, Nona Stricker and Tom Zaniello.

The Presidential Search Committee will be appointed following the Presidential Search Workshop which will be conducted by an AGB Consultant.

Executive Session:

Upon a motion by Regent Bearden, seconded by Regent Poston, the Board convened in executive session at 3:05 p.m. to discuss personnel issues. The Board reconvened in open session at 5:40 p.m. Executive Session

Regent Poston moved that the Board ask University Legal Counsel to seek an opinion from the Attorney General's office as to the application of KRS 164.360(2) to this particular Board. Motion was seconded by Regent Bearden, voted on and passed unanimously.

Regent Poston moved that the Board approve the cost and expense for trustee services for the Huntington Bank in regard to the Residential Village litigation. Motion was seconded by Regent Bearden, voted on and passed unanimously.

Regent Thomson moved that the Board ratify the contract of Paul Gaston for Executive Vice President. Motion was seconded by Regent Taliaferro, voted on and passed with nine ayes and one abstention by Regent Herald.

Adjournment:

Upon a motion by Regent Thomson, seconded by Regent Poston, the meeting adjourned at 5:45 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on May 1, 1996, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

Board of Regents Meeting
June 10, 1996

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 10:30 a.m. on Monday, June 10, 1996, by Chair Alice S. Sparks who welcomed everyone to the meeting.

Roll Call: Robin Crigler, Frank Downing, Barbara Herald, James Poston, Jamie Ramsey, Alice Sparks, Philip Taliaferro, Michael Thomson, William Verst and Robert Zapp.

(Excused - Karen Bearden)

A. Chair Sparks introduced Dr. Virginia Lester, Association of Governing Boards, who made a presentation to the University Community on Presidential Searches in the 1990's. See attached notes.

B. Executive Session:

At the conclusion of Dr. Lester's comments, the Board convened in executive session at 11:30 a.m. to further discuss the presidential search. Confidential notes are attached for Board members only.

Adjournment:

The meeting adjourned at 5 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on June 10, 1996, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

Board of Regents Meeting
June 10, 1996

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 10:30 a.m. on Monday, June 10, 1996, by Chair Alice S. Sparks who welcomed everyone to the meeting.

Roll Call: Robin Crigler, Frank Downing, Barbara Herald, James Poston, Jamie Ramsey, Alice Sparks, Philip Taliaferro, Michael Thomson, William Verst and Robert Zapp.

(Excused - Karen Bearden)

A. Chair Sparks introduced Dr. Virginia Lester, Association of Governing Boards, who made a presentation to the University Community on Presidential Searches in the 1990's. See attached notes.

B. Executive Session:

At the conclusion of Dr. Lester's comments, the Board convened in executive session at 11:30 a.m. to further discuss the presidential search. Confidential notes are attached for Board members only.

Adjournment:

The meeting adjourned at 5 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on June 10, 1996, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

Comments by Virginia Lester
Monday, June 10, 10:30-11:30 a.m.

Following introductory comments by Chair Sparks, Dr. Lester introduced herself further and described her experience first as an elementary school teacher followed by her experience in the College of Education leading to a deanship at Empire State College in New York in the area of non-traditional Education.

Dr. Lester then became President of Mary Baldwin College in Virginia which was designated as one of the "turn-around campuses" when traditional women's campuses were facing the crisis of the 1970's and 80's. Dr. Lester graduated from Stanford Law School and joined a prestigious law firm in Richmond, Virginia after her retirement from Mary Baldwin.

Dr. Lester's comments follow:

The major role and responsibility of the Board of Regents is the selection of the President. There are alternate strategies on how to conduct a presidential search and while there is room for variation, it is clear what works and what does not.

The position of the college presidency is growing increasingly less attractive. Higher education faces a number of challenges and there are no easy fixes. Having reviewed President Boothe's address to the University in August 1995, it is apparent he is fully aware of the challenges that face NKU and higher education. Higher education exists in a world of change: diversity of constituency, competition for funds, demand for skills needed for tomorrow.

The process consists of the three S's:

Search, Screen, Select

Search: everyone helps--not the responsibility of the Board, the faculty, or the Committee. Everybody should search. Use all sources, networks, nominate and engage people. Active recruiting as the guide.

Screen: Board delegates to Search Committee. The Search Committee may be comprised of only Board members. It is customary to have representatives of the campus on the Search Committee. It is important that the Committee be large enough to do the work, and small enough to meet effectively. If a member cannot be in attendance at all planned meetings and interviews, it is best that person resign. Members must attend all meetings.

Selection: This is the Board's responsibility alone. May not be delegated. The board must take a campus-wide view and support the entire campus. This is not a time for constituent votes and the establishment of camps.

The President needs to share that broad view and be prepared to move the campus forward.

The Board Chair appoints and charges carefully the selected Committee.

The Committee members must exercise statesmanship, display knowledge and commitment to the total University, see the big picture, and be devoted to confidentiality. A good candidate won't risk a career with a bad search process.

It is our job to find good people!

President serves at the pleasure of the Board. He/she is responsible for the good and the bad.

Search Committee members must have knowledge of needs and concerns of the campus. Should be experienced enough to know what is good. Must be willing and able to meet concerns. Must develop skills to read resume's and interview. Search Committee members cannot include anyone who aspires to the job.

The charge given by the Board Chair: should be written and define the tasks that the Committee is asked to do.

The Committee should not exceed its charge.

The charge should contain the timetable and the methods of conducting the search/screen process.

The Board receives the recommended candidates from the Committee in a non-prioritized fashion. All candidates should be qualified to do the job which the Board has defined.

The candidates must be unranked.

The Committee will have the only knowledge of the applicant lists.

A Board member should act as Chair of the Search Committee.

The Charge should contain timetables and target dates and calendars that focus the dedication to the search process.

A good staff person is essential to the search.

Leadership qualities must be determined. This is not a generic job description, the campus needs to identify three to five the most important things to accomplish in the next three to five years.

Agenda

The Administration and Finance Committee will meet on Friday, July 26, at 1:30 p.m. in the Board Room.

The Student Affairs/Advancement Committee will meet on Wednesday, July 31, at 1 p.m. in AC 822.

Revised Agenda
Northern Kentucky University
Wednesday, July 31, 1996 - 2 p.m.
Regents Board Room - Lucas Administrative Center

Call to Order and Invocation

Oath of Office - Student Regent - Chris Saunders

Roll Call and Welcome

Approval of Minutes

April 18, 1996 Special Meeting
May 1, 1996 Regular Meeting
June 10, 1996 Special Meeting

A. Presidential Reports
1. Grants & Contracts Quarterly Report
2. Quarterly Financial Report
3. Campus Planning Report
4. Preliminary Summer Enrollment
5. Student Service Initiatives
6. Beverage Contract
7. Presidential Transition
8. NKU Partnerships
9. Extended Campus Centers
10. Technological Advances
11. Statewide Higher Education Initiatives
12. Performance Funding
13. Covington Campus Task Force
14.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Temporary Faculty Appointments
3. Amendments to Faculty Policies & Procedures Handbook 1994
4. Non-Academic Personnel Actions
5. Concealed Weapons Policy
6. 1996-97 Operating Budget
7.

C. Other
1. Nominating Committee
2. Standing Committee Reports
3. Quarterly and Special Meeting Schedule
   - September 25, 1996
   - November 20, 1996
   - January 29, 1997
   - March 26, 1997
   - May 7, 1997
   - July 30, 1997
4. Council on Higher Education Meeting Schedule
   - September 15-16, 1996 Trusteeship Conference
   - November 11, 1996
5. Upcoming Activities
   - August 14, 1996 - Partnership Kick-Off (Governor Patton)
   - August 20, 1996 - State of University Address (tentative)
   - August 29, 1996 - Cincinnati Pops Orchestra
   - September 27, 1996 - Congressional Debate - Greaves
   - October 4, 1996 - Staff Awards
   - November 8-10, 1996 - Men's Basketball Tourney, Richmond, VA
   - November 14, 1996 - NKU Foundation Appreciation Dinner
   - November 22-23, 1996 - Tip-Off Tourney
   - December 6-7, 1996 - Perkins' Tourney
   - December 14, 1996 - Winter Commencement

JUL 31 1996

Board of Regents Meeting
July 31, 1996

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 2:05 p.m. on Wednesday, July 31, 1996, by Chair Alice S. Sparks. The invocation was given by Reverend Joe Pennington, Campus Ministry.

Chair Sparks welcomed everyone to the meeting.

Chair Sparks administered the oath of office to Student Regent Chris Saunders and welcomed him to service on the Board.

Roll Call: Karen Bearden, Robin Crigler, Frank Downing, Barbara Herald, James Poston, Chris Saunders, Alice Sparks, Michael Thomson, William Verst and Robert Zapp. (Excused - Philip Taliaferro)

Others Present: President Jack Moreland, Marian Winner, Mark Stavsky, Sara Sidebottom, Rosetta Mauldin, Elizabeth Blincoe, Norleen Pomerantz, Susan Grover, Peter Hollister, Paul Gaston, Darrell Pressley - The Enquirer, Leo Calderon, Milly Diehl, Robert Appleson, Rogers Redding, Darrell Garber, Tom Isherwood, Diane Williams, Chris Comte, Carrie McCoy, Anju Ramjee, Thomas Comte, Cheryl Torline, Edward Melvin of Kenneth Cunningham Associates, Inc., Bill Lamb, Mary Paula Schuh, Linda Reynolds, Carla Chance, Russell Kerdolff, Ken Ramsey, Mike Baker, Debra Vance - Kentucky Post, Sonda Cummins.

Chair Sparks asked if there were any corrections to the minutes of the meetings of April 18, May 1, and June 10, 1996. There being no changes or corrections, the minutes were accepted as presented. In order to clarify some recent misleading headlines in the newspapers, Chair Sparks pointed out that on page 4 of the May 1 minutes, the Board approved the budget which contained a 2 percent increase, based on enrollment, so that is not a topic that will be decided today. President Moreland stated his goal is to try to do better than 2 percent, however, that will not be known until the final enrollment numbers are available. He hopes to make an announcement later in August.

Presidential Reports:

President Moreland stated he would be calling on various administrators in the audience to assist him in providing more specific information.

A-1 **Grants and Contracts Quarterly Report:** Year-end totals for "Dollars Approved" and "Number of Proposals Approved" for fiscal year 1995-96 are $3,117,240 and 90, respectively. Year-end totals for "Dollars Requested" and "Number of Proposals Submitted" for fiscal year 1995-96 are $5,479,656 and 136, respectively. Ms. Linda Reynolds, Coordinator in the Office of Research, Grants and Contracts, responded to a question about why number of requests is down. The number is down somewhat as the number of contracts that normally come through Research, Grants & Contracts are no longer coming through that office. Also, this year there has been more collaboration of the faculty who are submitting proposals together instead of submitting individually. Grants and Contracts

President Moreland stated that if the Board agrees, this is the kind of process he would like to use throughout his tenure here, that there are a lot of good people at NKU and he would like to be able to rely on them to provide information needed from their specific component.

A-2 **Quarterly Financial Report:** Budget Director Barker reported that the financial operations were within the range of the norm and the year was ended in the black. There is nothing in the report that reveals any particular problems. Quarterly Financial

A-3 **Campus Planning Report:** Vice President Chance reported that bids for the Ceramics/Sculpture facility were opened on June 18. There was a slight scope problem when bids were opened, but the State has joined NKU in a collaborative effort to fund this project. CR&R Construction of Cincinnati was low bidder. Groundbreaking is anticipated in late August. Progress continues on Theatre and Black Box lighting replacement and on the Corbett Theatre renovation project. A celebration in the Corbett Theatre is being planned for February. The Network Cabling Installation project was awarded to Fishel Technologies, and they will begin cable installation in August. The plaza deck replacement project is underway to resolve longstanding problems with water leakage. This is making a remarkable change to the campus. Contract has been signed with Kenneth Cunningham & Associates to design and develop the area on the west side of the library. This project will be funded by the Brad Butler Gift. Campus Planning

There was a presentation at this time by Edward Melvin of Kenneth Cunningham & Associates. This project will include three flag poles displaying the American, State and University flags, as well as a series of plaques dedicated to patriotic themes. Following the presentation, there was a discussion concerning lighting and materials to be used in the display and the necessity for the materials to fit with color scheme and overall design of the campus. As soon as colors and materials are selected, project will be ready to go to bid. Fall construction is hoped for with completion by mid-November. This project will also be a good promotion for the Paver Project.

There was a discussion concerning Campus Entrance Sign at US 27. Operating funds will not be used for this project. There is $45,000 that the State compensated NKU for right-of-way and signage at the campus entrance, and we are looking for another gift of about that size to fund this project. Specifications are complete and we are ready to go to bid when funding is secured.

A-4 **Preliminary Summer Enrollment:** President Moreland reported that graduate headcount is up 14.6 percent while undergraduate headcount is down 4.4 percent for a 1.3 percent total enrollment. Prelim. Summer Enrollment

A-5 **Student Services Initiatives Report:** Vice President Pomerantz reported that two services for students are being implemented for Fall 1996. "One-location Registration", which is primarily for open registration, will allow students to be advised, register and work with financial aid at one location. This is the first step in an over-all redesign of the registration process. The second initiative is "The Ombuds Service Team" which will be responsible for responding to student concerns, problems and issues regarding both academic and non-academic issues. The service will help identify and improve areas that may be unnecessary barriers to students. President Moreland added that the Ombuds Service idea came from the framework of special education children, that every child has the right to have an advocate. NKU is trying to create a user-friendly atmosphere on campus by reducing the artificial barriers and getting the news out to students that we are here to help them. Thanks to Darrell Pressley, The Enquirer, and Debra Vance, The Kentucky Post, news is getting out on some of the things we are trying to accomplish. Student Initiatives

A-6 **Beverage Contract Report:** Vice President Chance stated this effort is really Ken Ramey's and that she would defer to him. She stated, however, this is another example of the good work that arises in the Business Affairs area. Mr. Ramey, Director of Business & Auxiliary Services, reported this is a $2.5 million contract with a guarantee of $200,000 annually in vending commissions. In addition to the vending commissions, the following new revenues will be realized: $25,000 for Coke becoming a major corporate sponsor for athletics, $5,000 for the Presidential Scholarship Fund, and $6,000 for case incentives for product sold to the food contractor. Also, in-kind gifts and initiatives in the amount of $30,000 will be realized as a result of this contract. Overall, this is a very good contract for the University and Coca-Cola. Beverage Contract

A-7 **Presidential Transition Report:** Regent Zapp reported that the Search Committee has been formed and that it is a good Committee. Public forums, to be held on campus, will be scheduled soon. President Moreland reported that the Transition Team had met once. The theme that came from this meeting is to try to bring the University together for a common direction, and over the next few months, hope to work toward that goal. We are here for one reason, to do the very best we can for the students that we serve and to use all facets of the University to accomplish this. Chair Sparks reported that the Celebration Dinner for Dr. and Mrs. Boothe was a success and that they were very moved. She expressed appreciation and thanked everyone for their tremendous effort, especially Ron Ellis and Peter Hollister. President Moreland commented that the presentation of the art by Tom Gaither was wonderful and very appropriate. Overall, it was a good night that was very well done. Pres. Transition

A-8 **NKU Partnerships Report:** Vice President Hollister reported that presently there are about 250 volunteers, that virtually every department has two or more volunteers and some have as many as 20 or 25. Governor Patton will be on campus August 14 to help launch this project and to announce the Co-Chairs of the Steering Committee. President Moreland added that Regent Chris Saunders and his group have done a nice job putting together the students. The number of volunteers we are looking at will be in excess of 300, however, 600 binders are being prepared. NKU Partnerships should not be a threatening process but something that can bring more to rather than take away from this University. President Moreland introduced Milly Diehl, who is on loan from the Pritchard Committee, a statewide organization dealing with school reform. Ms. Diehl is the person who is coordinating the Partnerships, and she will be here until the process is complete. NKU Partner-ships

A-9 **Extended Campus Report:** President Moreland reported that the CHE has lifted its moratorium with regard to extended campuses. This is important because at the Board Retreat last month, one of the main topics was the extended campus. At the next Board meeting, a report on preliminary findings will be available. Extended Campus

A-10 **Technological Advances Report:** President Moreland noted that information contained in the packet is relatively self-explanatory. A report is expected around September 4 from the Panel on Technology and Instruction with their recommendations on student fee allocation. By the time the final networking is done, we will have hardware to plug into the network. We are trying to move as quickly as possible on this. Dr. Gaston reported that in order to make allocation as wisely and responsibly as possible, NKU is sending a small team to the Institute for Academic Technology, located at the University of North Carolina, for a two-day conference. Techno-logical Advances

A-11 **Statewide Higher Education Initiatives:** Mr. Barker reported there are two major initiatives underway now within the State of Kentucky. One is the Task Force on Post Secondary Education, a broad based group chaired by the Governor, to look at a wide variety of issues having to do with higher education. That Task Force is working with the Commission on Higher Education Efficiency and Cooperation, established by the Governor and made up of all the University presidents and another small group from various areas with some involvement or interest. A staff group has been working on the recommendations that have come forward from subcommittees of the larger commissions and that group will report its findings to the Task Force and to the CHE. The Governor has made higher education issues a very high priority. This is a great opportunity for higher education to position itself. Vice President Chance reported that Governor Patton had corresponded with Chairman Jim Miller asking the CHE to delay their formula review process until the Task Force had made some recommendations. Mr. Barker reported there is a small group within the University taking a hard look at the formula and some of the issues that bear on funding issues for higher education. President Moreland reported there are mixed feelings about the Governor requesting a delay of the process. He feels the Governor wants a good equitable formula. Statewide H.E. Initiatives

President Moreland reported that he had appointed Leo Calderon to coordinate the Web Page, which is a good marketing and public relations tool that needs to be the very best that it can be. President Moreland reported that he has talked with Ms. Winner about a software package for the library that will connect NKU to other libraries in the State. This software will improve resources among the libraries. The software will be ordered and put in place as soon as possible.

A-12 **Performance Funding:** Mr. Barker reported that the CHE, at its July meeting, gave final approval to the performance funding component of the higher education funding model. The funding system that was approved resulted from extensive review and discussion among all the institutions, the CHE, the Legislative Research Commission, and the Governor's Office for Policy & Management. Discussed in some detail the Indicator Chart. Most of these indicators are very academically focused. Performance Funding

A-13 **Covington Campus Task Force:** Vice President Chance reported the Committee had met twice regarding renovation and upkeep of the existing facility. Liz Blincoe has finished the work on the legal issues surrounding the title to the property. The Committee continues to discuss programmatic issues. President Moreland is meeting with Secretary Cain of the Workforce Development Cabinet in the hope the property has potential as a training and retraining center. Perhaps by September the Committee will be in position to make a final recommendation. Cov. Campus Task Force

President Moreland stated this is the process he would like to use to report to the Board if it is agreeable. He publicly thanked everyone for all their help since he has been on campus.

Presidential Recommendations:

B-1 President Moreland recommended approval of the following academic personnel actions: Academic Personnel Actions

Administrative Appointments: Linda Bennett, Professor & Chair of the Political Science Department, effective July 1, 1996; Chris Comte, Interim Director of Academic Computing, effective from August 1, 1996 through June 30, 1997; Darrell H. Garber, Professor & Chair of the School of Education, effective July 1, 1996; Nan Littleton, Director of Women's Studies & Associate Professor in the Allied Health, Human Services and Social Work

Department, effective July 1, 1996; Anju Ramjee, Chair of the Economics, Finance & Information Systems Department and Associate Professor of Finance, effective July 1, 1996; Robert Vitz, Chair of the History & Geography Department and Professor of History, effective July 1, 1996; and Diane Williams, Lecturer (non-tenure track renewable) and Director of the Developmental Mathematics Program, effective July 1, 1996. Academic Personnel Actions Contd.

Faculty Appointments: Jihad Albayyari, Assistant Professor in the Technology Department, effective August 14, 1996; Stephanie Barnes, Assistant Professor in the Allied Health, Human Services and Social Work Department, effective August 14, 1996; Nancy Batchelor, Assistant Professor (non-tenure track renewable) in the Nursing Department, effective August 14, 1996; Ann Dollins, Assistant Professor in the Nursing Department, effective August 14, 1996; Douglas S. Krull, Assistant Professor in the Psychology Department, effective August 14, 1996; Nancy Miller, Assistant Professor in the School of Education, effective August 14, 1996; Lorraine Ruh, Lecturer (non-tenure track renewable) in the Accountancy Department, effective August 14, 1996; Carol Ryan, Assistant Professor in the School of Education, effective August 14, 1996; Allen H. Seed, Assistant Professor in the School of Education, effective August 14, 1996; and Deatra Sullivan, Assistant Professor in the Communications Department, effective August 14, 1996.

Leaves, Paid/Unpaid: Martin J. Huelsmann, Professor of Law in the Salmon P. Chase College of Law, unpaid leave, with benefits, effective July 1, 1996 through June 30, 1997.

Resignation: David R. Adams, from the position of Chair of the Economics, Finance and Information Systems Department to the position of Professor of Information Systems, effective June 30, 1996; Chris Comte, from the position of Director of Credit Continuing Education and Distance Learning, effective July 31, 1996; Willie Elliott, from the position of Chair of the Allied Health, Human Services and Social Work Department to the position of Associate Professor of the Allied Health, Human Services and Social Work Department, effective July 1, 1996; Charles Hawkins, from the position of Director of Academic Computing to the position of Professor of Physics in the Physics and Geology Department, effective June 30, 1996; Jeanne Henry, Lecturer in the Learning Assistance Program, effective June 30, 1996; Julia Kellman, Assistant Professor in the Art Department, effective May 11, 1996; Paul Knepper, Associate Professor in the Political Science Department, effective May 11, 1996; and Karen K. Petty, Lecturer and Director of the Developmental Mathematics Program, effective June 30, 1996.

Retirement: Curtis L. High, Assistant Professor in the Accountancy Department, effective May 11, 1996.

Upon a motion by Regent Crigler, seconded by Regent Herald, the motion carried with nine ayes and one abstention by Regent Thomson.

President Moreland introduced and welcomed Chris Comte, Darrell Garber, Anju Ramjee and Diane Williams who were present at the meeting.

B-2 President Moreland recommended that the following temporary faculty appointments for the 1996-97 academic year receive Board approval: Kimberly Allen-Kattus, Lisa B. Jameson, Elinor Rambo, Julia Yang Bedell, Laura L. Padolik, Eric Reginald Jackson, Douglas Knerr, Frederic J. Krome, Lawrence Mitchell, Conard Carroll, Lydia Coyle, Irene Encarnacion, Nancy Kersell, Andrew Miller, Michele Peers, Gary Walton, Janalynn S. Anderson, Bo-Kyung Kim Kirby, Mick Raney, Toni Sholar, Steven R. Dent, Alan Kerrick, Temp. Faculty

George Mathew, Stephen Tobin, Carol A. Keim, Shannon Barton, Minda Michels, Kathleen O'Connor, Timothy Murphy, Mary Jo Beresford, Jane Green, Sam Lapin, Cady Short-Thompson, Paul Champion, Michele Crowley, Majeb Dabdoub, Daryl Orth, Adam G. Todd, Roger Adams, Ann Harding, Joyce Bauer, Jerry Call, Karen Keeton, Margaret Anne Kramer and Patty Fairbanks. Upon a motion by Regent Zapp, seconded by Regent Poston, the motion passed with nine ayes and one abstention by Regent Thomson. — Temp. Faculty Contd.

B-3 President Moreland recommended Board approval of some minor changes to the Human Subjects Policy of the Faculty Handbook, effective August 21, 1996, which changes will bring the University's Human Subjects Policy into compliance with recent changes in federal regulations and will more accurately reflect current practice. These changes have Faculty Senate approval and the endorsement of the Provost. Upon a motion by Regent Crigler, seconded by Regent Verst, the motion passed unanimously. — Amendments to Fac. Handbook

B-4 President Moreland recommended approval of the non-academic personnel actions which occurred between April 1, 1996 and June 30, 1996. Upon a motion by Regent Downing, seconded by Regent Verst, the recommendation passed unanimously. — Non-Academic Personnel

President Moreland introduced and welcomed Sara Sidebottom who joined NKU on May 13, 1996 as Interim Associate Legal Counsel.

B-5 President Moreland recommended Board approval of a Deadly Weapon/Destructive Device Policy. House Bill 40, which goes into effect on October 1, 1996, and which permits Kentucky residents to obtain licenses to carry concealed weapons, permits the University to restrict or prohibit the carrying of concealed weapons on campus through administrative policy. Upon a motion by Regent Thomson, seconded by Regent Bearden, the recommendation passed unanimously. — Concealed Weapons Policy

B-6 President Moreland recommended approval of the 1996-97 Operating Budget. Motion was made by Regent Bearden and seconded by Regent Zapp to approve recommendation. Following a discussion concerning enrollment and a 2 percent salary increase retroactive to July 1 depending on enrollment, the recommendation was voted on and unanimously passed. — 1996-97 Operating Budget

Other:

Nominating Committee:

Regent Zapp, gave the report of the Nominating Committee. The 1996 Nominating Committee, comprised of Regents Zapp, Crigler, Herald and Thomson, recommended the following slate of officers:

James Poston	Chair
Frank Downing	Vice Chair
Alice Sparks	Secretary/Treasurer

Chair Sparks asked for any additional nominations, and as no further nominations were made, Regent Zapp moved that the slate presented be elected by acclamation. The motion, seconded by Regent Crigler, received unanimous approval.

Chair Sparks wished Regent Poston the best and hopes he will enjoy being Chair as much as she has. She further stated that serving as Board Chair has been a tremendous experience and the highlight of her public life and that she appreciates all the support she has received.

By-Laws Committee:

Regent Crigler reported that at the May 1, 1996 Board Meeting the By-Laws were approved with the exception of Article IV and that the language contained in old Article IV was retained. At the May Meeting, there was lengthy discussion about concern over indemnification for any criminal activity. What is presented today is the recommendation that came from University Legal Counsel. Regent Crigler requested that everyone review the revision to Article IV that was distributed today and the revision will be discussed and voted on at next Board Meeting.

Student Affairs/Advancement Committee:

Regent Poston reported the committee met prior to the Board Meeting and that Vice President Hollister had reported that the Annual Fund Drive for Northern raised $903,323, over goal by 13 percent, and The Kresge Challenge had raised a little in excess of $400,000. Congratulations were extended to Peter Hollister and his group for all their effort. Other items discussed were NKU Partnerships, the Celebration Dinner for Dr. and Mrs. Boothe, and enrollment.

Academic Affairs Committee:

Regent Thomson reported this committee met on June 24 and discussed the following: accreditation report, SACS, enrollment figures, Running Start, and the process of renewal for Regents' Professors.

Administration and Finance Committee:

Regent Downing reported that the meeting scheduled for July 26 was cancelled but there was a brief meeting prior to today's Board Meeting. Items discussed were capital construction projects, higher education initiatives and presidential compensation.

Regent Crigler asked to be relieved from the three committees she presently serves on until the Presidential Search is completed. Chair Sparks suggested, and Regent Poston agreed, that the Committees probably need to be restructured.

Regent Zapp thanked Chair Sparks for the good job she has done and acknowledged all the hours she has put in as Chair.

Chair Sparks called attention to upcoming dates: Governor Patton's visit to NKU August 14, State of University Address August 20, Trusteeship Conference September 15 and 16, next Board Meeting September 25, Congressional Debate (Greaves) September 27, Staff Awards October 4, Men's Basketball Tourney in Richmond, VA November 8-10, and Winter Commencement December 14.

Executive Session:

Upon a motion by Regent Thomson, seconded by Regent Downing, the Board convened in executive session at 4:10 p.m. to discuss legal issues. The Board reconvened in open session at 5:35 p.m. Executive Session

Regent Poston moved that the Board of Regents of Northern Kentucky University, being appropriately advised and all issues being disclosed, resolves to waive any conflict of interest that may exist by virtue of Elizabeth Blincoe's performance as the University Attorney during the pendency of a legal action naming her and the Board of Regents and various faculty members as co-defendants. Motion was seconded by Regent Thomson, voted on and passed unanimously.

Adjournment:

Upon a motion by Regent Poston, seconded by Regent Thomson, the meeting adjourned at 5:38 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Robert W. Zapp
Robert W. Zapp, Secretary

I, Robert W. Zapp, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on July 31, 1996, and that such matters are still in force and effect.

Robert W. Zapp
Robert W. Zapp, Secretary

REPLACEMENT PAGE
July 31, 1996 Board Agenda

The Search Committee must look at the applicant track record to know if they can do these three to five things.

What are the concerns: Money, then find someone who can get money.

Retention: then find someone who can bring the campus together to recruit and retain students.

This is a time of courtship: the Search Committee must market NKU to the applicant. The candidate will interview NKU. We must look for a fit between the two.

All communication of the Search Committee goes through the Chair of the Search Committee. No interaction with the press or public, there may be timely communiques that focus on process only!!

There is no need to solicit references, make telephone calls and talk and listen!

A search may need to last five months beyond the placing of the ad.

It is important that the Search Committee read all <u>applications</u> at once and talk and screen together.

Typically, the Board invites three to five to campus for interviews, but be sure to hold alternates for second round of interviews.

No on-campus votes are taken. Feedback should be solicited, but groups should not vote and rank candidates.

Don't delay and miss a good candidate.

Advantage of Search Consultant: keeps you on the straight and narrow, has a network, is trained to interview.

This page replaces the fourth page of the June 10, 1996 Minutes to change the word <u>ads</u> to <u>applications</u>.

Sinnet

Northern Kentucky University
Highland Heights, KY

Open Meeting Notice in
Compliance with KRS 61.823

The Board of Regents will meet in executive session at 12 noon on Wednesday, August 7, 1996, in the Presidential Dining Room, Room 105 University Center, to discuss personnel issues.

August 5, 1996

Board of Regents Meeting
August 7, 1996

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 12 noon on Wednesday, August 7, 1996, by Chair James R. Poston.

Roll Call: Frank Downing, Barbara Herald, James Poston, Alice Sparks, Michael Thomson, William Verst and Robert Zapp.

(Excused - Karen Bearden, Robin Crigler, Chris Saunders and Philip Taliaferro)

A. Executive Session:

Upon a motion by Regent Downing, seconded by Regent Thomson, the Board convened in executive session to discuss personnel issues.

Regent Downing moved that the Board adopt the revised contract with the Executive Vice President which outlines duties and responsibilities. Motion was seconded by Regent Zapp, voted on and passed.

Adjournment:

The meeting adjourned at 1:40 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Alice S. Sparks
Alice S. Sparks, Secretary

I, Alice S. Sparks, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on August 7, 1996, and that such matters are still in force and effect.

Alice S. Sparks
Alice S. Sparks, Secretary

Rowland

Agenda
Northern Kentucky University
Wednesday, September 25, 1996 - 2 p.m.
Regents Board Room - Lucas Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Approval of Minutes — July 31, 1996 Regular Meeting; August 7, 1996 Special Meeting

A. Presidential Reports
1. 1996 Final Summer Enrollment
2. 1996 Preliminary Fall Enrollment
3. Academic Affairs Report
4. Partnership Update
5.
6.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Temporary Faculty Appointments
3. Policy for Soliciting, Accepting, and Processing Gifts from Private Sources
4. Fire Service Contract
5. Funeral Leave Policy
6. Regents Bylaws Revisions
7. 1996-97 Compensation Adjustment
8. 1996 Organizational Structure
9.
10.

C. Other
1. Standing Committee Reports

2. Quarterly and Special Meeting Schedule
November 20, 1996
January 29, 1997
March 26, 1997
May 7, 1997
July 30, 1997

4. Council on Higher Education Meeting Schedule
November 11, 1996

5. Upcoming Activities
September 27, 1996 - Congressional Debate - Greaves
October 4, 1996 - Staff Awards
November 8-10, 1996 - Men's Basketball Tourney, Richmond, VA
November 14, 1996 - NKU Foundation Appreciation Dinner
November 22-23, 1996 - Tip-Off Tourney
December 6-7, 1996 - Perkins' Tourney
December 14, 1996 - Winter Commencement
April 15, 1997 - Sixth Annual Lincoln Award

SEP 25 1996

Board of Regents Meeting
September 25, 1996

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 2 p.m. on Wednesday, September 25, 1996, by Chair James R. Poston. The invocation was given by Reverend Harold Orndorff, Christian Student Fellowship.

Chair Poston welcomed everyone to the meeting.

Roll Call: Robin Crigler, Frank Downing, Barbara Herald, James Poston, Chris Saunders, Philip Taliaferro, Michael Thomson and Robert Zapp.
(Excused - Karen Bearden, Alice Sparks, William Verst)

Others Present: President Jack Moreland, David Short, Frederick Schneider (Faculty Senate), Rogers Redding, James Alford, Cheryl Torline, Elizabeth Blincoe, Harold Orndorff, Angela Schaffer, Norleen Pomerantz, Susan Grover, Rosetta Mauldin, Paul Gaston, Mary Ryan, Leo Calderon, Darrell Pressley - The Enquirer, Mary Paula Schuh, Robert Appleson, Tom Isherwood, Chris Comte, Diana Schlake, Carla Chance, Mike Baker, Gerald Hunter, Debra Vance - Kentucky Post, Bill Lamb, David Niinemets - Recorder.

Chair Poston asked if there were any changes, deletions or additions to the minutes of the meetings of July 31 and August 7, 1996. There being no changes, deletions or additions, the minutes were accepted as presented.

Presidential Reports:

A-1 **Final Summer Enrollment:** President Moreland reported a 1.3 percent decrease in total headcount. Final Summer Enrollment

A-2 **Preliminary Fall Enrollment:** President Moreland reported total headcount was down 1.1 percent which is substantially better that anticipated. Prelim. Fall Enroll

A-3 **Academic Affairs Report:** Provost Gaston reported that the revised Reappointment, Promotion, Tenure process operated on schedule and produced only two appeals. The emphasis of the revised process was to provide candidates more information about the decision making process. The Emergency Enrollment Protocol was put into effect this fall to enable NKU to avoid some of the concerns that arose last fall. By expanding class maximum in entry level courses in which there was great demand, more than 200 students were accommodated who might otherwise have walked away from the University this fall or who might have registered for less appropriate classes. Provost Gaston also reported on the concept of a statewide MBA program. The schools would use interactive video technology for exchanging MBA electives through the KTLN network. The first NKU offering for the statewide MBA Program is scheduled for Spring 1997. Academic Affairs Report

President Moreland extended thanks to Provost Gaston and all the Deans and Chairs of the different programs that were willing to expand their enrollment which allowed more students to be accommodated.

A-4 **Partnership Update:** President Moreland reported that the first Steering Committee meeting was held September 4 and that by October 8 all 70 partnership teams will be up and running. Currently there are 450 volunteers from the northern Kentucky community (this does not include NKU faculty and staff.) A public forum will be conducted November 11 in Greaves Hall from 7 to 9 p.m. and everyone was encouraged to attend. The NKU Partnership line has been installed--the number is 572-6630. Chair Partnership Update

Poston reported it is too early to know what is going to happen but he feels there is a lot of enthusiasm. This will take everyone's involvement and he encouraged everyone to solicit people to get involved. If someone feels their opinions have not been heard or that they have been a voice in the wilderness, this is the time to come forward and say something. It is especially important for NKU to show initiative to change and become better. Regent Saunders and Staff Congress President Cheryl Torline agreed with Chair Poston's comments. Ms. Torline added that everyone has a voice in this and the committees want to hear your opinion.

At this time Chair Poston introduced and welcomed a special guest in the audience, his father, Jim Poston, who is a former NKU Regent.

Presidential Recommendations:

B-1 President Moreland recommended approval of the following academic personnel actions: — Academic Personnel Actions

Administrative Appointment: Andrea Cornuelle, Associate Professor of Radiologic Technology and Acting Chair of the Allied Health, Human Services, and Social Work Department, effective August 1, 1996.

Faculty Appointment: Michele Roszmann-Millican, Assistant Professor in the School of Education, effective August 14, 1996.

Leave, Paid: Charles Hawkins, Professor of Physics in the Geology Department, paid administrative leave for the spring semester, 1998.

Resignations: Charles Jackson, Associate Professor in the School of Education, effective July 31, 1996, and Teresa Paulin, Assistant Professor of Respiratory Care in the Allied Health, Human Services, and Social Work Department, effective August 8, 1996.

Upon a motion by Regent Herald, seconded by Regent Crigler, the recommendation passed unanimously.

B-2 President Moreland recommended that the following temporary faculty appointments for the 1996-97 academic year receive Board approval: Gregory Dahlem, Edward Fenlon, James Westheider, Jordan Stoyanov, Minda Michels, Debra Kasel, Kelly Pitocco (Fall Semester only), Jerry Lancaster (Fall Semester only), Long Bao Tran, Carl Lingen and Anthony Gaines. Upon a motion by Regent Downing, seconded by Regent Zapp, the recommendation passed unanimously. — Temp. Faculty

B-3 President Moreland recommended Board approval of Policy titled "Soliciting, Accepting, and Processing Gifts from Private Sources." Foundation Director Alford reported this policy is very timely as SACS requires certain policies relating to fund raising and operation of the University. Upon a motion by Regent Zapp, seconded by Regent Saunders, the recommendation passed unanimously. — Gift Policy

B-4 President Moreland recommended that the Board authorize the administration to renew the University fire service contract with the Cold Spring-Crestview Volunteer Fire Department. Upon a motion by Regent Herald, seconded by Regent Downing, the recommendation passed unanimously. — Fire Service Contract

B-5 President Moreland recommended that the Board approve certain changes to the Funeral Leave Policy. Vice President Chance reported that staff is governed by the Personnel Policy & Procedure Manual, and this policy is an extraction from that handbook. Both the past and current Staff Congress — Funeral Leave Policy

presidents have advocated the expansion of the funeral leave benefit based on today's changing family structure. Upon a motion by Regent Herald, seconded by Regent Crigler, the recommendation passed unanimously.

B-6 President Moreland recommended approval of Article IV of the Board of Regents Bylaws. Regent Crigler commented that the Bylaws, with the exception of Article IV, were approved at the May 1, 1996 meeting, and at the July 31, 1996 meeting proposed changes to Article IV were distributed for review. The change is merely a clarification of language. Upon a motion by Regent Downing, seconded by Regent Zapp, the recommendation passed unanimously. Regents Bylaws

B-7 President Moreland recommended Board approval of a 3 percent cost-of-living compensation adjustment for all regular budgeted staff and faculty positions and that the compensation adjustment be effective retroactive to July 1, 1996. The budget that was previously approved by the Board in May called for a 2 percent cost-of-living increase. Upon a motion by Regent Taliaferro, seconded by Regent Crigler, the recommendation passed unanimously. Cost-of-living Adjustment

B-8 President Moreland recommended Board approval of the 1996-97 Organization Chart. Upon a motion by Regent Taliaferro, seconded by Regent Saunders, the recommendation passed unanimously. Organization Chart

Other:

Student Affairs/Advancement Committee:

No report. Committee did not meet.

Vice President Pomerantz reported on enrollment noting a 1.3 percent decrease in headcount for final summer enrollment and a 1.1 percent decrease in fall enrollment. These figures represent some improvement in continuing students, but the freshman class is down approximately 100 students. There continues to be a decline in non-degree students. Of the students registered last year, approximately 2,600 did not return. Dr. Pomerantz stated that probably a phonathon or some sort of mailing would be done to try to find out why these students did not return. She also reported that the regularly attending students for the bachelors degree programs have an average ACT of 22.3. Committee Reports

President Moreland thanked Vice President Pomerantz for all the efforts she has made.

Administration and Finance Committee:

No report. Committee did not meet. Vice President Chance stated that she had appreciated working with Regents Zapp, Herald, and Downing on this committee.

Ron Ellis reported that groundbreaking ceremonies for the Ceramics & Sculpture Facility are planned for Wednesday, October 9 at 9 a.m., in the Third Floor Gallery, Fine Arts Center.

Academic Affairs Committee:

Provost Gaston reported this committee met on September 18 and discussed the following: Freshman Year Initiatives (a presentation was given by faculty members Rudy Garnes and Fran Zaniello), the Running Start Program, Accreditation, Reassigned Time, and the process for Reappointment of Regents Professors.

Discussion followed concerning reassigned time, the Running Start Program and the University 101 program.

Chair Poston called attention to dates of upcoming events.

Executive Session:

Upon a motion by Regent Thomson, seconded by Regent Crigler, the Board convened in executive session at 2:50 p.m. to discuss personnel and legal issues. Executive Session

The Board recessed from 3:20 to 3:55 p.m. to attend a memorial service for H. Lew Wallace. The Board reconvened in open session at 5:22 p.m.

Regent Thomson moved that the NKU Board of Regents reaffirm that the Interim President, Jack Moreland, has the full backing and support of the Board of Regents. We also reaffirm our position that the Interim President is not eligible to be a candidate for the permanent presidency. Motion was seconded by Regent Crigler, voted on and passed. Regents Thomson, Crigler, Taliaferro and Zapp voted yes; Regent Herald voted no; and Regents Downing and Saunders abstained.

Executive Session:

Upon a motion by Regent Thomson, seconded by Regent Downing, the Board convened in executive session at 5:24 p.m. to discuss personnel and legal issues. The Board reconvened in open session at 6:32 p.m. Executive Session

Regent Crigler moved that the Board of Regents authorize the President to enter into a memorandum of agreement with "Company A" for the establishment of a campus-based student training and employment center. Motion was seconded by Regent Downing, voted on and passed. Regent Saunders abstained.

Adjournment:

Upon a motion by Regent Downing, seconded by Regent Crigler, the meeting adjourned at 6:34 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

James R. Poston, Jr., Chair

I, James R. Poston, Jr., Chair of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on September 25, 1996, and that such matters are still in force and effect.

James R. Poston, Jr., Chair

Moreland

Agenda
Northern Kentucky University
Wednesday, November 20, 1996 - 2 p.m.
Regents Board Room - Lucas Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Introduction of Regents' Distinguished Service Award Recipients

Approval of Minutes — September 25, 1996 Special Meeting

A. Presidential Reports
1. Extended Campus Overview
2. President's Annual Report
3. Grants & Contracts Quarterly Report
4. Quarterly Financial Report
5. 1996 Final Fall Enrollment
6. Quarterly Facilities Management Report
7. 1997 Health Insurance Contract
8. Partnership Progress
9. Technology Advancement
10. Workers' Compensation
11. Post Secondary Task Force
12. Commission on Higher Education Efficiency & Cooperation
13. Enhancement of Intercollegiate Athletics
14. Resource Management and Reassigned Time
15.

B. Presidential Recommendations
1. Academic Personnel Actions
2. Non-Academic Personnel Actions
3. Administrative Personnel Actions
4. Amendments to Faculty Senate Constitution
5. Running Start Program
6. Regents Professor Reapplication Process
7. Master of Science in Technology (MST)
8. Forward Quest Endorsement
9. Task Force on Covington Campus Recommendation (to be distributed)
10.

MOVE

C. Regent Recommendations
1. Audit Committee - Annual Financial Report

D. Other
1. Standing Committee Reports

2. Quarterly and Special Meeting Schedule
January 29, 1997
March 26, 1997
May 7, 1997
July 30, 1997

4. Proposed Council on Higher Education Meeting Schedule
January 12-13, 1997
March 23-24, 1997
July 20-21, 1997 - Centre College Campus
September 21-23, 1997 - Trusteeship Conference
November 2-3, 1997

5. Upcoming Activities
November 22-23, 1996 - Tip-Off Tourney
December 6-7, 1996 - Perkins' Tourney
December 14, 1996 - Winter Commencement
April 15, 1997 - Sixth Annual Lincoln Award

Board of Regents Meeting
November 20, 1996

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 2:05 p.m. on Wednesday, November 20, 1996, by Chair James R. Poston. The invocation was given by Reverend Joe Pennington, Canterbury Fellowship.

Chair Poston welcomed everyone to the meeting.

Roll Call: Frank Downing, Barbara Herald, James Poston, Chris Saunders, Alice Sparks, Philip Taliaferro (arrived late), Michael Thomson, William Verst and Robert Zapp.
(Excused - Karen Bearden, Robin Crigler)

Others Present: President Jack Moreland, Dan Seibert and April Scott - Deloitte & Touche, Elizabeth Blincoe, John Stevens, Ernest Britton, Jane Meier, Angie Meeks - Lexington Herald Leader, William Nester, Norleen Pomerantz, Bill Erpenbeck, John Finnan, Paul Gaston, Mary Ryan, Milly Diehl, Leo Calderon, Fred Macke, Nathan Smith, Tom Isherwood, Bob Appleson, Mary Kirk, Jackie Baker, Susan Grover, Carol Bredemeyer, Carrie McCoy, Peg Goodrich, Gerald Hunter, Mary Paula Schuh, Carla Chance, Jay Fossett, Robert Neumann, Meg Winchell, Melissa Gorbandt, Arden Geers, Charles Pinder, Mike McPherson, Jim Claypool, Mike Baker, Russ Kerdolff, Travis Turner, Clinton Hewan, Rogers Redding, James Alford, Rosetta Mauldin, Darrell Pressley - The Enquirer, Sonda Cummins, Debra Vance - Kentucky Post, David Niinemets - Recorder.

Chair Poston introduced and congratulated the recipients of the 1996 Regents Distinguished Service Awards. They are: John Stevens, Media Services; Jackie Baker, Bookstore; and Edith Hill, Information Systems Department. President Moreland congratulated the recipients and expressed appreciation for their service to the University.

Chair Poston asked if there were any changes, deletions or additions to the minutes of the meeting of September 25, 1996. As there were no changes, the minutes were accepted as presented.

President Moreland stated that the order of some of the agenda items would be changed to accommodate visiting guests' presentations.

Presidential Reports:

A-1 **Extended Campus Overview:** Associate Provost Rosetta Mauldin, assisted by Barbara Hedges, Interim Coordinator for Credit Continuing Education & Distance Learning, and Ron Hoffman, Director of Media Services, made a very informative verbal and visual presentation on NKU's Extended Campus Policy. The 1996 amendment to the CHE's January 1977 policy entitled "Guidelines for the Development & Coordination of Extended Campus Offerings" requires each institution to develop formal policies governing extended campus and distance learning. A committee is presently working on the development of the policy for NKU which should be available for consideration during the spring term. Highlights of the presentation included the various methods NKU uses to implement this policy through its Credit Continuing Education and Distance Learning programs. Since 1983 NKU has offered classes at 16 sites, this year NKU offers site classes at Grant County, Dixie Heights, Pendleton County and Williamstown High Schools. NKU leads the State with telecourse enrollment. Extended Campus

A-2 **President's Annual Report:** Michael Baker, Assistant Vice President for Business Affairs, assisted by Russ Kerdolff, Director Accounting & Pres. Annual Report

Budgetary Control, made a very detailed presentation on this report and the Audit Report. Mr. Baker reported that NKU was able to continue its stable financial performance through strong budget management. Revenues exceeded expenditures and transfers, and current unrestricted fund balance grew by $510,871. It was noted that there is about $15 million of assets in unrestricted funds and $6 million in educational and general fund balance. The largest percentage of growth in revenue was realized in other education and general revenues. The fund balance growth is a result of monies set aside for the University Center and construction of a new parking facility. Mr. Baker reported that total plant funds amount to $165 million and that our net worth, when we take away our debt, is $87 million. Mr. Baker stated he feels this is a good, accurate balance sheet. There was a lengthy discussion concerning fund balance. Regent Thomson requested a report on expenditure trends including fund balance. Vice President Chance reported that the Budget Office is presently looking at fund balance history. Pres. Annual Report

President Moreland and Chair Poston thanked Mike Baker, Russ Kerdolff and their people for a very good job.

Regent Recommendation:

C-1 Dan Seibert of Deloitte & Touche reported that the results of the audit had been covered in much detail at the November 12 meeting with the Board's Audit Committee. He reported that the main audit effort had been completed as planned, that good cooperation was received from management, there were no disagreements with management regarding accounting or disclosure issues and no material weaknesses or concerns were noted. Chair Poston stated he has complete confidence in Deloitte & Touche, that they do an extraordinary job and give him confidence that our internal people are doing what they should do. Upon a motion by Regent Thomson, seconded by Regent Herald, the President's Report and the Annual Financial Report were approved unanimously. Audit Report

A-13 **Enhancement of Intercollegiate Athletics:** President Moreland introduced Bill Erpenbeck, Chairman of the 1996 NKU Football Committee, and requested that he give an overview of the report that was presented to the Board. Mr. Erpenbeck began by introducing the other members of the committee: James Claypool, John Finnan, Jay Fossett, Fred Macke, Jane Meier, Mackey Mohr, Lynn Ray (not present), Nathan Smith, Alice Sparks and Travis Turner. Mr. Erpenbeck reported this committee was established in early July to review and recommend the feasibility of adding football. Issues reviewed were gender equity, facility needs and financial issues. The committee recommends that NKU start a Division II non-scholarship football program in 1998, add women's soccer in the fall of 1997, and add additional women's sports later (track and field, swimming and golf.) All programs and facilities would be funded by private donation and an additional student fee ($20 per semester.) Chair Poston thanked Mr. Erpenbeck and the committee for a very detailed report. President Moreland thanked the committee for their report and stated the University community and community-at-large need an opportunity to look at the report and comment. He stated the report will be available in the library and on web site for review by those who are interested. He also suggested holding at least one public hearing on campus sometime in December to allow the community, students, faculty and staff to attend. He will make his recommendation to the Board at the January 29 meeting. Inter-collegiate Athletics

Chair Poston asked for a five-minute recess at 3:30 p.m. Meeting reconvened at 3:40 p.m.

Regent Sparks requested that the public hearing concerning football not be held in December as previously discussed but suggested that it be held the first part of January following Christmas break when all students, faculty and staff have returned to campus.

Presidential Reports:

A-3 **Grants and Contracts Quarterly Report:** President Moreland presented this report which indicates 38 awards were received for a total of $1,626,969 for the period July 1 through September 30, 1996. Also, 31 proposals requesting $686,162 were submitted for the same period. "Funds Approved" and "Proposals Funded" represent increases of 10.05 percent and 5.56 percent, respectively, compared to totals one year ago. Grants and Contracts

A-4 **Quarterly Financial Report:** President Moreland presented the Quarterly Financial Report which indicates that all financial information is in order. Quarterly Financial

A-5 **1996 Final Fall Enrollment:** President Moreland reported a 1.4 percent decrease in total headcount and 0.8 percent decrease in FTE and stated this was not quite as good as we had hoped but better than anticipated. Final Fall Enrollment

A-6 **Quarterly Facilities Management Report:** President Moreland presented this report and noted that the internal part of the Network Cabling Installation should be finished by the time school resumes after the Christmas holidays. He also noted that the Covington Campus ADA improvements are in process now and that the roof replacement should be accomplished by spring or early summer. There was a short discussion concerning the design for the new campus entrance sign at US 27. It was noted that the Norse Club is seeking private donations to supplement available funding, but the best time to secure this funding is when the sign starts to come out of the ground. Facilities Management

A-7 **1997 Health Insurance Contract:** Vice President Chance recognized Gerald Hunter, Margo Ferrante and Barbara Herald for working very diligently with the Health Care Utilization Committee on the re-enrollment process. Enrollment closed on Friday, November 15. Vice President Chance reported that about 94 percent of the total 900 contracts are in the two upper tiers of coverage and that NKU employees have access to a relatively high level of benefits. Regent Herald noted that while attending a meeting of the Health Purchasing Alliance in Frankfort recently that it was stated NKU is the best informed group in the state. Regent Thomson congratulated the HCUC for the good work they had done. There was discussion concerning reasons employees made carrier changes. Health Insurance

A-8 **Partnership Progress:** President Moreland circulated information regarding the Partnerships Teams and the November 11 Public Forum and noted that the Steering Committee will meet next on December 11. He is very pleased that there are approximately 500 community volunteers and 200 faculty and staff involved. Regent Poston stated he shares President Moreland's feelings about the Forum, that it was very constructive. Partnerships

A-9 **Technology Advancement:** President Moreland reported the Network Cabling Project is nearing completion and that we are now in the process of purchasing technology connective devices that will be used by faculty and staff. Technology Advancement

A-10 **Workers' Compensation:** Vice President Chance reported the premium assessment for 1996-97 represents about a 13 percent decrease compared to the 1995-96 assessment. Ms. Chance also reported that Diane Hunley, who Workers' Comp.

works with Margo Ferrante in Personnel Services, works very diligently with NKU's workers' compensation insurance program. NKU became very aggressive about light duty and return to work programs a couple of years ago. Personnel Director Margo Ferrante reported that Personnel works closely with departments who have injured employees to bring them back in a timely fashion. Ms. Ferrante explained in detail how the process works, such as work location, salary, etc. She reported that this process also brings NKU in compliance with the requirements of ADA. Ms. Hunley reported that there have been many success stories since this plan was started and that it is a morale issue for other employees in the department. There was a short discussion concerning the salary injured employees receive and the $36,000 decrease in premium. Workers' Comp.

A-11 **Post Secondary Task Force:** President Moreland reported that a NCHEMS representative had reported on the governance structure in Kentucky at the last Task Force Meeting he and Provost Gaston had attended. The NCHEMS representative also reported that Kentucky is not the only state dealing with reform. Post Secondary Task Force

A-12 **Commission on Higher Education Efficiency & Cooperation:** President Moreland reported this is a Commission of University presidents that met from July 1 to October 1 to put together a report for the Post Secondary Task Force. Due to short timeframe, report was not as comprehensive as it should or could be. The recommendations focused on four areas (a) coordinating program delivery, (b) expanding opportunities, (c) building excellence, and (d) enhancing management. Comm. on H.E. Efficiency

A-14 **Resource Management and Reassigned Time:** President Moreland reported that in an effort to better inform the Board, a peer survey has been developed by Assistant Provost Robert Appleson who will report directly to him in the development of information concerning these two issues. Faculty Senate is concerned regarding articles that have appeared in the newspapers about reassigned time and wants to be part of a study group to look at this issue and report back to the Board at their March meeting. President Moreland stated this is not a question about a hard working faculty on this campus but how we are utilizing reassigned time. Resource Management-Reassigned Time

Presidential Recommendations:

B-1 President Moreland recommended approval of the following academic personnel actions:
Leave, Unpaid: Roger D. Blanchard, Associate Professor in the Chemistry Department, unpaid leave without benefits for the 1997 fall semester. Academic Personnel Actions

Resignation: Thomas E. Comte, from Dean of the College of Business and Professor of Management in the Management and Marketing Department to Professor of Management in the Management and Marketing Department, effective June 30, 1997. President Moreland stated that Tom Comte had done a great job as Dean and would be missed in that role.

Upon a motion by Regent Sparks, seconded by Regent Herald, the recommendation passed unanimously.

B-2 President Moreland recommended approval of the non-academic personnel actions which occurred between July 1, 1996 and September 30, 1996. Upon a motion by Regent Thomson, seconded by Regent Verst, the recommendation passed unanimously. Non-academic Personnel

B-3 President Moreland recommended approval of the following administrative personnel actions:

Admin. Personnel Actions

Resignation: Peter Hollister, Vice President for University Relations and Development, effective November 30, 1996.

Appointment: William Nester, Interim Vice President for University Relations and Development, effective October 14, 1996.

President Moreland stated that Peter Hollister will be missed but he is delighted to have Dr. William Nester on board. It was noted that Dr. Nester's appointment date should be corrected to October 1, 1996. Upon a motion by Regent Zapp, seconded by Regent Downing, the recommendation passed unanimously.

B-4 President Moreland recommended approval of amendments to the Constitution of the Faculty Senate. The amendments are clarifications or minor changes made to conform to current practices. Upon a motion by Regent Thomson, seconded by Regent Verst, the recommendation passed unanimously. Faculty Senate Constitution

B-5 President Moreland recommended that the program entitled "Running Start" receive Board approval. There was a discussion concerning the marketing plan and additional information was distributed by Vice President Pomerantz concerning marketing. There was also discussion concerning location of program, it was decided the pilot program should be held on the Highland Heights campus. Provost Gaston gave a brief overview detailing how the program began and what would take place during the pilot program. A six credit hour package, to include training in developmental writing or mathematics plus a general studies course, will be offered. The pilot class will consist of about 60 students. Upon a motion by Regent Verst, seconded by Regent Zapp, the recommendation passed unanimously. Running Start Program

B-6 President Moreland asked that the recommendation on the renewal of a Regents Professorship beyond the initial six-year appointment receive Board of Regents approval. There was discussion regarding financial compensation, reassigned time, and the importance of the title. Upon a motion by Regent Zapp, seconded by Regent Downing, the motion carried with six ayes and one nay by Regent Sparks. Regents Professorship

B-7 President Moreland recommended that a new program, Master of Science in Technology (MST) in the College of Professional Studies be approved for initiation at the beginning of the 1997 fall semester. Upon a motion by Regent Thomson, seconded by Regent Sparks, the recommendation passed unanimously. MST Program

B-8 President Moreland recommended that the Board of Regents endorse the initiatives contained within the Forward Quest Report and pledge support to the accomplishment of the vision for Northern Kentucky. Upon a motion by Regent Downing, seconded by Regent Zapp, the recommendation passed unanimously. Forward Quest

B-9 President Moreland recommended that the Board of Regents endorse the two-part recommendation of the Covington Campus Task Force providing for short-term renovation of the existing Hankins Hall and long-term marketing of the property with the potential of constructing a new facility at the Covington site. There was discussion concerning the short-term improvements that are currently being done at the Covington campus and the need to be thinking of the long-term use. The Task Force has completed its charge, and subsequent efforts to achieve long-term strategies for the property would require authorization by the Board. Legal responsibilities with regard to Covington Campus were discussed. Upon a motion by Regent Sparks, seconded by Regent Zapp, the recommendation passed unanimously. Covington Campus Task Force

Other:

Student Affairs/Advancement Committee:

Regent Downing reported the Committee had a very informative meeting prior to today's Board meeting and that Vice Presidents Nester and Pomerantz had explained some of the things going on within their jurisdiction. Regent Downing reported there had been discussion concerning NKU alumni, that with the age of the institution now, many of the first graduates are getting to the age and financial ability to do some things for the university. NKU needs to be thinking in those terms and increase activity as far as alumni relations are concerned. Discussed ACT test scores and contacts that have been made with students who didn't re-enroll this fall. Student Affairs Committee Report

Administration and Finance Committee:

Regents Sparks reported the meeting scheduled for November 15 was cancelled.

Academic Affairs Committee:

Regent Thomson reported the committee met on November 1 and discussed Running Start Program, Reassigned Time and Regents Professor Reapplication. He also reported that President Moreland had been requested to look into acquiring NCHEMS to do a management study. President Moreland stated the initial call to NCHEMS has been made. He noted that NCHEMS is the consultant for the Task Force at the state level, and we need to make sure there is no conflict with them doing a service for NKU and also doing a service for the state. Provost Gaston added that accreditation matters and the White Paper on the budget process had also been reviewed. Academic Affairs Committee Report

There was a lengthy discussion concerning confusion about what the Board wants and what has been interpreted that the Board wants regarding the NCHEMS Consultant. Regent Sparks wants to see the charge before it goes out and suggested that the Executive Committee have input in framing the charge. Dr. Nester has been asked to draft the charge. There was discussion concerning timetable of charge. It is possible NCHEMS could be assisting NKU by the first of February.

Chair Poston called attention to dates of upcoming events. Special attention was called to the first ever Winter Commencement on December 14. Regent Saunders thanked President Moreland for his efforts regarding tickets for Commencement. It was also noted that the Corbett Theatre Dedication will be February 19, 1997.

Executive Session:

Upon a motion by Regent Thomson, seconded by Regent Zapp, the Board convened in executive session at 5 p.m. to discuss personnel and legal issues. Executive Session

The Board reconvened in open session at 6:20 p.m.

Regent Taliaferro was absent when vote was taken for Item B-3 but asked that it be noted that he is supportive of the appointment of William Nester as Interim Vice President for University Relations and Development.

Regent Thomson moved that the NKU Board of Regents uphold the expulsion of Student "X" for two years and direct University Legal Counsel to communicate said information. Motion was seconded by Regent Zapp, voted on and passed. Student Expulsion Upheld

Adjournment:

Upon a motion by Regent Sparks, seconded by Regent Herald, the meeting adjourned at 6:22 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Alice S. Sparks
Alice S. Sparks, Secretary

I, Alice S. Sparks, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on November 20, 1996, and that such matters are still in force and effect.

Alice S. Sparks
Alice S. Sparks, Secretary

Moreland

Agenda
Revision Two
Northern Kentucky University
Wednesday, January 29, 1997 - 2 p.m.
Regents Board Room - Lucas Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Approval of Minutes November 20, 1996 Quarterly Meeting

Home Page Demonstration

A. Presidential Reports
1. Grants & Contracts Quarterly Report
2. Faculty Development Awards: 1997-98 Project Grants
3. Faculty Development Awards: 1997 Summer Fellowships
4. Quarterly Financial Report
5. Quarterly Facilities Management Report
6. Preliminary Spring Enrollment
7. Business Affairs Re-engineering
8. Delta Agreement Update
9. 1996-97 Salary Increase Funding
10. Peer Institution Study
11. Historical Budget Trends

B. Presidential Recommendations
1. Enhancement of Intercollegiate Athletics
2. Athletic Fields Complex
3. *Academic Personnel Actions
4. Promotion, Tenure, Continued Probation, Continued Probation with Conditions to be Removed and Terminal Reappointment
5. Sabbatical Leave
6. *Temporary Faculty Appointments
7. *Amendment to Faculty Policies and Procedures Handbook
8. Master of Accountancy (MACC) Program
9. Academic Staff Week
10. *Administrative Personnel Actions
11. *Non-Academic Personnel Actions
12. Wage and Salary Administration Policy Revision
13. *1997-98 Tuition Schedule
14. 1997-98 Fees Schedule
15.

* Consent Agenda Items
(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling the office of the President, 572-5123, by 2 p.m., Monday, January 27.)

C. Other
1. Standing Committee Reports

2. Quarterly and Special Meeting Schedule
March 26, 1997
May 7, 1997
July 30, 1997

3. Proposed Council on Higher Education Meeting Schedule
March 23-24, 1997
July 20-21, 1997 - Centre College Campus
September 21-23, 1997 - Trusteeship Conference
November 2-3, 1997

4. Upcoming Activities
February 7, 1997 - Alumni Banquet - Holiday Inn-Airport
February 19, 1997 - Corbett Theatre Dedication
February 20, 1997 - Business After Hours - UC Ballroom
April 15, 1997 - Sixth Annual Lincoln Award

JAN 29 199

Board of Regents Meeting
January 29, 1997

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 12:30 p.m. on Wednesday, January 29, 1997, by Chair James R. Poston.

Roll Call: Karen Bearden, Robin Crigler, Frank Downing, Barbara Herald, James Poston, Chris Saunders, Alice Sparks, Philip Taliaferro, Michael Thomson, and Robert Zapp. (Excused - William Verst)

Chair Poston asked if there were any corrections to the minutes of the November 20, 1996 meeting. As there were no changes, the minutes were accepted as presented.

Executive Session:

Upon a motion by Regent Thomson, seconded by Regent Sparks, the Board convened in executive session at 12:35 p.m. to discuss pending legal actions. Executive Session

The Board reconvened in open session at 2 p.m.

Others present: President Jack Moreland, Sonda Cummins, Carla Chance, Norleen Pomerantz, Liz Blincoe, Bob Neumann, Cheryl Torline, Carrie McCoy, Lincoln Farish, Amanda Tittle, Josh Sneed, Ginger Riley, Jennifer Benjamin, Jenny Tabe, Keri Paddock, Ted Weiss, Bill McKim, Lisa Schmidt, Andrea Cornuelle, Chris Comte, Meg Winchell, Connie Schwierjohann, Angela Schaffer, Sandy Flora, Linda Reynolds, Terri Malone, Becky Myers, Angie Muhs - Lexington Herald Leader, Bill Tonnis - 700 WLW Radio, Ann Hartmann - WNKU Radio, Bill Lamb, Bill Ellis, Ernest Britton, Mary Paula Schuh, Pamm Taylor, Scott Bartlett, Lisa Barresi, Jill Hangen, Kraig Hoover, Mike Baker, Russ Kerdolff, Ken Ramey, Gerald Hunter, Nina Thomas, Christy Jacobs, Sara Sidebottom, Paul Holt, Anna Weaver, Charlene Schweitzer, Brenda Rigg, Margo Ferrante, Lisa Ellis, Chuck Pettit, Jane Meier, Anju Ramjee, Shannon Mundy, Beth Sweeney, Bill Aker, Marilyn Siry, Ken Shields, Clark Baugham, Charles Pinder, Linda Marquis, B. Houghton, Paul Gaston, Robert Appleson, Thomas Comte, Rogers Redding, Robert Wallace, James Claypool, Larry Terrell, Clinton Hewan, Terri Carter, Reagan Larbes, Traci Stone, Ruth Enzweiler, Judy Birkenhauer, Nancy Martin, Toni Sholar, Jackie Baker, Jason Gargano, Tara Studer and Chris Specht.

Chair Poston welcomed everyone to the meeting.

The invocation was given by Reverend Bill Ellis, Baptist Student Union. A moment of silence was observed in memory of Cindy Dickens and Nancy Boothe.

Chair Poston read the following resolution:

> That whereas Nancy Janes Boothe succumbed on January 27, 1997, to an illness she had fought valiantly for many years; and whereas the members of the Board of Regents of Northern Kentucky University share the deep sense of loss felt by the University Community, by the leaders and citizens of Greater Cincinnati, and by many within the higher education community throughout the nation; and Whereas the members of the Board of Regents share with many others a strong sense of gratitude for the example of courage, integrity, and commitment offered by Nancy Janes Boothe; Now, therefore, be it resolved by the Board of Regents of Northern Kentucky University that the Board express its sympathy to Dr. Leon E. Boothe, President Emeritus of the University, and the members of the Boothe family, and that the Board voice its deep gratitude for the service

Secy.

rendered to the University, to Greater Cincinnati, and to higher education by Nancy Janes Boothe.

Regent Thomson made a motion that the resolution be approved. Upon a second by Regent Sparks the motion passed unanimously.

Presidential Reports:

A-1 President Moreland presented the Grants and Contracts Quarterly Report. "Funds Approved" represents a decrease of 2.3 percent compared to one year ago. The categories "Funds Requested" and "Number of Requests" represent decreases of 32.1 percent and 5.0 percent, respectively. Grants & Contracts

A-2 President Moreland announced the 1997-98 faculty project grants. Faculty approved for grants are: Faculty Project Grants

Rebecca Ball	Miriam S. Kannan	Raymond Richmond
Steven R. Chicurel	Barbara Klaw	Chenliang Sheng
Joy Churchill	Yushi Li	Shailendra Verma
Sarah Dessner	William Lindsay	Robert Wilcox
Donald Galli	Terry McNally	

A-3 President Moreland reported that the following faculty had been approved for 1997 Summer Fellowships: Summer Fellow-ships

Rebecca Ball	Sarah Dessner	Melinda Miller
Kevin Booher	Kevin Kirby	Philip Paradis
Lawrence Borne	Barbara Klaw	Laura Rosenfeld
Joy Churchill	Diana McGill	Robert Wilcox

A-4 The Quarterly Financial Report was presented by President Moreland. The results of the financial operations were within the expectations established by the budget. Quarterly Financial Report

A-5 President Moreland presented the Facilities Management report and stated there are several on-going projects but that many are coming to completion fairly quickly. The Hankins Hall Roof Replacement project is progressing well with an expected mid-March completion. Facilities Management Report

A-6 The Preliminary Spring Enrollment Report was presented by President Moreland who stated that total headcount is up 1 percent and FTE is up 2.2 percent. Prelim. Spring Enrollment

A-7 President Moreland reported on a Business Affairs Re-Engineering effort involving central receiving, mail, and stores services. This re-engineering results in a more effective and efficient, as well as cost saving operation. Business Affairs Re-Engr.

A-8 President Moreland reported that the NKU/Delta Reservation Center project is going very well and that the center should be functional by early April. Delta Res.Center

A-9 President Moreland reported that resources had been identified to fund the three percent across-the-board salary increase for fiscal year 1996-97 for all eligible faculty and staff; unallocated revenue reserve, unbudgeted fall tuition revenue and budget reserve. Salary Increase Funding

A-10 President Moreland asked for comments from Dr. Robert Appleson concerning the Report on Peer Institution Study. Dr. Appleson reported that a survey of similar in-state and out-of-state institutions was undertaken to obtain Peer Institution Study

comparative data on budget allocation and instructional practices. The findings show the proportion of NKU's operating budget spent on full-time faculty for salary and fringe benefits is about average while the portion spent on the combination of Academic and Student Affairs may be slightly below average. The percentage of NKU classes taught by full-time faculty is slightly below average while the level of faculty sabbaticals and reassigned time is a bit higher than average. The findings of this survey will be shared with a University committee that will make recommendations to President Moreland. Regent Poston and President Moreland thanked Dr. Appleson for his work on this report. Peer Institution Study Contd.

A-11 President Moreland presented a report on Historical Budget Trends prepared by the Budget Office in response to requests by the Board for selected trend information. More detail and information will be available at the March Board meeting. Historical Budget Trends

Regent Crigler made a motion that the Board authorize payment of $1,000 to Cincinnati Insurance Company for resolution of a pending legal action. Upon a second by Regent Sparks the motion passed unanimously. Payment to Cinti.Ins. Company

Presidential Recommendations:

B-1 President Moreland recommended that the Board endorse the expansion of the Intercollegiate Athletics Program at NKU through the enhanced funding of the existing program and through the addition of women's soccer and men's football. This expansion would occur over a three-year period. The three remaining women's sports: golf, swimming, and track and field would be added in the subsequent three years. President Moreland elaborated on other pertinent information contained in the background section of this recommendation: (1) funding for additional sports, (2) current status of athletics, (3) Title IX implications, (4) facility planning, construction and maintenance, and (5) loss of and replacement parking. In conclusion, President Moreland stated that the failsafe to this project is that failure to achieve adequate support could result in a reassessment of this enhancement proposal. Expansion of Inter-collegiate Athletics

Motion was made by Regent Downing, seconded by Regent Sparks, to approve recommendation. Regent Crigler distributed a handout posing questions (attached). A discussion of approximately two hours ensued focusing on several concerns. Discussed how much private funding would be required if the $20 per semester fee does not meet the necessary funding required for the five additional sports. President Moreland stated the current funding the Norse Athletics Club is providing to our existing programs will not be jeopardized in any way. Regent Sparks asked for explanation of expanding institutional budget support. President Moreland explained that current levels of funding for existing programs will not be eroded but as we have expanding dollars, the athletics program should be a player in sharing in those expanding dollars just like every other segment of the University. Discussed realistic anticipated increased enrollment. President Moreland stated there is no answer to this but if enrollment does not increase, that amount will have to be compensated for through private funding. If private funding is not there, then process would have to be re-evaluated.

Regent Thomson questioned benefits for existing programs if the student fee and private funding all go toward expanded sports. Concerning community support and private dollars, Regent Crigler stated we cannot make public policy based on promise, public policy must be made based on real dollars. Regent Crigler questioned which Division II programs were used in projecting costs. She stated the need for specific information

from very specific institutions. President Moreland stated the information contained in Table 2 is from real GLVC schools and information is available as to which schools this information represents. Discussed private donations. Regent Crigler feels the Committee needs to bring firm letters of commitment from the community. Regent Sparks stated that until we show we are behind this project, we cannot get the community commitments. She also pointed out the stringent requirements of the Commonwealth regarding projects funded through private means. Expansion of Intercollegiate Athletics Contd.

Bill Erpenbeck, Chair of the Football Committee, commented on how his report was accomplished. His Committee was asked to do a report on feasibility of football and the other sports got lumped into this. The Football Committee did not come up with a way to fund the different items other than football and soccer. Bill Erpenbeck explained how the $1.5 million for the complex was arrived at in his report, a field such as Covington Catholic was envisioned. Discussed time frame for being able to play football on campus. President Moreland feels we should be able to play football on campus by 1999 and believes it is counter-productive to play off campus. Discussed cost of playing off campus. Discussed how much of current proposed athletic fee will be going to support the existing programs. Discussed how student fees would be distributed. Discussed cost of athletic complex and initial $50,000 design fee. Much of the construction would be accomplished by in-kind donations. Regent Zapp stated it is impossible to get all the numbers now, this is a risk, but we must be willing to take a chance. Regent Crigler feels there are too many unanswered questions and decision should be postponed for a short period of time. Regent Saunders stated there is student interest and he feels we need to proceed although there are a lot of questions to be addressed. Regent Herald stated that NKU is the only school that does not have an athletic fee and she believes we need the fee even if football does not pass. Regent Saunders does not want women's soccer tied in to football and feels that it should be instated as soon as possible. Regent Downing called for a vote on the recommendation which passed seven to two.

Chair Poston requested a five minute recess at 4:15 p.m. Meeting reconvened at 4:30 p.m.

B-2 President Moreland recommended that the Board of Regents approve a capital project to create an Athletic Fields Complex. Motion was made by Regent Sparks and seconded by Regent Zapp to accept the recommendation. A lengthy discussion ensued concerning master plan, timeline, and parking. Motion was voted on and passed. Athletic Fields Complex

CONSENT AGENDA ITEMS:

B-3 President Moreland recommended approval of the following academic personnel actions: Academic Personnel Actions

Leaves, Paid/Unpaid: Martin J. Huelsmann, unpaid leave with benefits, effective July 1, 1997 through June 30, 1999, subject to renewal as necessary to complete an assignment with the Commonwealth of Kentucky. John Westlund,paid administrative leave with benefits, beginning July 1, 1997, and ending December 31, 1997.

Resignations: Ellen Benton, Assistant Professor of Nursing, effective December 31, 1996. John Westlund, from the position of Professor and Chair of the Music Department to Professor of the Music Department, effective July 1, 1997.

Retirements: Mary Jeremy Buckman, Professor and Chair of the Nursing Department through the Retirement Incentive Program, effective with the 1997-98 academic year. Donald K. Cobb, Associate Professor in the School of Education through the Retirement Incentive Program, effective with the 1997-98 academic year.

B-6 President Moreland recommended that the following faculty appointments for the 1997 spring semester receive Board approval: Faculty Appointments

Gary Eippert and Beverly Teaney.

B-7 President Moreland recommended that an amendment to the Faculty Policies and Procedures Handbook 1994 receive Board approval. The amendment involves minor changes to Section XII, Appropriate Terminal Degrees for Faculty. Faculty P&P Handbook Amend.

B-10 President Moreland recommended that the Board approve the following administrative personnel actions: Administrative Pers. Actions

Appointment: Corrects Presidential Recommendation B-3 that was approved at the November 20, 1996 Board Meeting. William R. Nester, Vice President for University Relations and Development, effective October 1, 1996, instead of Interim Vice President for University Relations and Development, effective October 14, 1996.

Leave: James L. Alford, Executive Director of the Foundation and Tenured Associate Professor in the Department of Technology, effective May 1, 1997, for a period of time during which he shall serve as President/CEO of the NKU Foundation, Inc. Leave includes benefits, paid by employee.

B-11 President Moreland recommended approval of the non-academic personnel actions which occurred between October 1, 1996 and December 31, 1996. Non-acad. Personnel Actions

B-13 President Moreland recommended that the Board adopt the tuition rates as established by the Council on Higher Education to become effective for the Fall 1997 semester. Tuition Rates

Regent Downing moved that the consent agenda items be approved. Motion was seconded by Regent Zapp, voted on and passed. Regent Thomson abstained on items B-3 and B-6.

B-4 President Moreland recommended that the following academic personnel be approved for promotion, tenure, continued probation, continued probation with conditions to be removed, and terminal reappointment: Promotion, Tenure, Contd.Prob. Contd.Prob with conditions removed & Terminal Reappointment.

Promotion: James O. Luken, John F. Alberti, Steven J. Gores, Katherine C. Kurk, Robert T. Rhode, Chris Christensen, Kevin G. Kirby, Diana R. Belland, Barbara A. Arrighi, Terry G. Pence, Steven R. Chicurel, Joseph H. Conger, Linda M. Marquis, Paul G. Schloemer, Deepak Khazanchi, Anju Ramjee, Balasubramani Ramjee, Diane H. Gronefeld, Russell A. Jenisch, John Patrick Moynahan, Marjorie E. Artzer, Keith Barton, Suzanne E. Cortez, Linda Olasov, Sharlene Lassiter, Jennifer J. Ryan and Lois M. Schultz.

Tenure: John F. Alberti, Steven J. Gores, Kevin G. Kirby, Barbara A. Arrighi, Prince Brown, Steven R. Chicurel, Paul G. Schloemer, Deepak Khazanchi, Diane H. Gronefeld, Russell A. Jenisch, John Patrick Moynahan, Marjorie E. Artzer, Keith Barton, Suzanne E. Cortez, Sharlene Lassiter, Donald K. Kazee and Jennifer J. Ryan.

Continued Probation: Christina Melibeu de Almeida, Robert Naczi, Diana McGill, Robert Wilcox, Paige Byam, Thomas Leech, Andrew Taylor, Gina Foletta, Melinda Miller, Kristin Pfabe, Randy Pennington, Maria Falbo-Kenkel, Shamima Ahmed, David Potter, Edward Chang, Andrew Kipnis, Yushi Li, Stephen Richards, Terry Powell, George Aldhizer, Shailendra Verma, Rebecca Ball, Fred Beasley, Patricia Knox, Deborah Pressly, James Roeder, Margaret Anderson, Joy Churchill, Louise Niemer, Ruth Champion, Harold Wiebe, Jack O'Gorman and Donna Smith.

Continued Probation with Conditions to be Removed: Harriette Richard, Robert Langenderfer, Esther Green-Merritt, Phyllis Hall, Lorena O'Donnell and Ed Workman.

Terminal Reappointment: Durell D. Hamm, Jr.

Upon a motion by Regent Zapp, seconded by Regent Sparks, the recommendation passed with one abstention by Regent Thomson.

B-5 President Moreland recommended approval of the following sabbatical leaves: Sabbatical Leaves

Carol Allred, Keith Barton, Paul Bishop, Daniel Curtin, Sudesh Duggal, Nancy Firak, Sandra Forman, Clinton Hewan, Miriam Kannan, Barbara Klaw, Yasue Kuwahara, Hilary Landwehr, William Lindsay, Carrie McCoy, Terry McNally, Linda Olasov, Denise Robinson, Karlyn Schnapp, Matthew Shank, Linda Sheffield, Chenliang Sheng, Edwin T. Weiss, Jr. and Jeffrey Williams. Upon a motion by Regent Taliaferro, seconded by Regent Zapp, the recommendation passed with one abstention by Regent Thomson.

B-8 President Moreland recommended that a new program, Master of Accountancy (MACC) in the College of Business, be approved for initiation at the beginning of the 1998 fall semester. Upon a motion by Regent Thomson, seconded by Regent Zapp, the recommendation passed unanimously. MACC Program

B-9 President Moreland recommended Board approval of a resolution setting aside week of January 27-31, 1997, as Academic Affairs Staff Appreciation Week. Upon a motion by Regent Thomson, seconded by Regent Taliaferro, the recommendation passed unanimously. Academic Affairs Week

B-12 President Moreland recommended Board approval on revisions to the Personnel Policies and Procedures Manual (Redbook), Section E on Wage and Salary Administration. Regent Downing made motion to approve this recommendation with the exception of the section dealing with Annual Longevity Increases. Regent Downing stated that he is opposed to those types of increases, he believes in a merit increase and not longevity increases. Motion was seconded by Regent Taliaferro. Discussion ensued concerning merit versus longevity increases. Regent Herald stated the way the salary structure is set up, there are employees who have been here twenty-three years making about the same as someone hired a couple of weeks ago and longevity increases is a way to reward our employees. Personnel Director Ferrante discussed various reasons for longevity increases. Vice President Chance stated that eleven staff members had worked on this document for a year and that campus-wide discussion of the recommendation had followed with feedback solicited from administrative leadership and Staff Congress. On a vote, motion was defeated four to five. Revision to Personnel P&P Manual (Wage & Salary)

Motion was made by Regent Thomson and seconded by Regent Sparks to accept the recommendation as originally submitted. Motion passed five to four.

B-14 President Moreland recommended that the Board approve a comprehensive schedule of fees and charges for academic year 1997-98 with the exception of the Graduation Fee and Housing Fee which will be handled as separate items. Motion was made by Regent Taliaferro and seconded by Regent Sparks to approve recommendation as submitted. There was discussion concerning the immigration fee and athletics fee. Regent Saunders stated that the Student Government Association voted unanimously to support the athletic fee; however, they recommend the fee not increase for four years. Regent Saunders expressed the need for a new library fee. President Moreland stated there will be approximately $215,000 worth of new technology that will go into the Library this spring, a significant commitment to bring electronics in Library up to speed. Motion was voted on and passed unanimously. 1997-98 Fee Schedule

President Moreland recommended approval of the Housing Fee. Motion was made by Regent Thomson and seconded by Regent Zapp for approval of this fee. Regent Saunders stated that a lot of students have expressed concerns that housing fees have increased each year without any real improvements to services, dormitory rooms and other facilities. Motion was voted on and passed with one no by Regent Saunders.

President Moreland recommended that the $20 graduation fee be deleted and not levied at this time due to concerns expressed by Regent Saunders and the Student Government Association.

Regent Downing moved that the Board of Regents approve the terms of a settlement in the RPR and Phil Roof versus NKU et al litigation with the understanding that payment of the settlement eliminates our exposure to additional liability and removes the institution from all litigation of the issues surrounding the dormitory construction. Motion was seconded by Regent Thomson, voted on and passed unanimously. Settlement in RPR & Phil Roof Litigation

Other:

Administration and Finance Committee:

Regent Thomson reported that the Committee met prior to today's board meeting and reviewed the 1997-98 budget process and historical budget trends.

Student Affairs/Advancement Committee:

Regent Downing reported this Committee had discussed the following Student Affairs issues: (1) enrollment, (2) Delta update, (3) recruitment, (4) coffee-house in Norse Commons to replace convenience store and (5) student athletes' academic accomplishments. University Development issues discussed included (1) establishment of a permanent phonathon center, (2) Summer Dinner Theatre sponsor opportunities, (3) College of Business alumni donations, (4) $1 million goal for Annual Fund, (5) formalized gift for Fine Arts program, (6) Policy & Procedure Manual and Records Update Program, (7) Heritage Society for planned gift donors and (8) centralized gift receipting and acknowledgement.

Academic Affairs Committee:

Regent Taliaferro reported this Committee had reviewed the following issues at its January 8 meeting: (1) articulation agreement with Kentucky Tech, (2) CHE approval of a new Master of Science in Technology program, (3) Provost Gaston's election to national board of the Association of American Colleges and Universities, (4) flagship academic programs at NKU, (5) reassigned time, (6) Running Start, (7) courses at Covington, (8) Chase accreditation, (9) preliminary assessment of School of Education compliance with NCATE standards (10) reliance

on part-time faculty, (11) proposal for coordinated technological planning and (10) various projects being pursued within Academic Affairs.

Adjournment:

Upon a motion by Regent Zapp, seconded by Regent Thomson, the meeting adjourned at 5:50 p.m.

Carol J. Eckstein, Assistant Secretary

Alice S. Sparks, Secretary

I, Alice S. Sparks, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on January 29, 1997, and that such matters are still in force and effect.

Alice S. Sparks, Secretary

January 29, 1997

Dear President Moreland,

I am supportive of any programs that can enhance the life of students at NKU as well as any program that can bring the Northern Kentucky Community to our campus. To that end, I am initially supportive of the proposed enhancement of the current athletic program and the report of the Office of Administrative Affairs did much to answer many questions I had concerning funding of the program. I have several questions I still need to have answered before I can begin to consider such a major financial initiative. The following are questions I believe still need answering. They are based on Presidential Recommendation B-1.

Page 1, paragraph 3.

When discussing the $20/per semester fee, the recommendation states "to the extent that this fee does not meet the necessary funding required to provide the five additional sports, private funds, in addition to the substantial private support currently provided through the Norse Athletic Club, would be necessary. As Northern Kentucky University benefits from the increased enrollment that <u>could</u> result from the addition of these five sports, Intercollegiate Athletics should be eligible to participate in University expansion funds".

1.) What is the interpretation of extent?
2.) Will the current funding the Norse Athletic Club is providing to our existing programs be jeopardized in ANY way?
3.) What is the realistic anticipated increased enrollment 1997-98, 98-99, 99-2000, 2000-2001, 2001-2002?

Page 2

4.) It appears the Athletics Director budget is in the Womens Athletics budget. Why?

Page 4, Table 3

5.) With the addition of women's soccer it appears we will be offering scholarships. Scholarships make sports more competitive and thus enthusiasm and support are greater. This is good from all perspectives. Why then, are we not offering scholarships for the most financially burdensome program being proposed? Scholarship programs in football are the ones that are the most competitive and garner the most support, from students, alumni, and communities.

Page 5, paragraph 4

In the statement, "These estimates were developed as a result of an analysis of the cost of other Division II football programs and in consideration of the cost projections contained in the Football Committee Report", we should have the following information:

6.) Which Division II programs? How old are these programs? Do they offer scholarships? If they do not, how successful have their programs been? How much private funding have they had to raise? If these are public institutions this information should be readily available for our use. If these are not public institutions how do we realistically measures ourselves against their success?

Page 6, paragraph 1

"The Intercollegiate Athletics costs of non-scholarship football APPEAR to be within the revenue of a student fee".

7.) If we have Great Lakes Valley Conference information then why do we not have more specific information?

"Private dollars and expanding institutional budget support will be needed to achieve the full enhancement of athletics"

8.) The Board of Regents has not been presented with a budget and planning proposal for the enhancement of athletics, at least since 1993. If we are going to have to rely, in ANY way, on the institutional budget to finance the proposed programs then this will proposal will need to be evaluated through the budget and planning process. This is particularly crucial since we have been negotiating for the proposed Science Building, have planning dollars, and are continuing to struggle for the remainder of the funds.

paragraph 2

"The Football Committee, comprised of community representatives, has __ _____ASSURED University that the support is there".

9). A decision of this board reaching financial commitment can not be considered without more than an "assurance". The Committee is asking us to vote on a multi-million dollar project at a time when every institution in this state and the nation is fighting for every dollar, based on their intuition. The Committee needs to bring firm letters of commitment from the community. Our Development Office needs to be intimately involved in this process. Has Bill Nester been in contact

with any of the potential in-kind contributors and other private contributors?

Page 12, paragraph 3

10.) Because the athletic fields project was not included in the 1996-98 budget where will the $400,000 come from?

11.) How will that impact our argument for further funding for the Science Building?

paragraph 4

12.) Has the Northern Kentucky Foundation been approached, for initial concerns, about funding the design fees?

13.) How much money in overrun is anticipated for the entire project?

page 13

14.) How much will it cost to develop new parking lots once we will lose our current parking to field development? Where will the funding come from?

Page 14, paragraph 3

15.) The point of having enhanced athletics on campus is to have greater campus community involvement and greater Northern Kentucky community support. Having programs off-site for some time will diminish the support. What is the anticipated time frame in terms of realizing the benefit of enhancing our athletic program?

last paragraph

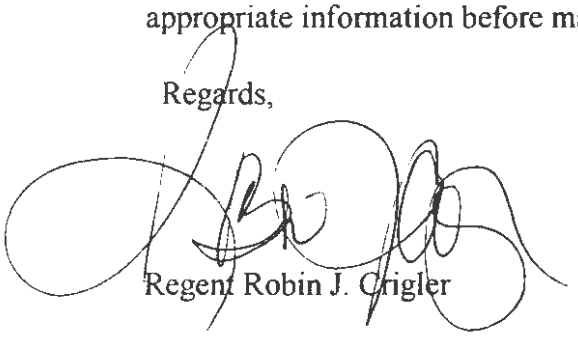
"Northern Kentucky University's reputation in the community, the Commonwealth, and the nation has been augmented through Intercollegiate Athletics. The University seeks to make an excellent program better".

17.) This references our long standing excellent Women's Basketball program and our recent success with the Men's Basketball program, which are scholarship programs and highly competitive. How much of the current proposed Athletic fee will be going to support these already successful programs?

18.) A general question I have about student fees is related to the technology fee every students pays. The technology fee was held by the administration and not distributed for an expended period of time, approximately 2 years. The reason for this was budget deficits and this money was being held to support other deficits. Have the funds that were collected for the technology fee every been distributed? Are we current in that distribution? How can that be prevented from happening again? If we have a deficit in any athletic program would it be possible to have any other funds from withheld to support that program? We do not have a budget in place at this time that would prevent that. What is proposed to ensure that does not happen?

President Moreland, we have a great opportunity here to perhaps make some changes in programs for our students. The above questions need to be answered before making a financial commitment of such magnitude. There will be further questions that will perhaps arise from answers obtained. If the community is as supportive as is suggested then there should not be any difficulty in our Development Office obtaining the appropriate support. I hope we can proceed with this project by securing the appropriate information before making a decision .

Regards,



Regent Robin J. Crigler

cc: Members of the Board of Regents

Board of Regents Meeting
March 10, 1997

A special meeting of the Board of Regents of Northern Kentucky University was called to order at 6:15 p.m. on Monday, March 10, 1997, by Chair James R. Poston.

Roll Call: Barbara Herald, James Poston, Chris Saunders, Alice Sparks, Philip Taliaferro, Michael Thomson, and Robert Zapp. (Excused Karen Bearden, Robin Crigler, Frank Downing and William Verst)

Others Present: Dr. Marlene I. Strathe

Executive Session:

Upon a motion by Regent Zapp, seconded by Regent Thomson, the Board convened in executive session at 6:20 p.m. to discuss personnel matters.

The Board recessed at 7:58 p.m. for dinner.

Adjournment:

Motion was made by Regent Sparks to adjourn at 9 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Alice S. Sparks
Alice S. Sparks, Secretary

I, Alice S. Sparks, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on March 10, 1997, and that such matters are still in force and effect.

Alice S. Sparks
Alice S. Sparks, Secretary

Board of Regents Meeting
March 12, 1997

A special meeting of the Board of Regents of Northern Kentucky University was called to order at 6:15 p.m. on Wednesday, March 12, 1997, by Chair James R. Poston.

Roll Call: Robin Crigler, Barbara Herald, James Poston, Chris Saunders, Alice Sparks, Philip Taliaferro, Michael Thomson, William Verst and Robert Zapp. (Excused Karen Bearden and Frank Downing)

Others Present: Dr. Donald J. Mash

Executive Session:

Upon a motion by Regent Crigler, seconded by Regent Thomson, the Board convened in executive session at 6:20 p.m. to discuss personnel matters.

The Board recessed at 8 p.m. for dinner.

Adjournment:

Motion was made by Regent Sparks to adjourn at 9 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Alice S. Sparks
Alice S. Sparks, Secretary

I, Alice S. Sparks, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on March 12, 1997, and that such matters are still in force and effect.

Alice S. Sparks
Alice S. Sparks, Secretary

Board of Regents Meeting
March 14, 1997

A special meeting of the Board of Regents of Northern Kentucky University was called to order at 6:10 p.m. on Friday, March 14, 1997, by Chair James R. Poston.

Roll Call: Robin Crigler, Barbara Herald, James Poston, Chris Saunders, Alice Sparks, Philip Taliaferro, Michael Thomson, William Verst and Robert Zapp. (Excused Karen Bearden and Frank Downing)

Others Present: Dr. James Votruba

Executive Session:

Upon a motion by Regent Thomson, seconded by Regent Verst, the Board convened in executive session at 6:12 p.m. to discuss personnel matters.

The Board recessed at 7:55 p.m. for dinner.

Adjournment:

Motion was made by Regent Sparks to adjourn at 9 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Alice S. Sparks
Alice S. Sparks, Secretary

I, Alice S. Sparks, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on March 14, 1997, and that such matters are still in force and effect.

Alice S. Sparks
Alice S. Sparks, Secretary

Mreland (3)

Agenda
Northern Kentucky University
Wednesday, April 2, 1997 - 8:30 a.m.
Regents Board Room - Lucas Administrative Center

Call to Order

Roll Call and Welcome

Approval of Minutes January 29, 1997 Quarterly Meeting

A. Presidential Reports

1. Post Secondary Education Task Force (to be distributed)

B. Presidential Recommendations

1. * Temporary Faculty Appointments
2. * Continued Probation for First Year Faculty
3. * Emeritus Status
4. * Real Property Lease Agreement - 514 Johns Hill Road
5. 1996-2002 Six-Year Capital Plan
6. Wage and Salary Administration - Reconsidered

* Consent Agenda Items
(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling the Office of the President, 572-5123, by 2 p.m., Monday, March 31, 1997.)

C. Other

1. Quarterly and Special Meeting Schedule
April 4, 1997 - 10 a.m. (Special Meeting)
May 7, 1997
July 30, 1997

2. Proposed Council on Higher Education Meeting Schedule
May 18-19, 1997
July 20-21, 1997 - Centre College Campus
September 21-23, 1997 - Trusteeship Conference
November 2-3, 1997

3. Upcoming Activities
April 6, 1997 - NKU Follies
April 9, 1997 - Governor's Visit
April 15, 1997 - Sixth Annual Lincoln Award
April 26, 1997 - Northern Kentucky Legislative Caucus Meeting - NKU Campus - BEP 200
May 1, 1997 - NKU Foundation Annual Meeting
May 5, 1997 - Special Session of General Assembly
May 7, 1997 - Butler Gift Dedication - 1 p.m.
May 17, 1997 - Commencement Ceremonies
June 27, 1997 - President's Outing

APR 02 1997

Board of Regents Meeting
April 2, 1997

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 8:35 a.m. on Wednesday, April 2, 1997, by Chair James R. Poston.

Roll Call: Karen Bearden, Robin Crigler, Frank Downing, Barbara Herald, James Poston, Chris Saunders, Alice Sparks, Michael Thomson, and Robert Zapp. (Excused - Philip Taliaferro and William Verst)

Chair Poston asked if there were any corrections to the minutes of the January 29, 1997 meeting. As there were no changes, the minutes were accepted as presented.

Others present: President Jack Moreland, Sonda Cummins, Carrie McCoy, Carol Bredemeyer, Elzie Barker, Elizabeth Blincoe, Norleen Pomerantz, William Nester, Susan Grover, Paul Gaston, Leo Calderon, Tom Isherwood, Rosetta Mauldin, Mary Ryan, Bill Lamb, Margo Ferrante, Martha Malloy, Carla Chance, Darrell Pressley - Enquirer, Cheryl Torline, David Short and Robert Appleson.

Chair Poston welcomed everyone to the meeting.

Presidential Reports:

A-1 President Moreland presented the Post Secondary Education Task Force Report. A handout titled "General Fund Budget History for Higher Education" was distributed, and a discussion ensued concerning equity formula. Regent Thomson moved that the Board approve the following resolution in support of Governor Patton's higher education reform plan: Report on Postsecond Education

Whereas, the Northern Kentucky University Board of Regents is committed to the delivery of postsecondary education as a component of service to the region and to the Commonwealth; and

Whereas, the Northern Kentucky University Board of Regents is committed to regional workforce development for the benefit of the citizens of the Commonwealth and for the economic health of the region and the Commonwealth; and

Whereas, the Northern Kentucky University Board of Regents is supportive of the establishment of a Strategic Agenda for the delivery of post-secondary education that would pursue equitable and strategic funding of operating and capital needs; and

Whereas, the Northern Kentucky University Board of Regents is fully committed to Governor Paul E. Patton's reform initiatives articulated in An Agenda for the 21st Century;

We, therefore, do endorse and support the Reform Initiatives for Postsecondary Education in Kentucky.

Upon a second by Regent Downing, the motion passed unanimously.

Presidential Recommendations:

CONSENT AGENDA ITEMS:

B-1 President Moreland recommended approval of the following temporary faculty Temp. Faculty Appointme

appointments for the 1997 spring semester:

Gary Eippert, Daniel O'Brien and Beverly Teaney

B-2 President Moreland recommended that the following first-year faculty be approved for continued probation for the 1997-98 academic year: Continued Probation

Lisa Elderbrock, Carol Pennington, Douglas Krull, Stephanie Barnes, Deatra Sullivan, Nancy Miller, Michele Roszmann-Millican, Carol Ryan, Allen Seed, Ann Dollins, Jihad Albayyari, Edward C. Brewer III, David J. Franklyn and Timothy J. Hall.

B-3 President Moreland recommended that the following individuals receive Emeritus status: Emeritus Status

Mary Jeremy Buckman, Professor and Chair of the Nursing Department, and Donald K. Cobb, Associate Professor in the School of Education.

B-4 President Moreland requested authority from the Board of Regents for the University to enter into a lease agreement for rental of House 514 Johns Hill Road. Real property lease agreement.

Regent Bearden moved that the consent agenda items be approved. Motion was seconded by Regent Sparks, voted on and passed. Regent Thomson abstained on items B-1, B-2 and B-3.

B-5 President Moreland recommended that the Board approve the 1996-2002 Six Year Capital Plan. There was a short discussion concerning how items are ranked. The top priority is construction of a new Natural Science Building at $38 million. Upon a motion by Regent Herald, seconded by Regent Thomson, the recommendation passed unanimously. Six Year Capital Plan

Motion was made by Regent Downing to rescind the motion made by Regent Thomson to accept Presidential Recommendation B-12, Wage and Salary Administration Policy Revision, and passed by a vote of five to four at the January 29, 1997 meeting of the Board. There was no second to motion, and item B-12 will stand as approved at the January 29, 1997 meeting.

B-6 This recommendation was not presented as prior motion to rescind did not pass.

Other:

Chair Poston commented on the accomplishments of the men's basketball team and stated he hopes to give them due recognition at a later date. Regent Sparks stated that the All Sports Banquet is scheduled for 2 p.m. on May 10 and that the basketball team will be honored at this banquet.

President Moreland called attention to upcoming activities. Chair Poston encouraged all Regents to try to be present for Governor Patton's visit to campus on April 9 and the Lincoln Awards Dinner on April 15.

Regent Downing encouraged all campus constituency groups to pass a resolution similar to the one passed by the Regents today concerning the Reform Initiatives for Postsecondary Education in Kentucky.

President Moreland announced that the Ribbon Cutting Ceremony for the Delta Reservation Center is scheduled for 8:30 a.m., April 9.

Executive Session:

Upon a motion by Regent Thomson, seconded by Regent Bearden, the Board convened in executive session at 9 a.m. to discuss personnel and legal matters. The Board reconvened in open session at 2:25 p.m. Executive Session

Regent Crigler made a motion that the Board approve five unnamed individuals for honorary degrees to be awarded at this year's commencement. Motion was seconded by Regent Sparks, voted on and passed unanimously. Honorary Degrees

Adjournment:

Upon a motion by Regent Thomson, seconded by Regent Crigler, the meeting adjourned at 2:30 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Alice S. Sparks
Alice S. Sparks, Secretary

I, Alice S. Sparks, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on April 2, 1997, and that such matters are still in force and effect.

Alice S. Sparks
Alice S. Sparks, Secretary

Board of Regents Meeting
April 4, 1997

A special meeting of the Board of Regents of Northern Kentucky University was called to order at 10:05 a.m. on Friday, April 4, 1997, by Chair James R. Poston.

Roll Call: Karen Bearden, Robin Crigler, Frank Downing, Barbara Herald, James Poston, Chris Saunders, Alice Sparks, Philip Taliaferro, Michael Thomson, and Robert Zapp. (Excused William Verst)

Executive Session:

Upon a motion by Regent Crigler, seconded by Regent Downing, the Board convened in executive session at 10:07 a.m. to discuss personnel matters.

The Board reconvened in public session at 10:36 a.m.

Motion was made by Regent Zapp to offer the position of president to Dr. James Votruba and to empower the Chair to sign contract with Dr. Votruba. Upon a second by Regent Crigler, the motion was voted on and passed unanimously.

Adjournment:

Motion was made by Regent Sparks and seconded by Regent Bearden to adjourn at 10:40 a.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Alice S. Sparks
Alice S. Sparks, Secretary

I, Alice S. Sparks, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on April 4, 1997, and that such matters are still in force and effect.

Alice S. Sparks
Alice S. Sparks, Secretary

The Academic Affairs Committee will meet at 10 a.m., on Wednesday, May 7, 1997 in the Board Room.

Agenda
Northern Kentucky University
Wednesday, May 7, 1997 - 2 p.m.
Regents Board Room - Lucas Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Approval of Minutes

March 10, 1997 Special Meeting
March 12, 1997 Special Meeting
March 14, 1997 Special Meeting
April 2, 1997 Special Meeting
April 4, 1997 Special Meeting

A. Presidential Reports

1. University-wide Student Awards
2. NKU Home Page Presentation
3. Grants and Contracts Quarterly Report
4. Facilities Management Quarterly Report
5. Quarterly Financial Report
6. A-133 Audit Report
7. Budget Process Review
8.
9.

B. Presidential Recommendations

1. * Academic Personnel Actions
2. * Correction to Items A-2 and A-3 of 1/29/97 Board Meeting
3. * Non-Academic Personnel Actions
4. * Real Property Lease Agreement
5. * Amendment to Faculty Policies and Procedures Handbook 1994
6. * Bad Debt Write-Off
7. Terminal Reappointment
8. Extended Campus Policy
9. Regents Professorship Award (to be distributed)
10. 1997-98 Operating Budget Recommendation (to be distributed)
11.
12.

* Consent Agenda Items
(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling the Office of the President, 572-5123, by 2 p.m., Monday, May 5, 1997.)

C. Other

1. Committee Reports

2. Quarterly and Special Meeting Schedule
   July 30, 1997

3. Council on Higher Education Meeting Schedule
   July 20-21, 1997 - Centre College Campus
   September 21-23, 1997 - Trusteeship Conference
   November 2-3, 1997

4. Upcoming Activities
   May 17, 1997 - Commencement Ceremonies
   June 27, 1997 - President's Outing

Board of Regents Meeting
May 7, 1997

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 12:13 p.m. on Wednesday, May 7, 1997, by Chair James R. Poston.

Roll Call: Karen Bearden, Robin Crigler, Frank Downing, Barbara Herald, James Poston, Chris Saunders, Alice Sparks, Philip Taliaferro, Michael Thomson, and William Verst. (Excused - Robert Zapp)

Executive Session:

Executive Session

Upon a motion by Regent Sparks, seconded by Regent Thomson, the Board convened in executive session at 12:15 p.m. to discuss personnel and legal matters.

The Board recessed at 1 p.m. to attend a reception for the recipients of the 1997 Student Awards.

The Board reconvened in open session at 2 p.m.

Others present: President Jack Moreland, Elzie Barker, Marian Winner, David Elder, Carrie McCoy, Barry Andersen, Rosetta Mauldin, Norleen Pomerantz, Susan Grover, William Nester, Paul Gaston, Mary Ryan, Gerald Hunter, Regina Webb, Kathleen Blomer, Russell Proctor, Antriece Sims, Michele Maitre, Robert Appleson, Michael Baker, James Code, Tom Isherwood, Tom Comte, Angela Schaffer, Terence McNally, Robert Wallace, Marilyn Gosney, Bill Lamb, Arden Geers, Meg Winchell, James Alford, Rogers Redding, Jim Steffen, Susan Mospens, Sharon Harris, Cheryl Torline, David Niinemets - Recorder, Sonda Cummins and Gregory Mecher.

Chair Poston welcomed everyone to the meeting.

The invocation was given by Alan P. Cucchetti, Catholic Newman Center.

Chair Poston asked if there were any corrections to the minutes of the March 10, March 12, March 14, April 2 and April 4, 1997 special meetings. As there were no changes, the minutes were accepted as presented.

Chair Poston presented Staff Regent Herald and Faculty Regent Thomson with resolutions recognizing their service to the University Board. The resolutions read as follows:

> That the Board of Regents and the entire University community extend sincere appreciation to (Barbara S. Herald/J. Michael Thomson) who has served as (Staff/Faculty) Regent of Northern Kentucky University. (Ms. Herald/Dr. Thomson) has given generously of (her/his) time, talent, and leadership to further the ultimate goals of the University and has served as an advocate of the institution, its faculty, staff, students, and alumni.

Barry Andersen, the newly elected Faculty Regent, was introduced by Chair Poston.

Presidential Reports:

A-1 President Moreland introduced the recipients of the 1997 University-wide student awards, commented on their outstanding accomplishments and wished them the best in the future. The recipients are: Student Awards

Regents Award	Michele Maitre
President's Award	Antriece Sims
Paul J. Sipes Award	Regina Webb
University Service Award	Kathleen Blomer
Excelsior Award	Sharon Harris
Faculty Senate Award	James Code II

A-2 An interesting and informative NKU Home Page demonstration was presented by Leo Calderon, Office of the President; Matt Cohen, Academic Affairs; and Jack O'Gorman, Main Library. NKU Home Page Demo.

At this time Chair Poston asked that the coaches, players and managers of the men's basketball team, runner-up NCAA Division II National Champs two years in a row, come forward to be recognized for their success this past season. Coach Shields introduced and commented on individual accomplishments of those who were present: Players Craig Conley, Mitch Perdrix, Shannon Minor, Andy Listerman, Kevin Listerman, Todd Clark, Paul Cluxton, LaRon Moore, and Mike Vieth; Assistant Coach Dave Bezold; and Managers Paul Schwarber, Nikki Thompson, and Eric Feebeck. President Moreland thanked the team and stated how much their efforts were appreciated by everyone. He also announced that the team would be honored by the Kentucky House of Representatives in Frankfort on May 13. Regent Sparks extended congratulations for the wonderful season and also thanked those who participated on Kentucky Night at the WCET Action Auction.

A-3 The Grants and Contracts Quarterly Report indicated there were 15 awards totaling $619,585 for the period January 1 through March 31, 1997. Year-to-date totals for "Funds Approved" and "Proposals Approved" are $2,571,043 and 70, respectively. Grants & Contracts

A-4 President Moreland presented the Quarterly Facilities Management Report and reported that many of the projects are nearing completion. In answer to a question concerning the parking structure, President Moreland stated that the architect for this project has been selected and is currently doing his work, and unless we have bad weather early, we could be under construction before the end of this calendar year. Quarterly Facilities Management

A-5 The Quarterly Financial Report was presented. The results of the financial operations are within expectations established by the budget. Quarterly Financial

A-6 President Moreland presented the A-133 Audit Report and reported that the results of the audit are favorable with no material weaknesses in the internal accounting controls noted. A-133 Audit Report

A-7 President Moreland reported that a Budget Process Review Team has been appointed to review the budget process and that a preliminary report by the team is expected in May. Members of the team were selected from a group of individuals nominated by the four major area vice presidents. Budget Process Review

Presidential Recommendations:

Consent Agenda Items:

B-1 President Moreland recommended approval of the following Academic Affairs personnel actions: Academic Personnel Actions

Administrative Appointments: David L. Dunevant, from Associate Professor in the Music Department to Chair and Associate Professor of the Music Department, effective July 1, 1997; and Paul F. Reichardt, from Professor of English and Chair of the Literature and Language Department to Interim Associate Provost and Professor of English in the Literature and Language Department, beginning July 1, 1997 and ending June 30, 1998.

Faculty Appointments: Julia Yang Bedell, Lecturer (non-tenure track renewable) in the Chemistry Department, effective August 13, 1997.

Leaves, Paid/Unpaid: Leon E. Boothe, Professor of History in the History and Geography Department and President Emeritus, paid administrative leave with benefits, for the fall 1997 semester; Thomas E. Comte, Professor of Management in the Management and Marketing Department and Dean of the College of Business, paid administrative leave with benefits, for the fall 1997 semester; and Angela Lipsitz, Associate Professor in the Psychology Department, unpaid leave with benefits, for the 1998 spring semester.

Resignation: Clifton J. McMahon, Associate Professor in the School of Education, effective August 1, 1997.

Retirement: Terrence McNally, Professor of English in the Literature and Language Department, through the Retirement Incentive Program, effective with the 1997-98 academic year.

B-2 President Moreland recommended that the minutes of the January 29, 1997 Board of Regents meeting be revised as follows. The second pages of President Reports, Items A-2 Faculty Project Grants and A-3 Faculty Summer Fellowships, were inadvertently switched. Correction to A-2 & A-3 of 1/29/97 Board Mtg.

A-2 Faculty approved for 1997-98 project grants are: Rebecca Ball, Steven Chicurel, Joy Churchill, Sarah Dessner, Donald Galli, Miriam S. Kannan,

Barbara Klaw, Yushi Li, William Lindsay, Terry McNally, Raymond Richmond, Chenliang Sheng, Shailendra Verma and Robert Wilcox. — Correction Contd.

A-3 Faculty approved for 1997 Summer Fellowships are: Rebecca Ball, Kevin Booher, Lawrence Borne, Joy Churchill, Sarah Dessner, Kevin Kirby, Barbara Klaw, Diana McGill, Melinda Miller, Philip Paradis, Laura Rosenfeld and Robert Wilcox.

B-3 President Moreland recommended approval of the non-academic personnel actions which occurred between January 1, 1997 and March 31, 1997. — Non-Academic Personnel

B-4 President Moreland recommended that the Board authorize the University to enter into a lease agreement for rental of the house which has been used as the President's home. — Lease Agreement

B-5 President Moreland recommended approval of an amendment to the Faculty Policies and Procedures Handbook 1994. The amendment is a minor change to Section XIII, Appropriate Terminal Degrees for Faculty. — Amendment Faculty Handbook

B-6 President Moreland recommended that Board authorization be given to the Assistant Vice President for Business Affairs/Treasurer to write off bad debts totaling $51,939.56. — Bad Debt Write-off

Regent Verst moved that the consent agenda items be approved. Motion was seconded by Regent Taliaferro, voted on and passed. Regent Thomson abstained on items B-1 and B-2.

B-7 President Moreland recommended the following faculty for terminal reappointment for 1997-98: Philip Paradis, Literature and Language. Upon a motion by Regent Taliaferro, seconded by Regent Verst, the recommendation passed with one abstention by Regent Thomson. — Terminal Reappointment

B-8 President Moreland recommended that the Extended Campus Policy receive Board of Regents approval. Upon a motion by Regent Downing, seconded by Regent Thomson, the motion passed unanimously. — Extended Campus Policy

B-9 President Moreland recommended that David A. Elder, Professor of Law in the Salmon P. Chase College of Law, be awarded a Regents Professorship, and that Dr. Robert K. Wallace, Professor of English in the Literature and Language Department, be reappointed as Regents Professor. Chair Poston stated that it was a pleasure being on this Committee and an honor to review applications and see the level of commitment to the University. It was noted that Dr. Wallace is the first person to be reappointed as Regents Professor. Upon a motion by Regent Taliaferro, seconded by Regent Downing, the motion passed unanimously. — Regents Professor

B-10 President Moreland recommended that the 1997-98 Operating Budget be approved for a total of $73,661,300 and that the Board authorize the preparation of a detailed budget within the general limits established by — 97-98 Operating Budget

this recommendation. It is recommended that projected available revenues be allocated to support continuation and maintenance of existing base budget programs and operations and to provide for a three percent compensation increase for faculty and staff. The only recommended expansion allocation is $125,000 for the Chase College of Law for accreditation concerns. Upon a motion by Regent Sparks, seconded by Regent Verst, the recommendation passed. Regents Herald and Thomson abstained. 97-98 Operating Budget Contd.

Other:

Administration and Finance Committee:

No Report.

Student Affairs/Advancement Committee:

No Report.

Academic Affairs Committee:

Regent Taliaferro reported this Committee had reviewed the following issues at its 10 a.m. meeting today: (1) summer classes at Covington, (2) "Running Start" on the Highland Heights campus, (3) reassigned time, (4) Chase College of Law accreditation update, (5) master's program in nursing, (6) master's program in public administration, (7) Provost Gaston's report on the search for the Dean of the College of Business, and (8) appointment of Dr. Paul Reichardt as Interim Associate Provost.

Regent Sparks moved that this Board of Regents permanently bestow the title of President Emeritus upon Dr. Leon E. Boothe. Motion was seconded by Regent Verst, voted on and passed unanimously. Title of Pres. Emeritus, Dr.Boothe

Chair Poston called attention to upcoming activities: next Board Meeting July 30, 1997, Commencement Ceremonies May 17, President's Outing June 27, Council on Higher Education meetings July 20-21, September 21-23, and November 2-3.

Chair Poston requested that Regents Downing, Crigler and Taliaferro serve on the Nominating Committee and report back at the July 30 meeting. Regent Downing was requested to serve as Chair of this committee.

Regent Poston stated that this is President Moreland's last Board meeting as President of NKU, that it has been a pleasure to get to know and work with him, and that he will be missed.

President Moreland announced that on Monday, May 12 at 9:30 a.m., the Partnership Report will be presented to Governor Patton in the Rotunda at the State Capitol.

President Moreland thanked everyone for all their help and stated that it has been a pleasure to be here at NKU. He added that this has been an opportunity that he would not have wanted to miss.

Adjournment:

The meeting adjourned at 3:15 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Alice S. Sparks
Alice S. Sparks, Secretary

I, Alice S. Sparks, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on May 7, 1997, and that such matters are still in force and effect.

Alice S. Sparks
Alice S. Sparks, Secretary

Agenda
Northern Kentucky University
Wednesday, August 27, 1997- 4 p.m.
Regents Board Room - Lucas Administrative Center

Call to Order and Invocation
Oath of Office - Staff Regent Martha Malloy and Faculty Regent Barry Andersen
Roll Call and Welcome
Approval of Minutes May 7, 1997 Regular Meeting

Presidential Comments

A. Presidential Reports
1. Grants and Contracts Quarterly Report
2. Quarterly Financial Report
3. Facilities Management Quarterly Report
4. Preliminary Summer Enrollment (to be distributed)
5. Annual Fund Update
6. Installation Process
7. Strategic Vision Process
8. Postsecondary Education Reform

B. Presidential Recommendations
1. * Academic Personnel Actions
2. * Temporary Faculty Appointments
3. * Non-Academic Personnel Actions
4. * Fire Service Contract
5. * Facility Demolition - 524 JHR
6. * Faculty Senate Constitution Amendments
7. * Faculty Handbook Amendments
8. * Chase Student Handbook Amendments
9. * Non-Resident Fees Committee Changes
10. * Capitalization Limit
11. * Real Property Lease Agreement
12. Kentucky Plan Waiver
13. 1996-2002 Six-Year Capital Plan
14. 1996-2002 Information Resources Plan (notebook distributed in July)
15. 1997-98 Operating Budget

(*) Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling the Office of the President, 572-5123, by 2 p.m., Monday, August 25, 1997.)

C. Other
1. Nominating Committee
2. Quarterly and Special Meeting Schedule
   October 29, 1997
   January 28, 1998
   March 25, 1998
   May 6, 1998
   July 29, 1998
3. Council on Higher Education Meeting Schedule
   September 21-22, 1997 - Trusteeship Conference
   November 2-3, 1997
4. Upcoming Activities
   August 28, 1997 - Cincinnati Pops Concert
   October 10, 1997 - Staff Awards
   November 18-20, 1997 - Presidential Installation Activities
   November 21-22, 1997 - Tip-Off Tourney
   December 13, 1997 - Winter Commencement
   December 19-20, 1997 - Perkins' Tourney

Board of Regents Meeting
August 27, 1997

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4 p.m. on Wednesday, August 27, 1997, by Chair James R. Poston. The invocation was given by Reverend Harold Orndorff, Christian Student Fellowship.

Chair Poston administered the oath of office to incoming Regents Barry Andersen, Kathryn Hendrickson and Martha Malloy and welcomed them to service on the Board.

Roll Call: Barry Andersen, Frank Downing, Kathryn Hendrickson, Martha Malloy, James Poston, Chris Saunders, Alice Sparks, Philip Taliaferro, William Verst and Robert Zapp. (Excused - Karen Bearden)

Others present: President James C. Votruba, Carla Chance, Paul Gaston, William Nester, Elizabeth Blincoe, Elzie Barker, Norleen Pomerantz, Harold Orndorff, Sonda Cummins, Joyce Maegly, Rogers Redding, Tom Isherwood, Dan Curtin, J. Michael Thomson, Ernest Britton, Sandy Easton, Mary Ryan, Michael Baker, Michael Turney, Leo Calderon, Lowell Schechter, Robert Appleson, Meg Winchell, Arden Geers, Margaret Anderson, Mary Paula Schuh, Nancy Lang, Linda Marquis, Angela Schaffer, Alan Thomas, Gina Holt - Community Press, Kevin Goheen and Gabrielle Dion - The Northerner, Marilyn Gosney, Sara Sidebottom, Bill Lamb, Darrell Pressley - The Enquirer, David Short and Garry Casson.

Chair Poston welcomed everyone to the meeting and asked that everyone join him in welcoming Dr. James Votruba, NKU's new president, to his first Board meeting.

At this time Chair Poston presented outgoing Regent Robin Crigler with a resolution of the Board recognizing her service to the University Board. The resolution read as follows:

> Resolved: That the Board of Regents and the entire University community extend sincere appreciation to Robin J. Crigler who has served as Regent of Northern Kentucky University. Ms. Crigler has given generously of her time, talent, and leadership to further the ultimate goals of the University and has served as an advocate of the institution, its faculty, staff, students, and alumni.

Chair Poston asked if there were any corrections to the minutes of the May 7, 1997, regular meeting. As there were no changes or corrections, the minutes were accepted as presented.

Presidential Comments:

President Votruba commented that he and Mrs. Votruba are proud to call NKU and northern Kentucky their home and that he appreciates the welcome they have received. President Votruba commented on the partnership between the President and Board and pledged his commitment to nurture that partnership. He added that it is great to be here and he looks forward to a long successful journey. This is the 25th anniversary of the founding of the Highland Heights campus and Fall

enrollment, 25 years later, is approaching 12,000. The Ceramics Building has just opened, and word was just received that the Nursing Program has been accredited. The Fall convocation was well attended. Kentucky higher education is going through a major transition. This is an enormous opportunity for NKU, but much will depend on our steadfast commitment for core values. We must, (1) commit to demonstrate excellence in all we do, (2) remain geared to access and opportunity, (3) remain committed to be learner sensitive and learner centered in everything that we do and ask ourselves what impact will this have on those we serve, and (4) commit to public engagement. We need to look at the metropolitan area as our campus in order to become a great university.

Presidential Reports:

A-1 The Grants and Contracts Quarterly Report indicated there were 20 awards totaling $759,926 for the period April 1 through June 30, 1997. Year-to-date totals for "Funds Approved" and "Proposals Approved" for fiscal year 1997 are $4,686,971 and 127, respectively. Grants and Contracts

A-2 The Quarterly Financial Report was presented. Revenues and expenses are in line with expectations. Quarterly Financial

A-3 President Votruba presented the Quarterly Facilities Management Report and noted that occupancy of the Ceramics/Sculpture Facility occurred in mid-August. Architectural firms have been retained for the Natural Science Building and the Parking Structure. Design work on the Natural Science Building will begin this fall. The presentation before the CPAB by Dr. Gaston in July was well received, and we continue to be hopeful that the 1998 General Assembly will fund this project The University Center is receiving a much needed refurbishing. Facilities Management Quarterly Report

A-4 President Votruba presented the report on Final Summer Enrollment noting there was only a slight increase in undergraduate enrollment but Chase College of Law enrollment was up nearly 17 percent. There were nearly twice as many women enrolled as men (66 percent to 34 percent), and African-American student enrollment increased. Final Summer Enrollment

A-5 President Votruba reported that plans for the Presidential Installation are underway for November 18-20. Vice President Nester is taking a leadership role supported by Tri-Chairs Regent Barry Andersen, Ms. Peggy Bertelsman and Mr. Paul Smith. Ex-Officio members are Regent Poston, Kenneth Harper, Tom Donnelly, and James and Rachel Votruba. The focus will be on celebrating what we are and projecting what we intend to be. Installation Process

Chair Poston asked that everyone be involved as much as possible and stated that this is a great opportunity for the University.

A-6 President Votruba reported that over the next two months a series of conversations will begin across the campus and across the metropolitan community. Participants in these conversations will be the voices which will help shape NKU's future. (A list of the Panel members who will join Strategic Vision Process

in the conversations was shared; the Panel is comprised of faculty, staff, students and community leaders.) The on-campus conversations will be for faculty, staff and students while the off-campus meetings will be invitational meetings for political, education, corporate and community leaders. Regents are encouraged to attend as many meetings as possible. The conversations will focus on shaping the University over the next five years. Following the conversations and toward the end of October, a retreat will be held for the Panel to share what they have learned from these conversations. President Votruba will then share with the Regents what he believes should be the direction the University should follow over the next five years.

A-7 President Votruba presented a Report on the Postsecondary Education Reform Act and reported what we are seeing in Kentucky is a national movement. Higher education must lead if states are to be competitive in a world-wide knowledge-based economy. CPE is trying to sort out direction they want to go. As a university, we are going to see greater accountability at all levels. The new legislation established a new funding mechanism which is intended to advance the state's strategic postsecondary agenda. This is an enormous opportunity for NKU and a new day for Kentucky higher education. Post-secondary Education Reform

A-8 President Votruba reported that the 1996-97 Fund for Northern set a record of $1,043,862, a 15.56 percent increase over the past year. An additional $1,390,102 in private funds was also received. There was an increase in nearly all categories. President Votruba extended congratulations to Dr. Nester and his colleagues. Annual Fund

Presidential Recommendations:

Consent Agenda Items:

B-1 President Votruba recommended approval of the following Academic Affairs personnel actions: Academic Affairs Personnel Actions

Administrative Appointments: Margaret Anderson, from Assistant Professor to Acting Chair and Assistant Professor in the Nursing Department, beginning July 1, 1997, and ending June 30, 1998; Robert R. Appleson, from Assistant Provost for Planning and Assessment to Associate Vice President for Academic Affairs, effective July 1, 1997; Robert E. Collier, from Associate Professor in the Literature and Language Department to Associate Professor and Acting Chair of the Literature and Language Department, beginning July 1, 1997, and ending June 30, 1998; Daniel J. Curtin, from Associate Professor in the Mathematics and Computer Science Department to Interim Associate Dean of the College of Arts and Sciences and Associate Professor in the Mathematics and Computer Science Department, beginning August 13, 1997, and ending June 30, 1998; Sandra W. Easton, from Assistant Professor in the Accountancy Department to Interim Dean of the College of Business and Assistant Professor in the Accountancy Department, beginning July 1, 1997, and ending June 30, 1998; Nancy A. Lang, from

Academic Affairs Personnel Actions Contd.

Associate Professor in the Economics, Finance, and Information Systems Department to Interim Associate Dean of the College of Business and Associate Professor in the Economics, Finance, and Information Systems Department, effective July 1, 1997, and ending June 30, 1998; Karen Ogburn, Associate Dean for Administration, Salmon P. Chase College of Law, effective September 1, 1997; J. Michael Thomson, from Associate Professor in the Political Science Department to Interim Director of Academic Computing and Associate Professor in the Political Science Department, effective from July 1, 1997, through June 30, 1998; and Michael L. Turney, from Professor in the Communications Department, to Acting Chair of the Allied Health, Human Services, Social Work Department and Professor in the Communications Department, beginning August 1, 1997, and ending May 16, 1998.

Faculty Appointments: Nancy Batchelor, Assistant Professor in the Nursing Department, effective August 13, 1997; Susan Cantrell, Assistant Professor in the School of Education, effective August 13, 1997; Steven R. Dent, Assistant Professor in the Physics and Geology Department, effective August 13, 1997; Jon E. Draud, Associate Professor in the School of Education, effective August 13, 1997; Mary Gers, Assistant Professor in the Nursing Department, effective August 13, 1997; Wanda Griffith, Assistant Professor in the School of Education, effective July 1, 1997; Lisa B. Jameson, Assistant Professor in the Art Department, effective August 13, 1997; J. Specter Monono, Visiting Assistant Professor of Law in the Salmon P. Chase College of Law, beginning August 13, 1997, and ending May 16, 1998; Daryl Orth, Assistant Professor in the Technology Department, effective August 13, 1997; and Kristine A. Yohe, Assistant Professor in the Literature and Language Department, effective August 13, 1997.

Leaves, Paid/Unpaid: Cristina de Almeida, Assistant Professor in the Art Department, unpaid leave of absence without benefits, beginning August 13, 1997, and ending May 16, 1998; Andrew Kipnis, Assistant Professor in the Sociology, Anthropology and Philosophy Department, unpaid leave of absence without benefits, beginning August 13, 1997, and ending May 16, 1998; Gisele Loriot-Raymer, Associate Professor in the Literature and Language Department, unpaid leave of absence with benefits, beginning August 13, 1997, and ending May 16, 1998; Melinda Ann Miller, Assistant Professor in the Mathematics and Computer Science Department, unpaid leave of absence without benefits, beginning August 13, 1997, and ending May 16, 1998; Jennifer Smith, Associate Professor of Library Services, unpaid leave with health insurance benefit only, beginning October 1, 1997, and ending June 30, 1998; Mary Ann Weiss, Lecturer in the Learning Assistance Program, unpaid leave with benefits, beginning August 13, 1997, and ending May 16, 1998.

Resignations: Steven R. Chicurel, Assistant Professor in the Theatre Department, effective May 17, 1997; Andrea Cornuelle, from Associate Professor and Acting Chair of the Allied Health, Human Services, and Social Work Department to Associate Professor in the Allied Health, Human

Services, and Social Work Department, effective May 17, 1997; Phyllis Hall, Assistant Professor in the School of Education, effective June 12, 1997; Durell Hamm, Assistant Professor in the Communication Department, effective August 12, 1997; Lynn Langmeyer, from Professor in the Management and Marketing Department and Associate Dean in the College of Business to Professor in the Management and Marketing Department, effective June 30, 1997; and Rosetta J. Mauldin, from Associate Provost and Professor in the Allied Health, Human Services, and Social Work Department to Professor in the Allied Health, Human Services, and Social Work Department, effective June 30, 1997.

B-2 President Votruba recommended that the following temporary faculty appointments for the 1997-98 academic year receive Board approval: Robert Johnson, Elinor Rambo, Beverly E. Donahue, Laura Padolik, Judith F. Rubinson (Fall semester only), Joyce B. Bauer, Jerry E. Call, Karen Keeton, Margaret Anne Kraemer, Anne Braden (Fall semester only), Eric Reginald Jackson, Douglas Knerr, Frederic Krome, Lawrence F. Mitchell, James Westheider, Matt Birkenhauer (Fall semester only), Jill Easter (Fall semester only), Gregory Walsh, Conard Carroll, Lydia Coyle, Irene Encarnacion, Nancy Kersell, Tamara F. O'Callaghan, Virginia Paddock, Michele Peers, Rhonda Pettit (Fall semester only), Gary Walton, Toni Sholar, Jill Shelley, Joseph Wert, Robin M. Lightner, Minda J. Michels, Debra Kasel, Robin Crigler, Sam Lapin, Cady Short-Thomson, Deatra Sullivan, Mengistu Legesse, Long Bao Tran, Margaret Walters, Ann Dollins, Caron Martin, Majed Dabdoub, Charles Emery, Roger Adams (fiscal year), Patrick Points (fiscal year) and Ann Harding Whittle (fiscal year). Temporary Faculty Appointments

B-3 President Votruba recommended approval of the non-academic personnel actions which occurred between April 1, 1997 and June 30, 1997. Non-Academic Personnel Actions

B-4 President Votruba recommended that the Board authorize the administration to renew the University fire service contract with the Cold Spring-Crestview Volunteer Fire Department. Fire Service Contract

B-5 President Votruba recommended approval of two amendments to the Constitution of the Faculty Senate; Article III, Section A, Membership, and Article VIII, Section G, Meetings. Faculty Senate Constitution Amendments

B-6 President Votruba recommended Board approval of amendments to Part One of the Faculty Policies and Procedures Handbook 1994. The amendment of Article I, Section J, Item 5, grants general faculty status to lecturers, and the amendment of Article IX, Section C, streamlines and makes more rational the performance review process. Faculty Handbook Amendments

B-7 President Votruba recommended Board approval of the following amendments to the Salmon P. Chase College of Law Student Handbook. Part III, College of Law Academic Policies and Procedures; Part IV, Special Accommodations for Students With Disabilities; and Part VIII, Student Rights and Responsibilities; Code of Professional Conduct. Chase Student Handbook Amendments

B-8 President Votruba recommended that the Board approve revisions to the Residency Review Committee for inclusion in the Administrative Regulations-Governing Regulations. Residency Review Committee Changes

B-9 President Votruba recommended that the equipment capitalization limit for recording and tracking assets be increased from $300 to $500 as was approved for the Commonwealth of Kentucky's financial records system. Capitalization Limit

B-10 President Votruba recommended that the Board authorize the University to enter into a lease agreement for rental of house at 514 Johns Hill Road. Lease Agreement 514 JHR

B-11 President Votruba recommended that the Board authorize the University to proceed with demolition of the house located at 524 Johns Hill Road. Due to the remote location of this parcel and the deteriorating condition of the house, rental of this property was discontinued in April 1997. Demolition 524 JHR

Regent Sparks moved that the consent agenda items be approved. Motion was seconded by Regent Verst, voted on and passed.

President Votruba introduced the following newly appointed Academic Affairs personnel: Margaret Anderson, Robert Appleson, Robert Collier, Daniel Curtin, Sandra Easton, Nancy Lang, Karen Ogburn, Michael Thomson, and Michael Turney.

B-12 President Votruba recommended that the Board affirm request for exercise of a Kentucky Plan waiver for calendar 1997. According to the most recent annual report (November 1996) NKU met five of its eight objectives, however, regulations for SB 398 require meeting at least six of eight objectives for unconditional eligibility to have programs considered by the Council. The shortfall in objectives affects our proposal for a Master's of Accountancy approved by the Board in January 1997. Approval of our program requires NKU to request a waiver of the KY Plan limitation. Upon a motion by Regent Verst, seconded by Regent Downing, the motion passed unanimously. KY Plan Waiver

B-13 President Votruba recommended approval of the 1996-2002 six year Capital Plan. The list of projects included in the plan was previously approved by the Board on April 1, 1997, and includes the University's Capital priorities for 1998-2000 as well as the following biennium, 2000-2002. The top priority project is the new Science Center with a scope of $38 million. The CPAB's capital funding recommendations to the Legislature are expected in September. The NKU Science Building was the highest ranked new construction project for higher education in 1995, and we are hopeful that an equally significant ranking will be assigned to the project this year. We continue to request funds for land acquisition efforts and approval for a new University Center facility. Motion was made by Regent Taliaferro, seconded by Regent Zapp, to approve the recommendation. Discussion ensued concerning top ten priority items and Item 12, Covington Campus Privatization (Urban Learning Center). Upon a vote, the recommendation passed unanimously. 1996-2002 Six-Year Capital Plan

B-14 President Votruba recommended that the Board adopt the 1996-2002 Information Resources Plan dated May 13, 1997. NKU will continue to make a substantial investment in technology. The document provides an overview of the technological advancement process at NKU and documents both progress to date and remaining concerns. Upon a motion by Regent Verst, seconded by Regent Downing, the recommendation passed unanimously. 1996-2002 IRP

B-15 President Votruba recommended that the Board approve the 1997-98 Operating Budget for the University for a total of $74,635,200. While increased allocations are directed to selected base budget expenditures, flexibility is needed to move to where priorities are. Budget maintains current programs and operations and recognizes NKU's most valuable resource, its people. Budget reflects an institution which anticipates change. Budget was developed in an environment of institution and state transition. As a result of the KY Postsecondary Education Act, the impact on programs, operations and allocation of resources will become more clear over time. Flexibility will be an essential component of the University response. No general operating increases are provided. $125,000 expansion allocation to Chase College of Law for faculty and administrative positions. A significant base budget reallocation of $355,000 within Administrative Affairs was noted and congratulations were extended to Vice President Chance and her staff for their efforts. Motion was made by Regent Sparks and seconded by Regent Zapp to approve the recommendation. Regent Andersen expressed concerns regarding funding issues in Academic Affairs. President Votruba responded that funding enhancements were often a matter of choices. Upon a vote, the recommendation passed; Regents Andersen and Malloy abstained. 1997-98 Operating Budget

Other:

C-1 President Votruba recommended that the Board of Regents amend Article III, Committees, of the Board Bylaws, to provide for two standing committees on Policy and Finance. This recommendation would simplify the committee structure and reduce the number of committees. The proposed committee structure is used by many universities. This recommendation for change is proposed for voting action at the October 29, 1997 meeting of the Board. Proposed ByLaws Revision

Nominating Committee:

Regent Downing gave the report of the Nominating Committee. The 1997 Nominating Committee, comprised of Regents Downing, Crigler and Taliaferro, recommended the following slate of officers: Nominating Committee Report

James Poston	Chair
Frank Downing	Vice Chair
Alice Sparks	Secretary/Treasurer

Upon a motion by Regent Taliaferro, seconded by Regent Verst, the recommendation passed unanimously.

Executive Session:

Upon a motion by Regent Downing, seconded by Regent Zapp, the Board convened in executive session at 5:08 p.m. to discuss personnel and legal matters. Executive Session

Adjournment:

The meeting adjourned at 6:05 p.m.

Carol J. Eckstein, Assistant Secretary

Alice S. Sparks, Secretary

I, Alice S. Sparks, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on August 27, 1997, and that such matters are still in force and effect.

Alice S. Sparks, Secretary

Board of Regents Meeting Agenda
Wednesday, October 22, 1997 - 2 p.m
Administrative Center Board Room

Welcome and Roll Call

Presidential Comments

Presidential Reports

a. Facilities Overview--John Dierdorf

b. Consultant Report--Jim Fallis/Jerry Hughes

c. Title IX Compliance--Norleen Pomerantz/Jane Meier

d. Discussion

Adjournment

Board of Regents Meeting
October 22, 1997

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 2 p.m. on Wednesday, October 22, 1997, by Chair James R. Poston.

Roll Call: Barry Andersen, Karen Bearden, Frank Downing, Martha Malloy, James Poston, Chris Saunders, Alice Sparks, Philip Taliaferro, William Verst and Robert Zapp. (Excused - Kathryn Hendrickson)

Others present: President James C. Votruba, Elzie Barker, Elizabeth Blincoe, Carla Chance, Paul Gaston, Norleen Pomerantz, Sonda Cummins, Mary Paula Schuh, Chris Cole, Gabrielle Dion and Kevin Goheen - The Northerner, Charles Frank, Eric Shaffer, Henry Keene, Jane Meier, Gina Holt - Community Press, Jennifer Schmidt, Darrell Pressley - The Enquirer, Bill McKim, Tom Kearns, Jim Thomas, Chris Specht, Bill Aker, Robert Bove, Gerald Hunter, Mary Bierman, Jack Snodgrass, Tom Horan, Trena Bray, Susan Grover, Alan Thomas, Steve Meier, Mary Kirk, Debra Vance - The Kentucky Post, Leanne Sessa, Marilyn Gosney, Jeanne Papania, Marilyn Siry, Jack VonHandorf, Beau Backham, Ken Shields, Keith Phillips, Don Owen and Leo Calderon.

Chair Poston welcomed everyone to the meeting.

Presidential Comments:

President Votruba stated that due to a number of things that had occurred since the Board's approval of enhanced athletics at the January 1997 meeting, the Board had requested him to revisit the decision as it related to football. He stated this review had been in the process over the last several months with the help of the University administration and staff. He further stated that Mr. John Dierdorf with Browning Day Mullins Dierdorf (BDMD) would present a facilities overview followed by Mr. James Fallis and Mr. Jerry Hughes who would review their consultant report which was previously distributed. President Votruba added that NKU's approach to Title IX compliance and gender equity would be discussed; that this University supports gender equity and no matter what the law requires, will proceed to make sure there are equal opportunities for men and women on this campus.

Presidential Reports:

a. Facilities Overview

Eric Shafer, Division of Engineering, Finance & Administration Cabinet, State of Kentucky, introduced himself and explained the process that took place to select the consultant to design and investigate physical requirements of the athletic fields. He stated that BDMD was highly qualified, and he was very pleased with their efforts to investigate NKU's needs. John Dierdorf of BDMD, and Herb Shulhafer of Nolan and Nolan were introduced.

Mr. Dierdorf stated that he had been involved with sports and recreation projects for the last sixteen years around the country and was very fortunate to have been involved with some interesting projects. Mr. Dierdorf reported that he visited

campus in June 1997, and met with various campus constituency groups, such as President Votruba, Interim President Moreland, University Relations, the Football/Enhancement Committee, the Norse Athletic Club, Athletic Council, Athletic staff, and the Planning and Facilities groups. A list of eleven questions or topics (copy of which is attached) was distributed to the various groups who attended the work sessions to get them thinking about some of the issues. Input from these various groups was used in the plan that was developed.

Mr. Dierdorf indicated on maps the proposed locations of the various athletic fields--the property along Kenton Drive including parking lots G and K, the tennis courts, the track and soccer field, and the additional property south of Johns Hill Road. The athletic fields included in the plan were softball, soccer, football, track, a practice field and support facility. (Attached is draft dated 10-22-97 from BDMD indicating projected construction costs of the various fields and support facilities.)

Following Mr. Dierdorf's presentation, a lengthy discussion ensued concerning the following issues: parking and utilities for the various fields, the need for the fields to be as close to campus as possible, phasing of construction and construction costs, quality of fields (student athletes expect Division I facilities), long-term operation and maintenance of fields, cost of new softball field and why the present field could not be brought up to standard for less money, and intramural outdoor fields.

b. Consultant Report

President Votruba introduced Jim Fallis, Director of Athletics at the University of Northern Colorado, and Jerry Hughes, Athletic Director at Central Missouri State University. He stated that the consultants were charged with assessing what would be required to fund a successful football team.

Mr. Hughes stated he and Mr. Fallis spent two days on NKU's campus in July and met with various constituency groups. Hughes stated football is expensive and the level of success depends on what is invested. Discussed pros and cons of non-scholarship football, it is difficult to enact a non-scholarship program and difficult to find opponents. Discussed funding. The $20 fee is not adequate. Discussed administrative costs--the more sports, the more costs for maintenance, security, and utilities which are on-going.

Mr. Fallis and Mr. Hughes recommended the following:

(1) if football is implemented that it be a scholarship program
(2) equivalent scholarships for women be allocated
(3) adequate fields be developed and the necessary support facilities be built prior to the implementation of the respective sports
(4) funds needed for start-up and capital construction be in hand prior to commencement of facilities and field development and sports implementation
(5) on-going private financial support is guaranteed for the football program and the expansion of women's sports at a level sufficient to meet Title IX compliance
(6) the existing program be enhanced to provide coaches with adequate support to succeed

If all these recommendations can be met, the consultants feel that NKU would be in position and have the resources necessary to implement a successful Division II football program.

The consultants also recommended that regardless of the decision to go or not to go with football, that the university proceed with the enhancement of the existing program to enable it to become even more successful than it is. This enhancement also is necessary because of clear non-compliance with Title IX regulations.

c. Title IX Compliance

Chair Poston stated Title IX Compliance has been covered in great depth so far but asked that Vice President Pomerantz do a wrap-up. Ms. Pomerantz shared a handout concerning Title IX compliance responsibility which came from an NCAA Title IX workshop and outlines issues that NKU needs to look at and meet. Vice President Pomerantz called attention to three basic tests that any institution must look at to determine whether or not it is in compliance: (1) Need to have same proportion of opportunities for women athletes as you have women in student population, (2) Need to show a continuing history of expansion and, (3) Need to demonstrate in a conclusive way that all the interests of the potential women athletes on campus have been met. Vice President Pomerantz reported that NKU is not proportional now, however, with the addition of women's soccer, we are keeping more at the same level. The addition of women's sports approved at the January 1997 meeting will help bring NKU more in line.

President Votruba thanked the consultants for their reports and stated he would make a recommendation at the October 29, 1997 Board Meeting.

Student Regent Saunders and Staff Regent Martha Malloy asked that recommendation be delayed until they could secure more input from students and staff.

Adjournment:

The meeting adjourned at 4:33 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Alice S. Sparks
Alice S. Sparks, Secretary

I, Alice S. Sparks, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on October 22, 1997, and that such matters are still in force and effect.

Alice S. Sparks
Alice S. Sparks, Secretary

ATHLETIC FACILITIES PLANNING
NORTHERN KENTUCKY UNIVERSITY
June 13, 1997

1. What are your top two priorities for this project?

2. What overall campus Master Planning issues need to be resolved with this project (i.e. John's Hill Road alignment, accommodation of new site for Convocation Center)?

3. What is the impact or need of spectator seating or parking for each sports venue? This will impact space utilization for future growth at each venue.

4. What is potential for a "New" south campus entry from AA Highway into the sports and recreation fields?

5. What are the needs of Northern Kentucky University Athletics, intramural, and physical education on each sports venue?

6. Much of what has been described to us so far has dealt with current needs. What sports and recreation needs might be a consideration for the near future (i.e. A new Tennis Center or a campus Wellness Center)?

7. What opportunity or desire is there to host major national competitions at these sports venues?

8. Will lighting be necessary at any fields? parking? roadways?

9. Will students or spectators walk, ride, or drive a car, or be shuttled to these new sports venues?

10. What venue should the support building favor, or should it connect to the Albright Health Center?

11. Should any existing athletic facility be considered sacred and not movable?

Northern Kentucky University
Athletic Fields Master Plan • Construction Cost Estimates:

The probable construction costs have been based on the requirements of the NKU Program Statement (May 1997), the on-campus work sessions, and past experience with similar University projects.

A description of the key components of each "venue" is listed with each cost breakdown. These include the anticipated costs for site grading, sitework, and demolition of any existing elements.
(All costs are given in 1998 dollars).

A. SOFTBALL: √

- Orientation - East/Northeast - Homeplate to centerfield.
- Scoreboard - electric, 10' x 4' x 8", outside centerfield fence.
- Drainage and irrigation system.
- Backstop fence - 25' to 30' high, extended to dugouts (approximately 20'), chainlink.
- Fencing - 4' chainlink from end of dugout to outfield fence.
- Outfield fence - 8' high, chainlink.
- Field Dimensions:
  - 200' radius from home plate between the foul lines
  - 25' unobstructed area between home plate and the backstop
  - 60' base lines
  - 43' pitching distance
  - 220' outfield fence from home plate to centerfield
  - 190' outfield fence from home plate to right field
  - 190' outfield fence from home plate to left field
- Foul poles on each sideline.
- Permanent bases - pop-up.
- Permanent pitcher's plate - 24" x 6", rubber.
- Dugouts - 40' long x 10' wide x 8' high, concrete or block, sunken 24" with a receding roof, with drains, centered along first and third baseline, bat and helmet racks, drinking fountains.
- Infield - skinned area of dirt, topsoil, red brick dust, with a water permeable liner.
- Foul territory, inside the outside lines of infield should be a dirt area, and a grass area outside infield area.
- Grass outfield with a brickdust or crushed limestone as the warning track.
- Bull pen pitching areas (2).
- Batting Cage (2).
- Equipment storage area.
- Seating capacity - 600.
- Public address system.
- Lights.
- Press Box with electricity, telephone line/fax, camera deck.
- Concession Stand/Restrooms
- Flagpole.
- Perimeter security fence with screens.
- Grading and sitework.

A. PROJECTED CONSTRUCTION COST: $970,000.

B. SOCCER:

- Orientation - Situated with long axis running north and south.
- Scoreboard - electric, 12' x 5' x 8".
- Drainage and irrigation system.
- Fencing to enclose field - 4' high chainlink fence.
- Press Box - 4 to 6 persons with electricity, telephone line and facsimile capability.
- Field size - 80 yds. x 120 yds.
- Permanent soccer goals (2) with nets (only if there is another practice field).
- Grass field with a water permeable liner under the turf surface.
- Public address system.
- Concession stand.
- Equipment storage area.
- Public restrooms.
- Flag pole.
- Martin Surfacing natural grass/artificial weave.
- Lights.
- Seating capacity - 1,000.
- Seating or standing arrangements at the field should allow the spectators no closer than 20' from side and goal lines.
- Entire facility enclosed with an eight foot high chainlink fence with designated gate entrances and ticket booth. Landscaping or fence screens to be used to block visibility into the facility from outside the facility.

Practice Soccer Field:

- Orientation - Situated with long axis running north and south.
- Soccer Goals (2) with nets.
- Drainage and irrigation system.
- Grass turf.
- Field size - 80 yds. x 120 yds.
- Underground watering and drainage system.
- Martin Surfacing natural grass/artificial weave.
- Lights.

B. PROJECT CONSTRUCTION COST: $2,200,000.

C. FOOTBALL WITH TRACK AND FIELD:

General Complex:

- Orientation - Situated with long axis running north and south.
- Scoreboard - 18' x 10' 6" x 8".
- Perimeter fencing to enclose field - 8' to 10'.
- Press Box - 60' long with a video area on top, electricity and telephone lines.
- In-ground wire communication system.
- Seating capacity - 3,000.
- Public address system.
- Concession stand.
- Storage area.
- Public restrooms.
- Flag pole.
- Entire facility enclosed with an eight foot high chainlink fence with designated gate entrances and ticket booth. Landscaping or fence screens to be used to block visibility into the facility from outside the facility.
- Lights.

Football Field:

- Huddle clocks (2) - one in each end zone.
- Drainage and irrigation.
- Grass turf surface with a water permeable liner under the turf surface to assist drainage.
- Field size - 53 yds. (160') x 120 yds. (360').
- Goal posts (2) with padding.

Track and Field:

- 400 meter, 8 lane track with field events.
- Track surface - Mondo.

C. PROJECTED CONSTRUCTION COST: $2,900,000.

C.1 TRACK AND FIELD WITH MULTIPURPOSE INFIELD:

- Similar components as football complex.
- Seating for 1,000.
- Grass infield turf for recreational use.
- No football equipment/scoreboards.
- Smaller Press Box.
- Less concession/toilet facilities.

C.1 PROJECTED CONSTRUCTION COST: $2,115,000.

D. **PRACTICE FOOTBALL FIELD:**

- Set of goal posts with padding.
- Drainage and irrigation system.
- Grass Turf/Artificial Turf combination.
- Field size - 53 yds. x 120 yds.
- Electricity to film practice.
- Lights.
- Water Fountains.

D. PROJECTED CONSTRUCTION COST: $745,000.

E. **SUPPORT FACILITY:**

General Requirements:

- Men's locker room with shower/dressing/bath facilities with 100 lockers.
- Women's locker room with shower/dressing/bath facilities with 100 lockers.
- Athletic Training Room and office area, approximately 1,500 sq. ft.
- Equipment issue and storage area, approximately 1,200 sq. ft.
- Weight Room, approximately 3,200 sq. ft.
- 7 coaches offices.
- Meeting room, approximately 1,000 sq. ft.
- Maintenance storage area.

E.1 **Facility with football 12,000 sq. ft. (200 Lockers/etc.)**

E.1 PROJECTED CONSTRUCTION COST: $1,320,000.

E.2 **Facility without football 7,200 sq. ft. (50 Lockers/etc.)**

E.2 PROJECTED CONSTRUCTION COST: $800,000.

PARKING:

PROJECTED CONSTRUCTION COST:

	SPACES	
Site F	360	$700,000.
Site H	675	$1,298,000.
Site J	510	$967,000.
Site K	400	$740,000.
Site 7	360	$600,000.

BD OF REGENTS
AGENDA/MINUTES 1997/10/29
NKU BOARD OF REGENTS

Agenda
Northern Kentucky University
Wednesday, October 29, 1997- 1:30 p.m.
Regents Board Room - Lucas Administrative Center

Call to Order and Invocation

Roll Call and Welcome

Introduction of Regents' Distinguished Service Award Recipients

Approval of Minutes August 27, 1997 Regular Meeting

University Relations & Development Presentation

Presidential Comments

President's Annual Report, Annual Financial Report, and Audit Committee Recommendation

A. Presidential Reports
1. Grants and Contracts Quarterly Report
2. Quarterly Financial Report
3. Facilities Management Quarterly Report
4. Preliminary Fall Enrollment
5. Strategic Investment and Incentive Trust Funds
6. Science Center Update

B. Presidential Recommendations
1. * Academic Personnel Actions
2. * Temporary Faculty Appointments
3. * Non-Academic Personnel Actions
4. * Military Leave Policy Revision
5. * Administrative/Governing Regulations
   a. Honorary Degrees
   b. ACPAC Committee Revision
6. Bachelor of Science in Environmental Science Program
7. Athletic Enhancement Recommendation (to be distributed)
8. 1998-2000 Capital Budget Request

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling the Office of the President, 572-5123, by 2 p.m., Monday, October 27, 1997.)

C. Regent Recommendation:
1. Bylaws Revision

D. Other
1. Quarterly and Special Meeting Schedule
   January 28, 1998
   March 25, 1998
   May 6, 1998
   July 29, 1998
2. Council on Postsecondary Education Meeting Schedule
   November 3, 1997
   January 11/12, 1998 (Tentative)
   March 8/9, 1998 "
   May 17/18, 1998 "
   July 12/13, 1998 "
   September 13/14, 1998 "
   November 8/9, 1998 "
3. Upcoming Activities
   October 29, 1997 - 4 p.m. - Ceramics/Sculpture Dedication
   November 18-20, 1997 - Presidential Installation Activities
   November 21/22, 1997 - Tip-Off Tourney
   December 13, 1997 - Winter Commencement
   December 19/20, 1997 - Perkins' Tourney
   April 21, 1998 - Lincoln Award Dinner, Metropolitan Club

OCT 29 1997

Board of Regents Meeting
October 29, 1997

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 1:30 p.m. on Wednesday, October 29, 1997, by Chair James R. Poston. The invocation was given by Reverend Bill Ellis, Baptist Student Union.

Roll Call: Barry Andersen, Karen Bearden, Frank Downing, Kathryn Hendrickson, Martha Malloy, James Poston, Chris Saunders, Alice Sparks, Philip Taliaferro, and Robert Zapp. (Excused - William Verst)

Others present: President James C. Votruba, Elzie Barker, Elizabeth Blincoe, Carla Chance, Paul Gaston, William Nester, Norleen Pomerantz, James Alford, Charles Frank, Mike McPherson, A. Cole, Susan Grover, April Snedegar, Mike Sewell, Dan Seibert, Mike Baker, Russ Kerdolff, Sonda Cummins, Gina Holt, Kevin Goheen - The Northerner, Darrell Pressley - The Enquirer, Mary Ryan, Mary Kirk, Sandy Easton, Tom Isherwood, Rogers Redding, Bill Oliver, Robert Appleson, Bill Lamb, Jane Meier, Bill Ellis, Sara Sidebottom, Marilyn Gosney, Cheryl Torline, Debra Vance, Beau Beckham, Jane Schwierjohann, and Janet Neltner.

Chair Poston welcomed everyone to the meeting.

President Votruba introduced the recipients of the 1997 Regents' Distinguished Service Awards. They are: Charlene Schweitzer, Jane Schwierjohann, and Janet Neltner.

Chair Poston asked if there were any corrections to the minutes of the August 27, 1997 regular meeting. Faculty Regent Andersen requested that the minutes be corrected to reflect changes as follows: Item B-14 - Regent Andersen raised concerns about the inclusiveness of the report and respectfully requested that President Votruba consider the relationship between academic computing, administrative computing, and the library regarding information resources. Item B-15 - Regent Andersen raised concerns about the budget process and respectfully requested that President Votruba review the Deans Council "White Paper on the Budget Process." With the inclusion of the two changes of Regent Andersen, the minutes were accepted as presented.

President Votruba thanked James McKenney and Robert Appleson for their leadership and the enormous amount of work involved with the SACS Study.

President Votruba stated that the future of NKU will be determined not only in terms of ability to generate public resources but to generate private resources as well and that he has been working with Vice President Nester over the past several months in regard to expansion of development efforts to benefit NKU. Vice President Nester was asked to give an update in this regard.

University Relations & Development Presentation:

Vice President William Nester reported that NKU is a young institution with high aspirations and that particular theme permeates the development planning. He reported that private gifts are significant and there is a solid base of support. Current assets of the NKU Foundation are over $15 million. Vice President Nester

stated he believes this amount can be doubled over the next five years, and that within the next several years the Annual Fund goal will be $2 million per year. Reported that planned gifts through estate planning, wills, and insurance annuities were a key element. Due to the increasing reduction of funds from public sources, NKU will be in the same position as other institutions and will be more dependent upon private giving. To meet these challenges, Vice President Nester reported it is necessary tc expand development efforts with the addition of three new positions. The Office of University Development will work with the Deans in fund raising for the different colleges and will assist in fund raising for the Athletic program. Reported that a Phonathon room has been created in the Administrative Center and solicitations will be made to each alumni group of the various colleges. This program will continue throughout the year. Institutional priorities must be refined as to what is the most significant for this institution in the months and years ahead so that we know how to concentrate our efforts, and we must continue to work to earn the respect and support of the public.

UR&D Presentation

Vice President Nester introduced Susan Grover, Assistant Vice President and Director of Development. Ms. Grover referred to the Policies & Procedures Manual distributed before the meeting that codifies what and how University Development does. She reported there are also new policies for soliciting, accepting and processing gifts as well as a policy on prospect management. It is important that everyone knows what each other is doing and that gifts be solicited in an organized fashion. The Development Office has been reorganized to be more strategic and accountable. Plan to increase annual giving by phonathons and direct mail.

President Votruba stated there would be a very aggressive fund-raising effort over the next several years. Three new positions would be added and paid out of the general fund for the next three years, then positions would stand on their own and be self-supporting. The agreement between Development and the President's office was that there are some very specific revenue targets associated with these new positions.

Presidential Comments:

President Votruba read an article by Regent Bearden that appeared in the September 1997 NACUBO Business Officer. Reported on the Vision, Voices and Values process. Meetings were held on and off campus with input received from nearly 750 people. He reported the Panel would meet in Retreat on November 1 to discuss major issues that have come from the meetings and he would then meet with the Regents to summarize the results of this effort. Acknowledged and thanked the following for their service on this Panel: Paul Gaston, Russell Proctor, Ron Ellis, Leo Calderon, Prince Brown, Larry Giesmann, Jack O'Gorman, Matt Shank, Caryl Yzenbaard, Fran Zaniello, Don Grothaus, Leva Kidd, Rose Morgan, Meg Winchell, Michelle Deeley, Denise Kuprionis, Terress Reid and Buz Wenderoth. Shared the results of the 1996/97 Graduate Degree Alumni Survey conducted by the CPE. NKU ranked first among all universities with a 98.3 percent satisfaction rate. Overall, NKU did very well in this survey. Dedication of the Ceramics Building is today at 4 p.m. This facility represents NKU's continued commitment to the importance of art. Thanked the academic and administrative staff who brought this facility to reality. The new SIS system was just brought on line

Pres. Comments

October 16; student information will be more readily available. Thanked the following for their work and time: Carla Chance, Bill Reed, Mike Baker, Garry Casson, Regina Ford-Fowler, Meg Winchell, Larry Terrell and many others who provided support for this effort. In July the CPAB recommended the Natural Science Building as the top capital project. Received word this morning that the CPE will recommend full funding of $38 million at its November 3 meeting. Thanked Dean Redding, Chairs Warner, McPherson and Oliver, all the faculty, Paul Gaston, Carla Chance, and Mary Paula Schuh and her colleagues for an enormous effort.

Chair Poston thanked Regents Sparks and Downing for their efforts with this project and stated that many good things are happening at NKU.

President's Annual Report

President Votruba stated this has been an extraordinary year for the University. Publicly congratulated Jack Moreland for a very productive single year as Interim President and stated the NKU Partnership and a number of other things described in the report are only some of the contributions that Mr. Moreland made during his brief tenure. Other highlights included: a very major investment in technology was made which brought us much closer to where we need to be; total revenue grew by 3.1 percent, and auxiliary services continued to run on a self-supporting basis. Overall NKU is in a very strong position to take next step that is required as part of the strategic planning process.

Pres. Annual Report

Annual Financial Report

Michael Baker, Assistant Vice President for Business Affairs, assisted by Russell Kerdolff, Director Accounting & Budgetary Control, made a very detailed presentation. He noted that a clean opinion letter had been received from Deloitte & Touche. There has been a positive growth in all fund balances with the exception of retirement of indebtedness fund which are reserves set aside to pay off debt. Reported that fringe benefit costs decreased this past year; health care costs were down with participation in the Health Care Alliance. Reported that operating expenses were up 3.1 percent, while utilities went down by 4.3 percent due to an aggressive utilities management program. In summary, Mr. Baker reported that NKU's financial condition remained strong at the end of the 1996-97 fiscal year.

Annual Financial Report

Mike Sewell, Manager of Deloitte & Touche, reported that he, Dan Seibert, and April Snedegar had met with the Audit Committee on October 22 to go over the financial statement in detail. The audit opinion was reviewed, as well as the progress of the audit. He reported they are on track and where they need to be with the audit process. He stated they had received full cooperation from management, had full access to all records and documentation necessary to issue a clean audit opinion, and that they continue to have an excellent working relationship with management and the Audit Committee. Mr. Sewell added they appreciate the opportunity to serve NKU and have enjoyed the last four years.

Audit Committee Recommendation

Regent Downing reported the Audit Committee had received an excellent report from management and outside auditors. Regent Downing made a motion that the University's audited Annual Financial Report be accepted as presented. Motion was seconded by Regent Zapp, voted on and carried. Regent Downing made a motion that the External Auditors' Constructive Service Comments Report to Management be accepted as presented. Motion was seconded by Regent Sparks, voted on and carried. As the existing contract and all renewable options with Deloitte & Touche have expired, Regent Downing made a motion that an administrative audit contract review team be established to oversee a request for proposal process for a new audit contract. The Audit Committee will have a recommendation for an audit contract at the January Board meeting. The Audit Committee further recommends that the non-repeat clause (4 year rotational requirement) be adjusted to allow an audit firm to rebid on these services up to 2 times, which means that a firm could possibly receive the contract 3 times or 12 consecutive years as a maximum with the requirement that the audit field personnel assigned to the University would be rotated every four years. Motion was seconded by Regent Bearden, voted on and carried.

Presidential Reports:

Grants & Contract

A-1 Grants and Contracts Quarterly Report. Received 35 awards totaling $1,261,420 for period July 1, 1997 through September 30, 1997.

Quarterly Financial

A-2 Quarterly Financial Report. The results of the financial operations were within the expectations established by the budget.

Quarterly Fac.Mgt.

A-3 The Quarterly Facilities Management Report indicated the programming phase for the Natural Science Building is nearly complete and actual design work will begin this fall. Construction on the parking structure is anticipated to begin in the spring of 1998. ADA improvements are continuing on the Covington Campus. Bidding for the new campus entrance sign at US 27 will occur this fall.

Prelim. Fall Enrollment

A-4 President Votruba presented the Preliminary Fall Enrollment Report and noted that total headcount was up 2.9 percent.

Incentive Trust Funds

A-5 President Votruba presented a report on the CPE Strategic Investment and Incentive Trust Funds. The process to establish criteria by the CPE to access those funds is still an on-going process. Under the excellence trust fund the University has a right to access approximately $760,000 that may require some match from the University. One part of the CPE's work is to define what kind of matches are going to be required for a number of these funds. As criteria are established for accessing the excellence fund, President Votruba stated he has asked that the Presidents of each of the institutions have enough freedom to argue an exception for each of those criteria. There is no timeline on accessing the funds, money will carry forward if not accessed this year.

A-6 Update on the Science Center. The University has presented to the CPAB

and the CPE. The 1998-2000 Capital Budget Request has been submitted, pending Board approval, and numerous campus-based activities are underway. Science Center Update

Presidential Recommendations:

Consent Agenda Items:

B-1 President Votruba recommended approval of the following Academic Affairs personnel actions: Academic Affairs Personnel Actions

Faculty Appointments: Kathleen Hughes, Assistant Professor (non-tenure track renewable) and Assistant Director of the Local Government Law Center of the Salmon P. Chase College of Law, effective August 1, 1997; and Linda Taylor, Assistant Professor (non-tenure track renewable) and Director of the Local Government Law Center of the Salmon P. Chase College of Law, effective August 11, 1997.

Resignations: Barbara Houghton, from Professor and Chair of the Art Department to Professor in the Art Department, effective June 30, 1998; Michael R. McPherson, from Professor of Physics and Chair of the Physics and Geology Department to Professor of Physics in the Physics and Geology Department effective June 30, 1998; and Darrell Payne, Assistant Dean in the College of Professional Studies and Lecturer in the Allied Health, Human Services and Social Work Department, effective September 5, 1997.

Leaves, Paid: Barbara Houghton, six months paid re-entry leave, with benefits, beginning July 1, 1998, and ending December 31, 1998; and Michael R. McPherson, one year paid re-entry leave, with benefits, beginning July 1, 1998, and ending June 30, 1999.

B-2 President Votruba recommended that the following temporary faculty appointments for the 1997-98 academic year receive Board approval: Kimberly Allen-Kattus, Anita Douthat, Gregory Dahlem, Barbara Hamilton, Bruce McClure (Fall semester only), Jeanne A. Nightingale, Janalynn S. Anderson, Carl Lingen, Tom Warth, George Mathew, Stephen J. Tobin, Julianne E. Freeman, Timothy D. Murphy, Mary Jo Beresford, Jane Green, Hugh O'Neal Richardson, Gary Eippert, Marian Vanderpool (Fall semester only), Simone Bess and Adam Todd. Temp Faculty Appt.

B-3 President Votruba recommended approval of the non-academic personnel actions which occurred between July 1, 1997 and September 30, 1997. Non-academic Pers.

B-4 President Votruba recommended that the Board approve the augmentation of Section F6 and F7, Military Leave, of the Personnel Policies and Procedures Manual (Redbook). Military Leave

B-5a President Votruba recommended Board approval of revised procedures, incorporating an advanced timeline, in the policies and procedures for awarding honorary degrees (AR-III-2.0-4.) Honorary Degrees

B-5b President Votruba recommended that the Board approve revisions to the ACPAC Committee Revisions

Administrative Computing Planning and Advisory Committee description contained in the Administrative Regulations-Governing Regulations.

Regent Hendrickson moved that the consent agenda items be approved. Motion was seconded by Regent Saunders, voted on and passed.

B-6 President Votruba recommended Board approval of the Bachelor of Science in Environmental Science program for initiation at the beginning of the 1998 fall semester. Provost Gaston noted that this is an academic program that addresses regional needs. Upon a motion by Regent Malloy, seconded by Regent Zapp, the motion passed unanimously. Environ. Science Degree

B-7 President Votruba recommended that the Board maintain its commitment to enhancement and expansion of Intercollegiate Athletics in accordance with a feasible programming and facilities plan developed by the University administration through a consultative process. Under this plan, progress toward initiating a football program will be suspended and efforts will be focused in the following two areas: (1) Development of a financial plan to address the gap between NKU's existing Intercollegiate Athletic program funding and the national average for Division II athletics. This plan will rely on student fees, private contributions, and general fund support and, (2) Establishment of a realistic timeline for the implementation of additional sports programs designed to meet Title IX compliance and satisfy the demand for athletic opportunities on the NKU campus. The recommendation for each expansion program must include the anticipated start-up, recurring and facilities costs. Inter-collegiate Athletics

President Votruba stated that the year long discussion concerning athletic enhancement has been a substantial benefit to the institution. It helped clarify the importance of intercollegiate athletics at NKU, it reenforced commitment to equal opportunity for men and women no matter what the law requires, it made clear that we must make progress in increasing our support of existing sports if we intend for our coaches and athletes to compete on a level playing field. It has given a clear sense of what it will take to develop a successful football program. He added that eventually NKU most likely will play football but today is not the time to do so. President Votruba offered special thanks to the following for the work contributed to this process: faculty, staff, Jane Meier, Vice President Pomerantz, Vice President Chance, the rest of President's Cabinet, Athletic Council, students, and Chris Saunders. Special thanks to Norse Athletic Club, the Football Committee, and other members of this metropolitan community who volunteered their time and energy.

Chair Sparks read the following statement: It has been said that "the only limits are, as always, those of vision." It can be further said of the Football Committee that our vision was unlimited. We heard a plea not only from the Northern Kentucky community but from across the Commonwealth; as far west as Murray and as far east as Morehead and from the Chairman of the Board of Trustees of the University of Kentucky--who probably was looking for a competitive team for the Wildcats. We saw the creation of football as a way to increase campus activity for our students and entire university community. The committee,

chaired by Bill Erpenbeck, spent countless hours of study and based on the charge given, recommended that football become a reality at NKU. It was felt that the entire athletic program could be enhanced and a student fee was also recommended. Based on the facts at that time, the Board approved the recommendation with the stipulation that no university funds be used for this purpose. It has become apparent that this is an impossibility if Title IX requirements are to be met--which is an absolute necessity and that community financial support does not seem to be adequate. I would be remiss if I did not sincerely thank Mr. Erpenbeck and his committee for their contribution and to thank them also for making a lot of us more aware of the needs of the entire athletic department--a lot of good and positive awareness has come from this entire exercise; but, as Eleanor Roosevelt once said "you have to accept whatever comes and the only important thing is that you meet it with courage and with the best you have to give." Therefore, Mr. Chairman, with complete acceptance, I move that the Board of Regents approve the President's recommendation that football be suspended and efforts be focused in the aforementioned two areas. Motion was seconded by Regent Taliaferro. Inter-collegiate Athletics Contd.

Regent Andersen thanked the Athletic Committee for their hard work, in particular Jane Meier, Tom Kearns, and Mary Kirk; he also thanked Chris Saunders and Student Government. Regent Andersen encouraged President Votruba to give serious consideration to the Fallis & Hughes Report that any study done for the addition or deletion of athletic programs should be turned over to the Athletic Council as the appointed, campus-wide body designated officially with the task of reviewing Intercollegiate Athletics. External constituencies could be added as necessary. Regent Andersen believes this is very important for the health of the institution.

Regent Saunders stated SGA discussed the President's recommendation at their October 27 meeting and that it is their recommendation to support and endorse President Votruba's resolution to postpone the football issue until it is financially feasible and to focus on improving the existing athletic programs and focus on meeting the Title IX gender equity standards. Regent Saunders further stated that if football is postponed, the current athletic enhancement fee which SGA supported in large part to bring football to NKU should also be postponed and that the Athletic Department should present a separate fee package. There was a lengthy discussion concerning fees. President Votruba suggested that he and Regent Saunders meet with the appropriate people to discuss this issue. He also stated that over the next few weeks all proposed fees will be reviewed before presentation to the Board in January.

Upon a vote, the motion passed unanimously.

B-8 President Votruba recommended that the Board adopt the 1998-2000 Biennial Capital Budget Request. Vice President Chance commented that the Natural Science Building had been the No. 1 priority in 1991, 1993, 1995, and 1997 and hopes that two years from now the No. 1 priority will no longer be the Natural Science Center. Upon a motion by Regent Downing, seconded by Regent Zapp, the motion carried. Capital Budget

President Votruba asked that the Board approve the following resolution for the contribution that Dr. Gary Cox has made in excess of twenty years of service to

the Council on Higher Education and Council on Postsecondary Education. Gary has served both the Commonwealth and NKU with distinction.

Cox Resolution

> The Northern Kentucky University Board of Regents recognizes and honors the leadership and service of Dr. Gary S. Cox who has provided valuable advocacy and support to public higher education in Kentucky and to Northern Kentucky University.

Motion was made by Regent Sparks, seconded by Regent Zapp, voted on and passed unanimously. Regent Sparks stated that Dr. Cox has fought for years for the Natural Science Building and for a lot of other things for NKU. Regent Taliaferro suggested that the resolution be presented to Dr. Cox in a public forum.

Regent Recommendation:

C-1 President Votruba recommended that the Board amend Article III, Committees, of the Board Bylaws, to provide for two standing committees on Policy and Finance. President Votruba stated this committee structure is used by many universities. Motion was made by Regent Downing, seconded by Regent Bearden, voted on and passed unanimously. ByLaws Revision

Adjournment:

The meeting adjourned at 3:25 p.m.

Carol J. Eckstein
Carol J. Eckstein, Assistant Secretary

Alice S. Sparks
Alice S. Sparks, Secretary

I, Alice S. Sparks, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on October 29, 1997, and that such matters are still in force and effect.

Alice S. Sparks
Alice S. Sparks, Secretary

4:30 p.m., January 27, 1998 - Executive Committee Meeting, Regents Board Room
6:30 p.m, January 27, 1998 - Dinner at the President's Home
2:30 p.m., January 28, 1998 - Finance Committee Meeting, Regents Board Room
3:30 p.m., January 28, 1998 - Policy Committee Meeting, Regents Board Room

Revised Agenda
Northern Kentucky University
Wednesday, January 28, 1998 - 4:30 p.m.
Regents Board Room - Lucas Administrative Center

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes — October 22, 1997 Special Meeting; October 29, 1997 Regular Meeting

Electronic Student Services -- Dr. Norleen Pomerantz

Presidential Comments

A. Presidential Reports
1. Grants and Contracts Quarterly Report
2. 1998-99 Faculty Development Awards: Summer Fellowships
3. 1998-99 Faculty Development Awards: Project Grants
4. Quarterly Financial Report
5. A-133 Audit Report
6. Quarterly Facilities Management Report
7. Final Fall Enrollment
8. Preliminary Spring Enrollment
9. Technology Advancement

B. Presidential Recommendations
1. * Academic Personnel Actions
2. * Non-Academic Personnel Actions
3. * 1998-99 Tuition Schedule
4. Promotion, Tenure, Continued Probation, and Continued Probation with Conditions to be Removed
5. 1998-99 Sabbatical Leaves
6. Personnel Policies & Procedures Manual - Vacation Policy
7. 1998-99 Fees Schedule
8. Residential Village COP Refinancing
9. Facilities Demolition
10. Audit Committee Recommendation

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling the Office of the President, 572-5123, by 2 p.m., Monday, January 26, 1998.)

C. Other
1. Quarterly and Special Meeting Schedule
   March 25, 1998
   May 6, 1998
   July 29, 1998
2. Council on Postsecondary Education Meeting Schedule
   March 8/9, 1998
   May 17/18, 1998
   July 12/13, 1998
   September 13/14, 1998 (Annual Trusteeship Conference)
   November 8/9, 1998
3. Upcoming Activities
   February 6, 1997 - Alumni Awards Banquet
   April 21, 1998 - Lincoln Award Dinner, Metropolitan Club

Board of Regents Meeting
January 28, 1998

The regular quarterly meeting of the Board of Regents of Northern Kentucky University was called to order at 4:39 p.m. on Wednesday, January 28, 1998, by Chair James R. Poston, Jr. The invocation was given by The Reverend Joe Pennington, Canterbury Fellowship.

Roll Call: Barry Andersen, Frank Downing, Martha Malloy, James Poston, Chris Saunders, Alice Sparks, and Philip Taliaferro (Excused - Karen Bearden, Kathryn Hendrickson, William Verst, Robert Zapp).

Others present: President James C. Votruba, Elzie Barker, Carla Chance, Paul Gaston, Bill Nester, Norleen Pomerantz, Sara Sidebottom, Sonda Cummins, Charles Frank, Gabrielle Dion and Angie Webster - The Northerner, Andrew Millar, Marlene Wilmot Gerding, Susannah Meyer, Darrell Pressley - The Enquirer, Gina Holt - The Recorder, Rogers Redding, Susan Grover, Tom Isherwood, Arden Geers, Meg Winchell, Robert R. Appleson, W. Michael Baker, Russ Kerdolff, Garry A. Casson, Angela Schaffer, Bob Sprague, Larry Terrell, Fran Zaniello, Michele Ruby, Dale M. Pontz, Cheryl Torline, Lowell Schechter, Karen Ogburn, Sandy Easton, Mary Ryan, Debra Vance - The Kentucky Post, Gregory Mecher, Tom W. Ratterman, Matthew W. A. Hurley, Jane Meier, Ernest Britton, Ron Ellis, Rick Meyers, Leo Calderon, and Jack O'Gorman.

Chair Poston welcomed everyone to the meeting and made opening comments. He noted that the Presidential Installation was an opportunity to showcase our institution and that he had received feedback from people who thought it was outstanding. He thanked everyone who contributed to the success of the event. He also commented that Winter Commencement was well-attended and that Nick Clooney's presentation was excellent. He noted that the basketball season was underway and encouraged attendance at the games.

Chair Poston asked if there were any comments, questions, or changes to the minutes of the October 22, 1997, special meeting and the October 29, 1997, regular meeting. As there were no changes, the minutes were accepted as presented.

President Votruba stated that part of how Northern Kentucky University will define its future is in the way students and other customers will be able to access the University. He asked Vice President Norleen Pomerantz to give a presentation on Electronic Student Services.

Electronic Student Services Presentation:

Electronic Student Services Presentation

Vice President Pomerantz reported that NKU has been looking at a concept called electronic student services, which would provide students access to the University in their homes independent of both time and place.

Vice President Pomerantz and Mr. Jack O'Gorman, librarian and member of the Electronic Student Services Committee, demonstrated several web pages including: (1) Academic Programs, which lists all programs offered at Northern Kentucky University by college; (2) Schedule of Classes, which can be searched by semester, department, days of the week, and time periods (a future goal is to link course descriptions to faculty pages and on-line syllabi); and (3) Financial Assistance, which provides links to FastWeb, an Internet search device listing private sector resources, and KHEAA, listing higher education grants and scholarships in the Commonwealth of Kentucky.

Vice President Pomerantz stated that one significant goal in the future is an on-line admissions process. Right now students can access an application form on-line and print it. The student then must complete the form manually and mail it to the Office of Admissions.

Vice President Pomerantz stated that 75 percent of all high school students have access to this kind of computer equipment and emphasized that this will make information about NKU available to students all over the country.

President Votruba thanked Vice President Pomerantz and all of the staff who worked to make this progress possible.

Before turning the meeting over to President Votruba for comments, Chair Poston added that he recently attended a seminar near Hartford, Connecticut, hosted by The Christian A. Johnson Foundation. He and other trustees from private and public institutions spent five days talking about liberal arts education, leadership, and civic responsibility. He thanked Provost Paul Gaston for nominating him to attend this seminar.

Presidential Comments:

Presidential Comments

- President Votruba introduced Fran Zaniello, Director of First Year Programs. He emphasized that this position represents Northern Kentucky University's commitment to the first-year experience. He acknowledged Ms. Zaniello's involvement in the Vision, Values & Voices process and her commitment to University 101 and the Learning Communities.

- President Votruba reported that he had attended a meeting that morning where Leonard Hardin had presented CPE recommendations to the House Appropriations and Revenue Committee. He reported that he had recently attended a meeting with representatives of a subsidiary of Mobil Oil who requested a meeting with him because they value higher education as a commodity. He commended the leadership of Governor Paul Patton and Representative Jim Callahan for sending the strong message that the Commonwealth values postsecondary education. He stated that NKU was well-positioned and that there

was strong bipartisan support for a fully funded, single-phase science building, which was the number-one priority in the capital projects of the CPE. He gave credit to NKU faculty and staff who have had a profound impact on the process by presenting the science building as an approach to integrative science. He thanked the Northern Kentucky Legislative Caucus for their strong, bipartisan support of the bond issue.

- President Votruba reported on the CPE Incentive Trust Funds: (1) the Regional Trust Fund for Programs of Excellence which provides NKU access to $737,000 in recurring support of one or two programs of national prominence and requires a 1:1 match; (2) the Regional Trust Fund for Endowment for Academic Excellence which, as proposed, will provide NKU access to $1,230,000 to create endowed chairs/professorships and requires a 1:1 private cash match; and (3) the Physical Facilities Trust which, as proposed, provides NKU access to $1,163,000 to address deferred maintenance/government mandates and requires a 1:1 match. Also, President Votruba commented on the Workforce Development Trust Fund and opportunities which may exist to work with the area vocational schools focusing on workforce education.

- President Votruba reported on Strategic Planning, which began last fall as the Vision, Values & Voices process. This process identified the core values of the institution, a set of strategic assumptions, and a set of strategic priority areas. The next phase will be the development of an implementation plan and the creation of revenue enhancement and investment strategies.

- President Votruba reported on several searches that will be undertaken over the next several months. Vice President William Nester will chair a national search for the Vice President for Student Affairs and Enrollment Management. That position will include responsibilities currently held by the Vice President for Student Affairs with an added emphasis on Enrollment Management as a core institutional priority. President Votruba commended and thanked Vice President Pomerantz for her interim service as Vice President of Student Affairs. President Votruba announced that over the next 60 days an internal search will be conducted for the Executive Assistant to the President and Secretary to the Board. That position will represent the formal partnership that must exist between the Office of the President and the Board of Regents. President Votruba reported on the ongoing search for three major gifts officers who will be involved in private fund-raising. Vice President Nester has been coordinating those searches, which will be completed later this semester.

- President Votruba announced that on Thursday night at a reception at his home the President's Society, a new donor recognition group, will be inaugurated. This represents an initiative to increase private giving.

- President Votruba reported on current accreditation issues. NKU received a strong report from SACS. Areas of greatest concern were: (1) funds for the library and graduate holdings; (2) the ratio of part-time to full-time faculty; and (3) the need to link budgeting and planning. He thanked Professor Jim McKenney for his leadership as Director of the SACS Self-Study. President Votruba reported on the ABA accreditation process. Chase College of Law received a commendation regarding progress in increasing resources and the working relationship between college and university administration but is required to file a progress report in August 1998. Concerns focused on the need for more targeted financial support and the college goal-setting process. President Votruba reported that NKU is preparing for the NCATE School of Education accreditation visit, scheduled for April 18 - 22, 1998.

- President Votruba announced that President Emeritus Leon Boothe has been named Senior Advisor to the National Underground Railroad Freedom Center. Dr. Boothe's involvement will ensure that NKU faculty and students will be involved in that effort.

- President Votruba commented on the Presidential Installation. He thanked Vice President Nester and the Installation Planning Committee, Printing and Mail Services, Physical Plant, Public Safety, Student Affairs, and all of the faculty, staff, and students who contributed to the success of the event.

- President Votruba announced that on February 18, 1998, Bobby DeLaughter, the prosecutor in the Medgar Evers' assassination trial will be on campus.

Presidential Reports:

A-1 President Votruba presented the Grants and Contracts Quarterly Report. There were 12 awards totaling $139,064 for the period October 1, 1997, through December 31, 1997. In addition 27 proposals were submitted requesting $1,108,069. President Votruba stated that the University will work over the next few years to increase grants and contracts activity.

Grants & Contracts Quarterly Report

A-2 President Votruba announced the 1998-99 Faculty Development Awards. He emphasized that these awards indicate the rich array of scholarship in which faculty are involved. The following faculty have been approved for 1998 Summer Fellowships:

1998 Summer Fellowships

Shamima Ahmed	Diane H. Gronefeld	Diana McGill
Barry Andersen	MaryCarol Hopkins	Paul Schloemer
Barbara Arrighi	Yushi Li	Janis Taylor
David Bishop	James Luken	Jeffrey Williams

Faculty Project Grants

A-3 President Votruba announced that the following faculty have been awarded 1998-99 Project Grants:

Barry Andersen	Deepak Khazanchi	Carol Ryan
Diana Belland	Niaz Latif	Paul Schloemer
Roger Billings	Yushi Li	Jerry Warner
Jerry Carpenter	David M. Potter	

Quarterly Financial Report

A-4 President Votruba presented the Quarterly Financial Report. The results of the financial operations were within the expectations established by the budget.

A-133 Audit Report

A-5 President Votruba presented the A-133 Audit of Federal Programs Report.

Quarterly Facilities Management Report

A-6 President Votruba presented the Quarterly Facilities Management Report. He pointed out that the item of particular note was the continuing development of the Natural Science Building. President Votruba commended the leadership of Mary Paula Schuh, the three science chairs, Dean Rogers Redding, and the Integrative Science Committee.

Final Fall Enrollment

A-7 President Votruba presented the Final Fall Enrollment Report. He noted that total university headcount had increased 2.7 percent and full-time equivalent (FTE) had increased 4.1 percent.

Preliminary Spring Enrollment

A-8 President Votruba asked Vice President Pomerantz to report on Preliminary Spring Enrollments. She indicated that our undergraduate headcount increased 2.5 percent and FTE increased 3.0 percent. She noted that total university headcount increased 2.2 percent and FTE increased 2.9 percent. She noted that there will be some adjustment in these numbers due to a different timetable this year for purging for non-payment. Regent Taliaferro asked how these enrollment statistics compared to other regional universities in Kentucky. President Votruba asked Vice President Pomerantz to obtain that information and distribute it to the Board of Regents at a later time.

Technology Advancements Report

A-9 President Votruba presented the Technology Advancements Report, which describes the technological initiatives and accomplishments of both academic and administrative areas.

Presidential Recommendations:

Consent Agenda Items:

Academic Personnel Actions

B-1 President Votruba recommended that the following Academic Affairs personnel actions receive Board of Regents approval:

Faculty Appointments: Angela Cooke-Jackson, Lecturer in the Communication Department and Assistant Dean in the College of Professional Studies, effective November 1, 1997; and Frances Zaniello, from Lecturer in the Learning Assistance Program and Director of University 101 to Lecturer in the Learning Assistance Program and Director of First Year Programs (fiscal year appointment), effective January 1, 1998.

Resignations: Keith Barton, Associate Professor in the School of Education, effective November 18, 1997; Cristina de Almeida, Assistant Professor in the Art Department, effective October 22, 1997; James F. Hopgood, from Professor of Anthropology and Chair of the Sociology, Anthropology, and Philosophy Department to Professor of Anthropology in the Sociology, Anthropology, and Philosophy Department, effective July 1, 1998; Minda Michels, temporary Lecturer in the Psychology Department, effective November 1, 1997; and Patrick Points, temporary Instructor in the W. Frank Steely Library, effective October 31, 1997.

Leaves, Paid/Unpaid: Leon E. Boothe, Professor in the History and Geography Department, special paid leave beginning November 1, 1997, and ending May 31, 1999, pursuant to the Memorandum of Agreement between Northern Kentucky University and the National Underground Railroad Freedom Center; Ken Jones, Associate Professor in the Theatre Department, unpaid leave with benefits beginning January 1, 1998, and ending December 31, 1998; James F. Hopgood, Professor of Anthropology in the Sociology, Anthropology, and Philosophy Department, paid leave with benefits beginning July 1, 1998, and ending June 30, 1999; and Deborah L. Pressly, Assistant Professor in the Allied Health, Human Services, and Social Work Department, half-time unpaid leave with benefits for the spring semester 1998.

Retirements: J. Ronald Gardella, Professor in the School of Education, through the Retirement Incentive Program, effective with the 1998-99 academic year; Janet R. Hammond, Assistant Professor in the Technology Department, through the retirement Incentive Program, effective January 1, 1998; and Rosella C. Zeiser, Associate Professor in the Nursing Department, through the Retirement Incentive Program, effective with the 1998-99 academic year.

B-2 President Votruba recommended that the non-academic personnel actions which occurred between October 1, 1997, and December 31, 1997, receive Board of Regents approval. **Non-Academic Personnel Actions**

B-3 President Votruba recommended that the Board of Regents ratify the 1998-99 tuition rates established and mandated by the Council on Postsecondary Education. The rates become effective for the Fall 1998 semester. **1998-99 Tuition Schedule**

Regent Downing moved that the consent agenda items be approved. Motion was seconded by Regent Malloy, voted on, and passed. Regent Sparks abstained.

B-4 President Votruba recommended that the following academic personnel receive Board of Regents approval for promotion, tenure, continued probation, and continued probation with conditions to be removed:

Promotion, Tenure, Continued Probation, and Continued Probation with Conditions to be Removed

Promotion: Donald R. Kelm, Robert F. C. Naczi, Jerry W. Warner, Diana L. McGill, Danny L. Miller, W. Jonathan Gresham, Randy K. Pennington, David M. Potter, Robert Trundle, Jr., Trina Koscielicki, James Gaut Ragsdale, Joy A. Churchill, Ann Schmidt Luggen, Denise Robinson, and Marian C. Winner.

Tenure: Robert F. C. Naczi, Diana L. McGill, Randy K. Pennington, David M. Potter, Harriette W. Richard, Darrell H. Garber, Joy A. Churchill, and Lewis E. Workman.

Continued Probation: Steven Finke, Robert Wilcox, Paige Byam, Thomas Leech, Lisa Elderbrock, Gina Foletta, Kristin Pfabe, Carol Dunevant, Maria Falbo-Kenkel, Shamima Ahmed, Edward Chang, Douglas Krull, Andrew Kipnis, Yushi Li, Terry Powell, George Aldhizer, Shailendra Verma, Rebecca Ball, Fred Beasley, Stephanie Barnes, Trina Koscielicki, Deborah Pressly, James Roeder, Michele Roszmann-Millican, Carol Ryan, Allen Seed, Margaret Anderson, Robert Brautigan, Louise Niemer, Jihad Albayyari, Harold Wiebe, Edward Brewer, David Franklyn, Timothy Hall, Jack O'Gorman, and Donna Smith.

Continued Probation with Conditions to be Removed: Laura Rosenfeld, Stephen Richards, Patricia Knox, Robert Langenderfer, Esther Green-Merritt, Nancy Miller, Lorena O'Donnell, and Ruth Champion.

Upon a motion by Regent Sparks, seconded by Regent Taliaferro, the recommendation passed unanimously.

B-5 President Votruba recommended that the Board of Regents approve the following sabbatical leaves:

Sabbatical Leaves

John Alberti, Barbara Arrighi, Marjorie Artzer, Diana Belland, Roger Billings, Suzanne Cortez, Steven Gores, Jonathan Gresham, Deepak Khazanchi, Mary Kirk, Philip Koplow, Lynn Langmeyer, Marian Lawson, Alar Lipping, Cheryl McKenzie, Sharlotte Neely, David Potter, Alice Rini, Jennifer Ryan, Christine Stiegler, Laura Sullivan, and Jerry Warner.

Upon a motion by Regent Malloy, seconded by Regent Andersen, the recommendation passed unanimously.

B-6 President Votruba recommended that the Board of Regents approve the revision of the Vacation Leave and Terminal Vacation Leave sections of the Policy and Procedures Manual (Redbook). President Votruba explained that all University offices will remain open during Spring Break. In order to maintain the employee benefit package, the vacation leave allowance will be increased. The annual vacation accrual maximums will remain the same. This policy was supported by Staff Congress.

Personnel Policy & Procedures Manual - Vacation Policy Revision

Upon a motion by Regent Saunders, seconded by Regent Sparks, the recommendation passed unanimously.

B-7 President Votruba recommended that the Board of Regents approve a comprehensive schedule of fees and charges for academic year 1998-99 at the rates proposed on the "Authorized Schedule of Fees/Service Charges." Dr. Votruba noted that the Athletic Enhancement Fee was not part of this schedule. Subsequent to the Board's November action, a plan will be designed to provide a level playing field for men's and women's athletic programs by ensuring that they are funded at or above the national average. That proposal will be available for Board review at a later date. President Votruba noted that a very important change occurred in the Support of Learning Surcharge. The fee will be calculated on a per credit hour basis and will generate an additional $50,000 to $60,000 in revenue. The Housing increase was proposed as an inflation adjustment and was designed to encourage double occupancy. The Parking Violation Assessment represented a $5.00 increase for a total $20.00 fine.

1998-99 Fees/Service Charges

Regent Saunders made a statement about tuition and student fees. He stated that the Kentucky SGA Presidents supported the increase in Kentucky school tuition (recommendation B-3) because they did not want a lack of funding to affect the quality of education in the Commonwealth. It was the consensus of that group to keep fees low if tuition was increased. Regent Saunders noted that the Northern Kentucky University SGA did support the fee package with the exception of parking and housing. He urged those present to keep in mind the need for quality and affordability and suggested that the University make more of an effort to find ways to increase funding of services. He pointed out that a number of students were in attendance at the meeting, proving that they were concerned about their education and the University. Chair Poston noted that the Board historically has increased tuition and fees with great reluctance. President Votruba expressed his pride in the students and noted that he is aware of their concern about the cost of education.

Regent Andersen, noting the change in the Support of Learning Surcharge, asked whether consideration was given to making a similar change to the Technology Fee.

Upon a motion by Regent Downing, seconded by Regent Sparks, the recommendation passed unanimously.

B-8 President Votruba recommended that the Board of Regents adopt a resolution to allow the Executive Committee to proceed forward with refinancing the Certificates of Participation which were issued for the Housing Facilities. The finance mechanism for the new Residential Village was sold in the 1991 bond market. Because of the legal issues surrounding the Residential Village, it was not advisable to refinance until the litigation had closed.

Residential Village COP Refinancing

Upon a motion by Regent Sparks, seconded by Regent Downing, the recommendation passed unanimously.

B-9 President Votruba recommended that the administration be authorized to demolish structures located at 533, 537, and 541 Johns Hill Road as well as the three trailers located adjacent to 533 Johns Hill Road.

Facilities Demolition

Upon a motion by Regent Downing, seconded by Regent Malloy, the recommendation passed unanimously.

B-10 Regent Sparks presented the recommendation of the Board of Regents Audit Committee that the contract for auditing the University's financial records for the fiscal year ending June 30, 1998, be awarded to Deloitte and Touche LLP. The annual contracted cost will be $45,700. The contract will allow for three one-year renewable options.

Audit Committee Recommendation

Upon a motion by Regent Sparks, seconded by Regent Taliaferro, the recommendation passed unanimously.

Other:

Finance Committee:

Finance Committee

Regent Malloy reported that this committee had reviewed the following issues at its 2:30 p.m. meeting today: (1) review of the student fee recommendations, (2) the quarterly financial report presented by Budget Director Barker, (3) matching funds challenge for the CPE Incentive Trust Funds, and (4) the Budget Strategy Committee, which will develop an investment and revenue-enhancement strategy.

Policy Committee:

Policy Committee

Regent Downing reported that this committee met at 3:30 p.m. today. President Votruba made a presentation on the Strategic Planning Process, which will be further defined at a workshop in late spring or summer.

Chair Poston announced that a Board Retreat will take place in the next 60 days, and the Strategic Planning Process will be first and foremost on that agenda.

President Votruba clarified an article appearing in the newspaper discussing the issue of an arena. The University has been authorized to use $500,000 of its own money to fund a feasibility study for a new arena. He stressed that the current number-one priority is the Science Building. President Votruba held up a list of NKU athletes who had been recognized for their academic achievements and congratulated the athletes, coaches, Athletic Director Jane Meier, Vice President Pomerantz, and all of the faculty for their efforts.

Regent Saunders complimented President Votruba on the Strategic Planning Process.

Regent Sparks announced that Legal Counsel Elizabeth Blincoe was recuperating from surgery and conveyed her thanks for the planter sent by the Board of Regents.

Adjournment:

The meeting adjourned at 6:01 p.m.

Paula A. Brickler
Paula A. Brickler, Administrative Secretary
Office of the President

Alice S. Sparks
Alice S. Sparks, Secretary

I, Alice S. Sparks, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on January 28, 1998, and that such matters are still in force and effect.

Alice S. Sparks
Alice S. Sparks, Secretary

6:30 p.m, March 24, 1998 - Dinner at the President's Home
8:30 a.m., March 25, 1998 - Regents Retreat - Board Room (AC 830)
12:30 p.m., March 25, 1998 - Luncheon - Board Room (AC 830)

Agenda - REVISED
Northern Kentucky University
Wednesday, March 25, 1998 - 2:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes January 28, 1998 Regular Meeting

SACS Update

Presidential Comments

A. Presidential Reports

B. Presidential Recommendations
1. * Academic Affairs Personnel Actions
2. * Continued Probation For First Year Faculty
3. * Temporary Faculty Appointments
4. * Emeritus Status
5. Master of Science in Computer Science Program
6. State Master Lease Authorization
7. Internal Audit Recommendation
8. Residential Village Refinancing (to be distributed)

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling the Office of the President, 572-5123, by 2 p.m., Monday, March 23, 1998.)

C. Other
1. Quarterly and Special Meeting Schedule
May 6, 1998
July 29, 1998
2. Council on Postsecondary Education Meeting Schedule
May 17/18, 1998
July 12/13, 1998
September 13/14, 1998 (Annual Trusteeship Conference)
November 8/9, 1998
3. Upcoming Activities
April 3, 1998 - Japanese Cherry Tree Planting
April 21, 1998 - Lincoln Award Dinner, Metropolitan Club
April 30, 1998 - NKU Foundation Annual Meeting
May 16, 1998 - Commencement Ceremonies
June 19, 1998 - Presidential Golf Outing
August 25, 1998 - State of the University Address
September 1, 1998 - Cincinnati Pops Orchestra Concert

Board of Regents Meeting
March 25, 1998

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 2:00 p.m. on Wednesday, March 25, 1998, by Chair James R. Poston, Jr. A moment of silence was observed in support of Regent Alice Sparks, whose husband passed away last Friday. The invocation was given by Bill Ellis, Campus Minister, Baptist Student Union.

Roll Call: Barry Andersen, Frank Downing, Kathryn Hendrickson, Martha Malloy, James Poston, Chris Saunders, Philip Taliaferro, and Robert Zapp (Excused - Karen Bearden, Alice Sparks, and William Verst).

Others Present: President James C. Votruba, Elzie Barker, Elizabeth Blincoe, Leo Calderon, Carla Chance, Paul Gaston, Cheryl Nunez, Norleen Pomerantz, J. Thomas Isherwood, Sandy Easton, Dan Curtin, David Short, Paul Reichardt, Gary Graff, Ron Ellis, Rick Meyers, Paula Brickler, Sonda Cummins, Susan Grover, Mike Baker, Russ Kerdolff, Gregory Mecher, Claire Newman, Debra Vance - The Kentucky Post, Ernest Britton, Bill Ellis, Bill Lamb, Marilyn Gosney, Arden Geers, Charles Frank, Marian Winner, Linda Nesbitt, Carol Skawinski, Kelly Sudzina - The Northerner, Robert R. Appleson, Kevin Kirby, Gail Wells, Gina Holt - The Recorder, and Mary Paula Schuh.

Chair Poston welcomed everyone to the meeting and made opening comments.

Chair Poston asked if there were any changes, corrections, or additions to the minutes of the January 28, 1998, regular meeting. As there were no changes, the minutes were accepted as presented.

President Votruba offered the following resolution for consideration and approval:

> Be it resolved that the Board of Regents extends its deepest sympathy to Regent Alice Sparks and her family in recognizing the death of her husband, D. Joseph Sparks, a distinguished Kentuckian, successful business leader, and strong supporter of higher education.

Regent Zapp made a motion that the resolution be approved. Upon a second by Regent Downing, the resolution passed unanimously.

President Votruba introduced Paula Brickler and announced that she will be preparing the Board minutes. He announced that Dr. J. Thomas Isherwood, who has served as the Dean of the College of Professional Studies at Northern Kentucky University for seven years, has accepted the position of Vice President and Dean of the Faculty at Macon State College in Georgia.

President Votruba acknowledged Dr. Jim McKenney and Dr. Bob Appleson for their work on the SACS Self-Study and asked Provost Paul Gaston to give an update.

SACS Presentation

SACS Presentation:

Provost Gaston announced that the second phase of the alternate model self-study will be conducted April 20 - 23, 1998. He explained that consultants selected by SACS will visit Northern Kentucky University during this phase. In 1996, the University formed committees to work on four priority issues. SACS consultants will assist the University in addressing each of these issues during their visit. Dr. Judith Gappa, Vice President for Human Relations at Purdue University, will focus on reliance on part-time instruction. Dr. Carole Crum, Director/Academic Services at Oakland University, and Dr. Pamela Niesslein, Assistant Dean at the College of Charleston, will focus on determining the appropriate curricular mix and the way students are advised with regard to that mix. Dr. Karen Miller, Professor of General Studies at the University of Toledo, and Dr. John O'Connor, Dean/Vice Provost for Information Technology and Services at George Mason University, will focus on developmental instruction and how best to design education for students who are underprepared. Dr. Paul Gandel, Vice Provost for Information Services at the University of Rhode Island, will focus on developing a technology plan for Academic Affairs. The Committee Chair is Dr. John Lyons, Vice President for Planning at the University of Alabama at Birmingham. Provost Gaston emphasized that the University has used the time since the visit of the SACS Compliance Audit Committee in November to address SACS recommendations. For example, Northern Kentucky University has developed a new system for documenting part-time faculty, has created a clear link between planning and budgeting, and has made a technical adjustment in terms of Internal Auditing. Following the visit of the consultants in April, the University will then have six months to respond to any remaining concerns. It is anticipated that the action on Northern Kentucky University's re-accreditation by SACS will take place in December at the annual meeting in Atlanta.

Presidential Comments

Presidential Comments:

President Votruba commented on the Vision, Values, and Voices process, which took place last fall and included interaction with 500 - 600 people from both inside and outside the University. This process led to the development of a vision statement, a set of core values, and a set of strategic priorities for the next five years. In addition, it led to the formation of a budget strategy group and a set of budget priorities. President Votruba announced that he will be reviewing all of these materials and the Five-Year Strategic Agenda in two open "town meetings" in early April with faculty, staff, and students. President Votruba indicated that this topic was discussed at the Regents Retreat this morning. He stated that Northern Kentucky University is now strongly positioned to take charge of its future, which is consistent with the direction that postsecondary education is taking in the Commonwealth. President Votruba acknowledged the hard work of faculty, staff, and students who participated in this process.

Presidential Recommendations:

Consent Agenda Items:

B-1 President Votruba recommended that the following Academic Affairs personnel actions receive Board of Regents approval:

Academic Personnel Actions

Faculty Appointment: Jeffrey Ross, Assistant Professor of Justice Studies and Public Administration in the Political Science Department, effective August 19, 1998.

Leave, Unpaid: Jennifer Smith, Associate Professor of Library Services in the W. Frank Steely Library, unpaid leave with health insurance benefit only, beginning July 1, 1998, and ending June 30, 1999.

Resignations: Sandra W. Easton, Assistant Professor in the Accountancy Department, through the Phased Resignation Program, beginning with the 1998-1999 academic year, continuing through the 1999-2000 academic year, and ending with the 2000-2001 academic year; Martin Giesbrecht, Professor of Economics in the Economics, Finance, and Information Systems Department, effective May 15, 1998; Timothy T. Serey, Professor of Marketing in the Management and Marketing Department, effective May 15, 1998; and Steven Weiss, from Professor and Chair of the Communication Department to Professor in the Communication Department, effective June 30, 1998.

B-2 President Votruba recommended that the following first-year faculty receive Board of Regents approval for continued probation for the 1998-99 academic year:

Continued Probation

Lisa Jameson, Kristine Yohe, Steven Dent, Susan Cantrell, Jon Draud, Nancy Batchelor, Ann Dollins, Mary Gers, and Daryl Orth.

B-3 President Votruba recommended that the following temporary faculty appointments for the 1998 spring semester receive Board of Regents approval:

Temporary Faculty

Robert C. Mahaney, Robert Miller (3/4 time), Yvonne J. Meichtry, and Kathleen M. Foldy (fiscal year).

B-4 President Votruba recommended that Emeritus status for the following individuals receive Board of Regents approval:

Emeritus Status

J. Ronald Gardella, Professor in the School of Education; and Martin Giesbrecht, Professor of Economics in the Economics, Finance, and Information Systems Department.

Regent Hendrickson moved that the consent agenda items be approved. Motion was seconded by Regent Zapp, voted on, and passed unanimously.

B-5 President Votruba recommended that a new program, Master of Science in Computer Science, in the College of Arts and Sciences, be approved for initiation at the beginning of the 1999 fall semester.

Master of Science in Computer Science Program

President Votruba asked Provost Gaston to comment. Provost Gaston introduced Dr. Gail Wells, chairperson of the Department of Mathematics and Computer Science, who explained that students who obtain a bachelor's degree in Computer Science and then wish to pursue advanced study often would prefer to remain in this geographic region. No local university offers a master's degree in Computer Science other than the University of Cincinnati; however, their program focuses specifically on engineering rather than on application. The NKU program is a direct response to a documented educational need in the area.

Upon a motion by Regent Malloy, seconded by Regent Andersen, the recommendation passed unanimously.

B-6 President Votruba recommended that authority be granted to the Treasurer of the University to execute the necessary documents to enter into a Master Lease Agreement with G. E. Capital through the Commonwealth of Kentucky's contract for the financing of capital projects. This authority would also allow the University to refinance any existing agreements in place with Fifth Third Bank if the cost savings are achievable.

State Master Lease Agreement

President Votruba asked Vice President Chance to comment. Vice President Chance noted that in 1994 the Board of Regents approved an institution-based master lease agreement that allowed the University to enter into a very affordable financing arrangement with Fifth Third Bank. Because of the current refinancing of the residential village, the University no longer qualifies for that arrangement. The recommendation to the Board is that the University participate in the master lease program for the Commonwealth of Kentucky.

Upon a motion by Regent Downing, seconded by Regent Zapp, the recommendation passed unanimously.

B-7 President Votruba recommended that the Board formally acknowledge the role of the Internal Auditor acting independently and with the External Auditor in accessing the University President and the Board of Regents Audit Committee.

Internal Audit

President Votruba stated that this recommendation is in response to the SACS review. Vice President Chance commented that Internal Auditing was shifted organizationally from the Office of the President to Administrative Affairs in 1995. The SACS committee pointed out that this was inconsistent with a prior charter approved by the Board. This recommendation brings the Board action into alignment with the organizational structure.

Upon a motion by Regent Hendrickson, seconded by Regent Zapp, the recommendation passed unanimously.

B-8 President Votruba recommended that a resolution be adopted by the Board of Regents which approves the necessary documents to refinance the Certificates of Participation (COPS) for Northern Kentucky University Student Housing Facilities issued in 1991 to finance the Residential Village.

Residential Village Refinancing

President Votruba asked Vice President Chance to comment. Vice President Chance indicated that this action was a follow-up to the Board Resolution adopted in January. Since that time, Vice President Chance, University Legal Counsel Elizabeth Blincoe, Treasurer Mike Baker, and Director of Accounting Russ Kerdolff had been working with Seasongood & Mayer as well as Peck, Shaffer & Williams to refinance the Student Housing Facilities Certificates of Participation. The bond sale has been scheduled for Monday, March 30. The savings is not as great as had been anticipated in January. Treasurer Mike Baker explained that this recommendation will allow him to approve the sale if the conditions outlined in the documents are met. Otherwise, the Executive Committee of the Board of Regents would need to meet. Vice President Chance stressed that University Legal Counsel Blincoe had reviewed all documents. Chairman Poston asked if the resolution had been reviewed by outside bond counsel. University Legal Counsel Blincoe responded that all outside bond counsel reviewed it.

Upon a motion by Regent Downing, seconded by Regent Hendrickson, the recommendation passed unanimously.

Executive Session

Executive Session:

Upon a motion by Regent Taliaferro, seconded by Regent Downing, the Board convened in Executive Session at 2:20 p.m. to discuss a personnel matter.

The Board reconvened in open session at 2:35 p.m.

Regent Downing made a motion that the Board of Regents designate Candidate A and Candidate B to receive honorary doctorate degrees. The motion was seconded by Regent Saunders, voted on, and passed unanimously.

Regent Downing made a motion that the Nancy J. Boothe Award for Perseverence and Achievement be given to Candidate A. The motion was seconded by Regent Saunders, voted on, and passed unanimously.

President Votruba commented on Northern Kentucky University's Black History Month programming. He commented that although he has seen this kind of programming at a number of other universities, he has never seen more robust or substantive programming. He acknowledged the work of Cheryl Nunez and others who made that possible.

Regent Taliaferro commended and thanked Cheryl Nunez for bringing Bobby DeLaughter to campus. He was deeply touched by Mr. DeLaughter's presentation and felt that this man's life was the perfect example of a lifetime of civic involvement.

Chairman Poston announced that April 21, 1998, is the Lincoln Award Dinner and encouraged everyone to attend.

Adjournment:

The meeting adjourned at 2:41 p.m.

Paula A. Brickler

Paula A. Brickler, Administrative Secretary
Office of the President

James R. Poston, Jr., Chairman
Board of Regents

I, James R. Poston, Jr., Chairman of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on March 25, 1998, and that such matters are still in force and effect.

James R. Poston, Jr., Chairman

6:30 p.m., May 5,1998 - Dinner at the President's Home
9:30 a.m., May 6, 1998 - Finance Committee Meeting, Regents Board Room
10:30 a.m., May 6, 1998 - Policy Committee Meeting, Regents Board Room
12:00 p.m., May 6, 1998 - Luncheon with Vice Presidents, Presidential Dining Room
1:00 p.m., May 6, 1998 - Student Awards Reception, UC Ballroom Lobby

Agenda - **Revised (#3)**
Northern Kentucky University
Wednesday, May 6, 1998 - 2:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes March 25, 1998 Regular Meeting

Presentation on First-Year Programs: Findings and Future Directions - Fran Zaniello

Presidential Comments

A. Presidential Reports
1. Grants and Contracts Quarterly Report
2. Quarterly Financial Report
3. Quarterly Facilities Management Report
4. Salmon P. Chase College of Law Report

B. Presidential Recommendations
1. *Academic Affairs Personnel Actions
2. *Continued Probation For First-Year Faculty
3. *Temporary Faculty Appointment
4. *Emeritus Status
5. *Handbook for Department Chairs and Faculty Policies and Procedures Handbook Amendment
6. *Non-Academic Personnel Actions
6a. *Administrative Personnel Action
7. *Bad Debt Write-Off
8. *Administrative Regulations-Governing Regulations Revision
9. Personnel Policies and Procedures Manual Revision
10. 1998-99 Annual Budget Resolution
11. Reciprocity Agreements
12. Regents Professorship Award (to be distributed)
13. Demolition of Property (to be distributed)

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling the Office of the President, 572-5123, by 2 p.m., Monday, May 4, 1998.)

C. Other

1. Committee Reports
2. Quarterly and Special Meeting Schedule
   July 29, 1998
3. Council on Postsecondary Education Meeting Schedule
   May 17/18, 1998
   July 12/13, 1998
   September 13/14, 1998 (Annual Trusteeship Conference)
   November 8/9, 1998
4. Upcoming Activities
   May 7, 1998 - Governor Patton on NKU campus
   May 16, 1998 - Commencement Ceremonies
   June 19, 1998 - Presidential Golf Outing
   August 25, 1998 - State of the University Address
   September 1, 1998 - Cincinnati Pops Orchestra Concert

Board of Regents Meeting
May 6, 1998

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 2:07 p.m. on Wednesday, May 6, 1998, by Chair James R. Poston, Jr. The invocation was given by Al Cucchetti, Campus Minister, Catholic Newman Center.

Roll Call: Barry Andersen, Frank Downing, Martha Malloy, James Poston, Chris Saunders, Alice Sparks, Philip Taliaferro, William Verst, and Robert Zapp (Excused - Karen Bearden and Kathryn Hendrickson).

Others present: President James C. Votruba, Elzie L. Barker, Elizabeth M. Blincoe, Carla S. Chance, Paul L. Gaston, William R. Nester, Cheryl Nunez, Norleen Pomerantz, Rogers Redding, Gary Graff, Sandy Easton, Paula A. Brickler, Sonda K. Cummins, Leo Calderon, W. Michael Baker, Darrell H. Garber, Al Cucchetti, Bill Oliver, Bob Lilly, Robert R. Appleson, Charles Frank, Claire Newman, Cheryl Torline, Susan Grover, Marian C. Winner, Bill Lamb, Sara Sidebottom, Marilyn Gosney, Connie Hurst, Nancy Lang, Ron Ellis, Rick Meyers, Russ Proctor, Amy Green, Felicia Shields, Lori Heeg, Samantha Gee, Keith Bricking, Raymond E. Richmond, Rob Naczi, Jerry Carpenter, Helen Wilhelm-Olsen, Mary Ryan, Mary Paula Schuh, Arden Geers, Meg Winchell, Robin J. Crigler, Pamela McCarthy, Beth Sweeney, A. Dale Adams, Fred Otto, Fran Zaniello, Stephanie Baker, Gina Holt - The Recorder, Andrea Tortora - The Kentucky Enquirer, and Debra Ann Vance - The Kentucky Post.

Chair Poston welcomed everyone to the meeting and asked if there were any corrections to the minutes of the March 25, 1998, regular meeting. As there were no changes, the minutes were accepted as presented.

President Votruba announced that this was the last official meeting of Student Regent Chris Saunders who is graduating with a master's degree in public administration. On behalf of the University, President Votruba expressed appreciation to Regent Saunders for his leadership while serving on the Board of Regents. The following resolution was offered for consideration and approval:

> Be it resolved that the Board of Regents and the entire University community extend sincere appreciation to Christopher D. Saunders who has served as a Student Regent of Northern Kentucky University. Mr. Saunders has given generously of his time, talent, and leadership to further the ultimate goals of the University and has served as an advocate of the institution, its faculty, staff, students, and alumni.

Regent Malloy made a motion that the resolution be approved. Upon a second by Regent Downing, the resolution passed unanimously.

Chair Poston commended Regent Saunders for doing a remarkable job in serving his constituent group. Regent Saunders expressed his appreciation for the outstanding opportunity to serve on the Board of Regents for the past two years.

President Votruba stated that Northern Kentucky University's effort to recruit and retain the best students is central to the Five-Year Strategic Agenda. He introduced Fran Zaniello, Director of First-Year Programs, who provided a snapshot description of the University's first-year programs and their impact on retention during the past year.

First-Year Programs Presentation

Presentation on First-Year Programs: Findings and Future Directions

Fran Zaniello shared national research findings on the role of the freshman year in the success of students throughout their undergraduate experience. Research indicates that the first year is critical for both retention and student learning and that new students need to make connections with key faculty and staff, campus resources, and other students.

Ms. Zaniello noted that the Office of First-Year Programs was established in January 1998. She described University 101, a three-credit extended orientation course that has been offered for the past 12 years (1,000 students - Fall 1997 semester), and Learning Communities, a pilot program (begun - Fall 1997 semester) in which groups of 25 students take three courses together. She stated that goals for both programs include helping students to build support groups, to perform better academically, to return for the next semester, and to make better use of campus services and facilities.

Ms. Zaniello introduced Stephanie Baker, Director of Health, Counseling, and Testing Services, who outlined assessment of these programs. Ms. Baker explained that retention, student satisfaction with the University in general, and student participation in campus activities were measured. The assessment study compared data for students who took University 101, students who participated in Learning Communities, and students who did not participate in either program. Ms. Baker indicated that the data showed a better rate of return for students who participated in the programming. She shared the results of the Student Satisfaction Survey, which was given to over 800 students. The students who participated in these first-year programs were more satisfied on a majority of items on the survey. Ms. Baker stated that surveys given to both students and faculty indicated that students in Learning Communities met more often with academic advisors and instructors and used campus resources more frequently.

Regent Taliferro inquired about the Running Start Program. Ms. Zaniello explained that Running Start is a summer program aimed specifically at developmental students.

Chair Poston commented that retention of the first-year student is one of the key issues that the University faces, and he commended Ms. Zaniello and Ms. Baker on an informative presentation.

Presidential Comments

Presidential Comments:

- President Votruba introduced and congratulated the 1998 University-wide student award recipients. They are:

Student Awards

Regents Award	Samantha Gee, College of Arts and Sciences
Paul J. Sipes Award	Amy Green, College of Professional Studies
University Service Award	Keith Bricking, College of Arts and Sciences
	Felicia Shields, College of Professional Studies
Excelsior Award	Pamela McCarthy, College of Professional Studies
President's Award	Felicia Shields, College of Professional Studies
Faculty Senate Award	Lori Heeg, College of Arts and Sciences

- President Votruba announced that presentations regarding the strategic planning task forces were made at the Policy Committee meeting at 10:30 a.m.

- President Votruba reported that the NCATE accreditation visit has been completed. Although NCATE had expressed some concerns on their previous visit in 1993, Northern Kentucky University this time received positive evaluations, with only two recommendations for improvement. President Votruba commended Chairperson Darrell Garber and members of the School of Education faculty for their leadership during this accreditation process.

- President Votruba announced that the second stage of the SACS alternate self-study process has been completed. During this stage, consultants visited the campus and led discussions on four key issues. Northern Kentucky University received an executive summary from those consultants outlining their suggestions. President Votruba commended Professor Jim McKenney for his leadership in completing this second stage of the accreditation review.

- President Votruba shared an article from today's edition of the Kentucky Enquirer entitled, "NKU Luring Best Minds." This article announced that Northern Kentucky University has offered free tuition to 40 students who scored a 29 or higher on the ACT. President Votruba stated that the Governor and the Legislature have indicated that the Commonwealth of Kentucky needs to retain the best minds. He commended Vice President Norleen Pomerantz and her staff for the acceleration of recruitment efforts.

- President Votruba announced that the Governor will be on campus tomorrow, Thursday, May 7, 1998. The Governor will attend an announcement at 1:30 p.m. to inaugurate the new partnership with Fidelity Investments. This partnership involves development of the financial services curriculum at Northern Kentucky University and is a model of the kind of partnerships that will be developed in the future.

- In addition, the Governor will attend the Science Center Celebration at 2:00 p.m tomorrow. The purpose of the celebration is to thank the Governor, the Northern Kentucky Legislative Caucus, the Consensus Committee, the Northern Kentucky Chamber of Commerce, other leaders in the Northern Kentucky area, and the faculty and staff of Northern Kentucky University who worked hard to bring about this enormous accomplishment.

- President Votruba announced that ground will soon be broken for an electronic sign at the entrance of the campus. The sign will be an important marketing tool (approximately 40,000 cars drive past the entrance each day). President Votruba has also asked Ron Ellis, Director of University Relations, to look into the possibility of having "NKU" painted on the water tower.

- President Votruba recognized J. Robert Lilly, Professor of Sociology and nominee for the Regents Professorship, and introduced Dr. Michael R. Carrell, Dean-designate for the College of Business. President Votruba announced that Ms. Robin J. Crigler has been nominated to serve in the new position of Executive Assistant to the President/Secretary to the Board of Regents.

- President Votruba acknowledged the contributions of several individuals who are leaving their current positions: J. Thomas Isherwood, Dean of the College of Professional Studies; Sandy Easton, Interim Dean of the College of Business; Fred Otto, Director of Public Safety; and Leo Calderon, Executive Assistant to the President.

Presidential Reports:

A-1 President Votruba presented the Grants and Contracts Quarterly Report. For the period January 1 through March 31, 1998, 14 awards were received for a total of $406,803. **Grants & Contracts Quarterly Report**

President Votruba asked Provost Paul Gaston to comment. Provost Gaston stated that higher education is facing a reduction of the number of grant and contract opportunities available. In addition, many agencies are facing a reduction in funding. Provost Gaston indicated that Northern Kentucky University will use a more aggressive strategy by encouraging University personnel to approach these agencies more directly.

A-2 President Votruba presented the Quarterly Financial Report. The results of the financial operations were within the expectations established by the budget. **Quarterly Financial Report**

A-3 President Votruba presented the Quarterly Facilities Management Report and displayed a map of the new science building site. The building will be 165,000 square feet. **Quarterly Facilities Manage-ment**

President Votruba indicated that when construction of the new science building begins (groundbreaking is targeted for Summer 1999), traffic will be routed away from Nunn Drive so that the main artery of the campus is not located between student parking and classroom buildings. This will eliminate a current safety hazard for pedestrians. There will be an in-depth presentation on the new science building at the July Board meeting.

Regent Saunders raised concerns regarding student parking. He pointed out that student parking spaces will be eliminated with the construction of the new science building (185 spaces) and the softball field (60 - 80 spaces). The new parking structure will add 350 - 373 new spaces for students, faculty, staff, and visitors. Regent Saunders inquired about proposals to address the parking issue.

Mary Paula Schuh, Director of Campus Planning, indicated that there are currently two parking proposals. One proposal is to build a new surface parking lot near the Credit Union building, which would add 200 - 250 spaces. The other proposal involves the receipt of free fill dirt, which would be placed in the valley behind Lot M south of the Commons Building and would double the size of that parking lot.

Vice President Carla Chance reported that parking is currently not as tight as it has been in the past. Regent Saunders pointed out that the University is hoping to increase its enrollment and urged the University to find the funds to pave and build parking lots as soon as possible. President Votruba affirmed that our ability to attract and retain students will be dependent upon our ability to be user friendly and accessible, which includes providing adequate parking.

A-4 President Votruba presented the Salmon P. Chase College of Law Report: Changes in Grading Policy, Curriculum, and Other Program Elements. He asked Provost Paul Gaston to comment.

Chase College of Law Report

Provost Gaston reported that in Spring 1994 the Board of Regents issued a very clear directive to the Chase College of Law to undertake a comprehensive effort to improve bar passage rates. This effort includes reforming the curriculum, implementing academic support measures, and changing the grading policy to ensure that grades are a better predictor of success on the bar exam.

President Votruba explained that this proposal is one piece of a larger effort to hold students as well as faculty accountable regarding quality of instruction and preparedness. Provost Gaston noted that there is a total of seven elements in the comprehensive proposal. Regent Downing requested to see the comprehensive report at a single session so that he can see the other measures that are being taken. Regent Taliaferro raised several questions regarding curricular requirements. President Votruba recommended that this issue be revisited at a later time when the dean and faculty of the College of Law

can address curricular questions in detail. Regent Taliaferro requested that Regents be provided with a list of the bar exam areas and a list of courses that address these areas. Performance data on specific portions of the bar exam could then be compared to these lists to see if there is a correlation.

Presidential Recommendations:

Consent Agenda Items:

Academic Personnel Actions

B-1 President Votruba recommended that the following Academic Affairs personnel actions receive Board of Regents approval:

Administrative Appointment: Michael R. Carrell, Dean of the College of Business and Professor in the Management and Marketing Department, effective July 15, 1998.

Faculty Appointments: Jennifer Bales, Assistant Professor of Law in the Salmon P. Chase College of Law, effective August 19, 1998; Richard Bales, Assistant Professor of Law in the Salmon P. Chase College of Law, effective August 19, 1998; Dennis Davenport, Assistant Professor in the Theatre Department, effective August 19, 1998; and Richard Scott Linder, Assistant Professor in the Mathematics and Computer Science Department, effective August 19, 1998.

Leaves, Unpaid: Timothy Hall, Assistant Professor of Law in the Salmon P. Chase College of Law, unpaid leave without benefits, for the 1998-99 academic year; Carrie McCoy, Associate Professor in the Nursing Department, unpaid leave with benefits, for the 1998-99 academic year; Kristen Pfabe, Assistant Professor in the Mathematics and Computer Science Department, unpaid leave without benefits, for the 1998-99 academic year; and Harriette Richard, Assistant Professor in the Psychology Department, unpaid leave without benefits, for the 1998-99 academic year.

Resignation: J. Thomas Isherwood, Dean of the College of Professional Studies and Associate Professor in the Allied Health, Human Services, and Social Work Department, effective June 30, 1998.

Retirement: Edward P. Goggin, Professor of Law in the Salmon P. Chase College of Law, effective May 15, 1998.

Continued Probation

B-2 President Votruba recommended that the following first-year faculty member receive Board of Regents approval for continued probation for the 1998-99 academic year: Claudia Zaher.

B-3 President Votruba recommended that the following temporary faculty appointment for the 1998-99 academic year receive Board of Regents approval: William R. Jones. **Temporary Faculty**

B-4 President Votruba recommended that Emeritus status for the following individuals receive Board of Regents approval: **Emeritus Status**

Edward P. Goggin, Professor of Law in the Salmon P. Chase College of Law; and Rosella C. Zeiser, Associate Professor in the Nursing Department.

B-5 President Votruba recommended that the amendment of the Handbook for Department Chairs and the Faculty Policies and Procedures Handbook receive Board of Regents approval. **Amendment of Chair and Faculty Handbooks**

B-6 President Votruba recommended that the non-academic personnel actions which occurred between January 1, 1998, and March 31, 1998, receive Board of Regents approval. **Non-Academic Personnel Actions**

B-6a President Votruba recommended that the Board of Regents approve the following administrative personnel action: **Admin. Personnel Action**

Robin J. Crigler, Executive Assistant to the President and Secretary to the Board of Regents, effective June 15, 1998.

B-7 President Votruba recommended that Board authorization be given to the Assistant Vice President for Business Affairs/Treasurer to write off bed debts totaling $82,303.14. **Bad Debt Write-Off**

B-8 President Votruba recommended that the Board authorize the revision of the Administrative Regulations-Governing Regulations University Space Committee definition. **Revision of Admin. Regulations-Governing Regulations**

Regent Downing moved that the consent agenda items be approved. Motion was seconded by Regent Verst, voted on, and passed unanimously.

B-9 President Votruba recommended that the Board of Regents approve the revision of Section E4 of the Personnel Policies and Procedures Manual (Redbook). **Revision of Personnel Policies and Procedures Manual**

President Votruba stated that earlier action by the Board of Regents required a 2 percent annual across-the-board distribution of compensation with the remaining distribution to be based on merit. This recommendation moves NKU to 100 percent merit distribution consistent with the University's compensation strategy. President Votruba announced that the University has created a $410,000 market pool that represents a multi-year process designed to bring the University into closer alignment with market salaries in this metropolitan region. Because it is unlikely that any university can reach full market,

Northern Kentucky University emphasizes the total compensation package which includes salary, benefits, vacation time, and other factors. The budget may not provide this level of funding in future years, but the goal is to make progress year by year.

Regent Malloy stated that there are estimates that selected staff salaries at Northern Kentucky University are 30 percent below market. She believes that staff members are encouraged by the fact that the University has now set forth a strategy to deal with this issue. Three years ago she chaired the Salary Policy Review Task Force. Based on that experience, she believes that staff members support merit-based compensation in principle. Regent Malloy indicated that the main concern over merit-based compensation is that it be administered fairly and uniformly.

Regent Downing moved that the recommendation be approved. Motion was seconded by Regent Verst, voted on, and passed with seven ayes and one nay by Regent Saunders. Regent Malloy abstained.

1998-99 Annual Budget Resolution

B-10 President Votruba recommended that the Board of Regents approve the resolution establishing and approving the fiscal year 1998-99 annual budget for Northern Kentucky University.

President Votruba indicated that the Finance Committee reviewed the budget resolution this morning. Regent Robert Zapp, Chair of the Finance Committee, commented that the budget does an outstanding job of linking strategic planning and the budget process.

Regent Barry Andersen added his support for the budget indicating that it addresses concerns regarding staff and part-time faculty compensation as well as the University's interaction with the community. He stated that a commitment to add new full-time tenure track faculty positions will address the part-time/full-time faculty issue.

Upon a motion by Regent Zapp, seconded by Regent Verst, the recommendation passed unanimously.

Reciprocity Agreements

B-11 President Votruba recommended that the Board of Regents approve the extension of the undergraduate and graduate reciprocity agreements.

President Votruba asked Provost Gaston to comment. Provost Gaston indicated that the first recommendation opens our Business Program at the undergraduate level to southwestern Ohioans and also opens the highly selective UC Honors Business Program to northern Kentuckians.

Upon a motion by Regent Saunders, seconded by Regent Sparks, the recommendation passed unanimously.

B-12 President Votruba recommended that J. Robert Lilly, Professor of Sociology in the Sociology, Anthropology, and Philosophy Department, be awarded a Regents Professorship. **Regents Professor**

Chair Poston thanked Regent Sparks for serving on the Regents Professorship Selection Committee. Regent Sparks indicated that her service on the selection committee was a rewarding experience. Chair Poston encouraged faculty to submit applications for this award so that there will be a broad spectrum of applicants and nominees from the University teaching community.

Upon a motion by Regent Malloy, seconded by Regent Andersen, the recommendation passed unanimously.

B-13 President Votruba recommended that the Board of Regents authorize the University to proceed with demolition of the house and shed located at 304 Marshall Lane. **Facilities Demolition**

President Votruba asked Vice President Carla Chance to comment. Vice President Chance indicated that this property was purchased in 1990. The Anthropology Program currently uses the facility for storage. New space that is closer to the campus has been found to accommodate their needs.

Upon a motion by Regent Sparks, seconded by Regent Zapp, the recommendation passed unanimously.

Other:

Policy Committee: **Policy Committee**

Regent Downing reported that the Policy Committee met at 10:30 a.m. today and discussed the six strategic planning task forces that have been established and their goals and priorities for the next five years. The discussion included compliance with the Council on Postsecondary Education. Regent Downing also indicated that an excellent discussion occurred regarding whether the University will manage or cope with growth.

Finance Committee: **Finance Committee**

Regent Zapp reported that the Finance Committee met at 9:30 a.m. today to review the 1998-99 Annual Budget Resolution. The Committee found the budget to be outstanding.

Chair Poston announced that he has asked Regents Downing, Verst, and Zapp to serve on the Compensation Committee, which will review the President's compensation. He asked Regent Downing to serve as chair and requested that the committee meet prior to the next Board meeting

to plan an agenda. The Board will review the committee's recommendation at the September meeting and take appropriate action.

Chair Poston also indicated that he has asked Regents Sparks, Taliaferro and Zapp to serve on the Nominating Committee, which will recommend Board officers. Regent Zapp will chair the committee and report at the July meeting.

Executive Session:

Executive Session

Upon a motion by Regent Sparks, seconded by Regent Taliaferro, the Board convened in Executive Session at 3:28 p.m. to discuss a litigation item.

The Board reconvened in open session at 3:54 p.m.

Adjournment:

The meeting adjourned at 3:55 p.m.

Paula A. Brickler
Paula A. Brickler, Administrative Secretary
Office of the President

Alice S. Sparks
Alice S. Sparks, Secretary

I, Alice S. Sparks, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on May 6, 1998, and that such matters are still in force and effect.

Alice S. Sparks
Alice S. Sparks, Secretary

6:00 p.m., August 11,1998 - Dinner at the President's Home
10:00 a.m., August 12, 1998 - Joint Finance Committee/Policy Committee Meeting
Main Gallery - 324 Fine Arts Center
12:30 p.m., August 12, 1998 - Luncheon with Vice Presidents
Presidential Dining Room - 105 University Center

Agenda - Revised
Northern Kentucky University
Wednesday, August 12, 1998 - 2:00 p.m.
Main Gallery - 324 Fine Arts Center

Call to Order and Invocation
Oath of Office - Regent Mary Alice D. Hasty and Student Regent Gregory M. Mecher
Roll Call and Welcome
Approval of Minutes May 6, 1998 Regular Meeting

Presentation on Programs of Excellence - Paul Gaston and Rogers Redding

Presidential Comments

A. Presidential Reports
1. Grants and Contracts Quarterly Report
2. SACS Report
3. Quarterly Facilities Management Report
4. Quarterly Financial Report
5. Academic Affairs Reorganization
6. Emergency Sick Leave Bank Report

B. Presidential Recommendations
1. *Academic Affairs Personnel Actions
2. *Temporary Faculty Appointments
3. *Emeritus Status
4. *Non-Academic Personnel Actions
5. *Administrative Personnel Actions
6. *University Fire Service Contract
7. Faculty Policies and Procedures Handbook 1994 Amendment
8. Kentucky Law Enforcement Foundation Incentive Fund Program
9. TIAA-CREF Resolution Revision

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling the Office of the President, 572-5123, by 2 p.m., Monday, August 10, 1998.)

C. Other
1. Nominating Committee
2. Quarterly and Special Meeting Schedule
October 7, 1998
December 2, 1997
January 27, 1999
March 31, 1999
May 5, 1999
July 14, 1998
3. Council on Postsecondary Education Meeting Schedule
August 27, 1998
September 14, 1998
September 27/28, 1998 (Governor's Conference on Trusteeship)
November 8/9, 1998
4. Upcoming Activities
August 25, 1998 - Fall Convocation
September 1, 1998 - Cincinnati Pops Orchestra Concert
September 1 and 2, 1998 - Board of Regents Retreat
December 19, 1998 - Winter Commencement
April 20, 1999 - Lincoln Awards Dinner
May 15, 1999 - Spring Commencement

AUG 12 1998

Board of Regents Meeting
August 12, 1998

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 2:05 p.m. on Wednesday, August 12, 1998, by Chair James R. Poston, Jr. The invocation was given by NKU Junior Bryan Cobb, majoring in Middle Grades Education.

Roll Call: Barry Andersen, Frank Downing, Mary Alice D. Hasty, Martha Malloy, Gregory Mecher, James Poston, Alice Sparks, Philip Taliaferro, William Verst, and Robert Zapp (Excused - Kathryn Hendrickson).

Others Present: President James Votruba, Elzie Barker, Elizabeth Blincoe, Carla Chance, Paul Gaston, William Nester, Mark Shanley, Robin Crigler, Sonda Cummins, Paula Brickler, Lilla Losey, Joe Green, Linda Bray-Schafer, Bill Hoeb, Linda Baxter, Michael Murray, Don McKenzie, Michael Carrell, Michael Thomson, Paul Reichardt, Robert Appleson, Charles E. Frank, Gerald Hunter, Joe Ruh, Angela Schaffer, Bill Lamb, Arden Geers, Marilyn Gosney, Bryan Cobb, Mary Ryan, Catherine Tsai - Cincinnati Enquirer, Rosetta Mauldin, Gary Graff, Rogers Redding, Cheryl Nunez, Clifford Shisler, Gina Holt - The Recorder newspapers, Claire Newman, Russ Kerdolff, Mike Baker.

The Oath of Office to incoming Regents, Mary Alice D. Hasty and Gregory M. Mecher, was administered by Chair Poston. The reappointment of Regent Alice S. Sparks for six years was also announced.

The minutes of the May 6, 1998, regular meeting were accepted as presented with no changes.

President Votruba commented on House Bill #1, concerning the Council on Postsecondary (CPE) Education. He asked that the Board of Regents, deans, chairs, faculty, staff, students, and community work together to develop a set of programs related to the overall strategic planning for the University to submit to the CPE for funding.

Presentation on Programs of Excellence:

Provost Gaston gave an overview of the statewide framework regarding House Bill #1. He commented that the CPE passed new guidelines for programs of excellence. He indicated that Eastern Kentucky University, Western Kentucky University, and Murray State University have come forward with proposals that have been approved by Gordon Davies of the CPE.

Dean Redding discussed task forces created to identify programs for funding, including a Center for Excellence in Mathematics and Science.

Presidential Comments:

- For this year and for the next several years, the University will work to implement our vision. Strategic Planning translates this vision to reality, and it will represent the consensus of the University as a whole.

- The planning for the Science Center is progressing on time and within budget.

- Chase College of Law will embark on a strategic planning process this year, similar to the process used during "Vision, Values and Voices" last Fall.

- The offer of full tuition, room, and board scholarships to 40 area students who scored 29 or above on their ACT exam resulted in 29 of these students choosing NKU. Nationally, these students represent the top 5 percent of those who took the ACT exam.

- The Admissions Office was commended for enrollment at both the graduate and undergraduate levels exceeding targets for Fall 1998.

- Enrollment at our Grant County site is growing. The next Board of Regents meeting will be held there on October 7, 1998 at 2:00 p.m.

- The University is in the process of enhancing the Honors Program. Renovation of the President's Home is in the study phase to accommodate housing for the Honors Program.

- The Residence Halls are operating at a peak level.

- The three new major gift officers were recognized: Linda Baxter, William Hoeb, and Mike Murray.

- The search has begun for the Assistant Vice President and Director of Development position.

- A welcome was extended to the following newly appointed individuals: Dr. Barbara Holland, Associate Provost for Strategic Planning and Outreach; Dr. Mark Shanley, Vice President for Student Affairs and Enrollment Management; Mr. Don McKenzie, Director of Public Safety; Dr. Michael Carrell, Dean of Business; Dr. Rosetta Mauldin, Acting Dean of Professional Studies; and Ms. Lilla Losey, Administrative Assistant to the President's Office.

- A search has begun for a new Assistant Vice President for Community and Government Relations. This individual will be a prime liaison with Frankfort and with this metropolitan area.

- Personal greetings were extended to new Regents Mary Alice D. Hasty and Gregory Mecher and to Alice Sparks on her reappointment.

- A special thanks was extended to Chair James Poston for his willingness to devote considerable time and energy to both the University and its new President.

- As the University moves into 1998-99, there will be a strong Board/President partnership.

A. **Presidential Reports:**

1. **Grants and Contracts Quarterly Report:** No comments.

2. **SACS Report:** Provost Gaston reported that the SACS report will represent the University well. Jim McKenny and Robert Appleson will coordinate the September 21, 1998, filing. Regent Andersen thanked everyone responsible for putting the SACS report together and asked that Item "c," regarding new full-time faculty, be kept in the foreground. He then thanked President Votruba for his commitment to new faculty.

3. **Quarterly Facilities Management Report:** Vice President Chance commented on the parking structure completion expected for Fall '99 and on the replacement of the wooden flooring in the Health Center.

4. **Quarterly Financial Report:** President Votruba commented that there were funds available due to refinancing of the Residence Halls.

   Regent Andersen suggested using those funds for instructional equipment for students.

5. **Academic Affairs Reorganization:** With the hire of Vice President Holland, there will be renewed emphasis placed on the University as a metropolitan institution.

6. **Emergency Sick Leave Bank Report:** Vice President Chance stated that access to the Sick Leave Bank will be on a time basis only and will not focus on employees' salaries.

B. **Presidential Recommendations:**

Consent Agenda Items:

A motion was made by Regent Downing and seconded by Regent Zapp to approve the following Presidential recommendations. **Motion passed.**

1. **Academic Affairs Personnel Actions:**

   Administrative Appointments: Margaret Anderson, from Acting Chair and Assistant Professor in the Nursing Department, to Chair and Assistant Professor in the Nursing Department, effective July 1, 1998; Robert E. Collier, Acting Chair and Associate Professor in the Literature and Language Department, effective from July 1, 1998, through June 30, 1999; Daniel Curtin, Interim Associate Dean of the College of Arts and Sciences and Associate Professor in the Mathematics and Computer Science Department, effective July 1, 1998, through June 30, 1999; Charles E. Hawkins, from Professor of Physics in the Physics and Geology Department to Chair and Professor of Physics in the Physics and Geology Department, effective July 1, 1998; Barbara A. Holland, Associate Provost for Strategic Planning and Outreach and Associate Professor with tenure in the School of Education, effective August 1, 1998; Donald R. Kelm, from Professor of the Art Department to Chair and Professor in the Art Department, effective July 1, 1998; Rosetta J. Mauldin, from Professor in the Allied Health, Human Services, and Social Work Department to Interim Dean of the College of Professional Studies and Professor in the Allied Health, Human Services, and Social Work Department, effective July 1, 1998, through June 30, 1999; Anthony J. Mazzaro, from Associate Professor of Social Work in the Allied Health, Human Services, and Social Work Department to Chair and Associate Professor of Social Work in the Allied Health, Human Services, and Social Work Department, effective July 1, 1998; J. Patrick Moynahan, from Associate Professor of Journalism in the Communication Department to Chair and Associate Professor of Journalism in the Communication Department, effective July 1, 1998; Paul F. Reichardt, from Interim Associate Provost and Professor of English in the Literature and Language Department, to Interim Vice Provost and Professor of English in the Literature and Language Department, effective July 1, 1998, through June 30, 1999; Jerald H. Richards, from Professor of Philosophy in the Sociology, Anthropology, and Philosophy Department, to Interim Chair and Professor of Philosophy in the Sociology, Anthropology, and Philosophy Department, effective July 1, 1998, through June 30, 1999; J. Michael Thomson, Interim Director

of Academic Computing and Associate Professor in the Political Science Department, effective from July 1, 1998, through June 30, 1999.

Faculty Appointments: Bruena K. Baine, Assistant Professor in the Art Department, effective August 19, 1998; Simone W. Bess, Assistant Professor in the Technology Department, effective August 19, 1998; James C. Clingermayer, Assistant Professor in the Political Science Department, effective August 19, 1998; Linda Y. Daniels, Assistant Professor in the School of Education, effective August 19, 1998; Jeffrey R. Donaldson, Assistant Professor of Finance in the Economics, Finance, and Information Systems Department, effective August 19, 1998; JoAnn Gomer Ewalt, Assistant Professor in the Political Science Department, effective August 19, 1998; Kathleen Gromley-Hughes, from Assistant Professor (non-tenure track renewable) and Assistant Director of the Local Government Law Center of the Salmon P. Chase College of Law to Assistant Professor (non-tenure track renewable) and Interim Director of the Local Government Law Center of the Salmon P. Chase College of Law, effective July 1, 1998, through August 31, 1998; Kay Nelson Harper, Assistant Professor in the Art Department, effective August 19, 1998; Debra K. Kasel, Assistant Professor in the Allied Health, Human Services and Social Work Department, effective August 19, 1998; Roxanne Kent-Drury, Assistant Professor in the Literature and Language Department, effective August 19, 1998; Dean B. Kiefer, Jr., Assistant Professor of Finance in the Economics, Finance, and Information Systems Department, effective August 19, 1998; Samuel S. Lapin, Lecturer (non-tenure track renewable) and Program Coordinator, Grant County First-Year Program, effective August 19, 1998; Laura L. Padolik, Lecturer (non-tenure track renewable) in the Chemistry Department, effective August 19, 1998; Cady Short-Thompson, Assistant Professor in the Communication Department, effective August 19, 1998; Peter M. Theuri, Assistant Professor in the Accountancy Department, effective January 1, 1999; Karl J. Vogler, Lecturer (non-tenure track renewable) in the Physics and Geology Department, effective August 19, 1998; John J. White, Assistant Professor in the Accountancy Department, effective August 19, 1998.

Leaves, Paid/Unpaid: Daryl L. Orth, Assistant Professor in the Technology Department, half-time unpaid leave with benefits for the 1998-99 academic year; Steven M. Weiss, Professor of Speech in the Communication Department, paid administrative leave with benefits for the 1998-99 fiscal year; Constance C. Widmer, Professor in the School of Education, unpaid leave with benefits for the 1998-99 academic year.

Resignations: David R. Adams, Professor in the Economics, Finance, and Information Systems Department, effective June 17, 1998; Steven McCarthy, Associate Professor of Graphic Design in the Art Department, effective May 18, 1998; Linda Taylor, Assistant Professor (non-tenure track renewable) and Director of the Local Government Law Center of the Salmon P. Chase College of Law, effective July 27, 1998.

2. **Temporary Faculty Appointments**: Temporary faculty appointments for the 1998-99 academic year receive Board of Regents approval: Kimberly Allen-Kattus, Julie Baker (half-time), Anita Douthat (half-time), Gregory A. Dahlem, Elinor Rambo, Beverly E. Donahue, Rowland Brucken, Eric Reginald Jackson, Francois LeRoy, Joel D. Shrock, James Westheider, Lydia Coyle, Tamara Faith O'Callaghan, Michele Peers, Gary Walton, Janalynn S. Anderson, Boris Chudnovsky, Dollena S. Hawkins, Bo Kirby, Scotty L. Thompson, Tom Warth, Tammie L. Gerke, Victoria Beck, Frank H. Edelen, Jr., Jill Shelley, Charyl R. Glover, Timothy D. Murphy, Mary Jo Beresford, Jane Green, Robert P. Miller, Kustim Wibowo, Deatra Sullivan, Yvonne Meichtry, Caron Martin, Majed Dabdoub, Charles Emery, Adam Todd, Roger Adams (Fall semester only), Michael J. Rose (fiscal

year), Ann Harding Whittle (fiscal year), Rhonda Pettit.

3. **Emeritus Status;** President Votruba recommended that Emeritus status for the following individual receives Board of Regents approval: Robert Knauf, Professor in the Music Department.

4. **Non-Academic Personnel Actions:** President Votruba recommended approval of the non-academic personnel actions which occurred between April 1, 1998 and June 30, 1998.

5. **Administrative Personnel Actions:** President Votruba recommended that the following administrative personnel actions be approved:

   Resignation: Fred Otto, Director of Public Safety, effective June 2, 1998.
   Appointments: Don L. McKenzie, Director of Public Safety, effective June 1, 1998; Mark G. Shanley, Vice President for Student Affairs and Enrollment Management, effective August 1, 1998.
   Reassignment: Norleen K. Pomerantz, Assistant Vice President for Student Development, effective August 1, 1998.

6. **University Fire Service Contract:** President Votruba recommended that the Board authorize the administration to renew the University fire service contract with the Campbell County Fire District, Number 2.

7. **Faculty Policies and Procedures Handbook 1994 Amendment:** A motion was made by Regent Andersen and seconded by Regent Zapp to approve the amendment to Part One of the Faculty Policies and Procedures Handbook 1994. The amendment of Article XIV.GRIEVANCES, Section B. PEER REVIEW COMMITTEES, preserves the guarantee of due process for faculty while providing greater opportunity for articulating all sides of an issue. The Faculty Senate approved the amendment of the Faculty Handbook at its May 13, 1998, meeting. The Provost and the President endorse approval of the recommendation. **Motion passed.**

   This amendment of the Faculty Handbook does not affect the Chase College of Law Faculty Policies and Procedures Handbook.

8. **Kentucky Law Enforcement Foundation Incentive Fund Program:** A motion was made by Regent Verst and seconded by Regent Malloy to approve the recommendation that the Board of Regents authorize participation in the incentive fund program provided through the Kentucky Law Enforcement Foundation Program Fund (KLEFPF) and availed by statute through Senate Bill 13 and House Bill 455, approved by the 1998 General Assembly. **Motion passed.**

9. **TIAA-CREF Resolution Revision:** A motion was made by Regent Malloy and seconded by Regent Andersen to approve the recommendation that the Board of Regents authorize the revision of the Northern Kentucky University TIAA-CREF Resolution, Section 2.1 to provide for immediate participation in NKU's TIAA-CREF Program for Eligible Employees on the first of the month following employment if they are participants in a fully vested, fully funded, non-cashable individually owned retirement annuity contract. Otherwise, participating in NKU's TIAA-CREF begins after 90 days. **Motion passed.**

Election of Officers:

A motion was made by Regent Zap and seconded by Regent Sparks to elect the following Regents to the Executive Committee: Frank K. Downing, Chair; Philip Taliaferro III, Vice Chair; and Kathryn B. Hendrickson, Secretary/Treasurer. **Motion passed.**

At 2:50 p.m. a motion was made by Regent Downing and seconded by Regent Verst to call an executive session. **Motion passed.**

The Board of Regents reconvened at 4:35 p.m.

C. **Other:**

Vice President Nester provided the Board with an update on the Two Year Fiscal Report for University Development:

- The number of contributors have increased over 10 percent.
- The Capital Campaign brought in $285,000 less because many of the pledges that were made about 4 or 5 years ago have now been completed. A new Capital Campaign or a series of many Capital Campaigns is planned.
- Alumni contributions have increased by approximately $80,000.
- The Friends of the University category has almost doubled, but that is skewed by a gift of $150,000.
- WNKU — There is an increase of $20,000+.

President Votruba commented that the University would be engaged for the next several months in a management audit of both the University Foundation and Development Offices. Vice President Nester commented that the procedures were in place.

Regent Sparks inquired about the University Foundation's current total of funds. Vice President Nester commented that the Foundation funds totaled $18,000,000 before the recent dip in the stock market.

Chair Poston stated that there were three action items for Presidential Recommendations:

Action Items:

1) A motion was made by Regent Downing and seconded by Regent Taliaferro that the Board of Regents authorize the Administration to negotiate a Memorandum of Agreement with candidate X for the creation of the campus-based student training and employment center. **Motion passed.**

2) A motion was made by Regent Malloy and seconded by Regent Sparks that the institution declare a parcel of University property as surplus and authorize the Administration to work with the State to negotiate a lease or sale of the property consistent with the highest and best use. **Motion passed.**

3) A motion was made by Regent Andersen and seconded by Regent Malloy that the Board of Regents approve an amendment to the 1992 Land Acquisition Study to add a Priority One parcel. **Motion passed.**

Outgoing Chair Poston thanked everyone present for the experience to serve as Chair of the Board of Regents to the University for the past year. Newly elected Chair Downing thanked Regent Poston for his service and leadership during his tenure as Chair of the Board of Regents.

With no further business, outgoing Chair Poston adjourned the meeting at 4:50 p.m.

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

Kathryn B. Hendrickson, Secretary

I, Kathryn Hendrickson, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on August 12, 1998, and that such matters are still in force and effect.

Kathryn B. Hendrickson, Secretary

Agenda
Board of Regents Audit Committee

Wednesday, October 7, 1998
9 a.m. -- Board Room

Call to order

Agenda Items

1. Audited Annual Financial Report for the Year Ended June 30, 1998 (Attachment A)

2. Auditors' Report to the Auditor of Public Accounts (Attachment B)

3. Independent Auditors' Report on Closing Package (Attachment C)

4. External Auditors' Constructive Service Comment Report to Management (report to be distributed at a later date)

5. Report yet to be completed: OMB Circular A-133 Compliance Reports

Adjournment

6:30 p.m, October 6, 1998 - Dinner at the President's Home
9:00 a.m. October 7, 1998 - Joint Meeting of Finance & Audit Committee at NKU
***12:00 noon October 7, 1998 - Luncheon (Grant County Center)**
4-5:30 p.m. October 7, 1998 - Ribbon Cutting and Open House (Grant County Center)

Agenda (*Revised)

Northern Kentucky University
Wednesday, October 7, 1998 - 2:00 p.m.
Grant County Center

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, August 12, 1998 Regular Meeting
Approval of Minutes of Board Retreat, September 1 & 2, 1998

***Presentation: Brenda Wilson, Grant County Higher Education Foundation**

Presidential Comments

President's Annual Report, Annual Financial Report, and Audit Committee Recommendation

A. Presidential Reports
   ***1. Quarterly Financial Report**

B. Presidential Recommendations
   1. * Academic Affairs Personnel Actions
   2. * Temporary Faculty Appointments
   3. * Promotion and Tenure
   4. * Administrative Personnel Actions
   5. * Non-Academic Personnel Actions
   6. * Reorganization of Administrative Affairs and the Office of the Budget
   7. Student Government Constitution (Amendment)

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling the Office of the President, 572-5123, by 2 p.m., Monday, October 5, 1998.)

C. Regent Recommendation
   1. Bylaws Revision

D. Other
   1. Quarterly and Special Meeting Schedule
      December 2, 1998
      January 27, 1999
      March 31, 1999
      May 5, 1999
      July 14, 1999
   2. Council on Postsecondary Education Meeting Schedule
      November 8/9, 1998
   3. Upcoming Activities
      October 9, 1998 - Raymond Lane, Jr. Dedication
      December 19, 1998 - Winter Commencement
      April 10, 1999 - Lincoln Awards Dinner
      May 15, 1999 - Spring Commencement

Board of Regents Meeting
Grant County Center
October 7, 1998

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 2:05 p.m. on Wednesday, October 7, 1998, by Chair Frank K. Downing.

Roll Call: Barry Andersen, Frank Downing, Kathryn Hendrickson, Martha Malloy, Gregory Mecher, James Poston, Jr., Alice Sparks, Philip Taliaferro, William Verst, and Robert Zapp (Excused - Mary Alice Hasty).

Others Present: President James Votruba, Robin Crigler, Lilla Losey, Brenda Wilson, Cliff Wallace, Cheryl Nunez, Marian Winner, Rosetta Mauldin, Gerald Hunter, Elizabeth Blincoe, Les Taylor, Betty Taylor, Charles Frank, Joe Wind, Mike Baker, Sonda Cummins, Carol Horn, Jim Colson, Jim Simpson, Rogers Redding, Barbara Holland, Robert Appleson, Michael Carrell, Shirley Howard, Elizabeth Schneider, Ruth Odor, Jamie Baker-Nantz - Grant County News, Andrea Tortora - Kentucky Enquirer, Barbara Hedges, Darrell Link, John Brill, Ron Ellis, Sally Davis, Glenn Caldwell, Wade Gutman, Representative Royce Adams, Mike Sewell, April Snedegar, Mike Saemann, Bill Hoeb, Michael Murray, Diane Perkins, Linda Davis, Russ Kerdolff, Paul Reichardt, and Dorothy Altman.

The minutes of both the August 12, 1998, regular meeting of the Board of Regents, and the September 1-2, 1998, Board Retreat were accepted as presented with no changes.

Ms. Brenda Wilson, President of the Grant County Higher Education Foundation, and her colleague Mr. Cliff Wallace gave a presentation/proposal on the Williamstown Government and Recreation Center, NKU Satellite Campus Building Site Plan. Ms. Wilson asked Representative Royce Adams to comment on his meeting with the Governor on the proposal.

Presidential Comments:

- NKU is committed to expanding public access to postsecondary education. Over the last year NKU and the leadership of Grant County have turned that vision into reality.
- Along with Grant County, NKU access is being expanded to include the Covington Urban Learning Center (over 100 urban students enrolled) and the partnership with Forward Quest, NKU, and Thomas More.
- Metropolitan Education and Training Services (METS)--This new unit at NKU is designed to meet the education and training needs of area employers by: focusing on the customer; being outcome oriented; bringing programs and services to the market on a just-in-time basis; and brokering worldwide intellectual resources for area employers utilizing advanced technology.
- Council of Partners program--Partnership involving NKU, Thomas More, and the area public and parochial schools to support the enhancement of P-12 education. The Council of Partners has received attention across the state and has been described as a model of collaboration on behalf of P-12 education. This Partnership has been receiving broad attention across the state and is being described as a model of partnership and collaboration on behalf of P-12 education.
- A welcome was given to: Joe Wind, Assistant Vice President for Community and Government Relations; Susan Ahearn, Assistant Vice President and Director of Development; Mike Baker, Interim Vice President for Administration and Finance (new position on the campus); Gerald Hunter, Assistant Vice President and Interim Budget Director.

Chair Downing commented on the annual Trustee Conference he recently attended with the President, stating that it was an excellent, well-attended conference. Because of the leadership and the capital that the Governor is providing for higher education at this time, he was excited to be a part of it.

President's Annual Report, Annual Financial Report, and Audit Committee Recommendation:

Audit Committee Recommendation:
Vice President Chance made the following comments related to the Audit Committee:

- The Board of Regents Audit Committee met jointly with the Board Finance Committee on October 7, 1998.
- Introductions were made for Deloitte and Touche representatives who currently serve the institution and who were in attendance at the Board meeting: Michael J. Sewell, Supervising Audit Partner; April Snedegar, Supervising Audit Senior Manager; and Mike Saemann, Audit Senior.
- Assistant Vice President Mike Baker, Business Affairs/Treasurer, was asked to give a presentation on the Annual Financial Report for 1997-1998, which he developed along with Director Russell Kerdolff and Associate Director Linda Davis of Accounting and Budgetary Control.

Prior to the presentation, Supervising Audit Partner Mike Sewell was asked by Assistant Vice President Baker to comment on the Audit Committee Recommendation. Mr. Sewell responded that the auditors found the University's Financial Statements in good order, with no material findings or weakness to report to the Board as overseers of the University.

NKU's Annual Financial Report for 1997-1998

Presentation by Assistant Vice President Baker:

The highlighted areas in the report included:
- Assets Liabilities and Funds Balances
- Unrestricted Current Fund Balance
- Current Fund Revenues (from the last five to ten years)
- Current Expenditures by Category
- Fund Expenditures by Function

The presentation compared current year data to the previous 4 years. Assistant Vice President Baker highlighted the growth in revenues and expenditures. He discussed the planned growth in fund balance.

Assistant Vice President Baker pointed out that the Residential Village refinancing, as previously approved by the Board of Regents, will save over $746,000 over the life of the debt. However, the University was required to accelerate payments which negatively impacted the auxiliary fund balance this year. This was mentioned to alert the Board that this was only a one-time occurrence and not a trend.

A motion was made by Regent Verst and seconded by Regent Zapp to approve the University's audited 1998 Annual Financial Report. **Motion carried.**

A. 1. **Quarterly Financial Report: (July 1, 1998 - September 30, 1998)**

Vice President Chance indicated that the financial condition of the University was within the budget.

The report was presented in the same format as that of the previous quarter and the prior year. The schedules included were:

1. Unrestricted Revenues, Budgeted and Actual
2. Unrestricted Expenditures by Function and Account Category, Budget and Actual
3. Restricted Expenditures by Function, Budgeted and Actual
4. Active Capital Construction Projects
5. Bonds Payable
6. Current Investments

B. **Presidential Recommendations:**

Consent Agenda Items:

A motion was made by Regent Hendrickson and seconded by Regent Poston to approve the following Presidential Recommendations as listed below (1-6). **Motion carried.**

1. **Academic Affairs Personnel Actions:**

Faculty Appointments: Conard Carroll, Lecturer (non-tenure track renewable) in the Literature and Language Department, effective August 19, 1998; Irene F. Encarnacion, Lecturer (non-tenure track renewable) in the Literature and Language Department, effective August 19, 1998; Nancy D. Kersell, Lecturer (non-tenure track renewable) in the Literature and Language Department, effective August 19, 1998; Toni Sholar, Lecturer (non-tenure track renewable) in the Music Department, effective August 19, 1998; Linda Welker, Assistant Professor in the Communication Department, effective August 19, 1998.

Leaves, Paid/Unpaid: Ken Jones, Associate Professor in the Theatre Department, unpaid leave without benefits for the 1999 spring semester.

Resignations: Roger C. Adams Temporary Lecturer in the W. Frank Steely Library, effective August 31, 1998; Esther Green-Merritt, Assistant Professor in the School of Education, effective July 31, 1998; Andrew Kipnis, Assistant Professor in the Sociology, Anthropology and Philosophy Department, effective December 31, 1998; Rhonda Pettit, Temporary Lecturer in the Grant County Program, effective August 19, 1998; David C. Short, from Professor of Law and Dean of the Salmon P. Chase College of Law to Professor of Law in the Salmon P. Chase College of Law, effective June 30, 1999.

Retirement: Marion C. Winner, Professor and Director of the W. Frank Steely Library, effective June 30, 1999.

2. **Temporary Faculty Appointments:** Temporary faculty appointments for the 1998-99 academic year received Board of Regents approval: Eric Hugo, Amy Racke, Daniel LaBotz, Darlene McElfresh, Andrew Miller, Virginia Paddock (fall semester), Nina Key, Sharmanthie Fernando, S. Srinivasan, Sharon Glazer, Brian Robertson (fall semester), Joyce B. Bauer, Jerry Call, Barbara Hamilton, Margaret

Anne Kraemer, Shashi Sathe, Tara Rosselot-Durbin, Gregory Walsh (fall semester), Charles Lowe, Kelly Pitocco (fall semester), Robert Ashe, Mary Carmen Cupito, Ann Taylor, Pamela Tyahur (fall semester), Michelle Crowley, Gary Eippert, Kim Hitchcock, Toni Keller, Lawrence McKenney, Joann Ortiz, Beverly Teaney, Ernscia Torbert (fall semester), Denise Gormley, Matt Birkenhauer.

3. **Promotion and Tenure:** The following faculty member received tenure and promotion beginning with the 1998-99 academic year: Margaret M. Anderson, Ed.D, date of initial appointment: 1994; Current Rank: Assistant Professor; Proposed Rank: Associate Professor.

4. **Administrative Personnel Actions:** President Votruba recommended that the following administrative personnel actions receive Board of Regents approval:

Appointments: W. Michael Baker, Interim Vice President for Administration and Finance, effective October 7 1998; Gerald Hunter, Interim Budget Director, effective October 7, 1998; Joseph E. Wind, Assistant Vice President for Community and Government Relations, effective October 5, 1998; Susan K. Ahearn, Assistant Vice President and Director of Development, effective November 2, 1998.

Reassignments: Elzie L. Barker, Special Consultant to the President, effective October 7, 1998, Carla S. Chance, Special Consultant to the President, effective October 7, 1998.

5. **Non-Academic Personnel Actions:** President Votruba recommended approval of the following non-personnel actions which occurred between July 1, 1998 and September 18, 1998: Activations; Temporary/Contract to Regular Status; and Termination of Employees Included in Activation Section.

6. **Reorganization of Administrative Affairs and the Office of the Budget**: President Votruba recommended that the Board of Regents approve the reorganization of Administrative Affairs and the Office of the Budget under the direction of a new position of Vice President for Administration and Finance.

This action consolidates all financial functions under one vice presidency and will provide better efficiencies and effectiveness. This position will oversee all functions currently reporting to the Vice President for Administration and assume responsibilities for the Office of the Budget. The Office of the Budget will continue to work directly with the President's office.

7. **Student Government Constitution (Amendments):** Motion was made by Regent Andersen and seconded by Regent Zapp to approve the following two Amendments as presented below by Regent Mecher. **Motion carried.**

These changes were approved at the last Student Government elections in April, 1998.

Amendment #1: That the Board authorize an amendment to the Student Government Constitution, Article VIII, Section 2.b, to read: "Chairpersons of the major committees of Student Government must have a cumulative grade point average of 2.25 or higher on a scale of 4.0. The Chairpersons must maintain at least a grade point average of 2.25 or are subject to removal from said chair."

Amendment #2: That the Board authorize an amendment to the Student Government Constitution, Article IX, Section 5D, which reads, "The deadline for filing these positions shall be no later than seven

(7) official school days prior to the beginning of elections," be changed to read: "The deadline for filing these petitions shall be no later than fourteen (14) official school days prior to the beginning of elections."

C. Regent Recommendation:

1. **Changes to the Bylaws, Article III**: President Votruba indicated that the recommended changes to the Bylaws, Article III, as stated below not be presented as an action item at this time but for informational purposes only to be introduced for action at the next Board of Regents meeting.

   The recommendation was revised from the original recommendation which included the language, "....individual Board Members and the President. The Executive Assistant to the President and Secretary to the Board of Regents becoming the Secretary/Treasurer in the absence of the Secretary/Treasurer."

   The revised Recommendation reads: The Executive Assistant to the President and Secretary to the Board of Regents shall provide support in the preparation for meetings, serve as a liaison between individual Board Members and the President. The Administrative Assistant to the Office of the President shall record the minutes of meetings of the Board of Regents.

With no further questions on this item, Chairperson Downing stated that this would be an agenda item for the next Board of Regents meeting.

D. Other:

President Votruba commented on the following upcoming activities:

- The next scheduled Board of Regents Meetings: December 2, 1998; January 27, 1999; March 31, 1999; May 5, 1999; and July 14, 1999.
- Council on Postsecondary Education Meeting scheduled for November 8 and 9, 1998.
- The Raymond Lane, Jr. Dedication on Friday, October 9, 1998, at 3:00 p.m., celebrating the Underground Railroad Movement, which links NKU to an important part of American history as well as Northern Kentucky history. The values that are reflected in the Underground Railroad movement compare to the values of NKU as a 21st Century Freedom Train. The "Anointed Voices," a student vocal group will be part of the celebration.
- Winter Commencement, December 19, 1998
- Lincoln Awards Dinner, April 10, 1999
- May 15, 1999, Spring Commencement

President Votruba and the Regents commended Regent Mecher for his efforts, along with the students who assisted him, in raising $1,000 in funds for Murray State University to compensate for their loss due to a recent fire, which included a student death. Regent Mecher stated that the University was in the process of setting up an account to expedite getting the funds to Murray as quickly as possible.

Regent Malloy mentioned the Annual Staff Awards luncheon on Friday, October 9, 1998, at 12:00 noon in Regents Hall. Three awards will be presented by the Regents at the luncheon.

At 2:55 p.m. a motion was made by Chair Downing and seconded by Regent Taliaferro to call an executive session. **Motion carried.**

The Board of Regents reconvened at 3:30 p.m.

Regent Verst commented on the problem of multiple mailings received by the Regents from the University (mail on the same event received both at their residence and workplace).

With no further business, Chair Downing adjourned the meeting at 3:40 p.m.

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

Kathryn B. Hendrickson, Secretary

I, Kathryn Hendrickson, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on October 7, 1998, and that such matters are still in force and effect.

Kathryn B. Hendrickson, Secretary

6:00 p.m. December 1, 1998 - Dinner at the President's Home (Lakeside Park)
10:00 a.m. December 2, 1998 - Joint Meeting of Finance & Policy Committee (AC 830)
12:00 noon December 2, 1998 - Luncheon (President's Dining Room)
2:00 p.m. December 2, 1998 - Board of Regents Meeting (UC Ballroom)

Agenda

Northern Kentucky University
Wednesday, December 2, 1998 - 2:00 p.m.
University Center Ballroom

Call to Order and Invocation (NKU Choir - "Anointed Voices")
Roll Call and Welcome
Approval of Minutes, October 7, 1998 Regular Meeting

Presidential Comments

Presentation by Robert Appleson in recognition of Jennifer Freeman

A. Presidential Reports
   1. Recognition of Ms. Jennifer Freeman (Paul Gaston)
   2. Summary of Grant And Contract Activity - July 1, 1998-September 30, 1998 (Paul Gaston)
   3. Year 2000 Project (Michael Baker)
   4. Northern Kentucky University Health Care Contract (Michael Baker)
   5. Facilities Management (Michael Baker)

B. Presidential Recommendations
   1. * Academic Affairs Personnel Action
   2. * Temporary Faculty Appointments
   3. * Amending the Graduate Reciprocity Agreement with the University of Cincinnati
   4. * Combined units of Media Services and Academic Computing be named Academic Technology and Services
   5. * Administrative Personnel Actions
   6. * Non-Academic Personnel Actions

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Monday, November 30, 1998.)

C. Regent Recommendation
   1. Bylaws Revision

D. Other
   1. Quarterly and Special Meeting Schedule
      January 27, 1999 May 5, 1999
      March 31, 1999 July 14, 1999

   2. Council on Postsecondary Education Meeting Schedule for 1999
      January 25
      March 15
      April 11-12 (Joint meeting in Louisville with the State Board of Education)
      May 17
      July 19
      September 26-27 (Trusteeship Conference)
      November 8

   3. Upcoming Activities
      December 11, 1998 - Holiday Reception (President's Home, Lakeside Park)
      December 19, 1998 - Winter Commencement
      April 10, 1999 - Lincoln Awards Dinner (Metropolitan Club- Covington, KY)
      May 15, 1999 - Spring Commencement

Board of Regents Meeting
University Center Ballroom
December 2, 1998

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 2:07 p.m. on Wednesday, December 2, 1998, by Chair Frank K. Downing.

Invocation was presented by the Northern Kentucky University Choir, "Anointed Voices" under the leadership of Angel Chichester.

Roll Call: Barry Andersen, Frank Downing, Kathryn Hendrickson, Martha Malloy, Gregory Mecher, James Poston, Alice Sparks, Philip Taliaferro (Excused - Mary Alice Hasty, William Verst, and Robert Zapp).

Others Present: James C. Votruba, Sonda Cummins, Marilyn Gosney, Allen Stone, Cliff Shisler, Ken Ramey, Daniel Curtin, Joe Ruh, Gina Holt -- The Recorder newspapers, Donna Redmond, Sara Sidebottom, J. Michael Thomson, Gerald E. Hunter, Mike Baker, Paul Gaston, William Nester, Mark Shanley, Robin Crigler, Cheryl L. Nunez, Rosetta J. Mauldin, Charles E. Frank, Angela Schaffer, Michael Carrell, Jennifer Freeman, Robert Appleson, Marian Winner, James Alford, Matthew Freeman, Freida Hays, Leo Hays, Bill Lamb, Mike Leising, Gary W. Graff, Joseph Wind, Susan Ahearn, Barbara Holland, Mary Paula Schuh, Elizabeth Blincoe and Lilla Losey.

Regent Poston stated that a correction be made to the October 7, 1998, Board of Regents Minutes to include all four NKU Vice Presidents present at the meeting. A change was also made to the motion at the bottom of Pg. 2. The original motion included the language, "A motion was made by Regent Verst and seconded by Regent Zapp to approve the University's audited 1998 Annual Financial Report. Motion carried." The revised motion reads, "A motion was made by Regent Verst and seconded by Regent Zapp to accept the University's audited 1998 Annual Financial Report. Motion carried." With no further corrections or additions to the Minutes, Chair Downing stated that the Minutes be accepted as corrected.

Presidential Comments:

- Campus Safety at the Residence Halls: A break-in was reported over Thanksgiving weekend in the dorm room of a female student. The University Administration has zero tolerance for this type of activity. When the perpetrator is identified, he will be prosecuted to the fullest extent of the law. The University is statistically the safest university in the Commonwealth and plans to retain that status. The State Police were called in to assist the University in the investigation, and additional safety measures are underway at the Residence Halls (review of lock safety, key access, night checks to insure doors are locked, expanding safety lighting, shrubbery trimmed, doubled security patrols, etc.). Regent Mecher was commended for his leadership by inviting the President and several of the Vice Presidents in a "Safety Walk" last month around the Residence Halls.

- The consulting firm of Brailsford & Dunlavey, based in Washington D.C., has been contracted to do the Arena Feasibility Study. This effort has engaged a number of community people along with NKU faculty, staff, and students.

- Collegis, a leading provider of information technology solutions and services to colleges, universities, and community colleges nationwide, was called in to perform an information technology assessment for the University.

- To aid the University in its strategic budgeting process, Dennis Jones from the National Center for Higher Education Management Systems (NCHEMS) will be on campus next week to provide a benchmark of data on organization and management gathered from similar institutions.

- In agreement with Jim Alford, President/CEO of the NKU Foundation, the University has contracted the firm of Ernst & Young to conduct a management audit of the Foundation, Development, and Business Affairs offices. With the anticipation of a substantial increase of private gift development, it is important that our systems, controls, and processes are up to speed in handling these privately secured funds.

- Farewell was given to Interim University Legal Counsel Elizabeth Blincoe, who will be retiring from the University as of December 31, 1998.

- Pending Board of Regents action today, a welcome was given to the following individuals:
  - Sara Sidebottom, the incoming University Legal Counsel.
  - Martha Malloy, Interim Director of the University Human Resources office, formerly known as the Office of Personnel Services. Regent Malloy was commended for her good reputation throughout the Commonwealth for her work as the University's Director of the Career Development Center.
  - Ken Ramey, Interim Assistant Vice President for Business Affairs.

- Acknowledgment/recognition was given to the following individuals:
  - Susan Ahearn, the new Assistant Vice President and Director of Development.
  - Mike Baker, Interim Vice President for Administration and Finance.
  - Gerald Hunter, Assistant Vice President for Administration and Finance and Interim Budget Director. Comments were made on his excellent budget presentation given for the Board earlier in the day.

Resolution:

A resolution was offered recognizing the many contributions of Dr. Roy P. Peterson, who served higher education with distinction as a teacher, administrator, and public official. A motion was made by Regent Sparks and seconded by Regent Poston to accept the Resolution for Dr. Roy P. Peterson. **Motion carried.**

A. **Presidential Reports:**

1. **Recognition of Jennifer Freeman:** Provost Gaston commented on the context of the recognition of Ms. Freeman, a graduate student in our School of Education, stemming from the University's reciprocity agreement. The expansion of the graduate agreement involved Ms. Freeman's efforts. Associate Vice Provost Appleson gave a brief description of Ms. Freeman's contribution to NKU's ability to serve Ohio residents who teach in northern Kentucky. Ms. Freeman was presented with a certificate of appreciation in recognition of her contribution.

2. **Summary of Grant and Contract Activity - July 1, 1998-September 30, 1998:** Provost Gaston made a brief comment on the quarterly report and indicated that the Grant and Contract information would be provided to the Board on a broader timeline rather than on a yearly basis, which will allow the Board a better opportunity for review of this activity. Provost Gaston commented on the increase in funds approved and the decline in terms of proposals submitted for this period. A more aggressive approach will be given to encouragement for proposal writing, and a report will be given on this activity at the next Board Meeting.

3. **Year 2000 Project:** Vice President Baker commented on the report which was done in response to an inquiry at the October 7, 1998 Board meeting. A Task Force has been formed and a report will be given quarterly to the Board until the year 2000 on this activity. The technology units are in good order and the Financial and Human Records are presently compliant for the Year 2000. It is probable that the University's Student

Information System (SIS) should convert in December. Internal Auditor Allen Stone will review the systems for compliance.

4. **Northern Kentucky University Health Care Contract:** In 1998, the Kentucky legislature passed HB 315 which terminated the Kentucky Health Purchasing Alliance. As a result of this action, the Purchasing Office issued a Request for Proposal NS-6-99 soliciting proposals for health insurance coverage for the University's employees. Vice President Baker briefly commented on the termination of the Kentucky Health Purchasing Alliance as of December 3, 1999 and the new contract with PacifiCare, the University's health care provider effective January 1, 1999. Vice President Baker commented on the town meetings held to address the various concerns expressed by University employees pertaining particularly to the increase in employee contribution levels. Because the University went from six health care providers to one, the rates increased with some of the rates being higher than indicated in the report. The University's contribution rate increased 14% which is within the budget.

5. **Facilities Management**: Vice President Baker commended Mary Paula Schuh and Dan Drake for their contribution to the current projects mentioned in the report:
   * Natural Science Building
   * Parking Structure
   * Fire Alarm Modernization
   * New Softball Field
   * New Campus Entrance/Sign
   * Res. Village Network Cabling Project
   * Storage Facility Roof Replacement
   * Herrmann Ctr./NKU Foundation and Alumni Affairs
   * Honors House
   * Red Grooms Sculpture Relocation
   * Lane Mural
   * BEP Plaza Reconstruction
   * NKU Convocation Center
   * Master Plan
   * Deferred Maintenance and Government Mandates 50/50 Match Pool

   Vice President Baker wanted to highlight the Board involvement related to the Master Plan, Item #14, in the Facilities Management report. This process should begin in Spring 1999, and the Board will be kept apprized of the updates on the Master Plan efforts.

   With the recent fire at Murray State University, Chair Downing asked Vice President Baker to comment on Fire Alarm Modernization, Item #3, in the Facilities Management report. Vice President Baker commented that the University was in compliance related to the Residential Village. The University was in compliance prior to the fire at Murray. Working with the Council on Postsecondary Education, NKU was the only university in the state in total compliance because the University was built during a period where sprinkler systems were in place. As a result, NKU has a much higher debt.

B. Presidential Recommendations:

Consent Agenda Items:

A motion was made by Regent Hendrickson and seconded by Regent Mecher to approve the following Presidential Recommendations as listed below (1-6). **Motion carried.**

1. **Academic Affairs Personnel Actions:**

   Administrative Appointment: James O. Luken, from Professor in the Biological Sciences Department to Interim Chair and Professor in the Biological Sciences Department, effective January 1, 1999 through May 15, 1999.

2. **Temporary Faculty Appointments:** Temporary faculty appointments for the 1998-99 academic year received Board of Regents approval: Tsila Evers, School of Education, and Rachel Votruba, School of Education.

3. **Amending the Graduate Reciprocity Agreement with the University of Cincinnati:** The Board approved amending the graduate reciprocity agreement with the University of Cincinnati to allow up to 25 residents of eligible Ohio counties who are full-time K-12 teachers in northern Kentucky to receive reciprocity, effective spring semester 1999 and thereafter, in Master's-level teacher education at Northern Kentucky University. Similarly, the amendment will allow up to 25 residents of eligible northern Kentucky counties who are full-time K-12 teachers in Ohio to receive reciprocity in Master's-level teacher education at the University of Cincinnati.

4. **Combined Units of Media Services and Academic Computing be named Academic Technology and Services**: The Board approved combining units of Media Services and Academic Computing to be named Academic Technology and Services. Following approval of the reorganization of Academic Affairs, teams from the areas affected met during the last few months to examine current and future operations. As a result of the process, the combined team recommended adoption of a new name, Academic Technology and Services (ATS), effective January 1, 1999.

5. **Administrative Personnel Actions:** The following administrative personnel actions received Board approval:

   Appointments: Kenneth H. Ramey, Interim Assistant Vice President for Business Affairs, effective November 1, 1998; and Sara L. Sidebottom, University Legal Counsel, effective December 1, 1998.

6. **Non-Academic Personnel Actions**: The following non-academic personnel actions which occurred between September 19, 1998 and November 13, 1998 received Board approval: Activations; Contract to Regular Status; Reclassifications; Regular to Contract; Temporary to Regular; Promotions; Transfers, Reassignments, Title Changes; and Terminations.

C. **Regent Recommendation:**

1. **Bylaw Revision:**

   President Votruba indicated that this item clarifies the Bylaw revision consistent with Robin J. Crigler's position as Executive Assistant to the President and Secretary to the Board of Regents.

   A motion was made by Regent Taliaferro and seconded by Regent Malloy to adopt the following changes to the Bylaws, Article III, C., to read: "The Executive Assistant to the President and Secretary to the Board of Regents shall provide support in the preparation for meetings, serve as a liaison between individual Board Members and the President. The Administrative Assistant to the Office of the President shall record the minutes of meetings of the Board of Regents." **Motion carried.**

At 2:40 p.m. a motion was made by Chair Downing and seconded by Regent Poston to call an executive session to discuss legal actions involving the University. **Motion carried.**

The Board of Regents reconvened at 3:40 p.m.

Chair Downing reported that various legal issues were discussed during Executive Session with no action taken.

With no further business, Chair Downing adjourned the meeting at 3:45 p.m.

Lilla F. Losey
Administrative Assistant
Office of the President

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Kathryn Hendrickson, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on December 2, 1998, and that such matters are still in force and effect.

Kathryn B. Hendrickson, Secretary

6:00 p.m. January 26, 1999 - Dinner at the President's Home (Lakeside Park)
9:00 a.m. January 27, 1999 - Joint Meeting of Finance & Policy Committee (AC 830)
12:00 noon January 27, 1999 - Luncheon (President's Dining Room)
1:00 p.m. January 27, 1999 - Board of Regents Meeting (UC Ballroom)

Agenda
Northern Kentucky University
Wednesday, January 27, 1999 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, December 2, 1998 Regular Meeting

Presidential Comments

A. Committee Reports

B. Presidential Reports
1. Grant and Contract Activity - October 1, 1998 - December 31, 1998 (Paul Gaston)
2. 1999-2000 Faculty Development Awards: Project Grants (Paul Gaston)
3. 1999-2000 Faculty Development Awards: Summer Fellowships (Paul Gaston)
4. Quarterly Financial Report (Michael Baker)
5. A-133 Audit of Federal Programs (Michael Baker)
6. Facilities Management (Michael Baker)

C. Presidential Recommendations
* 1. Academic Affairs Personnel Actions
* 2. Promotion, Tenure, Continued Probation, and Continued Probation with conditions to be removed.
* 3. Temporary Faculty Appointments
* 4. Sabbatical Leave for the 1999-2000 Academic Year
* 5. Non-Academic Personnel Actions
* 6. Ratification of the 1999-2000 Tuition Rates
* 7. Resolution to delegate to the Executive Committee all action required to authorize and issue bonds to finance a parking structure.
* 8. Resolution to authorize the University Treasurer, W. Michael Baker, to access funds on deposit in the Housing Systems Repair and Replacement Fund.
* 9. Leasing of the second floor area of the Mark R. Herrmann Reception Center.
* 10. Demolition of the house located at 406 Johns Hill Road.
* 11. Amendment to Part One of the Chase College of Law Faculty Policies and Procedures Handbook.
* 12. Amendment to Part One of the Faculty Policies and Procedures Handbook 1994
* 13. Revisions to Regents Professorship Program
14. Comprehensive Schedule of Fees and Charges for Academic Year 1999-2000
15. Environmental Resource Management Center

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, January 26, 1999.)

D. Other
1. Quarterly and Special Meeting Schedule
March 31, 1999
May 5, 1999
July 14, 1999

2. Council on Postsecondary Education Meeting Schedule for 1999
January 25
March 15
April 11-12 (Joint meeting in Louisville with the State Board of Education)
May 17
July 19
September 26-27 (Trusteeship Conference)
November 8

3. Upcoming Activities
April 20, 1999 - Lincoln Awards Dinner (Metropolitan Club- Covington, KY)
May 15, 1999 - Spring Commencement

Board of Regents Meeting
University Center Ballroom
January 27, 1999 - 1:00 p.m.

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at1:07 p.m. on Wednesday, January 27, 1999, by Chair Frank K. Downing.

Invocation was presented by Provost Paul Gaston.

Roll Call: Barry Andersen, Frank Downing, Mary Alice D. Hasty, Kathryn Hendrickson, Martha Malloy, Gregory Mecher, James Poston, Alice Sparks, Philip Taliaferro, and Robert Zapp (Excused - William Verst).

Others Present: James C. Votruba, Gary W. Graff, Cliff Shisler, Robert R. Appleson, Forrest Berkshire - *The Northerner (*campus newspaper), Gina Holt - *The Recorder* newspapers, Andrea Tortora - *The Kentucky Enquirer*, Charles E. Frank, Wes Wright, Nina Thomas, Lowell Schechter, Mark Shanley, Paul Gaston, William Nester, Robin J. Crigler, Gerald E. Hunter, Michael Baker, Sonda Cummins, Rogers Redding, Mary Ryan, Barbara Holland, Sara Sidebottom, Gail Wells, Jerry Warner, Lee Otte, Joe Wind, Susan Ahearn, Joe Ruh, Claire Newman, Charles E. Frank, Stephanie Baker, J. Michael Thomson, Marian Winner, Bill Lamb, Ron Ellis and Lilla Losey.

President Votruba thanked Provost Gaston for giving the invocation and mentioned that it was a special invocation written by Provost Gaston to be used at the Board of Regents meetings.

The minutes of the December 2, 1998, regular meeting of the Board of Regents were accepted as presented with no changes.

Presidential Comments:

- Special thanks were given to all NKU personnel, particularly Physical Plant, Public Safety, and the Office of the Registrar, who worked to keep the campus open and running due to the recent severe weather conditions for students, faculty, and staff.

- Spring registration showed gains from last spring:
  - Headcount is up .2%
  - FTE has increased nearly 1%
  - First-time freshmen increased by 66 students
  - African-American students increased by 21 students or 5%

- Last fall, 30 distinguished scholars were enrolled. All 30 of those students are back this spring semester. Vice President Shanley reported that their average GPA was at 3.63, with eight of those students receiving a 4.0, and roughly 2/3 of those students are in the Honors Program.

- The Honors House will be dedicated later this spring semester.

- The Fidelity Call-In Center will be dedicated on February 2, 1999, at 11:15 a.m. Governor Patton will be on campus to help with ribbon-cutting for that dedication. The campus community was invited to attend. This Center represents the development of a continuing partnership between NKU and Fidelity Investments. The Center will employ approximately 180 of our students. Combined with Delta, approximately 350 NKU students will be working on campus in industries which may offer future opportunities for them.

- With no requests for further information, the Southern Association of Colleges and Schools Commission on Colleges (SACS) gave the University its highest accreditation.

- Recognition was given to Chase College of Law on its accreditation from the American Bar Association

(ABA) and Association of American Law Schools (AALS) this past December. Congratulations and thanks were extended to Dean Short, Associate Dean Schechter and others responsible for this accomplishment. These reports are particularly important to the Law School in its present search for a new Dean.

- The proposal for the Center for Integrative Natural Science and Mathematics (A Program of Distinction) was discussed at the Board Committee meeting earlier that morning. This Center represents our future and will be highly interdisciplinary, involving active hands-on science through faculty research, internships in business and industry, and outreach to P-12 education. This proposal will go to the Council on PostSecondary Education (CPE) as soon as it has been endorsed by the Board of Regents. The proposal will come before the Board at the March 31, 1999 meeting.

  Recognition was given to Provost Gaston, Dean Redding, Chair Wells and all faculty and staff responsible for making this proposal possible.

- The Environmental Resource Management Center will provide support for the Metropolitan region for environmental assessment, environmental plans, in addition to technical assistance and opportunities for our students to work in the real world related to environmental issues. This service enhances our core mission to promote learning among our students. The Center is also a partnership between NKU and the NKU Foundation in terms of funding.

  Recognition was given to Dean Redding and Chair Wells for their work on the proposal. A special recognition was also given to Professor Jerry Warner, Biological Sciences, for his leadership on this proposal.

- University studies currently underway:
  - The National Council on Higher Education and Management Systems (NCHEMS) will be working with the University over the next few months to establish a set of benchmark institutions for the University to make comparisons on budget priorities.
  - Collegis–A consultant organization brought in to help the University organize its information technology. A final report will be given to the University in a few weeks.
  - Arena Study–A consultant for the University will soon have a preliminary report with a final report to follow shortly thereafter.

- Concerning the current search for the Dean of Chase College of Law and the role that the University plays in politics and political correctness, the Kevin Murphy January 7, 1999, article, "NKU discriminates against opinions," and the President's January 25, 1999, response to Mr. Steve Olding, Editor, Recorder Newspapers, were mentioned. Kevin Murphy, Recorder Columnist, is also a local attorney and former head of the Kenton County Republican Party.

- Regent Mecher was asked to comment on Homecoming activities and the upcoming Magic Johnson presentation, "Overcoming the Odds," on February 7, 1999. He encouraged everyone to attend the activities.

- In reference to the recent break-ins at the Residence Halls, the areas around the Residence Halls have been made more secure (shrubbery & low level brush trimmed).

A. **Committee Reports:**

Policy Committee:

Regent Taliaferro, Committee Chair, reported that President Votruba (team leader) with the team members of Provost Gaston, Dean Redding, Associate Provost Holland, Chair Wells, Dr. Luken made spectacular presentations on both the Center for Integrative Natural Science and Mathematics (A Program of Distinction) and

Environmental Resource Management Center proposals. Regent Taliaferro mentioned Chair Downing's statement made during the earlier Committee meeting that these two proposals were really "a new way of delivering a product and a new product line." Regent Taliaferro agreed with this statement.

- The Program of Distinction – funding will entail 1.5 million recurring.
- Environmental Resource Management Center – funding will entail approximately $100,000 in University funds in combination with $100,000 in Foundation funding.

A motion was made by Regent Sparks and seconded by Regent Malloy to approve and submit to the Council for Postsecondary Education the proposal for the Center for Integrative Natural Science and Mathematics, "A Program of Distinction." **Motion carried.**

Finance Committee:

Regent Zapp, Committee Chair, reported that the school fees and charges were reviewed for the upcoming year. Budget Director Gerald Hunter and Interim Vice President Baker were congratulated for their explanation to the Board on the modest fee increases.

B. Presidential Reports:

1. **Grant and Contract Activity:** Provost Gaston indicated that there was an increase in funding and proposals as indicated in the report. Modest changes in this area from quarter to quarter can have dramatic results. There will be negative factors influencing this report over the next six months to one year. The failure of Congress to fully re-authorize the Carl Perkins Act may mean the University will lose funding for the ReEntry Center. Provost Gaston indicated that the level of proposal writing on behalf of the faculty and staff was increasing, particularly among the newest faculty.

2. **1999-2000 Faculty Development Awards: Project Grants:** Provost Gaston did not have anything to add to this report and indicated that this was a responsible process and felt that the faculty members do a terrific job in responding to the objectives set forth.

3. **1999-2000 Faculty Development Awards: Summer Fellowships:** Provost Gaston did not have additional information to add to this report.

4. **Quarterly Financial Report:** Interim Vice President Baker indicated that the University was within the budget and is consistent with years past.

5. **A-133 Audit of Federal Programs:** Interim Vice President Baker reported that this was a very detailed yearly audit of Federal programs which included the Office of Financial Aid, Office of Grants and Contracts, and the Office of Accounting and Budgetary Control, among others. Those offices have received a very thorough review with no findings.

6. **Facilities Management:** Interim Vice President Baker stated that this report was very consistent with what was reported at the December 2, 1998, Board meeting. Significant progress is being made with several University groundbreakings in the next six months. Chair Downing asked about a tentative groundbreaking date for the Parking Structure. Interim Vice President Baker indicated that the Parking Structure groundbreaking was planned for this coming March and sometime this summer for the Science Center. President Votruba asked for a date on the Science Center as early as possible.

   Regent Mecher asked about the status on the new paving for parking behind the Residence Halls. Interim Vice President Baker stated that there are two additions to parking which should be open in the Fall.

C. **Presidential Recommendations:**

Consent Agenda Items:

A motion was made by Regent Poston and seconded by Regent Zapp to approve the following Presidential Recommendations as listed below (1-13). **Motion carried.**

1. **Academic Affairs Personnel Actions:**

Administrative Appointment: Jerald H. Richards, from Interim Chair and Professor of Philosophy in the Sociology, Anthropology, and Philosophy Department to Chair and Professor of Philosophy in the Sociology, Anthropology, and Philosophy Department, effective January 1, 1999.

Faculty Appointment: Craig Agneberg, Associate Professor in the Technology Department, effective January 1, 1999.

Resignation: Jack O'Gorman, Assistant Professor in the W. Frank Steely Library, effective January 22, 1999.

Retirements: Lynn Langmeyer, Professor in the Management and Marketing Department, through the Retirement Incentive Program, effective with the 2000-2001 academic year; Janet Miller, Professor in the School of Education, through the Retirement Incentive Program, effective with the 1999-2000 academic year; Vincent G. Schulte, Associate Professor in the Psychology Department, through the Retirement Incentive Program, effective with the 1999-2000 academic year; Robert L. Seaver, Professor in the Chase College of Law, through the Retirement Incentive Program, effective with the 1999-2000 academic year; Janis L. Taylor, Associate Professor in the Communications Department, through the Retirement Incentive Program, effective with the 1999-2000 academic year; and Constance C. Widmer, Professor in the School of Education, through the Retirement Incentive Program, effective with the 1999-2000 academic year.

2. **Promotion, Tenure, Continued Probation, and Continued Probation with Conditions to be Removed:**

Promotion for the 1998-1999 Academic Year: Lewis E. Workman
Promotion for the 1999-2000 Academic Year: Steven Finke, Robert W. Wilcox, Thomas H. Leech, Maria Falbo-Kenkel, Edward C. Chang, George D. Goedel, Stephen C. Richards, Terry D. Powell, George R. Aldhizer, Fred M. Beasley, Banwari Mittal, Trina L. Koscielicki, and Niaz M. Latif.

Tenure for the 1999-2000 Academic Year: Steven Finke, Robert W. Wilcox, Paige B. Byam, Thomas H. Leech, Stephen C. Richards, Terry D. Powell, George R. Aldhizer, Fred M. Beasley, Trina L. Koscielicki, and Robert A. Langenderfer.

Continued Probation for the 1999-2000 Academic Year: Lisa Jameson, Laura Rosenfeld, Kristine Yohe, Gina Foletta, Kristin Pfabe, Carol Dunevant, Steven Dent, Maria Falbo-Kenkel, Shamima Ahmed, Edward Chang, Douglas Krull, Yushi Li, Shailendra Verma, Rebecca White, Stephanie Barnes, James Roeder, Susan Cantrell, Jon Draud, Michele Roszmann-Millican, Carol Ryan, Allen Seed, Nancy Batchelor, Ann Dollins, Mary Gers, Louise Niemer, Jihad Albayyari, Daryl Orth, Harold Wiebe, David Franklyn, Timothy Hall, Claudia Zaher, and Donna Smith.

Continued Probation with Conditions to be Removed for the 1999-2000 Academic Year: Lisa Elderbrock, Patricia Knox, Deborah Pressly, Robert Brautigan, Ruth Champion, and Edward Brewer.

3. **Temporary Faculty Appointments for the 1999 Spring Semester:** Lee Otte (Visiting Lecturer, effective February 1 to May 15, 1999), Paul Gooden, Virginia Paddock, Gregory Bigot, Rebecca Hawkins, James Nicholson, Kelly Pitocco, Pamela Tyahur, and Ernescia Torbert.

4. **Sabbatical Leave for the 1999-2000 Academic Year:** Michael C. C. Adams, one semester; David Bishop, one semester; Kevin Booher, academic year; Stephen Boyd, one semester; Jerry Carpenter, one semester; Thomas Cate, one semester; Kent Curtis, one semester; Tripta Desai, one semester; Steven Finke, academic year; Carol Furnish, one semester; Diane Gronefeld, one semester; Frances Mosser, one semester; Robert Naczi, one semester; Blas Puente-Baldoceda, one semester; Paul Schloemer, one semester; Leslie Turner, one semester; and Gail Wells, one semester.

5. **Non-Academic Personnel Actions:** President Votruba recommended approval of the following non-personnel actions which occurred between November 14, 1998, and January 13, 1999: Activations; Contract to Regular Status; Temporary to Regular; Promotions; Transfers, Reassignments, Title Changes; and Terminations.

6. **Ratification of the 1999-2000 Tuition Rates:** President Votruba recommended approval to ratify the 1999-2000 tuition rates set forth as established and mandated by the Council on Postsecondary Education. The rates become effective for the Fall 1999 semester.

7. **Resolution to delegate to the Executive Committee all action required to authorize and issue bonds to finance a parking structure:** This item was pulled from the Consent Agenda items by Interim Vice President Baker to be considered as a separate action item. The Resolution would delegate to the Executive Committee all action required to authorize and issue bonds to finance a parking structure and to advance refund certain outstanding Consolidated Educational Buildings Revenue Bonds (CEBRB) Series F and Series G as economically feasible. A motion was made by Regent Zapp and seconded by Regent Hasty to approve the Resolution as presented. **Motion carried.**

8. **Resolution to authorize the University Treasurer, W. Michael Baker, to access funds on deposit in the Housing Systems Repair and Replacement Fund:** This resolution will fund up to $120,000 for the purpose of major repairs to the Housing System. The Treasurer shall not reduce the fund below the required minimum balance of $200,000. These funds are needed for extraordinary repairs to the system that were not anticipated nor provided for in the University's budget. These repairs are necessary in order to protect the bondholders' investment in the system. This action is in accordance with the provisions of Section 6.07 of the bond indenture. The University shall implement a plan to restore the Housing Systems Repair and Replacement Fund to the required funding level of $348,140 within a four-year period from the date of withdrawal.

9. **Leasing of the second floor area of the Mark R. Herrmann Reception Center:** President Votruba recommended that the Board of Regents approve the leasing of the second floor area of the Mark R. Herrmann Reception Center.

10. **Demolition of the house located at 406 Johns Hill Road:** President Votruba recommended that the Board of Regents authorize the University to proceed with demolition of the house located at 406 Johns Hill Road.

11. **Amendment to Part One of the *Chase College of Law Faculty Policies and Procedures Handbook*:** President Votruba recommended that the Board of Regents approve the amendment to Part One of the *Chase College of Law Faculty Policies and Procedures Handbook.* The amendment of Article XIV. GRIEVANCES, Section B. PEER REVIEW COMMITTEES preserves the guarantee of due process for faculty while providing greater opportunity for articulating all sides of an issue. The Chase College of Law Faculty approved the amendment of the *Chase Faculty Handbook* at its December 9, 1998, meeting, and the Dean and the Provost endorse approval of the recommendation.

12. **Amendment to Part One of the *Faculty Policies and Procedures Handbook 1994***: President Votruba recommended that the Board of Regents approve Part One of the *Faculty Policies and Procedures Handbook 1994.* The amendment of Article XIII, FACULTY WORKLOAD POLICY, responds to concerns expressed by SACS in connection with its standards on Externally Funded Grants and Contracts. The standards call for "a clear policy concerning a faculty member's division of obligations between research and other academic activities," and ensuring "that this policy is published in such documents as the faculty handbook and made known to all faculty members." The Faculty Senate approved the amendment of the *Faculty Handbook* at its November 23, 1998, meeting.

13. **Revisions to Regents Professorship Program:** President Votruba recommended that the Board of Regents approve the amended statement governing the Regents Professor Program. The Board of Regents established the Regents Professor program on May 9, 1990. Because the initial policy offered no guidelines for its reference to "renewal beyond the initial six year appointment," the Board passed on November 20, 1996, a brief amendment governing renewal. The resulting policy creates several anomalies, the most prominent of which is that a highly demanding process leading to reappointment confers no benefits on the reappointed faculty member. The amended policy reflects close consultation among the President, the Provost, and current Regents Professor appointees. It enables those appointed Regents Professor to retain the title for the duration of their tenure at the University but requires those seeking renewal of reassigned time benefits beyond the initial six-year appointment period to apply for such benefits through a separate process requiring committee review and Board of Regents approval. The applicable criteria for renewal will be those established by the Board of Regents for initial appointment.

14. **Comprehensive Schedule of Fees and Charges for Academic Year 1999-2000:** At the Regents Finance Committee meeting earlier that day, the decision was made to pull the "Invest for Success Initiative" section as an action item for this Board of Regents meeting. The student leaders needed more time (30 or 40 days) to review the initiative. This Initiative may be introduced as an action item at a special session or at the next Board meeting on March 31, 1999. A motion was made by Regent Zapp and seconded by Regent Hendrickson to approve the Recommendation, C-14, with the exception of the "Invest for Success Initiative." **Motion carried.**

15. **Environmental Resource Management Center:** President Votruba and Chair Downing both indicated that they were very pleased with this proposal.

    The Environmental Resources Management Center would provide environmental education, research, consulting, and community services by involving NKU students, faculty, and a staff of experienced professionals in providing technical and regulatory assistance related to the region's environmental needs and issues. The Center will create partnerships with business and government across the Greater Cincinnati/Northern Kentucky region that involve grants, contracts, publications, forums and other research and education programs. The Center's activities will help prepare students to enter the environmental sciences and services work force, and will further expand NKU's contributions to the sustainability of a healthy regional economy and livable community. It is designed to be self-supporting within two years.

    A motion was made by Regent Malloy and seconded by Regent Andersen to approve the resolution as presented.

    Regent Andersen congratulated the people who worked very hard to put both proposals together. He felt that these proposals will have every opportunity to succeed and will enhance the stature of the University, both internally and externally. With no further discussion, **motion carried.**

Dean Redding introduced Dr. Lee Otte, the University's new Director of the Environmental Resource Management Center.

Chair Downing introduced Wes Wright, Director of Constituency Services with Representative Ken Lucas and an NKU alum.

At 1:55 p.m. a motion was made by Regent Mecher and seconded by Regent Andersen to call an executive session to discuss legal actions involving the University.

The Board of Regents reconvened at 2:25 p.m.

With no further business, Chair Downing adjourned the meeting at 2:30 p.m.

Lilla F. Losey
Administrative Assistant to the
President's Office

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Kathryn Hendrickson, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its quarterly meeting held on January 27, 1999, and that such matters are still in force and effect.

Kathryn B. Hendrickson, Secretary

6:00 p.m. March 30, 1999 - Dinner at the President's Home (Lakeside Park)
9:00 a.m. March 31, 1999 - Joint Meeting of Finance & Policy Committee (AC 830)
11:15 a.m. March 31, 1999 - Executive Committee (AC 800)
11:30 a.m. March 31, 1999 - Luncheon (President's Dining Room)
1:00 p.m. March 31, 1999 - Board of Regents Meeting (UC Ballroom)
(Regents--Please respond by calling 572-5123 if you will be <u>unable</u> to attend any of the above events.)

AGENDA

Northern Kentucky University
Wednesday, March 31, 1999 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, January 27, 1999 Regular Meeting

Presidential Comments

A. Committee Reports

Finance Committee - Robert Zapp
Policy Committee - Philip Taliaferro

B. Presidential Reports
1. Facilities Management (Mike Baker)

C. Presidential Recommendations
*1. One-Year Qualitative Waiver of Limitations under the Provisions of Administrative Regulation 13 KAR 2:060, implementing the Kentucky Plan for Equal Opportunities
*2. 1998-2004 Six Year Capital Plan
*3. Non-Academic Personnel Actions
*4. Administrative Personnel Actions
*5. Academic Affairs Personnel Actions
*6. Promotion beginning with the 1999-2000 Academic Year
*7. Continued Probation for the 1999-2000 Academic Year for the First-Year Faculty
*8. Temporary Faculty Appointments for the 1999 Spring Semester
9. Fee enhancement to fund the "Invest in Success Initiative"
10. A New Program, Master of Education in the College of Professional Studies, School of Education, as outlined in the accompanying proposal, be approved for initiation during the summer of 2000.
11. Fiscal year 1990-00 Annual Budget for Northern Kentucky University

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, March 30, 1999).

D. Executive Session to Discuss Legal Issues

E. Other
1. Quarterly and Special Meeting Schedule:
May 5, 1999
July 14, 1999

2. Council on Postsecondary Education Meeting Schedule for 1999:
April 11-12 (Joint meeting in Louisville with the State Board of Education)
May 17
July 19
September 26-27 (Trusteeship Conference)
November 8

3. Upcoming Activities:
April 17, 1999 - 9th Annual Walk for NKU Women's Athletics
April 20, 1999 - Lincoln Awards Dinner (Metropolitan Club- Covington, KY)
May 6, 1999 - Foundation Annual Board of Directors Meeting (Information forthcoming)
May 15, 1999 - Spring Commencement

Board of Regents Meeting
University Center Ballroom
March 31, 1999

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:05 p.m. on Wednesday, March 31, 1999, by Chair Frank K. Downing.

The invocation was given by Chair Downing.

Roll Call: Barry Andersen, Frank Downing, Mary Alice D. Hasty, Kathryn Hendrickson, Martha Malloy, Gregory Mecher, Alice Sparks, Philip Taliaferro, and William Verst (Excused - James Poston and Robert Zapp).

Others Present: James C. Votruba, Cliff Shisler, Gerald E. Hunter, Robin Crigler, Michael Baker, Paul Gaston, Mark Shanley, William Nester, Angie Gabbard, Angela Schaffer, Mary Ryan, Marilyn Gosney, Sara Sidebottom, Cheryl Nunez, Debbie McKinney, Joe Wind, Ron Ellis, Joe Ruh, Robert Neely, Stephanie Baker, Bill Lamb, Allen Stone, Charles Frank, Jenifer Kues, Andrea Tortora - *The Kentucky Enquirer*, Russ Kerdolff, Jim Alford, Gina Holt - *The Recorder* newspapers, Lowell Schechter, Mike Carrell, David Short, Daniel Curtin, Rosetta J. Mauldin, Gary Graff, Debra Ann Vance - *The Kentucky Post*, J. Michael Thomson, Paul Riechardt and Lilla Losey.

The minutes of the January 27, 1999, regular meeting of the Board of Regents were accepted as presented with no changes.

President Votruba made the following points:

- The passage of Federal Title IX legislation twenty-seven years ago, which mandated gender equity in intercollegiate athletics, has had profound impact on the quality and scope of women's participation in athletics. The current level of funding and competitiveness of women's athletics at NKU represent a great example of that movement;
- NKU Student Government made a commitment a year ago to redirect the athletic fee to put all NKU athletic teams on a level playing field financially and to achieve gender equity; and
- The University is proud of the fact that NKU is closer today to attaining gender equity in athletics than the vast majority of other institutions in this region and is one of the few universities in the nation close to reaching gender equity.

In honor of our female athletes' accomplishments, President Votruba introduced: Jane Meier, Director of Intercollegiate Athletics; Nancy Winstel, Associate Director III/Women's Basketball Coach and Mary Biermann, Women's Volleyball Coach. Two resolutions were presented by President Votruba for outstanding achievement and performance in women's athletics for the 1998/99 season in volleyball and basketball. A motion was made by Regent Sparks and seconded by Regent Malloy to approve the resolutions as presented. **Motion carried.**

Vice President Baker introduced Patrik Bowman from NBBJ who gave the Board an overview of the University's Master Plan. Mr. Bowman reviewed the various stages of the Campus Master Plan and highlighted the time frame.

Mr. Bowman indicated that he will review the Master Plan at the January 2000 Board of Regents meeting and that an update of the Master Plan is needed every five years.

Vice President Baker mentioned that the Regents will be involved with the Master Plan process at various stages. At the May 5, 1999 Board of Regents Committee meeting there will be an opportunity for the Regents to offer their vision of the University.

President Votruba mentioned that the University has greater facility utilization than any other university in the Commonwealth. We will be working to provide a warm, inviting, and aesthetically pleasing campus. It is important for the Board to present their views on these issues.

Chair Downing introduced Ms. Debbie McKinney, State Director for Senator Jim Bunning's Office, who reported that Senator Bunning has written letters of request on behalf of the University to the Education Appropriations Committee to restore the Fund for Improvement on Postsecondary Education (FIPSE).

Presidential Comments:

- At the January 27, 1999, meeting the Board of Regents approved the Center for Integrative Natural Science and Mathematics (CINSAM). The program has now been approved by the Council on Postsecondary Education (CPE) which includes $750,000 in recurring funds to support the Center, including another $1,500,000 in scholarship endowment and $1,500,000 on a one-time basis for equipment and other related items.

- The 1999-2000 Budget Process establishes a strong link between planning and budgeting. It introduces higher levels of accountability for outcomes to be achieved through the University resources. The University is being treated favorably by the CPE in regard to benchmarking (establishment of a set of institutions against which the University will be compared). The intent of the CPE is to move NKU from being 55% tuition driven to 34% tuition driven. NKU is the highest tuition-driven institution in the Commonwealth by 10 or 12%.

- Vice President Nester has indicated his intent to retire as Vice President for University Relations and Development. Thanks were given for his wise advice and counsel across a broad range of issues of vital concern to the campus. On a personal note, President Votruba thanked Vice President Nester for his personal counsel, colleagueship, and friendship. There will be a national search for a new vice president with Vice President Nester chairing the search. The position is expected to be filled by early fall.

A. **Committee Reports:**

Policy and Finance Committees:

Chair Downing reported that, in the absence of Regent Zapp, the Policy and Finance Committees met together that morning with an excellent briefing by Vice President Baker and Budget Director Hunter concerning the 1999-2000 budget activities.

President Votruba mentioned the opportunity to review the Invest for Success Initiative and the support for the four areas discussed earlier (first-year programs, learning communities, academic advising, and developmental support in student activities).

B. Presidential Reports:

1. **Facilities Management:** Vice President Baker commended Mary Paula Schuh, Campus Planning, and Daniel Drake, Physical Plant, for their hard work on the many current campus projects. The groundbreaking for the Parking Garage is planned for next month. The Science Center project should begin this summer.

C. Presidential Recommendations:

Consent Agenda Items:

A motion was made by Regent Sparks and seconded by Regent Malloy to approve the following Presidential Recommendations as listed below (1-8). **Motion carried.**

1. **One-Year Qualitative Waiver of Limitations under the Provisions of Administrative Regulation 13 KAR 2:060, implementing the Kentucky Plan for Equal Opportunities:** President Votruba recommended for approval by the Board of Regents of Northern Kentucky University a one-year qualitative waiver of limitations under the Provisions of Administrative Regulation 13 KAR 2:060, implementing the Kentucky Plan for Equal Opportunities. As set forth in a letter from Dr. Gordon Davies, President of the Council on Postsecondary Education, the University must request a waiver to allow approval by CPE of proposals for new academic programs during the present calendar year. Currently, two such proposals are pending before the CPE, a Bachelor's in Environmental Science and Master's in Computer Science. The Master's in Instructional Leadership, would also be affected.

2. **1998-2004 Six Year Capital Plan:** President Votruba recommended that the Board of Regents adopt the 1998-2004 Six Year Capital Plan.

3. **Non-Academic Personnel Actions:** President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions which occurred between January 14, 1999, and March 15, 1999: Activations; Promotions; Transfers, Reassignments, and Title Changes; Status Change; Reclassifications and Re-engineering; and Terminations.

4. **Administrative Personnel Actions:**

   Appointments: Gerald E. Hunter, Associate Vice President and Budget Director, effective February 1, 1999 and W. Michael Baker, Vice President for Administration and Finance/Treasurer, effective March 1, 1999.

5. **Academic Affairs Personnel Actions:**

   Faculty Appointments: Tamara Faith O'Callaghan, Assistant Professor in the Literature and Language Department, effective August 18, 1999; and Patrick Schultheis, Assistant Professor in the Biological Sciences Department, effective August 18, 1999.

   Leaves, Paid/Unpaid: Carrie McCoy, Associate Professor in the Nursing Department, unpaid leave with benefits for the 1999-2000 academic year; and David C. Short, Professor of Law in the Salmon P. Chase College of Law, paid administrative leave with benefits for the fall 1999 semester.

Resignation: Harriette Richard, Assistant Professor in the Psychology Department, effective February 19, 1999.

6. **Promotion beginning with the 1999-2000 Academic Year:** Paige B. Byam.

7. **Continued Probation for the 1999-2000 Academic Year for First-Year Faculty:** Breuna Baine, Kay N. Harper, Roxanne Kent-Drury, Richard S. Linder, James Clingermayer, Jo Ann Ewalt, Dennis Davenport, John White, Jeffrey Donaldson, Dean Keifer, Debra Kasel, Cady Short-Thompson, Linda Welker, Linda Daniels, Simone Bess, Jennifer Bales and Richard Bales.

8. **Temporary Faculty Appointments for the 1999 Spring Semester:** Barry R. Dalton, Visiting Lecturer, effective February 22 to June 30, 1999; and Nicole Justice, effective January 19 to June 30, 1999.

9. **Fee Enhancement to fund the "Invest for Success Initiative:** President Votruba mentioned that this plan has been discussed broadly across the campus by the students, faculty and staff through the process of two town meetings. Regent Mecher thanked the Administration and President Votruba for taking the time to get student input before acting on this issue.

   A motion was made by Regent Andersen and seconded by Regent Mecher to approve the Fee Enhancement to fund the "Invest for Success Initiative." **Motion carried.**

10. **A New Program, Master of Education in the College of Professional Studies, School of Education, as outlined in the accompanying proposal, be approved for initiation during the summer of 2000:** This program will prepare these principals at the master's level rather than the post-master's level, and NKU's College of Professional Studies, School of Education is taking advantage of that change in state requirements. It will be structured so that students can complete a 33-hour master's program in two consecutive summers while taking two "bridge" courses during the intervening fall and spring semesters. In order to be recommended for the Certificate for Instructional Leadership-School Principal, P-12, students must successfully pass appropriate testing required by the Kentucky Department of Education.

    A motion was made by Regent Hendrickson and seconded by Regent Verst to approve the "New Program, Master of Education in the College of Professional Studies, School of Education" proposal as presented. Discussion followed. **Motion carried.**

11. **Fiscal year 1999-00 Annual Budget for Northern Kentucky University:** President Votruba recommended that the Board of Regents approve the resolution establishing and approving the Fiscal Year 1999-00 Annual Budget for Northern Kentucky University. A motion was made by Regent Verst and seconded by Regent Malloy to approve the resolution.

    On behalf of the faculty, Regent Andersen thanked all involved in the budget process for the increased support for our "core enterprise" of teaching students in terms of increasing the number of full-time faculty and increasing the operating budget. With no further discussion, **motion carried.**

At 2:00 p.m. a motion was made by Regent Taliaferro and seconded by Regent Mecher to call an executive session to discuss personnel and legal matters involving the University. **Motion carried.**

The Board of Regents reconvened at 2:45 p.m.

A motion was made by Regent Hendrickson and seconded by Regent Mecher that the Board of Regents

designate Candidate A to receive NKU's honorary doctorate degree. **Motion carried.**

A motion was made by Regent Verst and seconded by Regent Taliaferro that the Board of Regents designate Candidate B to receive NKU's honorary doctorate degree. **Motion carried.**

A motion was made by Regent Malloy and seconded by Regent Hasty that the Board of Regents designate Candidate C to receive NKU's honorary doctorate degree. **Motion carried.**

Chair Downing mentioned a naming opportunity for a building on campus, designated as Building X with Candidate Y to be the nominee to receive the naming opportunity. A motion was made by Regent Sparks and seconded by Regent Verst for the University to pursue the naming opportunity with Candidate Y. **Motion carried.**

With no further business, Chair Downing adjourned the meeting at 2:50 p.m.

Lilla F. Losey
Administrative Assistant to the
President's Office

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Kathryn Hendrickson, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its meeting held on March 31, 1999, and that such matters are still in force and effect.

Kathryn B. Hendrickson, Secretary

6:00 p.m. May 4, 1999 - Dinner at the President's Home (Lakeside Park)
9:30 a.m. May 5, 1999 - Joint Meeting of Finance & Policy Committee (AC 830)
11:30 a.m. May 5, 1999 - Luncheon (President's Dining Room)
12:00 noon May 5, 1999 - Reception/1999 Student Awards (UC Ballroom)
1:00 p.m. May 5, 1999 - Board of Regents Meeting (UC Ballroom)
(Regents--Please respond by calling the President's Office at 572-5123 if you will be unable to attend any of the above events.)

AGENDA

Northern Kentucky University
Wednesday, May 5, 1999 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, March 31, 1999 Regular Meeting

Presidential Comments

A. Joint Finance and Policy Committee Reports

NBBJ/Master Planners/Visioning Opportunities (Robert Zapp & Philip Taliaferro)

B. Presidential Reports
1. Facilities Management (M. Baker)
2. Quarterly Financial Report (M. Baker)
3. Summary of Grant Activity (P. Gaston)
4. Kentucky Plan Quantitative Waiver Request

C. Presidential Recommendations
*1. Non-Academic Personnel Actions
*2. Academic Affairs Personnel Actions
*3. Reassigned Time Benefits
*4. Emeritus Status
5. Debt Write-off
6. Parcels located on Dixie Highway

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, May 4, 1999).

D. Executive Session to Discuss Legal Issues

E. Other
1. Regular Board of Regents and Special Meeting Schedule:
July 14, 1999
September 29, 1999
November 17, 1999
January 26, 2000
March 22, 2000
May 10, 2000

2. Council on Postsecondary Education Meeting Schedule for 1999:
May 17
July 19
September 26-27 (Trusteeship Conference)
November 8

3. Upcoming Activities:
May 6, 1999 - Foundation Annual Board of Directors Meeting
May 15, 1999 - Spring Commencement
June 29, 1999 - Presidential Golf Outing
August 24, 1999 - Fall Convocation
August 28, 1999 - Friends of Fine Arts Fund Raiser
September 2, 1999 - Cincinnati Pops Orchestra at NKU

Board of Regents Meeting
University Center Ballroom
May 5, 1999

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at1:05 p.m. on Wednesday, May 5, 1999, by Vice Chair Philip Taliaferro.

The invocation was given by Vice Chair Taliaferro.

Roll Call: Barry Andersen, Mary Alice D. Hasty, Martha Malloy, Gregory Mecher, James Poston, Alice Sparks, Philip Taliaferro, William Verst, and Robert Zapp (Excused - Frank Downing and Kathryn Hendrickson).

Others Present: James C. Votruba, Robin Crigler, Michael Baker, Paul Gaston, Mark Shanley, William Nester, Angie Gabbard, Sara Sidebottom, David Short, Ron Ellis, Joe Ruh, Russ Kerdolff, Mike Carrell, Sonda Cummins, Joe Wind, Mary Ryan, Marian Winner, Mary Paula Schuh, Bill Lamb, Ken Ramey, Claire Newman, Charles Frank, Susan Ahearn, Jane Schwierjohann, Agina Sullivan, Melissa R. Marck, Alice Sullivan, Jill Diesman, Paul Ellis, Wanda Griffith, Gina Holt- *The Recorder* newspapers, Rosetta J. Mauldin, Rogers Redding, and Lilla Losey.

The minutes of the March 31, 1999, regular meeting of the Board of Regents were accepted as presented with no changes.

Presidential Comments:

- The student award winners for 1999 were acknowledged and asked to stand to be recognized by the Board of Regents and all those present: Regents Award, Jill Diesman, College of Arts and Sciences; Paul J. Sipes Award - Lisa Doss, College of Arts and Sciences; University Service - Carmen Meier and Melissa Marck, College of Arts and Science; Excelsior Award -Agina Sullivan, College of Professional Studies; President's Award - Nino Gigineishvili, College of Arts and Sciences; and Faculty Senate Award - Curtis Hampton, College of Arts and Sciences.

- Regent Gregory Mecher was acknowledged on his last meeting as the student representative for the Board of Regents. Thanks were given not only for his leadership of Student Government but also for his many contributions and good counsel to the Board.

- Thanks were given to the Executive Team, Deans, Directors, Chairs, Faculty, Staff and Students for their efforts for the following University accomplishments and achievements for academic year 1998/1999: the creation of the new Fidelity partnership; the Delta partnership extended; the creation of the Metropolitan Education and Training Services unit; Southern Association of Colleges and Schools (SACS) accreditation; American Bar Association/American Association of Law Schools (ABA /AALS) accreditation; the creation of the Center for Integrative Natural Science and Mathematics (CINSAM); the creation of the Environmental Management Resource Center; a record number of honors students applying for next fall; the creation of the Honors House; a more diverse group of faculty, staff and students on campus; major advances in our strategic agenda in curriculum; more full and part-time faculty members; recruitment and retention/ "Invest in Success" initiative; campus beautification of environment and facilities; the Master Plan for the University--looking toward the next millennium; continued efforts in market adjustment and re-engineering to improve staff effectiveness and satisfaction.

- The NKU Foundation will hold its annual meeting on May 6, 1999. The Foundation was acknowledged for its accomplishment of being first among all Kentucky universities with regard to its management and stewardship of funds with the highest return on its assets. It was also ranked as 60th in the nation in the category of public university foundations.

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- This summer for the first time in University history there will be a tuition policy developed that will be aligned with the University's vision, along with the needs of the state and region. At the core of the tuition policy will be the commitment to access and excellence.

- The University will work with Dr. Mike McCall, President of the Kentucky Community and Technical College System to engage a community-wide discussion on the educational needs traditionally served by Community and Technical colleges.

- A comprehensive legal audit of affirmative action policies and procedures will be done in the next 30-40 days by Sara Sidebottom, University Legal Counsel. Ms. Sidebottom will investigate our current practices related to affirmative action (both programs and policies) in regard to current law. In meeting the requirements imposed by the Kentucky Plan, she will chart how the University will track its future in terms of its core values and commitment to diversity and multiculturalism.

- As we move into the new millennium, we need to look at preparing students for life and work. The general studies task group, chaired by Rudy Garns, professor in the Sociology, Anthropology & Philosophy department, will be working hard over the summer to recommend a revised general education curriculum. This is a subgroup of a larger group studying the whole area of general education chaired by Dean Rogers Redding.

- Dr. Tony Atwater has been recommended as the next Dean of the College of Professional Studies. Dr. Atwater comes to NKU from Toledo University.

- Dr. Rosetta Mauldin was commended for her leadership as Interim Dean for the College of Professional Studies during this past academic year.

- The Chase College of Law dean's search drew a strong and talented pool of candidates and is in its final stages. Dean David Short was commended for his leadership as dean and will become part of the Law School faculty after the new dean is chosen.

In regard to their service to the University in their respective roles as dean, Vice Chair Taliaferro commended and thanked both Dean David Short and Interim Dean Rosetta Mauldin for their fine leadership.

A. **<u>Joint Finance and Policy Committee Reports</u>:**

NBBJ, the Campus Master Planners, were in attendance at the meeting.

Discussion included:
- how the University should progress and how it fits into the strategic agenda;
- ways to soften the look of the campus by making it a greener environment;
- creating a sense of intimacy;
- developing an environment in which the students feel comfortable spending quality time here;
- creating points of memory–spiritual hubs;
- making sure the University's vision is not only for NKU but would include the surrounding counties, cities and businesses;
- looking at the University of Louisville's student center which is famous for its sense of user friendliness; and
- greater emphasis placed on the students' social life.

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President Votruba encouraged the Campus Community to attend future Board Policy and Finance Committee meetings, which are also open meetings. This is a good opportunity for the University Community to engage with the Board.

B. Presidential Reports:

1. **Facilities Management:** Vice President Baker commented that significant progress was being made on the final planning for the Natural Science Building and that there had been a "ground breaking" for the new Parking Structure, which has a December 1999 completion projected.

2. **Quarterly Financial Report:** Vice President Baker commented that the University was within the budget.

3. **Summary of Grant Activity:** Vice President Gaston commented that we had managed to sustain the level of funds approved; however, there had been a drop in the number of proposals funded. Factors involved in the decline included:
   - funds specifically allocated by governmental agencies (NEH, NEA, etc.) for master's level institutions have been reduced over the last few years (this also effects most universities in AASCU);
   - state Eisenhower awards have been decreased from $200,000 to $60,000;
   - Carl Perkins awards from $150,000 to $80,000; and
   - the Fund for the Improvement for Postsecondary Education (FIPSE) annual competition has been suspended in favor of targeted Congressional allocations to favored projects.

4. **Kentucky Plan Quantitative Waiver Request:** President Votruba commented that, at the March 31, 1999, Board of Regents meeting, the Board reviewed and approved the University's intent to request a Qualitative Waiver from the Council on Postsecondary Education. The numbers have been reassessed making the University eligible for a Quantitative Waiver which is done on the basis of information rather than as a presentation to the Committee on Equal Opportunity. This is a more favorable change in the Council's interpretation of our data and is part of the Kentucky Plan which resulted from a consent judgement involving Kentucky, including several other southern states within the last 10 to 15 years.

C. Presidential Recommendations:

Consent Agenda Items:

A motion was made by Regent Poston and seconded by Regent Malloy to approve the following Presidential Recommendations as listed below (1-4).

Regent Andersen commented on the definition of an Administrative Assistant as it relates to Academic Department Secretaries and how it applies to the pay scale adjustments for that group. President Votruba indicated that both the Grade 17 and 18 salary groups have received salary adjustments for this year, and that our commitment is to continue to improve market salaries in those key areas.

Regent Verst welcomed Justice Donald Wintersheimer as one of our new Adjunct Professors and Distinguished Visiting Jurist in the Salmon P. Chase College of Law. Regent Verst indicated that Justice Wintersheimer graduated with him from Newport Catholic High School in 1949 and is a very fine attorney.

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President Votruba also indicated that, with the recommendation of Dean David Short, Justice Wintersheimer will receive an honorary degree from Salmon P. Chase College of Law at the May 15, 1999, Commencement Ceremonies. With no further discussion, **motion carried.**

1. **Non-Academic Personnel Actions:** President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions which occurred between March 16, 1999, and April 20, 1999: Activations; Promotions; Transfers, Reassignments, Title Changes; Status Changes; and Terminations.

2. **Academic Affairs Personnel Actions:**

   Administrative Appointment: Tony Atwater, tenured Professor in the Communication Department and Dean of the College of Professional Studies, effective August 1, 1999.

   Faculty Appointments: Patricia L. Bradley, Assistant Professor in the Literature and Language Department, effective August 18, 1999. Cretson L. Dalmadge, Assistant Professor in the Economics, Finance, and Information Systems Department, effective August 18, 1999; Jonathan T. Reynolds, Assistant Professor in the History and Geography Department, effective August 18, 1999; Kenneth S. Rhee, Assistant Professor in the Management and Marketing Department, effective August 18, 1999; Toru Sakaguchi, Assistant Professor in the Economics, Finance, and Information Systems Department, effective August 18, 1999; and Donald C. Wintersheimer, Adjunct Professor and Distinguished Visiting Jurist in the Salmon P. Chase College of Law, effective May 5, 1999.

   Leaves, Paid/Unpaid: Edward C. Chang, Assistant Professor in the Psychology Department, unpaid leave with benefits for the 1999-2000 academic year; Daryl L. Orth, Assistant Professor in the Technology Department, half-time unpaid leave with benefits for the 1999-2000 academic year; and Kathleen Verderber, Professor in the Management and Marketing Department, unpaid leave with benefits, beginning January 1, 2000, and ending May 13, 2000.

   Resignation: Timothy Hall, Assistant Professor in the Salmon P. Chase College of Law, effective May 15, 1999.

3. **Reassigned Time Benefits:** President Votruba recommended that the Board of Regents approve reassigned time benefits equivalent to 50% for an additional six-year term beginning July 1, 1999, for Miriam Kannan, Regents Professor in the Biological Sciences Department, and that the Board of Regents approve reassigned time benefits equivalent to 50% for the four years remaining in the second six-year term (which began July 1, 1997) for Robert Wallace, Regents Professor in the Literature and Language Department.

4. **Emeritus Status:** President Votruba recommended that the Board of Regents approve Emeritus status for the following individuals: Janet A. Miller, Professor in the School of Education; Vincent G. Schulte, Associate Professor in the Psychology Department; Robert L. Seaver, Professor in the Salmon P. Chase College of Law; and Constance C. Widmer, Professor in the School of Education.

5. **Dept Write-Off:** Vice President Baker commented that this action is required to write off University bad debts from the University's financial records as operating expenses. This is accomplished once a year before the University's books are closed. Fluctuations are seen from year to year.

   A motion was made by Regent Poston and seconded by Regent Zapp to authorize the Vice President for Administration and Finance/Treasurer to write-off bad debts totaling $144,855.81. **Motion carried.**

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6. **Parcels located on Dixie Highway:** Vice President Baker commented that this small parcel will improve the University property. President Votruba recommended that the Board of Regents authorize the University to convey to the Transportation Cabinet the following parcels, located on Dixie Highway which are part of the Covington Campus:

    a. 2,628 square feet (0.06 acres) of land to be acquired in fee simple; and,
    b. 5,227 square feet (0.12 acres) of land for a permanent easement.

    The transportation Cabinet is planning to install a sidewalk with curb and gutter along Dixie Highway near the entrance to NKU's Covington Campus. Plans are nearing completion. Equitable compensation to the University for this acquisition has been agreed upon.

    A motion was made by Regent Zapp and seconded by Regent Anderson to authorize the University to convey to the Transportation Cabinet the aforementioned recommendation. **Motion carried.**

At 1:30 p.m. a motion was made by Regent Poston and seconded by Alice Sparks to call an executive session to discuss legal issues involving the University. **Motion carried.**

The Board of Regents reconvened at 1:38 p.m.

President Votruba commended Marian Winner for her excellent leadership as Director of Steely Library. Ms. Winner will soon be moving to Emeritus status after her retirement this summer.

Regent Sparks asked about the particulars of the upcoming Presidential Golf Outing on June 29. Vice President Nester indicated that the cost would be $1,000 for a foursome at the Summit Hills Country Club and Bill Verst is the honorary Chairperson of the event.

With no further business, a motion was made by Regent Verst and seconded by Regent Zapp to adjourn the meeting at 1:45 p.m. **Motion carried.**

Lilla F. Losey
Administrative Assistant to the
President's Office

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Philip Taliaferro III, Vice Chair of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on May 5, 1999, and that such matters are still in force and effect.

Philip Taliaferro III, Vice Chair

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6:00 pm July 13, 1999 - Dinner at the President's Home (Lakeside Park)
8:45 am July 14, 1999 - Joint Meeting of Finance & Policy Committee (AC 830)
11:30am-1pm July 14, 1999 - Reception for Regent Verst (University Center TV Lounge)
1:00 pm July 14, 1999 - Board of Regents Meeting (UC Ballroom)
(Regents--Please respond by calling the President's Office at 572-5123 if you will be unable to attend any of the above events.)

AGENDA

Northern Kentucky University
Wednesday, July 14, 1999 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Oath of Office - Regents Christopher Lee Boggs and Betty Maupin Pogue
Roll Call and Welcome
Approval of Minutes, May 5, 1999 Regular Meeting

Presidential Comments

A. Joint Finance and Policy Committee Reports
   1. Development Priorities Review
   2. Tuition Recommendation
   3. Affirmative Action Review

B. Presidential Reports
   1. Facilities Management (M. Baker)
   2. New Residency Appeal Policy--CPE Mandate (S. Sidebottom)

C. Presidential Recommendations
   *1. Administrative Personnel Actions
   *2. Non-Academic Personnel Actions
   *3. Academic Affairs Personnel Actions
   *4. Temporary Faculty Appointments
   *5. Emeritus Status
   6. Amendments to Part One of the Faculty Policies and Procedures Handbook 1994
   7. Creation of an Advisory Committee on Services to Students with Disabilities
   8. Student Government Association Constitution
   9. Tuition Rate Setting
   10. Women's Golf

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, July 13, 1999).

D. Executive Session to Discuss Legal Issues

E. Other
   1. Regular Board of Regents and Special Meeting Schedule:
      September 29, 1999
      November 17, 1999
      January 26, 2000
      March 22, 2000
      May 10, 2000

   2. Council on Postsecondary Education Meeting Schedule for 1999:
      July 19
      September 26-27 (Trusteeship Conference)
      November 8

   3. Upcoming Activities:
      August 24, 1999 - Fall Convocation
      August 28, 1999 - Friends of Fine Arts Fund Raiser
      September 2, 1999 - Cincinnati Pops Orchestra at NKU
      September 2& 3, 1999 - Regent's Retreat (Metropolitan Club, Covington)

Board of Regents Meeting
University Center Ballroom
July 14, 1999

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:05 p.m. on Wednesday, July 14, 1999, by Chair Downing.

The invocation was given by Regent Poston.

Roll Call: Barry Andersen, Christopher Boggs, Frank Downing, Kathryn Hendrickson, Martha Malloy, Betty Pogue, James Poston, and Philip Taliaferro (Excused - Mary Alice D. Hasty and Robert Zapp).

Others Present: James Votruba, Robin Crigler, Michael Baker, Paul Gaston, Gregory Mecher, Mark Shanley, William Nester, Angie Gabbard, Sara Sidebottom, Ron Ellis, Joe Ruh, Russ Kerdolff, Mike Carrell, Sonda Cummins, Joe Wind, Mary Paula Schuh, Bill Lamb, Ken Ramey, Claire Newman, Jane Schwierjohann, Elizabeth Spencer, Rosetta J. Mauldin, Mary Ryan, Jane Meier, Arden Geers, Susan Mospens, Darrell Garber, Paul Reichardt, Allen Stone, Gerald Hunter, Gaut Ragsdale, Gail W. Wells, Robert R. Appleson, Gary W. Graff, Barbara Holland, Molly Harper--*Kentucky Enquirer*, Cheryl Nunez, Kelly Zudzina–*The Recorder newspapers* and Lilla Losey.

The Oath of Office to incoming Regents Christopher L. Boggs and Betty M. Pogue was administered by Chair Downing.

The minutes of the May 5, 1999, regular meeting of the Board of Regents were accepted as presented with no changes.

A motion was made by Chair of the Nominating Committee Jim Poston and seconded by Regent Malloy to re-elect Chair Downing, Vice-Chair Taliaferro, and Secretary/Treasurer Hendrickson to the Northern Kentucky University Board of Regents for 1999-2000. **Motion carried.**

On behalf of the Board of Regents, Chair Downing asked that Regent Poston maintain the role as Chair of the Nominating Committee for the year 2000-2001. Regent Poston accepted.

Presidential Comments:

- For the first time in the University's history a tuition policy will be set beginning this fall.
- The following transitions in University personnel were recognized:
    - Gaut Ragsdale was introduced as the new President of the Faculty Senate. The Senate will be dealing with major issues this fall, including "General Education Reform." Recognition was also given to Professor Charles Frank as the outgoing President of the Faculty Senate.
    - Gail Wells was introduced as the Interim Dean of the College of Arts and Sciences. Dr. Wells, as Chair of the Department of Mathematics and Computer Sciences, led the development of the CINSAM proposal (Center of Distinction) and has been active in the General Education Reform discussions.
    - Recognition was given to Rogers Redding, who moves from his role as Dean of the College of Arts and Sciences to become Interim Executive Vice President and Provost of Academic Affairs.
    - Paul Gaston was thanked for his service to NKU in his role as Provost and Executive Vice President of Academic Affairs. His intellect, wisdom and, most of all, his values are rooted in what a University is about. Kent State University will be getting a first-rate Chief Academic officer.
    - Outgoing Regent William Verst was recognized for his 15 years of service to the University Board

of Regents, four years of which he served as Chair. A reception was given prior to the Board meeting that day to honor Mr. Verst, who "helped build this University."

- Outgoing Regent Alice Sparks was recognized for her seven years of service to the University Board of Regents, two of which she served as Chair. Ms. Sparks played a key role in helping to lead the Board and the University during the past and present presidential transitions. Ms. Sparks also attended many University events (student, alumni, etc.).
- A welcome was given to new Regent Betty Pogue. Ms. Pogue has demonstrated her strong commitment to the University and community both as a professional educator and volunteer.
- New Student Regent Christopher Boggs was welcomed to the Board and was recognized for his leadership and capacity to understand students.

A. Joint Finance and Policy Committee Reports:

A report was given by Chair Downing:

1. **Development Priorities Review:** This year's Development activities were very impressive as compared to last year. Appreciation was given to Vice President Nester and his unit.

   The nationwide search is going well to fill the position of Vice President for University Relations and Development.

2. **Tuition Recommendation:** Benchmark universities were discussed. Tuition fees and related costs will be discussed at a special meeting which will be planned for August.

3. **Affirmative Action Review:** University Legal Counsel Sara Sidebottom commented on the Affirmative Action Policies and Procedures and would be giving a full report.

B. Presidential Reports:

1. **Facilities Management:** Vice President Baker commented that all projects contained in the report are making progress and are on schedule.

   An artist's rendering of the new Science Center facing the plaza area was displayed at the meeting. The project is expected to be bid in August with October 1999 forecast as the groundbreaking.

   August 1, 1999 is the predicted completion date of the Honor's House.

2. **New Residency Appeal Policy–CPE Mandate:** This item was moved as Presidential Recommendation C.11.

C. Presidential Recommendations:

Consent Agenda Items:

A motion was made by Regent Poston and seconded by Regent Andersen to approve the following Presidential Recommendations as listed below (1-5). **Motion carried.**

1. **Administrative Personnel Actions:**

Resignation: **Garry A. Casson**, Director of Administrative Computing, effective May 26, 1999; **Susan K. Ahearn**, Assistant Vice President and Director of Development, effective May 31, 1999; **Norleen K. Pomerantz**, Assistant Vice President for Student Development, effective June 18, 1999.

Appointments: ***Russell Kerdolff**, Comptroller, effective June 1, 1999; ***Kenneth H. Ramey**, Assistant Vice President Business Operations and Auxiliary Services, effective June 1, 1999; **Gregory Stewart**, Associate Vice President for Enrollment Management, effective August 9, 1999.
*These two appointments are a result of reorganization within Administration and Finance.

2. **Non-Academic Personnel Actions:** President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions which occurred between April 21, 1999, and June 29, 1999: Activations; Transfers, Reassignments, Title Changes; Status Changes; Reclassifications, Re-engineering; and Terminations.

3. **Academic Affairs Personnel Actions:**

Administrative Appointments: **Daniel J. Curtin**, from Associate Professor in the Mathematics and Computer Science Department to Interim Chair and Associate Professor in the Mathematics and Computer Science Department, effective from August 1, 1999, through June 30, 2000; **Linda L. Dolive**, from Professor in the Political Science Department to Interim Chair and Professor in the Political Science Department, effective July 1, 1999, through June 30, 2000; **Jon E. Draud**, from Associate Professor in the School of Education to Director of the Office of University School Partnerships and Associate Professor in the School of Education, effective July 1, 1999; **Sandra W. Easton**, from Assistant Professor in the Accountancy Department to Interim Director of the W. Frank Steely Library and Assistant Professor in the Accountancy Department, effective August 1, 1999, through December 31, 1999; **Rosetta J. Mauldin**, continuing as Interim Dean of the College of Professional Studies and Professor in the Allied Health, Human Services, and Social Work Department, effective July 1, 1999, through July 31, 1999; **Danny L. Miller**, from Professor in the Literature and Language Department to Interim Chair and Professor in the Literature and Language Department, effective July 1, 1999, through June 30, 2000; **Rogers Redding,** from Dean of the College of Arts and Sciences and Professor in the Physics and Geology Department to Interim Executive Vice President and Provost and Professor in the Physics and Geology Department, effective July 1, 1999, through June 30, 2000; **Paul F. Reichardt**, from Interim Vice Provost and Professor of English in the Literature and Language Department, to Vice Provost and Professor of English in the Literature and Language Department, effective July 1, 1999; **Gerard A. St. Amand**, Dean and tenured Professor of the Salmon P. Chase College of Law, effective August 1, 1999; **J. Michael Thomson**, continuing as Interim Director of Academic Technology and Services and Associate Professor in the Political Science Department, effective July 1, 1999, through June 30, 2000; and **Gail W. Wells**, from Chair and Associate Professor in the Mathematics and Computer Science Department to Interim Dean of the College of Arts and Sciences and Associate Professor in the Mathematics and Computer Science Department, effective July 1, 1999, through June 30, 2000.

Faculty Appointments: **Kimberly Allen-Kattus**, Assistant Professor in the Art Department, effective August 18, 1999; **Jo Ellen Burkholder**, Assistant Professor in the Sociology, Anthropology and Philosophy Department, effective August 18, 1999; **Tammie Gerke**, Assistant Professor in the Physics and Geology Department, effective August 18, 1999; **Michael Rose,** Assistant Professor in the W. Frank Steely Library, effective June 1, 1999; **Lowell F. Schechter**, continuing as Associate Dean and Professor of the Salmon P. Chase College of Law, effective July 1, 1999, through June 30, 2000; **Gary D. Scott**, from Associate

Professor in the Technology Department to Associate Dean of the College of Professional Studies and Associate Professor in the Technology Department, effective July 1, 1999; and **Amy Thistlethwaite**, Assistant Professor in the Political Science Department, effective August 18, 1999.

Leaves, Paid/Unpaid: Nancy Campbell, Associate Professor in the W. Frank Steely Library, unpaid half-time leave with benefits, beginning July 6, 1999, through August 13, 1999; and **Daryl L. Orth**, Assistant Professor in the Technology Department, half-time unpaid leave with benefits for the 1999-2000 academic year.

Resignations: **Jihad Albayyari**, Assistant Professor in the Technology Department, effective May 15, 1999; **Linda Bennett**, Professor and Chair of the Political Science Department, effective July 31, 1999; **Angela Cooke-Jackson**, Lecturer in the Communication Department and Assistant Dean in the College of Professional Studies, effective June 30, 1999; **Linda Y. Daniels**, Assistant Professor in the School of Education, effective May 15, 1999; **Paul L. Gaston**, Provost and Executive Vice President, effective July 31, 1999; and **Niaz Litaf**, Professor in the Technology Department, effective May 15, 1999.

4. **Temporary Faculty Appointments:** President Votruba recommended that the Board of Regents approve the following temporary faculty appointments for the 1999-2000 academic year:
   - **College of Arts and Sciences**: Chemistry, Jean Gade; Learning Assistance Program, Mary C. Hall; Psychology, Charyl Glover; and Theatre, Mary Jo Beresford.
   - **College of Business:** Management and Marketing, Molly Mayer
   - **Chase College of Law:** Adam Todd (fiscal year)

5. **Emeritus Status:** President Votruba recommended that the Board of Regents approve Emeritus status for the following individual: Marian C. Winner, Director and Professor of the W. Frank Steely Library.

6. **Amendments to Part One of the *Faculty Policies and Procedures Handbook 1994*:** Provost Gaston commented on the changes to the Handbook:

   - Proposed changes in the application procedures for the Retirement Incentive Plan will provide adequate notice to department chairs and college deans of the plans of faculty who are applying for the Retirement Incentive Plan--Section X.D.3, (p. 33). These changes are effective beginning with the 1999-2000 academic year.
   - Amendments concerning the memberships of the Faculty Exigency Committee--Section X.H.4.a (1) (p. 42) and the Complaint Advisory Committee–Section XIV.C.3 (p. 77). The changes provide for staggered appointment terms. These changes are effective beginning with the 1999-2000 academic year.
   - The proposed changes to the sabbatical leave program--Section XI.A. (p. 56) establish a minimum number of sabbaticals to be made available each year based on a percentage of full-time faculty. Faculty members are encouraged to choose academic-year sabbaticals thus enabling full-time non-tenure track replacements. This provides an additional level of accountability for sabbatical recipients while also enriching the academic community. These changes in the sabbatical leave program will be effective with the 2000-2001 academic year.

   Regent Andersen commented that he appreciated the continued University support given the sabbatical program over the years and emphasized the importance for a faculty member to stay current in his/ her field of study. He hoped that more faculty members would be encouraged to take full year sabbaticals and that the University would take the responsibility to replace those full-time faculty with full-time temporary faculty. President Votruba indicated that this would be consistent with the University's commitment to build a full-time faculty base.

A motion was made by Regent Malloy and seconded by Regent Hendrickson to approve the amendments to Part One of the *Faculty Policies and Procedures Handbook 1994.* **Motion carried.**

7. **Creation of an Advisory Committee on Services to Students with Disabilities**: President Votruba recommended that the Board of Regents approve the creation of an Advisory Committee on Services to Students with Disabilities. This Committee would consist of representatives of all areas of the University community and report directly to the Vice President for Student Affairs. It would be charged with developing and reviewing policies and procedures for serving students with disabilities. An Advisory Committee would support the University's commitment to provide quality academic services to students with disabilities while ensuring compliance with the ADA and Section 604 of the Higher Education Act.

   The President indicated that the University has been historically sensitive to the special needs of students with disabilities and this Committee would ensure that sensitivity is institutionalized in this committee structure.

   A motion was made by Regent Malloy and seconded by Regent Boggs to approve the creation of an Advisory Committee on Services to Students with Disabilities. **Motion carried.**

8. **Student Government Association Constitution**: Vice President Shanley commented that at the October 7, 1998 Board of Regents meeting the Board passed revisions to the Student Government Constitution. Upon review of that Constitutional change, in trying to integrate it into the existing version of the Constitution, it was discovered that there was a difference.

   Vice President Shanley explained that a recommendation to ratify this version of the Student Government Association (SGA) Constitution was brought by the Dean of Students to President Boothe in February, 1993 with a request that it be submitted to the Board of Regents for authorization and approval. Although both the Dean and President concurred with the changes, it appears that the revisions were never brought to the Board for approval. Unaware of this oversight, this version of the constitution was incorporated into the Student Handbook and the SGA had been operating under its guidelines since 1994.

   The proposed version of the constitution incorporates both the February, 1993 revisions and the revisions passed in October 7, 1998.

   A motion was made by Regent Boggs and seconded by Regent Pogue to adopt the integrated version of the Student Government Association Constitution. **Motion carried.**

9. **Tuition Rate Setting:** President Votruba stated that upon consultation with Chair Downing and other members of the Board it was agreed to withdraw this item for review at a special meeting in late August.

10. **Women's Golf:** Jane Meier, Director of Intercollegiate Athletics, commented that this would be NKU's seventh women's program and the University's 13th athletic program.

    Adding a women's golf program would also meet the needs of the community (popularity) and add another GLVC sport (NKU would be the 6th school to sponsor women's golf).

    After Regent Andersen asked about the program's funding, Ms. Meier responded that the total budget has a target of $18,000. Approximately $11,000 of that would come from student fees, and there would also be fundraising for scholarships.

    A motion was made by Regent Poston and seconded by Regent Taliaferro to approve the addition of

Women's Golf as a new intercollegiate sport. **Motion carried.**

11. **New Residency Appeal Policy–CPE Mandate:** University Legal Counsel Sara Sidebottom commented that when a student applies for residency there is a determination made initially as to whether they are a resident or a non-resident. They are entitled to appeal that here on campus. The policy has been modeled after the University's current existing procedures for grade appeal.

    Regent Taliaferro made the motion and Regent Poston seconded to approve the New Residency Appeal Policy. **Motion carried.**

D. **Executive Session to Discuss Legal Issues:** At 1:37 p.m. a motion was made by Regent Taliaferro and seconded by Regent Poston to call an executive session. **Motion carried.**

The Board of Regents reconvened at 2:30 p.m.

Regent Poston made a motion and Regent Hendrickson seconded to approve an amendment to the Land Acquisition Plan to add "Parcel A" to the Plan as a Priority One Parcel. **Motion carried.**

G. **Other**:

- Secretary to the Board Robin Crigler will schedule a special Board of Regents meeting in late August to discuss Affirmative Action matters and Tuition Rate Setting.

- The President mentioned upcoming events and hoped that as many Regents as possible would be able to attend:
  - Fall Convocation scheduled, August 24;
  - Friends of Fine Arts Fund Raiser, August 28; and
  - Cincinnati Pops Orchestra at NKU and University picnic, September 2.

With no further business, Chair Downing adjourned the meeting at 2:35 p.m.

Lilla F. Losey
Administrative Assistant to the
President's Office

Robin L. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Kathryn Hendrickson, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on July 14, 1999, and that such matters are still in force and effect.

Kathryn B. Hendrickson
Secretary/Treasurer

6:00pm September 28, 1999 - Dinner at the President's Home (Lakeside Park)
8:30-9:30am September 29, 1999 - Possible Reception for Major Donor (Info. to follow)
9:30am September 29, 1999 - Joint Meeting of Fin.& Pol. Committees (AC 830)
11:30am-12:45pm September 29,1999 - Regent/Ex. Team Recep. for Stu. Leaders (UC Ballroom)
1:00pm September 29, 1999 - Board of Regents Meeting (UC Ballroom)
(Regents--Please respond by calling the President's Office at 572-5123 if you will be unable to attend any of the above events.)

AGENDA

Northern Kentucky University
Wednesday, September 29, 1999 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, July 14, 1999 Regular Meeting
Approval of Minutes of Board Retreat, September 2 & 3, 1999

Presidential Comments

A. Joint Finance and Policy Committee Reports
1. Strategic Initiatives (Redding)
2. Tuition (Hunter)
3. Annual Financial Report (Baker)
4. Northern Kentucky University Organizational Structure (Baker)

B. Presidential Reports
1. Summary of Grant Activity
2. Improved Access for Baccalaureate Completion/Recognition of Professor Charles Pinder
3. Unaudited Annual Financial Report
4. Facilities Management

C. Presidential Recommendations
*1. Non-Academic Personnel Actions
*2. Academic Affairs Personnel Actions
*3. Temporary Faculty Appointments
4. Authorize Administration to implement a two scenario tuition strategy
5. Change of the Student Code of Rights and Responsibilities, Section II,A,3
6. Changes in the name of the College of Professional Studies and title for the Chair of the School of Education
7. Renew the University Fire Service Memorandum of Agreement
8. Lease Agreement with the City of Highland Heights/Marshall Lane
9. Authorize the Univ. to convey to the Transportation Cab. Parcels/Dixie Highway
10. Authority be granted to the Vice President of Finance & Administration of the University to further expand the Master Lease Agreement with Fifth Third Bank
11. Grant an Easement on Dixie Highway to the Union, Light, Heat and Power Company

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, September 28, 1999).

D. Executive Session to Discuss Legal Issues

E. Other

1. **Regular Board of Regents and Special Meeting Schedule:**
November 17, 1999 (Maysville)
January 26, 2000
March 15, 2000
May 10, 2000

2. **Council on Postsecondary Education Meeting Schedule for 1999:**
September 26-27 (Trusteeship Conference)
November 8

3. **Upcoming Activities:**
November 18, 1999, 6:30 p.m., NKU Foundation Appreciation Dinner (Summit Hills Country Club)
December 18, 1999, Winter Commencement (Regents Hall)

Board of Regents Meeting
University Center Ballroom
September 29, 1999

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:00 p.m. on Wednesday, September 29, 1999, by Acting Chair Poston.

The invocation was given by Regent Zapp.

Roll Call: Barry Andersen, Christopher Boggs, Martha Malloy, Betty Pogue, James Poston and Robert Zapp (Excused - Frank Downing, Kathryn Hendrickson and Philip Taliaferro).

Others Present: James Votruba, Robin Crigler, Michael Baker, Mark Shanley, William Nester, Rogers Redding, Gerald Hunter, Barbara Holland, Sara Sidebottom, Jim Alford, Angie Gabbard, Ron Ellis, Joe Ruh, Sonda Cummins, Mike Carrell, Gaut Ragsdale, Clifford Shisler, Allen Stone, Gregory Stewart, Tony Atwater, Gary Graff, Bill Lamb, Terry Flynn–*Kentucky Enquirer*, Cheryl Nunez, Gerry St. Amand, Gail Wells, Mary Paula Schuh, Angela Schaffer, Mary Ryan, Bill Hoeb, Kathy Stewart, Nancy Perry, Joe Wind, Gina Holt–*Recorder Newspapers*, Charles Pinder, Jane Schwierjohann, Rick Meyers, and Lilla Losey.

The minutes of the July 14, 1999, regular meeting of the Board of Regents as well as the minutes of the Board of Regents Retreat of September 2 & 3, 1999, were accepted as presented with no changes.

Presidential Comments:

- Comments were given on the 1999 Governor's Conference on Postsecondary Education Trusteeship held on September 26 and 27, at the Clarion Hotel Riverview in Covington, Ky. There was general excitement about the CPE Action Agenda, and opportunity to learn more about NKU and the region. Approximately 300 Regents were in attendance, along with members of the state legislature and the CPE.
- The 1997 Vision, Values, and Voices process pointed out several objectives, including a need to "tell our story better." Over the past two years NKU has received as much positive development attention as any university in the Commonwealth. Recognition and thanks were given to various members of the University Relations and Development offices for this success: Vice President Nester, Jim Alford, Ron Ellis, Kathy Stewart, Rick Meyers, Joe Ruh, Joe Wind, Nancy Perry, Bill Hoeb, Mike Murray, Linda Bray-Schafer, Joe Green, David Arnold and others.
- Over the last few months, the University has raised approximately $1,500,000 of endowment funds through private sources. In less than a six month period, this will be matched by the state to equal $3,000,000. NKU received the highest return on assets this year of any university in the Commonwealth.

A. Joint Finance and Policy Committee Reports:

Finance Committee: Regent Zapp reported that a presentation was given by the University administrative staff on the annual audit report by Deloitte and Touche. Regent Zapp recommended that the audit be accepted as presented with no discrepancies.

Policy Committee: Regent Poston reported on the following:

- comparable tuition rates of Kentucky institutions.
- The Strategic Initiatives request as presented by Interim Provost Redding, which included three areas:
  1) Economic Workforce Development
  2) P-12 School Improvement
  3) Access to people with barriers to college
- Enrollment targets for each of the University's colleges (managed growth).

B. Presidential Reports:

No comments or questions were raised on the following reports as submitted:

1. Summary of Grant Activity
2. Improved Access for Baccalaureate Completion/Recognition of Professor Charles Pinder
3. Unaudited Annual Financial Report
4. Facilities Management

C. Presidential Recommendations:

Consent Agenda Items:

A motion was made by Regent Zapp and seconded by Regent Andersen to approve the following Presidential Recommendations as listed below (1-3). **Motion carried.**

1. **Non-Academic Personnel Actions**: President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions which occurred between June 30, 1999, and September 14, 1999: Activations; Transfers, Promotions, Reassignments, Title Changes; Status Changes; Reclassifications, Re-Engineering; and Terminations.

2. **Academic Affairs Personnel Actions:**

   Administrative Appointments: Rosetta J. Mauldin, from Professor in Allied Health, Human Services and Social Work to Interim Chair and Professor in Allied Health, Human Services and Social Work; **Matthew D. Shank**, from Associate Professor in the Management and Marketing Department to Interim Chair and Associate Professor in the Management and Marketing Department, effective July 1, 1999, through June 30, 2000; **Robert A. Snyder**, from Chair and Professor in the Management and Marketing Department to Interim Director of the Metropolitan Education and Training Services and Professor in the Management and Marketing Department, effective July 1, 1999, through June 30, 2000.

   Faculty Appointments: Rebecca Allen, Lecturer (non-tenure track renewable) in the Allied Health, Human Services, and Social Work Department, effective August 18, 1999; **Nicholas Bonner**, Lecturer (non-tenure track renewable) in the Art Department, effective August 18, 1999; **Denise Dallmer,** Assistant Professor in the School of Education, effective August 18, 1999; **Suzanne De**

Luca, Lecturer (three-year non-tenure track renewable) in the First-Year Programs, effective August 18, 1999; **Deborah Doty**, Assistant Professor in the School of Education, effective August 18, 1999; **Jodi Ferner**, Lecturer (three-year non-tenure track renewable) in the First-Year Programs, effective August 18, 1999; **Ronald P. Grapsy, Jr.**, Lecturer (three-year non-tenure track renewable) in the First-Year Programs, effective August 18, 1999; **Leslie A. Kyle**, Advisor/Lecturer (non-tenure track renewable) in the Office of the Dean of the College of Business, effective August 9, 1999 (Fiscal Year); **James O. Luken**, from Interim Chair and Professor in the Biological Sciences Department to Interim Associate Dean in the College of Arts and Sciences and Professor in the Biological Sciences Department, effective August 1, 1999, through June 30, 2000; **Caron Martin**, Assistant Professor in the Nursing Department, effective August 18, 1999; **Janice Porth**, Lecturer (three-year non-tenure track renewable) in the First-Year Programs, effective August 18, 1999; **Elinor E. Rambo**, Lecturer (non-tenure track renewable) in the Biological Sciences Department, effective August 18, 1999; **Morteza Sadat-Hossieny**, Associate Professor in the Technology Department, effective August 18, 1999; **Phillip M. Sparks**, Assistant Professor (non-tenure track renewable) and Director of the Local Government Law Center of the Salmon P. Chase College of Law, effective September 13, 1999; **Vicki Stieha**, Lecturer (three-year non-tenure track renewable) in the First-Year Programs, effective August 18, 1999; **Gayla Thompson**, Assistant Professor in the School of Education, effective August 18, 1999; **Ann Whittle**, Instructor (non-tenure track renewable) in the W. Frank Steely Library, effective August 1, 1999 (Fiscal Year); and **Teresa Young**, Assistant Professor in the School of Education, effective August 18, 1999.

Resignations: **Anthony Mazzaro**, from Chair and Associate Professor in the Allied Health, Human Services, and Social Work Department, to the Associate Professor in the Allied Health, Human Services, and Social Work Department, effective July 31, 1999.

3. Temporary Faculty Appointments: President Votruba recommended that the Board of Regents approve the following temporary faculty appointments for the 1999-2000 academic year:

College of Arts and Sciences: **Art:** Celene Hawkins. **Biology:** Hildegard Baldridge, Gregory A. Dahlem, and Amy Racke. **Center for Integrative Natural Science and Mathematics:** Judy A. Westrick. **Chemistry:** Jean Gade. **Environmental Resource Management Center:** (Visiting Lecturers, fiscal year): Barry Dalton, Molly Fairbanks, Scott Fennell, Lorna Harrell, Mark Leopold, Matthew Mullin, and Lee Otte. **History and Geography**: Rowland Brucken, Eric Reginald Jackson, Kirsten Gardner, Francois LeRoy, Bonnie May, Bruce McClure, and Burke Robert Miller. **Literature and Language**: Lydia Coyle, Paul Goodin, Annabel Ihrig (fall semester), Darlene McElfresh, P. Andrew Miller, Virginia Paddock (fall semester), and Michele Peers. **Mathematics and Computer Sciences:** Shelley Cain, Boris Chudnovsky, Bo Kirby, Bethany Noblitt, Scotty L. Thompson, and Tom Warth. **Music:** Nina Key and Sergei Polusmiak. **Physics and Geology:** Sharmanthie Fernando and S. Srinivasan. **Political Science:** Victoria Beck, Frank H. Edelen, Jr., Jill Shelley. **Psychology:** Mei Mei Burr, Sharon Glazer, Wanda C. McCarthy, and Kraig L. Schell. **Sociology, Anthropology, and Philosophy:** Gregory Bigot, Robert Kenney, Timothy D. Murphy, and Karl A. Nauratil, Jr. (fall semester). **Theatre:** Mary Jo Beresford, Jane Green, Brian Robertson (fall semester), and Regina Truhart.

College of Business: Accountancy: Peggy Hussey.

College of Professional Studies: Allied Health, Human Services, and Social Work: Charles Lowe. **Communication:** Robert T. Jones, Deatra Sullivan-Morgan, Ann Taylor, Pamela Tyahur, and Stuart Zanger (fall semester). **Education:** Gary Eippert, John Huss, Toni Keller, Debora Kuchey, Mary Rozier, Sara Runge, Mimi Savio, Rosa Weaver, and Rachel Votruba (3/4 time). **Nursing:** Peggy Cooper and Denise Gormley. **Technology:** Marilyn Barrett, Majed Dabdoub, Mohammed Munir Islam, and Phyllis Sholtys (fall semester).

W. Frank Steely Library: Jason Larson (fiscal year)

Vice Provost: Developmental Mathematics: Janalynn S. Anderson, Amy Frohlich, Barbara Hamilton, Margaret Kraemer, and Shashi Sathe. **Learning Assistance Program:** Jill Easter (fall semester) and Gregory Walsh (fall semester).

Associate Provost for Strategic Planning and Outreach: Grant County Program: Matt Birkenhauer.

4. **Authorize Administration to implement a two scenario tuition strategy:** A motion was made by Regent Pogue and seconded by Regent Boggs to authorize Administration to implement a two scenario tuition strategy for academic years 2000-01 and 2001-02 at the rates proposed on the "FY 2000-01 and 2001-02 NKU Tuition Schedules." **Motion carried.**

5. **Change of the Student Code of Rights and Responsibilities, Section II,A.3:** A motion was made by Regent Andersen and seconded by Regent Pogue to approve the change of the Student Code of Rights and Responsibilities, Section II, A.3. **Motion carried.**

6. **Changes in the name of the College of Professional Studies and title for the Chair of the School of Education:** A motion was made by Regent Malloy and seconded by Regent Andersen to approve the changes in the name of the College of Professional Studies and title for the Chair of the School of Education. **Motion carried.**

7. **Renew the University Fire Service Memorandum of Agreement:** A motion was made by Regent Zapp and seconded by Regent Malloy to approve the University fire service Memorandum of Agreement with the Campbell County Fire District, Number 2. **Motion carried.**

8. **Lease Agreement with the City of Highland Heights/Marshall Lane:** A motion was made by Regent Zapp and seconded by Regent Boggs to authorize the University to enter into a lease agreement with the City of Highland Heights, leasing a parcel of approximately .36 acres located on Marshall Lane. **Motion carried.**

9. **Authorize the University to convey to the Transportation Cabinet Parcels/Dixie Highway:** A motion was made by Regent Andersen and seconded by Regent Pogue to authorize the University to convey to the Transportation Cabinet the following parcels, located on Dixie Highway which are

part of the Covington Campus: a) 2,628 square feet (0.06 acres) of land to be acquired in fee simple; b) 6,175 square feet (0.14 acres) of land for a permanent easement; and, c) 259 square feet of land for a temporary construction easement. **Motion carried.**

10. **Authority be granted to the Vice President of Finance & Administration of the University to further expand the Master Lease Agreement with Fifth Third Bank:** A motion was made by Regent Zapp and seconded by Regent Malloy to authorize the Vice President of Finance & Administration of the University to further expand the Master lease Agreement with Fifth Third Bank to include the financing of capital improvements, at such modified rates of interest as shall not exceed the interest rates established under the Master Lease Agreement interest rate formula. **Motion carried.**

11. **Grant an Easement on Dixie Highway to the Union, Light, Heat and Power Company:** A motion was made by Regent Pogue and seconded by Regent Andersen to authorize the University to grant an Easement on Dixie Highway in an area which is part of the Covington Campus to the Union, Light, Heat and Power Company. **Motion carried.**

D. **Executive Session to Discuss Legal Issues:** At 1:25 p.m. a motion was made by Regent Andersen and seconded by Regent Malloy to call an executive session. **Motion carried.**

The Board of Regents reconvened at 1:40 p.m.

A motion was made by Regent Zapp and seconded by Regent Malloy to accept the University's audited 1999 Annual Financial Report by Deloitte and Touche LLP. **Motion carried.**

E. **Other:**

- Acting Chair Poston mentioned that the Board of Regents will hold its next regular meeting on November 17, 1999, in Maysville, Kentucky.

With no further business, Acting Chair Poston adjourned the meeting at 1:42 p.m.

Lilla F. Losey
Administrative Assistant to the
President's Office

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, James R. Poston, Jr., Acting Chairperson of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on September 29, 1999, and that such matters are still in force and effect.

James R. Poston, Jr.
Acting Chairperson

7:30 a.m. November 17, 1999 - NKU Bus leaving from NKU for Maysville (Lot J-on the circle by the Steely Library Fountain
9:30-11:30am November 17,1999 - Joint Meeting of Fin.& Pol. Committees
11:30am-12:45pm November 17,1999 - Regent/Ex. Team Luncheon
1:00pm November 17,1999 - Board of Regents Meeting
(Regents--Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events.)

AGENDA

Northern Kentucky University
Wednesday, November 17,1999 - 1:00 p.m.
Maysville Community College, Room #167

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, September 29,1999, Regular Meeting

Presidential Comments

A. **Joint Finance and Policy Committee Reports**
1. Mike McCall (KCTCS)
2. Hans Kuss Presentation on Maysville Community College
3. Update on CPE Activity

B. **Presidential Reports**
1. Summary of Grant Activity
2. Quarterly Financial Report
3. Facilities Management

C. **Presidential Recommendations**
*1. Administrative Personnel Action
*2. Non-Academic Personnel Actions
*3. Academic Affairs Personnel Actions
*4. Temporary Faculty Appointments
*5. Emeritus Status
6. Change in Title for Chief Academic Officer

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, November 16, 1999).

D. **Executive Session to Discuss Legal Issues**

E. **Other**
1. **Regular Board of Regents and Special Meeting Schedule:**
January 26, 2000
March 15, 2000
May 10, 2000

2. **Council on Postsecondary Education Meeting Proposed 2000 Meeting Dates:**
January 23-24
March 19-20
May 21-22
July 23-24
September 17-18 (Trusteeship Conference)
November 12-13

3. **Upcoming Activities:**
November 16, 1999, 4:30 p.m., NKU/MCC Town Meeting (Maysville)
November 18, 1999, 6:30 p.m., NKU Foundation Appreciation Dinner (Summit Hills Country Club)
December 18, 1999, Winter Commencement (Regents Hall)
December 22, 1999, Playhouse in the Park Play and Reception (Mt. Adams)
February 2000 - Black History Month Events
Feb. 18, 2000, Alumni Awards Banquet - Clarion Riverview (Covington)
February 19, 2000, Homecoming Basketball Games
April 18, 2000, Lincoln Awards - Clarion Riverview (Covington)

NKU Board of Regents Meeting
Maysville Community College
November 17, 1999

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:05 p.m. on Wednesday, November 17, 1999, by Chairman Frank Downing.

The invocation was read by Regent Taliaferro.

Roll Call: Barry Andersen, Christopher Boggs, Frank Downing, Kathryn Hendrickson, Martha Malloy, Betty Pogue, James Poston and Philip Taliaferro (Excused - Robert Zapp).

Others Present: James Votruba, Robin Crigler, Michael Baker, Mark Shanley, William Nester, Rogers Redding, Barbara Holland, Sara Sidebottom, Angie Gabbard, Ron Ellis, Joe Ruh, Sonda Cummins, Rick Meyers, Joe Wind, Gary Graff, Jennine Brewer, Gregory Stewart, Deborah Read, and Lilla Losey.

The minutes of the September 29, 1999, regular meeting of the Board of Regents were accepted as presented with no changes.

Presidential Comments:

- On behalf of Northern Kentucky University and its Regents, President Votruba thanked both Regent Hendrickson for the invitation to the area and Maysville Community College President Hans Kuss for hosting us.
- Recognition was given for recent major gifts/scholarships: Bill and Betty Verst Endowed Scholarship Fund ($250,000); Dr. Evan and Lindsey Stein Endowed Professorship in Bio Computing ($250,000)–this represents another step in the University's commitment to study at the intersection of the disciplines as well as within the disciplines; and an anonymous gift ($100,000) to support scholarships for students from the Maysville area. Each gift will be matched by the Commonwealth to double the amount given.
- Recognition was given to the outgoing Vice President for University Relations and Development William Nester for his leadership and counsel during his tenure as Vice President. Vice President Nester's unit recently completed a period of unprecedented success in the advancement arena:
  - In the last nine months, approximately $5,000,000 was raised in development activities with aid from the Commonwealth matching fund.
  - Success Campaign
  - The elegant new alumni magazine, *Northern*
  - The proposed outdoor Spring Commencement
  - An approximate $900,000 bequest by an NKU faculty member in Mathematics and Computer Science which will become an endowment to support students and faculty in the Department of Mathematics and Computer Science.
- A welcome was extended to Deborah Read, the incoming Vice President for University Relations and Development, effective January 1, 2000.
- The appointment of Gary Pratt, the new Chief Information Officer, Office of Information Technology, effective November 1, 1999, reflects our progress toward consolidating the Offices of Administrative and Academic Computing. Both Vice Presidents Redding and Baker were recognized for their leadership efforts in bringing this about.
- Acknowledgment was given to the work of the Council on Postsecondary Education and to Chair Charles Whitehead and President Gordon Davies for their leadership in Kentucky Postsecondary

Education reform. Last week the Council recommended a budget designed to support: expanded student access throughout Kentucky; enhanced student success; stronger public engagement and connection with the community; and benchmark funding designed to correct some of the historic inequity that has existed in Kentucky Postsecondary Education. The Council's recommendations are supportive of both NKU and overall Kentucky Postsecondary Education.

A. **Joint Finance and Policy Committee Reports:** Regent Taliaferro commended Hans Kuss for his detailed report on the workforce needs of the Maysville community and college.

B. **Presidential Reports:**

1. **Summary of Grant Activity:** No comments or questions were raised on the report as submitted.
2. **Quarterly Financial Report:** No comments or questions were raised on the report as submitted.
3. **Facilities Management:** Vice President Baker reported that Nunn Drive will be closed on November 24, 1999, in the area between Landrum and the old Natural Science Building to allow for the new Science Building to be constructed. University Drive will be open after the Thanksgiving holiday on Monday, November 29.

C. **Presidential Recommendations:**

Consent Agenda Items:

A motion was made by Regent Malloy and seconded by Regent Pogue to approve the following Presidential Recommendations as listed below (1-5). **Motion carried.**

1. **Administrative Personnel Action: Deborah A. Read**, Vice President for University Relations and Development, effective January 1, 2000.

2. **Non-Academic Personnel Actions**: President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions which occurred between September 15, 1999, and November 3, 1999: Activations; Transfers, Promotions, Reassignments, Title Changes; Status Changes; Reclassifications, Re-Engineering; and Terminations.

3. **Academic Affairs Personnel Actions:**

Administrative Appointments: Gary L. Pratt, Chief Information Officer, effective November 1, 1999.

Faculty Appointments: Matt Birkenhauer, Lecturer (non-tenure track renewable) in the Grant County Program, effective August 18, 1999 and **Gary Walton**, Lecturer (non-tenure track renewable) in the Literature and Language Department, effective August 18, 1999.

Resignation: Deatra Sullivan-Morgan, Temporary Visiting Assistant Professor in the Department of Communication, effective December 31, 1999.

4. **Temporary Faculty Appointments:** President Votruba recommended that the Board of Regents approve the following temporary faculty appointments for the 1999-2000 academic year.

   College of Arts and Sciences: Literature and Language: Judy Cooper (fall semester) and Pilar Valencia. **Sociology, Anthropology, and Philosophy:** Gary Blahnik

   College of Professional Studies: Allied Health, Human Services, and Social Work: Jacqueline Smith.

5. **Emeritus Status:** President Votruba recommended that the Board of Regents approve Emeritus status for the following individual: Sandra W. Easton, Assistant Professor in the Accountancy Department.

6. **Change in Title for Chief Academic Officer:** A language change was made in the last line of the description of the chief academic officer to strike the word, "duplicitous" and substitute the word, "duplicative." A motion was made by Regent Poston and seconded by Regent Malloy to approve the title of the chief academic officer be changed from Executive Vice President and Provost to Vice President for Academic Affairs and Provost. **Motion carried.**

Executive Session to Discuss Legal Issues: At 1:25 p.m. a motion was made by Regent Hendrickson and seconded by Regent Poston to call an executive session. **Motion carried.**

The Board of Regents reconvened at 1:30 p.m. No action was taken.

E. **Other:**

Regent Hendrickson thanked both the NKU Board of Regents and Administrative Staff for coming to Maysville. She felt that the Town Meeting on November 16 had a very positive impact on the Maysville community and appreciated the opportunity for interaction with the community leaders and students.

With no further business, Chair Downing adjourned the meeting at 1:32 p.m.

Lilla F. Losey
Administrative Assistant to the
President's Office

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Kathryn Hendrickson, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on November 17, 1999, and that such matters are still in force and effect.

Kathryn B. Hendrickson
Secretary/Treasurer

8:00-9:30 am January 26, 2000 - Regents Breakfast (AC 800)
9:30-11:30am January 26, 2000 - Joint Meeting of Fin.& Pol. Committees (AC 830)
11:30am-12:45pm January 26, 2000 - Regent/Ex. Team Luncheon (President's Dining Room-UC 105)
1:00pm January 26, 2000 - Board of Regents Meeting (UC Ballroom)
Following Bd. Mtg. January 26, 2000 - Campus Reception for Deborah Read (UC 2nd Floor Lobby)
(Regents--Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events.)

AGENDA

Northern Kentucky University
Wednesday, January 26, 2000 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Oath of Office - Regents Joyce E. Griffin and Brenda L. Wilson
Roll Call and Welcome
Approval of Minutes, November 17, 1999 Regular Meeting

Presidential Comments

A. Joint Finance and Policy Committee Reports
   1. Review methods of evaluation of faculty for salary, reappointment, promotion, tenure, and post-tenure review and a review of the intellectual property policy
   2. A-133 Audit Report

B. Presidential Reports
   1. External Auditors Report on Internal Controls and other Accounting, Administrative and Operating Matters
   2. WNKU-FM Radio Audit Report
   3. Year 2000 Compliance Letter
   4. A-133 Audit of Federal Programs
   5. Quarterly Financial Report
   6. Facilities Management
   7. 2000-2001 Faculty Development Awards: Summer Fellowships
   8. 2000-2001 Faculty Development Awards: Project Grants
   9. Entrepreneurial Studies - College of Business
   10. Renaming of the division of University Relations and Development and the Department of University Relations
   11. Approve the name of the combined units of Academic Technology and Services and Administrative Computing

C. Presidential Recommendations
   *1. Non-Academic Personnel Actions
   *2. Academic Affairs Personnel Actions
   *3. Temporary Faculty Appointments
   *4. Promotion, tenure, continued probation, continued probation with conditions to be removed, and terminal reappointment
   *5. Sabbatical Leave Recommendations
   *6. Changes to the University's Emergency Closing Policy
   *7. Authorize the University to proceed with demolition of Houses 237 and 550 Johns Hill Rd.
   8. Amendments to Faculty Policies and Procedures Handbook: Post-Tenure Review Policy, Additional Terminal Degree, and Intellectual Property Policy

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling Lilla Losey in the Office of the President,572-5172, by 2 p.m., Tuesday, January 25, 2000).**

D. Executive Session to Discuss Legal Issues

E. Other
   1. **Regular Board of Regents and Special Meeting Schedule:**
      March 15, 2000
      May 10, 2000
   2. **Council on Postsecondary Education Meeting Proposed 2000 Meeting Dates:**
      March 20
      May 22
      July 17
      September 18 (Trusteeship Conference)
      November 13
   3. **Upcoming Activities (2000):**
      February - Black History Month Events
      February 18 - Alumni Awards Banquet - Clarion Riverview (Covington)
      February 19 - Homecoming Basketball Games
      April 6 - NKU Softball Field Dedication
      April 15 - Women's Walk (Intercollegiate Athletics)
      April 18 - Lincoln Awards - Clarion Riverview (Covington)
      ~~April~~ Feb. 24 - Morris Dees Presentation (Greaves Hall)
      April 26 - Stephen Covey Presentation (Regents Hall)
      May 13 - NKU 28th Annual Commencement Exercises
      June 27 - President's Invitational (Summit Hills Country Club)

Board of Regents Meeting
University Center Ballroom
January 26, 2000

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:03 p.m. on Wednesday, January 26, 2000 by Chairman Frank Downing.

The invocation was read by Regent Boggs.

The Oath of Office to incoming Regents Joyce E. Griffin and Brenda L. Wilson was administered by Chair Downing.

Roll Call: Barry Andersen, Christopher Boggs, Frank Downing, Joyce Griffin, Kathryn Hendrickson, Martha Malloy, Betty Pogue, James Poston, Philip Taliaferro and Brenda Wilson (Excused - Robert Zapp).

Others Present: James Votruba, Robin Crigler, Michael Baker, Mark Shanley, Debbie Read, Rogers Redding, Barbara Holland, Sara Sidebottom, Angie Gabbard, Ron Ellis, Joe Ruh, Sonda Cummins, Rick Meyers, Gary Graff, Gregory Stewart, Gina Holt - *Recorder Newspapers,* Mary Paula Schuh, Karen Ware, Andrea Tortora - *Kentucky Enquirer,* Bill Oliver, Dennis Griffin, Gerry St. Amand, Gaut Ragsdale, Mike Carrell, Mary Lepper, Gary Pratt, Russ Kerdolff and Lilla Losey.

The minutes of the November 17, 1999, regular meeting of the Board of Regents were accepted as presented with no changes.

Presidential Comments:

- NKU fared well in the Governor's budget recommendation for postsecondary education for the 2000 legislative session. The recommendation includes: a 10% increase in the next two years of the University's base funding; a 12M Power Plant; 1M for renovation planning of the current Science Building; $700,000 in recurring funds for a lease of the Metropolitan Education and Training Services and $10M distributed between the 6 regional universities for endowment matching funds.
- The University Administration is developing a specific plan for allocation of priority areas for benchmark funding.
- On behalf of the University, the President thanked Regent Boggs and various Student Government leaders for taking part in the recent legislative caucus session.
- Recognition was given to Chief Information Officer Gary Pratt and Allen Stone, Financial and Operations Audit, and others for the University's smooth Y2K conversion.
- A welcome was extended to new Regents Joyce Griffin and Brenda Wilson.
- Regent Martha Malloy was congratulated on her position as the University's new Director for the Office of Human Resources.

A. **Joint Finance and Policy Committee Reports:**
   1. **Review methods of evaluation of faculty for salary, reappointment, promotion, tenure, and post-tenure review and a review of the intellectual property policy**
   2. **A-133 Audit Report**

Regent Taliaferro commended Provost Redding, Legal Counsel Sidebottom, and Vice President Baker for their teamwork on both reports to the committee.

B. Presidential Reports:

Vice President Baker commented favorably on the audit conducted by Deloitte and Touche LLP in regard to the Presidential Reports (1-4 listed below) and indicated that the University continues to make progress on the various projects.

1. **External Auditors Report on Internal Controls and other Accounting, Administrative and Operating Matters**
2. **WNKU-FM Radio Audit Report**
3. **Year 2000 Compliance Letter**
4. **A-133 Audit of Federal Programs**

5. **Quarterly Financial Report:** Vice President Baker commented that the University was well within budget for the quarter.

6. **Facilities Management:** Vice President Baker reported that the completion of the construction on the new Parking Garage was now expected by the end of February.

   Regent Andersen asked about two items included in the report:

   - Item #10 - GE Capital Smart Classroom, as to its availability for University use. Vice President Baker indicated that the end of April is projected.
   - Item #11 - University Center Theatre, Vice President Baker indicated that there was Student/Faculty/Staff input on the renovations.

7. **2000-2001 Faculty Development Awards: Summer Fellowships:** Provost Redding indicated that this was a continuing investment by the University in faculty development.

8. **2000-2001 Faculty Development Awards: Project Grants:** Provost Redding indicated that these grants are awarded to encourage professional growth through financial support for independent research.

9. **Entrepreneurial Studies - College of Business:** Provost Redding commented on the program which is a 22-hour minor designed specifically for students outside the College of Business who are seeking a minor appropriate to their career aspirations. The minor consists of 10 hours of core business classes, 9 hours of entrepreneurship classes, and 3 hours of electives.

10. **Renaming of the division of University Relations and Development and the Department of University Relations:** Vice President Read commented that changing the title better reflects the broad responsibilities of the division in advancing the University's mission. The Office of University Relations and Development is changed to the Office of University Advancement, which includes Alumni Affairs, Development, Community and Government Relations, University Relations and the NKU public radio station (WNKU-FM). The Vice President's title will change accordingly.

The Office of University Relations is changed to the Office of University Communications. This title change reflects the important work of the department in communicating the University's various messages to its many constituencies, both internal and external. The Assistant Vice President's title will change accordingly.

11. **Approve the name of the combined units of Academic Technology and Services and Administrative Computing:** Provost Redding commented that the reorganization of Academic Technology and Services (including Media Services) and Administrative Computing, including Telecommunications, into a single unit (Office of Information Technology) will allow the office to run more efficiently. The new name best suits the purposes of the department and is in line with industry standards for this type of operation. The change will also allow the department's staff and the University community to begin identifying the department as a single unit. He thanked Gary Pratt and others for their long efforts in bringing this about.

A motion was made by Regent Hendrickson and seconded by Regent Malloy to accept Reports (B.1-11) as submitted. **Motion carried.**

C. **Presidential Recommendations:**

Consent Agenda Items: A motion was made by Regent Poston and seconded by Regent Pogue to approve the following Presidential Recommendations as listed below (1-7). **Motion carried.**

1. **Non-Academic Personnel Actions:** President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions which occurred between November 4, 1999, and January 10, 2000: Activations; Status Changes; Reclassifications, Re-Engineering; and Terminations.

2. **Academic Affairs Personnel Actions:**

Faculty Appointment: Anjana Arora, Assistant Professor in the School of Education, effective January 1, 2000.

Leaves, Paid/Unpaid: Mary Ellen Elsbernd, Associate Professor in the W. Frank Steely Library, unpaid leave with benefits, beginning February 16, 2000, and ending June 30, 2000; **Martin Huelsmann**, Professor in the Salmon P. Chase College of Law, unpaid leave with benefits to be paid by employee, beginning January 1, 2000, and ending December 31, 2000. Option exists for consideration of a second year; **Michael R. McPherson**, Professor in the Physics and Geology Department, unpaid leave with benefits, for the fall 2000 semester; **Laura Sullivan**, Associate Professor in the W. Frank Steely Library, unpaid leave with benefits, beginning August 1, 2000, and ending August 31, 2001.

Retirements: Charles B. Braden, Professor in the Mathematics and Computer Science Department, through the Retirement Incentive Program, effective with the 2000-2001 academic year; **Yudhishter Datta**, Professor in the Accountancy Department, through the Retirement Incentive Program, effective with the 2000-2001 academic year; **Mary Ellen Elsbernd**, Associate Professor in the W. Frank Steely Library, through the Retirement Incentive Program,

effective with the 2000-2001 academic year; **Michael R. McPherson**, Professor in the Physics and Geology Department, through the Retirement Incentive Program, effective January 1, 2001; **Alice G. Rini**, Associate Professor in the Nursing Department, through the Retirement Incentive Program, effective with the 2000-2001 academic year; **Joe K. Smith**, Professor in the Mathematics and Computer Science Department, through the Retirement Incentive Program, effective with the 2000-2001 academic year; **Kathleen S. Verderber**, Associate Professor in the Management and Marketing Department, through the Retirement Incentive Program, effective with the 2000-2001 academic year; and **John O. Westlund**, Professor in the Music Department, through the Retirement Incentive Program, effective with the 2000-2001 academic year.

3. **Temporary Faculty Appointments:** President Votruba recommended that the Board of Regents approve the following temporary faculty appointments for spring 2000.

College of Business: Management and Marketing: Joumana Abraha..
College of Professional Studies and Education: Communication: Gina C. Wesley.
Vice Provost: Developmental Mathematics: JoJean Southwick.

4. **Promotion, tenure, continued probation, continued probation with conditions to be removed, and terminal reappointment:**

Promotion beginning with the 2000-2001 academic year: Jon M. Hastings, Gina Foletta, Gail W. Wells, Gayle Sheard-Grout, Perilou Goddard, Yushi Li, Leslie D. Turner, Matthew D. Shank, Rebecca White, Lynne A. Smith, Stephen C. Walker, Louise Niemer, Edward C. Brewer, III, David J. Franklyn, Allen Ellis, and Donna B. Smith.

Tenure beginning with the 2000-2001 academic year: Gina Foletta, Maria Falbo-Kenkel, Yushi Li, Rebecca White, Louise Niemer, Donna B. Smith.

Continued probation for the 2000-2001 academic year under the conditions of the *Faculty Policies and Procedures Handbook*: Breuna Baine, Lisa Jameson, Roxanne M. Kent-Drury, Kristine Yohe, Robert Scott Linder, Kristin Pfabe, Steven Dent, Shamima Ahmed, James Clingermayer, Jo Ann Ewalt, Douglas Krull, Peter Theuri, John White, Jeffrey Donaldson, Dean B. Kiefer, Jr., Shailendra Verma, Debra Kasel, Cady Short-Thompson, Linda Welker, Michele Roszmann-Millican, Carol Ryan, Allen Seed, Nancy Batchelor, Ann Dollins, Mary Gers, Craig Agneberg, Simone Bess, Ruth Champion, Daryl Orth, Harold Wiebe, Jennifer Bales, Richard Bales, Edward Brewer, David Franklyn, and Claudia Zaher.

Continued probation with conditions to be removed for the 2000-2001 academic year under the conditions of the *Faculty Policies and Procedures Handbook:* Laura Rosenfeld, Lisa Elderbrock, Carol Dary Dunevant, Dennis Davenport, Stephanie Barnes, and James Roeder.

Terminal Reappointment for 2000-2001 under the conditions of the *Faculty Policies and Procedures Handbook*: Patricia Knox, Deborah Pressly, and Robert Brautigan.

5. **Sabbatical leave recommendations for the 2000-2001 academic year:** David B. Agard, one

semester; Lawrence R. Borne, one semester; Ana England, academic year; Carolyn Z. Hagner, one semester; H. Randolph Holt, one semester; Kevin G. Kirby, academic year; Thomas Leech, one semester; Nan E. Littleton, one semester; Denis J. Lye, academic year; Beverly Reno, one semester; Robert T. Rhode, one semester; Stephen C. Richards, one semester; Lowell F. Schechter, one semester; Penelope B. Summers, academic year; Robert K. Wallace, academic year; Robert W. Wilcox, academic year; and Kristin R. Yount, one semester.

6. **Changes to the University's Emergency Closing Policy (formerly known as the Severe Weather Policy):** Due to the University closing for reasons other than severe weather, it was necessary to revise the policy to include other emergencies. Additionally, clarification regarding payment for partial day closures for non-exempt personnel was required to insure consistency.

7. **Authorize the University to proceed with demolition of Houses 237 and 550 Johns Hill Rd.:** Both structures will be demolished and asbestos removed in accordance with State guidelines.

8. **Amendments *to Faculty Policies and Procedures Handbook*: Post-Tenure Review Policy, Additional Terminal Degree, and Intellectual Property Policy:**

   - On November 11, 1999, the Faculty Senate adopted the Post-Tenure Review Policy as a new Section X, Post-Tenure Review, in Part One of the *Faculty Policies and Procedures Handbook.* The policy was recommended to the Faculty Senate by its Professional Concerns Committee after an extensive period of review.

   - On December 20, 1999, the Faculty Senate approved a revision to Part Two of the *Faculty Policies and Procedures Handbook*, Section XIII. Appropriate Terminal Degrees for Faculty, that adds the M.F.A. degree as an acceptable terminal degree for a faculty position in creative writing. The current *Handbook* lists the Ph.D. as the only appropriate degree for a faculty position in creative writing.

   - On December 20, 1999, the Faculty Senate adopted the Intellectual Property Policy. The policy was developed by the Senate's Professional Concerns Committee.

     The policy will establish the respective rights and obligations of the University and its faculty in Intellectual Property of all kinds now and hereafter existing and of all countries, regions or other political entities.

A motion was made by Regent Taliaferro and seconded by Regent Andersen to approve the amendments to the *Faculty Policies and Procedures Handbook.* **Motion carried.**

A motion was made by Regent Pogue and seconded by Regent Boggs to approve the Resolution: *That the NKU Board of Regents strongly endorses Governor Patton's budget recommendation for the Commonwealth of Kentucky's postsecondary education and urges the General Assembly to approve the recommendation in the 2000 legislative session.* **Motion carried.**

Regent Recommendation:

Changes to the By-Laws, Article I, B. 2:

A motion was made by Regent Poston and seconded by Regent Pogue to accept the changes to the By-Laws, Article I, B.2, as listed below for informational purposes at this time. The revised recommendation will be introduced for action at the next Board of Regents meeting on March 15, 2000. **Motion carried.**

Original:
Any former member of the original Board of Regents may, if the Board of Regents so chooses, become a Regent Emeritus, entitling said Regent Emeritus to attend all meetings and functions of the Board of Regents without voting power.

Revised:
All former members of the Board of Regents may, if the Board of Regents chooses, become a Regent Emeritus entitling said Regent Emeritus to attend all meetings and functions of the Board of Regents without voting power. Special recognition is awarded to the founding Board of Regents.

Executive Session to Discuss Legal Issues: At 1:30 p.m. a motion was made by Regent Poston and seconded by Regent Hendrickson to call an executive session. **Motion carried.**

The Board of Regents reconvened at 1:45 p.m. No action was taken.

The President announced the reception for Deborah Read directly following the meeting in the University Center 2nd floor lobby.

With no further business, Chair Downing adjourned the meeting at 1:45 p.m.

Lilla F. Losey
Administrative Assistant to the
President's Office

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Kathryn Hendrickson, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on January 26, 2000, and that such matters are still in force and effect.

Kathryn B. Hendrickson
Secretary/Treasurer

AGENDA

Northern Kentucky University
Wednesday, February 23, 2000 - 10:30 a.m.
Room #110, Capitol Building - Frankfort

Call to Order

Roll Call and Welcome

A. Special Presentation: Governor Patton to discuss Higher Education Budget

B. Other:

1. **Regular Board of Regents and Special Meeting Schedule:**
   March 15, 2000
   May 10, 2000
2. **Council on Postsecondary Education Meeting Proposed 2000 Meeting Dates:**
   March 20
   May 22
   July 17
   September 18 (Trusteeship Conference)
   November 13
3. **Upcoming Activities (2000):**
   February - Black History Month Events
   February 18 - Alumni Awards Banquet - Clarion Riverview (Covington)
   February 19 - Homecoming Basketball Games
   February 24 - Morris Dees Presentation (Greaves Hall)
   April 6 - NKU Softball Field Dedication
   April 15 - Women's Walk (Intercollegiate Athletics)
   April 18 - Lincoln Awards - Clarion Riverview (Covington)
   April 26 - Stephen Covey Presentation (Regents Hall)
   May 13 - NKU 28th Annual Commencement Exercises
   June 27 - President's Invitational (Summit Hills Country Club)

Special Board of Regents Meeting
Wednesday, February 23, 2000
Room #110, Capitol Building - Frankfort

The special meeting of the Board of Regents of Northern Kentucky University was called to order at 10:30 a.m. on Wednesday, February 23, 2000 by Chair Frank Downing.

The invocation was read by Regent Zapp.

Roll Call: Barry Andersen, Christopher Boggs, Frank Downing, Kathryn Hendrickson, Martha Malloy, Betty Pogue, James Poston, Philip Taliaferro and Robert Zapp (Excused - Joyce Griffin and Brenda Wilson).

Others Present: James Votruba, Angie Gabbard, Barbara Holland, Robin Crigler, W. Michael Baker, Rogers Redding, Deborah Read, Joseph Wind, Debra Vance - *Kentucky Post*, Gerry St. Amand, Gerald Hunter, Mike Carrell, Sara Sidebottom, Gregory Stewart, Henry Pogue, Ron Ellis, Tony Atwarter, Rick Meyers, Representative Jim Callahan, Gordon Davies, Representative Jon Draud, Crit Luallen, Governor Paul E. Patton, Jim Ramsey, Senator Richard Roeding, Senator Charlie Walton and Lilla Losey.

President's Comments:

- This was the first time that NKU's Board of Regents met in the Capitol, which reflects the importance that the Board places on this legislative session and its support of Kentucky Postsecondary Education Reform.
- Two years ago, the General Assembly joined with the Governor to produce HB1, making Postsecondary Education along with KERA, the foundation upon which Kentucky would build its future.
- Over the past two years the Council on Postsecondary Education (CPE) has worked to develop a comprehensive approach to enhance Kentucky's higher education system through a benchmark process which highlights NKU's historic underfunding.
- NKU is the most underfunded university in the Commonwealth by any measure. The Governor's budget includes funding for NKU that would bring the University closer to funding equity.

Crit Luallen, Secretary of the Cabinet, welcomed the NKU Board of Regents to Frankfort. She indicated that the Governor was committed to funding for postsecondary education as it is vital to Kentucky's overall economy to ensure a qualified workforce and to bring in more jobs–the focus is on education.

Governor Patton addressed the Board on HB1 indicating his support for the CPE in the new era of reform and felt that NKU was doing an excellent job. Negotiations are underway in the General Assembly to move education forward. The Proposal is to generate $144.5 million dollars in the second year of the biennium and $103 million of that will go to education.

Jim Ramsey, State Budget Director, gave a presentation on the Budget Proposal.

Members of the Northern Kentucky Legislature (Senator Richard Roeding, Senator Charlie Walton, Representative Jim Callahan, and Representative Jon Draud) welcomed the Board to Frankfort.

Gordon Davies, President of the Council on Postsecondary Education, addressed the Board on the Council's proposed budget and indicated that 8 out of 9 of the University presidents signed off on the proposed budget.

With no further business, Chair Downing adjourned the meeting at 12:05 p.m.

Lilla F. Losey
Administrative Assistant to the
Office of the President

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Kathryn Hendrickson, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its special meeting held on February 23, 2000, and that such matters are still in force and effect.

Kathryn B. Hendrickson
Secretary/Treasurer

9:30-11:30am March 15, 2000 - Joint Meeting of Fin.& Pol. Committees (AC 830)
11:30am-12:45pm March 15, 2000 - Regent/Ex. Team Luncheon (President's Dining Room-UC 105)
1:00pm March 15, 2000 - Board of Regents Meeting (UC Ballroom)
(Regents--Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events.)

AGENDA
Northern Kentucky University
Wednesday, March 15, 2000 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, January 26, 2000 Regular Meeting and February 23, 2000 Special Meeting

Presidential Comments

A. **Joint Finance and Policy Committee Reports**
   1. Program Bands/Program Approval (Redding)
   2. Master Plan Review (Baker)
   3. Student Fees (Shanley)

B. **Presidential Reports**
   1. Summary of Grant Activity
   2. Facilities Management

C. **Presidential Recommendations**
   *1. Non-Academic Personnel Actions
   *2. Authorize Chair Frank K. Downing, pursuant to KRS 164A.575(7), to sign documents on its behalf to effect the sale of real estate to the Transportation Cabinet.
   *3. Authorize Chair Frank K. Downing, pursuant to KRS 164A.575(7), to sign documents on its behalf to accommodate The Union Light, Heat and Power Company.
   *4. Academic Affairs Personnel Actions
   *5. Temporary Faculty Appointment
   *6. Continued probation and continued probation with conditions to be removed
   *7. Reassigned Time Benefits
   *8. Emeritus Status
   9. Approve a comprehensive schedule of fees and charges for academic year 2000-01
   10. Student Union Building Fee Proposal/Student Incidental Fees

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling Lilla Losey in the Office of the President,572-5172, by 2 p.m., Tuesday, March 14,2000).**

D. **Regent Recommendation:**
   1. Changes to the By-Laws

E. **Executive Session to Discuss Legal Issues**

F. **Other**
   1. **Regular Board of Regents and Special Meeting Schedule:**
      May 10, 2000

   2. **Council on Postsecondary Education Meeting Proposed 2000 Meeting Dates:**
      March 20
      May 22
      July 17
      September 18 (Trusteeship Conference)
      November 13

   3. **Upcoming Activities:**
      March (Women's History Month events)
      April 6 - NKU Softball Field Dedication
      April 15 - Women's Walk (Intercollegiate Athletics)
      April 18 - Lincoln Awards - Clarion Riverview (Covington)
      April 26 - Stephen Covey Presentation (Regents Hall)
      April 27 - Foundation Annual meeting (Medical Research Laboratories)
      May 13 - NKU 28th Annual Commencement Exercises
      June 27 - President's Invitational (Summit Hills Country Club)
      August 29 - Cincinnati Pops (NKU Soccer Field)

Board of Regents Meeting
University Center Ballroom
March 15, 2000

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:05 p.m. on Wednesday, March 15, 2000 by Chairman Frank Downing.

The invocation was read by Regent Andersen.

Roll Call: Barry Andersen, Christopher Boggs, Frank Downing, Joyce Griffin, Martha Malloy, Betty Pogue, James Poston, Philip Taliaferro, and Brenda Wilson (Excused - Kathryn Hendrickson and Robert Zapp).

Others Present: James Votruba, Mike Baker, Rogers Redding, Mark Shanley, Robin Crigler, Debbie Read, Gerald Hunter, Cheryl Nunez, Gerry St. Amand, Ron Ellis, Rick Meyers, Debra Vance- *Kentucky Post*, Angie Gabbard, Mary Paula Schuh, Mary Ryan, Andrea Tortora - *Kentucky Enquirer*, Gina Holt - *Recorder Newspapers*, Mike Carrell, Tony Atwater, Gail W. Wells, Sara Sidebottom, Gary Pratt, Gary Graff, Eric Brose, Sonda Cummins, and Lilla Losey.

The minutes of the January 26, 2000, regular meeting and February 23, 2000, special meeting of the Board of Regents were accepted as presented with no changes.

Presidential Comments:

- I believe the Kentucky legislature will approve the budget recommendations which include full funding for NKU. The new budget will also have a positive economic impact on the future of the Northern Kentucky region and would include: Benchmark funding; an action agenda of 1.4M focused on strengthening the ties between the university and the public served; METS program committed to workforce and training; matching funds for private donors (NKU was one of three Kentucky Universities matching all of their funding this last legislative session).
- The Board of Regents was thanked for attending the February 23, 2000, special Board of Regents meeting in Frankfort in support of the economic needs not only for the University but also for the Northern Kentucky region.
- Regent Boggs was given special recognition for organizing students to attend legislative sessions.
- Plans are progressing to hold the Spring Commencement exercises at the Firstar arena in Cincinnati. It has been many years since the University, because of space limitations, has had an opportunity to gather as a community at one ceremony instead of five separate ceremonies. A thousand students are expected to graduate. There will be an estimated 6,000-8,000 guests in attendance. Seventy international countries are represented on the NKU campus. During the Commencement processional, the international students will be carrying a flag to represent their respective countries. This reinforces the fact the NKU is not only a metropolitan university but also draws from a global network.
- Recognition was given to the Men's and Women's Basketball teams for their outstanding performance this year. The men went to the NCAA but did not prevail at the regional level. The women will go the "elite eight" next week and play against the #1 team in the nation.

- NKU/Chase placed second at the recent National Moot Competition for Women Law Students.
- NKU leads the Commonwealth in enrollment in the Commonwealth Virtual University. Nationally, about 40% of the students enrolled in the web-based courses are campus based students. Of the approximately 2,000 students enrolled in KCVU this year, about 560 are from NKU.
- For the first time there is strategy to recruit students internationally, focused on those countries where area businesses already have an economic interest and relationships established.
- Recognition was given to the recent death of Professor Tony Mazzaro, Department of Social Work. Professor Mazzaro was known for his love of students and the community, and for his commitment to making higher education accessible to the poor.

A. Joint Finance and Policy Committee Reports:

Regent Taliaferro commented on the following:

1. **Program Bands/Program Approval:** The new revised program approval process at the Council on Postsecondary Educational level enables the University to create some new degree programs without approval.
2. **Master Plan Review:** Vice President Baker was commended for his presentation of the campus Master Plan. The results of the Plan should provide the University with a beautiful campus environment through creativity, landscaping and water.
3. **Student Fees:** The additional fees bring the University to a competitive level in regard to out-of-state and in-state fees.

B. Presidential Reports:

1. **Summary of Grant Activity:** Second Quarter of Fiscal Year 2000: October 1, 1999-December 31, 1999. No comments on grant activity as submitted.
2. **Facilities Management:** Vice President Baker made comments on the following:
   - The new Parking Structure is scheduled to open on Monday, March 20, 2000.
   - The new Softball Field is nearing completion and will be dedicated on April 6, 2000.
   - Master Plan -- A committee of 20 is ready to go to the campus community for input this March.

   Chair Downing asked for an update on the Fire Alarm Modernization. Vice President Baker indicated that this process is complete and that the dorms are in compliance with State code.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Griffin and seconded by Regent Malloy to approve the following Presidential Recommendations as listed below (1-8). **Motion carried.**

1. **Non-Academic Personnel Actions**: President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions which occurred

between January 11, 2000, and February 29, 2000: Activations; Temporary/Contract to Regular Status; Promotions; Reclassifications, Re-Engineering; Status Change; Transfers and Re-Assignments; and Terminations.

2. **Authorize Chair Frank K. Downing, pursuant to KRS 164A.575(7), to sign documents on its behalf to effect the sale of the following real estate to the Transportation Cabinet.**
   - 2,628 square feet (0.06 acres) of land to be conveyed in fee simple.

   In addition, contemporaneous with the sale, the following easements are necessary:
   - 6,175 square feet (0.14) acres of land for a permanent easement; and,
   - 259 square feet of land for a temporary construction easement.

   The Transportation Cabinet is planning to install a sidewalk with curb and gutter along Dixie Highway near the entrance to NKU's Covington Campus. In September, the Board approved the conveyances outlined above. This authorization is necessary for compliance with State statutes.

3. **Authorize Chair Frank K. Downing, pursuant to KRS 164A.575(7), to sign documents on its behalf to accommodate the Union Light, Heat and Power Company's request for a ten square foot easement for a down-guy wire and anchor.**

   The Transportation Cabinet is planning to install a sidewalk with curb and gutter along Dixie Highway near the entrance to NKU's Covington Campus. In September, the Board approved the conveyances outlined above. This authorization is necessary for compliance with State statutes.

4. **Academic Affairs Personnel Actions:**

   Faculty Appointment: Phillip H. Schmidt, Professor in the Mathematics and Computer Science Department and Director of the Center for Integrative Natural Science and Mathematics, effective with the July 1, 2000 fiscal year.

   Leave, Unpaid: David M. Potter, Associate Professor in the Political Science Department, unpaid leave without benefits, beginning August 16, 2000, and ending May 12, 2001.

   Retirement: W. Frank Steely, Professor in the History and Geography Department, effective December 14, 1999.

5. **Temporary Faculty Appointment:** W. Frank Steely, Professor in the History and Geography Department, Spring 2000.

6. **Continued probation and continued probation with conditions to be removed:**

   Continued probation for the 2000-2001 academic year under the conditions of the *Faculty Policies and Procedures Handbook* (all hold the title of Assistant Professor except as noted):
   College of Arts and Sciences: Kimberly Allen-Kattus, Patrick Schultheis, Jonathan Reynolds, Tamara O'Callaghan, Tammie L. Gerke, Amy Thistlethwaite, Jo Ellen Burkholder.
   College of Business: Cretson Dalmadge, Toru Sakaguchi, Ken Rhee.
   College of Professional Studies and Education: Denise Dallmer, Deborah Doty, Yvonne Meichtry, Teresa Young, Carol Martin, and Morteza Sadat-Hossieny-Associate Professor.
   W. Frank Steely Library: Michael Rose.

   Continued probation with conditions to be removed for the 2000-2001 academic year under the conditions of the *Faculty Policies and Procedures Handbook*:
   College of Professional Studies and Education: Gayla Thompson.

7. **Reassigned Time Benefits:** Approved Reassigned Time Benefits to James Ramage, Regents Professor in the History and Geography Department equivalent to 50% for an additional six-year term beginning July 1, 2000.

8. **Emeritus Status:** Bart Braden, Professor in the Mathematics and Computer Science Department; Yudhishter Datta, Professor in the Accountancy Department; Joe K. Smith, Professor in the Mathematics and Computer Science Department; W. Frank Steely, Professor in the History and Geography Department and former President of the University; John O. Westlund, Professor in the Music Department; and posthumously awarded to Anthony Mazzaro, Associate Professor in Allied Health, Human Services and Social Work

9. **Approve a comprehensive schedule of fees and charges for academic year 2000-01:** A motion was made by Regent Pogue and seconded by Regent Boggs to approve a comprehensive schedule of fees and charges for academic year 2000-01 at the rates proposed on the "Authorized Schedule of Fees/Service Charges." **Motion carried.**

10. **Student Union Building Fee Proposal/Student Incidental Fees:** A motion was made by Regent Boggs and seconded by Regent Pogue to approve the recommendation for a prospective $20 per semester increase in the student incidental fees to support the construction of a new Student Union. The actual collection of this fee would commence in Fall 2002 upon formal University and CPE approval and legislative funding of this project. Should such approval and funding not be forthcoming in the 2002 legislative session, this fee would not be activated and Board approval canceled. **Motion carried.**

    Regent Boggs commented that this fee was initiated by the students and had assistance from the

Administration in putting the plan together. President Votruba commended Regent Boggs and the Student Government leaders for their efforts and stated that it was rare for students to take the initiative for such an action which speaks to the importance of the Student Center.

D. Regent Recommendation:

1. **Changes to the By-Laws**: The changes to the By-Laws, Article 1, B.2 concerning Regent Emeritus status has been tabled for further discussion and will be brought before the Board of Regents at its next regular meeting. A committee consisting of Regents Poston, Griffin, and Wilson has been appointed to examine criteria to determine Regent Emeritus status.

E. Executive Session to Discuss legal Issues: At 1:35 p.m. a motion was made by Regent Andersen and seconded by Regent Pogue to call an executive session. **Motion carried.**

The Board of Regents reconvened at 1:55 p.m. No action taken.

President Votruba commented on the current search for the position of Provost/Vice President of Academic Affairs. The Search Committee reported that the search is going well with three candidates scheduled for interviews in early April. Perilou Goddard was commended for her work as the Chair of the Search Committee.

With no further business, Chair Downing adjourned the meeting at 2:00 p.m.

Lilla F. Losey
Administrative Assistant to the
President's Office

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Philip Taliaferro III, Vice Chairman of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on March 15, 2000, and that such matters are still in force and effect.

Philip Taliaferro III
Vice Chair

6:00 p.m. May 9, 2000 - Dinner at the President's Home (Lakeside Park)
9:30-11:30am May 10, 2000 - Joint Meeting of Fin.& Pol. Committees (AC 830)
11:30am-12:45pm May 10, 2000 - Student Award Reception (2nd Floor Lobby University Center)
1:00pm May 10, 2000 - Board of Regents Meeting (UC Ballroom)
2:30-4 pm May 10, 2000 - Constituent Regent Reception (2nd Floor Lobby University Center)
(Regents--Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events.)

**(Revised) AGENDA

Northern Kentucky University
Wednesday, May 10, 2000 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, March 15,2000 Regular Meeting

Presidential Comments

A. Joint Finance and Policy Committee Reports
1. Budget Presentation
2. Dorm Breezeway Issues

B. Presidential Reports
1. New title for: Office of Curriculum and Assessment and Office of Community Education and Services (Covington Campus)
2. Facilities Management
3. Quarterly Financial Report
4. University Advancement Update

C. Presidential Recommendations
*1. Academic Affairs Personnel Actions
*2. Temporary Faculty Appointment
*3. Emeritus Status
*4. Initiation of a new program, Associate Degree in Liberal Studies, College of Arts and Sciences beginning of 2000 fall semester
*5. Non-Academic Personnel Actions
*6. Authorization given to the VP for Administration and Finance/Treasurer to write off bad debts
7. Approve the revision of Section VII, Sexual Harassment Policy and Procedures, of the Northern Perspective Student Handbook.
8. Approve the fiscal year 20000-2001 annual budget for Northern Kentucky University
** 9. Resolutions for 1999-2000 University-wide accomplishments:

a) *NKU Women's Basketball Team*	d) *NKU Alumni Leg. Advoc.*	h) *Senate Pres David Williams*
b) *NKU Intercoll Athletics Prog*	e) *NKU Team 2000*	i) *CPE and Gordon Davies*
c) *Statewide and Local Media*	f) *Governor Paul Patton*	j) *NK Legislative Caucus*
	g) *Jody Richards*	k) *2000 General Assembly Budget Conference Comm.*

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling Lilla Losey in the Office of the President,572-5172, by 2 p.m., Tuesday, May 9,2000).**

D. Regent Recommendation:
1. Changes to the By-Laws (Article 1, B.2)

E. Executive Session to Discuss Legal Issues

F. Other
1. **Regular Board of Regents and Special Meeting Schedule:**
July 12, 2000
September 27, 2000
November 15, 2000
January 17, 2001
March 7, 2001
May 9, 2001
2. **Council on Postsecondary Education Meeting Proposed 2000 Meeting Dates:**
May 22
July 17
September 18 (Trusteeship Conference)
November 13
3. **Upcoming Activities:**
May 10 - Student Government Association Banquet (Highland Country Club)
May 13 - NKU 28th Annual Commencement Exercises (Chase -Regent's Hall at 12:15 pm, all other Firstar Center-Cincinnati at 4:30 p.m.)
June 27 - President's Invitational (Summit Hills Country Club)
August 22 - Fall Convocation (Greaves Concert Hall)
August 29 - Cincinnati Pops (NKU Soccer Field)
Sep. 11&12 - Regents Retreat (Metropolitan Club-Covington)

Board of Regents Meeting
University Center Ballroom
May 10, 2000

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:05 p.m. on Wednesday, May 10, 2000, by Chairman Frank Downing.

The invocation was read by Regent Malloy.

Roll Call: Barry Andersen, Christopher Boggs, Frank Downing, Joyce Griffin, Martha Malloy, Betty Pogue, James Poston, Philip Taliaferro, Brenda Wilson and Robert Zapp (Excused - Kathryn Hendrickson).

Others Present: James Votruba, Mike Baker, Rogers Redding, Mark Shanley, Robin Crigler, Debbie Read, Gerald Hunter, Barbara Holland, Cheryl Nunez, Gerry St. Amand, Ron Ellis, Rick Meyers, Angie Gabbard, Mary Paula Schuh, Mary Ryan, Gina Holt - *Recorder Newspapers*, Mike Carrell, Tony Atwater, Gail W. Wells, Sara Sidebottom, Gary Pratt, Gary Graff, Sonda Cummins, Lenore Chambers, Helen Wilhelm-Olsen, Joe Wind, Chuck Pettit, Jane Meier, Mary Biermann, Gaut Ragsdale, Meg Winchell, Russ Kerdolff, Ken Ramey, Jane Schwierjohann, Diane Kruer, Wes Wright and Lilla Losey.

The minutes of the March 15, 2000, regular meeting of the Board of Regents were accepted as presented with no changes.

Presidential Comments:

- Special thanks were given to outgoing Regents Barry Andersen, Martha Malloy and Christopher Boggs who each contributed to a historic period in the development of NKU.
- This spring saw the General Assembly reaffirm the intent of House Bill 1 and the need to fund NKU on a more equitable basis. This funding makes it possible to strengthen both the quality and accessability of NKU as was thought three years ago. This funding will be the driving force in advancing our five-year strategic agenda.
- The NKU Board of Regents was recognized for their advice, counsel and collective advocacy on behalf of the University during this past year. Symbolic of their deep commitment to the University, they convened in the State capital this past February in support of the CPE recommendations.
- Vice President Rogers Redding has accepted the offer to serve as Vice President/Provost for Academic Affairs. He was commended for his distinguished record of leadership as Dean of the College of Arts and Sciences and for the past year has demonstrated his capacity to lead by serving as Interim Provost and Vice President for Academic Affairs. He will be engaged in detailed academic planning and priority setting in an era when, both nationally and in Kentucky, higher education is undergoing a fundamental realignment.
- The University will hold its first University-wide graduation in nearly twenty years this Saturday (5/13/00) at the Firstar Center in Cincinnati with approximately 1,000 graduates and 6-8,000 family and friends in attendance.
- Special thanks were given to Ron Ellis, Assistant Vice President for University Communications, and Robin Crigler, Executive Assistant to the President and Secretary to the Board of Regents, for their part in planning the event in such a short period of time.
- The retirement of the University's founding President Frank Steely was mentioned. He was a person who brought vision and values to the University.

Chair Downing gave special recognition to: President Votruba as being the outstanding alumnus of Michigan State University; Robin Crigler for giving the recent Commencement address at the University of Kentucky; and Jon Draud for his recognition next week as the outstanding graduate at Eastern Kentucky University.

A. Joint Finance and Policy Committee Reports:

Regent Taliaferro commented on the following:

1. **Budget Presentation:**
President Votruba gave a presentation on the passage of the budget by the General Assembly in support of the revision of House Bill 1. Of particular importance was the increase in base funding of 2.7% for 2000-01 and 3.7% for 2001-02. Benchmark funding is approximately $2.9M each year. The University will have approximately $3.5M in new money for investment after covering non-discretionary cost increases in 00-01 and $5.8M in 01-02. The President is very much learner centered and feels very strongly that one of the ways to make NKU more learner centered is to make major investments in salaries for faculty. In an effort to enhance faculty recruitment, 83% of the new available funds will go into salaries. NKU is about $11,000 per faculty member below the average for our benchmark institutions.

2. **Dorm Breezeway Issues:**
Vice President Baker discussed the deterioration of the wood on several decks. The cost to temporarily make the decks safe was approximately $45,000 with $1M necessary to permanently correct the problem. This money will come from the State.

B. Presidential Reports:

1. **New title for: Office of Curriculum and Assessment and Office of Community Education and Services (Covington Campus):** There were no comments on the report as submitted.

2. **Facilities Management:** Vice President Baker made comments on the completion of the following major projects.

   - The new Parking Garage is complete. Occupancy occurred on March 20.
   - The new Softball Field is complete. Dedication occurred on April 6.

   Mary Paula Schuh and Henry Keene from Campus Planning, were recognized for their hard work on facilities management this year.

   The University plans to bring a final report on the Master Plan to the Regents this summer.

3. **Quarterly Financial Report:** Vice President Baker commented that the University was operating within the Budget for the quarter.

4. **University Advancement Update:** Vice President Read reported that the University was making progress on new pledges and cash gifts since July 1, 1999, totaling nearly $6 million. Over $8 million in proposals have been submitted since January 1, with $4,851,00 pending.

   College and campus fund raising priorities have been submitted by the deans and vice presidents for review and prioritization. Once reviewed and recommended, the list will come forward to the Board of Regents for review and endorsement at the September meeting.

   A publications audit has recently been done to review all university publications to improve our publications and advertising to better reflect the mission, goals and values of the University. A report will be forthcoming this summer on this progress along with other marketing efforts.

C. **Presidential Recommendations:**

Consent Agenda Items: A motion was made by Regent Poston and seconded by Regent Zapp to approve the following Presidential Recommendations as listed below (1-6). **Motion carried.**

1. **Academic Affairs Personnel Actions:**

Administrative Appointments: Mary Lepper, Director of Curriculum, Accreditation and Assessment, effective February 1, 2000; Danny L. Miller, from Interim Chair and Professor in the Literature and Language Department to Chair and Professor in the Literature and Language Department, effective July 1, 2000; Rogers W. Redding, Vice President for Academic Affairs and Provost and Professor in the Physics and Geology Department, effective May 10, 2000.

Faculty Appointments: Mark Bardgett, Assistant Professor in the Psychology Department, effective August 16, 2000; Robin Bartlett, Assistant Professor in the Psychology Department, effective August 16, 2000; Kimberly Breitenbecher, Assistant Professor in the Psychology Department, effective August 15, 2001; Richard Durtsche, Assistant Professor in the Center for Integrative Natural Sciences and Mathematics (CINSAM) and the Biological Sciences Department, effective August 16, 2000; Andrew Long, Assistant Professor in the Center for Integrative Natural Sciences and Mathematics (CINSAM) and the Mathematics and Computer Science Department, effective August 16, 2000; Andrew Miller, Assistant Professor in the Literature and Language Department, effective August 16, 2000; and Thomas Sproat, Assistant Professor in the Center for Integrative Natural Sciences and Mathematics (CINSAM) and the Biological Sciences Department, effective August 16, 2000.

Resignations: Cretson Dalmadge, Assistant Professor in the Economics, Finance and Information Systems Department, effective May 13, 2000; Jeffrey Donaldson, Assistant Professor in the Economics, Finance and Information Systems Department, effective July 31, 2000; Jo Ann Gomer Ewalt, Assistant Professor in the Political Science Department, effective May 13, 2000; Deepak Khazanchi, Associate Professor in the Economics, Finance and Information Systems Department, effective July 31, 2000; Robert Linder, Assistant Professor in the Mathematics and Computer Science Department, effective May 13, 2000; Michael R. McPherson, Professor in the Physics and Geology Department, through the Retirement Incentive Program, effective with the 2000-2001 academic year (Revision of January 26, 2000, recommendation); Kristen Pfabe, Assistant Professor in the Mathematics and Computer Science Department, effective May 13, 2000; Anju Ramjee, from Chair and Professor in the Economics, Finance and Information Systems Department, effective June 30, 2000; and Karlyn Schnapp, Assistant Professor in the Chemistry Department, effective May 13, 2000.

Leaves, Paid/Unpaid: Dennis Davenport, Assistant Professor in the Theatre Department, unpaid leave without benefits, beginning August 16, 2000, and ending May 12, 2001; John Filaseta, Associate Professor in the Physics and Geology Department, unpaid leave without benefits, for the fall 2000 semester; and Anju Ramjee, Professor in the Economics, Finance and Information Systems Department, paid reentry leave with benefits, for the fall 2000 semester.

2. **Temporary Faculty Appointment:** W. Frank Steely, Professor in the History and Geography Department, fall 2000.

3. **Emeritus Status:** Lynn Langmeyer, Professor in the Management and Marketing Department; Michael McPherson, Professor in the Physics and Geology Department; and Kathleen Verderber, Professor in the Management and Marketing Department.

4. **Initiation of a new program, Associate Degree in Liberal Studies, College of Arts and Sciences beginning of 2000 fall semester:** The Associate Degree in Liberal Studies offers a wide latitude in terms of the student's ultimate goal by providing adequate preparation for further study leading to competence in specialized academic fields. It is also designed to facilitate a student's transition to third-and fourth-year level college study at Northern Kentucky University or at another institution. The program provides a solid foundation for students who choose to go on for a baccalaureate degree. The Associate Degree in Liberal Studies structure requires a minimum of 64 semester hours, the last 20 of which must be taken at Northern.

5. **Non-Academic Personnel Actions:** President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions which occurred between March 1, 2000 and April 25, 2000: Activations; Temporary/Contract to Regular Status; Promotions; Reclassifications, Re-Engineering, and Title Changes; Transfers; Re-Assignments; and Terminations.

6. **Authorization given to the VP for Administration and Finance/Treasurer to write off bad debts:** Once a year before the books are closed, the University is required to write off bad debts from the University's financial records as operating expenses. The debts for the year 2000 total $60,594.42 which is a significant decrease from 1999 totaling $144,855.81 due to tightening the deferments process. Beginning in fall of 1999, the Office of Student Financial Assistance tightened the deferment process and began a more aggressive follow-up on students to whom deferments were granted.

7. **Approve the revision of Section VII, Sexual Harassment Policy and Procedures, of the *Northern Perspective* Student Handbook.** A motion was made by Regent Boggs and seconded by Regent Griffin to approve the revision of Section VII, Sexual Harassment Policy and Procedures, of the *Northern Perspective* Student Handbook. The revision was made to include a broader definition of sexual harassment in response to recent litigation concerning application of the policy. Due to internal reorganization, modifications were necessary to reflect titles of individuals responsible for enforcing the policy and conducting the procedures. **Motion carried.**

8. **Approve the fiscal year 2000-2001 annual budget for Northern Kentucky University:** President Votruba recommended that the Board of Regents approve the resolution establishing and approving the fiscal year 2000-2001 annual budget for Northern Kentucky University. A motion was made by Regent Andersen and seconded by Regent Malloy to approve the resolution. **Motion carried.**

   Regent Andersen commented on the progress made during his three years as Regent on new faculty lines, support for instructional equipment and increasing salaries for part-time faculty. He expressed thanks on behalf of the faculty for the changes of priorities that have happened and been reflected in the current budget.

9. **Resolutions for 1999-2000 University-wide accomplishments:** The Women's Basketball Team was present at the Board meeting in recognition of their Resolution. A motion was made by Regent Zapp and seconded by Regent Poston to approve Resolutions 9.a (NKU's Women's Basketball Team) and 9.b (the Intercollegiate Athletics Program) as read by Dr. Votruba. **Motion carried.**

   A motion was made by Regent Zapp and seconded by Regent Taliaferro to approve Resolutions 9.c thru 9.k listed below recognizing those individuals and groups who helped with the 2000 Kentucky General Assembly Session. **Motion carried.**

c) Statewide and Local Media); d) The NKU Alumni Legislative Advocates; e) NKU Team 2000 (Student Leaders); f) Governor Paul Patton; g) Speaker of the House of Representatives Jody Richards; h) Senate President David Williams; i) CPE and Gordon Davics; j) Northern Kentucky Legislative Caucus; and k) 2000 General Assembly Budget Conference Committee.

There was an additional Resolution read by Chair Downing and signed by the Board Executive Committee in recognition of President Votruba and his excellent faculty and staff for their leadership and research during this past legislative session to the Northern Kentucky Region and its legislative representatives.

D. Regent Recommendation:

1. Changes to the By-Laws (Article 1, B.2):

Regent Poston, Chair of the Recommendation Committee, thanked Committee members Joyce Griffin and Brenda Wilson, along with other members of the Board, for their research and work on developing the Recommendation for the Changes to the By-Laws concerning Regent Emeritus. Before the Recommendation goes forward, Regent Poston wanted to make it understood and enforced that this recognition was for special Board members who have served the University "above and beyond the call of duty" to receive this level of distinction.

A motion was made by Regent Griffin and seconded by Regent Taliaferro to adopt the changes to the By-Laws (Article 1, B.2) as stated. **Motion carried.**

E. Executive Session to Discuss Legal Issues: At 1:45 p.m. a motion was made by Regent Taliaferro and seconded by Regent Poston to call an exccutive session. **Motion carried.**

The Board Reconvened at 2:00 p.m. No action taken.

President Votruba invited all present to a reception in the University Center 2nd Floor Lobby following the meeting for outgoing Regents Andersen, Boggs and Malloy.

With no further business, Chair Downing adjourned the meeting at 2:05 p.m.

Lilla F. Losey
Administrative Assistant to the
President's Office

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Philip Taliaferro III, Vice Chairman of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on May 10, 2000, and that such matters are still in force and effect.

Philip Taliaferro III
Vice Chair

6:00 pm July 11, 2000 - Dinner at the President's Home (Lakeside Park)
8:00 am July 12, 2000 - Joint Meeting of Fin.& Pol. Committees (AC 830)
10:00am July 12, 2000 - Leave for "Raising of the Flags" - Toyota (Hebron, KY)
12:00 noon July 12, 2000 - Luncheon (President's Dining Room, University Center #105)
1:00 pm July 12, 2000 - Board of Regents Meeting (UC Ballroom)
2:15 pm July 12, 2000 - New Regent Welcome Reception (2nd Floor Lobby University Center)

(Regents--Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events.)

AGENDA

Northern Kentucky University
Wednesday, July 12, 2000 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Oath of Office - Regents Chuck Brown, Prince Brown, Kara Clark and Chuck Pettit
Roll Call and Welcome
Approval of Minutes, May 10,2000 Regular Meeting

Presidential Comments

A. **Joint Finance and Policy Committee Reports**
   1. Strategic Enrollment Management (Shanley/Stewart)
   2. Bookstore & Other Contracts Update (Baker)
   3. Dorms - Breezeway (Baker/Shanley)
   4. Public Comment Policy (Crigler)

B. **Presidential Reports**
   1. Facilities Management

C. **Presidential Recommendations**
   *1. Academic Affairs Personnel Actions
   *2. Temporary Faculty Appointments
   *3. Non-Academic Personnel Actions
   *4. Administrative Personnel Actions
   *5. Student Government Association Constitution Revision
   *6. Creation of Advisory Committee - Drug Free School and Campus Safety Act
   *7. Resolution Authorized Signatures on University's Checking Accounts
   *8. Personnel Policy and Procedure Manual Addition - Vacation/Annual Leave policy
   *9. Personnel Policy and Procedure Manual Revision - Affirmative Action
   10. Faculty Policies and Procedures Handbook Amendments

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling Lilla Losey in the Office of the President,572-5172, by 2 p.m.,Tuesday, July 11,2000).**

D. **Executive Session to Discuss Legal Issues**

E. **Other**
   1. **Regular Board of Regents and Special Meeting Schedule:**
      September 27, 2000
      November 15, 2000
      January 17, 2001
      March 7, 2001
      May 9, 2001

   2. **Council on Postsecondary Education Meeting Proposed 2000 Meeting Dates:**
      July 17
      September 18 (Trusteeship Conference)
      November 13

   3. **Upcoming Activities:**
      August 22 - Fall Convocation (Greaves Concert Hall)
      August 29 - Cincinnati Pops (NKU Soccer Field)
      Sept. 11&12 - Regents Retreat (Metropolitan Club-Covington)
      Sept. 20 -Alumni Lecture Series-George Stephanopoulos and George Will(Regents Hall)
      October 5 - Staff Awards Luncheon (Regents Hall)
      Nov. 7 - Faculty/Staff Follies (Greave's Hall)

Board of Regents Meeting
University Center Ballroom
July 12, 2000

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:03 p.m. on Wednesday, July 12, 2000, by Chairman Frank Downing.

The invocation was read by Regent Pogue.

The Oath of Office to incoming Regents Chuck Brown, Prince Brown, Jr., Kara Clark, and Chuck Pettit was administered by Regent Zapp.

Roll Call: Chuck Brown, Prince Brown, Jr., Kara Clark, Frank Downing, Joyce Griffin, Betty Pogue, Chuck Pettit, James Poston, Jr., Brenda Wilson and Robert Zapp (Excused - Kathryn Hendrickson).

Others Present: James C. Votruba, Robin J. Crigler, Sadie Brown, Elizabeth Brown, Jeanne Pettit, Brad Hungler, Carol Bredemeyer, Mark Shanley, Paul Reichardt, Joe Ruh, Joe Wind, Sharlene Lassiter, Gina Holt-*Recorder Newspapers*, Angela Schaffer, Marilyn Gosney, Jimmy Hackbarth, Russ Kerdolff, Susan Clark, Jim Alford, Sonda Cummins, Angie Gabbard, Cheryl L. Nuñez, Gary Graff, Lois Schultz, Barbara Holland, Don Gorbandt, Mike Baker, Mary Paula Schuh, Ron Ellis, Gerald Hunter, Tony Atwater, Greg Stewart, Gary Pratt, Mary Ryan, and Lilla Losey.

The minutes of the May 10, 2000, regular meeting of the Board of Regents were accepted with no changes.

Presidential Comments:

- On behalf of the University the President extended a welcome to new Regents Chuck Brown, Prince Brown, Jr., Kara Clark, and Chuck Pettit and their spouses and friends who were present.
- He mentioned that the responsibility of stewardship to Northern Kentucky University was not just for the University but for the entire Metropolitan region served by the University.
- Thanks were extended to Regent Downing for his role as chair over the past two years and for his effective leadership and counsel on behalf of the University.
- A welcome was extended to the new Assistant Vice President for Development Don Gorbandt. Mr. Gorbandt comes to the University from Thomas More College where he was Associate Vice President for Institutional Advancement.
- A farewell was extended to Dr. Barbara Holland, Associate Provost for Strategic Planning and Outreach. Much of her attention was focused on helping to guide the task force which resulted from the University's Vision, Values, and Voices process. Dr. Holland has taken a position with the Department of Housing and Urban Development (HUD).
- The University's focus this summer has been on:
  - Planning and alignment of academic programs and services which serve the markets important to the University's future. That planning will include general education–a proposal will be submitted to the Faculty Senate early in the fall for general education reform.
  - Plans to invest up to 1.4 M dollars in the University's outreach mission over the course of the next year.
  - Furthering the understanding of the impact of the Community College and planning for a new complementary role with a new Postsecondary provider in Northern Kentucky.
  - Academic accountability for allocated resources.
  - Continued focus on Enrollment Management.

- Beginning plans for a new Capital Campaign–A consultant will be brought in at the beginning of the new year. Vice President Read and her staff will head this project.
- Re-engineering to look at ways to debureaucratize the University to make it more effective as well as efficient in directing more resources into the University's core enterprise.
- Planning the agenda and priorities for the next legislative session.

A. **Joint Finance and Policy Committee Reports:** Regent Zapp reported on the following:

1. **Strategic Enrollment Management:**
   Key points covered were:
   - the mandate from the President that the University as a whole is responsible for student recruitment;
   - recruitment and retention targets;
   - review of the key Enrollment Management challenges;
   - establishment of enrollment targets and goals; and
   - increase of various undergraduate programs along with African-American and other enrollment numbers.

2. **Bookstore & Other Contracts Update:** Vice President Baker discussed the Bookstore contract or privatization of the Bookstore along with the dilemma of the University's Health Care benefits. Many companies are leaving Kentucky which affects all businesses in Kentucky.

3. **Dorms - Breezeway:** Vice President Baker reported that design had begun on a project to effect repairs to the breezeway/stair structures associated with the Residential Village project.

4. **Public Comment Policy:** Executive Assistant to the President Crigler stated that discussion on the Public Comment Policy will be postponed until the next meeting of the Joint Policy and Finance Committee in September.

Nominating Committee Report: A motion was made by Regent Poston and seconded by Regent Chuck Brown to elect the following Regents to the Executive Committee: Robert Zapp, Chairman; Brenda Wilson, Vice Chairman; and Joyce Griffin, Secretary/Treasurer. **Motion carried unanimously.**

B. **Presidential Reports:**
1. **Facilities Management:** Vice President Baker commented that the Landrum project dealing with structural problems is progressing on schedule with completion by the beginning of fall semester.

C. **Presidential Recommendations:**

Consent Agenda Items: A motion was made by Regent Poston and seconded by Regent Zapp to approve the following Presidential Recommendations as listed below (1-9). **Motion carried.**

1. **Academic Affairs Personnel Actions:**

   Administrative Appointments: Thomas J. Kearns, from Professor in the Mathematics and Computer Sciences Department to Interim Chair and Professor in the Mathematics and Computer

Sciences Department, effective July 1, 2000-June 30, 2001; **Nancy A. Lang**, from Interim Associate Dean in the College of Business and Associate Professor in the Economics, Finance and Information Systems Department to Interim Chair and Associate Professor in the Economics, Finance and Information Systems Department, effective July 1, 2000-June 30, 2001; **Rosetta J. Mauldin**, continuing as Interim Chair and Professor in the Allied Health, Human Services and Social Work Department, effective July 1, 2000-June 30, 2001; **Dean A. Minix**, Chair and Professor with tenure in the Political Science Department, effective July 1, 2000; **Linda Olasov**, from Professor in the School of Education to Interim Associate Dean for Education and Professor in the School of Education, effective July 1, 2000-June 30, 2001; **Lois M. Schultz**, from Professor in the W. Frank Steely Library to Interim Director and Professor in the W. Frank Steely Library, effective June 1, 2000-June 30, 2001; **Matthew D. Shank**, from Interim Chair and Associate Professor in the Management and Marketing Department to Chair and Professor in the Management and Marketing Department, effective July 1, 2000; and **Gail W. Wells**, from Interim Dean of the College of Arts and Sciences and Associate Professor in the Mathematics and Computer Science Department to Dean of the College of Arts and Sciences and Professor in the Mathematics and Computer Science Department, effective July 1, 2000.

Faculty Appointments: **Barry Andersen**, from Professor in the Art Department to Professor in the Art Department and Interim Associate Dean in the College of Arts and Sciences, effective August 1, 2000-June 30, 2001; **Mary Jo Beresford**, Lecturer (non-tenure track renewable) in the Theatre Department, effective August 16, 2000; **Mary Conway Dato-on**, Assistant Professor in the Management and Marketing Department, effective January 1, 2001; **Jane E. Green**, Lecturer (non-tenure track renewable) in the Theatre Department, effective August 16, 2000; **Nancy Hancock**, Assistant Professor in the Sociology, Anthropology and Philosophy Department, effective August 16, 2000; **Beth Hickey**, Assistant Professor in the Nursing Department, effective August 16, 2000; **Emily Houh**, Assistant Professor in the Salmon P. Chase College of Law, effective August 16, 2000; **Kenneth D. Katkin**, Assistant Professor in the Salmon P. Chase College of Law, effective August 16, 2000; **Ann Keller**, Assistant Professor in the Nursing Department, effective August 16, 2000; **Sharlene W. Lassiter**, from Professor in the Salmon P. Chase College of Law to Professor and Associate Dean for Academics in the Salmon P. Chase College of Law, effective July 1, 2000; **Aron M. Levin**, Assistant Professor in the Management and Marketing Department, effective August 16, 2000; **Charles E. Lowe**, Assistant Professor in the Allied Health, Human Services and Social Work Department, effective August 16, 2000; **Robert C. Mahaney**, Assistant Professor in the Economics, Finance and Information Systems Department, effective August 16, 2000; **Melissa M. Moon**, Assistant Professor in the Political Science Department, effective August 16, 2000; **Margaret Myers**, from Associate Professor in the Management and Marketing Department to Associate Professor and Associate Dean of the College of Business in the Management and Marketing Department, effective August 16, 2000; **Adam G. Todd**, from Temporary Assistant Professor in the Salmon P. Chase College of Law to Assistant Professor (non-tenure track renewable) and Director of the Academic Learning and Development Center in the Salmon P. Chase College of Law, effective July 1, 2000; **Phyllis M. Vincent**, Assistant Professor in the Music Department, effective August 16, 2000; **Rebecca White**, from Assistant Professor in the Management and Marketing Department, to Associate Professor and Director of the Fifth/Third

Bank Entrepreneurship Institute in the Management and Marketing Department, effective August 16, 2000; **Eric Young**, Assistant Professor in the Law Library, Salmon P. Chase College of Law, effective July 1, 2000.

Leaves, Paid/Unpaid: Nancy Campbell, Associate Professor in the W. Frank Steely Library, unpaid half-time leave with benefits, beginning June 26, 2000, through August 11, 2000; and **Wanda Griffith**, Assistant Professor in the School of Education, unpaid leave w/benefits, beginning May 20, 2000, and ending August 31, 2000.

Retirements: Margaret Cantrell, Professor in the School of Education, effective May 13, 2000; and **Mary Ann Weiss**, Lecturer (non-tenure track renewable) in the First-Year Programs, effective May 13, 2000.

Resignations: Breuna Baine, Assistant Professor in the Art Department, effective May 13, 2000; **Steven Dent**, Assistant Professor in the Physics and Geology Department, effective June 13, 2000; **Maria Falbo**, Associate Professor in the Physics and Geology Department, effective June 13, 2000; **David J. Franklyn**, Assistant Professor in the Salmon P. Chase College of Law, effective May 13, 2000; **Darrell H. Garber**, Associate Dean for Education and Professor in the School of Education, effective June 30, 2000; **Kay N. Harper**, Assistant Professor in the Art Department, effective May 13, 2000; **Daryl Orth**, Assistant Professor in the Technology Department, effective May 13, 2000;and **Frederick W. Rhynhart**, Associate Professor in the Political Science Department, effective May 13, 2000.

2. **Temporary Faculty Appointments**: President Votruba recommended that the Board of Regents approve the following temporary faculty appointments for 2000-2001:

College of Arts and Sciences: Chemistry: Gwen Fields; **History and Geography**: W. Frank Steely; **Mathematics and Computer Science**: Shelly Cain, Boris Chudnovsky, Michael Collins, James W. Hauser, Harry Stebbins, Scotty L. Thompson and Tom Warth. **Physics and Geology:** S. Srinivasan. **Political Science:** Frank H. Edelen, Jr. and Michael Kost. **Psychology:** Mei Mei Burr and Wanda Capps McCarthy. **Sociology, Anthropology and Philosophy:** Peter Killoran, Timothy D. Murphy and Karl A. Nauratil, Jr. **Theatre:** Jamey Strawn.

College of Business: Accountancy: Teri Adams and Andrea Weichkgenannt. **Economics, Finance and Information Systems:** Carl Simkonis.

College of Professional Studies and Education: Technology: Marilyn Barrett, Thomas Burks and Majed Dabdoub.

Chase College of Law: William D. Bell (Visiting Assistant Professor)

W. Frank Steely Library: Jason Larson (fiscal year)

3. **Non-Academic Personnel Actions:** President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions which occurred between

26, 2000, and July 01, 2000: Activations; Temporary/Contract to Regular Status; Promotions; Re-Assignments, Reclassifications, Re-Engineering, Title Changes; Transfers; and Terminations.

4. **Administrative Personnel Actions:** President Votruba recommended that the Board of Regents approve the following administrative personnel actions:

   Appointment: Donald A. Gorbandt, Assistant Vice President for Development, effective June 1, 2000.

   Title Change: Sara L. Sidebottom, Vice President for Legal Affairs and General Counsel, effective July 1, 2000.

5. **Student Government Association Constitution Revision:** The revisions to the Student Government Association Constitution change the procedure for selecting the Chief Justice of the Judicial Council and alters the length of term of office for the Chief Justice. Also, the revision provides for selecting two individual Judicial Council members each semester. This process will provide more continuity to the Judicial Council.

6. **Creation of Advisory Committee - Drug Free School and Campus Safety Act:** The creation of the Advisory Committee will ensure compliance with the Drug Free School and Campus Safety Act. The Committee will be representative of all areas of the University Community and report to the Office of Legal Affairs and General Counsel. It will be charged with reviewing the alcohol and other drug policies, programs and procedures on campus.

7. **Resolution Authorized Signatures on University's Checking Accounts:** The corporate Resolution was adopted by the Board of Regents, approving Russell A. Kerdolff, Comptroller, and Gerald E. Hunter, Associate Vice President for Financial Planning and Institutional Research, as authorized signatures on the University's checking accounts.

8. ***Personnel Policy and Procedure Manual* Addition - Vacation/Annual Leave Policy:** The Staff Effectiveness and Satisfaction Task Force has recommended that staff be given the option of transferring a limited number of unused vacation days to sick leave. This recommendation provides staff employees with a positive alternative to forfeiting unused vacation leave. The amount of sick leave is critical to maintaining an employee's pay status should illness occur. Furthermore, as participants in the Kentucky Employees Retirement System staff are permitted to apply accumulated sick leave to their months of service credit upon retirement.

9. ***Personnel Policy and Procedure Manual* Revision - Affirmative Action:** This change to the Affirmative Action Equal Opportunity statement in the *Personnel Policy and Procedure Manual* governing NKU staff will make it more consistent with the policy statement in the *Faculty Policies and Procedures Handbook* and with the Equal Opportunity Statement of the President, signed in November 1997.

10. ***Faculty Policies and Procedures Handbook* Amendments:** Associate Vice Provost Reichardt commented that the recommendation involves tuition in two categories of faculty:

- Each full-time regular faculty member will be provided with a tuition waiver benefit of six (6) semester hours of NKU course work each semester for the faculty member's spouse and each dependent. One of the key elements of this recommendation is that it puts the University in a better competitive situation as far as recruitment and retention with other institutions in terms of the benefits offered their regular full-time faculty. Most of the other benchmark institutions offer this benefit to their dependents.
- Temporary full-time faculty members, after one year of continuous service, may take up to six (6) semester hours of NKU course work each semester without being required to pay tuition. This change in tuition is also a recruitment issue. The University depends heavily on temporary faculty (1 yr. contracts) to meet enrollment demands.

The President indicated that this was one more step to position the University to competitively recruit the next generation of faculty.

A motion was made by Regent Prince Brown and seconded by Regent Pogue to approve the amendments to the *Faculty Policies and Procedures Handbook.* **Motion carried.**

- **Executive Session to Discuss Legal Issues:** At 1:25 p.m. a motion was made by Regent Zapp and seconded by Regent Poston to call an executive session. **Motion carried.**

The Board reconvened at 1:40 p.m.

- A motion was made by Regent Zapp and seconded by Regent Poston that the Board of Regents approve the elimination of the Priority 1, 2 and 3 classification system for property acquisition that was approved by the Board on May 6, 1992 and identified in the 1992 Land Acquisition Plan, and in its place designate all property in the plan as Priority 1 parcels. **Motion carried.**

Outgoing Chair Downing offered his congratulations to the newly appointed Executive Committee of the Board of Regents and thanked the Board for the opportunity to serve as Chair for the last two years. He also commended the Board on their teamwork and welcomed them to continue their activity, interest and concern to further the growth of the region through progress made by Northern Kentucky University.

Executive Assistant to the President Robin Crigler invited all present to attend the welcome reception for the new Regents in the University Center Lobby immediately following the meeting.

With no further business, outgoing Chair Downing adjourned the meeting at 1:45 p.m.

Lilla F. Losey
Administrative Assistant to the
President's Office

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

Joyce E. Griffin
Secretary/Treasurer

6:00 pm	September 26, 2000 - Dinner at the President's Home (Lakeside Park)
9:30-11:30 am	September 27, 2000 - Joint Meeting of Fin.& Pol. Committees (AC 830)
11:30am-1:00pm	September 27, 2000 - Student Leader Luncheon/Reception (Univ. Center Ballroom)
1:00 pm	September 27, 2000 - Board of Regents Meeting (Univ. Center Ballroom)

(Regents--Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events.)

AGENDA

Northern Kentucky University
Wednesday, September 27, 2000 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, July 12, 2000 Regular Meeting
Approval of Minutes of Board Retreat, September 11 & 12, 2000

Presidential Comments

A. Joint Finance and Policy Committee Reports
1. Master Plan (Baker)
2. Annual Financial Report (Baker)

B. Presidential Reports
1. Annual Financial Report
2. Facilities Management

C. Presidential Recommendations
*1. Academic Affairs Personnel Actions
*2. Temporary Faculty Appointments
*3. Non-Academic Personnel Actions
*4. Change in the Name of the NKU Baseball Field
*5. Renew the University Fire Service Memorandum Agreement
*6. Changes in Titles for Academic Affairs Administrators
7. Adopt the Northern Kentucky University 2000 Master Plan
8. Authorize Administration to Implement a Tuition Pricing Strategy for NKU Chase College of Law

D. Regent Recommendation:
1. William Verst - Regent Emeritus Status

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda by calling Lilla Losey in the Office of the President,572-5172, by 2 p.m.,Tuesday, September 26,2000).**

E. Executive Session to Discuss Legal Issues

F. Other

1. Regular Board of Regents and Special Meeting Schedule:
- November 15, 2000
- January 17, 2001
- March 7, 2001
- May 9, 2001

2. Council on Postsecondary Education Meeting Proposed 2000 Meeting Dates:
- November 13

3. Upcoming Activities:
- October 21 - Patricia Corbett Concert and Reception
- October 26 - Staff Awards Luncheon (12:00) (Regents Hall)
- November 12 - Faculty/Staff Follies (Greaves Hall)
- November 16 - NKU Foundation Appreciation Dinner (Summit Hills Country Club)
- December 7 - Christmas Play and Reception (Playhouse in the Park, Mt. Adams)
- December 16 - Winter Commencement (Firstar Center, Cincinnati)

Board of Regents Meeting
University Center Ballroom
September 27, 2000

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:00 p.m. on Wednesday, September 27, 2000, by Chairman Robert Zapp.

The invocation was read by Regent Poston.

Roll Call: Chuck Brown, Prince Brown, Jr., Kara Clark, Frank Downing, Joyce Griffin, Kathryn Hendrickson, Betty Pogue, Charles Pettit, James Poston, Jr., Brenda Wilson, and Robert Zapp.

Others Present: James C. Votruba, Angie Gabbard, Don Gorbandt, William G. Verst, William Verst Jr., Gary Pratt, Tony Atwater, Gail Wells, Gerry St. Amand, Carol Bredemeyer, Lois Schultz, Mike Carrell, Gary Graff, Mary Ryan, Joe Ruh, Jane Meier, Mary Paula Schuh, Russ Kerdolff, Sonda Cummins, Gina Holt-*Recorder Newspapers*, Tom Comte, Cheryl Nunez, Mark Shanley, Deborah Read, Rogers Redding, Mike Baker, Robin Crigler, Gerald Hunter, Sara Sidebottom, Debra Vance-*Kentucky Post*, Lilla Losey, Gregory Stewart, and Joseph Wind.

A motion was made by Regent Downing and seconded by Regent Chuck Brown to accept the minutes of the July 12, 2000, regular meeting of the Board of Regents. **Motion carried.**

A motion was made by Regent Poston and seconded by Regent Pettit to accept the minutes of the September 11-12, 2000 Board of Regents Retreat held at the Metropolitan Club in Covington, Kentucky. **Motion carried.**

Presidential Comments:

- Regent Zapp was welcomed to his first formal meeting as Chair.
- Former Regent and Chair William G. Verst was welcomed at the meeting and recognition was given for his extended time of leadership.
- The Master Plan has been finalized. The Plan reflects the University's vision for the long-term future and assumes continued growth and expansion. Thanks were given to NKU's Regents, faculty, staff, students, and the extended community for their contribution in this effort.
- An update of Enrollment Management was given: enrollment is up a little over 3% to 12,125 students. That is an overall increase of approximately 349 students. The undergraduate level is up to 10,884 with a CPE target of 10,700. Congratulations were given to Vice President Shanley and Associate Vice President Stewart, Academic Affairs, and others who contributed to this campus-wide initiative.
- Recognition was given to the NKU Student Leaders (Student Government and Presidential Ambassadors) who were honored at a Reception prior to the meeting. Special recognition was given to Regent Kara Clark for her leadership as President of the Student Government Association.
- Recognition was given to Nancy Perry, Director of Alumni Affairs, and the Alumni Association for their efforts on the recent inaugural Alumni Lecture Series, featuring George Will and George Stephanopoulos. Approximately 2000 people were in attendance at the lecture and 200 at the dinner prior to the lecture.
- President Votruba thanked the Board of Regents for their continued support of him and added that it was a privilege for him to serve the University and its Board of Regents.

A. Joint Finance and Policy Committee Reports:

1. **University Master Plan:** Regent Wilson, Policy Committee Chair, reported on the University Master Plan which better reflects the values and personality of the University as more friendly, warm, welcoming and personable.

   The process began in March 1999 involving a committee of faculty, staff, students, community members, the Transportation Board of Kentucky, and others.

2. **(Unaudited) Annual Financial Report:** Regent Chuck Brown, Finance Committee Chair, reported on the 1999/2000 Annual Financial Report stating that the current operating budget is in line with the recurring resources. NKU's vision to become a learner centered/metropolitan university depends upon sound fiscal policies.

B. Presidential Reports:

1. **(Unaudited) Annual Financial Report:** Vice President Baker indicated that the Finance Committee meeting with the Regents went very well earlier and thanked Comptroller Russ Kerdolff and his staff for their outstanding work.

   The auditors Deloitte and Touche will attend the next Board of Regents meeting and will be available for questions.

2. **Facilities Management:** Vice President Baker reported on the following:
   - The Natural Science Center is on schedule and within budget.
   - Northern Kentucky Special Events Center: A feasibility study is ongoing to make sure everything is in place during the next legislative process. A consultant met today with Vice President Shanley and Student Government President Kara Clark for feedback from students.
   - The Landrum Safety Repairs are complete.
   - The Otto M. Budig Theater: The bids exceeded available funds and the project is undergoing redesign in preparation for rebidding. The project should start this fall.
   - The Power Plant bids opened yesterday.
   - Nunn Hall Second Floor Lobby is completed with the dedication planned this fall.
   - General Classroom Upgrades: Much positive feedback has been received from students and faculty. Negotiations are in process with Gary Pratt, Chief Information Officer, to upgrade technology.
   - There are approximately 94 classrooms on campus and almost 45% have been rehabbed.

   President Votruba acknowledged Vice President Baker and Physical Plant staff for their hard work and effort in this process.

C. Presidential Recommendations:

Consent Agenda Items: Recommendation #4 was withdrawn until a later Board meeting. A motion was made by Regent Poston and seconded by Regent Hendrickson to approve the following Presidential

Recommendations as listed below (1-3 and 5-6). **Motion carried.**

1. Academic Affairs Personnel Actions:

   Administrative Appointments: **Thomas E. Comte**, from Professor in the Management and Marketing Department to Interim Associate Provost for Strategic Planning and Outreach and Professor in the Management and Marketing Department, effective August 1, 2000 through June 30, 2001.

   Faculty Appointments: **Ernest Barnett**, Assistant Professor in the School of Education, effective August 16, 2000; **Thomas Cate**, from Associate Professor in the Economics, Finance, and Information Systems Department to Director of the Master of Business Administration Program in the College of Business and Associate Professor in the Economics, Finance, and Information Systems Department, effective July 1, 2000; **Gregory A. Dahlem**, Lecturer, (non-tenure track renewable) Biological Sciences Department, effective August 16, 2000; **Meichelle Y. Gibson**, Advisor/Lecturer, (non-tenure track renewable) in the College of Business Advising Center, effective September 5, 2000; **Michelle P. Heatherton**, Advisor/Lecturer, (non-tenure track renewable) in the College of Professional Studies and Education, effective August 14, 2000; **Eric Jackson**, Assistant Professor in the History and Geography Department, effective August 16, 2000; **Maggie McGatha**, Assistant Professor in the School of Education, effective August 16, 2000; **Steve Meek**, Lecturer, (non-tenure track renewable) in the Art Department, effective August 16, 2000; **Denis P. O'Connell**, Assistant Professor in the Technology Department, effective August 16, 2000; **Sergei Polusmiak**, Artist in Residence, (non-tenure track renewable) in the Music Department, effective August 16, 2000; **Pravin Chimanlil Sevak**, Assistant Professor in the Art Department, effective August 16, 2000; **Jill Shelley**, Lecturer, (non-tenure track renewable) in the Political Science Department, effective August 16, 2000; **Vicki B. Stieha**, Lecturer and Assistant Director of First Year Programs (non-tenure track renewable), effective July 1, 2000; **John Sugg**, Advisor/Lecturer, (non-tenure track renewable) in the College of Business Advising Center, effective August 28, 2000; **Rajev Swami**, Assistant Professor in the School of Education, effective August 16, 2000; and **Rosa Weaver**, Assistant Professor in the School of Education, effective August 16, 2000.

2. Temporary Faculty Appointments: President Votruba recommended that the Board of Regents approve the following temporary faculty appointments for 2000-2001:

   College of Arts and Sciences: **Art:** Kelly Asbury, Nicole L. Blair, and Heidi Endres; **Biological Sciences:** Hildegard Baldridge and Amy Racke; **Chemistry:** Jean Gade; **Environmental Resource Management Center:** Barry Dalton, Scott Fennell, Mark Leopold, Matthew Mullin, and Lee Otte; **History and Geography:** Rowland Brucken, Francois LeRoy, Bonnie W. May, Burke Robert Miller and Lawrence F. Mitchell; **Literature and Language:** Patricia Bradley, Judy Cooper, Lydia Coyle, Kristi Eiler, Paul Goodin, Annabel Ihrig, Darlene McElfresh, Virginia Paddock, Michele Peers, Mary Frances Pipino, Kelli S. Sittason, Pilar Valencia, Bryce Warren, Susan Washburn, and Hannelore Wolfram; **Mathematics and Computer Science:** Sr. Marilyn Hoffman, Bethany Noblitt, John Taylor, Judy Westrick; **Music:** James Patrick Bunte, Nina Key, and Joseph Pokorski; **Physics and Geology:** Wayne J. Bresser, Reuben G. Bullard, Jr., Kenan Cetin, and Sharmanthie Fernando; **Political Science:** Anita Raturi; **Psychology:** Ann M. Pedersen and Tiffany Steltenkamp;

Sociology, Anthropology, and Philosophy: Robert Kenny; **Theatre:** Christine Jones, Melanie G. Mortimore, and David Schmidt.

College of Business: Economics, Finance, and Information Systems: Carl Simkonis; **Management and Marketing:** Joumana Abraham, Molley Mayer, and Terrence Moore.

College of Professional Studies and Education: Allied Health, Human Services and Social Work: Barbara Conklin and Jacqueline Smith; **Communication:** Henry Baker, Mary Cupito, Karen Donges, Robert Jones, Ann Taylor and Pamela Tyahur; **Education:** Jennifer Cottingham, Gary Eippert, Bridget Gruner, John Huss, Jill Niemeyer, Dan O'Brien, Sara Runge Pulte, and Rachel Votruba; **Nursing:** Peggy Cooper; **Technology:** Marilyn Barrett, Majed Dabdoub, and Sean Foley.

W. Frank Steely Library: Elinor Rambo.

3. **Non-Academic Personnel Actions:** President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions which occurred between July 1, 2000, and September 12, 2000: Activations; Reassignments, Reclassifications, Reengineering, and Title Changes; Terminations; Promotions; Transfers; and Temporary/Contract to Regular Status.

4. **Change in the Name of the NKU Baseball Field:** (Recommendation withdrawn)

5. **Renew the University Fire Service Memorandum of Agreement with the Central Campbell County Fire District:** The fire district merged with the Highland Heights Volunteer Fire Department this past year. Through this contract, the University receives fire service, assistance with construction issues, and advice and participation in fire education initiatives.

6. **Changes in Titles for Key Administrators within the division of Academic Affairs:**
   - Chief Information Officer to Associate Provost for Information Technology.
   - Director of Steely Library to Associate Provost for Library Services.
   - Associate Provost for Strategic Planning and Outreach to Associate Provost for Graduate Studies and Outreach.

7. **Adopt the Northern Kentucky University 2000 Master Plan:** With no further comments, a motion was made by Regent Wilson and seconded by Regent Griffin to adopt the Northern Kentucky University 2000 Master Plan. **Motion carried.**

8. **Authorize Administration to Implement a Tuition Pricing Strategy for NKU Chase College of Law:** President Votruba commented that over the last few years there has been concern regarding pricing relative to other law schools not only in Kentucky but specifically in the metropolitan region.

   Dean St. Amand commented that many people from the region who couldn't afford to come to Chase left the area to find an affordable school. Among that group were children of Chase graduates. Chase has long ties to the state of Ohio with over 50% of Chase alums located in Ohio. Those alumni are not only part of the past, but also the present and a significant part of the future. To be true to the University's core values, the recommendation allows the Law School to be actively engaged in the metropolitan region in a way that is affordable for both the University and for the prospective students interested in becoming a part of Chase.

A motion was made by Regent Prince Brown and seconded by Regent Hendrickson to authorize the University administration to implement a tuition pricing strategy for Northern Kentucky University's Chase College of Law. This strategy recommends reducing Fiscal Year 2001-02 full-time non-resident tuition by $1,960, from $15,960 to $14,000 and part-time non-resident tuition by $81 per credit hour, from $665 to $584 per credit hour. **Motion carried.**

D. Regent Recommendation:

1. **Regent Emeritus Status:** A motion was made by Regent Poston and seconded by Regent Hendrickson that William G. Verst be given the distinction and designation of Regent Emeritus due to his continued service, commitment and contribution to the University and the University community. The Board recognized that this designation is the highest distinction it can bestow upon a former Board member.

   Regent Poston, Chair of the Nominating Committee, stated that former Regent Verst was not only recognized for his quantity of service (Regent 1984-99, Chair 1992-93 and 1993-94) but for his quality of service as well. **Motion carried.**

E. Executive Session to Discuss legal Issues: (No discussion was necessary at this time).

President Votruba encouraged all present at the Board meeting to attend future Policy and Finance Committee meetings held on the morning of each scheduled Board meeting.

With no further business, at 1:35 p.m. a motion was made by Regent Poston and seconded by Regent Clark to adjourn. **Motion carried.**

Lilla F. Losey
Administrative Assistant
President's Office

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

Joyce E. Griffin
Secretary/Treasurer

6:00 pm	November 14, 2000 - Dinner at the President's Home (Lakeside Park)
9:30-11:30 am	November 15, 2000 - Joint Meeting of Fin.& Pol. Committees (AC 830)
11:30am-12:45pm	November 15, 2000 - Regent/Ex.Team Luncheon (President's Dining Rm.-UC 105)
1:00 pm	November 15, 2000 - Board of Regents Meeting (Univ. Center Ballroom)

(Regents--Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events.)

AGENDA

Northern Kentucky University
Wednesday, November 15, 2000 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, September 27, 2000 Regular Meeting

Presidential Comments

A. Joint Finance and Policy Committee Reports
1. CPE/OCR Site Visit Report (Votruba)
2. Capital Campaign (Read)
3. A-133 Audit Report(Baker)

B. Presidential Reports
1. CPE/OCR Site Visit Report
2. External Auditors' Management Letter— needs white copies from auditors
3. A-133 Audit of Federal Programs
4. Health Care Contract with Anthem Blue Cross Blue Shield
5. University Banking Contract with Fifth Third Bank
6. Facilities Management
7. Quarterly Financial Report

C. Presidential Recommendations
*1. Academic Affairs Personnel Actions
*2. Emeritus Status
*3. Administrative Personnel Action
*4. Non-Academic Personnel Actions
*5. University Organizational Structure
*6. Add the new section *"Policy and Procedure for Accommodation Appeal" to the Student Code of Rights and Responsibilities*
*7. *Change to NKU Governing Regulations/Administrative Regulations* - Revision to the Residency Appeal Policy and Procedures and Residency Review Committee
*8. Change to the NKU Administrative Regulations-Affirmative Action Committee
*9. Admin. Computing Committee removed from Admin. Standing Committees
10. New Program, Master of Science in Info. Systems (MSIS)in the College of Business
11. Change in the name of Friendship Field
12. Establish an Undergraduate Non-resident Tuition Waiver

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, November 14,2000).**

D. Other

1. Regular Board of Regents Meeting Schedule for 2001:
- January 17
- March 21 (Change from March 7)
- May 9
- July 11
- September 26
- November 14

2. Council on Postsecondary Education Meeting Proposed 2000/2001 Meeting Dates:
- November 13
- February 4-5 (joint meeting with the Ky. Bd. of Ed., Frankfort
- March 19
- May 21
- July 30
- September 23-24 (annual trusteeship conference)
- November 5

3. Upcoming Activities:
- November 16 - NKU Foundation Appreciation Dinner (Summit Hills Country Club)
- December 7 - Holiday Reception and Play (Playhouse in the Park, Mt. Adams)
- December 16 - Winter Commencement (Firstar Center, Cincinnati)

Board of Regents Meeting
University Center Ballroom
November 15, 2000

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:07 p.m. on Wednesday, November 15, 2000, by Chairman Robert Zapp.

The invocation was read by Regent Clark.

Roll Call: Prince Brown Jr., Kara Clark, Frank Downing, Joyce Griffin, Betty Pogue, Charles Pettit, James Poston, Jr., Brenda Wilson, and Robert Zapp (excused: Chuck Brown and Kathryn Hendrickson).

Others Present: James C. Votruba, Sonda Cummins, Ron Ellis, Russ Kerdolff, Mary Paula Schuh, Lois Schultz, Joe Ruh, Gina Holt-*Recorder Newspapers,* Robin Crigler, Gerald E. Hunter, Sara Sidebottom, Deborah Read, Rogers Redding, Mike Baker, Mark Shanley, Gregory Stewart, Gerry St. Amand, Mike Carrell, Tony Atwater, Gail W. Wells, Cheryl L. Nunez, Kurt Moeller, Todd Asalon, Jeanne Papania, Marilyn Siry, Carol Bredemeyer, and Lilla Losey.

A motion was made by Regent Poston and seconded by Regent Pettit to accept the minutes of the September 27, 2000, regular meeting of the Board of Regents. **Motion carried.**

Presidential Comments:

- All those present were encouraged to join the Board of Regents at the joint Policy and Finance Committee meetings held prior to each regular Board meeting as much discussion and analysis of the Board meeting material occurs during that time.
- Vice President Baker, Russ Kerdolff, Linda Davis and their staff were congratulated for their efforts on the financial management of the University and the A-133 Audit of Federal Programs.
- Preparation for the next comprehensive campaign "Advancing the Vision" was mentioned. The plan was reviewed by the University Foundation. Congratulations were extended to Vice President Read, Don Gorbandt and all staff who contributed to the preparation of the campaign plan.
- The Chase College of Law Foundation made a $250,000 commitment to the University and to the scholarship fund on behalf of Chase. After the match by the state, a $500,000 endowment will be created which will support scholarships and represent a commitment on the part of the Chase Foundation to support not only the Law School but also the University.
- A press conference was recently held by Representatives Callahan and Draud concerning their bipartisan sponsorship and leadership in working toward a special events center for NKU. The Governor, Senate President David Williams, and House Speaker Jodi Richards have also offered their strong support of the facility. Vice President Baker estimates the cost from $35-45M.
- The Office of Civil Rights (OCR) will visit the Campus on Friday, November 17, 2000. This is one step in the partnership agreement between the OCR and the Commonwealth with reference to each of the Kentucky public universities and the status of enrollment and retention of African-American Kentucky-resident students and the employment of African-American administrators, faculty, and staff at its traditionally white institutions.

  Vice President Redding, Vice President Shanley and, particularly, Greg Stewart were acknowledged for their part in this retention effort. The University has exceeded the target set by the Council on Postsecondary Education. More students have been retained from the first to the second year. A good part of this retention is due to University 101 and learning communities.

- Winter Commencement will be held on December 16 at the Firstar Center in Cincinnati. Ed Rigaud, President & CEO of the National Underground Railroad Freedom Center in Cincinnati, will be the guest speaker and will receive an honorary doctorate degree at that time.
- Regarding the recent Channel 9 I-Team report on Campus Safety, there is no evidence of intentionally under-reporting crime. Market share is sometimes put ahead of journalistic integrity. The Southern Police Institute has been employed by the University to do a comprehensive assessment of the University's policing policies and procedures. That report is due this December. Vice President Baker, Vice President Read, Vice President Sidebottom, Joe Wind, Ron Ellis, Rick Meyers and others were acknowledged for their efforts on behalf of the University.

A. **Joint Finance and Policy Committee Reports:** Regent Wilson commented on the following:

1. **CPE/OCR Site Visit Report:** President Votruba and Cheryl Nunez, Director of Affirmative Action and Multicultural Affairs, reported that the University is in compliance and the visit on November 17 should be positive.
2. **Capital Campaign:** Vice President Read gave a report on "Advancing the Vision" a comprehensive fundraising campaign. NKU is set to launch the largest comprehensive campaign in its history.
3. **A-133 Audit Report:** The auditors from Deloitte & Touche LLP commended the University management as there were no instances of non-compliance or material weaknesses found.

Academic Titles/Designations: Vice President Redding gave explanation on the meaning of the various academic titles and designations, such as: full-time tenure track, full-time non-tenure track, part-time faculty, etc.

B. **Presidential Reports:**

1. **CPE/OCR Site Visit Report:** The OCR gave the University a set of questions to consider in advance of their visit on November 17. In doing so, it represented a worthwhile self-study. Cheryl Nunez was acknowledged for her coordination of the visit.

2. **External Auditors' Management Letter:** The letter from Deloitte & Touche addressed recommendations concerning matters related to the University's internal control and certain observations and recommendations on other accounting, administrative, and operating matters.

3. **A-133 Audit of Federal Programs**: The audit was discussed at length during the earlier committee meeting. No further discussion was required at this time.

4. **Health Care Contract with Anthem Blue Cross Blue Shield:** Vice President Baker indicated that the change in health care providers had been well received by the University community. The new contract not only resulted in a savings for the University but for the employees as well. He commended the Health Care Utilization Committee members, which included Martha Malloy and Keith Stewart.

5. **University Banking Contract with Fifth Third Bank:** Vice President Baker indicated that the University is in the process of rebidding banking services. The current contract expires on February 28, 2001. It is expected to be a very competitive process, and Fifth Third will not be eliminated from the bid process. In accordance with Kentucky Revised Statute Chapter 45A, a letter should be

submitted to Dr. Robin Crigler by any Board member interested in the bidding process or contract. Comptroller Russ Kerdolff will be Chair of the Bank Bid Committee.

6. **Facilities Management:** Vice President Baker highlighted the Natural Science Building and the Special Events Center and indicated that work is progressing. The feasibility study for the new Student Union is going well.

7. **Quarterly Financial Report:** Vice President Baker indicated that the University is well within the budget.

C. **Presidential Recommendations:**

Consent Agenda Items: A motion was made by Regent Poston and seconded by Regent Downing to approve the following Presidential Recommendations as listed below (1-9). **Motion carried.**

1. **Academic Affairs Personnel Actions**

Faculty Appointments: Javier Arias, Artist in Residence (non-tenure track renewable) in the Music Department, effective August 16, 2000; **Marcia Littley de Arias**, Artist in Residence, (non-tenure track renewable) in the Music Department, effective August 16, 2000; **Patricia Bradley**, Assistant Professor in the Literature and Language Department, effective August 16, 2000; and **Leslie Manke**, Coordinator, (non-tenure track renewable) Admissions, Advising, and Testing, in the School of Education, effective August 1, 2000.

Leaves, Paid/Unpaid: Frances Zaniello, Director of First-Year Programs, paid administrative leave with benefits, beginning March 19, 2001, and ending August 1, 2001; and **Martin Huelsmann**, Professor in the Salmon P. Chase College of Law, unpaid leave with benefits to be paid by employee, beginning August 1, 2000 through July 30, 2004.

Retirement: **Roger Blanchard**, Associate Professor in the Chemistry Department, effective August 15, 2001.

2. **Emeritus Status: Margaret Cantrell**, Professor in the School of Education.

3. **Administrative Personnel Action: Daniel Kent Kelso**, Dean of Student Development, effective October 1, 2000.

4. **Non-Academic Personnel Actions:** President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions which occurred between September 13, 2000 and October 31, 2000: Activations; Temporary/Contract to Regular Status; Transfers; Reassignments/Reclassifications/Re-Engineering/Title Changes; Promotions; and Terminations.

5. **University Organizational Structure:** The organizational structure reflects all administrative changes to date.

6. **Add the new section "*Policy and Procedure for Accommodation Appeal*" to the Student Code of Rights and Responsibilities:** The new section is located after section VI. *Appeal of Discrimination Complaints* in the *Hearing Procedures* portion of the Code. The *Policy and Procedure for Accommodation Appeal* was developed by the Disability Advisory Committee to ensure due process consideration for disabled students requesting accommodations.

7. **Change to NKU Governing Regulations/Administrative Regulations - Revision to the Residency Appeal Policy and Procedures and Residency Review Committee:** This recommendation revises the Residency Appeal Policy and Procedures to acknowledge the transfer of residency review from the Office of Admissions to the Office of Registrar. This recommendation also revises Administrative Regulation AR III-5.0-4 (Residency Review Committee) to be in line with the proposed Residency Appeal Policy and Procedures.

8. **Change to the NKU Administrative Regulations-Affirmative Action Committee (Administrative Regulation III-1.0-1):** The amendment of the Committee's duties relieves it of responsibility for the selection of recipients of the Educational Diversity Scholarships (formerly the Minority Educational Opportunity Scholarships). Such duties should be transferred to an appropriate committee coordinated by the Office of Financial Assistance, which administers other scholarships, in order to ensure a better coordinated and effective approach to scholarship distribution.

9. **Administrative Computing Committee removed from Administrative Standing Committees listed in the University Administrative Regulations (AR-III-3.0-1):** With the reorganization of the Office of Information Technology, Administrative Computing and its standing committee no longer exist.

10. **New Programs, Master of Science in Information Systems (MSIS) in the College of Business**: Vice President Redding commented that this was another step by the University to meet the needs of the region. The objective of the MSIS program is to prepare business managers and professionals for the rapidly growing and changing field of information systems and information technology management. The program is expected to begin fall semester 2001.

    A motion was made by Regent Pogue and seconded by Regent Prince Brown to approve the new program, Master of Science in Information Systems (MSIS), in the College of Business. **Motion carried.**

11. **Change in the name of Friendship Field:** Vice President Shanley indicated that this proposal was designed to recognize the outstanding achievements of Coach Bill Aker, who served for 29 years as NKU's only baseball coach. Coach Aker's final overall record is an impressive 806-571-1 which ranks #10 all-time among active NCAA Division II coaches in career victories. The NKU Naming Committee reviewed and supports the proposal. The proposal was also approved by the President, Vice President for University Advancement, and the Vice President for Student Affairs and Enrollment Management.

    A motion was made by Regent Pogue and seconded by Regent Pettit to approve the name change of Friendship Field to The Bill Aker Baseball Complex at Friendship Field. **Motion carried.**

12. **Establish an Undergraduate Non-Resident Tuition Waiver:** President Votruba recognized Gregory Stewart, Associate Vice President for Enrollment Management, for his leadership in this pricing policy. NKU seeks to become an active partner in workforce development in the region, and affordable access to University courses is part of the University's strategic plan. This recommendation directly supports recruitment and retention of targeted students (adult learners, evening/weekend students) and promotes graduation by providing a true incentive for academic progress toward degree.

    Vice President Shanley indicated that this proposal actively supports the CPE action agenda to increase the enrollment of students from Kentucky.

    A motion was made by Regent Prince Brown and seconded by Regent Clark to approve the recommendation to establish an undergraduate non-resident tuition waiver for NKU Distributed Learning Center courses effective spring semester 2001 (students would pay in-state tuition for courses at these sites). These tuition waivers would be offered on a three-year pilot basis, subject to assessment of effectiveness. **Motion carried.**

With no further business, at 1:40 p.m. a motion was made by Regent Downing and seconded by Regent Prince Brown to adjourn. **Motion carried.**

Lilla F. Losey
Administrative Assistant
President's Office

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

Joyce E. Griffin
Secretary/Treasurer

6:00 pm	January 16, 2001 - Dinner at the President's Home (Lakeside Park)
9:30-11:30 am	January 17, 2001- Joint Meeting of Fin.& Pol. Committees (AC 830)
11:30am-12:45pm	January 17, 2001- Regent/Ex. Team Luncheon and slide presentation by Frank Stallings (President's Dining Room-UC 105)
1:00 pm	January 17, 2001 - Board of Regents Meeting (University Center Ballroom)

(Regents--Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events.)

AGENDA (Revised)

Northern Kentucky University
Wednesday, January 17, 2001 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, November 15, 2000 Regular Meeting

Presidential Comments

A. Joint Finance and Policy Committee Reports
1. Fee Strategy (Shanley/Hunter)
2. Tuition Strategy Presentation (Hunter/Shanley)

B. Presidential Reports
1. Facilities Management
2. Quarterly Financial Report
3. 2001-2002 Faculty Development Awards: Project Grants
4. 2001-2002 Faculty Development Awards: Summer Fellowships

C. Presidential Recommendations
*1. Non-Academic Personnel Actions
*2. Agreement concerning use of land located behind the Thriftway bldg., near Marshall Lane
*3. Agreement with INROADS for the occupancy of space on the Highland Heights campus
*4. Academic Affairs Personnel Actions
*5. Temporary Faculty Appointments for 2001-2002
*6. Recommendations on Promotion, tenure, continued probation, continued probation with conditions to be removed and terminal reappointment
*7. Sabbatical Leave Recommendations for the 2001-2002 academic year
8. New Program, the traditional Baccalaureate Program in Nursing (BSN), Prof. Studies and Ed., be approved for initiation at the beginning of the 2001 fall semester.
9. New Program, Master of Arts in Teaching (MAT), Professional Studies and Education, be approved for initiation at the beginning of the 2001 fall semester.
10. Authorize the University Treasurer, W. Michael Baker, to access funds on deposits in the Housing Systems Repair and Replacement Fund
11. Establish the increase in undergraduate non-resident tuition
12. Comprehensive Schedule of Fees "Authorized Schedule of Fees/Service Charges"

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, January 16, 2001).**

D. Other

1. Regular Board of Regents Meeting Schedule for 2001:
- March 21 (Changed from March 7)
- May 9
- July 11
- September 26
- November 14

2. Council on Postsecondary Education Meeting Proposed 2001 Meeting Dates:
- February 4-5 (joint meeting with the Ky. Bd. of Ed., Frankfort)
- March 19
- May 21
- July 30
- September 23-24 (annual trusteeship conference)
- November 5

3. Upcoming Activities:
- January 16 - Martin Luther King Celebration (12:15pm/Greaves Concert Hall)
- February - Black History Month Events
- February 21 -Black History Month Dinner (6:00 pm - University Center Ballroom)
- February 9 - Alumni Awards Banquet (6:30pm/Cincinnati Airport Marriott)
- February 10 - Homecoming Basketball Games (Women/10am-Men/4:15pm)
- April 23 - Lincoln Awards Dinner (6:30 pm/Marriott, RiverCenter Blvd., Covington)
- May 12 - (29th) Annual Commencement Exercises (10:00am/Firstar Ctr., Cincinnati)
- September 18 - Alumni Lecture Series

Board of Regents Meeting
University Center Ballroom
January 17, 2001

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:10 p.m. on Wednesday, January 17, 2001, by Chairman Robert Zapp.

The invocation was read by Regent Pogue.

Roll Call: Chuck Brown, Prince Brown Jr., Kara Clark, Frank Downing, Joyce Griffin, Betty Pogue, Charles Pettit, James Poston, Jr., Brenda Wilson, and Robert Zapp (excused: Kathryn Hendrickson).

Others Present: James Votruba, James Alford, Bill Oliver, Larry Terrell, Carol Bredemeyer, Lois Schultz, Tony Atwater, Gerry St. Amand, Mike Carrell, Gary Pratt, Gail Wells, Francie Mosser, Jane Meier, Gary Graff, Katie Herschede, Mary Lepper, Robin Crigler, Mike Baker, Rogers Redding, Gregory Stewart, Gina Holt-*Recorder Newspapers*, Mary Kirk, Mary Paula Schuh, Joseph Wind, Don Gorbandt, Russ Kerdolff, Angie Shaffer, Cheryl Nunez, Gerald Hunter, Debbie Read, Mark Shanley, Sara Sidebottom, Kent Kelso, Mary Ryan, Robin Geiger, Rick Meyers, Joe Ruh, Ron Ellis, Debra Vance-*Kentucky Post*, Bob Weber, Ken Carter, Marjorie Artzer, Angie Gabbard, Chris Cole, and Lilla Losey.

The minutes of the November 15, 2001, regular meeting of the Board of Regents were accepted with no changes.

Chair Zapp thanked Dr. Frank Stallings for his presentation on the history of NKU during the luncheon that day.

Presidential Comments:

- Kevin Listerman was recognized for his performance as an outstanding student athlete. Kevin was one of eight student athletes to receive the National Collegiate Athletic Association's highest honor. He was the only athlete in Division II to receive the award.

  The academic performance of all NKU athletes was recognized:
  - Women's sports: 3.14 - Basketball/3.52
  - Men's sports: 2.70 - Basketball/2.90
  - Total all sports: 2.88 (highest in NKU history)

- Spring enrollment was up overall by 2.3%. The Law School enrollment increase was 8.9%.
- Senators Bunning and McConnell and Congressman Lucas were recognized for their support of funding for METS ($500,000) and the Urban Learning Center ($270,000).
- Vice President Baker and his staff were recognized for their work on campus facilities improvement. Faculty as well as students have recognized the upgrade of the classrooms, 50 out of 100 have been upgraded since this past summer. Twelve more were upgraded over the winter break. There will be a demonstration of the new smart classroom at a future Board meeting.
- The University has an $8M goal for private donor support this year–$4.2M has already been met with excellent progress being made toward the comprehensive fund raising campaign. The feasibility study is in progress with a report expected to the Board later this spring. Vice President Read, Assistant Vice President Don Gorbandt and the Development staff were recognized for their progress this year.
- The academic planning and priority setting process is well underway. With the help of a committee of faculty and others, a set of campus conversations are currently being conducted much like the Vision, Values, and Voices conversations three and a half years ago. These discussions will

include the University's faculty, staff and students, as well as community members. This process is planned for completion at the end of this semester. At which time, a set of academic priorities will be identified that will include new program development, program expansion as well as possible program down-sizing or elimination.

- The University remains on target to meet or exceed CPE enrollment targets. Growth and retention are both up this year, including approximately 100 new students at the IRS program and 200 at the Grant County initiative.
- The University has initiated the Mayerson Student Philanthropy Project, which is believed to be the first of its kind in the nation. This program is designed to promote civic participation by working with non-profit agencies to identify and assess community needs. A donation of $100,000 was made by the Mayerson Foundation for a two year period with the intent for the University to find a private endowment. On December 1, 2000, a ceremony/press conference was held for the students to present the grants. The amount awarded to the community-based organizations ranged from $1,000 to $4,000.

A. **Joint Finance and Policy Committee Reports**: Regent Chuck Brown reported on the following:

1. **Fee Strategy:** Areas of major concern included increases needed for residential housing and athletic enhancement. This is the first fee increase in three years. Student Government unanimously voted to approve this increase.

2. **Tuition Strategy:** In September of 1999 tuition was approved at 5 1/2% both for resident and non-resident students. This issue has been revisited with respect to non-resident students. The University wants to be particularly competitive with the Cincinnati Metropolitan area tuition rates. Four areas were targeted:
   - Non-resident tuition waiver for Distributed Learning Centers (IRS and Airport).
   - Scholarship program for non-resident transfer students.
   - Early Admission program for high school students to encourage enrollment at NKU.
   - Tuition Grant program to assist non-resident freshmen and sophomores who have exceptional financial need.

   The goal is to lower non-resident tuition but not to negatively impact tuition revenue. Tuition increase would be $60 for both resident and non-resident students.

Expired Bank Contract: After a competitive bidding process, Fifth Third Bank will be awarded a four-year contract with the University.

B. **Presidential Reports:**

1. **Facilities Management:** Benchmark funding increases would allow the University to invest more in these types of endeavors. All projects in the Facilities Management report are progressing on schedule and were coordinated through Mary Paula Schuh and Henry Keene in Campus Planning.

2. **Quarterly Financial Report:** Vice President Baker indicated that the University is well within the budget.

3. **2001-2002 Faculty Development Awards: Project Grants:** Provost Redding indicated that this project lends opportunity for faculty to advance in their disciplines and to improve their teaching through scholarly and creative activity in their field. The amount of funds awarded, ranging from $200 - $5,000 with a total of $35,000 for the project, depends on what the faculty member needs in

terms of materials. Faculty Project Grants are intended to provide funds for research equipment and activities that are not available through program budgets.

4. **2001-2002 Faculty Development Awards: Summer Fellowships:** Provost Redding reported that the Summer Fellowship program is designed to encourage faculty to engage in individual research, scholarly writing, and creative and artistic projects. These efforts would then be shared with the broader University community. Twelve Summer Fellowship awards are offered at $4,500 each with an overall total of $54,000.

C. **Presidential Recommendations:**

Consent Agenda Items: A motion was made by Regent Poston and seconded by Regent Clark to approve the following Presidential Recommendations as listed below (1-7). **Motion carried.**

1. **Non-Academic Personnel Actions:** President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions which occurred between November 1, 2000 and January 2, 2001: Activations; Temporary/Contract to Regular Status; Transfers; Reassignments/Reclassifications/Re-engineering/Title Changes; Promotions; and Terminations.

2. **Agreement concerning use of land located behind the Thriftway Building, near Marshall Lane:** The University Administration is authorized to enter into an agreement with Direct Instructional Services, Inc. (DISS) for the use of land located behind the Thriftway building. DISS, a non-profit organization based in Columbus, Ohio, will use the land to construct a ropes challenge course.

3. **Agreement with INROADS for the occupancy of space on the Highland Heights campus:** The University administration is authorized to enter into an agreement with INROADS for the occupancy of space on the Highland Heights campus located at 305 Johns Hill Road. INROADS is a nationally based non-profit organization with a mission to serve the career development opportunities of minorities. The organization will provide funding to the University totaling $18,000 per year for minority scholarships.

4. **Academic Affairs Personnel Actions:**

Administrative: Barbara Hedges, from Interim Director of Credit Continuing Education and Distance Learning to Director of Credit Continuing Education and Distance Learning, effective January 1, 2001 and **Jeffrey Williams**, from Professor in the History and Geography Department to Chair and Professor in the History and Geography Department, effective July 1, 2001.

Faculty Appointments: Michael Baranowski, Assistant Professor in the Political Science Department, effective August 13, 2001; **Erez Ofer**, Artist in Residence, (non-tenure track renewable) in the Music Department, effective August 16, 2000; and **Yoram Youngerman**, Artist in Residence, (non-tenure track renewable) in the Music Department, effective August 16, 2000.

Leaves, Paid/Unpaid: Elizabeth Lorenzi, Associate Professor in the Nursing Department, unpaid leave with benefits, beginning January 1, 2001 through May 12, 2001 and **James A. Wilmer**, Lecturer in the Management and Marketing Department, unpaid leave with benefits, beginning January 1, 2001 through May 12, 2001.

Resignations: Craig Agneberg, Associate Professor in the Technology Department, effective December 31, 2000 and **Deborah Pressly**, Assistant Professor in the Allied Health, Human Services, and Social Work Department, effective December 31, 2000.

5. **Temporary Faculty Appointments for 2001-2002:** President Votruba recommended that the Board of Regents approve the following temporary faculty appointments for 2001-2002:

College of Business:
Economics, Finance, and Information Systems: Frank C. Braun.

College of Professional Studies and Education:
Allied Health, Human Services and Social Work: Connie Wolfe.

6. **Recommendations on Promotion, Tenure, Continued Probation, Continued Probation with Conditions to be Removed and Terminal Reappointment:**

Promotion beginning with the 2001-2002 academic year: Ana England, Barbara Klaw, Chenliang Sheng, Shamima Ahmed, Douglas Krull, Angela Lipsitz, Ken Jones, Thomas Cate, Richard Snyder, Shailendra Verma, Russell Proctor, Mary Kirk, Ruth Champion, Paul Cooper, Harold Wiebe, Richard Bales, and Carol Furnish.

Tenure beginning with the 2001-2002 academic year: Shamima Ahmed, Douglas Krull, Shailendra Verma, Ruth Champion, and Harold Wiebe.

Continued probation for the 2001-2002 academic year under the conditions of the *Faculty Policies and Procedures Handbook*: Kimberly Allen-Kattus, Lisa Jameson, Patrick Schultheis, Roxanne Kent-Drury, Tamara O'Callaghan, Kristine Yohe, Jonathan Reynolds, Lisa Elderbrock, Tammie Gerke, Amy Thistlewaite, JoEllen Burkholder, Peter Theuri, Dean Kiefer, Toru Sakaguchi, Ken Rhee, Debra Kasel, Cady Short-Thompson, Linda Welker, Anjana Arora, Denise Dallmer, Deborah Doty, Yvonne Meichtry; Michele Roszmann-Millican, Carol Ryan, Allen Seed, Gayla Thompson, Teresa Young, Ann M. Dollins, Mary Gers, Caron Martin, Craig Agneberg, Mortez Sadat-Hossieny, Jennifer Bales, Richard Bales, Edward Brewer, Claudia Zaher, and Michael Rose.

Continued probation with conditions to be removed for the 2001-2002 academic year under the conditions of the *Faculty Policies and Procedures Handbook*: Carol Dary Dunevant, James Clingermayer, Dennis Davenport, Stephanie Barnes, Nancy Batchelor, and Simone Bess.

Terminal reappointment for 2001-2002 under the conditions of the *Faculty Policies and Procedures Handbook*: James Roeder.

7. **Sabbatical Leave Recommendations for the 2001-2002 Academic Year:** Susan Adams, one semester; Shamima Ahmed, one semester; Rachelle Bruno, academic year; Paige Byam, one semester; Linda Dolive, one semester; Gina Foletta, one semester; James Hopgood, academic year; MaryCarol Hopkins, one semester; Sally Jacobsen, one semester; Donald Kazee, one semester; Trina Koscielicki, one semester; Katherine Kurk, academic year; Robert Langenderfer, one semester; Yushi Li, one semester; J. Robert Lilly, one semester; Angela Lipsitz, one semester; James Luken, academic year; Raymond McNeil, academic year; Peter Moore, one semester; James Ramage, one semester; John S. Rankin, one semester; Bradford Scharlott, one semester; Lynn Smith, one semester; and John Valauri, one semester.

8. **New Program, the traditional Baccalaureate Program in Nursing (BSN), Professional Studies and Education, beginning 2001 fall semester:** Provost Redding indicated that the shortage of nurses was one of the areas of tremendous need in this region. This program provides another way of obtaining a bachelor's degree other than obtaining it first as an associate degree. With the new program, the students will be able to enter the bachelor's degree immediately. Faculty and facilities utilized for the existing nursing programs will be used for the new program, so no additional faculty resources will be needed.

   A motion was made by Regent Pogue and seconded by Regent Prince Brown to approve the initiation of the new program, the traditional Baccalaureate Program in Nursing (BSN), Professional Studies and Education, beginning 2001 fall semester. **Motion carried.**

9. **New Program, Master of the Arts in Teaching (MAT), Professional Studies and Education, beginning 2001 fall semester.** Provost Redding indicated that this program is consistent with the mission of Northern Kentucky University and the mission of the School of Education in meeting the needs of the region in mathematics and special education. Additionally, a Long-Range Planning Committee commissioned by the Provost during the 1999-2000 fiscal year made an intensive study of the School of Education and recommended that the School of Education develop the proposed MAT program.

   A motion was made by Regent Downing and seconded by Regent Prince Brown to approve the initiation of the new program, Master of Arts in Teaching (MAT), in the College of Professional Studies and Education, beginning 2001 fall semester. **Motion carried.**

10. **Authorize the University Treasurer, W. Michael Baker, to access funds on deposits in the Housing Systems Repair and Replacement Fund:** These funds are needed for extraordinary repairs to the system that were not anticipated, nor provided for in the University's budget. These repairs are necessary in order to protect the bondholders' investment in the system. This action is in accordance with the provisions of Section 6.07 of the bond indenture.

    A motion was made by Regent Clark and seconded by Regent Downing to approve the resolution authorizing University Treasurer, W. Michael Baker, to access funds on deposit in the Housing Systems Repair and Replacement Fund up to $165,000 for the purpose of major repairs to the Housing System. The Treasurer shall not reduce the fund below the required minimum balance of $200,000. The University shall implement a plan to restore the Housing Systems Repair and Replacement Fund to the required funding level of $348,140 within a four-year period from the date of withdrawal. **Motion carried.**

11. **Establish the increase in undergraduate non-resident tuition:** Vice President Shanley indicated that the primary purpose of this proposal is to help contain the cost of education, particularly for non-resident students. It is designed to increase enrollment and retention by reducing the increase in non-resident tuition from the 5.5% increase previously approved by the Board in September 1999. The recommended rate of 1.8% will index the non-resident tuition increase to the same dollar amount established for Kentucky resident students.

    A motion was made by Regent Downing and seconded by Regent Chuck Brown to establish the increase in undergraduate non-resident tuition at 1.8%, effective fall semester 2001. **Motion carried.**

12. **Comprehensive Schedule of Fees "Authorized Schedule of Fees/Service Charges:"** Vice President Shanley indicated that clarification was needed on Pg. 11 of the recommendation pertaining to the enhancement of the Intercollegiate Athletics fee. Following the fee increase for FY 2001-02, the athletic fee will be increased for subsequent years at a rate determined by the higher education price index.

    A motion was made by Regent Poston and seconded by Regent Pogue to approve a comprehensive schedule of fees and charges for academic year 2001-02 at the rates proposed on the "Authorized Schedule of Fees/Service Charges." **Motion carried.**

At a previous Board meeting, Regent Downing asked when the University would be moving to electronic remote access (registering via the Internet and telephone). The President indicated that this system is now in place, with approximately 86% of the registrations done electronically for this spring. Registrar Larry Terrell was given particular recognition for providing the leadership on the implementation of this process.

Executive Session to Discuss Legal Issues: At 1:55 p.m. a motion was made by Regent Poston and seconded by Regent Clark to call an executive session. **Motion carried.**

The Board reconvened at 2:45 p.m. with no action taken.

With no further business, at 2:45 p.m., a motion was made by Regent Downing and seconded by Regent Poston to adjourn. **Motion carried.**

Lilla F. Losey
Administrative Assistant
Office of the President

Robin A. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce Griffin, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on January 17, 2001, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary/Treasurer

6:00 pm	March 20, 2001 - Dinner at the President's Home (Lakeside Park)
9:30-11:30 am	March 21, 2001- Joint Meeting of Fin.& Pol. Committees (AC 830)
11:30am-12:45pm	March 21, 2001- Regent/Ex. Team Luncheon (President's Dining Rm., UC 105)
1:00 pm	March 21, 2001 - Board of Regents Meeting (University Center Ballroom)

(Regents--Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events.)

AGENDA

Northern Kentucky University
Wednesday, March 21, 2001 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, January 17, 2001 Regular Meeting

Presidential Comments

A. Joint Finance and Policy Committee Reports
1. Budget (Hunter)
2. Comprehensive Capital Feasibility Study (David Ross - Ross, Johnston and Kersting, Inc.)

B. Presidential Reports
1. Facilities Management (Baker)
2. Retirement Incentive Program (Redding)
3. Policy Development Report (Sidebottom)

C. Presidential Recommendations
*1. Non-Academic Personnel Actions
*2. Administrative Personnel Actions
*3. Academic Affairs Personnel Actions
*4. Temporary Faculty Appointments for 2001-2002
*5. Amendments of the *Faculty Policies and Procedures Handbook*
*6. Reorganization of Credit Continuing Education and Distance Learning
*7. Termination of the degree programs
*8. Amendments of the *Chase College of Law Faculty Handbook*
*9. Approve Reassigned Time Benefits
10. Approve the University fiscal year 2001-2002 Annual Budget

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, March 20, 2001).**

D. Other
1. **Regular Board of Regents Meeting Schedule for 2001:**
   - May 9
   - July 11
   - September 26
   - November 14
2. **Council on Postsecondary Education Meeting Proposed 2001 Meeting Dates:**
   - May 21
   - July 30
   - September 23-24 (annual trusteeship conference)
   - November 5
3. **Upcoming Activities:**
   - March 29 -Annual Spring Convocation (budget), Greaves Concert Hall, 1:30-2:30 pm
   - April 23 - Lincoln Awards Dinner (6:30 pm/Marriott, RiverCenter Blvd., Covington)
   - May 11 - Commencement Celebration, Soccer Field, 6:30 pm
   - May 12 - (29th) Annual Commencement Exercises (10:00am/Firstar Ctr., Cincinnati)
   - May 12 - Chase College of Law Commencement Exercises, Regents Hall, 4:00 p.m.)
   - June 26 - President's Golf Outing, Summit Hills Country Club
   - August 17 - Fall Convocation,Greaves Concert Hall, 9:30 a.m.
   - September 18 - Alumni Lecture Series

Board of Regents Meeting
University Center Ballroom
March 21, 2001

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:05 p.m. on Wednesday, March 21, 2001, by Acting Chairperson Brenda Wilson.

The invocation was read by Regent Pettit.

Roll Call: Chuck Brown, Prince Brown Jr., Kara Clark, Frank Downing, Betty Pogue, Charles Pettit, James Poston, Jr., and Brenda Wilson (excused: Joyce Griffin, Kathryn Hendrickson, and Bob Zapp).

Others Present: James Votruba, Carol Bredemeyer, Gary Graff, Mike Carrell, Gerry St. Amand, Gerald Hunter, Sara Sidebottom, Cheryl Nuñez, Gail Wells, Andy Wilson, Gary Scott, Rosetta J. Mauldin, Lois Schultz, Sonda Cummins, Jane Schwierjohann, Angie Gabbard, Jane Meier, Angela Schaffer, Chris Cole, Joe Ruh, Mary Paula Schuh, Ron Ellis, Gary Pratt, Gina Holt-*Recorder Newspapers*, Mary Ryan, Don Gorbandt, Mike Baker, Rogers Redding, Mark Shanley, Robin Crigler, David Ross, Debbie Read, and Lilla Losey.

A motion was made by Regent Downing and seconded by Regent Pettit to approve the minutes of the January 17, 2001, regular meeting of the Board of Regents as corrected to include the change of the sabbatical leave for Susan Adams, faculty member in the Literature and Language department, from one semester to two semesters, academic year 2001-2002. **Motion carried.**

Presidential Comments:

- The 2001-2002 Budget will provide for the following: significant improvement in the University's core academic enterprise, along with faculty/staff salaries; expansion of faculty positions around expanded graduate programs; and heavy emphasis on supporting learners (technology investments, community partnerships, scholarships, study abroad opportunities in an effort to internationalize the campus, and campus beautification resulting in a more pleasing environment).
- The University Men's/Women's Basketball Teams were commended: This year the men's team finished 27-7 and made the "Sweet 16." The women's team finished 25-5. Michelle Cottrell, who is a junior, was named to 1st team all American for the 2nd straight year.
- NKU Athletic Director Jane Meier, was commended for earning the prestigious national award: Women's Basketball Coaches Association (WBCA) Administrator of the Year award for excellence in athletic administration. Meier will be presented with the award on April 1 in St. Louis, Mo.

A. Joint Finance and Policy Committee Reports:

1. **2001-2002 Budget:** Regent Chuck Brown reported on the presentation given at the morning committee meeting by President Votruba, Assoc. Vice President Gerald Hunter, and staff. Regent Brown felt that the University's financial obligations have been appropriately budgeted, with clear room for growth. There have been various areas of improvement particularly in faculty development and faculty/staff salaries.

   There has been an increase in benchmark funding. This year's budget totaled $101,600,000 and is aligned with the vision of the University and the priorities set by the Board and the President.

2. **Comprehensive Capital Feasibility Study:** President Votruba indicated that a comprehensive campaign is designed to enhance private support for the University and its future.

David Ross, President and Chairman of the Board of Ross, Johnston & Kersting, Inc. a development consulting firm, presented the capital campaign feasibility study. Interviews were conducted involving: various members of the University Advancement staff; deans; officers of the University; current and past members of the NKU Board of Regents; current and past members of the University Foundation Board; Chase Law School Foundation Board; Alumni Council; and other external interviews both in Kentucky and the Cincinnati area. With the current positive perception of the University and its administration, the timing is good for the University to go forward with a major capital campaign. A detailed report and recommendation will be made to that effect.

Regent Pogue reported that Sara Sidebottom, Vice President for Legal Affairs and General Counsel, has begun the review of NKU's Governing Regulations which are in need of modification in order to conform to current law. Further review of policy will be brought forward for review and approval at an upcoming Board of Regents meeting.

B. Presidential Reports:

1. **Facilities Management:** Vice President Baker highlighted the following projects: the Natural Science building is on schedule and should be complete in spring 2002; the Otto M. Budig Theater is anticipated to be completed by this March 31; the Outdoor Recreation Complex is expected to be open beginning fall 2001; and planning is underway on the Old Science Building Renovation.

2. **Retirement Incentive Program:** Provost Redding had no further comment or discussion on the report as presented.

3. **Policy Development Report:** Vice President Sidebottom had no further comment or discussion on the report as presented.

C. Presidential Recommendations:

Consent Agenda Items: With one abstention, a motion was made by Regent Poston and seconded by Regent Clark to approve the following Presidential Recommendations as listed below (1-9). **Motion carried.**

1. **Non-Academic Personnel Actions:** President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions: Activations; Temporary/Contract to Regular Status; Transfers; Reassignments/Reclassifications/Re-engineering/Title Changes; Promotions; and Terminations.

2. **Administrative Personnel Action: Jeffrey L. Butler**, Interim Director Department of Public Safety, effective January 26, 2001.

3. **Academic Affairs Personnel Actions:**

   Administrative Appointment: Arne J. Almquist, Associate Provost for Library Services and Associate Professor with Tenure in the W. Frank Steely Library, effective August 1, 2001.

Faculty Appointments: **Ramona Brockett**, Assistant Professor in the Political Science Department, effective August 13, 2001; **Adele Dean**, Clinical Instructor/Lecturer (non-tenure track renewable) in the Nursing Department, effective January 2, 2001; **Enders Dickinson V**, Assistant Professor in the Chemistry Department, effective August 13, 2001; **Matthew Ford**, Assistant Professor in the Management and Marketing Department, effective August 13, 2001; **John D. Rockaway**, Visiting Professor and Director of Geology (non-tenure track renewable - 3 years) in the Physics and Geology Department, effective July 1, 2001 through June 30, 2002; and **K. C. Russell**, Assistant Professor in the Chemistry Department, effective August 13, 2001.

Resignations: Robert England, Professor in the Mathematics and Computer Science Department, effective May 12, 2001.

Retirements (through the Retirement Incentive Program, effective with the 2001-2002 academic year): **Judith Bechtel**, Professor in the Literature and Language Department; **Jerry Carpenter**, Professor in the Biological Sciences Department; **Ken Carter**, Professor in the School of Education; **Thomas E. Comte**, Professor in the Management and Marketing Department and Associate Provost for Graduate Studies and Outreach; **William M. Lindsay**, Professor in the Management and Marketing Department; **Rosetta J. Mauldin**, Professor and Interim Chairperson in the Allied Health, Human Services and Social Work Department; **James E. McKenney**, Professor in the Mathematics and Computer Science Department; **Roger C. Meade**, Associate Professor and Director of the Real Estate Program; and **Frances T. Mosser**, Associate Professor in the Nursing Department.

Leaves, Paid/Unpaid: Robert Vitz, Professor and Chairperson in the History and Geography Department, paid administrative leave with benefits, beginning July 1, 2001 and ending December 31, 2001.

4. **Temporary Faculty Appointments for 2000-2001:**

College of Arts and Sciences: Political Science: Paul McCartney (Spring Semester 2001)

College of Professional Studies and Education: Nursing: Shawn Nordheim (Spring Semester 2001)

5. **Amendments of the *Faculty Policies and Procedures Handbook*:** These amendments are related to the election procedures for the Peer Review Hearing and Advisory Committees, the Complaint Advisory Committee, and the Financial Exigency Committee and reflect action approved by the 1999-2000 Faculty Senate for use in the Fall 2000 elections.

6. **Reorganization of Credit Continuing Education and Distance Learning:** Beginning February 2001, Ms. Barbara Hedges, Director of Credit Continuing Education and Distance Learning will be reporting to the Vice Provost, Dr. Paul Reichardt. This action will effectively consolidate all the special undergraduate programming (Honors, Freshman Year, Urban Learning Center, etc.) into one department. As part of the reorganization, Ms. Hedges title has changed from Director of Credit Continuing Education and Distance Learning to Director of Credit Continuing Education.

7. **Termination of Degree Programs:** This has been a process that has involved active participation by the chairs and faculty members in the affected units. These programs will be phased out in a manner that does not disadvantage students who are currently enrolled: AAS in Technical Teacher Education (vocational); AAS in Operation Management and Supervision; AAS in Engineering Technology/Technician (General); BA in Science Teacher Education; BA in Mathematics and Physical Sciences Education; BS in Manufacturing Technology; and BS in Public Administration.

8. **Amendments of the *Chase College of Law Faculty Handbook*:** The adoption of a Post-Tenure Review Policy for the Chase College of Law was approved by both the Chase faculty and Board of Regents as a change in the *Chase College of Law Faculty Handbook*. The policy is modeled after the one adopted earlier by the University.

9. **Approve Reassigned Time Benefits:** These benefits are equivalent to 50% for an additional six-year Regents Professor term beginning July 1, 2001, for Linda Sheffield, Regents Professor in the Mathematics and Computer Science Department. Professor Sheffield is nearing the end of her initial six-year appointment as a Regents Professor and has applied for renewal of the reassigned time benefits of the Regents Professorship, consistent with the Regents Professor Program policy established by the Board of Regents on January 27, 1999, and as specified in the *Faculty Policies and Procedures Handbook.*

10. **Approve the University fiscal year 2001-2002 Annual Budget:** President Votruba recommended that the Board of Regents approve the resolution establishing and approving the fiscal year 2001-2002 annual budget for Northern Kentucky University. A motion was made by Regent Chuck Brown and seconded by Regent Poston to approve the resolution. **Motion carried.**

With no further business, at 1:25 p.m., a motion was made by Regent Poston and seconded by Regent Downing to adjourn. **Motion carried.**

Lilla F. Losey
Administrative Assistant
Office of the President

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Brenda L. Wilson, Acting Chairperson of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on March 21, 2001, and that such matters are still in force and effect.

Brenda L. Wilson
Acting Chairperson

6:00 pm	May 8, 2001 - Regent Dinner on campus (President's Conference Room - AC 800)
9:30-11:30 am	May 9, 2001- Joint Meeting of Fin.& Pol. Committees (AST 111)
11:30am-12:45pm	May 9, 2001- Reception: Student Awards/Bank of Ky. Gift Announcement/College of Education (University Center Plaza)
1:00 pm	May 9, 2001 - Board of Regents Meeting (University Center Ballroom)

(Regents--Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events.)

AGENDA
Northern Kentucky University
Wednesday, May 9, 2001 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, March 21, 2001 Regular Meeting

Presidential Comments

Research Presentation by Professor Robert Wallace and students

A. Joint Finance and Policy Committee ~~Reports~~ Discussion
1. NKU Regent By-Laws (Downing)
2. Capital Plan (Baker)
3. US 27 Property (Baker)
4. College of Education (Redding)

B. Presidential Reports
1. Quarterly Financial Report (Baker)
2. Facilities Management (Baker)

C. Presidential Recommendations
*1. Non-Academic Personnel Actions
*2. Administrative Personnel Actions
*3. Academic Affairs Personnel Actions
*4. Recommendations on continued probation and continued probation with conditions to be removed
*5. Emeritus Status
*6. Reporting change of Research, Grants, and Contracts
*7. Restructure two of the departments in the College of Professional Studies and Education
*8. Authorization be given to the Vice President for Administration and Finance/Treasurer to write off bad debts
9. NKU establish a College of Education (Redding)
10. Adopt the 2002-2008 Capital Plan (Baker)

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, May 8, 2001).**

D. Executive Session (Regent Zapp)

E. Other
1. **Regular Board of Regents Meeting Schedule for 2001:**
   - July 11
   - September 26
   - November 14
2. **Council on Postsecondary Education Meeting Proposed 2001 Meeting Dates:**
   - May 21
   - July 30
   - September 23-24 (annual trusteeship conference)
   - November 5
3. **Upcoming Activities:**
   - May 11 - Commencement Celebration/Jazz & Barbeque, University Center Plaza, 6:30 pm
   - May 12 - (29th) Annual Commencement Exercises (10:00am/Firstar Ctr., Cincinnati)
   - May 12 - Chase College of Law Commencement Exercises, Regents Hall, 4:00 p.m.
   - June 26 - President's Golf Outing, Summit Hills Country Club
   - August 17 - Fall Convocation, Greaves Concert Hall, 9:30 a.m.
   - September 10 & 11 - Board of Regents Retreat
   - September 18 - Alumni Lecture Series

Board of Regents Meeting
University Center Ballroom
May 9, 2001

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:00 p.m. on Wednesday, May 9, 2001, by Chairperson Robert Zapp.

Invocation was read by Regent Wilson.

Roll Call: Prince Brown, Jr., Kara Clark, Frank Downing, Joyce Griffin, Betty Pogue, Charles Pettit, James Poston, Jr., Brenda Wilson, and Robert Zapp (excused: Chuck Brown and Kathryn Hendrickson).

Others Present: James Votruba, Sonda Cummins, Angie Gabbard, James Alford, Lois Schultz, Bill Oliver, Betty Pennington, Precious Lindsey, Margaret Anderson, Rosetta Mauldin, Willie Elliott, Crystal Harden, Anna Weaver-*Recorder Newspapers*, Rogers Redding, Mark Shanley, Mike Baker, Robin Crigler, Deborah Read, Don Gorbandt, Carol Bredemeyer, Jeff Smith, Mike Carrell, Gary Pratt, Gerald Hunter, Tony Atwater, Linda Olasov, Gail Wells, Nancy Lang, Ken Carter, Marge Artzer, Wanda Griffith, Cheryl Nunez, Suzanne Cortez, Linda Sheffield, Anjana Arora, Jane Meier, Mary Kirk, Belle Zembrodt, Tom Zaniello, Jane Schwierjohann, Russ Kerdolff, Mary Paula Schuh, Gary Graff, Gregory Stewart, Caroline Braden, Mary Ryan, Sara Sidebottom, Gerry St. Amand, Ron Ellis, Rick Meyers, Chris Cole, and Lilla Losey.

A motion was made by Regent Downing and seconded by Regent Poston to approve the minutes of the March 21, 2001, regular meeting of the Board of Regents. **Motion carried.**

Presidential Comments:

- The May 12, 2001 Commencement was mentioned. Approximately 1,000 students will graduate.
- A reception was held prior to the meeting in recognition of the following:
  - Outstanding Senior Student Award Recipients: Elea Mihou, Board of Regents Award; Precious Lindsey, Excelsior Award; Hugo Ong, Faculty Senate Award; Elea Mihou, President's Award; Betty Pennington, Paul Sipes Award; and Kara Clark & Michael Chirumbola, University Service Award.
  - First Endowed Chair, gift from the Bank of Kentucky; and
  - Newly established College of Education
- The University's 2001-02 Milburn Outstanding Professor Award recipient, Dr. Christine B. Stiegler, Professor of Business Education and faculty member in the Department of Technology was recognized.
- Ron Ellis, Assistant Vice President for University Advancement was recognized for his work over the years in organizing events related to the President and the University, including the Commencement ceremonies. Mr. Ellis will be retiring this summer after nearly twenty-seven years of service to the University.

A resolution was presented by President Votruba and Chair Zapp to outgoing Student Regent Kara S. Clark. Ms. Clark was commended for her work and leadership over the past year as a member of the Board of Regents and as President of The Student Government Association.

A research presentation was given by Regents Professor of English Robert Wallace and students, Aaron Zlatkin and Ellen Bayer, about the reciprocal teaching and learning that went into the three articles that were published in the October 2000 issue of *Leviathan*.

A. **Joint Finance and Policy Committee:** Regent Wilson reported on the following:

1. **NKU Regent By-Laws**: The review of the By-Laws will bring the language in the document up-to-date. The committee for this process will be chaired by Regent Downing and include Regents Brown and Wilson in coordination with Vice President Sidebottom.
2. **Capital Plan**: The capital plan is a precursor of the bi-annual budget and will include what the University plans to accomplish in the years 2002-2008. Many of the projects will not receive state funding but are available for private funding. Some of the key projects discussed were: restoration and renovation of the old Science Building; Landrum structural repairs and renovation; the new Student Union; the power distribution infrastructure replacement; a special regional events center; and a welcome center.
3. **College of Education:** The top five reasons for establishing the college include: giving the concept of a College of Education much higher visibility within the University and the community; strengthening our ties with other schools in the region; better recruitment of faculty members and administrative leadership on a national basis; equal status and voice for our deans on boards and committees at other universities including Colleges of Education; and national recognition for the University's strong educational program.

Enrollment Management: Associate Vice President for Enrollment Management Greg Stewart gave a report on plans for the upcoming academic year indicating that at this time enrollment was at approximately 300 students for summer classes and approximately 400 students enrolled for fall.

Technology Update: Currently, there are 35 smart classrooms. This type of technology and teleconferencing is a cost effective way to bring speakers and world-wide talent to our students.

B. **Presidential Reports:**

1. **Quarterly Financial Report:** Vice President Baker reported that the University is well within budget. Particular recognition for this effort was given to Associate Vice President Hunter and staff, and Comptroller Russ Kerdolff and staff.

   The President indicated that Vice President Hunter and staff have implemented this spring as part of the budget cycle an expansion budget where units are receiving new resources. This process links budget to measurable outcome.

2. **Facilities Management:** Vice President Baker indicated that all projects were on schedule and within budget at this time. The Bookstore renovation is expected to be complete by this July.

C. **Presidential Recommendations:**

Consent Agenda Items: A motion was made by Regent Downing and seconded by Regent Pettit to approve the following Presidential Recommendations as listed below (1-8). **Motion carried.**

1. **Non-Academic Personnel Actions:** President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions: Activations; Transfers; Promotions; Reassignments/Reclassifications/Re-Engineering/Title Changes; Temporary/Contract to Regular Status; and Terminations.

2. **Administrative Personnel Action:** J. Todd Duncan, Director of Residence Life, effective April 9, 2001.

3. **Academic Affairs Personnel Actions:**

Faculty Appointments (effective August 13, 2001): **Thomas Bordelon**, Assistant Professor in the Social Work and Human Services Department; **Barbara Conklin**, Assistant Professor in the Social Work and Human Services Department; **Jonathan Cullick**, Assistant Professor in the Literature and Language Department; **Rita Jane Czaja**, Assistant Professor in the Accountancy Department; **Richard Fox**, Assistant Professor in the Mathematics and Computer Science Department; **James Hauser**, Assistant Professor in the Mathematics and Computer Science Department; **Hee-Jeong Lim**, Assistant Professor in the Mathematics and Computer Science Department; **Gary Newell**, Assistant Professor in the Mathematics and Computer Science Department; **Scott Nutter**, Assistant Professor in the Physics and Geology Department; **Tracey Sigler**, Assistant Professor in the Management and Marketing Department; **Phillip A. Lewis**, Assistant Professor in the Accountancy Department; **Richard Gilson**, Assistant Professor in the Management and Marketing Department; and **Bertie Greer**, Assistant Professor in the Management and Marketing Department.

Resignations: George Aldhizer, Associate Professor in the Accountancy Department, effective May 12, 2001; **Patricia Bradley**, Assistant Professor in the Literature and Language Department, effective May 12, 2001; **David Dunevant**, from Associate Professor and Chair of the Department of Music, to Associate Professor in the Department of Music, effective June 30, 2001; **Jason Larson**, Acting Curator of Special Collections in the W. Frank Steely Library, effective May 16, 2001; **Marion (Buff) Lawson**, Associate Professor in the Management and Marketing Department, effective May 12, 2001; **Robert Naczi**, Associate Professor in the Biological Sciences Department, effective May 12, 2001; **Robert Vitz**, from Professor and Chair of the Department of History and Geography, to Professor of History and Geography, effective July 31, 2001; **Emily Werrell**, Associate Professor and Coordinator of Instruction and Outreach in the W. Frank Steely Library, effective May 7, 2001; and **Jim Wilmer**, Lecturer in the Management and Marketing Department, effective May 12, 2001.

Leaves, Paid/Unpaid: David Dunevant, Associate Professor in the Music Department, paid re-entry leave with benefits for the fall 2001 semester, effective June 30, 2001; **Raymond Enzweiler**, Professor in the Physics and Geology Department, unpaid leave with benefits, effective May 12, 2001; **Laura Sullivan**, Associate Professor of Library Services, unpaid leave without benefits, effective July 1, 2001, and ending June 30, 2002; and **Robert Vitz**, Professor in the History and Geography Department, paid re-entry leave with benefits for the fall 2001 semester, effective June 30, 2001

4. **Recommendations on continued probation and continued probation with conditions to be removed: (for the 2001-02 academic year under the conditions of the *Faculty Policies and Procedures Handbook*:)**

Continued probation: Richard Durtsche, Thomas Sproat, Eric Jackson, Patricia Bradley, Andrew Miller, Andrew Long, Phyllis Vincent, Melissa Moon, Mark Bardgett, Robin Myers Bartlett, Nancy Slonneger Hancock, Robert Mahaney, Aron Levin, Charles Lowe, Ernest Barnett, Maggie McGatha, Rajeev Swami, Rosa Weaver, Beth Hickey, Ann Keller, Emily M. S. Houh, Kenneth Katkin, and Eric Young.

Continued probation with conditions to be removed: Pravin V. Sevak and Denis O'Connell.

5. **Emeritus Status**: **Marjorie E. Artzer**, Associate Professor in the School of Education; **Judith Bechtel**, Professor in the Literature and Language Department; **Roger Blanchard**, Associate Professor in the Chemistry Department; **Jerry H. Carpenter**, Professor in the Biological Sciences Department; **Kenneth Carter**, Professor in the School of Education; **Thomas E. Comte**, Professor in the Management and Marketing Department; **William M. Lindsay**, Professor in the Management and Marketing Department; **Rosetta J. Mauldin**, Professor in the Allied Health, Human Services and Social Work Department; **James E. McKenney**, Professor in the Mathematics and Computer Science Department; and **Frances T. Mosser**, Associate Professor in the Nursing Department.

6. **Reporting Change of Research, Grants, and Contracts:** Effective immediately, Dr. Cliff Shisler, Director of Research, Grants, and Contracts will be changed from reporting to the Office of the Vice Provost to reporting to the Office of the Associate Provost for Graduate Studies and Outreach. This change provides a more effective arrangement of responsibilities in the areas of research, grants, and contracts.

7. **Restructure of two departments in the College of Professional Studies and Education:** This recommendation entails moving the allied health programs from the Department of Allied Health, Human Services and Social Work and joining them with the nursing programs, creating two new units, the Department of Nursing and Health Professions and the Department of Social Work and Human Services. Dr. Margaret Anderson, currently the chair of the Department of Nursing, would become chair of the newly configured nursing and health professions department.

8. **Authorization be given to the Vice President for Administration and Finance/Treasurer to write off bad debts:** This action is required to write off bad debts from the University's financial records as operating expenses. This is accomplished once a year before the University's books are closed. The debts, totaling $67,881.30, include the following categories: Tuition Installment Plan; Tuition and Fees; Emergency Student Loans; Housing and Board; Parking Citations; Miscellaneous; Non-Sufficient Funds Assessment; Bookstore Charges; and Financial Aid Repayments.

9. **NKU Establish a College of Education:** Provost Redding emphasized that this represents a major step forward in the University's commitment to teacher education. A College of Education will give our work in preparing future teachers a higher visibility within our university and in the perception of the public we serve.

   A motion was made by Regent Wilson and seconded by Regent Prince Brown to approve the recommendation to establish a College of Education through reorganization of the School of Education currently housed within the College of Professional Studies and Education. **Motion carried.**

10. **Adopt the 2002-2008 (Revised) Capital Plan:** Vice President Baker had nothing further to add to the recommendation as presented. A motion was made by Regent Pogue and seconded by Regent Griffin to accept the recommendation to adopt the revised 2002-2008 Capital Plan. **Motion carried.**

D. **Executive Session**: At 1:40 p.m. a motion was made by Regent Pogue and seconded by Regent Wilson to call an executive session to discuss legal issues. **Motion carried.**

The Board of Regents reconvened at 3:25 p.m. No action was taken.

Chair Zapp appointed Regent Poston to chair a nominating committee including Regents Pogue and Chuck Brown to select the Board's Executive Officers for the coming year. There will be a report on the nominations at the upcoming July 11, 2001, Board meeting.

With no further business, at 3:40 p.m., a motion was made by Regent Prince Brown and Regent Poston to adjourn. Motion carried.

Lila F. Losey
Administrative Assistant
Office of the President

Robin. J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on May 9, 2001, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary/Treasurer

6:00 pm	July 10, 2001 - Regent Dinner at President's Home (Lakeside Park,KY)
8:45 a.m.	July 11, 2001 - Meet in President's Office (Administrative Ctr. 800)
9:00-11:30 am	July 11,2001- Joint Meeting of Fin.& Pol. Committees (Gather in Nunn Hall 2nd floor lobby before meeting in Nunn Hall 417/419)
11:30am-1:00 p.m.	July 11, 2001- Reception:Outgoing Regent Jim Poston (Univ. Ctr. 2nd Floor Lobby)
1:00 pm	July 11, 2001- Board of Regents Meeting (University Center Ballroom)

(Regents--Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events.)

AGENDA

Northern Kentucky University
Wednesday, July 11, 2001 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Oath of Office - Regents A. William Erpenbeck and Kathryn Herschede
Roll Call and Welcome
Approval of Minutes, May 9, 2001, Regular Meeting

Presidential Comments

A. Joint Finance and Policy Committee:
Presentation by Law School students
1. NKU Regent By-Laws (Downing)
2. Policy Development Report (Sidebottom)
3. Strategic Enrollment Management (Shanley/Stewart)

B. Presidential Reports:
1. Facilities Management (Baker)

C. Presidential Recommendations:
*1. Non-Academic Personnel Actions
*2. Authorize the President and the Vice President for Administration and Finance to negotiate a land-lease with the NKU Foundation
*3. Authorize the University to enter into a lease agreement for rental of houses
*4. Academic Affairs Personnel Actions
*5. Temporary Faculty Appointments for 2001-02
*6. Initiation of a new program in the College of Business
*7. Approval of Student Honor Code for the Chase College of Law
*8. Reorganization of the College of Business
9. Approval of revision of "Part II Governance of Northern Kentucky University"
10. Approve a target goal of $40 million for the Comprehensive Fundraising Campaign

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, July 10, 2001).**

D. Regent Recommendation:
1. Approve changes to the NKU Regent Bylaws
2. Executive Committee for 2001-2002

E. Executive Session (Regent Zapp)

F. Other
1. **Regular Board of Regents Meeting Schedule for 2001/2002:**
   - September 26
   - November 14
   - January 16
   - March 6
   - May 8
   - July 10
   - September 25
   - November 13
2. **Council on Postsecondary Education Meeting Proposed 2001 Meeting Dates:**
   - July 30
   - September 16 & 17(annual trusteeship conference)
   - November 5
3. **Upcoming Activities:**
   - August 16 - NKU Faculty/Staff Family Picnic (5:30 p.m., Univ. Ctr. Plaza)
   - August 17 - Fall Convocation, Greaves Concert Hall, 9:30 a.m.
   - August 29 - Cinci. Symphony Orchestra, (8:00 p.m., NKU Soccer Field)
   - September 12 & 13 - Board of Regents Retreat (Metropolitan Club, Covington)
   - September 18 - Alumni Lecture Series

Board of Regents Meeting
University Center Ballroom
July 11, 2001

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:05 p.m. on Wednesday, July 11, 2001, by Chairperson Robert Zapp.

Invocation was read by Regent Pettit.

The Oath of Office to incoming Regents A. William Erpenbeck and Kathryn Herschede was administered by Chairperson Zapp.

Roll Call: Chuck Brown, Frank Downing, Joyce Griffin, William Erpenbeck, Kathryn Herschede, Betty Pogue, Charles Pettit, Brenda Wilson, and Robert Zapp (excused: Prince Brown, Jr. and Kathryn Hendrickson).

Others Present: James C. Votruba, Robin Crigler, Sonda Cummins, Angie Gabbard, Mike Baker, Bill Oliver, Gail Wells, Sara Sidebottom, Cheryl L. Nunez, Jeff Smith, Gary W. Graff, Mary Lepper, Glen Erck, Gary Pratt, Joseph Wind, Gerald Hunter, Lois Schultz, Tony Atwater, Don Gorbandt, Gerry St. Amand, Michael Carrell, Mark Shanley, Deborah Read, Rogers Redding, Gina Holt- *Recorder Newspapers*, Mary Paula Schuh, Chris Cole, Jane Schwierjohann, Mary Ryan, Jane Meier, Jim Alford, Rick Meyers, Joe Ruh, and Lilla Losey.

A motion was made by Regent Pettit and seconded by Regent Chuck Brown to approve the minutes of the May 9, 2001, regular meeting of the Board of Regents. **Motion carried.**

Presidential Comments:

- Thanks were extended to the Board for granting the President administrative leave during the month of June.
- The enrollment increase includes all categories of students, including graduate and undergraduate, international, and minorities. For their collective work on this process, thanks were given to the University administration, faculty, chairs, deans, and, particularly to Associate Vice President Greg Stewart, Enrollment Management.
- Physical Plant and Vice President Baker were recognized for their efforts in renovating classrooms and landscaping enhancement.
- Dean of Professional Studies and Education Tony Atwater is leaving the University effective this July 31, to become Provost at Youngstown State University. He was recognized for his service over the last two years and was wished the best in his new position. Dean Atwater extended his thanks to the Board of Regents and the University administration, faculty, staff, and students for their support during his term.

A. **Joint Finance and Policy Committee:** Regent Wilson reported on the following:

The Regents appreciated the opportunity to meet at the Law School where presentations were given by Chase students on the impact that the school was making on the community and the nation as a whole.

1. **NKU Regent Bylaws:** Because of the numerous changes to the Kentucky Statutes over the years, changes were necessary to bring the Bylaws up to date and in line with the NKU Governing Regulations.

2. **Policy Development Report:** Vice President Sidebottom and her staff are in the process of reviewing the NKU Governing and Administrative Regulations. The Regulations as well as the Regent Bylaws have become outdated because of the numerous changes to the Kentucky Statutes. All changes to the Regulations will undergo a thorough review process by a committee comprised of Regents Frank Downing-Chair, Prince Brown, and Brenda Wilson. All changes will be brought before the Board at subsequent meetings.

3. **Strategic Enrollment Management:** There was a comprehensive and detailed review of the University's enrollment plan for the University. The University will face increased competition from other institutions (local and throughout the country); however, the demands on the University will increase as much or more than the competition. Enrollment plans were outlined in detail.

B. Presidential Reports:

Facilities Management: Vice President Baker indicated that the all projects were on schedule and within budget at this time. This has been a very active summer, with approximately 60 active projects. Fifteen were highlighted in the Board material. Schematic designs of the special events center were on display earlier at the Regents reception.

Physical Plant is working on campus beautification both interior and exterior.

President Votruba asked about the number of classrooms currently being renovated. Vice President Baker reported that approximately 78 are completed. The rest are planned for completion before the fall 2002 semester begins. Also, there will be 35 "smart classrooms" available at that time.

C. Presidential Recommendations: Regent Downing asked about (C.7), Revisions to the Law School's "Student Honor Code, " indicating more information was needed on specific changes to the Code. A followup will be made to the Board on changes to the Honor Code.

Consent Agenda Items: A motion was made by Regent Downing and seconded by Regent Chuck Brown to approve the following Presidential Recommendations as listed below (1-8). **Motion carried.**

1. **Non-Academic Personnel Actions:** President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions: Activations; Transfers; Promotions; Reassignments/Reclassifications/Re-Engineering/Title Changes; Temporary/Contract to Regular Status; Departures; and Retirees.

2. **Authorize the President and the Vice President for Administration and Finance to negotiate a land-lease with the NKU Foundation:** This action allows for the development of property at the U.S. 27 entrance to campus.

3. **Authorize the University to enter into a lease agreement for rental of houses:** Houses 219 and 520 Johns Hill Road and 15 Clearview were recently acquired. Acquisition of 7 Clearview should be completed before the end of the year. Over the longer term, these houses would possibly be used for office space.

4. **Academic Affairs Personnel Actions:**

Administrative Appointments: Barry Andersen, Interim Associate Dean in the College of Arts and Sciences, effective July 1, 2001 through June 30, 2002; **Carole A. Beere,** Associate Provost for Graduate Studies and Outreach and Professor with tenure in the Psychology Department, effective August 15, 2001; and **Linda Olasov,** Interim Dean of the College of Education, effective July 1, 2001 through June 30, 2002.

Faculty Appointments: (effective August 13, 2001): Charles A. Acosta, Assistant Professor in the Biological Sciences Department; **Ishbel (Mei Mei) Lyle Burr,** Lecturer (non-tenure track renewable) in the Psychology Department; **Mary Carmen Cupito,** Assistant Professor in the Department of

Communication; **Sharmanthie Fernando**, Assistant Professor of Physics in the Physics and Geology Department; **Richard Keith Fox**, Associate Professor of Computer Science in the Mathematics and Computer Science Department; **Gail S. Mackin**, Assistant Professor of Mathematics in the Mathematics and Computer Science Department; **Debra A. Meyers**, Assistant Professor of History in the History and Geography Department; **Kevin James Muente**, Assistant Professor in the Art Department; **Gary Newell**, Associate Professor of Computer Science in the Mathematics and Computer Science Department; **Scott Nutter**, Associate Professor of Physics in the Physics and Geology Department; **David R. Schenck**, Assistant Professor of Mathematics in the Mathematics and Computer Science Department; and **Willis S. Vincent**, Lecturer (non-tenure track renewable) in the Technology Department.

Resignations: Tony Atwater, Dean of the College of Professional Studies, effective July 31, 2001; **Elizabeth Lorenzi**, Associate Professor in the Nursing Department, effective May 11, 2001; and **Dean B. Kiefer, Jr.**, Assistant Professor of Finance in the Economics, Finance, and Information Systems Department, effective July 31, 2001.

Leaves, Paid/Unpaid: Nancy Campbell, Associate Professor of Library Services and Assistant to the Associate Provost, half-time, unpaid leave with benefits, effective June 25, 2001 and ending August 6, 2001; **Gisele Loriot-Raymer**, Associate Professor in the Literature and Language Department, half-time, unpaid leave with benefits, effective August 13, 2001 and ending May 11, 2002; and **Michael Washington**, Professor in the History and Geography Department, unpaid leave with benefits, effective August 13, 2001 and ending December 30, 2001.

5. **Temporary Faculty Appointments for 2001-2002:**

College of Professional Studies and Education: Nursing, Robert Brautigan (Academic Year).
W. Frank Steely Library: Steely Library, Elinor Rambo (Fiscal Year).
Learning Assistance Center: Learning Assistance Center, Karen Jenkins Smith (Fiscal Year).

6. **Initiation of a new program in the College of Business:** The Marketing Research Partnership Program (MRP^2) was created at the request of several Cincinnati region marketing research firms. The request was to develop a new marketing research undergraduate curriculum within the marketing major, which would prepare students for careers in this field. The program will be completely self-funded through a paid membership program by dues paid by member firms and other revenues.

7. **Approval of Student Honor Code for the Salmon P. Chase College of Law, Northern Kentucky University:** This Honor Code is a commitment by students of the Law School to adhere to a high degree of ethical integrity in academic conduct. It is a commitment individually and collectively that the students of the Law School will not engage in the academic misconduct described in the Honor Code and that they will participate in enforcing the Honor Code. The purpose of the Honor Code is to establish standards of academic conduct for students at the College of Law and to provide procedures that offer assurances of fundamental fairness to any person accused of violations of the Honor Code.

   Regent Downing asked about the last sentence in the recommendation, "This Honor Code is administered separately from all such other provisions." What are the other provisions and what will be utilized if the student has violated the Code. Dean St. Amand indicated that this referred to non-honor type of mis-conduct wherein there are separate procedures.

8. **Reorganization of the College of Business:** This move will split the Economics, Finance, and Information Systems Department (EFIS) into three departments: The Department of Information Systems (IS), the

Department of Economics, and the Department of Finance. The reasons for the change are: 1) enrollments in the department have grown considerably in recent years; 2) the three disciplines could better focus on their undergraduate program and the new MSIS program; 3) the programs have increased in complexity and diversity of mission; 4) students could be better served by discipline-based departments; 5) it would be easier to recruit and develop faculty; 6) higher visibility of all three programs which would benefit students, faculty, and the college.

9. **Approval of revision of "Part II Governance of Northern Kentucky University:"** The Office of the Vice President of Legal Affairs and General Counsel is performing a legal audit of the University to determine whether it is in compliance with federal and state law on various issues. The audit is complete with respect to Part II, which concerns the membership, powers, and duties of the Board of Regents. These changes will bring the University into compliance with state statutes previously approved by the Board which have been revised since last approved by the Board. With no further discussion a motion was made by Regent Griffin and seconded by Regent Wilson to approve. **Motion carried.**

10. **Approve a target goal of $40 million for the Comprehensive Fundraising Campaign:** A recommendation was made by Regent Chuck Brown and seconded by Regent Erpenbeck to approve a target goal of $40 million for the campaign, and approve the campaign priorities as identified by the academic deans, faculty, and administration team of the University. The campaign priorities will include endowment, capital projects and programs. A review of the Campaign is expected on a regular basis. **Motion passed.**

Regent Chuck Brown asked about the investment of the University proceeds. The President explained that the proceeds are managed by the University Foundation.

D. 1. **Approve the changes to the NKU Regent Bylaws:** The Bylaws were reviewed by a committee of three Board members, Chair- Regent Downing, Regent Prince Brown, and Regent Wilson. These changes made the document consistent with the Kentucky Revised Statues as it relates to university Board of Regents or Trustees and any changes that reflect the recommendation of the Bylaws Committee. Included in the changes was the composition of the Board of Regents Executive Committee (Article III.D.1.) to include, "the immediate past Chair if still Board member." A motion was made by Regent Downing and seconded by Regent Pettit to approve the changes to the NKU Regent Bylaws. **Motion carried.**

2. **Executive Committee for 2001-2002:** Because Chair Zapp had asked former Regent Poston at the last Board meeting to chair the appointment committee for the Board Executive Committee for 2001-2002, Regent Downing was appointed in his stead. A motion was made by Regent Downing and seconded by Regent Pogue to appoint: Chairman, Robert W. Zapp; Vice Chair Brenda L. Wilson; and Secretary/Treasurer Joyce E. Griffin. Frank Downing will serve on the committee as immediate past Chair. **Motion carried.**

E. **Executive Session:** At 1:43 p.m. a motion was made by Regent Pogue and seconded by Regent Griffin to call an executive session to discuss legal and personnel issues. **Motion carried.**

At 2:25 p.m. a motion was made by Regent Pogue and seconded by Regent Chuck Brown for the meeting to reconvene. **Motion carried.**

1. A motion was made by Regent Pogue and seconded by Regent Griffin for the University to send a letter of support to the Campbell County Fiscal Court and the City of Highland Heights for the funding for design and reconstruction of Johns Hill Road from Knollwood to the I-275 overpass. **Motion carried.**

2. A motion was made by Regent Downing and seconded by Regent Chuck Brown to authorize the Chairman and the President to sign the President's four-year University contract, beginning July 1, 2001, and terminating June 30, 2005. **Motion carried.**

With no further business, at 2:30 p.m., a motion was made by Regent Herschede and seconded by Regent Erpenbeck to adjourn. **Motion carried.**

Lilla F. Losey
Administrative Assistant
Office of the President

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on July 11, 2001, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary/Treasurer

9:30-11:30am September 26, 2001- Joint Meeting of Fin.& Pol. Committees (Administrative Center 722)
11:30am-12:45 pm September 26, 2001- Regents Reception for Student Leaders (University Ctr. TV Lounge)
1:00 pm September 26, 2001- Board of Regents Meeting (University Center Ballroom)
(Regents--Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

(Revised) AGENDA
Northern Kentucky University
Wednesday, September 26, 2001 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, July 11, 2001, Regular Meeting
Approval of Minutes, September 12, 2001, Board of Regents Retreat

Presidential Comments

A. Joint Finance and Policy Committee:
1. Governing Regulations Report (Sidebottom)
2. Annual Financial Report (Baker/Kerdolff)
3. Capital Campaign Update (Read)
4. Tuition (Stewart/Hunter)

B. Presidential Reports:
1. Facilities Management (Baker)
2. Annual Financial Report, 2000-2001 (Baker)

C. Presidential Recommendations:
*1. Non-Academic Personnel Actions
*2. Authorize the administration to renew the University fire service Memorandum of Agreement with the Central Campbell County Fire District
*3. Academic Affairs Personnel Actions
*4. Temporary Faculty Appointments for 2001-02
*5. Departmental name changes in the College of Education
*6. Emeritus status approval
*7. Authorize participation in the Northern Ky. Cooperative for Educational Services
8. Revision to Administrative Regulations of Northern Kentucky University
9. Tuition Strategy for academic years 2002-03 and 2003-04

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, September 25,2001).**

D. Executive Session: (Chair Zapp)

E. Other: (Calendar events) - Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule for 2001/02:**
   - November 14 — July 10
   - January 16 — September 25
   - March 6 — November 13
   - May 8
2. **Council on Postsecondary Education Meeting Schedule for 2001/02:**
   - November 5 — July 28-29
   - February 3-4 — September 22-23
   - March 17-18 — November 3-4
   - May 19-20
3. **Upcoming Activities:**
   - September 26, 2:00 p.m. - Otto M. Budig Theatre dedication
   - October 5 - Golf Outing at A.J. Jolly Park
   - October 25 - Staff Awards Luncheon (Regents Hall)
   - November 15, 6:30- NKU Foundation Dinner (Summit Hills Country Club)
   - December 12, 6:00 pm President's Holiday Event (Play-"Beehive") at Playhouse in the Park
   - December 15 - Fall Commencement/Graduation Exercises (Firstar Center, Cincinnati)

Board of Regents Meeting
University Center Ballroom
September 26, 2001

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:00 p.m. on Wednesday, September 26, 2001, by Chairperson Robert Zapp.

Invocation was read by Regent Downing.

Roll Call: Chuck Brown, Prince Brown, Jr., Frank Downing, Joyce Griffin, William Erpenbeck, Kathryn Herschede, Betty Pogue, Charles Pettit, Brenda Wilson, and Robert Zapp (Excused: Kathryn Hendrickson).

Others Present: James C. Votruba, Robin Crigler, Sonda Cummins, Angie Gabbard, W. Michael Baker, Christy Gillespie, Rachel Murphy, Ashleigh Pickett, Michele Lyons, Christine Miller, Heather Burns, Mandy Mundrick, Christy Porter, Amanda Davidson, Cheryl Thomas, Kelle Bedel, Dave Caddell, Bryce Rhoades, Michelle Muse, Nikkole Mairose, Julie Poston, Sherri Nunlist, Sara Sidebottom, Rogers Redding, Mark Shanley, Cheryl L. Nunez, Deborah Read, Don Gorbandt, Barbara Stonewater, Carole Beere, Bob Wiggins, Gerald Hunter, Jeff Smith, Mary Lepper, Gary W. Graff, James L. Alford, Jeff Martin, Joseph Mills, Katie Walshe, Mary Paula Schuh, Debbie Poweleit, Melissa Gorbandt, Russ Kerdolff, Ken Ramey, Henry Keene, Gina Holt, Arne Almquist, Gail Wells, Gary L. Pratt, Mary Ryan, Chris Cole, Rick Meyers, Joe Ruh, and Lilla Losey.

A motion was made by Regent Chuck Brown and seconded by Regent Pettit to approve the minutes of the July 11, 2001, regular meeting of the Board of Regents. **Motion carried.**

A motion was made by Regent Pogue and seconded by Regent Herschede to approve the minutes of the September 12, 2001, Board of Regents Retreat held at the Metropolitan Club in Covington, Kentucky. **Motion carried.**

Presidential Comments:

- Professor Willie Elliott's class, Social Work and Human Services, attended the meeting as part of a classwork assignment to better understand the function of the Board of Regents. They were welcomed back to attend future meetings.
- This fall NKU welcomed its largest, and most diverse and well prepared student body in its history. Special thanks were given to all those who worked so hard to make this possible including Greg Stewart, Mark Shanley, Rogers Redding, and their staff.
- Four major priority areas for the University were established for this year at the Board of Regents Retreat:
  1) Continued implementation of our comprehensive enrollment management plan. A cornerstone of that plan would be to exceed the CPE targets for growth, retention, and graduation which the University has accomplished for this year.
  2) Raise $10M in private support as we pursue the $40M comprehensive campaign goal. We are just under $16M toward that goal at this time.
  3) Finalize the academic strategic plan and complete the first phase of implementation. Provost Redding will be bringing that plan to the Board for their consideration at the upcoming November meeting.
  4) Position the University in what will be a challenging 2002 legislative session. It is believed that the University is growing rapidly and is a contributing force for the economy and quality of life for the region.
- Regent Pogue was recognized for her efforts pertaining to the August 29 reception and dedication of the University's newest sculpture, "Oseberg - the Dragon of Northern Lights."
- The events of September 11, 2001, involving the bombing of the World Trade Center towers in New York City, the Pentagon in Washington D.C., and the highjacked and downed airliner in Pennsylvania, were mentioned as horrific in both scale and brutality. At the University's ceremony of remembrance on September 14 there were approximately 3,000 faculty, staff, and students gathered on the plaza before the U.S. flag. This was presumed to be one of the largest gatherings of its kind in the University's history. Many forums, meetings, and sessions followed the event for discussion on terrorism. University donations for the disaster relief efforts included $4,000 raised by the Student Government Association.

- Prior to the morning's Finance and Policy Committee meeting, there was a news conference held at the Northern Kentucky Technical College, Edgewood Campus. Governor Patton participated in the announcement which involved the development of a comprehensive technical and community college in the Northern Kentucky District. Many of NKU's Regents and University administration were in attendance.
- Welcome and introductions were made to the following individuals in their new positions:
  - Barbara Stonewater, in her new position as Executive Director of the Northern Kentucky Council of Partners in Education. This initiative was recognized for its efforts in creating a seamless approach to education at the P-12 level.
  - Carole Beere, Associate Provost for Graduate Studies and Outreach
  - Arne Almquist, Associate Provost for Library Services
  - Robert Wiggins, American Council on Education Fellow, housed on our campus as part of a study.

A. **Joint Finance and Policy Committee:** Regent Wilson reported on the following:

1. **Governing Regulations Report:** Vice President Sidebottom reported on the progress of the ongoing review and change process in updating the NKU Governing and Administrative Regulations. All recommended changes were approved by the review committee comprised of Regents Downing (Chair), Prince Brown, and Wilson.
2. **Annual Financial Report:** A comprehensive presentation was coordinated by Vice President Baker and University Comptroller Kerdolff on the University's financial position as well as the audit performed by Deloitte & Touche, LLP. The University was presented with a "clean opinion" as stated in the opinion letter contained in the report. There will be a Management Report from the auditors presented at the upcoming November Board meeting on audits underway at this time. Revenues have grown significantly over the past five years. The final analysis was that the University's year end financial position was strong.
3. **Capital Campaign Update:** Vice President Read gave a presentation on the University's progress. Ashland Inc. recently made a significant contribution of $1M. Overall, with the Ashland contribution, the Campaign is up to $16.5M. The Campaign goals could however be negatively affected as a result of the current world events.
4. **Tuition Strategy:** NKU is increasing its tuition strategy to include an increase in tuition of 5.5% contingent upon receiving benchmark funding at 55%. If NKU doesn't receive benchmark funding, there is a possibility of increasing tuition approximately 9.5%.

B. **Presidential Reports:**

1. **Facilities Management:** Vice President Baker indicated that all projects were within budget at this time. Areas highlighted: the Outdoor Recreation Complex contract has been awarded and work is scheduled to begin within the week; the Lot J Reconstruction first phase has been completed; Renovation of the Old Science Building, is currently in the design phase, and will be off-line after January 2003. University Architect Henry Keene was introduced to the Board and given special recognition for his efforts on many of the ongoing and completed campus projects.

2. **Annual Financial Report, 2000-2001:** Vice President Baker indicated that the University's financial position was strong and had nothing further to add to Regent Wilson's comments on the Report as presented to the Board at the earlier Policy and Finance Committee meeting. University Comptroller Russ Kerdolff and University Printing Services were recognized for their outstanding efforts on the Report.

   Due to the recent catastrophic events and the resulting depressed economy, the President added a postscript on the Report. He reminded the Board that 2% of the University's operating budget was held in reserve for a

possible state budget reduction for this year. However, the Governor, supported by Budget Director Ramsey, indicated that it was unlikely that the Universities would receive budget rescissions for this year but quickly noted that it could happen the following year. The President added that, if the 2% reserve funds were allocated for this year, it would be used primarily for non-recurring expenditures and would regenerate to the 2% level as we go into the next session.

C. **Presidential Recommendations:**

Consent Agenda Items: A motion was made by Regent Pogue and seconded by Regent Griffin to approve the following Presidential Recommendations as listed below (1-7). **Motion carried.**

1. **Non-Academic Personnel Actions:** President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions which occurred between June 26, 2001, and September 11, 2001: Activations; Transfers; Promotions; Reassignments/Reclassifications/Re-Engineering/Title Changes; Temporary/Contract to Regular Status; Departures; and Retirees.

2. **Authorize the Administration to renew the University Fire Service Memorandum of Agreement with the Central Campbell County Fire District:** This is an annual contract which enables the University to receive fire service, assistance with construction issues, and advice and participation in fire education initiatives.

3. **Academic Affairs Personnel Actions:**
Administrative Appointments: James T. Decker, Chair and Associate with Tenure in the Department of Social Work and Human Services, effective August 1, 2001; **Mary Kirk**, from Professor in the College of Education to Interim Chair and Professor in the Department of Educational Specialities, College of Education, effective July 1, 2001 through June 30, 2002; **Nancy Lang**, Chair and Associate Professor in the Department of Economics, effective August 1, 2001 through June 30, 2002; **J. Patrick Moynahan**, from Chair and Associate Professor in the Department of Communication to Interim Dean and Associate Professor, College of Professional Studies, effective August 6, 2001 through June 30, 2002; **Margaret Myers**, Interim Chair of the Department of Information Systems, effective July 1, 2001 through June 30, 2002; **Sara Runge Pulte**, from lecturer in the College of Education to Interim Associate Dean and Lecturer in the College of Education, effective July 1, 2001 through June 30, 2002; **J. Gaut Ragsdale**, from Professor in the Department of Communication to Interim Chair and Professor in the Department of Communication, effective August 13, 2001 through June 30, 2002; **Carol Ryan**, from Assistant Professor in the College of Education to Interim Chair and Assistant Professor in the Department of Elementary, Middle, and Secondary Programs, College of Education, effective July 1, 2001 through June 30, 2002; **Shailendra Verma**, from Associate Professor in the Department of Finance to Chair and Associate Professor in the Department of Finance, effective August 1, 2001; and **J. Randall Wheaton**, Associate Professor and Chair in the Department of Music, effective July 1, 2001.

Faculty Appointments for 2001-2002 (effective July 1, 2001): Joyce Fortney, MAT Coordinator and Assistant Professor in the Department of Educational Specialities, College of Education; **Betty L. Stephens**, Outreach Coordinator and Lecturer (non-tenure track renewable) in the Center for Integrative Natural Science and Mathematics.

Faculty Appointments for 2001-2002 (effective August 13, 2001): Janalynn Anderson, Lecturer (non-tenure track renewable) in the Department of Developmental Mathematics; **Sean Foley**, Assistant Professor in the Department of Technology; **Jean D. Gade**, Lecturer (non-tenure track renewable) in the Department of Chemistry; **Mark A. Godsey**, Assistant Professor of Law, Salmon P. Chase College of Law; **Barbara**

Hamilton, Lecturer (non-tenure track renewable) in the Department of Developmental Mathematics; **Virginia D. Hedger**, Assistant Professor in the Department of Nursing and Health Professions; **Giles Hertz,** Lecturer in Entrepreneurship (non-tenure track renewable) in the Department of Management and Marketing; **Melissa Jones**, Assistant Professor in the Department of Elementary, Middle, and Secondary Programs, College of Education; **Cynthia W. Kelly**, Assistant Professor in the Department of Nursing and Health Professions; **Hamid Khan,** Associate Professor in the Department of Technology; **JoAnn K. Randolph,** Assistant Professor in the Department of Nursing and Health Professions; **Holly Riffe**, Associate Professor in the Department of Social Work and Human Services; **Carl Simkonis,** Lecturer (non-tenure track renewable) in the Department of Economics; **Jacqueline Smith,** Assistant Professor in the Department of Social Work and Human Services; **Pryze Smith,** Lecturer (non-tenure track renewable) in the Department of Technology; **Dempsy Smith**, Lecturer (non-tenure track renewable) in the Department of Developmental Mathematics; **Ann Taylor**, Lecturer (non-tenure track renewable) in the Department of Communication; **Sandra Turkelson,** Clinical Instructor/Lecturer (non-tenure track renewable) in the Department of Nursing and Health Professions; **Xiaoni Zhang**, Assistant Professor in the Department of Information Systems.

Resignations: Gayla Thompson, Assistant Professor in the School of Education, effective May 12, 2001; **Paul Schloemer**, Assistant Professor in the Department of Accountancy, effective July 2, 2001.

Leave, Paid/Unpaid: Robert Collier, Associate Professor in the Department of Literature and Language, two-thirds paid leave with benefits, beginning July 1, 2001, and ending June 30, 2002; **Yasue Kuwahara,** Associate Professor in the Department of Communication, unpaid leave with benefits, fall 2001 semester; **David Potter**, Associate Professor in the Department of Political Science, unpaid leave without benefits, beginning August 13, 2001 and ending May 11, 2002.

4. **Temporary Faculty Appointments for 2001-2002**:
College of Arts and Sciences:
Art: Matthew Albritton (Academic Year); Kelly J. Asbury (Academic Year); and Heidi Endres (Academic Year). **Biological Sciences**: Hildegard Baldridge (Academic Year); Amy Racke (Fiscal Year); and Robin Root (Fiscal Year). **Chemistry:** Gwen Fields (Academic Year).
History and Geography: Francois Le Roy (Academic Year); Bonnie May (Academic Year); and Lawrence Fred Mitchell (Academic Year). **Literature and Language:** Douglas Canfield (Academic Year); Lydia Coyle (Academic Year); Maureen Cronin (Fall Semester); Kristi Eiler (Fall Semester); Mary V. Gerstle (Academic Year); Paul Goodin (Academic Year); Amy Huseman (Academic Year); Darlene McElfresh (Academic Year); Robert O'Neill (Fall Semester); Virginia Paddock (Academic Year); Michele Peers (Fiscal Year); Pilar Valencia (Academic Year); Bryce Warren (Academic Year); Susan Washburn (Academic Year); Hannelore Wolfram (Academic Year); and Mari York (Fall Semester). **Mathematics and Computer Sciences (Academic Year)**: Shelley Cain, Michael Collins, Adama Diene, Marilyn Hoffman, Beth Noblitt, Robert Riehemann, John Taylor, Scott Thompson, and Thomas Warth. **Music (Academic Year):** James Patrick Bunte, Betty Douglas, Nina Key, Joseph Pokorski, and Charles Wells. **Physics and Geology (Academic Year):** Samuel Boateng, Wayne Bresser, and Athula Herat. **Political Science (Academic Year):** James Binney, Frank Edelen and Wallace Rigsbee, II. **Psychology (Academic Year):** Kim Case and Tiffany Steltenkamp. **Sociology, Anthropology, and Philosophy (Academic Year):** Nichole Grant, Robert Kenney, Peter Killoran, Tim Murphy and Michael Simonton. **Theatre (Academic Year):** Christine Jones, Melanie Mortimore, David Schmidt, and Jamey Strawn. **CINSAM (Academic Year):** Denice Robertson.
ERMC (Fiscal Year): Sean Dougherty.

College of Business:
Accountancy (Academic Year): Robert L. Salyer and Andrea Weickgenannt. **Information Systems (Academic Year):** Frank Braun and Jerome Gonnella. **Management and Marketing (Academic Year):** Joumana Abraham, Jobie Devinney-Walsh, Gordon Holbein and Edward M. Jackson.

College of Education:
Educational Specialties (Academic Year): David Afterkirk, Kim Code, Gary Eippert, Catherine Ingram, Jill Niemeyer, Wiley Piazza, David Shepherd, and Richard Simmons. **Elementary, Middle, and Secondary Programs (Academic Year):** Phyllis VonStrohe Carrara, Laura Hellmann, and Rachel Votruba.

College of Professional Studies:
Communication: (Academic Year) Robert Ashe, Karen Donges, Joe Hoffert, Robert Jones, Laura Sullivan, Barbara Thul, and Pamela Tyahur; and **(Fall Semester)** Rodney Daniels. **Social Work and Human Services: (Academic Year)** Seletha Fisher. **Technology (Academic Year):** Marilyn Barrett, Majed Dabdoub, and Robert Willoughby.

Vice Provost:
Developmental Mathematics (Academic Year): Gretchen Kauscher and Shashi Sathe. **First Year Programs (Academic Year):** Jerome Langguth. **Honors (Academic Year):** Ron Hoffman and Kelli Sittason.

5. **Departmental Name Changes in the College of Education:** The two departments within the College of Education are renamed as follows: 1) The Department of Curriculum and Instruction is renamed to the Department of Elementary, Middle, and Secondary Programs. The academic programs in this department include Elementary Education, Middle Grades Education, and Secondary Education. 2) The Department of Specialty Studies is renamed to the Department of Educational Specialties. The academic programs in this department include Health and Physical Education, Special Educations, Interdisciplinary Early Childhood Education, Diversity, Technology, and Foundations.

6. **Emeritus Status Approval: Roger Meade**, Associate Professor of Education and Director of the Real Estate Program.

7. **Authorize Participation in the Northern Kentucky Cooperative for Educational Services:** This is a separate administrative board created by Interlocal Cooperative Agreement between certain school districts in the Northern Kentucky area and Northern Kentucky University.

8. **Revision to Administrative Regulations of Northern Kentucky University:** Regent Downing reported that this was a "housekeeping" effort to bring the documents up-to-date (typographical errors/language/title changes, etc.) and in line with current legislative statutes. The review committee (Regents Downing (Chair), Prince Brown, and Wilson) concur with Vice President Sidebottom's recommended changes. A motion was made by Regent Downing and seconded by Regent Pettit to approve the following Administrative Regulations: AR-II-1.0-2; AR-II-1.0-3; AR-II-2.0-1; AR-II-2.0-2; AR-II-3.0-2; AR-II-3.0-3; AR-II-3.0-9; and AR-II-3.0-11. **Motion carried.**

9. **Tuition Strategy for academic Years 2002-03 and 2003-04:** President Votruba indicated that NKU was the most tuition dependent institution in the Commonwealth. If NKU is funded at less than the targeted funding objective of 5.5%, the tuition increase could be as much as 9.5%. The President would like to see

the University keep tuition as low as possible through reallocation, entrepreneurial efforts, private donor support, etc. Greater need based scholarship supports will also be implemented to offset tuition cost if tuition is increased. This is a recommendation based on what is known at this time. The University may have revised recommendations before next fall depending on state funding action as well as actions/revisions made by local competitor institutions. Regent Herschede indicated the Student Government Association was very concerned about the proposed increases and wanted to ensure that before increases occur, this issue would be reviewed again next spring to ensure that the University remains financially affordable.

A motion was made by Regent Erpenbeck and seconded by Regent Downing to authorize the administration to implement a two scenario tuition strategy for academic years 2002-03 and 2003-04 at the rates proposed on the "FY 2002-03 and 2003-04 NKU Tuition Schedules" based on the strategy provided in the recommendation as stated. **Motion carried.**

D. **Executive Session:** At 1:35 p.m. a motion was made by Regent Erpenbeck and seconded by Regent Downing to call an executive session to discuss personnel matters. **Motion carried.**

At 1:45 p.m. a motion was made by Regent Chuck Brown and seconded by Regent Downing for the meeting to reconvene. **Motion carried.**

During the Board of Regents Retreat this past September 12, 2001, the decision was made to conduct a review of the President, his office and the University as a whole every five years. Every fifth year a professional will be asked to come in for the review. A motion was made by Regent Downing and seconded by Regent Prince Brown that the University contract with Ed Penson, President, Penson-Strawbridge, to conduct a full evaluation of the University, including the President, his staff, and the University Governing bodies. As part of his activity, Dr. Penson will meet with various members of the Kentucky legislature, community leaders, and others to complete a full evaluation of the University. This review should begin in September 2002. **Motion carried.**

With no further business, at 1:50 p.m., a motion was made by Regent Herschede and seconded by Regent Pettit to adjourn. **Motion carried.**

Lilla L. Losey
Administrative Assistant
Office of the President

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on September 26, 2001, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary/Treasurer

4:15 p.m. (11/13)	Meet on 3rd floor lobby of Administrative Center to tour new Science Bldg.
6:00 p.m.	Dinner at President's Home (Lakeside Park, Kentucky)
8:30-9:30 a.m.(11/14)	Joint Meeting of Fin.& Pol. Committees (Administrative Center 722)
9:40-10:10 a.m.	Governor-Education Week in Northern Kentucky (University Center Ballroom)
10:30-11:30am	Resume Fin. & Pol. Committees (Administrative Center 722)
11:30-1:00 p.m.	Luncheon, including President's Executive Team(Pres. Dining Room, UC 205)
1:00 pm	Board of Regents Meeting (University Center Ballroom)

(Regents--Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

AGENDA

Northern Kentucky University
Wednesday, November 14, 2001 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, September 26, 2001, Regular Meeting

Presidential Comments

A. Joint Finance and Policy Committee:
1. Academic Strategic Plan (Redding)
2. A-133 Report (Deloitte & Touche Representative)
3. NKU Administrative Regulations (Regent Committee/Sidebottom)
4. Comprehensive Campaign (Read)
5. By-Laws Changes (Crigler)
6. Freshman Housing Policy (Shanley)

B. Presidential Reports:
1. External Auditors' Management Letter (Baker)
2. A-133 Audit of Federal Programs (Baker)
3. Facilities Management (Baker)
4. Quarterly Financial Report (Baker)
5. Creating our Future - Academic Strategic Plan (Redding)

C. Presidential Recommendations:
*1. Non-Academic Personnel Actions
*2. Purchase the Small Business Incubator facility from NKU Foundation
*3. Establish a non-profit, affiliated corporation for a new residence hall facility
*4. Authorize the University administration to enter an agreement to transfer approximately nine acres at the US 27 entrance to the NKU Foundation
*5. Academic Affairs Personnel Actions
*6. Temporary Faculty Appointments for 2001-02
*7. Sabbatical Leave for 2001-2002
*8. Emeritus status approval
*9. Changes to the *Chase Faculty Policies and Procedures Handbook*
*10. Changes to the Faculty Senate Constitution
11. Freshman Housing Policy
12. Revisions of the Administrative Regulations of Northern Kentucky University

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, November 13,2001).**

D. Regent Recommendation:
1. Approve Changes to the NKU Regent Bylaws

E. Other: (Calendar events) - Please call if you have questions about any of the following events.
1. **Regular Board of Regents Meeting Schedule for 2002:**
   - January 16 (Frankfort) July 10
   - March 6 September 25
   - May 8 November 13
2. **Council on Postsecondary Education Meeting Schedule for 2002:**
   - February 3-4 July 28-29
   - March 24-25 September 22-23
   - May 19-20 November 3-4
3. **Upcoming Activities:**
   - November 15, 6:30- NKU Foundation Dinner (Summit Hills Country Club)
   - November 27 - 7:00 p.m.,Alumni Lecture Series(Regents Hall)
   - November 29 - 5:00 p.m.,Chase Dedication (4th floor Nunn Hall)
   - December 12, 6:00 pm -President's Holiday Event (Play-"Beehive") at Playhouse in the Park
   - December 15 - Fall Commencement/Graduation Exercises (Firstar Center, Cincinnati)

Board of Regents Meeting
University Center Ballroom
November 14, 2001

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:00 p.m. on Wednesday, November 14, 2001, by Acting Chairperson Brenda Wilson.

Invocation was read by Regent Chuck Brown.

Roll Call: Chuck Brown, Prince Brown, Jr., Frank Downing, Joyce Griffin, William Erpenbeck, Kathryn Herschede, Betty Pogue, Charles Pettit, and Brenda Wilson (Excused: Kathryn Hendrickson and Robert Zapp).

Others Present: James C. Votruba, Robin Crigler, Sonda Cummins, Angie Gabbard, W. Michael Baker, Sara Sidebottom, Willie Elliott, Jamie Nussbaum, Melissa Maggard, Dana Hedger, Suzun Clark, Kimberly Dearth, Christina Kampschmidt, Bobbie Jo Wheeler, Cheryl Thomas, Kelly Blair, Jennifer Bradshaw, Ryan Maschmeyer, Federico Barriga, Erica Beeles, Mark Shanley, Rogers Redding, Deborah Read, Ben L. Kaufman-*Enquirer,* Gerald Hunter, Gerry St. Amand, Carole Beere, Mike Carrell, Cheryl Nuñez, Jeff Smith, Greg Stewart, Linda Olasov, Gary Graff, Patrick Moynahan, Robert Wiggins, Gary Pratt, Alicia Revely, Ken Ramey, Russ Kerdolff, Mary Paula Schuh, Don Gorbandt, Steve Meier, Linda Reynolds, Jane Schwierjohann, Kathy Stewart, James Alford, Chris Cole, Mary Ryan, Susan Bartels-*Northerner* newspaper and Lilla Losey.

A motion was made by Regent Griffin and seconded by Regent Pogue to approve the minutes of the September 26, 2001, regular meeting of the Board of Regents. **Motion carried.**

Acting Chair Wilson welcomed Professor Willie Elliott's class, Social Work 303, to the meeting. This was the second visit this fall by Professor Elliott's class.

Presidential Comments:

- On November 13, the Board of Regents was invited to tour the construction site of the New Science Building. The building was reported to be on schedule and within budget.
- Governor Patton was on campus earlier in the morning as part of "Celebration Education Week." Attendance included members of the P-12 community, a variety of students, faculty, and academic leaders.
- At the earlier Board committee meeting, Vice President Read reported on the progress of the University's Comprehensive Campaign. The University is nearly $17M toward reaching the goal of $40M.
- Also at the earlier meeting, Provost Redding reviewed the Academic Strategic Plan which is aligned with the University's strategic plan developed in 1997-98. The plan focuses on new and expanded program development and is in keeping with the University's mission of service and interaction with the larger metropolitan community.
- For the upcoming 2002 General Assembly, the CPE has recommended a very strong budget for NKU which includes a continuation of benchmark funding, renovation of Landrum, and the Old Science building. The Governor is protecting education as a vehicle of economic development and quality of life in the state.
- The Board of Regents will be meeting at the state Capitol in Frankfort on January 16 as a show of support for higher education in the state budget process and to advocate on behalf of the University with the focus on enhancement of quality while protecting access.

A. **Joint Finance and Policy Committee:**

Finance Committee: Regent Chuck Brown reported on the following:

1. **A-133 Report**: The representative from Deloitte & Touche indicated that the University's report was clean with no findings. Vice President Baker was commended for his work in this regard.

Policy Committee: Acting Chairperson Wilson reported on the following:

1. **Academic Strategic Plan:** Discussion indicated that the focus was on and centered around the University's core values. Some of the elements of the plan include:

   - providing high quality programming at the undergraduate and graduate level and in professional programs;
   - creating or strengthening programming in areas of identified community, regional, and national needs, including education, health care, and information technology. Graduate and professional programming will expand due to this area being under-served;
   - retention by strengthening the first year experience;
   - expanding the co-op program and other experiential learning activities;
   - supporting and expanding efforts at globalization and allowing opportunities for students to experience other environments and other cultures as well as recognizing and experiencing people from other cultures on campus. An effort will be made to internationalize the campus;
   - scheduling that meets the needs of students (weekend programs, compressed format, and other kinds of non-traditional scheduling);
   - as the Community College develops, reduce involvement in remedial education and establish admission standards for freshmen and transfer students;
   - support of service learning opportunities;
   - facilitating community access to University expertise in research, consulting, and training; and
   - community partnerships–investment in outreach projects to help communities address significant issues.

   Provost Redding and his committee were commended for their development and work on the plan which will guide the evolution and future of the University.

2. **Bylaws Changes:** The goal is to make the changes reflect the Board's working relationship with the President. The changes reinforce the principles that the Board functions with the delegation of authority and makes policies but does not have involvement with the daily activities of the University. This was the first reading of the recommended changes.

3. **Freshman Housing Policy:** The report reflected on the need for freshmen to be in a safe learning environment, with opportunity for good social interaction.

B. Presidential Reports:

1. **External Auditors' Management Letter:** Vice President Baker indicated that there were no material findings. This is the last year for the audit. The University's Internal Auditor Linda Reynolds and Comptroller Russ Kerdolff were thanked for their work on the report.

2. **A-133 Audit of Federal Programs:** There were no financial statement finds or material weaknesses in the internal accounting controls as noted.

3. **Facilities Management:** Vice President Baker's comments included the following projects:
   - Old Science Building Renovation--Omni Architects of Lexington, Kentucky was selected to design the renovations. Design work will begin soon. This is the University's number one capital construction priority.
   - Outdoor Recreation Complex is on schedule. The shelter bid was over budget and will be rebid.
   - Safety Lighting Project: Staggs and Fisher of Lexington will provide design services. Completion is expected before March 2002.

- Faculty Development Center design is complete. The facility will be located on the second floor of the Steely Library. Completion is expected by February 2002.
- Tree Project: 204 trees have been plated on campus in the last few months.

4. **Quarterly Financial Report:** Vice President Baker reported that the University is in a good financial position and will continue to grow.

5. **Creating our Future - Academic Strategic Plan:** Provost Redding commented that discussion will continue on the plan with various faculty groups for input and reaction. Thanks were expressed to the steering committee (faculty/staff/students) for the development and management of the process. Professor Vernon Hicks as Co-Chair was given special thanks for his efforts.

C. **Presidential Recommendations:**

Consent Agenda Items: A motion was made by Regent Pogue and seconded by Regent Pettit to approve the following Presidential Recommendations as listed below (1-10). **Motion carried.**

1. **Non-Academic Personnel Actions:** President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions which occurred between September 12, 2001, and October 31, 2001: Activations; Promotions; Reassignments/Reclassifications/Re-Engineering/Title Changes; Temporary/Contract to Regular Status; Departures; and Retirees.

2. **Purchase of the Small Business Incubator Facility from Northern Kentucky University Foundation:** This acquisition was not contemplated when the University's Land Acquisition Study was approved in 1992. The purchase price is still to be negotiated; two appraisals are currently underway. Negotiation will proceed in a manner consistent with state guidelines. It is possible that an exchange of this property for the US 27 parcels will be negotiated with the NKU Foundation–a cash payment would make up the differential in value.

3. **Establish a non-profit, affiliated corporation for a new residence hall facility:** The University has current biennium authorization to construct a new residence hall facility, but the State did not authorize issuance of Housing and Dining Bonds. The Commonwealth is recommending that the project be constructed with off-balance sheet type financing; to do so requires that a non-profit, affiliated corporation be established to lead this effort. This corporation will be a distinct legal entity, independent of the Northern Kentucky University Foundation. The University will enter into a ground lease with this corporation to allow construction of the new facility on University property located north of existing residence hall facilities. It is anticipated that the University will manage the new facility.

4. **Authorize the University administration to enter an agreement to transfer approximately nine acres at the US 27 entrance to the NKU Foundation:** In June 2001, NBBJ, the master planners, prepared development guidelines for the Nunn Drive corridor. In that report, NBBJ indicates that "...The importance of Nunn Drive as the gateway to campus cannot be understated. Nunn Drive provides a powerful connection that helps shape the image of the University."

   The University Foundation has been pursuing the development of the property at the US 27 entrance to campus. This area includes the Alumni Center parcel and the property to the rear of this parcel and the former Thriftway Store. The latter property is owned by the Foundation. In negotiating terms for a deed transfer or ground lease, the administration's focus will rest upon ensuring that the interests of the University are protected.

5. **Academic Affairs Personnel Actions:**
   Resignations: Cliff Shisler, Director of Research, Grants, and Contracts, effective February 1, 2002.

6. **Temporary Faculty Appointments for 2001-02 (Fall Semester):**
   College of Arts and Sciences: Literature and Language--Stephen R. Thomas
   College of Education: Elementary, Middle, and Secondary Programs--Phyllis Vogel

7. **Sabbatical Leave for 2001-2002 academic year:** Tom Zaniello, title of project: "The Divided Victorian Church: Seven Contested Religious Buildings"

8. **Emeritus Status Approval (posthumously) for:** Suzanne Elliott Cortez, Associate Professor in the College of Education.

9. **Changes to the *Chase Faculty Policies and Procedures Handbook*:** The changes are for the sole purpose of making the *Chase Faculty Policies and Procedures Handbook* consistent with the Northern Kentucky University *Faculty Policies and Procedures Handbook.* The changes have been approved by the Chase College of Law faculty, the dean, and the Provost.

10. **Changes to the Faculty Senate Constitution:** At the General Faculty meeting held on October 30, 2001, the members present voted unanimously to approve the changes to the Senate Constitution pertaining to the Selection of Members, the Committees, and Rules of Order.

11. **Freshman Housing Policy:** The policy is designed to ensure that new freshman students, residing outside the Northern Kentucky/Greater Cincinnati metropolitan region and beyond reasonable commuting distance, begin their education in a safe and supportive environment conducive to learning and success. The policy suggests that such new freshmen students, who need to make arrangements to live closer to campus, be required to live on campus unless qualified for exemptions as noted. The policy will be implemented by Fall semester 2003. With no further comments, a motion was made by Regent Griffin and seconded by Regent Chuck Brown to approve the recommendation to implement the Freshman Housing Policy. **Motion carried.**

12. **Revisions of the Administrative Regulations of Northern Kentucky University:** These revisions are a continuation of the legal compliance audit conducted by the Office of the Vice President for Legal Affairs and General Counsel in order to bring the University into compliance with current state law (title changes, pagination and grammatical errors). A motion was made by Regent Downing and seconded by Regent Prince Brown to approve the following Administrative Regulations: AR-II-2.0-3, AR-II-3.0-8, AR-II-3.0-12, AR-II-3.0-13, AR-II-4.0-1, AR-II-4.0-2, AR-II-5.0-1, AR-II-5.0-3. **Motion carried.**

D. Regent Recommendation:

1. **Changes to the NKU Regent Bylaws:** Regent Downing reported the changes to the Bylaws was part of the process of review for both Bylaws and Administrative/Governing Regulations to bring the documents up-to-date. Attention was called to Article I, A.1.a.-h., which details the delegation of authority from the Board to the President. These Guiding Principles have been adapted from *Boards and Communication: Best Practices from Lessons Learned*, authored by Edward M. Penson, Penson-Strawbridge. Mr. Penson has facilitated a number of workshops for the Board. Regent Downing, on behalf of the Bylaws Committee including Regents Prince Brown and Brenda Wilson, recommended that the bylaw changes be accepted and brought before the Board for approval at the next Board of Regents meeting on January 16, 2002.

Dr. Votruba added that the University is creating a useful model regarding the Guiding Principles or functions of the Board in relationship to the President and that other university presidents were interested in receiving a copy.

Acting Chair Wilson encouraged Professor Elliott's class to also attend the Joint Policy and Finance Committee meeting held in the mornings of the Board meetings as the material is discussed in more depth at that time and would lead to better understanding of the issues.

With no further business, at 1:30 p.m., a motion was made by Regent Herschede and seconded by Regent Pogue to adjourn. **Motion carried.**

Lilla F. Losey
Administrative Assistant
Office of the President

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on November 14, 2001, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary/Treasurer

January 15, 2002, 4:00-5:30 p.m. - Policy and Finance Committee Meeting (Administrative Center 722)
January 15, 2002, 6:00 p.m. - Dinner at the President's Home (Lakeside Park)
January 16, 2002, 2:00 p.m. - Policy and Finance Committee Meeting (State Capitol, Frankfort)
January 16, 2002, 4:00 p.m. - Press Conference to announce major gift (State Capitol, Frankfort)
January 16, 2002, 4:30 p.m. - Board of Regents meeting (State Capitol, Frankfort)
January 16, 2002, 6:00 p.m. - NKU's "Partnerships for Progress" Reception and Exposition, (Frankfort Holiday Inn)

(Regents--Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

AGENDA

Northern Kentucky University
Wednesday, January 16, 2002 - 4:30 p.m.
State Capitol, Frankfort

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, November 14,2001, Regular Meeting

Presidential Comments-Invited guests Governor Patton and Crit Luallen, Sec. to the Cabinet

Presentations by CINSAM, METS, IFS, and Mayerson Philanthropy Project

A. **Joint Finance and Policy Committee**:
1. State Budget Director James Ramsey and CPE President Gordon Davies
2. Audit Contract (Baker)
3. Campaign Update (Read)

B. **Presidential Reports**:
1. Facilities Management
2. Quarterly Financial Report
3. 2002-2003 Faculty Development Awards: Summer Fellowships
4. 2002-2003 Faculty Development Awards: Project Grants

C. **Presidential Recommendations**:
*1. Non-Academic Personnel Actions
*2. NKU Organizational Structure
*3. Academic Affairs Personnel Actions
*4. Temporary Faculty Appointments for 2001-2002
*5. Promotion, Tenure, Continued Probation, Continued Probation with Conditions to be Removed and Terminal Reappointment
*6. Sabbatical Leave for 2002-2003 Academic Year
*7. Amendments of the *Faculty Policies and Procedures Handbook* Pertaining to promotion of Full-Time, Non-Tenure Track Faculty
*8. Amendments of the *Faculty Policies and Procedures Handbook* pertaining to Performance Review and Post Tenure Review
9. Authorize the University Administration to Enter into a Lease Agreement for the new facility for METS.
10. Authorize the Audit Committee of the Board of Regents to Receive the Recommendation of the University's Audit Review Committee and award the University's External Audit Contract.

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m.,Tuesday, January 15,2002).**

D. **Regent Recommendation**:
1. Approve Changes to the NKU Regent Bylaws (Regent Downing)

E. **Other**: (Calendar events) - Please call if you have questions about any of the following events.
1. **Regular Board of Regents Meeting Schedule for 2002:**
   - March 6
   - May 8
   - July 10
   - September 25
   - November 13
2. **Council on Postsecondary Education Meeting Schedule for 2002:**
   - February 3-4
   - March 24-25
   - May 19-20
   - July 28-29
   - September 22-23
   - November 3-4
3. **Upcoming Activities:**
   - January 17 - Martin Luther King Celebration (12:15 p.m. - Greaves Concert Hall)
   - January 18 - Alumni Awards Banquet (6:30 p.m. -Airport Marriott)
   - January 19 - Homecoming Basketball Games (beginning at 5:30 p.m. - Regent's Hall)
   - April 23 - Lincoln Awards Dinner (Marriott RiverCenter, Covington)
   - May 11 - Spring Commencement Ceremony (Firstar Center, Cincinnati)
   - June 17 - Golf Outing (Triple Crown Country Club)

Board of Regents Meeting
Frankfort, Kentucky
State Capitol Building, Room #110
January 16, 2002

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 4:30 p.m. on Wednesday, January 16, 2002, by Chair Robert Zapp.

Invocation was read by Regent Griffin.

Roll Call: Chuck Brown, Prince Brown, Jr., Frank Downing, Joyce Griffin, Kathryn Herschede, Betty Pogue, Brenda Wilson, and Robert Zapp (Excused: William Erpenbeck, Kathryn Hendrickson, and Charles Pettit).

Others Present: Governor Paul Patton, James C. Votruba, Robin Crigler, Sonda Cummins, W. Michael Baker, Sara Sidebottom, Mark Shanley, Rogers Redding, Deborah Read, Gerald Hunter, Carole Beere, Michael Carrell, Cheryl Nuñez, Susan Bartels-*Northerner* newspaper, Pat Moynahan, Bud Pogue, Don Gorbandt, Joan Ferrante, Chris Cole, Gail Wells, Mary Paula Schuh, Michael P. Murray, Ken Ramey, Ahmat Aycl, Phil Schmidt, Joe Ruh, Joe Wind, Stephanie Steitzer-*Kentucky Enquirer*, Patrick Crowley, Candace Klein, Jon Draud, Eva Farris, James Alford, and Lilla Losey.

A motion was made by Regent Pogue and seconded by Regent Downing to approve the minutes of the November 14, 2001, regular meeting of the Board of Regents. **Motion carried.**

Presidential Comments:

- At an earlier press conference in the State Capitol rotunda, an announcement was made regarding the $1M gift, creating the Eva G. Farris Business Scholarship Endowment Fund which will be matched with Commonwealth of Kentucky Endowment Trust Funds, bringing the amount to $2M.
- Nancy Winstel, NKU Women's Basketball Coach, was recognized at the meeting for her potential 400th victory that evening at Kentucky State University in Frankfort.
- In 1997 Kentucky embarked on an ambitious journey to strengthen its colleges and universities as a vehicle for the Commonwealth to better compete in the new knowledge-based global economy (special thanks were given to the Governor, the legislature, and the Council on Postsecondary Education for their leadership and support).
- Four NKU initiatives were highlighted as making a difference in the Northern Kentucky region. They were referred to as "Partnerships for Progress" because, in addition to the investment of public funds, they represent a commitment on the part of our community partners.

  A short presentation was given by each program director at the meeting:
  - Center for Integrative Natural Science and Mathematics (CINSAM) and "Program of Distinction"--Director Phil Schmidt: The program focus is on P-16 Math and Science excellence. The program partners include Ashland Inc., Procter and Gamble.
  - The Metropolitan Education and Training Services (METS) Unit--Director Rob Snyder. The focus is on workforce education and training. The program partners include Delta Air Lines, Tri-County Economic Development Corporation, and the Northern Kentucky and Greater Cincinnati Chambers of Commerce.
  - The Institute for Freedom Studies–Program Director and Faculty Regent Prince Brown, Jr: The program focus is on the enhancement of freedom and opportunity for all as a foundation of our democratic society. The program is partnered by the National Underground Railroad Freedom Center and $920K received as a FIPSE Grant.
  - Mayerson Student Philanthropy Project–Evaluators Robin J. Crigler, and Joan Ferrante: Professor Ferrante, as the project faculty lead, gave the presentation to the Board. The program focus is on preparing students for citizenship and community building. It is partnered by the Manuel D. and Rhoda Mayerson Foundation ($100K).

At 5:10 p.m., Governor Patton joined the meeting and welcomed the University Board of Regents and University administrators to the Capitol. The Governor indicated that over the last two years the actual revenue had been

lower than projected. He commented on the many reductions in the current state budget as a result. He, however, stands committed to funding Higher Education. The initiative "Bucks for Brains," funded with bonds, was mentioned as a vehicle to fund education for many of the regions throughout the state.

A. **Joint Finance and Policy Committee:** Regent Chuck Brown commented on the reports given at the earlier committee meeting:

1. **State Budget Director Jim Ramsey:** The current year revenue is again facing shortfalls. Over the last 2 years the total shortfall is over $700M. When areas were looked at for cuts in the fiscal year 2001, education was exempted. In fiscal year 2002, K-12 education was again exempted, however, Universities were included. The main issue that Ramsey and the Governor continued to emphasize, was that the investment in human capital was key to the state for long term prosperity with a big emphasis on initiatives such as "Bucks for Brains" to help.

2. **CPE President Gordon Davies:** His discussion included Kentucky enrollment. Since 1998 enrollment has increased by over 22,000 students and 21,000 graduates (this versus Governor Patton's target of over 80,000 increased by the year 2020). Also discussed were state appropriations across the U.S. pertaining to increases appropriated by the state governments for Postsecondary Education in the last year. Kentucky ranked 10th versus the other states. For the last 2 years, Kentucky ranked 6th–there has been a big initiative in terms of the amount of money appropriated by the state. With the current economic climate, this may be hard to replicate in the near future.

   NKU has met its enrollment/retention goals enabling the University to keep its current funding of approximately $450K.

3. **Audit Contract:** Vice President Baker gave a presentation on the award of the new contract for the University's external auditors. It will be a one year contract with three year renewal option. The request for proposals have been sent out, at least four bids are expected. Each will be evaluated and recommendations will be made at a later date.

4. **Campaign Update:** The goal of NKU's Comprehensive Campaign (July 1999-June 2005) is $40M. At this time, the University has exceeded $20M. The anonymous donation of $1M made today in honor of Eva Farris and matched with $1M in Commonwealth funds creating a $2M scholarship endowment was an example of a way to achieve our goal. The public campaign will start this fall. The University looks forward to raising over the $40M goal.

B. **Presidential Reports:**

1. **Facilities Management**: Vice President Baker indicated that projects indicated in the report were on schedule and within budget.

2. **Quarterly Financial Report:** The report depicts the financial activity of the University as of December 31, 2001, as compared to the annual budget and the prior year's activity. No additional comments were made on the report as submitted.

3. **2002-2003 Faculty Development Awards: Summer Fellowships:** The program is designed to encourage faculty to engage in individual research, scholarly writing, and creative and artistic projects and to share the results of their efforts with the broader University community. No additional comments were made on the report as submitted.

4. **2002-2003 Faculty Development Awards: Project Grants:** Faculty Project Grants are awarded to encourage professional growth funds through financial support for independent research. Faculty Project Grants are intended to provide funds for research equipment and activities that are not available through program budgets. No additional comments were made on the report as submitted.

C. **Presidential Recommendations:**

Consent Agenda Items: A motion was made by Regent Downing and seconded by Regent Wilson to approve the following Presidential Recommendations as listed below (1-8). **Motion carried.**

1. **Non-Academic Personnel Actions:** President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions which occurred between November 1, 2001, and January 3, 2002: Activations; Temporary/Contract to Regular Status; and Departures.

2. **NKU Organizational Structure:** The organizational structure reflects all administrative changes to date.

3. **Academic Affairs Personnel Actions:**

   Faculty Appointments: W. Frank Steely Library-- Jennifer Gregory, Assistant Professor/Curator/Archivist, Special Collections and Archives Department; effective February 1, 2002; and **Colleen Kennedy**, Assistant Professor/Reference Librarian, Reference Department, effective December 1, 2001.

   Retirements (through the Retirement Incentive Program- effective 2002-2003 academic year): Carol B. Allred, Director of the Law Library and Professor in the Salmon P. Chase College of Law; **Robert M. Bratton**, Professor in the Salmon P. Chase College of Law; **James C. Claypool**, Professor in the Department of History and Geography; **June Lynn Jones**, Assistant Professor in the Department of Nursing; **Don Kelm**, Professor in the Department of Art; **Kamilla M. Mazanec**, Professor of Law in the Salmon P. Chase College of Law; **William M. McKim, Jr.**, Associate Professor in the Department of Literature and Language; and **Edwin T. Weiss, Jr.**, Professor in the Department of History and Geography.

   Leaves Paid/Unpaid: Danielle Roemer, Associate Professor in the Department of Literature and Language, unpaid leave with benefits, beginning January 7, 2002 and ending May 11, 2002.

4. **Temporary Faculty Appointments for 2001-2002:**
   College of Arts and Sciences: Theatre–Alexei Kremnev and Anna Reznik.
   College of Professional Studies: Nursing and Health Professions–Deborah Patten (spring semester)

5. **Promotion, Tenure, Continued Probation, Continued Probation with Conditions to be Removed and Terminal Reappointment:**

   Promotion beginning with the 2002-2003 academic year: Psychology: Cynthia McDaniel; **Educational Specialties**: Michele Roszmann-Millican and Carol Ryan; **Elementary, Middle, and Secondary Programs:** Allen Seed and Yvonne Meichtry; **Communication:** Cady Short-Thompson; **Nursing and Health Professions:** Ann M. Dollins, Ann Keller and Carrie McCoy; **Chase College of Law**: Edward C. Brewer.

   Tenure beginning with the 2002-2003 academic year: Educational Specialities: Michele Roszmann-Millican and Carol Ryan; **Elementary, Middle, and Secondary Programs**: Allen Seed and Yvonne Meichtry; **Communication:** Cady Short-Thompson; **Nursing and Health Professions**: Ann M. Dollins; **Chase College of Law:** Edward C. Brewer.

Continued Probation for the 2002-2003 Academic Year under the conditions of the *Faculty Policies & Procedures Handbook*: Art: Kimberly Allen-Kattus and Lisa Jameson; **Biological Sciences:** Richard Durtsche, Patrick Schultheis and Thomas Sproat; **History and Geography:** Eric Jackson and Jonathan Reynolds; **Literature and Language:** Roxanne Kent-Drury, P. Andrew Miller, Tamara O'Callaghan, and Kristine Yohe; **Math & Computer Sciences:** Andrew Long; **Psychology:** Mark Bardgett and Robin Myers Bartlett; **Political Science:** Melissa Moon and Amy Thistlethwaite; **Sociology, Anthropology, and Philosophy:** Jo Ellen Burkholder; **Information Systems:** Robert Mahaney and Toru Sakaguchi; **Management and Marketing:** Mary Conway Dato-On and Aron Levin; **Educational Specialties:** Rosa Weaver; **Elementary, Middle, and Secondary Programs:** Anjana Arora, Ernest Barnett, Denise Dallmer, Deborah Doty, Maggie McGatha, Rajeev Swami, and Teresa Young; **Nursing and Health Professions:** Nancy Batchelor, Mary Gers, Beth Hickey, Debra Kasel, Ann Keller, and Caron Martin; **Social Work and Human Services:** Charles Lowe; **Technology:** Mortez Sadat-Hossieny; **Chase College of Law:** Richard Bales, Emily Hough, and Ken Katkin; **Chase College of Law Library:** Eric Young; **Steely Library:** Michael Rose.

Continued Probation with conditions to be removed for the 2002-2003 Academic Year under the conditions of the *Faculty Policy and Procedures Handbook*: Art: Pravin C. Sevak; **Physics and Geology:** Tammie Gerke; **Political Science:** James Clingermayer; **Sociology, Anthropology, and Philosophy:** Nancy Hancock; **Accountancy:** Peter Theuri; **Management and Marketing:** Ken Rhee; **Cummunication:** Linda Welker; **Technology:** Simone Bess; **Chase College of Law Library:** Claudia Zaher.

Terminal Reappointment for 2002-2003 Academic Year under the conditions of the *Faculty Policies and Procedures Handbook*: Mathematics and Computer Science: Lisa Elderbrock; **Music:** Carol Dary Dunevant; **Social Work and Human Services:** Stephanie Barnes; **Technology:** Denis O'Connell.

6. **Sabbatical Leave for 2002-2003 Academic Year:** Barry Andersen, Fred M. Beasley, Perry Bratcher, Prince Brown, Jr., Joan Ferrante, John Filaseta, Charles E. Frank, Donald H. Galli, Barbara Houghton, Russell A. Jenisch, Gary Johnston, Donald K. Kazee, Michael E. King, Donald Krug, Philip McCartney, Cynthia McDaniel, Allen H. Seed, Mark Stavsky, James H. Thomas, Michael Turney, Caryl A. Yzenbaard, and Samuel J. Zachary.

7. **Amendments of the *Faculty Policies and Procedures Handbook* pertaining to promotion of Full-Time, Non-Tenure Track Faculty:** On February 26, 2001, the Faculty Senate passed the amendments–Section I.C. (Full-Time, Non-Tenure-Track, Renewable Faculty), Section I.D. (Full-Time, Non-Tenure-Track, Temporary Faculty), and Section I.E. (Part-Time Faculty). The changes provide additional information regarding full-time, non-tenure-track faculty and part-time faculty. The most significant of these changes is the introduction of a simple mechanism by which renewable faculty may be promoted.

8. **Amendments of the *Faculty Policies and Procedures Handbook* pertaining to Performance Review and Post Tenure Review:** On May 10, 2001, the Faculty Senate passed the amendments--Section IX.B–Criteria (Performance Review), Section X.F.2. The Review Process (Post-Tenure Review), and Section IX.C. Procedures (Performance Review). The changes assure consistency between the section of the Faculty Handbook dealing with Post-Tenure Review (Section IX.) and the more recently added section dealing with Post-Tenure Review (Section X.). Specifically, the language requires that the same set of standards, set forth in a department's narrative statement of expectations for adequate performance, be used as the standard for satisfactory performance for both post-tenure review and performance review (the outcome of which may trigger the post-tenure review process).

9. **Lease Agreement for the New Facility for METS:** A motion was made by Regent Prince Brown and seconded by Regent Chuck Brown to authorize the University Administration to enter into a lease agreement for the new facility for METS. **Motion carried.**

10. **Recommendation of the University's Audit Review Committee to award the University's External Audit Contract:** In accordance with the Board of Regents Bylaws, the Audit Committee of the Board of Regents receives the recommendation of the University's Audit Review Committee and recommends to the Board of Regents the engagement of an external auditing firm. The actions of the Audit Committee are presented to and ratified by the Board of Regents at the next regularly scheduled meeting to have any force and effect. A motion was made by Regent Downing and seconded by Regent Pogue to authorize the Audit Committee of the Board of Regents to receive the recommendation of the University's Audit Review Committee and award the University's External Audit Contract. **Motion carried.**

D. **Regent Recommendation:**

1. **Changes to the NKU Regent Bylaws:** Regent Downing reported that the proposed changes to the University Bylaws were reviewed and accepted at the first reading at the November 14, 2001 Board of Regents meeting:

   - *Article I, A.1.a thru h., listing the Guiding Principles for the Board of Regents.
   - Article II, A.1., includes delegation of authority from the Board of Regents to the President.
   - Article II, A.6. and Article III, A., A.3, D.1. and 2., changes the title of Secretary/Treasurer to "Secretary of the Board of Regents."

   *Excerpt from *Boards and Communication: Best Practices from Lessons Learned,* Edward M. Penson, Penson-Strawbridge, 924 Summerbrooke Drive, Tallahassee, FL 32312.

   After the second reading, a motion was made by Regent Downing and seconded by Regent Herschede to approve the changes to the NKU Regent Bylaws as accepted at the November 14, 2001, Board meeting. **Motion carried.**

President Votruba thanked the Board of Regents for holding their meeting at the State Capitol and emphasized that it was important during this legislative session to exhibit/showcase the work of the University and its Board of Regents.

Chair Zapp invited all in attendance to the University's "Partners for Progress" reception and exposition following the meeting at the Frankfort, Holiday Inn.

With no further business, at 5:40 p.m., a motion was made by Regent Herschede and seconded by Regent Prince Brown to adjourn. **Motion carried.**

Lilla F. Losey
Administrative Assistant
Office of the President

Robin L. Engler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary/Treasurer of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on January 16, 2002, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary/Treasurer of the Board of Regents

6:00 p.m., March 5, 2002 --Dinner at President's Home (Lakeside Park, Kentucky)
9:30-11:30 a.m., March 6, 2002,--Joint Meeting of Fin.& Pol. Committees (Administrative Center 722)
11:30-12:45 p.m.,March 6, 2002,--Luncheon, including President's Executive Team(Pres. Dining Room, UC 205)
1:00 pm, March 6, 2002, -- Board of Regents Meeting (University Center Ballroom)
(Regents--Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

AGENDA (Revised)

Northern Kentucky University
Wednesday, March 6, 2002 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, January 16, 2002, Regular Meeting

Presidential Comments

Presentation by Sutton Landry, Small Business Development Center

A. Joint Finance and Policy Committee:

1. Comprehensive Campaign/Finance Update (Read)
2. Tuition, Fees and Charges (Hunter)
3. Residential Housing(Shanley/Baker)
4. Administrative Regulations Development/Revisions (Sidebottom)
5. Regents Audit Committee (Zapp/Baker)

B. Presidential Reports:

1. Facilities Management (Baker)

C. Presidential Recommendations:

*1. Non-Academic Personnel Actions
*2. Creation of a Human Resources Advisory Committee as a standing administrative committee of Northern Kentucky University
*3. Authorize the administration to establish a moving traffic violation regulation for the Northern Kentucky University campus
*4. Authorize the University to enter into a lease agreement with the Northern Kentucky Bar Association for lease of office space in Nunn Hall
*5. Academic Affairs Personnel Actions
*6. Approve reassigned time benefits for faculty member
*7. Emeritus Status approval
8. Approval of Revisions to the Northern Kentucky University Administrative Regulations
9. Approval of comprehensive schedule of tuition, fees and charges for academic yr. 2002-03
10. Institutional Reorganization

D. Regent Recommendation:

1. Recommendation by the Regents Audit Committee to authorize the administration to enter into a contract pertaining to the annual audit of the University's financial records for the fiscal year ending June 30, 2002.

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, March 5, 2002).**

E. Other: (Calendar events) - Please call if you have questions about any of the following events.

1. Regular Board of Regents Meeting Schedule for 2002:

- May 8
- July 10
- September 25
- November 13

2. Council on Postsecondary Education Meeting Schedule for 2002:

- March 24-25
- May 19-20
- July 28-29
- September 22-23
- November 3-4

3. Upcoming Activities for 2002:

- April 10 - College of Education Endowed Chair Reception(3:30pm), and Lecture (4:30pm) - Dr. David Imig, Budig Theater
- April 20 - NKU Women's Walk (NKU Soccer Field)
- April 23 - Lincoln Awards Dinner (Marriott RiverCenter, Covington)
- May 11 - Spring Commencement Ceremony (Firstar Center, Cincinnati)
- May 11 - Chase College of Law (Regent's Hall)
- May 16 -NKU Foundation Luncheon/Annual Meeting (Highland Heights, Medical Research Laboratories)
- June 17 - Golf Outing (Triple Crown Country Club)
- October 9 - Alumni Lecture Series
- October 19 - NKU Foundation Appreciation Dinner/Kick off Campaign - NK Convention Center

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
March 6, 2002

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:07 p.m. on Wednesday, March 6, 2002, by Chair Robert Zapp.

Invocation was read by Regent Prince Brown, Jr.

Roll Call: Chuck Brown, Prince Brown, Jr., Frank Downing, Joyce Griffin, Kathryn Herschede, Charles Pettit, Betty Pogue, Brenda Wilson, and Robert Zapp (Excused: William Erpenbeck and Kathryn Hendrickson).

Others present: James C. Votruba, Robin J. Crigler, Sonda Cummins, Lilla Losey, Angie Gabbard, Kathy Stewart, Gary Graff, Mike Baker, Paul Reichardt, Mark Shanley, Deborah Read, Don Gorbandt, Gerry St. Amand, Pat Moynahan, Cheryl L. Nunez, Mary A. Lepper, Jerry D. Smith, Melissa Gorbandt, Deborah Poweleit, Gail W. Wells, Carole Beere, Phyllis Baker, Jesse Osani, Tracy Kinney, Peg Griffin, Barbara J. Sween, Dave Caddell, Willie Elliott, Stephanie M. Bell, Kelly McDermott, Chris Cole, Ken Ramey, Jane Schwierjohann, Jeff Butler, Jeff Martin, Russell Kerdolff, Mary Paula Schuh, Andy Foltz-*Community Recorder*, Kristina Goetz-*Enquirer*, Mary Ryan, Angela Schaffer, Mike Carrell, Susan Bartels-*Northerner* newspaper, Teresa Halcomb, Gerald Hunter, and Bill Oliver.

A motion was made by Regent Prince Brown, Jr and seconded by Regent Pogue to approve the minutes of the January 16, 2002, regular meeting of the Board of Regents. **Motion carried.**

A short presentation was given by Director Sutton Landry and Assistant Director Sam Asmah of the Small Business Development Center at NKU. Last October, *Cincinnati Magazine* selected the NKU Small Business Development Center as one of the winners of the "Best of the City" award. The article mentioned the Center as a "best friend to mom and pop businesses." The Center is committed and involved in building the economy in Northern Kentucky and Greater Cincinnati.

The President asked Vice President Shanley to comment on the present standing of the Women's and Men's Basketball programs.

- The Women's team finished first in the regular season of the GLVC, ending with a regular season record of 23-6. The team will play in their fourth consecutive NCAA regional tournament on March 7.
- The Men's team finished second in the regular season of the GLVC, ending with a regular season record of 19-8.

Michelle Cottrell and Craig Sanders both broke all time career scoring records for NKU, exceeding 2000 points each.

Presidential Comments:

- Finalization of the Metropolitan Education and Training Services (METS) facility received recent media attention. The facility is expected to have great impact on the economic development of this region in meeting employer needs. Groundbreaking is expected this April.
- Of the $120M earmarked from the initiative "Bucks for Brains," (money to create endowment for support of mission related activities) NKU will receive about $2.6M.
- Benchmark funding will be available in the second year of the biennium. There will be no new operational support next year.
- As indicated in Recommendation C.10 of the Board material, the position of Associate Vice President for Financial Planning and Institutional Research will change to Vice President for Enrollment and Financial Planning. The following offices will report to this office: the new Assistant Vice President for Admissions and Registration, and the offices of Admissions, Registrar, and Student Financial Assistance. The restructuring and broadening of responsibilities will bring into closer alignment both enrollment and financial planning, linking recruitment of students and pricing.

- Jerry Smith was welcomed as the new Assistant Vice President for Admissions and Registration.
- Progress is continuing on the Comprehensive Campaign. There is a new gift acceptance policy initiated by the CPE. The Board of Regents will be formally receiving and approving all major gifts on behalf of the University.
- The Administration's recommendation for tuition and pricing was based on four goals:
  1) sustain the University's access and affordability;
  2) strengthen quality in key areas--invest in targeted areas where excellence is at risk particularly pertaining to faculty and staff;
  3) maintain market competitiveness; and
  4) look deeply and thoughtfully at equity pertaining to distribution of cost.

A. Joint Finance and Policy Committee:

1. **Tuition, Fees, and Charges:** Regent Chuck Brown reported that one of the main objectives of this increase is to enhance the University's commitment to provide both access and excellence while maintaining the momentum and establishing the University as a preeminent Metropolitan University. These goals require adequate funding.

   For this fiscal year there was a reduction in state funding of approximately 1.5% or slightly over $500,000 that has to be made up in terms of University services. At this point the general opinion is that there will be no increase in state funding for fiscal year 2002-03.

   Roughly, the increase would be 9.5% or in the same basic range for undergraduates, graduate and MBA students. The increase would be a little less for resident students at the Law School at 7.3% in order to maintain competitiveness with the other law schools in Kentucky.

   The University would like to eliminate the separation of fees and tuition in order to make it "one stop shopping." The recommendation is to **bundle** tuition and mandatory student fees into one bottom line price. Several Kentucky institutions and many of NKU's benchmark institutions currently bundle or are committed to bundling tuition and fees next year.

2. **Regents Audit Committee:** Regent Chuck Brown reported that Crowe, Chizek and Company LLP have been selected for the annual audit of the University's financial records for the fiscal year ending June 30, 2002. They were selected because of the high quality service offered and competitive pricing which is a decrease from last year of approximately 15-20%.

3. **Residential Housing:** Regent Wilson reported that the University is experiencing tremendous growth which is expected to continue. The University is bringing in a more diverse student body, including additional international students. Housing is a priority issue. The University is building 400 additional beds. The plan is to begin construction in July 2002 with occupancy in July 2003. Discussion on housing will continue at the May Board meeting.

B. Presidential Reports:

1. **Facilities Management:** Vice President Baker highlighted the following projects.

   - **Natural Science Building:** Completion is expected this May with full occupancy expected for fall 2002.
   - **Power Plant:** Construction is expected to begin this summer.
   - **Safety Lighting:** Work is expected to begin this May on Nunn Drive, Kenton Drive, and part of University Drive.
   - **Information Technology Development Center:** Construction is scheduled to be completed by the end of this month.

C. **Presidential Recommendations:**

Consent Agenda Items: A motion was made by Regent Downing and seconded by Regent Chuck Brown to approve the following Presidential Recommendations as listed below (1-7). **Motion carried.**

1. **Non-Academic Personnel Actions:** President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions which occurred between January 4 and February 19, 2002: Activations, Promotions, and Departures.

2. **Creation of a Human Resources Advisory Committee as a standing administrative committee of Northern Kentucky University:** The Committee will advise the Department of Human Resources on matters related to personnel policy, procedure, and strategic initiatives. Furthermore, the Committee will assure there is ongoing collegial review and input on matters impacting staff as they relate to policy and procedures, including fringe benefits and pay.

3. **Authorize the administration to establish a moving traffic violation regulation for the Northern Kentucky University campus:** The violation would be titled Improper Driving with specific information added by the issuing Public Safety Officer. The regulation is suggested to improve the safety of both pedestrian and vehicular traffic on campus, particularly in parking areas. The campus regulation does not replace but is an alternative to the state citation authorized by Kentucky Revised Statute 164.975 (Regulation of the use of educational property).

4. **Authorize the University to enter into a lease agreement with the Northern Kentucky Bar Association for lease of office space in Nunn Hall:** The Northern Kentucky Bar Association has occupied an office suite in Nunn Hall on an informal basis for several years. The arrangement will be finalized with a Lease Agreement and payment of monthly rent to the University for use of the space. Long term, the Bar Association plans to relocate to a facility elsewhere in Northern Kentucky.

5. **Academic Affairs Personnel Actions:**
Administrative Appointments: Barry Andersen, Acting Chairperson in the Department of Music, effective February 4, 2002 through June 30, 2002.

Faculty Appointments: Rebecca Evans, Assistant Professor of Biological Sciences in the Center for Integrated Science and Mathematics, effective August 12, 2002; **Stephanie Hughes**, Assistant Professor of Management in the Department of Management and Marketing, effective August 12, 2002; **Colleen Kennedy**, Assistant Professor/Reference Librarian, Reference Department, W. Frank Steely Library, effective December 1, 2001; and **Keith Walters**, Assistant Professor of Chemistry in the Center for Integrated Science and Mathematics, effective August 12, 2002.

Temporary Faculty Appointments for 2002-2003 (spring semester): Literature and Language--Maureen Cronin and Kristi Eiler; **Music**–Scott Lang; **Physics and Geology**–Ricardo Javier Rademacher Mena; **Political Science**–Jessica N. Godsey and Carol A. Keim; and **Sociology, Anthropology and Philosophy**–Charles Ward.

Resignations: Lisa Elderbrock-Rome, Assistant Professor in the Department of Mathematics and Computer Science, effective May 11, 2002, and **J. Randall Wheaton**, Associate Professor and Chair in the Department of Music, effective April 30, 2002.

6. **Approve reassigned time benefits for faculty member:** Reassigned time benefits were approved for **Michael Adams,** Regents Professor in the History and Geography Department, equivalent to 50% for an additional six-year Regents Professor term beginning July 1, 2002.

7. **Emeritus Status:** Carol Allred, Professor in the Salmon P. Chase College of Law Library; Robert Bratton, Professor in the Salmon P. Chase College of Law; James Claypool, Professor in the Department of History and Geography; Kamilla Mazanec, Professor in the Salmon P. Chase College of Law; William McKim, Professor in the Department of Literature and Language; and Edwin T. Weiss, Professor in the Department of History and Geography.

8. **Approval of Revisions to the Northern Kentucky University Administrative Regulations:**

Teresa Halcomb, Legal Assistant in the Office of the Vice President for Legal Affairs and General Counsel, was asked to comment. She indicated that this was a continuation of the legal audit conducted by their office to bring the University Administrative Regulations into compliance with current state law. There were no changes of substance. The recommended changes are to correct punctuation, title changes, and grammatical errors. A motion was made by Regent Downing and seconded by Regent Prince Brown to approve the recommended revisions AR-II-5.04, AR-II-5.0-6, AR-II-5.0-7, AR-II-5.0-8 and AR-II-5.0-9. **Motion carried.**

9. **Approval of comprehensive schedule of tuition, fees and charges for academic year 2002-03:**

Chair Zapp thanked Regent Herschede for her efforts in representing the Student Government Association at the earlier committee meeting as the recommendation was very much contested by the student body. Regent Herschede indicated that Student Government was in favor of the Technology fee but was opposed to bundling and the tuition increase. She proceeded to read resolutions from the Student Government Association opposing bundling and the proposed tuition increase particularly the additional pricing of over 16 credit hours.

At the September 26, 2001, meeting of the NKU Board of Regents, a tuition strategy recommendation was unanimously endorsed to increase tuition 5.5% each year of the 2002-04 biennia, assuming full benchmark support. However, in the event that NKU was funded at less than the targeted benchmark funding objective, tuition would be increased by as much as 9.5%, consistent with Board of Regents action established for the 2000-02 biennium.

NKU remains the most under-funded of the Commonwealth's public universities with respect to state support. The tuition and fee recommendations for 2002-03 are the administration's attempt to establish revenue necessary to invest in critical funding priorities at a time when increased state funding support is not likely.

A motion was made by Regent Downing and seconded by Regent Wilson to approve a comprehensive schedule of tuition, fees and charges for academic year 2002-03 at the rates proposed in the "Authorized Schedule of Tuition & Fee Rates." With one abstention by Regent Pogue and one opposed by Regent Herschede, **motion carried.**

10. **Institutional Reorganization:**

With no further comment on the recommendation, a motion was made by Regent Prince Brown and seconded by Regent Herschede to approve the position change of Associate Vice President for Financial Planning and Institutional Research to Vice President for Enrollment and Financial Planning and that the Assistant Vice President for Admissions and Registration, the Office of Admissions, the Office of the Registrar, and the Office of Student Financial Assistance be relocated under the authority of this new vice president. In addition, the position of Vice President for Student Affairs and Enrollment Management be re-titled to be Vice President for Student Affairs. **Motion carried.**

D. Regent Recommendation:

1. **Recommendation by the Board of Regents Audit Committee pertaining to the annual audit of the University's financial records for the fiscal year ending June 30, 2002:** A motion was made by Regent Wilson and seconded by Regent Prince Brown for the Board of Regents to authorize the University administration to enter into a contract with the audit firm of Crowe, Chizek and Company LLP for the annual audit of the University's financial records for the fiscal year ending June 30, 2002. The contract cost for fiscal year ending June 30, 2002, will be $46,000. This contract will allow for three one-year renewable options. **Motion carried.**

With no further business, at 2:00 p.m. a motion was made by Regent Chuck Brown and seconded by Regent Herschede to adjourn. **Motion carried.**

Lilla F. Losey
Administrative Assistant
Office of the President

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on March 6, 2002, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary of the Board of Regents

6:00 p.m., May 7, 2002 --Dinner at President's Home (Lakeside Park, Kentucky)
9:15 a.m., May 8, 2002 -- Meet in the Administrative Center, 3rd floor lobby
9:30-11:30 a.m., May 8 --Joint Mtg of Fin.& Pol. Committees (Steely Library 204B)
11:30-12:45 p.m.,May 8 -- Reception for Student Awards Presentation (Univ. Ctr. Plaza)
1:00 pm, May 8, -- Board of Regents Meeting (University Center Ballroom)
3:00 pm, May 8, -Student Government Association Awards Reception (UC Ballroom)
(Regents--Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

AGENDA (Revised)

Northern Kentucky University
Wednesday, May 8,2002 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, March 6, 2002, Regular Meeting

Presidential Comments

Presentation - Student Affairs Retrospective for 2001-02 (Shanley)

A. Joint Finance and Policy Committee Discussion:

1. Budget (Hunter)
2. Residential Housing (Shanley/Baker)
3. Comprehensive Campaign/Finance Update (Read) Residential Housing(Shanley/Baker)
4. Administrative Regulations Development/Revisions (Sidebottom)

B. Presidential Reports:

1. Quarterly Financial Report (Baker)
2. Facilities Management (Baker)

C. Presidential Recommendations:

*1. Non-Academic Personnel Actions
*2. Capitalization limit for recording moveable equipment
*3. Authorization for the VP for Administration and Finance to write off bad debts
*4. Academic Affairs Personnel Actions
*5. Continued Probation and Continued Probation with Conditions to be Removed
*6. Emeritus Status
*7. Amendments of the Faculty Policies and Procedures Handbook
8. New degree program, Bachelor of Science in Sports Business, College of Business
9. New degree program, Bachelor of Science in Business Administration, College of Business
10. Resolution pertaining to the new residential housing facilities
11. Ratify Student Government Association Constitution
12. Revisions of NKU Administrative Regulations
13. Endorsement of Resolution to establish the Univ.'s Fiscal Yr. 2002-03 Annual Operating Budget
14. NKU Endowment Match Program

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, May 7, 2002).**

D. Other: (Calendar events) - Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule for 2002:**
   - July 10 November 13
   - September 25
2. **Council on Postsecondary Education Meeting Schedule for 2002:**
   - May 19-20 September 22-23
   - July 21-22 November 3-4
3. **Upcoming Activities for 2002:**
   - May 11, 9:00a.m.(arrive) 10:00 a.m.(ceremony) - Spring Commencement Ceremony (Firstar Ctr, Cinci)
   - May 11, 3:30 p.m. - Chase College of Law (Regent's Hall)
   - May 16, 12:30 p.m.-Groundbreaking ceremony for METS Center (CirclePort Office Park-Erlanger)
   - May 17 - 6th Annual Norse Athletics Club Golf Outing (A.J. Jolly Golf Course)
   - May 23, 10 a.m.-NKU Foundation Annual Meeting/Luncheon (Highland Hts., Medical Research Labs.)
   - June 12, 6:00 p.m. - Present and Former Regents Reception (Chair Zapp's home)
   - June 17 - Golf Outing (Triple Crown Country Club)
   - August 16, 9:30 a.m. - Fall Convocation (Greaves Concert Hall)
   - October 9 - Alumni Lecture Series Event
   - October 19 - NKU Foundation Appreciation Dinner/Kick off Campaign (NK Convention Ctr)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
May 8, 2002

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:05 p.m. on Wednesday, May 8, 2002, by Chair Zapp.

Invocation was read by Regent Herschede.

Roll Call: Prince Brown, Jr. Frank Downing, Joyce Griffin, Kathryn Herschede, Charles Pettit, Betty Pogue, Brenda Wilson, and Robert Zapp (Excused: Chuck Brown).

Others Present: James C. Votruba, Robin J. Crigler, Sonda Cummins, Lilla Losey, Angie Gabbard, Kathy Stewart, Gary Graff, Mike Baker, Paul Reichardt, Mark Shanley, Deborah Read, Don Gorbandt, Gerry St. Amand, Cheryl Nunez, Gail W. Wells, Carole Beere, Chris Cole, Rick Meyers, Gerald Hunter, Rogers Redding, Sara Sidebottom, Russ Kerdolff, Angela Schaffer, Rob Yelton, Jeff Martin, Mary Lepper, Jerry Smith, Kent Kelso, Jane Schwierjohann, Charles A. Pinder, Jane Meier, Gary Pratt, Jim Gress, Pat Moynahan, Arne Almquist, Jeff Smith, Mike Carrell, Matthew Shank, Linda Olasov, Andrew Foltz-*Community Recorder,* Kristina Goetz-*Enquirer*, Chris Cole, Joe Ruh, Ken Ramey, Joe Wind, Mary Paula Schuh, and Rick Van Sans-*Kentucky Post.*

Regent Zapp announced the recent resignation of Regents Kathryn Hendrickson and A. William Erpenbeck and thanked them for their loyalty and valuable contributions to the University.

A motion was made by Regent Griffin and seconded by Regent Pettit to approve the minutes of the March 6, 2002, regular meeting of the Board of Regents. **Motion carried**.

A presentation titled "A Student Affairs Retrospective for 2001-02," was provided by Vice President Shanley. The presentation included digital photograph images of campus activities and events (aftermath of September 11, 2001, Freshfusion, Transitions, and various lectures and campus festivals).

Presidential Comments:

- As Chair Zapp's official term as Regent expires this June 30, a special thanks on behalf of the University community was given for his commitment and many contributions to the University as Regent and for his leadership and counsel as Chair for the past two years.
- The University will have approximately 1,000 graduates at the May 11, Commencement exercises at the Firstar Center in Cincinnati.
- Fall enrollment has set new records pertaining to size, diversity, and internationalism. Student retention rates have also greatly improved.
- The new College of Education was mentioned and a welcome was extended to Jim Gress as the new dean.
- NKU along with the University of Louisville received $750K to support enhancement of P-12 education.
- This past Spring, the University had the first annual celebration of undergraduate research and creative activity with over 100 students and faculty joining the presentations and poster sessions.
- Chase Law School was recognized for first-time bar passage rate scores for this past February. Chase scores were at 86% compared to the state-wide averages for Kentucky at 78%. Chase's overall passage rate was 73% with the state average at 72%.

- The State Legislature adjourned without a budget; however, there is strong support for P-12 education, benchmark funding and the initiative, "Bucks for Brains."
- The groundbreaking ceremony for the new METS Center will be held on May 16 at the CirclePort Office Park in Erlanger.
- The Center for Civic Education was founded this past year and will provide $1M to strengthen support of the economic development and quality of life in our region. The Center will apply the knowledge of our faculty and involve student participation.
- At the earlier Policy and Finance meeting, the Board was given a tour and presentation by faculty member Christine Stiegler of the new Teaching and Learning Center (TLC) located in Steely Library. The Center was created to support and promote excellence in teaching and learning.
- The University 6-year graduation rate has increased since 1998 by 10%. This is a strong indication that the investments made by the University have paid off in the success of the students and faculty.

A. Joint Finance and Policy Committee:

1. **Budget:** Regent Downing reported on the University's FY 2002-03 operating budget indicating that it was a tight but optimistic budget considering the unknown factor of the state budget. The total operating budget totals $109,200,000, a net revenue increase of approximately $7,600,000 compared to the original FY 2001-02 operating budget of $101,600,000.

   Funding for strategic priorities include: strengthen the curriculum and support faculty excellence; enhancement for student recruitment and retention; strengthen public engagement, improvement of campus facilities and environment; promoting staff effectiveness and satisfaction; enhancement of fund raising capacity; increase in budget reserve; and establishment of retirement funding for temporary full-time non-tenure track faculty.

   All funding is based on meeting the established enrollment target of 2%. If enrollment target is not met or higher education must take another budget cut from the state, cost containment measures will be put into place. These may include a freeze on out of state travel.

   The FY 2002-03 operating budget of the University is aligned with the strategic priorities which grew out of the comprehensive University visioning and planning process begun in the Fall of 1997 with "Vision, Values, and Voices" and culminated in the Five-year Strategic Agenda that has been shared and reviewed with the Board of Regents and the campus community.

2. **Residential Housing**: Regent Wilson reported on the presentation given by Vice President Shanley and Vice President Baker of the plans for the 400-plus bed student housing facility. In a market feasibility study conducted by Anderson Strickler, LLC, it was concluded that there is sufficient demand to support up to 490 additional beds. The committee recommended that the Regents approve the plan as presented.

B. Presidential Reports:

1. **Quarterly Financial Report:** Vice President Baker had no further comment on the revenues and expenditures as indicated in the report.

2. **Facilities Management:** Vice President Baker had no further comment on the report as presented.

3. **Comprehensive Campaign Update**: (Special report added by the President) Vice President Read reported that this was the first time that the Board will be accepting gifts on behalf of the University. All of the gifts received by the University are deposited within the University Foundation; however, the CPE has required that all the Kentucky institution's governing boards must receive gifts on behalf of the institutions. Particularly noted were the gifts used for matching funds through the Commonwealth Trust Fund. The total raised by the Campaign is at approximately $21M. A breakdown of fund use: approximately $13M- endowment; $7M - programmatic initiatives; $800K - capital improvement.

   Included in the information provided on the campaign gifts was a report listing Campaign resources from July 1, 2001-April, 30, 2002 (only gifts of $10K and above are listed - others are listed in a lump sum total of approximately $8.5K). There are approximately $8M in pending gifts to be signed by the time of the Campaign kick off scheduled for October 19, 2002.

C. **Presidential Recommendations:**

Consent Agenda Items: A motion was made by Regent Prince Brown, Jr. and seconded by Regent Pogue to approve the following Presidential Recommendations as listed below (1-7). **Motion carried.**

1. **Non-Academic Personnel Actions:** President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions which occurred between February 20, and April 24, 2002: Activations; Promotions; Temporary/Contract to Regular; Reassignments, Reclassification, Re-Engineering, Title Changes; and Departures.

2. **Capitalization limit for recording moveable equipment:** The recommendation approved the capitalization limit for recording moveable equipment to be increased from $500 to $5,000 retroactive to July 1, 2001 and that useful lives for capital assets be adopted for the following number of years: Land Improvements - 40; Buildings and Fixed Equipment - 10-40; Moveable Equipment - 3-10; Vehicles - 5-7; and Library Books - 10. With the implementation of the new reporting mandates contained in the Governmental Accounting Standards, Number 34 and 35 effective for fiscal year 2002, depreciation reporting for capital assets is required.

3. **Authorization for the VP for Administration and Finance to write off bad debts:** Authorization was given to the Vice President for Administration and Finance/Treasurer to write off bad debts totaling $67,937.53. This is accomplished once a year before the University's books are closed. The business office monitors the various categories to assure effective receivable management practices are in place.

4. **Academic Affairs Personnel Actions:**
   Administrative Appointments: Jasbir Dhaliwal, Professor and Chair of the Department of Information Systems with Tenure, effective July 1, 2002; **Kirsten Fleming**, Professor and Chair of

the Department of Mathematics and Computer Science with Tenure, effective July 1, 2002; **James R. Gress**, Professor and Dean of the College of Education with Tenure, effective August 1, 2002; and **Michael Whiteman**, Assistant Professor of Law and Director of the Salmon P. Chase College of Law Library, effective July 1, 2002.

Faculty Appointments (effective August 12, 2002): Richard Boyce, Assistant Professor in the Department of Biological Sciences; **Mark Marsolais**, Assistant Professor in the Department of Political Science; **Carl Miller**, Assistant Professor in the Department of Mathematics and Computer Science; **Doris Shaw**, Assistant Professor in the Department of Management and Marketing; and **Andrea Weickgenannt**, Assistant Professor in the Department of Accountancy.

Resignations (effective May 11, 2002): **James Clingermayer**, Assistant Professor in the Department of Political Science; **Raymond N. Enzweiler**, Associate Professor in the Department of Physics and Geology; and **David Potter**, Associate Professor in the Department of Political Science.

Leaves Paid/Unpaid: W. Michael Ryan, Professor of History in the Department of History and Geography, half-time unpaid leave with benefits, beginning August 12, 2002 thru May 17, 2003.

5. **Continued Probation and Continued Probation with Conditions to be Removed for the 2002-03 academic year under the conditions of the *Faculty Policies and Procedures Handbook*:**

Continued Probation: Art - Kevin Muente; **Biological Sciences**: Charles Acosta; **Chemistry:** K. C. Russell and Enders Dickinson; **History and Geography:** Debra Meyers; **Literature and Language:** Jonathan Cullick; **Mathematics and Computer Science:** James W. Hauser, Hee-Jeong Lim, Gail Mackin, and David Schenck; **Physics and Geology:** Sharmanthie Fernando and Scott Nutter; **Political Science:** Michael Baranowski and Ramona Brockett; **Psychology**: Kimberly Hanson Breitenbecher; **Accountancy:** Rita Czaja; **Information Systems:** Xiaoni Zhang; **Management and Marketing:** Matthew Ford, Bertie Greer and Tracey Sigler; **Educational Specialities:** Joyce Fortney and Melissa Jones; **Communication:** Mary Cupito; **Nursing and Health Professions:** Virginia Hedger, JoAnn Randolph, and Cynthia Kelly; **Technology:** Sean Foley and Hamid Khan; **Chase College of Law: Legal Programs:** Mark Godsey; **W. Frank Steely Library:** Colleen Kennedy.

Continued Probation with Conditions to be Removed: Social Work and Human Services: Thomas Bordelon, Barbara Conklin, and Jacqueline Smith.

6. **Emeritus Status**: **Donald R. Kelm**, Professor and Chair of the Department of Art.

7. **Amendments of the Faculty Policies and Procedures Handbook:** On February 25, 2002, the Faculty Senate approved a request from the Department of Theatre for recognition of the Master of Music Degree as a terminal degree for faculty in the Department of Theatre. Appropriate terminal degrees for faculty are listed in the Handbook in Part II, Section XIII, Page 145. The Masters of Music Degree will need to be added to this list. On April 15, 2002, the Faculty Senate approved the following changes to the Handbook pertaining to the peer review process, Part One, Section XV.B.3, Grievances; Part One, Section IV.C.14, Appeal Procedure; and Part One, Section XV.B.1, Matters Subject to Peer Review.

8. **New Degree Program, Bachelor of Science in Sports Business, College of Business:** Provost Redding had no further comment on the program and indicted that Dean Carrell and Professor Matthew Shank, were available at the meeting for questions. From a student's standpoint, Regent Herschede indicated that this was a great addition to the curriculum. A motion was made by Regent Herschede and seconded by Regent Pogue to approve the initiation of the new degree program, Bachelor of Science in Sports Business, in the College of Business, as outlined in the proposal beginning fall 2002 semester. **Motion carried.**

9. **New Degree Program, Bachelor of Science in Business Administration, College of Business:** Dean Carrell indicated that the program will not require additional resources. The Business Administration degree program has two primary goals: to facilitate the ease of transfer course work completed at other institutions into a major and therefore allow for a smoother transition into the program and earlier graduation; and to permit current and future students to create an individually designed interdisciplinary degree program within the College of Business. A motion was made by Regent Herschede and seconded by Regent Griffin to approve the initiation of the new degree program, Bachelor of Science in Business Administration, in the College of Business as outlined in the proposal beginning fall 2002 semester. **Motion carried.**

10. **Resolution Pertaining to the New Residential Housing Facilities:** A motion was made by Regent Downing and seconded by Regent Prince Brown, Jr. to adopt the resolution as prepared by Special Bond Counsel Peck, Shaffer & Williams LLP, which approves the necessary documents in connection with the issuance of Certificates of Participation (COPS) to finance the construction of new residential housing facilities. **Motion carried.**

11. **Ratify Student Government Association Constitution:** During the 2000-01 academic year, the Student Government Association drafted a revised constitution and submitted the constitution for ratification by a vote of the student body during Spring elections held on April 18 and 19, 2001. The constitution was ratified by a vote of 87% in favor of the new constitution. The Student Government Association has been operating under the terms of this constitution, duly adopted by the student body. In an oversight, the constitution was not however submitted to the Board of Regents for consideration and approval. A motion was made by Regent Pogue and seconded by Regent Wilson to ratify the April 2001 version of the Student Government Association Constitution. **Motion carried.**

12. **Revisions of NKU Administrative Regulations:** This is a continuation of the legal compliance audit conducted by the Office of the Vice President for Legal Affairs and General Counsel to bringthe University into compliance with current state law. Additionally, due to a title change, recently approved changes needed update. A motion was made by Regent Prince Brown, Jr. and seconded by Regent Downing to approve a necessary title change along with AR-II-5.0-1, AR-II-5.0-11 of the Northern Kentucky University Administrative Regulations. **Motion carried.**

13. **Endorsement of Resolution to establish the University's Fiscal Yr. 2002-03 Annual Operating Budget:** Chair Zapp applauded the University Administration for the aggressive budget planned for this year. A motion was made by Regent Downing and seconded by Regent Prince Brown, Jr. to endorse the resolution establishing the University's Fiscal Year 2002-03 Annual Operating Budget. **Motion carried.**

14. **NKU Endowment Match Program:** The President indicated that this item will be a regular consideration at upcoming meetings and will include the receipt of private gifts by the Board on behalf of the University.

    A motion was made by Regent Herschede and seconded by Regent Pettit regarding the NKU Endowment Match Program: to accept gifts totaling $4,116,283 in support of Northern Kentucky University priorities, a portion of which is eligible to receive Commonwealth of Kentucky Endowment Match Funds; authorize the Chair of the Board of Regents to submit a notice of Board approval to the Council on Postsecondary Education stating that the Northern Kentucky University Board of Regents acknowledges that it has primary responsibility for the implementation of the Endowment Match Program; authorize the gift of $1,000,000 for COB Scholarships be matched by $150,000; and that the Northern Kentucky University Board of Regents acknowledges responsibility for oversight of the endowment established with these funds in accordance with council guidelines and procedures. **Motion carried.**

Chair Zapp appointed a nominating committee comprised of Regent Downing (Chair), Regent Prince Brown, and Regent Pogue to recommend to the Board the Executive Officers for the 2002-03 year.

With no further business, at 2:05 p.m., a motion was made by Regent Herschede and seconded by Regent Pogue to adjourn. **Motion carried**.

Lilla F. Losey
Administrative Assistant
Office of the President

Robin L. Grigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on May 8, 2002, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary of the Board of Regents

6:00 p.m. July 9, 2002- Regent Dinner at President's Home (Lakeside Park, KY)
9:30 a.m. July 10, 2002 - Joint meeting of Policy and Finance Committee (University Ctr. 108)
11:30 a.m. July 10, 2002 - Lunch with President, Executive Team, and Cheryl Nunez (President's Dining Rm.)
1:00 p.m. July 10, 2002 - Board of Regents Meeting (University Center Ballroom)
(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events.)

AGENDA (Revised)

Northern Kentucky University
Wednesday, July 10, 2002 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Oath of Office - Martin C. Butler and Anna Dale Pyles (Robert Zapp-reappointed)
Roll Call and Welcome
Approval of Minutes, May 8, 2002, Regular Meeting

Presidential Comments

A. Joint Finance and Policy Committee:
1. University Advancement Overview (Read)
2. General Education Program (Redding)

B. Presidential Reports:
1. Facilities Management (Baker)
2. Comprehensive Campaign (Read)

C. Presidential Recommendations:
*1. Non-Academic Personnel Actions
*2. Renew the University Fire Service Memorandum of Agreement
*3. Academic Affairs Personnel Actions
*4. Temporary Faculty Appointments for 2002-03
*5. Continued Probation and Continued Probation with Conditions to be Removed
*6. *Faculty Policies and Procedures Handbook* amendments
*7. *Chase Faculty Policies and Procedures Handbook* amendments
*8. Change of title of the Law Library Director in the Law School
*9. Changes in the Admin. Regulations (AR-III-5.0-8) - Standing Committee for the Stu. Fee Allocation Board.
*10. Approve the new Student Honor Code
11. Changes to the Administrative Regulation (AR-I-1.0-5), Vice President for Administration
12. NKU Endowment Match Program

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, July 9, 2002).**

D. Executive Session to Discuss Legal Issues: (Sidebottom)

E. Regent Recommendation
1. Executive Committee for 2002-03 (Chair Zapp)

F. Other: (Calendar events)- Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule for 2002/03:**

2002	2003	
• September 25	January 15	July 9
• November 13	March 19	September 24
	May 14	November 12

2. **Council on Postsecondary Education Meeting Schedule for 2002:**
   - July 21-22
   - September 22-23
   - November 3-4
3. **Upcoming Activities for 2002:**
   - August 15, 5:30 p.m. - Faculty/Staff Picnic (University Center lower plaza)
   - August 16, 9:30 a.m. - Fall Convocation (Greaves Concert Hall)
   - August 27, Cincinnati Pops Concert (NKU Soccer Field)
   - September 5 & 6 - Board of Regents Retreat (Metropolitan Club, Covington)
   - September 20 - New Science Center Dedication
   - October 9 - Alumni Lecture Series Event
   - October 19 - NKU Foundation Appreciation Dinner/Kick off Campaign (NK Convention Ctr)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
July 10, 2002

The regular meeting of the Board of Regents of Northern Kentucky University was called to order at 1:08 p.m. on Wednesday, July 10, 2002, by Vice Chair Wilson.

Invocation was read by Regent Griffin.

Installation of new Regents Martin C. Butler and Anna Dale Pyles was administered by Vice Chair Wilson.

Roll Call: Chuck Brown, Martin Butler, Frank Downing, Joyce Griffin, Kathryn Herschede, Charles Pettit, Betty Pogue, Anna Dale Pyles, Brenda Wilson (Excused: Prince Brown, Jr. and Robert Zapp).

Others Present: James C. Votruba, Robin J. Crigler, Lilla Losey, Sonda Cummins, Angie Gabbard, Jerry D. Smith, Gary W. Graff, Jane Schwierjohann, Joe Geraci, James Alford, Marilou Singleton, Meredith Ludwig, Jeff Martin, Mary Paula Schuh, Russ Kerdolff, Ken Ramey, Don Gorbandt, Michael Murray, Mike Carrell, Patrick Moynahan, Carole Beere, Gail W. Wells, Gerry St. Amand, Steve Meier, Jeff Butler, Gary Pratt, Brenda Rigg, Kristina Goetz-*Enquirer*, Sara Sidebottom, Chris Cole, Rick Van Sant-*Kentucky Post*, Deborah Read, Mary F. Kirk, Mary Ryan, Mark Shanley, Mike Baker, Rick Meyers, Mark Guilfoyle, Kelly Schoening, Gerald Hunter, Rogers Redding, and Tim Downer.

Vice Chair Wilson welcomed William Butler and Anna Dale Pyles to the NKU Board of Regents and also welcomed back both Kathryn Herschede serving her second elected year as Student Representative and Robert Zapp, reappointed by the Governor to serve another term.

A motion was made by Regent Downing, as Chair of the Nominating Committee, and seconded by Regent Pogue to nominate the following Regents to serve as the Executive Committee for 2002-03: Brenda Wilson (Chair), Chuck Brown (Vice Chair), and Joyce Griffin (Secretary). **Motion carried.**

A motion was made by Regent Pettit and seconded by Regent Griffin to approve the minutes of the May 8, 2002, regular meeting of the Board of Regents. **Motion carried.**

Presidential Comments:

- In addition to welcoming Regents Butler and Pyles, Chair Wilson, Vice Chair Chuck Brown, and Secretary Griffin were recognized on behalf of the University Administration for their newly elected and continuing roles as the Board's Executive Committee.
- Growth as a revenue strategy is working. Financial incentives were created this spring to increase activity for summer enrollments which are up 20%.
- With no additional investment, class seating capacity has increased by 8.8%.
- In 1997 the University engaged in a strategic planning process called *Vision, Values, and Voices* (VVV). The five-year process will be at an end this fall. A new VVV panel will begin in the fall to look at the next level of development in the life of the University i.e. Community College. Conversations in the community similar to the last process will begin this fall to look at the next level of development in the life of the University. The VVV committee should come back to the Board by January 2003 with recommendations. Possible participation by Board members was mentioned for the new process beginning this fall.
- At the earlier joint Policy and Finance Committee meeting,Vice President Read gave an in-depth review of University Advancement. New levels of public visibility were revealed: public visibility on the part of the campus; professional quality publications; legislation and congressional advocacy; and private support to exceed our $10M goal for this year.
- The three-year conversations on General Education were highlighted. Recommendations will be brought before the Board later this fall regarding content, implementation, and cost.

- Sonda Cummins was recognized and thanked for her fifteen years of service to the Office of the President as Executive Secretary to the President. Ms. Cummins will be retiring after 23 years at the University as of August 1, 2002.
- Beginning July 15, Mimi Assanuvat will begin her new position as Executive Secretary to the President. Ms. Assanuvat comes to the position from the Office of the Vice President for University Advancement, where she serves as Executive Secretary.

A. **Joint Finance and Policy Committee:** (The following committee reports were given by Regent Chuck Brown)

1. **University Advancement Overview:** Vice President Read and members of her staff reported on the following:

   Since 1999, the University's Comprehensive Campaign has raised $25M toward an overall goal of $40M as part of the *Shaping Dreams* comprehensive campaign. The annual goal of $10M plus was met last year. The $10M raised in a one-year period was the same amount raised during the entire past Capital Campaign which extended over a 5-year period. Money raised has been designated for Endowment (62%), Program Initiatives (31%), and Capital Improvements (7%).

   Federal earmarks have also benefitted the Institute for Freedom Studies, METS, the Math and Science education initiative, and the Urban Learning Center.

   WNKU has won numerous awards, and has been named Best Station in Cincinnati by *City Beat*, *Cincinnati Magazine*, and *Everybody's News*.

2. **General Education Program:** Provost Redding gave a report focusing on three core areas that every student must meet to qualify for graduation: 1) Common Core or fundamentals-12 credit hours requirement (written communication, oral communication, critical thinking, and problem solving). Students must complete the Common Core of these fundamental studies before moving on to other aspects of their curriculum; 2) Diversity Core-6 credit hours requirement (teaches students to respect and better understand other cultures, races, religions, and ethnicities; and 3) Liberal Arts Core-31-34 credit hours requirement (students will experience the perspectives of the Fine Arts, the Sciences, the Behavioral and Social Sciences, Literature, History and the Humanities).

   The emphasis is on outcome from courses rather than exposure to particular elements of subject matter. Courses will need to satisfy relevant outcomes criteria before being included in the General Education Program.

B. **Presidential Reports:**

1. **Facilities Management:** Vice President Baker highlighted the following projects/areas of interest:

   - The construction of the new Natural Science Building is complete and on target with limited occupancy. Full occupancy is expected for fall 2002.
   - Monarch Construction will be the general contractor for the new Power Plant. Construction is expected to begin in early August.
   - The Commonwealth continues to take the lead in the management of the new Residence Hall project which will be handled as a Design/Build project. The general construction contract was awarded to Frank Messer and Sons Construction Co. Occupancy is expected by fall 2003.
   - Mention was made of the new Mountain Dew commemorative soft drink cans with the "Norse-NKU logo." 5M cans were expected to be released to the public over the next 30-45 days. Each Regent received a can at the meeting.

2. **Comprehensive Campaign:** Vice President Read thanked the Board for the opportunity to share information on the University's Campaign progress.

C. **Presidential Recommendations:**

Consent Agenda Items: A motion was made by Regent Griffin and seconded by Regent Pogue to approve the following Presidential Recommendations as listed below (1-10). **Motion carried.**

1. **Non-Academic Personnel Actions:** President Votruba recommended that the Board of Regents approve the following categories of non-academic personnel actions which occurred between April 25, and June 19, 2002: Activations, Promotions; Transfers; Reassignments, Reclassification, Re-Engineering, Title Changes; and Departures.

2. **Renew the University Fire Service Memorandum of Agreement:** Authorization was given to the University Administration to renew the University fire service Memorandum of Agreement with the Central Campbell County Fire District. Through this contract, the University receives fire service, assistance with construction issues, and advice and participation in fire education initiatives.

3. **Academic Affairs Personnel Actions:**
Administrative Appointments: Mary Kirk, Acting Dean of the College of Education, effective June 1, 2002 through July 31, 2002; **Paul Kreider**, Chair and Professor with tenure in the Department of Music, effective July 1, 2002; **Thomas McGovern**, Chair and Professor with tenure in the Department of Art, effective July 1, 2002; and **J. Patrick Moynahan**, Interim Dean and Associate Professor, College of Professional Studies, effective July 1, 2002 through June 30, 2003.

Faculty Appointments:
Effective July 1, 2002: C. Dale Elifrits, Visiting Professor and Director of Pre-Engineering and Outreach in the Center for Integrative Natural Sciences and Mathematics.
Effective August 12, 2002: Bill Attenweiler, Assistant Professor of Industrial/Organizational Psychology in the Department of Psychology; **Samuel Boateng**, Assistant Professor of Geology in the Center for Integrative Natural Sciences and Mathematics; **Anne Broughton**, Assistant Professor in the Department of Education Specialties, College of Education; **John Huss**, Assistant Professor in the Department of Educational Specialties, College of Education; **Parmita Kapadia**, Assistant Professor of English in the Department of Literature and Language; **Alberto Lopez**, Assistant Professor of Law in the Salmon P. Chase College of Law; **William K. Sjostrom, Jr.**, Assistant Professor of Law in the Salmon P. Chase College of Law; and **Charles Wells**, Lecturer (non-tenure track renewable) in the Department of Music.

Leaves-Paid/Unpaid: JoEllen Burkholder, Assistant Professor in the Department of Sociology, Anthropology, and Philosophy, unpaid leave with benefits, beginning August 12, 2002 and ending December 30, 2002 and **Linda Olasov**, Professor in the College of Education, unpaid leave with benefits, beginning August 12, 2002 and ending May 17, 2003.

Resignations (effective May 11, 2002): Stephanie Barnes, Assistant Professor in the Department of Social Work and Human Services and **Thomas Bordelon**, Assistant Professor in the Department of Social Work and Human Services.

4. **Temporary Faculty Appointments for 2002-03:**
College of Arts and Sciences: Terence Fleming Department of History and Geography and **Robert Kerby**, Department of Theatre.

5. **Continued Probation and Continued Probation with Conditions to be Removed - for the 2002-2003 academic year under the conditions of the *Faculty Policies and Procedures Handbook*.**

   Continued Probation: Philip Lewis, Department of Accountancy.
   Continued Probation with Conditions to be Removed: Holly Riffe, Department of Social Work and Human Services.

6. ***Faculty Policies and Procedures Handbook* Amendments:** On May 10, 2002, the Faculty Senate approved the following: 1) Proposal from the Department of Educational Specialties, College of Education, that the master's degree serve as the designated terminal degree for new tenure-track faculty positions in the Athletic Training Program. 2) Revisions to the graduate admissions policies and procedures that reflect new policies and procedures as well as changes to existing ones.

7. ***Chase Faculty Policies and Procedures Handbook* Amendments:** The Chase faculty approved the following amendments on the dates as indicated:
   1) On May 8, 2002 -- Part One, Section XV., Grievances: This will bring both handbooks in line, so there is no deviation between the *Chase Faculty Policies and Procedures Handbook* and the *NKU Faculty Policies and Procedures Handbook.* On May 8, 2002, the Board of Regents approved changes to the NKU *Faculty Policies and Procedures Handbook* pertaining to the grievance process.

   2) On March 20, 2002 -- Part One, Section XIV., Grievances: These amendments pertain to Peer Review, the Complaint Process, and Appropriate Terminal Degrees. The amendments conform to the Peer Review provisions applicable across the rest of the University and are consistent with the *NKU Faculty Policies and Procedures Handbook.*

   3) On February 20, 2002 -- Part One, Section IV., Evaluation, Subsection C.2., Initiation of Request.

8. **Change of title of the Law Library Director in the Salmon P. Chase College of Law:** The proposed title change to "Associate Dean for Law Library Services and Information Technology" is consistent with the trend within legal education and with the University's recent change in title for the head of the W. Frank Steely Library. This position plays a direct and significant leadership role in the academic mission of the law school. The occupant of the position must be a tenure-track law faculty member. Approval of the name change also requires the following amendment to the *Chase College of Law Faculty Handbook*: every reference in the Handbook to the "Director of the Law Library" should be changed to "Associate Dean for Law Library Services and Information Technology."

9. **Changes in the Administrative Regulations (AR-III-5.0-8) - Standing Committee for the Student Fee Allocation Board:** This change will update the membership and requirements. The membership changes reflect the current staffing, responsibilities, and titles of the Student Affairs staff engaged in the student fee allocation process as the result of the restructuring of these areas.

10. **Approve the new Student Honor Code:** During the 2000-01 academic year, a team of faculty initiated the development of a new Student Honor Code to promote a commitment to the highest standards of ethical integrity in academic conduct. The Faculty Senate initially approved the Student Honor Code on May 10, 2001. The Dean of Students and the Vice President for Legal Affairs requested the opportunity to review and revise the Honor Code to insure the full integration of the Honor Code with applicable sections of the Code of Student Rights and Responsibilities and existing Administrative Regulations. These revisions were reviewed and approved by the Student Senate, the Professional Concerns Committee of the Faculty Senate, and the Faculty Senate.

11. **Changes to the Administrative Regulation (AR-I-1.0-5), Vice President for Administration:** Vice President Sidebottom indicated that these revisions were a continuation of the legal compliance audit conducted by the Office of the Vice President for Legal Affairs and General Counsel in order to bring the University into compliance with current state law. These changes will correct title changes and organizational structure. A motion was made by Regent Downing and seconded by Regent Pettit to approve revisions of AR-I-1.0-5, Vice President for Administration, Page 14. **Motion carried.**

12. **NKU Endowment Match Program:** Vice President Read indicated that the gifts totaled $1,568,449 for the period 5/01/02 thru 06/21/02. A motion was made by Regent Pogue and seconded by Regent Chuck Brown that the Board of Regents:
    1. Hereby officially accepts gifts totaling $1,568,449 in support of Northern Kentucky University priorities, a portion of which is eligible to receive Commonwealth of Kentucky Endowment Match Funds.
    2. Authorize the Chair of the Board of Regents to submit a Notice of Board Approval to the Council on Postsecondary Education (CPE) that states that the Northern Kentucky University Board of Regents acknowledges that it has primary responsibility for the implementation of the Endowment Match program. The notice also states that the Northern Kentucky University Board of Regents is responsible for providing oversight of all endowment funds.
    3. Authorize the following gift commitments be submitted to the CPE requesting Endowment Matching Funds: Scripps Foundation - $750K for Center for Civic Engagement; Ashland Foundation - $500K for the Science Summer Enrichment; Dan Meyer - $500K for Chase Faculty Development; Paul Chellgren - $50K for Undergraduate Scholarship; and Confidential - $364K for College of Business Scholarships.
    4. Acknowledges responsibility for oversight of the endowments established with these funds in accordance with CPE guidelines and procedures. **Motion carried.**

D. **Executive Session to Discuss Legal Issues:** At 1:50 p.m. a motion was made by Regent Downing and seconded by Regent Pogue to call an Executive Session.

The Board reconvened at 2:15 p.m., with no legal action taken.

With no further business, at 2:20 p.m., a motion was made by Regent Herschede and seconded by Regent Butler to adjourn. **Motion carried.**

Lilla F. Losey
Administrative Assistant
Office of the President

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on July 10, 2002, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary of the Board of Regents

6:00 p.m. September 24, 2002- Regent Dinner at President's Home (Lakeside Park, KY)
9:30 a.m. September 25, 2002- Joint meeting of Policy and Finance Committee (Univ.Ctr. 108)
11:30 a.m. September 25, 2002- Reception - Groundbreaking for New Residential Housing (Norse Commons)
1:00 p.m. September 25, 2002- Board of Regents Meeting (University Center Ballroom)
2:00 p.m. September 25, 2002 -Reception for Student Leadership (Univ. Ctr. 2nd floor Lobby)

(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events.)

AGENDA

Northern Kentucky University
Wednesday, September 25, 2002 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, July 10, 2002, Regular Meeting
Approval of Minutes, September 5, 2002, Board of Regents Retreat
Presidential Comments

A. **Joint Finance and Policy Committee**:
1. Annual Financial Report 2001-02(Russ Kerdolff and Auditors, Crow, Chizek and Co. LLP)
2. Accreditation Report (Redding)
3. Comprehensive Campaign Update (Read)
4. NKU Logo (Read)
5. Revisions to the Administrative Regulations (Sidebottom)

B. **Presidential Reports**:
1. Annual Financial Report 2001-02 (Baker)
2. Facilities Management Report (Baker)
3. Commonwealth Budget Update and Enrollment Growth (Hunter)
4. Research, Grants and Contracts Report (Beere)

C. **Presidential Recommendations**:
*1. Academic Personnel Actions
*2. Temporary Faculty Appointments 2002-03
*3. Departmental Name Changes in the College of Arts and Sciences
*4. Change in title for Associate Provost for Graduate Studies and Outreach
*5. Non-Academic Personnel Actions
*6. Organizational Structure
*7. Revision of the Sexual Harassment Policy
*8. Revisions to the Administrative Regulations AR-I-1.0-4, AR-I-1.0-9, and AR II-3.0-1
9. Annual Report to CPE - Commonwealth Endowment Match Program

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, September 24,2002).**

D. **Other**:(Calendar events)- Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule for 2002/03:**

2002	**2003**
November 13	May 14
2003	July 9
January 15	September 24
March 19	November 12

2. **Council on Postsecondary Education Meeting Schedule for 2002:**
November 3-4

3. **Upcoming Activities for 2002:**
- October 9 - Alumni Lecture Series Event (Highland Heights Campus Regents Hall)
- October 19 - NKU Foundation Appreciation Dinner/Kick off Campaign (NK Convention Ctr.)
- October 24 - Staff Appreciation Day (12:00 noon - Regent's Hall)
- December 14 - Fall Commencement (US Bank Arena)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
September 25, 2002

The regular meeting of the Board of Regents was called to order at 1:08 p.m. on Wednesday, September 25, 2002, by Chair Wilson.

Invocation was read by Regent Griffin.

Roll Call: Chuck Brown, Prince Brown, Jr., Martin Butler, Frank Downing, Joyce Griffin, Kathryn Herschede, Charles Pettit, Betty Pogue, Anna Dale Pyles, Brenda Wilson (Excused: Robert Zapp).

Others Present: James C. Votruba, Robin J. Crigler, Mike Baker, Carole Beere, Debbie Read, Sara Sidebottom, Rogers Redding, Mark Shanley, Lilla Losey, Angie Gabbard, Mimi Assanuvat, Rick Van Sant-*Kentucky Post*, Chris Cole, Bettina Adams, Mary Ryan, Gary Pratt, Martha Malloy, Jessica Causey, Andy Wilson, Don Gorbandt, Mary Lepper, Bill Oliver, Jerry D. Smith, Darlene M. Hantzis, Charles Pinder, Russ Kerdolff, Dennis Obyc, Cheryl Nunez, Gerry St. Amand, Gail W. Wells, J. Patrick Moynahan, Kent Kelso, Stephen A. Tribble, Jane Meier, Jeff Butler, Steven Meier, Mike Carrell, Rick Meyers, Robin Geiger, and Joe Ruh.

A motion was made by Regent Griffin and seconded by Regent Chuck Brown to approve the minutes of the July 10, 2002, regular meeting of the Board of Regents. **Motion carried.**

A motion was made by Regent Pogue and seconded by Regent Herschede to approve the minutes of the September 5, 2002, Board of Regents Retreat. **Moton carried.**

Presidential Comments:

- The New Natural Science Center dedication on September 20, was a success. Provost Redding, Dean Gail Wells, the departmental Chairs and faculty were recognized for their efforts. An anonymous gift of 2M was made by an NKU alum toward the Science Center. This was the largest gift by an NKU alum in the life of the University.
- Prior to the Board meeting, the University held the Groundbreaking Ceremony for a new 400 bed Residence Hall.
- The five-year update of the Vision, Values, & Voices (VVV) process was mentioned. The goals of 1997 were reexamined during the recent Board of Regents Retreat on September 5. Five years ago the foremost goal of the University was to become a preeminent learner-centered, metropolitan university recognized for its contributions to the intellectual, civic, educational, economic, and social vitality of the region. During the past five years, the University has received national attention. In an independent study by AASCU of 400 universities, NKU was ranked as one of the top five institutions for its innovation and work in public/civic engagement. The University has also been nationally recognized for its first-year programs along with the Center for Integrative Natural Science and Mathematics (CINSAM). These conversations, which are designed to discuss the University's future, will be held for the next six to eight weeks on campus and throughout the region engaging from 300-400 people.
- The six strategic priorities of the campus have been the focal point of our daily work over the last five years including: strengthening curriculum; faculty recruitment and retention; enhancing student recruitment and retention; progress in internationalizing the campus; public engagement work; and improved campus facilities/environment. In addition, staff effectiveness has been promoted with market salary adjustments and extention of benefits.
- Kentucky is at a crossroads in Postsecondary education reform, and revenue needs to be identified to support this momentum (NKU's student population, currently at 14,000, was at 11,000 when the reform began). This is an enormous challenge currently confronting our Governor, General Assembly, and other elected officials.

Vice President Sidebottom was asked to give a presentation on the changes in INS requirements as it relates to the University's International faculty, staff, and students. Vice President Sidebottom discussed SEVIS (Student Exchange Visitor Information System) with regard to regulations expected to be followed by colleges and universities as it pertains to foreign students and visitors in the United States. Recognition was given to Gary Pratt and the Information Technology staff for their efforts in bringing the University up to code on this information which is expected to be in place by January 2003. Viki Kimball, Office of International Student Affairs, is assisting in this effort.

A. **Joint Finance and Policy Committee:**

1. **Annual Financial Report 2001-02:** Report was given by Regent Chuck Brown.

   The Audit was conducted by the firm of Crowe, Chizek, and Co. LLP. The firm issued a clean audit opinion and stated a positive work relationship with the administration. Vice President Baker and Comptroller Kerdolff shared a presentation on the Financial Report. An overview was given of the three new basic financial statements which emphasized the positive income growth reflected on the statement of revenues, expenses, and changes in net assets. It was determined that the overall financial condition of the University was strong at year end of June 30, 2002.

Chair Wilson recognized the University Administration for their tremendous efforts in producing the financial documentation for the Board meeting. At the recent CPE Governor's Conference on Trusteeship it was indicated to not expect financial reports at this time from any of the Universities due to the continuing state budget.

2. **Academic Accreditation:** Report was given by Regent Griffin.

   Provost Redding explained the purpose of accreditation and the process in which the University becomes academically accredited. The purpose of being a member of SACS accreditation program is to make a public statement of the University's continuing capacity to provide effective programs and services based on requirements agreed upon by the member institutions of the accrediting body.

3. **NKU Logo:** Report was given by Regent Griffin.

   Vice President Read discussed the new NKU logo which will be used for marketing purposes on all publications, web sites, letterhead, business cards, and other marketing items. The implementation process will be over a period of several years. The new logo will not take the place of the University seal.

B. **Presidential Reports:**

1. **Annual Financial Report 2001-02** There were on further comments on the report as submitted.

2. **Facilities Management Report:** Vice President Baker highlighted the following projects/areas of interest:

   - The Safety Lighting project was completed ahead of schedule and under budget.
   - Mention was made of the completion of the New Natural Science Center and the opening on September 20. Academic Affairs was thanked for their cooperation and team effort during the process of moving from the Old Science Building. Dan Drake, Director of Physical Plant, and his staff were also recognized for their efforts.
   - Construction of the new Power Plant has begun. This project is of significant importance to the future of the University.

3. **Commonwealth Budget Update and Enrollment Growth:** President Votruba reported that the University continues to exceed the CPE established enrollment growth targets.

4. **Research, Grants and Contracts Report:** Associate Provost Beere mentioned that this report will be provided to the Board of Regents at each upcoming Board meeting. It was pointed out in the report that the award date is sometimes after the date that the project starts. This information is correct as award letters are often received after the official start date for the project. This was an unusually good two-month period due to the fact that there were large recurring grants. Twenty-three proposals were successful during this period. The total amount of money awarded was $2,350,410.00.

C. **Presidential Recommendations:**

Consent Agenda Items: A motion was made by Regent Downing and seconded by Regent Butler to approve the following Presidential Recommendations as listed below (1-8). **Motion carried.**

1. **Academic Affairs Personnel Actions:**
Administrative Appointments: Barry Andersen, Interim Associate Dean of the College of Arts and Sciences and Professor in the Department of Art, effective July 1, 2002 through June 30, 2003; **Rachelle Bruno**, Interim Associate Dean of the College of Education and Professor in the Department of Educational Specialties, effective July 1, 2002 through June 30, 2003; **Laurie DiPadova-Stocks**, Director, Center for Civic Engagement, effective September 1, 2002; and **Kathy Schroerlucke**, Interim Executive Director for Educational Outreach, effective September 1, 2002 through October 31, 2003 (13 Month Contract).

Faculty Appointments: Mary Chesnut, Half-Time Visiting Lecturer (non-tenure track renewable) in the W. Frank Steely Library, effective September 9, 2002; **Michele Peers**, Lecturer/Freshman Advisor (non-tenure track renewable) in the Department of Literature and Language, effective July 1, 2002; **Amy Racke**, Lecturer/Freshman Advisor (non-tenure track renewable) in the Department of Biological Sciences, effective July 1, 2002; and **Delores Walters**, Visiting Assistant Professor (non-tenure track renewable), in the Department of Sociology, Anthropology, and Philosophy, effective August 1, 2002.

Faculty Appointments (effective August 12, 2002): Melissa Bledsoe, Assistant Professor in the Department of Technology; **Wayne Bresser**, Lecturer (non-tenure track renewable) in the Department of Physics and Geology; **Kimberly Code,** Assistant Professor in the Department of Educational Specialties; **Marian Cummins**, Lecturer (non-tenure track renewable) in the Department of Nursing and Health Professions; **Irene Encarnacion**, Lecturer (non-tenure track renewable) in the Department of Literature and Language; **Tom Gamble**, Instructor (non-tenure track renewable) in the Department of Management and Marketing and Director of Sports Business; **Tracey Holmes**, Lecturer (non-tenure track renewable) in the Department of Nursing and Health Professions; **Carla Johnson**, Assistant Professor in the Department of Educational Specialties; **Gretchen Kauscher**, Lecturer (non-tenure track renewable) in the Department of Developmental Mathematics; **Nina Key**, Lecturer (non-tenure track renewable) in the Department of Music; **Michael Robert Klotz**, Artist in Residence (non-tenure track renewable) with the Amernet Quartet, in the Department of Music; **Scott Lang**, Lecturer (non-tenure track renewable) in the Department of Music; **Dixie Leather**, Advisor/Lecturer (non-tenure track renewable) in the Department of Technology; **Bonnie May**, Lecturer (non-tenure track renewable) in the Department of History and Geography; **Adrienne Noble Nacev**, Visiting Professor of Law in the Salmon P. Chase College of Law; **Sara Runge-Pulte,** Assistant Professor in the Department of Elementary, Middle, and Secondary Programs; **Jamey Strawn**, Assistant Professor in the Department of Theatre; and **Chris Strobel**, Assistant Professor in the Department of Communication.

Leaves Paid/Unpaid: **Tammie Gerke**, Assistant Professor in the Department of Physics and Geology, half-time, unpaid leave with benefits, beginning August 12, 2002 through May 17, 2003; **Trina Koscielicki**, Associate Professor in the Department of Nursing and Health Professions, paid leave with benefits, fall semester 2002; **Meichelle Gibson**, Advisor/Lecturer in the College of Business, part-time unpaid leave with benefits, effective October 23, 2002 through March 3, 2003; and **Eric Young**, Assistant Professor of Library Services, Salmon P. Chase College of Law Library, eighty-five percent unpaid leave with benefits, beginning September 16, 2002 through January 12, 2003.

Resignations: **Nancy Batchelor**, Assistant Professor in the Department of Nursing and Health Professions, effective May 12, 2002; **Sean Dougherty**, Lecturer/GIS Analyst in the Environmental Resource Management Center, effective August 15, 2002; **Carol Dunevant**, Assistant Professor in the Department of Music, effective July 1, 2002; **Allen Seed**, Assistant Professor in the Department of Elementary, Middle, and Secondary Programs, effective July 26, 2002; and **Teresa Young**, Assistant Professor in the Department of Elementary, Middle, and Secondary Programs, effective July 19, 2002.

2. **Temporary Faculty Appointments for 2002-2003: (Academic Year or as indicated)**
College of Arts and Sciences: **Art** - Matthew Albritton, Kelly Asbury, Heidi Endres, and Keith Smith; **Biological Sciences** - Hildegarde Baldridge and Robin Root *(Fiscal Year)*; **Chemistry** - Gwen Fields; **CINSAM** - Denice Robertson; **History and Geography** - Francois Le Roy and Lawrence Fred Mitchell; **Literature and Language** - Lydia Coyle, Maureen Cronin, Kristin Dietsche, Kristi Eiler, Paul Goodin, Barclay Green, Angela Hesson, Darlene Hollon, Virginia Paddock, Paul Roggendorff, Hannelore Wolfram, and Mari York; **Mathematics and Computer Sciences** - Shelley Cain, Michael Collins, Adama Diene, Terry Erdmann, Marilyn Hoffman, Beth Noblitt, Robert Riehemann, and John Taylor; **Music** - David Deitrick, Betty Douglas, Jeremy Long, and Joseph Pokorski; **Physics and Geology** - Athula Herat, Sarah Johnson, Ricardo Javier Rademacher Mena, and Chari Ramkumar; **Political Science** - James Binney, Bruce McClure, Wallace Rigsbee, II, and Charles Rogers, Sr.; **Psychology** - Leigh Josie and Jennifer Sacher; **Sociology, Anthropology, and Philosophy** - Gregory Bigot, Nicole Grant, Robert Kenney, Peter Killoran, Timothy D. Murphy, Michael Simonton, and Charles Ward; **Theatre** - Mark Hardy and Christine Jones.

College of Education: **Educational Specialties** - Gary Eippert, George Frakes, Catherine Ingram, Jill Niemeyer, Wiley Piazza and Richard Simmons; **Elementary, Middle, and Secondary Programs** - Jennifer Cottingham, David Shepherd, and Phyllis Vogel *(Fall Semester)*.

College of Professional Studies: **Communication** - Karen Donges, Stephanie Fister, Joe Hoffert, Ward Patterson, Wendy Rose, Barbara Thul, and Pamela Tyahur; **Nursing and Health Professions** - Robert Brautigan and Cynthia Conway; **Social Work and Human Services** - Darrell Payne and Gregory Stewart; **Technology** - Majed Dabdoub and Robert Willoughby.

Vice Provost: **Developmental Mathematics** - Shashi Sathe (*Spring Semester*) and JoJean Southwick (*Fall Semester*); **First Year Programs** - Jerome Langguth; **Honors** - Ron Hoffman and Kelli Sittason; **Learning Assistance Center** - Pauline Cantrell *(Fall Semester)*, Kathleen Culp *(Fall Semester)*, Jill Easter *(Fall Semester)*, Lezlie Mayfield *(Fall Semester)*, Mary Quill *(Fall Semester), and* Gregory Walsh *(Fall Semester)*; **Women's Studies** - Mary Bucklin.

3. **Departmental Name Changes in the College of Arts and Sciences:** The Department of Political Science was recommended to be renamed to the Department of Political Science and Criminal Justice. This is one of the fastest growing degree programs within a department whose name did not reflect its true programmatic offerings. The change will enable the department to better represent to their students (current and prospective) what the program has to offer.

4. **Change in title for Associate Provost for Graduate Studies and Outreach:** The title of Dr. Carole Beere's position was recommended to be changed from Associate Provost for Graduate Studies and Outreach to Associate Provost for Outreach and Dean of Graduate Studies. It is a common practice for the central university administrator of graduate programs to carry the "dean" designation. This will give added importance to the emerging graduate programming at the University.

5. **Non-Academic Personnel Actions:** Approval was recommended for the following categories of non-academic personnel actions which occurred between June 20, 2002, and September 6, 2002: Activations; Promotions; Transfers; Reassignments, Reclassifications, Re-Engineering, Title Changes; Temporary/Contract to Regular; Departures; and Retirees.

6. **Organizational Structure:** This is a six month update of the organizational structure. All of the reporting lines listed on the structure are for Director level and above, but included the Coaching areas under Intercollegiate Athletics and the Chair positions under Academic Affairs.

7. **Revision of the Sexual Harassment Policy:** This revision in the Sexual Harassment policy replaces section G4 in the NKU Personnel Policy and Procedure Manual. Staff Congress in consultation with the NKU Office of Legal Affairs recommended a revision to the sexual harassment policy that governs all staff employees. The revision makes the staff sexual harassment policy consistent with the policy governing faculty in the Faculty Policies and Procedures Manual.

8. **Revisions to the NKU Administrative Regulations AR-I-1.0-4, AR-I-1.0-9, and AR II-3.0-1:** These revisions are a continuation of the legal compliance audit conducted by the Office of the Vice President for Legal Affairs and General Counsel: AR-I-1.0-4-- Changes include: the Vice President for Academic Affairs and Provost no longer serves as an ex officio member of all committees in graduate education. The College of Education should be included after "College of Business; 2) AR-I-1.0-9--The title of the position is incorrect, "Vice President for Legal Affairs and General Counsel" should replace "University Legal Counsel" throughout the regulation; and 3) AR II-3.0-1--This policy is no longer applicable, as the University has contracted the bookstore function to an outside entity, which buys all used textbooks back daily.

9. **Annual Report to CPE - Commonwealth Endowment Match Program:** Vice President Read reported on the new CPE guidelines in place to provide for an expanded role for institutional governing boards assuring public oversight for the use of state matching funds. With Board approval, the University will submit the report to the CPE prior to October 15, as requested.

   This report does not represent the total gifts received last year from private funds but represents only those gifts that were submitted from matching funds from last year and the previous years. The University has only one Endowed Professorship position filled to date by Professor Rebecca White, 5/3 Entrepreneurship Bank Institute.

   A motion was made by Regent Prince Brown and seconded by Regent Chuck Brown to authorize the University officials to submit an annual report on endowments created or expanded through the Commonwealth Endowment Match Program to the Council on Postsecondary Education. The report includes annual data for the year ending June 30, 2002 and cumulative data since the inception of the program in 1998. **Motion carried.**

At 1:45 p.m. a motion was made by Regent Downing and seconded by Regent Butler to call an Executive Session to discuss personnel issues. **Motion carried.**

The Board reconvened at 2:30 p.m. with no legal action taken.

With no further business, at 2:33 p.m. a motion was made by Regent Herschede and seconded by Regent Butler to adjourn. **Motion carried.**

Lilla F. Losey
Administrative Assistant
Office of the President

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on September 25,2002, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary of the Board of Regents

6:00 p.m. November 12, 2002- Regent Dinner at President's Home (Lakeside Park, KY)
9:30 a.m. November 13, 2002- Joint meeting of Policy and Finance Committee (UC Ballroom)
11:45 a.m. November 13, 2002- Luncheon (President's Dining Room, #105 Univ. Ctr.)
1:00 p.m. November 13, 2002- Board of Regents Meeting (UC Ballroom)
(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events.)

AGENDA

Northern Kentucky University
Wednesday, November 13, 2002 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, September 25, 2002, Regular Meeting
Presidential Comments

A. Joint Finance and Policy Committee:
1. A-133 Audit Report (Crow, Chizek, and Co. LLP)
2. Northern Kentucky University and Northern Kentucky Community and Technical College agreement (Paul Reichardt/Edward Hughes)

B. Presidential Reports:
1. External Auditor's Management Letter (Baker)
2. A-133 Audit of Federal Programs (Baker)
3. Quarterly Financial Report (Baker)
4. Facilities Management (Baker)
5. Research, Grants, and Contracts (Beere)
6. NKU Foundation Spending Policy Revision (Read/Alford)
7. Comprehensive Campaign Update (Read)

C. Presidential Recommendations:
*1. Academic Affairs Personnel Actions
*2. Temporary Faculty Appointments for 2002-03
*3. Division Name Changes in the W. Frank Steely Library
*4. Non-Academic Personnel Actions
*5. Revision of the NKU Administrative Regulations governing the University Space Committee
*6. Revisions to the NKU Administrative Regulations (AR-I-1.0-8 and AR-III-2.0-6)
7. Authorize access to the Univ. Ctr. Reserve Fund to support renovation
8. Acceptance of major private gifts during period June 21,2002 -October 31, 2002
9. Approval of George Rieveschl, Jr. as December 2002 Commencement Honorary Degree recipient

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, November 12,2002).**

D. Executive Session: (Chair Wilson)

E. Other: (Calendar events)- Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule for 2003:**

January 15	July 9
March 19	September 24
May 14	November 12

2. **Council on Postsecondary Education Meeting Schedule for 2003:**

February 3	July 28
March 24	September 21-22 (Trusteeship Conference)
May 19	November 3

3. **Upcoming Activities for 2002:**
   - November 21, 11:30 a.m. - Basketball Tip off Luncheon (Marquise Banquet Ctr., Wilder, KY
   - December 14, 10:00 a.m - Fall Commencement (US Bank Arena)
   - December 14, 12 noon-Commencement Luncheon (Queen City Club, Cincinnati)
   - April 22, 2003, 6:00 p.m. - Lincoln Awards Banquet (Marriott at RiverCenter, Covington)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
November 13, 2002

The regular meeting of the Board of Regents was called to order at 1:05 p.m. on Wednesday, November 13, 2002, by Chair Wilson.

Invocation was read by Regent Chuck Brown.

Roll Call: Chuck Brown, Prince Brown, Jr., Martin Butler, Frank Downing, Joyce Griffin, Kathryn Herschede, Charles Pettit, Betty Pogue, Anna Dale Pyles, Brenda Wilson, and Robert Zapp.

Others Present: James C. Votruba, Robin J. Crigler, Mike Baker, Carole Beere, Debbie Read, Sara Sidebottom, Rogers Redding, Mark Shanley, Gerald Hunter, Lilla Losey, Angie Gabbard, Mimi Assanuvat, Rick Van Sant-*Kentucky Post*, Chris Cole, Jay Baker, Robin Geiger, Kim Taylor, Jim Alford, Darlene Hantzis, Ed Penson, Russ Kerdolff, Arne Almquist, Kathy Stewart, Patrick Moynahan, Michael Carrell, Stephen Meier, Prince Ellis, Stephen A. Tribble, Amber Hardesty, Don Gorbandt, Gary W. Graff, Connie Hurst, Lenore Chambers, Mary Ryan, Ken Ramey, Jeff Martin, Joe Ruh, Rick Meyers, Cheryl Nunez, Gerry St. Amand, Bill Farro, and Mike Mimms.

A motion was made by Regent Butler and seconded by Regent Herschede to approve the minutes of the September 25, 2002, regular meeting of the Board of Regents. **Motion carried.**

Presidential Comments:

- A presentation was given at the earlier Policy and Finance meeting this morning by Dr. Hughes and Vice Provost Reichardt on the Northern Kentucky University and Gateway Community & Technical College Educational Partnership.
- The President and other members of the University Administration attended the Norse Leadership Society annual retreat this past weekend in Gatlinburg,Tennessee. Regent Herschede along with 50-60 student leaders were in attendance.
- On October 19, 2002, NKU celebrated the public kickoff of its $40M Shaping Dreams Campaign at the Northern Kentucky Convention Center in Covington. The University is currently a little under $30M in realizing that goal. Vice President Read and her staff were recognized for their efforts on the success of this event.
- There have been 25 meetings scheduled this fall for the Vision, Values & Voices process, with eight more remaining. Our Board of Regents, particularly Chair Wilson, have been deeply involved in this process from the very beginning. The next three to four months will set the University's course for the next five years.
- The Staff Appreciation Awards were presented this past October 24. Terry Linkugel, Judy Strange, and Jeff Baker won the Regents Distinguished Service Award.
- Last week, for the third time, NKU hosted the annual meeting of the Kentucky Academy of Science which allowed an opportunity for the University to showcase its new Natural Science Center to the state's top scientific minds. More than 500 people registered for the event.
- "Law Day" was held on campus this past November 4. Professor Ramona Brockett, Political Science, and her colleagues were commended for their tremendous efforts on its success.
- The Alumni Lectures Series presentation on October 9, 2002, featured Political Consultant James Carville and former U.S. House Speaker Newt Gingrich. About 2,000 people, near capacity for Regents Hall, were in attendance.
- The women's soccer team wrapped up an undefeated regular season and had a fifth straight Great Lakes Valley Conference championship. They are ranked No. 1 in the nation and will host the NCAA Great Lakes Regional Championship this Saturday. NKU has not lost to a GLVC opponent in three years. Our women's golf team, in only its fourth year of existence, won the GLVC championship in October. Our volleyball team will seek its sixth straight GLVC title this weekend, and the women's tennis team also made the GLVC tournament.

- Recognition was given at the meeting to Dr. Edward Penson, Penson Strawbridge. Dr. Penson was hired by the Board of Regents to lead a comprehensive five-year evaluation of the President. Thanks were given to the Board for their support and leadership during this five-year partnership in which tremendous progress has been made. The success of the University is defined, not by the president alone, but by the strength of the partnership between the Board and President. The University Administration was also recognized for its partnership, commitment, and team work which are so critical to the success of the University and its students, faculty, and staff.

Chair Wilson thanked Dr. Penson for all the extra service provided to the Regents during the evaluation process. Jim Alford and members of the University Foundation were also recognized for their assistance on the evaluation process.

A. Joint Finance and Policy Committee:

1. **Annual General Audit for FY 01-02:** Report was given by Regent Chuck Brown, Finance Committee Chair.

   Vice President Baker reported that the external auditors, Crowe, Chizek, and Co. LLP, shared their management letter with the Board. This report discloses recommendations regarding internal controls on accounting, administrative, and operational matters. There were only two recommendations: 1) improving on-line security, and 2) disaster recovery contingency planning. No material weaknesses were found.

   Annual A-133 Audit for FY 01-02:

   The external auditors shared their audit of the University's federal programs. There were no audit findings or non-compliance issues.

2. **Northern Kentucky University and Northern Kentucky Community and Technical College agreement:** Report was given by Regent Griffin, Policy Committee Chair.

   Associate Provost Paul Reichardt made a presentation to the committee on the Gateway Community and Technical College concerning their educational partnership with NKU. Primary goals include: expanding access to postsecondary education in the region; limiting duplication of associate (two-year) degree programs; and ensuring a smooth transfer of students from Gateway to NKU–this is a key point.

   Partnerships initiatives which have been completed or are in process include: coordination of general education programs at the two institutions to ensure placement of students in the correct programs for their needs; cross-registration of Gateway students in NKU classes; development of a dual admissions program that ensures Gateway students will be fully prepared to enter NKU after completing an associate degree–there are currently 24 Gateway students taking courses at the University; coordination of delivery of remedial education; articulation of selected Gateway associate degree programs with NKU baccalaureate degree programs; and cooperation in course delivery at distributed learning sites (Grant County, Pendleton County).

   Gateway President Edward Hughes familiarized the Board with the College's efforts toward becoming accredited by the Southern Association of Colleges and Schools (SACS).

B. Presidential Reports:

1. **External Auditor's Management Letter:** There were no further comments on the report as submitted.

2. **A-133 Audit of Federal Programs:** There were no further comments on the report as submitted.

3. **Quarterly Financial Report:** The Quarterly Financial Report depicts the financial activity of the University as of September 30, 2002, as compared to the annual budget and the prior year's activity. There were no further comments on the report as submitted.

4. **Facilities Management Report:** Vice President Baker highlighted the following projects/areas of interest:

   - The Power Plant and the Residential Village expansion are both on target for completion and within budget.
   - Corporex is making good progress on the METS facility
   - The US 27 property transfer with the Foundation was approved through Frankfort. This is consistent with what the Board authorized over a year ago.

5. **Research, Grants, and Contracts:** The report summarizes the successful proposals with the amount of award for each proposal for NKU faculty and staff from September 1, 2002, through October 31, 2002. There were no further comments on the report as submitted.

6. **NKU Foundation Spending Policy Revision:** Vice President Read reported that the Foundation Spending Policy had changed for FY 04 due to the depressed market and the funds available. The spending rate will reduce from 5% to 4% of the sixteen quarter average market value of the endowment pool. Additionally, the 1% development fee will change to 0.8% of the sixteen-quarter average market value. The effect of this reduction in payout will provide a savings to the endowment pool of $305,669 during FY 04.

7. **Comprehensive Campaign Update:** Vice President Read reported that the information in the report represents the campaign resources committed from July 1, 1999, through October 31, 2002 totaling $27,676,376 in support of NKU's campaign priorities. There are $2.5M additional gifts anticipated by the year's end.

C. **Presidential Recommendations:**

Consent Agenda Items: A motion was made by Regent Pogue and seconded by Regent Zapp to approve the following Presidential Recommendations as listed below (1-6). **Motion carried.**

1. **Academic Affairs Personnel Actions:**
   Faculty Appointments (non-tenure track renewable): **Cynthia Conway,** Lecturer, Department of Nursing and Health Professions, effective August 12, 2002; **James Hughes,** Lecturer/Advisor, Advising Center, College of Business, effectie October 1, 2002; and **Michael Vitenson,** Artist in Residence, with the Amernet Quartet, Department of Music, effective August 12, 2002.

   Resignations: Robert Mullen, Professor, Department of Communication, effective December 31, 2002.

   Leaves Paid/Unpaid: Denice Robertson, Lecturer, Center for Integrative Natural Science and Mathematics, unpaid leave without benefits, beginning January 1 through May 17, 2003.

2. **Temporary Faculty Appointments for 2002-03:**
   College of Arts and Sciences: Art - William Dickson (Academic Year) and Cal Kowal (Spring Semester); **Literature and Language** - Tiffany Hinton (Academic Year), Melissa Ibarra (Academic Year), and Martha Viehmann (Spring Semester).

   College of Professional Studies: Communication: Eric Meiners (Academic Year).

3. **Division Name Changes in the W. Frank Steely Library:** The Associate Provost for Library Services requested that two divisions within the W. Frank Steely Library be renamed: (1) The Steely Library Automation Division be renamed to **Systems Division**. This change brings the name into alignment with current terminology in use in the library field; and (2) The Steely Library Reference Division be changed to **Research and Instructional Services**. The new name is much less restrictive and more accurately reflects the range of services. This recommendation received the endorsement of the W. Frank Steely Library faculty, the Associate Provost for Library Services, the Provost and the President.

4. **Non-Academic Personnel Actions:** Approval was recommended for the following categories of non-academic personnel actions which occurred between September 7, 2002, and October 21, 2002: Activations; Transfers; Reassignments, Reclassifications; Re-Engineering, Title Changes; Temporary/Contract to Regular and Departures.

5. **Revision of the *NKU Administrative Regulations* governing the University Space Committee:** This Administrative Standing Committee is amended to provide additional representation as follows: Student; Enrollment and Financial Planning; and Assistant Vice President for Facilities Management (ex-officio). These changes recognize the most recent organizational restructuring. It also assures that student representation is included.

6. **Revisions to the NKU Administrative Regulations (AR-I-1.0-8 and AR-III-2.0-6)** In continuation of the legal compliance audit, the Office of the Vice President for Legal Affairs and General Counsel submits the following documents for Board approval. Recommendations have been made to correct title changes, organizational structure, and committee composition: (1) AR-I-1.0-8 (Director of Budget). This position has been restructured The Board of Regents upon the recommendation of the President appoints the University's Vice President for Enrollment & Financial Planning. Among other areas of leadership and duties, the Vice President for Enrollment & Financial Planning is directly responsible to the President and provides leadership in the University's enrollment management and strategic funding initiatives consistent with the University's mission and (2) AR-III-2.0-6 (Pre-medical and Pre-Dental Review Board). The Review Board no longer reviews pre-dental students. The current regulation should be replaced in its entirety. The Pre-Medical Review Board reviews progress of upper-division pre-medical students at Northern Kentucky University, assists the students in preparing for making application, and provides a written evaluation of their records to the appropriate professional schools during the application process.

7. **Authorize access to the University Center Reserve Fund to support renovation:** The University Center was built in 1977 to serve 5,000 students. The fund has generated over $3.3M during the past eight years, and now carries a fund balance of approximately $3M, with the difference being applied to various facility improvements and renovations of the current University Center. The fee generates approximately $430K annually.

   Regent Herschede expanded on the fact that NKU students have been paying into the University Center reserve fund since 1992 without receiving direct benefits from the fee. While it remains a top university priority to sustain the principal of this reserve fund and construct a new Student Union, it is also appropriate to provide currently enrolled students a direct benefit from this fund. Operating under this philosophy, the Student Government Association approved resolutions advocating the limited use of University Center Reserve funds to cover the cost of two projects that would provide immediate benefits to currently enrolled students, faculty, staff, and alums. It is hoped to have these facilities available for use by fall 2003.

   A motion was made by Regent Herschede and seconded by Regent Zapp to authorize access to the University Center Reserve Fund in the amount of $710,000 to support the renovation and equipment for the following

projects: University Center first floor (former Delta Airlines calling center) and Campus Recreation Fitness Center. **Motion carried.**

8. **Acceptance of Major private gifts during period June 21, 2002 - October 31, 2002:** A motion was made by Regent Griffin and seconded by Regent Butler to accept major private gifts totaling $1,957,653 received during the period June 21, 2002 through October 31, 2002, as reported in support of Northern Kentucky University priorities. **Motion carried.**

9. **Approval of George Rieveschl, Jr. as the December 2002 Commencement Honorary Degree recipient:** President Votruba indicated that Dr. Rieveschl is well known for his philanthropic work and for his support of the arts in the metropolitan region. One of the wings of the new Science Center is named in his honor due to his financial commitment to NKU. He had a distinguished career in higher education as well as in the private sector with the pharmaceutical company Parke-Davis. Dr. Rieveschl's laboratory research produced the well known and widely used antihistamine known as *Benadryl*. A motion was made by Regent Pogue and seconded by Regent Herschede to approve George Rieveschl, Jr. Ph.D. as the recommended candidate for an Honorary Degree of Doctor of Science. The degree will be conferred at the December 14, 2002, NKU Commencement ceremonies at U.S. Bank Arena in Cincinnati, Ohio. **Motion carried.**

D. **Executive Session:**
At 1:40 p.m., a motion was made by Regent Downing and seconded by Regent Zapp to call an Executive Session and that Dr. Penson remain to discuss personnel issues. **Motion carried.**

A motion was made at 4:55 p.m. by Regent Griffin and seconded by Regent Pyles for the meeting to reconvene. **Motion carried.**

1. A motion was made by Regent Downing and seconded by Regent Pettit to authorize the Chairman and the President to sign the President's four-year University contract, beginning July 1, 2002, and terminating June 30, 2006. **Motion carried.**

With no further business, at 4:57 p.m., a motion was made by Regent Herschede and seconded by Regent Butler to adjourn. **Motion carried.**

Lilla F. Losey
Administrative Assistant
Office of the President

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on November 13, 2002, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary of the Board of Regents

AGENDA

Northern Kentucky University
Thursday, December 26, 2002 - 9:00 a.m.
University Center Ballroom

- Call to Order
- Roll Call

A. Executive Session to discuss Personnel Issues (Sidebottom)

Board of Regents Special Meeting
Northern Kentucky University
University Center Ballroom
December 26, 2002

The special meeting of the Board of Regents was called to order at 9:08 a.m. on Thursday, December 26, 2002, by Chair Wilson.

Roll Call: Chuck Brown, Prince Brown, Jr., Martin Butler, Frank Downing, Joyce Griffin, Kathryn Herschede, Charles Pettit, Betty Pogue, Anna Dale Pyles, Brenda Wilson, and Robert Zapp.

Others Present: James C. Votruba, Sara Sidebottom, Rick Meyers, Chris Cole, Mimi Assanuvat, Don Gorbandt, and Lilla Losey.

At 9:15 a.m. a motion was made by Regent Griffin and seconded by Regent Downing to call an Executive Session to discuss personnel issues. **Motion carried.**

At 11:50 a.m. a motion was made by Regent Griffin and seconded Regent Zapp to reconvene. **Motion carried.**

A motion was made by Regent Pyles and seconded by Regent Pogue to appoint an Ad Hoc Committee to review the relationship between the University and the NKU Foundation. Regents Frank Downing (Chair), Robert Zapp, Chuck Brown, and Martin Butler will serve as committee members. **Motion carried.**

A motion was made by Regent Prince Brown and seconded by Regent Pogue to instruct the President to disclose the recommendations to the NKU Foundation Board Executive Committee on behalf of the NKU Board of Regents. **Motion carried.**

With no further business, at 11:55 a.m., a motion was made by Regent Herschede and seconded by Regent Pettit to adjourn. **Motion carried.**

Lilla F. Losey
Administrative Assistant
Office of the President

Joyce E. Griffin
Secretary to the Board of Regents

6:00 p.m. January 14, 2003- Regent Dinner at President's Home (Lakeside Park, KY)
9:30 a.m. January 15, 2003- Joint meeting of Pol.and Fin. Committee (UC Ballroom)
11:45 a.m. January 15, 2003- Luncheon (President's Dining Room, #105 Univ. Ctr.)
12:45 p.m. January 15, 2003 -Regent Photo (UC Ballroom)
1:00 p.m. January 15, 2003- Board of Regents Meeting (UC Ballroom)
(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events.)

AGENDA

Northern Kentucky University
Wednesday, January 15, 2003 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes: November 13, 2002, regular meeting and December 26, 2002, special meeting.
Presidential Comments

A. **Joint Finance and Policy Committee Reports**
   1. Revisions to the Administrative Regulations (Sidebottom)
   2. Academic Affairs Overview (Redding)
   3. University Budget Update (Hunter)

B. **Presidential Reports**
   1. Facilities Management (Baker)
   2. Research, Grants, and Contracts (Beere)
   3. Comprehensive Campaign Report (Read)
   4. Quarterly Financial Report (Baker)
   5. Student Affairs Update (Shanley)

C. **Presidential Recommendations**
   *1. Non-Academic Personnel Actions
   *2. Academic Affairs Personnel Actions
   *3. Temporary Faculty Appointments for 2002-2003
   *4. Revision to the Administrative Regulations AR-II-5.0-2.
   *5. NKU Organizational Structure
   6. Acceptance of private contributions during the period November 1, 2002-December 31, 2002 (as of January 3, 2003)

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, January 14, 2003).**

D. **Executive Session**: (Sidebottom)

E. **Other**:(Calendar events)- Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule for 2003:**

March 19	September 24
May 14	November 12
July 9	

2. **Council on Postsecondary Education Meeting Schedule for 2003:**

February 3	July 28
March 24	September 21-22 (Trusteeship Conference)
May 19	November 3

3. **Upcoming Activities for 2003:**
   - January 16 - Martin Luther King Celebration (12:15pm/Budig Theatre)
   - February - Black History Month Events
   - February 21 - Alumni Awards Banquet (6:30pm/Cincinnati Airport Marriott)
   - February 22 - Homecoming Basketball Games (Women/5:30pm-Men 7:45pm)
   - April 22,6:00pm - Lincoln Awards Banquet (Marriott at RiverCenter, Covington)
   - May 17 - Spring Commencement Exercises (10:00 am/US Bank Arena)
   - May 22 - 10:00am-1:00 pm - NKU Foundation Luncheon/Annual Meeting (Highland Heights)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
January 15, 2003

The regular meeting of the Board of Regents was called to order at 1:08 p.m. on Wednesday, January 15, 2003, by Chair Wilson.

Invocation was read by Regent Zapp.

Roll Call: Chuck Brown, Prince Brown, Jr., Martin Butler, Frank Downing, Joyce Griffin, Kathryn Herschede, Charles Pettit, Betty Pogue, Anna Dale Pyles, Brenda Wilson, and Robert Zapp.

Others Present: James C. Votruba, Robin J. Crigler, Mike Baker, Carole Beere, Debbie Read, Gerald Hunter, Sara Sidebottom, Rogers Redding, Mark Shanley, Mimi Assanuvat, Lilla Losey, Zane Harris, Bill Farro, Joel Robinson, Steve Meier, Jeff Butler, Darlene Hantzis, Mary Lepper, Gary W. Graff, Ken Ramey, Russ Kerdolff, H.E. (Bud) Pogue, Gary Pratt, Arne Almquist, Mary Ryan, Don Gorbandt, Mary Paula Schuh, Joe Wind, Joe Ruh, Jeff Martin, Gail W. Wells, Mike Carrell, Gerry St. Amand, Cheryl L. Nunez, Kim Taylor, Jerry D. Smith, Patrick Moynahan, Jen Vorholt-NKU *Northerner*, Melissa Moon, J. Michael Thomson, Rick Meyers, Chris Cole, and Mike Mimms.

A motion was made by Regent Pogue and seconded by Regent Pettit to approve the minutes of the November 13, 2002, regular meeting of the Board of Regents. **Motion carried.**

A motion was made by Regent Butler and seconded by Regent Zapp to approve the minutes of the December 26, 2002, special meeting of the Board of Regents. **Motion carried.**

Presidential Comments:

- The 2002 Vision, Values, and Voices process from last fall was a two-month process of conversations taking place on campus and throughout the region. Both the President and Chair Wilson agreed that what was heard overall at the conversations were comments of great pride and a strong sense of support for the University. Chair Wilson also commented that the conversations included tremendous personal support for the President as the leader and for the Vice Presidential team for their campus interaction and involvement.

  In March the University will bring the next five-year plan to the Board for review and approval.

- Henry E. (Bud) Pogue, IV, Interim President of the NKU Foundation, was welcomed to the meeting. Both he and the Executive Committee of the NKU Foundation were commended for their part in the smooth transition since the controversial resignation of Jim Alford as the Foundation President. The Board of Regents subcommittee has begun meeting with the Foundation leadership to focus on the relationship between the University and the NKU Foundation as we look to the future. Best practices are being reviewed of other Kentucky Universities and the Association of Governing Boards of Universities & Colleges on how to insure a smooth and adequate transition. With the current Comprehensive Capital Campaign, the University has become more sophisticated in the University's approach to private donor support under the leadership of Vice President Read and the University Development staff.

Mr. Pogue made a short presentation to the Board on the aforementioned preliminary meeting between the two Boards indicating that the meeting purpose was to initiate a course of action that will result in a more definitive and productive relationship between the University and the Foundation.

President Votruba indicated that Vice President Baker and his staff are also looking at the bookkeeping practices of the University Foundation with no inappropriate use of funds expected.

A. Joint Finance and Policy Committee Reports:

1. **Revisions to the Administrative Regulations:** Report was given by Regent Griffin, Policy Committee Chair.

   Vice President Sidebottom reported on the change to the Administrative Regulations: AR-II-5.0-2, Release of Semester Enrollment Information To the Public Media and to the University Board of Regents. The regulation

has been changed to reflect the current office title: "The Office of University Relations" should be replaced with "The Office of Marketing and Communications."

2. **Academic Affairs Overview:** Report was given by Regent Griffin, Policy Committee Chair.

Provost Rogers Redding gave a report on the following areas in the division of Academic Affairs:

- **Major Program and Support areas:** Colleges--Arts and Sciences, Business, Education, Professional Studies and Law; Outreach and Graduate Studies--Center for Civic Engagement, Grant County Program, and METS; Academic Support Areas--International Programs, Faculty Development Center, Honors Program, and First-Year Programs; and Technical Information Support--Information Technology and Steely Library.
- **Centers and Institutes:** Center for Integrative Natural Science and Mathematics, Institute for Freedom Studies, Fifth/Third Bank Entrepreneurship Institute, and Scripps Howard Center for Civic Engagement.
- **Academic Headlines for 1998-2002:** New Science Center, new College of Education, 60 new faculty positions, growth in Honors Program, Mayerson Student Philanthropy Project, enrollment growth from 11,000 to 14,000; development of the Academic Strategic Plan; 6 new undergraduate degrees; and 4 new graduate degrees.
- **Initiating the University's first on-line degree completion program--Bachelor of Organizational Leadership:** A collaborative effort of KCTCS and the Kentucky Virtual University; this program is aimed at students who have completed their associate degree with KCTCS and want to complete their Bachelor's degree online. Target starting date: June 2003.

Additionally, a new policy has been initiated for the appointment of departmental chairs. It is a 4 year term with the possibility of a second 4 year term appointment. Under special circumstances, a possible third 4 year term.

3. **University Budget Update:** Report was given by Regent Chuck Brown, Finance Committee Chair.

The State has a significant budget problem with approximately $500M underfunding. In 1997, the Council on Postsecondary Education (CPE) began a process that eventually established that Northern Kentucky University will need an additional $26,179,205 in state funding added to its base to compare favorably with universities serving a similar number of students, in similar communities, around the country. NKU student enrollment of nearly14,000 in Fall 2002, is growing at nearly 1,000 students per year, making NKU one of the fastest growing universities in the Commonwealth. The following points highlight the historic impact of state underfunding:

- Dependence on tuition and fees for approximately 51% of its public funding compared to other Kentucky state-supported universities that range between 27-39%;
- Among Kentucky's eight state public universities, NKU receives $3,835 per full-time equivalent (FTE) student. The least amount of state general fund revenue support; and
- Among 20 national benchmark universities in state resources, NKU is last.

Administrative decisions driven by the historic underfunding:

- A heavy and increasing reliance on part-time faculty;
- Reduced number of Library resources available to students;
- Postponement of additional staff and support to handle web-site management, enhance student customer service, along with other important investments necessary to address the double digit growth over the last five years; and
- Continuing to operate with facilities that were built to support a student enrollment of 5,000.

FY 2002-04 Legislative Activity on NKU's Operating Budget: In preparation for the 2002 legislative session, the CPE recommended $5.4M to begin addressing NKU's $26M state supported benchmark funding objective. During the 2002 legislative session, the CPE's budget recommendation for NKU was reduced to include benchmark funding in the amount of $2.6M during the second year of the 2002-04 biennium.

It is the goal of the University to maintain the quality of teaching and services provided by the University to the extent of funding available. All options will be reviewed and decisions will be shared this spring.

B. Presidential Reports:

1. **Facilities Management:** Vice President Baker highlighted the following projects/areas of interest:

   - **Old Science Building renovation:** Planning continues and is the University's top priority need. The project may come off-line if it fails to get approval at this Legislative session. Renovation may not occur until Spring 2005.
   - **New Residence Hall Project:** Tremendous progress is being made on the facility. The project is on schedule and within budget. Completion is expected by July, 2003.
   - **METS Facility:** Expected occupancy is in the next 30-60 days. Ken Ramey, Assistant Vice President for Auxiliary Services, was commended for his efforts in getting this lease approved through Frankfort.
   - **Welcome Center:** The contract was awarded at the end of December and is expected to open by late spring. The Center is located in Steely Library.
   - **US 27 Development Project:** In late December the University transferred property to the NKU Foundation. Any development plans will be approved by the University.
   - **Fitness Center:** With the hire of a new designer in late December, the project is now ready to go forward.

   The University is continuing to work with the Transportation Cabinet on the roadway systems consistent with the University Master Plan that the Board of Regents approved in September, 2000.

2. **Research, Grants, and Contracts:** The report summarized the successful proposals with the amount of award for each proposal for NKU faculty and staff from November 1, 2002 through December 31, 2002. There were no further comments on the report as submitted.

3. **Comprehensive Campaign Report:** Vice President Read reported that the Campaign is going well. The resources committed in support of NKU's campaign priorities are over $31M as reported from July 1, 1999 - December 31, 2002 (as of January 3, 2003). There was a significant increase in resources committed in fiscal year July 1, 2002 through December 31, 2002 totaling $5,378,219. The Campaign resources for the last month has been at $3M largely due to NKU alumni giving.

4. **Quarterly Financial Report:** Vice President Baker reported that the University is operating within the budgeted revenues and expenditures which could change if there are additional budget cuts incurred. The Quarterly Financial Report depicts the financial activity of the University as of December 31, 2002 as compared to the annual budget and the prior year's activity.

5. **Student Affairs Update:** Vice President Shanley reported that student life on campus was growing and vibrant as a result of collaborative efforts across the various University divisions. Highlights of the report included:
   - September 11 Memorial Service -- This was the largest event in the history of the University with over 3,000 persons in attendance.
   - Expanded Fitness Center -- A collaborative partnership was developed to reallocate space in the Albright Health Center and transfer a full-time staff member to upgrade the fitness/wellness programming for the expanded facility.
   - Athletic Training Program -- The facility modifications are underway and the academic major will be offered starting fall 2003. Without the new major, changes in certification requirements would have eliminated the current student athletic training program that provides critical support to NKU's athletic program as well as to local high schools.
   - NCAA Top Eight Award -- Special recognition of NKU student athletes continues with the recent naming of Michelle Cottrell, Women's Basketball, to the NCAA Top Eight Award. The Top Eight Award is the most prestigious award offered by the NCAA and is based on academic and athletic accomplishments. Kevin Listerman, NKU Men's Basketball, received this award in 2001. Only four student athletes from Kentucky have received this award since it was established in the mid-1970s and two are from NKU.

C. **Presidential Recommendations:**

Consent Agenda Items: A motion was made by Regent Zapp and seconded by Regent Prince Brown to approve the following Presidential Recommendations as listed below (1-5). **Motion carried.**

1. **Non-Academic Personnel Actions:** Approval was recommended for the following categories of non-academic personnel actions which occurred between October 22, 2002, and December 6, 2002: Activations; Temporary/Contract to Regular; Transfers; Reassignments, Reclassification, Re-Engineering, Title Changes; Departures; and Retirees.

2. **Academic Affairs Personnel Actions:**
Faculty Appointments: Rachelle Bruno, Acting Dean in the College of Education, effective November 1, 2002 - June 30, 2003; and **Lynne Smith**, Interim Associate Dean in the College of Education, effective January 1, 2003 - May 15, 2003.

Retirements (through the Retirement Incentive Program, effective with the 2003-2004 academic year): Michael C. C. Adams, Professor in the Department of History and Geography and NKU Regents Professor; **Susan S. Adams,** Professor in the Department of Literature and Language; **Glenellyn J. Barty**, Assistant Professor in the Department of Accountancy; **Lawrence R. Borne,** Professor in the Department of History and Geography; **Robert E. Collier,** Associate Professor in the Department of Literature and Language; **John P. DeMarcus,** Professor in the Department of History and Geography; **Sarah H. Dessner,** Associate Professor in the Department of Nursing and Health Professions; **Lynn A. Ebersole,** Associate Professor in the Department of Biological Sciences; **Martin Hils**, Department of Health, Counseling and Testing; **Philip Koplow,** Professor in the Department of Music; **Nancy D. Martin,** Professor in the Department of Music; **Peter E. Moore,** Associate Professor in the Department of Mathematics and Computer Science; **Beverly Reno,** Associate Professor in the Department of Nursing and Health Professions; and **Dennis Sies,** Associate Professor in the Department of Political Science.

Leaves Paid/Unpaid (effective January 1, 2003 - May 17, 2003): Elizabeth A. McMillan-McCartney, Lecturer in the Developmental Mathematics Program, half-time leave at half salary with full benefits; and **Denice Robertson,** Lecturer in the Department of Center for Integrative Natural Science and Mathematics, unpaid leave with benefits.

Resignations (effective June 30, 2003): William Oliver, from the position of Professor and Chair of the Department of Chemistry to the Position of Professor in the Department of Chemistry.

3. **Temporary Faculty Appointments for 2002-03:**

College of Arts and Sciences: Department of Music: Rebecca Kreider, Spring Semester; and **Department of Theatre:** Daryl L. Harris, Spring Semester.
College of Professional Studies: Department of Social Work and Human Services: Jeri S. Mead, Academic Year.
Vice Provost: Honors Program: Anne Braden, Academic Year.

4. **Revision to the Administrative Regulations AR-II-5.0-2:** In continuation of the legal compliance audit, the Office of the Vice President for Legal Affairs and General Counsel submitted the following document for Board approval: NKU Administrative Regulation AR-II-5.0-2, Release of Semester Enrollment Information to the Public Media and to the University Board of Regents. The regulation contains an incorrect title. The recommendation is to replace "The Office of University Relations" with "The Office of Marketing and Communications."

5. **NKU Organizational Structure:** The University organizational structure reflects all administrative changes to date. The reporting lines listed are for Director level and above but include: individuals who directly report to the President; the Associate Director for Financial Planning; Departmental Chairs under Academic Affairs;

Coaching areas under Intercollegiate Athletics; and the Manager of the Bookstore/Follet which is a separate entity of the University.

6. **Acceptance of private contributions:** A motion was made by Regent Pogue and seconded by Regent Griffin to accept contributions totaling $3,185,700 received by the NKU Foundation, Inc. for the benefit of Northern Kentucky University during the period November 1, 2002 through December 31, 2002 (as of January 3, 2003). **Motion carried.**

D. **Executive Session:**
At 2:10 p.m. a motion was made by Regent Downing and seconded by Regent Zapp to call an Executive Session and that Provost Redding and Vice President Sidebottom remain to discuss personnel issues. **Motion carried.**

A motion was made at 4:05 p.m. by Regent Griffin and seconded by Regent Pogue for the meeting to reconvene. **Motion carried.**

Chair Wilson reported that no action was taken during Executive Session. With no further business, at 4:06 p.m., a motion was made by Regent Herschede and seconded by Regent Zapp to adjourn. **Motion carried.**

Lilla F. Losey
Administrative Assistant
Office of the President

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of its regular meeting held on January 15, 2003, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary of the Board of Regents

4:00-6:30p.m. March 18, 2003- Special Policy and Finance meeting (UC Ballroom)
7:15 p.m. March 18, 2003- Regent Dinner at President's Home (Lakeside Park, KY)

8:30 a.m. March 19, 2003- Joint meeting of Policy and Finance Committee (UC Ballroom)
11:45 a.m. March 19, 2003- Luncheon (President's Dining Room, #105 Univ. Ctr.)
1:00 p.m. March 19, 2003- Board of Regents Meeting (UC Ballroom)
(Regents – Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events.)

AGENDA
Northern Kentucky University
Wednesday, March 19, 2003 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes: January 15, 2003, regular meeting.
Presidential Comments

A. **Joint Finance and Policy Committee Reports**:
1. 2003-2008 Strategic Plan
2. Tuition and Fees (Hunter)
3. Administration and Finance Overview (Baker)
4. Revisions to the NKU Administrative Regulations (Sidebottom)

B. **Presidential Reports**:
1. Facilities Management (Baker)
2. Comprehensive Campaign Report (Read)
3. Research, Grants, and Contracts (Beere)
4. Retirements through the Retirement Incentive Program (Reichardt)
5. 2003-04 Faculty Development Awards: Project Grants (Reichardt)
6. 2003-04 Faculty Development Awards: Summer Fellowships (Reichardt)

C. **Presidential Recommendations**:
*1. Non-Academic Personnel Actions
*2. Academic Affairs Personnel Actions
*3. Temporary Faculty Appointments for 2002-2003
*4. Emeritus Status
*5. Promotion, Tenure, Continued Probation, Continued Probation with Conditions to be Removed, Terminal Reappointments and Non-Reappointments.
*6. Sabbatical Leaves
*7. Regents Professor Renewals
*8. Amendments to the *Chase Faculty Policies and Procedures Handbook*
*9. New Degree Program, Bachelor of Arts in Public Relations in the College of Professional Studies
*10. Authorize a permanent access easement on the Covington Campus to the City of Park Hills
*11. Ratify the February 2003 version of the Student Government Association Constitution
*12. Revision to the Administrative Regulations: Changing The Council on Higher Education (CHE) to Council on Postsecondary Education (CPE) in all affected regulations.
13. Creation of NKU Governing Regulations related to the NKU Foundation
14. Adopt the 2004-2010 Capital Plan
15. Acceptance of private contributions during the period of December 31, 2002 through February 28, 2003
16. Authorize the planning, funding, and construction of a new Student Union building
17. Tuition and Fees
18. Approval of the University's Five-Year Strategic Plan
19. Honorary Degree Candidate - conferred at the May 17, 2003, Commencement

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, March 18, 2003).**

D. **Regent Recommendation:**
1. Approve changes to the NKU Regent Bylaws (Regent Downing)

E. **Executive Session**: (Sidebottom/Baker)

F. **Other**: (Calendar events)- Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule for 2003:**

May 14	September 24
July 9	November 12

2. **Council on Postsecondary Education Meeting Schedule for 2003:**

March 24	September 21-22 (Trusteeship Conference)
May 19	November 3
July 28	

3. **Upcoming Activities for 2003:**
   - April 22, 6:00 pm - Lincoln Awards Banquet (Marriott at RiverCenter, Covington)
   - April 26, 9:00 am - NKU Women's Walk (NKU Soccer Field)
   - May 17 - Spring Commencement Exercises (10:00 am/US Bank Arena)
   - May 22, 10:00 am-1:00 pm - NKU Foundation Luncheon/Annual Meeting (Highland Heights -Medical Research Labs)
   - June 16 - President's Golf Outing (Triple Crown Country Club)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
March 19, 2003

The regular meeting of the Board of Regents was called to order at 1:08 p.m. on Wednesday, March 19, 2003, by Chair Wilson.

Invocation was read by Regent Pettit.

Roll Call: Chuck Brown, Prince Brown, Jr., Martin Butler, Frank Downing, Joyce Griffin, Kathryn Herschede, Charles Pettit, Betty Pogue, Anna Dale Pyles, Brenda Wilson, and Robert Zapp.

Others Present: James Votruba, Robin Crigler, Mike Baker, Carole Beere, Deborah Read, Gerald Hunter, Sara Sidebottom, Mark Shanley, Paul Reichardt, Mimi Assanuvat, Angie Gabbard, Lilla Losey, Zane Harris, Bill Farro, Jeff Martin, Joe Ruh, Ken Ramey, Russ Kerdolff, Mary Paula Schuh, Jordon Kellogg-NKU *Northerner*, William Croyle, Gerry St. Amand, Mike Carrell, Mike Philipps-*Kentucky Post*, Nancy Perry, Misty Wills, Jane Meier, Joe Wind, Noah Meeks, Lovingson Mtongwiza, Bryce Roades, Joe Myers, James Pollitt, Maureen Woods, Viki Kimball, Mary Lepper, Gary W. Graff, Jeff Butler, Chris Pace, Kent Kelso, Rick Meyers, Chris Cole, Don Gorbandt, and Mary Ryan.

A motion was made by Regent Prince Brown and seconded by Regent Zapp to approve the minutes of the January 15, 2003, regular meeting of the Board of Regents. **Motion carried.**

Presidential Comments:

- The recent State Legislative session has been very difficult. Associate Vice President Joe Wind and the student body advocates, particularly Student Regent Herschede, were thanked for their work in support of the University. The budget for NKU includes: a 1.6% budget reduction for this year and reflects the acknowledgment of benchmark funding (the average reduction was 2.6% for all the state universities); a $1.8M increase in our recurring base budget; $400K for the new economy/I-Net; and a $9M agency bond authority for a new two thousand vehicle garage.
- Vision Values and Voices (VVV) Process/2003-2008 Strategic Plan: This region is very supportive of the University. The challenge is to recognize and match the public need and aspirations with the University's financial and programmatic capacity to meet those needs. The VVV panel of University students, faculty, and staff were thanked for their efforts throughout this process.
- Vice President Mike Baker will retire from the University this spring. He began his long history at NKU as an accountant in 1973.
- Given the uncertain times that we confront both at home and abroad with the impending war with Iraq, the University is taking special measures to insure: campus security for all; the protection of those values that undergird both personal and academic freedom; respect and dignity for all; reassuring our international students; and emergency procedures.

A. Joint Finance and Policy Committee Reports:

1. **2003-2008 Strategic Plan:** (Report was given by Regent Griffin, Policy Committee Chair)
   President Votruba gave a presentation on the University's five-year planning document, "Strengthening Our Capacity to Serve: Northern Kentucky University's 2003-2008 Strategic Agenda." There were over thirty-four conversations with over 250 community leaders and 300 faculty, students and staff involved in the Vision, Values, and Voices process which led to the development of the next five-year planning document.

2. **Administration and Finance Overview:** (Report was given by Regent Griffin, Policy Committee Chair)
   Vice President Baker gave a presentation on the operation of the Administration and Finance division. He highlighted the various departments reporting within the division and their accomplishments over the past few years. Of significant note were: the expansion of benefits and services to our faculty and staff, including the five-year market pay initiative; the Comptroller's office exemplary record for clean audit opinions, including the conversion to GASB standards (there has not been a material audit finding in the past twenty-seven years); the

significant role Business Operations and Auxiliary Services plays in providing services to our students; the significant enhancement of campus facilities, including campus beatification; and the completion of the Master Plan with future plans to construct: (a) a student union, (b) special events center, (c) sixteen hundred car parking deck structure, (d) renovation of the existing Science Center, and (e) enhancement of both the lake area and central plaza. Mr. Baker concluded by thanking his staff for their dedicated service. He then focused on challenges ahead, with the most significant being the space shortage on campus.

3. **Revisions to the NKU Administrative Regulations:** (Report was given by Regent Griffin, Policy Committee Chair)
Vice President Sidebottom discussed the change to the Administrative Regulations pertaining to the incorrect title of the Council on Higher Education (CHE). All affected Administrative Regulations shall be changed to reflect the correct title, Council on Postsecondary Education (CPE).

4. **Tuition and Fees:** (Report was given by Regent Chuck Brown, Finance Committee Chair).
Vice President Hunter reviewed the documentation pertaining to the tuition increase for the next fiscal year. The CPE has indicated that NKU is $26M underfunded. With respect to where the University stands with the Kentucky public universities for the year 2001-02, it was funded at 69% of the median of $6,023. NKU was funded at $3,835 per FTE student. In comparison of Kentucky public universities benchmark-funding objectives for 2001-02, NKU is the most underfunded at 63%.

The overall base cut of approximately $1.3M or 3.2% will have a recurring impact on the University's funds. The impact of General Assembly Budget Conference Committee on NKU: (1) NKU will receive a $1.8M increase in FY 2003-04, but net of the budget cut, the increase is approximately $500K; (2) $400K non-recurring for New Economy (INET); (3) $2.6M for "Bucks for Brains;" and (4) $9M bond authorization for parking garage.

Other increases will include: parking fee increase over two years from ($46 to $96); Housing - room rental, double occupancy, increase 5% ($56) per semester; Dining - basic meal plan increase of 3% ($30) per semester.

These increases in revenue will be utilized to: address the approximately $6.8M non-discretionary investments that have been identified by the administration; fund essential investments (construct a new student union); and fund strategic plan investments.

Chair Wilson commented on the joint meeting of the Finance and Policy committee held yesterday evening, March 18, in the University Center Ballroom. The meeting was held in Executive Session and covered personnel and legal issues.

B. Presidential Reports:

1. **Facilities Management:** Vice President Baker had no further comments on the report as submitted.

2. **Comprehensive Campaign Report:** Vice President Read reported that the Campaign resources committed in support of NKU's campaign priorities are at approximately $35M. Resources committed in fiscal year July 1, 2002 through February 28, 2003, totaled approximately $9M. Resources committed from campaign inception July 1,1999 through February 28, 2003, total $34,786,748. The faculty, staff, alumni, administration, and corporate partners were thanked for their generous participation in the Campaign, particularly since the national giving rate is very low at this time.

3. **Research, Grants, and Contracts:** Associate Provost Beere had no further comments on the report as submitted. The report summarizes the successful proposals with the amount of each award for NKU faculty and staff from January 1, 2003 thru February 28, 2003.

4. **Retirements through the Retirement Incentive Program:** Vice Provost Reichardt pointed out that there

were twelve faculty in this report approved to retire through the Retirement Incentive Program. These faculty have signed their final Retirement Incentive Program Agreement, effective with the 2003-2004 academic year. These are the last faculty to be signed under that program: Michael C.C. Adams, Professor in the Department of History and Geography and NKU Regents Professor; Susan S. Adams, Professor in the Department of Literature and Language; Robert E. Collier, Associate Professor in the Department of Literature and Language; John P. DeMarcus, Professor in the Department of History and Geography; Sarah H. Dessner, Associate Professor in the Department of Nursing and Health Professions; Lynn A. Ebersole, Associate Professor in the Department of Biological Sciences; Martin Hils, Department of Health, Counseling and Testing; Philip Koplow, Professor in the Department of Music; Nancy D. Martin, Professor in the Department of Music; Peter E. Moore, Associate Professor in the Department of Mathematics and Computer Science; Beverly Reno, Associate Professor in the Department of Nursing and Health Professions; and Dennis Sies, Associate Professor in the Department of Political Science.

5. **2003-04 Faculty Development Awards: Project Grants:** Vice Provost Reichardt commented that these awards are to encourage professional growth through financial support for independent research. The University recognizes the role of research as an integral part of professional activity of the faculty. Faculty Project Grants are intended to provide funds for research equipment and activities that are not available through program budgets.

6. **2003-04 Faculty Development Awards: Summer Fellowships:** In lieu of teaching over the summer, Vice Provost Reichardt explained that these programs were designed to encourage faculty to engage in individual research, scholarly writing, and creative artistic projects and to share the results of their efforts with the broader University community. Both awards help to recruit and retain faculty and are particularly important for faculty in the early years of their teaching career.

C. **Presidential Recommendations:**
Consent Agenda Items: A motion was made by Regent Zapp and seconded by Regent Downing to approve the following Presidential Recommendations as listed below (1-12). **Motion carried.**

Regent Downing suggested a letter of commendation for those recommended for Emeritus status (C-4). Their history of service to the University has been outstanding.

1. **Non-Academic Personnel Actions**: Approval was recommended for the following categories of non-academic personnel actions which occurred between December 6, 2002, and March 3, 2003: Activations; Temporary/Contract to Regular; Transfers; Reassignments, Reclassification, Re-Engineering; Title Changes; Promotions; Departures; and Retirees.

2. **Academic Affairs Personnel Actions**:

Faculty Appointments: **Gary Eippert**, Lecturer (non-tenure track renewable) in the Department of Educational Specialties, College of Education, effective January 8, 2003; **Zachary P. Hart**, Assistant Professor in the Department of Communication, College of Professional Studies, effective August 18, 2003; **Trey Morgan**, Assistant Professor in the Department of Educational Specialties, College of Education, effective January 13, 2003; **Sheri Myers**, Assistant Professor and Extended Collections Services Librarian, W. Frank Steely Library, effective February 3, 2003; and **Jill Niemeyer**, Lecturer (non-tenure track renewable) in the Department of Educational Specialties, College of Education, effective January 13, 2003.

Retirements: **James F. Hopgood**, Professor in the Department of Sociology, Anthropology, and Philosophy, College of Arts and Sciences, effective May 16, 2003.

3. **Temporary Faculty Appointments for 2002-2003**:

College of Education: **Department of Elementary, Middle, and Secondary Programs**: Polly Page, Spring Semester.

College of Professional Studies: **Department of Nursing and Health Professions**: Peggy Cooper, Spring Semester.

Vice Provost: **Department of Developmental Mathematics**: Aric Schadler, Spring Semester.

4. Emeritus Status: **Michael Adams**, NKU Regents Professor of History and Professor of History in the Department of History and Geography; **Susan Adams**, Professor in the Department of Literature and Language; **Robert E. Collier**, Associate Professor in the Department of Literature and Language; **John DeMarcus**, Professor in the Department of History and Geography; **Philip Koplow**, Professor in the Department of Music; and **Nancy Martin**, Professor in the Department of Music.

5. **Promotion, Tenure, Continued Probation, Continued Probation with Conditions to be Removed, and Terminal Reappointments and Non-Reappointments**:

Promotion beginning with the 2003-2004 academic year: **Art:** Lisa Jameson; **History and Geography**: Jonathan Reynolds; **Literature and Language**: Kristine Yohe; **Mathematics and Computer Science**: Kevin Kirby; **Political Science**: Melissa Moon; **Sociology, Anthropology, and Philosophy**: Joan Ferrante; **Educational Specialties**: Valeria Amburgey; **Communication**: Yasue Kuwahura; **Nursing and Health Professions**: Margaret Anderson and Mary Gers; **Chase College of Law**: Richard Bales, and **Chase College of Law Library:** Claudia Zaher.

Tenure beginning with the 2003-2004 academic year: **Art**: Lisa Jameson; **History and Geography**: Jonathan Reynolds; **Literature and Language**: Kristine Yohe; **Political Science**: Melissa Moon; **Nursing and Health Professions**: Mary Gers and Ann Keller; **Chase College of Law**: Richard Bales, and **Chase College of Law Library:** Claudia Zaher.

Continued Probation for the 2003-2004 academic year under the conditions of the *Faculty Policies and Procedures Handbook*: **Art**: Kevin Muente; **Biological Sciences**: Charles Acosta, Richard Durtsche, Patrick Schultheis, and Thomas Sproat; **History and Geography**: Eric Jackson and Debra Meyers; **Literature and Language**: Jonathan Cullick, Roxanne Kent-Drury, P. Andrew Miller, and Tamara O'Callaghan; **Mathematics and Computer Science**: James W. Hauser, Hee-Jeong Lim, Andrew Long, and Gail Mackin; **Physics and Geology**: Sharmanthie Fernando and Scott Nutter; **Political Science**: Michael Baranowski, Ramona Brockett, and Amy Thistlethwaite; **Psychology**: Mark Bardgett, Robin Myers Bartlett, and Kimberly Hanson Breitenbecher; **Sociology, Anthropology, and Philosophy**: Nancy Hancock; **Accountancy**: Peter Theuri; **Information Systems**: Robert Mahaney, Toru Sakaguchi, Xiaoni Zhang; **Management and Marketing**: Matthew Ford, Richard Gilson, Bertie Greer, Aron Levin, Ken Rhee, and Tracey Sigler; **Educational Specialties**: Joyce Fortney, Melissa Jones, and Rosa Weaver; **Elementary, Middle and Secondary Programs**: Denise Dallmer, Deborah Doty, Maggie McGatha, and Rajeev Swami; **Communication**: Mary Cupito and Linda Welker; **Nursing and Health Professions**: Virginia Hedger, Beth Hickey, Debra Kasel, Cynthia Kelly, and Caron Martin. **Social Work and Human Services**: Barbara Conklin, Charles Lowe, Holly Riffe, and Jacqueline Smith; **Technology**: Sean Foley and Mortez Sadat-Hossieny; **Chase College of Law:** Mark Godsey and Ken Katkin; **Chase Law Library**: Eric Young; and **Steely Library**: Jennifer Gregory, Colleen Kennedy, and Michael Rose.

Continued Probation with conditions to be removed for the 2003-2004 academic year under the conditions of the *Faculty Policy and Procedures Handbook*: **Art**: Kimberly Allen-Kattus; **Chemistry**: Enders Dickinson and K.C. Russell; **Mathematics and Computer Science**: David Schenck; **Sociology, Anthropology, and Philosophy:** Jo Ellen Burkholder; **Accountancy**: Rita Czaja and Phil Lewis; **Elementary, Middle, and Secondary Programs:** Anjana Arora and Ernest Barnett; **Nursing and Health Professions**: JoAnn Randolph; **Technology**: Simone Bess.

Terminal Reappointment for 2003-2004 under the conditions of the Faculty Policies and Procedures Handbook: **Art**: Pravin C. Sevak.

Non-reappointment for 2003-2004 under the conditions of the Faculty Policies and Procedures Handbook: **Technology**: Hamid Khan.

6. **Sabbatical Leave for 2003-2004 academic year**: Andrea Cornuelle, Rudy Garns, Perilou Goddard, Gayle Grout, Donald Kazee, Douglas Krull, Sharlene Lassiter, Ann Luggen, George Manning, Banwari Mittal, Debra K. Pearce, Denise Robinson, Michele Roszmann-Millican, Carol Ryan, Peter Schiff, Frederick Schneider, Jeffrey Smith, and Rebecca White.

7. **Regents Professor term renewals beginning July 1, 2003**: David Elder, Regents Professor in the Salmon P. Chase College of Law; and Robert K. Wallace, Regents Professor in the Department of Literature and Language.

8. **Amendments to the Chase Faculty Policies and Procedures Handbook**: The proposed amendments to the *Chase Faculty Handbook* are provisions that conform to the *Northern Kentucky University Faculty Policies and Procedures Handbook* in matters that require no different provisions for the law school. The provisions have been approved by the Chase law faculty as required by the terms for the *Chase Faculty Handbook.*

9. **New Degree Program, Bachelor of Arts in Public Relations in the College of Professional Studies**: The new degree program will be initiated at the beginning of the 2003 fall semester.

10. **Authorize a permanent access easement on the Covington Campus to the City of Park Hills**: The Covington Campus property is located adjacent to Park Hills, Kentucky. Since construction of the Covington Campus facility by the University of Kentucky in 1960, residents of Mt. Allen Road, a Park Hills residential street, have used part of the south end of the parking lot to access Mt. Allen Lane. Residents have pressed the City of Park Hills to formalize this arrangement through negotiation of a Permanent Access Easement. The easement would be 50 feet wide.

11. **Ratify the February 2003 version of the Student Government Association Constitution**: During Fall 2002, the Student Government Association drafted a revised constitution and submitted the constitution for ratification by a vote of the student body during Fall elections held on December 3 and 4, 2002. The amendment was advanced by a 2/3 affirmative vote of the Student Senate and was approved by a majority vote of the student body. The constitutional amendment calls for the following changes: (1) election of the President and Executive Vice President by providing an option for individuals to run on a "slate" system and (2) formation of a Senate election committee to replace the Judicial Council's involvement in the organization and planning of elections. The revised Constitution was reformatted for clarity and ease of reference.

12. **Revision to the Administrative Regulations: Changing the Council on Higher Education (CHE) to Council on Postsecondary Education (CPE) in all affected regulations**: In continuance of the legal compliance audit, the Office of the Vice President for Legal Affairs and General Counsel submitted the document with title changes.

13. **Creation of NKU Governing Regulations related to the NKU Foundations:** President Votruba withdrew the recommendation. Regent Downing explained that this recommendation had been withdrawn from the meeting agenda for study and will be brought to the Board for approval at a later date.

14. **Adopt the 2004-2010 Capital Plan:** Vice President Baker indicated that this was a state requirement. The biennial budget process is divided into two distinct parts, (1) the biennial capital budget; and (2) the biennial operating budget. The 1990 General Assembly created the Capital Planning Advisory Board (CPAB) and requires all state agencies to submit Six-Year Capital Plans. The purpose of this planning is to enable the legislature to understand the comprehensive capital needs of the state and to coordinate requests for space from state agencies. The priorities outlined in the 2004-2010 Capital Plan, which are based upon the Master Plan, will be carried forward to create the 2004-2006 Biennial Capital Budget Request next fall. Mary Paula Schuh was thanked for her work on this comprehensive plan.

    A motion was made by Regent Prince Brown and seconded by Regent Pettit to adopt the 2004-2010 Capital Plan. **Motion carried.**

15. **Acceptance of private contributions during the period of December 31, 2002 through February 28, 2003:** Vice President Read commented that many of the gifts through this period were from first-time givers, including a number of NKU alumni. A motion was made by Regent Zapp and seconded by Regent Prince Brown to accept contributions totaling $3,165,475.40, received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period of December 31, 2002 (includes remaining gifts recorded as 12/31/02 after 1/03/03, the date of the last major contributions report) through February 28, 2003. **Motion carried.**

16. **Tuition and Fees:** President Votruba indicated that tuition increases have become necessary for the surrounding universities and all the state universities--NKU's rates are comparative.

    Regent Herschede read comments, pertaining to the state's historical underfunding of NKU and the effect of the current proposed tuition and fee increase for its students. Her comments were directed to the NKU Board of Regents and the greater community. Chair Wilson thanked Regent Herschede for her service as Student Regent for the past two years, indicating that she had taught the Board a great deal during her term.

    A motion was made by Regent Downing and seconded by Regent Griffin to approve a comprehensive schedule of tuition, fees and charges for academic year 2003-04 at the rates proposed in the "Authorized Schedule of Tuition Rates" and "Authorized Schedule of Fees and Service Charges" as recommended. **Motion carried.**

17. **Authorize the planning, funding, and construction of a new Student Union building:** Vice President Shanley indicated that the Student Union will enhance the quality of student life and give the University a competitive edge to recruit and retain students.

    A motion was made by Regent Herschede and seconded by Regent Prince Brown to authorize the planning, funding, and construction of a new Student Union building through a three-year increase in tuition for fiscal years 2003-04, 2004-05, and 2005-06 in the amount of 2.5% per year. **Motion carried.**

    This advance three-year pledge of tuition ($800,000 per year, totaling to $2.4M) will support the debt service payments necessary to finance a $31M Student Union construction project. Additional funding pledges from food service and bookstore contractors would be used to build-out these retail areas.

18. **Approval of the University's Five-Year Strategic Plan:** President Votruba commented that the plan reaffirms the values established in 1997 and focuses on key elements which we believe will propel the University to a higher level of service to both its students and the community.

    A motion was made by Regent Herschede and seconded by Regent Pogue to approve the University's five-year planning document, *"Strengthening Our Capacity to Serve: Northern Kentucky University's 2003-2008 Strategic Agenda."* **Motion carried.**

19. **Honorary Degree Candidate - May 17, 2003, Commencement:** Vice Provost Reichardt indicated that of the four nominees for the honorary degree, Mr. Dean Kamen was the most appropriate. Mr. Kamen, founder of DEKA Research, is a national figure. Mr. Kamen has been involved in Entrepreneurial research and invention in the field of science and has a long list of patents and inventions. His work fits very well with the University's rising profile as a center for education, science and mathematics.

    A motion was made by Regent Pettit and seconded by Regent Pogue to approve Mr. Dean Kamen as the recommended candidate for an Honorary Degree of Doctor of Science. The degree is to be conferred at the May 17, 2003, commencement ceremonies at US Bank Arena, Cincinnati, Ohio. **Motion carried.**

D. **Regent Recommendation:**

1. **Approve changes to the NKU Regent Bylaws:** Regent Downing recommended that the Northern Kentucky University Board of Regents Bylaws, revised on January 16, 2002, be further revised to reflect the following addition to Article II, A: "(22.) The creation, effective management, and oversight of all university affiliated or

unaffiliated foundations consistent with KRS. 164."

This is the first reading. The recommendation will be brought to the NKU Board of Regents at its May 14, 2003, meeting for approval.

E. Executive Session:

At 2:00 p.m. a motion was made by Regent Prince Brown and seconded by Regent Zapp to call an Executive Session. Vice President Baker and Vice President Sidebottom were asked to stay to discuss legal affairs. **Motion carried.**

A motion was made at 3:15 p.m. by Regent Prince Brown and seconded by Regent Griffin for the meeting to reconvene. **Motion carried.**

1. A motion was made by Regent Prince Brown and seconded by Regent Pettit to authorize the administration to begin negotiations for a Ground Lease, Facilities Lease and Operating Agreement with Non-profit Organization "X" to construct and manage a Sports Complex on University property south of Johns Hill Road. **Motion carried**.

2. A motion was made by Regent Chuck Brown and seconded by Regent Butler to authorize the administration to proceed with eminent domain for Parcel "X", consistent with the Board approved 1992 Land Acquisition Study, if ongoing negotiations fail. With one abstention by Regent Griffin, **motion carried.**

Regent Downing reported that the Regent Sub-Committee dealing with the NKU Foundations met this morning and would like to request that the Board Chair call a Special meeting of the NKU Board of Regents to be held on Wednesday, March 26, 2003 at 4:00 p.m. in the University Center Ballroom for the purpose of taking action on the policy related to the NKU Foundation relationships. The aforementioned Committees will meet on Monday, March 24, 2003, at 5:00 p.m. in the Lucas Administrative Center, Room 800. A letter to Mr. Henry E. Pogue, Interim President of the NKU Foundation, will follow to give further explanation of the details for the meeting on the 24th. The letter to Mr. Pogue was immediately distributed to each Regent at the meeting.

Chair Wilson confirmed that the NKU Board of Regents will hold a special meeting on Wednesday, March 26, 2003, at 4:00 p.m. The meeting material will be faxed or special delivered prior to that meeting.

With no further business, at 3:25 p.m. a motion was made by Regent Herschede and seconded by Regent Prince Brown to adjourn. **Motion carried.**

Lilla F. Losey
Administrative Assistant
Office of the President

Robin J. Grigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on March 19, 2003, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary of the Board of Regents

AGENDA

Northern Kentucky University
Special Meeting
Wednesday, March 26, 2003 - 4:00 p.m.
University Center Ballroom

1. Call to Order
2. Invocation
3. Roll Call
4. Welcome

A. Presidential Recommendation:

1. Creation of NKU Governing Regulation related to the NKU Foundation

B. Regent Recommendation:

1. Approve changes to the NKU Regent Bylaws (Regent Downing)

Upcoming Activities for 2003:

- April 22, 6:00 pm - Lincoln Awards Banquet (Marriott at RiverCenter, Covington)
- April 26, 9:00 am - NKU Women's Walk (NKU Soccer Field)
- April 30, 12 noon - Spring Convocation - Budget briefing (Greaves Concert Hall)
- May 17 - Spring Commencement Exercises (arrival 9:00 a.m./US Bank Arena)
- May 19 & 20, 8:30 a.m. - CPE – IEG Seminar (Radisson in Lexington)
- May 22, 10:00 am-1:00 pm - NKU Foundation Luncheon/Annual Meeting (Highland Heights -Medical Research Labs)
- June 16 - President's Golf Outing (Triple Crown Country Club)
- August 22, 9:30 a.m. - Fall Convocation (Greaves Concert Hall)

Board of Regents Special Meeting
Northern Kentucky University
University Center Ballroom
March 26, 2003

The special meeting of the Board of Regents was called to order at 4:10 p.m. on Wednesday, March 26, 2003, by Chair Wilson.

Roll Call: Chuck Brown, Prince Brown, Jr., Martin Butler, Frank Downing, Kathryn Herschede, Charles Pettit, Betty Pogue, Brenda Wilson, and Robert Zapp. (Excused: Joyce Griffin and Anna Dale Pyles).

Others Present: James C. Votruba, Robin Crigler, Rick Meyers, Chris Cole, Mimi Assanuvat, Lori Cox-*Northerner* newspaper, Maureen Woods, Jeff Martin, Joe Wind, Mike Baker, Henry E. Pogue, Sara Sidebottom, Gaut Ragsdale, Deborah Read, Arne Almquist, Gerry St. Amand, Dana Freer, Jerry D. Smith, Don Gorbandt, and Lilla Losey.

Invocation was read by Regent Herschede.

A. **Presidential Recommendation:**

1. **NKU Governing Regulation:** A motion was made by Regent Zapp and seconded by Regent Butler to approve the new Policy on University Related Foundations. The development of this policy is a result of a continuing review and audit of the NKU Governing Regulations for legal compliance. **Motion carried.**

B. **Regent Recommendation:**

1. **Changes to the NKU Regent Bylaws:** The Northern Kentucky University Board of Regents Bylaws, revised on January 16, 2002, be further revised to reflect the following addition to Article II, "(22.) To ensure effective management and oversight of all University related foundations consistent with Kentucky Revised Statutes, Chapters 164, 164A and 273."

   The recommendation will be brought to the NKU Board of Regents at its May 14, 2003, meeting for final approval. Again, this was the first reading of this recommendation, and it replaces a similar recommendation made by Regent Downing at the March 19th meeting. Regent Downing's March 19th recommendation will not receive a second reading and will not come before the body.

With no further business, at 4:20 p.m., a motion was made by Regent Downing and seconded by Regent Prince Brown to adjourn. **Motion carried.**

Lilla F. Losey
Administrative Assistant
Office of the President

Robin J. Crigler
Executive Assistant to the President and
Secretary to the Board of Regents

Chuck Brown
Vice Chair

6:00 pm	May 13, 2003 - Regent Dinner at the President's Home (Lakeside Park)
9:30-11:30 am	May 14, 2003- Joint Meeting of Fin.& Pol. Committees (UC Ballroom)
11:30am-12:45pm	May 14, 2003- Reception: Student Awards (University Center Ground Floor Dining Area)
1:00 pm	May 14, 2003- Board of Regents Meeting (University Center Ballroom)

(Regents--Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events.)

AGENDA

Northern Kentucky University
Wednesday, May 14,2003 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation
Roll Call and Welcome
Approval of Minutes, March 19, 2003 Regular Meeting
Approval of Minutes, March 26, 2003 Special Meeting

Presidential Comments

A. **Joint Finance and Policy Committee Reports**
   1. Student Affairs Overview (Shanley)
   2. University Budget Update (Hunter)
   3. Revisions & Amendments to the Administration Regulations (Sidebottom)

B. **Presidential Reports**
   1. Quarterly Financial Report (Baker)
   2. Facilities Management (Baker)
   3. Research Grants and Contracts (Beere)
   4. Comprehensive Campaign Report (Read)

C. **Presidential Recommendations**
   *1. Non-Academic Personnel Actions
   *2. Academic Affairs Personnel Actions
   *3. Bad Debt Write-Off
   *4. First Year Faculty - Continued Probation
   *5. Emeritus Status
   *6. Renewal - Northern Kentucky Cooperative for Educational Services
   *7. Project Additions to the University's 2004-2010 Capital Plan
   *8. New Administrative Regulation "Children in the Workplace"
   9. Name Change to the Environmental Resource Management Center (ERMC)
   10. Revision to the Administrative Regulations (AR-II-1.0-1) - Requests for Appearances Before the Board of Regents
   11. Resolution establishing and approving the Fiscal Year 2003-04 Annual Budget for NKU
   12. Acceptance of private contributions during the period of March 1, 2003 through March 27, 2003.

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, May 13, 2003).**

D. **Regent Recommendation**:
   1. Approve changes to the NKU Regent Bylaws (Regent Downing)

E. **Other**: (Calendar events)- Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule for 2003:**

July 9	September 24
	November 12

2. **Council on Postsecondary Education Meeting Schedule for 2003:**

May 19	September 21-22 (Trusteeship Conference)
July 28	November 3

(Please see other side of agenda for upcoming activities.

3. **Upcoming Activities:**
   - May 17 - Spring Commencement Exercises (10:00am/US Bank Arena, Cincinnati)
   - May 17 - Chase College of Law Commencement Exercises, (4:00 pm/Regents Hall)
   - May 19 & 20 - CPE - Institute for Effective Governance (IEG) (Lexington, Ky)
   - May 22 - 10:00 am-1:00 pm -NKU Foundation Luncheon/Annual Meeting (Highland Heights-Medical Research Labs)
   - June 16 - President's Golf Outing, Triple Crown Country Club
   - August 22 - Fall Convocation, Greaves Concert Hall, 9:30 a.m.
   - September 12 - Board of Regents Retreat (Metropolitan Club)
   - October 2, Alumni Lecture Series

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
May 14, 2003

The regular meeting of the Board of Regents was called to order at 1:10 p.m. on Wednesday, May 14, 2003, by Chair Wilson.

Invocation was read by Regent Downing.

Roll Call: Chuck Brown, Prince Brown, Jr., Martin Butler, Frank Downing, Kathryn Herschede, Charles Pettit, Betty Pogue, Anna Dale Pyles, and Brenda Wilson (Excused: Joyce Griffin and Robert Zapp).

Others Present: James Votruba, Robin Crigler, Mike Baker, Carole Beere, Deborah Read, Gerald Hunter, Sara Sidebottom, Mark Shanley, Rogers Redding, Mimi Assanuvat, Angie Gabbard, Lilla Losey, Zane Harris, Paul Reichardt, Darlene Hantzis, Mary Lepper, Jerry D. Smith, Julie Fronk, Gail W. Wells, Gerry St. Amand, Mike Carrell, Patrick Moynahan, Jeff Butler, Stephen Meier, Russ Kerdolff, Ken Ramey, Jane Meier, Carrie A. McCoy, Don Gorbandt, Rick Van Sant-*Kentucky Post*, Mary Ryan, Fran Zaniello, Maureen Woods, Viki Kimball, Jeff Martin, Joe Wind, Jeff Baker, Mary Paula Schuh, Joe Ruh, Rick Meyers, and Chris Cole.

Regent Chuck Brown indicated a change to the Joint Finance and Policy Reports for the March 19, 2003, meeting minutes: A., 4. Tuition and Fees–instead of, "NKU was funded at $4,166 per FTE student," state that, "NKU was funded at $3,835 per FTE student." With the aforementioned change, a motion was made by Regent Prince Brown and seconded by Regent Butler to approve the minutes of the March 19, 2003, regular meeting of the Board of Regents. **Motion carried.**

A motion was made by Regent Pettit and seconded by Regent Pogue to approve the minutes of the March 26, 2003, special meeting of the Board of Regents. **Motion carried.**

Presidential Comments:
2002-03 has been another year of progress for the University:

- The University will hold its Spring Commencement ceremonies this coming Saturday at US Bank Arena with 1,200 graduates participating.
- The Vision, Values, and Voices (VVV) process concluded this past fall and will set our course for the next five years for development of the University.
- The accrediting visits for the College of Education and Chase College of Law have been very successful.
- Our new Partnership with Gateway Community and Technical College has begun to take shape and is attracting attention from around the state.
- Outreach and graduate education has continued to grow and mature. In a national study of innovation in the area of public engagement, NKU was highlighted along with four other universities.
- The legislative session was difficult, but the benchmark funding formula was preserved and NKU received a lower budget reduction than any of the other universities.
- Last year we raised just over $10M in private funds including $2.6M in state match. This year we are just over $9M excluding state match, with another three months remaining. We are currently within $5M of our $40M campaign goal with two years yet to go.
- We engaged in conversations with the NKU Foundation that will result in closer ties between the Foundation, the Board of Regents, and the campus administration, more efficient management of the Foundation, and a new emphasis on moving NKU graduates into key Foundation leadership positions.
- Beginning this July 1, Provost Rogers Redding will be leaving NKU to become the new Vice Chancellor for Academic Affairs at the University of Colorado at Colorado Springs. He will be missed on many levels, but particularly for his steadfast commitment to academic freedom and his high

standards of academic responsibility. Communications Chair Gaut Ragsdale will chair the search committee.

- Pat Moynahan was recognized for his position change from Interim Dean to Dean of the College of Professional Studies.
- ACE Fellow Darlene Hantzis from Indiana University was recognized for her helpful perspective during her term over the academic year with the University.
- This year, the University has seen the strength of leadership at every level of the campus, including the Board of Regents, Vice Presidents and Executive Team members, Deans, Directors, Chairs, Faculty Senate, Staff Congress, and Student Government Association. It is this leadership at every level that has brought the University to where it is today, and will continue the momentum in preparation for what may be a year of major uncertainty, particularly in reference to Frankfort.

Resolutions were presented to outgoing Faculty Regent Prince Brown, Jr. and Student Regent Kathryn Herschede, whose service terms will end on June 30, 2003.

Staff Regent Pettit, whose term will also end on that date, is currently a candidate for the position of Staff Regent for another three-year term. Those elections will not conclude until the end of May. Regent Pettit was recognized for his strong voice on behalf of the entire University and for his ability to bring issues into perspective.

A. **Joint Finance and Policy Committee Reports:** (Reports were given by Regent Chuck Brown)

1. **Student Affairs Overview:** Vice President Shanley gave a presentation on the various accomplishments and work of the division. Emphasis was given to the mission of the Student Affairs division which is to build character, confidence, and competence. Highlights of the presentation included: the success of the athletic teams, campus activities, enrollment, increased number of International and African American students, facilities enhancement, approved funding for a new Student Union and Residence Hall, and the September 11 memorial service held last fall.

2. **University Budget Update:** Vice President Hunter gave a presentation on the University's FY 2003-04 Operating Budget. The operating budget totals $125,100,000. Fixed cost increases of $2.9M include: scholarships; fringe benefit rate increases; Worker's Compensation; contracts (computing, banking, and auditing) and utilities.

   Funding for Strategic Priorities from the FY 2003-08 Five-year Strategic agenda includes: broaden access; strengthen public engagement; enhance academic quality; advance full mission of Metropolitan University; enrich the student experience; improve campus facilities and environment; and budget reserve of $1,051,500, representing 2.5% of general state appropriation.

   Tuition receipts of $800K will be set aside for the next three years in order to fund debt service on a new Student Union. This is in addition to the current Student Union reserve of $3M.

   All funding is based on a 16.4% tuition increase for resident undergraduate students meeting the established undergraduate enrollment target of 4% and meeting CPE enrollment targets for graduate and law. If the enrollment target is not met or higher education must take another budget cut from the state, cost containment measures will be put into place.

B. **Presidential Reports:**

1. **Quarterly Financial Report:** Interim Vice President Baker pointed out that the revenues were within the budget.

2. **Facilities Management:** Interim Vice President Baker highlighted the following projects: The construction on the Welcome Center and Outdoor Shelter Pavilion is going well with completion expected by the end of the month; and the New Residence Hall Project is ahead of schedule with completion expected by July 15, 2003.

3. **Research Grants and Contacts:** Associate Provost Beere reported that the University was interested in increasing grants and contracts. A three-day annual workshop for faculty was held that morning and will run trough May 16. No comments were made on the report as submitted. The report summarizes the successful proposals with the amount of award for each proposal for NKU faculty and staff from March 1, 2003 through April 30, 2003.

4. **Comprehensive Campaign Report:** Vice President Read reported that the campaign is ahead of schedule with pledges and cash gifts included in the total of $10M to close out the year. The report includes: (1) Resources in support of the colleges, Friends of Fine Arts, Steely Library, Norse Athletics, Campus Priority Fund, Campus General Initiatives, and WNKU; (2) Resources committed in fiscal year July 1, 2002 through March 27, 2003, totaling $9,279,769; and (3) Resources committed from campaign inception July 1, 1999 through March 27, 2003 total $35,043,700.

C. **Presidential Recommendations:**
Consent Agenda Items: A motion was made by Regent Prince Brown and seconded by Regent Butler to approve the following Presidential Recommendations as listed below (1-8). **Motion carried.**

1. **Non-Academic Personnel Actions:** Approval was recommended for the following categories of non-academic personnel actions which occurred between March 4, 2003, and April 18, 2003: Activations; Temporary/Contract to Regular; and Departures.

2. **Academic Affairs Personnel Actions:**

Administrative Appointments: Nancy Firak, Interim Associate Dean for Academics in the Salmon P. Chase College of Law, effective July 1, 2003 through June 30, 2004; **Diana McGill**, Chair of the Department of Chemistry and Associate Professor of Chemistry, effective July 1, 2003; **J. Patrick Moynahan**, from Interim Dean of the College of Professional Studies to Dean of the College of Professional Studies, effective July 1, 2003.

Faculty Appointments (effective August 18, 2003): Mary Baggett, Assistant Professor in the Department of Mathematics and Computer Science; **Hazel Barton**, Assistant Professor in the Department of Biological Sciences; **Tobias Brauer**, Assistant Professor in the Department of Art; **Wayne Bresser**, Assistant Professor in the Department of Physics and Geology; **Nagore Beltran de Guevara**, Assistant Professor in the Department of Literature and Language; **Annette Burkeen**, Assistant Professor in the Salmon P. Chase College of Law; **Katherine Cochran**, Assistant Professor in the Department of Literature and Language; **Emily Detmer-Goebel**,

Assistant Professor in the Department of Literature and Language; **Wendy Falato**, Assistant Professor in the Department of Communication; **Gwen Fields**, Lecturer (non-tenure track renewable) in the Department of Chemistry; **Barclay Green**, Assistant Professor in the Department of Literature and Language; **Christopher Gulinello**, Assistant Professor in the Salmon P. Chase College of Law; **William Hansen**, Assistant Professor in the Department of History and Geography; **Davida Isaacs**, Assistant Professor in the Salmon P. Chase College of Law; **Tonya Krouse**, Assistant Professor in the Department of Literature and Language; **Julie Mader-Meersman**, Assistant Professor in the Department of Art; **Martin T. Meersman**, Lecturer (non-tenure track renewable) in the Department of Art; **Van Miller**, Associate Professor in the Department of Management and Marketing; **Nicole Minor**, Assistant Professor in the Department of Biological Sciences; **James Taylor**, Assistant Professor in the Salmon P. Chase College of Law; **Gary Walton**, Assistant Professor in the Department of Literature and Language; **Kimberly Weir**, Assistant Professor in the Department of Political Science and Criminal Justice; **Mary Kathryn Whitson**, Assistant Professor in the Department of Biological Sciences; and **Christopher Wilkey**, Assistant Professor in the Department of Literature and Language.

Faculty Appointment **(effective February 25, 2003): Jennifer Cottingham**, Lecturer (non-tenure track renewable), in the Department of Elementary, Middle, and Secondary Programs.

Leaves, Paid/Unpaid: **Kimberly Breitenbecher**, Assistant Professor in the Department of Psychology, unpaid leave with benefits, effective October 7, 2003 through May 15, 2004; **Beth Hickey**, Assistant Professor in the Department of Nursing and Health Professions, unpaid leave with benefits, effective March 28, 2003 through May 12, 2003; **Charles Pinder**, Chair of the Department of Technology and Professor of Industrial Technology, paid leave with benefits, effective July 1, 2003 through December 31, 2003.

Retirements: **Charles Pinder**, Chair of the Department of Technology and Professor of Industrial Technology, through the special chairs' retirement opportunity, effective January 1, 2004; and **Jerald Richards**, Chair of the Department of Sociology, Anthropology, and Philosophy and Professor of Philosophy, through the special chairs' retirement opportunity, effective June 30, 2004.

Resignations: **Julia Bedell**, Lecturer in the Department of Chemistry, effective May 17, 2003; **Mary Conway Dato-on**, Assistant Professor of Marketing in the Department of Management and Marketing, effective May 17, 2003; **Joyce J. Fortney**, Assistant Professor in the Department of Educational Specialties, effective June 30, 2003.

3. Bad Debt Write-Off: Authorization for the Vice President for Administration and Finance to write off bad debt for fiscal year 2002-03. Action by the Board of Regents of the University is required to write off bad debts from the University's financial records as operating expenses. This is accomplished once per year before the end of the fiscal year. Bursar Operations collected approximately $50M in tuition, fees, room, board, parking and other related revenues for the fiscal year. The bad debt write-off represents 1.9 tenths of a percent (.0019) of the monies collected.

4. First Year Faculty - Continued Probation for the 2003-04 academic year under the conditions of the *Faculty Policies and Procedures Handbook*): The faculty hold the rank of Assistant Professor except as noted: **Biological Sciences**: Richard Boyce and Rebecca Evans; **Chemistry**: Keith Walters; **Literature and Language**: Parmita Kapadia; **Mathematics and Computer Science**: Carl Miller; **Physics and Geology**: Samuel Boateng; **Psychology:** Bill Attenweiler; **Political Science and Criminal Justice:** Mark Marsolais; **Theatre:** Jamey Strawn; **Accountancy:**

Andrea Weickgennannt; **Management and Marketing:** Stephanie Hughes and Doris Shaw; **Education Specialities:** Anne Broughton, Kimberly Code, John Huss, and Carla Johnson; **Elementary, Middle, and Secondary Programs:** Sara Runge-Pulte; **Communication:** Chris Strobel; **Technology:** Melissa Bledsoe; **Law Library:** Michael Whiteman; **Legal Programs:** Alberto Lopez and William Sjostrom, Jr.

5. Emeritus Status: **Sarah Dessner**, Associate Professor, Nursing and Health Professions; **James Hopgood**, Professor, Sociology, Anthropology, and Philosophy; **Peter Moore**, Associate Professor, Mathematics and Computer Science; and **Beverly Reno**, Associate Professor, Nursing and Health Professions.

6. Authorize renewal of the University's participation in the Northern Kentucky Cooperative for Educational Services: This is a separate administrative board created by an Interlocal Cooperative Agreement between certain school districts in the Northern Kentucky area and Northern Kentucky University.

7. Project Additions to the University's 2004-2010 Capital Plan: Additions include, the Landrum Hall/Lucas Administrative Center Elevator Replacement and Nunn Hall Renovation. At the March 19, 2003 meeting, the Board approved the list of projects for the 2004-2010 Capital Plan. Since that time, the development of the Plan has evolved; the Landrum Hall/Lucas Administrative Center Elevator Replacement project, at a scope of $900K in the 2004-2006 biennium, and the Nunn Hall Renovation project, at a scope of $8.7M in the 2008-2010 biennium, need to be added to the plan.

8. New Administrative Regulation "Children in the Workplace:" As recommended by the Human Resources Advisory Committee, the proposed regulation requests that employees not bring their children to their offices and work areas as an alternative to child care during normal working hours. The purpose of the regulation is to reduce both the potential liability to the University and the risk of harm to the children and to provide a professional work environment that is free from distractions and disruptions for all employees.

9. Name Change - Environmental Resource Management Center: A motion was made by Regent Prince Brown and seconded by Regent Pogue to approve the name change of Environmental Resource Management Center to "Center for Applied Ecology:" **Motion carried.**

   This name change separates the Environmental Resource Management Center from all other local environmental groups and organizations by using the word "Ecology" which is currently not being used. The words "Applied Ecology" are even more specific to the ERMC's mission of providing science-based services to the community while training the next generation of environmental leaders and scientists.

10. Revision to the NKU Administrative Regulations: The University wishes to change the procedure by which appearances before the Board of Regents are permitted and considered. A motion was made by Regent Downing and seconded by Regent Prince Brown to approve the revision to Regulation, AR-II-1.0-1, Requests for Appearances Before the Board of Regents, page 20. **Motion carried.**

11. Fiscal Year 2003-04 Annual Budget: (This budget was a result of a series of University Budget Hearings involving the University Community.) A motion was made by Regent Prince Brown and seconded by Regent Downing to approve the resolution establishing and approving the Fiscal Year 2003-04 Unrestricted Current Fund Annual Operating

Budget for Northern Kentucky University. **Motion carried.**

12. **Acceptance of Private Contributions during the period of March 1, 2003 through March 27, 2003.** The division of University Advancement determined to submit all contributions of $10K or more received by the NKU Foundation Inc. in support of the University to the Board of Regents as requested by the Council on Postsecondary Education (CPE). A motion was made by Regent Butler and seconded by Regent Prince Brown to accept contributions totaling $24,475 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period March 1, 2003 through March 27, 2003 as indicated. **Motion carried.**

D. **Regent Recommendation:**

1. **Approve changes to the NKU Regent Bylaws**: A motion was made by Regent Downing and seconded by Regent Pogue that the Northern Kentucky University Board of Regents Bylaws, revised, January 16, 2002, be further revised to reflect the following addition to Article II., A:, "22. To ensure effective management and oversight of all University related foundations consistent with Kentucky Revised Statutes, Chapters 164, 164A and 273." **Motion carried.**

Other Business:

- A motion was made by Regent Butler and seconded by Regent Chuck Brown to approve the resolution recognizing the career-spanning and distinctive service of Mr. W. Michael Baker, Vice President for Administration and Finance. Mr. Baker has served the University for twenty-eight years, beginning his career as a Staff Accountant in 1975. **Motion carried.**

- A motion was made by Regent Downing and seconded by Regent Pogue to approve the resolution recognizing the outstanding service of Dr. Rogers Redding, Vice President for Academic Affairs and Provost. Dr. Redding began his service at the University in 1994 as Dean of the College of Arts and Sciences, with a faculty appointment as a professor of physics. **Motion carried.**

With no further business, at 2:00 p.m. a motion was made by Regent Herschede and seconded by Regent Pettit to adjourn. **Motion carried.**

Lilla F. Losey
Administrative Assistant
Office of the President

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Chuck Brown, Vice Chair of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on May 14, 2003, and that such matters are still in force and effect.

Chuck Brown
Vice Chair

6:00 p.m. July 8, 2003 - Regent Dinner at President's Home (Lakeside Park, KY)
8:30 a.m. July 9, 2003 - Welcome Center Reception and Tour (Steely Library 102)
9:30 a.m. July 9, 2003 - Joint meeting of Policy and Finance Committee (Norse Commons 117)
11:00 a.m. July 9, 2003 - Tour of New Residence Halls (Meet NKU bus outside University Center on Nunn Dr.)
11:45a.m. July 9, 2003 - Lunch with President, Executive Team, and Cheryl Nunez (President's Dining Room, UC 105)
1:00 p.m. July 9, 2003 - Board of Regents Meeting (University Center Ballroom)

(Regents – Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events.)

AGENDA

Northern Kentucky University
Wednesday, July 9, 2003 - 1:00 p.m.
University Center Ballroom

Call to Order and Invocation

Oath of Office – Beverly J. Beck, Carrie A. McCoy, and Christopher J. Pace (reappointments – Frank K. Downing and Anna Dale Pyles)

Roll Call and Welcome

Approval of Minutes, May 14, 2003, Regular Meeting

Presidential Comments

A. **Joint Finance and Policy Committee:**
1. Welcome Center Reception and Tour (Jerry Smith and Joel Robinson)
2. Five-Year Strategic Plan (President Votruba)
3. Tour of the new Residence Halls (Shanley)

B. **Presidential Reports:**
1. Facilities Management (Ramey)
2. Research Grants and Contracts (Beere)
3. Comprehensive Campaign Report (Read)

C. **Presidential Recommendations:**
*1. Non-Academic Personnel Actions
*2. Administrative Personnel Actions
*3. Renew the University Fire Service Memorandum of Agreement
*4. Lease Agreements for Rental of Houses
*5. Demolition of Garage at 421 Johns Hill Road
*6. Corporate Resolution authorizing signature on the University's Checking Accounts
*7. Academic Affairs Personnel Actions
*8. Temporary Faculty Appointments for 2003-04
*9. Emeritus Status
*10. Reorganization in the College of Business
*11. NKU Organizational Structure
12 Acceptance of Private Contributions during the period of March 28, 2003 through June 23, 2003.

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, July 8, 2003).**

D. **Regent Recommendation**
1. Executive Committee for 2003-04 (Chair Wilson)

E. **Executive Session:** (President Votruba)

F. **Other**: (Calendar events)- Please call if you have questions about any of the following events.

1. Regular Board of Regents Meeting Schedule (2003-04):

2003
- September 24, 2003 (new METS facility)
- November 12

2004
- January 21
- March 17

2004
May 12
July 21
September 8
November 17

(Additional activities on other side of agenda)

2. **Council on Postsecondary Education Meeting Schedule for 2003:**
   - July 28
   - September 21-22, Trusteeship Conference (Airport Marriott)
   - November 3

3. **Upcoming Activities:**
   - August 21, 5:30 p.m. - Faculty/Staff Picnic (University Center lower plaza)
   - August 22 - 9:30 a.m. -Fall Convocation (Greaves Concert Hall)
   - August - Cincinnati Pops (TBA)
   - September 12, 8:00 a.m.- 5:00p.m. - Board of Regents Retreat (Metropolitan Club)
   - September 23, Governor's Forum on Campus
   - October 2, Alumni Lecture Series, 5:30 p.m. Reception - 7:00 p.m. Lecture (Regents Hall)
   - October 15, 7:30 p.m. - Tall Stacks Dinner Cruise
   - October 23, 12:00 noon - Staff Appreciation Luncheon (Regents Hall)
   - April 28, 2004 – Student Research Celebration

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
July 9, 2003

The regular meeting of the Board of Regents was called to order at 1:08 p.m. on Wednesday, July 9, 2003, by Chair Wilson.

Invocation was read by Regent Pogue.

Installation of new Regents, Beverly J. Beck, Carrie A. McCoy, and Christopher Pace was administered by Chair Wilson.

Roll Call: Beverly Beck, Chuck Brown, Martin Butler, Frank Downing, Joyce Griffin, Carrie McCoy, Christopher Pace, Betty Pogue, Anna Dale Pyles, Brenda Wilson and Robert Zapp.

Others Present: James Votruba, Robin Crigler, W. Michael Baker, Carole Beere, Deborah Read, Gerald Hunter, Sara Sidebottom, Mark Shanley, Mimi Assanuvat, Lilla Losey, Zane Harris, Paul Reichardt, Jerry D. Smith, Gerry St. Amand, Mike Carrell, Patrick Moynahan, Jeff Butler, Russ Kerdolff, Ken Ramey, Jane Meier, Don Gorbandt, Rick Van Sant-*Kentucky Post*, Jeff Martin, Joe Wind, Mary Paula Schuh, Joe Ruh, Rick Meyers, and Chris Cole, Chuck Pettit, Jeanne Pettit, Kathy Stewart, Michael Tobergta, Barry Andersen, Brenda Rigg, John Lucas, Arne Almquist, Gary Pratt, and Patty Durbin.

A motion was made by Regent Butler and seconded by Regent Pogue to approve the minutes of the May 14, 2003, regular meeting of the Board of Regents. **Motion carried.**

Presidential Comments:

- John Lucas, recently elected President of the NKU Alumni Association, was welcomed to the meeting. Mr. Lucas commented on his plan to increase awareness in the community of the Alumni organization.
- The 2003-2008 Strategic Goals for the University were reviewed extensively at the joint Policy and Finance meeting earlier that morning. The goals are described as Phase I goals and strategies using a two-year horizon for achieving those priorities.
- There was a tour earlier in the morning of the new Residence Halls with nearly 500 new beds. The project is one month ahead of schedule and will be ready by this fall.
- A reception and tour of the new Welcome Center, located in Steely Library, was also held that morning. As a "front door" to the campus, this is an important addition to the University.
- The 2003 fall enrollment targets look strong with an anticipated 2-4% increase. The increase is believed healthy but manageable, particularly in terms of facilities and faculty. The University is currently taking a hard look at how to strengthen the capacity to serve and support the success of the students. It is no longer enough to be committed to access.
- An agreement has been finalized with the city of Ft. Wright to have the city purchase the Fern Storer property at the assessed value. Ms. Storer left the University 16 acres in her estate, which included her home in Ft Wright. Of historic significance is the Civil War military battery included in the estate. The intention of Ms. Storer was to sell the property and use the funds to support a scholarship endowment at the University in both her and her husband's name. The city will use the property for a park/recreation facility. This development is significant as it benefits the interests of the community, the donor, and the University.
- The position search for the Vice President for Academic Affairs and Provost is underway. Professor Gaut Ragsdale, Chair of the Department of Communication, is chairing the search committee.
- New Regents, Beverly Beck, Carrie McCoy, and Christopher Pace were welcomed to the meeting. Regents Downing and Pyles were also welcomed and recognized for their reappointment by the Governor to serve another term.

A Resolution was presented to outgoing Staff Regent Chuck Pettit, whose service term ended on June 30, 2003. Mr. Pettit was recognized for his leadership and dedicated commitment to the University and its mission.

A. Joint Finance and Policy Committee Reports: (Report was given by Regent Griffin)

1. Five-Year Strategic Plan:

A presentation of the University's Phase I (2003-2005) Strategic Goals was given by the President. The goals and strategies for this next year received a thorough review and will be monitored and followed each year as the plan progresses throughout the five year process. In order to meet the Council's commitment to expand access to postsecondary education for all Kentuckians, NKU's Strategic Plan is aligned with the CPE's Five Key Indicators. As the CPE measures our progress on these indicators, it is the University's goal not only to meet but exceed these targets. The five key questions are indicated below.

Are:

- more Kentuckians ready for postsecondary education?
- more students enrolling?
- more students advancing through the system?
- we preparing Kentuckians for life and work?
- Kentucky's communities and economy benefitting?

B. Presidential Reports:

1. Facilities Management: Vice President Ramey had no further comments on the report as presented.

2. Research, Grants and Contacts: Associate Provost Beere had no further comments on the report as presented. The report summarizes the successful proposals with the amount of award for each proposal for NKU faculty and staff from May 1, 2003 through June 30, 2003.

3. Comprehensive Campaign Report: Vice President Read reported that this was a very significant time for the University due in part to personal commitments. With the ending of this fiscal year of fund raising efforts, the University campaign is two years ahead of schedule with pledges and cash gifts of approximately $10.1M in private support. This effort was accomplished with all private gifts and did not include the support of the state matching program. The report includes: (1) Resources in support of the colleges, Friends of Fine Arts, Steely Library, Norse Athletics, Campus Priority Fund, Campus General Initiatives, and WNKU; (2) Resources committed in fiscal year July 1, 2002 through June 30, 2003, totaling $10,065,747 (estimated pending end of fiscal year adjustments) (3) Resources committed from campaign inception July 1, 1999 through June 30, 2003 total $35,829,678 (estimated pending end of fiscal year adjustments).

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Pogue and seconded by Regent Zapp to approve the following Presidential Recommendations as listed below (1-11). **Motion carried.**

1. Administrative Personnel Actions:

Administrative Appointments: **Kenneth H. Ramey**, Vice President for Administration and Finance/Treasurer, effective June 1, 2003.

2. Renew the University Fire Service Memorandum of Agreement: Beginning in the early 1980's, Northern Kentucky University entered into an annual contract, or memorandum of agreement, with the Central Campbell County Fire District. Through this contract, the University receives fire service, assistance with construction issues, and advice and participation in fire education initiatives.

3. Lease Agreements for Rental of Houses: Authorization for the administration to enter into lease agreements for rental of the houses at 209 Johns Hill Road and 10 and 11 Clearview Drive. As the homes become vacant, they will be rented for residential use to provide a modest income and to allow the properties to be occupied. With spaces becoming increasingly tight on the main campus, it is likely over the next several years that most of these houses will be converted to office space.

4. Demolition of Garage at 421 Johns Hill Road: Authorization for the administration to proceed with demolition of the garage located behind the residential structure at 421 Johns Hill Road. This garage, constructed in 1950 and purchased by the University in 1971 has primarily been used by Physical plant for storage. Recently, the structure of the garage has deteriorated.

5. Corporate Resolution authorizing signature on the University's Checking Accounts: Approving Kenneth H. Ramey, Vice President of Administration and Finance/Treasurer, as an authorized signature on the University's checking accounts. As a result of W. Michael Baker retiring from the position of Vice President of Administration and Finance/Treasurer and the hiring of Kenneth H. Ramey for this position, the authorized signatures on the University's checking accounts are being updated to reflect these changes. Mr. Baker will no longer be an authorized signature on University's checking accounts. The bank requires a corporation Resolution be adopted authorizing Mr. Ramey, Vice President of Administration and Finance/Treasurer, as a signature on the checking accounts. Mr. Ramey will be the primary signature authority as the Treasurer. Russell A. Kerdolff, Comptroller, and Gerald E. Hunter, Vice President for Enrollment and Financial Planning, will continue to be the back-up signatures on the accounts. The overall resolution must be adopted by the Board empowering the Secretary to the Board of Regents, Robin Crigler, to sign the necessary documents.

6. Academic Affairs Personnel Actions:
Administrative Appointments:
Rachelle Bruno, Interim Dean of the College of Education, effective July 1, 2003 through June 30, 2004; James Gray, Interim Chair and Professor of the Department of Technology, effective July 1, 2003; Mary Kirk, Interim Chair and Professor of the Department of Educational Specialties, effective July 1, 2003 through June 30, 2004; Debra Pearce, Interim Chair and Professor of the Department of Biological Sciences, effective July 1, 2003 through December 31, 2003; Gaut Ragsdale, Interim Chair and Professor of the Department of Communication to Chair and Professor of the Department of Communication, effective July 1, 2003; Carol Ryan, Interim Chair and Professor of the Department of Elementary, Middle, and Secondary Programs, effective July 1, 2003 through June 30, 2004; Mary Ryan, from Assistant Vice President for Academic Affairs, to Associate Provost for Administration, effective May 1, 2003; Robert Salyer, from Instructor, to College of Business Graduate Program Director and Instructor, effective July 1, 2003; Lynne Smith, Interim Associate Dean in the College of Education, effective July 1, 2003 through June 30, 2004; and Jerry Warner, from Chair and Professor of the Department of Biological Sciences, to Interim

Vice President for Academic Affairs and Provost, effective June 16, 2003 through December 31, 2003.

Faculty Appointments (August 18, 2003): Teuta Cata, Assistant Professor in the Department of Information Systems; David Cole, Assistant Professor in the Department of Music; Steven Devoto, Lecturer (non-tenure track renewable) in the Department of Finance; Gregory Thomas Hatchett, Assistant Professor in the Department of Social Work and Human Services; Brant Karrick, Assistant Professor in the Department of Music; Lawrence Rosenthal, Assistant Professor of Legal Writing in the Salmon P. Chase College of Law; Ralph Anthony Russell, Visiting Assistant Professor (non-tenure track renewable in the Department of Music); and Kurt Sander, Assistant Professor in the Department of Music.

Leaves, Paid/Unpaid: W. Michael Ryan, Professor of History in the Department of History and Geography, One Quarter Time unpaid leave with benefits, effective August 18, 2003 through May 15, 2004.

Retirements: Linda Olasov, Professor in the Department of Educational Specialities, effective June 30, 2003.

Resignations: James Decker, Associate Professor and Chair of the Department of Social Work and Human Services, effective July 1, 2003; James R. Gress, Dean of the College of Education, effective July 1, 2003; Tracey Holmes, Lecturer in the Department of Nursing and Health Professions, effective May 17, 2003; Cynthia Kelly, Assistant Professor in the Department of Nursing and Health Professions, effective May 17, 2003; and Dean Minix, Chair of the Department of Political Sciences and Criminal Justice, to Professor in the Department of Political Science and Criminal Justic, effective June 30, 2003.

7. **Temporary Faculty Appointments for 2003-04 (Academic Year):**
College of Arts and Sciences: Department of Music--Richard Cowan;
College of Business: Department of Economics–Linda Dynan; and Department of Finance–Gregory Farfsing;
College of Education: Department of Educational Specialties–George Frakes, Catherine Ingram, Wiley Piazza, and Richard Simmons; and Department of Elementary, Middle, and Secondary Programs–Toni Keller, Polly Page, and David Shepherd.
College of Professional Studies: Department of Communication–Sarah E. Polley.

8. **Emeritus Status:** James R. Gress, Dean of the College of Education.

9. **Reorganization in the College of Business:** In the past year the chair of the Department of Finance, Dr. Shailendra Verma, resigned from Northern Kentucky University. For administrative purposes, it was recommended that the department be temporarily merged with the Department of Accountancy for the spring semester. Since the Department of Finance contained three full-time faculty, it was considered that it be permanently merged with either the Department of Accountancy or the Department of Economics. Both combinations are common on other campuses. This permanent merger will result in cost savings by eliminating the need for an additional chair. After discussion with the Department Chairs and Faculty in the Departments of Economics and Accountancy, it was recommended that Finance be permanently merged with the Department of Economics. The disciplines of economics and finance are similar and some faculty can be involved in both programs.

10. **NKU Organizational Structure:** The University organizational structure reflects all administrative

changes to date. The reporting lines listed are for Director level and above but include: individuals who directly report to the President; Departmental Chairs under Academic Affairs; Coaching areas under Intercollegiate Athletics; and the Manager of the Bookstore/Follet which is a separate entity of the University.

11. **Acceptance of Private Contributions during the period of March 28, 2003 through June 30, 2003:** Vice President Read reported that of the $275K pledged by an anonymous donor, as listed in the report, $150K was paid on July 2, 2003. The division of University Advancement determined to submit all contributions of $10,000 or more received by the NKU Foundation Inc. in support of the University to the Board of Regents as requested by the Council on Postsecondary Education. A motion was made by Regent Pace and seconded by Regent Pogue to accept contributions totaling $503,500 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period March 28, 2003 through June 30, 2003 as indicated. **Motion carried.**

D. **Regent Recommendation:**
   1. **Executive Committee for 2003-04:** Regent Downing, Chair of the Nominations Committee of the Board of Regents, on behalf of Nominating Committee members Regent Butler and Pogue, recommend the re-election of the existing Executive officers. A motion was made by Regent Downing, and seconded by Regent Zapp to nominate the following Regents to serve as the Executive Committee for 2003-04: Brenda Wilson (Chair), Chuck Brown (Vice Chair), and Joyce Griffin (Secretary). The current NKU Regent Bylaws designate the position of Vice President for Administration and Finance as the Treasurer of the University and does not require Board action. **Motion carried.**

E. **Executive Session:** At 1:32 p.m. a motion was made by Regent Zapp and seconded by Regent Pyles to call an Executive Session to discuss property, legal, and personnel issues. **Motion carried.**

At 3:00 p.m., a motion was made by Regent Pace and seconded by Regent Downing for the Board to reconvene. **Motion carried.** No legal action was taken.

With no further business, at 3:02 p.m. a motion was made by Regent Pogue and seconded by Regent Beck to adjourn. **Motion carried.**

Lilla F. Losey
Administrative Assistant
Office of the President

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on July 9, 2003, and that such matters are still in force and effect

Joyce E. Griffin
Secretary of the Board of Regents

AGENDA

Northern Kentucky University
Special Meeting
Board of Regents
Tuesday, August 26, 2003 - 9:00 a.m.
University Center, Room 11 (Old Delta Space)

- **Call to Order**
- **Roll Call**

A. Executive Session: (President Votruba)

- **Closing**

Board of Regents Meeting
Northern Kentucky University
University Center, Room 11
August 26, 2003

The special meeting of the Board of Regents was called to order at 9:07 a.m., Tuesday, August 26, 2003, by Chair Wilson.

Roll Call: Beverly Beck, Chuck Brown, Martin Butler, Frank Downing, Joyce Griffin, Carrie McCoy, Christopher Pace, Betty Pogue, Anna Dale Pyles, and Brenda Wilson (Excused: Robert Zapp).

Others Present: James Votruba, Robin Crigler, Sheri McKinley, Mimi Assanuvat, Lilla Losey, Denise Robinson, Mike Hawkins, Sara Sidebottom, Jerry Warner, Ken Ramey, Carole Beere, Tom Kearns, Bob Kempton, Mark Shanley, Don Gorbandt, Debbie Read, Rick Meyers, Chris Cole, Jeff Butler, and Gerry St. Amand.

At 9:10 a.m. a motion was made by Regent Wilson and seconded by Regent Pogue to call an Executive Session. Those individuals directly involved in the hearing process were asked to remain: Sara Sidebottom, Denise Robinson, Mike Hawkins, Sheri McKinley, Robin Crigler, Jerry Warner, Tom Kearns, and Bob Kempton. **Motion carried.**

At 9:45 a.m. a motion was made by Regent Downing and seconded by Regent Pogue for the meeting to reconvene. **Motion carried.**

Presidential Recommendations:

A. A motion was made by Regent Butler and seconded by Regent Pogue to accept the resignation of Dr. Balasubramani Ramjee, Professor of Finance, effective August 26, 2003. **Motion carried.**

B. A motion was made by Regent Griffin and seconded by Regent Pace to accept the resignation of Dr. Anju Ramjee, Professor of Finance, effective August 26, 2003. **Motion carried.**

At 9:55 a.m. a 10 minute recess was called to give Professor Snyder opportunity to appear at the hearing.

At 10:12 a.m. a motion was made by Regent Downing and seconded by Regent Pogue for the meeting to reconvene. **Motion carried.** At this time, Chair Wilson reported that during the break the surrounding meeting area was checked for the presence of Professor Snyder.

At 10:15 a.m. a motion was made by Regent Pace and seconded by Regent McCoy to call an Executive Session. Those individuals directly involved in the hearing process were asked to remain: Sara Sidebottom, Denise Robinson, Mike Hawkins, Sheri McKinley, Robin Crigler, Jerry Warner, Tom Kearns, and Bob Kempton. **Motion carried.**

At 11:25 a.m. a motion was made by Regent Beck and seconded by Regent McCoy for the meeting to reconvene. **Motion carried.**

C. Regent Downing indicated that Professor Snyder had every opportunity to appear before the Board and chose not to do so. A motion was made by Regent Downing and seconded by Regent Pogue to terminate the employment of Dr. Richard Snyder, Associate Professor of Economics, on the grounds of Neglect of Duty pursuant to KRS 164.230 effective immediately (August 26, 2003). **Motion carried.** The professor will be notified.

The President commented that this process has underscored the strength of the University's peer review process and the willingness of our faculty to embrace high standards of academic integrity and to hold its members accountable with reference to those standards. This action should reassure the public that the University and its Board of Regents are committed to taking whatever steps necessary to insure the University's continued public trust.

With no further business, at 11:30 a.m., a motion was made by Regent Downing and seconded by Regent Griffin to adjourn.

Lilla F. Losey
Administrative Assistant
Office of the President

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the special meeting held on August 26, 2003, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary of the Board of Regents

Northern Kentucky University
Board of Regents Retreat
September 12, 2003

The Board of Regents met at 8:00 a.m. on Friday, September 12, 2003, at the Metropolitan Club in Covington, Kentucky. The meeting opened at 8:25 a.m. with a presentation from the Council on Postsecondary Education President, Tom Layzell.

Regents Attendance: Beverly Beck, Chuck Brown, Frank Downing, Joyce Griffin, Carrie McCoy, Christopher Pace, Betty Pogue, Anna Dale Pyles, Brenda Wilson, Robert Zapp, Jim Votruba, and Robin Crigler (Excused: Martin Butler)

Tom Layzell shared with the Board the political and economic challenges facing higher education in Kentucky. He suggested the following:

1. Maintain focus on HB1.
2. Build financial support.
3. Develop partnerships with organizations and individuals who are higher education advocates.
4. Demonstrate to the public-at-large the positive impact of higher education through a set of regrets if we do not generate revenue stream for higher education.
5. Demonstrate our serious dedication to increase our graduation rates, increasing our retention rates and be more specific about what it means to Kentucky for each of the institutions to reach these goals.
6. Create an inter-alumni council, generated to become proponents of postsecondary education.
7. All institutions will have to find a way to make "common cause" rather than to advocate for individual institutional needs.

The Board entered into a strategy discussion. We will work to:

1. Keep the CPE strong to advocate on behalf of all of higher education.
2. Meet with the Northern Kentucky Legislative Caucus.
3. Meet with the General Assembly leadership, alumni, key community leaders, and the Chamber of Commerce.
4. Develop alternative revenue strategies and identify opportunities for internal reallocation.
5. Specifically define what we mean by enhancing academic quality and capacity.
6. Protect economically disadvantaged students.

The Board will recognize Representative Jim Callahan for his leadership in the passage of HB1 and his leadership on behalf of higher education in Kentucky. The Board plans to honor him sometime in the spring of 2004.

Regent Frank Downing led the Board through their annual self-evaluation. As a result of the self-evaluation the Board requested the following:

1. Consistent with Sarbanes-Oxley Act of 2002, during each External Audit the full Board would like to meet with the auditors without the Administration being present.
2. In keeping with the Board's financial responsibility and in light of current public attention of presidential expenditures, twice annually the Board will review the President's expenditures, reviewing materials they deem relevant.
3. A review by the University Administration of the University's current nepotism policy to ensure there is no direct reporting of partners, spouses, or significant others to one another.

The Board concluded its self-evaluation with a discussion of the vital role Dr. Robin Crigler, Executive Assistant to the President and Secretary to the Board of Regents, plays in the successful functioning of the Board. Chairperson Wilson thanked Dr. Crigler for her essential and invaluable efforts in keeping the Board members informed and prepared for meetings and events through proactive measures. The Board also asserted that Dr. Crigler's outstanding and meritorious performance should be rewarded.

At approximately 2:45 p.m., the Board went into Executive Session to discuss the President's evaluation.

The Board returned to open session at 4:00 p.m. Regent Frank Downing offered a motion to give President Votruba his entire annual bonus as specified in his contract and a 4% salary increase given his outstanding performance during this past year. Regent Bob Zapp seconded the motion and the Board voted unanimously in support of Regent Downing's motion.

Robin J. Crigler, Exec. Assistant to the President
and Secretary to the Board of Regents

Joyce E. Griffin,
Secretary of the Board
of Regents

Brenda L. Wilson, Chairwoman
NKU Board of Regents

6:00 p.m. **September 23, 2003 - Regent Dinner at President's Home (Lakeside Park, KY)**

9:00 a.m. **September 24, 2003 - Joint meeting of Policy and Finance Committee (New METS facility)**
11:00 a.m. **September 24, 2003 - Grand opening**
12:00 noon **Luncheon Reception**
1:00 p.m. **September 24, 2003 - Board of Regents Meeting (New METS facility)**
(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

AGENDA
Northern Kentucky University
Board of Regents Meeting
Wednesday, September 24, 2003 - 1:00 p.m.
METS Facility

Call to Order and Invocation

Roll Call and Welcome

Approval of Minutes:
1. July 9, 2003, Regular Meeting,
2. August 26, 2003, Special Meeting
3. September 12, 2003, Board of Regents Retreat.

Presidential Comments

Presentation by METS Director Rob Snyder

A. **Joint Finance and Policy Committee:**
1. Impact of Gateway on NKU's Enrollment (Hunter)
2. Annual Financial Report 2002-03 (Ken Ramey, Russ Kerdolff, and Auditors, Crow Chizek and Co. LLC)

B. **Presidential Reports:**
1. Facilities Management (Ramey)
2. Annual Financial Report 2002-03 (Ramey/Kerdolff))
3. Research Grants and Contracts (Beere)
4. Comprehensive Campaign Report (Read)

C. **Presidential Recommendations:**
*1. Academic Affairs Personnel Actions
*2. Temporary Faculty Appointments for 2003-04
*3. Emeritus Status
*4. Reorganization in the area of Outreach
*5. Kentucky University Partnership for Environmental Education (KUPEE)
*6. Revision of AR-II-4.0-1, External Fund Raising Procedure
*7. Non-Academic Personnel Actions
*8. Permanent Easement to Cincinnati Gas and Electric Company/ULH&P Company
*9. Financing of New Parking Deck
*10. Acceptable Use Policy for Technology Resources
*11. Develop a Research Foundation
*12. Tuition Policy for fall semester 2003
*13. Change in title for key administrator within the division of University Advancement
14. Approval of Lois Rosenthal as the December 2003 Honorary Degree Recipient
15. Approval of Richard Rosenthal as the December 2003 Honorary Degree Recipient
16. Posthumous Honorary Degree Recipient
17. Acceptance of contributions received by the NKU Foundation during the period of July 1, 2003 - Sept. 9, 2003

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, September 23, 2003).**

(Additional activities on other side of agenda)

D. Other: (Calendar events)- Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule (2003-04):**

2003 - November 12

2004

January 21	July 21
March 17	September 8
May 12	November 17

2. **Council on Postsecondary Education Meeting Schedule for 2003-04:**

2003 – November 3

2004
February 10-11
March 14-15
May 23-24 (CPE meeting and IEG spring seminar)
July 18 & 19
September 19-20 (CPE meeting and IEG fall trusteeship conference)
November 7-8

3. **Upcoming Activities:**
   - October 2, Alumni Lecture Series, 5:30 p.m. Reception - 7:00 p.m. Lecture (Regents Hall)
   - October 15, 7:30 p.m. - Tall Stacks Dinner Cruise
   - October 23, 12:00 noon - Staff Appreciation Luncheon (Regents Hall)
   - December 20, 2003 - Fall Commencement Ceremonies (US Bank Arena)
   - April 28, 2004 – Student Research Celebration

Board of Regents Meeting
Northern Kentucky University
METS Facility-- Erlanger, Kentucky
September 24, 2003

The regular meeting of the Board of Regents was called to order at 1:10 p.m. on Wednesday, September 24, 2003, by Chair Wilson.

Invocation was read by Regent Pyles.

Roll Call: Beverly Beck, Chuck Brown, Martin Butler, Frank Downing, Joyce Griffin, Carrie McCoy, Christopher Pace, Betty Pogue, Anna Dale Pyles, and Brenda Wilson (Excused: Robert Zapp).

Others Present: James Votruba, Robin Crigler, W. Michael Baker, Carole Beere, Deborah Read, Gerald Hunter, Sara Sidebottom, Mark Shanley, Ken Ramey, Jerry Warner, Mimi Assanuvat, Lilla Losey, Jerry D. Smith, Gerry St. Amand, Mike Carrell, Patrick Moynahan, Kent Kelso, Russ Kerdolff, Don Gorbandt, Joe Wind, Mary Paula Schuh, Joe Ruh, Rick Meyers, and Chris Cole, Kathy Stewart, Arne Almquist, Gary Pratt, Laurie Williams, Dennis Obyc, Bud Pogue, Gail Wells, Rob Snyder, Bill Farro, Mary Ryan, and Cheryl Nunez.

A motion was made by Regent Pogue and seconded by Regent McCoy to approve the minutes of the July 9, 2003, regular meeting of the Board of Regents. **Motion carried.**

A motion was made by Regent Pace and seconded by Regent Pyles to approve the minutes of the August 26, 2003, special meeting of the Board of Regents. **Motion carried.**

A motion was made by Regent Beck and seconded by Regent McCoy to approve the minutes of the September 12, 2003, Board of Regents Retreat. **Motion carried.**

Dr. Rob Snyder, Director of the Metropolitan Education and Training Services (METS) Center for Corporate Learning, gave a presentation on the programming and community-business use of the new facility.

Regent Pogue asked about the number of staff at the facility. Dr. Snyder responded that there were nine staff members, including himself. The housekeeping, maintenance, and food service is outsourced.

Presidential Comments:

- At the Governor's Trusteeship conference earlier this month, much of the discussion was devoted to what was described as the "stewardship of place."
- Roxanne Qualls, former Mayor of Cincinnati, will be teaching next spring in NKU's MPA program and will be working with Dean Wells to enhance the program. She will also work on a needs assessment to determine how the University can best support local government leaders.

A. **Joint Finance and Policy Committee Reports:**
Chair Wilson reported that there was an Executive Session held during the committee meeting and that no legal action was taken. The external auditors had many positive comments about the NKU administration, specifically those in the finance and budget areas.

1. **Impact of Gateway on NKU's Enrollment:** (Report was given by Regent Griffin)

   A presentation was given by Vice Provost Reichardt on the University's plans to respond to the emergence of Gateway Community & Technical College as a provider of postsecondary education

in the Northern Kentucky region. Three primary questions were posed and addressed in the presentation:

1) Is an enrollment decline anticipated at NKU?
2) If so, how much of a decline is expected and what is the potential impact of any decline?
3) Is there a way to compensate for or offset any decline?

The experience of the University of Toledo with Owens Community College was discussed as background information.

NKU will use the following strategies to respond to Gateway's presence in the postsecondary environment in Northern Kentucky: 1) recruit Gateway associate degree graduates; 2) develop new degree and degree-completion programs; 3) develop new on-line programs and courses; 4) increase yield from non-Gateway transfer student applicants; 5) increase graduate student enrollment; and 6) develop new strategies to increase first-time freshmen enrollment.

2. **Annual Financial Report 2002-03**: (Report was given by Regent Brown)

A presentation was given by Vice President Ramey, Comptroller Kerdolff, and auditors from Crowe Chizek and Co. LLC, Laurie Williams and Dennis Obyc, regarding the University's fiscal year end financial report. Highlights of the presentation included:

- Assets for the year increased by $18M, primarily due to new capital assets, i.e. the new Science Center and the new 400-bed University Hall Project.
- Liabilities increased by $16M as a result of the issuance of new debt to finance the construction of the new housing and the purchase of equipment.
- Net assets are up by $2M -- The University had a slight loss in operating. An addition of $2.3M in capital appropriations were used to offset that loss.
- Operating and non-operating revenues increased by almost $10M with most of the funds coming from tuition increase. Only $56K was provided as an increase from state appropriations, considering that enrollment increased by nearly 7.3% last year. The amount received from the state has continued to decrease and will be an issue to contend with in the future.
- The University's financial position remains very strong as of June 30, 2003. The growth in terms of how the University is funding its growth and services is being provided primarily by the net increase in tuition. For the University to continue to grow and serve the expanding needs of the region, the state must address the inequity of the University's state funding.
- The auditors unqualified opinion included discussion on how next year GASB 39 will require incorporating NKU Foundation and possibly Chase Foundation into the university Annual Financial Report.

B. **Presidential Reports**:

1. **Facilities Management**: Highlights of Vice President Ramey's report included the following projects:
   - Old Science Building Renovation: The design has been finalized. This remains the number one priority in this next legislative session for funding.
   - BEP 200 Renovation: This project is projected for completion in early 2004.
   - Lake Development: The landscape firm is currently finishing their final design in cooperation with Vice President Read and the University Development staff who will soon be meeting with a prospective donor to complete that project.
   - New Parking Deck: The project is on target with anticipation of breaking ground in January 2004.

- New Student Union: There are three finalists for architectural design with the decision expected by October 1.

2. Annual Financial Report 2002-03: Vice President Ramey had no further comments on the report as submitted.

3. Research Grants and Contacts: The report summarizes the successful proposals with the amount of award for each proposal for NKU faculty and staff from July 1, 2003, through August 31, 2003.

4. Comprehensive Campaign Report: Vice President Read reported that the Campaign is going very well. There has been a commitment of approximately $36.5M to the Campaign to date. The Capital Campaign will close next spring with the celebration planned for fall 2004. The report summarizes the campaign resources committed from July 1, 1999 through September 9, 2003, totaling $36,408,332 in support of NKU's campaign priorities. The report includes:
   - Resources in support of the colleges, Friends of Fine Arts, Steely Library, Norse Athletics, Campus Priority Fund, Campus General Initiatives, and WNKU.
   - Resources committed in fiscal year July 1, 2003 through September 9, 2003, totaling $578,654.
   - Resources committed from campaign inception July 1, 1999 through September 9, 2003, total $36,408,332.

C. Presidential Recommendations:
Consent Agenda Items: A motion was made by Regent Pogue and seconded by Regent Downing to approve the following Presidential Recommendations as listed below (1-13). **Motion carried.**

1. Academic Affairs Personnel Actions:
Administrative Appointments: **Thomas Comte**, Professor Emeritus and Interim Chair, Department of Management and Marketing, effective August 1, 2003 through May 31, 2004; **Willie Elliott**, Interim Chair and Associate Professor in the Department of Social Work and Human Services, effective August 1, 2003 through June 30, 2004; **J. Michael Thomson**, Interim Chair and Associate Professor, Department of Political Science and Criminal Justice, effective July 1, 2003 through June 30, 2004; and **Rebecca White**, Director of Fifth Third Entrepreneurship Institute and Director of the Institute for New Economy Technologies, effective July 1, 2003.

Faculty Appointments (effective August 18, 2003): **Mary Margaret Adams**, Lecturer/Assistant Director of First Year Programs (non-tenure track renewable); **W. Michael Baker**, Lecturer (non-tenure track renewable), Department of Accountancy; **Janet Bertog**, Assistant Professor, Department of Physics and Geology; **Nancy Brashear**, Assistant Professor, Department of Nursing and Health Professions; **Marian Cummins**, Assistant Professor, Department of Nursing and Health Professions; **Adele Dean**, Assistant Professor, Department of Nursing and Health Professions; **Teressa Elliott**, Assistant Professor, Department of Accountancy; **Dana Hickman**, Lecturer (non-tenure track renewable), Department of Nursing and Health Professions; **Sara Mohn**, Assistant Professor, Department of Nursing and Health Professions; **Judith Neimi**, Lecturer (non-tenure track renewable), Department of Nursing and Health Professions; **Beth Noblitt**, Lecturer (non-tenure track renewable), Department of Mathematics and Computer Science; **Mark R. Rajai**, Associate Professor, Department of Technology; **Erin Robinson**, Lecturer (non-tenure track renewable), Department of Nursing and Health Professions; **Pryze Smith**, Lecturer (non-tenure track renewable), Department of Technology; **Mike Tolliver**, Lecturer (non-tenure track renewable),

Office of Student Services, College of Education; and **Sandra Turkelson**, Assistant Professor, Department of Nursing and Health Professions.

Leaves-Paid/Unpaid: **William Oliver**, Professor, Department of Chemistry, paid leave with benefits, effective August 18, 2003 through May 15, 2004.

Resignations: **Gregory Stewart**, Assistant Professor in the Department of Social Work and Human Services, effective May 17, 2003, and **Linda S. Welker**, Assistant Professor in the Department of Communications, effective May 17, 2003.

Terminations: **James E. Taylor**, Assistant Professor of Law, Salmon P. Chase College of Law, effective August 7, 2003.

2. Temporary Faculty Appointments for 2003-04

College of Arts and Sciences:

Art (Academic Year): Matthew Albritton, Kelly J. Asbury, Heidi Endres, W. Joseph Wever, and Paige Wideman. **Biological Sciences:** Hildegard Baldridge (Academic Year), and Robin Root (Fiscal Year). **Chemistry (Academic Year):** James A. Gawenis.
History and Geography (Academic Year): Rodney Daniels, Terence Anthony Fleming, Francois Le Roy, and Lawrence Fred Mitchell. **Literature and Language (Academic Year):** Lydia Coyle, Maureen E. Cronin, Kristin Dietsche, Kristi Eiler, Paul Goodin, Angela K. Hesson, Tiffany N. Hinton, Darlene Hollon, Melissa K. Ibarra, Gary Leising, Virginia Paddock, Dolores Schreiner, Martha L. Viehmann, Hannelore Wolfram, and Mari York. **Mathematics and Computer Science (Academic Year):** Shelley Cain, Michael Collins, Adama Diene, Terry Erdmann, Marilyn Hoffman, Patsy Sisson, and John Taylor. **Music (Academic Year):** James Bunte, Eric Paul De Forest, and Rebecca Kreider. **Physics and Geology (Academic Year):** Athula Herat, Sarah E. Johnson, Ricardo Javier Rademacher Mena, and Chari Ramkumar. **Political Science and Criminal Justice (Academic Year):** James W. Binney, Jennifer H. Childress, Frank H. Edelen, Jr., Bruce McClure, and Wallace L. Rigsbee, II. **Psychology (Academic Year):** Kimberly Case, Heather Park Hatchett, Judith Ann Perry, and Jennifer A. Sacher. **Sociology, Anthropology and Philosophy (Academic Year):** Gregory Bigot, Gary Blahnik, Nicole Grant, Peter Killoran, Michael Simonton, Charles Ward, and Steven J. Wolverton. **Theatre (Academic Year):** Jonnie Lynn Jacobs-Percer, Christine Jones, and Robert L. Kerby, Jr. **CINSAM (Academic Year):** Denice Robertson. **Center for Applied Ecology (Fiscal Year):** Lawrence Brewer, Barry Dalton, Scott Fennell, Mark Leopold, Matthew Mullin, Molly Reif, and Devin Schenk.

College of Business:

Information Systems (Academic Year): Frank Braun and Jerome Gonnella. **Management and Marketing: Academic Year** --Joumana Abraham, Jobie Devinney-Walsh, and C. Edward Heath; **Spring Semester** -- Paul Chellgren.

College of Education:

Educational Specialities (Academic Year): James Ronald Gardella and Bianca Prather-Jones.

College of Professional Studies:

Communication (Academic Year): Karen Donges, Joe Hoffert, and Wendy Rose. **Social Work**

and Human Services (Academic Year): Larry Ware. **Technology (Academic Year):** Majed Dabdoub, Melody Rawlings, and Robert A. Willoughby.

Salmon P. Chase College of Law:
Legal Programs (Academic Year): Adrienne Noble Nacev.

Vice Provost:
First Year Programs (Academic Year): Pamela M. Tyahur. **Honors Program (Academic Year):** Anne Braden, Ronald Hoffman, and Kelli Sittason. **Learning Assistance Program (Fall Semester):** Kathleen Culp, Jill Easter, Shirley Montgomery, Mary Quill, and Gregory Walsh. **Women's Studies (Academic Year):** Mary Bucklin.

3. **Emeritus Status:** Robert W. Mullen, Professor in the Department of Communication.

4. **Reorganization in the area of Outreach:** The organizational entities of "Lifelong Learning" and "Community Education" be deleted and replaced with a single entity: "Community Connections." This unit will have responsibility for non-credit courses and programs, Elderhostel, special outreach initiatives (e.g., outreach to the rural P-12 community), and the three communication vehicles currently being used to promote our outreach efforts: hard copy publications, the web, and a call-in center. The new unit will be directed by the current "Director of Lifelong Learning & Community Education." The position will report directly to the Associate Provost for Outreach. The "Associate Director" position will be occupied by the current Director of Elderhostel who will retain responsibility for Elderhostel while assisting with the other initiatives housed in Community Connections.

5. **Kentucky University Partnership for Environmental Education (KUPEE):** Approval for the College of Education's participation in the Kentucky University Partnership for Environmental Education (KUPEE) and thus create an NKU Center for Environmental Education. The development of this center is consistent with the Commonwealth's goals for environmental education and the University's strategic plan. University Partners include: Murray State University, Morehead State University, University of Louisville, University of Kentucky, Eastern Kentucky University, Western Kentucky University, Northern Kentucky University, and Kentucky State University.

6. **Revision of AR-II-4.0-1, External Fund Raising Procedure:** This recommendation is a continuation of the legal compliance audit, performed by the Office of the Vice President for Legal Affairs and General Counsel.

   All private contributions received from individuals, corporations or foundations, committed in support of NKU shall be formally accepted by the NKU Board of Regents complying with the Kentucky Council on Postsecondary Education's requirement.

7. **Non-Academic Personnel Actions:** Categories of non-academic personnel actions which occurred between June 19, 2003 and August 28, 2003: Activations; Temporary/Contract to Regular; Promotions; Reassignments, Reclassifications, Re-Engineering, and Title Changes; Transfers; Departures; and Retirees.

8. **Permanent Easement to Cincinnati Gas and Electric Company/ULH&P Company:** The University administration is authorized to grant a permanent easement to Cincinnati Gas and Electric Company/the Union Light, Heat and Power Company for the relocated underground lightning protection grid associated with the Company's North Substation, located on the Highland Heights

campus, adjacent to the west side of Kenton Drive near its intersection with Three Mile Road. The grid is now located on the east side of the substation. In addition, the Cincinnati Gas and Electric Company has requested to Quitclaim the easement previously granted for the grid's prior location, on the west side of the substation.

9. **Financing of New Parking Deck:** The resolution related to the financing of the new parking deck is approved. The University will issue Consolidated Educational Building Revenue Bonds to finance the construction of a new parking deck on the existing practice soccer field. The project has a scope of $9.1M and will house approximately 680 cars. Treasury regulations require that the University make a declaration of its intent to reimburse any capital expenditures it disburses prior to the issuance of the parking deck bonds.

10. ***Acceptable Use Policy for Technology Resources*:** This document compiles official University policies for the management of computing and communication resources that are owned or administered by Northern Kentucky University. These policies apply to all users of NKU computing and communication resources. It is expected that resource users will cooperate with each other so as to promote the most effective use of these resources and will respect each other's ownership of work even though it is in electronic rather than printed form. Individuals and organizations will be no less accountable for their actions involving computing and communication resources than they would be in the protection of other University property and situations. The Office of Information Technology is responsible for the maintenance of this document and administration of all policies and procedures contained within.

11. **Develop a Research Foundation:** A *research foundation* is a not-for-profit corporation established to receive, invest, and expend funds to promote and implement scientific and educational activities. NKU's research foundation will serve as the university's agent in the receipt of all external grants and contracts, intellectual property income and other designated income, and conservation easement. The foundation will also oversee the protection, development, and commercialization of intellectual property; and manage special cooperative agreements. Currently within Kentucky, the University of Kentucky, the University of Louisville, and Western Kentucky University have research foundations that are operational. Other regional universities have been considering them.

12. **Tuition Policy for fall semester 2003:** The metro tuition rate for qualified students is substantially less than the non-resident tuition rate. Qualified students from Ohio counties must reside in Adams, Brown, Butler, Clermont, Clinton, Fayette, Hamilton, Highland, or Warren. Qualified students from Indiana counties must reside in Switzerland, Jefferson, Ohio, Dearborn, Ripley or Franklin. A student who qualifies for the metro tuition rate and then moves to Kentucky but does not otherwise qualify for Kentucky resident tuition status shall continue to receive the metro tuition rate as long as continuously enrolled at Northern Kentucky University or Salmon P. Chase College of Law.

13. **Change in title for key administrator within the division of University Advancement:** The title of Mr. Joseph E. Wind's position is changed from Associate Vice President for Community and Government Relations to Executive Director of Government and Community Relations and Advisor to the President. This is a restructuring change as this position will report directly to the President effective with Board approval.

14. **Approval of Lois Rosenthal as one of the December 2003 Honorary Degree Recipients:** Interim Vice President Warner commented that Ms. Rosenthal was recommended by the Chair of the Art Department to receive an Honorary Degree at the December 20, 2003, commencement

ceremonies. The recommendation was based on Mrs. Rosenthal's recognition as a major philanthropist, humanitarian, and advocate of the arts in the Cincinnati area. Mrs. Rosenthal's recent generosity provided free admission, in perpetuity, to the Cincinnati Museum as well as a $5M contribution, with her husband, to the new Center for Contemporary Arts in Covington. Mrs. Rosenthal has taken substantial leadership in expanding access to the arts for everyone. A motion was made by Regent McCoy and seconded by Regent Beck to approve Lois Rosenthal as one of the recipients for an Honorary Degree of Doctor of Humane Letters to be conferred at Northern Kentucky University's December 20, 2003, commencement ceremonies at US Bank Arena in Cincinnati. **Motion carried.**

15. Approval of Richard Rosenthal as one of the December 2003 Honorary Degree Recipients: Lois and Richard Rosenthal are arts pioneers, who became involved in shaping our society. In the recent past, they have provided funding and leadership which made the new Center for Contemporary Arts possible. Mr. Rosenthal was part of a Fine Arts Fund committee working to increase the representation of African Americans and other minorities on various Cincinnati area cultural boards. A motion was made by Regent Pogue and seconded by Regent McCoy to approve Richard Rosenthal as one of the recipients for an Honorary Degree of Doctor of Humane Letters to be conferred at Northern Kentucky University's December 20, 2003, commencement ceremonies at US Bank Arena in Cincinnati. **Motion carried.**

16. Posthumous Honorary Degree Recipient: Kimberly Elaine Williams began her career at NKU in 1985 and declared a major in Accounting in the spring of 1999. Ms. Williams was short a total of nineteen hours from degree completion at the time of her death. As a non-traditional student, Ms. Williams was steadfast and diligent in working toward her degree in Accounting. This is a degree she would have completed had it not been for her untimely death. A motion was made by Regent Pace and seconded by Regent Downing that a posthumous honorary degree be awarded to Kimberly Elaine Williams, Bachelor of Science, Accounting. **Motion carried.**

17. Acceptance of contributions received by the NKU Foundation during the period of July 1, 2003 through September 9, 2003: Vice President Read commented that there was a revision to the previously submitted recommendation as one of the individuals who had made a commitment changed that commitment altering the total as submitted. A motion was made by Regent Beck and seconded by Regent Griffin to accept the contributions totaling $398,482 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period July 1, 2003 through September 9, 2003 as indicated in the revised summary. **Motion carried.**

Executive Session: At 1:50 p.m. a motion was made by Regent Pyles and seconded by Regent McCoy to call an Executive Session to discuss personnel issues. President Votruba was asked to remain. **Motion carried.**

At 2:45 p.m., a motion was made by Regent Pyles and seconded by Regent Griffin for the Board to reconvene. No legal action was taken.

Unrelated to the executive session, a motion was made by Regent Pogue and seconded by Regent Brown that the Board of Regents go on record to show their appreciation and thanks to William Butler, President and Chairman of Corporex Companies, Inc. for his role in the development of this wonderful facility. With one abstention by Regent Martin Butler, **motion carried**. Regent Pogue suggested a follow up letter be sent to Mr. Butler as a result of this recommendation.

With no further business, at 2:48 p.m. a motion was made by Regent Pace and seconded by Regent Pogue to adjourn. **Motion carried.**

Lilla F. Losey
Senior Administrative Assistant
Office of the President

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on September 24, 2003, and that such matters are still in force and effect

Joyce E. Griffin
Secretary of the Board of Regents

6:00 p.m. **November 11, 2003 - Regent Dinner at President's Home (Lakeside Park, KY)**

9:30 a.m. **November 12, 2003 - Joint meeting of Policy and Finance Committee (University Ctr. Ballroom)**
11:45 a.m. **November 12, 2003 - Luncheon with Students (UC 11–Old Delta Space)**
1:00 p.m. **November 12, 2003 - Board of Regents Meeting (University Center Ballroom)**
(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

AGENDA
Northern Kentucky University
Board of Regents Meeting
Wednesday, November 12, 2003 - 1:00 p.m.

Call to Order and Invocation
Roll Call and Welcome
Approval of September 24, 2003 Minutes
Presidential Comments

Student Presentation on Undergraduate Research (Wells)

A. Joint Finance and Policy Committee:
1. University Outreach (Beere)
2. Budget Reduction Process (President)

B. Presidential Reports:
1. Facilities Management (Ramey)
2. Quarterly Financial Report
3. A-133 Audit of Federal Programs
4. Research Grants and Contracts (Beere)
5. Comprehensive Campaign Report (Read)

C. Presidential Recommendations:
*1. Academic Affairs Personnel Actions
*2. Temporary Faculty Appointments for 2003-04
*3. Non-Academic Personnel Actions
*4. Financing of New Parking Deck
5. Acceptance of contributions received by the NKU Foundation during the period of September 10, 2003 through October 31, 2003.
6. Authorization to submit gift commitments to the CPE requesting Endowment Match Funds
7. 2002-03 annual report to the CPE regarding the Commonwealth of Kentucky Endowment Match Program

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, November 11, 2003).**

D. Other: (Calendar events)- Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule 2004:**

January 21	July 21
March 17	September 8
May 12	November 17

2. **Council on Postsecondary Education Meeting Schedule for 2004:**
February 10-11
March 14-15
May 23-24 (CPE meeting and IEG spring seminar)
July 18 & 19
September 19-20 (CPE meeting and IEG fall trusteeship conference)
November 7-8
3. **Upcoming Activities:**
   - November 18, 11:30 a.m. – Basketball Tip off Luncheon (Marquise Banquet Ctr.)
   - November 20, 10:00 a.m.- 1:00 p.m. – NKU Foundation Board meeting (METS facility)
   - December 20, 2003, 9:00 a.m. -- Fall Commencement Ceremonies (US Bank Arena)
   - April 8, 2004, 6:00 p.m. – NKU Regents and NKU Foundation Executive Committee dinner (Met Club, Cov.)
   - April 22, 2004, 12:00 noon – Spring Convocation/Budget Presentation (Greaves Concert Hall)
   - April 28, 2004, 10:00-3:00 p.m. – Student Celebration of Research and Creativity (UC Plaza)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
November, 12, 2003

The regular meeting of the Board of Regents was called to order at 1: 15 p.m. on Wednesday, November 12, 2003, by Chair Wilson.

Invocation was read by Regent Griffin.

Roll Call: Beverly Beck, Chuck Brown, Martin Butler, Joyce Griffin, Carrie McCoy, Christopher Pace, Betty Pogue, Anna Dale Pyles, and Brenda Wilson (Excused: Frank Downing and Robert Zapp).

Others Present: James Votruba, Robin Crigler, W. Michael Baker, Carole Beere, Deborah Read, Gerald Hunter, Sara Sidebottom, Mark Shanley, Ken Ramey, Jerry Warner, Mimi Assanuvat, Lilla Losey, Dione Smith, Gerry St. Amand, Mike Carrell, Patrick Moynahan, Rick Meyers, Chris Cole, Zane Harris, Bill Farro, Kathy Stewart, Rick Van Sant, Joe Ruh, Jeff Martin, Mary Ryan, Mary Paula Schuh, Gary L. Pratt, Russ Kerdolff, Richard Durtsche, Jennifer Quammen, Arne J. Almquist, Kristi Martines, Mary Ucci, Patty Durbin, Jane Meier, Jeff Butler, Joseph Wind, Mary Lepper, Gary W. Graff, Bob Wallace, Gail Wells, Melissa Gers, and Kent Kelso.

Presidential Comments:

- At the earlier committee meeting, Carole Beere gave a review of the campus outreach and public engagement mission of the University. NKU has in recent years become a national leader in this area. This activity not only makes communities strong, but improves lives.
- The current state budget was also reviewed along with NKU's strategy for possible budget cuts. The CPE budget has very strong recommendations for NKU, which include: $1M in new money for operations and maintenance for the new Science Building; preserving benchmark funding, recognizing the historic underfunding of NKU; a new trust fund, called "regional stewardship," which is groundbreaking not only for Kentucky but nationally, to create revenue for the University's outreach and public engagement mission.
- The Old Science Building is at the top of the list for capital renovations. There is $1.4M for "Bucks for Brains," or matching funds, in the regional excellence trust fund.
- With the new Governor's Administration, the University will try to anticipate how the state will address the current structural budget deficit of between $400-800M, and how that will affect the University. There may not be reductions for this year; however there will likely be budget reductions for 2004-05. NKU's approach to this possibility includes the following elements:
  - There are 2.5%, over $1M, of reserve funds to protect against reductions for this current fiscal year with no interruption in programs and services.
  - The Vice Presidents are being asked before the end of the semester to prepare budget reduction scenarios for their divisions of 3% ($1.3M), 5% ($2.1M), and 7% ($3M). Those amounts reflect the state appropriations for the University not the University's total budget.
  - Tuition strategies: Phase I will be recommended to the Board of Regents this January to target funding focusing on academic quality and capacity and would not include operating support or salaries. Phase II is planned to come before the Board this spring. This recommendation will focus on the operating budget and will include salary, health care, utilities, operations, and maintenance. This approach is aligned with the recent approach approved by the Board of Regents at Western Kentucky University with strong support from their faculty, staff, and students. The intent is to protect academic quality and insure that the operations are protected at a time when state assistance is limited.

Student presentations were given by Jennifer Quammen, Biology and Mathematics major, and Melissa Gers, English major and recent graduate of NKU, now attending the University of Cincinnati. The students discussed their undergraduate research activity and gave high praise and recognition to the

University and their faculty mentors for what they experienced as graduate level teaching, and encouragement to learn, explore, and succeed.

A. **Joint Finance and Policy Committee Reports:**

1. **University Outreach:** (Policy Report was given by Regent Griffin)
   Associate Carole Beere gave a presentation on outreach, public engagement, and graduate education. Highlighted areas included:
   - Discussion on public engagement included: P-12 education, economic development, local governments, non-profit agencies, and health care.
   - Educational outreach programs, including new initiatives to reach out to adult learners to increase the likelihood of their enrolling and graduating from NKU.
   - New delivery methods i.e. online education and off-campus sites (Grant County is the largest).
   - The growth of Graduate Program enrollments by more than 50% in 5 years.
   - Increased resources are vital to fulfill the region's expectations of the University.

2. **Budget Reduction Process**: (Finance Report was given by Regent Brown)
   Key points from the budget presentation included:
   - Importance of sustaining momentum that has been built by the current university leadership. The core values and strategic priorities were emphasized.
   - Listen to the constituency, particularly students to ensure that the quality of academic services (small class sizes, access to the library, information technology, etc.) are at the core of the University's mission.
   - Preparations for budget reductions, "preparing for a rainy day." Scenarios need to be devised to deliver services with less resources and accomplish those goals in the near future with budget cuts.
   - Ensure the efficiency of the services delivered before asking for significant tuition increases.
   - Protect the needs of the students and maintain quality.
   - Keep NKU competitive within the region and state.

B. **Presidential Reports:**

1. **Facilities Management:** Highlights of Vice President Ramey's report included the following projects:
   - The Nunn Drive Landscaping is near completion and enhances the entrance to the campus.
   - The Greenhouse project is in the bidding stage and should be up and ready by fall of 2004.
   - The New Student Union is in the final programming stage. The University's food service, Sodexho, recently conducted a series of surveys to improve student customer service. This will have an impact on implementation of these services for the new student facility.

2. **Quarterly Financial Report:** Vice President Ramey had no further comments on the report as submitted. The report depicts the financial activity of the University as of September 30, 2003, as compared to the annual budget and the prior year's activity.

3. **A-133 Audit of Federal Programs:** President Votruba indicated that it was anticipated to have this item at the time of the meeting; however, **the complete audit had not yet been received and was pulled from the agenda.**

4. **Research, Grants, and Contracts:** Associate Provost Beere had no further comments on the report as submitted. The report summarizes the successful proposals with the amount of award for each proposal for NKU faculty and staff from September 1, 2003 through October 31, 2003.

5. Comprehensive Campaign Report: Vice President Read commented that it was a pleasure to hear Associate Provost Beere's presentation at the earlier committee meeting, as well as the comments made by the students at the Board presentation. Both presentations demonstrated the important role the campaign has played in providing the necessary private support in the various outreach initiatives, centers and institutes, thereby ensuring their success.

   The report summarizes the campaign resources committed from July 1, 1999 through October 31, 2003, totaling $36,976.847 in support of NKU's campaign priorities.

C. Presidential Recommendations:
Consent Agenda Items: A motion was made by Regent McCoy and seconded by Regent Pace to approve the following Presidential Recommendations as listed below (1-4). **Motion carried.**

1. Academic Affairs Personnel Actions:
   Administrative Appointments: Vicki (Vera Beth) Stieha, Director, First Year Programs, effective July 1, 2003.

   Faculty Appointments: **Marcia Frank**, Lecturer (non-tenure track renewable), in the Office of the Dean, College of Education, effective August 1, 2003; **Kristine Pfendt**, Lecturer (non-tenure track renewable), in the Department of Nursing and Health Professions, effective August 18, 2003; **Mark G. Shanley**, Cooperating Associate Professor, in the Department of Educational Specialities, College of Education, effective August 1,1998; **Ruth Staley**, Lecturer (non-tenure track renewable) in the Office of the Dean, College of Education, effective August 1, 2003; and **Karen Tapp**, Lecturer (non-tenure track renewable) in the Department of Social Work and Human Services, effective September 1, 2003.

   Leaves Paid/Unpaid: Jane Green, Lecturer in the Department of Theatre, unpaid leave without benefits, beginning August 16, 2004 through May 14, 2005.

   Resignations: **Frances Zaniello**, from the position of Director of First Year Programs, effective July 1, 2003, to Lecturer/Freshman Specialist (non-tenure track renewable), effective August 18, 2003.

2. Temporary Faculty Appointments for 2003-04:
   College of Professional Studies: **Department of Communication:** John Michel Delaney, Academic Year. **Department of Nursing and Health Professions**: Judi Frerick, Academic Year. **Department of Technology:** Kenneth F. Lewandowski, Academic Year.

   Vice Provost:
   First Year Programs: Kristi S. Brock, Academic Year.
   Learning Assistance Center (Fall 2003 Semester): Carol Dean and Stephanie Porter.

3. Non-Academic Personnel Actions: Categories of non-academic personnel actions which occurred between August 29, 2003 and October 22, 2003: Activations; Temporary/Contract to Regular; Reassignments, Reclassifications, Re-Engineering, and Title Changes; Promotions; Departures; and Retirees.

4. Financing of New Parking Deck: The resolution was approved authorizing the issuance of approximately $10,155,000 of Northern Kentucky University Consolidated Educational Building Revenue Bonds, Series L, for the new parking deck. This action is a follow-up to the Board Resolution of official intent adopted on September 24, 2003. The resolution was drafted by Peck, Shaffer & Williams, LLP, the University's bond counsel. The University was authorized by CPE to issue the bonds for the project with a scope of $9.1M. The target closing month is January 2004.

5. Acceptance of contributions received by the NKU Foundation Inc.: A motion was made by Regent Pace and seconded by Regent McCoy to accept contributions totaling $397,861(does not include pledge payments), received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period September 10, 2003 through October 31, 2003. **Motion carried.**

6. Authorization to submit gift commitments to the CPE requesting Endowment Match Funds: A motion was made by Regent Griffin and seconded by Regent Pogue to accept gifts totaling 2,326,584 in support of Northern Kentucky University priorities, all of which are eligible to receive Commonwealth of Kentucky Endowment Match Funds. **Motion carried.**

7. Kentucky Endowment Match Program: A motion was made by Regent Pogue and seconded by Regent Beck to approve the submittal of the 2002-2003 annual report to the Council on Postsecondary Education (CPE) regarding the Commonwealth of Kentucky Endowment Match Program. Further, that authorization be given to the Chair of the Board of Regents to submit a Notice of Board Approval to the Council on Postsecondary Education that states that the Northern Kentucky University Board of Regents acknowledges that it has primary responsibility for the implementation of the Endowment Match Program. The notice also states that the Northern Kentucky University Board of Regents is responsible for providing oversight of all endowment funds. **Motion carried**.

Executive Session: At 2:20 p.m. a motion was made by Regent Pace and seconded by Regent Pogue to call an Executive Session to discuss a personnel issue. President Votruba was asked to remain. **Motion carried.**

At 2:55 p.m., a motion was made by Regent Pogue and seconded by Regent Pyles for the Board to reconvene. No legal action was taken.

With no further business, at 2:55 p.m. a motion was made by Regent Pyles and seconded by Regent Butler to adjourn. **Motion carried.**

Lilla F. Losey
Sr. Administrative Assistant
Office of the President

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on November 12, 2003, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary of the Board of Regents

6:00 p.m. **January 20, 2004 - Regent Dinner at President's Home (Lakeside Park, KY)**

9:45 a.m. **January 21, 2004 – Meet NKU Bus for Frankfort at University Dr. between Regents Hall and the University Center**
11:45 a.m. **January 21, 2004 – Luncheon to include Caucus members (location to be announced)**
1:00-3:00 p.m. **January 21, 2004- Joint Policy and Finance Mtg. (State Capitol Building – room location to be announced)**
3:30 p.m. **January 21, 2004 – Board of Regents Mtg. (State Capitol Building - room location to be announced)**
(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

AGENDA

Northern Kentucky University
Board of Regents Meeting (State Capitol in Frankfort)
Wednesday, January 21, 2004 - 3:30 p.m.

Call to Order and Invocation
Roll Call and Welcome
Approval of November 12, 2003 Board Minutes
Presidential Comments

A. Joint Finance and Policy Committee:
1. Budget Reduction Process (President/Hunter)
2. Angelou Economics, Inc. Report (Guests--Campbell Cty. Judge Exec. Steve Pendery and Tri-ED President, Danny Fore)

B. Presidential Reports:
1. Facilities Management (Ramey)
2. Quarterly Financial Report (Ramey)
3. A-133 Audit of Federal Programs (Ramey)
4. Comprehensive Campaign Report (Read)
5. Research Grants and Contracts (Beere)

C. Presidential Recommendations:
*1. Academic Affairs Personnel Actions
*2. Temporary Faculty Appointments for 2003-04
*3. New Policy - Graduate Council
*4. ~~Creation of NKU Research Foundation~~ Pulled.
*5. New Program – B.S. in Athletic Training, College of Education
*6. New Program – B.S. in Computer Information Technology, College of Arts and Sciences
*7. New Program – Master of Industrial-Organizational Psychology, College of Arts and Sciences
*8. New Program – B.A. in Liberal Arts, College of Arts and Sciences
*9. Non-Academic Personnel Actions
*10. NKU Organizational Structure Changes
11. Acceptance of contributions received by the NKU Foundation during the period of November 1, 2003 through December 17, 2003.

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, January 20, 2004).**

D. Executive Session (President Votruba)

E. Other: (Calendar events)- Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule 2004:**

March 17	September 8
May 12	November 17
July 21	

2. **Council on Postsecondary Education Meeting Schedule for 2004:**
February 10-11
March 14-15
July 18 & 19
September 19-20 (CPE mtg. and IEG fall trusteeship conf.)
November 7-8
3. **Upcoming Activities:**
- January 30, 6:30 p.m. – Alumni Awards Banquet (METS Facility)
- April 7, 6:00 p.m. – Lincoln Award Banquet (RiverCenter in Covington)
- *April 8, 2004*, 6:00 p.m. – NKU Regents and NKU Foundation Executive Committee dinner (Met Club, Cov.)
- April 22, 2004, 12:00 noon – Spring Convocation/Budget Presentation (Greaves Concert Hall)
- April 28, 2004, 10:00-3:00 p.m. – Student Celebration of Research and Creativity (UC Plaza)
- May 15 - 10:00 a.m. – Spring Commencement Ceremonies (US Bank Arena)

Board of Regents Meeting
Northern Kentucky University
Capitol Annex, Room 113--Frankfort, Kentucky
January 21, 2004

The regular meeting of the Board of Regents was called to order at 4:05 p.m. on Wednesday, January 21, 2004, by Chair Wilson.

Invocation was read by Regent Zapp.

Roll Call: Beverly Beck, Chuck Brown, Martin Butler, Frank Downing, Joyce Griffin, Carrie McCoy, Christopher Pace, Betty Pogue, Brenda Wilson, and Robert Zapp (Excused: Anna Dale Pyles).

Others Present: James Votruba, Robin Crigler-Tricoli, Deborah Read, Gerald Hunter, Sara Sidebottom, Mark Shanley, Ken Ramey, Jerry Warner, Gail Wells, Mimi Assanuvat, Lilla Losey, Dione Smith, Gerry St. Amand, Mike Carrell, Rick Meyers, Chris Cole, Zane Harris, Angie Gabbard, Kathy Stewart, Joe Ruh, Rebecca White, Gary L. Pratt, Joseph Wind, Don Gorbandt, Katie Herschede, Rachell Bruno, Kent Kelso, Roger Black, Laurie DiPadova-Stocks, Secretary of Transportation Dick Murgatroyd, Josh Wice, Steve Pendery, Tim Rawe, Gary Toebben, Jeff Groob, Tony Scholar, Danny Fore, Amanda York–Ky. Post, Judge Ex. Gary Moore, Secretary of State Trey Grayson, Representatives: Arnold Simpson, Joseph Fischer, Tom Kerr, Royce Adams, Charlie Walton, Jon Draud, Jim Callahan, Rick Rand, Patrick Murphy, and Tom McKee, and Senators: Damon Thayer, Dick Roeding, Jack Westwood, and Ernie Harris.

Presidential Comments:

- At the earlier Board committee meetings there was discussion of both the results of the recent study on economic expansion in northern Kentucky and the steps that the University is taking to manage the current budget reductions.
- Thanks were given to the community leaders for attending the Board meeting and to the caucus for their support.
- The University has a twofold challenge: Managing current budget reductions which total $5.3M and addressing the needs of our region by building the capacity at the University to support continued economic expansion.
- NKU is a vehicle for achieving a larger set of economic and social priorities and the community has been clear concerning the priorities for Northern Kentucky:
    - Support of continued economic expansion--i.e. the Angelou Economics study for successful recruitment of knowledge economy companies, which was discussed at the earlier meeting;
    - P-12 enhancement;
    - Support for local government decision making;
    - Enhancing the region's non-profit sector; and
    - Continued enrollment growth.
    - The University will strive to use the current budget reductions to restructure the NKU budget. As a result of these efforts, the University will be less bureaucratic, more efficient, and more focused on the core mission.
    - Transitions:
        - Dr. Jerry Warner was recognized for his stalwart leadership over the last several months as Interim Vice President for Academic Affairs and Provost.
        - Gail Wells, former Dean of the College of Arts and Sciences, was welcomed in her role as the new Vice President for Academic Affairs and Provost.
        - Dr. Robin Crigler-Tricoli will be departing the University effective today and will be relocating to the state of California. Dr. Crigler was recognized for her dedicated service to the University as an NKU student, faculty member, Regent, and, since 1998, Executive Assistant to the President and Secretary to the Board of Regents.

A. **Joint Finance and Policy Committee Reports:**

1. **Budget Reduction Process:** (Finance Report was given by Regent Brown)
The President and University Administration were commended for their hard work on the budget process, and also for the expeditious manner in which this process was effectively being handled. The plan, as it was reviewed at the earlier meeting, had all the appropriate measures in place to allow the University to get through the process. In the earlier meeting with the Governor, the Regents asked the State for help considering the University's historic underfunding. The Governor seemed amenable to that request.
2. **Angelou Economics, Inc. Report:** (Policy Report was given by Regent Griffin)
During the Joint Policy and Finance committee meeting, a presentation was given by Campbell County Judge/Executive Steve Pendery and Tri-ED President Danny Fore regarding the importance of the University to continue economic progress within the Northern Kentucky region. Also present in support of the presentation were Northern Kentucky Chamber of Commerce Chairman Tim Rawe and Chamber President Gary Toebben. The recently commissioned Angelou Economics research was presented along with their soon to be released final report. The economic development consultants, based in Austin, Texas, are helping to draft a plan for recruiting high-tech firms to the region. Their research is focused on Northern Kentucky's preparedness to compete for Knowledge-based companies now and in the future. The final report is expected to suggest that "efforts to transform NKU into a full-fledged undergraduate university should continue, as well as efforts to boost funding for engineering, science, Information Technology and business programs." They also noted that "additional resources are necessary at NKU to meet the vision of being the commercialization and entrepreneurship hub of Kentucky." They suggest that, "with this support, NKU will be the engine that local manufacturing companies and other universities look to for assistance in spinning information and other technologies out of the lab and into new companies."

B. **Presidential Reports:**
1. **Facilities Management:** Vice President Ramey highlighted the following projects:
    - BEP 200 Renovation is currently 99% complete and will help to accommodate the larger classes.
    - The bid on the new Parking Deck came in under estimate at approximately $700K.
    - The New Student Union planning committee will have their first meeting next week with OMNI Architects of Lexington and their work on that project will begin shortly thereafter. Legislative approval for construction will be a priority during the 2004 session.
    - Performance Contracting/Energy Audit in partnership with the Commonwealth and Siemens Building Technologies, Inc. The University is currently in the early stages of a technical energy audit report which will identify a broad range of services and capital improvements to reduce consumption and related cost of energy and water use at the University. If a decision is made to move forward with any portion of the recommendations, capital improvements will be funded by utility dollars and financed through a performance-based contract administered by the Commonwealth.

2. **Quarterly Financial Report:** Vice President Ramey reported the financial activity of the University as of December 31, 2003. All of the University's revenue and expenditures are in line with the budget. The increase of approximately $5.2M in net assets does not take into account the recent budget reductions which the University has been dealing with over the past month.

3. **A-133 Audit of Federal Programs:** Vice President Ramey indicated that this was an audit of the

University's federal programs, conducted by Crowe Chizek & Company LLC in compliance with federal audit guidelines established by the U.S. Office of Management and Budget (OMB) Circular A-133. There were two findings in the University's internal controls; however, policies and procedures are in place to bring the University into full compliance.

4. Comprehensive Campaign Report: Vice President Read commented that the campaign is currently ahead of target. This report summarizes the campaign resources committed from July 1, 1999 through December 17, 2003, totaling $38,380,662 in support of NKU's campaign priorities.

5. Research, Grants, and Contracts: No further comments were made on the report as submitted. The report summarizes the successful proposals with the amount of award for each proposal for NKU faculty and staff from November 1, 2003 through December 31, 2003. Eleven proposals were awarded during this time period. The total amount of money awarded was $360,648.

C. Presidential Recommendations:

Consent Agenda Items: President Votruba indicated that item C.4 (Creation of NKU Research Foundation) was pulled from the agenda at this time for further review with the NKU Foundation and is planned to come before the Board at the next meeting in March). Also mentioned were items C.5 thru C.8 (New Program recommendations). Before these recommendations were submitted, assurance was made with Interim Vice President Warner that there was no expectation that resources were going to be forthcoming. These programs are in high demand in the community and have been approved by the Curriculum Review process. They are submitted for approval as resources are made available. A motion was made by Regent Butler and seconded by Regent Downing to approve the following Presidential Recommendations as listed below (1-10, with the exception of item #4). **Motion carried.**

1. Academic Affairs Personnel Actions:

Faculty Appointments: **Dreama Price**, Lecturer (Non-Tenure Track Renewable) in Elementary, Middle, and Secondary Programs, College of Education, effective January 1, 2004. **Roxanne Qualls**, Visiting Professor in the Department of Political Science and Criminal Justice, effective January 1, 2004.

Leaves Paid/Unpaid: **Carla Johnson,** Assistant Professor in the Department of Educational Specialities, unpaid leave without benefits, beginning December 22, 2003 through May 14, 2004.

Resignations: **Thomas E. Comte,** Interim Chair of Management and Marketing, effective, January 16, 2004 and **Georgena Thomas,** Assistant Dean/Lecturer, effective January 15, 2004.

2. Temporary Faculty Appointments for 2003-04 (Spring Semester): **Roger Blanchard,** College of Arts and Sciences, Department of Chemistry.

3. New Policy – Graduate Council: The Graduate Council developed and approved a new policy for defining the criteria and privileges for Graduate Faculty status. Unlike the prior policy, this policy sets forth criteria and privileges for two categories of Graduate Faculty: Full Graduate Faculty status and Associate Graduate Faculty status. The policy meets the standards required by SACS and is consistent with the policies at many of our benchmark institutions.

4. Creation of NKU Research Foundation – Item was pulled for further review and will be recommended to the Board at the March meeting.

5. New Program - B.S. in Athletic Training, College of Education: The College of Education developed the proposed program Bachelor of Science in Athletic Training as an allied health degree that will be offered through the Department of Educational Specialities. The program of excellence will produce well-rounded and competent entry-level athletic trainers for positions in orthopedic rehabilitation centers, wellness/fitness centers, high schools, college programs, and professional teams. The program was approved to be initiated at the beginning of the fall 2004 semester.

6. New Program – B.S. in Computer Information Technology, College of Arts and Sciences: The College of Arts and Sciences began the process of developing the Computer Information Technology program as a result of the 2001 National Center for Higher Education Management Systems (NCHEMS) study commissioned by Northern Kentucky University and the subsequent campus discussions. Throughout the 2001-2002 academic year and spring 2003 semester, the department studied changes taking place across a variety of institutions of higher education and examined NKU's existing technology programs. Particular attention was paid to the effectiveness of these existing programs to meet the community's need for properly trained and capable information technology employees. The program was approved to be initiated at the beginning of the fall 2004 semester.

7. New Program – Master of Industrial-Organizational Psychology, College of Arts and Sciences: The College of Arts and Sciences developed the proposed program, Master of Industrial-Organizational Psychology, for students unable to pursue post-graduate study on a traditional full-time basis. The Industrial-Organizational Psychology program's part-time focus will parallel that of other NKU graduate programs. The program was approved to be initiated at the beginning of the fall 2004 semester.

8. New Program – B.A. in Liberal Studies, College of Arts and Sciences (BALS): The College of Arts and Sciences developed the proposed program (BALS) for students who neither need nor desire the depth of study required in a focused, single discipline major. The program is broader and more flexible that those offered by traditional majors while still providing a solid university level base of information. Given the high percentage of college graduates who will work outside their major area of study, this degree offers a broad investigation into multiple disciplines. The general education upper-division requirements coupled with the area of concentration options will also insure depth of investigation. The program was approved to be initiated at the beginning of the fall 2004 semester.

9. Non-Academic Personnel Actions: Categories of non-academic personnel actions which occurred between October 23, 2003 and December 17, 2003: Activations; Temporary/Contract to Regular; Transfer; Reassignments, Reclassifications, Re-Engineering, and Title Changes; Promotions; Retirees; and Departures.

10. NKU Organizational Structure: The structure reflects all NKU Administrative changes from July 9, 2003, to January 21, 2004. The reporting lines listed are for Director level and above, but include: individuals who directly report to the President; the Associate Director for Financial Planning; Departmental Chairs under Academic Affairs; Coaching areas under Intercollegiate Athletics; and the Manager of the Bookstore/Follet which is a separate entity of the University.

11. Acceptance of contributions received by the NKU Foundation Inc.: Vice President Read indicated that additional contributions have been received since the end date of December 17 as

listed in the recommendation. These contributions will be reported at the next Board meeting in March. A motion was made by Regent Pace and seconded by Regent Zapp to accept contributions totaling $1,149,689 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period November 1, 2003 through December 17, 2003. **Motion carried.**

In recognition and appreciation for Robin Crigler on her last day with the Board and the University, Chair Wilson welcomed everyone to stay for refreshments immediately after the meeting.

Regent Downing stressed the Board of Regent's appreciation of the President at this time for his expertise on the budget reductions and for his work with the Governor and Legislature. Mr. Downing stated that the President had maintained a positive attitude for the University to move forward.

With no further business, at 4:35 p.m., a motion was made by Regent McCoy and seconded by Regent Pace for the Board to adjourn. **Motion carried.**

Lilla F. Losey
Sr. Administrative Assistant
Office of the President

Robin J. Crigler
Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on January 21, 2004, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary of the Board of Regents

6:00 p.m. **March 16, 2004 - Regent Dinner at President's Home (Lakeside Park, KY)**

9:30 a.m. **March 17, 2004 – Joint Policy and Finance Mtg. (University Center Ballroom)**
11:45 a.m. **March 17, 2004 – Reception for Eva Farris Auditorium dedication (Business-Education-Psychology Bldg 200)**
1:00- p.m. **March 17, 2004 – Board of Regents Mtg. (University Center Ballroom)**
(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

AGENDA
Northern Kentucky University
Board of Regents Meeting
Wednesday, March 17, 2004 - 1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of January 21, 2004, Board Minutes
- Announcement - Fidelity Investments (Paul Smith and Kevin Canafax)
- Presidential Comments

A. **Joint Finance and Policy Committee:**
1. Academic Quality and Capacity (Wells)
2. Budget Update (President/Hunter)
3. Grant County Expansion Plan (Beere)

B. **Presidential Reports:**
1. Facilities Management (Ramey)
2. 2004-05 Faculty Development Awards - Project Grants (Wells)
3. 2004-05 Faculty Development Awards - Summer Fellowships (Wells)
4. Comprehensive Campaign Report (Read)
5. Research Grants and Contracts (Beere)

C. **Presidential Recommendations:**
*1. Academic Affairs Personnel Actions
*2. Emeritus Status
*3. Reappointment, Promotion, and Tenure
*4. Faculty Development Awards – Sabbaticals
*5. New Program – Master of Liberal Studies, College of Arts and Sciences
*6. Non-Academic Personnel Actions
*7. Vacation/Annual Leave Policy Revision
*8. Northern Kentucky Water District Easement
9. Acceptance of contributions received by the NKU Foundation during the period of December 18, 2003 through February 29, 2004.
10. Request for One-Year Quantitative Waiver of Limitations

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilia Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, March 16, 2004).**

D. **Other**: (Calendar events)- Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule 2004:**
   May 12 September 8
   July 21 November 17
2. **Council on Postsecondary Education Meeting Schedule for 2004:**
   May 23 & 24
   July 18 & 19
   September 19-20 (CPE mtg. and IEG Fall Trusteeship conf. – Bowling Green)
   November 7-8
3. **Upcoming Activities (2004):**
   - April 7, 6:00 p.m. – Lincoln Award Banquet (RiverCenter in Covington)
   - April 8, 6:00 p.m. – NKU Regents and NKU Foundation Executive Committee dinner (Met Club, Cov.)
   - April 17, 9:00 a.m. – NKU Women's Walk (location to be announced)
   - April 22, 12:00 noon – Spring Convocation/Budget Presentation (Greaves Concert Hall)
   - April 28, 10:00-3:00 p.m. – Student Celebration of Research and Creativity (UC Plaza)
   - May 20, 10:00 a.m. – NKU Foundation Annual Meeting (308 New Science Center)
   - May 15, 10:00 a.m. – Spring Commencement Ceremonies (US Bank Arena)
   - June 14 – President's Golf Outing (Triple Crown Country Club)

Board of Regents Meeting
Northern Kentucky University
March 17, 2004

The regular meeting of the Board of Regents was called to order at 1:08 p.m. on Wednesday, March 17, 2004, by Chair Wilson.

Invocation was read by Regent Beck.

Roll Call: Beverly Beck, Chuck Brown, Martin Butler, Frank Downing, Joyce Griffin, Carrie McCoy, Christopher Pace, Betty Pogue, Anna Dale Pyles, Brenda Wilson, and Robert Zapp.

Others Present: James Votruba, Michael Baker, Deborah Read, Gerald Hunter, Sara Sidebottom, Mark Shanley, Carole Beere, Ken Ramey, Gail Wells, Mimi Assanuvat, Lilla Losey, Mike Carrell, Rick Meyers, Chris Cole, Zane Harris, Joe Ruh, Gary L. Pratt, Katie Herschede, Rachelle Bruno, Rick Van Sant–Ky. Post, Tiffany Bellafant, Rachel Whisnor, Katie Clark, Betty Mulkey, Carol Scherbak, Mary Paula Schuh, Amy Fries, Pat Moynahan, Jeff Martin, Bill Farro, Emily Chalfant, Wanda Griffith, Carol Ryan, Mary Kirk, Ellen Tibbs, Marlena Judson, Abby Bentley, Mike Mimms, Mary Lepper, Gary Graff, Mary Ryan, Nancy Firak, Barry Andersen, Phil Schmidt, Jane Meier, Cheryl Nuñez, Michael Tobergta, Kevin Canafax, Paul Smith, Joe Mills, and Jerry Smith.

With no changes or corrections, the minutes of the January 21, 2004, Board of Regents meeting were approved without objection.

Paul Smith and Kevin Canafax from Fidelity Investments announced the second installment of the $1M gift toward the University Capital Campaign. This contribution will benefit the Lake Renovation project.

Members of the NKU Panhellenic Association were present at the meeting to award Regent Chairwoman Brenda Wilson with the first annual Panhellenic Association of Northern Kentucky University Woman of Distinction Award. The Association believes in promoting values, education, leadership, friendships, cooperation and citizenship. The award was presented to Chair Wilson by the Association's President Katie Clark.

Presidential Comments:

- An update was given on the current state legislative process (eleven days remaining at this time). The budget that was transferred from the House to the Senate includes the Special Events Center ($42M).
  - There is $5M in the state budget to help correct historic underfunding and growth (this is to be shared with the other state universities). NKU's portion of that at this point would be approximately $740K in the second year of the biennium.
- Acknowledgment was given for the support demonstrated by our Caucus members, corporate and community leaders, and the Governor and his staff. Joe Wind, Executive Director of Community and Government Relations and Advisor to the President, was also recognized for his tireless work on behalf of the University in Frankfort.
- Chase graduate and Federal Liaison, Mac Riley, was thanked for his work in Washington D.C. He was instrumental in the University receiving funds. The President recently gave testimony at the U.S. Senate on behalf of higher education.
- Earlier this semester, we had nearly 200 representatives from our local school districts on campus to attend a "High School to College Transition Forum." Factors were reviewed that impact success and failure. Discussion included the University's intent to implement admissions standards in the Fall of 2005. Recognition was given to Paul Reichardt, Stephanie Baker, Barbara Stonewater, and Mark Shanley for their work on this effort.
- Earlier today Provost Wells reviewed the proposed investments that will flow from the Academic Quality and Capacity Initiative which will take NKU to the next level of development and make us a greater force in the life of our students and our community.
- Prior to the Board meeting there was a ceremony to dedicate the newly renovated Eva Farris Auditorium. This $290K gift reflects the generosity and commitment of Oakley and Eva Farris and comes on top of a $1M gift made by Mr. Farris two years ago to establish the Eva Farris Scholarship Program in the College of Business.
- This year the Board nominated Regent Downing for the Association of Governing Board's annual Trustee of the Year Award. While he did not receive the award this year, on behalf of NKU's faculty, staff, students, and administration, the President acknowledged and thanked Regent Downing for his outstanding service to the University.

A. **Joint Finance and Policy Committee Reports:** (Policy reports 1 & 2 were given by Regent Griffin)

1. **Academic Quality and Capacity:** Provost Wells presented a plan to increase academic quality and capacity by investing resources in the following areas over the next two years: Education, Information Science, Public Policy and Management, Professional and Applied Ethics, Business Programs, Health Care, Graduate Programs, Academic Support, and Retention. The investments are consistent with the 2004-08 Strategic Agenda and focus on the following goals: enhance P-12 education; support local government and community decision making through the application of academic expertise; support the local nonprofit community by contributing to capacity building; increase enrollments in graduate programs; and support regional economic expansion.

   The investments outlined by Provost Wells provide support for the full breadth of the University's mission – enhancing teaching, learning, scholarship and public service.

2. **Grant County Expansion Plan:** Associate Provost Beere gave a presentation on NKU's expansion in Grant County. The expansion involves moving into a new facility that is more than twice the size of our current facility, and will expand our academic programs and academic services in Grant County. The facility itself used to be the City Building for the City of Williamstown. It will undergo both exterior and interior renovation. The funding for the exterior renovation is coming from the City of Williamstown; internal renovation is being paid for through contributions raised by the Grant County Foundation for Higher Education. We anticipate that our fall classes in Grant County will be taught in the new facility.

3. **Budget Update:** (Finance report was given by Regent Brown)
   The legislative update from President Votruba covered the University's FY 2003/04 Operating Budget Reduction which is expected at $4M, with $2M recurring. The NKU Board of Regents had a prior briefing on the Administration's plan to address the reduction at the January 21, 2004, Board meeting.

   The current budget contains $4M in debt service for the Regional Special Events Center ($42M project scope), however, funding for the Old Science Building seems unlikely at this time.

   Vice President Hunter addressed the FY 2004/05 anticipated funding needs total of $11.2M: Academic quality and capacity ($2.4M); Fixed Costs ($2.9M); Non-discretionary ($4.6) and General Operating ($1.3M).

   At the upcoming May 12, 2004, Board of Regents meeting, the Administration will submit a budget recommendation for FY 2004/05 that will address anticipated funding requirements and critical investment needs. Tuition recommendations will also be made at that time.

   Regent Brown acknowledged the Northern Kentucky Caucus, President Votruba, Joe Wind, Gerald Hunter, and especially the students for their hard work and efforts on behalf of the University during this legislative session.

   President Votruba also recognized Team NKU, which includes former Student Regent Katie Herschede, for their support and help in Frankfort during this legislative session. Particular acknowledgment was given to Regent Pace, who spoke with deep conviction and eloquence in his comments with the Governor at the January Board meeting.

B. **Presidential Reports:**

1. **Facilities Management:** Vice President Ramey's report highlighted the following projects:
   - Fitness Center: Construction bids are due by the end of this month. This project is expected to be complete by August 15, 2004, and will greatly improve the quality of life for the students.
   - BEP 200 Renovation: There was a dedication today for the Eva G. Farris Auditorium. Renovation included new lighting, seating, carpet, wall treatments, etc.
   - Lake Development: A project with a scope of $1.5M has been established to improve the lake area of the campus. Through the generous donation by Fidelity Investments, an initial $1M is available for this project. The improvements will include landscaping, walkways, seating, and other improvements.
   - Digital Classroom/Planetarium Project: The project construction bid will open at the end of March in Frankfort.

There is a total scope of $1.7M for this project, which is to be funded with private and federal funds. The University has received $500K from the US Bank/Ralph Haile Foundation to assist with completion of this project in the new Natural Science Center.

- New Student Union: The University has been meeting regularly with the design/development team with the completion of that phase expected over the summer. The approved 2002-04 State budget provided authorization for design only; legislative approval for construction is a priority during the 2004 session.

2. **2004-05 Faculty Development Awards - Project Grants:** Provost Wells commented that these awards are approved through a competitive process to encourage professional growth for independent research. Many of the projects involve students working side-by-side with the faculty members. The University recognizes the role of research as an integral part of professional activity of the faculty. Faculty Project Grants are intended to provide funds for research equipment and activities that are not available through program budgets.

3. **2004-05 Faculty Development Awards - Summer Fellowships:** Provost Wells commented that these awards are designed for faculty to engage in individual research, scholarly writing, and creative and artistic projects during the summer and to share the results of their efforts with the broader University community.

4. **Comprehensive Campaign Report:** Vice President Read reported that the campaign is nearing its completion. In the last five years, private support has helped transform the University to a new level of excellence. The report summarizes the campaign resources committed from July 1, 1999 through February 29, 2004, totaling $39,053,803 in support of NKU's campaign priorities. Although not in the report, it is important to note that participation among the University Alumni has exceeded 18% in annual giving.

5. **Research Grants and Contracts:** No further comments were made on the report as submitted. The report summarizes the successful proposals with the award amount of each proposal for NKU faculty and staff from January 1, 2004, through February 29, 2004. Seventeen proposals were awarded during this time period. The total amount of money awarded was $1,368,196.

C. **Presidential Recommendations:**
Consent Agenda Items: A motion was made by Regent Pace and seconded by Regent Zapp to approve the following Presidential Recommendations as listed below (1-8). **Motion carried.**

1. **Academic Affairs Personnel Actions:**
Administrative Appointments: Dale Elifrits, from Visiting Professor and Director of Pre-Engineering and Outreach, to Interim Director of the Center for Integrative Natural Science and Mathematics, effective February 1, 2004, through June 30, 2004; **Mary Kirk**, from Professor and Interim Chair of the Department of Educational Specialties, to Chair of the Department of Educational Specialties and Professor in the Department of Educational Specialties, College of Education, effective January 1, 2004; **Carol Ryan**, from Associate Professor and Interim Chair of the Department of Elementary, Middle, and Secondary Programs, to Chair and Associate Professor of the Department of Elementary, Middle, and Secondary Programs, College of Education, effective January 1, 2004; **Phillip Schmidt**, from Director of the Center for Integrative Natural Science and Mathematics, to Interim Dean for the College of Arts and Sciences, effective February 1, 2004, through June 30, 2004; **Leslie Turner**, from Professor in the Department of Accountancy, to Professor and Chair of the Department of Accountancy, College of Business, effective July 1, 2004; **Jerry W. Warner**, Special Assistant to the Vice President for Academic Affairs and Provost and Assistant to Interim Director of Center for Integrative Natural Science and Mathematics, effective February 1 2004, through June 30, 2004; and **Gail W. Wells**, from Dean of the College of Arts and Sciences and Professor in the Department of Mathematics and Computer Science, to Vice President for Academic Affairs and Provost and Professor in the Department of Mathematics and Computer Science, effective February 1, 2004.

Faculty Appointments (effective August 16, 2004): Jennifer M. Anglim, Assistant Professor of Law, Salmon P. Chase College of Law; **Heather Bullen**, Assistant Professor in the Department of Chemistry, College of Arts and Sciences; **Linda Dynan**, Assistant Professor of Economics, in the Department of Economics and

Finance, College of Business; **Young Kim**, Assistant Professor of Finance in the Department of Economics and Finance, College of Business; **Francois Le Roy**, Assistant Professor in the Department of History and Geography, College of Arts and Sciences; **Michael J. Manniheimer**, Assistant Professor of Law, Salmon P. Chase College of Law; **Stefan Paula**, Assistant Professor in the Department of Chemistry, College of Arts and Sciences; **Jennifer Pealer**, Assistant Professor in the Department of Political Science and Criminal Justice, College of Arts and Sciences; **Ryan Teten**, Assistant Professor in the Department of Political Science and Criminal Justice, College of Arts and Sciences; and **Jennifer A. Butler Woodside**, Assistant Professor in the Department of Communication, College of Professional Studies.

Leaves Paid/Unpaid: **Joe Conger**, Professor and Chair in the Department of Theatre, paid administrative leave with benefits, effective July 1, 2004, through June 30, 2005; **Angela Lipsitz**, Professor of Psychology in the Department of Psychology, unpaid leave with benefits, effective August 16, 2004, through May 14, 2005; **W. Michael Ryan**, Professor of History in the Department of History and Geography, one quarter time unpaid leave with benefits, effective August 16, 2004, through May 14, 2005; and **Caryl Yzenbaard**, Professor of Law, Salmon P. Chase College of Law, unpaid leave with benefits, effective January 1, 2005, through May 14, 2005.

Retirements: **Martin J. Huelsmann**, Professor of Law, Salmon P. Chase College of Law, effective June 30, 2004 and **Charles Pinder**, Chair of the Department of Technology and Professor of Industrial Technology, through the special chairs' retirement opportunity, effective April 1, 2004.

2. Emeritus Status: **Martin J. Huelsmann**, Professor of Law, Salmon P. Chase College of Law.

3. Promotion, and Tenure, Continued Probation, Continued Probation with Conditions to be Removed, and Terminal Reappointments 2004-2005 academic year:

Promotion:
College of Arts and Sciences: **Art:** Kimberly Allen-Kattus; **Literature and Language**: John Alberti and Roxanne Kent-Drury; **Mathematics and Computer Science:** Daniel J. Curtin; **Sociology, Anthropology, and Philosophy:** Kristen Yount; and **Theatre:** Samuel Zachary.
College of Education: **Elementary, Middle, and Secondary Programs**: Denise Dallmer.
College of Professional Studies: **Nursing and Health Professions:** Debra Kasel.
Salmon P. Chase College of Law: **Chase College of Law:** Ken Katkin and **Chase College of Law Library:** Michael Whiteman.

Tenure:
College of Arts and Sciences: **Art:** Kimberly Allen-Kattus; **Literature and Language**: Rosanne Kent-Drury; and **Physics and Geology:** Scott Nutter.
College of Education: **Elementary, Middle, and Secondary Programs:** Denise Dallmer.
College of Professional Studies: **Nursing and Health Professions**: Debra Kasel.

Continued Probation:
College of Arts and Sciences: **Art:** Kevin Muente; **Biological Sciences**: Charles Acosta, Richard Boyce, Richard Durtsche, Patrick Schultheis, and Thomas Sproat; **Chemistry:** K. C. Russell and Keith Walters; **History and Geography**: Eric Jackson and Debra Meyers; **Literature and Language**: Jonathan Cullick, Parmita Kapadia, P. Andrew Miller, and Tamara O'Callaghan; **Mathematics and Computer Science**: James W. Hauser, Hee-Jeong Lim, Andrew Long, Gail Mackin, and Carl Miller; **Physics and Geology**: Samuel Boateng and Sharmanthie Fermando; **Political Science and Criminal Justice**: Michael Baranowski, Mark Marsolais, and Amy Thistlethwaite; **Psychology:** Bill Attenweiler, Mark Bardgett, Robin Myers Bartlett, and Kimberly Hanson Breitenbecher; **Sociology, Anthropology, and Philosophy:** Nancy Hancock; and **Theatre:** Jamey Strawn.
College of Business: **Accountancy:** Peter Theuri and Andrea Weickgenannt; **Management and Marketing:** Matthew Ford, Richard Gilson, Bertie Greer, Stephanie Hughes, Aron Levin, Doris Shaw, and Tracey Sigler; and **Information Systems:** Robert Mahaney.

College of Education: **Educational Specialties**: Anne Broughton, Kimberly Code, John Huss, Carla Johnson, Melissa Jones, and Rosa Weaver; and **Elementary, Middle, and Secondary Programs**: Maggie McGatha, Sara Runge-Pulte, and Rajeev Swami.
College of Professional Studies: **Communication:** Mary Cupito and Chris Strobel; **Nursing and Health Professions:** Beth Hickey and JoAnn Randolph; **Social Work and Human Services**: Charles Lowe, Holly Riffe, and Jacqueline Smith; and **Technology**: Sean Foley and Morteza Sadat-Hossieny.
Salmon P. Chase College of Law: **Chase College of Law**: Ken Katkin, Alberto Lopez, and William Sjostrom, Jr. **Chase College of Law Library**: Eric Young and Michael Whiteman.
W. Frank Steely Library: **Steely Library**: Jennifer Gregory, Colleen Kennedy, and Sheri Myers.

Continued Probation with Conditions to be Removed:
College of Arts and Sciences: **Mathematics and Computer Science:** David Schenck.
College of Business: **Information Systems**: Toru Sakaguchi and Xiaoni Zhang and **Management and Marketing:** Ken Rhee.
College of Education: **Elementary, Middle, and Secondary Programs**: Anjana Arora and Ernest Barnett.
College of Professional Studies: **Nursing and Health Professions**: Virginia Hedger and Caron Martin; **Social Work and Human Services**: Barbara Conklin and **Technology**: Melissa Bledsoe.
W. Frank Steely Library: **Steely Library**: Michael Providenti.

Terminal Reappointment:
College of Arts and Sciences: **Political Science and Criminal Justice**: Ramona Brockett and **Sociology, Anthropology and Philosophy**: Jo Ellen Burkholder.
College of Business: **Accountancy:** Rita Czaja and Phil Lewis.
College of Professional Studies: **Technology:** Simone Bess.

4. Faculty Development Awards – Sabbaticals (One Semester) : **Gary Clayton,** The Economic "Gravitational Effects" of One's Neighbors–A Contribution to the Theory of Economic Development; **Allen Ellis**: Captain Daniel Ellis; **Thomas Heard**, The Legal History of the Serpent Handling Churches; **Miriam Kannan**, Holocene Environmental and Climatic Histories of the Neotropics Based on Fossil Diatoms from Lakes in the Andes, Western Amazonia and the Galapagos Islands; **Barbara Klaw**, Diary of Philosophy Student, 1928-1930; **Ljubomir Nacev**, Access to Tax Justice and the Low-Income Taxpayer; **Chenliang Sheng**, Virtual Chinese for Speakers of English: A multimedia Interactive Elementary Course; **Jennifer Smith**, Appalachian Children's Literature; **Kristine Yohe**, Toni Morrison in Multiple Dimensions: Part 1: Beloved DVD Project; Part 2: Planning for Toni Morrison Society Conference.

   Faculty Development Awards - Sabbaticals (Academic Year): Linda Sheffield: Mentoring Mathematical Minds: Developing Challenging Mathematics Curriculum for Promising Elementary Students; and **Cady Short-Thompson,** 2004 Presidential Campaign Communication Research, Scholarship and Media Correspondence;

5. New Program-Master of Liberal Studies, College of Arts and Sciences: This program will be initiated at the beginning of fall 2005 semester. The Masters in Liberal Studies (MLS) is a unique interdisciplinary graduate program that offers study beyond the bachelor's level for persons who are interested in continuing their education in a diversified, challenging manner. The Master of Liberal Studies requires a total of 33-hours of approved graduate coursework. The curriculum includes a MLS Seminar (3 semester hours), a culminating project (6 semester hours), and a 24-hour customized plan of study.

6. Non-Academic Personnel Actions: The following categories of non-academic personnel actions, which occurred between December 18, 2003 and February 26, 2004, were approved: Activations; Temporary/Contract to Regular; Transfer; Reassignments, Reclassifications, Re-Engineering, Title Changes; Promotions; Departures; and Retirees.

7. Vacation/Annual Leave Policy Revision: This is a revision to the NKU Personnel Policy and Procedure Manual. Staff Congress recommended that the current policy allowing the transfer of a limited number of unused vacation days to sick leave be revised to require fewer years of service to be eligible for this benefit. This

recommendation provides more staff employees with a positive alternative to forfeiting unused vacation leave. As participants in the Kentucky Employees Retirement System, staff are permitted to apply accumulated sick leave to their months of service credit upon retirement.

8. **Northern Kentucky Water District Easement:** Authorization for the University administration to grant a permanent easement to the Northern Kentucky Water District for a relocated 12" water main, work that was accomplished to accommodate the new Power Plant. In addition, the Water District has requested to Quitclaim the easement previously granted for the prior location of the water line. This water line is located along the east edge of parking Lot J.

9. **Acceptance of contributions received by the NKU Foundation Inc.:** A motion was made by Regent McCoy and seconded by Regent Zapp to accept contributions totaling $224,355 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period of December 18, 2003, through February 29, 2004, as listed in the recommendation. **Motion carried.**

10. **Request for One-Year Quantitative Waiver of Limitations:** Adoption of the resolution is required by the Council on Postsecondary Education to approve any new academic programs for the University during the 2004 calendar year. NKU met five of the eight goals set out in the Kentucky Plan for Equal Opportunities. A quantitative waiver is required when a University fails to meet six of the goals. NKU exceeded goals in African American undergraduate student enrollment in fall 2002 and also growth over a four-year period of African American faculty. The University did not meet its objective in first-year student retention and graduation rates.

    Cheryl Nuñez, Director of Affirmative Action and Multicultural Affairs, was asked to comment. Ms. Nuñez indicated that the University's failure last year in meeting all of the objectives represented more of a glitch than a trend and felt that the University would continue to achieve greater levels of retention. The University has seven new programs pending before the CPE. This resolution will allow the CPE to approve these programs in addition to any others approved by the Board of Regents in the current calendar year. A motion was made by Regent Downing and seconded by Regent Beck to approve the resolution granting a one-year quantitative waiver of limitations under the provision of Administrative Regulation 13KAR 2:060. **Motion carried.**

Chair Wilson appointed a committee comprised of Regent Zapp (Chair), Regent Pogue, and Regent Downing to recommend to the Board of Regents the Executive Officers for the 2004-05 academic year.

With no further business, at 2:05 p.m. the meeting adjourned without objection.

Lilla F. Losey
Sr. Administrative Assistant
Office of the President

W. Michael Baker
Interim Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on March 17, 2004, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary of the Board of Regents

6:00 p.m. **May 11, 2004 - Regent Dinner at President's Home (Lakeside Park, KY)**

9:30 a.m. **May 12, 2004 – Joint Policy and Finance Mtg. (University Center Ballroom)**
11:45 a.m. **May 12, 2004 – Reception for Student Award Recipients (University Center TV Lounge)**
1:00- p.m. **May 12, 2004 – Board of Regents Mtg. (University Center Ballroom)**
(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

AGENDA
Northern Kentucky University
Board of Regents Meeting
Wednesday, May 12, 2004 - 1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of March 17, 2004, Board Minutes
- Presidential Comments

A. Joint Finance and Policy Committee:
1. Senior Satisfaction Survey (Stephanie Baker)
2. Tuition and Fees/NKU Budget Recommendation (President/Hunter)

B. Presidential Reports:
1. Facilities Management (Ramey)
2. Quarterly Financial Report (Ramey)
3. Comprehensive Campaign Report (Read)
4. Research Grants and Contracts (Beere)

C. Presidential Recommendations:
*1. Academic Affairs Personnel Actions
*2. First-Year Faculty Recommended for Continued Probation and Continued Probation with Conditions to be Removed.
*3. Emeritus Status
*4. Posthumous Honorary Juris Doctorate
*5. Non-Academic Personnel Actions
*6. Administrative Personnel Actions
*7. Bad Debt Write-Off
*8. Honorary Degree Candidate - conferred at the May 12, 2004, Commencement
*9. Chellgren Pathways to Entrepreneurship Speaker Series Endowment
10. Acceptance of contributions received by the NKU Foundation during the period of March 1, through April 28, 2004
11. Tuition and Fees
12. Resolution establishing and approving the Fiscal Year 2004-05 Annual Budget for NKU

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, May 11, 2004).**

D. Other: (Calendar events)- Please call if you have questions about any of the following events.

1. Regular Board of Regents Meeting Schedule:

2004:	July 21	**2005**:	January 19	July 13
	September 8 – Board Mtg.		March 9	September 14
	November 17		May 14	November 9

2. Council on Postsecondary Education Meeting Schedule for 2004:
May 23 & 24
July 18 & 19
September 19-20 (CPE mtg. and IEG Fall Trusteeship conf. – Bowling Green)
November 7-8

3. Upcoming Activities (2004):
- May 15, 10:00 a.m. – Spring Commencement Ceremonies (US Bank Arena)
- May 15, 4:00 p.m. – Spring Commencement Chase College of Law (Regents Hall)
- May 20, 10:00 a.m. – NKU Foundation Annual Meeting (308 New Science Center)
- June 14 – President's Golf Outing (Triple Crown Country Club)
- August 20, 9:30 a.m. - Fall Convocation (Greaves Concert Hall)
- August 30, 8:00 a.m-5:00 p.m. - Board of Regents Retreat (Metropolitan Club)
- October 5, Alumni Lecture Series–George McGovern and Bob Dole (Regents Hall)
- October 19, 12 noon - Staff Appreciation Day (Regents Hall)

Board of Regents Meeting
Northern Kentucky University
May 12, 2004

The regular meeting of the Board of Regents was called to order at 1:10 p.m. on Wednesday, May 12, 2004, by Chair Wilson.

Invocation was read by Regent Pace.

Roll Call: Beverly Beck, Chuck Brown, Martin Butler, Frank Downing, Joyce Griffin, Carrie McCoy, Christopher Pace, Betty Pogue, Anna Dale Pyles, Brenda Wilson, and Robert Zapp.

Others Present: James Votruba, Michael Baker, Deborah Read, Sara Sidebottom, Mark Shanley, Carole Beere, Ken Ramey, Gail Wells, Mimi Assanuvat, Lilla Losey, Dione Smith, Jesiah Brock, Larry Blake, Chuck Sambuchino-*The Recorder Newspapers*, Jeff Iker, Chad Hauke, Michelle Eviston, Linda Reynolds, Linda Parks, Debbie Carver, Carma Olberding, Perry Bratcher, C.J. Fryer, Emily Chalfant, Michael Carrell, Gerald St. Amand, Mary Paula Schuh, Sheena Dunn, Dana Freer, Steven Weiss, Barbara Conklin, Pat Moynahan, Amanda VanBerschoten–*The Northerner*, Chris Cole, Don Gorbandt, Andy Meeks, Rob Yelton, Anthony Redell, Drew Pifer, Aaron Stapleton, Andrew Lauer, Ron Fischer, Tom McKee, Rachel Whisner, Trey Orndorff, James Pollitt, Christina Smith, Sheree Davis, Paul Myers, Robert Johnson, Jody McFarland, Maureen Woods, Terri Schierberg, Deidra Fajack, Carel Beirne, Jerry Smith, Ron Jeremy, Lovingson Mtongwiza, Sue Roth, Gayle Brown, Krishna Kulasekayan, Joe Mills, Rick VanSant-*Kentucky Post*, Rebecca Bleh, Anna Hogan, Michael W. Grout, Matthew Brown, Patrick Crowley-*Cincinnati Enquirer*, Bob Newmann, Mary Lepper, Gary Graff, Mike Mimms, Zane Harris, Bill Farro, Don Gorbandt, Kent Kelso, Mary Ryan, Russ Kerdolff, Steve Meier, Jeff Martin, Kathy Stewart, Stephanie Baker, Andy Wilson, Andy Hixson, Rachelle Bruno, Angie Gabbard, Cheryl Nunez, Joe Wind, Michael Tobergta, Angie Shaffer, Katie Herschede, Joe Ruh, and Rick Meyers.

With no changes or corrections, the minutes of the March 17, 2004, Board of Regents meeting were approved without objection.

Outgoing Student Regent Christopher Pace was recognized by the President for his leadership and accomplishments during his term as Regent. A resolution was read and presented to Regent Pace by Chair Wilson.

Presidential Comments:

- There have been major accomplishments this year in advancing the vision of the University and its capacity to serve the needs of our students and the region.
- This coming Saturday, the University will have over 1,000 students graduate with approximately 7,000 guests expected in attendance. The Honorable Elaine L. Chao, U.S. Secretary of Labor, will be our honorary degree recipient and speaker.
- Our Chase College of Law set the standard for both first time and overall bar passage in Kentucky. This is a significant achievement for Dean St. Amand and the faculty and students at Chase.
- Of our 13 intercollegiate athletic programs, 9 have gone to the NCAA tournament this year. David Bezold has been recommended as the new men's head basketball coach.
- Over 400 students participated in our celebration of research and creativity activity. This is the third year of this program, beginning with approximately 120 students.
- Special thanks to Vice President Deborah Read, our Capital Campaign has been very successful. With a $40M goal, we now stand at $43M, a year in advance of our scheduled completion.
- The prospects for a state budget this year continue to remain very uncertain. Nevertheless, it is believed that today's recommended budget for NKU will: sustain our campus momentum; protect and enhance academic quality based on what both our students and our community describe as important; protect affordability (need-based financial aid); and enhance budget reserves in the face of uncertain financial times. There was detailed discussion this morning in the Policy and Finance meeting on the University's budget proposal. Highlights of that discussion included the $624 tuition increase for in-state undergraduates and the fact that NKU will remain the lowest priced university in the tri-state, as well as one of the lowest priced in Kentucky. While the Governor has pledged to correct NKU's historic underfunding, no progress has been made to date. Next year, our students will pay over 60% of the public support of their education. The challenge that the University has had this past year has not been easy. The tuition increase was necessary for the overall quality of education. The national trend is

to privatize public higher education.

- Kathy Stewart, Director of Special Events, and her staff were recognized for their dedication and hard work in putting a public face on the University, particularly, when looking toward Commencement this Saturday.
- Joe Wind, Executive Director of Government and Community Relations, was recognized on behalf of the University for his work over the last nine months in Frankfort to advance both the University and the region. Mr. Wind was also recognized for his ability to organize the voice of the students in his work.
- This spring, the University Student Government Association had a very contentious student election process, with accusations and charges of mischief from all sides. Late in the campaign, the eventual winning slate was declared ineligible by the SGA student committee that oversees such matters, based on a constitutional interpretation. That decision was appealed to the dean of students who ruled in favor of the eventual winners.

  That decision was appealed to the Board of Regents. As with all matters, the Board Chair asked that the appeal flow through administrative channels. After a comprehensive review by Vice President Shanley and University Legal Counsel Sara Sidebottom, the original recommendation by the dean of students was recommended to stand. After my review, I recommended to Board Chair Wilson that the election not be reviewed by the Board of Regents and that the outcome should stand and not overturn the popular vote of the student body. Regent Wilson concurred with this view.

In reference to the student election process, Chair Wilson indicated that she and the Board members were pleased to see students who care, stating that apathy can be damaging to any university. She reiterated the comments made by the President, referenced above, pertaining to this matter. Chair Wilson stated that the Board agrees with the President's finding and the election should stand.

A. Joint Finance and Policy Committee Reports:

1. **Senior Satisfaction Survey:** (Report given by Regent Griffin)
   A presentation was given by Stephanie Baker, Student Affairs Research Analyst, on the Senior Assessment Program and the results of the Noel-Levitz Student Satisfaction Inventory administered to approximately 400 NKU seniors in fall 2003. This survey allows NKU to make comparisons with approximately 1,100 other universities whose students also take the survey. Students rated a broad range of their college experiences by the importance of the specific expectation and their satisfaction with how well that expectation was met. This inventory provides a powerful tool to understand what NKU students expect from their college experience and how successful NKU is in providing instructional programs, supportive services, and an environment to meet these expectations.

   The results compared a national representative sample and NKU survey results from 1999. On the whole, NKU student expectations are being met, and students are highly satisfied with their NKU college experience and are also significantly more satisfied than the national comparison group on the majority of the survey items, particularly those that focused on instruction, advising, support services, and campus environment. Satisfaction levels for fall 2003 students were also substantially higher than the scores from the fall 1999 senior cohort.

   This data supports those initiatives and enhancements originating from the 1998-2003 Defining Our Future Strategic Plan, which were successful in enhancing the college experiences for NKU students.

2. **Tuition and Fees/NKU Budget Recommendation:** (Report given by Regent Brown)
   A presentation was given this morning by President Votruba and Vice President Hunter on the University's FY 2004-05 Operating Budget and the FY 2004-05 tuition recommendation. The University's operating budget recommendation totals $133.8M. This is an increase of $8.7M over the previous year. NKU is not expected to receive an increase in state appropriation. The FY 2004-05 Operating Budget includes $2,137,800 in base budget reallocation. The proposed budget also invests in the following strategic priority areas: Academic Quality and Capacity Initiative - $2.2M; Nondiscretionary Expenditures - $9.5M (includes budget reserve of $2M which is 5% of NKU's state operating appropriation); and Priority Funding Needs - $2M. NKU's FY 2004-05 Tuition Recommendation provides for a multi-year strategy to support three areas of investment: $2M for the University's academic Quality and Capacity Initiative (5% tuition increase over the next two years for a total of $4M over two

years); $1M to continue the second year of a 3-year commitment to the New Student Union (2.5% tuition increase in each of the three years for a total of 2.8M over three years); and 9.2% to address the University operating needs.

The 5% increase for Academic Quality and Capacity and the 2.5% for the New Student Union will continue into FY 2005-06.

The FY 2004-05 Tuition Recommendation was developed in consideration of NKU's affordability compared to states contiguous to Kentucky (8 years of data was presented). A key point was the review of NKU's net tuition & fees (gross tuition & fees less federal and state student aid and federal educational tax credits) which revealed a net tuition increase under 2% per year (this does not consider increases in institutionally funded aid & scholarships). Despite double digit increases over the past couple of years, NKU tuition remains affordable and competitive.

Regent Brown stated that a quality NKU education is still a good value which will greatly benefit our students in the future.

C. **Presidential Reports**:

1. **Facilities Management:** Vice President Ramey's report highlighted the following projects:
   - Digital Classroom/Planetarium Project: The construction bid came in under budget; however, construction will not begin until federal funds are received to help support the project.
   - Greenhouse: The construction bid for this project came in over budget. Feasability of another location and renovation of the existing greenhouse is being reviewed. Provost Wells has been very supportive and instrumental in moving this project forward. (Regent Pogue asked how much the Greenhouse bid was over budget. Vice President Ramey indicated that the second bid came in at $80K over, with the first bid approximately $160K over budget. President Votruba indicated that the location was also an issue with the Greenhouse. There is some concern from the Biology Department that the suggested location would be adjacent to a parking lot by the new Residence Hall).
   - New Parking Deck: Construction is moving along very well. Completion is anticipated at the start of this fall semester.
   - Performance Contracting/Energy Audit: Siemens Building Technologies, Inc. is in the final phase of the University's energy audit. There should be a recommendation at the July Board meeting to go into a performance contract with Siemens which should allow for beneficial upgrades to the University's energy program as well as the circulated air quality of the buildings. This will be funded by utility funds and financed through a performance-based contract administered by the Commonwealth.
2. **Quarterly Financial Report:** Vice President Ramey indicated that the University's revenue and expenditure projections are within the budget to date. He noted that the University has yet to receive the additional $2M budget reduction. This does not show on the statement as of March 31, 2004. With those reductions anticipated by the end of this fiscal year, it is very likely that the University will have a decrease in unrestricted net assets for the year.

3. **Comprehensive Campaign Report**: No further comments were made on the report as submitted. The report summarizes the campaign resources committed from July 1, 1999 through April 28, 2004 totaling $43,132,550 in support of NKU's campaign priorities.

4. **Research Grants and Contracts:** No further comments were made on the report as submitted. The report summarizes the successful proposals with the award amount for each proposal for NKU faculty and staff from March 1, 2004 through April 30, 2004. Seventeen proposals were awarded during this time period. The total amount of funds awarded was $2,019,474.

   A total of $7,500 was awarded during this time period for the Center for Applied Ecology.

C. **Presidential Recommendations:**

Consent Agenda Items: A motion was made by Regent Pogue and seconded by Regent Zapp to approve the following

Presidential Recommendations as listed below (1-9). **Motion carried.**

1. **Academic Affairs Personnel Actions:**
Administrative Appointments: Elaine Jarchow, Dean and Professor with Tenure in the Department of Elementary, Middle, and Secondary Programs, College of Education, effective July 19, 2004 and **Kimberly Allen-Kattus**, from Associate Professor of Art in the Department of Art, to Associate Professor of Art in the Department of Art, College of Arts and Sciences and Director of the Women's Studies Program, effective July 15, 2004.

Faculty Appointments: (effective August 16, 2004): Seyed Allameh, Assistant Professor in the Department of Technology; **Christopher Cook**, Assistant Professor in the Department of Elementary, Middle, and Secondary Programs; **Vassilis Dalakas**, Assistant Professor of Sports Business; **Mary Conway Dato-on**, Assistant Professor of Marketing in the Department of Management and Marketing; **Thomas J. Davie**, Assistant Professor in the Department of Art; **Donelle Dreese**, Assistant Professor in the Department of Literature and Language; **Shawn Faulkner**, Assistant Professor in the Department of Elementary Middle, and Secondary Programs; **Mark Hardy**, Assistant Professor in the Department of Theatre; **Giles Hertz**, Assistant Professor of Management/Entrepreneurship in the Department of Management and Marketing; **Lenore Kinne**, Assistant Professor in the Department of Elementary, Middle, and Secondary Programs; **Stephen Mueller**, Associate Professor of Management/Entrepreneurship in the Department of Management and Marketing; **Traian Marius Truta**; Assistant Professor in the Department of Mathematics and Computer Science; **Jeff Ward**, Assistant Professor in the Department of Mathematics and Computer Science; **Stephen Yungbluth**, Assistant Professor in the Department of Communication; and **Matthew O. Zacate**, Assistant Professor in the Center for Integrative Natural Science and Mathematics.

Leaves Paid/Unpaid: Jane Green, Lecturer in the Department of Theatre, unpaid leave without benefits, beginning August 16, 2004 through May 14, 2005; **Linda Marquis**, from Chair and Professor of Accountancy to Professor of Accountancy in the Department of Accountancy, paid administrative leave with benefits, effective August 16, 2004 through May 14, 2005; **Adam Todd**, Assistant Professor of Law, Salmon P. Chase College of Law, unpaid leave without benefits, effective August 1, 2004 through December 31, 2004.

Retirements: Donald Galli, Associate Professor in the Department of Mathematics and Computer Science, Phased Resignation Program Participant, effective August 16, 2004 (participation for three academic years); **Louis Noyd**, Associate Professor of Economics in the Department of Economics and Finance, effective May 15, 2004; and **Dennis O'Keefe**, Associate Professor in the Department of Political Science and Criminal Justice, effective May 28, 2004.

2. **First-Year Faculty Recommendation (under the conditions of the *Faculty Policies and Procedures Handbook*):**
Continued Probation for the 2004-2005 Academic Year:
College of Arts and Sciences – Art: Tobias Brauer and Julie Mader-Meersman; **Biological Sciences**: Hazel Barton, Nicole Minor, and Mary Kathryn Whitson; **Literature and Language:** Kate Cochran, Emily Detmer-Goebel, Barclay Green, Tonya Krouse, Gary Walton, and Christopher Wilkey; **History and Geography:** William Hansen; **Mathematics and Computer Science**: Mary L. Baggett; **Music:** David Cole, Brant Karrick, and Kurt Sander; **Physics and Geology:** Wayne Bresser and Janet Bertog; and **Political Science and Criminal Justice:** Kimberly Weir.
College of Business – Accountancy: Teressa Elliott; **Information Systems:** Teuta Cata; and **Management and Marketing:** Van Miller.
College of Education – Educational Specialties: Trey Morgan.
College of Professional Studies – Communication: Zachary Hart; **Nursing and Health Professions:** Nancy Brashear, Marian Cummins, Adele Dean, Sara Mohn, and Sandra Turkelson; **Social Work and Human Services:** Gregory Hatchett and Darrell Payne; **Technology:** Mark Rajai.
Salmon P. Chase College of Law – Law Instruction: Annette M. Burkeen, Christopher J. Gulinello, Davida H. Isaacs, and Lawrence Rosenthal.
Continued Probation with Conditions to be Removed for the 2004-05 Academic Year:
College of Professional Studies – Communication: Wendy L. Falato.

3. **Emeritus Status: Charles A. Pinder**, Professor, Department of Technology and **Jerald H. Richards**, Professor of Philosophy and Chair of the Department of Sociology, Anthropology, and Philosophy.

4. **Posthumous Honorary Juris Doctorate: Sebastian Todd Trusso**, a Salmon P. Chase College of Law student, had completed fifty-eight of his ninety required credit hours for the Juris Doctorate degree at the end of the fall 2002 semester and was in the process of his spring 2003 semester when he died suddenly. Mr. Trusso was a 3.0 plus law student and would have graduated in December 2003 and participated in the College of Law commencement ceremony in May 2004.

5. **Non-Academic Personnel Actions:** The following categories of non-academic personnel actions, which occurred between February 27, 2004 and April 21, 2004, were approved: Activations; Reassignments, Reclassifications, Re-Engineering, and Title Changes; Departures; and Retirees.

6. **Administrative Personnel Actions: Larry J. Blake**, Assistant Vice President for Facilities Management, effective March 22, 2004.

7. **Bad Debt Write-Off :** Authorization for the Vice President for Administration and Finance to write off bad debt for fiscal year 2003-04 from the University's financial records as operating expenses. This is accomplished once a year before the end of the fiscal year. Bursar Operations monitors the various categories to assure effective receivable management practices are in place.

8. **Honorary Degree Candidate - conferred at the May 12, 2004, Commencement:** Elaine L. Chao, Secretary, U.S. Department of Labor, is approved as the recommended candidate for an Honorary Doctor of Public Administration degree. The degree is to be conferred at the University's May 15, 2004, commencement ceremonies, held at US Bank Arena in Cincinnati, Ohio.

9. **Chellgren Pathways to Entrepreneurship Speaker Series Endowment:** Authorization for the Chair of the Board of Regents to submit a Notice of Board Approval to the Council on Postsecondary Education stating that the Northern Kentucky University Board of Regents accepts a gift in the amount of $77K in support of the Chellgren Pathways to Entrepreneurship Speaker Series Endowment. Authorization was also granted to submit the contribution for consideration of Commonwealth Matching Funds. The Board of Regents acknowledges responsibility for oversight of the endowment established with this contribution in accordance with council guidelines and procedures.

10. **Acceptance of contributions received by the NKU Foundation:** Vice President Read indicated that a $2M anonymous gift commitment had been received from an NKU graduate. This is the largest gift received from an NKU graduate in the University's history. This brings our total annual giving for this year at over $7M. The alumni participation rate for the annual fund this year is over 19%. This would rival and exceed many public universities; particularly since this University is largely a commuter institution. The University is ahead of schedule on the campaign at $43M to date. A motion was made by Regent Pace and seconded by Regent McCoy to accept contributions totaling $2,424,600 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period March 1, 2004 through April 28, 2004, as listed in the recommendation. **Motion carried.**

11. **Tuition and Fees:** President Votruba indicated that this recommendation was a challenge of choice and was not an easy process. Conversations began last fall with roughly a $750 increase. Through internal re-allocations, the University was able to bring the increase to roughly $624 for Kentucky in-state residents. Without the needed appropriations from the state, the quality of the academic experience and the preparation of students for work and for life needs to be protected.

    At this time, Regent Pace made a statement to the Board representing himself and other members of the Student Government Association who were against the proposed tuition increase, stressing that the University was in favor of growth over access.

President Votruba wanted to challenge the comment made by Regent Pace suggesting the University favors growth over access. The University had a difficult choice to make on whether to significantly increase class size and use more part-time faculty. This budget assumes less than 2% growth. The University can not grow more on the margin. Marginal growth was used on the revenue strategy to keep classes small and to fund quality.

Regent Pogue thanked Regent Pace for his eloquent statement to the Board opposing the tuition and fee increase. She hoped that Regent Pace would continue his campaign with the state legislature for what he feels is just and right. President Votruba indicated that this was the same fight that the University has carried to Frankfort as well–it is unjust for NKU students to pay 62% for the public financing of their education.

With one vote against the recommendation by Regent Pace, a motion was made by Regent Downing and seconded by Regent Butler to approve a comprehensive schedule of tuition, fees and charges for academic year 2004-05 at the rates proposed in the Authorized Schedule of Tuition Rates and Authorized Schedule of Fees and Service Charges and that the Board of Regents also approve an increase in tuition by 5% in academic year 2005-06 to support the second phase of the University's Academic Quality and Capacity initiative. **Motion carried.**

12. **Fiscal Year 2004-05 Annual Budget for NKU:** A motion was made by Regent Zapp and seconded by Regent Butler to approve the resolution establishing and approving the Fiscal Year 2004-05 Unrestricted Current Fund Annual Operating Budget for Northern Kentucky University in an amount totaling $133,800,000, beginning July 1, 2004, and ending June 30, 2005, subject to the realization and receipt of revenue totaling a like amount. Student Regent Pace voted against the recommendation, and there were two abstentions by Staff Regent Beck and Faculty Regent McCoy (although agreeing with the budget in principle, this action was taken due to their direct benefit from the recommendation as University employees). **Motion carried.**

With no further business, at 2:00 p.m., the meeting adjourned without objection.

Lilla F. Losey
Sr. Administrative Assistant
Office of the President

W. Michael Baker
Interim Executive Assistant to the President/
Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on May 12, 2004, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary of the Board of Regents

6:00 p.m. July 20, 2004 - Regent Dinner at President's Home (Lakeside Park, KY)

9:30 a.m. July 21, 2004 – Joint Policy and Finance Mtg. (University Center Ballroom)
11:45 a.m. July 21, 2004 – Board Luncheon (President's Dining Room - UC 105)
1:00- p.m. July 21, 2004 – Board of Regents Mtg. (University Center Ballroom)
(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

AGENDA
Northern Kentucky University
Board of Regents Meeting
Wednesday, July 21, 2004 - 1:00 p.m.

- Call to Order and Invocation
- Oath of Office - Andrew M. Hixson and Denise H. McClelland (reappointment of Joyce E. Griffin)
- Roll Call and Welcome
- Approval of May 12, 2004, Board Minutes
- Presidential Comments

A. Joint Finance and Policy Committee:
1. Kentucky Plan/The Committee on Equal Opportunities Site Visit (Nunez)
2. Admission Standards (Wells/Reichardt)

B. Presidential Reports:
1. Facilities Management (Ramey)
2. Comprehensive Campaign Report (Read)
3. Research Grants and Contracts (Beere)

C. Presidential Recommendations:
*1. Academic Affairs Personnel Actions
*2. Temporary Appointments
*3. Non-Academic Personnel Actions
*4. Performance Contract Agreement with Siemens Building Technologies
*5. Acceptance of contributions received by the NKU Foundation during the period of April 29, 2004 through June 29, 2004.
* 6. Fire Service Memorandum of Agreement
7. Admissions Standards

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, July 20, 2004).**

D. Regent Recommendation:
1. Executive Committee for 2004-05 (Regent Zapp) – Need recommendation page 2.

E. Other: (Calendar events)- Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule:**

2004:	**2005**: January 19	July 13
September 8	March 9	September 14
November 17	**May 4** (changed from May 11)	November 9

2. **Council on Postsecondary Education Meeting Schedule for 2004:**
September 19-20 (CPE mtg. and IEG Fall Trusteeship conf. – Bowling Green)
November 7-8

3. **Upcoming Activities (2004):**
- August 17, 4:30 p.m. – Faculty/Staff Picnic (University Center Lower Level Plaza Area)
- August 20, 9:30 a.m. – Fall Convocation (Greaves Concert Hall)
- August 30, 8:00 a.m–5:00 p.m. – Board of Regents Retreat (Metropolitan Club)
- September 9, 7:00 p.m. –Committee of 500 Event (Greaves Concert Hall)
- October 5, 7:00 p.m. – Alumni Lecture Series–George McGovern and Bob Dole (Regents Hall)
- October 13, time TBA – Congressional Debate (Regents Hall)
- October 19, 12 noon - Staff Appreciation Day (Regents Hall)
- December 18, 10:00 a.m. - Fall Commencement Ceremonies (US Bank Arena)
- May 7, 2005, 10:00 a.m. - Spring Commencement Ceremonies (US Bank Arena)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
July 21, 2004

The regular meeting of the Board of Regents was called to order at 1:05 p.m. on Wednesday, July 21,2004, by Vice Chair Brown.

Invocation was read by Regent Butler.

The Oath of Office was administered to new Regents Andrew Hixson and Denise McClelland by Vice Chair Brown.

Roll Call: Beverly Beck, Chuck Brown, Martin Butler, Frank Downing, Joyce Griffin, Andrew Hixson, Denise McClelland, Carrie McCoy, Betty Pogue, and Robert Zapp (Excused: Anna Dale Pyles).

Others Present: James Votruba, Michael Baker, Deborah Read, Gerald Hunter, Sara Sidebottom, Mark Shanley, Carole Beere, Ken Ramey, Gail Wells, Mimi Assanuvat, Lilla Losey, Dione Smith, Rick Meyers, Chris Cole, Bill Farro, Zane Harris, Justin Fenton, Lisa De Valk Mooney, Jim Ogle, Mike Carrell, Katie Herschede, Kurt Herschede, Carol Bredemeyer, Michael Tobergta, Cheryl Nunez, Tira Rogers, Angie Gabbard, Jeff Iker, Elaine Jarchow, Rick VanSant - *Kentucky Post,* Lindsey Taylor, Cora Moore, Jared Moore, Lenny Hall, Mary Ryan, Kathy Stewart, Pat Moynahan, Arne Almquist, Gary Pratt, Linda Reynolds, Linda Parks, C. J. Fryer - *The Northerner*, Gary Graff, Joe Wind, Mac Riley, Larry Blake, Mary Lepper, Deidre Fajack, Mary Paula Schuh, Paul Ellis, John O'Neill, Carol Beirne, Steve Meier, and Gerry St. Amand.

A motion was made by Regent Zapp and seconded by Regent Downing to approve the minutes of the May 12, 2004, Board of Regents meeting. **Motion carried.**

Presidential Comments:

- An update was given on the increase for University student enrollment compared to this time last year: summer – 1.8%; fall – 3.6%; undergraduate – 3%; first-time freshman – 7.4% (impact of tuition increase); graduate – 5.8%;and law – 12.3%.
- Governor Fletcher is currently involved in trying to broker both a tax reform agreement and a budget with both houses of the General Assembly. The Governor's commitment to NKU and to this region remains strong particularly to advancing equity funding. The University is well positioned at both the state and federal level. Joe Wind was recognized for his efforts in this regard, as it has been a very difficult year.
- Mac Riley was commended for his work as the University's point person on the federal level. Mac's leadership has brought new visibility for NKU in both Congress and with the administration. He and his wife, Michelle Ann, are tremendous ambassadors for NKU in Washington D.C.
- At the morning's Joint Policy and Finance meeting, Cheryl Nunez, Director of Affirmative Action and Multicultural Affairs, briefed the Board on the Kentucky Plan, the partnership between the Commonwealth and the federal Office of Civil Rights, and gave a description of Kentucky's Committee on Equal Opportunity, which will be visiting our campus this fall. One of NKU's core values is to build a diverse and multi-cultural campus which would ensure opportunity and enhanced learning for all. The corporate community wants a diverse workforce. It is the right thing to do not only for the University, but for the community.
- Discussion at the earlier committee meeting also included the University's proposal to apply new admission standards beginning in the fall of 2005 with full implementation in 2006. NKU is becoming the first choice for many of the region's best prepared students, with the demand for access to the University being at record levels. The standards will help insure that admitted students are prepared to succeed, and that access will not be compromised. This proposal is supported by the high school counselors and superintendents throughout the region.

Acknowledgment was given to the leadership of Paul Reichardt, Joel Robinson, Gerald Hunter, Mark Shanley, Gail Wells, and the NKU administrative team for their work on the development of these guidelines.

- Last Spring, the Student Government Association (SGA) developed a new constitution, which was approved by the student body. Within the student body and SGA, there was considerable controversy surrounding that process. It was recommended that the Board not approve the Constitution at this time, but that the document receive further review over the next several months by the SGA leadership and the University Administration. The Constitution is planned to be brought to the Board for approval at the November meeting.
- The new Dean of the College of Education, Elaine Jarchow, was recognized. Dean Jarchow is an experienced educational leader who has extensive experience working in cross-cultural and international settings. Rachelle Bruno was thanked for her outstanding leadership as acting dean during this period of transition.
- Mike Baker was thanked on behalf of the University and the Board of Regents for his work over the last six months as the Interim Executive Assistant to the President and Secretary to the Board of Regents (Mr. Baker managed this position while also maintaining his position as the Executive Director of the University Foundation). The position in the President's Office is expected to be filled before the next Board meeting in September.

Regent Recommendation: A motion was made by Regent Zapp, as Chair of the Nominating Committee, and seconded by Regent Downing to nominate the following Regents to serve as the Board of Regents Executive Committee for 2004-05: Chuck Brown (Chair), Anna Dale Pyles (Vice-Chair), and Martin Butler (Secretary). **Motion carried.**

A. **Joint Finance and Policy Committees:**

1. **Kentucky Plan/The Committee on Equal Opportunities Site Visit:** (Report was given by Regent Griffin)

   A presentation was given by Cheryl Nunez, Director of Affirmative Action and Multicultural Affairs, on The Kentucky Plan, which guides Kentucky's systemwide efforts to achieve equal educational opportunity, and on how NKU had performed in the past in comparison to the plan. The Plan was first initiated in response to a 1981 Office for Civil Rights finding that the Commonwealth had violated Title VI of the Civil Rights Act of 1964.

   Ms. Nunez discussed the University's upcoming site visit this fall (October 18 and 19) by the Committee on Equal Opportunities (CEO), at which time it will engage in focus group discussions with a variety of campus constituents, including students, administrators, and staff.

   Overlaying the Commonwealth's own Kentucky Plan, is its current Partnership Agreement with the Office for Civil Rights (OCR). The OCR is the federal entity whose charges of Title VI violation originally spurred the Kentucky Plan. It has been working closely with the Council on Postsecondary Education (CPE) and the institutions of higher education since 2000, to bring to a close its unresolved issues. It is expected that the OCR will soon offer a final pronouncement on whether Kentucky has satisfied its Title VI obligations. Notwithstanding the satisfaction of OCR concerns, under the requirements of Senate Bill 398 and its administrative regulation, the CPE and CEO will continue to work with the institutions of higher education to implement an educational equal opportunity plan. The collaborative process to draft a new plan is expected to take place over the next 15 months, with ample opportunities for institutional input.

2. **Admission Standards:** (Report was given by Regent Butler)
   The Admission Standards Committee was commended for their research and planning for the proposal. In September 2003, the Interim Provost charged a committee of faculty and administrators with determining whether the University's admission policies were properly aligned with its strategic agenda. After studying a variety of data related to recruitment, retention, and graduation, the committee issued a report which recommended that admission standards be adjusted to reflect the University's aspiration to become a "preeminent learner-centered metropolitan institution." At the same time, the proposed new standards are sensitive to the University's commitment to providing "access with opportunity to succeed" for both Kentucky residents and students in the Greater Cincinnati metropolitan region.

   The committee's study found that students entering the University with multiple academic deficiencies were not succeeding and graduating at acceptable rates despite the availability of viable academic assistance programs.

   The new admission standards are aimed at: improving the preparation of students entering the University; enhancing the academic support structure offered to students; improving retention and graduation rates; and supporting area high schools in their commitment to provide students with a strong curriculum and challenging learning experiences.

B. **Presidential Reports**:
   1. **Facilities Management:** Vice President Ramey's report included the following comments:
      - **Lake Development** (Lake Vista Project): The design phase is complete with construction expected to begin in the fall.
      - **Performance Contracting/Energy Audit**: Siemens Building Technologies, Inc. was chosen through a selection process coordinated by the Commonwealth. The purpose of the Technical Energy Audit Report is to identify a broad range of services and capital improvements to reduce consumption and related costs of energy and water use at both the Highland Heights and Covington Campuses. Based on the results of the audit, the administration requests that the Board authorize the Administration to proceed with a performance-based contract in the amount of $4,530,252. The entire project will be funded by energy savings within existing budgeted funds.
      - Larry Blake, the new Assistant Vice President for Facilities Management, was introduced to the Board.
      - Members of the University's Roads and Grounds department were recognized at the meeting for their campus beautification efforts.
   2. **Comprehensive Campaign Report**: Vice President Read indicated that it is wonderful to see the results of the Campaign such as the Lake Vista Project. The Campaign is one year ahead of schedule, and a celebration is scheduled for this coming November 11. The report summarizes the campaign resources committed from July 1, 1999 through June 29, 2004, totaling $43,734,288 in support of NKU's campaign priorities.

   3. **Research Grants and Contracts:** No further comments were made on the report as submitted. The report summarizes the successful proposals with the award amount of each proposal for NKU faculty and staff from May 1, 2004 through June 30, 2004. Eleven proposals were awarded during this time period for Research Grants and Contracts totaling $1,089,920 and thirteen proposals awarded for the Center for Applied Ecology totaling $135,475.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Butler and seconded by Regent Pogue to approve the following Presidential Recommendations as listed below (1-6). **Motion carried.**

1. Academic Affairs Personnel Actions:

Administrative Faculty Appointments: **C. Dale Elifrits**, continuing as Interim Director and Professor in CINSAM, effective July 1, 2004 through June 30, 2005; **Joan Ferrante**, from Professor in the Sociology, Anthropology, and Philosophy Department, to Interim Director of the Scripps Howard Center for Civic Engagement and Professor in the Sociology, Anthropology, and Philosophy Department, effective June 1, 2004 through May 31, 2005; **Nancy Firak**, Professor of Law and Associate Dean for Academics, Salmon P. Chase College of Law, effective July 1, 2004; **Kenneth Jones**, from Professor in the Department of Theatre to Chair and Professor in the Department of Theatre, effective July 1, 2004 through June 30, 2005; **Terry Pence**, from Professor in the Department of Sociology, Anthropology, and Philosophy to Interim Chair and Professor in the Department of Sociology, Anthropology, and Philosophy, effective July 1, 2004 through June 30, 2005; **Kurt Sander**, from Assistant Professor in the Department of Music to Interim Chair and Assistant Professor in the Department of Music, effective July 1, 2004 through June 30, 2005; **J. Michael Thomson**, continuing as Interim Chair and Associate Professor in the Department of Political Science and Criminal Justice, effective July 1, 2004 through June 30, 2005; **Jerry Warner**, from Special Assistant to the Vice President for Academic Affairs and Provost/Assistant to the Director of CINSAM to Chair and Professor in the Department of Biological Sciences, effectively July 1, 2004.

Faculty Appointments (effective August 16, 2004):**Matthew Albritton**, Lecturer, (non-tenure track renewable) in the Department of Art; **Nagore Beltran de Guevara**, Assistant Professor in the Department of Literature and Language; **Mark Hardy**, Assistant Professor in the Department of Theatre; and **Philip J. Moberg**, Assistant Professor in the Department of Psychology.
Faculty Appointments (effective July 1, 2004): **Michele Peers**, Lecturer/Advising Specialist (non-tenure track renewable) in the Department of Literature and Language and **Amy Racke**, Lecturer/Advising Specialist (non-tenure track renewable) in the Office of the Dean, College of Arts and Sciences.

Resignations: **Nancy Brashear,** Assistant Professor in the Department of Nursing and Health Professions, effective May 15, 2004; **Ramona Brockett**, Assistant Professor in the Department of Political Science and Criminal Justice, effective May 15, 2004; **Virginia Hedger**, Assistant Professor in the Department of Nursing and Health Professions, effective May 15, 2004; **Russell Jenisch**, Associate Professor in the Department of Communication; effective May 15, 2004; **Carla Johnson**, Assistant Professor in the Department of Educational Specialities, effective May 16, 2004; **Paul Kreider**, Chair and Professor in the Department of Music, effective June 30, 2004; **Maggie McGatha**, Assistant Professor in the Department of Elementary, Middle, and Secondary Programs, effective May 16, 2004; and **Mike Tolliver**, Lecturer in the Office of the Dean, College of Education, effective June 21, 2004.

Leaves Paid/Unpaid: **Danielle Roemer**, Associate Professor in the Department of Literature and Language, unpaid leave with benefits, for the fall semester 2004, commencing August 16, 2004 through December 31, 2004.

2. Temporary Faculty Appointments for 2004-2005: College of Arts and Sciences: **Art (Academic Year):** Heidi Endres and Paige Wideman; **Biological Sciences (Academic Year):** Hildegard Baldridge; **Literature and Language (Academic Year):** Lydia Coyle, Maureen Cronin, Kristin Dietsche, Paul Goodin, Angela Hesson, Darlene Hollon, Melissa Ibarra, Gary Leising, Virginia Paddock, Dolores Schreiner, Martha Viehmann, Hannelore Wolfram, Mari York; **Mathematics and Computer Science (Academic Year):** Shelley Cain, Michael Collins, Marilyn Hoffman, Patsy Sisson, and John Taylor; **Music (Academic Year):** Brian Hogg; **Physics and Geology (Academic Year):** Chari Ramkumar; **Political Science and Criminal Justice (Academic Year):** James Binney, Frank Edelen, Bruce McClure, and Jill Shelley; **Psychology (Academic Year):** Kim Case, Heather Park Hatchett, and Juliann Bosko Young; **Sociology, Anthropology, and Philosophy (Academic Year):** Gary Blahnik, Nicole Grant, Michael Simonton, and Charles Ward; **Theatre (Academic Year):** Brian Robertson.

   College of Education: **Educational Specialities (Academic Year):** George Frakes, J. Ron Gardella, Cathy Ingram, Shannon McClintock, and Bianca Prather-Jones and **Elementary, Middle, and Secondary Programs (Academic Year):** Toni Keller, Polly Page, and Dreama Price.

   College of Professional Studies: **Technology (Academic Year):** Terry Rogers.

   W. Frank Steely Library **(Academic Year):** Mary Chesnut.

3. Non-Academic Personnel Actions: The following categories of non-academic personnel actions, which occurred between April 22, 2004 and June 30, 2004, were approved: Activations; Temp/Contract to Regular Position; Reassignments, Reclassifications, Re-Engineering, and Title Changes; Promotions; and Departures.

4. Performance Contract Agreement with Siemens Building Technologies: The University Administration is authorized to enter into a Performance Contract Agreement with Siemens Building Technologies, Inc. to complete several energy related projects on the Campus at a total cost of $4,530,252. The capital improvements will be funded entirely by utility savings dollars and financed through a performance-based contract administered by the Commonwealth. Benefits include no capital investment by the University, guaranteed significant long-term utility/energy savings and improved occupant comfort and building functionality. The payback period for the selected improvements is 11 years.

5. Acceptance of contributions received by the NKU Foundation during the period of April 29, 2004 through June 29, 2004: The NKU Foundation Inc. received contributions totaling $472,020 during this period for the benefit of Northern Kentucky University.

   The Division of University Advancement determined to submit all contributions of $10K or more received by the NKU Foundation Inc. in support of the University to the Board of Regents as requested by the Council on Postsecondary Education.

6. Fire Service Memorandum of Agreement: The University Administration is authorized to renew the University fire service Memorandum of Agreement with the Central Campbell County Fire District. Through this contract, the University receives fire service, assistance with construction issues, and advice and participation in fire education initiatives.

7. **Admissions Standards:** No further comments were made on the recommendation as submitted. A motion was made by Regent Pogue and seconded by Regent McCoy to authorize the University administration to adjust admission standards to include completion of the Pre-College Curriculum (PCC), ACT composite and subject scores, high school grade point average (GPA), and high school class rank, effective fall 2005. **Motion carried.**

With no further business, at 1:40 p.m., a motion was made by Regent Zapp and seconded by Regent Downing to adjourn. **Motion carried.**

Lilla F. Losey
Senior Administrative Assistant
Office of the President

W. Michael Baker
Interim Executive Assistant to the President/
Secretary to the Board of Regents

I, Martin C. Butler, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on July 21, 2004, and that such matters are still in force and effect.

Martin C. Butler
Secretary of the Board of Regents

Northern Kentucky University
Board of Regents Retreat
Metropolitan Club, Covington, Kentucky
August 30, 2004

The Board of Regents Retreat began at 8:00 a.m. on August 30, 2004.

Members present were Beverly Beck, Chuck Brown, Frank Downing, Joyce Griffin, Andrew Hixson, Denise McClelland, Carrie McCoy, Betty Pogue, and Robert Zapp. Also in attendance was James Votruba and Michael Baker. Secretary Jim Host and John Stanton, from the Governors office, were present for the first session.

Secretary Host Presentation:
An overview was given of Kentucky's growing economy and the challenges facing higher education. The importance of higher education in economic development was emphasized. Secretary Host was very complimentary of NKU and President Votruba.. The Board was encouraged to think "big" as it relates to the future of NKU. He emphasized that the Commonwealth must 1) graduate more of our citizens and 2) develop an international business plan and international marketing plan. Kentucky is closely positioned to the majority of the United States population geographically. He asked that the University focus on 1) what we do well, 2) our key assets to the community, and 3) areas where we can excel.

The need for strategic planning in Northern Kentucky was explained. He said a plan was created by a community group in 1978 and needs to be updated. He also discussed transportation and branding as a revenue generator for the Commonwealth. Good discussion took place during his presentation.

Secretary Host and John Stanton departed at 9:30 a.m.

Host Presentation Follow-Up:
President Votruba led the Board in an overview of Secretary Host's presentation. He also updated the Board on the Governor's most recent press conference on education and shared materials that were distributed at the press conference. The Board also discussed the need to focus on our strengths.

As Secretary Host indicated, the University needed to build the Special Events Center as large as possible (think "big"!); discussion then centered on the possibility of the University going to Division I. The President stated that the University should seriously begin studying this.

Board Self-Evaluation:
After returning from the break, Regent Downing led the Board in a self-assessment. He focused on key objectives for a good Board. He passed out copies of "Ten Commandments" for a successful University Regent/President tenure. Regent Downing stated there was a good diverse mix of people, adding to the success of the University. He discussed Kentucky statutes, bylaws of the Board, the President's contract and the University's agreement with the Foundation.

Regent Downing emphasized the use of "Robert's Rules of Order." Betty Pogue mentioned that she had provided a condensed/highlighted copy of the "Roberts Rules of Order" last year to be given to the Board. Regent Downing referred to Ed Penson's, *Board and President: Facilitating the Relationship,* which each of the Board members had received. He also referenced recent articles in the August trustee publication.

Regent Pogue complimented the Board on its interaction with each other; she discussed the importance of the dinner the night before Board meetings as it allows for social interaction. The Regents also discussed the importance of the annual retreat. Regent Brown emphasized that there had been good communication among the Board members this past year. Regent McClelland asked about a conflict of interest policy. While no Board policy exists which solely focuses on this issue, there are many policies in which this is addressed. Regent Hixson discussed the dilemma on the student tuition voting situation. The Board agreed that the Student Regent should do whatever is best.

Dr. Votruba asked whether the committee structure was working and asked if the Board was receiving information in advance; positive feedback was received on this. Regent Brown emphasized that we should continue sending out NKU related news articles.

Dr. Votruba discussed a Regent in Residence program where Regents would come to campus to learn in greater detail about a specific topic. Good discussion followed on the Board's performance.

Accomplishments (Goals):
President Votruba discussed the accomplishments this past year. He referenced the University Address which had been previously distributed. He then distributed the 2004-2005 objectives. There was much discussion among the Board; the goals were supported.

Presidential Evaluation:
The Regent's went into executive session to discuss the President's performance and compensation.

The retreat concluded at 5:00 p.m.

W. Michael Baker, Interim Executive
Assistant to the President/Secretary
to the Board of Regents

Charles H. Brown, Chair
NKU Board of Regents

9:30 a.m. **September 8, 2004 – Joint Policy and Finance Mtg. (Williamstown Municipal Bldg., near Grant County Ctr.)**
11:30 a.m. **September 8, 2004 – Grant Co. Dedication Ceremony/Reception (Tented area outside Grant County Center)**
1:30- p.m. **September 8, 2004 – Board of Regents Mtg. (Williamstown Municipal Bldg.)**
(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

AGENDA (Revised)
Northern Kentucky University
Board of Regents Meeting
Wednesday, September 8, 2004 - 1:30 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of July 21, 2004, Board Minutes
- Resolution recognizing Outgoing Regent Chair Brenda L. Wilson
- Presidential Comments

A. Joint Finance and Policy Committee:
1. University Advancement Update (Read)
2. US 27 Development Update (Baker)
3. Lake Project Presentation (Ramey)
4. New Student Union Presentation (Ramey/Shanley)
5. Tour of Grant County Center

B. Presidential Reports:
1. Facilities Management (Ramey)
2. Comprehensive Campaign Report (Read)
3. Research Grants and Contracts Annual Report (Beere)
4. Research Grants and Contracts Bi-Monthly Report (Beere)

C. Presidential Recommendations:
*1. Academic Affairs Personnel Actions
*2. Temporary Appointments
*3. Administrative Personnel Action
*4. Non-Academic Personnel Actions
*5. Lease Agreement Authorization of 9 Clearview Dr.
*6. Demolition of Buildings located at 4-8 Hilltop Dr.
*7. University Posting Policy, Administrative Regulation, AR-II-5.0-5
*8. Athletic Council Policy
*9. Revision - Athletic Council Standing Committee, Administrative Regulations, AR-III-5.0-2
*10. University Governing and Administrative Regulations –NKU Website
11. NKU Organizational Structure Changes
12. NK Cooperative for Education Services
13. Creation of the NK University Research Foundation
14. Acceptance of contributions rec'd. by the NKU Foundation during the period of June 30 thru August 23, 2004.

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, September 7, 2004).**

D. Other: (Calendar events)- Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule 2004/05:**

2004: November 17	**2005: January 26** (changed from 1/19)		July 13
	March 9		September 14
	May 4 (changed from 5/11)		November 9

2. **Council on Postsecondary Education Meeting Schedule for 2004/05:**

2004 – September 19-20 (CPE mtg. and IEG Fall Trusteeship conf. – Bowling Green)
November 7-8

2005 – January 30-31	July 17-18	
March 20-21	September 18-19, or September 25-26	Gov Trusteeship Conf.
May 22-23 – IEG Spring mtg.	November 6-7	

(Upcoming Activities listed on other side of agenda)

3. **Upcoming Activities (2004):**
   - September 9, 7:00 p.m. –Committee of 500 Event (Greaves Concert Hall)
   - October 5, 7:00 p.m. – Alumni Lecture Series–George McGovern and Bob Dole (Regents Hall)
   - October 13, 5:30 p.m. – Congressional Debate (Regents Hall)
   - October 19, 12 noon - Staff Appreciation Day (Regents Hall)
   - November 11, time TBA – Campaign Celebration Conclusion (METS Ctr.)
   - December 18, 10:00 a.m. - Fall Commencement Ceremonies (US Bank Arena)
   - May 7, 2005, 10:00 a.m. - Spring Commencement Ceremonies (US Bank Arena)

Board of Regents Meeting
Northern Kentucky University
Williamstown, Grant County
September 8, 2004

The regular meeting of the Board of Regents was called to order at 1:30 p.m. on Wednesday, September 8, 2004, by Chair Brown.

Invocation was read by Regent Hixson.

Roll Call: Beverly Beck, Chuck Brown, Martin Butler, Frank Downing, Joyce Griffin, Andrew Hixson, Denise McClelland, Carrie McCoy, and Betty Pogue (Excused: Anna Dale Pyles and Robert Zapp).

Others Present: James Votruba, Michael Baker, Deborah Read, Gerald Hunter, Sara Sidebottom, Mark Shanley, Carole Beere, Ken Ramey, Gail Wells, Mimi Assanuvat, Lilla Losey, Dione Smith, Rick Meyers, Chris Cole, Gerry St. Amand, Don Gorbandt, Harold Todd, Joyce Doyle, Dorothy Altman, Joe Ruh, Gary Pratt, Joel Robinson, Angie Gabbard, Larry Blake, Jon Draud, Mary Ucci, Chuck Sambuchino--*Recorder* Newspapers, Bob Weber, Beth Asbrock, Bill Wilson, Brenda Wilson, Wade Gutman, Pat Moynahan, Kent Kelso, Mary Paula Schuh, Joe Wind, Kathy Shoerlucke, Mayor of Williamstown Glenn Caldwell, Rick VanSant-K*entucky Post,* and John Stanton

A motion was made by Regent Pogue and seconded by Regent Griffin to approve the minutes of the July 21, 2004, Board of Regents meeting. **Motion carried.**

A motion was made by Regent Downing and seconded by Regent Pogue to approve a Resolution honoring former Regent and Chair Brenda L. Wilson for her distinguished service during her term as Regent, which ended on June 30, 2004. **Motion carried.** The Resolution was read and presented by Chair Chuck Brown.

Presidential Comments:

- The day's celebration of the new Grant County Center is very special in the life of the University. This University/community partnership started seven years ago and began with a common vision. There are nearly 400 enrollments this fall in the new and expanded Center.
- Recognition was given to Brenda Wilson on behalf of the University for her leadership and service as Regent and Chair. Ms. Wilson brought to the Board a deep understanding of higher education, a steadfast commitment to advancing the University, and a thoughtful ability to ask the right questions at the right time and always in the right way.
- Mike Baker was recognized for his work and commitment for the last nine months as Interim Executive Assistant to the President and Secretary to the Board of Regents. This was expected to be Mr. Baker's last meeting in this role.

A. **Joint Finance and Policy Committees:** (Reports were given by Secretary Butler)

1. **University Advancement Update:** Vice President Read reported that NKU has raised more than $43.8M toward its $40M Shaping Dreams Campaign goal and is a year ahead of schedule. The NKU Foundation has seen its total assets grow from $22.9M in 1999 to $50.3M in 2004. The NKU Foundation has seen a tremendous growth in its endowment assets, up from $18.9M in 1999 to $33.6M in 2004. In addition to the work of the Grant County Higher Education Foundation and the Salmon P. Chase College of Law Foundation assisting in these efforts, our Alumni participation is near 20%, which is excellent for such a young institution.

   Some of the ways that the Campaign has impacted the lives of NKU's students include: scholarship enhancements; support of undergraduate research and outreach initiatives; allowing NKU to expand student recruitment; and enhancing the educational experience of NKU students. Shaping Dreams has helped the University to improve its program offerings, student support services, and to greatly enhance a number of campus facilities - including the upcoming Lake Vista project.

2. **US 27 Development:** Mike Baker, Executive Director of the University Foundation, Inc., reported on the status of the project, indicating that bids are due in the next few days. Two developers are currently involved in the project. A more detailed report will be given at a later date.

3. **Lake Project:** A presentation was given by Vice President Ramey and included the following information:

Schematic Design Committee representation from the University and the Community; privately funded $2.4M project to be constructed in two phases (bids are currently being solicited for Phase I and Phase II). Phase I includes: draining the lake and constructing walls around the perimeter; constructing a weir near the mid-point of the lake to create a 4 foot change of elevation and waterfall; walkways and landscaping around the perimeter; and a bridge across the lake from the Fine Arts Building toward AS&T. Phase II includes: the construction of an amphitheater between Fine Arts and University Center–bidding is scheduled for November 2004; both phases are planned for completion in Fall 2005.

4. **New Student Union:** A presentation was given by Vice Presidents Ramey and Shanley. The presentation included the following: review of the programmatic needs for the new facility; floor plans and elevations of the buildings' exterior; an overview of the various activities that will be assigned to the new building and those that will remain in the University Center; and the budget and schedule currently anticipated for the project.

   Provided funding will be approved by the legislature in Spring 2005, the building is planned for completion in late July 2007.

5. **Virtual Tour of Grant County Center:** After a brief introduction by Wade Gutman, Executive Director of the Grant County Chamber of Commerce, and Associate Provost Carole Beere, a virtual tour was given of the new NKU Grant County Center giving explanation on how the various rooms will be used. There are four classrooms, a seminar room, a technology resource room, a large conference room, and several offices. Most of the furnishings and computers are brand new.

B. Presidential Reports:

1. **Facilities Management:** Vice President Ramey's report highlighted the following projects:
   - Power Plant: Construction is nearly complete and the building is fully operational. Mechanical and electrical contractors continue to work inside the building. Site landscaping work and final interior finish work are underway.
   - Fitness Center: This project involves creation of an enlarged Fitness Center in the Health Center. Fitness space in the building will increase from about 1,500 square feet to 4,700 square feet. The Center is scheduled to be utilized by the end of this September.
   - New Parking Deck: Occupancy will be phased. 100 spaces were expected to be available when school started in August but this did not occur due to construction issues. To offset the parking deck not being available, the University offered a few weeks of free shuttle service from the Thriftway property to campus for students, faculty, and staff. Assistant Vice President for Facilities Management Larry Blake and his staff were commended for advertising and coordinating this effort. A total of 680 new parking spaces are expected to be available by Spring 2005.
   - The new Director of Public Safety Harold Todd was introduced to the Board.
2. **Comprehensive Campaign Report**: No further comments were made on the report as submitted. The report summarizes the campaign resources committed from July 1, 1999 through August 23, 2004, totaling $43,888,548 in support of NKU's campaign priorities.

3. **Research Grants and Contracts Annual Report:** Associate Provost Beere indicated that the report speaks for itself. There were two areas emphasized this year: 1) Student involvement in research and reporting and 2) Increase in the grants and contracts coming into the University. A question was addressed that came up last year regarding comparable reports from the year before. The University was not in a position to answer at that time due to lack of data from prior years. This year's report has a comparison to last year and this comparison will continue from year to year. This year there was a 12% increase in the number of proposals submitted, a 16% increase in the number that were funded, and a 9% increase in the actual dollars awarded to NKU. The awards as of June 30, 2004 were $7.5M.

4. **Research Grants and Contracts Bi-Monthly Report:** Associate Provost Beere reported that this report shows a very promising year for the University. The report summarizes the successful proposals with the amount of award for each proposal for NKU faculty and staff from July 1, 2004 through August 31, 2004. Twenty proposals were awarded during this time period, totaling $4,244,202.

C. **Presidential Recommendations:**
Consent Agenda Items: A motion was made by Regent Butler and seconded by Regent McClelland to approve the following Presidential Recommendations as listed below (1-10). **Motion carried**

1. **Academic Affairs Personnel Actions:**
Administrative Appointments: **Kent Curtis**, Interim Chair and Professor in the Department of Technology, effective July 26, 2004 through June 30, 2005; **Willie L. Elliott**, continuing as Interim Chair and Associate Professor in the Department of Social Work and Human Services, effective July 1, 2004 through June 30, 2005; **James Ronald Gardella**, Interim Associate Dean in the Office of the Dean, College of Education, effective August 3, 2004 through June 30, 2005; and **Robert Salyer**, MBA Director in the College of Business and Instructor in the Department of Accountancy (non-tenure track renewable), effective July 1, 2004.

Faculty Appointments: Kathy He, Assistant Professor in the Department of Economics and Finance, effective January 5, 2005 and **Ayanna Terry**, Lecturer (non-tenure track renewable) in the Advising Center, College of Business, effective July 1, 2004.

Faculty Appointments (effective August 16, 2004): Brandy Andrew, Lecturer (non-tenure track renewable) in the Department of Nursing and Health Professions; **Meghan Casper**, Artist in Residence (non-tenure track renewable) in the Department of Music; **Dana Hickman**, Assistant Professor in the Department of Nursing and Health Professions; **Toni Keller**, Lecturer/Coordinator of Pre-Student Teaching (non-tenure track renewable) in the Office of Student Services, College of Education; **Jayne K. Lancaster**, Lecturer (non-tenure track renewable) in the Department of Nursing and Health Professions; **Gary A. Mattson**, Director of the MPA Program and Associate Professor in the Department of Political Science and Criminal Justice; **Christina Merblum**, Artist in Residence (non-tenure track renewable) in the Department of Music; **Rebecca A. Merblum,** Artist in Residence (non-tenure track renewable), Department of Music; **Jill Niemeyer**, Lecturer/Freshman Specialist (non-tenure track renewable) in the Office of Student Services, College of Education; **Catherine Pence**, Lecturer (non-tenure track renewable) in the Department of Nursing and Health Professions; **Denice Robertson**, Lecturer (non-tenure track renewable) in the Center for Integrated Natural Science and Mathematics (CINSAM); **Carl Simkonis**, Lecturer (non-tenure track renewable) in the Department of Economics and Finance; **Judith Ann Uhler**, Assistant Professor (non-tenure track renewable) in the Department of Nursing and Health Professions; and **Judy Voelker**, Assistant Professor in the Department of Sociology, Anthropology, and Philosophy.

Resignations: Chris Stiegler, from Director of Faculty Development, to Professor in the Department of Technology, effective July 1, 2004.

2. **Temporary Faculty Appointments for 2004-05:**
College of Arts and Sciences: **Biological Sciences**: Megan Jaskowiak (Fiscal Year); and Mike Scola (Academic Year); **History and Geography (Academic Year)**: Rodney Daniels, Terrence Anthony Fleming, and Lawrence Mitchell; **Literature and Language (Academic Year)**: Maureen Cronin and Kristi Eiler; **Mathematics and Computer Science (Academic Year):** Barbara Phillips; **Music (Academic Year):** Eric Paul DeForest, John Wesley Finn, Esther Nam and Erwin Stuckey; **Physics and Geology (Academic Year):** Sarah E. Johnson and Kisa S. Ranasinghe; **Political Science and Criminal Justice (Academic Year):** Gail O'Brien, Julie Olberding, and Jennifer Webster; **Psychology (Academic Year):** Jennifer Sacher Browne; **Sociology, Anthropology, and Philosophy (Academic Year):** Gregory Bigot and Jan Gallagher; **Theatre (Academic Year):** Alfred Dove, Christine Jones, Robert L. Kerby, and Michelle Teyke.
College of Business (Academic Year): Economics and Finance: Gregory Farfsing; **Information Systems:** Frank Braun and Jerome Gonnella; **Management and Marketing**: Joumana Abraham.
College of Education (Academic Year): Elementary, Middle, and Secondary Programs: Dreama Price and Tammy Sherry.
College of Professional Studies (Academic Year): Communication: Wes Akers, Michele Day, John Michel Delaney, Sarah Schroeder, and Jessica Wilson; **Nursing and Health Professions:** Judi Frerick; **Technology:** Robert A. Willoughby.
Vice Provost: Honors Program (Academic Year): Ronald Hoffman and Kelli Sittason; **Learning Assistance Program (Fall 2004 Semester):** Kathleen Culp, Jill Easter, Marcia L. Gardner, Shirley Montgomery, and Gregory Walsh.

3. **Administrative Personnel Action:** Joel L. Robinson, Assistant Vice President for Enrollment Management, effective July 1, 2004.

4. **Non-Academic Personnel Actions:** The following categories of non-academic personnel actions, which occurred between July 1, 2004, and August 16, 2004, were approved: Activations; Temp/Contract to Regular Position; Reassignments, Reclassifications, Re-Engineering, and Title Changes; Promotions; Transfers, Departures, and Retirees.

5. **Lease Agreement:** Authorization for the University Administration to enter into a lease agreement for rental of the house at 9 Clearview Dr. The property was purchased on April 22, 2004. As the house becomes vacant, it may be rented for residential use to provide a modest income and to allow the property to be occupied. With space becoming increasingly tight on the main campus, it is likely over the next several years that most houses will be converted from residential use to office space.

6. **Demolition of Buildings:** Authorization for the University Administration to proceed with demolition of the Buildings located at 4-8 Hilltop Drive. The University takes possession of the property located at 4-8 Hilltop Drive on September 1, 2005. This property has four buildings, all in significant disrepair. Because this site is to be incorporated into the new Sports Complex site, investment in restoration of these buildings would not be prudent. These buildings will be demolished in place in accord with state standards.

7. **University Posting Policy, Administrative Regulation, AR-II-5.0-5:** The University Posting Policy was updated last year due to the policy being outdated. The new policy is easier to follow and has time limitations placed on the period of posting items on campus. Additionally, a few campus buildings requested to have posting restricted to bulletin boards and/or designated wall locations.

8. **Athletic Council Policy (Revision):** This policy (#16, adopted 02/17/04) requires head coaches to develop an alcohol policy for their respective teams. Some of NKU's athletic teams have written policies that clearly state what their team's expectations and consequences for student-athletes in regards to use and abuse of alcohol and drugs. The Athletic Council feels it is extremely important that all head coaches be required to formulate written policies regarding alcohol and drug use. All student-athletes will hear from their respective coach as to what is acceptable behavior and what is not and that there will be consequences such as lost practice and playing time or even dismissal from the team for unacceptable behavior.

9. **Athletic Council Standing Committee, Administrative Regulations, AR-III-5.0-2 (Revision):** This revision to the Standing Committee, Athletic Council constitution and bylaws allows for incorporating a Senior Woman Administrator into the membership of the Council and redefines the Athletic Council's responsibility of hiring head coaches. The NCAA Division II strategic plan identifies the enhancement of the role of the senior woman administrator as a top priority. This initiative includes ensuring the active involvement of female administrators as part of the athletics management team with program-wide administrative responsibilities including decision-making at the institutional, conference and national levels.

   In the Athletic Council Bylaws, Article III, Section 1, the changes reflect the reporting line and the Department of Human Resources procedures. The Athletic Council felt it was important to maintain a faculty majority of the committee to protect the Athletics Program, in particular, during controversial and tumultuous times.

10. **University Governing and Administrative Regulations on the NKU Website:** The NKU Governing and Administrative Regulations are currently in the process of being converted to a Microsoft Word document. In the review of the document, minor changes were necessary to make the document accurate. No changes were made which would change the meaning of the documents as they stand. The convenience of having the Regulations available online will not only benefit the University Community but will be a great asset for any individual searching the NKU website for information. The project is expected to be completed and available by early fall.

11. **NKU Organizational Structure Changes:** The structure is updated bi-annually and reflects all administrative

changes to date. Areas listed under the reporting lines are primarily for Director level and above. A motion was made by Regent McClelland and seconded by Regent Hixson to approve the University Organizational Structure changes as presented. **Motion carried.**

12. **Northern Kentucky Cooperative for Education Services:** A motion was made by Regent Downing and seconded by Regent Pogue to authorize continued participation in the Northern Kentucky Cooperative for Educational Services, a separate administrative board created by an Interlocal Cooperative Agreement between certain school districts in the Northern Kentucky area and Northern Kentucky University, and for voting purposes, appoint an alternate representative as requested by the Cooperative. **Motion carried.**

13. **Creation of Northern Kentucky University Research Foundation (NKURF):** Associate Provost Beere indicated that her office had made a presentation on this proposal to the NKU Foundation, Inc.'s Executive Committee. Michael Baker commented that the Foundation Executive Committee was supportive of this initiative. At the September 2003 meeting of the Board of Regents, the Board authorized the University to develop a research foundation. Since that time, several university offices, including the University Counsel, have collaborated on the development of three documents that will create and begin the implementation of the NKURF. NKU's research foundation will: serve as the University's agent in the receipt of external grants and contracts, intellectual property income and other designated income, and conservation easements; oversee the protection, development, and commercialization of intellectual property; and manage special cooperative agreements. A motion was made by Regent McCoy and seconded by Regent Butler to approve the Articles of Incorporation, the By-Laws, and the Management Agreement to create the Northern Kentucky University Research Foundation. **Motion carried.**

14. **Acceptance of contributions received by the NKU Foundation:** A motion was made by Regent Pogue and seconded by Regent Beck to accept contributions totaling $107,954 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period June 30, 2004 through August 23, 2004, as indicated. **Motion carried.**

With no further business, at 2:00 p.m., a motion was made by Regent Griffin seconded by Regent Hixson to adjourn. **Motion carried.**

Lilla F. Losey
Senior Administrative Assistant
Office of the President

W. Michael Baker
Interim Executive Assistant to the President/
Secretary to the Board of Regents

I, Martin Butler, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on September 8, 2004, and that such matters are still in force and effect.

Martin Butler
Secretary of the Board of Regents

6:00 p.m. **November 16, 2004 - Regent Dinner at President's Home (Lakeside Park, KY)**

9:30 a.m. **November 17, 2004 – Joint Policy and Finance Meeting (University Center Ballroom)**
11:30 a.m. **November 17, 2004 – Reception for Opening of Renovated Albright Health Center (Ist floor Health Center)**
1:00 p.m. **November 17, 2004– Board of Regents Mtg. (University Center Ballroom)**
(Regents - Please call the President's Office at 859-572-5172 if you will be unable to attend any of the above events)

AGENDA
Northern Kentucky University
Board of Regents Meeting
Wednesday, November 17, 2004 - 1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of August 30, 2004, Board Retreat Minutes
- Approval of September 8, 2004, Board Minutes
- Presidential Comments

A. Joint Finance and Policy Committee:
1. 2003-04 Annual Financial Report (Ramey)
2. PRISM Project Briefing (Ramey)
3. Updates (Votruba)

B. Presidential Reports:
1. Facilities Management (Ramey)
2. A-133 Audit of Federal Programs (Ramey)
3. 2003-04 Annual Financial Report (Ramey)
4. Quarterly Financial Report - July 1 – September 30, 2004 (Ramey)
5. Comprehensive Campaign Report (Read)
6. Research Grants and Contracts (Beere)

C. Presidential Recommendations:
*1. Academic Affairs Personnel Actions
*2. Temporary Appointments
*3. Name Change in the Circulation Division - Steely Library
*4. Name Change - Department of Theatre
*5. *Faculty Policies and Procedures Handbook* Changes
*6. Non-Academic Personnel Actions
*7 Administrative Personnel Action
*8. Honorary Degree Candidate - conferred at the December 18, 2004, Commencement
*9. Demolition of the West Building at the Covington Campus
10. Acceptance of contributions rec'd. by the NKU Foundation Inc. during the period of August 24, thru October 22, 2004.
11. 2003-2004 annual report to the CPE regarding the Commonwealth of Kentucky Endowment Match Program.

*** Consent Agenda Items-Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, November 16, 2004.**

D. Other: (Calendar events) Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule 2005:**

January 26	July 13
March 9	September 14
May 4	November 9

2. **Council on Postsecondary Education Meeting Schedule for 2004/05:**

January 30-31	July 17-18
March 20-21	September 18-19, or September 25-26
May 22-23	November 6-7

(Please see reverse side for Upcoming Activities)

3. **Upcoming Activities (2004/2005):**
   - November 18, 11:30 a.m. – Basketball Tip off Luncheon (Marquise Banquet Ctr.)
   - December 6, 6:00 p.m. - NKU Regents and NKU Foundation Executive Committee dinner (Met Club, Cov.)
   - December 18, 10:00 a.m. - Fall Commencement Ceremonies (US Bank Arena)
   - March 23, 12:00 noon - University Spring Convocation (Greaves Concert Hall)
   - April 19, 2:30 p.m. - Student Celebration of Research and Creativity (NKU Campus)
   - May 7, 10:00 a.m. - Spring Commencement Ceremonies (US Bank Arena)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
November 17, 2004

The regular meeting of the Board of Regents was called to order at 1:10 p.m. on Wednesday, November 17, 2004, by Chair Brown.

Invocation was read by Regent McCoy.

Roll Call: Beverly Beck, Chuck Brown, Martin Butler, Frank Downing, Joyce Griffin, Andrew Hixson, Denise McClelland, Carrie McCoy, and Betty Pogue (Excused: Anna Dale Pyles and Robert Zapp).

Others Present: James Votruba, Michael Baker, Deborah Read, Kimberly Luse, Gerald Hunter, Sara Sidebottom, Mark Shanley, Carole Beere, Ken Ramey, Gail Wells, Mimi Assanuvat, Lilla Losey, Dione Smith, Chris Cole, Gerry St. Amand, Don Gorbandt, Harold Todd, Joe Ruh, Larry Blake, Chuck Sambuchino (*Recorder Newspapers)*, Mary Paula Schuh, Bert Brown, Zane Harris, Laurie Haun (Auditor), Russ Kerdolff, Lori Southwood, Bridgette Pregliasco, Nancy Campbell, Mary Lepper, Carol Bredemeyer, Rick Van Sant (*Kentucky Post*), Mary Ryan, Pat Moynahan, Michael Tobergta, Cheryl Nunez, Mike Mimms, Bill Farro, Kent Kelso, Amy Arbino, Mary Kirk, Elaine Jarchow, and Michael Carrell.

A motion was made by Regent McCoy and seconded by Regent Hixson to approve the minutes of the August 30, 2004, Board of Regents Retreat meeting at the Metropolitan Club in Covington, Ky. **Motion carried.**

A motion was made by Regent Beck and seconded by Regent Pogue to approve the minutes of the September 8, 2004, Board of Regents meeting. **Motion carried.**

Executive Session: At 1:15 p.m., a motion was made by Regent Downing and seconded by Regent Pogue to call an Executive Session for review of an unqualified opinion of the University's financial reports. Laurie Haun, representative from Crowe Chizek & Company, presented the information to the Board. **Motion carried.**

At 1:22 p.m., a motion was made by Regent Downing and seconded by Regent Griffin for the Board to reconvene. **Motion carried.**

Presidential Comments:

- The University's Capital Campaign Celebration (Shaping Dreams) was this past November 11. Over the last five years, through the generous support of the donors, the Comprehensive campaign has raised over $45M. The recent success of the Shaping Dreams Campaign not only provided invaluable resources to the University, but it stands as a testament to the confidence that our community has in its University. Acknowledgment was given to Vice President Debbie Read for her leadership throughout the Campaign and for the support provided by her staff members, Don Gorbandt–University Development, Kathy Stewart--Events Planning, Marilou Singleton--Development Services, and the entire Advancement Team.
- The University's financial picture remains strong but uncertain without a state budget.
- The Lake Project, which is supported by private donors, is proceeding with the evacuation of the wildlife (fish, turtles, geese, ducks, etc.).
- NKU was recently selected by the *Business Courier* as one of three finalists in the tri-state area for one of the "Best Places to Work." The University was selected in its category of businesses with over 500 employees. Children's Hospital in Cincinnati was chosen.

A. **Joint Finance and Policy Committees:** (Reports were given by Regent Secretary Butler)

1. **2003-04 Annual Financial Report:** A presentation was given by Vice President Ramey, Comptroller Kerdolff, and auditors from Crowe Chizek and Co. LLC, Laurie Haun and Dennis Obyc, regarding the University's 2003-04 Annual Financial Report. Highlights of the presentation included:
   - Total assets grew by about $9M for the year, primarily due to the addition of new capital assets, including the new parking garage and power plant.
   - Total liabilities increased by $3M as a result of the issuance of new debt to finance the construction of the new parking garage.

- Net assets increased by $6M due to increases in capital assets, net of related debt and an increase in unrestricted net assets. The increase in unrestricted net assets provides the University with the flexibility to deal with the current uncertain state budget environment.
- Operating and nonoperating revenues increased by $10M with 75% of the new revenue coming from increased tuition revenue. The University's state appropriations have remained at $45M for the past 3 years. To make up for the lack of new revenues from the state, the University had to rely on increased tuition revenues to fund the increases in the cost of education and enrollment increases that the University has experienced.
- The University's financial position remained strong at June 30, 2004. Although the University continues to be the most underfunded university in the Commonwealth, the University is taking steps to diversify its revenue sources and find creative ways to meet the needs of its region. The University's increased fund raising efforts represent one means of diversifying revenue sources.

2. **PRISM Project:** A Presentation on the recently initiated PRISM Project was made by Vice President Ken Ramey and Bill Reed, Director of Special Projects:
   - Goals of the project were presented, as well as justified.
   - Similar projects in higher education institutions were shared.
   - An overview of NKU's approach was presented.
   - Vice President Ramey chairs the Project Steering Committee with participation from every campus division.
   - A core project team of senior staff has been assembled to provide full-time leadership to the project.
   - Business processes will be examined and new systems implemented in phases over the next three years.

   The status of the project was presented as follows:
   - Software systems and implementation partners are being evaluated.
   - Implementation begins January 2005 with business process analysis.
   - Financial and HR systems will be converted during 2006, followed by student systems during 2006-07.
   - Every segment of the campus community will be impacted. Success will require significant resources, including the support, cooperation, and patience of the entire organization.

3. **University Updates:** President Votruba provided updates on a number of matters which included the following:
   - The Council on Postsecondary Education (CPE) is in the process of rethinking its funding formula, in particular the benchmark funding process. The President has advised the CPE that NKU will not support any formula that does not address NKU's historic underfunding.
   - The Committee on Equal Opportunities (CEO) recently visited NKU to confirm that the University is meeting its Kentucky Plan objectives. The overall review was positive and indicated that the University is making good progress.

B. Presidential Reports:

1. **Facilities Management:** Vice President Ramey's report highlighted the following projects:
   - New Parking Deck – This project is nearing completion with approximately 680 new spaces available.
   - New Student Union – The approved 2002-2004 state budget provided authorization for design; legislative approval for construction is a priority for the 2004-2006 budget. The current project schedule (subject to change pending approval of a state budget) indicates that construction will begin late spring 2005.
   - New Power Plant – Regent Chair Brown asked for a cost update on this project. Vice President Ramey indicated that the project is nearly complete. The agreement entered into with the Seimens Corporation will represent a savings on utilities throughout the campus, including the Power Plant.
   - Lake Development – Regent Pogue asked about the completion date of the project which is expected in Fall 2005.

2. **A-133 Audit of Federal Programs (fiscal year ending June 30, 2004):** Vice President Ramey indicated that the audit was conducted by the firm of Crowe Chizek & Company in compliance with federal audit guidelines established by the U.S. Office of Management and Budget (OMB) Circular A-133. There were five minor problems found in the following areas (1. Perkins Loan Program, 2. Pell Grant, 3. Federal Family Education

Loan Program, 4. Federal Workstudy, and 5. findings within all three--Pell/Perkins/Federal Family Education Loan Program). The University is making corrective actions at this time.

3. **2003-2004 Annual Financial Report:** No further comments were made on the report as submitted. A motion was made by Regent Downing and seconded by Regent Pogue to accept the 2003-2004 Annual Financial Report for the fiscal year ending, June 30, 2004, conducted by the firm Crowe Chizek & Company. **Motion carried.**

4. **Quarterly Financial Report:** Vice President Ramey commented that the University was well within budget. The report depicts the financial activity of the University as of September 30, 2004. Schedules included in the report: 1) Statement of Net Assets; 2) Statement of Revenues, Expenses and Changes in Net Assets; 3) Unrestricted Revenues, Budgeted and Actual; 4) Unrestricted Expenditures by Function and Account Category, Budgeted and Actual; 5) Restricted Expenditures by Function, Budgeted and Actual; 6) Bonds and Leases Payable; and 7) Current Investments.

5. **Comprehensive Campaign Report**: No further comments were made on the report as submitted. The report summarizes the campaign resources committed from July 1,1999 through October 22, 2004, totaling $45,325,968 in support of NKU's campaign priorities.

6. **Research Grants and Contracts Annual Report** (September 1, 2004 through October 31, 2004)**:** No further comments were made on the report as submitted. The reports summarize the successful proposals with the amount of award for each proposal for NKU faculty and staff. Eleven proposals were awarded during this time period, totaling $359,348. The Center for Applied Ecology had four proposals awarded, totaling $7,960.

C. **Presidential Recommendations:**
Consent Agenda Items: A motion was made by Regent Pogue and seconded by Regent Butler to approve the following Presidential Recommendations as listed below (1-9). **Motion carried.**

1. **Academic Affairs Personnel Actions:**
Faculty Appointments: Dianne Benedict, Lecturer (non-tenure track renewable), Department of Nursing and Health Professions, effective August 16, 2004; **Maria Clay**, Advisor/Lecturer non-tenure track renewable), College of Business Advising Center, effective October 15, 2004; **Denise Gormley**, Assistant Professor, Department of Nursing and Health Professions, effective August 16, 2004; **Lisa McQueen**, Assistant Professor, Department of Nursing and Health Professions, effective August 16, 2004**; Kristine Pfendt**, Assistant Professor, Department of Nursing and Health Professions, effective August 16, 2004; and **Ruth Staley**, Lecturer/Reading First Coach (non-tenure track renewable), Office of the Dean, College of Education, effective July 1, 2004.

2. **Temporary Faculty Appointments for 2004-05:**
College of Education: Office of the Dean: Dorothy Battle (Fall Semester); **Elementary, Middle and Secondary Programs:** Patricia Fisk (Academic Year); and **Educational Specialties:** Edith Mariani (Academic Year).
College of Professional Studies: Social Work and Human Services: Larry Ware (Academic Year).

3. **Name Change in the Circulation Division - Steely Library:** The name was changed to Access Services Division as Circulation is responsible for much more than traditional library circulation. Tasks include stacks maintenance and management, public desk staffing, building security, and management of the Media Collection. The new name reflects the division's function of providing access to the physical collections and facilities of Steely Library.

4. **Name Change - Department of Theatre:** The name was changed to the Department of Theatre and Dance. Theatre offers a minor in dance and over eighteen dance courses. Including Dance in the name of the Department provides visibility for the dance program and more accurately reflects the mission and outcomes of the Department.

5. ***Faculty Policies and Procedures Handbook* Changes:** The changes include amendments to Part 1 - Section I.D., Full-Time Non-Tenure Track, Temporary Faculty (Page 2a) in the *Faculty Policies and Procedures Handbook* as follows: Temporary appointments are one-year, temporary, terminal appointments that can be repeated. The former amendment had a repeat for a maximum of three (3) years. This change was recommended by the Non-Tenure Track Faculty based upon current hiring practices in academic departments and reflects the current practice at NKU. This change was passed by the Faculty Senate at its November 25, 2002 meeting.

6. **Non-Academic Personnel Actions:** The following categories of non-academic personnel actions, which occurred between August 17, 2004 and October 26, 2004, were approved: Activations; Temp/Contract to Regular Position; Transfers; Reassignments, Reclassifications, Re-Engineering, and Title Changes; Promotions; Departures, and Retirees.

7. **Administrative Personnel Action:** Dr. Kimberly A. Luse, Executive Assistant to the President and Secretary to the Board of Regents, effective October 1, 2004.

8. **Honorary Degree Candidate - conferred at the December 18, 2004, Commencement:** Approval for Representative Jim Callahan to receive an Honorary Degree of Doctor of Public Administration. The degree will be conferred at the University's December 18, 2004, commencement ceremonies at U.S. Bank Arena in Cincinnati, Ohio. The recommendation was based on Representative Callahan's recognition as a true leader within the Kentucky State General Assembly. A former educator, he has been a tireless leader for P-12 and postsecondary education during his 16-year career as a state legislator.

9. **Demolition of the West Building at the Covington Campus:** The West Building is a temporary building that was originally acquired for $62K in 1969. A section of the building was damaged beyond repair in a windstorm in the 1980's and about 8,700 gross square feet remains. Current uses have recently been limited to the Training Resource Center and its training programs. This activity has been relocated to Hankins Hall. Demolition will be done in place in accordance with state standards.

10. **Acceptance of contributions received by the NKU Foundation:** A motion was made by Regent McCoy and seconded by Regent Griffin to accept contributions totaling $1,044,851 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period August 24, 2004 through October 22, 2004, as indicated. **Motion carried.**

11. **Kentucky Endowment Match Program:** A motion was made by Regent Griffin and seconded by Regent Pogue to approve the submittal of the 2003-2004 annual report to the Council on Postsecondary Education (CPE) regarding the Commonwealth of Kentucky Endowment Match Program. Further, that authorization be given to the Chair of the Board of Regents to submit a Notice of Board Approval to the Council on Postsecondary Education that states that the Northern Kentucky University Board of Regents acknowledges that it has primary responsibility for the implementation of the Endowment Match Program. The notice also states that the Northern Kentucky University Board of Regents is responsible for providing oversight of all endowment funds. **Motion carried**.

A motion was made by Regent Downing and seconded by Regent Griffin to approve a resolution in recognition and appreciation of Coach David Bezold and the NKU Men's Basketball Team for their commendable performance at the recent pre-season basketball tournaments with the University of Kentucky, University of Cincinnati, and Ohio State University. The organization and efforts in preparation for these events were outstanding and much appreciated. **Motion carried.**

Recognition was given by Regent Griffin to Kimberly Luse as the new Executive Assistant to the President and Secretary to the Board of Regents. This was Dr. Luse's first Board meeting in her new role.

With no further business, at 1:50 p.m., a motion was made by Regent McClelland and seconded by Regent Beck to adjourn. **Motion carried.**

Lilla P. Losey
Senior Administrative Assistant
Office of the President

Kimberly A. Luse
Executive Assistant to the President/
Secretary to the Board of Regents

I, Martin Butler, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on November 17, 2004, and that such matters are still in force and effect.

Martin C. Butler
Secretary of the Board of Regents

6:00 p.m. January 25, 2005 - Regent Dinner at President's Home (Lakeside Park, KY)

9:30 a.m. January 26, 2005 – Joint Policy and Finance Meeting (University Center Ballroom)
11:30 a.m. January 26, 2005 – Board Luncheon (President's Dining Room - UC 105)
1:00 p.m. January 26, 2005 – Board of Regents Mtg. (University Center Ballroom)
(Regents - Please call the President's Office at 859-572-5172 if you will be unable to attend any of the above events)

AGENDA
Northern Kentucky University
Board of Regents Meeting
Wednesday, January 26, 2005 - 1:00 p.m.

Call to Order and Invocation
Roll Call and Welcome
Approval of November 17, 2004, Board Minutes
Presidential Comments

A. Joint Finance and Policy Committee:
1. University Signage (Ramey)
2. Revision to the Student Government Constitution (Regent Hixson)
3. Frankfort Update (President Vobruba)

B. Presidential Reports:
1. Facilities Management (Ramey)
2. Quarterly Financial Report (Ramey)
3. Comprehensive Campaign Report (Read)
4. Research Grants and Contracts (Beere)

C. Presidential Recommendations:
*1. Academic Affairs Personnel Actions
*2. Temporary Faculty Appointments for 2004-05
*3. Amendments to *Faculty Policies and Procedures Handbook*
*4. New Program - Master of Science in Community Counseling
*5. New Program - Master of Arts in Education/Rank I
*6. Non-Academic Personnel Actions
*7. Lease Agreement for 19 Clearview Dr.
*8 *Personnel Policy and Procedures Manual* revision
*9. Ratification of the Code of Student Rights and Responsibilities
*10. Revision to the Student Government Association Constitution
11. Authorization to submit gift commitments to CPE requesting Endowment Match Funds
12. Acceptance of contributions rec'd. by the NKU Foundation from Oct. 23, 2004 through Jan. 6, 2005

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, January 25, 2005).**

D. Other: (Calendar events)- Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule 2005:**

March 9	September 14
May 4	November 9
July 13	

2. **Council on Postsecondary Education Meeting Schedule for 2004/05:**

January 30-31	July 17-18
March 20-21	September 18-19, or September 25-26
May 22-23	November 6-7

(Upcoming Activities for 2005 listed on other side)

3. **Upcoming Activities 2005:**
    1. February 11, 6:30 p.m. - Alumni Awards Banquet (Marriott - Covington)
    2. February 12, 5:30 p.m. - Homecoming Game (Regents Hall)
    3. March 20, 4:00-7:00 p.m. – "Northern Lights" (numerous activities located in Fine Arts Building)
    4. March 23, 12:00 noon - University Spring Convocation (Greaves Concert Hall)
    5. April 3, - NKU participating in American Heart Association walk/run (Fountain Square)
    6. April 16, 9:00 a.m. - NKU Women's Walk (Regents Hall)
    7. April 20, 12:00 noon - Outstanding Women of Northern Kentucky Luncheon (METS Center - Erlanger)
    8. April (either the 26th or 27th) – 6:00 p.m. - Lincoln Award Banquet
    9. April 21, 6:00 p.m. - NKU Regents and NKU Foundation Executive Committee dinner (Met Club, Cov.)
    10. April 19, 2:30 p.m. - Student Celebration of Research and Creativity (NKU Campus)
    11. May 7, 10:00 a.m. - Spring Commencement Ceremonies (US Bank Arena)
    12. May 14, 10:00 a.m. - Chase College of Law Commencement (Regents Hall)
    13. June 13, Presidential Golf Outing (Triple Crown Country Club)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
January 26, 2005

The regular meeting of the Board of Regents was called to order at 1:03 p.m. on Wednesday, January 26, 2005, by Chair Chuck Brown.

Invocation was read by Regent McClelland.

Roll Call: Beverly Beck, Chuck Brown, Martin Butler, Frank Downing, Joyce Griffin, Andrew Hixson, Denise McClelland, Carrie McCoy, and Betty Pogue (Excused: Anna Dale Pyles and Robert Zapp).

Others Present: James Votruba, Deborah Read, Kimberly Luse, Gerald Hunter, Sara Sidebottom, Mark Shanley, Ken Ramey, Mimi Assanuvat, Lilla Losey, Dione Smith, Chris Cole, Gerry St. Amand, Don Gorbandt, Harold Todd, Joe Ruh, Michael Baker, Larry Blake, Russ Kerdolff, Bridgette Pregliasco, Mary Lepper, Carol Bredemeyer, Rick Van Sant (*Kentucky Post*), Mary Ryan, Pat Moynahan, Michael Tobergta, Cheryl Nunez, Bill Farro, Kent Kelso, Sarah Lomar, Matthew Brown, Ron Gardella, Kate Brodbeck, Stephanie Rabius, Melissa Hayden (*Recorder Newspapers*), Rick Meyers, Mike Carrell, Joe Wind, Jesiah Brock, Randy Hamlin, Kathy Stewart, Jennifer Perry, Lori Kurtzman (*Cincinnati Enquirer),* Andy Meeks, Steve Meier, and Phil Schmidt.

A motion was made by Regent Downing and seconded by Regent McCoy to approve the minutes of the November 17, 2004, Board of Regents meeting. **Motion carried.**

Presidential Comments:

- Recognition was given to the University Community for its generous support and participation toward the relief fund for the countries affected by the December 2004 Asian tsunami. NKU is working collaboratively with the University of Cincinnati and Xavier University to raise funds, which will be presented at the upcoming Cross Town Shootout event on February 10, between UC and XU at the University of Cincinnati.
- Provost Gail Wells and Associate Provost Carole Beere are currently in California representing NKU as part of the Carnegie Foundation's effort on the reclassification system for Colleges and Universities. The concept of public engagement will be included in this process. Ten universities around the country, including public and large private research universities, have been invited to consult and measure this work which will further institutionalize our community engagement as a nationally defining quality of higher education.
- One of the University's goals for this year includes the President and Provost Wells beginning a set of faculty conversations to discuss faculty incentives and rewards to teach and involve students in public engagement. Provost Wells will work with the Faculty Senate to amend the *Faculty Handbook* to qualify what constitutes public engagement as a criteria for promotion, tenure, and salary increases.
- The State political process was discussed at the morning meeting. At present, revenues are exceeding projections. Even with enhanced revenues, the Commonwealth is looking at deficits, including Medicaid and public employee health benefits. Support remains strong from the Governor and General Assembly for the region and for NKU.
- A presentation was given at the earlier meeting by Regent Hixson and representatives from the Student Government Association. The presentation included the revised SGA Constitution. As the document is generated by the students, SGA requested that the University Administration work closely with them during this process to advance this year's goals. The SGA was commended for their hard work and has the Administration's support.
- Vision 2015, a regional planning process designed to focus the NK region on its future, will be focusing on economic competitiveness, educational excellence, urban renaissance, effective

governance, and livable communities. The University's role will be broad in this process, supporting each of the action teams around those five areas. This is a great example of how the University can support a community in defining and accomplishing its future.

- While enrollments are strong, the process of implementing the University's admission standards are going very well. Joel Robinson, Director of Admissions, was commended for his work on this effort. The challenge for the University is to sustain diversity.

A. **Joint Finance and Policy Committees**: (Reports were given by Regent Secretary Butler)

1. **University Signage**: A PowerPoint presentation was given by Vice President Ramey on Phase One of a new signage and way finding initiative that the Office of Administration and Finance is planning. The new program is intended to establish brand identity and create a strong sense of place. This new "family of signage" will establish a more cohesive program than we have had in the past and will provide opportunities to provide signature amenities for several buildings on campus. The Greaves Concert Hall would be a perfect example. Plans are underway to begin installation of Phase One this spring. Additional phases will continue as resources permit.

2. **Revision to the Student Government Constitution**: Regent Andy Hixson presented the goals and accomplishments of the Student Government Association. He also expressed his appreciation for the support of the other SGA officers and senators who were in attendance at the Regents meeting. Regent Hixson also recommended that the Board approve the new Student Government Constitution which was passed by a 2/3 vote of the Student Senate, and ratified by a majority of the student body during a special election held on January 19.

   Code of Student Rights and Responsibilities: Vice President Shanley presented the framework for the revised Code of Student Rights and Responsibilities. While minor modifications had been made to the Code, this is the first full revision of the Code since it was adopted in the early 1970's. Since its adoption, significant changes have taken place in higher education law, and several new policies were enacted that had not been fully integrated into a comprehensive Code. This Code revision incorporates the Honor Code, all policy changes, and contemporary student conduct practices.

3. **Frankfort Update**: A short presentation was given by President Votruba on the Vision 2015 regional planning process and the importance of the University's added value to that process by his participation. A report was also given on the status of activities with respect to Frankfort and state government.

B. **Presidential Reports**:

1. **Facilities Management**: Vice President Ramey's report highlighted the following projects:
   - New Parking Deck – Construction is complete with 682 new parking spaces, alleviating campus parking problems.
   - Lake Development – The University is moving forward with this project. There have been a few setbacks draining the lake with the excessive rain this winter.
   - New Student Union – Planning for this project is well into the final stage with expected occupancy by fall 2007. Unless a state budget is passed, the project schedule will be set back.

   Regent Chair Brown asked if the architectural work could be moved forward without the state budget in place. Vice President Ramey indicated that the architectural work was complete and the bid document is currently being developed.

2. **Quarterly Financial Report**: Vice President Ramey commented that the University's financial

position remains strong through December 31, 2004. The net assets through the first two quarters were up $13M compared to the prior year. This increase can be attributed to several factors, including timing differences in the recognition of revenue and expenses and increased tuition revenues associated with reserves such as the Student Union and academic initiatives not yet spent. Overall, it is projected to end the year with additional net assets.

3. Comprehensive Campaign Report: The report summarizes the campaign resources committed from July 1, 1999 through October 31, 2004, totaling $50,825,972 in support of NKU's campaign priorities.

   Vice President Read commented that the Campaign was a tremendous success, and that this would be the final report. At the next Board meeting, the University will report on the annual funds raised toward the $10M goal for the year.

4. Research Grants and Contracts Bi-Monthly Award Report: No further comments were made on the report as submitted. The report summarizes the successful proposals with the amount of award for each proposal for NKU faculty and staff from November 1, 2004 through December 31, 2004. During this time period, a list of fifteen funded proposals were awarded for Research, Grants, and Contracts, totaling $523,143, and two funded proposals were awarded for the Center for Applied Ecology, totaling $2,500.

C. Presidential Recommendations:
Consent Agenda Items: Regent Hixson recommended that Item C.10 (Revision to the Student Government Association Constitution) be moved from the consent agenda items and be placed on the agenda as a separate recommendation for discussion. A motion was made by Regent Butler and seconded by Regent McClelland to move item C.10 from the consent agenda for consideration as a separate recommendation item. **Motion carried.**

A motion was made by Regent Hixson and seconded by Regent Pogue to approve the following Presidential Recommendations as listed below (1-9). **Motion carried**

1. Academic Affairs Personnel Actions:
   Administrative Appointments: **Vicki Culbreth**, Executive Director, Educational Outreach, effective January 3, 2005; and **Douglas Robertson**, Assistant Provost for Faculty Development, Faculty Development Center, and Professor of Educational Leadership with Tenure in the Department of Educational Specialties, effective January 18, 2005.

   Faculty Appointments: **Thomas Amile**, Assistant Professor, Accountancy, effective August 15, 2005; **Karl Hagglund**, Lecturer (non-tenure track renewable), Biological Sciences, effective December 1, 2004; **Sallie Parker Lotz**, Lecturer (non-tenure track renewable), Nursing and Health Professions, effective November 15, 2004; and **Linda Wermeling**, Lecturer (non-tenure track renewable), Social Work and Human Services, effective October 18, 2004.

   Leaves Paid/Unpaid: **Denice N. Robertson,** Lecturer in the Center for Integrative Natural Science and Mathematics, half-time unpaid leave with benefits, spring semester 2005.

2. Temporary Faculty Appointments for 2004-05:
   College of Arts and Sciences: CINSAM: Mike Nichols, fiscal year.
   College of Prof. Studies: Social Work and Human Srvcs: Eric J. Emery, Academic Year.

3. **Amendments to *Faculty Policies and Procedures Handbook*:** The amendments to the *Faculty Handbook* pertain to the following areas: Graduate Faculty; Summer Fellowship Reporting Dates; and Revision to the Equal Employment Opportunity, Affirmative Action, and Nepotism Statement.

4. **New Program - Master of Science in Community Counseling in the College of Professional Studies:** The program, which will be initiated at the beginning of the fall 2005 semester, was developed to ensure that students develop a professional counselor identity and master the knowledge and skills of direct practice with individuals, families, and small groups in community mental health centers, private practice, educational institutions, government, business and industrial settings. The program meets the academic and experiential requirements set forth by the Council for Accreditation of Counseling and Related Educational Programs (CACREP) and fulfills the requirements for graduates to sit for the National Counselor Certification.

5. **New Program - Master of Arts in Education/Rank I in School Counseling in the College of Education:** The program, which will be initiated at the beginning of the fall 2005 semester, was developed to teach those in the academic community how to (a) develop and implement a comprehensive developmental school guidance program for students; (b) design guidance plans that remove barriers to academic success for all students; (c) integrate the guidance curriculum into the total school curriculum; (d) use counseling and guidance skills to assist students to achieve at high performance levels; and (e) address personal/social issues by means of assessment, use of data, and cooperation with students' parents and other professional educators. The School Counseling program is designed for students who want to meet Kentucky's New School Counselor Standards. The program has two levels of school counseling certification for elementary and secondary counselors, Provisional Guidance Certification and Standard Guidance Certification/Rank I.

6. **Non-Academic Personnel Actions:** The following categories of non-academic personnel actions occurred between October 27, 2004, and January 7, 2005, were approved: Activations; Temp/Contract to Regular position; Transfers; Reassignments, Reclassifications, Re-Engineering, and Title Changes; Promotions; Departures; and Retirees.

7. **Authorize the Administration to enter into a lease agreement for rental of the house at 19 Clearview Dr.:** The property was purchased on October 14, 2004. As the house becomes vacant, it may be rented for residential use to provide a modest income and to allow the property to be occupied. Over the next several years as space on the main campus becomes an issue, most of the houses will be converted from residential use to office space.

8. **Revision to the Holidays Policy in the NKU *Personnel Policy and Procedure Manual*:** The revision adds section F2.3 to address the change in the academic calendar. As a result of the academic calendar, if the University is open and classes are held on President's Day, staff will be granted an alternative holiday. If the University observes President's Day and there are no classes scheduled, staff will be granted President's Day as a holiday. The selection of the alternative holiday date requires the approval of the department head.

9. **Ratification of the December, 2004 version of the Code of Student Rights and Responsibilities:** In 2002, the Dean of Students office began a review of the Code of Student Rights and Responsibilities. It was discovered that the current Code had not been significantly updated since the mid-1970s. In addition, it was necessary to integrate the elements of the new Honor Code for academic integrity into the document. There was also a need to revise language

and policies and update the disciplinary procedures in order to provide students, faculty and staff with an effective, fair, and speedy process. During the revision process, the Office of the Dean of Students reviewed the current Code, researched Codes of Conduct from around the country and consulted with student judicial professionals.

10. **Revision to the Student Government Association Constitution:** A motion was made by Regent Hixson and seconded by Regent Butler to ratify the November, 2004 version of the Student Government Association Constitution, as modified by striking Article XII, Section 2, B. President Votruba and Regent Hixson noted that this section was unnecessary and was covered by preceding sections. Regent Hixson noted for the record that an issue had arisen regarding inconsistencies between the version of the constitution passed by the Student Senate and the version published on the SGA web site, and that this issue would be carefully reviewed by SGA. Regent Butler clarified his understanding that the draft of the Constitution provided to the Student Senate for review and approval was different than the draft that the SGA constitution committee had reviewed. Regent Hixson affirmed that the constitution currently before the Board was what the constitution committee had reviewed, with amendments made by the Student Senate, and ratified by a majority vote of the student body. **Motion carried.**

11. **Authorization to submit gift commitments to CPE requesting Endowment Match Funds:** The NKU Board of Regents has primary responsibility for the implementation of the Endowment Match Program and specific responsibility for providing oversight of all endowment funds. A motion was made by Regent Pogue and seconded by Regent McCoy to authorize the Chair of the Board of Regents to submit a Notice of Board Approval to the Council on Postsecondary Education stating that the NKU Board of Regents accepts the recommended gifts totaling $500,416, and that authorization is granted to submit the contributions for consideration of Commonwealth Matching Funds. **Motion carried.**

12. **Acceptance of contributions received by the NKU Foundation:** A motion was made by Regent McClelland and seconded by Regent Beck to accept contributions totaling $793,750 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period October 23, 2004, through January 6, 2005, as indicated. **Motion carried.**

With no further business, at 1:30 p.m., a motion was made by Regent Pogue and seconded by Regent Griffin to adjourn. **Motion carried.**

Lilla F. Losey
Senior Administrative Assistant
Office of the President

Kimberly A. Luse
Executive Assistant to the President/
Secretary to the Board of Regents

I, Martin Butler, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on January 26, 2005, and that such matters are still in force and effect.

Martin Butler
Secretary of the Board of Regents

6:00 p.m. March 8, 2005 - Regent Dinner at President's Home (Lakeside Park, KY)

9:30 a.m. March 9, 2005 – Joint Policy and Finance Meeting (University Center Ballroom)
11:30 a.m. March 9, 2005 – Board Luncheon (President's Dining Room - UC 105)
1:00 p.m. March 9, 2005 – Board of Regents Mtg. (University Center Ballroom)
(Regents - Please call the President's Office at 859-572-5172 if you will be unable to attend any of the above events)

AGENDA
Northern Kentucky University
Board of Regents Meeting
Wednesday, March 9, 2005 - 1:00 p.m.

Call to Order and Invocation
Roll Call and Welcome
Approval of January 26, 2005, Board Minutes
Presidential Comments

A. **Joint Finance and Policy Committee:**
1. Athletic Logo presentation (Shanley/Jane Meier)
2. Review of Actions - General Assembly (President Votruba)

B. **Presidential Reports:**
1. Facilities Management (Ramey)
2. Research Grants and Contracts (Beere)
3. Fundraising Resources Committed (Read)
4. Faculty Development Awards: Project Grants (Wells)
5. Faculty Development Awards: Summer Fellowships (Wells)

C. **Presidential Recommendations:**
*1. Non-Academic Personnel Actions
*2. Academic Affairs Personnel Actions
*3. Temporary Faculty Appointments for 2005-06
*4. Faculty Senate Constitution Amendment
*5. Reorganization - Honors Program
*6. Reorganization - Faculty Development Programs
*7. Reappointment, Promotion, and Tenure
*8. 2005-06 Sabbatical Leave
*9. Revision to the University Organizational Structure
10. Acceptance of contributions rec'd. by the NKU Foundation from January 7, 2005 through February 24, 2005

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, March 8, 2005).**

D. **Other**: (Calendar events)- Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule 2005:**

May 4	September 14
July 13	November 9

2. **Council on Postsecondary Education Meeting Schedule for 2005:**

March 20-21	September 18-19 or September 25-26
May 22-23	November 6-7
July 17-18	

3. **Upcoming Activities 2005:**
   1. March 20, 4:00-7:00 p.m. – "Northern Lights" (numerous activities located in Fine Arts Building)
   2. March 23, 12:00 noon - University Spring Convocation (Greaves Concert Hall)
   3. April 3, NKU participating in American Heart Association walk/run (Fountain Square)
   4. April 16, 9:00 a.m. - NKU Women's Walk (Regents Hall)

(Activities continued on other side)

5. April 20, 12:00 noon - Outstanding Women of Northern Kentucky Luncheon (METS Center - Erlanger)
6. April 21, 6:30 p.m. - NKU Regents and NKU Foundation Executive Committee dinner (Met Club, Cov.)
7. April 19, 2:30 p.m. - Student Celebration of Research and Creativity (NKU Campus)
8. April 27, 6:00 p.m. - Lincoln Award Banquet (METS Center - Erlanger)
9. May 7, 10:00 a.m. - Spring Commencement Ceremonies (US Bank Arena)
10. May 14, 10:00 a.m. - Chase College of Law Commencement (Regents Hall)
11. June 13 - Presidential Golf Outing (Triple Crown Country Club)
12. **August 25, 8:00 a.m. - 5:00 p.m. - Board of Regents Retreat (Metropolitan Club)**
13. August 19, 9:30 a.m. - Fall Convocation (Greaves Concert Hall)
14. August 22 - Beginning of Fall semester

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
March 9, 2005

The regular meeting of the Board of Regents was called to order at 1:07 p.m. on Wednesday, March 9, 2005, by Chair Brown.

Invocation was read by Regent Downing.

Roll Call: Chuck Brown, Martin Butler, Frank Downing, Joyce Griffin, Andrew Hixson, Carrie McCoy, Betty Pogue, and Robert Zapp (Excused: Beverly Beck, Denise McClelland, and Anna Dale Pyles).

Others Present: James Votruba, Deborah Read, Kimberly Luse, Sara Sidebottom, Mark Shanley, Ken Ramey, Gail Wells, Carole Beere, Zane Harris, Bill Farro, Dione Smith, Mimi Assanuvat, Lilla Losey Melissa Hayden, Rick Meyers, Chris Cole, Brian Boes, Cheryl Nunez, Linda Reynolds, Joe Ruh, Matt Brown, Mary Ryan, Joe Wind, Mike Baker, Jane Meier, Don Gorbandt, Mary Ucci, Ken Pegram, Nick Vonholt, Kenneth Rhee, Kathy Stewart, Mary Kirk, Mike Carrell, Lori Southwood, Doug Robertson, Harold Todd, Megan Downing, Sarah Mann, Jim Nilson, Gary Pratt, Rick Van Sant–*Kentucky Post*, Gerry St. Amand, Angie Schaffer, Mary Paula Schuh, Russ Kerdolff, and Steve Meier.

A motion was made by Regent Pogue and seconded by Regent Hixson to approve the minutes of the January 26, 2005, Board of Regents meeting. **Motion carried**.

Presidential Comments:

- This has been a historic legislative session for the University, Gateway Community and Technical College, and for the region. Northern Kentucky University will move toward a new level in growth and maturation and Gateway Community and Technical College will develop rapidly to support economic and regional development overall with $15M used toward the Nursing facility, and $1.7M in operating support.
- The Bank of Kentucky Center, a $60M project, will have enormous impact on both the University and the community with an anticipated $100M economic impact in construction, along with a predicted $4M annual economic impact. It will spur not only growth and jobs but will continue the economic development in the region. Regent Zapp and his Board of Directors at the Bank of Kentucky were thanked for their timing and the nature of their commitment for making this effort possible.
- A presentation was given at the morning meeting by Mike Baker, Executive Director of University Foundation, on how the new Center can be positioned to leverage approximately $20-40M of development as part of the US 27 project.
- The new Student Union, which was initiated by the Student Government Association almost 3 ½ years ago, will impact the quality of student life on campus. The General Assembly approved a $37M scope with $14.7M for this year and remainder in the following year. This project will be agency bond funded. Construction is expected to begin by this fall.
- There are approximately $6.5M increases in operating for the University, with restoration of $1.9M in cuts that were made in the University's recurring funds in the past year included in that amount.

- At the morning meeting Joe Wind, Government and Community Relations, extensively reviewed the current work as well as the outcome of this legislative session. Joe's strategic sense and deep abiding commitment to Northern Kentucky University were recognized.
- The Governor, Senators, Representatives (particularly, former Representative Jim Callahan), and community leadership, were all recognized for their steadfast commitment and tremendous efforts to unite behind an agenda that will carry NKU, along with the region, to the next level of development.
- The Student Government Association was also commended for their energy and commitment on behalf of the University in Frankfort.
- On behalf of the entire University, the local media was recognized for its support (both print and electronic media) of the University throughout this legislative session. The reporting accuracy and timing have been invaluable and much appreciated.
- Creating a vision to Northern Kentucky's future (VISION 2015) is off to a strong start. The President is one of two co-chairs for the overall process, with a leadership team of 90-100 people. Several NKU representatives–administration, faculty, staff, and students are involved, including: Regents Butler, Downing, and Zapp. There are five action teams with the focus on five dimensions of our future, 1) economic competitiveness, 2) educational excellence, 3) urban renaissance, 4) effective governance, and 5) liveable communities.
- NKU, in collaboration with the University of Cincinnati and Xavier University, raised approximately $84K toward the Asian tsunami relief effort. This was announced and a presentation was made at the Cross Town Shootout basketball event on February 10 at the University of Cincinnati.
- Cheryl Nunez, Director of Affirmative Action and Multicultural Affairs, will be leaving the University next month to take a position as Vice Provost at Xavier University. Through her leadership, Ms. Nunez was recognized for her contributions in guiding the University to reflect the rich diversity and multiculturalism of our community.

A. <u>Joint Finance and Policy Committees</u>: (Reports were given by Regent Secretary Butler)

1. **<u>Athletic Logo Presentation</u>:** Vice President Shanley and Jane Meier, Director of Intercollegiate Athletics, shared plans for a new NKU Intercollegiate Athletics logo. The current NKU athletic logo is 12 years old and in need of updating. Feedback from our marketing and licensing company indicates that our logo is unique, but difficult to market. It is felt that a new, powerful, professionally-done athletic identity, and a comprehensive branding program, will energize and invigorate merchandise sales and marketing opportunities which in turn will have a positive effect on NKU's image. The new logo portrays: a more athletic and powerful look; a stronger Norse image; and enhances the marketability of the NORSE image. Plans are being finalized for the public unveiling of the logo in late August at the start of the new academic year.

   <u>NCAA Steering Committee</u>: Vice President Shanley reported on the progress of the NCAA Steering Committee that is currently leading the review of the University's athletic program. The charge was to determine how to establish the finest athletic program that is most consistent with the vision, mission, strategic priorities, and future aspirations of the University. One alternative being considered is reclassifying the NKU Intercollegiate Athletics program from the current NCAA Division II to Division

I-AAA status. To assist in this important decision, NKU has retained the services of two consulting firms: Carr Sports Associates for the feasibility study and PriceWaterhouseCoopers and Market Vision Research for the market assessment. Beginning this week, there will be an assessment of the level of support for such a reclassification. Every major constituent group of the University will be contacted to gain their perspective on and degree of support for such a reclassification. Consultant reports are due in May. A steering committee will present a recommendation to the President, who will formulate recommendations to the Board. This study has been in process since September 2004.

2. **Review of Kentucky General Assembly Actions:** President Votruba and Joe Wind provided a legislative update report on the results of the Budget passed on Tuesday March 8, 2005, during the State General Assembly Session and the benefits thereof for Northern Kentucky University:
   - Special Events Center $54M in bonds with a $60M scope, given the generous commitment of $6M from the Bank of Kentucky.
   - Student Union $14.9M in bonds with full scope of $34M. The Governor has asked that we delay the additional bonds until next year in order to keep the debt ceiling at the Governor's targeted level–this should not delay construction.
   - $50M for postsecondary funding with $5M of that amount earmarked for growth.

Provost Wells introduced new Associate Provost Robert Farrell for Economic Initiatives. His impressive resume included the following positions/initiatives: Founder, SDRC engineering software firm in Milford, Ohio; Chairman and CEO of ITI Manta Corporation and member of the Board of Directors 1989-2001; International TechneGroup Incorporated (ITI) 2001-present; and is an entrepreneur from this region who will help to build Northern Kentucky's economy using resources at NKU.

Mike Baker reported on the development of US 27 on behalf of the University Foundation. Two developers have responded to this project. Proposals include approximately 30,000 square ft. of retail, up to 120,000 square ft. of office space, to be done in two phases, and an approximate 100 room hotel with limited conference facilities (subject to completing financial feasibility study). The property committee put the project on hold until the special events center funding was known. The events center will give the Foundation more negotiating power to improve its financial return. The Foundation will enter into a ground lease with the developer. Both the county and city have agreed to work very cooperatively on financing to assure the success of this project. It is expected to take 15-18 months to complete the project after the agreements are reached with the developer.

Joel Robinson, Assistant Vice President for Enrollment Management, provided a short report on enrollment management efforts by the University:
- New investments in our recruitment efforts through increased staffing in multicultural recruitment (1-3 staff members).
- Increased scholarships both diversity and that reach the further into the Commonwealth.
- Recruitment of students at sophomore level of high school, using extensive direct mail, email and telecounseling.

- Admission standards are being received very positively by local and regional high schools.
- 153 unqualified students have currently been denied admission.
- The University's current admissions statistics are as follows: increased 6% in regular admissions--notwithstanding increased admission standards; freshman applications increased 2 to 3%; African American applications are up 19%; and overall slightly down in transfer applications.

B. Presidential Reports:

1. **Facilities Management:** Vice President Ramey indicated that the request has already been forwarded to Frankfort to establish the design team for the Bank of Kentucky Center. This will be a three-month process before the contract is awarded and will move forward very quickly for the planning process. No comments were made on the facilities report as submitted which included the following projects: Lake Development; Performance Contracting/Energy Audit; New Student Union; and Sports Complex.

2. **Research Grants and Contracts:** No other comments were made on the report as submitted. The report summarizes the successful proposals with the amount of award for each proposal for NKU faculty and staff from January 1, 2005 through February 28, 2005:
   - Research, Grants, and Contracts - sixteen proposals were awarded totaling $784,025.
   - Center for Applied Ecology - two proposals were submitted with no funds awarded during this time.

3. **Fundraising Resources Committed:** Vice President Read indicated that the report reflects the Bank of Kentucky's commitment in support of the new Center, and it also includes funds committed toward the Comprehensive Campaign. In the future there will be an annual report on the funds raised. The report as submitted summarizes fundraising resources committed from July 1, 2004 through February 24, 2005, totaling $8,826,147 in support of the University (pending resources totaling: $23,118,250). The report includes resources in support of: Arts and Sciences - $162,931 (pending resources: $1,068,250); Professional Studies - $184,598; Business - $70,517 (pending resources: $17M); Chase College of Law - $355,246 (pending resources: $500K); Education - $26,771; Friends of Fine Arts, Steely Library - $7,977; Norse Athletics - $231,294 (pending resources: $1,150,000); Campus Priority Fund/Unrestricted - $82,025; Campus General Initiatives - $7,671,914 (pending resources: $3,400,000); and WNKU - $32,874.

4. **Faculty Development Awards: Project Grants 2005-06:** Provost Wells encouraged the Board to read through the project grants, indicating that it was a very progressive process. The grants are awarded to encourage professional growth through financial support for independent research. The University recognizes the role of research as an integral part of professional activity of the faculty and includes student activity and research. Faculty Project Grants are intended to provide funds for research equipment and activities that are not available through program budgets. Grants have been awarded to the following faculty members: Barry Andersen/Art; Gina Foletta/Mathematics and Computer Science; John Huss/Educational Specialties; Yasue Kuwahara/Communication; Robert Langenderfer/Nursing and Health Profession; Yushi Li/Sociology, Anthroplogy and Philosophy; Linda Marquis/Accountancy; Mark Marsolais/Political Science and Criminal Justice; Van Miller/Management and Marketing; Carol

Ryan/Elementary, Middle, and Secondary Programs; Linda Sheffield/Elementary, Middle, and Secondary Programs; Ryan Teten/Political Science and Criminal Justice; Mary Whitson/Biological Sciences; Robert Wilcox/History and Geography; and Stephen Yungbluth/Communication.

5. **Faculty Development Awards: Summer Fellowships 2005-06:** Provost Wells indicated that the program is designed to encourage faculty to engage in individual research, scholarly writing, and creative and artistic projects and to share the results of their efforts with the broader University community. The summer Fellowships were awarded to the following faculty members: Vassilis Dalakas/Management and Marketing; Linda Dynan/Economics and Finance; Matthew Ford/Management and Marketing; Charles Frank/Mathematics and Computer Science; Mark Hardy/Theatre and Dance; Greg Hatchett/Social Work and Human Services; Douglas Krull/Psychology; Mark Marsolais/Political Science and Criminal Justice; P. Andrew Miller/Literature and Language; Chris Strobel/Communication; Ryan Teten/Political Science and Criminal Justice; and Kristine Yohe/Literature and Language.

C. **Presidential Recommendations:**

Consent Agenda Items: Regent McCoy requested that Item C.7 (Reappointment, Promotion, and Tenure) be removed from the consent agenda items and be voted on as a separate recommendation.

A motion was made by Regent Zapp and seconded by Regent Pogue to approve the following Presidential Recommendations as listed below (C.1- 6, 8, and 9). **Motion carried.**

1. **Non-Academic Personnel Actions:** The following categories of non-academic personnel actions, which occurred between January 8, 2005 and February 18, 2005, were approved: Activations; Temp/Contract to Regular position; Transfers; Reassignments, Reclassifications, Re-Engineering, and Title Changes; Promotions; Departures; and Retirees.

2. **Academic Affairs Personnel Actions:**

Administrative Appointments: Robert M. Farrell, Sr., Associate Provost for Economic Initiatives, effective February 21, 2005 and **David MacKnight**, Associate Dean for Law School Advancement, Chase College of Law, effective December 2, 2004.

Faculty Appointments: Iain Barksdale, Assistant Professor, Chase College of Law Library, effective January 10, 2005; **Karen Leek**, Lecturer (non-tenure track renewable), Nursing and Health Professions, effective January 3, 2005; **Catherine Neal**, Assistant Professor in Accountancy, effective August 15, 2005; **Adrienne Nobel Nacev**, Visiting Director of Academic Learning and Development Center, Chase College of Law, effective August 16, 2004; **Jeanne M. Pettit**, Lecturer/Associate Director (non-tenure track renewable) in the First-Year Programs/NKU Academy, effective January 15, 2005; **J. C. Thompson**, Associate Professor in Accountancy, effective August 15, 2005; and **J. Michael Thomson**, from Interim Chair and Associate Professor in Political Science and Criminal Justice, to Chair and Associate Professor in Political Science and Criminal Justice, effective January 1, 2005.

Resignations: Nagore Beltran de Guevara, Professor in Literature and Language, effective May 14, 2005; **Jane Green**, Lecturer in Theatre and Dance, effective May 14, 2005; and **Geraldine Williams**, Associate Professor and Systems Librarian, effective June 30, 2005.

3. **Temporary Faculty Appointments for 2005-06:**
College of Arts and Sciences: Chemistry: Bradley J. Sieve, academic year; **History and Geography:** William J. Landon, academic year and James Ramon Rappold, academic year; and **Literature and Language:** Jeremy T. Goebel, academic year.
College of Education: **Educational Specialties:** Richard Simmons, spring semester.
College of Professional Studies: Nursing and Health Professions: Willie Womack, spring semester and **Technology**: Ausbra McFarland, spring semester.

4. **Faculty Senate Constitution Amendment:** There are 25 full-time faculty members who are eligible to vote for senators, but do not have representation in Faculty Senate because they are not part of any college. They are part of the University Programs which is not headed by a dean, but by the Provost. The full-time faculty members are distributed as follows: Developmental Math: 9; Learning Assistance Center: 6; Honors: 1 (Reports to the Provost); Women's Studies: 1; and First-Year Programs: 8. The amendment to the Faculty Senate Constitution, dealing with representation for faculty under the umbrella of University Programs, was passed by the Senate at its November 2004 meeting. In accordance with Articles XV (Amendments) and VIII (Meetings), a meeting of the General Faculty of NKU was called for January 24, 2005 for the purpose of voting on this amendment. The amendment passed without dissent.

5. **Reorganization - Honors Program:** The NKU Honors Program will change reporting from the Vice Provost's area to the Vice President for Academic Affairs and Provost. The change was recommended so that the NKU Honors Program will have greater contact with the undergraduate colleges that also report to the Vice President for Academic Affairs and Provost. The reporting relationship change will allow the NKU Honors Director to work with the undergraduate deans on a regular basis in development of a potential Honors major program as well as allow the potential development of discipline specific tracts of the Honors Program. The change will also give the NKU Honors Program a larger university-wide focus on the University's campus.

6. **Reorganization - Faculty Development Programs:** The Faculty Development Programs will be combined with Education Technology and Training (ET^2) to create a single professional development unit. The title of the combined unit will change from The Center for Teaching, Learning, and Technology to "Professional and Organizational Development (POD) Center" in order to reflect a more comprehensive scope of responsibility.

7. **Reappointment, Promotion, and Tenure (beginning with the 2005-06 academic year):** With one abstention by Faculty Regent McCoy, due to personal information obtained through service on committees, a motion was made by Regent Hixson and seconded by Regent Griffin to approve the recommendations for promotion, tenure, continued probation, continued probation with conditions to be

removed, and terminal reappointments. **Motion carried.**

Promotion:
College of Arts and Sciences (promoted to Associate Professor): Biological Sciences: Patrick Schultheis; **History and Geography**: Debra Meyers; **Literature and Language**: Tamara O'Callaghan; **Mathematics and Computer Science**: Andrew Long and Gail Mackin; **Political Science and Criminal Justice**: Amy Thistlethwaite; **Psychology**: Mark Bardgett; and **Theatre and Dance:** Ron Shaw;
College of Business (promoted to Associate Professor): Accountancy: Peter Theuri; **Information Systems:** Toru Sakaguchi; and **Management and Marketing**: Aron Levin and Ken Rhee.
College of Education (promoted to Professor): **Educational Specialities:** Alar Lipping.
College of Professional Studies (promoted to Associate Professor): Nursing and Health Professions: Caron Martin.
W. Frank Steely Library (promoted to Associate Professor): Steely Library: Michael Providenti.
Salmon P. Chase College of Law (promoted to Associate Professor): Chase College of Law: Lawrence Rosenthal.

Tenure:
College of Arts and Sciences: Biological Sciences: Patrick Schultheis; **History and Geography**: Debra Meyers; **Literature and Language**: Tamara O'Callaghan; **Mathematics and Computer Science**: Andrew Long and Gail Mackin; **Political Science and Criminal Justice**: Amy Thistlethwaite; and **Psychology**: Mark Bardgett.
College of Business: Accountancy: Peter Theuri; **Information Systems:** Toru Sakaguchi; and **Management and Marketing**: Aron Levin and Ken Rhee.
College of Professional Studies: Nursing and Health Professions: Caron Martin; and **Technology:** Morteza Sadat-Hossieny.
W. Frank Steely Library: Steely Library: Michael Providenti.

Continued Probation:
College of Arts and Sciences: Art: Tobias Brauer, Julie Mader-Meersman, and Kevin Muente; **Biological Sciences**: Charles Acosta, Hazel Barton, Richard Boyce, Richard Durtsche, Nichole Minor, Thomas Sproat and Kathryn Whitson; **Chemistry:** K. C. Russell and Keith Walters; **History and Geography:** William Hansen and Eric Jackson; **Literature and Language:** Kate Cochran, Jonathan Cullick, Emily Detmer-Goebel, Barclay Green, Parmita Kapadia, Tonya Krouse, P. Andrew Miller, Gary Walton, and Christopher Wilkey; **Mathematics and Computer Science:** Mary L. Baggett, James W. Hauser, Hee-Jeong Lim and Carl Miller; **Music:** David Cole, Brant Karrick, and Kurt Sander; **Physics and Geology:** Janet Bertog, Samuel Boateng, Wayne Bresser, and Sharmanthie Fernando; **Political Science and Criminal Justice:** Kimberly Weir; **Psychology:** Bill Attenweiler, Robin Myers Bartlett, and Kimberly Hanson Breitenbecher; **Sociology, Anthropology, and Philosophy:** Nancy Hancock; **Theatre and Dance**: Jamey Strawn.
College of Business: Accountancy: Teressa Elliott; **Information Systems:** Teuta Cata and Xiaoni Zhang; **Management and Marketing:** Matthew Ford, Richard Gilson, Bertie Greer, and Stephanie

Hughes.
College of Education: Educational Specialities: Kimberly Code, John Huss, Melissa Jones, and Trey Morgan.
College of Professional Studies: Communication: Mary Cupito, Wendy L. Falato, Zachary Hart, and Chris Strobel; **Nursing and Health Professions:** Marian Cummins, Adele Dean, Beth Hickey, Sara Mohn, JoAnn Randolph, and Sandra Turkelson; **Social Work and Human Services:** Gregory Hatchett, Darrell Payne, Holly Riffe, and Jacqueline Smith.
W. Frank Steely Library: Steely Library: Sheri Myers.
Salmon P. Chase College of Law: Chase College of Law: Annette M. Burkeen, Christopher J. Gulinello, Davida H. Isaacs, Alberto Lopez, and William Sjostrom, Jr.
Salmon P. Chase College of Law Library: Chase College of Law Library: Michael Whiteman.

Continued Probation with conditions to be removed:
College of Arts and Sciences: **Political Science and Criminal Justice:** Michael Baranowski and Mark Marsolais.
College of Business: **Accountancy:** Andrea Weickgenannt; **Information Systems**: Robert Mahaney; **Management and Marketing**: Van Miller, Doris Shaw, and Tracy Sigler.
College of Education: Educational Specialties: Anne Broughton and Rosa Weaver; **Elementary, Middle, and Secondary Programs**: Sara Runge-Pulte and Rajeev Swami.
College of Professional Studies: Technology: Melissa Bledsoe, Sean Foley, and Mark Rajai.
W. Frank Steely Library: Steely Library: Jennifer Gregory and Colleen Kennedy.
Salmon P. Chase College of Law: Chase College of Law: Ken Katkin.

Terminal Reappointment:
College of Education: Elementary, Middle, and Secondary Programs: Anjana Arora and Ernest Barnett.
College of Professional Studies: Social Work and Human Services: Barbara Conklin and Charles Lowe.

8. **2005-06 Sabbatical Leave: Barry Andersen**, Art, one semester; **Barbara Arrighi**, Sociology, Anthropology and Philosophy, one semester; **Richard Bales**, College of Law, one semester; **Diana Belland**, Music, one semester; **Paul Bishop**, Psychology, full year; **Kevin Booher**, Art, one semester; **Chris Christensen**, Mathematics and Computer Science, one semester; **Sudesh Duggal**, Information Systems, one semester; **Willie Elliott**, Social Work and Human Services, full year; **Larry Giesmann**, Biological Sciences, one semester; **Roxanne Kent-Drury**, Literature and Language, one semester; **Douglas Krull**, Psychology, one semester; **Cheryl McKenzie**, Nursing and Health Professions, one semester; **Yvonne Meichtry**, Elementary, Middle, and Secondary Programs, one semester; **Jonathan Reynolds**, History and Geography, full year; and **Christine Stiegler**, Technology, one semester.

9. **Revision to the University Organizational Structure:** The bi-annual update of the structure reflects all administrative changes to date. Areas listed under the reporting lines are primarily for director level and above but include: direct reports to the President; Departmental Chairs under Academic Affairs;

Coaching areas under Intercollegiate Athletics; All Card Administration and the Bookstore/Follet (a separate entity of the University) which report to Business Operations and Auxiliary Services.

10. **Contributions Received by the NKU Foundation:** Regent Pogue suggested that future minutes be more specific and include itemized contributions. The President indicated that more information would be included.

    The contributions are itemized as follows: The Bank of Kentucky for The Bank of Kentucky Center - $6M (pledge); Hubert A. Day Trust Fund for Chase College of Law - $138,063.65 (cash); Phillip C. Yeager for Norse Athletics/Tennis Facility - $60,045 (stock); Samuel E. Davis for Chase College of Law - $10K (cash); and Willie G. Gillham for Campus Priority Fund - $10K (cash/bequest).

    With one abstention by Regent Zapp, due to conflict of interest, a motion was made by Regent McCoy and seconded by Regent Downing to accept contributions totaling $6,218,108.65 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period January 7, 2005 through February 24, 2005. **Motion carried.**

At 1:40 p.m. a motion was made by Regent Downing and seconded by Regent Butler to call an Executive Session to discuss personnel matters. President Votruba was asked to remain. **Motion carried.**

At 1:45 p.m. a motion was made by Regent Pogue and seconded by Regent Zapp to call the meeting back into open session. No action was taken. **Motion carried.**

With no further business, at 1:48 p.m., a motion was made by Regent McCoy and seconded by Regent Zapp to adjourn. **Motion carried.**

Lilla F. Losey
Senior Administrative Assistant

Kimberly A. Luse
Executive Assistant to the President and
Secretary to the Board of Regents

I, Martin C. Butler, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on March 9, 2005, and that such matters are still in force and effect.

Martin C. Butler
Secretary of the Board of Regents

6:00 p.m. May 3, 2005 - Regent Dinner at President's Home (Lakeside Park, KY)

8:30 a.m. May 4, 2005 – Joint Policy and Finance Mtg. (University Center Ballroom)
11:45 a.m. May 4, 2005 – Reception for Student Award Recipients (University Center TV Lounge)
1:00 p.m. May 4, 2005– Board of Regents Mtg. (University Center Ballroom)

(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

AGENDA
Northern Kentucky University
Board of Regents Meeting
Wednesday, May 4, 2005 - 1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Recognition of Student Award Recipients
- Resolutions for Outgoing Regents Hixson and Pogue
- Approval of March 9, 2005, Board Minutes
- Presidential Comments

- Presentation by Hazel Barton/Janet Hergoz and students, Department of Biology

A. **Joint Finance and Policy Committee:**
1. Division of Academic Affairs Organization Changes (Wells)
2. Expanding the Office of Internal Audit (Ramey)
3. Tuition, Fees and Charges/NKU Budget Recommendation (Ramey/President Votruba)
4. NKU 2006-2012 Capital Plan (Ramey)

B. **Presidential Reports:**
1. Facilities Management (Ramey)
2. Quarterly Financial Report (Ramey)
3. Fundraising Report (Read)
4. Research Grants and Contracts (Beere)

C. **Presidential Recommendations:**
*1. Academic Affairs Personnel Actions
*2. Faculty Continued Probation
*3. Emeritus Status
*4. Reassigned Time Benefits
*5. Non-Academic Personnel Actions
*6. Bad Debt Write-Off
*7. Athletic Training Program Name Change
*8. Honorary Degree Candidate (Helen Thomas) conferred at the May 7, 2005, Commencement
*9. Honorary Degree Candidate (Judge Arthur Spiegel) conferred at the May 14, 2005, Commencement (Chase)
*10. Naming Rights for University Facilities
11. Division of Academic Affairs Organization Changes
12. Acceptance of contributions received by the NKU Foundation during the period of February 25, through April 8, 2005
13. Tuition, Fees and Charges for Academic Year 2005-06
14. Resolution establishing and approving the Fiscal Year 2005-06 Annual Budget for NKU
15. NKU 2006-2012 Capital Plan

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, May 3, 2005).**

D. **Regent Recommendation:** (Chair Brown)
1. Resignation of Regent Anna Dale Pyles as Vice Chair
2. Election of new Executive Committee members

E. **Executive Session:**

University Schedule of Activities listed on other side.

F. **Other:** (Calendar events)- Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule 2005:**
   July 13
   September 14
   November 9

2. **Council on Postsecondary Education Meeting Schedule for 2005:**

May 22-23	September 18-19 or 25-26
July 17-18	November 6-7

3. **Upcoming Activities for Regent attendance for 2005:**

   - May 7, 10:00 a.m. – Spring Commencement Ceremonies (US Bank Arena)
   - May 14, 10:00 a.m. – Spring Commencement Chase College of Law (Regents Hall)
   - May 19, 8:30 a.m. – NKU Foundation Semi-Annual Meeting (419 Nunn Hall)
   - June 13 – President's Golf Outing (Triple Crown Country Club)
   - August 19, 9:30 a.m. - Fall Convocation (Greaves Concert Hall)
   - August 25, 8:00 a.m-5:00 p.m. - Board of Regents Retreat (Metropolitan Club)
   - October 18, 12 noon - Staff Appreciation Day (Regents Hall)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
May 4, 2005

The regular meeting of the Board of Regents was called to order at 1:10 p.m. on Wednesday, May 4, 2005, by Chair Brown.

Invocation was read by Regent Pogue.

Roll Call: Beverly Beck, Chuck Brown, Martin Butler, Frank Downing, Joyce Griffin, Andrew Hixson, Denise McClelland, Carrie McCoy, and Betty Pogue (Excused: Anna Dale Pyles and Robert Zapp).

Others Present: James Votruba, Deborah Read, Kimberly Luse, Sara Sidebottom, Mark Shanley, Ken Ramey, Gail Wells, Carole Beere, Zane Harris, Bill Farro, Mimi Assanuvat, Lilla Losey, Rick Meyers, Chris Cole, Jane Meier, Mary Ucci, Mike Carrell, Lori Southwood, Rick VanSant- *Kentucky Post*, Russ Kerdolff, Erika Rothbauer, Rachele Vogelpohl, Emily Breitnolle, Kathy Stewart (Athletics), Chip Gregg, David Bezold, Heather Cotner, Sarah King, Michelle Logan, Jami Patton, Jennifer Schamp, Bridgette Pregliasco, Viki Kimball, Hing Cao, Jim Clark, Michael Tobergta, Hazel Barton, Janet Bertog, Adin Pemberton, Michael Kreate, Nick Taylor, Kelly Charlton, Harold Todd, Andy Meeks, Mike Baker, Kim Baker, Pat Moynahan, Willie Elliott, Deidra Fajack, Carol Beirne, Joe Wind, Gerry St. Amand, Don Gorbandt, Elaine Jarchow, Joe Mills, Steve Trimble, Angie Gabbard, Carole Bredemeyer, Gary Pratt, Mary Lepper, Bill Oliver, Melissa Hayden, Paul Myers, Kathy Stewart (Special Events), Karen Gutierrez - *Kentucky Enquirer*, Cynthia Pinchback Hines, Pat Reddy, Angie Schaffer, Joe Ruh, and Larry Blake.

Resolutions of appreciation and recognition were presented by Regent Chair Brown to outgoing Regents Betty Maupin Pogue and Student Regent Andrew Hixson. Their terms will expire on June 30, 2005. On behalf of the University, Chair Brown and President Votruba gave additional recognition to Regent Hixson for serving with distinction and for guiding the SGA to a very productive year. Regent Pogue was recognized for her eloquent voice on behalf of the students and the University. During her term, she promoted the significance of NKU serving the educational needs of our region in addition to building a strong partnership with P-12 Education. Betty and Bud Pogue have both served the University from its beginning and their impact on the campus has been both broad and deep.

A welcome was given at the meeting to the NKU Women's Softball Team and Coach Kathy Stewart. President Votruba presented the team with a resolution of appreciation recognizing their outstanding performance over this spring with their unprecedented record of 46-0 wins at this time, as well as their outstanding academic status.

A motion was made by Regent McCoy and seconded by Regent Downing to approve the minutes of the March 9, 2005, Board of Regents meeting. **Motion carried.**

<u>Presidential Comments</u>:

- On Saturday, May 7, the University will graduate about 1,000 students. On Saturday, May 14, NKU's Salmon P. Chase College of Law will graduate approximately 134 students.
- Over the course of the year, the University has made investments that protect our academic quality while expanding the ability to serve both our students and our region, including:
  - The new Bank of Kentucky Center, the new Student Union and a $6.5M operation increase.
  - Our Comprehensive Campaign exceeded our goal by $12M.

  - The PACE programs for working adults in the evening.
  - Celebration of undergraduate research and creativity.
  - The reorganization of Academic Affairs will better position us to serve our students and our region.
  - Thanks were given to Student Regent Hixson and his team for the new SGA constitution and for helping to secure funding for a variety of high priority projects.
  - New admission standards.
  - Another successful year of the YES festival.
  - Lake Project.
- The recommended University budget includes a doubling of our reserves in order to add protection against future financial problems–we are a very tuition dependent campus. The State has a $500M structural deficit.
- Renaming of the Old Science Building to Founders Hall - Back in the early years of the campus, the University was fortunate to have a cadre of faculty and staff who established the foundation on which the University was built with a strong commitment to students and to the integration of undergraduate education, scholarship, and public engagement. Their work reflects this integration. These men and women represent what might be described as NKU's "greatest generation."

A presentation was given by Professors Hazel Barton and Janet Bertog, along with students from the Departments of Biological Science and Geology pertaining to the study of Microbiology in the Environment–how in starved environments microorganisms feed off of and interact with rock. Professor Hazel Barton's studies during caving expeditions were featured in both the September 2003 issue of *Sports Illustrated* and the April 2005 issue of *Forbes* magazine.

A. **Joint Finance and Policy Committees**: (Reports were given by Regent Secretary Butler)
   1. **Division of Academic Affairs Organization Changes:** The Board heard a presentation resulting in the recommendation for organizational changes in Academic Affairs and the creation of a College of Informatics. The mission of the College of Informatics is to create a community of learners and scholars especially prepared to meet the fast-paced changes in the fields of computation, information, and communication and their application to other fields. Integrative in nature, the College will be addressing the application of the information and communication sciences to cutting-edge topics as diverse as health care informatics, bio-informatics, information security, manufacturing engineering technology, digital media, human - computer interaction and many more. The following academic departments will be located in the new College of Informatics: Communication, Computer Science and Information Systems.

      Additional changes in Academic Affairs include: 1) the Department of Mathematics and Computer Science becoming the Department of Mathematics in the College of Arts and Sciences. (The Computer Science program will move to the College of Informatics); 2) the Department of Social Work and Human Services, currently housed in the College of Professional Studies, will move to the College of Education, which will be renamed the College of Education and Human Services; 3) the existing Department of Nursing and Health Professions, currently housed in the College of Professional Studies, will become a School of Nursing and Health Professions; 4) the Department of Technology, currently a unit under the College of Professional Studies, will move to a number of new locations: Mechanical and Manufacturing Engineering Technology and Electronic Engineering Technology programs will move to the home of the pre-engineering program, the Department of Physics and Geology; the Construction Management, Organizational Leadership, Business Education and Master's in Technology programs will move to the Department of Technology in the College of Business; and the Computer Engineering

Technology program will become a part of the Department of Computer Science in the new College of Informatics. The Office Systems Technology Program will become a component of the Department of Information Systems in the new college; 5) the College of Professional Studies will be absorbed in other Colleges as described above; 6) the reporting responsibility for the Covington Campus will move the University Programs/Vice Provost area to the Associate Provost for Outreach and Graduate Studies. This movement will allow the area of Educational Outreach to coordinate all off-site campus programming under one office; 7) the area of Enrollment Management will move to the Division of Academic Affairs, insuring that matters related to student recruitment and retention are closely aligned with overall academic program planning; and 8) the current position of Director of Affirmative Action and Multicultural Affairs will be redefined as the Associate Provost for Student Success.

2. **Expanding the Office of Internal Audit:** Vice President Ramey reported that the office will include a Director of Internal Audit, a staff auditor and two to three student assistants. The Office is currently staffed with only a staff auditor and, at times, one student assistant. The funding for this expansion is included in the budget for FY 2005-06. Numerous factors warrant the expansion of the office including the increased size and complexity of the University, changes in the University environment, increased accountability requirements, and comparison of staffing size to benchmark and state universities internal audit offices. The expansion of staff will provide increased and timely audit services including independent and objective analyses, appraisals, recommendations, counsel and pertinent information regarding the adequacy of administrative controls; the accuracy and reliability of financial records; the institution's compliance with applicable laws, regulations, policies and procedures; potential risks to the University; and management efficiency and effectiveness of operations and activities throughout the institution.

3. **Tuition, Fees and Charges/NKU Budget Recommendation:** President Votruba reported that the State Revenues are exceeding projections for this year. Recognition was given for Northern Kentucky's importance to the State's economic future, and recognition of NKU's role in regional economic and social progress. The State confronts a $500M structural deficit at the start of FY 2007 with Medicaid and other health costs continuing to rise.

   Impact of General Assembly Budget Conference Committee on NKU's Operating Budget: NKU will receive a $6.5M increase in FY 2006 – an increase of 16.2% (the highest percent increase of any state university for FY 2006 (in comparison with the other Kentucky state universities general fund support, NKU remains at the bottom – $4,222 in state support per FTE – 72% of the $5,838 median): $993K for past enrollment growth; $1.96M restoration of recurring cuts; $758K proportional base increase; $1.88 Benchmark Equity; and $997K M&O of New Facilities.

   Impact of General Assembly Budget Conference Committee on NKU's Capital Budget: Authorized $54M in State Bonds, $6M restricted funds for the Bank of Kentucky Center, and $35.8M in restricted funds for New Student Union (all funded from tuition).

   NKU's FY 2006 Budgeted revenues are projected to increase by $15.3M from $133.8M to $149.1M: These increases in revenues will be utilized to address the approximately $14.4M for non-discretionary expenditure increases including: health insurance, utility, scholarship, compensation increase pool for faculty and staff, budgeted reserves, and for PRISM - the campus administrative system; and to

fund the strategic plan investments (academic quality, broaden access, strengthen public engagement, etc).

Tuition Increases by FY 2006: Undergraduate resident tuition will increase by $300 per semester – a 13.74% increase. Enrollment projections for FY 2006 are based on a projected FTE decline in Undergraduate enrollments of 150. NKU's resident undergraduate tuition and fees for FY 2005 of $4,968 is 79.66% of the median $5,483 of the 19 Benchmark Institutions.

A Mission Specific Indicator for the University was presented. The University's statement reflects the unique work that NKU performs with regard to stewardship of our region. CPE has requested that we define our mission parameters. The five areas: Program characteristics, Student Characteristics, Geographic Service Area, Research, and Stewardship of Place, address the breadth of the mission of NKU, and outline each area and draw on demographics, programs and services offered at NKU.

4. **NKU 2006-2012 Capital Plan:** Vice President Ramey gave a presentation on the capital planning process and updated the Board on projects included in the 2006-2012 Capital Plan. The Capital Plan is prepared on a biennial basis. It is submitted to the Capital Planning Advisory Board, a group with representation from the executive, legislative and judicial branches of state government.

   The Capital Plan includes all construction projects with a scope of $400K or more and equipment and information technology projects with a scope of $100K or more. The priorities outlined in the 2006-2012 Capital Plan will be carried forward to create the 2006-2008 Capital Budget Request. The University has significant space needs – 82 square feet per FTE student, while the other regionals, excluding Kentucky State, have 132 square feet per student. This amounts to 6 or 7 new academic buildings.

   The top academic priorities are: renovation of the Old Science Building at $15M; construction funding for the Center for Informatics, a $33.5M building for the new College of Informatics; the Health Innovations Center, a $29.2M building for Psychology and the School of Nursing and Health Professions. Other priorities include renovation of the University Center and various projects related to maintenance and upgrade of campus facilities.

   The Capital Plan can be amended and updated as necessary.

B. Presidential Reports:

1. **Facilities Management:** Vice President Ramey highlighted the following projects:
   - **Lake Project:** This project, which has a completion date by fall semester, will result in a more attractive, welcoming environment for students. Morel Construction is the contractor of Phase One of the project, the lake improvement work. The amphitheater will be designed and bid as Phase Two.
   - **Student Union:** Planning is well underway for this important facility which will contain about 144,000 gross square feet and will be located on the site of parking Lot A. The structure will include approximately 30,000 square feet of food service space; a grand ballroom to seat 600; numerous meeting rooms; a student involvement center; lounge space; a multi-purpose game room and entertainment area; and office space for Student Life, Student Union Operations, the Dean of Students, African American Student Affairs, International Student Affairs and Student Government. As currently envisioned, the building will connect to the University Center via a second floor bridge. The design team is currently

working to complete drawings and specifications for bidding. Construction will begin this fall with completion expected by late 2007.

- **Sports Complex:** The effort to develop a Master Site Study for the land area south of Johns Hill Road, where the Campus Master Plan indicates locating a new Sports Complex, is nearly complete. The priority outcome of the study is to serve as a tool to aid in securing funding for the construction of a new soccer field.

Other active projects included in the report: **Performance Contracting/Energy Audit; Digital Classroom/Planetarium Project; Greenhouse;** and **Campus Wayfinding Project Phase 1.**

2. Quarterly Financial Report: Vice President Ramey indicated that the University's finances remain strong for the period July 1, 2004 through March 31, 2005.

3. Fundraising Report: Vice President Read indicated that the report does not reflect a final determination of an estate gift, estimated at $500K from Rosemary Stauss, former NKU faculty, administrator and supporter. This gift will involve a naming opportunity for the University's Black Box Theatre and will provide an endowment for the Fine Arts YES festival. The report as submitted summarizes fundraising resources committed from July 1, 2004 through April 8, 2005 totaling $9,637,283 in support of the University (Resources pending - $20,788,250). The report includes: College of Arts and Sciences - $699,591 (pending resources $68,250); College of Professional Studies - $190,709 (pending resources - $20K); College of Business - $77,835 (Pending resources - $16,400,000); Chase College of Law - $527,859 (pending- $50K); College of Education - $31,426 (pending $600K); Steely Library - $13,641; Norse Athletics- $255,146; Campus Priority Fund (Unrestricted) - $106,197; Campus General Initiatives - $7,558,182 (pending $3,650,000); and WNKU - $176,697.

4. Research Grants and Contracts Bi-Monthly Award Report: Associate Provost Beere noted that the report is very encouraging as the amount reported is considerably ahead of where we were last year. The report summarizes the successful proposals with the award amount for NKU faculty and staff from March 1, 2005 through April 22, 2005.
   - Research, Grants and Contracts - fifteen proposals were awarded during this time period, totaling $3,575,440.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Pogue and seconded by Regent McClelland to approve the following Presidential Recommendations as listed below (C.1-10). **Motion carried.**

1. Academic Affairs Personnel Actions:

Administrative Appointments: **Melissa Gorbandt**, from Associate Director of Admissions, to Director of Admissions Outreach, effective March 1, 2005; **E. Miles Wilson**, Director of the Scripps Howard Center for Civic Engagement, effective June 1, 2005; and **Paul Wirtz**, Associate Professor/Associate Dean with Tenure, Office of the Dean, College of Education, effective July 1, 2005.

Faculty Appointments, effective August 15, 2005: **Thomas J. Bowers**, Assistant Professor, in Literature and Language; **Lane Clarke**, Assistant Professor, Elementary, Middle, and Secondary

Programs; **Douglas Feldmann,** Assistant Professor, Elementary, Middle, and Secondary Programs; **Kristi Martines**, Assistant Professor, Biological Sciences; **Bianca Prather-Jones**, Assistant Professor, Educational Specialties; **Robert Russ**, Assistant Professor, Accountancy; and **E. David Thompson**, Assistant Professor, Biological Sciences.

Resignations: **Ann Harding**, Research and Instructional Services Librarian, effective March 31, 2005; **David Schenk**, Professor, Mathematics and Computer Sciences, effective May 14, 2005; and **Robert Sprague**, Director of Student Financial Assistance, effective August 31, 2005.

Phased Resignations **-Phased Resignation Program Participants, effective August 15, 2005 - (participation for three academic years): Thomas J. Kearns**, Professor, Mathematics and Computer Science; **W. Michael Ryan**, Professor, History and Geography; and **Robert C. Vita**, Professor, History and Geography.

2. Faculty Continued Probation **(2005-06):**
College of Arts and Sciences: **Art:** Tom Davie; **Biological Sciences:** Rebecca Evans; **Chemistry:** Heather Bullen and Stefan Paula; **History and Geography:** Francois Le Roy; **Literature and Language:** Donelle Dreese; **Mathematics and Computer Science:** Train Marius Truta, Jeffrey Ward, and Michael Waters; **Physics and Geology**: Matthew Zacate; **Political Science and Criminal Justice:** Jennifer Pealer and Ryan Teten; **Psychology:** Philip Moberg; **Sociology, Anthropology, and Philosophy**: Judy Voelker and Delores Walters; **Theatre and Dance:** Daryl Harris and Mark Hardy.
College of Business: **Economics and Finance:** Linda Dynan and Young Kim. **Management and Marketing:** Vassilis Dalakas, Mary Conway Dato-on, Giles Hertz, and Steven Mueller.
College of Education: **Elementary, Middle, and Secondary Programs**: Christopher Cook, Shawn Faulkner, and Lenore Kinne.
College of Professional Studies: **Communication:** Gregory DeBlasio, Jennifer Woodside, and Stephen Yungbluth; **Nursing and Health Professions:** Denise Gormley, Dana Hickman, Lisa McQueen, and Kristine Pfendt; **Technology:** Seyed Allameh.
Salmon P. Chase College of Law: **Law Instruction:** Jennifer Kreder and Michael Mannheimer.

3. Emeritus Status: **David S. Payne**, Professor of History, History and Geography and **David C. Short**, Professor of Law, Salmon P. Chase College of Law.

4. Reassigned Time Benefits: Approved reassigned time benefits equivalent to 50% for an additional six-year Regents Professor term beginning July 1, 2005 for **Miriam Steinitz Kannan**, Regents Professor, Biological Sciences.

5. Non-Academic Personnel Actions: The following categories of non-academic personnel actions, which occurred between February 18, 2005 and April 14, 2005, were approved: Activations; Transfers; Reassignments, Reclassifications, Re-Engineering, and Title Changes; Promotions; and Departures.

6. Bad Debt Write-Off (fiscal year 2004-2005): The Vice President for Administration and Finance is authorized to write off the bad debts from the University's financial records as operating expenses. This is accomplished once a year before the end of the fiscal year. Fluctuations are seen from year to year.

Bursar Operations monitors the various categories to assure effective receivable management practices are in place. Bursar Operations collected approximately $65M in tuition, fees, room, board, parking and other related revenues for the fiscal year. The bad debt write-off represents 2.2 tenths of a percent (.0022) of the monies collected. The total for 2005 was at $147,794.92.

7. **Athletic Training Program**: The name of the Athletic Training Program within the Department of Intercollegiate Athletics was changed to Sports Medicine. The field of athletic training and its role within an intercollegiate athletics program has grown nationally and at NKU. Due to the continued integration of the athletic training profession into the overall medical and healthcare field, as well as advances in the educational process of athletic training, the title of athletic training no longer adequately described the services offered.

8. **Honorary Degree Candidate conferred at the May 7, 2005 Commencement**: Approval for Helen Thomas, to receive an Honorary Doctor of Humane Letters degree. The degree will be conferred at the May 7, 2005, commencement ceremonies at US Bank Arena in Cincinnati, Ohio. Ms. Thomas is a Hearst Newspapers columnist, who served for 57 years as a White House correspondent and was commonly referred to as "the First Lady of the Press."

9. **Honorary Degree Candidate conferred at the May 14, 2005 Commencement**: Approval for The Honorable S. Spiegel, Senior United States District Court Judge for the Southern District of Ohio, to receive an Honorary Juris Doctorate degree to be conferred at the May 14, 2005, Salmon P. Chase College of Law commencement ceremony at Regents Hall, Highland Heights, Kentucky. Judge Spiegel has served twenty-five years on the Federal Bench for the Southern District of Ohio and has been an ardent supporter of Northern Kentucky University. He was the first federal judge in the Southern District of Ohio, and one of the first outside of Kentucky, to hire graduates from Northern Kentucky's Salmon P. Chase College of Law.

10. **Naming Rights for University Facilities**: Approval for the following:
    - New Science Center to Dorothy Westerman Herrmann Science Center
    - New Regional Events Center to The Bank of Kentucky Center
    - Old Science Center to Founders Hall
    - New Science Center, Digital Classroom to US Bank Ralph Haile Foundation Digital Theater
    - Lake Area Amphitheater to Eva and Oakley Farris Amphitheater
    - Tennis Facilities to Joyce Yaeger Tennis Center

    The naming committee had previously reviewed and approved a listing of available naming opportunities on campus with recommended gift levels for inclusion in the "Shaping Dreams" Campaign Plan. All of the naming opportunities listed, with the exception of the old science center, are recommended in consideration of private contributions made by donors.

Regent McClelland recommended that all naming opportunities include NKU in the title of the building/room/facility. The President indicated that this would be looked into for consideration.

11. **Division of Academic Affairs Organization Changes**: Organizational changes include the creation of a new college, College of Informatics (Computation/Information/ Communication), effective with the new fiscal year on July 1, 2005. The mission for the College of Informatics (CoI) at NKU is to create a

community of learners and scholars especially prepared to meet the fast-paced integrative changes in the fields of computing, information, and communication. Through its curriculum, research and outreach programs, CoI represents NKU's creative, analytic, and technical response to an economy driven by innovation and convergence. CoI will play a proactive leadership role in the transition to a new economy in the region.

Additional changes in Academic Affairs include: **1)** Mathematics and Computer Science changed to the Department of Mathematics in the College of Arts and Sciences. The Computer Science program will move to the College of Informatics. **2)** Department of Social Work and Human Services will be moved to the College of Education and Human Services. **3)** The existing Department of Nursing and Health Professions, currently housed in the College of Professional Studies, will become a School of Nursing and Health Professions and will report to the Vice President for Academic Affairs. **4)** The Department of Technology, currently a unit under the College of Professional Studies will move to a number of new locations. The Mechanical and Manufacturing Engineering Technology and Electronic Engineering Technology will move to the Department of Physics and Geology, in the College of Arts and Sciences. The Construction Management, Organizational Leadership, Business Education and Master's in Technology Programs will move to the Department of Technology in the College of Business. The Computer Engineering Technology Program will become a part of the Department of Computer Science in the new College of Informatics (CoI). The Office Systems Technology Program will become a component of the Department of Information Systems in the new CoI. **5)** The reporting responsibility for the Covington Campus will move from the University Programs/Vice Provost area to the Associate Provost for Outreach and Graduate Studies. This movement will allow the area of Educational Outreach to coordinate all off-site campus programming under one office. **6)** The area of Enrollment Management will move to the Division of Academic Affairs, insuring that matters related to student recruitment and retention are closely aligned with overall academic program planning. **7)** The current position Director of Affirmative Action and Multicultural Affairs, will be redefined as the Associate Provost for Student Success and report to the Vice President of Academic Affairs and Provost.

A motion was made by Regent Downing and seconded by Regent Butler to approve the Division of Academic Affairs Organization changes as recommended. **Motion carried.**

12. **Contributions Received by the NKU Foundation:** A motion was made by Regent Pogue and seconded by Regent McCoy to accept contributions totaling $540K received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period February 25, 2005 through April 8, 2005. **Motion carried.**
    - US Bancorp, designated to University Lake Vista Project - $20K.
    - Frank A. Fletcher, designated to Chase College of Law Scholarships - $10K.
    - National City Bank Corp., designed to Norse Athletics Men's Soccer Program - $10K.
    - Rosemary Stauss, designated to the Rosemary Stauss Blackbox Theater & YES Festival Endowment - $500K.

13. **Tuition, Fees and Charges for Academic Year 2005-06**: A motion was made by Regent Hixson and seconded by Regent Griffin to approve the comprehensive schedule of tuition, fees, and charges for academic year 2005-06 at the rates proposed in the Authorized Schedule of Tuition Rates and Authorized Schedule of Fees and Service Charges. **Motion carried.**

The recommendations take into consideration historical under-funding, recent state budget reductions, non-discretionary funding commitments, and the need to invest in strategic priorities essential to the University's future. The proposed tuition rate increases for all classifications take into consideration the competitiveness of NKU's tuition strategy when compared to benchmark, local, and state universities and the $6.5M increase in state appropriation. The proposed strategy allows for NKU's continuing competitiveness in terms of price and quality of education. The tuition recommendation for FY 2005-06 provides for support in three areas of investment: Academic Quality and Capacity; New Student Union construction; and operating. The total tuition increase for resident undergraduate students will be $300 per semester, or 13.74%.

14. **Resolution establishing and approving the Fiscal Year 2005-06 Annual Budget for NKU (July 1, 2005 - June 30, 2006):** A motion was made by Regent Downing and seconded by Regent Butler to approve the resolution establishing and approving the Fiscal Year 2005-06 Unrestricted Current Fund Annual Operating Budget for Northern Kentucky University at $149,100,000. **Motion carried.**

15. **NKU 2006-2012 Capital Plan:** A motion was made by Regent Pogue and seconded by Regent Griffin to adopt the 2006-2012 Capital Plan. **Motion carried.**

    The biennial budget process is divided into two distinct parts, (1) the biennial capital budget; and, (2) the biennial operating budget. The capital budget is preceded by the Six-Year Capital Planning process. The Capital Plan consists of a status report on projects approved for the current biennium (2004-06), a listing of projects to be requested for the 2006-08 Capital Budget Request and those projects anticipated for inclusion in the 2008-2010 and the 2010-2012 Capital Budget Requests.

    The top academic priority is renovation of the Old Science Building, followed by construction funding for the Center for Informatics, a building for the new College of Computing, Information and Communication, and the Health Innovations Center, a new building for Psychology and the Department of Nursing and Health Professions. Other priorities include renovation of the University Center and various projects related to maintenance and upgrade of campus facilities.

D. Regent Recommendations:

1. **Resignation of Regent Anna Dale Pyles as Vice Chair:** A motion was made by Regent Beck and seconded by Regent McCoy to accept Regent Pyles' resignation as Vice Chair for the 2004-05 academic year. **Motion carried.**

2. **Election of Board of Regents Executive Committee:** A motion was made by Regent Downing and seconded by Regent Pogue to nominate the following Regents to serve as the Board of Regents Executive Committee for 2005-06: Chuck Brown (Chair), Martin Butler (Vice Chair), and Joyce Griffin (Secretary). **Motion carried.**

At 2:35 p.m. a motion was made by Regent Downing and seconded by Regent Beck to call an Executive Session to discuss personal and property matters. Executive Director of NKU Foundation Administration and President Votruba were asked to remain. **Motion carried.**

At 3:05 p.m. a motion was made by Regent Butler and seconded by Regent McCoy to call the meeting back into open session. No action was taken. **Motion carried.**

At 3:06 p.m. a motion was made by Regent Downing and seconded by Regent McClelland to adjourn. **Motion carried.**

Lilla F. Losey
Senior Administrative Assistant

Kimberly A. Huse
Executive Assistant to the President and
Secretary to the Board of Regents

I, Martin C. Butler, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on May 4, 2005, and that such matters are still in force and effect.

Martin C. Butler
Secretary of the Board of Regents

6:00 p.m. July 12, 2005 - Regent Dinner at President's Home (Lakeside Park, KY)

8:30 a.m. July 13, 2005 – Joint Policy and Finance Mtg. (University Center Ballroom)
11:45 a.m. July 13, 2005 – Board Luncheon (President's Dining Room - UC 105)
1:00 p.m. July 13, 2005– Board of Regents Mtg. (University Center Ballroom)
(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

AGENDA
Northern Kentucky University
Board of Regents Meeting
Wednesday, July 13, 2005 - 1:00 p.m.

- Call to Order and Invocation
- Oath of Office for new Regents
- Roll Call and Welcome
- Approval of May 4, 2005, Board Minutes
- Presidential Comments

A. Joint Finance and Policy Committee:

1. NCAA - Division I Athletic Master Plan (Mark Shanley/Jane Meier)

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Fundraising Report (Read)
3. Research Grants and Contracts (Beere)

C. Presidential Recommendations:

*1. Academic Affairs Personnel Actions
*2. Temporary Appointments
*3 Faculty Handbook Amendments
*4. New Program - Bachelor of Health Science
*5. New Program - Bachelor of Science in Entrepreneurship
*6. Two New Master-Level Certificate Programs - Industrial Psychology and Organizational Psychology
*7. New Post-Baccalaureate Certificate Program - Advanced Taxation
*8. New Post-Baccalaureate Certificate Program - Entrepreneurship
*9. New Certificate Program - Adult Psychiatric Nurse Practitioner
*10 Graduate Council Standing Committee (AR-III-2.0-3)
*11. Non-Academic Personnel Actions
*12. Tuition and Fees Refund Appeals (Administrative Regulation New Standing Committee)
*13. Personnel Policy and Procedure Manual - Policy B7 - Creation of Retiree definition
*14. Personnel Policy and Procedure Manual - Policy F4 - Revision of Terminal Vacation/Annual Leave
*15. Personnel Policy and Procedure Manual - Policy F6 - Revision of Military Leave - Extended Duty
*16. NKU Organizational Structure changes
*17. NKU Website Accessibility Policy
18. Self-Insured Workers' Compensation Program
19. Ethical Principles and Code of Conduct
20. Acceptance of contributions received by the NKU Foundation during the period of April 9, through June 24, 2005

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, July 12, 2005).**

D. Executive Session: Discussion of Property Issues

E. Other: (University schedule of activities) - Please call if you have questions about any of the following events.

University schedule of activities listed on other side.

1. **Regular Board of Regents Meeting Schedule**

2005:	**2006:**	January 11	July 12
September 14		March 8	September 6
November 9		May 3	November 8

2. **Council on Postsecondary Education Meeting Schedule for 2005:**

July 18
September 18-19 (Governor's Conference - Louisville)
November 7

3. **Upcoming Activities for Regent attendance for 2005:**

- August 17, 4:00 p.m. - Faculty/Staff Picnic (Plaza area outside Founder's Hall-formerly Old Science Bldg.)
- August 17, 5:00 p.m. - Founder's Hall Dedication (Plaza area outside Founder's Hall)
- August 19, 9:30 a.m. - Fall Convocation (Greaves Concert Hall)
- August 25, 8:00 a.m - 5:00 p.m. - Board of Regents Retreat (Metropolitan Club)
- October 18, 12 noon - Staff Appreciation Day (Regents Hall)
- November 1, 7:00 p.m. - Alumni Lecture Series–John Edwards and Steve Forbes
- December 17, 10:00 a.m. - Fall Commencement Ceremonies (US Bank Arena)
- May 6, 2006, 10:00 a.m. - Spring Commencement Ceremonies (US Bank Arena)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
July 13, 2005

The regular meeting of the Board of Regents was called to order at 1:12 p.m. on Wednesday, July 13, 2005, by Chair Brown.

Invocation was read by Regent Beck.

The Oath of Office was administered by Chair Brown to new Student Regent Jennifer C. Perry.

Roll Call: Beverly Beck, Chuck Brown, Martin Butler, Frank Downing, Joyce Griffin, Denise McClelland, Carrie McCoy, Jennifer Perry, and Robert Zapp (Excused: Anna Dale Pyles).

Others Present: James Votruba, Deborah Read, Kimberly Luse, Sara Sidebottom, Mark Shanley, Ken Ramey, Gail Wells, Carole Beere, Zane Harris, Bill Farro, Mimi Assanuvat, Lilla Losey, Dione Smith, Ernie Coleman-*Kentucky Enquirer*, Rick Meyers, Patti Catton, Ben Singleton, Linda Parks, Linda Reynolds, Jay Hanselman, Mary Ann Zeleznik, Sarah Loman, Margaret Anderson, Melissa Hayden-*Recorder* Newspapers, William Oliver, Jane Meier, Steve Nienaber, Mike Baker, Joe Webb, Michael Benning, Randy Erwin, Chris Cole, Gary Graff, Mary Lepper, Russ Kerdolff, Kathy Stewart, Chuck Hawkins, Gary Pratt, Lori McMillen, Steve Meier, Jim Callahan, James Weber-*Recorder Newspapers*, Mary Ryan, Angie Schaffer, Larry Blake, Gerry St. Amand, Mike Tobergta, Rick VanSant-*Kentucky Post*, Kevin Corcoran, Pat Moynahan, Sue Moore, Paul Myers, Joe Wind, Bridgette Pregliasco, Harold Todd, Mary Paula Schuh, Michael Jennings-*The Sunday Challenger*, and Paul Wirtz.

A motion was made by Regent Downing and seconded by Regent McClelland to approve the minutes of the May 4, 2005, Board of Regents meeting. **Motion carried**.

Presidential Comments:

- The discussion at the morning's joint Policy and Finance Committee meeting centered around the possibility of reclassifying NKU's Intercollegiate Athletic program from NCAA Division II to Division I. The backdrop for this discussion was a recognition that any such reclassification needs to be considered within the context of NKU's overall direction and priorities for advancing the University on behalf of its students and community. The consultants were clear that the University's athletics program has achieved a remarkable success relative to a modest athletics investment. While the consultants noted that there was substantial university and community support for a reclassification, and that NKU was capable of making the transition to Division I, a reclassification would require a substantial additional investment in staff, facilities and operations to position NKU for competitive success in Division I.

  The President concluded that the University is not prepared to recommend reclassification at this time as the considerable cost of a reclassification in the context of limited resources would inhibit the ability to invest in other parts of the University's core mission important to both the campus and region. However, the administration will develop a multi-year funding plan for Board consideration that will address critical

infrastructure and facility improvements to position the Athletics Program at the top of Division II and reduce the resource gap should the University later decide to reclassify to Division I. All of those who participated in the Athletic Master Plan study were recognized, particularly Vice President Shanley, Intercollegiate Athletics Director Jane Meier, the Reclassification Steering Committee and PriceWaterhouseCoopers and Carr Sports Associates. The University was commended by Carr Sports Associates on its in-depth comprehensive efforts on this study.

- The President is co-chair of the Northern Kentucky strategic planning/visioning process-VISION 2015. This year-long process is well at the halfway point. There are five action teams with focus on: economic competitiveness, educational excellence, urban renaissance, effective governance, and livable communities. In every case these action teams, which include NKU faculty, are reinforcing the importance of the University's involvement in all of those domains.
- Vice President Deborah Read was recognized for her extraordinary leadership in University Advancement for over the last 5 ½ years. During her leadership NKU's "Shaping Dreams Campaign" was finished well ahead of schedule and at 25% above target at $52M. Beginning September 1, she will begin her new role as Vice President for Development at the University of Albany, State University of New York.
- Mary Ann Zeleznik and Jay Hanselman from the University's Radio Station, WNKU, were recognized for their contributions. The station is celebrating its 20th anniversary and has become an important part of the community as the voice of the University for many in the tri-state area. They will both be going to WVXU, a full time news station.
- Kevin Corcoran, Chair in the Department of Psychology at the University of Cincinnati, will be with NKU as an ACE Fellow for this academic year. The American Council on Education (ACE) has a national competition each year for their ACE Fellowship program which provides each participant with a deeper knowledge of administration and university leadership. Approximately 40-45 fellows are selected for a year of full-time study at the university of their choosing.

Dr. Sue Hodges Moore, Executive Vice President for the Council on Postsecondary Education, was welcomed to the meeting by the President and was asked to address the Board on her current work at the CPE and plans for her new role as NKU's Vice President for Planning, Policy, and Budget, beginning this summer. Dr. Moore indicated that postsecondary education has been her life's work for the past 32 years. The main three reasons for taking the new position at NKU: the University's stewardship in community and the public agenda at the state level; respect for the President and other administrators; and "coming home"–family in the area where she grew up.

A. **Joint Finance and Policy Committees**: (Reports were given by Regent Secretary Griffin)

1. **NCAA - Division I Athletic Master Plan:** The meeting focused on reports addressing the possibility of reclassifying NKU's Intercollegiate Athletics program from NCAA Division II to Division I. The possibility of a reclassification has been addressed on several previous occasions and re-emerged as a result of the funding of the $60M Bank of Kentucky Center and the increasing visibility and stature of

NKU. The committee heard reports from the two consulting groups who conducted market assessment and feasibility studies on NKU's readiness for a Division I reclassification (PriceWaterhouseCoopers and Carr Sports Associates), in addition to a summary report from Vice President Shanley who chaired the NCAA Reclassification Steering Committee which guided the assessment of the Athletics program.

Dr. Shanley recognized the special eight month assessment by the members of the Reclassification Steering Committee. The three reports indicated that there was substantial university and community support for a reclassification, and that NKU was capable of making the transition. However, it was recommended that the University should not implement this decision until key readiness issues are met (personnel, funding, facilities, and conference affiliation), and that NKU should continue to make investments in strengthening the intercollegiate athletics infrastructure commensurate with the highest level of NCAA Division II.

President Votruba concluded with his overall assessment of the reclassification studies, and his recommendation that NKU not consider a reclassification to NCAA Division I at the present time. The Board concurs with this assessment. If further information is needed, more detail can be found in the University Library on the findings.

B. Presidential Reports:

1. **Facilities Management:** Vice President Ramey highlighted the following projects:
   - **Lake Development:** This project is about 1-2 months behind. Completion is anticipated by early fall. This project will result in a more attractive, welcoming environment for the students.
   - **New Student Union:** The final documents are expected for review by early next week. Bidding should begin in August. Construction will begin this fall. The University has been given authority for one half of the agency bonding for the current fiscal year and is still working closely with the Finance Cabinet as well as the State Budget Office as to how to proceed on the remaining agency bonding authority for the next fiscal year.
   - **Digital Classroom/Planetarium Project and Greenhouse:** These projects will be bid concurrently with construction beginning in early fall.
   - **The Bank of Kentucky Center (not included in the report):** There have been numerous discussions with the Finance Cabinet on the construction method and the state has for the first time agreed to go with a construction manager at-risk process. The state historically has been design/bid/build as it relates to their projects. An RFP has been issued for a company to work with the University to not only be a preconstruction consultant but to also manage the facility when it opens. It is hoped to move into the facility by fall 2007.

   Other active projects included in the report: **Performance Contracting/Energy Audit; Sports Complex; Campus Wayfinding Project Phase 1.**

2. **Fundraising Report:** Vice President Read indicated that this was a very good fiscal year which will end at over $10M. The University met its goal not only for the fiscal year but for the Campaign as mentioned

earlier by the President. She also expressed her pleasure to serve the University in this capacity over the last 5 ½ years and to get to know the current and former members of the Board of Regents and the Foundation Board, along with the University Administration and Development team. She recognized all as wonderful representatives and leaders for the University in moving the University's goals forward.

The report summarizes fundraising resources committed from July 1, 2004 through June 24, 2005 totaling $10,229,331 in support of the University. The report includes: College of Arts and Sciences-$854,176; College of Professional Studies-$203,339; College of Business-$109,110; Chase College of Law-$541,049; College of Education-$42,747; Steely Library-$21,724; Norse Athletics-$300,577; Campus Priority Fund (Unrestricted)-$155,615; Campus General Initiatives-$7,719,872; and WNKU-$281,122.

3. **Research Grants and Contracts Bi-Monthly Award Report:** Associate Provost Beere noted that the total for Grants and Contracts was at $7.5M last year as compared to $10M for this year. This does not include money from the METS Center and the Center for Applied Ecology–the complete report will be provided to the Board at their next meeting. The current report summarizes the successful proposals with the amount of award for each proposal for NKU faculty and staff from May 1, 2005 through June 30, 2005. Eighteen proposals were awarded for Research, Grants, and Contracts during this time period, totaling $1,399,037. The Center for Applied Ecology had three proposals awarded during this time period, totaling $5,250.

   President Votruba indicated that earmarked grants are very important for the University but the competitive grant program reflects the strength of the faculty in the research domain.

C. **Presidential Recommendations:**
Consent Agenda Items: A motion was made by Regent Griffin and seconded by Regent Beck to approve the following Presidential Recommendations as listed below (C.1- 17). **Motion carried.**

1. **Academic Affairs Personnel Actions:**
Administrative Appointments: Thomas Burke, Director of the Small Business Development Center, effective May 1, 2005; **Gary Clayton**, from Professor of Economics, to Chair and Professor Economics, in the Department of Economics and Finance, effective July 1, 2005; **Gregory Farfsing**, MBA Director/Lecturer (non-tenure track renewable) in the Department of Economics and Finance, effective July 1, 2005; **J. Ronald Gardella**, Interim Associate Dean for Undergraduate Programs, College of Education and Human Services, effective July 1, 2005 through June 30, 2006; **Perilou Goddard**, from Professor in the Department of Psychology, to Chair and Professor in the Department of Psychology, effective July 1, 2005; **Debra Pearce**, from Professor in the Department of Biological Sciences, to Chair and Professor in the Department of Biological Sciences, effective July 1, 2005; **Robert Salyer**, MAcc Director/Instructor (non-tenure track renewable), effective July 1, 2005; **Mark Wasicsko**, Bank of Kentucky Endowed Chair and Professor with Tenure, Office of the Dean, College of Education and Human Services, effective August 15, 2005; and **Vance Wolverton**, Chair and Professor with Tenure in the Department of Music, effective July 1, 2005.
Faculty Appointments, effective August 15, 2005: Eric Paul De Forest, Assistant Professor, Music and **Michael Scola**, Lecturer (non-tenure track renewable), Biological Sciences.

Leaves Paid/Unpaid: George Goedel, Professor in the Department of Psychology, paid administrative leave with benefits, for the 2005-06 academic year; **Nancy Lang**, Associate Professor of Economics, paid administrative leave with benefits, for the Spring Semester 2006; **Gisele Loriot-Raymer**, Associate Professor in the Department of Literature and Language, half-time unpaid leave without benefits, for the 2005-06 academic year; and **Jerry Warner**, Professor in the Department of Biological Sciences, paid administrative leave with benefits, for the 2005-06 academic year.

Retirements: Leon E. Boothe, President Emeritus and Professor of History, effective July 2006; **Dennis Lye**, Associate Professor in the Department of Biological Sciences, effective July 31, 2005; and **Geraldine Williams**, Associate Professor and Systems Librarian, effective July 30, 2005.

Resignations: Jasbir Dhaliwal, Chair and Professor in the Department of Information Systems, effective August 31, 2005.

Phased Resignations: Randy Holt, Professor in the Department of Technology, Phased Resignation Program Participant, effective August 15, 2005 (participation for three academic years) at 50% each year for three years.

2. **Temporary Appointments for 2005-06:** Vincent Owhoso, Department of Accountancy.

3. **Amendments to the *Faculty Policies and Procedures Handbook*:**
On April 25, 2005, the Faculty Senate passed two amendments to the handbook: 1) That Academic Advising be moved to the category of Teaching Effectiveness (Part One, Section IV.B.1) from the category of Institutional and Public Service and 2) Eliminate rule that a non-tenure faculty member receive a terminal contract following three years of conditions.

   On May 6, 2005, the Faculty Senate passed one amendment to the handbook: 1) Removal of the Retirement Incentive Program (Part One Section XI.D, pages 45-53).

4. **New Program, Bachelor of Health Science, in the School of Nursing and Health Professions (beginning fall 2005 semester):** This program was developed to provide an opportunity for new students and credentialed radiologic technologists and respiratory therapists to complete a baccalaureate degree program. The program allows students to take a common core of courses in their freshman year with the opportunity to select either the radiologic science or respiratory care track for their final three years.

5. **New Program, Bachelor of Science in Entrepreneurship, in the College of Business (beginning fall 2005 semester):** This program was developed as a multidisciplinary program designed to give students an opportunity to develop their own creative skills while applying principles of management, marketing, and finance to the challenges of starting a new business, growing a business, or managing a family business. The program prepares its graduates for the challenges of a global economy in which successful completing is based on speed to market, innovation, and entrepreneurial drive. Course topics

include idea generation, opportunity recognition, business plan development, venture financing, early stage strategies, corporate venturing, and management of innovation.

6 **Two New Master-Level Certificate Programs, Industrial Psychology and Organizational Psychology, in the College of Arts and Sciences (beginning fall 2005 semester):** The Department of Psychology developed the proposed certificate programs to provide a more specialized alternative to the Masters of Industrial/Organizational Psychology degree program. The certificate programs each require 12 semester hours to complete and will be attractive to non-traditional students in highly mobile careers who may not traditionally pursue graduate education.

7. **New Post-Baccalaureate Certificate Program, Advanced Taxation, in the College of Business (beginning fall 2005 semester):** The Department of Accountancy developed the program to offer professionals the opportunity to enhance their skills in their profession. The certificate program requires 12 semester hours to complete and will be marketed to area tax professionals and accounting firms.

8. **New Post-Baccalaureate Certificate Program, Entrepreneurship, in the College of Business (beginning fall 2005 semester):** The Department of Management and Marketing developed the certificate program to offer professionals the opportunity to enhance their skills or prepare for new ventures. The certificate program requires 15 semester hours to complete and will be offered over the internet.

9. **New Certificate Program, Adult Psychiatric Nurse Practitioner, in the School of Nursing and Health Professions (beginning fall 2005 semester):** The School of Nursing and Health Professions developed the program to prepare nurse practitioners who have a specialty in another area (family, adult, etc.) as adult psychiatric nurse practitioners. Students will get didactic and clinical experience in psychiatric mental health nursing and be able to sit for the adult psychiatric mental health nurse practitioner certificate examination offered by the American Nurses Credentialing Corporation (ANCC). The certificate program requires 18 semester hours to complete and will be offered over the internet.

10. **Graduate Council Standing Committee (AR-III-2.0-3)**: Changes have gradually been made in the functioning, responsibilities, and composition of the Graduate Council. These changes are reflected in the revised Administrative Regulations which incorporate language that the Graduate Council has adopted as part of its Bylaws.

11. **Non-Academic Personnel Actions:** The following categories of non-academic personnel actions, which occurred between April 15, 2005 and June 23, 2005, were approved: Activations; Transfers; Reassignments, Reclassifications, Re-Engineering, and Title Changes; Promotions; Departures; and Retirees.

12. **Tuition and Fees Refund Appeals Committee(Administrative Regulation New Standing Committee)**: The committee will review and make recommendations on appeals not approved by the Director of Bursar Operations.

Since 1989 any appeals concerning tuition and fees have been reviewed solely by the Director of Bursar Operations. Prior to 1989 this responsibility was held in the Office of the Registrar. As enrollment and the cost of tuition has grown over the years, so has the number of appeals needing review. The Director of Bursar Operations will continue to review all appeals. Appeals that meet the criteria set forth in the Tuition Refund Exception Policy (exp. properly documented medical appeals) will be approved by the Director of Bursar Operations. Appeals that require additional review will be forwarded to the Tuition and Fees Refund Appeals Committee. This Committee membership will include a broad representation of the campus community including faculty and student representatives. The Committee will provide an avenue for appeals to be reviewed in a broader perspective than the current process. This process should also reduce the amount of individual appeals that are presented to executive management.

13. ***Personnel Policy and Procedure Manual*** **- Policy B7 - Creation of Retiree definition:** The University has never formally defined the requirements necessary to be officially considered a retiree of the University. The formal definition is required to be consistent in granting the rights and privileges associated with the designation. Employees are encouraged to contact the Benefits Office/Human Resources at least three months prior to the expected retirement date to learn about the benefits and procedures necessary for a successful transition into retirement.

14. ***Personnel Policy and Procedure Manual*** **- Policy F4 - Revision of Terminal Vacation/Annual Leave:** Addition to Policy F4, Terminal Vacation/Annual Leave to give retiring employees the option to transfer vacation credit to medical leave time that can be counted towards time of service when calculating the State's pension benefits.

15. ***Personnel Policy and Procedure Manual*** **- Policy F6 - Revision of Military Leave-Extended Duty:** Information will be included in this policy referring to status of vacation and leave accruals during a military leave of absence. This amends the policy to be in compliance with Uniformed Services Employment and Re-employment Rights Act (USERRA).

16. **NKU Organization Structure changes:** The structure reflects all NKU Administrative changes from March 9, 2005, to July 13, 2005. The reporting lines listed are for Director level and above, but include: individuals who directly report to the President; Department Chairs under Academic Affairs; and Coaching areas under Intercollegiate Athletics.

17. **NKU Website Accessibility Policy and Guidelines:** The Web Accessibility Policy addresses standards established by Section 508, Subsection 1194.22 of the Rehabilitation Act. Section 508 provides achievable, well-documented guidelines for implementation. The policy also addresses the *World Wide Web Consortium*'s (*W3C*) Prioritized Standards for web accessibility. The Council on Postsecondary Education (CPE) has requested that each public college and university adopt a policy that addresses how they will adhere to these standards.

18. **Self-Insured Workers' Compensation Program:** Vice President Ramey commented that this was a request to withdraw from the state Workers' Compensation Program and move to a more self-insured

program managed by the University of Kentucky. After four different options provided, the recommendation is to go with a self-insured approach with aggregate, meaning that the University's exposure on any one specific incident would be $250K with a maximum aggregate exposure of $850K over the year. Over the past five year history of the University's Workman's Compensation, the University spent approximately $74K in claims--NKU is on the low end of utilizing this program. The premium for this current fiscal year with the state would increase the cost of insurance at $440K a year just for the University's Workman's Compensation. This will save the University $160-170K the first year which will be put into a reserve should a catastrophic event occur. Particularly, Lori Southwood, Director of Human Resources, and her staff were acknowledged and commended for their work on this process. A motion was made by Regent Downing and seconded by Regent Butler that the resolution be adopted to withdraw from the state Workers' Compensation Program and adopt a self-insured approach. The Northern Kentucky University Managed Care Program will be administered through the University of Kentucky. **Motion carried.**

19. **Ethical Principles and Code of Conduct:** Vice President Sidebottom commented that this was one single document that pulls together all other statements regarding ethical principles and code of conduct in the various faculty, staff, and student handbooks, including the Regent Bylaws and sets the philosophy for the University. All of the provisions will remain in the various university handbooks and manuals. This is a campus-wide statement coming at the request of the Regents. The content in the revised recommendation mirrors most other state universities.

    Chair Brown had a question on Page 6, Acceptance of Gifts or Benefits, bullet #3--Acts of professionally related hospitality above $2,000..... Vice President Sidebottom indicated that the $2,000 amount was a typo and would be corrected to indicate "$200." A motion was made by Regent Zapp and seconded by Regent McCoy to approve the revision to NKU's Administrative Regulation, AR-I-1.0-1 (Statement of Administrative Ethics). This expanded "Ethical Principles and Code of Conduct" is intended for University-wide application and will supersede "Statement of Administrative Ethics" - AR-I-1.0-1, dated 7/29/81. **Motion carried.**

20. **Contributions received by the NKU Foundation:** A motion was made by Regent McCoy and seconded by Regent Beck to accept contributions totaling $320,287.52 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period April 9, 2005 through June 24, 2005. **Motion carried.**

    Constituent and Fund Description:

    - National City Bank, designated to National City Music - $101,250.00
    - The Procter & Gamble Fund, designated to Career Dev., Chemistry, Technology Dept., College of Business, Biology, Unrestricted, Student Life, Chase, Testing/Disability, African American Student Affairs, Information Services, Finance & Accountancy - $45K
    - John J. and Mary R. Schiff Foundation, designated to Campus Priority Fund - $42,982.00
    - Lexus River Center, designated to Gift-In-Kind - 34,037.52
    - John J. and Mary R. Schiff Foundation, designated to University Lake Vista Project - $32,018.00
    - Lois and Richard Rosenthal Foundation, designated to Theatre Outreach - $25K

- Alice S. Sparks, designated to COE Graduate Scholarships - $10K
- Citigroup Foundation, designated to COB-Financially Fit for Life Program - $10K
- Lois and Richard Rosenthal Foundation, designated to Uptown Arts Program - $10K
- Ralph J. Lemley, designated to Norse Athletics Men's Basketball Fund - $10K

D. **Executive Session:** At 2:00 p.m. a motion was made by Regent Downing and seconded by Regent McClelland to call an Executive Session to discuss property matters. Vice President Sara Sidebottom, Vice President for Legal Affairs and General Counsel, was asked to remain. **Motion carried.**

At 2:18 p.m. a motion was made by Regent Zapp and seconded by Regent Perry to call the meeting back into regular session. No action was taken. **Motion carried.**

President Votruba asked that all material related to property matters be returned to Kimberly Luse, Executive Assistant to the President and Secretary to the Board of Regents.

At 2:20 p.m. a motion was made by Regent Griffin and seconded by Regent Zapp to adjourn. **Motion carried.**

Lilla F. Losey
Senior Administrative Assistant

Kimberly A. Luse
Executive Assistant to the President and
Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on July 13, 2005, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary of the Board of Regents

Northern Kentucky University
Board of Regents Retreat
Metropolitan Club, Covington, Kentucky
August 30, 2005

In attendance: James Votruba, Kim Luse, Chuck Brown, Nancy Kremer, Frank Downing, Carrie McCoy, Jennifer Perry, Denise McClelland, Bev Beck, Marty Butler, Joyce Griffin, Bob Zapp.

The meeting began at 8:45 a.m. at the Metropolitan Club in Covington, KY. Dr. Votruba welcomed the group, and introduced George Mehaffy, from AASCU.

George Mehaffy led the group in a presentation entitled: "The Engaged University of the 21st Century." The changing paradigms of higher education were the focus, with the overarching themes: Teaching to Learning, Scholarship to Innovation, and Service to Engagement. He recommended the book, The World is Flat, by Tom Freedman. He summarized one of the problems apparent on the campuses in America today with the following quote from Andrei Toom: "The Less I Teach, the Less Trouble I Have."

There was a break at 10:00 a.m. At 10:15, the group reconvened for a question and answer session with George Mehaffy.

Discussion regarding the need for change in alignment of institutional reward systems was discussed. The language in the Faculty Policies and Procedures Handbook was viewed as key, so the faculty understand how they will be evaluated. What is expected after tenure should also be delineated in the Faculty Policies and Procedures Handbook. Incentivizing the system so that engagement is rewarded should be the goal. Recognizing the fact that engagement requires participants to incur expenses related to parking, travel, gas, and meals was also noted.

George Mehaffy offered that in some institutions, a way to incentivize faculty to participate in regional engagement was to award 20% for grant writing that resulted in research in their field, vs. 30% for grant writing that benefited the region.

The Regional Stewardship Trust Fund was discussed. Chuck Brown recommended that the BOR take a formal position in support of the trust fund. A resolution was recommended that would be delivered at the September board meeting.

The question regarding what percentage of students participate in service learning at NKU was raised. Institutionalizing service learning was considered vital so that there is a continuum that will survive new presidents/administration. The engagement of the university cannot be person-dependent.

Marty Butler asked for more materials to be sent to the BOR that would help educate them on civic engagement.

At 11:30 a.m. George Mehaffy departed.

The Board of Regents conducted their self-assessment, which was led by Regent Downing. The by-laws were reviewed. Ethics are imbedded in the fabric of the by-laws. Conflict of interest guidelines were read. Terms and responsibilities of a member of the BOR were outlined. There was discussion regarding what to do if a Regent fails to meet those responsibilities. Specifically, attendance issues were discussed. Regent Brown will call Anna Dale Pyles to review her lack of participation and to suggest that it may be appropriate for her to resign.

Regent Downing reviewed the ten commandments of an effective board. All agreed the Chair of the BOR is the person who will speak publicly on all matters. The partnership between the president and the BOR is key to running an effective university. Both the Board and President concurred that the partnership is strong.

A review on parliamentary procedure occurred.

Regent Brown reviewed the policy that deals with conflict of interest for a board member.

At the conclusion of the board self-assessment, several suggestions were received from various board members.

Denise McClelland suggested an oral history be taken from the various members of the university community in order to preserve their knowledge. Such oral history could be used as a video at new faculty orientation.

Joyce Griffin asked if Kim Luse can assure that a regent is represented at all major university events. A ranking of events in order of importance was suggested.

Chuck Brown requested that a subcommittee be formed to review BOR Emeritus status. The committee would meet annually to assess if any former regents should be promoted to Emeritus status. The committee will consist of Joyce Griffin, Frank Downing, and Bob Zapp.

At 12:15 p.m., President Votruba gave a presentation entitled: "2004-2005 Progress on Strategic Priorities." In this presentation, a thorough review of the milestones that were achieved last academic year was discussed, and information about the upcoming academic year was outlined. The following questions arose from the presentation:

Chuck Brown: "Since we are in the middle of the pack of our benchmark institutions, are the others open admission?" "How many students were turned down this year, and what pathways were identified to them?"

Bev Beck: "It is important to encourage students to retake the ACT if they score poorly."

Frank Downing: "Do we anticipate an increase in our graduation rates based on the new admissions standards?"

Frank Downing: "Can the BOR have a breakdown of the 14,447 students? Which are full-time, which are part-time, who are admitted with restrictions?"

Denise McClelland: "Can we review the structures supporting our new initiatives, specifically people, and processes?" "How does NKU define student success?" How many students would we lose this year if the benchmark was a 20 ACT?"

The BOR requested that the data from the Southern County Science Program in CATS science test scores be shared.

The BOR requested a campus footprint of the new buildings.

At 3:30, the BOR conducted an evaluation of President Votruba. At 4:30, Regent Brown met individually with the President for a debrief of the evaluation.
Points raised by Regent Brown during the debrief:

1. The BOR would like an analysis on salary compression across the university. Regent McCoy also raised the point that in her estimation, males (in the faculty ranks) are paid higher than females, and that was highlighted last year when departments were combined. There are also some examples of people performing the same job, but at different levels of compensation.
2. The BOR would like their board materials sooner. We need to collect material ten days prior to the board meeting, and the material will be delivered seven days beforehand for review. The minutes of the board meeting will also be turned around within 72 hours so that the secretary will have the ability to review them while the material is still fresh.
3. The BOR would like to change the by-laws so staff and faculty regents abstain from voting when it is a conflict of interest, such as salary increases.

The retreat was concluded by 5:15 p.m.

Kimberly A. Luse
Executive Assistant to the President
and Secretary to the Board of Regents

Charles H. Brown, Chair
NKU Board of Regents

6:00 p.m.	September 13, 2005 - Regent Dinner at President's Home (Lakeside Park, KY)
9:30 a.m.	September 14, 2005 - Joint Policy and Finance Mtg. (University Center Ballroom)
11:45 a.m.	September 14, 2005 - Reception - Dorothy Herrmann Dedication-Natural Sc. Center (under tented area)
:00 p.m.	September 14, 2005 - Board of Regents mtg. (University Center Ballroom)

Regents - Please respond by calling the President's Office at 572-5123 if you will not be able to attend the above events)

AGENDA

Northern Kentucky University
Board of Regents Meeting
Wednesday, September 14, 2005

- Call to Order and Invocation
- Oath of Office for Regent Kremer
- Roll Call and Welcome
- Approval of July 13, 2005, Board Minutes
- Presidential Comments

A. Joint Finance and Policy Committee:

1. 9:30 - 10:15 a.m. - CPE Update/State Funding (Moore)
2. 10:15 - 11:30 a.m. - Focus on Student Success (Wells)

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Fundraising Report (St. Amand)
3. Research Grants and Contracts Annual Report (Beere)
4. Research Grants and Contracts Bi-Monthly Report (Beere)

C. Presidential Recommendations:

*1. Academic Affairs Personnel Actions
*2. Academic Affairs Temporary Appointments
*3. Administrative Personnel Action
*4. Non-Academic Personnel Actions
*5. Staff Congress Constitution Revision
*6. NK Cooperative for Education Services
7. Resolution - Kentucky Asset/Liability Commission and the Finance and Administration Cabinet
8. Acceptance of contributions received by the NKU Foundation

*** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Lilla Losey in the Office of the President, 572-5172, by 2 p.m., Tuesday, September 13, 2005).**

D. Executive Session:

E. Other: (University schedule of activities) - Please call if you have questions about any of the following events.

1. **Regular Board of Regents Meeting Schedule**

2005:	2006:	January 11	July 12
November 9		March 8	September 6
		May 3	November 8

2. **Council on Postsecondary Education Meeting Schedule for 2005:**

September 18-19 (Governor's Conference - Louisville)
November 7

3. **Upcoming Activities for Regent attendance for 2005:**

- October 18, 12 noon - Staff Appreciation Day (Regents Hall)
- October 19, 6:00 p.m. - NKU Foundation/Board of Regents Dinner (Met Club)
- November 1, 7:00 p.m. - Alumni Lecture Series–John Edwards and Steve Forbes
- December 17, 10:00 a.m. - Fall Commencement Ceremonies (US Bank Arena)
- May 6, 2006, 10:00 a.m. - Spring Commencement Ceremonies (US Bank Arena)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
September 14, 2005

The regular meeting of the Board of Regents was called to order at 1:04 p.m. on Wednesday, September 14, 2005, by Chair Brown.

Invocation was read by Regent McCoy.

The Oath of Office was administered by Chair Brown to new Regent Nancy A. Barone Kremer.

Roll Call: Beverly J. Beck, Chuck Brown, Martin C. Butler, Frank K. Downing, Joyce E. Griffin, Nancy A. Barone Kremer, Denise H. McClelland, Carrie A. McCoy, and Jennifer C. Perry (Excused: Robert W. Zapp and Anna Dale Pyles).

Others Present: James C. Votruba, Kimberly A. Luse, Sara Sidebottom, Mark Shanley, Ken Ramey, Gail Wells, Carole Beere, Gerry St. Amand, Sue Hodges Moore, Mimi Assanuvat, Paula Brickler, Zane Harris, Bill Farro, Chris Cole, Rick Meyers, Wes Ackers, Paul Myers, Elaine Jarchow, Rick VanSant-*The Kentucky Post,* Joe Ruh, Mark Wasicsko, Steve Nienaber, Mike Mimms, Mary Lepper, Andy Meeks, Jennifer Stansbury, Lori Southwood, Mike Baker, Leslie Young and Adam Marshall-*Norse Media*, Margaret Anderson, Kathy Stewart, Angie Gabbard, Gary Graff, Don Gorbandt, Chuck Hawkins, Kevin Corcoran, Mary Ucci, Russ Kerdolff, Gary Pratt, Michael Tobergta, Pat Moynahan, Katherine Weitkamp-*The Campbell Community Recorder*, Ray McNeil, Bill Oliver, Larry Blake, Mary Paula Schuh, Kent Kelso, Joe Mills, Joe Wind, Marilou Singleton, and Harold Todd.

A motion was made by Regent Downing and seconded by Regent McClelland to approve the minutes of the July 13, 2005, Board of Regents meeting. **Motion carried.**

Presidential Comments:

- President Votruba reported that the 2005-06 academic year had a great beginning with new orientation programs, expanded first-year programs, new signage (including a new lighted sign on top of Steely Library), and progress on the lake project. Enrollment has increased somewhat from last year. Graduation rates have increased 7 percent.

- The new admissions standards have been a great success. President Votruba thanked everyone on campus who worked hard to implement the new standards. In particular, he expressed appreciation to Joel Robinson in leading the enrollment management effort; Provost Gail Wells and all of the staff associated with the deans, department chairs, and directors; Vice President Mark Shanley and Vice President Ken Ramey and their staffs. In many respects, a new era has begun at Northern Kentucky University because of the efforts and leadership of people throughout the University.

- The Departments of Art, Music, and Theatre hosted the Concert of Hope earlier in the week to raise funds for disaster relief. Hundreds of students, faculty and staff attended the event. President Votruba extended thanks to Vice President Mark Shanley, Dean of Students Kent Kelso, Provost Gail Wells, the Department Chairs, and all others who worked to make the concert a great success. Appreciation was also expressed to Chartwells for donating all of the food for the noon luncheon.

- At the morning's joint Policy and Finance Committee meeting, Provost Gail Wells provided a comprehensive review of the University's efforts to enhance student success in terms of programs and services. Provost Wells outlined challenges as well as reasons for optimism. There were 336 students who were not admitted this year. Many of them retook the ACT and scored at a higher level; many of them attended the NKU Academy. President Votruba concluded that the number of students prepared to succeed is greater this year than it has been in past years. This will be reflected in retention and graduation numbers in the future.

- Vice President Sue Hodges Moore made a presentation at the joint Policy and Finance Committee meeting about Kentucky's public agenda. The public agenda which was begun in 1997 represented a national standard that many others are now looking closely to emulate. President Votruba highlighted four statistics from Vice President Moore's presentation that will influence the future progress of both the Commonwealth and the northern Kentucky region:

    - Out of every 100 ninth graders attending Kentucky high schools, only 15 will graduate from college in a timely manner.
    - Out of Kentucky's 2.4 million working age adults, nearly 1 million function at the lowest literacy levels.
    - For Kentucky to be at the national average in educational attainment by 2020, there is a need to double the number of people in the state population with at least a bachelor's degree (from 400,000 to 800,000).
    - Of Kentucky's 120 counties, five have a percentage of college graduates that meet or exceed the national average. None of these are in northern Kentucky although three counties are close to meeting that average.

    Although Kentucky has made great progress in many areas, the Commonwealth needs to remain on course and stay focused. It is reassuring that Frankfort, the Governor, the Kentucky General Assembly, and the Kentucky Council on Postsecondary Education all recognize that the pathway for economic progress is through better educational attainment.

- President Votruba concluded his remarks by indicating that the Board will consider a resolution asking the Kentucky Council on Postsecondary Education, the Governor, and the Kentucky General Assembly to support the creation of a Regional Stewardship Trust Fund. This is an effort to create a public resource stream to support the work of higher education related to economic development, P-12 enhancement, local government decision-making, and strengthening non-profits in a variety of ways.

A. **Joint Finance and Policy Committee:** (Reports were given by Regent Secretary Griffin)

1. **CPE Update/State Funding:** Vice President Moore made a presentation on the new public agenda for Kentucky's system of postsecondary education. Dr. Moore provided a brief history of the early stages of postsecondary reform, highlighted some of the major accomplishments since 1997, and outlined the new public agenda. This agenda will guide the work of the postsecondary education system over the next five years and is framed by five questions. She announced that the Council on Postsecondary Education (CPE) had approved a campus action plan for Northern Kentucky University. The CPE will set goals this winter for accountability indicators. Progress on the indicators will be monitored closely by the CPE over the next five years and reported on regularly to the Governor and the Kentucky General Assembly. The CPE's budget recommendation for the 2006-08 biennium will include a performance funding component tied to some element of these key indicators. Dr. Moore concluded with a brief outline of the responsibilities of the newly configured Office of Planning, Policy and Budget.

2. **Focus on Student Success**: Provost Wells reported that Northern Kentucky University has an extensive array of programs aimed at providing support for students. Examples include: small classes (average class size = 24), learning communities, advising centers, freshman programs, University 101, new overnight orientation program, Office of Retention and Student Assessment, NKU Academy, Running Start, supplemental instruction, free tutoring, structured learning assistance, developmental courses, student support services, opportunities for experiential learning (undergraduate research, co-op, internships, study abroad, service learning), student organizations (both disciplinary and non-disciplinary based), residential housing, and athletic opportunities.

   The primary conclusion of current research focused on determining which programs are most successful is the following:
   - Successful campuses set high expectations for students and then provide appropriate levels of support to help them succeed.
   - There is "no magic bullet" that guarantees success. Simply finding what appears to be "best practice" combination of programming and "plugging it in" is unlikely to be sufficient.

- Successful campuses have "an unshakeable focus on student learning." The entire campus is committed to student success as both teacher and learner. All levels of leadership are important (Board, president, vice presidents, deans, chairs, faculty, staff and student workers).
- Success means carefully reading the current campus climate culture, aligning people and programs, and making a collective commitment to student success.

Northern Kentucky University has hired Dr. Dennis Weatherby as the Associate Provost for Student Success. He will provide the over-arching leadership across organizational boundaries to cultivate an environment for optimizing student success.

Announcement of Endowed Chair:

Provost Wells was asked to introduce Dr. Mark Wasicsko, the Bank of Kentucky Endowed Chair and Professor in Educational Leadership. Provost Wells remarked that this is Northern Kentucky University's first endowed chair, which marks maturation of the University and movement to a higher level of prestige. Dr. Wasicsko comes from Eastern Kentucky University where he served as Dean of the College of Education and Interim Provost and Vice President for Academic Affairs. His work for the past 25 years has been the study of personal characteristics that make people effective in the helping professions. He founded the National Network for the Study of Educator Dispositions (NNSED). Northern Kentucky University will become the hub for this organization and in November will host the Fourth Annual Symposium on Educator Dispositions at the METS Center.

Board Resolution:

Chair Brown introduced a resolution in support of the Regional Stewardship Trust Fund. The Board of Regents discussed the University's initiatives in public engagement and their importance at the annual retreat on August 30, 2005. Within the state of Kentucky, there has not been any funding for these initiatives. A motion was made by Regent McCoy and seconded by Regent Butler to approve the resolution to endorse the CPE proposal to support a Stewardship of Place Trust Fund in the 2006-08 biennium and to urge the Governor and Kentucky General Assembly to invest in this trust fund for the betterment of the Commonwealth and its people. **Motion carried.**

B. Presidential Reports:

1. **Facilities Management:** The following projects were included in the facilities management report: Lake Development, Performance Contracting/Energy Audit, New Student Union, Sports Complex, Campus Wayfinding Project Phase I, Digital Classroom/Planetarium Project, Greenhouse, and the Bank of Kentucky Center. Vice President Ramey made the following comments:

   - Significant progress has been made on the **Lake Development** project with an estimated completion date of November 2005.
   - The **new student union** is scheduled for bid next month and groundbreaking is projected for this calendar year. It is anticipated that the effects of Hurricane Katrina on the construction industry will increase costs on all new projects including the new student union.
   - Phase I of the **Campus Wayfinding** project has been completed thanks to the outstanding work of Larry Blake, Steve Nienaber, and Mary Lee Schott in Facilities Management.
   - GBBN Architects and 360 Architecture have been selected for the **Bank of Kentucky Arena**. The Construction Manager-At-Risk delivery method will be used for this project and a construction manager will soon be appointed. Because the arena will host major events for the region, a management firm will be selected to run the facility for the University.

2. **Fundraising Report:** The report summarizes fundraising resources in support of the colleges, Steely Library, Norse Athletics, Campus Priority Fund, Campus General Initiatives and WNKU, committed from July 1, 2005, through August 18, 2005, totaling $163,600.

   Gerry St. Amand commented on his dual role as Interim Vice President for University Advancement and Dean of the Chase College of Law. He expressed confidence in the leadership teams of both units and acknowledged the enormous importance of the advancement operation to the success of each academic unit.

3. **Research Grants and Contracts Annual Report Fiscal Year 2005:** This report provides comparative data on Fiscal Years 2003, 2004, and 2005. In Fiscal Year 2004, there were 133 **proposals submitted** totaling $14,869,660. In Fiscal Year 2005, there were 139 **proposals submitted** totaling $24,931,888. In Fiscal Year 2004, there were 88 **proposals awarded** totaling $7,557,702. In Fiscal Year 2005, there were 98 **proposals awarded** totaling $10,939,120.

   Associate Provost Carole Beere indicated that Fiscal Year 2005 was the best year in the history of Northern Kentucky University in terms of the number of proposals submitted, the number of awards that were made, and the dollar amount of funding. Proposal submissions

compared to a year ago increased 4.5 percent; and compared to two years ago increased almost 17 percent. The number of proposals that were funded compared to a year ago increased 11 percent; and compared to two years ago increased 29 percent. The dollars awarded compared to a year ago increased 45 percent; and compared to two years ago increased 58 percent. The annual report now and in the future will include information on the Center for Applied Ecology and METS. The goal in two years is to reach the level of $15 million.

4. **Research Grants and Contracts Bi-Monthly Report:** No further comments were made on the report as submitted. The report summarizes the successful proposals with the amount of award for each proposal for NKU faculty and staff from July 1, 2005, through August 31, 2005. During this time period, eighteen proposals were awarded for a total of $1,425,526.

C. **Presidential Recommendations:**
Consent Agenda Items: A motion was made by Regent Beck and seconded by Regent Griffin to approve the following Presidential Recommendations as listed below (C.1-6). **Motion carried.**

1. **Academic Affairs Personnel Actions:**
Administrative Appointments: Michael Altekruse, Chair and Professor with Tenure in the Department of Social Work and Human Services, effective August 8, 2005; **Paul Cooper**, Interim Chair and Associate Professor in the Department of Technology, effective July 1, 2005 through December 31, 2005; **Rudy Garns**, from Professor in the Department of Sociology, Anthropology, and Philosophy, to Interim Director of Liberal Studies and Professor in the Department of Sociology, Anthropology and Philosophy, effective July 1, 2005; **Jan Hillard**, Assistant Vice President for Economic Initiatives and Professor with Tenure in the Department of Political Science and Criminal Justice effective August 15, 2005, and Interim Chair in the Department of Political Science and Criminal Justice, effective August 15, 2005 through June 30, 2006; **J. Patrick Moynahan**, from Dean and Associate Professor in the College of Professional Studies, to Interim Dean and Associate Professor in the College of Informatics, effective July 1, 2005; **Gary Newell**, Interim Chair and Associate Professor in the Department of Computer Science, effective July 1, 2005 through June 30, 2006; **Gary D. Scott**, from Associate Dean and Associate Professor of Information Systems in the College of Professional Studies, to Interim Associate Dean and Associate Professor of Information Systems in the College of Informatics, effective July 1, 2005 through June 30, 2006; **Leah Stewart**, Director of Financial Assistance, effective August 15, 2005; **Leslie Turner**, Interim Chair in the Department of Information Systems effective August 3, 2005 through December 31, 2005; **Dennis Weatherby**, Associate Provost for Student Success, effective August 16, 2005; **Samuel Zachary**, from Professor in the Department of Theatre and Dance, to Associate Dean for Curriculum and Facilities and Professor in the Department of Theatre and Dance, effective July 1, 2005;

Faculty Appointments (effective August 15, 2005): **Lynn Burbridge**, Assistant Professor in the Department of Economics and Finance; **Rochelle Dunn**, Assistant Professor in the Department of Educational Specialties; **Ken Engebretson**, Assistant Professor in the Department of Social Work and Human Services; **Carol A. Medlicott**, Assistant Professor in the Department of History and Geography; **Burke Miller**, Assistant Professor in the Department of History and Geography; **Julie Olberding**, Assistant Professor in the Department of Political Science and Criminal Justice; **Steven Smith**, Assistant Professor in the Department of Educational Specialties; **J. C. Thompson**, Associate Professor in the Department of Economics and Finance with Tenure; **James Walden**, Assistant Professor in the Department of Computer Science; **Gay Washburn**, Assistant Professor in the Department of Elementary, Middle and Secondary Programs.

Faculty Appointments (non-tenure track renewable): **Kelly J. Asbury**, Lecturer/Retention Specialist in the Department of Art, effective August 15, 2005; **Mary Bucklin**, Lecturer in the Department of Women's Studies, effective August 15, 2005; **Shannon Eastep**, Lecturer in the Department of Educational Specialities, effective August 15, 2005; **Sarah E. Johnson**, Lecturer in the Department of Physics and Geology, effective August 15, 2005; **Robert Kerby**, Lecturer in the Department of Theatre and Dance, effective August 15, 2005; **Dixie Leather**, Advisor in the College of Business Advising Center, effective July 1, 2005; **Bonnie May**, Lecturer in the Department of History and Geography, effective August 15, 2005; **Thomas Palmer**, Lecturer/AT Clinical Coordinator in the Department of Educational Specialities, effective August 15, 2005; **Michele Peers**, Lecturer in the College of Education and Human Services Advising Center, effective August 15, 2005; **Amy Racke**, Lecturer/Advising Specialist in the Department of Biological Sciences, effective July 1, 2005; **Aprile Conrad Redden**, Lecturer/Retention Specialist in the Department of Political Science and Criminal Justice, effective August 15, 2005; **Lorraine Ruh**, Instructor in the Department of Accountancy, effective August 15, 2005; **Ruth Staley**, Reading First Coach, Office of the Dean, College of Education, effective July 1, 2005; **Vicky Van Winkle**, Lecturer in the College of Education and Human Services Advising Center, effective August 1, 2005; **Jennifer Webster**, Lecturer/Advising Specialist in the Department of Political Science and Criminal Justice, effective July 1, 2005; **Robert Willoughby**, Lecturer in the Department of Technology, effective August 15, 2005.

Leaves Paid/Unpaid: **Jean Gade**, Lecturer (non-tenure track renewable) in the Department of Chemistry, unpaid leave without benefits, for the 2005-2006 academic year; **Sara M. Mohn**, Assistant Professor in the School of Nursing and Health Professions, unpaid leave without benefits, for the 2005-2006 academic year.

Resignations: **Charles Lowe**, Assistant Professor in the Department of Social Work and Human Services, effective August 2, 2005; **J. Michael Thomson**, Associate Professor with Tenure and Chair in the Department of Political Science and Criminal Justice, effective July 12, 2005.

2. **Academic Affairs Temporary Appointments for 2005-2006**

College of Arts and Sciences: Art (Academic Year): Heidi Endres and Paige Wideman. **Biological Sciences**: Hildegard Baldridge **(Academic Year)**, Vicki Kier **(Fiscal Year)**, and Elinor Rambo **(Academic Year)**. **Chemistry (Academic Year)**: Pamilla J. Ball and Bradley J. Sieve. **History and Geography (Academic Year)**: Terence Anthony Fleming, William J. Landon, Lawrence Fred Mitchell, Okera D. Nsombi. **Literature and Language (Academic Year)**: Lydia Coyle, Maureen E. Cronin, Kristin Dietsche, Florence Dwyer, Kristi Eiler, Jeremy T. Goebel, Paul Goodin, Angela K. Hesson, Darlene Hollon, Melissa K. Ibarra, Virginia Paddock, Dolores Schreiner, Martha L. Viehmann, Hannelore Wolfram, Mari York. **Mathematics (Academic Year)**: Shelley Cain, Michael Collins, Terry Erdmann, Marilyn Hoffman, Barbara Phillips, Patsy Sisson, John Taylor. **Music (Academic Year)**: William Brian Hogg, Esther Nam, Erwin Stuckey. **Physics and Geology (Academic Year)**: Chari Ramkumar, Kisa S. Ranasinghe. **Political Science and Criminal Justice (Academic Year)**: Marl K. Renfro, Frank H. Edelen, Jr., Bruce McClure, Gail O'Brien, Jill Shelley. **Psychology (Academic Year)**: Micheal Krownapple, Mary E. Markivich, Juliann Bosko Young. **Sociology, Anthropology and Philosophy (Academic Year)**: Molly Blenk, Thomas Foster, Gregory Bigot, Gary Blahnik, Jan Gallagher, Nicole Grant, Michael Simonton, Ken Tankersley, Charles Ward. **Theatre and Dance (Academic Year)**: Michael W. Hatton, Julie Carroll-Keeney, Christine Jones, Brian Robertson, Michelle Teyke. **CINSAM (Academic Year)**: Toni Keller.
College of Business (Academic Year): Management and Marketing: Paul Chellgren. **Technology**: Fred Brockmeier IV and Daryl Orth.
College of Education and Human Services (Academic Year): Educational Specialties: George Frakes and Catherine Ingram. **Elementary, Middle, and Secondary Programs**: Deborah Doty, Patsy Fisk, Polly Page, Tammie Sherry.
College of Informatics (Academic Year): Communication: Wes Akers, Justin Braxton-Brown, Michele Day, John Delaney, Wendy Rose, Jessica Wilson. **Information Systems**: Frank Braun, Jerome Gonnella, Terry Rogers.
Salmon P. Chase College of Law (Fiscal Year): Legal Programs: Adrienne Noble Nacev.
Vice Provost: First Year Programs (Academic Year): Kristi Brock and Sara Schroeder.
Learning Assistance Program (Fall Semester): Jill Easter and Greg Walsh.

3. **Administrative Personnel Action:** Sue Hodges Moore, Vice President for Planning, Policy, and Budget, effective July 25, 2005.

4. **Non-Academic Personnel Actions:** The following categories of non-academic personnel actions, which occurred between June 24, 2005 and August 19, 2005, were approved: Activations; Temporary/Contract to Regular Position; Transfers; Reassignments, Reclassifications, Re-engineering, and Title Changes; Promotions; Departures; and Retirees.

5. **Staff Congress Constitution Revision:** Per Article XI of the Staff Congress Constitution, amended versions of the constitution must be approved by the NKU Staff and the Board of Regents. The version amended in May 2005 was ratified by an affirmative vote of two thirds of the total eligible voting staff members, and approved by the Board of Regents as submitted.

6. **NK Cooperative for Education Services:** Continued participation in the Northern Kentucky Cooperative for Educational Services (NKCES) was approved. NKCES is a separate administrative board created by an Interlocal Cooperative Agreement between certain school districts in the northern Kentucky area and Northern Kentucky University. An alternate representative was appointed for voting purposes, as requested by the Cooperative.

7. **Resolution - Kentucky Asset/Liability Commission and the Finance and Administration Cabinet:** A motion was made by Regent Griffin and seconded by Regent Kremer to adopt the Resolution approving a financing agreement among the University, the Kentucky Asset/Liability Commission and the Finance and Administration Cabinet of the Commonwealth of Kentucky for the purpose of providing interim financing for the new Student Union project in anticipation of the issuance of bonds to provide permanent financing. **Motion carried.**

8. **Acceptance of Contributions received by the NKU Foundation:** A motion was made by Regent McCoy and seconded by Regent McClelland to officially accept contributions totaling $45,500 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period of June 25, 2005 through August 18, 2005. **Motion carried.**

   Constituent and Fund Description:
   - Federated Department Stores Foundation, designated to Campus Priority Fund - $10,000
   - Griffin Industries, Inc., designated to Norse Athletics Men's Basketball Fund - $17,500
   - Home Builders Association of Greater Cincinnati, designated to Home Builders Association Scholarship - $18,000

D. **Executive Session:** At 1:44 p.m. a motion was made by Regent Butler and seconded by Regent Downing to call an Executive Session to discuss legal issues. Sara Sidebottom, Vice President for Legal Affairs and General Counsel, was asked to remain. **Motion carried.**

At 2:07 p.m. a motion was made by Regent Downing and seconded by Regent McClelland to call the meeting back into regular session. No action was taken. **Motion carried.**

At 2:07 p.m. a motion was made by Regent McClelland and seconded by Regent Perry to adjourn. **Motion carried.**

Paula A. Brickler
Specialist III

Kimberly A. Luse
Executive Assistant to the President
and Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on September 14, 2005, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, November 8, 2005 - 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
Wednesday, November 9, 2005 - 8:30 a.m.

8:30 a.m.

A. **Joint Finance and Policy Committee:**
   1. 2004-05 Annual Financial Report (Ramey)
   2. 2006-08 Postsecondary Education Biennial Budget Recommendations (Moore)

Board of Regents Meeting
Wednesday, November 9, 2005 - 9:45 a.m.

9:45 a.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of September 14, 2005, Board Minutes
- Approval of September 30, 2005, Board Retreat Minutes
- Summary of Joint Finance and Policy Committee
- Presidential Comments

10:05 a.m.

B. **Presidential Reports:**
   1. Facilities Management (Ramey)
   2. Quarterly Financial Report (between July 1, 2005 and September 30, 2005) (Ramey)
   3. Fundraising Report (St. Amand)
   4. Research/Grants/Contracts (Beere)

10:25 a.m.

C. **Presidential Recommendations:**
   1. *Academic Affairs Personnel Actions
   2. *Academic Affairs Temporary Appointments
   3. *Non-Academic Personnel Actions (between August 20, 2005 and October 12, 2005)
   4. *Adopt the 2006-12 Capital Plan (amended)
   5. *Department of Social Work and Human Services Name Change
   6. *Acceptance of Contributions received by the NKU Foundation, during the period of August 19, 2005 through October 14, 2005
   7. *2004-05 annual report to the CPE regarding the Commonwealth of Kentucky Endowment Match Program
   8. *Naming Rights for University Facilities - The Bank of Kentucky Center, Athletics Hall of Fame
   9. Dual Enrollment Tuition Policy
   10. Plus / Minus Grade Proposal
   11. *Intellectual Property Agreement
   12. *Faculty Senate Constitutional Amendments

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Tuesday, November 8, 2005).

D. **Other:** (Calendar Events) – Please call if you have questions about any of the following events.
   1. Regular Board of Regents Meeting Schedule:
      2005: November 9 2006: January 11 July 12 March 8
      September 6 May 3 November 8
   2. Council on Postsecondary Education Meeting Schedule for 2005:
      November 7 (CPE Meeting – Frankfort)
   3. Upcoming Activities:
      - December 17, 10:00 a.m. - Fall Commencement Ceremonies (US Bank Arena)
      - May 6, 2006, 10:00 a.m. - Spring Commencement Ceremonies (US Bank Arena)
      - May 13, 2006, 10:00 a.m. - Chase Law School Spring Commencement Ceremonies (US Bank Arena)

Regents Luncheon
Wednesday, November 9, 2005 - 11:00 a.m.

11:00 a.m.

- Regents *Best Places to Work* Luncheon (Millennium Hotels & Resorts – Travel Provided)

2:00 p.m.

- Get to Know the Regents Reception - Meeting with Mark Wasicsko, Bank of Kentucky Endowed Chair

3:15 p.m.

- Presentation by Mark Wasicsko, Bank of Kentucky Endowed Chair in Educational Leadership - College of Education and Human Services (3:30 p.m. - 4:30 p.m. / BEP 200)

(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
November 9, 2005

The regular meeting of the Board of Regents was called to order at 10:14 a.m. on Wednesday, November 9, 2005, by Chair Brown.

Invocation was read by Carrie McCoy.

Roll Call: Beverly J. Beck, Chuck Brown, Martin C. Butler, Joyce E. Griffin, Nancy A. Barone Kremer, Denise H. McClelland, Carrie A. McCoy, and Jennifer C. Perry (Excused: Frank K. Downing, Anna Dale Pyles, and Robert W. Zapp)

Others Present: James C. Votruba, Kimberly A. Luse, Sara Sidebottom, Mark Shanley, Ken Ramey, Gail Wells, Carole Beere, Gerry St. Amand, Sue Hodges Moore, Kevin Corcoran, Mimi Assanuvat, Isaac Ferguson, Annie Buechel, Zane Harris, Bill Farro, Chris Cole, Andy Meeks, Jane Meier, Rob Pasquinucci, Mike Baker, Kathy Stewart, Stephanie Steitzer – *Kentucky Post*, Paul Myers, Steve Meier, Keley Smith-Keller, Katie Weitkamp – *Community Recorders*, Mike Tobergte, Elaine Jarchow, Bridgette Pregliasco, Larry Blake, Don Gorbandt, Joel Robinson, Joe Wind, Gary Pratt, Russ Kerdolff, Chuck Hawkins, Nancy Campbell, Mary Paula Schuh, Harold Todd, Pat Moynahan, Rick Meyers, Larry Meyer, Bill Oliver, Mary Ryan

A motion was made by Carrie McCoy and seconded by Nancy Kremer to approve the minutes of the September 14, 2005, Board of Regents meeting.
Motion carried.

A motion was made by Denise McClelland and seconded by Jennifer Perry to approve the minutes of the September 30, 2005, Board of Regents retreat.
Motion carried.

Due to the discussion occurring 15 – 20 minutes prior, Chair Chuck Brown announced the summary of the Joint Finance and Policy Committee would be omitted.

Presidential Comments:

- Agenda item C-10 will be pulled from this agenda and moved to the January 11, 2006 agenda. This will allow time for additional discussion by the members of the Board of Regents.
- University's Financial Report is one that demonstrates fiscal prudence. It shows a need to manage several risks associated with uncertainty. The University finds itself in a very strong financial position. The risks we need to plan for are:
  - The eventual impact of Gateway on our enrollment;
  - Increased admission standards;
  - The impact of construction cost related to the fluctuation of structural steel, concrete and other materials that go into development. We will need to prevent the compromise of the facilities and projects;
  - Energy cost;
  - Healthcare cost;
  - The Prism project;
  - The state's structural deficit and its budget of about $500,000,000.00

- CPE budget, as it has been recommended, reflects some very strong policy initiatives including the Regional Stewardship Trust Fund. NKU has had an instrumental hand in the Regional Stewardship Trust Fund's development. This opens public funds as a resource stream to allow the University to be involved in strengthening the economy in the region, improve P-12 education, and support local government decision making.
  We feel we can support the budget the way it is. The budget will now go to the Governor and then on to the General Assembly.
- The post purge numbers are strong. The numbers [of students] have sustained themselves. There is a substantial reduction in students who have been purged for failure to pay [tuition and fees].
- Vision 2015 process is reaching its conclusion. We will finish our work with its leadership team this next week and then we will be involved in shaping the recommendations which surround this process.
- At 3:30 p.m. today there will be a lecture by Mark Wasicsko, the University's first Endowed Chair.

A. <u>Joint Finance and Policy Committee:</u>

1. <u>2004-2005 Annual Financial Report (Ramey):</u>

Vice President Ramey and Comptroller Kerdolff presented highlights from the University's 2004-05 Annual Financial Report. The financial statements were audited by the audit firm Crowe Chizek and Co. LLC. Partner Bernie Backert attended the meeting for Crowe Chizek. Highlights of the presentation included:

- Total assets grew by about $12 million for the year, primarily due to the addition of new capital assets, including the new parking garage, and increases in current assets.
- Total liabilities decreased by $2 million. The University's debt ratios have improved. In fact, based on our strong financial position, our Moody's debt ratings were upgraded in fiscal year 2002 and reaffirmed last year.
- Net assets increased by $14 million due to increases in capital assets, net of related debt and an increase in unrestricted net assets. The increase in unrestricted net assets resulted, in part, from the conservative budget approach the University employed last year due to the uncertainty related to the University's two primary sources of revenue: state appropriations and tuition revenue. Budget reserves were set up to address possible cuts in state funding and enrollment declines related to new admission standards and the increased presence of Gateway Community and Technical College.
- Operating and nonoperating revenues increased by $15 million last year. The University's state appropriations actually declined by $1 million last year. As a result, the University had to rely on increases in other sources of revenue to cover increased costs and new academic excellence initiatives. Tuition and fees provided the majority of the increase in new revenue. In addition, significant increases were realized in external grant, auxiliary and other revenues.
- Operating and nonoperating expenses increased by $9 million last year. About two-thirds of this increase was related to the University's core mission areas of instruction, research, public service and academic support.
- The University's financial position remained strong at June 30, 2005. With an improving state economy, the future looks bright for NKU. The University will receive a $6.5 million increase in state appropriations for fiscal year 2006. This increase in appropriations recognizes the vital role NKU serves now and will continue to serve in the future of Kentucky. In partnership with

the region and the Commonwealth NKU will continue to increase its capacity to serve its students, the region and the Commonwealth.

2. **2006-08 Postsecondary Education Biennial Budget Recommendations (Moore):**
The Policy and Finance Committee was also briefed on the state budget process. At its meeting on Monday of this week, the Council on Postsecondary Education took action on its 2006-07 biennial budget recommendation to the Governor and General Assembly. Sue Hodges Moore, Vice President for Planning, Policy and Budget, provided an overview of the operating and capital requests.

The CPE is recommending a base funding increase of $137,689,800 for the postsecondary institutions based on a benchmark funding model. This represents a 13.8% increase. The amount for NKU is $11,173,600, a 24% increase. The council is also requesting $3.5 million for a performance funding initiative, which is intended to reward institutions for meeting performance targets associated with the public agenda.

The council is also recommending additional strategic trust and incentive funds in the amount of $42,447,800 in recurring funds and $30,500,000 in non-recurring funds. Included in this request is $18 million of recurring funds to create a Regional Stewardship Incentive Funding Program. As you will recall, we passed a resolution at our last board meeting endorsing the inclusion of this fund in the CPE request. At its January meeting, the council will establish guidelines for the distribution and administration of this fund, in the event that the General Assembly includes it in the enacted budget.

The CPE also included a number of special initiatives in its request, including $300,000 to NKU to support its Academic Transformation project—a university-wide initiative aimed at transforming the ways in which courses are designed and taught at NKU in order to reduce costs, expand capacity, and improve student learning and success—all called for in the new public agenda and NKU's campus action plan.

Highlights from the CPE capital request include the inclusion of three capital construction/major renovation projects for NKU, the largest number of projects being recommended for any university. These include renovation of Founders Hall (Old Science Building) and construction of two new buildings—the Center for Informatics and the Health Innovations Center.

B. Presidential Reports:

1. Facilities Management (Vice President Ramey):

a. Lake Development
The second phase of the lake development, the amphitheater, opened bids on November 8, 2005. The project was within budget and the remainder of the project will be moving forward very quickly.

b. Performance Contracting/Energy Audit
To date 80% of the water conservation project has been completed. With the installation of a gas boiler in Commonwealth Hall, modern direct digital controls in the AS&T building, and variable frequency drives on hot and chilled water pumps, the project is being funded

entirely by utility savings and financed through a performance based contract. The anticipated project payback time is 11 years.

c. **New Student Union**
It was indicated that bids on the Student Union open November 30, 2005. We are cautiously optimistic that the project will come in on-budget. We have concerns due to escalating construction cost created by the recent hurricanes.

d. **Sports Complex**
The Master Site Study of the land south of Johns Hill Road is complete.

e. **Campus Wayfinding Project Phase I**
The installation of the new signs began in August of 2005 and is nearing completion.

f. **Digital Classroom/ Planetarium Project**
The long awaited Digital Classroom/Planetarium project will open bids on November 15, 2005.

g. **Greenhouse**
Bids for this project will open on November 15, 2005 and will run concurrently with the Digital Classroom project.

h. **Bank of Kentucky Center**
The Bank of Kentucky Center project continues to move along very quickly. The representatives from the University continue to meet with the design team bi-weekly. On November 7, 2005, Turner construction was named the construction manager for the project. The University continues to work closely with the foundation on the US 27 developments. It is critical that both projects appear to be seamless.

2. **Quarterly Financial Report (July 1, 2005 – September 30, 2005) (Vice President Ramey):**
Revenue and expenditures are within budget at this point in time.

3. **Fundraising Report (Vice President St. Amand)**
Summary of fundraising resources committed from July 1, 2005 through October 14, 2005, totaling $689,122. In the dollars demonstrated in the report is a 250,000 dollar gift, which actually shows-up in two other places. One is to accept the gift and the other is to accept a naming opportunity. Thanks to the Griffin Family Foundation for the gift that will allow *The David L. Holt NKU Hall of Fame* and the ability to establish *The David L. Holt Memorial Scholarship* Fund.

4. **Research/Grants/Contracts**
President Votruba indicated research, grants and contracts have seen improvements in terms of growth. Congratulations are due to Carole Beere and her team for all the work done with faculty to advance the agenda.

Dr. Beere stated, "The credit for the increase in grants and contracts goes to the faculty and staff who write the proposals. They have been doing a great job."

C. **Presidential Recommendations:**
Consent Agenda Items: A motion was made by Regent Beck and seconded by Regent Butler to approve the following Presidential Recommendations as listed; C 1-8, 11, 12. **Motion carried.**

1. **Academic Affairs Personnel Actions**
   a. **Administrative Appointments:**
   Joy Churchill, Clinical Coordinator for BSN Program and Associate Professor in the School of Nursing and Health Professions, effective July 1, 2005; **Louise Niemer**, BSN Director and Associate Professor in the School of Nursing and Health Professions, effective August 1, 2005; **Sherry P. Porter**, Associate Dean for Student Affairs and Admissions, Salmon P. Chase College of Law, effective September 19, 2005.

   b. **Faculty Appointments (effective August 15, 2005):**
   Judith Gorman, Lab Coordinator/ Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions; **Victoria Johnson**, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions; **Karen Leek**, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions; **Marilyn S. Lottman**, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions; **Ausbra McFarland**, Assistant Professor in the Department of Technology, College of Business; **Marilyn Ann Schleyer**, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions; **Catherine Gannon Tagher**, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions; **Willie C. Womack**, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions

   c. **Resignations (effective May 13, 2005):**
   JoAnn Randolph, Assistant Professor in the School of Nursing and Health Professions; **Lisa McQueen**, Assistant Professor in the School of Nursing and Health Professions; **Dana Hickman**, Assistant Professor in the School of Nursing and Health Professions

2. **Academic Affairs Temporary Appointments (Academic Year 2005-06)**
   College of Arts and Sciences: Art: Laura A. Hollis; **History and Geography:** Adam Parrillo, Stephen Rockenbach; **Literature and Language:** Tiffany N. Hinton; **Mathematics:** Jim Justice; **Political Science and Criminal Justice:** James W. Binney; **Psychology:** Roland Lee Peckham; **Theatre and Dance:** Alfred Dove

3. **Non-Academic Personnel Actions (between August 20, 2005 and October 12, 2005)**
   The following categories of non-academic personnel actions, which occurred between August 20, 2005 and October 12, 2005, were approved: Activations; Transfers; Reassignments, Reclassifications, Re-Engineering, and Retirees.

4. **Adopt the 2006-12 Capital Plan (amended)**
   The Board of Regents adopted a draft of the 2006-12 Capital Plan at its May 4, 2005 meeting. Since that time, numerous projects have been added to the amended 2006-12 Capital Plan.

5. **Department of Social Work and Human Services Name Change**
   The name of the Department of Social Work and Human Services will now be known as the Department of Counseling, Human Services, and Social Work.

6. **Acceptance of Contributions received by the NKU Foundation, during the period of August 19, 2005 through October 14, 2005**

The Board of Regents officially hereby accepts contributions totaling $424,763, received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period of August 19, 2005 through October 14, 2005.

7. **2004-05 annual report to the CPE regarding the Commonwealth of Kentucky Endowment Match Program**
The Board of Regents officially hereby approves the submittal of the 2004-05 annual report to the Council on Postsecondary Education (CPE). Authorization is given to the Chair of the Board of Regents to submit a Notice of Board Approval to the CPE.

8. **Naming Rights for University Facilities – The Bank of Kentucky Center, Athletics Hall of Fame**
The Board of Regents hereby approves the naming of the NKU Athletics Hall of Fame to be included within the Bank of Kentucky Center as the "David L. Holt NKU Athletics Hall of Fame."

9. **Dual Enrollment Tuition Policy (Discussion Item)**
A motion was made by Regent Kremer and seconded by Regent Griffin to change the University's tuition and fee structure for dually enrolled students.
   - Students who are dually enrolled as undergraduate and graduate students will be charged for undergraduate courses as though the student is an undergraduate student and for graduate courses as though the student is a graduate student.
   - Students who are dually enrolled as undergraduate and law students will be charged for undergraduate courses as though the student is an undergraduate student and for law courses as though the student is a law student with one exception: Law students who are enrolled for twelve hours of law courses will not be charged for additional undergraduate courses.

10. **Plus/Minus Grade Proposal (Discussion Item)**
This item has been tabled until the January Board of Regents meeting to allow for further review.

11. **Intellectual Property Agreement**
The Intellectual Property Agreement policy serves as the basis for preserving the ownership of copyrights on scholarly and creative materials that are produced by faculty, students and administrators using University facilities. The inventions and other patentable intellectual property will be owned by the Northern Kentucky University Research Foundation (NKURF) when all conditions described in the policy are met.

12. **Faculty Senate Constitutional Amendments**
Two changes to the Faculty Senate Constitution were approved by the Board of Regents. The following change removes quotation marks that are not needed and adds the rank of lecturer:
   - Article III. Membership, A.
     - The general faculty shall consist of all tenured, tenure track, and full-time non-tenure track renewable faculty members holding the rank of lecturer or instructor or higher.

The second change as outlined in section C, subsection 11 of the Board of Regents materials for November 09, 2005.The amendment replaces Article IV. of the Faculty Senate Constitution in its entirety.

- Article IV. Selection of Members

Dr. Votruba gave his appreciation to the University for receiving the nomination and honor of being one of Greater Cincinnati's best employers, two years consecutively.

Recognition was given by Dr. Votruba to Isaac Ferguson as the new Administrative Associate in the Office of the President and Assistant to Dr. Kimberly Luse.

Chair Brown agreed that going into the holiday season the University is moving in the right direction. The financial information conveyed this morning was very good. It indicates that during the next biennium the University has a chance to improve the funding position. CPE consideration to correct the historic imbalance of funding for NKU was also recognized.

At 10:40 a.m. a motion was made by Regent Griffin and seconded by Regent Kremer to adjourn. **Motion carried.**

Isaac D. Ferguson
Administrative Associate

Kimberly A. Luse
Executive Assistant to the President
and Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on November 9, 2005, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, January 10, 2006 - 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
Wednesday, January 11, 2006 - 9:30 a.m.

9:30 a.m.

A. Joint Finance and Policy Committee:

1. CINSAM – *Excellence in the Sciences and Mathematics* Presentation (Wells)
2. Plus / Minus Grade Proposal (Oliver)
3. Facilities Update (Ramey, Baker)

Board Lunch
Wednesday, January 11, 2006 – 11:30 p.m.

11:30 a.m.

- Board of Regents Luncheon (Presidents Dining Room – UC 105)

Board of Regents Meeting
Wednesday, January 11, 2006 - 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of November 9, 2005, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:05 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Fundraising Report (between July 1, 2005 and November 30, 2005) (St. Amand)
3. Research/Grants/Contracts (between October 20, 2005 and December 15, 2005) (Beere)

1:30 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions (between October 13, 2005 and December 7, 2005)
3. *Amendment to *Faculty Policies and Procedures Handbook* – (Regent Professors Appointment)
4. *New Program – Masters of Arts in Communication
5. *New Program – Masters of Science in Executive Leadership and Organizational Change
6. *Name Change – Department of Technology
7. *Name Change – Department of Elementary, Middle, and Secondary Programs; and Department of Educational Specialties
8. *Acceptance of Contributions received by the NKU Foundation, during the period of October 15, 2005 through November 30, 2005
9. Plus / Minus Grade Proposal

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Tuesday, January 9, 2005).

(Regents - Please respond by calling the President=s Office at 572-5123 to confirm your attendance at the above events)

D. Other: (Calendar Events) – Please call if you have questions about any of the following events.

1. Regular Board of Regents Meeting Schedule for 2006:
   January 11 March 8 May 3 July 12
   September 6 November 8
2. Council on Postsecondary Education Meeting Schedule for 2006:
   January 30 – NKU METS Center
   March 8 (Tentative Joint Meeting w/ KY Board of Education) –Frankfort
   May 21
   May 21-22 (IEG Spring Board Development Seminar)
   July 10
   August 14 (CPE Retreat)
   September 17
   September 17-18 (Governor's Conference on Postsecondary Ed Trusteeship)
   November 6

(Upcoming Activities for 2006 are listed on the reverse side.)

3. Upcoming Activities:
   - January 27, 2006, 6:00 p.m. – Alumni Awards Banquet (Marriott, Covington, KY)
   - April 27, 2006, 6:30 p.m. – Lincoln Awards Banquet (Freedom Center, Cincinnati, OH)
   - May 6, 2006, 10:00 a.m. – Spring Commencement Ceremonies (US Bank Arena, Cincinnati, OH)
   - May 13, 2006, 10:00 a.m. – Chase Law School Spring Commencement Ceremonies (Regents Hall, Highland Heights, KY)

(Regents - Please respond by calling the President=s Office at 572-5123 to confirm your attendance at the above events)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
January 11, 2006

The regular meeting of the Board of Regents was called to order at 1:10 p.m. on Wednesday, January 11, 2006, by Chair Brown.

Invocation was read by Frank Downing.

Roll Call: Beverly J. Beck, Chuck Brown, Martin C. Butler, Joyce E. Griffin, Nancy A. Barone Kremer, Carrie A. McCoy, Jennifer C. Perry, Frank K. Downing, Robert W. Zapp (Excused: Denise H. McClelland, Anna Dale Pyles)

Others Present: James C. Votruba, Kimberly A. Luse, Sara Sidebottom, Mark Shanley, Ken Ramey, Gail Wells, Carole Beere, Sue Hodges Moore, Mimi Assanuvat, Isaac Ferguson, Annie Buechel, Zane Harris, Bill Farro, Chris Cole, Jane Meier, Mike Baker, Kathy Stewart, Rick VanSant – *Kentucky Post*, Bridgette Pregliasco, Don Gorbandt, Joel Robinson, Gary Pratt, Russ Kerdolff, Chuck Hawkins, Pat Moynahan, Rick Meyers, Bill Oliver, Mary Ryan, Kenneth Rhee, Gaut Ragsdale, Paul Cooper, Margaret Anderson, Matt Shank, Mike Carrell, Mike Tobergta, Katie Weitkamp, Audrey Kunkel, Sheree Davis, Branden Kiley, Daniel Solzman, Paul Myers, Kelli Schultz, Shawn Fugat, Paul Lauen, Ron Gardella

A motion was made by Regent Zapp and seconded by Regent McCoy to approve the minutes of the November 9, 2005, Board of Regents meeting. **Motion carried.**

Presidential Comments:

- Enrollments are up slightly for the Spring Semester of 2006.
- This Spring, we will break ground for approximately 130 million dollars in new capital construction. This will include the Student Union, Bank of Kentucky Center, and the US 27 Development project.
- The Vision 2015 planning is nearing completion. NKU has been front and center as the means to create the idealized community. There is a bold vision for the Northern Kentucky area and its future and the University will need to be engaged across the full breadth.
- The General Assembly has begun its 2006 session. Governor Fletcher's focus is to develop a more competitive Kentucky. He plans on doing this by increasing the spotlight on areas like education enhancement (P-12 salaries), the Right to Work initiative, and Kentucky's prevailing wage.
- There are three projects in the top ten recommended by CPE. We support CPE's recommendations for University Advancement in the known areas of Informatics (35 million dollars), Health Programs (31 million dollars), and Founders Hall renovation (11 million dollars).
  If we are to be what our region requires us to be, we must have at least one new instructional facility and, ideally, all three. Some have suggested that we had our turn last year and we should take a pass this year. We politely reject this notion and will continue to seek support. CPE's own members reinforce that we are severely under built. NKU has not had a new instructional facility since 1998. It has been eight years.
  From the perspective of our region, we need both NKU's capital projects and Gateway's advanced manufacturing facility. We have made this clear to the Governor and our Caucus, and hope to have their support.

- CPE will meet at the METS Center, January 30, 2006.

A. Joint Finance and Policy Committee: (Reports were given by Regent Secretary Griffin)

1. **CINSAM – Excellence in the Sciences and Mathematics (Wells):**
   NKU takes great pride in providing the finest undergraduate education available anywhere by offering high quality graduate and professional programs established to address the needs of our region, conducting outstanding research and creative activity involving students whenever possible. NKU also takes pride in emphasizing the scholarship of discovery, teaching, application and engagement, as well as, encouraging the community to address challenges that confront our region and beyond.
   Today's focus is on Excellence in the Sciences and Mathematics due to the following:
   - Department of Biological Sciences, Dr. Debra Pearce, Chair
   - Department of Chemistry, Dr. Diana McGill, Chair
   - Department of Physics and Geology, Dr. Chuck Hawkins, Chair
   - Department of Mathematics, Dr. Kirsten Fleming, Chair
   - Center for Integrative Natural Science and Mathematics, Dr. Dale Elifrits, Director
   - In the College of Arts and Sciences, Dr. Phil Schmidt, Interim Dean
   - Collaboration with College of Education, Dr. Elaine Jarchow, Dean

   Multidimensional excellence across the full breadth of the mission of the university:
   Teaching and learning, scholarship including grants, cutting edge research, publications, undergraduate research, and applied scholarship addressing issues of importance to the public, and Engagement with our community, particularly P-16 educational community, and economic development initiatives.

2. **Plus/ Minus Grade Proposal (Oliver):**
   The Faculty Senate approved, at its September, 2005, meeting, the proposal that plus and minus grades be available for final grade reporting, beginning in the Fall of 2007. The proposal was subsequently approved by the Provost and President then advanced to the Board of Regents for voting. The grades and their values are; A = 4.000, A- = 3.667, B+ = 3.333, B = 3.000, B- = 2.667, C+ = 2.333, C = 2.000, C- = 1.667, D+ = 1.333, D = 1.000, F = 0.000.
   The advantages of the new grading system will include:
   - More precise measurement and reporting of grades;
   - The range given for letter grades will narrow for more equitable assignment of letter grades.
   - Employers, graduate schools, and professional schools will be able to better evaluate NKU students.
   - The current trend in higher education grading is to have plus and minus grades made available to instructors.
   - Increase motivational cause for students to perform academically.

   In summary, the availability of plus and minus grades will enhance learning, bring NKU in line with its benchmarks, and provide students with more accurate evaluation of their academic work without any additional expense.

3. **Facilities Update (Ramey, Baker):**
   Vice President Ramey with assistance from Mike Baker, the Executive Director of the NKU Foundation, provided a facilities update. Projects discussed were the Lake and Amphitheater project, the Student Union, the Bank of Kentucky Center and the US 27 Development. Vice President Ramey also discussed Capital Priorities for 2006-2008.
   Vice President Ramey commented that the lake and amphitheatre project will be fully completed in the Spring of 2006. The construction of the Student Union and the Bank of Kentucky Center is expected to occur in early Spring with an anticipated completion date around Early 2008.
   As part of Capital Priorities for 2006-2008, Vice President Ramey stated, "While the Bank of Kentucky Center and Student Union will be wonderful additions to the campus, we are still under built and additional classrooms and office space are desperately needed to meet the needs of our students and the community."
   Mike Baker, the Executive Director of the NKU Foundation, was able to provide the Board with information on the US 27 Development. They are currently in the final negotiations with a developer and anticipate moving forward rapidly. They are working closely with the University to assure this project connects seamlessly with the Bank of Kentucky Center. The current development plan includes a hotel, office and retail space in Phase A. Phases B and C will include Additional Office Space that will continue to expand University partnerships with area business.

B. Presidential Reports:

1. **Facilities Management (Vice President Ramey):**
   - **a. Lake Development**
     There were no further comments on the report as submitted.
   - **b. Campus Wayfinding**
     There were no further comments on the report as submitted.
   - **c. Performance Contracting/Energy Audit**
     There were no further comments on the report as submitted.
   - **d. New Student Union**
     There were no further comments on the report as submitted.
   - **e. Digital Classroom/ Planetarium Project**
     There were no further comments on the report as submitted.
   - **f. Greenhouse**
     There were no further comments on the report as submitted.
   - **g. Bank of Kentucky Center**
     There were no further comments on the report as submitted.

2. **Fundraising Report (Vice President St. Amand)**
   Summary of fundraising resources committed from July 1, 2005 through November 30, 2005, totaling $1,043,290. There were no further comments on the report as submitted.

3. **Research/Grants/Contracts**
   The report summarized the successful proposals with the amount of award for each proposal for NKU faculty and staff from October 20, 2005 through December 15, 2005. There were no further comments on the report as submitted.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Zapp and seconded by Regent Kremer to approve the following Presidential Recommendations as listed; C 1-8. **Motion carried.**

1. **Academic Affairs Personnel Actions**
   a. **Faculty Appointments:**
   Pamela Luken, Advisor/Lecturer (non-tenure track renewable) in the College of Business Advising Center, effective November 1, 2005

2. **Non-Academic Personnel Actions (between October 13, 2005 and December 7, 2005)**
   The following categories of non-academic personnel actions, which occurred between October 13, 2005 and December 7, 2005, were approved: Activations; Temporary/ Contract to Regular; Transfers; Departures, and Retirees.

3. **Amendment to *Faculty Policies and Procedures Handbook***
   The Board of Regents has approved the revision of Appendix D, Regent Professor Program in the *Faculty Policies and Procedures Handbook.*

4. **New Program – Master of Arts in Communication**
5. **New Program – Master of Science in Executive Leadership and Organizational Change**
6. **Name Change – Department of Technology**
   The name of the Department of Technology will now be known as the Department of Construction Management and Organizational Leadership.

7. **Name Changes – Department of Elementary, Middle, and Secondary Programs; and Department of Educational Specialties**
   The name of the Department of Elementary, Middle, and Secondary Programs will now be known as the Department of Teacher Education and Leadership. Also the name of the Department of Educational Specialties will now be known as the Department of Kinesiology, Health, and Educational Foundations.

8. **Acceptance of Contributions received by the NKU Foundation, during the period of October 15, 2005 through November 30, 2005**
   The Board of Regents officially hereby accepts contributions totaling $122,664, received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period of October 15, 2005 through November 30, 2005.

Discussion Agenda Item: A motion was made by Regent Perry and seconded by Regent Butler to approve the following Presidential Recommendations as listed; C-9. **Motion carried.**

9. **Plus/Minus Grade Proposal**
   The Board of Regents officially hereby accepts the Plus and Minus grade scale as listed in the Board of Regents materials; C-9.

Dr. Votruba gave his appreciation to Dr. Oliver for the time he has taken to help everyone understand the advantages of the plus and minus grading scale. He also noted, “What students should expect and what the public should expect is, the most precise evaluation of student performance as possible. We

believe that the pluses and minuses are going to add to that by allowing faculty members to make a finer distinction in terms of student performance."

Regent Perry commented on the plus and minus proposal by stating, "This proposal has been the primary focus of the Student Government and myself since roughly September of 2005. Deferment of voting on this issue, at this meeting in November, allowed valuable time for further exploration to develop more information and understanding of this proposal. When I was elected to my position, as Student Government President, I was under the terms, I will serve and represent the student body to the best of my ability and make informed decisions, keeping the best interest of my constituents in mind.

With my position comes great access to people and resources that the average student does not have a chance to access. Regarding this proposal, I have met with Dr. Oliver three times, Provost Wells two times, consulted several diverse groups of the student body, and sought information and advice from several members from the current Kentucky Board of Student Body Presidents. Having exhausted all of my available resources and after a bit of soul searching, recently, I have come to the conclusion that this proposed grading system will prove to be extremely advantageous, at the student level, student body level, as well as the University in its pursuit to progress positively through the competitive higher education system."

At 1:30 p.m. a motion was made by Regent Downing and seconded by Regent Griffin to adjourn. **Motion carried.**

_____ _____

Isaac D. Ferguson
Administrative Associate

Kimberly A. Luse
Executive Assistant to the President
and Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on January 11, 2006, and that such matters are still in force and effect.

_____

Joyce E. Griffin
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, March 21, 2006 - 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
The NKU *METS* Center - Wednesday, March 22, 2006 - 9:30 a.m.

9:30 a.m.

A. Joint Finance and Policy Committee:

1. Welcome to METS (Rob Snyder)
2. Tuition and Fees Update (Votruba, Moore)
3. Academic Excellence – Chase College of Law (St. Amand)
4. Update on Frankfort (Votruba)

Board Lunch
The NKU *METS* Center - Wednesday, March 22, 2006 - 11:30 a.m.

11:30 a.m.

- Board of Regents Luncheon (Café - NKU *METS*)

Board of Regents Meeting
The NKU *METS* Center - Wednesday, March 22, 2006 - 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of January 11, 2006, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:05 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Quarterly Financial Report (between July 1, 2005 and December 31, 2005) (Ramey)
3. Fundraising Report (between December 1, 2005 and February 20, 2006) (St. Amand)
4. Fundraising Report (between July 1, 2005 and February 20, 2006) (St. Amand)
5. Research/Grants/Contracts (between December 15, 2005 and February 15, 2006) (Beere)
6. 2006–07 Faculty Development Awards – Project Grants (Wells)
7. 2006–07 Faculty Development Awards – Summer Fellowships (Wells)

1:30 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (between December 8, 2005 and February 23, 2006)
3. *Reappointment, Promotion, and Tenure
4. *Emeritus Status
5. *2006-07 Sabbatical Leave Recommendations
6. *Amendment to *Faculty Policies and Procedures Handbook* – (Phased Retirement Program)
7. *Amendment to the *NKU Governing and Administrative Regulations* – (Admission and Academic Policies Committee)
8. *Social Security Usage Policy
9. *Records Management Policy
10. *Records Retention / Data Recovery Guideline
11. *Union Light, Heat and Power Easement
12. *Demolition of 209 Johns Hill Road
13. *Naming Rights for University Facilities – Dorothy Westerman Natural Science Center, John W. Thieret Herbarium
14. *Naming Rights for University Facilities – Salmon P. Chase College of Law, Dan Meyer Faculty Conference Room

Over

C. Presidential Recommendations: (*Cont.*)

15. *Revision to the University Organizational Structure
16. *Administrative Personnel Action
17. *Authorization for External Audit Contract (Extension Request)
18. Tuition and Fees

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Tuesday, March 21, 2006).

D. Executive Session (President Votruba)

E. Other: (Calendar Events) – Please call if you have questions about any of the following events.

i. Regular Board of Regents Meeting Schedule for 2006:

March 22 May 3 July 12 September 6 November 8

ii. Council on Postsecondary Education Meeting Schedule for 2006:

May 21 (Study Session) May 22
July 10 August 14 (CPE Retreat)
September 17 September 17-18 (Governor's Conference on Trusteeship)
November 6

iii. Upcoming Activities:

- April 27, 2006, 6:30 p.m. – Lincoln Awards Banquet (Freedom Center, Cincinnati, OH)
- May 5, 2006, 7:00 p.m. – Spring Commencement Ceremonies (US Bank Arena, Cincinnati, OH)
- May 13, 2006, 10:00 a.m. – Chase Law School Spring Commencement Ceremonies (Regents Hall, Highland Heights, KY)

(Regents - Please respond by calling the President=s Office at 572-5123 to confirm your attendance at the above events)

Board of Regents Meeting
Northern Kentucky University
***NKU METS* Center**
March 22, 2006

The regular meeting of the Board of Regents was called to order at 1:10 p.m. on Wednesday, March 22, 2006, by Chair Brown.

Invocation was read by Nancy Barone Kremer.

Roll Call:, Chuck Brown, Martin C. Butler, Frank K. Downing, Joyce E. Griffin, Nancy A. Barone Kremer, Denise H. McClelland, Carrie A. McCoy, Anna Dale Pyles, Robert W. Zapp
(Excused: Beverly J. Beck, Jennifer C. Perry)

Others Present: James C. Votruba, Kimberly A. Luse, Sara Sidebottom, Ken Ramey, Gail Wells, Mimi Assanuvat, Isaac Ferguson, Annie Buechel, Chris Cole, Kim Baker, Rick VanSant – *Kentucky Post*, Bridgette Pregliasco, Don Gorbandt, Joel Robinson, Russ Kerdolff, Pat Moynahan, Rick Meyers, Mike Carrell, Mary Ryan, Phil Horney – *METS Audio Technician*, Elaine Jarchow, Kathy Bennett, Harold Todd, Margaret Anderson, Mark Shanley, Sue Moore, Carole Beere, Gerry St. Amand, Kate Weitkamp, Karen Gutierrez – *Enquirer*, Dennis Weatherby

A motion was made by Regent Downing and seconded by Regent McCoy to approve the minutes of the January 11, 2006, Board of Regents meeting. **Motion carried.**

Presidential Comments:

- Recommendation to increase tuition to 9.66%
    - Makes good on commitment to single digit tuition increase, allow us to remain competitive, invest in key areas for the University's development, and keep NKU afford able;
- The scheduled increase for Room and Board is in line with the Urban CPI.
- Frankfort
    - Joe Wind reports that the House and Senate recommendations both reflect strong support for NKU and the region.

      Senate Budget as of 03/22/2006 includes:
        - Informatics - 35.5 million
        - Gateway - 28 million
        - Student Union - 17.4 million
        - Connector Road - 3 million
        - *Math Center - 10 million
            - "This center is a remarkable accomplishment, I think, on behalf of our faculty and staff who have been working so hard on it. It is one of the first, if not the first, undertaking that is state wide in nature that the University has assumed responsibility for. And focuses on an area of state progress that, I think, is going to be very important to our economic competitiveness as we look to the future. That is improving mathematic proficiency in schools across the state."
        - Regional Stewardship – 4 million
            - "The Senate Budget as well as the House Budget also included the Regional Stewardship Trust Fund, the money that is a public resource stream to support the work the University does in areas like

economic development, enhancing P-12 education, and supporting local government decision making. This really reflects the non-instructional role of the University in the life of the community and NKU is proud to have had a small, but significant, hand in the development of the Regional Stewardship Trust Fund."

- Challenges Remaining
  - Founders Hall "Old Science Center" Renovation
  - Garage Moved from Restricted Funds to Agency Bonds Funding
  - New Residence Halls
- Pleased with our Caucus support and the support of the leadership in both the House and Senate.
- Civil rights Hall of Fame
  - NKU is going to be the home of one section of the Hall of Fame for this next twelve month period.
- Chase College of Law
  - Extraordinary Progress
  - Strong leadership from Dean St. Amand
  - Strong Performance
  - Strong Funding Base
- Math Center (Dr. Wells)
  - *Dr. Wells stated, "I do believe that it [the Mathematics Center] is very significant in this institutions [NKU's] development and also for our Commonwealth. The Mathematics Center was established through a bill passed by the Legislator, which mandated the creation of a Mathematics Center to be hosted at one of the public universities.

    The CPE was given the responsibility of creating a competitive process that would identify the host institution for this center. They [CPE] put out a request for proposals and NKU immediately knew this was a perfect match for our capacity and our interest."

    It was a perfect match because of NKU's commitment:
    - to public engagement with emphasis on P-12. Its importance can be found in other areas; economic competitiveness, quality of life, etc.
    - to faculty involvement in changing their reappointment, promotion and tenure standards. As well as, their incentives and rewards structure to be able to reward this type of work.
    - to science and math education. NKU has long identified the need to really address the science and mathematics preparation
    - to providing State leadership for projects with mathematics education.

    "This is significant because it is the first center, I think, to be at a university that has State wide responsibilities. It is certainly the first one at NKU. I do believe that NKU's excellence in these areas and our terrific relationships with mathematicians, mathematics educators, and other institutions in the

state have helped us win the opportunity to host the Center." The focus will be on teacher development, curriculum, student learning, and applied research.

- Regent Brown commented, "Provost Wells, on behalf of the Board of Regents, I personally would like to thank yourself and the faculty members at NKU. It speaks well of the University to be able to be given this kind of accolade."

A. <u>Joint Finance and Policy Committee:</u> (Reports were given by Regent Secretary Griffin)

1. *NKU METS* Center:

Rob Snyder welcomed the NKU Board of Regents and guests to the *NKU METS* Center. He reported of the METS Center's dramatic increase in business over the past three years. Room rental contracts have increased from 165 in 2003-04, to 289 in 2005-06, bringing gross revenue doubling during that time span. Food and beverage services mirrored the increase in room rental contracts, almost perfectly.

2. Tuition and Fees:

Dr. Sue Hodges Moore, Vice President for Planning, Policy, and Budget, presented the recommended increases for tuition, fees, housing and dining rates for the 2006-07 academic year. The recommended increase for undergraduate student tuition is 9.66%, which is a $20.00 per credit hour increase over last year's rates. This increase is lower than the 10.8% allowed by the Council on Postsecondary Education. To the best of our knowledge, this is the only single digit increase in the state. Graduate and Law student rates were set on local and regional market analyst, to stay competitive. The proposed housing and dining rate increases average 3.2% and 3.5% respectively.

3. Chase College of Law Update:

Mr. Gerard St. Amand provided an overview of the Chase College of Law program and its success. Over the past seven years total enrollment has increased 63%. 1100 potential students have submitted applications for attendance during next falls semester. This is an increase of almost 100% over the 2001-2002 academic year. Over the past 10 bar examinations, Chase College of Law has exceeded the state average for both Kentucky and Ohio for that period. The College is in the process of celebrating a transition in leadership, as Dean Gerard St. Amand assumes the role of the Vice President of Advancement; Mr. Richard Bales assumes the position of Interim Dean to Chase College of Law.

B. <u>Presidential Reports</u>:

1. Facilities Management (Vice President Ramey):

a. Lake Development:

There were no further comments on the report as submitted.

b. Campus Wayfinding:

There were no further comments on the report as submitted.

c. Performance Contracting/Energy Audit:

There were no further comments on the report as submitted.

d. New Student Union:

There were no further comments on the report as submitted.

e. Digital Classroom/ Planetarium Project:
There were no further comments on the report as submitted.

f. Greenhouse:
There were no further comments on the report as submitted.

g. Bank of Kentucky Center:
There were no further comments on the report as submitted.

2. **Quarterly Financial Report (July 1, 2005 – September 30, 2005) (Vice President Ramey):** Summary of the Quarterly Financial Report depicts the financial activity of the University as of December 31, 2005.
3. **Fundraising Report (Vice President St. Amand):** Summary of fundraising resources committed from July 1, 2005 through February 20, 2006, totaling $1,698,404. There were no further comments on the report as submitted.
4. **Fundraising Report (Vice President St. Amand):** Summary of fundraising resources committed from December1, 2005 through February 24, 2006, totaling $471,329.60. There were no further comments on the report as submitted.
5. **Research/Grants/Contracts:** The report summarized the successful proposals with the amount of award for each proposal for NKU faculty and staff from December 15, 2005 through February 23, 2006. There were no further comments on the report as submitted.
6. **2006-07 Faculty Development Awards – Project Grants:** Grants have been awarded to the faculty members: Brandy Andrew/Nursing and Health Professions; Andrea Cornuelle/Nursing and Health Professions; Linda Dolive/Political Science; Diane Gronefeld/Nursing; Mark Hardy/Theatre; Eric Jack/History and Geography; Robert Kempton/Chemistry; Trina Koscielicki/ Nursing and Health Professions; Boni Li/ Sociology, Anthropology, and Philosophy; Andrew Long/ Mathematics; Kevin Muente/Art; Stefan Paula/ Chemistry; Robert Russ/Accountancy; Linda Sheffield/Teacher Education and School Partnerships; Pete Theuri/ Accountancy; E. David Thompson/Biological Sciences; Judy Voelker/ Sociology, Anthropology, and Philosophy. There were no further comments on the report as submitted.
7. **2006-07 Faculty Development Awards – Summer Fellowship:** Summer Fellowships were awarded to the faculty members: Brandy Andrew/Nursing and Health Professions; Adele Dean (Joint with Frerick)/ Nursing and Health Professions; Linda Dolive/ Political Science; Sharmanthie Fernando/Physics and Geology; Steven Finke/Art; Kathy Fogel/Economics and Finance; Judi Frerick(Joint with Dean)/Nursing and Health Professions; Linda Marquis/ Accountancy; Catherine Neal/Accountancy; Bianca Pranther-Jones/ Kinesiology, Health, and Educational Foundations; Peter Theuri/Accountancy; James Thomas/Psychology; Judy Voelker/ Sociology, Anthropology, and Philosophy; Stephen Walker/Kinesiology, Health, and Educational Foundations; Stephen Yungbluth/ Communication. There were no further comments on the report as submitted.

C. <u>Presidential Recommendations</u>:
<u>Consent Agenda Items:</u> A motion was made by Regent Downing and seconded by Regent McClelland to approve the following Presidential Recommendations as listed; C 1-17. **Motion carried.**

1. **Academic Affairs Personnel Actions**
   a. **Faculty Appointments:**
   John M. Bickers, Assistant Professor of Law, Chase College of Law, effective August 14,2006; Mary Chesnut, Assistant Professor and Coordinator of Information Literacy in Research and Instructional Services, W. Frank Steely Library, effective November 1, 2005; Dhanuja Kasturiratna, Assistant Professor, Department of Mathematics, effective August 14, 2006;
   Sheri Myers, Lecturer (non-tenure track renewable), Systems Division, W. Frank Steely Library, effective February 1, 2006; Beth Noblitt, Assistant Professor, Department of Mathematics, effective August 14, 2006; Vincent Owhoso, Associate Professor with Tenure, Department of Accountancy, effective January 3, 2006; Julie B. Raines, Assistant Professor, Department of Political Science and Criminal Justice, effective August 14, 2006; Robyn Rennie, Assistant Professor, Department of Counseling, Human Services, and Social Work, effective February 1, 2006.
   b. **Resignations:**
   Colleen Kennedy**,** Assistant Professor**,** Research and Instructional Services Librarian, W. Frank Steely Library, effective June 30, 2006;
   Sheri Myers**,** Assistant Professor and Interlibrary Loan Librarian, Technical Services Division, W. Frank Steely Library, effective January 31, 2006.
   c. **Leaves Paid/Unpaid:**
   Caryl A. Yzenbaard, Professor, Chase College of Law, unpaid leave without benefits, spring 2007.
2. **Non-Academic Personnel Actions (between December 8, 2006 and Feb 7, 2005):**
   The following categories of non-academic personnel actions, which occurred between December 8, 2005 and February 23, 2006, were approved: Activations; Temporary/ Contract to Regular; Transfers; Promotions; Departures, and Retirees.
3. **Reappointment, Promotion, and Tenure:**
   The following recommendations on promotion, tenure, continued probation, continued probation with conditions to be removed, terminal reappointment and non-reappointment.
4. **Emeritus Status:**
   Sally Jacobsen, Professor, Department of Literature and Language; Ann Luggen, Professor, Department of Nursing and Health Professions; Thomas Rambo, Professor, Department of Biological Sciences.
5. **2006-07 Sabbatical Leave Recommendations:**
   Mark Bardgett, Psychology; Roger Billings, Chase College of Law; Stephen Boyd, Communication; Denise Dallmer, Teacher Education and School Leadership; Steven Finke, Art; Steven Gores, Literature and Language; Eric Jackson, History and Geography; Lisa Jameson, Art; Debra Kasel, Nursing and Health Professions; Alar Lipping, Kinesiology, Health, and Educational Foundations; Andrew Long, Mathematics; Louise M. Niemer, Nursing and Health Professions; Kenneth Rhee, Management, Peter Theuri, Accountancy; Barbara Thiel, Sociology, Anthropology, and Philosophy; Amy Thistlethwaite, Political Science; Stephen Walker, Kinesiology, Health, and Educational Foundations; Kristen R. Young, Sociology, Anthropology, and Philosophy.

6. **Amendment to *Faculty Policies and Procedures Handbook* - (Phased Retirement Program):**
   The Phased Retirement Program is meant to provide tenured Northern Kentucky University faculty with an optional voluntary retirement program for a phased approach to retirement.
7. **Amendment to the *NKU Governing and Administrative Regulations* — (Admission and Academic Policies - Committee):**
   Develop, review, and recommend policies on undergraduate as admissions to Northern Kentucky University, its colleges, and its programs for undergraduate students, including but not limited to academic probation, suspension, averages, transfer credit, and late withdrawal.
8. **Social Security Usage Policy:**
   It is Northern Kentucky University's intent to protect the Social Security Numbers of its students, staff, and faculty, to minimize the growing risk of identity theft.
9. **Records Management Policy:**
   Northern Kentucky University is committed to obeying all state laws governing the retention and/or destruction of University records.
10. **Records Retention / Data Recovery Guideline:**
    Due to the increasing needs for better electronic data management and help to proliferate information concerning electronic data archiving process, the office of Information Technology has developed *The Records Retention / Data Recovery Guideline*.
11. **Union Light, Heat and Power Easement:**
    To facilitate construction of the Bank of Kentucky Center, Union Light, Heat and Power Company's 4KVA transmission line that crosses Nunn Drive (on wooden poles) will need to be relocated. This relocation is intended to be temporary, as we hope to have it placed underground. If an underground instillation is determined to be fill area once it is complete. This transmission line, which also carries a local distribution services, crosses through the valley that is scheduled to be filled with the excess waste material (soil) during grading operations for the Bank of Kentucky Center. The routes of the existing and new easements are shown on the attached drawings.
12. **Demolition of 209 Johns Hill Road:**
    The 11.3 acre parcel located at 209 Johns Hill Road was purchased in June of 2002. This parcel extends from Johns Hill Road to Nunn Drive, and is the site for the Bank of Kentucky Center. This parcel includes a three bedroom single house with garage; a detached two-car garage/shop structure; a second small garage; a lean-to type shed; and, a second wooden shed structure.
    Some of these structures are in poor condition; however, all must be demolished to allow for the grading work that will occur for construction of the Bank of Kentucky Center.
13. **Naming Rights for University Facilities — Dorothy Westerman Natural Science Center, John W. Thieret Herbarium:**
    The University's Naming Committee has endorsed the naming right mentioned above. The naming is in recognition of John W. Thieret, one of Northern Kentucky University's "Founders" in the Department of Biological Sciences who died recently.
    Dr. John Thieret arrived at NKU in 1973 and founded the herbarium. His thousands of plant specimens will be an even more lasting legacy, as it is not uncommon for well kept herbarium specimens to last for 100 years or more. Such long term and unique contributions to the botanical community and to the prestige of NKU warrants this special recognition.

14. **Naming Rights for University Facilities — Salmon P. Chase College of Law, Dan Meyer Faculty Conference Room:**
    The University's Naming Committee has endorsed the naming right mentioned above. The naming is in recognition of Mr. Dan Meyer, Chase Class of 1967.
    Dan Meyer made a $500K gift in trust to the law school to support faculty development. Naming the recently constructed Faculty Conference Room on the fifth floor of Nunn Hall in Dan Meyer's honor is most appropriate.
15. **Revision to the University Organizational Structure:**
    That purposed organizational structure, as outlined in the Board Materials, reflects all NKU Administrative changes from July 13, 2005, to March 8, 2006. The reporting lines listed are for Director level and above, but include; individuals who directly report to the President; Department Chairs under Academic Affairs; Coaching area under Intercollegiate Athletics; and the Manager of the Bookstore/Follet and Food Services/Chartwells, which are separate entities from the University.
16. **Administrative Personnel Action:**
    Gerard A. St. Amand assumes full duties associated with the Vice President for University Advancement position, effective March 1, 2006; with a resignation date of March 25, 2006, as Dean of Chase College of Law.
17. **Authorization for External Audit Contract (Extension Request):**
    The audit contract with Crowe Chizek expires at the end of April. Due to circumstances related to the implementation of the new SAP system it was determined that it was in the best interests of the University to explore the possibility of extending the provisions and pricing of the current contract. Based on a meeting with Russ Kerdolff, Larry Meyer, Keith Stewart, Mike Baker and Ken Ramey it was determined that we should extend the current Crowe Chizek contract by an additional year.

Discussion Agenda Item: A motion was made by Regent Kremer and seconded by Regent Zapp to approve the following Presidential Recommendations as listed; C-9. **Motion carried.**

18. **Tuition and Fees**
    The Board of Regents officially hereby accepts the Tuition and Fees as listed in the Board of Regents materials; C-18.

D. Executive Session:

1. A motion was made by Regent McCoy and seconded by Regent McClelland to enter into Executive Session. **Motion carried.**
2. A motion was made by Regent Downing and seconded by Regent McCoy to close the Executive Session. **Motion carried.**

Presidential Recommendations:
At 1:30 p.m. a motion was made by Regent McCoy and seconded by Regent Griffin to adjourn. **Motion carried.**

Isaac D. Ferguson
Administrative Associate

Kimberly A. Luse
Executive Assistant to the President
and Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on March 22, 2006, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, May 2, 2006 - 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, University Ball Room - Wednesday, May 3, 2006 - 9:30 a.m.

9:30 a.m.

A. <u>Joint Finance and Policy Committee:</u>

1. Update on the 2006 General Assembly/ 2006-07 Operating Budget (Wind/ Moore)
2. Academic Excellence - The College of Education and Human Services (Wells/Jarchow)
3. Revised Faculty Evaluation Guidelines (Wells)

Board Lunch
NKU, University Center Game Room - Wednesday, May 3, 2006 - 11:30 a.m.

11:30 a.m.

- Board of Regents Luncheon

Board of Regents Meeting
NKU, University Ball Room - Wednesday, May 3, 2006 - 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Recognition of Student Award Recipients
- Resolutions for Outgoing Regents Beck, McCoy, and Perry
- Oath of Office for Regent Ruth
- Approval of March 22, 2006, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:05 p.m.

B. <u>Presidential Reports:</u>

1. Facilities Management (Ramey)
2. Quarterly Financial Report (between July 1, 2005 and March 31, 2006) (Ramey)
3. Fundraising Report (between July 1, 2005 and April 11, 2006) (St. Amand)
4. Research/Grants/Contracts (between February 15, 2006 and April 15, 2005) (Beere)

1:30 p.m.

C. <u>Presidential Recommendations:</u>

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (between February 24, 2006 and April 14, 2006)
3. *First-Year Faculty recommended for Continued Probation
4. *Emeritus Status
5. *Acceptance of Contributions received by the NKU Foundation (between February 21, 2006 and April 11, 2006
6. *Schedule of Fees and Service Charges for Academic and Fiscal Year 2006-07
7. *Resolution Establishing and Approving the Fiscal Year 2006-07 Annual Budget for NKU
8. *Amendment to *Personnel Policies and Procedures Manual*– (Staff Regent Election Rules and Regulations)
9. *Amendment to *Personnel Policies and Procedures Manual*– (Employment)
10. *Alternative Dispute Resolution Center
11. Amendment to *Faculty Policies and Procedures Handbook* – (Reappointment, Promotion, and Tenure)
12. *Honorary Degree Candidate (Rev. Fred Shuttlesworth) conferred at the May 5, 2006 Commencement
13. Resolution in recognition of Governor Fletcher **(Added 05/03/06)**

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Tuesday, May 2, 2006).

D. <u>Executive Session:</u> (Added 05/03/06)
E. <u>President's contract</u>: (Added 05/03/06)
F. <u>Nominating Committee:</u> (Added 05/03/06)

(OVER)

G. Other: (Calendar Events) – Please call if you have questions about any of the following events.

a. Regular Board of Regents Meeting Schedule for 2006:
May 3 July 12 September 6 November 8

b. Council on Postsecondary Education Meeting Schedule for 2006:

May 21 (Study Session)	May 22
July 10	August 14 (CPE Retreat)
September 17	September 17-18 (Governor's Conference on Trusteeship)
November 6	

c. Upcoming Activities:

- May 5, 2006, 7:00 p.m. – Spring Commencement Ceremonies (US Bank Arena, Cincinnati, OH)
- May 13, 2006, 10:00 a.m. – Chase Law School Spring Commencement Ceremonies (Regents Hall, Highland Heights, KY)
- June 12, 2006 – President's Golf Outing (Triple Crown Country Club)
- August 18, 2006, 9:30 a.m. – Fall Convocation (Greaves Concert Hall)
- August 28, 2006, 8:00 a.m.-5:00p.m. – Regent Retreat (Metropolitan Club)

(Regents - Please respond by calling the President=s Office at 572-5123 to confirm your attendance at the above events)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
May 03, 2006

The regular meeting of the Board of Regents was called to order at 1:08 p.m. on Wednesday, May 3, 2006, by Chair Brown.

Invocation was read by Beverly Beck.

Roll Call: Chuck Brown, Martin C. Butler, Beverly J. Beck, Joyce E. Griffin, Nancy A. Barone Kremer, Denise H. McClelland, Carrie A. McCoy, Anna Dale Pyles, Robert W. Zapp
(Excused: Frank K. Downing).

Others Present: James C. Votruba, Kimberly A. Luse, Sara Sidebottom, Gerry St. Amand, Gail Wells, Ken Ramey, Mimi Assanuvat, Isaac Ferguson, Rick Meyers, Rick VanSant – *Kentucky Post*, Mike Baker, Bill Oliver, Angie Schaffer, Jennifer Mason, Kathy Stewart, Dione Smith, Russ Kerdolff, Gary Pratt, Steve Tribble, Rick Bales, Karen Gutierrez – *Enquirer*, Joe Wind, Dennis Weatherby, Bill Farro, Pat Moynahan, Joel Robinson, Joe Ruh, Mary Ryan, Sue Hodges Moore, Mark Shanley, Mike Carrell, Steve Weiss, Elaine Jarchow, Lori Southwood, Kevin Corcoran

Chair Brown recognized the student award recipients for their outstanding achievements. The winners were Regents' Award – Anthony DiBello, Presidents Award – Dione Smith, Paul J. Sipes Award – Shannon McNay, University Service Award – Anna Hogan, Faculty Senate Award – Heather Gulley, Excelsior Award – Kevin Douglas.

Resolutions were given to the outgoing Regents (Beverly Beck, Carrie McCoy, and Jennifer Perry) for their generous contributions to the Board of Regents, Northern Kentucky University, and the State of Kentucky.

The oath of office for the newly assuming Regent, Josh M. Ruth, was performed. Regent Ruth swore to uphold all stipulations of the oath and faithfully execute, to the best of his ability, the office of Regent of Northern Kentucky University according to law.

A motion was made by Regent Pyles and seconded by Regent McClelland to approve the minutes of the March 22, 2006, Board of Regents meeting. **Motion carried.**

Presidential Comments:

- Dr. Votruba highlights the student award winners, presented during the luncheon. "After nine years of service to the university, it seems like each year becomes more inspiring than the year before. It is one opportunity to remind us all about the important work that the University does."
- This year has many good accomplishments. There have been several major accomplishments this year. The legislative session was very productive for the University, in terms of operating budget, Regional Stewardship Trust fund, the Informatics Building, the parking garage, and the Student Union. We will offer a resolution later in the meeting to recognize the leadership of Governor Fletcher and the General Assembly. A thank you is given to Joe Wind for his hard work on behalf of this session.

- Vision 2015 rolled out a set of very ambitious goals for the next ten years. NKU was key in the Vision 2015 process and will continue to support progress toward the goals. It allows us to focus our resources, both human and financial in a way that will have a larger payoff for the entire region.
- Earlier today, the board reviewed the proposed 2006-07 annual budget. It is a strong budget that will support key campus priorities as outlined in our 2003 strategic planning process.
- This morning we had a chance to review the work of our College of Education and Human Services. Dean Jarchow has provided strong leadership in advancing the college to the next level of its development. She has also stepped in to provide strong leadership in the internationalization of our campus with new exchange programs with the United Arab Emirates.
- This morning the board also reviewed proposed changes in the faculty handbook that provide more precise definitions and evaluative criteria related to community engagement. Thanks to Senate President Bill Oliver and his colleagues for getting this done.
- This spring the University reaffirmed its commitment to freedom of expression and inquiry as a fundamental quality of this and all universities. Faculty Senate went on record on this matter as did hundreds of others, not only inside the University, but also throughout our community and beyond.
- As we point to our future, a recent development has been the preparation of a doctoral proposal in educational leadership which would have three tracks: higher education, P-12 administrative leadership, and P-12 instructional leadership. Rather than a research-focused degree, this education doctorate would focus on strengthening leadership and professional practice throughout the education continuum. The lead in this development has been, Bank of Kentucky Endowed Chair, Mark Wasicsko, who comes to this work with extensive knowledge of both our postsecondary and P-12 systems. We will come back to the board with more detailed information over the coming year.
- President Bush has been invited to NKU on May 19 to give a major policy address on American Economic Competitiveness. We are optimistic that he will accept this invitation. However, we will not know if he will be joining us until a later date.
- May 20th will be the groundbreaking for the Bank of Kentucky Center. We anticipate that this facility will be available for our 2008 commencement, assuming that the Indiana bats remain in Indiana!
- Speaking of commencement, this Friday evening we will graduate the largest class in the University's history — approx. 1,300.
- Rev. Fred Shuttlesworth will receive an honorary doctorate and deliver the graduation address. Rev. Shuttlesworth is considered among a small handful of leaders who has led the battle for civil rights in America. His life is inspiring at so many levels and demonstrates that one person can make a difference; if they decide to make a difference.
- Finally, the end of the academic year means not only saying goodbye and good luck to our graduates but also to some members of our faculty and staff. In this regard, we say goodbye to several members of our founding faculty, Tom and Elinor Rambo and Jerry Warner who are retiring and Paul Reichardt who is going on to become the chief academic officer at Muskingum College in Ohio. A great opportunity for Paul but a loss for NKU. So much of what NKU is today is reflected in the work of these colleagues. We wish them well and thank them for being part of our campus.

And we say thank you and farewell to Dr. Leon Boothe who served the University as President for thirteen years and now retires as professor of history. Leon, like Elinor, Tom, and Paul, dedicated his life to advancing the University. Our students and community are the beneficiaries. We wish them all well.

- A welcome was given to our newest Regent, Josh Ruth. The President, his office and the rest of the NKU community look forward to working with Regent Ruth over the next year.
- Regent Brown is now completing two terrific years of service as Chair of our Board of Regents. Our hope is that Chuck Brown will be reappointed by the Governors office. None the less, the opportunity to acknowledge his support of the University, of the Board, and of the administration needs to be taken. "Chuck has always had the right question, at the right time; the right perspective, at the right moment. It has a great benefit to this Board."

A. <u>Joint Finance and Policy Committee:</u> (Reports were given by Regent Secretary Griffin)

1. Update on the 2006 General Assembly/ 2006-07 Operating Budget

Joe Wind, Associate Executive Director Government and Community Relations, briefed the committee on the results of the 2006-07 Legislative Session, which included budget highlights for NKU and the Northern Kentucky region.

Dr. Sue Hodges Moore, Vice President for Planning, Policy and Budget, made a presentation on previous and current funding gap between NKU and its benchmark institutions. She offered some comparative information on CPE's request and final budget figure received by each of the institutions within Kentucky.

President Votruba presented highlights of the fiscal year 2006-07 annual operating budget.

2. Academic Excellence – The College of Education and Human Services

Dr. Elaine Jarchow, Dean of the College of Education and Human Services, gave a presentation on academic excellence in the College of Education and Human Services. Her presentation focused on the following items:

- The history of the college from its beginnings as a department in 1972 to its current status in 2006
- The enrollment trends in the college
- The number of degrees offered
- The overall accomplishments of the college
- The student test scores
- The accomplishments of students
- The accomplishments of faculty
- The public engagement partnerships
- The grants
- The unique programs, such as the Our Future Cohort, the Honors Teaching Fellows, and the online master's degree program
- The work of the centers
- The international activities
- The future plans of the college

3. **Evaluation for Reappointment, Promotion, Tenure and Performance Review**
   Dr. Gail Wells, Vice President for Academic Affairs and Provost, reported on the recommendation regarding a revision of the *Faculty Policies and Procedures Handbook*. The Faculty Senate recommended the replacement of Part One, Section IV of the Handbook. Work leading to this recommendation occurred over the last two years and involved the Professional Concerns Committee, the Faculty Senate and every academic department, unit and college on campus. All faculty members and academic administrators were invited to participate in the process.
   The revision aligns faculty evaluation with the full breadth of the University's mission and is based on the following premises.
   - Each academic unit is expected to serve the full breadth of the University's teaching, research, service and public engagement missions in a manner that is consistent with the unit's expertise and disciplinary focus.
   - To achieve this priority, all faculty need to be fully involved in their work, but not all faculty need to have the same goals and methods of contributing to the missions.
   - NKU recognizes and rewards a variety of faculty accomplishments, providing they are determined to be of high quality, appropriately documented, and a contribution to one or more of the categories of professional responsibility for faculty.

   The three major categories of professional responsibility are:
   - teaching effectiveness;
   - scholarship and creative activity; and
   - service to the community, institution, and profession and discipline.

   The University's strong commitment to public engagement may be reflected in any or all of these categories.

B. <u>Presidential Reports</u>:

1. **Facilities Management (Vice President Ramey):**
   "We continue to make good progress on all the projects."

   a. Lake Development:
   There were no further comments on the report as submitted.

   b. Campus Wayfinding:
   There were no further comments on the report as submitted.

   c. Performance Contracting/Energy Audit:
   There were no further comments on the report as submitted.

   d. New Student Union:
   There were no further comments on the report as submitted.

   e. Digital Classroom/ Planetarium Project:
   There were no further comments on the report as submitted.

   f. Greenhouse:
   There were no further comments on the report as submitted.

   g. Bank of Kentucky Center:
   There were no further comments on the report as submitted.

2. **Quarterly Financial Report (July 1, 2005 – March 31, 2006) (Vice President Ramey):**
   Summary of the Quarterly Financial Report depicts the financial activity of the University as of March 31, 2006. "All revenues and expenditures at this point are consistent with the budget and very steady consistent with prior years."
3. **Fundraising Report (Vice President St. Amand):**
   Summary of fundraising resources committed from July 1, 2005 through April 11, 2006, totaling $2,072,640. There were no further comments on the report as submitted.
4. **Research/Grants/Contracts:**
   The report summarized the successful proposals with the amount of award for each proposal for NKU faculty and staff from February 16, 2006 through April 15, 2006. There were no further comments on the report as submitted.

   Gail Wells comments, "There is one thing I would like to highlight. Sometimes we wonder how three of the disciplines can be involved in community outreach… but we are getting there. And sometimes people in computer science think that there is not a good role for them to play, but there is a wonderful example of a grant. $250,000 from the National Science Foundation, going to the Computer Science Department; it will address the under representation of Hispanics in the technology field. This grant will bring in Hispanic families so that mother and father and their children will work together to build a computer that will then actually be usable and they can take home. Not only does it build confidence and trust that this is a good field for them and their children. This is a wonderful story of using faculty member's expertise… in utilization in outreach project. $250,000 from the National Science Foundation is really an accomplishment."

C. <u>Presidential Recommendations</u>:

<u>Consent Agenda Items:</u> A motion was made by Regent Zapp and seconded by Regent Griffin to approve the following Presidential Recommendations as listed; C 1-10, C-12 & C-13. **Motion carried.**

<u>Discussion Agenda Item:</u> A motion was made by Regent Ruth and seconded by Regent McCoy to approve the following Presidential Recommendations as listed; C-11. **Motion carried.**

1. **Academic Affairs Personnel Actions**
   a. **Administrative Appointments:**
      Kevin Corcoran, Dean, College of Arts and Sciences and Professor with tenure, Department of Psychology, effective June 1, 2006; Barbara McFarland, Assistant Director of Academic Support, and Assistant Professor of Law (non-tenure track renewable) effective July 1, 2006; Douglas Perry, Dean, College of Informatics and Professor with Tenure, Department of Computer Science, effective July 1, 2006; Margaret Carnes Stevens, Director of Service Learning, Scripps Howard Center for Civic Engagement, effective March 31, 2006.
   b. **Faculty Appointments:** (Effective August 14, 2006)
      Rebecca Bailey, Assistant Professor, Department of History and Geography; Brooke E. Buckley, Assistant Professor, Department of Mathematics; Kathleen Fuegen, Assistant Professor, Department of Psychology; William J. Landon, Assistant Professor, Department of History and Geography; Bernard Lohr, Assistant Professor, Department of Biological Sciences; Sharon Vance, Assistant Professor, Department of History and Geography.
   c. **Retirements:**
      Jerry Warner, Professor, Department of Biological Sciences, effective May 13, 2006.

d. Resignations:
Paul Reichardt, Vice Provost and Professor of English, effective June 30, 2006.

e. Phased Resignations:
Rebecca S. Kelm, Associate Professor and Librarian, W. Frank Steely Library, participation for three fiscal years, effective July 1, 2006; Christine Stiegler, Professor, Department of Technology, participation for three academic years, effective August 14, 2006.

f. Leaves Paid/Unpaid:
Vicki Stieha, Director of First Year Programs, unpaid leave without benefits, effective July 1, 2006 through June 30, 2007.

2. Non-Academic Personnel Actions (between February 24, 2006 and April 14, 2006):
The following categories of non-academic personnel actions, which occurred between February 24, 2006 and April 14, 2006, were approved: Activations; Temporary/ Contract to Regular; Reassignments, Reclassifications, Title Changes; Departures; and Retirees.

3. First-Year Faculty Recommended for Continued Probation:
The recommendation of continued probation as on the report as submitted.

4. Emeritus Status:
Jerry Warner, Professor, Department of Biological Sciences

5. Acceptance of Contributions received by the NKU Foundation (between February 21, 2006 and April 11, 2006):
The Board of Regents officially hereby accepts contributions totaling $198,139.10, received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period of February 21, 2006 through April 11, 2006.

6. Schedule of Fees and Service Charges for Academic and Fiscal Year 2006-07:
The recommendation to authorize the Schedule of Fees and Service Charges for the Academic and Fiscal Year 2006-07 was submitted.

7. Resolution Establishing and Approving the Fiscal Year 2006-07 Annual Budget for NKU:
The Board approves the resolution establishing and approving the Fiscal Year 2006-07 Unrestricted Current Fund Annual Operating Budget for Northern Kentucky University.

8. Amendment to *Personal Policies and Procedures Manual* – Staff Regent Election Rules and Regulations:
The policy will address and integrate technology into our administrative process that will allow for the enhancement of online voting and a simple majority election process.

9. Amendment to *Personal Policies and Procedures Manual* – Employment:
The policy will allow for the use of online services and advertising broadening candidate pools; to bring more diversity to our workforce.

10. Alternative Dispute Resolution Center:
The Board provides approval of the proposal for an Alternative Dispute Resolution Center (ADR), as a joint project between Chase College of Law and the College of Business.

Dean Mike Carrell statement, "We believe that the Alternative Dispute Resolution Center (ADR) will be another very unique way for the University to provide public engagement to the community. We will provide effective peaceful alternative methods to resolve conflict; they might be employment conflicts, they might be consumer conflicts, family conflicts, or business conflicts. Using faculty of the university to provide mediation, negotiation, and arbitration services throughout the Center. When we first thought of it, several months ago, we were not aware of, but we have found out that there is an alliance of 13 other universities across the

country that provide similar services to their community. In the long run we may also provide, for a new area of concentration, in the M.B.A. program along this line, or joint program between the law school and the business school; this will be a joint program between the two. We have several faculty who are quite excited about getting started and we have had several faculty go through some mediation training. We have several faculty who have already done arbitration and negations work so they come ready to start. We hope to start the center this fall. President James Votruba asked, "Mike this is one of your academic areas of expertise, isn't it? Dean Mike Carrell response, "Yes it is. I spent most of my career as labor negotiator and HR director in Louisville; a few years ago."

11. **Amendment to *Faculty Policies and Procedures Handbook* – Reappointment, Promotion, Tenure and Performance:**
The revision aligns faculty evaluation with the full breadth of the University's mission and is based on the following premises. Each academic unit is expected to serve the full breadth of the University's teaching, research, service and public engagement missions in a manner that is consistent with the unit's expertise and disciplinary focus. To achieve this priority, all faculty need to be fully involved in their work, but not all faculty need to have the same goals and methods of contributing to the missions. NKU recognizes and rewards a variety of faculty accomplishments, providing they are determined to be of high quality, appropriately documented, and a contribution to one or more of the categories of professional responsibility for faculty.
12. **Honorary Degree Candidate – Rev. Fred Shuttlesworth:**
Approval was given for Reverend Fred Shuttlesworth, a life time advocate for civil rights, to receive an Honorary Doctor of Social Justice degree. The degree will be conferred at the May 5, 2006, commencement ceremonies at US Bank Arena in Cincinnati, Ohio.
13. **Resolution - Governor Ernie Fletcher, The Kentucky General Assembly, and the Council on Postsecondary Education:**
Dr. James Votruba read the resolution in its entirety and offered it to the Board of Regents for their approval.
A motion was made by Regent Butler and seconded by Regent Griffin to approve the resolution giving recognition to Governor Ernie Fletcher, The Kentucky General Assembly, and the Council on Postsecondary Education for their vision of a progressive Kentucky education system at all levels. **Motion carried.**

D. <u>Executive Session:</u>

1. A motion was made by Regent Butler and seconded by Regent McClelland to enter into Executive Session. **Motion carried.**
2. A motion was made by Regent Zapp and seconded by Regent Kremer to close the Executive Session. **Motion carried.**

E. <u>President's contract:</u>

Chair Brown opens the floor to the Regents for a motion to approve the finalization of the new contract of the President. This would be delegated to Regent Zapp, Regent Butler, and Regent Brown. The motion was made by Regent Kremer and seconded by Regent Pyles. **Motion carried.**

F. Nominating Committee:

Chair Brown recognizes a recommendation to have a nominating committee consisting of Regents Zapp, Griffin, and Downing. The motion was made by Regent McCoy and seconded by Regent Beck. **Motion carried.**

Dr. Votruba thanks the Board for their continued support of him and his work.

At 2:00 p.m. a motion was made by Regent Zapp and seconded by Regent Ruth to adjourn. **Motion carried.**

Isaac D. Ferguson
Senior Administrative Associate

Kimberly A. Luse
Executive Assistant to the President
and Secretary to the Board of Regents

I, Joyce E. Griffin, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on May 3, 2006, and that such matters are still in force and effect.

Joyce E. Griffin
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, July 11, 2006 - 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, University Ball Room - Wednesday, July 12, 2006 - 9:30 a.m.

9:30 a.m.

A. Joint Finance and Policy Committee:

1. Update on Criminal Background Checks (Sidebottom/Southwood)
2. Outreach and Graduate Studies – Briefing and Campus Walkabout* (Wells/Beere)

***Note: Comfortable shoes are recommended**

Board Lunch
NKU, President's Dinning Room - Wednesday, July 12, 2006 - 11:45 a.m.

11:45 a.m.

- Board of Regents Luncheon

Board of Regents Meeting
NKU, University Ball Room - Wednesday, July 12, 2006 - 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Oath of Office for Regent DeJaco and Weiss (Charles Brown – Reappointment)
- Approval of May 3, 2006, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:05 p.m.

B. Presidential Reports:

1. Facilities Management (Blake)
2. Fundraising Report (between July 1, 2005 and May 31, 2006) (St. Amand)
3. Research/Grants/Contracts (between April 16, 2006 and June 15, 2006) (Beere)

1:30 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (between April 15, 2006 and June 13, 2006)
3. *Temporary Appointments
4. *Name Change – Scripps Howard Center for Civic Engagement
5. *New Program – Bachelor of Arts in Media Informatics
6. *Acceptance of Contributions received by the NKU Foundation (between April 12, 2006 and May 31, 2006)
7. *Bad Debt Write Off – (Fiscal Year 2005-06)
8. *Administrative Personnel Action
9. *Lake Name Change
10. *Budget Revision

* Consent Agenda Items-Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Tuesday, July 11, 2006.

D. Executive Session:

E. Approval of the Executive Nominating Committee Recommendations

F. Other: (Calendar Events)

a. Regular Board of Regents Meeting Schedule:

2006:	September 6	**2007:**	January 10	July 11
	November 8		March 14	September 12
			May 2	November 14

(OVER)

b. Council on Postsecondary Education Meeting Schedule for 2006:

August 14 (CPE Retreat)	September 17
September 17-18 (Governor's Conference on Trusteeship)	November 6

c. Upcoming Activities:

- August 18, 2006, 9:30 a.m. – Fall Convocation (Greaves Concert Hall)
- August 28, 2006, 8:00 a.m. - 5:00p.m. – Regent Retreat (Metropolitan Club)
- September 17-18, 2006 – Governor's CPE Trusteeship (Airport Marriott)

(Regents - Please respond by calling the President=s Office at 572-5123 to confirm your attendance at the above events)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
July 12, 2006

The regular meeting of the Board of Regents was called to order at 1:02 p.m. on Wednesday, July 12, 2006, by Chair Brown.

Invocation was read by Regent Peggy DeJaco.

Roll Call: Chuck Brown, Martin C. Butler, Peggy A. DeJaco, Frank K. Downing, Joyce E. Griffin, Nancy A. Barone Kremer, Steven M. Weiss, Robert W. Zapp (Excused: Denise H. McClelland, Anna Dale Pyles, Josh M. Ruth).

Others Present: James C. Votruba, Kimberly A. Luse, Sara Sidebottom, Gerry St. Amand, Mimi Assanuvat, Isaac Ferguson, Annie Buechel, Chris Cole, Rick VanSant – *Kentucky Post*, Mike Baker, Kathy Stewart, Russ Kerdolff, Bill Farro, Pat Moynahan, Joel Robinson, Joe Ruh, Mark Shanley, Mike Carrell, Elaine Jarchow, Lori Southwood, Kevin Corcoran, Tim Griffin, Daryl Wright, Tony DeJaco, Toni McKee, Steven Meier, Larry Blake, Margaret Anderson, Carole Beere, Zane Harris, Bob Neumann, Bridgette Pregliasco

The oath of office for the newly assuming Regents, Peggy A. DeJaco and Steven M. Weiss, was performed. Regents DeJaco and Weiss swore to uphold all stipulations of the oath and faithfully execute, to the best of their ability, the office of Regent of Northern Kentucky University according to law.

A motion was made by Regent Zapp and seconded by Regent Griffin to approve the minutes of the May 3, 2006, Board of Regents meeting. **Motion carried.**

Presidential Comments:

- This morning the Joint Policy and Finance Committee had a first ever walkabout. It was an opportunity for the Board to visit the Scripps Howard Center, the Outreach Programs Office, and the Office of Graduate Studies. Thanks go out to Dr. Carole Beere and her staff for a terrific opportunity for the Board to hear from the staff in those three offices.
- I want to add my welcome to our two new Regents, Faculty Regent Steven Weiss, and Staff Regent Peggy DeJaco.
- I'm sometimes asked if I'm off during the summer! Let me tell you that things are hopping on campus! Land has been cleared for the new Bank of Kentucky Center, the new Student Union is coming out of the ground, the finishing touches are being completed on the new amphitheater, summer camps are in full swing, and between now and the beginning of fall classes, we'll have 600 new students involved in their two day orientation.
- Enrollments again look very strong.
  As of July 5:
  We're up nearly 3% in undergraduate students
  10.3% in graduate enrollments
  20% increase in students who are without stipulated academic admittance
- Total enrollments are up about 4.5% compared to this same date last year. It is important to note that these numbers can change over the next several weeks but this is particularly significant given that this is the second year of our two year increase in admissions standards. A second year

of substantial increase in regularly admitted students. Particularly significant given that the criterion for regular admission was again increased this year.

- Thanks were given to Joel Robinson and his staff for contributing to what looks like a very, very strong year.
- Concern:
  We currently have approximately 142 students on our residence hall waiting list.
  Vice President Shanley, Dean Kent Kelso, and Residence Hall Director Matt Brown are working to identify space for as many of these students as possible but it will be imperative the University expand residence hall space as soon as possible.
- Appreciation is given to everyone who played a role in the G.W. Bush visit. Joe Wind, Kim Luse, Kathy Stewart, Ken Ramey, Physical Plant, DPS, Chief Harold Todd, were named as people who played a particularly strong role in the President's visit. The White House was great to work with and is still in contact with our office, expressing their gratitude for shared success of the event.
- We welcome three new deans to our campus this fall:
  Kevin Corcoran is the new dean of the College of Arts & Sciences
    UC Chair of Psychology
    ACE Fellow
  Douglas Perry is the new dean of the College of Informatics
    Comes to us from Indiana University Purdue University at Indianapolis
    Associate Dean of the College of Informatics
  Dennis Honabach is our new dean of the Chase College of Law
    Comes to us from Washburn University where he was a very successful dean of their law school.
- Pat Moynahan has moved from his position as dean to become the University's new Vice Provost, replacing Paul Reichardt who is now the chief academic officer at Muskingham College in Ohio.
- Associate Provost for Library Services, Arne Almquist, has become the University's Chief Information Officer, replacing Gary Pratt. Dr. Almquist has been an outstanding leader of our library services, and with a strong background in information technology, is well suited to step into this new role. This is not unusual these days.
- I want to thank Gary Pratt for his service to NKU.
- Finally, this is Regent Brown's last meeting as chair of the board. On behalf of the entire university, I want to thank Chuck Brown for his outstanding leadership as chair. Chuck, your insights and perspectives as well as your capacity to ask the right questions at the right time have been an enormous benefit to our campus and to me personally. You have been a constant source of thoughtful advice and counsel to me and the values that you've brought to the position of chair are deeply appreciated. Thank you for your leadership over these past two years and throughout your service as a Regent.

  And we welcome Regent Marty Butler as the new Chair of the Board of Regents. Regent Butler assumes the chair at a time when the University is engaged in nearly $230M in new construction, updating our master plan, managing continued enrollment growth, and the challenge of aligning our programs and services with the needs of the region. He, like Regent Brown, brings professional expertise that will be much needed during the next two years. He also brings a deep

commitment to the region and to NKU and a willingness to go deep into complex policy decisions and ask probing questions that contribute to the quality of our choices. Marty is a graduate of the Chase College of Law and we welcome him as our new board chair.

Regent Brown's Comments, "It has been a privilege and an honor to serve as a Board Member and most recently as the Chair for the past two years. Thank you for that opportunity. Just as I think many people in this room have experienced what I have experienced; it has been great working with you, Dr. Votruba. And also key members of your staff.
I do look forward to continuing to serve on the board. I did have a meeting with the Governor and he indicated that he was going to reappoint me. I also wanted to thank members of the nominating committee. Regents Zapp, Downing, and Griffin have all done an excellent job at selecting/nominating the next slate of regents who will lead our Board.

A. <u>Joint Finance and Policy Committee:</u> (Reports were given by Regent Secretary Griffin)

1. Update on Criminal Background Checks

General Counsel, Sara Sidebottom and Human Resources Director, Lori Southwood advised the Board that effective July 12, 2006, Kentucky law now requires all initial hires at public institutions of postsecondary education to submit to state and national criminal history background checks.
Institutions <u>MAY</u> require a criminal history background check for contractors, employees of contractors or volunteers for the institution or a program of the institution.
The law allows the institution to deny employment, prohibit volunteering or restrict access to the campus if the results of the criminal history background check show that the applicant, contractor or their employee, volunteer or visitor has been convicted or pled guilty to or entered an Alford plea to a sex crime as specified in KRS.17.500 or a violent offense as specified in KRS 439.3401
Currently, NKU Human Resources is making every effort to streamline the pre-employment screening process and will work closely with hiring departments on an ongoing basis to ensure the success of this new initiative.

2. Outreach and Graduate Studies – Briefing and Campus Walkabout

We had an opportunity to see some of the things that the university is doing in the area of graduate studies and public engagement.

- We saw a 90-second slide show of various outreach initiatives, and heard how the university is aligning itself for public engagement.
- We then looked at the web site of the associate provost and the web site of graduate programs. We saw how visitors to the web site can learn about the activities and events that NKU offers for the community.
- We then left our meeting room and visited several offices.
- First, we visited the Scripps Howard Center where we met the people who work there and heard a bit about what they do. We also met the Director of the Kentucky Campus Compact, which is housed at NKU.
- From there, we visited a smart classroom and had a chance to experience what students experience with an online class. We then visited the offices of Educational Outreach and met the people who work there.

- We then walked over to the graduate office where we met the staff and heard about the work done by that office.
- At each office, we were given materials to take with us and read at our leisure.

B. <u>Presidential Reports</u>:

1. Facilities Management (Larry Blake, Assistant Vice President of Facilities Management):

a. Lake Development:
"The lake project is making progress. We are down on the punch list on the lake project. We are going to be reseeding most of the grassy areas in the fall. The seed just didn't take the first time around."
"They have placed about half the sod [around the amphitheater]. So, it is really starting to look like it's going to look as a finished product."

b. Campus Wayfinding:
"We are moving forward. We have done the sign out on [US] 27,", "You can now see the letters as they come up on the lighted sign."

c. Performance Contracting/Energy Audit:
"We are moving forward with that. We have most of the work done. We should be finishing up in October of this year."

d. New Student Union:
"The new Student Union, as the President said earlier, is coming out of the ground. We have had a number of incidents with the utilities out there that were just weren't where they were supposed to be. We have gotten most of those out of the way now and we are moving forward again."

e. Digital Classroom/ Planetarium Project:
"The project is going well. The dome is supposed to go in later this month and the project will be moving quickly from there. And it should be completed sometime this winter."

f. Greenhouse:
"There have been a couple of leaks. As you can expect, when you cut open the roof and punch holes in it, it would rain the day you do that. We have those under control at this point. We will be erecting the actual greenhouse on top of the building by the end of the month, which should stop most of the risk of having water come in.

g. Bank of Kentucky Center:
"The site clearing is moving along very, very quickly. We have the bid packages in Frankfort [Kentucky] for the mass excavation and the foundation. So, we are moving ahead with the project nicely."

2. Fundraising Report (Vice President St. Amand):
Summary of fundraising resources committed from July 1, 2005, through May 31, 2006, totaling $3,168,373. There were no further comments on the report as submitted.
"I have nothing additional to add."

3. Research/Grants/Contracts (Associate Provost Carole Beere):
The report summarized the successful proposals with the amount of award for each proposal for NKU faculty and staff from April 15, 2006 through June 15, 2006.
"The report that you have shows that up until June 15, 2006, we had 9.5 million dollars for the year. Your annual report is going to come out in about a month and you will see that in the last

two weeks of June, it looks like we jumped up because our actual total for the year is 12.5 million dollars. The reason why this is 3 million dollars shorter is because we are very conservative about not reporting things to you until we have the official letter from the funding agency." "You will see a total of 12.5 million dollars. We are extremely proud of that. The faculty and staff that wrote the proposals did a fabulous job with those. Last year we were just under 11 million, this year 12.5 million, and we just hope to keep it going up."

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Butler and seconded by Regent Kremer to approve the following Presidential Recommendations as listed; C 1-10. **Motion carried.**

1. Academic Affairs Personnel Actions

a. Administrative Appointments:

Arne Almquist, Interim Chief Information Officer and Associate Provost for Library Services, effective July 1, 2006 through June 30, 2007; Prince Brown, Director of Liberal Studies, Director of the Institute for Freedom Studies and Associate Professor in the Department of Sociology, Anthropology and Philosophy, effective July 1, 2006 through June 30, 2007; Paul Cooper, Interim Chair and Associate Professor in the Department of Construction Management and Organizational Leadership, effective July 1, 2006 through June 30, 2007; Dennis Honabach, Dean, Salmon P, Chase College of Law, effective August 1, 2006; Wm. Benjamin Martz, Chair and Professor in the Department of Information Systems, effective July 1, 2006; J. Patrick Moynahan, Vice Provost and Associate Professor, effective July 1, 2006; Gary Newell, Chair and Associate Professor in the Department of Computer Science effective July 1, 2006; Carol Ryan, Associate Dean for Undergraduate Programs College of Education and Human Services, effective July 1, 2006; Suzanne Soled, Chair and Associate Professor in the Department of Teacher Education and School Leadership, effective July 1, 2006.

b. Faculty Appointments: (Effective August 14, 2006)

Kevin Besnoy, Assistant Professor, Department of Teacher Education and School Leadership; Susan Cook, Lecturer (non-tenure track renewable) Department of Teacher Education and School Leadership; Maureen Doyle, Assistant Professor in the Department of Computer Science; Allison Godel, Lecturer (non-tenure track renewable) in the Honors Program; Janene Grodesky, Assistant Professor in the Department of Kinesiology, Health, and Educational Foundations; Eileen Weisenbach Keller, Assistant Professor in the Department of Management and Marketing; Brad King, Assistant Professor in the Department of Communication; Andrea Lambert, Assistant Professor in the Department of Communication; Isaac Larison, Assistant Professor, Department of Teacher Education and School Leadership; Jimmie Manning, Assistant Professor in the Department of Communication; Jacqueline McNally, Assistant Professor in the Department of Communications; Tracy Munoz, Assistant Professor in the Department of Literature and Language; Daryl Orth, Associate Professor in the Department of Construction Management and Organizational Leadership; Sandip Patel, Assistant Professor in the Department of Computer Science; Karen Tapp, Assistant Professor in the Department of Counseling, Human Services, and Social Work; Linda Wermeling, Assistant Professor in the Department of Counseling, Human Services, and Social Work.

c. Leaves Paid/Unpaid:

Adam G. Todd, Professor, Salmon P. Chase College of Law, unpaid leave without benefits, 2006-2007 academic year.

d. Resignations:
Wanda Griffith, Lecturer, in the College of Education and Human Services Advising Center, effective June 30, 2006; Gary L. Pratt, Associate Provost for Information Technology, effective June 30, 2006.

2. Non-Academic Personnel Actions (between April 15, 2006 and June 13, 2006):
The following categories of non-academic personnel actions, which occurred between April 14, 2006 and June 13, 2006, were approved: Activations; Temporary/ Contract to Regular; Reassignments, Reclassifications, Title Changes, Promotions; Departures; and Retirees.

3. Academic Affairs Temporary Appointments:
John Collins, of the Department of Teacher Education and School Leadership, has been offered and has accepted a temporary, self-terminating appointment pending approval of the Board of Regents.

4. Naming Change for University Facilities — Scripps Howard Center for Civic Engagement and nonprofit Development:
After studying what the Center had been doing, looking at the needs of the community, consulting with leaders of the nonprofit community, and talking with the Advisory Council for the Center, Mr. Miles Wilson developed the Center's strategic plan which has been endorsed by the administration. The plan calls for two foci for the Center: civic engagement and nonprofit capacity building. Only the former is reflected in the name. The new name was developed to ensure that both foci get proper attention and visibility.

5. New Program – Bachelor of Arts in Media Informatics:
The College of Informatics developed the proposed interdisciplinary program, Bachelor of Arts in Media Informatics, to focus on the creation of content and messages distributed through technologically mediated communication channels. The program requires students to develop the skills necessary to create these messages across a multitude of distribution channels and media platforms.

6. Acceptance of Contributions received by the NKU Foundation (between April 12, 2006 and May 31, 2006):
The Board of Regents officially hereby accepts contributions totaling $431,947.02 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period of April 12, 2006 through May 31, 2006.

7. Bad Debt Write Off – (Fiscal Year 2005-2006):
Action by the Board of Regents of the University is required to write off bad debts from the University's financial records as operating expenses. This is accomplished once a year before the end of the fiscal year. Listed in the board materials are the categories of debts, with prior year comparative data.

8. Administrative Personnel Action:
Joseph E. Wind to be appointed Vice President for Government and Community Relations, effective July 1, 2006;

9. Lake Name Change:
The lake, currently known as "Lake Inferior," has undergone a dramatic $2 million transformation during the past year, becoming a focal point of campus that invites student involvement. This area now includes waterfalls, walkways, a bridge, and amphitheater.

In recognition of the substantial changes and enhancements of the lake area, SGA recommended that the name of the lake be changed to reflect these improvements. NKU students, staff, faculty, and alumni were asked to participate in the naming. The ad hoc lake

naming committee recommended to the University Naming Committee that the name "Loch Norse" be approved as the name of NKU's newly renovated lake.

10. Budget Revision:
At its May 3, 2006, meeting, the Board of Regents approved the 2006-07 Unrestricted Current Fund Annual Budget for Northern Kentucky University at $164,100,000 (Presidential Recommendation C-7). In order to more closely align the original budget with the annual financial statements, the University wishes to budget bad debt expense and tuition discounts and allowances as an offset against revenue rather than as an expenditure, as had been done in previous budgets. Such treatment is consistent with Governmental Accounting Standards Board (GASB) guidelines.

D. <u>Executive Session:</u>

1. A motion was made by Regent Weiss and seconded by Regent Downing to enter into Executive Session. **Motion carried.**
2. A motion was made by Regent DeJaco and seconded by Regent Weiss to close the Executive Session. **Motion carried.**

E. <u>Nominating Committee:</u>
Regent Downing, who spoke for the Nominating Committee, made a recommendation to approve new regent officers as follows. "The Nominating Committee recommends Martin Butler, Chair; Nancy Barone Kremer, Vice-Chair; Denise McClelland, Secretary." The motion to accept the recommendation was made by Regent Weiss and seconded by Regent Zapp. **Motion carried.**

At 2:30 p.m. a motion was made by Regent DeJaco and seconded by Regent Zapp to adjourn. **Motion carried.**

_____ _____

Isaac D. Ferguson
Senior Administrative Associate

Kimberly A. Luse
Executive Assistant to the President
and Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on July 12, 2006, and that such matters are still in force and effect.

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Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
**********Canceled**********

Joint Finance and Policy Meeting
NKU, AST 111 - Wednesday, September 06, 2006 - 9:30 a.m.

9:30 a.m.

A. Joint Finance and Policy Committee:

1. Regents Materials - Online Accessibility/Blackboard (Luse/Ferguson)
2. Southern Accreditation of Colleges and Schools (SACS) - Accreditation (Wells)

Board Lunch
NKU, *Loch Norse* Lakeside - Wednesday, September 06, 2006 - 11:30 a.m.

11:30 a.m.

- *Loch Norse*/Oakley and Eva G. Farris Dedication*
  *A light luncheon will be served

Board of Regents Meeting
NKU, University Ball Room - Wednesday, September 06, 2006 - 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of July 12, 2006, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:05 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Fundraising Report (between July 1, 2005 and July 31, 2006) (St. Amand)
3. Fundraising Report (between July 1, 2006 and July 31, 2006) (St. Amand)
4. Research/Grants/Contracts (Beere)
   (between July 1, 2005 and June 30, 2006 & between July 1, 2006 and August 15, 2006)
5. Research/Grants/Contracts Annual Report (Beere)
6. Outreach and Public Engagement (Beere)

1:30 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (June 14, 2006 and August 14, 2006)
3. *Temporary Appointments
4. *Reporting Structure Change
5. *Name Change – Department of Information Systems
6. *New Program – Bachelor of Science in Architectural Systems and Planning **(Item Removed 9/6/06-JCV)**
7. *Amendment to *Faculty Policies and Procedures Handbook* – (Sabbatical Leaves/ Application and Procedures)
8. *Revisions to the University Organizational Structure
9. *Resolution HB622
10. * Amendment to *NKU Governing and Administrative Regulations* – (Naming of Facilities, Programs, and Endowments)
11. *Acceptance of Contributions received by the NKU Foundation (between June 1, 2006 and July 31, 2006)
12. * Acceptance of CPE Key Indicator Goals
13. Honorary Naming – University Village

*Consent Agenda Items-Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Tuesday, September 5, 2006.

(Cont.)

D. Executive Session:

E. Other: (Calendar Events)

a. Regular Board of Regents Meeting Schedule:

2006:	November 8	**2007:**	January 10	July 11
			March 14	September 12
			May 2	November 14

b.

c. Council on Postsecondary Education Meeting Schedule for 2006:
September 17-18 (Governor's Conference on Trusteeship) November 6

d. Upcoming Activities:

- September 17-18, 2006 – Governor's CPE Trusteeship (Airport Marriott)
- October 5, 2006 – Tall Stacks Reception (Downtown Cincinnati - Public Landing)
- October 12, 2006 – Alumni Lecture Series – Al Franken/ Tucker Carlson (Greaves Concert Hall)
- November 28, 2006 – Board of Regents/Foundation Dinner (Metropolitan Club)

(Regents – Please R.S.V.P. by calling the President=s Office at 572-5123 to confirm your attendance at the above events)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
September 6, 2006

The regular meeting of the Board of Regents was called to order at 1:04 p.m. on Wednesday, September 6, 2006, by Martin Butler.

Invocation was read by Regent Steven Weiss.

Roll Call: Chuck Brown, Martin C. Butler, Peggy A. DeJaco, Frank K. Downing, Joyce E. Griffin, Denise H. McClelland, Anna Dale Pyles, Josh M. Ruth, Steven M. Weiss, Robert W. Zapp (Excused: Nancy A. Barone Kremer)

Others Present: James C. Votruba, Kimberly A. Luse, Sara Sidebottom, Gerry St. Amand, Mimi Assanuvat, Isaac Ferguson, Annie Buechel, Chris Cole, Rick VanSant – *Kentucky Post*, Mike Baker, Russ Kerdolff, Bill Farro, Pat Moynahan, Mark Shanley, Elaine Jarchow, Lori Southwood, Tim Griffin, Daryl Wright, Steven Meier, Larry Blake, Carole Beere, Bridgette Pregliasco, Gail Wells, Ken Ramey, Sue Moore, Mike Carrell, Rick Meyers, Vivienne Crooks, William Oliver, Mary Ryan, Mary Lepper, Jennifer Stansbury, Leon Boothe, Karen Boothe, Doug Perry, Andy Wilson, Jennifer Mason, Mike Mimms, Don Gorbandt

A motion was made by Regent Brown and seconded by Regent Weiss to approve the minutes of the July 12, 2006, Board of Regents meeting. **Motion carried.**

Presidential Comments:
Dr. Votruba opened by saying, "We are off to a great start this fall; 14,700 students; best prepared entering class in our history; parking is a challenge but getting better; in some sense we have the challenges of abundance; there are still challenges but I think parking is settling down.

This year's priorities, as the board knows, are to enhance our institutional planning, support further alignment of Vision 2015, stay consistent with our mission to internationalize our campus, and to play a more visible public intellectual role in the life of our region.

With Regent Ruth's leadership we had, what I thought, a very productive meeting with the Student Government Association; a good retreat. This is my 19th retreat with the Student Government Association; they do two a year. And my sense is that Student Government is focused on some very important issues for our campus.

Two in particular, Josh and I have talked some about internationalizing the campus with particular reference to further scholarship support for study abroad programs. As you know, the cost hits them in two ways; the cost of the program itself and the cost of lost income as a result of going on the travel experience or the study experience rather than working at their jobs here. We are going to look to increase the scholarship support for international students. It is also consistent with what Provost Wells has advocated as a priority to get more students studying abroad. And the other has to do with increasing the program dollars available to students. We have had a substantial increase in the number of students, along with inflation related to program funding. We are going to look to be helpful in that regard as well.

Earlier today, we dedicated the Eva and Oakley Farris Amphitheatre as well as Loch Norse. I think it really reflects the growing maturity and beauty of our campus. I sat there looking around and thought to myself, space really does matter; the kind of environment that you create in which faculty and students get together and each pursue their work and their dreams. I think this space is going to contribute a lot to our students, our faculty, to our staff, and indeed to our community.

Later in this meeting, the board will be asked to name our residential village, the Leon E. Boothe Residential Village. It recognizes the distinguished service of Dr. Leon Boothe, who is here with his wife, Karen. It is good to see you both here today.

Leon was the president of the University from 1983-1996. During President Boothe's tenure as President, the University experienced explosive growth. During very difficult financial times, Dr. Boothe expanded our residential village from 400 to nearly 1,000. Through wit and well, I would say, he assured that the expanded capacity was achieved in one phase, not several. I think, Leon, we were a bit of an after thought in Frankfort during some of the time you were president. They were difficult times, in part because of that. On the other hand Dr. Boothe had the opportunity, as I have, to witness enormous progress during his period. I know from firsthand experience, Leon, that's pretty satisfying.

The expansions of our residential village energized campus life. It really began to change the character of the campus. It led to a more diverse and multicultural student body, and indeed international student body. And it helped us recruit outstanding students.

This isn't just about offering spaces for students; it's about creating an environment in which students can live and learn. And broaden their perspectives as a result of having that total immersion on what has otherwise been primarily a commuter campus.

Leon Boothe cared deeply about students and his leadership on behalf of our residential village made it possible for a greater number of students to experience that total campus immersion that is oftentimes so important for students who are able to avail themselves of it.

Based upon a unanimous recommendation of the University Naming Committee, I recommend to the Board that the residential village become the Leon E. Boothe Residential Village.

Dr. Boothe is with us today, along with his wife, Karen. I will ask him, once the board has acted, if he would like to say a few words. And Leon, you and I talked briefly and I know that you would like to do that. We will dedicate the village, in a more formal way, later this year, of course with signage and all the other things that goes along with this kind of recognition. All that begins with board action and that is in your packet of information.

I also want to note that item C-6 has been removed from the agenda today. It has to do with an academic program and I believe that Provost Wells asked that it be removed after consultation with the Faculty Senate. There are a few more changes that have to be made in that program.

With that Mr. Chairman, that completes my remarks."

A. <u>Joint Finance and Policy Committee:</u> (Reports were given by Regent Secretary McClelland)

1. Online Accessibility of Board of Regents Material

This morning the board members participated in a hands-on tutorial, led by Kim Luse and Isaac Ferguson. This tutorial was explaining to us, the President's Executive team, and others in attendance a new online internet access tool known as *Blackboard*. This is an internet based computer system that will allow Board members, President's Executive Team, Cabinet, and a select group of administrative staff to access board materials. It will serve as a means for collecting materials, distributing them online, archiving documents, having even the possibility of chat rooms for the board, something called discussion board, announcements, calendars, and e-mails. These are available twenty-four (24) hours a day, seven (7) days a week in an effort, and I would say a worthwhile one, to provide the board up-to-date and constant communications on efforts here at the university.

2. Report on Overview of SACS Reaffirmation Process

Provost Wells presented a report on the University's preparation for the reaffirmation process required for continued accreditation by the Southern Association of Colleges and Schools (SACS).

During the next two years, the University will be involved in self-study and preparation of documents to demonstrate compliance with the standards of quality required for accreditation by SACS. The study will address such key elements as mission, governance, administration, faculty, programs and curriculum, assessment processes, learning outcomes, library, student services and financial resources. The University will also develop a Quality Enhancement Plan referred to as the QEP. The QEP requires NKU to first identify a well-defined topic or issue related to enhancing student learning that is important to its mission and then to describe a carefully designed and focused course of action to be implemented over a five-year period. The plan will be submitted to SACS for approval and will be followed by a progress report 5 years later. Both the self-study compliance report and the Quality Enhancement Plan will be submitted in spring or summer 2008. An on-site visiting team will visit NKU in spring 2009 to address the QEP. The SACS decision on reaffirmation is due December 2009.

B. <u>Presidential Reports</u>:

1. Facilities Management (Vice President Ramey):

a. Lake Development:
There were no further comments on the report as submitted.

b. Campus Way-finding (Phase II):
There were no further comments on the report as submitted.

c. Performance Contracting/Energy Audit:
There were no further comments on the report as submitted.

d. New Student Union:
There were no further comments on the report as submitted.

e. Digital Classroom/ Planetarium Project:
There were no further comments on the report as submitted.

f. Greenhouse:
There were no further comments on the report as submitted.

g. Bank of Kentucky Center:

There were no further comments on the report as submitted.

Dr. Votruba recognizes Ken Ramey's work on the Eva and Oakley Farris Amphitheatre as well as Loch Norse.

2. **Fundraising Report (Vice President St. Amand):**
   Summary of fundraising resources committed from July 1, 2005, through June 30, 2006, totaling $3,670,840. There were no further comments on the report as submitted.
   "There is just one clarification. There are two reports there, B2 and B3. One is the close out report from last fiscal year, for the entire year. The second report is just for the first month of this fiscal year."

3. **Fundraising Report (Vice President St. Amand):**
   Summary of fundraising resources committed from July 1, 2006, through July 31, 2006, totaling $184,058. There were no further comments on the report as submitted.

4. **Research/Grants/Contracts – Award Report (Associate Provost Carole Beere):**
   The report summarized the successful proposals with the amount of award for each proposal for NKU faculty and staff fiscal year July 1, 2005, through June 30, 2006, and the period of July 1, 2006, through August 15, 2006.

   "We also have two reports in there; one for the end of the fiscal year and one for the first six weeks of the new fiscal year. But we also have in your notebooks, our annual report and I call your attention to page 7, where it shows that our number of submissions, our dollars requested, our number of awards, and our dollars coming in. They have been steadily increasing. And so this past year, as I had actually predicted at the last board meeting, we did close out with 12.5 million dollars in grants and contracts. Which for us is the highest we have achieved and we are very proud of the faculty and staff that wrote those proposals.

   I would also mention, if you have a chance to look through that report that it does list every grant recipient. We do that for two reasons. One is to communicate to you, but another, to give credit to those people who did the work to generate those awards."

5. **Research/Grants/Contracts – Annual Report (Associate Provost Carole Beere):**
   This report provides both an overview and detailed information about proposal submissions and funded grants/contracts during the 2005-06 fiscal year. Table 2 on page 7 shows steady increases since fiscal year 2003 in the number of proposals submitted, the dollars requested in those budgets, the number of grants awarded, and the dollar value of the awarded grants.

6. **Outreach and Public Engagement – Annual Report (Associate Provost Carole Beere):**
   Contained in the board materials is the university's third *Annual Report of Outreach and Public Engagement*, which provides an overview of the relevant work of our faculty and staff.

   "We also have in here our annual report of outreach and public engagement, which we are sharing with you. Again, we had a very, very good year. One of the things that is worthy of note, is the fact that we get almost an 80% response rate on the survey that we do of our own faculty

and staff. And when I talk about this at other universities, they immediately go, "how do you get that kind of response rate?" Well, I think we get it because the culture here is very supportive of outreach and public engagement and the message is that it is important. This booklet also gives you a listing of the faculty and staff engaged in outreach and public engagement. It's called the Honor Roll, and it's at the very back of the book. And of course, some of the examples of outreach and public engagement are around the room for your enjoyment."

Dr. Votruba asked, "Carole, do other universities produce similar publications to your knowledge?"

In response, Dr. Beere said, "I would say no as of about two years ago. But again, I keep getting asked for examples of ours. I have talked about it in a conference or a convention, some place, and then I'll start getting asked to send copies or if I have copies. Usually, I need to bring more copies with me because they are all asking for it. I think a lot are looking and copying our lead."

C. <u>Presidential Recommendations</u>:

<u>Consent Agenda Items:</u> A motion was made by Regent Zapp and seconded by Regent Downing to approve the following Presidential Recommendations as listed; C 1-5 & C 7-12. **Motion carried.**

1. Academic Affairs Personnel Actions

a. Administrative Appointments:

Michael R. Carrell, Professor of Management and Founding Director of the Alternative Dispute Resolution Center, effective July 1, 2007; Linda Dolive, Interim Chair and Professor of the Department of Political Science and Criminal Justice, effective June 19, 2006 to June 30, 2007; Tom Gamble, Lecturer (non-tenure track renewable) in the Department of Management and Marketing, and Director of Sports Business, effective July 1, 2006; Michelle Heatherton, Interim Assistant Dean and Master Advisor/Lecturer II, effective August 1, 2006 through June 30, 2007; Gary Ozanich, Interim Associate Dean and Acting Director of the Institute for Information Innovation, effective August 1, 2006 through June 30, 2007; Phillip Schmidt, Director of the Center for Integrated Natural Science and Mathematics and Professor in the Department of Mathematics, effective July 1, 2006 to June 30, 2007; Molly T. Tami, Senior Project Director, Office of the Associate Provost for Outreach and Dean of Graduate Studies, effective August 1, 2006; Michael Turney, Executive Faculty Director for SACS Reaffirmation and Professor of Journalism, effective August 1, 2006

b. Faculty Appointments:

Wesley Akers, Lecturer (non-tenure track renewable) in the Department of Communication, effective August 14, 2006; Doris Coy, Associate Professor in the Department of Counseling, Human Services, and Social Work, effective August 14, 2006; Jan Goings, Lecturer, (non-tenure track renewable) in the Department of Teacher Education and School Leadership, effective August 14, 2006; Curt Jamison, Visiting Associate Professor/Director of Research Development for Science & Technology in the College of Informatics, effective July 1, 2006; Hal Melia, Assistant Professor in the Department of Music, effective August 14, 2006; Joseph Mester, Assistant Professor in the Department of Biological Sciences, effective August 14, 2006; Eric Rowley, Assistant Professor in the Center for Integrated Natural Science and Mathematics, effective August 14, 2006; John C. Schlipp, Assistant Professor of Library

Services/Extended Collections Services of W. Frank Steely Library, effective August 14, 2006; Candice van Loveren Geis, Lecturer/Retention Specialist (non-tenure track renewable) in the Department of Art, effective August 14, 2006; Jessica Wilson-Kratzer, Lecturer (non-tenure track renewable) in the Department of Communication, effective August 14, 2006

c. Leaves Paid/Unpaid:
Jodi Ferner, Lecturer II, Honor Program, unpaid leave with benefits for the spring 2007 semester ; Bertie Greer, Assistant Professor in the Department of Management and Marketing, unpaid leave without benefits for the 2006-2007 academic year. LeJames Hauser, Assistant Professor in the Department of Computer Science, unpaid leave without benefits, beginning July 1, 2006 through May 12, 2007; Gisele Loriot-Raymer, Associate Professor in the Department of Literature and Language, half-time unpaid leave without benefits, for the 2006-2007 academic year; Delores Walters, Assistant Professor in the Department of Sociology, Anthropology and Philosophy, unpaid leave with benefits, for the 2006 fall semester.

d. Resignations:
Thomas J. Kearns, Professor in the Department of Mathematics and Computer Science, Phased Resignation Program Participant, effective July 31, 2007; Robert Mahaney, Assistant Professor in the Department of Information Systems, effective July 31, 2006; Sandip Patel, Assistant Professor in the Department of Computer Science, effective July 1, 2006; Rajeev Swami, Assistant Professor for Teacher Education and School Leadership, effective July 28, 2006;

2. Non-Academic Affairs Personnel Actions (between June 14, 2006 and August 14, 2006):
The following categories of non-academic personnel actions, which occurred between June 14, 2006, and August 14, 2006, were approved: Activations; Temporary/ Contract to Regular; Transfers; Reassignments, Reclassifications, Title Changes, Promotions; Departures; and Retirees.

3. Academic Affairs Temporary Appointments:
Victoria Allen, Heidi Endres, and Paige Wideman of the Department of Art; James Michael Rhyne of the Department of History and Geography; Lydia Coyle, Maureen E. Cronin, Kristin Dietsche, Jeremy T. Goebel, Paul Goodin, Angela K. Hesson, Darlene Hollon, Melissa K. Ibarra, Stephen Leigh, Virginia Paddock, Dolores Schreiner, Martha L. Viehmann, Hannelore Wolfram, and Mari York of the Department of Literature and Language; Michael Collins, Marilyn Hoffman, and Jim Justice of the Department of Mathematics; Frank H. Edelen, Jr. and Bruce McClure of the Department of Political Science and Criminal Justice; Gregory Bigot, Nicole Grant of the Department of Sociology, Anthropology, and Philosophy; Jane Green, Michael W. Hatton, Christine Jones, and Brian Robertson of the Department of Theatre and Dance; Peggy Hussey of the Department of Accountancy; Frederick Brockmeier IV of the Department of Construction Management and Organizational Leadership; Patsy Fisk, and George Frakes of the Department of Teacher Education and School Leadership; Justin Braxton-Brown, Michele Day, and John Michel Delaney of the Department of Communication; Frank Braun of the Department of Information Systems has been offered and has accepted a temporary, self-terminating appointment pending approval of the Board of Regents.

4. **Reporting Structure Change:**
It is recommended that the following units currently reporting to University Programs report to the Associate Provost for Student Success to better support student services.
    - Academic Advising Resource Center
    - Developmental Mathematics
    - First-Year Programs
    - Learning Assistance Program

5. **Name Change – Department of Information Systems:**
The Information Systems Department proposes a department and a program name change to Business Informatics Department and Business Informatics, respectively. There is no intent to change the accreditation standards nor the curriculum currently associated with the department and the program with this proposal.

6. **New Program – Bachelor of Science in Architectural Systems and Planning):**
(Item Removed at the Request of James C. Votruba)

7. **Amendment to *Faculty Policies and Procedures Handbook* – Sabbatical Leaves/Application and Procedures:**
There was no further information provided on the report, as submitted.

8. **Revisions to the University Organizational Structure:**
The proposed organizational structure, as outlined in the Board Materials, reflects all NKU Administrative changes from March 8, 2006, to August 21, 2006. The reporting lines listed are for Director level and above, but include: individuals who directly report to the President; Department Chairs under Academic Affairs; Coaching area under Intercollegiate Athletics; and the Manager of the Bookstore/Follet and Food Services/Chartwells, which are separate entities from the University.

9. **Resolution HB622:**
House Bill 622, enacted by the 1982 General Assembly, provides statutory authority for governing boards of public institutions of higher education to elect to perform specified financial management functions previously performed by other parts of state government.

    Election is authorized as to any one or more of the following functions: acquisition of funds, accounting, auditing, payroll, purchasing, capital construction, real property, and establishment of affiliated corporations. Under Section 3 of the Act, the responsibility for and the power to elect is vested in the Board of Regents. The Board is further authorized by the Act to delegate to the President the authority to implement performance of the functions.

10. **Amendment to *NKU Governing and Administrative Regulations* – Naming of Facilities, Programs, and Endowments:**
At the request of the Board of Regents, the Office of University Advancement and the University Naming Committee reviewed the current naming policy – AR-II-4.0-2, *Naming of*

Facilities, Programs, and Endowments. Following review of the existing policy, it was determined that revisions were appropriate.

11. Acceptance of Contributions received by the NKU Foundation (between June 1, 2006 and July 31, 2006):
The Board of Regents officially hereby accepts contributions totaling $365,000 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period June 1, 2006, through July 31, 2006, per the attached list.

12. Acceptance of CPE Key Indicator Goals:
The Key Indicators are used by CPE and other state policy makers to monitor progress toward postsecondary reform as initiated by HB 1. Each Key Indicator falls under one of the five questions from the Kentucky Public Agenda for Postsecondary Education. Most goals are set for the 2007-08 academic year. These goals have been reviewed and approved by the President, the Provost, and the Executive Team.

13. Naming Rights for University Facilities – Dr. Leon E. Boothe Residential Village:
The Board of Regents hereby approves the naming of the student housing area of campus currently identified as the Residential Village as the Dr. Leon E. Boothe Residential Village. To encourage greater use of the name in everyday references to the Dr. Leon E. Boothe Residential Village, the Board approves the use of the shortened version, Boothe Residential Village, in appropriate signage and publications.

Prior to voting, Dr. Votruba stated, "I expect that there has not been a president on this campus who has been more focused on students or more concerned about the quality of life of students. Each of us, I think during our tenures, has certain signatures that reflect our time of stewardship. And I think that for Dr. Boothe, his advocacy on behalf of students and I don't mean just on campus. Frankfort was a different place back in those times. And the fact that he was able to not only be an articulate, eloquent advocate but also with a good deal of success. Sometimes after major struggles. It reflects very well on this institution and on his leadership."

A motion was made by Regent DeJaco and seconded by Regent Zapp to approve the motion to approve the naming rights for the university's residential village. **Motion carried. Roll call: In favor: Regent Brown, Regent Butler, Regent DeJaco, Regent Downing, Regent Griffin, Regent McClelland, Regent Pyles, Regent Ruth, Regent Weiss, Regent Zapp; Opposed: None; Abstentions: None; Approved unanimously.**

Dr. Boothe addressed the room by saying, "Regent Butler, Dr. Votruba, fellow regents; first of all I want to say, my heartfelt thanks for this honor which you have bestowed on me. It's very meaningful to me in many ways.

I'm not going to give a long talk in this regard, but as some of you know I was going to be a lawyer. And through a series of events, that I call serendipity, I ended up teaching high school and changed my whole focus. I think it was right then that I realized how gratifying it is to work and teach students.

They give you much more back in return, which is what I have referred to on other occasions as psychic income. In fact, with my retirement, that is one of the things that I really took with me very close to my heart, all the many events and notations that students said both orally and in writing, to me.

When I was president, as indicated, we had many challenging budget situations declining from 68% to 30%, by the time I retired. That's state funding that is. I really have to commend the staff, my regents at the time, the faculty, and others who just worked with me to try to turn those challenges into opportunities.

One of the things that quickly became evident to me was something had to be done about the residence halls because when I arrived, the original residence halls really were blighted. That's all you can say. It was very discouraging, I think, to come to campus and say we are going to live here.

The outside veneer didn't weather well. So we had to change that and how we were going to get the money. Those were always the big challenges. Then I was constantly hearing from parents, locally particularly, "we would love to send our child here but if they can't live on campus, we want them to live away from us. We have no choice but to send them away to school." Plus the fact that we were filled up every year.

So, I would have to say in a bid of great daring, we decided that we were going to try to build these new dorms. The only trouble was we went through the state system. It was not going to be competitive. There was a lot of new housing that was built around the campus, at the time; Condos and other things along that line. Students could go and live off campus much more cheaply than we could build them. And the only way we could build them was to do it privately.

Needless to say, when you challenge the systems in Frankfort or any other state capital, of the ways things have to be done, you run into a lot of blocked walls. But believe it or not, we carried it off. It did take an appearance before a grand jury because of some intervention, in that regard.

I really want to thank my board at the time. They really stuck with the policy and we had a lot of pressure put on us at various times. I won't get into those details. The board was very loyal and stood up for what was right. That was very, very gratifying.

I think again, that it is a student-centered institution that my name will be applied. I always tried to make the students the center of the university, in terms of what we were all about. I constantly reminded the faculty, the staff, and others; be kind to the students cause you never know what they are going to become. There are too many examples of students who were maybe marginal students, while they were here, that turn out to be some of your biggest benefactors later on.

Aside from that, I think that when I retired for the second time, after the Freedom Center, not thinking I would ever do anymore, I got persuaded to come back and teach. Again, in terms of

the student-centeredness, it was a gratifying way to end my professional career. I can't imagine a nicer way.

I will save other remarks for later occasions, but I just have to say, as a historian I feel like I'm amongst a lot of friends here today. Certainly, Frank Downing and Bob Zapp served with me very loyally and gave me a great deal of wisdom and support during some very trying years, both personally and professionally. Joyce Griffin, I have known practically ever since I've been here and she's been a strong family friend over the years. We feel very close to the Griffins. I know the rest of you either through your business connections or through your community affairs.

Thank you very much and my heart is greatly touched."

D. <u>Executive Session:</u>

1. A motion was made by Regent Brown and seconded by Regent Downing to enter into Executive Session. **Motion carried.**
2. A motion was made by Regent Brown and seconded by Regent Downing to close the Executive Session. **Motion carried.**

A motion was made by Regent Ruth and seconded by Regent Downing to approve the motion, in reference to housing, made during Executive Session. **Motion carried with one abstention (Regent McClelland).**

A motion was made by Regent Brown and seconded by Regent Zapp to approve the motion, in reference to the modification of the president's compensation, made during Executive Session. **Motion carried.**

At 2:38 p.m. a motion was made by Regent Downing and seconded by Regent Weiss to adjourn. **Motion carried.**

_____ _____

Isaac D. Ferguson
Senior Administrative Associate

Kimberly A. Luse
Executive Assistant to the President
and Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on September 6, 2006, and that such matters are still in force and effect.

_____

Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, November 7, 2006 - 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, University Ball Room - Wednesday, November 08, 2006 - 9:30 a.m.

9:30 a.m.

A. Joint Finance and Policy Committee:

1. Annual Financial Report (2005-2006) (Ramey/Kerdolff)
2. Update on "Internationalizing the Campus" (Wells)
3. Enrollment Management – Briefing and Walkabout (Wells/Robinson)

Board Lunch
NKU, President's Dining Room - Wednesday, November 8, 2006 - 11:30 a.m.

11:30 a.m.

Board of Regents Luncheon

Board of Regents Meeting
NKU, University Ball Room - Wednesday, November 8, 2006 - 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of September 6, 2006, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:05 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Annual Financial Report (2005-2006) (Ramey)
3. Quarterly Financial Report (July 1, 2006 through September 30, 2006) (Ramey)
4. Fundraising Report (between July 1, 2006 through September 30, 2006) (St. Amand)
5. Research/Grants/Contracts (between August 16, 2006 through September 30, 2006) (Beere)

1:30 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (August 8, 2006 through October 2, 2006)
3. *Temporary Appointments
4. *Name Change – Department of Developmental Education
5. *Resolution – Student Housing
6. *Resolution – First Supplemental Financing
7. *Resolution – Interlocal Cooperative Agreement
8. *Acceptance of Contributions received by the NKU Foundation (between August 1, 2006 through September 30, 2006)

* Consent Agenda Items-Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Tuesday, November 7, 2006.

D. Executive Session:

E. Other: (Calendar Events)

a. Regular Board of Regents Meeting Schedule:

2007:	January 10	July 11
	March 14	September 12
	May 2	November 14

(OVER)

b. Council on Postsecondary Education Meeting Schedule:

2007:	January 29	July 16
	March 5	September 16-17 (Governor's Trusteeship)
	May 21	November 5

c. Upcoming Activities:

- November 28, 2006, 6:00p.m. - 8:00p.m. – Board of Regents/Foundation Dinner (Metropolitan Club)
- December 16, 2006, Noon - 4:00 p.m.– Commencement (US Bank)

(Regents – Please R.S.V.P. by calling the President's Office at 572-5123 to confirm your attendance at the above events)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
November 8, 2006

The regular meeting of the Board of Regents was called to order at 1:02 p.m. on Wednesday, November 8, 2006, by Martin Butler.

Invocation was read by Regent Anna Dale Pyles.

Roll Call: Chuck Brown, Martin C. Butler, Peggy A. DeJaco, Frank K. Downing, Joyce E. Griffin, Denise H. McClelland, Anna Dale Pyles, Josh M. Ruth, Steven M. Weiss, Robert W. Zapp (Excused: Nancy A. Barone Kremer)

Others Present: James C. Votruba, Kimberly A. Luse, Sara Sidebottom, Gerry St. Amand, Mimi Assanuvat, Isaac Ferguson, Annie Buechel, Chris Cole, Rick VanSant – *Kentucky Post*, Russ Kerdolff, Bill Farro, Mark Shanley, Elaine Jarchow, Lori Southwood, Gail Wells, Ken Ramey, Sue Moore, Mike Carrell, William Oliver, Mary Ryan, Mary Ucci, Andy Wilson, Don Gorbandt, Joe Wind, Joel Robinson, Katie Herschede, Julie Brown, Kathy Stewart, Kent Kelso, Mary Paula Schuh

A motion was made by Regent Brown and seconded by Regent Griffin to approve the minutes of the September 6, 2006, Board of Regents meeting. **Motion carried.**

Presidential Comments:
Dr. Votruba opened by saying, "VVV Strategic Planning is well underway, at this point. We have scheduled through December, sixteen, 2 hour conversations with faculty, staff, and students to define our priories over the next five years.

This was a process that was begun in 1997 using a 5 year horizon. It was updated in 2002 and now we update for the next 5 years. Unlike previous years where we have gone into the community and had an equal number of conversations with communities throughout the region, we're allowing *Vision 2015* to act as the voice of the region; this time around. I think it would be confusing for us to go out now, especially with me having chaired *Vision 2015*, asking people to talk some more about their future.

We have had three conversations with student groups. You will be pleased to learn that while the students have lots of advice on things that they would like to see happen; what they say with enthusiasm is that this is a great place to go to college. They say to protect, at all cost, our small class sizes and continue to recruit faculty members who enjoy interacting with undergraduates and are available.

When you ask students what's special about the university they always start with those two characteristics. Small class sizes and faculty members who they say really care about them. That is terrific, especially when you're a nearly 15,000 student campus.

We begin our faculty conversations shortly. We have had one conversation with Administration and Finance. I got some good ideas there on how we can promote customer friendliness in all of our domains.

We expect to come to the board in early January with what we have learned from these conversations. Then I'm going to interact with my senior management group to massage that information, take other information, the results will be in early January. February we'll have our priorities for the next 5 years.

What you have in front of you, thanks to the good work of Sue Moore and her staff, they've put together a 10 year summary of what's occurred. The categories are the categories that were the priorities of this 10 year period. It will give you a review of the major accomplishments over that 10 year period.

Once again, NKU is one of the top places to work in the Greater Cincinnati/Northern Kentucky region. Tomorrow is the luncheon. We are among the top three in the largest employment category, but once again we share that with two hospitals; as we have in the past two years. We are still very proud to be in that group.

We really are very proud of our staff. Three years running and we are very proud of that.

We are gearing up for *SACS*. This, as you know, is a comprehensive process. Provost Wells will be overseeing the *SACS* accreditation process. We'll be coming to the board later this year with a quality enhancement program that will be a focus over the next 5 years.

You had a review this morning on what's going on with the internationalization of the campus. We continue to press hard on internationalization across all of our domains. You heard from Provost Wells and Dean Jarchow this morning, but all the vice presidents are being asked to think deeply about how their units can contribute to internationalizing the campus.

You had a walkabout earlier this morning related to Enrollment Management. Joel, I want to thank you and your staff for a very efficient and well-done presentation to the board. You really did a great job and we are proud of all the work that all of you are doing in the Enrollment Management arena.

You also heard, this morning, from Russ Kerdolff who reviewed our Annual Financial Report. Russ shared, and so did Vice President Ramey, that the university is in its strongest financial position in its history. And that's good.

We are, I think, looking at a bright future but part of the role of the university's board and its administrators is to manage risk. As we talked about this morning, there are some things that are still uncertainties financially. Prism, we know is a major investment in the university and try as we might, most universities have not been able to nail down the full cost of an implementation like an SAP program. We have roughly 200 million in capital construction that's going to be going on. We are going to have to have some margin to do things on the behalf of those construction projects that we may not have anticipated.

But in general, the university is in great shape."

A. <u>Joint Finance and Policy Committee:</u> (Reports were given by Regent Secretary McClelland)

"We did have a busy morning and we basically had three topics.
[Annual Financial Report (2005-2006)]
Vice President Ramey and Comptroller Kerdolff presented highlights from the University's 2005-06 Annual Financial Report, which are available to the public. There were a number of detailed slides in PowerPoint. The audit was performed by Crowe Chizek and Co. LLC. And members of Crowe Chizek were present for questions.

As the president had indicated, we have a very strong financial picture.

Total assets grew by about $18 million for the year, primarily due to an increase in assets including the new lake and Student Union.

Liabilities declined by approximately $300 thousand. There will be new debt issued for the Student Union, a parking garage, and a housing facility (under discussion). There was discussion about the impact of this new debt on the University's financial position in the future.

Net assets increased by $18.5 million for that fiscal year, attributable to a $7.8 million increase in restricted net assets and a $10.7 million increase in unrestricted net assets.

Operating and non-operating revenues increased by $17.4 million, including a $7.3 million increase in the University's state appropriations and a $6.1 million increase in net tuition revenues. There were also increases in grant, auxiliary and other revenues.

Operating and non-operating expenses increased by $11.3 million last year. Over two-thirds of this increase went into the University's core mission areas of instruction, research, public service and academic support.

It is anticipated in this fiscal year, the university will receive a $2.5 million increase, including a $1 million appropriation for the new Math Center. Then in fiscal year 2008, there will be a $6.2 million increase in state appropriations, including an additional $500 thousand for the Math Center.

The university continues to receive increased financial support from the Commonwealth as well as other constituents.

[Internationalizing the Campus (Update)]
We did have a brief summery from Provost Wells regarding the ongoing process to enhance the internationalization of the campus. She gave a summary of a task force that has been created that is asking four basic questions:

What should be the characteristics of a globally competent graduate from NKU?
What would it mean for NKU to be successfully internationalized?
Where are we now?
How do we achieve the vision?

There was also a summary of some of the efforts that are already underway showing increased progress, including the fact that NKU students studying abroad has:

1. Grown from 115 to 197 students since 2001;
2. More places for study abroad programs;
3. More NKU Faculty are directly related overseas;
4. The number of fully admitted international students has grown from 176 to 306, since the fall of 1999.
5. The International Studies program has graduated 200 students and there are currently 139 majors.
6. The International Business Center has several projects in other countries.

The Task Force will focus on curriculum, study abroad, international student recruitment, and organization for campus wide efforts. We also received literature on the program that we are involved with the United Arab Emirates and pre-service teachers.

[Enrollment Management: (Briefing and Walkabout)]
Under the leadership of Joel Robinson (Assistant Vice President for Enrollment Management); I think that I can speak on behalf of the board. We were all very impressed with what we observed and what we heard. Very articulate leadership, very professional materials, very professional organization and flow.
We heard from several different aspects of the Enrollment Management functions, including:

1. Their mission statement;
2. The offices which make up Enrollment Management;
3. The high volume of information they process;
4. The use of the internet and technology; with such statistics as over 100,000 incoming phone calls and 1,500,000 hits on the internet;
5. Some of the internal registrar, curriculum planning resources that are available;
6. We learned about the future goals for Enrollment Management; as coupled with the Commonwealth's expectations for NKU to graduate more students in the next 10 years.

We met with the Director of Admissions, the Director of Student Financial Assistance, and took a tour of the Registrar office.

It was an impressive morning and we thank Joel, the Provost, the Finance office for all that we learned."

B. <u>Presidential Reports</u>:

1. Facilities Management (Vice President Ramey):

a. Lake Development:
There were no further comments on the report as submitted.

b. Campus Way-Finding (Phase II):
"We are well into the second phase of the Campus Way-finding project. You will, probably by the next time you arrive on campus, see some of the byproducts of that second phase, which will include building identification, parking lot signage, and consistent signage for the perimeter of the campus parcels. That has been a nice addition for the campus and the second phase will just carry that over."

c. Digital Classroom/ Planetarium Project:
There were no further comments on the report as submitted.

d. Performance Contracting/Energy Audit:
"We are well into completion of that audit, as we partnered with Siemens. About a 4.5 million dollar project that is greatly enhancing our energy consumption here on campus."

e. Greenhouse:
There were no further comments on the report as submitted.

f. New Student Union:
"As you're aware, we were running into some utility problems on the front end of the construction. Those have all now been resolved. As you can see looking out on the Plaza, it's coming up very rapidly. We anticipate the completion of that project in summer of 2008."

g. AS&T Third Floor Renovation:
There were no further comments on the report as submitted.

h. Bank of Kentucky Center:
"If you had the opportunity to come down Nunn Drive, from 27, and I hope you get the opportunity to do that if you haven't already done so. Mass excavation is scheduled to be completed this week. There will be some piering and concrete work that will begin immediately. We continue to remain on a very fast track schedule with the Bank of Kentucky Center.

Affiliated with the Bank of Kentucky Center, is the garage project. We are well into the design phase of that project. We anticipate construction beginning on that in the spring of 2007."

i. Center for Informatics:
There were no further comments on the report as submitted.

Dr. Votruba added the following comments to complement Ken Ramey's update. "I have asked Ken [Ramey] and Larry [Blake] to give me some background information on things that we might be doing with our construction that would be compatible with environmental sustainability, as well as reduce our utility cost over the long term.

There is a lot of work going on, a lot of technology being applied to what's being called green construction."

2. Annual Financial Report (2005-2006):
The Annual Financial Report depicts the financial activity of the University for the fiscal year ended June 30, 2006.
There were no further comments on the report as submitted.

3. Quarterly Financial Report (July 1, 2006 through September 30, 2006):
The Quarterly Financial Report depicts the financial activity of the University as of September 30, 2006, as compared to the annual budget and the prior year's activity.
Vice President Ramey stated, "All of our revenues and expenditures are in line with previous history."

4. Fundraising Report (Vice President St. Amand):
Summary of fundraising resources committed from July 1, 2006, through September 30, 2006, totaling $308,036.
There were no further comments on the report as submitted.

5. Research/Grants/Contracts – Annual Report (Associate Provost Carole Beere):
This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from August 16, 2006 through September 30, 2006. During this time 20 grants were awarded with a total award amount for the noted period of $1,749,065.
There were no further comments on the report as submitted.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent DeJaco and seconded by Regent Ruth to approve the following Presidential Recommendations as listed; C 1-C 7. **Motion carried.**

1. Academic Affairs Personnel Actions

a. Administrative Appointments: (Effective July 1, 2006)

Grant Edwards, Director of Instrument Facilities and Lecturer in the Department of Chemistry; Michael Lively, Lecturer (non-tenure track renewable) and Director for Instructional Design in the College of Arts and Sciences;

b. Faculty Appointments:

Cindy L. Foster, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions, effective August 14, 2006; Sandra Grinnell, Assistant Professor in the School of Nursing and Health Professions, effective August 14, 2006 ; Julie Hart, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions, effective August 14, 2006; Billie Jean Kosak, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions, effective August 14, 2006; Elizabeth Schoulties, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions, effective August 14, 2006; Stephanie M. Smith, Lecturer (non-tenure track renewable) and Librarian in the in the W. Frank Steely Library and the University's Professional and Organizational Development Center (POD), effective September 18, 2006;

c. Retirements:

William Oliver, Professor of Chemistry, effective June 30, 2007;

d. Resignations:

Brandy Andrew, Lecturer in the School of Nursing and Health Professions, effective July 1, 2006; Judy Gorman, Lecturer in the School of Nursing and Health Professions, effective May 31, 2006; Victoria Johnson, Lecturer in the School of Nursing and Health Professions, effective July 1, 2006;

e. Phased Resignations:

William Recker, Assistant Professor, Department of Management and Marketing, Phased Resignation Program Participant, effective August 14, 2006 (participation for three academic years);

2. Non-Academic Affairs Personnel Actions (between August 15, 2006 and October 2, 2006):

The following categories of non-academic personnel actions, which occurred between August 15, 2006, and October 2, 2006, were approved: Activations; Temporary/Contract to Regular; Transfers; Reassignments, Reclassifications, Title Changes, Promotions; Departures; and Retirees and Administrative.

3. **Academic Affairs Temporary Appointments: (Academic Year Unless Noted)**
Hildegard Baldridge and Vicki Kier (Fiscal Year) of the Department of Biology; Terence Anthony Fleming, Michael Marchman, Lawarence Fred Mitchell, and Okera Nsombi of the Department of History and Geography; Kristi Brock and Bryan McIntyre of the Department of Literature and Language; Shelley Cain, Barbara Phillips, Patsy Sisson, and John Taylor of the Department of Mathematics; Chari Ramkumar and Kisa Ranasinghe of the Department of Physics and Geology; James Binney, P. Faye Collins, Gail O'Brien, Marl K. Renfro, Fred W. Rhynhart, and Jill Shelley of the Department of Political Science and Criminal Justice; Mary E. Markivich, Roland Lee Peckham, and Juliann Young of the Department of Psychology; Gary Blahnik, Molly Blenk, Thomas Foster, Jan Gallagher, Michael Simonton, Ken Tankersley, and Charles Ward of the Department of Sociology, Anthropology, and Philosophy; Scott Fennell (Fiscal Year), Mark Leopold (Fiscal Year), and Jessica M. Metzger (Fiscal Year) of the Center for Applied Ecology; Catherine Ingram of the Department of Kinesiology, Health, and Educational Foundations; Kim Campbell of the School of Nursing and Health Professions has been offered and has accepted a temporary, self-terminating appointment pending approval of the Board of Regents.

4. **Name Change – Department of Developmental Education:**
In July 2006, the Developmental Mathematics Program merged with the Learning Assistance Program to form the combined unit named Developmental Education. The unit is now proposing a name change to Learning Assistance Programs. The name more accurately reflects the programs represented in the unit. The unit houses the developmental mathematics and literacy programs, the Writing Center, the Math Center, Academic Tutoring, and the Supplemental Instruction/Structured Learning Assistance Program.

5. **Resolution Student Housing:**
This resolution establishes the Board of Regents intent to advance up to $13 million to acquire and renovate a student housing facility and their intent to reimburse such capital expenditures with the proceeds of tax-exempt financing. Tax-exempt financing may include bonds, notes, certificates or other obligations. The University intends to seek state authority to issue tax-exempt financing in the upcoming legislative session.

6. **Resolution First Supplemental Financing:**
This resolution allows for the issuance of notes for paying the costs of the Student Union and parking garage, authorizes the execution and delivery of a the First Supplemental Financing Agreement to supplement the financing for the Student Union project and initiate the financing for the parking garage, approves, ratifies, and confirms the Student Union and parking garage projects, and authorizes the Chairman to execute, acknowledge and deliver on behalf of the Board, the Supplemental Financing Agreement.

7. **Resolution First Interlocal Cooperative Agreement:**
   This resolution allows the continued participation in the Northern Kentucky Cooperative for Educational Services; a separate administrative board created by Interlocal Cooperative Agreement between certain school districts in the Northern Kentucky area and Northern Kentucky University, and for voting purposes, appoint an alternate representative as requested by the Cooperative.

8. **Acceptance of Contributions received by the NKU Foundation (between August 1, 2006 and September 30, 2006):**
   The Board of Regents officially hereby accepts contributions totaling $79,995.03 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period August 1, 2006, through September 30, 2006, per the attached list.

D. <u>Executive Session:</u>

1. At 1:20 p.m. a motion was made by Regent Downing and seconded by Regent Zapp to enter into Executive Session. **Motion carried.**
2. At 2:26 p.m. a motion was made by Regent DeJaco and seconded by Regent Brown to close the Executive Session. **Motion carried.**

At 2:30 p.m. a motion was made by Regent Downing and seconded by Regent Weiss to adjourn. **Motion carried.**

Signature on File

Isaac D. Ferguson
Senior Administrative Associate

Signature on File

Kimberly A. Luse
Executive Assistant to the President
and Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on November 8, 2006, and that such matters are still in force and effect.

Signature on File

Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, January 9, 2007 - 6:00 p.m.

7:30 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, University Ball Room -Wednesday, January 10, 2007 - 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Vision, Values, and Voices Update (Votruba)
2. NKU Smoking Policy Update (Mills)
3. Academic Excellence - College of Business (Wells/Carrell)

Board Lunch
NKU, University Ball Room -Wednesday, January 10, 2007 – 11:30 p.m.

11:30 a.m.

- Board of Regents Luncheon (Presidents Dining Room – UC 105)

Board of Regents Meeting
NKU, University Ball Room -Wednesday, January 10, 2007 - 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of November 9, 2006, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary
-

1:05 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Fundraising Report (between July 1, 2006 and November 30, 2006) (St. Amand)
3. Research/Grants/Contracts (Beere)
   (between October 1, 2006 through November 30, 2006)

1:30 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions
   (between October 3, 2006 and December 5, 2006)
3. *Temporary Appointments
4. *Acceptance of Contributions received by the NKU Foundation
   (between October 1, 2006 through November 30, 2006)
5. *Emeritus Status – William Oliver
6. *Master Lease Agreement – Fifth Third Bank
7. 2006 Northern Kentucky University Smoking Policy
8. *Lease Agreement – Commonwealth of Kentucky's Environmental and Public Protection Cabinet

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Tuesday, January 8, 2007).

D. Other: (Calendar Events) – Please call if you have questions about any of the following events.

1. Regular Board of Regents Meeting Schedule for 2007:

January 10	March 14	May 2
July 11	September 12	November 14

2. Council on Postsecondary Education Meeting Schedule for 2007:

January 29	July 16
March 5	September 16-17 (Governor's Trusteeship)
May 21	November 5

(OVER)

AGENDA
Northern Kentucky University, (*Cont.)*

3. Upcoming Activities:
   - January 26, 2007, 6:00 p.m. – Alumni Awards Banquet (Marriott, Covington, KY)
   - April 18, 2007, Noon – 1:30 p.m. – Spring Convocation (Greaves Hall, Highland Heights, KY)
   - April 25, 2007, 6:00 p.m. – Lincoln Awards Banquet (Freedom Center, Cincinnati, OH)
   - May 4, 2007, 6:30 p.m. – Spring Commencement Ceremonies (US Bank Arena, Cincinnati, OH)
   - May 12, 2007, 10:00 a.m. – Chase Law School Spring Commencement Ceremonies (Regents Hall, Highland Heights, KY)

(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
January 10, 2007

The regular meeting of the Board of Regents was called to order at 1:02 p.m. on Wednesday, January 10, 2007, by Martin Butler.

Invocation was read by Regent Frank Downing.

Roll Call: Chuck Brown, Martin C. Butler, Peggy A. DeJaco, Frank K. Downing, Nancy A. Barone Kremer, Denise H. McClelland, Anna Dale Pyles, Josh M. Ruth, Steven M. Weiss (Excused: Joyce E. Griffin, Robert W. Zapp)

Others Present: James C. Votruba, Kimberly A. Luse, Sara Sidebottom, Gerry St. Amand, Mimi Assanuvat, Isaac Ferguson, Annie Buechel, Lauren Rawe, Chris Cole, Rick VanSant – *Kentucky Post*, Bill Farro, Mark Shanley, Carole Beere, Elaine Jarchow, Lori Southwood, Gail Wells, Ken Ramey, Sue Moore, Mike Carrell, William Oliver, Andy Wilson, Don Gorbandt, Joe Wind, Joe Mills, Joel Robinson, Kathy Stewart, Teasha Fowler – *Northerner*, Rick Meyers, Paul Myers – Student, Larry Blake, Pat Moynahan, Doug Perry, Cynthia Pinchback-Hines, Mike Tobergta – Student

A motion was made by Regent DeJaco and seconded by Regent Pyles to approve the minutes of the November 8, 2006, Board of Regents meeting. **Motion carried.**

Presidential Comments:
Dr. Votruba opened by saying, "Preliminary spring enrollments appear to have us up around 1.7%, in that neighborhood. It's preliminary at this point, but again another solid enrollment for this spring semester.

The warm weather that we have had this winter has resulted in strong progress on our two major construction projects. We're seeing the Bank of Kentucky Center come out of the ground now, which is terrific. It is really wonderful to see it finally taking shape.

The Student Union is also moving along nicely. We're making good progress there.

Governor Fletcher was here yesterday. We are pleased that he chose to have his conversation with Northern Kentucky citizens here at Northern Kentucky University. His visit reinforced his support of the university and for both increased operating and facilities support for the campus. He has committed himself both publicly and privately, to me, that he will do what needs to be done to restore the 24.5 million in vetoed agency bond authority. He has also said that he will work with us to accomplish as much as possible with those funds. – That's a good sign.

As we all know this is a non-budget session, which means in order to open the budget it requires a Super Majority. You never know what's going to happen at the beginning of the session. It wouldn't surprise me if it does get opened, and it certainly wouldn't surprise me if it doesn't get opened. You just never know what forces are going to shape that. I think we are well positioned as we begin this short session.

Over the past two months, over 570 students, faculty, staff, and alums were involved in 16 conversations related to the update of our strategic plan. This morning I reviewed the major themes that have come out of those conversations with the Board of Regents. I won't repeat them here. Only to say, in my view, the campus is very strongly positioned to move to the next level of its development.

Morale is high. There are lots of wonderful programs occurring. Our student body is engaged. We have challenges, but we are well positioned to address those challenges.

The next steps will involve the following:

- Senior administration will work to formulate a set of priorities that will be specific enough to connect both human and financial resources, which we'll expect to allocate over the next five years.
- We'll revise the Vision statement in ways we described earlier.
- We will revise campus mission statement. Which we didn't get to share with you today, but will require board action in March.
- We'll make some minor revisions to the Core Values.
- Establish campus five year strategic priorities;

We will get input from the campus on all of this and then come to the board in March with all those revisions, plus the new strategic priorities for the next five years.

I want to thank the panelists; students, faculty, staff, and alums who worked on this. It was very time consuming. It involved 16, two-hour conversations plus a two-day retreat and a lot of other work and digesting the material that we received.

I also want to thank Vice President Sue Moore and her staff for their wonderful work in supporting this effort. Sue, this is my third time through this part of the strategic planning process. It was smooth beyond even my expectations. The kind of support that you, Tim, everyone provided in doing this; I'm very grateful for."

A. <u>Joint Finance and Policy Committee:</u> (Reports were given by Regent Secretary McClelland)

[Vision, Voices, and Values (Update)]

President Votruba presented an update of the current Vision, Values, and Voices Conversations. The process has resulted in identifying the major strengths and they were reviewed. They include:

Undergraduate education at the core
Culture that promotes student success
National leader in public education
NKU is agile, innovative, and responsive
Strong public support and recognition of NKU's commitment to regional progress
Competitive value
Metro area as a "real world" laboratory
Growth in programs of national distinction
Safe and accessible campus
Positive campus morale and optimism for the future

The major challenges that have been identified by the process include:

Regional and state expectations for NKU to grow and to lead regional progress
Resource base is not keeping pace with enrollment growth and community expectations
Curriculum alignment to meet workforce needs and educate for a global perspective
Increased state and national pressure for accountability, results, and return on investment
Meeting the needs of and engaging commuter students

The draft vision statement, draft core values, emerging major themes, and the next steps were shared.

[NKU Smoking Policy Update]

Joseph Mills presented a review of the process through which the proposed NKU Smoking Policy has emerged.

Composition of the committee that worked on the policy was shared, as well as the forums that the policy was vetted through.

Background information regarding benchmark institutions and their smoking policies was reviewed.

[Academic Excellence – College of Business]

Dean Michael Carrell and four faculty in the College of Business made a presentation to the board on an overview of the college and highlighted four "Programs of Excellence". First they described how the college is organized into four academic departments and eight centers and institutes. The college offers eight undergraduate business degree programs, five non-business undergraduate degree programs and four masters degree programs as well as eight MBA Areas of Specialization. The Dean was pleased to announce that in December 2006 NKU received notification that all of the business programs were re-accredited by AACSB International. This was the first re-accreditation of the NKU business programs which were originally accredited in 1996. In addition, The American Council for Construction Education accredits the undergraduate construction management degree program.

Dr. Rebecca White provided an overview of the Fifth Third Bank Entrepreneurship Institute and its programs. In October 2006 the NKU undergraduate entrepreneurship program was included in the "Top 25" programs in the U.S. by *The Princeton Review* and *Entrepreneur Magazine.* This is the first NKU academic program to receive a national ranking.

Dr. Ken Rhee provided a brief overview of the Executive Leadership and Organizational Change (ELOC) program which began in August 2006. The program includes many NKU "firsts": the first executive program, the first graduate leadership program, the first cohort program, and the first academic program offered at METS.

Dr. Mary Conway Dato-On then gave a summary of the International Business Center (IBC) in the College of Business. The center provides students with experiences that enhance their knowledge of global business practices. Students can study abroad and attend on campus seminars and forums on effective global strategies. The center also provides faculty international teaching and research opportunities. In addition the center operates the new International Business Honors program, the first honors program in the college.

Finally, Prof. Tom Gamble described the undergraduate B.S. in Sports Business program. The program is the only sports program to require all core business courses in the three states. It attracts many new students to NKU -- a survey of Sports Business majors indicated over 80% selected NKU specifically for the program. The program was the fastest growing NKU undergraduate program to reach 130 majors.

Dr. Votruba added to his earlier comments, "Listening to the four faculty members who made those presentations this morning, reflected to me the best of what NKU is now and will be in the future. Those faculty members represent a generation of faculty who will really take NKU to the next level in its development.

What you hear in all those presentations were themes that play out in Vision 2015, as well as the conversations we have been having about the university. I again want to congratulate Dean Carrell for his leadership and for the work that you and your faculty have been doing to advance the college, the university, and the region."

B. <u>Presidential Reports</u>:

1. Facilities Management (Vice President Ramey):

a. Campus Way-Finding (Phase II):
There were no further comments on the report as submitted.

b. Digital Classroom/ Planetarium Project:
There were no further comments on the report as submitted.

c. Performance Contracting/Energy Audit:
There were no further comments on the report as submitted.

d. Greenhouse:
There were no further comments on the report as submitted.

e. New Student Union:
There were no further comments on the report as submitted.

f. AS&T Third Floor Renovation:
There were no further comments on the report as submitted.

g. Bank of Kentucky Center:
There were no further comments on the report as submitted.

h. Founders Hall Dedication Monument:
There were no further comments on the report as submitted.

i. Garage # 3 and Welcome Center:
There were no further comments on the report as submitted.

j. Center for Informatics:
There were no further comments on the report as submitted.

k. Campus Master Plan:
There were no further comments on the report as submitted.

l. Johns Hill Road:
There were no further comments on the report as submitted.

2. **Fundraising Report (Vice President St. Amand):**
   Summary of fundraising resources committed from July 1, 2006, through November 30, 2006, totaling $1,010,074.

   "A list of the actual gifts to be approved is not attached. However, I have extra copies and will provide those to you for your packet."

3. **Research/Grants/Contracts – Annual Report (Associate Provost Carole Beere):**
   This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from October 1, 2006 through November 30, 2006. During this time 20 grants were awarded with a total award amount for the noted period of $770,897.
   There were no further comments on the report as submitted.

C. <u>Presidential Recommendations</u>:

<u>Consent Agenda Items:</u> A motion was made by Regent Brown and seconded by Regent DeJaco to approve the following Presidential Recommendations as listed; C-1 through C- 6, and C-8. **Motion carried.**

<u>Discussion Agenda Items:</u> A motion was made by Regent DeJaco and seconded by Regent Weiss to approve the following Presidential Recommendations as listed; C-7. (Approval: Brown, Butler, DeJaco, Kremer, McClelland, Pyles, Weiss; Rejection: Ruth; Abstention: Downing; Absent: Griffin, Zapp.) **Motion carried 7-1-1-2.**

1. **Academic Affairs Personnel Actions**
   a. **Faculty Appointments:**
      Robert Zai, III, Assistant Professor and Librarian, Research and Instructional Services Division of the W. Frank Steely Library; effective November 13, 2006;

2. **Non-Academic Affairs Personnel Actions (between October 2, 2006 and December 5, 2006):**
   The following categories of non-academic personnel actions, which occurred between October 3, 2006, and December 5, 2006, were approved: Activations; Transfers; Reassignments, Reclassifications, Title Changes, Promotions; Departures; and Retirees.

3. **Academic Affairs Temporary Appointments:**
   Leslie Hammann (Fiscal Year) of the W. Frank Steely Library has been offered and has accepted a temporary, self-terminating appointment pending approval of the Board of Regents.

4. **Acceptance of Contributions received by the NKU Foundation (between October 1, 2006 and November 30, 2006):**
   The Board of Regents officially hereby accepts contributions totaling $ 288,637.33 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period October 1, 2006, through November 30, 2006, per the attached list.

5. **Emeritus Status:**
   William Oliver, Professor of Chemistry in the Department of Chemistry;

6. **Master Lease Agreement – Fifth Third Bank:**
   The Board of Regents approves authority be re-authorized to the Vice President of Finance and Administration and Treasurer of the University to continue to utilize the Master Lease Agreement with Fifth Third Bank to include the financing of equipment, land acquisitions, capital improvements, and operating system software at such modified rates of interest as shall not exceed the interest rates established under the Master Lease Agreement interest rate formula. All leases shall receive authorization from the Office of the Budget prior to their execution.

7. **Amendment to the *NKU Governing and Administrative Regulations* — (The 2006 Northern Kentucky University Smoking Policy):**
   This policy shall supersede Northern Kentucky University Administrative Regulation AR-II-5.0-11 "Campus Smoking Policy" enacted August 4, 1993. The 2006 NKU Smoking policy shall take effect no later than five (5) months after enactment by the Northern Kentucky University Board of Regents.

8. **Lease Agreement – Commonwealth of Kentucky's Environmental and Public Protection Cabinet:**
   That the Administration be authorized to enter into a lease agreement with the Commonwealth of Kentucky's Environmental and Public Protection Cabinet for use of 3,600 square feet of exterior site area for an air quality monitoring site.

Dr. Votruba requested from the board their thoughts on the strategic priorities. He wants the board to feel that they are being included and their thoughts are being incorporated into the agenda.

At 1:25 p.m. a motion was made by Regent Ruth and seconded by Regent Brown to adjourn. **Motion carried.**

Signature on File

Isaac D. Ferguson
Senior Administrative Associate

Signature on File

Kimberly A. Luse
Executive Assistant to the President
and Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on January 10, 2007, and that such matters are still in force and effect.

Signature on File

Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, March 13, 2007 - 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, University Ball Room -Wednesday, March 14, 2007 - 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. VVV Strategic Plan Update (Votruba/Moore)
2. Tuition Recommendation (Moore)
3. Doctoral Planning (Wells/Wasicsko)

Board Lunch
NKU, University Ball Room -Wednesday, March 14, 2007 – 11:30 p.m.

11:30 a.m.

- Board of Regents Luncheon (Presidents Dining Room – UC 105)

Board of Regents Meeting
NKU, University Ball Room -Wednesday, March 14, 2007 - 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of January 10, 2007, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:05 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Fundraising Report (between July 1, 2006 and January 31, 2007) (St. Amand)
3. Research/Grants/Contracts (Beere)
   (between December 1, 2006 through January 31, 2007)
4. Faculty Project Grants (2007-2008) (Wells)
5. Faculty Summer Fellows (2007-2008) (Wells)

1:30 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions
   (between December 6, 2006 and February 19, 2007)
3. *Temporary Appointments
4. *Promotion, Probation, Tenure, and Reappointment
5. *2007-2008 Sabbatical Leave Recommendations
6. *Emeritus Status – George Goedel
7. *Acceptance of Contributions received by the NKU Foundation
   (between December 1, 2006 through January 31, 2006)
8. *Lease Agreement – Greater Cincinnati Consortium of Colleges and Universities
9. *Authorization for External Audit Contract
10. *Organizational Chart
11. Tuition and Fees
12. Free Expression Policy
13. *Sabbatical Leave – Outcomes Reports

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Tuesday, March 13, 2007).

(OVER)

AGENDA
Northern Kentucky University, (*Cont.)*

D. Other: (Calendar Events) – Please call if you have questions about any of the following events.

1. Regular Board of Regents Meeting Schedule for 2007:

   March 14 | May 2 | July 11 | September 12 | November 14

2. Council on Postsecondary Education Meeting Schedule for 2007:

   April 4 | September 16-17 (Governor's Trusteeship)
   May 21 | November 5
   July 16

3. Upcoming Activities:
   - April 4, 2007, 7:00 p.m. – Kim Edwards *Memory Keepers Daughter* (Steely Library, Highland Heights, KY)
   - April 18, 2007, Noon – 1:30 p.m. – Spring Convocation (Greaves Hall, Highland Heights, KY)
   - April 25, 2007, 6:00 p.m. – Lincoln Awards Banquet (Freedom Center, Cincinnati, OH)
   - May 4, 2007, 6:30 p.m. – Spring Commencement Ceremonies (US Bank Arena, Cincinnati, OH)
   - May 12, 2007, 10:00 a.m. – Chase Law School Spring Commencement Ceremonies (Regents Hall, Highland Heights, KY)

(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
March 14, 2007

The regular meeting of the Board of Regents was called to order at 1:02 p.m. on Wednesday, March 14, 2007, by Martin Butler.

Invocation was read by Regent Josh Ruth.

Roll Call: Chuck H. Brown, Martin C. Butler, Peggy A. DeJaco, Joyce E. Griffin, Josh M. Ruth, Steven M. Weiss, Robert W. Zapp (Excused: Frank K. Downing, Nancy A. Barone Kremer, Denise H. McClelland, Anna Dale Pyles)

Others Present: James Votruba, Kimberly Luse, Sara Sidebottom, Gerry St. Amand, Mimi Assanuvat, Isaac Ferguson, Annie Buechel, Chris Cole, Rick VanSant – *Kentucky Post*, Bill Farro, Mark Shanley, Carole Beere, Gail Wells, Ken Ramey, Sue Moore, William Oliver, Andy Wilson, Don Gorbandt, Joe Wind, Kathy Stewart, Rick Meyers, Larry Blake, Pat Moynahan, Doug Perry, Cynthia Pinchback-Hines, Mike Tobergta, Mary Ryan, Randy Proctor, Chuck Hawkins, Parker Laboiteaux, Anthony Gulla, Mary Lepper, Leah Stewart, Jeremy Craft, Katie Herschede, Russ Kerdolff, Alex Kindell, Meggan Brookes – *Enquirer*, TeAunna Jones, Dennis Weatherby, Larry Meyer, Meghna Singhvi, Joe Ruh, Jennifer Lantz, Brandon Weinel, Deanna Pina, Roger Stidham, Callie Armstrong, Bill Croyle, Kent Kelso, Sean Foley, Michael Roark, Ryan Jones, Danielle Mazor, Will Jordan, Robyn Rutar, Joshua Metz, Hannah Haegele, Kathleen Ingalls, Katie Walker, David Fugate, Heather King, Pavel Romero, Katie Nelson, April Wright, Charles Wright, Eric Cranley, Sarah Rouse, Jane Bratton, Laila Hameen, Brittany Blair, Chris Walker, Zebulun Davenport, Brianna Bodine, Jason Moore, Kevin Corcoran, Sam Zachary, Tim Griffin, Ben Oldham, Dennis Honabach

A motion was made by Regent Weiss and seconded by Regent DeJaco to approve the minutes of the January 10, 2007, Board of Regents meeting. **Motion carried.**

Presidential Comments:
Dr. Votruba noted:

- Campus construction continues full steam. The Bank of Kentucky Center and the Student Union are coming along nicely. The Bank of Kentucky Center has an anticipated completion date in spring 2008 and the Student Union has an anticipated completion date in summer of 2008.

- March Madness is upon us. Both our men's and women's basketball teams made the NCAA tournament. We're proud of their achievements both on the court and in the classroom.
    - Coach Winstel and her women's team had a great year. The women lost in the first round by three tenths of a second, but they had a great season.
    - Coach Bezold and his men's team won their first two games of the tournament; beating Findlay University, breaking their 56 home game winning streak-the longest in the nation. We played Grand Valley in the regional finals. They had a great night and we had a difficult night, putting us out of the tournament.

Presidential Comments: *(Cont.)*

- This year, the University has been focused on developing its next five year strategic priorities. This morning the board received an update, with action coming at the May meeting, that favors a five year campus image that looks like:
    - Small classes and "up close and personal" approach to education
    - More international
    - Increased enrollment at both the graduate and undergraduate level
    - More diverse
    - Increased retention and graduation rates
    - Greater student engagement with the campus
    - Deeply involved in advancing the Vision 2015 goals
    - More competitive faculty and staff salaries and benefits
    - Greater clarity in distribution of faculty workload across the full breadth of the mission
    - Greater public awareness of NKU and its strengths
    - Stronger financial base and expanded capital assets
    - Increased institutional effectiveness
    - Greater internal accountability (Outcome measures)
    - Further improvements in efficiency
    - Greater emphasis on strategy *execution* (Attention to the details of strategy execution)

- Turning to tuition, the board will be asked later today to approve tuition for the 2007-2008 academic year. The recommendation is:
    - Slightly below the maximum approved by the CPE
    - Combined with a new need based financial aid program that will help insure that no student is denied access because of their financial circumstances

- There has been no closure in Frankfort. The General Assembly adjourned late Monday without agreement on several major items. We are still not clear on the status of vetoed projects. The General Assembly is schedule to return to session on March 26, 2007.

  There is some concern associated with the potential of vetoed projects not getting approved during this session. One of those is the purchase of the Lakeside Manor Nursing Home. It could save the state $8M and allow us to put students in residence halls by next fall.

  NKU is confronting a facilities crisis. There is no way that we can meet CPE growth targets without additional facilities. Of the top ten capital projects recommended by CPE in the last legislative session, two were not funded - both were NKU's projects. Two capital projects priorities are:
    - Founders Hall
    - Health Innovations Building

- The board will be asked to approve a new freedom of expression policy. The new policy moves us from a free speech location on the campus to a free speech campus. The policy eliminates prior restraint and does not involve content approval. Nevertheless, the courts allow us to set reasonable restrictions on time, place, and manner.

Presidential Comments: *(Cont.)*

It is difficult to write a free expression policy that does not have some level of subjective interpretation. We tried to balance free speech with a student's right to pursue their education without interruption. Our particular emphasis is on not allowing free expression that interferes with our core mission. However, some of our students believe that the policy still leaves too much interpretation to the administration. For that reason, the policy will be reviewed in a year to see how it is functioning.

A. Joint Finance and Policy Committee: (Reports were given by Regent Brown)
[Doctoral Planning]

NKU's first Doctor of Education program is designed for experienced, fully-employed P-16 educational leaders and practitioners. The goal is to develop "practitioner scholars" by enhancing the effectiveness of local/regional educational leaders through: 1) increasing the knowledge, skills, and dispositions shown to promote learning and development; 2) enhancing the ability to inspire others within their organization and community to excel; and 3) engaging themselves and their institutions within the broader communities to increase the educational attainment, economic viability, and livability of our metropolitan area.

Currently, Kentucky is at the bottom of states in our region regarding the number of doctoral granting universities and is last among SREB states in the number of doctorates per capita. Only thirteen Kentucky superintendents out of 176 hold a terminal degree.

The proposed program is aligned with NKU's strategic plan and regional stewardship mission. The program will be delivered through methodologies tailored to meet the needs of fully-employed educational professionals who find it difficult or impossible to attend traditional, daytime programs. This will include distance, blended (on-ground/on-line), evening, and weekend courses. Fifteen faculty members already at NKU have experience either advising, chairing, or serving on doctoral committees. Three additional faculty members will need to be added over the next three years.

It is anticipated that the first cohort will enroll in January 2008. Preliminary planning and development have been underway for about one year.

[Tuition Recommendation]
We reviewed a presentation on the tuition and fees recommendation for academic year 2007-08.

- We were shown the principles guiding the tuition setting process and the policy guidelines set by the Council on Postsecondary Education (CPE.)
- We saw that NKU proposes a resident undergraduate rate increase of 9.25 %, which is less than the maximum allowed by CPE.
- We reviewed the proposal for the graduate and law school rates for resident and nonresident students, which were set after considering the market for higher education in our region.
- We were given the proposed increases for housing and dining services that were driven by increases in the urban Consumer Price Index.

[Tuition Recommendation] *(Cont.)*

- We saw the proposal for a $250,000 increase in need based aid and a new grant program, *The Northern Difference*.
- We were given the full-time resident undergraduate enrollment increases for the Kentucky universities since Fall 1998 and saw that NKU's enrollment has increased by 17.55% from 1998 to 2006—the second highest in the state and almost double the state average.

[Vision, Voices, and Values (Update)]
Dr. Votruba was kind enough to provide us with an early overview of results associated with the draft version of 2006-07 Vision, Values, and Voices planning process (Strategic Plan). As stated in the presentation, the reason for providing the preliminary results to the Board, and not the final draft, was to also allow for the consideration of the Board members' input and possible inclusion into the final product.

Key areas covered included:

- An updated University Mission Statement that focuses on educating students to succeed in their chosen life roles by being informed, contributing members of their communities – regionally, nationally, and internationally; and pursue satisfying and fulfilling lives.

- A Vision Statement centered on being nationally recognized as the premier comprehensive, metropolitan university that prepares students for life and work in a global society and provides leadership to advance the intellectual, social, economic, cultural, and civic vitality of its region and of the Commonwealth.

- Readdressing Core Values that NKU is committed to, such as :
  - Placing learners and their learning at the center of all that we do.
  - The highest standards of excellence in every dimension of our work.
  - A culture of openness and inclusion that values diversity in people and ideas.
  - Public engagement that advances the progress of the region and Commonwealth.

B. <u>Presidential Reports</u>:

1. Facilities Management (Vice President Ramey):

a. Campus Way-Finding (Phase II):
There were no further comments on the report as submitted.

b. Digital Classroom/ Planetarium Project:
There were no further comments on the report as submitted.

c. Greenhouse:
There were no further comments on the report as submitted.

d. Performance Contracting/Energy Audit:
There were no further comments on the report as submitted.

e. New Student Union:
There were no further comments on the report as submitted.

Presidential Reports: *(Cont.)*

f. Bank of Kentucky Center:
As part of the construction process, the state is allowing us to do a portion of the reconstruction of University Drive and the addition of a third garage as part of this project. Construction and closure will take place between mid-April and late August of 2007. Contingencies for campus transportation are currently being developed.

g. Founders Hall Dedication Monument:
There were no further comments on the report as submitted.

h. Garage #3 and Welcome Center:
There were no further comments on the report as submitted.

i. Center for Informatics:
We are working closely with the College of Informatics to meet the needs of everyone involved.

j. Campus Master Plan:
There were no further comments on the report as submitted.

k. Johns Hill Road:
There were no further comments on the report as submitted.

l. Renovate Residence Hall (Lakeside):
There were no further comments on the report as submitted.

2. **Fundraising Report (Vice President St. Amand):**
Summary of fundraising resources committed from July 1, 2006, through January 31, 2007, totaling $4,407,053.

3. **Research/Grants/Contracts – Annual Report (Associate Provost Carole Beere):**
This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from December 1, 2006, through January 31, 2007. During this time 11 grants were awarded with a total award amount for the noted period of $455,984.

4. **Faculty Project Grants (Provost Wells):**
The University recognizes, for 2007-2008, the role of research as an integral part of professional activity of the faculty through financial support for independent research. Faculty Project Grants are intended to encourage professional growth, provide funds for research equipment, and activities that are not available through program budgets.

5. **Faculty Development Awards - Summer Fellowships (Provost Wells):**
The Summer Fellowship program, for summer 2007, is designed to encourage faculty to engage in individual research, scholarly writing, and creative and artistic projects and to share the results of their efforts with the broader University community.

C. Presidential Recommendations:
Consent Agenda Items: A motion was made by Regent Zapp and seconded by Regent Brown to approve the following Presidential Recommendations as listed; C-1 through C- 10, and C-13.
Motion carried.

Presidential Recommendations: *(Cont.)*
Discussion Agenda Items: A motion was made by Regent Brown and seconded by Regent Ruth to approve the following Presidential Recommendations as listed; C-11. **Motion carried.** Item C-12 was withdrawn by President James C. Votruba.

Academic Affairs Personnel Actions

a. Faculty Appointments:
Leesha M. Thrower, Assistant Professor in the Department of Communication, effective August 13, 2007.

b. Resignations:
Jan Goings**,** Lecturer (non-tenure track renewable), Department of Teacher Education and School Leadership, effective December 31, 2006; Van Miller**,** Associate Professor in the Department of Management and Marketing, effective December 31, 2006; Michele Roszmann, Associate Professor in the Department of Educational Specialties, effective January 30, 2007; Pryze Smith, Lecturer in the Department of Construction Management and Organizational Leadership, effective December 22, 2006.

c. Retirements:
Prince Brown, Associate Professor in the Department of Sociology, Anthropology and Philosophy, Director for the Institute for Freedom Studies and Director for the Master of Liberal Studies Program, effective June 30, 2007.

d. Leaves Paid/Unpaid
Gwen Fields, Lecturer (non-tenure track renewable), Department of Chemistry, half-time unpaid leave with benefits, beginning January 1, 2007, and ending May 12, 2007.

2. Non-Academic Affairs Personnel Actions (between December 6, 2006 and February 19, 2007):
Non-academic personnel actions, which occurred between December 6, 2006, and February 19, 2007: Activations; Transfers; Reassignments, Reclassifications, Title/Status Changes, Promotions; Departures; and Retirees.

3. Academic Affairs Temporary Appointments:
Patricia Kappesser (Spring 2007) of Biological Sciences and Katya Cherifa Belhabib (Spring 2007) of Literature & Language and History & Geography have been offered and have accepted a temporary, self-terminating appointment.

4. Reappointment, Promotion, and Tenure:
promotion, tenure, continued probation, continued probation with conditions to be removed, terminal reappointment and non-reappointment.

5. 2007-08 Sabbatical Leave Recommendations:
Robin Bartlett, Psychology; Tom Cate, Economics and Finance; Paul Cooper, Construction Management and Organizational Change; Mary Cupito, Communication; Richard Durtsche, Biological Sciences; Ana England, Art; Diane Gronefeld, Nursing; Jennifer Jolly-Ryan, Chase College of Law; Kenneth Katkin, Chase College of Law; Yasue Kuwahara, Communication;

Presidential Recommendations: *(Cont.)*

2007-08 Sabbatical Leave Recommendations: *(Cont.)*
Aron Levin, Management and Marketing; P. Andrew Miller, Literature and Language; Kevin Muente, Art; Scott Nutter, Physics and Geology; Tamara O'Callaghan, Literature and Language; Robert Rhode, Literature and Language; Robert Wallace, Literature and Language; Robert Wilcox, History and Geography; Steve Wilkinson, Mathematics.

6. Emeritus Status:
George Goedel, Professor, Department of Psychology.

7. Acceptance of Contributions received by the NKU Foundation (between December 1, 2006 and January 31, 2006):
Acceptance of contributions totaling $ 2,220,707.50 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period December 1, 2006, through January 31, 2007.

8. Lease Agreement – Greater Cincinnati Consortium of Colleges and Universities:
That the Administration be authorized to enter into a lease agreement with the Greater Cincinnati Consortium of Colleges and Universities (GCCCU) for rental of space in Hankins Hall on the Covington Campus.

9. Authorization for External Audit Contract:
Authorization for the administration to enter into a contract with the audit firm of Crowe Chizek and Company for the annual audit of the University's financial records for the fiscal year ending June 30, 2007. The contract cost for the year ending June 30, 2007, will be $106,000. This contract will allow for three one-year renewable options.

10. Organizational Chart:
Authorization for the attached organizational structure publication

11. Tuition and Fees:
The Board of Regents officially hereby accepts the Tuition and Fees as listed in the Board of Regents materials; C-11.

12. Free Expression Policy:
Discussion occurred on the floor. Item C-12 was voluntarily withdrawn from Board consideration by President James C. Votruba. Revisions will be made and brought to the Board at the May 2007 meeting.

13. Sabbatical Leave – Outcomes Report:
At the first Board meeting of each academic year, the Provost will submit for the Board's review a report on the outcomes of the sabbatical leaves for the previous year. The report will include the name of the faculty recipient, the title of the project, and a short summary of the outcomes of each sabbatical leave.

At 2:16 p.m. a motion was made by Regent Zapp and seconded by Regent Ruth to adjourn. **Motion carried.**

Signature on File	***Signature on File***
Isaac D. Ferguson	**Kimberly A. Luse**
Senior Administrative Associate	**Executive Assistant to the President and Secretary to the Board of Regents**

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on March 14, 2007, and that such matters are still in force and effect.

Signature on File

Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, May 1, 2007 - 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Phi Beta Delta Induction Ceremony & Breakfast
NKU, University Center 2nd Floor Lobby -Wednesday, May 2, 2007 - 8:30 a.m.

8:30 a.m.

- Student and Regent Induction Ceremony

Joint Finance and Policy Meeting
NKU, University Center Ballroom -Wednesday, May 2, 2007 - 9:30 a.m.

9:30 a.m.

A. <u>Joint Finance and Policy Committee:</u>

1. Task Force recommendations/ International Studies (Jarchow)
2. Fiscal Year 2007-08 Annual Budget (Votruba)
3. Bonding Update (Ramey)
4. Regents Professor Award (Wells)

Student Award Luncheon
NKU, University Center 2nd Floor Lobby -Wednesday, May 2, 2007 – 11:30 p.m.

11:30 a.m.

- Student Award Luncheon

Board of Regents Meeting
NKU, University Ballroom -Wednesday, May 2, 2007 - 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Recognition of Student Award Recipients
- Resolution for Outgoing Regent Ruth
- Approval of March 14, 2007, Board Minutes
- Public Comment
- Presidential Comments
- Public Safety
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:10 p.m.

B. <u>Presidential Reports</u>:

1. Facilities Management (Ramey)
2. Quarterly Financial Report (between July 1, 2006 and December 31, 2006) (Ramey)
3. Fundraising Report (between July 1, 2006 and March 31, 2007) (St. Amand)
4. Research/Grants/Contracts (Beere)
   (between February 1, 2007 through March 31, 2007)

1:30 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions
   (between February 20, 2007 and April 6, 2007)
3. *First-Year Faculty Recommended for Continued Probation
4. *New Program – Master of Science in Health Informatics
5. *Acceptance of Contributions received by the NKU Foundation
   (between February 1, 2007 through March 31, 2007)
6. *Resolution – General Receipt Indenture
7. *Resolution – Series A-General Receipt Bonds
8. *Bad Debt Write Off (Fiscal Year 2006-2007)

(OVER)

AGENDA
Northern Kentucky University, (*Cont.)*

9. Schedule of Fees and Service Charges for Academic Year 2007-08
10. Resolution – Establishing and Approving the Fiscal Year 2007-08 Annual Budget for NKU
11. Strategic Agenda
12. Free Expression Policy
13. Emeritus Status – Prince Brown, Jr. and Thomas J. Kearns

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Tuesday, May 1, 2007).

D. Other: (Calendar Events) – Please call if you have questions about any of the following events.

1. Regular Board of Regents Meeting Schedule for 2007:
   May 2 July 11 September 12 November 14

2. Council on Postsecondary Education Meeting Schedule for 2007:
   May 21 July 16 September 16-17 (Governor's Trusteeship) November 5

3. Upcoming Activities:
   - May 4, 2007, 6:30 p.m. – Spring Commencement Ceremonies (US Bank Arena, Cincinnati, OH)
   - May 12, 2007, 10:00 a.m. – Chase Law School Spring Commencement Ceremonies (Regents Hall, Highland Heights, KY)
   - May 16, 2007, 6:00 p.m. – Board of Regent/NKU Foundation Dinner (Metropolitan Club, Covington, KY)

(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

NKU Board of Regents
Regular Meeting
May 2, 2007

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
May 2, 2007

The regular meeting of the Board of Regents was called to order at 1:06 p.m. on Wednesday, May 2, 2007, by Martin Butler.

Invocation was read by Regent Anna Dale Pyles.

Roll Call: Martin C. Butler, Frank K. Downing, Joyce E. Griffin, Nancy A. Barone Kremer, Denise H. McClelland, Anna Dale Pyles, Josh M. Ruth, Steven M. Weiss, Robert W. Zapp (Excused: Chuck H. Brown, Peggy A. DeJaco)

Others Present: James Votruba, Kimberly Luse, Sara Sidebottom, Gerry St. Amand, Mimi Assanuvat, Isaac Ferguson, Annie Buechel, Rick VanSant – *Kentucky Post*, Mark Shanley, Carole Beere, Gail Wells, Ken Ramey, Sue Moore, William Oliver, Don Gorbandt, Kathy Stewart, Rick Meyers, Pat Moynahan, Doug Perry, Mike Tobergta, Chuck Hawkins, Mary Lepper, Russ Kerdolff, Alex Kindell, Dennis Weatherby, Larry Meyer, Bill Croyle, Pavel Romero, Eric Cranley, Zebulun Davenport, Kevin Corcoran, Bob Neumann, Gail Goedel, George Goedel, Lisa Boyd, Angie Schaffer, Joel Robinson, Linda Parks, Linda Reynolds, Tracy Insko, Andy Meeks, Bill Farris, Jim Nilson, Mike Carrell, Jennifer Corbett – *Northerner*, Tom Kearns, Jane Meier, Elaine Jarchow, Mary Paula Schuh, Alyse Bender, Harold Todd, Tim Ferguson, Karen Zerhusen Kruer, Prince Brown

Dr. Votruba recognized the Student Award Recipients and thanked the Faculty, Staff, and Administration who mentored these students to extraordinary achievements.

Student Award Recipients:
President's Award received by Adam Billiter
Regents Award received by Cindy Scharf
Paul J. Sipes Award received by Sarah Christen
University Service Award received by Heather Meeks
Faculty Senate Award received by Nicholas Taylor

Chairman Martin Butler offered a resolution noticing Josh M. Ruth's, 2006-07 Student Regent, dedication to Northern Kentucky University, its faculty, its staff, and its students.

A motion was made by Regent Zapp and seconded by Regent Ruth to approve the Minutes of the March 14, 2007, Board of Regents meeting. **Motion carried.**

Ms. Alex Kindell and Mr. Eric Cranley addressed the Board on the *Free Speech Policy*.

Presidential Comments:
Dr. Votruba noted:

- The 2006-07 academic year has been a terrific year for the University. The hard work of the campus community has resulted in:
  - The implementation of a new information system
  - Enrollments being up 5% over the year prior
  - Academic program and service expansion

Presidential Comments: *(Cont)*

- BOK and Student Union staying on schedule
- Deep thinking and deep planning involving VVV
  - Public engagement
  - Alignment around VVV
  - Strive Scholarship

- Commencement on Friday - 1,300 graduates

- Campus Safety
  - What can we learn from the tragedy of VT?
  - NKU has a comprehensive emergency management policy currently in operation.
    - Comprehensive review of all emergency policies and procedures
      - We will focus our attention on:
        - Timely campus-wide communications - assuring that our various systems are talking to each other regarding potential problems
        - Determine what initiatives the university can take to address students who are exhibiting erratic or intimidating behavior.
        - Develop training programs for faculty, staff, and students regarding how they should respond if confronted with an emergency situation.
    - Governor Fletcher has asked for all emergency policies and procedures be reviewed.
  - We will report to the board at our July meeting.

- VVV Strategic Planning Process — The Talent Imperative!
  - Five year strategic plan
  - Will focus our human and financial resources
    - Emphasis on talent development at the:
      - P-12 level
      - Collegiate level
      - Lifelong learning
      - Our own talent development as we hire more tenure track faculty with knowledge that will benefit not only our students but also our community
    - Student engagement
    - Protecting academic quality
    - Regional Stewardship, particularly around V-2015
    - Internationalize the campus
    - Recruit and retain outstanding faculty and staff
    - Expand both our financial and facilities resource base
    - Focus on building sustainable facilities
    - Strengthening our marketing
    - Measure our progress
      - Performance indicators that target what we're trying to achieve and whether we're achieving it.

Presidential Comments: *(Cont)*

- 2007-2008 Budget
  - Supports our strategic plan

- Transition:
  - Welcome
    - Karen Zerhusen Kruer – Foundation Director
  - Departing members of the University
    - Josh Ruth – Student Regent.
    - Carole Beere – Associate Provost for Outreach and Dean of the Graduate School
    - Mike Carrell – Dean of the College of Business
    - Keith Stewart, Director – Purchasing
  - Four faculty who have been recommended for emeritus status
    - Professor Prince Brown
    - Professor George Goedel
    - Professor Bill Oliver
    - Professor Tom Kearns

Provost Gail Wells addressed the Board on the significant contributions made by Professor Prince Brown, Professor George Goedel, Professor Bill Oliver, and Professor Tom Kearns.

A. Joint Finance and Policy Committee: (Reports were given by Regent McClelland)

This morning, Dr. Elaine Jarchow, Dean of the College of Education and Human Services and Chair of the NKU Internationalization Task Force, presided over the international scholars Phi Beta Delta Founding Chapter induction. Members of the Task Force became the founding members and members of the Board of Regents become honorary members. Dr. Jarchow summarized the work of the Task Force and described several of its recommendations in the areas of curriculum, study abroad, international student recruitment, and faculty and staff participation. A suggested vision, mission, set of global competencies, and menu of international activities were described. Finally, a group of highly articulate study abroad student alumni highlighted their experiences in India, Italy, Japan, Ireland, Mexico, Scotland, and South Africa.

President Votruba made a PowerPoint presentation on the 2007-08 annual budget. Approximately $24 million in additional investments will be made next year. Of that amount, over $13 million are non-discretionary allocations to cover such things a fixed cost increases, bad debt expense, the new SAP technology system, and start up costs for the Bank of Kentucky Center and the new residence hall.

The proposed budget also includes a 6% salary pool for competitive faculty and staff compensation, $1.5 million for 16 new tenure track faculty positions, and almost $700,000 for additional staff positions to address the campus's growth in recent years. Other investment highlights, which align with the University's updated strategic plan (which we will take action on later in the meeting), include funding to support increased student engagement, campus wellness, internationalization, public awareness, technology and equipment, campus sustainability, library enhancement, and deferred maintenance. The budget continues to include a $4 million budget reserve.

Joint Finance and Policy Committee: *(Cont.)*
Also this morning Vice President Ramey gave a brief presentation related to the benefits the University will realize by issuing bonds under a new Trust Agreement, that is being recommended for approval, including legal counsel**,** at today's meeting by the Board. The Trust Agreement will allow the University to provide for the financing of capital projects by the issuance of General Receipts Obligations. The new Trust Agreement reflects changes in the University, financing standards, market expectations and financing techniques since the adoption of the Prior Consolidated Educational Building Revenue Bonds (CEBRB) - Basic Resolution and the Prior Housing and Dining Resolution, which were adopted in 1972 and 1980 respectively. The new Trust Agreement provides the University greater flexibility in financing and investing, and improved cash management.

Provost Wells recognized the recipients of the Regents Professor Award.

- Dr. Mark Bardgett – Department of Psychology
- Dr. Denise Robinson – School of Nursing and Health Professions

B. Presidential Reports:

1. Facilities Management (Vice President Ramey):

a. Campus Way-Finding (Phase II):
There were no further comments on the report as submitted.

b. Digital Classroom/ Planetarium Project:
There were no further comments on the report as submitted.

c. Greenhouse:
There were no further comments on the report as submitted.

d. Performance Contracting/Energy Audit:
There were no further comments on the report as submitted.

e. Founders Hall Dedication Monument:
There were no further comments on the report as submitted.

f. New Student Union:
There were no further comments on the report as submitted.

g. Bank of Kentucky Center:
There were no further comments on the report as submitted.

h. Garage #3 and Welcome Center:
There were no further comments on the report as submitted.

i. Center for Informatics:
There were no further comments on the report as submitted.

j. Campus Master Plan:
Nine proposals have been provided to the University for consideration. A committee is reviewing the provided proposals and has narrowed the choices to four.

k. Johns Hill Road:
The Johns Hill Road closure has been postponed.

l. Renovate Residence Hall (Lakeside):
There were no further comments on the report as submitted.

m. VFA Facility Condition and Space Study:
There were no further comments on the report as submitted.

Presidential Reports: *(Cont)*

2. **Quarterly Financial Report (July 1, 2006 through December 31, 2006):**
   The Quarterly Financial Report depicts the financial activity of the University as of December 31, 2006 as compared to the annual budget and the prior year's activity.

3. **Fundraising Report (Vice President St. Amand):**
   Summary of fundraising resources committed from July 1, 2006, through March 31, 2007, totaling $5,060,764.

4. **Research/Grants/Contracts – Annual Report (Associate Provost Carole Beere):**
   This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from February 1, 2007 through March 31, 2007. During this time 11 grants were awarded with a total award amount for the noted period of $447,563.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Ruth and seconded by Regent Downing to approve the following Presidential Recommendations as listed; C-1 through C- 8. **Motion carried.**

Discussion Agenda Items: A motion was made by Regent Downing and seconded by Regent Weiss to approve the following Presidential Recommendation as listed; C-9. **Motion carried.** A motion was made by Regent Zapp and seconded by Regent McClelland to approve the following Presidential Recommendation as listed; C-10. **Motion carried.** A motion was made by Regent Weiss and seconded by Regent Zapp to approve the following Presidential Recommendation as listed; C-11. **Motion carried.** A motion was made by Regent Zapp and seconded by Regent Ruth to approve the following Presidential Recommendation as listed; C-12. **Motion carried.** A motion was made by Regent Weiss and seconded by Regent Downing to approve the following Presidential Recommendation as listed; C-13. **Motion carried.**

Academic Affairs Personnel Actions

a. **Administrative Appointments: (Effective July 1, 2007)**
   Douglas G. Knerr, Associate Professor of History with Tenure and Associate Dean for Interdisciplinary Studies**;**

b. **Faculty Appointments:**
   Bethany Bowling, Assistant Professor in the Department of Biological Sciences and the Center for Integrated Natural Science and Mathematics (CINSAM), effective August 13, 2007; Vickie Coleman Gallagher, Assistant Professor in the Department of Management and Marketing, effective August 13, 2007; Anthony Deiter, Assistant Professor in the Department of Communication, effective August 13, 2007; Dan Doerger, Assistant Professor in the Department of Teacher Education and School Leadership, effective August 13, 2007; Lisa Holden, Assistant Professor in the Department of Mathematics, effective August 13, 2007; Isabelle Lagadic, Assistant Professor in the Department of Chemistry, effective August 13, 2007; Denis Mueller, Assistant Professor in the Department of Communication, effective August 13, 2007; Andrea S. Watkins, Assistant Professor in the Department of History and Geography, effective August 13, 2007;

Presidential Recommendations: *(Cont.)*

c. Retirements:
Valeria Amburgey, Professor in the Department of Kinesiology, Health and Education Foundations, effective May 13, 2007;

d. Phased Resignations:
Vinay Kumar, Professor, Department of Chemistry, Phased Resignation Program Participant, effective August 15, 2007 (participation for two academic years);

2. Non-Academic Affairs Personnel Actions (between February 20, 2006 and April 6, 2007):
Non-academic personnel actions, which occurred between February 20, 2006, and April 6, 2007: Activations; Contract/Temporary to Regular; Reassignments, Reclassifications, Title/Status Changes, Departures; and Retirees.

3. First-Year Faculty Recommended for Continued Probation and Continued Probation with Conditions:
The recommendation of continued probation as on the report as submitted.

4. New Program – Master of Science in Health Informatics:
The College of Informatics developed the proposed master's degree program, Master of Science in Health Informatics as an interdisciplinary program drawing upon resources across the College of Informatics, the School of Nursing and Health Professions, and the University. The program will require new proposed courses that will be cross-listed with the Master of Science in Nursing.

5. Acceptance of Contributions received by the NKU Foundation (between February 1, 2007 and March 31, 2007):
The Board of Regents officially hereby accepts contributions totaling **$227,081.40** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period February 1, 2007 through March 31, 2007 per the attached list.

6. Resolution – General Receipt Indenture:
The recommendation to adopt the attached Resolution which provides for the financing of capital projects by the issuance of General Receipts Obligations under a Trust Agreement dated as of May 1, 2007.

7. Resolution – Series A-General Receipt Bonds:
The recommendation to adopt the attached Resolution which provides for the issuance of approximately $48,655,000 in General Receipt Obligations for the purpose of (i) permanently financing the costs of the Student Union and Parking Garage projects and (ii) paying costs of issuance in connection with the Northern Kentucky University General Receipts Bonds, 2007 Series A.

8. Bad Debt Write Off (Fiscal Year 2006-2007):
The recommendation to authorize the Vice President for Administration and Finance to write off the bad debt for fiscal year 2006-2007 was submitted.

Presidential Recommendations: *(Cont.)*

9. **Schedule of Fees and Service Charges for Academic Year 2007-08:**
   The recommendation to authorize the Schedule of Fees and Service Charges for the Academic and Fiscal Year 2007-08 was submitted.

Regent Ruth addressed the Board of Regents in regards to the proposed "late citation fine."

10. **Resolution – Establishing and Approving the Fiscal Year 2007-08 Annual Budget for NKU:**
    The Board approves the resolution establishing and approving the Fiscal Year 2007-08 Unrestricted Current Fund Annual Operating Budget for Northern Kentucky University.

11. **Strategic Agenda:**
    The Board approves the University's five-year strategic planning document, "The Talent Imperative: Northern Kentucky University's 2007-2012 Strategic Agenda."

12. **Free Expression Policy:**
    The Board approves the Free Expression Policy as recommended by the Student Senate, Faculty Senate, Staff Congress.

Vice President Shanley addressed the Board of Regents in regards to the proposed "Free Expression Policy."

13. **Emeritus Status:**
    Prince Brown, Jr., Associate Professor, Department of Sociology, Anthropology, and Philosophy, Director for the Institute for Freedom Studies and Director for the Master of Liberal Studies Program; Thomas J. Kearns, Professor, Department of Mathematics

At 2:18 p.m. a motion was made by Regent Pyles and seconded by Regent McClelland to adjourn. **Motion carried.**

Signature on File

Isaac D. Ferguson
Senior Administrative Associate

Signature on File

Kimberly A. Luse
Executive Assistant to the President
and Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on May 2, 2007, and that such matters are still in force and effect.

Signature on File

Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, July 10, 2007 - 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, University Center Ballroom -Wednesday, July 11, 2007 – 9:30 a.m.

9:30 a.m.

A. Joint Finance and Policy Committee:

1. Human Resources Update (Ramey/Southwood)
2. Greenspace and Sustainability Update (Ramey)
3. Master Plan Update (Ramey)
4. Emergency Communication Update (Ramey)

Board Lunch
NKU, President's Dining Room -Wednesday, July 11, 2007 – 11:30 p.m.

11:30 a.m.

- Board of Regents Luncheon (Presidents Dining Room – UC 105)

Board of Regents Meeting
NKU, University Center Ballroom -Wednesday, July 11, 2007 - 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of May 2, 2007, Board Minutes
- Presidential Comments
- Informatics Update
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:45 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Quarterly Financial Report (between July 1, 2006 and March 31, 2007) (Ramey)
3. Fundraising Report (between July 1, 2006 and May 31, 2007) (St. Amand)
4. Research/Grants/Contracts (Beere)
   (between April 1, 2007 through May 31, 2007)

2:00 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions
   (between April 7, 2007 and June 10, 2007)
3. *Temporary Appointments
4. *Emeritus Status – Valeria Amburgey
5. *Name Change – Department of Visual Arts
6. *Program Change – Organizational Leadership
7. *Department Change – Management and Marketing
8. *Organizational Change – PRISM
9. *New Program – Bachelor of Arts in German
10. *2008-2014 Capital Plan
11. *Acceptance of Contributions received by the NKU Foundation
    (between April 1, 2007 through May 31, 2007)
12. *Graduate Student Honor Code and the Graduate Student Appeals Policy
13. Honorary Naming – Athlete Academic Center

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Tuesday, July 10, 2007).

AGENDA
Northern Kentucky University, (*Cont.)*

D. Executive Session:

E. Other: (Calendar Events) – Please call if you have questions about any of the following events.

14. Regular Board of Regents Meeting Schedule for 2007:
    July 11 September 12 November 14

15. Council on Postsecondary Education Meeting Schedule for 2007:
    July 16 September 16-17 (Governor's Trusteeship) November 5

16. Upcoming Activities:

Event	Date	Start Time	Ending Time*	Location
Regular Board of Regents Dinner	7/10/2007	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	7/11/2007	9:30 AM	3:00 PM	NKU, University Center Ballroom
Council on Postsecondary Education	7/16/2007	8:00 AM	Noon	Council on Postsecondary Education Office
President's Fall Convocation	8/17/2007	8:30 AM	Noon	NKU's Greaves Hall
Annual Board of Regents Retreat	8/23/2007	8:00 AM	5:00 PM	Metropolitan Club
Regular Board of Regents Dinner	9/11/2007	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	9/12/2007	9:30 AM	3:00 PM	NKU, University Center Ballroom
Council on Postsecondary Education - Governor's Trusteeship	9/16/2007	All Day Event / TBA		The Brown Hotel, 335 W. Broadway, Louisville, KY 40202
Council on Postsecondary Education - Governor's Trusteeship	9/17/2007	All Day Event / TBA		The Brown Hotel, 335 W. Broadway, Louisville, KY 40203
Alumni Lecture Series (Tentative Speakers - Tom Daschle & Pat Buchanan)	10/25/2007	5:30 PM	9:00 PM	NKU's Regents Hall, Highland Heights
Presidents Evaluation - John Moore	10/29/2007	11:00 AM - Noon or Noon - 1:00 PM		NKU Campus, Highland Heights
Council on Postsecondary Education	11/5/2007	8:00 AM	Noon	Council on Postsecondary Education Office
Regular Board of Regents Dinner	11/13/2007	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	11/14/2007	9:30 AM	3:00 PM	NKU, University Center Ballroom, Highland Heights
NKU Fall Commencement Ceremony	12/15/2007	10:00 AM	Noon	U.S. Bank Arena, Cincinnati, OH

(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

NKU Board of Regents
Regular Meeting
July 11, 2007

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
July 11, 2007

The regular meeting of the Board of Regents was called to order at 1:03 p.m. on Wednesday, July 11, 2007, by Martin Butler.

Invocation was read by Regent Peggy DeJaco.

Roll Call: Martin C. Butler, Frank K. Downing, Joyce E. Griffin, Nancy A. Barone Kremer, Denise H. McClelland, Anna Dale Pyles, Peggy A. DeJaco, Robert W. Zapp (Excused: Chuck H. Brown, Steven M. Weiss)

Others Present: James Votruba, Kimberly Luse, Sara Sidebottom, Gerry St. Amand, Mimi Assanuvat, Isaac Ferguson, Annie Buechel, Rick VanSant – *Kentucky Post*, Carole Beere, Gail Wells, Ken Ramey, Sue Moore, Kathy Stewart, Rick Meyers, Pat Moynahan, Doug Perry, Russ Kerdolff, Dennis Weatherby, Zebulun Davenport, Kevin Corcoran, Tracy Insko, Andy Meeks, Bill Farro, Mike Carrell, Melvin Grier – *Kentucky Post*, Jane Meier, Elaine Jarchow, Karen Zerhusen Kruer, Joe Ruh, Chris Cole, Lance Angle, Paula Brickler, Shanna Osborne, Michelle Kleman, David Hogan, Leah Stewart, Hilary Landwehr, Penny Bratcher, Carol Ryan, Wally Welch, Mary Kirk, Thomas Leech, Larry Blake, Meghna Singhvi

A motion was made by Regent Zapp and seconded by Regent DeJaco to approve the minutes of the May 2, 2007, Board of Regents meeting. **Motion carried.**

Presidential Comments:
Dr. Votruba noted:

- Fall enrollments appear very strong
  - 400-500 student increase for this next fall
  - Strong quality – undergraduate, graduate and law
  - Scholarship program directed at Holmes and Newport High schools
  - Leah Stewart, Director of Financial Aid – Summary of program
    - Holmes High School - 168% increase on applications
    - Newport High School - 51% increase on applications

- Lakeside Manor
  - Special session has adjourned
  - No approval of vetoed projects
  - Project 2009 occupancy
  - Costs us $60,000/month
  - Have lost approximately 200 students

- Welcome Dr. Zeb Davenport as Interim Vice President for Student Affairs
  - Arrived six months ago from James Madison University as Assistant Vice President

Presidential Comments: *(Cont)*

- Mark Shanley has moved from his Vice President role to become associate professor of education
  - Help build our student affairs track in Counseling masters program

- Welcome Matt Brown as our new Dean of Students
  - Outstanding leadership as Residence Hall Director

- Progress on:
  - BOK – Going well
  - Student Union – Progressing on time
  - Round about – Moving along nicely

- For me, there's an important milestone just around the corner
  - August 1st marks tenth year at NKU
  - Thanks to Board of Regents, Faculty, Staff, Students and Community leaders for your support over the past decade of Rachel and myself.

- Farewell to Dean Mike Carrell
  - Announce new COB dean shortly

- Farewell to Paula Brickler
  - Retiring from the University and the Office of the President after a long and distinguished career of service.
  - 22years of service
  - Graduate of NKU

- Introduction - Dean Doug Perry
  - College of Informatics – Building is in design phase

Dean Doug Perry presented the Board with an update on the Center for Informatics.

- Dr. Votruba welcomed Lance Angle
  - Just joined Office of the President
  - Lance and wife Christina both NKU grads

A. Joint Finance and Policy Committee (Reports were given by Regent McClelland):
This morning Lori Southwood, Director of Human Resources, reported on HR's activities supporting NKU's efforts to remain competitive in attracting and retaining top talent.

Currently the HR department is in the final stages of the 3 year implementation process of the new SAP information system. The scheduled go-live date is October 1st.

A comprehensive redesign of the non-faculty compensation plan will be introduced in August.

Joint Finance and Policy Committee: *(Cont.)*
In the area of benefits, the University is evaluating healthcare insurance providers. NKU is committed to providing a competitive benefit package for faculty and staff that is affordable and contains costs as health insurance prices continue to rise.

HR continues to monitor internal and external forces that may have an impact on NKU's ability to remain competitive including in salary and benefits. Today's update covered current trends in benefits including wellness and the recent developments in Kentucky and regionally on domestic partners and sponsored dependents.

Also this morning the committee received an update of the current and planned improvements to the Campus landscape from Assistant Vice President Blake. The presentation included photographs of some of the green space improvement work that has been recently completed on campus and illustrations of potential future improvements.

The presentation also included a discussion of various methods of softening and reducing buildings to a more human scale through landscaping and the introduction of ivy on large vertical concrete surfaces. We were presented with examples of various large structures and pedestrian areas throughout the country (some very similar to our facilities) where the environment and personal experience was vastly improved through landscape, seating and other relatively inexpensive alterations.

In addition the presentation included a discussion of sustainability initiatives that are currently underway, planned or being explored for the campus. Significant progress is being made in energy and water conservation, recycling and green building construction. The university is also encouraging the use of mass transit through an agreement with TANK to provide bus service at no charge to all NKU faculty, staff and students who present a valid ID card.

Finally, it was noted that the university is a charter signatory to the *American College and University Presidents Climate Commitment*. This commitment acknowledges and solidifies the university's responsibility as a role model for the region through the introduction of social, economic and technological solutions to reduce greenhouse gas emissions and reverse global warming.

Assistant Vice President Blake also provided a presentation on the status of the master plan update process for the university. In May *The Campus Studio*, a campus planning firm based in Ann Arbor, Michigan, was selected to lead the planning process. The effort will include overall master planning, traffic planning and open space design guidance. The master planning team also includes the following local firms:

- Vivian Llambi and Associates, Cincinnati, landscape design
- KLH Engineers, Ft. Thomas, Utility Engineering
- Comprehensive Facility Planning, Columbus, Ohio, space planning

The Campus Studio specializes in master planning, site design and other issues, working exclusively with colleges and universities. The firm is nationally recognized in the area of campus master planning having worked on more than 80 campuses, including the University of Louisville, Louisiana State

Joint Finance and Policy Committee: *(Cont.)*
University, and the University of Michigan. The Campus Studio was also part of the design team that developed the concept for the NKU lake improvement project.

A collaborative and open process of developing the master plan is anticipated. Significant involvement of the campus and external communities, including this Board is intended.

Several internal committees will be appointed to guide the master planning process. Negotiations with The Campus Studio and development of the master plan schedule are currently underway. The first campus visit is anticipated to occur in late summer.

And, finally, Vice President Ramey provided an update on the progress of the Emergency Communication Committee. This committee, established at the direction of Dr. Votruba, is comprised of a representative group of faculty, staff and students. They have been reviewing existing policy and procedures, researching best practices and have heard presentations from numerous potential business partners. The next step will be to assimilate all of the above information and develop strategies for enhancing the University communication system. A report to Dr. Votruba is expected in late July/early August.

B. Presidential Reports:

1. Facilities Management (Vice President Ramey):

a. Campus Way-Finding (Phase II):
There were no further comments on the report as submitted.

b. Digital Classroom/ Planetarium Project:
There were no further comments on the report as submitted.

c. New Student Union:
There were no further comments on the report as submitted.

d. Bank of Kentucky Center:
There were no further comments on the report as submitted.

e. Garage #3 and Welcome Center:
There were no further comments on the report as submitted.

f. Nunn Drive Road Project:
There were no further comments on the report as submitted.

g. Center for Informatics:
There were no further comments on the report as submitted.

h. Campus Master Plan:
There were no further comments on the report as submitted.

i. Renovate Residence Hall (Lakeside):
There were no further comments on the report as submitted.

j. VFA Facility Condition and Space Study:
There were no further comments on the report as submitted.

2. Quarterly Financial Report (July 1, 2006 through March 31, 2007):
The Quarterly Financial Report depicts the financial activity of the University as of March 31, 2007 as compared to the annual budget and the prior year's activity.

Presidential Reports: *(Cont.)*

3. **Fundraising Report (Vice President St. Amand):**
   Summary of fundraising resources committed from July 1, 2006, through May 31, 2007, totaling $6,015,315.

4. **Research/Grants/Contracts – Annual Report (Associate Provost Carole Beere):**
   This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from April 1, 2007 through May 31, 2007. During this time 26 grants were awarded with a total award amount for the noted period of $3,844,405.

C. Presidential Recommendations:

There was some discussion about Administrative and Faculty Appointments. Agreed to discuss at a later date.

Consent Agenda Items: A motion was made by Regent Downing and seconded by Regent Kremer to approve the following Presidential Recommendations as listed; C-1 through C- 12. **Motion carried.**

Discussion Agenda Items: A motion was made by Regent McClelland and seconded by Regent Zapp to approve the following Presidential Recommendation as listed; C-13. **Motion carried**

1. **Academic Affairs Personnel Actions**
   a. **Administrative Appointments (Effective July 1, 2007):**
      Julie Kunselman, Associate Professor of Criminal Justice with Tenure and Chair of the Department of Political Science and Criminal Justice, effective July 1, 2007; Gary Ozanich, Visiting Assistant Professor in the Department of Communication and Director of Strategic Development (non-tenure track renewable), effective July 1, 2007; Teri Slick, Assistant Dean/Lecturer (non-tenure track renewable) in the College of Informatics, effective July 1, 2007;

   b. **Faculty Appointments:**
      James Allen, Assistant Professor in the Department of Teacher Education and School Leadership, effective August 13, 2007; Frank Braun, Lecturer (non-tenure track renewable) in the Department of Computer Science, effective August 13, 2007; Caryn Connelly, Assistant Professor of Spanish in the Department of Literature and Language, effective August 13, 2007; Steven Crites, Assistant Professor, Department of Teacher Education and School Leadership, effective August 13, 2007; Sara Eisenhardt, Assistant Professor in the Department of Teacher Education and School Leadership, effective August 13, 2007; Kevin Gallagher, Assistant Professor in the Department of Business Informatics, effective August 13, 2007; Jane Green, Assistant Professor of Dance in the Department of Theatre and Dance, effective August 13, 2007; Gary Hackbarth, Assistant Professor in the Department of Business Informatics, effective August 13, 2007; Theodore Hodgson, Associate Professor of Mathematics Education with Tenure in the Department of Mathematics, effective August 13, 2007; Yi Hu, Assistant Professor in the Department of Computer Science, effective August 13, 2007; Eundak Kwon, Assistant Professor of Political Science in the Department of Political Science and Criminal

Presidential Recommendations: *(Cont.)*

Justice, effective August 13, 2007; Joyce Mao, Assistant Professor of History in the Department of History and Geography, effective August 13, 2007; Brad McCombs, Assistant Professor of New Media in the Department of Art, effective August 13, 2007; Kimberly Miller, Assistant Professor, Department of Teacher Education and School Leadership, effective August 13, 2007; Hans Schellhas, Assistant Professor of Graphic Design in the Department of Art, effective August 13, 2007; Dorothy Wright, Advisor/Lecturer (non-tenure track renewable) in the Department of Computer Science, effective April 16, 2007; Feng Zhu, Assistant Professor in the Department of Computer Science, effective August 13, 2007;

c. Leaves Paid/Unpaid:

Margaret M. Anderson, Professor and Chair of the School of Nursing and Health Professions, paid re-entry leave with benefits beginning July 1, 2007 through June 30, 2008; Michael Carrell, Dean, College of Business, one year paid re-entry leave with benefits effective July 1, 2007; Yvonne Meichtry, Associate Professor of Education, College of Education and Human Services, unpaid leave without benefits for the 2007-2008 academic year; Matthew Shank, Professor and Chair of the Department of Management and Marketing, one semester paid re-entry leave with benefits, effective July 1, 2007; Linda Sheffield, Professor of Mathematics and Education, College of Education and Human Services, and College of Arts and Sciences, unpaid leave without benefits for the 2007-2008 academic year;

d. Phased Resignations:

L. MacKenzie Osborne, Associate Professor of English, Phased Resignation Program Participant, effective July 1, 2008 (participation for two academic years);

e. Resignations:

Margaret M. Anderson, from the position of Chair of the School of Nursing and Health Professions, effective June 30, 2007, and returning to Professor of Nursing effective August 13, 2007; Mary Conway Dato-on, Associate Professor of Marketing in the Department of Management and Marketing, effective May 31, 2007; Vera Beth (Vickie) Stieha, Director of First Year Programs, effective March 9, 2007; Vance Wolverton, from the position of Professor and Chair in the Department of Music, to the position of Professor in the Department of Music, effective July 1, 2007;

2. Non-Academic Affairs Personnel Actions (between April 7, 2007 and June 10, 2007):

Non-academic personnel actions, which occurred between April 7, 2007, and June 10, 2007: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; and Retirees.

3. Academic Affairs Temporary Appointments:

Rosa Weaver (Academic Year) of Teacher Education and School Leadership, Michael Hibbard (Fiscal Year) of Business Informatics and Thomas Ruthmeyer (Fiscal Year) of Business Informatics have been offered and have accepted a temporary, self-terminating appointment.

Presidential Recommendations: *(Cont.)*

4. **Emeritus Status:**
   Valeria Amburgey, Professor in the Department of Kinesiology, Health and Education Foundations.

5. **Name Change — Department of Visual Arts:**
   The name of the Department of Art will now be known as the Department of Visual Arts.

6. **Program Change – Organizational Leadership:**
   That the Bachelor of Science in Organizational Leadership be moved from the College of Business to the College of Arts and Sciences.

7. **Department Change – Management and Marketing:**
   The recommendation to create two new departments (Department of Management and Department of Marketing) from the current Department of Management and Marketing.

8. **Organizational Change – PRISM:**
   The recommendation to move the PRISM (Process Reengineering and Information System Migration) fiscal and personnel responsibilities from the Division Administration and Finance to the Division of Academic Affairs.

9. **New Program – Bachelor of Arts in German**

10. **2008 – 2014 Capital Plan:**
    The recommendation to adopt the 2008-2014 Capital Plan.

11. **Acceptance of Contributions received by the NKU Foundation (between April 1, 2007 and May 31, 2007):**
    The Board of Regents officially hereby accepts contributions totaling **$493,272.06** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period April 1, 2007, through May 31, 2007.

12. **Graduate Student Honor Code and the Graduate Student Appeals Policy:**
    The recommendation to approve the Graduate Student Honor Code and the Graduate Student Appeals Policy.

13. **Honorary Naming – Athlete Academic Calendar:**
    The Board of Regents hereby approves naming of the academic advising center within the Bank of Kentucky Center as the *Thomas J. Kearns Student Athlete Academic Center*.

Dr. Votruba noted that an executive session was not necessary.

At 2:15 p.m. a motion was made by Regent DeJaco and seconded by Regent Kremer to adjourn. **Motion carried.**

Signature on File	***Signature on File***
Annie R. Buechel	**Kimberly A. Luse**
Specialist II	**Executive Assistant to the President and Secretary to the Board of Regents**

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on July 11, 2007, and that such matters are still in force and effect.

Signature on File

Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, September 11, 2007 - 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, University Center Ballroom – Wednesday, September 12, 2007 – 9:30 a.m.

9:30 a.m.

A. Joint Finance and Policy Committee:

1. Ed.D Update (Wells)
2. Emergency Plan Update (Ramey)
3. Tour of the Bank of Kentucky Arena (Ramey)

Board Lunch
NKU, Bank of Kentucky Arena - Wednesday, September 12, 2007 – 11:30 p.m.

11:30 a.m.

- Board of Regents Luncheon (Bank of Kentucky Arena)

Board of Regents Meeting
NKU, University Center Ballroom - Wednesday, September 12, 2007 - 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Oath of Office for Regent Bender (Martin Butler – Reappointment)
- Approval of July 11, 2007, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:45 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Yearly Fundraising Report (between July 1, 2006 through June 30, 2007) (St. Amand)
3. Fundraising Report (between July 1, 2007 through July 31, 2007) (St. Amand)
4. Research/Grants/Contracts Report (Beere)
   (July 1, 2006 through June 30, 2007 and July 1, 2007 through July 31, 2007)

2:00 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions
   (between June 11, 2007 and August 13, 2007)
3. *Temporary Appointments
4. *Acceptance of Contributions received by the NKU Foundation
   (between June 1, 2007 through July 31, 2007)
5. *Name Change – Department of Construction Management
6. *New Program – Doctor of Education in Educational Leadership
7. *Resolution – Interlocal Cooperative Agreement
8. *Revisions to the University Organizational Structure
9. * Demolition of 3510 Alexandria Pike – Lakeside Property

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Tuesday, August 11, 2007).

AGENDA
Northern Kentucky University

D. Executive Session:

E. Other: (Calendar Events) – Please call if you have questions about any of the following events.

10. Regular Board of Regents Meeting Schedule for 2007:
    November 14

11. Regular Board of Regents Meeting Schedule for 2008:
    January 9 July 9
    March 12 September 10
    May 14 November 12

12. Council on Postsecondary Education Meeting Schedule for 2007:
    September 16-17 (Governor's Trusteeship) November 5

13. Upcoming Activities:

Event	Date	Start Time	Ending Time	Location
Regular Board of Regents Dinner	9/11/2007	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	9/12/2007	9:30 AM	3:00 PM	NKU, University Center Ballroom
Council on Postsecondary Education - Governor's Trusteeship	9/16/2007	All Day Event / TBA		The Brown Hotel, 335 W. Broadway, Louisville, KY 40202
Council on Postsecondary Education - Governor's Trusteeship	9/17/2007	All Day Event / TBA		The Brown Hotel, 335 W. Broadway, Louisville, KY 40203
***Staff Appreciation Day Lunch**	10/02/2007	Noon	1:30 PM	NKU, Regents Hall
***Gubernatorial Debate**	10/03/2007	6:00 PM	9:00 PM	NKU, Band room/ Greaves Hall
Alumni Lecture Series (Tentative Speakers - Tom Daschle & Pat Buchanan)	10/25/2007	5:30 PM	9:00 PM	NKU's Regents Hall, Highland Heights
Presidents Evaluation - John Moore	10/29/2007	11:00 AM - Noon or Noon - 1:00 PM		NKU Campus, Highland Heights
Council on Postsecondary Education	11/5/2007	8:00 AM	Noon	Council on Postsecondary Education Office
Regular Board of Regents Dinner	11/13/2007	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	11/14/2007	9:30 AM	3:00 PM	NKU, University Center Ballroom, Highland Heights
NKU Fall Commencement Ceremony	12/15/2007	10:00 AM	Noon	U.S. Bank Arena, Cincinnati, OH

***- Denotes a New or Updated Event**

(Regents - Please respond by calling the President's Office at 572-5123 to confirm your attendance at the above events)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
September 12, 2007

The regular meeting of the Board of Regents was called to order at 1:07 p.m. on Wednesday, September 12, 2007, by Martin Butler.

Invocation was read by Regent Joyce Griffin.

Roll Call: Martin C. Butler, Peggy A. DeJaco, Frank K. Downing, Joyce E. Griffin, Nancy A. Barone Kremer, Denise H. McClelland, Anna Dale Pyles, Josh M. Ruth, Steven M. Weiss, Robert W. Zapp, C. Alyse Bender (Excused: Chuck H. Brown)

Others Present: James C. Votruba, Kimberly A. Luse, Sara Sidebottom, Gerry St. Amand, Mimi Assanuvat, Isaac Ferguson, Lance Angle, Chris Cole, Rick VanSant – *Kentucky Post*, Bill Farro, Pat Moynahan, Zeb Davenport, Elaine Jarchow, John Beehler, Scott Eaton, Meghna Singhvi, Jane Meier, Larry Blake, Carole Beere, Mary Ucci, Gail Wells, Ken Ramey, Sue Moore, Chris Bowling, Rick Meyers, Harold Todd, Kevin Corcoran, Joe Wind, Chuck Hawkins, Tracy Insko, Dave Bender, Nancy Bender, Doug Perry, Joel Robinson, Ryan Clark, Megan McCarty, Don Gorbandt, Kyle Comley, Joe Ruh, Matt Brown, Cliff Peale – *Enquirer*, Larry Meyer, Jennifer Corbett – *The Northerner*, Mary Paula Schuh, Jim Nilson, Tom McKee – *Channel 9 News*

The oath of office for the newly assuming Regent, C. Alyse Bender, was performed. Regent Bender swore to uphold all stipulations of the oath and faithfully execute, to the best of her ability, the office of Regent of Northern Kentucky University according to law.

A motion was made by Regent DeJaco and seconded by Regent Pyles to approve the minutes of the July 11, 2007, Board of Regents meeting. **Motion carried.**

Presidential Comments:
Dr. Votruba opened by saying, "We're going to add an item that the Policy and Finance Committee was not able to address earlier today because of time constraints. We will add that under Presidential Reports right after the report of the Joint Policy and Finance Committee.

We're off to another great year at the University. I want to congratulate our Regent Marty Butler for his reappointment to the Board and also congratulations to our new Regent Alyse Bender.

Sometimes I am asked who as a University we are trying to be like and it's a difficult question to answer because we have been working for the last ten years to create a new model for the modern metropolitan universities. We are seeing other universities looking to us in certain domains of our work. What it means to be a model for the modern metropolitan university is that we have high quality undergraduate and graduate programs that are strongly aligned with the needs of the region, affordable and accessible, selective areas of research excellence, and public engagement. Right now we are involved in three different initiatives: The business plan, master plan update, and the strategic plan.

There was a brief update on the Education Doctorate this morning. The next step for us will be to have an external consultant come in and take a look at the program and validate it's alignment with some of these reform interests. And then the program, assuming the Board approves, will go to CPE for review and ultimate approval. Professor Wasicsko made the point that we have about 200 students that have

indicated their active interest in enrolling in that program. It is intended to be consistent with Vision 2015. It is our hope that no later than next summer of 2008 that we will be admitting our students into this program.

A couple weeks ago we had the dedication of the Haile U.S. Bank Digital Science Center. This is yet another legacy of Ralph Haile and his commitment to this metropolitan region.

We just returned from a tour of the Bank of Kentucky Center. You can really see the difference. I want to congratulate and thank Ken Ramey, Larry Blake and all of the staff who are working so hard on this. We have a ton of construction going on right now.

Finally, I want to acknowledge Dr. Davenport and his staff in completing a self study of Student Affairs in warp speed. We will be getting a national search for the next Vice President for Student Affairs. I wanted to have Student Affairs look at itself first and get a clear understanding of where we have strengths, where we can improve and most importantly what is it that will allow Student Affairs to step to the next level. Zeb has completed that report.

So, a lot of things are going on. Enrollments, of course, are strong, and the fall semester is under way. We've only had one fender bender in the round about. It has gone really well.

Mr. Chairman, that completes my comments. Thank you."

A. <u>Joint Finance and Policy Committee:</u> (Reports were given by Regent Secretary McClelland)
This morning, Provost Gail Wells, and Endowed Chair, Dr. Mark Wasicsko, provided the Board with an update on the progress of the Doctor of Education in Educational Leadership.

The new Ed.D Program will be a practitioner-scholar degree for fully-employed, experienced educators.

There will be concentrations in instructional and administrative leadership in P-12 and higher education settings.

There will be a Regional Stewardship focus on local, regional and global educational leadership issues with an action research dissertation to impact the workplace and community.

The benefits to NKU as well as the region were outlined. Our area needs this program to help meet the CPE goals of reaching the national average for degrees at all levels. It also helps fulfill the regional stewardship mission while it moves NKU to the next level of excellence.

This morning the Board of Regents had a briefing by Provost Gail Wells and Vice President Sue Hodges Moore regarding the NKU Business Plan Development.

Reasons for developing a Business Plan were reviewed.

The Statewide Public Agenda was outlined for context. CPE has asked NKU to increase enrollments to nearly 23,000 by 2020 to help KY reach the national educational level. This is an increase of nearly 5% annually.

CPE also expects NKU to fill the degree gap by 3,149 by 2020. That amounts to an average annual increase of 5.2%.

Vision 2015 has two goals that have great implications for the Business Plan. One is the creation of 50,000 new jobs by 2015 and the second is to meet or exceed national educational attainment standards at all levels.

We must create opportunities to sustain and retain our talent force in NKY.

The first phase of the Business Plan was to assess regional talent force needs for Bachelor's degrees and higher and calculate return on investment.

The second phase of the Business Plan was to outline what NKU must do to build the intellectual capacity, infrastructure, and facilities needed and to analyze the cost to build this capacity.

The findings and parameters were reviewed, and it was emphasized that CPE's capital priorities for NKU for the 2006-08 biennium are an urgent need.

For purposes of the Business Plan, it was modeled out what it will take to meet NKU's funding needs, both in terms of tuition and state appropriation. The numbers are preliminary at this stage. At this stage, they show an $18M gap between state funding and tuition rate increases.

NKU's business plan must outline a multi-faceted strategy.

Growth targets and performance indicators are being established. Timeline and next steps were shared.

Dr. Votruba noted that the new Dean of the College of Business, John Beehler, was in attendance and congratulated him.

He also asked Ken Ramey to update the Board on the Emergency Communication Plan because they did not get a chance to review it at the morning meeting.

Mr. Ramey noted, "In the wake of the Virginia Tech tragedy there has been a nation wide drive for universities and colleges both public and private alike to find the best solutions for quickly communicating to people on campus. In April of 2007, the University established an Emergency Communication Task Force to investigate options available for implementation on our campus. This was established under the direction of Dr. Votruba. This group has met for the past four months. We have done numerous things to identify issues and safety concerns across campus. Our primary focus has been to talk about emergency communications in the unlikely event that there would be a catastrophic emergency on campus. Members of the committee range from across the campus.

We took a look at our current policies and procedures that we have now on campus. We have a very detailed facilities management emergency operations plan. We're trying to figure out a way to communicate that plan to the entire campus. We believe the plan is up to date at this point in time.

Current capabilities that we do have on campus: we can email everyone that we do have accurate email addresses on very quickly, we have contacts in all the buildings that we can contact with one phone call, and all buildings are fire alarmed.

We had firms come in and tell us what we needed to do. We conducted a survey amongst our faculty, staff and students. We had a tremendous response from our student body. E-mail, cell phone text messages and cell phone voice messages are indicated as the best form of communication. We've also looked at a public address system for the campus. We need to communicate quickly with all building occupants, both inside and outside of the building, in both a siren format and voice capability. We should begin the process of purchasing and placing security cameras in high profile areas of the campus. We should be considering employing a full-time risk manager to implement, manage and monitor all of these recommendations and updates for issues on campus.

That concludes the report Dr. Votruba."

There was some discussion about this update.

B. <u>Presidential Reports</u>:

1. Facilities Management (Vice President Ramey):

a. Campus Way-finding Project (Phase II):
There were no further comments on the report as submitted.

b. Digital Planetarium Project:
There were no further comments on the report as submitted.

c. Center for Informatics:
There were no further comments on the report as submitted.

d. Campus Master Plan:
There were no further comments on the report as submitted.

e. New Student Union:
There were no further comments on the report as submitted.

f. Bank of Kentucky Center:
There were no further comments on the report as submitted.

g. Garage #3 and Welcome Center:
There were no further comments on the report as submitted.

h. Nunn Drive Road Project:
There were no further comments on the report as submitted.

i. Renovate Residence Hall (Lakeside):
There were no further comments on the report as submitted.

2. Yearly Fundraising Report (between July 1, 2006 through June 30, 2007):
Summary of fundraising resources committed from July 1, 2006, through June 30, 2007, totaling $7,755,564.

Vice President St. Amand noted that it was a good year. He also mentioned that four firms are scheduled to come in to do presentations for us to select a consultant to walk us through a feasibility study for purposes of the next campaign.

3. **Fundraising Report (Vice President St. Amand):**
   Summary of fundraising resources committed from July 1, 2007, through July 31, 2007, totaling $284,409. There were no further comments on the report as submitted.

4. **Research/Grants/Contracts – Award Report (Provost Wells):**
   The report summarized the successful proposals with the amount of award for each proposal for NKU faculty and staff fiscal year July 1, 2006, through June 30, 2007, and the period of July 1, 2007, through July 31, 2007.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Downing and seconded by Regent Zapp to approve the following Presidential Recommendations as listed; C-1 through C-9. **Motion carried.**

1. **Academic Affairs Personnel Actions**
   a. **Administrative Appointments:**
   John M. Beehler, Associate Provost for Economic Initiatives and Dean of the College of Business, effective August 13, 2007; Carol Honabach Cornell, Director of the Small Business Development Center, effective July 1, 2007; Kirsten Fleming, from Professor and Chair of the Department of Mathematics, to Professor and Interim Executive Director of the Kentucky Center for Mathematics, effective July 1, 2007; Sean Foley, from Associate Professor in the Department of Construction Management, to Associate Professor and Chair of the Department of Construction Management, effective July 1, 2007; Jan Hillard, from Assistant Vice President for Economic Initiatives, to Interim Associate Provost for Regional Stewardship from July 1, 2007, through June 30, 2008; Gail Mackin, from Associate Professor in the Department of Mathematics, to Associate Professor and Interim Chair of the Department of Mathematics, effective July 1, 2007; Debra Meyers, from Associate Professor of History, to Associate Professor of History and Director of the Liberal Studies Program; Denise Robinson, Professor and Interim Chair of the School of Nursing and Health Professions, effective July 1, 2007; Kurt Sander, from Associate Professor in the Department of Music to Associate Professor and Chair of the Department of Music, effective July 1, 2007; Salina Shrofel, Graduate Dean and Associate Provost for Research, effective September 1, 2007; Tracey Sigler, from Associate Professor in the Department of Management and Marketing, to Associate Professor and Interim Chair of the Department of Management and the Department of Marketing, effective July 1, 2007, through June 30, 2008;

   b. **Faculty Appointments:**
   Liza Abram Benham, Assistant Professor in the Department of Political Science and Criminal Justice, effective August 13, 2007; Frank Braun, Lecturer (non-tenure track renewable) in the Department of Business Informatics, effective August 13, 2007; Kent Curtis, from Professor in the Department of Construction Management and Organizational Leadership, to Professor in Organizational Leadership, College of Arts and Sciences, effective August 13, 2007; Tom Edwards, from Assistant Professor in the Department of Construction Management and

Organizational Leadership, to Assistant Professor in the Department of Organizational Leadership, College of Arts and Sciences, effective August 13, 2007; Augustine Yaw Fimpong-Mansoh, Assistant Professor in the Department of Sociology, Anthropology and Philosophy, effective August 13, 2007; Patricia Fisk, Lecturer (non-tenure track renewable) in the College of Education and Human Services Advising Office, effective July 1, 2007; Cindy L. Foster, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions, effective August 13, 2007; Jaesook Gilbert, Assistant Professor in the Department of Teacher Education and School Leadership, effective August 13, 2007; Lois Hamill, Assistant Professor and University Archivist in Steely Library's Special Collections and Archives, effective October 1, 2007; Julie Hart, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions, effective August 13, 2007; Douglas William Hume, Assistant Professor in the Department of Sociology, Anthropology and Philosophy, effective August 13, 2007; Karen Leek, Lecturer (non-tenure track renewable) in the Department of Radiologic Technology, effective August 13, 2007; Eimear Mullen, Assistant Professor in the Department of Biological Sciences, effective August 13, 2007; Sallie Parker Lotz, Lecturer (non-tenure track renewable)/Advisor in the School of Nursing and Health Professions, effective July 1, 2007; John Rockaway, Associate Professor and Director of Geology in the Department of Physics and Geology, effective July 1, 2007; Kenneth Ryack, Assistant Professor in the Department of Accountancy, effective August 13, 2007; Elizabeth Schoulties, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions, effective August 13, 2007; Mark Shanley, Adjunct Associate Professor in the Department of Counseling, Human Services, and Social Work, effective July 1, 2007, through June 30, 2008; Frank X. Walker, Lecturer/Writer in Residence (non-tenure track renewable) in the Department of Literature and Language, effective August 13, 2007; Andrea S. Watkins, Assistant Professor in the Department of History and Geography, effective August 13, 2007;

c. Resignations:
Carole Beere, Associate Provost for Graduate Studies and Outreach, effective June 30, 2007; Susan Cook, Lecturer (non-tenure track renewable) in the Department of Teacher Education and School Leadership, effective July 13, 2007; Jennifer Cottingham, Lecturer (non-tenure track renewable) in the Department of Teacher Education and School Leadership, effective July 16, 2007;

d. Phased Resignations:
Albert Pinelo, Professor in the Department of Political Science and Criminal Justice, Phased Resignation Program Participant, beginning with the academic year 2006-2007 (participation for three academic years);

e. Retirements:
Frank Dietrich II, Professor in the Department of Mathematics, effective May 9, 2008;

2. Non-Academic Affairs Personnel Actions (between June 11, 2007 and August 13, 2007):
The following categories of non-academic personnel actions, which occurred between June 11, 2007, and August 13, 2007, were approved: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Retirees; and Administrative.

3. **Academic Affairs Temporary Appointments:**
Vicki Kier (Fiscal Year) of Biological Sciences; Gregory A. Bryant (Fiscal Year) of the Dean's Office; Terence Anthony Fleming (Academic Year), Michael Marchman (Academic Year), and Okera Nsombi (Academic Year) Of History and Geography; Katya Cherifa Belhabib (Academic Year), Nancy Bowers (Academic Year), Jeremy T. Goebel (Academic Year), Paul Goodin (Academic Year), Angela K. Hesson (Academic Year), Darlene Hollon (Academic Year), Melissa K. Ibarra (Academic Year), Stephen Leigh (Academic Year), Virginia Paddock (Academic Year), Dolores Schreiner (Academic Year), Martha L. Viehmann (Academic Year), and Mari York (Academic Year) of Literature and Language; Michael Collins (Academic Year), Marilyn Hoffman (Academic Year), Debra C. Krug (Academic Year), Barbara Phillips (Academic Year), and Patsy Sisson (Academic Year) of Mathematics; Frederick Brockmeier IV (Academic Year), Syl Flores (Fiscal Year), Dixie Leather (Fiscal Year), and Robert Willoughby (Academic Year) of Organizational Leadership; Chari Ramkumar (Academic Year) of Physics and Geology; Frank H. Edelen, Jr. (Academic Year), Gail O'Brien (Academic Year), Fred W. Rhynhart (Academic Year), and Jill Shelley (Academic Year) of Political Science and Criminal Justice; Mary E. Markivich (Academic Year), Roland Lee Peckham (Academic Year), and Juliann Young (Academic Year) of Psychology; Gregory Bigot (Academic Year), Thomas Foster (Academic Year), Nicole Grant (Academic Year), Michael Simonton (Academic Year), and Kristie Vise (Academic Year) of Sociology, Anthropology and Philosophy; Christine Jones (Academic Year) of Theatre and Dance; Barry Dalton (Fiscal Year), Scott Fennell (Fiscal Year), Mark Leopold (Fiscal Year), and Devin Schenk (Fiscal Year) of the Center for Applied Ecology; Matthew Albritton (Academic Year) Heidi Endres (Academic Year), Richard D. Fruth (Academic Year), Martin T. Meersman (Academic Year), and Matthew Miller-Novak (Academic Year) of Visual Arts; Jim Justice (Fiscal Year) of the Kentucky Center for Mathematics; Lorraine Ruh (Academic Year) and Robert Salyer (Academic Year) of Accountancy; Steven Devoto (Academic Year) and Carl Simkonis (Academic Year) of Economics and Finance; Lou Manchise (Academic Year) of Management; and Donna Crane (Academic Year) of Marketing.

4. **Acceptance of Contributions received by the NKU Foundation (between June 1, 2007 and July 31, 2007):**
The Board of Regents officially hereby accepts contributions totaling **$1,844,160** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period June 1, 2007 through July 31, 2007 per the below list.

5. **Name Change – Department of Construction Management:**
The name of the Department of Construction Management/Organizational Leadership will now be known as the Department of Construction Management.

6. **New Program – Doctor of Education in Educational Leadership:**

7. **Resolution – Interlocal Cooperative Agreement:**
Authorization for continued participation in the Northern Kentucky Cooperative for Educational Services.

8. **Revisions to the University Organizational Structure:**
   The proposed organizational structure, as outlined in the Board Materials, reflects all NKU Administrative changes from March 14, 2007, to September 12, 2007. The reporting lines listed are for Director level and above, but include: individuals who directly report to the President; Department Chairs under Academic Affairs; Coaching area under Intercollegiate Athletics; and the Manager of the Bookstore/Follet and Food Services/Chartwells, which are separate entities from the University.

9. **Demolition of 3510 Alexandria Pike – Lakeside Property:**
   That the administration be authorized to demolish the 1,730 square foot white block building on the Lakeside property, located at 3510 Alexandria Pike in Highland Heights, was previously used as the county work/detention center

D. <u>Executive Session:</u>

1. A motion was made by Regent DeJaco and seconded by Regent Pyles to enter into Executive Session. **Motion carried.**
2. A motion was made by Regent Weiss and seconded by Regent Kremer to close the Executive Session. **Motion carried.**

A motion was made by Regent Weiss and seconded by Regent Kremer to approve the motion, in regards to a personnel issue, made during Executive Session. **Motion carried**

At 2:15 p.m. a motion was made by Regent DeJaco and seconded by Regent Bender to adjourn. **Motion carried.**

_____ _____

Annie R. Buechel
Specialist II

Kimberly A. Luse
Executive Assistant to the President
and Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on September 6, 2006, and that such matters are still in force and effect.

_____

Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, November 13, 2007 - 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, University Center Ballroom – Wednesday, November 14, 2007 – 9:30 a.m.

9:30 a.m.

A. Joint Finance and Policy Committee:

1. NKU Business Plan (Moore/Wind)
2. CPE 2008/2010 Biannual Budget Update (Moore)
3. Master Plan Update (Ramey)
4. Annual Financial Report (2006-2007) (Ramey/Kerdolff)

Board Lunch
NKU, President's Dining Room - Wednesday, November 14, 2007 – 12:30 p.m.

12:30 p.m.

- Board of Regents Luncheon (Presidents Dining Room – UC 105)

Board of Regents Meeting
NKU, University Center Ballroom - Wednesday, November 14, 2007 - 1:30 p.m.

1:30 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of September 11, 2007, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:50 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Fundraising Report (between July 1, 2007 through September 30, 2007) (St. Amand)
3. Research/Grants/Contracts Report (August 1, 2007 through September 30, 2007) (Wells/Shrofel)
4. *Annual Financial Report (2006-2007) (Ramey)

2:00 p.m.

C. Presidential Recommendations:

1. **Academic Affairs Personnel Actions
2. **Non-Academic Affairs Personnel Actions (between August 14, 2007 and October 15, 2007)
3. **Temporary Appointments
4. **Acceptance of Contributions received by the NKU Foundation (between September 1, 2007 through September 30, 2007)
5. **Easement Agreement – Kentucky Data Link, Inc.
6. **Demolition of 211 Johns Hill Road
7. **Capital Plan - Amendment
8. **NKU Business Plan

* The documentation was not available at the time of distribution. As the document/s become available, they will be forwarded for your consideration.

** Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Tuesday, November 11, 2007).

AGENDA
Northern Kentucky University

D. Executive Session:

E. Other: (Calendar Events) – Please call if you have questions about any of the following events.

9. Regular Board of Regents Meeting Schedule for 2008:

January 9	July 9
March 12	September 10
May 14	November 12

10. Upcoming Activities:

Event	Date	Start Time	Ending Time	Location
Regular Board of Regents Dinner	11/13/2007	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	11/14/2007	9:30 AM	3:00 PM	NKU, University Center Ballroom
NKU Fall Commencement Ceremony	12/15/2007	10:00 AM	1:00 PM	US Bank Arena
Regular Board of Regents Dinner	01/08/2008	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	01/09/2008	9:30 AM	3:00 PM	NKU, University Center Ballroom

***- Denotes a New or Updated Event**

(Regents - Please respond by calling the President's Office at 572-5172 to confirm your attendance at the above events)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
November 14, 2007

The regular meeting of the Board of Regents was called to order at 1:30 p.m. on Wednesday, November 14, 2007, by Martin Butler.

Invocation was read by Regent Weiss. He noted that two members of the Board, Bob Zapp and Joyce Griffin, both have spouses who are ill. He asked that everyone extend their thoughts and prayers to them and their families.

A moment of silence was observed for an NKU student, Samantha Spade, who was killed in an automobile accident.

Roll Call: Chuck H. Brown, Martin C. Butler, Peggy A. DeJaco, Frank K. Downing, Nancy A. Barone Kremer, Anna Dale Pyles, C. Alyse Bender, Steven M. Weiss, (Excused: Joyce E. Griffin, Denise H. McClelland, Robert W. Zapp)

Others Present: James C. Votruba, Kimberly A. Luse, Sara Sidebottom, Gerry St. Amand, Mimi Assanuvat, Isaac Ferguson, Lance Angle, Chris Cole, Rick VanSant – *Kentucky Post*, Russ Kerdolff, Bill Farro, Zeb Davenport, Mark Shanley, Gail Wells, Ken Ramey, Sue Moore, John Beehler, Mary Ryan, Matt Brown, Larry Meyer, Larry Blake, Joe Wind, Pat Moynahan, Harold Todd, Rick Meyers, Kathy Stewart, Evan Luse, John Mains, Tracy Insko, Cassie Stone – *Northerner*, Tim Ferguson, Salina Schrofel

A motion was made by Regent Weiss and seconded by Regent Bender to approve the minutes of the September 12, 2007, Board of Regents meeting. **Motion carried.**

Presidential Comments:
Dr. Votruba noted:
This morning kicked off the Northern Kentucky Vision 2015 Education Summit

- Campus business plan
  - The business plan reflects who we are. It connects what we intend to be with what the state and the region want us to be.
  - It is going to take investment

Thanks to Sue Moore, Joe Wind, Gail Wells and all who contributed.

- CPE has recommended a strong budget for NKU
  - 20% increase over two years
  - 6.3 million for bucks for brains
  - Founders Hall renovation
  - Construction of Health Professions building
- Master plan update
  - How we maintain our up close and personal feel
  - Not brutalism! (modernist)

Congratulations to Ken and his staff.

- Athletics
  - Men's soccer – Regional champs
  - Women's volleyball – Regionals
  - Men's cross country – Nationals

Presidential Comments: *(Cont)*

- Campus is working very hard
  - Prism
  - SACS
  - Construction
  - Expanded enrollments
  - Etc.
- Annual financial report
  - The University is in a strong condition but there are some major financial expenditures.
- Last BOR meeting that will be covered by the Post
  - Mike Phillips—been a real leader in this community
  - Rick VanSant—has covered the Board and University for the last 6 years. We appreciate the work you have done!

A. Joint Finance and Policy Committee: (Reports were given by Regent Kremer)
[Business Plan: It's All About Talent!]
This morning the Board heard a presentation from the staff and consultants highlighting the proposed institutional business plan that sets the University's path, in terms of needed investments and strategies, to 2020.

The business plan addresses the ambitious goals to double the number of bachelor degrees and to be a catalyst for over 50,000 new high-wage jobs in Northern Kentucky.

Today's presentation provided an update of the talent force analysis, implementation strategies, and financial plan since the draft version.

The presenters included (in order of appearance):
Dr. Sue Hodges Moore, Vice President for Planning, Policy & Budget
Mr. Rick Biedenweg, President & Founder of Pacific Partners Consulting Group
Dr. Gail Wells, Vice President for Academic Affairs & Provost
Ms. Patricia Burch, Managing Principal of The Stillwater Group
Mr. Joseph Wind, Vice President for Government and Community Relations

[CPE 2008-10 Biennial Budget Recommendation]
Also this morning, Dr. Sue Hodges Moore, Vice President for Planning, Policy & Budget, updated the Board on CPE's 2008-10 biennial budget recommendations for NKU and Kentucky's postsecondary education system.

The presentation included an overview of CPE guiding principles, priorities, and recommendations for the operating and capital budget.

Operating budget recommendations for NKU over the 2008-10 biennium total $12,774,800, which is the largest increase of all public universities.

Joint Finance and Policy Committee: *(Cont.)*
Capital budget recommendations for NKU facilities include Founders Hall as CPE's #1 priority and the Health Innovation Center as CPE's #2 priority.

Next steps include the Governor's budget in January, followed by budgets introduced by the House and Senate in February/March.

[Master Plan]
The Campus Studio, a firm based in Ann Arbor, Michigan, has begun work to update the university master plan. A Master Plan Executive Committee and a Master Plan Advisory Committee are in place, along with a Space Planning Task Force.

After initial campus meetings October 24-25th, the master planners were back on campus yesterday and today, primarily to share their findings but also to talk about goals and objectives for the master plan.

The campus sessions held in October, including a Town Hall Session held at the Campbell County Extension Center, were well attended. The team challenged attendees of these sessions to identify their favorite place on campus; to identify student hang-out places-whether on-campus or off-campus; to identify areas of concern; and, finally, to suggest an area, if they were president for a day, that they would change.

The master planners identified some tentative goals for the master plan. There was discussion about the positives of the campus, including the up-close-and-personal nature of the campus today, that need to be preserved as we think about campus growth. They shared various techniques that can be employed to accommodate growth while maintaining the character of the campus. These ideas, along with the ideas which flow from this visit to campus, will be incorporated into their thinking as they develop alternatives for future development of the campus. In keeping with the objective for a collaborative and open process for this effort, the master planners will present three alternatives to the campus community, and this Board, for feedback and comment at meetings scheduled for January 8 through 10th.

[2006-07 Annual Financial Report]
Vice President Ramey and Comptroller Kerdolff presented highlights from the University's 2006-07 Annual Financial Report. Partner Bernie Backert attended the meeting for the University's audit firm, Crowe Chizek and Co. LLC for Crowe Chizek. Highlights of the presentation included:

- Total assets grew by about $133 million for the year. This increase is attributable to a growth in net capital assets and noncurrent cash and cash equivalents related to capital construction projects, including the Student Union, The Bank of Kentucky Center and a parking garage.

Joint Finance and Policy Committee: *(Cont.)*

- Total liabilities increased by $59 million, primarily due to the issuance of $49 million in General Receipts Bonds to finance the Student Union and parking garage projects.

- Net assets increased by $74 million, or 49 percent for the year. Net assets invested in capital assets, net of related debt and restricted expendable net assets increased by a combined $72 million. The $54 million capital appropriation received by the University for The Bank of Kentucky Center contributed to this increase. The University's unrestricted net assets increased by $2 million for the year.

- Operating and nonoperating revenues increased by $15 million last year, including a $2.5 million increase in the state appropriations, a $4.9 million increase in net tuition revenues and a $2.4 million increase in investment earnings.

- Operating and nonoperating expenses increased by $13 million last year. More than half of this increase was related to the University's core mission areas of instruction, research, public service and academic support.

- Overall, the University's financial position was strong at June 30, 2007. The University campus is under going a transformation with the construction of The Bank of Kentucky Center, the Student Union and a new parking garage. The University issued nearly $49 million in bonds to help fund these projects which were essential to increase our capacity to serve our students and the community. The University continues to face the challenge of finding resources to fund construction and ERP expenses in addition to funding academic initiatives. In order to build the capacity to meet goals established by the Council's Public Agenda and 2020 plan and the ambitious goals established by the region's Vision 2015 plan the University will require a significant increase in resources, including state capital and operating appropriations. Additional funding for the University is an investment in the future of both the region and the Commonwealth. The University's business plan demonstrates that the return on the Commonwealth's investment in the University will far exceed the appropriations that will be necessary to achieve the Commonwealth's and the Council on Postsecondary Education's ambitious plans for the University.

Dr. Votruba mentioned an editorial that Regent Anna Dale Pyles shared with him that was in the State Journal on November 12th.

B. Presidential Reports:

1. Facilities Management (Vice President Ramey):

a. Campus Way-Finding (Phase II):
There were no further comments on the report as submitted.

b. Center for Informatics:
There were no further comments on the report as submitted.

c. Campus Master Plan:
There were no further comments on the report as submitted.

Presidential Reports: *(Cont.)*

d. New Student Union:
There were no further comments on the report as submitted.

e. Bank of Kentucky Center:
There were no further comments on the report as submitted.

f. Garage #3 and Welcome Center:
There were no further comments on the report as submitted.

g. Renovate Residence Hall (Lakeside):
There were no further comments on the report as submitted.

2. Fundraising Report (Vice President St. Amand):
Summary of fundraising resources committed from July 1, 2007, through September 30, 2007, totaling $808,724.
There were no further comments on the report as submitted.

3. Research/Grants/Contracts – Annual Report (Wells/Schrofel):
This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from August 1, 2007 through September 30, 2007. During this time 24 grants were awarded with a total award amount for the noted period of $1,940,918.
There were no further comments on the report as submitted.

Provost Wells introduced Dr. Salina Schrofel to NKU

4. Annual Financial Report (2006-2007):
The Annual Financial Report depicts the financial activity of the University for the fiscal year ended June 30, 2007.
There were no further comments on the report as submitted.

C. Presidential Recommendations:
Dr. Votruba noted that he would like to move item C 8 from consent to a board resolution. There's a feeling that changes may need to be made in the coming months to the Business Plan and the endorsement of the Board will allow for flexibility to tweak the Plan as needed. It will come off the consent items.

Item C 8, the NKU Business Plan, is submitted under the revised recommendation that calls for an endorsement by the board. A motion was made by Regent Weiss and seconded by Regent Brown. **Motion carried.**

Consent Agenda Items: A motion was made by Regent DeJaco and seconded by Regent Weiss to approve the following Presidential Recommendations as listed; C 1-C 7. **Motion carried.**

1. Academic Affairs Personnel Actions

a. Faculty Appointments:
Dianne Benedict, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions, effective August 13, 2007; Alina Campan, Visiting Assistant Professor in the Department of Computer Science, effective August 13, 2007; Kim Dinsey-Read, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions, effective August 13, 2007; Billie Jean Kosak, Assistant Professor in the School of Nursing and Health Professions, effective August 13, 2007; Jayne Lancaster, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions, effective August 13, 2007;

Presidential Recommendations: *(Cont.)*

Deborah A. Patten, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions, effective August 13, 2007; Pamela L. Phares, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions, effective August 13, 2007; Olena Pilyayeva, Advisor/Lecturer (non tenure-track renewable) in the College of Business Advising Center, effective August 20, 2007; Yvonne Quintanilla, Assistant Professor in the Department of Counseling, Human Services and Social Work, effective August 13, 2007; Frank Obinson, Advisor/Lecturer (non-tenure track renewable) in the College of Business Advising Center, effective August 20, 2007; Valerie Rowland, Assistant Professor in the School of Nursing and Health Professions, effective August 13, 2007; Amber Thomas, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions, effective August 13, 2007;

b. Resignations:

Michelle Heatherton, Master Advisor/Lecturer II in the College of Informatics, effective August 31, 2007; **Nicole Minor**, Assistant Professor in the Department of Biological Sciences, effective July 30, 2007; **Leslie D. Turner**, Chair and Full Professor in the Department of Accountancy, effective June 30, 2008;

c. Retirements:

Michael L. Turney, Professor of Journalism and Executive Faculty Coordinator for SACS Reaffirmation, effective October 31, 2007;

2. Non-Academic Affairs Personnel Actions (between August 14, 2007 and October 15, 2007):

The following categories of non-academic personnel actions, which occurred between August 14, 2007, and October 15, 2007, were approved: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/ Temporary to Regular; Departures; Retirees; and Administrative.

3. Academic Affairs Temporary Appointments: (Academic Year Unless Noted)

Thomas Brackman of CINSAM; Scott Fennell (Fiscal Year) of the Center for Applied Ecology; Patricia Kappesser (Fall Semester) and Bonnie Richmond of the Department of Biological Sciences; Kristi Brock of the Department of Literature and Language; Laura Plante of the Kentucky Center for Mathematics; Shelley Cain and John Taylor of the Department of Mathematics; Shawn Ehireime Okpebholo of the Department of Music; Landewatte A. De Silva and Tharanga M. Kariyawasam of the Department of Physics and Geology; Louis V. Martin of the Department of Political Science; Juliann Young of the Department of Psychology; Molly Blenk (Fall Semester) and Robert Kenney of the Department of Sociology, Anthropology, and Philosophy; Michael Hatton of the Departmetn of Theatre and Dance; Chris Smith of the Department of Visual Arts; Mary Bucklin of the Department of Women's Studies; Sandra Sumerfield (Fiscal Year) of the Advising Center has been offered and has accepted a temporary, self-terminating appointment pending approval of the Board of Regents.

Presidential Recommendations: *(Cont.)*

4. **Acceptance of Contributions received by the NKU Foundation (between September 1, 2007 and September 30, 2007):**
   The Board of Regents officially hereby accepts contributions totaling $71,600.52 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period September 1, 2007, through September 30, 2007.

5. **Easement Agreement – Kentucky Data Link, Inc.:**
   Authorization to grant an easement to Kentucky Data Link, Inc. for installation of a fiber optic cable through existing and new campus underground pathways, from Johns Hill Road to the Gateway facility at the north end of campus.

6. **Demolition of 211 Johns Hill Road:**
   Authorization to demolish the house located at 211 Johns Hill Road.

7. **Capital Plan – Amendment:**
   Adopt the 2008-2014 Capital Plan, as amended, and approve the addition of the "Academic Space Lease – (Highland Heights)" to the 2008-2010 Capital Budget.

8. **NKU Business Plan:**
   Moved from a consent agenda item to a board resolution as requested by Dr. Votruba.

D. Executive Session:

1. At 1:53 p.m. a motion was made by Regent DeJaco and seconded by Regent Downing to enter into Executive Session. **Motion carried.**
2. At 3:10 p.m. a motion was made by Regent DeJaco and seconded by Regent Downing to close the Executive Session. **Motion carried.**

At 3:13 p.m. a motion was made by Regent Downing and seconded by Regent Bender to adjourn. **Motion carried.**

Signature on File

Annie R. Buechel
Specialist II

Signature on File

Kimberly A. Luse
Executive Assistant to the President
and Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on November 14, 2007, and that such matters are still in force and effect.

Signature on File

Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, January 8, 2008 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, University Center Ballroom – Wednesday, January 9, 2008 – 9:00 a.m.

9:00 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1. Master Plan Update (Ramey)
2. Faculty Development Awards – (Wells)
   Sabbatical Leaves, Project Grants, Summer Fellowships

Board Lunch
NKU, President's Dining Room – Wednesday, January 9, 2008 – 11:30 p.m.

11:30 p.m.

- Board of Regents Luncheon (Presidents Dining Room – UC 105)

Board of Regents Meeting
NKU, University Center Ballroom – Wednesday, January 9, 2008 – 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of November 14, 2007, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:20 p.m.

B. <u>Presidential Reports</u>:

1. Facilities Management (Ramey)
2. Quarterly Financial Report (between July 1, 2007 through September 30, 2007) (Ramey)
3. Fundraising Report (between July 1, 2007 through November 30, 2007) (St. Amand)
4. Research/Grants/Contracts Report (Wells/Shrofel)
   (October 1, 2007 through November 30, 2007)
5. Faculty Development Awards – Project Grants (2008-2009) (Wells)
6. Faculty Development Awards – Faculty Summer Fellows (2008) (Wells)

1:45 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions
   (between August 14, 2007 and October 15, 2007)
3. *Emeritus Status
4. *Sabbatical Leave Recommendations (2008-2009)
5. *Department Change – Department of Literature and Language
6. *Name Change – Northern Kentucky University Summer Dinner Theater
7. *Amendment to *Faculty Policies and Procedures Handbook* – (Evaluations and Recommendations, Complaint Process Procedure, Advisory Committee)
8. *Acceptance of Contributions received by the NKU Foundation
   (between October 1, 2007 through November 30, 2007)
9. *Easement Agreement – Campbell County Fiscal Court
10. Resolution – Software Reimbursement

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Tuesday, January 8, 2008).

AGENDA
Northern Kentucky University

D. Executive Session:

E. Other: (Calendar Events) – Please call if you have questions about any of the following events.

11. Regular Board of Regents Meeting Schedule for 2008:
    March 12 September 10
    May 14 November 12
    July 9

12. Upcoming Activities:

Event	Date	Start Time	Ending Time	Location
Alumni Awards Banquet	02/08/2008	6:15 PM	9:00 PM	Marriott, Covington, KY
Regular Board of Regents Dinner	03/11/2008	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	03/12/2008	9:30 AM	3:00 PM	NKU, University Center Ballroom
Spring Convocation	04/16/2008	Noon	1:30 PM	NKU, Greaves Hall
Lincoln Awards Banquet	04/16/2008	5:30 PM	9:00 PM	Freedom Center, Cincinnati, OH
Regular Board of Regents Dinner	05/13/2008	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	05/14/2008	9:30 AM	3:00 PM	NKU, University Center Ballroom

(Regents - Please respond by calling the President's Office at 572-5172 to confirm your attendance at the above events)

Board of Regents Meeting
Northern Kentucky University
University Center Ballroom
January 9, 2008

The regular meeting of the Board of Regents was called to order at 1:01 p.m. on Wednesday, January 9, 2008, by Martin Butler.

Invocation was read by Regent Kremer.

Roll Call: Chuck H. Brown, Martin C. Butler, Peggy A. DeJaco, Frank K. Downing, Joyce E. Griffin, Nancy A. Barone Kremer, Denise H. McClelland, Anna Dale Pyles, C. Alyse Bender, Steven M. Weiss, Robert W. Zapp.

Others Present: James C. Votruba, Kimberly A. Luse, Sara Sidebottom, Gerry St. Amand, Mimi Assanuvat, Isaac Ferguson, Lance Angle, Chris Cole, Russ Kerdolff, Bill Farro, Zeb Davenport, Gail Wells, Ken Ramey, Sue Moore, John Beehler, Debra Meyers, Joe Wind, Pat Moynahan, Rick Meyers, Kathy Stewart, Tracy Insko, Tim Ferguson, Salina Schrofel, Karen Zerhusen-Kruer, Don Gorbandt, Lori Shelley, Meredith Spille, Vicki Prichard, Carol Ryan, Carole Beere, Joel Robinson, Mary Lepper, Krista Wiseman-Moore, Kevin Corcoran, Sam Zachary, Ken Kline, Mike Tobergta.

A motion was made by Regent Brown and seconded by Regent Zapp to approve the minutes of the November 14, 2007, Board of Regents meeting. **Motion carried.**

Presidential Comments:
Dr. Votruba noted:

The Master Plan is in progress. The Board was briefed on the three draft Master Plan proposals, they are gathering feedback, and will be back on campus in March to present a draft Master Plan.

Enrollment
- Appears that we have strong retention from fall to spring.
    - Will know late January
- Applications are 22% above last year at this time
- 40% increase in applicants with no academic deficiencies

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- $487,000 for Infrastructure Management Institute (IMI) also from Senator McConnell
- $123,000 for our Nursing program from Senator Bunning
- $195,000 for equipment purchases for the Mets Center from Congressman Davis

Dr. Votruba congratulated Joe Wind and Mac Riley for the work they have done. He also introduced Ken Kline, Director of Budget.

Frankfort Earmarks

- 3% budget cut for the current year equals $1.6 million dollars in recurring funds.
- Reduction plan for 2009
    - Total 15% or over 8 million dollars in recurring cuts

Presidential Comments: *(Cont)*

We will:

- Manage this years cut on a cash basis - buy time
- Scrub out budget to determine savings
- Analyze where gains can be made on both the revenue and expense side of our budget
- Ask the campus to conserve where we can

We will do everything we can to protect our core (things we must do) and maintain our momentum, even if on a more limited and focused basis.

- Undergraduate excellence
- Move students through their education towards graduation
- Support Vision 2015

If we were to experience an 8 million dollar reduction, it would be deeply harmful for our students and the region

- Enrollments would be capped or reduced. (demand is strong)
- Tuition would increase substantially, making NKU less affordable

My NKU colleagues, be patient and confident. We know who we are, whom we serve, and how we serve them. We have a strong leadership infrastructure on Vice Presidents, deans, directors, department chairs, and faculty, staff, and students.

Demand for admission is strong because of our academic excellence.

We have strong support from our community and caucus, who understand our importance in achieving regional aspirations. I believe that working together, we'll prevail in this challenge and come out an even stronger institution. I'm hopeful and optimistic.

We know what we're building and our building will continue.

- Support for Vision 2015 would be severely diminished.
    - Unable to produce sufficient degrees for 50,000 new jobs
    - Puts 50,000 target at risk along with the 270 million dollars in new tax revenues
    - Would set our entire region back and also Kentucky

The process has just begun.

- The Governor and the General Assembly have some very difficult decisions to make
- We'll make our case and that of post secondary education and its relationship to economic prosperity

Over the next several weeks, it's not likely to get worse but could get a lot better.

A. Joint Finance and Policy Committee: (Reports were given by Regent Secretary McClelland) [Master Plan Update]

The Master Planning team is making significant progress in development of ideas for the Master Plan Update. The Campus Studio, a design firm based in Ann Arbor, Michigan, is leading the effort.

The master planners are in the midst of their third visit to campus this week. This phase of the process is known as the alternatives phase. This morning, the master planners presented three alternatives for future development and expansion of the campus to accommodate. Each alternative is unique, with different ideas for growth and accommodation of new building sites; residence hall expansion; recreation and athletic facilities; and, parking. The master planners stressed that these ideas are not recommendations but are options or opportunities for consideration.

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The Master Plan will be completed next fall.

Finally, for those interested in more information, the University's Campus Planning web site has much information about the master planning process as well as a mechanism for providing input.

[Faculty Development Programs]

Provost Wells made a presentation on NKU's three faculty development programs: sabbatical leaves, summer fellowships and project grants.

Sabbatical leaves enable recipients to devote a semester at full pay or two semesters at 65% salary to research, advanced study, public engagement, artistic or creative activity. Faculty members may apply for a sabbatical leave after accruing 12 semesters employment subsequent to their last sabbatical. The application process is competitive and based on merit.

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NKU currently invests $84,000 annually on summer fellowships and $50,000 annually on project grants. Part-time or temporary faculty absorb the teaching load of faculty members who are on sabbatical leave.

B. Presidential Reports:

1. **Facilities Management (Vice President Ramey):**
   a. **Campus Way-Finding (Phase II):**
   There were no further comments on the report as submitted.
   b. **Campus Master Plan:**
   There were no further comments on the report as submitted.
   c. **New Student Union:**
   There were no further comments on the report as submitted.
   d. **Bank of Kentucky Center:**
   There were no further comments on the report as submitted.
   e. **Garage #3 and Welcome Center:**
   There were no further comments on the report as submitted.
   f. **Nunn Drive Road Project:**
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   g. **Center for Informatics:**
   There were no further comments on the report as submitted.
   h. **Renovate Residence Hall (Lakeside):**
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2. **Quarterly Financial Report (Vice President Ramey):**
   Summary of the Quarterly Financial Report depicts the financial activity of the University as of September 30, 2007 as compared to the annual budget and the prior year's activity.

Regent Downing asked Vice President Ramey for clarification on the budget.

3. **Fundraising Report (Vice President St. Amand):**
   Summary of fundraising resources committed from July 1, 2007, through November 30, 2007, totaling $2,504,864.

Vice President St. Amand gave an update on the national consulting firm that has been hired to do a campus campaign feasibility assessment at NKU. They have begun their work

4. **Research/Grants/Contracts – Annual Report (Wells/Schrofel):**
   This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from October 1, 2007, through November 30, 2007. During this time 17 grants were awarded with a total award amount for the noted period of $907, 837.
5. **Faculty Project Grants (Provost Wells):**
   The University recognizes, for 2008-2009, the role of research as an integral part of professional activity of the faculty through financial support for independent research. Faculty Project Grants are intended to encourage professional growth, provide funds for research equipment, and activities that are not available through program budgets.
6. **Faculty Development Awards – Summer Fellows (Provost Wells):**
   The Summer Fellowship program, for summer 2008, is designed to encourage faculty to engage in individual research, scholarly writing, and creative and artistic projects and to share the results of their efforts with the broader University community.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Weiss and seconded by Regent Bender to approve the following Presidential Recommendations as listed; C 1-C 9. **Motion carried.**

Discussion Agenda Item: A motion was made by Regent Zapp and seconded by Regent Brown to approve the following Presidential Recommendation as listed; C-10. **Motion carried**

1. **Academic Affairs Personnel Actions**
   a. **Administrative Appointments:**
   Douglas Robertson, from Assistant Provost for Professional and Organizational Development Center to Assistant Provost for Professional and Organizational Development Center and Faculty Coordinator for SACS Reaffirmation Process, effective October 1, 2007; Joel Robinson, from Assistant Vice President for Enrollment Management, to Associate Vice President for Enrollment Management, effective October 1, 2007; Diane Williams, from Director of Developmental Mathematics, to Director of Developmental Mathematics and Interim Director for the Office of Student Success, effective October 1, 2007 through June 30, 2008;

   b. **Faculty Appointments:**
   Mark E. Miller, Advisor/Lecturer (non-tenure track renewable) in the Department of Communication/College of Informatics, effective December 17, 2007;

   c. **Leaves Paid/Unpaid:**
   Michael Washington, Professor in the Department of History and Geography, unpaid leave without benefits, effective for the Spring 2008 semester;

   d. **Resignations:**
   Mark Marsolais, Assistant Professor in the Department of Political Science and Criminal Justice, effective January 1, 2008; James Hauser, Assistant Professor in the Department of Computer Science, effective December 31, 2007; Linda Sheffield, Regents Professor of Mathematics and Education, effective at the end of the 2008 spring semester.

2. **Non-Academic Affairs Personnel Actions (between October 16, 2007, and December 13, 2007):**
   The following categories of non-academic personnel actions, which occurred between October 16, 2007, and December 13, 2007, were approved: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Contract/ Temporary to Regular; Transfers; Departures; Retirees; and Administrative.

3. **Emeritus Status:**
   William Michael Ryan, Professor of History in the Department of History & Geography; Robert C. Vitz, Professor of History in the Department of History & Geography.

Presidential Recommendations: *(Cont.)*

4. **Sabbatical Leave Recommendations (2008-2009):**
   Richard Boyce, Biological Sciences; Patrick Schultheis, Biological Sciences; Xiaoni Zhang, Business Informatics; Melissa Moon, Political Science and Criminal Justice; Lynne Smith, Teacher Education and School Leadership; Holly Riffe, Counseling, Human Services, and Social Work; Paige Byam, Literature and Language; Richard Gilson, Management; Daniel Curtin, Mathematics; Gina Foletta, Mathematics; Ann Dollins, School of Nursing and Health Professions; Trina Koscielicki, School of Nursing and Health Professions; Mary Carol Hopkins, Sociology, Anthropology and Philosophy; Rachelle Bruno, Teacher Education and School Leadership; Barbara Houghton, Visual Arts; Kimberly Allen-Kattus, Visual Arts.

5. **Department Change – Department of Literature and Language:**
   The recommendation to create two new departments (Department of World Languages and Literature{WLL} and Department of English) from the current Department of Literature and Language.

6. **Name Change – Northern Kentucky University Summer Dinner Theater:**
   The name of the Northern Kentucky University Summer Dinner Theatre will now be known as the Commonwealth Theatre Company of Northern Kentucky University.

7. **Amendment to *Faculty Policies and Procedures Handbook* – (Evaluations and Recommendations, Complaint Process Procedure, Advisory Committee)**

8. **Acceptance of Contributions received by the NKU Foundation (between October 1, 2007 and November 30, 2007):**
   The Board of Regents officially hereby accepts contributions totaling $1,301,303 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period October 1, 2007, through November 30, 2007.

9. **Easement Agreement – Campbell County Fiscal Court**
   Authorization to grant an easement to the Campbell County Fiscal Court for a sanitary sewer line connecting Lakeside Terrace Apartments, owned by the county, to a sewer line that is north of the Lakeside property.

10. **Resolution – Software Reimbursement:**
    The recommendation to adopt the attached Resolution to declare the Board's official intent to finance the acquisition and installation of SAP software from proceeds of a tax-exempt financing.

-Regent Brown requested that 12-18 months after implementation of the SAP ERP System the Board gets a report on the benefits of this implementation.

D. Executive Session:

1. A motion was made by Regent DeJaco and seconded by Regent Downing to enter into Executive Session. **Motion carried.**
2. A motion was made by Regent Zapp and seconded by Regent Brown to close the Executive Session. **Motion carried.**

At 2:52 p.m. a motion was made by Regent Zapp and seconded by Regent Brown to adjourn. **Motion carried.**

Signature on File

Annie R. Buechel
Specialist II

Signature on File

Kimberly A. Luse
Executive Assistant to the President
and Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on January 9, 2008, and that such matters are still in force and effect.

Signature on File

Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Board of Regents Meeting – Special Meeting
NKU, 800 Lucas Administrative Center – Monday, January 28, 2008

1:00 p.m.

- Call to Order
- Roll Call and Welcome
- Presidential Comments

A. Presidential Reports:

No Items

B. Executive Session

C. Presidential Recommendations:

1. *Land Acquisition – 2662 Alexandria Pike, Highland Heights, KY 41075

Board of Regents Meeting – Special Meeting
Northern Kentucky University
800 Lucas Administrative Center
January 28, 2008

A special meeting of the Board of Regents was called to order at 1:10 p.m. on Monday, January 28, 2008, by Martin Butler.

Roll Call: Martin C. Butler, Peggy A. DeJaco, Nancy A. Barone Kremer, C. Alyse Bender, Steven M. Weiss, Robert W. Zapp (Excused: Chuck H. Brown, Frank K. Downing, Joyce E. Griffin, Denise H. McClelland, Anna Dale Pyles)

Others Present: James C. Votruba, Kimberly A. Luse, Sara Sidebottom, Ken Ramey, Matt Brown, Chris Cole, and Jennifer Corbett

A. Presidential Reports:
No Items

B. Executive Session:

1. At 1:15 p.m. a motion was made by Regent Zapp and seconded by Regent Weiss to enter into Executive Session. **Motion carried.**
2. At 1:45 p.m. a motion was made by Regent Weiss and seconded by Regent Zapp to close the Executive Session. **Motion carried.**

C. Presidential Recommendations:

1. Land Acquisition – 2662 Alexandria Pike, Highland Heights, KY 41075

A motion was made by Regent Bender and seconded by Regent DeJaco to approve the Presidential Recommendation: C 1. **Motion carried.**

At 1:50 p.m. a motion was made by Regent Zapp and seconded by Regent Weiss to adjourn. **Motion carried.**

Signature on File

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Specialist II

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Annie R. Buechel
Specialist II

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Signatre on File

Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, March 11, 2008 – 6:00 p.m.

6:00 p.m.

1. Regents Dinner at President's Home (Lakeside Park, KY)

Wednesday, March 12, 2008

Joint Finance and Policy Meeting – NKU, University Center Ballroom

8:30 a.m.

A. Joint Finance and Policy Committee:

1. University Emergency Measures Update (Ramey)
2. Budget Update (Moore/Ramey)
3. Executive Dashboard Indicators (Moore/Gillilan)
4. PRISM Update (Ferguson)

10:00 a.m. **Travel to Frankfort, Kentucky – NKU, University Center Plaza**

- Board Charter Bus – Leaving for the Capital Building, Frankfort, Kentucky
  - Meal will be provided on the bus

Noon **Meet with Legislators – Capital Annex Building, Frankfort, Kentucky**

- Visit the with Legislators at the Capital Building, Frankfort, Kentucky

1:15 p.m. **NKU 40th Anniversary Proclamation Presentation and Celebration – Capital Building, Second Floor**

- Proclamation presentation and photograph with Governor Beshear to commemorate NKU's 40th anniversary

2:00 p.m. **Recognition on the House Floor – Capital Building, House Floor**

2:30 p.m. **Recognition on the Senate Floor – Capital Building, Senate Floor**

Board of Regents Meeting – Capital Building, Room 110

3:30 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of January 9, 2008, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

3:45 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Quarterly Financial Report (October 1, 2007, through December 31, 2007) (Ramey)
3. Fundraising Report (July 1, 2007, through January 31, 2008) (St. Amand)
4. Research/Grants/Contracts Report (December 1, 2007, through January 31, 2008) (Wells/Shrofel)

AGENDA
Northern Kentucky University

Wednesday, March 12, 2008

4:00 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions
   (between December 14, 2007 and February 13, 2008)
3. *Promotion, Tenure, Continued Probation and Reappointment
4. *Temporary Appointments
5. *New Program – Master of Arts in English
6. *Name Change – Integrative Studies
7. *Acceptance of Contributions received by the NKU Foundation
   (December 1, 2007 through January 31, 2008)
8. *Land Acquisition Authority

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Tuesday, March 11, 2008).

D. Other: (Calendar Events) – Please call if you have questions about any of the following events.

9. Regular Board of Regents Meeting Schedule for 2008:

May 14	September 10
July 9	November 12

10. Upcoming Activities:

Event	Date	Start Time	Ending Time	Location
Regular Board of Regents Dinner	03/11/2008	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	03/12/2008	9:30 AM	3:00 PM	NKU, University Center Ballroom
Special Board of Regents Meeting	04/10/2008	8:30 AM	Noon	NKU, 111 Applied Science and Technology Building
Spring Convocation	04/16/2008	Noon	1:30 PM	NKU, Greaves Hall
Lincoln Awards Banquet	04/16/2008	5:30 PM	9:00 PM	Freedom Center, Cincinnati, OH
Regular Board of Regents Dinner	05/13/2008	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	05/14/2008	9:30 AM	3:00 PM	NKU, University Center Ballroom

(Regents - Please respond by calling the President's Office at 572-5172 to confirm your attendance at the above events)

Board of Regents Meeting
Capital Building, Room 110
Frankfort, KY
March 12, 2008

The regular meeting of the Board of Regents was called to order at 3:28 p.m. on Wednesday, March 12, 2008, by Martin Butler.

Invocation was read by Regent DeJaco.

Roll Call: Chuck H. Brown, Martin C. Butler, Peggy A. DeJaco, Frank K. Downing, Joyce E. Griffin, Denise H. McClelland, Anna Dale Pyles, Steven M. Weiss, (Excused: C. Alyse Bender, Nancy A. Barone Kremer, Robert W. Zapp)

Others Present: James C. Votruba, Kimberly A. Luse, Sara Sidebottom, Gerry St. Amand, Isaac Ferguson, Chris Cole, Russ Kerdolff, Zeb Davenport, Gail Wells, Ken Ramey, Sue Moore, John Beehler, Debra Meyers, Joe Wind, Rick Meyers, Kathy Stewart, Kirsty Fleming, Kevin Corcoran, Joel Robinson, Joseph Szydlowski – *The Northerner*, Alice Sparks, Krista Wiseman-Moore, Nancy Perry, Joe Ruh, Mike Hammons, Vicki Culbreth, Mark Neikirk, Katie Herschede, Jenny Wagner, Joe Mills, Melissa Koppenhoefer, Nathan Smith

Regent Butler welcomed Alice Sparks and thanked her for her continued support of the University.

Regent Butler and Dr. Votruba welcomed Trey Grayson, Kentucky's Secretary of State to the NKU Board of Regent's meeting.

A motion was made by Regent Pyles and seconded by Regent Griffin to approve the minutes of the January 9, 2008, Board of Regents meeting. **Motion carried.**

Presidential Comments:
Dr. Votruba noted:

- 40 Years ago, this month, Kentucky created NKU.
    - One of the fastest growing universities
        - Developed into a 15,000 student university
        - Has 40,000 alumni
            - 90% of whom remain in the region
    - Nationally recognized for our community engagement

- Northern Kentucky has the ability to not only dream big but to act on those dreams.
    - The region produces about 16% of the State's total economic output.

Acknowledgement of special guests:

- Clyde Middleton
- Jim Callahan
- Nathan Smith
    - NKU Graduate
    - SGA President
    - Student Regent
    - Recently appointed Vice Chair of the Democratic Party
    - Super Delegate

Presidential Comments: *(Cont.)*

- Governor Beshear
  - Shared his commitment to the State of Kentucky, Education, Social Services, and Health Care;
- Education Secretary Helen Mountjoy
  - Shared some thoughts on the challenges as well as her vision for Kentucky education;
- Brad Cowgill, Interim President of the Council on Postsecondary Education
  - Shared his views on the Postsecondary Education landscape;

- Honored to have the Governor join us
  - His deep commitment to Postsecondary Education, as the foundation for our economic future, is known
  - Recently proposed new revenues to protect against deep reductions; this was an act of courage and is appreciated

- Recognized the House leadership for proposing revenues that would avoid reductions in Postsecondary Education
  - The House and Senate leadership understand and support the role of Postsecondary Education in advancing Kentucky's future

- Recognized Katie Herschede, Joe Wind, Kathy Stewart, Kim Luse, and Isaac Ferguson for the hard work placed into making the Board of Regents meeting in Frankfort a success

A. Joint Finance and Policy Committee: (Reports were given by Regent Secretary McClelland)
[Emergency Communication Update]
Vice President Ramey gave an update of the Emergency Communications Task Force recommendations and implementation since the last update was provided at the September Board of Regents meeting. The Committee recommendations included updates on:

- Electronic Communications - The University has partnered with Community Safe/Cincinnati Bell to create Norse Alert, which will contact members of the campus community through voice and text messages in the event of an emergency situation or campus closing. To date nearly 5,000 have signed up for the service, which will be implemented by the end of the month.
- On-Campus Emergency Notification System - Proposals will be received this month.
- Security Cameras - Proposals will be received this month.
- Establishment of a Risk Manager position. Job Description being developed and position will be posted soon.

The Committee will continue to provide updates as they become available.

[NKU Budget Update]
Dr. Sue Hodges Moore, Vice President for Planning, Policy & Budget, and Ken Kline, Budget Director, delivered an update on the 2008-09 budget. The presentation included a summary of how the University handled the 3%, $1.65 million, cut in state funding in the current fiscal year.

Joint Finance and Policy Committee: *(Cont.)*
The University is currently working to address an estimated $10 million funding need (fixed costs and non-discretionary expenses) in next year's budget as well as plan for potentially an additional $6.5 million cut in state funding. This funding gap does not include any funding for compensation strategies to attract and retain talented faculty and staff or hiring additional faculty and staff to serve students. The funding gap will be covered through cost savings, budget reductions, reallocations, and new revenues.

[Business Plan Update]
Dr. Sue Hodges Moore, Vice President for Planning, Policy & Budget, updated the Board on the development of performance indicators for the Executive Dashboard.

The presentation included an overview of the Executive Dashboard concept, selection criteria for indicators, and proposed indicators.

Next steps include establishing baseline data, setting goals, and developing a web-based system.

[SAP]
NKU SAP Implementation Presentation

- Current status of the SAP Implementation
- Overview of budget
- The upcoming milestones for the project
- SAP Center of Excellence

B. Presidential Reports:

1. **Facilities Management (Vice President Ramey):**
   - **a. Campus Way-Finding (Phase II):**
     There were no further comments on the report as submitted.
   - **b. Campus Master Plan:**
     There were no further comments on the report as submitted.
   - **c. New Student Union:**
     There were no further comments on the report as submitted.
   - **d. Bank of Kentucky Center:**
     There were no further comments on the report as submitted.
   - **e. Garage #3 and Welcome Center:**
     There were no further comments on the report as submitted.
   - **f. Nunn Drive Road Project:**
     There were no further comments on the report as submitted.
   - **g. Center for Informatics:**
     There were no further comments on the report as submitted.
   - **h. Renovate Residence Hall (Lakeside):**
     There were no further comments on the report as submitted.
2. **Quarterly Financial Report (Vice President Ramey):**
   Summary of the Quarterly Financial Report depicts the financial activity of the University as of December 31, 2007 as compared to the annual budget and the prior year's activity.

Presidential Reports: *(Cont.)*

3. **Fundraising Report (Vice President St. Amand):**
   Summary of fundraising resources committed from July 1, 2007, through January 31, 2008, totaling $3,138,520.

4. **Research/Grants/Contracts – Annual Report (Vice President St. Amand):**
   This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from December 1, 2007, through January 31, 2008. During this time 11 grants were awarded with a total award amount for the noted period of $666, 134.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent McClelland and seconded by Regent Downing to approve the following Presidential Recommendations as listed; C 1-C 8. **Motion carried.**

1. **Academic Affairs Personnel Actions**

   a. **Faculty Appointments:**
   Matthew J. Baker, Assistant Professor in the Department of Communication, effective August 18, 2008 ; Janel Bloch, Assistant Professor in the Department of English, effective August 18, 2008 ; Jayce Farmer, Assistant Professor in the Department of Political Science and Criminal Justice, effective August 18, 2008 ; Patrick Hare, Assistant Professor in the Department of Chemistry, effective August 18, 2008 ; Alexandra J. Miller, Associate Professor in the Department of Political Science and Criminal Justice, effective August 18, 2008;

   b. **Resignations:**
   Mary L. Baggett, Assistant Professor in the Department of Mathematics, effective May 9, 2008; Denise Gormley, Assistant Professor in the School of Nursing and Health Professions, effective December 31, 2007;

   c. **Phased Resignations:**
   Cynthia McDaniel, Professor of Psychology, Phased Resignation Program Participant, beginning August 18, 2008 (academic year for 2008-2009), and terminating at the end of the academic year 2009-2010; W. Vernon Hicks, Professor of Chemistry, Phased Resignation Program Participant, beginning August 18, 2008 (academic year for 2008-2009), and terminating at the end of the academic year 2009-2010;

   d. **Retirements:**
   Carolyn Hagner, Professor in the Department of Music, effective at the end of spring 2008; Randy Holt, Associate Professor in the Department of Computer Science, effective December 31, 2007; Frederick R. Schneider, Professor of Law in the Salmon P. Chase College of Law, effective at the end of the 2007-2008 academic year;

Presidential Recommendations: *(Cont.)*

2. **Non-Academic Affairs Personnel Actions (between December 14, 2007, and February 13, 2008):**
   The following categories of non-academic personnel actions, which occurred between December 14, 2007, and February 13, 2008, were approved: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/ Temporary to Regular; Departures; Retirees; and Administrative.

3. **Promotion, Tenure, Continued Probation and Reappointment:**
   Promotion, tenure, continued probation, continued probation with conditions to be removed, terminal reappointment and non-reappointment.

4. **Temporary Appointments:**
   Daniel Ciamarra (Spring Semester) of Teacher Education and School Leadership and Kimberly Campbell (Spring Semester) of the School of Nursing and Health Professions have been offered and have accepted a temporary, self-terminating appointment.

5. **New Program – Master of Arts in English :**
   The recommendation to create a new program, Master of Arts in English in the College of Arts and Sciences.

6. **Name Change – Integrative Studies:**
   The name of the Liberal Studies programs will be renamed Integrative Studies (IST).

7. **Acceptance of Contributions received by the NKU Foundation (between December 1, 2007 and January 31, 2008):**
   The Board of Regents officially hereby accepts contributions totaling $403,925 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period December 1, 2007, through January 31, 2008.

8. **Land Acquisition Authority:**
   Authorization to purchase property that is contiguous to university-owned property at the time of its acquisition, whether specifically listed in the Board-approved acquisition plan, or not.

At 4:42 p.m. a motion was made by Regent DeJaco and seconded by Regent Weiss to adjourn. **Motion carried.**

Signature on File

Annie R. Buechel
Specialist II

Signature on File

Kimberly A. Luse
Executive Assistant to the President
and Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on March 12, 2008, and that such matters are still in force and effect.

Signature on File

Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Board of Regents Special Meeting
Northern Kentucky University
Thursday, April10, 2008

8:30 a.m.

Major Gift Presentation – NKU, AS&T 111

- Representatives from Fifth Third Bank and the Fifth Third Bank Foundation will be here for a gift presentation.

9:15 a.m.

Board of Regents Meeting – NKU, AS&T 111

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of March 12, 2008, Board Minutes
- Presidential Comments

9:30 a.m.

A. Presidential Reports:

1. Campus Master Plan (Ramey)

11:30 a.m.

B. Presidential Recommendations:

1. *Honorary Degree Candidate (Patricia L. Herbold), conferred at the May 10, 2008 Commencement
2. Honorary Naming – University Housing

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Wednesday, April 9, 2008).

D. Other: (Calendar Events)*****

3. Regular Board of Regents Meeting Schedule for 2008:
   May 14 September 10
   July 9 November 12

4. Upcoming Activities:

Event	Date	Start Time	Ending Time	Location
Special Board of Regents Meeting	04/10/2008	8:30 AM	Noon	NKU, 111 Applied Science and Technology Building
Spring Convocation	04/16/2008	Noon	1:30 PM	NKU, Greaves Hall
Lincoln Awards Banquet	04/16/2008	5:30 PM	9:00 PM	Freedom Center, Cincinnati, OH
Senior Awards Luncheon	05/06/2008	Noon	2:00 PM	NKU, University Center Ballroom
Spring Commencement	5/10/2008	10:00 AM	1:00 PM	NKU, Bank of Kentucky Arena
Regular Board of Regents Dinner	05/13/2008	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	05/14/2008	9:30 AM	3:00 PM	NKU, University Center Ballroom

**** (Regents – Please R.S.V.P. by calling the President's Office at 572-5172 to confirm your attendance at the above events)***

Board of Regents Special Meeting
Northern Kentucky University
Applied Science and Technology Center, Room 111
April 10, 2008

The special meeting of the Board of Regents was called to order at 9:08 a.m. on Thursday, April 10, 2008, by Martin Butler, Chair.

Invocation was read by Regent Bender.

Roll Call: C. Alyse Bender, Martin C. Butler, Joyce E. Griffin, Peggy A. DeJaco, Nancy A. Barone Kremer, Denise H. McClelland, Anna Dale Pyles, Steven M. Weiss, (Excused: Chuck Brown, Frank Downing, Robert W. Zapp)

Others Present: James C. Votruba, Zebulun Davenport, Ken Ramey, Sara Sidebottom, Gerry St. Amand, Gail Wells, Joe Wind, Isaac Ferguson, Chris Cole, John Beehler, Rick Meyers, Kathy Stewart, Krista Wiseman-Moore, Cliff Peale – *The Cincinnati Enquirer,* Joe Ruh, Larry Blake, Katie Herschede, Tracy Insko, William Farro, Angela Schaffer, Ken Kline, Donna Grey, Rebecca White, Amy Fries, Mary Paula Schuh, Paul Wirtz, Dick Rigterink, Vivian Llambi, and Bob Heil;

A motion was made by Regent Weiss and seconded by Regent DeJaco to approve the minutes of the March 12, 2008, Board of Regents meeting. **Motion carried.**

Presidential Comments:
Dr. Votruba noted:

- The Legislative session is moving to a close. Kentucky confronts a major financial crisis; with the prospects not looking good. We are grateful that the reductions to Postsecondary Education were not as deep as originally proposed. This will allow us to sustain quality while keeping the tuition increase in single digits, as we are concerned about affordability. A recommendation for tuition, fees and budget will come to the Board later this month.

  Budget challenges remain with:
  - 3% this year
  - 3% the following year
  - Prism
  - No maintenance or operation funds for new construction
  - Increases in health care, utilities, etc.
  - Agency bonds for Lakeside Property
  - Approval to plan for College of Business before building the building
  - Agency bond approval for two buildings
    - Renovation of Founders Hall
    - Health
  - Authorization for soccer complex
  - Proposition of health benefit same sex was not passed. Decisions left up to boards.

  We have spent much of the past 2-3 months scrubbing our budget to find the funds to cover reductions. This was done, being mindful to reallocate the remaining budget to the highest demand areas. However, we will also invest in critical areas of construction with our business plan but we will limit our progress on the Business. There will be pain felt by all in the budget reallocation process.

- The naming of Lakeside Manor for Former Rep. Jim Callahan is on the agenda. He has spent his lifetime devoted to public service and continues to be a strong supporter of our region and NKU. Judge Steve Pendery, Mayor Greg Meyers and others, recommended the naming of the Lakeside Property.

- We are pleased to have Campus Studio, Llambi & Associates, and KLH Engineers back in town with their most recent Master plan update. The concepts are strong but needing the Board of Regents input. The consultants have experienced challenges. There is a desire to make sure that our growth is done in a way that works for both The University and Highland Heights and meets with community needs.

- This year's commencement speaker will be the Honorable Patricia Herbold, who, in November 2005, was appointed as the United States Ambassador to Singapore. The Honorable Patricia Herbold is a graduate of Chase College of Law.

- The 2007-08 Celebration of Research and Creativity had nearly 400 students and 150 faculty members participate. Making this a great example of how undergraduate students are work beside faculty to further academic research at NKU.

A. Presidential Reports:

Dick Rigterink, Vivian Llambi and Bob Heil provided an in depth update on the Campus Master Plan to the Board of Regents.

B. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Kremer and seconded by Regent Bender to approve Presidential Recommendations as listed; B-1 and B-2. **Motion carried.**

1. **Honorary Degree Candidate – Patricia L. Herbold**
   This is a request for authorization to bestow Patricia L. Herbold, Esq., with an Honorary Doctor of International Service degree. The degree is to be conferred at the May 10, 2008, commencement ceremonies at the NKU, Bank of Kentucky Arena, Highland Heights, Kentucky.

2. **Honorary Naming – Lakeside Property:**
   This is a request for authorization to name the new student residential housing facility of Lakeside Place as the James P. Callahan Hall.

At 11:38 a.m. a motion was made by Regent DeJaco and seconded by Regent McClelland to adjourn. **Motion carried.**

Signature on File

Isaac D. Ferguson
Senior Administrative Associate

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on April 10, 2008, and that such matters are still in force and effect.

Signature on File

Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Joint Finance and Policy Meeting
NKU, University Center Ballroom – Monday, April 28, 2008 – 9:00 a.m.

9:30 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1. 2008-09 Budget Briefing (Votruba)
2. Benefits Update (Ramey/Southwood)

Student Award Luncheon
NKU, University Center 2nd Floor Lobby - Monday, April 28, 2008 – 11:30 p.m.

11:30 a.m.

- Student Award Luncheon

Board of Regents Meeting
NKU, University Center Ballroom – Monday, April 28, 2008 – 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Resolutions for Outgoing Regents – C. Alyse Bender & Robert W. Zapp
- Approval of April 10, 2008, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:20 p.m.

B. <u>Presidential Reports</u>:

1. Facilities Management (Ramey)
2. Financial Report (between July 1, 2007 through March 31, 2008) (Ramey)
3. Fundraising Report (between July 1, 2007 through March 31, 2008) (St. Amand)
4. Research/Grants/Contracts Report (Wells/Shrofel)
   (February 1, 2008 through March 31, 2008)

1:45 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions
   (between February 14, 2008 and April 9, 2008)
3. *Emeritus Status
4. *First-Year Faculty Continued Probation/Continued Probation with Conditions
5. *Name Change – Legal Studies
6. *Name Change – Women's Studies
7. *Amendments to University Curriculum Committee Bylaws
8. *Amendments to *Faculty Policies and Procedures Handbook*
9. *Acceptance of Contributions received by the NKU Foundation
10. *Bad Debt Write Off – Fiscal Year 2007-08
11. *Easement Agreement – Duke Energy/Northern Kentucky Water District
12. *Resolution – Series A – General Receipt Bonds – Callahan Hall
13. *Administrative Personnel Action
14. Resolution – NKU Research Foundation, Inc.
15. Tuition
16. Schedule of Fees and Service Charges for Academic Year 2008-09
17. Fiscal Year 2008-09 Annual Budget
18. Resolution – Consent to Annexation
19. Resolution – Cooperative Agreement
20. Employee Benefits

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Friday, April 25, 2008).

AGENDA
Northern Kentucky University

D. Other: (Calendar Events)*

21. Regular Board of Regents Meeting Schedule for 2008:
    July 9 November 12
    September 10

22. Upcoming Activities:

Event	Date	Start Time	Ending Time	Location
NKU Spring Commencement	05/10/2008	10:00 AM	1:00 PM	NKU, Bank of Kentucky Arena
Chase Spring Commencement	05/17/2008	10:00 AM	Noon	NKU, Regents Hall
New Board Member Orientation	07/07/08	8:00 AM	4:00 AM	TBD
Regular Board of Regents Dinner	07/08/2008	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	07/09/2008	9:00 AM	3:00 PM	NKU, University Center Ballroom

*** (Regents – Please R.S.V.P. by calling the President's Office at 572-5172 to confirm your attendance at the above events)**

Board of Regents Special Meeting
Northern Kentucky University
University Center Ballroom
April 28, 2008

The regular meeting of the Board of Regents was called to order at 1:03 p.m. on Monday, April 28, 2008, by Martin Butler, Chair.

Invocation was read by Regent Pyles.

Roll Call: C. Alyse Bender, Chuck Brown, Martin C. Butler, Joyce E. Griffin, Peggy A. DeJaco, Frank Downing, Nancy A. Barone Kremer, , Anna Dale Pyles, Steven M. Weiss, (Excused: Denise H. McClelland, Robert W. Zapp)

Others Present: James C. Votruba, Zebulun Davenport, Ken Ramey, Sara Sidebottom, Gerry St. Amand, Gail Wells, Joe Wind, Isaac Ferguson, Chris Cole, John Beehler, Rick Meyers, Kathy Stewart, , Cliff Peale – *The Cincinnati Enquirer,* Joe Ruh, Larry Blake, Tracy Insko, William Farro, Angela Schaffer, Ken Kline, Danny Miller; Pat Moynahan, Doug Perry, Chuck Hawkins, Russ Kerdolff, Larry Meyer, Kevin Corcoran, Joel Robinson, Elaine Jarchow, Karen Zerhusen Kruer, Jane Meier, Nacy Winstel, Matt Schmidt, Danielle Zimmerman, Kendra Caldwell, Karen Brackman, Rachel Lantry, Danyelle Echoles, Diondra Holiday, Chrissy Soards

Chairman Martin Butler offered resolutions noticing Robert Zapp and C. Alyse Bender, for their dedication to Northern Kentucky University, its faculty, its staff, and its students.

A motion was made by Regent Weiss and seconded by Regent Brown to approve the minutes of the April 10, 2008, Board of Regents meeting. **Motion carried.**

Presidential Comments:

Dr. Votruba noted:

The great achievements made by the NKU Women's Basketball Team. The Women's Basketball Team has successfully won the National Championship.

Several major developments as we close in on our spring commencement.

Highland Heights Annexation:
Partnership that will have enormous advantage for both the university and the community.
For the University:

- The city building and surrounding acres will be purchased for $1.00.
- Highland Heights will bond approximately 6.5 million for new NKU athletic facilities.

Just as important, this partnership reflects NKU's commitment to insuring the future health of Highland Heights, even as the University continues to grow.

Thanks to Joe Wind, Katie Herschede, Sara Sidebottom, Ken Ramey, the President's Executive Team, Major Meyers and the Negotiation Committee of Highland Heights.

Master Plan Update:
The master plan process is moving along very nicely. Our consultants are in the process of considering the suggestions from our campus community as well as Highland Heights.
Several signature features:

- Pedestrian friendly
- Protects the campus core
- Reinforcement of up close and personal
- Clusters of facilities around green space

NKU Board of Regents Meeting
April 28, 2008

Presidential Comments: *(Cont.)*

- Faith Village
    - Rarely found as part of public universities planning
    - For many students, faith development is an important part of campus life. We want this to be part of our plan.

We will continue to allocate space in the University Center for campus ministries to meet and worship. There is not as much space as originally planned but very convenient.

Thanks to Ken Ramey, Larry Blake, Mary Paul Schuh, The Master Planners, the Administration and Finance staff.

Extended Family Benefits:
Over the past year, NKU has been studying how we can more competitively position our faculty and staff benefits.
Board of Regents appointed a sub-committee chaired by Regent Kremer.
Based on this work, the Board will consider later today an extended family benefits package that

- Is more fair and equitable for all of our employees
- Allows for broader coverage than is currently the case
- Is consistent with trends in both higher education and the private sector
- Will make us more competitive in our recruitment and retention of faculty and staff

Thanks to Lori Southwood, Ken Ramey, Sara Sidebottom, and the President's Executive Team as well as our Faculty Senate, which has provided important leadership in this domain.

2008 Budget and Tuition Increase Recommendation:
Earlier today at the Policy and Finance Committee, the Board had a presentation of the 2008 operating budget, which included our recommendation of a 9.68% tuition increase for resident undergraduate students. Financially, this is a challenging time for the university. I wish that we did not have to raise tuition at all! The University went to tuition only as a last step, not a first step. Last week, SGA voted unanimously to support the increase.

We've implemented deep reductions in our operating budget in order to allow for continued investment in key areas. I believe that the budget will protect our academic quality, our affordability, and our continued ability to make targeted investment, even in difficult times.

Commencement & Enrollment:
NKU will see nearly 1,300 students graduate at the Band of Kentucky Center! This will be the first time in 10 years that we're back on our campus for commencement.

Summer applications are up nearly 4% for undergraduates and nearly 13% for graduate students.
Fall applications are up 8.1% at the undergraduate level, 26.4% at the graduate level, and 11.1% in law.

Transitions:
After 17 years of distinguished service as a member of our Board of Regents, this is Regent Bob Zapp's last board meeting. In those 17 years, Bob has chaired the search committee for a new president, chaired the Board of Regents, and provided advice and counsel to both the board and administration. He also presided over the many investments that the Bank of Kentucky and its directors have made in our campus. The first endowed chair and the Bank of Kentucky Arena. Bob Zapp has defined what it means to be an effective Board member. We will all miss him! Thank you Bob!

This is also the last Board meeting for Student Regent Alyse Bender. Alyse has had an outstanding year as SGA president. She will continue right here at NKU in the Chase College of Law. Thank you, Alyse!

NKU Board of Regents Meeting
April 28, 2008

Next year's SGA President is Gabe Cronin. We will welcome Gabe to the Board in July.

This is Chairman Butler's last meeting as chair. Marty has been the right person at the right time as we've immersed ourselves in facilities development, the US 27 development, land acquisition, our Highland Heights annexation and a host of other matters that drew on Marty's expertise. Fortunately, Marty will continue to serve on our board. Thank you, Marty!

It's been a challenging year for the University. We've had periods of great challenge in dealing with budget reductions but we've also had moments of great achievement. I want to close by thanking the Board for their leadership this year on some very complex matters. I want to recognize our staff and administrators who have worked this year under some very stressful circumstances and I want to thank our faculty who, in good times and difficult times, continue to express their commitment to their discipline, their students, and to our University. On balance, we continue to make progress in efforts to build the University in a way that it supports our students and community.

A. Joint Finance and Policy Committee: (Reports were given by Regent Kremer)

Tuition & Fees:
President Votruba presented the proposed 2008-2009 tuition rates, fees and service charges and the 2008-2009 operations budget spending authority. He also reviewed the process the University underwent to develop the budget and address the state budget cuts.

Expanded Employee Benefits:
Lori Southwood addressed the board on a benefits update. Offering Extended Family Benefits enables Northern Kentucky University (NKU) to present a more equitable benefit package to its employees. This policy would permit NKU to offer additional coverage for an extended family member when the employee is not covering a spouse. The Board of Regents Sub-Committee supports this Presidential Recommendation.

B. Presidential Reports:

1. **Facilities Management: (Vice President Ramey)**
   - There were no further comments on the report as submitted.

   a. Campus Way-Finding (Phase II)
   b. Campus Master Plan
   c. New Student Union
   d. Bank of Kentucky Center
   e. Garage #3 and Welcome Center
   f. Center for Informatics
   g. Renovate Residence Hall (Lakeside)

2. **Quarterly Financial Report: (Vice President Ramey)**
   Summary of the Quarterly Financial Report depicts the financial activity of the University as of March 31, 2008 as compared to the annual budget and the prior year's activity.
   - There were no further comments on the report as submitted.

3. **Fundraising Report: (Vice President St. Amand)**
   Summary of fundraising resources committed from July 1, 2007, through March 31, 2008, totaling $3,795,079.
   - There were no further comments on the report as submitted.

Joint Finance and Policy Committee: *(Cont.)*

4. **Research/Grants/Contracts – Annual Report: (Wells/Schrofel)**
   This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from February 1, 2008, through March 31, 2008. During this time 10 grants were awarded with a total award amount for the noted period of $288,530.
   - There were no further comments on the report as submitted.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Dejaco and seconded by Regent Bender to approve the following Presidential Recommendations as listed; C-1 through C-13. **Motion carried.**

Discussion Agenda Item: A motion was made by Regent Weiss and seconded by Regent Brown to approve Presidential Recommendation as listed; C-14. **Motion carried;** A motion was made by Regent Pyles and seconded by Regent Weiss to approve Presidential Recommendation as listed; C-15. **Motion carried;** A motion was made by Regent Bender and seconded by Regent Downing to approve Presidential Recommendation as listed; C-16. **Motion carried;** A motion was made by Regent DeJaco and seconded by Regent Brown to approve Presidential Recommendation as listed; C-17. **Motion carried;** A motion was made by Regent Griffin and seconded by Regent Weiss to approve Presidential Recommendation as listed; C-18. **Motion carried;** A motion was made by Regent DeJaco and seconded by Regent Brown to approve Presidential Recommendation as listed; C-19. **Motion carried;** A motion was made by Regent Weiss and seconded by Regent Brown to approve Presidential Recommendation as listed; C-20. (Bender/Yes, Brown/Yes, Butler/Yes, Griffin/No, DeJaco/Yes, Downing/No, Kremer/Yes, McClelland/Excused, Pyles/Yes, Weiss/Yes, Zapp/Excused) **Motion carried**

1. **Academic Affairs Personnel Actions**
   a. **Administrative Appointments:** (Effective July 1, 2008)
   Carol Anne Costabile-Heming, Professor of German and Chair of World Languages and Literatures in the Department of World Languages and Literatures with tenure; Gregory S. Martin, Chair and Associate Professor with tenure in the Department of Marketing; Cady Short-Thompson, from Associate Professor in the Department of Communication, to Chair and Professor with Tenure in the Department of Communication;

   b. **Faculty Appointments:**
   Ying (Sophie) Huang, Assistant Professor in the Department of Economics and Finance, effective August 13, 2008; Amy Kardos, Assistant Professor in the Department of History and Geography, effective August 18, 2008;

   Jang-Chul Kim, Assistant Professor in the Department of Economics and Finance, effective August 13, 2008; Cecile Marczinski, Assistant Professor in the Department of Psychology, effective August 18, 2008; Danielle McDonald, Assistant Professor in the Department of Political Science and Criminal Justice, effective August 18, 2008; Gary Newell, from Chair and Associate Professor in the Department of Computer Science, to Associate Professor in the Department of Computer Science, effective July 1, 2008; J. Gaut Ragsdale, from Chair and Professor in the Department of Communication, to Professor in the Department of Communication, effective July 1, 2008; Brandelyn Tosolt, Assistant Professor in the Department of Teacher, Education, and School Leadership, effective August 18, 2008; Jacqueline Wroughton, Assistant Professor in the Department of Mathematics, effective August 18, 2008;

   c. **Phased Resignations:**
   Gary D. Scott, Associate Professor of Business Informatics, Phased Resignation Program Participant, effective January 1, 2009 to December 31, 2009;

Presidential Recommendations: *(Cont.)*

Faculty Appointments: *(Cont.)*

d. Resignations: (Effective May 9, 2008)
Katherine Cochran, Assistant Professor in the Department of English; Hal Melia, Assistant Professor in the Department of Music; Jennifer Pealer, Assistant Professor in the Department of Political Science and Criminal Justice;

2. Non-Academic Affairs Personnel Actions: (between February 14, 2008, and April 9, 2008)
The following categories of non-academic personnel actions, which occurred between February 14, 2008, and April 9, 2008, were approved: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/ Temporary to Regular; Departures; Retirees; and Administrative.

3. Emeritus Status:
Frank Dietrich, Professor of Statistics in the Department of Mathematics; Phillip Schmidt, Professor of Mathematics in the Department of Mathematics, and Director of the Center for Integrative Natural Science and Mathematics; Fredrick R. Schneider, Professor of Law in the Salmon P. Chase College of Law; Linda Sheffield, Regents Professor of Mathematics Education with a joint appointment in the Department of Mathematics and the Department of Teacher Education and School Leadership;

4. First-Year Faculty Recommended for Continued Probation and Continued Probation with Conditions:
College of Arts and Sciences: Bethany Vice Bowling, Department of Biological Sciences; Eimear Mullen, Department of Biological Sciences; Isabelle Lagadic, Department of Chemistry; Joyce Mao, Department of History & Geography; Andrea Watkins, Department of History & Geography; Caryn Connelly, Department of Literature & Language; Lisa Holden, Department of Mathematics; Liza Benham, Department of Political Science & Criminal Justice; Edward Kwon, Department of Political Science & Criminal Justice; Augustine Yaw Frimpong-Mansoh, Department of Sociology, Anthropology & Philosophy; Douglas Hume, Department of Sociology, Anthropology & Philosophy; Jane Green, Department of Theatre & Dance; Brad McCombs, Department of Visual Arts; Hans Schellhaus, Department of Visual Arts; College of Business: Ken Ryack, Department of Accountancy; Vickie Coleman Gallagher, Department of Management; College of Education & Human Services: Yvonne Quintanilla, Department of Counseling, Human Services & Social Work; James Allen, Department of Teacher Education & School Leadership; Steven Crites, Department of Teacher Education & School Leadership; Dan Doerger, Department of Teacher Education & School Leadership; Sara Eisenhardt, Department of Teacher Education & School Leadership; Jaesook Gilbert, Department of Teacher Education & School Leadership; Kimberly Miller, Department of Teacher Education & School Leadership; College of Informatics: Kevin Gallagher, Department of Business Informatics; Gary Hackbarth, Department of Business Informatics; Anthony Dieter, Department of Communication; Denis Mueller, Department of Communication; Leesha Thrower, Department of Communication; Yi Hu, Department of Computer Science; Hongmei Wang, Department of Computer Science; School of Nursing and Health Professions: Billie Jean Kosak, School of Nursing & Health Professions; Erin Robinson, School of Nursing & Health Professions; Valerie Rowland, School of Nursing & Health Professions; Salmon P. Chase College of Law Library: Emily Janoski-Haehlen, Chase College of Law Library; W. Frank Steely Library: Lois Hamill, Steely Library

5. Name Change – Legal Studies:
The Legal Studies Program, in the Department of Political Science and Criminal Justice, will now be known as Pre Law.

Presidential Recommendations: *(Cont.)*

6. **Name Change – Women's Studies:**
The Women's Studies Program will now be known as Women's and Gender Studies.

7. **Amendments to University Curriculum Committee Bylaws:**
The amended bylaws now provide for (a) membership representation for Steely Library and Independent Programs (one representative per program); (b) a Teacher Education Standing Committee to be housed in the College of Education and Human Services; and (c) a completely new article named *College Curriculum Committees* (Article VIII). Article VIII mandates that each college create a standing committee to oversee the curriculum at the college level.

8. **Amendments to *Faculty Policies and Procedures Handbook* – Appeal Procedure:**
Amended Part 1, Section IV.C.14, the amendment now allows 15 days for an appeal, rather than 10 days. Amended Section IV.C.14 to correct the Roman numeral used to identify the location where grievance procedures are discussed in the Handbook.

9. **Acceptance of Contributions received by the NKU Foundation (between February 1, 2008 and March 31, 2008):**
The Board of Regents officially hereby accepts contributions totaling $389,087.50 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period February 1, 2008, through March 31, 2008.

10. **Bad Debt Write Off – Fiscal Year 2007-2008:**
The recommendation to authorize the Vice President for Administration and Finance to write off the bad debt for fiscal year 2007-2008 was submitted.

11. **Easement Agreement – Duke Energy Corporation/ Northern Kentucky Water District:**
Authorization to grant an easement to Duke Energy Corporation for relocated natural gas and electric lines related to current construction projects. In addition, that the administration be authorized to grant an easement to the Northern Kentucky Water District for re-routing of a water distribution line to accommodate construction of the new parking garage.

12. **Resolution – Series A-General Receipt Bonds:**
The recommendation to adopt the attached Resolution, which provides for the issuance of approximately $19,475,000 in General Receipt Obligations for the purpose of (i) permanently financing the costs James P. Callahan Hall project and (ii) paying costs of issuance in connection with the Northern Kentucky University General Receipts Bonds, 2008 Series A.

13. **Administrative Personnel Action:**
Dr. Zebulun Davenport assumes full duties associated with the Vice President for Student Affairs position, effective March 31, 2008;

14. **Resolution – NKU Research Foundation, Inc.:**
This resolution establishes the Board of Regents intent to allow the Northern Kentucky University Research Foundation, Inc. to retain 90% of all indirect costs recovered in research grants and contracts in support of other contractual obligations incurred, or payment for other goods and services provided for the benefit of the University.

Presidential Recommendations: *(Cont.)*

15. **Tuition and Fees:**
The Board of Regents officially hereby accepts the Tuition and Fees as listed in the Board of Regents materials;
    - It is herby noted the NKU Board of Regents regrets, due to inadequate funding, increasing tuition rates to the proposed levels.

16. **Schedule of Fees and Service Charges for Academic Year 2008-09:**
The recommendation to authorize the Schedule of Fees and Service Charges for the Academic and Fiscal Year 2008-09 was submitted.

17. **Resolution – Establishing and Approving the Fiscal Year 2008-09 Annual Budget for NKU:**
The Board approves the resolution establishing and approving the Fiscal Year 2008-09 Unrestricted Current Fund Annual Operating Budget for Northern Kentucky University.

18. **Resolution – Consent to Annexation:**
A voluntary partial annexation of Northern Kentucky University property by the City of Highland Heights, Kentucky be authorized.

Presidential Recommendations: *(Cont.)*

19. **Resolution – Cooperative Agreement:**
The administration be authorized to execute an agreement between Northern Kentucky University and Highland Heights for the funding, construction and operation of a soccer complex.

20. **Employee Benefits:**
That the Board of Regents approves the offering of Extended Family Benefits to participants of the Northern Kentucky University benefits program.

A motion was made by Regent Weiss and seconded by Regent Brown to approve new Regent officers as follows, "Anna Dale Pyles, Chair; Nancy Barone Kremer, Vice-Chair; Denise McClelland, Secretary." **Motion carried.**

A motion was made by Regent DeJaco and seconded by Regent Bender to adjourn. **Motion carried.**

Signature on File

Isaac D. Ferguson
Senior Administrative Associate

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on April 28, 2008, and that such matters are still in force and effect.

Signature on File

Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
NKU, Student Union, Room 109 – Tuesday, September 9, 2008 – 6:00 p.m.

6:00 p.m.

- Student Union Welcome & Tour - Hosted by Zebulun Davenport and Sara Aikman
- Board of Regents Dinner

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, September 10, 2008 – 8:30 a.m.

8:30 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1. Master Plan Update (Ramey)
2. Student Affairs Update (Davenport)
3. Northern Kentucky University Research Foundation Briefing (Wells/Shrofel)

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, September 10, 2008 – 10:00 a.m. – 11:15 p.m.

10:00 a.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Oath of Office for Regent Terry L. Mann and Gabriel W. Cronon
- Approval of April 28, 2008, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

10:30 p.m.

B. <u>Presidential Reports</u>:

1. Facilities Management (Ramey)
2. Fundraising Report (between July 1, 2008 through July 31, 2008) (St. Amand)
3. Research/Grants/Contracts Report (April 1, 2008 through March 31, 2008) (Wells/Shrofel)
4. Research/Grants/Contracts Report (July 1, 2007 through June 30, 2008) (Wells/Shrofel)
5. Fiscal Year 2008-09 NKU Research Foundation Operating Budget (Wells/Shrofel)
6. Mission Statement (Moore)

10:45 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Temporary Appointments
3. *Non-Academic Affairs Personnel Actions (between June 12, 2008 and August 13, 2008)
4. * Administrative Appointment
5. *Amendments to *Faculty Policies and Procedures Handbook* – RPT and Performance Review
6. *Amendments to *Faculty Policies and Procedures Handbook* – Peer Review Committees; Peer Review Advisory and Hearing Process
7. *RPT - Terminal Reappointment
8. *Undergraduate Degree Requirement – Required Credit Hours
9. *Lease Agreement – Gateway Community and Technical College
10. *Authorization for Master Lease Agreement
11. *Acceptance of Contributions received by the NKU Foundation
12. *Organizational Change – Information Technology
13. *Organizational Change – Office of the Dean for International Education
14. *Organizational Change – Center for Applied Ecology
15. *Organizational Change – Student Achievement Center
16. *Name Change/s – Division of Academic Affairs
17. *Organizational Chart
18. *Amendment to *NKU Governing and Administrative Regulations* - Governance of University Related Foundations.
19. Honorary Naming – College of Business

* Consent Agenda Items: Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request an item removed from the consent agenda for a separate motion by contacting the Office of the President, 572-5172, by 2:00 p.m., Tuesday, September 9, 2008.

AGENDA
Northern Kentucky University

D. Executive Session:

E. Other: (Calendar Events)*

Event	Date	Start Time	Ending Time	Location
Dedication of the Bank of Kentucky Arena	09/22/2008	3:30 PM	7:00 PM	NKU, The Bank of Kentucky Arena
Governor's Trustee Retreat	09/29/2008	7:30 AM	4:30 PM	Radisson Plaza Hotel, Lexington, KY
Alumni Lecture Series Speakers: Dee Meyers & Karl Rove	10/09/2008	5:00 PM	7:15 PM	NKU, Student Union – Grand Ballroom
Staff Appreciation Lunch	10/14/2008	Noon	2:00 PM	NKU, Student Union – Grand Ballroom
Men's and Women's Basketball NKU vs. U of L	11/08/2008	5:30 PM	10:00 PM	NKU, The Bank of Kentucky Arena
Regular Board of Regents Dinner	11/11/2008	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	11/12/2008	9:00 AM	3:00 PM	NKU, Student Union – TBA
Foundation Board of Directors Meeting	TBA	TBA	TBA	TBA
Fall Commencement	12/20/2008	TBA	TBA	NKU, The Bank of Kentucky Arena
Regular Board of Regents Dinner	01/13/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	01/14/2009	9:00 AM	3:00 PM	NKU, Student Union – TBA
Alumni Awards Banquet	02/06/2009	6:00 PM	9:00 PM	NKU, Student Union – Grand Ballroom
Homecoming – Basketball	02/07/2009	TBA	TBA	NKU, The Bank of Kentucky Arena
Regular Board of Regents Dinner	03/10/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	03/11/2009	9:00 AM	3:00 PM	NKU, Student Union – TBA
Foundation Executive Committee/Board of Regents Dinner	TBA	TBA	TBA	TBA
Spring Convocation/Budget Presentation	04/15/2009	TBA	TBA	NKU, Greaves Hall
Lincoln Awards	04/22/2008	TBA	TBA	TBD
Regular Board of Regents Dinner	05/05/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	05/06/2009	9:00 AM	3:00 PM	NKU, Student Union – TBA
NKU Spring Commencement	05/09/2009	TBA	TBA	NKU, The Bank of Kentucky Arena
Chase Spring Commencement	05/09/2009	TBA	TBA	NKU, The Bank of Kentucky Arena
Regular Board of Regents Dinner	09/08/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	09/09/2009	9:00 AM	3:00 PM	NKU, Student Union – TBA
Regular Board of Regents Dinner	11/10/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	11/11/2009	9:00 AM	3:00 PM	NKU, Student Union – TBA

*** (Regents – Please R.S.V.P. by calling the President's Office at 572-5172 to confirm your attendance at the above events)**

Board of Regents Meeting
Northern Kentucky University
Student Union, Room 104
September 10, 2008

The regular meeting of the Board of Regents was called to order at 10:32 a.m. on Wednesday, September 10, 2008, by Anna Dale Pyles, Chair.

Regent Brown read the invocation.

Roll Call: Chuck Brown, Martin C. Butler, Gabriel W. Cronon, Peggy A. DeJaco, Joyce E. Griffin, Terry L. Mann, Denise H. McClelland, Anna Dale Pyles, Steven M. Weiss; Excused: Frank Downing, Nancy A. Barone Kremer;

Others Present: James C. Votruba, Zebulun Davenport, Ken Ramey, Sara Sidebottom, Gerry St. Amand, Gail Wells, Joe Wind, Kimberly Luse, Isaac Ferguson, Tammy Knochelmann, Chris Cole, John Beehler, Rick Meyers, Cliff Peale – *The Cincinnati Enquirer*, Joe Ruh, Larry Blake, Chris Bowling, William Farro, Angela Schaffer, Ken Kline, Pat Moynahan, Doug Perry, Russ Kerdolff, Larry Meyer, Kevin Corcoran, Joel Robinson, Elaine Jarchow, Karen Zerhusen Kruer, Jane Meier, Mary Paula Schuh, Jeffery Waple, Charles Pettit, Mary Lee Schott, Mary Ryan, Donald Gorbandt, Sue Hodges Moore, Andy Meeks, Arne Almquist, Kathryn Herschede, Daryl Wright, Lisa Rhine, Salina Schrofel, Jim Nilson, Marilyn Hoffman, Carolyn Haley, William Moulton, Jeffry Noel, Paul Melville, Thomas Nusekabel, Paul Hundemer, Michelle Watts, Steve Nienaber;

The oath of office for newly appointed Regent Terry L. Mann and elected Student Regent Gabriel W. Cronon, was administered. Regents Mann and Cronon swore to uphold all stipulations of the oath and faithfully execute, to the best of their ability, the office of Regent of Northern Kentucky University according to law.

A motion was made by Regent Weiss and seconded by Regent Brown to approve the minutes of the April 28, 2008, Board of Regents meeting. **Motion carried.**

Presidential Comments:
Dr. Votruba noted:

A welcome and acknowledgment to Regent Anna Dale Pyles as the new chair of the board, Regent Terry Mann and Student Regent Gabe Cronon as the newest members of the board, and Tammy Knochelmann as the newest addition to The Office of the President.

Gratitude for the hard work that Facilities Management staff and administrators completed, under stress and tight timelines, to ensure the successful opening of Callahan Hall, the Student Union, and the Bank of Kentucky Center was expressed.

The university is excited about the opening of the new Student Union and Bank of Kentucky Center.

Enrollments are currently up (approximately 290-300 students), with African-American student enrollments up 13% (approximately 103 students), Hispanic student enrollments (incoming freshman) up 27% and new freshmen increased by 27 % over last reporting year.

Ground breaking for the College of Informatics is scheduled for later this fall and has an anticipated construction budget of $50 million dollars.

The Haile/US Bank College of Business was celebrated at NKU's Fall Convocation. Dean Beehler has begun to coordinate and plan the allocation and usage of project funds.

There is still great uncertainty in Frankfort. Revenues at the state level are not what were projected. We will continue to budget conservatively, recognizing this could be another difficult year for both the state and university.

NKU Board of Regents Meeting
September 10, 2008

A. Joint Finance and Policy Committee: (Reports were given by Regent McClelland, Secretary)

Master Plan Update:
The Master Plan consultants, Campus Studio, presented the special focus phase of their project. They also provided an overview of campus infrastructure and what is needed to grow the campus as new buildings are added. The final master plan proposal is scheduled to be presented to the Board of Regents at the January 2009 meeting.

Student Affairs Update:
Vice President Davenport updated the Board of Regents on issues related to student housing and the recent addition of the new Student Union. 460 additional beds were made available, in less than eight months, through the combined efforts of Student Housing, Facilities Management, and others. 12% of the student population currently resides on campus. The Student Union will have a campus wide impact on how students interact and where they interact. The level of interaction between students and the community will also be increased due to the expanded availability of event space.

Northern Kentucky University Research Foundation Briefing:
Dr. Salina Shrofel, Dean of Graduate Studies and Director of Outreach, addressed the board on the NKU Research Foundation's organizational structure and responded to questions.

B. Presidential Reports:

1. **Facilities Management: (Vice President Ramey)**
   a. **Campus Way-Finding (Phase II)**
   b. **Campus Master Plan**
   c. **New Student Union**
   d. **Bank of Kentucky Center**
   e. **Center for Informatics**
   f. **Renovate Callahan Hall**

Vice President Ramey acknowledged the great efforts and hard work put forth by the Facilities Management staff, Campus Design staff and Project Management.

2. **Fundraising Report: (Vice President St. Amand)**
   Summary of fundraising resources committed from July 1, 2008, through July 31, 2008, totaling $333,295.
   - There were no further comments on the report as submitted.

3. **Research/Grants/Contracts – Award Report (Provost Wells):**
   This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from April 1, 2008, through May 31, 2008. During this time, 19 grants were awarded with a total award amount for the noted period of $2,469,402.
   - There were no further comments on the report as submitted.

4. **Research/Grants/Contracts – Award Report (Provost Wells):**
   The report summarized the successful proposals with the amount of award for each proposal for NKU faculty from June 1, 2008, through July 31, 2008.
   - There were no further comments on the report as submitted.

5. **Fiscal Year 2008-09 NKU Research Foundation Operating Budget (Provost Wells):**
   The report summarized the operating budget for the Northern Kentucky University Research Foundation(NKURF). NKURF has been established and approved by the NKURF Board in the amount totaling $322,040, for the fiscal year 2008-09. The Northern Kentucky University Board of Regents will be informed of any adjustment, which increases the NKURF operating budget by more than 10%.
   - There were no further comments on the report as submitted.

NKU Board of Regents Meeting
September 10, 2008

Presidential Reports: *(Cont.)*

6. **Mission Statement (Vice President Moore):**
   On May 7, 2007, the Board of Regents approved the new strategic agenda, *The Talent Imperative!* Included in *The Talent Imperative!*, is a revised mission statement for Northern Kentucky University. The NKU Governing and Administrative Regulations will be amended to reflect the revised mission.
   - There were no further comments on the report as submitted.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Griffin and seconded by Regent DeJaco to approve the following Presidential Recommendations as listed; C-1 through C-17. **Motion carried.**

A motion was made by Regent McClelland and seconded by Regent Butler to table the following Presidential Recommendation as listed; C-18. **Motion carried.**

A motion was made by Regent Weiss and seconded by Regent Cronon to approve the following Presidential Recommendations as listed; C-19. **Motion carried.**

1. **Academic Affairs Personnel Actions**

   a. **Administrative Appointments:**

   Daniel J. Curtin, Professor of Mathematics and Interim Director of the Center for Integrative Natural Science and Mathematics (CINSAM), effective August 14, 2008; Kirsten Fleming, from Professor and Interim Executive Director of the Kentucky Center for Mathematics, to Professor and Executive Director of the Kentucky Center for Mathematics; Feng (Stephen) Guo, Senior Research Economist for the Center for Economic Analysis and Development (CEAD), effective July 1, 2008; Janet Harrah, Senior Director of the Center for Economic Analysis and Development (CEAD), effective August 18, 2008; Nancy Slonneger Hancock, Director of Women's and Gender Studies Program, effective July 1, 2008; Kevin Kirby, from Professor in the Department of Computer Science, to Professor and Interim Chair in the Department of Computer Science, effective July 1, 2008; Leslie Kyle, from Director of the College of Business Advising Center, to Assistant Dean and Director of the College of Business Advising Center, effective July 1, 2008; Carol M. Lawrence, Chair and Professor with tenure, Department of Accountancy, effective July 1, 2008; Gary Ozanich, from Visiting Assistant Professor, to Director of Strategic Advancement, effective July 1, 2008; J. Gaut Ragsdale, from Professor and Chair in the Department of Communication, to Professor and Interim Associate Dean in the Department of Communication, effective July 1, 2008; Diane Williams, Director, Student Success, effective July 1, 2008; Isabella Zembrodt, Acting Director of the Honors Program, effective May 15, 2008 through December 31, 2008;

   b. **Faculty Appointments:**

   Alina Campan, Assistant Professor in the Department of Computer Science, effective August 18, 2008; Christine Curran, Assistant Professor in the Department of Biological Sciences, effective August 18, 2008; Pamela Davis, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions, effective August 18, 2008; Rodney D'Souza, Assistant Professor in the Department of Management, effective August 18, 2008; Deborah Engel, Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions, effective August 18, 2008; Wei Hao, Assistant Professor in the Department of Computer Science, effective August 18, 2008; Michael Harris, Lecturer (non-tenure track renewable), School of Nursing and Health Professions, effective August 18, 2008; Helene Harte, Assistant Professor in the Department of Teacher Education and School Leadership, effective August 18, 2008; William Brian Hogg, Assistant Professor in the Department of Music, effective August 18, 2008; David Hrovat, Assistant Director of the International Business Center and Lecturer (non-tenure track renewable) in the Department of Management, effective July 1, 2008; Hetal Jasani, Assistant Professor of Computer Networking and Security in the Center for Integrative Natural Science and Mathematics, effective August 18, 2008; Marc Leone, Assistant Professor in the Department of Visual Arts, effective

Presidential Recommendations: *(Cont.)*
Faculty Appointments: *(Cont.)*

August 18, 2008; Denise Johnson Luethge, Professor in the Department of Management, effective January 1, 2009; Joseph Nolan, Assistant Professor in the Department of Mathematics, effective August 18, 2008; Lisa MacQueen, Assistant Professor in the School of Nursing and Health Professions, effective August 18, 2008; Michael McDermott, Assistant Professor in the Department of Management, effective January 1, 2009; Kimberly McErlane, Assistant Professor in the School of Nursing and Health Professions, effective August 18, 2008; Karen Mutsch, Assistant Professor in the School of Nursing and Health Professions, effective August 18, 2008; Kwabena Ofori-Attah, Assistant Professor in the Department of Teacher Education and School Leadership, effective August 18, 2008; Carrie Perron, Lecturer (non-tenure track renewable), School of Nursing and Health Professions, effective August 18, 2008; Pamela Phares, Assistant Professor in the School of Nursing and Health Professions, effective August 18, 2008; Shane Talbott, Advisor/Lecturer (non-tenure track renewable) in the School of Nursing and Health Professions, effective July 1, 2008; Juliann Bosko Young, Lecturer/Freshman Specialist (non-tenure track renewable), Office of First Year Programs, effective August 18, 2008;

c. Phased Resignations:

Linda Dolive, Professor in the Department of Political Science and Criminal Justice, beginning with the 2008-2009 academic year through the 2009-2010 academic year (participation for two years); Sudesh Duggal, Professor, Department of Business Informatics, Phased Resignation Program Participant, beginning with the 2009-2010 academic year through the 2010-2011 academic year (participation for two academic years); Jim Niewahner, Professor in the Department of Chemistry, Phased Resignation Program Participant, beginning January 2009 through 2009-2010 academic year (participation for three semesters);

d. Resignations:

James Allen, Assistant Professor, Department of Teacher Education and School Leadership, effective July 31, 2008; Fred Beasley, Professor, Department of Marketing, effective May 9, 2008; Dianne Benedict, Lecturer (non-tenure track renewable), School of Nursing and Health Professions, effective May 10, 2008; David Cole, Assistant Professor, Department of Music, effective May 9, 2008; Dan Doerger, Assistant Professor, Department of Teacher Education and School Leadership, effective June 30, 2008; Greg Farfsing, MBA Director and Lecturer in the Department of Economics and Finance, effective July 1, 2008; Kathy Fogel, Assistant Professor, Department of Economics and Finance, effective May 13, 2008; Michael Gray, Professor, Department of Kinesiology, Health, and Educational Foundations, effective May 30, 2008; Mary Kirk, from the position of Professor and Chair in the Department of Kinesiology, Health and Educational Foundations, to the position of Professor in the Department of Kinesiology, Health, and Educational Foundations, effective June 30, 2009; Yvonne Meichtry, Associate Professor, Department of Teacher Education and School Leadership, effective July 18, 2008; Kim Miller, Assistant Professor, Department of Teacher Education and School Leadership, effective May 10, 2008; David Cole, Assistant Professor in the Department of Music, effective May 9, 2008; Heather Hatchett, Lecturer, Office of First Year Programs, effective May 5, 2008; Marilyn Lottman, Assistant Professor, School of Nursing and Health Professions, effective May 10, 2008; Kimberly Miller, Assistant Professor, Department of Teacher Education and School Leadership, effective May 11, 2008; Elaine Jarchow, from Dean, College of Education and Human Services, effective June 30, 2009, to Professor with Tenure in the Department of Teacher Education and School Leadership; Robert Johnson, Lecturer (non-tenure track renewable), Respiratory Care, School of Nursing and Health Professions, effective May 10, 2008; Matthew Shank, Professor, Department of Marketing, effective May 9, 2008; Ryan Tetan, Assistant Professor, Department of Political Science and Criminal Justice, effective May 9, 2008; Amber Thomas, Lecturer (non-tenure track renewable), School of Nursing and Health Professions, effective May 10, 2008; Gay Washburn, Assistant Professor, Department of Teacher Education and School Leadership, effective July 31, 2008;

Presidential Recommendations: *(Cont.)*

e. Leaves Paid/Unpaid:

Beth Hickey, Associate Professor in the School of Nursing and Health Professions, unpaid leave without benefits, effective August 18, 2008 through May 9, 2009; Elaine Jarchow, Dean, College of Education and Human Services, one semester paid administrative leave with benefits, fall semester 2009; Tracy Munoz, Assistant Professor, Department of World Languages and Literatures, unpaid leave without benefits for the 2008-2009 academic year; Thomas Zaniello, Director, Honors Program, one semester paid leave with benefits for the fall semester 2008;

f. Retirements:

Larry Giesmann, Professor in the Department of Biological Sciences, effective May 9, 2009;

2. Academic Affairs Temporary Appointments: (Academic Year Unless Noted)

Vicki Kier of Biological Sciences (Fiscal Year); Nancy Bowers of the Department of English; Kristi Brock of the Department of English; Paul Goodin of the Department of English; Angela K. Hesson of the Department of English; Stephen Leigh of the Department of English; Virginia Paddock of the Department of English; Frank X. Walker of the Department of English; Mari York of the Department of English; Clyde G. Booker of the History & Geography; Michael Marchman of the History & Geography; Okera D. Nsombi of the History & Geography; Jim Justice of the Kentucky Center for Mathematics (Fiscal Year); Laura Plante of the Kentucky Center for Mathematics (Fiscal Year); Michael Collins of the Department of Mathematics; Chrystal Culbertson of the Department of Mathematics; Marilyn Hoffman of the Department of Mathematics; Barbara Phillips of the Department of Mathematics; John Taylor of the Department of Mathematics; Frederick Brockmeier IV of the Department Organizational Leadership; Landewatte A. DeSilva of the Department of Physics & Geology Academic Year; Chari Ramkumar of the Department of Physics & Geology; Bill Wayne Wright of the Department of Physics & Geology; Gail O'Brien of the Department of Political Science & Criminal Justice; Fred W. Rhynhart of the Department of Political Science & Criminal Justice; Jill Shelley of the Department of Political Science & Criminal Justice; Jessica Lynn Park of the Department of Psychology; Gregory Bigot of the Department of Sociology, Anthropology & Philosophy; Nicole Grant of the Department of Sociology, Anthropology & Philosophy; Michael Simonton of the Department of Sociology, Anthropology & Philosophy; Kristie Vise of the Department of Sociology, Anthropology & Philosophy; Michael Hatton of the Department of Theatre & Dance; Christine Jones of the Department of Theatre & Dance; Matthew Albritton of the Department of Visual Arts; Heidi Endres of the Department of Visual Arts; Martin T. Meersman of the Department of Visual Arts; Mary Bucklin of the Department of Women's & Gender Studies; Jeremy T. Goebel of the Department of World Languages & Literatures; Melissa K. Ibarra of the Department of World Languages & Literatures; Dolores Schreiner of the Department of World Languages & Literatures; Lorraine Ruh of the Department of Accountancy; Robert Salyer of the Department of Accountancy(Fiscal Year); Steven Devoto of the Department of Economics & Finance; Carl Simkonis of the Department of Economics & Finance; Dan Kent of the Department of Management; Louis Manchise of the Department of Management; Donna Crane of the Department of Marketing; Edward Jackson, Jr. of the Department of Marketing; Jerome Gonnella of the Department of Business Informatics; James A. Chowning of the Department of Communication; Michele Day of the Department of Communication; Stephanie Klatske of the Department of Communication; J. Michael Skaggs of the Department of Communication; Michael Chirichello of the Office of the Dean; Caroline Macke of the Counseling, Human Services & Social Work; Wayne Brooks of the Department Kinesiology, Health & Educational Foundations; Catherine Ingram of the Department Kinesiology, Health & Educational Foundations; Rachel Noll of the Department Kinesiology, Health & Educational Foundations; Hannah Chai of the Department of Teacher Education & School Leadership; George Frakes of the Department of Teacher Education & School Leadership; Kelly Gunn of the Department of Teacher Education & School Leadership; Rosa Weaver of the Department of Teacher Education & School Leadership; Vickie McClanahan of the School of Nursing & Health Professions;

NKU Board of Regents Meeting
September 10, 2008

Presidential Recommendations: *(Cont.)*

3. **Non-Academic Affairs Personnel Actions:**
   The following categories of non-academic personnel actions, which occurred between June 12, 2008, and August 13, 2008, were approved: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/ Temporary to Regular; Departures; Retirees; and Administrative.

4. **Administrative Personnel Action:**
   Lisa B. Rhine, to be appointed Assistant Vice President for Student Affairs, effective August 4, 2008;

5. **Amendment to *Faculty Policies and Procedures Handbook* – (RPT and Performance Review)**

6. **Amendment to *Faculty Policies and Procedures Handbook* – (Peer Review Committees; Peer Review Advisory and Hearing Process)**

7. **RPT – Terminal Reappointment:**
   Andrea Weickgenannt of the Department of Accountancy;

8. **Undergraduate Degree Requirement – Required Credit hours:**
   Reduction in the number of credit hours required from 128 to 120 hours for an undergraduate degree.

9. **Lease Agreement – Gateway Community and Technical College:**
   Authorization for NKU administration to enter into a lease agreement with Gateway Community and Technical College for lease of its Highland Heights facility with an option to purchase clause.

10. **Master Lease Agreement/s – Fifth Third Leasing Company and Key Government Finance, Inc.:**
    Authorization for the Vice President for Administration and Finance/Treasurer of the University to execute necessary documents to enter into Master Lease Agreements with Fifth Third Leasing Company and Key Government Finance, Inc. for the financing of real property, computer equipment, software systems, and other equipment and furnishings as approved in the internal budget process. In addition, authority to declare the University's intent to reimburse capital expenditures with tax-exempt proceeds issued under the Master Lease Agreements.

11. **Acceptance of Contributions received by the NKU Foundation:**
    The Board of Regents officially hereby accepts contributions totaling **$260,000.00** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period July 1, 2008, through July 31, 2008.

12. **Organizational Change – Information Technology:**
    Authorization for the Department of Information Technology to restructure into five major areas – Infrastructure, Service, Research and Development, the SAP (PRISM) project and the Office of the CIO .

13. **Organizational Change – Office of the Dean for International Education:**
    The Board of Regents provides authorization for the establishment of the Office of the Dean for International Education. As a component, the Office of International Student Affairs will move from a Division of Student Affairs to a Division of Academic Affairs. The name of the Office will be changed to the Office of International Students and Scholars effective July 1, 2008. The Office of International Programs will be changed to the Office of Education Abroad, effective July 1, 2008.

14. **Organizational Change –Center for Applied Ecology:**
    The Board of Regents provides authorization to move the Center of Applied Ecology from the College of Arts and Sciences to the Northern Kentucky University Research Foundation (NKURF, effective July 1, 2008.

Presidential Recommendations: *(Cont.)*

15. Organizational Change – Student Achievement Center:

The Board of Regents provides authorization for the establishment of the Student Achievement Center to act as an organizational umbrella for the following programs: Student Support Services, Student Retention, Kentucky Transitional Assistance Program (K-TAP).

16. Name Changes/Organizational Changes – Division of Academic Affairs:

The Board of Regents provides authorization for the following changes:
Kentucky Center for Mathematics, to report directly to the Dean of Arts and Sciences; Assistant Vice President for Enrollment Management, title change – Associate Vice President for Enrollment; College of Business – International Business Center, the addition of the International Business Center to the College; College of Business – Alternative Dispute Resolution Center, the addition of the Alternative Dispute Resolution Center; College of Business – Executive Leadership & Organizational Change, the addition of the Executive Leadership & Organizational Change (ELOC) program; College of Business - Advising Center, the addition of the College Advising Center; College of Business – Center for Economic Analysis & Development, the addition of the Center for Economic Analysis & Development; College of Business – Center for Economic Education, the addition of the Center for Economic Education; College of Business – Marketing Research Partnership Program, the addition of the Marketing Research Partnership Program; College of Business Masters – Accountancy, the addition of the MAAC Program; College of Business Masters – Business Administration, the addition of the MBA Program; College of Business Masters – Executive Leadership & Organizational Change, the addition of the Masters – ELOC Program;

17. Revisions to the University Organizational Structure:

The proposed organizational structure, as outlined in the Board Materials, reflects all NKU Administrative changes from September 12, 2007, to September 10, 2008. The reporting lines listed are for Director level and above, but include: individuals who directly report to the President; Department Chairs under Academic Affairs; Coaching area under Intercollegiate Athletics; and the Manager of the Bookstore/Follet and Food Services/Chartwells, which are separate entities from the University.

18. **Amendment to *NKU Governing and Administrative Regulations* – Governance of University Related Foundations: *(TABLED)***

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19. Naming Rights for University Facilities – *The Carol Ann and Ralph V. Hale, Jr./US Bank Foundation* College of Business:

The Board of Regents approves the naming of the College of Business –*The Carol Ann and Ralph V. Hale, Jr./US Bank Foundation College of Business.*

D. Executive Session:

1. A motion was made by Regent DeJaco and seconded by Regent McClelland to enter into Executive Session. **Motion carried.**
2. A motion was made by Regent Weiss and seconded by Regent Brown to close the Executive Session. **Motion carried.**

A motion was made by Regent Weiss and seconded by Regent DeJaco to approve the motion as discussed during Executive Session. **Motion carried.**

A motion was made by Regent McClelland and seconded by Regent Griffin to adjourn. **Motion carried.**

Signature on File

Isaac D. Ferguson
Senior Administrative Associate

Signature on File

Kimberly A. Luse
Executive Assistant to the President for Special Projects and Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on September 10, 2008, and that such matters are still in force and effect.

Signature on File

Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, November 11, 2008 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, November 12, 2008 – 9:30 a.m.

9:30 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1. Annual Financial Report (Ramey/Kerdolff)
2. Fundraising Campaign Planning Update (St. Amand)

Board Lunch
NKU, Student Union, Room 108 – Wednesday, November 12, 2008 – 11:30 a.m.

11:30 a.m.

- Board of Regents Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, November 12, 2008 – 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of September 10, 2008, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:20 p.m.

B. <u>Presidential Reports</u>:

1. Facilities Management (Ramey)
2. Fundraising Report (between July 1, 2008 through September 30, 2008) (St. Amand)
3. Research/Grants/Contracts Report (Wells/Shrofel)
   (between August 1, 2008 through September 30, 2008)
4. Financial Report (between July 1, 2007 through June 30, 2008) (Ramey)

<u>Executive Session</u>:

2:00 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Temporary Appointments
3. *Non-Academic Affairs Personnel Actions
   (between August 14, 2008 and October 7, 2008)
4. * Administrative Appointment
5. *Emeritus Status
6. *Name Change – Department of Psychology
7. *Resolution – Interlocal Cooperative Agreement
8. *Acceptance of Contributions received by the NKU Foundation
9. *Amendment to *NKU Governing and Administrative Regulations* - Governance of University Related Foundations

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Friday, November 7, 2008).

AGENDA
Northern Kentucky University

D. Other: (Calendar Events)*

Event	Date	Start Time	Ending Time	Location
Men's and Women's Basketball NKU vs. U of L	11/08/2008	5:30 PM	10:00 PM	NKU, The Bank of Kentucky Arena
Regular Board of Regents Dinner	11/11/2008	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	11/12/2008	9:00 AM	3:00 PM	NKU, Student Union – Room 104
40th Anniversary Event – Black Tie Dinner	11/15/2008	6:00 PM	9:00 PM	NKU, Student Union – Grand Ballroom
Foundation Board of Directors Meeting	12/01/2008	8:00 AM	10:30 AM	NKU, The Bank of Kentucky Arena
Fall Commencement	12/20/2008	9:00 AM	11:30 AM	NKU, The Bank of Kentucky Arena
Regular Board of Regents Dinner	01/13/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	01/14/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104
Alumni Awards Banquet	02/06/2009	6:00 PM	9:00 PM	NKU, Student Union – Grand Ballroom
Homecoming – Basketball	02/07/2009	5:30 PM	9:30 PM	NKU, The Bank of Kentucky Arena
Regular Board of Regents Dinner	03/10/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	03/11/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104
Foundation Executive Committee/Board of Regents Dinner	TBA	TBA	TBA	TBA
Spring Convocation/Budget Presentation	04/15/2009	TBA	TBA	NKU, Greaves Hall
Lincoln Awards	04/22/2008	TBA	TBA	NKU, Student Union – Grand Ballroom
Regular Board of Regents Dinner	05/05/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	05/06/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104
NKU Spring Commencement	05/09/2009	TBA	TBA	NKU, The Bank of Kentucky Arena
Chase Spring Commencement	05/09/2009	TBA	TBA	NKU, The Bank of Kentucky Arena
Regular Board of Regents Dinner	07/07/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	07/08/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104
Regular Board of Regents Dinner	09/08/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	09/09/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104
Regular Board of Regents Dinner	11/10/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	11/11/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104

*** (Regents – Please R.S.V.P. by calling the President's Office at 572-5172 to confirm your attendance at the above events)**

Board of Regents Meeting
Northern Kentucky University
Student Union, Room 104
November 12, 2008

Chairwoman Anna Dale Pyles called the regular meeting of the Board of Regents to order at 1:03 p.m., Wednesday, November 12, 2008.

Regent Terry Mann read the invocation.

Roll Call: Martin C. Butler, Gabriel W. Cronon, Peggy A. DeJaco, Frank K. Downing, Joyce E. Griffin, Nancy A. Barone Kremer, Denise H. McClelland, Terry L. Mann, Anna Dale Pyles, Steven M. Weiss, (Excused: Chuck H. Brown);

Other Attendees: James Votruba, Kimberly Luse, Isaac Ferguson, Tammy Knochelmann, Sara Sidebottom, Gerard St. Amand, Zeb Davenport, Gail Wells, Ken Ramey, Joe Wind, Sue Moore, Kevin Corcoran, Doug Perry, Elaine Jarchow, Melissa Gorbandt, Hilleri Sauther, Melanie Grindle, Amanda Bentley, Liz Osborne, Michael Altekruse, Carole Beere, Bryan Ganulin, Steve Adkins, Chad Midlam, Jeff Waple, Lisa Rhine, Don Gorbandt, Mark Shanley, Adam Leftin, Kim Vance, Mary Beth Ward, Jeanne Papania, Paul Meyers, Sarah Aikman, Ryan Clark, Joel Robinson, Sandy Gillilan, Salina Schrofel, Russ Kerdolff, Bill Farro, Chris Bowling, Mary Ryan, Amy Wylie, Carol Schweitzer, Debbie Kelly, Russ Proctor, Pat Moynahan, Steve Meier, Larry Blake, Megan Downing, Stephanie Baker, Bob Sheehan;

Regent Terry Mann seconded Regent Joyce Griffin's motion to approve the minutes of the September 10, 2008, Board of Regents meeting. **(Motion carried)**

Presidential Comments
Dr. James Votruba noted:

Remembering Professor Danny Miller:

> This past Sunday, Professor Danny Miller died after suffering a massive stroke. Danny reflected the best of NKU. He was a gifted and caring teacher, a supportive and engaged friend and colleague, and a person who welcomed all who came into his life. He was engaged in nearly every dimension of campus life.
>
> This is a profound personal as well as professional loss for countless numbers of students, faculty, staff, and colleagues. He will be deeply missed.
>
> Memorial service will be this Saturday at 1:30p.m. in Greaves Concert Hall.

Presidential Comments (Cont.)
Dr. James Votruba noted:

Thanks to Mark Shanley:

> Mark Shanley, former Student Affairs Vice President, has announced his intention to move from his faculty position at NKU to become Assistant Vice President for Student Affairs at Miami University in Oxford, Ohio.
>
> Mark served NKU for nearly a decade as vice president. In that time, he was central to our strategic planning process, helped move Student Affairs to the next level of professional development and led the effort to build a new student union building.
>
> I know he feels a particular sense of pride in this latter achievement. Mark took an idea and translated it into reality. The Student Union is having an enormous impact on the quality of campus life and we have Mark to thank.
>
> Mark, all of us wish you the very best as you begin a new chapter in your career. Thank you for your many contributions to NKU!

Turning to Frankfort and the state of our economy:

> Recent economic consensus is pointing to the likelihood the current recession will last at least eighteen months and that state revenues will lag the national recovery by about a year. Frankfort has announced a revenue shortfall of 250-300 million dollars and it could grow larger. No decisions have been made regarding state budget reductions, but we will need to prepare for the possibility reductions will occur.
>
> In short, we must assume there will be little or no additional financial support for the University over the next two to three years. I hope this will not be the case, but we need to prepare.
>
> Affordability concerns are likely to constrain tuition increases. This means it will take major internal reallocations of resources if we hope to continue to invest in high priority areas.
>
> The current recession could affect us in other ways as well. Enrollments could soften due to reduced student access to credit. Scholarship availability could be affected by a reduction in the value of our endowment. Fiscal uncertainty may slow progress in our private donor arena.
>
> Unless new state revenue is found, it is unlikely there will be new funds for operating or capital construction. This will constrain our ability to grow enrollments at a time when demand is at record levels.

Presidential Comments (Cont.)
Dr. James Votruba noted:

Next steps

Over the next few weeks, I will brief our campus governance groups and leadership on the conditions that we are likely to confront. I'll seek their advice on the process we should use to make difficult financial decisions and any other advice they may want to offer.

We will need to protect our core mission and enhance where we can. We will consider freezing enrollment at the current level. We will also consider increasing our admissions criteria and admitting fewer students with remediation needs, as we are currently working with area high schools to design programs to prepare seniors for college readiness.

Bottom line

The university is currently in a relatively strong financial position. Enrollment demand is strong as are our fiscal reserves. However, we need to prepare for what could be a prolonged budget crisis at the state level that could severely constrain investment in the University. Preparing in advance will help assure we protect those things most important.

Opening of our Basketball Season:

We had a great grand opening of our basketball season at the Bank of Kentucky Center. About nine thousand people gathered to watch the NKU Norse Basketball teams take on the University of Louisville. We didn't prevail but both teams played very well and the fans loved the venue.

A. Joint Finance and Policy Committee: (Reports given by Regent Denise McClelland)

Vice President Ramey and Comptroller Kerdolff presented highlights from the University's 2007-2008 Annual Financial Report. Bret Feger attended the meeting for the University's audit firm, Crowe Horwath. Highlights of the presentation included:

- Overall, the University's financial position remained strong at June 30, 2008.
- Net assets increased by eighteen million dollars, or eight percent for the year. Net assets invested in capital assets, net of related debt and restricted expendable net assets increased by a combined thirteen million dollars. Most of this increase related to the state capital appropriation we received for The Bank of Kentucky Center. The University's unrestricted net assets increased by five million dollars for the year.
- The University continues to rely heavily on tuition to fund its operations. Tuition revenues increased by eleven million dollars for fiscal year 2008 while state appropriations decreased by two hundred thousand dollars as a result of a three percent budget cut in the University's general appropriations.
- The University's general appropriation was cut by six percent for fiscal year 2009. The state is currently projecting a revenue shortfall of over two hundred and seventy-five million dollars for 2009; therefore, the University may be faced with additional cuts in state appropriations this year. The University has a recurring budget reserve of three million, six hundred thousand dollars that is intended to give the University the flexibility to deal with recurring budget cuts without significant disruptions in its core functions.

Joint Finance and Policy Committee: (Cont.)

Gerard St. Amand, Vice President for University Advancement, provided a briefing regarding the results of a campaign feasibility assessment conducted by Bentz Whaley Flessner. The feasibility assessment addressed both internal preparedness to conduct a one hundred million dollar campaign and likely external support for a campaign at this level.

The study considered factors such as:

- External confidence in NKU's programs, plans, and leadership,
- The strength of NKU's case for support and fundraising priorities,
- NKU's ability to attract campaign leaders able and willing to make significant gifts and influence others to do so,
- The number of major gift prospects able and willing to support NKU, and the level of likely support,
- The internal capacity to support a campaign of this magnitude, and
- The external environment, including the economy, philanthropic trends, and competing campaigns.

The principal recommendation by Bentz Whaley Flessner was that NKU continue to consider and plan for a one hundred million dollar campaign, but that it conduct a two to three year leadership development phase, also known as a quiet or nucleus phase, with an interim fifty million dollar target. During this preliminary campaign phase, it is recommended that NKU focus on fewer and more immediate priorities, strengthen the capacity of the University's development operation, and expand the number of internal university leaders and top volunteers involved with donors and prospects. At the conclusion of this phase, NKU should review the potential for raising one hundred million dollars and set the public goal and campaign timetable.

B. Presidential Reports:

1. **Facilities Management**: (Vice President Ramey)
   - **a.** Campus Master Plan
     - **i.** No additional comments on the report provided;
   - **b.** The Bank of Kentucky Center
     - **i.** No additional comments on the report provided;
   - **c.** Garage #3 and Welcome Center
     - **i.** No additional comments on the report provided;
   - **d.** College of Informatics
     - **i.** No additional comments on the report provided;
   - **e.** Renovate Callahan Hall
     - **i.** No additional comments on the report provided;
   - **f.** Student Achievement Center/Testing and Disability Services
     - **i.** No additional comments on the report provided;
   - **g.** Soccer Stadium
     - **i.** No additional comments on the report provided;

Presidential Reports: (Cont.)

2. **Fundraising Report**: (Vice President Gerard St. Amand)
   - **a.** This report provides a summary of fundraising resources committed from July 1, 2008 through September 30, 2008, totaling $15,833,587.00;
     - **i.** No additional comments on the report provided;
3. **Research/Grants/Contracts**: (Provost Gail Wells)
   - **a.** This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from August 1, 2008 through September 30, 2008. During this period, twenty-five grants were awarded with a total award amount of $4,191,632.00.
     - **i.** No additional comments on the report provided;
4. **Annual Financial Report 2007-2008**: (Vice President Ramey)
   - **a.** The annual Financial Report, Management Letter and A-133 federal programs audit depict the financial activity of the University for the Fiscal year ended June 30, 2008.
     - **i.** No additional comments on the report provided;

Executive Session:

Regent Gabriel Cronon seconded Regent Steven Weiss' motion to enter into Executive Session. **(Motion carried)**

Regent Joyce Griffin seconded Regent Peggy DeJaco's motion to close Executive Session. **(Motion carried)**

Regent McClelland seconded Regent Steven Weiss' motion to approve all actions discussed during Executive Session. **(Motion carried)**

C. Presidential Recommendations:

Consent Agenda Items: Regent Terry Mann seconded Regent Peggy DeJaco's motion to approve the following Presidential Recommendations as listed; C1-C9. **(Motion carried)**

1. **Academic Affairs Personal Actions**:
   - **a.** Administrative Appointments:

     Mary Margaret Adams, Director of First-Year Programs and Lecturer (non-tenure track renewable), effective July 1, 2008; **Jennie LaMothe**, Interim Assistant Director of First-Year Programs, effective August 18, 2008;
   - **b.** Faculty Appointments:

     Teri Murphy, Associate Professor of Mathematics with Tenure in the Department of Mathematics, effective January 1, 2009; **Denise Robertson**, from Lecturer of Ecology in the Center for Integrative Natural Science and Mathematics, to Lecturer II (non-tenure track renewable) / Retention Specialist in the Department of Biological Sciences, effective August 18, 2008;
   - **c.** Leaves Paid/Unpaid:

     William Brian Hogg, Assistant Professor of Saxophone in the Department of Music, unpaid leave with benefits, beginning October 15, 2008 and ending December 31, 2008;

Presidential Recommendations: (Cont)

d. Retirements:

W. Jonathan Gresham, Professor in the Department of Music, effective May 9, 2009;

e. Phased Resignation:

Tom Edwards, Assistant Professor in the Department of Organizational Leadership, Phased Resignation Program Participant, beginning with the 2009-2010 academic year through the 2010-2011 academic year (participation for two academic years);

2. Academic Affairs Temporary Appointments:

a. **Julia Yang Bedell**, Department of Chemistry (Academic Year); **Eric T. Knechtges**, Department of Music (Academic Year); **Joseph Pollard White**, Department of Music (Academic Year); **Melissa Bledsoe**, Department of Organizational Leadership (Academic Year); **Alison Jane Donohue**, Department of Psychology (Fall Semester); **Michael Striker**, Department of Sociology, Anthropology and Philosophy (Fall Semester); **Heather Britt**, Theatre and Dance (Academic Year); **John Gibson**, Department of Communication (Fiscal Year); **Sara Mahle**, Department of Communication (Fiscal Year); **Melanie Gaye Walters**, School of Nursing and Health Professions (Academic Year);

3. Non-Academic Affairs Personnel Actions:

a. The following categories of non-academic personnel actions, which occurred between August 14, 2008 through October 7, 2008: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Retirees; and Administrative;

4. Administrative Appointment:

Jeffrey N. Waple, Dean of Students for Student Affairs, effective September 2, 2008;

5. Emeritus Status:

Larry Giesmann, Professor in the Department of Biological Sciences; **Michael Turney**, Professor in the Department of Communication;

6. Name Change – Department of Psychology:

The name of the Northern Kentucky University **Department of Psychology** will now be known as the **Department of Psychological Science** of Northern Kentucky University;

7. Resolution – Interlocal Cooperative Agreement:

Authorization for continued participation in the Northern Kentucky Interlocal Cooperative for Educational Services;

8. Acceptance of Contributions Received by the NKU Foundation:

The acceptance of contributions totaling $15,313,000.00 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period August 1, 2008 through September 30, 2008;

9. Amendment to *NKU Governing and Administrative Regulations* – Governance of University Related Foundations:

Resolution revising Part VIII of the *NKU Governing and Administrative Regulations*, entitled Governance of University Related Foundations.

At 3:12 p.m., Regent Martin Butler seconded Regent Peggy DeJaco's motion to adjourn. **(Motion carried)**

Signature on File

Isaac D. Ferguson
Senior Administrative Associate

Signature on File

Kimberly A. Luse
Executive Assistant to the President for Special Projects and Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on November 12, 2008, and that such matters are still in force and effect.

Signature on File

Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regent Audit Committee Meeting
NKU, Provost Conference Room, 826 Lucas Administration Center
Tuesday, January 13, 2009 – 1:30 p.m.

1:30 p.m.

- Board of Regents Audit Committee Meeting

Regents Dinner
Tuesday, January 13, 2009 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, January 14, 2009 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Introduction of the International Leaders in Education Program – US Department of State Grant Award (Jarchow)
2. Faculty Development Awards – Sabbatical Leaves, Project Grants, Summer Fellowships (Wells)
   - Dr. Scott Nutter, Physics
3. Budget Update (Moore/Kline)

Board Lunch
NKU, Student Union, Room 108 – Wednesday, January 14, 2009 – 11:30 a.m.

11:30 a.m.

- Board of Regents Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, January 14, 2009 – 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of November 12, 2008, Board Minutes
- Presidential Comments
- Recognition of NKU Men's Soccer Team (Davenport)
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:20 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Fundraising Report (July 1, 2008 through November 30, 2008) (St. Amand)
3. Research/Grants/Contracts Report (October 1, 2008 through November 30, 2008) (Wells/Shrofel)
4. Quarterly Financial Report (July 1, 2008 through September 30, 2008) (Ramey)
5. Faculty Development Awards – Project Grants (Wells)
6. Faculty Development Awards – Faculty Summer Fellows (Wells)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (October 8, 2008 and December 10, 2008)
3. *Emeritus Status
4. *Sabbatical Leave Recommendations
5. *New Program – Master of Science in Computer Information Technology
6. *New Program – Bachelor of Science in Library Informatics
7. *New Program – Bachelor of Science in Statistics
8. *Name Change – Department of Mathematics
9. *Organizational Chart
10. *Acceptance of Contributions received by the NKU Foundation

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Monday, January 12, 2009).

AGENDA
Northern Kentucky University

D. Executive Session

E. Other: (Calendar Events)*

Event	Date	Start Time	Ending Time	Location
Regular Board of Regents Dinner	01/13/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	01/14/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104
Stegowagenvolkssaurus Sculpture Unveiling	01/23/2009	5:00 PM	7:00 PM	NKU, Steely Library – 3rd Floor
Alumni Awards Banquet	02/06/2009	6:00 PM	9:00 PM	NKU, Student Union – Grand Ballroom
Homecoming – Basketball	02/07/2009	5:30 PM	9:30 PM	NKU, The Bank of Kentucky Arena
Regular Board of Regents Dinner	03/10/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	03/11/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104
Foundation Executive Committee/Board of Regents Dinner	TBA	TBA	TBA	TBA
Spring Convocation/Budget Presentation	04/15/2009	TBA	TBA	NKU, Greaves Hall
Lincoln Awards	04/22/2008	TBA	TBA	NKU, Student Union – Grand Ballroom
Regular Board of Regents Dinner	05/05/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	05/06/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104
NKU Spring Commencement	05/09/2009	TBA	TBA	NKU, The Bank of Kentucky Arena
Chase Spring Commencement	05/09/2009	TBA	TBA	NKU, The Bank of Kentucky Arena
Regular Board of Regents Dinner	07/07/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	07/08/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104
Regular Board of Regents Dinner	09/08/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	09/09/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104
Regular Board of Regents Dinner	11/10/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	11/11/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104

*** (Regents – Please R.S.V.P. by calling the President's Office at 572-5172 to confirm your attendance at the above events)**

Board of Regents Meeting
Northern Kentucky University
Student Union, Room 104
January 14, 2009

Chairperson Anna Dale Pyles called the regular meeting of the Board of Regents to order at 1:02 p.m., Wednesday, January 14, 2009.

Regent Gabriel Cronon read the invocation.

Roll Call: Chuck H. Brown, Martin C. Butler, Gabriel W. Cronon, Peggy A. DeJaco, Frank K. Downing, Joyce E. Griffin, Nancy A. Barone, Denise H. McClelland, Terry L. Mann, Anna Dale Pyles, Steven M. Weiss;

Other Attendees: James Votruba, Kimberly Luse, Isaac Ferguson, Tammy Knochelmann, Sara Sidebottom, Gerard St. Amand, Zeb Davenport, Gail Wells, Ken Ramey, Joe Wind, Sue Moore, Kevin Corcoran, Doug Perry, Elaine Jarchow, Jeff Waple, Lisa Rhine, Don Gorbandt, Joel Robinson, Sandi Gillilan, Salina Schrofel, Russ Kerdolff, Chris Bowling, Mary Ryan, Tracy Insko, Rick Meyers, Chris Cole, Ben Martz, Kevin Kirby, Daryl Wright, Bill Thompson, Scott Eaton, Arne Almquist, Lori Southwood, Andy Meeks, Lori McDowell, Theresa Wesley, Leslie Hammann, Matt Birkholtz, Marius Truta, Keith Kelly, Mark Angelo, Michael Lavric, Nick Brohas, Kevin Donnelly, Dan Terrell, Mike Jonca, Braden Bishop, Kevin Trespalacios, Chris Dobrowolski, Eric Ashworth, Tommy Barrick, Alex Allison, Tony Ray, Zach Roesch, Seth Eckerlin, Alex Oeswein, Anthony Meyer, John Basalyga, Eric Buesner, Mike Anderson, Jessica Rhodes;

Regent Peggy DeJaco seconded Regent Steven Weiss' motion to approve the minutes of the November 12, 2008, Board of Regents meeting. **(Motion carried)**

Dr. Zebulun Davenport addressed the Board of Regents in recognition of the accomplishments made by the NKU Men's soccer team during the 2008 soccer season.

Regent Martin Butler seconded Regent Terry Mann's motion to resolve recognition of the accomplishments made by the NKU Men's Soccer team. **(Motion carried)**

Presidential Comments
Dr. James Votruba noted:

Council on Postsecondary Education:

Bob King is the newly appointed President of the Council on Postsecondary Education. Thanks to Richard Crofts for his leadership.

Enrollment:

Enrollment was up 2.4% this past fall, with only WKU being ahead of us at 2.6%
Spring Enrollment figures:

- Undergraduate up 1% or 123 students
- Graduate up 3% or 45 students
- Law up 5.5% or 29 students
- New freshman up 12%
- New transfers up 5.3%
- Total African American students up 7.3%
- Total enrollments up nearly 1.4% or 200 students
- Applications up 28% for next fall

Congratulations to Joel Robinson and his staff.

Presidential Comments (Cont.)
Dr. James Votruba noted:

Financial Aid:

NKU just approved the largest institutional financial aid commitment in the history of the university, 8.6 million dollars. We will provide more information on NKU's institutional financial aid commitment at our March Board of Regents meeting.

Challenges:

Campus is wrestling with the impact of the largest recession in decades. The recession influences everything from endowments to state allocations to student capacity to fund their education.

At the state level, we are experiencing a 450 million dollar deficit this year and will realize a 2% reduction at all universities. With a projected 1 billion dollar deficit in the next fiscal year, we also confront the very real possibility of far deeper cuts this year and next. It is currently too early to tell what the Governor and General Assembly will accomplish. The Governor and the General Assembly leadership are working to get their arms around the problem.

For planning purposes, we are assuming that the recession will last at least through this calendar year and that state revenues will lag the recovery by about a year. NKU is handling the reductions on a cash basis in order to buy time to identify how the University will manage this on a recurring basis. We are hoping for the best but planning for the worst.

I've asked the Vice Presidents to develop their approaches for managing up to a 13% budget reduction scenario. This comes on top of a 6% reduction this past year. We will do all that we can to protect our core mission, which include faculty hiring and our instructional capacity.

The recession takes place at a time when the world of higher education is changing in fundamental ways.

For example:

- In 2004 we had 373 students enrolled in on-line courses
- In Fall 2008, we had 3229 students
- In Fall 2004, we had 245 students enrolled in programs offered entirely on line
- In Fall 2008, we had nearly 1,500 students enrolled

We will continue to look for opportunities in the midst of this recession. However, the potential impact is severe:

- Opportunities to invest will be reduced
- Services may be significantly diminished
- Minimally a reduced capacity to support regional efforts like Vision 2015
- Enrollment growth slowing or holding at 2008 levels

With the last potential impact hurting the most, due to demand for access being at record levels.

I want to be clear. We are a strong university and we will weather this storm. By preparing for the worst, I don't mean to infer that we expect it. However, these are uncharted waters and my philosophy is that we need to be prepared.

A. Joint Finance and Policy Committee: (Reports given by Regent Denise McClelland)

Elaine Jarchow, Interim Dean of International Education Center, introduced 16 experienced international faculty leaders, visiting Northern Kentucky University, as a result of a U.S. Department of States Grant Program.

Gail Wells, Provost, provided a briefing of three faculty development programs offered at NKU – sabbatical leaves, summer fellowships, and project grants. Dr. Scott Nutter, Associate Professor of Physics, shared his 2007-08 sabbatical leave research project experience with the Board of Regents. A booklet summarizing 2007-08 development award activities was shared with the Board.

Sue Hodges Moore, Vice President of the Office of Planning, Policy, and Budget, provided a briefing regarding the budget outlook for next year. In addition, the presentation proposed a change to the current tuition structure in which a charge would be assessed for all undergraduate classes. This charge would amount to 25% of the per-credit-hour rate for thirteen credit hours and above.

B. Presidential Reports:

1. **Facilities Management**: (Vice President Ken Ramey)
   - **a.** Campus Master Plan
   - **b.** Center of Informatics
   - **c.** University Center Renovations
   - **d.** Electrical Switchgear Replacement Project
   - **e.** Soccer Stadium
   - **f.** Gateway Lease and Renovations

2. **Fundraising Report**: (Vice President Gerard St. Amand)
   This report provides a summary of fundraising resources committed from July 1, 2008 through November 30, 2008, totaling $16,482,567.00.

3. **Research/Grants/Contracts**: (Provost Gail Wells)
   This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from October 1, 2008 through November 30, 2008. During this period, forty-six grants awarded with a total award amount of $5,569,573.00.

4. **Quarterly Financial Report**: (Vice President Ken Ramey)
   The Quarterly Financial Report depicts the financial activity of the University during the period of July 1, 2008, through September 30, 2008, compared to the annual budget and the prior year's activity.

5. **Faculty Project Grants**: (Provost Gail Wells)
   The University recognizes, for 2009-2010, the role of research as an integral part of professional activity of the faculty through financial support for independent research. Faculty Project Grants are intended to encourage professional growth, provide funds for research equipment and activities that are not available through program budgets.

6. **Faculty Development Awards – Summer Fellows**: (Provost Wells)
   The Summer Fellowship program, for summer 2009, is designed to encourage faculty to engage in individual research, scholarly writing, and creative and artistic projects and to share the results of their efforts with the broader University community.

C. Presidential Recommendations:

Consent Agenda Items: Regent Steven Weiss seconded Regent Frank Downing's motion to approve the following Presidential Recommendations as listed; C1-C-10. **(Motion carried)**

1. **Academic Affairs Personal Actions**:
   a. Administrative Appointments:

      Jonathan Cullick, Associate Professor and Chair, Department of English, effective January 1, 2009; **Sandra Gillilan**, Director of Academic Planning and Assessment, Office of the Vice President for Academic Affairs and Provost, effective November 3, 2008;

   b. Faculty Appointments:
      David Raska, Assistant Professor in the Department of Marketing, effective August 17, 2009;

   c. Resignations:
      Mary Margaret (Peg) Adams, Interim Director, First Year Programs, effective January 1, 2009; **Michael Harris**, Lecturer, School of Nursing and Health Professions, effective December 21, 2008; **Douglas Robertson**, Assistant Provost, Professional Development Programs, effective December 21, 2008;

   d. Leaves Paid/Unpaid:
      Mary Kirk, Professor and Chair of the Department of Kinesiology, Health and Educational Foundations, one semester paid re-entry leave with benefits, for the fall semester 2009;

2. **Non-Academic Affairs Personnel Actions**:
   The following categories of non-academic personnel actions, which occurred between October 8, 2008 through December 10, 2008: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Retirees; Administrative;

3. **Emeritus Status**:
   Carolyn Hagner, Professor, Department of Music; **Alberto Pinelo**, Professor, Department of Political Science and Criminal Justice;

4. **Sabbatical Leave Recommendations (2009-2010)**:
   Jon Hastings, Biological Sciences; **Samuel Boateng**, Geology; **Missy Jones**, Teacher and Education & School Leadership; **Hazel Barton**, Biological Sciences; **Kimberly Clayton-Code**, Kinesiology, Health & Educational Foundations; **Stephanie Hughes**, Management; **Kim Breitenbecher**, Psychology; **Chuck Frank**, Computer Science; **Caryl Yzenbaard**, Chase Law; **William Attenweiler**, Psychology; **Paula Kapadia**, English; **Boni Li**, Sociology, Anthropology & Philosophy; **Julie Mader-Meersman**, Visual Arts; **Angela Lipsitz**, Psychology; **Brad Scharlott**, Communication; **Matthew Ford**, Management; **Bertie Greer**, Management; **Blas Puente-Baldoceda**, World Literatures & Language;

5. **New Program – Master of Science in Computer Information Technology**:
   The College of Informatics developed the proposed master's degree program, Master of Science in Computer Information Technology, to accommodate students with a broad yet in-depth background in information technology areas that are vital to a large number of organizations, including government, traditional business, healthcare, and education.

Presidential Recommendations (Cont.)
Dr. James Votruba noted:

6. **New Program – Bachelor of Science and Bachelor of Arts in Information Sciences and Services**:
   The College of Informatics developed the proposed master's degree program, Bachelor of Science or Bachelor of Arts in Information Sciences and Services, to accommodate students with academic competencies in the following areas: organizing information, accessing information, and assessing information.

7. **New Program – Bachelor of Science in Statistics**:
   The College of Informatics developed the proposed master's degree program, Bachelor of Science in Statistics, to accommodate students with a variety of interests and career plans. The program will have three tracks: Applied Statistics for students who plan to seek employment as practicing statisticians, Theoretical Statistics for students who plan to pursue graduate school in probability and statistics programs, and Actuarial Sciences for students who plan a career as an actuary.

8. **Name Change – Department of Mathematics**:
   The name of the Northern Kentucky University Department of Mathematics will now be known as the Department of Mathematics and Statistics of Northern Kentucky University.

9. **Revisions to the University Organizational Structure:**
   The proposed organizational structure, as outlined in the Board Materials, reflects all NKU Administrative changes from September 10, 2008, to December 22, 2008. The reporting lines listed are for Director level and above, but include: individuals who directly report to the President; Department Chairs under Academic Affairs; Coaching area under Intercollegiate Athletics; and the Manager of the Bookstore/Follet and Food Services/Chartwells, which are separate entities from the University.

10. **Acceptance of Contributions Received by the NKU Foundation**:
    The acceptance of contributions totaling $184,076.22 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period October 1, 2008 through November 30, 2008;

D. Executive Session:

Regent Nancy Barone seconded Regent Steven Weiss' motion to enter into Executive Session. **(Motion carried)**

Regent Nancy Barone seconded Regent Steven Weiss' motion to close Executive Session. **(Motion carried)**

Regent Chuck Brown seconded Regent Denise McClelland's motion to approve all actions discussed during Executive Session. **(Motion carried)**

Regent Steven Weiss seconded Regent Frank Downing's motion to have the Chairperson of the Board of Regents establish a compensation committee. **(Motion carried)**

At 2:47 p.m., Regent Chuck Brown seconded Regent Frank Downing's motion to adjourn. **(Motion carried)**

Signature on File

Isaac D. Ferguson
Senior Administrative Associate

Signature on File

Kimberly A. Luse
Executive Assistant to the President for Special Projects and Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on January 14, 2009, and that such matters are still in force and effect.

Signature on File

Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, March 10, 2009 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Executive Session
NKU, Student Union, Room 104 – Wednesday, March 11, 2009 – 8:30 a.m.

8:30 a.m. **Executive Session**

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, March 11, 2009 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Master Plan (Ramey)
2. Tuition and Mandatory Fees/Schedule of Fees & Service Charges (Moore)

Board Lunch
NKU, Student Union, Room 108 – Wednesday, March 11, 2009 – 11:30 a.m.

11:30 a.m.

- Board of Regents Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, March 11, 2009 – 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of January 14, 2009, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:20 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Quarterly Financial Report (July 1, 2008 through December 31 2008) (Ramey)
3. Fundraising Report (July 1, 2008 through January 31, 2009) (St. Amand)
4. Research/Grants/Contracts Report (December 1, 2008 through January 31, 2009) (Wells/Shrofel)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (December 11, 2008 and January 11, 2009)
3. *Temporary Appointments
4. *Emeritus Status
5. *Program Change – Organizational Leadership Program
6. *Amendment to *NKU Governing and Administrative Regulations* - University Organization
7. *Reorganization – Department of Conference Services
8. *Promotion, Probation, Tenure and Reappointment
9. *Amendment to *Teacher Education Committee By-laws*
10. *Lease Agreement - Training and Development Center
11. Master Plan
12. *Acceptance of Contributions received by the NKU Foundation
13. *Tuition and Mandatory Fees
14. *Schedule of Fees and Service Charges

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Monday, March 9, 2009).

AGENDA
Northern Kentucky University

D. Executive Session

E. <u>Other</u>: (Calendar Events)*****

Event	Date	Start Time	Ending Time	Location
Regular Board of Regents Dinner	03/10/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	03/11/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104
Spring Convocation/Budget Presentation	04/15/2009	11:30 AM	1:00 PM	NKU, Greaves Hall
Lincoln Awards	04/22/2008	6:30 PM	9:00 PM	NKU, Student Union – Grand Ballroom
BOR/Foundation Executive Committee Dinner	TBA	TBA	TBA	Metropolitan Club, Covington KY
Regular Board of Regents Dinner	05/05/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	05/06/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104
NKU Master Degree Spring Commencement	05/08/2009	7:00 PM	9:00 PM	NKU, Regents Hall
Chase Alumni Dinner	05/08/2009	4:00 PM	6:00 PM	Montgomery Inn, Cincinnati, Ohio
NKU Spring Commencement	05/09/2009	9:00 AM	Noon	NKU, The Bank of Kentucky Arena
Chase Spring Commencement	05/09/2009	1:00 PM	3:00 PM	NKU, Regents Hall
Foundation Meeting	05/21/2008	8:00 AM	10:30 AM	NKU, University Center Ballroom
President's Golf Outing	06/08/2009	TBA	TBA	Triple Crown Country Club
Regular Board of Regents Dinner	07/07/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	07/08/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104
Board of Regents Retreat	08/31/2009	9:00 AM	5:00 PM	Metropolitan Club, Covington KY
Regular Board of Regents Dinner	09/08/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	09/09/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104
Regular Board of Regents Dinner	11/10/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	11/11/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104

*** (Regents – Please R.S.V.P. by calling the President's Office at 572-5172 to confirm your attendance at the above events)**

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
March 11, 2009

Regent Anna Dale Pyles, Chair, called the regular meeting of the Board of Regents to order at 1:05 p.m., Wednesday, March 11, 2009.

Regent Frank Downing read the invocation.

Roll Call: Chuck H. Brown, Martin C. Butler, Gabriel W. Cronon, Peggy A. DeJaco, Frank K. Downing, Joyce E. Griffin, Nancy A. Barone, Denise H. McClelland, Terry L. Mann, Anna Dale Pyles, Steven M. Weiss;

Other Attendees: James Votruba, Kimberly Luse, Isaac Ferguson, Tammy Knochelmann, Sara Sidebottom, Gerard St. Amand, Zeb Davenport, Gail Wells, Ken Ramey, Sue Moore, Kevin Corcoran, Doug Perry, Elaine Jarchow, Jeff Waple, Don Gorbandt, Joel Robinson, Salina Schrofel, Russ Kerdolff, Chris Bowling, Rick Meyers, Chris Cole, Lori Southwood, Andy Meeks, William Farro, Kathryn Herschede, Suzanne Soled, Deidre Fajack, Pat Moynahan, Mark Wasicsko, Larry Blake, Tim Ferguson, Mary Paula Schuh, Kathy Stewart, Jenny Wagner, David Hogan, Karen Kruer, Tom Sofranko, Angie Schaeffer, Ken Kline, Jacob Lillenstien, Chris Moyhew, Richard Rigterink (Campus Studios), Vivian Llamb (Campus Studios), Michael Tobergta, Dennis Chaney, Don Augsvack (HH Resident), Debra Ball (HH Resident), Donna Heck (HH Resident), Richard Mize (HH Resident), Elbert Houben (HH Resident), Judy Ritter (HH Resident), John Christmann, Albert Pack (HH Resident), Lois Pack (HH Resident), Josh McIntosh (HH Resident), J.R. Roth (HH Resident – President of the Campbell County League of Kentucky Property Owners), Lawrence Thompson (HH Resident), Shirley Berte (HH Resident), Bev Haigis (HH Resident), Dorothy Riley (HH Resident), Jean Burkhart (HH Resident), Ted Wanstrath (HH Resident), Peggy Smith (HH Resident), Dave Geiman (HH Resident), Joan Geiman (HH Resident), Bernie Brossart (HH Resident), Dwight McNeil, Tom Wendling, Harold Carrier (HH Resident), Leroy Tuttle (HH Resident), Stephanie Tuttle (HH Resident), Gloria Carrier (HH Resident);

Regent Steven Weiss seconded Regent Peggy DeJaco's motion to approve the minutes of the January 14, 2009, Board of Regents meeting. **(Motion carried)**

Presidential Comments
Dr. James Votruba noted:

- Commencement is two months off. This semester the format is a little different. There will be three commencement exercises - one on Friday evening and two on Saturday.
- Special thanks to Senator Mitch McConnell for his support of the recently passed U.S. Congress bill that included a 1.9 million dollar allocation to the College of Informatics building.
- The NKU Basketball teams won the GLVC. NKU women will host the regional tournament at the Bank of Kentucky this Friday and the men will travel to Findley University in Ohio.

Presidential Comments *(Cont.)*

- We had a very successful SACS visit two weeks ago. While we do not have their final report, we received strong support from the committee and we expect reaffirmation. Instead of implementing in one large process, NKU received some caution to implement in phases.

  Thank you to all who have worked on this reaffirmation process. We will receive the committee report in the next few weeks and then have until the end of the calendar year to respond to concerns.

- In the face of a deep and likely extended recession, the CPE has recommended a tuition increase no greater than 4% for the comprehensive universities. 4% will cover the University's fixed cost increases but any additional investment will need to come from internal reallocations.

- Earlier this morning, the Board received a briefing on our campus master plan update. Campus Studio and I placed emphasis on the plan being a long-range view of NKU's future. The current recession will slow our achievement of the goals in place when the plan was initiated, particularly related to enrollment growth. We will implement the plan as we are able but it provides a blueprint for our continued development.

- This week in Frankfort, the General Assembly will complete its work. Increases in both the tobacco and liquor tax will help reduce the budget reductions required to balance the budget. The universities will receive a 2% percent reduction this year and an anticipated 2.7% reduction next year. The current plan is to use a portion of the stimulus package to "backfill" at least a portion of these reductions. However, we need to remember two important points:

  1. these are non-recurring funds
  2. the state still confronts a $1 billion shortfall for next year

  Our goal is to do all we can to sustain current enrollment levels while also absorbing reductions. This comes at a time when applications are up 28% compared to last year.

- The campus has been putting in place a plan to handle this year's 2% general fund reduction as well as next year's anticipated 2.7% reduction. We are also analyzing what additional reallocation we would like to make in order to invest in things important to our future.

- Elaine Jarchow is stepping down as the Dean of Education and Human Services to become our Interim Dean of International Programs, effective this month. Elaine has been a major part in our efforts to internationalize the campus and so we are pleased to have her continue her service to the University in this role. Elaine has done a great job of aligning the college with Vision 2015 and has assured a prominent role for NKU in supporting continued P-12 improvement.

  Mark Wasicisko will become the new Dean of Education and Human Services, effective this month. Since arriving at NKU, Mark has been our Bank of Kentucky endowed chair in educational leadership and provided strong leadership in the creation of the education doctorate.

A. <u>Joint Finance and Policy Committee</u>: (Reports given by Regent Denise McClelland)

- Campus Studio, the design firm hired to help create the Master Plan, presented a comprehensive overview to the Board. The master plan provides a combination for future growth of the campus, consistent with the CPE enrollment targets. The plan outlines recommendations for land use, including how to site new buildings, development of open space, pedestrian and vehicular traffic plans, sustainability, athletics and recreation, and growth in the utilities infrastructure.

  Members of the Highland Heights community addressed the Board of Regents regarding their concerns with the 2009 Master Plan proposal. The Highland Heights community members delivered a petition to Regent Anna Dale Pyles, Chair, containing a number of signatures.

- Dr. Sue Hodges Moore, Vice President for Planning, Policy & Budget and Ken Kline, Budget Director, delivered an update on the 2009-10 budget outlook, the proposed tuition rates for the 2009-10 academic year and the proposed Fee and Service Charges for the 2009-10 academic year.

  The University is planning for a 4.7% recurring cut in state appropriations next fiscal year. Tuition rates are proposed at a 4% increase in undergraduate resident tuition. The university has decided to not pursue implementation of a per-credit-hour tuition structure for the coming academic year.

B. <u>Presidential Reports</u>:

1. Facilities Management: (Vice President Ken Ramey)
- **a.** Campus Master Plan
- **b.** Center of Informatics
- **c.** Electrical Switchgear Replacement Project
- **d.** Cooling Tower Expansion Project
- **e.** University Center Renovations
- **f.** Soccer Stadium
- **g.** Gateway Lease and Renovations

2. Quarterly Financial Report: (Vice President Ken Ramey)
The Quarterly Financial Report depicts the financial activity of the University during the period of July 1, 2008, through December 31, 2008, compared to the annual budget and the prior year's activity.

3. Fundraising Report: (Vice President Gerard St. Amand)
This report provides a summary of fundraising resources committed from July 1, 2008, through January 31, 2009, totaling $18,373,887.00.

4. Research/Grants/Contracts: (Provost Gail Wells)
This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from December 1, 2008, through January 31, 2009. During this period, ten grants awarded with a total award amount of $727,558.00.

C. Presidential Recommendations:

Consent Agenda Items: Regent Martin Butler seconded Regent Chuck Brown's motion to approve the following Presidential Recommendations as listed: C-1 through C-10 and C-12 through C-14. **(Motion carried)**

Discussion Agenda Item: Regent Martin Butler seconded Regent Chuck Brown's motion to approve the following Presidential Recommendations as listed: C-11. **(Motion carried)**

1. Academic Affairs Personal Actions:

a. Administrative Appointments:
Kevin Kirby, Chair and Professor in the Department of Computer Science, effective July 1, 2009; **Jeanne Pettit**, Interim Director of First Year Programs, effective January 1, 2009 through June 30, 2009; **Ernest Smith**, Director of the Honors Program and Professor in the Department of English with Tenure, effective July 1, 2009; **Jeffrey Smith**, Chair and Professor in the Department of Psychological Science, effective July 1, 2009;

b. Faculty Appointments:
James Bradtmueller, Assistant Professor in the Department of Construction Management, effective August 17, 2009; **Gloria Jean Oliver Carpenter**, Assistant Professor in the Department of Psychological Science, effective August 17, 2009; **Sonia Wasan**, Assistant Professor in the Department of Accountancy, effective August 17, 2009;

c. Leaves Paid/Unpaid:
Perilou Goddard, Chair and Associate Professor in the Department of Psychological Science, re-entry leave in transition from Chair to Faculty Status, from July 1, 2009, through December 31, 2009; **Amy Kardos**, Assistant Professor in the Department of History and Geography, unpaid leave without benefits from January 1, 2009, through May 9, 2009; **Yvonne Quintanilla**, Assistant Professor in the Department of Counseling, Human Services, and Social Work, unpaid leave without benefits, for the spring 2009 semester;

d. Phased Resignations:
Robert Kempton, Professor in the Department of Chemistry, Phased Resignation Program Participant, beginning August 2009, through May 2011;

e. Resignations:
Mark Rajai, Assistant Professor in the Department of Physics and Geology, effective December 31, 2008;

f. Retirements:
Thomas Zaniello, Professor of English and Director of the NKU Honors Program, effective May 31, 2009;

2. Non-Academic Affairs Personnel Actions:

The following categories of non-academic personnel actions, which occurred between December 11, 2008, through February 11, 2009: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Departures; Retirees; Administrative;

3. **Academic Affairs Temporary Faculty Appointments**: (Spring 2009 Semester)
   Juan Giarrizzo, Department of Biological Sciences; **Francis Sheehy**, CINSAM; **Alexander Miller**, Department of Sociology, Anthropology, and Philosophy; **Darlene Samuelson**, School of Nursing and Health Professions;

4. **Emeritus Status**:
   C. B. Stiegler, Professor, Department of Construction Management; **Vinay Kumar**, Professor, Department of Chemistry;

5. **Program Change – Organizational Leadership Program**:
   The Organizational Leadership Program will transfer from the Dean's Office, College of Arts and Sciences, to the Department of Political Science and Criminal Justice, effective July 1, 2009.

6. **Amendment to *NKU Governing and Administrative Regulations***:
   Resolution revising Part IV of the *NKU Governing and Administrative Regulations*, entitled *University Organization*;

7. **Organizational Change – Department of Conference Services**:
   The Board of Regents provides authorization to move the Department of Conference Services from the Department of Business and Auxiliary Services, to the Office of the Dean of Students, effective March 11, 2009.

8. **Promotion, Tenure, Continued Probation and Reappointment**:
   The following recommendations received approval: Promotion, tenure, continued probation, continued probation with conditions to be removed, terminal reappointment and non-reappointment.

9. **Amendment to Teacher Education Committee By-laws**:
   Resolution amending the Teacher Education By-laws to reflect the following: the College of Education to the College of Education and Human Services throughout the document; Changing the number of voting members from 33 to 36; Addition to the IECE representative, MAT representative, MAIS representative; Changing the Literature Department to English Changing the Languages; Department to World Languages;

10. **RFP and Lease Agreement**:
    The Board of Regents provides authorization for the NKU Administration to issue a request for proposal and enter into a lease agreement for a training and development center.

11. **Northern Kentucky University 2009 Master Plan**:
    At 1:34 p.m., Regent Anna Dale Pyles, Chair, opened the floor to community members of Highland Heights

    The following members of the Highland Heights Community addressed the NKU Board of Regents: Mr. J.R. Roth, Campbell County President of the League Property owners; Ms. Judy Ritter, Home Owner; Ms. Peggy Smith, Home Owner; Mr. Dennis Chaney, Student; Ms. Dorothy Riley, Home Owner;

    At 1:45 p.m., Regent Anna Dale Pyles, Chair, closed the floor for comments.

    The Board of Regents adopts the Northern Kentucky University 2009 Master Plan.

12. Acceptance of Contributions Received by the NKU Foundation:

The acceptance of contributions totaling $1,640,000.00, received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period December 1, 2008 through January 31, 2009;

13. Tuition:

The Board of Regents officially hereby accepts the tuition at the rates listed in the Board of Regents materials: *Authorized Schedule of Tuition* (Attachment A).

14. Schedule of Fees and Service Charges for Academic Year 2009-10:

The Board of Regents officially hereby accepts the schedule of fees and service charges for the Fiscal Year 2009-10 as listed in the Board of Regents materials.

D. Executive Session:

Regent Terry Mann seconded Regent Gabriel Cronon's motion to enter into Executive Session. **(Motion carried)**

Regent Martin Butler seconded Regent Steven Weiss' motion to close Executive Session. **(Motion carried)**

Regent Terry Mann seconded Regent Steven Weiss' motion to approve all actions discussed during Executive Session. **(Motion carried)**

At 2:37 p.m., Regent Anna Dale Pyles, Chair, seconded Regent Frank Downing's motion to adjourn. **(Motion carried)**

Isaac D. Ferguson
Senior Administrative Associate

Kimberly A. Luse
Executive Assistant to the President for Special Projects/Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on March 11, 2009, and that such matters are still in force and effect.

Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, May 5, 2009 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, May 6, 2009 – 8:30 a.m.

8:30 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1. College of Informatics Update (Perry/Ramey/St. Amand)
2. 2009-10 Budget Briefing (Votruba)
3. Legislative Update (Wind)

Executive Session
NKU, Student Union, Room 104 – Wednesday, May 6, 2009 – 10:30 a.m.

10:30 a.m. **Executive Session**

Student Awards Ceremony & Luncheon
NKU, Student Union, Grand Ballroom – Wednesday, May 6, 2009 – 11:30 a.m.

11:30 a.m.

- Student Awards Ceremony & Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, May 6, 2009 – 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Resolutions for Completion of Regent Term –
  Gabriel Cronon, Frank K. Downing, Peggy DeJaco, Steven M. Weiss
- Approval of March 11, 2009, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:20 p.m.

B. <u>Presidential Reports</u>:

1. Facilities Management (Ramey)
2. Quarterly Financial Report (July 1, 2008 through March 31, 2009) (Ramey)
3. Fundraising Report (July 1, 2008 through March 31, 2009) (St. Amand)
4. Research/Grants/Contracts Report (February 1, 2009 through March 31, 2009) (Wells/Shrofel)

1:40 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (February 12, 2009 and April 8, 2009)
3. *First-Year Faculty Continued Probation/Continued Probation with Conditions
4. *Emeritus Status
5. *Honorary Degree Candidate (Philip J. Schworer), conferred at the May 9, 2009, Commencement
6. *Reorganization – School of Nursing and Health Professions
7. *Reorganization – College of Education and Human Services
8. *Acceptance of Contributions received by the NKU Foundation
9. *Bad Debt Write Off – Fiscal Year 2008-09
10. *Annual Budget for NKU – Fiscal Year 2009-10
11. *Identity Theft Policy
12. *Key Indicators
13. *2008-10 Comprehensive University Excellence Trust Fund Allocation Authorization
14. *Honorary Degree Candidate (Wendell Berry), conferred at the May 9, 2009, Commencement

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Monday, May 4, 2009).

AGENDA
Northern Kentucky University

D. Executive Session

E. Other: (Calendar Events)*****

Event	Date	Start Time	Ending Time	Location
Regular Board of Regents Dinner	05/05/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	05/06/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104
NKU Master Degree Spring Commencement	05/08/2009	7:00 PM	9:00 PM	NKU, Regents Hall
Chase Alumni Dinner	05/08/2009	4:00 PM	6:00 PM	Montgomery Inn, Cincinnati, Ohio
NKU Spring Graduate Commencement	05/08/2009	6:15 PM	8:30 PM	Robing - NKU, Student Union 108 Ceremony - NKU, Regents Hall
NKU Spring Under Graduate Commencement	05/09/2009	7:45 AM	11:00 AM	Robing - NKU, Student Union 203 - Food Court Ceremony - NKU, The Bank of Kentucky Arena
Chase Spring Commencement	05/09/2009	11:45 PM	2:30 PM	Robing - NKU, Student Union 203 - Food Court Ceremony - NKU, Regents Hall
NKU Alumni Physicians Reception	05/16/2009	4:00 PM	6:00 PM	NKU, Hermann Science Center
NKU Foundation Meeting	05/21/2009	8:00 AM	10:30 AM	NKU, University Center Ballroom
College of Informatics Groundbreaking	05/28/2009	5:00 PM	6:30 PM	TBA
President's Golf Outing	06/08/2009	11:00 AM	8:00 PM	Triple Crown Country Club
Regular Board of Regents Dinner	07/07/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	07/08/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104
Fall Convocation	08/21/2009	TBA	TBA	NKU, Greaves Hall
Board of Regents Retreat	08/31/2009	9:00 AM	5:00 PM	Metropolitan Club, Covington KY
Regular Board of Regents Dinner	09/08/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	09/09/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104
Regular Board of Regents Dinner	11/10/2009	6:00 PM	9:00 PM	Dr. Votruba's Home
Regular Board of Regents Meeting	11/11/2009	9:00 AM	3:00 PM	NKU, Student Union – Room 104

*** (Regents – Please R.S.V.P. by calling the President's Office at 572-5172 to confirm your attendance at the above events)**

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
May 6, 2009

Regent Anna Dale Pyles, Chair, called the regular meeting of the Board of Regents to order at 1:05 p.m., Wednesday, May 6, 2009.

Regent Frank Downing read the invocation.

Roll Call: Nancy A. Barone, Chuck H. Brown, Martin C. Butler, Gabriel W. Cronon, Peggy A. DeJaco, Frank K. Downing, Joyce E. Griffin, Terry L. Mann, Denise H. McClelland, Anna Dale Pyles, Steven M. Weiss;

Other Attendees: James Votruba, Kimberly Luse, Isaac Ferguson, Tammy Knochelmann, Sara Sidebottom, Gerry St. Amand, Gail Wells, Ken Ramey, Sue Moore, Joe Wind, Mary Ryan, Samantha Delvecchio, Kevin Corcoran, Elaine Jarchow, Jeff Waple, Don Gorbandt, Joel Robinson, Salina Schrofel, Russ Kerdolff, Chris Bowling, Rick Meyers, Chris Cole, Lori Southwood, William Farro, Kathryn Herschede, Suzanne Soled, Pat Moynahan, Karen Kruer, Tom Sofranko, Angie Schaeffer, Ken Kline, Laurie McDowell, Larry Meyer, Denise Robinson, Emily Shipley, Charles Hawkins, Sandi Gillilan, Michael Tobergta;

Regent Peggy DeJaco seconded Regent Steven Weiss' motion to approve the minutes of the March 11, 2009, Board of Regents meeting. **(Motion carried)**

Regent Anna Dale Pyles, Chair, offered resolutions recognizing Gabriel W. Cronon, Peggy A. DeJaco, Frank K. Downing and Steven M. Weiss, for dedication to Northern Kentucky University, its faculty, its staff and its students.

Dr. James Votruba, President, offered recognition and personal gratitude to Gabriel W. Cronon, Peggy A. DeJaco, Frank K. Downing and Steven M. Weiss, for their contributions to the betterment of Northern Kentucky University.

Presidential Comments
Dr. James Votruba noted:

- NKU will graduate 1,400 students on May 8-9, 2009. Of those graduating 1000 will receive undergraduate degrees, 197 graduate degrees and 134 law degrees.

- Demand for admittance into NKU is at record levels. Freshman applications are up 36%, regular admittance are up 10%, transfer applicants up 40%, scholarship request from students achieving a 29 or above on the ACT are up 33%, KEY applicants are up 51%, non-resident applications up 40%, African-American student applications up 55% and Latino/Hispanic applications up 32%.

- It is still not clear how the economy will influence the current students' decisions to stay in school and pay tuition. NKU is tracking on the retention rates of current students as it relates to economic challenges.

A. Joint Finance and Policy Committee: (Reports given by Regent Denise McClelland)

- Ken Ramey, Vice President of Administration and Finance, Dr. Doug Perry, Dean of the College of Informatics and Rob Chandler, Principal Representative for Goody Clancy, provided an overview of the new Center for Informatics. The overview included an in-depth design presentation with specific information related to the proposed digitorum and an updated project financial report.

  With over two years of planning, the Center for Informatics was designed from the ground up to assure the College of Informatics remains at the forefront of innovation. The Center will consolidate the University's position as a technology leader and will capture the attention of business, technology, professional, arts and civic communities throughout the region. The anticipated completion date of the Center is May 2011.

- Dr. Sue Hodges Moore, Vice President for Planning, Policy & Budget and Ken Kline, Budget Director, delivered an overview of a conservative 2009-10 budget plan. NKU's proposed budget totals 200 million dollars, including a reserve of 8.15 million dollars. The presentation included how the University anticipates handling possible reductions in state appropriation. The plan currently does not include salary increases, but the topic is scheduled to be revisited later in the 2009-10 fiscal year.

- Joe Wind, Vice President of Government and Community Relations, provided highlights on some of the outcomes occurring at recent Kentucky and Federal Legislative sessions.

  - From Kentucky appropriations, NKU will receive 5 million dollars to construct a portion of the University Boulevard that will connect John's Hill Road, between The Bank of Kentucky Center and Martha Lane Collins Boulevard.

  - NKU will also receive 1.9 million dollars for the College of Informatics because of efforts provided by Senator Mitch McConnell.

  - Additional stimulus funding opportunities might be available through efforts provided by various federal congressional representatives.

  - Because of Senate Bill 1, the Council on Postsecondary Education and the Kentucky State Board of Education must design a collaborative system that will align P-12 education with postsecondary entrance requirements.

B. Presidential Reports:

1. **Facilities Management**: (Vice President Ken Ramey)
   - **a.** Campus Master Plan
   - **b.** Center of Informatics
   - **c.** Electrical Power Distribution Infrastructure
   - **d.** Cooling Tower Expansion Project
   - **e.** University Center Renovations
   - **f.** Soccer Stadium
   - **g.** NKU Sustainability

2. **Quarterly Financial Report**: (Vice President Ken Ramey)
   The Quarterly Financial Report depicts the financial activity of the University during the period of July 1, 2008, through March 31, 2009, compared to the annual budget and the prior year's activity.

3. **Fundraising Report**: (Vice President Gerard St. Amand)
   This report provides a summary of fundraising resources committed from July 1, 2008, through March 31, 2009, totaling $18,691,293.

Presidential Reports: *(Cont.)*

4. **Research/Grants/Contracts**: (Provost Gail Wells)
   This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from February 1, 2009, through March 31, 2009. During this period, six grants awarded with a total award amount of $154,449.

C. Presidential Recommendations:

Consent Agenda Items: Regent Martin Butler seconded Regent Denise McClelland's motion to approve the following Presidential Recommendations as listed: C-1 through C-14, contingent on modifications to item C-8. **(Motion carried)**

1. **Academic Affairs Personal Actions**:

   a. **Administrative Appointments**:
   Elaine Jarchow, Dean of International Education, Executive Director of International Education Center, and Professor in the Department of Teacher Education and School Leadership, effective March 16, 2009; **Jennifer Cellio Miller**, Assistant Professor of English and Director of the Writing Instruction Program, Department of English, effective July 1, 2009; **J. Gaut Ragsdale**, Professor in the Department of Communication and Associate Dean in the College of Informatics; **Mark Wasicsko,** Dean of the College of Education and Human Services, Professor, and tenured position of the Bank of Kentucky Endowed Chair in the College of Education and Human Services, effective March 16, 2009; **Steven V. Wilkinson**, Professor and Chair of the Department of Mathematics and Statistics, effective July 1, 2009;

   b. **Faculty Appointments**:
   Michael David Bush, Assistant Professor in the Department of Political Science and Criminal Justice, effective August 17, 2009; **Anthony Chavez**, Assistant Professor in the Chase College of Law, effective August 17, 2009; **Joe Cobbs**, Assistant Professor in the Department of Economics and Finance, effective January 1, 2010; **John Gibson**, Lecturer (non-tenure track renewable) / Media Engineer in the Department of Communication, effective July 1, 2009; **George Coroian**, Assistant Professor in the Department of Political Science and Criminal Justice, effective August 17, 2008: **Richard B. Graves**, Assistant Professor of Legal Writing in the Chase College of Law, effective August 17, 2009; **Kimberly Hausbeck**, Assistant Professor of Legal Writing in the Chase College of Law, effective August 17, 2009; **Kristine N. Hopfensperger**, Assistant Professor in the Department of Biological Sciences, effective August 17, 2009; **Sarah Kasten**, Assistant Professor in the Department of Teacher Education and School Leadership, effective August 17, 2009; **Steve Kerlin**, Assistant Professor in the Department of Teacher Education and School Leadership, effective August 17, 2009; **Bettina Love**, Assistant Professor in the Department of Kinesiology, Health, and Educational Foundations, effective August 17, 2009; **Lili Ma**, Assistant Professor in the Department of Chemistry, effective August 17, 2009; **Ellen Maddin**, Assistant Professor in the Department of Kinesiology, Health, and Educational Foundations, effective August 17, 2009; **Sara Mahle**, Lecturer (non-tenure track renewable) / Producer-Director in the Department of Communication, effective July 1, 2009; **Jonathan McKenzie**, Assistant Professor in the Department of Political Science and Criminal Justice, effective August 17, 2009; **Kereen Monteyne**, Assistant Professor in the Department of Chemistry, effective August 17, 2009; **Rachel Noll**, Assistant Professor in the Department of Teacher Education and School Leadership, effective August 17, 2009; **Willard Peveler**, Assistant Professor in the Department of Kinesiology, Health, and Educational Foundations, effective August 17, 2009; **Shauna Reilly**, Assistant Professor in the Department of Political Science and Criminal Justice, effective August 17, 2009; **Francisc Restesan**, Assistant Professor in the Department of Music, effective August 17, 2009; **Raquel Heredia Rodriquez**, Assistant Professor in the Department of Music, effective August 17, 2009; **A. Hasan Sapci**, Assistant Professor in the Department of Business Informatics, effective August 17, 2009; **Tammie Sherry**, Lecturer (non-tenure track renewable) in the Department of Teacher Education and School Leadership, effective August 17, 2009; **Qing Su**, Assistant Professor in the Department of Economics and Finance, effective August 17, 2009;

c. **Leaves Paid/Unpaid**:
Robin Bartlett, Associate Professor in the Department of Psychological Sciences, paid leave with benefits for the fall semester 2009, utilizing accrued sick time, and unpaid leave with benefits for spring semester 2010; **Jeffrey Williams**, Professor and Chair of the Department of History and Geography, re-entry leave in transition from Chair to Faculty Status, for the 2009-2010 academic year at one-half salary;

d. **Resignations**:
Giles Hertz, Assistant Professor in the Department of Management, effective May 2009; **Rebecca White**, Professor in the Department of Management and Director of the Fifth Third Bank Entrepreneurship Institute, effective June 30, 2009

2. Non-Academic Affairs Personnel Actions:
The following categories of non-academic personnel actions, which occurred between February 12, 2008, through April 8, 2009: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures;

3. First-Year Faculty Recommended for Continued Probation and Continued Probation with Conditions: (2009-2010 academic year)
Christine Curran, Department of Biological Sciences; **Patrick Hare**, Department of Chemistry; **Janel Bloch**, Department of English; **Amy Kardos**, Department of History & Geography; **Joseph Nolan**, Department of Mathematics**; Jacqueline Wroughton**, Department of Mathematics; **Jayce Farmer**, Department of Political Science & Criminal Justice; **Danielle McDonald**, Department of Political Science & Criminal Justice; **Cecile Marczinski**, Department of Psychological Science; **Marc Leone**, Department of Visual Arts; **Jang-Chul Kim**, Department of Economics & Finance; **Ying "Sophie" Huang**, Department of Economics & Finance; **Rodney D'Souza**, Department of Management; **Helene Harte**, Department of Teacher Education & School Leadership; **Brandelyn Tosolt**, Department of Teacher Education & School Leadership; **Matthew Baker**, Department of Communication; **Alina Campan**, Department of Computer Science; **Wei Hao**, Department of Computer Science; **Hetal Jasani**, Department of Computer Science; **Kim Dinsey-Read**, School of Nursing & Health Professions; **Lisa MacQueen**, School of Nursing & Health Professions; **Kimberly McErlane**, School of Nursing & Health Professions; **Karen Mutsch**, School of Nursing & Health Professions; **Pamela L. Phares**, School of Nursing & Health Professions; **Catherine Gannon Tagher**, School of Nursing & Health Professions;

4. Emeritus Status:
Gary Scott, Associate Professor in the Department of Business Informatics; **Thomas Zaniello**, Professor of English and Director of the Honors Program;

5. Honorary Degree Candidate – Philip Schworer:
This is a request for authorization to bestow Philip J. Schworer, with an Honorary Doctorate of Laws from the Chase College of Law. The degree is to be conferred at the May 9, 2009, commencement ceremonies at the NKU, Regents Hall, Highland Heights, Kentucky.

6. Reorganization – School of Nursing and Health Services:
This is a request for authorization to change the School of Nursing and Health Professions to a college, effective July 1, 2009. The change to a college involves the reorganization of administrative structure to group academic programs more effectively, improve oversight and workflow, and more efficiently balance the span of control across three departments.

7. **Reorganization – College of Education and Human Services**:
   This is a request for multiple authorizations to reorganize the Kinesiology, Health and Educational Foundations Department, the Teacher Education and School Leadership Department and the Counseling, Social Work and Human Services Department.

   Name changes are associated with each of the departmental reorganization. The Kinesiology, Health and Educational Foundations Department will become the Department of Kinesiology and Health. The Teacher Education and School Leadership Department will become the Department of Teacher Education. The Counseling, Social Work and Human Services Department will become the Department of Counseling, Social Work and Leadership.

8. **Acceptance of Contributions Received by the NKU Foundation**:
   The acceptance of contributions totaling $50,300, received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period February 1, 2009 through March 31, 2009;

President James Votruba requested the Board of Regents remove the Robert A. Kutz Foundation contribution from consideration. Contributions now reflect gifts made by the Chase College Foundation and Mazak Corporation totaling $50,300.

9. **Bad Debt Write Off (Fiscal Year 2008-09)**:
   The recommendation to authorize the Vice President for Administration and Finance to write off the bad debt for fiscal year 2008-09 was submitted.

10. **Resolution – Establishing and Approving the Fiscal Year 2009-10 Annual Budget for NKU**:
    The Board approves the resolution establishing and approving the Fiscal Year 2009-10 Unrestricted Current Fund Annual Operating Budget for Northern Kentucky University.

11. **Identity Theft Prevention Policy**:
    The Board of Regents provides authorization for the Identity Theft Prevention Policy. The Identity Theft Red Flag Committee developed a policy to help reduce the opportunity for identity theft for all institutions holding "Covered Accounts". The policy identifies what type of information must be secured and the warning signs of suspicious activity. This policy includes steps to prevent and mitigate these risks.

12. **Key Indicators**:
    This is a request for authorization to provided the Kentucky Council on Postsecondary Education Key Indicator Goals developed by NKU. The Key Indicators are used by the Kentucky Council on Postsecondary Education and other state policy makers to monitor progress toward postsecondary reform, as initiated by House Bill 1.

13. **2008-10 Comprehensive University Excellence Trust Fund Allocation Authorization**:
    This is a request for authorization to utilize 100% of the 2008-10 Commonwealth of Kentucky Comprehensive University Excellence Trust Fund allocation of $1,588,000 to support the College of Informatics capital project.

14. **Honorary Degree Candidate – Wendell Berry**:
    This is a request for authorization to bestow Wendell Berry, with an Honorary Doctor of Letters from Northern Kentucky University. The degree is to be conferred at the May 9, 2009, commencement ceremonies at the NKU, Bank of Kentucky Arena, Highland Heights, Kentucky.

D. Executive Session:
Regent Peggy DeJaco seconded Regent Gabriel Cronon's motion to enter into Executive Session. **(Motion carried)**

Regent Denise McClelland seconded Regent Steven Weiss' motion to close Executive Session. **(Motion carried)**

Regent Steven Weiss seconded Regent Nancy Barone's motion to approve items discussed during Executive Session. **(Motion carried)**

At 3:28 p.m., Regent Peggy DeJaco seconded Regent Chuck Brown's motion to adjourn. **(Motion carried)**

Signature on File
Isaac D. Ferguson
Senior Administrative Associate

Signature on File
Kimberly A. Luse
Executive Assistant to the President for Special Projects/Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on May 6, 2009, and that such matters are still in force and effect.

Signature on File
Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, July 7, 2009 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, July 8, 2009 – 9:30 a.m.

9:30 a.m.

A. Joint Finance and Policy Committee:

1. The College of Health Professions (Wells/D. Robinson)
2. Admissions Update (Wells)

Board Lunch
NKU, Student Union, Room 108 – Wednesday, July 8, 2009 – 11:30 a.m.

11:30 a.m.

- Board of Regents Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, July 8, 2009 – 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Oath of Office for New Regents – Eric M. Brose, Charles E. Hawkins, Dennis Repenning, Brenda L. Wilson
- Resolution for Completion of Regent Term – Anna Dale Pyles
- Approval of May 6, 2009, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:20 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Fundraising Report (July 1, 2008 through May 31, 2009) (St. Amand)
3. Research/Grants/Contracts Report (April 1, 2009 through May 31, 2009) (Wells/Shrofel)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (April 8, 2009 and June 5, 2009)
3. *Temporary Appointments
4. *Promotion (RPT)
5. *New Program – Master of Arts in Public History
6. *Reorganization – Women and Gender Studies & Institute for Freedom Studies
7. *Reorganization – Metropolitan Education and Training Service Center (METS)
8. *Amendment to *Personnel Policies and Procedures Manual* – ADA Compliance Statement
9. *Capital Plan 2010-2016
10. *Resolution – Intent to Reimburse Capital Expenditures
11. *Easement Agreement – Duke Energy
12. *Acceptance of Contributions received by the NKU Foundation
13. *Organizational Chart
14. *Fees and Service Charges
15. *Naming – College of Informatics Building

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Monday, July 6, 2009).

2:00 p.m.

D. Executive Session

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
July 8, 2009

Regent Nancy Barone, Chair, called the regular meeting of the Board of Regents to order at 1:00 p.m., Wednesday, July 8, 2009.

Regent Joyce Griffin read the invocation.

Roll Call: Nancy A. Barone, Eric M. Brose, Chuck H. Brown, Martin C. Butler, Joyce E. Griffin, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Brenda L. Wilson; (Excused: Denise H. McClelland)

Other Attendees: James Votruba, Kimberly Luse, Isaac Ferguson, Tammy Knochelmann, Sara Sidebottom, Gerry St. Amand, Gail Wells, Ken Ramey, Sue Moore, Joe Wind, Zebulun Davenport, Don Gorbandt, Salina Schrofel, Russ Kerdolff, Chris Bowling, William Farro, Rick Meyers, Chris Cole, Tim Sofranko, Ken Kline, Brenda Rigg, Kathy Stewart, Krista Wiseman-Moore, Douglas Perry, Peggy DeJaco, Steve Meyer, Harold Todd, Marilyn Schleyer, Melissa Gorbandt;

Regent Nancy Barone performed the oath of office for the newly appointed Regents: Eric M. Brose, Charles E. Hawkins, Dennis Repenning and Brenda L. Wilson. Each newly appointed or elected Regent swore to uphold all stipulations of the oath and faithfully execute, to the best of their ability, the duties of Regent of Northern Kentucky University according to law.

Regent Nancy Barone offered a resolution recognizing Anna Dale Pyles for dedication to Northern Kentucky University, its faculty, its staff and its students.

Regent Martin Butler made a recommendation to approve new Regent Officers as follows. "The Nominating Committee recommends Nancy Barone, Chair; Terry Mann, Vice-Chair; Denise McClelland, Secretary." Regent Martin Butler moved to accept the recommendation and seconded by Regent Chuck Brown. (**Motion carried)**

Regent Dennis Repenning seconded Regent Martin Butler's motion to appoint Regent Chuck Brown and Regent Terry Mann to the NKU Foundation Board of Directors. (**Motion carried)**

Regent Chuck Brown seconded Regent Terry Mann's motion to approve the minutes of the May 6, 2009, Board of Regents meeting. **(Motion carried)**

Presidential Comments
Dr. James Votruba noted:

- a welcome to the new members of the NKU Board of Regents: Regent Eric Brose, Regent Charles Hawkins, Regent Dennis Repenning, and Regent Brenda Wilson.
- his recognition and personal gratitude to Anna Dale Pyles for her contributions to the betterment of Northern Kentucky University.
- congratulations to the newly elected NKU Board of Regent executive committee: Nancy Barone, Chair; Terry Mann, Vice-Chair; and Denise McClelland, Secretary.
- new student enrollment continues to be very strong. Administrators are monitoring enrollment numbers for returning students and it appears to be strong, but uncertainty of the economy still might have an impact. In summary, the University is in a very strong position as we move into the 2009-10 academic year.

- the Capital Planning Advisory board met to address the $92.5 million facility that combine renovations of Founders Hall with a Health Innovations facility. Fifteen Northern Kentucky leaders joined the advisory board in meeting with Adam Edelen, Chief of Staff - Kentucky Governor's Office, and Janie Miller, Secretary of the Kentucky Cabinet for Health and Family Services. The discussion was positive and encouraging. Special thanks to Joe Wind, Katie Herschede and Regent Terry Mann for their involvement in the meeting.

- the fundraising on the College of Informatics is progressing nicely, due in part to the generous gift of six million dollars, by the Griffin Family. Fourteen million of the approximate eighteen million dollars needed is secured and we are confident the University will meet or exceed the desired goal. Special thanks to the Griffin family for their contributions of time and resources to NKU.

A. Joint Finance and Policy Committee: (Reports given by Regent Terry Mann)

The College of Health Professions:
The College of Health Professions was highlighted with faculty accomplishments in teaching, scholarly, as well as community and institutional service. A video presenting how high fidelity simulators work and how they can be incorporated into health professions was shown. The integration of teaching, service and research via civic engagement was highlighted by work done in the Northern Kentucky Nursing Research Collaborative and the Nurse Advocacy Center for the Underserved. Future initiatives for the College were described.

Admissions Update:
Provost Wells provided an update on the Fall 2009 enrollment. NKU expects a record breaking entering class which is larger, better prepared academically and more diverse than any class in the history of the university. She shared the following data:

- There are over 6600 new freshmen applications – up 35.7% over 2008
- The new freshmen regular admits are up 18.4%
- Freshmen confirmations are up 11.5%
- New transfer applications are up 40%
- Applications from African-American Students are up 58.9%
- Applications from Hispanic students are up 31%

Provost Wells also shared information about summer 2009 enrollment. There was a 4% increase in full time equivalency in summer school enrollment.

B. Presidential Reports:

1. **Facilities Management**: (Vice President Ken Ramey)
   - **a.** Campus Master Plan
   - **b.** Center of Informatics
   - **c.** Electrical Power Distribution Infrastructure
   - **d.** Cooling Tower Expansion Project
   - **e.** University Center Renovations
   - **f.** Soccer Stadium
   - **g.** NKU Sustainability

2. **Fundraising Report**: (Vice President Gerard St. Amand)
   This report provides a summary of fundraising resources committed from July 1, 2008, through May 31, 2009, totaling $19,070,067.

3. Research/Grants/Contracts: (Provost Gail Wells)
This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from April 1, 2009, through March 31, 2009. During this period, twenty-four grants were awarded with a total award amount of $1,002,349.

C. Presidential Recommendations:

Consent Agenda Items: Regent Joyce Griffin seconded Regent Terry Mann's motion to approve the following Presidential Recommendations as listed: C-2 through C-15. **(Motion carried)**
Discussion Agenda Items: Regent Chuck Brown seconded Regent Martin Butler's motion to approve the following Presidential Recommendation as listed: C-1. (Charles Hawkins/Abstained) **(Motion carried)**

1. Academic Affairs Personal Actions:

a. Administrative Appointments:
James Bast, Lecturer (non-tenure track renewable) in the Department of Management and Master of Business Administration Director, effective June 15, 2009; **John E. Filaseta**, Associate Professor of Physics (with tenure) and Chair of the Department of Physics and Geology, effective July 1, 2009; **Denise Robinson**, Professor (with tenure) and Interim Dean of the College of Health Professions, effective July 1, 2009; **Jeffrey M. Smith**, Professor of Psychology (with tenure) and Chair of the Department of Psychological Science, effective July 1, 2009; **Paul Tenkotte**, Professor of History (with tenure) and Chair of the Department of History and Geography, effective July 1, 2009; **Samuel J. Zachary**, Professor of Theatre (with tenure) and Interim Dean of the College of Arts and Sciences, effective June 15, 2009;

b. Faculty Appointments:
Kevin Corcoran, Professor of Psychology in the Department of Psychological Sciences, effective August 17, 2009; **Scot Cunningham**, Lecturer (non-tenure track renewable) in the Department of Computer Science, effective August 17, 2009; **Jerome Gonnella**, Lecturer (non-tenure track renewable) in the Department of Business Informatics, effective August 17, 2009; **Eric T. Knechtges**, Assistant Professor of Music Theory and Composition in the Department of Music, effective August 17, 2009; **Brian Warner**, Assistant Professor of Engineering Technology in the Department of Physics and Geology, effective August 17, 2009;

c. Leaves Paid/Unpaid:
Lane Clarke, Assistant Professor in the Department of Teacher Education, half-time unpaid leave with benefits for the 2009-2010 academic year; **Christopher Gulinello**, Associate Professor in the Salmon P. Chase College of Law, effective May 9, 2009; **Charles Hawkins**, Professor and Chair of the Department of Physics and Geology, re-entry leave in transition from Chair to Faculty status, for the fall 2009 semester; **Robert Snyder**, Tenured Professor of Management, banked Chair Re-entry leave in transition from METS Director to faculty status for academic year 2009-2010;

d. Resignations:
Bernard Lohr, Professor in the Department of Biological Sciences, effective May 9, 2009;

2. Non-Academic Affairs Personnel Actions:
The following categories of non-academic personnel actions, which occurred between April 8, 2009, through June 5, 2009: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Administrative;

3. Academic Affairs Temporary Faculty Appointments:
Mika Wolfford, Department of World Languages and Literatures, Academic year 2009-10**;**

4. **Promotion**:
   The following recommendations received approval: Promotion, tenure, continued probation, continued probation with conditions to be removed, terminal reappointment and non-reappointment.

5. **New Program – Master of Arts in Public History**:
   The College of Arts and Sciences developed the proposed master's degree program, Master of Arts in Public History to provide students with content, theory, method, and practice learning in preparation for a non-academic humanities career.

6. **Reorganization – Women and Gender Studies & Institute for Freedom Studies**:
   This is a request for authorization to delete the following organizational units, Women and Gender Studies, and The Institute for Freedom Studies, effective July 1, 2009.

7. **Reorganization – Metropolitan Education Training Services (METS)**:
   This is a request for authorization to transfer the Metropolitan Education Training Services center (METS) from the Haile/US Bank College of Business to the College of Informatics.

8. **Amendment to *Personnel Policies and Procedures Manual* – ADA Compliance Statement:**
   The policy will allow the Affirmative Action/Equal Opportunity Employer statement to include an ADA compliance statement in the University Policy Manual (Redbook).

9. **Capital Plan 2010-16**:
   The biennial budget process is divided into two distinct parts, (1) the biennial capital budget; and, (2) the biennial operating budget. The capital budget is preceded by the six-year capital planning process. The Capital Plan consists of projects to be requested in the 2010-2012 Capital Budget Request and those projects anticipated for inclusion in the 2012-2014 and the 2014-2016 Capital Budget Requests.

10. **Resolution – Intent to Reimburse Capital Expenditures**:
    The Board declares official intent to finance the acquisition of residential property identified in the University's master plan from the proceeds of a tax-exempt financing or Build America Bonds.

11. **Easement Agreement – Campbell County Fiscal Court**
    This is a request to provide authorization granting an easement for Duke Energy Corporation to install new underground electric lines at their North Substation. The new lines would leave the substation and then run northeasterly along the west side of Kenton Drive, to Three Mile Road.

12. **Acceptance of Contributions received by the NKU Foundation:**
    The Board of Regents officially hereby accepts contributions totaling $120,000 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period April 1, 2009, through May 31, 2009.

13. **Revisions to the University Organizational Structure:**
    The proposed organizational structure, as outlined in the Board Materials, reflects all NKU Administrative changes from January 13, 2009, to June 19, 2009. The reporting lines listed are for Director level and above, but include: individuals who directly report to the President; Department Chairs under Academic Affairs; Coaching area under Intercollegiate Athletics; and the Manager of the Bookstore/Follett and Food Services/Chartwells, which are separate entities from the University.

14. Fees and Service Charges

The Board of Regents approves following recommendations to fees and service charges for the Academic and Fiscal Year 2009-10:

- Eliminate the existing "Payment Plan" fee and reinstitute the "Enrollment Fee"
- Institute a Finger Printing Fee

15. Naming – College of College of Informatics Building

This is a request for authorization to name the College of Informatics building *Griffin Hall*.

D. <u>Executive Session</u>:

Regent Chuck Brown seconded Regent Martin Butler's motion to enter into Executive Session. **(Motion carried)**

Regent Dennis Repenning seconded Regent Martin Butler's motion to close Executive Session. **(Motion carried)**

Regent Dennis Repenning seconded Regent Chuck Brown's motion to approve items discussed during Executive Session. (Terry Mann/Abstained) **(Motion carried)**

At 3:28 p.m., Regent Chuck Brown seconded Regent Joyce Griffin's motion to adjourn. **(Motion carried)**

Signature on File
Isaac D. Ferguson
Senior Administrative Associate

Signature on File
Kimberly A. Luse
Executive Assistant to the President for Special Projects/Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on July 8, 2009, and that such matters are still in force and effect.

Signature on File
Denise H. McClelland
Secretary of the Board of Regents

Northern Kentucky University
Board of Regents Retreat
August 31, 2009

In attendance: Nancy Barone, Chair, Terry Mann, Vice-Chair, Denise McClelland, Secretary, Joyce Griffin, Martin Butler, Chuck Brown, Chuck Hawkins, Eric Brose, Brenda Wilson, and Dennis Repenning, Jim Votruba, and Kimberly Luse

Dr. John Moore led the morning session covering issues surrounding effective Board practices, self-evaluation of the Board of Regents, and phases of a Presidency, including how a Board begins to prepare for a transition in that role. President Votruba led the afternoon session by reviewing the previous academic year, and outlining the upcoming year's priorities which include: meet or exceed executive dashboard goals, campus-wide focus on student retention and graduation involving all vice presidential areas, complete general education reform, complete SACS response and initiate QEP(Involving students in active learning), continue to support P-12 education enhancement, continue PRISM/SAP implementation and continue to focus on budget management and innovation around efficiencies.

The day concluded with the Board conducting an evaluation of the President and the Office of the President.

The following came forward as items for action and/or follow-up:

The Board members expressed interest in the overall well being of students, faculty, and staff. To that end, they would like more data regarding the retention of faculty, staff and students, with data from exit interviews included. Specifically they would like to have the top three reasons that people choose to leave the university identified. They also would like to have student satisfaction data presented to them (Ramey, Davenport, Wells).

There is a request from the Board that a statement of expectations for Board members be developed, and filed for applicants to the Board of Regents to review, as well as a guideline for current Board members (Luse).

The Board would like more data on our faculty and staff, such as part time vs. full time, tenure track vs. non-tenure track, demographics, etc. "Who is here?" Also, specific questions about our student population arose. How many law school applicants do we have? Of that population how many are qualified? What is the yield on our six year graduation rate? A request for data on the transfer student graduate rates is requested. How do we rate in selectivity vs. other state universities? What is our national peer's student/faculty ratio? (Ramey, Wells).

Terry Mann would like to see the Board utilized more fully with our legislators. With a focus on the out of session timeframe, each Board member should think about contacts they have, how to reach out on behalf of NKU, and how the group collectively can be more effective as a voice in Frankfort. Also there is

a request to institute processes for local, state, and federal leaders to educate them regarding NKU's financial needs (Wind).

The Board members would like to think more strategically about what we need represented on our Board by way of membership when vacancies occur. What is needed to make our Board the best cross-sectional representation of our constituency? Instituting succession planning for the BOR is recommended (Wind).

Other points of consensus:

NKU should be a leader in the green area.
We would like a presentation on the international opportunities that are available for our students.
We would like to see greater brand clarity for NKU.
Our success stories are not getting out.

Interest was expressed in developing a day-long, "Trustee in Residence" program.
There was a recommendation to drop the qualifier from the elected Regent's titles…"Regents are Regents first, regardless of the pathway to being on the Board"—John Moore.

AGENDA
Northern Kentucky University

Regent Audit Committee Meeting
NKU, President's Conference Room, 800 Lucas Administration Center
Tuesday, September 8, 2009 – 1:00 p.m.

1:00 p.m.

- Board of Regents Audit Committee Meeting

Regents Dinner
Tuesday, September 8, 2009 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, September 9, 2009 – 9:30 a.m.

9:30 a.m.

A. Joint Finance and Policy Committee:

1. Enrollment Update (Wells / Robinson)
2. Grant Presentations (Wells/Almquist/Haik/ Flemming)
3. Green Initiatives (Ramey)

Board Lunch
NKU, Student Union, Room 108 – Wednesday, September 9, 2009 – 11:30 a.m.

11:30 a.m.

- Board of Regents Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, September 9, 2009 – 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Oath of Office for New Student Regent – K. Keith Kaseke
- Approval of July 8, 2009, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:20 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Fundraising Report (July 1, 2008 through June 30, 2009) (St. Amand)
3. Fundraising Report (July 1, 2009 through July 31, 2009) (St. Amand)
4. Research/Grants/Contracts Reports (Wells/Shrofel)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (June 6, 2009 and August 12, 2009)
3. *Temporary Appointments
4. *Administrative Appointment
5. *Reorganization – Institute for Nonprofit Capacity
6. *Reorganization – Small Business Development
7. *Amendments to *Faculty Policies and Procedures Handbook* – Terminal Degrees & Publication
8. *Acceptance of Contributions Received – NKU Foundation
9. *Easement Agreement – Sanitation District #1
10. *Lease Agreement – Western Kentucky University
11. *Fees

* Consent Agenda Items - Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Isaac Ferguson in the Office of the President, 572-5172, by 2 p.m., Monday, September 7, 2009.

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
September 09, 2009

Regent Nancy Barone, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, September 9, 2009.

Regent Brenda Wilson read the invocation.

Roll Call: Nancy A. Barone, Eric M. Brose, Charles E. Hawkins, K. Keith Kaseke, Terry L. Mann, Denise H. McClelland, Dennis Repenning, Brenda L. Wilson; (Excused: Chuck H. Brown, Martin C. Butler, Joyce E. Griffin).

Other Attendees: James Votruba, Kimberly Luse, Isaac Ferguson, Tammy Knochelmann, Sara Sidebottom, Gerry St. Amand, Gail Wells, Ken Ramey, Sue Moore, Joe Wind, Don Gorbandt, Salina Schrofel, Russ Kerdolff, Chris Bowling, William Farro, Rick Meyers, Chris Cole, Tim Sofranko, Harold Todd, Melissa Gorbandt, Daryl Wright, Elaine Jarchow, Chuck Miller, Leah Stewart, Joel Robinson, Pat Moynahan, Mark Wasicsko, Carrie McCoy, Jeff Waple, Doug Perry, Angie Schaeffer, Suzanne Soled, Sam Zachery.

Regent Nancy Barone performed the oath of office for K. Keith Kaseke. The newly elected Regent swore to uphold all stipulations of the oath and faithfully execute, to the best of his ability, the duties of Regent of Northern Kentucky University according to law.

Regent Dennis Repenning seconded Regent Terry Mann's motion to approve the minutes of the July 8, 2009, Board of Regents meeting. **(Motion carried)**

Presidential Comments
Dr. James Votruba noted:

The NKU is off to a great start this fall semester:

- Undergraduate student enrollments are up 1.3% or 172 students.
- Graduate student enrollments are up 3.7% or 57 students.
- Law student enrolments are up 6.5% or 37 students.
- These students account for a 1.7% increase in total student enrollment.

The academic quality and preparedness of this incoming class reaches new historic levels.

The August 31, 2009, Board of Regents retreat established priorities for the coming year that include:

- Meeting executive dashboard goals
- Focus the entire campus on strengthening student retention and graduate rates
    - Dr. Gail Wells and Dr. Zebulun Davenport will lead this effort.
- Complete general education reform
- Complete SACS response and initiate the QEP
- Continued support of P-12 partnerships
- Continued SAP implementation
- Continue our focus on budget management and innovation related to efficiencies
- Utilize action analytics as a basis for informed decision making

This is a historic day for our campus as we welcome Regent Keith Kaseke, our first international student to lead SGA.

A special thanks to Isaac Ferguson. He will be leaving the Office of the President in a few weeks to pursue the next chapter in his life and career. Isaac will be finishing his M.P.A degree later this academic year.

At this Friday's Trusteeship Conference, the Kentucky Chamber of Commerce will award the 2009 Acorn Award to our own Professor Vernon Hicks. The award is presented to one public or independent university faculty member each year in recognition of their outstanding academic achievements. The award carries a $5,000 honorarium and is emblematic of Kentucky's most outstanding university faculty member. We are very proud of Professor Hicks and congratulate him on this award.

A. Joint Finance and Policy Committee: (Reports given by Regent Denise McClelland)
Regent Attendees: Nancy A. Barone, Eric M. Brose, Martin C. Butler, Joyce E. Griffin, Charles E. Hawkins, K. Keith Kaseke, Terry L. Mann, Denise H. McClelland, Dennis Repenning, Brenda L. Wilson; (Excused: Chuck H. Brown).

Audit Committee Report:
In attendance: Larry Meyer, James Votruba, Ken Ramey, Kimberly Luse, Terry Mann, and Denise McClelland (via teleconference).

Larry Meyer reported out to the committee that for the first quarter of 2010 their department has successfully updated their risk assessment model and used it to prepare 2009-2010 audit schedules. Also, a fraud reporting line for web and telephone reporting has been activated and will be advertised widely throughout campus.

The completed audit projects include: Procurement Cards, Supplemental Pay, Key Control and Access, Arts and Sciences Dean's Office, Office of Procurement Services, and Department of Athletics Expenditures.

Audits in process include: External Audit Assistance, PRISM, Continuous Auditing Projects (i.e. vendor payments, procurement cards, journal entries, and payroll/personnel records), Box Office, Payroll Operations, and the METS Center.

The auditees that were asked to complete a six-month review are the Early Childhood Center, Comptroller's Office and Bursar Operations.

Additional activity by the Office of Financial and Operational Audit include evaluations they solicited on behalf of their office and business practices to seek ways to improve and streamline their services. Responses were quite favorable, and averaged between 3.3 and 4.0. The 2009 Summer Audit Alert Newsletter has also been distributed this past summer.

Enrollment Update:
Provost Gail Wells gave a presentation focused on the enrollment for Fall 2009. The following statistics were discussed:

- Undergraduate enrollment for fall 2009 is 13,217 students up 1.32% from last fall. The 2009 entering class is the largest, best prepared and most diverse in NKU's history. The number of new freshmen enrolling with a score of 25 or higher on the ACT is up 33% and the number of African-American students is up 20%. The enrollment at the Grant County Center is up 21% and the number of online enrollments is up 30%.
- Graduate enrollment is up 3.7% and law enrollment has increased by 6.5%
- Total enrollment is currently 15,438, up 1.7% from this time last year.

Enrollment Update: *(Cont)*

- This enrollment was supported by the following funding for merit- and need-based scholarships:
  - $8.8 million in institutional scholarships
  - $700,000 in Foundation endowments
  - $114,000 for grant based scholarships
  - $5.7 million for federal aid
  - $7.1 million in Kentucky CAP and KEES grants

There are additional scholarships funded through grants and gifts in specific disciplines.

Provost Wells congratulated the entire campus on the strong enrollment for fall 2009.

Grant Presentations:
Presentations were made describing the work supported by three grants totaling approximately $2.5 million.

Dr. Arne Almquist, Associate Provost for Library Services, described a nearly one million dollar grant which is a partnership between Steely Library and Bluegrass Community and Technical College The project will provide scholarships, technology stipends, mentoring services, an integrated online web center, and professional travel stipends to students in NKU's new Bachelor of Science in Library Informatics program offered in partnership with the College of Informatics. The grant will enable NKU to extend this new program into the poorest counties of Kentucky – areas that are geographically isolated and economically distressed. 30% of the public library directors in Kentucky (most of them in the targeted counties) lack even an undergraduate degree. Through the new program, we will be able to address the problem, increasing the skills and knowledge of those who are on the front lines in providing access to information to rural Kentuckians.

Dr. Kristi Haik, Assistant Professor of Biological Sciences, described an NSF grant of approximately $600,000 to recruit, retain, educate, and graduate financially needy, academically talented students who will enter the workforce in a STEM-related profession or enter graduate school in a STEM discipline. This program is denoted SOAR: Scholarships, Opportunities, Achievements and Results.

Dr. Kirsten Fleming, Director of the Kentucky Center for Mathematics, described a grant providing $897,690 from the Robert Noyce Teachers Scholarship Program supported by the National Science Foundation. The goal of this project is to increase the number of secondary mathematics teachers who work in high need schools. The grant will provide scholarships and summer stipends to students pursuing a degree in mathematics education and enhanced services for the program participants.

Green Initiatives:
Vice President Ramey provided a presentation called "A Concrete Plan to Grow Green: Green Initiatives - Past, Present and Future." The presentation focused on the last five years of sustainable efforts by students, faculty and staff on the NKU campus. In 2004, the University embarked on a $4.5 million ESCO agreement with Siemens to achieve energy savings. The successful ESCO, originally scheduled for payback in 11.7 years, is now slated to payback in less than 10 years.

In 2007, Dr. Votruba signed the American College and University Presidents Climate Commitment. NKU was the first state-funded university in Kentucky to do so. Since then, a task force of students, faculty and staff has submitted the required reports and worked to implement the commitment's tangible actions.

Green or sustainable initiatives are in all areas of campus from design of new buildings to the motor pool, from the flowerbeds to the power plant. These initiatives are only the beginning as NKU continues its commitment to take the campus Green.

B. Presidential Reports:

1. **Facilities Management**: (Vice President Ken Ramey)
   - **a.** Griffin Hall – Center for Informatics
   - **b.** Electrical Power Distribution Infrastructure
   - **c.** Cooling Tower Expansion Project
   - **d.** University Center Renovations
   - **e.** Soccer Stadium
   - **f.** NKU Sustainability

2. **Fundraising Report**: (Vice President Gerard St. Amand)
   This report provides a summary of fundraising resources committed from July 1, 2008, through June 30, 2009, totaling $25,854,015.

3. **Fundraising Report**: (Vice President Gerard St. Amand)
   This report provides a summary of fundraising resources committed from July 1, 2009, through July 31, 2009, totaling $337,809.

4. **Research/Grants/Contracts**: (Provost Gail Wells)
   This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff for fiscal year 2009, and from July 1, 2009, through July 31, 2009.

C. Presidential Recommendations:

Consent Agenda Items: Regent Dennis Repenning seconded Regent Charles Hawkins' motion to approve the following Presidential Recommendations as listed: C-1 through C-11. **(Motion carried)**

1. **Academic Affairs Personal Actions**:

   a. Administrative Appointments:

   William Cunningham, Transitional Entrepreneurship Institute Director and Lecturer (temporary) in the Department of Management, effective July 1, 2009; **Carrie McCoy**, from Professor and Director of the ABSN Program, to Professor and Chair of the Department of Nursing, effective July 1, 2009; **Jeanne M. Pettit**, Lecturer (non-tenure track renewable) / Interim Director, First-Year Programs, effective July 1, 2009; **Valerie Rowland**, from Assistant Professor in the Department of Radiologic Technology, to Assistant Professor and Chair of the Department of Allied Health, effective August 17, 2009; **Marilyn Schleyer**, from Assistant Professor and Director of the MSN Program, to Assistant Professor and Chair of the Department of Advance Nursing Studies, effective July 1, 2009.

   b. Faculty Appointments:

   Jennifer Cline, Lecturer (non-tenure track renewable) in the Department of Advanced Nursing Studies, effective August 17, 2009; **Laura Cole**, Coordinator / Lecturer (non-tenure track renewable) in the Department of Business Informatics, effective August 1, 2009; **Pam Davis**, Assistant Professor in the Department of Nursing, effective August 17, 2009; **Cindy Foster**, Assistant Professor in the Department of Nursing, effective August 17, 2009; **Traci Freeman**, Lecturer (non-tenure track renewable) in the Department of Nursing, effective August 17, 2009; **Sarah Kasten**, Assistant Professor in the Department of Teacher Education, effective August 17, 2009; **Steve Kerlin**, Assistant Professor in the Department of Teacher Education, effective August 17, 2009; **Jennie D. LaMothe**, Lecturer (non-tenure track renewable) / Interim Assistant Director, First-Year Programs, effective August 17, 2009; **Mark Leeman**, Assistant Professor in the Department of Communication, effective August 17, 2009; **Bettina Love**, Assistant Professor in the Department of Teacher Education, effective August 17, 2009;

Faculty Appointments: *(Cont.)*
Ellen Maddin, Assistant Professor in the Department of Teacher Education, effective August 17, 2009; **Rachel Noll**, Assistant Professor in the Department of Teacher Education, effective August 17, 2009; **Julie Ossege**, Assistant Professor, Department of Advanced Nursing Studies, effective August 17, 2009; **Willard Peveler**, Assistant Professor in the Department of Kinesiology and Health, effective August 17, 2009; **Leighann Schroeder Rechtin**, Lecturer (non-tenure track renewable) / Coordinator, First-Year Programs, effective July 1, 2009; **Angela Riley**, Lecturer (non-tenure track renewable) in the Department of Nursing, effective August 17, 2009; **Tammie Sherry**, Lecturer (non-tenure track renewable) in the Department of Teacher Education, effective August 17, 2009; **Melanie Walters**, Lecturer (non-tenure track renewable) in the Department of Nursing, effective August 1, 2009.

c. **Resignations**:
Judi Frerick, Assistant Professor in the Department of Nursing, effective May 9, 2009; **Jayne Lancaster**, Lecturer, Department of Nursing, effective July 24, 2009; **Sara Mohn Krzywkowski**, Assistant Professor, Department of Nursing, effective May 9, 2009.

2. Non-Academic Affairs Personnel Actions:
The following categories of non-academic personnel actions, which occurred between June 6, 2009, through August 12, 2009: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Administrative;

3. Temporary Faculty Appointments:
Michael Millward, Department of Biological Sciences, Academic year 2009-10; Julia Yang Bedell, Department of Chemistry, Academic year 2009-10**;** Nancy Bowers, Department of English, Academic year 2009-10; Kristi Brock, Department of English, Academic year 2009-10; Paul Goodin, Department of English, Academic year 2009-10; Angela K. Hesson, Department of English, Academic year 2009-10; Stephen Leigh, Department of English, Academic year 2009-10; Virginia Paddock, Department of English, Academic year 2009-10; Frank X. Walker, Department of English, Academic year 2009-10; Clyde G. Booker, Department of History & Geography, Academic Year 2009-10; Michael Marchman, Department of History & Geography, Academic Year 2009-10; Laura Bristol, The Kentucky Center for Mathematics, Fiscal Year 2009-10; Jim Justice, The Kentucky Center for Mathematics, Fiscal Year 2009-10; Gary Palmer, The Kentucky Center for Mathematics, Fiscal Year 2009-10; Shelley Cain, Department of Mathematics & Statistics, Academic Year 2009-10; Laura Urbanski, Department of Mathematics & Statistics, Academic Year 2009-10; Landewatte Ajith DeSilva, Department of Physics & Geology, Academic Year 2009-10; Chari Ramkumar, Department of Physics & Geology, Academic Year 2009-10; Bill Wayne Wright, Department of Physics & Geology, Academic Year 2009-10; Catherine Arnold, Department of Political Science & Criminal Justice, Academic Year 2009-10; Frederick Brockmeier IV, Department of Political Science & Criminal Justice, Academic Year 2009-10; Gail O'Brien, Department of Political Science & Criminal Justice, Academic Year 2009-10; Philip I. Resnick, Department of Political Science & Criminal Justice, Academic Year 2009-10; Fred W. Rhynhart, Department of Political Science & Criminal Justice, Academic Year 2009-10; Jill Shelley, Department of Political Science & Criminal Justice, Academic Year 2009-10; Heather Park Hatchett, Department of Psychological Science, Academic Year 2009-10; Gregory Bigot, Department of Sociology, Anthropology, & Philosophy, Academic Year 2009-10; Molly Blenk, Department of Sociology, Anthropology, & Philosophy, Academic Year 2009-10; Nicole Grant, Department of Sociology, Anthropology, & Philosophy, Academic Year 2009-10; Robert Kenney, Department of Sociology, Anthropology, & Philosophy, Academic Year 2009-10; Jamie McCauley, Department of Sociology, Anthropology, & Philosophy, Academic Year 2009-10; Alexander D. Miller, Department of Sociology, Anthropology, & Philosophy, Academic Year 2009-10;

Temporary Faculty Appointments: *(Cont.)*
Michael Simonton, Department of Sociology, Anthropology, & Philosophy, Academic Year 2009-10; Kristina Vise, Department of Sociology, Anthropology, & Philosophy, Academic Year 2009-10; Michael Hatton, Department of Theatre & Dance, Academic Year 2009-10; Christine Jones Department of Theatre & Dance, Academic Year 2009-10; Matthew Albritton, Department of Visual Arts, Academic Year 2009-10; Heidi Endres, Department of Visual Arts, Academic Year 2009-10; Martin T. Meersman, Department of Visual Arts, Academic Year 2009-10; Mary Bucklin, Department of Women's & Gender Studies, Academic Year 2009-10; Jeremy T. Goebel, Department of World Languages & Literatures, Academic Year 2009-10; Melissa K. Ibarra, Department of World Languages & Literatures, Academic Year 2009-10; Dolores Schreiner, Department of World Languages & Literatures, Academic Year 2009-10; Lorraine Ruh, Department of Accountancy, Academic Year 2009-10; Robert Salyer, Department of Accountancy, Fiscal Year 2009-10; Steve Devoto, Department of Economics & Finance, Academic Year 2009-10; Feng Guo, Department of Economics & Finance, Fiscal Year 2009-10; David Hrovat, Department of Economics & Finance, Academic Year 2009-10; Dan Kent, Department of Management, Academic Year 2009-10; Louis Manchise, Department of Management, Academic Year 2009-10; Donna Crane, Department of Marketing, Academic Year 2009-10; Edward Jackson, Jr., Department of Marketing, Academic Year 2009-10; Anthony Chowning, Department of Communication, Academic Year 2009-10; Michele Day, Department of Communication, Academic Year 2009-10; Stephanie Klatzke, Department of Communication, Academic Year 2009-10; James Skaggs, Department of Communication, Academic Year 2009-10; Jill Easter, The Learning Assistance Center, Fall Semester 2009; Karen M. O'Conner, The Learning Assistance Center, Fall Semester 2009; Gregory Walsh, The Learning Assistance Center, Fall Semester 2009.

4. **Administrative Personnel Action**:
   Dr. Scott Eaton, to be appointed Director of Athletics, effective August 3, 2009;

5. **Reorganization – Institute for Nonprofit Capacity**:
   This is a request for authorization to transfer the reporting of the Institute for Nonprofit Capacity from the Scripts Howard Center for Civic Engagement to the Office for Regional Stewardship, effective September 9, 2009.

6. **Reorganization – Small Business Development Center (SBDC)**:
   This is a request for authorization to transfer the reporting of the Small Business Development Center from the College of Business to the Office for Regional Stewardship, effective September 9, 2009.

7. **Amendment to *Faculty Policies and Procedures Handbook***:
   The amended policy allows for an updated listing of terminal degrees for faculty, currently listed in the *Faculty Policies and Procedures Handbook* – section XIII (page 110). The amendment also allows for the publication of updated terminal degree listings in electronic format rather than in paper format.

8. **Acceptance of Contributions received by the NKU Foundation**:
   The Board of Regents officially hereby accepts contributions totaling $300,000 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period July 1, 2009, through July 31, 2009.

9. **Easement Agreement – Sanitation District #1**:
   This is a request to provide authorization granting an easement for Sanitation District #1 to relocate a fifteen-inch sanitary sewer line on NKU property. The line will run along the east side of Three Mile Road, ensuring that manholes will fall outside of the ten-year flood plan.

10. Lease Agreement – Western Kentucky University:
This is a request to provide authorization for NKU administration to enter into a lease agreement with Western Kentucky University for the placement of a Mesonet Environmental Monitoring Station on NKU property.

11. Fees:
The Board of Regents approves following recommendations to fees for the Academic Year 2009-10:

- Graduation Sashes for International and Study Abroad Students
  - Students will be charged a fee of $29.75 and may return the sash for a full credit.
- Clinical Practicum Make-up Fee
  - Students missing the scheduled clinical practica will be charged a $50 fee to offset additional cost associated with faculty pay.
- Elsevier/Evolve Remediation Program
  - A fee of $100 per student per semester will charged to implement the Evolve/Reach Testing & Remediation Program (by Elsevier) to supplement the established nursing program curriculum.
- DVD Copy of Ashanti Arts: Traditional Art in Contemporary Culture
  - A $2.00 fee will be charged to cover material cost for the duplication of a film made by Mary Carol Hopkins that will be distributed at workshops for Kentucky art teachers.

D. <u>Executive Session</u>:
Regent Keith Kaseke seconded Regent Terry Mann's motion to enter into Executive Session. **(Motion carried)**

Regent Dennis Repenning seconded Regent Terry Mann's motion to close Executive Session. **(Motion carried)**

At 2:56 p.m., Regent Brenda Wilson seconded Regent Charles Hawkins' motion to adjourn. **(Motion carried)**

Signature on file
Isaac D. Ferguson
Senior Administrative Associate

Signature of file
Kimberly A. Luse
Executive Assistant to the President for Special Projects/Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on September 9, 2009, and that such matters are still in force and effect.

Signature on file
Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Board of Regents Special Meeting
NKU, Student Union, Room 104 – Thursday, October 8, 2009 – 8:30 a.m.

8:30 a.m.

- **Call to Order**
- **Roll Call and Welcome**

8:40 a.m.

- **Executive Session**

11:30 a.m.

- **Adjourn**

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, November 10, 2009 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at The Bank of Kentucky Center Vault

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, November 11, 2009 – 8:30 a.m.

8:30 a.m.

A. Joint Finance and Policy Committee:

1. Kindle and Blackboard Training (Ferguson/Insko/Mann)
2. SACS Update (Wells)
3. General Education Update (Wells)
4. Annual Financial Report (Ramey/Kerdolff)

Board Lunch
NKU, Student Union, Room 108 – Wednesday, November 11, 2009 – 11:30 a.m.

11:30 a.m.

- Board of Regents Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, November 11, 2009 – 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of September 9, 2009, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:20 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Fundraising Report (July 1, 2009 through September 30, 2009 (St. Amand)
3. Research/Grants/Contracts Reports (Wells/Shrofel)

1:40 p.m.

C. Executive Session

D. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (June 6, 2009 and August 12, 2009)
3. *Temporary Appointments
4. *Northern Kentucky Cooperative Educational Services Agreement
5. *Student Government Association Constitution Revision
6. * Acceptance of Contributions Received – NKU Foundation
7. *2010-2016 Capital Plan and 2010-12 Capital Budget
8. *Transfer of Women's and Gender Studies
9. *Intellectual Property Policy
10. *General Education Proposal

* Consent Agenda Items - Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Kimberly Luse in the Office of the President, 572-5172, by 2 p.m., Monday, November 9, 2009.

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
November 11, 2009

Regent Terry Mann, Vice-Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, November 11, 2009.

A moment of silence was held in observance of Veteran's Day.

Roll Call: Eric M. Brose, Joyce E. Griffin, Charles E. Hawkins, K. Keith Kaseke, Terry L. Mann, Denise H. McClelland, Dennis Repenning, Brenda L. Wilson; (Excused: Nancy A. Barone , Chuck H. Brown, Martin C. Butler).

Other Attendees: James Votruba, Kimberly Luse, Wendy Creekmore, Tammy Knochelmann, Tracy Insko, Sarah Mann, Sara Sidebottom, Zebulun Davenport, Gerry St. Amand, Gail Wells, Ken Ramey, Sue Moore, Joe Wind, Don Gorbandt, Salina Schrofel, Russ Kerdolff, Larry Blake, Chris Bowling, William Farro, Chris Cole, Tim Ferguson, Tim Sofranko, Elaine Jarchow, Chuck Miller, Mary Lepper, Sandi Gilliland, Joel Robinson, Pat Moynahan, Mark Wasicsko, Lori Southwood, Kathy Stewart, Jeff Waple, Doug Perry, Rich Kane, Larry Meyer, Marilyn Schleyer, Sam Zachery, Sandra Miller, Matt Campbell, Mark Payne, George Johnson, Claudio Toro, Dennis Chaney.

Regent Keith Kaseke seconded Regent Dennis Repenning's motion to approve the minutes of the September 9, 2009, Board of Regents meeting. **(Motion carried)**

Presidential Comments
Dr. James Votruba noted:

Veterans:

- Appreciate the moment of silence on behalf of Veterans Day.
- Nearly 300 veterans currently on campus, increase of approximately 41%.
- New G.I. bill is one of the largest pieces of federal legislation in history of student financial aid.
  -Thank you Joel Robinson, Dave Merris and all who are working on behalf of our veterans.

The Board of Regents goes paperless:

- May be the first governing board to go paperless—green, sustainability and saving resources.
- Good model of leadership.

SACS:
In a strong position for SACS reaffirmation.

New General Education structure:

- Nothing more complex.
- At the heart of every university.
- New structure is a major step forward.
  -Thank you Gail Wells, Faculty Senate and all who have worked on the restructure.

State budget:

- Outlook continues to be bleak.
- State Budget Director Mary Lassiter: "Worst budget outlook I have ever seen."
  -4% reduction in October revenues receivables.
- Kentucky Governor Steve Beshear: "Toughest budget cycle Kentucky has faced in modern history."
- Looking at a $1 billion shortfall this year. Rainy day fund is empty.

- Given the circumstances and recognizing the risks, we are strongly positioned.

Welcome to Wendy Creekmore who has assumed the position of Senior Administrative Assistant and will be working in support of NKU's Board of Regents.

A. **Joint Finance and Policy Committee**: (Reports given by Regent Denise McClelland)
Regent Attendees: Eric M. Brose, Joyce E. Griffin, Charles E. Hawkins, K. Keith Kaseke, Terry L. Mann, Denise H. McClelland, Dennis Repenning, Brenda L. Wilson; (Excused: Nancy A. Barone, Chuck H. Brown, Martin C. Butler).

- Dr. Gail Wells, Vice President for Academic Affairs and Provost, Dr. Alar Lipping, President of the Faculty Senate, and Dr. Debra Meyers, Faculty Liaison for General Education, updated the Board on the new general education program, Foundation of Knowledge.

  The presentation included an overview of the processes undertaken over the past two years leading up to approval by the Faculty Senate on October 26, 2009. Pending Board of Regents approval, implementation efforts are underway to implement the new program in fall 2010.

  In addition, Dr. Gail Wells updated the Board on the university's reaffirmation of accreditation by the Southern Association of Colleges and Schools (SACS).

  The presentation included an overview of reaffirmation efforts and processes from 2006 to the present. SACS will announce its determination of reaffirmation on December 8, 2009.

- Vice President Ken Ramey and Comptroller Russ Kerdolff presented highlights from the University's 2008-09 Annual Financial Report. Bret Feger attended the meeting for the University's audit firm, Crowe Horwath. Highlights of the presentation included:
  - Overall, the University's financial position remains strong as of June 30, 2009.
  - The University's total net assets increased by $7 million, primarily due to capital appropriations and capital grants and gifts related to construction projects and equipment purchases.
  - The University continues to rely heavily on tuition to fund its operations. Total revenues increased by $9 million in fiscal year 2009, including a $7 million increase in net tuition.
  - The University's general appropriation was cut by 4%, or $2.2 million, for fiscal year 2009. -Several slides presented showed the disparity between funding per student at NKU and other regional institutions. There continues to be a major gap.
  - The University's general appropriation was cut again in fiscal year 2010 by an additional, 7%, or $3.6 million.
  - The Commonwealth and the University continue to face challenging economic conditions. Despite the current economic uncertainties, the University's overall financial condition remains strong. The University's bond rating was recently affirmed by the bond rating agencies and is considered to be stable.

B. **Presidential Reports**:

1. **Facilities Management**: (Vice President Ken Ramey)
- **a.** Griffin Hall – Center for Informatics
- **b.** Electrical Power Distribution Infrastructure
- **c.** Cooling Tower Expansion Project
- **d.** University Center Renovations
- **e.** Soccer Stadium
- **f.** NKU Sustainability

2. **Fundraising Report**: (Vice President Gerard St. Amand)
   This report provides a summary of fundraising resources committed from July 1, 2008, through September 30, 2009, totaling $824,683.

3. **Research/Grants/Contracts**: (Provost Gail Wells)
   This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff for fiscal year 2009, and from August 1, 2009, through September 30, 2009. During this period, thirty grants awarded with a total award amount of $2,126,238. From July 1, 2009 through September 30, 2009, the cumulative total number of grants awarded is 38 totaling $3,664,631.

C. Executive Session

Regent Brenda Wilson seconded Regent Denise McClelland's motion to enter into Executive Session. **(Motion carried)**

Regent Denise McClelland seconded Regent Dennis Repenning's motion to close Executive Session. **(Motion carried)**

D. Presidential Recommendations:

Consent Agenda Items: Regent Dennis Repenning seconded Regent Charles Hawkins' motion to approve the following Presidential Recommendations as listed: C-1 through C-11. **(Motion carried)**

1. **Academic Affairs Personal Actions**:
   a. **Faculty Appointments**:
      Neporcha Cone, Assistant Professor in CINSAM, College of Arts & Science, effective August 17, 2009; **Jill Shelley**, Lecturer (non-tenure track renewable) in the Department of Political Science and Criminal Justice, effective August 17, 2009;
   b. **Leaves Paid/Unpaid**:
      Sharon Vance, Assistant Professor in the Department of History and Geography, unpaid leave with benefits for the Spring semester 2010 semester.

2. **Non-Academic Affairs Personnel Actions**:
   The following categories of non-academic personnel actions, which occurred between August 13, 2009 and October 14, 2009: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures;

3. **Temporary Faculty Appointments**:
   Thomas Brackman, CINSAM, Academic year 2009-10; Francis Sheehy, CINSAM, Academic year 2009-10**;** Michael Collins, Department of Mathematics and Statistics, Academic year 2009-10; Chrystal Culbertson, Department of Mathematics and Statistics, Academic year 2009-10; Barbara Phillips, Department of Mathematics and Statistics, Academic year 2009-10; Toni Sheffer, Department of Music, Academic year 2009-10; Virginia DeVito, Department of World Languages and Literature, Academic year 2009-10;

4. **Northern Kentucky Cooperative Educational Services Agreement**:
   This is a request to authorize continued participation in the Northern Kentucky Cooperative for Educational Services, a separate administrative board created by Interlocal Cooperative Agreement between certain school districts in the Northern Kentucky area and Northern Kentucky University, and for voting purposes, appoint an alternate representative as requested by the Cooperative.

5. **Student Government Association Constitution**:
   This is a request for authorization of revision of Student Government Association's Constitution. Amendment to make changes in revised constitution. Regent Dennis Repenning seconded Regent Keith Kaseke's motion to accept amendment as described. Vote is in favor and amendment is

adopted. Motion is made by Regent Keith Kaseke seconded Regent Denise McClelland's to accept amendment as described.
Updated copy is on file.

6. **Acceptance of Contributions received by the NKU Foundation**:
The Board of Regents officially hereby accepts contributions totaling $335,000 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period August 1, 2009, through September 30, 2009.

7. **2010-2016 Capital Plan and 2010-2012 Capital Budget**
This is a request to adopt the 2010-2016 capital Plan, as amended, and approve the addition of the Lease Academic/Office Space – (Highland Heights),, " Lease Gateway Building" and "Guaranteed Energy Savings" project to the 2010-2012 Capital Budget.

8. **Transfer – Women's and Gender Studies**:
The Women's and Gender Studies Program will transfer from the Dean's Office, College of Arts and Sciences, to the Department of History and geography, effective December 1, 2009.

9. **Intellectual Property Policy**:
This is a request to approve the revised Intellectual Property Policy.
Regent Mann seconded Regent Brose's motion to reconsider vote on D-9., Intellectual Property Policy. Regent Charles Hawkins seconded Regent Terry Mann's motion to adopt D-9., Intellectual Property Policy as part of Presidential Recommendation. Vote is in favor. Regent Keith Kaseke abstained from vote.

10. **General Education Proposal**:
This is a request to approve the General Education Proposal.

At 2:59 p.m., Regent Terry Mann seconded Regent Dennis Repenning's motion to adjourn. **(Motion carried)**

Signature on file
Wendy Creekmore
Senior Administrative Associate

Signature on file
Kimberly A. Luse
Executive Assistant to the President for Special Projects/Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on September 9, 2009, and that such matters are still in force and effect.

Signature on file
Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, January 12, 2010 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

NKU, Student Union, Room 104 – Wednesday, January 13, 2010– 9:00 a.m.

9:00 a.m. Kindle Training and Update (Mann/Insko)

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, January 13, 2010– 9:30 a.m.

9:30 a.m.

A. Joint Finance and Policy Committee:

1. Faculty Development Awards (Wells/Smith/Hastings)
2. SACS Update (Wells)

Board Lunch
NKU, Student Union, Room 108 – Wednesday, January 13, 2010– 11:30 a.m.

11:30 a.m.

- Board of Regents Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, January 13, 2010– 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of November 11, 2009, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:20 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Quarterly Financial Report (Ramey)
3. Fundraising Report (July 1, 2008 through May 31, 2009) (St. Amand)
4. Research/Grants/Contracts Report (October 1, 2009 through November 30, 2009) (Wells/Shrofel)
5. Faculty Development Awards – Project Grants (Wells)
6. Faculty Development – Summer Fellows (Wells)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (October 15, 2009 and December 9, 2009)
3. * Emeritus Status
4. * Sabbatical Leave Recommendations
5. * Easement Agreement – Duke Energy – Soccer Stadium
6. * Easement Agreement – Duke Energy intersection Three Mile Road/University Drive/Nunn Drive
7. *Demolition – Hankins Hall, Maintenance Garage, Building E and all paved surfaces on Covington Campus
8. *Demolition – Structures at 215 Johns Hill Road
9. *Schedule of Tuition – amended 2008-09 and subsequent
10. *Acceptance of Contributions received by the NKU Foundation

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Creekmore in the Office of the President, 572-5172, by 2 p.m., Monday, January 11, 2010).

2:00 p.m.

D. Executive Session

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
January 13, 2010

Regent Nancy Barone, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, January 13, 2010.

Regent Brenda Wilson read the convocation.

Roll Call: Nancy A. Barone , Eric M. Brose, Chuck H. Brown, Martin C. Butler, Joyce E. Griffin, Charles E. Hawkins, K. Keith Kaseke, Terry L. Mann, Denise H. McClelland, Dennis Repenning, Brenda L. Wilson.

Other Attendees: James Votruba, Kimberly Luse, Wendy Creekmore, Tammy Knochelmann, Tracy Insko, Sara Sidebottom, Zebulun Davenport, Gerry St. Amand, Gail Wells, Ken Ramey, Joe Wind, Don Gorbandt, Salina Schrofel, Russ Kerdolff, Larry Blake, Chris Bowling, William Farro, Chris Cole, Tim Sofranko, Elaine Jarchow, Joel Robinson, Pat Moynahan, Lori Southwood, Kathy Stewart, Jeff Waple, Rick Meyer, Ken Kline, Larry Meyer, Harold Todd, Sam Zachary.

Regent Keith Kaseke seconded Regent Terry Mann's motion to approve the minutes of the November 11, 2010, Board of Regents meeting. **(Motion carried)**

Presidential Comments
Dr. James Votruba noted:

NKU is beginning the new year in a relatively strong position:
- NKU has been beneficiary of fairly conservative budgeting process
- Spring enrollment is up about 1%
- Endowment has grown by 26.6% this year

SACS:
- Begin the semester with SACS reaffirmation in place.
- General Education restructuring has occurred.

State budget:
- Governor Beshear held higher education harmless in terms of budget reductions this fiscal year.
- Still face daunting financial challenges for next fiscal year with deficit of between $900 million and $1.6 billion
- NKU Stimulus funds for this year at $3.6 million, the sign-off has not occurred yet
    - Track closely whether the projected $2.4 million for year two will occur

Three goals for 2010 session:
- Maintenance and Operating expenses for buildings: Bank of Kentucky Center, Student Union and Welcome Center, Griffin Hall
- Assuring base remains firm, including the $3.6 million that has been temporarily backed out of our budget by the state and backfilled with $3.6 million in stimulus money
- Health Innovations building—will be a key to our future, will include renovation of Founders Hall and new building construction
    - Number 1 - CPE priority

Will be working with faculty and staff focus on how to better utilize the funds we have under our own control (absent state investment), reduce administrative infrastructure, student credit hours per FDE faculty, finals stages of per credit hour tuition, current circumstances and opportunities. We cannot stand still and wait for state to recover.
Governor Beshear will deliver budget address, keep board informed.

A. <u>Joint Finance and Policy Committee</u>: (Reports given by Regent Denise McClelland)

Regent Attendees: Nancy A. Barone, Eric M. Brose, Chuck H. Brown, Martin C. Butler, Joyce E. Griffin, Charles E. Hawkins, K. Keith Kaseke, Terry L. Mann, Denise H. McClelland, Dennis Repenning, Brenda L. Wilson.

This morning Dr. Gail Wells, Vice President for Academic Affairs and Provost, presented an overview of the three faculty development programs offered at NKU – sabbatical leaves, summer fellowships, and project grants. A booklet summarizing 2008-09 faculty development award activities was shared with the Board. Dr. Gail Wells also shared an update regarding the university's reaffirmation of accreditation process. She announced that the Southern Association of Colleges and Schools (SACS) reaffirmed NKU's accreditation at its December 7, 2009 meeting.

B. Presidential Reports:

1. **Facilities Management**: (Vice President Ken Ramey)
   - **a.** Griffin Hall – Center for Informatics
   - **b.** Electrical Power Distribution Infrastructure
   - **c.** Cooling Tower Expansion Project
   - **d.** University Center Renovations
   - **e.** Soccer Stadium
   - **f.** NKU Sustainability

2. **Quarterly Financial Report**: (Vice President Ken Ramey)
   The Quarterly Financial Report depicts the financial activity of the University during the period of July 1, 2009, through September 30, 2009, compared to the annual budget and the prior year's activity.
3. **Fundraising Report**: (Vice President Gerard St. Amand)
   This report provides a summary of fundraising resources committed from July 1, 2008, through November 30, 2009, totaling $1,534,417.
4. **Research/Grants/Contracts**: (Provost Gail Wells)
   This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff for fiscal year 2009, and from October 1, 2009, through November 30, 2009. During this period, 22 grants awarded with a total award amount of $3,012,325. From July 1, 2009 through November 30, 2009, the cumulative total number of grants awarded is 60 totaling $6,676,956.
5. **Faculty Development Awards – Project Grants:** (Provost Wells)
   The University recognizes, for 2010-2011, the role of research as an integral part of professional activity of the faculty through financial support for independent research. Faculty Project Grants are intended to encourage professional growth, provide funds for research equipment and activities that are not available through program budgets.
6. **Faculty Development Awards – Summer Fellows**: (Provost Wells)
   The Summer Fellowship program, for summer 2010, is designed to encourage faculty to engage in individual research, scholarly writing, and creative and artistic projects and to share the results of their efforts with the broader University community.

C. Presidential Recommendations:

Consent Agenda Items: Regent Terry Mann seconded Regent Chuck Brown's motion to approve the following Presidential Recommendations as listed: C-1 through C-10. **(Motion carried)**

1. **Academic Affairs Personnel Actions**:
   a. Administrative Appointments:

Shawn J. Rainey, Associate Director of Curriculum, Accreditation and Assessment, effective November 18, 2009; **Denise Robinson**, from Professor (with tenure) and Interim Dean of the College of Health Professions, to Professor (with tenure) and Dean of the College of Health Professions, effective January 1, 2010;

b. Faculty Appointments;

Bridget Satinover, Assistant Professor in the Department of Marketing, effective August 16, 2010;

c. Resignations:

Julie Kunselman, from Chair of the Department of Political Science and Criminal Justice, to full-time faculty status as a tenured professor in the Department of Political Science and Criminal Justice, effective December 31, 2009.

2. **Non-Academic Affairs Personnel Actions**:
   The following categories of non-academic personnel actions, which occurred between October 15, 2009 and December 9, 2009: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures, Adminstrative;

3. **Emeritus Status**:
   Linda Dolive, Professor of Political Science in the Department of Political Science and Criminal Justice, effective May 14, 2010; **W. Jonathan Gresham**, Professor in the Department of Music, effective May 14, 2010;

4. **Sabbatical Leave Recommendation**:
   Michael Baranowski, Political Science and Criminal Justice; **Stefan Paula**, Chemistry; **Sharmanthi Fernando**, Physics & Geology; **Linda Dynan**, Economics & Finance; **Lenore Kinne**, Teacher Education; **Charles Acosta**, Biological Sciences; **Tonya Krouse**, English; **Doris Shaw**, Marketing; **John Huss**, Teacher Education; **Emily Deter-Goebel**, English; **Nancy Slonneger Hancock**, Sociology, Anthropology & Philosophy; **Gail Mackin**, Mathematics & Statistics; **Douglas Krull**, Psychological Science; **John Alberti**, English; **Barclay Green**, English; **Tobias Brauer**, Visual Arts; **Gary Walton**, English; **Christopher Strobel**, Communication.

5. **Easement Agreement—Duke Energy – Soccer Stadium**:
   This is a request for authorization of an easement to Duke Energy Corporation for a new underground electrical service installed to serve the Soccer Stadium site.

6. **Easement Agreement—Duke Energy Intersection**:
   This is a request for authorization to install new underground electric lines beginning at the intersection of Kenton and Three Mile Road/University Drive, continuing underground along University Drive to Nunn Drive and up Nunn Drive to US 27.

7. **Demolition:**
   This is a request to authorize the demolition of Hankins Hall, the Maintenance Garage, Building E and all paved surfaces on the Covington campus.

8. **Demolition**:
   This is a request to authorize the demolition of structures at 215 Johns Hill Road.

9. **Schedule of Tuition – amended 2008-09 and subsequent**:
   This is a request to approve the amended 2008-09 and subsequent Schedule of Tuition be approved by the Board of Regents.

10. Acceptance of Contribution received by the NKU Foundation:
The acceptance of contributions totaling **$215,800.00** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period October 1, 2009 through November 30, 2009.

At 1:30 p.m., Regent Eric Brose seconded Regent Dennis Repenning's motion to adjourn. **(Motion carried)**

Wendy Creekmore
Senior Administrative Associate

Kimberly A. Luse
Executive Assistant to the President for Special Projects/Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on January 13, 2010 and that such matters are still in force and effect.

Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, March 16, 2010 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, March 17, 2010– 9:30 a.m.

9:30 a.m.

A. Joint Finance and Policy Committee:

1. NKU International Education Center (Jarchow/Leroy/Leibach/Tanzman/Costabile-Heming/Rhee)
2. Budget Update (Votruba)

Board Lunch
NKU, Student Union, Room 108 – Wednesday, March 17, 2010– 11:30 a.m.

11:00 a.m.

- Board of Regents Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, March 17, 2010– 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of January 13, 2010, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:20 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Quarterly Financial Report (July 1, 2009 through December 31, 2009) (Ramey)
3. Fundraising Report (July 1, 2009 through January 31, 2010) (St. Amand)
4. Research/Grants/Contracts Report (December 1, 2009 through January 31, 2010) (Wells/Shrofel)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (December 10, 2009 and February 16, 2010)
3. *Temporary Appointments
4. *Promotion (RPT)
5. *Emeritus Status
6. *New Program – Master of Social Work
7. *Acceptance of Contributions received by the NKU Foundation
8. *Schedule of Fees and Service Charges

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Creekmore in the Office of the President, 572-5172, by 2 p.m., Monday, March 15 , 2009).

2:00 p.m.

D. Executive Session

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
March 17, 2010

Regent Terry Mann, Vice Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, March 17, 2010.

Regent Keith Kaseke read the invocation.

Roll Call: Eric M. Brose, Chuck H. Brown, Martin C. Butler, Joyce E. Griffin, Charles E. Hawkins, K. Keith Kaseke, Terry L. Mann, Dennis Repenning, Brenda L. Wilson; (Excused: Nancy A. Barone, Denise H. McClelland)

Other Attendees: James Votruba, Kimberly Luse, Casey Lundberg, Tammy Knochelmann, Tracy Insko, Sara Sidebottom, Zebulun Davenport, Gerry St. Amand, Gail Wells, Ken Ramey, Joe Wind, Sue Moore, Don Gorbandt, Salina Schrofel, Russ Kerdolff, Larry Blake, Chris Bowling, Chris Cole, Tim Sofranko, Elaine Jarchow, Pat Moynahan, Lori Southwood, Kathy Stewart, Jeff Waple, Ken Kline, Harold Todd, Sam Zachary, Jonathan Erion, Matt Campbell, Mark Wasicsko, Mark Payne, Toni Wice, Dina Neumann, Bobby Wince, Margaret Meyers, Scott Eaton, Andy Meeks, Mia Simpson, Vern Hockney

Regent Joyce Griffin seconded Regent Keith Kaseke's motion to approve the minutes of the January 13, 2010, Board of Regents meeting. **(Motion carried)**

Presidential Comments
Dr. James Votruba noted:

Introductions/ Recognitions:

- Casey Lundberg, Office of the President, will be working with the Board of Regents since Wendy Creekmore has accepted a fellowship and will be leaving the University.
- Joe Wind, VP of Government and Community Relations, received Faculty/Staff Strongest Influence award at the annual Homecoming Event
- Margaret Meyers, Interim Dean of Haile U.S. Bank College of Business
- Dr. Miya Simpson, Associate Dean and Director of African American Student Affairs
- Dr. Gail Wells, VP of Academic Affairs and Provost, has been chosen to receive a YWCA Outstanding Professional Women of the Year award

Presentation on International Programs:

- Brought to life an important part of the University

Budget:

- Face an enormous amount of uncertainty
- Legislative session approaching completion
- Budgetary pressures on University: $7.4 million in reductions over the last 3 years, no new M&O for construction, 2010-11 budget shortfall of about $8.4 million, KERS increase of $2.6 million
- Strategy: Balance budget with combination of reserves, 1% reduction on all units, position management, reduce administrative infrastructure
- We can come out of this as a stronger, more focused institution

A. **Joint Finance and Policy Committee**: (Reports given by Regent Eric Brose)
Regent Attendees: Nancy A. Barone, Eric M. Brose, Chuck H. Brown, Joyce E. Griffin, Charles E. Hawkins, K. Keith Kaseke, Terry L. Mann, Dennis Repenning, Brenda L. Wilson.

This morning Dr. Elaine Jarchow, Dean of the International Education Center, showcased its programs with the help of a number of students. The Offices of Education Abroad, International Students and Scholars, and American English Language Programs described their activities. Special projects such as internationalizing the NKU curriculum, obtaining grants, working with an International Education Council, strengthening linkages and agent agreements, and promoting world languages and the Fulbright program were highlighted. Finally, future plans to add more and diverse opportunities were shared.

This morning Dr. Votruba, President of the University, shared an update regarding state budget outlook and how it impacts NKU for next year. In addition, the President briefed the Board on the University's current short-term plan and long-term plan for addressing a structural imbalance in the University's budget while focusing on the challenges and opportunities of the "new normal" in higher education. Also, the President asked that the Board be given hard copies of the presentation.

B. **Presidential Reports**:

1. **Facilities Management**: (Vice President Ken Ramey)
   - **a.** Griffin Hall – Center for Informatics
   - **b.** Electrical Power Distribution Infrastructure
   - **c.** Soccer Stadium
   - **d.** Hankins Hall Demolition Project
   - **e.** NKU Sustainability

2. **Quarterly Financial Report**: (Vice President Ken Ramey)
   The Quarterly Financial Report depicts the financial activity of the University during the period of July 1, 2009, through December 31, 2009, compared to the annual budget and the prior year's activity.

3. **Fundraising Report**: (Vice President Gerard St. Amand)
   This report provides a summary of fundraising resources committed from July 1, 2009, through January 31, 2010, totaling $4,174,841.

4. **Research/Grants/Contracts**: (Provost Gail Wells)
   This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from December 1, 2009, through January 31, 2010. During this period, 11 grants awarded with a total award amount of $657,958. From July 1, 2009 through January 31, 2010, the cumulative total number of grants awarded is 71 totaling $7,334,914.

C. **Presidential Recommendations**:
Consent Agenda Items: Regent Chuck Brown seconded Regent Dennis Repenning's motion to approve the *following Presidential Recommendations as listed: C-1 through C-8.* ***(Motion carried)***

1. **Academic Affairs Personnel Actions**:

a. Administrative Appointments:

Shamima Ahmed, from Professor, to Professor and Interim Chair in the Department of Political Science and Criminal Justice, effective January 1, 2010; **Margaret Myers**, from Associate Dean to Interim Dean in Haile/US Bank College of Business effective March 1, 2010;

b. Faculty Appointments;

Anne Erwin, Lecturer (non-tenure track renewable) in the Department of Counseling, Social Work and Leadership effective July 1, 2010; **Brian Hackett**, Assistant Professor in the Department of History and geography, effective August 16, 2010; **Jeanne Holmes**, Assistant Professor in the Department of Management, effective August 16, 2010; **Renee Human**, Assistant Professor in the Department of Communication, effective August 16, 2010; **Cheryl Lero Jonson**, Assistant Professor in the Department of Political Science and Criminal Justice, effective August 16, 2010; **Tara McLendon**, Assistant Professor in the Department of Counseling, Social Work and Leadership, effective August 16, 2010; **Whitney McIntyre Miller**, Assistant Professor in the Department of Political Science and Criminal Justice, effective August 16, 2010;

c. Retirements;

W. **Vernon Hicks**, Professor in the Department of Chemistry, effective May 14, 2010; **James Niewahner**, Professor in the Department of Chemistry, effective May 14, 2010; **Robert Kempton**, Regents Professor in the Department of Chemistry, effective May 14, 2010; **L. Mackenzie Osborne**, Professor in the Department of English, effective May 14, 2010; **Linda Dolive**, Professor in the Department of Political Science and Criminal Justice, effective May 14, 2010; **Dixie Leather**, Lecturer (non-tenure track renewable) in the Department of Political Science and Criminal Justice, effective June 30, 2010; **Cynthia McDaniel,** Professor in the Department of Psychological Science, effective May 14, 2010; **Kristen Yount**, Associate Professor in the Department of Sociology, Anthropology and Philosophy, effective May 14, 2010.

d. Resignations;

Lane Clark, Assistant Professor in the Department of teacher Education, College of Education and Human Services, effective May 15, 2010.

2. **Non-Academic Affairs Personnel Actions**:

The following categories of non-academic personnel actions, which occurred between December 10, 2009 and February 16, 2010: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Administrative;

3. **Temporary Faculty Appointments**:

Michael Hinckley, Department of History and Geography, 2010 Spring Semester; **Reuben Bullard**, Physics and Geology, 2010 Spring Semester;

4. **Promotion**:

The following recommendations received approval: Promotion, tenure, continued probation, continued probation with conditions to be removed, terminal reappointment and non-reappointment.

5. **Emeritus Status**:

W. **Vernon Hicks**, Professor in the Department of Chemistry, effective May 14, 2010; **James Niewahner**, Professor in the Department of Chemistry, effective May 14, 2010; **Robert Kempton**, Regents Professor in the Department of Chemistry, effective May 14, 2010; **L. Mackenzie Osborne**, Professor in the Department of English, effective May 14, 2010; **Cynthia McDaniel,** Professor in the

Department of Psychological Science, effective May 14, 2010; **Kristen Yount**, Associate Professor in the Department of Sociology, Anthropology and Philosophy, effective May 14, 2010.

6. **New Program – Master of Social Work**:
The College of Education and Human Services developed the proposed master's degree, Master of Social Work to prepare leaders of the social work profession, particularly in terms of local, state and regional needs. The MSW program will recruit, screen and admit those individuals with the greatest potential for practice, and who represent the diversity of population groups within the region. The program will prepare social workers for leadership roles in developing and providing services to individuals, families, groups, communities and organizations.

7. **Acceptance of Contributions received by the NKU Foundation:**
The acceptance of contributions totaling **$2,429,466.03** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period December 1, 2009 through January 31, 2010.

8. **Schedule of Fees and Service Charges**:
The Board of Regents officially hereby accepts the schedule of fees and service charges for the 2010-12 academic years as listed in the Board of Regents materials.

At 1:22p.m., Regent Marty Butler seconded Regent Dennis Repenning's motion to adjourn. **(Motion carried)**

Signature on file
Casey Lundberg
Senior Administrative Associate

Signature on file
Kimberly A. Luse
Executive Assistant to the President for Special Projects/Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on March 17th, 2010, and that such matters are still in force and effect.

Signature on File
Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regent Audit Committee Meeting
NKU, President's Conference Room, 800 Lucas Administrative Center – Tuesday. May 4, 2010 – 1:00pm

1:00pm

- Board of Regents Audit Committee Meeting

Regents Dinner
Tuesday, May 4, 2010 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, May 5, 2010– 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Report on the conclusions of the Division I Feasibility Study (Russell Wright/Carl McAloose)
2. 2010-11 Operating Budget and Tuition Rates (Votruba)

Student Awards Ceremony & Luncheon
NKU, Student Union, 102 – Wednesday, May 5, 2010– 11:30 a.m.

11:30 a.m.

- Student Awards Ceremony & Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, May 5, 2010– 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Resolutions for completion of Regent term:
  Joyce E. Griffin, Denise H. McClelland, K. Keith Kaseke
- Approval of March 17, 2010, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:20 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Quarterly Financial Report (July 1, 2009 through March 31, 2010) (Ramey)
3. Fundraising Report (July 1, 2009 through February 28, 2010) (St. Amand)
4. Research/Grants/Contracts Report (February 1, 2010 through March 31, 2010) (Wells/Shrofel)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (between February 17, 2010 and April 1, 2010)
3. *Temporary Appointments
4. *First Year Faculty Continued Probation/Continued Probation with Conditions
5. *Amendment to *Faculty Policies and Procedures Handbook*
6. *New Program – Registered Nurse to Master of Science in Nursing
7. *Honorary Degree Candidate (Jean-Robert Cadet)
8. *General Receipts Bonds Resolution, Series A
9. *Revisions to the *Code of Student Rights and Responsibilitie*s
10. *2010-11 Operating Budget
11. *2010-11 Tuition Rate Schedule
12. *New Program – Doctorate of Nursing Practice

*Consent Agenda Items (Items places on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Casey Lundberg in the Office of the President, 572-5172, by 2 p.m., Monday, May 3, 2010).

2:00 p.m.

D. Executive Session

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
May 5, 2010

Regent Nancy Barone, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, May 5, 2010.

Regent Nancy Barone, Chair, introduced Jennifer Chandler, recipient of the 2010 Regents Award given annually to an exceptional student.

Regent Joyce Griffin read the invocation.

Roll Call: Nancy A. Barone, Eric M. Brose, Chuck H. Brown, Martin C. Butler, Joyce E. Griffin, Charles E. Hawkins, K. Keith Kaseke, Denise H. McClelland, Terry L. Mann, Dennis Repenning, Brenda L. Wilson

Other Attendees: James Votruba, Kimberly Luse, Casey Lundberg, Tammy Knochelmann, Tracy Insko, Bill Farro, Matt Campbell, Pete Trentacoste, Ann Pitini, Sara Sidebottom, Zebulun Davenport, Gerry St. Amand, Gail Wells, Sue Moore, Salina Schrofel, Russ Kerdolff, Larry Blake, Chris Bowling, Chris Cole, Tim Sofranko, Kathy Stewart, Jeff Waple, Ken Kline, Mark Wasicsko, Toni Wice, Dina Neumann, Margaret Meyers, Scott Eaton, Rich Kane, Mary Lepper, Karen Mutsch, Marilyn Schleyer, Fred Hockney, Denise Robinson, Alar Lipping, Pat Moynahan, Rick Meyers, Jennifer Chandler, Larry Meyer, Joel Robinson, Kevin Golden

Resolutions for completion of Regent term:
President James Votruba thanked the three outgoing regents, Regent Joyce E. Griffin, Regent Denise H. McClelland and Regent K. Keith Kaseke, for their service to the board and the university.

Regent Nancy Barone, Chair, offered resolutions recognizing Regent Joyce E. Griffin, Regent Denise H. McClelland and Regent K. Keith Kaseke for the completion of their Regent term and dedication to Northern Kentucky University, its faculty, its staff and its students.

Regent K. Keith Kaseke seconded Regent Dennis Repenning's motion to approve the minutes of the March 17, 2010, Board of Regents meeting. **(Motion carried)**

Presidential Comments
Dr. James Votruba noted:

- Fall enrollments for 2010 look very strong at this point. Undergraduate applications are up 2.2% over last year, graduate applications are up 6.6% and law is up 10.8%. Total applications up nearly 3% over this last year.
- We are focusing much of the next 6 months on positioning the university's budget for whatever challenges we may confront. Given the fact that we are rapidly approaching the end of the fiscal year and we still do not have a budget from Frankfort, we will be looking at ways

to remain open if the state does not pass a budget by June 30. His greatest concern is Fiscal Year 2011-12 as we are still confronting major uncertainty.

- This morning you heard an extensive report regarding a move to NCAA Division I. He believes it is in the best interest of the university to move to DI and would recommend an immediate move, but with the budget uncertainty this move would be irresponsible at this time.
- This weekend we will graduate 1200 undergraduate students, 200 graduate students and 150 law students; equaling nearly 1600 graduates.
- It is a time of transition for the Board of Regents and would like to again thank Denise, Joyce and Keith. The ultimate responsibility for NKU resides with the Board of Regents. This Board has proven time and time again why it is believed to be an outstanding board in the region and the country. In fact, the Association of Governing Boards is doing a case study on our board because they have heard so much about it reflecting best practices. It will be one of the profiles in a book on effective boards.
- In July we will welcome three new board members; including Kevin Golden as the new SGA President.

A. <u>Joint Finance and Policy Committee:</u> (Reports given by Regent Denise McClelland)
Regent Attendees: Nancy A. Barone, Eric M. Brose, Chuck H. Brown, Martin C. Butler, Joyce E. Griffin, Charles E. Hawkins, K. Keith Kaseke, Terry L. Mann, Denise McClelland, Dennis Repenning, Brenda L. Wilson.

2010-2011 Operating Budget and Tuition Rates Update:
President Votruba, Dr. Sue Hodges Moore, Vice President for Planning, Policy & Budget, and Ken Kline, Budget Director, delivered an overview of the 2010-11 budget, proposed tuition rates for 2010-11, and steps the university will take to address financial challenges for 2011-12 and beyond to ensure long-term financial stability. The total operating budget for the university is proposed at $198 million compared to a $200 million total operating budget for 2009-2010.

The university is planning for a 1.5% recurring cut in state appropriations next fiscal year. Tuition rates are proposed at a 5% increase in undergraduate resident tuition. The proposed tuition rates are predicated on the assumption that the level of base funding provided by the State, comprised of a combination of state General Fund appropriations and federal stimulus funds, will not differ substantially from the levels of postsecondary institution base funding proposed in House- and Senate passed versions of HB 290. If the level of base funding enacted into law does differ from House- and Senate-passed proposals, the university may recommend adjustments to tuition rates at a later date.

Report on the Conclusions of the Division I Feasibility Study:
In January 2010, Northern Kentucky University (NKU) engaged NACDA Consulting to conduct a Division I feasibility study with regard to its athletic program. The consultants were tasked with the following deliverables:

- Conduct a divisional analysis – Division I and Division II
- Identify potential conference affiliation using benchmarking from like institutions in Division I
- Identify a new Women's Sport to Accommodate a Move to Division I

NACDA Consulting determined that NKU was in a great position to move to Division I athletics. The report concluded that NKU's size, academic programs, athletic facilities, and location are all a good fit for D-I.

The report suggested that a move to DI would cost the institution an additional 3.5-3.8 million dollars to be competitive. The bulk of the new costs would come in the form of scholarships, then increased staffing, and finally operating budgets.

NACDA Consulting provided benchmarking analysis of four mid-major conferences to determine NKU's fit as a conference member. The four conferences were the Horizon League, Summit League, Ohio Valley Conference and Atlantic Sun Conference. After an analysis of budgets, conference profiles, travel distances and institutional fit, it was determined that the Horizon League would be the best fit for NKU, if it were to pursue Division I membership.

The major findings of the study are as follows:

- NKU would need to add an additional women's sport to meet Division I minimum sport requirements. It was recommended that track & field be added
- NKU would need to increase scholarships from 69.20 to 120.73 equivalencies as a Division I program and develop a fifth year/summer school scholarship program ($1.2 million increase)
- NKU would need to increase the operating budgets for the 14 sports by more than $535,000
- NKU Athletics would need to increase staffing at both the administrative and coaching levels ($1.01 million increase)
- To support the move, the institution would need to explore student fee programs, which is currently more than 60% below the average Division I student fee revenue
- Athletics would need to increase revenue in sponsorships, fundraising and ticket sales
- Institution would need to explore modifications of existing contracts to expand external revenue opportunities for athletics

Audit Committee Report: (Report given by Regent Denise McClelland)
In attendance: Larry Meyer, James Votruba, Ken Ramey, Kimberly Luse, Terry Mann, Nancy Barone (via teleconference) and Denise McClelland (via teleconference).

Larry Meyer delivered a report to the Regent Audit Committee on the Financial and Operational Auditing status as we enter the last quarter of Fiscal Year 2010. The report included updates on completed audits, audits in process, current projects, audit follow-ups, The Waste, Abuse and Fraud hotline, the latest Audit Alert Newsletter and the Annual Risk Assessment.

B. Presidential Reports:

1. **Facilities Management:** (Vice President Ken Ramey)
   - **a.** Griffin Hall – Center for Informatics
   - **b.** Replace Electrical Power Distribution Infrastructure
   - **c.** Soccer Stadium
   - **d.** Hankins Hall Demolition Project

2. **Quarterly Financial Report**: (Vice President Ken Ramey)
   The Quarterly Financial Report depicts the financial activity of the University during the period of July 1, 2009, through March 31, 2010, compared to the annual budget and the prior year's activity.

3. **Fundraising Report**: (Vice President Gerard St. Amand)
   This report provides a summary of fundraising resources committed from July 1, 2009, through February 28, 2010, totaling $4,234,968.

4. **Research/Grants/Contracts**: (Provost Gail Wells)
   This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from February 1, 2010, through March 31, 2010. During this period, 13 grants awarded with a total award amount of $414,210. From July 1, 2009 through March 31, 2010, the cumulative total number of grants awarded is 84 totaling $7,749,124.

   Gail Wells noted that NKU was notified yesterday that we will be honored with a very prestigious STEP award from NFS. The award includes a grant totaling almost $600,000.

C. <u>Presidential Recommendations:</u>

Consent Agenda Items: Regent Chuck Brown seconded Regent Denise McClelland's motion to approve the *following Presidential Recommendations as listed: C-1 through C-12.* ***(Motion carried)***

1. **Academic Affairs Personnel Actions**:
   a. **Administrative Appointments**:
      Samuel Zachary, from Professor of Theatre (with tenure) and Interim Dean of the Colleges of Arts and Sciences, to Professor of Theatre (with Tenure) and Dean of the College of Arts and Sciences, effective April 1,2010.

   b. **Faculty Appointments**:
      Darius James Fatemi, Assistant Professor in the Department of Accountancy, effective August 16, 2010; **Andrea Gazzaniga**, Assistant Professor in the Department of English, effective August 16, 2010; **Kajsa Larson**, Assistant Professor in the Department of World Languages and Literatures, effective August 16, 2010; **Kelly Moffett**, Assistant Professor in the Department of English, effective August 16, 2010; **Lindsey Walters**, Assistant Professor in the Department of Biological Sciences, effective August 16, 2010.

   c. **Retirements**:
      Bonnie May, Lecturer (non-tenure track renewable) from the Department of History and Geography, effective May 14, 2010.

2. **Non-Academic Affairs Personnel Actions**:
   The following categories of non-academic personnel actions, which occurred between February 17, 2010 and April 1, 2010: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Retirements;

3. **Temporary Faculty Appointments**:
   Makoto Nakamura, Department of World Languages and Literatures, 2010-2011 Academic Year;
   Rick Breault, Department of Teacher Education, 2010-2011 Academic Year.

4. **First Year Faculty Continued Probation/Continued Probation with Conditions:**
   The following recommendations received approval: continued probation and continued probation with conditions to be removed.

5. **Amendments to *Faculty Policies and Procedures Handbook***:
   Amendments regarding Prior Service Credit to Section III Faculty Appointments B. Faculty Recruitment and Appointment and add cross reference to Section VII Tenure G.

6. **New Program – Registered Nurse to Master of Science in Nursing**:
   The College of Health Professions developed the proposed master's degree program, RN-MSN for individuals who have completed a diploma or associate degree program and acquired their RN license and would like to complete a master's degree program. An RN-MSN program allows the individual to pursue graduate studies toward the MSN in one program, rather than in two separate programs, the RN and MSN. The RN to MSN does not circumvent baccalaureate course work. With the addition of nursing bridge courses, it provides a sufficiently natural transition to and completion of the MSN in addition to meeting the baccalaureate degree requirements.

7. **Honorary Degree Candidate – Jean-Robert Cadet**:
   This is a request for authorization to bestow Jean-Robert Cadet, with an honorary degree of the Doctorate of Humane Letters from Northern Kentucky University. The degree is to be conferred at the May 8, 2010, commencement ceremonies at the NKU, Bank of Kentucky Center, Highland Heights, Kentucky.

8. **General Receipts Bonds Resolution, Series A**:
   Resolution which provides for the issuance of approximately $9,265,000 in General Receipt Obligations for the purpose of (i) financing the costs associated with the exterior repair and renovation of several housing facilities; (ii) refunding outstanding Series J and Series K Consolidated Educational Buildings Revenue Bonds, dated May 1, 1999 and the housing Facilities Lease dated April 1, 1998 and (ii) paying costs of issuance in connection with the Northern Kentucky University General Receipts Bonds, 2010 Series A.

9. **Revisions to the *Code of Student Rights and Responsibilities*:**
   Recommendation to approve the following major and minor revisions: Remove the Dean of Students from the Honor Code Process which will allow Academic Affairs to manage Honor Code violations. To re-locate all academic related policies into the same section of the Code. Improve case management and streamline judicial process. Protect student rights through proper due process by removing the Administrative Hearing. Remove the University President from the appeals process in both the Honor Code and behavioral disciplinary process when suspension and expulsion are recommended. Newly created University policies will need to be added to the revised Code. Reduce the disruption of student academic persistence when facing violations of the Honor Code. Reduce the

disruption of student academic persistence when facing violations of the classroom disruption policy. Re-locate the Sexual Offenses section and Sexual Harassment Policy under one heading in the new code titled Sexual Misconduct Policy.

10. Fiscal Year 2010-2011 Operating Budget:

The Board of Regents approves the resolution establishing and approving the Fiscal Year 2010-11 Unrestricted Current Fund Annual Operating Budget for Northern Kentucky University.

11. Fiscal Year 2010-2011Tuition Rate Schedule:

The Board of Regents endorses the tuition for 2010-2011 academic year at the rates proposed in the Authorized Schedule of Tuition

12. New Program – Doctorate of Nursing Practice

The Department of Advanced Nursing Science developed the proposed doctorate degree, DNP, in response to the need within the healthcare system for expert clinical teachers and clinicians. Practice-focused doctoral programs prepare graduates for the highest level of nursing practice beyond the initial preparation in the discipline. This program will maximize revenue by adding students who would otherwise not be attending NKU. In addition, this program will help nursing meet the crisis of the nursing faculty shortage.

D. <u>Executive Session:</u>

Regent Keith Kaseke seconded Regent Terry Mann's motion to enter into Executive Session. **(Motion carried)**

Regent Terry Mann seconded Regent Chuck Brown's motion to close Executive Session. **(Motion carried)**

At 3:25p.m., Regent Keith Kaseke seconded Regent Denise McClelland's motion to adjourn. **(Motion carried)**

Signature on file
Casey A. Lundberg
Senior Administrative Associate

Signature on file
Kimberly A. Luse
Executive Assistant to the President for Special Projects/Secretary to the Board of Regents

I, Denise H. McClelland, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on May 5th, 2010, and that such matters are still in force and effect.

Signature on file
Denise H. McClelland
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, September 14, 2010 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, September 15, 2010– 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Supporting Student Success (Davenport/Wells)
2. Development Program Update (St. Amand/Gorbandt)

Board Lunch
NKU, Student Union, Room 108 – Wednesday, September 15, 2010– 11:30 a.m.

11:30 a.m.

- Board of Regents Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, September 15, 2010– 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Welcome
- Oath of Office for New Regents – Kevin M. Golden, Nathaniel G. Smith, Elizabeth L. Thompson
- Roll Call
- Approval of May 5, 2010, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:20 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Fundraising Reports (July 1, 2009 through June 30, 2010 & July 2010) (St. Amand)
3. Research/Grants/Contract Reports (April 1, 2010 through June 30, 2010 & July 2010) (Wells/Fleming)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (between April 2, 2010 and August 17, 2010)
3. *Academic Affairs Temporary Appointments
4. *Academic Affairs Terminal Reappointment
5. *Continued participation in the Northern Kentucky Cooperative for Educational Services
6. *Organizational change in reporting structure for CINSAM
7. *Organizational change in Haile/US Bank College of Business
8. *Organizational change in reporting structure for the Kentucky Center for Mathematics (KCM)
9. *Organizational change regarding Community Connections and Educational Outreach
10. *General Education/Quality Enhancement Program (QEP) unit establishment
11. *Amendments to *Faculty Policies and Procedures Handbook*
12. *Authorization to lease buildings at the former Highland Heights Civic Center to Highland Heights
13. *Authorization to grant easements to SD1
14. *Acceptance of the contributions received by the NKU Foundation
15. *NKU Organizational Chart Updates
16. *Amendment to NKU's Administrative Regulations regarding appearances before the Board of Regents
17. *General Receipts Bonds Resolution, 2010 Series B

*Consent Agenda Items (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Casey Lundberg in the Office of the President, 572-5172, by 2 p.m., Monday, September 13, 2010).

2:00 p.m.

D. Executive Session

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
September 15, 2010

Regent Nancy Barone, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, September 15, 2010.

Regent Nancy Barone, Chair, welcomed the new Regents, Kevin M. Golden, Nathaniel G. Smith and Elizabeth L. Thompson

Roll Call: Nancy A. Barone, Eric M. Brose, Chuck H. Brown, Martin C. Butler, Kevin M. Golden, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Nathaniel G. Smith, Elizabeth L. Thompson, Brenda L. Wilson

Other Attendees: James Votruba, Kimberly Luse, Casey Lundberg, Tammy Knochelmann, Tracy Insko, Bill Farro, Matt Campbell, Sara Sidebottom, Zebulun Davenport, Joe Wind, Gerry St. Amand, Gail Wells, Sue Hodges Moore, Ken Ramey, Russ Kerdolff, Chris Bowling, Chris Cole, Tim Sofranko, Jeff Waple, Ken Kline, Mark Wasicsko, Margaret Myers, Denise Robinson, Pat Moynahan, Rick Meyers, Larry Meyer, Joel Robinson, Karen Kruer, Kathy Stewart, Don Gorbandt, Brian Haller

Regent Dennis Repenning seconded Regent Chuck H. Brown's motion to approve the minutes of the May 5, 2010 Board of Regents meeting. **(Motion carried)**

Regent Chuck H. Brown read the invocation.

Regent Nancy Barone performed the oath of office for the newly appointed Regents: Kevin M. Golden, Nathaniel G. Smith and Elizabeth L. Thompson. Each newly appointed or elected Regent swore to uphold all stipulations of the oath and faithfully execute, to the best of their ability, the duties of Regent of Northern Kentucky University according to law.

Presidential Comments
Dr. James Votruba noted:

- On behalf of the entire University, administration, faculty and staff would like to welcome Regent Thompson, Regent Smith and Regent Golden. We are pleased to have you as part of this governing board. We will have challenging times ahead but this board has been identified recently by the Association of Governing Boards, as one of the highest performing university governing boards in the country and they are doing a profile on us. It is one of my great pleasures to work with this board over the last 13 years and look forward to working with each of you.
- University is off to a very strong start. Enrollment is up approximately 2.5% (preliminary data at this point). We will enroll approximately 2,330 first year students. NKU is now pushing 16,000 students. NKU along with WKU are the two fastest growing universities in the commonwealth over this last decade. As we heard this morning the average ACT score is

21.4 for new freshmen, 26% of these scores are a 24 or above. We have seen a 25% increase in African Americans which represents great progress. It is also the case that we denied admission to nearly 500 students, which creates some challenges for our region at a time when more degrees are important. We saw an 8.3% increase in graduates this past year and over the last ten years we have averaged about a 5% increase in graduates on an annual basis. We have had a 71% increase in the past decade, which compares to a 32% by the state system as a whole.

- We still confront some daunting financial challenges at the state level; the government has the responsibility to balance the state budget, which is far from balanced at this point. Mary Lassiter, State Budget Director, reported on Monday that revenues did exceed projections this last quarter, that's a good sign but we still have a long way to go until we are out of the woods in terms of the state budget picture.
- Would like to highlight once again that the university is well-positioned given the financial challenges we confront. We did not use stimulus money to backfill recurring commitments and that allows us to be more thoughtful and deliberate in the way we reposition the university and its budget over the next several months.
- Our focus this year, as we discussed at the summer retreat, will be to enhance support for student success. We had a comprehensive review of our efforts and strategy earlier this morning. We will analyze our administrative structure and business processes for greater efficiency. Increase student credit hour productivity. We will ask the question to each line of our budget "What does this contribute to our core mission?" and the companion question "Is everything we are now doing and everything we are now investing in more important than what we need to be doing and investing in?"
- Our ultimate goal is to maintain our momentum. This has been over a decade of institution building here at NKU. It is a young institution and our biggest challenge is to mature as fast as this region needs us to mature. To speak frankly, we are not as mature a university as this region requires and by mature I mean breadth and depth of academic programs and capacity to meet the community's needs in a whole variety of ways. We are the youngest of Kentucky's four year public universities. Our biggest challenge is how to maintain our momentum. We will have to do this through tuition support (NKU is 71% tuition dependent) and reallocating from lower priority areas to higher priority areas.
- The Council on Postsecondary Education was on NKU's campus this last Sunday. The conference included a 2 hour briefing from and about the university. When you have a moment you might take a look at the presentation I shared with CPE. On page 3 are the recognitions the university has received recently. The CPE heard presentations from Dean Doug Perry, College of Informatics, Dean Denise Robinson, College of Health Professions and Kirsten Fleming, Interim Associate Provost for Research and Dean of Graduate Studies and Director of Kentucky Center for Mathematics.

A. **<u>Joint Finance and Policy Committee:</u> (** Reports given by Regent Dennis Repenning)
Regent Attendees: Nancy A. Barone, Eric M. Brose, Chuck H. Brown, Kevin M. Golden, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Nathaniel G. Smith, Elizabeth L. Thompson, Brenda L. Wilson. (Excused: Martin C. Butler)

Report on Supporting Student Success and College Completion:
Vice President's Wells and Davenport, Associate Vice President Joel Robinson, Associate Vice President Lisa Rhine and Vice Provost Pat Moynahan made a presentation on *Supporting our Students - Student Success and College Completion.*
The following topics were discussed:

- The freshman class profile
- The undergraduate student profile
- The progress made toward increasing degree production – over the last decade NKU has had the highest increase in the Commonwealth in the percentage of degrees conferred (74%).
- The many initiatives across the campus focused on support of student success
- A description of two promising initiatives: the University Connect and Persist initiative and the Foundations of Excellence initiative.

Emphasis was placed on the importance of continuing to build a culture of student success. This culture reflects our values, beliefs and commitment to putting learning and learners at the center of all we do. Effective leadership toward student success is a shared responsibility and everyone on campus should play an important role in supporting degree completion.

Development Program Update:
This morning Gerry St. Amand, VP for University Advancement, and Don Gorbandt, AVP for Development, provided the Board an overview of the university's fundraising program. The overview included 1) introducing the team of people who have primary responsibility to raise private funds to support the university, 2) reviewing the development performance metrics that are incorporated into the university's dashboard of key performance indicators, 3) reviewing national data re philanthropy in support of higher education to place NKU's efforts into a broader context, 4) discussing the details of NKU's fundraising program, including the organizational structure and specific fundraising activities, 5) discussing the management of private gift funds received through these fundraising efforts, and 6) updating the Board on the status of our continuing comprehensive campaign feasibility assessment.

The review demonstrated that there are components of our fundraising program that are showing signs similar to the national downward trend regarding philanthropy to support higher education. However, our overall fundraising results reflect that we continue to meet or exceed our key fundraising performance indicator goals and that our results are significantly more favorable than the national experience.

Audit Committee Report:
In lieu of a formal meeting, Larry Meyer provided the Regent Audit Committee with materials including updates on a new staff auditor, audits completed since April 2010, audits in process, audit services, audit follow-ups, The Fraud, Waste and Abuse hotline and the annual audit plan.

B. Presidential Reports:

1. **Facilities Management:** (Vice President Ken Ramey)
   - **a.** Griffin Hall – Center for Informatics
   - **b.** Hankins Hall Demolition Project
   - **c.** Residential Housing Exterior Renovation Project
   - **d.** Albright Health Center Upgrades Project
   - **e.** Civic Center Property
   - **f.** W. Frank Steely Library Plaza Replacement
   - **g.** Johns Hill Road Project
   - **h.** Sustainability

2. **Fundraising Report**: (Vice President Gerard St. Amand)
   This report provides a summary of fundraising resources committed from July 1, 2009, through June 30, 2010, totaling $ 5,525,591 and July 1, 2010 through July 31, 2010, totaling $60,230.

3. **Research/Grants/Contracts**: (Provost Gail Wells)
   This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from April 1, 2010 through June 30, 2010. During this period, 47 grants were awarded with a total award amount of $4,050,915. From July 1, 2009 through June 30, 2010, the cumulative total number of grants awarded is 131 totaling $11,800,039.

   This report also lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from July 1, 2010 through July 31, 2010. During this period, 10 grants were awarded with a total award amount of $4,336,206.

C. Presidential Recommendations:

Consent Agenda Items: Regent Nathaniel G. Smith seconded Regent Eric M. Brose's motion to approve the *following Presidential Recommendations as listed: C-1 through C-17.* ***(Motion carried)***

1. **Academic Affairs Personnel Actions**:
   - **a. Administrative Appointments**:

     William J. Attenweiler, Associate Professor in the Department of Psychological Science, and Associate Dean/Director of Integrative Studies, College of Arts and Sciences, effective July 1, 2010

     John E. Clarkin, Associate Professor with Tenure in the Department of Management and Director of the Entrepreneurship Institute, effective June 15, 2010

     Daniel J. Curtin, Professor of Mathematics, Department of Mathematics and Statistics, and Associate Dean/Interim Director of the Center for Integrative Natural Science and Mathematics, College of Arts and Sciences, effective July 1, 2010

     Shawn Faulkner, from Associate Professor in the Department of Teacher Education, to Associate Professor and Chair in the Department of Teacher Education, effective June 1, 2010

     Kirsten Fleming, from Professor and Executive Director of the Kentucky Center for Mathematics, to Professor, Executive Director of the Kentucky Center for Mathematics, and Interim Associate Provost for Research and Dean of Graduate Programs, effective July 1, 2010

Zachary Hart, from Associate Professor in the Department of Communication, to Associate Professor and Interim Department Chair in the Department of Communication, effective July 1, 2010 through June 30, 2011
Francois Le Roy, Associate Professor with Tenure in the Department of History and Geography and Interim Director of the Office of Education Abroad, effective August 16, 2010 through May 13, 2011
Larry Sexton, from Professor in the Department of Counseling, Social Work and Leadership, to Professor and Chair in the Department of Counseling, Social Work and Leadership, effective July 1, 2010
Vera Beth Stieha, Lecturer (temporary)/Interim Director of General Education, effective August 16, 2010 through May 13, 2011
Jamie Tanzman, Lecturer (non-tenure track renewable) and Director of the American English Language Program, effective July 1, 2010

b. Faculty Appointments:

Alison Antes, Assistant Professor of Organizational Leadership, Department of Political Science and Criminal Justice, effective August 16, 2010
Thomas Brackman, Lecturer (non-tenure track renewable), Center for Integrative Natural Science and Mathematics (CINSAM), effective August 16, 2010
Donna Breault, Associate Professor in the Department of Counseling, Social Work and Leadership, effective August 16, 2010
Ishbel Burr, Director / Lecturer (non-tenure track renewable), First Year Programs, effective July 1, 2010
Rachael Clark, Lecturer (non-tenure track renewable) of Psychology and Retention Specialist, Department of Psychological Science, effective August 16, 2010
Jennifer Cline, Assistant Professor in the Department of Advanced Nursing Studies, effective July 1, 2010
Vanessa Hunn, Assistant Professor in the Department of Counseling, Social Work and Leadership, effective August 16, 2010
Manori Jayasinghe, Post-Doctoral Fellow/Lecturer (non-tenure track renewable), Department of Chemistry, effective August 1, 2010
Jayne Lancaster, Assistant Professor in the Department of Advanced Nursing Studies, effective July 1, 2010
Dixie Leather, Lecturer (non-tenure track renewable) in the Advising Center, College of Education and Human Services, effective August 9, 2010
Elizabeth Locker, Assistant Professor of Law, Chase College of Law, effective August 16, 2010
Kenneth Oswald, Lecturer (non-tenure track renewable) in the Honors Program, effective August 16, 2010
Donna Spears, Assistant Professor of Chase Law Library Services, Chase College of Law, effective July 1, 2010
Jonathan Thomas, Assistant Professor in the Department of Teacher Education, effective August 16, 2010
Jack Warfield, Lecturer (non-tenure track renewable) in the Department of Advanced Nursing Studies, effective August 16, 2010
Brett Zyromski, Assistant Professor in the Department of Counseling, Social Work and Leadership, effective August 16, 2010

c. Resignations:

Ishbel Burr, from Lecturer in the Department of Psychological Science, effective June 30, 2010, to Director/Lecturer (non-tenure track renewable) in the Office of First Year Programs, effective July 1, 2010
Gary Clayton, from Professor with Tenure and Chair of the Department of Economics and Finance, to Professor with Tenure in the Department of Marketing, Economics, and Sports Business, effective August 16, 2010
Jayce Farmer, Assistant Professor, Department of Political Science and Criminal Justice, effective August 6, 2010
Vickie Coleman Gallagher, Assistant Professor in the Department of Management, effective May 14, 2010
Stephanie M. Henderson, Lecturer (non-tenure track renewable) and Research & Instructional Services Librarian, effective June 26, 2010
Jennie LaMothe, Lecturer in the Office of First-Year Programs, effective May 14, 2010
Pamela Luken, Advisor/Lecturer in the Advising Center, Haile/US Bank College of Business, effective June 30, 2010
Kenneth Ryack, Assistant Professor in the Department of Accountancy, effective May 14, 2010
Darlene Samuelson, from the full-time position of Lecturer (non-tenure track renewable) to part-time in the Department of Nursing, effective May 14, 2010
Cady Short-Thompson, from Chair and Professor with Tenure in the Department of Communication, effective June 30, 2010
Beth Wells, Math Center Coordinator, Learning Assistance Center, effective August 31, 2010

d. Phased Resignations

Paul D. Cooper, Associate Professor in the Department of Construction Management, Phased Resignation Program Participant, effective August 16, 2010 (participation for two academic years)

e. Retirements

Nina Key, Lecturer (non-tenure track renewable), Department of Music, effective May 9, 2008

f. Leaves Paid/Unpaid

Michael Altekruse, Professor and Chair of the Department of Counseling, Social Work, and Leadership, paid re-entry leave with benefits for the 2010-2011 academic year
Alina Campan, Assistant Professor in the Department of Computer Science, unpaid leave for spring semester 2011, with benefits
Gary Clayton, Professor and Chair of the Department of Economics and Finance, paid re-entry leave with benefits for the fall 2010 semester
Kathleen Fuegen, Assistant Professor, Department of Psychological Science, combination of paid sick leave and unpaid leave, with benefits, for the Spring 2011semester
Melissa Ibarra, Lecturer, Department of World Languages and Literatures, unpaid leave with benefits for the 4-week period January 10 – February 7, 2011
Suzanne Soled, Professor and Chair of the Department of Teacher Education, paid reentry leave with benefits, fall 2010

2. Non-Academic Affairs Personnel Actions:

The following categories of non-academic personnel actions, which occurred between April 2, 2010 and August 17, 2010: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular and Regular to Contract; Departures; Retirements; and Administrative.

3. **Temporary Faculty Appointments**:

Steven Austen, Department of Chemistry, 2010-11 Academic Year; **Linda West**, CINSAM & KCM (joint), 2010-11 Academic Year; **Francis Sheehy**, CINSAM, 2010-11 Academic Year; **Nancy Bowers**, Department of English, 2010-11 Academic Year; **Kristi Brock**, Department of English, 2010-11 Academic Year; **Paul Goodin**, Department of English, 2010-11 Academic Year; **Angela Hesson**, Department of English, 2010-11 Academic Year; **Stephen Leigh**, Department of English, 2010-11 Academic Year; **Joe Moffett,** Department of English, 2010-11 Academic Year; **Virginia Paddock,** Department of English, 2010-11 Academic Year; **Mary Bucklin**, Department of History & Geography, 2010-11 Academic Year; **Terence Fleming**, Department of History & Geography, 2010-11 Academic Year; **Michael Hinckley**, Department of History & Geography, 2010-11 Academic Year; **Bonnie May**, Department of History & Geography, 2010-11 Academic Year; **Shelley Cain**, Department of Mathematics & Statistics, 2010-11 Academic Year; **Michael Collins**, Department of Mathematics & Statistics, 2010-11 Academic Year; **Chrystal Culbertson**, Department of Mathematics & Statistics, 2010-11 Academic Year; **Barbara Phillips**, Department of Mathematics & Statistics, 2010-11 Academic Year; **Laura Urbanski**, Department of Mathematics & Statistics, 2010-11 Academic Year; **James Bohache**, Department of Physics & Geology, 2010-11 Academic Year; **Chari Ramkumar**, Department of Physics & Geology, 2010-11 Academic Year; **Kimberely Adams**, Department of Political Science & Criminal Justice, 2010-11 Academic Year; **Frederick Brockmeier**, Department of Political Science & Criminal Justice, 2010-11 Academic Year; **Gail O'Brien**, Department of Political Science & Criminal Justice, 2010-11 Academic Year; **Fred Rhynhart**, Department of Political Science & Criminal Justice, 2010-11 Academic Year; **Caress Schenk**, Department of Political Science & Criminal Justice, 2010-11 Academic Year; **Kathleen O'Connor,** Department of Psychological Science, 2010-11 Academic Year; **Jessica Park**, Department of Psychological Science, 2010-11 Academic Year; **Nicole Grant**, Department of Sociology, Anthropology & Philosophy, 2010-11 Academic Year; **Robert Kenney**, Department of Sociology, Anthropology & Philosophy, 2010-11 Academic Year; **Jamie McCauley**, Department of Sociology, Anthropology & Philosophy, 2010-11 Academic Year; **Michael Simonton**, Department of Sociology, Anthropology & Philosophy, 2010-11 Academic Year; **Kristina Vise**, Department of Sociology, Anthropology & Philosophy, 2010-11 Academic Year; **Heather Britt**, Department of Theatre & Dance, 2010-11 Academic Year; **Michael Hatton**, Department of Theatre & Dance, 2010-11 Academic Year; **Christine Jones**, Department of Theatre & Dance, 2010-11 Academic Year; **Matthew Albritton**, Department of Visual Arts, 2010-11 Academic Year; **Heidi Endres**, Department of Visual Arts, 2010-11 Academic Year; **Martin Meersman**, Department of Visual Arts, 2010-11 Academic Year;**Jeremy Goebel**, Department of World Languages & Literatures, 2010-11 Academic Year; **Melissa Ibarra**, Department of World Languages & Literatures, 2010-11 Academic Year; **Makoto Nakamura**, Department of World Languages & Literatures, 2010-11 Academic Year; **Dolores Schreiner**, Department of World Languages & Literatures, 2010-11 Academic Year; **Lorraine Ruh**, Department of Accountancy, 2010-11 Academic Year; **Robert Salyer**, Department of Accountancy, Fiscal Year; **Steve Devoto**, Department of Economics & Finance, 2010-11 Academic Year;**Dan Kent**, Department of Management, 2010-11 Academic Year; **Louis Manchise**, Department of Management, 2010-11 Academic Year; **Donna Crane**, Department of Marketing, 2010-11 Academic Year; **Matthew Asare**, Department of Kinesiology & Health, 2010-11 Academic Year; **Lynn Smith**, Department of Nursing, 2010-11 Academic Year; **Anthony Chowning**, Department of Communication, 2010-11 Academic Year; **Michele Day**, Department of Communication, 2010-11 Academic Year; **Stephanie Klatzke**, Department of Communication, 2010-11 Academic Year; **James Skaggs**, Department of Communication, 2010-11 Academic Year; **Larry Putt**, Chase Law, Fall Semester; **Doug Singleton**, First Year Programs, 2010-11 Academic Year; **Jill Easter**, Learning Assistance Center, Fall Semester; **Karen M**. **O'Conner**, Learning Assistance Center, Fall Semester; **Gregory Walsh**, Learning Assistance Center, Fall Semester.

4. **Terminal Reappointment:**
   Isaac Larison, Department of Teacher Education, 2010-11 Academic Year

5. **Continued Participation in the Northern Kentucky Cooperative for Educational Services:**
   That the Board of Regents authorizes continued participation in the Northern Kentucky Cooperative for Educational Services, a separate administrative board created by Interlocal Cooperative Agreement between certain school districts in the Northern Kentucky area and Northern Kentucky University, and for voting purposes, appoints an alternate representative as requested by the Cooperative.

6. **Organizational change in reporting structure for CINSAM:**
   That the Board of Regents approve an organizational change in reporting structure for the Center for Integrative Natural Science and Mathematics (CINSAM), from status as an independent unit to the Office of the Dean of the College of Arts and Sciences.

7. **Organizational change in Haile/US Bank College of Business:**
   That the Board of Regents approve an organizational change in Haile/US Bank College of Business.

8. **Organizational change in reporting structure for the Kentucky Center for Mathematics (KCM)**
   That the Board of Regents approve an organizational change in reporting structure for the Kentucky Center for Mathematics (KCM), from supervision of the Office of the Dean of the College of Arts and Sciences to the Office of the Vice President for Academic Affairs and Provost.

9. **Organizational change regarding Community Connections and Educational Outreach**
   That a component of the Community Connections area be merged with the Educational Outreach unit and report to the current Director of Educational Outreach. The area of NKU Connections will be moved from its current reporting to the Associate Provost for Research and Dean of Graduate Studies to the Vice Provost, who will provide oversight to this unit.

10. **General Education/Quality Enhancement Program (QEP) unit establishment**
    That an organizational unit for the General Education/Quality Enhancement Program (QEP) be established under the direction of a Director for General Education, who will report to the Vice Provost.

11. **Amendments to *Faculty Policies and Procedures Handbook***
    That the following changes to the Faculty Policies and Procedures Handbook receives Board of Regents approval.

12. **Authorization to lease buildings at the former Highland Heights Civic Center to Highland Heights**
    That the administration be authorized to lease the maintenance buildings located at the former Highland Heights Civic Center site to the City of Highland Heights.

13. **Authorization to grant easements to SD1**
    That the administration be authorized to grant the following easements to SD1, all located on the site of, or adjacent to the site of the university's new Soccer Stadium.

14. Acceptance of the contributions received by the NKU Foundation
The Board of Regents officially hereby accepts contributions totaling **$15,000.00** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period July 1, 2010 through July 31, 2010 per the below list.

15. NKU Organizational Chart Updates
That the attached organizational structure receives the Board of Regents approval.

16. Amendment to NKU's Administrative Regulations regarding appearances before the Board of Regents
That the Board of Regents approve changes to Administrative Regulation AR-II-1.0-1 Request for Appearances Before the Board of Regents, to include public participation and guidelines and requirements for those wishing to participate.

17. General Receipts Bonds Resolution, 2010 Series B
That the Board of Regents adopt the attached Resolution which provides for the issuance of up to $13,740,000 in General Receipt Obligations for the purpose of (i) refunding in advance of maturity a housing facilities lease dated July 1, 2002 (ii) establishing an Escrow Trust Agreement with the 2002 Trustee to provide for the redemption of the 2002 housing facilities lease and (iii) paying costs of issuance in connection with the Northern Kentucky University General Receipts Bonds, 2010 Series B.

D. Executive Session:

Regent Kevin M. Golden seconded Regent Charles E. Hawkins motion to enter into Executive Session. **(Motion carried)**

Regent Terry Mann seconded Regent Chuck Brown's motion to close Executive Session. **(Motion carried)**

At 3:00p.m., Regent Nathaniel G. Smith seconded Regent Eric M. Brose motion to adjourn. **(Motion carried)**

Signature on file
Casey A. Lundberg
Senior Administrative Associate

Signature on file
Kimberly A. Luse
Executive Assistant to the President for Special Projects/Secretary to the Board of Regents

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on September 15, 2010, and that such matters are still in force and effect.

Signature on file
Dennis Repenning
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, November 9, 2010 – 6:00 p.m.

6:00 p.m.

- Regents Dinner and Executive Session at NKU (Student Union Room 108)

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, November 10, 2010– 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Annual Financial Report (Ramey/Kerdolff)
2. NKU's Faculty & Staff Recruitment Process (Ramey/Wells)

Board Lunch
NKU, Student Union, Room 108 – Wednesday, November 10, 2010– 11:30 a.m.

11:30 a.m.

- Board of Regents Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, November 10, 2010– 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of September 15, 2010, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary & Audit Committee Report

1:20 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Fundraising Report (July 1, 2010 through September 30, 2010) (St. Amand)
3. Research/Grants/Contracts Report (August 1, 2010 through September 30, 2010) (Wells/Fleming)
4. Annual Financial Report (July 1, 2009 through June 30, 2010) (Ramey/Kerdolff)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (between August 17, 2010 and October 12, 2010)
3. *Academic Affairs Emeritus Status
4. *Acceptance of 2010 Audit Reports
5. *Amendments to *Faculty Policies and Procedures Handbook* - Post Tenure Review
6. *Proposal to formalize the Institute for Talent Development and Gifted Studies in COEHS
7. *Creation of the Center for Public History
8. *Acceptance of the contributions received by the NKU Foundation
9. *Proposal to merge two Associate Provost positions and their associated functions

2:00 p.m.

D. Executive Session

*Consent Agenda Items (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Casey Lundberg in the Office of the President, 572-5172, by 2 p.m., Monday, November 8, 2010).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
November 10, 2010

Regent Terry L. Mann, Vice Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, November 10, 2010.

Regent Kevin M. Golden read the invocation.

Regent Terry L. Mann, Vice Chair, and President James C. Votruba recognized Dr. Kimberly Luse, Executive Assistant to the President for Special Projects/Secretary to the Board of Regents, with a Resolution thanking her for her service to NKU.

Roll Call: Eric M. Brose, Martin C. Butler, Kevin M. Golden, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Nathaniel G. Smith, Elizabeth L. Thompson, Brenda L. Wilson (Excused: Nancy A. Barone and Chuck H. Brown)

Other Attendees: James Votruba, Kimberly Luse, Casey Lundberg, Tammy Knochelmann, Tracy Insko, Bill Farro, Matt Campbell, Sara Sidebottom, Zebulun Davenport, Joe Wind, Gerry St. Amand, Gail Wells, Sue Hodges Moore, Ken Ramey, Russ Kerdolff, Chris Bowling, Chris Cole, Tim Sofranko, Jeff Waple, Margaret Myers, Denise Robinson, Rick Meyers, Kathy Stewart, Don Gorbandt, Larry Blake, Katie Herschede, Lori Southwood, Scott Eaton, Elaine Jarchow, Mary Ryan, Kevin Besnoy, Kimberly Code

Regent Kevin M. Golden seconded Regent Nathaniel G. Smith's motion to approve the minutes of the September 15, 2010 Board of Regents meeting. **(Motion carried)**

Presidential Comments
Dr. James Votruba noted:

- Academic year is going well on all fronts as we approach the end of the Fall semester.
- You will notice the three portraits of NKU's former presidents on the wall here. We had a wonderful celebration of their lives and service while we unveiled these portraits.
- Budget restructuring and our focus on productivity is moving along very nicely. We will engage outside consultants to help us look at some of our core business processes and administrative structure in hopes of devoting more and more of our resources to our core mission, which is the education of our students.
- Would like to thank Provost Wells, the deans and department chairs for working on this productivity piece. This is not easy and it challenges our conventional way of going about business in years past. I appreciate their leadership because this is very important and represents, in my view, the only pathway to financial self-sufficiency even in the face of uncertain conditions in Frankfort. I also applaud our vice presidents as they look at their divisions.
- The men's soccer team won the GLVC tournament last weekend and the women's team was runner-up. Both teams will compete in the NCAA tournament this weekend.

- Once again, I would like to acknowledge Kimberly Luse's transition as she leaves NKU to become Assistant to the President and Director of University Relations at Fairmont State University in West Virginia. I would like to thank Kim for her contributions to the university and support of the Board of Regents. She has served with great distinction.
- At the same time that we send Kim off for the next chapter of her career, we welcome Katie Herschede as the new Executive Assistant to the President and Secretary to the Board of Regents. She is a graduate of NKU, served two years as both SGA President and student Regent and was instrumental in building support for our Student Union. She will move from her current position in Government and Community Relations to her new role in the President's Office. Katie has a masters degree in higher education from the University of South Carolina. She is committed to a career in higher education and it will be wonderful to have her.

A. <u>Joint Finance and Policy Committee:</u> (Reports given by Regent Dennis Repenning)
Regent Attendees: Eric M. Brose, Martin C. Butler, Kevin M. Golden, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Nathaniel G. Smith, Elizabeth L. Thompson, Brenda L. Wilson (Excused: Nancy A. Barone and Chuck H. Brown)

1. Report on the 2009-2010 Annual Financial Report:
Vice President Ramey and Comptroller Kerdolff presented highlights from the University's 2009-10 Annual Financial Report. Laurie Haun attended the meeting for the University's audit firm, Crowe Horwath. Highlights of the presentation included:

- Overall, the University's financial position remained strong at June 30, 2010.
- The University's total net assets increased by $26 million, primarily due to capital appropriations and capital grants and gifts related to construction projects and equipment purchases.
- Total revenues increased by $10 million in fiscal year 2009, including a $4 million increase in net tuition.
- The University's recurring general appropriation was cut by 4%, or $2.2 million, for fiscal year 2009 and another 7%, or $3.6 million, for fiscal year 2010. The University did receive $3.6 million in non-recurring stimulus funding in fiscal year 2010.
- The Commonwealth and the University continue to face challenging economic conditions. However, the University's overall financial condition remains strong at year end and the University continues to make significant progress despite the current economic uncertainties.

2. Report on NKU's Faculty & Staff Recruitment Process:
NKU Hiring Compensation Process and Controls
Vice President Gail Wells, Senior Director of Human Resources, Lori Southwood and Vice President Ken Ramey gave a presentation on the universities hiring and compensation practices. An overview of the selection process for both faculty and staff were highlighted. While the two divisions follow parallel paths, there are distinct differences and these were shared with the Board this morning. Areas highlighted were Vacancy Management Process, Faculty Tenure Process and Review, Position Classification and Compensation, Position Postings, Screening and Selection process, Contract employees, and Pre-Employment Process and Controls.

3. <u>Audit Committee Report:</u>

In attendance: Regent Nancy Barone, Regent Terry Mann, Regent Dennis Repenning, Crowe Horwath executives Dennis Obyc and Laurie Haun, James Votruba, Ken Ramey, Kimberly Luse, Larry Meyer, Russ Kerdolff, Leah Stewart, Karen Mefford, Doug Wells

The Audit Committee and University met with Dennis Obyc and Laurie Haun, representatives from the University's external auditors, Crowe Horwath LLP, and University management on September 30, 2010.

Mr. Obyc and Ms. Haun discussed all of the matters related to the audit that they are required to communicate to the Audit Committee in accordance with auditing standards. Ms. Haun highlighted the University's fiscal year 2010 audited financial statements and the audit of the University's federal programs required under OMB Circular A-133. Ms. Haun reported to the Audit Committee that the financial statements and the A-133 audit report were issued with unqualified opinions, commonly referred to as a clean opinion, and there were no material internal control weaknesses or material instances of noncompliance with federal regulations.

The A-133 audit findings related to the University's major federal programs were discussed in detail with the Audit Committee, including management's response and corrective action plan for each finding. Mr. Obyc and Ms. Haun also discussed their report to management and the Audit Committee on internal control based on the audit of the University's financial statements. The auditor's comments and observations were discussed in detail as well as management's responses.

B. Presidential Reports:

1. **Facilities Management:** (Vice President Ken Ramey)
   - **a.** Griffin Hall – Center for Informatics
   - **b.** Hankins Hall Demolition Project
   - **c.** Residential Housing Exterior Renovation Project
   - **d.** Albright Health Center Upgrades Project
   - **e.** Civic Center Property
   - **f.** W. Frank Steely Library Plaza Replacement
   - **g.** Johns Hill Road Project
   - **h.** Callahan Pedestrian Corridor
   - **i.** Sustainability

2. **Fundraising Report**: (Vice President Gerard St. Amand)
   This report provides a summary of fundraising resources committed from July 1, 2010 through September 30, 2010 totaling $519,532.

3. **Research/Grants/Contracts**: (Provost Gail Wells)
   This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from August 1, 2010 through September 30, 2010. During this period, 29 grants were awarded with a total award amount of $2,351,224. From July 1, 2010 through September 30, 2010, the cumulative total number of grants awarded is 39 totaling $6,687,430.

4. **Annual Financial Report:** (Vice President Ken Ramey & Comptroller Russ Kerdolff)

The Annual Financial Report depicts the financial activity of the University for the fiscal year July 1, 2009 through June 30, 2010.

C. Presidential Recommendations:

Consent Agenda Items: Regent Charles E. Hawkins seconded Regent Nathaniel G. Smith motion to approve the *following Presidential Recommendations as listed: C-1 through C-9.* ***(Motion carried)***

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

David Gutmann, from Part-Time Faculty, to part-time Interim Director of the American English Language Programs, effective November 23, 2010 through May 16, 2011.

b. Faculty Appointments:

Andrea Brooks, Instructional Services Librarian / Lecturer (non-tenure track renewable) in the Department of Research and Instructional Services, W. Frank Steely Library, effective October 1, 2010.

Kimberly Jones, Instructional Services Visiting Librarian / Lecturer (non-tenure track renewable) in the Department of Research and Instructional Services, effective October 11, 2010.

Jack Warfield, Lecturer (non-tenure track renewable) in the Department of Advanced Nursing Studies effective August 16, 2010.

c. Leaves Paid/Unpaid

Jamie Tanzman, Director of American English Language Program, unpaid leave without benefits, beginning November 23, 2010 through May 16, 2010

2. Non-Academic Affairs Personnel Actions:

The following categories of non-academic personnel actions which occurred between August 17, 2010 and October 12, 2010: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular & Regular to Contract; Departures; and Administrative.

3. Emeritus Status:

Elaine Jarchow, Dean of International Education, Executive Director, International Education Center, and Professor in the Department of Teacher Education in the area of Educational Leadership, Northern Kentucky University.

4. Acceptance of 2010 Audit Reports:

That the Board of Regents (1) accept of the Northern Kentucky University audited financial statements for the fiscal year ended June 30, 2010; (2) accept of the independent auditor's report on internal control based on the audit of the University's financial statements and (3) accept of the federal program audit required by U.S. Office of Management and Budget Circular A-133.

5. Amendments to *Faculty Policies and Procedures Handbook* - Post Tenure Review:

That the Board of Regents approve amendments pertaining to Post Tenure Review, specifically Section X.F., The Review Process.

6. Proposal to formalize the Institute for Talent Development and Gifted Studies in COEHS:

That the Board of Regents approve the following proposal to formalize the Institute for Talent Development and Gifted Studies in the College of Education and Human Services at NKU.

7. **Creation of the Center for Public History:**
That the Board of Regents approve the creation of the Center for Public History at Northern Kentucky University.

8. **Acceptance of the contributions received by the NKU Foundation:**
The Board of Regents officially hereby accepts contributions totaling **$244,147.50** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period August 1, 2010 through September 30, 2010.

9. **Proposal to merge two Associate Provost positions and their associated functions:**
That the Board of Regents approve the proposal presented to merge two Associate Provost positions and their associated functions, which will result in an overall savings from a reduction in personnel needed to oversee the functions. Efficiencies and synergies will result from this realignment.

At 1:25p.m., Regent Dennis Repenning seconded Regent Kevin M. Golden motion to adjourn. **(Motion carried)**

Signature on file
Casey A. Lundberg
Senior Administrative Associate

Signature on file
Kimberly A. Luse
Executive Assistant to the President for Special Projects/Secretary to the Board of Regents

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on November 10, 2010, and that such matters are still in force and effect.

Signature on file
Dennis Repenning
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, January 11, 2011 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, January 12, 2011– 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Faculty Development Awards (Wells/Houghton/Barton)
2. Kentucky Center for Mathematics (Wells/Fleming)
3. Griffin Hall Tour (Board members only) (Ramey)

Board Lunch
NKU, Student Union, Room 108 – Wednesday, January 12, 2011– 11:30 a.m.

11:30 a.m.

- Board of Regents Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, January 12, 2011– 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of November 10, 2010, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:20 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Quarterly Financial Report (July 1, 2010 through September 30, 2010) (Ramey)
3. Fundraising Report (July 1, 2010 through November 30, 2010) (St. Amand)
4. Research/Grants/Contracts Report (October 1, 2010 through November 30, 2010) (Wells/Fleming)
5. Faculty Development Awards – Project Grants (2011-2012) (Wells)
6. Faculty Development Awards – Summer Fellowships (2011-2012) (Wells)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (between October 12, 2010 and December 7, 2010)
3. *Academic Affairs Sabbatical Leave Recommendations (2011-2012)
4. *Proposal for unit name change – IMI to CAI
5. *Amendments to NKU's Governing Regulations regarding University Organization
6. *Acceptance of the contributions received by the NKU Foundation
7. *General Receipt Bond Resolution

2:00 p.m.

D. Executive Session

*Consent Agenda Items (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Casey Lundberg in the Office of the President, 572-5172, by 2 p.m., Monday, January 10, 2011).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
January 12, 2011

Regent Nancy A. Barone, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, January 12, 2011.

Regent Nancy A. Barone asked for a moment of silence, in place of the invocation.

Roll Call: Nancy A. Barone, Eric M. Brose, Martin C. Butler, Kevin M. Golden, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Nathaniel G. Smith, Elizabeth L. Thompson, Brenda L. Wilson (Excused: Chuck H. Brown)

Other Attendees: James Votruba, Katie Herschede, Casey Lundberg, Tammy Knochelmann, Tracy Insko, Chris Bowling, Bill Farro, Sara Sidebottom, Zebulun Davenport, Joe Wind, Gerry St. Amand, Gail Wells, Sue Hodges Moore, Ken Ramey, Russ Kerdolff, Chris Cole, Tim Sofranko, Jeff Waple, Rick Meyers, Mary Lepper, Kathy Stewart, Don Gorbandt, Chuck Miller, Pat Moynahan, Melissa Gorbandt, Joel Robinson, Larry Meyer, Ken Kline, Doug Perry, Karen Kruer, Mary Ryan, Mark Wasicsko, Suzanne Soled, Larry Blake

Regent Kevin M. Golden seconded Regent Dennis Repenning's motion to approve the minutes of the November 10, 2010 Board of Regents meeting. **(Motion carried)**

Presidential Comments
Dr. James Votruba noted:

- We are off to a strong start this spring. Preliminary undergraduate enrollment is up 2.1%, date to date from last spring to this spring. Graduate enrollment is up 11.6%, Law is up 3.6%. Total increase is about 459 students or 3.2% for this spring.
- Applications for fall 2011 are up 12%, date to date, on the heels of last year when we were up a little over 20% from the preceding year. Transfer applications are up 17%.
- These are all significant numbers and it bodes well for our enrollments this spring and fall.
- This spring and summer we will invest approximately $1.5 million in academic renovations, including the replacement of 2,500 1970 era tablet arm chairs. We will be renovating Landrum 506 auditorium, Landrum 110 auditorium, Health Center 308 auditorium, as well as some other classrooms.
- Our budget restructuring process continues to go very well. On the expense side, we've identified somewhere between $3.6 million and $4 million towards our $5 million target to reallocate to higher priority activities. On the productivity side, we are in the final

stages of establishing department enrollment targets that will increase student credit hour productivity per full-time faculty member by 10%.

- We now have four year program plans for every NKU academic program. This allows a student to graduate in four years and we are encouraging that to happen.
- Huron Group is now on campus reviewing five areas: student interaction with the campus business units, procurement, SAP optimization, IT strategic investments and campus organizational structure. Our intent is to improve the quality of our services and our campus as well as find additional resources that can be reallocated to our most important academic priorities. Huron will complete their work by March 4th and we will have a report to the Board at the March meeting.
- The bottom line is that we believe we will once again be able to make significant investments in our campus.
- I want to recognize our vice presidents, our deans and directors, our chairs and our faculty and staff for their leadership on behalf of this process. This campus has once again demonstrated that by working on behalf of some commonly shared goals we can accomplish some very important things.
- I would also like to recognize the leadership of Faculty Senate, Staff Congress and our Student Government Association for their leadership and support in this effort.
- Finally, I want to publically acknowledge that Kentucky has managed to minimize the impact of the current recession on its colleges and universities.
- I introduced Jason Willis as the new Director of Public Safety earlier and hope you all have a chance to meet him.
- We are also pleased to welcome Katie Herschede to her first Board meeting as the Executive Assistant to the President and Secretary to the Board of Regents. Katie is a graduate of NKU, two term president of SGA and two year member of the Board of Regents. She has worked the past four years under Vice President Joe Wind, in the Government and Community Affairs division. She has an outstanding career ahead of her and we're pleased to have her services in this new role.

A. <u>Joint Finance and Policy Committee:</u> (Reports given by Regent Dennis Repenning)
Regent Attendees: Nancy A. Barone , Eric M. Brose, Martin C. Butler, Kevin M. Golden, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Nathaniel G. Smith, Elizabeth L. Thompson, Brenda L. Wilson (Excused: Chuck H. Brown)

1. Report on Faculty Development Programs:
This morning Dr. Gail Wells, Vice President for Academic Affairs and Provost, presented an overview of the three faculty development programs offered at NKU – sabbatical leaves, summer fellowships, and project grants. Dr. Barbara Houghton, Professor, Department of Visual Arts and Dr. Hazel Barton, Associate Professor, Department of Biological Sciences,

shared their 2009-10 sabbatical leave research project experiences with the Board. A booklet summarizing 2009-10 faculty development award activities was shared with the Board.

2. **Report on Kentucky Center for Mathematics:**
Dr. Kirsten Fleming, Executive Director of the Kentucky Center for Mathematics (KCM), gave an informative overview of the work of the statewide center which is housed at Northern Kentucky University. The goal of the KCM is to enhance the teaching and learning of mathematics in the Commonwealth of Kentucky. To accomplish this goal the KCM works collaboratively with the public universities, the Council on Postsecondary Education and the Kentucky Department of Education. The KCM's activities include participating in programs to increase the Science, Technology, Engineering and Mathematics pipeline; making available resources for students, parents and teachers; advising on mathematics education issues and trends; offering professional development programs; and sponsoring mathematics education research. The efficacy of these activities is evaluated and disseminated locally, regionally and nationally. The KCM's research-based professional development programs for P-12 teachers and Adult Educators have been, or are being, offered in more than 110 of Kentucky's 120 counties. The impact of these programs, and in particular the Primary Mathematics Intervention Program, on student achievement in mathematics has been remarkable. The KCM's spring 2011 areas of emphasis are: writing a proposal for the Council on Postsecondary Education requesting that the KCM continue to be housed at NKU for the 5-year period 2011 – 2016; launching the Kentucky Numeracy Project; assisting P-12 schools and postsecondary institutions with implementing the requirements of Senate Bill 1 (2009); and organizing and host the KCM Conference that will be held on February 24th and 25th in Lexington.

3. **Report on Griffin Hall tour:**
This morning the Board heard a brief presentation by Mr. Larry Blake, the Assistant Vice President for Facilities Management, which set the stage for a tour of Griffin Hall. The presentation included a description of what to expect in the completed building and the current status of the project. The building is scheduled for substantial completion in May 2011 and is within the approved $52,550,000 budget. $11,750,000 of the budgeted building cost will be invested in the furnishings and technology. Move-in by faculty and staff will begin in June 2011 and the first classes will be held in the fall 2011. Others key Facilities Staff involved in the project were also introduced: Mr. Steve Nienaber, University Architect; Mr. Robert Knarr the Project Manager; and, Ms. Mary Lee Schott the interior designer. Following the presentation, a tour of the building was led by Mr. Knarr. The Board visited the first, second and third floors. The Digitorium, classrooms, laboratories and faculty office areas were included in the tour. The board was also provided the opportunity to interact with faculty members who will be teaching in this state of the art facility.

B. Presidential Reports:

1. Facilities Management: (Vice President Ken Ramey)

- **a.** Griffin Hall – Center for Informatics
- **b.** Hankins Hall Demolition Project
- **c.** Albright Health Center Upgrades Project
- **d.** Nunn Hall 5th Floor Renovation Project
- **e.** Data Center HVAC and Electrical Upgrades
- **f.** Baseball Outfield Irrigation and Sod
- **g.** Johns Hill Road Project
- **h.** Callahan Pedestrian Corridor
- **i.** Fine Arts Center, Exterior Graphics
- **j.** Sustainability

2. Quarterly Financial Report: (Vice President Ken Ramey)

The Quarterly Financial Report depicts the financial activity of the University during the period of July 1, 2010, through September 30, 2010, compared to the annual budget and the prior year's activity.

3. Fundraising Report: (Vice President Gerard St. Amand)

This report provides a summary of fundraising resources committed from July 1, 2010 through November 30, 2010 totaling $1,293,675.

4. Research/Grants/Contracts: (Provost Gail Wells)

This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from October 1, 2010 through November 30, 2010. During this period, 13 grants were awarded with a total award amount of $424,572. From July 1, 2010 through November 30, 2010, the cumulative total number of grants awarded is 52 totaling $7,112,002.

5. Faculty Development Awards – Project Grants (Provost Gail Wells)

The University recognizes, for 2011-2012, the role of research as an integral part of professional activity of the faculty through financial support for independent research. Faculty Project Grants are intended to encourage professional growth, provide funds for research equipment and activities that are not available through program budgets.

6. Faculty Development Awards – Summer Fellowships (Provost Gail Wells)

The Summer Fellowship program, for summer 2011, is designed to encourage faculty to engage in individual research, scholarly writing, and creative and artistic projects and to share the results of their efforts with the broader University community.

C. Executive Session:

Chair Nancy A. Barone: "The Board of Regents needs to go into Executive Session in order to discuss a proposal between the university and a business entity. I move that the Board go into Executive Session pursuant to KRS 61.810 (1)(g)."

Regent Dennis Repenning seconded Regent Nancy A. Barone's motion to enter into Executive Session. **(Motion carried)**

Regent Dennis Repenning seconded Regent Nathaniel G. Smith's motion to close Executive Session. **(Motion carried)**

Chair Nancy A. Barone: "The Executive Session of the Board of Regents has concluded, no matter other than the proposal was discussed. No final action was taken. The Board is now back in Open Session and we will proceed. I move to authorize the President to pursue the business proposal and financing thereof, discussed in Executive Session.

Regent Charles E. Hawkins seconded Regent Nancy A. Barone's motion of authorization. **(Motion carried)**

D. Presidential Recommendations:

Consent Agenda Items: Regent Charles E. Hawkins seconded Regent Martin C. Butler's motion to approve the *following Presidential Recommendations as listed: C-1 through C-7.* ***(Motion carried)***

1. **Academic Affairs Personnel Actions**:
   a. **Administrative Appointments**:
      Jered Wasburn-Moses, Lecturer (non-tenure track renewable)/Math Center Coordinator, effective December 1, 2010 through June 30, 2011
   b. **Resignations**:
      Neporcha Cone, Assistant Professor in the Department of Teacher Education, effective May 31, 2011
   c. **Retirements:**
      Michael A. Klembara, Associate Professor, Department of Mathematics and Statistics, effective December 31, 2010

2. **Non-Academic Affairs Personnel Actions**:
   The following categories of non-academic personnel actions which occurred between October 12, 2010 and December 7, 2010: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Departures; Administrative.

3. **Academic Affairs Sabbatical Leave Recommendations:**
   Janet Bertog, Physics and Geology; **Heather Bullen**, Chemistry; **Teressa L. Elliott**, Accounting, Finance and Business Law; **Allen Ellis**, Steely Library; **Sean Foley**,

Construction Management; **Miriam Steinitz Kannan**, Biological Sciences; **Young S.Kim,** Accounting, Finance & Business Law; **Barbara Klaw**, World Languages & Literatures; **Gisèle Loriot-Raymer**, World Languages & Literatures; **Carol Medlicott**, History and Geography; **Banwari Mittal**, Marketing, Economics, & Sports Business; **Penelope Bradley Summers**, Communication; **Traian Marius Truta**, Computer Science; **John T.Valauri**, Chase Law; **James Walden,** Computer Science; **Kimberly Weir (Baranowski)**, Political Science & Criminal Justice; **Kristine Yohe**, English; **Stephen C.Yungbluth**, Communication; **Matthew O.Zacate,** Physics & Geology;

4. **Proposal for unit name change – IMI to CAI:**
   That the Board of Regents approves the name change of the Infrastructure Management Institute (IMI), to the Center for Applied Informatics (CAI).

5. **Amendments to NKU's Governing Regulations regarding University Organization:**
   That the Board of Regents approves changes to Northern Kentucky University's Governing Regulations, Part IV, University Organization, to require that the Board of Regents approve the establishment, alteration, or abolishment of major administrative units of the University and that the President may authorize and report other educational and administrative unit reorganizations to the Board of Regents at their next regularly scheduled meeting following the President's authorization.

6. **Acceptance of the contributions received by the NKU Foundation:**
   The Board of Regents officially hereby accepts contributions totaling $393,000.00 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period October 01, 2010 through November 30, 2010.

7. **General Receipt Bond Resolution:**
   That the Board of Regents adopt the attached Resolution which provides for the issuance of approximately $9,460,000 in General Receipt Obligations for the purpose of (i) financing the costs associated with the "Acquire Land/Master Plan 2010-2012" and "Acquire Radio Communications" projects and (ii) paying costs of issuance in connection with the Northern Kentucky University General Receipts Bonds, 2011 Series A. That the Board of Regents certify, pursuant to H.B. 1 of the General Assembly of the Commonwealth of Kentucky, 2010 First Extraordinary Session, as enacted and vetoed in part, that the "Acquire Land/Master Plan 2010-2012" and "Acquire Radio Communications" projects will not result in an increase in tuition.

At 2:27p.m., Regent Brenda L. Wilson seconded Regent Eric M. Brose's motion to adjourn. **(Motion carried)**

Signature on file
Casey A. Lundberg
Senior Administrative Assistant
Office of the President

Signature on file
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on January 12, 2011, and that such matters are still in force and effect.

Signature on file
Dennis Repenning
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, March 15, 2011 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, March 16, 2011– 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Chase College of Law (Honabach)
2. Council on Postsecondary Education Update (Robert King)
3. Financial Restructuring and Huron Update (Votruba)

Board Lunch
NKU, Student Union, Room 108 – Wednesday, March 16, 2011– 11:30 a.m.

11:30 a.m.

- Board of Regents Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, March 16, 2011– 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Approval of January 12, 2011, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Ramey)
2. Quarterly Financial Report (July 1, 2010 through December 31, 2010) (Ramey)
3. Fundraising Report (July 1, 2010 through January 31, 2011) (St. Amand)
4. Research/Grants/Contracts Report (December 1, 2010 through January 31, 2011) (Wells/Hillard)
5. Organizational Changes Report (Hodges Moore)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (between December 8, 2010 and February 15, 2011)
3. *Academic Affairs Temporary Appointments
4. *Academic Affairs Reappointment, Promotion and Tenure (RPT)
5. *Academic Affairs Emeritus Status
6. *Charge Schedule for Executive Ed.D. Cohort
7. *Audit Service Contract
8. *Acceptance of the contributions received by the NKU Foundation
9. *Honorary Degree Candidate – Wm. T. (Bill) Robinson III
10. *Honorary Degree Candidate – Carol Swarts Milburn
11. *Academic Building Name Changes

2:00 p.m.

D. **Executive Session**

*Consent Agenda Items (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Casey Lundberg in the Office of the President, 572-5172, by 2 p.m., Monday, March 14, 2011).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
March 16, 2011

Regent Terry L. Mann, Vice Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, March 16, 2011.

Regent Kevin M. Golden read the invocation.

Roll Call: Eric M. Brose, Chuck H. Brown, Martin C. Butler, Kevin M. Golden, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Nathaniel G. Smith, Elizabeth L. Thompson, Brenda L. Wilson (Excused: Nancy A. Barone)

Other Attendees: James Votruba, Katie Herschede, Casey Lundberg, Tammy Knochelmann, Tracy Insko, Chris Bowling, Bill Farro, Sara Sidebottom, Zebulun Davenport, Joe Wind, Gerry St. Amand, Gail Wells, Sue Hodges Moore, Ken Ramey, Chris Cole, Tim Sofranko, Jeff Waple, Pat Moynahan, Joel Robinson, Doug Perry, Katie Bontrager, Scott Eaton, Jane Bratton, Elaine Jarchow, Michelle McMullen, Kathy Stewart, Jan Hillard, Don Gorbandt, Christine Lawhorn, Hing Cao, Tiffany Budd, Russ Kerdolff, Larry Meyer, Sarah Aikman, Diana McGill, Ken Kline, Margaret Myers, Gayle Brown, John Basalyga, Eric Busener, Jack Little, Stephen McGill, Steven Beattie, Andrew Montgomery, Adam Latham

Regent Nathaniel G. Smith seconded Regent Dennis Repenning's motion to approve the minutes of the January 12, 2011 Board of Regents meeting. **(Motion carried)**

Presidential Comments
Dr. James Votruba noted:

- Fall 2011 applications for the university look very strong. They are up nearly 7%, date to date, in terms of applications received. They are up nearly 12% in regular admits, that is students without deficiencies.
- Kentucky Center for Mathematics had its annual conference in Lexington recently, hosting about 550 teachers from across Kentucky. I had the good fortune of attending the conference and meeting with about a dozen of those teachers. They spoke highly about the impact KCM is having on their ability to better educate their students and how KCM is developing a sense of community among teachers. This is a terrific example of the work this university is doing beyond its borders to affect Kentucky's future.
- We are making strong progress in our budget realignment process. We are continuing to adjust to this new reality and making sure we are going to be able to continue to invest in our people and our programs despite the economic conditions we confront on both the state, national and international level.

- We were pleased to welcome Bob King, Council on Postsecondary Education President, earlier today at the Finance and Policy Committee meeting. He reviewed CPE's new strategic plan.
- I would like to recognize Coach Basalyga and the members of our national championship soccer team. Coach John Basalyga and player Steven Beattie made comments and thanked NKU.
- Last weekend NKU's Informatics Team took 2nd in the Southeast Collegiate Cyber Defense Competition among ten teams in the southeast region. The competition is an intense three-day event that throws teams of eight students into a high-pressured environment simulating the operational aspects of protecting a corporate network under the attack of hackers.

A. <u>Joint Finance and Policy Committee:</u> (Reports given by Regent Dennis Repenning)
Regent Attendees: Eric M. Brose, Chuck H. Brown, Martin C. Butler, Kevin M. Golden, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Nathaniel G. Smith, Elizabeth L. Thompson, Brenda L. Wilson (Excused: Nancy A. Barone)

1. Report on Chase College of Law:

Chase College of Law Dean Dennis Honabach presented this morning on the strong positioning of the law school regionally and nationally. Chase offers a Juris Doctor degree through both full-time and part-time divisions and a Juris Doctor / MBA joint degree with the Haile / US Bank College of Business.

At the beginning of the 2010-11 academic year, the College of Law had 614 students, 375 full-time and 239 part-time, from 18 states and 265 undergraduate universities and colleges. Fifty-seven percent of the students were men, 43% were women, and 7% represented diversity. The fall 2010 entering class had a median LSAT Score of 155 and a median GPA of 3.28. Chase tuition for the 2010-11 academic year was $15,054 for residents, $24,778 for residents of 32 southwest Ohio and southeast Indiana metro counties, and $32,812 for non-residents.

The College of Law provides its students with a wide variety of opportunities to acquire professional skills and first-hand, practical legal experience. Chase's numerous innovative programs include the Center for Excellence in Advocacy, Transactional Law Practice Center, Small Business and Nonprofit Law Clinic, Local Government Law Center, Law and Informatics Institute, Pro Bono Service Program, Externship Program, Law Review, and Professionalism Program. In addition, Chase faculty members have recently participated in a series of teaching workshops; published numerous scholarly books and articles; provided public service at the local, state, and national levels; and a number have received special recognition for their achievements.

Chase has almost 5,000 living alumni located in 47 states, Washington, DC, and three foreign countries. Chase alumni live in 108 of Kentucky's 120 counties. The 150 students in

the class of 2010 had Bar exam passage rates of 77% in Kentucky and 88% in Ohio, and had an employment rate of 92% with an average starting salary of $53,638. Chase had the highest Bar passage rates among Kentucky schools on both the February and July Kentucky Bar exams in 2009. Chase alumni support the College of Law through charitable gifts, volunteer service, and the Chase Alumni Association.

2. Report on Council on Postsecondary Education Update:

This morning we heard from Dr. Robert King, President of the Council on Postsecondary Education. He shared that while less than 60% of entering college students are entering "college ready", Kentucky is making progress in our educational attainment rates and hope to meet the national average by 2020.

President King shared that their policy objectives are to:

1. Increase college-ready Kentuckians entering postsecondary education.
2. Increase college-ready GED graduates.
3. Increase effectiveness of K-12 teachers and school leaders.
4. Increase degree production, completion rates and close achievement gaps.
5. Decrease financial barriers to college access and completion.
6. Increase basic, applied and translational research.
7. Increase educational attainment and quality of life through regional stewardship, public service, and community outreach.
8. Increase academic productivity through program innovations.
9. Maximize use of postsecondary and adult education resources.

The next steps are to negotiate specific targets and to finalize the state level implementation plan. The CPE is also developing a web-based dashboard that will allow institutions to see their progress and the progress of other Kentucky institutions. The CPE and the universities will continue to move forward on their metrics with a strong eye towards quality.

3. Report on Financial Restructuring and Huron Update:

President Votruba updated the Board on the university's 2011-12 budget outlook and budgetary restructuring that has been taking place since last Fall. Looking at revenues, the university could be facing a cut in state appropriations and limits on tuition increases. Looking at expenses, the university is planning to fund operating, maintenance, and technology costs for Griffin Hall, increases in fixed costs, increases in retirement contributions to the State pension, and other non-discretionary expenses and much needed investments. To date, President Votruba has identified $4.5 million in available funds through budgetary restructuring.

In addition, President Votruba provided an update on the work by Huron Consulting Group to look at student administrative services, IT strategic resource allocation, effective procurement practices, efficiencies of NKU's business processes and optimization of SAP, and strategic organizational effectiveness and cost reduction opportunities. Preliminary recommendations were provided and next steps outlined to determine final recommendations that will be implemented.

B. Presidential Reports:

1. Facilities Management Report: (Vice President Ken Ramey)

- **a.** Griffin Hall – Center for Informatics
- **b.** Data Center HVAC and Electrical Upgrades
- **c.** Hankins Hall Demolition Project
- **d.** Nunn Hall 5th Floor Renovation Project
- **e.** Baseball Outfield Irrigation and Sod
- **f.** Johns Hill Road Project
- **g.** Fine Arts Center, Exterior Graphics
- **h.** Landrum Auditoriums Renovation
- **i.** Albright Health Center Auditorium Renovation
- **j.** Budig Theater Sound and Lighting
- **k.** Sustainability

2. Quarterly Financial Report: (Vice President Ken Ramey)

The Quarterly Financial Report depicts the financial activity of the University during the period of July 1, 2010, through December 31, 2010, compared to the annual budget and the prior year's activity.

3. Fundraising Report: (Vice President Gerard St. Amand)

This report provides a summary of fundraising resources committed from July 1, 2010 through January 31, 2011 totaling $1,793,129.

4. Research/Grants/Contracts Report: (Provost Gail Wells)

This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from December 1, 2010 through January 31, 2011. During this period, 14 grants were awarded with a total award amount of $958,194. From July 1, 2010 through January 31, 2011, the cumulative total number of grants awarded is 66 totaling $8,516,611.

5. Organizational Changes Report: (Vice President Hodges Moore)

This report lists the organizational changes that have received approval from the President but do not require approval from the Board of Regents prior to implementation, according to Governing Regulation, Part IV.

- **a.** Student Media

C. Presidential Recommendations:

Consent Agenda Items: Regent Charles E. Hawkins seconded Regent Nathaniel G. Smith's motion to approve the *following Presidential Recommendations as listed: C-1 through C-11.* ***(Motion carried)***

1. Academic Affairs Personnel Actions:

- **a. Administrative Appointments**:

Shamima Ahmed, from Interim Chair and Professor in the Department of Political Science and Criminal Justice, to Chair and Professor in the Department of Political Science and Criminal Justice, effective July 1, 2011
Richard Kolbe, Dean of the Haile/US Bank College of Business and Professor with Tenure in the Department of Marketing, effective June 1, 2011

b. Faculty Appointments:

Benjamin E. Baran, Assistant Professor in the Department of Management, effective August 15, 2011
Carole L. Cangioni, Assistant Professor in the Department of Management, effective August 15, 2011
Kebede Gemene, Assistant Professor in the Department of Chemistry, effective August 15, 2011
Samuel Goh, Assistant Professor in the Department of Business Informatics, effective August 15, 2011
Thomas Lambert, Assistant Professor in the Department of Political Science and Criminal Justice, effective August 15, 2011
Sandra E. Spataro, Associate Professor in the Department of Management, effective August 15, 2011

c. Leaves Paid/Unpaid:

Donna Crane, Lecturer in the Department of Marketing, Economics and Sports Business, unpaid leave with benefits, for the spring 2011 semester
Sean Foley, Chair and Associate Professor in the Department of Construction Management, paid chair re-entry leave with benefits, for the fall 2011 semester
Bettina Love, Assistant Professor of Education in the Department of Teacher Education, unpaid one-year leave without benefits, effective July 17, 2011
Tracey Sigler, Chair and Associate Professor in the Department of Management, paid Chair re-entry leave with benefits, for the spring 2012 semester

d. Retirements:

Sudesh Duggal, Professor in the Department of Business Informatics, effective May 13, 2011
Stephen D. Boyd, Professor in the Department of Communication, effective May 13, 2011

e. Phased Retirements

Barry Andersen, Professor of Art in the Department of Visual Arts (participation for one academic year, with option for two years), effective August 15, 2011
Margaret Anderson, Professor of Nursing and Health Professions in the Department of Advanced Nursing (fall 2011), effective August 15, 2011
MaryCarol Hopkins, Associate Professor of Anthropology in the Department of Sociology, Anthropology and Philosophy (participation for up to two fiscal years), effective July 1, 2011
Margo Jang, Associate Professor in the Department of English (participation for two academic years), effective August 15, 2011

f. Resignations:

Sean Foley, from the position of Associate Professor and Chair in the Department of Construction Management to the position of Associate Professor in the Department of Construction Management, effective June 30, 2011

Thomas Palmer, Lecturer in the Department of Kinesiology and Health, College of Education and Human Services, effective January 11, 2011
Elizabeth Schoulties, Lecturer in the Department of Nursing, College of Health Professions, effective December 15, 2010
Tracey Sigler, from the position of Associate Professor and Chair in the Department of Management to the position of Associate Professor in the Department of Management, effective June 30, 2011

2. **Non-Academic Affairs Personnel Actions**:
   The following categories of non-academic personnel actions which occurred between December 8, 2010 and February 15, 2011: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Administrative.

3. **Academic Affairs Temporary Faculty Appointments:**
   Marla Cordray, Mathematics & Statistics, Spring Semester; **Brian Stuparyk**, Visual Arts, Spring Semester; **Edward Jackson**, Jr., Marketing, Economics & Sports Business, Spring Semester

4. **Academic Affairs Reappointment, Promotion and Tenure (RPT):**
   That the following recommendations received approval: promotion, tenure, continued probation, continued probation with conditions to be removed, and terminal reappointment.

5. **Academic Affairs Emeritus Status:**
   Stephen Boyd, Professor in the Department of Communication, effective July 1, 2011
   Michael Klembara, Associate Professor in the Department of Mathematics and Statistics, effective January 1, 2011

6. **Charge Schedule for Executive Ed.D Cohort:**
   That the Board of Regents approve an alternative model for charging students in the Executive Ed.D. Cohort, effective March 2011.

7. **Audit Service Contract:**
   The Board of Regents Audit Committee recommends that the Board of Regents authorize the administration to enter into a contract with the audit firm of Dean Dorton Allen Ford, LLC for the annual audit of the University's financial records for the fiscal year ending June 30, 2011. The contract cost for the year ending June 30, 2011 will be $94,500. This contract will allow for three one-year renewable options with the concurrence of both parties.

8. **Acceptance of the contributions received by the NKU Foundation:**
   The Board of Regents officially hereby accepts contributions totaling $290,560.00 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period December 1, 2010 through January 31, 2011.

9. **Honorary Degree Candidate – WM. T. (Bill) Robinson III:**

This is a request for authorization to bestow Wm. T. (Bill) Robinson III, with an honorary degree of the Doctorate of Laws from Northern Kentucky University. The degree is to be conferred at the May 8, 2011, commencement ceremonies at the NKU, Bank of Kentucky Center, Highland Heights, Kentucky.

10. Honorary Degree Candidate – Carol Swarts Milburn:

This is a request for authorization to bestow Carol Swarts Milburn, with an honorary degree of the Doctorate of Science from Northern Kentucky University. The degree is to be conferred at the May 8, 2011, commencement ceremonies at the NKU, Bank of Kentucky Center, Highland Heights, Kentucky.

11. Academic Building Name Changes:

That the names of the Applied Science and Technology Center and the Business Education Psychology Center be changed to the "Business Academic Center" (BAC) and the "Mathematics Education Psychology Center" (MEP).

At 1:30 p.m., Regent Brenda L. Wilson seconded Regent Dennis Repenning's motion to adjourn. **(Motion carried)**

Signature on file	***Signature on file***
Casey A. Lundberg	**Kathryn J. Herschede**
Senior Administrative Assistant	**Executive Assistant to the President/**
Office of the President	**Secretary to the Board of Regents**

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on March 16, 2011, and that such matters are still in force and effect.

Signature on file
Dennis Repenning
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, May 3, 2011 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, May 4, 2011– 8:30 a.m.

8:30 a.m.

A. Joint Finance and Policy Committee:

1. Campus Recreation Center (SGA)
2. 2011-2012 Operating Budget and Tuition Rates (Votruba)
3. Diversity Plan Update (Davenport)

Student Awards Ceremony & Luncheon
NKU, Student Union, Room 107 – Wednesday, May 4, 2011– 11:30 a.m.

11:30 a.m.

- Student Awards Ceremony & Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, May 4, 2011– 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call and Welcome
- Resolutions for completion of Regent term: Nancy A. Barone, Kevin M. Golden
- Approval of March 16, 2011, Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Ramey)
2. Quarterly Financial Report (July 1, 2010 through March 31, 2011) (Ramey)
3. Fundraising Report (July 1, 2010 through February 28, 2011) (St. Amand)
4. Research/Grants/Contracts Report (February 1, 2011 – March 31, 2011) (Wells/Hillard)
5. Organizational Changes Report (Hodges Moore)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (between February 16, 2011 and April 5, 2011)
3. *Academic Affairs First Year Faculty Continued Probation
4. *New Program – Master of Instructional Leadership
5. *Acceptance of the contributions received by the NKU Foundation
6. *Authorization to grant an easement to Duke Energy Corporation
7. *Authorization to grant an easement to the Kentucky Transportation Cabinet
8. *2012-2018 Capital Plan
9. *Amendment to NKU's Administrative Regulations: Uninvited Speakers from Off-Campus Policy
10. *NKU Organizational Chart Updates
11. *2011-12 Schedule of Fees and Service Charges
12. *2011-12 Operating Budget
13. *2011-12 Tuition Rate Schedule
14. *Diversity Plan
15. *Regents Professorship

2:00 p.m.

D. **Executive Session**

*Consent Agenda Items (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Casey Lundberg in the Office of the President, 572-5172, by 2 p.m., Monday, May 2, 2011).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
May 4, 2011

Regent Nancy A. Barone, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, May 4, 2011.

Regent Charles E. Hawkins read the invocation.

Roll Call: Nancy A. Barone, Eric M. Brose, Chuck H. Brown, Martin C. Butler, Kevin M. Golden, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Nathaniel G. Smith, Elizabeth L. Thompson, Brenda L. Wilson

Other Attendees: James Votruba, Katie Herschede, Casey Lundberg, Tammy Knochelmann, Tracy Insko, Chris Bowling, Bill Farro, Sara Sidebottom, Zebulun Davenport, Gerry St. Amand, Gail Wells, Sue Hodges Moore, Ken Ramey, Mike Hawkins, Kathleen Carnes, Josh Myers, Joseph Fons, Dustin Robinson, Larry Blake, Tim Sofranko, Don Gorbandt, Katie Bontrager, Perry Bratcher, Larry Meyers, Ken Kline, Scott Eaton, Francois LeRoy, Joel Robinson, Margaret Myers, Jeff Waple, Steve Meier, Elaine Jarchow, Russ Kerdolff, Lisa Rhine, Chris Cole, Denise Robinson, Mark Wasicsko, Alar Lipping, Lori Southwood, Pat Moynahan

Resolutions for completion of Regent term:
Chair Nancy A. Barone offered a resolution recognizing Student Regent Kevin M. Golden for the completion of his Regent term and dedication to the university.

Vice Chair Terry L. Mann offered a resolution recognizing Chair Nancy A. Barone for the completion of her Regent term and thanked her for her service to the board and university.

Regent Terry L. Mann seconded Regent Nathaniel G. Smith's motion to approve the minutes of the March 16, 2011 Board of Regents meeting. **(Motion carried)**

Executive Session:

Regent Charles E. Hawkins seconded Regent Chair Nancy A. Barone's motion to enter into Executive Session pursuant to KRS 61.810(1) (C) in order to discuss pending litigation against the university. **(Motion carried)**

Regent Brenda L. Wilson seconded Regent Chuck Brown's motion to close Executive Session. **(Motion carried)**

Presidential Comments

Dr. James Votruba noted:

- The past several weeks have been a time of celebration as various colleges, departments, and student organizations have recognized student and organizational excellence. This celebration of excellence culminates this weekend with commencement.
- We will have over 1,400 degree recipients this spring, including nearly 1,100 at the undergraduate level. This is a 12% increase in undergraduate degrees and a 16.5% increase in total degrees granted.
- Of particular note, we will graduate our first students in the Doctorate in Educational Leadership.
- Recently, we celebrated a number of awards for outstanding faculty excellence.
    - Regents Professor Miriam Kennan in biology will receive the 2011Frank Sinton Milburn Award as NKU's Outstanding Faculty Member.
    - Associate Professor Maureen Doyle in Computer Science won this year's award for Excellent Performance in Teaching.
    - Assistant Professor Willard Peveler in Kinesiology and Health received this year's award for Excellent Performance in Scholarly or Creative Activity
    - Assistant Professor Andrea Watkins in History and Geography won this year's award for Excellent Performance in Outreach and Public Engagement. Dr. Watkins is the daughter of Regents Professor Jim Ramage, also in History and Geography.
    - This year's award for sustained Excellence in Scholarly and Creative Activity went to Associate Professor Gregory Hatchett in Counseling, Social Work, and Leadership.
    - And this year's award for Sustained Excellence in Outreach and Public Engagement went to Associate Professor Judy Voelker in the department of Sociology, Anthropology and Philosophy.
- Looking to next fall, applications are again at record numbers, both in terms of the quality and number of students in the 2011 entering class.
- This morning, the board reviewed next year's proposed university budget. The reason we are able to invest in our programs and people, even in these challenging times, is because of the leadership displayed by our vice presidents, deans, chairs, and directors as they worked together on behalf of major campus budget restructuring. This has meant sacrifice on the part of many so that investments could be made in our most important priorities. I am fully confident at this point that we will sustain the momentum that has characterized the university over the past decade.
- This spring, our NKU Diversity Task Force developed our new plan for realizing diversity goals. Under the able leadership of Vice President Davenport and Professor Kannan, the task force will recommend a variety of steps that we can take to insure that we continue to build a strong and diverse student body as well as employee base.
- February bar passage rates saw Chase lead all Kentucky law schools with a 91% passage rate for first time takers and an 86% pass rate for all test takers.
- NKU has won The Business Courier's competition as "2011 Healthiest Employers of Greater Cincinnati" for the 1,500-4,999 employees category. This award program

recognizes organizations that are committed to creating a healthy workplace. We have seen a real transformation in our culture. NKU has been proactive in investing and offering the appropriate resources, tools and policies which help to ensure that we make "healthy" the easier choice for everyone on our campus. I regularly hear from employees the difference these supports have made in their lives and the lives of their families. Many of our employees are rising up to be wellness champions, encouraging each other to choose health and wellness. NKU is a model in our community for achieving this level of success. This recognition would not have been possible without the efforts of our Director of Wellness, Karen Campbell; and her staff; the student wellness ambassadors; the Healthy Workplace Committee; and all of the wellness champions among the staff and faculty.

- Finally, this time of year often involves transitions in our leadership.
    - I first want to thank Regent Nancy Barone for her leadership on behalf of NKU, most recently as chair of the NKU Board. This has been a challenging time for the university and Nancy has provided a steady hand on the tiller as we navigated uncertain waters.
    - I want to thank Regent Kevin Golden for his outstanding leadership of this year's Student Government Association and his many contributions to the NKU board. This year, SGA recommended to the board a new student fee to support the construction of a new student health center in order to better serve our students, faculty, and staff. This will be a lasting legacy of this year's SGA and Kevin provided strong leadership.
    - This spring we say goodbye to Vice President Zeb Davenport who will move to IUPUI in a vice presidential role. Our entire campus has benefited from Zeb's outstanding leadership and he will be missed. Under his leadership, Student Affairs has achieved new levels of performance, particularly around strategic planning and support for student success. Thank you, Zeb!
    - And we want to welcome Dr. Lisa Rhine as Interim Vice President for Student Affairs. Lisa has a strong background in initiatives related to student retention. She has experience in both student and academic affairs, and enjoys broad campus wide confidence and respect.
    - Dustin Robinson is the new SGA President and will be joining the board for at the July retreat.

A. <u>Joint Finance and Policy Committee:</u> (Reports given by Regent Dennis Repenning)
Regent Attendees: Nancy A. Barone, Eric M. Brose, Chuck H. Brown, Martin C. Butler, Kevin M. Golden, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Elizabeth L. Thompson, Brenda L. Wilson (Excused: Nathaniel G. Smith)

1. Report on Campus Recreation Center:

This morning we heard a presentation from Kevin Golden, Student Government President and Michelle Forlenza, Student Senator and Chair of the University Improvements Committee on the proposed fee to support an expanded and renovated campus recreation center and new intramural fields.

A timeline was presented that illustrated a sustained commitment from SGA over the past six years to improve the campus recreation center facilities, funding for programs, services and student employment.

Information was provided on the CPE Special Use Fee Exemption Policy and the requirements student government needed to follow to be in compliance with the new policy. The proposed fee would be $5 per credit hour up to 12 credit hours starting this fall and would increase to a maximum of $16 per credit hour by the 2013-14 academic year.

2. Report on 2011-12 Operating Budget and Tuition Rates:

President Votruba, Dr. Sue Hodges Moore, Vice President for Planning, Policy & Budget, and Ken Kline, Senior Budget Director, provided an overview of the 2011-12 annual operating budget, and proposed tuition rates and fees for 2011-12.

The total operating budget for the university is proposed at $214 million. Projected revenue increases and reallocated funds will be used to fund fixed cost increases, maintenance and operations of Griffin Hall, salary increases, and other investment priorities.

Tuition rates are proposed at a 5% increase in undergraduate resident tuition in accordance with the Council on Postsecondary Education's tuition caps. Other rates are based on CPE policy and market forces.

Mr. Ken Ramey provided an overview of the six-year capital plan development process.

3. Report on Diversity Plan:

Dr. Zebulun Davenport and Dr. Miriam Kannan presented the Diversity Plan this morning. In response to CPE's Diversity Policy, which requires each Kentucky Public Institution to create an Institution-wide Diversity Plan, President Votruba charged a 38 member Diversity Task Force co-chaired by Dr. Davenport and Dr. Kannan to draft a diversity plan. The charge was to develop a comprehensive University-wide Diversity Plan that addressed four strategic areas as defined by the CPE including Student Body Diversity, Student Success, Workforce Diversity (faculty, staff) and Campus Climate. Once this draft plan is approved by the Board of Regents, it will be submitted to the Council on Postsecondary Education for review. The plan will then be returned to NKU for additional edits if necessary.

B. <u>Presidential Reports:</u>

1. Facilities Management Report: (Vice President Ken Ramey)

- **a.** Griffin Hall – Center for Informatics
- **b.** Johns Hill Road
- **c.** Campus Space Changes
- **d.** Hankins Hall Demolition Project
- **e.** Nunn Hall 5th Floor Renovation Project
- **f.** Data Center HVAC and Electrical Upgrades
- **g.** Landrum Auditoriums Renovation
- **h.** Albright Health Center Auditorium Renovation
- **i.** Budig Theater Sound and Lighting

j. Plaza Renovation: Near Lucas Administrative Center and University Center
k. Plaza Renovation: Near Corbett Theater
l. University Drive Garage Renovation
m. Sustainability

2. **Quarterly Financial Report**: (Vice President Ken Ramey)
   The Quarterly Financial Report depicts the financial activity of the University during the period of July 1, 2010, through March 31, 2011, compared to the annual budget and the prior year's activity.

3. **Fundraising Report**: (Vice President Gerard St. Amand)
   This report provides a summary of fundraising resources committed from July 1, 2010 through February 28, 2011 totaling **$1,873,946**.

4. **Research/Grants/Contracts Report**: (Provost Gail Wells)
   This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff from February 1, 2011 through March 31, 2011. During this period, 12 grants were awarded with a total award amount of **$1,092,788**. From July 1, 2010 through March 31, 2011, the cumulative total number of grants awarded is 78 totaling **$9,609,399**.

5. **Organizational Changes Report:** (Vice President Sue Hodges Moore)
   This report lists the organizational changes that have received approval from the President but do not require approval from the Board of Regents prior to implementation, according to Governing Regulation, Part IV.
   a. Center for Innovation and Technology in Education

Special Use Fee Recommendation:
Regent Chuck H. Brown seconded Regent Kevin Golden's motion that the Board of Regents approve a fee with the awareness that the fee will be accessed in addition to the pending approval tuition increases to expand and renovate the Campus Recreation Center in accordance with the resolution passed by the Student Government Association in April 2011 and the Council on Postsecondary Education's policy on special purpose fees. **(Motion carried)**

C. Presidential Recommendations:
Consent Agenda Items: Regent Eric M. Brose seconded Regent Brenda L. Wilson's motion to approve the following Presidential Recommendations as listed: *C-1 through C-15.* **(Motion carried)**

1. **Academic Affairs Personnel Actions**:
   a. Administrative Appointments:
   Michele Hall, from Interim Registrar to Registrar, effective March 7, 2011
   D. Kent Johnson, Director of General Education and Lecturer (non-tenure track renewable), effective April 15, 2011

b. Faculty Appointments:

Caroline Braden, Lecturer (non-tenure track renewable) in the Department of Political Science and Criminal Justice, effective July 1, 2011
Michael Hatton, Assistant Professor in the Department of Theatre and Dance, effective August 15, 2011
Grant Knox, Assistant Professor in the Department of Music, effective August 15, 2011
Denise Luethge, Chairperson and Professor with Tenure in the Department of Management, effective August 15, 2011
Tammy Sherry, Assistant Professor in the Department of Teacher Education, effective August 15, 2011
Ernest Smith, from Director of the Honors Program and Professor with Tenure in the Department of English, to Professor with Tenure in the Department of English, effective August 15, 2011
Erin Strome, Assistant Professor in the Department of Biological Sciences, effective August 15, 2011

c. Leaves Paid/Unpaid:

Hazel Barton, Associate Professor of Biological Sciences, one year unpaid leave without benefits, for the 2011-2012 academic year

d. Retirements:

David Elder, Professor of Law, Salmon P. Chase College of Law, retirement end of spring semester 2011, May 13, 2011
Lowell Schechter, Professor of Law, Salmon P. Chase College of Law, retirement end of spring semester 2011, May 13, 2011

e. Resignations:

Ying Sophie Huang, Assistant Professor in the Department of Accounting, Finance and Business Law, effective May 13, 2011
Alberto Lopez, Professor of Law, Salmon P. Chase College of Law, resignation end of spring semester 2011, May 13, 2011
Ernest Smith, Director of the Honors Program, effective June 30, 2011

2. Non-Academic Affairs Personnel Actions:

The following categories of non-academic personnel actions which occurred between February 16, 2011 and April 5, 2011: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Departures; Administrative.

3. Academic Affairs First Year Faculty Continued Probation:

The following recommendations received approval: continued probation

4. New Program – Master of Instructional Leadership:

That a new program, Master of Instructional Leadership in the College of Education and Human Services, as outlined in the accompanying proposal, be approved for initiation at the beginning of the Fall 2011 semester.

5. Acceptance of the contributions received by the NKU Foundation:

The Board of Regents officially hereby accepts contributions totaling **$18,000.00** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period February 1, 2011 through February 28, 2011.

6. **Authorization to grant an easement to Duke Energy Corporation:**
   That the Board of Regents grant an easement allowing Duke Energy Corporation to install a new underground conduit and manhole system along the new University Drive extension, from a manhole near The Bank of Kentucky Center south to the new, reconstructed Johns Hill Road.

7. **Authorization to grant an easement to the Kentucky Transportation Cabinet:**
   That the Board of Regents grant an easement to the Kentucky Transportation Cabinet for a 589 square foot permanent drainage easement. The easement is located along Johns Hill Road, between Kenton Drive and the Bill Aker Baseball Complex at Friendship Field.

8. **2012-2018 Capital Plan:**
   That the Board of Regents adopt the 2012-2018 Capital Plan.

9. **Amendment to NKU's Administrative Regulations: Uninvited Speakers from Off-Campus Policy:**
   That the Board of Regents approve the Uninvited Speakers from Off-Campus Policy that will be a part of the University's Administrative Regulations.

10. **NKU Organizational Chart Updates:**
    That the attached organizational structure receives the Board of Regents approval.

11. **2011-12 Schedule of Fees and Service Charges**
    That the Board of Regents authorize the attached Schedule of Fees and Service Charges for the 2011-12 academic year.

12. **2011-12 Operating Budget**
    That the Board of Regents approve the following resolution establishing and approving the Fiscal Year 2011-12 Unrestricted Current Fund Annual Operating Budget for Northern Kentucky University.

13. **2011-12 Tuition Rate Schedule**
    That the Board of Regents endorses the tuition rates for the 2011-12 academic year at the rates proposed in the Authorized Schedule of Tuition. The President will submit the proposed tuition rates for the 2011-12 academic year to the Council on Postsecondary Education (CPE). The Council on Postsecondary Education (CPE) has the statutory authority to set tuition rates and mandatory fees for Northern Kentucky University in accordance with KRS 164.020.

14. **Diversity Plan**
    That the Board of Regents approve the draft Diversity Plan which will guide the university's goals related to diversity. The Diversity Plan must also be approved by the Council on

Postsecondary Education (CPE). Should the CPE have any recommended changes, the Board of Regents authorizes the President to negotiate and approve any modifications.

15. Regents Professorship

That Professor Richard A. Bales be awarded a Regents Professorship.

At 2:25 p.m., Regent Kevin M. Golden seconded Regent Dennis Repenning's motion to adjourn. **(Motion carried)**

Signature on file	***Signature on file***
Casey A. Lundberg	**Kathryn J. Herschede**
Senior Administrative Assistant	**Executive Assistant to the President/**
Office of the President	**Secretary to the Board of Regents**

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on May 4, 2011, and that such matters are still in force and effect.

Signature on file

Dennis Repenning
Secretary of the Board of Regents

Board of Regents Meeting
Northern Kentucky University
Metropolitan Club, Covington, KY
May 21, 2011

Regent Nancy A. Barone, Chair, called the special meeting of the Board of Regents to order at 8:30 am, Saturday, May 21, 2011.

Roll Call: Nancy A. Barone, Eric M. Brose, Chuck H. Brown, Martin C. Butler, Kevin M. Golden, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Nathaniel G. Smith, Elizabeth L. Thompson, Brenda L. Wilson

Other Attendees: James Votruba, Katie Herschede, John Moore

Executive Session:
Regent Martin C. Butler seconded Regent Terry L. Mann's motion to enter into Executive Session. **(Motion carried)**

Regent Charles E. Hawkins seconded Regent Eric M. Brose's motion to close Executive Session. **(Motion carried)**

Update on Division I:

- If a conference is interested in NKU, next steps could be sending the commissioner and delegation to NKU for a visit.
- There is strong support on campus to move to Division I

Executive Session:
Regent Chuck H. Brown seconded Regent Terry L. Mann's motion to enter into Executive Session. **(Motion carried)**

Regent Dennis Repenning seconded Regent Terry L. Mann's motion to close Executive Session. **(Motion carried)**

At 1:50 p.m., Regent Eric M. Brose seconded Regent Dennis Repenning's motion to adjourn. **(Motion carried)**

Signature on file	***Signature on file***
Casey A. Lundberg	**Kathryn J. Herschede**
Senior Administrative Assistant	**Executive Assistant to the President/**
Office of the President	**Secretary to the Board of Regents**

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify

that the foregoing is a true copy of the minutes of the special meeting held on May 21, 2011, and that such matters are still in force and effect.

Signature on file

Dennis Repenning
Secretary of the Board of Regents

Board of Regents Retreat
Northern Kentucky University
Metropolitan Club, Covington, KY
July 13, 2011

Regent Terry L. Mann, Vice Chair, called the regular meeting of the Board of Regents to order at 8:30 am, Wednesday, July 13, 2011.

Roll Call: Eric M. Brose, Chuck H. Brown, Martin C. Butler, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Dustin R. Robinson, Elizabeth L. Thompson, Brenda L. Wilson (Excused: Nancy A. Barone, Nathaniel G. Smith)

Other Attendees: James Votruba, Katie Herschede

Oath of Office:
Regent Terry Mann performed the oath of office for the newly elected Regent: Dustin R. Robinson. The newly elected Regent swore to uphold all stipulations of the oath and faithfully execute, to the best of their ability, the duties of Regent of Northern Kentucky University according to law.

Board Leadership Elections:
Regent Dennis Repenning seconded Regent Chuck H. Brown's motion to approve new Regent Officers as follows. "The Nominating Committee recommends Terry Mann, Chair; Brenda Wilson, Vice-Chair; Dennis Repenning, Secretary. (**Motion carried)**

Executive Session:
Regent Chuck H. Brown seconded Regent Eric M. Brose's motion to enter into Executive Session. **(Motion carried)**

Regent Charles E. Hawkins seconded Regent Brenda L. Wilson's motion to close Executive Session. **(Motion carried)**

Review of 2010-2011 and Proposed 2011-12 Priorities:

- Dr. James Votruba offered a review of NKU in the last year and the executive dashboard status as of June 2011.
- He reviewed the 2010-2011 highlights within the areas of enrollment, community engagement, financial restructuring, facilities, advancement, planning, and athletics.
- He also proposed the 2011-2012 priorities:
    - Strengthen advising as a core retention strategy
    - Transform the University Center into Center for Student Success
    - Implement move to Division 1
    - Continue to implement measures to enhance administrative quality and efficiency

Executive Session:
Regent Eric M. Brose seconded Regent Terry L. Mann's motion to enter into Executive Session. **(Motion carried)**

Regent Charles E. Hawkins seconded Regent Brenda L. Wilson's motion to close Executive Session. **(Motion carried)**

At 4:30 p.m., Regent Martin C. Butler seconded Regent Dennis Repenning's motion to adjourn. **(Motion carried)**

Signature on file	***Signature on file***
Casey A. Lundberg	**Kathryn J. Herschede**
Senior Administrative Assistant	**Executive Assistant to the President/**
Office of the President	**Secretary to the Board of Regents**

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the retreat held on July 13, 2011, and that such matters are still in force and effect.

Signature on file
Dennis Repenning
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Board of Regents Meeting
NKU, Steely Library, Room 102 – Friday, August 19, 2011– Following NKU Convocation

- Call to Order
- Roll Call and Welcome
- Oath of Office
- Confirmation of officer elections: Board Vice Chair and Secretary
- Executive Session

Board of Regents Meeting
Northern Kentucky University, Steely Library, Room 102
August 19, 2011

Regent Terry L. Mann, Chair, called the special meeting of the Board of Regents to order at 11:55 am, Friday, August 19, 2011.

Roll Call: Eric M. Brose, Chuck H. Brown, Martin C. Butler, Virginia G. Fox, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Dustin. R. Robinson, Nathaniel G. Smith, Elizabeth L. Thompson, Brenda L. Wilson

Other Attendees: James Votruba, Katie Herschede, Casey Lundberg, Carole Beere, Rick Myers, Lindsay Ramstetter, Joseph Fons

Regent Terry L. Mann, Chair, welcomed the newest Regent, Virginia G. Fox.

Oath of Office:
Regent Terry L. Mann performed the oath of office for the newly appointed Regent: Virginia G. Fox. The newly appointed Regent swore to uphold all stipulations of the oath and faithfully execute, to the best of their ability, the duties of Regent of Northern Kentucky University according to law.

Confirmation of Board Officers:
Regent Chuck H. Brown seconded Regent Nathaniel G. Smith's motion to confirm the officers elected at the Board of Regents retreat (Vice Chair: Brenda L. Wilson and Secretary: Dennis Repenning). **(Motion carried)**

Executive Session:
Regent Terry L. Mann seconded Regent Dennis Repenning's motion to enter into Executive Session. **(Motion carried)**

Regent Charles E. Hawkins seconded Regent Eric M. Brose's motion to close Executive Session. **(Motion carried)**

At 1:20 p.m., Regent Brenda L. Wilson seconded Regent Nathaniel G. Smith's motion to adjourn. **(Motion carried)**

Signature on file	***Signature on file***
Casey A. Lundberg	**Kathryn J. Herschede**
Senior Administrative Assistant	**Executive Assistant to the President/**
Office of the President	**Secretary to the Board of Regents**

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the special meeting held on August 19, 2011, and that such matters are still in force and effect.

Signature on file

Dennis Repenning
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, September 13, 2011 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, September 14, 2011– 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. College of Arts & Sciences (Zachary)
2. Enrollment Management (Wells)

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, September 14, 2011– 11:00 a.m.

11:00 a.m.

- Call to Order and Invocation
- Roll Call
- Executive Session

Board Lunch
NKU, Student Union, Room 108 – Wednesday, September 14, 2011– 11:45 a.m.

11:45 a.m.

- Board of Regents Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, September 14, 2011– 1:00 p.m.

1:00 p.m.

- Roll Call
- Approval of May 4, 2011; May 21, 2011; July 13, 2011; and August 19, 2011; Board Minutes
- Presidential Comments
- Secretary of the Board of Regents, Joint Finance and Policy Committee Summary

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Ramey)
2. Fundraising Report (July 1, 2010 through June 30, 2011) (St. Amand)
3. Fundraising Report (from July 1, 2011 through July 31, 2011) (St. Amand)
4. Organizational Changes Report (Hodges Moore)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Affairs Personnel Actions (between April 6, 2011 and August 16, 2011)
3. *Academic Affairs Temporary Appointments
4. *Academic Affairs Emeritus Status
5. *Northern Kentucky Cooperative for Education Services Agreement
6. *Updated Charter for Financial and Operational Audit
7. *Institutional Review Board Human Subject Policy
8. *New Joint Program – Juris Doctorate & Master of Health Informatics (JD/MHI Program)
9. *New Joint Program – Juris Doctorate & Master of Business Informatics (JD/MBI Program)
10. *New Program – Education Specialist Degree (Ed.S.) in Educational Leadership
11. *Acceptance of the contributions received by the NKU Foundation
12. *2011-12 Revised Schedule of Fees and Service Charges
13. *Admissions and Academic Policies Committee (AAPC)

2:00 p.m.

D. **Executive Session**

*Consent Agenda Items (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Katie Herschede in the Office of the President, 572-5172, by 2 p.m., Monday, September 12, 2011).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
September 14, 2011

Regent Terry L. Mann, Chair, called the regular meeting of the Board of Regents to order at 11:40 a.m., Wednesday, September 14, 2011.

Roll Call: Eric M. Brose, Chuck H. Brown, Martin C. Butler, Virginia G. Fox, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Dustin R. Robinson, Elizabeth L. Thompson, Brenda L. Wilson (Excused: Nathaniel G. Smith)

Other Attendees: James Votruba, Katie Herschede, Kate Ritter, Casey Lundberg, Tammy Knochelmann, Tracy Insko, Chris Bowling, Bill Farro, Sara Sidebottom, Lisa Rhine, Gerry St. Amand, Gail Wells, Ken Ramey, Larry Blake, Tim Sofranko, Don Gorbandt, Katie Bontrager, Larry Meyers, Francois LeRoy, Jeff Waple, Russ Kerdolff, Chris Cole, Denise Robinson, Mark Wasicsko, Pat Moynahan, Aaron Angle, Rick Kolbe, Vicki Natale, Sarah Aikman, Jason Willis, Jan Hillard, Kevin Kirby, Carol Beere, Tim Ferguson, Matt Hackett, Joe Wind, Pete Trentacoste, Rick Meyers, Kathy Stewart, Lori McMillan, Dennis Honabach

Executive Session:

Regent Dustin R. Robinson seconded Regent Brenda L. Wilson's motion to enter into Executive Session pursuant to KRS 61.810(1) (C) in order to discuss pending litigation against the University. **(Motion carried)**

Regent Charles R. Hawkins seconded Regent Martin C. Butler's motion to close Executive Session. **(Motion carried).** No business other than pending litigation was discussed.

Roll Call: Eric M. Brose, Chuck H. Brown, Martin C. Butler, Virginia G. Fox, Charles E. Hawkins, Terry L. Mann, Dustin R. Robinson, Elizabeth L. Thompson, Brenda L. Wilson (Excused: Dennis Repenning, Nathaniel G. Smith)

Regent Chuck Hawkins seconded Regent Chuck H. Brown's motion to approve the minutes of the May 4, 2011; May 21, 2011; July 13, 2011; and August 19, 2011 Board of Regents meetings. **(Motion carried)**

Presidential Comments

Dr. James Votruba noted:

- Spent the day with Professor, Kristi Haik, as Faculty for a Day. Kristi came to me about 8-9 months ago with the idea for a shadowing program. Administrators would shadow faculty for a day, and faculty would shadow administrators for a day. I would like to invite all Vice Presidents and each Regent to shadow a

faculty member, for either a half or full day, to get a better understanding of the University.

- NKU is off to a very strong start this academic year.
- Congratulations to all those involved in the enrollment process. NKU is increasingly becoming the first choice for some of the areas best prepared high school students.
    - Our partnership with P-12 education is one of the strongest in the country
- Plaza renovation is near completion.
- Griffin Hall is on-line and functioning smoothly. The dedication coming up on October 10th.
- We have two new program areas on the consent agenda: JD & Masters of Health Informatics and JD & Masters of Business Informatics.
- Welcome to Regent Virginia Fox, Regent Dustin Robinson, Dean Rick Kolbe, Interim Dean Kevin Kirby, Director of Panning & Performance, Vicki Natale.
- Kate Ritter will replace Casey Lundberg in our office.
- Thank you to Casey Lundberg for her many contributions to the President's Office.
- I also want to acknowledge the retirement of Associate Provost, Mary Ryan. Her knowledge of the University as well as her commitment will be deeply missed.

A. <u>Joint Finance and Policy Committee:</u> (Reports given by Vice Chair, Brenda Wilson)
Regent Attendees: Eric M. Brose, Chuck H. Brown, Martin C. Butler, Virginia G. Fox, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Dustin R. Robinson, Elizabeth L. Thompson, Brenda L. Wilson

1. Report on College of Arts and Sciences:

This morning we heard a presentation from Samuel Zachary, Dean of the College of Arts & Sciences, and 13 of his colleagues from 11 different Arts & Sciences departments and programs. Collectively, these faculty provided descriptive and data frameworks delineating the college's mission, core values, programs, centers, forms of teaching services, involvement in creative activity, and service. Dean Zachary briefly sketched in this framework and then periodically solicited help from his colleagues in detailing specific academic experiences to illustrate many framework elements. In the end, Dean Zachary and his colleagues emphasized the extraordinary breadth and delivery of the college, as well as its central role in forming a foundation of knowledge for every NKU student.

2. Report on 2011-12 Fall Enrollment:

Provost Gail Wells gave a presentation focused on the enrollment for Fall 2011. The following statistics were discussed:

- Preliminary undergraduate enrollment for fall 2011 is 13,416 students
- The 2011 entering class is the most academically prepared in NKU's history. The number of new freshmen with zero to one academic deficiencies is 90%, as

compared to 82% last year. The average ACT score is 22.0, which exceeds both the Kentucky and national average.

- Preliminary total enrollment is currently 15,816
- This enrollment was supported by the following funding for merit- and need-based scholarships:
  - $8.7 million in institutional aid overall
  - $18.6 million in federal aid need-based aid
  - $7.2 million in Kentucky CAP and KEES grants
  - Additional scholarships funded through grants and gifts in specific disciplines

B. <u>Presidential Reports:</u>

1. Facilities Management Report: (Vice President Ken Ramey)

a. Griffin Hall – Center for Informatics
b. Campus Space Changes
c. Albright Health Center Auditorium Renovation
d. Landrum Auditoriums Renovation
e. Landrum HVAC Upgrades
f. Plaza Renovation: Near Lucas Administrative Center and University Center
g. Central Plaza Renovation
h. Corbett Plaza Renovation
i. Greaves Hall Mosaic
j. University Drive Garage Renovation
k. Fire Alarm Equipment Repair and Renovation
l. Steely Library 2nd Floor Renovation Project
m. Fine Arts – Band Room Renovation
n. Callahan Pedestrian Corridor Project
o. Johns Hill Road
p. Sustainability

2. Fundraising Report: (Vice President Gerard St. Amand)

This report summarizes fundraising resources committed from July 1, 2010 through June 30, 2011 totaling $2,897,214 in support of the university.

3. Fundraising Report: (Vice President Gerard St. Amand)

This report summarizes fundraising resources committed from July 1, 2011 through July 31, 2011 totaling $93,998 in support of the university.

4. Organizational Changes Report: (Vice President Sue Hodges Moore)

Under the direction of Academic Affairs, there will be the creation of a new organizational unit, the Central Academic Advising Center, to facilitate a new advising approach for the university as a means of enhancing student success and persistence to degree. The reorganization of the advising structure is a component of a broader university approach to

enhancing student success and persistence to degree by establishing better communication across advising areas, ensuring consistencies and enforcement of policies, providing students with more contact time with advisors, and offering more intensive advising approaches for specified populations. The Central Academic Advising Center will absorb the Academic Advising and Resource Center (AARC). Positions reporting to AARC will now report to the Director of the Central Academic Advising Center.

5. **Search and Screening Committee Report:** (Regent Marty Butler, Chair of the Presidential Search Committee)
This report included an update on the presidential search and screening process thus far. All members of the committee and the Board of Regents were asked to sign a consent to confidentiality agreement. Regent Butler updated the Board on the committee's first meeting, outlined the search timeline, and noted the creation of an official search and screening website.

C. <u>Presidential Recommendations:</u>

Consent Agenda Items: Regent Virginia G. Fox seconded Regent Charles E. Hawkins' motion to approve the following Presidential Recommendations as listed: *C-1 through C-13.* **(Motion carried)**

1. **Academic Affairs Personnel Actions**:

a. Administrative Appointments:

David Dunevant, Interim Chairperson and Associate Professor of Music, Department of Sociology, Anthropology, & Philosophy, effective July 1, 2011; **Scott Fennell**, Director, Center for Applied Ecology, effective July 1, 2011; **Kevin Kirby**, from Chairperson of the Department of Computer Science, to Interim Dean, College of Informatics, effective July 1, 2011 through June 30, 2012; **Katherine Kurk**, Interim Chairperson and Professor of French, Department of World Languages & Literatures, effective July 1, 2011; **Francois Le Roy**, Executive Director of the International Education Center, effective July 1, 2011; **Rachel Lyon**, Chairperson in the Department of Communication, effective July 1, 2011 through July 1, 2012; **Ihab M. H. Saad**, Chairperson and Associate Professor in the Department of Construction Management, effective August 15, 2011; **Jeffrey Ward**, from Associate Professor in the Department of Computer Science, to Interim Chairperson and Associate Professor in the Department of Computer Science, July 1, 2011; **Isabella Zembrodt**, from Assistant Director in the Honors Program, to Interim Director of the Honors Program, effective May 1, 2011 through June 30, 2012

b. Faculty Appointments:

Pamela Atkinson, Lecturer (non-tenure track renewable)/Master of Health Informatics Program Coordinator, Department of Business Informatics, effective July 1, 2011; **Carol; Anne Costabile-Heming**, from Chairperson and Professor of German in the Department of World Languages and Literatures, to Professor of German, Department of World Languages and Literatures, effective August 15, 2011; **Jacque Day**, Lecturer of Journalism (non-tenure track renewable)/Student Media Advisor in the Department of Communication, effective August 15, 2011; **Ursula Doyle**, Visiting Assistant Professor of Law, Chase College of Law,

effective August 15, 2011; **Sara Drabik**, Assistant Professor in the Department of Communication, effective August 15, 2011; **Julianne Ewen**, Lecturer in the Department of Advanced Nursing Studies, effective August 15, 2011; **Eric Finseth**, Assistant Professor of Law, Chase College of Law, effective August 15, 2011; **Pamela Fletcher**, Lecturer in the Department of Advanced Nursing Studies, effective August 15, 2011; **Jon Garon**, Director of Law and Informatics, and Professor of Law, Chase College of Law, effective August 15, 2011; **Amy Halbrook**, Assistant Professor of Law, Chase College of Law, effective August 15, 2011; **Dana Harley**, Assistant Professor in the Department of Counseling, Social Work & Leadership, effective August 15, 2011; **Jack Harrison**, Assistant Professor of Law, Chase College of Law, effective August 15, 2011; **William Jones**, Visiting Professor Emeritus, Chase College of Law, effective August 15, 2011; **Bavand Karim**, Lecturer of Electronic Media and Broadcasting (non-tenure track renewable)/NorseMedia Producer-Director, Department of Communication, effective July 1, 2011; **Frederick Klaits**, Assistant Professor of Anthropology, Department of Sociology, Anthropology, and Philosophy, effective August 15, 2011; **Stephanie Klatzke**, Assistant Professor in the Communication Department effective August 15, 2011; **Lisa Knapp**, Lecturer in the Department of Nursing, effective August 15, 2011; **Karen Laycock**, Lecturer in the Department of Nursing, effective August 15, 2011; **Julie Miller**, Assistant Professor in the Department of Advanced Nursing Studies, effective August 15, 2011; **Kesha Nelson**, Lecturer in the Department of Nursing, effective August 15, 2011; **Terry Pence**, from Chairperson and Professor of Philosophy in the Department of Sociology, Anthropology, and Philosophy, to Professor of Philosophy in the Department of Sociology, Anthropology, and Philosophy, effective August 15, 2011; **Sherry Porter**, Visiting Assistant Professor, Chase College of Law, effective July 1, 2011; **Larry Putt**, Visiting Professor of Law, Chase College of Law, effective August 15, 2011; **Carol Scherbak**, Assistant Professor in the Department of Allied Health, effective August 15, 2011; **Frederick R. Schneider**, Visiting Professor Emeritus, effective August 15, 2011; **Kerry Sebera**, Assistant Professor in the Department of Counseling, Social Work & Leadership, effective August 15, 2011; **David Singleton**, Assistant Professor of Law, Chase College of Law, effective August 15, 2011; **Phillip Sparkes**, Visiting Assistant Professor, Chase College of Law, effective July 1, 2011; **Lynn Smith**, Assistant Professor in the Department of Nursing, effective August 15, 2011; **Tracey Vitori**, Lecturer in the Department of Advanced Nursing Studies, effective August 15, 2011

c. Retirements:

Michael Altekruse, Professor in the Department of Counseling, Social Work, and Leadership, participation in the Phased Retirement Program for the 2011-2012 and 2012-2013 academic years, effective August 15, 2011; **Jodi Ferner**, Senior Lecturer in the Honors Program, effective May 15, 2011; **Elaine Jarchow**, Dean of International Education Center, effective June 30, 2011

d. Resignations:

Pamela Beehler, Chairperson and Associate Professor in the Department of Kinesiology and Health, effective July 28, 2011; **Liza Benham**, Assistant Professor in the Department of Political Science & Criminal Justice, effective May 13, 2011; **Kevin Besnoy**, Assistant Professor in the Department of Teacher Education, effective

May 13, 2011; **Donna Breault**, Associate Professor in the Department of Counseling, Social Work & Leadership, effective July 31, 2011; **Neporcha Cone**, Assistant Professor in the Department of Teacher Education, effective May 14, 2011; **George Coroian**, Assistant Professor of Criminal Justice, Department of Political Science & Criminal Justice, effective May 13, 2011; **Zachary Hart**, from Interim Chairperson and Associate Professor in the Department of Communication, to Associate Professor in the Department of Communication, effective July 1, 2011; **Rebecca Kelley**, Assistant Professor in the Department of Biological Sciences, effective May 13, 2011; **Billie Jean Kosak**, Assistant Professor in the Department of Nursing, effective July 25, 2011; **Douglas Perry**, Dean of the College of Informatics, effective June 30, 2011 ; **Wiley Piazza**, Lecturer in the Department of Kinesiology and Health, effective May 13, 2011; **Larry Sexton**, Chairperson and Professor in the Department of Counseling, Social Work & Leadership, effective June 30, 2011

e. Leaves Paid/ Unpaid:

Rachelle Bruno, Professor of Special Education in the College of Education and Human Services, ¼ time unpaid leave with benefits for the fall semester 2011, and a ½ time unpaid leave with benefits for the spring 2012 semester; **Kim Dinsey-Read**, Assistant Professor in the Department of Nursing, half-time unpaid leave of absence for the academic year 2011-2012, beginning August 15, 2011, and ending May 12, 2012; **Margaret Myers**, Associate Professor in the Department of Marketing, Economics and Sports Business, paid administrative re-entry leave with benefits, fall semester 2011; **Terry Pence**, Professor in the Department of Sociology, Anthropology, and Philosophy, paid ¾ time re-entry leave with benefits for the fall semester 2011, and a ¼ time paid re-entry leave with benefits for the spring 2012 semester; **Erin Robinson**, Assistant Professor in the Department of Nursing, half-time unpaid doctoral release beginning academic year 2011-2012 for three years, effective August 15, 2011, and ending May, 2014; **Catherine Spalding-Gannon Tagher**, Assistant Professor in the Department of Nursing, half-time unpaid doctoral release beginning academic year 2011-2012 for three years, effective August 15, 2011, and ending May, 2014; **Vance Wolverton**, Professor, Department of Music, unpaid leave with benefits for the Fall 2011 semester

2. Non-Academic Affairs Personnel Actions:

The following categories of non-academic personnel actions which occurred between April 6, 2011 and August 16, 2011 require approval by the Board of Regents: (Activations, Reassignments, Reclassifications, Title/Status Changes, Promotions, Transfers, Contract/Temporary to Regular, Departures, Administrative)

3. Academic Affairs Temporary Appointments:

Michael Millward, Department of Biological Sciences, 2011-2012 AcademicYear; **Laura Turner**, Department of Biological Sciences, 2011-2012 AcademicYear; **Steven Austen**, Department of Chemistry, 2011-2012 AcademicYear; **Linda West**, Center for Integrative Natural Science and Mathematics and Kentucky Center for Mathematics, 2011-2012 AcademicYear; **Francis Sheehy**, Center for Integrative Natural Science and Mathematics, 2011-2012 AcademicYear; **Nancy Bowers**, Department of English, 2011-2012 AcademicYear; **Kristi Brock**, Department of English, 2011-2012 AcademicYear; **Paul Goodin**, Department of English, 2011-2012 AcademicYear; **Angela Hesson**, Department of

English, 2011-2012 AcademicYear; **Stephen Leigh**, Department of English, 2011-2012 AcademicYear; **Virginia Paddock**, Department of English, 2011-2012 AcademicYear; **Connie Bruins**, Department of History and Geography, 2011-2012 AcademicYear; **Mary Bucklin**, Department of History and Geography, 2011-2012 AcademicYear; **Terence Fleming**, Department of History and Geography, 2011-2012 AcademicYear; **Michael Hinckley**, Department of History and Geography, 2011-2012 AcademicYear; **Kathleen Quinn**, Department of History and Geography, 2011-2012 AcademicYear; **Shelley Cain**, Department of Mathematics and Statistics, 2011-2012 AcademicYear; **Michael Collins**, Department of Mathematics and Statistics, 2011-2012 AcademicYear; **Marla Cordray**, Department of Mathematics and Statistics, 2011-2012 AcademicYear; **Chrystal Culbertson**, Department of Mathematics and Statistics, 2011-2012 AcademicYear; **Barbara Phillips**, Department of Mathematics and Statistics, 2011-2012 AcademicYear; **Laura Urbanski**, Department of Mathematics and Statistics, 2011-2012 AcademicYear; **Michael VanPelt**, Department of Music, 2011-2012 AcademicYear; **James Bohache**, Department of Physics and Geology, 2011-2012 AcademicYear; **Chari Ramkumar**, Department of Physics and Geology, 2011-2012 AcademicYear; **Kimberely Adams**, Department of Political Science and Criminal Justice, 2011-2012 AcademicYear; **Frederick Brockmeier**, Department of Political Science and Criminal Justice, 2011-2012 AcademicYear; **Daniel Doty**, Department of Political Science and Criminal Justice, 2011-2012 AcademicYear; **Lisa Kissopoulos**, Department of Political Science and Criminal Justice, 2011-2012 AcademicYear; **Gail O'Brien**, Department of Political Science and Criminal Justice, 2011-2012 AcademicYear; **Katherine Wolford**, Department of Psychological Sciences, 2011-2012 AcademicYear; **Nicole Grant**, Department of Sociology, Anthropology & Philosophy, 2011-2012 AcademicYear; **Robert Kenney**, Department of Sociology, Anthropology & Philosophy, 2011-2012 AcademicYear; **Jamie McCauley**, Department of Sociology, Anthropology & Philosophy, 2011-2012 AcademicYear; **Michael Simonton**, Department of Sociology, Anthropology & Philosophy, 2011-2012 AcademicYear; **Kristina Vise**, Department of Sociology, Anthropology & Philosophy, 2011-2012 AcademicYear; **Heather Britt**, Department of Theater and Dance, 2011-2012 AcademicYear; **Christine Jones**, Department of Theater and Dance, 2011-2012 AcademicYear; **Matthew Albritton**, Department of Visual Arts, 2011-2012 AcademicYear; **Heidi Endres**, Department of Visual Arts, 2011-2012 AcademicYear; **Martin Meersman**, Department of Visual Arts, 2011-2012 AcademicYear; **Brian Stuparyk,** Department of Visual Arts, 2011-2012 AcademicYear; **Jeremy Goebel**, Department of World Languages and Literatures, 2011-2012 AcademicYear; **Melissa Ibarra**, Department of World Languages and Literatures, 2011-2012 AcademicYear; **Makoto Nakamura**, Department of World Languages and Literatures, 2011-2012 AcademicYear; **Dolores Schreiner**, Department of World Languages and Literatures, 2011-2012 AcademicYear; **Lorraine Ruh**, Department of Accounting, Finance, and Business Law, 2011-2012 AcademicYear; **Robert Salyer**, Department of Accounting, Finance, and Business Law, 2011 Fiscal Year; **James Kirtley**, Department of Accounting, Finance, and Business Law, 2011-2012 AcademicYear; **Steve Devoto**, Department of Accounting, Finance, and Business Law, 2011-2012 AcademicYear; **Dan Kent**, Department of Management, 2011-2012 AcademicYear; **Louis Manchise**, Department of Management, 2011-2012 AcademicYear; **C. Edward Heath**, Department of Marketing, Economics, & Sports Business, 2011-2012 AcademicYear; **Michael Chirichello**, Department of Counseling, Social Work, & Leadership, 2011-2012 AcademicYear; **Matthew Asare**,

Department of Counseling, Social Work, & Leadership, 2011-2012 AcademicYear; **Renee Jeffreys**, Department of Counseling, Social Work, & Leadership, 2011-2012 AcademicYear; **Rachele Vogelpohl**, Department of Counseling, Social Work, & Leadership, 2011-2012 AcademicYear; **Hannah Chai**, Department of Teacher Education, 2011-2012 AcademicYear; **Anthony Chowing**, Department of Communication, 2011-2012 AcademicYear; **Michele Day**, Department of Communication, 2011-2012 AcademicYear; **Lisa McKale**, Department of Communication, 2011-2012 AcademicYear; **James Michael Skaggs**, Department of Communication, 2011-2012 AcademicYear; **Nicholas Zeman,** Department of Communication, 2011-2012 AcademicYear; **Justin Smith**, Department of Computer Science, 2011-2012 AcademicYear; **Paulette E. Ebert**, Learning Assistance Center, 2011 Fall Semester; **Beverly L. Lawry**, Learning Assistance Center, 2011 Fall Semester; **Karen M. O'Conner**, Learning Assistance Center, 2011 Fall Semester; **Kristin Hornsby**, Honors Program, 2011-2012 AcademicYear.

4. **Academic Affairs Emeritus Status:**
   Sudesh Duggal, Professor in the Department of Informatics, effective July 1, 2011.

5. **Northern Kentucky Cooperative for Education Services Agreement**
   The Board of Regents authorized continued participation in the Northern Kentucky Cooperative for Educational Services, a separate administrative board created by Interlocal Cooperative Agreement between certain school districts in the Northern Kentucky area and Northern Kentucky University, and for voting purposes, appoints an alternate representative as requested by the Cooperative.

6. **Updated Charter for Financial and Operational Audit:**
   The Board approved the updated charter for the Financial and Operational Audit. The revised charter reflects this office's formal reporting relationship with the Audit Committee of the Board of Regents.

7. **Institutional Review Board Human Subject Policy:**
   The Institutional Review Board (IRB) Policy for the protection of Human Subjects received Board of Regents approval.

8. **New Joint Program- Juris Doctorate & Master of Health Informatics (JD/MHI):**
   The new joint program, Juris Doctorate and Master of Health Informatics, in Salmon P. Chase College of Law and the College of Informatics, as outlined in the Board of Regents' proposal, was approved for initiation at the beginning of the Spring 2012 semester.

9. **New Joint Program- Juris Doctorate & Master of Business Informatics (JD/MBI):**
   The new joint program, Juris Doctorate and Master of Business Informatics, in Salmon P. Chase College of Law and the College of Informatics, as outlined in the Board of Regents' proposal, was approved for initiation at the beginning of the Spring 2012 semester.

10. **New Program- Education Specialist Degree in Educational Leadership (Ed.S.):**
    The new program, Education Specialist Degree in Educational Leadership, in the College of Education and Human Services, as outlined in the Board of Regents' proposal, was approved for

initiation at the beginning of the Fall 2011 semester.

11. Acceptance of the Contribution Received by the NKU Foundation:
The Board of Regents officially hereby accepts contributions totaling $383,000.00 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period March 1, 2011 through July 31, 2011.

12. 2011-12 Revised Schedule of Fees and Service Charges:
The Board of Regents authorized the additions and changes to fees and service charges for the 2011-12 fiscal year as noted in the Board of Regents' proposal.

13. Admissions and Academic policies Committee (AAPC):
The Board of Regents approved the proposal for a revised Admissions and Academic Policies Committee (AAPC).

At 1:55 p.m., Regent Elizabeth L. Thompson seconded Regent Martin C. Butler's motion to adjourn. **(Motion carried)**

Signature on File
Kate M. Ritter
Senior Administrative Assistant
Office of the President

Signature on File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on September 14, 2011, and that such matters are still in force and effect.

Signature on File
Dennis Repenning
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Board of Regents Meeting
Student Union 104 – Thursday, October 27, 2011

12:00 p.m. Board of Regents Luncheon with Presidential Search Firm (no formal action will take place at this meeting)

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
October 27, 2011

Regent Terry L. Mann, Chair, called the special meeting of the Board of Regents to order at 12:05 p.m., Thursday, October 27, 2011.

Roll Call: Eric M. Brose, Chuck H. Brown, Martin C. Butler, Virginia G. Fox, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Dustin R. Robinson, Nathaniel G. Smith, Elizabeth L. Thompson (via conference call), Brenda L. Wilson

Other Attendees: James Votruba, Carole Beere, Michelle Cruz-Williams, Ponneh Varho, Kate Ritter, Chris Bowling, Chris Cole, Kristi Haik, Cliff Peale

The Board of Regents met with two representatives from Isaacson Miller, the search firm chosen to assist in the Presidential Search. Michelle Cruz-Williams and Ponneh Varho addressed questions raised by the Board about the upcoming search. The Board discussed qualities the ideal candidate should possess. The search firm will combine feedback from this meeting and campus and community forums to develop a detailed position description and from that, a vacancy notice will be prepared and disseminated.

At 1:35 p.m., Regent Dustin R. Robinson seconded Regent Terry L. Mann's motion to adjourn. **(Motion carried)**

Signature on File
Kate M. Ritter
Senior Administrative Assistant
Office of the President

Signature on File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the special meeting held on October 27, 2011, and that such matters are still in force and effect.

Signature on File
Dennis Repenning
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, November 08, 2011 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, November 09, 2011 – 8:30 a.m.

8:30 a.m.

A. Joint Finance and Policy Committee:

1. Annual Financial Report (Ramey)
2. Health Innovations Center (Wells, Robinson, Smith)
3. CPE Budget Update (Hodges Moore)

Board Lunch
NKU, Student Union, Room 108 – Wednesday, November 09, 2011 – 11:30 a.m.

11:30 a.m.

- Board of Regents Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, November 09, 2011 – 1:00 p.m.

1:00 p.m.

- Call to Order and Invocation
- Roll Call
- Approval of September 14, 2011, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Report, Secretary of the Board of Regents
- Presidential Search and Screening Committee Report

1:20 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Fundraising Report (July 1, 2011 to September 30, 2011) (St. Amand)
3. Research/Grants/Contracts Report (June 2011 to September 2011) (Wells/Hillard)
4. Annual Financial Report (July 1, 2010 to June 30, 2011) (Ramey)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Academic Affairs Temporary Appointments
4. *Academic Affairs Emeritus Status
5. *NKU Organizational Chart Updates
6. *Major Gifts Acceptance
7. *2012-2014 Capital Budget Request
8. *WNKU Surplus Assets
9. *Naming Recommendation
10. *Revised Fees and Service Charges
11. *Performance Metrics and Targets
12. *Annual Financial Statements

2:00 p.m.

D. Executive Session

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Kate Ritter in the Office of the President, 572-5172, by 2 p.m., Monday, November 7, 2011).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
November 9, 2011

Regent Terry L. Mann, Chair, called the regular meeting of the Board of Regents to order at 1:07 p.m., Wednesday, November 9, 2011.

Roll Call: Eric M. Brose, Chuck H. Brown, Martin C. Butler, Virginia G. Fox, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Dustin R. Robinson, Nathaniel G. Smith, Elizabeth L. Thompson, (Excused: Brenda L. Wilson)

Other Attendees: James Votruba, Katie Herschede, Kate Ritter, Tammy Knochelmann, Tracy Insko, Chris Bowling, Bill Farro, Sara Sidebottom, Lisa Rhine, Gerry St. Amand, Gail Wells, Ken Ramey, Joe Wind, Sue Hodges Moore, Rick Kolbe, Arne Almquist, Allegra Carpenter, Aaron Angel, Mark Wasicsko, Jan Hillard, Rick Meyers, Chris Cole, Andy Meeks, Leah Stewart, Joel Robinson, Tim Sofranko, Don Gorbandt, Russ Kerdolff, Larry Meyer, Melissa Gorbandt, Denise Robinson, Ken Kline, Carole Beere, Dave Merris, Vickie Natale, Scott Eaton

Regent Virginia G. Fox seconded Regent Nathaniel G. Smith's motion to approve the minutes of the September 14, 2011 Board of Regents meetings. **(Motion carried)**

Presidential Comments:
Dr. James Votruba noted:

- Griffin Hall dedication was a success. Thank you to all who made it possible.
- During the annual financial report we affirmed that the university is in a strong financial position. There are still major uncertainties as we move forward. The conservative approach to budgeting the university has chosen has served us well. The university has absorbed budget reductions, maintenance and operating costs, and increased costs to state retirement plans.
- I have signed and support the CPE budget request we heard this morning.
- Our priorities as a university lie within the Points of Focus document which was distributed to the board.

A. Joint Finance and Policy Committee: (Reports given by Secretary, Dennis Repenning)
Regent Attendees: Eric M. Brose, Chuck H. Brown, Martin C. Butler, Virginia G. Fox, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Dustin R. Robinson, Nathaniel G. Smith, Elizabeth L. Thompson

Report on Annual Financial Report:

Crissy Fiscus and Richard Dorton, from the audit firm Dean Dorton Allen Ford presented a discussion on their role in the Internal Control process. Ms. Fiscus stated that external auditors are not required to give an opinion on internal controls as part of the financial statement audit. They are, however, required to document their understanding of internal controls in significant audit areas and obtain evidence that key controls are implemented as designed. These procedures are performed to adequately assess the risk of material misstatements to the NKU financial statements. Dean Dorton Allen Ford also discussed how they gain an understanding of key information technology systems and controls to ensure these systems and controls are designed appropriately and implemented.

Ms. Fiscus provided the Board with a discussion of external auditor's required procedures related to fraud. During the audit process members of DDAF interviewed the Chair of the Board of Regents, members of management and Internal Audit regarding their views of potential fraud risks. In addition, DDAF performed tests over NKU's general ledger to search for inappropriate journal entries. Ms. Fiscus stated there was no indication of fraud identified during the audit.

Larry Meyer, Director of Financial and Operational Audit, gave a presentation on risk and how it serves as the foundation for each year's audit plan. A number of risk categories are used to rank each University department and process from highest risk to lowest. High and medium risk units are given priority in the audit plan. During audit process internal controls are tested to ensure they effectively mitigate risk. Internal auditors also test for the existence of fraudulent activity as part of each audit.

Vice President Ramey and Comptroller Kerdolff presented the University's 2010-11 Annual Financial Report. Highlights included:

- The changes in the university's assets, liabilities and net assets were discussed:
    - Assets grew by $38 million
    - Liabilities declined by $6 million. The review of liabilities included a comparison of NKU's debt capacity to the university's benchmarks.
    - Net assets increased by $44 million for the year, primarily due to capital appropriations and capital grants and gifts related to construction projects and positive operating results.
- Revenues increased by $12 million in fiscal year 2011, including a $5 million increase in net tuition. NKU continues to receive less state appropriations per student than any other comprehensive university in Kentucky. In addition, NKU receives less appropriation per student than the university's national benchmarks.
- Expenses were compared to the national benchmarks. Overall the university operating expenses per student are less than the national benchmarks.

The Commonwealth and the university continue to face challenging economic conditions. However, the University is well positioned to continue to make significant progress despite the uncertainties that we face.

Report on CPE Budget Update and Health Innovation Center:
During the presentation about the CPE budget, Vice President Ramey provided an overview of the CPE capital budget request for higher education. The CPE is recommending $64 million in capital funding for NKU for the 2012-14 biennium and a total of $192 million over the next six years. These funds will be used on both new construction and renovation and renewal of existing space. Dr. Gail Wells, Vice President and Provost, Academic Affairs, Dr. Denise Robinson, Dean, College of Health Professions, and Dr. Jeffrey Smith, Chair, Psychological Sciences then provided a more in depth look at the proposed Health Innovations Center.

This was followed by an overview of the CPE operating budget request for higher education provided by Dr. Sue Hodges Moore, Vice President, Planning, Policy and Budget and Ken Kline, Senior Director, Budget Office. The CPE is recommending a $7 million overall budget increase for NKU to fund the maintenance and operations of new buildings, support for P-12 college readiness initiatives, and for performance funds. The performance funds will have to be earned through increasing the number of Bachelor's Degrees awarded, improving the 6-year graduation rate, reducing the graduation rate gap for underprepared students, and increasing transfers from the Kentucky Community and Technical College System.

Audit Committee Report: (Dennis Repenning, Audit Committee Chair)
The Audit Committee, consisting of Chair Mann, Vice Chair Wilson and Secretary Repenning, met with auditors from Dean Dorton Allen and Ford (DDAF) on September 29, 2011 to review the University's audited financial statements. Crissy Fiscus, the lead auditor, indicated that the university fully complied with the requests of the auditors for materials, documents and meeting.

DDAF issued a clean audit for the university with no qualifications.

Members of the Board's audit committee were given an opportunity to ask questions both with management present and management not present.

The Audit Committee has approved the 2010-2011 audited financial statements and submitted them for full Board approval with the consent agenda.

Search and Screening Committee Report: (Report given by Regent Martin C. Butler, Chair of the Presidential Search and Screening Committee)
Regent Martin C. Butler and Carole Beere provided an overview of the Presidential Search website, which is now linked from NKU's home page. On the Presidential Search website one can find the most up to date revised time-lines for the search, a description of each committee

member, feedback the committee has received thus far, and an opportunity to provide feedback to the committee and the search firm. All are encouraged to give their feedback.

B. Presidential Reports:

1. Facilities Management Report: (Vice President Ken Ramey)

- **a.** Griffin Hall – Center for Informatics
- **b.** Campus Recreation Center Expansion/Renovation
- **c.** Albright Health Center Auditorium Renovation
- **d.** Fire Alarm Equipment Repair and Renovation
- **e.** Landrum HVAC Upgrades
- **f.** Steely Library 2nd Floor Restroom Renovation Project
- **g.** Johns Hill Road
- **h.** Student Success Center
- **i.** Roadway Lighting and Campus Gateways
- **j.** Landrum 4th Floor Classroom Renovation
- **k.** Sustainability – Information on Conference

2. Fundraising Report: (Vice President Gerard St. Amand)

This report summarizes fundraising resources committed from July 1, 2011 through September 30, 2011 totaling $2,612,204.00 in support of the university.

3. Research/Grants/Contracts Report: (Provost and Vice President Gail Wells)

This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff during June 2011, 14 grants were awarded. The total amount of money awarded was $2,869,271. From July 1, 2010 through June 30, 2011, the cumulative total number of grants awarded is 113 totaling $13,882,483. During the July, August & September 2011 time period, 33 grants were awarded. The total amount of money awarded was $3,609,155. From July 1, 2011 through June 30, 2012, the cumulative total number of grants awarded is 33 totaling $3,609,155.

4. Annual Financial Report: (Vice President Ken Ramey)

Please refer to the University's 2010-2011 Annual Financial Report. The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

C. Presidential Recommendations:

Consent Agenda Items: Regent Dustin R. Robinson seconded Regent Nathaniel G. Smith's motion to approve the following Presidential Recommendations as listed: *C-1 through C-12.* **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:
Kristi Haik, Associate Professor of Biology and Interim Associate Dean in the College of Arts and Sciences, and Director of the Center for Integrative Natural Science and Mathematics (CINSAM), effective January 1, 2012.

b. Resignations:
James H. Bast, Master of Business Administration Director/Lecturer, Department of Management, effective October 7, 2011; **John E. Clarkin**, Entrepreneurship Institute Director, Department of Management, effective December 31, 2011; **Daniel Curtin**, from the position of Professor of Mathematics and Interim Associate Dean of Arts and Sciences and Interim Director of the Center for Integrative Natural Science and Mathematics (CINSAM), to Professor of Mathematics in the Department of Mathematics and Statistics, effective January 1, 2012.

2. Non-Academic Affairs Personnel Actions:
The following categories of non-academic personnel actions which occurred between August 17, 2011 and October 11, 2011 received approval by the Board of Regents: Activations, Reassignments, Reclassifications, Title/Status Changes, Promotions, Transfers, Contract/Temporary to Regular, Departures, Administrative.

3. Academic Affairs Temporary Appointments:
Edwin King, Department of Accounting, Finance, and Business Law, 2011-2012 AcademicYear; **Sarah Lanman**, Department of Counseling, Social Work and Leadership, 2011-2012 AcademicYear; **Kristen Neumann**, Department of Nursing, 2011-2012 AcademicYear; **Causenge Cangin**, Department of Computer Science, 2011-2012 AcademicYear.

4. Academic Affairs Emeritus Status:
Barry Anderson, Professor in the Department of Visual Arts, effective June 1, 2012.

5. NKU Organizational Chart Updates:
The Board of Regents approved the updates to the organizational chart of the university.

6. Major Gifts Acceptance:
The Board of Regents accepted the contributions totaling $585,070 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period August 1, 2011 through September 30, 2011.

7. 2012- 2014 Capital Budget Request:
The Board of Regents adopted the proposed 2012-2014 Capital Budget.

8. WNKU Surplus Assets:
The Board of Regents declared surplus to the university's needs various assets purchased as part of the university's acquisition of WFPB (Middletown, Ohio). Assets proposed to be sold include: the AM license and related AM equipment currently located at Middletown, Ohio;

and, land, tower and buildings, or some portion thereof, located at Middletown, Ohio.

9. Naming Reccomendation:

The Board of Regents approved the following naming of spaces and rooms within Griffin Hall, the new College of Informatics building, in recognition of private gifts: The digitorium, second level as *George and Ellen Rieveschl Digitorium*; the media lab, second level as *Scripps News Laboratory*; the second level commons area as *Eva G. and Oakley Farris Commons*; the digital media lab, second level as *Macy's Digital Media Lab*; the graduate seminar suite, third level as *R. C. Durr Graduate Seminar Suite*; the interactive communications display screen, second level lobby as *In Appreciation General Cable*; the landscaped area near drop-off circle drive for Student Union/Griffin Hall as *In Appreciation Jack and Joyce Steinman*; the student lounge, second level as *In Appreciation Trivantis Corporation*; an editing room, second level as *In Appreciation Steven L. and Nancy Frank*; an editing room, second level as I*n Appreciation Steven G. Cuntz/BlueStar Inc.*; an editing room, second level as *In Appreciation Alan J. Hartman, '78 Chase/DBL Law*; an editing room, second level as *In Appreciation Judith and Michael Gibbons*. Additionally, the Board of Regents approved the naming of an endowed scholarship in support of Chase College of Law students in recognition of a private gift as *The Gregory and Cynthia Sizemore Endowed Scholarship in Transactional Law*.

10. Revised Fees and Service Charges:

The Board of Regents authorized the additions and changes to fees and service charges for the 2011-12 fiscal year as noted in the Board of Regents' proposal.

11. Performance Metrics and Targets:

The Board of Regents endorsed the performance metrics and targets developed by NKU in coordination with the Kentucky Council on Postsecondary Education..

12. Annual Financial Statements:

Board of Regents accepted (1) The NKU audited financial statements for the fiscal year ended June 30, 2011, (2) The independent auditor's report on internal controls based on the audit of the University's financial statements, (3) The federal program audit required by the U.S. Office of Management and Budget Circular A-133.

At 1:35 p.m., Regent Terry L. Mann seconded Regent Virginia G. Fox's motion to adjourn. **(Motion carried)**

Signature on File	***Signature on File***
Kate M. Ritter	**Kathryn J. Herschede**
Senior Administrative Assistant	**Executive Assistant to the President/**
Office of the President	**Secretary to the Board of Regents**

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on November 9, 2011, and that such matters are still in force and effect.

Signature on File

Dennis Repenning
Secretary of the Board of Regents

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
December 8, 2011

Regent Terry L. Mann, Chair, called the special meeting of the Board of Regents to order at 1:40 p.m., Thursday, December 8, 2011.

Roll Call: Eric M. Brose, Chuck H. Brown, Martin C. Butler, Virginia G. Fox, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Dustin R. Robinson, Nathaniel G. Smith, Brenda L. Wilson (Excused: Elizabeth L. Thompson)

Other Attendees: James Votruba, Katie Herschede, Kate Ritter, Tammy Knochelmann, Chris Cole, Cliff Peale, Tracy Insko, Bill Farro, Jonathan Erion, Kathy Stewart, Lisa Rhine, Don Gorbandt, Tim Sofranko, Mary Kirk, Sue Moore, Joe Wind, David Bender, Gerry St. Amand, Scott Eaton, Dave Witzgall, Rick Meyer, Jane Meier, Kathy Steffen, Chrissy Soards, Stephen Wilder, Kevin Erpenbeck, Ted Gumbart, Chris Hafling, Ken Ramey, T.J. Feuebach, Alice Sparks, Kurt Moeller, Gail Wells, Pat Moynahan, Sara Sidebottom, Mike Anderson, Andy Meeks, Jeff McCurry, Kelly Marksberry, Dennis Egan, Denny Wright, Mike Tusse, Jim Nilson, Terry Boehker, Tom Ramstetter, Alicia Lawrence, Brittany Booth, Tom McKee, Danny Rasp, Molly Hutchison, Carly Case

Report from the Division I Subcommittee (report given by Regent Nathaniel G. Smith, Chair of the Division I Subcommittee)
The Division I Subcommittee met on May 10 and December 1 to consider both the overall transition to Division I intercollegiate athletics and to consider conference affiliation. The subcommittee is unanimous in its support of moving to Division I and is enthusiastic about the Atlantic Sun Conference. Regent Brown and Regent Hawkins joined me as members of the sub-committee.

During our meetings we had an opportunity to hear from both Dr. Scott Eaton and from Ken Kline, the university's budget director.

We carefully studied the costs associated with transitioning to a new athletic classification and are confident that the increased costs are manageable and will not adversely impact other important priorities at the university.

Through our deliberations, we learned that the A-Sun conference is a good fit for the university. The conference offers competitive teams in all sports, has a strong RPI ranking and most importantly, has a demonstrated record of student-athlete academic success. We learned that a full two-thirds of all student athletes in the Atlantic Sun have a 3.0 GPA or higher and this

matches the number of NKU student-athletes who also have a 3.0 GPA or higher.

The subcommittee unanimously recommends that the board accept the recommendation to transition to the university's athletics program to Division I and to accept membership into the Atlantic Sun Conference.

Presidential Comments:

Dr. James Votruba noted:
We have spent the last six years studying whether NKU should move to Division I athletics. This university behaves in a disciplined way and we don't do things until we're prepared. We have sought input from the campus and community, two consultants groups have provided us with their analysis of the feasibility to reclassify to Division I, and I've spoken with over a dozen presidents from campuses that have gone D-I recently to more deeply understand the advantages from their perspective.

We found NKU is poised to make the move if we chose. There is strong community, student, faculty, and staff support as long as the move does not undermine investments in other core mission priorities. After all of this study and input, it is the recommendation of the administration that a move to D-I will bring benefit to the entire institution. It brings greater public visibility. It contributes to the capacity to recruit and retain strong students. It will align us with a set of institutions that, in terms of enrollment size, breadth of curriculum, quality of faculty, and excellence across the mission, are more reflective of who we are today and what we would like to become in the future.

The NCAA requires that any school reclassifying to D-I must have a bona fide conference invitation and this is what brings us together today.

Dr. Votruba then introduced Scott Eaton, who introduced the Commissioner of the Atlantic Sun Conference, Ted Gumbart. Dr. Eaton and Commissioner Gumbart discussed why Northern Kentucky University and the Atlantic Sun Conference are a great match. At the end of the discussion, Commissioner Gumbart extended an invitation to join the Atlantic Sun conference to NKU.

A. Presidential Recommendations:

Regent Dustin Robinson seconded Regent Terry Mann's motion to approve the following Presidential Recommendations as listed. **(Motion carried)**

1. The Board of Regents unanimously approved the reclassification to Division I athletics and membership in the Atlantic Sun Conference.

At 1:59 p.m., Regent Martin C. Butler seconded Regent Nathaniel G. Smith's motion to adjourn. **(Motion carried)**

Signature on File ***Signature on File***

Kate M. Ritter
Senior Administrative Assistant
Office of the President

Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the special meeting held on December 8, 2011, and that such matters are still in force and effect.

Signature on File

Dennis Repenning
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, January 10, 2012 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, January 11, 2012 – 8:30 a.m.

8:30 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1. Legal Informatics (Wells, Honabach, Garon)
2. Faculty Professional Development Programs (Wells, Bardgett, Hu)
3. College of Business (Wells, Kolbe)
4. Wellness (Ramey, Campbell)

Board Lunch
NKU, Student Union, Room 108 – Wednesday, January 11, 2012 – 11:30 a.m.

11:30 a.m.

- Board of Regents Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, January 11, 2012 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of October 27, 2011 and November 9, 2011, Board Minutes
- Presidential Comments
- Subcommittee on Presidential Transition Report
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Report, Secretary of the Board of Regents
- Presidential Search and Screening Committee Report, Chair of the PSSC

1:20 p.m.

B. <u>Presidential Reports</u>:

1. Facilities Management (Ramey)
2. Quarterly Financial Report (July 1, 2011 to September 30, 2011) (Ramey)
3. Fundraising Report (July 1, 2011 to November 30, 2011) (St. Amand)
4. Research/Grants/Contracts Report (October 2011 to November 2011) (Wells/Hillard)
5. Faculty Development Awards- Summer Fellowships (Wells)
6. Faculty Development Awards- Project Grants (Wells)

1:40 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Academic Affairs Temporary Appointments
4. *Academic Affairs Emeritus Status
5. *Academic Affairs Sabbatical Leave Recommendations (2012-2013)
6. *Major Gifts Acceptance

2:00 p.m.

D. <u>Executive Session</u>

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Kate Ritter in the Office of the President, 572-5172, by 2 p.m., Monday, January 9, 2012).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
January 11, 2012

Regent Terry L. Mann, Chair, called the regular meeting of the Board of Regents to order at 1:00 p.m., Wednesday, January 11, 2012.

Roll Call: Eric M. Brose, Martin C. Butler, Virginia G. Fox, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Dustin R. Robinson, , Elizabeth L. Thompson, Brenda L. Wilson (Excused: Chuck H. Brown, Nathaniel G. Smith)

Other Attendees: James Votruba, Katie Herschede, Kate Ritter, Tammy Knochelmann, Tracy Insko, Chris Bowling, Bill Farro, Sara Sidebottom, Lisa Rhine, Gerry St. Amand, Gail Wells, Ken Ramey, Joe Wind, Sue Hodges Moore, Chris Cole, Tim Sofranko, Rick Kolbe, Denise Robinson, Kevin Kirby, Alex Lefebvre, Jason Willis, Kathy Stewart, Sarah Aikman, Karli Wood, Carole Beere, Jeff Waple, Francois LeRoy, Jan Hillard, Rick Meyers, Joel Robinson, Don Gorbandt, Russ Kerdolff, Larry Meyer, Vickie Natale

Regent Eric M. Brose seconded Regent Dennis Repenning's motion to approve the minutes of the October 27, 2011 and the November 9, 2011 Board of Regents meetings. **(Motion carried)**

Report on Subcommitte on Presidential Transition: (Report given by Vice Chair, Brenda Wilson)
The Presidential Transition Committee, comprised of Regent Mann, Bob Zapp, and Regent Wilson, met at the end of December to consider three proposals related to the honoring of President Votruba. Each of these proposals was vetted through the normal university process with one change. Instead of sending the proposals to the President, the proposals were sent directly to the subcommittee.

The subcommittee believes that these recognitions are well deserved and merited given Dr. Votruba's outstanding service.

The Presidential Transition Committee unanimously recommends that the board take the following actions:

1. Approve the naming of the Student Union in honor of President and Mrs Votruba. Regent Robinson and his colleagues in Student Government initiated a resolution to do this and it was passes unanimously by Student Government. The resolution was then forwarded to the university's naming committee where it was also unanimously

approved.

2. Approve the awarding of an honorary degree to President Votruba at the May, 2012 commencement. This proposal has been vetted by the honorary degree committee at the university and was unanimously approved.

3. Approve naming a new privately-endowed scholarship fund, the "James and Rachel Votruba Endowed Scholarship Fund." The university has received nearly $200,000 in private gift commitments for this purpose and additional fundraising efforts throughout the remainder of this fiscal year will provide others the opportunity to contribute to this fund as a way of participating directly in honoring and thanking the Votrubas.

Regent Dustin R. Robinson seconded Regent Brenda L. Wilson's motion to approve the three recommendations of the Presidential Transition Committee. (**Motion carried)**

Presidential Comments:

Dr. James Votruba noted:
I am deeply moved by the actions just taken by the Board of Regents to honor Rachel and me. For both of us, NKU has been a labor of love for 15 years. Thank you to the Board of Regents, Dustin Robinson, and the Student Government for the action you took a few moments ago. I couldn't imagine a better place to work than Northern Kentucky University.

There are serious budget challenges ahead. There are additional budget reductions coming our way in the neighborhood of 7%, next fiscal year. We will not know anything for sure until the Governor's budget address on January 17th. NKU is well positioned to handle these reductions, but they will cause pain for our campus, our students in terms of affordability and access, and NKU's capacity to support regional programs.

On January 17, there will be an announcement about one of the most ambitious economic development initiatives ever undertaken regionally. NKU's Colleges of Business, Informatics, and Health Innovations are front and center in the initiative.

A. Joint Finance and Policy Committee: (Reports given by Secretary, Dennis Repenning)
Regent Attendees: Eric M. Brose, Martin C. Butler, Virginia G. Fox, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Dustin R. Robinson, Elizabeth L. Thompson, Brenda L. Wilson

Report on Law and Informatics Institute:

This morning Jon Garon, Professor of Law and inaugural Director of the Law and Informatics Institute, presented an overview of the Institute and its work. The Law & Informatics Institute provides an interdisciplinary approach to the study, research, scholarship, and practical

application of informatics, focusing on the regulation and utilization of information.

Report on Professional Development Programs:
This morning Dr. Gail Wells, Vice President for Academic Affairs and Provost, presented an overview of the three faculty development programs offered at NKU – sabbatical leaves, summer fellowships, and project grants.

Dr. Yi Hu, Assistant Professor, Department of Computer Science and Dr. Mark Bardgett, Professor, Department of Psychological Science, shared their recent professional development experiences with the Board.

A booklet summarizing 2010-11 faculty development award activities was shared with the Board.

A list of the 2012-13 faculty development award activities is recommended for your approval.

Report on College of Business:
This morning the Board received an overview of the Haile/US Bank College of Business' programs and its people. The presentation highlighted present accomplishments as well as future initiatives. Presenters included: Dr. Rick Kolbe, Dean of the Haile/U.S. Bank College of Business; Dr. David Raska, Assistant Professor, Marketing; Dr. Eileen Weisenbach Keller, Assistant Professor, Marketing and Director, of the Fifth Third Bank Entrepreneurship Institute; and Dr. Ihab Saad, Chair, Department of Construction Management.

Report on Wellness:
Vice President Ramey and Karen Campbell, Director of Wellness provided an overview of the universities wellness program, a model that has received regional and national attention. This recognition culminated with NKU winning The Business Courier competition as "Healthiest Employers of Greater Cincinnati" for the 1,500-4,999 employees category in 2011. The Award methodology was based on a web based assessment survey comprised of 75 scored questions. The categories, scoring, and analysis were developed by a private panel of medical, academic, business, wellness, finance, and statistics professionals. This achievement speaks volumes as to how far NKU has come in its commitment to the wellness and health of our faculty, staff and students.

The program will continue to evolve in 2012 as we support the 4th year of individual health screenings and continued dialogue related to transitioning the campus to an entirely tobacco free environment.

Audit Committee Report: (Report given by Regent Dennis Repenning, Audit Committee Chair)
Yesterday members of the Audit Committee met with Larry Meyer, Director of the Financial and Operational Audit Office. Vice-President Ramey and President Votruba were also present. This was one of three regularly scheduled meetings between the Internal Auditor and the Committee.

Mr. Meyer provided a report on his office's activity during the second quarter of this fiscal year. Larry reviewed recently completed audit reports and gave us an update on the audit plan for the remainder of the year.

The Audit Committee received an update on the actions taken by management to correct the minor recommendations issued by our external auditors. Each recommendation has either been corrected or is in-process and on schedule.

Finally, Larry provided the members with a report that addressed the Kentucky Auditor of Public Accounts recommendations for nonprofit boards to consider. In general the University has already established procedures that incorporate many of the recommendations.

Before the meeting ended the Audit Committee met privately with Larry to discuss issues outside the presences of management.

Search and Screening Committee Report: (Report given by Regent Martin C. Butler, Chair of the Presidential Search and Screening Committee)
In November we issued a vacancy notice for the Presidency in multiple publications. We are about to begin reviewing preliminary active prospects. The search firm has continued to do an excellent job at generating a pool of active prospects. We will begin to narrow down the list to identify individuals we would like to meet with further. The finalists names will be made public, and they will be brought to campus for meetings. We expect the campus visits will take place between March 15 and March 30th.

B. Presidential Reports:

1. **Facilities Management Report:** (Vice President Ken Ramey)
   - **a.** UC Renovation- Student Success Center
   - **b.** Campus Recreation Center Expansion/Renovation
   - **c.** Fine Arts Floor Heaving
   - **d.** UC/AC Tunnel Renovation
   - **e.** Fire Alarm Equipment Repair Renovation
   - **f.** Landrum HVAC Upgrades
   - **g.** Steely Library 2nd floor Restroom Renovation Project
   - **h.** Johns Hill Road

i. Landrum 4th Floor Classroom Renovation
j. Sustainability

2. Quarterly Financial Report (Vice President Ken Ramey)
The Quarterly Financial Report depicts the financial activity of the University during the period of July 1, 2011, through September 30, 2011, compared to the annual budget and the prior year's activity.

3. Fundraising Report: (Vice President Gerard St. Amand)
The Fundraising Report summarizes fundraising resources committed from July 1, 2011 through November 30, 2011 totaling $3,071,697 in support of the university.

4. Research/Grants/Contracts Report: (Provost and Vice President Gail Wells)
This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff for October through November 2011. During the October - November 2011 time period, 12 grants were awarded. The total amount of money awarded was $1,168,158. From July 1, 2011 through November 30, 2011, the cumulative total number of grants awarded is 45 totaling $4,777,313.

5. Faculty Development Awards- Summer Fellowships: (Provost and Vice President Gail Wells)
The Summer Fellowship program is designed to encourage faculty to engage in individual research, scholarly writing, and creative and artistic projects and to share the results of their efforts with the broader University community.

6. Faculty Development Awards- Project Grants: (Provost and Vice President Gail Wells)
Faculty Project Grants are awarded to encourage professional growth through financial support for independent research. The University recognizes the role of research as an integral part of professional activity of the faculty. Faculty Project Grants are intended to provide funds for research equipment and activities that are not available through program budgets.

C. <u>Presidential Recommendations:</u>
Consent Agenda Items: Regent Charles E. Hawkins seconded Regent Virginia G. Fox's motion to approve the following Presidential Recommendations as listed: *C-1 through C-6.* **(Motion carried)**

1. Academic Affairs Personnel Actions:
a. Administrative Appointments:
Kathleen Carnes, Lecturer of Business Law (non-tenure track renewable) in the Department of Accounting, Finance, and Business Law, effective January 1, 2012

b. Leaves Paid/Unpaid
Andrea Knarr, Lecturer in the Department of Visual Arts, ¼ time unpaid leave with benefits for spring semester 2012

c. Retirement
Vance D. Wolverton, Professor of Music, effective December 31, 2011

2. Non-Academic Affairs Personnel Actions:
The following categories of non-academic personnel actions which occurred between October 12, 2011 and December 6, 2011 require approval by the Board of Regents: Activations, Reassignments, Reclassifications, Title/Status Changes, Promotions, Transfers, Contract/Temporary to Regular, Departures, Administrative.

3. Academic Affairs Temporary Appointments:
Bryan McIntyre, Department of English, 2012 Spring Semester; **Meredith Smith**, Department of History and Geography, 2012 Spring Semester; **Catherine Ingram**, Department of Counseling, Social Work and Leadership, 2012 Spring Semester; **Rodney Valandra**, Department of Counseling, Social Work and Leadership, 2012 Spring Semester

4. Academic Affairs Emeritus Status:
MaryCarol Hopkins, Associate Professor in the Department of Sociology, Anthropology, and Philosophy, May 2012

5. Academic Affairs Sabbatical Leave Recommendations (2012-2013)
Diana McGill, Chemistry; **Maureen Doyle**, Computer Science; **KC Russell,** Chemistry; **Catherine Neal,** Accounting, Finance & Business Law; **Jimmie Manning,** Communication; **Zach Hart,** Communication; **Sharon Vance,** History & Geography; **Vincent Owhoso**, Accounting, Finance & Business Law; **Donelle Dreese**, English; **Bianca Prather-Jones**, Teacher Education; **Chris Christensen**, Mathematics & Statistics; **Rudy Garns**, Sociology, Anthropology, & Philosophy; **Phil McCartney**, Mathematics & Statistics; **Daryl Orth**, Construction Management; **Chenliang Sheng**, English; **George Manning**, Psychological Science; **Cheryl Swayne**, Advanced Nursing Studies

6. Major Gifts Acceptance:
The Board of Regents officially hereby accepted contributions totaling $116,141.87 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period October 1, 2011 through November 30, 2011.

D. Executive Session
Regent Dustin R. Robinson seconded Regent Brenda L. Wilson's motion to enter into Executive Session pursuant to KRS 61.810(1) (C) in order to discuss pending litigation against the University. **(Motion carried)**

Regent Virginia G. Fox seconded Regent Brenda L. Wilson's motion to close Executive Session. **(Motion carried)**. No business other than pending litigation was discussed.

Regent Charles E. Hawkins seconded Regent Eric M. Brose's motion to appoint an ad hoc committee related to the issues discussed in Executive Session. **(Motion carried)**

At 2:00 p.m., Regent Dustin R. Robinson seconded Regent Brenda L. Wilson's motion to adjourn. **(Motion carried)**

Signature on File
Kate M. Ritter
Senior Administrative Assistant
Office of the President

Signature on File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on January 11, 2012, and that such matters are still in force and effect.

Signature on File
Dennis Repenning
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, March 13, 2012 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, Student Union, Room 104 – Wednesday, March 14, 2012 – 8:30 a.m.

8:30 a.m.

A. Joint Finance and Policy Committee:

1. Information Technology Update (Wells, Ferguson)
2. State and Federal Legislative Issues Update (Wind)
3. Proposed Fees & Service Charges and Budget Update (Votruba)

Board Lunch
NKU, Student Union, Room 108 – Wednesday, March 14, 2012 – 11:30 a.m.

11:30 a.m.

- Board of Regents Luncheon

Board of Regents Meeting
NKU, Student Union, Room 104 – Wednesday, March 14, 2012 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of December 8, 2011 and January 11, 2012, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Presidential Search and Screening Committee Report, Chair of the PSSC
- Subcommittee on Presidential Transition Report

1:20 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Quarterly Financial Report (July 1, 2011 to December 31, 2011) (Ramey)
3. Fundraising Report (July 1, 2011 through January 31, 2012) (St. Amand)
4. Research/Grants/Contracts Report (December 2011 to January 2012) (Wells/Hillard)
5. Organizational Changes Report (Moore)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Academic Affairs Reappointment, Promotion and Tenure (RPT)
4. *Academic Affairs Emeritus Status
5. *Department Chairs Handbook Revisions
6. *Major Gifts Acceptance
7. *Schedule of Fees and Service Charges
8. *Organizational Chart Update
9. *Honorary Degree Candidate- Dustan E. McCoy

2:00 p.m.

D. Executive Session

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Kate Ritter in the Office of the President, 572-5172, by 2 p.m., Monday, March 12, 2012).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
March 14, 2012

Regent Terry L. Mann, Chair, called the regular meeting of the Board of Regents to order at 1:00 p.m., Wednesday, March 14, 2012.

Roll Call: Eric M. Brose, Martin C. Butler, Virginia G. Fox, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Dustin R. Robinson, , Elizabeth L. Thompson, Brenda L. Wilson (Excused: Chuck H. Brown, Nathaniel G. Smith)

Other Attendees: James Votruba, Katie Herschede, Kate Ritter, Tammy Knochelmann, Tracy Insko, Chris Bowling, Bill Farro, Sara Sidebottom, Lisa Rhine, Gerry St. Amand, Gail Wells, Ken Ramey, Joe Wind, Sue Hodges Moore, Chris Cole, Tim Sofranko, Rick Kolbe, Denise Robinson, Kevin Kirby, Jason Willis, Kathy Stewart, Sarah Aikman, Karli Wood, Carole Beere, Jeff Waple, Francois Le Roy, Jan Hillard, Don Gorbandt, Russ Kerdolff, Larry Meyer, Vickie Natale, Courtney Harnett, Cliff Peale, Georgina Alamilla, Peggy Dejaco, Alar Lipping, Darrin Surrey, Kent Johnson, Angie Schaeffer, Paul Orscheln, Ken Kline, Pat Moynahan

Regent Eric M. Brose seconded Regent Elizabeth L. Thompson's motion to approve the minutes of the December 8, 2011 and the January 11, 2012 Board of Regents meetings. **(Motion carried)**

Presidential Comments:

Dr. James Votruba noted:

As you saw this morning, technology is changing the way universities go about their work. It is impacting every dimension of our work at NKU. I recommend that the Board annually review how technology is shaping the institution. We have had the benefit of strong leadership from Tim Ferguson, our CIO, and his team who have dedicated themselves to not only meet the IT needs of the campus, but also to implementing the SAP system which has been a monumental task. A special thanks to all the women and men who have brought us to this point.

This morning I updated the board on budget development in the face of yet additional state budget reductions. We have a history of conservative budgeting, and we will adjust to the proposed $3.2 million budget cut if it comes to pass. We will manage the reductions without major disruptions in our work, and we will protect our core. This will be accomplished because of the leadership of our vice presidents, deans, directors, and chairs along with a whole host of leaders throughout the institution who continue to find ways to achieve progress in spite of daunting financial challenges.

This group of Vice Presidents and the groups they represent understand all of the problems this university and higher education confront. In spite of all of that, they have found ways to maintain the momentum.

However, the impact of historic underfunding, repeated state budget reductions, and unfunded mandates like maintenance and operating for new facilities and increased KERS/KTRS contributions will put at risk our ability to achieve what was so central to postsecondary reform fifteen years ago.

Recall that House Bill 1 was not about higher education as an end in itself. It was about higher education as a foundation for Kentucky's economic growth and enhanced opportunity and quality of life for all of our citizens. The impact of these budget reductions and unfunded mandates puts at significant risk our capacity to serve the goals of HB 1.

At a time when access to postsecondary education has never been more important for individual and regional progress, and when demand for access to NKU has never been stronger, these budget decisions put at risk our capacity to continue to grow enrollments. In other words, they place access at risk.

At a time when regional employers are demanding new and expanded academic programs in such areas as health professions, informatics, and STEM, our capacity to fund such new and expanded programs will be limited at best.

At a time when our region is calling on us to be a full partner in supporting economic growth through such initiatives as UpTech, we will not have the capacity to respond to the level required.

At a time when talent defines regional destiny and enhanced P-12 education is a foundation for any economic development strategy, these budget decisions will force us to curtail our P-12 engagement.

At a time when families and students are struggling with the impact of this prolonged recession, we will again be forced to raise tuition in order to sustain the quality of our enterprise.

From my perspective, there are two realities that I want to emphasize. First, we will adjust to these budget decisions. We will adjust with minimal disruption in our core enterprise. Second, the negative consequences of these decisions for our students and for our region have the potential to be significant. The risk is not to the university. The risk is to the goals of HB 1, which are even more important today than they were fifteen years ago.

I want to acknowledge Staff Congress and their decision to name the Staff Congress scholarship in my honor. I am deeply touched by Staff Congress taking this step because I so deeply appreciate all the contributions that our staff have contributed to the university's progress.

I would like to acknowledge three new members of our leadership team. Paul Orscheln, the new Associate Vice President for Enrollment Management; Kent Johnson, Director of General Education; and Beth Sweeney, the new Associate Provost for Administration.

A. Joint Finance and Policy Committee: (Reports given by Secretary, Dennis Repenning)
Regent Attendees: Eric M. Brose, Virginia G. Fox, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Dustin R. Robinson, Elizabeth L. Thompson, Brenda L. Wilson

Report on Information Technology Update:
Associate Provost and Chief Information Officer, Tim Ferguson, provided an update on the NKU Information Technology department. Also participating in the presentation were Sarah Mann, Associate Director, Center for Innovation and Technology in Education, Mark Wasicsko, Dean College of Education and Human Services, and Kevin Kirby, Dean of the College of Informatics.

The presentation discussed the growing impact of technology on education, future trends in higher education relating to technology, and the changing expectations of students.

The Board learned about the four different IT strategies including: Impact Student Success & Learning Outcomes, Optimize University Operations, Strive for Informational Technology Operational Excellence, and Strengthen the Information Technology Infrastructure.

The presentation concluded with a review of how the Office of Information Technology is supporting the NKU campus through transformational projects aligning with the University's Points of Focus.

Report on State and Federal Legislative Issues Update:
Joe Wind, Vice President for Government and Community Relations provided a policy update outlining issues at the federal and state levels.

At the federal level, the university is focused on securing grants and applied research faculty visits to key agencies. Potential reductions in Pell Grants and other student financial aid programs could significantly impact students. The university has had good success with placing and connecting interns and continues to help place alumni career seekers.

At the state level, the budget forecast continues to dominate the landscape. NKU is $19.3 million underfunded compared to its sister institutions and closing this inequity gap is a major focus, as well as getting approval to issue agency bonds.

Report on Proposed Fees & Service Charges and Budget Update:
President Votruba provided an update of the university's 2012-13 budget. It will be another challenging budget year as the university is anticipating a 6.4% cut from the State and will be addressing an additional $3.2 million increase in non-discretionary expenses. The university is planning for a 6.4% cut to operating budgets. However, the university plans to continue with its current enrollment strategy while maintaining sufficient budget reserves.

Search and Screening Committee Report: (Report given by Regent Martin C. Butler, Chair of the Presidential Search and Screening Committee)
The process has been going very well. The semi-finalist interviews have been finalized, and the committee is seeking additional information on the candidates. The finalists' campus visits will be scheduled within the next two weeks. The visits are expected to be scheduled the weeks of March 26 and April 2. More information will be passed along as it is received.

Subcommittee on Presidential Transition Report: (Report given by Regent Brenda L. Wilson)
A recommendation from Staff Congress was sent to the university's naming committee asking that the Staff Congress Scholarship be renamed to honor President Votruba.

Staff Congress unanimously supports this name change for an existing scholarship to honor President Votruba for his 15 years of unwavering support of Staff Congress, his exceptional service to the university, and his strong commitment to student success. The existing scholarship is awarded by Staff Congress to a son, daughter, or spouse of a permanent NKU staff member.

The university's naming committee also unanimously approved the recommendation and sent it to the Presidential Transition Subcommittee for our deliberation.

The Presidential Transition Subcommittee supports this recommendation, effective immediately.

Regent Dustin R. Robinson seconded Regent Brenda L. Wilson's motion to approve the Presidential Transition Subcommittee's recommendation to rename the Staff Congress scholarship. **(Motion carried)**

B. Presidential Reports:

1. **Facilities Management Report:** (Vice President Ken Ramey)
   - **a.** UC Renovation- Student Success Center
   - **b.** Campus Recreation Center Expansion/Renovation

c. Fine Arts Floor Heaving
d. Fire Alarm Equipment Repair Renovation
e. Landrum HVAC Upgrades
f. Steely Library 2nd floor Restroom Renovation Project
g. Johns Hill Road
h. Roadway Lighting and Campus Gateways
i. Landrum 4th Floor Classroom Renovation
j. Sustainability

2. **Quarterly Financial Report** (Vice President Ken Ramey)
The Quarterly Financial Report depicts the financial activity of the University during the period July 1, 2011 through December 31, 2011, compared to the annual budget and the prior year's activity.

3. **Fundraising Report:** (Vice President Gerard St. Amand)
The Fundraising Report summarizes fundraising resources committed from July 1, 2011 through January 31, 2012 totaling $3,883,598 in support of the university.

4. **Research/Grants/Contracts Report:** (Provost and Vice President Gail Wells)
This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff for October through November 2011. During the December 2011 – January 2012 time period, 16 grants were awarded. The total amount of money awarded was $396,655. From July 1, 2011 through January 31, 2012, the cumulative total number of grants awarded is 61 totaling $5,173,968.

5. **Organizational Changes Report:** (Vice President Sue Moore)
Under the direction of Academic Affairs, the Department of Business Informatics established the Center for SAP Education and Business Intelligence (CSAP/BI) in December, 2011. The Center was established to develop partners to help expand the SAP and Business Intelligence graduate and undergraduate curriculum, especially in the areas of Business Intelligence and Business Analytics. The CSAP/BI will facilitate incorporation of real-world, data-driven, case-based examples, and will enable dissemination of proficiencies gained through publications and presentation. The partnerships with the greater community established with this program and the value those partnerships bring to student learning and experiences support the university's missions of regional stewardship and of educating students to succeed in their chosen life roles.

C. Presidential Recommendations:

Consent Agenda Items: Regent Virginia G. Fox seconded Regent Dennis Repenning's motion to approve the following Presidential Recommendations as listed: *C-1 through C-9.* **(Motion carried)**

1. **Academic Affairs Personnel Actions**:
   a. **Administrative Appointments:**
   Eileen Weisenbach Keller, from Associate Professor in the Department of Marketing, Economics and Sports Business, to Associate Professor and Entrepreneurship Institute Director in the Department of Marketing, Economics and Sports Business, effective January 1, 2012; **Kevin Kirby**, from Interim Dean for the College of Informatics, to Dean of the College of Informatics and Evan and Lindsay Stein Professor of Biocomputing, effective January 1, 2012; **Paul Orscheln**, Associate Vice President for Enrollment Management, effective February 13, 2012
   b. **Faculty Appointments**
   Alain Krapl, Assistant Professor of Finance in the Department of Accounting, Finance and Business Law, effective August 13, 2012; **Jaime McCauley**, Assistant Professor in the Department of Sociology, Anthropology, and Philosophy, effective August 13, 2012; **Marcos Misis**, Assistant Professor in the Department of Political Science and Criminal Justice, effective August 13, 2012; **Ryan Salzman**, Assistant Professor in the Department of Political Science and Criminal Justice, effective August 13, 2012; **Jessica Averitt Taylor**, Assistant Professor in the Department of Counseling, Social Work and Leadership, effective August 13, 2012
   c. **Leaves Paid/ Unpaid**
   Diana McGill, Professor in the Department of Chemistry, paid chair re-entry leave with benefits, beginning July 1, 2012, and ending December 31, 2012
   d. **Retirement**
   Rachelle Bruno, Professor in the Department of Teacher Education, effective May 13, 2012; **Paul D. Cooper**, Associate Professor in the Department of Construction Management, effective May 12, 2012**; Suzanne Soled**, Professor in the Department of Teacher Education, participation in the Phased Retirement Program for the 2012-2013 and 2013-2014 academic years, effective August 15, 2012
   e. **Resignation**
   Julie Kunselman, Professor in the Department of Political Science and Criminal Justice, effective January 8, 2012; **Carol Scherbak**, Assistant Professor in the Department of Allied Health, effective January 31, 2012

2. **Non-Academic Affairs Personnel Actions:**
   The following categories of non-academic personnel actions which occurred between December 7, 2011 and February 14, 2012 require approval by the Board of Regents: Activations, Reassignments, Reclassifications, Title/Status Changes, Promotions, Transfers, Contract/Temporary to Regular, Departures, Administrative.

3. **Academic Affairs Reappointment, Promotion and Tenure (RPT):**
   The following recommendations on promotion, tenure, continued probation, continued probation with conditions to be removed, and terminal reappointment received Board of Regents approval.

4. **Academic Affairs Emeritus Status:**
   Margaret Anderson, Professor in the Department of Nursing, effective January 1, 2012; **Rachelle Bruno**, Professor in the Department of Teacher Education, effective May 13, 2012;

Nan Littleton, Associate Professor in the Department of Counseling, Social Work and Leadership, effective December 13, 2011

5. Department Chairs Handbook Revisions

The Board of Regents approved the following revisions to the *Department Chairs Handbook*.

6. Major Gifts Acceptance:

The Board of Regents officially hereby accepted contributions totaling **$569,295.00** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period December 1, 2011 through January 31, 2012 per the list in the meeting materials.

7. Schedule of Fees and Service Charges

The Board of Regents authorized the proposed Schedule of Fees and Service Charges for the 2012-13 academic year.

8. Organizational Chart Update

The Board approved the proposed changes to the university organizational chart.

9. Honorary Degree Candidate- Dustan E. McCoy

Dustan E. McCoy, received an Honorary Degree - Doctorate of Laws. The recommendation comes from the Honorary Degree Committee, and has been approved and endorsed by the Vice President for Academic Affairs & Provost as well as the President.

D. Executive Session

Regent Dennis Repenning seconded Regent Terry L. Mann's motion to enter into Executive Session pursuant to KRS 61.810(6) in order to discuss applicants considered by the presidential search. **(Motion carried)**

Regent Dennis Repenning seconded Regent Brenda L. Wilson's motion to close Executive Session. **(Motion carried)**. No business other than applicants considered by the presidential search were discussed.

At 2:37 p.m., Regent Virginia G. Fox seconded Regent Eric M. Brose's motion to adjourn. **(Motion carried)**

Signature on File
Kate M. Ritter
Senior Administrative Assistant
Office of the President

Signature on File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on March 14, 2012, and that such matters are still in force and effect.

Signature on File

Dennis Repenning
Secretary of the Board of Regents

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
April 13, 2012

Regent Terry L. Mann, Chair, called the special meeting of the Board of Regents to order at 8:30 a.m. on Friday, April 13, 2012.

Roll Call: Eric M. Brose, Chuck H. Brown, Martin C. Butler, Virginia G. Fox, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Dustin R. Robinson, Nathaniel G. Smith, Elizabeth L. Thompson (Excused: Brenda L. Wilson, on the telephone)

A. Executive Session

Regent Dustin R. Robinson seconded Regent Terry L. Mann's motion to enter into Executive Session pursuant to KRS 61.810(6) in order to discuss candidates considered by the presidential search. **(Motion carried)**

Regent Dennis Repenning seconded Regent Martin C. Butler's motion to close Executive Session. **(Motion carried)**. No business other than candidates considered by the presidential search were discussed.

At 1:50 p.m., Regent Virginia G. Fox seconded Regent Nathaniel G. Smith's motion to adjourn. **(Motion carried)**

Signature on File
Kate M. Ritter
Senior Administrative Assistant
Office of the President

Signature on File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on April 13, 2012, and that such matters are still in force and effect.

Signature on File
Dennis Repenning
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Board of Regents Meeting
NKU, Greaves Concert Hall – Tuesday, April 17, 2012 – 10:30 a.m.

10:30 a.m.

- Call to Order
- Roll Call

10:35 a.m.

A. Recommendation

1. Presidential Search Selection

Board of Regents Meeting
Northern Kentucky University, Greaves Concert Hall
April 17, 2012

Regent Terry L. Mann, Chair, called the special meeting of the Board of Regents to order at 10:30 a.m. on Tuesday, April 17, 2012.

Roll Call: Eric M. Brose, Chuck H. Brown, Martin C. Butler, Virginia G. Fox, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Dustin R. Robinson, Nathaniel G. Smith, Elizabeth L. Thompson (Excused: Brenda L. Wilson)

Regent Mann addressed the audience and thanked the members of the search committee for their dedication over the past nine months. He also thanked the members of the faculty, staff, students and community constituents who participated in the candidate forums and provided valuable feedback. Lastly, he thanked Dr. and Mrs. Votruba for positioning the university where we are today.

The entire Board of Regents seconded Regent Martin C. Butler's motion to approve Mr. Geoffrey S. Mearns as the next president of Northern Kentucky University effective August 1, 2012. A roll call vote was taken and the **motion passed unanimously**.

Regent Mann invited Mr. Mearns to the podium to make his remarks.

At 11:05 a.m., Dustin R. Robinson seconded Regent Terry L. Mann's motion to adjourn. **(Motion carried)**

Signature on File
Kate M. Ritter
Senior Administrative Assistant
Office of the President

Signature on File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on April 17, 2012, and that such matters are still in force and effect.

Signature on File
Dennis Repenning
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, May 1, 2012 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Lakeside Park, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, May 2, 2012 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Housing Presentation (Rhine/Trentacoste/Slaughter)
2. 2012-13 Operating Budget and Tuition Rates (Votruba)

Board Lunch
NKU, Student Union, Ballroom – Wednesday, May 2, 2012 – 11:30 a.m.

11:30 a.m.

- Student Awards Luncheon and Naming of Student Union

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, May 2, 2012 – 2:00 p.m.

2:00 p.m.

- Call to Order
- Roll Call
- Approval of March 14, 2012, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Summary, Secretary of the Board of Regents
- Subcommittee on Presidential Transition Report

2:20 p.m.

B. Presidential Reports:

1. Facilities Management (Ramey)
2. Quarterly Financial Report (July 1, 2011 to March 31, 2012) (Ramey)
3. Fundraising Report (July 1, 2011 through March 31, 2012) (St. Amand)
4. Research/Grants/Contracts Report (December 2011 to January 2012) (Wells/Hillard)
5. Organizational Changes Report (Moore)

2:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Academic Affairs First Year Faculty
4. *Academic Affairs Reappointment, Promotion and Tenure (RPT)
5. *Admissions & Academic Policy Committee Proposal- Awarding Posthumous Degrees
6. *Major Gifts Acceptance
7. *2012-13 Operating Budget
8. *2012-13 Tuition Rate Schedule

3:00 p.m.

D. Executive Session

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Kate Ritter in the Office of the President, 572-5172, by 2 p.m., Monday, April 30, 2012).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
May 2, 2012

Regent Terry L. Mann, Chair, called the regular meeting of the Board of Regents to order at 2:00 p.m., Wednesday, May 2, 2012.

Roll Call: Eric M. Brose, Chuck H. Brown, Martin C. Butler, Virginia G. Fox, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Dustin R. Robinson, Nathaniel G. Smith, Elizabeth L. Thompson, Brenda L. Wilson

Other Attendees: James Votruba, Katie Herschede, Kate Ritter, Tammy Knochelmann, Tracy Insko, Chris Bowling, Bill Farro, Sara Sidebottom, Lisa Rhine, Gerry St. Amand, Gail Wells, Ken Ramey, Joe Wind, Sue Hodges Moore, Chris Cole, Tim Sofranko, Rick Kolbe, Denise Robinson, Kevin Kirby, Jason Willis, Kathy Stewart, Sarah Aikman, Jeff Waple, Francois Le Roy, Jan Hillard, Don Gorbandt, Russ Kerdolff, Larry Meyer, Vickie Natale, Peggy Dejaco, Alar Lipping, Paul Orscheln, Ken Kline, Pat Moynahan, Josh Myers, Rick Meyers, Larry Blake, Erik Pederson, Lori Southwood, Steve Meier, Jacqueline McNally, Karen Kruer, Diane Hunley, Mary Paula Schuh, Jane Meier, Kristi Haik, Rachel Votruba

Regent Virginia G. Fox seconded Regent Nathaniel G. Smith's motion to approve the minutes of the March 14, 2012 Board of Regents meetings. **(Motion carried)**

Resolutions for Completion of Regent Term:

Chair Terry L. Mann offered a resolution recognizing Regent Martin C. Butler for the completion of his term as Chair of the Presidential Search and Screening Committee.

Chair Terry L. Mann offered a resolution recognizing Regent Dustin R. Robinson for the completion of his term and dedication to the university.

Chair Terry L. Mann offered a resolution recognizing Regent Eric M. Brose for the completion of his term and dedication to the university.

Chair Terry L. Mann offered a resolution recognizing Regent Charles E. Hawkins for the completion of his term and dedication to the university.

Chair Terry L. Mann offered a resolution recognizing Regent Chuck H. Brown for the completion of his second term and dedication to the university.

Subcommittee on Presidential Transition Report:
Regent Chuck H. Brown seconded Regent Brenda L. Wilson's motion to approve President Emeritus status for James C. Votruba, effective immediately. **(Motion carried)**

Chair Terry L. Mann offered a resolution recognizing President James C. Votruba for his tenure as President of the university.

Presidential Comments:
Dr. James Votruba noted:
This is a time of celebration. We will award 1,600 degrees this Sunday. We were also named the Healthiest Employer of Greater Cincinnati in the 1,000-5,000 employees category for the second year in a row.

Later in this meeting, the board will be asked to approve the 2012-13 annual operating budget. Even with another significant state budget reduction, this budget invests in priorities important to our ability to sustain institutional momentum. Included in these priorities are more full-time tenure and tenure track faculty, the launch of a new systems engineering program, a significant upgrade in smart classrooms, a nearly $5 million investment in the University Center renovation to become a new center for student success, deferred maintenance and a 3% non-recurring merit salary increase.

This budget also depends on a 5% tuition increase. The continuing transfer of college cost for the state to the individual student is shortsighted and will weaken the capacity of Americans to achieve the American dream. The Council on Postsecondary Education has done research that suggests tuition increases have done nothing but cover the multiple budget reductions imposed over the past several years. I am recommending this tuition increase because it is essential in order to preserve the quality of our academic experience.

This is my last Board of Regents meeting as President. It has been said that a successful presidency depends on a strong partnership between the board and the president.

I would like to give a special thanks to the departing Regents: Chuck Brown, Chuck Hawkins, Eric Brose and Dustin Robinson for their service to the university.

Today, we welcome three new Regents: Dr. Jacqueline McNally, Associate Professor of Communication Studies; Steve Meier, Associate to the Dean of Students; Erik Pederson, newly elected Student Government Association President.

Today, I would like to thank the devoted university leaders who comprise our Executive Team. Katie Herschede, Executive Assistant to the President/Secretary to the Board of Regents; Gail Wells, Vice President for Academic Affairs and Provost; Ken Ramey, Vice President for Administration and Finance; Gerry St. Amand, Vice President for Advancement; Sue Hodges Moore, Vice President for Planning, Policy and Budget; Lisa Rhine, Interim Vice President for Student Affairs; Joe Wind, Vice President for Government and Community Relations; Sara Sidebottom, Vice President for Legal Affairs and General Counsel. I would also like to thank my Assistant, Tammy Knochelmann.

Each of these individuals has understood that one of their most important functions is to support the president and the board in making informed decisions that move the university forward. They have worked tirelessly to frame issues, prepare board materials and do the due diligence necessary before a matter ever reaches the board for its consideration.

On a final note, the most important function of a governing board is the selection and evaluation of the president. Eighteen months ago, we set in motion what we hoped would be a textbook presidential transition process. As the process draws to a close, I believe it has met that high standard. On behalf of the entire university, thank you for your leadership.

Geoff Mearns and I are working closely to assure a smooth transition. We are speaking regularly and reviewing those matters that will require his attention.

A. Joint Finance and Policy Committee: (Reports given by Secretary, Dennis Repenning)
Regent Attendees: Eric M. Brose, Chuck H. Brown, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Dustin R. Robinson, Elizabeth L. Thompson, Brenda L. Wilson

Report on Housing:
Lisa Rhine, Interim Vice President for Student Affairs, Peter Trentacoste, Interim Assistant Vice President for Student Affairs and Arnie Slaughter, Interim Director of University Housing along with representatives from MGT America Inc., Joseph Carter and Ray Thompson, provided a presentation on University Housing.

Results of the MGT Feasibility Study conducted in 2008:

- Indicated that there is no immediate need for expansion of university housing.
- Identified physical deficiencies in on-campus accommodations and made recommendations for improvement.
- Provided key targets for improvements in Kentucky, Commonwealth, Norse Halls, and Woodcrest Apts
- Offered a near-term plan for renovations and improvements to existing housing stock vs. building additional beds

- Focused on maintaining housing system's financial self-sufficiency

Since the feasibility Study was conducted in 2008, University Housing has invested over 4 million dollars in major and minor improvements. University Housing will continue to monitor demand for on-campus housing, continue to address deferred maintenance in residential housing properties and consider building or purchasing a facility to add 200 beds by 2014 in order to meet projected demand.

Report on 2012-13 Operating Budget and Tuition Rates:
President Votruba, Dr. Sue Hodges Moore, Vice President for Planning, Policy & Budget, and Ken Kline, Senior Budget Director, provided an overview of the 2012-13 annual operating budget, and proposed tuition rates and fees for 2012-13.

The total operating budget for the university is proposed at $223 million. Projected revenue increases and reallocated funds will be used to fund fixed cost increases, state mandated increases in employer retirement contributions, reductions in state appropriations, essential expenditures, and high priority investments.

Tuition rates are proposed at a 5% increase in undergraduate resident tuition in accordance with the Council on Postsecondary Education's tuition caps. Other rates are based on CPE policy and market forces.

Audit Committee Summary: **(**Report given by Secretary, Dennis Repenning)
On May 1st members of the Audit Committee met with Larry Meyer, Director of the Financial and Operational Audit Office. Vice-President Ramey and President Votruba were also present. This was one of four regularly scheduled meetings between the Internal Auditor and the Committee.

Larry provided a report on his office's activity since our last meeting in January. He summarized the findings and recommendations for seven recently completed audits. He also gave the committee an update on the projects that are currently in process and on track to be completed prior to the end of the fiscal year. The Committee was also informed that next week the external auditor firm Dean Dorton Allen Ford will be on campus to begin preliminary testing for the June 30, 2012 year-end audit.

Finally, Internal Audit is in the process of developing their audit plan for the next fiscal year. Larry provided the committee with an update on the status of that process. Once finalized the audit plan will be submitted to the members of the Audit Committee for comment and approval.

B. Presidential Reports:

1. **Facilities Management Report:** (Vice President Ken Ramey)
   - **a.** UC Renovation- Student Success Center
   - **b.** Campus Recreation Center Expansion/Renovation
   - **c.** Fine Arts Floor Heaving
   - **d.** Fine Arts 3rd Floor Practice Music Rooms Renovation Project
   - **e.** Fire Alarm Equipment Repair Renovation
   - **f.** Landrum HVAC Upgrades
   - **g.** Steely Library 2nd floor Restroom Renovation Project
   - **h.** Roadway Lighting and Campus Gateways
   - **i.** Johns Hill Road
   - **j.** Landrum 4th Floor Classroom Renovation
   - **k.** Business Academic Center Hydraulic Elevator Jack Replacement
   - **l.** Ceramics Building Roof Replacement
   - **m.** Steely Library Roof Repairs and Replacement
   - **n.** Fine Arts Roof Repairs and Replacement
   - **o.** Sustainability

2. **Quarterly Financial Report** (Vice President Ken Ramey)
   The Quarterly Financial Report depicts the financial activity of the University during the period July 1, 2011 through March 31, 2012, compared to the annual budget and the prior year's activity.

3. **Fundraising Report:** (Vice President Gerard St. Amand)
   The Fundraising Report summarizes fundraising resources committed from July 1, 2011 through March 31, 2012 totaling $5,076,661 in support of the university.

4. **Research/Grants/Contracts Report:** (Provost and Vice President Gail Wells)
   This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff during the February – March 2012 time period, 15 grants were awarded. The total amount of money awarded was $330,450. From July 1, 2011 through March 31, 2012, the cumulative total number of grants awarded is 76 totaling $5,504,417.

5. **Organizational Changes Report:** (Vice President Sue Moore)
   Under the direction of University Advancement, the Office of Special Events was renamed to the Office of Special Events and Stewardship in the fall 2011. The Office of Special Events was established in 2002 to produce and coordinate a variety of events on and off campus that recognize, thank, congratulate and celebrate special people and moments in the life of NKU. In this way, they help support and cultivate enduring relationships with NKU faculty, staff, students, alumni, the Northern Kentucky community and our donors. The major events on their annual schedule are winter and spring commencement ceremonies and the Lincoln Award ceremony, which recognizes individuals who have had a tremendous and positive influence on our community. Other types of events that the office helps coordinate include

building groundbreakings, dedications, and donor gift recognition events. They also partner with community organizations to produce public interest events such as political debates and forums.

In 2008 a decision was reached by University Advancement to eliminate a newly created position, Director of Donor Relations and Stewardship, to meet FY09 budget reduction targets. At the same time, Advancement and university leadership acknowledged the urgent need to steward a growing number of significant major donors secured from a recently completed comprehensive fundraising campaign. Consequently, recurring salary reserve funds within University Advancement were authorized to be used in support of supplemental pay for staff members in the Office of Special Events. In consideration of this pay, two positions, Director and Coordinator, have assumed responsibilities for planning, implementing, and monitoring the president's and vice president of advancement's stewardship activities for the university's highest level donors.

Given the economic climate and subsequent re-structuring efforts within University Development in support of major gift efforts, it is not expected that a new dedicated stewardship position will be considered in the foreseeable future. Additionally, the current approach has been very successful. As a result, it was determined that the permanent assignment of planning and coordination of presidential stewardship activities go to the Director and Coordinator positions in the office of Special Events and that the department be given the new, more appropriate name of Special Events and Stewardship.

Under the direction of Academic Affairs and the Office of Information Technology, the IT Learning Systems organization unit was renamed IT Infrastructure as of March 1, 2012. Since IT Learning Systems no longer exists within the OIT, this change will facilitate administrative changes and ensure that charges are moved to the appropriate cost center/org unit.

C. <u>Presidential Recommendations:</u>

Consent Agenda Items: Regent Nathaniel G. Smith seconded Regent Martin C. Butler's motion to approve the following Presidential Recommendations as listed: *C-1 through C-8.* **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Katherine Kurk, continuing as Interim Chair and Professor in the Department of World Languages and Literatures, effective July 1, 2012 through December 31, 2012; **Verl Pope,** Professor and Chair, Department of Counseling, Social Work, and Leadership, effective July 1, 2012; **Keith Walters,** from Associate Professor in the Department of Chemistry to Chair and Associate Professor in the Department of Chemistry, effective July 1, 2012

b. Faculty Appointments

Matthew Albritton, Assistant Professor in the Department of Visual Arts, effective August 13, 2012; **Matthew Asare,** Assistant Professor in the Department of Kinesiology

and Health, effective August 13, 2012; **David Childs,** Assistant Professor in the Department of Teacher Education, effective August 13, 2012; **James Koschoreck,** Associate Professor in the Department of Counseling, Social Work & Leadership, effective August 13, 2012; **S. Austin Lee,** Assistant Professor in the Communication Department effective August 13, 2012; **Gabriel Sanders,** Assistant Professor in the Department of Kinesiology and Health, effective August 13, 2012; **Kirsten Schwarz,** Assistant Professor in the Department of Biological Sciences, effective August 13, 2012; **Jennifer Sharp,** Assistant Professor in the Department of Counseling, Social Work and Leadership, effective August 13, 2012; **Justin Smith,** Assistant Professor in the Computer Science Department effective August 13, 2012; **Rachele Vogelpohl,** Assistant Professor in the Department of Kinesiology and Health, effective August 13, 2012; **Nicholas Zeman,** Assistant Professor in the Communication Department effective August 13, 2012

c. Leaves Paid/ Unpaid

Robin Bartlett, Associate Professor in the Department of Psychological Science, unpaid leave with benefits during the 2012-2013 academic year; **Kim Dinsey-Read,** Assistant Professor in the Department of Nursing, unpaid leave at 50% with benefits for the 2012-2013 academic year; **Carol Lawrence,** Professor in the Department of Accounting, Finance and Business Law, paid administrative re-entry leave with benefits, for the fall 2012 semester; **Greg Martin,** Associate Professor in the Department of Marketing, Economics and Sports Business, paid administrative re-entry leave with benefits, for the fall 2012 semester

d. Resignation

Helene Harte, from the position of Assistant Professor in the Department of Teacher Education, effective August 1, 2012; **Carol Lawrence,** from the position of Professor and Chair in the Department of Accounting, Finance and Business Law, to the position of Professor in the Department of Accounting, Finance and Business Law, effective June 30, 2012; **Greg Martin,** from the position of Associate Professor and Chair in the Department of Marketing, Economics and Sports Business, to the position of Associate Professor in the Department of Marketing, Economics and Sports Business, effective June 30, 2012; **Rachel Noll,** Assistant Professor in the Department of Teacher Education, effective May 31, 2012; **Paul Tenkotte,** from the position of Professor and Chair in the Department of History and Geography, to the position of Professor in the Department of History and Geography, effective June 30, 2012; **Melanie Walters**, Lecturer in the Department of Nursing, effective June 30, 2012; **Jack Warfield**, Lecturer in the Department of Advanced Nursing (HRSA – NEL Grant Position), effective February 29, 2012

2. Non-Academic Affairs Personnel Actions:

The following categories of non-academic personnel actions which occurred between February 15, 2012 and April 3, 2012 require approval by the Board of Regents: Activations, Reassignments, Reclassifications, Title/Status Changes, Promotions, Transfers, Contract/Temporary to Regular, Departures, Administrative.

3. Academic Affairs First Year Faculty

The following recommendations on continued probation received Board of Regents approval.

Erin D. Strome, Department of Biological Sciences**; Kebede Gemene,** Department of Chemistry**; Whitfield Knox,** Department of Music**; Grant Thomas E. Lambert,** Department of Political Science & Criminal Justice**; Frederick Klaits,** Sociology, Anthropology, & Philosophy**; Michael Hatton,** Department of Theatre & Dance**; Benjamin E. Baran,** Department of Management**; Carole Cangioni,** Department of Management**; Sandra Spataro,** Department of Management**; Dana Harley,** Department of Counseling, Social Work & Leadership; **Kerry Sebera,** Department of Counseling, Social Work & Leadership; **Tammie Sherry**, Department of Teacher Education; **Julie Miller**, Department of Advanced Nursing Studies; **Lynn Smith**, Department of Nursing; **Samuel Goh**, Business Informatics; **Stephanie Klatzke**, Department of Communication; **Sara Lynn Drabik**, Department of Communication; **Eric Alden**, Department of Law; **Amy Halbrook**, Department of Law; **Jack Harrison**, Department of Law; **David Singleton** Department of Law

4. **Academic Affairs Reappointment, Promotion and Tenure (RPT):**
   The recommendation on promotion received Board of Regents approval.

5. **Admissions & Academic Policy Committee Proposal- Awarding Posthumous Degrees**
   The proposal on the awarding of posthumous degrees received approval by the Board of Regents.

6. **Major Gifts Acceptance:**
   The Board of Regents officially hereby accepted contributions totaling $836,276.50 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period February 1, 2012 through March 31, 2012 per the following list.

7. **2012-13 Operating Budget**
   The Board of Regents approved the following resolution establishing and approving the Fiscal Year 2012-13 Annual Unrestricted Operating Budget for Northern Kentucky University.

8. **2012-13 Tuition Rate Schedule**
   The Board of Regents endorsed the tuition and mandatory fee (Campus Recreation Building Fee) rates for the 2012-13 academic year at the rates proposed in the Authorized Schedule of Tuition (attached). The President submitted proposed tuition rates for the 2012-13 academic year to the Council on Postsecondary Education (CPE) for approval at the April 20, 2012 CPE meeting. The Board of Regents will be notified of CPE's decision on NKU's proposed rates. CPE has the statutory authority to set tuition rates and mandatory fees for Northern Kentucky University in accordance with KRS 164.020.

D. Executive Session

Regent Nathaniel G. Smith seconded Regent Terry L. Mann's motion to enter into Executive Session pursuant KRS 61.810(1)(F) in order to discuss a personnel issue. **(Motion carried)**

Regent Dennis Repenning seconded Regent Elizabeth L. Thompson's motion to close Executive Session. **(Motion carried)**. No business other than the personnel issue was discussed.

Regent Eric M. Brose seconded Regent Nathaniel G. Smith's motion to allocate $500,000 of the reserve funds to Geoffrey Mearns during his first year as President. **(Motion carried)**

At 3:30 p.m., Regent Virginia G. Fox seconded Regent Nathaniel G. Smith's motion to adjourn. **(Motion carried)**

Signature on File
Kate M. Ritter
Senior Administrative Assistant
Office of the President

Signature on File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on May 2, 2012, and that such matters are still in force and effect.

Signature on File
Dennis Repenning
Secretary of the Board of Regents

Board of Regents Retreat
Northern Kentucky University
Griffin Gate Hotel, Lexington, KY
July 26, 2012

Regent Terry L. Mann, Chair, called the Board of Regents Retreat to order at 9:00 am, Wednesday, July 26, 2012.

Roll Call: Richard A. Boehne, Martin C. Butler, Jacqueline S. Emerine, Virginia G. Fox, Terry L. Mann, Stephen A. Meier, Erik Pederson, Dennis Repenning, Nathaniel G. Smith, Elizabeth L. Thompson, Brenda L. Wilson

Other Attendees: Geoffrey Mearns, Katie Herschede, Terry MacTaggert

Oath of Office:
Regent Terry Mann performed the oath of office for the newly elected Regents: Richard A. Boehne, Jacqueline S. Emerine, Stephen A. Meier and Erik Pederson. The newly elected Regent swore to uphold all stipulations of the oath and faithfully execute, to the best of their ability, the duties of Regent of Northern Kentucky University according to law.

Board Leadership Elections:
Regent Martin C. Butler seconded Regent Virginia G. Fox's motion to re-elect Regent Officers as follows. "The Nominating Committee recommends Terry Mann, Chair; Brenda Wilson, Vice-Chair; Dennis Repenning, Secretary. (**Motion carried)**

A sub-committee on policy review was created. It was decided Regent Elizabeth L. Thompson would chair the committee made up by Regent Richard A. Boehne, Regent Brenda L. Wilson and Regent Virginia G. Fox.

At 2:30 p.m., Regent Virginia G. Fox seconded Regent Erik Pederson's motion to adjourn. **(Motion carried)**

Signature on File
Kate M. Ritter
Senior Administrative Assistant
Office of the President

Signature on File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the retreat held on July 26, 2012, and that such matters are still in force and effect.

Signature on File
Dennis Repenning
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, September 11, 2012 – 6:30 p.m.

6:30 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, September 12, 2012 – 9:00 a.m.

9:00 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1. Enrollment Update (Wells, Orscheln)
2. Student Success (Wells, Rhine)
3. Student Success in STEM Disciplines Supported by National Grants (Wells, Mackin, Haik)
4. Athletics (Eaton)

Board Lunch
NKU, Student Union, 108 –September 12, 2012 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, September 12, 2012 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of May 2, 2012, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Summary, Secretary of the Board of Regents

1:20 p.m.

B. <u>Presidential Reports</u>:

1. Facilities Management Report (Ramey)
2. Research/Grants/Contracts Report (April 2012 to June 2012) (Wells)
3. Organizational Changes Report (Moore)
4. Fundraising Report (July 1, 2011 through June 30, 2012) (St. Amand)
5. Fundraising Report (July 1, 2012 through July 31, 2012) (St. Amand)

1:40 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Academic Affairs Temporary Appointments
4. *Northern Kentucky Cooperative for Education Service Agreement
5. *Faculty Handbook Changes- Development Programs
6. *Center for Educational Excellence
7. *Foundation of Knowledge Policies and Procedures Proposal
8. *Revised Fees and Service Charges
9. *Major Gifts Acceptance
10. *Naming Recommendations
11. *Intercollegiate Athletics
12. *Revision to Calendar Committee
13. *University Organizational Chart Update

2:00 p.m.

D. <u>Executive Session</u>

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Katie Herschede in the Office of the President, 572-5172, by 2 p.m., Monday, September 10, 2012).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
September 12, 2012

Regent Terry L. Mann, Chair, called the regular meeting of the Board of Regents to order at 1:00 p.m., Wednesday, September 12, 2012.

Roll Call: Richard A. Boehne, Martin C. Butler, Jacqueline S. Emerine, Virginia G. Fox, Terry L. Mann, Stephen A. Meier, Dennis Repenning, Erik Pederson, Elizabeth L. Thompson, Brenda L. Wilson (Excused: Nathaniel G. Smith)

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Tammy Knochelmann, Tracy Insko, Chris Bowling, Bill Farro, Sara Sidebottom, Lisa Rhine, Gerry St. Amand, Gail Wells, Ken Ramey, Joe Wind, Sue Hodges Moore, Tim Sofranko, Rick Kolbe, Kevin Kirby, Jason Willis, Kathy Stewart, Sarah Aikman, Jeff Waple, Francois Le Roy, Jan Hillard, Russ Kerdolff, Larry Meyer, Vickie Natale, Peggy Dejaco, Paul Orscheln, Ken Kline, Pat Moynahan, Josh Myers, Rick Meyers, Larry Blake, Karen Kruer, Mary Paula Schuh, Kuy Parker, Kent Johnson, Denise Robinson, Kevin Schultz, Scott Eaton, Sandi Gillilan, Ray Mirizzi, Ryan Padgett, Jeffonia Wynn, Mark Wasicsko

New Regents Jacqueline S. Emerine, Stephen A. Meier, Erik Pederson, and Richard A. Boehne took their oaths of office.

Regent Erik Pederson seconded Regent Brenda Wilson's motion to approve the minutes of the May 2, 2012 Board of Regents meetings. **(Motion carried)**

Presidential Comments:
I would like to begin my first report as President of our University with a few words of thanks.

First, I am grateful to the faculty, staff, students, alumni and community partners who have assisted me during the initial phase of my transition. So many people have gone out of their way to welcome me and to provide support and guidance to me during these past few months.

I am especially grateful to the members of our senior leadership team and to my office staff. As a result of my mother's death, I needed extra help during the first few weeks of August. Everyone pitched in so that I could be with my family and still be able to meet my commitments here at NKU.

Finally, I want you -- the Board of Regents -- to know how much I appreciate your support and your compassion. During the search process, I was told that this university is more than an institution -- that it is a community. This characterization has proven to be accurate and I'm very fortunate to be here with you. Thank you.

I am also pleased to report that the new academic year is off to a very good start. At the end of the first week, I sent you a brief email report that described several notable events and achievements. Simply put, there is a strong sense of excitement and optimism on campus.

This morning, you heard a report on student enrollment. As you heard, the incoming freshman class is the most academically qualified class in our university's history, and we have a large number of students from outside our metropolitan area. These facts suggest that our university's reputation for academic excellence continues to grow and to spread.

Quantitatively -- in terms of student head count and student credit hours -- enrollment was flat. Fortunately, we built our budget on that estimate so our financial condition is sound. I have asked the Provost and her staff to develop new initiatives to grow enrollments for Spring 2013 and Summer 2013, and I am expecting that we will have an enhanced enrollment strategy for next academic year.

In order for these plans to be effective, we must continue to focus on student success -- that is, increasing our student retention rates and improving our graduation rates.

You heard this morning about the progress in constructing a new Student Success Center. Our objective is to create a one-stop student support center where our staff is prepared and empowered to serve our students and to help them succeed. I expect this center to be collaborative, innovative, and impactful.

This morning you also received a report on our transition to Division I athletics. As we all anticipated, this transition will require our coaches and our athletes to compete on a higher level. I am pleased to report that our student athletes are meeting that challenge. Our women's volleyball deserves some special recognition. The team's record is 9-1. And last weekend, they won a tournament at Harvard. They secured that title by beating their host, three games to none.

The campus has responded with great enthusiasm to our sports teams. As I mentioned in my email, more than 1200 people, including approximately 600 students, attended a men's soccer exhibition team against the University of Kentucky. And last week, more than 1200 people, including 650 students, attended a women's soccer game against Xavier.

These games, and many other activities, are helping us to create a vibrant campus experience for our students.

With respect to all of these activities -- enrollment, student success and athletics as well as all of the other important activities -- we must continue to develop and execute deliberate plans. As you know, the university's current strategic plan is intended to guide our actions through 2014. During the next twelve to eighteen months, we will continue to implement that plan while we develop the next strategic plan, which will guide our progress and growth through 2018 -- our 50^{th} anniversary.

In early October, I will convene a meeting of university leaders. The purpose of this retreat will be to ensure that we are actively implementing the current plan and preparing for the next planning process.

In order to be able to participate meaningfully in these processes, I will continue to engage the university community, both internally and externally. As I mentioned at Convocation, I will soon distribute a stakeholder survey. I am also in the process of scheduling more than twenty meetings on campus with faculty, staff and students. And I will continue to meet with a substantial number of our community partners.

The overarching goal of these activities is to learn what these individuals believe are the distinctive attributes of our university -- to understand what values and characteristics distinguish NKU and position this university to thrive in an increasingly competitive and integrated world.

I am excited and energized by what I already know and what I expect to learn. I have great faith that we will meet the challenges that await us. Thank you.

Joint Finance and Policy Committee Summary (Reports given by Dennis Repenning, Secretary)

Enrollment Report

Provost Gail Wells and Associate Vice President Paul Orscheln gave a presentation focused on the enrollment for Fall 2012. The following statistics were discussed:

- Preliminary undergraduate enrollment is 13,413 students. Preliminary total enrollment is 15,723.
- The freshmen entering class is the most academically prepared in NKU's history.
- Fully online courses increased 35% compared to last fall and enrollments in fully online programs increased 12.5%
- International enrollments increased by 17% compared to last year, and we now enroll student from 51 countries.

In addition, current and future enrollment initiatives were briefly highlighted.

Student Success Report

Provost Gail Wells and Interim Vice President Lisa Rhine gave a presentation focused on the Student Success Center. Their presentation included a brief overview of the facilities, offices and services included in the new Center, and new initiatives and partnerships underway to help enhance student success.

In addition, facility members Kristi Haik and Gail Mackin showcased *Project SOAR: Scholarships, Opportunities, Achievements, and Results*. This program funded with grants from the National Science Foundation seeks to recruit, retain, educate, and graduate financially needy, academically talented students who will enter the workforce or graduate school in a STEM field.

Intercollegiate Athletics Report
Scott Eaton, Kurt Moeller and Kathy Steffen from the Division of Intercollegiate Athletics provided an update of their programs and the status of the reclassification process. After a review of the 2011-12 athletic and academic accomplishments, a review of the reclassification process (scheduling and financial aid requirements) was provided along with an update of the Division I Financial Plan, including information regarding athletics revenues. Initiatives for season tickets, marketing, sponsorships (a collaboration with Nelligan Sports Marketing) and development (Go Gold Campaign) were discussed.

The summary was presented by Secretary Dennis Repenning. Regent Erik Pederson seconded Regent Virginia G. Fox's motion to approve the report. **(Motion carried)**

Audit Committee Summary (Report given by Dennis Repenning, Secretary)

On September 11, 2012, members of the Audit Committee met with Larry Meyer, Director of the Financial and Operational Audit Office, Vice President Ken Ramey, and President Mearns to discuss recent internal audit activity. This was one of three regularly scheduled meetings between the Internal Auditor and the Committee.

Larry provided a report on the activity completed by his office since our last meeting in May. He summarized the findings and recommendations for recently completed audits. He also gave the committee and update on the projects that are currently in process and on pace to be completed before our next meeting in January.

Larry and the Committee discussed the 2012-13 Internal Audit plan. The plan is a result of a risk assessment process that Internal Audit completed during the Spring with input from University management. Sixteen new audit projects are included on this year's schedule. After some discussion the plan was approved.

Finally, Vice President Wells joined the meeting and she and Vice President Ramey led a discussion on the METS Center and The Bank of Kentucky Center operations, financials and business plan.

The summary was presented by Secretary Dennis Repenning. Regent Richard A. Boehne seconded Regent Stephen A. Meier's motion to approve the report. **(Motion carried)**

B. Presidential Reports:
1. Facilities Management Report (Vice President Ken Ramey)
    a. UC Renovation – Student Success Center
    b. Campus Recreation Center Expansion/Renovation
    c. Fine Arts Floor Heaving
    d. Fine Arts 3rd Floor Practice Music Rooms Renovation Project
    e. Roadway Lighting and Campus Gateways
    f. Johns Hill Road
    g. Parking Lot "M" Emergency Utility Replacement
    h. Nunn Hall 3rd Floor Renovation

i. Business Academic Center Hydraulic Elevator Jack Replacements
j. Fine Arts Roof Repairs and Replacement
k. Ceramics Building Roof Replacement
l. Steely Library Roof Repairs and Replacement
m. Steely Library Loggia Upgrade
n. Steely Library Window Caulking
o. Fine Arts Fire Curtain Replacement
p. Generator, Lucas Administrative Center
q. Lucas Administrative Center Room 220 Renovation
r. Sustainability

2. Fundraising Report: (Vice President Gerard St. Amand)
The attached Fundraising Report summarizes fundraising resources committed from July 1, 2011 through June 30, 2012 totaling $6,928,404 in support of the university.

3. Fundraising Report: (Vice President Gerard St. Amand)
The attached Fundraising Report summarizes fundraising resources committed from July 1, 2012 through July 31, 2012 totaling $150,200 in support of the university.

4. Research/Grants/Contracts Report: (Provost and Vice President Gail Wells)
This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff. During the April – June 2012 time period, 46 grants were awarded. The total amount of money awarded was **$3,992,817.** From July 1, 2011 through June 30, 2012, the cumulative total number of grants awarded was **122** totaling **$9,493,626.**

5. Research/Grants/Contracts Report: (Provost and Vice President Gail Wells)
The attached report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff for July 2012. From July 1, 2012 through July 31, 2012, the cumulative total number of grants awarded was **7** totaling **$820,040.**

6. Organizational Changes Report (Vice President Sue Hodges Moore)
Effective July 1, 2012, the KCM, an organizational unit that currently reports to the Office of the Vice President for Academic Affairs and Provost will report to Office of the Dean of the College of Arts and Sciences. The KCM was established in 2006 by the state legislature (KRS 164.525) and NKU was subsequently chosen to house the center through June 30, 2016. This reporting change is the result of organizational changes in the college and division to increase efficiency and effectiveness.

Effective immediately, the name of the Department of Political Science and Criminal Justice has been changed to Political Science, Criminal Justice, and Organizational Leadership. With the Organizational Leadership (OL) Program now housed within that department, the current name does not fully convey the identity or accurately represent their identity to their students, faculty, prospective students and faculty, and other stakeholders. The department faculty, the Dean and the Provost unanimously support this change.

Effective immediately, the name for the Career Development Center within the Division of Student Affairs has been changed to Career Services for the following reasons:

- "Career Services" is the contemporary name for this type of office. According to the National Association of Colleges and Employers (NACE), nearly half (45%) of offices responding to the *2011-12 Career Services Benchmark Survey* reported the office title as "Career Services." It is the most popular name. Only 11% of offices still use the name "career development."
- The name better reflects the scope of the office. Career development and career choice is a large part of our mission. However, the staff also prepares students for their job searches (resumes, mock interviews) as well as works to make connections between students and employers through on-campus recruiting, job/career fairs, co-op/internship opportunities, etc.
- The Career Development Center is moving to the second floor of the University Center next spring. If the new "Student Success Center" keeps that name, using the name of "Career Services" instead of "Career Development Center" avoids the problem of a center within a center within the University Center.

C. <u>Presidential Recommendations</u>:

Consent Agenda Items: Regent Virginia G. Fox seconded Regent Martin C. Butler's motion to approve the following President Recommendations as listed: *C-1 through C-13.* **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. <u>Administrative Appointments:</u>

Elizabeth Chaulk, Interim Director of the Office of International Students and Scholars, effective June 18, 2012 through June 30, 2013; **David Dunevant,** continuing as Associate Professor of Music and Interim Chair in the Department of Sociology, Anthropology, and Philosophy; **Kristi Haik,** continuing as Associate Professor of Biological Sciences and Interim Director of the Center for Integrative Natural Science and Mathematics (CINSAM); **Zachary Hart**, from Associate Professor in the Department of Communication, to Interim Chair and Associate Professor, July 1, 2012; **Marla Herron**, Registrar, effective July 16, 2012; **Jayne Lancaster**, from Assistant Professor in the Department of Advanced Nursing Studies, to Interim Chair and Assistant Professor in the Department of Advanced Nursing Studies, effective July 1, 2012 through June 30, 2013; **William Landon,** from Associate Professor in the Department of History and Geography, to Interim Chair and Associate Professor in the Department of History and Geography, effective July 1, 2012; **Stephen Mueller,** from Professor of Entrepreneurship in the Management Department, to Associate Dean and Professor of Entrepreneurship in the Management Department, July 1, 2012; **Doris Shaw,** from Associate Dean and Associate Professor of Marketing, to Chairperson and Associate Professor of Marketing in the Marketing, Economics and Sports Business Department, July 1, 2012; **Peter Theuri,** from Professor in the Department of Accounting, Finance and Business Law, to Professor and Interim Chairperson in the Department of Accounting, Finance and Business Law, effective July 1, 2012 through June 30, 2013;

Jeffrey Ward, from Associate Professor in the Department of Computer Science, to Interim Chairperson and Associate Professor in the Department of Computer Science, effective July 1, 2012 through June 30, 2013

b. Faculty Appointments:

Shannon Alexander, Assistant Professor in the Department of Allied Health, effective August 13, 2012; **Frank Braun**, Assistant Professor in the Department of Business Informatics, effective August 13, 2012; **Jo Anne Davis**, Assistant Professor in the Department of Advanced Nursing Studies, effective August 13, 2012; **Michele Day**, Lecturer (non-tenure track renewable)/Student Media Advisor, Department of Communication, effective August 13, 2012; **Paulette E. Ebert**, Lecturer (non-tenure track renewable) in Learning Assistance Programs, effective August 13, 2012; **Deborah Engel**, Lecturer (non-tenure track renewable) in the Department of Nursing, effective August 13, 2012; **Jennifer Gardner,** Lecturer (non-tenure track renewable) and Sports Business Program Director in the Department of Marketing, Economics and Sports Business, effective July 23, 2012; **Susan Greibling**, Assistant Professor in the Department of Teacher Education in the College of Education and Human Services, effective August 13, 2012; **Edward Jackson, Jr.,** Lecturer (non-tenure track renewable) and MBA Director, in the Department of Management, effective April 9, 2012; **Karen Koner,** Assistant Professor in the Department of Music, effective August 13, 2012; **Christopher Lawrence**, Assistant Professor in the Department of Counseling, Social Work and Leadership in the College of Education and Human Services, effective August 13, 2012; **Kesha Nelson,** Assistant Professor in the Department of Nursing, effective August 13, 2012; **Kristen Neumann**, Lecturer (non-tenure track renewable) in the Department of Nursing, effective August 13, 2012; **Kathleen O'Connor,** Lecturer (non-tenure track renewable) in the Department of Psychological Science, effective August 13, 2012; **Patricia Sunderhaus**, Lecturer (non-tenure track renewable) in the Department of Advanced Nursing Studies, effective August 13, 2012; **Hasan Sapci**, Assistant Professor in the Department of Business Informatics, effective August 13, 2012; **Damon Stevens,** Lecturer (non-tenure track renewable) in the Department of Theatre and Dance, effective August 13, 2012; **Jeff Zimmerman,** Assistant Professor in the Department of Political Science, Criminal Justice and Organizational Leadership, effective August 13, 2012

c. Retirements:

Vicki Abney Ragsdale, Lecturer in the Department of Communication, effective February 23, 2012

d. Resignations:

Hazel Barton, Ashland Endowed Professor of Integrative Science and Associate Professor of Biological Sciences, effective June 4, 2012; **Patricia R. Connelly**, Lecturer, Learning Assistance Programs, effective May 12, 2012; **Kristi Haik,** from the position of Associate Professor of Biological Sciences and Interim Associate Dean of Arts and Sciences and Interim Director of the Center for Integrative Natural Science and Mathematics (CINSAM), to the position of Associate Professor of

Biological Sciences and Interim Director of the Center for Integrative Natural Science and Mathematics (CINSAM); **Mark Hardy,** Associate Professor in the Department of Theatre, effective July 31, 2012; **Frederick Klaits**, Assistant Professor in the Department of Sociology, Anthropology, and Philosophy, effective at the end of spring 2012 semester; **Elizabeth Leibach**, Director of the Office of International Students and Scholars, effective June 15, 2012; **Jimmie Manning**, Associate Professor, Department of Communication, effective August 22, 2012; **Diana McGill,** from the position of Professor and Chair in the Department of Chemistry to Professor in the Department of Chemistry, effective July 1, 2012; **Pam Phares**, Assistant Professor in the Department of Nursing, effective July 31, 2012; **Juliann Bosko Young**, Lecturer, First-Year Programs, effective August 4, 2012

e. Phased Resignations:

Gretchen Vaughn, Associate Professor of Design & Technology, Department of Theatre and Dance, effective fall semester 2012 and terminating her employment after three semesters, ending December 31, 2013

f. Leaves Paid/Unpaid:

Robin Bartlett, Associate Professor in the Department of Psychological Science, unpaid maternity leave with benefits, beginning August 13, 2012 and ending May 18, 2013; **Gloria Carpenter,** Assistant Professor in the Department of Psychological Science, unpaid leave without benefits, beginning August 13, 2012 and ending May 18, 2013; **Kim Dinsey-Read**, Assistant Professor in the Department of Nursing, one year half-time (50%) unpaid leave of absence with benefits for 2012-2013 Academic Year; **Kevin Gallagher**, Assistant Professor in the Department of Business Informatics, unpaid leave without benefits, beginning August 13, 2012 and ending May 18, 2013; **Kimberly McErlane,** Assistant Professor in the Department of Advanced Nursing Studies, one semester unpaid leave of absence with benefits for Fall 2012 semester; **Stephen Newman**, Professor in the Department of Mathematics and Statistics, unpaid leave with benefits, beginning August 13, 2012 and ending December 31, 2012

2. Non-Academic Affairs Personnel Actions:

The following categories of non-academic personnel actions which occurred between April 4, 2012 and August 14, 2012 require approval by the Board of Regents: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Retirees; Administrative

3. Academic Affairs Temporary Appointments:

James Gerner, Department of Biological Sciences, 2012-2013 Academic Year; **Michael Millward,** Department of Biological Sciences, 2012-2013 Academic Year; **Steve Austen,** Department of Chemistry, 2012-2013 Academic Year; **Beatriz Russell,** Department of Chemistry, 2012-2013 Academic Year; **Joan Simunic,** Department of Chemistry, 2012-2013 Academic Year; **Nancy Bowers,** Department of English, 2012-2013 Academic Year; **Kristi Brock,** Department of English, 2012-2013 Academic Year; **Stephen Leigh,** Department of English, 2012-2013 Academic Year; **Meredith**

Singleton, Department of English, 2012-2013 Academic Year; **Mary Bucklin**, Department of History and Geography, 2012-2013 Academic Year; **Terry Fleming,** Department of History and Geography, 2012-2013 Academic Year; **Michael Hinckley,** Department of History and Geography, 2012-2013 Academic Year; **Kathleen Quinn,** Department of History and Geography, 2012-2013 Academic Year; **Meredith Smith,** Department of History and Geography, 2012-2013 Academic Year; **Shelley Cain,** Department of Mathematics and Statistics, 2012-2013 Academic Year; **Michael Collins,** Department of Mathematics and Statistics, 2012-2013 Academic Year; **Chrystal Culbertson,** Department of Mathematics and Statistics, 2012-2013 Academic Year; **Marla Lemmon,** Department of Mathematics and Statistics, 2012-2013 Academic Year; **Barbara Phillips,** Department of Mathematics and Statistics, 2012-2013 Academic Year; **Ralph Stikeleather,** Department of Mathematics and Statistics, 2012-2013 Academic Year; **Laura Urbanski,** Department of Mathematics and Statistics, 2012-2013 Academic Year; **Michael VanPelt,** Department of Music, 2012-2013 Academic Year; **John Zappa,** Department of Music, 2012-2013 Academic Year; **Jeremy Huber,** Department of Physics and Geology, 2012-2013 Academic Year; **Chari Ramkumar,** Department of Physics and Geology, 2012-2013 Academic Year; **Frederick Brockmeier,** Department of Political Science and Criminal Justice, 2012-2013 Academic Year**; Gail O'Brien,** Department of Political Science and Criminal Justice, 2012-2013 Academic Year; **Melody Rawlings** Department of Political Science and Criminal Justice, 2012-2013 Academic Year; **Rebecca Hazen,** Department of Psychological Sciences, 2012-2013 Academic Year; **Julie Steinke,** Department of Psychological Sciences, 2012-2013 Academic Year; **Smita Ward,** Department of Psychological Sciences, 2012-2013 Academic Year; **Gary Blahnik,** Department of Sociology, Anthropology & Philosophy, 2012-2013 Academic Year**; April Callis,** Department of Sociology, Anthropology & Philosophy, 2012-2013 Academic Year**; Nicole Grant,** Department of Sociology, Anthropology & Philosophy, 2012-2013 Academic Year**; Dennis Miller,** Department of Sociology, Anthropology & Philosophy, 2012-2013 Academic Year**; Michael Simonton,** Department of Sociology, Anthropology & Philosophy, 2012-2013 Academic Year**; Marcie Venter,** Department of Sociology, Anthropology & Philosophy, 2012-2013 Academic Year**; Kristina Vise,** Department of Sociology, Anthropology & Philosophy, 2012-2013 Academic Year**; Heather Britt,** Department of Theater and Dance, 2012-2013 Academic Year**; Christine Jones,** Department of Theater and Dance, 2012-2013 Academic Year**; Martin Meersman,** Department of Visual Arts, 2012-2013 Academic Year; **Chris Smith,** Department of Visual Arts, 2012-2013 Academic Year; **Melissa Ibarra,** Department of World Languages and Literatures, 2012-2013 Academic Year**; Mark Lorenz,** Department of World Languages and Literatures, 2012-2013 Academic Year; **Makoto Nakamura,** Department of World Languages and Literatures, 2012-2013 Academic Year**; Dolores Schreiner,** Department of World Languages and Literatures, 2012-2013 Academic Year; **Lorraine Ruh**, Department of Accounting, Finance, and Business Law, 2012-2013 Academic Year; **James Kirtley,** , Department of Accounting, Finance, and Business Law, 2012-2013 Academic Year; **Steve Devoto,** , Department of Accounting, Finance, and Business Law, 2012-2013 Academic Year; **Dan Kent,** Department of Management, 2012-2013 Academic Year; **Louis Manchise, ,** Department of Management, 2012-2013 Academic Year; **C. Edward Heath,** Department of marketing, Economics & Sports

Business, 2012-2013 Academic Year; **Michael Chirichello,** Department of Counseling, Social Work, & Leadership, 2012-2013 Academic Year**; Susan Cook,** Department of Counseling, Social Work, & Leadership, 2012-2013 Academic Year; **James Taylor,** Department of Counseling, Social Work, & Leadership, 2012-2013 Academic Year; **Rachel Noll,** Department of Teacher Education, 2012-2013 Academic Year**; Lisa Pfalzgraf,** Department of Teacher Education, 2012-2013 Academic Year; **Thomas Baxter,** Department of Allied Health, 2012-2013 Academic Year**; Tara Dailey,** Department of Nursing, 2012-2013 Academic Year; **Kimberly Hartley,** Department of Nursing, 2012-2013 Academic Year; **Teresa Huber,** Department of Nursing, 2012-2013 Academic Year; **Anthony Burk**, Department of Business Informatics, 2012-2013 Academic Year; **H. Aylin Sapci,** , Department of Business Informatics, 2012-2013 Academic Year; **James A. Chowning,** Department of Communication, 2012-2013 Academic Year; **J. Michael Skaggs,** Department of Communication, 2012-2013 Academic Year; **Chung-Kuo Chang,** Department of Computer Science, 2012-2013 Academic Year; **Alex Kuhl,** Department of Computer Science, 2012-2013 Academic Year; **Kristin Hornsby,** Honors Program, 2012-2013 Academic Year; **Beverly L. Lawry,** Learning Assistance Center, 2012-2013 Academic Year; **Aaron C. Hirst,** Learning Assistance Center, 2012-2013 Academic Year.

4. Northern Kentucky Cooperative for Education Services Agreement

The Board of Regents authorizes continued participation in the Northern Kentucky Cooperative for Educational Services, a separate administrative board created by Interlocal Cooperative Agreement between certain school districts in the Northern Kentucky area and Northern Kentucky University, and for voting purposes, appoints an alternate representative as requested by the Cooperative.

5. Amendments to the Faculty Policies and Procedures Handbook

The Foundation of Knowledge Policies and Procedures Proposal receive Board of Regents approval. The Faculty Senate passed amendments to the Faculty Handbook at its April 16, 2012 meeting in regard to Faculty Development Programs.

6. Proposal to Formalize the Center for Educator Excellence

Proposal to formalize the Center for Educator Excellence (CEE) in the College of Education and Human Services at NKU receive Board of Regents approval.

7. Foundation of Knowledge Policies and Procedure Proposal

The Board of Regents approves the Foundation of Knowledge Policies and Procedures Proposal

8. Changes and Additions to Fees and Service Charges

That the Board of Regents authorize the following additions and changes to fees and service charges for the 2012-13 fiscal year: Doctor of Education Study Abroad Fee NA $2,850, Alcohol and Drug Education Fee (ADE) NA $50. Construction Management Summer Camp NA $50.

9. Major Gifts Acceptance

The Board of Regents officially hereby accepts contributions totaling $80,000 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period July 1, 2012 through July 31, 2012.

10. Naming Actions

The Naming of an endowed scholarship in support of students majoring in Communication Studies in recognition of a private gift: The Vicki and Gaut Ragsdale Endowed Scholarship. Naming of the specific spaces within The Bank of Kentucky Center for John L. Griffin. Naming of two endowed scholarships in support of students majoring in environmental science and social justice in recognition of a private gift.

11. Create the Division of Intercollegiate Athletics

The Board of Regents approved an organizational change creating the Division of Intercollegiate Athletics reporting directly to the President. This will replace the current Department for Intercollegiate Athletics, which presently reports to the Division of Student Affairs.

12. Revised Calendar Committee

The Board of Regents approved a revised Calendar committee.

13. Organizational Chart Approval

The Board of Regents approved the organizational chart reflecting all NKU Administrative updates through August 20, 2012. The reporting lines listed are for Director level and above, but include; individuals who directly report to the President; Department Chairs under Academic Affairs; Coaching area under Intercollegiate Athletics; and the Manager of the Bookstore/Follet and Food Services/Chartwells, which are separate entities from the University.

D. Executive Session

Regent Erik Pederson seconded Regent Terry L. Mann's motion to enter into Executive Session pursuant KRS 61.810(1)(C) in order to discuss litigation against the university. **(Motion carried)**

Regent Virginia G. Fox seconded Regent Martin C. Butler's motion to close the Executive Session. **(Motion carried)**

At 2:10 p.m., Regent Erik Pederson seconded Regent Virginia G. Fox's motion to adjourn. **(Motion carried)**

Signature on File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on September 12, 2012, and that such matters are still in force and effect.

Signature on File
Dennis Repenning
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, November 13, 2012 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, November 14, 2012 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Annual Financial Report (Ramey)
2. Online Learning/Programs (Wells, Berling)
3. Center for Economic Analysis and Development (Wells, Harrah)

Board Lunch
NKU, Student Union, 108 –November 14, 2012 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, November 14, 2012 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of July 26, 2012 and September 12, 2012, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Summary, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Ramey)
2. Research/Grants/Contracts Report (August 2012 to September 2012) (Wells)
3. Organizational Changes Report (Moore)
4. Fundraising Report (July 1, 2012 through September 30, 2012) (St. Amand)
5. Annual Financial Report (July 1, 2011 through June 30, 2012) (Ramey)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Emeritus Status Appointment
4. *Code of Student Rights and Responsibilities Approval
5. *Major Gifts Acceptance
6. *Naming Recommendation
7. *Audited Financial Statements Acceptance

2:00 p.m.

D. Executive Session

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, November 12, 2012).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
November 14, 2012

Regent Terry L. Mann, Chair, called the regular meeting of the Board of Regents to order at 1:00 p.m., Wednesday, November 14, 2012.

Roll Call: Richard A. Boehne, Martin C. Butler, Jacqueline S. Emerine, Virginia G. Fox, Terry L. Mann, Stephen A. Meier, Dennis Repenning, Erik Pederson, Nathaniel G. Smith, Elizabeth L. Thompson, Brenda L. Wilson

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Tammy Knochelmann, Wendy Peek, Tracy Insko, Chris Bowling, Bill Farro, Sara Sidebottom, Lisa Rhine, Gerry St. Amand, Gail Wells, Ken Ramey, Joe Wind, Sue Hodges Moore, Josh Myers, Brian Roetker, Leah Stewart, Paul Orscheln, Jeff Waple, Arnie Slaughter, Russ Kerdolff, Tim Safranko, Denise Robinson, Jan Hillard, Larry Blake, Andy Meeks, Jason Willis, Don Gorbandt, Karen Koner, Terry Boehmker, Pat Moynahan, Bill Farro, Kevin Kirby, Jay Fairbach, Larry Meyer, Ken Kline, Mary Paula Schuh, Vickie Natale, Nancy Perry, Kathy Stewart, Alar Lipping, Sarah Mann, Nicki Hutchinson, Elaine Guenthner, Deidre Fajak, Rick Kolbe

Regent Stephen A. Meier seconded Regent Erik Pederson's motion to approve the minutes of the July 26, 2012 and September 12, 2012 Board of Regents meetings. **(Motion carried)**

Presidential Comments:

Installation:
A few short weeks ago, many of the same people in this room gathered for my installation. I am very grateful to everyone who helped with this significant effort. I would especially like to thank Regent Mann, Regent Repenning and Regent Fox for serving on the Board sub-committee; Kathy Stewart and Krista Wiseman Moore from our special events office; the 100 students who played in the orchestra and their faculty mentors, Dr. Brant Karrick, Dr. Frank Restesan and Dr. Kurt Sander, chair of the Department of Music. There were many, many more who helped behind the scenes. To everyone, I am deeply appreciative. My family and I were honored by your thoughtfulness and special care.

Transition:
I marked my first 100 days as president of our university on November 8. My first days have been spent meeting many of our dedicated faculty, staff, students, graduates and community partners. I have embarked on a listening tour. So far, I have had 20 listening sessions, and we have three more scheduled. These meetings have been with every college and division at the university, plus meetings with our students and open sessions. Additionally, I sent an email to all faculty, staff, students, graduates and many university friends asking them five questions. The questions centered on our strengths, distinctive attributes, most important issues or concerns for the future, opportunities we should take advantage of, and advice for me. More than 500 individuals responded with very thoughtful feedback. I want to thank everyone who submitted their comments. I wasn't able to respond to each person individually because of the

overwhelming number. But I want to assure everyone that I am reading their comments and am very grateful for their thoughtful feedback.

As I have been reading through the responses, a number of trends have started to emerge.

- There is a deep, shared commitment to student success.
- NKU is a strong community partner, and we benefit the community as much as it benefits us.
- Many noted that they'd like to see us focus more on our specific goals. Once our goals have been identified, we should focus on execution.
- Respondents from all groups are concerned about the rising cost of higher education.
- Many noted the shifting higher education landscape with the emergence of new technologies and increased government regulation.
- People overwhelmingly believe that NKU is a great place to work and learn and our graduates are proud of their degrees from NKU.

Strategic Planning:
A week ago, I emailed our faculty and staff to announce the makeup of the strategic planning committee. As I have shared, I will chair the committee and the committee will be comprised of:

- Three faculty members
- Two staff members
- An academic department chair
- An academic dean
- One student
- One graduate
- One community leader

All faculty and staff members have been encouraged to nominate themselves or a colleague.

In addition to the Strategic Planning Committee, we plan to have several work groups that include subject matter experts from across the campus and the community to assist with the analysis of external environmental trends and forces and the identification of institutional characteristics and distinctive attributes.

I anticipate that we'll begin our work in January.

While there is much work ahead of us, I am excited to begin this process and continue to hear from colleagues across the campus and our community.

Spring Convocation:
We intend to have a Spring Convocation on January 11, 2013. At this convocation, I plan to share three major things:

1. What I have learned during my transition.
2. Progress on implementation of existing strategies.

3. A more detailed description of the expectations for our strategic planning process.

Both the campus and external communities will be invited to the Spring Convocation in mid-April as has become customary. We'll also have an open forum to discuss next year's budget.

Leadership Searches:

As you know, we are in the process of three searches for major leadership positions. We are currently searching for a vice president for student affairs, dean of the College of Education and Human Services, and dean of the Chase College of Law. Each of these search committees is still in the early stages of its work, and I expect that the committees will complete their work in the spring. We have retained the firm of Witt Kieffer to assist us with the Chase College of Law dean search.

Today, I will also send a note to the campus reporting that Gerry St. Amand has informed me that, effective at the end of this academic year, he will step down from his current position as Vice President for University Advancement. In this position, Gerry has been instrumental in increasing philanthropic support for our university and enhancing our university's image and reputation.

Gerry's decision is propelled by his passion to return to the legal profession – a profession that he served for more than 30 years. His decision is also prompted by his desire to have more time and flexibility to be with his wife, Peggy, and their children and grandchildren in North Carolina and Virginia. Given the sacrifices that he and his family have made for us, they all deserve this time to be together.

In just three short months, I have come to appreciate that Gerry is a valuable member of the university leadership team. He is thoughtful and insightful. And his calm demeanor cloaks an abiding passion for our university.

After an administrative leave, Gerry will rejoin the faculty of the law school that he led so ably as Dean. Given his many talents, I also hope that, in addition to his teaching responsibilities, I will be able to persuade him to assist us in other ways as well.

Enrollment Management:

This fall, we launched a new scholarship process to be more competitive with our peer institutions. For the first time in our history, we are making scholarship offers proactively – that is, without requiring an applicant to apply for a scholarship – and also on a rolling basis. As students apply and are accepted to the university, we will review their file and if they qualify for a scholarship, we will extend an offer to them. We expect that this tactic will help us continue to recruit more high-achieving students who may be considering several universities because they will know their scholarship offer from NKU much earlier. In the past, students wouldn't receive an offer from NKU until the end of February. We are monitoring this program closely and comparing projections to actual numbers on a weekly basis.

Additionally, we continue to focus on Spring 2013 enrollments through increased marketing and outreach to potential transfer students. We have contacted more than 30,000 KCTCS transfer-eligible students to share information about NKU.

Simultaneously, we are focused on our Fall 2013 class and beyond. So far we have generated more than 3,500 applications, which is a 52 percent increase from this time last year. Later this month, we will contact more than 100,000 sophomores and juniors to help build interest in NKU.

Student Success Center:

Student success continues to be our paramount goal. The Student Success Center is just one of our many efforts targeted at retention, graduation and overall success of our students. Work to complete the Center is currently on time and within budget expectations. The first phase is expected to be completed and occupied in February. The second phase is targeted to be open at the beginning of the Fall 2013 semester. As I have shared, simply co-locating offices is not enough to promote and sustain excellence in student success. Vice President Wells and Vice President Rhine have been working closely to ensure that the units in the Student Success Center are fully trained and working seamlessly to promote success. I anticipate that there will be significant positive gains as a result of these efforts.

Fall Sports:

Our transition to Division I athletics continues to go well. Our student athletes have responded to the increased competition on the field and continue to excel in the classroom.

- Our women's volleyball team had an outstanding record this year. The team finished the season with a 25-7 record, including 12-6 in the Atlantic Sun Conference which put them in third place. The team finished out its season on the highest note possible by rallying to upset first-place Florida Gulf Coast on the road on Saturday. The team posted the best overall regular season record in the A-Sun during its first season.

- The men's soccer team finished its season with a 7-10-1 overall record. The team's 4-3-1 record in the A-Sun put them in fourth place overall. Of their losses, eight were by just one goal, which gives you an idea of their overall competitiveness.

- The women's soccer team finished its season with a 6-11 overall record. The Norse were 3-6 in the A-Sun, which put them in seventh place overall. Of their losses, nine were by just one goal, again demonstrating their overall competitiveness.

- The men's and women's cross country teams performed very well at the Atlantic Sun Conference Championships held Oct. 27 at Florida Gulf Coast University. The women's team finished eighth out of 10 teams, with every runner attaining a personal best. The men's team finished sixth out of 10 teams. Sophomore JJ Webber finished sixth overall to earn first-team All-Atlantic Sun Conference honors and senior Brendan Chwalek finished tenth overall to earn second-team All-Atlantic Sun Conference honors.

- The men's and women's basketball seasons are now officially underway. The women's first game was last Friday night against a talented University of Cincinnati team and they played Monday against Miami of Ohio. The men officially tip off tonight at 10 p.m. against the University of San Diego. Our first home game will be on November 27, when the women take on Youngstown State in The Bank of Kentucky Center.

Concluding Remarks:

Mr. Chair, that concludes my report to the Board. This academic year has gotten off to a strong start, and I am proud of the progress we are making. I have often said that I was drawn to this position because of our university's greatest assets – our people. My first months on campus continue to reinforce this fact. We should all be very proud of our achievements.

A. Joint Finance and Policy Committee Summary: (Reports given by Dennis Repenning, Secretary)

2011-12 Annual Financial Report

Vice President Ramey and Comptroller Kerdolff presented highlights from the university's 2011-12 Annual Financial Report, including a review of the university's revenues, expenses, assets, liabilities and net assets.

- Operating revenues increased by $9 million in fiscal year 2012, including a $7.6 million increase in net tuition. NKU continues to receive less state appropriations per student than any other comprehensive university in Kentucky. NKU's state appropriations declined by $4.5 million last year and now represent only 23% of NKU's revenues.

- The university's fiscal year 2012 operating expenses per student were less than the national benchmarks and the other comprehensive universities in Kentucky.

- The changes in the university's assets, liabilities and net assets were discussed:

    - Assets grew by $21 million
    - Liabilities grew by $18 million. The review of liabilities included a comparison of NKU's debt capacity to the university's benchmarks.
    - Net assets increased by $3 million for the year primarily due to positive operating results.

Overall, the university's financial performance for fiscal year 2012 was very positive and further strengthened the institutions financial position.

Online Learning/Programs

Gail Wells, Vice President for Academic Affairs and Provost, and Vicki Berling, Executive Director for Educational Outreach, provided an update regarding the university's online initiatives. As reported, online enrollment and course offerings have experienced dramatic growth over the past five years. Duplicate enrollment in online courses has grown from 2,098 in fall 2007 to 7,847 in fall 2012. The number of fully online majors has grown from 468 to 1,142 during that same time period. There are 21 fully online programs now offered.

The growth of online courses/programs is a strategic priority of the university. The intentional growth of these courses/programs has been supported by the addition of four new instructional designer positions in 2011-12; adoption of national guidelines for quality; ongoing faculty training opportunities; and a multitude of student support services. During this growth, the majority of students report they are satisfied with their online courses. NKU continues to address the challenges and opportunities facing online learning.

Center for Economic Analysis and Development

This morning we also heard from Janet Harrah, Senior Director of the Center for Economic Analysis and Development. The primary mission of the center, housed in the Haile/US Bank College of Business is to provide economic development research support for the Northern Kentucky region.

The center's research agenda has focused on three primary areas including developing a data warehouse and statistical analysis tools for Northern Kentucky; conducting economic and fiscal forecasting and impact analyses; and conducting research related to workforce development.

The center's latest research on workforce development highlights three key points:

- Talent drives economic prosperity. Not all jobs are equally important to economic growth. The road to Kentucky's long-term prosperity flows through its institutions of higher education.

- Occupations related to healthcare, education, business, finance and technology are projected to be among the fastest growing and best paying.

- Post-secondary education and training is necessary for entry into well-paying occupations.

The summary was presented by Secretary Dennis Repenning. Regent Virginia G. Fox seconded Regent Nathaniel Smith's motion to approve the report. **(Motion carried)**

Audit Committee Summary (Report given by Dennis Repenning, Secretary)

The audit committee met on September 28, 2012 with representatives of NKU's management team and the external auditors from Dean, Dorton, Allen and Ford. Lead Auditor, Crissy Fiscus, shared the university's audited financials with the committee and reviewed each of the

subsequent disclosures and letters that must be filed with the state. As you heard this morning, the university received another clean audit with no qualifications. The audit committee had the opportunity to meet privately with the external auditors and Larry Meyer, the university's internal auditor.

I want to take this opportunity to publicly thank the internal audit staff -- Larry Meyer, Rachel Brueggen and Kristi Horine -- for their outstanding work on behalf of the board and university. Ms. Fiscus and her team praised Larry and his staff for their professionalism and assistance throughout the audit process.

The summary was presented by Secretary Dennis Repenning. Regent Nathaniel Smith seconded Regent Erik Pederson's motion to approve the report. **(Motion carried)**

B. Presidential Reports:

1. Facilities Management Report (Vice President Ken Ramey)

a. University Center Renovation – Student Success Center
b. Campus Recreation Center Expansion/Renovation
c. Fine Arts Floor Heaving
d. Roadway Lighting and Campus Gateways
e. Johns Hill Road
f. Parking Lot "M" Emergency Utility Replacement
g. Nunn Hall Third Floor Renovation
h. Fine Arts Roof Repairs and Replacement
i. Steely Library Roof Repairs and Replacement
j. Steely Library Loggia Upgrade
k. Steely Library Window Caulking
l. Fine Arts Fire Curtain Replacement
m. Generator, Lucas Administrative Center
n. Lucas Administrative Center Room 220 Renovation
o. Bill Aker Baseball Field Site Renovations
p. Founders Hall Air Handler Replacements

2. Research, Grants, Contracts Report (August 2012 to September 2012) (Vice President Gail Wells)
This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff for: During the August – September 2012 time period, **36** grants were awarded. The total amount of money awarded was **$2,394,136.** From July 1, 2012 through September 30, 2012, the cumulative total number of grants awarded is **43** totaling **$3,214,176.**

3. Organizational Changes Report (Vice President Sue Hodges Moore)

A. Central Advising Center
Final details are being executed for the opening of the Central Advising Center in February. The Interim Director recommended and received approval by the Vice Provost

and Provost to change the name from the Central Advising Center to Norse Advising.

B. **Library Technical Services Division**
The request to change the name from the Technical Services Division, to Knowledge Management Services has been approved by the Associate Provost for Library Services, the Provost, and the President.

4. Fundraising Report (July 1, 2012 to September 30, 2012) (Vice President Gerry St. Amand)
The Fundraising Report **summarizes fundraising resources committed from July 1, 2012 through September 30, 2012 totaling $772,058** in support of the university.

5. Annual Financial Report (July 1, 2011 to June 30, 2012) (Vice President Ken Ramey)
Please refer to the University's 2011-12 Annual Financial Report. The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regent Bylaws.

C. <u>Presidential Recommendations</u>:

Consent Agenda Items: Regent Virginia G. Fox seconded Regent Nathaniel Smith's motion to approve the following President Recommendations as listed: *C-1 through C-7.* **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. <u>Administrative Appointments:</u>

Vicki Berling, Interim Director of Norse Advising and Executive Director of Educational Outreach through June 30, 2012; **Peter Theuri,** Chairperson in the Department of Accounting, Finance and Business Law, effective January 1, 2013.

b. <u>Faculty Appointments:</u>

Jane Lindsay Underwood, Assistant Professor of the Law Library, effective October 1, 2012.

c. <u>Retirements:</u>

Thomas Cate, Professor in the Department of Marketing, Economics and Sports Business, effective December 31, 2012.

d. <u>Resignations:</u>

Rachel Lyon, from the position of Chair in the Department of Communication to the position of Temporary Faculty, effective July 1, 2012; **Brian Warner**, Assistant Professor in the Department of Physics and Geology, effective August 1, 2012.

e. Leaves Paid/Unpaid:

John Cullick, Professor in the Department of English, paid Chair re-entry leave with benefits, beginning July 1, 2012, and ending December 31, 2012; **Clinton Hewan,** Assistant Professor in the Political Science, Criminal Justice Department, and Organizational Leadership, paid leave with benefits, beginning August 14, 2012 with end date to be determined; **Lili Ma**, Assistant Professor in the Department of Chemistry, paid leave with benefits, beginning August 20, 2012 and ending October 1, 2012; **Benjamin Baran,** Assistant Professor in the Department of Management, unpaid leave without benefits, beginning November 5, 2012 and ending December 1, 2013.

2. Non-Academic Affairs Personnel Actions:

The following categories of non-academic personnel actions which occurred between August 15, 2012 and October 9, 2012 received approval by the Board of Regents: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Retirees; Administrative.

3. Emeritus Status Appointment:

That Emeritus status for the following individual receives Board of Regents approval: **Paul Cooper,** Associate Professor in the Department of Construction Management, effective November 12, 2012.

4. Code of Student Rights and Responsibilities Approval:

Board of Regents approves revisions to the Code of Student Rights and Responsibilities.

5. Major Gift Acceptance:

The Board of Regents officially hereby accepts contributions totaling **$1,604,426.63** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University per the following list.

6. Naming Recommendation:

The Board of Regents hereby approves the following naming actions:

(1) The naming of the Sports Medicine Suite within The Bank of Kentucky Center as the "Dr. James T. Bilbo Sports Medicine Center."

(2) The naming of an endowed scholarship in support of students attending Chase College of Law: "The Landrum and Shouse Endowed Scholarship in Law."

(3) The naming of an endowed scholarship in support of students currently pursuing or intending to pursue a Bachelor of Music with Piano: "The Mitchell A. Schnure Endowed Scholarship in Piano Performance."

7. Audited Financial Statements Acceptance:

The Board of Regents accepted:

1. The NKU audited financial statements for the fiscal year ended June 30, 2012.

2. The independent auditor's report on internal controls based on the audit of the University's financial statements.

3. The federal program audit required by the U.S. Office of Management and Budget Circular A-133.

D. Executive Session

Regent Erik Pederson seconded Regent Terry L. Mann's motion to enter into executive session pursuant KRS 61.810 (1) (C) in order to discuss litigation against the university and KRS 61.810 (1) (B) in order to discuss property acquisition. **(Motion carried)**

Regent Erik Pederson seconded Regent Martin C. Butler's motion to close the Executive Session. **(Motion carried)**

At 3:42 p.m., Regent Erik Pederson seconded Regent Virginia G. Fox's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on November 14, 2012, and that such matters are still in force and effect.

Signature On File
Dennis Repenning
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, January 8, 2013 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, January 9, 2013 – 9:00 a.m.

9:00 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1. Strategic Planning (Mearns/Moore)
2. Tobacco Free Policy Presentation (Ramey)
3. Campus Beautification Presentation (Ramey)
4. Sabbatical Presentations (Wells)

Board Lunch
NKU, Student Union, 108 –January 9, 2013 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, January 9, 2013 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of November 14, 2012, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Summary, Secretary of the Board of Regents
- Chair's Recommendation: Endowed Scholarship Naming

1:20 p.m.

B. <u>Presidential Reports</u>:

1. Facilities Management Report
2. Research/Grants/Contracts Report (October 2012 – November 2012)
3. Fundraising Report (October 2012 – November 2012)
4. Quarterly Financial Report (July 1, 2012 through September 30, 2012)

1:40 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Temporary Faculty Appointments
4. *Emeritus Status Appointment
5. *Major Gifts Acceptance
6. *Naming Recommendation (Brown)
7. *Naming Recommendation (Molony)
8. *Student Media Board Standing Committee Revision
9. *Student Financial Aid Standing Committee Revision
10. *Honor Code – NKU Chase College of Law Approval
11. Adoption of Resolution for General Receipt Obligations
12. Tobacco Free Policy

2:00 p.m.

D. <u>Executive Session</u>

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, January 7, 2013).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
January 9, 2013

Regent Terry L. Mann, Chair, Called the regular meeting of the board of Regents to order at 1:00 p.m., Wednesday, January 9, 2013.

Roll Call: Richard A. Boehne, Martin C. Butler, Jacqueline S. Emerine, Virginia G. Fox, Terry L. Mann, Stephen A. Meier, Dennis Repenning, Erik Pederson, Nathaniel G. Smith, Elizabeth L. Thompson, Brenda L. Wilson

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Tammy Knochelmann, Wendy Peek, Sara Sidebottom, Lisa Rhine, Gerry St. Amand, Gail Wells, Ken Ramey, Joe Wind, Sue Hodges Moore, Scott Eaton, Tracy Insko, Chris Bowling, Bill Farro, Leslie Hurst, Stephanie Vogel, Don Gorbandt, Jeff Waple, Jason Willis, Karen Kruer, Peggy DeJaco, Jeff Smith, Karen Campbell, Maggie Gough, Kim Baker, Joe Webb, Kevin Kuykendall, Rick Kolbe, Sarah Aikman, Larry Meyer, Kathy Stewart, Tim Sofranko, Deidre Fajak, Ken Kline, Russ Kerdolff, Rick Meyers, Brent Donaldson, Chris Cole, Cliff Peale, Kevin Kirby, Lori Southwood, Lea Stewart, Paul Orscheln, Jan Hillard, Vicki Natale, Larry Blake, Claire Higgins, Kevin Schultz, Denise Robinson, Arnie Schlachter, Mary Paula Schuh

Regent Erik Pederson seconded Regent Nathaniel Smith's motion to approve the minutes of the November 14, 2012 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

I'd like to wish each of you a happy and healthy New Year. I am confident that many great opportunities lie ahead in 2013 for the university. Two significant opportunities come to mind immediately.

First, 2013 will be a year of planning – and continuous progress. On Friday, we'll have our Spring Convocation. The purpose of this convocation is for me to talk about three topics. First, I will share the results from my listening tour and survey. I have held 24 listening sessions and received approximately 600 email responses to the survey I sent out in September. Second, I will share some of our accomplishments related to our current strategic plan, *Points of Focus*. Finally, I will share more information about the future strategic planning process, including the membership of our strategic plan steering committee. The strategic plan represents an important opportunity for us to focus our efforts and continue our progress on behalf of the university and our region. As important as the planning will be the execution of our plan. The plan is just the beginning. The most meaningful work will occur after the plan is adopted.

The second important opportunity that 2013 brings is the opening of the Student Success Center. The greatest opportunity is not the renovation of the building, but rather the synergy of our work across multiple divisions to best serve our students. We have been working for the past several months to cross-train the staff in the Student Success Center and to find joint opportunities in our

work. The focus of everything that happens in the Center will be the support of our students. In addition to our collaborative efforts, we hope the Center will be a one-stop center for students. In terms of the facility, we are on track for occupancy of the plaza level in late February and anticipate we will have occupancy of the first floor level later this year.

I also wanted to share a few other important items:

Enrollment Management

We are monitoring our retention and new student applications for spring closely. As of Friday, our spring enrollment is consistent with budget projections. While we have slight declines in overall undergraduate and graduate enrollment, we have growth in undergraduate non-residents. New freshmen and new transfers are also up a bit.

Our fall 2013 numbers are trending up in every category. Freshman applications are up more than 40 percent and admitted students are up more than 45 percent. Most importantly, confirmation fees are up by nearly 100 students compared to this time last year.

Leadership Searches

As you know, we are currently in the process of searching for four major university leadership positions – Dean of the College of Education and Human Services, Dean of the Chase College of Law, Vice President for Student Affairs and Vice President for University Advancement.

Both dean searches are proceeding well. As of today, the pools are still being developed, and we anticipate that semifinalists will be identified later this month. We hope to have on-campus finalist interviews in March.

The VP for Student Affairs search is nearing completion. Finalists will be on campus this month. It is my intention to make a hiring decision by March 1.

Finally, we are in the initial phases of the VP for University Advancement search. Ken Ramey will be chairing this search, and I will appoint a search committee soon. I anticipate we will use a consultant for this search.

Graduation

We had an outstanding commencement last month with more graduates than in fall 2011. Overall, we believe we will have at least five percent growth in fall graduates. We hope to continue this positive trend with spring graduation.

Tobacco-Free Policy

In just a few minutes, I will ask you to take action on a tobacco-free policy. As was shared this morning, the University of Kentucky and Morehead State University are already tobacco-free and the University of Louisville is smoke-free. In the United States, 1,130 campuses are tobacco

or smoke-free. This recommended action is consistent with the university's ongoing commitment to support and create an environment that is clean, healthy and safe for all members of the campus community. Approving this policy gives two charges to me and the university. They are:

1. To adopt a "tobacco-free" campus policy. The transition toward the policy adoption will be deliberate, with input from the campus community on how best to put our new policy into practice, ensuring that the needs of the university's varied constituents are fully understood. It is understood that this transition will take 12-18 months.

2. To appoint a Tobacco-Free Campus Task Force to provide recommendations for this transition, which will address, through the work of subcommittees, issues related to specific policy implementation plans. Such plans will reflect a commitment to respecting the voices and needs of all our campus constituents, including students, faculty, staff, visitors as well as our community neighbors.

I support this proposed policy. And, if you approve this recommendation, we are prepared to proceed with implementation.

In closing, I want to express my sincere appreciation to the members of the Strategic Planning Committee, as well as to the many other women and men who will serve on the various working groups. As you know, this process is vital to the future of our university, and I am grateful to all who are contributing to our success.

I also want to thank our faculty and staff who have been preparing diligently over the winter break for the start of the spring semester. The beginning of an academic term is always an opportunity for renewal and reinvigoration. We look forward to welcoming our students back on Monday.

A. Joint Finance and Policy Committee Summary: (Reports given by Dennis Repenning, Secretary)

Strategic Planning

This morning, President Mearns, Sue Hodges Moore (Vice President for Planning, Policy, and Budget) and Vickie Natale (Executive Director of Planning and Performance) made a presentation on the university's 2013 strategic planning process. They reviewed the steps that were undertaken this fall to seek feedback from the university about the upcoming process, which included a planning collaboration retreat as well as meetings with deans, chairs, leadership of the Staff Congress, Faculty Senate and Student Government Association, and directors and administrators. They also reviewed the key elements of strategic planning, guiding principles, role of the strategic planning committee and seven work groups, plans for stakeholder involvement, and the overall timeline. Finally, President Mearns introduced the members of the Strategic Planning Committee.

Tobacco Free Policy Presentation

Lori Southwood, Senior Director of Human Resources and Karen Campbell, Director of Wellness, presented an overview of the efforts to promote and adopt tobacco-free environments on college and university campuses across the nation. Discussion points highlighted current trends, health and economic impacts, and best practices related to tobacco-free policy adoption.

The presentation provided valuable information for the Board to evaluate and give full consideration for progressing towards adopting and implementing a tobacco-free campus policy within the next 12-18 months pursuant to our vote this afternoon. Such an effort would include the development of a broad task force that would be representative of a wide array of key stakeholders, who would work collaboratively to identify needs and concerns related to policy development, communication, tobacco treatment/cessation, compliance and neighborhood impacts.

Campus Beautification Presentation

This morning the Board heard a presentation from the Campus Beautification Committee which is comprised of Facilities Management staff from the custodial, grounds, horticulture and skilled trades areas. The committee was co-chaired by Mary Lee Schott, the university's interior designer and Ray Mirizzi, Director of Operations and Maintenance.

The committee was created at the request of President Mearns to consider ways to further enhance campus appearance. The committee recommended five possible projects: The addition of student artwork installations throughout campus; upgrades to the traffic circle on Nunn Drive; additional exterior campus directories that are multi-sided and internally illuminated; upgrades to the campus bus shelters; and the addition of exterior planters throughout campus including hanging baskets. Funding totaling $50,000 will be made available by President Mearns to begin implementation of these initiatives. The committee is to be commended for their efforts.

Sabbatical Presentations

This morning Dr. Gail Wells, Vice President for Academic Affairs and Provost, presented an overview of the three faculty development programs offered at NKU – sabbatical leaves, summer fellowships, and project grants.

Dr. Miriam Kannan, Regents Professor, Department of Biological Sciences and Dr. Vanessa Hunn, Assistant Professor, Department of Counseling, Social Work and Leadership shared their recent professional development experiences with the Board.

A booklet summarizing 2011-12 faculty development award activities was shared with the Board.

The Board of Regents approved the report. **(Motion carried)**

Audit Committee Summary (Report given by Dennis Repenning, Secretary)

The audit committee met on January 8th with Larry Meyer, Director of the Financial and Operational Audit Office, Vice President Ken Ramey and President Mearns to discuss recent internal audit activity. This was one of three regularly scheduled meetings between the Internal Auditor and the Committee.

Larry provided a report on the activity completed by his office since our last meeting in September. He gave the committee an update on the progress made to correct the two recommendations submitted by our external auditors as part of their year-end testing. We anticipate that these items will be resolved before Dean Dorton returns for this year's audit. After this discussion Larry summarized the findings and recommendations for recently completed internal audits. He also gave the committee an update on the projects that are currently in process and a status update on follow-up testing for audits that were previously completed.

Finally, Larry reviewed a letter published by Dean Dorton Allen Ford that identified Best Practices for universities to consider for their internal control structure. NKU has already established these best practices but will continue to monitor their effectiveness.

Regent Virginia Fox seconded Regent Nathaniel Smith's motion to approve the report. **(Motion carried)**

Chair's Recommendation: Endowed Scholarship Naming

The naming of an endowed scholarship in support of students who are the first generation in their family to pursue an undergraduate degree and who have demonstrated a commitment to service of others: "The Mearns/Proud Family Endowed Scholarship."

The recommendation was presented by Chairman Terry Mann. Regent Erik Pederson seconded Regent Nathaniel Smith's motion to approve the recommendation. **(Motion carried)**

B. Presidential Reports:

1. Facilities Management Report (Vice President Ken Ramey)

a. University Center Renovation – Student Success Center
b. Campus Recreation Center Expansion/Renovation
c. Roadway Lighting and Campus Gateways
d. Johns Hill Road
e. Nunn Hall Third Floor Renovation

f. Fine Arts Roof Repairs and Replacement
g. Steely Library Roof Repairs and Replacement
h. Generator, Lucas Administrative Center
i. Power Plant Tunnel Waterproofing Repair
j. Lucas Administrative Center Room 220 Renovation
k. Bill Aker Baseball Field Site Renovations
l. Founders Hall Air Handler Replacements
m. Sustainability

2. Research, Grants, and Contracts Report (October 2012 - November 2012) (Vice President Gail Wells)

This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff. During the October 2012 – November 2012 time period, **9** grants were awarded. The total amount of money awarded was $347,909**.** From July 1, 2012 through November 30, 2012, the cumulative total number of grants awarded is 52 totaling $3,562,085.

3. Fundraising Report (July 1, 2012 through November 30, 2012) (Vice President Gerry St. Amand)

The Fundraising Report summarizes fundraising resources committed from July 1, 2012 through November 30, 2012 totaling $1,555,800 in support of the university.

4. Quarterly Financial Report (July 1, 2012 through September 30, 2012) (Vice President Ken Ramey)

The Quarterly Financial Report depicts the financial activity of the University during the period July 1, 2012 through September 30, 2012, compared to the annual budget and the prior year's activity.

C. <u>Presidential Recommendations</u>:

Consent Agenda Items: Regent Stephen Meier's seconded Regent Nathaniel Smith's motion to approve the following President Recommendations as listed: *C-1 through C-10.* **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. <u>Administrative Appointments:</u>

Katherine Kurk, continuing as Professor in the Department of World Languages and Literatures and Interim Chair in the Department of World Languages and Literatures, effective January 1, 2013 through June 30, 2013.

b. Faculty Appointments:

Justine Burchell, Extended Collections Services Librarian/Lecturer (non-tenure track renewable) in W. Frank Steely Library, effective August 1, 2012; **Stephen Johnson,** Lecturer (non-tenure track renewable) in the Communication Department, effective January 1, 2013.

c. Retirements:

Gary Johnston, Associate Professor in the Department of Music, effective May 18, 2013.

d. Resignations:

Jeanne Holmes, from the position of Assistant Professor in the Management Department, effective December 31, 2012; **Francis Sheehy,** from the position of Lecturer for the Center for Integrative Natural Science and Mathematics (CINSAM) in the Department of CINSAM, effective December 21, 2012.

e. Leaves Paid/Unpaid:

Mary C. Cupito, Assistant Professor in the Communication Department, from partial medical leave to full-time paid medical leave with benefits, through May 18, 2013.

2. Non-Academic Affairs Personnel Actions:

The following categories of non-academic personnel actions which occurred between October 10, 2012 and December 4, 2012 received approval by the Board of Regents: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Retirees; Administrative.

3. Temporary Faculty Appointments:

Philippe Byosiere, temporary faculty in the Management Department effective November 2012 – May 2013; **Brent Doss** temporary faculty in the World Languages and Literatures Department effective January 2013 – May 2013.

4. Emeritus Status Appointment:

That Emeritus status for the following individual receives Board of Regents approval:
Margo Jang, Associate Professor in the English Department, effective May 18, 2013.

5. Major Gift Acceptance:

The Board of Regents officially hereby accepts contributions totaling $460,202.29 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period October 1, 2012 through November 30, 2012.

6. Naming Recommendation:

The Board of Regents hereby approves the naming of an endowed scholarship the "Sadie and Chuck Brown Honorary Scholarship."

7. Naming Recommendation:

The Board of Regents hereby approves the naming of one of the six tennis courts at the Joyce A. Yeager Tennis Complex the "Kevin and Maria Molony Court."

8. Student Media Board Standing Committee Revision:

The Board of Regents hereby approves a Revised Student Media Board which reflects the organizational realignment of Student Media within the Academic Affairs division.

9. Student Financial Aid Standing Committee Revision:

The Board of Regents hereby approves the revised Student Financial Aid Committee which reflects updated titles and clarifies duties related to review of state and federal laws governing student financial aid.

10. Honor Code – NKU Chase College of Law Approval:

The Board of Regents hereby approves the revised NKU Chase College of Law Honor Code. This revised Honor Code will replace the existing Honor Code at Section XXV in the Chase Student Handbook. The existing Honor Code is difficult to understand and cumbersome. The revised policy streamlines the steps and procedures and is easier to understand.

Discussion Agenda Item: A motion was made by Regent Nathaniel Smith and seconded by Regent Erik Pederson to approve the following Presidential Recommendation as listed; C-11. **(Motion carried)**

11. Adoption of Resolution for General Receipt Obligations:

The Board of Regents adopted a Resolution which provides for the authorization, sale and issuance of approximately $5,230,000 in General Receipt Obligations for the purpose of (i) refunding the Consolidated Educational Building Revenue Bonds - Series L dated January 1, 2004 and (iii) paying costs of issuance in connection with the Northern Kentucky University General Receipts Bonds, 2013 Series A.

Discussion Agenda Item: A motion was made by Regent Erik Pederson and seconded by Regent Virginia Fox to approve the following Presidential Recommendation as listed; C-12. **(Motion carried)**

12. Tobacco Free Policy:

The Board of Regents hereby:

1. Approves the adoption of a "tobacco-free" campus policy. The transition towards the policy adoption will be deliberate, with input from the campus community on how best to put our new policy into practice, ensuring that the needs of the University's varied constituents are fully understood. It is understood that this transition could take twelve to eighteen months.

2. Directs the President to appoint a Tobacco Free Campus Task Force to provide recommendations for this transition, which will address, through the work of subcommittees, issues related to specific policy implementation plans. Such plans will reflect a commitment to respecting the voices and needs of all our campus constituents, including students, faculty, staff, visitors, as well as our community neighbors.

D. Executive Session:

Regent Erik Pederson seconded Regent Terry L. Mann's motion to enter into executive session pursuant KRS 61.810 (1) (C) in order to discuss litigation against the university and KRS 61.810 (1) (B) in order to discuss property acquisition. **(Motion carried)**

Regent Virginia Fox seconded Regent Terry Mann's motion to close the Executive Session. No action was taken. **(Motion carried)**

At 3:42 p.m., Regent Steve Meier seconded Regent Nathaniel Smith's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on January 9, 2013, and that such matters are still in force and effect.

Signature On File
Dennis Repenning
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, March 12, 2013 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, March 13, 2013 – 9:00 a.m.

9:00 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1. Budget (Mearns/Moore/Kline)
2. Strategic Planning Update:
   Focus on Public Engagement (Mearns/Wells/Hillard/Neikirk)
3. Dr. Cecile Marczinski (Marczinski)
4. Tour of the SSC (plaza level) (Wells/Ramey)

Board Lunch
NKU, Student Union, 108 –March 13, 2013 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, March 13, 2013 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of January 9, 2013, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Policy Subcommittee Report, Regent Thompson
- Bylaws Subcommittee Report, Regent Mann

1:20 p.m.

B. <u>Presidential Reports</u>:

1. Facilities Management Report
2. Research/Grants/Contracts Report (December 2012 – January 2013)
3. Fundraising Report (July 2012 – January 2013)
4. Quarterly Financial Report (July 1, 2012 through December 31, 2012)

1:40 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Temporary Faculty Appointments
4. *Emeritus Status Appointment
5. *Major Gifts Acceptance
6. *Naming Recommendation (Winstel)
7. *Naming Recommendation (Bullen)
8. *Naming Recommendation (Griffin)
9. *Updated List of Terminal Degrees
10. *Sabbatical Leaves
11. *Summer Fellowships
12. *Project Grants
13. *Duke Easement
14. *Organizational Chart Update
15. *New Bachelor of Science in Data Science Program
16. *New Bachelor of Arts in Special Education Program

17. *Dually Enrolled Undergraduate and Graduate Students Tuition Policy
18. *Fees & Service Charges
19. *Chase Honorary Degree Candidate – Bruce Lunsford
20. *Academic Affairs Reappointment, Promotion, and Tenure

2:00 p.m.

D. <u>Executive Session</u>

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, March 11, 2013).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
March 13, 2013

Regent Terry L. Mann, Chair, Called the regular meeting of the board of Regents to order at 1:00 p.m., Wednesday, March 13, 2013.

Roll Call: Richard A. Boehne, Martin C. Butler, Jacqueline S. Emerine, Virginia G. Fox, Terry L. Mann, Stephen A. Meier, Erik Pederson, Elizabeth L. Thompson, Brenda L. Wilson

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Tammy Knochelmann, Wendy Peek, Sara Sidebottom, Gerry St. Amand, Gail Wells, Ken Ramey, Joe Wind, Sue Hodges Moore, Tracy Insko, Chris Bowling, Bill Farro, Leslie Hurst, Don Gorbandt, Jeff Waple, Karen Kruer, Rick Kolbe, Larry Meyer, Kathy Stewart, Tim Sofranko, Ken Kline, Russ Kerdolff, Kevin Kirby, Lori Southwood, Leah Stewart, Paul Orscheln, Jan Hillard, Vicki Natale, Larry Blake, Denise Robinson, Mary Lepper, Sandi Gillian, Terry Boehmker, Natasha Dempsey, Peter Gitau, Arnie Slaughter, Gargie Sarkar, Pat Moynahan, Dannie Moore, Alar Lipping

Regent Erik Pederson seconded Regent Virginia Fox's motion to approve the minutes of the January 9, 2013 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Strategic Planning

As you heard this morning, our strategic planning process is well underway. Over the last several weeks, we have held 10 open forums with faculty, staff, and students to discuss strategic priorities. The forums have been well attended, and we have received lots of good ideas. We also had a joint meeting last week with the Alumni Association, Young Alumni Association, and past presidents of the Alumni Association. We have meetings scheduled with the NKU Foundation and many other community groups and business and civic leaders in the weeks and months to come.

In addition to face-to-face forums, we have offered other ways for people to provide their input. Our strategic planning website includes an online forum, and we have issued surveys to the campus community. We received 985 faculty and staff responses and more than 1700 student responses to our Mission, Vision, and Values survey.

We have organized seven work groups that are charged with looking at specific areas that will inform our plan. Each work group also has an email address that is accessible from our strategic planning website for campus and community feedback. The work groups are:

- Competitive Forces
- Demographic/Labor Market Forces
- Fiscal/Economic/Political Environment
- Institutional Trends & Vital Statistics
- Mission, Vision, Values Review
- Public Engagement Activities
- Technology Trends

These work groups include more than 100 faculty, staff, and student participants. They are presently working on reports that will help to inform the work of the strategic planning committee.

The work groups will also share their reports next week at a "stakeholder meeting." This meeting will bring together more than 100 members of the campus community to learn from our colleagues on the work groups.

In July, the committee will meet with the Board of Regents to discuss the strategic plan.

I am pleased to report that we are on schedule to present the proposed plan for your consideration at the November Board meeting.

Budget Development

On April 17, I will have a town hall meeting for the campus to share our progress on the 2013-2014 budget. The good news is that the university continues to operate on a solid financial foundation as evidenced by, among other things, our strong Moody's rating and favorable bond rates. But the external economic climate causes us to continue to budget conservatively and to continue to look for efficiencies.

These constraints will not prevent us from achieving our objectives, however. In fact, earlier this month, we received bonding authority from the state to start the renovation and expansion of the Campus Recreation Center and authority to purchase and renovate a residence hall. We intend to move forward with both projects. In addition to benefiting our students, the projects will create more than 800 construction jobs and provide $90,000,000 in economic impact.

Executive Searches

We have concluded one executive search, we are in the process of finalizing two dean searches, and we will soon begin two more executive searches.

- As you know, we have hired Dr. Peter Gitau as our next Vice President for Student Affairs. Dr. Gitau is here today and I'd like to take this opportunity to formally introduce him to the Board and our guests. Dr. Gitau is coming to us from Southern Illinois University-Carbondale where he is the senior student affairs officer. Dr. Gitau brings a wealth of experience and places high priority on partnerships with other areas of the campus, particularly with our faculty and the staff within Academic Affairs. He will officially join our community on April 1. Peter, thank you for being with us today.

- We are in the final stages of the searches for the Dean of the College of Law and the Dean of the College of Education and Human Services. Three law dean finalists have visited campus. The Provost and I met with the search committee on Friday, and we will make a decision very soon. We have one more finalist for Dean of the College of Education and Human Services visiting campus next week.

- I have selected the members of the search committees for the Vice President for Academic Affairs and Provost and the Vice President for University Advancement. Once all members of each committee accept their appointment, I will announce the composition of the committees to the campus.

These searches are very important to our university.. I'd like to thank the committee chairs, committee members, and especially the campus community for the work you've done and the work yet to come.

All of these efforts have been challenging and rewarding. But I want to say just a few words about some of the most enjoyable activities since I became president: my contact with…**Our Outstanding Students.**

One of the things I have most enjoyed over the last several months is the opportunity to get to know our students better. More than 100 students participated in open forums during the past month. Their passion, dedication, and affection for NKU was evident.

I have had an opportunity to meet many more students at our men's and women's basketball games – including our final home games of the season, which drew 6,700 fans. I have had the pleasure of meeting with the women's basketball team and having breakfast with the volleyball team. By the way, the volleyball team had the highest GPA last semester – an impressive 3.64.

Two weeks ago, I had lunch with the casts and crew from Legally Blonde and Grease. I hope you had an opportunity to see their productions. They were fantastic.

Last week I attended a concert that featured many of our students and a band named Harpeth Rising. The music was phenomenal.

I have also had the pleasure of attending several honor society induction ceremonies in the past two weeks.

And last week, I was a guest on Norse Code Radio, the student run campus radio station.

These are just some of the experiences with students that I have had during the first weeks of this semester. I continue to be impressed and inspired by our talented students and our dedicated faculty and staff. I am honored to be your president.

Mr. Mann, that concludes my report.

A. Joint Finance and Policy Committee Summary: (Reports given by Regent Brenda Wilson, Vice Chair)

Budget

Dr. Sue Hodges Moore, VP for Planning, Policy and Budget, and Ken Kline, Budget Director, presented an overview of the state budget environment. The state's current budget structure continues to be unsustainable. State funding for higher education has been reduced significantly over the past 15 years contributing significantly to the large tuition increases we have seen in public higher education. NKU receives the least amount of state funding per student of any of the KY public 4 year institutions and relies heavily on tuition revenue. Proposed tuition rate increases will be presented in the May Board of Regents meeting.

Public Engagement at NKU

This morning we heard a presentation on public engagement at NKU. The presentation was led by Dr. Jan Hillard, Associate Provost for Research, Graduate Studies & Regional Stewardship and included:

- Matt Kelley & Steve Oldfield, students in the Public History program
- Scott Fennell, Director of the Center for Applied Ecology
- Mark Neikirk, Exec. Director of the Scripps Howard Center
- Julie Olberding, Associate Professor, Department of Political Science, Criminal Justice, Organizational Leadership

The presentation provided an overview of the scope and value of student, faculty and staff public engagement activities to the region and to the university. The presentation included examples of public engagement efforts from across the University including:

- Public history projects carried out by the Center for Public History;

- An ecological stewardship program from the Center for Applied Ecology, and;
- Two programs led by the Scripps Howard Center for Civic Engagement

Faculty Research

Dr. Cecile Marczinski, a faculty member from the department of psychological science, presented to us about her most recent research initiative related to alcoholic drink mixers and their impact on intoxication levels. Her research has received national and international media attention. Over 100 news outlets covered the study, including an interview featured on CNN. Dr. Marczinski stated that NKU is poised and ready to make our impact known locally, nationally, and internationally for our research, innovation, and creative activities.

Student Success Center

Finally, the Board took a tour of the main level of the Student Success Center. The space has been transformed to be a hub for student involvement and activity. We heard from senior leaders of Norse Advising, Career Services, and Information Technology. In addition to describing the physical transformation of the space, the presenters discussed the re-engineering of their work to best meet the needs of NKU students and help them be successful in both their academics and in their careers. The mission of the Student Success Center is to serve as a seamless and streamlined experience to equip and empower students for success.

The Board of Regents approved the report. **(Motion carried)**

Policy Subcommittee Report: (Report given by Regent Elizabeth Thompson)

In July, Chairman Mann appointed a Policy Subcommittee consisting of Regent Fox, Regent Wilson, Regent Boehne and myself. The subcommittee was charged with determining whether the university's policies or procedures related to the Board of Regents' oversight should be revised in light of the July 12, 2012 Pennsylvania State University Freeh Report.

The subcommittee made eight recommendations which have been shared with the board and the president. Several of these recommendations are already underway. Other recommendations were forwarded to the Bylaws Subcommittee for their review and further consideration.

The work of the Policy Subcommittee is now complete. I would like to thank the board members who served. I would also like to thank President Mearns, Vice President Sidebottom, Vice President Ramey and Auditor Larry Meyer who provided additional knowledge and expertise.

Regent Marty Butler seconded Regent Erik Pederson's motion to approve the report. **(Motion carried)**

Bylaws Subcommittee Report: (Report given by Regent Terry Mann)

In January, I appointed a Bylaws Subcommittee consisting of Regent Emerine, Regent Pederson and myself. The subcommittee was tasked with reviewing our bylaws with an eye towards both modernization and policy updates.

The subcommittee met two times and has made several recommendations. These recommendations have been shared with each of you.

According to our bylaws, "The bylaws may be amended at any regular meeting by the Board of Regents by a majority vote of all the members of the Board provided the proposed amendment or amendments have been submitted in writing to the Board at least one meeting prior to the meeting upon which the amendment or amendments are to be voted upon."

Please take the next two to three weeks to review the bylaws and send any questions or feedback to Katie. Katie will compile the feedback and send it to the subcommittee. I will provide a report at the May meeting and then ask for the Board's vote on the updated bylaws.

B. Presidential Reports:

1. Facilities Management Report (Vice President Ken Ramey)

a. University Center Renovation – Student Success Center
b. Campus Recreation Center Expansion/Renovation
c. Roadway Lighting and Campus Gateways
d. Fine Arts Roof Repairs and Replacement
e. Steely Library Roof Repairs and Replacement
f. Power Plant Tunnel Waterproofing Repair
g. Bill Aker Baseball Field Site Renovations
h. Founders Hall Air Handler Replacements
i. Norse Commons Dining Renovation
j. Central Plaza Phase II
k. Sustainability

2. Research, Grants, and Contracts Report (December 2012 - January 2013) (Vice President Gail Wells)

This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff. During the December 2012 – January 2013 time period, **7** grants were awarded. The total amount of money awarded was **$253,927.** From July 1, 2012 through January 31, 2013, the cumulative total number of grants awarded is **59** totaling **$3,816,012.**

3. Fundraising Report (July 1, 2012 through January 31, 2013) (Vice President Gerry St. Amand)

The Fundraising Report summarizes fundraising resources committed from July 1, 2012 through January 31, 2013 totaling **$2,573,611** in support of the university.

4. Quarterly Financial Report (July 1, 2012 through December 31, 2012) (Vice President Ken Ramey)

The Quarterly Financial Report depicts the financial activity of the University during the period July 1, 2012 through December 31, 2012, compared to the annual budget and the prior year's activity.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Virginia Fox and seconded by Regent Erik Pederson to approve the following Presidential Recommendation as listed; C-1 through C-20. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Faculty Appointments:

Patricia Bills, Assistant Professor in the Department of Teacher Education, effective August 12, 2013; **Celeste Morris,** Assistant Professor in the Department of Chemistry, effective August 12, 2013; **Gail O'Brien,** Lecturer in the Department of Political Science, Criminal Justice & Organizational Leadership, effective August 12, 2013; **Michael Wells**, Systems Librarian/Lecturer (non-tenure track renewable) in the W. Frank Steely Library, effective January 1, 2013.

b. Retirements:

Charles Hawkins, from the position of Professor in the Department of Physics and Geology, effective May 18, 2013; **David Hogan,** phased retirement from the position of Associate Professor in the Department of Psychology, effective beginning August 2013 and ending May 2015; **Raymond C. McNeil,** from the position of Associate Professor in the Department of Physics and Geology, effective June 30, 2013.

c. Resignations:

Gina Foletta, from the position of Professor in the Department of Mathematics & Statistics, effective June 30, 2013.

d. Leaves Paid/Unpaid:

John Filaseta, Chair in the Department of Physics and Geology, chair re-entry leave paid with benefits, beginning August 12, 2013 through December 30, 2013; **Kevin Gallagher,** Assistant Professor in the Department of Business Informatics, unpaid leave without benefits, beginning August 12, 2012 and ending May 17, 2013; **Debra Pearce,** Chair in the Department of Biological Sciences, chair re-entry leave paid with benefits, beginning August 12, 2013 through December 30, 2013; **Erin Robinson,** Assistant Professor in the Department of Nursing, paid leave of absence with benefits, beginning February 1, 2013 and ending May 17, 2013; **Steve Wilkinson,** Chair in the Department of Mathematics and Statistics, chair re-entry leave paid with benefits, beginning January 1, 2014 and ending May 17, 2014.

2. Non-Academic Affairs Personnel Actions:

The following categories of non-academic personnel actions which occurred between December 5, 2012 and February 12, 2013 received approval by the Board of Regents: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Retirees; Administrative.

3. Temporary Faculty Appointments:

Joseph Lombardi, temporary faculty in the Department of History and Geography effective January 2013 – May 2013.

4. Emeritus Status Appointment:

That Emeritus status for the following individuals receives Board of Regents approval:

Tom Cate, Professor in the Department of Marketing, Economics and Sports Business, effective March 2013; **Gina Foletta,** Professor in the Department of Mathematics and Statistics, effective July 2013; **Mary Gers,** Director of Simulation and Technology in the Department of Nursing, effective May 2013; **Charles Hawkins,** Professor in the Department of Physics and Geology, effective May 2013.

5. Major Gifts Acceptance:

The Board of Regents officially hereby accepts contributions totaling **$627,660** received by the NKU Foundation Inc. and **$100,000** received by the Greater Cincinnati Foundation for the exclusive benefit of Northern Kentucky University during the period December 1, 2012 through January 31, 2013.

6. Naming Recommendation (Winstel):

The Board of Regents hereby approves the naming of an endowed scholarship in support of undergraduate student-athletes competing on the women’s intercollegiate basketball team at

Northern Kentucky University in recognition of multiple private gifts: The Nancy Helen Winstel Scholarship.

7. Naming Recommendation (Bullen):

The Board of Regents hereby approves the naming of an endowed scholarship in support of students who have attained sufficient credit hours to be of junior level status and who are pursuing an undergraduate degree in a science, technology, engineering, and mathematics (STEM) discipline in recognition of multiple private gifts: Heather Bullen Memorial Scholarship.

8. Naming Recommendation (Griffin):

The Board of Regents hereby approves the naming of one of the six tennis courts at the Joyce A. Yeager Tennis Complex in recognition of a private gift: Carol Larkin Griffin Court.

9. Updated List of Terminal Degrees:

The Board of Regents hereby approves the updated list of appropriate terminal degrees for faculty.

10. Academic Affairs Sabbatical Leave Recommendations (2013-2014)

The Board of Regents hereby approves the following Sabbatical Leave Recommendations list for 2013-2014.

Joseph Mester, Biological Sciences; **Christopher Wilkey,** English; **Brad McCombs,** Visual Arts; **Ted Hodgson,** Mathmatics & Statistics; **Yi Hu,** Computer Science; **Andrew Long,** Mathematics & Statistics; **Hongmei Wang,** Computer Science; **Joan Ferrante,** Sociology, Anthropology and Philosophy; **Dennis R. Honabach,** Chase Law; **Tom Bowers,** English; **Michael J. Zydney Mannheimer,** Chase Law; **Mark Wasicsko,** Education; **Caron Martin,** Nursing; **Debra Meyers,** History & Geography; **Russell F. Proctor II,** Communication; **Dhanuja Kasturiratna**, Mathmatics & Statistics; **Steven Finke,** Visual Arts; **Carl Miller,** Mathematics & Statistics; **Jamey Strawn,** Theater.

11. Summer Fellowships:

The Board of Regents hereby approves the following Summer Fellowships list for 2013-2014.

Kristine N. Hopfensperger, Biological Sciences; **Tamara F. O'Callaghan,** English; **Austin Lee,** Communication; **Denise Dallmer,** Teacher Education; **Joe Cobbs,** Mathematics and Statistics; **Bianca Prather-Jones,** Education; **Hongmei Wang,** Computer Science; **Shauna Reilly,** Political Science; **Vincent Ese Owhoso,** Accounting, Finance and Business; **Dana Harley,** Counseling, Social Work and Leadership; **Michael McDermott,**

Management; **Julie Mader-Meersman,** Visual Arts; **Brandelyn Tosolt,** Teacher Education; **Matthew Albritton,** Visual Arts.

12. Project Grants:

The Board of Regents hereby approves the following Project Grants for 2013-2014.

Andrew Long, Mathematics & Statistics; **Brad McCombs,** Visual Arts; **Karen Mutsch & Xiaoni Zhang,** Advanced Nursing Studies/Business Informatics; **Julie Mader-Meersman,** Visual Arts; **Michael J. Zydney Mannheimer,** Chase Law; **Lindsey Walters,** Biological Sciences; **Kristen Schwarz,** Biological Sciences; **Matthew Albritton,** Visual Arts; **Hongmei Wang,** Computer Science; **Kimberly P. Clayton-Code & Denise Dallmer,** Teacher Education; **Sharmanthie Fernando,** Physics & Geology; **Tamara F. O'Callaghan,** English; **Doug Feldmann,** Education.

13. Duke Easement:

The Board of Regents grant an easement allowing Duke Energy Corporation to re-route the 69 KV overhead electric line around the new artificial turf intramural fields being constructed at the north end of campus.

14. Organizational Chart Update:

The Board of Regents approves the organizational chart reflecting all NKU Administrative updates through February 13, 2013.

15. New Bachelor of Science in Data Science Program:

The Board of Regents approves that a new program, Bachelor of Science in Data Science, in the College of Informatics, be approved for initiation at the beginning of the Fall 2013 semester.

16. New Bachelor of Arts in Special Education Program:

The Board of Regents approves that a new program, Bachelor of Arts in Special Education, in the College of Education and Human Services, be approved for initiation at the beginning of the Fall 2014 semester.

17. Dually Enrolled Undergraduate and Graduate Students Tuition Policy:

The Board of Regents approves a revised Dually Enrolled Undergraduate and Graduate Students Tuition Policy.

18. Fees & Service Charges:

The Board of Regents authorizes the Schedule of Fees and Service Charges for the 2013-14 academic year.

19. Chase Honorary Degree Candidate – Bruce Lunsford:

The Board of Regents approves that W. Bruce Lunsford receive an Honorary Doctor of Laws Degree.

20. Academic Affairs Reappointment, Promotion, and Tenure:

The Board of Regents approves recommendations on promotion, tenure, continued probation, continued probation with conditions to be removed, and terminal reappointment.

D. <u>Executive Session:</u>

Regent Erik Pederson seconded Regent Terry L. Mann's motion to enter into executive session pursuant KRS 61.810 (1) (C) in order to discuss pending and threatened litigation against the University. **(Motion carried)**

Regent Terry Mann closed the Executive Session. No action was taken. **(Motion carried)**

At 3:18 p.m., Regent Erik Pederson seconded Regent Virginia Fox's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Brenda Wilson, Vice Chair of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on March 13, 2013, and that such matters are still in force and effect.

Signature On File
Brenda Wilson
Vice Chair of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, May 7, 2013 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, May 8, 2013 – 9:00 a.m.

9:00 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1. Campus Safety (Ramey, Gitau, Sween, Baker, Willis, Waple)
2. Annual Budget Presentation/Tuition Recommendation (Moore, Kline)
3. Student Research (Wells, Edwards, Reynolds, Tapp, Voelker)

Board Lunch
NKU, Student Union, 107A –May 8, 2013 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, May 8, 2013 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of March 13, 2013, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Summary, Secretary of the Board of Regents

1:20 p.m.

B. <u>Presidential Reports</u>:

1. Facilities Management Report
2. Research/Grants/Contracts Report (February 2013 – March 2013)
3. Fundraising Report (July 2012 – March 2013)
4. Quarterly Financial Report (July 1, 2012 through March 31, 2013)
5. Organizational Changes Report

1:40 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Emeritus Status Appointment
4. *Continued Probation/Non-Reappointment for First-Year Faculty
5. *Major Gifts Acceptance
6. *Naming Recommendation (Robinson, Murgatroyd, Stewart, Mazzaro)
7. *Fees and Service Charges
8. *Tax-Exempt Financing
9. *2014-2020 Six Year Capital Plan
10. *SGA Constitution Revisions
11. *New Master of Science in Health Science Program
12. *Administrative Regulations Update
13. *Honorary Degree Recipient – Dr. Freeman Hrabowski
14. *Regents Professorship
15. *Tuition and Mandatory Fee Rate
16. *2013-2014 Operating Budget
17. *AELP Fee Increase

2:00 p.m.

D. <u>Executive Session</u>

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, May 6, 2013).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
May 8, 2013

Regent Terry L. Mann, Chair, called the regular meeting of the Board of Regents to order at 1:20 p.m., Wednesday, May 8, 2013.

Roll Call: Richard A. Boehne, Martin C. Butler, Jacqueline S. Emerine, Virginia G. Fox, Terry L. Mann, Stephen A. Meier, Erik Pederson, Dennis Repenning, Nathaniel Smith, Elizabeth L. Thompson, Brenda L. Wilson

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Tammy Knochelmann, Wendy Peek, Sara Sidebottom, Gerry St. Amand, Gail Wells, Ken Ramey, Joe Wind, Sue Hodges Moore, Peter Gitau, Tracy Insko, Chris Bowling, Bill Farro, Don Gorbandt, Jeff Waple, Kasey Long, Travis Gibbons, Jim Votruba, Kristi Haik, Carole Beere, Eric Brose, Mike Carrell, Colleen Carrell, Mike Hawkins, Kathleen Carnes, Jamie Tanzman, Angie Schaffer, Ken Kline, Carly Chu, Eric Schnieber, Bill Hunt, Denise Luethge, Rick Meyers, Mary Lepper, Shanna Alexander, Larry Blake, Jan Hillard, Larry Meyer, Mike Cusack, Paul Orscheln, Leah Stewart, Tom Baxter, Chris Cole, Mary Paula Schuh, Francois LeRoy, Kevin Kirby, Katie Bontrager, Peggy Dejaco, Tim Sofranko, Rick Kolbe, Pat Moynahan, Alar Lipping, Russ Kerdolff, Denise Robinson, Vickie Natale

Regent Erik Pederson seconded Regent Virginia Fox's motion to approve the minutes of the March 13, 2013 Board of Regents meeting. **(Motion carried)**

Regent Mann recognized and presented Regent Butler with a resolution for his years of commitment to the board.

Presidential Comments:

Leadership Transitions/Searches

As we approach the end of an excellent academic year, we must prepare for some transitions. In that regard, I express my appreciation to Gerry St. Amand. Today is Gerry's last official Board of Regents meeting in his capacity as Vice President for University Advancement. Gerry's transition to the law school faculty will take place later this summer. As you know very well, Gerry has been an outstanding addition to the University's leadership team. He is a person of integrity, and he has always been willing to assist in whatever way possible – as demonstrated by his willingness to chair two search committees this year and to serve briefly as the acting athletic director. Gerry is the personification of a servant leader.

I am going to miss Gerry's wisdom and his sound advice. Gerry, thank you for your commitment to our University. And thank you for your assistance to me.

As we prepare for commencement, we simultaneously welcome new members of the NKU community.

I am pleased to welcome Dr. Peter Gitau, our new Vice President for Student Affairs, to his first official Board meeting. Peter joined us at our March meeting, but he didn't officially start until April 1. Peter, welcome.

I am also pleased to welcome and introduce Dr. Michael Cusack, our new interim Director of Athletics. Mike has been busy meeting with coaches, staff, faculty and our student-athletes. We are fortunate that he is willing to assist us during this time of transition. Mike, welcome. And thank you.

As you know, we recently completed our search for the new Dean of the Chase College of Law. I have appointed Jeffrey Standen as the new Dean, and he will start on July 1. I look forward to introducing him to you at the September meeting.

I have also appointed three search committees to assist us in identifying outstanding candidates for Vice President for University Advancement, for Provost and Vice President for Academic Affairs, and for Athletics Director. All three committees are meeting regularly.

My goals are to announce the appointment of the new AD on or before July 1, to announce the appointment of the new VP for Advancement on or before September 1, and to announce the appointment of the new Provost on or before November 1.

These timelines are aggressive, and these are important positions. But I am confident that we will continue to attract outstanding men and women to serve our University.

Budget

As you heard this morning, our budget for the next academic year will be balanced and stable. We continue to budget our resources prudently. In order to achieve our institutional objectives, though, we need more state support. We may also need to consider reallocating some existing resources to align more fully with these objectives. This possibility is especially important as we contemplate the completion of our strategic plan and begin to implement that plan.

At the Spring Budget Address, I announced that we are able to invest in a modest 1.5% salary increase for our faculty and staff. This increase is well deserved, because we have often asked our employees to do more with fewer financial resources. I remain committed to investing in our greatest asset – our people.

Enrollment

While we have built our budget on the assumption that overall enrollment will be the same as last year, there is some reason to be cautiously optimistic that we may have some modest growth. The principal reason for this optimism is that our incoming freshman class is looking very strong.

Let me give you some statistics. Compared to last year, applications for Fall 2013 increased by more than 30%. As of May 1, the number of prospective freshmen who have made a financial deposit to confirm their acceptance increased 18% compared to last year. And this incoming

class, as measured by median ACT score, is very likely to be the most academically prepared in our university's history.

Strategic Planning Update

Speaking of the future, let me give you a brief update on our ongoing strategic planning process. Last week, nearly 100 members of our campus community came together for a half-day meeting to discuss the progress on our strategic plan and to participate in a visioning exercise.

In this exercise, we asked participants to envision NKU five years from now - after the university has successfully implemented our new strategic plan. I told them to assume that the university is going to be featured on the front page of a newspaper. I asked each of the nine groups to outline the article that they would write about our university's achievements. The nine stories are displayed on the boards in this room. The responses were creative, and at times humorous. But most importantly, they reflect the collective optimism in our future.

After holding ten open forums on campus, I have begun to meet with many external groups including the NKU Alumni Association, the NKU Foundation, the Covington Business Council, CEOs of large and mid-size companies, Northern Kentucky business leaders, state and local elected officials, and many more. These meetings will continue throughout the Summer.

Since we began this process in January, we have made great progress. I look forward to providing you with a more complete report at the retreat in July. At that time, we will also receive your input and direction on a preliminary outline of the strategic plan.

Plaza Renovation

As part of the budget presentation, you heard a brief description of our plan to renovate the north half of the main University Plaza. I am pleased that we will be able to complete this important project in time to welcome our students back in the Fall. In addition to providing more green space and landscaping, the project will remove the concrete that is deteriorating there. Many faculty, staff, and students have told me that this project is important and beneficial. It will be a tangible investment in our increasingly beautiful campus.

Celebration of Student Research and Creativity

This morning, you also heard three engaging presentations from some of our undergraduate and graduate students. Their work is inspiring. Each of these students, and 593 others, participated in the University's Celebration of Student Research and Creativity. This celebration was the largest in the event's history – and virtually every discipline on campus participated. I'd like to congratulate the students for their outstanding work, and I am very grateful to the many faculty who served as mentors. I was proud to see this level of research and creativity on our campus. I'd also like to thank the co-chairs who made this effort possible – Dr. Judy Voelker and Dr. Steve Walker – and their committee for their many hours of hard work.

Faculty Recognition

As you know, we have a very talented faculty. I'd like to recognize three faculty members today for outstanding accomplishments.

In just a few minutes, I will ask you to approve naming Dr. Michael Carrell as a Regents Professor. Dr. Carrell is a Professor of Management, the former Dean of our Haile/US Bank College of Business, and the Founding Director of the Alternative Dispute Resolution Center. He is a nationally known expert in alternative dispute resolution. I'd like to congratulate Dr. Carrell on this well-deserved honor.

The Cincinnati Enquirer recently recognized Cindy Foster, Associate Professor in the Department of Advanced Nursing Studies and Director of the Nurse Advocacy Center for the Underserved, as one of the Women of the Year. Professor Foster was recognized for her efforts related to providing healthcare to underserved people in our community, including many veterans. Professor Foster is also an Air Force veteran. This recognition is a testament to Professor Foster's important work in our community on behalf of the University. Congratulations.

And of particular personal interest, I'd like to recognize Dr. P.J. Ball. Dr. Ball was in the newspaper this weekend for an outstanding achievement. In addition to teaching chemistry at NKU, she is also an avid runner. Dr. Ball placed 3rd in the women's division at the Flying Pig Marathon on Sunday with a time of 3:00:34.

Student Success Center

At the March meeting, we had an opportunity to visit the newly renovated Student Success Center. In April, we celebrated the soft opening of the facility. By all accounts, the Center is a vibrant addition to our campus and a place where students can go to get virtually any question answered. It is a substantial investment in student success.

On September 11, we will have an official grand opening. At that time, the transformation of the bottom level of the University Center will also be complete.

Athletics

Last week, we announced some of our Fall and Winter sports schedules. Our men's basketball team will play at Purdue University, at the University of North Carolina at Chapel Hill, and last, but not least, at the University of Kentucky. In addition, we will have a number of home non-conference games this year. We will host the University of San Diego, Hampton University, Navy, and Morehead State University. The strength of this schedule is external validation of the quality and character of our entire intercollegiate athletics program.

Commencement

On Saturday, we will celebrate commencement during three ceremonies. More than 1,600 men and women will cross the stage and realize their goal of graduating. I look forward to shaking each graduate's hand and congratulating them on their achievement. I hope that a large number of our faculty join us on this special day.

At the morning ceremony, our speaker and honorary degree recipient is Dr. Freeman Hrabowski III. Dr. Hrabowski has served as president of the University of Maryland, Baltimore County since 1992. He was recently named by President Obama to chair the newly created President's Advisory Commission on Educational Excellence for African Americans.

The speaker for the afternoon ceremony is Ms. Mary Zalla, Chief Executive Officer of Landor Associates, a branding and market positioning strategy consulting firm. She is a 1987 NKU alumna.

The Chase speaker and honorary degree recipient is Mr. W. Bruce Lunsford. Mr. Lunsford is the Chairman and Chief Executive Officer of Lunsford Capital, LLC, a private investment company headquartered in Louisville, Kentucky. He is a 1974 graduate of Salmon P. Chase College of Law.

Board Leadership Change

Finally, I would like to express my appreciation to two special people. At the beginning of this meeting, I expressed my appreciation to Regent Marty Butler for 11 years of service on our Board of Regents. Today is Mr. Butler's last board meeting. Mr. Butler, thank you for your years of dedicated service to the University and your many contributions to the Board. Our University is a stronger institution because of your leadership and commitment. On a personal note, Marty, I want to thank you for the critical role you played in providing me with the opportunity to join this university. Thank you.

And, today marks the last on-campus opportunity to recognize Regent Mann's service as our Board Chair. Mr. Mann, thank you for your leadership over the past two years during what has been a very important time for the university. Thank you for your steady leadership and your willingness to serve so ably. And thank you, Terry, for giving me the opportunity to serve.

Mr. Mann, that concludes my report.

A. Joint Finance and Policy Committee Summary: (Reports given by Regent Dennis Repenning, Chairman)

Campus Safety

Jason Willis, Police Chief; Jeffrey Waple, Dean of Students; Jeff Baker, Environmental Safety and Compliance Coordinator; and Barbara Sween, Director of Health, Counseling & Prevention Services, provided a broad overview of the many health and safety initiatives on campus. Chief Willis shared information about ongoing education and training initiatives, campus crime reporting, and environmental safety precautions. Chief Willis also shared that NKU has been recognized as the second safest campus in the Commonwealth.

Budget/Tuition & Fee Recommendation

President Mearns, Dr. Sue Hodges Moore, Vice President for Planning, Policy & Budget, and Ken Kline, Senior Director, Budget Office, provided an overview of the 2013-14 annual operating budget, and proposed tuition rates and fees for 2013-14. The recommended 2013-14 operating budget authorization totaling $224M includes a budget reserve of $4.5M. Proposed new recurring expenditures totaling $4.3M will cover fixed cost increases, essential expenditures, a salary merit pool of 1.5%, and funding for the library and student organizations. One-time funding of $7.5M will support the completion of the plaza renovation, renovations in

the library, and a grant administrative support position (two-year commitment). Undergraduate resident tuition is recommended to increase at 2.7%; 85% of NKU students receive financial aid.

Student Research

We heard from three outstanding students this morning about their research interests. Their projects included a research on widows in India, Copper Production in Prehistoric Thailand, and a cultural history of Cincinnati's public stairways. It was inspiring to see their enthusiasm and the high level of dedication that they have given to their projects.

Audit Committee Summary (Report given by Dennis Repenning, Secretary)

The Audit Committee met yesterday as part of our regular meeting schedule.

Auditor Meyer outlined current projects his office is working on. Of particular interest to the board is the Compliance Officer Search. The job description has been posted and a number of well-qualified individuals have applied.

Auditor Meyer and his staff have completed a number of audits since our last meeting. Audited units include the Health, Counseling and Prevention Office, the Student Union, the Chemistry Department, Human Resources, and Parking Services. The Audit Committee reviewed each of these audits and had an opportunity to ask questions.

As is customary, the Audit Committee had an opportunity to meet with Auditor Meyer without management present.

B. Presidential Reports:

1. Facilities Management Report (Vice President Ken Ramey)

a. University Center Renovation – Student Success Center
b. Campus Recreation Center Expansion/Renovation
c. Power Plant Tunnel Waterproofing Repair
d. Norse Commons Dining Renovation
e. Fine Arts Roof Repairs and Replacement
f. Steely Library Roof Repairs and Replacement
g. Central Plaza Phase II
h. Sustainability

2. Research, Grants, and Contracts Report (February 2013 - March 2013) (Vice President Gail Wells)

This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff. During the February 2013 – March 2013 time period, **18** grants were awarded. The total amount of money awarded was **$825,460.** From July 1, 2012 through March 31, 2013, the cumulative total number of grants awarded is **77** totaling **$4,641,472.**

3. Fundraising Report (July 1, 2012 through March 31, 2013) (Vice President Gerry St. Amand)

The Fundraising Report summarizes fundraising resources committed from **July 1, 2012 through March 31, 2013 totaling $3,053,628** in support of the university.

4. Quarterly Financial Report (July 1, 2012 through March 31, 2013) (Vice President Ken Ramey)

The Quarterly Financial Report depicts the financial activity of the University during the period July 1, 2012 through March 31, 2013, compared to the annual budget and the prior year's activity.

5. Organizational Changes Report (Vice President Sue Hodges Moore)

The following organizational changes will be effective as of July 1, 2013. The Office of the Registrar (currently housed in Enrollment Management) will report to the Vice Provost, University Programs. In addition, the Transfer Services unit (currently housed in the Office of Admissions) will report to the Office of the Registrar and be transformed into a new Transfer Student Center.

Other administrative changes within University Programs effective July 1, 2013 include: the renaming of the Office of General Education and QEP to the Office of the Associate Vice Provost, University Programs and the Office of Curriculum, Accreditation and Assessment will be renamed to the Office of Curriculum and Accreditation.

C. <u>Presidential Recommendations</u>:

Consent Agenda Items: A motion was made by Regent Dennis Repenning and seconded by Regent Virginia Fox to approve the following Presidential Recommendations as listed; C-1 through C-17. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. <u>Administrative Appointments:</u>

Emily Detmer-Goebel, from Associate Professor of English to Chair in the Department of English, effective July 1, 2013; **John Farrar,** Director of the Center for Integrative Natural Science and Mathematics, effective July 1, 2013; **Kristi Haik,** from Associate Professor of Biological Sciences and Interim Director of the Center for Integrative Natural Science and Mathematics to Associate Professor and Chair in the Department of Biological Sciences, effective July 1, 2013; **Zachary Hart,** from Associate Professor and Interim Chair in the Department of Communication to Associate Professor and Chair in the Department of Communication, effective July 1, 2013 through June 30, 2014; **D. Kent Johnson,** from Director of General Education and QEP to Associate Vice Provost of University Programs, effective July 1, 2013; **Sharyn Jones**, Chair in the Department

of Sociology, Anthropology and Philosophy, effective July 1, 2013; **Daniel McGee,** Professor of Mathematics and Executive Director of the Kentucky Center for Mathematics, effective July 1, 2013; **Roger Zarnowski**, Professor and Chair in the Department of Mathematics and Statistics, effective July 1, 2013.

b. Transitions:

Jonathan S. Cullick, from Professor and Chair in the Department of English to Professor of English in the Department of English, effective July 1, 2013; **David Dunevant**, from Associate Professor of Music and Interim Chair in the Department of Sociology, Anthropology and Philosophy to Associate Professor of Music in the Department of Music, effective July 1, 2013; **John Filaseta**, from Professor of Physics and Chair in the Department of Physics and Geology to Professor of Physics in the Department of Physics and Geology, effective July 1, 2013; **Debra Pearce**, from Professor of Biology and Chair in the Department of Biological Sciences to Professor of Biology in the Department of Biological Sciences, effective July 1, 2013; **Steven Wilkinson**, from Professor of Mathematics and Chair in the Department of Mathematics and Statistics to Professor of Mathematics in the Department of Mathematics and Statistics, effective July 1, 2013.

c. Faculty Appointments:

Abdullah Al-Bahrani, Assistant Professor in the Department of Marketing, Economics and Sports Business, effective August 12, 2013; **John Carmen,** Assistant Professor of Microbiology in the Department of Biological Sciences, effective August 12, 2013; **Ronnie Chamberlain**, Assistant Professor of Costume Design in the Department of Theatre and Dance, effective August 12, 2013; **Ingrid Keller**, Assistant Professor of Music in the Department of Music, effective August 12, 2013; **Lee Kersting,** Assistant Professor of Accounting in the Department of Accounting, Finance and Business Law, effective August 12, 2013; **Amber Onorato**, Assistant Professor of Organic Chemistry in the Department of Chemistry, effective August 12, 2013; **Porsha Robinson-Ervin**, Assistant Professor of Special Education in the Department of Teacher Education, effective August 12, 2013; **Monica Wakefield**, Assistant Professor of Biological Anthropology in the Department of Sociology, Anthropology and Philosophy, effective August 12, 2013.

d. Retirements:

***Gina Foletta**, Professor in the Department of Mathematics and Statistics, effective June 30, 2013; **Clinton Hewan**, Associate Professor in the Department of Political Science and Criminal Justice, effective May 18, 2013; **Peter Schiff**, Professor of English in the Department of English, effective December 31, 2013; **Betty Stephens**, Outreach Coordinator and Lecturer in the Center for Integrative Natural Science and Mathematics, effective June 30, 2013.

*** Gina Foletta** was incorrectly submitted under "Resignations" rather than "Retirements" in the March 2013 materials.

e. Departures

Mary Jo Beresford, from Lecturer in the Department of Theatre and Dance, effective May 18, 2013; **Gloria Carpenter,** from Assistant Professor in the Department of Psychological Sciences, effective May 18, 2013; **Jo Anne Davis**, from Assistant Professor in the Department of Advanced Nursing, effective May 18, 2013; **Jane Green**, from Assistant Professor in the Department of Theatre and Dance, effective May 18, 2013; **Kimberly Hartley,** from Temporary Lecturer in the Department of Nursing, effective May 18, 2013; **Cheryl Lero Johnson**, from Assistant Professor in the Department of Political Science and Criminal Justice, effective May 18, 2013; **Steve Kerlin**, from Assistant Professor in the Department of Teacher Education in the College of Education and Human Services, effective May 18, 2013.

2. Non-Academic Affairs Personnel Actions:

The following categories of non-academic personnel actions which occurred between February 13, 2013 and, April 9, 2013 received approval by the Board of Regents: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Retirees; Administrative.

3. Emeritus Status Appointment:

The Emeritus status for the following individual received Board of Regents approval:

Peter Schiff, Professor in the Department of English, effective December 30, 2013.

4. Continued Probation/Non-Reappointment for First-Year Faculty:

The following recommendations on continued probation for the 2013-2014 received Board of Regents approval.

Kirsten Schwarz, Department of Biological Sciences; **Karen Koner,** Department of Music; **Marcos L. Misis,** Department of Political Science, Criminal Justice and Organizational Leadership; **Ryan Salzman,** Department of Political Science, Criminal Justice and Organizational Leadership; **Jeffrey Zimmerman,** Department of Political Science, Criminal Justice and Organizational Leadership; **Jaime McCauley,** Department of Sociology, Anthropology and Philosophy; **Matthew Albritton,** Department of Visual Arts; **Christopher Lawrence,** Department of Counseling, Social Work and Leadership; **Jennifer Sharp,** Department of Counseling, Social Work and Leadership; **Jessica Averitt Taylor,** Department of Counseling, Social Work and Leadership; **Matthew Asare,** Department of Kinesiology and Health, **Gabriel Sanders,** Department of Kinesiology and Health; **Rachele E. Vogelpohl,** Department of Kinesiology and Health; **David Childs,** Department of Teacher Education; **Susan Griebling,** Department of Teacher Education; **Alain Krapl,** Department of Accounting, Finance and Business Law; **Shannon Alexander,** Department of Allied Health; **Kesha Nelson,** Department of Nursing**; Frank Braun,** Department of Business Informatics; **Seungcheol Austin**

Lee, Department of Communication; **Nicholas Zeman,** Department of Communication; **Justin Smith;** Department of Computer Science; **Ursula Doyle,** Chase College of Law**; Jennifer Kinsley,** Chase College of Law**; Barbara Wagner,** Chase College of Law**; Jennifer Mart-Rice,** Chase College of Law Library

5. Major Gifts Acceptance:

The Board of Regents officially hereby accepts contributions totaling **$78,000** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period February 1, 2013 through March 31, 2013.

6. Naming Recommendation (Robinson, Murgatroyd, Stewart, Mazzaro)

The Board of Regents hereby approved:

(1)The Naming of an endowed scholarship to support undergraduate student-athletes competing on the intercollegiate tennis team at Northern Kentucky University in recognition of a private gift: Wm. T. (Tay) Robinson IV Tennis Endowed Scholarship.

(2) The Naming of an endowed scholarship to support undergraduate students who have demonstrated academic achievement in recognition of a private gift: The Richard L. Murgatroyd Endowed Scholarship.

(3) The Naming of an endowed scholarship to support non-traditional or transfer students who have demonstrated academic achievement and been accepted for admission as a degree-seeking student at Northern Kentucky University in recognition of multiple private gifts: The Keith G. Stewart Endowed Scholarship.

(4) The Naming of an endowed scholarship to support undergraduate or graduate students pursuing a degree in Social Work in recognition of multiple private gifts: Tony Mazzaro Endowed Scholarship.

7. Fees and Service Charges:

The Board of Regents authorize a revision to the Schedule of Fees and Service Charges for the 2013-14 academic year.

8. Tax-Exempt Financing:

The Board of Regents adopt a Resolution to declare the Board's official intent to finance the renovation and expansion of Albright Health Center and the acquisition and renovation of a new student residence hall from the proceeds of a tax-exempt financing.

9. 2014-2020 Six Year Capital Plan:

The Board of Regents adopted the 2014-2020 Capital Plan.

The biennial budget process is divided into two distinct parts, (1) the biennial capital budget; and, (2) the biennial operating budget. The capital budget is preceded by the six-year capital planning process. The NKU Capital Plan is a six year plan, consisting of projects to be requested in the 2014-2016 Capital Budget Request and those projects anticipated for inclusion in the 2016-2018 and the 2018-2020 Capital Budget Requests.

10. SGA Constitution Revisions:

The Board of Regents approved revisions to the Student Government Constitution.

11. New Master of Science in Health Science Program:

The Board of Regents approved a new program, Master of Science in Health Science, in the College of Health Professions, for initiation at the beginning of the Spring 2014 semester.

12. Administrative Regulations Update:

The Board of Regents approved the modifications and removal of certain Administrative Regulations; and the Board of Regents approved the creation of the "Creation, Approval, and Maintenance of Administrative Policies" policy and the "Policy Review Committee."

13. Honorary Degree Recipient – Dr. Freeman Hrabowski:

The Board of Regents approved that Dr. Freeman Hrabowski be awarded an Honorary Degree.

14. Regents Professorship:

The Board of Regents approved that Professor Michael R. Carrell be awarded a Regents Professorship.

15. Tuition and Mandatory Fee Rate:

The Board of Regents endorses the tuition and mandatory fee rates for the 2013-14 academic year at the rates proposed in the Authorized Schedule of Tuition.

16. 2013-2014 Operating Budget:

The Board of Regents approved a resolution establishing and approving the Fiscal Year 2013-14 Annual Unrestricted Operating Budget for Northern Kentucky University.

17. AELP Fee Increase:

The Board of Regents authorized a revision to the Schedule of Fees and Service Charges for the 2013-14 academic year.

D. Executive Session:

Regent Brenda Wilson seconded Regent Terry L. Mann's motion to enter into executive session pursuant KRS 61.810 (1) (C) in order to discuss potential litigation against the university. **(Motion carried)**

Regent Virginia Fox seconded Regent Erik Pederson's motion to close the Executive Session. No action was taken. **(Motion carried)**

At 4:12 p.m., Regent Steve Meier seconded Regent Erik Pederson's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on May 8, 2013, and that such matters are still in force and effect.

Signature On File
Dennis Repenning
Secretary of the Board of Regents

2013 Board of Regents Retreat Agenda

METS Center, Erlanger, KY
Wednesday, July 17th, 2013

Time	Event	Facilitator/s
8:30-9:00 a.m.	Breakfast Buffet	
9:00-9:15 a.m.	Welcome/Introductions	Mr. Terry Mann
9:15-10:30 a.m.	Strategic Planning Process	Mr. Geoff Mearns/SPC
10:30-10:45 a.m.	Break	
10:45-12:00 p.m.	Board Discussion	All
12:00-12:15 p.m.	Strategic Planning - Next Steps	Mr. Geoff Mearns
12:15-1:00 p.m.	Lunch	
1:00-2:30 p.m.	Executive Session	Mr. Terry Mann
2:30-3:00 p.m.	Board Leadership Elections Board Self-Assessment	Nominations Subcommittee
3:00-3:45 p.m.	Review of 12-13 & Goals for 13-14	Mr. Geoff Mearns
3:45-4:30 p.m.	Evaluation of the President	Board Chair

Board of Regents Retreat
Northern Kentucky University
METS Center, Erlanger, Kentucky
July 17, 2013

Regent Terry L. Mann, Chair, called the Board of Regents Retreat to order at 9:00 am, Wednesday, July 17, 2013.

Roll Call: Richard A. Boehne, Martin C. Butler, Jacqueline S. Emerine, Virginia G. Fox, Terry L. Mann, Stephen A. Meier, Erik Pederson, Dennis Repenning, Nathaniel G. Smith, Elizabeth L. Thompson, Brenda L. Wilson

Other Attendees: Geoffrey Mearns, Katie Herschede, Sue Hodges Moore, Vickie Natale, Arnie Slaughter, Chuck Brown, Dana Harley, Denise Robinson, Diane Sticklen-Jordan, Katie Cox, Mary Paula Schuh, Rick Boyce, Zachary Hart

Members of the Strategic Planning Committee joined the Board of Regents for the morning session. The Strategic Planning Committee made a presentation on the planning process and sought feedback on their initial work.

Executive Session: Regent Mann moved to go into executive session pursuant to KRS 61.810(1)(f) in order to discuss discipline of an individual student.

After the executive session ended, Regent Pederson seconded Regent Repenning's motion that, despite there being no defect in the hearing or appeal process afforded to the student, there is no harm in returning this matter to the Honor Council so that the student's excluded witnesses may be heard before the Council and their testimony included in Council's reconsideration of their finding and recommended sanction.

Eight Regents voted in favor, two Regents voted against, and one Regent abstained. (**Motion carried)**

Regent Thompson did not participate in the executive session and abstained from the vote.

Board Leadership Elections:
Regent Steve Meier seconded Regent Richard Boehne's motion to elect Dennis Repenning, Chair; Elizabeth L. Thompson, Vice-Chair; Nathaniel G. Smith, Secretary. (**Motion carried)**

Presidential Compensation:
Regent Butler seconded Regent Repenning's motion to approve the following addendum to the June 5, 2012 Employment Agreement made by Geoffrey Mearns and the NKU Board of Regents:

1. Effective August 1, 2013, Mr. Mearns shall receive a bonus of $25,000 based on his performance as President since the date of his employment and the current date. While Mr. Mearns' performance warrants a larger compensation bonus, Mr. Mearns has asked the University to limit his bonus to the above amount.
2. Effective August 1, 2013, Mr. Mearns' salary shall increase by 1.5% and shall continue at this rate until further notice.
3. Mr. Mearns' contract is hereby extended for an additional one-year period beginning August 1, 2013.

(Motion carried)

At 4:30 p.m., Regent Virginia G. Fox seconded Regent Erik Pederson's motion to adjourn.
(Motion carried)

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Nathaniel G. Smith, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the retreat held on July 17, 2013, and that such matters are still in force and effect.

Signature On File
Nathaniel G. Smith
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Board of Regents Meeting
NKU, Steely Library, 102 – Friday, August 16, 2013 – 8:45 a.m.

I. Swearing in of Regent Andrá Ward and Erik Pederson

II. Ratification of election of vice chair and secretary

Board of Regents Meeting
Northern Kentucky University, Steely Library, Room 102
August 16, 2013

Regent Dennis Repenning, Chair, called the Board of Regents meeting to order at 8:45 am, Friday, August 16, 2013.

Roll Call: Richard A. Boehne, Jacqueline S. Emerine, Virginia G. Fox, Terry L. Mann, Stephen A. Meier, Erik Pederson, Dennis Repenning, Nathaniel G. Smith, Andrá Ward, Brenda L. Wilson

Other Attendees: Geoffrey Mearns, Katie Herschede, Tammy Knochelmann, Wendy Peek, Sue Hodges Moore, Ken Bothof, Peter Gitau, Ken Ramey, Sara Sidebottom, Joe Wind, Leon Boothe, David Duncan, Mrs. David Duncan, Jessica Wright, Doris Simpson, Willie Simpson, Karen Kruer, Marcia Johnson, Gloria Ward, Kevin Schultz, Cody Kahle, Michael Blanchard, Bob Wallace, Chris Walters, Tracey Davis, Rev. Dr. Michael Posey, Ken Katkin

Oath of Office:
Regent Dennis Repenning performed the oath of office for the newly elected Regents: Andrá Ward and Erik Pederson. The newly elected Regents swore to uphold all stipulations of the oath and faithfully execute, to the best of their ability, the duties of Regent of Northern Kentucky University according to law.

Ratification of Election of Vice Chair and Secretary
Regent Terry Mann seconded Regent Erik Pederson's motion to ratify the election of Regent Elizabeth Thompson, Vice-Chair and Regent Nathaniel Smith, Secretary of the Board of Regents. **(Motion carried)**

At 9:00 am Regent Stephen Meier seconded Regent Terry Mann's motion to adjourn. **(Motion carried)**

Signature on File
Wendy Peek
Senior Administrative Assistant
Office of the President

Signature on File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Nathaniel G. Smith, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the meeting held on August 16, 2013, and that such matters are still in force and effect.

Signature on File
Nathaniel G. Smith
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, September 10, 2013 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, September 11, 2013 – 9:00 a.m.

9:00 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1. Executive Dashboard (Mearns, Moore)
2. Enrollment (Wells)
3. Alternative Dispute (Wells, Carrell)
4. Student Presentation (Wells, Crum, Strome)
5. Student Success Center/Focus (Moynahan, Padgett)

Grand Opening of the Student Success Center
NKU, University Center Plaza, September 11, 2013 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, September 11, 2013 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of May 8, 2013, Board Minutes
- Approval of July 16, 2013, Retreat Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Summary, Secretary of the Board of Regents

1:20 p.m.

B. <u>Presidential Reports</u>:

1. Facilities Management Report
2. Research/Grants/Contracts Report (April 2013 – June 2013)
3. Fundraising Report (July 2012 – June 2013)
4. Fundraising Report (July 1, 2013 – July 31, 2013)
5. Organizational Changes Report

1:40 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Emeritus Status Appointment
4. *Frank Sinton Milburn Outstanding Professor Award
5. *Revised Faculty Senate Benefits Committee By-Laws
6. *Revised Faculty Policies and Procedures Handbook (Section IV.B.3.)
7. *Revised Faculty Policies and Procedures Handbook (Section I.J.4)
8. *Department Chairs' Handbook Revision
9. *Posthumous Degree
10. *Series A General Receipts Resolution
11. *Deed Titling Correction – Department of Finance
12. *Naming Recommendations (Honabach, Lunsford)
13. *Major Gifts Acceptance
14. *Organizational Chart Update

15. *School Based Scholars Rate Change
16. *Distinguished Professor Rank Recommendation

2:00 p.m.

D. <u>Executive Session</u>

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, September 9, 2013).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
September 11, 2013

Regent Dennis Repenning, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm., Wednesday, September 11, 2013.

Roll Call: Richard A. Boehne, Virginia G. Fox, Terry L. Mann, Stephen A. Meier, Erik Pederson, Dennis Repenning, Nathaniel Smith, Elizabeth L. Thompson, Andrá Ward, Brenda L. Wilson

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Wendy Peek, Sara Sidebottom, Gail Wells, Ken Ramey, Joe Wind, Sue Hodges Moore, Peter Gitau, Ken Bothof, Tracy Insko, Chris Bowling, Bill Farro, Don Gorbandt, Jeff Waple, Mike Hawkins, Kathleen Carnes, Ken Kline, Eric Schnieber, Bill Hunt, Larry Meyer, Dawn Bell-Gardiner, Mary Paula Schuh, Kevin Kirby, Rick Kolbe, Pat Moynahan, Russ Kerdolff, Denise Robinson, Vickie Natale, Paul Orscheln, Cliff Peale, Leslie Hurst, Kevin Schultz, Stephen Wilder, Leah Stewart, Carol Ryan, Samuel Zachary, Sara Aikman, Jason Willis, Jeffrey Standen, Chris Cole, Tim Sofranko, Rick Meyer, Sara Kelley, Bob Driehaus

Regent Erik Pederson seconded Regent Terry Mann's motion to approve the minutes of the May 8, 2013 Board of Regents meeting. **(Motion carried)**

Regent Erik Pederson seconded Regent Nathan Smith's motion to approve the minutes of the July 16, 2013 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Enrollment

As you heard this morning, our incoming freshman class is very strong. Compared to last year, we enrolled about 140 more freshman this year than last year. And most importantly, the average ACT score for the incoming cohort is 23.3 compared to 22.7 last year.

But we must continue to focus on our retention rate. As you know, we have implemented a number of measures, most notably the Student Success Center, to help improve our retention rate. The preliminary retention number for this year shows a slight increase over last year. We have made some modest progress, but frankly not enough.

Strategic Plan

We continue to make good progress on our strategic plan. On August 30, I sent an email to the campus community that outlined our progress to date. I also shared the draft plan, and I invited the campus to join me and the member of the Strategic Planning Committee at one of five open forums to provide input and feedback. We are also gathering feedback from an online form for

those who are not able to attend one of the open meetings. We remain on schedule to share a final draft of the plan with the Board at your November meeting.

Construction Projects

We are also making progess on our major construction projects. Earlier this summer, we began the renovation of the north end of the Central Plaza. This project will complete the transformation of the entire plaza into a welcoming gathering space with more trees and plants, a second outdoor amphitheatre, and a water feature. This is a project that students enthusiastically supported. We expect that the renovation will be completed in later this year.

And just as we finish up the plaza, we expect that our next major project will commence. In December, we will begin preparations for the renovation and expansion of the Albright Health Center. This project will nearly double the available space in the Campus Recreation Center and will allow for needed upgrades to the HVAC and other critical building systems. At a minimum, we expect that this renovation will earn a LEED silver designation. The expansion will also feature the first geothermal unit on our campus. The pool will be completely heated by the geothermal system and we expect that approximately 15% of the building will also be heated and cooled by the system.

For the first several weeks of this semester, Lakeside Terrace, our newest residence hall, housed students in temporary assignments. Now that those students have permanent assignments, we will begin the process of renovating the facility. When completed, the facility will house approximately 200 students. We anticipate that this residence hall will be open for the start of the Fall 2014 academic year.

As you know, the university owns several acres near the Nunn Drive entrance to campus. For years, it has been our intent to work cooperatively with a private company to develop that land into a mixed-use property. Ideas for use have included everything from restaurants to hotels to high end office space for businesses that complement our academic offerings and would offer internships and job opportunities for our students. Recently, we circulated an RFI, or Request for Information, to developers to gather ideas and feedback. We anticipate releasing an RFP, or Request for Proposal, in the near future. This project will be a front door to the university and an attractive development.

Tobacco Free

Starting on January 1, with your support, NKU will be a tobacco free campus. A comprehensive awareness campaign began last month. In addition to the banners, elevator wraps, and other signage, the University is also offering smoking cessation options. Several workgroups of faculty, staff, and students have been working on the many aspects of the implementation of our new policy.

NKU Board of Regents Meeting
September 11, 2013

Faculty Excellence

This year the University welcomed 55 new full-time faculty and 69 new part-time faculty. I'd like to offer a special welcome to these men and women and thank them for their commitment to the academic growth and success of our students. I am grateful for the constructive and collaborative relationship with my faculty colleagues, and I look forward to continuing our important work together.

I would also like to offer my congratulations to faculty members Dana Harley, Willie Elliott, Karen Tapp, and James Canfield from our Social Work department in the College of Education and Human Services. These received an award for Innovative Teaching in Social Work Education by the Council on Social Work Education. The Council was very impressed with their work in photovoice, a research methodology that combines photography and narrative description.

New Faces

In addition to new faculty, we have also welcomed some new administrators. I am pleased to welcome Ken Bothof, our new Athletics Director, Jeff Standen, the Dean of the Chase College of Law, and Eric Gentry, the Vice President for University Advancement who will join us at the November meeting. Mr. Gentry will start on October 1.

As you know, we also have several other searches underway. Later this month, the Vice President for Academic Affairs and Provost Search Committee will meet with semi-finalist candidates. They will work to narrow the list to three or four candidates for on campus interviews. We are presently targeting dates in October for these interviews. This position is very important for the entire campus and the committee will provide regular updates about their progress and information about the finalists.

We are also in the beginning stages of searches for several dean positions. I will inform the campus community as committees are formed and their work begins.

I am confident that we will continue to attract outstanding people to serve our university and our community.

The year is off to a very good start. I remain grateful for the opportunity to serve with you.

Regent Repenning, that concludes my report.

A. Joint Finance and Policy Committee Summary: (Reports given by Regent Nathaniel Smith, Secretary)

Student Presentation

John Crum, senior Biology major, presented his research on new genes that play a role in cancer development. His research contributes to early diagnosis and development of potential new

treatments. John discussed the data he has produced that provides evidence that the gene MSH5 may impact cancer risk and the future questions that need to be addressed to confirm these findings.

Executive Dashboard

Sue Hodges Moore, Vice President for Planning, Policy, and Budget; Geoff Mearns, President; and Vickie Natale, Executive Director, Planning and Performance, gave a presentation on the executive dashboard with updates on how the university is performing on the metrics. The University has made progress on 18 out of 22 metrics, with seven of the targets set for 2014-15 already met. With the current strategic planning process underway, the president has identified a team to evaluate the metrics and recommend changes to the executive dashboard in support of the goals and objectives identified in the new 2013-18 strategic plan. Updates to the executive dashboard will be provided with the presentation of the strategic plan at our November meeting.

Enrollment

Provost Wells gave a presentation focused on the enrollment for fall 2013. The 2013 entering class is the best prepared in NKU's history, with an average high school GPA of 3.30 and an average ACT of 23.3. This class also benefitted from a new rolling scholarship process that yielded 820 freshmen enrolling with institutional merit scholarship funds, as compared to 488 last fall. Provost Wells concluded that the intentional enrollment strategy over the last three years to attract and enroll high achieving students has positioned NKU well for the future.

Alternative Dispute

Dr. Michael Carrell, Regents Professor and Director of the Alternative Dispute Resolution Center, provided an overview of the center's history and services. The mission of the Alternative Dispute Resolution Center is to promote the peaceful, effective resolution of disputes in the under-served markets and the public sector of the northern Kentucky region. The Center provides services for several major organizations, including the City of Covington, the Greater Louisville Metropolitan Government, and the Kentucky Department of Labor.

Student Success Center/Focus

Pat Moynahan, Vice Provost, and Ryan Padgett, Assistant Vice President for Student Success and Assessment, shared a brief update regarding the integration of student services in the new Student Success Center. The Board then adjourned to attend the Grand Opening ceremony.

Audit Committee Summary (Report given by Nathaniel Smith, Secretary)

The Audit Committee met yesterday as part of our regular meeting schedule. Members of the Audit Committee met with Larry Meyer, Director of the Financial and Operational Audit Office, President Mearns and Vice President Ken Ramey to discuss recent internal audit activity. This was one of three regularly scheduled meetings between the internal auditor and the committee.

Mr. Meyer provided a report on the activity completed by his office since the last meeting in May. He summarized the findings and recommendations for recently completed audits including University Housing, Bursar Operations and Institutional Research. He also gave the committee an update on the projects that are on schedule to be completed before this committee's next meeting.

Mr. Meyer and the committee discussed the 2013-14 internal audit plan. The plan is a result of a risk assessment process that internal audit completed during the Spring with input from university management. Fifteen new audit projects are included on this year's schedule.

At the conclusion of the meeting the audit committee had the opportunity to meet privately with Mr. Meyer.

B. <u>Presidential Reports</u>:

1. Facilities Management Report (Vice President Ken Ramey)

a. University Center Renovation – Student Success Center
b. Campus Recreation Center Expansion/Renovation
c. Norse Commons Dining Renovation
d. Fine Arts Roof Repairs and Replacement
e. Steely Library Roof Repairs and Replacement
f. Central Plaza Phase II
g. Lakeside Terrace Renovation
h. Solar Panel Installation
i. Landrum Elevator Renovation
j. Arc Flash Hazard Assessment
k. Switchgear Assessment
l. Callahan Switchgear Replacement
m. Founders Hall Switchgear Replacement
n. Business Academic Center Variable Air Volume (VAV) Box Replacement
o. Campus Air Handling Unit (AHU) Coil Cleaning
p. Central Receiving/Loading Dock Roof Replacement
q. Mathematics-Education-Psychology Exterior Caulking and Sealing
r. Exterior Door Security and Access Control

2. Research, Grants, and Contracts Report (April 2013 - June 2013) (Vice President Gail Wells)

This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff. During the April 2013 – June 2013 time period, **34** grants were awarded. The total amount of money awarded was **$2,508,195.** From July 1, 2012 through June 30, 2013, the cumulative total number of grants awarded is **111** totaling **$7,149,666.**

3. Fundraising Report (July 1, 2012 through June 30, 2013) (Vice President Ken Ramey)

The Fundraising Report summarized fundraising resources committed from **July 1, 2012 through June 30, 2013 totaling $5,231,490** in support of the university.

4. Fundraising Report (July 1, 2013 through July 31, 2013) (Vice President Ken Ramey)

The Fundraising Report summarized fundraising resources committed from **July 1, 2013 through July 31, 2013 totaling $90,754** in support of the university.

5. Organizational Changes Report (Vice President Sue Hodges Moore)

The organizational changes report summarized the recent reorganization of the Division of Student Affairs aligns the division into three distinct units: Student Engagement; Student Success and Assessment; and Student Support and Business Operations. This structure clearly and efficiently aligns programs and services. The new structure is as follows: Assistant VP for Engagement & Dean of Students, Assistant VP for Student Success & Assessment, and Assistant VP for Student Support & Business Operations.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Erik Pederson and seconded by Regent Virginia Fox to approve the following Presidential Recommendations as listed; C-1 through C-15. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Caryn Connelly, Interim Chairperson and Associate Professor in the Department of World Languages and Literatures, effective July 1, 2013; **Maureen Doyle,** from Associate Professor in the Department of Computer Science to Associate Professor and Associate Dean in the College of Informatics, effective July 1, 2013; **Alar Lipping,** Chairperson and Professor of Kinesiology in the Department of Kinesiology and Health, effective August 12, 2013; **James McGuffee,** Chairperson and Associate Professor in the Department of Computer Science, effective July 1, 2013; **Scott Nutter**, Interim Chairperson and Professor in the Department of Physics and Geology, effective July 1, 2013**; Carol A. Ryan,** Interim Dean and Associate Professor of Health and Physical Education in the College of Education and Human Services, effective July 1, 2013; **Jeffrey A. Standen,** Dean and Professor of Law in the Salmon P. Chase College of Law, effective July 1, 2013; **Paul Wirtz,** Interim Associate Dean in the College of Education and Human Services and Associate Professor of Educational Leadership, effective July 1, 2013.

b. Transitions:

Dennis Honabach, from Dean and Professor of Law in the Salmon P. Chase College of Law to Professor of Law in the Salmon P. Chase College of Law, effective July 1, 2013; **Denise Luethge,** from Chairperson and Professor in the Department of Management to Professor

in the Department of Management, effective July 1, 2013; **Stephen Mueller,** from Associate Dean and Professor of Entrepreneurship to Interim Chairperson and Professor of Entrepreneurship in the Department of Management, effective July 1, 2013; **Gaut Ragsdale**, from Associate Dean and Professor in the Department of Communication to Professor in the Department of Communication, effective July 1, 2013; **Gerard A. St. Amand,** from Vice President for University Advancement and Professor of Law in the Salmon P. Chase College of Law to Professor of Law in the Salmon P. Chase College of Law, effective August 1, 2013; **Jeffrey Ward**, from Interim Chairperson and Associate Professor in the Department of Computer Science to Associate Professor in the Department of Computer Science, effective July 1, 2013; **M. Mark Wasicsko,** from Dean and Professor in the College of Education and Human Services and Bank of Kentucky Endowed Chair in Educational Leadership to Professor and Bank of Kentucky Endowed Chair in Educational Leadership in the Department of Teacher Education, effective July 1, 2013; **Gail W. Wells,** from Vice President for Academic Affairs and Provost and Professor in the Department of Computer Sciences to Professor, effective January 1, 2014.

c. <u>Faculty Appointments:</u>

Kalyani Ankem, Assistant Professor in the Department of Business Informatics, effective August 12, 2013; **Thomas Baxter,** Lecturer (non-tenure track renewable) in the Department of Allied Health effective August 12, 2013; **April Callis**, Lecturer (non-tenure track renewable) in the Honors Program, effective August 12, 2013; **Sookyung Cho**, Visiting Professor in the Department of Communication, effective August 12, 2013; **Tara Dailey**, Lecturer (non-tenure track renewable) in the Department of Nursing, effective August 12, 2013; **Gina Fieler,** Lecturer (non-tenure track renewable) in the Department of Nursing, effective August 12, 2013; **Pamela Fletcher,** Assistant Professor in the Department of Advanced Nursing Studies, effective August 12, 2013; **Monica Gallegos**, Assistant Professor in the Department of Communication, effective August 12, 2013; **Mary Lee Glore,** Lecturer of Mathematics and Statistics in the Department of Mathematics and Statistics, effective August 12, 2013; **Zachary Hruby**, Lecturer (non-tenure track renewable) of Archaeology in the Department of Sociology, Anthropology and Philosophy, effective August 12, 2013; **Teresa Huber,** Lecturer (non-tenure track renewable) in the Department of Nursing, effective August 12, 2013; **Kassiani Kotsidou**, Assistant Professor of Electronics Engineering Technology in the Department of Physics and Geology, effective August 12, 2013; **Daniel Kent,** Lecturer (non-tenure track renewable) in the Department of Management, effective August 12, 2013; **Roger Kollock, Jr.,** Assistant Professor of Athletic Training in the Department of Kinesiology and Health, effective August 12, 2013; **Alex Kuhl**, Lecturer (non-tenure track renewable) in the Department of Computer Science, effective August 12, 2013; **Shawn Nordheim,** Lecturer (non-tenure track renewable) in the Department of Nursing, effective August 12, 2013; **Alexis Pulos**, Assistant Professor in the Department of Communication, effective August 12, 2013; **Joseph Rubleske**, Assistant Professor in the Department of Business Informatics, effective August 12, 2013; **Michelle Teschendorf,** Lecturer (non-tenure track renewable) in the Department of Advanced Nursing, effective August 12, 2013; **Kathleen Thompson,** Assistant Professor of Social Work in the Department of Counseling, Social Work and Leadership, effective August 12,

2013; **Mauricio Torres**, Assistant Professor of Mechanical and Manufacturing Engineering Technology in the Department of Physics and Geology, effective August 12, 2013.

d. Retirements:

Gayle Grout, Professor in the Department of Music, effective December 31, 2013; **Margo Jang,** Associate Professor in the Department of English, effective June 30, 2013.

e. Phased Retirements:

George Manning, Professor in the Department of Psychological Science (participation for two calendar years), effective January 1, 2014.

f. Departures:

Ishbel Burr, from the position of Director and Senior Lecturer of First-Year Programs, effective July 1, 2013; **Kevin Corcoran**, from the position of Professor in the Department of Psychological Science, effective June 30, 2013; **Grant Knox**, from the position of Assistant Professor in the Department of Music, effective June 30, 2013; **Darrin McMillen**, from the position of Lecturer (non-tenure track renewable) in First-Year Programs, effective August 1, 2013; **Judith K. Taylor**, from the position of Lecturer (non-tenure track renewable) in Learning Assistance Programs, effective July 31, 2013; **Robert Trundle**, from the position of Professor in the Department of Sociology, Anthropology & Philosophy, effective June 30, 2013.

g. Leaves, Paid/Unpaid:

Mary Cupito, Associate Professor of Journalism in the Department of Communication, paid partial medical leave with benefits for the fall semester 2013; **Dennis R. Honabach,** Professor of Law in the Salmon P. Chase College of Law, paid administrative-re-entry leave with benefits, beginning July 1, 2013 and ending May 17, 2014; **Young Kim,** Associate Professor in the Department of Accounting, Finance and Business Law, unpaid leave without benefits, for the fall semester 2013; **Carol Lawrence,** Professor in the Department of Accounting, Finance and Business Law, unpaid sick leave with benefits, beginning August 12, 2013 and ending May 17, 2014; **Gerard St. Amand,** Professor of Law in the Salmon P. Chase College of Law, paid administrative re-entry leave with benefits, beginning August 1, 2013 and ending July 31, 2014; **Mark Wasicsko,** Professor and Bank of Kentucky Endowed Chair in Educational Leadership in the Department of Teacher Education, paid administrative re-entry leave with benefits, for the spring semester 2014.

h. Academic Affairs Temporary Appointments:

Deborah Browning, Department of Accounting, Finance & Business Law, 2013-2014 Academic Year; **Steven Devoto**, Department of Accounting, Finance & Business Law, 2013-2014 Academic Year; **James Kirtley**, Department of Accounting, Finance & Business Law, 2013-2014 Academic Year; **Lorraine Ruh**, Department of Accounting, Finance & Business

Law, 2013-2014 Academic Year; **Michael Millward**, Department of Biological Sciences, 2013-2014 Academic Year; **Anthony Burk**, Department of Business Informatics, 2013-2014 Academic Year; **Carrie Holloway**, CINSAM, 2013-2014 Academic Year; **Teresa Riley**, Department of Computer Science, 2013-2014 Academic Year; **Michael Chirichello**, Department of Counseling, Social Work & Leadership, 2013-2014 Academic Year; **Susannah Coaston,** Department of Counseling, Social Work & Leadership, 2013-2014 Academic Year; **Susan Cook,** Department of Counseling, Social Work & Leadership, 2013-2014 Academic Year; **Anne B. (Nancy) Erwin,** Department of Counseling, Social Work & Leadership, 2013-2014 Academic Year; **James E. Taylor,** Department of Counseling, Social Work & Leadership, 2013-2014 Academic Year; **Rodney Valandra,** Department of Counseling, Social Work & Leadership, 2013-2014 Academic Year; **Nancy L. Bowers,** Department of English, 2013-2014 Academic Year; **Kristi Brock,** Department of English, 2013-2014 Academic Year; **Rhonda Davis,** Department of English, 2013-2014 Academic Year; **Ellen Elder,** Department of English, 2013-2014 Academic Year; **Stephen Leigh,** Department of English, 2013-2014 Academic Year; **Meredith Singleton,** Department of English, 2013-2014 Academic Year; **Mary Bucklin,** Department of History & Geography, 2013-2014 Academic Year; **Michael Hinckley,** Department of History & Geography, 2013-2014 Academic Year; **Joseph Lombardi,** Department of History & Geography, 2013-2014 Academic Year; **Kathleen M. Quinn,** Department of History & Geography, 2013-2014 Academic Year; **Meredith Smith,** Department of History & Geography, 2013-2014 Academic Year; **Kristopher Teters,** Department of History & Geography, 2013-2014 Academic Year; **Priscilla Dwelly,** Department of Kinesiology & Health, 2013-2014 Academic Year; **Wiley Piazza,** Department of Kinesiology & Health, 2013-2014 Academic Year; **Louis Manchise**, Department of Management, 2013-2014 Academic Year; **Stephen Roush**, Department of Management, 2013-2014 Academic Year; **C. Edward "Chip" Heath,** Department of Marketing, Economics, & Sports Business, 2013-2014 Academic Year; **Shelley Cain**, Department of Mathematics & Statistics, 2013-2014 Academic Year; **Michael F. Collins**, Department of Mathematics & Statistics, 2013-2014 Academic Year; **Chrystal Culbertson**, Department of Mathematics & Statistics, 2013-2014 Academic Year; **Marla Lemmon**, Department of Mathematics & Statistics, 2013-2014 Academic Year; **Barbara Phillips**, Department of Mathematics & Statistics, 2013-2014 Academic Year; **Ralph Stikeleather**, Department of Mathematics & Statistics, 2013-2014 Academic Year; **Laura Urbanski**, Department of Mathematics & Statistics, 2013-2014 Academic Year; **Amy Gillingham**, Department of Music, 2013-2014 Academic Year; **Errik M. Hood**, Department of Music, 2013-2014 Academic Year; **Michael VanPelt**, Department of Music, 2013-2014 Academic Year; **John Zappa**, Department of Music, 2013-2014 Academic Year; **Amber Thomas,** Department of Nursing, 2013-2014 Academic Year; **Matthew S. Block,** Department of Physics & Geology, 2013-2014 Academic Year; **Jeremy Huber,** Department of Physics & Geology, 2013-2014 Academic Year; **Mohsen Keshtrarz,** Department of Physics & Geology, 2013-2014 Academic Year; **Chari Ramkumar,** Department of Physics & Geology, 2013-2014 Academic Year; **Frederick Brockmeier IV,** Department of Political Science, Criminal Justice & Organizational Leadership, 2013-2014 Academic Year; **Melody S. Rawlings,** Department of Political Science, Criminal Justice & Organizational Leadership, 2013-2014 Academic Year; **Rebecca Hazen,** Department of Psychological Science, 2013-2014 Academic Year; **Julie A. Steinke,** Department of Psychological Science, 2013-2014 Academic Year; **Smita Desai Ward,** Department of Psychological Science, 2013-2014

Academic Year; **Justin Ryan Yates,** Department of Psychological Science, 2013-2014 Academic Year; **Gary Blahnik,** Department of Sociology, Anthropology & Philosophy; 2013-2014 Academic Year; **Nicole Grant,** Department of Sociology, Anthropology & Philosophy; 2013-2014 Academic Year; **Kristine Hehn,** Department of Sociology, Anthropology & Philosophy; 2013-2014 Academic Year; **Dennis D. Miller,** Department of Sociology, Anthropology & Philosophy; 2013-2014 Academic Year; **Michael J. Simonton,** Department of Sociology, Anthropology & Philosophy; 2013-2014 Academic Year; **Kristina E. Vise,** Department of Sociology, Anthropology & Philosophy; 2013-2014 Academic Year; **Heather Britt,** Department of Theatre & Dance, 2013-2014 Academic Year; **Jay Goodlet,** Department of Theatre & Dance, 2013-2014 Academic Year; **Christine C. Jones,** Department of Theatre & Dance, 2013-2014 Academic Year; **Maren Fearing,** Department of Visual Arts, 2013-2014 Academic Year; **Martin T. Meersman,** Department of Visual Arts, 2013-2014 Academic Year; **Christopher Smith,** Department of Visual Arts, 2013-2014 Academic Year; **Brent Doss,** Department of World Languages & Literatures, 2013-2014 Academic Year; **Melissa Ibarra,** Department of World Languages & Literatures, 2013-2014 Academic Year; **Makoto Nakamura,** Department of World Languages & Literatures, 2013-2014 Academic Year; **Maria Dolores Schreiner,** Department of World Languages & Literatures, 2013-2014 Academic Year; **Kristin Hornsby,** Honors Program, 2013-2014 Academic Year; **Natalie M. Williams,** Learning Assistance Programs, 2013-2014 Academic Year.

2. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between April 10, 2013 and, August 13, 2013 received approval by the Board of Regents: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Retirees; Administrative/Executive.

3. Emeritus Status Appointment:

The Emeritus status for the following individual received Board of Regents approval:

Clinton Hewan, Associate Professor in the Department of Political Science, Criminal Justice & Organizational Leadership, effective May 18, 2013.

4. Frank Sinton Milburn Outstanding Professor Award:

The Board of Regents approved that Alar Lipping receive the Frank Sinton Milburn Outstanding Professor Award.

5. Revised Faculty Senate Benefits Committee By-laws:

The revised Faculty Senate Benefits Committee By-Laws received Board of Regents approval.

6. Revised Faculty Policies and Procedures Handbook (Section IV.B.3.):

The revised NKU Faculty Policies and Procedures Handbook (Section IV.B.3.) received Board of Regents approval.

7. Revised Faculty Policies and Procedures Handbook (Section I.J.4):

The revised NKU Faculty Policies and Procedures Handbook, Section I.J.4., received Board of Regents approval.

8. Department Chairs' Handbook Revision:

The amendment to the Department Chairs' Handbook received Board of Regents approval.

9. Posthumous Degree:

The Board of Regents approved that Scott C. Haders receive a posthumous Bachelor of Science Degree in Geology.

10. Series A General Receipts Resolution:

The Board of Regents adopted the Resolution which provides for the authorization, sale and issuance of approximately $53,195,000 in General Receipt Obligations for the purpose of (i) financing the costs of the "Renovation and Expansion of Albright Health Center" and the "Acquisition and Renovation of a New Residence Hall" projects and (ii) paying costs of issuance in connection with the Northern Kentucky University General Receipts Bonds, 2014 Series A.

11. Deed Titling Correction – Department of Finance:

The Board of Regents authorized the administration to accept transfer of title and administrative responsibilities on 37.4934 acres of property from the Commonwealth of Kentucky, Finance and Administration Cabinet.

12. Naming Recommendations (Honabach, Lunsford):

The Board of Regents hereby approved the following naming actions:

(1) The Naming of a scholarship to support one or more law students who have expressed an interest in and commitment to the study of transactional law, and who actively participate in the activities of the Transactional Law Practice Center in recognition of multiple private gifts: The Dennis R. Honabach Scholarship in Transactional Law.

(2) The Naming of a new honors immersion program for J.D. students within the Chase College of Law in honor of a private gift: W. Bruce Lunsford Academy for Law, Business + Technology.

13. Major Gifts Acceptance:

The Board of Regents officially hereby accepted contributions totaling **$1,739,000** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period April 1, 2013 through July 31, 2013.

14. Organizational Chart Update:

The Board of Regents approved the organizational chart which reflects all NKU Administrative updates through September 11, 2013.

15. School Based Scholars Rate Change:

The Board of Regents authorized the revision to the Schedule of Fees and Service Charges for the 2013-14 academic year.

D. Executive Session:

Regent Erik Pederson seconded Regent Virginia Fox's motion to enter into executive session pursuant KRS 61.810 (1) (C) in order to discuss potential litigation against the university. **(Motion carried)**

Regent Erik Pederson seconded Regent Nathaniel Smith's motion to close the Executive Session. No action was taken. **(Motion carried)**

At 3:43 p.m., Regent Erik Pederson seconded Regent Elizabeth Thompson's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Nathaniel Smith, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on September 11, 2013, and that such matters are still in force and effect.

Signature On File
Nathaniel Smith
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, November 12, 2013 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, November 13, 2013 – 9:00 a.m.

9:00 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1. Chase College of Law (Standen, Lennon, Rosenthal)
2. Strategic Plan (Mearns, Hodges Moore, Natale)
3. Annual Financials (Ramey, Kerdolff)
4. Construction Update (Ramey, Knarr, Nienaber)

Board Lunch
NKU, Student Union, 108 –November 13, 2013 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, November 13, 2013 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of August 16, 2013, Board Minutes
- Approval of September 11, 2013, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Summary, Secretary of the Board of Regents

1:20 p.m.

B. <u>Presidential Reports</u>:

1. Facilities Management Report
2. Research/Grants/Contracts Report (July 2013 – September 2013)
3. Fundraising Report (July 2013 – September 2013)
4. Organizational Changes Report
5. Annual Financial Report (July 1, 2012 – June 30, 2013)

1:40 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Emeritus Status (Wells)
4. *Emeritus Status (Beere)
5. *Major Gifts Acceptance
6. *Naming Recommendation (O'Neill Elliott, Eble)
7. *2014-2016 Capital Budget Request
8. *Audited Financial Statements Acceptance
9. *Teacher Education Policy Update
10. *Tobacco-Free Policy
11. *Strategic Plan

2:00 p.m.

D. Executive Session

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, November 11, 2013).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
November 13, 2013

Regent Dennis Repenning, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm., Wednesday, November 13, 2013.

Roll Call: Richard A. Boehne, Virginia G. Fox, Jacqueline Emerine, Terry L. Mann, Stephen A. Meier, Erik Pederson, Dennis Repenning, Nathaniel Smith, Elizabeth L. Thompson, Andrá Ward, Brenda L. Wilson

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Tammy Knochelmann, Wendy Peek, Sara Sidebottom, Gail Wells, Ken Ramey, Joe Wind, Sue Hodges Moore, Peter Gitau, Ken Bothof, Eric Gentry, Tracy Insko, Chris Bowling, Bill Farro, Casey Long, Don Gorbandt, Jeff Waple, Jim Nilson, Pat Moynahan, Kathy Stewart, Tim Sofranko, Rick Meyers, Carole Beere, Leah Stewart, Joel Robinson, Mary Lou Singleton, Carol Ryan, Larry Blake, Russ Kerdolff, Kevin Kirby, Chris Cole, Larry Meyer, Ken Kline, Rick Kolbe, Denise Robinson, Karen Kruer, Kevin Schultz, Zach Hart, Mac Peyton, Vickie Natale, Kerri Beach, Mary Paula Schuh, Bill Thompson

Regent Elizabeth Thompson seconded Regent Nathan Smith's motion to approve the minutes of the August 16, 2013 Board of Regents meeting. **(Motion carried)**

Regent Andrá Ward seconded Regent Terry Mann's motion to approve the minutes of the September 11, 2013 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

New Provost

Two weeks ago, I announced that I have selected Sue Ott Rowlands as our next Vice President for Academic Affairs and Provost. I am very pleased to welcome Sue to our team. I know that she will provide excellent leadership across our entire campus. Sue is presently the Dean of the College of Liberal Arts and Human Sciences at Virginia Tech. She will join us on January 6. She looks forward to meeting you at the Board of Regents meeting on January 8.

Dean Searches

As you know, we are in the process for searching for three new academic deans: the College of Arts and Sciences, the Haile/US Bank College of Business, and the College of Education and Human Services. Search committees are now in place for each of these positions. We have also retained Isaacson Miller to assist us with these three searches. The search committees are presently developing job descriptions and recruitment materials, and building strong candidate pools. We anticipate interviewing finalists in the Spring. We will welcome the new deans in July.

Strategic Plan

As you heard this morning, over the last 11 months, the campus community has engaged in an extensive and thorough strategic planning process. Hundreds of faculty, staff, and students attended open forums in the Spring and Fall semesters to contribute their ideas on what should be included in our five-year strategic plan. Nearly 2,000 students and 1,000 faculty and staff completed a comprehensive survey online that asked what *is* important to NKU and what *should* be important. In addition, more than 100 faculty, staff, students, and community members served on work groups to provide detailed analyses on trends and issues impacting the university. We also conducted more than 20 meetings with external constituents to recognize the needs of our community and regional employers. This participatory process has been transparent. The end result is something that we should all be proud of.

This morning, I presented the results of these efforts to you. Our strategic plan identifies a clear, succinct mission with a vision for 2018 that will guide our work over the next five years. The strategic plan includes the goals and directions to enable us to achieve that ambitious vision.

As I have said before, though, our work does not end today. Today the real hard work begins, because the implementation of the plan is the most important part of this entire process. Indeed, the implementation is what will make our university distinctive and what will bring us success when we celebrate our achievements in 2018.

The committee has invested an extraordinary amount of time into this project. I'd like to thank each of them for their dedication, time, and thoughtful input. I'd also like to thank Sue Hodges Moore, Vickie Natale, and Kerri Beach for their outstanding support of the committee.

Faculty Excellence

I would like to take this opportunity to congratulate Dr. Linda Wermeling, associate professor of social work. Dr. Wermeling was recently selected as a Fulbright Specialist. The Fulbright program helps connect scholars in the United States with colleagues overseas for collaborative projects. Dr. Wermeling has routinely worked with international social work scholars. She has previously participated in projects in Cuba and Moldova. As part of her Fulbright responsibilities, Dr. Wermeling will work with a university in Romania on curriculum development and international social work education standards. Congratulations Dr. Wermeling. Thank you for bringing honor and prestige to our university.

Enrollment Management

I have previously reported on the strength of our freshman class. I am pleased to report that we are continuing our efforts to recruit both outstanding first year students and outstanding transfer students. Last month, NKU and Gateway announced a major new partnership. The Gateway2NKU program will allow students to transfer seamlessly from Gateway to NKU to earn a four-year degree in four years. There are 25 identified degree pathways and advisors at both Gateway and NKU who work directly with students to ensure an efficient transfer process. We expect to increase the number of transfers from Gateway to NKU, and we anticipate

partnering with other Kentucky Community and Technical College Schools and regional community colleges to expand this program.

Last Spring, a group of superintendents expressed interest in enhancing our School Based Scholars Program. This program allows high school students to take NKU classes in their high schools or at the university. I met with several superintendents earlier this year and as a result of their feedback, I recently approved the recommendations of a joint task force of school-district personnel and NKU staff to enhance our School-Based Scholars program. The revisions are intended to increase access to dual-credit courses for students throughout our service region. Specific program changes included: reducing the price from $337 per course to $225 per course, effective Fall 2013. This change resulted in an immediate savings of $83,778 for participating families, increased to eight the number of courses that can be completed through NKU at the discounted rate, and waived the application fee for SBS students who apply to NKU. We are presently working with school districts on a plan to help their teachers add the credentials necessary to teach college content in their schools, and we are working to develop an innovative hybrid approach that will team NKU faculty with high school teachers to provide intensive online content specifically for high school students. The first course will be piloted in Spring 2014 with approximately 50 students from five high schools.

Further, as part of the Strategic Planning process, we have identified an enrollment management implementation team to continue to work on new student, transfer, and graduate enrollment strategies. The group is being led by Joel Robinson, our Interim Assistant Vice President for Enrollment Management. I look forward to sharing more about their work at an upcoming meeting.

Tobacco Free/Commitment to Wellness

Earlier this year, the Board of Regents voted unanimously to adopt a tobacco-free campus policy and to develop recommendations for the implementation of this policy. Over the past 11 months, faculty, staff, and students have worked diligently on multiple aspects of this policy and plan. This afternoon, I will ask you to approve our tobacco free policy. The policy explicitly states that the Northern Kentucky University community deserves a healthy place in which to learn, work, live, and visit. Going tobacco-free is a major health initiative that reflects our commitment to a healthy campus.

Athletics

I am very pleased to announce that we have captured our first A-Sun championship. J.J. Webber, a junior majoring in nursing, and a cross country runner finished first in the eight kilometer race. Overall the team finished second. Additionally, Mr. Webber was named the A-Sun Runner of the Year. This is a strong accomplishment for our team, and we have much to continue to look forward to.

Thank You

Mr. Chairman, before I finish my remarks, I want to take a moment to recognize a major transition at the University. In just about 45 days, Gail Wells will finish a nearly ten-year term as NKU's Vice President for Academic Affairs and Provost. That is a remarkable achievement. Gail has also dedicated many more years of her career to NKU as a professor, Chair of the Department of Mathematics and Computer Science, and Dean of the College of Arts and Sciences. In all, her service to the university has spanned more than three decades. I'd like to thank Gail for her commitment and counsel. She has been instrumental in helping the university grow and expand, and it is difficult to find an area where she hasn't made a significant difference. On December 3, we will host a lunch recognizing and thanking Gail for her service. At that time, many of us will share more thoughts about her contributions. Until then, Gail, thank you for your service, and thank you for your commitment to our faculty, staff, students, and our community.

A. Joint Finance and Policy Committee Summary: (Reports given by Regent Nathaniel Smith, Secretary)

Chase College of Law

This morning Jeffrey Standen, Dean of the Chase College of Law, shared an overview of the College. Lawrence Rosenthal, Associate Dean, and Nate Lennon, student, highlighted some of the College's student accomplishments, including moot court competitions and the prestigious victory in the Scribes "Best Brief of the Year" competition.

Strategic Plan

This morning, President Mearns presented the 2013-18 Strategic Plan. The plan includes revised Mission, Vision, and Core Values, as well as the goals, objectives, and strategic directions for the next five years. President Mearns recapped the extensive involvement of faculty, staff, students, and community members in the planning process over the past 11 months. Our five primary goals will focus on: Student Success; Talent Development; Academic Innovation; Community Engagement; and Institutional Excellence. The campus will begin forming teams to work on implementation plans, and metrics and targets will be presented to the Board at the March meeting. President Mearns thanked the members of the Strategic Planning Committee for their diligence and hard work on drafting the plan.

Annual Financials

Vice President Ramey and Comptroller Kerdolff presented highlights from the University's 2012-13 Annual Financial Report, including a review of the University's revenues, expenses, assets, liabilities and net position.

The University's financial performance for fiscal year 2013 was very positive. The University continued to make significant progress in building its capacity to serve the needs of our students while managing the fiscal challenges of declining state and federal funding. The University is in a strong financial position as it launches a new five-year strategic plan.

Construction Update

This morning the Board received a report on the status of the recreation center project by the University Architect, Mr. Steve Nienaber, and the Recreation Center Project Manager, Mr. Rob Knarr. The outdoor recreation field portion of the project is now complete and the fields are open.

We also received an update on the status of the renovation/expansion of the Albright Health Center Building. This portion of the project will double the size of the existing recreation space in the building and open it to provide natural light throughout the space. The building will be constructed to achieve LEED Silver certification. The new construction portion of the project and the pool water will be conditioned using a geothermal system, the first significant installation of a renewably energy source on our campus.

Audit Committee Summary (Report given by Nathaniel Smith, Secretary)

The Audit Committee met on September 27th with representatives from the accounting firm Dean Dorton Allen Ford to review the University's 2012-13 financial statements. Also in attendance were President Mearns, Vice President Ken Ramey, Comptroller Russ Kerdolff and representatives from the University's accounting, internal auditing offices. Crissy Fiscus, from DDAF, led the review and discussion.

Ms. Fiscus discussed all matters related to the audit that they are required to communicate to the Audit Committee in accordance with auditing standards. Ms. Fiscus reported to the Committee that the financial statements and A-133 audit report were issued with unqualified opinions, commonly referred to as a clean opinion.

At the end of the formal meeting members of management were excused and the Audit Committee met privately with the external auditors. After this discussion the meeting was adjourned.

B. Presidential Reports:

1. Facilities Management Report (Vice President Ken Ramey)

a. Campus Recreation Center Expansion
b. Norse Commons Dining Renovation
c. Fine Arts Roof Repairs and Replacement
d. Steely Library Roof Repairs and Replacement
e. Central Plaza Phase II
f. Lakeside Terrace Renovation
g. Central Receiving/Loading Dock Roof Replacement
h. Landrum Elevator Renovation
i. Arc Flash Hazard Assessment
j. Switchgear Assessment

k. Callahan Switchgear Replacement
l. Founders Hall Switchgear Replacement
m. Business Academic Center Variable Air Volume (VAV) Box Replacement
n. Campus Air Handling Unit (AHU) Coil Cleaning
o. Mathmatics·Education·Psychology Exterior Caulking and Sealing
p. Exterior Door Security and Access Control

2. Research, Grants, and Contracts Report (July 2013 - September 2013) (Vice President Gail Wells)

This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff. During the July 2013 – September 2013 time period, **39** grants were awarded. The total amount of money awarded was **$3,540,443.**

3. Fundraising Report (July 1, 2013 through September 30, 2013) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from **July 1, 2013 through September 30, 2013 totaling $795,788** in support of the university.

4. Organizational Changes Report (Vice President Sue Hodges Moore)

The organizational changes report summarized that the department of Testing & Disability Services within the Division of Student Affairs has been split into two departments, namely Testing Services and Disability Services. Also, the Center for Applied Ecology, under the Associate Provost for Regional Stewardship, requested that its name be changed to Center for Environmental Restoration.

5. Annual Financial Report (July 1, 2012 – June 30, 2013) (Vice President Ken Ramey)

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regent Bylaws.

C. <u>Presidential Recommendations</u>:

Consent Agenda Items: A motion was made by Regent Nathan Smith and seconded by Regent Erik Pederson to approve the following Presidential Recommendations as listed; C-1 through C-11. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. <u>Administrative Appointments:</u>

Joel Robinson, Interim Associate Vice President of Enrollment Management, effective October 1, 2013.

b. Transitions:

Carrie McCoy, from Chair and Professor in the Department of Nursing to Professor in the Department of Nursing, effective July 1, 2014; **Eileen Weisenbach Keller,** from Associate Professor and Entrepreneurship Institute Director in the Department of Management to Associate Professor in the Department of Marketing, Economics and Sports Business, effective January 1, 2014; **Samuel Zachary,** from Professor of Theatre in the Department of Theatre and Dance and Dean of the College of Arts and Sciences to Professor of Theatre in the Department of Theatre and Dance, effective June 30, 2014.

c. Faculty Appointments:

Megan Talley-Lindsey, Lecturer (non-tenure track renewable) in the Department of Counseling, Social Work & Leadership, effective August 12, 2013.

d. Retirements:

Carole Beere, Senior Director of Special Projects, effective December 31, 2013; **David Bishop,** Professor in the Department of Teacher Education, effective May 18, 2014; **Janis Cassiere,** Assistant Dean and Lecturer in the College of Arts & Sciences**,** effective June 30, 2014; **Joy Churchill,** Associate Professor in the Department of Nursing, effective June 30, 2014.

e. Phased Retirements:

Jon Hastings, Professor in the Department of Biological Sciences (participation for two calendar years), effective August 11, 2014 and terminating his employment after the spring semester of 2016; **Debra Pearce**, Professor in the Department of Biological Sciences (participation for two calendar years), effective August 11, 2014 and terminating her employment after the spring semester of 2016.

f. Departures:

Paul Orscheln, from the position of Associate Vice President of Enrollment Management, effective September 25, 2013.

g. Leaves, Paid/Unpaid:

Carrie McCoy, Professor in the Department of Nursing, paid administrative re-entry leave with benefits, beginning August 11, 2014 through December 30, 2014; **Samuel J. Zachary,** Professor in the Department of Theatre and Dance, paid administrative reentry leave with benefits, beginning August 11, 2014 through December 30, 2014.

h. <u>Academic Affairs Temporary Appointments:</u>

Reuben Bullard, CINSAM, 2013-2014 Academic Year; **James Anthony Chowning**, Department of Communication, 2013-2014 Academic Year; **J. Michael Skaggs,** Department of Communication, 2013-2014 Academic Year; **Connie Bruins**, Department of History & Geography, 2013-2014 Academic Year; **Gary De Jesus**, Department of Marketing, Economics & Sports Business, 2013-2014 Academic Year;

2. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between August 14, 2013 and October 15, 2013 received approval by the Board of Regents: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Retirees; Administrative/Executive.

3. Emeritus Status Appointment:

The Emeritus status for the following individual received Board of Regents approval:

Dr. Gail W. Wells, who served effectively as Provost for a decade (2004-2013), effective January 1, 2014.

4. Emeritus Status Appointment:

The Emeritus status for the following individual received Board of Regents approval:

Dr. Carole Beere, Professor in the Department of Psychological Science effective January 1, 2014.

5. Major Gifts Acceptance:

The Board of Regents officially accepted contributions totaling **$250,000** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University; **$102,484.20** received by the Greater Cincinnati Foundation for the exclusive benefit of Northern Kentucky; and **$10,800.00** received in In-Kind gifts for the benefit of Northern Kentucky University during the period August 1, 2013 through September 30, 2013.

6. Naming Recommendations (O'Neill Elliott, Eble):

The Board of Regents hereby approved the following naming actions:

(1) The Naming of a scholarship in support of students enrolled in the Master's Degree in Social Work program. Preference will be given to African American applicants who have earned a degree from Kentucky State University and who demonstrate a strong desire to make a difference in the world, in recognition of a private gift: The Mary O'Neill Elliott Endowed Scholarship.

(2) The Naming of a third floor student lounge in Nunn Hall, in recognition of a private gift: Timothy E. Eble '81 Student Commons.

7. 2014-2016 Capital Budget Request:

The Board of Regents adopted the 2014-2016 Capital Budget.

8. Audited Financial Statements Acceptance:

The Board of Regents accepted:

(1) The NKU audited financial statements for the fiscal year ended June 30, 2013.

(2) The independent auditor's report on internal controls based on the audit of the University's financial statements.

(3) The federal program audit required by the U.S. Office of Management and Budget Circular A-133.

9. Teacher Education Policy Update:

The Teacher Education Policy update received Board of Regents approval.

10. Tobacco-Free Policy:

The Board of Regents adopted the NKU Tobacco-Free Campus Policy: Effective January 1, 2014. Regent Repenning asked for a roll call vote to be taken regarding the Tobacco-Free Campus Policy. (Motion carried)

11. Strategic Plan:

The Board of Regents approved the 2013-18 strategic plan, including the Mission, Vision, and Core Values for the University.

D. <u>Executive Session:</u>

Regent Erik Pederson seconded Regent Dennis Repenning's motion to enter into executive session pursuant KRS 61.810 (1) (C) in order to discuss potential litigation against the university. **(Motion carried)**

At one point during the executive session, Regent Thompson recused herself due to a conflict of interest.

Regent Erik Pederson seconded Regent Nathaniel Smith's motion to close the Executive Session. No action was taken. **(Motion carried)**

At 2:33 p.m., Regent Virginia Fox seconded Regent Andrá Ward's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Nathaniel Smith, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on November 13, 2013, and that such matters are still in force and effect.

Signature On File
Nathaniel Smith
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Board of Regents Meeting
NKU, Soccer Stadium (Founders Room)
Saturday, December 14, 2013
11:00 a.m. (Or immediately following the morning commencement ceremony.)

11:00 a.m.

- Call to Order
- Roll Call
- Executive Session – Student Issue

Board of Regents Meeting
Northern Kentucky University
Founders Room, Soccer Stadium
December 14, 2013

Regent Dennis Repenning, Chair, called the Board of Regents meeting to order at 12:25 pm, Saturday, December 14, 2013.

Roll Call: Richard A. Boehne, Jacqueline S. Emerine, Virginia G. Fox, Terry L. Mann, Stephen A. Meier, Erik Pederson, Dennis Repenning, Andrá R. Ward, Brenda L. Wilson. Excused: Nathaniel G. Smith, Elizabeth L. Thompson

Other Attendees: Geoffrey Mearns, Katie Herschede, Sara Sidebottom, Sara Kelley

Regent Fox seconded Chair Repenning's motion to appoint Regent Mann to be the acting chair for this specific meeting. **(Motion carried).** Chair Repenning indicated he had a conflict of interest regarding the matter to be discussed in executive session, and excused himself from the meeting.

Executive Session: Regent Fox seconded Regent Mann's motion to go into executive session pursuant to KRS 61.810(1)(f) in order to discuss potential academic dismissal of an individual student.

After the executive session ended, Regent Fox seconded Regent Boehne's motion that the Board or Regents uphold the findings of the Chase Honor Council and recommended sanctions of revocation of admission and expulsion from the College of Law. **(Motion carried)**

At 2:44 p.m., Regent Boehne seconded Regent Pederson's motion to adjourn. **(Motion carried)**

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Terry L. Mann, Acting Chair of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the meeting held on December 14, 2013, and that such matters are still in force and effect.

Signature On File
Terry L. Mann
Acting Chair of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, January 7, 2014 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, January 8, 2014 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. College of Informatics (Ott Rowlands, Kirby)
2. Sabbaticals/Fellowships/Project Grants (Ott Rowlands, Mader-Meersman, O'Callaghan)
3. Housing Presentation (Gitau, Waple, Slaughter)
4. Enrollment Presentation (Ott Rowlands, Robinson)

Board Lunch
NKU, Student Union, 108 –January 8, 2014 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, January 8, 2014 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of November 13, 2013, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Summary, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Ramey)
2. Research/Grants/Contracts Report (October 2013 – November 2013) (Ott Rowlands)
3. Fundraising Report (October 2013 – November 2013) (Gentry)
4. Quarterly Financial Report (July 1, 2013 – September 30, 2013) (Ramey)
5. Faculty Development Awards – Project Grants (Ott Rowlands)
6. Faculty Development Awards – Faculty Summer Fellows (Ott Rowlands)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Emeritus Status (Churchill, Soled)
4. *Sabbatical Leaves
5. *New Bachelor of Science Degree in Radiologic Science
6. *New Bachelor of Science Degree in Respiratory Care
7. *Major Gifts Acceptance
8. *Housing and Dining Fees
9. *Organizational Changes

2:00 p.m.

D. Executive Session

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, January 6, 2014).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
January 8, 2014

Regent Dennis Repenning, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, January 8, 2014.

Roll Call: Richard A. Boehne, Virginia G. Fox, Jacqueline Emerine, Stephen A. Meier, Erik Pederson, Dennis Repenning, Nathaniel Smith, Brenda L. Wilson

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Tammy Knochelmann, Wendy Peek, Sara Sidebottom, Sue Ott Rowlands, Ken Ramey, Sue Hodges Moore, Peter Gitau, Ken Bothof, Eric Gentry, Tracy Insko, Chris Bowling, Bill Farro, Leslie Hurst, Don Gorbandt, Jeff Waple, Pat Moynahan, Kathy Stewart, Tim Sofranko, Rick Meyers, Joel Robinson, Carol Ryan, Larry Blake, Russ Kerdolff, Kevin Kirby, Chris Cole, Larry Meyer, Ken Kline, Rick Kolbe, Denise Robinson, Karen Kruer, Bill Thompson, Bob Driehaus, Sara Kelley, Linda Nesbitt, Andy Meeks, Alar Lipping, Caryn Connelly, Tiffany Budd, Jane Moore, Jan Hillard, Lori Southwood, Jeff Standen

Regent Stephen Meier seconded Regent Erik Pederson's motion to approve the minutes of the November 13, 2013 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

New Provost:

It is my pleasure to welcome and to introduce you to Sue Ott Rowlands, our new Vice President for Academic Affairs and Provost. As you know, Provost Ott Rowlands comes to us from Virginia Tech, where she was the dean of the College of Liberal Arts and Human Sciences, one of Virginia Tech's largest colleges.

I am pleased that Sue is joining our team. She will provide outstanding leadership for Academic Affairs and for the entire campus.

Enrollment Update:

Preliminary Spring semester data indicate that our enrollment is down slightly when compared with last year at this same time. But, students are continuing to enroll this week prior to the beginning of classes on Monday. I am pleased with the continued growth among diverse populations of students. Preliminary Spring enrollment data indicate that Latino student enrollment is up 10% over last year, African-American student enrollment is up 4%, and the enrollment of students identifying two or more races is up nearly 11%.

In addition, the outlook for Fall 2014 enrollment is positive. Presently, applications from new freshmen for the Fall are up 14% and new transfer applications are up 9%, compared with last year. So far, we have received nearly 7,200 applications from new freshmen.

Dean Search Update:

Our three academic dean searches are progressing well. Each of the dean search committees is presently evaluating preliminary candidates. The committees will conduct semi-finalist interviews in late February or early March. On-campus interviews are tentatively scheduled for late March and early April. I anticipate that our new deans will start in July. More information about the searches will be available on the college websites.

We are also in the process of searching for a new Associate Provost for Research and Dean of Graduate Studies. The committee is actively working to generate a strong pool of qualified candidates. The committee anticipates that on-campus interviews of the finalists will take place in late March or early April.

Athletics Update:

Our winter sports are well underway. This year, a number of our athletes have already been honored as the A-Sun Athlete of the Week.

- Women's basketball player Melody Doss was named Atlantic Sun Conference Player of the Week three times during the first four weeks of the season and earned her fourth Player of the Week honor this week.
- Jalen Billups was named Atlantic Sun Conference Player of the week in men's basketball after leading the Norse to an overtime win against Tulane. Jalen was also featured on ESPN SportsCenter's Top 10 for his dunk against the University of North Carolina. And his teammate, Daniel Camps, was featured on the Top 10 for his dunk against Florida Gulf Coast University.
- NKU track and field team member Danny Keller was named Atlantic Sun Conference Athlete of the Week in December after setting the school record in shot.
- J.J. Webber was a four-time Atlantic Sun Conference Runner of the Week during the cross-country season. The junior had three first-place finishes this year, including an individual win at the Atlantic Sun Conference championships in Nashville. These honors led to J.J. being named the Atlantic Sun Runner of the Year.
- Goalkeeper A.J. Fleak was named the Atlantic Sun Conference Defensive Player of the Week in men's soccer. A.J. was honored for his game winning goal – you heard that right. He scored an improbable goal after he punted the ball from the Norse goal. The ball bounced over the opposing goalie and clinched the win for the Norse.
- Finally, goalkeeper Tanner Ruberg was named the Atlantic Sun Conference Defensive Player of the Week for women's soccer following the season's opening weekend, posting a 13-save performance in a scoreless draw against in-state rival Murray State.

Legislative Session:

The annual Kentucky legislative session started yesterday. Between now and April 15, the legislature will develop the state's biennial budget. In addition to our normal discussions around university operating funds and capital funds, we will be very engaged in discussions related to the Kentucky Employee Retirement System. If this year's KERS increase is not funded, NKU's contribution to the state retirement system will increase by approximately $5 million. This increase is in addition to increases in the University's other fixed costs, which are unavoidable.

Bond Sale:

The University received some very good news about our bond sale for the renovation of the recreation center and our newly acquired residence hall, Northern Terrace (formerly known as Lakeside Terrace).

A record number of bidders competed for these bonds. The final interest rate, including closing costs, will be 3.73%. That interest rate is substantially lower than our fiscal agent had anticipated; our fiscal agent had estimated 4.35%, based on current market conditions and similar offerings. As a result of this lower rate, our total expense for interest and principal over the life of the bonds will be approximately $4.3M less than we had projected.

This result was the product of many positive attributes of NKU and the work of many good people. And it demonstrates the confidence that external professionals have in the future of our University.

Health Innovations Center:

The health innovations center continues to be one of the University's top priorities. I am presently leading a small group to refine the concept. Soon, I will establish an internal working group and an external advisory group to help determine the programmatic and funding plans. We aspire to build a facility that will enable students, faculty, and practitioners in health disciplines to teach, learn, and conduct research in innovative and collaborative ways to help the public make better, more informed decisions about their health and well-being.

January Convocation:

On Friday, during the January Convocation, I will share information about the Strategic Plan implementation teams and plans. The entire campus community is invited to this important gathering at 9:00 am in the Student Union Ballroom. I will discuss the bright future of our University as we embark on the path that will lead us to 2018 – the 50th anniversary of the founding of our institution.

A. Joint Finance and Policy Committee Summary: (Reports given by Regent Nathaniel Smith, Secretary)

College of Informatics

Kevin Kirby, Dean of the College of Informatics, shared an overview of the college. He was accompanied by Yi Hu, Associate Professor in the Department of Computer Science and coach of NKU's Cyber Defense Team to discuss the team's history and success. Dr. Kirby and Dr. Hu were joined by Emma Miner, a student Informatics Ambassador, and two cyber defense team participants, Ava Gailliot and Lee Epling.

Faculty Development Awards

Provost Sue Ott Rowlands presented an overview of the three faculty development programs offered at NKU – sabbatical leaves, summer fellowships and project grants. A booklet summarizing 2012-13 faculty development award activities was shared with the Board.

Recent faculty development award recipients, Tamara O'Callaghan, Associate Professor in the Department of English, and Julie Mader-Meersman, Associate Professor in the Department of Visual Arts, shared their research experiences with the Board.

Housing

Dr. Peter Gitau, Dean of Students Jeffrey Waple, and Director of University Housing Arnie Slaughter updated the Board on University Housing, residential education models, and the proposed housing rates for 2014-2015. The Board also learned about design plans for Northern Terrace.

Enrollment

Joel Robinson, Interim Associate Vice President for Enrollment Management, provided an update regarding final Fall 2013 enrollment, a preliminary look at Fall 2014 enrollment and the work to-date of the committee charged with developing enrollment strategies to implement the strategic plan and grow enrollment accordingly.

B. Presidential Reports:

1. Facilities Management Report (Vice President Ken Ramey)

a. Campus Recreation Center Expansion/Renovation
b. Norse Commons Dining Renovation
c. Fine Arts Roof Repairs and Replacement
d. Steely Library Roof Repairs and Replacement
e. Central Plaza Phase II
f. Northern Terrace Renovation
g. Central Receiving/Loading Dock Roof Replacement
h. Landrum Elevator Renovation
i. Arc Flash Hazard Assessment
j. Switchgear Condition Assessment

k. Callahan Switchgear Replacement
l. Founders Hall Switchgear Replacement
m. Business Academic Center Variable Air Volume (VAV) Box Replacement
n. Mathmatics·Education·Psychology Exterior Caulking and Sealing
o. Exterior Door Security and Access Control

2. Research, Grants, and Contracts Report (October 2013 – November 2013) (Vice President Sue Ott Rowlands)

During the October and November 2013 time period, **7** grants were awarded. The total amount of money awarded was **$305,975.** From July 1, 2013 through November 30, 2013, the cumulative total number of grants awarded is **46** totaling **$3,845,418.**

3. Fundraising Report (October 1, 2013 through November 30, 2013) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2013 through November 30, 2013 totaling $1,194,408 in support of the university.

4. Quarterly Financial Report (July 1, 2013 – September 30, 2013) (Vice President Ken Ramey)

The Quarterly Financial Report depicted the financial activity of the University during the period from July 1, 2013 through September 30, 2013, compared to the annual budget and the prior year's activity.

5. Faculty Development Awards – Project Grants (Vice President Sue Ott Rowlands)

The Project Grant Report reflected the grants that have been awarded for 2014-2015.

6. Faculty Development Awards – Faculty Summer Fellows (Vice President Sue Ott Rowlands)

The Faculty Summer Fellows Report reflected the fellows that have been awarded for the summer of 2014.

C. <u>Presidential Recommendations</u>:

Consent Agenda Items: A motion was made by Regent Virginia Fox and seconded by Regent Nathaniel Smith to approve the following Presidential Recommendations as listed; C-1 through C-11. **(Motion carried)**

1. **Academic Affairs Personnel Actions:**

   a. **Transitions:**

   William Attenweiler, from Associate Dean and Program Director of Integrative Studies in the College of Arts and Sciences to Associate Professor in the Department of Psychological Science, effective July 1, 2014; **Rodney D'Souza,** from Assistant Professor in the Department of Management to Assistant Professor and Entrepreneurship Institute Director in the Department of Management, effective January 1, 2014.

   b. **Faculty Appointments:**

   Arelys Madero-Hernandez, Assistant Professor in the Department of Political Science, Criminal Justice & Organizational Leadership, effective August 11, 2014.

   c. **Temporary Faculty Appointments:**

   Kristin Hornsby, Honors Program, Academic Year 2013-2014; Nicole Roth, Department of Sociology, Anthropology & Philosophy, 2014 Spring Semester.

2. **Non-Academic Personnel Actions:**

   The following categories of non-academic personnel actions which occurred between October 16, 2013 and, December 3, 2013 received approval by the Board of Regents: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Retirees; Administrative/Executive.

3. **Emeritus Status Appointment:**

   The Emeritus status for the following individuals received Board of Regents approval:

   Joy Churchill, Associate Professor in the Department of Nursing, effective July 1, 2014.

   Suzanne Wegener Soled, Professor in the Department of Teacher Education, effective May 12, 2014.

4. **Sabbatical Leaves:**

   The sabbatical leave recommendations for the 2014-2015 academic year received Board of Regents approval.

5. **New Bachelor of Science Degree in Radiologic Science:**

   The new program, Bachelor of Science in Radiologic Science, in the College of Health Professions, was approved for initiation at the beginning of the Fall 2014 semester.

6. New Bachelor of Science Degree in Respiratory Care:

The new program, Bachelor of Science in Respiratory Care, in the College of Health Professions, was approved for initiation at the beginning of the Fall 2015 semester.

7. Major Gifts Acceptance:

The Board of Regents officially accepted contributions totaling **$105,800.00** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period October 1, 2013 through November 30, 2013.

8. Housing and Dining Fees:

The Board of Regents authorized the proposed housing and dining fees for the 2014-2015 academic years.

9. Organizational Changes:

The Board of Regents approved the organizational changes summarized below.

The responsibilities of the Vice President for Legal Affairs and General Counsel will be expanded to include the Office of Financial and Operational Audit and the University's chief compliance officer.

The Office of the Budget, which currently reports to the Vice President for Planning, Policy, and Budget, will report to the Vice President for Administration and Finance.

The role of Vice President for Planning, Policy, and Budget will be expanded in order to actively assist the President in the implementation of the University's strategic plan. In addition to leading the University's planning and institutional research functions, the vice president will support the President with respect to (a) monitoring and measuring the collective and coordinated efforts to achieve our vision and our goals, (b) developing and executing implementation strategies and tactics, (c) ensuring alignment of all University resources with those strategies and tactics, (d) maintaining sound University policies, and (e) monitoring the University's institutional risk management portfolio.

D. <u>Executive Session:</u>

Regent Brenda Wilson seconded Regent Dennis Repenning's motion to enter into executive session pursuant KRS 61.810 (1) (C) in order to discuss potential litigation against the university. **(Motion carried)**

No other matters were discussed. No final action was taken.

At 2:09 p.m., Regent Virginia Fox seconded Regent Nathaniel Smith's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Nathaniel Smith, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on January 8, 2014, and that such matters are still in force and effect.

Signature On File
Nathaniel Smith
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, March 11, 2014 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, March 12, 2014 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Faculty Presentation (Ott Rowlands, Neal)
2. Athletic Department (Bothof, Thacker)
3. Financial Aid/Student Debt/Financial Literacy (Ott Rowlands, Gitau, Robinson, Stewart)
4. Retention (Ott Rowlands, Gitau, Moynahan, Padgett)

Board Lunch
NKU, Student Union, 108 –March 12, 2014 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, March 12, 2014 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of December 14, 2013, Board Minutes
- Approval of January 8, 2014, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Summary, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Ramey)
2. Research/Grants/Contracts Report (December 2013 – January 2014) (Ott Rowlands)
3. Fundraising Report (December 2013 – January 2014) (Gentry)
4. Quarterly Financial Report (July 1, 2013 – December 31, 2013) (Ramey)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Academic Affairs Reappointment, Promotion, and Tenure
3. *Non-Academic Personnel Actions
4. *Emeritus Status Appointments
5. *Major Gifts Acceptance
6. *Naming Recommendation (Stephens, Cordray, Cantrall)
7. *Organizational Chart Update
8. *Duke Energy Easement
9. *Major Gifts Acceptance Policy
10. *Ethical Principles and Code of Conduct Update

2:00 p.m.

D. Executive Session

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, March 10, 2014).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
March 12, 2014

Regent Dennis Repenning, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, March 12, 2014.

Roll Call:, Jacqueline Emerine, Virginia G. Fox, Terry Mann, Stephen A. Meier, Erik Pederson, Dennis Repenning, Elizabeth Thompson, Andrá Ward, Brenda L. Wilson

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Tammy Knochelmann, Wendy Peek, Sara Sidebottom, Sue Ott Rowlands, Ken Ramey, Peter Gitau, Ken Bothof, Eric Gentry, Tracy Insko, Chris Bowling, Bill Farro, Kasey Long, Pat Moynahan, Kathy Stewart, Tim Sofranko, Joel Robinson, Carol Ryan, Russ Kerdolff, Kevin Kirby, Chris Cole, Larry Meyer, Rick Kolbe, Denise Robinson, Karen Kruer, Bill Thompson, Sara Kelley, Jeff Standen, Leah Stewart, Christina Chamber, Kalin Holland, Mike Irvin, Tyler Groll, Danielle Natorski, Jessica Lynch, Alar Lipping, Vickie Natale, Caryn Connelly, Tiffany Budd

Regent Andrá Ward seconded Regent Erik Pederson's motion to approve the minutes of the December 14, 2013 Board of Regents meeting. **(Motion carried)**

Regent Brenda Wilson seconded Regent Stephen Meier's motion to approve the minutes of the January 8, 2014 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Follow-up to External Audit

In September 2013, I wrote a letter to Mr. Repenning, Chair of the Board of Regents, outlining the findings and recommendations of an independent accounting firm that conducted a thorough review of the University's procurement card policies and practices. In the letter, I indicated that, in March 2014, I would provide an update related to the University's implementation of these recommendations. Yesterday, I provided a written status report to the Board. I will share my written status report with the campus this week.

State and University Budget

Last month, I sent an email to the campus to provide information on the state's budget and the potential subsequent impact on the University's budget. As I shared, the Governor's budget recommended a 2.5% reduction in operating support for the public universities. In addition to this challenge, our University faces the additional burden of a recurring increase of $5 million to our annual Kentucky Education Retirement System contribution.

As a result of these budget pressures, and an inevitable increase in other fixed costs, I asked each vice president to prepare a budget reduction plan of 5%. These plans are due to me by April 2.

Our University is very efficient. And I know that the potential of cutting an additional 5% from the budget is going to be difficult. I am grateful to our outstanding faculty and staff for their good and constructive ideas on how we can meet this challenge. We have done it before, and I know we will do it again.

We will know more about our budget situation in mid-April, when the Kentucky Legislature adjourns. In addition to monitoring the state budget situation closely, we are also working very hard to increase next year's enrollment through enhanced recruiting and retention efforts.

Long-term solution will arrive when elected officials demonstrate leadership in implementing higher education funding methodology that reflects productivity and measurable results.

Health Innovations Center

As you know, the University's top legislative priority is the health innovations center. I remain hopeful that this very important capital project will prevail in the budget process. In order to assist us in translating this concept into a specific action plan, I have appointed an internal and an external advisory group to obtain expert input. When our collective efforts are successful, we will improve the health of our community, the Commonwealth, and our metropolitan region. We will also promote economic growth and vitality by producing graduates who earn good incomes, by providing trained talent for healthcare providers, and by improving the productivity of the broader workforce. And our innovative approach will be a model for other educational institutions and communities to emulate. I will continue to keep you apprised of our progress.

Strategic Plan Implementation Update

I have appointed nine Strategic Plan Implementation Teams to enhance key components of the strategic plan. These teams have been meeting regularly since January. Additionally, many of the teams have held open forums and created surveys to gather feedback from all across campus. And all team leaders meet monthly with me and our vice presidents to report on their progress and to share information that is relevant to multiple teams.

Marketing Proposal

Our strategic plan calls for the University to "develop and execute a comprehensive marketing, communication, and branding plan." To execute this strategy, the University has engaged the services of Landor, a worldwide marketing leader, with a major office in our metropolitan region. Over the next several months, Landor will inventory our current marketing and branding positions, evaluate our marketing and branding effectiveness, conduct extensive qualitative and quantitative research, and help the University articulate our key attributes and distinctive advantages. I look forward to sharing the outcomes and findings of Landor's work.

Dean Candidates

Over the past five days, the three academic dean search committees conducted initial interviews with semi-finalist candidates. The committees will narrow the candidate pools and bring the three most qualified candidates for each search to campus in late March and early April. I understand that we have very strong candidates. We are on target to have all three new deans start by July 1.

Student of the Year

Yesterday, Chris Hammann, a junior majoring in social work here at NKU, was given the award by the American Council on Education as the Adult Learner of the Year. Mr. Hammann is a Marine Corps veteran and president of our veterans student organization. Chris also works for the University in our facilities management department as a heavy equipment operator. This award is a national honor. In fact, Mr. Hammann is presently flying back from San Diego, where he received the award.

Mr. Hammann is just one of our outstanding students. And he is a shining example of our post-traditional students who handle many other responsibilities while they obtain their college education.

High School Visits

I have had the pleasure of meeting with a number of local high school principals. As a result of these meetings, I have been invited to present at several schools. In fact, on Monday, I spoke to an assembly of all of the students at Covington Catholic High School. Last semester, I was pleased to visit with the Conner High School senior class. Later this month, I will speak to 7th to 12th graders at Dayton High School.

I believe that these meetings, and talking to these groups, is one of the ways that I can help the University reach our enrollment targets and continue to be a University of choice for our local students.

Conclusion

While we face some challenges, I remain confident and optimistic about the opportunities we have.

B. Presidential Reports:

1. Facilities Management Report (Vice President Ken Ramey)

a. Campus Recreation Center Expansion/Renovation
b. Norse Commons Dining Renovation
c. Central Plaza Phase II
d. Northern Terrace Renovation
e. Central Receiving/Loading Dock Roof Replacement
f. Administrative & University Center Storm Water & Drainage Repair

g. University Center Ballroom Audiovisual and Lighting Upgrade
h. Landrum Elevator Renovation
i. Arc Flash Hazard Assessment
j. Switchgear Condition Assessment
k. Callahan Switchgear Replacement
l. Founders Hall Switchgear Replacement
m. Business Academic Center Variable Air Volume (VAV) Box Replacement
n. Mathmatics·Education·Psychology Exterior Caulking and Sealing
o. Exterior Door Security and Access Control
p. Sustainability

2. Research, Grants, and Contracts Report (December 2013 – January 2014) (Executive Vice President Sue Ott Rowlands)

During the December 2013 and January 2014 time period, **8** grants were awarded. The total amount of money awarded was **$490,034.** From July 1, 2013 through January 31, 2014, the cumulative total number of grants awarded is **54** totaling **$4,336,452.**

3. Fundraising Report (December 1, 2013 through January 31, 2014) (Vice President Eric Gentry)

The Fundraising Report summarizes fundraising resources committed from December 1, 2013 through January 31, 2014, totaling $1,947,108 in support of the University.

4. Quarterly Financial Report (July 1, 2013 – December 31, 2013) (Vice President Ken Ramey)

The Quarterly Financial Report depicted the financial activity of the University during the period from July 1, 2013 through December 31, 2013, compared to the annual budget and the prior year's activity.

C. <u>Presidential Recommendations</u>:

Consent Agenda Items: A motion was made by Regent Virginia Fox and seconded by Regent Andrá Ward to approve the following Presidential Recommendations as listed; C-1 through C-10. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. <u>Transitions:</u>

Wm. Benjamin Martz, Jr., from Chair and Professor of Business Informatics in the Department of Business Informatics to Professor of Business Informatics in the Department of Business Informatics, effective July 1, 2014.

b. <u>Faculty Appointments:</u>

Charlisa Daniels, Assistant Professor in the Department of Chemistry, effective August 11, 2014; **Robert Kues,** Lecturer (non-tenure track renewable) in the Department of Biological Sciences, effective August 11, 2014; **Emily Shifley,** Assistant Professor in the Department of Biological Sciences, effective August 11, 2014.

c. Resignations:

Tobias Brauer, from the position of Associate Professor in the Department of Visual Arts, effective May 17, 2014.

d. Phased Retirements:

Barbara A. Arrighi, Professor in the Department of Psychological Science (participation for two academic years), effective August 11, 2014 and terminating her employment after the spring semester of 2016; **Russell F. Proctor II,** Professor in the Department of Communication (participation for two academic years), effective August 11, 2014 and terminating his employment after the spring semester of 2016; **Robert A. Snyder,** Professor in the Department of Management (participation for two academic years; teaching during spring semesters and not teaching during fall semesters), effective August 11, 2014 and terminating his employment after the spring semester of 2016; **Jeffrey Williams,** Professor in the Department of History and Geography (participation for one academic year), effective August 11, 2014 and terminating his employment after the spring semester of 2015.

e. Leaves, Paid/Unpaid:

Ann Dollins, Associate Professor in the Department of Advanced Nursing Studies, paid leave of absence with benefits, beginning January 13, 2014 and ending May 17, 2014; **Penny Summers**, Associate Professor in the Department of Communication, paid leave of absence with benefits, beginning January 1, 2014 and ending May 17, 2014.

f. Temporary Faculty Appointments:

Pamela K. Fisher, Department of Communication, Academic Year; **Kevin Moore,** Department of Marketing, Economics and Sports Business, Spring Semester.

2. Academic Affairs Reappointment, Promotion, and Tenure:

The Board of Regents approved recommendations on promotion, tenure, continued probation, continued probation with conditions to be removed, and terminal reappointment.

3. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between December 4, 2013 and, February 11, 2014 received approval by the Board of Regents:

Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Retirees; Administrative/Executive.

4. Emeritus Status Appointments:

The Emeritus status for the following individuals received Board of Regents approval:

David Bishop, Professor in the Department of Teacher Education, effective May 17, 2014

Gayle Sheard Grout, Professor in the Department of Music, effective January 1, 2014.

5. Major Gifts Acceptance:

The Board of Regents officially hereby accepted contributions totaling **$435,028.20** received by the NKU Foundation Inc during the period December 1, 2013 through January 31, 2014.

6. Naming Recommendations:

The Board of Regents hereby approved the following naming actions:

(1) The Naming of Nunn Hall Room 565 in recognition of a private gift: Henry L. (Steve) Stephens, Jr. Faculty Conference Room.

(2) The Naming of an endowed scholarship in support of undergraduate students who are residents of the Commonwealth of Kentucky and demonstrate financial need; preference is to be given to qualified female applicants aged 25 or older who are also single parents: The Lee Cordray Endowed Scholarship.

(3) The Naming of an endowed scholarship in support of students in the accelerated bachelor of science in nursing program based on academic achievement performance and leadership demonstrated in classes and clinicals: The Janice Mabry Cantrall Nursing Scholarship.

7. Organizational Chart Update:

The updated organizational chart received the Board of Regents approval.

8. Duke Energy Easement:

The Board of Regents granted an easement allowing Duke Energy Corporation to install underground and/or overhead electric service in the area between Northern Terrace and Callahan Hall.

9. Major Gifts Acceptance Policy:

The Board of Regents adopted the NKU Gift Acceptance Policy. Effective upon adoption.

10. Ethical Principles and Code of Conduct Update:

The updated Ethical Principles and Code of Conduct administrative regulation received Board of Regents approval.

D. <u>Executive Session:</u>

Regent Terry Mann seconded Regent Dennis Repenning's motion to enter into executive session pursuant KRS 61.810 (1) (C) in order to discuss potential litigation against the University. **(Motion carried)**

No other matters were discussed. No final action was taken.

At 1:58 p.m., Regent Virginia Fox seconded Regent Erik Pederson's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Nathaniel Smith, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on March 12, 2014, and that such matters are still in force and effect.

Signature On File
Nathaniel Smith
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, May 6, 2014 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, May 7, 2014 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Executive Dashboard (Mearns, Hodges Moore, Ott Rowlands, Natale)
2. Annual Budget Presentation/Tuition Recommendation (Ramey, Kline)
3. Association of Campus Residents' Campus Beautification Proposal (Gitau, Slaughter, Welch)
4. Celebration of Student Research and Creativity Student Presentations (Ott Rowlands, Voelker, Walker)

Board Lunch (Student Awards)
NKU, Student Union, 107 –May 7, 2014 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, May 7, 2014 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of March 12, 2014, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Summary, Secretary of the Board of Regents
- Bylaws Sub-committee Report and Recommendations, Regent Mann
- Regent Emeritus Standing Committee Report and Recommendation, Regent Repenning

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Ramey)
2. Research/Grants/Contracts Report (February 2014 – March 2014) (Ott Rowlands)
3. Fundraising Report (July 1, 2013 – March 31, 2014) (Gentry)
4. Quarterly Financial Report (July 1, 2013 – March 31, 2014) (Ramey)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Academic Affairs Faculty Reappointment
3. *Non-Academic Personnel Actions
4. *Major Gifts Acceptance
5. *Honorary Degree – Boothe
6. *Honorary Degree – Lyons
7. *Chase Honorary Degree - Michelle Keller
8. *Credit Hour Policy
9. *New Center for Information Security
10. *Revised Chair's Handbook
11. *Bad Debt Write-Off
12. *Dashboard Metrics
13. *FY 2014-2015 Fee Schedule
14. *FY 2014-2015 Tuition Rates
15. *FY 2014-2015 Budget Authorization

2:00 p.m.

D. Executive Session

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, May 5, 2014).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
May 7, 2014

Regent Dennis Repenning, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, May 7, 2014.

Roll Call: Richard Boehne, Jacqueline Emerine, Virginia G. Fox, Terry Mann, Stephen A. Meier, Erik Pederson, Dennis Repenning, Nathaniel Smith, Elizabeth Thompson, Andrá Ward, Brenda L. Wilson

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Tammy Knochelmann, Wendy Peek, Sara Sidebottom, Sue Ott Rowlands, Ken Ramey, Peter Gitau, Ken Bothof, Eric Gentry, Sue Hodges Moore, Joe Wind, Tracy Insko, Chris Bowling, Bill Farro, Kasey Long, Pat Moynahan, Kathy Stewart, Tim Sofranko, Joel Robinson, Russ Kerdolff, Kevin Kirby, Chris Cole, Larry Meyer, Denise Robinson, Karen Kruer, Bill Thompson, Sara Kelley, Leah Stewart, Rick Meyer, Jan Hillard, Ken Kline, Angela Schaffer, Larry Blake, Tracy Brate, Melissa Gorbandt, Jackie Marsala, Millie Mclemore, Tina Altenhofen, Kathryn Hanel, Lori Southwood, Jeff Waple, Rick Kolbe, Alar Lipping, Vickie Natale, Caryn Connelly, Tiffany Budd, Bob Driehaus

Regent Erik Pederson seconded Regent Elizabeth Thompson's motion to approve the minutes of the March 12, 2014 Board of Regents meeting. **(Motion carried)**

Regent Stephen Meier seconded Regent Nathaniel Smith's motion to approve the Regent Emeritus Standing Committee Report and Recommendations. **(Motion carried)**

Presidential Comments:

Regents Distinguished Service Award

In March, we honored three employees who embody the great spirit of our University. The Regents Distinguished Service Award recognizes staff employees who have made exemplary contributions to the growth, image, or efficient operations of the University. I am very pleased that the recipients of this year's award could be with us this afternoon. Ms. Millie McLemore was recognized in the Service Maintenance/Skilled Crafts/Technical and Scientific category. Millie has served the University for six years and is presently a systems manager in Steely Library. Ms. Jackie Marsala was recognized in the Office Clerical category. Jackie came to NKU in 2008 and presently serves as the Academic Assistant for the Department of Nursing. Ms. Tina Altenhofen was recognized in the Professional/Research Assistant category. Tina has served the University for seven years and is the Executive Assistant to the Director of the Center for Applied Informatics. Please join me in thanking and congratulating this year's winners of the Regents Distinguished Service Awards.

Dean Searches

As I shared with you in April, we have now completed the Dean searches for the College of Arts and Sciences, College of Education and Human Services, and Haile/US Bank College of Business. Dr. Katherine Frank will be the new Dean of the College of Arts and Sciences. Dr. Frank presently serves as the Dean of the School of Humanities and Social Sciences at Indiana University East. Dr. Cindy Reed will be the new Dean of the College of Education and Human Services. Dr. Reed presently serves as the Director of the Truman Pierce Institute and the Emily R. and Gerald S. Leischuck Endowed Professor of Educational Leadership at Auburn University. Finally, Dr. Becky Porterfield will be the new Dean of our Haile/US Bank College of Business. Dr. Porterfield is presently the Graduate Associate Dean of the University of North Carolina Wilmington Cameron School of Business. Our three new Deans will begin in July. We look forward to welcoming them to campus.

Vice President for Enrollment Management Search

Last month, we began our search for a Vice President for Enrollment Management. This Vice President will report directly to the Provost and Executive Vice President for Academic Affairs. Given the extraordinarily competitive enrollment management hiring market and in order to attract top candidates, we have engaged a search firm to assist us. The search will be conducted over the summer, and we will welcome finalists to the campus in the Fall semester for interviews. Later this month, Joel Robinson will transition to Assistant Provost for Special Projects. Ms. Leah Stewart, Director of Student Financial Assistance, will serve as the Interim Associate Vice President for Enrollment Management. I am very grateful to Joel for his service and dedication.

Landor Marketing and Branding Engagement

As I have previously shared, the University has engaged Landor, an international marketing and branding firm with a strong local office, to help us develop updated branding and messaging guidelines. The work began in early March, and I anticipate that Landor will complete its work in September. The process involves qualitative and quantitative research through focus groups, individual conversations, and input provided by the faculty, staff, students, alumni, prospective students, and business and community leaders. We have just completed the qualitative research phase where Landor's team interviewed current students, alumni, and business and community leaders. I will meet with Landor tomorrow to learn more about this initial phase of research findings. The next phase of the project will involve testing of ideas and themes generated from Phase 1 of the project.

AASCU Exceptional Universities – Outreach Initiative

The American Association of State Colleges and Universities has chosen five exceptional universities to feature in AASCU's Outreach Initiative Pilot Program. This pilot program seeks to broaden the public's understanding of the high-quality, accessible, and affordable college opportunities at state colleges and universities like NKU. I am very pleased to report that our University has been selected by AASCU as one of the five exceptional universities. This

selection means that NKU will be recognized nationally for our contributions to excellence, access, and opportunity.

Student Celebration of Research and Creativity

Just a few weeks ago, the University held our 12th Celebration of Student Research and Creativity. This year, 750 students presented posters, oral presentations, or other scholarly and creative works. 139 faculty members mentored these students – and many faculty members mentored more than one student. In total, there were more than 300 projects and 25 live student performances. This is the largest Celebration of Research and Creativity yet. I would like to thank Dr. Judy Voelker and Dr. Stephen Walker for their leadership of this event. I'd also like to express my gratitude to the committee members, students, and faculty who participated in this extraordinary event.

Cyber Defense Competition Wins

Just last week, our Cyber Defense Team competed at the national cyber defense competition. These competitions are exhaustive multi-day experiences that test each team's skills in defending a corporate-style computer network under sustained attack by judges posing as hackers. At the regional competition, the team defeated state champions from the nine Midwestern state competitions, including our long-time rival, the Rose Hulman Institute of Technology, to earn a spot in the national contest. At the national competition, we fared very well. Our team placed 6th and edged out the Air Force Academy and UC Berkeley. More than 180 teams competed through the regional and national competitions. Dr. Yi Hu and Teresa Riley coached this year's team. They were assisted by alumni who were previous team members. NKU's prowess in Cyber Defense extends beyond this team. Earlier this month, a research poster and presentation by women in the NKU Masters program in Computer Information Technology won the graduate student poster competition at the national Women in Cybersecurity Conference in Nashville, beating out PhD students from universities such as NYU and Carnegie Mellon. Members of the team included Darci Guriel, Irina Vorobyeva, Helina Oladapo, and Mary Ferguson. The team was coached by Dr. Marius Truta.

Commencement

This time of year is always a special time in the life of a campus. On Saturday, we will celebrate the accomplishments of more than 1,600 students as they graduate with their undergraduate, graduate, doctoral, and law degrees. This semester we have celebrated the many accomplishments of these students and are proud of their many achievements. In just a few minutes, I will ask that you approve our honorary degree recipients for this year – Dr. Pearse Lyons, Dr. Leon Boothe, and Justice Michelle Keller. We are delighted that each of these individuals has agreed to speak at our commencement.

Regent Pederson

As you know, today is Regent Pederson's last Board of Regents meeting. Erik has ably served on our Board for the past two years and has faithfully represented our student body as the Student Government President. Personally, I have enjoyed working with him and appreciate the

insight he brings to the Board's deliberations. I look forward to shaking Erik's hand on Saturday at Commencement. Erik, congratulations and thank you for your service.

Closing

In closing, this year has been a very good one. And even better days for our University are in our future.

B. Presidential Reports:

1. Facilities Management Report (Vice President Ken Ramey)

a. Campus Recreation Center Expansion/Renovation
b. Norse Commons Dining Renovation
c. Central Plaza Phase II
d. Northern Terrace Renovation
e. Central Receiving/Loading Dock Roof Replacement
f. Administrative & University Center Storm Water & Drainage Repair
g. University Center Ballroom Audiovisual and Lighting Upgrade
h. Landrum Elevator Renovation
i. Arc Flash Hazard Assessment
j. Switchgear Condition Assessment
k. Callahan Switchgear Replacement
l. Founders Hall Switchgear Replacement
m. Business Academic Center Variable Air Volume (VAV) Box Replacement
n. Mathmatics·Education·Psychology Exterior Caulking and Sealing
o. Exterior Door Security and Access Control
p. Sustainability

2. Research, Grants, and Contracts Report (February 2014 – March 2014) (Provost and Executive Vice President Sue Ott Rowlands)

During the February 2014 and March 2014 time period, 11 grants were awarded. The total amount of money awarded was $440,966. From July 1, 2013 through March 31, 2014, the cumulative total number of grants awarded is 65 totaling $4,777,418.

3. Fundraising Report (July 1, 2013 through March 31, 2014) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2013 through March 31, 2014, totaling $2,630,188 in support of the university.

4. Quarterly Financial Report (July 1, 2013 – March 31, 2014) (Vice President Ken Ramey)

The Quarterly Financial Report depicted the financial activity of the University during the period from July 1, 2013 through March 31, 2014, compared to the annual budget and the prior year's activity.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Nathaniel Smith and seconded by Regent Virginia Fox to approve the following Presidential Recommendations as listed; C-1 through C-15. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Katherine P. Frank, Dean of the College of Arts and Sciences and Professor in the Department of English, effective July 1, 2014; **Rebecca I. Porterfield,** Dean of the Haile/US Bank College of Business and Professor in the Department of Management, effective July 1, 2014; **Cynthia J. Reed,** Dean of the College of Education and Human Services and Professor in the Department of Counseling, Social Work and Leadership, effective July 15, 2014; **Michael Roth,** Chairperson and Professor in the Department of Physics and Geology, effective July 1, 2014; **Belle Zembrodt,** Interim Director of the Honors Program, effective July 1, 2014 through June 30, 2015.

b. Faculty Appointments:

Tracey Bonner, Assistant Professor in the Department of Theatre and Dance, effective August 11, 2014; **Sookyung Cho,** Assistant Professor in the Department of Communication, effective August 11, 2014; **Susannah Coaston,** Assistant Professor in the Department of Counseling, Social Work and Leadership, effective August 11, 2014; **Nathan De Lee,** Assistant Professor in the Department of Physics and Geology, effective August 11, 2014; **Michael DiCicco**, Assistant Professor in the Department of Teacher Education, effective August 11, 2014; **Hayley Ericksen**, Assistant Professor in the Department of Kinesiology and Health, effective August 11, 2014; **Kimberly Gelbwasser**, Assistant Professor in the Department of Music, effective August 11, 2014; **Jessica Hindman**, Assistant Professor in the Department of English, effective August 11, 2014; **Rodney Valandra**, Lecturer (non-tenure track renewable) in the Department of Counseling, Social Work and Leadership, effective August 11, 2014; **Jason Vest**, Assistant Professor in the Department of Music, effective August 11, 2014; **Justin Yates**, Assistant Professor in the Department of Psychological Science, effective August 11, 2014.

c. Resignations:

Allison Antes, from the position of Assistant Professor in the Department of Political Science, Criminal Justice and Organizational Leadership, effective May 17, 2014; **Ingrid Keller,** from the position of Assistant Professor in the Department of Music, effective May 17, 2014; **Whitney McIntyre Miller,** from the position of Assistant Professor in the Department of Political Science, Criminal Justice and Organizational Leadership, effective May 17, 2014; **Amy Thistlethwaite,** from the position of Associate Professor in the Department of Political Science, Criminal Justice and Organizational Leadership, effective May 17, 2014.

d. Retirements:

Hildegard Baldridge, from the position of Lecturer in the Department of Biological Sciences, effective May 16, 2015; **Robert Langendorfer,** from the position of Associate Professor in the Department of Allied Health, effective May 17, 2014; **Lawrence Mitchell,** from the position of Lecturer in the Department of History and Geography, effective May 17, 2014.

e. Phased Retirements:

***Barbara A. Arrighi,** Professor in the Department of Sociology, Anthropology and Philosophy (participation for two academic years), effective August 11, 2014 and terminating her employment after the spring semester of 2016.

*Barbara A. Arrighi was reported in the March 2014 materials as being in the Department of Psychological Science. This resubmission lists her correctly in the Department of Sociology, Anthropology and Philosophy.

f. Leaves, Paid/Unpaid:

Shauna Reilly, Assistant Professor in the Department of Political Science, Criminal Justice and Organizational Leadership, paid leave of absence with benefits, beginning April 1, 2014 and ending May 17, 2014.

2. Academic Affairs Faculty Reappointment:

The Board of Regents approved a recommendation on continued probation with conditions to be removed for Dr. Ellen Maddin in the Department of Teacher Education.

3. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between February 12, 2014 and April 8, 2014 received approval by the Board of Regents: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures.

4. Major Gifts Acceptance:

The Board of Regents officially accepted contributions totaling **$305,005.14** received by the NKU Foundation Inc. during the period February 1, 2014 through March 31, 2014.

5. Honorary Degree – Boothe:

The Board of Regents approved a recommendation that Dr. Leon E. Boothe receive an Honorary Doctorate of Educational Leadership.

6. Honorary Degree – Lyons:

The Board of Regents approved a recommendation that Dr. Pearse Lyons receive an Honorary Doctorate of Business.

7. Chase Honorary Degree – Michelle Keller:

The Board of Regents approved a recommendation that the Honorable Michelle M. Keller receive an Honorary Doctor of Laws degree.

8. Credit Hour Policy:

The Board of Regents approved a new Credit Hour Policy to bring Northern Kentucky University into compliance with the Department of Education Requirement 34 CFR § 600.2 and the Southern Association of Colleges and Schools Commission on Colleges Requirement 4.9.

9. New Center for Information Security:

The Board of Regents approved a proposal to formalize the Center for Information Security (CIS) in the College of Informatics at NKU.

10. Revised Chairs' Handbook

The Board of Regents approved the revisions to the Department Chairs' Handbook.

11. Bad Debt Write-Off:

The Board of Regents authorized the Vice President for Administration and Finance to write off student account bad debts totaling $106,489.

12. Dashboard Metrics:

The Board of Regents approved the executive dashboard metrics and targets that will be used to monitor the University's progress toward achieving the goals and objectives in the 2013-18 Strategic Plan, ***Fuel the Flame***.

13. FY 2014-2015 Fee Schedule:

The Board of Regents authorized the Schedule of Fees and Service Charges for the 2014-15 academic year.

14. FY 2014-2015 Tuition Rates:

The Board of Regents endorsed the tuition and mandatory fee rates for the 2014-15 academic

year at the rates proposed in the Authorized Schedule of Tuition.

15. FY 2014-2015 Budget Authorization:

The Board of Regents approved the resolution establishing and approving the Fiscal Year 2014-15 Annual Unrestricted Operating Budget for Northern Kentucky University.

D. Executive Session:

Regent Andrá Ward seconded Regent Erik Pederson's motion to enter into executive session pursuant KRS 61.810 (1) (C) in order to discuss potential litigation against the University. **(Motion carried)**

No other matters were discussed. No final action was taken.

At 2:45 p.m., Regent Nathaniel Smith seconded Regent Erik Pederson's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Nathaniel Smith, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on May 7, 2014, and that such matters are still in force and effect.

Signature On File
Nathaniel Smith
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, July 22, 2014 – 6:00 p.m.

6:00 p.m.

- Regents/Foundation Executive Committee Dinner at Metropolitan Club (Covington, KY)

Board of Regents Retreat
NKU METS Center, Wednesday, July 23, 2014 – 8:45 a.m.

8:45 a.m.

Joint Finance and Policy Committee:

1. Student Profile (Hodges Moore, Natale, Stewart)
2. Dashboard Metrics (Hodges Moore, Ott Rowlands)
3. Branding and Marketing (Gentry)

Board Lunch
12:00 p.m.

12:00 p.m.

Board of Regents Meeting

1:00 p.m.

- Call to Order
- Swearing in of New Regent, Mr. John Jose
- Roll Call
- Election of Board Officers
- Approval of Amended Bylaws
- Board Self-Assessment
- Review of Goals and Initiatives for 2013-2014
- Discussion and Setting of Outcomes and Expectations for 2014-2015

2:00 p.m.

Executive Session

Board of Regents Retreat
Northern Kentucky University
METS Center, Erlanger, Kentucky
July 23, 2014

Regent Dennis Repenning, Chair, called the Board of Regents Retreat to order at 1:15 pm, Wednesday, July 23, 2014.

Roll Call: Richard A. Boehne, Jacqueline S. Emerine, Virginia G. Fox, John Jose, Terry L. Mann, Stephen A. Meier, Dennis Repenning, Nathaniel G. Smith, Elizabeth L. Thompson, Andrá Ward, Brenda L. Wilson

Other Attendees: Geoffrey Mearns, Katie Herschede

Oath of Office:

Regent Dennis Repenning performed the oath of office for the newly elected Regent: John Jose. The newly elected Regent swore to uphold all stipulations of the oath and faithfully execute, to the best of their ability, the duties of Regent of Northern Kentucky University according to law.

Board Leadership Elections:

Regent Virginia Fox seconded Regent Steve Meier's motion to re-elect Regent Officers as follows: Dennis Repenning, Chair; Elizabeth L. Thompson, Vice-Chair; Nathaniel G. Smith, Secretary. (**Motion carried)**

Amended Bylaws:

Regent Andrá Ward seconded Regent Terry Mann's motion to accept the amended Board of Regents Bylaws. (**Motion carried)**

Presidential Compensation:

Regent Mann seconded Regent Smith's motion to make a specific finding that President Mearns substantially exceeded the standards of performance of his job as set forth in his contract of employment. **(Motion carried)**

Regent Fox seconded Regent Wilson's motion to approve a $25,000 performance bonus for President Mearns. While Mr. Mearns' performance warrants a larger compensation bonus, Mr. Mearns specifically requested that any bonus that may be due to him should not exceed the minimum set forth in his contract. **(Motion carried)**

At 4:00 p.m., Regent Virginia G. Fox seconded Regent Steve Meier's motion to adjourn. **(Motion carried)**

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Nathaniel G. Smith, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the retreat held on July 23, 2014, and that such matters are still in force and effect.

Signature On File
Nathaniel G. Smith
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, September 9, 2014
Dean Reception – 6:00 p.m.; Dinner - 6:45 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, September 10, 2014 – 9:00 am

9:00 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1.	Enrollment	(Ott Rowlands, Stewart)
2.	Sustainability	(Ramey, Blake, Goode)
3.	International Programs	(Ott Rowlands, LeRoy, Jones, Salzman)
4.	Enterprise Risk Management	(Hodges Moore)

Board Lunch
NKU, Student Union, 108 –September 10, 2014 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, September 10, 2014 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of May 7, 2014, Board Minutes
- Approval of July 23, 2014, Retreat Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Summary, Secretary of the Board of Regents

1:20 p.m.

B. <u>Presidential Reports</u>:

1.	Facilities Management Report	(Ramey)
2.	Research/Grants/Contracts Report (April 2014 – June 2014)	(Ott Rowlands)
3.	Research/Grants/Contracts Report (July 2014)	(Ott Rowlands)
4.	Fundraising Report (July 1, 2013 – June 30, 2014)	(Gentry)
5.	Organizational Changes Report	(Ramey)

1:40 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Emeritus Status Appointment
4. *Frank Sinton Milburn Outstanding Professor Award
5. *Major Gifts Acceptance
6. *Revised NKU Faculty Policies and Procedures Handbook
7. *Policy Creation, Revision and Communication Policy Recommendation
8. *Revision to Schedule of Fees and Service Charges
9. *Organizational Chart Update

2:00 p.m.

D. <u>Executive Session</u>

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, September 8, 2014).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
September 10, 2014

Regent Dennis Repenning, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, September 10, 2014.

Roll Call: Richard Boehne, Jacqueline Emerine, Virginia G. Fox, John Jose, Terry Mann, Stephen A. Meier, Dennis Repenning, Nathaniel Smith, Elizabeth Thompson, Andrá Ward*

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Wendy Peek, Sara Sidebottom, Sue Ott Rowlands, Ken Ramey, Peter Gitau, Ken Bothof, Eric Gentry, Sue Hodges Moore, Joe Wind, Kathleen Roberts, Tracy Insko, Chris Bowling, Bill Farro, Amanda Henderson, Pat Moynahan, Kathy Stewart, Tim Sofranko, Joel Robinson, Russ Kerdolff, Kevin Kirby, Chris Cole, Larry Meyer, Denise Robinson, Katherine Frank, Becky Porterfield, Jeff Standen, Cynthia Reed, Kim Scranage, Jeanne Pettit, Bill Thompson, Sara Kelley, Leah Stewart, Jan Hillard, Larry Blake, Melissa Gorbandt, Jeff Waple, Vickie Natale, Tiffany Budd, Isabelle Lagadic

Regent Stephen Meier seconded Regent Elizabeth Thompson's motion to approve the minutes of the May 7, 2014 Board of Regents meeting. **(Motion carried)**

Regent Stephen Meier seconded Regent Elizabeth Thompson's motion to approve the minutes of the July 23, 2014 Board of Regents retreat meeting. **(Motion carried)**

Presidential Comments:

We have had an excellent start to the academic year. In addition to our normal activities, we also opened our newest residence hall -- Northern Terrace. The opening of this facility added 200 more beds to our residential inventory. We now have approximately 2,000 students living on campus.

The increase in campus residence halls coupled with our emphasis on evening and weekend programming is showing some promising results. For example, the evening before classes started, the NKU men's soccer team hosted the University of Kentucky. The game ended in a draw. But more than 1,900 people, the majority of whom were students, attended the game – that's an attendance record.

There are several other important initiatives and updates that I'd like to share with you this afternoon.

Campus Leadership

In addition to welcoming our new students, we also welcomed several new campus leaders. Five of these new leaders are here today. We welcomed three new academic deans. Katherine Frank, Dean of the College of Arts and Sciences joined us from Indiana University, East. Becky

Porterfield, Dean of the Haile/US Bank College of Business, joined us from the University of North Carolina at Wilmington. And Cindy Reed, Dean of the College of Education and Human Services joined us from Auburn University.

In addition to our new academic deans, we welcomed Kathleen Roberts. Kathleen is the Senior Advisor to the President for Inclusive Excellence and joined us from Samuel Merritt University. And in October, Kimberly Scranage will join us as our new Vice President for Enrollment Management. Kimberly joins us from Kutztown University.

All five of our new colleagues are here with us this afternoon. Please stand so that we can welcome you.

One of the most important external validations of an institution's current strength and its future prospects is that institution's capacity to attract outstanding new people. Based on this predictor, I know our future is very bright.

Enrollment

Earlier this morning you heard an update on our preliminary enrollment and retention statistics. As we shared, we have had a modest gain in our retention rate, but we must do much more. Our fall enrollment is showing a slight decrease. We are continuing to encourage new students to enroll in the second session of the Fall semester. While the fall enrollment numbers have decreased slightly, the academic quality of our freshman class has remained consistently strong. The entering class has an average ACT score of 23.3. We declined admission to more than 500 new freshmen who applied, because we are committed to our academic admissions standards.

IPP Process

As I shared at the Fall Convocation, we are halfway through the four-year reclassification process. This year, we will conduct a yearlong, campus-wide self-study of our athletics program as part of the NCAA Division One Institutional Performance Program.

The steering committee, which is chaired by Sue Hodges Moore, will evaluate our governance process and our commitment to rules compliance, academic integrity, and gender, diversity and student-athlete well-being. The steering committee and the sub-committees have met and will continue to meet on a regular basis. Regent Repenning is a member of the steering committee and a member of the governance committee.

I was also pleased that the Atlantic Sun recently took action that will allow our student athletes to participate in Atlantic Sun postseason tournaments. We look forward to our first conference postseason tournaments this year.

HIC Update

I am pleased to report that we are continuing to make excellent progress with our Health Innovations Center. Last week, I hosted a joint meeting of the external and internal advisory committees. These groups continue to make important contributions to the overall program and plan for the health innovations complex. Also last week, a selection committee reviewed RFPs from design teams and narrowed that list of applicants to five finalists. The proposals were excellent and our job was very difficult. Next week, we will interview the five finalists and select our design team for the project. I anticipate that we will make our decision by October 1.

College of Health Professions Dean Search

As you know, Dean Denise Robinson has announced that she will return to the faculty at the end of this academic year. Dean Robinson has provided excellent leadership for the College of Health Professions, especially during this time of excitement and change as we look to expand our academic programs and as we prepare to design the new health innovations center. The search committee has been assembled and has met. I will continue to update you throughout the search process.

Statewide Tour

Later this month I will start my statewide tour. The purpose of this tour is to raise awareness about our University and share the many things that make NKU distinctive and special. I will be visiting eight regions of the state, starting in late September and ending in early December. I will host receptions for prospective students, alumni, and friends in several cities and towns, including Somerset, Pikeville, Bowling Green, Owensboro, Paducah, Ashland, Louisville, and Lexington. During these visits, I will meet with community and business leaders, education leaders, and elected officials. I am also going to speak to seniors and juniors at more than 20 high schools. I'll be tweeting about my visits using #RoadToNKU.

Please join us – either in person or through social media – on the RoadToNKU.

Foundation

In July, I presented a comprehensive proposal to the Executive Committee of our University Foundation to enhance support for our University initiatives, including our fundraising activities. I am very pleased to report that the Foundation Executive Committee approved this proposal yesterday. I am grateful to the men and women who serve on the Foundation and the Executive Committee for their continuing support of our University.

PulsePoint

I often say that education has the capacity to change the trajectory of a student's life and the life of her family. NKU students are not only changing the trajectory of their lives, they are also saving the lives of people they have never met.

Previously, I have told you about PulsePoint, the app that students in our Center for Applied Informatics created. This app alerts trained personnel when there is a medical emergency in close proximity. The app has made national news in the past and it made national news again this week. Please allow me to show you just one clip of the national coverage.

As you have heard me say, something special is happening at NKU – something very special. And this represents just one more example.

B. Presidential Reports:

1. Facilities Management Report (Vice President Ken Ramey)

a. Health Innovation Center/Founders Hall Renovation
b. Campus Recreation Center Expansion/Renovation
c. Norse Commons Dining Renovation
d. Northern Terrace Renovation
e. Administrative & University Center Storm Water & Drainage Repair
f. Landrum Elevator Renovation
g. Arc Flash Hazard Assessment
h. Switchgear Condition Assessment
i. Callahan Switchgear Replacement
j. Founders Hall Switchgear Replacement
k. Business Academic Center Variable Air Volume (VAV) Box Replacement
l. Mathematics·Education·Psychology Exterior Caulking and Sealing
m. Exterior Door Security and Access Control

2. Research, Grants, and Contracts Report (April 2014 – June 2014) (Provost and Executive Vice President Sue Ott Rowlands)

From April 1, 2014 through June 30, 2014, 36 grants were awarded. The total amount of money awarded was $3,371,165. From July 1, 2013 through June 30, 2014, the cumulative total number of grants awarded was 101 totaling $8,148,582.

3. Research, Grants, and Contracts Report (July 2014) (Provost and Executive Vice President Sue Ott Rowlands)

From July 1, 2014 through July 31, 2014, 8 grants were awarded. The total amount of money awarded was $1,121,520.

4. Fundraising Report (July 1, 2013 through June 30, 2014) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2013 through June 30, 2014 totaling $3,737,056 in support of the university.

5. Organizational Changes Report (Vice President Ken Ramey)

Following an unsuccessful search to fill its vacancy in spring 2014, the associate vice president for enrollment management position was reevaluated. At that time, a decision was made to increase the responsibility of the position. To reflect this increased responsibility, the title was changed to vice president for enrollment and degree management. With this reassessment, a decision was also made to move the Office of the Registrar back into the enrollment management structure. This move will allow the new vice president to focus on recruitment, retention, and degree management, and provide a seamless process to better enable students to navigate through their college career.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Terry Mann and seconded by Regent Stephen Meier to approve the following Presidential Recommendations as listed; C-1 through C-9. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Frank Braun, from Assistant Professor in the Department of Business Informatics to Assistant Professor and Interim Co-Chair in the Department of Business Informatics, effective July 1, 2014 to June 30, 2015; **Caryn Connelly,** Associate Professor and Interim Chair in the Department of World Languages and Literatures, effective July 1, 2014 through June 30, 3015; **Sandi Gillilan,** Assistant Provost for Academic Planning and Policy, effective July 1, 2014; **David Gutmann**, Interim Director of the American English Language Programs, effective November 08, 2014 through May 10, 2015; **Zachary Hart**, from Associate Professor and Chair in the Department of Communication to Associate Professor and Interim Co-Chair in the Department of Business Informatics, effective July 1, 2014 to June 30, 2015; **Mary Kishman**, Associate Professor and Chair in the Department of Nursing, effective July 1, 2014; **William Landon,** Associate Professor and Chair in the Department of History and Geography, effective July 1, 2014; **Adrianne Lane**, Professor and Chair in the Department of Advanced Nursing, effective July 1, 2014; **Amy Racke,** Assistant Dean and Director of the Advising Center in the College of Arts and Sciences, effective July 1, 2014; **Joel Robinson,** Assistant Provost for Special Projects, effective August 1, 2014; **Kimberly Scranage,** Vice President for Enrollment and Degree Management, effective October 13,

2014; **Leah Stewart,** Interim Associate Vice President of Enrollment Management, effective May 19, 2014.

b. Transitions:

Richard Kolbe, from Dean of the Haile/US Bank College of Business to Professor in the Department of Management, effective July 1, 2014; **Jayne Lancaster**, from Interim Chair in the Department of Advanced Nursing to Assistant Professor in the Department of Advanced Nursing, effective July 1, 2014; **Stephen Mueller,** from Professor in the Department of Management to Interim Chair and Professor in the Department of Management, effective July 1, 2014 through June 30, 2015; **Denise Robinson,** from Professor and Dean of the College of Health Professions to Professor in the Department of Advanced Nursing, effective July 1, 2015; **Carol Ryan**, from Associate Professor and Interim Dean in the College of Education and Human Services to Associate Professor and Associate Dean in the College of Education and Human Services, effective July 1, 2014; **Paul Wirtz,** from Associate Professor and Interim Associate Dean in the College of Education and Human Services to Associate Professor in the Department of Counseling, Social Work and Leadership, effective July 1, 2014.

c. Faculty Appointments:

Pamela Atkinson, Lecturer (non-tenure track renewable) in the Department of Business Informatics, effective August 11, 2014; **Nancy Bowers**, Lecturer (non-tenure track renewable) in the Department of English, effective August 11, 2014; **Lila Brindley**, Lecturer (non-tenure track renewable) in the Center for Integrative Natural Science and Mathematics, effective August 11, 2014; **Neil Duchac**, Assistant Professor in the Department of Counseling, Social Work and Leadership, effective August 11, 2014; **Mustafa Fidahussein,** Assistant Professor in the Department of Business Informatics, effective August 11, 2014; **James Hughes,** Lecturer (non-tenure track renewable) in the Department of Business Informatics, effective August 11, 2014; **Suk-hee Kim**, Assistant Professor in the Department of Counseling, Social Work and Leadership, effective August 11, 2014; **Madhura Kulkarni,** Assistant Director, and Outreach and Events Coordinator in the Center for Integrative Natural Science and Mathematics, effective July 1, 2014; **Mark Lancaster**, Assistant Professor in the Department of Mathematics and Statistics, effective August 11, 2014; **Qi Li,** Assistant Professor in the Department of Computer Science, effective September 2, 2014; **Makoto Nakamura**, Lecturer (non-tenure track renewable) in the Department of World Languages and Literatures, effective August 11, 2014; **Teresa Riley,** Lecturer (non-tenure track renewable) in the Department of Computer Science, effective August 11, 2014; **Cory Scheadler**, Assistant Professor in the Department of Kinesiology and Health, effective August 11, 2014; **Kimberly Yates**, Assistant Professor in the Department of Teacher Education, effective August 11, 2014.

d. Retirements:

Barbara Houghton, Professor in the Department of Visual Arts, effective May 16, 2015**;** **Sallie Parker Lotz**, Assistant Dean and Lecturer II in the College of Health Professions, effective August 8, 2014.

e. Phased Retirements:

Paul Bishop, Associate Professor in the Department of Psychological Science, beginning August 11, 2014 and terminating at the end of the academic year 2015-16; **Ann Dollins**, Associate Professor in the Department of Advanced Nursing, beginning August 11, 2014 and terminating at the end of the academic year 2015-16; **Thomas Edwards**, Assistant Professor in the Department of Political Science, Criminal Justice, and Organizational Leadership, beginning August 11, 2014 and terminating at the end of the academic year 2015-16; **Marilyn Schleyer**, Associate Professor in the Department of Advanced Nursing, beginning August 11, 2014 and terminating at the end of the academic year 2015-16; **Robert Snyder**, Professor in the Department of Management, beginning July 1, 2014 and terminating at the end of the academic year 2015-16; **Caryl Yzenbaard** Professor of Law, beginning August 15, 2014 and terminating at the end of the academic year 2015-16.

f. Departures

Elizabeth Chaulk, Director of the Office of International Students and Scholars, effective August 14, 2014; **Tara Dailey**, Lecturer in the Department of Nursing, effective June 30, 2014; **Pam Fletcher**, Assistant Professor in the Department of Advanced Nursing, effective June 30, 2014; **Stefan Paula,** Associate Professor in the Department of Chemistry, effective January 1, 2015; **Alison Schuelke,** Assistant Professor in the Department of Political Science, Criminal Justice, and Organizational Leadership, effective May 17, 2014; **Sonia Wasan**, Assistant Professor in the Department of Accounting, Finance, and Business Law, effective July 1, 2014.

g. Leaves Paid/Unpaid:

Carol Lawrence, Professor in the Department of Accounting, Finance, & Business Law, unpaid leave with benefits, beginning August 11, 2014 and ending May 16, 2015.

h. Temporary Faculty Appointments:

Beatriz Russell, Department of Chemistry, 2014-2015 Academic Year; **Reuben Bullard**, Center for Integrative Natural Science and Mathematics, 2014-2015 Academic Year; **Carrie Holloway**, Center for Integrative Natural Science and Mathematics, 2014-2015 Academic Year; **Kristi Brock,** Department of English, 2014-2015 Academic Year; **Rhonda Davis,** Department of English, 2014-2015 Academic Year; **Stephen Leigh,** Department of English, 2014-2015 Academic Year; **Meredith Singleton,** Department of English, 2014-2015

Academic Year; **Connie Bruins,** Department of History and Geography, 2014-2015 Academic Year; **Mary Bucklin,** Department of History and Geography, 2014-2015 Academic Year; **Michael Hinckley**, Department of History and Geography, 2014-2015 Academic Year; **Joseph Lombardi,** Department of History and Geography, 2014-2015 Academic Year; **Sunhee Sang,** Department of History and Geography, 2014-2015 Academic Year; **Meredith Smith,** Department of History and Geography, 2014-2015 Academic Year; **Kristopher Teters,** Department of History and Geography, 2014-2015 Academic Year; **Kathleen Quinn,** Department of History and Geography, 2014-2015 Academic Year; **Shelley Cain,** Department of Mathematics and Statistics, 2014-2015 Academic Year; **Michael Collins,** Department of Mathematics and Statistics, 2014-2015 Academic Year; **Chrystal Culbertson,** Department of Mathematics and Statistics, 2014-2015 Academic Year; **Marla Lemmon,** Department of Mathematics and Statistics, 2014-2015 Academic Year; **Barbara Phillips,** Department of Mathematics and Statistics, 2014-2015 Academic Year; **Laura Urbanski,** Department of Mathematics and Statistics, 2014-2015 Academic Year; **Mary Katheryn Barton,** Department of Music, 2014-2015 Academic Year; **Ya-Liang Chang,** Department of Music, 2014-2015 Academic Year; **Amy Gillingham,** Department of Music, 2014-2015 Academic Year; **John Zappa,** Department of Music, 2014-2015 Academic Year; **Jeremy Huber,** Department of Physics and Geology, 2014-2015 Academic Year; **Moshen Keshtrarz,** Department of Physics and Geology, 2014-2015 Academic Year; **Chari Ramkumar,** Department of Physics and Geology, 2014-2015 Academic Year; **Frederick Brockmeier,** Department of Political Science, Criminal Justice and Organizational Leadership, 2014-2015 Academic Year; **Kathryn Elvey,** Department of Political Science, Criminal Justice and Organizational Leadership, 2014-2015 Academic Year; **Jeffrey Girton,** Department of Political Science, Criminal Justice and Organizational Leadership, 2014-2015 Academic Year; **Khandaker Islam,** Department of Political Science, Criminal Justice and Organizational Leadership, 2014-2015 Academic Year; **Kristen Lovett,** Department of Political Science, Criminal Justice and Organizational Leadership, 2014-2015 Academic Year; **Melody S. Rawlings,** Department of Political Science, Criminal Justice and Organizational Leadership, 2014-2015 Academic Year; **Smita Ward,** Department of Psychological Science, 2014-2015 Academic Year; **Gary Blahnik,** Department of Sociology, Anthropology and Philosophy, 2014-2015 Academic Year; **Nicole Grant,** Department of Sociology, Anthropology and Philosophy, 2014-2015 Academic Year; **Kristine Hehn,** Department of Sociology, Anthropology and Philosophy, 2014-2015 Academic Year; **Dennis D. Miller,** Department of Sociology, Anthropology and Philosophy, 2014-2015 Academic Year; **Michael Simonton,** Department of Sociology, Anthropology and Philosophy, 2014-2015 Academic Year; **Kristina E.Vise,** Department of Sociology, Anthropology and Philosophy, 2014-2015 Academic Year; **Heather Britt,** Department of Theatre and Dance, 2014-2015 Academic Year; **Christine Jones,** Department of Theatre and Dance, 2014-2015 Academic Year; **Maren Carpenter Fearing,** Department of Visual Arts, 2014-2015 Academic Year; **Joe Hedges,** Department of Visual Arts, 2014-2015 Academic Year; **Chris Smith,** Department of Visual Arts, 2014-2015 Academic Year; **Rhonda Wolverton,** Department of Visual Arts, 2014-2015 Academic Year; **Andrea Fieler,** Department of World Languages and Literature, 2014-2015 Academic Year; **Melissa Ibarra,** Department of World Languages and Literature, 2014-2015 Academic Year; **Dolores**

Schreiner, Department of World Languages and Literature, 2014-2015 Academic Year; **Deborah Browning,** Department of Accounting, Finance and Business Law, 2014-2015 Academic Year; **Steven Devoto,** Department of Accounting, Finance and Business Law, 2014-2015 Academic Year; **James Kirtley,** Department of Accounting, Finance and Business Law, 2014-2015 Academic Year; **Lorraine Ruh,** Department of Accounting, Finance and Business Law, 2014-2015 Academic Year; **Marcia Vorholt,** Department of Accounting, Finance and Business Law, 2014-2015 Academic Year; **Stephen Roush,** Department of Management, 2014-2015 Academic Year; **Louis Manchise,** Department of Management, 2014-2015 Academic Year; **Michael Chirichello,** Department of Counseling, Social Work and Leadership, 2014-2015 Academic Year; **Susan Cook,** Department of Counseling, Social Work and Leadership, 2014-2015 Academic Year; **James Taylor,** Department of Counseling, Social Work and Leadership, 2014-2015 Academic Year; **Rachael Winters,** Department of Counseling, Social Work and Leadership, 2014-2015 Academic Year; **Jill Minor,** Department of Counseling, Social Work and Leadership, 2014-2015 Academic Year; **Wiley Piazza,** Department of Kinesiology and Health, 2014-2015 Academic Year; **Joan Joseph,** Department of Teacher Education, 2014-2015 Academic Year; **Brian Collier,** Department of Teacher Education, 2014-2015 Academic Year; **Amber Thomas,** Department of Nursing, 2014-2015 Academic Year; **Melanie Cundiff,** Department of Allied Health, 2014-2015 Academic Year; **Tracey Adams,** Department of Advanced Nursing Studies, 2014-2015 Academic Year; **Sarah Sauntry,** Department of Advanced Nursing Studies, 2014-2015 Academic Year; **Anthony Burk,** Department of Business Informatics, 2014-2015 Academic Year; **Jerome Gonnella,** Department of Business Informatics, 2014-2015 Academic Year; **James Anthony Chowning,** Department of Communication, 2014-2015 Academic Year; **Pamela K. Fisher,** Department of Communication, 2014-2015 Academic Year; **James Michael Skaggs,** Department of Communication, 2014-2015 Academic Year; **Cindy Bragg,** Department of Computer Science, 2014-2015 Academic Year; **Dawit Lakew,** Department of Computer Science, 2014-2015 Academic Year; **Timothy McCord,** Department of Computer Science, 2014-2015 Academic Year; **Cynthia Thomas,** Department of Computer Science, 2014-2015 Academic Year; **Kristin Hornsby,** Honors Program, 2014-2015 Academic Year; **Rachel Zlatkin,** Honors Program, 2014-2015 Academic Year.

2. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between April 9, 2014 and, August 12, 2014 received approval by the Board of Regents: Activations; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Retirees; Administrative/Executive.

3. Emeritus Status:

Emeritus status for the following individual received Board of Regents approval:

Robert Langenderfer, Associate Professor in the Department of Allied Health, effective July 1, 2014.

4. Frank Sinton Milburn Outstanding Professor Award:

The Board of Regents approved that Jonathan Reynolds receive the Frank Sinton Milburn Outstanding Professor Award.

5. Major Gifts Acceptance:

The Board of Regents officially accepted contributions totaling **$485,736.50** received by the NKU Foundation Inc. and the University during the period April 1, 2014 through June 30, 2014.

6. Revised NKU Faculty Policies and Procedures Handbook:

The Board of Regents approved the revision to the Faculty Handbook.

7. Policy Creation, Revision and Communication Policy Recommendation:

The Board of Regents approved the retirement of the "Creation, Approval, and Maintenance of Administrative Policies" policy and approved the "Policy Creation, Revision, and Communication" policy.

8. Revision to Schedule of Fees and Service Charges:

The Board of Regents authorized additions and changes to fees and service charges for the 2014-15 fiscal year.

9. Organizational Chart Update:

The Board of Regents approved the organizational chart which reflects all NKU Administrative updates through September 10, 2014.

D. <u>Executive Session:</u>

Regent John P.B. Jose seconded Regent Nathaniel Smith's motion to enter into executive session pursuant KRS 61.810 (1) (C) in order to discuss potential litigation against the University. **(Motion carried)**

Regent John P.B. Jose seconded Regent Nathaniel Smith's motion to end executive session. (**Motion carried**)

No other matters were discussed. No final action was taken.

*Regent Ward did not attend the afternoon Board meeting, nor did he participate in the executive session. He arrived at the meeting in time to vote on the motion to adjourn.

At 2:45 p.m., Regent Virginia Fox seconded Regent Nathaniel Smith's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Nathaniel Smith, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on September 10, 2014, and that such matters are still in force and effect.

Signature On File
Nathaniel Smith
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, November 11, 2014 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, November 12, 2014 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Annual Financial Report (Ramey, Kerdolff)
2. Nurse Advocacy Center for the Underserved (Ott Rowlands, Foster)
3. Driftwood Institute (Ott Rowlands, McCombs)
4. Health Innovation Center Update (Mearns, Ott Rowlands)

Board Lunch
NKU, Norse Commons – November 12, 2014 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, November 12, 2014 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of September 10, 2014, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Ramey)
2. Research/Grants/Contracts Report (July 1, 2014 – September 30, 2014) (Ott Rowlands)
3. Fundraising Report (July 1, 2014 – September 30, 2014) (Gentry)
4. Annual Financial Report (July 1, 2013 – June 30, 2014) (Ramey)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Academic Affairs Faculty Reappointments
3. *Non-Academic Personnel Actions
4. *Major Gifts Acceptance
5. *Naming Recommendation (Brumback, Foster)

2:00 p.m.

D. Executive Session

* Consent Agenda Items-(Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, November 10, 2014).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
November 12, 2014

Regent Dennis Repenning, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, November 12, 2014.

Roll Call: Richard Boehne, Jacqueline Emerine, Virginia G. Fox, John P. B. Jose, Terry Mann, Stephen A. Meier, Dennis Repenning, Nathaniel Smith, Elizabeth Thompson, Brenda Wilson

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Tammy Knochelmann, Wendy Peek, Sara Sidebottom, Sue Ott Rowlands, Ken Ramey, Peter Gitau, Ken Bothof, Eric Gentry, Sue Hodges Moore, Joe Wind, Kathleen Roberts, Tracy Insko, Chris Bowling, Jeff Butler, Alex Lefebure, Pat Moynahan, Kathy Stewart, Tim Sofranko, Joel Robinson, Russ Kerdolff, Kevin Kirby, Chris Cole, Larry Meyer, Denise Robinson, Katherine Frank, Becky Porterfield, Cynthia Reed, Kim Scranage, Jeanne Pettit, Bill Thompson, Sara Kelley, Leah Stewart, Jan Hillard, Larry Blake, Jeff Waple, Vickie Natale, Jason Willis, Jerry Pizzano, Ken Kline, Andy Meeks, Mike Woeste, Kim Yelton, John Witte, John O'Neal, John Moran, Alar Lipping, Doug Dejaco, Larry Herbst, Greg Scramm, Bill Molton, Ray Mirizzi, Andy Koenig, Holly O'Malley, Ben Broomall, Kelly Martin, Nick Koch, Scott Barton, Richard Jones, Adrianne Lane, Lori Southwood, Richard Cracchiolo, Darin Surrey

Regent Stephen Meier seconded Regent Virginia Fox's motion to approve the minutes of the September 10, 2014 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

P&G Breakfast

Yesterday, I joined approximately 100 NKU alumni who work for Procter & Gamble for breakfast. Our message, and our ask of these alumni, was simple. We asked them to engage with NKU – our students and our programs. We plan to continue these breakfast or lunch meetings with companies all over the region who employ a significant number of our graduates.

AASCU Excellence in Innovation

The American Association of State Colleges and Universities (AASCU) recently announced the inaugural winners in a new awards program honoring member institutions for excellence and innovation in several major areas of campus life and leadership. Our University is one of seven institutions nationwide to receive an award.

AASCU honored the NKU Center for Applied Informatics Virtual Co-op Program for its success in adapting the traditional co-op education model into an initiative that provides almost all services virtually. The program now engages more than 120 students per year. Students develop mobile apps, websites, and similar products for more than 200 companies and non-profits, as well as providing services to 50 start-ups.

Professional Grounds Maintenance Society
I am also very pleased that we recently received the Grand Award from the Professional Grounds Maintenance Society. NKU received this award for the excellent maintenance and the beauty of our grounds. NKU was one of just six winners nationally. I believe that the grounds at NKU are well maintained and increasingly beautiful. I am very grateful to the men and women of our facilities maintenance staff who care for our grounds, buildings, and other facilities. Several members of our grounds crew are with us today. Please stand and introduce yourself. Please join me in thanking them, and all of our facilities maintenance staff, for their important work.

Military Friendly Schools
For the fifth consecutive year, our University has been recognized as a Military Friendly School. This designation is given to the top 15% of all universities in the country. We are very proud to serve our military members, veterans, and families. More than 500 students are using military or veteran benefits to attend NKU.

IPP Process
As I have mentioned in previous updates to the Board and to the campus, we are presently engaged in a year-long campus-wide study of our athletics program as part of our Division I reclassification. We have formed several subcommittees comprised of faculty, staff, student athletes, and athletics representatives. The IPP study looks at governance and commitment to rules; academic integrity; gender and diversity issues, and student-athlete well-being. The subcommittees are in the process of drafting sections of the comprehensive report, which we will share with the campus in the spring in order to gather broad feedback. An important part of the IPP is the involvement of the campus in the process. I first shared details about the process at my Fall Convocation and we have since kept the campus and our broader community informed through press releases, the NKU website, social media, emails, and a presentation at our Fuel the Flame retreat in September. I'll have more to share on our progress at our next meeting.

JJ Webber
Two weeks ago, JJ Webber, a star cross country runner, defended his title and captured his second A-Sun individual championship and led our team to a second place finish. As you may recall, JJ was the first Division I conference champion at our University. We are very proud of JJ and the team for these accomplishments.

Postseason Play
As you may recall, NKU is able to compete in A-Sun postseason team tournaments for the first time this year. This is very good news for our student-athletes and our University. So far, two of our Fall sports teams, men's and women's soccer, have qualified for the postseason. Women's soccer won their first game – which was the first, of many, conference tournament wins for teams. And if the volleyball team continues their excellent play, we are hopeful that they will also qualify for postseason play. I am very proud of the excellent season our teams have had.

RoadToNKU
As you know, I am spending time visiting seven areas of the state meeting with high school students, legislators, community leaders, CPE board members, and alumni. These visits have been very productive. I have visited the Somerset, Pikeville, and Ashland areas, and spent four days visiting schools and communities in western and far western Kentucky. I have especially enjoyed talking with the high school students and their teachers. Their enthusiasm for education, and their interest in Northern Kentucky University has been strong. I will give more details about my visits during the January Convocation.

B. Presidential Reports:

1. Facilities Management Report (Vice President Ken Ramey)

a. Health Innovation Center/Founders Hall Renovation
b. Campus Recreation Center Expansion/Renovation
c. Administrative & University Center Storm Water & Drainage Repair
d. Landrum Elevator Renovation
e. Arc Flash Hazard Assessment
f. Switchgear Condition Assessment
g. Founders Hall Switchgear Replacement
h. Business Academic Center Variable Air Volume (VAV) Box Replacement
i. Exterior Door Security and Access Control

2. Research, Grants, and Contracts Report (August 2014 – September 2014) (Provost and Executive Vice President Sue Ott Rowlands)

From August 1, 2014 through September 30, 2014, **24** grants were awarded. The total amount of money awarded was **$2,203,931.** From July 1, 2014 through September 30, 2014, the cumulative total number of grants awarded was **32** totaling **$3,325,451.**

3. Fundraising Report (July 1, 2014 through September 30, 2014) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2014 through September 30, 2014 totaling $1,591,087 in support of the university.

4. Annual Financial Report (July 1, 2013 – June 30, 2014) (Vice President Ken Ramey)

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Brenda Wilson and seconded by Regent Elizabeth Thompson to approve the following Presidential Recommendations as listed; C-1 through C-5. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Faculty Appointments:

Dr. Gail Mackin, associate dean of the College of Arts and Sciences and professor in the Department of Mathematics and Statistics, effective August 10, 2014; **Dr. Judy Voelker,** associate dean of the College of Arts and Sciences and associate professor in the Department of Sociology, Anthropology, and Philosophy, effective August 10, 2014.

b. Retirements:

Dr. Penny Summers, associate professor in the Department of Communication, effective December 31, 2014; **Dr. Ann Taylor**, senior lecturer in the Department of Communication, effective May 16, 2015.

c. Departures

Dr. Michael McDermott, assistant professor in the Department of Management, effective December 31, 2014; **Dr. Stefan Paula,** associate professor in the Department of Chemistry, effective December 31, 2014.

d. Leaves Paid/Unpaid:

Dr. Penny Summers, associate professor in the Department of Communication, continuation of paid leave of absence with benefits beginning August 11, 2014 through December 31, 2014.

* In the September Board of Regents materials, Dr. Richard Kolbe was incorrectly identified as being in the Department of Management. However, Dr. Kolbe is actually a professor in the Department of Marketing, Economics, and Sports Business.

2. Academic Affairs Faculty Reappointments:

The following reappointments received retroactive Board of Regents approval for the 2014-15 academic year.

Dr. John C. Carmen, Department of Biological Sciences; **Dr. Celeste Morris**, Department of Chemistry; **Dr. Amber Onorato**, Department of Chemistry; **Dr. Ingrid Keller**,

Department of Music; **Dr. Kassiani Kotsidou**, Department of Physics and Geology; **Dr. Mauricio Torres**, Department of Physics and Geology; **Dr. Monica Wakefield**, Department of Sociology, Anthropology, and Philosophy; **Ms. Ronnie Chamberlain**, Department of Theatre and Dance; **Ms. Corrie Danieley**, Department of Theatre and Dance; **Dr. Lee Kersting**, Department of Accounting, Finance, and Business Law; **Dr. Abdullah Al-Bahrani**, Department of Marketing, Economics and Sports Business; **Ms. Jane Underwood**, Salmon P. Chase College of Law; **Dr. Kathleen Thompson**, Department of Counseling, Social Work and Leadership; **Dr. Roger Kollock**, Department of Kinesiology and Health; **Dr. Patricia Bills**, Department of Teacher Education; **Dr. Porsha Robinson-Ervin**, Department of Teacher Education; **Dr. Pamela Fletcher**, Department of Advanced Nursing Studies; **Ms. Deb Engel**, Department of Nursing; **Ms. Julie Hart**, Department of Nursing; **Dr. Kalyani Ankem**, Department of Business Informatics; **Dr. Joseph Rubleskem**, Department of Business Informatics; **Dr. Monica Gallegos**, Department of Communication; **Dr. Alexis Pulos**, Department of Communication.

3. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between August 13, 2014 and, October 14, 2014 received approval by the Board of Regents: Activations, Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular; Departures; Retirees; Administrative/Executive.

4. Major Gifts Acceptance:

The Board of Regents officially accepted contributions totaling **$1,065,000.00** received by the NKU Foundation Inc. and the University during the period July 1, 2014 through September 30, 2014

5. Naming Recommendations:

The Board of Regents hereby approves the following naming actions:

(1) The naming of an undergraduate scholarship in support of incoming students from Seton High School, Cincinnati, OH, the “Lynn Brumback Scholarship”.

(2) The naming of an undergraduate scholarship in support of incoming students from Norwood High School, Cincinnati, OH, the “Emerson Brumback Scholarship”.

(3) The naming of an endowed undergraduate scholarship in support of students pursuing a degree in history the “Ed and Betty Foster Endowed Scholarship in History”.

(4) The naming of an endowed undergraduate scholarship in support of students pursuing a degree in social work the “Ed and Betty Foster Endowed Scholarship in Social Work”.

D. Executive Session:

Regent John P. B. Jose seconded Regent Jacqueline Emerine's motion to enter into executive session pursuant to KRS 61.810 (1) (C) in order to discuss potential and pending litigation against the University and KRS 61.810 (1) (F) in order to discuss a personnel issue. **(Motion carried)**

No other matters were discussed. No final action was taken.

At 2:32 p.m., Regent Virginia Fox seconded Regent Terry Mann's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Nathaniel Smith, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on November 12, 2014, and that such matters are still in force and effect.

Signature On File
Nathaniel Smith
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, January 13, 2015 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, January 14, 2015 – 9:00 a.m.

9:00 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1.	Sabbaticals/Fellowships/Project Grants	(Ott Rowlands, Kollock, Wakefield)
2.	Inclusive Excellence	(Roberts, Ott Rowlands, Gitau, Jones, Noll)
3.	School of the Arts	(Ott Rowlands, Frank, Jones)
4.	Landor	(Gentry, Martin, Zalla)

Board Lunch
NKU, Student Union 108 – January 14, 2015 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, January 14, 2015 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of November 12, 2014, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Summary, Secretary of the Board of Regents

1:20 p.m.

B. <u>Presidential Reports</u>:

1.	Facilities Management Report	(Ramey)
2.	Research/Grants/Contracts Report (October 1, 2014 – November 30, 2014)	(Ott Rowlands)
3.	Fundraising Report (July 1, 2014 – November 30, 2014)	(Gentry)
4.	Quarterly Financial Report	(Ramey)
5.	Faculty Development Awards – Project Grants	(Ott Rowlands)
6.	Faculty Development Awards – Faculty Summer Fellows	(Ott Rowlands)
7.	Organizational Changes Report	(Ramey)
8.	Dashboard Report	(Hodges Moore)

1:40 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Major Gifts Acceptance
4. *Naming Recommendation (Konstantinow)
5. *Emeritus Status Appointments
6. *Sabbatical Leaves
7. *Revision of University Policies – Removal of "administrative regulation"
8. *Chase 3 + 3 Program
9. *School of the Arts Recommendation
10. *Facilities Management 225 Johns Hill Road Demolition
11. *Facilities Management Right of Way Acquisition

2:00 p.m.

D. <u>Executive Session</u>

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, January 12, 2015).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
January 14, 2015

Regent Dennis Repenning, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, January 14, 2015.

Roll Call: Richard Boehne, Jacqueline Emerine, Virginia G. Fox, John P. B. Jose, Terry Mann, Stephen A. Meier, Dennis Repenning, Nathaniel Smith, Elizabeth Thompson, Andrá Ward, Brenda Wilson

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Wendy Peek, Sara Sidebottom, Sue Ott Rowlands, Ken Ramey, Peter Gitau, Ken Bothof, Eric Gentry, Sue Hodges Moore, Joe Wind, Kathleen Roberts, Tracy Insko, Chris Bowling, Jonathan Erion, Alex Lefebure, Pat Moynahan, Kathy Stewart, Joel Robinson, Russ Kerdolff, Kevin Kirby, Chris Cole, Larry Meyer, Jeff Standen, Denise Robinson, Katherine Frank, Becky Porterfield, Cynthia Reed, Bill Thompson, Leah Stewart, Larry Blake, Vickie Natale, Ken Jones, Kurt Sander, Thomas McGovern, Leo Feldmann, Melissa Gorbandt, Tory Lentz, Erin Mulligan, Kelly Martin

Regent Stephen Meier seconded Regent John Jose's motion to approve the minutes of the November 12, 2014 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Welcome Back

Thank you, Mr. Chairman. I was pleased to welcome our faculty and staff back to campus last Monday, and I was delighted to welcome our students back to campus two days ago. As you know, our January Convocation took place last Friday. My remarks are available online. As I said on Friday, we had an outstanding Fall semester, and I look forward to another good Spring semester too. I would like to update you on some of the activities for this semester, as well as share some of our successes from last semester.

Enrollment and Retention

As you know, I spent a significant portion of the fall semester visiting communities and high schools as part of our RoadToNKU tour. During the tour, we visited 25 high schools and met with more than 3,000 high school students.

And during the tour, I met Kennedy and Jenna, two young girls from Lee County who were my guests at the Convocation. As I shared Friday morning, Kennedy and Jenna are two 6th graders from Lee County. When I visited their school, the superintendent invited them to come to my talk as two promising middle school students.

During our presentation, Kennedy asked, “So, if we go to your college, does that mean we can go to Cincinnati?”

And I said, “Yes, if you come to NKU, you can go to Cincinnati.”

Then Kennedy leaned over to Jenna and said, “If we go there, we can go to Cincinnati!”

I was so impressed with their enthusiasm that I decided that they shouldn’t have to wait five or six years to come to tour campus, to see our community, and to visit Cincinnati.

So Friday was the big day. The girls attended convocation. This photo shows the moment I revealed to Kennedy and Jenna all of the special activities we arranged for them.

The girls started their adventure with trip to our bookstore where Barnes and Noble provided some NKU gear.

Next, Kennedy and Jenna went to the Newport Aquarium where one of our alumni, Alison Ruebusch, hosted them for the day. The girls met Bravo, a 650 pound Galapagos turtle, Paula the penguin, and many of the other animals.

Melissa Gorbandt, our Director of Admissions, took Kennedy and Jenna, and their mothers, to the Montgomery Inn Boathouse for dinner before the big finale of their visit – a trip to the Aronoff theatre to see Cinderella. Another alumni, Todd Duesing, arranged for special seats for the girls.

Finally, on Saturday, the girls and their moms came back to campus to go to the circus where, I’m told, they rode an elephant! During their stay, our guests stayed in a very special room at the Rivercenter Marriott thanks to a good friend of our University. Their room had a beautiful view of Cincinnati.

Last night, Kennedy and Jenna went to the Lee County Board of Education meeting, and they told the board members about their experience in Northern Kentucky and Cincinnati. I hear they had very good things to say. And I also hear that we expect our 2021 class to be up at least two students. Kennedy has already declared her intention to attend NKU!

Thank you to Melissa, Alison, Todd, and all of the people who helped make Kennedy and Jenna’s trip very special.

Turning to some additional enrollment and retention information, as of January 5, 2015, applications to our University were up approximately 29% year-to-date compared to last year. For the 25 schools I visited, applications were up 55% compared to the same time last year. Last year at this time, 400 students from those 25 high schools had applied for admission to NKU; this year, 619 students have applied from those same high schools.

We will continue to monitor these trends closely – determining the number of students who enroll from those high schools in the years to come.

The Office of Undergraduate Admissions, within the Division of Enrollment and Degree Management, launched enhanced recruitment initiatives that included earlier outreach efforts, increased mailings, and campus-wide involvement in recruitment strategies.

With this targeted and strategic admissions planning, the Office is currently up 36 percent in undergraduate freshmen who were offered admission. With a concentrated effort on enhancing the academic profile, NKU is experiencing a 13 percent increase in merit-based scholarship offers.

Now, our efforts will begin to transition to focusing on converting admitted application into confirmations and ultimately more enrolled new freshmen. On a related note, we have not seen satisfactory improvement in our retention and persistence rates. Last year, we achieved modest improvement in both metrics. As we begin the Spring semester, though, we are not experiencing similar gains this academic year. The Provost and I will continue to monitor this critical issue.

Health Innovation Center

We continue to make excellent progress in the programming phase of the health innovation center. We are finalizing a draft building program that will be shared with the steering committees later this month. The architects will be back on campus next week for additional meetings with the user groups, steering committee, and the executive oversight committee to review the program for the education, training, and research activities for the facilities. Turner Construction will serve as the construction manager for the project, and they will be joining the design team at the January meetings.

Cyber Security designation

Just before the holidays, we learned that our University is one of 20 institutions in the country to be designed as a National Center of Academic Excellence in Information Assurance and Cyber-Security Education by the National Security Agency. This designation recognizes our strength in cyber-security education, and it enables our faculty to apply for enhanced grant opportunities through the federal government. This designation is the culmination of great work by Dr. James Walden, Dr. Frank Braun, Dr. Yi Hu, and Dr. James McGuffee.

Carnegie Community Engagement Classification

Our University has been selected to receive the Carnegie Foundation for the Advancement of Teaching's 2015 Community Engagement Classification. NKU originally earned the classification in 2006, the first year it was offered. The classification recognizes our institutional focus on community engagement, and it recognizes the significant engagement of our students, faculty, and staff in our community and beyond. NKU was one of the first universities to receive

this designation in 2006, and I am pleased that our designation in this elective classification has been renewed for another 10 year period.

Intercollegiate Athletics

I am very proud to report that the Fall 2014 all-athlete GPA was 3.22. This number is the best semester GPA since we started keeping record of the all-athlete GPA in 1983. Last Fall marks the 7th consecutive semester that the all-athlete GPA exceeded 3.0. A total of four teams earned GPAs of 3.5 or above. The men's tennis team had a very impressive 3.7 GPA, followed by volleyball and women's cross country at 3.6, and women's tennis at 3.5. I am very proud of our student-athletes and their success in the classroom and on the competition field. And I am grateful to Ken Bothof and our coaches and staff for their continuing commitment to academic excellence.

Ken Ramey

Just before the holidays, Ken Ramey announced that he will be retiring from the University effective June 30 of this year. Ken has served our University with distinction for 37 years. His depth and breadth of knowledge will not only be difficult, but impossible, to replace. Over the next few months, we'll have many opportunities to thank and recognize Ken for his exceptional service to our University. But for now, please join me in recognizing and thanking Ken for his service.

B. Presidential Reports:

1. Facilities Management Report (Vice President Ken Ramey)

a. Health Innovation Center/Founders Hall Renovation
b. Campus Recreation Center Expansion/Renovation
c. Callahan Hall Roof Replacement
d. Landrum Elevator Renovation
e. Arc Flash Hazard Assessment
f. Switchgear Condition Assessment
g. Founders Hall Switchgear Replacement
h. Exterior Door Security and Access Control
i. Sustainability

2. Research, Grants, and Contracts Report (October 1, 2014 – November 30, 2014)
(Provost and Executive Vice President Sue Ott Rowlands)

From October 2014 through November 2014, **12** grants were awarded. The total amount of money awarded was **$540,520.** From July 1, 2014 through November 30, 2014, the cumulative total number of grants awarded is **44** totaling **$3,865,971.**

3. Fundraising Report (July 1, 2014 through November 30, 2014) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2014 through November 30, 2014 totaling $2,846,761 in support of the university.

4. Quarterly Financial Report (July 1, 2014 through September 30, 2014**)** (Vice President Ken Ramey)

The Quarterly Financial Report depicted the financial activity of the University during the period from July 1, 2014 through September 30, 2014, compared to the annual budget and the prior year's activity.

5. Faculty Development Awards – Project Grants (Provost and Executive Vice President Sue Ott Rowlands)

The Project Grant Report reflected the grants that have been awarded for 2015-2016.

6. Faculty Development Awards – Faculty Summer Fellows (Provost and Executive Vice President Sue Ott Rowlands)

The Faculty Summer Fellows Report reflected the fellows that have been awarded for the summer of 2015.

7. Organizational Changes Report – (Vice President Ken Ramey)

The organizational changes report reflected the reorganization of several existing departments into the new Center for Student Inclusiveness. The organizational changes report also reflected a new organizational structure in Intercollegiate Athletics.

8. Dashboard Report – (Senior Vice President Sue Hodges Moore)

This report provided an update on the progress NKU has made toward the targets set on the Executive Dashboard. The document provided updated information on all metrics for which data is currently available, including fall enrollment, fall retention rates, and the latest graduation rates.

C. <u>Presidential Recommendations</u>:

Consent Agenda Items: A motion was made by Regent Andrá Ward and seconded by Regent Brenda Wilson to approve the following Presidential Recommendations as listed; C-1 through C-11. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Departures

Dr. Jennifer Webster, interim director of Integrative Studies and lecturer in the Department of Political Science, Criminal Justice, and Organizational Leadership, effective June 30, 2015.

b. Retirements:

Dr. Hildegard Baldridge, lecturer in the Department of Biological Sciences, effective May 17, 2015; **Ms. Barbara Houghton,** professor of art in the Department of Visual Arts, effective May 17, 2015; **Mrs. Andrea Knarr,** lecturer in the Department of Visual Arts, effective May 17, 2015; **Dr. James Ramage**, professor in the Department of History and Geography, effective May 17, 2015; **Dr. Robert Rhode,** professor in the Department of English, effective May 17, 2015.

c. Phased Retirements

Ms. Nancy L. Firak, professor of law at Chase College of Law, beginning August 2015 and terminating at the end of the academic year 2016-17.

d. Leaves Paid/Unpaid:

Ms. Jamie Tanzman, director of American English Language Program, beginning November 6, 2014 and ending May 11, 2015.

e. Temporary Faculty Appointments:

Mrs. Amber Pleiman, Department of Chemistry, Spring 2015; **Ms. John Brengelman,** American English Language Program, Spring 2015; **Mr. David Gutmann,** American English Language Program, Spring 2015; **Ms. Karin Hauschild,** American English Language Program, Spring 2015; **Ms. Lisa Kirk,** American English Language Program, Spring 2015; **Dr. William Thomas Menz**, American English Language Program, Spring 2015; **Ms. Melissa Napier**, American English Language Program, Spring 2015;

2. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between October 15, 2014 and, December 2, 2014 received approval by the Board of Regents: Activations, Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular and Regular to Contract; Departures; Retirees; Administrative/Executive.

3. Major Gifts Acceptance:

The Board of Regents officially accepted contributions totaling **$850,000.00** received by the NKU Foundation Inc. and the University during the period October 1, 2014 through November 30, 2014.

4. Naming Recommendations:

The Board of Regents hereby approved the following naming actions:

(1) The naming of an undergraduate scholarship in support of talented and academically proficient students enrolled in the Fine Arts Theatre Program who are pursuing the playwriting area of specialization "The Ken Jones Playwright Scholarship."

(2) The naming of an undergraduate scholarship in support of talented and academically proficient students enrolled in the Bachelor of Fine Arts or Bachelor of Arts degree programs in Theatre with a preference given to students who meet the university's post-traditional student qualifications "The Henry Konstantinow Post-Traditional Student Scholarship."

(3) The naming of an endowed undergraduate scholarship in support of study abroad educational opportunities for students enrolled in the Bachelor of Fine Arts or Bachelor of Arts degree programs in Theatre "The Henry Konstantinow Study Abroad Scholarship Full Semester."

(4) The naming of an endowed undergraduate scholarship in support of study abroad educational opportunities for students enrolled in the Bachelor of Fine Arts or Bachelor of Arts degree programs in Theatre "The Henry Konstantinow Study Abroad Scholarship Short Semester."

(5) The naming of an endowed undergraduate scholarship in support of outreach efforts of the Department of Theatre and Dance "The Henry Konstantinow Theatre Outreach Program."

(6) The naming of rooms 117, 118, and 119 in the Fine Arts Building "The Henry Konstantinow Studio Theatre and Acting Lab" in recognition of Mr. Konstantinow's gift.

5. Emeritus Status Appointments:

The Emeritus status for the following individuals received Board of Regents approval:

Ms. Mary Cupito, associate professor of journalism in the Department of Communication, effective January 1, 2015; **Mrs. Barbara Houghton,** professor of art in the Department of Visual Arts, effective July 1, 2015.

6. Sabbatical Leaves:

The sabbatical leave recommendations for the 2015-2016 academic year received Board of Regents approval.

7. Revision of University Policies – Removal of "administratrive regulation":

The Board of Regents approved the removal of the term *administrative regulation* as classification for a university policy that requires Board approval.

8. Chase 3 + 3 Program:

The Board of Regents approved the 3+3 Bachelor's / J.D. program at NKU and the Chase College of Law, for immediate implementation.

9. School of the Arts Recommendation:

The Board of Regents approved a School of the Arts be formed within the College of Arts and Sciences, comprising the existing departments of theatre and dance, visual arts, and music.

10. Facilities Management 225 Johns Hill Road Demolition:

The Board of Regents approved demolition of the residence and outbuildings located on the parcel at 225 Johns Hill Road.

11. Facilities Management Right of Way Acquisition:

The Board of Regents approved the acceptance by the university of surplus Right-of-Way from the Kentucky Transportation Cabinet.

D. <u>Executive Session:</u>

Regent Terry Mann seconded Regent Dennis Repenning's motion to enter into executive session pursuant to KRS 61.810 (1)(C) in order to discuss litigation against the University. **(Motion carried)**

No other matters were discussed. No final action was taken.

At 1:56 p.m., Regent Virginia Fox seconded Regent Steve Meier's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Nathaniel Smith, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on January 14, 2015, and that such matters are still in force and effect.

Signature On File
Nathaniel Smith
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, March 17, 2015 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, March 18, 2015 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Haile/US Bank College of Business (Ott Rowlands, Porterfield)
2. Client Based Projects (Raska)
3. iNKUbator (D'Souza, Strobl)
4. Student Leadership Programs and Services (Gitau, Waple, Mayse)
5. Tuition, fees, housing rates, dining rates, parking rates (Ramey, Kline)

Board Lunch
NKU, Student Union 108 – March 18, 2015 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, March 18, 2015 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of January 14, 2015, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Report, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Ramey)
2. Research/Grants/Contracts Report (December 1, 2014 – January 31, 2015) (Ott Rowlands)
3. Fundraising Report (July 1, 2014 – January 31, 2015) (Gentry)
4. Quarterly Financial Report (Ramey)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Academic Affairs Reappointment, Promotion, and Tenure
3. *Non-Academic Personnel Actions
4. *Emeritus Status Appointments
5. *Posthumous Degree
6. *Honorary Degree
7. *New Bachelor of Arts Degree in Secondary Education
8. *New Bachelor Degree in Music Education
9. *Major Gifts Acceptance
10. *Naming Recommendations (Hawkins, Boehne, Swarts, Heisel Sule, Easton, Schneider)
11. *KYTC Property Transfer and Easements
12. *FY 2015-2016 Tuition Rate and Fee Schedule
13. *FY 2015-2016 Fee and Service Charges
14. *Statement of Non-Discrimination

D. Board Recommendations:

1. External Audit Firm Selection
2. Bylaws Update

2:00 p.m.

E. Executive Session

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, March 16, 2015).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
March 18, 2015

Regent Dennis Repenning, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, March 18, 2015.

Roll Call: Richard Boehne, Jacqueline Emerine, Virginia G. Fox, John P. B. Jose, Terry Mann, Stephen A. Meier, Dennis Repenning, Nathaniel Smith, Elizabeth Thompson, Andrá Ward, Brenda Wilson

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Wendy Peek, Sara Sidebottom, Sue Ott Rowlands, Ken Ramey, Ken Bothof, Eric Gentry, Sue Hodges Moore, Joe Wind, Kathleen Roberts, Tracy Insko, Chris Bowling, Jeff Butler, Alex Lefebure, Molly Burchfield, Amanda Henderson, Pat Moynahan, Kathy Stewart, Joel Robinson, Russ Kerdolff, Kevin Kirby, Larry Meyer, Jeff Standen, Denise Robinson, Katherine Frank, Becky Porterfield, Cynthia Reed, Bill Thompson, Leah Stewart, Larry Blake, Vickie Natale, Melissa Gorbandt, Jason Willis, Andy Meeks, Ken Kline, Tiffany Budd, Judy Voelker, Jeff Waple, Debbie Poweleit, Alar Lipping, Karen Zerhusen Kruer, Robbie Prince, Tim Sofranko, Tom Ramstetter, Kim Scranage, Jan Hillard, Penny Parsons, Christian Gamm, Victoria Lentz, Shawn O'Mealy, David Raska

Regent Terry Mann seconded Regent John Jose's motion to approve the minutes of the January 14, 2015 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Budget Model Development

Last Fall, I appointed a task force to review our existing process for developing our University's budget. The task force looked at different types of university budget models across the country, and it recommended that we develop a model that is incentive-based and more de-centralized. The new budget model will promote student success, stimulate strategic growth, encourage innovation and entrepreneurship, and support transdisciplinary and institutional excellence. The guiding principles are accountability, transparency, reasonable predictability, simplicity, performance-based, and data driven. I will continue to keep you updated as we work to transition to this new model.

Fall Enrollment

The Division of Enrollment Management reports that for Fall 2015, graduate applications are up 13 percent and admits are trending up by 50 percent. This is the first time in three academic years that these trends are showing positive gains. Overall undergraduate applications are up 18 percent and admits are up 18 percent, but confirmations for Fall 2015 are relatively flat. Those freshman that have confirmed have improved quality indicators over last year (3.43 GPA and 24 ACT composite).

We see overall gains at this time throughout the admissions funnel, and the make-up of the prospective pool is more diverse and from a greater geographic distribution.

But, there are some concerns that we need to continue to monitor. Even though we are up overall in confirmations, we are experiencing a modest decline in traditional freshman (down 5 percent). We are currently working to discern why this decrease is occurring and implement strategies to positively impact the ultimate results. Financial aid packages were sent out starting this week, which is a week earlier than usual. We will continue to monitor and increase confirmations.

And we are engaging in various activities to increase confirmations. One example is a new effort by our Admissions and Alumni Affairs offices. Norse Notes is a program that enables alumni to send notes of encouragement to high school seniors who have been accepted to NKU. So far, more than 35 alumni have participated in the effort and have written more than 700 notes. We are planning another note writing party in April. I encourage all of our alumni to participate in this excellent initiative.

State Funding Advocacy

As you know, over the past two years, I have been advocating for an outcomes- based funding model for Kentucky's public universities. The Council on Postsecondary Education has been working with the university presidents to develop a model to meet key goals and objectives. Several legislators have shown a strong interest in this approach, which could produce more funding for NKU. A joint resolution was introduced in the senate directing the CPE to develop a funding model that would establish metrics and recognize the different missions of the research universities, comprehensive universities, and community and technical colleges. I remain personally committed to advocating for a model that helps to advance the goals of the Commonwealth – and that supports our University, which continues to contribute to those outcomes.

SACS Accreditation

Earlier this week, we submitted our fifth-year interim report to our accreditation agency, the Southern Association of Colleges and Schools. This interim report is required of all colleges and universities within the SACS region. SACS will review our report and will provide feedback. Our full accreditation process will take place in 2019-2020.

Institutional Performance Program

Earlier this month, we posted a draft of our NCAA Institutional Performance Program plan for review and comment by the University community. The draft document represents significant work and time commitment from the steering committee and from our four work groups. I am grateful to all of the committee members for their diligence, expertise, and support. I am especially grateful to Director of Athletics Ken Bothof, Senior Vice President for Institutional

Effectiveness Sue Hodges Moore, and Assistant Vice President for Institutional Effectiveness Vicki Natale, all of whom have led this work. The report is due to the NCAA on May 15, and a team from the NCAA will visit the campus in the Fall.

Facilities Updates

I would like to report on three major projects. First, we continue to make very good progress on the expansion and renovation of the Campus Recreation Center. As you will recall, we expect the project to be completed in time for the beginning of the Fall semester. Presently, because of weather, the new construction portion of the project is behind schedule approximately two weeks. However, the construction team believes that this time can be made up as they begin the renovation portion of the project.

Second, we continue to make excellent progress on the design of the Health Innovation Center. We continue to meet regularly with the architects, who are refining one of the design options that has been presented. We anticipate that we will break ground on the project in late Summer.

Finally, we have closed the Callahan Bistro, the dining hall in Callahan Hall, in order to conduct renovations. These renovations are being funded by housing revenue and also through substantial support from our foodservice provider, Chartwells. The renovation will be complete in time for the beginning of the Fall semester.

Basketball Teams

As many of you know, our women's basketball team competed in the championship game of the Atlantic Sun Conference Tournament. The team played hard, but lost to a very talented Florida Gulf Coast team. I am very proud of our team and look forward to cheering them on during the Women's Basketball Invitational Tournament. We are a #1 seed, and we will host the first round game against Marshall University tomorrow evening at 7:00 pm in the Bank of Kentucky Center. We are very proud that Christine Roush was named to the Academic All-Atlantic Sun Conference team for her outstanding performance in the classroom. She was just one of five players in the conference who earned this honor. Christine is a biological sciences major, and her GPA is in excess of 3.7.

Christine not only excels in the classroom, but also on the court. Christine and her teammate, Melody Doss, both earned a spot on the All-Atlantic Sun second team.

Our men's team also had an excellent season and fought very hard in their final game versus Lipscomb. I am proud that Tayler Persons was honored as the Atlantic Sun's Freshman of the Year.

B. Presidential Reports:

1. Facilities Management Report (Vice President Ken Ramey)

a. Health Innovation Center/Founders Hall Renovation
b. Campus Recreation Center Expansion/Renovation
c. Founders Hall Astronomical Observatory
d. Callahan Hall Roof Replacement
e. Switchgear Condition Assessment
f. Founders Hall Switchgear Replacement
g. Exterior Door Security and Access Control
h. Sustainability

2. Research, Grants, and Contracts Report (December 1, 2014 – January 31, 2015) (Provost and Executive Vice President Sue Ott Rowlands)

During the December 2014 and January 2015 time period, 9 grants were awarded. The total amount of money awarded was $280,938. From July 1, 2014 through January 31, 2015, the cumulative total number of grants awarded is 53 totaling $4,146,909.

3. Fundraising Report (July 1, 2014 through January 31, 2015) (Vice President Eric Gentry)

The Fundraising Report summarizes fundraising resources committed from July 1, 2014 through January 31, 2015 totaling $4,087,112 in support of the University.

4. Quarterly Financial Report (July 1, 2014 through December 31, 2014**)** (Vice President Ken Ramey)

The Quarterly Financial Report depicted the financial activity of the University during the period from July 1, 2014 through December 31, 2014, compared to the annual budget and the prior year's activity.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Brenda Wilson and seconded by Regent Steve Meier to approve the following Presidential Recommendations as listed; C-1 through C-14. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Ms. Jeanne Pettit, director of First-Year Programs, effective August 20, 2014.

b. Faculty Appointments:

Alyssa Appelman, assistant professor in the Department of Communication, effective August 10, 2015; **Dr. Megan Downing**, assistant professor in the Department of Political Science, Criminal Justice and Organizational Leadership, effective August 10, 2015; **Dr. Michael Guy**, assistant professor in the Department of Chemistry, effective August 10, 2015; **J. Michael Skaggs,** from lecturer (non-tenure-track temporary) in the Department of Communication to lecturer (non-tenure-track renewable) in the Department of Communication, effective January 1, 2015; **Mr. Matthew J. Tokson**, associate professor in the Salmon P. Chase College of Law, effective August 10, 2015; **Jiawei Yuan,** assistant professor in the Department of Computer Science, effective August 7, 2015; **Kazi Zunnurhain,** assistant professor in the Department of Computer Science, effective August 7, 2015.

c. Departures:

Dr. Jonathan Thomas, assistant professor in the Department of Teacher Education in the College of Education and Human Services, effective May 17, 2015; **Steven Devoto**, lecturer in the Department of Accounting, Finance, and Business Law, effective January 1, 2015; **Mustafa Fidahussein,** assistant professor in the Department of Business Informatics, to lecturer in the Department of Business Informatics, effective December 31, 2014

d. Retirements:

Dr. Chenliang Sheng, professor in the Department of English, effective May 16, 2015; **Ms. Donna Bennett**, professor in the Chase Law Library, effective May 31, 2015.

e. Phased Retirements:

Ms. Adele Dean, associate professor in the Department of Nursing, beginning August 10, 2015 and terminating at the end of the academic year 2016-17; **Mr. Gerard St. Amand,** professor in the Salmon P. Chase College of Law, beginning August 15, 2015 and terminating at the end of the academic year 2016-17; **Dr. R. Kent Curtis,** professor in the Department of Political Science, Criminal Justice and Organizational Leadership, beginning August 10, 2015 and terminating at the end of the academic year 2016-17; **Dr. James Thomas,** professor in the Department of Psychological Science, beginning August 10, 2015 and terminating at the end of the academic year 2016-17.

2. Academic Affairs Reappointment, Promotion, and Tenure:

The Board of Regents approved recommendations on reappointment, promotion and tenure.

3. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between December 3, 2014 and, February 17, 2015 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular and Regular to Contract; Departures; Retirees; Administrative/Executive.

4. Emeritus Status Appointments:

The Emeritus status for the following individuals received Board of Regents approval:

Dr. Robert Rhode, professor of English in the Department of English, effective July 1, 2015; **Dr. David Hogan,** associate professor of Psychology in the Department of Psychological Science, effective July 1, 2015; **Dr. Chenliang Sheng,** professor of English in the Department of English, effective July 1, 2015.

5. Posthumous Degree:

The Board of Regents approved that Gary Webb receive a posthumous Bachelor of Arts degree in Journalism.

6. Honorary Degree:

The Board of Regents approved that Mr. Fred Hersch receive an honorary degree – Doctor of Musical Arts.

7. New Bachelor of Arts Degree in Secondary Education:

The Board of Regents approved a Bachelor of Arts in Secondary Education degree in the Department of Teacher Education.

8. New Bachelor of Music Education Degree:

The Board of Regents approved a Bachelor of Music Education degree.

9. Major Gifts Acceptance:

The Board of Regents officially accepted contributions totaling **$687,500.00** received by the NKU Foundation Inc. and the University during the period December 1, 2014 through January 31, 2015.

10. Naming Recommendations:

The Board of Regents approved the following naming actions:

(1) The naming of a scholarship in support of students enrolled in the Salmon P. Chase College of Law "The William H. and Kathryn F. Hawkins Scholarship."

(2) The naming of an endowed undergraduate scholarship in support of students who are the first generation in their family to pursue an undergraduate degree and who have demonstrated a commitment to service of others "The Boehne Family Scholarship."

(3) The naming of an undergraduate scholarship in support of study abroad educational opportunities for students enrolled in an undergraduate degree program in biological sciences "The Straws Biology Study Abroad Endowed Scholarship."

(4) The naming of an undergraduate scholarship in support of students pursuing an undergraduate degree in an Electronic Media and Broadcasting field of study within the College of Informatics "The Elsa M. Heisel Sule Scholarship."

(5) The naming of an undergraduate scholarship in support of students who have financial need and are pursuing degree programs in the areas of accounting, finance, business law, management, construction management or marketing within the Haile/US Bank College of Business "The Sandra W. Easton Memorial Scholarship."

(6) The naming of an observatory facility on the rooftop of Founders Hall "The Julie and David Schneider Observatory."

11. KYTC Property Transfer and Easements:

The Board of Regents authorized the sale to the Kentucky Transportation Cabinet of a 11.471 acre parcel; an easement of 2.429 acres; and, a temporary construction easement of 18.87 acres. The various acquisition and easement areas will allow for the construction of the North Connector Road by the Transportation Cabinet.

12. FY 2015-2016 Tuition Rate and Fee Schedule:

The Board of Regents approved the tuition and mandatory fee rates for the 2015-16 academic year at the rates proposed in the Authorized Schedule of Tuition and Mandatory Fees.

13. FY 2015-2016 Fee and Service Charges:

The Board of Regents authorized the Schedule of Fees and Service Charges for the 2015-2016 academic year.

14. Statement of Non-Discrimination:

The Board of Regents approved a revised Statement of Non-Discrimination that brings Northern Kentucky University into compliance with all applicable federal legislation, state laws and university policies and to advance the University's commitment to inclusive excellence.

D. Board Recommendations:

1. External Audit Firm Selection:

The Board of Regents authorized the administration to enter into a contract with the audit firm of BKD, LLC for the annual audit of the University's financial records for the fiscal year ending June 30, 2015. The contract cost for the year ending June 30, 2015 will be $104,000 prior to any anticipated discounts. This contract allows for three one-year renewable options with the concurrence of both parties.

2. Bylaws Update:

The Board of Regents approved the recommended changes to their bylaws.

E. Executive Session:

Regent Andrá Ward seconded Regent Dennis Repenning's motion to enter into executive session pursuant to KRS 61.810(1)(C) and 61.810(1)(G) in order to discuss potential and pending litigation and for discussion concerning a specific proposal. **(Motion carried)**

No other matters were discussed. No final action was taken.

At 3:24 p.m., Regent Nathan Smith seconded Regent Terry Mann's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Nathaniel Smith, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on March 18, 2015, and that such matters are still in force and effect.

Signature On File
Nathaniel Smith
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, May 5, 2015 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, May 6, 2015 – 9:00 a.m.

9:00 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1. Celebration of Student Research and Creativity (Ott Rowlands, Hart, Curran, Cox, Wheat, Carmen, O'Callahan, Onorato)
2. College of Education and Human Service (Ott Rowlands, Reed, Chambers, Hackworth, Justice, Koschoreck Livingood, McCubbin, Vogelpohl, Willis)
3. Budget Presentation (Mearns, Ramey)
4. Athletics (Bothof)

Board Lunch (Student Awards)
NKU, Student Union 107 – May 6, 2015 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, May 6, 2015 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of March 18, 2015, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Report, Secretary of the Board of Regents

1:20 p.m.

B. <u>Presidential Reports</u>:

1. Facilities Management Report (Ramey)
2. Research/Grants/Contracts Report (February 1, 2015 – March 31, 2015) (Ott Rowlands)
3. Fundraising Report (July 1, 2014 – March 31, 2015) (Gentry)
4. Quarterly Financial Report (Ramey)
5. Organizational Changes Report (Ramey)

1:40 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Academic Affairs First-Year Faculty Reappointments
3. *Appointment to Chair with Tenure and Promotion
4. *Non-Academic Personnel Actions
5. *Academic Affairs Reappointment, Promotion, and Tenure (Corrected from March 2015)
6. *Faculty Emeritus Status Appointments
7. *Educational Specialist Degree in Teaching and Leading
8. *Masters of Legal Studies program at NKU Chase College of Law
9. *Major Gifts Acceptance
10. *2016-2022 Capital Plan
11. *NCAA IPP Gender and Diversity Plans
12. *Budget Authorization
13. *Property Acquisition (Melbourne Parcel)
14. *Sexual Misconduct Policy
15. *Posthumous Degree

D. <u>Board Recommendation</u>

1. Election of Officers

2:00 p.m.

E. <u>Executive Session</u>

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, May 4, 2015).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
May 6, 2015

Regent Dennis Repenning, Chair, called the regular meeting of the Board of Regents to order at 1:20 pm, Wednesday, May 6, 2015.

Roll Call: Richard Boehne, Jacqueline Emerine, Virginia G. Fox, John P. B. Jose, Terry Mann, Stephen A. Meier, Dennis Repenning, Nathaniel Smith, Elizabeth Thompson, Brenda Wilson

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Wendy Peek, Tammy Knochelmann, Sara Sidebottom, Sue Ott Rowlands, Ken Ramey, Ken Bothof, Eric Gentry, Sue Hodges Moore, Kathleen Roberts, Kim Turner, Tracy Insko, Alex Lefebure, Bill Farro, Zachary Lawrence, Pat Moynahan, Joel Robinson, Russ Kerdolff, Kevin Kirby, Jeff Standen, Denise Robinson, Becky Porterfield, Cynthia Reed, Bill Thompson, Larry Blake, Vickie Natale, Melissa Gorbandt, Ken Kline, Tiffany Budd, Judy Voelker, Jeff Waple, Karen Zerhusen Kruer, Tim Sofranko, Robert Prince, Kristi Horine, Mary Paula Schuh, Lori Southwood, Jan Hillard, Christian Gamm, Kim Scranage, Toni Wice, Erin Mulligan, Sara Kelley, Jack Cooper, Steve Weiss, Tim Totten, Joana Totten, Michael Curtis

Regent Stephen Meier seconded Regent Brenda Wilson's motion to approve the minutes of the March 18, 2015 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Commencement

On Saturday, we will gather to celebrate the graduation of more than 1,600 students who have completed the requirements to earn their undergraduate, graduate, or law degree. Within this graduating class are students who have overcome great obstacles to achieve their dreams. Other students have left an enduring impact on our campus through their leadership and service. And still others have obtained outstanding academic achievements. We are proud of every graduate. We are especially proud of the 38 United States military veterans who will participate in our ceremonies on Saturday.

We will also celebrate the accomplishments of two outstanding individuals – Fred Hersch and Gary Webb.

Fred Hersch will receive an honorary degree, and he will be the commencement speaker in the morning ceremony. Mr. Hersch is an 8-time Grammy nominee and an internationally renowned jazz pianist. Mr. Hersch is a native of Cincinnati. On Friday night, he will generously perform a solo piano concert at 7:30 pm in Greaves Concert Hall. The concert is free and open to the public. We presently anticipate that more than 500 people will attend.

In the afternoon ceremony, we will bestow a posthumous degree on Gary Webb. Mr. Webb attended NKU in the 1970s, but he left for a full-time job with the *Kentucky Post* before he could complete his studies. Mr. Webb was an acclaimed journalist who won a Pulitzer Prize for his investigative reporting. Mr. Webb is perhaps most well known for his series of articles that alleged that the United States government turned a blind eye as Nicaraguan drug traffickers sold and distributed crack cocaine in Los Angeles to help fund the Nicaraguan Contras. Last year, Mr. Webb's life story was made into a major motion picture entitled "Kill the Messenger." Mr. Webb's ex-wife, Susan Bell Stokes, and Mr. Webb's three children – Ian, Eric, and Christine – will be at commencement to receive his posthumous degree.

Enrollment Update

I shared some good news related to enrollment at the Annual Budget Presentation last week.

As you may know, May 1st was the national priority decision day for new freshmen students. The Division of Enrollment & Degree management reports that we are up approximately 2 percent in confirmations. We are also experiencing growth for the first time in three academic years in graduate admissions. With regard to the law school, we have adjusted to the national decrease in law professionals and adjusted our admissions accordingly. Even though we are down in applications our first deposits are up 30 percent and we have received more first deposits for the Lunsford Academy compared to last year at this same time. Overall, at this time in the recruitment cycle, we are experiencing some positive gains.

Celebration of Student Research and Creativity

Earlier this morning, you heard about the Celebration of Student Research and Creativity. This special week of posters, speeches, performances, and exhibits highlights the remarkable academic and research capabilities of our students. I want to express my gratitude to Dr. Christine Curran and Dr. Zach Hart, who were the co-chairs of this special event, and to all of the committee members who worked so diligently to plan another outstanding celebration. I also want to thank the more than 130 faculty members who supervised the student projects. This Celebration is one of the hallmarks of our University's commitment to student success by providing a personal educational experience.

Faculty Awards

Last week, I attended the Faculty Honors and Awards ceremony, which was organized by the Provost. We had the opportunity to recognize faculty members who were promoted to associate professor with tenure, and to recognize associate professors who were promoted to full professor. We also recognized and celebrated several award winners. I want to congratulate the following faculty members who were recognized:

- **Dr. James Walden** from the Department of Computer Science and Professor **Michael Mannheimer** from the Chase College of Law, winners of the Excellence in Research, Scholarship, and Creative Activity Award.

- **Dr. P.J. Ball** from the Department of Chemistry, winner of the Michael C.C. Adams and Susan S. Adams Outstanding Non-Tenure Track Faculty Member Award.
- **Judge Karen Thomas** from the Department of Accounting, Finance, and Business Law, who received the Part-time Faculty Excellence in Instruction Award.
- **Dr. Andrea Gazzaniga** from the Department of English and **Dr. David Raska** from the Department of Marketing, Economics, and Sports Business for winning the Excellence in Teaching and Instruction Award.
- **Dr. Isabelle Legadic** from the Department of Chemistry for winning the Excellence in International Education Award.
- **Professor Deborah Dempsey** from the Department of Biological Sciences for winning the Excellence in Online or Technology Enhanced Teaching Award.
- **Professor Belle Zembrodt** from the Honors Program for winning the Excellence in Outreach and Engagement Award.
- **Dr. Steven Weiss** from the Department of Communication for winning the Excellence in University Service Award.
- **Dr. K.C. Russell** from the Department of Chemistry for winning the Excellence in Undergraduate Research Mentoring Award.

And finally, I want to recognize

- **Dr. Cecile Marczinski** from the Department of Psychological Science, for winning the Frank Sinton Milburn Outstanding Professor Award. Dr. Marczinski will formally accept her award at the Fall Convocation, and she will be the December commencement speaker.

Again, I want to congratulate and recognize these faculty members, and all of the award nominees, for their outstanding service and dedication to our students and our University.

Staff Awards

In April, at the Employee Appreciation Breakfast, we also recognized three outstanding staff members for their excellent service to the University. These staff members were honored with the Regents Distinguished Service Award – the highest staff level awards at the University.

Please join me in congratulating:

- **Charles Bowen,** Senior Systems Analyst in Information Technology,
- **Beth McCubbin**, Academic Coordinator in the College of Education and Human Services, and
- **Frank Wilfong**, HVAC Mechanic in Operations and Maintenance

We also recognized staff members who have achieved career milestones – including individuals who have reached 15, 20, 25, 30, and 35 years of service to our University. I again want to thank and congratulate these staff members for their dedicated service to our University.

Service to the Board of Regents

Just as commencement marks the end of one journey and the beginning of another journey for our graduates, the May meeting of the Board of Regents marks the last meeting for four of our Regents. Collectively, these individuals have 19 years of experience on our Board.

I want to express my gratitude to John Jose, Steve Meier, and Jacqueline Emerine who have faithfully served as our student, staff, and faculty Regents respectively. Each of them has contributed to the governance of our University, and they have ably represented our University and our students, staff, and faculty.

I also want to recognize Regent Brenda Wilson. Regent Wilson is concluding her second term; she has contributed 12 years of service to our University. Regent Wilson and her husband, Bill, were instrumental in the opening of our Grant County Center. Brenda has previously served as Chair of this Board. Brenda, I will miss your wise counsel, but I know that you will always be an active and vocal supporter of our great University. Thank you for your service.

Today also marks the last meeting as chair for Dennis Repenning. Over the past two years, Regent Repenning has led the Board with great passion. His affection for our University is evident in all that he has done. Mr. Repenning, thank you for your service as our chair.

Service to the University

Today also marks the last Board of Regents meeting for Vice President Ken Ramey. Ken has faithfully served our University for nearly 38 years. Our campus is demonstrably better because of his contributions. Virtually every physical space on this campus has been affected by Ken and his leadership. Ken started at NKU as a student and now he ends his career beloved by the students. Ken recently was recognized by the Student Government Association for his service, and the Inter-fraternity Council has named its annual award for Ken. Please join me in thanking Ken for his service, his leadership, and his dedication.

Mr. Chair, that concludes my report.

B. Presidential Reports:

1. Facilities Management Report (Vice President Ken Ramey)

a. Health Innovation Center/Founders Hall Renovation
b. Campus Recreation Center Expansion/Renovation
c. Callahan Hall Roof Replacement
d. Callahan Hall Dining Renovation
e. Founders Hall Astronomical Observatory
f. Switchgear Condition Assessment
g. Exterior Door Security and Access Control
h. Sustainability

2. Research, Grants, and Contracts Report (February 1, 2015 – March 31, 2015) (Provost and Executive Vice President Sue Ott Rowlands)

During the February 2015 and March 2015 time period, 12 grants were awarded. The total amount of money awarded was $416,374. From July 1, 2014 through March 31, 2015, the cumulative total number of grants awarded is 65 totaling $4,563,283.

3. Fundraising Report (July 1, 2014 through March 31, 2015) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2014 through March 31, 2015 totaling $5,091,066 in support of the University.

4. Quarterly Financial Report (July 1, 2014 through March 31, 2015**)** (Vice President Ken Ramey)

The Quarterly Financial Report depicted the financial activity of the University during the period from July 1, 2014 through March 31, 2015, compared to the annual budget and the prior year's activity.

5. Organizational Changes Report (Vice President Ken Ramey)

The Organizational Changes Report reflected that Transfer Services will be organizationally re-assigned to Enrollment/Degree Management from the Office of the University Registrar.

C. <u>Presidential Recommendations</u>:

Consent Agenda Items: A motion was made by Regent John P.B. Jose and seconded by Regent Elizabeth Thompson to approve the following Presidential Recommendations as listed; C-1 through C-15. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. <u>Transitions:</u>

Dr. Frank Braun, from assistant professor and interim chairperson in the Department of Business Informatics, to chair and associate professor in the Department of Business Informatics, effective July 1, 2015; **Dr. Caryn Connelly,** from associate professor and interim chairperson in the Department of World Languages and Literatures, to chairperson in the Department of World Languages and Literatures, effective July 1, 2015; **Dr. John Farrar**, from director of CINSAM to professor in the Department of Chemistry, effective July 1, 2015; **Dr. Rudy Garns,** from associate professor in the Department of Sociology, Anthropology and Philosophy to the interim director of Integrative Studies, effective July 1, 2015 through June 30, 2016; **Mr. Ken Jones,** from professor and chairperson in the Department of Theatre and Dance to the director of the School of the Arts, effective July 1, 2015.

b. Administrative Appointments:

Dr. Samantha J. Langley-Turnbaugh, professor in the Department of Biological Sciences and vice provost for Graduate Education, Research, and Outreach, effective July 1, 2015. **Dr. Dale Scalise-Smith,** professor in the Department of Allied Health and dean of the College of Health Professions, effective August 1, 2015.

c. Faculty Appointments:

Dr. James Ryan Alverson, assistant professor in the Department of Teacher Education, effective August 7, 2015; **Dr. Amy Bacevich**, lecturer (non-tenure track renewable) in the Department of Teacher Education, effective August 7, 2015; **Ms. Amanda Brown**, assistant professor in the Department of Counseling, Social Work & Leadership, effective August 7, 2015; **Dr. Dorea Glance**, assistant professor in the Department of Counseling, Social Work & Leadership, effective August 7, 2015.

d. Departures:

Ms. Pamela Atkinson, lecturer, in the Department of Business Informatics, effective March 13, 2015; **Dr. Christopher Cook**, associate professor in the Department of Teacher Education, effective July 31, 2015; **Dr. Jayne Lancaster,** assistant professor in the Department of Advanced Nursing, effective June 30, 2015.

e. Retirements:

Ms. Janalynn S. Anderson, lecturer in Learning Assistance Programs, effective May 13, 2015; **Dr. Kent Curtis**, professor in the Department of Political Science, Criminal Justice and Organizational Leadership, effective May 16, 2015. *This recommendation is a change from Dr. Curtis' original request to enter the Phased Retirement Program August 1, 2015.*

f. Phased Retirement:

Dr. Bradford Scharlott, associate professor in the Department of Communication, beginning August 10, 2015 and terminating at the end of the academic year 2016-17; **Dr. Marilyn Schleyer,** associate professor in the Department of Advanced Nursing, beginning August 11, 2014 and terminating December, 2015. *This recommendation is a change from Dr. Schleyer's original request to complete the Phased Retirement Program in May of 2016;* **Mr. Mark Stavsky,** professor in the Chase College of Law, beginning August 10, 2015 and terminating at the end of the academic year 2016-17.

g. Temporary Faculty Appointment:

Dr. Kristen Lovett, Department of Political Science, Criminal Justice and Organizational Leadership, 2015-2016 Academic Year.

2. Academic Affairs First-Year Faculty Reappointments:

Dr. Emily Shifley, Department of Biological Sciences, 2015-2016 Academic Year; **Dr. Charlisa Daniels**, Department of Chemistry, 2015-2016 Academic Year; **Dr. Jessica Hindman**, Department of English, 2015-2016 Academic Year; **Dr. Mark Lancaster**, Department of Mathematics and Statistics, 2015-2016 Academic Year; **Dr. Kimberly Gelbwasser,** Department of Music, 2015-2016 Academic Year; **Dr. Jason Vest**, Department of Music, 2015-2016 Academic Year; **Dr. Nathan Lee,** Department of Physics and Geology, 2015-2016 Academic Year; **Dr. Arelys Madero-Hernandez**, Department of Political Science, Criminal Justice and Organizational Leadership, 2015-2016 Academic Year; **Dr. Justin Yates,** Department of Psychological Sciences, 2015-2016 Academic Year; **Ms. Tracey Bonner,** Department of Theatre and Dance, 2015-2016 Academic Year; **Dr. Susannah Coaston,** Department of Counseling, Social Work and Leadership, 2015-2016 Academic Year; **Dr. Neal Duchac,** Department of Counseling, Social Work and Leadership, 2015-2016 Academic Year; **Dr. Suk-hee Kim,** Department of Counseling, Social Work and Leadership, 2015-2016 Academic Year; **Dr. Haley Ericksen,** Department of Kinesiology and Health, 2015-2016 Academic Year; **Dr. Cory Scheadelr,** Department of Kinesiology and Health, 2015-2016 Academic Year; **Dr. Michael DiCicco,** Department of Teacher Education, 2015-2016 Academic Year; **Dr. Kimberly Yates,** Department of Teacher Education, 2015-2016 Academic Year; **Dr. Sookyung Cho,** Department of Communication, 2015-2016 Academic Year; **Dr. Qi Li**, Department of Computer Science, 2015-2016 Academic Year; **Ms. Andrea Brooks,** Steely Library, 2015-2016 Academic Year.

3. Appointment to Chair with Tenure and Promotion:

The Board of Regents approved Dr. Frank Braun, Department of Business Informatics for tenure and promotion beginning with the 2015-2016 academic year.

4. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between February 18, 2015 and, April 7, 2015 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular & Regular to Contract; Departures; Retirees; Administrative/Executive.

5. Academic Affairs Reappointment, Promotion, and Tenure (Corrected from March 2015):

The Board of Regents approved the following faculty for promotion beginning with the 2015-2016 academic year:

Dr. Kristine Hopfensperger, Department of Biological Sciences; **Dr. Lili Ma**, Department of Chemistry; **Dr. Jennifer Cellio**, Department of English; **Ms. Kelly Moffett**, Department of English; **Dr. Parmita Kapadia**, Department of English; **Dr. Tonya Krouse**, Department of English; **Mr. P. Andrew Miller**, Department of English; **Dr. Tamara O'Callaghan**,

Department of English; **Mr. William Brian Hogg**, Department of Music; **Dr. Eric Knechtges**, Department of Music; **Dr. Frank Restesan**, Department of Music; **Dr. Raquel Rodriquez**, Department of Music; **Dr. Michael Bush**, Department of Political Science, Criminal Justice and Organizational Leadership; **Dr. Jonathan McKenzie**, Department of Political Science, Criminal Justice and Organizational Leadership; **Mr. Jamey Strawn**, Department of Theatre and Dance; **Ms. Julie Mader-Meersman**, Department of Visual Arts; **Dr. Caroline Macke**, Department of Counseling, Social Work, and Leadership; **Dr. Sarah Kasten**, Department of Teacher Education; **Dr. Ellen Maddin**, Department of Teacher Education; **Dr. Jonathan Thomas**, Department of Teacher Education; **Dr. John Huss**, Department of Teacher Education; **Ms. Cindy Foster**, Department of Advanced Nursing Studies; **Dr. Julianne Ossege**, Department of Advanced Nursing Studies; **Ms. Pamela E. Davis**, Department of Nursing; **Ms. Kim Dinsey Read**, Department of Nursing; **Dr. Mark Leeman**, Department of Communication; **Dr. Zachary Hart**, Department of Communication; **Dr. James Bradtmueller**, Department of Construction Management; **Dr. Joe Cobbs**, Department of Marketing, Economics and Sports Business; **Dr. David Raska**, Department of Marketing, Economics and Sports Business; **Ms. Amy Halbrook**, Law Instruction; **Mr. Jack Harrison**, Law Instruction; **Mr. David Singleton**, Law Instruction; **Mr. Anthony Chavez**, Law Instruction.

6. Faculty Emeritus Status Appointments:

The Board of Regents approved the Emeritus status for the following individuals:

Dr. Jeffrey Williams, professor in the Department of History and Geography, effective July 1, 2015; **Dr. James Ramage,** professor in the Department of History and Geography, effective July 1, 2015.

7. Educational Specialist Degree in Teaching and Leading

The Board of Regents approved the Educational Specialist degree (Ed.S.) in Teaching and Leading in the Department of Teacher Education.

8. Masters of Legal Studies program at NKU Chase College of Law

The Board of Regents approved the Masters of Legal Studies program at NKU Chase College of Law.

9. Major Gifts Acceptance:

The Board of Regents officially accepted contributions totaling **$525,000.00** received by the NKU Foundation Inc. and the University during the period February 1, 2015 through March 31, 2015.

10. 2016-2022 Capital Plan:

The Board of Regents adopted the 2016-2022 Capital Plan.

11. NCAA IPP Gender and Diversity Plans:

The Board of Regents approved the Diversity Issues Plan and Gender Issues Plan as part of the NCAA Institutional Performance Program (IPP).

12. Budget Authorization:

The Board of Regents approved the resolution establishing and approving the Fiscal Year 2015-16 Annual Unrestricted Operating Budget for Northern Kentucky University.

13. Property Acquisition (Melbourne Parcel):

The Board of Regents authorized the purchase of the 0.81 acre parcel located at 99 Harrison Avenue in Melbourne, Kentucky.

14. Sexual Misconduct Policy:

The Board of Regents approved the new Sexual Misconduct Policy.

15. Posthumous Degree:

The Board of Regents approved that Brandi Fisher receive a posthumous Master of Social Work.

D. Board Recommendation:

A motion was made by Regent Elizabeth Thompson and seconded by Regent Terry Mann to approve the following Board of Regents officers for 2015-2016: Chair, Regent Nathan Smith; Vice Chair, Regent Rich Boehne; Secretary, Regent Virginia Fox. **(Motion carried)**

E. Executive Session:

Regent John P.B. Jose seconded Regent Dennis Repenning's motion to enter into executive session pursuant to KRS 61.810(1)(C) in order to discuss potential and pending litigation. **(Motion carried)**

No other matters were discussed. No final action was taken.

At 2:20 p.m., Regent John P.B. Jose seconded Regent Jacqueline Emerine's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Nathaniel Smith, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on May 6, 2015, and that such matters are still in force and effect.

Signature On File
Nathaniel Smith
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Board of Regents Meeting
NKU, Student Union, 104 – Monday, May 11, 2015 – 9:30 am

9:30 a.m.

- Call to Order
- Roll Call

Presidential Recommendation

- Intercollegiate Athletics

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
May 11, 2015

Regent Dennis Repenning, Chair, called the special meeting of the Board of Regents to order at 9:30 a.m. on Monday, May 11, 2015.

Roll Call: Richard Boehne, Jacqueline Emerine, Virginia Fox, John P.B. Jose, Terry Mann, Stephen A. Meier, Dennis Repenning, Nathaniel Smith, Brenda Wilson

A. Presidential Recommendation

Regent Stephen Meier seconded Regent John Jose's motion to ratify the decision for Northern Kentucky University to join the Horizon League. **(Motion carried)**

At 9:47 a.m., Regent Virginia G. Fox seconded Regent John P.B. Jose's motion to adjourn. **(Motion carried)**

Wendy J. Peek
Senior Administrative Assistant
Office of the President

Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Nathaniel Smith, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the meeting held on May 11, 2015, and that such matters are still in force and effect.

Nathaniel Smith
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, July 21, 2015 – 5:30 p.m.

5:30 p.m.

- Regents/Foundation Executive Committee Dinner at Metropolitan Club (Covington, KY)

Board of Regents Retreat
NKU METS Center, Wednesday, July 22, 2015 – 9:00 a.m.

9:00 a.m.

Joint Finance and Policy Committee:

1. New Budget Model (Hodges Moore, Jaime Ontiveros)
2. Enterprise Risk Management (Hodges Moore)
3. Dashboard Metrics (Hodges Moore, Natale)

Board Lunch
12:00 p.m.

12:00 p.m.

Board of Regents Meeting

1:00 p.m.

- Call to Order
- Swearing in of New Regents
- Roll Call
- Affirmation of election of Board Chair
- Board Self-Assessment
- Review of Goals and Initiatives for 2014-2015
- Discussion and Setting of Outcomes and Expectations for 2015-2016

2:00 p.m.

Executive Session

Board of Regents Retreat
Northern Kentucky University
METS Center, Erlanger, Kentucky
July 22, 2015

Regent Nathan Smith, Chair, called the Board of Regents Retreat to order at 1:00 pm, Wednesday, July 22, 2015.

Roll Call: Richard A. Boehne, Richard L. Boyce, Virginia G. Fox, Terry L. Mann, Dennis Repenning, W. Lee Scheben, Arnie D. Slaughter, Nathaniel G. Smith, Elizabeth L. Thompson, Andrá Ward

Other Attendees: Geoffrey Mearns, Katie Herschede

Oath of Office:

Regent Nathan Smith performed the oath of office for the newly elected Regents: Richard L. Boyce, W. Lee Scheben, and Arnie D. Slaughter. The newly elected Regents swore or affirmed to uphold all stipulations of the oath and faithfully execute, to the best of their ability, the duties of Regent of Northern Kentucky University according to law.

Affirmation of Election of Board Chair:

Regent Dennis Repenning seconded Regent Terry L. Mann's motion to affirm the election of Nathaniel G. Smith as Chair of the Board of Regents. **(Motion carried)**

Board Self-Assessment:

Regent Andrá R. Ward led the Board in its annual self-assessment.

Review of Goals and Initiatives for 2014-2015:

President Mearns reviewed activities and achievements related to strategic plan implementation, the health innovation center, a state funding model, marketing and communications, development, and athletics.

Discussion and Setting of Outcomes and Expectations for 2015-2016:

President Mearns proposed goals for 2015-2016 related to enrollment, state funding, development, facilities, athletics, and communications.

Presidential Compensation:

Regent Elizabeth L. Thompson seconded Regent Virginia G. Fox's motion to direct $25,000 to the Mearns/Proud Scholarship Fund in the Northern Kentucky University Foundation. **(Motion carried)**

Regent Terry L. Mann seconded Regent Dennis Repenning's motion to increase the President's monthly housing allowance by $586.45. **(Motion carried)**

At 3:19 p.m., Regent Richard L. Boyce seconded Regent Andrá R. Ward's motion to adjourn. **(Motion carried)**

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Virginia G. Fox, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the retreat held on July 22, 2015, and that such matters are still in force and effect.

Signature On File
Virginia G. Fox
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, September 8, 2015 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, September 9, 2015 – 9:00 a.m.

9:00 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1. Marketing and Communications (Gentry, Martin)
2. College of Informatics (Ott Rowlands, Kirby, Rink, Ryan, Ruschman, Schoster, Storm, Walker)
3. Study Abroad (Student Presentation) (Ott Rowlands, Drabik, Harris, LeRoy, McGovern, Rouse)
4. Health Innovation Center (Mearns, Ott Rowlands)

Board Lunch
NKU, Student Union 108 – September 9, 2015 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, September 9, 2015 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of May 6, 2015, Board Minutes
- Approval of July 22, 2015, Board Retreat Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Report, Secretary of the Board of Regents

1:20 p.m.

B. <u>Presidential Reports</u>:

1. Facilities Management Report (Hodges Moore)
2. Research/Grants/Contracts Report (April 1, 2015 – June 30, 2015) (Ott Rowlands)
3. Research/Grants/Contracts Report (July 2015) (Ott Rowlands)
4. Fundraising Report (July 1, 2014 – June 30, 2015) (Gentry)
5. Organizational Changes Report (Hodges Moore)

1:40 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Major Gifts Acceptance
4. *Naming Recommendations (Goggin, Steely/Pelfrey, Keyer-Scott, Cupito, Cline, Daniell, Bushee, Boothe, Giesmann/Trice)
5. *Faculty Emeritus Status Appointments
6. *Revised NKU Faculty Policies and Procedures Handbook
7. *Faculty Senate Constitutional Amendment
8. *Organizational Changes Recommendations
9. *Organizational Chart Update

2:00 p.m.

E. <u>Executive Session</u>

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, September 7, 2015).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
September 9, 2015

Regent Nathaniel Smith, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, September 9, 2015.

Roll Call: Richard Boehne, Richard L. Boyce, Virginia G. Fox, Katherine Hahnel, Terry Mann, W. Lee Scheben, Arnie D. Slaughter, Nathaniel Smith, Elizabeth Thompson, Andrá Ward

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Wendy Peek, Tammy Knochelmann, Sara Sidebottom, Sue Ott Rowlands, Ken Bothof, Eric Gentry, Sue Hodges Moore, Kathleen Roberts, Tracy Insko, Alex Lefebure, Jeff Butler, Chris Bowling, Zachary Lawrence, Kim Scranage, Mike Hales, Lori Southwood, Kevin Kirby, Leah Stewart, Kathy Stewart, Cindy Reed, Sara Kelley, Katherine Frank, Becky Porterfield, Larry Blake, Jeff Standen, Les Kachurek, Phillip Liles, Greg Zelensky, Zachary Burton, Bobby Pate, Timothy Rechtin, Ray Haley, Larry Meyer, John Gaffin, Gina Yoon, Kristi Webb, Christian Gamm, Debora Poole, Rochelle Shields, Leyla Pena, Whitney Kessinger, Idna Corbett, Jeanne Pettit, William Thompson, Erin Mulligan, Pat Moynahan, Tim Sofranko, Adam Caswell, Joel Robinson, Bob Driehaus, Amanda Nageleisen

Oath of Office: Regent Nathan Smith performed the oath of office for Regent Katherine Hahnel. Regent Hahnel swore to uphold all stipulations of the oath and faithfully execute, to the best of her ability, the duties of Regent of Northern Kentucky University according to law.

Regent Katherine Hahnel seconded Regent Terry Mann's motion to approve the minutes of the May 6, 2015 Board of Regents meeting. **(Motion carried)**

Regent Rich Boehne seconded Regent Andrá Ward's motion to approve the minutes of the July 22, 2015 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Health Innovation Center

Earlier today, we celebrated receiving the second largest philanthropic investment in our University's history – an $8 million gift by St. Elizabeth Healthcare to support the design and construction of the simulation facilities in our new Health Innovation Center. I am grateful to Garren Colvin and his outstanding team at St. Elizabeth for their enthusiasm and support of what will be a transformative building and program for both our campus and our region.

I am also grateful to Eric Gentry and Julie Dials – and their colleagues – for their role in both securing the gift and the celebration and announcement this morning.

I am also pleased to inform you that we have identified a tentative date for the groundbreaking of the Health Innovation Center. The tentative date is October 21 at 11:30 am. I say "tentative" because we are awaiting confirmation from Governor Beshear's office. Once we have received confirmation, the Board, and the entire campus community, will be invited to join us for the ceremony. Invitations, which will include additional information, will be sent soon. The Governor and many other elected officials and community leaders will attend the event.

New Leaders

I am pleased to welcome six new senior academic and administrative leaders to campus this Fall. Please join me in welcoming:

- Dr. Idna Corbett, Associate Provost for Undergraduate Academic Affairs. Dr. Corbett previously served as the dean of undergraduate studies and student support services at West Chester University of Pennsylvania.
- Dr. Samantha Langley, Vice Provost for Graduate Education, Research, and Outreach. Dr. Langley came to NKU from the University of Southern Maine, where she served as the associate provost for research and graduate studies.
- Dr. Dale Scalise-Smith, Dean of the College of Health Professions. Prior to NKU, Dr. Scalise-Smith was the vice president of the Utica (N.Y.) College School for Online & Extended Studies and External Partnerships.
- Mr. Mike Hales, Chief Financial Officer. Mike came to NKU from the E.W. Scripps Company, where he spent 25 years in corporate finance. Mike is a proud graduate of NKU.
- Mr. Adam Caswell, Assistant Vice President for Government, Corporate, and Foundation Relations. Adam is also a proud graduate of Northern Kentucky University. Adam was the Vice President for Public Affairs at the Northern Kentucky Chamber of Commerce.
- Mr. Leslie Kachurek, Chief of Police. Chief Kachurek previously served as the police chief and deputy Title IX coordinator at SUNY Alfred State College. Chief Kachurek has 25
  years of experience in both community and campus policing.

Please join me in welcoming these new leaders to our campus.

Enrollment

The news on our enrollment is mixed.

The quality and quantity of our new freshman class is excellent. Our incoming students are again the most academically well-prepared class in our history. And our overall freshman enrollment is higher than last year. Our law school enrollment has also increased – due mainly to a substantial increase in the incoming 1L class. The number of high school students taking dual credit courses from NKU has also increased. Transfer students from the Kentucky Community and Technical College System (KCTCS) are up. But, unfortunately, overall transfer enrollment is lower than expected.

Our first-year retention rate remains level at 69%. This number is better than in the past but, frankly, is not yet good enough. We will continue to work collaboratively with our faculty and staff to continue to improve in this area.

We have a number of other continuing concerns. Despite increases in some programs, our overall graduate enrollment continues to decline. And our overall enrollment numbers are approximately 2% less than we projected. This decline is a product of a large graduating class and smaller freshman classes since our largest freshman class in 2010, as well as the decline in graduate enrollment.

We will continue to monitor enrollment and associated tuition revenue very closely. In November, you will receive a full report on our enrollment and retention strategies.

New Student Orientation

As I mentioned, this year we enrolled the most academically prepared class in the University's history. This class was welcomed with an extensive three-day orientation program focused on academic and personal success.

The orientation focused on developing academic and personal skills to help our students be successful. Students were also exposed to various support offices on campus. More than 100 upperclassmen helped to orient and welcome the new students as student mentors during their orientation.

In addition to the three-day orientation program, several other offices held extended orientation. ROCKS, a special program for incoming African American students, and LAMP, for Latino students, both again had record numbers.
More than 120 students participated in FreshStart, a pre-orientation program for students who are interested in leadership and service. Students who participate in these programs are retained at demonstrably higher levels than their peers who do not participate in pre-orientation programs.

We also held our largest freshman and parent convocation in our University's history. Approximately 4,000 students, family members, faculty, and staff attended the convocation where they had an opportunity to hear from Doc Hendley, author of Wine to Water, and our book connection speaker. The students also saw an outstanding performance by our improv team led by Professor Ken Jones.

During the orientation program, we also held several social events, including inviting all new students to the men's soccer game against the University of Kentucky. More than 2,100 fans attended that game – an attendance record. And, of course, you also know that our men beat the University of Kentucky 1-0!

I am grateful to the more than 100 faculty, staff, and students who helped to plan and execute this orientation. Thank you to everyone who made our first weekend a resounding success.

School of the Arts

As part of the first week of activities, the faculty and staff in the School of the Arts hosted an orientation for their students. This was the first time that faculty, staff, and students had gathered under the auspices of the new School. More than 750 students attended the orientation, which brought together students in theatre, music, dance, and visual arts together for the first time.

Road to NKU

Next week, I will begin visiting high schools all around the Commonwealth and across our region as part of the Road to NKU tour. In Kentucky, I will be visiting seven regions of the Commonwealth. I will also be visiting local high schools in Northern Kentucky, Cincinnati, and Indiana. This year, I plan to visit more than 45 high schools, where I will talk about the excellent education that is provided at NKU by our outstanding faculty and our dedicated staff.

Awards

Last week, we learned that our University has been selected to receive the National Role Models Award from Minority Access for our commitment to diversity and inclusion. I am proud of the work that is happening all over campus to welcome people of diverse backgrounds to our University. Later this month in Baltimore, Dannie Moore, assistant vice president for student inclusiveness, will accept this award on behalf of our University.

I also want to congratulate Ms. Leyla Peña, from our Office of Admissions, who was selected by the League of United Latin American Citizens Cincinnati Council for the "Professional Excellence" award. Leyla will receive the award at the LULAC Awards Gala next week. Leyla is an outstanding member of our Admissions team, and I am grateful for her dedication to all of our students – but especially to our Latino and Hispanic students.

Recognition of Police Officers

Two weeks ago, there was a serious incident in Steely Library when a student brought a firearm to the campus. The response to this incident by our police department was outstanding. I want to thank the officers and other personnel who handled the situation swiftly and with professionalism. Please join me in recognizing Detective Bobby Pate, Officer Phillip Liles, Sergeant Greg Zelensky, Sergeant Raymond Haley, Officer Zachary Burton, Officer Tim Rechtin, and Lieutenant John Gaffin. I also want to recognize Dispatcher Gina Yoon who handled the incoming call, and Kristy Webb, executive office manager, who supported all of these efforts.

To all who were involved in quickly apprehending the student and safely handing the situation, I again offer my deepest appreciation – and the appreciation of my colleagues across campus.

Please join me in recognizing these outstanding members of our police department.

The police response was exceptional. But after any security or emergency event on campus, it is important to review our response, policies, and procedures – particularly around communication. We have heard some concerns about our communication in the immediate aftermath of the incident. I have already organized an incident review team, which will evaluate those concerns.

Mr. Smith, this concludes my report.

B. Presidential Reports:

1. Facilities Management Report (Senior Vice President Sue Hodges Moore)

a. Health Innovation Center/Founders Hall Renovation
b. Campus Recreation Center Expansion/Renovation
c. Callahan Hall Roof Replacement
d. Callahan Hall Dining Renovation
e. Founders Hall Astronomical Observatory
f. Switchgear Condition Assessment
g. Exterior Door Security and Access Control
h. Sustainability

2. Research, Grants, and Contracts Report (April 1, 2015 – June 30, 2015) (Provost and Executive Vice President Sue Ott Rowlands)

During the April 1, 2015 – June 30, 2015 time period, 26 grants were awarded. The total amount of money awarded was $2,140,406. For Fiscal Year 2014-15, the cumulative total number of grants awarded was 91 totaling $6,729,869.

3. Research, Grants, and Contracts Report (July 1, 2015 – July 31, 2015) (Provost and Executive Vice President Sue Ott Rowlands)

During the July 2015 time period, 7 grants were awarded. The total amount of money awarded was $876,010.

4. Fundraising Report (July 1, 2014 through June 30, 2015) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2014 through June 30, 2015 totaling $5,985,654 in support of the university.

5. Organizational Changes Report (Senior Vice President Sue Hodges Moore)

The organizational changes report reflected reorganizations in several existing departments including the following: Enrollment and Degree Management, Graduate Education, Research and Outreach, Undergraduate Academic Affairs, Honors Program, Executive Leadership and Organizational Change, Physics, Geology and Engineering Technology, Haile/US Bank College of Business, Student Affairs, University Advancement, University Marketing and Communications, and Donor Relations.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Elizabeth Thompson and seconded by Regent W. Lee Scheben to approve the following Presidential Recommendations as listed; C-1 through C-9. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Dr. Darius Fatemi, from assistant professor of accounting and business law to assistant professor of accounting and business law, and the academic program director of the Master of Accountancy Program, effective July 1, 2015; **Dr. Sean Foley**, from associate professor in the Department of Marketing, Sports Business, and Construction Management to associate professor and director in the Department of Construction Management Program, effective July 1, 2015; **Dr. Bertie Greer**, from professor in the Department of Management, to professor and chair in the Department of Management, effective July 1, 2015; **Dr. Zachary Hart**, from chair and associate professor in the Department of Communication, and interim co-chair in the Department of Business Informatics to professor and chair in the Department of Communication, effective July 1, 2015; **Mr. Michael Hatton**, from assistant professor and assistant chair of the Department of Theatre and Dance, to assistant professor and program head of theatre and dance, in the School of the Arts, effective July 1, 2015; **Dr. Eric Knechtges**, from assistant professor in the Department of Music to associate professor and program head of music, in the School of the Arts, effective July 1, 2015; **Dr. Madhura Kulkarni**, from assistant director and outreach and events coordinator of CINSAM to interim director of CINSAM, effective July 1, 2015; **Dr. Greg Martin**, from associate professor in the Department of Marketing, Economics and Sports Business to associate professor in the Department of Marketing, Sports Business, and Construction Management and associate dean of the Haile/US Bank College of Business, effective July 1, 2015; **Mr. Roger Brad McCombs**, from associate professor in the Department of Visual Arts to associate professor and program head of visual arts, in the School of the Arts, effective July 1, 2015; **Mr. Thomas McGovern**, from professor and chairperson of the Department of Visual Arts to professor and associate director of the School of the Arts, effective July 1, 2015; **Dr. Robert Russ**, from associate professor in the Department of Accounting, Finance and Business Law to associate professor and chair in the Department of Accounting and Business Law, effective July 1, 2015; **Dr. Sandra Spataro**, from associate professor of management to associate professor of management and the academic program director of the Master of Business Administration Program, effective July 1, 2015; **Dr. J.C. "Duke" Thompson**, from professor in the Department of Accounting, Finance and Business Law to professor and chair in the Department of Economics and Finance, effective July 1, 2015.

b. Faculty Appointments:

Ms. Tracey R. Adams, assistant professor in the Department of Advanced Nursing Studies, effective August 10, 2015; **Dr. Chad Anderson,** assistant professor in the Department of Business Informatics, effective August 10, 2015; **Dr. Holly Attar**, lecturer (non-tenure track renewable) of music in the School of the Arts, effective July 1, 2015; **Dr. Debra Bryant**, assistant professor in the Department of Nursing, effective August 10, 2015; **Dr. Francoise Knox-Kazimierczuk**, assistant professor in the Department of Allied Health, effective August 10, 2015; **Dr. Tamuchin McCreless,** assistant professor in the Department of Business Informatics, effective August 10, 2015; **Dr. W. Terry Ray**, visiting professor in the Department of Advanced Nursing Studies, effective August 10, 2015; **Ms. Alessandra Rhinehart**, assistant professor in the Department of Counseling, Social Work & Leadership, effective August 10, 2015; **Ms. Erika Sharkey**, lecturer (non-tenure track renewable) in the College of Education and Human Services Advising Center, effective July 1, 2015; **Dr. Trung Tran**, lecturer (non-tenure track renewable) in the Department of History and Geography, effective August 10, 2015; **Dr. Lynne Zajac**, assistant professor in the Department of Advanced Nursing Studies, effective August 10, 2015.

c. Transititions:

Dr. Jan Hillard, from associate provost for the Department of Research, Graduate Studies and Regional Stewardship to professor in the Department of Political Science, Criminal Justice and Organizational Leadership, effective August 15, 2015; **Dr. Linda Marquis-Cate**, from professor in the Department of Accounting and Business Law and associate dean in the college of business, to professor in the Department Accounting and Business Law, effective July 1, 2015; **Dr. Stephen Mueller**, from professor and interim department chair in the Department of Management to professor in the Department of Management, effective July 1, 2015; **Dr. Ihab Saad**, from professor and department chair in the Department of Construction Management to professor in the Department of Marketing, Sports Business, and Construction Management, effective July 1, 2015; **Dr. Kurt Sander**, from associate professor and chair in the Department of Music to associate professor of music in the School of the Arts, effective July 1, 2015.

d. Departures:

Dr. Matthew Asare, assistant professor in the Department of Kinesiology and Health, effective May 16, 2015; **Dr. Daryl Orth**, associate professor in the Department of Marketing, Sports Business, and Construction Management, effective July 1, 2015; **Ms. Teresa Riley,** lecturer in the Department of Computer Science, effective May 16, 2015.

e. Retirements:

Dr. Ann Dollins, associate professor in the Department of Advanced Nursing, effective May 16, 2015. *This recommendation is a change from Dr. Dollins' original request to enter the Phased Resignation Program August 11, 2014*; **Dr. Carol Lawrence**, professor in the

Department of Accounting, Finance, and Business Law, effective July 1, 2015; **Mr. Peter Theuri**, chair and professor in the Department of Accounting, Finance, and Business Law, effective May 16, 2015; **Dr. Ed Workman**, associate professor in the Department of Construction Management, effective July 1, 2015.

f. Leaves, Paid/Unpaid:

Dr. Kurt Sander, associate professor of music in the School of the Arts, re-entry leave, effective for the fall semester 2015.

g. Temporary Faculty Appointments:

Dr. Kathryn Higginbotham, Department of Biological Sciences, 2015-2016 Fiscal Year; **Dr. Stephen Linn,** Department of Biological Sciences, 2015-2016 Academic Year; **Dr. Michael Guy**, Department of Chemistry, 2015-2016 Academic Year; **Dr. Beatriz Russell,** Department of Chemistry, 2015-2016 Academic Year; **Mr. Reuben Bullard**, CINSAM, 2015-2016 Academic Year; **Ms. Wendy Hertzenberg,** CINSAM, 2015-2016 Fiscal Year; **Ms. Kristi Brock,** Department of English, 2015-2016 Academic Year; **Ms. Rhonda Davis** Department of English, 2015-2016 Academic Year; **Mr. Stephen Leigh**, Department of English, 2015-2016 Academic Year; **Dr. Connie Bruins**, Department of History & Geography, 2015-2016 Academic Year; **Dr. Mary Bucklin,** Department of History & Geography, 2015-2016 Academic Year; **Mr. Joseph Lombardi**, Department of History & Geography, 2015-2016 Academic Year; **Ms. Kathleen Quinn,** Department of History & Geography, 2015-2016 Academic Year; **Ms. Meredith Smith,** Department of History & Geography, 2015-2016 Academic Year; **Ms. Shelley Cain**, Department of Mathematics & Statistics, 2015-2016 Academic Year; **Mr. Michael Collins**, Department of Mathematics & Statistics, 2015-2016 Academic Year; **Ms. Chrystal Culbertson,** Department of Mathematics & Statistics, 2015-2016 Academic Year; **Ms. Marla Lemmon**, Department of Mathematics & Statistics, 2015-2016 Academic Year; **Ms. Barbara Phillips**, Department of Mathematics & Statistics, 2015-2016 Academic Year; **Ms. Laura Urbanski**, Department of Mathematics & Statistics, 2015-2016 Academic Year; **Dr. Amy Gillingham**, Department of Music, 2015-2016 Academic Year; **Mrs. Katie Barton**, Department of Music, 2015-2016 Academic Year; **Dr. Chari Ramkumar,** Department of Physics, Geology & Engineering Technology, 2015-2016 Academic Year; **Ms. Kathryn Elvey**, Department of Political Science, Criminal Justice & Organizational Leadership, 2015-2016 Academic Year; **Mr. Jeffrey Girton,** Department of Political Science, Criminal Justice & Organizational Leadership, 2015-2016 Academic Year; **Dr. Melody Rawlings,** Department of Political Science, Criminal Justice & Organizational Leadership, 2015-2016 Academic Year; **Ms. Rebecca Hazen,** Department of Psychological Science, 2015-2016 Academic Year; **Dr. Smita Ward,** Department of Psychological Science, 2015-2016 Academic Year; **Dr. Elijah White**, Department of Psychological Science, 2015-2016 Academic Year; **Dr. Gary Blahnik**, Department of Sociology, Anthropology, & Philosophy, 2015-2016 Academic Year; **Dr. Nicole Grant,** Department of Sociology, Anthropology, & Philosophy, 2015-2016 Academic Year; **Ms. Denise Knisely,** Department of Sociology, Anthropology, & Philosophy, 2015 Fall Semester; **Mr. Dennis Miller**, Department of Sociology, Anthropology, & Philosophy, 2015-2016 Academic Year; **Dr. Nicole Roth**, Department of

Sociology, Anthropology, & Philosophy, 2015-2016 Academic Year; **Dr. Michael Simonton**, Department of Sociology, Anthropology, & Philosophy, 2015-2016 Academic Year; **Ms. KristinaVise**, Department of Sociology, Anthropology, & Philosophy, 2015-2016 Academic Year; **Ms. Heather Britt,** Department of Theatre & Dance, 2015-2016 Academic Year; **Ms. Christina Jones**, Department of Theatre & Dance, 2015-2016 Academic Year; **Mr. David Martin,** Department of Visual Arts, 2015-2016 Academic Year; **Mr. Brett Schieszer**, Department of Visual Arts, 2015-2016 Academic Year; **Ms. Maren Carpenter – Fearing**, Department of Visual Arts, 2015-2016 Academic Year; **Dr. Andrea Fieler,** Department of World Languages & Literatures, 2015-2016 Academic Year; **Ms. Debbie Browning**, Department of Accounting and Business Law, 2015-2016 Academic Year; **Mr. Paul Croushore,** Department of Accounting and Business Law, 2015 Fall Semester; **Ms. Elaine Guenthner,** Department of Accounting and Business Law, 2015 Fall Semester; **Ms. Peggy Hussey,** Department of Accounting and Business Law, 2015 Fall Semester; **Mr. James Kirtley,** Department of Accounting and Business Law, 2015-2016 Academic Year; **Ms. Lorraine Ruh,** Department of Accounting and Business Law, 2015-2016 Academic Year; **Ms. Marcia Vorholt,** Department of Accounting and Business Law, 2015-2016 Academic Year; **Mr. Edwin King**, Department of Economics and Finance, 2015 Fall Semester; **Mr. Andrew Stout**, Department of Economics and Finance, 2015 Fall Semester; **Mr. Alain Tourikian**, Department of Economics and Finance, 2015 Fall Semester; **Mr. Majed Dabdoub**, Department of Marketing, Sports Business & Construction Management, 2015-2016 Academic Year; **Mr. C. Edward Heath,** Department of Marketing, Sports Business & Construction Management, 2015-2016 Academic Year; **Mr. James Williams,** Department of Marketing, Sports Business & Construction Management, 2015 Fall Semester; **Mr. Bruce Dellaposta**, Department of Management, 2015 Fall Semester; **Mr. Edwin Ericson,** Department of Management, 2015 Fall Semester; **Mr. Mark Gambill,** Department of Management, 2015 Fall Semester; **Ms. Priya Klocek,** Department of Management, 2015 Fall Semester; **Mr. Louis Manchise**, Department of Management, 2015-2016 Academic Year; **Mr. Avi Ram,** Department of Management, 2015 Fall Semester; **Mr. Stephen Roush,** Department of Management, 2015-2016 Academic Year; **Mr. John Wagner**, Department of Management, 2015 Fall Semester; **Mr. James Taylor,** Department of Counseling, Social Work & Leadership, 2015-2016 Academic Year; **Ms. Rachael Winters**, Department of Counseling, Social Work & Leadership, 2015-2016 Academic Year; **Mr. Keith Collins**, Department of Kinesiology and Health, 2015-2016 Academic Year; **Mr. Wiley Piazza**, Department of Kinesiology and Health, 2015-2016 Academic Year Academic Year; **Dr. Brian Collier**, Department of Teacher Education, 2015-2016 Academic Year; **Ms. Joan Joseph,** Department of Teacher Education, 2015-2016 Academic Year; **Ms. Jennifer Moreland,** Department of Advanced Nursing, 2015-2016 Academic Year; **Ms. Sarah Sauntry**, Department of Advanced Nursing, 2015-2016 Academic Year; **Dr. Melanie Cundiff**, Department of Allied Health, 2015-2016 Academic Year; **Ms. Amber Thomas**, Department of Nursing, 2015-2016 Academic Year; **Mr. Anthony Burk,** Department of Business Informatics, 2015-2016 Academic Year; **Ms. Crystal Summers,** Department of Business Informatics, 2015-2016 Fiscal Year; **Mr. Patrick Flynn Ashley**, Department of Communication, 2015-2016 Academic Year; **Ms. Pamela K. Fisher,** Department of Communication, 2015-2016 Academic Year; **Ms. Cindy Bragg**, Department of Computer Science, 2015-2016 Academic Year; **Dr. Timothy McCord**, Department of Computer Science, 2015-2016 Academic Year; **Ms. Cynthia Thomas**, Department of Computer

Science, 2015-2016 Academic Year; **Ms. Natalie M. Williams**, Learning Assistance Programs, 2015-2016 Academic Year.

2. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between April 8, 2015 and August 11, 2015 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular & Regular to Contract; Departures; Retirees; Administrative/Executive.

3. Major Gifts Acceptance:

The Board of Regents officially accepted contributions totaling **$559,943.67** received by the NKU Foundation Inc. and the University during the period April 1, 2015 through July 31, 2015.

4. Naming Recommendations:

The Board of Regents hereby approved the following naming actions:

(1) The naming of a scholarship in support of students enrolled in the Salmon P. Chase College of Law "The Professor Edward P. Goggin Endowed Scholarship".

(2) The naming of an endowed scholarship in support of students who are pursuing degrees in social studies education "The W. Frank Steely and Martha J. Pelfrey Social Studies Scholarship".

(3) The naming of a scholarship in support of a member of the NKU Women's Golf Team "The Keyer-Scott Women's Golf Scholarship Endowment".

(4) The naming of an endowed undergraduate scholarship in support of students pursuing an undergraduate degree in Journalism "The Mary Carmen Cupito Memorial Scholarship".

(5) The naming of a scholarship in support of students pursuing a master's degree in Social Work "The Claudia Cline Master of Social Work Endowed Scholarship".

(6) The naming of an endowed scholarship in support of activities of the MINjas student organization in the College of Informatics and activities of the Association of English Graduate Students within the College of Arts and Sciences "The Shawn Daniell Student Organization Award".

(7) The naming of a scholarship in support of students with financial need and academic achievement who are pursuing a major in geology "The Jonathan Bushee Memorial Scholarship".

(8) The naming of a scholarship in support of students enrolled in degree programs who seek to participate in academically rigorous and culturally immersive study abroad opportunities "The Leon E. Boothe International Scholarship".

(9) The naming of a scholarship in support of a student-athlete competing on the intercollegiate men's cross-country team at NKU "The Larry Giesmann and Laura Trice Endowed Scholarship".

5. Emeritus Status Appointments:

The Emeritus status for the following individuals received Board of Regents approval:

Dr. Kent Curtis, professor in the Department of Political Sciences, Criminal Justice, and Organizational Leadership, effective May 16, 2015; **Dr. Ray McNeil**, professor in the Department of Physics and Geology, effective July 1, 2014.

6. Revised NKU Faculty Policies and Procedures Handbook

The Board of Regents approved the amendment to the Faculty Policies and Procedures Handbook**.** The Faculty Senate passed the amendment to the Faculty Handbook at its May 2, 2014 meeting in regard to allowing for an extension of the probationary period for exceptional circumstances.

7. Faculty Senate Constitutional Amendment:

The Board of Regents approved the amendment to the Faculty Senate Constitution. The approved amendment will replace the current language with the following:

C. Reassigned time or stipends shall be allotted to the following members of the Executive Committee as follows:

President – 50% reassigned time
Curriculum Chair – 25% reassigned time
Faculty Benefits Chair – 25% reassigned time for Fall Semester
Professional Concerns Chair – 25% reassigned time
Budget Committee Chair – 25% reassigned time
Vice President – stipend (amount set by Provost)
Secretary – stipend (amount set by Provost)
Parliamentarian – stipend (amount set by Provost)

8. Organizational Changes Recommendations:

The Board of Regents approved the organizational changes recommendations in the following departments: Administration and Finance/Institutional Effectiveness and University Advancement/Government and Community Relations.

9. Organizational Chart Update

The Board of Regents approved the organizational chart which reflects all NKU Administrative updates through September 9, 2015.

D. Board Recommendation:

A motion was made by Regent Virginia Fox and seconded by Regent Katherine Hahnel to approve the naming of the simulation facilities on the 3rd and 4th levels of the soon to be constructed Health Innovation Center in recognition of a private gift from St. Elizabeth Healthcare. **(Motion carried)**

E. Executive Session:

Regent W. Lee Scheben seconded Regent Nathaniel Smith's motion to enter into executive session pursuant to KRS 61.810(1)(C) in order to discuss pending and threatened litigation against the University. **(Motion carried)**

No other matters were discussed. No final action was taken.

At 2:04 p.m., Regent Andrá Ward seconded Regent Terry Mann's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Virginia Fox, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on September 9, 2015, and that such matters are still in force and effect.

Signature On File
Virginia G. Fox
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, November 10, 2015 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, November 11, 2015 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Annual Financial Report (Hales, Kerdolff)
2. Enrollment Management (Ott Rowlands, Scranage, Natale)
3. Campus Climate Report (Roberts)
4. Campus Recreation (Turner, Hackett, Nienaber)

Campus Recreation Ribbon Cutting
NKU, Campus Recreation – November 11, 2015 – 11:30 a.m.

Board Lunch
NKU, Campus Rec 290 – November 11, 2015 – 12:00 p.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, November 11, 2015 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of September 9, 2015, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Report, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Hodges Moore)
2. Research/Grants/Contracts Report (August 1, 2015 – September 1, 2015) (Ott Rowlands)
3. Fundraising Report (July 1, 2015 - September 30, 2015) (Gentry)
4. Annual Financial Report (Hodges Moore)
5. Organizational Changes Report (Hodges Moore)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Major Gifts Acceptance
4. *Capital Budget Request
5. *Posthumous Degree (Buckenmeyer)

2:00 p.m.

E. Executive Session

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, November 9, 2015).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
November 11, 2015

Regent Nathaniel Smith, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, November 11, 2015.

Roll Call: Richard Boehne, Richard L. Boyce, Virginia G. Fox, Katherine Hahnel, Terry Mann, Dennis Repenning, W. Lee Scheben, Arnie D. Slaughter, Nathaniel Smith, Elizabeth Thompson

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Wendy Peek, Sara Sidebottom, Sue Ott Rowlands, Ken Bothof, Eric Gentry, Sue Hodges Moore, Kim Turner, Tracy Insko, Bill Farrow, Chris Bowling, Austin Beach, Kim Scranage, Mike Hales, Lori Southwood, Kevin Kirby, Dale Scalise-Smith, Becky Porterfield, Cindy Reed, Katherine Frank, Leah Stewart, Kathy Stewart, Sara Kelley, Larry Blake, Larry Meyer, Christian Gamm, Idna Corbett, Jeff Strunk, Melissa Gorbandt, Pat Moynahan, Samantha Langley, Amanda Nageleisen, Joel Robinson, Bill Thompson, Mark Hansel, Mary Paula Schuh, Vickie Natale, Russ Kerdolff

Regent Arnie Slaughter seconded Regent Elizabeth Thompson's motion to approve the minutes of the September 9, 2015 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Veterans Day

Before lunch, we attended our University's observation of Veterans Day, a day for all of us to honor those who have served in our Armed Forces. I am proud of the many support programs that we offer to our students who have served our country. Our University is regularly recognized for our commitment to veterans. In fact, on Monday, our University was recognized once again by Military Times on their 'Best for Vets' list. Please join me in moment of reflection to honor and recognize our armed service members.

Campus Recreation Center Ribbon Cutting

After the morning session, we also celebrated the completion of the construction of our renovated and expanded Campus Recreation Center. This project is the result of the advocacy and the financial support from our students. I am proud that this Center is a hub for student engagement and wellness. So far this academic year, there have been more than 60,000 visits by 9,000 people. I am also proud that the facility is LEED Silver – further demonstrating our commitment to sustainable building practices.

HIC Groundbreaking

Three weeks ago, we welcomed Governor Beshear to our campus and broke ground on our new Health Innovation Center. This Center will be a state of the art facility to support our students' success. As a result of our Center, we will improve the health of our community, our metropolitan region, and the Commonwealth. We will also promote economic growth and vitality by producing graduates who earn good incomes, by providing trained talent for

healthcare providers, and by improving the productivity of the broader workforce. And our innovative approach will be a model for other educational institutions and other communities to emulate. Over the next two years, we will expand our existing health related programs, and we will be proposing a variety of new degrees, certificates, and credentials to enhance our commitment to the health of the people in the communities that we serve.

Northern Kentucky University: A Panoramic History

Last week, we celebrated the release of a book that celebrates the remarkable history of our University – *Northern Kentucky University: A Panoramic History*. The book features the photographs of Thomas R. Schiff. Mr. Schiff is a world-renowned panoramic photographer who has produced several books containing panoramic photos of Las Vegas, Cincinnati, and Frank Lloyd Wright's architecture. Mr. Schiff's work has been featured in galleries around the world.

Mr. Schiff donated his time and talent to this project, and he also provided generous financial support for the publishing of the book. I am grateful to Tom for his interest in the architecture of our campus and his dedication to our University.

The book is available through Amazon.com and in our campus bookstore.

National Rankings

Since we last met, our University has been recognized with several national rankings.

I am proud that our Haile/US Bank College of Business was once again recognized in *The Princeton Review* as one of the nation's best business schools for the fourth year in a row. The College was also ranked among the Best Undergraduate Business Programs in the nation by *U.S. News & World Report.*

Last week, we learned that our student business incubator, the iNKUbator, was ranked as a top five performing university business accelerator in North America by UBI Global. Our competition included MIT, Stanford, Princeton, Purdue, the University of North Carolina-Chapel Hill, among other highly regarded universities.

Our Master's of Business Informatics program was ranked #11 in the country among graduate programs in business analytics by *The Financial Engineer Times.*

And finally, *Safewise* ranked Highland Heights as the seventh safest college town in America.

I am proud of these accomplishments. They are a reflection of the dedication of our faculty and staff.

NKU Apparel Now Available in Airport

As I have previously reported to the Board, one of our priorities is increasing our University's visibility in our community. I am proud that NKU apparel is now being sold in the Cincinnati/Northern Kentucky International Airport. NKU apparel is also available at Rally House in Crestview Hills, select Kroger stores, our campus bookstore, Campus Book & Supply,

and online. And you can even buy NKU cookies from the Highland Heights Busken Bakery store.

Road to NKU

As you will recall, last year I initiated the RoadtoNKU tour to visit high school students and friends all across the Commonwealth of Kentucky. This year, I am continuing this tour and adding visits in Cincinnati and in Indiana. So far, I have visited six regions of the Commonwealth and spoken to more than 5,000 high school students. Next Monday and Tuesday, Ken Jones and Michael Hatton will join me in Paducah and Owensboro as they visit with students who are interested in our School of the Arts. I look forward to sharing a complete update at the January meeting.

Fall Sports

I am pleased to report that all of our fall team sports – men's soccer, women's soccer, and volleyball, earned a spot in the Horizon League postseason tournaments for their respective sports. After a very successful season, our men's soccer team fell in the quarterfinals to Wright State. Our women's soccer team also had a very successful season and lost, on penalty kicks, to Oakland University, who ultimately won the Horizon League tournament.

Andrew Schille, a freshman cross country runner, won freshman of the year honors, was named first-team all-conference, and took 4th place overall at the Horizon League championships.

Sophomore women's soccer player Macy Hamblin, was named both Horizon League Player of the Year and Offensive Player of the Year.

Basketball

The basketball season kicked off this past Saturday as the men hosted and defeated Ohio Northern University in an exhibition game. In addition to our new Horizon League opponents, we are looking forward to several high profile games including our men playing away games at West Virginia, Xavier, and Michigan. We look forward to playing in-state rival, Morehead, at the BB&T Arena. On Friday, our women will play Miami University at Miami. The women will also play the University of Cincinnati at UC. Our women will host Marquette and the University of Kentucky. Good luck to our coaches and our outstanding student athletes as they begin their seasons. I hope many of you will attend our games this year and cheer on the Norse.

NCAA Site Visit

In late October, an NCAA peer review team visited our campus as part of the final steps in our transition to full membership in Division I athletics. I am pleased to report that the review team found no issues related to our self-study report and their site visit. The review team described our report as a model report and was impressed by the broad-based involvement of our faculty, staff, and students in the development and execution of our IPP plan.

The review team noted, "The peer-review team members expressed their feelings that Northern Kentucky University provide an exemplary self-study based on campus-wide communication that fairly reflects their current situation with regard to athletics." The review team also wrote, "It was also noted by the peer-review team that the institution used the self-study process to

examine and revise some processes outside of athletics. Of particular note were some changes made in their institutional personnel processes. This, in the committee members' experiences, was an unusual outcome and documented the degree to which broad-based participation resulted in institutional improvement."

I'd again like to thank Dr. Sue Hodges Moore, who led our institutional process, Mr. Ken Bothof, and Dr. Vickie Natale for their leadership and many contributions to this process.

Larry Blake

Two weeks ago, Larry Blake retired as our Assistant Vice President for Facilities Management. Larry has served our University since 2004 and has overseen many improvements to our campus – including the construction of the BB&T Center, Student Union, Griffin Hall, renovation and expansion of the Campus Recreation Center, and preliminary planning for the Health Innovation Center. And Mr. Blake was recently honored with the APPA: Leadership in Educational Facilities organization's President's Award for his exceptional achievement in facilities management and for his outstanding contributions to his professional organization. Please join me in thanking Larry for his service to our University.

Mr. Chair, that concludes my report.

B. Presidential Reports:

1. Facilities Management Report (Senior Vice President Sue Hodges Moore)

a. Health Innovation Center/Founders Hall Renovation
b. Health Innovation Center/Founders Hall Renovation (Chiller Installation)
c. Campus Recreation Center Expansion/Renovation
d. Callahan Hall Dining Renovation
e. Exterior Door Security and Access Control
f. Sustainability

2. Research, Grants, and Contracts Report (August 1, 2015 – September 30, 2015) (Provost and Executive Vice President Sue Ott Rowlands)

During the August 1, 2015 through September 30, 2015 time period, 24 grants were awarded. The total amount of money awarded was $2,492,681. From July 1, 2015 through September 30, 2015, the cumulative total number of grants awarded is 30 totaling $3,353,691.

3. Fundraising Report (July 1, 2015 through September 30, 2015) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2015 through September 30, 2015 totaling $9,028,520 in support of the university.

4. Annual Financial Report (July 1, 2014 – June 30, 2015) (Senior Vice President Sue Hodges Moore)

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

5. Organizational Changes Report (Senior Vice President Sue Hodges Moore)

The organizational changes report reflected reorganizations in several existing departments including the following: Athletics, Academic Affairs, and Administration & Finance.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Rich Boehne and seconded by Regent Virginia Fox to approve the following Presidential Recommendations as listed; C-1 through C-5. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Dr. Adrianne Lane, from associate professor and chair in the Department of Advanced Nursing Studies to associate professor, chair, and director of the Doctorate of Nursing Program, effective August 10, 2015; **Ms. Amy Shay**, from assistant professor in the Department of Advanced Nursing Studies to assistant professor and director of the Masters of Nursing Program, effective August 10, 2015; **Dr. Michelle Teschendorf**, from lecturer in the Department of Advanced Nursing Studies to lecturer and director of the RN-BSN Program, effective August 10, 2015.

b. Faculty Appointments:

Ms. Roxanne Gall, lecturer in the Department of Advanced Nursing Studies, effective August10, 2015; **Ms. Amy Shay**, assistant professor in the Department of Advanced Nursing Studies, effective August 10, 2015.

c. Transititions:

Dr. Denice Robertson, from senior lecturer in the Department of Biological Sciences to senior lecturer and interim associate director of CINSAM, effective August 10, 2015; **Dr. Peter Theuri**, professor and department chair in the Department of Accounting, Finance and Business Law to professor of accounting in the Department of Accounting and Business Law, effective July 1, 2015. *This recommendation is a correction from the September 2015 Board submission in which Dr. Theuri was incorrectly listed as retiring effective May 16, 2015.*

d. Departures:

Ms. Dixie Leather, lecturer in the College of Education and Human Services Advising Center, effective September 22, 2015; **Dr. Dana Harley,** assistant professor in the Department of Counseling, Social Work and Leadership, effective August 9, 2015.

e. Retirements:

Ms. Sandra Forman, professor of theatre and dance in the School of the Arts, effective May 14, 2016; **Dr. John Filaseta,** associate professor of physics in the Department of Physics, Geology, and Engineering Technology, effective December 31, 2015.

f. Phased Retirements:

Dr. Joan Ferrante, professor in the Department of Sociology, Anthropology and Philosophy, beginning August 22, 2016 and terminating at the end of academic year 2017-18; **Dr. Marilyn Schleyer,** associate professor in the Department of Advanced Nursing, beginning August 11, 2014 and terminating May 9, 2015. *This recommendation is a change from Dr. Schleyer's original request to complete the Phased Retirement Program in May of 2016.*

g. Leaves, Paid/Unpaid:

Dr. Miriam Kannan, Regent's professor in the Department of Biological Sciences, unpaid leave effective for the 2016-17 academic year; **Dr. Steve Newman**, professor in the Department of Math and Statistics, unpaid leave effective for the spring semester, 2016.

h. Temporary Faculty:

Mr. Steve Castellano, Department of Biological Sciences, 2015-2016 Academic Year; **Mr. Michael Hinckley**, Department of History and Geography, 2015 Fall Semester; **Ms. Bonnie May**, Department of History and Geography, 2015-2016 Academic Year; **Dr. Theresa Snodgrass**, Department of Mathematics & Statistics, 2015 Fall Semester; **Mr. Roger Miller,** Department of Physics, Geology & Engineering Technology, 2015-2016 Academic Year; **Mr. Mohsen Keshtvarz (Mark Kesh),** Department of Physics, Geology & Engineering Technology, 2015-2016 Academic Year; **Ms. Rebecca Hazen,** Department of Psychological Science, 2015 Fall Semester *; **Mr. Chris Smith,** School of the Arts – Visual Arts, 2015-2016 Academic Year; **Mr. William Rabe, IV**, School of the Arts – Visual Arts, 2015-2016 Academic Year.

***** *Correction to September 2015 Board submission indicating Ms. Rebecca Hazen's temporary appointment was for the academic year.*

2. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between August 12, 2015 and October 13, 2015 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular & Regular to Contract; Departures; Retirees; Administrative/Executive.

3. Major Gifts Acceptance:

The Board of Regents officially accepted contributions totaling $8,125,000 received by the NKU Foundation Inc. and the University during the period August 1, 2015 through September 30, 2015.

4. Capital Budget Request

The Board of Regents adopted the 2016-2018 Capital Budget.

5. Posthumous Degree (Buckenmeyer)

The Board of Regents approved that Shawn Marie Daniell (Buckenmeyer) receive a posthumous Master of Arts in English.

D. Executive Session:

Regent Dennis Repenning seconded Regent Nathaniel Smith's motion to enter into executive session pursuant to KRS 61.810(C) and KRS 61.810 (1)(G) in order to discuss potential and pending litigation and for discussion concerning a specific proposal. **(Motion carried)**

No other matters were discussed. No final action was taken.

At 2:54 p.m., Regent Katherine Hahnel seconded Regent Richard Boyce's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Virginia Fox, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on November 11, 2015, and that such matters are still in force and effect.

Signature On File
Virginia G. Fox
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, January 12, 2016 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, January 13, 2016 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1.	Sabbaticals/Fellowships/Project Grants	(Ott Rowlands, Nutter, Kasten)
2.	Fraternity and Sorority Life	(Turner, Vance, Dralle, McCraney, Mohr, Reagan)
3.	International Student Recruitment	(Ott Rowlands, LeRoy, Dieng, Colton, Alotaibi)
4.	Academic Snapshot	(Ott Rowlands, Natale)

Board Lunch
NKU, Student Union 108 – January 13, 2016 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, January 13, 2016 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of November 11, 2015, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Report, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1.	Facilities Management Report	(Hodges Moore)
2.	Research/Grants/Contracts Report (October 1, 2015 – November 30, 2015)	(Ott Rowlands)
3.	Fundraising Report (July 1, 2015 - November 30, 2015)	(Gentry)
4.	Quarterly Financial Report	(Hodges Moore)
5.	Faculty Development Awards – Project Grants	(Ott Rowlands)
6.	Faculty Development Awards – Faculty Summer Fellows	(Ott Rowlands)
7.	Organizational Changes Report	(Hodges Moore)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Major Gifts Acceptance
4. *Naming Recommendations (Klingenberg, Informatics Renaissance Travel Award)
5. *Faculty Emeritus Status Appointment (Manning, Yzenbaard)
6. *Chase College of Law LL.M. Program
7. *2016-18 Capital Budget Adjustment
8. *Campbell Hall Acquisition
9. *Emergency HVAC Replacement Project – Kentucky and Commonwealth Residence Halls
10. *Matriculated Student Tuition Policy
11. Sabbatical Leaves

2:00 p.m.

D. Executive Session

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, January 11, 2016).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
January 13, 2016

Regent Nathaniel Smith, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, January 13, 2016.

Roll Call: Richard Boehne, Richard L. Boyce, Virginia G. Fox, Katherine Hahnel, Terry Mann, Dennis Repenning, W. Lee Scheben, Arnie D. Slaughter, Nathaniel Smith, Elizabeth Thompson, Andrá Ward.

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Wendy Peek, Sara Sidebottom, Sue Ott Rowlands, Eric Gentry, Sue Hodges Moore, Kim Turner, Kathleen Roberts, Tracy Insko, Bill Farrow, Chris Bowling, Austin Beach, Kim Scranage, Mike Hales, Kevin Kirby, Dale Scalise-Smith, Becky Porterfield, Cindy Reed, Katherine Frank, Jeff Standen, Leah Stewart, Kathy Stewart, Sara Kelley, Larry Meyer, Christian Gamm, Melissa Gorbandt, Samantha Langley, Amanda Nageleisen, Joel Robinson, Bill Thompson, Mary Paula Schuh, Vickie Natale, Russ Kerdolff, Allen Cole, Vickie Pritchard, Abby Anstead.

Regent Katherine Hahnel seconded Regent Dennis Repenning's motion to approve the minutes of the November 11, 2015 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Legislative Session

Last week, the Kentucky General Assembly convened for the 60-day legislative session. One of the most important responsibilities of the legislature during this session is to enact a two-year budget for the Commonwealth of Kentucky. For the past 18 months, I have been advocating for the Governor and the legislature to fix the funding disparity that impedes our University's progress and to enact an outcomes-based funding model for higher education. The Council on Postsecondary Education has confirmed what we have known for some time: NKU is operating with an unwarranted $10.7 million funding deficit compared to the median of our peer comprehensive universities because of the absence of a rational approach to allocating the state appropriation.

In the days, weeks, and months ahead, we need your help. I will continue to meet with various campus organizations and college departments to share what we want to achieve during the 2016 legislative session, and to answer any questions that our campus community may have.

To help get our message out and to educate the public on the process of effecting change in Frankfort, the NKU Foundation has set up the website www.investinsuccessky.com. This website provides information on where we stand and how individuals can help.

I will continue to update you on the progress we are making and important developments in the legislature.

Retention

I am pleased to report that we continue to see modest and encouraging increases in the Fall 2015 to Spring 2016 retention rate. Earlier this week, our preliminary Fall to Spring retention rate was 88% compared to 85.9%, at the same time last year, for a 2.1% increase over last year.

This is good news. I am grateful to all of our faculty and staff who have worked hard to help us to recruit, retain, and graduate our students. I look forward to continued improvement in this important metric.

Vice President for Student Affairs Search

As you know, over the Summer, we initiated a search for a new Vice President for Student Affairs. The committee met diligently over the Fall semester and brought two candidates to campus late last semester.

After considering the input from the search committee, as well as the evaluations provided by those who attended the finalist interviews, I have decided to continue the search. Going forward, though, we will post the position as the Vice President for Student Affairs, without the responsibility of Dean of Students. With this change, the responsibilities of the Dean of Students will be absorbed by an existing assistant vice president. Similar to the structure in Student Affairs previously, we will have two AVP positions reporting to the Vice President: the AVP for Student Inclusiveness and the AVP for Student Support/Dean of Students. We have resumed the search process, and we will continue to seek involvement from others within the division and across our campus.

Budget Model

For more than a year, we have been preparing to implement a new budget model. Our model incorporates an approach that creates transparency and balances accountability among academic, auxiliary, and support units. The new model also engages the entire university community in our pursuit of student success, strategic growth, innovation, entrepreneurship, and institutional excellence.

In recent months, we have established new governance committees to help manage the process, including a budget executive committee, a central unit allocations committee, and a space management and deferred maintenance committee. The budget governance committees have begun meeting to put policies, procedures, and processes in place once we are in full swing next academic year.

Over the next few months, we will begin training budget officers and others with budget responsibilities on model development.

This year's strategy for developing next year's budget represents a hybrid approach that adheres to the principles of transparency and engagement. Our goals are to educate the campus community on the University's financial condition and to engage faculty and staff in generating ideas regarding strategic investments and cost saving initiatives. The Faculty Senate and Staff

Congress are already engaged in soliciting ideas. Open forums will be held to provide additional opportunities for feedback. The budget governance committees will be consulted at strategic points throughout the process.

We have also developed a website with resources, communications, and more information. The information can be accessed at http://newbudgetmodel.nku.edu.

Unless the Governor and the General Assembly allocate additional state support for our University to fix the existing funding disparity, it will again be difficult to develop a balanced budget for next academic year.

Emergency Preparedness

Over the winter break, our Police Department conducted an emergency preparedness exercise in coordination and collaboration with other local first responders. This is just one of many activities and training exercises that we are engaged in to be prepared for an emergency on our campus.

Sue Hodges Moore, Senior Vice President for Administration and Finance, is leading a cross-divisional committee to consider our emergency preparedness, emergency response protocols, and emergency communication protocols. Dr. Hodges Moore and members of the committee will provide a more detailed report at the March Board meeting.

Street Reach App

You may have read some articles in our local newspapers or seen a segment on our local television stations highlighting the creation of the 'Street Reach' app. The Street Reach app, which I mentioned last Friday during the convocation, aims to break down barriers between the homeless and street outreach services by allowing the public to make electronic reports of individuals in need. It also allows the homeless to reach out for help.

Students Justin Hill, a developer in the Center for Applied Informatics, and Brittney Kane, who is pursuing a master's degree in social work, led the project. The project was a partnership between the Center for Applied Informatics, the College of Education and Human Services, and local community organizations including Strategies to End Homelessness.

I am proud of our innovative and entrepreneurial students who are working in our community to solve pressing problems. And I am especially proud that our community seeks out our students to help address important community needs.

Athletics Update

As I also mentioned in the convocation, I am very proud that for the ninth semester in a row, our student-athletes achieved above a 3.0 GPA. In fact, we reached our highest GPA ever – 3.24. Twelve out of our 15 sports had team GPAs that exceeded 3.0. More than 30% of our student-athletes earned academic honors in the Fall semester. Congratulations to the students, their coaches, and the entire department for this outstanding achievement.

Last semester, we were also graded in a different way. Recently, officials from the Horizon League evaluated our athletic competition broadcast based on ESPN's standards. Our overall rating was an 'A.' We received the highest score possible in every dimension, including directing, game documentation, talent, graphics, and camera placement. What makes this achievement truly remarkable is that most aspects of our broadcasts are run by students with the help, support, and advice of Bill Farro from Information Technology, and Wes Akers from our Electronic Media and Broadcasting department in the College of Informatics. I want to express my appreciation to Bill, Wes, and all of our outstanding students who run our broadcasts.

Sara Sidebottom

Last Friday, Sara Sidebottom, our University's general counsel, advised me that she will retire on July 31. Sara has served our University in this capacity for 16 years. She has provided sound legal advice and wise counsel, and I am grateful for the support she has given to me. I will form a search committee to assist in hiring Sara's successor. But now, please join me in recognizing Sara and thanking her for her service.

Mr. Chairman, this concludes my report.

B. Presidential Reports:

1. Facilities Management Report (Senior Vice President Sue Hodges Moore)

a. Health Innovation Center/Founders Hall Renovation
b. Health Innovation Center/Founders Hall Renovation (Chiller Installation)
c. Founders Hall Moves
d. Campus Recreation Center Expansion/Renovation
e. Science Center Air Handler Refurbishment
f. Exterior Door Security and Access Control
g. Sustainability
h. North Connector Road

2. Research, Grants, and Contracts Report (October 1, 2015 – November 30, 2015) (Provost and Executive Vice President Sue Ott Rowlands)

During the October 1, 2015 through November 30, 2015 time period, 10 grants were awarded. The total amount of money awarded was $486,671. From July 1, 2015 through November 30, 2015, the cumulative total number of grants awarded is 40 totaling $3,840,362.

3. Fundraising Report (July 1, 2015 through November 30, 2015) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2015 through November 30, 2015 totaling $9,846,166 in support of the university.

4. Quarterly Financial Report (July 1, 2014 – September 30, 2015) (Senior Vice President Sue Hodges Moore)

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

5. Faculty Development Awards – Project Grants (Provost and Executive Vice President Sue Ott Rowlands)

The Project Grant Report reflected the grants that have been awarded for 2016-2017.

6. Faculty Development Awards – Faculty Summer Fellows (Provost and Executive Vice President Sue Ott Rowlands)

The Faculty Summer Fellows Report reflected the fellows that have been awarded for the summer of 2016.

7. Organizational Changes Report (Senior Vice President Sue Hodges Moore)

The organizational changes report reflected that the School-Based Scholars (SBS) program now reports to Learning Assistance Programs (LAP).

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Virginia Fox and seconded by Regent Andrá Ward to approve the following Presidential Recommendations as listed; C-1 through C-10. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Dr. Adrianne Lane, from professor and chair in the Department of Advanced Nursing Studies to professor, chair, and director of the Doctorate of Nursing Program, effective August 10, 2015. *This recommendation is a correction from the November 2015 Board submission in which Dr. Lane was incorrectly listed as an associate professor.*

b. Faculty Appointments:

Dr. Thaddeus Bissett, assistant professor in the Department of Sociology, Anthropology and Philosophy, effective August 15, 2016; **Mr. Jeffrey Fox,** lecturer in the Department of Communication, effective January 1, 2016.

c. Departures:

Dr. Roger Kollock, assistant professor in the Department of Kinesiology and Health, effective December 31, 2015.

d. Retirements:

Ms. Ana England, professor of art in the Visual Arts Program in the School of the Arts, effective May 18, 2016; **Dr. Stephen Walker,** professor in the Department of Teacher Education, effective May 18, 2016.

e. Phased Retirements:

Dr. Samuel Zachary, professor of theatre in the Theatre and Dance Program in the School of the Arts, beginning August 15, 2016 and terminating at the end of academic year 2017-18.

f. Temporary Faculty:

Dr. David Cain, Department of Physics, Geology, and Engineering Technology, 2016 Spring Semester.

2. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between October 14, 2015 and December 1, 2015 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular & Regular to Contract; Departures; Retirees; Administrative/Executive.

3. Major Gifts Acceptance:

The Board of Regents officially accepted contributions totaling **$365,000** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period October 1, 2015 through November 30, 2015.

4. Naming Recommendations (Klingenberg, Informatics Renaissance Travel Award):

The Board of Regents approved the following naming actions:

(1) The naming of a scholarship in support of students pursuing a bachelor's or master's degree in teacher education with a focus in mathematics or science education.

(2) The naming of a travel award in support of travel expenses for second year undergraduate College of Informatics students participating in international service learning experiences of at least two weeks duration.

5. Faculty Emeritus Status Appointment (Manning, Yzenbaard):

The Board of Regents approved the Emeritus status for the following individuals.

Dr. George Manning, professor in the Department of Psychological Science, effective January 1, 2016. **Professor Caryl Yzenbaard,** professor in the Salmon P. Chase College of Law, effective May 17, 2016.

6. **Chase College of Law LL.M. Program:**

The Board of Regents approved the "LL.M. in United States Law" program at NKU Chase College of Law, for immediate implementation.

7. **2016-18 Capital Budget Adjustment:**

The Board of Regents approved the adjustments to the 2016-2018 Capital Budget.

8. **Campbell Hall Acquisition:**

The Board of Regents approved the acquisition of the 12.02 acre Campbell Hall parcel from Gateway Community College/KCTCS.

9. **Emergency HVAC Replacement Project – Kentucky and Commonwealth Residence Halls:**

The Board of Regents approved an emergency project with a scope of $1.7 million to replace the HVAC system in Kentucky and Commonwealth Halls.

10. **Matriculated Student Tuition Policy:**

The Board of Regents approved the Matriculated Student Tuition Policy, which will replace the Dually Enrolled Undergraduate and Graduate Students Tuition Policy.

C. **Presidential Recommendation – C-11 – Sabbatical Leaves**

A motion was made by Regent Lee Scheben and seconded by Regent Dennis Repenning to approve the Presidential Recommendations C-11, sabbatical leaves. Regent Richard Boyce abstained from the vote. **(Motion carried)**

D. **Executive Session:**

Regent Terry Mann seconded Regent Nathaniel Smith's motion to enter into executive session pursuant to KRS 61.810(1)(C). **(Motion carried)**

No other matters were discussed. No final action was taken.

At 2:35 p.m., Regent Virginia Fox seconded Regent Andrá Ward's motion to adjourn. **(Motion carried)**

Wendy J. Reek
Senior Administrative Assistant
Office of the President

Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Virginia Fox, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on January 13, 2016, and that such matters are still in force and effect.

Virginia G. Fox
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, March 15, 2016 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, March 16, 2016 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1.	Steely Library	(Ott Rowlands, Almquist)
2.	Emergency Preparedness	(Hodges Moore, Kachurek, Gaffin, Martin, Moon, Zaidi)
3.	CINSAM	(Ott Rowlands, Frank, Kulkarni)
4.	FUEL	(Ott Rowlands, Reed, Taylor)

Board Lunch
NKU, Student Union 108 – March 16, 2016 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, March 16, 2016 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of January 13, 2016, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1.	Facilities Management Report	(Hodges Moore)
2.	Research/Grants/Contracts Report (December 1, 2015 – January 31, 2016)	(Ott Rowlands)
3.	Fundraising Report (July 1, 2015 – January 31, 2016)	(Gentry)
4.	Quarterly Financial Report	(Hodges Moore)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Major Gifts Acceptance
4. *Faculty Emeritus Status Appointments
5. *Student Government Association Constitution Revisions
6. *General Receipt Bond Resolution
7. *Organizational Chart Update
8. *Posthumous Degree (Young)
9. Academic Affairs Reappointment, Promotion, and Tenure

2:00 p.m.

D. Executive Session

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, March 14, 2016).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
March 16, 2016

Regent Nathaniel Smith, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, March 16, 2016.

Roll Call: Richard L. Boyce, Virginia G. Fox, Katherine Hahnel, Terry Mann, Dennis Repenning, W. Lee Scheben, Arnie D. Slaughter, Nathaniel Smith, Elizabeth Thompson, Andrá Ward. Absent: Richard Boehne.

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Wendy Peek, Sue Hodges Moore, Sue Ott Rowlands, Eric Gentry, Sara Sidebottom, Kathleen Roberts, Kim Turner, Ken Bothof, Kim Scranage, Mike Hales, Katherine Frank, Greg Martin, Cynthia Reed, Kevin Kirby, Dale Scalise-Smith, Jeff Standen, Tracy Insko, Chris Bowling, Bill Farrow, Austin Beach, Kathy Stewart, Sara Kelley, Amanda Nageleisen, Mary Paula Schuh, Russ Kerdolff, Allen Cole, Leah Stewart, Syed Zaidi, Jeremy Webster, Idna Corbett, Bob Dreihaus.

Regent Dennis Repenning seconded Regent Terry Mann's motion to approve the minutes of the January 13, 2016 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Reappointment, Promotion, and Tenure

In just a few moments, I will ask you to approve my recommendations regarding the reappointment, promotion and tenure of several of our outstanding faculty members. I'd like to recognize these faculty members who I recommend for tenure and promotion. As you know, this is a significant achievement. I'd like to extend my congratulations to:

- Dr. Erin Strome, Biological Sciences
- Dr. Lindsey Walters, Biological Sciences
- Dr. Andrea Gazzaniga, English
- Dr. Brian Hackett, History and Geography
- Mr. Michael Hatton, School of the Arts
- Mr. Matthew Albritton, School of the Arts
- Dr. Kajsa Larson, World Language and Literatures
- Dr. Jim Allen, Counseling, Social Work and Leadership
- Dr. Vanessa Hunn, Counseling, Social Work and Leadership
- Dr. Tara McLendon, Counseling, Social Work and Leadership
- Dr. Erin Robinson, Nursing
- Dr. Darius Fatemi, Accounting and Business Law
- Dr. Benjamin Baran, Management
- Dr. Bridget Nichols, Marketing, Sports Business and Construction Management

Please join me in celebrating and congratulating these faculty members on their recommendation for tenure.

I'd also like to recognize the outstanding faculty members who are being recommended for promotion to full professor:

- Dr. Kristi Haik, Biological Sciences
- Dr. Keith Walters, Chemistry
- Dr. Emily Detmer-Goebel, English
- Dr. Donelle Dreese, English
- Dr. Janet Bertog, Physics, Geology and Engineering Technology
- Dr. Cecile Marczinski, Psychological Science
- Dr. Kurt Sander, School of the Arts
- Dr. Shawn Faulkner, Teacher Education
- Dr. Teuta Cata, Business Informatics
- Mr. Christopher Strobel, Communication
- Dr. Marius Truta, Computer Science
- Dr. Teressa Elliott, Accounting and Business Law
- Dr. Linda Dynan, Economics and Finance
- Dr. Young Kim, Economics and Finance
- Dr. Greg Martin, Marketing, Sports Business and Construction Management
- Dr. Doris Shaw, Marketing, Sports Business and Construction Management

Chris Smith and Michael Keating

I'd also like to recognize another faculty member, Chris Smith, a photographer from our School of the Arts. Two weeks ago, Mr. Smith and Michael Keating, a former photographer with the *Cincinnati Enquirer* and a partner and friend of our photography program, were featured in *The New York Times*. Several years ago, Mr. Smith and Mr. Keating embarked on a project to photograph high school basketball in the state of Indiana. So far, they have photographed more than 100 high school gymnasiums and traveled more than 10,000 miles. If you have not yet seen the article, I encourage you to look it up. The photographs capture the special spirit of the sport and the culture.

I'd also like to take this opportunity to preview an event that our School of the Arts is hosting in September. Mr. Keating, and the Clyde Day Foundation, have generously sponsored a program featuring Livingston Taylor, James Taylor's brother. Livingston Taylor is an accomplished song writer and musician. He will give a song writing workshop for our students and will perform a benefit concert in Greaves Hall. We'll be sure to share information when more details are available.

ACE Fellow

As many of you know, we are hosting Dr. Jeremy Webster, an American Council on Education Fellow, who is spending the semester with us. Dr. Webster is the Dean of the Honors Tutorial College at Ohio University. It is a great honor to be chosen as an ACE Fellow.

For that reason, I am pleased and proud to inform you that Dr. Doris Shaw, Chair of the Marketing, Sports Business, and Construction Management Department at our University, has been chosen as a 2016-2017 ACE Fellow. Please join me in congratulating Dr. Shaw on this outstanding achievement.

Enrollment Update

Our pre-enrollment admissions reports currently indicate positive trends in new student enrollment for Fall 2016. We are closely monitoring our admit rate and the number of new students who have confirmed their enrollment for the Fall class.

For the Fall 2016 class, our confirmations are up 61 students compared to this same time last year. Our overall yield rate is also up from 15% in Fall 2015 to 22% in Fall 2016.

While international enrollments typically come in a bit later, we are pleased that we are making progress in this domain as well. As of today, international admissions are tracking significantly ahead of last year.

We are also trending up in transfer students. Compared to the same time last year, we are up 2% – or 12 transfer students for the Fall 2016 class.

We are also very pleased that the quality of our freshman class, as measured by ACT score and high school GPA, is also trending above last year's record rates.

Our law enrollments and graduate enrollments are showing positive trends, as well.

Finally, our preliminary Fall 2015 to Spring 2016 retention rate is 89%. That's the second highest Fall to Spring retention rate in our University's history.

I'll continue to keep you informed as we work through this semester and the summer to enroll these students.

Budget Exercise

Over the past several weeks, Mike Hales, Sue Hodges Moore, and I have given several budget presentations to stakeholder groups including Staff Congress, Faculty Senate, and the Student Government Association. This month, we have also held an open forum for faculty and staff to discuss our various budget pressures and to solicit ideas on how to confront those pressures. In these presentations, we have relied on certain assumptions regarding tuition, state appropriation, and enrollment to demonstrate how all of these combined factors impact our overall budget. We

are also asking for the collective input of our faculty and staff. During the budget presentations, we asked for suggestions in three areas: (1) ideas for expense reductions; (2) ideas for revenue strategies related to enrollment and retention, and tuition; and (3) ideas for priorities that should be funded. Our final open forum will be tomorrow morning.

Because of the uncertainty in the state budget and our enrollment, I have also asked each vice president to prepare various budget scenarios and contingencies for a 4% and 6% reduction.

Requests for Proposals – Athletic Training and US 27 Development

In these challenging budget times, we all know the importance of being effective and efficient stewards of the resources entrusted to us. This week, we will issue requests for proposals seeking private companies to partner with us in two areas. The first RFP seeks a partner to provide athletic training services to our student athletes and for Campus Recreation. This arrangement will allow us to reduce our costs, improve the wellbeing of our student-athletes, and to provide more services to students who use our recreation facilities and who participate in intramurals or club sports.

The second RFP is to assist us in developing the property we own on Nunn Drive between US 27 and the BB&T Arena. We are seeking a partner to assist us with the planning, design, building, and financing of a high quality mixed-use development that may include retail, offices, student housing and/or market rate housing, and possibly a hotel. This partner will also assist us in planning, designing, building, and financing new student housing and renewal of our existing student housing. With the right partner, we hope to grow our on campus housing from approximately 2,000 beds today to 3,000 beds by 2026.

I will continue to keep you informed of the results of both of these requests for proposals.

Updating of the Athletics Brand

In Fall 2015, we conducted research with key stakeholders, including students, season ticket holders, faculty, staff, and alumni, regarding their experience with NKU Athletics. One result of this research was the development of visual identity guidelines for athletics. This work complements the visual and verbal identity standards that were established for the University in 2015. The new NKU Athletics guidelines allow us to speak with one voice and to elevate our brand awareness in our community, in the Horizon League, and around the nation. Top level highlights from the guidelines include: tightening of the primary NKU Athletics logo mark and establishing it as the sole mark for NKU Athletics; declaration of a primary and secondary font; development of word marks created for sport-specific use; and detailed descriptions around the use of the word "Norse" and Victor E. Viking's image. The establishment of these visual identity guidelines will not add additional expense to the University, as physical assets will be replaced during their natural life cycle.

Rich Boehne – National Award

Finally, I want to make a few remarks about the absence of Regent Boehne at today's meeting. Rich is dedicated to our University, his alma mater. And he rarely misses a Board meeting. But today, he has a good excuse for his absence – a very good excuse.

This evening, in Washington, D.C., Rich will receive the First Amendment Leadership Award from the Radio Television Digital News Association. This award is given annually to "a business or government leader who has made a significant contribution to the protection of the First Amendment and to freedom of the press." As Chairman, CEO and President of Scripps, Rich is being recognized tonight for having risen "to the top of one of America's most entrepreneurial journalism enterprises by championing a mission-focused culture and by committing the company's resources to supporting diverse views."

At NKU, one of our most fundamental commitments is to have a lasting impact on our students. And we hope that, by virtue of the education that they receive here, our students in turn will have a lasting impact on others whom they serve.

Rich regularly and graciously credits his NKU education for giving him the skills to succeed professionally and for instilling in him the values that have enabled him to live a meaningful life. And it is clearly evident that Rich has had and continues to have a lasting impact on our community, our Commonwealth, our country, and our world.

Although he cannot hear us at this moment, let's give Rich a round of applause so that he knows how grateful we are for his service and how proud we are that he is a graduate of Northern Kentucky University.

Mr. Smith, that concludes my report.

B. Presidential Reports:

1. Facilities Management Report (Senior Vice President Sue Hodges Moore)

a. Health Innovation Center/Founders Hall Renovation
b. Health Innovation Center/Founders Hall Renovation (Chiller Installation)
c. Founders Hall Moves
d. Science Center Air Handler Refurbishment
e. Sustainability
f. Replace Campus Light Poles – Phase 1
g. North Connector Road

2. Research, Grants, and Contracts Report (December 1, 2015 – January 31, 2016) (Provost and Executive Vice President Sue Ott Rowlands)

During the December 1, 2015 through January 31, 2016 time period, 9 grants were awarded. The total amount of money awarded was $432,730. From July 1, 2015 through January 31, 2016, the cumulative total number of grants awarded is 49 totaling $4,273,092.

3. Fundraising Report (July 1, 2015 through January 31, 2016) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2015 through January 31, 2016 totaling $11,798,179 in support of the university.

4. Quarterly Financial Report (July 1, 2015 – December 31, 2015) (Senior Vice President Sue Hodges Moore)

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Virginia Fox and seconded by Regent Richard Boyce to approve the following Presidential Recommendations as listed; C-1 through C-8. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Faculty Appointments:

Dr. Ada Tuna Cenkci, assistant professor in the Department of Political Science, Criminal Justice and Organizational Leadership, effective August 15, 2016; **Ms. Diane Coning,** lecturer (non-tenure track renewable)/advisor in the College of Health Professions, effective January 27, 2016; **Ms. Ellen Hokkanen,** director of recruitment and retention in the Center for Integrative Natural Science and Mathematics, effective December 14, 2015; **Dr. Stacie Jankowski,** assistant professor in the Department of Communication, effective August 15, 2016; **Ms. Amanda Kilmer**, lecturer (non-tenure track renewable) and coordinator in the Department of Political Science, Criminal Justice and Organizational Leadership, effective July 1, 2016; **Dr. Jessica M. Kratzer,** assistant professor in the Department of Communication, effective August 15, 2016; **Ms. Emily LaForge,** lecturer (non-tenure track renewable) and advisor in the College of Health Professions, effective January 11, 2016; **Mr. Jae-Seung Lee**, assistant professor in the Department of Political Science, Criminal Justice and Organizational Leadership, effective August 15, 2016; **Mr. Timothy McCord,** lecturer (non-tenure track renewable) in the Department of Computer Science, effective August 15, 2016; **Ms. Stacey Schaff,** lecturer (non-tenure track renewable) in the College of Education and Human Services Advising Center, effective January 11, 2016; **Ms. Tracy Songer,** assistant professor in the Department of Communication, effective August 15, 2016; **Ms. Cynthia Thomas,** lecturer (non-tenure track renewable) in the Department of Computer Science, effective August 15, 2016.

b. Transitions:

Dr. Shawn Faulkner, from professor and department chair in the Department of Teacher Education to professor in the Department of Teacher Education, effective July 1, 2016.

c. Request For Leave (Paid/Unpaid)

Dr. Shawn Faulkner, professor in the Department of Teacher Education, paid half-time re-entry leave with benefits effective for the 2016-17 academic year.

d. Retirements:

Dr. Barbara Klaw, professor of French in the Department of World Languages and Literatures, effective May 10, 2016.

e. Phased Retirements:

Ms. Andrea Cornuelle, professor in the Department of Allied Health, beginning August 22, 2016 and terminating at the end of academic year 2016-17; **Dr. Diana Duffin Belland,** professor of music in the School of the Arts, beginning August 15, 2016 and terminating on August 15, 2018.

f. Temporary Faculty:

Mr. Daniel Hunter, Physics, Geology & Engineering Technology, Spring 2016 Semester; **Mr. Joseph Hacker,** Department of Kinesiology & Health, Spring 2016 Semester.

2. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between December 2, 2015 and February 16, 2016 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular & Regular to Contract; Departures; Retirees; Administrative/Executive.

3. Major Gifts Acceptance:

The Board of Regents officially accepted contributions totaling **$1,468,530.86** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period December 1, 2015 through January 31, 2016.

4. Faculty Emeritus Status Appointment (Klaw, Proctor, Walker):

The Board of Regents approved the Emeritus status for the following individuals.

Dr. Barbara Klaw, professor of French in the Department of World Languages and Literatures, effective May 10, 2016; **Dr. Russell Proctor II,** professor in the Department of

Communication, effective May 10, 2016; **Dr. Stephen Walker,** professor in the Department of Teacher Education, effective May 18, 2016.

5. Student Government Association Constitution Revisions:

The Board of Regents approved the revisions to the Constitution of the Student Government Association of Northern Kentucky University.

6. General Receipt Bond Resolution:

The Board of Regents adopted the Resolution which provides for the issuance of approximately $49,725,000 in General Receipt Obligations.

7. Organizational Chart Update:

The Board of Regents approved the organizational chart which reflects all NKU Administrative updates through March 16, 2016.

8. Posthumous Degree (Young):

The Board of Regents approved that Kathryn Young receive a posthumous Bachelor of Arts degree in Public Relations during the May, 2016 commencement.

9. <u>Academic Affairs Reappointment, Promotion and Tenure:</u>

A motion was made by Regent Lee Scheben and seconded by Regent Virginia Fox to approve the Presidential Recommendation C-9, Academic Affairs Reappointment, Promotion and Tenure. Regent Richard Boyce abstained from the vote. **(Motion carried)**

D. <u>Executive Session</u>:

Regent Andrá Ward seconded Regent Nathaniel Smith's motion to enter into executive session pursuant to KRS 61.810(1) (b), (c) and (f). **(Motion carried)**

No other matters were discussed. No final action was taken.

At 3:34 p.m., Regent Katherine Hahnel seconded Regent Terry Mann's motion to adjourn. **(Motion carried)**

Wendy J. Peek
Senior Administrative Assistant
Office of the President

Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Virginia Fox, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on March 16, 2016, and that such matters are still in force and effect.

Virginia G. Fox
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, April 26, 2016 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, April 27, 2016 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Celebration of Student Research and Creativity (Ott Rowlands, Curran, Hart)
2. College of Arts & Sciences (Frank, Brewer, Cellio, Detmer-Goebel, Mackin)
3. Tuition and Fees (Hodges Moore, Hales)
4. Data Team (Hodges Moore, Natale)

Board Lunch (Student Awards)
NKU, Student Union 107 – April 27, 2016– 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, April 27, 2016– 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of March 16, 2016, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Report, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Hodges Moore)
2. Research/Grants/Contracts Report (February 1, 2016 – March 30, 2016) (Ott Rowlands)
3. Fundraising Report (July 1, 2015 – March 31, 2016) (Gentry)
4. Quarterly Financial Report (Hodges Moore)
5. Organizational Changes Report (Hodges Moore)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Major Gifts Acceptance
4. *Faculty Emeritus Status Appointments
5. *Faculty Handbook Addition (Clinical Faculty)
6. *Faculty Handbook Addition (Modified Duties)
7. *Faculty Handbook Addition (Professors in Practice)
8. *Faculty Handbook Addition (PT Tenure-Track Appointments)
9. *Faculty Handbook Change (Extension of Probation)
10. *Faculty Handbook Change (New RPT Language)
11. *Chair Handbook Change (Leave for Chairs)
12. *Chair Handbook Change (Promotion and Tenure)
13. *Chair Handbook Change (Workload)
14. *Honorary Doctor of Laws Degree (Gleeson)
15. *Connector Road Water Easement
16. *Staff Emeritus Recommendation
17. *Posthumous Degree (Marksberry)
18. FY 2016-2017 Tuition and Mandatory Fee Rates
19. FY 2016-2017 Schedule of Fees and Service Charges
20. Values and Ethical Responsibilities Statement

D. Board Recommendation

1. Election of Officers

2:00 p.m.

E. Executive Session

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, April 25, 2016).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
April 27, 2016

Regent Nathaniel Smith, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, April 27, 2016.

Roll Call: Richard Boehne, Richard L. Boyce, Virginia G. Fox, Katherine Hahnel, Terry Mann, Dennis Repenning, W. Lee Scheben, Arnie D. Slaughter, Nathaniel Smith, Elizabeth Thompson. Absent: Andrá Ward.

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Wendy Peek, Sue Hodges Moore, Sue Ott Rowlands, Eric Gentry, Sara Sidebottom, Kathleen Roberts, Kim Turner, Kim Scranage, Lori Southwood, Mike Hales, Katherine Frank, Rebecca Porterfield, Cynthia Reed, Dale Scalise-Smith, Jeff Standen, Tracy Insko, Chris Bowling, Bill Farrow, Kathy Stewart, Sara Kelley, Larry Meyer, Melissa Gorbandt, Samantha Langley, Amanda Nageleisen, Mary Paula Schuh, Russ Kerdolff, Allen Cole, Pat Moynahan, Leah Stewart, Syed Zaidi, Janel Bloch, Amy Racke, Dawn Bell Gardiner, Matt Sexton, Leonard Ivy, Kathy Dewberry, Barb Barnes, Sarah Larcade, Dave Bauer, Tim Sofranko, Toni Wice, Steve Meier, Tiffany Budd, Katie Lovold, Kate Murphy

Regent Katherine Hahnel seconded Regent Elizabeth Thompson's motion to approve the minutes of the March 16, 2016 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

As you know, as I mentioned a moment ago at lunch, we will celebrate three commencement ceremonies in two weeks. Our law school commencement on Friday evening, May 13 and our two university commencements on Saturday, May 14. More than 1,600 outstanding students will cross the stage and receive their diploma. You just heard about six of these remarkable students at the student awards luncheon. Now, let me tell you about how our recent graduates are doing.

Our Graduates

For the past four years, we have surveyed graduates approximately six months after their graduation. The results demonstrate the outstanding education students receive at NKU. Some of the findings include:

- Consistently from year-to-year, more than 90% of undergraduate alumni responding to the survey indicated that they are employed or are continuing their education.
- Approximately 81% of undergraduate alumni who responded that they were employed found jobs related, closely related, or somewhat related to their major in 2014-2015, an increase of three percentage points compared 2011-2012. Similarly, 91% of graduate alumni responded in 2014-2015 that they found jobs related to or somewhat related to their major, an increase of five percentage points over 2011-2012 responses.

- Finally, when asked where our alumni are obtaining their graduate degrees, the most common answer was NKU.

These results speak to our efforts to encourage students to take advantage of internships and co-ops, a focus on career development as early as a student's freshman year, and our growing reputation in the region.

Housing Demand

I am pleased to report that we are experiencing unprecedented demand for on-campus housing. Our occupancy rate for this Spring is at the highest level in recent history – 94%, including a 96% occupancy rate in Northern Terrace, our newest residence hall. And we have 455 more completed applications for next Fall than we had at this time last year – and we only have 2,000 beds! We are developing plans to house all of our non-local students. However, some local students who do not complete the application by the priority deadline may not be able to live in the residence halls. This strong demand is an important consideration as we look to partner with a developer to build new housing and to renovate our existing housing.

Celebration of Student Research and Creativity

Two weeks ago, we held our 14th Celebration of Student Research and Creativity. More than 640 students and 118 faculty mentors created posters, gave presentations, or displayed their art. The Celebration has become a signature event on our campus. It reinforces our commitment to student research activities and the strong relationships between students and their faculty mentors. I am grateful to Dr. Zach Hart and Dr. Christine Curran, the co-chairs of this year's Celebration. I also want to express my gratitude to the entire committee: Allen Ellis, Hayley Ericksen, Tyler Gabbard, Melanie Hartzel, Vanessa Hunn, Lisa Jameson, Boni Li, Gisele Loriot-Raymer, Kelly Moffett, KC Russell, Ryan Salzman, Melinda Spong, Andrea Watkins, and Kimberly Wiley. This event takes an effort from our entire campus community. Thank you to everyone who worked so hard to provide such an outstanding opportunity.

Campus Bikes

For many years, our University has been a leader in sustainability efforts. From our building construction practices, to the retrofitting of our lights, to our partnership with TANK and Zip Cars, we have taken a leadership role in reducing our carbon footprint and encouraging sustainability. Last week, we added a new dimension to our sustainability program: a campus bike share. At several places on campus, you'll see racks housing brand new NKU bicycles. Students are able to borrow the bicycles for up to three hours free of charge. We are pleased that a bike share is now part of our ongoing sustainability efforts.

Sam Quinones

Last week, we hosted Sam Quinones, the author of "Dreamland: The True Tale of America's Opiate Epidemic." Last Monday evening, Mr. Quinones spoke to a packed Greaves Hall audience of more than 650 students, faculty, staff, and community leaders. The next day,

Mr. Quinones led a Think Tank, spoke to journalism students, and participated in a series of community events. This was the first major public event associated with our Health Innovation Center. And it was a great success. I look forward to many more events associated with the HIC.

Nathan Smith, Liz Thompson, and Katherine Hahnel

This is the time in the academic year when we celebrate both the end and the beginning. We celebrate our students who are graduating and we look forward to welcoming current and new students back in a few months. We celebrate and thank those who are retiring. Today, we celebrate three Regents whose terms end on June 30th. Today marks the last regularly scheduled meeting for Regents Smith, Thompson, and Hahnel.

For the past year, Katherine Hahnel has served ably as our Student Government Association President and Regent. Regent Hahnel has been a staunch advocate for our students both here at our University and in Frankfort. Katherine, you have set the bar very high for all Student Government presidents. Thank you for your service to our University.

Regent Thompson has served our University as a Regent for six years, including service as the Vice Chair of the Board. Regent Thompson has been an outstanding supporter of our University and has dedicated her expertise to serve our students. Liz, many thanks.

Regent Smith ends his term on the Board, and also his term as Chair. Regent Smith has been a fixture on our campus since 1991 when he arrived as a freshman. Nathan bleeds black and gold, and I know that he will continue to be one of our biggest advocates and supporters.

Please join me in thanking Regents Hahnel, Thompson, and Smith for their dedicated service to our University.

Sara Sidebottom

While this isn't her last day, today marks the last official Board of Regents meeting for Sara Sidebottom. Sara has served our University for 20 years, 16 of which have been as our General Counsel. Despite often dealing with difficult issues, Sara always maintains a positive spirit and a sense of humor. I will miss her pride, her experience, and her good judgment. But I won't miss her far too frequent, unscheduled visits to my office at 5 p.m. on a Friday! Please join me in thanking and recognizing Sara Sidebottom.

B. Presidential Reports:

1. Facilities Management Report (Senior Vice President Sue Hodges Moore)

a. Health Innovation Center/Founders Hall Renovation
b. Health Innovation Center/Founders Hall Renovation (Chiller Installation)
c. Science Center – Capital Renewal Investments
d. Replace Campus Light Poles – Phase 1

e. Regents Hall Repairs
f. Sustainability
g. North Connector Road
h. Fine Arts Roof Repair

2. Research, Grants, and Contracts Report (February 1, 2016 – March 30, 2016) (Provost and Executive Vice President Sue Ott Rowlands)

During the February 1, 2016 through March 30, 2016 time period, 9 grants were awarded. The total amount of money awarded was $1,025,494. From July 1, 2015 through January 31, 2016, the cumulative total number of grants awarded is 58 totaling $5,298,586.

3. Fundraising Report (July 1, 2015 through March 31, 2016) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2015 through March 31, 2016 totaling $12,222,424 in support of the university.

4. Quarterly Financial Report (July 1, 2015 – March 31, 2016) (Senior Vice President Sue Hodges Moore)

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

5. Organizational Changes Report (Senior Vice President Sue Hodges Moore)

The organizational changes report reflected that the Steely Library's Learning Resource Collection has been renamed Teacher Resource Center.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Dennis Repenning and seconded by Regent Virginia Fox to approve the following Presidential Recommendations as listed; C-1 through C-17. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Ms. Jill Henry, lecturer and executive director in the Center for Applied Informatics, effective March 14, 2016.

b. Faculty Appointments:

Ms. Mary Kathryn Barton, lecturer (non-tenure track renewable) in the School of the Arts, effective August 15, 2016; **Dr. Rebecca Elkins**, assistant professor in the Department of Kinesiology and Health, effective August 15, 2016; **Dr. Edmund Fenton, Jr.,** professor

(with tenure) in the Department of Accounting and Business Law, effective August 15, 2016; **Ms. Funda Gonulates**, assistant professor in the Department of Teacher Education, effective August 15, 2016; **Ms. Patricia Kappesser**, lecturer (non-tenure track renewable)/coordinator in the Department of Biological Sciences, effective August 15, 2016; **Dr. Katherina Nikzad-Terhune**, assistant professor in the Department of Counseling, Social Work and Leadership, effective August 15, 2016; **Mr. Wiley Piazza**, lecturer (non-tenure track renewable) in the Department of Kinesiology and Health, effective August 15, 2016; **Dr. Chari Ramkumar**, lecturer (non-tenure track renewable) in the Department of Physics, Geology and Engineering Technology, effective August 15, 2016; **Dr. Anthony K. Tsetse**, assistant professor in the Department of Computer Science, effective August 15, 2016.

c. Retirements:

Professor Roger Billings, professor in the Salmon P. Chase College of Law, effective May 17, 2016; **Dr. Cheryl Swayne,** associate professor in the Department of Advanced Nursing Studies, effective August 10, 2015.

d. Departures:

Dr. Patricia Sunderhaus, lecturer in the Department of Advanced Nursing Studies, effective May, 17, 2016; **Dr. Brett Zyromski,** associate professor in the Department of Counseling, Social Work and Leadership, effective May 11, 2016.

2. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between February 17, 2016 and March 29, 2016 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular & Regular to Contract; Departures; Retirees; Administrative/Executive.

3. Major Gifts Acceptance:

The Board of Regents officially accepted contributions totaling **$175,000** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period February 1, 2016 through March 31, 2016.

4. Faculty Emeritus Status Appointment (Arrighi, Forman, England):

The Board of Regents approved the Emeritus status for the following individuals.

Dr. Barbara Arrighi, professor in the Department of Sociology, Anthropology and Philosophy, effective May 15, 2016; **Ms. Sandra Forman,** professor in the School of the Arts, effective May 15, 2016; **Ms. Ana England,** professor in the School of the Arts, effective May 15, 2016.

5. Faculty Handbook Addition (Clinical Faculty):

The Board of Regents approved the Faculty Handbook addition regarding Clinical Faculty.

6. Faculty Handbook Addition (Modified Duties):

The Board of Regents approved the Faculty Handbook addition regarding Modified Duties.

7. Faculty Handbook Addition (Professors in Practice):

The Board of Regents approved the Faculty Handbook addition regarding Professors in Practice.

8. Faculty Handbook Addition (PT Tenure Track Appointments):

The Board of Regents approved the Faculty Handbook addition regarding PT Tenure Track Appointments.

9. Faculty Handbook Change (Extension of Probation):

The Board of Regents approved the Faculty Handbook change regarding Extension of Probation.

10. Faculty Handbook Change (New RPT Language):

The Board of Regents approved the Faculty Handbook change regarding New RPT Language.

11. Chair Handbook Change (Leave for Chairs):

The Board of Regents approved the Chair Handbook change regarding Leave for Chairs.

12. Chair Handbook Change (Promotion and Tenure):

The Board of Regents approved the Chair Handbook change regarding Promotion and Tenure.

13. Chair Handbook Change (Workload):

The Board of Regents approved the Chair Handbook change regarding Workload.

14. Honorary Doctor of Laws Degree (Gleeson):

The Board of Regents approved that the Honorable John Gleeson receive an Honorary Doctor of Laws Degree.

15. Connector Road Water Easement:

The Board of Regents granted an easement to the Northern Kentucky Water District for construction of a water line in the area between Campbell Hall and the new Connector Road.

16. Staff Emeritus Recommendation:

The Board of Regents approved the recommendation from Staff Congress to create a Staff Emeritus appointment.

17. Posthumous Degree (Marksberry):

The Board of Regents approved that Hope Marksberry receive a posthumous Bachelor of Arts in Integrative Studies.

18. FY 2016-2017 Tuition and Mandatory Fee Rates:
19. FY 2016-2017 Schedule of Fees and Service Charges:

Regent Richard Boehne seconded Regent Terry Mann's motion to accept all the recommendations related to tuition and mandatory fees, and 2016-2017 fee and service charges, with the exception of the following: undergraduate tuition increase at 3%, housing increase at 3% (net), parking increase at 3%.

The Chair called for a roll call vote. Richard Boehne-Yes, Richard Boyce-No, Virginia Fox-Yes, Katherine Hahnel-Yes, Terry Mann-Yes, Dennis Repenning-No, Lee Scheben-Yes, Arnie Slaughter-Yes, Nathaniel Smith-Yes, Elizabeth Thompson-Yes, Andrá Ward – Absent **(Motion carried)**

20. Values and Ethical Responsibilities Statement:

Regent Richard Boehne seconded Regent Virginia Fox's motion to accept the Values and Ethical Responsibilities Statement with the following changes:

(1) Strike the last paragraph under the section heading "Preserve Academic Freedom and Meet Academic Responsibilities;

(2) Evaluate possible revisions to this policy, including issues and consistency of statements related to freedom of speech and academic freedom; and,

(3) Direct the administration to work with the Faculty Senate, Staff Congress, and Student Government Association to develop a revised "Policy Creation, Revision, and Communication" policy to recognize the role of the Faculty Senate, Staff Congress, and when appropriate, the Student Government Association.

The Chair called for a roll call vote. Richard Boehne-Yes, Richard Boyce-No, Virginia Fox-Yes, Katherine Hahnel-Yes, Terry Mann-Yes, Dennis Repenning-Yes, Lee Scheben-Yes,

Repenning-Yes, Lee Scheben-Yes, Arnie Slaughter-Yes, Nathaniel Smith-Yes, Elizabeth Thompson-Yes, Andrá Ward – Absent **(Motion carried)**

D. Board Recommendation:

A motion was made by Regent Terry Mann and seconded by Regent Katherine Hahnel to approve the following Board of Regents officers for 2016-2017: Chair, Regent Richard Boehne; Vice Chair, Regent Lee Scheben; Secretary, Regent Virginia Fox. **(Motion carried)**

E. Executive Session:

Regent Richard Boyce seconded Regent Nathaniel Smith's motion to enter into executive session pursuant to KRS 61.810(1) (C) and KRS 61.810(1) (F). **(Motion carried)**

No other matters were discussed. No final action was taken.

At 3:40 p.m., Regent Richard Boyce seconded Regent Terry Mann's motion to adjourn. **(Motion carried)**

Wendy J. Peek
Senior Administrative Assistant
Office of the President

Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Virginia Fox, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on April 27, 2016, and that such matters are still in force and effect.

Virginia G. Fox
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Board of Regents Meeting
NKU, Student Union 104 – Wednesday, May 18, 2016– 3:00 p.m.

3:00 p.m.

- Call to Order
- Roll Call

A. Presidential Recommendations:

1. FY 2016-2017 Budget Approval
2. Values and Ethical Responsibilities Statement Recommendation

B. Executive Session

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
May 18, 2016

Regent Nathaniel Smith, Chair, called the special meeting of the Board of Regents to order at 3:00 pm, Wednesday, May 18, 2016.

Roll Call: Richard Boehne, Richard L. Boyce, Virginia G. Fox, Katherine Hahnel, Terry Mann, Dennis Repenning, W. Lee Scheben, Arnie D. Slaughter, Nathaniel Smith, Elizabeth Thompson, Andrá Ward. Absent: W. Lee Scheben.

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Wendy Peek, Sue Hodges Moore, Sue Ott Rowlands, Eric Gentry, Kathleen Roberts, Kim Turner, Ken Bothof, Kim Scranage, Lori Southwood, Mike Hales, Rebecca Porterfield, Cynthia Reed, Dale Scalise-Smith, Kevin Kirby, Jeff Standen, Arne Almquist, Ken Jones, Tracy Insko, Chris Bowling, Bill Farrow, Josh Schmidt, Kathy Stewart, Sara Kelley, Samantha Langley, Amanda Nageleisen, Joel Robinson, Russ Kerdolff, Allen Cole, Adam Caswell, Pat Moynahan, Leah Stewart, Janel Bloch, Amy Racke, Dawn Bell Gardiner, Cathy Dewberry, Steve Meier, Tiffany Budd, Kate Murphy, Bob Driehaus, Ron Fischer, Judy Voelker, Brandon Thomas, Sara Conwell, Kristi Haik, Keith Walters, Justin Duncan, Kim McCoy, Natalie Nickol, Kelly Martin, Karen Mefford, Dannie Moore, Beth Sweeney, Gail Mackin, Alar Lipping, Carole Ziegler, Eileen Baker, Jane Bratton, Francois LeRoy, Samba Dieng, Kim Graboskey, Marilyn Taylor, Lisa Brinkman, Brandon Billiter, Jim Woll, Kyle Jacobson, Lauren Franzen, Emily Sumner, Lori Thaxton, Camryn Whitaker, Ryan Padgett, Jeanne Pettit, Vickie Natale, Jeanne Papania, Mary Beth Ward, Vicki Cooper, Rachel Green, Ann James, Victoria Suttmiller, Clare Mearns, Bridget Mearns, Tina Altenhofen, Sue Murphy, Mark Wasicsko, Randy Little, Ben Martz, Kristen Lovett, Collette Thompson, Tracy Brate, Kim Sanders, Charita Brewer, Kenneth Katkin, Amanda Peters, Lori Wright.

A. Presidential Recommendations:

1. FY 2016-2017 Budget Approval:

Regent Richard Boehne seconded Regent Terry Mann's motion to approve the FY 2016-2017 Budget. **(Motion carried)**

The Board of Regents approved the resolution establishing and approving the Fiscal Year 2016-17 Annual Unrestricted Operating Budget for Northern Kentucky University.

2. Values and Ethical Responsibilities Statement:

Regent Richard Boehne seconded Regent Virginia Fox's motion to approve the Values and Ethical Responsibilities Statement. **(Motion carried)**

The Board of Regents approved the proposed addendum to the Values and Ethical Responsibilities Statement.

E. Executive Session:

Regent Andrá Ward seconded Regent Nathaniel Smith's motion to enter into executive session pursuant to KRS 61.810(1) (C) and KRS 61.810(1) (F). **(Motion carried)**

No other matters were discussed. No final action was taken.

At 4:40 p.m., Regent Katherine Hahnel seconded Regent Terry Mann's motion to adjourn. **(Motion carried)**

Wendy J. Peek
Senior Administrative Assistant
Office of the President

Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Virginia Fox, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on May 18, 2016, and that such matters are still in force and effect.

Virginia G. Fox
Secretary of the Board of Regents

2016 Board of Regents/Foundation Executive Committee Joint Dinner and Campaign Presentation
July 19, 2016
5:00 pm | SU 108

2016 Board of Regents Retreat Agenda
July 20, 2016
Scripps Center Conference Room
312 Walnut Street, 28th Floor – Cincinnati, Ohio

Time	Event	Facilitator/s
8:30-9:00 a.m.	Breakfast Buffet	
9:00-9:05 a.m.	Welcome/Introductions	Rich Boehne
9:05-10:15 a.m.	Executive Dashboard Update	Sue Hodges Moore Vickie Natale
10:15-10:30 a.m.	Break	
10:30-12:00 p.m.	Enrollment Management Plan	Sue Ott Rowlands Kimberly Scranage Idna Corbett
12:00-12:45 p.m.	Lunch	
1:00-1:15 p.m.	Meeting*	Rich Boehne
1:15-2:15 p.m.	Board Self-Assessment/ Board Focus for 2016-2017	Rich Boehne
2:15-3:00 p.m.	Review of 15-16 & Goals for 16-17	Geoff Mearns
3:00-3:15 p.m.	Break	
3:15-4:00 p.m.	Evaluation of the President	Rich Boehne
4:00 p.m.	Reception with Scripps Leadership and NKU Alumni	

*Swearing in of new Board member.

Board of Regents Retreat
Northern Kentucky University
Scripps Center, Cincinnati, Ohio
July 20, 2016

Regent Richard Boehne, Chair, called the Board of Regents Retreat to order at 1:00 pm, Wednesday, July 20, 2016.

Roll Call: Richard A. Boehne, Richard L. Boyce, Virginia G. Fox, Terry L. Mann, Dennis Repenning, W. Lee Scheben, Arnie D. Slaughter, Nathaniel G. Smith, Andrá Ward, John William Weber.

Other Attendees: Geoffrey Mearns, Katie Herschede

Oath of Office:

Regent Richard Boehne performed the oath of office for the newly elected Regent: John William Weber. The newly elected Regent swore to uphold all stipulations of the oath and faithfully execute, to the best of his ability, the duties of Regent of Northern Kentucky University according to law.

Board Self-Assessment:

Regent Richard Boehne led the Board in its annual self-assessment.

Board Focus for 2016-2017:

The Board identified several areas of focus for the coming year including:

Enrollment and Retention
Budget
Cutting Edge Teaching and Learning Strategies
Inclusive Excellence

Review of 2015-2016 & Goals for 2016-2017:

President Mearns reviewed activities and achievements related to strategic plan implementation, the health innovation center, a state funding model, marketing and communications, development, and athletics.

Presidential Compensation:

The Board unanimously approved Regent Richard Boehne's recommendation to direct President Mearns' annual $25,000 bonus to the Mearns/Proud Scholarship Fund in the Northern Kentucky University Foundation as requested by President Mearns. **(Motion carried)**

At 4:15 p.m., Regent Andrá R. Ward seconded Regent John William Weber's motion to adjourn. **(Motion carried)**

Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Virginia G. Fox, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the retreat held on July 20, 2016, and that such matters are still in force and effect.

Virginia G. Fox
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Wednesday, September 7, 2016 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Thursday, September 8, 2016 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1.	College of Education and Human Services	(Ott Rowlands, Reed) (Bacevich, Gadenberger, Krebs, Resing, Sherry, Sintos Coloma)
2.	Student Affairs	(Nadler, D.Calderon, Pena, Loza, Nunez)
3.	College of Health Professions	(Ott Rowlands, Scalise-Smith, Davidson, Patten, Fieler, Cooney)
4.	Heath Innovation Center	(Mearns)

Health Innovation Center Topping Out Ceremony
Health Innovations Center Building Site – September 8, 2016 – 11:30 a.m.

Board Lunch
NKU, Student Union 109 – September 8, 2016– 12:15 p.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Thursday, September 8, 2016– 1:00 p.m.

1:00 p.m.

- Call to Order
- Swearing in of New Regents
- Roll Call
- Approval of April 27, 2016, Board Minutes
- Approval of May 18, 2016, Board Minutes
- Approval of July 20, 2016, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1.	Facilities Management Report	(Hodges Moore)
2.	Research/Grants/Contracts Report (April 1, 2016 – June 30, 2016)	(Ott Rowlands)
3.	Research/Grants/Contracts Report (July 1, 2016 – July 31, 2016)	(Ott Rowlands)
4.	Fundraising Report (July 1, 2015 – June 30, 2016)	(Gentry)
5.	Organizational Changes Report	(Hodges Moore)
6.	NKU/NKUF Partnership	(Gentry)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Major Gifts Acceptance
4. *Naming Recommendations (Allen, Lorenz, Widmer, Hendon, Sidya, Turner, Cordray)
5. *Faculty Emeritus Status Appointments (Bishop, Filaseta, Hastings, Pearce)
6. *New Graduate Certificate Program – Emergency Management
7. *Nurse Anesthesia Program Schedule of Tuition and Fees
8. *Organizational Chart Update

2:00 p.m.

D. Executive Session

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Tuesday, September 6, 2016).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
September 8, 2016

Regent Richard Boehne, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, September 8, 2016.

Roll Call: Richard Boehne, Richard L. Boyce, Normand Desmarais, Virginia G. Fox, Terry Mann, Dennis Repenning, W. Lee Scheben, Gregory Shumate, Arnie D. Slaughter, John William Weber, Andrá Ward.

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Wendy Peek, Tammy Knochelmann, Sue Hodges Moore, Sue Ott Rowlands, Eric Gentry, Joan Gates, Kathleen Roberts, Dan Nadler, Ken Bothof, Kim Scranage, Lori Southwood, Mike Hales, Diana McGill, Rebecca Porterfield, Cynthia Reed, Dale Scalise-Smith, Jeff Standen, Tracy Insko, Chris Bowling, Bill Farrow, Sam Reynolds, Kathy Stewart, Sara Kelley, Larry Meyer, Melissa Gorbandt, Samantha Langley, Amanda Nageleisen, Mary Paula Schuh, Russ Kerdolff, Allen Cole, Christian Gamm, Cathy Dewberry, McKensie Manley, Syed Zaidi, Marilou Singleton, Toni Wice, Ricky Williams, Julie Dials, Michelle McMullen, Lori Thaxton, Erica Bolenbaugh, Lori Cox, Mark Hansel, Samantha Pearson, Lucas Walsh, Justin Duncan, Tara Widener, Jey Marks, Jim Nilson, Vickie Natale, Karen Zerhusen Kruer, Barry Kienzle, Alex Lytle, Brent Donaldson, Jayna Barker, Brooke Schievik, Jeff Strunk, Kate Murphy

Oath of Office: Regent Richard Boehne performed the oath of office for Regent Normand Desmarais, Regent Gregory Shumate, and Regent John William Weber. Regent Desmarais, Regent Shumate, and Regent Weber swore to uphold all stipulations of the oath and faithfully execute, to the best of their ability, the duties of Regent of Northern Kentucky University according to law.

Regent Andrá Ward seconded Regent Terry Mann's motion to approve the minutes of the April 27, 2016, May 18, 2016, and July 20, 2016 Board of Regents meetings. **(Motion carried)**

Presidential Comments:

Welcome
Please join me in welcoming two new people to our University and a dedicated faculty member to her new role as Interim Dean of the College of Arts and Sciences.

New to NKU are Dan Nadler and Joan Gates. Dan is our new Vice President for Student Affairs. He joins NKU from Eastern Illinois University. Joan is our new Vice President for Legal Affairs and General Counsel. Joan joins us from Cincinnati Children's Hospital Medical Center, and she is a graduate of our Chase College of Law.

Joining us in a new position is Diana McGill, Interim Dean of the College of Arts and Sciences. Diana is an outstanding long-time faculty member and past chair of the Department of

Chemistry. She is also a graduate of NKU. Diana is respected and admired for her dedication and personal attention to our students. You may have seen Diana, and her husband Tom, at our basketball games and soccer matches. Diana and Tom are big Norse fans, and they are generous donors to our University.

Please join me in recognizing Dan, Joan, and Diana.

Status of the Reduction in Force

At the April Budget Presentation, I announced that, in order to balance the budget, it would be necessary to have a reduction in force. Last Spring, we anticipated that nearly 100 staff positions would be affected, including approximately 36 filled positions. At that time, I committed to take all reasonable and appropriate actions to minimize the impact on our existing staff.

Last week, the final employees who were affected by the reduction in force were notified. In total, 35 employees were impacted. Of the 35 employees, eight staff employees are now employed by a University partner. Four employees retired. Four employees were successful in being placed in other open positions at NKU. Two individuals voluntarily resigned. In the end, 17 employees were not able to be placed in other positions with the University.

While I know this result is difficult for those 17 individuals and their families, I am grateful to Lori Southwood and her human resources team for helping us to reduce the total impact on our staff.

Also consistent with our commitment to minimize the impact on our staff, we are piloting a voluntary reduction in work schedule program in the College of Arts and Sciences. Nine employees in the college have been notified that they are eligible. Under this program, eligible employees can voluntarily reduce their workload from the standard 37.5 hours per week to a lesser amount. A voluntary reduction of working hours was just one of the many good suggestions that we heard from our faculty and staff when we had open forums on the budget.

Flyer

On Tuesday, I learned that a flyer with racial themes was posted in a location on our campus. The flyer was posted by an unknown person, and the organization named on the flyer is not an organization that is known to or recognized by the University. The events promoted by the flyer were never held on our campus. And, of course, the flyer was not authorized by the University.

On Tuesday evening, a peaceful protest was organized and conducted by our students. I attended the event, along with several other administrators, faculty, and staff. At the event, I expressed my respect and appreciation for the constructive response by our students, and I reiterated my personal commitment to maintaining and building a campus community that welcomes diversity and values inclusive excellence.

The Dean of Students' office is actively engaged in an ongoing dialogue with our students. And we will continue to spread a message of equality, inclusion, and empowerment on our campus.

Sexual-Based Violence

Our commitment to the safety and welfare of our students is a paramount issue. We have never compromised on the safety and welfare of our students, and we never will.

As you have likely seen, there has been a significant amount of national media attention about sexual-based violence on college campuses and at off campus events involving college students. I am committed to eliminating sexual-based violence, and all violence, on our campus and in our community. To support this commitment, we have excellent staff who oversee all programs and policies related to sexual misconduct on our campus.

Gabby Maloney leads our Norse Violence Prevention Center. Gabby serves students who have been victims of violence, and she also works diligently to prevent violence on our campus and in our community. Gabby and her colleagues host a variety of programs and events that are all aimed at reducing violence. For example, the Norse Violence Prevention Center has or will host the following events on campus this Fall: Step In Speak Up Against Sexual Violence; Let's talk CONSENT training; Lean on Me: an overview on sexual and dating violence and how to support survivors; conversations about healthy masculinity; and Green Dot training. These are just a few programs and events.

Ann James, our Senior Associate Dean for Student Conduct and Rights, works to make sure that we have fair and compassionate disciplinary processes and that the rights of our students are protected.

Kathleen Roberts, as our Title IX officer, helps to facilitate conversations about sexual-based violence and the ways that we can all be involved in stopping violence.

And just a moment ago, I introduced Joan Gates. Joan and her predecessor, Sara Sidebottom, together with our Associate General Counsel Sara Kelley, work to ensure that our processes are fair, consistent, and uphold our high ethical and legal responsibilities.

As a result of the efforts of these individuals, and our entire campus community, we have a safe campus. In fact, for two years in a row, a national study has concluded that our campus is one of the safest in the country. And the number and percentage of reported incidents on our campus are below the national average and less than some of the other institutions in our region. That's very good. But it's not good enough. Through education and training, our goal is to eliminate sexual-based violence on our campus.

Therefore, after consulting with Rich Boehne, our Board Chair, I have directed our legal counsel to engage Dinsmore, a major law firm in this region, to conduct an independent and comprehensive assessment of our policies and procedures related to sexual-based violence. We believe that our policies and practices comply with federal and state law. But because of our commitment to the safety and welfare of our students, I believe that we should continue to identify ways to improve – to exceed the requirements of the law. When this work is complete, I will share the principal findings and recommendations with the Board of Regents and the campus community.

What I have just described is my professional responsibility. But this issue is also personal.

I have four daughters between the ages of 24 and 18. Three of my daughters presently live on a university campus. And one of these three is a student at NKU, and she lives only a few hundred yards from where I sit right now.

I know that Gabby and Ann and Kathleen and Joan and Sara share my professional and personal commitments – a commitment to treat victims with compassion and respect, and a commitment to end sexual-based violence on our campus.

But you may have read in the media some stories that suggest that we are indifferent to the plight of such victims or that we are trying to hide the truth about these issues on our campus. These articles have been promoted by a lawyer who would prefer to litigate his case in the media, as opposed to have the claims fairly adjudicated by an experienced federal judge. This lawyer knows that we cannot disclose information that is protected by federal student privacy laws, even when those facts would support the University's legal position.

We will continue to respect the privacy rights of our students, even when it is tempting to disclose that information in order to refute an unfounded claim or to respond to unfair stories in the media.

The media accounts that this lawyer has orchestrated are not merely annoying. They are insulting. They unfairly damage the reputations of the women and men who volunteer to serve on our Board of Regents.

They unfairly damage the reputations of the men and women who founded and built the University.

They distract and threaten to demoralize our faculty and staff, who are dedicated to the success and safety of our students.

And they undermine the justifiable pride that more than 50,000 alumni and 14,000 students have in our University.

But, ladies and gentlemen, that is the price that we must pay to respect the integrity of the judicial process, and that is the price that we must pay to honor and defend the privacy rights of our students.

Allow me to end where I began. Our commitment to the safety and welfare of our students is paramount. We have never compromised on the safety and welfare of our students, and we never will.

Enrollment

As you know, the competition for new student enrollment in our region has been significant, but our strategic investments in enrollment are yielding results. The quality of our freshman class continues to improve. This Fall, based on our preliminary data, our incoming freshman class has

a median ACT score of 24 and a median high school GPA of 3.5. Five years ago, the median ACT score was 22. Ten years ago, it was 21.2. To go from 21.2 to 24 in just one decade is remarkable. It is a reflection of the quality of our faculty and our academic programs, and it is the product of our effective recruitment efforts.

This year, we have also enrolled more new international students. That number has been in flux in recent years, but this Fall we will welcome more than 80 freshmen from more than 30 countries. When you include returning and exchange students, we have students from more than 70 countries on our campus this year.
This Fall we also saw an increase in new graduate students. This is very positive news. As you may recall, the number of new graduate students at NKU has declined the last several years. I am grateful to Christian Gamm and the directors of all of our graduate programs for their successful efforts to increase graduate student enrollment.

We also continue to see measurable improvement in our retention rates. I am proud to report that, based on our projected data for this Fall, our first-to-second-year retention rate will increase by more than two percentage points this year. This Fall, we anticipate our retention rate will be 72%. In 2010, it was 66%. That's a six percentage point increase in just six years.

Athletics Update
I am pleased to share that our transition to Division I is now complete. We have been formally approved as active Division I status by the NCAA, and our student-athletes can now compete for NCAA championships. I'd like to thank our outstanding student-athletes, Ken Bothof and his staff, the members of the Institutional Performance Program Committee, the Board of Regents for your steadfast support, and our loyal fans. We have much to be proud of and much to look forward to.

Speaking of looking forward to good things, I hope you'll join us for our "Night with the Norse Gala" on October 8 at Great American Ballpark. The event is a celebration of our successful transition to NCAA Division I, and it is an opportunity for our fans from across the region to learn more about our outstanding teams and our student athletes.

Katie Herschede
Today marks Katie Herschede's last day at our University – her University – and her last official Board of Regents meeting. Katie has served our University for 10 years, the last six as the Executive Assistant to the President and Secretary to the Board. Prior to joining the President's Office team, she was the Director of Government and Community Relations.

Katie's legacy on our campus goes back much further than that. As an undergraduate, she was a strong advocate for her fellow students, representing them in various ways, including leadership of our Student Government Association. As SGA president, Katie led the effort to secure funding for our Student Union when the state legislature would not fund the project.

Katie is a proud NKU alumna. She earned her bachelor's degree in business management. And, in May 2015, she received her doctorate degree in educational leadership from NKU.

I will miss her pride, her knowledge and her experience, and her good judgment. Like so many of our graduates, she has given much back to our University and to our community. We all have benefited from Katie's abiding affection for NKU. She has had a lasting impact.

Please join me in thanking Katie for her dedicated service to our University.

B. Presidential Reports:

1. Facilities Management Report (Senior Vice President Sue Hodges Moore)

a. Health Innovation Center/Founders Hall Renovation
b. Callahan Hall Loading Dock Replacement
c. Student Account Services and Vestibule Renovation
d. Women's Volleyball Locker Room Renovation
e. Replace Campus Light Poles – Phase 1
f. Regents Hall Repairs
g. Power/Central Plant Roof
h. High Voltage Loop Switch
i. Lucas Administrative Center Sealing and Caulking
j. Education at Work
k. Parking Lot Restoration
l. Sustainability
m. North Connector Road

2. Research, Grants, and Contracts Report (April 1, 2016 – June 30, 2016) (Provost and Executive Vice President Sue Ott Rowlands)

During the April 1, 2016 – June 30, 2016 time period, 31 grants were awarded. The total amount of money awarded was $1,809,181. For Fiscal Year 2015-16, the cumulative total number of grants awarded is 89 totaling $7,107,768.

3. Research, Grants, and Contracts Report (July 1, 2016 – July 31, 2016) (Provost and Executive Vice President Sue Ott Rowlands)

During the July 2016 time period, 16 grants were awarded. The total amount of money awarded was $1,054,645.

4. Fundraising Report (July 1, 2015 through June 30, 2016) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2015 through June 30, 2016 totaling $13,176,425in support of the university.

5. Organizational Changes Report (Senior Vice President Sue Hodges Moore)

The organizational changes report reflected the following:

Administration and Finance

The University Police will now report to the Division of Student Affairs. Facilities Management Department implemented a new organizational structure to better align operational functions with strategic priorities.

Academic Affairs

The Grant County Center now reports to the Associate Provost for Learning Sciences & Technology and Dean of the Library. The Center for the Environmental Restoration now reports to Graduate Education, Research and Outreach instead of to Research, Grants and Contracts. First Year Programs will now report to Vice Provost for Undergraduate Academic Affairs. The Learning Assistance Center has changed its name to Learning PLUS. Online Education will become a part of CITE and its staff will report to Dr. Arne Almquist, Associate Provost for Learning Sciences & Technologies and Dean of the Library. The PACE Program will report to Dr. Idna Corbett, Vice Provost for Undergraduate Academic Affairs, and PACE will become an initiative of Undergraduate Academic Affairs. A new organizational unit, Enrollment and Financial Assistance, was created to provide for accurate administrative oversight of the Office of Admissions, Student Financial Assistance, and Transfer Services as it relates to financial and personnel issues within SAP. The Assistant Vice President of Enrollment and Financial Assistance will be the chief of this organizational unit. The VRS will now report to University Connect and Persist. The Director of UCAP will oversee all administrative processes and provide leadership over the VRS.

Student Affairs

The Vice President and Dean of Students position has changed to Vice President for Student Affairs. The Assistant Vice President for Student Engagement and Business Operations will now be named the Assistant Vice President for Student Engagement and Dean of Students. The Assistant Vice President for Student Inclusiveness will now be the Assistant vice President for Student Affairs. The Dean of Students position has been separated from the Vice President for Student Affairs role and is now the Assistant Vice President for Student Engagement and Dean of Students. The AVP for Student Inclusiveness title was changed back to the previous title of Assistant Vice President for Student Affairs. The AVP for Student Affairs now supervises all areas previously reporting to the AVP for Student Inclusiveness (African American Programs and Services, Latino Programs and Services, LGBTQ Programs and Services, Disability Programs and Services, Upward Bound, LEAP, and Educational Talent Search), plus the addition of Health Counseling and Student Wellness, Norse Violence Prevention Center and Ombuds Services.

6. NKU/NKUF Partnership

A discussion was led by Mr. Eric Gentry, Vice President for University Advancement, to move to the NKU/NKUF Partnership model.

A motion was made by Regent Terry Mann and seconded by Regent Virginia Fox that the President come back with a specific recommendation to the Board of Regents about this issue no later than the November Board of Regents meeting. **(Motion carried)**

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Terry Mann and seconded by Regent John William Weber to approve the following Presidential Recommendations as listed; C-1 through C-8. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Dr. John Alberti, from professor in the Department of English in the College of Arts and Sciences to professor in the Department of English and associate dean of the College of Arts and Sciences, effective July 1, 2016; **Mr. Matthew Albritton**, from associate professor in the Visual Arts Program in the School of the Arts to associate professor and program head of the Visual Arts Program in the School of the Arts, effective August 15, 2016; **Dr. Roland Coloma**, professor (with tenure) and chair in the Department of Teacher Education in the College of Education and Human Services, effective July 18, 2016; **Dr. Maureen Doyle**, from associate professor in the Department of Computer Science and associate dean in the College of Informatics to associate professor and interim chair of the Department of Computer Science in the College of Informatics, effective July 1, 2016; **Mr. Michael King**, from associate professor in the Theatre and Dance Program in the School of the Arts to associate professor and program head of the Theatre and Dance Program in the School of the Arts, effective August 15, 2016; **Dr. Mary C. Kishman**, from chair of the Department of Nursing in the College of Health Professions to chair of the Department of Nursing and director of the Nurse Advocacy Center for the Underserved in the College of Health Professions, effective May 26, 2016; **Dr. Gail Mackin**, from professor in the Department of Mathematics and Statistics and associate dean of the College of Arts and Sciences to professor in the Department of Mathematics and Statistics and senior associate dean of the College of Arts and Sciences, effective July 1, 2016; **Dr. Diana McGill**, from professor in the Department of Chemistry in the College of Arts and Sciences to professor in the Department of Chemistry and interim dean of the College of Arts and Sciences, effective July 1, 2016; **Dr. Burke Miller**, from associate professor and acting chair in the Department of History and Geography in the College of Arts and Sciences to associate professor and chair in the Department of History and Geography in the College of Arts and Sciences, effective July 1, 2016; **Dr. Patrick Schultheis**, from professor in the Department of Biological Sciences in the College of Arts and Sciences to professor and interim chair in the Department of Biological Sciences in the College of Arts and Sciences, effective July 1, 2016; **Dr. Tracey Sigler**, from associate professor in the Department of Management in the

Haile/US Bank College of Business to associate professor and chair in the Department of Management in the Haile/US Bank College of Business, effective July 1, 2016; **Dr. Gannon Tagher,** from assistant professor in the Department of Nursing in the College of Health Professions to assistant professor in the Department of Nursing and director of the Bachelor of Science in Nursing Program in the College of Health Professions, effective August 15, 2016; **Dr. David Tataw**, associate professor (with tenure) in the Department of Allied Health and associate dean of the College of Health Professions, effective July 1, 2016; **Mr. Jason Vest**, from assistant professor in the Music Program in the School of the Arts to assistant professor and program head of the Music Program in the School of the Arts, effective August 15, 2016; **Dr. Stephen Yungbluth**, from associate professor in the Department of Communication in the College of Informatics to associate professor in the Department of Communication and associate dean of the College of Informatics, effective July 1, 2016; **Dr. Lynne Zajac,** from assistant professor in the Department of Advanced Nursing Studies in the College of Health Professions to assistant professor in the Department of Advanced Nursing Studies and director of the Doctor of Nursing Program in the College of Health Professions, effective August 15, 2016.

b. **Faculty Appointments:**

Mr. Jason Applegate, assistant professor in the Department of Allied Health in the College of Health Professions, effective August 15, 2016; **Ms. Rachael Banks,** assistant professor in the Visual Arts Program in the School of the Arts, effective August 15, 2016; **Dr. Janaina Siegler Marques Batista**, visiting assistant professor in the Department of Management in the Haile/US Bank College of Business, effective August 15, 2016; **Ms. Maren Carpenter-Fearing,** assistant professor in the Visual Arts Program in the School of the Arts, effective August 15, 2016; **Dr. Rasib Khan**, assistant professor in the Department of Computer Science in the College of Informatics, effective August 15, 2016; **Dr. Gary Ozanich**, associate professor of practice in the Department of Business Informatics in the College of Informatics, effective August 15, 2016; **Dr. William Terry Ray,** clinical professor in the Department of Advanced Nursing Studies in the College of Health Professions, effective July 1, 2016; **Dr. Gang Sun**, assistant professor in the Department of Physics, Geology and Engineering Technology in the College of Arts and Sciences, effective August 15, 2016; **Dr. Kalif Vaughn**, assistant professor in the Department of Psychological Science in the College of Arts and Sciences, effective August 15, 2016; **Mr. David Wilkerson**, lecturer (non-tenure track renewable) in the Department of Counseling, Social Work and Leadership in the College of Education and Human Services, effective August 15, 2016.

c. **Transitions:**

Ms. Paulette Ebert, from lecturer (non-tenure track renewable) in the Learning Assistance Program to lecturer (non-tenure track renewable) in the Department of Mathematics and Statistics in the College of Arts and Sciences, effective August 15, 2016; **Mr. Michael Hatton**, from assistant professor and program head in the Theatre and Dance Program in the School of the Arts to associate professor in the Theatre and Dance Program in the School of the Arts, effective August 15, 2016; **Dr. William Landon,** from chair in the Department of History and Geography in the College of Arts and Sciences to associate professor in the

Department of History and Geography in the College of Arts and Sciences, effective August 15, 2016; **Dr. Eric Knechtges,** from associate professor and program head in the Music Program in the School of the Arts to professor in the Music Program in the School of the Arts, effective August 15, 2016; **Mr. Roger McCombs**, from associate professor and program head in the Visual Arts Program in the School of the Arts to associate professor in the Visual Arts Program in the School of the Arts, effective August 15, 2016; **Ms. Juliane Stockman,** from associate director of Career Services to lecturer (non tenure-track renewable) and associate director of Corporate Engagement in the Center for Applied Informatics in the College of Informatics, effective August 1, 2016; **Dr. Judy Voelker**, from associate professor in the Department of Sociology, Anthropology, and Philosophy and associate dean in the College of Arts and Sciences, to associate professor in Sociology, Anthropology and Philosophy in the College of Arts and Sciences, effective August 15, 2016.

d. Request For Leave, Paid/Unpaid:

Dr. Miriam Kannan, Regent's professor in the Department of Biological Sciences, retraction of unpaid leave request. * *The unpaid leave of absence request approved for Dr. Kannon on September 2, 2015 was contingent upon the successful funding of the research proposal that would have accompanied the Promoteo Scholar Award in Ecuador. The Promoteo grant program is being placed on hold at this time. Therefore, we request the withdrawal of that approval.*

A withdrawal of Dr. Miriam Kannan's previously approved leave of absence, September 2, 2015, has been requested.

e. Retirements:

Dr. Stephen Mueller, professor in the Department of Management in the Haile/US Bank College of Business, effective May 17, 2016; **Dr. Robert Snyder,** professor in the Department of Management in the Haile/US Bank College of Business, effective May 17, 2016.

f. Phased Retirements:

Dr. Tripta Desai, professor in the Department of History and Geography in the College of Arts and Sciences, beginning at the start of the 2016-17 academic year and terminating at the end of the 2017-18 academic year; **Ms. Cindy Foster**, associate professor in the Department of Advanced Nursing Studies in the College of Health Professions, beginning at the start of the 2016-17 academic year and terminating at the end of the 2017-18 academic year; **Dr. Katherine Kurk**, professor in the Department of World Languages and Literatures in the College of Arts and Sciences, beginning at the start of the 2017 spring semester and terminating at the end of the 2018 fall semester; **Dr. Louise Niemer**, associate professor in the Department of Nursing in the College of Health Professions, beginning at the start of the 2017 spring semester and terminating at the end of the 2019 fall semester

g. **Departures:**

Dr. Benjamin Baran, assistant professor of management in the Department of Management in the Haile/US Bank College of Business, effective May 17, 2016; **Dr. Katherine Frank,** dean of the College of Arts and Sciences, effective July 1, 2016; **Dr. Bertie Greer,** professor and chair in the Department of Management in the Haile/US Bank College of Business, effective July 1, 2016; **Dr. Lisa Knapp,** lecturer in the Department of Nursing in the College of Health Professions, effective May 17, 2016; **Dr. Karen Koner**, assistant professor and coordinator of music education in the Music Program in the School of the Arts, effective May 17, 2016; **Dr. Thomas Lambert,** assistant professor of Public Administration in the Department of Political Science, Criminal Justice and Organizational Leadership in the College of Arts and Sciences, effective August 8, 2016; **Dr. Jaime McCauley**, assistant professor in the Department of Sociology, Anthropology and Philosophy in the College of Arts and Sciences, effective July 1, 2016; **Dr. James McGuffee**, associate professor and chair of the Department of Computer Science in the College of Informatics, effective July 1, 2016; **Dr. Kenneth Rhee,** associate professor in the Department of Management in the Haile/US Bank College of Business, effective August 9, 2016; **Dr. Porsha Robinson-Ervin,** assistant professor in the Department of Teacher Education in the College of Education and Human Services, effective July 31, 2016; **Ms. Patricia Sisson**, lecturer II (non-tenure track renewable) in the Department of Mathematics and Statistics in the College of Arts and Sciences, effective July 1, 2016; **Dr. Patricia Sunderhaus,** lecturer in the Department of Advanced Nursing Studies in the College of Health Professions, effective May 17, 2016; **Mr. E. Shane Talbott,** lecturer, Office of the Dean, College of Health Professions, effective July 1, 2016.

h. **Temporary Faculty Appointments:**

Mr. Steve Castellano, Department of Biological Sciences, 2016-2017 Academic Year; **Dr. Beatriz Russell,** Department of Chemistry, 2016-2017 Academic Year; **Ms. Ella Bowling**, CINSAM, 2016-2017 Academic Year; **Mr. Rueben Bullard,** CINSAM, 2016-2017 Academic Year; **Ms. Amber Carter,** CINSAM, 2016-2017 Academic Year; **Dr. Rhonda Davis,** Department of English, 2016-2017 Academic Year; **Dr. Kristi Brock,** Department of English, 2016-2017 Academic Year; **Dr. Stephen Leigh,** Department of English, 2016-2017 Academic Year; **Dr. Connie Bruins,** Department of History and Geography, 2016-2017 Academic Year; **Dr. Mary Bucklin,** Department of History and Geography, 2016-2017 Academic Year; **Mr. Joseph Lombardi,** Department of History and Geography, 2016-2017 Academic Year; **Ms. Kathleen Quinn,** Department of History and Geography, 2016-2017 Academic Year; **Ms. Meredith Smith,** Department of History and Geography, 2016-2017 Academic Year; **Ms. Shelley Cain,** Department of Mathematics and Statistics, 2016-2017 Academic Year; **Mr. Michael Collins,** Department of Mathematics and Statistics, 2016-2017 Academic Year; **Ms. Chrystal Culbertson,** Department of Mathematics and Statistics, 2016-2017 Academic Year; **Ms. Marla Lemmon,** Department of Mathematics and Statistics, 2016-2017 Academic Year; **Ms. Barbara Phillips,** Department of Mathematics and Statistics, 2016-2017 Academic Year; **Ms. Laura Urbanski,** Department of Mathematics and Statistics, 2016-2017 Academic Year; **Dr. Amy Gillingham,** Department of Music, 2016-2017 Academic Year; **Dr. Eleanor Todd,** Department of Music, 2016-2017 Academic

Year; **Dr. David Cain,** Department of Physics, Geology and Engineering Technology, 2016-2017 Academic Year; **Mr. Daniel Hunter,** Department of Physics, Geology and Engineering Technology, 2016-2017 Academic Year; **Dr. Mohsen Keshtvartz,** Department of Physics, Geology and Engineering Technology, 2016-2017 Academic Year; **Mr. Roger Miller,** Department of Physics, Geology and Engineering Technology, 2016-2017 Academic Year; **Mr. Jeffrey Girton,** Department of Political Science, Criminal Justice & Organizational Leadership, 2016-2017 Academic Year; **Dr. Smita Ward,** Department of Psychological Science, 2016-2017 Academic Year; **Dr. Eliah White,** Department of Psychological Science, 2016-2017 Academic Year; **Dr. Gary Blahnik,** Department of Sociology, Anthropology & Philosophy, 2016-2017 Academic Year; **Dr. Nicole Grant,** Department of Sociology, Anthropology & Philosophy, 2016-2017 Academic Year; **Dr. Michael Simonton,** Department of Sociology, Anthropology & Philosophy, 2016-2017 Academic Year; **Ms. Kristina Vise,** Department of Sociology, Anthropology & Philosophy, 2016-2017 Academic Year; **Ms. Christine Jones,** Department of Theater & Dance, 2016-2017 Academic Year; **Mr. Christopher Smith,** Department of Visual Arts, 2016-2017 Academic Year; **Ms. Jee Eun Lee,** Department of Visual Arts, 2016-2017 Academic Year; **Dr. Andrea Fieler,** Department of World Languages & Literatures, 2016-2017 Academic Year; **Ms. Sandra Bazzani Aronne,** Department of World Languages & Literatures, 2016-2017 Academic Year; **Mr. Anthony Burk,** Department of Business Informatics, 2016-2017 Academic Year; **Ms. Sarah Mann,** Department of Business Informatics, 2016-2017 Academic Year; **Ms. Crystal Summers,** Department of Business Informatics, 2016-2017 Academic Year; **Mr. Bradley Metzger,** Department of Business Informatics, 2016-2017 Fiscal Year; **Mr. Nicholas Brummer,** Department of Communication, 2016-2017 Academic Year; **Ms. Michelle Crowley,** Department of Communication, 2016-2017 Academic Year; **Mr. Tom Streeter,** Department of Communication, 2016-2017 Academic Year;

2. **Non-Academic Personnel Actions:**

The following categories of non-academic personnel actions which occurred between March 30, 2016 and, August 9, 2016 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular & Regular to Contract; Departures; Retirees; Administrative/Executive.

3. **Major Gifts Acceptance:**

The Board of Regents officially accepted contributions totaling $414,459.00 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period April 01, 2016 through June 30, 2016.

4. **Naming Recommendations (Allen, Lorenz, Widmer, Hendon, Sidya, Turner, Cordray):**

The Board of Regents approved the following naming actions:

(1) The naming of a scholarship in support of students who demonstrate academic achievement and financial need with preference for students who are members in good

standing of the Delta Zeta Sorority organization "The David and Linda Allen NKU Endowed Scholarship".

(2) The naming of a scholarship in support of students who are pursuing fields of study in the biological sciences or are pre-med track eligible and who demonstrate academic achievement and financial need "The Dr. Robert and Dolores Lorenz Scholarship in Biological Science".

(3) The naming of an endowed scholarship in support of students who opt to further their theoretical and empirical knowledge regarding social justice issues through the pursuit of a minor in social justice studies "The Connie & Firmin Widmer Endowed Scholarship in Social Justice".

(4) The naming of an endowed scholarship in support of students enrolled in Chase College of Law "The Judge Sylvia Sieve Hendon Endowed Scholarship".

(5) The naming of an endowed scholarship in support of undergraduate students from the West African nation of Mauritania with preference given to students who have extracurricular involvement with the Nouakchott Kings Soccer Academy in Nouakchott, Mauritania "The Yacoub A. Sidya Endowed Scholarship".

(6) The naming of a financial aid award in support of NKU students who are also single parents "The Kim Turner Family Award".

(7) The naming of an endowed scholarship in support of Kentucky students pursuing studies in science, technology, engineering or mathematics (STEM) fields "The Lee Cordray Endowed STEM Scholarship".

5. **Faculty Emeritus Status Appointment (Bishop, Filaseta, Hastings, Pearce):**

The Board of Regents approved the Emeritus status for the following individuals.

Dr. Paul Bishop, associate professor in the Department of Psychological Science, effective May 15, 2016; **Dr. John Filaseta**, associate professor in the Department of Physics, Geology and Engineering Technology, effective January 1, 2016; **Dr. Jon Hastings**, professor in the Department of Biological Sciences, effective May 15, 2016; **Dr. Debra Pearce**, professor in the Department of Biological Sciences, effective May 15, 2016.

6. **New Graduate Certificate Program – Emergency Management:**

The Board of Regents approved the Emergency Management (EM) graduate certificate in the College of Arts and Sciences, for initiation at the beginning of the 2016 fall semester.

7. **Nurse Anesthesia Program Schedule of Tuition and Fees:**

The Board of Regents endorsed the tuition and mandatory fee rates for the Nurse Anesthesia Program (NAP). The NAP program starts Spring 2017.

8. Organizational Chart Update:

The Board of Regents approved the organizational chart which reflects all NKU Administrative updates through September 8, 2016.

D. Executive Session:

Regent Gregory Shumate seconded Regent Richard Boehne's motion to enter into executive session pursuant to KRS 61.810(1) (b) and KRS 61.810(1) (c). **(Motion carried)**

No other matters were discussed. No final action was taken.

At 3:57 p.m., Regent Richard Boyce seconded Regent Terry Mann's motion to adjourn. **(Motion carried)**

Wendy J. Peek

Wendy J. Peek
Senior Administrative Assistant
Office of the President

I, Virginia Fox, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on September 8, 2016, and that such matters are still in force and effect.

Virginia G. Fox

Virginia G. Fox
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, November 1, 2016 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, November 2, 2016 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Finance Report (Hales, Kerdolff)
2. Budget Model (Ott Rowlands, Hales)
3. Enrollment and Degree Management (Ott Rowlands, Scranage)
4. Honors College (Ott Rowlands, Corbett)

Board Lunch
NKU, Student Union 108 – Wednesday, November 2, 2016 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, November 2, 2016 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of September 8, 2016, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Report, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Hodges Moore)
2. Research/Grants/Contracts Report (August 1, 2016 – September 30, 2016) (Ott Rowlands)
3. Fundraising Report (July 1, 2016 – September 30, 2016) (Gentry)
4. Annual Financial Report (Hodges Moore)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Major Gifts Acceptance
4. *Naming Recommendation (Trice, Brown, Thomas, Garrigan)
5. *Faculty Emeritus Status Appointments (Kannan, Kurk)
6. *Terminal Degrees
7. *Modification to Campus Master Plan

2:00 p.m.

D. Executive Session

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, October 31, 2016).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
November 2, 2016

Regent Richard Boehne, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, November 2, 2016.

Roll Call: Richard Boehne, Richard L. Boyce, Normand Desmarais, Virginia G. Fox, Terry Mann, Dennis Repenning, W. Lee Scheben, Gregory Shumate, Arnie D. Slaughter, John William Weber, Andrá Ward.

Other Attendees: Geoffrey S. Mearns, Wendy Peek, Sue Ott Rowlands, Eric Gentry, Joan Gates, Dan Nadler, Ken Bothof, Kim Scranage, Mike Hales, Kevin Kirby, Diana McGill, Rebecca Porterfield, Cynthia Reed, Dale Scalise-Smith, Jeff Standen, Tracy Insko, Chris Bowling, Bill Farrow, Mary Brackman, Kathy Stewart, Sara Kelley, Idna Corbett, Leah Stewart, Larry Meyer, Melissa Gorbandt, Samantha Langley, Amanda Nageleisen, Russ Kerdolff, Allen Cole, Christian Gamm, Syed Zaidi, Vickie Natale, Matt Sexton, Ryan Padgett, Janel Bloch,

Regent Richard Boyce seconded Regent John William Weber's motion to approve the minutes of the September 8, 2016 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Thank you, Mr. Boehne. Good afternoon, everyone.

It has been a busy and productive semester. But before I share just a few highlights, I want to share one more piece of information about our enrollment efforts, in addition to all of the information that you received this morning.

As you recall, we hosted the Governor's Scholars Program this past summer. More than 350 GSP students were on our campus. By all accounts, it was a great experience.

To capitalize on our investment, our admissions staff implemented enhanced strategies to encourage GSP students to apply and enroll at NKU. In addition to letters and telephone calls, we used advertising on social media. And I recorded two videos – one for GSP students who were on our campus and one for all of the other GSP students – and we emailed those videos to the students.

These efforts are already paying dividends. The number of GSP students who have already been admitted to NKU for Fall 2017, compared to this time last year, has increased more than 50% - from 54 admitted applicants last year to 82 this year.

That's a good return on our investment.

Speaking of good investments with outstanding returns, two weeks ago, we hosted a regional and statewide conference on Inclusive Excellence.

The program consisted of several prominent speakers, including Dr. Damon Williams, one of the country's leading authorities on inclusive excellence in higher education, and Chris Brassell, the director of inclusion and talent management for Price Waterhouse Cooper. There were also panel discussions and faculty workshops.

We received organizational and financial support from the University of Kentucky and the Council on Postsecondary Education. But most of the heavy lifting was done by Kathleen Roberts and Regent Ward. Kathleen and Andrá, thank you. Well done.

Some good news from Academic Affairs.

On Monday, the American Association of Collegiate Nursing presented its Academic Practice Partnership Award to Kim McErlane and Tina Volz. Kim is a faculty member in Advanced Nursing Studies and Tina is the Director of Nursing Research at St. Elizabeth Healthcare. The award is in recognition for their leadership of the Northern Kentucky Nurse Interprofessional Research Collaborative. Through their efforts, we have seen significant improvement in interdisciplinary research among faculty, practitioners, and students in our region. Congratulations, Kim and Tina.

Professor Joan Ferrante is also continuing to have a lasting impact on our community. Joan is the program evaluator for a federal literacy grant that was recently awarded to the Newport Independent School District. Newport's grant is for more than $1.9 million – which is approximately $500,000 more than the grant awarded to any other school district in the country. And our students will be able to work with Joan on this project.

October was an especially busy and productive month in student affairs. Our outstanding staff and dedicated students organized many programs and events to celebrate Hispanic Heritage Month, Disability Awareness Month, LGBTQ History Month, Domestic Violence and Sexual Assault Prevention Month, and Health and Wellness Awareness Month. That's right – all in just one month.

Since the beginning of the academic year, we have welcomed two new Greek organizations to NKU: Phi Mu Sorority and Phi Gamma Delta Fraternity. The continued and increasing interest by national organizations to affiliate with NKU is a testament to the outstanding contributions of our students and our staff.

October was also a very good month for our student athletes. On October 8, the members of our Go Norse Fund hosted an event to celebrate our successful transition to NCAA Division One. The event sold out – more than 325 people were in attendance. There were more than 100 silent auction items and several outstanding live auction items, including a trip to the Super Bowl, and to the Final Four, as well as a one-week stay at a beachfront house in the Bahamas. I

reminded the winners of those auction items that I am a very good traveling companion, but I haven't heard from anyone…yet.

The event revenue exceeded $100,000. And it successfully introduced many new supporters to our University and to our athletics program. Ken, to you and your staff, well done.

Our teams and student athletes are having an excellent season.

Our women's soccer team earned the number three seed in the Horizon League tournament, and on Monday night, our women defeated Wright State in the first round. We play Detroit Mercy in the semi-finals on Thursday. For the second consecutive year, Macy Hamblin was named Player of the Year and Offensive Player of the Year.

After winning seven consecutive matches without losing a game, our volleyball team is currently fourth in the Horizon League.

Our men's cross country team finished third in the championship race, while our women's team finished fifth.

It's been a very good year so far. And our basketball teams begin their seasons very soon.

Finally, while speaking of great performances by our students, I hope some of you had the opportunity to see our production of "Ma Rainey's Black Bottom." Jennifer and I saw the final show on Sunday.

They play was written by August Wilson, who is often referred to as "America's Shakespeare." The play is part of a ten-play series, each play chronicling a decade in the African-American experience.

Our production was directed by Professor Daryl Harris, with assistance from Professor Brian Hogg, who coordinated the music.

Simply put, the performances by our students were remarkable. And the majority of them are freshmen or sophomores.

It was another impressive achievement by our School of the Arts.

B. <u>Presidential Reports</u>:

1. Facilities Management Report (Senior Vice President Sue Hodges Moore)

a. Health Innovation Center/Founders Hall Renovation
b. Replace Campus Light Poles – Phase I & II
c. Regents Hall Repairs
d. High Voltage Loop Switch
e. Education at Work

f. Parking Lot Restoration
g. UC Ballroom Roof Replacement
h. Lucas Administrative Center Roof Replacement
i. Sustainability
j. North Connector Road

2. Research, Grants, and Contracts Report (August 1, 2016 – September 30, 2016) (Provost and Executive Vice President Sue Ott Rowlands)

During the August 1, 2016 through September 30, 2016 time period, 19 grants were awarded. The total amount of money awarded was $2,546,524. For Fiscal Year 2016-17, the cumulative total number of grants awarded is 35 totaling $3,601,169.

3. Fundraising Report (July 1, 2016 through September 30, 2016) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2016 through September 30, 2016 totaling $761,208 in support of the university.

4. Annual Financial Report (Senior Vice President Sue Hodges Moore)

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Virginia Fox and seconded by Regent Terry Mann to approve the following Presidential Recommendations as listed; C-1 through C-7. **(Motion carried)**

With respect to Presidential Recommendation C-7, Regent John William Weber asked that additional parking be accessible to students.

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Ms. Trina Koscielicki, associate professor (with tenure) in the Department of Allied Health and associate chair of the Department of Allied Health in the College of Health Professions, effective September 9, 2016; **Dr. David Tataw,** associate professor (with tenure) in the Department of Allied Health, associate dean of the College of Health Professions and interim chair of the Department of Allied Health in the College of Health Professions, effective September 9, 2016.

b. Faculty Appointments:

Dr. John Holley, assistant professor of practice (non-tenure-track) in the Department of

Biological Sciences in the College of Arts and Sciences, effective August 15, 2016; **Dr. Arelys Madero-Hernandez,** assistant professor in the Department of Political Science, Criminal Justice and Organizational Leadership in the College of Arts and Sciences, effective August 15, 2016.

c. **Transitions:**

Dr. Stephen Newman, from full-time professor (with tenure) in the Department of Mathematics and Statistics to part-time professor (with tenure) in the Department of Mathematics and Statistics in the College of Arts and Sciences, effective January 1, 2017.

d. **Retirements:**

Dr. Samuel Boateng, associate professor in the Department of Physics, Geology and Engineering Technology in the College of Arts and Sciences, effective January 1, 2017; **Dr. Willie Elliott,** associate professor in the Department of Counseling, Social Work and Leadership in the College of Education and Human Services, effective August 12, 2016; **Dr. Nancy Hancock,** associate professor in the Department of Sociology, Anthropology and Philosophy in the College of Arts and Sciences, effective August 11, 2016; **Dr. Miriam Kannan,** professor in the Department of Biological Sciences in the College of Arts and Sciences, effective December 31, 2016.

e. **Departures:**

Dr. Kristi Haik, chair and professor in the Department of Biological Sciences in the College of Arts and Sciences, effective June 30, 2016; **Dr. Gary Mattson,** professor in the Department of Political Science, Criminal Justice and Organizational Leadership in the College of Arts and Sciences, effective August 5, 2016; **Dr. Celeste Morris,** assistant professor in the Department of Chemistry in the College of Arts and Sciences, effective August 26, 2016; **Dr. Frank Restesan,** professor of music education in the Music Program in the School of the Arts, effective July 31, 2016; **Dr. Raquel Rodriquez**, professor of music education in the Music Program in the School of the Arts, effective June 30, 2016.

f. **Temporary Faculty Appointments:**

Dr. Kris Spaeth, Department of Biological Sciences, 2016-2017 Academic Year; **Dr. Kathryn Higginbotham,** Department of Biological Sciences, 2016-2017 Fiscal Year; **Dr. Chad Ruschman,** Department of Chemistry, 2016-2017 Academic Year; **Dr. Celeste Morris,** Department of Chemistry, 2016-2017 Academic Year; *Non-paid research faculty for 2016-17.* **Ms. Lindsey Caldwell-Thomas,** Department of English, 2016-2017 Academic Year; **Mr. George Carpten, IV**, Department of Music, 2016-2017 Academic Year; **Mr. Thomas Neal,** Department of Physics, Geology & Engineering Technology, 2016-2017 Academic Year; **Ms. Kristen Lovett,** Department of Political Science, Criminal Justice & Organizational Leadership, 2016-2017 Academic Year; **Dr. Robert Brice,** Department of Sociology, Anthropology & Philosophy, 2016-2017 Academic Year; **Ms. Lynissa Hillman,** Department of Sociology, Anthropology & Philosophy, 2016 Fall

Semester; **Dr. Nicole Roth,** Department of Sociology, Anthropology & Philosophy, 2016-2017 Academic Year; **Mr. Joseph Hacker,** Department of Kinesiology & Health, 2016-2017 Academic Year; **Mr. James Taylor,** Department of Counseling, Social Work & Leadership, 2016-2017 Academic Year; **Mr. Jonathan Trauth**, Department of Counseling, Social Work & Leadership, 2016-2017 Academic Year; **Ms. Deborah Browning,** Department of Accounting & Business Law, 2016-2017 Academic Year; **Mr. James Kirtley,** Department of Accounting & Business Law, 2016-2017 Academic Year; **Ms. Lorraine Ruh** Department of Accounting & Business Law, 2016-2017 Academic Year; **Ms. Marcia Vorholt,** Department of Accounting & Business Law, 2016-2017 Academic Year; **Mr. Louis Manchise,** Department of Management, 2016-2017 Academic Year; **Dr. Stephen Roush**, Department of Management, 2016-2017 Academic Year; **Mr. Majed Dabdoub,** Department of Marketing, Sports Business & Construction Management, 2016-2017 Academic Year; **Mr. C. Edward Heath,** Department of Marketing, Sports Business & Construction Management, 2016-2017 Academic Year; **Ms. Kristin Hornsby,** Honors Program, 2016-2017 Academic Year; **Dr. Rachel Zlatkin,** Honors Program, 2016-2017 Academic Year; **Ms. Natalie Williams,** Plus Learning Center, 2016-2017 Academic Year.

2. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between August 10, 2016 and October 4, 2016 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular & Regular to Contract; Departures; Retirees; Administrative/Executive.

3. Major Gifts Acceptance:

The Board of Regents officially accepted contributions totaling $414,459.00 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period April 01, 2016 through June 30, 2016.

4. Naming Recommendations (Trice, Brown, Thomas, Garrigan):

The Board of Regents approved the following naming actions:

(1) The naming of an endowed scholarship in support of students who are pursuing fields of study in the biological sciences or are pre-med track eligible with preference given to females and students who qualify as post-traditional " The Laura Trice MD Pre-Medical Scholarship".

(2) The naming of an endowed scholarship in support of students who have declared a minor in NKU's Women and Gender Studies Program with a preference given to female students "The Sadie Brown Endowed Scholarship".

(3) The naming of an endowed scholarship in support of students with a declared major in the College of Informatics who demonstrate financial need "The Christian Michael Thomas Memorial Scholarship".

(4) The naming of an endowed scholarship in support of students who are admitted to NKU through the Supported Higher Education Project (SHEP) "The Jane, Terry, and Doug "Sandy" Garrigan Scholarship".

5. Faculty Emeritus Status Appointment (Kannan, Kurk):

The Board of Regents approved the Emeritus status for the following individuals.

Dr. Miriam Kannan, professor in the Department of Biological Sciences, effective December 31, 2016; **Dr. Katherine Kurk**, professor in the Department of World Languages, effective December 31, 2016.

6. Terminal Degrees:

The Board of Regents approved the list of terminal degrees for each of the degree programs offered at NKU, which department chairs and college deans will use for determining faculty credentials to comply with Comprehensive Standard 3.7.1.

7. Modification to Campus Master Plan:

The Board of Regents approved the use of the land currently occupied by Parking Lot F as a site for a new residence hall.

D. Executive Session:

Regent Richard Boyce seconded Regent Richard Boehne's motion to enter into executive session pursuant to KRS 61.810(1) (C) and KRS 61.810(1) (F). **(Motion carried)**

No other matters were discussed. No final action was taken.

At 3:00 p.m., Regent John William Weber seconded Regent Terry Mann's motion to adjourn. **(Motion carried)**

Wendy Peek

Wendy J. Peek
Senior Administrative Assistant
Office of the President

I, Virginia Fox, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on November 2, 2016, and that such matters are still in force and effect.

Virginia G. Fox

Virginia G. Fox
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, January 10, 2017 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, January 11, 2017 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1.	Haile US Bank College of Business	(Ott Rowlands, Porterfield, Bsisu, Beck, Kim) (Wagner, Reed, Verbecken, Pearson)
2.	Sabbaticals, Project Grants, Summer Fellowships	(Ott Rowlands, Goddard, Lambert South)
3.	Management Dashboard	(Hodges Moore, Ott Rowlands, Natale)
4.	Diversity/Inclusion	(Nadler, Roberts, Moore)

Board Lunch
NKU, Student Union 108 – Wednesday, January 11, 2017 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, January 11, 2017 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of October 24, 2016, Board Minutes
- Approval of November 2, 2016, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Report, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1.	Facilities Management Report	(Hodges Moore)
2.	Research/Grants/Contracts Report (October 1, 2016 – November 30, 2016)	(Ott Rowlands)
3.	Fundraising Report (July 1, 2016 – October 31, 2016)	(Gentry)
4.	Quarterly Financial Report (July 1, 2016 – September 30, 2016)	(Hodges Moore)
5.	Faculty Development Awards – Project Grants	(Ott Rowlands)
6.	Faculty Development Awards – Faculty Summer Fellows	(Ott Rowlands)
7.	Intercollegiate Athletics Report	(Bothof)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Major Gifts Acceptance
4. *Posthumous Degree
5. *Sabbatical Leaves

2:00 p.m.

D. Executive Session

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, January 9, 2017).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
January 11, 2017

Regent Richard Boehne, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, January 11, 2017.

Roll Call: Richard Boehne, Richard L. Boyce, Normand Desmarais, Virginia G. Fox, Dennis Repenning, W. Lee Scheben, Gregory Shumate, Arnie D. Slaughter, Andrá Ward, John William Weber. Absent: Terry Mann.

Other Attendees: Geoffrey S. Mearns, Wendy Peek, Tammy Knochelmann, Joan Gates, Eric Gentry, Sue Hodges Moore, Daniel Nadler, Sue Ott Rowlands, Kathleen Roberts, Kim Scranage, Mike Hales, Lori Southwood, Kevin Kirby, Diana McGill, Rebecca Porterfield, Cynthia Reed, Dale Scalise-Smith, Jeff Standen, Tracy Insko, Chris Bowling, Bill Farrow, Sam Reynolds, Sara Kelley, Idna Corbett, Leah Stewart, Larry Meyer, Amanda Nageleisen, Russ Kerdolff, Allen Cole, Christian Gamm, Mary Paula Schuh, Pat Moynahan, Vickie Natale, Ryan Padgett, Janel Bloch, Mark Hansel, Cole Grecco, Debbie Kirch, Kalin Holland, Leslie Fields, Kelsey Dunaway, Dan McIver, Tim Sofranko, Chuck Hawkins, Steve Meier, Jason Vest, Brenda Wilson, Kara Williams.

Regent Richard Boyce seconded Regent Gregory Shumate's motion to approve the minutes of the October 24, 2016 Board of Regents meeting. **(Motion carried)**

Regent John William Weber seconded Regent Virginia Fox's motion to approve the minutes of the November 2, 2016 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

December Commencement

A few weeks ago, we celebrated our annual December commencement exercises. All of you – our Regents – attended these two ceremonies. Thank you for joining our graduates and their families and friends on this special day.

During those commencement exercises, we conferred more than 1,000 degrees upon a talented and diverse group of women and men. Although we have not yet tallied the final numbers, I have been advised that the number of degrees conferred may be the largest ever for our December commencement. That result is the tangible, measurable manifestation of the sustained commitment of our dedicated faculty and staff. They continue to earn our appreciation and admiration.

Enrollment

But as we continue to produce more graduates at higher rates, that success puts increasing pressure on us to recruit more students in the face of increased competition and declining demographics.

In response to these challenges, we have begun to put into effect the new Enrollment and Student Success Implementation Plan that was presented to you last Summer. We have rolled out several of these strategies, and we are beginning to see promising results. For example, we are implementing our new scholarship/need-based model and our new Tuition Incentive Program. We are also hosting the Governor's Scholars Program and enhancing the connection of School Based Scholars to our University. Our scholarship offers and acceptances are seeing significant increases in our strategic markets and student populations; SBS numbers are at an all-time high for spring semester; transfer student numbers are up; the number of GSP scholars applying to NKU has increased; and Pathfinders had the best Fall to Spring retention rate to date.

While we have seen growth in segments of our enrollment, we do face headwinds that impact our overall enrollment. As I mentioned, we graduated one of our largest winter classes in December, which is an achievement to celebrate. But our success in conferring degrees means that we have fewer students enrolled in Spring classes. Moreover, last Fall presented a unique academic calendar structure: Thanksgiving and Winter breaks fell earlier in their respective months, which shortened the academic calendar and reduced the number of available registration days before the Winter break. We learned our lesson; we have already revised our priority registration windows for the next few years to ensure that students have a maximum allotment of time before the Winter break to meet with advisors and register for Spring courses. For this year, we redoubled our efforts to reach out to students who had delayed their registration, with staff from across the campus coming together to implement the "Don't Delay, Register Today!" campaign to encourage students to register last week, the week prior to the start of classes.

We are presently tracking a bit behind our enrollment for Spring 2017, but our registration efforts continue throughout this week.

Facilities Update

Work on the Health Innovation Center is presently focused on enclosing the building and installing the major mechanical equipment. A majority of the exterior framing for the facade is complete, and one wing has been covered with its exterior insulation. The temporary roof has been installed, and the major mechanical equipment has been delivered and is in place.

With respect to the renovation of Founders Hall, all of the exterior windows have been replaced. As you know, the interior of Founders Hall was demolished, leaving only the building structure. Contractors are finishing Founders Hall from the top down. Wall framers are now on the third floor, utility rough-ins are finished on the fifth floor and will begin on the fourth, and drywall installers began work on the fifth floor last week. A new five story mechanical shaft has been cut through the building, in the area behind the elevator shafts. Structural modifications to open Founders Hall to the new construction are also under way.

The bidding for the entire project is now complete. I am very pleased to report that the entire project is currently on schedule and within budget.

US 27 and Student Housing

We are also making steady progress in discussions with Fairmount Properties for the development of the 12-acre US 27 site. Fairmount has indicated a strong interest among hoteliers for a 100-120 room hotel and retail interest is also strong. The project will include market rate apartments and office space, along with a structured parking facility. Fairmount has networked extensively throughout the university and local community, including meetings with representatives of the KY Transportation Cabinet, the NKY Chamber of Commerce, and city and county representatives. I am cautiously optimistic about the ultimate outcome.

As to the student housing project, American Campus Communities completed four focus groups with students and NKU housing staff in early December. Students reacted very positively to the proposed floor plans. Not surprisingly, affordability was a recurring theme expressed by our students. Financial discussions will continue over the next two to three months, as we work to understand the implications of building a new residence hall and completing upgrades to our existing residential facilities.

Invest in Success

In order to ensure that we have the resources to retain the faculty and staff to serve the students who will be attracted to our campus by these facilities, we must continue to advocate for our fair share of state funding. That's why we have resumed again this year our successful public advocacy program – Invest in Success.

At our January convocation last Friday morning, I outlined our two principal objectives for the legislative session that began last week in Frankfort. Our first principal objective is to persuade Governor Bevin and the General Assembly to implement the outcomes-based funding formula that all of the public university presidents have now endorsed.

Our second principal objective is to persuade our elected officials to appropriate an additional annual $5.1 million to our University to address the funding disparity that has impeded our growth and impact. Last year, as you know, the legislature approved an increase of $5.1 million for NKU. This increase substantially reduced the funding disparity. Now, we need our elected officials to finish the job – to increase our appropriations by another $5.1 million to eliminate the disparity entirely.

I am cautiously optimistic that we will achieve these two objectives in this "short session," or in the next legislative budget session in 2018.

Student-Athletic Academic Success

Speaking of success, I am pleased to report that our student-athletes had another record-breaking semester. For Fall 2016, across all sport programs, our student-athletes earned a collective GPA of 3.27 – that's the highest GPA in the history of the University. And that makes 11 consecutive semesters in which our student-athletes earned a collective GPA of 3.0 or higher.

These excellent results are the product of the efforts of many people, including the student athletes and their professors.

The academic success of our student athletes is also made possible by the commitment of our dedicated staff: Leslie Fields, our associate athletic director and senior woman administrator; Debbie Kirch, our senior academic advisor; Kalin Holland, our academic advisor; and Kelsey Dunaway, a graduate assistant. Leslie, Debbie, Kalin, and Kelsey are here with us this afternoon. Please stand so that we may express our appreciation for your contributions to this very important work.

Executive Assistant/Secretary to the Board

Finally, I am pleased to report that we have hired a new Executive Assistant to the President and Secretary to the Board of Regents. His name is Ben Jager.

Ben is a native of Northern Kentucky. He graduated from Covington Catholic High School. Ben received his BA in sociology from Eastern Kentucky University, and he earned a MA in education from Virginia Tech.

Since 2009, Ben has worked in student affairs at the University of Nebraska – Omaha, a comprehensive, metropolitan university that has a similar mission to ours. Ben also handled some special projects for the Chancellor.

While it may not be possible to replace Katie Herschede, I am confident that Ben will serve our University and this Board very well. Ben's first day at NKU will be on March 6.

Conclusion

Mr. Boehne, that concludes my report. Thank you.

B. Presidential Reports:

1. Facilities Management Report (Senior Vice President Sue Hodges Moore)

a. Health Innovation Center/Founders Hall Renovation
b. Replace Campus Light Poles
c. Regents Hall Repairs
d. High Voltage Loop Switch
e. Education at Work
f. University Center Ballroom Roof Replacement
g. Lucas Administrative Center Roof Replacement
h. Herrmann Science Center HVAC Recommissioning
i. Greenhouse
j. Commonwealth Hall Water Heater Replacement
k. Sustainability
l. North Connector Road

2. Research, Grants, and Contracts Report (October 1, 2016 – November 30, 2016) (Provost and Executive Vice President Sue Ott Rowlands)

During the October 1, 2016 through November 30, 2016 time period, 41 grants were awarded. The total amount of money awarded was $3,786,614.

3. Fundraising Report (July 1, 2016 through October 31, 2016) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2016 through October 31, 2016 totaling $1,283,292 in support of the university.

4. Quarterly Financial Report (July 1, 2016 – September 30, 2016) (Senior Vice President Sue Hodges Moore)

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

5. Faculty Development Awards – Project Grants (Provost and Executive Vice President Sue Ott Rowlands)

The Project Grant Report reflected the grants that have been awarded for 2017-2018.

6. Faculty Development Awards – Faculty Summer Fellows (Provost and Executive Vice President Sue Ott Rowlands)

The Faculty Summer Fellows Report reflected the fellows that have been awarded for the summer of 2017.

7. Intercollegiate Athletics Report

The Board of Regents approved the December 2016 Intercollegiate Athletics report.

C. <u>Presidential Recommendations</u>:

Consent Agenda Items: A motion was made by Regent Dennis Repenning and seconded by Regent Virginia Fox to approve the following Presidential Recommendations as listed; C-1 through C-5. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. <u>Faculty Appointments:</u>

Dr. Erica Lemberger, assistant professor in the Nursing Department in the College of Health Professional, effective January 3, 2017.

b. **Transitions:**

Mr. John Valauri, from full-time professor (with tenure) in the Department of Mathematics and Statistics to part-time professor (with tenure) in the Department of Mathematics and Statistics in the College of Arts and Sciences, effective January 1, 2017.

c. **Retirements:**

Dr. Daniel Curtin, professor in the Department of Mathematics and Statistics in the College of Arts and Sciences, effective June, 2017; **Mrs. Patty Fairbanks**, senior lecturer in Learning PLUS in the Department of Undergraduate Academic Affairs, effective June 2017; **Dr. Margaret Myers,** associate professor in the Department of Marketing Sports Business and Construction Management in the Haile/US Bank College of Business, effective June 2017; **Mr. Dempsy Smith**, senior lecturer in Learning PLUS in the Department of Undergraduate Academic Affairs, effective June 2017.

d. **Phased Retirements:**

Dr. J. Robert Lilly, professor in the Department of Sociology, Anthropology, and Philosophy in the College of Arts and Sciences, beginning at the start of the 2017 fall semester and terminating at the end of the 2019 spring semester.

2. **Non-Academic Personnel Actions:**

The following categories of non-academic personnel actions which occurred between October 5, 2016 and November 28, 2016 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular & Regular to Contract; Departures; Retirees;

3. **Major Gifts Acceptance:**

The Board of Regents accepted contributions totaling $50,000.00 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period October 1, 2016 through October 31, 2016.

4. **Posthumous Degree:**

The Board of Regents approved that Kirsten Smithson receive a posthumous Bachelor of Science degree in Computer Science.

5. **Sabbatical Leaves**

The sabbatical leave recommendations for the 2017-2018 academic year received Board of Regents approval.

D. Executive Session:

Regent Gregory Shumate seconded Regent Richard Boehne's motion to enter into executive session pursuant to KRS 61.810(1)(b), (c), and (f). **(Motion carried)**

No other matters were discussed. No final action was taken.

At 4:40 p.m., Regent Virginia Fox seconded Regent Andrá Ward's motion to adjourn. **(Motion carried)**

Wendy J. Peek
Senior Administrative Assistant
Office of the President

I, Virginia Fox, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on January 11, 2017, and that such matters are still in force and effect.

Virginia G. Fox
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Board of Regents Meeting
NKU, Student Union 104 – Thursday, January 19, 2017– 5:00 p.m.

5:00 p.m.

- Call to Order
- Roll Call

A. **Executive Session**

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
January 19, 2017

Regent Richard Boehne, Chair, called the special meeting of the Board of Regents to order at 5:10 p.m., Thursday, January 19, 2017.

Roll Call: Richard Boehne, Richard L. Boyce, Normand Desmarais, Virginia G. Fox, Terry Mann, Dennis Repenning, W. Lee Scheben, Gregory Shumate, Arnie D. Slaughter, Andrá Ward, John William Weber.

Other Attendees: Geoffrey S. Mearns, Wendy Peek, Amanda Nageleisen, Bill Farrow.

D. Executive Session:

Regent Lee Scheben seconded Regent Richard Boehne's motion to enter into executive session pursuant to KRS 61.810(1)(F). **(Motion carried)**

No other matters were discussed. No final action was taken.

At 7:16 p.m., Regent John William Weber seconded Regent Andrá Ward's motion to adjourn. **(Motion carried)**

Wendy J. Peek

Wendy J. Peek
Senior Administrative Assistant
Office of the President

I, Virginia Fox, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on January 19, 2017, and that such matters are still in force and effect.

Virginia G. Fox

Virginia G. Fox
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Board of Regents Meeting
NKU, Student Union 104 – Tuesday, February 14, 2017– 8:30 a.m.

8:30 a.m.

- **Call to Order**
- **Roll Call**

A. Executive Session

B. Presidential Recommendations

1. **WNKE**
2. **WNKU**

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
February 14, 2017

Regent Richard Boehne, Chairman, called the special meeting of the Board of Regents to order at 8:30 a.m., Tuesday, February 14, 2017.

Roll Call: Richard Boehne, Richard L. Boyce, Normand Desmarais, Dennis Repenning, W. Lee Scheben, Gregory Shumate, Arnie D. Slaughter, Andrá Ward, John William Weber. Absent: Virginia Fox and Terry Mann.

Other Attendees: Geoffrey S. Mearns, Tammy Knochelmann, Joan Gates, Eric Gentry, Mike Hales, Sue Hodges Moore, Daniel Nadler, Sue Ott Rowlands, Kathleen Roberts, Kim Scranage, Lori Southwood, Bill Farrow, Sara Kelley, Amanda Nageleisen, Leah Stewart, Fantasia Mejia, Dwayne Cox, Steve Felix, Liz Mozzocco, Pam Temple, Ian Bolender, Aaron Sharpe, Graham Mitchell, Nick Barrows, Nathan Bolender, Kim Johnson, Kate Murphy, Ann Thompson, Brandon Wheeler, Jennifer McDonough, Adam Clements, Evan Millward, Carrie Cochran, Randy Erwin, Matt Sexton, Mark Hauss, Courtney Phenicie, Dan Crowe, Cathy Dewberry, Rachel Green, Krista Rayford.

A. Executive Session:

Regent Andrá Ward seconded Regent Richard Boehne's motion to enter into executive session pursuant to KRS 61.810(1)(g) and KRS 61.810(1)(f). **(Motion carried)**

No other matters were discussed. No final action was taken.

B. Presidential Recommendations:

Eric Gentry presented a brief history of WNKU and the financial performance of its operations.

Eric Gentry then proposed that the President be authorized to execute a contract for the sale of WNKE-FM and its assets to Educational Media Foundation. Eric Gentry also proposed that the President be authorized to execute a contract for the sale of WNKU-FM and its assets to Bible Broadcasting Corp.

Following the presentation, the floor was opened to questions and discussions. A number of the people in attendance expressed their concerns about the anticipated sale and their desire to keep the ratio station.

A motion was made by Regent Lee Scheben and seconded by Regent John William Weber to approve the following Presidential Recommendations as listed; B-1 and B-2. **(Motion carried unanimously)**

1. **WNKE**

   The Board of Regents authorized the President to execute a contract for the sale of WNKE-FM and its assets with Educational Media Foundation (EMF). EMF will purchase WNKE-FM's license, tower and equipment by paying NKU $700,000.00 plus seventy-five percent (75%) of the net sales proceeds of EMF's anticipated sale of WEKV-FM.

2. **WNKU**

   The Board of Regents authorized the President to execute a contract for the sale of WNKU-FM and its assets with Bible Broadcasting Corp. Bible Broadcasting Corp. will pay NKU $1,900,000.00 to purchase WNKU-FM and its license and equipment at the transmitter site and also pay to lease the tower and transmitter room.

At 10:59 a.m., Regent Lee Scheben seconded Regent John William Weber's motion to adjourn. **(Motion carried)**

Tammy Knochelmann

Tammy Knochelmann
Assistant to the President
Office of the President

I, Richard Boehne, Chair of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on February 14, 2017, and that such matters are still in force and effect.

Richard Boehne
Chairman of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, March 14, 2017 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, March 15, 2017 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Student Success (EAB SSC, Student Affairs, EAW, UCAP/Trio) (Ott Rowlands, Nadler, Scranage, Padgett, Adams, Wright, Anderson)
2. Chase College of Law (Ott Rowlands, Standen)
3. HIC, Connector Road and Norse GIS Mapping (Hodges Moore, Zaidi, Schuh, Mirizzi, Charnegie, Jones, Nienaber)

Board Lunch
NKU, Student Union 108 – Wednesday, March 15, 2017 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, March 15, 2017 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of January 11, 2017, Board Minutes
- Approval of January 19, 2017, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Hodges Moore)
2. Research/Grants/Contracts Report (November 1, 2016 – December 30, 2016) (Ott Rowlands)
3. Fundraising Report (July 1, 2016 – January 31, 2017) (Gentry)
4. Quarterly Financial Report (July 1, 2016 – December 31, 2016) (Hodges Moore)
5. Organizational Changes Report (Hodges Moore)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Academic Affairs Reappointment, Promotion, and Tenure
3. *Non-Academic Personnel Actions
4. *Major Gifts Acceptance
5. *Naming Recommendations (Murgatroyd, Young, Caddell, Steinman)
6. *Faculty Emeritus Status Appointments (Curtin, Moynahan, Neely, Snyder)
7. *Honorary Degree
8. *Honors College
9. *Faculty Senate Constitution
10. *Dissolution of NorseCo
11. *Organizational Chart
12. *WNKE
13. *WNKU

2:00 p.m.

D. Executive Session

E. Board Recommendation:

1. Interim President Appointment

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, March 13, 2017).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
March 15, 2017

Regent Richard Boehne, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, March 15, 2017.

Roll Call: Richard Boehne, Richard L. Boyce, Normand Desmarais, Terry Mann, Dennis Repenning, W. Lee Scheben, Gregory Shumate, Arnie D. Slaughter, Andrá Ward, John William Weber. Absent: Virginia G. Fox.

Other Attendees: Geoffrey S. Mearns, Ben Jager, Wendy Peek, Tammy Knochelmann, Ken Bothof, Joan Gates, Eric Gentry, Sue Hodges Moore, Daniel Nadler, Sue Ott Rowlands, Kim Scranage, Mike Hales, Lori Southwood, Kevin Kirby, Diana McGill, Cindy Reed, Jeff Standen, Tracy Insko, Chris Bowling, Bill Farrow, Mark Brackman, Sara Kelley, Kathy Stewart, Idna Corbett, Leah Stewart, Russ Kerdolff, Allen Cole, Christian Gamm, Mary Paula Schuh, Syed Zaidi, Tim Sofranko, Jason Vest, Eric Jackson, Greg Hatchett, David Marlo, Gerry St. Amand, Ryan Padgett, Tom McKee, Chris Cole, Atley Smedley, Kaitlyn Schaefer, Debbie Poweleit, Matt Saxton, Janel Bloch, Chris Ogle, David Tataw, Abdou Ndoye, Mark Hansel.

Regent John William Weber seconded Regent Gregory Shumate's motion to approve the minutes of the January 11, 2017 Board of Regents meeting. **(Motion carried)**

Regent John William Weber seconded Regent Gregory Shumate's motion to approve the minutes of the January 19, 2017 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Good afternoon.

Partnership with University of Kentucky and St. Elizabeth Healthcare

Two weeks ago, we announced a very exciting partnership with the University of Kentucky Medical School and St. Elizabeth Healthcare to establish a regional medical school campus here at NKU.

The UK College of Medicine at Northern Kentucky University will be a fully functioning four-year program, utilizing the same curriculum and assessments as UK's Lexington campus. On-site faculty will have UK College of Medicine appointments and teach in small groups. They will also provide simulated patient experiences with lectures delivered from Lexington utilizing educational technology. Clinical experiences will occur at St. Elizabeth Healthcare and surrounding community practices.

The program will strive to enroll students from this region, and a certain number of spots will be reserved for NKU undergraduate students who meet the admission standards.

We are proud to partner with the University of Kentucky and St. Elizabeth Healthcare to leverage our individual strengths to bring professional medical education to the Northern Kentucky region. Among other things, this medical school campus at NKU will help us further the mission of our Health Innovation Center to improve the health of the people that we serve.

Outcomes-based Funding Legislation Update

I am pleased to report that SB153 has passed both the Senate and the House. This historic, transformative legislation will now go to Governor Bevin for his signature.

This legislation is the result of a collaborative effort among the Council on Postsecondary Education, a group of dedicated university presidents, and the Kentucky General Assembly. It will benefit higher education in Kentucky, specifically the students who will enroll in our public universities, as well as the taxpayers who help fund our institutions.

Outstanding Civic Education Leader Award

I would like to congratulate Dr. Ryan Salzman, Assistant Professor of Political Science, for receiving the Outstanding Civic Education Leadership Award from Secretary of State Alison Lundergan Grimes. The Outstanding Civic Education Leadership Award recognizes a teacher, school administrator, educational leader, legislator, or community leader who has "made notable contributions toward promoting or implementing civic learning to prepare students to be engaged and responsible citizens."

Ryan was chosen among hundreds of other applicants to represent our region for his innovative work in promoting and implementing civic learning that will prepare his student to lead lives of purpose and service. He heads the Future Leader Internship Program – and created a similar summer-away program in Washington D.C. – that brings policy issues to life by giving students access to the leaders of the Commonwealth and the Nation.

Ryan's dedication to our students and our community is a great example of the faculty members we have here at NKU. We are very lucky to have him.

Reappointment, Promotion, and Tenure

In just a few moments, I will ask you to approve my recommendations regarding the reappointment, promotion and tenure of several of our outstanding faculty members. I'd like to recognize these faculty members who I recommend for tenure and promotion. As you know, this is a significant achievement. I'd like to extend my congratulations to:

- Dr. Kristen Schwarz, Biological Sciences
- Dr. Kebede Gemene, Chemistry
- Dr. Jason Vest, School of the Arts
- Dr. Gabriel Sanders, Kinesiology and Health
- Dr. Tammie Sherry, Teacher Education
- Dr. Catherine Gannon Tagher, Nursing
- Dr. Alain Krapl, Economics and Finance
- Dr. Samuel Goh, Business Informatics
- Professor Sara Drabik, Communication

- Dr. Stephanie Klatzke, Communication
- Dr. Austin Lee, Communications
- Professor Jennifer Kinsley, Law Instruction

I'd also like to recognize the outstanding faculty members who are being recommended for promotion to full professor:

- Dr. KC Russell, Chemistry
- Dr. William Landon, History and Geography
- Dr. Eric Jackson, History and Geography
- Dr. Seyed Allameh, Physics, Geology and Engineering Technology
- Dr. Morteza Sadat-Hosseiny, Physics, Geology and Engineering Technology
- Dr. Sharyn Jones, Sociology, Anthropology, Philosophy
- Dr. Lenore Kinne, Teacher Education
- Dr. Jaesook Gilbert, Teacher Education
- Dr. Greg Hatchett, Counseling, Social Work and Leadership
- Dr. Stephen Yungbluth, Communication
- Dr. Maureen Doyle, Computer Science
- Dr. James Walden, Computer Science
- Dr. Jang-Chul Kim, Economics and Finance
- Dr. Eileen Weisenbach Keller, Marketing, Sports Business and Construction
- Professor Eric Alden, Law Instruction
- Professor Amy Halbrook, Law Instruction
- Professor Jack Harrison, Law Instruction
- Professor David Singleton, Law Instruction
- Professor Jennifer Kinsley, Law Instruction

Military Friendly Campus

I am proud to report that Northern Kentucky University has been awarded the designation of Military Friendly School by Victory Media, the premier media entity for military personnel transitioning into civilian life. As a 2017 Military Friendly School, NKU is ranked in the top 15 percent of colleges and universities that are working to support military service members, veterans, and dependents as students and ensure their success on campus. This is the seventh consecutive year NKU has received this designation.

My thanks go out to Peg Adams, Director of the Veteran's Resource Station, and all of her staff for establishing an environment where our military students and their family members can find the support that is essential to their successful transition to and success at NKU. Veterans and their families are an integral part of the NKU community, and we will continue to ensure that they have the support and resources they need to be successful

Men's Basketball

Our men's basketball team made history last week in more ways than one. They defeated University of Wisconsin-Milwaukee to earn a berth in the NCAA Tournament in our very first

year of tournament eligibility. We are only the second team since 1970 to achieve this remarkable feat.

Prior to the Horizon League Tournament, the team set a new school record by winning 21 games this season. This success is due in large part to Horizon League Coach of the Year John Brannen and all of his staff – they've done a remarkable job with our student-athletes. Congratulations also to Athletic Director Ken Bothof and his staff for their leadership of the program. But the lion's share of the credit goes to our outstanding players – our student-athletes. They represent us well on the court and in the classroom.

We learned on Sunday, surrounded by over 500 enthusiastic Norse fans, that the team will travel to Indianapolis on Friday to play the University of Kentucky Wildcats in the first round of the NCAA tournament. The game will start at approximately 9:40pm that evening.

I am very proud of the team and all of their accomplishments this season. They have shown the country what we already know – that there is something special happening here at Northern Kentucky University.

I will conclude with a short video that will put everyone in the proper mood for the game. [VIDEO] Thank you to Jim Nilsson and his staff for putting this video together on such short notice.

Mr. Boehne, that concludes my remarks.

B. <u>Presidential Reports</u>:

1. Facilities Management Report (Senior Vice President Sue Hodges Moore)

a. Health Innovation Center/Founders Hall Renovation
b. Replace Campus Light Poles
c. University Center Ballroom Roof Replacement
d. Lucas Administrative Center Roof Replacement
e. Herrmann Science Center HVAC Recommissioning
f. Greenhouse
g. Commonwealth Hall Water Heater Replacement
h. Baseball Field Synthetic Turf
i. Sustainability
j. US 27 Development
k. Student Housing
l. North Connector Road

2. Research, Grants, and Contracts Report (November 1, 2016 – December 30, 2016) (Provost and Executive Vice President Sue Ott Rowlands).

During the November 1, 2016 through December 30, 2016 time period, 6 grants were awarded. The total amount of money awarded was $274,287. From January 1, 2016 through December 30, 2016, the cumulative total number of grants awarded is 47 totaling $4,060,901.

3. Fundraising Report (July 1, 2016 through January 31, 2017) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2016 through January 31, 2017 totaling $3,095,958 in support of the university.

4. Quarterly Financial Report (July 1, 2016 – December 31, 2016) (Senior Vice President Sue Hodges Moore)

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

5. Organizational Changes Report:

The organizational changes report reflected the following:

Academic Affairs – Adult Learner Programs and Services

The **Adult Learner Programs and Services (ALPS)** office has been created to deliver unique services to NKU's adult population. The ALPS will provide support to non-traditional students in several ways, including assistance throughout the recruitment, admission, and enrollment process; referral services tailored to the needs of adult students; academic coaching and specialized academic advising; advocacy with university processes; and targeted communication with students.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Terry Mann and seconded by Regent John William Weber to approve the following Presidential Recommendations as listed; C-1 through C-13. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Dr. Diana McGill, from interim dean of the College of Arts and Sciences, to dean of the College of Arts and Sciences effective July 1, 2017; Dr. Patrick Schultheis, from professor and interim chair of the Department of Biological Sciences, to professor and chair of the Department of Biological Sciences, effective January 1, 2017.

b. Faculty Appointments:

Mr. David Dunevant, from associate professor in the Music Program in the School of the Arts to associate professor and interim program head of the Music Program in the School of the Arts, effective January 1, 2017; Dr. Andrew Long, from full-time tenure-track associate professor in the Department of Mathematics and Statistics to part-time tenure-track associate professor in the Department of Mathematics and Statistics, effective fall semester, 2017.

c. Transitions:

Dr. Shamima Ahmed, from professor and chair in the Department of Political Science, Criminal Justice and Organizational Leadership to professor in the Department of Political Science, Criminal Justice and Organizational Leadership, effective July 1, 2017; Dr. Janaina Siegler Marques Batista, from visiting assistant professor (non-tenure-track) to assistant professor (tenure-track) in the Department of Management, effective January 9, 2017; Dr. Emily Detmer-Goebel, from professor and chair in the Department of English to professor in the Department of English, effective July 1, 2017; Dr. Maureen Doyle, from associate professor and interim chair in the Department of Computer Science to associate professor and chair in the Department of Computer Science, effective January 1, 2017; Dr. Sharyn Jones, from professor and chair in the Department of Sociology, Anthropology and Philosophy to professor in the Department of Sociology, Anthropology and Philosophy, effective July 1, 2017; Dr. Jason Vest, from assistant professor and program head of the Music Program in the School of the Arts to Assistant Provost for Special Projects, effective January 1, 2017; Dr. Roger Zarnowski, from professor and chair in the Department of Mathematics and Statistics to professor in the Department of Mathematics and Statistics, effective July 1, 2017.

d. Departures:

Nancy Kersell, non-tenure track renewable Senior Lecturer in the Department of English, effective May 31, 2017.

e. Retirements:

Dr. Linda Marquis, professor of accounting in the Department of Accounting and Business Law, effective December 31, 2016; Jill Niemeyer, Lecturer II in the Department of Teacher Education, effective June 30, 2017; Mr. John Valauri, professor of Law in the Chase College of Law, effective spring, 2017. *This recommendation is a correction from the January 2017 Board submission in which Mr. Valauri was incorrectly listed as transitioning from a full time professor to a part-time professor in the Department of Mathematics and Statistics in the College of Arts and Sciences.*

f. Phased Retirements:

Professor Carol Furnish, professor in the Chase College of Law, beginning July 1, 2017 and terminating on June 30, 2019; Dr. Mary Kirk, professor in the Department of Kinesiology and Health, beginning spring 2018 and terminating at the end of fall semester 2019; Dr. J. Robert Lilly, professor in the Department of Sociology, Anthropology and Philosophy, beginning fall 2017 and terminating at the end of fall semester 2019; Dr. Kimberly McErlane, associate professor in the Department of Advanced Nursing Studies, College of Health Professions, beginning January 1, 2018 and terminating December 31, 2019; Professor P. Andrew Miller, professor in the Department of English, beginning fall semester 2017 and terminating at the end of spring semester

2018; Dr. John Rankin, professor in the Department of Mathematics and Statistics, beginning fall semester 2017 and terminating at the end of the spring semester 2019. Dr. J. Gaut Ragsdale, professor in the Department of Communication, College of Informatics, beginning fall semester 2017 and terminating at the end of the spring semester 2019; Professor Sandra L. Turkelson, associate professor in the Department of Nursing, College of Health Professions, beginning spring semester 2018 and terminating at the end of the fall semester 2019.

g. **Temporary Faculty Appointments:**

Ms. Lynissa Hillman, College of Arts and Sciences, Department of Sociology, Anthropology effective spring 2017; Mr. Johnny Maynard, College of Informatics, Department of Computer Science effective spring 2017; Dr. Jongsoo Park, College of Arts and Sciences, Department of Political Science, Criminal Justice and Organizational Leadership effective spring semester 2017; Dr. Kayla Steltenkamp, College of Education and Human Services, Department of Teacher Education effective spring 2017.

2. **Academic Affairs Reappointment, Promotion, and Tenure:**

The Board of Regents approved recommendations on reappointment, promotion and tenure.

3. **Non-Academic Personnel Actions:**

The following categories of non-academic personnel actions which occurred between November 29, 2016 and February 13, 2017 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular & Regular to Contract; Departures; Retirees; Administrative/Executive

4. **Major Gifts Acceptance:**

The Board of Regents accepted contributions totaling $942,861.94 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period October 31, 2016 through January 31, 2017.

5. **Naming Recommendations (Murgatroyd, Young, Caddell, Steinman):**

(1) The naming of a scholarship in support of scholarships and Pi Kappa Alpha national conference registration support for members in good standing in the Rho Eta Chapter of Pi Kappa Alpha fraternity "The Rho Eta Chapter/Pi Kappa Alpha – Richard L. Murgatroyd Endowed Scholarship".

(2) The naming of a scholarship in support of an incoming student with a declared major in the College of Informatics. "Katy Young Memorial Scholarship".

(3) The naming of an endowed scholarship in support of students pursuing a degree in Finance or Economics. "Heritage Bank Endowed Scholarship honoring Arnold E. Caddell, Founder".

(4) The naming of an endowment to support a scholarship for an incoming student from the Boys and Girls Club of greater Cincinnati. "The Steinman Family Endowed Scholarship".

6. **Faculty Emeritus Status Appointments (Curtin, Moynahan, Neely, Snyder):**

The Board of Regents approved the Emeritus status for the following individuals.

Dr. Dan Curtin, professor in the Department of Mathematics and Statistics, effective May 17, 2017; **Dr. Patrick Moynahan**, associate professor in the Department of Communication, effective February 17, 2017; **Dr. Sharlotte Neely**, professor in the Department of Sociology, Anthropology and Philosophy, effective May 17, 2017; **Dr. Robert Snyder**, professor in the Department of Management, effective May 17, 2017.

7. **Honorary Degree:**

The Board of Regents approved that Virginia Fox receive an Honorary Doctorate of Education.

8. **Honors College:**

The Board of Regents approved changing the status of the honors program to an Honors College.

9. **Faculty Senate Constitution:**

The Board of Regents approved the revised Faculty Senate constitution.

10. **Dissolution of NorseCo:**

The Board of Regents approved NorseCo will be dissolved and removed from the Office of Graduate Education, Research, and Outreach.

11. **Organizational Chart:**

The Board of Regents approved the organizational chart which reflects all NKU Administrative updates through March 15, 2017.

12. **WNKE:**

The Board of Regents authorized the President to execute a contract for the sale of WNKEFM and its assets with Educational Media Foundation (EMF). EMF will purchase WNKE-FM's license, tower and equipment by paying NKU $700,000.00 plus seventy-five percent (75%) of the net sales proceeds of EMF's anticipated sale of WEKV-FM.

13. WNKU:

The Board of Regents authorized the President to execute a contract for the sale of WNKUFM and its assets with Bible Broadcasting Corp. Bible Broadcasting Corp. will pay NKU $1,900,000.00 to purchase WNKU-FM and its license and equipment at the transmitter site and also pay to lease the tower and transmitter room.

D. Executive Session:

Regent Richard Boyce seconded Regent Richard Boehne's motion to enter into executive session pursuant to KRS 61.810(1)(c) and (f). **(Motion carried)**

No other matters were discussed. No final action was taken.

E. Board Recommendation:

The Board recommended that Mr. Gerry St. Amand be appointed as interim President for Northern Kentucky University.

Regent John William Weber seconded Regent Terry Mann's motion to appoint Gerry St. Amand the interim President for Northern Kentucky University.

At 3:20 p.m., Regent Gregory Shumate seconded Regent John William Weber's motion to adjourn. **(Motion carried)**

Wendy J. Peek
Senior Administrative Assistant
Office of the President

Benjamin Jager
Executive Assistant to the President/
Secretary to the Board of Regents

I, Rich Boehne, Chairman of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on March 15, 2017, and that such matters are still in force and effect.

Richard Boehne
Chairman of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, April 25, 2017 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, April 26, 2017 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1.	Tuition and Fees	(Hodges Moore, Hales)
2.	FY 2017-2018 Budget	(Hodges Moore, Hales)
3.	College of Education and Human Services	(Ott Rowlands, Reed, Boos, Childs, Kim, Mulligan, Sanders, Sintos Coloma, Zikzad-Terhune)
4.	Undergraduate Research and Creativity	(Ott Rowlands, Hart, O'Callaghan, Hamill, Alduhailan, Alsubaii, Allameh, Beck, Harrigan)

Board Lunch (Student Awards)
NKU, Student Union 107 – Wednesday, April 26, 2017 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, April 26, 2017 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of February 14, 2017, Board Minutes
- Approval of March 15, 2017, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Report, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1.	Facilities Management Report	(Hodges Moore)
2.	Research/Grants/Contracts Report (January 1, 2017 – February 28, 2017)	(Ott Rowlands)
3.	Fundraising Report (July 1, 2016 – January 31, 2017)	(Gentry)
4.	Quarterly Financial Report (July 1, 2016 – March 31, 2017)	(Hodges Moore)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Academic Affairs Tenure
4. *Major Gifts Acceptance
5. *Organizational Change (LEAP)
6. *Naming Recommendation (US Bank, Sandfoss, Simpson, Farris, Murgatroyd)
7. *Faculty Emeritus Status Appointments (Dunevant, Myers, Thomas)
8. *Regents Professorship (Marczinski)
9. *Faculty Handbook Amendment (Human Subject)
10. *Faculty Handbook Amendment (Tuition Waiver)
11. *Faculty Handbook Amendment (Graduate Faculty Status)
12. *Faculty Handbook Amendment (Deletion of Section 2.3.3 and 2.3.4)
13. *New Bachelor of Science Degree in Radiation Therapy
14. *New Bachelor of Science Degree in Neuroscience
15. *New Bachelor of Science Degree in Mechatronics Engineering Technology
16. *New Bachelor of Science Degree in Health Informatics
17. *New Bachelor of Arts Degree in Environmental Science
18. *Staff Emerita (Ziegler)
19. *Bad Debt Write-Off
20. *Capital Plan
21. *FY 2017-2018 Tuition and Mandatory Fee Rates
22. *FY 2017-2018 Schedule of Fees and Service Charges
23. *Reimbursement Resolution
24. *2017-18 Annual Operating Budget Resolution
25. *SGA Constitution Revision
26. *Honorary Degree

2:00 p.m.

D. Executive Session

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, April 24, 2017).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
April 26, 2017

Regent Richard Boehne, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, April 26, 2017.

Roll Call: Richard Boehne, Richard L. Boyce, Normand Desmarais, Virginia Fox, Terry Mann, Dennis Repenning, Arnie D. Slaughter, Andrá Ward, John William Weber. Absent: W. Lee Scheben, Gregory Shumate.

Other Attendees: Geoffrey S. Mearns, Ben Jager, Wendy Peek, Tammy Knochelmann, Ken Bothof, Joan Gates, Eric Gentry, Sue Hodges Moore, Daniel Nadler, Sue Ott Rowlands, Kathleen Roberts, Kim Scranage, Mike Hales, Lori Southwood, Kevin Kirby, Diana McGill, Cindy Reed, Jeff Standen, Dale Scalise-Smith, Rebecca Porterfield, Tracy Insko, Chris Bowling, Bill Farrow, Sam Reynolds, Allen Cole, Idna Corbett, Christian Gamm, Melissa Gorbandt, Sara Kelley, Russ Kerdolff, Samantha Langley, Larry Meyer, Kathy Stewart, Leah Stewart, Syed Zaidi, Tim Sofranko, Jason Vest, Janel Bloch, Carole Ziegler, Rachel Winters, Jane Bratton, Cole Grecco, Tiffany Mayse, Gina Rittinger, Robin Jones, Kathryn Sills, Anna Wright, Ryan Padgett, Barry Keinzle, Adam Branscomb, Randy Ruttenberg, Isabelle Lagadic, Gerry St. Amand, Roger McCulley, Nick Koch, Ben Broomall, Mark Hansel, Sami Dada.

Regent John William Weber seconded Regent Virginia Fox's motion to approve the minutes of the February 14, 2017 Board of Regents meeting. **(Motion carried)**

Regent John William Weber seconded Regent Richard Boyce's motion to approve the minutes of the March 15, 2017 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Earth Day of Service

On Saturday, which was Earth Day, Jennifer and I joined a group of students, faculty, and staff to beautify our campus. We planted flowers, bushes, and evergreen trees near the Student Union and Nunn Hall.

Our dedicated Facilities staff assisted the group: Bill Moulton, Roger McCulley, Ben Broomall, Nick Koch, Jodi Bauer, Scott Barton, Cooper Davis, and Adam Hoffman.

A special thank you to Dr. Banwari Mittal for bringing several of his students to participate in the event.

I would also like to extend my gratitude to Vice President for Student Affairs Dan Nadler, Regent Will Weber, and the entire Student Government Association and Northern Kentucky

Leadership Institute for organizing this event. Jennifer and I were honored to have been a part of it.

Senior Awards

During the lunch break, we held our annual senior awards program. This event recognizes graduating seniors who earned our University's top student awards.

Alison Hiler received the NKU Foundation's Student Leadership Award. Alison is a double major in Accounting and Finance. She has achieved many national awards through the Phi Beta Lambda organization.

Austin Stephenson received the Paul J. Sipes Award as a student who displays personal qualities of honesty, character, and industry, and who has actively participated in community affairs while maintaining a strong academic grade point average. Austin will be attending the University of Kentucky law school in the Fall.

Diana Odhiambo (o-thee-ahm-b-oh) received the University Service Award for her outstanding work as the president of the Physics Club. In this role, Diana was instrumental in providing opportunities for her fellow physics majors to succeed in and out of the classroom. She has also been actively involved in the Multicultural Leadership Conference and the Latino Mentoring Program.

Nathan Dasenbrock-Gammon received the Faculty Senate Award for research as a part of his independent study. Nathan has maintained a 4.0 GPA on his way to earning degrees in physics, mathematics, and statistics. Nathan will be pursuing a PhD in physics at the University of Rochester.

Brandon Franklin received the Excelsior Award as a student who has overcome obstacles on the way to academic success. Brandon has battled PTSD as a result of concussions sustained playing high school football. As a result of a strong work ethic and unwavering determination, he has succeeded academically. Brandon will enroll in the Chase College of Law in the Fall.

Brittany Fishburn received the Regents' Award. Brittany has served as President of the Presidential Ambassadors as well as a Peer Assistant in the Career Services office. And she earned a stellar 3.98 GPA. She will be taking her passion for student service to the University of Dayton, where she will pursue a Master's degree in Higher Education.

I was honored to present the President's Award to Brandi Mulligan. Brandi is an embodiment of our University's commitment to inclusive excellence. Brandi helped establish the Black and Brown Educators of Excellence student organization to promote diversity in the education field. Fortunately for NKU, Brandi will be staying on our campus to work in the Office of Student Engagement.

Commencement

These outstanding students are only seven of the more than 1,600 students who will be receiving a degree from NKU this Spring. In ten days, we will honor the rest of our graduating students at commencement.

In addition to the hundreds of students walking across the stage to receive their diploma, we will also award two honorary degrees. At the Chase Law commencement, Jakki Haussler will receive a Doctorate of Laws. Ms. Haussler is the Founder and CEO of Opus Capital Management, which is a registered investment advisory firm. She currently serves as a member of Chase's Board of Visitors, and she is a Founding partner of Chase's Transactional Law Practice Center. She has been an outstanding supporter of our University, and she has dedicated her expertise to serving our students.

At the Sunday ceremony, Regent Ginni Fox will receive a Doctorate in Education. Ginni has served our University with distinction as a Regent for the past six years. She retired in 2006 as the Secretary of the Kentucky Education Cabinet. Prior to that appointment, Ginni served as the Executive Director and CEO of Kentucky Educational Television. Ginni bleeds black and gold. We are very happy to award her with this degree and make her a permanent member of the NKU family.

Both Jakki and Ginni will be speaking at their respective commencement ceremonies. Our board chair, Rich Boehne, will be the speaker at the afternoon ceremony.

Commencement is my favorite day, and I look forward to seeing everyone there next weekend.

Enrollment

As we prepare to wish our graduates well, we are also actively recruiting new students.

Enrollment management has been a focus of ours over the past couple of years as we've implemented new strategies that will continue to provide our University with talented, diverse, and dedicated students. In Summer 2016, the Board of Regents directed us to develop an Enrollment and Student Success Implementation Plan for 2017-2019.

The four guiding principles of the plan are affordability, access, academics, and affinity. The plan was developed with feedback from the university community.

I am pleased to report to you that 26 action items have already been completed or are near completion and another 88 action items are in progress. I would like to share a few of the accomplishments:

- We have restructured our model for awarding institutional aid to incoming freshmen, placing greater emphasis on need-based aid. This amount of aid has doubled from last year, and it has resulted in a nearly 20% increase in the acceptance rate for these prospective students.

- We have implemented a new metro tuition rate called TIP (short for Tuition Incentive Plan) that allows us to be more competitive in Southern Ohio and Indiana markets. To date, we have nearly doubled these scholarship offers with an 80% increase in the acceptance rate.
- Last Summer, we hosted the Governor's Scholars Program on our campus for the first time since 2003. The number of GSP scholars who have already committed to NKU for Fall 2017 has increased by nearly 300%, compared to Fall 2016.
- We have also enhanced our educational diversity scholarships. To date, we have doubled the number of students offered these scholarships with the acceptance rate increasing by 40%.

We are already seeing the rewards of this program in its first year, and I believe that it will continue to pay even greater dividends in the years to come.

Another strategic enrollment initiative that has continued to increase our visibility is the Road2NKU program. Over the past three years, we visited approximately 100 high schools in Kentucky, Ohio, and Indiana. Overall, the number of applications received, students admitted, and students committed are higher than before we commenced this tour.

The Road2NKU program communicates our message that NKU is an institution on a rise and is a first-choice option for students throughout the Commonwealth and tri-state region.

Will Weber and Virginia Fox

Now, I would like to recognize two Regents whose terms are expiring.

For the past year, Will Weber has served as our Student Government Association President and Regent. Will has always been strong voice for the student body and a proud supporter of our University. Will, you are another example of the high caliber leaders at NKU. Thank you for all that you have done for NKU and for me.

Regent Fox has served our University as Regent for six years including this past year as Secretary. Ginni has always been a tireless advocate for NKU and its students, faculty, and staff. Her passion inspires each one of us to work harder and to be better. Thank you for your service, Ginni.

Please join me in recognizing Regents Weber and Fox for their outstanding service to Northern Kentucky University.

Conclusion

Finally, I would like to express my deepest appreciation to all of the Regents, those present this afternoon as well as all of the other Regents with whom I have served.

Like our faculty and staff, you clearly value the special opportunity that we have to transform the lives of the student we serve. You have respect for our important mission, and you motivate us to achieve our full potential.

I have been very fortunate to serve with you. You have consistently provided me with good advice, unwavering support, and enthusiastic encouragement. Working for you and working together with our faculty and our staff, we have accomplished a great deal over the last five years.

Thank you very much for giving me the privilege and the honor of serving as the president of Northern Kentucky University.

B. Presidential Reports:

1. Facilities Management Report (Senior Vice President Sue Hodges Moore)

a. Health Innovation Center/Founders Hall Renovation
b. Herrmann Science Center HVAC Recommissioning
c. Greenhouse
d. Condensate Leak Repair
e. Ceramics and Sculpture Building HVAC Replacement
f. Energy Savings Performance Contract
g. Norse Hall/Norse Commons Chiller Replacement
h. Norse Hall, Norse Commons, and Woodcrest Water Heater Replacements
i. Sustainability
j. US 27 Development/Student Housing
k. North Connector Road

2. Research, Grants, and Contracts Report (January 1, 2017 – February 28, 2017) (Provost and Executive Vice President Sue Ott Rowlands).

During the January 1, 2017 through February 28, 2017 time period, 9 grants were awarded. The total amount of money awarded was $118,574. From July 1, 2016 through Febraury 28, 2017, the cumulative total number of grants awarded is 56 totaling $4,179,475.

3. Fundraising Report (July 1, 2016 through March 28, 2017) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2016 through March 28, 2017 totaling $4,195,501 in support of the university.

4. Quarterly Financial Report (July 1, 2016 – March 31, 2017) (Senior Vice President Sue Hodges Moore)

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Virginia Fox and seconded by Regent John William Weber to approve the following Presidential Recommendations as listed; C-1 through C-26. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Dr. Brooke Buckley, from associate professor to associate professor and interim chair of the Department of Mathematics and Statistics, effective July 1, 2017; **Dr. Douglas Hume,** from associate professor to associate professor and chair of the Sociology, Anthropology and Philosophy, effective July 1, 2017; **Dr. Karen Miller,** Associate Professor with tenure and chair of the Department of Political Science, Criminal Justice and Organizational Leadership, effective July 1, 2017.

b. Faculty Appointments:

Mr. Wes Akers, from Lecturer II in the Department of Communication to Senior Lecturer in the Department of Communication, effective August 14, 2017; **Ms. Caroline Braden**, from Lecturer I and Coordinator of Undergraduate Programs, in the Department of Political Science, Criminal Justice and Organizational Leadership to Lecturer II and Coordinator of Undergraduate Programs, in the Department of Political Science, Criminal Justice and Organizational Leadership, effective July 1, 2017; **Dr. Ty Brumback,** Assistant Professor in the Department of Psychological Sciences, effective August 14, 2017; **Ms. Michele Day**, from Lecturer in the Department of Communication to Lecturer II in the Department of Communication, effective August 14, 2017; **Ms. Shannon Eastep**, Lecturer II to Senior Lecturer (non-tenure track renewable) in the Department of Teacher Education, effective August 14, 2017; **Ms. Jessica Ferguson**, from Advisor/Lecturer (non-tenure-track renewable) in the College of Business Advising Center to Advisor/Lecturer II in the College of Business Advising Center, effective August 14, 2017; **Ms. Jennifer Gardner**, from Lecturer (non-tenure-track renewable) in the Department of Marketing, Sports Business, and Construction Management to Lecturer II in the Department of Marketing, Sports Business, and Construction Management, effective August 14, 2017; **Mr. Rob Kerby**, from Lecturer II, in the School of the Arts to Senior Lecturer in the School of the Arts, effective August 14, 2017; **Ms. Olena Pilyayeva**, from Advisor/Lecturer (non-tenure-track renewable) in the College of Business Advising Center to Advisor/Lecturer II in the College of Business Advising Center, effective August 14, 2017; **Dr. Amy Gillingham,** Assistant Professor in the School of the Arts, effective August 14, 2017; **Ms. Alma Mattocks**, Clinical Assistant Professor (non-tenure track renewable) in the Department of Kinesiology and Health, effective August 14, 2017; **Ms. Reiko Ozaki,** Assistant Professor in the Department of Counseling, Social Work and Leadership, effective August 14, 2017; **Dr. Jaclyn Perrmann-Graham**, Lecturer (non-tenure-track renewable) in the Department of

Management, effective August 14, 2017; **Mr. Robert Salyer**, from Lecturer (non-tenure-track renewable) in the Department of Accounting and Business Law to Lecturer II in the Department of Accounting and Business Law, effective August 14, 2017; **Dr. Megan Sanctuary**, non-tenure-track renewable Lecturer in the Department of Biological Sciences, effective May 8, 2017; **Ms. Hallie Sylvestro**, Assistant Professor in the Department of Counseling, Social Work and Leadership, effective August 14, 2017; **Dr. Mike Scola**, from Lecturer II, in the Department of Biological Sciences to Senior Lecturer of in the Department of Biological Sciences, effective August 14, 2017.

c. **Transitions:**

Mr. Frank Robinson, from Lecturer (non-tenure-track renewable) in the College of Business Advising Center to Director of Norse Advising, effective March 27, 2017.

d. **Departures:**

Dr. Arelys Madero-Hernandez, Assistant Professor in the Department of Political Science, Criminal Justice and Organizational Leadership, effective July 7, 2017; **Ms. Hayley Ericksen**, Assistant Professor and clinical education coordinator for the Athletic Training Program in the Department of Kinesiology and Health effective May 17, 2017; **Ms. Kristin McCoy**, Lecturer in the Department of Nursing effective May 1, 2017; **Dr. Trung Tran**, non-tenure track renewable lecturer in the Department of History and Geography, effective December 20, 2016.

e. **Retirements:**

Dr. David Dunevant, Associate Professor and interim Program Head of the Music in the School of the Arts in the College of Arts and Sciences, effective July 1, 2017**; Ms. Pamela Davis**, Associate Professor of Nursing in the Department of Nursing, effective May 9, 2017.

f. **Temporary Faculty Appointments:**

Dr. Robin Bartlett, from full-time tenured Professor in the Department of Psychological Sciences to part-time tenured Professor in the Department of Psychological Sciences, effective August 2017.

2. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between February 14, 2017 and March 27, 2017 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular & Regular to Contract; Departures; Administrative/Executive.

3. Academic Affairs Tenure:

The Board of Regents approved recommendations on tenure.

This recommendation is a correction from the March 2017 Board submission in which Mr. Alden, Ms. Halbrook, Mr. Harrison, and Mr. Singleton were incorrectly listed as only being promoted. This update reflects that they all received promotion and tenure appointments in the Chase College of Law.

4. Major Gifts Acceptance:

The Board of Regents officially accepted contributions totaling $927,614 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period October 31, 2016 through January 31, 2017.

5. Organizational Change (LEAP):

The Board of Regents approved a name change for the Office of Learning Experience And Parenting (LEAP) to Parents Attending College (PAC) within the Division of Student Affairs.

6. Naming Recommendations (US Bank, Sandfoss, Simpson, Farris, Murgatroyd):

(1) The naming of an annual scholarship in support of students with a declared major in Computer Information Technology and/or members in good standing of the College of Informatics Cyber Defense Team " U.S. Bank Cybersecurity Scholarship".

(2) The naming of an endowed scholarship in support of continuing students pursuing a degree in Executive Leadership and Organizational Change "Raymond V. Sandfoss Scholarship".

(3) The naming of a room in recognition of renovation support in the Haile/US Bank College of Business "Eva G. Farris Virtual Executive Board Room".

(4) The naming of an endowed scholarship in support of students pursuing a nursing degree "Amelia Simpson Nursing Scholarship".

(5) The changing of the name of the Richard L. Murgatroyd Scholarship to "The Eta Rho Chapter/Pi Kappa Alpha –Richard L. Murgatroyd Endowed Scholarship".

7. Faculty Emeritus Status Appointments (Dunevant, Myers, Thomas):

The Board of Regents approved the Emeritus status for the following individuals.

Mr. David Dunevant, assistant professor and program head of Music in SOTA, effective May, 2017; **Dr. Margaret Myers,** professor of marketing in the Department of Marketing, Sports Business, and Construction Management, effective May 17, 2016; **Dr. Jim Thomas**, professor in the Department of Psychological Sciences, effective May, 2017.

8. **Regents Professorship (Marczinski):**

   The Board of Regents approved that Dr. Cecile Marczinski, professor of Psychology receive the Regents Professorship Award.

9. **Faculty Handbook Amendment (Human Subject):**

   The Board of Regents approved the Faculty Handbook amendment regarding Human Subjects.

10. **Faculty Handbook Amendment (Tuition Waiver):**

    The Board of Regents approved the Faculty Handbook amendment regarding Tuition Waivers.

11. **Faculty Handbook Amendment (Graduate Faculty Status):**

    The Board of Regents approved the Faculty Handbook amendment regarding Graduate Faculty Status.

12. **Faculty Handbook Amendment (Deletion of Section 2.3.3 and 2.3.4):**

    The Board of Regents approved the Faculty Handbook amendment regarding deletion of section 2.3.3 and 2.3.4.

13. **New Bachelor of Science Degree in Radiation Therapy:**

    The Board of Regents approved a new Bachelor of Science degree in Radiation Therapy.

14. **New Bachelor of Science Degree in Neuroscience:**

    The Board of Regents approved a new Bachelor of Science degree in Neuroscience.

15. **New Bachelor of Science Degree in Mechatronics Engineering Technology:**

    The Board of Regents approved a new Bachelor of Science degree in Mechatronics Engineering Technology.

16. **New Bachelor of Science Degree in Health Informatics:**

    The Board of Regents approved a new Bachelor of Science degree in Health Informatics.

17. **New Bachelor of Arts Degree in Environmental Science:**

    The Board of Regents approved a new Bachelor of Arts degree in Environmental Science.

18. Staff Emerita (Ziegler):

The Board of Regents approved that Carole Ziegler receive Emerita status.

19. Bad Debt Write-Off:

The Board of Regents authorized the Senior Vice President for Administration and Finance to write off student account bad debts totaling $269,486.26.

20. Capital Plan:

The Board of Regents adopted the 2018-2024 Capital Plan.

21. FY 2017-2018 Tuition and Mandatory Fee Rates:

The Board of Regents approved the tuition and mandatory fee rates for the 2017-18 academic year at the rates proposed in the Authorized Schedule of Tuition.

22. FY 2017-2018 Schedule of Fees and Service Charges:

The Board of Regents approved the Schedule of Fees and Service Charges for the 2017-18 academic year.

23. Reimbursement Resolution:

The Board of Regents adopted the Resolution to declare the Board's official intent to finance the construction/renovation of student housing and the construction of parking lots and access roads from the proceeds of a tax-exempt financing.

24. 2017-18 Annual Operating Budget Resolution:

The Board of Regents approved the resolution establishing and approving the Fiscal Year 2017-18 Annual Unrestricted Operating Budget for Northern Kentucky University.

25. SGA Constitution Revision:

The Board of Regents approved revisions to the Student Government Constitution.

26. Honorary Degree:

The Board of Regents approved that Jakki Haussler receive an Honorary Doctorate of Laws.

E. Executive Session:

Regent Richard Boyce seconded Regent Richard Boehne's motion to enter into executive session pursuant to KRS 61.810(1) (b), (c), (f), and (g). **(Motion carried)**

No other matters were discussed. No final action was taken.

At 6:00 p.m., Regent Virginia Fox seconded Regent Terry Mann's motion to adjourn. **(Motion carried)**

Wendy J. Peek
Senior Administrative Assistant
Office of the President

Benjamin Jager
Executive Assistant to the President/
Secretary to the Board of Regents

I, Rich Boehne, Chairman of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on April 26, 2017, and that such matters are still in force and effect.

Virginia G. Fox
Secretary of the Board of Regents

2017 Board of Regents/Foundation Executive Committee Joint Dinner
July 18, 2017
5:00 pm – Student Union 108

2017 Board Retreat Agenda
July 19, 2017
Behringer Crawford Museum
1600 Montague Rd, Covington, KY 41011

8:00 – 8:30	Breakfast
8:35 – 10:00	Growing revenues for future investments: Tuition, state appropriations, and innovative practices
10:00 – 10:15	Break
10:15 – 11:15	Being responsive to state and regional labor market demand: NKU processes and results
11:15 – 12:15	Serving more students and helping them succeed: Enrollment and retention
12:15 – 12:30	Break/walk around exhibit
12:30 – 1:15	Lunch – Presidential search update
1:15 – 1:30	Focus of Frankfort Advocacy
1:30 – 1:40	Meeting*
1:40 – 3:00	Executive Session
3:00 – 4:00	Assessing our effectiveness: Annual Board self-evaluation
4:00 – 4:15	Board officer elections

*Swearing in of new Board of Regents member

Board of Regents Retreat
Northern Kentucky University
Behringer Crawford Museum, Covington, Kentucky
July 19, 2017

Regent Richard Boehne, Chair, called the Board of Regents Retreat to order at 1:50 pm, Wednesday, July 19, 2017.

Roll Call: Richard A. Boehne, Richard L. Boyce, Sami Dada, Normand Desmarais, Terry L. Mann, Dennis Repenning, W. Lee Scheben, Gregory Shumate, Arnie D. Slaughter, Andrá Ward. Absent: Ashley F. Himes

Other Attendees: Gerard St. Amand, Ben Jager, Joan Gates, Sara Kelley, Anna Wright, John Kiesewetter.

Oath of Office:

Regent Richard Boehne performed the oath of office for the newly elected Regent: Sami Dada. The newly elected Regent swore to uphold all stipulations of the oath and faithfully execute, to the best of his ability, the duties of Regent of Northern Kentucky University according to law.

Executive Session:

Regent Terry Mann seconded Regent Richard Boehne's motion to enter into executive session pursuant to KRS 61.810(1)(b), (c), and (f). **(Motion carried)**

No other matters were discussed. No final action was taken.

WNKN:

Regent Andrá Ward seconded Regent Terry Mann's motion to accept the Presidential Recommendation 1 on the sale of WNKN-FM. **(Motion carried)**

Presidential Recommendation 1 is attached.

Board Officer Elections:

Regent Dennis Repenning seconded Regent Gregory Shumate's motion to name Regent Richard Boehne as Board Chair. Regent Richard Boehne abstained from the vote. **(Motion carried)**

Regent Normand Desmarais seconded Regent Terry Mann's motion to name Regent W. Lee Scheben as Board Vice Chair. **(Motion carried)**

Regent Terry Mann seconded Regent Dennis Repenning's motion to name Richard Boehne as Interim Board Secretary until the full Board is consulted. **(Motion carried)**

At 4:50 p.m., Regent Gregory Shumate seconded Regent Lee Scheben's motion to adjourn. **(Motion carried)**

Ben Jager
Executive Assistant to the President/
Secretary to the Board of Regents

I, Richard A. Boehne, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the retreat held on July 19, 2017, and that such matters are still in force and effect.

Richard A. Boehne
Interim Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, September 5, 2017 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at the Metropolitan Club, Covington, KY

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, September 6, 2017 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. College of Informatics (Kirby, Fisher, Henry, Hicks, Oldham, Vonderhaar)
2. NKU, UK College of Medicine/St. Elizabeth Partnership (Ott Rowlands, Sanger, Tracy, DiPaulo)
3. Health Innovations Center (Ott Rowlands)
4. Athletics (Bothof, McIver, Kirch, Hart, Turner)

Board Lunch
NKU, Student Union 108 – Wednesday, September 6, 2017 – 11:45 a.m.

11:45 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, September 6, 2017 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Swearing in of New Regent
- Roll Call
- Approval of April 26, 2017, Board Minutes
- Approval of July 19, 2017, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Hodges Moore)
2. Research/Grants/Contracts Report (March 1, 2017 – June 30, 2017) (Ott Rowlands)
3. Fundraising Report (July 1, 2016 – June 30, 2017) (Gentry)
4. Fundraising Report (July 1, 2017 – July 31, 2017) (Gentry)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Major Gifts Acceptance
4. *Naming Recommendations (Newman, Puthoff, Timmel, L.I.F.E., Houston, Schlotman, Crane, St. Elizabeth Healthcare Exec. Dir.)
5. *Staff Emeritus (Pettit)
6. *Faculty Handbook Amendment (Biennial Review)
7. *Faculty Handbook Amendment (Project Grant Award)
8. *Faculty Handbook Amendment (Summer Fellowship)
9. *Chair Handbook Amendment (Vacation Leaves)
10. *Nursing Department Recommendation
11. *Center for Environmental Education
12. *FY 2017-2018 Tuition and Mandatory Fee Rate Correction
13. *Organizational Chart

D. Board Recommendation

1. Election of Board Secretary

2:00 p.m.

E. Executive Session

F. Board Self-Evaluation

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, September 4, 2017).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
September 6, 2017

Regent Richard Boehne, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, September 6, 2017.

Roll Call: Richard Boehne, Richard L. Boyce, Sami Dada, Ashley Himes, Terry Mann, Dennis Repenning, W. Lee Scheben, Gregory Shumate, Arnie D. Slaughter, Andrá Ward. Absent: Normand Desmarais.

Other Attendees: Gerard St. Amand, Ben Jager, Wendy Peek, Tammy Knochelmann, Ken Bothof, Joan Gates, Eric Gentry, Sue Hodges Moore, Daniel Nadler, Sue Ott Rowlands, Kathleen Roberts, Kim Scranage, Mike Hales, Kevin Kirby, Diana McGill, Cindy Reed, Jeff Standen, Mary Kishman, Rebecca Porterfield, Tracy Insko, Chris Bowling, Bill Farrow, Mark Brackman, Allen Cole, Christian Gamm, Melissa Gorbandt, Sara Kelley, Samantha Langley, Larry Meyer, Kathy Stewart, Leah Stewart, Syed Zaidi, Jason Vest, Abdou Ndoye, Mary Paula Schuh, Jeanne Pettit, Chuck Pettit, Sam Rosenstiel, Owen Treolo, Gina Rittinger, Dan Bridewell, Benjamin Sanning, Anna Wright, Ryan Padgett, Leo Calderon, Keneila Smith, Christina Caffrey, Elissa Torres, Kyrah Dillingham, Christian Valdez, Victor Ponce, Andrea Moreno, Giselle Hernandez, Kayla Earehart, Devon Colburg, Josh Perkins, Andrew Roll, Martel Bell, Jim Parker, Natalia Lerzundi, Dave Plvan, Paul Morrison, Andy Meeks, Lois Schultz, Britta Gibson, Matthew Zacate, Dave Bushle, Michelle Smith, Cindy Scott, Chad Ogle, Mark Hansel, Elizabeth Stegman, Mike Hawkins, Irene Incarnation.

Oath of Office:

Regent Richard Boehne performed the oath of office for the newly elected Regent: Ashley F. Himes. The newly elected Regent swore to uphold all stipulations of the oath and faithfully execute, to the best of her ability, the duties of Regent of Northern Kentucky University according to law.

Regent W. Lee Scheben seconded Regent Terry Mann's motion to approve the minutes of the April 26, 2017 Board of Regents meeting. **(Motion carried)**

Regent Terry Mann seconded Regent Gregory Shumate's motion to approve the minutes of the July 19, 2017 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Welcome

Thanks, Rich.

Board of Regents
September 6, 2017

Although I have been serving as Interim President for about 4 months, this is my first regular board meeting. And so, let me begin by thanking our Board members for the trust and confidence placed in me by asking me to serve.

Although I have had the privilege to serve in many roles during my 18-plus years at NKU, I felt blessed and honored to have had the opportunity to teach for what I believed were my final active years at NKU. However, those teaching years turned out not to be the last chapter.

Although this was not the plan, it is truly an honor to serve in this capacity. The challenges and time demands have been and are many, but leading this outstanding university the past few months has been an unexpectedly rewarding way to conclude my NKU career.

I have been doing and will continue to do all I can to help make the transition to our new president as seamless and effective as possible, and to ensure that we don't lose momentum during this interim period. In that regard, our Board must know that our staff, faculty, and leadership teams throughout the university have continued running at full throttle and have not let this transition slow them down at all. They deserve our thanks.

I do want to extend a special thanks to our executive team and the staff in the President's Office for their unwavering support for me and their dedication to make this transition successful. And, I also want to extend special thanks to our Board chair, Rich Boehne – his strong support has been absolutely indispensable during this period – as busy a professional life as he has, he is always available when needed, and his counsel is much appreciated – I don't know when Rich sleeps!

We have other people serving in new leadership roles that I'd like to acknowledge and welcome.

I'd like to extend my welcome to two new Board members, Regents Ashley Himes and Sami Dada.

1. Regent Himes was appointed by Governor Bevin this summer. When she is not serving her alma mater in this role, Ashley is the Assistant Principal of Northern Elementary School in Pendleton County, and she is a 3-degree holder from NKU.
2. Regent Dada (Sami) is a senior Communications major and, of course, President of our Student Government Association.

Thanks to both of you for your willingness to serve.

Joining us in a new university leadership position is Dr. Mary Kishman, Interim Dean of the College of Health Professions. Mary has been at NKU since 2014 when she came to be our Chair of the Department of Nursing. We are grateful to Mary for answering her university's call to serve during a very important time for the College of Health Professions and the university, particularly with our Health Innovation Center nearing completion and scheduled to open within a year.

A note about athletics. Since the end of the Spring semester, much has been happening in the Horizon League. In early May, Valparaiso departed for the Missouri Valley Conference. Shortly thereafter, the league welcomed the Indiana University-Purdue University Indianapolis Jaguars, otherwise known as IUPUI. We are excited to have IUPUI in the Horizon League. Their addition

provides the league with a valuable presence in Indianapolis. Beyond athletics, however, this Indianapolis presence complements our recruitment plan to identify opportunities to expand our enrollment footprint. We are looking forward to creating a new enrollment pipeline from the additional exposure we'll have in this new metropolitan market only 2-plus hours up the road.

Speaking of enrollment, as most of you know, we don't have final enrollment numbers to report until the November Board meeting when we provide the Board a full report.

However, preliminary bottom line numbers as of the first day of classes show an overall enrollment decline compared to Day 1 last year of approximately .7%.

Recall that our budgeted projection was a 2.5% decline. Again Day 1 numbers don't necessarily provide the picture that we'll see once full enrollment numbers are known for our November report.

Beyond what our fall enrollment numbers will be, additional action is being taken this academic year that will positively impact enrollment for this fiscal year. This includes a launch of Phase I of our accelerated completely online programs beginning in January-March 2018. These online programs will strengthen our enrollments while simultaneously meeting students where they are and where they learn.

In the context of enrollment, just a note about the Governor's Scholars Program:

a. Geoff mentioned in his April Board remarks that we participated in the program in 2016 for the first time since 2003. As a result, we had an increase of nearly 300% in the number of Governor's Scholars committing to NKU over the prior year. We'll have final numbers in our November report.
b. We participated again this summer. Obviously, we can't yet know the numerical impact from our summer 2017 participation – that will be seen next year.
c. But, if you want to appreciate why the impact is likely to be as significant, consider letters I received recently from three of this summer's Governor's Scholars – letters from students living in Lexington, Campbellsville, and Williamsburg. Letters that speak to our academic excellence, our campus facilities, and the campus location – stressing both the comfort of a small town campus environment, yet very convenient access to an urban setting.
d. Based on the preliminary enrollment numbers from the 2106 Governor's Scholars Program and the sentiments expressed in these letters, this program seems to be a great recruiting tool for top talent.

I would also like to take a moment to recognize a few groups and individuals who have recently been honored for their outstanding work.

The Men's Basketball team will be honored by the Northern Kentucky Chamber of Commerce with their ImageMaker Award for bringing national recognition to the region during their thrilling run to the Horizon League Championship and making all of Norse Nation proud during their NCAA Tournament game. The award will be formally presented to the team at the Chamber's Annual Dinner on September 28.

The Office of Information Technology was selected to receive the 2017 Corporate Tech and Innovation Award by Lead Magazine. This award is given annually to the region's leading businesses that have demonstrated professional achievements and a commitment to cultivating a thriving and progressive business. This award will be formally presented at a luncheon on September 27.

Speaking of innovation, we have an actual rocket scientist among our faculty ranks. Physics professor, Dr. Scott Nutter, just had one of his research experiments on cosmic rays sent "via rocket" to the International Space Station. Dr. Nutter is one of a few astrophysicists that NASA selected to work with them to study these particles in space. The good news (I guess) is that the instruments developed by Dr. Nutter and his team for use on the Space Station will enable him to study these particles without himself having to travel to the Space Station. Congratulations Dr. Nutter.

Thanks to the leading work of the Office of LGBTQ Programs and Services *Best Colleges* recently published a report naming NKU as one of the top 50 most LGBTQ friendly campuses in the country.

We stress the importance of our people being engaged in our community and we seek to develop the leadership potential of our people. One program that does both is a NKY Chamber Program – Leadership Northern Kentucky. I am pleased to report that Dannie Moore, our Assistant Vice President of Student Affairs, is a graduate of the 2017 class of Leadership Northern Kentucky. Congratulations, Dannie.

The Board will shortly be voting on a number of presidential recommendations. One of those recommendations concerns the selection of Chuck Pettit, a retiring staff member, for Emeritus status. He is only the second staff member to receive this recognition. I am glad to see Chuck here this afternoon and want to recognize him for his 40 years of distinguished service to our university. Thanks Chuck.

Last but certainly not least, I am proud to share that our own Board Chair Rich Boehne will be honored with the prestigious Oak Award next week at the Governor's Conference on Postsecondary Education Trusteeship. The Oak Award recognizes each year an outstanding graduate of a Kentucky university who has achieved statewide or national stature and has exhibited a lifelong affection for their Kentucky alma mater. I would say that Rich is a perfect embodiment of that standard. Rich, we are very fortunate to call you an NKU alum and thank you for your dedication to our university.

Start of school

One closing observation. Prior to taking on this interim role, I considered myself pretty well-traveled around NKU. But the past four months have been some of the most rewarding and inspiring moments of my NKU career. I spent much of the week before classes speaking at the various convocation ceremonies for students, the colleges, our Library, and the university – speaking to and hearing from new students, new faculty, and the campus at-large.

I was deeply moved by the overwhelming enthusiasm of each group, and frankly in awe of the remarkable work that is taking place across our campus every single day. That week's experience, indeed the student convocation alone, couldn't help but energize and instill

enormous pride in this institution. I hope all of you here today share in that feeling of pride in our institution. I am so appreciative of the opportunity to have experienced that.

B. Presidential Reports:

1. Facilities Management Report (Senior Vice President Sue Hodges Moore)

a. Health Innovation Center/Founders Hall Renovation
b. Parking Lots A and K Reconstruction
c. Condensate Leak Repair
d. Ceramics and Sculpture Building HVAC Replacement
e. Energy Savings Performance Contract
f. Norse Hall/Norse Commons Chiller Replacement
g. Norse Hall, Norse Commons, and Woodcrest Water Heater Replacements
h. Business Academic Center Roof Restoration
i. Sustainability
j. US 27 Development
k. North Connector Road

2. Research, Grants, and Contracts Report (March 1, 2017 – June 30, 2017) (Provost and Executive Vice President Sue Ott Rowlands).

During the March 1, 2017 through June 30, 2017 time period, 36 grants were awarded. The total amount of money awarded was $3,145,526. For the Fiscal year 2016 – 2017, the cumulative total number of grants awarded is 95 totaling $7,814,387.

3. Fundraising Report (July 1, 2016 through June 30, 2017) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2016 through June 30, 2017 totaling $5,651,210 in support of the university.

4. Fundraising Report (July 1, 2017 through July 31, 2017) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2017 through July 31, 2017 totaling $279,677 in support of the university.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Terry Mann and seconded by Regent W. Lee Scheben to approve the following Presidential Recommendations as listed; C-1 through C-13. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Dr. John Alberti, from professor and associate dean in the College of Arts and Sciences to

professor and in the Department of English, in the College of Arts and Sciences effective July 1, 2017; **Dr. Bethany Bowling**, from associate professor in the Department of Biological Sciences, to associate professor and associate dean in the College of Arts and Sciences, effective August 1, 2017; **Dr. Mei Mei Burr**, program director of Human Services and lecturer in the Department of Counseling, Social Work, and Leadership in the College of Education and Human Services, effective August 14, 2017; **Dr. Emily Detmer-Goebel**, from professor in the Department of English to professor and associate dean in the College of Arts and Sciences, effective July 1, 2017; **Dr. Olugbemiga Ekundayo**, associate professor (with tenure) and chair of the Department of Allied Health in the College of Health Professions, effective July 1, 2017; **Dr. Sharmanthie Fernando**, from professor in the Department of Physics, Geology and Engineering Technology to interim chair and professor in the Department of Physics, Geology and Engineering Technology, in the College of Arts and Sciences, effective July 17, 2017; **Mr. Robert Furnier**, Director of the W. Bruce Lunsford Academy for Law, Business + Technology at the Chase College of Law, effective August 1, 2017; **Ms. Roxanne Gall**, from lecturer in the Department of Advanced Nursing in the College of Health Professions to lecturer and director of the Nurse Advocacy Center for the Underserved in the College of Health Professions, effective August 14, 2017; **Dr. Teresa Huber,** from assistant professor in the Department of Nursing in the College of Health Professions to assistant professor and director of the Master of Science in nursing program in the Department of Advanced Nursing in the College of Health Professions, effective August 14, 2017; **Dr. Vanessa Hunn**, from associate professor in the Department of Counseling, Social Work, and Leadership to associate professor, Master of Social Work program director, and assistant chair in the Department of Counseling, Social Work, and Leadership in the College of Education and Human Services, effective July 1, 2017; **Dr. Mary Kishman**, from associate professor and chair of the Department of Nursing in the College of Health Professions to interim dean of the College of Health Professions, effective July 1, 2017; **Dr. Eileen Weisenbach Keller**, from professor of marketing in the Department of Marketing, Sports Business, and Construction Management to professor of marketing and assistant dean in the Haile/US Bank College of Business Advising Center, effective July 1, 2017; **Ms. Trina Koscielicki,** from associate professor (with tenure) in the Department of Allied Health and associate chair of the Department of Allied Health in the College of Health Professions to the Radiologic Science program director, effective July 1, 2017; **Dr. Madhura Kulkarni**, from interim director of the Center for Integrative Natural Science and Mathematics to associate professor of Biology and director of the Center for Integrative Natural Science and Mathematics, in the College of Arts and Sciences, effective July 1, 2017; **Dr. Lewatis McNeal,** clinical associate professor (non-tenure-track renewable) in the Department of Kinesiology and Health and assistant dean in the College of Education and Human Services, effective July 31, 2017; **Dr. Erin Robinson**, from associate professor and director of Advanced Bachelor of Science in the nursing program in the Department of Nursing in the College of Health Professions to associate professor and program director of the Advanced Bachelor of Science in nursing and Bachelor of Science in nursing programs in the College of Health Professions, effective August 14, 2017; **Dr. Gannon Tagher,** interim chair of the Department of Nursing and the Department of Advanced Nursing in the College of Health Professions, effective July 1, 2017; **Ms. Rebecca Walker,** from acting director of the College of Informatics Advising Center and lecturer to director of the College of Informatics Advising Center and lecturer, effective July

1, 2017; **Ms. Ginger Webb**, director of educational placements and internships and lecturer II (nontenure-track renewable) in the Department of Teacher Education in the College of Education and Human Services, effective July 24, 2017; **Mr. Thomas McGovern,** from assistant director of the School of the Arts to assistant director of the School of the Arts and music program head in the College of Arts and Sciences, effective July 1, 2017.

b. **Faculty Appointments:**

Mr. Wes Akers, from lecturer II in the Department of Communication to senior lecturer in the Department of Communication, in the College of Informatics, effective August 14, 2017; **Dr. Robin Bartlett,** from part-time, associate professor (with tenure) in the Department of Psychological Science to full-time, associate professor (with tenure) in the Department of Psychological Science, in the College of Arts and Sciences, effective August 2017; **Ms. Deborah Browning**, lecturer in accounting (non-tenure-track renewable) in the Department of Accounting and Business Law in the Haile/US Bank College of Business, effective August 14, 2017; **Ms. Tracy Buckler,** lecturer (non-tenure-track renewable) in the Department of Nursing in the College of Health Professions, effective August 14, 2017; **Ms. Lisa Cuntz,** assistant professor in the Department of Nursing in the College of Health Professions, effective August 14, 2017; **Dr. George Carpten IV**, lecturer (non-tenure-track renewable) of music in the School of the Arts, in the College of Arts of Sciences, effective August 14, 2017; **Dr. Samuel Cho,** assistant professor in the Department of Computer Science in the College of Informatics, effective August 14, 2017; **Mr. Majed Dabdoub**, assistant professor of practice in construction management (non-tenuretrack renewable) in the Department of Marketing, Sports Business, and Construction Management in the Haile/US Bank College of Business, effective August 14, 2017; **Ms. Gina Fieler,** assistant professor in the Department of Nursing in the College of Health Professions, effective August 14, 2017; **Dr. Trent Garrison**, assistant professor in the Department of Physics, Geology and Engineering Technology, in the College of Arts of Sciences effective August 14, 2017; **Ms. Lynissa Hillman**, lecturer (non-tenure-track renewable) in the Department of Sociology, Anthropology and Philosophy, in the College of Arts of Sciences effective August 14, 2017; **Mr. Sunil Ketty,** assistant professor in the Department of Communication in the College of Informatics, effective August 14, 2017; **Ms. JeeEun Lee**, assistant professor of ceramics in the School of the Arts, in the College of Arts and Sciences, effective August 14, 2017; **Dr. Karen Mae O'Connell,** assistant professor in the Department of Nursing in the College of Health Professions, effective August 14, 2017; **Dr. Wiley Piazza**, lecturer (non-tenure-track renewable) in the Department of Kinesiology and Health in the College of Education and Human Services, effective August 14, 2017; **Ms. Angela Powell,** lecturer (non-tenure-track renewable) in the Department of Nursing in the College of Health Professions, effective August 14, 2017; **Ms. Elizabeth Stine,** lecturer (non-tenure-track renewable) in the Department of Nursing in the College of Health Professions, effective August 14, 2017; **Mr. Mark Thackeray**, assistant professor of practice in supply chain/operations management (non-tenure-track renewable) in the Department of Management in the Haile/US Bank College of Business, effective August 14, 2017; **Dr. Eleanor Todd**, assistant professor of music education in the School of the Arts, in the College of Arts and Sciences, effective August 14, 2017; **Mr. David Wilkerson**, lecturer

(non-tenure-track renewable) in the Department of Counseling, Social Work, and Leadership in the College of Education and Human Services, effective August 14, 2017.

c. Transitions:

Ms. Trina Koscielicki, from associate professor and interim associate chair of the Department of Allied Health to associate professor and director of Radiologic Science program, in the College of Health Professions, effective May 15, 2017; **Dr. Adrianne Lane**, from professor and chair of the Department of Advanced in the College of Health Professions to professor in the Department of Advanced in the College of Health Professions, effective July 1, 2017.

d. Departures:

Dr. Idna M. Corbett, Vice Provost Undergraduate Academic Affairs, effective August 3, 2017; **Dr. Neil Duchac**, assistant professor in the Department of Counseling, Social Work, and Leadership in the College of Education and Human Services, effective July 8, 2017; **Mr. Joseph Hacker**, lecturer in the Department of Kinesiology and Health in the College of Education and Human Services, effective June 30, 2017; **Dr. James Koschoreck**, associate professor in the Department of Counseling, Social Work, and Leadership in the College of Education and Human Services, effective August 11, 2017; **Dr. Erica Lemberger**, assistant professor in the Department of Nursing in the College of Health Professions, effective July 18, 2017; **Dr. Gail Mackin**, senior associate dean of the College of Arts and Sciences and professor of Math, effective June 30, 2017; **Teri J. Murphy**, professor in the Department of Mathematics and Statistics in the College of Arts and Sciences, effective August 11, 2017; **Dr. Will Peveler**, associate professor in the Department of Kinesiology and Health in the College of Education and Human Services, effective August 2, 2017; **Dr. Michael Roth**, professor and chairperson in the Department of Physics, Geology and Engineering Technology, effective July 14, 2017; **Dr. Dale Scalise-Smith**, dean of the College of Health Professions, effective August 15, 2017; **Ms. Erika Sharkey**, academic advisor in the College of Education and Human Services Advising Center in the College of Education and Human Services, effective July 28, 2017; **Ms. Amy Shay**, assistant professor in the Department of Advanced Nursing in the College of Health Professions, effective May 15, 2017; **Mr. Jonathan Trauth**, lecturer in the Department of Counseling, Social Work, and Leadership in the College of Education and Human Services, effective August 11, 2017.

e. Retirements:

Dr. David Dunevant, associate professor and interim program head of music in the School of the Arts in the College of Arts and Sciences, effective July 1, 2017; **Ms. Cindy Foster**, associate professor in the Department of Nursing in the College of Health Professions, effective July 12, 2017; **Ms. Reeda Hart**, STEM Outreach Director for the Center for Integrative Natural Science and Mathematics, effective August 2017; **Dr. Sharlotte Neely,** professor in the Department of Sociology, Anthropology and Philosophy, effective August 2017.

f. Temporary Faculty Appointments:

Dr. Robin Bartlett, from part-time tenured professor in the Department of Psychological Sciences to full-time tenured professor in the Department of Psychological Sciences, effective August 2017; **Ms. Sandra Bazzani Aronne**, lecturer (non-tenure-track) in the Department of World Languages and Literature in the College of Arts and Sciences, effective Fall 2017; **Ms. Kristina Bieliewicz**, lecturer (non-tenure-track) in the Department of Center for Integrative Natural Science and Mathematics, in the College of Arts and Sciences, effective Fall 2017; **Dr. Gary Blahnik**, lecturer in the Department of Sociology, Anthropology and Philosophy in the College of Arts and Sciences, effective Fall 2017; **Dr. Robert Brice**, lecturer in the Department of Sociology, Anthropology and Philosophy in the College of Arts and Sciences, effective Fall 2017; **Dr. Kristi Brock**, lecturer, (non-tenure-track) in the Department of English in the College of Arts and Sciences, effective Fall 2017; **Mr. Nicholas Brummer,** assistant professor of practice, in the Department of Communications in the College of Informatics, effective Fall 2017; **Mr. Rueben Bullard**, lecturer (non-tenure-track) in CINSAM in the College of Arts and Sciences, effective Fall 2017; **Dr. Joy Burdette**, lecturer, in the music program in the College of Arts and Sciences, effective Fall 2017; **Mr. Anthony Burk,** lecturer in the Department of Business Informatics in the College of Informatics, effective Fall 2017; **Dr. Mei Mei Burr**, program director of Human Services and lecturer in the Department of Counseling, Social Work, and Leadership in the College of Education and Human Services, effective August 14, 2017; **Dr. Connie Bruins**, lecturer (non-tenure-track) in the Department of History and Geography in the College of Arts and Sciences, effective Fall 2017; **Dr. Mary Bucklin**, lecturer (non-tenure-track) in the Department of History and Geography in the College of Arts and Sciences, effective Fall 2017; **Dr. David Cain**, lecturer (non-tenure-track) in the Department of Physics, Geology and Engineering Technology in the College of Arts and Sciences, effective Fall 2017; **Ms. Shelley Cain**, lecturer (non-tenure-track) in the Department of Mathematics and Statistics in the College of Arts and Sciences, effective Fall 2017; **Ms. Lindsey Caldwell Thomas**, lecturer (non-tenure-track) in the Department of English in the College of Arts and Sciences, effective Fall 2017; **Ms. Amber Carter**, outreach specialist in CINSAM in the College of Arts and Sciences, effective Fall 2017; **Dr. Steve Castellano**, lecturer (non-tenure-track) in the Department of Biology in the College of Arts and Sciences, effective Fall 2017; **Mr. Keith Collins**, lecturer (non-tenure-track) in the Department of Kinesiology and Health in the College of Education and Human Services, effective Fall 2017; **Mr. Michael Collins**, lecturer (non-tenure-track) in the Department of Mathematics and Statistics in the College of Arts and Sciences, effective Fall 2017; **Dr. Joshua Cooper**, lecturer (non-tenure-track) in the Department of Biology in the College of Arts and Sciences, effective Fall 2017; **Ms. Michelle Crowley**, lecturer in the Department of Communications in the College of Informatics, effective Fall 2017; **Ms. Chrystal Culbertson**, lecturer (non-tenure-track) in the Department of Mathematics and Statistics in the College of Arts and Sciences, effective Fall 2017; **Ms. Rhonda Davis**, lecturer in the Integrative Studies in the College of Arts and Sciences, effective Fall 2017; **Mr. Jason Farkas**, lecturer (non-tenure-track) in the Department of Political Science, Criminal Justice and Organizational Leadership in the College of Arts and Sciences, effective Fall 2017; **Dr. Andrea Fieler**, lecturer in the Department of World Languages and Literature in the College of Arts and Sciences, effective Fall 2017; **Mr. Jeffrey Girton**, lecturer (non-

tenure-track) in the Department of Political Science, Criminal Justice and Organizational Leadership in the College of Arts and Sciences, effective Fall 2017; **Dr. Luis Gomez**, lecturer (non-tenure-track) in the Department of Physics, Geology and Engineering Technology in the College of Arts and Sciences, effective Fall 2017; **Ms. Elaine Guenther,** lecturer (non-tenure-track) in the Department of Accounting and Business Law in the College of Business, effective Fall 2017; **Dr. Nicole Grant**, lecturer in the Department of Sociology, Anthropology and Philosophy in the College of Arts and Sciences, effective Fall 2017; **Ms. Megan Henson**, lecturer (non-tenure-track) in the Department of English in the College of Arts and Sciences, effective Fall 2017; **Ms. Kristin Hornsby**, lecturer in the theatre and dance program in the College of Arts and Sciences, effective Fall 2017; **Dr. Eric Hugo,** lecturer (non-tenure-track) in the Department of Biology in the College of Arts and Sciences, effective Fall 2017; **Mr. Daniel Hunter**, lecturer, (non-tenure-track) in the Department of Physics, Geology and Engineering Technology in the College of Arts and Sciences, effective Fall 2017; **Ms. Christine Jones**, lecturer (non-tenure-track) in the theatre and dance program in the College of Arts and Sciences, effective Fall 2017; **Mr. Daniel Kent,** lecturer (non-tenure-track) in the Department of Management in the College of Business, effective Fall 2017; **Mr. Moshen Keshtvartz**, lecturer (non-tenure-track) in the Department of Physics, Geology and Engineering Technology in the College of Arts and Sciences, effective Fall 2017; **Mr. James Kirtley**, lecturer (non-tenure-track) in the Department of Accounting and Business Law in the College of Business, effective Fall 2017; **Ms. Denise Knisely**, lecturer in the Department of Sociology, Anthropology and Philosophy in the College of Arts and Sciences, effective Fall 2017; **Dr. Wooram Kwon**, lecturer in the music program in the College of Arts and Sciences, effective Fall 2017; **Mr. Stephen Leigh**, lecturer (non-tenure-track) in the Department of English in the College of Arts and Sciences, effective Fall 2017; **Ms. Marla Lemmon**, lecturer (non-tenure-track) in the Department of Mathematics and Statistics in the College of Arts and Sciences, effective Fall 2017; **Mr. Joseph Lombardi**, lecturer (non-tenure-track) in the Department of History and Geography in the College of Arts and Sciences, effective Fall 2017; **Dr. Kirsten Lovett**, lecturer (non-tenure-track) in the Department of Political Science, Criminal Justice and Organizational Leadership in the College of Arts and Sciences, effective Fall 2017; **Ms. Sarah Mann**, lecturer in the Department of Business Informatics in the College of Informatics, effective Fall 2017; **Mr. Bradley Metzger**, lecturer in the Department of Business Informatics, in the College of Informatics, effective Fall 2017; **Mr. Louise Manchise,** lecturer in the Haile Practice Professorship in Human Resource Management in the Department of Management in the College of Business, effective Fall 2017; **Mr. Roger Miller**, lecturer (non-tenure-track) in the Department of Physics, Geology and Engineering Technology in the College of Arts and Sciences, effective Fall 2017; **Mr. Mike Milward**, lecturer in the Department of Biology in the College of Arts and Sciences, effective Fall 2017; **Dr. Jongsoo Park**, lecturer (non-tenure-track) in the Department of Political Science, Criminal Justice and Organizational Leadership in the College of Arts and Sciences, effective Fall 2017; **Ms. Barbara Phillips**, lecturer (non-tenure-track) in the Department of Mathematics and Statistics in the College of Arts and Sciences, effective Fall 2017; **Ms. Kathleen Quinn**, lecturer (non-tenure-track) in the Department of History and Geography in the College of Arts and Sciences, effective Fall 2017; **Ms. Julie Reizner**, lecturer (non-tenure-track) in the Department of Physics, Geology and Engineering Technology in the College of Arts and Sciences, effective Fall 2017; **Mr. Chad Ruschman**, lecturer (non-

tenure-track) in the Department of Chemistry in the College of Arts and Sciences, effective Fall 2017; **Mr. Charles Roetting**, lecturer (non-tenure-track temporary) of theatre in the School of the Arts, effective August 14, 2017; **Mr. Stephen Roush,** lecturer (non-tenure-track) in the Department of Management in the College of Business, effective Fall 2017; **Dr. Illiana Rosales Figueroa**, lecturer (non-tenure-track) in the Department of World Languages and Literature in the College of Arts and Sciences, effective Fall 2017; **Dr. Beatriz Russell**, lecturer (non-tenure-track) in the Department of Chemistry in the College of Arts and Sciences, effective Fall 2017; **Dr. Michael Simonton**, lecturer in the Department of Sociology, Anthropology and Philosophy in the College of Arts and Sciences, effective Fall 2017; **Mr. William Slaven**, professor of practice, in the Department of Business Informatics in the College of Informatics, effective Fall 2017; **Mr. Christopher Smith**, lecturer (non-tenure track temporary) of visual arts in the School of the Arts, effective August 14, 2017; **Dr. Kayla Steltenkamp**, lecturer (non-tenure-track) in the Department of Teacher Education in the College of Education and Human Services, effective Fall 2017; **Ms. Crystal Summers** lecturer in the Department of Business Informatics, in the College of Informatics, effective Fall 2017; **Ms. Marcia Vorholt**, lecturer (non-tenure-track) in the Department of Accounting and Business Law in the College of Business, effective Fall 2017; **Mr. James Taylor**, lecturer (non-tenure-track) in the Department of Counseling, Social Work, and Leadership in the College of Education and Human Services, effective Fall 2017; **Ms. Laura Urbanski**, lecturer (full-time non-tenure-track) in the Department of Mathematics and Statistics in the College of Arts and Sciences, effective Fall 2017; **Ms. Kristina Vise**, lecturer in the Department of Sociology, Anthropology and Philosophy in the College of Arts and Sciences, effective Fall 2017; **Dr. Sophie Wang**, lecturer in the music program in the College of Arts and Sciences, effective Fall 2017; **Dr. Smita Ward**, lecturer in the Department of Psychological Science in the College of Arts and Sciences, effective Fall 2017; **Ms. Natalie Williams**, lecturer in the Learning Plus program in the College of Arts and Sciences, effective Fall 2017; **Dr. Eli White**, lecturer in the Department of Psychological Science in the College of Arts and Sciences, effective Fall 2017; **Dr. Rachel Zlatkin**, lecturer (non-tenure-track) in the Department of English in the College of Arts and Sciences, effective Fall 2017.

2. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between March 28, 2017 and August 7, 2017 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular & Regular to Contract; Departures; Retirements; Administrative/Executive.

3. Major Gifts Acceptance:

The Board of Regents officially accepted contributions totaling $3,428,098.26 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period April 1, 2017 through August 1, 2017.

4. **Naming Recommendations (Newman, Puthoff, Timmel, L.I.F.E. Undergraduate Fellowship Program, Houston, Schlotman, Crane, St. Elizabeth Healthcare Executive Director of the Institute for Health Innovation):**

(1) The naming of an endowed fund to support student-centered initiatives that the Department of Mathematics and Statistics deem most important such as student scholarships and awards, faculty and student research collaborations, conference travel, competitions, guest speakers, student recruitment, and interaction with high school math teachers. "Newman Fund".

(2) The naming of an endowed fund to support scholarships for part-time students attending Chase College of Law. "Tracy A. Puthoff Endowed Scholarship".

(3) The naming of an endowed fund in support of scholarships for Chase College of Law students. "Timothy L. and Janice M. Timmel Endowed Scholarship in Trial Practice".

(4) The naming of an endowed fund in support of scholarships, research stipends, and computational resources for undergraduate students in the College of Informatics who exhibit the qualities necessary to pursue a PhD and become successful researchers who advance biomedical research using computational approaches. "L.I.F.E. Undergraduate Fellowship Program" and the "L.I.F.E. Undergraduate Fellowship High Performance Computing Fund".

(5) The naming of a fund to provide annual support for an undergraduate scholarship for a member of the NKU women's basketball team. "The Frank Houston Scholarship".

(6) The naming of an endowed fund in support of scholarships for students with a declared major in middles grades or secondary education fields of study. "Teri Llyn Schlotman and Timothy J. Schlotman Educator Scholarship".

(7) The naming of and endowed scholarship in support of scholarships for students enrolled in the Chase College of Law. "Deb and Whit Crane Endowed Scholarship".

(8) The naming of the executive director position of the institute connected to the Health Innovation Center. "St. Elizabeth Healthcare Executive Director of the Institute for Health Innovation".

5. **Staff Emeritus Appointment (Pettit):**

The Board of Regents approved the Staff Emeritus status for the following individual.

Charles Pettit, Superintendent of Building Services for Facilities Management, retired effective May, 2017.

6. **Faculty Handbook Amendment (Biennial Review):**

   The Board of Regents approved the Faculty Handbook amendment regarding Biennial Review.

7. **Faculty Handbook Amendment (Project Grant Award):**

   The Board of Regents approved the Faculty Handbook amendment regarding Project Grant Award.

8. **Faculty Handbook Amendment (Summer Fellowship):**

   The Board of Regents approved the Faculty Handbook amendment regarding Summer Fellowship.

9. **Chair Handbook Amendment (Vacation Leaves):**

   The Board of Regents approved the Chair Handbook amendment regarding Vacation Leaves.

10. **Nursing Department Recommendation:**

    The Board of Regents approved that the Department of Nursing and Department of Advanced Nursing Studies should be merged, beginning in the fall of 2017.

11. **Center for Environmental Education:**

    The Board of Regents approved effective July 1, 2017, The Center for Environmental Education, currently housed in CINSAM in the College of Arts and Sciences will transfer to the Department of Teacher Education in the College of Education and Human Services.

12. **FY 2017-2018 Tuition and Mandatory Fee Rate Correction:**

    The Board of Regents endorsed the addition of the Master of Business Administration (MBA) tuition rate as notated on the attached Schedule of Tuition and Mandatory Fees. The Board endorsed the corrected Online Undergraduate tuition rate.

13. **Organizational Chart Update:**

    The Board of Regents approved the organizational chart which reflects all NKU Administrative updates through September 6, 2017.

D. <u>Board Recommendation:</u>

Regent Dennis Repenning seconded Regent Gregory Shumate's motion to name Regent Andrá Ward as Secretary. **(Motion carried)**

E. Executive Session:

Regent Andrá Ward seconded Regent Richard Boehne's motion to enter into executive session pursuant to KRS 61.810(1) (c) and (f) **(Motion carried)**

No other matters were discussed. No final action was taken.

F. Board Self-Evaluation:

The Board decided to move the Board Self-Evaluation to the November 8, 2017 Board of Regents meeting.

At 4:27 p.m., Regent W. Lee Scheben seconded Regent Gregory Shumate's motion to adjourn. **(Motion carried)**

Wendy J. Peek
Senior Administrative Assistant
Office of the President

Benjamin Jager
Executive Assistant to the President/
Secretary to the Board of Regents

I, Andrá Ward, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on September 6, 2017, and that such matters are still in force and effect.

Andrá Ward
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Board of Regents Meeting
NKU, Student Union 104 – Thursday, October 19, 2017– 4:30 p.m.

4:30 p.m.

- Call to Order
- Roll Call

A. **Executive Session:** Discussion of Presidential Candidates

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
October 19, 2017

Regent Richard Boehne, Chair, called the special meeting of the Board of Regents to order at 4:40 p.m., Thursday, October 19, 2017.

Roll Call: Richard Boehne, Richard L. Boyce, Normand Desmarais, Sami Dada, Ashley Himes, Terry Mann, Dennis Repenning, W. Lee Scheben, Gregory Shumate, Arnie D. Slaughter, Andrá Ward.

Other Attendees: Ben Jager, Wendy Peek, Dan Nadler, Kim Scranage, Eric Gentry, Travis Gibbons, Tracy Insko, Kerry Stephens.

D. <u>Executive Session</u>:

Regent Andrá Ward seconded Regent Richard Boehne's motion to enter into executive session pursuant to KRS 61.810(1)(F). **(Motion carried)**

No other matters were discussed. No final action was taken.

At 6:44 p.m., Regent Richard Boyce seconded Regent Andrá Ward's motion to adjourn. **(Motion carried)**

Wendy J. Peek
Senior Administrative Assistant
Office of the President

Benjamin Jager
Executive Assistant to the President/
Secretary to the Board of Regents

I, Andrá Ward, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the special meeting held on October 19, 2017, and that such matters are still in force and effect.

Andrá Ward
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Board of Regents Meeting
NKU, Student Union 104 – Thursday, November 2, 2017– 2:00 p.m.

2:00 p.m.

- Call to Order
- Roll Call

A. **Executive Session:** Discussion of Presidential Candidates

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
November 2, 2017

Regent Richard Boehne, Chair, called the special meeting of the Board of Regents to order at 2:00 p.m., Thursday, November 2, 2017.

Roll Call: Richard Boehne, Richard L. Boyce, Normand Desmarais, Sami Dada, Ashley Himes, Terry Mann, Dennis Repenning, W. Lee Scheben, Gregory Shumate, Arnie D. Slaughter, Andrá Ward.

Other Attendees: Ben Jager, Wendy Peek, Travis Gibbons, Chris Bowling, Jared Schindler.

D. <u>Executive Session</u>:

Regent Terry Mann seconded Regent Richard Boehne's motion to enter into executive session pursuant to KRS 61.810(1)(F). **(Motion carried)**

No other matters were discussed. No final action was taken.

At 4:49 p.m., Regent Dennis Repenning seconded Regent Terry Mann's motion to adjourn. **(Motion carried)**

Wendy J. Peek
Senior Administrative Assistant
Office of the President

Benjamin Jager
Executive Assistant to the President/
Secretary to the Board of Regents

I, Andrá Ward, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the special meeting held on November 2, 2017, and that such matters are still in force and effect.

Andrá Ward
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, November 7, 2017 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at Regent Boehne's Home (Newport, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, November 8, 2017 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Annual Financial Report (Hales, Kerdolff)
2. Enrollment and Degree Management (Scranage, Padgett, Rainey, Stewart)
3. Inclusive Excellence (Roberts)
4. Steely Library (Ott Rowlands, Almquist, Hamill, Hammons)

Board Lunch
NKU, Student Union 108 – Wednesday, November 8, 2017 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, November 8, 2017 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of September 6, 2017, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Report, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Hodges Moore)
2. Research/Grants/Contracts Report (July 1, 2017 – September 30, 2017) (Ott Rowlands)
3. Fundraising Report (July 1, 2017 – September 30, 2017) (Gentry)
4. Annual Financial Report (Hodges Moore)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Major Gifts Acceptance
4. *CPE Accountability Metrics and Targets
5. *2018-2020 Capital Budget
6. *Faculty Emeritus Status Appointments (Firak, Stavsky, Valuari)
7. *Institute for Student Research and Creative Activity
8. *Department of Chemistry Name Change
9. *New Bachelor of Science Degree in Health Communication
10. *Certificate in Addictions Research and Practice
11. *Non-employee Participation on Sponsored Projects Policy
12. *Financial Conflict of Interest Disclosure for Research Policy
13. *Establishing, Reviewing, and Discontinuing Centers and Institutes Policy
14. *Responsible Conduct of Research Policy
15. *Credit for Prior Learning Policy
16. *Granting Honorary Degrees Policy
17. *Graduate Admission Categories Policy
18. *Inclusive Excellence Plan

2:00 p.m.

D. Executive Session

E. Board Self-Evaluation

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, November 6, 2017).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
November 8, 2017

Regent Richard Boehne, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, November 8, 2017.

Roll Call: Richard Boehne, Richard L. Boyce, Sami Dada, Normand Desmarais, Ashley Himes, Terry Mann, Dennis Repenning, W. Lee Scheben, Gregory Shumate, Arnie D. Slaughter. Absent: Andrá Ward.

Other Attendees: Gerard St. Amand, Ben Jager, Wendy Peek, Tammy Knochelmann, Ken Bothof, Eric Gentry, Sue Hodges Moore, Daniel Nadler, Sue Ott Rowlands, Kathleen Roberts, Kim Scranage, Mike Hales, Kevin Kirby, Cindy Reed, Mary Kishman, Tracey Sigler, Tracy Insko, Chris Bowling, Jonathan Erion, Mark Brackman, Allen Cole, Christian Gamm, Melissa Gorbandt, Sara Kelley, Samantha Langley, Russ Kerdolff, Kathy Stewart, Leah Stewart, Syed Zaidi, Jason Vest, Mary Paula Schuh, Gina Rittinger, Chad Ogle, Mark Hansel, Adam Branscum, Brice Hamill, Jennifer Moeves, Kelsey Haskins, Ryan Padgett, Blaine Gilmore, Diana Sims, Sam Rosenstiel, Janel Bloch, Tiffany Freytag, Anna Wright, Randy Ruttenburg, Jude Noel.

Regent Richard Boyce seconded Regent Terry Mann's motion to approve the minutes of the September 6, 2017 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Intro:

Thanks, Rich.

In the 2 months since our last regularly scheduled Board meeting, I have spent the most significant part of my time on resource matters – both internally and externally. The primary focus of these resource matters has been on our state appropriation and on the KERS pension situation. Let me touch on both of those.

State Appropriation:

First, our state appropriation. Recall that in the last biennium, all universities experienced a 4.5% (~$2.2M) cut in their FY17 state appropriation. However, NKU received an additional $5.1M in recurring state appropriations in FY18 to address our historical underfunding. That happened because the CPE first identified the extent of our historical underfunding ($10.7M) and the Governor put the entire $10.7M into his budget request to the General Assembly. The legislative process reduced that to $5.1M, with a pledge (not legally enforceable) to address the remainder next biennium. That next biennium is now!

Recall also that during that same legislative session, a performance-based funding model was adopted for KY higher education.

This time around, after weeks of sometimes contentious meetings among all the university presidents and the CPE staff, the Council last Friday approved a budget request to submit to the Governor that included a performance-based $5.1M operating fund increase in NKU's state appropriation, as part of a larger request that included more limited operating fund increases to a few other universities.

New money appropriated for this purpose is essential to address the funding disparity among the Kentucky public universities. This is so because although the performance-based funding model contemplates moving money among the universities to address any performance-based funding disparity from year-to-year, the model is phased in over 4 years, with this year having a full 100% stop loss – meaning that no school can lose any money, so no school can gain money unless new money is appropriated.

A strong advocacy effort will be required to ensure these additional funds are appropriated.

In addition to a performance-based funding increase in operating funds for NKU and a few others, the Council also included in their budget submission the following:

a. A request for funds to offset the projected increase in KY retirement system pension costs for each of the comprehensive universities (neither UK nor UL participate in the Kentucky retirement system);

b. A request for Bucks for Brains funding – funds designed to serve as matching funds for private gifts to support certain endowments or capital projects;

c. And, a request for funds to support capital preservation – to enable universities to address the large backlog of deferred maintenance projects.

Considering the state of Kentucky's finances, support for all these CPE requests is unlikely. However, despite the state's budget woes, in conversations with a number of legislators and the Governor's office, there seems to be support at a minimum for addressing the remainder of NKU's and WKU's underfunding. Again, a strong advocacy effort will be required to keep this issue as a top priority in this legislative session. We will need you, our campus community and our region to come together again to finish the job.

In addition, there is strong support by the Governor and the General Assembly to address the Kentucky retirement system challenge in some fashion. That takes me to my second item

Pension Reform

The current pension system for most of our staff (excluding faculty and senior administrators) is KERS. NKU's current employer contribution into KERS is set at 49.5% of the employee's salary for FY18. Meaning for every dollar we pay an employee in salary, we contribute fifty

cents to KERS. The total annual cost to NKU for KERS this FY (FY18) is $18.3M, up from just $3.3M in FY09. That represents an increase of $15M in our annual cost in just 9 years – over 500% increase – an effective $15M budget cut. Twice as large as all the direct cuts in our state appropriation during that time.

Think about this, an extra $15M annual contribution (an average of about an extra $20K per employee in KERS), to provide absolutely no increase in any employee pension benefit – just to fund the benefit the employee already had. This is by far the greatest cause of our limited ability over this period to provide much in the way of salary increases.

We have been told that if there are no changes to the pension system, the employer's contribution rate will increase from 49.5% to 84% next year. This would increase NKU's KERS contribution by over $12M to a total of $31M per year, an increase of 70% in one year. An extra average per KERS employee of about $15K, once again to provide absolutely NO increase in any employee's pension benefits – just to fund the benefits the employee already had.

However, the Governor and legislative leaders have committed to making major changes this year to reform the system. A draft bill is now under consideration by the General Assembly in anticipation of a special legislative session expected to be called by the Governor.

Although the bill as currently written may not represent what is ultimately passed, there appears to be strong support for some core provisions related to KERS. For example,

1. All new employees would go into a defined contribution plan. This means a 401(k)-type plan where the employee contributes a percentage of their salary with a percentage match by NKU into a fund that belongs to the employee. This is the type of system our faculty and senior administrators have had for years.

    a. Although the current draft legislation contemplates the state having its own defined contribution plan, we've requested employees be able to participate in our TIAA defined contribution plan just like our faculty and senior administrators.

    b. A valuable characteristic of the defined contribution plan is that it is portable – meaning employees get to keep their money if they move to another job with another employer.

    c. Also, if the extra $15M that NKU has had to pay into KERS instead went into employees 401(k) type retirement plan, that would have directly increased each employee's retirement benefits, unlike all this money we have poured into KERS which didn't increase any employee's pension benefits.

2. In addition to affecting new employees, Tier III employees (those who started their employment after January 1, 2014 would be transferred from KERS to a defined contribution plan. Roughly 30% of our employees in KERS are Tier III employees. (i.e., about 30% of our staff salary dollars are for Tier 3 employees);

3. We are advised further that other employees in KERS would have an option to change from KERS to a defined contribution plan;

4. Once it is known which employees remain in KERS, the state will calculate the full actuarial cost of the benefits for these employees and current retirees to determine our full liability – that liability will then be financed over 30 years and we will be provided our annual required contribution that presumably will be fixed for 30 years. We've requested more details from the state budget office regarding how we can estimate that cost.

5. Universities would have the option to exit the KERS system entirely by the paying immediately the current actuarial cost for all existing KERS pension obligations (for current retirees and current employees whose KERS benefits would be fixed/frozen upon the transfer). That cost would presumably be less than the actuarial cost for the option where many of our employees remain in KERS. We've requested from the state budget office information regarding how we can estimate that cost and regarding our ability to finance this cost.

6. All this relates to non-hazardous employees. Our NKU police officers are in KERS, but in the hazardous employee program which is significantly different and has to be evaluated differently.

We expect this bill draft to experience some amendments, and we'll keep tracking what is happening to understand fully what the legislation's impact is on NKU's cost, but also how every provision affects our employees.

We want to understand, if we as the employer have an option, what our relative costs are, but also what impact our choice would have on our employees. This is important so we can consider the total impact before making a choice.

We also want to understand the impact of choices our employees may have, so we can appropriately counsel them to help them make the best decision.

This is a very fluid process. I'll keep you updated as much as possible as we learn more.

First Amendment

In recent times, our campus has become noticeably more politically active – and that's a good thing for a university. But with that activism, comes a greater likelihood of conflict among people of competing views. And with that there comes a need for an understanding by all members of our community of the ground rules regarding free speech.

In response to this growing activism, over the past month or so I received a number of communications from students, faculty, and staff objecting to virtually every expression of views on various topics and demanding that the university prohibit certain speakers, certain views, or certain means of expression on campus. These objecting voices demanded that the university protect them from exposure to people, views, or expressions they found offensive.

Those responses, and also a more physical response that damaged an approved display on campus, convinced me that it was important to remind our campus community of what the law requires and permits of a public university related to free speech. I sent an email message to our campus community explaining how the First Amendment to the U.S. Constitution applies on a public university campus.

Protecting free speech rights of people on our campus, regardless of how much we might disagree with the views expressed, and regardless of whether the speaker is a student, employee, invited guest, or simply a person choosing to come to campus to speak in our public spaces, is not our choice – it is the law. We do not have the choice to limit speech because it offends others or triggers unpleasant memories in others.

As individuals on a public campus, we have no legally protected right to be shielded from views we find offensive. Our own views on any given topic do not limit other people's rights to share opposing views, either verbally or visually, however disagreeable they might be to us. Indeed, the very intent of the First Amendment is to protect views that might represent minority or unpopular positions from the power of the majority and the state to suppress.

A public university is the ideal place for the free exchange of views and for the battle of ideas – this exchange being essential for the preservation of a free and democratic society.

As we continue to encounter the expression of competing ideas that the 1st Amendment protects, I hope that we as a community can grow to respectfully and thoughtfully engage in these important discussions.

On a more upbeat note, great things happening on campus. Let's turn first to Norse Athletics.

Norse Athletics

Senior Macy Hamblin of the women's soccer team was recently named the Horizon League Player of the Year for the third consecutive time. She is only the second player in league history to earn this tremendous honor.

On the Men's soccer team, junior Jim Barkei was just named as the Horizon League Goalkeeper of the Year.

The men's basketball program has continued to ride the momentum from last season's exciting finish. As a result, season ticket sales are up 75% from this time last year. [That means the team will have approximately 600 new Norse fans cheering in the stands every game this season.]

Additionally, the team was recently ranked #11 in the collegeinsider.com mid-major preseason poll. This is the highest ranking in any poll since the team reclassified to Division I. The team took care of business against Urbana on Saturday in an exhibition game. Regular season play kicks off on Friday at the BB&T Arena at 7pm against East Tennessee State.

The Women's basketball team also held court in their exhibition game over the weekend defeating Asbury College. They open up regular season play at home on Saturday at 1pm against Kent State. Good luck to both teams this weekend.

College highlights

1. There is also similarly momentous activity happening in our colleges. On October 19, students from our Cybersecurity program through the College of Informatics were invited to participate in a "capture the flag" competition through the US Bank Strength in Security Conference. Teams from NKU competed remotely against their counterparts from the University of Minnesota. The students put their web security, computer forensics, and general problem-solving skills to the test. The teams from NKU swept through the competition placing 1st, 2nd, and 3rd. Congratulations to the students as well as Dean Kevin Kirby and his team for continuing to put NKU on the national map regarding cybersecurity.

2. That same day while our informatics students were remotely representing the university, the College of Education and Human Services was busy hosting the Young Women's LEAD Conference on campus. The conference is for Greater Cincinnati and Northern Kentucky high school girls to come together and focus on topics such as leadership, education, and development. It is designed so these girls can connect with nationally recognized speakers, local business leaders, and one another to embrace their strengths to reach their full potential. Organizers expected 600 attendees, but over 900 students attended the event from 35 different area high schools. Congratulations to Dean Cindy Reed and everyone affiliated with this event for drawing such positive attention to the good work being done here.

3. On Friday, NKU is hosting the inaugural conference of the Ohio River Valley Addiction Research Consortium (ORVARC). ORVARC's mission is to increase collaboration among researchers, regardless of institutional and disciplinary boundaries, in an effort to address opioid addiction in the Ohio River Valley. The conference will focus on evidence-based research on neonatal abstinence syndrome (NAS), basically the impact of babies born to addicted moms. There will be nearly 200 attendees from 19 universities in Kentucky, Ohio, Indiana, West Virginia, and Tennessee.

4. Finally, also on Friday, our Veteran's Resource Center will lead a Veterans Day flag raising ceremony at noon at the flag pole outside Nunn Hall, followed by an MRE lunch! Recognizing that Veterans Day is a time to honor all veterans, I think we have to consider paying particular attention to the young men and women who volunteer to serve today. We are in probably the most dangerous time to serve in the military since the migration to an all-volunteer military following the Vietnam War. Today's young men and women who enter military service know the enormous dangers confronting them, yet they still volunteer to serve. They are models of courage and selfless service who are true inspirations to all of us. I invite all of you to attend the flag raising ceremony to honor these young men and women.

This concludes my remarks.

B. Presidential Reports:

1. Facilities Management Report (Senior Vice President Sue Hodges Moore)

a. Health Innovation Center/Founders Hall Renovation
b. Parking Lots A and K Reconstruction
c. Condensate Leak Repair
d. Ceramics and Sculpture Building HVAC Replacement
e. Energy Savings Performance Contract
f. Norse Hall, Norse Commons, and Woodcrest Water Heater Replacements
g. Business Academic Center Roof Restoration
h. Phasing out of the Schroeder Key System Phase 2 of 2
i. Incubator Two Roof Restoration
j. Science Center Roof Restoration
k. Kentucky Hall Renovation
l. Sustainability
m. US 27 Development
n. North Connector Road

2. Research, Grants, and Contracts Report (July 1, 2017 – September 30, 2017) (Provost and Executive Vice President Sue Ott Rowlands).

During the July 1, 2017 through September 30, 2017 time period, 19 grants were awarded. The total amount of money awarded was $2,542,524. For the Fiscal year 2017 – 2018, the cumulative total number of grants awarded is 19 totaling $2,542,524.

3. Fundraising Report (July 1, 2017 through September 30, 2017) (Vice President Eric Gentry).

The Fundraising Report summarized fundraising resources committed from July 1, 2017 through September 30, 2017 totaling $3,222,394 in support of the university.

4. Annual Financial Report (Senior Vice President Sue Hodges Moore).

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Terry Mann and seconded by Regent Arnie Slaughter to approve the following Presidential Recommendations as listed; C-1 through C-18. **(Motion carried)**

1. **Academic Affairs Personnel Actions:**

   a. **Faculty Appointments:**

   Mr. Nicholas Brummer, from temporary lecturer in the Communication Program in the College of Informatics to renewable assistant professor of practice, effective August 14, 2017; **Mr. Kevin Corea**, lecturer and retention specialist in the departments of Mathematics and Statistics and World Languages and Literature, effective January 8, 2018; **Dr. Abdou Ndoye**, Vice Provost for Assessment, to associate professor with tenure in the Department of Teacher Education effective, effective September 1, 2017; **Ms. Nicole Perrone**, lecturer and retention specialist for theatre in the School of the Arts, effective August, 14, 2017; **Mr. William Charles Slaven**, to renewable professor of practice in the Business Informatics Program in the College of Informatics, effective August 14, 2017; **Ms. Hallie Sylvestro**, lecturer (non-tenure track temporary) in the Department of Counseling, Social Work, and Leadership, effective September 1, 2017; **Ms. Emily Taylor**, from advisor in the College of Informatics Advising Center to renewable lecturer in the Computer Science Program in the College of Informatics, effective August 14, 2017.

   b. **Transitions:**

   Dr. Carol Ryan, from Associate Dean of Academic Affairs in the College of Education and Human Services effective June 30, 2018. Upon completion of sabbatical (two semesters), Dr.Ryan will return to the Department of Kinesiology and Health as Associate Professor in Fall 2019; **Ms. Rebecca Volpe**, from Director of the Small Business Development Center to Director of the Small Business Development Center and lecturer of accounting (non-tenure-track temporary) in the Department of Accounting and Business Law in the Haile/US Bank College of Business, effective October 1, 2017.

   c. **Departures:**

   Ms. Stacey Schaff, lecturer, (non-tenure track renewable) in the College of Education and Human Services Advising Center, effective September 27, 2017.

   d. **Temporary Faculty Appointments:**

   Dr. Katherine Elrick, visiting assistant professor, (non-tenure track) in the Department of English in the College of Arts and Sciences, effective Fall 2017; **Ms. Darci Guriel,** lecturer in the Department of Computer Science in the College of Informatics effective October 1, 2017; **Mr. Charles Heath**, lecturer of marketing (non-tenure-track temporary) in the Department of Marketing, Sports Business, and Construction Management in the Haile/US Bank College of Business, effective August 14, 2017; **Mr. Kenneth Roth**, lecturer in the Department of Computer Science in the College of Informatics effective August 14, 2017; **Ms. Davette Shorter**, lecturer of marketing (non-tenure-track temporary) in the Department of Marketing, Sports Business, and Construction Management in the Haile/US Bank College of Business, effective August 14, 2017.

2. **Non-Academic Personnel Actions:**

   The following categories of non-academic personnel actions which occurred between August 8, 2017 and October 9, 2017 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular & Regular to Contract; Departures; Retirements; Administrative/Executive.

3. **Major Gifts Acceptance:**

   The Board of Regents officially accepted contributions totaling $351,000 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period August 2, 2017 through September 30, 2017.

4. **CPE Accountability Metrics and Targets:**

   The Board of Regents endorsed the targets that Northern Kentucky University (NKU) set for the accountability metrics required by the Council on Postsecondary Education (CPE).

5. **2018-2020 Capital Budget:**

   The Board of Regents adopted the 2018-2020 Capital Budget.

6. **Faculty Emeritus Status Appointments (Firak, Stavsky, Valuari):**

   The Board of Regents approved the Emeritus status for the following individuals.

   Ms. Nancy Firak, Professor of Law, Salmon P. Chase College of Law; **Mr. Mark Stavsky,** professor of Law, Salmon P. Chase College of Law; **Mr. John Valuari**, Professor of Law, Salmon P. Chase College of Law.

7. **Institute for Student Research and Creative Activity:**

   The Board of Regents approved the Institute for Student Research and Creative Activity.

8. **Department of Chemistry Name Change:**

   The Board of Regents approved the name change of the Department of Chemistry. The faculty of the Department of Chemistry requested to change their name to the Department of Chemistry and Biochemistry.

9. **New Bachelor of Science Degree in Health Communication:**

   The Board of Regents approved the Bachelor's Degree in Health Communication for immediate implementation.

10. Certificate in Addictions Research and Practice:

The Board of Regents approved the Post Baccalaureate Certificate in Addictions Research and Practice approved for immediate implementation.

11. Non-employee Participation on Sponsored Projects Policy:

The Board of Regents approved the Non-Employee Participation on Sponsored Projects Policy.

12. Financial Conflict of Interest Disclosure for Research Policy:

The Board of Regents approved the Financial Conflict of Interest Disclosure for Research Policy.

13. Establishing, Reviewing, and Discontinuing Centers and Institutes Policy:

The Board of Regents approved the Establishing, Reviewing, and Discontinuing Centers and Institutes Policy.

14. Responsible Conduct of Research Policy:

The Board of Regents approved the Responsible Conduct of Research, Scholarship, and Creative Activity Training Policy.

15. Credit for Prior Learning Policy:

The Board of Regents approved the Credit for Prior Learning Policy, which replaces the Prior Learning Assessments and Advanced Standing Policies.

16. Granting Honorary Degrees Policy:

The Board of Regents approved that a policy be established for the granting of honorary degrees by Northern Kentucky University.

17. Graduate Admission Categories Policy:

The Board of Regents approved the Graduate Admission Categories Policy.

18. Inclusive Excellence Plan:

The Board of Regents approved the Northern Kentucky University 2022 Inclusive Excellence Plan.

D. Executive Session:

Regent Terry Mann seconded Regent Richard Boehne's motion to enter into executive session pursuant to KRS 61.810(1) (f) and (g) **(Motion carried)**

No other matters were discussed. No final action was taken.

E. Board Self-Evaluation:

Regent Terry Mann seconded Regent Richard Boehne's motion to move the Board Self-Evaluation to a future Board of Regents meeting.

At 3:23 p.m., Regent Richard Boyce seconded Regent Terry Mann's motion to adjourn. **(Motion carried)**

Wendy J. Peek
Senior Administrative Assistant
Office of the President

Benjamin Jager
Executive Assistant to the President/
Secretary to the Board of Regents

I, Lee Scheben, Vice Chair of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on November 8, 2017, and that such matters are still in force and effect.

Lee Scheben
Vice Chair of the Board of Regents

AGENDA
Northern Kentucky University

Board of Regents Meeting
NKU, Student Union 104 – Thursday, November 9, 2017– 10:00 a.m.

10:00 a.m.

- Call to Order
- Roll Call

A. Executive Session

B. Recommendation
   a. Presidential search decisions

Board of Regents Meeting
Northern Kentucky University, Student Union 104
November 9, 2017

Regent Richard Boehne, Chair, called the special meeting of the Board of Regents to order at 10:00 a.m. on Thursday, November 9, 2017.

Roll Call: Richard Boehne, Richard L. Boyce, Normand Desmarais, Sami Dada, Ashley Himes, Terry Mann, W. Lee Scheben, Gregory Shumate, Arnie D. Slaughter, Andrá Ward. Absent: Dennis Repenning.

Regent Boehne addressed the audience and thanked them all for coming to the meeting.

Regent Normand Desmarais made a motion to approve Dr. Ashish K. Vaidya as the sixth president of Northern Kentucky University. The motion was seconded by Regent Gregory Shumate and the **motion passed unanimously.**

At 10:03 a.m. Regent Richard Boyce seconded Regent Terry Mann's motion to adjourn. **(Motion carried)**

Wendy J. Peck
Senior Administrative Assistant
Office of the President

Benjamin Jager
Executive Assistant to the President/
Secretary to the Board of Regents

I, Andrá Ward, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the special meeting held on November 9, 2017, and that such matters are still in force and effect.

Andrá Ward
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, January 9, 2018 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at Regent Desmarais's Home (Covington, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, January 10, 2018 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1.	NKU Strong	(Hodges Moore, Southwood, Rospert, Darwish)
2.	College of Arts & Sciences	(Ott Rowlands, McGill, Ferrante, Hackle, Strohmaier, Wassmer)
3.	Sabbaticals, Project Grants, Summer Fellowships	(Ott Rowlands, Lee, Ma)
4.	Student Engagement	(Nadler, Mayse, Bluford, Luken, Mulligan, Richardson)

Board Lunch
NKU, Student Union 108 – Wednesday, January 10, 2018 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union 104 – Wednesday, January 10, 2018 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of October 19, 2017, Board Minutes
- Approval of November 2, 2017, Board Minutes
- Approval of November 8, 2017, Board Minutes
- Approval of November 9, 2017, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1.	Facilities Management Report	(Hodges Moore)
2.	Research/Grants/Contracts Report (October 1, 2017 – November 12, 2017)	(Ott Rowlands)
3.	Fundraising Report (July 1, 2017 – November 30, 2017)	(Gentry)
4.	Quarterly Financial Report	(Hodges Moore)
5.	Policies Report	(Hodges Moore)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Major Gifts Acceptance
4. *Faculty Emeritus Status (Cornuelle)
5. *Policy Recommendation
6. *Naming Recommendation (Welti, Swarts, JRG, Frohlich, Koeninger, Robinson)

2:00 p.m.

D. Executive Session

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, January 8, 2018).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
January 10, 2018

Regent Richard Boehne, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, January 10, 2018.

Roll Call: Richard Boehne, Richard L. Boyce, Sami Dada, Normand Desmarais, Ashley Himes, Terry Mann, Dennis Repenning, W. Lee Scheben, Gregory Shumate, Arnie D. Slaughter, Andrá Ward.

Other Attendees: Gerard St. Amand, Ben Jager, Wendy Peek, Tammy Knochelmann, Ken Bothof, Joan Gates, Sue Hodges Moore, Daniel Nadler, Sue Ott Rowlands, Kathleen Roberts, Kim Scranage, Lori Southwood, Mike Hales, Diana McGill, Greg Martin, Kevin Kirby, Cindy Reed, Mary Kishman, Dale Stephenson, Lawrence Rosenthal, Chris Bowling, Jonathan Erion, Bill Farrow, Mark Brackman, Christian Gamm, Melissa Gorbandt, Sara Kelley, Samantha Langley, Russ Kerdolff, Kathy Stewart, Leah Stewart, Jason Vest, Mary Paula Schuh, Chad Ogle, Kelsey Haskins, Ryan Padgett, Blaine Gilmore, Sam Rosenstiel, Anna Wright, Britta Gibson, Janel Bloch, Matthew Zacate.

Regent Arnie Slaughter seconded Regent Terry Mann's motion to approve the minutes of the October 19, 2017 Board of Regents meeting. **(Motion carried)**

Regent Norm Desmarais seconded Regent Arnie Slaughter's motion to approve the minutes of the November 2, 2017 Board of Regents meeting. **(Motion carried)**

Regent Richard Boyce seconded Regent Norm Desmarais's motion to approve the minutes of the November 8, 2017 Board of Regents meeting. **(Motion carried)**

Regent Greg Shumate seconded Regent Richard Boyce's motion to approve the minutes of the November 9, 2017 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Good afternoon and thank you for the opportunity to make a few remarks before we get to the direct business of the Board.

December Commencement

A few weeks ago, we celebrated our annual December commencement. I have to say what a special privilege it was for me to be on stage to congratulate each of our newest graduates. The look of sheer joy on their faces as they crossed the stage is a memory I will not soon forget.

In December, we conferred about 1200 degrees and certificates upon a talented, diverse, and dedicated group of men and women. The number of degrees conferred in December is on par

with the record setting pace of the past few years. This success is due in large part to the dedication of our faculty and staff from all organizations within the university who together focus intently on ensuring that our students continue to be retained and graduated at record numbers.

Enrollment

Although we are not yet through the first week of the semester, I have some encouraging news to share about our enrollment numbers. Although official numbers are not obtained until later, as of the first day of class, our total headcount looks to be up very slightly – by 11 students, but up. If this trend holds, it will be the first increase in spring to spring enrollment since 2011.

The momentum from the fall appears to be carrying through to the spring. On top of the large number of December degrees awarded, we are adding more new students as well as continuing to improve our retention and persistence rates. I will provide final spring to spring enrollment results after the final census is taken in March.

Student-Athlete Academic Success

Speaking of records, our student-athletes continue to shatter them in the classroom. For the Fall 2017 semester, the student-athlete cumulative GPA was a 3.37; that's over 0.1 higher than last year's record and makes 13 consecutive semesters with a GPA over 3.0.

Congratulations to the entire Athletic Department, the student-athletes, their professors and advisors for this sustained commitment to academic excellence.

And, oh by the way, our recent men's basketball victory over conference foe Oakland University was not only an exciting game, but it was televised on ESPN2 to a national audience in prime time. Great success on a national stage.

Sue Hodges Moore

Last month, Sue Hodges Moore, our Senior Vice President of Administration and Finance, announced that she is retiring from NKU at the end of February. Sue has dedicated over 40 years of her professional life to higher education in Kentucky through service to NKU, CPE, and the University of Louisville.

While her pending retirement from NKU is not news to anyone here, I wanted to take a moment in this setting to thank Sue during what is her final Board of Regents meeting at NKU. Sue, thank you for your many years of dedicated service to this university and to higher education in our Commonwealth. You have truly made an enormous positive difference in your university. You will be missed. We all wish you the best in your new endeavor as Chief Strategy Officer at Ball State University.

US 27 Development

One of the projects Sue and her team have worked on during her tenure is the US 27 development. A few weeks ago, I was joined by representatives from Highland Heights, St. Elizabeth Healthcare, and Fairmount Properties to announce the plan for a mixed-use, town center-style development along US 27 and Nunn Drive. The development will serve as an exciting gateway to our campus featuring restaurants, market-rate apartments, a hotel, retail space, and a large medical office building for St. Elizabeth and their partners at OrthoCincy. This currently vacant space will be transformed into a regional gathering place both for campus and the community.

The development agreement was signed between us and Fairmount Properties, the developer, prior to winter break. While there is still work to be done in finalizing other legal elements of the project, we expect construction to begin later this year. More to come as this exciting project takes shape.

50th Anniversary and Homecoming

Mark your calendars for Homecoming and the 50th Anniversary Kickoff. Homecoming begins on Sunday, February 4th and runs through Saturday, February 10th with the crowning of the King and Queen at the men's basketball game. The 50th Anniversary will kick off with the Alumni Awards Celebration on Friday, February 9th. Stay tuned for more information about all these events and activities.

Budget/Pension Update

Finally, we can't have presidential remarks without mention of budget.

First, we have been informed by our friends in Frankfort that our current fiscal year state appropriation will be cut by $516K. I will provide greater details to the campus within the next several days by way of a campus wide message, so stay tuned.

Second, the Governor will present his budget for next fiscal year to the General Assembly next Tuesday, January 16th. We've been warned to expect further cuts, so as we manage the current year cut, we will do so with an eye on preparing ourselves for what is likely to follow.

At the same time, the Governor and General Assembly assert their continued intent to address pension reform to get our arms around a program whose cost is at the heart of the state's financial challenges. We received some indication that the Governor's presentation will include alternative budgets – one with and one without pension reform.

We have been strong advocates for pension reform that transforms our current system into one that is sustainable and affordable for the state and for us as a public employer. So, we look forward to working with our elected leaders over the next several weeks as they continue this pension reform effort.

Despite the challenges with the state budget, including the pension concern that has a substantial and direct adverse financial impact on us, we are not letting up efforts to obtain the remaining equity funding that the Governor and General Assembly addressed partially last biennium.

To that end, I am sending out a message today to the entire campus community and our friends urging your strong advocacy with the Governor and our legislators to address the remaining component of NKU's funding disparity as the state addresses these other issues. Your strong efforts were responsible for our success last biennium in obtaining an additional $5.1M is state funding, and we are encouraged by numerous government officials that a strong advocacy effort this year can also be successful. So, we need your advocacy - please read my message and follow up as requested to contact the Governor and our legislators – it will make a difference. Thank you in advance for your response to my request.

Mr. Boehne, this concludes my remarks.

B. <u>Presidential Reports</u>:

1. Facilities Management Report (Senior Vice President Sue Hodges Moore)

a. Health Innovation Center/Founders Hall Renovation
b. Condensate Leak Repair
c. Energy Savings Performance Contract
d. Business Academic Center Roof Restoration
e. Incubator Two Roof Restoration
f. Science Center Roof Restoration
g. Kentucky Hall Renovation
h. Sustainability
i. US 27 Development
j. North Connector Road

2. Research, Grants, and Contracts Report (October 1, 2017 – November 12, 2017) (Provost and Executive Vice President Sue Ott Rowlands).

During the October 1, 2017 through November 12, 2017 time period, 6 grants were awarded. The total amount of money awarded was $179,819. For the Fiscal year 2017-2018, the cumulative total number of grants awarded is 25 totaling $2,260,337.

3. Fundraising Report (July 1, 2017 through November 30, 2017) (Vice President Eric Gentry).

The Fundraising Report summarized fundraising resources committed from July 1, 2017 through November 30, 2017 totaling $7,416,810 in support of the university.

4. Quarterly Financial Report (Senior Vice President Sue Hodges Moore).

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

5. Policies Report (Senior Vice President Sue Hodges Moore).

The Policy Report summarized all policies that were approved at the executive-level after proceeding through the campus vetting process. The President and other university administrators determined that approval of these policies by the Board of Regents was not needed per the criteria established in Presidential Recommendation C-7 of the January 2015 regular meeting.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Terry Mann and seconded by Regent Dennis Repenning to approve the following Presidential Recommendations as listed; C-1 through C-6. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Dr. Ande Durojaiye, Vice Provost for Undergraduate Academic Affairs, effective January 22, 2018; **Dr. Dale Stephenson**, Dean of the College of Health Professions, effective January 15, 2018.

b. Faculty Appointments:

Ms. Anh Dang, assistant professor (tenure-track probationary) of marketing in the Department of Marketing, Sports Business, and Construction Management in the Haile/US Bank College of Business, effective August 13, 2018; **Mr. James Human**, advisor and lecturer (non-tenure-track renewable) of accounting in the Advising Center in the Haile/US Bank College of Business, effective November 27, 2017; **Ms. Dana Schmutte**, advisor and lecturer (non-tenure-track renewable) in the Advising Center in the College of Education and Human Services, effective October 23, 2017; **Ms. Jillian Snider**, advisor and lecturer (non-tenure-track renewable) of business administration in the Advising Center in the Haile/US Bank College of Business, effective September 5, 2017; **Ms. Emily Wagner,** advisor and lecturer (non-tenure-track renewable) in the Advising Center in the College of Education and Human Services, effective October 25, 2017.

c. Transitions:

Dr. John Clarkin, from associate professor (tenured) in the Department of Management to permanent part-time associate professor (tenured) in the Department of Management in the Haile/US Bank College of Business, effective July 1, 2017; **Ms. Rebecca Volpe**, from Director of the Small Business Development Center and lecturer of accounting (non-tenure-

track temporary) to Director of the Small Business Development Centerand lecturer of accounting (non-tenure-track renewable) in the Department of Accounting and Business Law in the Haile/US Bank College of Business, effective October 1, 2017.

d. Departures:

Ms. Kathy Bergman, lecturer (non-tenure-track renewable) in the Department of Nursing in the College of Health Professions, effective December 19, 2017.

e. Retirements:

Dr. Jan Hillard, professor in the Department of Political Science, Criminal Justice and Organizational Leadership in the College of Arts and Sciences, effective at the conclusion of the spring 2018 semester.

f. Phased Retirements:

Ms. Diane Gronefeld, professor in the Department of Allied Health in the College of Health Professions, beginning fall semester 2018 and terminating at the end of spring semester 2020.

g. Temporary Faculty Appointments:

Mr. Robert Schrage, lecturer of Public Administration in the Department of Political Science, Criminal Justice and Organizational Leadership in the College of Arts and Sciences, effective January 2018 for spring semester.

2. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between October 10, 2017 and November 27, 2017 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular & Regular to Contract; Departures.

3. Major Gifts Acceptance:

The Board of Regents officially hereby accepts contributions totaling $3,960,000 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period October 1, 2017 through November 30, 2017.

4. Faculty Emeritus Status Appointments (Cornuelle):

The Board of Regents approved the Emeritus status for the following individual.

Ms. Andrea Cornuelle, professor in the Department of Allied Health in the College of Health Professions, effective May 17, 2017.

5. Policy Recommendation:

The Board of Regents approved the following policies: Disclosure of Campus Security & Crime Statistics Policy, Facilities & Administrative Expenses for Grants & Contracts Policy, Graduate Course Reinstatement Policy, Grade Appeals Policy, Information Security Incident Response Policy, and Data Governance & Security Policy.

6. Naming Recommendation (Welti, Swarts, JRG, Frohlich, Koeninger, Robinson):

The Board of Regents hereby approved the following naming actions:

(1) The naming of an endowed fund to support student-centered initiatives that the Department of Psychology deems most important. "Donald and Patricia Welti Psychology Fund".

(2) The renaming and repurposing of an endowed fund titled Carol Swarts Milburn Scholarship in World Languages and Literature. Support for study abroad opportunities in the Department of World Languages and Literature will be reassigned to the Office of Education Abroad. "Carol's Peripatetic Scholarship".

(3) The naming of the Cyber Threat Intelligence Laboratory in Griffin Hall. "JRG Cyber Threat Intelligence Laboratory".

(4) The naming of an endowed scholarship in support of students enrolled in Chase College of Law. " Judge Anthony W. Frohlich Endowed Scholarship".

(5) The naming of an endowed fund to support student-centered initiatives in the School of the Arts. "Bet and Dave Koeninger Angel Fund for the Arts".

(6) The naming of an endowed scholarship to support students enrolled in Chase College of Law "Wm. T. (Bill) Robinson III Endowed Scholarship".

D. <u>Executive Session</u>:

Regent Andrá Ward seconded Regent Richard Boehne's motion to enter into executive session pursuant to KRS 61.810(1)(c) and (f) **(Motion carried)**

Regent Terry Mann was absent during the Executive Session.

No other matters were discussed. No final action was taken.

At 3:30 p.m., Regent Richard Boyce seconded Regent Andrá Ward's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Benjamin Jager
Executive Assistant to the President/
Secretary to the Board of Regents

I, Andrá Ward, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on January 10, 2018, and that such matters are still in force and effect.

Signature On File
Andrá Ward
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Board of Regents Subcommittee Meeting
NKU, Student Union 104 – Friday, February 9, 2018– 9:00 a.m.

9:00 a.m.

- **Call to Order**
- **Roll Call**

A. **<u>Executive Session:</u> Personnel Matter**

Board of Regents Subcommittee Meeting
Northern Kentucky University, Student Union, Room 104
February 9, 2018

Regent Richard Boehne, Chair, called the special meeting of the Board of Regents subcommittee to order at 9:00 a.m., Friday, February 9, 2018.

Roll Call: Richard Boehne, Richard L. Boyce, W. Lee Scheben, Andrá Ward.

Other Attendees: Ben Jager, Wendy Peek, Joan Gates, Sara Kelley, Teressa Elliott, Gary Clayton, Linda Dynan, Young Kim.

D. Executive Session:

Regent Lee Scheben seconded Regent Richard Boehne's motion to enter into executive session pursuant to KRS 61.810(1)(F). **(Motion carried)**

No other matters were discussed. No final action was taken.

At 11:04 a.m., Regent Lee Scheben seconded Regent Andrá Ward's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Benjamin Jager
Executive Assistant to the President/
Secretary to the Board of Regents

I, Andrá Ward, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the special meeting held on February 9, 2018, and that such matters are still in force and effect.

Signature On File
Andrá Ward
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, March 13, 2018 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at Regent Boehne's Home (Newport, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, March 14, 2018 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Compliance (Gates, Bell-Gardiner)
2. Budget Update (Hales)
3. College of Health Professions (Ott Rowlands, Stephenson, Kishman, Bradburn, Ray, Angus)
4. Housing (Nadler, Slaughter, Suttmiller, Varrone, Mason, Onate)

Founders' Day Lunch
NKU, Student Union Ballroom 107 – Wednesday, March 14, 2018 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union 104 – Wednesday, March 14, 2018 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of January 10, 2018, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Hales/Southwood)
2. Research/Grants/Contracts Report (November 13, 2017 – January 15, 2018) (Ott Rowlands)
3. Fundraising Report (July 1, 2017 – January 31, 2018) (Gentry)
4. Quarterly Financial Report (Hales/Southwood)
5. Faculty Development Awards – Project Grants (Ott Rowlands)
6. Faculty Development Awards – Faculty Summer Fellows (Ott Rowlands)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. Academic Affairs Reappointment, Promotion, and Tenure
3. *Non-Academic Personnel Actions
4. *Major Gifts Acceptance
5. *Naming Recommendations
6. *Faculty Emeritus Status (Belland, Zachary)
7. *Sabbatical Leaves
8. *Honorary Degree - Johnson
9. *Honorary Degree – Sparks
10. *Honorary Degree – Rieveschl
11. *Honorary Degree – Thapar
12. *Organizational Chart Update
13. *FY 2018-2019 Dining, Parking, and Housing Fees

D. Board Recommendation

1. Honorary Degree – St. Amand

2:00 p.m

E. Executive Session

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, March 12, 2018).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
March 14, 2018

Regent Richard Boehne, Chair, called the regular meeting of the Board of Regents to order at 1:08 pm, Wednesday, March 14, 2018.

Roll Call: Richard Boehne, Richard Boyce, Sami Dada, Normand Desmarais, Ashley Himes, Terry Mann, W. Lee Scheben, Gregory Shumate, Arnie Slaughter, Andrá Ward. Absent: Dennis Repenning

Regent Dennis Repenning was present during the Executive Session and adjournment of the Board of Regents meeting.

Other Attendees: Gerard St. Amand, Ashish Vaidya, Ben Jager, Wendy Peek, Tammy Knochelmann, Ken Bothof, Joan Gates, Eric Gentry, Daniel Nadler, Sue Ott Rowlands, Kathleen Roberts, Kim Scranage, Lori Southwood, Mike Hales, Diana McGill, Greg Martin, Kevin Kirby, Cindy Reed, Dale Stephenson, Lawrence Rosenthal, Chris Bowling, Bill Farrow, Tracy Insko, Mark Brackman, Christian Gamm, Melissa Gorbandt, Sara Kelley, Samantha Langley, Russ Kerdolff, Leah Stewart, Syed Zaidi, Jason Vest, Mary Paula Schuh, Ryan Padgett, Anna Wright, Janel Bloch, Ande Durojaiye, Sam Rosenstiel, Britta Gibson, Kelsey Haskins, Josh Neumeyer, Andy Meeks, Alar Lipping, Matthew Zacate. Gina Rittinger, Trenae Johnson, Ken Katkin

Regent Gregory Shumate seconded Regent Normand Desmarais' motion to approve the minutes of the January 10, 2018 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Founders' Day Luncheon

We just finished a wonderful Founders' Day Luncheon today as another event in our 50th anniversary celebration for our campus. We had the opportunity, with the help of some wonderful students, to take a trip back in time of those 50 years to focus a great deal on those amazing people who were here at the very beginning to help build the foundation for this university. It took this special group of folks to do that, people who are willing to step up when there was nothing and to create from that. And of course, we all sit here today as the beneficiaries of the work they've done to get us started. We've been carrying the load, some for a few years and some more years than that. We also have the future leader in the room as well. We want to thank all of those founders for the work they did in establishing the strong foundation for our future.

Homecoming Recap

It has been just over a month since we celebrated the university's 50th anniversary kickoff and homecoming, but the events of that weekend were so wonderful and inspiring, I wanted to share just a few of the highlights.

We honored some of our most outstanding alumni at the awards ceremony that weekend. Bruce Lunsford, a 1974 Chase grad, was honored with the Outstanding Alumnus Award. Senator Wil Schroder, also a young Chase grad, was recognized with the Outstanding Young Alumnus Award. Our own Board of Regents Secretary Andrá Ward was given the Distinguished Service Award for his many contributions to the campus and our community. Congratulations Andrá. And last but certainly not least, Dr. Jacqueline Emerine, associate professor of Communication and a former faculty regent herself, was recognized with the Faculty/Staff Strongest Influence Award for her impact on our students' educational and career aspirations.

We are fortunate to have faculty like Jacqueline and people like Andrá who dedicate so much of their personal and professional talents to work for the betterment of our students and our university. NKU would not be what it is today without your service and dedication.

Also as a part of Homecoming, students, faculty, and staff participated in Service on Saturday. These monthly events coordinate service activities throughout the area to help local organizations. Over 1,000 hours were logged during that February event. For anyone interested in participating in the future, the next Service on Saturday is this Saturday. If you can't make it this Saturday, you can make it on April 21 and join Peggy and me there. You are all invited. You can sign up on the Community Service webpage.

Individual Recognition

One of our sororities has also made a recent impact on our community. Delta Gamma has raised over $18,000 through their philanthropy events for two local nonprofits – Service for Sight and the Cincinnati Association for the Blind and Visually Impaired. Congratulations to the women of this chapter for making such an outstanding contribution to the community.

Two of our faculty members were recently recognized nationally as being at the top of their respective fields. Dr. Kristine Hopfensperger and Dr. Kirsten Schwarz were highlighted by the American Association for the Advancement of Science as two of the top 15 researchers in the country within the food and water security community for their commitment to engage the public on these vital issues.

Dr. Hopfensperger is an associate professor of Biological Science and the director of the Environmental Science Program. Dr. Schwarz is also an associate professor of Biological Sciences and directs our Ecological Stewardship Institute.

Congratulations to both of these individuals on this wonderful honor that brings recognition to them individually of course, but also reflects great credit on our university and our region.

There is also great work being done by our junior faculty as well. Assistant professor of psychology, Dr. Justin Yates, has earned two prestigious awards this year. He is the recipient of the American Psychological Association Division 28 Young Psychopharmacologist Award as well as the Division 25 B.F. Skinner Foundation New Researcher Award. Wonderful professional recognition by a junior member of our faculty. Congratulations!

These are a few examples of the kind of quality that we have scattered throughout our faculty. We try to highlight a couple at these meetings that we can identify so that over time we get a sense of appreciation for the excellence of our faculty at NKU

We also recently learned that our Board Chair Rich Boehne will be inducted into the Kentucky Journalism Hall of Fame on April 9 for his outstanding contributions to journalism and media during his illustrious 30 year career in the business. Congratulations Rich.

Reappointment, Promotion, and Tenure

In just a few moments, I will ask the board to approve my recommendations regarding the reappointment, promotion, and tenure of several faculty members. I'd like to especially recognize those faculty who I am recommending for tenure and promotion. This is a significant accomplishment and milestone in a faculty member's career and deserves special recognition. I'd like to extend my congratulations to:

Dr. Jeffrey Zimmerman, Political Science, Criminal Justice, and Organizational Leadership
Dr. Marcos Misis, Political Science, Criminal Justice, and Organizational Leadership
Dr. Ryan Salzman, Political Science, Criminal Justice, and Organizational Leadership
Ms. Corrie Danieley, Theatre and Dance
Dr. Christopher Lawrence, Counseling, Social Work, and Leadership
Dr. Jennifer Sharp, Counseling, Social Work, and Leadership
Dr. Jessica Averitt Taylor, Counseling, Social Work, and Leadership
Dr. Rachele Vogelpohl, Kinesiology and Health
Dr. David Childs, Teacher Education
Dr. Susan Griebling, Teacher Education
Dr. Julie Hart, Nursing
Dr. Kesha Nelson, Nursing
Dr. Lynn Smith, Nursing
Dr. Lynne Zajac, Nursing
Ms. Shannon Alexander, Allied Health
Dr. Kalyani Ankem, Business Informatics
Dr. Abdullah Al-Bahrani, Economics and Finance
Dr. Carole Cangioni, Management
Ms. Ursula Doyle, Law

I'd also like to recognize the faculty members who are being recommended for promotion to full professor:

Dr. Christine Curran, Biological Sciences
Dr. Robert Wilcox, History and Geography
Dr. Matthew Zacate, Physics, Geology and Engineering Technology
Dr. Danielle McDonald, Political Science, Criminal Justice, and Organizational Leadership
Dr. Shauna Reilly, Political Science, Criminal Justice, and Organizational Leadership
Dr. Andrea South, Communication
Dr. Yi Hu, Computer Science
Dr. Stephanie Hughes, Management

Dr. Tracey Sigler, Management
Ms. Ursula Doyle, Law

Men's Basketball

Last night the team took on the University of Louisville. Now the outcome wasn't exactly what we'd hoped for, but to have the opportunity in our first two years of eligibility for postseason play to have to encounter the University of Kentucky and the University of Louisville, two perennial powerhouses in college basketball in our state, says something about the rapid advancement of our program here at NKU. We're really proud of the way our team and our athletic department overall has acquitted itself as junior members in the NCAA DI arena.

There is much to celebrate individually about this team as well. For starters, several players received individual all-conference honors. Drew McDonald was named to the All-Horizon League First Team. Lavone Holland II was named to the Second Team, and Jalen Tate earned spots on both the All-Freshman Team and the All-Defensive Team. Congratulations to these players for these honors.

Military Friendly Campus

I am also proud to report that we have been designated with gold status as a 2018 Military Friendly School by Victory Media, which is the premier media entity for military personnel transitioning into civilian life. We are the only gold status institution the Tri-State area.

Congratulations to the team at the Veteran's Resource Station and to all faculty and staff who have played a part in recruiting, serving, and retaining these students.

Budget/Pension Update

Finally, let me speak directly about work in Frankfort affecting our financial posture in very significant ways.

First, a note about our budget challenges that you heard about this morning from Mike Hales, our CFO. The greatest single challenge is our pending nearly $13M increase in our KERS contribution for FY19. That represents a 70% increase in one year. That Frankfort-directed unfunded mandate will require dramatic personnel cuts if relief is not obtained in some manner. Understand that 90% of our discretionary budget, that portion from which we can take cuts to meet this obligation, is personnel costs. A $13M hit represents about 140-150 positions based on average compensation level of both salary and benefits. That's a major hit. And that is what is at stake from just our increased pension costs alone.

In response to this challenge and other budget pressures, our advocacy efforts have focused on two priorities:

1. Equity funding to complete the effort started last biennium to bring NKU's state appropriation level in line with that of other KY public universities. Seeking at least another $5.1M based upon the recognized funding disparity between other KY public universities and NKU.

a. The CPE included $14.7M in its budget request for higher education that includes $5.1M for NKU, representing our share under the state's performance funding model.

2. Pension relief and reform – that is reform that significantly reduces the cost that we bear or direct relief from the nearly $13M increase in our mandated contribution.

   a. One way would be passage of pension reform legislation that dramatically reduces the cost to the system and, in turn, reduces our required contribution;

   b. Another way would be to provide NKU a direct state appropriation to cover the increase, as is done with all state agencies, except for higher education – the CPE requested $47M in its budget for that very purpose to cover the increase of the KERS cost for all the comprehensive universities. They don't have to request anything for UK or U of L because none of their employees are in the Kentucky Retirement System. They are all in a defined contribution plan.

   c. Another way would be to enable NKU to exit the KERS system under terms that remove or reduce dramatically this significant and increasing KERS burden while minimizing, at the same time, the potential impact that such a change would make on those employees moving from KERS to a defined contribution plan.

In our discussions with legislators and the Governor's office, we have made it very clear that the dramatic pension impact also aggravates the funding disparity among KY comprehensive universities because NKU has the largest increase in mandated KERS contributions of all the KY comprehensives.

To date, here is what has transpired in Frankfort:

1. The Governor introduced pension reform legislation in partnership with legislative leaders in both the House and Senate that would have not only reformed the state pension system, but also would have reduced our pension obligations. Essentially, it established a system that would gradually migrate from a defined benefit program to a defined contribution program, by doing the following things with the KERS system:

   a. All new hires would be placed in a defined contribution plan;

   b. All Tier 3 employees (roughly less than 5 years) would be moved from KERS to a defined contribution plan;

   c. All other employees would have the option to opt out of KERS and into the defined contribution plan;

   d. Over time, all employees would be in a defined contribution plan, but it would be phased in; and

   e. No action on that pension bill has been taken by the General Assembly.

2. In addition, the Governor presented his budget bill, which he explicitly described as the budget that is needed assuming no pension reform and no tax reform. And that bill included the following:

a. No equity funding, either direct for NKU or higher education under CPE's request under the performance funding model;

b. No state appropriation to NKU or other universities to provide direct relief for the nearly $13M mandated increase in our pension contribution;

c. A 6.25% cut in the state appropriation for all state agencies to address the pension financial crisis which produced for us a cut of $3.2M under that budget; and

d. A directed cut of the funding for the Kentucky Center for Mathematics – a state mandated program run by NKU, and that's another $1.3M cut in that budget.

3. In response to that, we argued that NKU's state appropriation cut of 6.25% and the KCM cut were designed specifically to help solve the pension problems. While at the same time, we were being required to pay our own share of the pension costs and that was essentially a double tax on NKU. The House responded to that in its budget bill:

   a. The House removed the 6.25% cut in our state appropriation;

   b. But it retained the cut in funding for the KCM;

   c. And like the Governor, however, provided no appropriation to relieve us of the nearly $13M KERS increase; and

   d. Provided no equity funding.

4. These matters are now in the hands of the Senate.

   a. The most visible action by the Senate has been the introduction of two bills addressing pension reform and pension relief:

      i. SB1 is the broad-based pension relief bill, an alternative to the Governor's pension reform bill, which provides much more modest changes than the Governor's bill, but is still designed over time to reduce the cost of our pension system and makes reasonable and fairly modest changes in benefits to make the system sustainable.

      ii. SB66 is a separate bill that is much more targeted to address the needs of KY comprehensive universities and some hybrid state agencies, by establishing a more economically favorable way for NKU and select others to exit the KERS system entirely, if they choose to do so. At the moment, however, SB66 is being held pending action on SB1.

      iii. There is no doubt in my mind that the combination of SB1 and SB66 is more beneficial to NKU and our people than the conditions we face today. I would strongly urge as part of our continuing advocacy effort that we let the Senate and other legislators know that we support those efforts. This is not the only solution to the problem, but among the solutions that are being examined seriously at the moment, it offers the best opportunity for us.

      iv. SB66 in particular, from my perspective, is the only action in Frankfort that offers any opportunity for us to gain some meaningful pension relief from our nearly $13M hit that we are going to confront in only 108 days!

b. Senate leaders are also seriously considering and working toward including some equity funding in their budget, but that also may depend on the outcome in passing pension reform and relief legislation. The other challenge with introducing equity funding by the Senate, while many want to do it, two years ago the Governor included it in the budget. The House took it out. The Senate was able to put it back in. As the Senate has described to us, it is much easier to put it back in, if the original budget had it included even though it later came out. There's a challenge there. There are a number of champions, and they're not only from Northern Kentucky's caucus. There are some other strong supporters for that equity funding. And that would go a long way toward offsetting whatever other fiscal challenges we are confronting as a result of whatever the budget is as well as the pension situation.

c. I can't stress enough the importance of continuing our advocacy efforts, meaning your calls, emails, and personal contacts to let our legislators know you support their work on SB1, SB66, and equity funding.

B. <u>Presidential Reports</u>:

1. Facilities Management Report (Co-Interim Chief Administration Officers Mike Hales and Lori Southwood).

a. Health Innovation Center/Founders Hall Renovation
b. Condensate Leak Repair
c. Energy Savings Performance Contract
d. Incubator Two Roof Restoration
e. Science Center Roof Restoration
f. Kentucky Hall Renovation
g. Sustainability
h. US 27 Development
i. North Connector Road (Norse Boulevard)

2. Research, Grants, and Contracts Report (November 13, 2017 through January 15, 2018) (Provost and Executive Vice President Sue Ott Rowlands).

During the November 13, 2017 through January 15, 2018 time period, 14 grants were awarded. The total amount of money awarded was $501,424. For the Fiscal year 2017 – 2018, the cumulative total number of grants awarded is 39 totaling $2,761,761.

3. Fundraising Report (July 1, 2017 through January 31, 2018) (Vice President Eric Gentry).

The Fundraising Report summarized fundraising resources committed from July 1, 2017 through January 31, 2018 totaling $9,353,377 in support of the university.

4. Quarterly Financial Report (Co-Interim Chief Administration Officers Mike Hales and Lori Southwood)

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

5. Faculty Development Awards – Project Grants (Provost and Executive Vice President Sue Ott Rowlands).

The Project Grant Report reflected the grants that have been awarded for 2018-2019.

6. Faculty Development Awards – Faculty Summer Fellows (Provost and Executive Vice President Sue Ott Rowlands).

The Faculty Summer Fellows Report reflected the fellows that have been awarded for the Summer of 2018.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Terry Mann and seconded by Regent Gregory Shumate to approve the Presidential Recommendations as listed; C-1, and C-3 through C-13. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Dr. James Buss, dean of the Honors College and associate professor in the Department of History and Geography, College of Arts and Sciences, effective July 2, 2018.

b. Faculty Appointments:

Dr. Judy Audus, clinical associate professor in the Department of Nursing, College of Health Professions, effective January 8, 2018; **Dr. Steve Bien-Aimé**, assistant professor in the Department of Communication, College of Informatics, effective August 13, 2018; **Dr. Joshua Cooper**, assistant professor in the Department of Biological Sciences, College of Arts and Sciences, effective August 13, 2018; **Ms. Nicole Dillard**, assistant professor in the Department of Political Science, Criminal Justice and Organizational Leadership, College of Arts and Sciences, effective August 13, 2018; **Dr. Nilesh Dixit**, lecturer in the Department of Physics, Geology and Engineering Technology, College of Arts and Sciences, effective August 13, 2018; **Dr. Molly Hopper**, assistant professor in the Department of Chemistry and Biochemistry, College of Arts and Sciences, effective August 13, 2018; **Mr. Ebo Kobena Osam**, assistant professor in the Department of Political Science, Criminal Justice and Organizational Leadership, College of Arts and Sciences, effective August 13, 2018; **Dr. Catie Shelton**, assistant professor in the Department of Chemistry and Biochemistry, College of Arts and Sciences, effective August 13, 2018; **Dr. Lauren Williamson**, assistant professor in the Department of Biological Sciences, College of Arts and Sciences, effective August 13, 2018; **Dr. Darrin Wilson**, assistant professor in the Department of Political Science, Criminal Justice and Organizational Leadership, College of Arts and Sciences, effective August 13, 2018.

c. **Transitions:**

Dr. John Clarkin, from associate professor in the Department of Management to permanent part-time tenured associate professor in the Department of Management, Haile/US Bank College of Business, effective July 1, 2018. *This recommendation is a correction from the January 2018 Board submission in which Dr. Clarkin was incorrectly listed as transitioning effective July 1, 2017;* **Dr. Zachary Hart**, from chair and professor in the Department of Communication, College of Informatics to professor in the Department of Communication, College of Informatics, effective June 30, 2018; **Mr. Ken Jones**, from director of the School of the Arts, College of Arts and Sciences to professor in the Department of Theatre and Dance, College of Arts and Sciences, effective June 30, 2018; **Dr. Becky Porterfield**, from dean of the Haile/US Bank College of Business to professor in the Department of Management, Haile/US Bank College of Business, effective January 3, 2018; **Dr. Stephen Yungbluth,** from associate dean and professor in the Department of Communication, College of Informatics, to professor and chair of the Department of Communication, College of Informatics, effective July 1, 2018.

d. **Departures:**

Ms. Barbara Wagner, assistant professor, Chase College of Law, effective December 31, 2017.

e. **Retirements:**

Dr. Angela Lipsitz, professor and assistant chair in the Department of Psychological Sciences, College of Arts and Sciences, effective May 2018; **Dr. John Rockaway,** professor in the Department of Physics, Geology and Engineering Technology, College of Arts and Sciences, effective May 2018; **Ms. Karen Slawter**, senior lecturer (non-tenure-track renewable) in the Department of Communication, College of Informatics, effective May 8, 2018; **Dr. Peter Theuri**, professor in the Department of Accounting and Business Law, Haile/US Bank College of Business, effective January 16, 2018.

f. **Temporary Faculty Appointments:**

Mr. Adam Helbig, Clinical Professor of Education Technology, College of Education and Human Services Dean's Office, effective December 4, 2017.

Presidential Recommendation C-2: A motion was made by Regent Gregory Shumate and seconded by Regent Normand Desmarais to approve Presidential Recommendation C-2. **(Motion carried. Regent Richard Boyce abstained from the vote.)**

2. Academic Affairs Reappointment, Promotion, and Tenure:

The Board of Regents approved recommendations on reappointment, promotion and tenure.

3. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between November 28, 2017 and February 12, 2018 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary/Student to Regular & Regular to Contract; Departures; Retirements; Administrative/Executive.

4. Major Gifts Acceptance:

The Board of Regents officially accepted contributions totaling **$1,512,070.00** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period December 1, 2017 through January 31, 2018.

5. Naming Recommendations:

The Board of Regents approved the following naming actions:

(1) The naming of an endowed fund to support students in Library Informatics programs. "Schlachter Family Endowed Scholarship"

(2) The renaming of an endowed fund titled the Frank S. Milburn and Carol J. Swarts Award for Innovative Research and Creativity. Supports a competitive award for faculty in the College of Arts and Sciences. "Frank Sinton Milburn Innovative Idea Initiator Award (FSM I_3)"

(3) The naming of an endowed fund to support educator awards for professional development in STEM education. "Elenore Gakemeier Fund for Educator Leadership"

(4) The naming of an endowed scholarship in support of students enrolled in Chase College of Law. "Richard M. and Catherine J. Rothfuss Endowed Scholarship"

(5) The naming of a scholarship in support of first-year, at-risk students who participate in NKU's UCAP program. "Jackman Scholars"

(6) The naming of a walking path between Founders Hall and Nunn Hall leading down to the Health Innovation Center. "Founders Walk"

6. Faculty Emeritus Status Appointments (Belland, Zachary):

The Board of Regents approved the Emeritus status for the following individuals.

Dr. Diana Belland, professor of Music in the School of the Arts, College of Arts and Sciences, effective May 2018; **Dr. Samuel Zachary**, professor of Theatre in the School of the Arts, College of Arts and Sciences, effective May 2018.

7. **Sabbatical Leaves:**

   The sabbatical leave recommendations for the 2018-2019 academic year received Board of Regents' approval.

8. **Honorary Degree (Johnson):**

   The Board of Regents approved that Mr. C. Bruce Johnson receive an Honorary Doctor of Letters Degree.

9. **Honorary Degree (Sparks):**

   The Board of Regents approved that Ms. Alice Sparks receive an Honorary Doctor of Education Degree.

10. **Honorary Degree (Rieveschl):**

    The Board of Regents approved that Mrs. Ellen Rieveschl receive an Honorary Doctor of Education Degree.

11. **Honorary Degree (Thapar):**

    The Board of Regents approved that the Honorable Amul Roger Thapar receive an Honorary Doctor of Laws Degree.

12. **Organizational Chart Update:**

    The Board of Regents approved the organizational chart, which reflects all NKU Administrative updates through March 14, 2018.

13. **FY 2018-2019 Dining, Parking, and Housing Fees:**

    The Board of Regents authorized the proposed dining, parking and housing fees for the 2018-2019 academic year.

D. <u>Board Recommendation</u>:

A motion was made by Regent Terry Mann and Regent Normand Desmarais and seconded by Regent Gregory Shumate to approve the Board Recommendation D-1. **(Motion carried)**

1. **Honorary Degree – St. Amand:**

   The Board of Regents approved that Mr. Gerard St. Amand receive an Honorary Doctor of Education Degree.

E. Executive Session:

Regent Richard Boyce seconded Regent Richard Boehne's motion to enter into executive session pursuant to KRS 61.810(1)(c) and (f). **(Motion carried)**

No other matters were discussed. No final action was taken.

At 3:36 p.m., Regent Dennis Repenning seconded Regent Terry Mann's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Benjamin Jager
Executive Assistant to the President/
Secretary to the Board of Regents

I, Andrá Ward, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on March 14, 2018, and that such matters are still in force and effect.

Signature On File
Andrá Ward
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Board of Regents Subcommittee Meeting
NKU, Student Union 105 – Wednesday, April 4, 2018– 1:00 p.m.

1:00 p.m.

- **Call to Order**
- **Roll Call**

A. <u>Executive Session:</u> Personnel Matter

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, May 1, 2018 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at Regent Desmarais's Home (Covington, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, May 2, 2018 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Housing (Nadler, Slaughter, Suttmiller, Varrone, Mason, Onate)
2. Celebration of Student Research and Creativity (Ott Rowlands, Gillespie, Hart, O'Callaghan, Wickman)
3. Center for Global Engagement and International Affairs (Ott Rowlands, LeRoy, Hansen, Mackey, Israel, Budhathoki)

Student Awards Lunch
NKU, Student Union Ballroom 107 – Wednesday, May 2, 2018 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union 104 – Wednesday, May 2, 2018 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of March 14, 2018, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Report, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Hales/Southwood)
2. Research/Grants/Contracts Report (January 15, 2018 – March 15, 2018) (Ott Rowlands)
3. Fundraising Report (July 1, 2017–March 31, 2018) (Gentry)
4. Policy Report (Hales/Southwood)
5. College of Education and Human Services Accreditation Report (Ott Rowlands)
6. Quarterly Financial Report (Hales/Southwood)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Academic Affairs RPT Additions
3. *Non-Academic Personnel Actions
4. *Major Gifts Acceptance
5. *Naming Recommendations
6. *Faculty Emeritus Status
7. *Regents Professorship
8. *New Master of Science Degree in Nutritional Science & Dietetics
9. *New Master of Science Degree in Exercise Science
10. *New Bachelor of Arts Degree in Law
11. *Acceptance of Ownership – Mt. Allen Road/KYTC
12. *FY 2018-2019 Schedule of Fees and Service Charges
13. *UK College of Medicine Memorandum of Agreement
14. *Faculty Handbook Change (Health Care Coverage)

D. Board Recommendation:

1. Election of Board Chair

2:00 p.m

E. Executive Session

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, April 30, 2018).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
May 2, 2018

Regent Richard Boehne, Chair, called the regular meeting of the Board of Regents to order at 1:08 pm, Wednesday, May 2, 2018.

Roll Call: Richard Boehne, Richard Boyce, Sami Dada, Normand Desmarais, Ashley Himes, Terry Mann, Dennis Repenning, W. Lee Scheben, Gregory Shumate, Arnie Slaughter, Andrá Ward.

Other Attendees: Gerard St. Amand, Ben Jager, Wendy Peek, Tammy Knochelmann, Ken Bothof, Joan Gates, Eric Gentry, Daniel Nadler, Sue Ott Rowlands, Kathleen Roberts, Kim Scranage, Mike Hales, Diana McGill, Greg Martin, Kevin Kirby, Cindy Reed, Dale Stephenson, Chris Bowling, Jonathan Erion, Tracy Insko, Jared Schindler, Adam Caswell, Allen Cole, Abdou Ndoye, Christian Gamm, Melissa Gorbandt, Sara Kelley, Samantha Langley, Larry Meyer, Russ Kerdolff, Leah Stewart, Kathy Stewart, Syed Zaidi, Jason Vest, Ryan Padgett, Anna Wright, Janel Bloch, Chad Ogle, Sarah Aikman, Sam Rosenstiel, Matthew Zacate, Trenee Reynolds, Hannah Edelen, Dave Buschle.

Regent Richard Boyce seconded Regent Gregory Shumate's motion to approve the minutes of the March 14, 2018 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Senior Awards Luncheon

During the Board's lunch break, we held our annual senior student awards program. This event recognizes graduating seniors who have earned seven of the university's top student awards.

Zane Wagner received the NKU Foundation Student Leadership Award. He is a student in the College of Informatics and has a sterling 3.99 GPA. Zane is very involved on campus through the Presidential and College of Informatics Ambassadors, peer coach in the Informatics Advising Center, and various community roles.

Austin Gullet was given the Paul J. Sipes Award as a student who displays qualities of honesty, character, and industry, and who has actively participated in community affairs. Austin has dedicated his time on campus to the Norse Leadership Society and Colleges Against Cancer. He has also been very involved the past three years with the Covington Independent Schools as a student mentor.

Aaron Luken was honored with the Excelsior Award as a student who has overcome obstacles on the way to academic success. Aaron is a first generation college student who has been the primary caretaker for his grandparents all through college. Despite this, he has been very involved on campus while earning a 3.5 GPA.

Kaitlin Peed was presented the Faculty Senate Award for excellent research in an independent study. She is a Fine Arts major with a 3.91 GPA. Her ambitious independent study involved the exploration of human connections by comparing different mythologies through sculpture.

Alison Kumar received the University Service Award for her contributions to the University and surrounding community. Alison is a very involved student on campus through Theta Phi Alpha Sorority, Presidential Ambassadors, Resident Assistant, and the Norse Violence Prevention Center to name a few.

Will Haueter received the Regents' Award. Will is a captain on the baseball team and four-year starter. He represents the team on the Student-Athlete Advisory Committee. Will has done all this while maintaining a 4.0 GPA. Fortunately for us, he'll be returning to NKU in the fall in the accelerated MBA program.

I was honored to recognize Adam Langsdale with the President's Award. Adam has made a tremendous impact on the development of community at NKU. After a semester abroad at our partner university in South Korea, Adam was inspired to dedicate enormous amounts of his time here mentoring all international students and those domestic students studying aboard.

What a wonderful group of students who have achieved so much while also contributing so much to the vitality of campus life and to the service of others.

Commencement

These outstanding students are just a few of the more than 1,600 students who will be receiving a degree this weekend at our commencement ceremonies.

In addition to the hundreds of students walking across the stage to receive their diplomas, we will also be awarding some honorary degrees. At the Chase College of Law commencement on Friday, the Honorable Amul Thapar will be receiving an Honorary Doctor of Laws degree. He is a federal judge on the United States Court of Appeals for the Sixth Circuit. He also is the first Indian-American judge named to the federal bench.

At the Sunday ceremony, Mr. C. Bruce Johnson will receive a Doctor of Letters degree. Mr. Johnson is an NKU political science graduate and award-winning news anchor with WUSA in Washington, DC. He is active locally and nationally in volunteer public service. Like many of our students, Bruce is a first-generation college student who has gone on to great professional success, while also dedicating much personal time to community engagement.

Also receiving an honorary degree on Sunday is Ms. Alice Sparks. Ms. Sparks is a proud NKU Regent Emeritus. She served as board chair in 1994, making her the first woman to chair a public university board in Kentucky. Alice has always been very involved on campus, serving on the Go Norse Fund Board Executive Team, the NKU Foundation Board of Directors, and through her generous contributions to student scholarships and the athletic department.

Mrs. George Rieveschl, as she continues to prefer to be addressed formally, will also be receiving an Honorary Doctor of Education degree. Ellen is one of our most generous philanthropists. Her dedication to STEM education on this campus has resulted in significant gifts in support of scholarships and scientific instrumentation in the Hermann Natural Science Center and the landmark Digitorium in Griffin Hall that bears the name of Ellen and her late husband George.

Commencement is one of my very favorite times of the year. Commencement provides the validation for all the hard work and dedication by our staff and faculty – the success of our students. And I look forward to seeing everyone there this weekend.

Enrollment

The spring enrollment census has concluded since our last meeting. We are up 0.3%, which is our first spring to spring enrollment gain since 2011. Our primary gains come from our new accelerated online programs, incoming graduate and transfer students, and the continued growth in School Based Scholars, who are high school students taking college courses through NKU.

However, we continue to see challenges in the enrollment among our degree seeking undergraduate students.

Earth Day of Service

A week ago Saturday, my wife, Peggy, and I and a small group of staff and faculty had a great day surrounded by students as we celebrated Earth Day by working to help make our campus a bit more beautiful. We planted trees and mulched near the BB&T Arena so that our guests for commencement this weekend will enjoy a lively spring atmosphere.

Thanks to the Office of Student Engagement and the Student Government Association for coordinating this wonderful activity. Specific thanks go to AJ Miller and Regent Sami Dada for helping to make this great day happen. I would also like to recognize our top notch NKU Horticulture Team for helping out all of us amateur landscapers.

Although, I still wonder how it was that I was assigned to the tree planting team!

Budget

On Monday I sent a message to campus detailing the latest developments from Frankfort at the end of last week regarding two items with a significant impact on our budget posture and our capacity to deal with budget pressures – pension cost and tuition rate caps.

Without repeating the full details from that message, the actions taken by the Governor on Thursday to allow HB362 to become law means that our KERS pension contribution that was scheduled to increase by $12.8M will be frozen at current levels for one year. This relieves a significant budget pressure for the upcoming fiscal year, while still presenting us with a serious financial challenge for the second year of the biennium.

And, on Friday the CPE approved a 2-year tuition increase cap of 6%, with no more than 4% in one year. This 2-year cap provides us greater knowledge and flexibility to plan more effectively beyond just one year as we assess how to manage the myriad of budget pressures this year and next. This added flexibility regarding how we are permitted to use tuition increases to the extent we consider it necessary to address our budget challenges is very helpful.

The bottom line results from the recently completed legislative session is very positive as it relates to the first year of the biennium. Although we will experience a 6.25% direct cut in our state appropriation, our projected performance funding allocation will likely exceed the direct cut and net us a positive $2M in state appropriations. We won't know the specifics of the performance funding allocation from CPE until later this month. Combined with the freeze in our KERS contribution, this positive result comes at a critical time as we confront some of the largest internal budget pressures in years.

Those internal budget pressures include:

1. Our projected fixed cost increases will be about 3 times higher than normal, with increases in health insurance, other insurance, utilities, and projected faculty promotions leading the way;
2. The new Health Innovation Center opening produces significant recurring operations and maintenance costs;
3. We enter the 4th year of a significant annual increase in our continuing financial aid commitments;
4. We confront the need for key investments in matters related to safety and compliance;
5. There is a strong interest to invest in high growth academic programs and our planned fundraising campaign; and
6. In my opinion, there is a strong need to invest in some compensation increase for our workforce.

These internal pressures, standing alone, will require that we make budget cuts and reallocations, but not at the dramatic $20M+ level that we originally feared for the coming fiscal year.

As I mentioned in my message, the Board is tentatively planning for a special Board meeting on May 17th to make budget and tuition decisions, and we'll follow that the next day with a presentation to campus.

I understand and appreciate the high level of anxiety our people have been experiencing, given the uncertainty we've faced regarding the depth of the financial pressures we would have to manage. I also recognize that the mere delay in knowing the specific impact has contributed to that anxiety. We will move as expeditiously and prudently as possible to finalize these important decisions to help settle the campus and return our focus to the important work ahead of us.

I want to personally thank all our employees, including all of you here today, for your patience and support during this very trying time. And, thank you for your continued unwavering dedication to serving our students in the face of this adversity.

NKU Awarded 2018-2019 Gold Award as a Military Friendly School

I am proud to announce that NKU has once again been named a Military Friendly School by Victory Media, the premier outlet for military personnel transitioning into civilian life.NKU received the Gold Award for embracing military service members, veterans, and family members as students to ensure their success on campus.

This is the 8th consecutive year that NKU has received this designation, and NKU is the only Gold status school in the Tri-state area.

NKU is also ranked among the nation's top colleges for veterans in 2018 by The Military Times.

As a veteran myself, I am very proud of NKU's recognition for serving this key constituency.

Regents Boyce, Slaughter, and Dada

Finally, I'd like to take a moment to recognize some individuals completing some special service to NKU.

I want to express my gratitude to Rick Boyce, Arnie Slaughter, and Sami Dada who have faithfully served as our faculty, staff, and student Regents respectively. Each of you has been an excellent representative of your constituent groups and provided a wonderful example of leadership and dedication to this university. Thanks you for your willingness to serve. From my perspective during this past year in particular, I've appreciated that support and your service.

Today also marks the last regular Board meeting as chair for Regent Rich Boehne. Over the past two years, Rich's very steady leadership has been a tremendous asset to not only the Board but to the university during a critical time of leadership transition for NKU. As interim president, Rich's thoughtful and wise counsel during that period, his willingness to be there when I needed someone to talk to has been extremely valuable to me this past year. Rich, I could not have done this job as I have without your help. This university owes you a great debt of gratitude for the extra service that you've had to put in during this transition period.

Arne Almquist

Today marks the last Board of Regents meeting for our Library Dean, Arne Almquist. Arne will be joining Lamar University in Beaumont, Texas closer to his roots. He has been at NKU since 2011 and has made a significant impact on the library and the university as a whole. Arne, you will be missed and we wish you the best of luck in your new endeavor. I can say that I feel proud to this day to have served on the search committee when we brought him here.

B. Presidential Reports:

1. Facilities Management Report (Co-Interim Chief Administration Officers Mike Hales and Lori Southwood).

a. Health Innovation Center/Founders Hall Renovation
b. Condensate Leak Repair
c. Energy Savings Performance Contract
d. Incubator Two Roof Restoration
e. Science Center Roof Restoration
f. Elevator Improvements (Lucas Administrative Center)
g. Switchgear Replacement (MEP – Exterior Unit)
h. Roadway Maintenance (excludes parking lots)
i. Water Heater Replacements (Student Union)
j. Flooring Replacement
k. Kentucky Hall Renovation
l. Sustainability
m. US 27 Development
n. UK College of Medicine – Northern Kentucky Campus
o. North Connector Road (Norse Boulevard)

2. Research, Grants, and Contracts Report (January 15, 2018 through March 15, 2018) (Provost and Executive Vice President Sue Ott Rowlands).

During the January 15, 2018 through March 15, 2018 time period, 10 grants were awarded. The total amount of money awarded was $390,656. For the fiscal year 2017 – 2018 the cumulative total number of grants awarded is 49 totaling $3,165,724.

3. Fundraising Report (July 1, 2017 through March 31, 2018) (Vice President Eric Gentry).

The Fundraising Report summarized fundraising resources committed from July 1, 2017 through March 31, 2018 totaling $10,300,697 in support of the university.

4. Policy Report (Provost and Executive Vice President Sue Ott Rowlands).

The Policy Report summarized all policies that were approved at the executive-level after proceeding through the campus vetting process. The President and other university administrators determined that approval of these policies by the Board of Regents was not needed per the criteria established in Presidential Recommendation C-7 of the January 2015 regular meeting.

5. College of Education and Human Services Accreditation Report (Provost and Executive Vice President Sue Ott Rowlands).

The Department of Teacher Education had a successful accreditation visit. The Council for the Accreditation of Educator Preparation (CAEP) reviewed the initial certification Teacher Education programs on March 19-21, 2018. The department received an excellent review with no Areas for Improvement (AFIs) or stipulations. The review process will be final upon CAEP Commission review later this fall and final approval by the Education Profession Standards Board (EPSB) in February of 2019.

6. Quarterly Financial Report (Co-Interim Chief Administration Officers Mike Hales and Lori Southwood)

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Terry Mann and seconded by Regent Lee Scheben to approve the Presidential Recommendations as listed; C-1 through C-14. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Dr. Valerie Hardcastle, professor and St. Elizabeth Healthcare Executive Director for the Institute for Health Innovation and Vice President for Health Innovation, effective June 4, 2018.

b. Faculty Appointments:

Dr. Axel Brandt, assistant professor in the Department of Mathematics and Statistics, College of Arts and Sciences, effective August 13, 2018; **Dr. Allyson Graf**, assistant professor in the Department of Psychological Sciences, College of Arts and Sciences, effective August 13, 2018; **Mr. Sheldon Lyke,** assistant professor in the Department of Law School Instruction, Chase College of Law, effective August 13, 2018.

c. Transitions:

Ms. Paulette Ebert, from lecturer to lecturer II in the Department of Mathematics and Statistics, College of Arts and Sciences, effective August 13, 2018; **Ms. Jessica Ferguson**, from lecturer II to senior lecturer in the College of Business Advising Center, Haile/US Bank College of Business, effective July 1, 2018; **Dr. Sharmanthie Fernando,** from professor and interim chair to professor and chair in the Department of Physics, Geology and Engineering Technology, College of Arts and Sciences, effective July 1, 2018; **Dr. Joan Ferrante,** from professor to permanent part-time tenured professor in the Department of Sociology, Anthropology and Philosophy, College of Arts & Sciences, effective April 1, 2018; **Mr. John Gibson**, from lecturer II to senior lecture in the Department of Communication, College of Informatics, effective July 1, 2018; **Mr. Stephen Johnson**, from lecturer to lecturer II in the Department of Communication, College of Informatics, effective August 13, 2018; **Ms. Megan Lindsey,** from lecturer to assistant professor in the Department of Counseling, Social Work, and Leadership, College of Education and Human Services, effective August 13, 2018; **Dr. Greg Martin**, from professor in the Department of Marketing and associate dean to professor in the Department of Marketing and interim dean, Haile/US Bank College of Business, effective January 3, 2018; **Dr. Gail O'Brien**, from lecturer to lecturer II in the Department of Political Science, Criminal Justice, and Organizational Leadership, College of Arts and Sciences, effective August 13, 2018; **Mr. Mel Peterson**, from lecturer to lecturer II

in the Department of Mathematics and Statistics, College of Arts and Sciences, effective August 13, 2018; **Mr. Wiley Piazza**, from lecturer to lecturer II in the Department of Kinesiology and Health, College of Education and Human Services, effective August 13, 2018; **Ms. Olena Pilyayeva**, from lecturer II to senior lecturer in the College of Business AdvisingCenter, Haile/US Bank College of Business, effective July 1, 2018; **Ms. Paige Wideman**, from lecturer II to senior lecturer in the Department of Visual Arts, in the School of the Arts, College of Arts and Sciences, effective August 13, 2018; **Pr. Michael Whiteman,** from professor and co-acting dean to professor and interim dean, Chase College of Law, effective June 1, 2018.

d. Departures:

Mr. Michael Wells, lecturer, W. Frank Steely Library, effective April 2, 2018.

e. Retirements:

Ms. Carol Furnish, professor of Law Library Services in the Chase College of Law, effective February 28, 2019; **Dr. Ann Keller**, associate professor in the Department of Nursing, College of Health Professions, effective May 2018; **Dr. Doris Shaw**, professor of marketing and chair in the Department of Marketing, Sports Business, and Construction Management, Haile/US Bank College of Business, effective June 30, 2018; **Dr. Tracey Sigler**, associate professor of management and chair in the Department of Management, Haile/US Bank College of Business, effective June 30, 2018.

f. Temporary Faculty Appointments:

Mr. Matthew Zachary Otey, Department of Business Informatics, effective 2018-2019 Academic Year.

2. Academic Affairs Reappointment, Promotion, and Tenure:

The Board of Regents approved two recommendations for promotion.

3. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between February 13, 2018 and April 2, 2018 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary/Student to Regular & Regular to Contract; Departures; Retirements; Administrative/Executive.

4. Major Gifts Acceptance:

The Board of Regents accepted contributions totaling $838,000 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period February 1, 2018 through March 31, 2018.

5. Naming Recommendations:

The Board of Regents approved the following naming actions:

(1) The naming recognition for support of NKU's Institute for Health Innovation. "Eva G. and Oakley B. Farris Conference Room" and "Eva G. and Oakley B. Farris Rooftop Patio".

(2) The naming of an endowed scholarship in support of students enrolled in Chase College of Law. "Justice Wil Schroder Scholarship".

(3) The naming of a scholarship in support of at-risk students who participate in NKU's UCAP program. "Charles W. Ratliff Memorial Scholarship".

6. Faculty Emeritus Status Appointments:

The Board of Regents approved the Emeritus status for the following individuals.

Dr. Jan Hillard, professor in the Department of Political Science, Criminal Justice and Organizational Leadership, College of Arts and Sciences, effective May 2018; **Dr. Ann Keller**, associate professor in the Department of Nursing, College of Health Professions, effective May 2018; **Dr. Robert Lilly**, Regent's Professor in the Department of Sociology, Anthropology and Philosophy, College of Arts and Sciences, effective May 2018; **Dr. Angela Lipsitz**, professor and assistant chair in the Department of Psychological Sciences, College of Arts and Sciences, effective May 2018; **Dr. Andrew Miller**, professor in the Department of English, College of Arts and Sciences, effective May 2018; **Dr. Penelope Bradley Summers,** associate professor of Journalism, College of Informatics, effective May 2018.

7. Regents Professorship:

The Board of Regents approved the Regents Professorship be awarded to Kenneth Jones, Director of the School of the Arts and Professor of Theatre, and Dr. Jonathan Reynolds, Professor of History.

8. New Master of Science Degree in Nutritional Science & Dietetics:

The Board of Regents approved for immediate implementation a Master's Degree in Nutrition 3+2.

9. New Master of Science Degree in Exercise Science:

The Board of Regents approved for immediate implementation a Master's Degree in Exercise Science.

10. New Bachelor of Arts Degree in Law:

The Board of Regents approved for immediate implementation a Bachelor of Arts Degree in Law.

11. Acceptance of Ownership – Mt. Allen Road/KYTC:

The Board of Regents approved the acceptance of ownership of the former Mt. Allen Road right-of-way from the Kentucky Transportation Cabinet.

12. FY 2018-2019 Schedule of Fees and Service Charges:

The Board of Regents authorized the Schedule of Fees and Service Charges for the 2018-2019 academic year.

13. UK College of Medicine Memorandum of Agreement:

The Board of Regents authorized the President or the President's designee to execute with the University of Kentucky College of Medicine a Memorandum of Agreement for the use of the third floor of the Albright Health Center by the UK College of Medicine-Northern Kentucky campus.

14. Faculty Handbook Change (Health Care Coverage):

The Board of Regents approved the change to the Faculty Policies and Procedures Handbook regarding health care coverage for full-time, non-tenure track, temporary faculty.

D. Board Recommendation:

Regent Terry Mann seconded Regent Richard Boehne's motion to name Regent Lee Scheben as Board Chair effective July 1, 2018. **(Motion carried)**

E. Executive Session:

Regent Richard Boyce seconded Regent Richard Boehne's motion to enter into executive session pursuant to KRS 61.810(1)(c). **(Motion carried)**

No other matters were discussed. No final action was taken.

At 3:08 p.m., Regent Lee Scheben seconded Regent Gregory Shumate's motion to adjourn. **(Motion carried)**

Signature On File	***Signature On File***
Wendy J. Peek	**Benjamin Jager**
Senior Administrative Assistant	**Executive Assistant to the President/**
Office of the President	**Secretary to the Board of Regents**

I, Andrá Ward, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on May 2, 2018, and that such matters are still in force and effect.

Signature On File
Andrá Ward
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Board of Regents Meeting
NKU, Student Union 104 – Thursday, May 17, 2018– 5:00 p.m.

5:00 p.m.

- **Call to Order**
- **Roll Call**

A. Presentation:

Budget and Tuition Presentation (Hales)

B. Presidential Recommendations:

1. FY 2018-2019 Tuition Rate
2. FY 2018-2019 Annual Operating Budget Resolution

C. Executive Session:

D. Presidential Recommendations (continued):

3. US-27 Ground Lease

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
May 17, 2018

Regent Richard Boehne, Chair, called the special meeting of the Board of Regents to order at 5:10 p.m., Thursday, May 17, 2018.

Roll Call: Richard Boehne, Sami Dada, Normand Desmarais, Ashley Himes, Dennis Repenning, W. Lee Scheben, Gregory Shumate, Arnie Slaughter. Absent: Richard Boyce, Terry Mann, Andrá Ward.

Other Attendees: Gerard St. Amand, Ben Jager, Wendy Peek, Tammy Knochelmann, Ken Bothof, Joan Gates, Eric Gentry, Daniel Nadler, Sue Ott Rowlands, Kathleen Roberts, Kim Scranage, Mike Hales, Lori Southwood, Diana McGill, Greg Martin, Kevin Kirby, Dale Stephenson, Michael Whiteman, Lawrence Rosenthal, Arne Almquist, Tracy Insko, Bill Farrow, Chris Bowling, Melissa Gorbandt, Sara Kelley, Mary Paula Schuh, Syed Zaidi, Jason Vest, Ryan Padgett, Janel Bloch, Chad Ogle, Carol Ryan, Francoise Kazimierczuk, Grace Hiles, Roland Sintos Coloma, Jared Shindler, Lewatis McNeal, Alar Lipping, Shawn Rainey, Robert Zai, Vanessa Hunn, Irene Encarnacion, Sara Runge, Kebede Gemene, Chandra Brown, Matthew Gregory, Ljubomir Nacev, Steve Meier, Gina Rittinger, Tom Barnett, Sam Rosentiel, Francois LeRoy, Tiffany Budd, Tina Altenhofen, Derek Smith, Brandelyn Tosolt

Presidential Comments:

Good afternoon.

We have important university matters to conclude this afternoon, with three business-related presidential recommendations for the board to act on.

Considering the timing challenges caused by late action in Frankfort on matters related to state appropriations and tuition authorizations, I appreciate the Board convening this special meeting to consider these important matters at the earliest opportunity.

Before proceeding with the Budget and Tuition Presentation that relates to the first two presidential recommendations, I'd like to make just a few remarks related to the context of this presentation and our recommendations.

The 2018 legislative session, a budget session, was truly a wild roller coaster ride.

Leading into the session, we had three advocacy priorities:
1. Avoid or at least minimize direct cuts in our state appropriation for FY19 - recall how we experienced a mid-year non-recurring cut of $516K, and we were advised to expect further recurring cuts for FY19.
2. Obtain the other $5+M in equity or performance funding to complete the process of funding NKU on an equitable basis with other KY public universities, a process started in 2016.
3. Pension reform and pension **relief** from the planned $12.8M increase in our KERS pension contribution.

With those priorities, we sought advocacy support from our campus community, our alumni community, the NKUF, regional business groups that rely on NKU for talent production, and other friends of the university.

The wild ride started on January 16th with an initial budget proposal that would have done the following:
1. Cut NKU's state appropriation by $4.5M (an 8.8% cut)
2. Provide NO equity/performance funding
3. Provide no relief from the $12.8M KERS increase
A total negative fiscal impact of over $17M. And, of course, that does not include any internal budget pressures that we would also have to address. That was the reason we started planning for cuts in the $20+M range.

During this period our advocacy efforts accelerated. I want to thank all who advocated on our behalf to avoid the kind of drastic fiscal impact we confronted. Although as the session proceeded we experienced some positive steps forward, then some steps back, then back and forth, the end result was more positive than we could have expected based on the starting point on January 16.

That much more positive ending point for the Frankfort element of our FY19 budget would not have happened without two important forces at work:
1. A strong advocacy effort (again, thanks to all who advocated - it absolutely made a difference), and
2. The strong leadership of our Northern Kentucky legislative caucus, and particularly the strong efforts of 3 senators in key leadership roles - Senators Damon Thayer, Chris McDaniel, and Wil Schroder. We'll hear shortly about the performance funding component in our budget plans. That funding would not have happened, without the key push by the senate and these three leaders in particular. And folks, this is not about political parties, it is about individual legislators in key leadership positions exercising their strength for the good of our region and our university.

So, the outcome of the session for our FY19 budget is positive - details discussed in the presentation. But, of course, other internal budget pressures remain to be addressed - details also to be discussed in the presentation.

This has been a very difficult time for all those on NKU's leadership and support team who have been actively engaged in this legislative and budget process. And, the same can be said of our Board members. So, thanks to all of you for the hard work during this most challenging of times.

But, let's not forget, indeed let's make sure we affirmatively acknowledge and appreciate that the last several months have been extremely difficult for every one of our 1500 or so NKU faculty, staff, and administrators.

The enormous anxiety and stress experienced by our entire workforce during this period of great fiscal uncertainty with potentially dramatic negative consequences, not only for the university, but for our people, has been palpable. In my 19 years at NKU, I have never seen such a level of anxiety and worry among our people. Both the depth of the possible consequences and the delay in obtaining certainty have contributed to this condition.

But, let me say this about our people. Throughout this period of great anxiety and stress, our employees have come to work, on time, done their jobs, served our students, and helped them succeed, all without missing a beat. They have demonstrated a high level of professionalism in the face of adversity. And, I am proud to say I served alongside this dedicated group of professionals.

So, thanks to every NKU employee for your contribution to our continuing success in these most challenging times.

B. Presidential Recommendations:

A motion was made by Regent Lee Scheben and seconded by Regent Normand Desmarais to approve the FY 2018-2019 Tuition Rate. **(Motion carried)**

A motion was made by Regent Gregory Shumate and seconded by Regent Lee Scheben to approve the FY 2018-2019 Annual Operating Budget Resolution. **(Motion carried)**

C. Executive Session:

Regent Gregory Shumate seconded Regent Richard Boehne's motion to enter into executive session pursuant to KRS 61.810(1)(g). **(Motion carried)**

No other matters were discussed. No final action was taken.

D. Presidential Recommendations:

A motion was made by Regent Gregory Shumate and seconded by Regent Dennis Repenning to approve the US-27 Ground Lease. **(Motion carried)**

At 6:34 p.m., Regent Normand Desmarais seconded Regent Gregory Shumate's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Benjamin Jager
Executive Assistant to the President/
Secretary to the Board of Regents

I, Lee Scheben, Vice Chair of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the special meeting held on May 17, 2018, and that such matters are still in force and effect.

Signature On File
Lee Scheben
Secretary of the Board of Regents

2018 Board Retreat Agenda
July 17, 2018
Frost Brown Todd
7310 Turfway Road #210, Florence, KY

8:30 – 9:15	Breakfast
9:15 – 9:30	Introductions
9:30 – 9:45	Why we are here: Goals for the retreat
9:45 – 11:00	Forming a good Board and President relationship
11:00 – 12:00	Assessment of Board and Individual regent performance
12:00 – 1:00	Lunch and Small Group Discussion: "What are NKU's most urgent needs?"
1:00 – 2:15	The year ahead – Challenges and Strategic Opportunities

2018 Board of Regents/Foundation Executive Committee Joint Dinner
July 17, 2018
Northern Kentucky University

5:00 – 6:00	Drinks/Presentation Student Union 108
6:00	Board of Regents/Foundation Dinner Student Union 109

2018 Board Retreat Agenda
July 18, 2018
Frost Brown Todd - 7310 Turfway Road #210, Florence, KY

8:00 – 8:45	Breakfast Call to Order Roll Call Swearing in of new Board of Regents members Board Officer Elections <u>Presidential Recommendation</u> 1. Revised Authorized Schedule of Tuition
8:45 – 9:00	"Takeaways" from Tuesday's session
9:00 – 12:00	Preparing for the next round of strategic planning
12:00 – 1:00	Lunch
1:00 – 1:45	Continuation of strategic planning discussion
1:45 – 3:00	Developing a shared strategy for potential budget challenges
3:00 – 4:00	"Speaking the same language of expectation" – How we shall work together this first year
4:00 – 6:00	Break
6:00	Board of Regents Dinner President Vaidya's Home Wilder, KY 41076

Board of Regents Retreat
Northern Kentucky University
Frost, Brown, Todd, Florence, Kentucky
July 17-18, 2018

Regent W. Lee Scheben, Chair, called the Board of Regents Retreat to order at 8:33 a.m., Wednesday, July 18, 2018.

Roll Call: Michael Baranowski, David Bauer, Richard A. Boehne, Normand Desmarais, Hannah Edelen, Ashley F. Himes, Terry L. Mann, Dennis Repenning, W. Lee Scheben, Andrá Ward. Absent: Gregory Shumate.

Other Attendees: Ashish Vaidya, Ben Jager, Stephen Reno

Oath of Office:

Regent W. Lee Scheben performed the oath of office for the newly elected Regents: Michael Baranowski, David Bauer, and Hannah Edelen. The newly elected Regents swore to uphold all stipulations of the oath and faithfully execute, to the best of his/her ability, the duties of Regent of Northern Kentucky University according to law.

Board Officer Elections:

Regent Normand Desmarais seconded Regent Dennis Repenning's motion to name Regent Andrá Ward as Board Vice Chair. **(Motion carried)**

Regent Terry Mann seconded Regent Dennis Repenning's motion to name Normand Desmarais as Board Secretary. **(Motion carried)**

Presidential Recommendation:

Regent Dennis Repenning seconded Regent Normand Desmarais's motion to approve the revisions to the tuition for the 2018-19 academic year at the rates proposed in the Revised Authorized Schedule of Tuition. **(Motion carried)**

At 8:43 a.m., Regent Dennis Repenning seconded Regent Andrá Ward's motion to adjourn. **(Motion carried)**

Signature On File
Ben Jager
Executive Assistant to the President/
Secretary to the Board of Regents

I, Normand Desmarais, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the retreat meeting held on July 18, 2018, and that such matters are still in force and effect.

Signature On File
Normand Desmarais
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, September 11, 2018 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Wilder, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, September 12, 2018 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Update from Kentucky Retirement System (Eager, Rupinen, Surratt)
2. Mayerson Student Philanthropy Project (Langley, Neikirk, Larson, Mann)
   Center for Environmental Restoration (Fennell, Hargis, Warner)

Board Lunch
NKU, Student Union 109 – Wednesday, September 12, 2018 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union 104 – Wednesday, September 12, 2018 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Resolutions of Recognition
- Approval of May 2, 2018, Board Minutes
- Approval of May 17, 2018, Special Board Minutes
- Approval of July 18, 2018, Board Retreat Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Hales/Southwood)
2. Research/Grants/Contracts Report (March 16, 2018 – June 30, 2018) (Ott Rowlands)
3. Fundraising Report (July 1, 2017–June 30, 2018 and July 1, 2018 – July 31, 2018) (Gentry)
4. Policies Report (Gates/Ott Rowland)
5. Organizational Changes Report (Hales/Southwood)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Major Gifts Acceptance
4. *Naming Recommendations
5. *Faculty Emeritus Status (Desai, St. Amand)
6. *Faculty Handbook Revision (NTTT Health Insurance)
7. *Faculty Handbook Revision (Withdrawal of Promotion Application)
8. *EDM Reorganization
9. *Policy Recommendation
10. *SD1 Easement – St. Elizabeth Medical Office Building
11. *Organizational Chart

2:00 p.m.

D. Executive Session

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, September 10, 2018).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
September 12, 2018

Regent W. Lee Scheben, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, September 12, 2018.

Roll Call: Michael Baranowski, David Bauer, Richard Boehne, Normand Desmarais, Hannah Edelen, Ashley Himes, Terry Mann, Dennis Repenning, W. Lee Scheben, Gregory Shumate, Andrá Ward.

Other Attendees: Ashish Vaidya, Ben Jager, Wendy Peek, Tammy Knochelmann, Ken Bothof, Joan Gates, Eric Gentry, Daniel Nadler, Sue Ott Rowlands, Kathleen Roberts, Kim Scranage, Mike Hales, Valerie Hardcastle, Lori Southwood, Diana McGill, Greg Martin, Kevin Kirby, Alar Lipping, Dale Stephenson, Michael Whiteman, Robert Zai, James Buss, Chris Bowling, Travis Gibbons, Tracy Insko, Ben Bardgett, Abdou Ndoye, Melissa Gorbandt, Sara Kelley, Samantha Langley, Larry Meyer, Russ Kerdolff, Mary Paula Schuh, Leah Stewart, Syed Zaidi, Jason Vest, Ryan Padgett, Janel Bloch, Chad Ogle, Scott Besseler, Matthew Zacate, Josh Goad, Trenee Johnson, Leon Boothe, Wil Schroeder, Ken Harper, Eileen Harper, Bryan Harper, Lesley Hugo, Clyde Middleton, John Middleton, Janet Middleton, David Middleton, Laverne Middleton.

Regent Richard Boehne seconded Regent Gregory Shumate's motion to approve the minutes of the May 2, 2018 Board of Regents meeting. **(Motion carried)**

Regent Terry Mann seconded Regent Richard Boehne's motion to approve the minutes of the May 17, 2018 Board of Regents meeting. **(Motion carried)**

Regent Normand Desmarais seconded Regent Andrá Ward's motion to approve the minutes of the July 18, 2018 Board of Regents Retreat meeting. **(Motion carried)**

Presidential Comments:

Thank you Chair Scheben and members of the Board.

Introduction

Thank you again to Ken Harper, Clyde Middleton, and their families. It means a lot to us that you were able to join us today to allow us to celebrate your leadership in the creation of Northern Kentucky State College 50 years ago. We definitely would not be here today were it not for each of you.

Student Success & Enrollment Management

Our enrollment picture offers mixed results. We are experiencing a greater than expected enrollment decline. The budget that was approved in May was calculated with an expected 1.1% enrollment decline. This figure was determined by our historical retention and persistence rates

as well as real-time enrollment tracking. Currently our projected enrollment decline for Fall 18 is approximately 4.0% when excluding our accelerated online students. This has an estimated shortfall to budget of approximately $2.7 million for Fall 18. While we don't know exactly the reason for the decline, preliminary analysis seems to suggest that many of our students are returning to work or dropping down to part-time status in order to work.

If we do nothing and given our historical fall to spring retention rates, we could expect a similar $2.7 million shortfall in spring 2019 as well. Based on the analysis from IR and EDM offices, if we retain 540 students over the course of the year, we will make up all of the loss we may incur through the enrollment decrease. I have asked the Cabinet to work collaboratively with our colleges and schools and across divisions, to come up with tactics for retaining and/or recruiting these 540 students.

These 540 students though represent more than just tuition dollars and numbers on a spreadsheet. These are 540 individuals who chose to get their education at NKU. Now let's spend the next few months reminding them why they made the right choice and that this is the university that will provide them with the future they have dreamed of.

I would also like to affirm that this projected budget shortfall will not affect the planned compensation increase set to take effect in January. This is true for two reasons. One is that I am confident that the campus community will work together to retain these additional students. And secondly, I am firmly committed to ensuring that our dedicated faculty and staff are fairly and adequately compensated for the hard work they do on behalf of our students.

It is because of this commitment that our singular purpose must remain on student success. The process by which we will establish the strategic framework that will guide not only the next three years but lay the foundation for several decades for NKU is well underway. I have received all nominations for individuals to serve on the core team. That group will be named very shortly, and they will actually begin their training this week. We will also be launching a strategic framework website, which will serve as the public face of our campaign for individuals to participate in and learn about the process. Stay tuned for more exciting updates.

Regional Engagement

As I mentioned at convocation, the focus of our strategic framework will be on student success that aligns with regional needs. An outstanding example of this is the newly launched Clinical Research Certificate in the Department of Allied Health in the College of Health Professions. This post-baccalaureate certificate is a partnership with the CTI company, and will educate employees to work as business/regulatory managers of clinical trials. There are currently 10 CTI employees enrolled in this program.

Over the past two months I have had numerous meetings with our community and business partners including St. Elizabeth Healthcare, Fifth Third Bank, Corporex, BB&T, Western & Southern, and Duke Energy as well as the Chambers of Commerce for Northern Kentucky and Cincinnati. They say many great things about our students who they employ and continue to ask me how we can work together to meet the talent needs of the region.

Board of Regents
September 12, 2018

New Appointments

I would like to recognize a couple of new campus leaders with us today. Dr. Valerie Hardcastle is the new St. Elizabeth Healthcare Executive Director for Health Innovation and the Vice President for Health Innovation. Dr. Hardcastle comes to us from the University of Cincinnati where she mostly recently served as their co-director of the Weaver Institute for Law and Psychiatry.

I would also like to welcome Dr. Jim Buss, inaugural dean of the NKU Honors College. Dr. Buss joins us NKU from Salisbury University in Maryland, where he served as Dean of their Honors College.

We are so glad the two of you are here and very much look forward to the exciting work that will happen in the HIC and Honors College.

Highlights & Accomplishments

Congratulations to Dr. Denice Robinson and Dr. Bethany Bowling on being awarded a $1 million grant from the National Science Foundation to provide STEM scholarships for students with financial need.

Over the summer, the Center for Applied Informatics was honored with the 2018 National Association of Colleges and Employers Chevron Award for outstanding achievement for innovative programs in college career services. Congratulations to all associated with this exemplary work.

In August, we participated in the American Dreams Academy sponsored by the entertainment and lifestyle retailer HSN. The Dreams Academy was a two-day scholarship-based summit aimed at emerging entrepreneurs who were looking to launch their business. As hosts, many of our faculty staff, and even Regents participated in the event. Thanks to Jill Henry, Zac Strobel, Rebecca Volpe, Stephanie Hughes, and Regent Norm Desmarais for their role in making the Dreams Academy such a success.

Upcoming Events

The grand opening for our beautiful new Health Innovation Center will take place on Wednesday, October 17 at 1:30pm. Final details are still being finalized, so stay tuned for more.

That concludes my comments for today's Board meeting.

B. Presidential Reports:

1. Facilities Management Report (Co-Interim Chief Administration Officers Mike Hales and Lori Southwood).

a. Health Innovation Center/Founders Hall Renovation
b. Condensate Leak Repair

c. Energy Savings Performance Contract
d. Science Center Roof Restoration
e. Elevator Improvements (Lucas Administrative Center)
f. Switchgear Replacement (MEP – Exterior Unit)
g. Roadway Maintenance (excludes parking lots)
h. Water Heater Replacements (Student Union)
i. Kentucky Hall Renovation
j. University Center Caulk and Seal
k. Soccer Clean, Caulk and Seal
l. Sustainability
m. US 27 Development
n. UK College of Medicine – Northern Kentucky Campus
o. North Connector Road (Norse Boulevard)

2. Research, Grants, and Contracts Report (March 16, 2018 through June 30, 2018) (Provost and Executive Vice President Sue Ott Rowlands).

During the March 16, 2018 through June 30, 2018 time period 19 grants were awarded. The total amount of money awarded was $3,564,847. For the fiscal year 2017 – 2018 the cumulative total number of grants awarded is 71 totaling $6,795,571.

3. Fundraising Report (July 1, 2017 through June 30, 2018 and July 1, 2018 through July 31, 2018) (Vice President Eric Gentry).

The Fundraising Report summarized fundraising resources committed from July 1, 2017 through June 30, 2018 totaling $12,194,069 and funding resources committed from July 1, 2018 through July 31, 2018 totaling $707,754 in support of the university.

4. Policies Report (Provost and Executive Vice President Sue Ott Rowlands).

The Policies Report summarized all policies that were approved at the executive-level after proceeding through the campus vetting process. The President and other university administrators determined that approval of these policies by the Board of Regents was not needed per the criteria established in Presidential Recommendation C-7 of the January 2015 regular meeting.

5. Organizational Changes Report (Provost and Executive Vice President Sue Ott Rowlands).

The organizational changes report reflected the following:

Academic Affairs

Undergraduate Academic Affairs
With a new Vice Provost for the Undergraduate Academic Affairs area, a review was conducted to maximize the efficiency of the individual programs as well as the overall effectiveness of the

area as a whole. Some programs moved from other areas of Academic Affairs into Undergraduate Academic Affairs to build on the synergies that already exist. The items below outline the changes that were made to achieve these goals.

- The Center for Innovation and Tech in Education (CITE) will move from the Center for Teaching and Learning directly to Steely Library.
- The Center for Teaching and Learning will move from Steely Library to Vice Provost Undergraduate Academic Affairs.
- The Adult Learner Programs & Services (ALPS) will move from Undergraduate Academic Affairs to Testing Services. Governor's Scholars will move from Enrollment & Student Success to Undergraduate Academic Affairs.
- Grant County Center will move from Steely Library to Undergraduate Academic Affairs.
- The Program for Adult Centered Education (PACE) will be eliminated.

Graduate Education, Research and Outreach
Student Research and Creativity will move from Research, Grants and Contracts (RGC) to Vice Provost of Graduate Education, Research and Outreach (GERO). Responsibilities for the student research and creativity event have shifted from the RGC office to the VPGERO office.

Department of Nursing

The units of Advanced Nursing and Nursing were combined to create the Department of Nursing.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Gregory Shumate and seconded by Regent Richard Boehne to approve the Presidential Recommendations as listed; C-1 through C-11. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Faculty Appointments:

Mr. Joseph Cress, assistant clinical professor in the Department of Allied Health, College of Health Professions, effective May 1, 2018; **Ms. Gina Fieler**, assistant clinical professor in the Office of the Dean, College of Health Professions, effective July 1, 2018; **Ms. Dyane Foltz,** advisor and lecturer in the Advising Center in the College of Health Professions, effective July 1, 2018; **Dr. Courtney Hamilton,** assistant clinical professor in the Department of Nursing, College of Health Professions, effective July 1, 2018; **Dr. Collin Herb,** assistant professor in the Department of Kinesiology and Health, College of Education and Human Services, effective August 13, 2018; **Dr. Zeel Maheshwari**, assistant professor in the Department of Physics, Geology and Engineering Technology, College of Arts and Sciences, effective August 13, 2018; **Dr. Shahid Noor**, assistant professor in the Department of Computer Science, College of Informatics, effective August 13, 2018; **Ms. Cristen Ross**, lecturer in the W. Frank Steely Library, Effective March 1, 2018; **Mr. Christopher Smith**,

lecturer of Photography in the Visual Arts program in the School of the Arts, College of Arts and Sciences, effective August 13, 2018; **Dr. Eliah White,** lecturer and retention specialist in the Department of Psychological Science, College of Arts and Sciences, effective August 13, 2018; **Mr. Greg Wilson**, assistant clinical professor in the Office of the Dean, College of Health Professions, effective July 1, 2018.

b. Transitions:

Mr. Matthew Albritton, from associate professor of Visual Arts in the School of the Arts to director and associate professor of Visual Arts in the School of the Arts, College of Arts and Sciences, effective July 1, 2018; **Dr. James Allen** from associate professor to associate professor and interim assistant chair in the Department of Counseling, Social Work and Leadership, College of Education and Human Services, effective July 1, 2018; **Ms. Julia Bedell**, from staff in the Department of Chemistry and Biochemistry, to lecturer II and retention specialist in the Department of Chemistry and Biochemistry, College of Arts and Sciences, effective August 13; **Dr. Gary Clayton,** from professor of economics to professor and chair in the Department of Economics and Finance, Haile/US Bank College of Business, effective August 13, 2018; **Dr. Steven Crites** from associate professor and assistant chair to associate professor and interim co-chair in the Department of Teacher Education, and acting associate dean of the College of Education and Human Services, effective July 1, 2018; **Dr. Darius Fatemi,** from associate professor of accounting to associate professor of accounting and co-chair in the Department of Accounting and Business Law, Haile/US Bank College of Business, effective August 13, 2018; **Dr. Sean Foley**, from associate professor of construction management in the Department of Marketing, Sports Business, and Construction Management, to associate professor of construction management and associate dean for undergraduate programs, Haile/US Bank College of Business, effective July 1, 2018; **Ms. Amy E. Halbrook**, from professor of law to professor of law and associate dean for experiential learning, Chase College of Law, effective July 1, 2018; **Mr. Michael Hatton**, from associate professor of theater to program head of theatre and dance and associate professor of theatre in the School of the Arts, College of Arts and Sciences, effective August 13, 2018; **Dr. Stephanie Hughes,** from professor of management to professor and chair in the Department of Management, Haile/US Bank College of Business, effective August 13, 2018; **Dr. Vanessa Hunn** from associate professor and assistant chair to associate professor and Master of Social Work program director and interim chair in the Department of Counseling Social Work and Leadership, College of Education and Human Services, effective July 1, 2018; **Ms. Lisa Jameson**, from associate professor of visual arts to program head of visual arts and associate professor of visual arts in the School of the Arts, College of Arts and Sciences, effective July 1, 2018; **Ms. Jennifer Kinsley**, from professor of law to professor of law and associate dean for professional development, Chase College of Law, effective July 1, 2018; **Dr. Stephanie Klatzke**, from associate professor to associate professor and interim associate dean in the Department of Communication, College of Informatics, effective July 1, 2018; **Dr. Chris Lawrence** from associate professor to associate professor and interim assistant chair in the Department of Counseling, Social Work and Leadership, College of Education and Human Services, effective July 1, 2018; **Dr. Aron Levin**, from professor of marketing to professor of marketing and chair in the Department of Marketing, Sports Business, and Construction Management, Haile/US Bank College of Business, effective July 1, 2018; **Dr. Alar Lipping** from chair in the Department of Kinesiology and Health, to

interim dean, College of Education and Human Services, effective July 14, 2018; **Mr. Michael Mannheimer**, from professor of law and associate dean for faculty development to professor of law, effective August 13, 2018; **Mr. Brad McCombs**, from associate professor to program head of music and associate professor in the School of the Arts, College of Arts and Sciences, effective July 1, 2018; **Mr. Thomas McGovern,** from associate director of the School of Arts to professor of visual arts in the School of Arts, College of Arts and Sciences, effective June 30, 2018; **Dr. Catherine Neal,** from associate professor of business law to associate professor of accounting and co-chair in the Department of Accounting and Business Law, Haile/US Bank College of Business, effective August 13, 2018; **Dr. Sara Runge** from associate professor and assistant chair of undergraduate programs to associate professor and interim co-chair in the Department of Teacher Education, College of Education and Human Services, effective July 1, 2018; **Mr. Ron Shaw**, from associate professor of theatre in the School of the Arts to associate director and associate professor of theatre in the School of the Arts, College of Arts and Sciences, effective July 1, 2018; **Ms. Christa Speights**, from staff in the Department of Physics, Geology and Engineering Technology, to lecturer in the Department of Physics, Geology and Engineering Technology, College of Arts and Sciences, effective August 13; **Ms. Juliane Stockman**, from director of corporate engagement and lecturer in CAI to director of corporate engagement, lecturer in CAI, and interim assistant dean, College of Informatics, effective July 1, 2018; **Dr. Gannon Tagher,** from associate professor and interim chair to associate professor and chair of the Department of Nursing, College of Health Professions, effective June 1, 2018; **Dr. Duke Thompson**, from professor of finance and chair in the Department of Economics and Finance, to professor of finance and associate dean for graduate studies and outreach, Haile/US Bank College of Business, effective July 1, 2018; **Dr. Rachele Vogelpohl** from associate professor to associate professor and interim chair in the Department of Kinesiology and Health, College of Education and Human Services, effective August 1, 2018; **Dr. Ginger Webb,** from lecturer II and director of educational placements and internships to lecturer II, director of educational placements and internships, and interim assistant chair in the Department of Teacher Education, College of Education and Human Services, effective July 1, 2018; **Mr. Robert Zai III,** from associate dean to interim dean of W. Frank Steely Library, effective July 1, 2018; **Dr. Xiaoni Zhang**, from professor to professor and interim chair in the Department of Business Informatics, College of Informatics, effective July 1, 2018.

c. Part-Time Tenure:

Dr. Daryl Harris, associate professor of theater in the School of the Arts, College of Arts & Sciences, beginning fall semester 2018 and ending at the conclusion of spring semester 2019. **Dr. Kesha Nelson,** associate professor in the Department of Nursing in the College of Health Professions, beginning fall semester 2018 and ending at the conclusion of spring semester 2019.

d. Departures:

Dr. Arne J. Almquist, associate provost for learning sciences and technologies and dean of the W. Frank Steely Library, effective June 30, 2018; **Dr. Patricia Bills**, assistant professor in the Department of Teacher Education, College of Education and Human Services, effective July 31, 2018; **Dr. Frank Braun**, associate professor and chair in the Department of Business

Informatics, College of Informatics, effective June 30, 2018; **Ms. Debra Bryant,** assistant professor in the Department of Nursing in the College of Health Professions, effective August 3, 2018; **Dr. Roland Sintos Coloma**, professor and chair in the Department of Teacher Education, College of Education and Human Services, effective June 30, 2018; **Dr. Monica Gallegos**, assistant professor in the Department of Communication, College of Informatics, effective June 30, 2018; **Dr. Samuel Goh**, associate professor in the Department of Business Informatics, College of Informatics, effective June 30, 2018; **Dr. Francoise Knox Kazimierczuk,** assistant professor in the Department of Allied Health in the College of Health Professions, effective August 10, 2018; **Ms. Emily LaForge,** advisor and lecturer in the Advising Center in the College of Health Professions, effective June 29, 2018; **Dr. Seungcheol Austin Lee**, associate professor in the Department of Communication, College of Informatics, effective May 7, 2018; **Dr. Tamuchin McCreless**, assistant professor in the Department of Business Informatics, College of Informatics, effective June 30, 2018; **Dr. Cynthia Reed**, professor and dean in the College of Education and Human Services, effective August 3, 2018.

e. Retirements:

Dr. Debra Kasel, associate professor in the Department of Allied Health in the College of Health Professions, effective May 11, 2018; **Prof. Barbara McFarland**, assistant professor of law in the Chase College of Law, effective June 30, 2019.

f. Phased Retirement:

Dr. Karen Mutsch, associate professor in the Department of Nursing in the College of Health Professions, beginning spring semester 2019 and terminating at the end of fall semester 2019; **Dr. Paul Wirtz**, associate professor in the Department of Counseling, Social Work and Leadership in the College of Education and Human Services, beginning fall semester 2018 and terminating at the end of spring semester 2019.

g. Temporary Faculty Appointments:

Mr. Steve Castellana, Department of Biological Sciences, effective 2018-2019 Academic Year; **Dr. Eric Hugo,** Department of Biological Sciences, effective 2018-2019 Academic Year; **Dr. Anthony-John Avenido,** Department of Biological Sciences, effective 2018-2019 Academic Year; **Dr. Beatriz Russell,** Department of Chemistry and Biochemistry, effective 2018-2019 Academic Year; **Ms. Kristina Bielewicz,** CINSAM, effective 2018-2019 Academic Year; **Mr. Reuben Bullard,** CINSAM, effective 2018-2019 Academic Year; **Ms. Amber Carter,** CINSAM, effective 2018-2019 Academic Year; **Ms. Kristi Brock,** Department of English, effective 2018-2019 Academic Year; **Ms. Lindsey Caldwell Thomas,** Department of English, effective 2018-2019 Academic Year; **Ms. Megan Henson,** Department of English, effective 2018-2019 Academic Year; **Dr. Katherine Elrick,** Department of English, effective 2018-2019 Academic Year; **Mr. Stephen Leigh,** Department of English, effective 2018-2019 Academic Year; **Dr. Mary Bucklin,** Department of History and Geography, effective 2018-2019 Academic Year; **Mr. Joseph Lombardi,** Department of History and Geography, effective 2018-2019 Academic Year; **Ms. Kathleen Quinn,** Department of History and Geography, effective 2018-2019 Academic Year; **Dr.**

Rachel Zlatkin, Honors, effective 2018-2019 Academic Year; **Ms. Rhonda Davis,** Integrative Studies, effective 2018-2019 Academic Year; **Ms. Natalie Williams,** Learning PLUS, effective 2018-2019 Academic Year; **Ms. Marla Lemmon,** Department of Mathematics and Statistics, effective 2018-2019 Academic Year; **Ms. Barbara Phillips,** Department of Mathematics and Statistics, effective 2018-2019 Academic Year; **Ms. Laura Urbanski,** Department of Mathematics and Statistics, effective 2018-2019 Academic Year; **Dr. Joy Burdette,** Department of Music, effective 2018-2019 Academic Year; **Dr. Richard Van Dyke,** Department of Music, effective 2018-2019 Academic Year; **Dr. Hanna Hurwitz,** Department of Music, effective 2018-2019 Academic Year; **Dr. Leanne Wood,** Department of Music, effective 2019 Spring Semester; **Dr. David Cain,** Department of Physics, Geology, and Engineering Technology, effective 2018-2019 Academic Year; **Mr. Mohsen Keshtvartz,** Department of Physics, Geology, and Engineering Technology, effective 2018-2019 Academic Year; **Mr. Roger Miller,** Department of Physics, Geology, and Engineering Technology, effective 2018-2019 Academic Year; **Dr. Fatemesadat Mohammadi,** Department of Physics, Geology, and Engineering Technology, effective 2018-2019 Academic Year; **Ms. Julie Reizner,** Department of Physics, Geology, and Engineering Technology, effective 2018-2019 Academic Year; **Mr. Will Thatcher,** Department of Physics, Geology, and Engineering Technology, effective 2018-2019 Academic Year; **Mr. Jason Farkas,** Department of Political Science, Criminal Justice and Organizational Leadership, effective 2018-2019 Academic Year; **Mr. Jeffrey Girton,** Department of Political Science, Criminal Justice and Organizational Leadership, effective 2018-2019 Academic Year; **Dr. Kristen Lovett,** Department of Political Science, Criminal Justice and Organizational Leadership, effective 2018-2019 Academic Year; **Dr. Smita Ward,** Department of Psychological Science, effective 2018-2019 Academic Year; **Dr. Paul Bishop,** Department of Psychological Science, effective 2018-2019 Academic Year; **Dr. Gary Blahnik,** Department of Sociology/Anthropology/Philosophy, effective 2018-2019 Academic Year; **Dr. Robert Brice,** Department of Sociology/Anthropology/Philosophy, effective 2018-2019 Academic Year; **Dr. Nicole Grant,** Department of Sociology/Anthropology/Philosophy, effective 2018-2019 Academic Year; **Dr. Michel Simonton,** Department of Sociology/Anthropology/Philosophy, effective 2018-2019 Academic Year; **Ms. Kristina Vise,** Department of Sociology/Anthropology/Philosophy, effective 2018-2019 Academic Year; **Ms. Christine Jones,** Department of Theatre, effective 2018-2019 Academic Year; **Mr. Charles Roetting,** Department of Theatre, effective 2018-2019 Academic Year; **Ms. Julia Sebastian,** Department of Visual Arts, effective 2018-2019 Academic Year; **Ms. Sandra Arrone-Bazzani,** Department of World Languages and Literatures, effective 2018-2019 Academic Year; **Dr. Andrea Fieler,** Department of World Languages and Literatures, effective 2018-2019 Academic Year; **Dr. Iliana Rosales-Figueroa,** Department of World Languages and Literatures, effective 2018-2019 Academic Year; **Mr. James Kirtley,** Department of Accounting and Business Law, effective 2018-2019 Academic Year; **Ms. Davette Shorter,** Department of Accounting and Business Law, effective 2018-2019 Academic Year; **Dr. Mei Mei Burr,** Department of Counseling, Social Work and Leadership, effective 2018-2019 Academic Year; **Mr. James Taylor,** Department of Counseling, Social Work and Leadership, effective 2018-2019 Academic Year; **Mr. Keith Collins,** Department of Kinesiology and Health, effective 2018-2019 Academic Year; **Mr. Adam Helbig,** Department of Teacher Education, effective 2018-2019 Fiscal Year; **Mr. Anthony Burk,** Department of Business Informatics, effective 2018-2019 Academic Year; **Mr. Bradley**

Metzger, Department of Business Informatics, effective 2018-2019 Academic Year; **Ms. Crystal Summers,** Department of Business Informatics, effective 2018-2019 Academic Year; **Ms. Susan Thomas,** Department of Business Informatics, effective 2018-2019 Academic Year; **Dr. David R. Brandt,** Department of Communications, effective 2018-2019 Academic Year; **Ms. Michelle Crowley,** Department of Communications, effective 2018-2019 Academic Year; **Ms. Whittney Darnell,** Department of Communications, effective 2018-2019 Academic Year; **Dr. Seth Adjei,** Department of Computer Science, effective 2018-2019 Academic Year; **Mr. Kenneth D. Roth,** Department of Computer Science, effective 2018-2019 Academic Year.

2. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between April 3, 2018 and August 13, 2018 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary/Student to Regular & Regular to Contract; Departures; Retirements; Administrative/Executive.

3. Major Gifts Acceptance:

The Board of Regents accepted contributions totaling **$2,188,218.47** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period April 1, 2018 through July 31, 2018.

4. Naming Recommendations:

The Board of Regents approved the following naming actions:

1. The naming recognition for support of an award in general chemistry. “Laura Padolik Chemistry Award”.

2. The naming of a fund to provide emergency financial support for students enrolled in the Haile/US Bank College of Business. “Margaret Myers Emergency Grant Fund”.

3. The naming of an endowed scholarship in support of students in the Chase College of Law “W. Roger Fry Endowed Scholarship”.

4. The naming of a fund to provide professional development opportunities for students and/or faculty focused in the areas of playwriting and/or improvisation. Support will include outreach projects, conference and festival attendance, play production, and regional and/or national touring of the plays both new and previously created by student and/or faculty in SOTA. “Zumwalt Playwright & Creative Improvisation Support”.

5. The naming of a non-endowed scholarship for underrepresented populations pursuing tech degrees. “The Ohio National Financial Services Scholarship”.

6. The naming of an endowed scholarship to support part-time students at the evening division at Chase College of Law. “Camille J. Myers Endowed Scholarship”.

7. The naming of an endowed scholarship to recruit and retain highly qualified students at Chase College of Law. “Gerry and Peggy St. Amand Endowed Scholarship”.

5. Faculty Emeritus Status Appointments:

The Board of Regents approved the Emeritus status for the following individuals.

Dr. Tripta Desai, professor in the Department of History and Geography, College of Arts of Sciences, effective May 2018; **Mr. Gerard St. Amand**, professor of law in the Chase College of Law, effective July 1, 2018.

6. Faculty Handbook Revision (NTTT Health Insurance):

The Board of Regents approved the amendment to the Faculty Policies and Procedures Handbook, regarding a change from annual to biennial review of faculty RPT materials.

7. Faculty Handbook Revision (Withdrawal of Promotion Application):

The Board of Regents approved the amendment to the Faculty Policies and Procedures Handbook, regarding withdrawal of application for promotion during the RPT process.

8. EDM Reorganization:

The Board of Regents approved the Enrollment and Degree Management reorganization.

9. Policy Recommendation:

The Board of Regents approved the Policy Creation, Revision, Retirement, and Communication Policy and also the Research Data Management: Archiving, Ownership, Retention, Security, Storage, and Transfer Policy.

10. SD1 Easement – St. Elizabeth Medical Office Building:

The Board of Regents authorized the granting of a small sanitary sewer easement to Sanitation District #1 on the site of the future St. Elizabeth’s medical office building.

11. Organizational Chart:

The Board of Regents approved the organizational chart that reflected all NKU Administrative updates through September 12, 2018.

D. Executive Session:

Regent Andrá Ward seconded Regent W. Lee Scheben's motion to enter into executive session pursuant to KRS 61.810(1) (b) and (c). **(Motion carried)**

At 2:21 p.m. Executive Session concluded and the regular meeting was reconvened for further discussion.

Presidential Recommendation:

A motion was made by Regent Gregory Shumate and seconded by Regent David Bauer to approve the Presidential Recommendation listed below.

The Board of Regents authorized the President to sell the Property located in Covington, Kentucky as it is no longer needed by the University and that the this property is sold in conjunction with adjacent property owned by the Northern Kentucky University Foundation ("Foundation"). The Board also authorized this property is not sold by invitation of sealed bids or by public auction.

At 2:25 p.m., Regent Hannah Edelen seconded Regent Gregory Shumate's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Benjamin Jager
Executive Assistant to the President/
Secretary to the Board of Regents

I, Normand Desmarais, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on September 12, 2018, and that such matters are still in force and effect.

Signature On File
Normand Desmarais
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, November 13, 2018 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Wilder, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, November 14, 2018 – 9:00 a.m.

9:00 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1. Annual Financial Report (Hales, Kerdolff)
2. Annual Enrollment Report (Scranage, Hales, Rainey, Padgett, Stewart)
3. Student Success Initiatives (Ott Rowlands, Nadler, Durojaiye, Moore, Padgett, Danzo, Johnson, Knox, Childers, Davis, Kuhlmann)

Board Lunch
NKU, Student Union 108 – Wednesday, November 14, 2018 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union 104 – Wednesday, November 14, 2018 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of September 12, 2018, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Report, Secretary of the Board of Regents

1:20 p.m.

B. <u>Presidential Reports</u>:

1. Facilities Management Report (Hales/Southwood)
2. Research/Grants/Contracts Report (July 1, 2018 – September 25, 2018) (Ott Rowlands)
3. Fundraising Report (July 1, 2018 – September 30, 2018) (Gentry)
4. Organizational Change Report (Hales/Southwood)
5. Annual Financial Report (Hales/Southwood)

1:40 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Major Gifts Acceptance
4. *Naming Recommendations
5. *Center for Law and Entrepreneurship Name Change
6. *Resolution – Master-lease Purchase Agreement

2:00 p.m.

D. <u>Executive Session</u>

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, November 12, 2018).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
November 14, 2018

Regent W. Lee Scheben, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, November 14, 2018.

Roll Call: Michael Baranowski, David Bauer, Richard Boehne, Normand Desmarais, Hannah Edelen, Ashley Himes, Terry Mann, Dennis Repenning, W. Lee Scheben, Gregory Shumate. Absent: Andrá Ward.

Other Attendees: Ashish Vaidya, Wendy Peek, Tammy Knochelmann, Joan Gates, Eric Gentry, Daniel Nadler, Sue Ott Rowlands, Kathleen Roberts, Kim Scranage, Mike Hales, Lori Southwood, Diana McGill, Greg Martin, Kevin Kirby, Dale Stephenson, Michael Whiteman, Chris Bowling, Travis Gibbons, Jonathan Erion, Ben Bardgett, Ande Durojaiye, Melissa Gorbandt, Sara Kelley, Samantha Langley, Larry Meyer, Russ Kerdolff, Leah Stewart, Syed Zaidi, Janel Bloch, Chad Ogle, Christian Gamm, Matthew Zacate, Andy Meeks, Trenae Johnson, Anna Wright, Tom Ramstetter, Sam Rosenthiel.

Regent Terry Mann seconded Regent Gregory Shumate's motion to approve the minutes of the September 12, 2018 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Thank you Chair Scheben and members of the Board.

An update on Success by Design

- During NKU's 2018 fall convocation, I shared with the campus that design thinking tools and Vijay Govindarajan's 3-box solution methodology would be utilized in an accelerated timeframe of six months to develop a 3-year strategic framework for NKU.
- Since October 1, 2018, the Strategic Framework Core Team has been collecting input and ideas through TalkShop sessions conducted with students, faculty, staff, and members of the community and the region. To date, we have had almost 1,700 face-to-face engagements with all stakeholders through 77 TalkShop sessions.
- On October 22, we launched our website at nku.edu/SuccessByDesign, which included an online survey format, as well as Whiteboard Wednesdays. This has led to additional engagement, including online responses to questions focused on access, completion and career & community engagement, plus 62 respondents to a closed-ended survey.
- Overall, engagement — both internally and externally — has been incredibly beneficial to this important work and we will continue our external face-to-face discussions through December.

One-time investments

- Through the summer and the start of the fall semester, we witnessed an unanticipated decline in enrollment, largely due to a smaller entering first-year class and a decrease in retention of continuing students.
- This challenge needed immediate attention and action. After consulting with various campus constituents, I authorized a one-time investment of $1.2 million for marketing, retention and recruitment efforts that will impact both current and new students enrolling in fall 2019.
- Vice Presidents Eric Gentry and Kim Scranage have taken the lead in our marketing efforts.
- Provost Sue Ott Rowlands and Vice President Dan Nadler co-lead the retention efforts.
- Vice President Scranage and Assistant Vice President Leah Stewart are leading our recruitment efforts.
- Key efforts include:
  - Promoting the EDGE program
  - Nov is free application month
  - Expanded micro-grants, advising, and strengthening programming in the Center for Student Inclusiveness
  - Targeted marketing to international students, high demand programs, 12 high schools, and bilingual students
- They are all engaging faculty, chairs, deans, staff and students in this collaborative work to deliver results.

FUEL NKU and Kroger partnership

- On November 8, 2018, NKU, the NKU Foundation and The Kroger Foundation announced a partnership to fight food insecurity on this university's campus.
- The first phase of the partnership will expand FUEL NKU, our food pantry, to more than seven-times its current size—from 300-square-feet to 2,300-square-feet.
- During the 2016-2017 academic year, 650 NKU students visited the pantry and that number has increased every year.
- Construction will begin in the late fall and is expected to be complete by early 2020. We are so grateful for Kroger's commitment to our pantry and their help in making a lasting impact on the lives of our students and their success.

Health Innovation Center Grand Opening

- Northern Kentucky University dedicated the new Health Innovation Center (HIC) on October 17, 2018.
- We welcomed Kentucky Gov. Matt Bevin and St. Elizabeth Healthcare President and CEO Garren Colvin in dedicating the university's newest building.
- This $105 million project is home to NKU's College of Health Professions and the Institute for Health Innovation. The Kentucky General Assembly allocated $97 million toward the construction of the HIC, which is the first new academic building constructed on NKU's campus since Griffin Hall opened in October 2011.

St. E Physicians ground breaking at NKU's entrance

- Leaders from NKU, St. Elizabeth Healthcare, OrthoCincy, Fairmount Properties, Campbell County and Highland Heights broke ground on the new St. Elizabeth Physicians medical office building on October 18, 2018.
- This facility, located on the north side of Nunn Drive and US 27, will offer urgent care, primary care and specialty physicians, in addition to OrthoCincy's onsite orthopedic office, physical therapy and other emergent orthopedic services. It is scheduled to open in early 2020.
- The medical office building is Phase I of the Town Center mixed-use project that will transform the gateway to NKU's campus. Later phases will see the development of restaurants, retail space, market-rate apartments, hotel, parking and new public gathering spaces.

OKI award for NKU's town center project

- NKU's Town Center project will receive $861,704 to improve access to the mixed-use development, thanks to the award from the Ohio-Kentucky-Indiana Regional Council of Governments (OKI).
- The improvements will include a transit super stop, turn lanes on Nunn Drive, upgrade and reconstruction of old sidewalks and a new pedestrian/bicycle path linking NKU's campus to the new development.

Highlights and Accomplishment Updates

- The inaugural Spotlight on Scholarship event was held at the end of October, showcasing the research, scholarship and creative activity of over 30 faculty members.
    - The winner of the Sol award (named for the Norse goddess of enlightenment) was Kirsten Schwarz from Environmental Science for her research and community engagement work focused on strategic depaving on the westside of Newport.
    - The runner-up was Sara Drabik from Electronic and Media Broadcasting for her work on women in coffee.
- The Institute of Health Innovation has been awarded a Rural Communities Opioid Response Program Planning grant for 2018 from the Federal Office of Rural Health Policy, part of the Health Resources and Services Administration.
    - This federal grant supports treatment for and prevention of substance-use disorder in rural counties with the highest risk. Our partners are Owen County Schools, Three Rivers Health District, the Northern Kentucky Area Development District, the Owen County Drug Prevention Coalition, and Northkey Community Care.
    - With this grant, we will seek to identify and close gaps in early intervention and access to treatment and services in Owen County and across the entire Northern Kentucky region.
- For the first time since 2010, the Chase trial team won the Kentucky mock trial competition, beating both UK and Louisville. Congratulations are in order for the team of Stephanie Brockman, Zach Epperson, Christy Hiance, and Sheree Weichold.
- The Haile/US Bank College of Business' Center for Innovation and Entrepreneurship (CIE) was selected as one of the five finalists for the "Outstanding Contribution to Venture

Creation" award at the 2018 Global Consortium of Entrepreneurship Centers' annual conference in October.
    - NKU is among some great company for the 2018 honor – Michigan State, Babson College, The University of Notre Dame and University of Stockholm, Sweden.
    - The CIE was selected from more than 100 submissions that were reviewed by peer institutions to recognize the very best in entrepreneurship education.
- More than 800 young women from area high schools attended the 8th annual Young Women LEAD conference hosted by the College of Education & Human Services November 1 & 2 here at NKU. Young Women LEAD is a leadership conference for high school girls focusing on leadership, education and development. The purpose of the conference is to empower high school girls to embrace their strengths and to reach their full potential.
- A highly successful partnership to operate our on-campus Early Childhood Center was created between Northern Kentucky University and the University of Cincinnati. This partnership has resulted in a significant increase in enrollment and also allowed for continuation of NKU's Child Care Access Means Parents In School (CCAMPIS) Grant, which provides funds of approximately $135,000 on an annual basis to help low-income parents with campus-based child care services.
- The Division of Student Affairs received a three-year grant of nearly $300,000 from the Office of Violence Against Women – Campus Grant to continue the university's efforts to reduce sexual assault, domestic violence, dating violence, and stalking on campus.
    - The grant is housed in the Norse Violence Prevention Center and will make possible a range of services, including specialized training for campus law enforcement, healthcare providers, university personnel, and others who are often first responders.
    - With the grant, we are able to hire a full-time project coordinator/prevention specialist, hire a part-time advocate to expand our direct service capacity, expand our prevention efforts with African American, Latino, and international students, and provide trauma-informed yoga for survivors of sexual assault.
- More than 10,000 student community service hours have been performed to date this semester.
- Norse student-athletes continue to excel in the classroom. Following an impressive 3.37 cumulative GPA in fall 2017, NKU's student-athletes elevated the bar to a department-record 3.47 GPA in the spring semester. Every program posted a cumulative grade point average above 3.13, including a 3.7 by the men's tennis program that led the department. The softball program registered a 3.67 GPA, which earned recognition by the National Fastpitch Coaches Association for being the second-highest in the country.
- Baseball's Will Hauter was named a CoSIDA Academic All-American over the summer, while both Rikard Lindqvist and Hannah Fischer of the men's and women's soccer programs, respectively, were recently named CoSIDA Academic All-District honorees and voting is currently taking place for Academic All-America honors.
- Northern Kentucky Athletics' core values include both inclusiveness and community engagement. While the 2,909 hours of community service attributed to the athletic department last year are impressive, three of our teams in the last four years have partnered with Team IMPACT to truly impact the lives of three youth with chronic illnesses.
    - The baseball program first got the ball rolling when it signed Alex Murray.
    - Volleyball followed suit in January 2018 with the signing of Taylor and Leah Conrad and, most recently, softball signed Destiny Owen.

- Volleyball senior Haley Libs is one of 10 national finalists for the Senior CLASS Award, an accolade aimed at honoring student-athletes for staying in school and excelling in the community, classroom, character and competition. Libs is a perfect 4.0 student-athlete in Exercise Science, who is also a three-time team captain and three-time All-Horizon League First Team performer.
    - Further, Libs makes annual trips to Norton's Children's Hospital, which treated her own pediatric leukemia, to support the youth currently hospitalized.
- NKU's student-athletes are also excelling in competition. Women's soccer earned yet another top-3 regular-season Horizon League finish, while the volleyball program recently tied for second place in the Horizon League, its best finish in the brief Division I-era. Alex Willis was named the Horizon League Men's Soccer Freshman of the Year after recording 16 goals, which was the third-most in the NCAA at the conclusion of the regular season and seven assists. And, Drew McDonald was named the Horizon League Preseason Player of the Year for the second year in a row.
- NKU's Lead Enterprise Architect, Kasilingham Vimalan was awarded the Rising Tech Stars Award. This award honors IT professionals who are on the fast track to becoming the future technology leaders in our region. Lead Tribune Media Group, the publisher of Venue Magazine, Greater Cincinnati's Lifestyle publication, and Lead Magazine, the publication for Business Executives, selected Vimalan for this award. Vimalan's outstanding achievements were highlighted when he received this award at The Rising Star Awards Ceremony that took place at the comSpark Tech and Innovation Summit on September 18.
- Kathleen Roberts partnered with Norse Advising in the design and delivery of a 5-module series on cultural competence for Master Advising Certification. The program will be scaled to include all administrative and academic units and eventually be developed into an online course.
- *To A Degree* is the Gates Foundation's postsecondary success podcast that highlights the people, institutions and organizations working to provide all students with a high-quality and affordable higher education experience.
    - The American Association of State Colleges and Universities (AASCU) collaborated with *To A Degree* and the Gates Foundation to capture the perspectives of campus leaders at its 2018 Annual Meeting in late October.
    - *To A Degree* recorded three podcasts at the meeting focusing on leadership and innovative solutions to improve equity and student success.
    - I had the pleasure of taking part in the "Keeping the Promise" podcast along with Shippensburg University of Pennsylvania President Laurie A. Carter and State University of New York at Fredonia President Virginia Schaefer Horvath.

That concludes my comments for today's Board meeting.

B. <u>Presidential Reports</u>:

1. Facilities Management Report (Co-Interim Chief Administration Officers Mike Hales and Lori Southwood).

a. Health Innovation Center/Founders Hall Renovation
b. Energy Savings Performance Contract
c. Herrmann Science Center Roof Restoration

d. Elevator Improvements (Lucas Administrative Center)
e. Switchgear Replacement (MEP – Exterior Unit)
f. University Center Caulk and Seal
g. US 27 Development
h. UK College of Medicine – Northern Kentucky Campus

2. Research, Grants, and Contracts Report (July 1, 2018 through September 25, 2018) (Provost and Executive Vice President Sue Ott Rowlands).

During the July 1, 2018 through September 25, 2018 time period 28 grants were awarded. The total amount of money awarded was $3,258,333. For the fiscal year 2018–2019 the cumulative total number of grants awarded is 28 totaling $3,258,333.

3. Fundraising Report (July 1, 2018 through September 30, 2018) (Vice President Eric Gentry).

The Fundraising Report summarized fundraising resources committed from July 1, 2018 through September 30, 2018 totaling $1,512,192 in support of the university.

4. Organizational Changes Report (Provost and Executive Vice President Sue Ott Rowlands).

The organizational changes report reflected the following:

University Advancement

University Advancement Restructure
University Advancement seeks to merge various organizational units and align positions within evolving units to better serve key constituents including students, alumni and donors. The restructure facilitates collaboration, enhances the division's ability to make data driven decisions and aligns resources to take advantage of divisional teams' strengths.

These changes include:

- Created a new organizational unit titled Campaign Operations & Donor Relations
- Made the following organizational unit name changes:
    - From Donor Relations to Special Events
    - From Advancement Services to Advancement Operations & Annual Giving

5. Annual Financial Report (Co-Interim Chief Administration Officers Mike Hales and Lori Southwood).

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Terry Mann and seconded by Regent Dennis Repenning to approve the Presidential Recommendations as listed; C-1 through C-6. **(Motion carried)**

1. Academic Affairs Personnel Actions:

1. Faculty Appointments:

Dr. Tom Baxter, assistant clinical professor in the Department of Allied Health, College of Health Professions, effective August 13, 2018; **Ms. Caitlin Drouillard-Ahlrichs**, advisor and lecturer in the Advising Center in the College of Health Professions, effective September 12, 2018; **Ms. Jennifer Moreland**, assistant clinical professor in the Department of Nursing, College of Health Professions, effective August 13, 2018; **Ms. Crystal Summers**, lecturer in the Department of Business Informatics, College of Informatics, effective August 13, 2018; **Dr. Michelle Teschendorf**, assistant clinical professor in the Department of Nursing, College of Health Professions, effective August 13, 2018.

b. Transitions:

Ms. Michele Day, from lecturer II and student media advisor to student media advisor and professor of practice in the Department of Communication, College of Informatics, effective August 13, 2018; **Mr. Mike King,** from associate professor and program head of Theatre and Dance to associate professor in the School of the Arts, College of Arts and Sciences, effective August 13, 2018; **Dr. Mary Kishman,** from associate professor and interim associate dean to associate professor in the Department of Nursing and chief nurse administrator, College of Health Professions, effective July 1, 2018; **Ms. Angela Riley,** from lecturer II to clinical assistant professor in the Department of Nursing, College of Health Professions, effective August 13, 2018; **Dr. David Tataw**, from associate dean to associate professor in the Department of Allied Health, College of Health Professions, effective July 1, 2018; **Dr. Belle Zembrodt**, from senior lecturer to senior lecturer and director of the Office of Nationally Competitive Scholarships and Opportunities, Honors College, effective July 1, 2018.

c. Departures:

Dr. Thaddeus Bissett, assistant professor in the Department of Sociology, Anthropology and Philosophy, College of Arts and Sciences, effective August 3, 2018; **Ms. Jane Hammons**, instructional services librarian in W. Frank Steely Library, effective December 19, 2018; **Ms. Rachel Parks**, advisor and lecturer in the Advising Center in the College of Health Professions, effective September 7, 2018; **Dr. Verl T. Pope**, professor in the Department of Counseling, Social Work and Leadership, College of Education and Human Services, effective August 31, 2018; **Dr. Alessandra Rhinehart**, assistant professor in the Department of Counseling, Social Work and Leadership, College of Education and Human Services, effective August 16, 2018.

d. Phased Retirement:

Dr. Bill Attenweiler, associate professor in the Department of Psychological Science, College of Arts of Sciences, beginning fall semester 2019 and terminating at the end of June, 2021.

e. Temporary Faculty Appointments:

Mr. Phil Resnick, Department of History and Geography, effective 2018 Fall Semester; **Ms. Chrystal Culbertson,** Department of Mathematics and Statistics, effective 2018-2019 Academic Year; **Mr. John Zappa,** Department of Music, effective 2018-2019 Academic Year; **Ms. Denise Knisely,** Department of Sociology, Anthropology and Philosophy, effective 2018-2019 Academic Year; **Beth Hickey,** Department of Nursing, effective 2018-2019 Academic Year; **Amber Thomas,** Department of Nursing, effective 2018-2019 Academic Year; **Dr. Aziz Bahha,** Department of Computer Science, effective 2018-2019 Academic Year.

2. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between August 14, 2018 and October 15, 2018 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary/Student to Regular & Regular to Contract; Departures; Retirements; Administrative/Executive.

3. Major Gifts Acceptance:

The Board of Regents accepted contributions totaling **$388,950** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period August 1, 2018 through September 30, 2018.

4. Naming Recommendations:

The Board of Regents approved the following naming actions:

1. The naming of a fund to provide financial support for a student in Philosophy, History, Integrative Studies, Celtic Studies, Women and Gender Studies, and/or Religious Studies, "Ashley Stuck Memorial Scholarship".

2. The naming of an endowed scholarship in support of students in the Chase College of Law, "Joseph P. and Sheila J. Thomas Endowed Scholarship".

5. Center for Law and Entrepreneurship Name Change:

The Board of Regents approved that the Transactional Law Practice Center in the College of Law will be renamed the Center for Law and Entrepreneurship.

6. Resolution – Master-lease Purchase Agreement:

The Board of Regents adopted the Resolution approving a master-lease purchase agreement with Bank of America Public Capital Corp. to finance up to $4.25 million for the acquisition and installation of energy savings improvements. The Resolution also authorized the Chief Financial Officer to act on behalf of the Board of Regents in executing the master lease and all related documents.

D. Executive Session:

Regent David Bauer seconded Regent W. Lee Scheben's motion to enter into executive session pursuant to KRS 61.810(1) (b) and (c). **(Motion carried)**

At 1:35 p.m. Executive Session concluded and the regular meeting was reconvened for further discussion.

At 1:37 p.m., Regent Hannah Edelen seconded Regent Terry Mann's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

I, Normand Desmarais, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on November 14, 2018, and that such matters are still in force and effect.

Signature On File
Normand Desmarais
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, January 15, 2019 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Wilder, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, January 16, 2019 – 9:00 a.m.

9:00 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1. Sabbaticals, Project Grants, Summer Fellowships (Ott Rowlands, Neal, Schwarz, Yates)
2. Chase College of Law (Ott Rowlands, Whiteman, Brockman, Duncan
3. Institute for Health Innovation (Ott Rowlands, Hardcastle, Noe, Peters)

Board Lunch
NKU, Student Union 108 – Wednesday, January 16, 2019 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union 104 – Wednesday, January 16, 2019 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of November 14, 2018, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Report, Secretary of the Board of Regents

1:20 p.m.

B. <u>Presidential Reports</u>:

1. Facilities Management Report (Hales/Southwood)
2. Research/Grants/Contracts Report (September 25, 2018 – November 30, 2018) (Ott Rowlands)
3. Fundraising Report (July 1, 2018 – November 30, 2018) (Gentry)
4. Quarterly Financial Report (Hales/Southwood)
5. Policies Report (Gates, Ott Rowland)
6. Faculty Development Awards – Project Grants (Ott Rowlands)
7. Faculty Development Awards – Faculty Summer Fellows (Ott Rowlands)

1:40 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Major Gifts Acceptance
4. *Naming Recommendations
5. *Sabbatical Leaves

2:00 p.m.

D. <u>Executive Session</u>

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, January 14, 2019).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
January 16, 2019

Regent W. Lee Scheben, Chair, called the regular meeting of the Board of Regents to order at 1:10 pm, Wednesday, January 16, 2019.

Roll Call: Michael Baranowski, David Bauer, Richard Boehne, Normand Desmarais, Hannah Edelen, Terry Mann, Dennis Repenning, W. Lee Scheben, Gregory Shumate, Andrá Ward. Absent: Ashley Himes

Other Attendees: Ashish Vaidya, Wendy Peek, Tammy Knochelmann, Ben Jager, Joan Gates, Eric Gentry, Daniel Nadler, Kathleen Roberts, Kim Scranage, Mike Hales, Lori Southwood, Valerie Hardcastle, Diana McGill, Johnna Kleymeyer, Kevin Kirby, Dale Stephenson, Alar Lipping, Robert Zai, Michael Whiteman, Chris Bowling, Tracy Insko, Bill Farrow, Jason Houston, Allen Cole, Ande Durojaiye, Melissa Gorbandt, Sara Kelley, Larry Meyer, Russ Kerdolff, Leah Stewart, Syed Zaidi, Janel Bloch, Christian Gamm, Matthew Zacate, Anna Wright, Ryan Padgett, Carly Hertle, Cathy Dewberry, Josh Goad, Gina Rittinger, Tiffany Budd.

Regent Richard Boehne seconded Regent Gregory Shumate's motion to approve the minutes of the November 14, 2018 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Thank you Chair Scheben and Members of the Board.

Happy New Year and welcome back to everyone. I hope that your break was both restful and enjoyable.

I know Sue already recognized her, but I would like to welcome the new interim dean of the Haile/US Bank College of Business Johnna Reeder Kleymeyer. This is her 2nd week on the job. I was introduced to Johnna as a member of the presidential transition committee, and as the former President and CEO of REDI-Cincinnati. Johnna's experience in economic development and regional collaboration to attract and retain companies aligns well with NKU's mission of leveraging partnerships to connect the talents within the College of Business with the needs of the region. I am delighted to have Johnna lead the Haile/US Bank College of Business during this time of transition.

Commencement

On December 15, just prior to the holidays, we conferred more than 1,110 degrees to our talented graduates at commencement.

It was an honor to shake hands with each of our graduates and see hope and excitement on their faces—this was a very special moment for me as it was my first commencement at NKU.

Fall Forum

On December 12, we held the president's fall forum during which I updated the campus on the highlights and accomplishments of the fall semester, a progress report on the strategic framework, and an enrollment and budget update. We also presented an early look at our FY20 budget planning effort. As part of that planning, I provide an update on the options we were considering to address the pension challenge.

Since the Forum, we continue to work closely with members of our legislative caucus, colleagues from the other six state universities and the Council on Postsecondary Education (CPE), to draft a bill that aligns with the proposed priorities that were presented at the Fall Forum and other constituency meetings. The legislature is adjourned until early February, but upon their return we expect action upon our priorities.

We have met with both Senate and House leadership, the Governor and his staff, and other legislators from across the state to educate them on the elements of the proposed consensus bill, while emphasizing the urgency of the issue for Kentucky's public higher education institutions.

Kentucky Postsecondary Education Symposium

On December 18, a team from NKU, including Regents Ward, Boehne, Shumate, Baranowski, Bauer, Edelen, Provost Sue Ott Rowlands, Vice President Dan Nadler and I, attended the Kentucky Postsecondary Education Symposium in Louisville. This event included higher education leaders from across the state who gathered to discuss how we can collectively affect the future of the Commonwealth through a more educated citizenry. The day was capped off by a keynote address by Arizona State University President Michael Crowe on the role of postsecondary education in the 21st century.

I look forward to seeing how we can put some of the ideas from that symposium to ensure that NKU is at the forefront of the goal to provide postsecondary credentials for 60% of Kentuckians by 2030.

Enrollment Update

We have some encouraging news regarding our spring enrollment.

As of the first day of classes, overall headcount is up 5 percent and FTE is up 2.6 percent over last spring. These increases are mainly due to accelerated on-line programs with some improvements in retention and persistence. Therefore, we are projecting to be up in overall enrollment for fiscal year 19.

With the unexpected decline in on-campus degree-seeking students at the beginning of the fall semester, I challenged the campus to reach ambitious retention and enrollment goals from the fall to spring semesters, and we have seen some early success. Preliminary first-year retention of degree-seeking students as of the first day of classes is up over last year. Moreover, we have again started to close the gap between overall retention and URM retention.

Retention is up because we are retaining the students who are currently enrolled despite the loss of enrollment last fall. This is an indicator that the one-time investment measures put in place

last semester are paying dividends. This was accomplished through a collaborative and sustained effort across campus.

Enrollment is critical for our continued success, and I would like to extend my gratitude to all for this crucial work. Let's keep it up.

Online Program Rankings

Our online education programs are a significant reason for the positive enrollment. We were recently recognized by the Community for Accredited Online Schools for our online learning offerings in 2018-19, ranking on the following lists:

- Best Online Schools in Kentucky
- Best Online Bachelor's Degrees
- Best Construction Management Schools
- Best Radiology Technician Schools

Considering the relative youth of our online portfolio, it is very encouraging to be nationally recognized for our efforts.

Since launching accelerated online programs in spring 2018, we have grown from 174 students across 13 degree programs and 0 certificates to more than 1,400 students in 20 programs and 8 certificates.

Entrepreneurship and Innovation Grants

Collaborating with regional partners, NKU is enjoying great success in the area of entrepreneurship and innovation.

At the end of November, the Northern Kentucky Entrepreneurship Council was awarded a $750,000 grant through Kentucky's RISE Program to emphasize entrepreneurship and innovation in regional economic development through informatics, health innovation and logistics innovation.

NKU will lend its expertise through the College of Business, the College of Informatics and the Institute for Health Innovation.

Additionally, Tri-ED, the Institute for Health Innovation and St. Elizabeth Healthcare were recently awarded a $731,000 grant from the US Department of Commerce Economic Development Administration to build the Northern Kentucky Health Innovation Initiative, the first time funding of this type has been awarded in Kentucky.

The RISE grant and local matching funds amount to a $2.8 million innovation and entrepreneurship investment in our region. We are excited to showcase how our talented faculty, staff and students can benefit our region and state.

Kentucky Governor's School for Entrepreneurs

NKU is now the official Kentucky Governor's School for Entrepreneurs site. Beginning in the summer of 2019, 70 Kentucky students will learn and engage in product innovation and business

model design at NKU. GSE will work closely with NKU's Center for Innovation and Entrepreneurship, as well as local business, education and government leaders, to support regional entrepreneurs, foster talented high school students and cement NKU's reputation as an innovation hub.

Success by Design update

On Friday, January 11, the Strategic Framework Core Team held a day-long retreat to analyze the data produced during various engagement opportunities in the fall. The Core Team will continue to develop the framework around the theme of advancing student success – with a focus on access, completion, and career and community engagement - aligned with the needs of the region. While we have moved onto the next phase of the strategic framing process, it has become clear that our strategic planning process will be constantly evolving as the regional needs change. In this new paradigm, we will be required to constantly innovate, adapt, and execute in an iterative process as we stay responsive to the needs of the region and beyond.

I want to recognize the ongoing work of the Core Team and the outstanding work contributed by the Resource Team. This group of nearly 100 subject matter experts produced valuable reports on such topics as institutional alignment, the labor market and diversity/equity/inclusion. We hope to publish these reports on the Success by Design website soon.

Administration and Finance Highlights and Accomplishments

Tim Ferguson, Chief Information Officer was selected for the Cincinnati Tech Power Player: Class of 2019 list of leading IT executives for Southwest Ohio and Northern Kentucky by Lead Tribune Media Group. This recognition celebrates the great work of technology leaders, influencers and advocates that help to foster deeper community.

Athletics Highlights and Accomplishments

NKU student-athletes posted a 3.47 GPA in the fall 2018 semester, edging spring 2018's cumulative 3.46 to establish yet another department record.

This is the 15th-straight semester NKU student-athletes have had at least a 3.0 GPA, the ninth-straight semester they have posted at least a 3.2 and the third-straight above a 3.3.

Volleyball tied for second in the Horizon League regular-season and battled its way to runner-up in fall's Horizon League Tournament. Both finishes are program-bests in the Division I era.

Women's soccer finished third in the Horizon League regular-season and reached the league tournament for a fourth-straight season.

Academic Affairs Highlights and Accomplishments

At November's annual Kentucky Academy of Sciences meeting, 18 College of Arts and Science students won awards for research presentations.

Congratulations are due to Ariel Porter, a Biological Sciences major, who was accepted into the first class of the University of Kentucky College of Medicine - Northern Kentucky Campus, beginning in Fall 2019.

MPA student Lindsay Lomax was presented a "Heart of the Community" award by the Center for Great Neighborhoods in November. This award recognizes Covington residents who have made “significant contributions to their neighborhood or school.” Lindsay helped spearhead a back-to-school party at Goebel Pool that fed 50 families, distributed school supplies and gathered input for future park improvements.

Our School of the Arts was represented at the Kentucky National Association of Teachers of Singing (NATS) student auditions in October. This transdisciplinary experience included several NKU faculty: Rita Larkin and Catherine Keen from Theatre & Dance; Kimberly Lazzeri and Joy Burdette from Music; and three pianists, Mika Komuro, Dasom Kwon and Natasha Pence. In total, 18 students from SOTA and the Music Prep program competed in the student auditions. *An impressive 13 advanced to the semifinals, with five placing in the finals,* from each of the studios.

Devon Moore’s original composition “Neon Radiation” won grand prize on MetaPop.com. Devon is pursuing a B.A. in audio production here at NKU.

Lisa Jameson, program head for Visual Arts, was honored with the Kentucky Higher Education Teacher of the Year award from the Kentucky Art Education Association at the annual fall convention. Congratulations, Lisa.

The department of Political Science, Criminal Justice, and Organizational Leadership, in collaboration with Chase College of Law faculty, is launching an undergraduate Bachelor of Arts law degree this spring. This multidisciplinary major will provide advantageous legal training for careers where a JD is not required.

CINSAM was recently awarded the Kentucky Association for Environmental Education’s Community Partner Award. CINSAM was nominated by a Kenton County Schools teacher for professional development work in STEM education, especially the Next Generation STEM Classroom project.

I am proud to announce the College of Education and Human Services received final certification for national accreditation at the initial-licensure level by the Council for Accreditation of Educator Preparation in fall 2018, recognizing excellence in educator preparation.

The College of Education and Human Services’ Special Education Program received national professional recognition by the Council for Exceptional Children. Ours is the only such program in the state of Kentucky to receive this recognition.

The College of Education and Human Services’ $770,000 Kentucky Traineeship Program federal grant was recently renewed, allowing NKU to offer tuition assistance for courses leading to teacher certification in Special Education and Integrated Early Childhood Education programs.

Student Affairs Highlights and Accomplishments
A highly successful Student Parent Holiday Help Initiative was conducted in which generous donations were collected from NKU faculty and staff, resulting in the sponsorship of 36 student parent families comprising 42 adults and 69 children. There were 21 departments/offices, 3 student organizations, and 11 individuals involved to make this possible.

Upcoming Events
This Friday, January 18, we will gather for our Spring convocation. In place of the usual address, we will view *Unlikely: In Pursuit of a Better U*, a documentary that focuses on the college dropout crisis and systemic barriers some students face in pursuit of education and a livable-wage career. An expert panel of faculty, staff, students and community members will follow.

The morning will begin at 9 a.m. in the Student Union Ballroom and conclude around 11:30. I hope you can join us for what should be a very meaningful morning.

On Monday, January 21, we will be closed to observe Dr. Reverend Martin Luther King Jr Day. However, more than 800 Norse students, faculty and staff have graciously volunteered their holiday to perform needed acts of service throughout the region.

And finally, mark your calendars for Homecoming Week Feb. 2-9. Festivities will include the annual Alumni Awards on Feb. 8th and the men's basketball game the next day. I look forward to seeing you all there.

Chair Scheben and Members of the Board that concludes my comments for today's Board meeting.

B. Presidential Reports:

1. Facilities Management Report (Co-Interim Chief Administration Officers Mike Hales and Lori Southwood).

a. Energy Savings Performance Contract
b. Herrmann Science Center Roof Restoration
c. Elevator Improvements (Lucas Administrative Center)
d. Switchgear Replacement (MEP – Exterior Unit)
e. Kenton Garage Restoration
f. Commonwealth Hall Renovation
g. New Residence Hall
h. US 27 Development
i. UK College of Medicine – Northern Kentucky Campus

2. Research, Grants, and Contracts Report (September 25, 2018 through November 30, 2018) (Provost and Executive Vice President Sue Ott Rowlands).

During the September 25, 2018 through November 30, 2018 time period 13 grants were

awarded. The total amount of money awarded was $486,623. For the fiscal year 2018–2019 the cumulative total number of grants awarded is 41 totaling $3,759,189.

3. Fundraising Report (July 1, 2018 through November 30, 2018) (Vice President Eric Gentry).

The Fundraising Report summarized fundraising resources committed from July 1, 2018 through November 30, 2018 totaling $2,609,802 in support of the university.

4. Quarterly Financial Report (Co-Interim Chief Administration Officers Mike Hales and Lori Southwood).

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

5. Policies Report (Provost and Executive Vice President Sue Ott Rowlands and Vice President for Legal Affairs/General Counsel Joan Gates).

The Policies Report summarized all policies that were approved at the executive-level after proceeding through the campus vetting process. The President and other university administrators determined that approval of these policies by the Board of Regents was not needed per the criteria established in Presidential Recommendation C-7 of the January 2015 regular meeting.

6. Faculty Development Awards – Project Grants (Provost and Executive Vice President Sue Ott Rowlands).

The Project Grant Report reflected the grants that have been awarded for 2019-2020.

7. Faculty Development Awards – Faculty Summer Fellowships (Provost and Executive Vice President Sue Ott Rowlands).

The Faculty Summer Fellowship Report reflected the fellowships that have been awarded for the Summer of 2019.

C. <u>Presidential Recommendations</u>:

Consent Agenda Items: A motion was made by Regent Terry Mann and seconded by Regent Andrá Ward to approve the Presidential Recommendations as listed; C-1 through C-5. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Ms. Judith Daar, professor of law with tenure and dean of the Salmon P. Chase College of Law, effective July 1, 2019.

b. Faculty Appointments:

Ms. Gwenette Gaddis, advisor and lecturer in the Advising Center in the College of Health Professions, effective October 15, 2018; **Ms. Erin Masters**, assistant professor in the Department of Accounting and Business Law, Haile/US Bank College of Business, effective August 12, 2019; **Mr. José Luis Saavedra Torres**, assistant professor in the Department of Marketing, Sports Business, and Construction Management, Haile/US Bank College of Business, effective August 12, 2019; **Ms. Cathleen Wolff**, Director of Advising in the College of Health Professions, effective November 01, 2018.

c. Transitions:

Dr. Olugbemiga Ekundayo, from chair in the College of Health Professions to associate professor in the Department of Allied Health, College of Health Professions, effective August 28, 2018.

d. Departures:

Ms. Caroline Braden, lecturer in the Department of Political Science, Criminal Justice, and Organizational Leadership, College of Arts and Sciences, effective December 31, 2108; **Ms. Maren Carpenter Fearing**, assistant professor of Visual Communication Design in the School of the Arts, College of Arts and Sciences, effective January 14, 2018; **Ms. Erma Jane Hammons**, librarian in the W. Frank Steely Library, effective December 31, 2018.

e. Retirement:

Ms. Jeanne Pettit, senior lecturer and director of First-Year Programs, effective December 31, 2018.

f. Phased Retirement:

Dr. J. Gaut Ragsdale, professor in the Department of Communication, College of Informatics, beginning fall semester 2017 and terminating at the end of the fall semester 2018; **Mr. Harold Wiebe**, associate professor in the Department of Physics, Geology and Engineering Technology, College of Arts of Sciences, beginning spring semester 2019 and terminating at the end of fall semester 2020.

g. <u>Temporary Faculty Appointments:</u>

Dr. Souvik Sarkar, Department of Physics, Geology and Engineering Technology, effective 2019 Spring Semester.

2. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between October 16, 2018 and December 3, 2018 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary/Student to Regular & Regular to Contract; Departures; Retirements; Administrative/Executive.

3. Major Gifts Acceptance:

The Board of Regents accepted contributions totaling $607,000 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period August 1, 2018 through November 30, 2018.

4. Naming Recommendations:

The Board of Regents approved the following naming actions:

1. The naming of a fund to provide mission support to the Research and Education Field Station. “Paul and Mary Beth Stegeman Fund for the Research and Education Field Station”.
2. The naming of a scholarship to provide support for incoming freshmen with a cumulative high school GPA of 3.0 who exhibit financial need. “Robert B. Hengge Scholarship”.
3. The naming of a food pantry and additional in-kind contributions “FUEL NKU and Kroger Zero Hunger/Zero Waste food pantry”.
4. The naming of a scholarship to provide support for a first-year Honors College student recognized as having produced the best research paper written for an Honors course during their first year as an Honors student. “Robert and JoAnn Brinkman Scholarship”.
5. The naming of an endowed scholarship for Chase College of Law students who are Northern Kentucky residents. The scholarship is awarded on the basis of academic achievement and financial need. “James R. Poston, Sr. and Ryan Carter Poston Endowed Scholarship”.
6. The naming of a fund for travel and local lodging expenses for international faculty to serve as Informaticists in Residence in the College of Informatics. “International Informaticist in Residence in the College of Informatics”.
7. The naming of an endowed scholarship to provide support for students at Chase College of Law who demonstrate high academic promise. “Justice Wil Schroder Endowed Scholarship”.
8. An endowed scholarship for students attending Chase College of Law. The scholarship will be awarded on the basis of academic achievement and interest in litigation, with a preference for graduates from Eastern Kentucky University. “Sanders School of Trial

Practice Endowed Scholarship".

5. Sabbatical Leaves:

The sabbatical leave recommendations for the 2019-2020 academic year received Board of Regents' approval.

D. Executive Session:

Regent Andrá Ward seconded Regent W. Lee Scheben's motion to enter into executive session pursuant to KRS 61.810(1) (c). **(Motion carried)**

At 1:38 p.m., Regent Gregory Shumate seconded Regent Terry Mann's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Benjamin Jager
Executive Assistant to the President/
Secretary to the Board of Regents

I, Normand Desmarais, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on January 16, 2019, and that such matters are still in force and effect.

Signature On File
Normand Desmarais
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, March 19, 2019 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Wilder, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, March 20, 2019 – 10:15 a.m.

10:15 a.m.

A. Joint Finance and Policy Committee:

1. Budget and Tuition Presentation (Hales)
2. Honors College (Ott Rowlands, Buss, Gorbandt, Kain, Lopez, Uwolloh)

Board Lunch
NKU, Student Union 108 – Wednesday, March 20, 2019 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union 104 – Wednesday, March 20, 2019 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of January 16, 2019, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Hales/Southwood)
2. Research/Grants/Contracts Report (December 1, 2018 – January 31, 2019) (Ott Rowlands)
3. Fundraising Report (July 1, 2018 – January 31, 2019) (Gentry)
4. Quarterly Financial Report (Hales/Southwood)
5. Policies Report (Gates, Ott Rowland)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Academic Affairs Reappointment, Promotion, and Tenure
3. *Non-Academic Personnel Actions
4. *Major Gifts Acceptance
5. *Naming Recommendations
6. *Honorary Degree - Colvin
7. *Posthumous Degree - Dichoso
8. *Posthumous Degree - Sershion
9. *New Bachelor of Science Degree in Engineering Physics
10. *New Master of Science Degree in Athletic Training
11. *Proposed Debt Write-Off (Student Account Bad Debts)
12. *Organizational Chart Update
13. *FY 2019-2020 Dining, Parking, Housing and Other Fees
14. *FY 2019-2020 Tuition Rates
15. *FY 2019-2020 Annual Operating Budget Resolution

D. Board Recommendation:

1. External Audit Firm Selection

2:00 p.m.

E. Executive Session

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, March 18, 2019).

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
March 20, 2019

Regent W. Lee Scheben, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, March 20, 2019.

Roll Call: Michael Baranowski, David Bauer, Richard Boehne, Normand Desmarais, Hannah Edelen, Ashley Himes, Terry Mann, Dennis Repenning, W. Lee Scheben, Gregory Shumate, Andrá Ward.

Other Attendees: Ashish Vaidya, Wendy Peek, Tammy Knochelmann, Ben Jager, Joan Gates, Eric Gentry, Sue Ott Rowlands, Daniel Nadler, Kathleen Roberts, Kim Scranage, Mike Hales, Lori Southwood, Valerie Hardcastle, Diana McGill, Johnna Kleymeyer, Kevin Kirby, Dale Stephenson, Alar Lipping, Robert Zai, James Buss, Chris Bowling, Tracy Insko, Travis Gibbons, Jason Houston, Katelyn Clough, Allen Cole, Ande Durojaiye, Melissa Gorbandt, Sara Kelley, Larry Meyer, Russ Kerdolff, Mary Paula Schuh, Leah Stewart, Syed Zaidi, Jason Vest, Janel Bloch, Chad Ogle, Christian Gamm, Matthew Zacate, Anna Wright, Ryan Padgett, Donna Smith, Josh Goad, David Berland, Atley Smedley, Sharmanthie Fernando, Andy Meeks.

Regent David Bauer seconded Regent Gregory Shumate's motion to approve the minutes of the January 16, 2019 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

MLK Day of Service
On Martin Luther King Jr Day, I was proud to join nearly 800 Norse students, faculty and staff as we spent the day volunteering in the community at 28 different sites. It was exciting to see so many people helping to make our community a better place. I hope this can become a yearly tradition for our campus. Thanks to the Office of Student Engagement for coordinating this event.

Homecoming Recap
Last month we celebrated homecoming by recognizing some of our most outstanding alumni at the awards ceremony that weekend.

Tom Munninghoff, a 1976 Chase grad, was given the Outstanding Alumnus Award. The Distinguished Service Award went to two very deserving individuals – Diane Sticklen-Jordan, a two-time NKU alum, and former NKU Foundation President Barry Kienzle. The Outstanding Young Alumnus Award was given to 2014 grad Brad Jolly. And last but not least, former staff regent Arnie Slaughter was honored with the Faculty/Staff Strongest Influence Award. For anyone who knows Arnie, it would be hard to find a staff member who is more dedicated to our students that he is.

We are fortunate to have so many dedicated and talented NKU alumni in our community. Congratulations to all the winners.

Career Expo

On February 27th, NKU's Career Services held their annual Career Expo in the Student Union. With a maximum of 139 employers in attendance recruiting and interviewing our students, we had 802 students and alumni participate in this important event. This was a record-breaking attendance, up 41% in student attendance from last year and up 23% from our previous record in 2014. This is exciting news, particularly as we look at ways in our new strategic framework to expand the career preparedness of our students and embed career readiness throughout the lifecycle of the student. I want to thank Career Services for their leadership of this event, and to all those who partnered with Career Services in supporting our students.

Cyber Defense Team

NKU's Cyber Defense Team placed first in the 5-state combined Collegiate Cyber Defense Competition in February. Teams were from KY, OH, IN, MO and WI. This means we beat Purdue as well as our archrival the University of Louisville. This round was done online, and the NKU team competed from the donor-funded JRG Cyber Threat Intelligence Lab in Griffin Hall. The team headed to Chicago the weekend of March 15-17 to compete in the Midwest Regionals for the seventh time in ten years and placed third overall. Two Electronic Media and Broadcasting students traveled with the team to do a "reality TV" style mini-documentary on the experience.

Physical Disability Campus Ranking

We were recently named as a top 10 campus for supporting students with physical disabilities by College Magazine for our accessible residence halls, classrooms, and transportation options as well as general campus advocacy related to disability awareness and inclusion. Congratulations to Director Cindy Knox and her staff in the Office of Disability Programs and Services for providing excellent support to our students.

Military Friendly Campus Ranking

We were also recognized as a military-friendly campus by Viqtory Media which is the premier publication for service members transitioning back into civilian life. This is our 9th straight year on this list. Congratulations to the staff in the Veteran's Resource Station for the outstanding service they provide to our military families.

FUEL NKU Opening

Back in February I was honored to join Kroger CFO Mike Schlotman as we opened the new FUEL NKU food pantry. Through a generous partnership with Kroger, we were able to greatly expand the physical space, food offerings, and hours to help support the needs of our students. This will go a long way toward helping the growing number of students who face food insecurity.

Reappointment, Promotion, and Tenure

In just a few moments, I will ask the board to approve my recommendations regarding the reappointment, promotion and tenure of several faculty members. I'd like to especially recognize those faculty who I am recommending for tenure and promotion. This is a significant accomplishment and milestone in a faculty member's career and deserves special recognition.

Individual Recognition

I would like to recognize Lori Wright, director of TRiO Student Support Services and graduate student in our Ed.D. program, who together with a group of women from NKU, Cincinnati State, and Mt. St. Joseph, are writing a collaborative, co-authored dissertation on feminist leadership in the academy. They also received permission to write a graduate textbook as an extension of their doctoral dissertation. We are very proud of the work of our staff and graduate students and look forward to the publication.

Dr. Holly Riffe of the department of Counseling, Social Work and Leadership in the College of Education and Human Services has been recognized as Outstanding Educator for 2019 in *Cincy Magazine.* Congratulations to Holly on this tremendous honor.

Dr. Steve Crites of the department of Teacher Education of COEHS received a continuation of the Kentucky Traineeship in Special Education grant of $777,000,000 for the 2019-2020 school year. This grant is funded by the Kentucky Department of Education with federal money from the Individuals with Disabilities Education Act to provide tuition funding for graduate students seeking certification in special education.

Lastly, I am proud to announce that our Student Regent Hannah Edelen was recently awarded the prestigious Henry Clay Internship in Public Policy through the Kentucky Society for Washington. Hannah will intern with the Kentucky congressional delegation this summer in Washington, D.C. Congratulations Hannah.

Pension Update

The legislature adjourned on March 14 not to return until March 28, where they will have one day to override any gubernatorial vetoes and act on legislation. Any bills passed on March 28 will be subject to gubernatorial vetoes without ability to override.

There are two bills relating to NKU pension reform:

SB41

An amendment was filed to SB41 last Thursday to freeze KERS contribution rates on all quasi agencies, including universities, for one more year. While we appreciate a one-year freeze, this is not a long-term solution. Earlier this week, Senate leadership stated they did not see this bill passing either, and we echoed our continued support for HB358.

HB358

House Bill 358 reflects the consensus proposal recommended by all of the affected universities. Working together since late summer, we developed this plan as a solution to our pension challenge. Representative James Tipton, Chair of the House Budget Review Subcommittee on Postsecondary Education, worked with us to develop this proposal and carried it through its passage of the House.

After passing the House, the bill was sent to the Senate and amended by Sen. Chris McDaniel through a Senate Committee Substitute (SCS), with language that was less favorable for NKU.

The amended language does not allow for a "level-dollar" approach to paying off our unfunded liability which would reduce NKU's contribution amount since we are over-paying the system currently using the % of payroll calculation.

The committee substitute also added all quasi-governmental agencies to our bill rather than it being a standalone higher education bill. The quasi-agencies received different options in the bill than us, specifically that existing employees at these quasi-agencies would not have the option to stay in KERS or opt to a defined contribution plan. This became an issue for non-university quasi-agencies with employees close to retirement milestones that prefer the option to stay.

The Senate passed this version of HB358 and sent it back to the House for their concurrence. The House did not concur and sent the bill back to the Senate for them to recede on the SCS.

The General Assembly adjourned last Thursday without taking further action.

It's our understanding House and Senate members will attempt to meet during the recess to develop a solution. We will continue to work with members of both chambers to aid finding a solution.

Athletics Highlights

Alec Sandusky won the 5,000m event at the Horizon League Indoor Track & Field Championship, earning First Team all-Horizon League honors. Sandusky followed 24-hours later with a third-place finish in the 3,000m. On the women's side, BriAuna Keys finished second, earning a silver medal, in the women's 400m.

The men's basketball continued its unprecedented success in the DI era, winning the Horizon League Tournament Championship and sharing the regular-season title this year. In just three years with active Division I status, we have claimed two Horizon League regular-season titles (2018, 2019), two league tournament titles (2017, 2019) and has played in two NCAA Tournaments (2017, 2019) as well as the NIT (2018).

Our players have also racked up several individual honors this year. The accolades include Jalen Tate making his second straight Horizon League All-Defensive team.

And what can you say about Drew McDonald that hasn't already been said? Drew broke the school record for most free throws made in a career and became NKU's all-time leading scorer with 2,011 points on his way to being named as the Horizon League Player of the Year.

Our players also shine in the classroom. Both Drew and Dantez Walton made the Horizon League All-Academic Team as well.

Now I come from a hockey school, so I'm still learning what it means to be a college basketball fan in Kentucky, but I don't think I could have asked for a better first year as president than this one. I think my voice is still hoarse from that buzzer beater shot against Oakland.
The team will play in the first round of the NCAA Tournament on Friday at 1:30pm against Texas Tech in Tulsa. It will certainly be an exciting day for our campus and community.

Upcoming Events

Celebration
NKU's Celebration of Student Research and Creativity will take place on April 9th in the Student Union. This will be a day of posters, presentations and performances given by students from across the university. We encourage everyone to come and see the amazing level of scholarly and creative work being done by our students.

Inauguration Week
Next week begins my inauguration week. The goal is to use this week as an opportunity to celebrate NKU and how we contribute to student success and regional progress. Every day has a theme: Tuesday is community appreciation day, Wednesday features events related to current and future student appreciation, and Thursday is a celebration of our staff and faculty.

There are a few events I'd like to call out:

On Tuesday at 8:30am we will be cutting the ribbon on the Rockwell Automation and CBT Company Mechatronics Lab in the Business Academic Center Room 111.

Also on Tuesday at 10am will be a dialogue on regionalism that will feature our three country judges executive and will be moderated by Johnna Kleymeyer, interim dean of the Haile/US Bank College of Business

Tuesday and Wednesday are Making Teaching Public Days where we will open up a few of our classes to the community to witness the excellence faculty we have on our campus.

On Wednesday at 10am, we will be hosting a Diversity and IT Leadership Panel which will feature some of the most prominent IT leaders in the community and will be hosted by Maureen Doyle, chair of Computer Science in the College of Informatics.

Friday is investiture day and I hope all of you can make it to the ceremony at 2pm in BB&T. A reception will follow.

This is an exciting week for me personally but also for the campus to celebrate its successes as we collectively look toward the future.

For a detailed list of all the events next week, please visit the inauguration website.

Chair Scheben and members of the Board that concludes my remarks for today's meeting.

B. Presidential Reports:

1. Facilities Management Report (Co-Interim Chief Administration Officers Mike Hales and Lori Southwood).

a. Energy Savings Performance Contract
b. Herrmann Science Center Roof Restoration
c. Elevator Improvements (Lucas Administrative Center)
d. Switchgear Replacement (MEP – Exterior Unit)
e. Kenton Garage Restoration
f. Commonwealth Hall Renovation
g. New Residence Hall
h. US 27 Development
i. UK College of Medicine – Northern Kentucky Campus

2. Research, Grants, and Contracts Report (December 1, 2018 through January 31, 2019) (Provost and Executive Vice President Sue Ott Rowlands).

During the December 31, 2018 through January 31, 2019 time period 6 grants were awarded. The total amount of money awarded was $402,904. For the fiscal year 2018 – 2019 the cumulative total number of grants awarded is 47 totaling $4,162,093.

3. Fundraising Report (July 1, 2018 through January 31, 2019) (Vice President Eric Gentry).

The Fundraising Report summarized fundraising resources committed from July 1, 2018 through January 31, 2019 totaling $4,121,025 in support of the university.

4. Quarterly Financial Report (July 1, 2018 through December 31, 2018) (Co-Interim Chief Administration Officers Mike Hales and Lori Southwood).

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

5. Policies Report (Provost and Executive Vice President Sue Ott Rowlands and Vice President for Legal Affairs/General Counsel Joan Gates).

The Policies Report summarized all policies that were approved at the executive-level after proceeding through the campus vetting process. The President and other university administrators determined that approval of these policies by the Board of Regents was not needed per the criteria established in Presidential Recommendation C-7 of the January 2015 regular meeting.

C. <u>Presidential Recommendations</u>:

Consent Agenda Items: A motion was made by Regent Andrá Ward and seconded by Regent Gregory Shumate to approve the Presidential Recommendations as listed; C-1 through C-15. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Ms. Johnna Reeder Kleymeyer, interim dean, Haile/US Bank College of Business, effective January 7, 2019.

b. Faculty Appointments:

Dr. Terrance Anderson, clinical assistant professor and director of Occupational Therapy, College of Health Professions, effective December 17, 2018; **Dr. Jay-Anthony Avenido,** lecturer in the Department of Biological Sciences, College of Arts and Sciences, effective August 12, 2019; **Ms. Kinsey Bryant-Lees,** assistant professor in the Department of Psychological Science, College of Arts and Sciences, effective August 12, 2019; **Ms. Whittney Darnell**, assistant professor in the Department of Communication, College of Informatics, effective August 12, 2019; **Ms. Rhonda Davis**, lecturer of Integrative Studies, College of Arts and Sciences, effective January 14, 2019; **Mr. Haoquiang (Kevin) Jiang**, assistant professor in the Department of Business Informatics, College of Informatics, effective August 12, 2019; **Ms. Erin Kelley,** lecturer in the Department of Nursing, College of Health Professions, effective January 7, 2019; **Dr. Kathy Noyes,** assistant professor in the Department of Nursing**,** College of Health Professions**,** effective January 7, 2019; **Ms. Allison Parker,** assistant professor in Environmental Science, Department of Biological Sciences, College of Arts and Sciences, effective August 12, 2019; **Dr. Mary Schilling,** lecturer in the Department of Biological Sciences, College of Arts and Sciences, effective August 12, 2019; **Dr. Karen Vietz,** clinical associate professor in the Department of Nursing, College of Health Professions, effective January 7, 2019; **Mr. Cameron Williams,** assistant professor in the Department of Sociology, Anthropology and Philosophy, College of Arts and Sciences, effective August 12, 2019.

c. Retirement:

Ms. Debby Dempsey, lecturer in the Department of Biological Sciences, College of Arts and Sciences, effective July 31, 2019; **Mr. David Manning,** lecturer in the Department of Business Informatics, College of Informatics, effective August 11, 2019.

d. Phased Retirement:

Mr. Perry Bratcher, professor in the W. Frank Steely Library, beginning July 1, 2019 and terminating June 30, 2021; **Mr. Terry Powell**, associate professor of Theatre and Dance in the School of the Arts, College of Arts of Sciences, beginning August 15, 2019 and terminating May, 2021.

e. Temporary Faculty Appointments:

Mr. Dan Hunter, Department of Physics, Geology and Engineering Technology, effective partial Academic Year; **Mr. Matt Overwine,** Department of Visual Arts, effective partial Academic Year.

2. Academic Affairs Reappointment, Promotion, and Tenure:

The Board of Regents approved recommendations on reappointment, promotion and tenure.

3. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between December 4, 2018 and February 18, 2019 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary/Student to Regular & Regular to Contract; Departures; Retirements; Administrative/Executive.

4. Major Gifts Acceptance:

The Board of Regents accepted contributions totaling $1,211,897.19 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period December 1, 2018 through January 31, 2019.

5. Naming Recommendation:

The Board of Regents approved the following naming action:

1. The naming of a lab to enable our students and faculty to design, program, and operate manufacturing systems. “The Rockwell Automation & CBT Company Mechatronics Lab”.

6. Honorary Degree - Colvin:

The Board of Regents approved that Mr. Garren Colvin receive an Honorary Doctor of Business Degree.

7. Posthumous Degree - Dichoso:

The Board of Regents approved that Christian Dichoso receive a posthumous Bachelor of Arts degree in Criminal Justice.

8. Posthumous Degree - Sershion:

The Board of Regents approved that Brandon Sershion receive a posthumous Bachelor of Science Degree in Computer Information Technology.

9. New Bachelor of Science Degree in Engineering Physics:

The Board of Regents approved a Bachelor of Science Degree in Engineering Physics.

10. New Master of Science Degree in Athletic Training:

The Board of Regents approved a Master of Science Degree in Athletic Training.

11. Proposed Debt Write-Off (Student Account Bad Debts):

The Board of Regents authorized the Chief Financial Officer to write off student account bad debts totaling $456,078.57.

12. Organizational Chart Update:

The Board of Regents approved the organizational chart, which reflects all NKU Administrative updates through March 20, 2019.

13. FY 2019-2020 Dining, Parking, Housing and Other Fees:

The Board of Regents authorized the proposed dining, parking and housing fees and the Schedule of Fees and Service Charges for the 2019-2020 academic year.

14. FY 2019-2020 Tuition Rates:

The Board of Regents approved the tuition for the 2019-20 academic year at the rates proposed in the Authorized Schedule of Tuition. The President will submit tuition rates for the 2019-20 academic year to the Council on Postsecondary Education (CPE) for approval at the April 26, 2019 CPE meeting.

15. FY 2019-2020 Annual Operating Budget Resolution:

The Board of Regents approved the resolution establishing and approving the Fiscal Year 2019-20 Annual Unrestricted Operating Budget for Northern Kentucky University.

Board Recommendation D-1: A motion was made by Regent Terry Mann and seconded by Regent Gregory Shumate to approve the Board Recommendation D-1. **(Motion carried)**

D. <u>Board Recommendation</u>:

The Board of Regents authorized the Audit and Compliance Committee to enter into a contract with the audit firm of BKD, LLC for the annual audit of the University's financial records for the fiscal year ending June 30, 2019. The contract cost for the year ending June 30, 2019 will be approximately $99,000. This contract will allow for three one-year renewable options with the concurrence of both parties.

E. <u>Executive Session</u>:

Regent Richard Boehne seconded Regent W. Lee Scheben's motion to enter into executive session pursuant to KRS 61.810(1) (b) and (c). **(Motion carried)**

At 1:47 p.m., Regent Hannah Edelen seconded Regent Dennis Repenning's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Benjamin Jager
Executive Assistant to the President/
Secretary to the Board of Regents

I, Normand Desmarais, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on March 20, 2019, and that such matters are still in force and effect.

Signature On File
Normand Desmarais
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Board of Regents Meeting
NKU, Student Union 104 – Monday, April 8, 2019 – 3:00 p.m.

3:00 p.m.

- **Call to Order**
- **Roll Call**

A. <u>Presentation:</u>

Strategic Framework (Vaidya, Rittinger)

B. <u>Presidential Recommendation:</u>

1. Strategic Framework

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
April 8, 2019

Regent W. Lee Scheben, Chair, called the special meeting of the Board of Regents to order at 3:00 p.m., Monday, April 8, 2019.

Roll Call: Michael Baranowski, David Bauer, Richard Boehne, Normand Desmarais, Hannah Edelen, Ashley Himes, Terry Mann, Dennis Repenning, W. Lee Scheben, Gregory Shumate. Absent: Andrá Ward.

Other Attendees: Ashish Vaidya, Wendy Peek, Joan Gates, Eric Gentry, Sue Ott Rowlands, Daniel Nadler, Kathleen Roberts, Kim Scranage, Lori Southwood, Valerie Hardcastle, Kevin Kirby, Alar Lipping, Robert Zai, Michael Whiteman, Chris Bowling, Tracy Insko, Travis Gibbons, Katie Clough, Adam Caswell, Melissa Gorbandt, Leah Stewart, Anna Wright, Ryan Padgett, Caroline Macke, Taylor Gagne, Sue Angel Murphy, Lisa Blank, Shawn Rainey, Peg Adams, Sam Rosenstiel, George Carpten, Lori Wright, Doug Hume, Kerri Beach, Bill Froude, Atley Smedley, Gannon Tagher.

B. <u>Presidential Recommendation</u>:

A motion was made by Regent Richard Boehne and seconded by Regent Normand Desmarais to approve the Strategic Framework. **(Motion carried)**

At 4:01 p.m., Regent Dennis Repenning seconded Regent Normand Desmarais's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

I, Normand Desmarais, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on April 8, 2019, and that such matters are still in force and effect.

Signature On File
Normand Desmarais
Secretary of the Board of Regents

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, May 7, 2019 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Wilder, KY)

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, May 8, 2019 – 9:00 a.m.

9:00 a.m.

A. <u>Joint Finance and Policy Committee</u>:

1. Athletics (Bothof, McIver, Kirch, Keys)
2. Innovation and Entrepreneurship (Ott Rowlands, Kleymeyer, Hardcastle)
3. Celebration of Student Research and Creativity (Ott Rowlands, Hart, O'Callaghan, Boytim, Chitwood, Coates)

Student Award Lunch
NKU, Student Union Ballroom – Wednesday, May 8, 2019 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union 104 – Wednesday, May 8, 2019 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of March 20, 2019, Board Minutes
- Approval of April 8, 2019, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Report, Secretary of the Board of Regents

1:20 p.m.

B. <u>Presidential Reports</u>:

1. Facilities Management Report (Hales/Southwood)
2. Research/Grants/Contracts Report (February 1, 2019 – March 31, 2019) (Ott Rowlands)
3. Fundraising Report (July 1, 2018 – March 31, 2019) (Gentry)
4. Quarterly Financial Report (Hales/Southwood)
5. Policies Report (Gates, Ott Rowland)

1:40 p.m.

C. <u>Presidential Recommendations</u>:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Major Gifts Acceptance
4. *Naming Recommendations
5. *Capital Plan 2020-2026
6. *FY 2019-2020 Tuition Rate
7. College of Education and Human Services Reorganization
8. College of Health Professions Reorganization
9. *Faculty Emeritus Status (Kinne)
10. *New Bachelor's Degree in Japanese
11. *Faculty Handbook Amendment (Appointment of Administrators with Faculty Rank)
12. *Faculty Handbook Amendment (Evaluation of Faculty Development Award Applications)
13. *Faculty Handbook Amendment (Nepotism)
14. *Policy Recommendation

2:00 p.m.

D. <u>Executive Session</u>

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, May 6, 2019).

AGENDA
Northern Kentucky University

Board of Regents Subcommittee Meeting
NKU, Lucas Administrative Center 826
Tuesday, June 11, 2019– 4:00 p.m.

4:00 p.m.

- **Call to Order**

A. <u>Executive Session:</u> Student Appeals